

# **Beam Diagnostic Requirements Overview**

#### Gero Kube

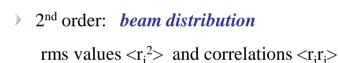
DESY (Hamburg)

- Measurement Principles
- Specific Diagnostics Needs for Hadron Accelerators
- Specific Diagnostics Needs for Electron Accelerators



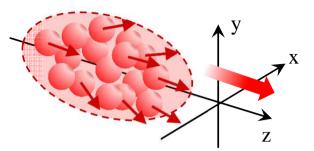
#### **Beam of Particles**

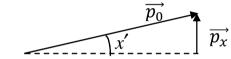
- particle beam (p,  $\bar{p}$ , e<sup>±</sup>, n,  $\gamma$ ,  $\mu^{\pm}$ , heavy ions, ...) ٥
  - ensemble of N particles in 6-dimensional phase space
    - based on canonical coordinates  $(x, y, z; p_x, p_y, p_z)$
- phase space in accelerator physics ٥
  - use projection onto 3 orthogonal planes
    - instead of phase space in  $(x, p_x)$  use  $(x, x'=p_x / p_0)$  $\rightarrow$
- beam characterization statistical ensemble ٥
  - > 1<sup>st</sup> order: *beam centroid* mean values  $\langle r_i \rangle$ 
    - beam momenta  $p_x, p_y, p_z$ moving along "z"
      - $\rightarrow p_z \approx p_0 \gg p_x p_y$
    - beam location *z*(*t*)
    - beam positions x, y
    - beam angles  $x' = p_x/p_0$ , y'



- momentum spread  $\sigma_{\Delta p/p}$
- bunch length  $\sigma_{47}$
- beam sizes  $\sigma_x$ ,  $\sigma_y$
- beam divergences  $\sigma_{x'}, \sigma_{y'}$
- ... correlations ...



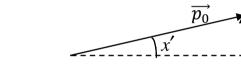




courtesy:

A. Streun (PSI)

how to get information about beam?

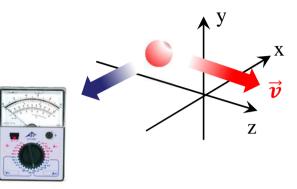


## **Beam Information Transfer**

- extraction of beam information
  - > information transfer from *beam particles* to *measuring device* 
    - $\rightarrow$  information transfer characterized by *interaction*
  - > information transfer / interaction with beam preferably
    - $\rightarrow$  non-disturbing for beam
    - $\rightarrow$  strong (good signal quality)
    - $\rightarrow$  long-range (measuring device in certain distance from beam)

#### • fundamental particle interactions





Interaction	Gravitational	Weak	Electromagnetic	Strong
acting on	mass-energy	flavor	electric charge	colour charge
particles experiencing	all particles with mass	quarks, leptons	electrically charged particles	quarks, gluons
exchange particle	Graviton (?)	$\mathbf{W}^{\pm}, \mathbf{Z}^{0}$	γ (photon)	g (gluon)
relative strength	6×10 <sup>-39</sup>	10-5	1/137	1
range [m]	$\infty$	10-18	$\infty$	10-15



restriction to charged particle beams

#### Electromagnetism

- described by *Maxwell's equations* 
  - Gauss' flux theorem

$$\vec{\nabla} \cdot \vec{E}(\vec{r},t) = \frac{\rho(\vec{r},t)}{\varepsilon_0}$$

- Gauss' law for magnetism
  - $\vec{\nabla} \cdot \vec{B}(\vec{r},t) = 0$
- Faraday's law of induction

$$\vec{\nabla} \times \vec{E}(\vec{r},t) = -\frac{\partial \vec{B}}{\partial t}(\vec{r},t)$$

Ampère's law + displacement current  $\vec{\nabla} \times \vec{B}(\vec{r},t) = \mu_0 \vec{J}(\vec{r},t) + \frac{1}{c^2} \frac{\partial \vec{E}}{\partial t}(\vec{r},t)$ 

$$\oint \int_{S} \vec{E}(\vec{r},t) \cdot d\vec{S} = \frac{1}{\varepsilon_0} \iiint_{V} \rho(\vec{r},t) \, dV$$

$$\oint_{S} \vec{B}(\vec{r},t) \cdot \mathrm{d}\vec{S} = 0$$

in SI units

$$\oint_C \vec{E}(\vec{r},t) \cdot d\vec{l} = -\frac{d}{dt} \iint_S \vec{B}(\vec{r},t) \cdot d\vec{S}$$

$$\oint_C \vec{B}(\vec{r},t) \cdot d\vec{l} = \mu_0 \iint_S \vec{J}(\vec{r},t) \cdot d\vec{S} + \frac{1}{c^2} \frac{d}{dt} \iint_S \vec{E}(\vec{r},t) \cdot d\vec{S}$$

#### • application to beam particle in accelerator

• consider point-like particle with charge Q, moving with v = const.

input 
$$\rightarrow$$
 particle properties (kinematics)

 $\rho(\vec{r},t) = Q \,\delta[\vec{r}(t)] \qquad \qquad \vec{J}(\vec{r},t) = Q \,\vec{v} \,\delta[\vec{r}(t)]$ 

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ightarrow output  $\rightarrow$  electromagnetic fields

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• typical particle accelerator:  $v \gg 1 \quad (\rightarrow c)$ 



take into account relativistic motion



### **Special Relativity: a Glimpse**

- postulates of special relativity
  - > principle of relativity (relativistic or Lorentz invariance)
    - → laws of physics are invariant under a transformation between two coordinate frames moving at constant velocity w.r.t. each other
  - invariance of c
    - $\rightarrow$  velocity of light is the same for all observers

$$c = \frac{|\vec{r}_2 - \vec{r}_1|}{(t_2 - t_1)} = \frac{|\vec{r}_2 - \vec{r}_1|}{(t_2 - t_1)} = \left|\frac{d\vec{r}}{dt}\right| = \left|\frac{d\vec{r}_1}{dt_1}\right| = \text{const.}$$
$$\textcircled{d(ct)^2 - dx^2 - dy^2 - dz^2 = 0}$$

$$\left|\frac{\mathrm{d}\vec{r}'}{\mathrm{d}t'}\right| = \mathrm{const.}$$

**∧** У

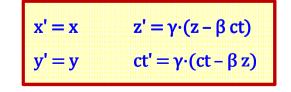
is an invariant, i.e. same value (=0) in all frames!

#### • Lorentz transformation

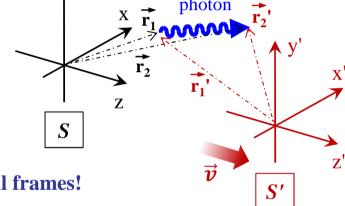
> primed frame S' moves with velocity v in z-direction w.r.t. fixed reference frame S

Lorentz transformation (from *S* to *S'*)

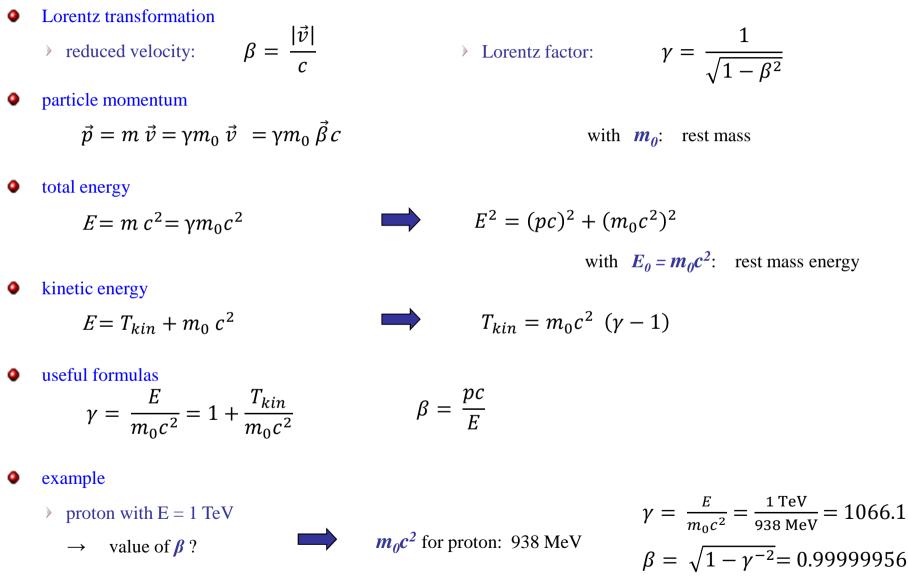
- > reference frames coincide at t = t' = 0
- > point z' is moving with primed frame







# **Quantities used in Accelerator Calculations**



#### **Relativity and Electro-Magnetic Fields**



- kinematics / dynamics
  - → trajectory transformation: (x, y, z, ct) in rest frame  $S \rightarrow (x', y', z', ct')$  in moving frame S'
  - > Lorentz transformation parameters: reduced velocity  $\beta$  Lorentz factor  $\gamma$
- transformation of "information carrier"
  - electro-magnetic field transformation
    - $\rightarrow$  as before: system S' moves with v = const. along z-axis of rest frame S
      - (x, y, z, ct) in rest frame  $S \rightarrow (x', y', z', ct')$  in moving frame S'

