## Non-Linear

## Imperfections

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## Non-Linear Imperfections

equation of motion
$\longrightarrow$ Hills equation
$\longrightarrow$ sine and cosine like solutions + one turn map
Poincare section
$\qquad$ normalized coordinates
resonances
$\longrightarrow$ tune diagram and fixed points
non-linear resonances
$\longrightarrow$ driving terms
perturbation treatment of non-linear resonances
$\longrightarrow$ amplitude growth and detuning guadrupole
$\longrightarrow$ fixed points and slow extraction sextupole
$\longrightarrow$ pendulum model and octupole resonance overlap

Hamiltonian dynamics and variable transformations $\longrightarrow$ Hamilton function
$\longrightarrow$ generating functions
$\longrightarrow$ Equations of motion for action angel variables

## Poi ncare Section I

Display coordinates after each turn:


Linear $\beta$ - motion:
$\mathrm{x}_{\mathrm{i}}=\sqrt{\beta(\mathrm{s})} \cdot \sin \left(2 \pi \mathrm{Q} \mathrm{i}+\phi_{0}\right)$
$\mathrm{x}_{\mathrm{i}}^{\prime}=\left[\cos \left(2 \pi \mathrm{Q} \mathrm{i}+\phi_{0}\right)+\alpha(\mathrm{s}) \cdot \sin \left(2 \pi \mathrm{Q} \mathrm{i}+\phi_{0}\right)\right] / \sqrt{\beta(\mathrm{s})}$


## Poincare Section II

for the sake of simplicity assume $\alpha=0$ at the location of the Poincare Section
$\mathbf{x}=\sqrt{\beta} \mathbf{r} \cdot \cos \left(2 \pi \mathbf{Q} \mathbf{i}+\phi_{\mathbf{0}}\right)$
$\mathbf{x}^{\prime}=\mathbf{r} \cdot \sin \left(2 \pi \mathbf{Q} \mathbf{i}+\phi_{\mathbf{0}}\right) / \sqrt{\beta}$
$\frac{\mathbf{x}^{2}}{\mathbf{a}^{2}}+\frac{\mathbf{x}^{\mathbf{l}^{2}}}{\mathbf{b}^{2}}={r^{2}}^{2}$ canst.
for $\alpha \neq 0$
one can define a new set of coordinates via linear combination of $x$ and $x^{\prime}$ such that one axis of the ellipse is parallel to $x$-axis

## Poincare Section III

## Display normalized coordinates:



## normalized coordinates:

$\mathbf{x} / \sqrt{\beta}=\mathbf{r} \cdot \boldsymbol{\operatorname { c o s }}\left(2 \pi \mathbf{Q} \mathbf{i}+\phi_{\mathbf{0}}\right)$
$\sqrt{\beta} \cdot \mathbf{x}^{\prime}=-\mathbf{r} \cdot \sin \left(2 \pi \mathbf{Q} \mathbf{i}+\phi_{\mathbf{0}}\right)$


Poincare Section

## Resonances I

## tune diagram with linear resonances:

| stability: | Qy |  |  |
| :---: | :---: | :---: | :---: |
|  | n +1 |  |  |
| avoid integer and |  |  |  |
| half integer |  | $\mathrm{n}+0.5$ |  |  |
| resonances! |  |  |  |
|  | n | $\mathrm{n}+0.5$ | $\mathrm{n}+1$ |

## higher order resonances:

$$
n Q_{x}+m Q_{y}=r
$$

the rational numbers
lie 'dense' in the real numbers

there are resonances everywhere!


## Resonances II

## fixed points in the Poincare section:

$\mathbf{Q}=\mathbf{N}+\mathbf{1} / \mathbf{n}$

$\longrightarrow$ every point is mapped on itself after $\mathbf{n}$ turns!
$\longrightarrow$ every point is a 'fixed point'
$\longrightarrow$ motion remains stable if the resonances are not driven
$\longrightarrow$ sources for resonance driving terms?

# Non-Linear Resonances I 

## Sextupoles +octupoles

## Magnet errors:

# pole face accuracy 

geometry errors
eddy currents
edge effects

## Vacuum chamber:

## LEP I welding

## Beam-beam interaction



## careful analysis of all

components

## Non-Linear Resonances II

Taylor expansion for upright multipoles:

$$
\begin{gathered}
\mathbf{B}_{\mathbf{y}}+\mathbf{i} \cdot \mathbf{B}_{\mathbf{x}}=\sum_{\mathrm{n}=0} \frac{1}{\mathrm{n}!} \cdot \mathrm{f}_{\mathrm{n}} \cdot(\mathrm{x}+\mathrm{i} y)^{\mathrm{n}} \\
\text { with: } \quad f_{n}=\frac{\partial^{n+1} \mathbf{B}_{y}}{\partial \mathbf{x}^{n+1}}
\end{gathered}
$$

