

Particle Sources

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- Ion Sources (plasma physics of gas discharge, potential distribution, ionisation processes, magnetic confinement)
- Electron Sources (Thermoionic emission vs. Laser driven Photocathodes) Emphasys on Beam Physics rather than on Plasma / Solid State Physics
- Particle Beam Generation and Acceleration (first stage): Space Charge diode saturation in CW / Long Pulse: Child-Langmuir Law (limitation in beam current density) Beyond Child-Langmuir: emission in short transients RF Photo-Injectors for High Brightness Beams (X-ray FEL)

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Ion Sources: plasma physics of ion production



- Plasma : a hot gas containing free electrons, ions and neutral atoms.
- Quasineutrality : plasma (macroscopically) quasineutral if the number of positive charges per unit volume equals the negative charge density (electric field of single charges is screened over distances larger than the Debye length, usually microscopic) $\lambda = \sqrt{\frac{k_B T_e \varepsilon_o}{k_B T_e \varepsilon_o}}$

$$\lambda_d = \sqrt{\frac{k_B T_e \varepsilon_o}{e^2 n_i}}$$

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• The ion current is determined only by ion temperature, ion density and area of extraction opening

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Ion Sources: plasma physics of ion production

Adaptation of plasma boundary according to plasma density and extraction voltage



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Ion Sources: plasma physics of ion production

Collisional processes between plasma particles (inelastic collisions) bring to ionization

Electron impact ionization

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Simple case :	e ⁻ + He	\rightarrow	He^+	+	2e ⁻	with binding energy above 25 eV
then	$e^{-} + He^{+}$	\rightarrow	He ²⁺	+	3e ⁻	with binding energy above 50 eV

More complex : including ionisation of molecule, e/atoms, ion/atoms, ions/molecule ... collisions :

 $e^{-} + H_{2} \rightarrow H^{0} + H^{+} + 2e^{-}$ $e^{-} + H_{2} \rightarrow H^{+} + H^{+} + 3e^{-}$ $e^{-} + H_{2} \rightarrow H_{2}^{+} + 2e^{-}$ $e^{-} + H_{2}^{+} \rightarrow H^{0} + H^{+} + e^{-}$

 Table 2
 Typical ionisation potential ranges :

Ion IonisationPotential (eV)Oxygen 5+ to 6+138.1Oxygen 0+ to 6+433.1Oxygen 7+ to 8+871Lead 26+ to 27+874Lead 0+ to 27+9200Lead 81+ to 82+91400

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Ion Sources: magnetic confinement necessary to improve ionization

- The maximum charge state that can be attained is limited by the maximum incident electron energy.
- Multi-step ionisation is thus the only really feasible route to high-charge-state ions but this process takes time.
- This time depends on plasma density and ionisation cross section must be shorter than ion lifetime in the plasma.

Confining electrons and ions by means of a magnetic field improves this process

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Ion Sources: magnetic confinement to improve ionization -> magnetic mirror effect

axial confinement due to conservation of magnetic momentum M and total energy E_{tot}

$$M = \frac{mv_{\perp}^{2}}{2B} = \frac{mv_{\perp \min}^{2}}{2B_{\min}} \qquad E_{tot} = \frac{1}{2}mv_{\perp}^{2} + \frac{1}{2}mv_{\parallel}^{2} + E_{p}$$

$$E_{tot} = \frac{1}{2}mv_{\perp \min}^{2} + \frac{1}{2}mv_{\parallel/\min}^{2} + E_{p} = MB_{\min} + \frac{1}{2}mv_{\parallel/\min}^{2} + E_{p}$$
if $v_{l}(z_{\max}) = 0$ we have : $\frac{1}{2}mv_{\parallel/\min}^{2} = \frac{1}{2}mv_{\perp}^{2}(z_{\max}) - MB_{\min}$
so $v_{\parallel/\min}^{2} = v_{\perp\min}^{2} \left(\frac{B_{\max}}{B_{\min}} - 1\right) \qquad \alpha \equiv \frac{v_{\perp\min}}{v_{\parallel/\min}} \ge \sqrt{\frac{B_{\max}}{B_{\min}} - 1} \qquad \text{trapping condition}$

$$Trieste (I) - Oct \qquad min \qquad max$$

Simplest example: the Penning ion source



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Electrons are emitted by the cathode, usually by thermoionic emission, and accelereted to an anode. Some of these **primary electrons** have collisions with gas atoms and ionize them. **Secondary electrons** from these collisions can be accelerated toward the anode to energies depending on the potential distribution and the starting point of the electron.

If a ring or cylindrical anode is immersed in an axial magnetic field with an electron emitter perpendicular to that field, electrons in the discharge plasma are forced into cycloidal paths thus increasing their path to the walls and increasing, thereby, the probability of an ionising collision with the neutrals.



