

Energy Efficiency

Erk Jensen CERN

CAS FEL & ERL, Hamburg, 2016

Outline

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- Power flow in an accelerator
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 - A trade-off between accelerating gradient and efficiency
- Cryogenic system
 - How much power do you need to save power?
 - Recovering the beam energy
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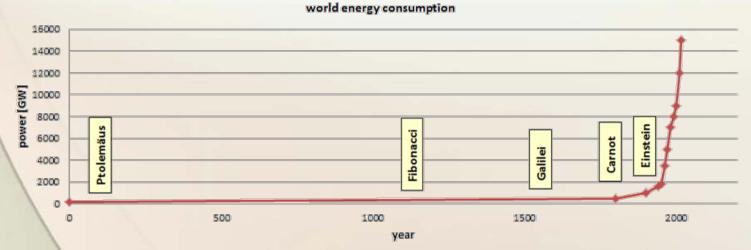
Introduction

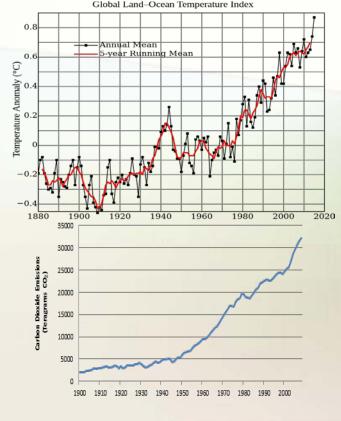
Orders of magnitude – energy use – setting the scene – definition "efficiency"



Why does energy efficiency matter?

- Scarcity of fossil energy problematic nuclear power
- Volatile & unpredictable energy costs
- Increasing environmental concerns (global warming, El Niño, CO₂ emission)
- Awareness for 50 years (Club of Rome 1968, