Space Charge

Giuliano Franchetti, GSI CERN Accelerator – School Prague

This lecture abundantly uses previous material available in former CERN Accelerator Schools. In particular from A. Hofmann, M. Ferrario, G. Rumolo, K. Schindl.

What is the difference ?

A personal view and understanding of the subjects

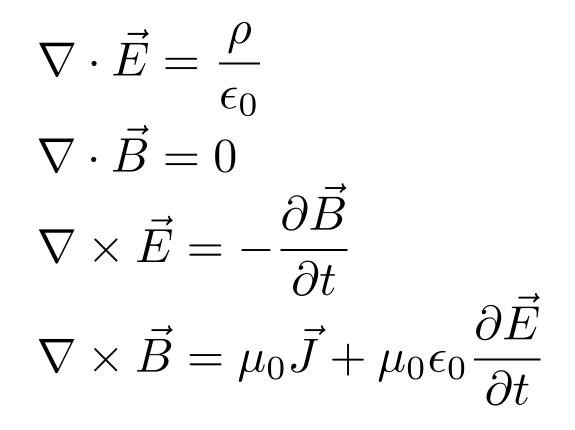
The dynamics of particles follow the Lorenz law

$$\frac{d\vec{p}}{dt} = e\vec{E} + e\vec{v} \times \vec{B}$$

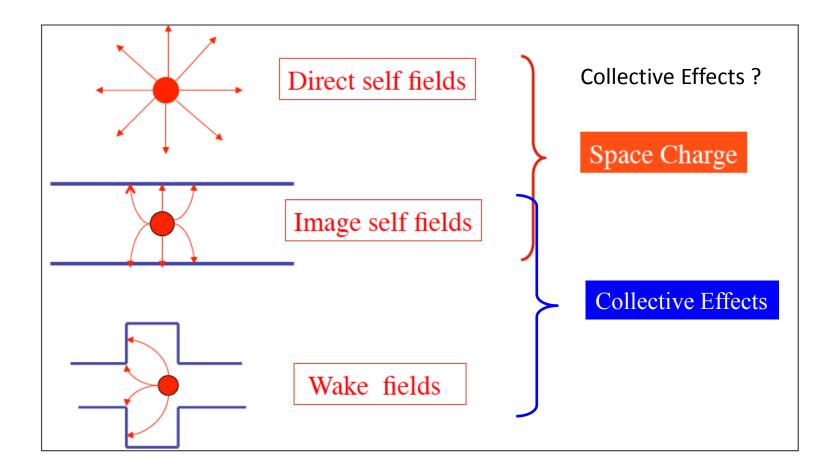
$$\vec{p} = m\gamma \vec{v}$$

E,B can be external field. From magnets and RF systems But E,B can be field also generated by the beam itself

The beam generate the fields B, E through Maxwell laws

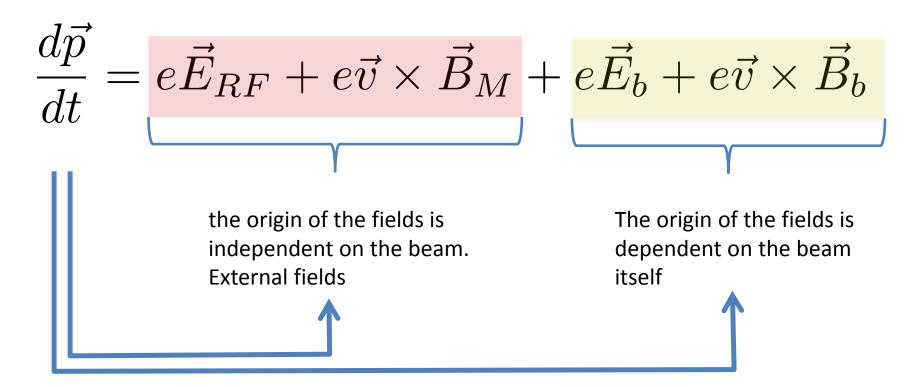


Type of fields

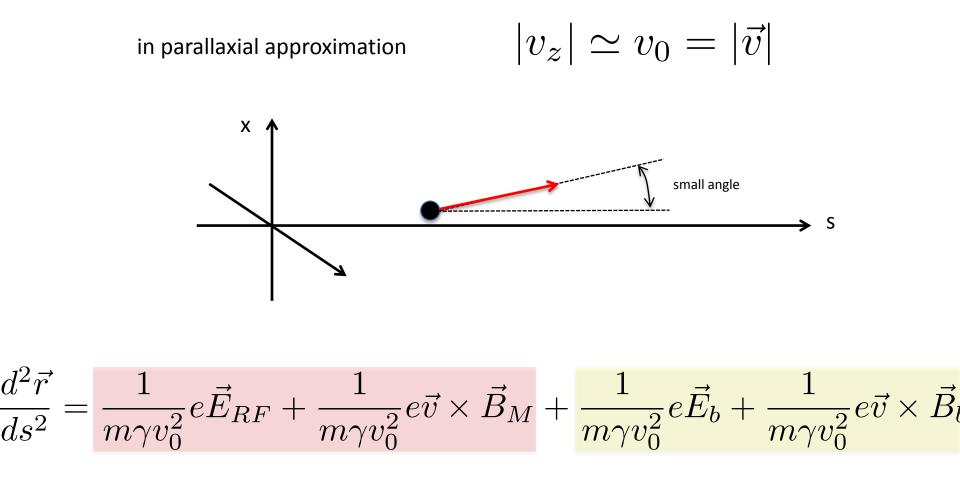


How does it looks?

The dynamics of each particle follows the equation



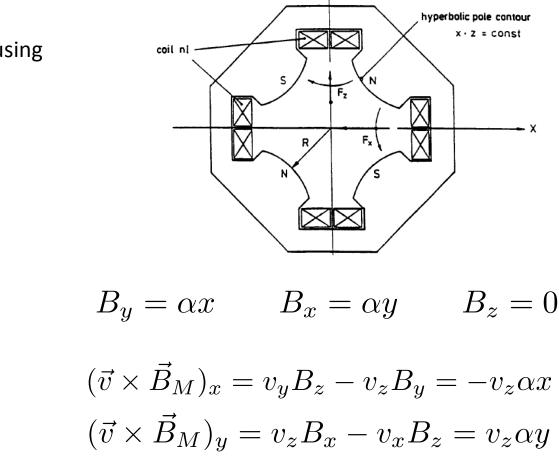
Parallaxial approximation



Transverse equations of motion

- X

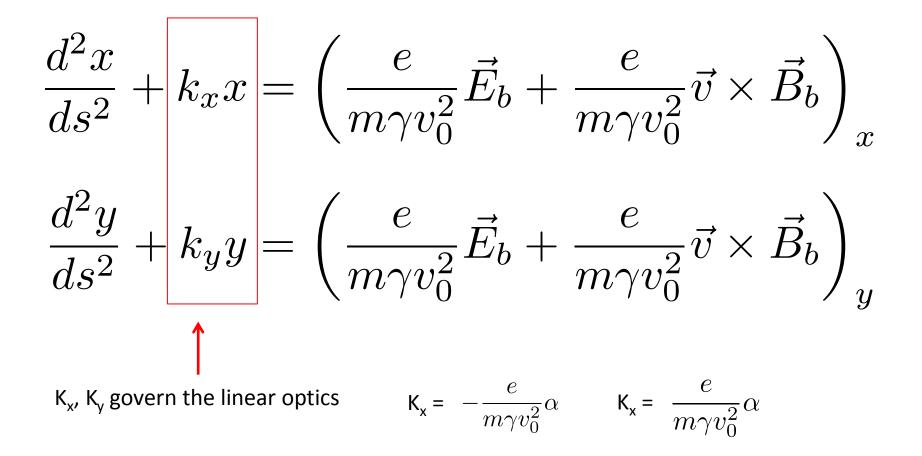
1 Z



Focusing

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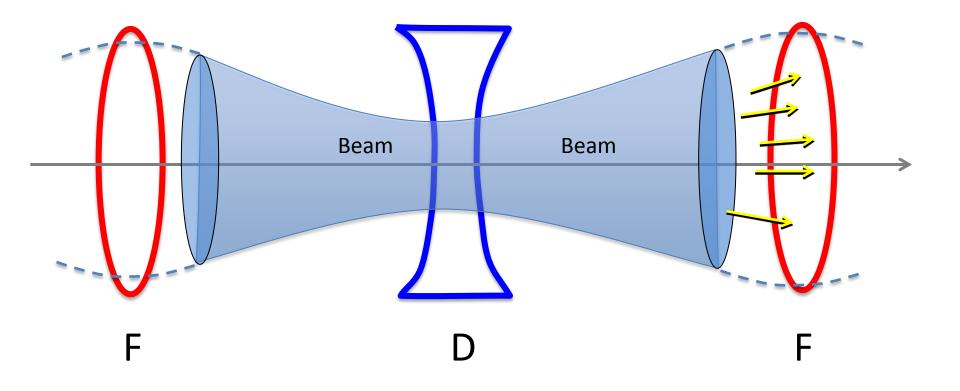
Final form of the transverse equation of motion with space charge



Model of beam

We neglect the longitudinal forces.