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limited to single charge state ions

- "duo"= two times plasma compression
 i.e. by DLs and mag. field
- Advantages: Simple, robust, cheap high currents, any gas

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"C.• Still used at CERN as "workhorse" (300 mA protons)



Beam Formation: Space Charge, Diode Saturation, Child-Langmuir Law

Gap variation to match boundary to given voltage



Ratio S = r/d must stay in a certain range Best: S = 0.5, Possible: 0.3.....0.6

Ion current is restricted to a certain range for a given beam energy

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Child-Langmuir Law

General case:

Assumptions:

- a) Cathode can emitt infinite number of electrons
- b) The electric field at the cathode is zero
- c) Electrons are emitted with no initial velocity v = 0

$$\frac{d^2\phi}{dx^2} = -\frac{\rho}{\varepsilon_0}$$
 Poisson's equation

 $J_x = \rho \dot{X} = const$ Continuity equation bring to: $\frac{d^2 \phi}{dx^2} = -\frac{J}{\varepsilon_0 \sqrt{2e/m}} \frac{1}{\sqrt{\phi}}$

 $\frac{1}{2}m\dot{x}^2 = e\phi(x)$ Equation of motion

Cathode Anode

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Child-Langmuir Law for planar diode

A 1st integration of

gives

 $\frac{d^2\phi}{dx^2} = -\frac{J}{\varepsilon_0\sqrt{2e/m}}\frac{1}{\sqrt{\phi}}$ $\left(\frac{d\phi}{dx}\right)^2 = -\frac{4J}{\varepsilon_0\sqrt{2e/m}}\sqrt{\phi} + C$

A 2nd integration:

 $\phi(x) = U_0 \left(\frac{x}{d}\right)^{4/3}$

Substituting back for *J* :

 $J = \frac{4}{9} \varepsilon_0 \sqrt{\frac{2e}{m}} \frac{U_0^{3/2}}{d^2}$

J independent on *x*

electrons $J = 2.3 \cdot 10^{-6} \frac{U_0^{3/2}}{d^2}$ protons $J = 5.4 \cdot 10^{-8} \frac{U_0^{3/2}}{d^2}$

100 kV over d=1 cmelectrons J=73 A/cm²protons J=1.7 A/cm²Trieste (I) - Oct. 13th 2005"C.A.S. 2005 Intermediate Level Course on Accelerator Physics"

Child-Langmuir Law for real diodes

The ion source current in a "diode" system is space charge limited



The *Perveance P* is a function of the geometry of electrodes, always smaller than ideal planar diode value *ideal P*_{el} = $2.3 \ 10^{-6}$ *ideal P*_{prot} = $5.4 \ 10^{-8}$

 $max - P_{el} = 7 \ 10^{-7} \quad max - P_{prot} = 1.6 \ 10^{-8}$

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Three Generations of Electron Sources

- Thermo-Ionic time-scale $Q_{bunch}=1-100 \text{ nC}$ $B_n=10^{10} \text{ A/(m rad)}^2 \quad \mu s \Rightarrow ns \text{ (ps with RF bunchers)} \quad I=0.1 \Rightarrow$ 10 A DC Diode (triode) with thermoionic cathode $E \approx 10 \text{ MV/m}$
- Photo-Injectors time-scale $Q_{\text{bunch}}=0.1-10 \text{ nC}$ $B_n = 10^{15} \text{ A/(m rad)}^2$ I = 10ps \Rightarrow 100 A RF Cavity with photo-cathode E ≈50-150 MV/m Plasma Guns time-scale $Q_{\text{bunch}} = 1-10 \text{ pC}$ $B_n = 10^{14} - 10^{15} A/(m rad)^2$ fs $I \approx 1 \text{ kA}$ Langmuir waves in cold plasmas + local wave-breaking $E \approx 1-10 \text{ GV/m}$

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Transverse Brightness of Electron Beams

$$B_n = \frac{2I}{\varepsilon_{nx}\varepsilon_{ny}} \left\lfloor \frac{A}{m^2 rad^2} \right\rfloor$$

I = peak current $\varepsilon_{nx} = \text{rms normalized transverse emittance}$

Quality Factor : beam peak current density normalized to the rms beam divergence angle (linked to transverse beam coherence)



Brightness is crucial for many Applications INFN

$$L_g \propto \frac{\gamma^{3/2}}{K_{\sqrt{B_n(1+K^2/2)}}}$$

SASE FEL's



$$\varepsilon_n \le \sqrt{\gamma \frac{\Delta n_p}{n_p}} \frac{\lambda_p}{2\pi}$$

