



Beam instabilities (I)

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- What is a beam instability?
 - A beam becomes unstable when a moment of its distribution exhibits an exponential growth (e.g. mean positions <x>, <y>, <z>, standard deviations σ_x , σ_y , σ_z , etc.) resulting into beam loss or emittance growth!

$$\psi(x, y, z, x', y', \delta)$$

$$N = \int_{-\infty}^{\infty} \psi(x, y, z, x', y', \delta) dx dx' dy dy' dz d\delta$$

$$\langle x \rangle = \frac{1}{N} \int_{-\infty}^{\infty} x \psi(x, y, z, x', y', \delta) dx dx' dy dy' dz d\delta$$

$$\sigma_x = \frac{1}{N} \int_{-\infty}^{\infty} (x - \langle x \rangle)^2 \psi(x, y, z, x', y', \delta) dx dx' dy dy' dz d\delta$$
And similar definitions for $\langle y \rangle$, σ_y , $\langle z \rangle$, σ_z 2





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- Why study beam instabilities?
 - The onset of a beam instability usually determines the maximum beam intensity that a machine can store/accelerate (performance limitation)
 - Understanding the type of instability limiting the performance, and its underlying mechanism, is essential because it:
 - Allows identifying the source and possible measures to mitigate/suppress the effect
 - Allows dimensioning an active feedback system to prevent the instability





Types of beam instabilities

- ⇒ Beam instabilities occur in both linear and circular machines
 - Longitudinal plane (z, δ)
 - Transverse plane (x,y,x',y')
- \Rightarrow Beam instabilities can affect the beam on different scales
 - Cross-talk between bunches
 - \rightarrow The unstable motion of subsequent bunches is coupled
 - \rightarrow The instability is consequence of another mechanism that builds up along the bunch train
 - Single bunch effect
 - Coasting beam instabilities





Example of multi-bunch instability

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Example of single bunch instability

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Instability loop







Instability loop







Instability loop







Some examples of beam-environment interaction







Electro-magnetic beam-environment interaction



impedances





Wake fields (general)



- While source and witness ($q_i \delta(s-ct)$), distant by *z*<0, move in a perfectly conducting chamber, the witness does not feel any force ($\gamma >> 1$)
- When the source encounters a discontinuity (e.g., transition, device), it produces an electromagnetic field, which trails behind (wake field)
 - The source loses energy
 - o The witness feels a net force all along an effective length of the structure, L



- Not only geometric discontinuities cause electromagnetic fields trailing behind sources traveling at light speed.
- For example, a pipe with finite conductivity causes a delay in the induced currents, which also produces delayed electromagnetic fields
 - No ringing, only slow decay
 - The witness feels a net force all along an effective length of the structure, L
- In general, also electromagnetic boundary conditions other than PEC can be the origin of wake fields.



1. The longitudinal plane





Longitudinal wake function: definition







Longitudinal wake function: properties

$$W_{\parallel}(z) = -\frac{\Delta E_2}{q_1 q_2} \qquad \begin{array}{c} z \to 0 \\ q_2 \to q_1 \end{array} \qquad W_{\parallel}(0) = -\frac{\Delta E_1}{q_1^2} \end{array}$$

- The value of the wake function in 0, $W_{II}(0)$, is related to the energy lost by the source particle in the creation of the wake
- W₁₁(0)>0 since ∆E₁<0</p>
- $W'_{II}(z)$ is discontinuous in z=0 and it vanishes for all z>0 because of the ultra-relativistic approximation







The energy balance

$$W_{\parallel}(0) = -\frac{\Delta E_1}{q_1^2} \qquad \mbox{What happens to the energy lost by the source?}$$

- In the global energy balance, the energy lost by the source splits into
 - Electromagnetic energy of the modes that remain trapped in the object
 - ⇒ Partly dissipated on lossy walls or into purposely designed inserts or HOM absorbers
 - ⇒ Partly transferred to following particles (or the same particle over successive turns), possibly feeding into an instability!
 - Electromagnetic energy of modes that propagate down the beam chamber (above cut-off), which will be eventually lost on surrounding lossy materials







The energy balance







Longitudinal impedance

- The wake function of an accelerator component is basically its Green function in time domain (i.e., its response to a pulse excitation)
 - ⇒ Very useful for macroparticle models and simulations, because it can be used to describe the driving terms in the single particle equations of motion!
- We can also describe it as a transfer function in frequency domain
- This is the definition of longitudinal beam coupling impedance of the element under study





Longitudinal impedance: resonator



- The frequency $\omega_{\rm r}$ is related to the oscillation of ${\rm E_z}$, and therefore to the frequency of the mode excited in the object
- The decay time depends on how quickly the stored energy is dissipated (quantified by a quality factor Q)





Longitudinal impedance: resonator

$$\left(Z_{\parallel}(\omega) = \int_{-\infty}^{\infty} W_{\parallel}(z) \exp\left(-\frac{i\omega z}{c}\right) \frac{dz}{c} \right)$$



In general, the impedance will be composed of several of these resonator peaks
 Other contributors to the global impedance can also have different shapes, e.g. the resistive wall





Longitudinal impedance: cavity



- A more complex example: a simple pill-box cavity with walls having finite conductivity
- Several modes can be excited
 - Below the pipe cut-off frequency the width of the peaks is only determined by the finite conductivity of the walls
 - Above, losses also come from propagation in the chamber







Longitudinal impedance: cavity



 Evolution of the electromagnetic fields (E_z) in the cavity while and after the beam has passed







Single bunch effects







Single bunch effects



$$\Delta E(z) = -e^2 \int_{z}^{\hat{z}} \lambda(z') W_{\parallel}(z-z') dz'$$







Multi bunch effects









Single bunch vs. Multi bunch

- A short-lived wake, decaying over the length of one bunch, can only cause intra-bunch (head-tail) coupling
- It can be therefore responsible for single bunch instabilities







Single bunch vs. Multi bunch

- A long-lived wake field, decaying over the length of a bunch train or even more than a turn, causes bunch-to-bunch or multi-turn coupling
- It can be therefore responsible for multi-bunch or multi-turn instabilities



- Detailed calculations:
 - Energy loss
 - Robinson instability
- Qualitative descriptions:
 - Coupled bunch instabilities
 - Single bunch modes

[1] "Physics of Collective Beam Instabilities in High Energy Accelerators", A. W. Chao




Energy loss of a bunch (single pass)

- The energy kick $\Delta E(z)$ on each particle e in the witness slice $\lambda(z)dz$ is the integral of the contributions from the wakes left behind by all the preceding $e\lambda(z')dz$ slices (sources)
- The total energy loss ΔE of the bunch can then be obtained by integrating $\Delta E(z)~\lambda(z)$ over the full bunch extension







Energy loss of a bunch (multi-pass)

- The total energy loss ΔE of the bunch can still be obtained by integrating $\Delta E(z)$ over the full bunch extension
- $\Delta E(z)$ this time also includes contributions from all previous turns, spaced by multiples of the ring circumference C

$$\Delta E = -\frac{e^2}{2\pi} \int_{-\infty}^{\infty} \lambda(z) dz \int_{-\infty}^{\infty} dz' \lambda(z') \sum_{k=-\infty}^{\infty} W_{\parallel}(kC + z - z') dz'$$

$$\sum_{k=-\infty}^{\infty} W_{||}(kC+z-z') = \frac{c}{C} \sum_{p=-\infty}^{\infty} Z_{||}(p\omega_0) \exp\left[-\frac{ip\omega_0(z-z')}{c}\right]$$

$$\Delta E = -\frac{e^2 \omega_0}{2\pi} \sum_{p=-\infty}^{\infty} Z_{\parallel}(p\omega_0) \underbrace{\int_{-\infty}^{\infty} \lambda(z) \exp\left(\frac{-ip\omega_0 z}{c}\right) dz}_{\tilde{\lambda}(p\omega_0)} \int_{-\infty}^{\infty} \lambda(z') \exp\left(\frac{ip\omega_0 z'}{c}\right) dz'$$

$$\Delta E = -\frac{e^2 \omega_0}{2\pi} \sum_{p=-\infty}^{\infty} |\tilde{\lambda}(p\omega_0)|^2 \operatorname{Re}\left[Z_{\parallel}(p\omega_0)\right]$$





Energy loss per turn: stable phase shift

- The RF system has to compensate for the energy loss by imparting a net acceleration to the bunch
- Therefore, the bunch readjusts to a new equilibrium distribution in the bucket and moves to a synchronous angle $\Delta \Phi_{\rm s}$







Single particle equations of the longitudinal motion in presence of wake fields







- To illustrate the Robinson instability we will use some simplifications:
 ⇒ The bunch is point-like and feels an external linear force (i.e. it would execute linear synchrotron oscillations in absence of the wake forces)
 - \Rightarrow The bunch additionally feels the effect of a multi-turn wake