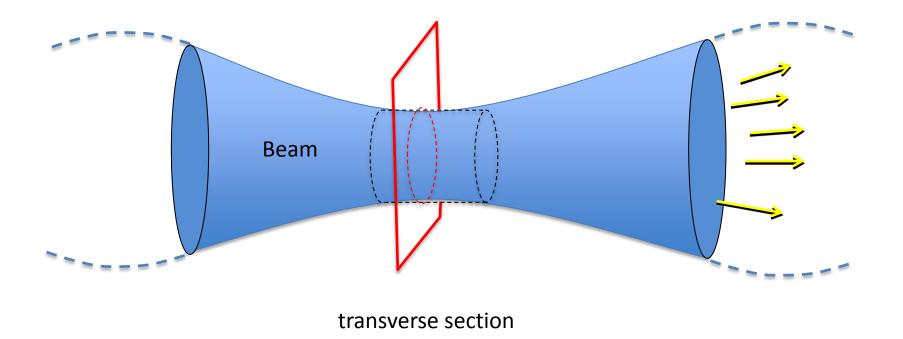
Locally the beam can be seen as a "piece" of a coasting beam



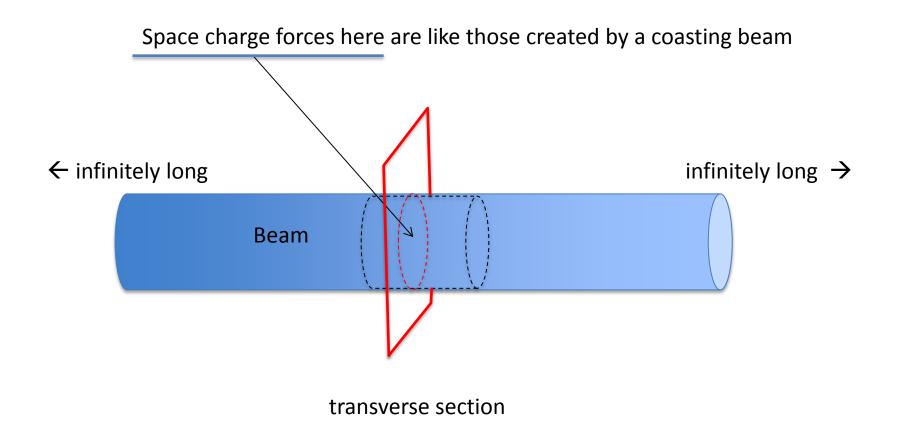
Model of beam

We neglect the longitudinal forces.

Locally the beam can be seen as a "piece" of a coasting beam



From the point of view of space charge



The lattice strength is adjusted to have the prescribed optics in absence of space charge. That is the functional shape of $k_x(s)$, $k_y(s)$ is independent on the beam energy

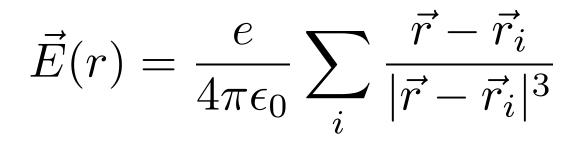


However the space charge forces are **not under our control** !

Analysis in the case the beam energy is small

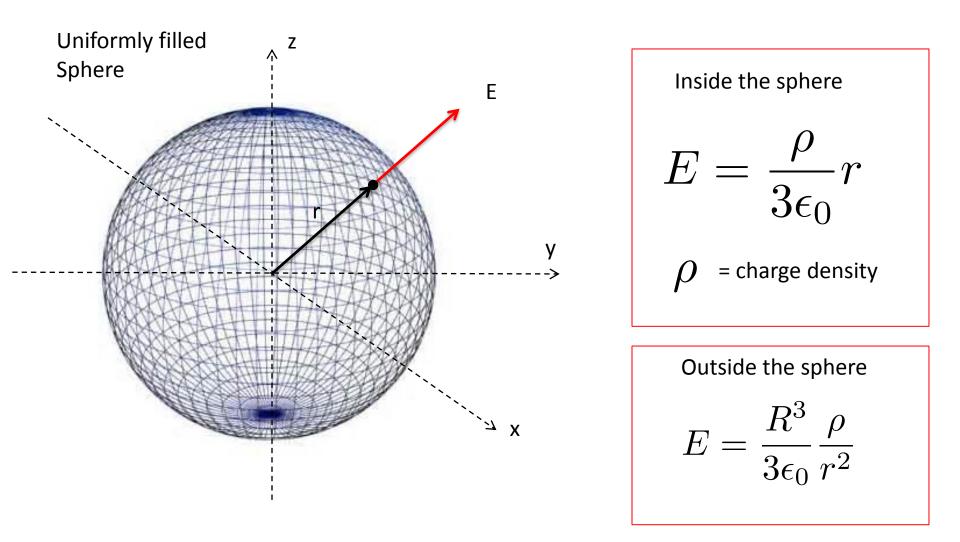
For non moving particles

Coulomb electric field

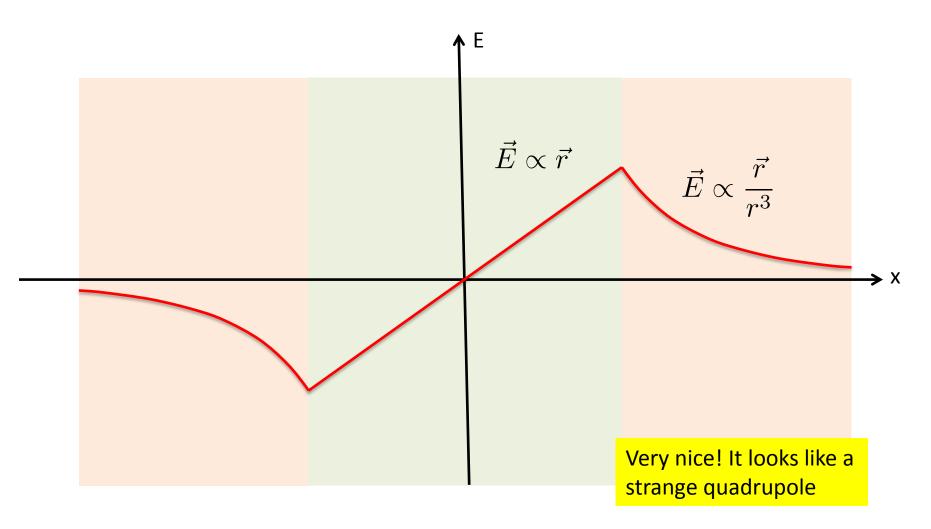


Much easier

Coulomb Forces

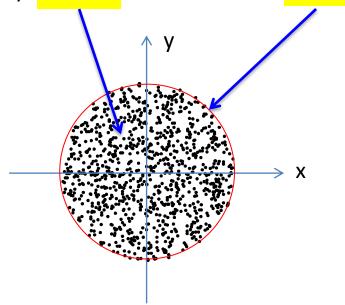


Radial Electric field (along x)

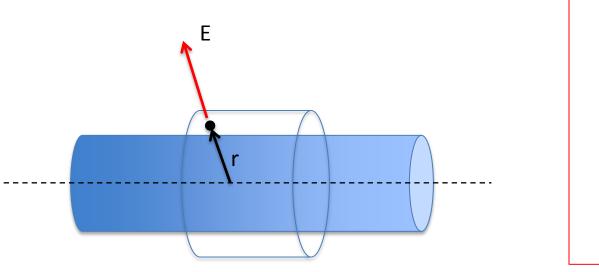


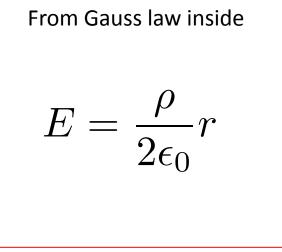
Beam distribution ansatz

We assume in this first discussion that the beam distribution in (x,y) is always uniform and the beam is round



