

Linac Driven Free Electron Lasers (II)

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SASE FEL Electron Beam Requirements: High Brightness B_n

$$\lambda_r^{MIN} \propto \sigma_\delta \sqrt{\frac{(1 + K^2/2)}{\gamma B_n K^2}}$$

minimum radiation
wavelength

energy
spread

undulator
parameter

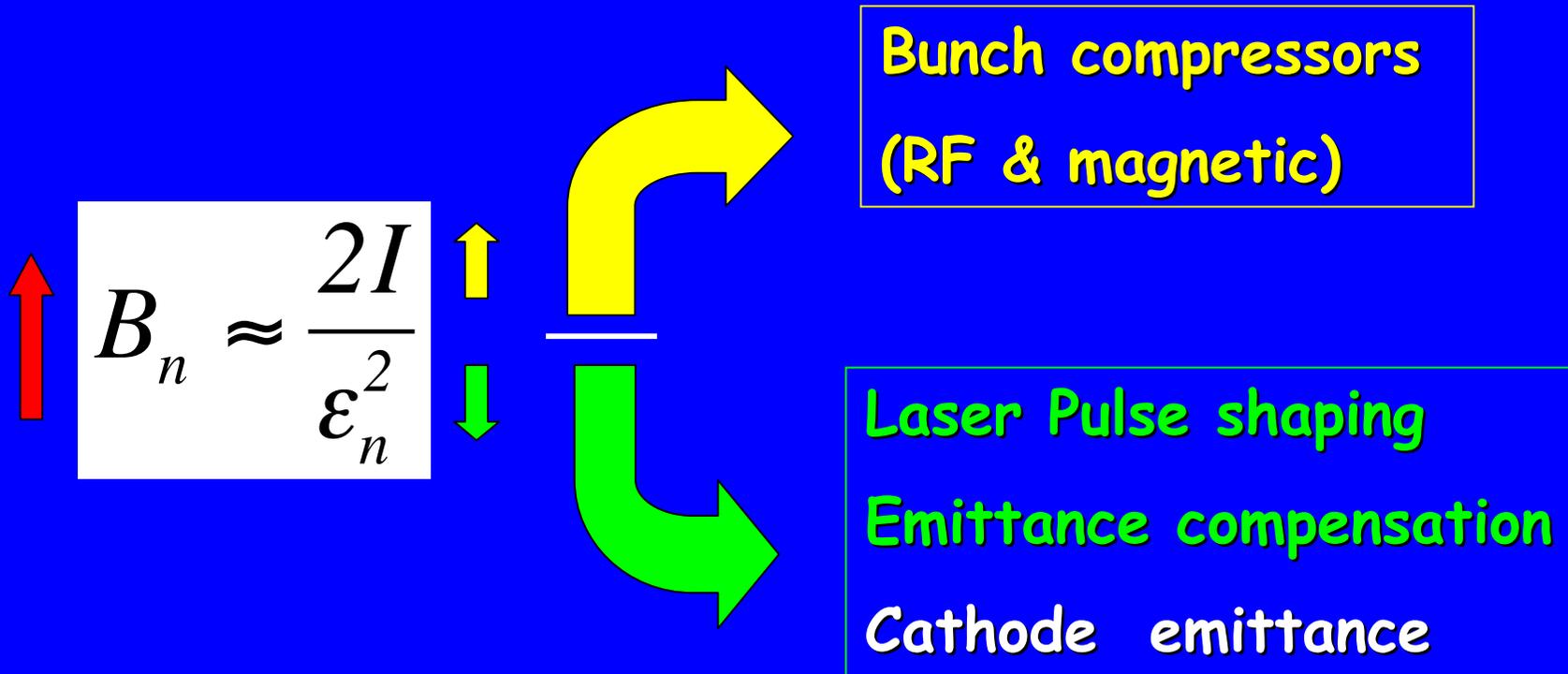
$$B_n = \frac{2I}{\epsilon_n^2}$$

$$L_g \propto \frac{\gamma^{3/2}}{K \sqrt{B_n (1 + K^2/2)}}$$

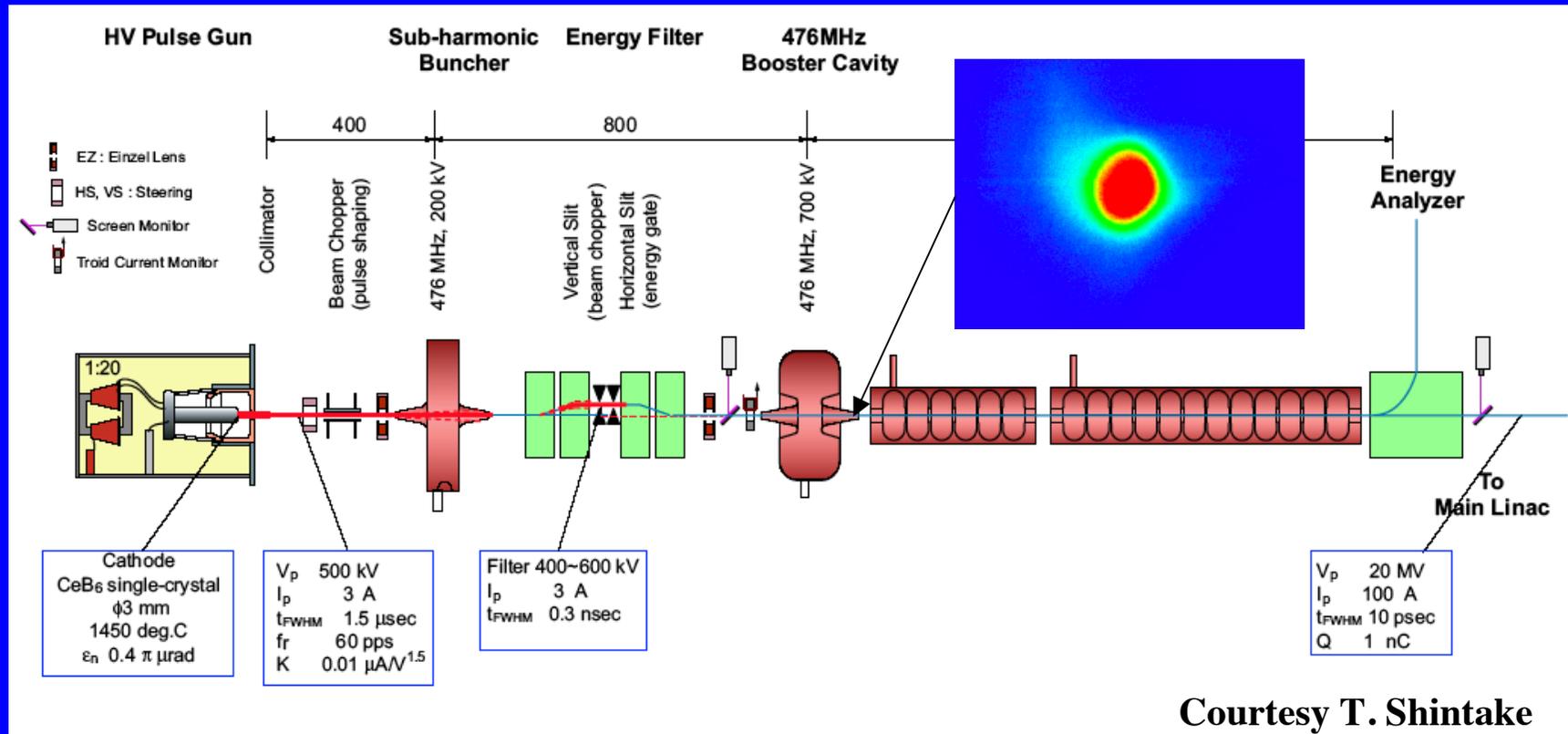
gain
length

R. Saldin et al. in *Conceptual Design of a 500 GeV e+e- Linear Collider with Integrated X-ray Laser Facility*, DESY-1997-048

Short Wavelength SASE FEL Electron Beam Requirement: High Brightness $B_n > 10^{15}$ A/m²



500 kV pulsed thermionic gun for SCSS



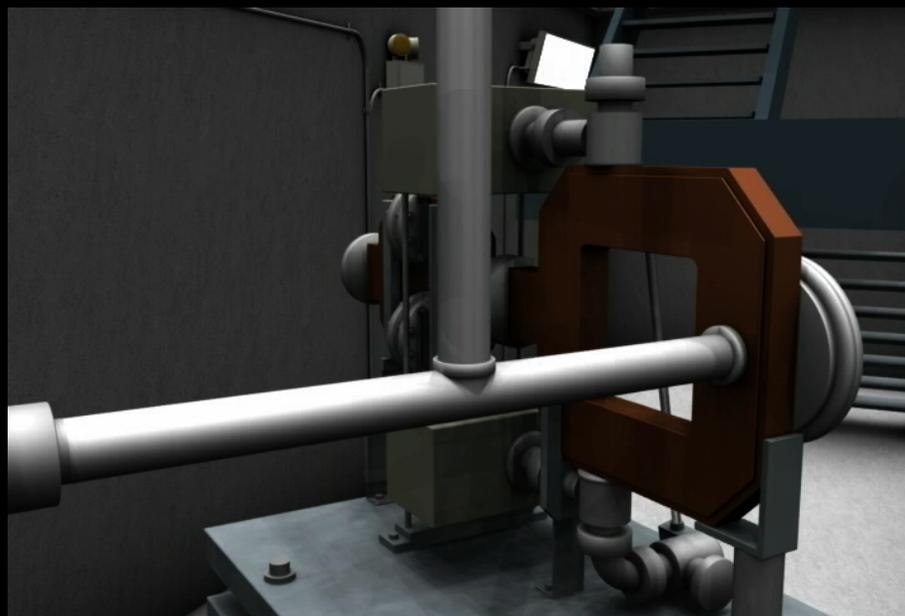
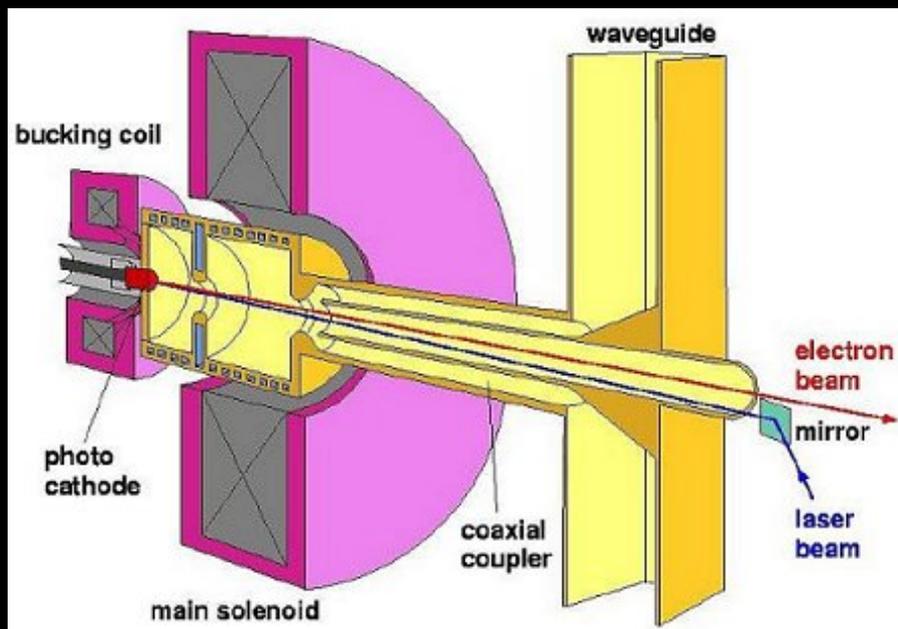
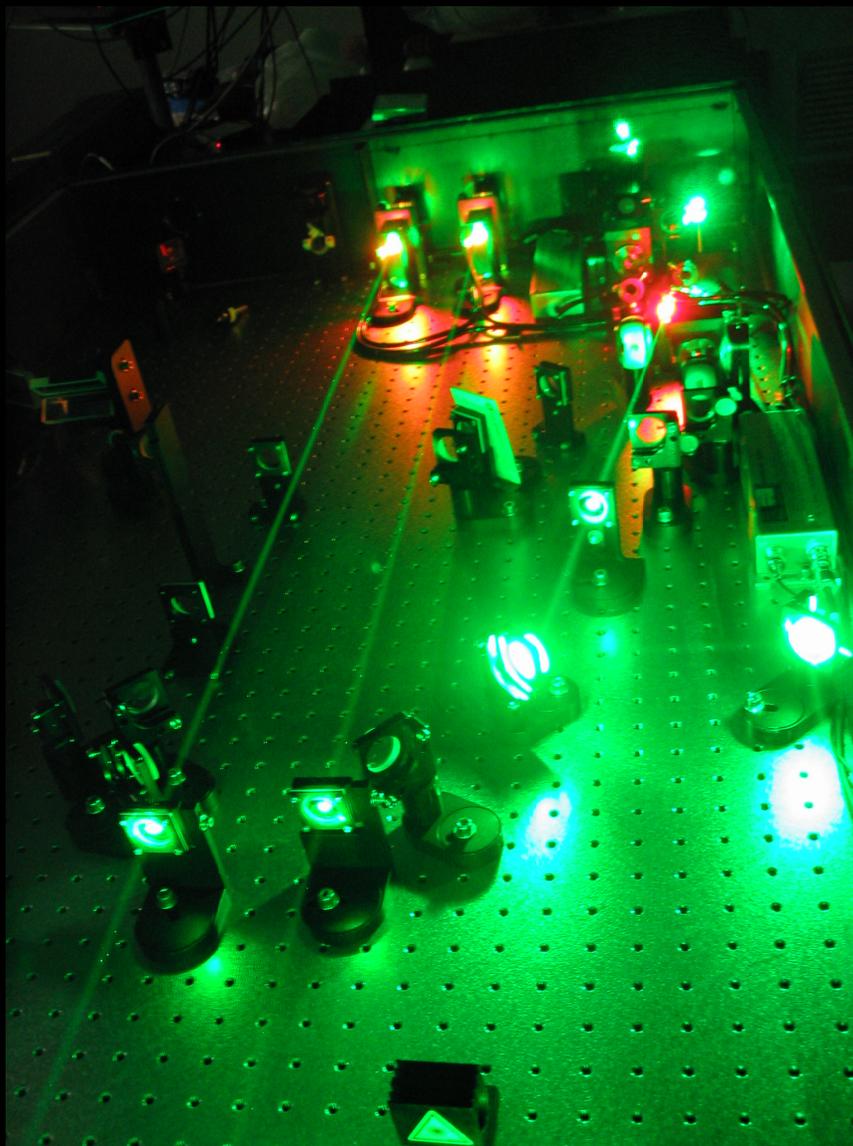
Stable operation with uniform beam quality

Low thermal emittance single crystal CeB₆ (Cerium Hexaboride)

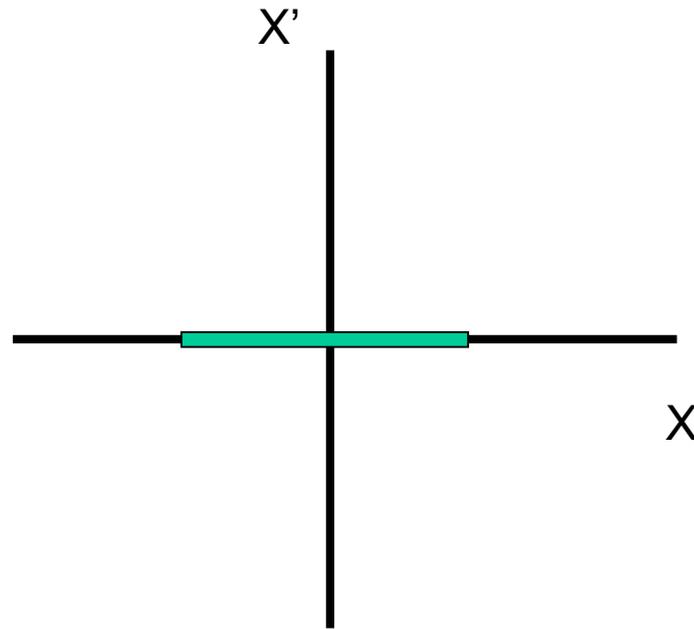
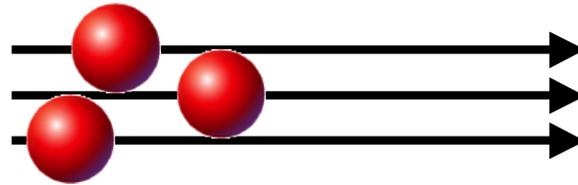
Low accelerating gradient ⇒ Low charge density

(10 MV/m) ⇒ Free from dark current

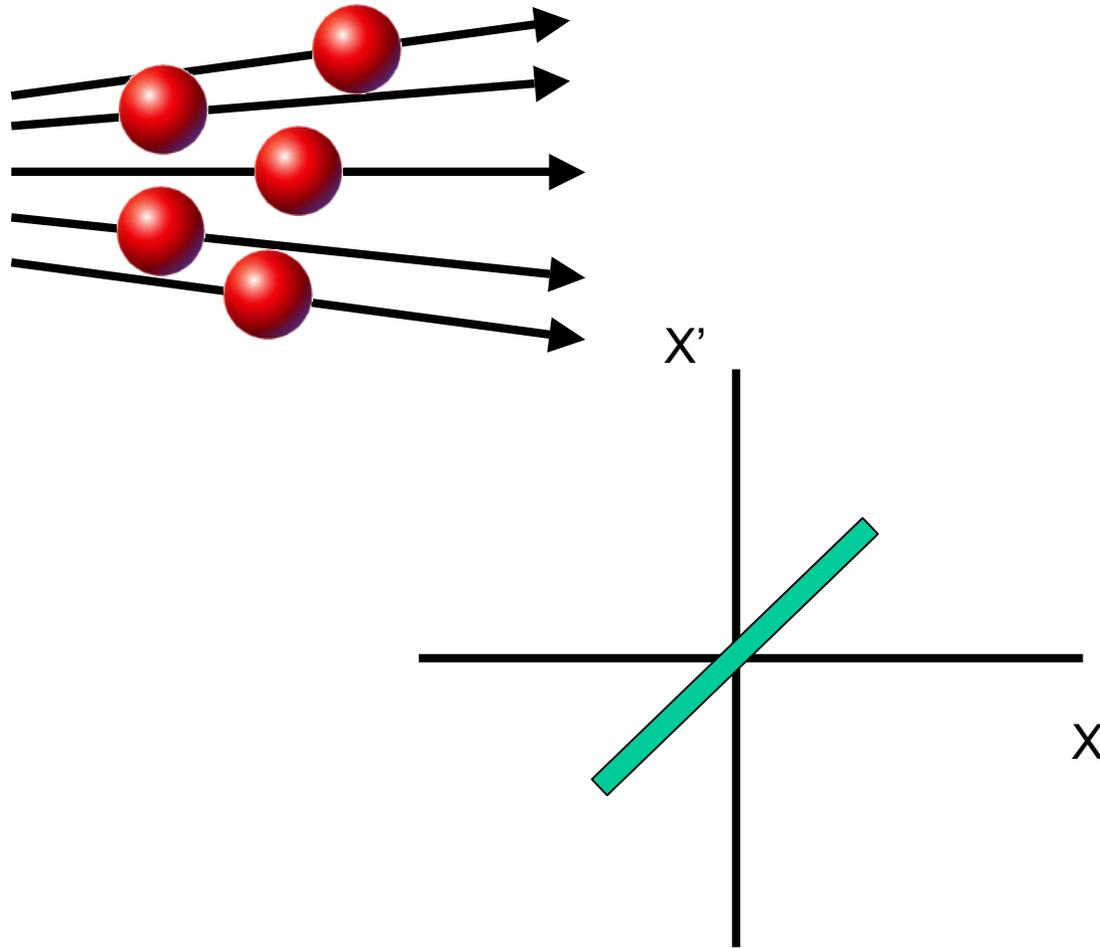
RF photoinjectors



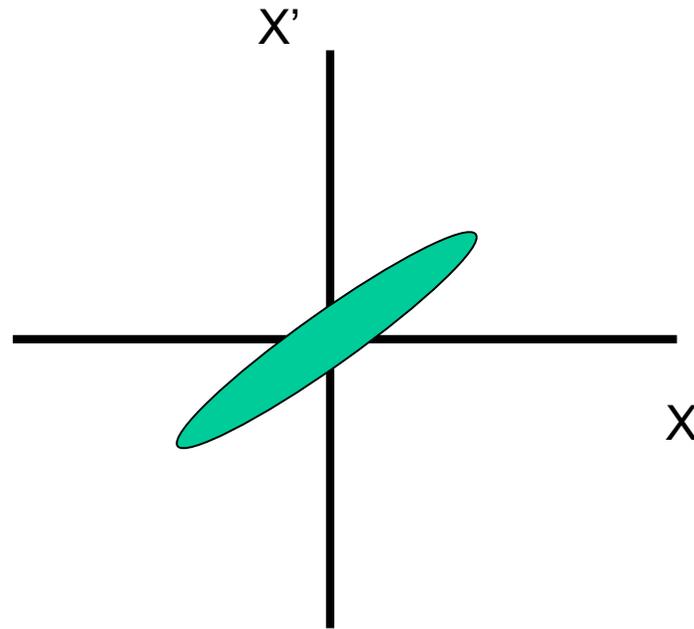
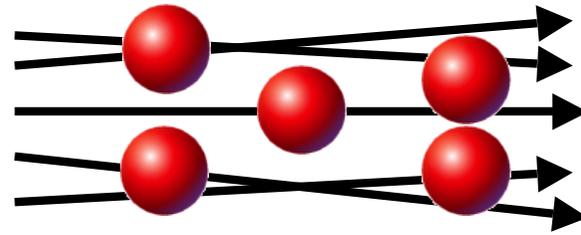
Phase space of a parallel laminar beam



Phase space laminar beam



Phase space of non laminar beam

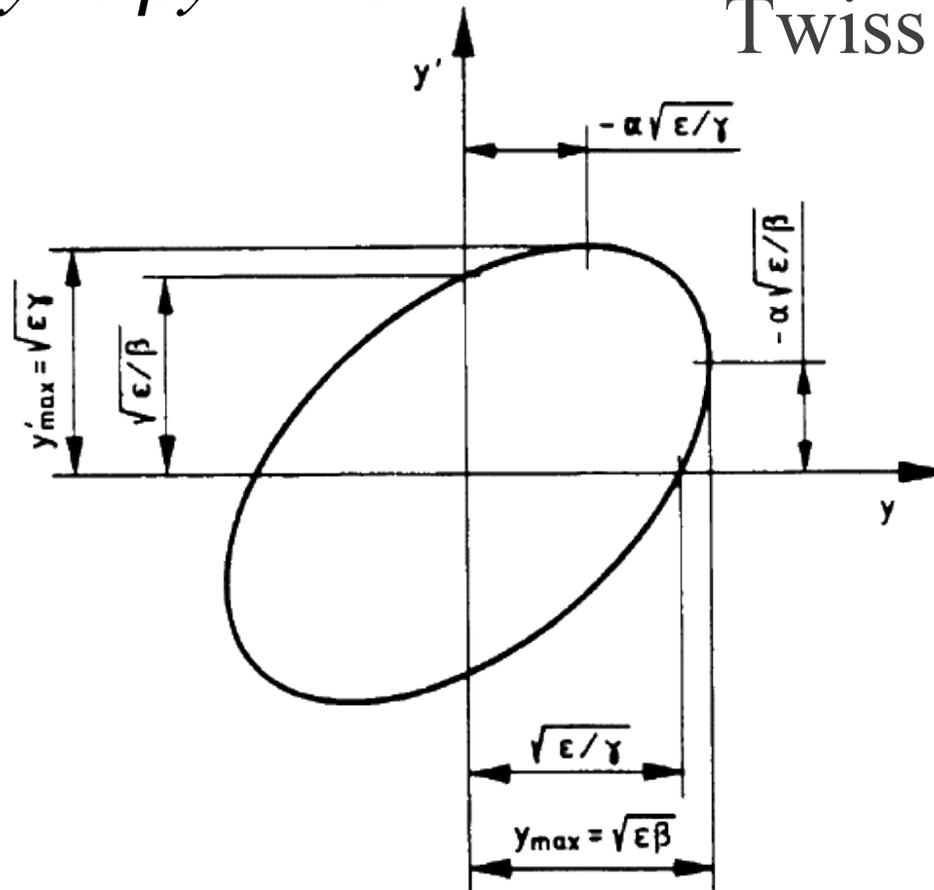


Ellipse equation

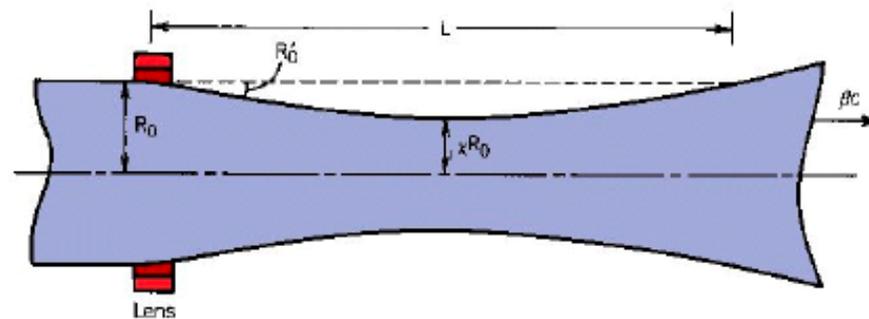
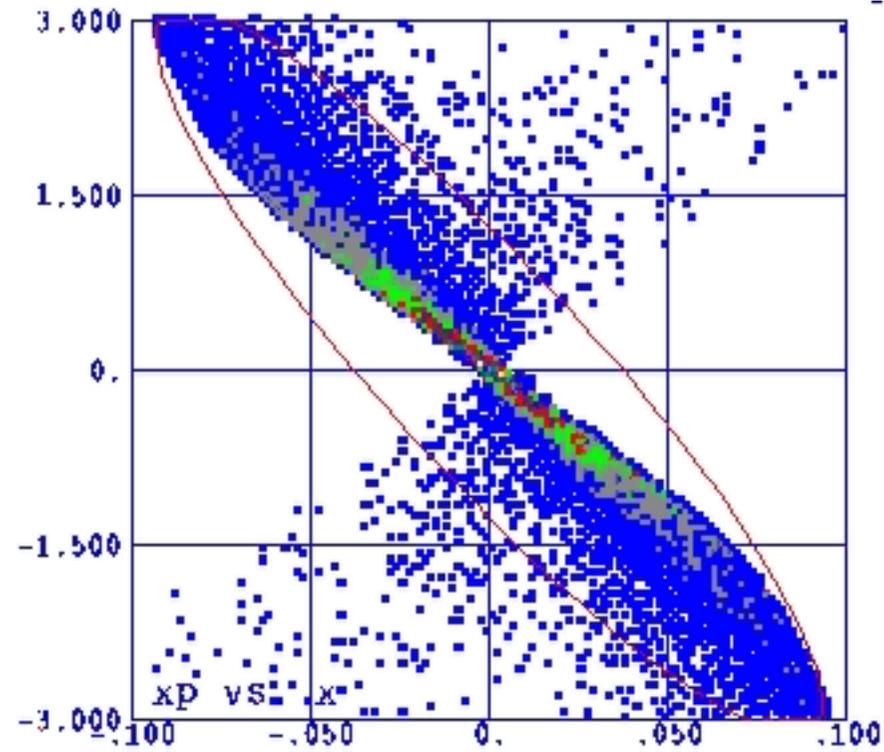
$$\gamma y^2 + 2\alpha y y' + \beta y'^2 = \varepsilon$$

Twiss parameters

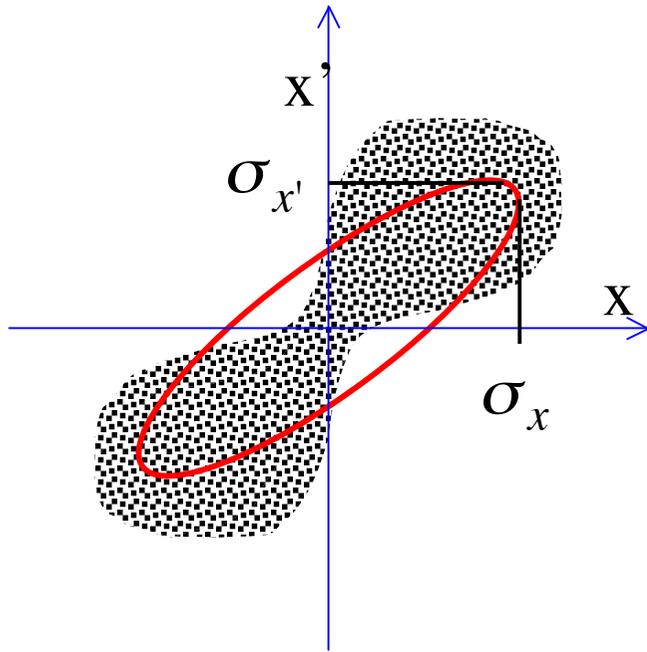
$$\beta\gamma - \alpha^2 = 1$$



Phase space evolution at injector exit



rms Envelope Equations and rms Emittance



rms beam envelope:

$$\sigma_x^2 = \langle x^2 \rangle = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} x^2 f(x, x') dx dx'$$

Define rms emittance:

$$\gamma x^2 + 2\alpha x x' + \beta x'^2 = \epsilon_{rms}$$

such that:

$$\sigma_x = \sqrt{\langle x^2 \rangle} = \sqrt{\beta \epsilon_{rms}}$$

$$\sigma_{x'} = \sqrt{\langle x'^2 \rangle} = \sqrt{\gamma \epsilon_{rms}}$$

Since: $\alpha = -\frac{\beta'}{2}$

it follows: $\alpha = -\frac{1}{2\epsilon_{rms}} \frac{d}{dz} \langle x^2 \rangle = -\frac{\langle x x' \rangle}{\epsilon_{rms}} = -\frac{\sigma_{xx'}}{\epsilon_{rms}}$

$$\sigma_x = \sqrt{\langle x^2 \rangle} = \sqrt{\beta \epsilon_{rms}}$$

$$\sigma_{x'} = \sqrt{\langle x'^2 \rangle} = \sqrt{\gamma \epsilon_{rms}}$$

$$\sigma_{xx'} = \langle xx' \rangle = \alpha \epsilon_{rms}$$

It holds also the relation: $\gamma\beta - \alpha^2 = 1$

Substituting α, β, γ we get $\frac{\sigma_{x'}^2}{\epsilon_{rms}} \frac{\sigma_x^2}{\epsilon_{rms}} - \left(\frac{\sigma_{xx'}}{\epsilon_{rms}} \right)^2 = 1$

We end up with the definition of rms emittance in terms of the second moments of the distribution:

$$\epsilon_{rms} = \sqrt{\sigma_x^2 \sigma_{x'}^2 - \sigma_{xx'}^2} = \sqrt{\left(\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2 \right)}$$

$$\epsilon_n = \langle \beta\gamma \rangle \epsilon_{rms}$$

Envelope Equation without Acceleration

Now take the derivatives:

$$\frac{d\sigma_x}{dz} = \frac{d}{dz} \sqrt{\langle x^2 \rangle} = \frac{1}{2\sigma_x} \frac{d}{dz} \langle x^2 \rangle = \frac{1}{2\sigma_x} 2\langle xx' \rangle = \frac{\sigma_{xx'}}{\sigma_x}$$

$$\frac{d^2\sigma_x}{dz^2} = \frac{d}{dz} \frac{\sigma_{xx'}}{\sigma_x} = \frac{1}{\sigma_x} \frac{d\sigma_{xx'}}{dz} - \frac{\sigma_{xx'}^2}{\sigma_x^3} = \frac{1}{\sigma_x} (\langle x'^2 \rangle - \langle xx'' \rangle) - \frac{\sigma_{xx'}^2}{\sigma_x^3} = \frac{\sigma_{x'}^2 + \langle xx'' \rangle}{\sigma_x} - \frac{\sigma_{xx'}^2}{\sigma_x^3}$$

And simplify:

$$\sigma_x'' = \frac{\sigma_x^2 \sigma_{x'}^2 - \sigma_{xx'}^2}{\sigma_x^3} - \frac{\langle xx'' \rangle}{\sigma_x} = \frac{\epsilon_{rms}^2}{\sigma_x^3} - \frac{\langle xx'' \rangle}{\sigma_x}$$

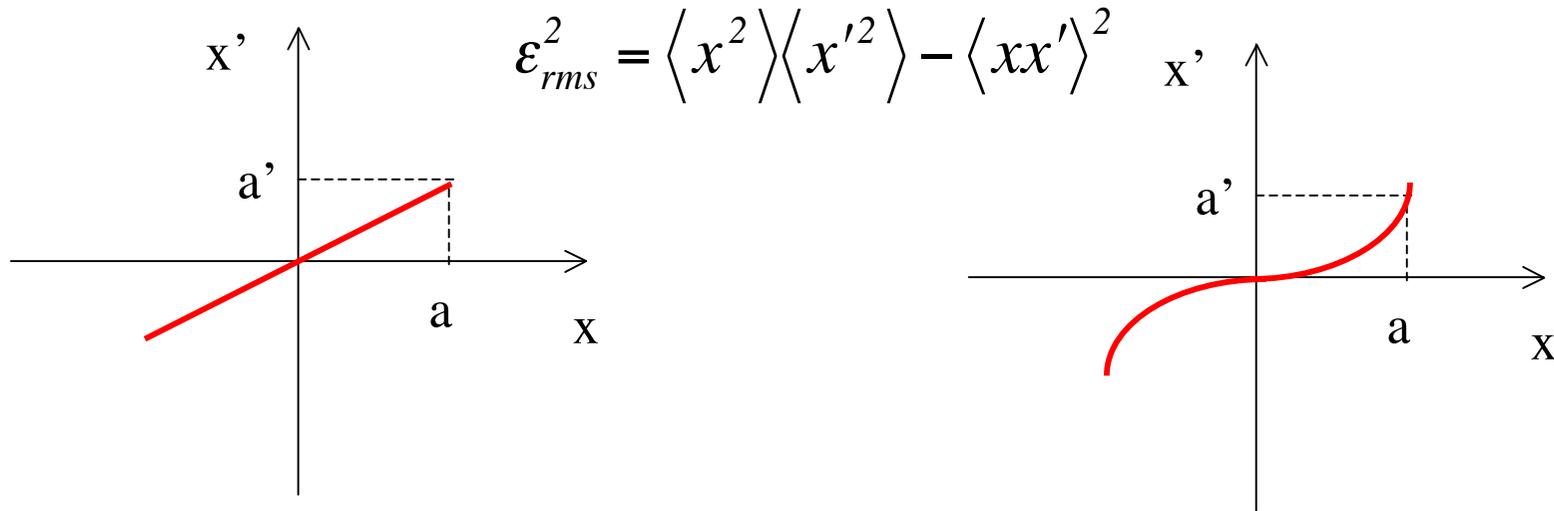
Assuming that each particle is subject only to a linear focusing force, without acceleration: $x'' + k_x^2(z)x = 0$

take the average over the entire particle ensemble $\langle xx'' \rangle = -k^2 \langle x^2 \rangle$

$$\sigma_x'' + k_x^2 \sigma_x = \frac{\epsilon_{rms}^2}{\sigma_x^3}$$

We obtain the rms envelope equation in which the rms emittance enters as defocusing pressure like term

What does rms emittance tell us about phase space distributions under linear or non-linear forces acting on the beam?