$\left.\begin{array}{l|l|l|l}\text { multipole } & \text { order } & \mathrm{B}_{\mathbf{x}} & \mathrm{B}_{\mathbf{y}} \\ \hline \text { dipole } & 0 & 0 & \mathrm{~B}_{\mathbf{0}} \\ \hline \text { quadrupole } & 1 & \mathrm{f}_{1} \mathrm{y} & \mathrm{f}_{1} \mathrm{x} \\ \hline \text { sextupole } & 2 & \mathrm{f}_{2} \mathrm{x} y & \frac{1}{2} \mathrm{f}_{2} \cdot\left(\mathrm{x}^{2}-\mathrm{y}^{2}\right) \\ \hline \text { octupole } & 3 & \frac{1}{6} \mathrm{f}_{3} \cdot\left(3 \mathrm{y} \mathrm{x}^{2}-\mathrm{y}^{3}\right) & \frac{1}{6} \mathrm{f}_{3} \cdot\left(\mathrm{x}^{3}-3 \mathrm{x} \mathrm{y}\right.\end{array}{ }^{2}\right)$

## skew multipoles:

rotation of the magnetic field by $1 / 2$ of the azimuthal magnet symmetry: $90^{\circ}$ for dipole

## Perturbation I

perturbed equation of motion:
$\frac{d^{2} x}{d s^{2}}+\left(\frac{2 \pi}{L} \cdot Q_{x}\right)^{2} \cdot x=\frac{F_{x}(x, y)}{v \cdot p}$
$\frac{d^{2} y}{d s^{2}}+\left(\frac{2 \pi}{L} \cdot Q\right)^{2} \cdot y=\frac{F_{y}(x, y)}{v \cdot p}$
assume motion in one degree only:
$y \equiv 0$ is a solution of the vertical equation of motion
$\rightarrow \quad B_{x} \equiv 0 ; \quad B_{\mathbf{y}}=\frac{1}{n!} \cdot f_{\mathbf{n}} \cdot x^{\mathbf{n}} \quad F_{x}=-v_{s} \cdot B_{y}$
perturbed horizontal equation of motion:

$$
\frac{\mathbf{d}^{2} x}{d \mathbf{s}^{2}}+\left(\frac{2 \pi}{L} \cdot \mathbf{Q}_{x}\right)^{2} \cdot x=\frac{-1}{n!} \cdot k_{n}(s) \cdot x^{n}
$$

normalized strength:

$$
k_{\mathrm{n}}=0.3 \cdot \frac{\mathbf{f}_{\mathrm{n}}\left[\mathrm{~T} / \mathrm{m}^{\mathrm{n}}\right]}{\mathrm{p}[\mathrm{GeV} / \mathrm{c}]} ;\left[\mathrm{k}_{\mathrm{n}}\right]=1 / \mathrm{m}^{\mathrm{n}+1}
$$

## Perturbation II

perturbation just infront of Poincare Section:

where ' $l$ ' is the length of the perturbation
perturbed Poincare Map:


## Perturbation III

coordinates after 'i' itteration and before kick:
(1)

$$
x_{i} / \sqrt{\beta}=r \cdot \cos \left(\phi_{i}\right) \quad x_{i}^{\prime} \cdot \sqrt{\beta}=-r \cdot \sin \left(\phi_{i}\right)
$$

(2)

$$
\text { with: } \quad \phi_{\mathbf{i}}=\phi_{\mathbf{i}-\mathbf{1}}+2 \pi \mathrm{Q}
$$

coordinates after the perturbation kick:

$$
\begin{equation*}
\mathbf{x}_{\mathrm{i}+\mathrm{kick}} / \sqrt{\beta}=\mathbf{x}_{\mathrm{i}} / \sqrt{\beta} \tag{3}
\end{equation*}
$$

$$
\begin{equation*}
\mathbf{x}_{i+k i c k}^{\prime} \cdot \sqrt{\beta}=\mathbf{x}_{i}^{\prime} \cdot \sqrt{\beta}+\frac{\mathbf{I}}{\mathbf{n}} \cdot \mathbf{k}_{\mathbf{n}} \cdot \mathbf{x}_{\mathrm{i}}^{\mathbf{n}} \cdot \sqrt{\beta} \tag{4}
\end{equation*}
$$

(5) $\quad \mathbf{x}_{\mathrm{i}+\text { kick }} / \sqrt{\beta}=\left(\mathbf{r}+\Delta \mathbf{r}_{\mathrm{i}}\right) \cdot \boldsymbol{\operatorname { c o s }}\left(\phi_{\mathrm{i}}+\Delta \phi_{\mathrm{i}}\right)$
(6) $\mathbf{x}_{i+k i c k} \cdot \sqrt{\beta}=-\left(\boldsymbol{r}+\Delta \boldsymbol{r}_{i}\right) \cdot \sin \left(\phi_{i}+\Delta \phi_{i}\right)$