Infinitely long uniform axi-symmetric cylinder

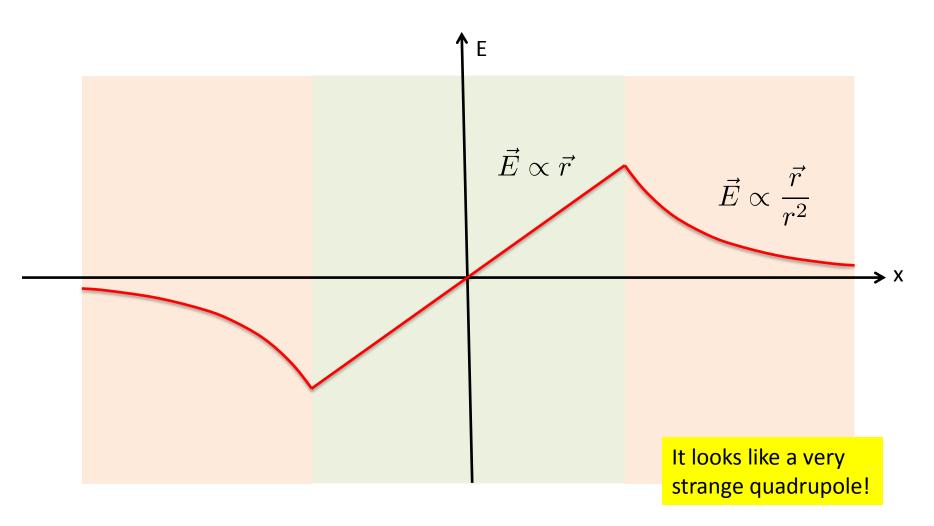




Outside the cylinder $E = \frac{\rho R^2}{2\epsilon_0} \frac{1}{r}$

Longitudinal electric field is zero

Transverse Electric field



This is an approximation ... real beam infinitely long does not exists

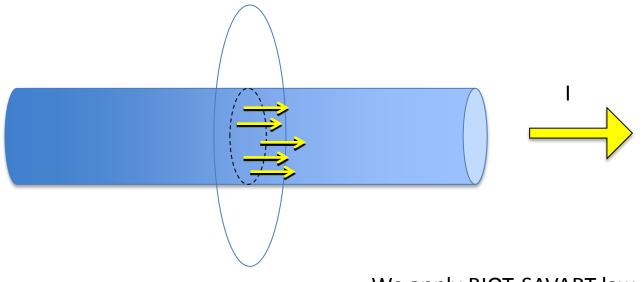
Such a beam would require infinite energy... in fact the energy a particle gain is infinite

$$\int_{R}^{\infty} E(r)dr = \int_{R}^{\infty} \frac{\rho R^2}{2\epsilon_0} \frac{1}{r} dr = \frac{\rho R^2}{2\epsilon_0} [\log(\infty) - \log(R)] \to \infty$$

Also $\int_{0}^{\infty} E_{r}^{2}(r) dr \to \infty$

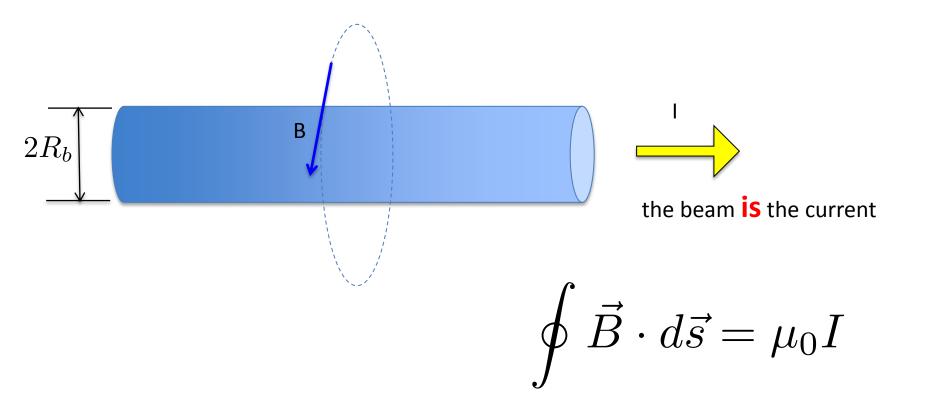
the energy of the beam is infinite !

Magnetic field generated by an infinitely long beam



We apply BIOT-SAVART law

Axi-symmetric beam



Example for uniform, round beam

Outside the beam

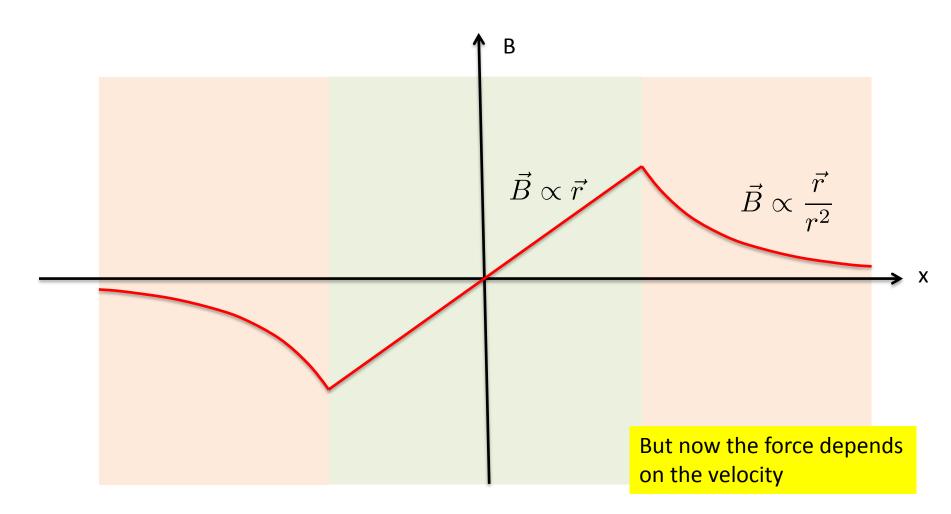
$$B = \frac{\mu_0}{2\pi} \frac{I}{r}$$

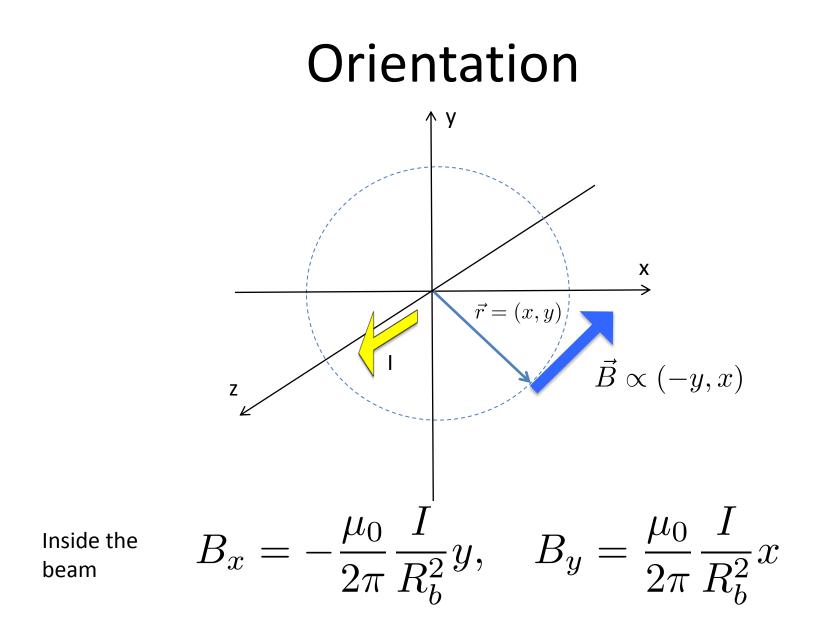
Inside the beam

$$B = \frac{\mu_0}{2\pi} \frac{I}{r} \frac{r^2}{R_b^2} = \frac{\mu_0}{2\pi} \frac{I}{R_b^2} r$$

Exactly the same dependence as for the electric field of a uniform coasting beam

Transverse Magnetic Field





Magnetic force in the equation of motion

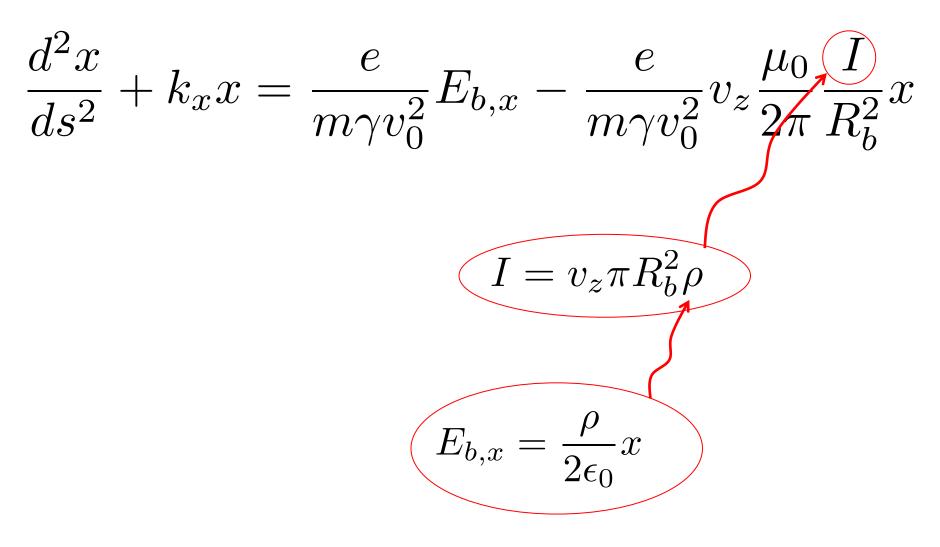
$$\frac{d^2x}{ds^2} + k_x x = \left(\frac{e}{m\gamma v_0^2}\vec{E}_b + \frac{e}{m\gamma v_0^2}\vec{v}\times\vec{B}_b\right)_x$$

$$(\vec{v} \times \vec{B}_b)_x = v_y B_z - v_z B_y = -v_z B_y = -v_z \frac{\mu_0}{2\pi} \frac{I}{R_b^2} x$$

$$\uparrow$$
B_z absent

T

therefore



Therefore the electric + magnetic field are written as a "modified" electric field

$$\frac{d^2x}{ds^2} + k_x x = \frac{e}{m\gamma v_0^2} E_{b,x} (1 - v_z^2 \mu_0 \epsilon_0)$$