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$$\frac{d^2 z}{dt^2} + \omega_s^2 z = \frac{N e^2 \eta}{C m_0 \gamma} \sum_{k=-\infty}^{\infty} W_{\parallel} \left[z(t) - z(t - kT_0) - kC \right]$$

We assume that the wake can be linearized on the scale of a synchrotron oscillation

$$W_{\parallel}[z(t) - z(t - kT_0) - kC] \approx W_{\parallel}(kC) + W'_{\parallel}(kC) \cdot [z(t) - z(t - kT_0)]$$





$$W_{\parallel}[z(t) - z(t - kT_0) - kC] \approx W_{\parallel}(kC) + W'_{\parallel}(kC) \cdot [z(t) - z(t - kT_0)]$$

- ⇒ The term $\Sigma W_{||}(kC)$ only contributes to a constant term in the solution of the equation of motion, i.e. the synchrotron oscillation will be executed around a certain z_0 and not around 0. This term represents the stable phase shift that compensates for the energy loss
- ⇒ The dynamic term proportional to $z(t)-z(t-kT_0) \approx kT_0 dz/dt$ will introduce a "friction" term in the equation of the oscillator, which can lead to instability!

$$z(t) \propto \exp\left(-i\Omega t\right)$$

$$\Omega^{2} - \omega_{s}^{2} = -\frac{Ne^{2}\eta}{Cm_{0}\gamma} \sum_{k=-\infty}^{\infty} \left[1 - \exp\left(-ik\Omega T_{0}\right)\right] \cdot W_{\parallel}'(kC)$$
$$i \cdot \frac{1}{C} \sum_{p=-\infty}^{\infty} \left[p\omega_{0}Z_{\parallel}(p\omega_{0}) - (p\omega_{0} + \Omega)Z_{\parallel}(p\omega_{0} + \Omega)\right]$$





 \Rightarrow We assume a small deviation from the synchrotron tune

- \Rightarrow Re($\Omega \omega_s$) \rightarrow Synchrotron tune shift
- $\Rightarrow Im(\Omega \omega_s) \rightarrow Growth/damping rate, only depends on the dynamic term, if it is positive there is an instability!$

$$\begin{split} \Omega^2 - \omega_s^2 &\approx 2\omega_s \left[\left(\Omega - \omega_s \right) \right] \\ \Delta \omega_s &= \operatorname{Re}(\Omega - \omega_s) = \left(\frac{e^2}{m_0 c^2} \right) \frac{N\eta}{2\gamma T_0^2 \omega_s} \times \\ & \times \sum_{p=-\infty}^{\infty} \left[p\omega_0 \operatorname{Im} Z_{\parallel}(p\omega_0) - (p\omega_0 + \omega_s) \operatorname{Im} Z_{\parallel}(p\omega_0 + \omega_s) \right] \end{split}$$

$$\tau^{-1} = \operatorname{Im}(\Omega - \omega_s) = \left(\frac{e^2}{m_0 c^2}\right) \frac{N\eta}{2\gamma T_0^2 \omega_s} \sum_{p=-\infty}^{\infty} (p\omega_0 + \omega_s) \operatorname{Re} Z_{\parallel}(p\omega_0 + \omega_s)$$





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- ⇒ We assume the impedance to be peaked at a frequency ω_r close to $h\omega_0 >> \omega_s$ (e.g. RF cavity fundamental mode or HOM)
- ⇒ Only two dominant terms are left in the summation at the RHS of the equation for the growth rate
- \Rightarrow Stability requires that η and Δ [Re Z₁₁(h ω_0)] have different signs

$$\tau^{-1} = \left(\frac{e^2}{m_0 c^2}\right) \frac{N\eta h\omega_0}{2\gamma T_0^2 \omega_s} \begin{bmatrix} \operatorname{Re} Z_{\parallel}(\hbar\omega_0 + \omega_s) - \operatorname{Re} Z_{\parallel}(\hbar\omega_0 - \omega_s) \end{bmatrix} \begin{bmatrix} \operatorname{Re} Z_{\parallel}(\hbar\omega_0 + \omega_s) - \operatorname{Re} Z_{\parallel}(\hbar\omega_0 - \omega_s) \end{bmatrix}$$

$$\Delta \begin{bmatrix} \operatorname{Re} Z_{\parallel}(\hbar\omega_0) \end{bmatrix} \underbrace{\omega_r} \approx h\omega_0$$

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$$0 = 2 = 4 = 6 = 8 = 10_{47}$$







Figure 4.4. Illustration of the Robinson stability criterion. The rf fundamental mode is detuned so that ω_R is (a) slightly below $h\omega_0$ and (b) slightly above $h\omega_0$. (a) is Robinson damped above transition and antidamped below transition. (b) is antidamped above transition and damped below transition.