## Perturbation IV

solve for ${ }^{\prime} \Delta r_{i}^{\prime}$ and ' $\Delta \phi_{i}{ }^{\prime}$ :
$\longrightarrow$ substitute (1) and (2) into (3) and (4)
$\longrightarrow$ set new expression equal to (5) and (6)
$\longrightarrow$ use: $\sin (\mathrm{a}+\mathrm{b})=\sin (\mathrm{a}) \cos (\mathrm{b})+\cos (\mathrm{a}) \sin (\mathrm{b})$

$$
\cos (a+b)=\cos (a) \cos (b)-\sin (a) \sin (b)
$$

and: $\sin (\Delta \phi)=\Delta \phi ; \cos (\Delta \phi)=1$
to solve for ' $\Delta \mathrm{r}_{\mathrm{i}}{ }^{\prime}$ and ' $\Delta \phi_{i}{ }^{\prime}$ :

$$
\begin{aligned}
\longrightarrow \Delta r_{i} & =-\Delta x_{i}^{\prime} \cdot \sqrt{\beta \cdot} \sin \left(\phi_{\mathbf{i}}\right) \\
\Delta \phi_{i} & =\frac{-\Delta x_{i}^{\prime} \cdot \sqrt{\beta} \cdot \cos \left(\phi_{\mathbf{i}}\right)}{\left[r+\Delta x_{i}^{\prime} \cdot \sqrt{\beta} \cdot \sin \left(\phi_{i}\right)\right]}
\end{aligned}
$$

substitute the kick expression:
(7) $\Delta \mathrm{r}_{\mathrm{i}}=\frac{\boldsymbol{l}}{\mathrm{n}!} \cdot \mathrm{k}_{\mathrm{n}} \cdot \mathrm{x}_{\mathrm{i}}^{\mathrm{n}} \cdot \sqrt{\beta} \cdot \sin \left(\phi_{\mathbf{i}}\right)$
(8)

$$
\frac{\frac{\boldsymbol{l}}{\mathrm{n}!} \cdot \mathrm{k}_{\mathrm{n}} \cdot \mathrm{x}_{\mathrm{i}}^{\mathrm{n}} \cdot \sqrt{\beta} \cdot \cos \left(\phi_{\mathbf{i}}\right)}{\left[\mathrm{r}+\Delta \mathrm{r}_{\mathrm{i}}\right]}
$$

## Perturbation V

quadrupole perturbation:

$$
\Delta \mathrm{r}_{\mathrm{i}}=l \cdot \mathrm{k}_{\mathrm{i}} \mathrm{x}_{\mathrm{i}} \cdot \sqrt{\beta \cdot} \sin \left(\phi_{\mathrm{i}}\right)
$$

$$
\text { with: } \mathrm{x}_{\mathrm{i}}=\sqrt{\beta \cdot} \mathrm{r} \cdot \cos \left(\phi_{\mathrm{i}}\right)
$$

$$
\Delta \mathrm{r}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{\mathrm{i}} \cdot \mathrm{r} \cdot \beta \cdot \sin \left(2 \phi_{\mathbf{i}}\right)
$$

sum over many turns with: $\quad \phi_{i}=2 \pi \mathrm{Q} \cdot \mathrm{i}$

(half integer resonance)
tune change (first order in the perturbation):

$$
\Delta \phi_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{\mathrm{i}} \cdot \beta \cdot\left[1+\cos \left(2 \phi_{\mathbf{i}}\right)\right] / 2
$$

average change per turn:

$$
\phi_{\mathrm{i}}=2 \pi \mathrm{Q} \cdot \mathrm{i}
$$

$<\Delta \mathrm{Q}_{\mathrm{i}}>=l \cdot \mathrm{k}_{\mathrm{i}} \beta / 4 \pi$


Q>

## Perturbation VI

resonance stop band: $\mathrm{Q} \neq \mathrm{p} / 2$
the map perturbation generates a tune oscillation

$$
\delta \mathrm{Q}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{\mathrm{i}} \beta \cdot \cos \left(4 \pi \cdot \mathrm{Q} \mathrm{i}+2 \phi_{\mathbf{0}}\right) / 4 \pi
$$

$\rightarrow$ particles will experience the half integer resonance if their tune satisfies:

$$
(\mathrm{p} / 2-<\Delta \mathrm{Q}>)<\left(\mathrm{Q}_{0}+<\Delta \mathrm{Q}>\right)<(\mathrm{p} / 2+<\Delta \mathrm{Q}>)
$$

tune diagram:
avoid integer and
half integer
$\mathrm{n}+0.5$
resonances and stay
away from the
resonance 'stop band' $\mathbf{n}$