But the fundamental constants combines as follow

$$\mu_0 \epsilon_0 = \frac{1}{c^2}$$



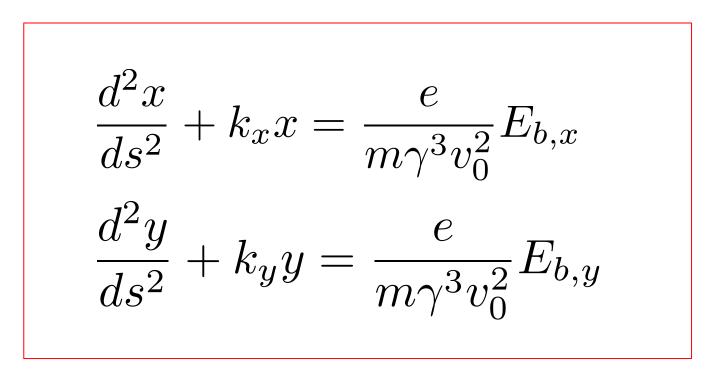
therefore

$$\frac{d^2x}{ds^2} + k_x x = \frac{e}{m\gamma v_0^2} E_{b,x} \left(1 - \frac{v_z^2}{c^2}\right)$$

As
$$|v_z| \simeq v_0 = |ec{v}|$$
 therefore we reach the result

$$\frac{d^2x}{ds^2} + k_x x = \frac{e}{m\gamma^3 v_0^2} E_{b,x}$$

Equation of motion for coasting beams axi-symmetric



result valid for any axi-symmetric distribution

Space charge is suppressed as $~1/\gamma^2$

Uniform distribution

Suppose that the beam **"remains"** always uniform in x-y circle, then

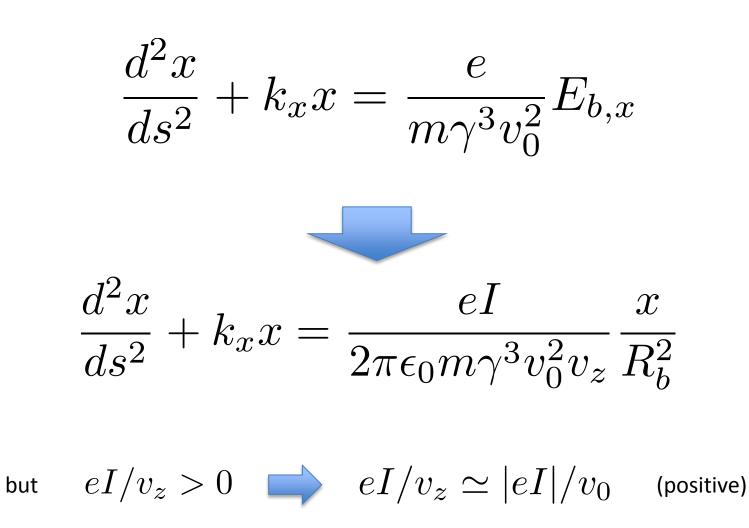
$$I = v_z \pi R_b^2 \rho$$

only I is constant ! (not ρ , not R_b)

and the electric field becomes

$$E_x = \frac{\rho}{2\epsilon_0} x = \frac{1}{2\epsilon_0} \frac{I}{v_z \pi R_b^2} x$$

then



Perveance

It is convenient to define the quantity

$$K = \frac{eI}{2\pi\epsilon_0 m\gamma^3 \beta^3 c^3}$$

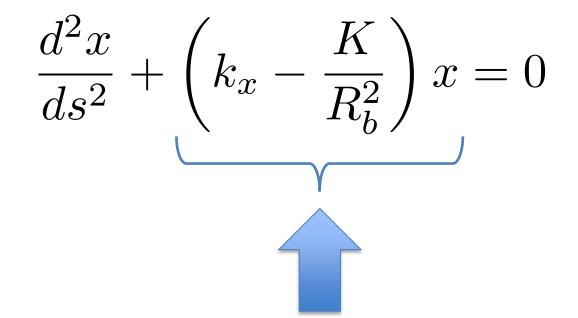
(positive)

General form of the transverse equation of motion for a uniform axi-symmetric coasting beam

$$\frac{d^2x}{ds^2} + k_x x = K \frac{x}{R_b^2}$$

Everything is linear !





This is like a quadrupole with changed strength: too beautiful to be true !!

Consequences for the motion of one particle

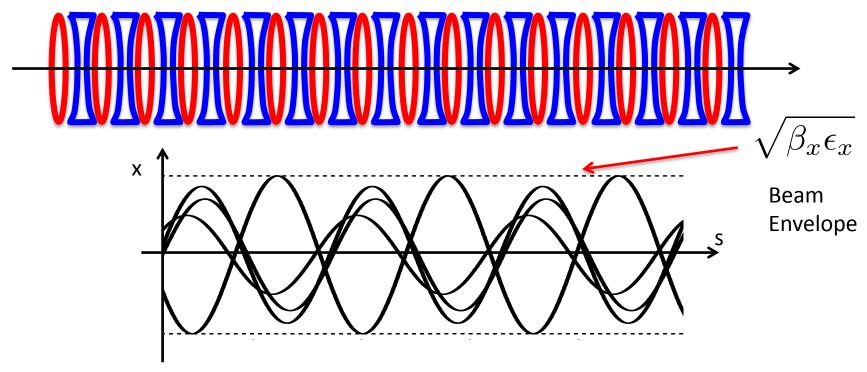
A particle experiences an modified optics

$$k_{x,eff}(s) = k_x(s) - \frac{K}{R_b^2}$$
$$k_{y,eff}(s) = k_y(s) - \frac{K}{R_b^2}$$



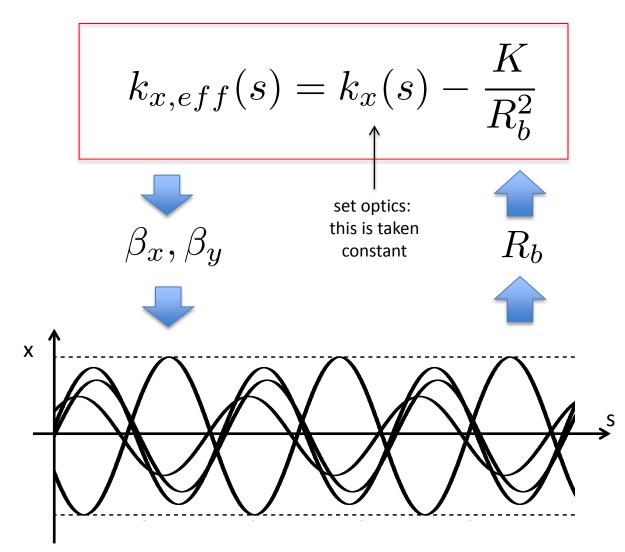
Is it R_b constant? Example with constant focusing lattice

We have to remember that the radius of the beam depends on the optics

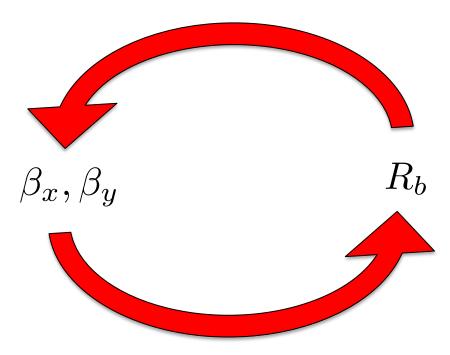


But if there is a linear space charge we have a beta function that **depends also on the radius of the envelope**

Strange situation



Optics sets the beam \rightarrow beam sets space charge \rightarrow space charge sets the optics !



Is there a stationary solution ?

$$k_{x,eff}(s) = k_x(s) - \frac{K}{R_b^2}$$

For a constant focusing channel

$$k_{x,eff} = \frac{1}{\beta_x^2}$$

and the beam radius is

 $R_b^2 = \beta_x \epsilon_x$ $\frac{1}{(\beta_x^*)^2} = k_x - \frac{K}{\beta_x^* \epsilon_x}$

Therefore given k_x, Κ, ε_x

there is one β_x^* which creates a beam such that space charge + linear optics creates exactly β_x^*

What does it mean ?

This means that we have to create a beam of radius

$$R_b^* = X^* = \sqrt{\beta_x^* \epsilon_x}$$

which is the only beam that, for an emittance of ϵ_x , lattice strength of ${\bf k_x}$, perveance K, can create an effective optics of β_x^*



This beam is called **MATCHED** with the effective optics deriving from **linear optics + linear space charge forces**

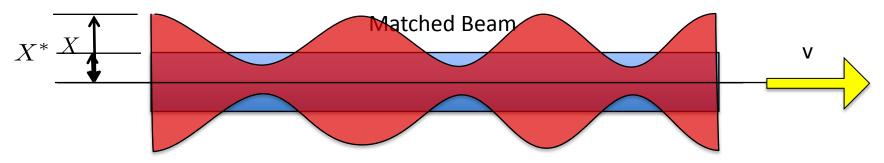
When we inject a non matched beam

The optics created by the lattice + space charge forces makes the beam mismatched

Mismatch oscillations



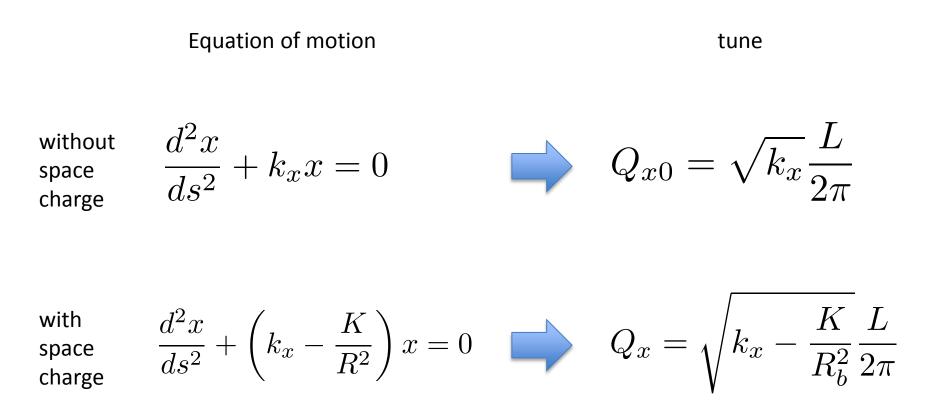




Summary of finding for a uniform coasting beam

- 1) the lattice focusing strength is affected by space charge
- 2) there exists a beam that is matched

Important consequences of the modified optics (constant focusing)



Space charge tune-shift

 $\Delta Q_x = Q_x - Q_{x0}$ is the space charge tune-shift

$$\Delta Q_x = \sqrt{k_x - \frac{K}{R_b^2}} \frac{L}{2\pi} - \sqrt{k_x} \frac{L}{2\pi}$$

for K/($k_x R^2$) small

$$\Delta Q_x = -Q_{x0} \frac{K}{2k_x R_b^2} = -Q_{x0} \frac{K}{2R_b^2} \frac{L^2}{4\pi^2 Q_{x0}^2}$$

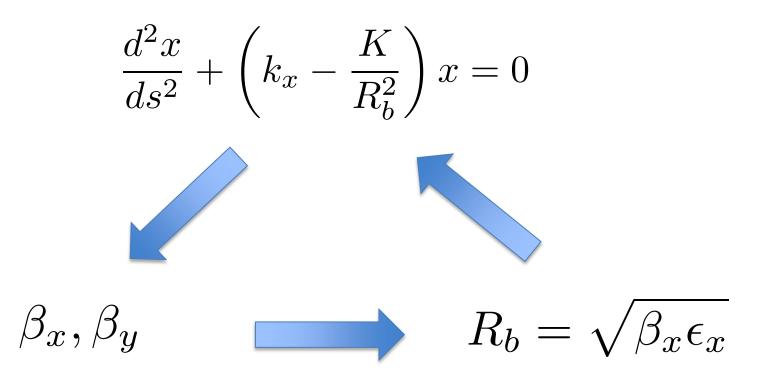
Detuning created by an axi-symmetric coasting beam, with weak intensity

$$\Delta Q_x = -\frac{R_m^2}{2R_b^2} \frac{K}{Q_{x0}}$$

 $\begin{array}{ll} R_m & \text{is the accelerator radius} \\ R_b & \text{is the radius of the beam} \\ Q_{x0} & \text{is the bare tune} \\ K & \text{is the perveance} \end{array}$

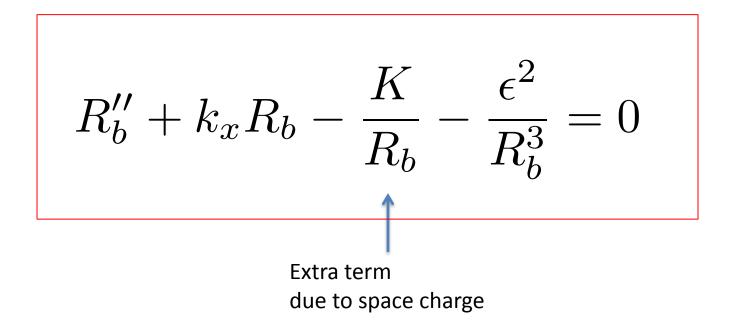
Envelope

For a uniform beam



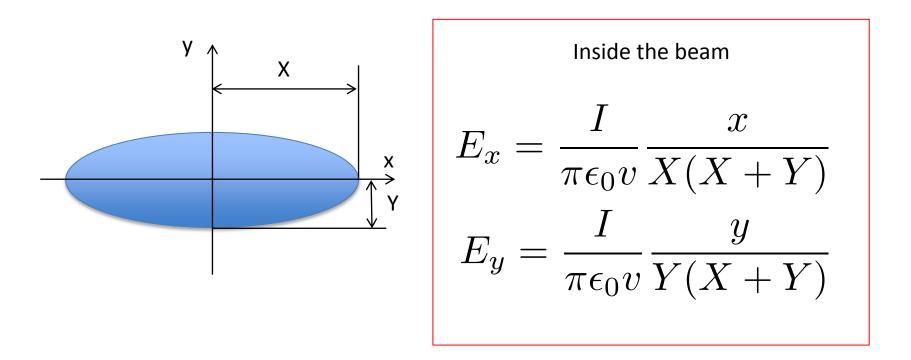
We can compute the evolution of R_b !

Envelope equation for an axi-symmetric beam

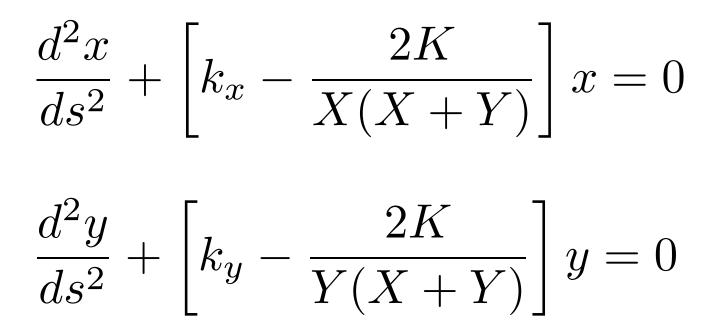


Non axi-symmetric uniform beams

For uniform beams the electric field becomes



Equation of motion

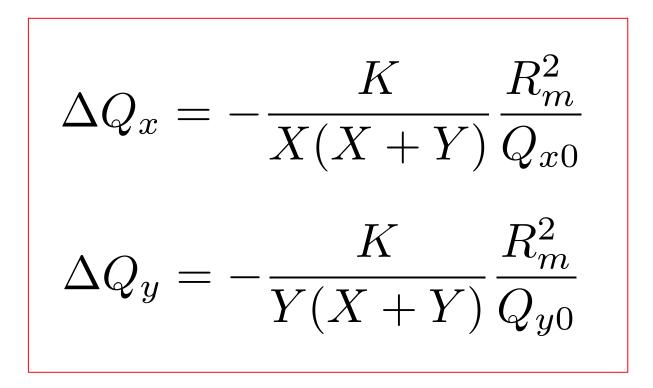


Modified beta function

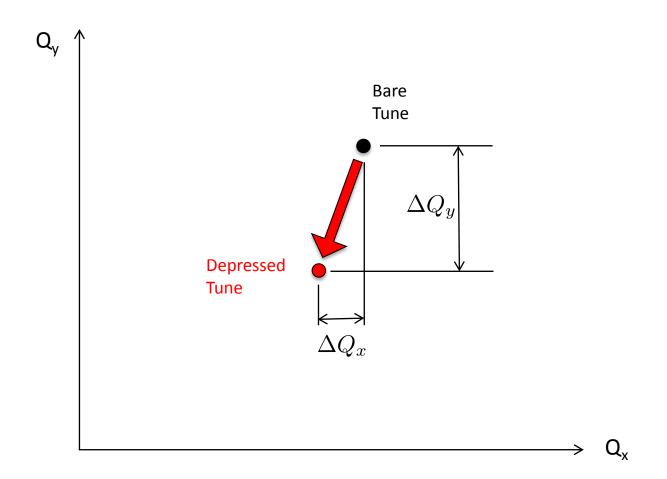
The lattice optics is modified in x, and y

$$k_{x,eff} = k_x - \frac{2K}{X(X+Y)} \qquad \implies \qquad \beta_x^*$$
$$k_{y,eff} = k_y - \frac{2K}{Y(X+Y)} \qquad \implies \qquad \beta_y^*$$

