# Introduction to Transverse Beam Optics

# II.) Particle Trajectories, Beams & Bunch



### 4.) Solution of Trajectory Equations

 $\boldsymbol{x}'' + \boldsymbol{K} \boldsymbol{x} = \boldsymbol{0}$ 

Hor. Focusing Quadrupole K > 0:

$$x(s) = x_0 \cdot \cos(\sqrt{|K|}s) + x'_0 \cdot \frac{1}{\sqrt{|K|}} \sin(\sqrt{|K|}s)$$
$$x'(s) = -x_0 \cdot \sqrt{|K|} \cdot \sin(\sqrt{|K|}s) + x'_0 \cdot \cos(\sqrt{|K|}s)$$





Remember from school:

$$f(s) = \cosh(s)$$
,  $f'(s) = \sinh(s)$ 

Ansatz:  $x(s) = a_1 \cdot \cosh(\omega s) + a_2 \cdot \sinh(\omega s)$ 

$$M_{def oc} = \begin{pmatrix} \cosh \sqrt{|K|}l & \frac{1}{\sqrt{|K|}} \sinh \sqrt{|K|}l \\ \sqrt{|K|} \sinh \sqrt{|K|}l & \cosh \sqrt{|K|}l \end{pmatrix}$$

*drift space:*  

$$K = 0$$
 $M_{drif t} = \begin{pmatrix} 1 & l \\ 0 & 1 \end{pmatrix}$ 

*with the assumptions made, the motion in the horizontal and vertical planes are independent "… the particle motion in x & y is uncoupled"* 

#### Combining the two planes:

Clear enough (hopefully ... ?): a quadrupole magnet that is focussing o-in one plane acts as defocusing lens in the other plane ... et vice versa.

hor foc. quadrupole lens

$$M_{foc} = \begin{pmatrix} \cos(\sqrt{|K|}s) & \frac{1}{\sqrt{|K|}}\sin(\sqrt{|K|}s) \\ -\sqrt{|K|}\sin(\sqrt{|K|}s) & \cos(\sqrt{|K|}s) \end{pmatrix}$$

*matrix of the same magnet in the vert. plane:* 

$$M_{defoc} = \begin{pmatrix} \cosh \sqrt{|K|}l & \frac{1}{\sqrt{|K|}} \sinh \sqrt{|K|}l \\ \sqrt{|K|} \sinh \sqrt{|K|}l & \cosh \sqrt{|K|}l \end{pmatrix}$$

$$\begin{pmatrix} x \\ x' \\ y \\ y' \end{pmatrix}_{f} = \begin{pmatrix} \cos(\sqrt{|k|}s) & \frac{1}{\sqrt{|k|}}\sin(\sqrt{|k|}s) & 0 & 0 \\ -\sqrt{|k|}\sin(\sqrt{|k|}s) & \cos(\sqrt{|k|}s) & 0 & 0 \\ 0 & 0 & \cosh(\sqrt{|k|}s) & \frac{1}{\sqrt{|k|}}\sinh(\sqrt{|k|}s) \\ 0 & 0 & \sqrt{|k|}\sinh(\sqrt{|k|}s) & \cosh(\sqrt{|k|}s) \end{pmatrix}^{*} \begin{pmatrix} x \\ x' \\ y \\ y' \end{pmatrix}_{i}$$

#### Transformation through a system of lattice elements

combine the single element solutions by multiplication of the matrices



in each accelerator element the particle trajectory corresponds to the movement of a harmonic oscillator "



#### **Question:** what will happen, if the particle performs a second turn ?

... or a third one or ...  $10^{10}$  turns



Astronomer Hill:

*differential equation for motions with periodic focusing properties "Hill's equation"* 



*Example: particle motion with periodic coefficient* 

equation of motion:

$$x''(s) - k(s)x(s) = 0$$

restoring force  $\neq$  const, k(s) = depending on the position s k(s+L) = k(s), periodic function we expect a kind of quasi harmonic oscillation: amplitude & phase will depend on the position s in the ring.