Assuming a generic x, x' correlation of the type: $x' = Cx^n$

$$\epsilon_{rms}^2 = C^2 \left(\langle x^2 \rangle \langle x^{2n} \rangle - \langle x^{n+1} \rangle^2 \right)$$

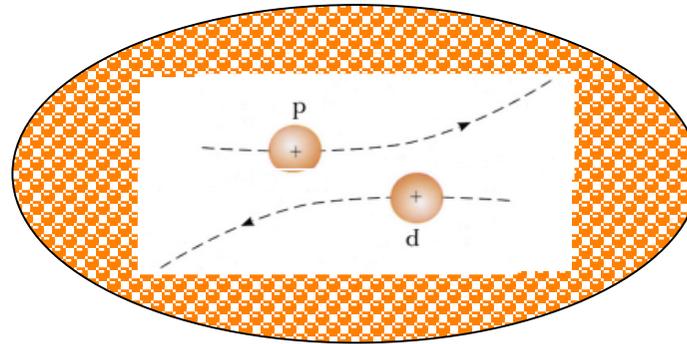
When $n = 1 \implies \epsilon_{rms} = 0$

When $n \neq 1 \implies \epsilon_{rms} \neq 0$

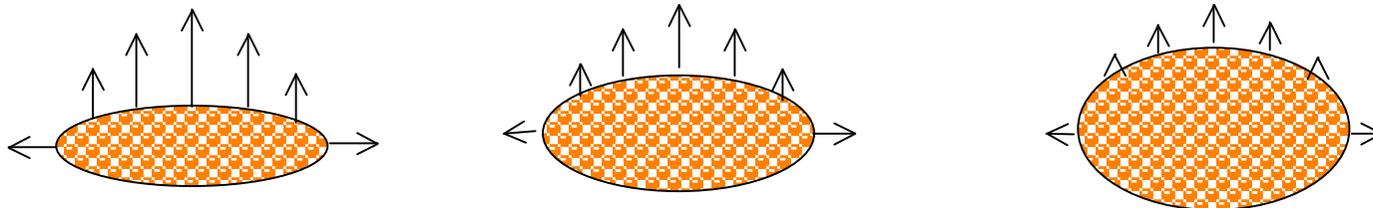
Space Charge: What does it mean?

The net effect of the **Coulomb** interactions in a multi-particle system can be classified into two regimes:

- 1) **Collisional Regime** ==> dominated by **binary collisions** caused by close particle encounters ==> **Single Particle Effects**

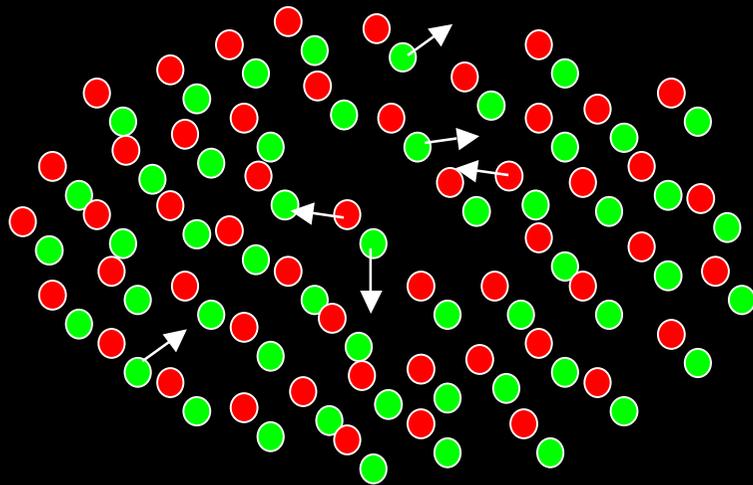


- 2) **Space Charge Regime** ==> dominated by the **self field** produced by the particle distribution, which varies appreciably only over large distances compare to the average separation of the particles ==> **Collective Effects, Single Component Cold Plasma**



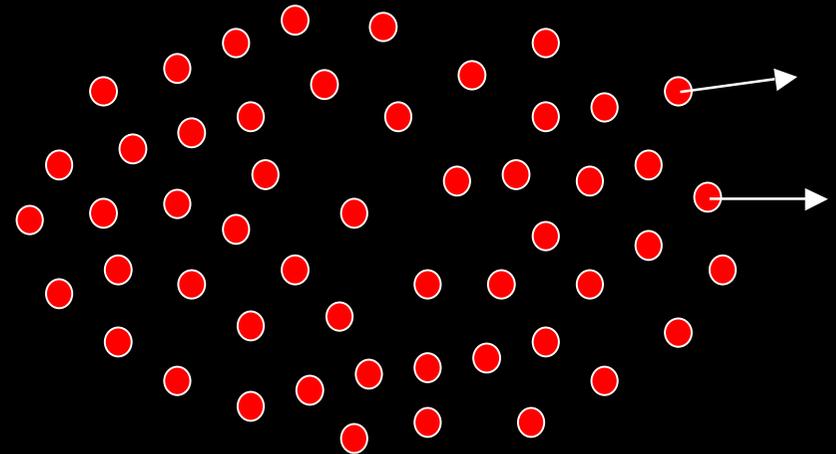
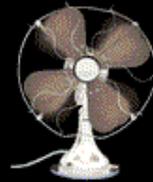
Neutral Plasma

- Oscillations
- Instabilities
- EM Wave propagation



Single Component Cold Relativistic Plasma

Magnetic focusing

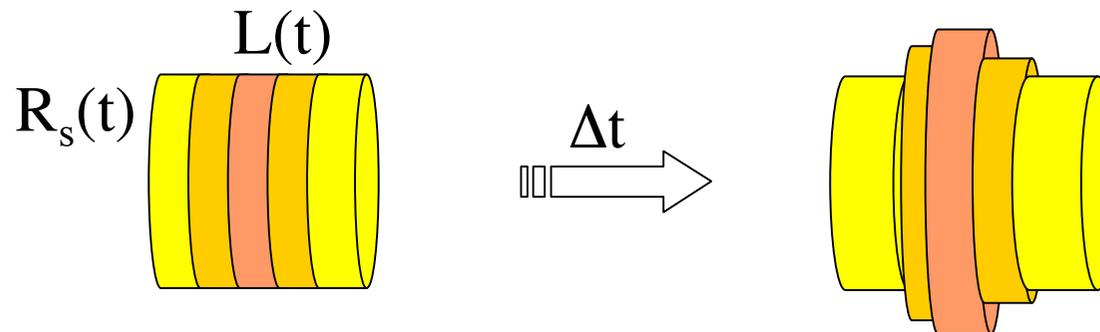
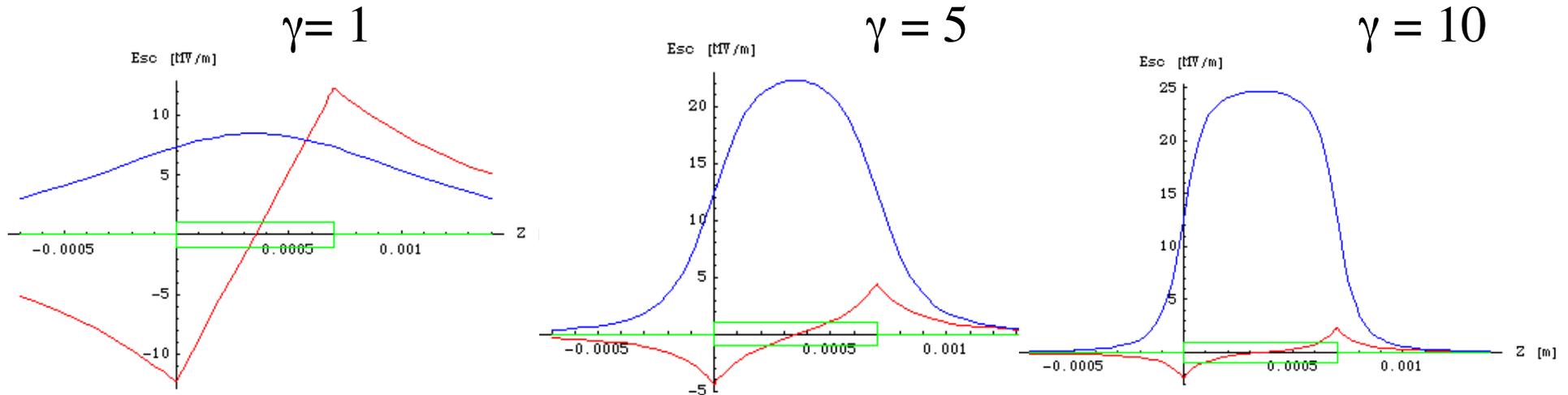


Magnetic focusing

Longitudinal and Transverse Space charge Fields In a uniform charged cylindrical bunch

$$E_z(0, s, \gamma) = \frac{I}{2\pi\gamma\epsilon_0 R^2 \beta c} h(s, \gamma)$$

$$E_r(r, s, \gamma) = \frac{Ir}{2\pi\epsilon_0 R^2 \beta c} g(s, \gamma)$$



$$B_{\vartheta} = \frac{\beta}{c} E_r$$

Lorentz Force

$$E_r(r, s, \gamma) = \frac{Ir}{2\pi\epsilon_0 R^2 \beta c} g(s, \gamma)$$

$$F_r = e(E_r - \beta c B_{\vartheta}) = e(1 - \beta^2) E_r = \frac{eE_r}{\gamma^2}$$

is a **linear** function of the transverse coordinate

$$\frac{dp_r}{dt} = F_r = \frac{eE_r}{\gamma^2} = \frac{eIr}{2\pi\gamma^2 \epsilon_0 R^2 \beta c} g(s, \gamma)$$

The attractive magnetic force, which becomes significant at high velocities, tends to compensate for the repulsive electric force. **Therefore space charge defocusing is primarily a non-relativistic effect.**

$$F_x = \frac{eIx}{2\pi\gamma^2 \epsilon_0 \sigma_x^2 \beta c} g(s, \gamma)$$

Envelope Equation with Space Charge

Single particle transverse motion:

$$\frac{dp_x}{dt} = F_x \quad p_x = p_o x' = \beta \gamma m_o c x'$$

$$\frac{d}{dt}(p_o x') = \beta c \frac{d}{dz}(p_o x') = F_x$$

$$x'' = \frac{F_x}{\beta c p_o}$$

$$x'' = \frac{k_{sc}(s, \gamma)}{\sigma_x^2} x$$

Space Charge de-focusing force

Generalized perveance

$$k_{sc}(s, \gamma) = \frac{2I}{I_A (\beta \gamma)^3} g(s, \gamma)$$

$$I_A = \frac{4 \pi \epsilon_o m_o c^3}{e} = 17 \text{ kA}$$

Now we can calculate the term $\langle xx'' \rangle$ that enters in the envelope equation

$$\langle xx'' \rangle = \frac{k_{sc}}{\sigma_x^2} \langle x^2 \rangle = k_{sc}$$

$$\sigma_x'' = \frac{\varepsilon_{rms}^2}{\sigma_x^3} - \frac{\langle xx'' \rangle}{\sigma_x}$$

Including all the other terms the envelope equation reads:

Space Charge De-focusing Force

$$\sigma_x'' + k^2 \sigma_x = \frac{\varepsilon_n^2}{(\beta\gamma)^2 \sigma_x^3} + \frac{k_{sc}}{\sigma_x}$$

Emittance Pressure

External Focusing Forces

Laminarity Parameter: $\rho = \frac{(\beta\gamma)^2 k_{sc} \sigma_x^2}{\varepsilon_n^2}$

The beam undergoes two regimes along the accelerator

$$\sigma_x'' + k^2 \sigma_x = \frac{\cancel{\varepsilon_n^2}}{\cancel{(\beta\gamma)^2} \sigma_x^3} + \frac{k_{sc}}{\sigma_x}$$

$\rho \gg 1$

Laminar Beam

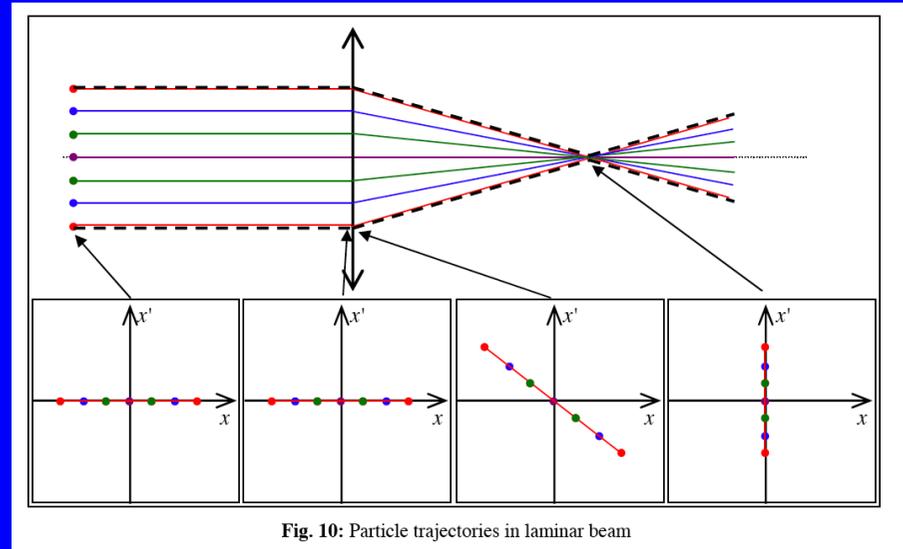


Fig. 10: Particle trajectories in laminar beam

$$\sigma_x'' + k^2 \sigma_x = \frac{\varepsilon_n^2}{(\beta\gamma)^2 \sigma_x^3} + \cancel{\frac{k_{sc}}{\sigma_x}}$$

$\rho \ll 1$

Thermal Beam

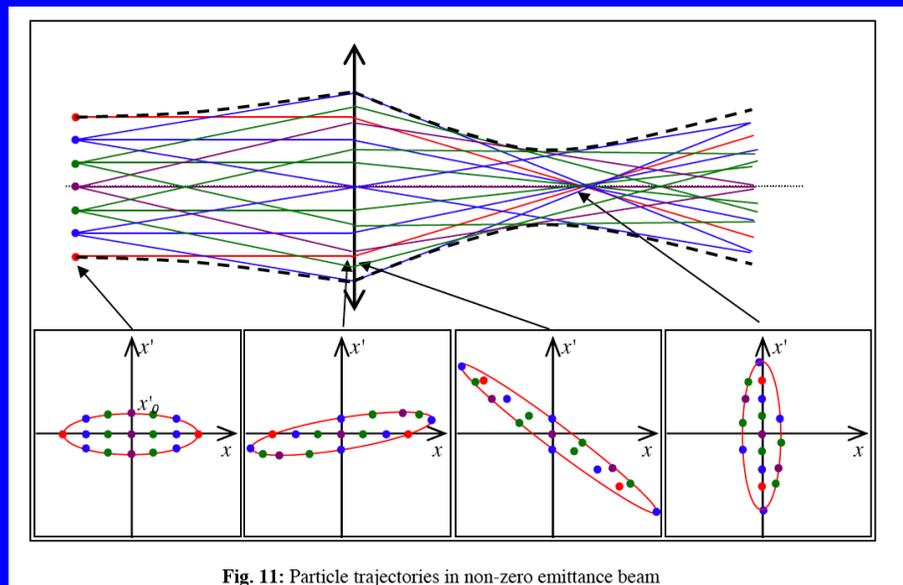


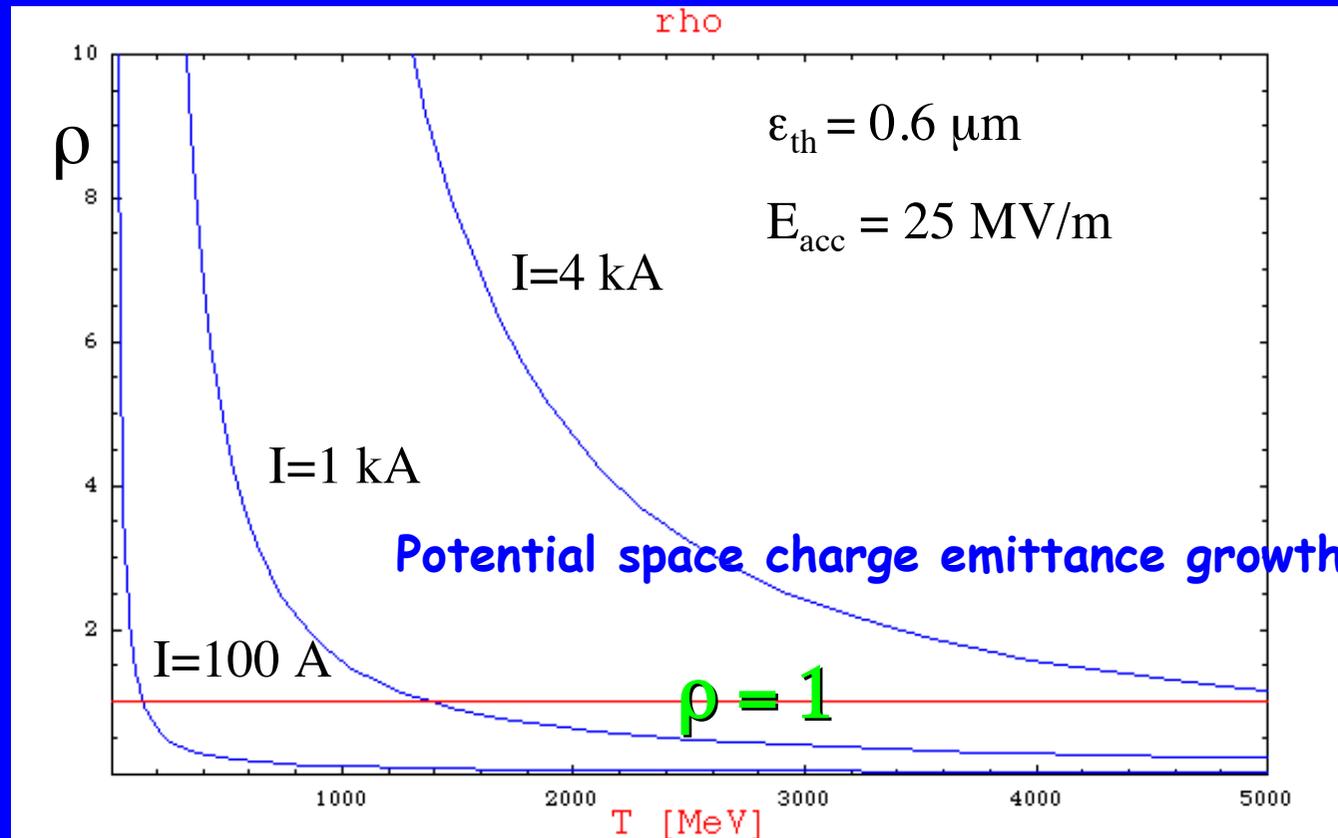
Fig. 11: Particle trajectories in non-zero emittance beam