 $\vec{v} \rightarrow -\vec{v}$ 

$$E'_{x} = \gamma [E_{x} - \nu B_{y}] \qquad B'_{x} = \gamma [B_{x} + \frac{\nu}{c^{2}} E_{y}]$$
$$E'_{y} = \gamma [E_{y} + \nu B_{x}] \qquad B'_{y} = \gamma [B_{y} - \frac{\nu}{c^{2}} E_{x}]$$
$$E'_{z} = E_{z} \qquad B'_{z} = B_{z}$$

- transformation from moving frame S' to rest frame S:
  - $\rightarrow$  convention: rest frame S: LAB frame
    - moving frame *S*': rest frame of moving charge
- **comment:** different structure of transformation for space-time coordinates and fields
  - $\rightarrow$  field vectors: cannot form 4-vectors (E-field: polar vector, B-field: axial vector)

### **Electro-Magnetic Field of moving Charge**



#### • example

- > point charge Q: moving with v = const. along z-axis
- *task:* electro-magnetic fields in LAB frame
- rest frame *S'* of point charge
  - > pure electro-static problem
    - $\rightarrow$  radial symmetric *Coulomb field*

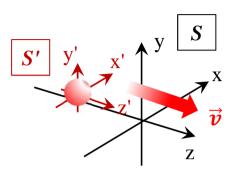
$$\vec{E'}(\vec{r'}) = \frac{Q}{4\pi\varepsilon_0} \frac{\vec{r'}}{r'^3} = \frac{Q}{4\pi\varepsilon_0} \frac{1}{[x'^2 + y'^2 + z'^2]^{3/2}} \binom{x'}{y'}_{z'}$$

É

- electromagnetic fields in LAB frame *S* (rest frame)
  - > apply Lorentz transformation equations using  $\vec{v} \rightarrow -\vec{v}$
  - > 1<sup>st</sup> step: Lorentz transformation for fields  $\Rightarrow \vec{E}(\vec{r}')$
  - ▶ 2<sup>nd</sup> step: Lorentz transformation for space-coordinates

$$\Rightarrow \vec{E}(\vec{r})$$

$$\vec{E}(\vec{r},t) = \frac{1}{4\pi\varepsilon_0} \frac{\gamma Q}{[x^2 + y^2 + \gamma^2(z - vt)^2]^{3/2}} \binom{x}{y}_{z - vt}$$



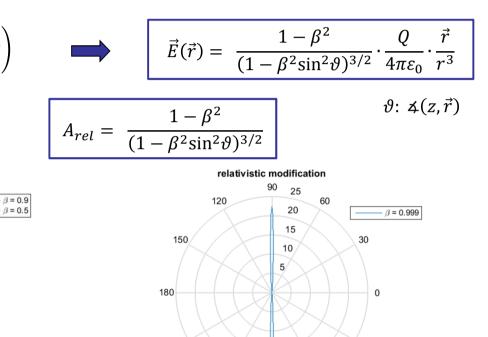


snap-shot

> point charge in origin of *S* and *S'*: t = 0

$$\vec{E}(x, y, z) = \frac{1}{4\pi\varepsilon_0} \frac{\gamma Q}{[x^2 + y^2 + \gamma^2 z^2]^{3/2}} {\binom{x}{y}}$$

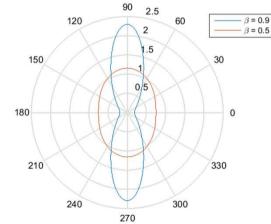
> relativistic modification of Coulomb field:



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270



relativistic modification

• field components

Iongitudinal:  $\vartheta = 0 \implies E_{\parallel} = \frac{1}{\gamma^{2}} \cdot \frac{Q}{4\pi\varepsilon_{0}} \frac{1}{r^{2}}$ Interpretation in the second state of the s

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300



- magnetic field
  - > E-field in particle rest frame S' generates B-Field in LAB frame S
    - $\rightarrow$  consequence of transformation properties:

$$B_{\chi} = -\gamma \frac{v}{c^2} E'_{\chi} \qquad \qquad B_{\chi} = \gamma \frac{v}{c^2} E'_{\chi} \qquad \qquad B_{Z} = 0$$

combined

$$\vec{B}(\vec{r},t) = \frac{\mu_0 Q}{4\pi} \frac{\gamma v}{[x^2 + y^2 + \gamma^2 (z - vt)^2]^{3/2}} {\binom{-y}{x} \\ 0}$$

> snapshot (t = 0) in non-relativistic limit:  $\gamma \rightarrow 1$ 

$$\vec{B}(\vec{r}) = \frac{\mu_0 Q}{4\pi} \frac{v}{[x^2 + y^2 + z^2]^{3/2}} \begin{pmatrix} -y \\ x \\ 0 \end{pmatrix} = \frac{\mu_0}{4\pi} Q \frac{1}{r^3} \begin{pmatrix} -vy \\ vx \\ 0 \end{pmatrix}$$

> re-writing

$$\vec{B}(\vec{r}) = \frac{\mu_0}{4\pi} Q \frac{\vec{v} \times \vec{r}}{r^3}$$



Biot Savart law for point charge

### **Interim Conclusion**

- information transfer from / to particle beam
  - electro-magnetic interaction
    - $\rightarrow$  restriction to charged particle beams
- electro-magnetic field of beam particles
  - > acts as information carrier about beam properties
- description of particle field
  - basic knowledge of *Maxwell equations* and *special relativity*
- electro-magnetic field of relativistic point charge
  - electric field almost transversal
  - $\rightarrow \text{ magnetic field } \rightarrow \text{ generated due to particle motion}$
- monitor for charge particle beam diagnostics
  - **b** has to extract information from charged particle beam via electro-magnetic interaction
    - (i) coupling to *particle* electro-magnetic field *carried* by moving charge
    - (ii) coupling to *particle* electro-magnetic field *separated* from moving charge (freely propagating)
    - (iii) exploiting energy deposition due to *particle* electro-magnetic field *interaction* with matter
    - (iv) exploiting *interaction* of *external* electro-magnetic field with charged particle

$$E_{\parallel} \propto \frac{1}{\gamma^2}$$
 ,  $E_{\perp} \propto$ 

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# Coupling to Particle Electro-Magnetic Field carried by Moving Charge

- Beam Charge and Beam Current Measurements
- Beam Position Monitoring





Tuusula (Finland), 2-15 June 2018

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# **Non-propagating Particle Field**



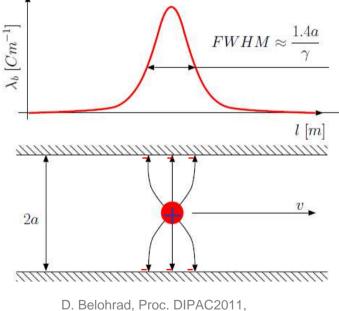
- concept of *Wall Image Current* 
  - > charged particle travels through metallic beam pipe of accelerator
    - $\rightarrow$  beam pipe: evacuated tube, bounded by *electrically conducting material*
  - > moving charged particle
    - $\rightarrow$  generates electro-magnetic field: *electric field*  $\leftrightarrow$  *charge, magnetic field*  $\leftrightarrow$  *charge movement*
    - $\rightarrow$  relativistic motion: Lorentz boost  $\leftrightarrow$  electric field contracts in direction of motion
  - > E-field induces image charge
    - $\rightarrow$  generated at inner diameter of vacuum chamber
    - $\rightarrow$  opposite sign
  - > moving charge
    - $\rightarrow$  induced image charge is dragged
    - → creation of *Wall Image Current* (*WIC*)
- no electrical field outside vacuum chamber
  - Gauss' flux theorem:

$$\oint \int_{S} \vec{E}(\vec{r},t) \cdot d\vec{S} = \frac{1}{\varepsilon_0} \iiint_{V} \rho(\vec{r},t) \, dV$$

 $\rightarrow$  charge and image charge cancels outside beam pipe



no coupling to E-field outside vacuum chamber



Hamburg (2011) 564

# **Non-propagating Particle Field (2)**

- magnetic field
  - > Ampère's law

$$\int_{C} \vec{B}(\vec{r},t) \cdot d\vec{l} = \mu_0 \iint_{S} \vec{J}(\vec{r},t) \cdot d\vec{S}$$

- $\rightarrow$  integration path: circle *C* around beam tube
- WIC: equal magnitude but opposite sign to beam current (in 1<sup>st</sup> order)
  - $\rightarrow$  sum of beam and image current cancels out
  - $\rightarrow$  magnetic field outside the beam tube is cancelled



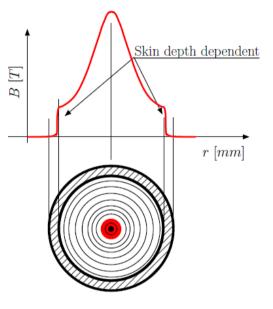
no coupling to B-field outside vacuum chamber

- field strength reduction
  - > corresponds to attenuation of EM-wave propagating through conductor
    - → characteristic length: *skin depth* (amplitude reduction e<sup>-1</sup> → -8.69dB) non-magnetic, electrically good conductor:  $\delta[m] = \frac{\sqrt{10^7}}{2\pi} \sqrt{\frac{\rho[\Omega/m]}{f[Hz]}}$
- consequences for beam monitors
  - > no access to particle electro-magnetic field outside metallic beam pipe



coupling to beam field inside vacuum chamber

allow beam field to extend outside



D. Belohrad, Proc. DIPAC2011, Hamburg (2011) 564



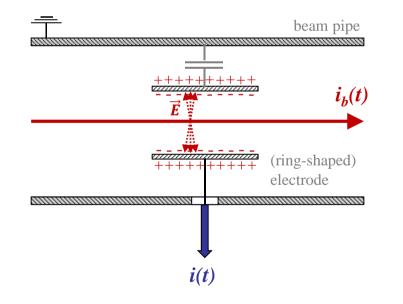
(ceramic gap)