Plasma Accelerators



Courtesy of D. Umstadter, Univ. of Michigan

$$\Phi_p \approx 50 \ \mu m$$

 $\lambda_p \approx 30 - 100 \ \mu m$



Fig. 2 Thomson scattering geometry

Relativistic Thomson Monochromatic X-Ray Sources Triesie (1) 001. 1511 2005 C.A.S. 2005 Interineurate Lever Course on Accelerator Physics"

Thermoionic Injectors



MATURE and CONSOLIDATED TECHNOLOGY

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Radio-Frequency Photo-Injectors

Photo-Cathode Emissivity $J < 10 \text{ kA/cm}^2$ $Q_{eff} = N_{electrons}/N_{laser-}$ **Prompt emission on a ps time scale**



$$Q_{eff} = N_{electrons} / N_{laser-photons}$$

$$Q_{eff} (Cu \ photo-cathode) \cong 5 \cdot 10^{-5}$$

$$W_{Cu} = 4.2eV, \ hv = 4.6eV$$

$$Q = 1 \ nC \ needs \ U_{las} = \frac{hv \cdot Q_{bunch}}{Q_{eff}} = 92 \ \mu J$$

LIMITATIONS Transverse plasma oscillations

Time dependent space charge effects dilution of projected emittance

Photocathode and/or laser disuniform.

Mature but non Consolidated Technology Stability, repetibility, ease of tuning PROBLEMS

Space and Time Jitters Laser beam quality Challenging Diagnostics (sub-ps)

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The Evolution of the Laser through a semi-infinite plasma slab (in 2D). The simulation box is moving at the speed of light towards the right.

1) @ 0.00mm - the plasma is at the right boundary of the simulation box. The initial laser pulse is Gaussian. Plasma density: 10^{19} cm⁻³

- 2) @ 0.57mm- the simulation box is almost filled by the plasma. Relativistic Self-Focusing is effective. The fishbone structure is caused by bunching of the laser, and the separation is in plasma wavelength. (Laser wavelength is ten times smaller, i.e. 1 μm.)
- 3) @ 0.83mm the laser pulse has evolved under relativistic filamentation sourced from self-focusing. Electric field is in excess of 100 GV/m.
- 4) @ 1.82mm after plasma wavebreaking the laser hose instability has grown to an incredible strength coupled to Raman scattering.
 40 MeV electron were produced : Rutherford/UCLA/LLNL experiment for laser-plasma acceleration test

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LIMITATIONS plasma temperature (thermal emittance)



University of Michigan D. Umstadter

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Brief Review of Beam Dinamycs in Photo-Injectors



 $\begin{array}{l} {\sf E}_{0} = \mbox{the peak field at the cathode} \\ {\sf k} \equiv 2\pi/\lambda = \omega/c \\ {\sf a}_{n} \ = \ \mbox{spatial harmonic coefficients} \\ \mbox{functions of cavity geometry} \end{array}$

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Beam Dinamycs in Photo-Injectors

Photo-electrons at photo-cathode surface are non relativistic (laser photon energy just overcomes cathode metal work-function) $T_{cat} = hv_{las} - W_S = 4.6 - 4.4 = 0.2 \ eV$; $\beta_{cat} \cong 10^{-3}$ (for Cu cathodes)

Define dimensionless vector potential amplitude of RF field

$$\alpha \equiv \frac{eE_0}{2mc^2k}$$

$$E_0 = 100 \ MV/m @ 2.856 \ GHz \Rightarrow \alpha = 1.6$$

Photo-electrons become relativistic in a distance much shorter than λ_{RF}

RF wave is able to capture electrons from rest if $\alpha > 1$ T = 1 MeV @ z = 1 cm (first cell length = 2.5 cm) $\beta = 0.95$

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Beam Dinamycs in Photo-Injectors



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Beam Dinamycs in Photo-Injectors: overcome Child-Langmuir limitation

Laser driven RF Photo-Injectors work far from the (equivalent) diode saturation regime, well into the linear regime



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Cold Relativistic Plasma-Beams in Laminar
Flow with time dependent Space Charge Fields
Plasma wavelength
(sp. ch. oscillation)
$$\lambda_p = 2\pi \frac{\gamma}{\gamma'} = 2\pi L_{acc}$$

Betatron wavelength $\lambda_\beta = 4\pi \frac{(I/I_A)}{\varepsilon_{th} \gamma'^2 \eta}$
At Linac exit
 $T = 1 \ GeV$; $\gamma_f = 2 \cdot 10^3$; $\gamma' = 40 \ m^{-1} \ I = 1 \ kA$; $\varepsilon_{th} = 5 \cdot 10^{-7}$

 $\lambda_p / \lambda_\beta = 0.3$ # Betatron oscill. ~ 0.3 # Plasma oscill. ~ 1 # Synchrotron oscill. ~ 1/4

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L.S., J.B. Rosenzweig, PRE 55 (1997) 7565