### 6.) The Beta Function

General solution of Hill's equation:

(i)  $x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cdot \cos(\psi(s) + \phi)$ 

 $\varepsilon$ ,  $\Phi$  = integration constants determined by initial conditions  $\beta(s)$  periodic function given by focusing properties of the lattice  $\leftrightarrow$  quadrupoles  $\beta(s+L) = \beta(s)$ 

Inserting (i) into the equation of motion ...

$$\psi(s) = \int_0^s \frac{ds}{\beta(s)}$$

 $\Psi(s) = ,, phase advance"$  of the oscillation between point ,, 0" and ,, s" in the lattice. For one complete revolution: number of oscillations per turn ,, Tune"

$$Q_{y} = \frac{1}{2\pi} \oint \frac{ds}{\beta(s)}$$

### The Beta Function

Amplitude of a particle trajectory:

 $x(s) = \sqrt{\varepsilon} * \sqrt{\beta(s)} * \cos(\psi(s) + \varphi)$ 

Maximum size of a particle amplitude

 $\hat{x}(s) = \sqrt{\varepsilon} \sqrt{\beta(s)}$ 



β determines the beam size (... the envelope of all particle trajectories at a given position "s" in the storage ring.

It reflects the periodicity of the magnet structure.



## 7.) Beam Emittance and Phase Space Ellipse

general solution of  
Hill equation
$$\begin{cases}
(1) \quad x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos(\psi(s) + \phi) \\
(2) \quad x'(s) = -\frac{\sqrt{\varepsilon}}{\sqrt{\beta(s)}} \left\{ \alpha(s) \cos(\psi(s) + \phi) + \sin(\psi(s) + \phi) \right\}
\end{cases}$$

$$\cos(\psi(s) + \phi) = \frac{x(s)}{\sqrt{\varepsilon} \sqrt{\beta(s)}}$$

-

$$\alpha(s) = \frac{-1}{2}\beta'(s)$$
$$\gamma(s) = \frac{1 + \alpha(s)^2}{\beta(s)}$$

Insert into (2) and solve for  $\varepsilon$ 

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$

\*  $\varepsilon$  is a constant of the motion ... it is independent of "s" \* parametric representation of an ellipse in the x x' space \* shape and orientation of ellipse are given by  $\alpha$ ,  $\beta$ ,  $\gamma$ 

#### **Beam Emittance and Phase Space Ellipse**



ε beam emittance = woozilycity of the particle ensemble, intrinsic beam parameter, cannot be changed by the foc. properties.

Scientifiquely speaking: area covered in transverse x, x' phase space ... and it is constant !!!

### Particle Tracking in a Storage Ring

Calculate x, x' for each linear accelerator element according to matrix formalism

plot x, x'as a function of "s"



Bernhard Holzer, CERN



CAS Prague 2014

... and now the ellipse:







\* A high β-function means a large beam size and a small beam divergence. ... et vice versa !!!

\* In the middle of a quadrupole 
$$\beta = maximum$$
,  
 $\alpha = zero$ 
 $x' = 0$ 
... and the ellipse is flat

#### Phase Space Ellipse



shape and orientation of the phase space ellipse depend on the Twiss parameters  $\beta \alpha \gamma$ 

# **Emittance of the Particle Ensemble:**



### **Emittance of the Particle Ensemble:**



single particle trajectories,  $N \approx 10^{11}$  per bunch

Gauß
Particle Distribution:

$$\rho(\mathbf{x}) = \frac{N \cdot \mathbf{e}}{\sqrt{2\pi}\sigma_{\mathbf{x}}} \cdot \mathbf{e}^{-\frac{1}{2}\frac{\mathbf{x}^{2}}{\sigma_{\mathbf{x}}^{2}}}$$

particle at distance 1  $\sigma$  from centre  $\leftrightarrow$  68.3 % of all beam particles

*LHC*:  $\beta = 180 m$  $\varepsilon = 5 * 10^{-10} m rad$ 



Bernhard Holzer, CERN



aperture requirements:  $r_{\theta} = 12 * \sigma$ CAS Prague 2014 **9.)** Transfer Matrix M ... yes we had the topic already

general solution  
of Hill's equation  
$$\begin{cases} x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos \left\{ \psi(s) + \phi \right\} \\ x'(s) = \frac{-\sqrt{\varepsilon}}{\sqrt{\beta(s)}} \left[ \alpha(s) \cos \left\{ \psi(s) + \phi \right\} + \sin \left\{ \psi(s) + \phi \right\} \right] \end{cases}$$

remember the trigonometrical gymnastics:  $sin(a + b) = \dots etc$ 

$$x(s) = \sqrt{\varepsilon} \sqrt{\beta_s} \left( \cos\psi_s \cos\phi - \sin\psi_s \sin\phi \right)$$
$$x'(s) = \frac{-\sqrt{\varepsilon}}{\sqrt{\beta_s}} \left[ \alpha_s \cos\psi_s \cos\phi - \alpha_s \sin\psi_s \sin\phi + \sin\psi_s \cos\phi + \cos\psi_s \sin\phi \right]$$

starting at point  $s(0) = s_0$ , where we put  $\Psi(0) = 0$ 



$$x(s) = \sqrt{\frac{\beta_s}{\beta_0}} \left\{ \cos\psi_s + \alpha_0 \sin\psi_s \right\} x_0 + \left\{ \sqrt{\beta_s \beta_0} \sin\psi_s \right\} x_0'$$
$$x'(s) = \frac{1}{\sqrt{\beta_s \beta_0}} \left\{ (\alpha_0 - \alpha_s) \cos\psi_s - (1 + \alpha_0 \alpha_s) \sin\psi_s \right\} x_0 + \sqrt{\frac{\beta_0}{\beta_s}} \left\{ \cos\psi_s - \alpha_s \sin\psi_s \right\} x_0'$$

which can be expressed ... for convenience ... in matrix form

$$\binom{x}{x'}_{s} = M\binom{x}{x'}_{0}$$

$$M = \begin{pmatrix} \sqrt{\frac{\beta_s}{\beta_0}} \left( \cos\psi_s + \alpha_0 \sin\psi_s \right) & \sqrt{\beta_s \beta_0} \sin\psi_s \\ \frac{(\alpha_0 - \alpha_s) \cos\psi_s - (1 + \alpha_0 \alpha_s) \sin\psi_s}{\sqrt{\beta_s \beta_0}} & \sqrt{\frac{\beta_0}{\beta s}} \left( \cos\psi_s - \alpha_s \sin\psi_s \right) \end{pmatrix}$$

\* we can calculate the single particle trajectories between two locations in the ring, if we know the α β γ at these positions.
\* and nothing but the α β γ at these positions.

Bernhard Holzer, CERN

\*

\*

Äquivalenz der Matr

### **10.)** Periodic Lattices

$$M = \begin{pmatrix} \sqrt{\frac{\beta_s}{\beta_0}} \left( \cos\psi_s + \alpha_0 \sin\psi_s \right) & \sqrt{\beta_s \beta_0} \sin\psi_s \\ \frac{(\alpha_0 - \alpha_s) \cos\psi_s - (1 + \alpha_0 \alpha_s) \sin\psi_s}{\sqrt{\beta_s \beta_0}} & \sqrt{\frac{\beta_0}{\beta_s}} \left( \cos\psi_s - \alpha_s \sin\psi_s \right) \end{pmatrix}$$



ELSA Electron Storage Ring

"This rather formidable looking *matrix* simplifies considerably if we consider one complete revolution ... "

 $\psi_{turn} = phase \ advance$ per period

Bernhard Holzer, CERN

CAS Prague 2014

S

 $\beta(s)$ 

# **Stability Criterion:**

Question: what will happen, if we do not make too many mistakes and your particle performs one complete turn ?



#### Matrix for 1 turn:

$$M = \begin{pmatrix} \cos\psi_{turn} + \alpha_s \sin\psi_{turn} & \beta_s \sin\psi_{turn} \\ -\gamma_s \sin\psi_{turn} & \cos\psi_{turn} - \alpha_s \sin\psi_{turn} \end{pmatrix} = \cos\psi \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \sin\psi \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$
  
Matrix for N turns:

$$M^{N} = (1 \cdot \cos \psi + J \cdot \sin \psi)^{N} = 1 \cdot \cos N\psi + J \cdot \sin N\psi$$



stability criterion .... proof for the disbelieving collegues !!