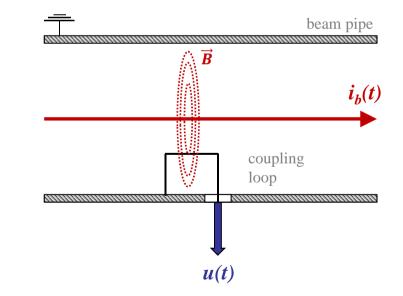
## **Principles of Signal Extraction**

- no electro-magnetic field outside beam pipe
  - > place coupling antenna inside vacuum chamber
- charged particle possesses electric / magnetic field
  - > 2 different coupling schemata:
    - $\rightarrow$  coupling to electric field: *capacitive coupling*
    - $\rightarrow$  coupling to magnetic field: *inductive coupling*

#### capacitive coupling



#### inductive coupling





## **Capacitive versus Inductive Coupling**

- $\rightarrow$  output signal  $\rightarrow$  displacement current

$$i_{cap}(t) = \varepsilon_0 \frac{\mathrm{d}}{\mathrm{d}t} \iint_S \vec{E}(\vec{r},t) \cdot \mathrm{d}\vec{S}$$

• inductive coupling

capacitive coupling

٥

٥

 $\rightarrow$  output signal  $\rightarrow$  Faraday's law of induction

$$u_{ind}(t) = -\frac{\mathrm{d}}{\mathrm{d}t} \iint_{S} \vec{B}(\vec{r},t) \cdot \mathrm{d}\vec{S}$$

- consider relation between E/B-field:
  - here:  $\vec{v} = v\hat{e}_z$ relativistic case:  $\vec{E} \approx E\hat{e}_r = E_r$

$$\vec{B} = \frac{1}{c^2} \vec{v} \times \vec{E}$$
$$B_{\vartheta} = \frac{1}{c^2} v E_r = \frac{\beta}{c} E_r$$

$$\frac{|i_{cap}(t)|}{|u_{ind}(t)|} = \frac{c}{\beta} \varepsilon_0 \frac{\frac{d}{dt} \iint_{electrode \ surface} E_r \ dS}{\frac{d}{dt} \iint_{loop \ area} E_r \ dS} \rightarrow \text{practical design:} \qquad \frac{\frac{d}{dt} \iint_{electrode \ surface} E_r \ dS}{\frac{d}{dt} \iint_{loop \ area} E_r \ dS} \approx 1$$

$$\Rightarrow \text{broadband signal processing} \rightarrow \text{impedance } \mathbf{R} = 50 \ \Omega$$

$$\frac{\left|\frac{R \cdot i_{cap}(t)}{u_{ind}(t)}\right| = \left|\frac{u_{cap}(t)}{u_{ind}(t)}\right| \approx \frac{Rc\varepsilon_0}{\beta} = \frac{0.133}{\beta}}{\beta}$$



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capacitive coupling

 $\rightarrow$  less prone to stray fields

comment: consider plate capacity

 $E = \frac{Q}{\varepsilon_0 \cdot S} \quad \longleftrightarrow \quad Q = \varepsilon_0 \cdot S \cdot E$ 

with  $i(t) = \dot{Q} \implies i(t) = \varepsilon_0 \cdot S \cdot \dot{E}$ 



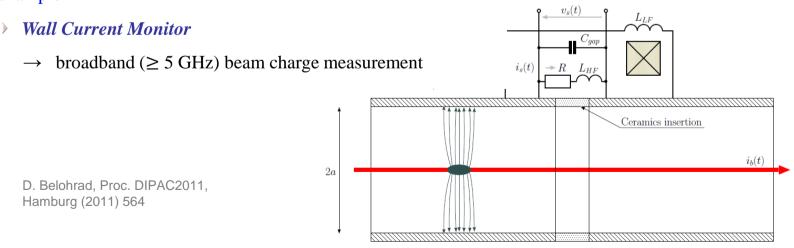
#### **WIC alternative Path**

- no electro-magnetic field outside beam pipe
  - > provide alternative path for *Wall Image Current (WIC)* 
    - $\rightarrow$  conducting path in metallic vacuum chamber has to be broken
- technical realization
  - > non-conducting material (usually ceramic) inserted electrically in series with metallic beam pipe
    - $\rightarrow$  interruption forces WIC to find new path
  - beam diagnostics
    - $\rightarrow$  alternative path under instrument designer's control, outside of vacuum chamber



#### (ceramic gap)

#### • example

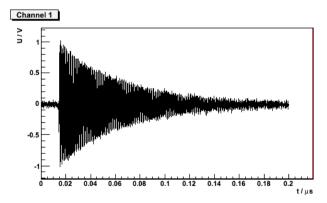




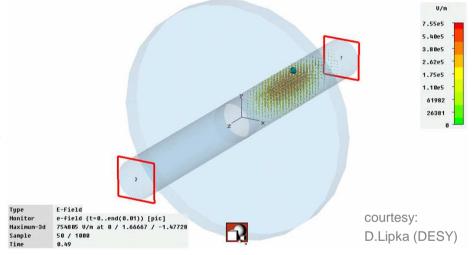
## **Cavity Resonators**



- beam signal generation using *passive cavity resonator* 
  - > passive (beam driven) cavity resonator
    - $\rightarrow$  electro-magnetic discontinuity in beam pipe
    - $\rightarrow$  charged particle passing resonator excites (several) resonator modes
  - example
    - $\rightarrow$  E-field excitation in pillbox cavity
- advantage of resonator
  - electro-magnetic energy dissipation for one period
    - $\rightarrow$  small compared to accumulated energy



- signal averaging over long time
  - $\rightarrow$  good signal quality, high accuracy



- task for beam diagnostics
  - > design cavity for high signal level in

resonator mode of interest

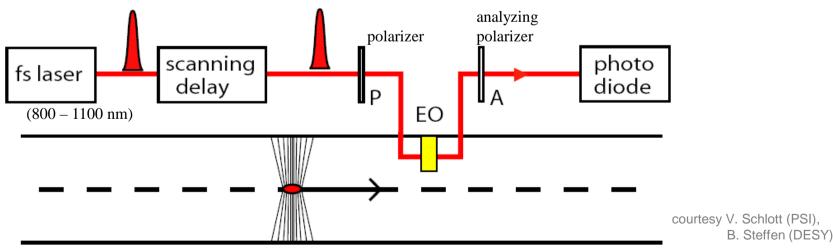
 $\rightarrow$  suppress contribution from disturbing modes

#### **Environment Modification**

- application: *Electro Optical (EO) techniques* 
  - bunch length diagnostics
    - $\rightarrow$  fsec electron bunches
  - > placing EO crystal into beam pipe
    - $\rightarrow$  direct measurement of Coulomb field from ultra-relativistic bunches in time-domain
    - $\rightarrow$  Coulomb-field carried by sub-psec bunches reaches in THz region
  - > Coulomb field induces *refractive index change* in *birefringent crystal* 
    - $\rightarrow$  Pockels effect in optically active crystal (e.g. ZnTe, GaP)
  - > probing of refractive index change by short-pulse (fsec), high bandwidth (some tens of nm) laser









birefringence:

splitting ray into 2 parallel

rays polarized perpendicular



# Coupling to freely propagating Particle Electro-Magnetic Field

- Bunch Length Measurements
- transverse Beam Profile Diagnostics





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## **Propagating Particle Field**

- freely propagating particle field
  - electro-magnetic field not bound to charged particle

emitted as radiation (preserving information from beam)

- radiation generation via particle electro-magnetic field
- > particle electro-magnetic field > relativistic boost characterized by Lorentz factor electric field lines total energy *E*: in LAB frame  $\gamma =$  $m_0 c^2$  $m_0 c^2$ : rest mass energy e  $m_p c^2 = 938.272 \text{ MeV}$ proton:  $m_e c^2 = 0.511 \text{ MeV}$ electron: http://philschatz.com/physics -book/contents/m42535.html limiting case: plane wave 0  $\gamma \rightarrow \infty$  $m_0 c^2 = 0 \text{ MeV}$ : light  $\rightarrow$  ,real photon" ultra relativistic energies : idealization  $\rightarrow$  "virtual photon" (basis of Weizsäcker-Williams method)

## **Separation of Particle Field**

- electro-magnetic field bound to particle
   observation in far field (large distances)
- separation mechanisms
  - *bending* of *particle* via magnetic field
    - synchrotron radiation



*linear accelerator*  $\rightarrow$  no particle bending...