$\mathrm{n}+0.5$
n + 1

## Perturbation VII

sextupole perturbation:

$$
\begin{aligned}
& \Delta \mathrm{r}_{\mathrm{i}}=l \cdot \mathrm{k}_{2} \cdot \mathrm{x}_{\mathrm{i}}^{2} \cdot \sqrt{\beta} \cdot \sin \left(\phi_{\mathbf{i}}\right) / 2 \\
& \quad \text { with: } \mathrm{x}_{\mathrm{i}}=\sqrt{\beta \cdot \mathrm{r}} \cdot \cos \left(\phi_{\mathbf{i}}\right) \\
& \Delta \mathrm{r}_{\mathrm{i}}=l \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}}^{2} \beta^{3 / 2}\left[3 \sin \left(\phi_{\mathbf{i}}\right)+\sin \left(3 \phi_{\mathbf{i}}\right)\right] / 8
\end{aligned}
$$

sum over many turns: $\quad \phi_{\mathrm{i}}=2 \pi \mathrm{Q} \cdot \mathrm{i}$

$$
\mathrm{r}=0 \text { unless: } \mathrm{Q}=\mathrm{p} \text { or } \mathrm{Q}=\mathrm{p} / 3
$$

tune change (first order in the perturbation):

$$
\begin{aligned}
& 2 \pi \Delta \mathrm{Q}_{\mathrm{i}}=l \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}} \cdot \beta^{3 / 2} {\left[3 \cos \left(2 \pi \mathrm{Q} \mathrm{i}+\phi_{\mathbf{0}}\right)\right.} \\
&\left.+\cos \left(6 \pi \mathrm{Q} \mathrm{i}+3 \phi_{\mathbf{0}}\right)\right] / 8
\end{aligned}
$$

sum over many turns:
(unless: $\mathrm{Q}=\mathrm{p}$ or $\mathrm{Q}=\mathrm{p} / 3$ )

$$
<\Delta \mathrm{Q}>=0
$$

$\longrightarrow$ stop band increases with amplitude!

## Perturbation VIII

what happens for $\mathrm{Q}=\mathrm{p} ; \mathrm{p} / 3$ ?

$$
\begin{aligned}
& \Delta \mathrm{r}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}}^{2} \cdot \beta^{3 / 2} \cdot {\left[3 \sin \left(2 \pi \mathrm{Qi}+\phi_{\mathbf{0}}\right)\right.} \\
&\left.+\sin \left(6 \pi \mathrm{Qi}+3 \phi_{\mathbf{0}}\right)\right] / 8 \\
& \text { constant for each kick } \\
& 2 \pi \Delta \mathrm{Q}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}} \cdot \beta^{3 / 2} \cdot \\
& {\left[3 \cos \left(2 \pi \mathrm{Qi}+\phi_{\mathbf{0}}\right)\right.} \\
&\left.+\cos \left(6 \pi \mathrm{Qi}+3 \phi_{\mathbf{0}}\right)\right] / 8
\end{aligned}
$$

amplitude 'r' increases every turn $\longrightarrow$ instability
$\rightarrow$ dephasing and tune change
$\rightarrow$ motion moves off resonance

## $\longrightarrow$ stop of the instability

## Perturbation IX

let us assume: $\mathrm{Q}=\mathrm{p} / 3$

$$
\begin{gathered}
\Delta \mathrm{r}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}}^{2} \cdot \beta^{3 / 2}\left[3 \sin \left(\phi_{\mathbf{i}}\right)+\sin \left(3 \phi_{\mathbf{i}}\right)\right] / 8 \\
\left.\Delta \phi_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{\mathbf{2}} \mathrm{r}_{\mathrm{i}} \cdot \beta^{3 / 2} \cdot \underset{\left[3 \cos \left(\phi_{\mathbf{i}}\right)\right.}{[ }+\cos \left(3 \phi_{\mathbf{i}}\right)\right] / 8 \\
+2 \pi \mathrm{Q}
\end{gathered}
$$

the first terms change rapidly for each turn

## $\rightarrow$ the contribution of these terms are small and we omit these terms in the following (method of averaging)

$$
\begin{aligned}
\longrightarrow \quad \Delta \mathrm{r}_{\mathrm{i}} & =\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}}^{2} \cdot \beta^{3 / 2} \sin \left(3 \phi_{\mathbf{i}}\right) / 8 \\
\Delta \phi_{\mathrm{i}} & =\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}} \cdot \beta^{3 / 2} \cos \left(3 \phi_{\mathbf{i}}\right) / 8+2 \pi \mathrm{Q}
\end{aligned}
$$

## Perturbation X

fixed point conditions: $\mathrm{Q}_{0} \gtrsim \mathrm{p} / 3 ; \mathrm{k}_{2}>0$
$\Delta \mathrm{r} /$ turn $=0 \quad$ and $\quad \Delta \phi /$ turn $=2 \pi \mathrm{p} / 3$
with:

$$
\Delta \mathrm{r}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{\mathrm{i}} \cdot \mathrm{r}_{\mathrm{i}}^{2} \cdot \beta^{3 / 2} \cdot \sin \left(3 \phi_{\mathbf{i}}\right) / 8
$$

$$
\Delta \phi_{\mathrm{i}}=2 \pi \mathrm{Q}_{0}+\boldsymbol{l} \cdot \mathrm{k}_{\dot{2}} \mathrm{r}_{\mathrm{i}} \cdot \beta^{3 / 2} \cos \left(3 \phi_{\mathbf{i}}\right) / 8
$$

$$
\phi_{\text {fixed point }}=\pi / 3 ; \pi ; 5 \pi / 3
$$

$$
\mathrm{r}_{\text {fixed point }}=\frac{16 \pi\left(\mathrm{Q}_{0}-\mathrm{p} / 3\right)}{l \mathrm{k}_{2} \beta^{3 / 2}}
$$