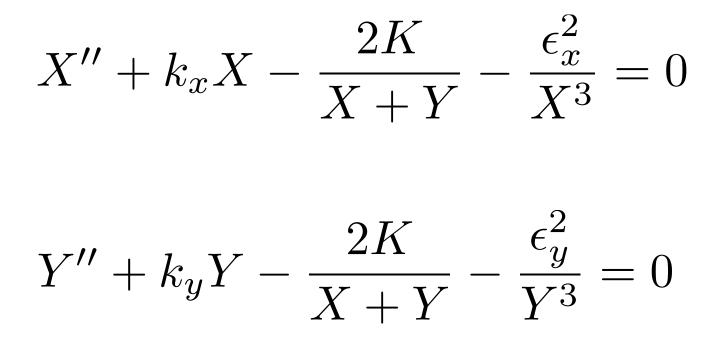
Space charge tune-shift



Situation in a tune diagram



Envelope equations



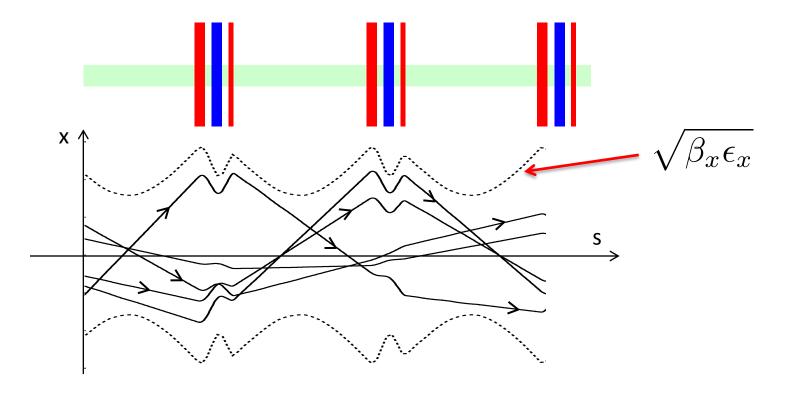
Conclusion for the constant focusing

Space charge changes the particle tune, in both planes according to the beam sizes, and the optics

Again we can describe the beam via envelope equations which are coupled through the space charge

For varying focusing

All formulation remains the same, but the difference is in what happens to the beta functions and the detuning



New optics

We continue to keep the ansatz that the beam remains uniform, and with the same transverse emittances

$$\beta_{x,0}(s), \beta_{y,0}(s)$$

$$\frac{d^2x}{ds^2} + \left[k_x - \frac{2K}{X(X+Y)}\right]x = 0$$

$$\frac{d^2y}{ds^2} + \left[k_y - \frac{2K}{Y(X+Y)}\right]y = 0$$

$$\beta_{x,1}(s), \beta_{y,1}(s)$$

Go on until $eta_{x,n}(s),eta_{y,n}(s)$ converges

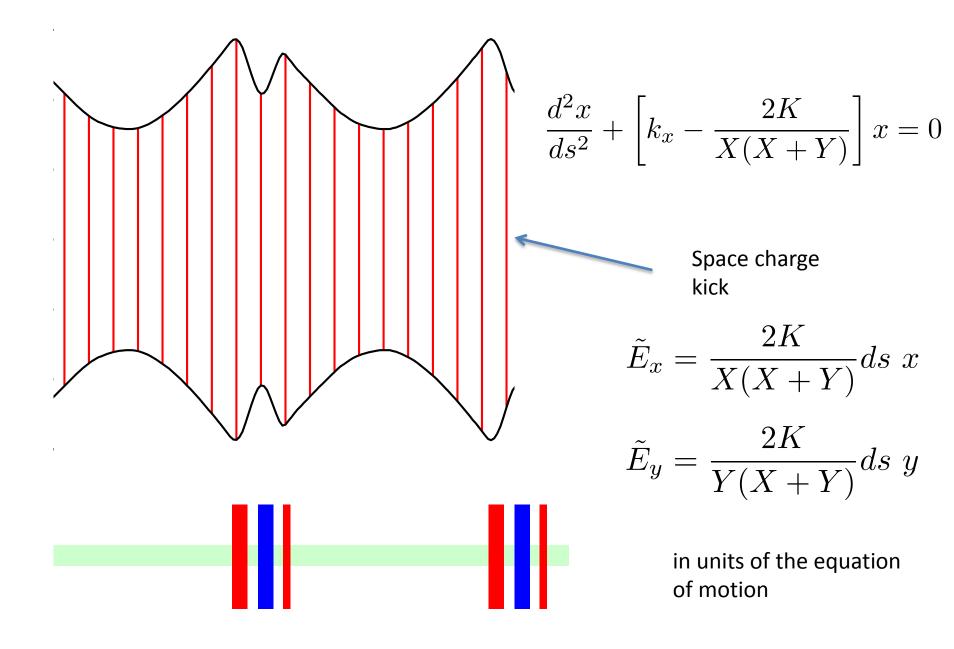
Space charge tune-shift

Now we have a matched optics for a beam with perveance K, and transverse emittances Ex, E,y. Therefore injecting a beam matched with

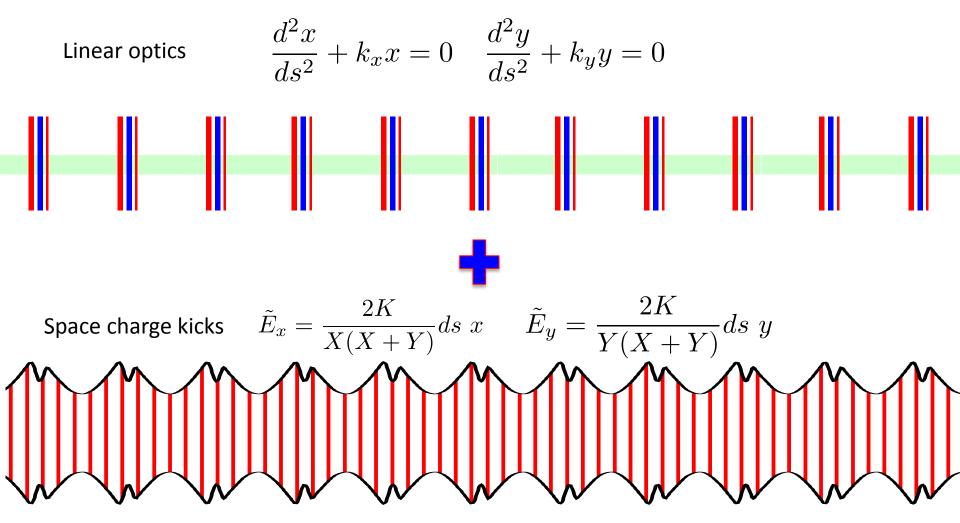
$$\beta_x^*(s), \alpha_x^*(s), \beta_y^*(s), \alpha_y^*(s)$$

will create a matched optical function.

Now you can look at the space charge as a distribution of many space charge "kicks"



Situation



E. Courant



$$\Delta \nu = \frac{\Delta \mu}{2\pi} = -\frac{\Delta(\cos \mu)}{2\pi \sin \mu_0} = \frac{1}{4\pi} \int_0^C \beta(s) k(s) \, ds.$$

$$\Delta Q_x = \frac{1}{4\pi} \int_0^C \beta_x(s) \tilde{E}_x(s) ds = -\frac{1}{4\pi} \int_0^C \beta_x(s) \frac{2K}{X(s)(X(s) + Y(s))} ds$$

$$\Delta Q_y = \frac{1}{4\pi} \int_0^C \beta_y(s) \tilde{E}_y(s) ds = -\frac{1}{4\pi} \int_0^C \beta_y(s) \frac{2K}{Y(s)(X(s) + Y(s))} ds$$

$$\Delta Q_x = -\frac{KR_m}{\epsilon_x} \left\langle \frac{1}{1 + \sqrt{\frac{\epsilon_y \beta_y(s)}{\epsilon_x \beta_x(s)}}} \right\rangle$$

It is a usual approximation that

$$\left\langle \frac{1}{1 + \sqrt{\frac{\epsilon_y \beta_y(s)}{\epsilon_x \beta_x(s)}}} \right\rangle \simeq \frac{1}{1 + \sqrt{\frac{\epsilon_y \langle \beta_y \rangle}{\epsilon_x \langle \beta_x \rangle}}}$$

(not really obvious...)

Therefore

$$\Delta Q_x \simeq -\frac{KR_m}{\epsilon_x} \frac{1}{1 + \sqrt{\frac{\epsilon_y \langle \beta_y \rangle}{\epsilon_x \langle \beta_x \rangle}}} = -KR_m \frac{\langle \beta_x \rangle}{\sqrt{\epsilon_x \langle \beta_x \rangle} (\sqrt{\epsilon_x \langle \beta_x \rangle} + \sqrt{\epsilon_y \langle \beta_y \rangle})}$$

Taking

$$\left<\beta_x\right> \simeq \frac{R_m}{Q_{x0}}$$

$$\Delta Q_x \simeq -K \frac{R_m^2}{Q_{x0}} \frac{1}{\sqrt{\epsilon_x \langle \beta_x \rangle} (\sqrt{\epsilon_x \langle \beta_x \rangle} + \sqrt{\epsilon_y \langle \beta_y \rangle})}$$

Exactly the same formula of the constant focusing channel

Ring with constant focusing

$$\Delta Q_x = -\frac{K}{X(X+Y)} \frac{R_m^2}{Q_{x0}}$$

Ring with AG focusing

$$\Delta Q_x \simeq -K \frac{R_m^2}{Q_{x0}} \frac{1}{\sqrt{\epsilon_x \langle \beta_x \rangle} (\sqrt{\epsilon_x \langle \beta_x \rangle} + \sqrt{\epsilon_y \langle \beta_y \rangle})}$$

What is the meaning?