- separation mechanisms at linear accelerators
  - *diffraction/reflection* of particle *electro-magnetic field* at material structures

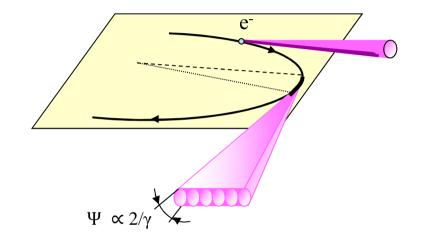
 $\leftrightarrow$ 

 $\leftrightarrow$ 

exploit analogy between real/virtual photons:

- light reflection/refraction at surface
- light diffraction at edges
- light diffraction at grating
- light (X-ray) diffraction in crystal

separate field from particle





 $\leftrightarrow \quad \text{Smith-Purcell radiation}$ 

 $\leftrightarrow$  parametric X-ray radiation (PXR) ...

diffraction radiation (DR)

backward/forward transition radiation (TR)

## **Radiation Generation and Mass Shell**



- consider mass hyperboloid
  - > hyperboloid in energy-momentum space describing the solutions to equation

$$E^2 = (\vec{p}c)^2 + (m_0c^2)^2$$

- charged particle behavior governed by this equation E mass shell  $\rightarrow$  sitting on the *mass shell* initial state |i> energy loss via radiation emission ٥ photon line transition from *initial* /*i*> to *final* /*f*> state final state |f>  $\rightarrow E = pc$ photon: massless particle  $m_0 c^2$ energy / momentum conservation has to be fulfilled ٥ missing momentum remains externally provided (radial force, material structure, ...)  $p_x$ missing momentum Cherenkov radiation as special case ٥
  - > direct transition from *initial* /*i*> to *final* /*f*> state without external momentum
    - $\rightarrow$  slope of photon line decreased:  $c \rightarrow c/n$  (*n*: index of refraction)

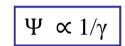
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#### **Synchrotron Radiation**



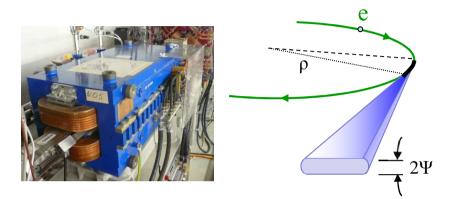
- bending magnet (wiggler, undulator)
- minimum-invasive
  - unavoidable losses
- strong collimation (vertical)

> opening angle:

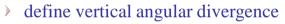


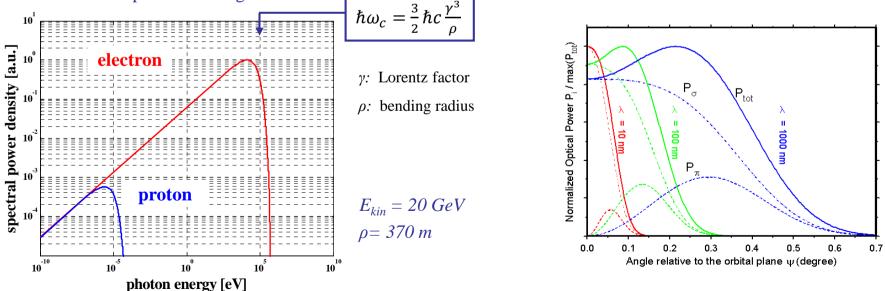
• emission over wide spectral range

choice of operational range



oplarized



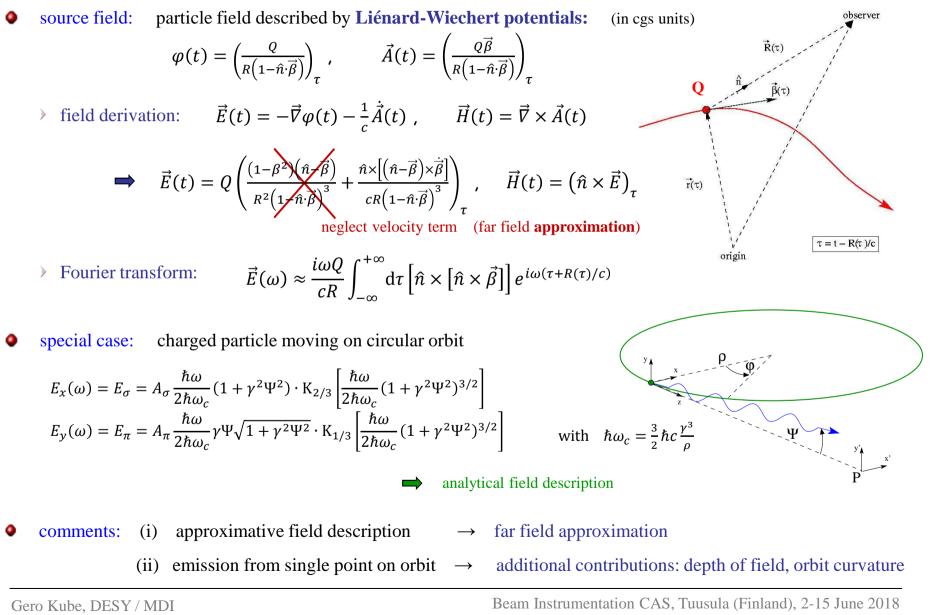


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#### **SR Field: Standard Text Book**





## **Synchrotron Radiation Field**



second representation: starting point again Liénard-Wiechert potentials O.Chubar and P.Elleaume, Proc. EPAC96, Stockholm (1996) 1177  $\varphi(t) = \left(\frac{Q}{R\left(1 - \hat{n} \cdot \vec{\beta}\right)}\right) , \qquad \vec{A}(t) = \left(\frac{Q\vec{\beta}}{R\left(1 - \hat{n} \cdot \vec{\beta}\right)}\right)$ observer Fourier transform of potentials:  $\dot{R}(\tau)$  $\varphi(\omega) = Q \int_{-\infty}^{+\infty} \mathrm{d}\tau \frac{1}{R(\tau)} e^{i\omega(\tau + R(\tau)/c)}, \quad \vec{A}(\omega) = Q \int_{-\infty}^{+\infty} \mathrm{d}\tau \frac{\vec{\beta}(\tau)}{R(\tau)} e^{i\omega(\tau + R(\tau)/c)}$ 0  $\vec{E}(\omega) = \frac{i\omega Q}{c} \int_{-\infty}^{+\infty} d\tau \left| \frac{\left(\vec{\beta} - \hat{n}\right)}{R(\tau)} - \frac{ic}{\omega} \frac{\hat{n}}{R^2(\tau)} \right| e^{i\omega(\tau + R(\tau)/c)}$  field derivation:  $\vec{r}(\tau)$ with  $\tau = \int_0^z \frac{\mathrm{d}z}{c\beta_z(z)} = \frac{1}{c} \int_0^z \mathrm{d}z \left[ 1 + \frac{1 + (\gamma\beta_x)^2 + (\gamma\beta_y)^2}{2\gamma^2} \right]$  $\tau = t - R(\tau)/c$ origin  $\vec{E}(\omega)$  determined knowledge of arbitrary particle orbit: determines orbit and  $\vec{E}(\omega)$ arbitrary magnetic field configuration: comments: exact field description numerical near field calculation (i) includes depth of field & curvature no additional contributions, only field propagation (ii)  $\rightarrow$ free codes available easy field calculation, even field propagation! (iii) http://www.esrf.eu/Accelerators/Groups/InsertionDevices/Software/SRW SRW: (Chubar & Elleaume, ESRF) Spectra: http://radiant.harima.riken.go.ip//spectra/index.html (Tanaka & Kitamura, SPring8)

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#### **SR for Heavy Particles**

- synchrotron radiation spectrum ٥
  - characterized by critical energy / wavelength
- heavy particles (protons) ٥

0

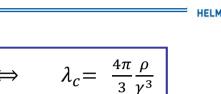
(protons: factor 1836 larger than for electrons) large mass 

small Lorentz factor 
$$\gamma = \frac{E}{m_0 c^2}$$

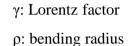
comparison of SR spectra  $T_{kin} = 20 \ GeV, \ \rho = 370 \ m$ 10<sup>1</sup> spectral power density [a.u.] 10<sup>0</sup> electron example ٥ 10 **HERA-p**:  $E = 40...920 \, GeV$  $\rightarrow \lambda_c = 55 \text{ mm} \dots 4.5 \mu \text{m}$ 10<sup>-2</sup> 10<sup>-3</sup> large diffraction broadening, expensive optical elements,... proton 10 smaller  $\lambda$  achieveable ??? 10<sup>10</sup> 10<sup>-10</sup> 10<sup>-5</sup> 10 10<sup>5</sup> photon energy [eV]

 $\hbar\omega_c = \frac{3}{2}\hbar c \frac{\gamma^3}{\rho}$ 

 $\Leftrightarrow$ 

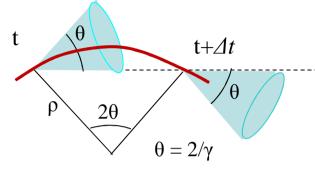


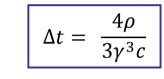




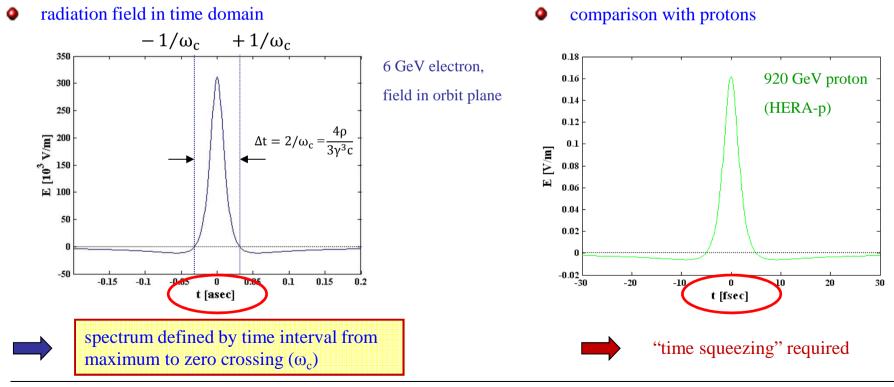
## **SR Single Particle Time Structure**