Laminarity parameter

$$\rho = \frac{2I\sigma^2}{\gamma I_A \varepsilon_n^2} \equiv \frac{2I\sigma_q^2}{\gamma I_A \varepsilon_n^2} = \frac{4I^2}{\gamma'^2 I_A^2 \varepsilon_n^2 \gamma^2}$$

Transition Energy ($\rho=1$)

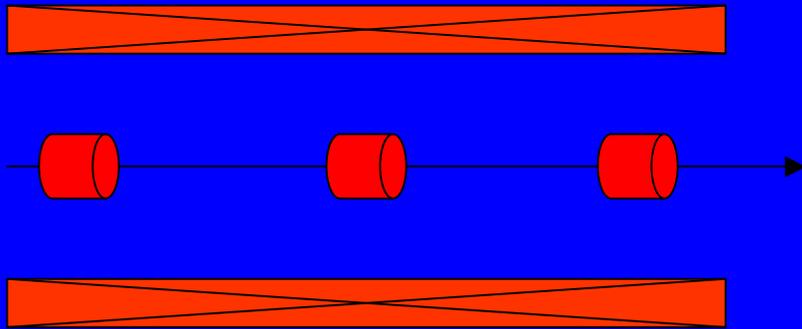
$$\gamma_{tr} = \frac{2I}{\gamma' I_A \varepsilon_n}$$



**Space Charge induced emittance oscillations
in a laminar beam**

Simple Case: Transport in a Long Solenoid

$$k_s = \frac{qB}{2mc\beta\gamma}$$



$$\sigma'' + k_s^2 \sigma = \frac{k_{sc}(s, \gamma)}{\sigma}$$

$$\sigma = \sigma_{eq}$$

\implies Equilibrium solution ? \implies

$$\sigma_{eq}(s, \gamma) = \frac{\sqrt{k_{sc}(s, \gamma)}}{k_s}$$

Small perturbations around the equilibrium solution

$$\sigma'' + k_s^2 \sigma = \frac{k_{sc}(s, \gamma)}{\sigma}$$

$$\sigma(\xi) = \sigma_{eq}(s) + \delta\sigma(s)$$

$$\delta\sigma'' + k_s^2 (\sigma_{eq} + \delta\sigma) = \frac{k_{sc}(s, \gamma)}{\sigma_{eq}} \left(1 - \frac{\delta\sigma}{\sigma_{eq}} \right)$$

$$\sigma_{eq}(\xi) = \frac{\sqrt{k_{sc}(s, \gamma)}}{k_s}$$

$$\delta\sigma''(s) + 2k_s^2 \delta\sigma(s) = 0$$

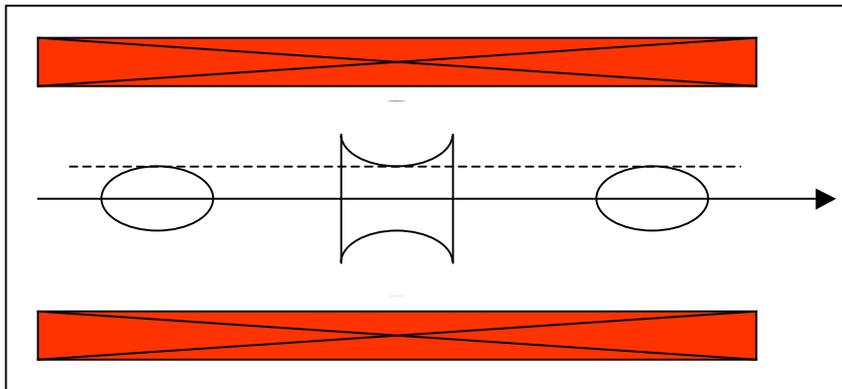
$$\delta\sigma'' + 2k_s^2\delta\sigma = 0$$

$$\sigma = \sigma_{eq} + \delta\sigma$$

Perturbed trajectories oscillate around the equilibrium with the same frequency but with different amplitudes

$$\sigma(s) = \sigma_{eq}(s) + (\sigma(s) - \sigma_{eq}(s)) \cos(\sqrt{2}k_s z)$$

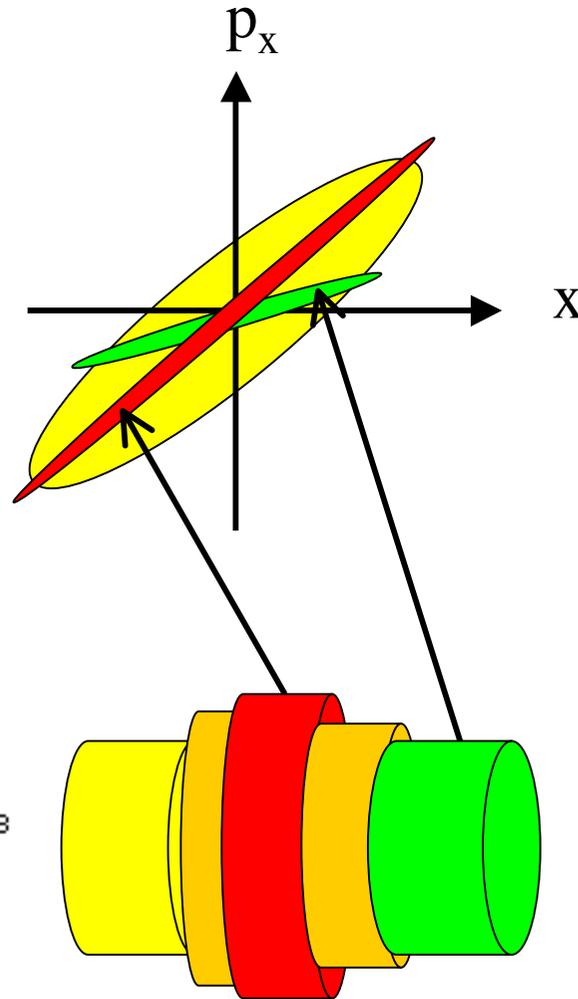
$$\sigma'(s) = -\sqrt{2}k_s (\sigma(s) - \sigma_{eq}(s)) \sin(\sqrt{2}k_s z)$$



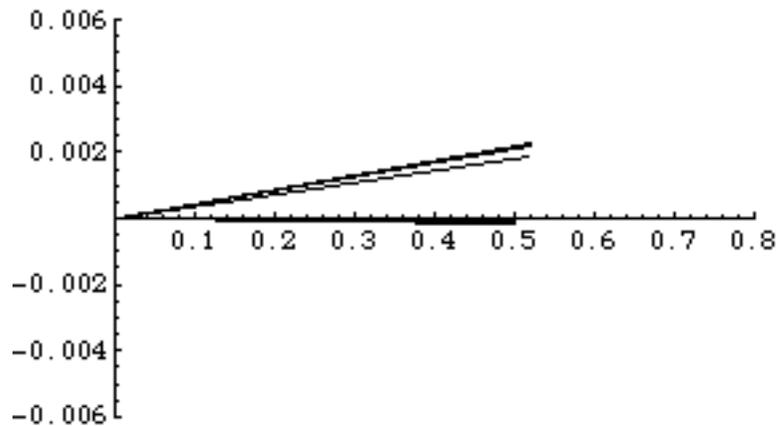
Plasma frequency

Emittance Oscillations are driven by space charge differential defocusing in core and tails of the beam

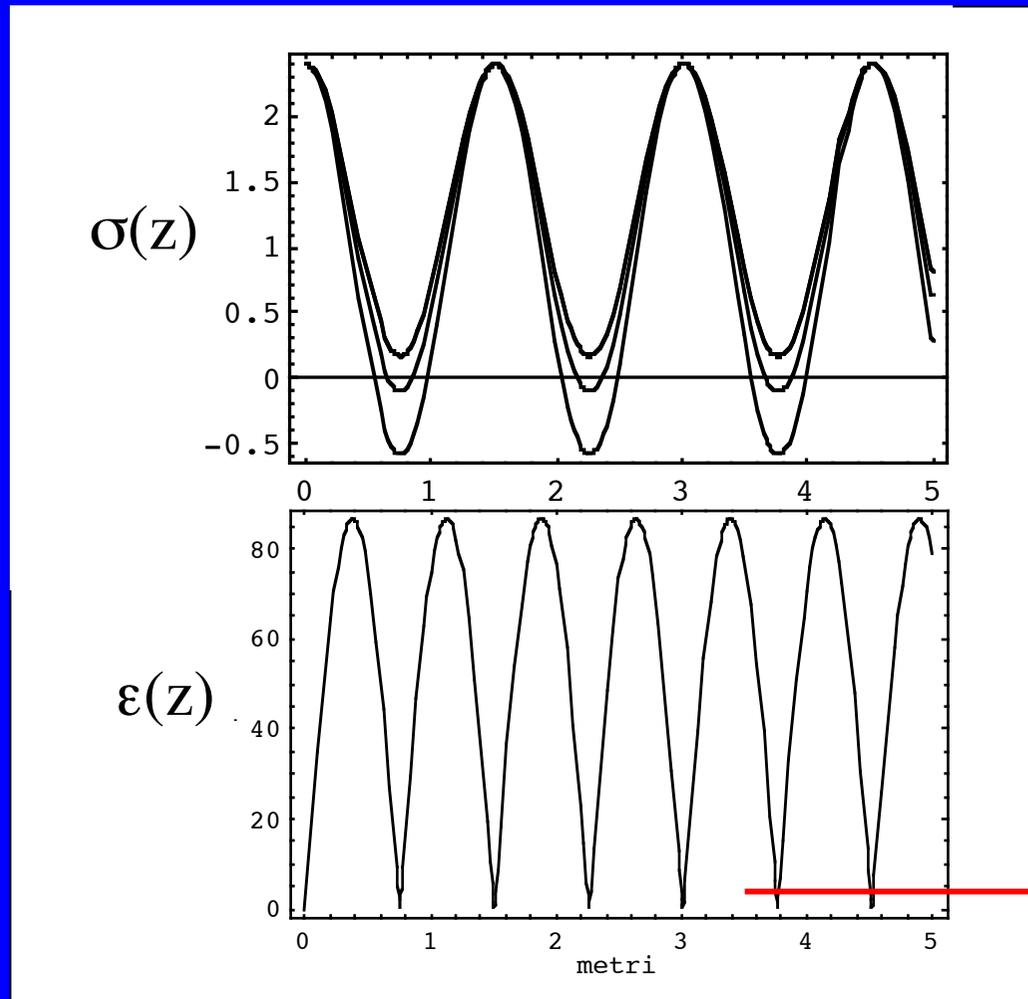
Projected Phase Space



Slice Phase Spaces



Envelope oscillations drive Emittance oscillations

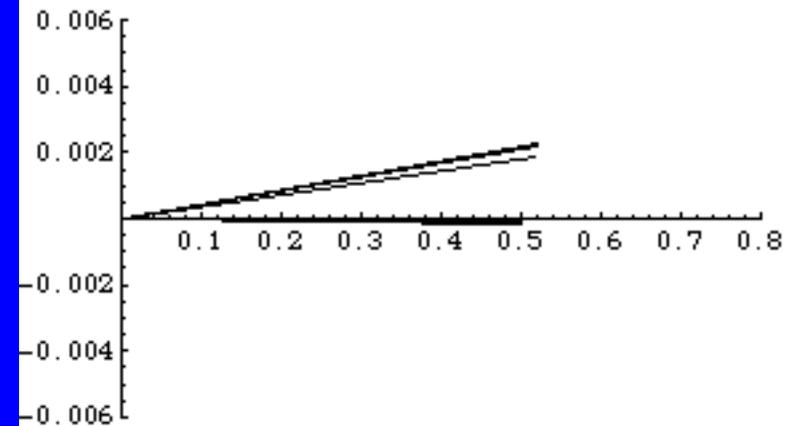
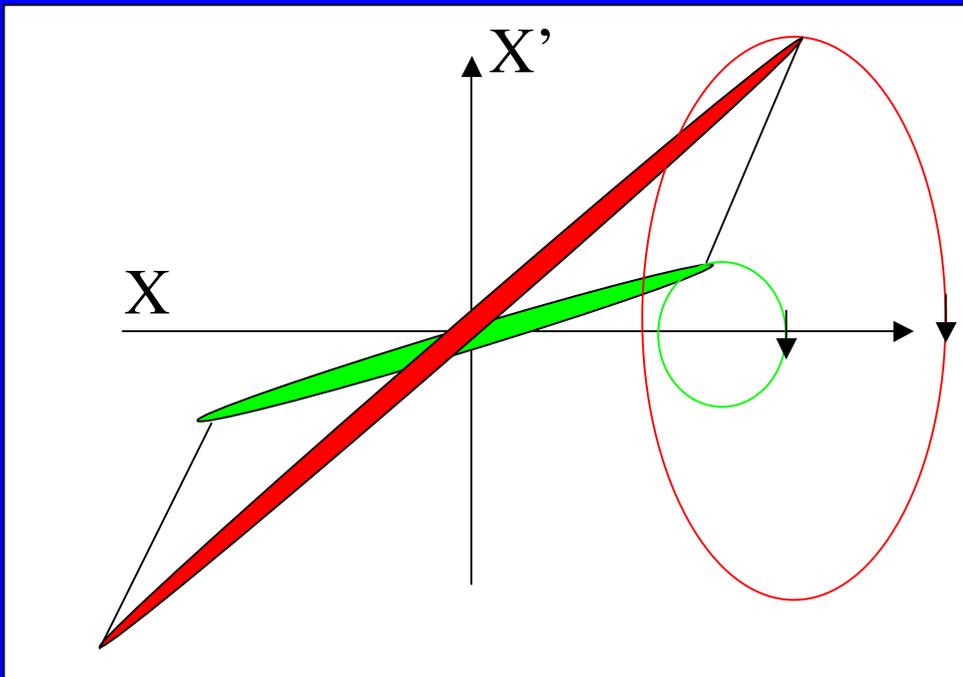


$$\frac{\delta\gamma}{\gamma} = 0$$

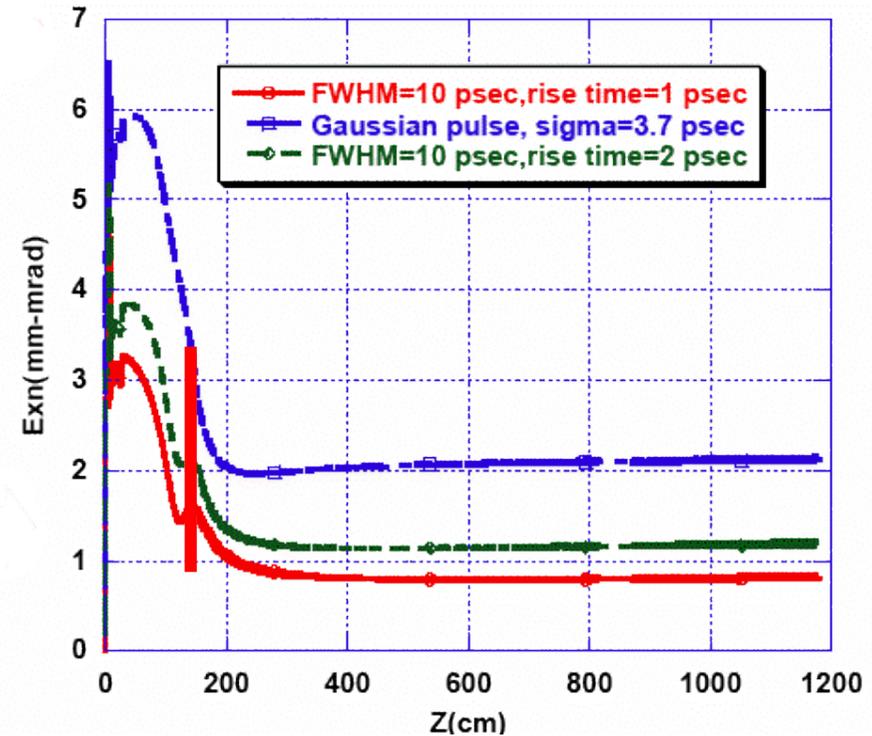
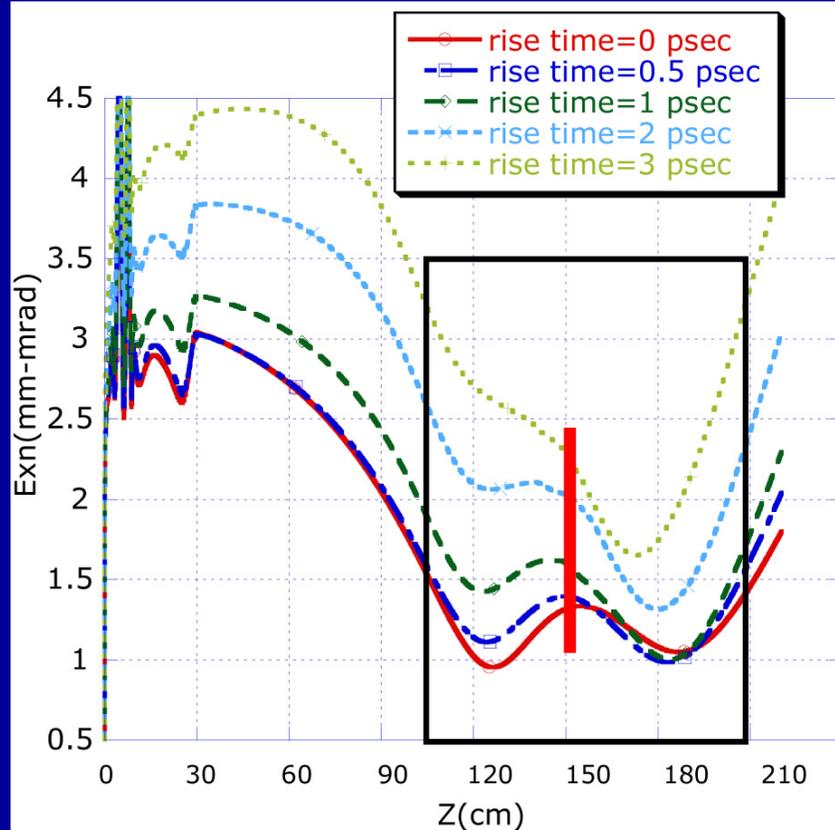
$$\sigma' = 0$$

$$\epsilon_{rms} = \sqrt{\sigma_x^2 \sigma_{x'}^2 - \sigma_{xx'}^2} = \sqrt{\left(\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2 \right)} \approx \left| \sin(\sqrt{2} k_s z) \right|$$