$\longrightarrow \quad \mathrm{r}=0$ also provides a fixed point in the

## Perturbation XI

fixed point stability:
linearize the equation of motion around the fixed points:

Poincare map:

$$
\begin{aligned}
& r_{i+1}=r_{i}+f\left(r_{i}, \phi_{i}\right) \\
& \phi_{i+1}=\phi_{i}+g\left(r_{i}, \phi_{i}\right)
\end{aligned}
$$

single sextupole kick:

$$
\begin{aligned}
\longrightarrow \mathrm{f} & =\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \mathrm{r}_{\mathrm{i}}^{2} \cdot \beta^{3 / 2} \sin \left(3 \phi_{\mathbf{i}}\right) / 8 \\
\mathrm{~g} & =\boldsymbol{l} \cdot \mathrm{k}_{\mathrm{i}} \cdot \mathrm{r}_{\mathrm{i}} \cdot \beta^{3 / 2} \cos \left(3 \phi_{\mathbf{i}}\right) / 8
\end{aligned}
$$

$\longrightarrow$ linearized map around fixed points:

$$
\binom{r_{i+1}}{\phi_{i+1}}=\left(\begin{array}{ll}
\frac{\partial r_{i+1}}{\partial r_{i}} & \frac{\partial r_{i+1}}{\partial \phi_{i}} \\
\frac{\partial \phi_{i+1}}{\partial r_{i}} & \frac{\partial \phi_{i+1}}{\partial \phi_{i}}
\end{array}\right)| |_{\text {fixed point }} \cdot\binom{r_{i}}{\phi_{i}}
$$

## Perturbation XII

Jacobin matrix for single sextupole kick:

## Jacobian matrix

$\frac{\partial r_{i+1}}{\partial r_{i}}=1 ; \quad \frac{\partial r_{i+1}}{\partial \phi_{i}}=-3 \boldsymbol{l} \cdot \mathrm{k}_{\boldsymbol{2}} \beta^{3 / 2} \cdot \mathrm{r}_{\text {fixed point }}^{2} / 8$
$\frac{\partial \phi_{i+1}}{\partial r_{i}}=-\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \beta^{3 / 2} / 8 ; \quad \frac{\partial \phi_{\mathrm{i}+1}}{\partial \phi_{\mathrm{i}}}=1$
$\phi_{\text {fixed point }}=\pi / 3 ; \pi ; 5 \pi / 3 ; \quad$ and $\mathrm{r}_{\text {fixed point }} \neq 0$
$\longrightarrow \Delta \mathrm{r}_{\mathrm{i}+1}=-3 \boldsymbol{l} \cdot \mathrm{k}_{2} \beta^{3 / 2} \cdot \stackrel{\mathrm{r}}{\text { fixed point }}_{2} / 8 \cdot \Delta \phi_{\mathrm{i}}$

$$
\Delta \phi_{\mathrm{i}+1}=-l \cdot \mathrm{k}_{2} \cdot \beta^{3 / 2} / 8 \cdot \Delta \mathrm{r}_{\mathrm{i}}
$$



hyperbolic fixed point

## Perturbation XIII

## Poincare Section for 'r' and $\phi$ ':

unstable
hyperbolic fixed points


Poincare section in normalized coordinates:


## Perturbation XIV

## slow extraction:


fixed point position:
$16 \pi\left(\mathrm{Q}-\frac{\mathrm{p}}{3}\right)$
$r_{\text {fixed point }}^{\overline{\overline{1}}} \underset{\sim \cdot \beta^{3 / 2}}{ }$ $\boldsymbol{l} \cdot \mathrm{k}_{2} \cdot \beta^{3 / 2}$
$\longrightarrow$ changing the tune during extraction!
octupole perturbation:

$$
\Delta \mathrm{r}_{\mathrm{i}}=l \cdot \mathrm{k}_{3} \cdot \mathrm{x}_{\mathrm{i}}^{3} \cdot \sqrt{\beta \cdot} \sin \left(\phi_{\mathrm{i}}\right) / 6
$$

with: $\mathrm{x}_{\mathrm{i}}=\sqrt{\beta \cdot r} \cdot \cos \left(\phi_{\mathbf{i}}\right)$

$$
\Delta \mathrm{r}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{\mathbf{3}} \cdot \mathrm{r}_{\mathrm{i}}^{3} \cdot \beta^{2} \cdot\left[4 \sin \left(2 \phi_{\mathbf{i}}\right)+\sin \left(4 \phi_{\mathbf{i}}\right)\right] / 48
$$

sum over many turns: $\quad \phi_{\mathrm{i}}=2 \pi \mathrm{Q} \cdot \mathrm{i}+\phi_{0}$

$$
\rightarrow \quad \mathrm{r}=0 \quad \text { unless: } \mathrm{Q}=\mathrm{p}, \mathrm{p} / 2, \mathrm{p} / 4
$$

tune change (first order in the perturbation):