• geometrical interpretation





 $\Delta t$ : distance in travel time between photon and particle



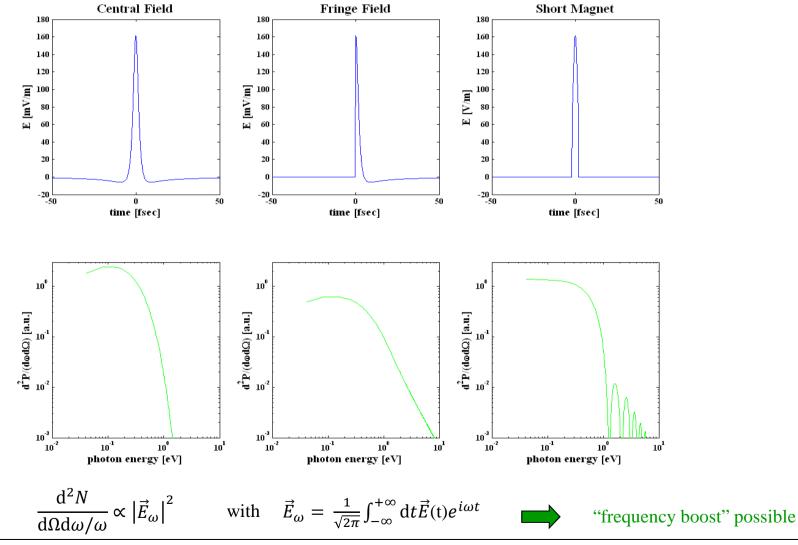
 $\Delta t$ 



# **Time Squeezing**

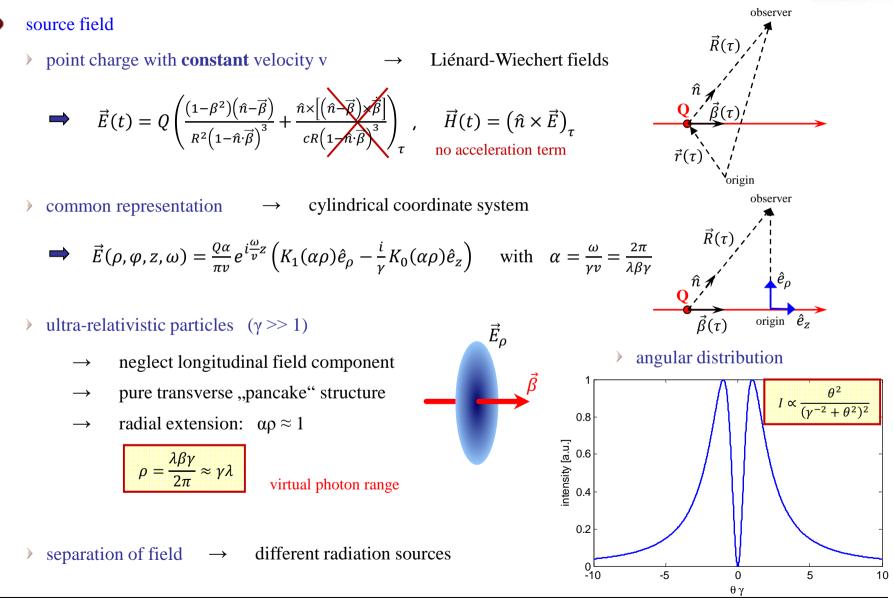


#### • introduce sharp "cut-off" in time domain



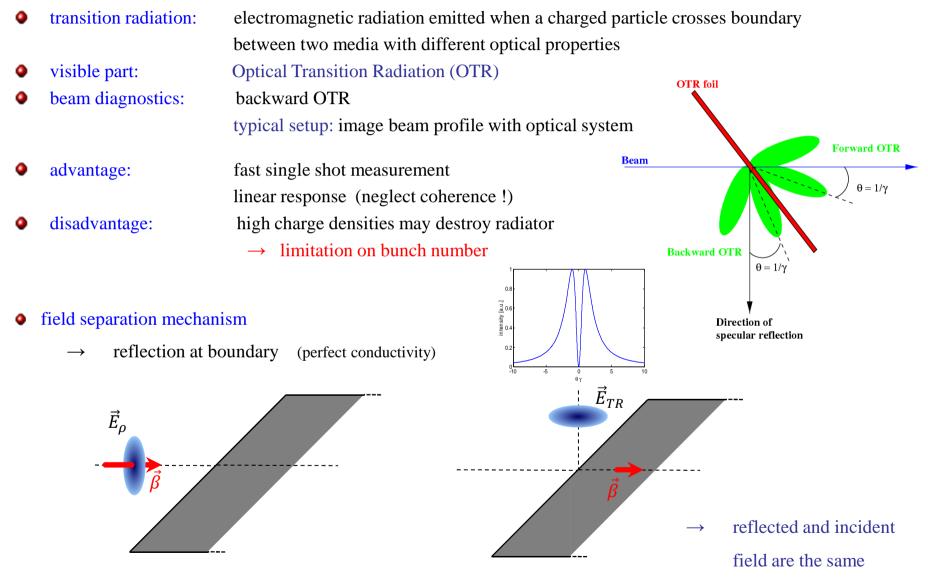
#### **Constant Linear Motion**





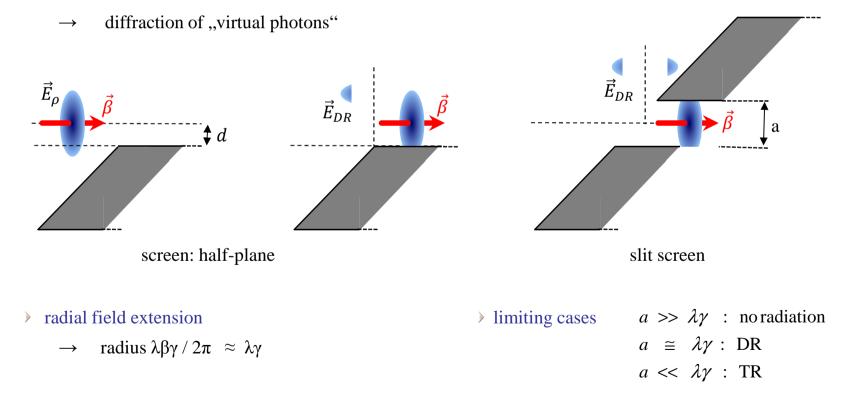
### **Transition Radiation**





#### **Diffraction Radiation**

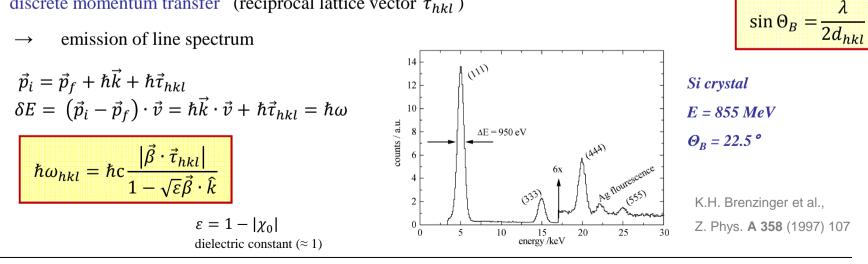
- problem OTR: screen degradation / damage
  - $\rightarrow$  limited to only few bunch operation, no permanent observation
- Optical Diffraction Radiation (ODR): non-intercepting beam diagnostics
  - > DR generation via interaction between particle EM field and conducting screen





# **Parametric X-Ray Radiation (PXR)**

- idea: higher photon energies  $\hbar\omega$ 
  - better resolution
  - insensitive on coherent effects
- real photons ٠
  - Bragg reflection, crystals  $\rightarrow$  X-rays  $\leftrightarrow$
- virtual photons ٥
  - > field separation by Bragg reflection at crystal lattice
    - radiation field: **Parametric X-Ray Radiation (PXR)**
- crystal periodicity (3D) ٥
  - b discrete momentum transfer (reciprocal lattice vector  $\vec{\tau}_{hkl}$ )



 $\vec{E}_{\rho}$ 



 $d_{hkl}$ 

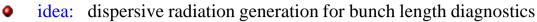
courtesy: M.J. Winter

 $\vec{k}_{PXR}, \hbar\omega$ 

(Science Photo Library)

 $\Theta_{R}$ 

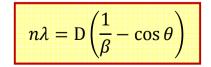
#### **Smith-Purcell Radiation**



- Coherent Radiation Diagnostics (CRD)
  - $\rightarrow$  compact setup (combined radiator / analysator)
- Smith-Purcell radiation (SPR)
  - Field separation
    - → virtual photon diffraction at 1D Bravais-structure (grating)
    - $\rightarrow$  grating provides 1D discrete momentum

#### momentum conservation:

$$\vec{p}_i = \vec{p}_f + \hbar \vec{k} + \hbar n \frac{2\pi}{D} \hat{v}$$
$$(\vec{p}_i - \vec{p}_f) \cdot \vec{v} = \hbar \omega = \hbar \vec{k} \cdot \vec{v} + \hbar n \frac{2\pi}{D} \hat{v} \cdot \vec{v}$$
$$2\pi \frac{c}{\lambda} = \frac{2\pi}{\lambda} v \cos \theta + n \frac{2\pi}{D} v$$





h

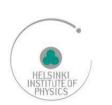


**CRD:** standard method for radiation based bunch length diagnostics long bunch ( $\lambda < \sigma_{\tau}$ ) short bunch  $(\lambda > \sigma_z)$  $\vec{E}_{\rho}$ d D