It seems that the space charge detuning is governed by the same type of law, provided we use some kind of "effective" beam size.



This **seems** to suggest that when two beams have the same "effective" size, and they are in a machine with the same radius, and the same tune, they have the same space charge detuning !!

(nice, but not obvious)

About the ansatz of the uniformity

Is it true that if we start with a beam distribution uniform, that is remains uniform ?

Beam distribution evolves according to the Vlasov equation

$$\frac{\partial f}{\partial t} + \sum_{i=1}^{3} \left(\frac{\partial f}{\partial q_i} \dot{q}_i + \frac{\partial f}{\partial p_i} \dot{p}_i \right) = 0$$

with $f(q,p,t) = rac{\Delta N}{\Delta V}$ particle density in phase space

A very complex and difficult equation !!

Self-consistency

Is there a distribution that does not change "functional shape" ? That is, that it is not time dependent ?

Without space charge

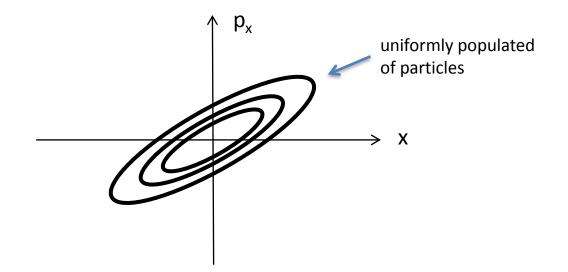
for a linear uncoupled lattice \rightarrow Answer: YES

take
$$f(x, x', y, y', t) = g(\epsilon_x, \epsilon_y)$$

This type of distributions are all self-consistent \rightarrow MATCHED with the lattice

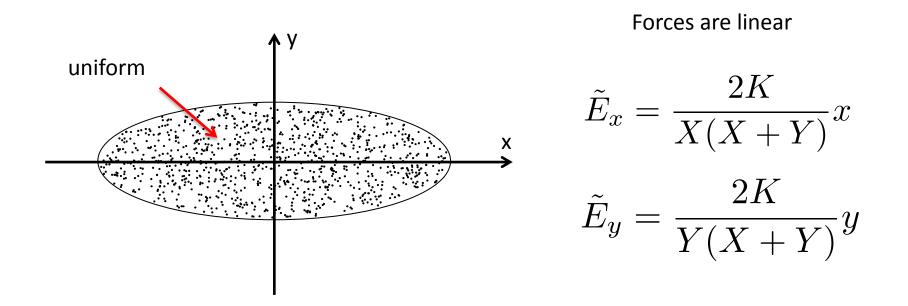
in fact
$$\frac{\partial}{\partial t}f(x,x',y,y',t) = \frac{\partial}{\partial t}g(\epsilon_x,\epsilon_y) = 0$$

Practically it means that the Courant-Snyder ellipses are populated with constant particle density



Self-consistent distribution

If a distribution is x-y uniformly populated of particles



But we are not sure that the x-y distribution remains uniform during beam propagation

KAPCHINSKY-VLADIMIRSKY (KV)

But any distribution
$$f(x,x',y,y',t)=g(\epsilon_x,\epsilon_y)$$

remains of the same type if forces are linear

But then, if we choose a distribution that creates linear space charge forces, then that distribution also will remain of the same type !

$$f = \delta \left(\frac{\epsilon_x}{E_x} + \frac{\epsilon_y}{E_y} - 1 \right)$$
This distribution
creates a uniform
x-y distribution
This distribution
It will remain of
the same type !!

This allows to make a complete use of the envelope equations !

NON uniform distributions

Non-uniform beam distributions exhibits a more complex behaviour.

- 1) These distribution can be generated to be matched with a linear lattice without space charge
- 2) When the beam has space charge effects, these distributions are not self-consistent, hence they change with time, BUT for short time they keep their form.

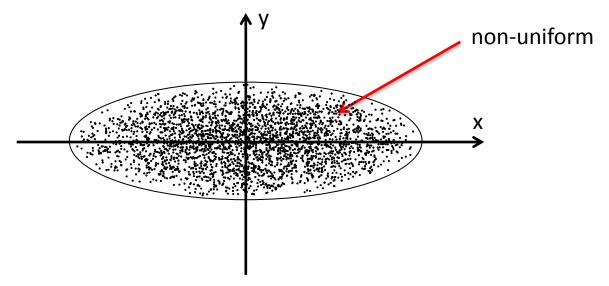
WATERBAG

 $f = \Theta\left(\frac{\epsilon_x}{E_x} + \frac{\epsilon_y}{E_y} - 1\right)$

with $\Theta\left(x
ight)$

the Heaviside function

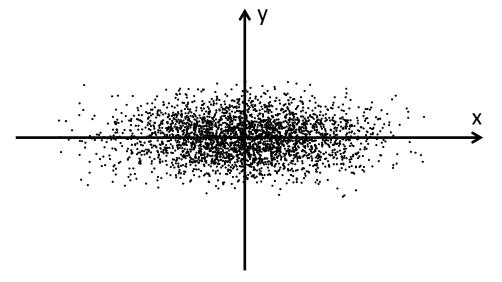
It is a 4D sphere completely filled



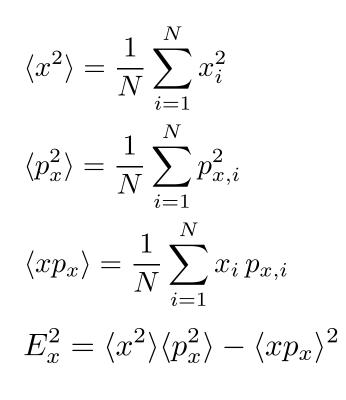
GAUSSIAN

$$f = \propto e^{-\frac{1}{2}\left(\frac{\epsilon_x}{E_x} + \frac{\epsilon_y}{E_y}\right)}$$

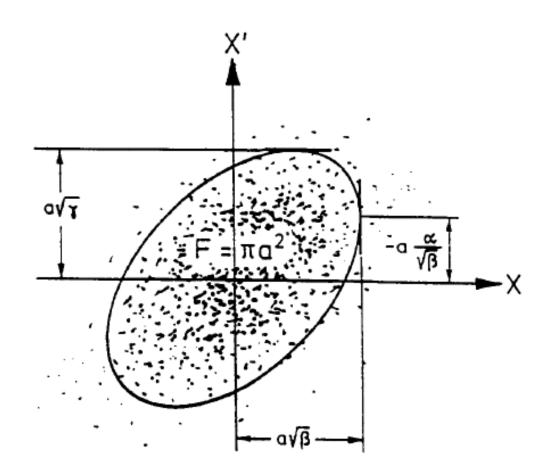
The distribution is not bounded, and is the product of two 1D Gaussians



Moments



RMS emittance depends on the beam distribution

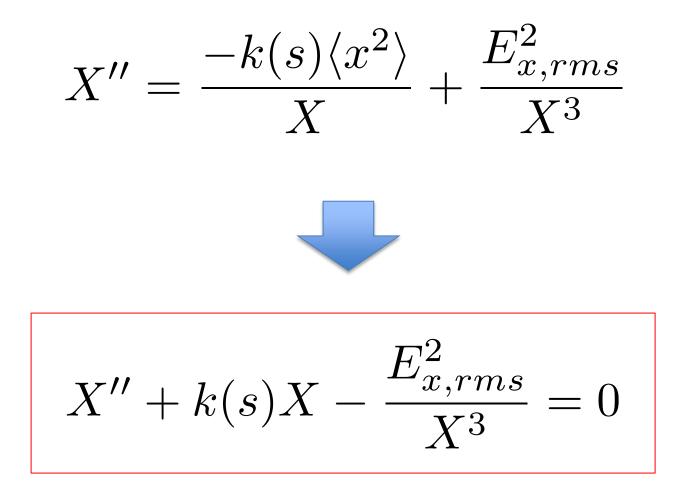


Defining
$$X = \sqrt{\langle x^2 \rangle}$$

$$X'' = \frac{\langle xx'' \rangle}{X} + \frac{E_{x,rms}^2}{X^3}$$

Without space charge

$$x'' + k(s)x = 0 \quad \Longrightarrow \quad \langle xx'' \rangle = -k(s) \langle x^2 \rangle$$



$\langle xx'' \rangle = -k(s) \langle x^2 \rangle + \langle x\mathcal{E}_x \rangle$