$$
\begin{aligned}
2 \pi \Delta \mathrm{Q}_{\mathrm{i}}=l \cdot \mathrm{k}_{3} \mathrm{r}_{\mathrm{i}}^{2} \beta^{2} \cdot & {\left[4 \cos \left(4 \pi \mathrm{Q} i+2 \phi_{\mathbf{0}}\right)\right.} \\
& \left.+3+\cos \left(8 \pi \mathrm{Q} \mathrm{i}+4 \phi_{\mathbf{0}}\right)\right] / 48
\end{aligned}
$$

sum over many turns (unless: $\mathrm{Q}=\mathrm{p}$ or $\mathrm{Q}=\mathrm{p} / 4$ ):

$$
\rightarrow\langle\Delta \mathrm{Q}\rangle=l \cdot \mathrm{k}_{3} \cdot \mathrm{r}^{2} \cdot \beta^{2} / 16 / 2 \pi
$$

## Perturbation XVI

detuning with amplitude:
particle tune depends on particle amplitude
$\rightarrow$ tune spread for particle distribution $\longrightarrow$ stabilization of collective instabilities
$\longrightarrow$ install octupoles in the storage ring

## $\rightarrow$ distribution covers more resonances in the tune diagram

$\longrightarrow \quad$ avoid octupoles in the storage ring
$\longrightarrow$ requires a delicate compromise

Poincare section topology:
$\mathrm{Q}=\mathrm{p} / 4$ and apply method of averaging

$$
\begin{aligned}
& \Delta \mathrm{r}_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{3} \cdot \mathrm{r}_{\mathrm{i}}^{3} \cdot \beta^{2} \cdot \sin \left(4 \phi_{\mathbf{i}}\right) / 48 \\
& \Delta \phi_{\mathrm{i}}=\boldsymbol{l} \cdot \mathrm{k}_{\mathbf{3}} \cdot \mathrm{r}_{\mathrm{i}}^{2} \cdot \beta^{2} \cdot\left[3+\cos \left(4 \phi_{\mathbf{i}}\right)\right] / 48+2 \pi \mathrm{Q}
\end{aligned}
$$

## Perturbation XVII

fixed point conditions: $\mathrm{Q}_{0} \curvearrowright \mathrm{p} / 4 ; \mathrm{k}_{3}>0$
$\Delta \mathrm{r} /$ turn $=0 \quad$ and $\quad \Delta \phi /$ turn $=2 \pi \mathrm{p} / 4$
with:
$\Delta r_{i}=\boldsymbol{l} \cdot \mathrm{k}_{\mathbf{j}} \mathrm{r}_{\mathrm{i}}^{3} \cdot \beta^{2} \cdot \sin \left(4 \phi_{\mathbf{i}}\right) / 48$
$\Delta \phi_{\mathrm{i}}=2 \pi \mathrm{Q}_{0}+\boldsymbol{l} \cdot \mathrm{k}_{\mathbf{3}} \mathrm{r}_{\mathrm{i}}^{2} \cdot \beta^{2} \cdot\left[3+\cos \left(4 \phi_{\mathbf{i}}\right)\right] / 48$
$\phi_{\text {fixed point }}=\pi / 2 ; \pi ; 3 \pi / 2 ; 2 \pi$
$\mathrm{r}_{\text {fixed point }}=\sqrt{\frac{96 \pi\left(\mathrm{p} / 4-\mathrm{Q}_{0}\right)}{l \mathrm{k}_{3} \beta^{2}(3+1)}}$
$\phi_{\text {fixed point }}=\pi / 4 ; 3 \pi / 4 ; 5 \pi / 4 ; 7 \pi / 4$
$\mathrm{r}_{\text {fixed point }}=\sqrt{\frac{96 \pi\left(\mathrm{p} / 4-\mathrm{Q}_{0}\right)}{l \mathrm{k}_{3} \beta^{2}(3-1)}}$

## Perturbation XVIII

fixed point stability for single octupole kick:
Jacobian matrix

$$
\begin{aligned}
& \frac{\partial \mathrm{r}_{\mathrm{i}+1}}{\partial \mathrm{r}_{\mathrm{i}}}=1 ; \quad \frac{\partial \mathrm{r}_{\mathrm{i}+1}}{\partial \phi_{\mathrm{i}}}= \pm 4 \boldsymbol{l} \cdot \mathrm{k}_{\overrightarrow{3}} \cdot \beta^{2} \cdot \mathrm{r}_{\text {fixed point }}^{3} / 48 \\
& \frac{\partial \phi_{\mathrm{i}+1}}{\partial \mathrm{r}_{\mathrm{i}}}=+\boldsymbol{l} \cdot \mathrm{k}_{3} \cdot \beta^{2} \cdot \mathrm{r}(3 \pm 1) / 24 ; \quad \frac{\partial \phi_{\mathrm{i}+1}}{\partial \phi_{\mathrm{i}}}=1
\end{aligned}
$$

$\longrightarrow \Delta \mathrm{r}_{\mathrm{i}+1}= \pm 4 \boldsymbol{l} \cdot \mathrm{k}_{3} \cdot \beta^{2} \cdot \mathrm{r}_{\text {fixed point }}^{3} / 48^{\bullet} \cdot \Delta \phi_{\mathrm{i}}$

$$
\Delta \phi_{\mathrm{i}+1}=l \cdot \mathrm{k}_{3} \cdot \beta^{2}(3 \pm 1) / 24 \cdot \Delta \mathrm{r}_{\mathrm{i}}
$$

Stability for ' - ' sign and $k>0$ ?