*Matrix for 1 turn:* 
$$M = \begin{pmatrix} \cos\psi_{turn} + \alpha_s \sin\psi_{turn} & \beta_s \sin\psi_{turn} \\ -\gamma_s \sin\psi_{turn} & \cos\psi_{turn} - \alpha_s \sin\psi_{turn} \end{pmatrix} = \cos\psi \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \sin\psi \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$
*Matrix for 2 turns:*

$$M^{2} = (I \cos \psi_{1} + J \sin \psi_{1})(I \cos \psi_{2} + J \sin \psi_{2})$$
$$= I^{2} \cos \psi_{1} \cos \psi_{2} + IJ \cos \psi_{1} \sin \psi_{2} + JI \sin \psi_{1} \cos \psi_{2} + J^{2} \sin \psi_{1} \sin \psi_{2}$$

*now* ...

$$I^{2} = I$$

$$I J = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} * \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$

$$J I = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} * \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$

$$J^{2} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} * \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} = \begin{pmatrix} \alpha^{2} - \gamma\beta & \alpha\beta - \beta\alpha \\ -\gamma\alpha + \alpha\gamma & \alpha^{2} - \gamma\beta \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -I$$

 $\boldsymbol{M}^{2} = \boldsymbol{I}\cos(\psi_{1} + \psi_{2}) + \boldsymbol{J}\sin(\psi_{1} + \psi_{2})$ 

 $\boldsymbol{M}^2 = \boldsymbol{I}\cos(2\psi) + \boldsymbol{J}\sin(2\psi)$ 

# 11.) Transformation of $\alpha$ , $\beta$ , $\gamma$

consider two positions in the storage ring:  $s_0$ , s

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{s} = M * \begin{pmatrix} x \\ x' \end{pmatrix}_{s0}$$
$$M = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix}$$



Betafunction in a storage ring

since  $\varepsilon = const$  (Liouville):

$$\varepsilon = \beta_s \mathbf{x}'^2 + 2\alpha_s \mathbf{x}\mathbf{x}' + \gamma_s \mathbf{x}^2$$
$$\varepsilon = \beta_0 \mathbf{x}'^2 + 2\alpha_0 \mathbf{x}_0 \mathbf{x}'_0 + \gamma_0 \mathbf{x}_0^2$$

 $\dots$  remember W = CS' - SC' = 1

$$\varepsilon = \beta_0 (Cx' - C'x)^2 + 2\alpha_0 (S'x - Sx')(Cx' - C'x) + \gamma_0 (S'x - Sx')^2$$

sort via x, x'and compare the coefficients to get ....

$$\beta(s) = C^2 \beta_0 - 2SC\alpha_0 + S^2 \gamma_0$$
  

$$\alpha(s) = -CC' \beta_0 + (SC' + S'C)\alpha_0 - SS' \gamma_0$$
  

$$\gamma(s) = C'^2 \beta_0 - 2S'C' \alpha_0 + S'^2 \gamma_0$$

in matrix notation:

$$\begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix}_{s} = \begin{pmatrix} C^{2} & -2SC & S^{2} \\ -CC' & SC' + CS' & -SS' \\ C'^{2} & -2S'C' & S'^{2} \end{pmatrix} \cdot \begin{pmatrix} \beta_{0} \\ \alpha_{0} \\ \gamma_{0} \end{pmatrix}$$

- 1.) this expression is important
- 2.) given the twiss parameters  $\alpha$ ,  $\beta$ ,  $\gamma$  at any point in the lattice we can transform them and calculate their values at any other point in the ring.
- 3.) the transfer matrix is given by the focusing properties of the lattice elements, the elements of M are just those that we used to calculate single particle trajectories.

4.) go back to point 1.)

# 12.) Lattice Design:

"... how to build a storage ring"

 $\boldsymbol{B} \boldsymbol{\rho} = \boldsymbol{p} / \boldsymbol{q}$ 

*Circular Orbit:* dipole magnets to define the geometry

$$\alpha = \frac{ds}{\rho} \approx \frac{dl}{\rho} = \frac{Bdl}{B\rho}$$



field map of a storage ring dipole magnet

The angle run out in one revolution must be  $2\pi$ , so

... for a full circle 
$$\alpha = \frac{\int Bdl}{B\rho} = 2\pi \implies \int Bdl = 2\pi \frac{p}{q}$$

... defines the integrated dipole field around the machine.

Nota bene: 
$$\therefore \frac{\Delta B}{B} \approx 10^{-4}$$
 is usually required !!  
Bernhard Holzer, CERN



7000 GeV Proton storage ring<br/>dipole magnets N = 1232<br/>l = 15 m<br/>q = +1 e $\int B \, dl \approx N \, l \, B = 2\pi \, p/e$ <br/> $B \approx \frac{2\pi \, 7000 \, 10^9 eV}{1232 \, 15 \, m \, 3 \, 10^8 \frac{m}{2} e} = 8.3 \, Tesla$ <br/>Bernhard Holzer, CERN

# The FoDo-Lattice

A magnet structure consisting of focusing and defocusing quadrupole lenses in alternating order with nothing in between.

(Nothing = elements that can be neglected on first sight: drift, bending magnets, RF structures ... and especially experiments...)



Starting point for the calculation: in the middle of a focusing quadrupole Phase advance per cell  $\mu = 45^{\circ}$ ,

 $\rightarrow$  calculate the twiss parameters for a periodic solution

# LHC: Lattice Design the ARC 90° FoDo in both planes





#### equipped with additional corrector coils

MB: main MB: Imain dipole MQ: main MQ: Imain quadrupole MQT: Trim quadrupole MQS: Skew trim quadrupole MO: Lattice octupole (Landau damping) MSCB: Skew sextupole Orbit corrector dipoles MCS: Spool piece sextupole MCDO: Spool piece 8 / 10 pole BPM: Beam position monitor + diagnostics

### *Periodic solution of a FoDo Cell*





**Output of the optics program:** 

Nr	Туре	Length m	Strength 1/m2	β <sub>x</sub> m	$a_x$	Ψ <sub>x</sub> 1/2π	β <sub>y</sub> m	$\alpha_{y}$	Ψ <sub>y</sub> 1/2π
1	QFH	0,250	-0,541	11,228	1,514	0,004	5,488	-0,781	0,007
2	QD	3,251	0,541	5,488	-0,781	0,070	11,228	1,514	0,066
3	QFH	6,002	-0,541	11,611	0,000	0,125	5,295	0,000	0,125
4	IP	6,002	0,000	11,611	0,000	0,125	5,295	0,000	0,125

#### Can we understand, what the optics code is doing?

$$matrices \quad M_{foc} = \begin{pmatrix} \cos(\sqrt{|K|}l_q) & \frac{1}{\sqrt{|K|}}\sin(\sqrt{|K|}l_q) \\ -\sqrt{|K|}\sin(\sqrt{|K|}l_q) & \cos(\sqrt{|K|}l_q) \end{pmatrix} \qquad M_{drift} = \begin{pmatrix} 1 & l_d \\ 0 & 1 \end{pmatrix}$$

strength and length of the FoDo elements

 $K = +/- 0.54102 \text{ m}^{-2}$ lq = 0.5 mld = 2.5 m

The matrix for the complete cell is obtained by multiplication of the element matrices

$$M_{FoDo} = M_{qfh} * M_{ld} * M_{qd} * M_{ld} * M_{qf}$$

Putting the numbers in and multiplying out ...

$$M_{FoDo} = \begin{pmatrix} 0.707 & 8.206 \\ -0.061 & 0.707 \end{pmatrix}$$

The transfer matrix for one period gives us all the information that we need !



### Resume':

transfer matrix in Twiss form

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{s} = M \begin{pmatrix} x \\ x' \end{pmatrix}_{0}$$

$$M = \begin{pmatrix} \sqrt{\frac{\beta_{s}}{\beta_{0}}} \left( \cos\psi_{s} + \alpha_{0} \sin\psi_{s} \right) & \sqrt{\beta_{s}\beta_{0}} \sin\psi_{s} \\ \frac{(\alpha_{0} - \alpha_{s})\cos\psi_{s} - (1 + \alpha_{0}\alpha_{s})\sin\psi_{s}}{\sqrt{\beta_{s}\beta_{0}}} & \sqrt{\frac{\beta_{0}}{\beta_{s}}} \left( \cos\psi_{s} - \alpha_{s} \sin\psi_{s} \right) \end{pmatrix}$$

... and for the periodic case

$$M(s) = \begin{pmatrix} \cos \psi_{turn} + \alpha_s \sin \psi_{turn} & \beta_s \sin \psi_{turn} \\ -\gamma_s \sin \psi_{turn} & \cos \psi_{turn} - \alpha_s \sin \psi_{turn} \end{pmatrix}$$

beam emittance during acceleration

$$\varepsilon \propto \frac{1}{\beta \gamma}$$

dispersion

$$D(s) = \frac{x_i(s)}{\frac{\Delta p}{p}}$$