77

Including space charge



1940 - 1978



Sacherer Cracker, Yosemite (and 33 peaks climbed) 8/9/14

Therefore

 $x'' = -k(s)x + \mathcal{E}_x$

Equation of motion

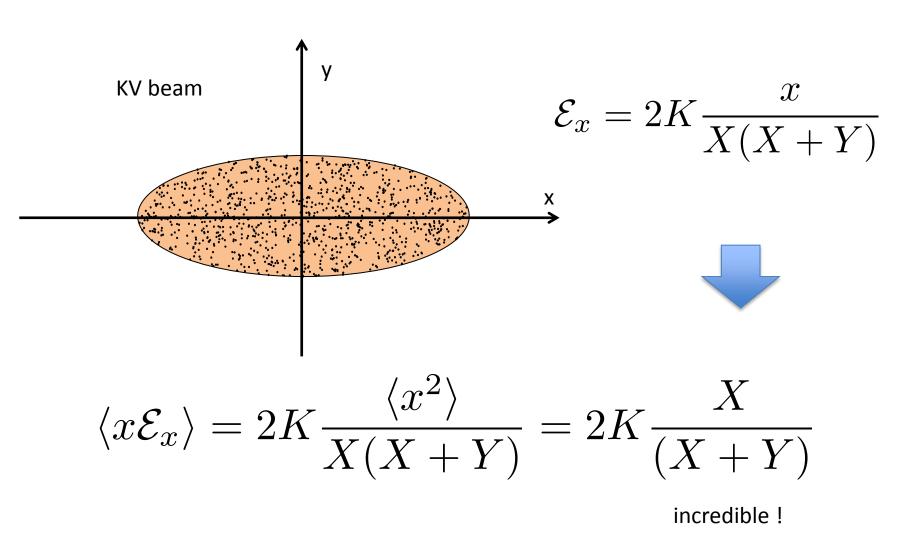
space charge force

$$X'' + k(s)X - \frac{\langle x\mathcal{E}_x \rangle}{X} - \frac{E_{x,rms}^2}{X^3} = 0$$

What is it
$$\langle x \mathcal{E}_x
angle$$
 ?

Well: If
$$\mathcal{E}_x = \lambda x$$
 \Longrightarrow $\langle x \mathcal{E}_x \rangle = \lambda X^2$

For a KV beam



F. Sacherer: very surprising result

If the beam has transverse distribution

$$\rho \propto n \left(\frac{x^2}{X^2} + \frac{y^2}{Y^2}\right)$$

True for any distribution matched with the naked optics

$$\langle x\mathcal{E}_x \rangle = 2K \frac{X}{(X+Y)}$$



RMS envelope equation

Therefore the rms envelope follows the equation

$$X'' + k(s)X - \frac{2K}{X+Y} - \frac{E_{x,rms}^2}{X^3} = 0$$

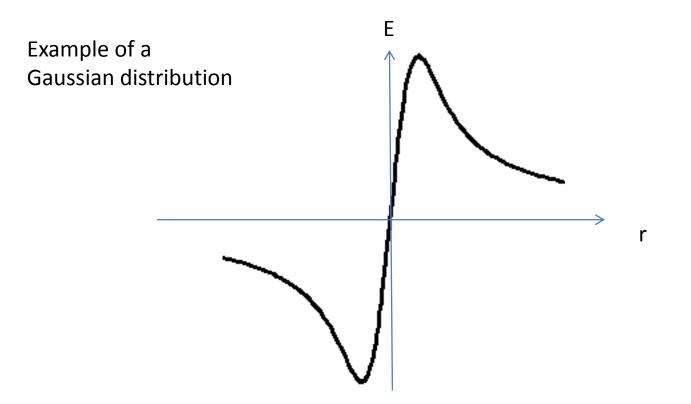
If different beams have the same rms sizes, the same rms emittance, the same perveance

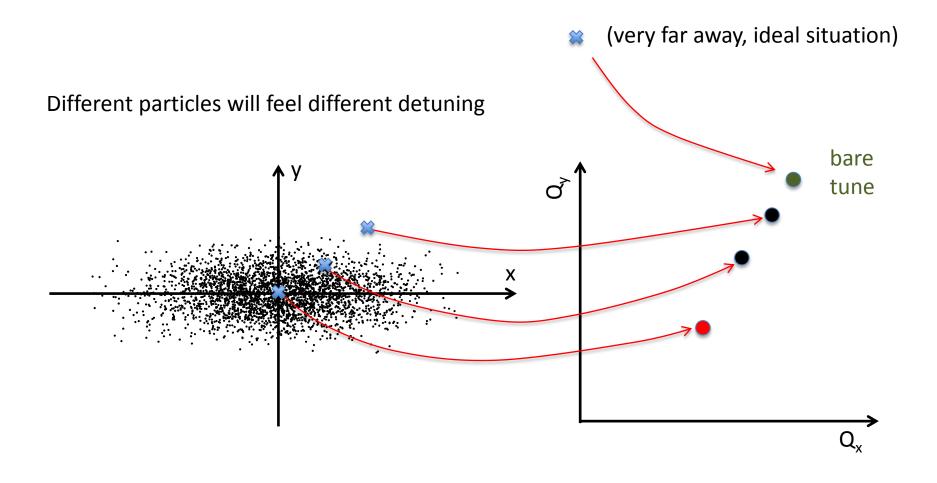


All these beams have the same rms evolution

Space Charge Detuning of Non-uniform distribution

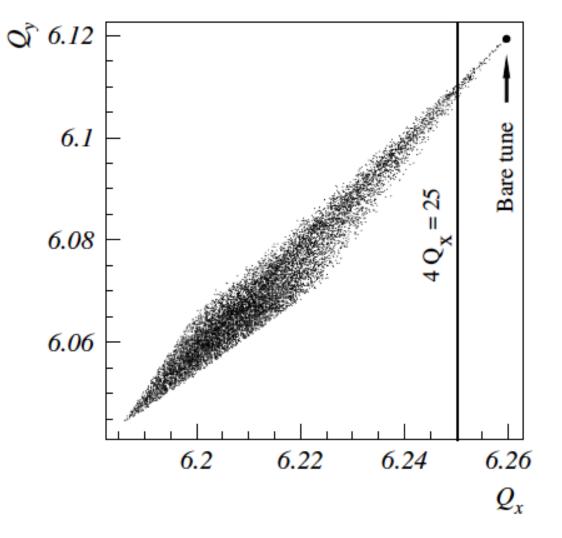
For WB, G distributions the expression of the space charge force is more complex.





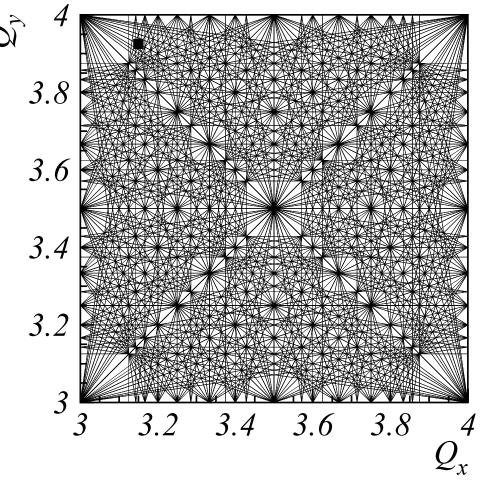
The space charge tune-spread

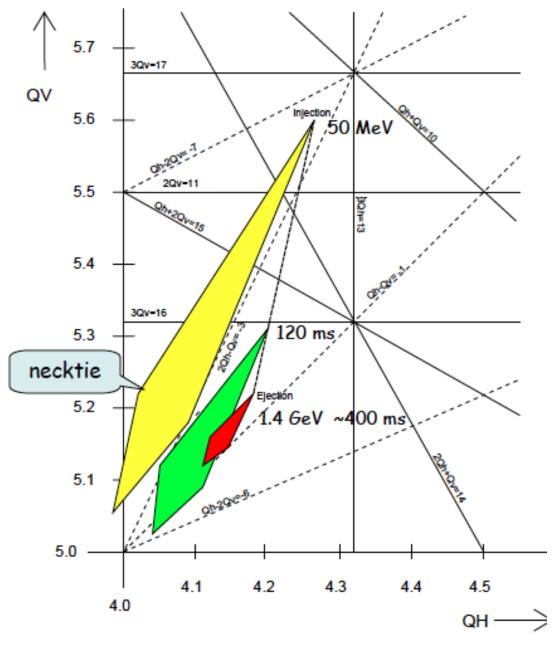
Example



Consequences

If the space charge induced tune-spread overlaps a machine resonance there is a problem







- 1) Space charge + resonances in coasting beams
- 2) Space charge + resonances in bunched beams
- 3) Collective beam response to direct space charge forces ?

8/9/14

G. Franchetti

Summary

- 1) Space charge is important at low energy
- 2) Space charge affect the optics
- 3) It requires a matched beam
- 4) It creates a tune-spread
- 5) Beams rms-equivalent behave similarly (in rms sense)
- 6) Mismatched beams oscillates (mismatch)
- 7) Self-consistency is important and desired
- 8) Space charge tune spread creates severe problem in case of resonance overlapping
- 10) The higher the space charge tune-spread the more difficult is to control the beam

Next lecture \rightarrow Image charge \rightarrow Collective effects

Further readings

eory and design of charged particle beams Martin Reiser JOHN WILEY and Son, Inc, New York 19

All previous CAS