## Perturbation XIX

## Poincare Section for 'r' and $\phi$ ':

unstable
hyperbolic
fixed points
stable
elliptical
fixed points

island structure

Poincare section in normalized coordinates:


## Perturbation XX

generic signature of non-linear resonances:
$\rightarrow$ chain of resonance islands
pendulum dynamics:
expand equation of motion around resonance amplitude

$$
\frac{\mathrm{dr}}{\mathrm{ds}}=-\mathrm{F} \cdot \sin (\phi) \quad \frac{\mathrm{d} \phi}{\mathrm{ds}}=\mathrm{G} \cdot \mathrm{r}
$$

$\rightarrow \quad$ generic equation of motion near resonances
$\longrightarrow$ resonance width:

$$
\Delta \mathrm{r}_{\mathrm{res} / \max }=4 \sqrt{\mathrm{~F} / \mathrm{nG}}
$$

island oscillation frequency: $\omega_{\text {island }}=\sqrt{\mathrm{F} \cdot \mathrm{G} / \mathrm{n}}$
pendulum motion:
libration: oscillation around stable fixed point rotation: continous increase of phase variable separatrix: separation between the two types

## Integrable Systems

trajectories in phase space do not intersect

## deterministic system

integrable systems:
all trajectories lie on invariant surfaces
n degrees of freedom

## $\longrightarrow \mathrm{n}$ dimensional surfaces

two degrees of freedom:

$$
\mathrm{x}, \mathrm{~s} \longrightarrow \text { motion lies on a torus }
$$



Poincare section for two degrees of freedom:
$\qquad$ motion lies on closed curves
$\longrightarrow \quad$ indication of integrability

## Perturbation XXI

'chaos' and non-integrability:
so far we removed all but one resonance (method of averaging)
$\longrightarrow$ dynamics is integrable and therefore predictable
re-introduction of the other resonances 'perturbs' the separatrix motion
$\rightarrow$ motion can 'change' from libration to rotation
$\rightarrow$ generation of a layer of 'chaotic motion'

no hope for exact deterministic solution in this area!

## Perturbation XXII

slow particle loss:
particles can stream along the 'stochastic layer'
for 1 degree of freedom (plus 's' dependence)
the particle amplitude is bound by neighboring integrable lines
not true for more than one degree of freedom
global 'chaos' and fast particle losses:
if more than one resonance are present their resonance islands can overlap
$\longrightarrow$ the particle motion can jump from one resonance to the other
$\longrightarrow$ 'global chaos'
$\longrightarrow$ fast particle losses and dynamic aperture

## Long Term Stability

## Non-linear Perturbation:

$\square$ amplitude growth
$\square$ detuning with amplitude

## $\square$ coupling

## Complex dynamics:

3 degrees of freedom
+1 invariant of the motion

+ non-linear dynamics
$\longrightarrow$ no global analytical sol ution!
$\longrightarrow$ analytical analysis relies on perturbation theory


## Perturbation XXIII

why did we not find islands for a sextupole?

## $\rightarrow$ the pendulum approximation requires an amplitude dependent tune!

$$
\longrightarrow \quad \frac{\mathrm{d} \phi}{\mathrm{ds}}=\mathrm{G} \cdot \mathrm{r}
$$

unstable
hyperbolic fixed points

the sextupole detuning term appears only in second order of the kick strength

## Perturbation XXIV

so far we assumed on the right-hand side:

$$
\phi_{\mathrm{i}}=2 \pi \mathrm{Q}_{0} \cdot \mathrm{i}+\phi_{0}
$$

this provides only first order solutions
second order perturbation:

$$
\begin{gathered}
\mathrm{r}(\mathrm{~s})=\mathrm{r}_{0}(\mathrm{~s})+\varepsilon \mathrm{r}_{1}(\mathrm{~s})+\varepsilon^{2} \mathrm{r}_{2}(\mathrm{~s})+\mathrm{O}\left(\varepsilon^{3}\right) \\
\phi(\mathrm{s})=\phi_{0}(\mathrm{~s})+\varepsilon \phi_{1}(\mathrm{~s})+\varepsilon^{2} \phi_{2}(\mathrm{~s})+\mathrm{O}\left(\varepsilon^{3}\right) \\
\text { with: } \quad \varepsilon=\beta^{3 / 2} \cdot l \cdot \mathrm{r}_{0} \cdot \mathrm{k}_{2}
\end{gathered}
$$

smooth approximation:

$$
\frac{\mathrm{dr}}{\mathrm{ds}}=\frac{\Delta \mathrm{r}}{\mathrm{~L}} \quad \text { and } \quad \frac{\mathrm{d} \phi}{\mathrm{ds}}=\frac{\Delta \phi}{\mathrm{L}}
$$