Perturbed trajectories oscillate around the equilibrium with the same frequency but with different amplitudes



Emittance evolution for different pulse shapes

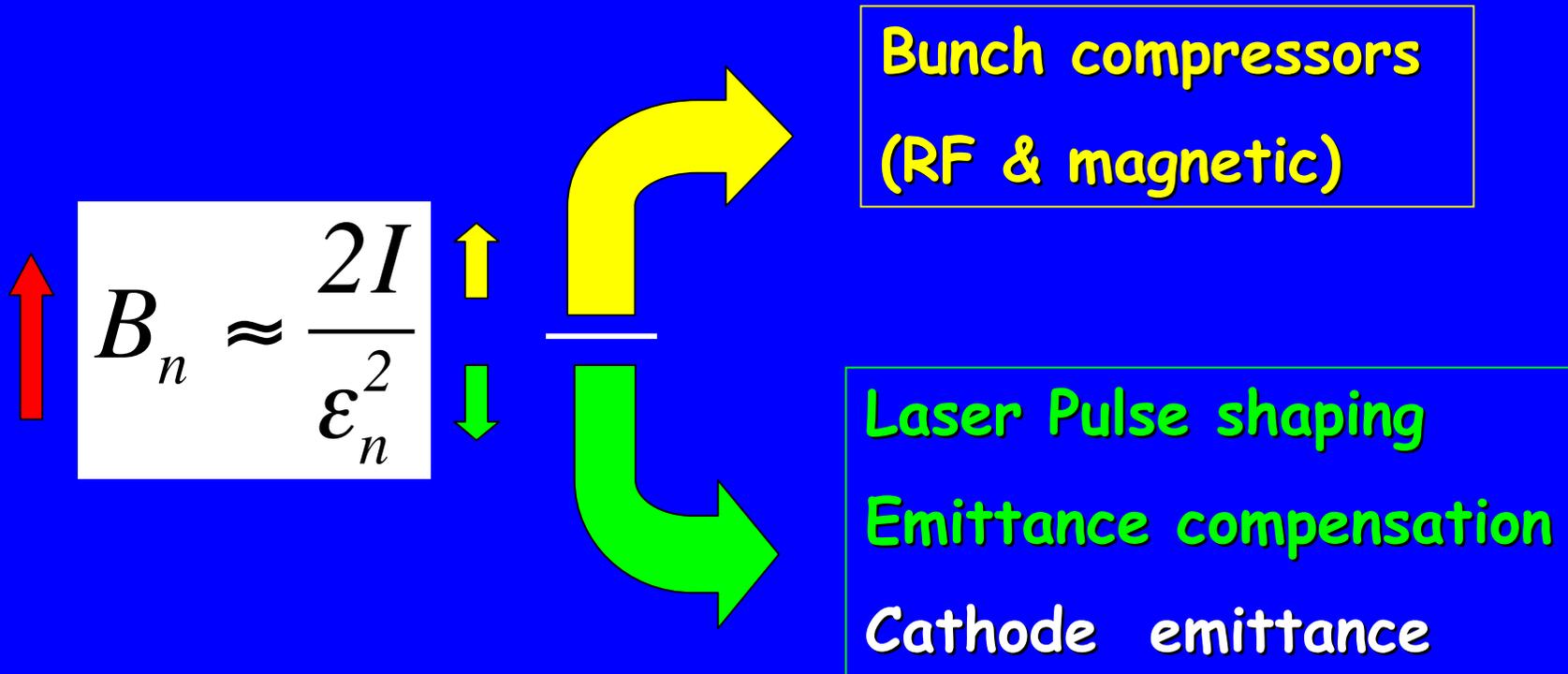


Optimum injection in to the linac with:

$$\sigma' = 0$$

$$\gamma' = \frac{eE_{acc}}{mc^2} = \frac{2}{\sigma} \sqrt{\frac{I}{2\gamma I_A}}$$

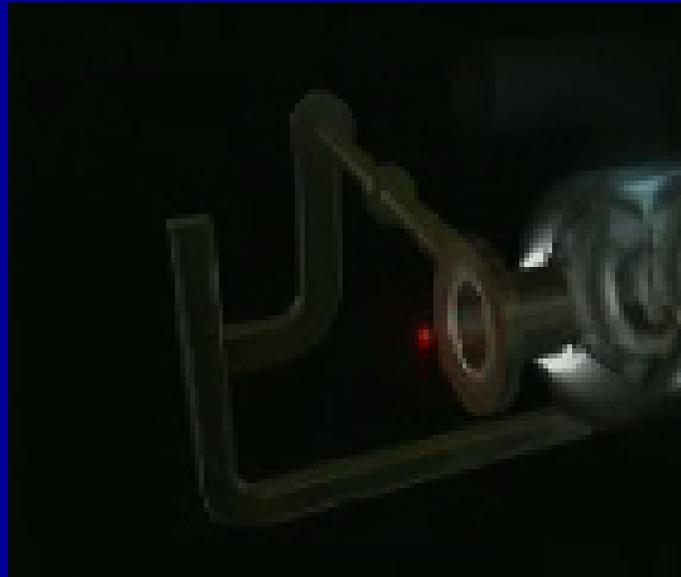
Short Wavelength SASE FEL Electron Beam Requirement: High Brightness $B_n > 10^{15} \text{ A/m}^2$



The paradox of relativistic bunch compression

Low energy electron bunch injected in a linac:

$$\begin{aligned}\gamma &\approx 1 \\ L_b &= 3\text{mm} = L'_b \\ I &= 100\text{A}\end{aligned}$$



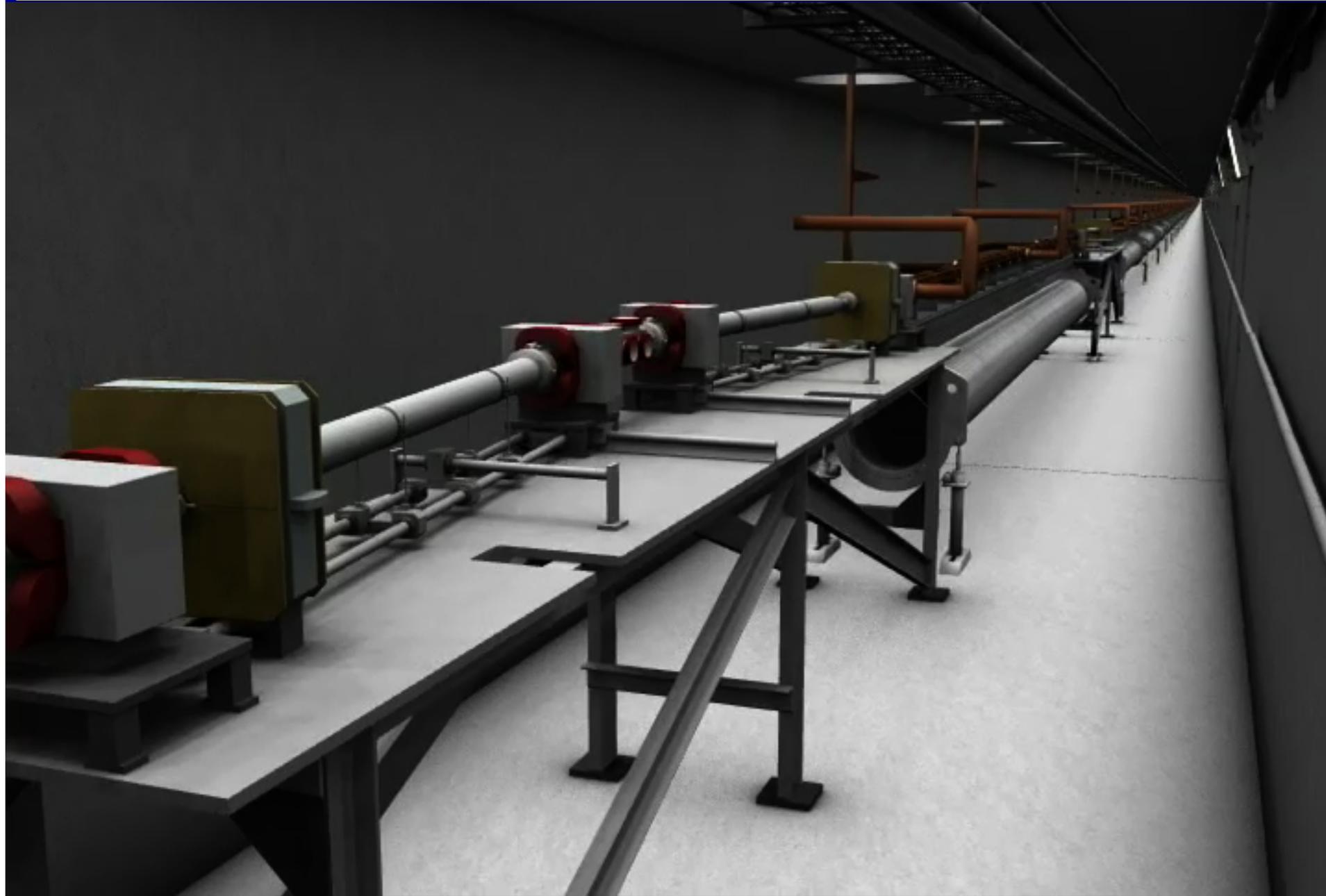
Length contraction?

~~$$\begin{aligned}\gamma &= 1000 \\ L_b &= \frac{L'_b}{\gamma} = 3\mu\text{m} \\ I &= 100\text{kA}\end{aligned}$$~~

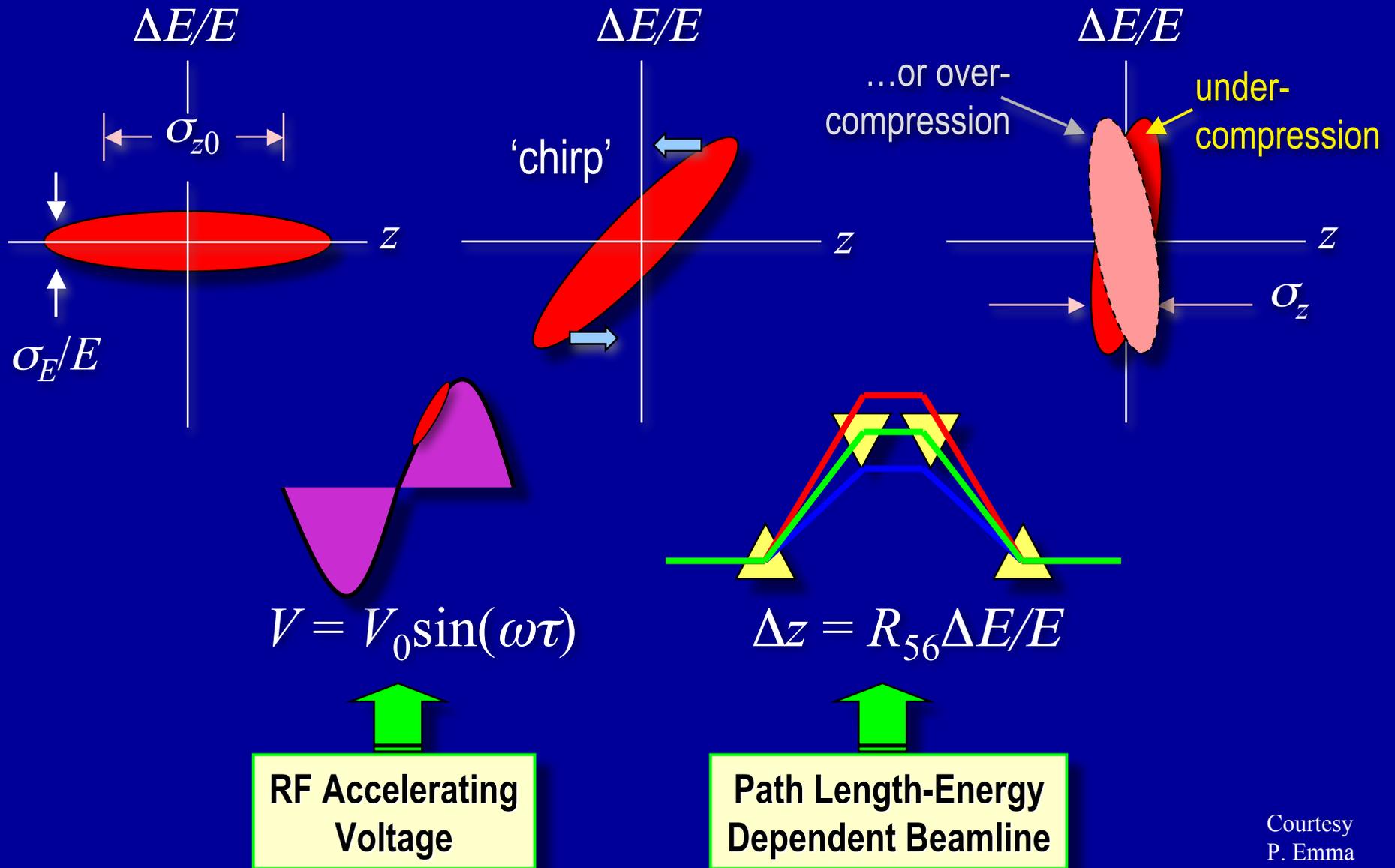
Why do we need a bunch compressor?

$$\begin{aligned}L''_b &= \gamma L_b = 30\text{m} \\ L_b &= \frac{L''_b}{\gamma} = 3\text{mm} \\ I &= 100\text{A}\end{aligned}$$

Magnetic compressor (Chicane)

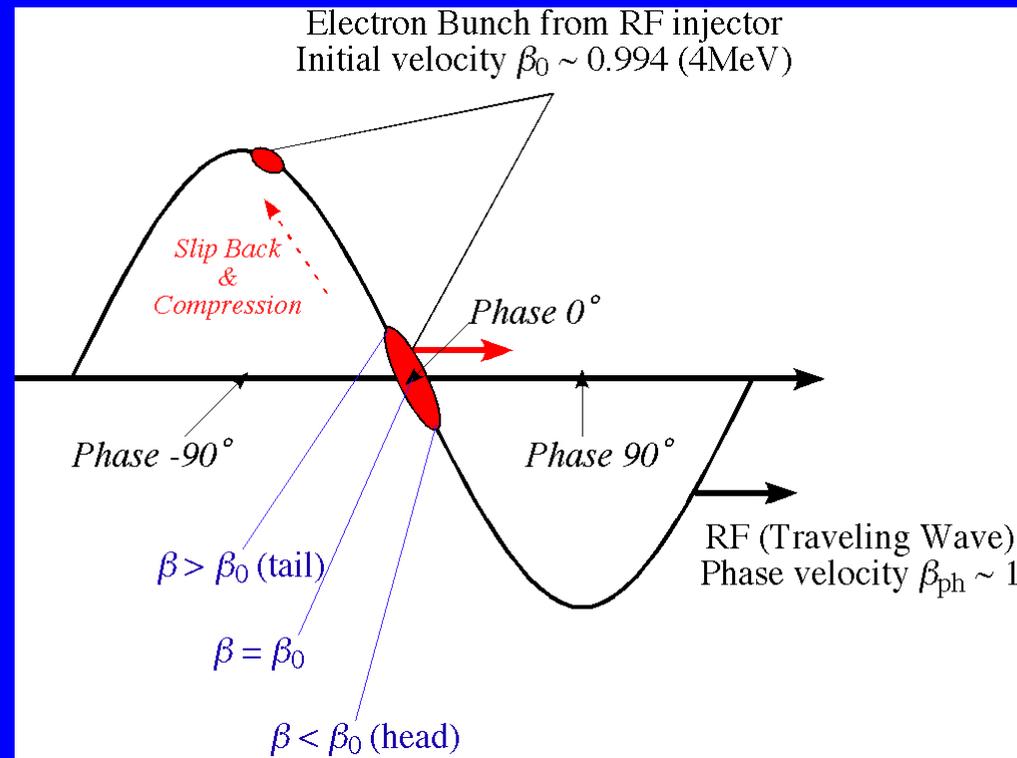


Magnetic compressor (Chicane)



Velocity bunching concept (RF Compressor)

If the beam injected in a long accelerating structure at the crossing field phase and it is slightly slower than the phase velocity of the RF wave, it will slip back to phases where the field is accelerating, but at the same time it will be chirped and compressed.



The key point is that compression and acceleration take place at the same time within the same linac section, actually the first section following the gun, that typically accelerates the beam, under these conditions, from a few MeV (> 4) up to 25-35 MeV.