	ω _r < hω ₀	ω _r > hω ₀
Above transition (η>0)	stable	unstable
Below transition (η <0)	unstable	stable





$$\tau^{-1} = \operatorname{Im}(\Omega - \omega_s) = \left(\frac{e^2}{m_0 c^2}\right) \frac{N\eta}{2\gamma T_0^2 \omega_s} \sum_{p=-\infty}^{\infty} (p\omega_0 + \omega_s) \operatorname{Re} Z_{\parallel}(p\omega_0 + \omega_s)$$

- ⇒ Other types of impedances can also cause instabilities through the Robinson mechanism
- ⇒ However, a smooth broad-band impedance with no narrow structures on the ω_0 scale cannot give rise to an instability
 - ✓ Physically, this is clear, because the absence of structure on ω_0 scale in the spectrum implies that the wake has fully decayed in one turn time and the driving term in the equation of motion also vanishes

$$\sum_{p=-\infty}^{\infty} (p\omega_0 + \omega_s) \operatorname{Re} Z_{\parallel}(p\omega_0 + \omega_s) \to \frac{1}{\omega_0} \int_{-\infty}^{\infty} \omega \operatorname{Re} Z_{\parallel}(\omega) d\omega \to 0$$





Other longitudinal instabilities

- The Robinson instability occurs for a single bunch under the action of a multi-turn wake field
 - It contains a term of coherent synchrotron tune shift
 - It results into an unstable rigid bunch dipole oscillation
 - It does not involve higher order moments of the bunch longitudinal phase space distribution
- Other important collective effects can affect a bunch in a beam
 - Potential well distortion (resulting in synchronous phase shift, bunch lengthening or shortening, synchrotron tune shift/spread)
 - Coupled bunch instabilities
 - High intensity single bunch instabilities (e.g. microwave instability)
 - Coasting beam instabilities (e.g. negative mass instability)
- To be able to study these effects we would need to resort to a more detailed description of the bunch(es)
 - Vlasov equation (kinetic model)
 - Macroparticle simulations





• M bunches can exhibit M different modes of coupled rigid bunch oscillations in the longitudinal plane







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- Any rigid coupled bunch oscillation can be decomposed into a combination of these basic modes
- \Rightarrow This modes can become unstable under the effect of long range wake fields







Single bunch modes

- In a similar fashion, a single bunch exhibits a double infinity of natural modes of oscillation, with rather complicated phase space portraits.
- Whatever perturbation on the bunch phase space distribution can be expanded as a series of these modes







Single bunch modes

- In a similar fashion, a single bunch exhibits a double infinity of natural modes of oscillation, with rather complicated phase space portraits.
- Whatever perturbation on the bunch phase space distribution can be expanded as a series of these modes
- One of these modes or a combination of them can become unstable under the effect of a short range wake field
- In particular, the frequencies of these modes shift with intensity, and two
 of the modes can merge above a certain threshold, causing a microwave
 instability!



Observations in the CERN SPS in 2007





Macroparticle simulation

• We have simulated the evolution of an SPS bunch under the effect of a longitudinal broad band impedance lumped in one point of the ring







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- Two different intensity values have been simulated
 - Low intensity → below instability threshold, but potential well distortion is visible in terms of stable phase shift and bunch lengthening







Macroparticle simulation

- We have simulated the evolution of an SPS bunch under the effect of a longitudinal broad band impedance lumped in one point of the ring
- Two different intensity values have been simulated
 - Low intensity → below instability threshold, but potential well distortion is visible in terms of stable phase shift and bunch lengthening
 - High intensity \rightarrow above microwave instability threshold







Conclusions (part I)

- Beam instability
 - Manifests itself like an exponential coherent motion resulting in beam loss or emittance blow up
 - Can be caused by self induced EM fields
 - Can be described in the framework of wake fields/beam coupling impedances
- Longitudinal effects
 - Energy loss
 - Dipole instability (Robinson), excitation of coupled bunch & single bunch modes
- Tomorrow → transverse wake fields/beam coupling impedances and instabilities