## Perturbation XXV

expand equation of motion into a Taylor series around zero order solution

$$
\frac{\mathrm{dr}}{\mathrm{ds}}=\mathrm{f}(\mathrm{r}, \phi) \quad \frac{\mathrm{d} \phi}{\mathrm{ds}}=\mathrm{g}(\mathrm{r}, \phi)
$$

$\longrightarrow$ single sextupole kick:

$$
\begin{aligned}
& \mathrm{f}=\frac{\mathrm{r}^{2}}{\mathrm{r}_{0}} \cdot[\sin (3 \phi)+3 \sin (\phi)] / 8 \\
& \mathrm{~g}=\frac{\mathrm{r}}{\mathrm{r}_{0}} \cdot[\cos (3 \phi)+3 \cos (\phi)] / 8 \\
& \frac{\mathrm{dr}}{\mathrm{ds}}=\varepsilon \cdot \mathrm{f}+\left[\frac{\partial \mathrm{f}}{\partial \mathrm{r}} \cdot \mathrm{r}_{1}+\frac{\partial \mathrm{f}}{\partial \phi} \cdot \phi_{1}\right] \cdot \varepsilon^{2}+\mathrm{O}\left(\varepsilon^{3}\right)
\end{aligned}
$$

$$
\frac{\mathrm{d} \phi}{\mathrm{ds}}=\frac{2 \pi \mathrm{Q}}{\mathrm{~L}}+\varepsilon \cdot \mathrm{g}+\left[\frac{\partial \mathrm{g}}{\partial \mathrm{r}} \cdot \mathrm{r}_{1}+\frac{\partial \mathrm{g}}{\partial \phi} \cdot \phi_{1}\right] \cdot \varepsilon^{2}+\mathrm{O}\left(\varepsilon^{3}\right)
$$

## Perturbation XXVI

match powers of $\varepsilon$ and solve equation of motion in ascending order of $\varepsilon$ :
zero order: $\quad \phi_{0}(s)=\frac{2 \pi p}{3 L} \cdot s+\frac{2 \pi \nu}{3 L} \cdot s+\phi_{0}$

$$
\mathrm{r}_{0}(\mathrm{~s})=\mathrm{r}_{0}
$$

$$
(Q=p+v)
$$

$\longrightarrow$ substitute into equation of motion and solve for $\phi_{1}(\mathrm{~s})$ and $\mathrm{r}_{1}(\mathrm{~s})$
first order:

$$
\begin{aligned}
& \phi_{1}(s)=\frac{1}{2 \pi v} \cdot \frac{1}{8} \cdot[ \sin \left(\frac{6 \pi \nu}{\mathrm{~L}} \cdot \mathrm{~s}+\phi_{0}\right) / 3+ \\
&\left.\sin \left(\frac{2 \pi \nu}{\mathrm{~L}} \cdot \mathrm{~s}+\phi_{0}\right)\right] \\
& \mathrm{r}_{1}(\mathrm{~s})=\frac{-\mathrm{r}_{0}}{2 \pi \nu} \cdot \frac{1}{8} \cdot\left[\cos \left(\frac{6 \pi \nu}{\mathrm{~L}} \cdot \mathrm{~s}+\phi_{0}\right) / 3+\right. \\
&\left.\cos \left(\frac{3 \pi \nu}{\mathrm{~L}} \cdot \mathrm{~s}+\phi_{0}\right)\right]
\end{aligned}
$$

## Perturbation XXVII

second order:
$\longrightarrow$ substitute $\phi_{1}(\mathrm{~s})$ and $\mathrm{r}_{1}(\mathrm{~s})$ into equation

## of motion and order powers of $\varepsilon^{2}$

you get terms of the form: $\frac{\mathrm{dr}_{2}}{\mathrm{ds}}=\left[\frac{\partial \mathrm{f}}{\partial \mathrm{r}} \cdot \mathrm{r}_{1}+\frac{\partial \mathrm{f}}{\partial \phi} \cdot \phi_{1}\right]$

$$
\frac{\mathrm{d} \phi}{\mathrm{ds}}=\left[\frac{\partial \mathrm{g}}{\partial \mathrm{r}} \cdot \mathrm{r}_{1}+\frac{\partial \mathrm{g}}{\partial \phi} \cdot \phi_{1}\right]
$$

$\cos (3 \phi) \cdot \cos (3 \phi) ; \cos (3 \phi) \cdot \cos (\phi) ; \cos (\phi) \cdot \cos (\phi)$
$\rightarrow \quad \frac{\mathrm{dr}}{\mathrm{ds}} \propto \cos (6 \phi) ; \cos (4 \phi) ; \cos (2 \phi) ; 1$
higher order resonances: $\varepsilon^{\mathrm{n}}$
a single perturbation generates ALL resonances
driving term strength and resonance width decrease with increasing order!