Longitudinal beam dynamics

$$\frac{d}{dz}(\gamma m_0 c^2) = eE \sin(kz - \omega t + \varphi_0)$$

$$\frac{d\gamma}{dz} = \frac{eE}{m_0 c^2} \sin(kz - \omega t + \varphi_0)$$

$$\frac{d\gamma}{dz} = \alpha k \sin(\varphi(z, t)) \quad \alpha = \frac{eE}{km_0 c^2}$$

$$\frac{d\varphi}{dz} = \frac{d}{dz}(kz - \omega t + \varphi_0) = \left(k - \omega \frac{dt}{dz}\right) = \left(k - \frac{\omega}{\beta c}\right)$$

$$= k \left(1 - \frac{1}{\beta}\right) = k \left(1 - \frac{\gamma}{\sqrt{\gamma^2 - 1}}\right)$$

$$\begin{cases} \frac{d\gamma}{dz} = \alpha k \sin(\varphi) \\ \frac{d\varphi}{dz} = k \left(1 - \frac{\gamma}{\sqrt{\gamma^2 - 1}}\right) \end{cases}$$

$$\begin{cases} \frac{d\gamma}{dz} \xrightarrow{\gamma \rightarrow \infty} \alpha k \sin(\varphi_\infty) \\ \frac{d\varphi}{dz} \xrightarrow{\gamma \rightarrow \infty} 0 \end{cases}$$

Such a system is solved using the variable separation technique to yield a constant of the motion (total energy):

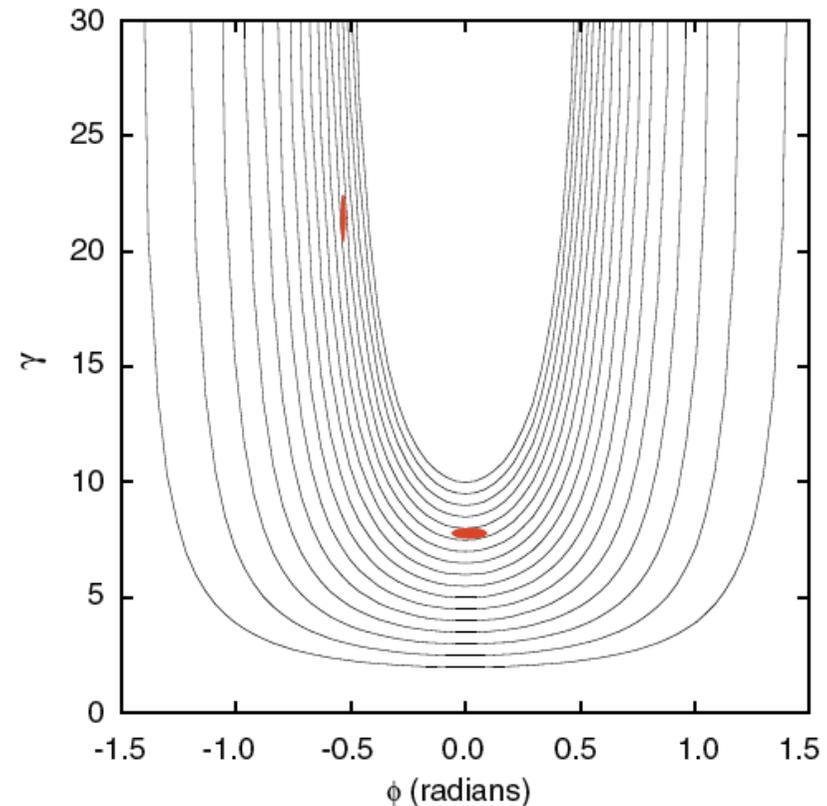
$$H = \gamma - \sqrt{\gamma^2 - 1} - \alpha \cos(\phi)$$

$$\frac{I}{C} = \frac{\Delta\varphi_\infty}{\Delta\varphi_0} = \frac{\sin\varphi_0}{\sin\varphi_\infty} + \frac{I}{2\alpha\gamma_0^2 \sin\varphi_\infty} \frac{\Delta\gamma_0}{\Delta\varphi_0}$$

$$H = \gamma - \sqrt{\gamma^2 - 1} - \alpha \cos(\phi)$$

$$-\alpha \cos\phi_\infty = \gamma_0 - \sqrt{\gamma_0^2 - 1} - \alpha \cos\phi_0$$

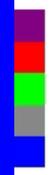
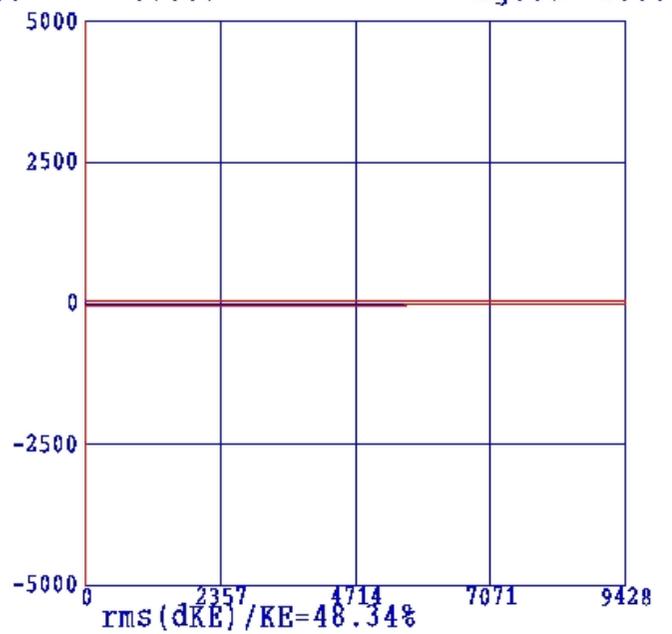
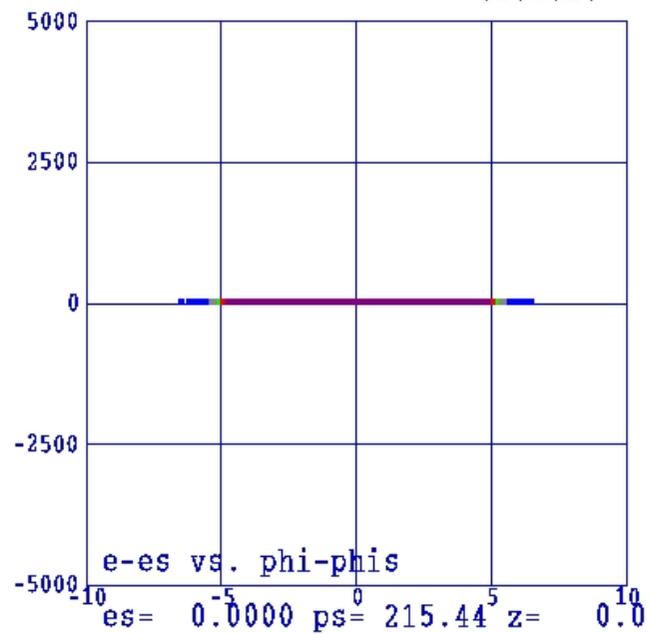
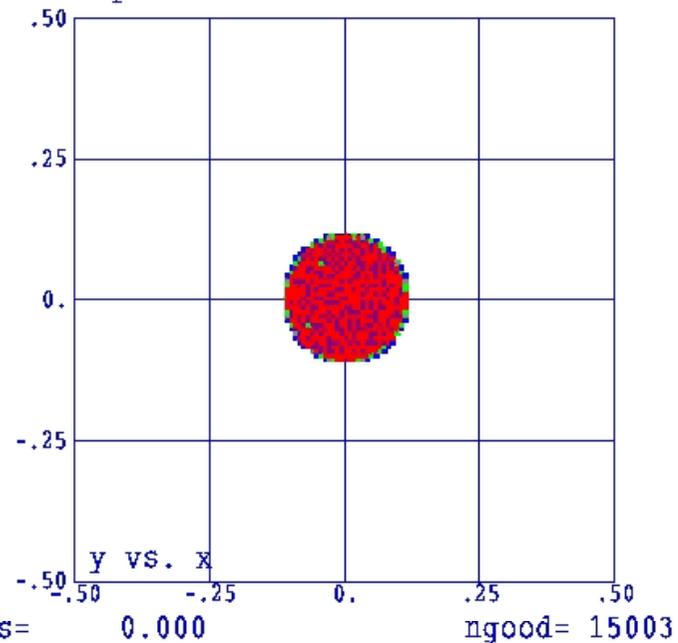
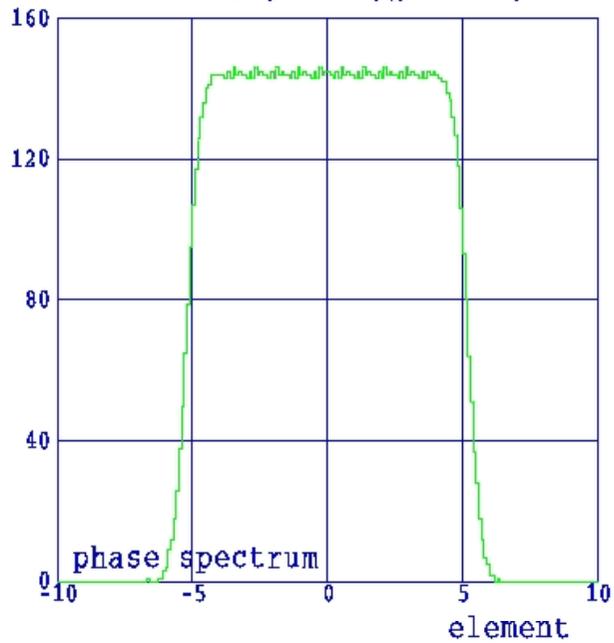
$$\phi_\infty \cong \cos^{-1} \left[\cos\phi_0 - \frac{1}{2\alpha\gamma_0} \right]$$



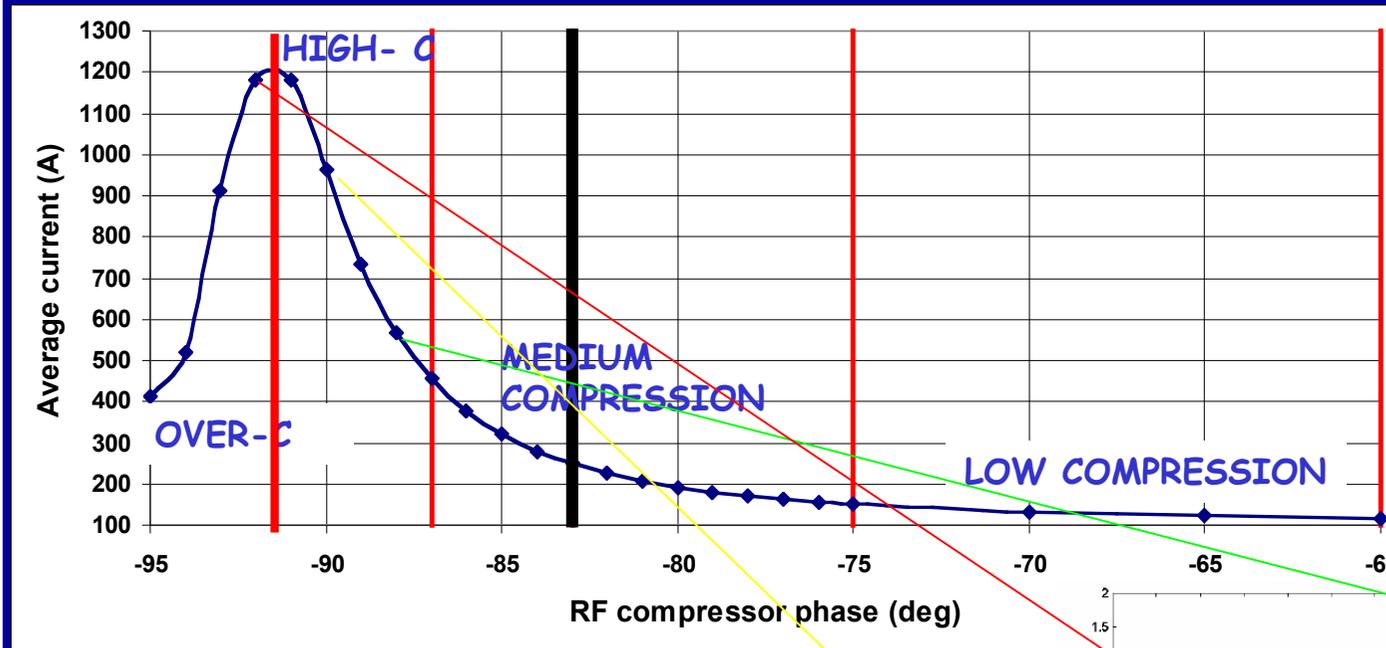
$$\alpha \sin\varphi_\infty \Delta\varphi_\infty = \alpha \sin\varphi_0 \Delta\varphi_0 + \frac{I}{2\gamma_0^2} \Delta\gamma_0$$

$$\Delta\phi_\infty = \frac{\sin\phi_0}{\sin\phi_\infty} \Delta\phi_0 + \frac{1}{2\alpha\gamma_0^2 \sin\phi_\infty} \Delta\gamma_0$$

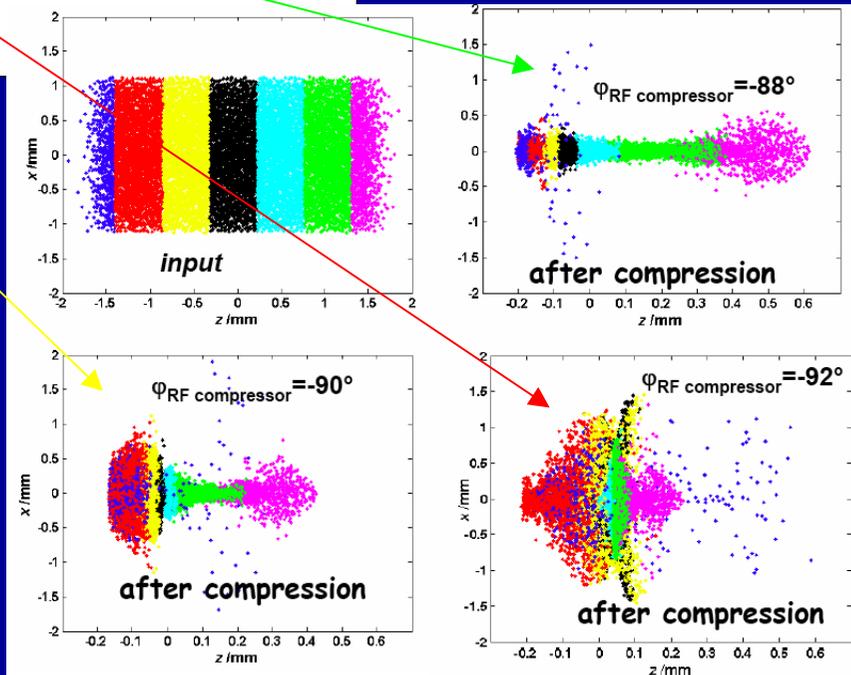
SPARC E=120 MV/m, fi=32, Q=1.1nC, ts=1 psec, FWHM=10 psec B=2.73KG