# Particle Electro-Magnetic Field Interaction with Matter

- Beam Loss Monitoring
- Beam Charge Measurements (Faraday Cup)
- Beam Profile Measurements (Wire Scanner, SEM, Scintillator)





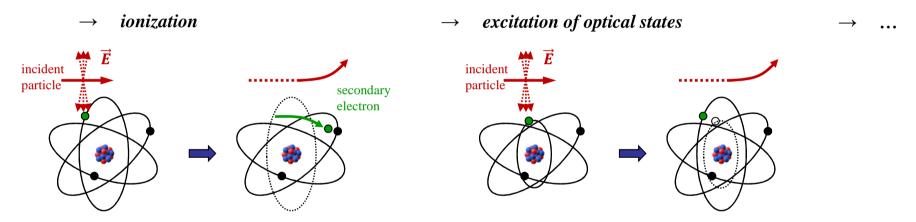
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## **Charged Particle Interaction with Matter**



- energy deposition of charged particles in matter
  - > applied for beam monitoring  $\rightarrow$  scintillating light generation, secondary electron emission, ...
- types of particle interaction
  - > charged particle transmits some of its energy to particles in medium  $\rightarrow$  excitation of medium particles via:



- level of particle-particle interaction: important modes of interaction
  - elastic scattering  $\rightarrow$  incident particle scatters off target particle, total  $T_{kin}$  of system remains constant
  - inelastic scattering
- $\rightarrow$  incident particle excites atom to higher electronic/nuclear state

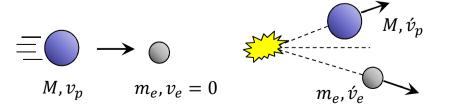
- > annihilation
- > Bremsstrahlung emission
- > Cherenkov & Transition Radiation, ...

## **Interaction of Heavy Charged Particles**

- "heavy" particles:  $A \ge 1$  (p,  $\alpha$ , ions,...)
- interaction modes
  - (1) Rutherford (Coulomb) scattering  $\rightarrow$  elastic scattering
  - Coulomb force interaction between *incident particle* and *target nucleus*  $\rightarrow$  not applied for beam diagnostics
  - (2) passage of particles through matter
  - > number of electronic/nuclear mechanisms, through which charged particle can interact with medium particles
  - > net result of all interactions  $\rightarrow$  reduction of particle energy
  - > underlying interaction mechanisms are complicated
    - $\rightarrow$  rate of energy loss fairly accurately predicted by semi-empirical relations

relevant for beam diagnostics

- energy transfer from projectile to target
  - $\rightarrow$  projectile  $\rightarrow$  beam particle
  - $\rightarrow target \rightarrow atomic shell electron$



> maximum energy transfer  $\rightarrow$  head-on collision

dominated by elastic collisions with shell electrons

2 electro-magnetic interaction channels ...

$$\frac{\Delta E_{max}}{T_{kin}} = 4 \frac{m_e M}{(m_e + M)^2} \xrightarrow{M \gg m_e} 4 \frac{m_e}{M}$$
proton beam:
$$\frac{\Delta E_{max}}{T_{kin}} = 4 \cdot \frac{1}{1836} \sim \frac{1}{500}$$

small energy transfer in single collision

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Beam Instrumentation CAS, Tuusula (Finland), 2-15 June 2018



## **Energy Loss by Ionization – Bohr**

- classical derivation by Bohr (1913): ٥
  - > particle with *charge Ze* moves with *velocity v* through medium with *electron density n*
  - > electrons are conidered free and initially at rest

(assumption of elastic collisions  $\rightarrow$  losses in fact inelastic)

 $\int \vec{E} \cdot \mathrm{d}\vec{S} = 4\pi Z e$ 

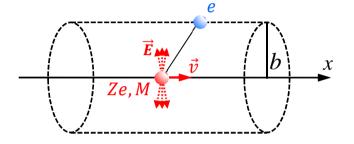
momentum transfer to single electron ٠

$$\Delta \vec{p}_{\perp} = \int \mathrm{d}t \; \vec{F}_{\perp} = \int \mathrm{d}x \; \vec{F}_{\perp} \frac{\mathrm{d}t}{\mathrm{d}x} \; = \int \vec{F}_{\perp} \frac{\mathrm{d}x}{v} = e \int \vec{E}_{\perp} \frac{\mathrm{d}x}{v}$$

 $\Delta \vec{p}_{\parallel}$ : averages to *zero*  $\rightarrow$  symmetry

> apply Gauss' flux theorem (in cgs units):

$$\int \vec{E}_{\perp} \cdot 2\pi b \, \mathrm{d}x = 4\pi Z e \qquad \Longrightarrow \qquad \int \vec{E}_{\perp} \, \mathrm{d}x = \frac{2Ze}{b}$$



$$\implies \Delta \vec{p}_{\perp} = \frac{2Ze^2}{bv}$$

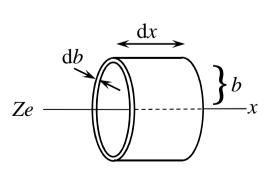
energy transfer to *single* electron, located at transverse distance *b* ٠

$$\Delta E(b) = \frac{\Delta \vec{p}^2}{2m_e} \qquad \qquad \Rightarrow \qquad \Delta E(b) = \frac{2Z^2 e^4}{m_e v^2 b^2}$$

integration over all electrons in medium 0

• consider cylindrical barrel with  $N_e$  electrons

$$N_e = n \ 2\pi b \ \mathrm{d} b \ \mathrm{d} x$$





## Energy Loss by Ionization (2) – Bohr



• energy loss per path length dx for distance between b and b+db in medium with electron density n:

$$-dE(b) = \frac{\Delta p^2}{2m_e} N_e = \frac{4\pi Z^2 e^4}{m_e v^2} n \frac{db}{b} dx$$
  
$$\implies -\frac{dE}{dx} = \frac{4\pi Z^2 e^4}{m_e v^2} n \int_{b_{min}}^{b_{max}} \frac{db}{b} = \frac{4\pi Z^2 e^4}{m_e v^2} n \ln \frac{b_{max}}{b_{min}}$$

• determination of relevant **b** range

$$b_{min}: \text{ for head-on collisions in which kinetic energy transfer is maximum} \qquad W_{max} = 2m_e c^2 \beta^2 \gamma^2$$
$$\Delta E_{max}(b_{min}) = \frac{2Z^2 e^4}{m_e v^2 b_{min}^2} \stackrel{\text{def}}{=} W_{max} \implies b_{min} = \frac{Ze^2}{\gamma m_e v^2}$$

→  $b_{max}$ : principle of adiabatic invarianc → e<sup>-</sup> bound to atom, circulating nucleus with mean orbital frequency  $\bar{\nu}$ 

$$\rightarrow$$
 energy transfer: time interval of distortion  $\leq$  period duration

$$\Delta t = \frac{b}{\gamma v} \leq \tau = \frac{1}{\bar{v}} \implies b_{max} = \frac{\gamma v}{\bar{v}}$$

$$-\frac{dE}{dx} = \frac{4\pi n Z^2 r_e^2 m_e c^2}{\beta^2} \ln\left(\frac{\gamma^2 m_e v^3}{Z e^2 \bar{v}}\right)$$
with  $r_e = \frac{e^2}{4\pi \varepsilon_0 m_e c^2} \rightarrow \text{ classical electron radius}, \quad n = N_A \rho \frac{Z_T}{A_T} \rightarrow \text{ electron density}$ 

## **Bethe–Bloch (–Sternheimer) Formula**



• quantum mechanical based calculation of *collisional* energy loss:

$$-\left(\frac{\mathrm{d}E}{\mathrm{d}x}\right)_{coll} = 4\pi N_A r_e^2 m_e c^2 \cdot \rho \frac{Z_t}{A_t} \cdot \frac{Z_p^2}{\beta^2} \ln\left(\frac{2m_e c^2 \beta^2 \gamma^2}{I} - \beta^2 - \frac{\delta}{2} - \frac{C}{Z_t}\right)$$

fundamental constants

#### incident particle

- $r_e$ : classical electron radius
- $m_e$ : mass of electron
- $N_A$ : Avogadro's number
- *c*: speed of light

### > absorber medium

- *I*: mean ionization potential
- $Z_t$ : atomic number of absorber
- A: atomic weight of absorber
- $\rho$ : density of absorber
- $\delta$ : density correction
- C: shell correction

#### general form

$$\frac{\mathrm{d}E}{\mathrm{d}x} \propto \frac{{Z_p}^2}{\beta^2} \ln(a\beta^2\gamma^2)$$

#### incident particle

- $Z_p$ : charge of incident particle
- $\beta$ : reduced velocity

$$W_{max} = 2m_e c^2 \beta^2 \gamma^2$$

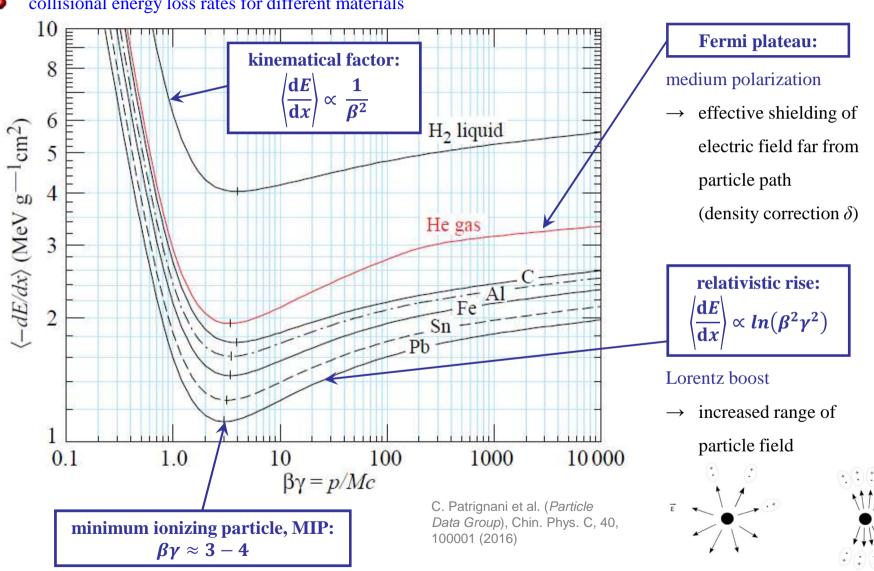
- max. energy transfer in single collision
- $\rightarrow$  density correction  $\delta$ :

shielding of distant electrons because of polarization

- shell correction C: (high energies)
  - depends on electron orbital velocities (low energies)