Brief Review of Beam Dinamycs in Photo-Injectors

- The beam generated at the *photocathode surface* behaves like a **Single Component Relativistic Cold Plasma** all the way up to the injector exit (150 MeV, 1 GeV with compression)
- It is a *quasi-laminar* beam both in transverse (laminar flow) and longitudinal plane (lack of synchrotron motion)

$$\sigma'' + \sigma' \frac{\gamma'}{\gamma} + \sigma \frac{\Omega^2 {\gamma'}^2}{\gamma^2} - \frac{I(\zeta)}{2I_A \sigma \gamma^3} = \frac{\varepsilon_{n,sl}^2}{\sigma^3 \chi^2} \approx 0$$

$$\gamma = \gamma_0 + \gamma' z \quad \gamma' \equiv \frac{E_{acc}}{mc^2} \quad \sigma' \equiv \frac{d\sigma}{dz} \qquad \Omega^2 = \left(\frac{eB_{sol}}{mc\gamma'}\right)^2 + \left\{\begin{array}{l} \approx 1/8 \quad SW \\ \approx 0 \quad TW \end{array}\right\}$$

$$\sigma \equiv \left\langle x^2 \right\rangle \quad slice \ \zeta = z - \beta ct$$

Normalized focusing gradient (solenoid +RF foc.)

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S.C.R.C.P. or Laminar Plasma-Beam

- Plasma launched at relativistic velocities along the propagation axis with equivalent ionization = $1/\gamma^2$; plasma confinement provided by external focusing (solenoids, ponderomotive RF focusing, acceleration)
- Spread in plasma frequency along the bunch ⇒ strong time-dependent space charge effects ⇒ inter-slice dynamics



Per vedere questa immagine occorre QuickTime™ e un decompressore Animation.

Projected emittance (shadow) >> slice emittance (foil thickness) $\varepsilon_n \equiv \sqrt{\langle x^2 \rangle \langle p_x^2 \rangle - \langle x p_x \rangle^2} >> \varepsilon_{nsl} \equiv \sqrt{\langle x^2 \rangle_{\zeta} \langle p_x^2 \rangle_{\zeta} - \langle x p_x \rangle_{\zeta}^2}$ *Trieste (I) - Oct. 13th 2005* **C.A.S. 2005** Liouvillian emittance = foil volume ⁻ ator Physics"





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From Thermoionic Injectors to Laser-Driven RF Photo-Injectors : the Quest for Beam Brightness

Thermoionic Injectors operate in a quasi-steady state regime at low DC field amplitudes - the beam has to be manipulated by bunchers to get down to the

ps time scale - this causes a severe emittance grow \Rightarrow Integration of emission process into the bunching action of RF accelerating field

 \Rightarrow RF Photo-Injectors Hera



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BNL/SLAC/UCLA 1.6 cell S-Band RF GUN



10 copies of this gun operated routinely around the world (USA, Japan) it holds the emittance record

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X-ray sources over the last 100 years: the story of a marriage between electron beams and X-rays



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Many thanks to Pascal Sortais (ISNG, Grenoble) for kindly providing various material on Ion Sources

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X-Ray beam quality goes along with upgrade of electron beams

Since the invention of Crookes tubes (step! Roengten...)

DC 10⁶ photons/s in 1 (mm·mrad)² 0.1 % bandwidth



Up to modern (still under design) photo-LINACs producing high brightness electron beams to drive X-FELs (coherent X-ray beams) 10³⁴ ph/s in 1 (mmmrad)² 0.1 % bandwidth 100 fs pulses





Major Issues in Laser System come from **Stability Requirements**

Phase jitter

Pointing Stability

Amplitude jitter

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Transverse Brightness RF Photo-Injector Achievements vs. Demands

TTF photo-inj. (achieved)
$$6 \cdot 10^{12}$$
exit of linac (compr.) $2 \cdot 10^{13}$

ATF photo-inj. (achieved)
$$5 \cdot 10^{13}$$
(a) photocathode $1.2 \cdot 10^{15}$ Max. achievable without compr. $\varepsilon_{n-cath} = \varepsilon_{thermal}$

$$B_n \equiv \frac{2I}{\varepsilon_{nx}\varepsilon_{ny}} \left\lfloor \frac{A}{m^2 r a d^2} \right\rfloor$$

I = *bunch peak current*

LCLS (requested @ 15 GeV) 4.10^{15} $\varepsilon_{nx} = \varepsilon_{ny} = 1.5 \ \mu m$

ESRF (storage ring) < 10^{14} $\varepsilon_{nx} = 20 \ \mu m \quad \varepsilon_{ny} = 0.07 \ \mu m$

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SPARC: an Advanced Photo-Injector to drive a SASE-FEL @ LNF/INFN C