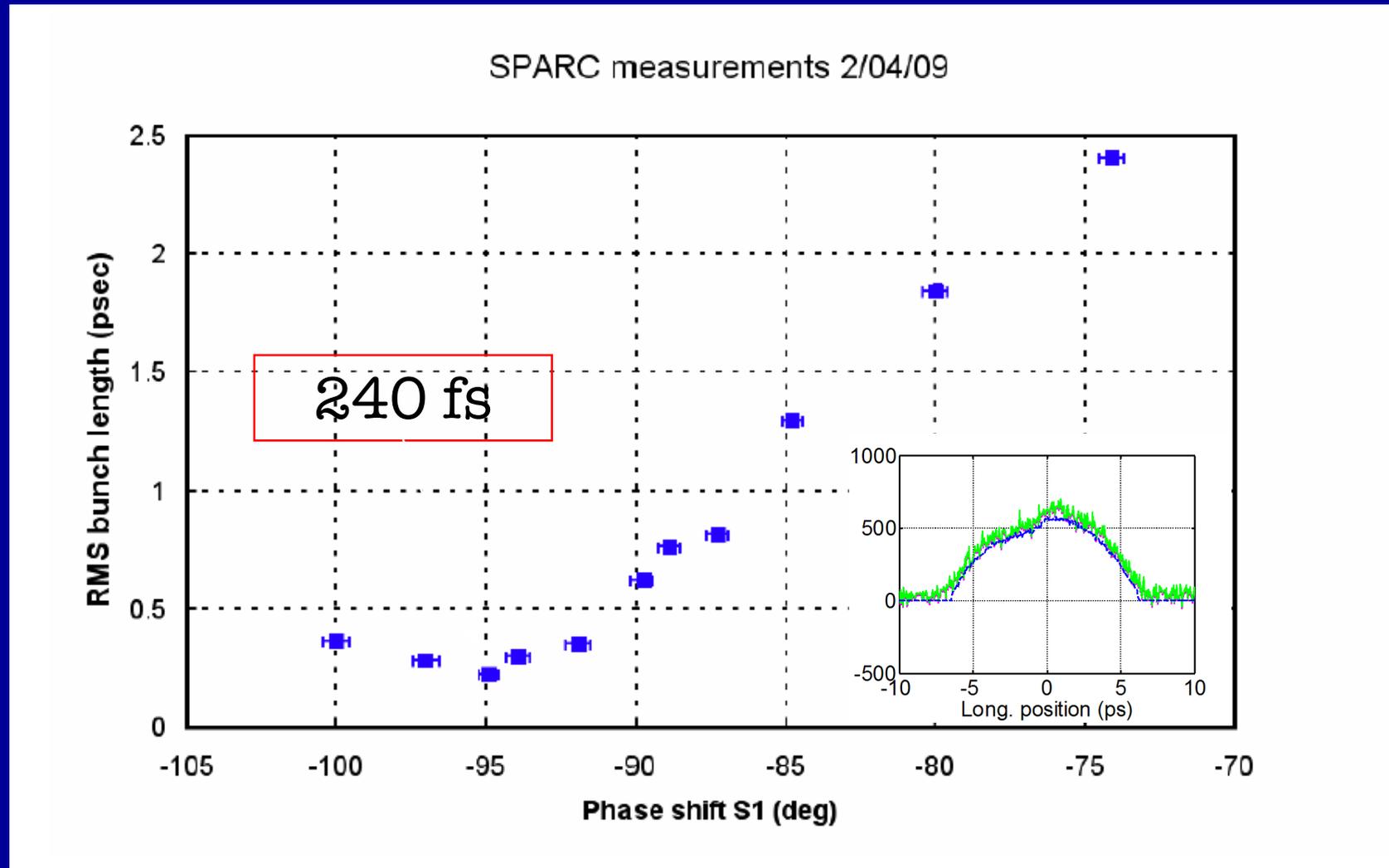
Peak current vs RF compressor phase



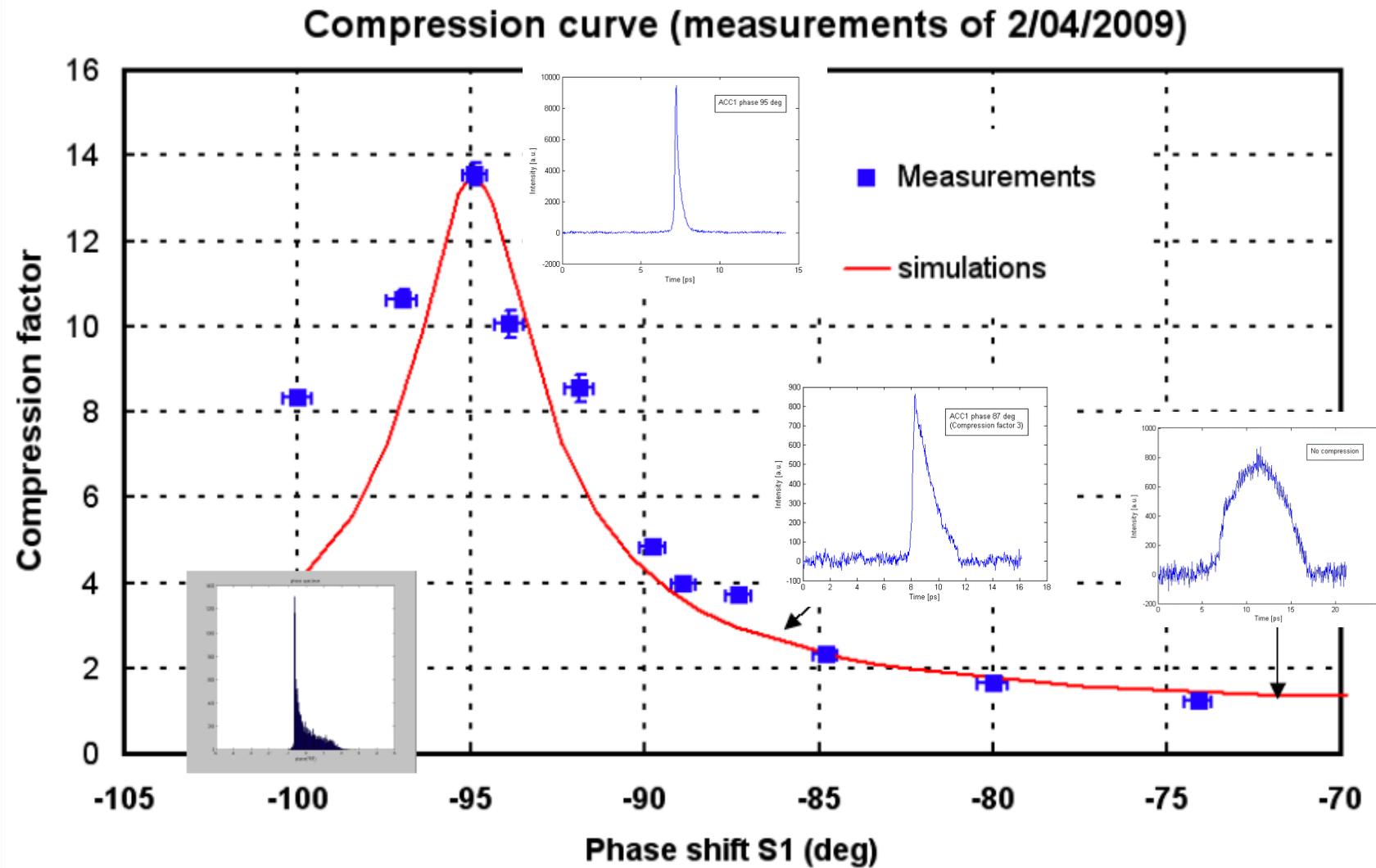
Initial parameters:
1 nC beam 10 ps
long



Pulse length versus Velocity Bunching phase



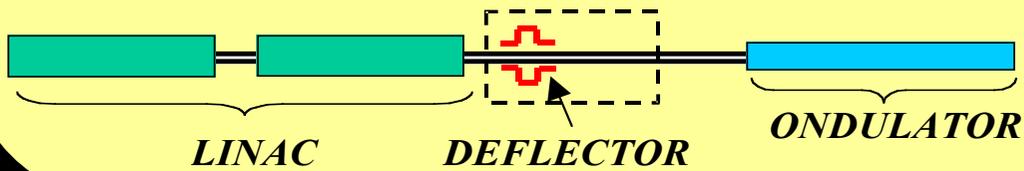
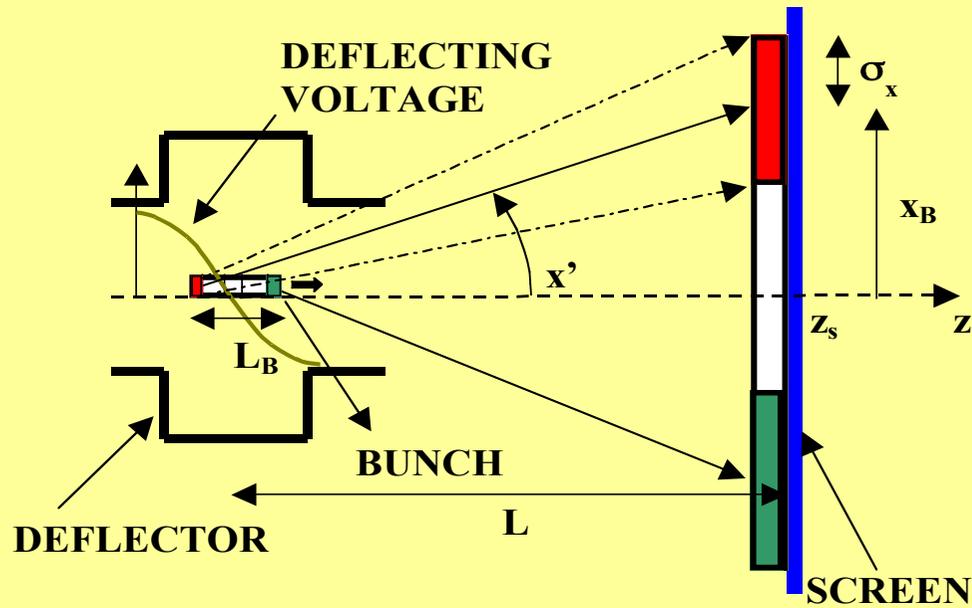
C-factor versus injection phase



THE END

References:

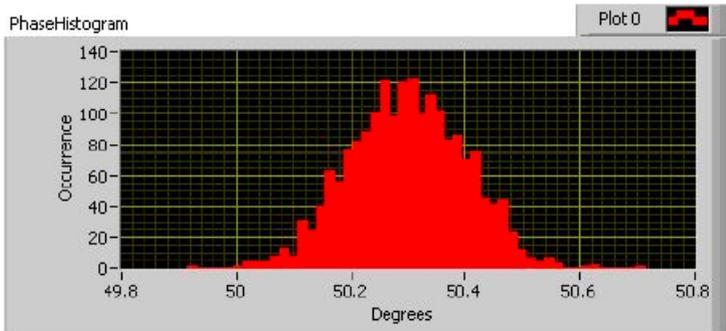
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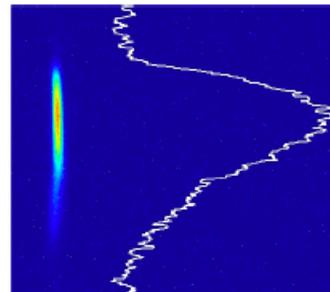
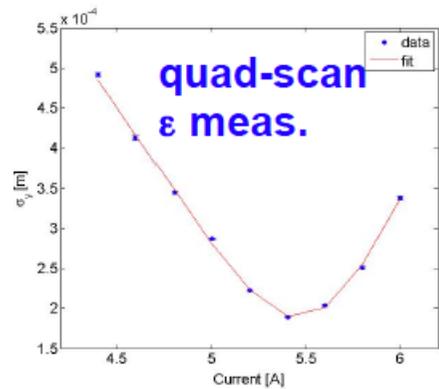
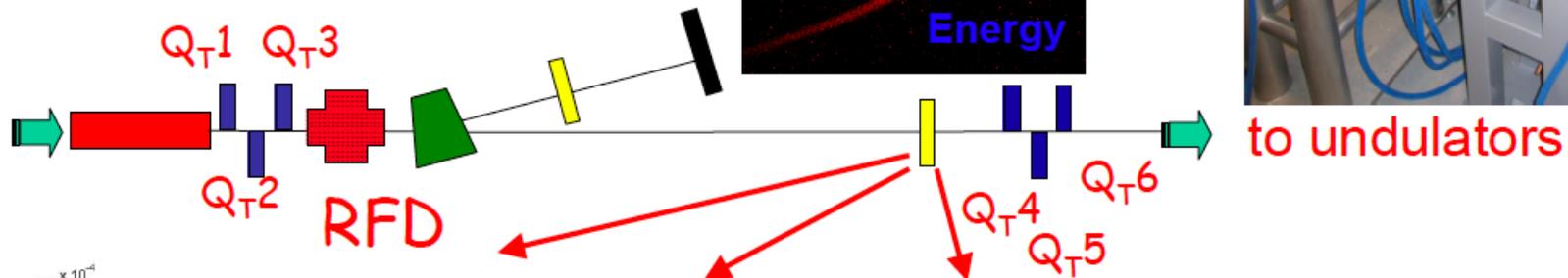
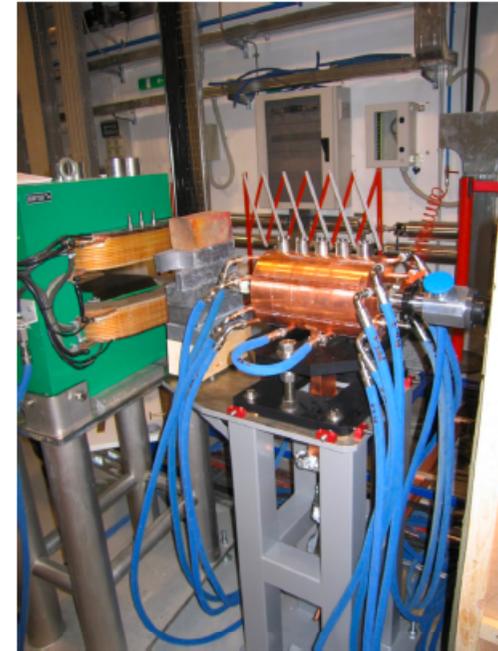
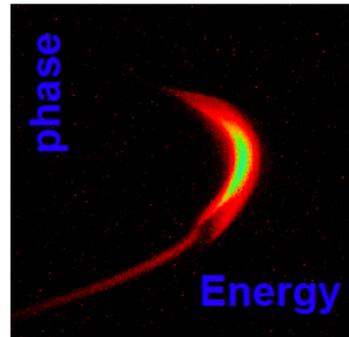
$$x_B = \frac{\pi f_{RF} L L_B V_{\perp}}{cE/e}$$

$$V_{\perp} = \frac{\sigma_x cE/e}{\pi f_{RF} L L_{res}}$$

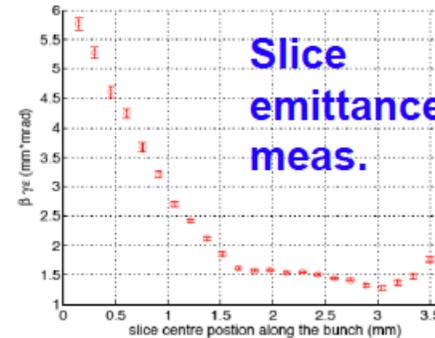
Diagnostic Section



Rf deflector phase jitter ~ 100 fs

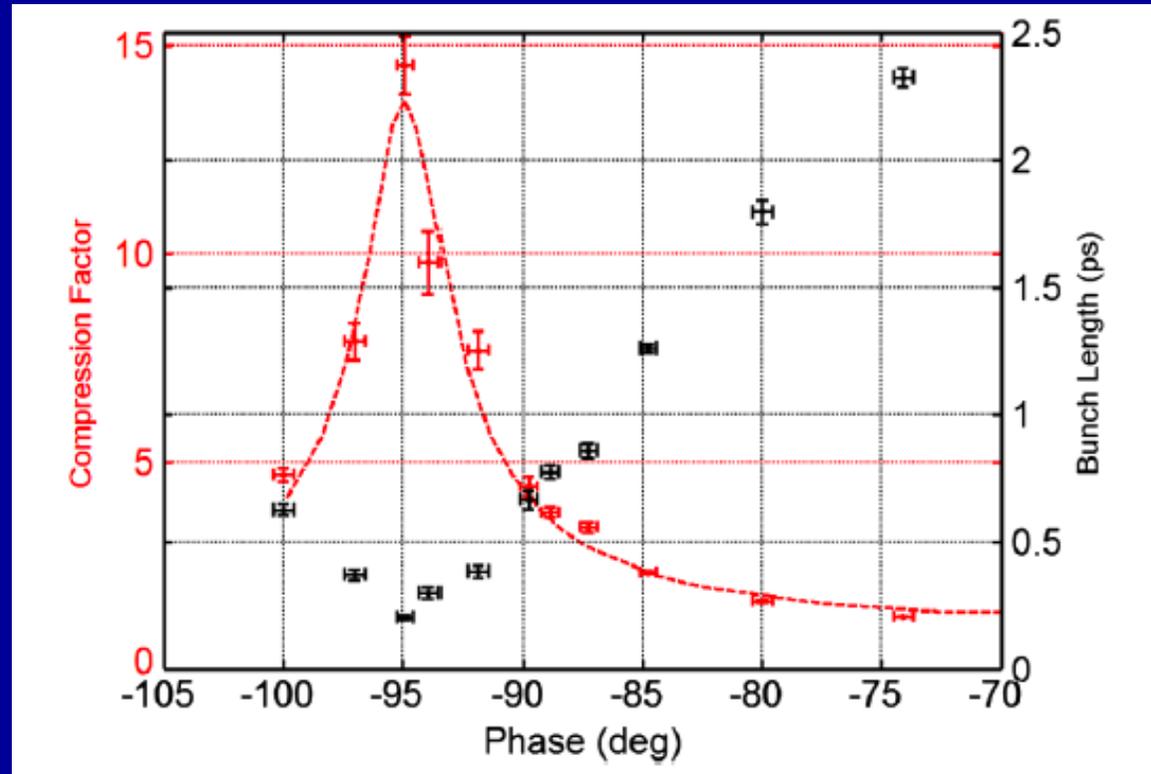


bunch length meas.



Experimental Demonstration of Emittance Compensation with Velocity Bunching

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Envelope Equation with Longitudinal Acceleration

$$\begin{aligned}
 p_o &= \gamma_o m_o \beta_o c \\
 p_x &\ll p_o \\
 p &= p_o + p'z \\
 p' &= (\beta\gamma)' m_o c
 \end{aligned}$$

$$\frac{dp_x}{dt} = 0$$

$$\frac{d}{dt}(px') = \beta c \frac{d}{dz}(px') = 0$$

$$x'' + \frac{p'}{p} x' = 0$$

$$x'' + \frac{(\beta\gamma)'}{\beta\gamma} x' = 0$$

$$\langle xx'' \rangle = \frac{(\beta\gamma)'}{\beta\gamma} \langle xx' \rangle$$

Space Charge De-focusing Force

$$\sigma_x'' + \frac{(\beta\gamma)'}{\beta\gamma} \sigma_x' + k^2 \sigma_x = \frac{\epsilon_n^2}{(\beta\gamma)^2 \sigma_x^3} + \frac{k_{sc}}{\sigma_x}$$

Adiabatic Damping

Emittance Pressure

Other External Focusing Forces

$$\epsilon_n = \beta\gamma \epsilon_{rms}$$

Beam subject to strong acceleration

$$\sigma_x'' + \frac{(\beta\gamma)'}{\beta\gamma} \sigma_x' + k_{RF}^2 \sigma_x = \frac{\varepsilon_n^2}{(\beta\gamma)^2 \sigma_x^3} + \frac{k_{sc}^o}{(\beta\gamma)^3 \sigma_x}$$

We must include also the RF focusing force $k_{RF} = \frac{1}{4} \left(\frac{\gamma'}{\gamma} \right)$

$$k_{sc}^o = \frac{2I}{I_A} g(s, \gamma)$$

$$\sigma_x'' + \frac{(\beta\gamma)'}{\beta\gamma} \sigma_x' + k_{RF}^2 \sigma_x = \frac{\varepsilon_n^2}{(\beta\gamma)^2 \sigma_x^3} + \frac{k_{sc}^o}{(\beta\gamma)^3 \sigma_x}$$

$$\gamma = 1 + \alpha z$$

\implies

$$\gamma'' = 0$$

Looking for an "equilibrium" solution $\sigma_{inv} = \sigma_o \gamma^n$
 \implies all terms must have the same dependence on γ