## **Bethe–Bloch Formula (2)**





collisional energy loss rates for different materials ٠

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Beam Instrumentation CAS, Tuusula (Finland), 2-15 June 2018

## **Bethe–Bloch and Particle Range**

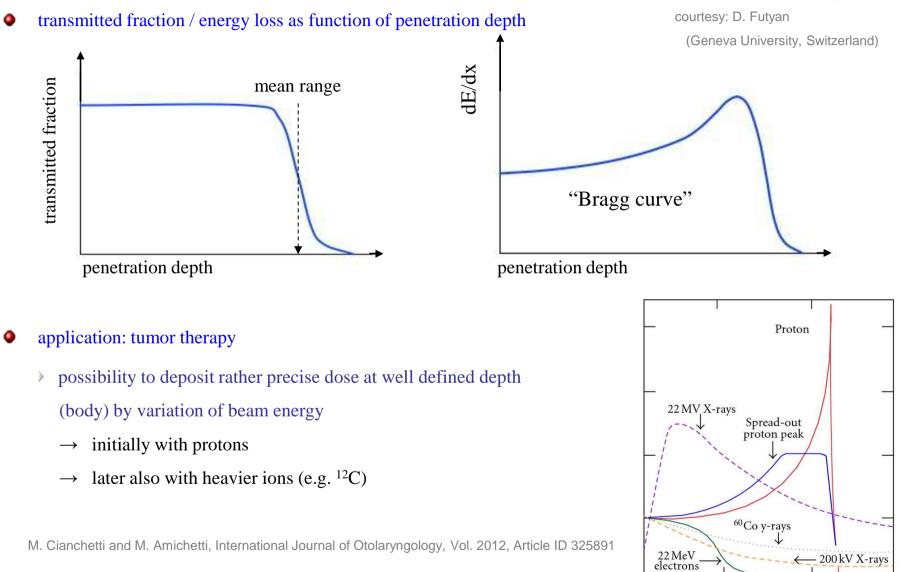


- comments
  - instead of energy loss per distance  $\rightarrow$  frequently use of  $\frac{1}{\rho} \frac{dE}{dx}$  with mass distribution  $dx = \rho ds$ *Mass Stopping Power S* with ds in [cm],  $\rho$  in [g/cm<sup>3</sup>]
  - ►  $\frac{1}{\rho} \frac{dE}{dx}$  for MIP weakly depends on absorber material  $\rightarrow$  typically ~ 2 MeV g<sup>-1</sup> cm<sup>2</sup>
  - description of mean energy loss due to ionization and excitation for all charged particles  $\rightarrow$  exception:  $e^{\pm}$ for  $e^{\pm}$ : equal particle masses  $\rightarrow$  different impact kinematics
- average distance heavy charged particle will travel  $\rightarrow$  range
  - energy loss  $\rightarrow$  statistical process
  - heavy charged particles loose only small fraction of their energy in collisions with atomic electrons
    - $\rightarrow$  experience only slight deflection from scattering with electrons
    - $\rightarrow$  travel in nearly straight lines through matter
  - > small gradual amount of energy transferred from beam particle to absorber
    - $\rightarrow$  particle passage treated as *continuous slowing down* process
- mean particle range
  - Continuous Slowing Down Approximation
    - $\rightarrow$  **CSDA**-range

 $R_{CSDA}(T) = \int_0^T \mathrm{d}T \left[ -\frac{\mathrm{d}E}{\mathrm{d}x} \right]^{-1}$ 

## **Particle Range of Heavy Particles**





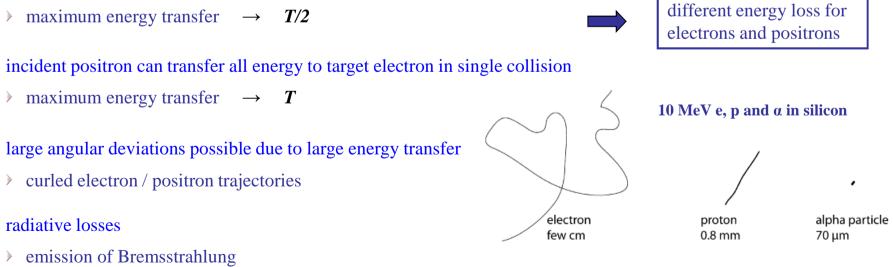
## e<sup>+</sup> / e<sup>-</sup> Interaction – Basic Considerations

- e<sup>+</sup> / e<sup>-</sup> are "quickly" relativistic
  - > small rest mass energy  $E_0 = m_e c^2 = 511 \text{ keV}$ 
    - $\rightarrow$  relativistic effects have to be taken into account to deduce meaningful results
- large energy transfer possible ٠
  - > simple (non-relativistic) kinematical consideration:
  - maximum energy transfer head-on collision  $\rightarrow$
- $\frac{\Delta E_{max}}{T_{kin}} = 4 \frac{m_e M}{(m_e + M)^2}$  $M=m_e$  $T_{kin}$
- incident electron and target electron are indistinguishable ٠
  - convention:

٠

٥

- electron with higher energy  $\rightarrow$  "beam particle"
- > maximum energy transfer  $\rightarrow$  T/2

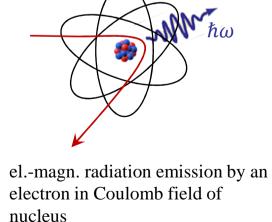


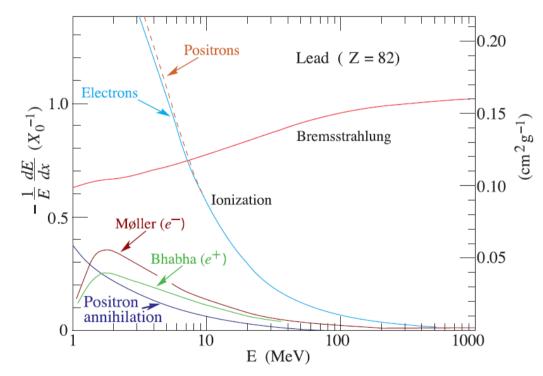


radiative losses

# **Electron / Positron Interaction with Matter**

- interaction modes
  - (1) ionization
    - $\rightarrow$  distant collisions (small transferred energy), same procedure as for Bethe-Bloch equation
  - (2)  $M \phi ller (e^{\pm} e^{\pm}) scattering$ 
    - $\rightarrow$  close collisions (large transferred energy), taking into account relativistic, spin and exchange effect
  - (3) Bhabha  $(e^++e^+ \rightarrow e^++e^+)$  scattering
    - $\rightarrow$  similar to Møller scattering
  - (4) electron-positron annihilation
  - (5) Bremsstrahlung





C. Patrignani et al. (Particle Data Group), Chin. Phys. C, 40, 100001 (2016)



# **Collisional Stopping Power**



- > not only includes inelastic impact ionization process
  - $\rightarrow$  also scattering mechanisms such as Møller or Bhabha scattering

$$S_{coll} = -\left(\frac{1}{\rho}\frac{\mathrm{d}E}{\mathrm{d}x}\right)_{coll} = 4\pi N_A r_e^2 m_e c^2 \cdot \frac{Z_t}{A_t} \cdot \frac{1}{\beta^2} \left[\ln\left(\frac{T}{I}\right) + \frac{1}{2}\ln\left(1 + \frac{\tau}{2}\right)^{1/2} + F^{\mp}(\tau) - \frac{\delta}{2}\right]$$

with T: kinetic energy of electron / positron  $\tau = \frac{T}{m_0 c^2}$ 

electrons:

$$F^{-}(\tau) = \frac{1-\beta^2}{2} \left[ 1 + \frac{\tau^2}{8} - (2\tau+1) \ln 2 \right]$$

> positrons:

$$F^{+}(\tau) = \ln 2 - \frac{\beta^2}{24} \left[ 23 + \frac{14}{\tau+2} + \frac{10}{(\tau+2)^2} + \frac{4}{(\tau+2)^3} \right]$$