Laminar beam

$$\rho \gg l \implies n = -\frac{1}{2}$$

$$\sigma_q = \frac{\sigma_o}{\sqrt{\gamma}}$$

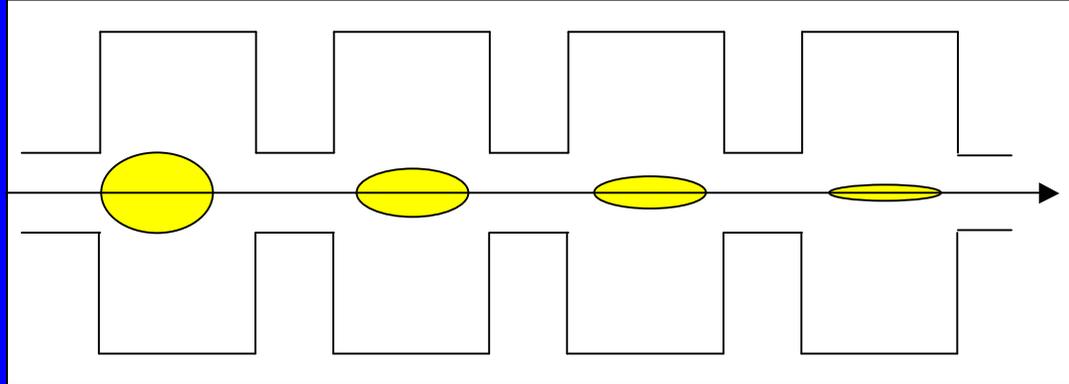
Thermal beam

$$\rho \ll l \implies n = 0$$

$$\sigma_\varepsilon = \sigma_o$$

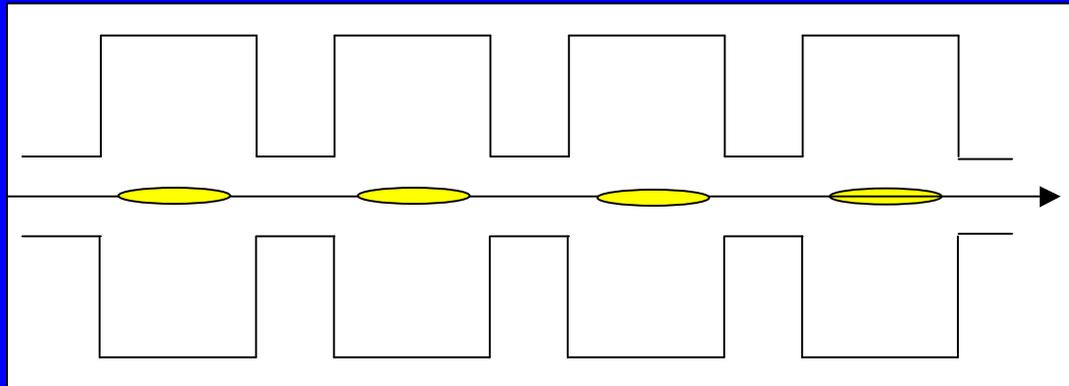
Space charge dominated beam (Laminar)

$$\sigma_q = \frac{l}{\gamma'} \sqrt{\frac{2I}{I_A \gamma}}$$

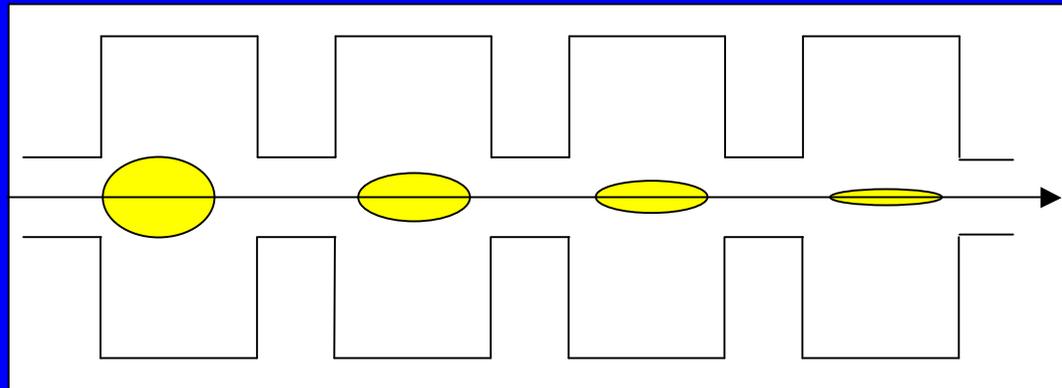


Emittance dominated beam (Thermal)

$$\sigma_\varepsilon = \sqrt{\frac{2\varepsilon_n}{\gamma'}}$$



$$\sigma_q = \frac{l}{\gamma'} \sqrt{\frac{2I}{I_A \gamma}}$$



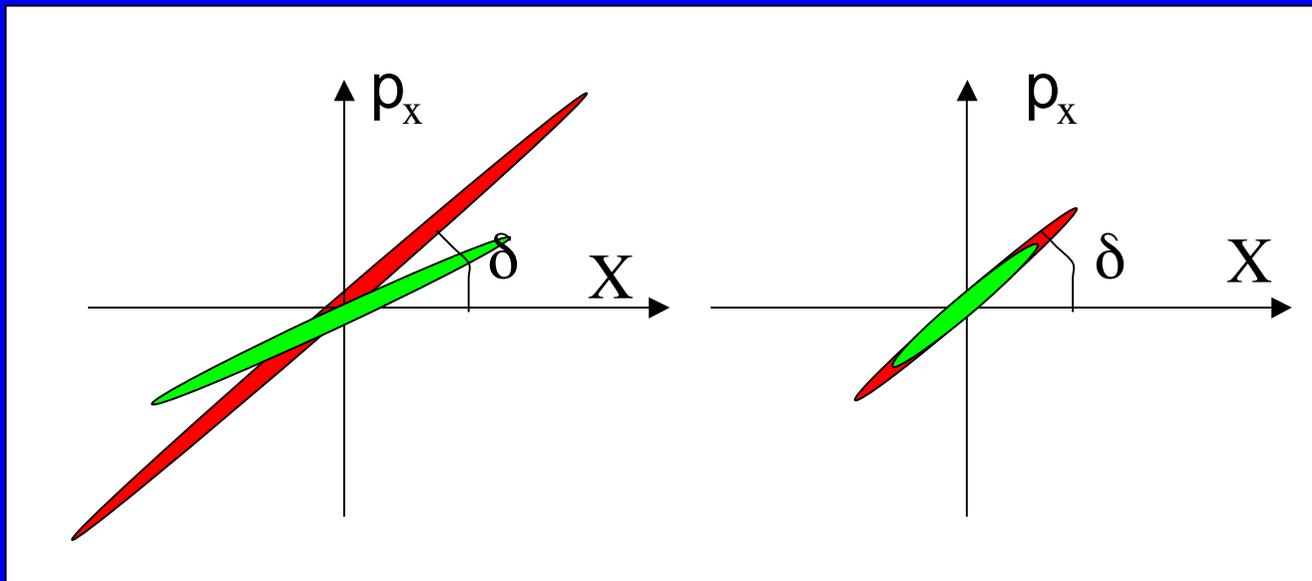
This solution represents a **beam equilibrium mode** that turns out to be the transport mode for achieving minimum emittance at the end of the **emittance correction process**

An important property of the laminar beam

$$\sigma_q = \frac{l}{\gamma'} \sqrt{\frac{2I}{I_A \gamma}}$$

$$\sigma'_q = -\sqrt{\frac{2I}{I_A \gamma^3}}$$

Constant phase space angle: $\delta = \frac{\gamma \sigma'_q}{\sigma_q} = -\frac{\gamma'}{2}$

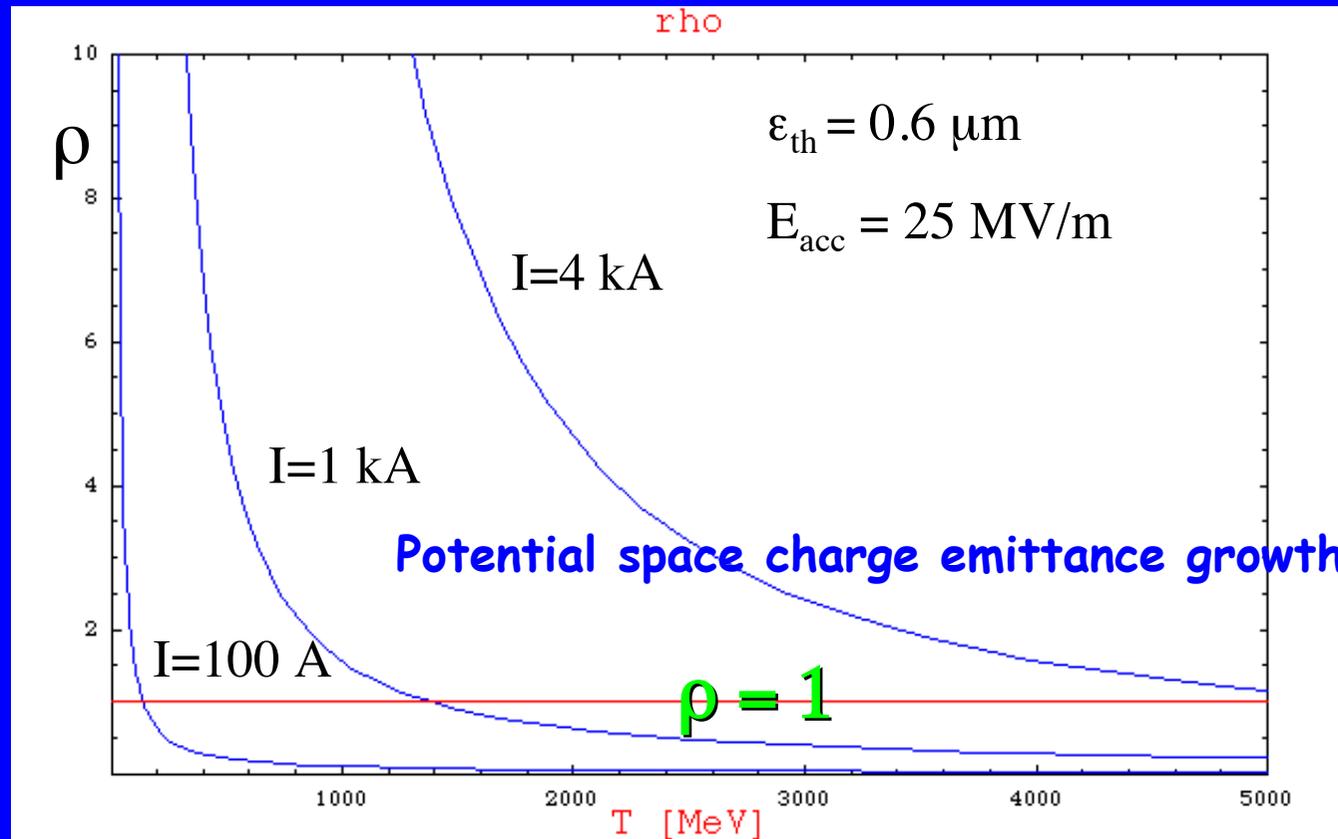


Laminarity parameter

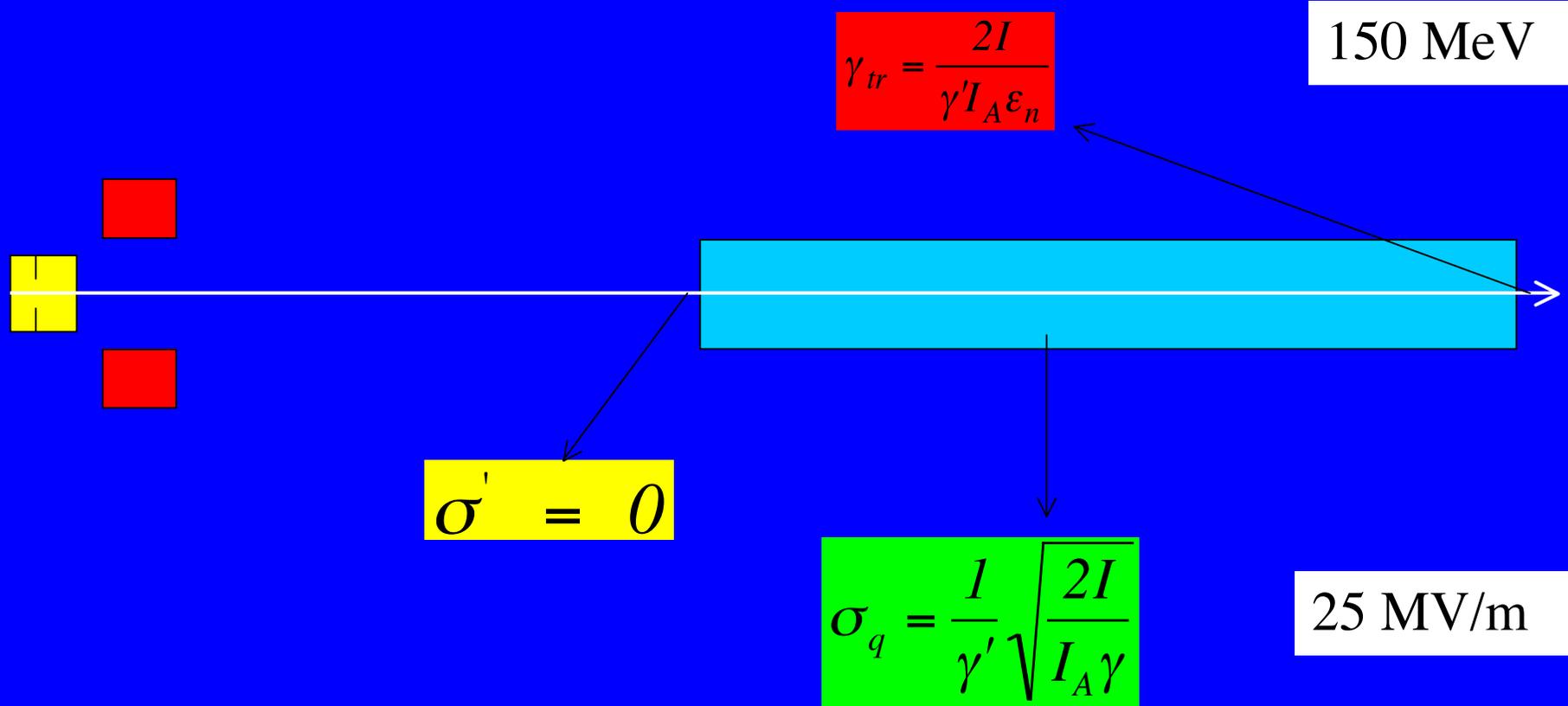
$$\rho = \frac{2I\sigma^2}{\gamma I_A \epsilon_n^2} \equiv \frac{2I\sigma_q^2}{\gamma I_A \epsilon_n^2} = \frac{4I^2}{\gamma'^2 I_A^2 \epsilon_n^2 \gamma^2}$$

Transition Energy ($\rho=1$)

$$\gamma_{tr} = \frac{2I}{\gamma' I_A \epsilon_n}$$



Matching Conditions with a TW Linac



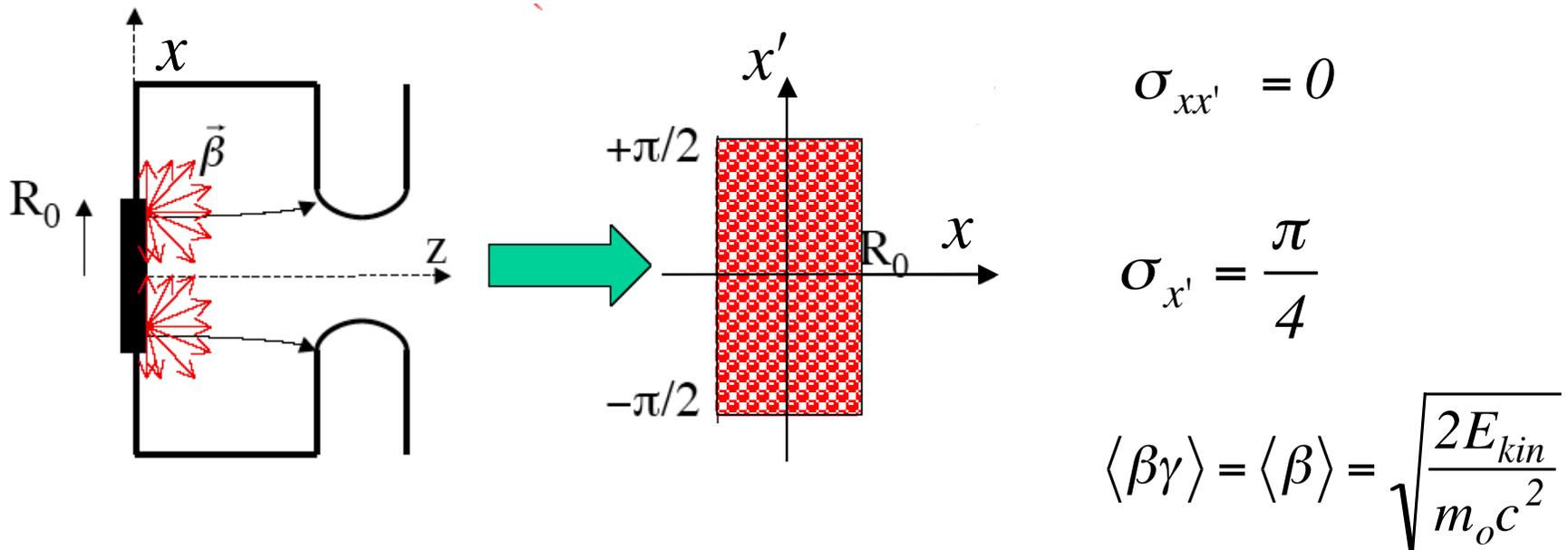
Emittance Compensation in a Photoinjector: Controlled Damping of Plasma Oscillations

- ε_n oscillations are driven by Space Charge
- propagation close to the laminar solution allows control of ε_n oscillation "phase"
- ε_n sensitive to SC up to the transition energy

Thermal emittance

$$\epsilon_{rms} = \langle \beta \gamma \rangle \sqrt{\sigma_x^2 \sigma_{x'}^2 - \sigma_{xx'}^2}$$

Emittance evaluation close to the cathode surface:



$$\epsilon_{rms}^{th} = \langle \beta \rangle \sigma_x \sigma_{x'} = \sigma_x \frac{\pi \langle \beta \rangle}{4}$$