• free codes / tables available

- $\blacktriangleright$  collisional, radiative, nuclear stopping power and more for e, p,  $\alpha$  particles
  - → https://physics.nist.gov/PhysRefData/Star/Text/intro.html

estar\* astar\* psta



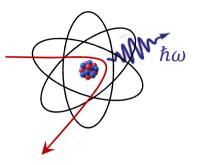


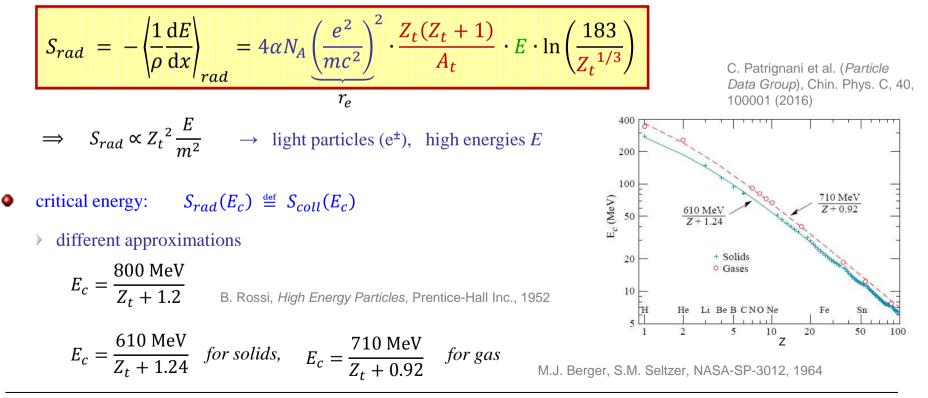


## **Radiative Stopping Power**



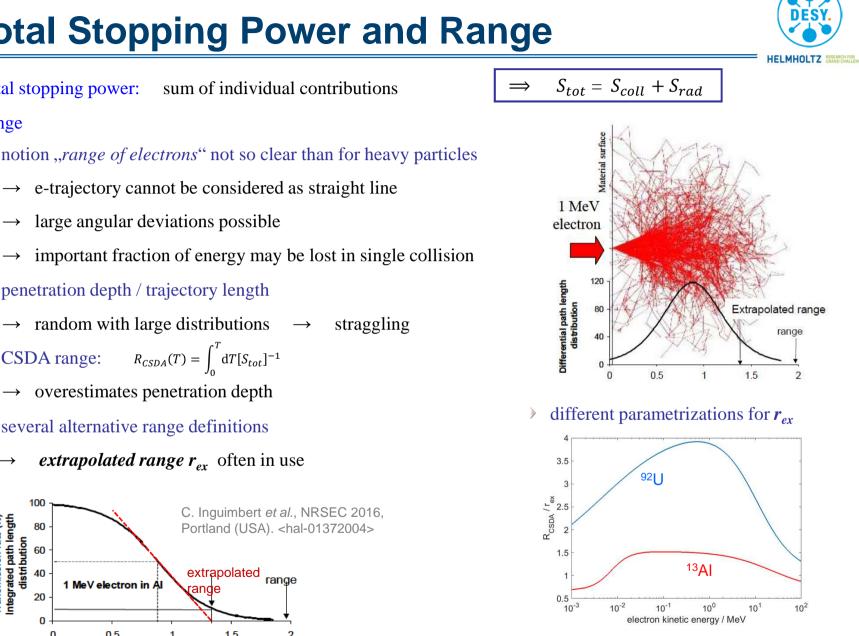
- Bremsstrahlung
  - > photon emission by charged particles, accelerated in Coulomb field of nucleus
    - → QED process (Fermi 1924, Weizsäcker-Williams 1938)
- energy loss / stopping power
  - > screening of nucleus due to atomic electrons not taken into account
    - $\rightarrow$  only valid for large particle energies *E*





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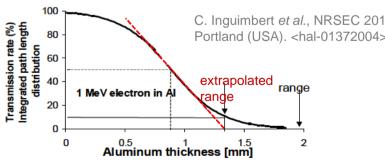


e.g.: T. Tabata et al., NIM B119 (1996) 463

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## **Total Stopping Power and Range**

- total stopping power:
- range
  - > notion ... range of electrons" not so clear than for heavy particles
  - penetration depth / trajectory length
  - CSDA range:
  - several alternative range definitions
    - $\rightarrow$





## Quintessence



- particle interaction in matter difficult to treat analytically
  - > approximative expressions and parametrizations exists
    - $\rightarrow$  good for first insight  $\rightarrow$  have a feeling what's going on...
- typical domain of simulation toolkits
  - > depending on task / lab strategy / personal interest...
    - $\rightarrow$  different codes with different pros and cons
  - Geant
    Image: Simulation Toolkit

    Fluka
    Image:



# Particle Interaction with external Electro-Magnetic Field

- Bunch Length Measurements
- transverse Beam Profile Diagnostics (Laser Wire)



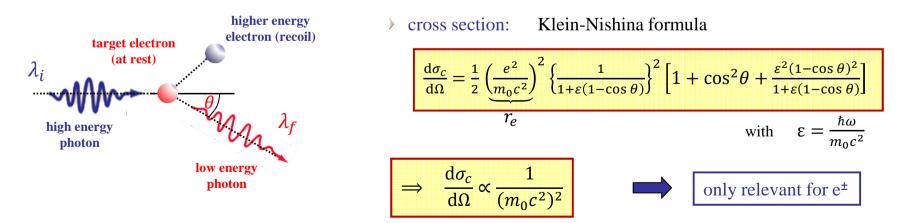


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### **Interaction with external EM Fields**

- external electromagnetic field acting as
  - > signal source: photon scattered at beam particles
    - $\rightarrow$  probing beam shape with external laser (laser wire)
  - beam manipulator
    - $\rightarrow$  atomic excitations of ion beams
    - $\rightarrow$  force acting on charged particle beam
- scattering of photons on charged particles
  - *Compton effect:* photon scattered on a ,,quasi free" electron
    - $\rightarrow$  *photon energy large* compared to binding energy of electron
    - $\rightarrow$  photon is deflected and wavelength  $\lambda$  changes due to energy transfer  $\rightarrow$  photon loses energy





 $\gamma + \text{Atom} \rightarrow \gamma + e^- + \text{Ion}^+$ 

## **Inverse Compton Scattering**



- electron / positron accelerator
  - target particles not at rest
    - $\rightarrow$  application of Klein-Nishina only in particle rest frame  $\rightarrow$  Lorentz boost to LAB frame
- inverse situation at accelerator
  - high energy e<sup>±</sup> (beam particles)
  - Iow energy photons (optical laser)

#### • inverse Compton scattering

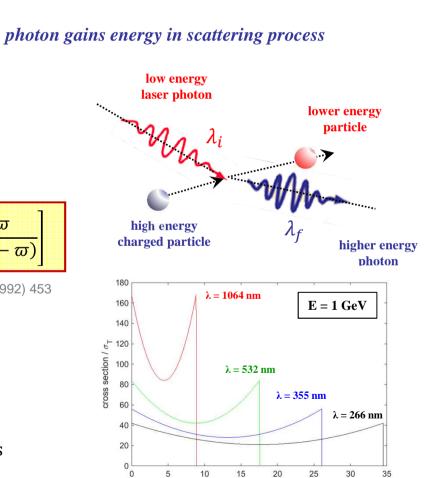
cross section

$$\frac{\mathrm{d}\sigma_{ic}}{\mathrm{d}\varpi} = \frac{3}{8}\frac{\sigma_T}{\epsilon_1} \left[\frac{1}{1-\varpi} + 1 - \varpi + \left\{\frac{\varpi}{\epsilon_1(1-\varpi)}\right\}^2 - \frac{2\varpi}{\epsilon_1(1-\varpi)}\right]$$

T. Shintake, Nucl. Instrum. Meth. A311 (1992) 453

with

$$\sigma_T = \frac{8\pi r_e^3}{3}$$
: Thomson cross section  
 $\epsilon_1 = \frac{\gamma \hbar \omega_0}{m_e c^2}$ : normalized energy of laser photons  
 $\varpi = \frac{\hbar \omega_\gamma}{E}$ : normalized energy of emitted photons



photon energy / MeV

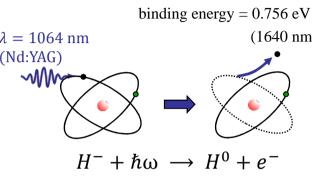
## **Beam Manipulation with EM Fields**

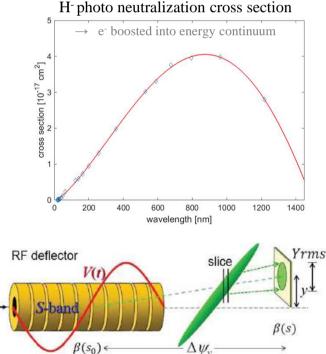
- no direct beam diagnostics
  - preparation for beam diagnostics measurement
    - beam current (difference), beam profile, ...
- laser based photoejection of H<sup>-</sup> beams ٥
  - $\rightarrow$  proton accelerator  $\rightarrow$  H<sup>-</sup> gun
  - > stripping for *p* generation  $\rightarrow$  charge exchange via *foil* 
    - *laser* (2 electron photoejection)
  - laser photo neutralization for beam diagnostics
    - e.g. difference in bunch charge before / after neutralization
- Transverse Deflecting Structure (TDS) ٥
  - iris loaded RF waveguide structure
  - designed to provide hybrid deflecting modes  $(\text{HEM}_{11})$ 
    - linear combination of  $TM_{11}$  and  $TE_{11}$  dipole modes
    - resulting in transverse force that act on

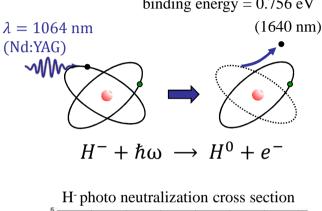
synchronously moving relativistic particle beam

used as RF deflector  $\rightarrow$ intra-beam streak camera

(bunch length diagnostics)







slice

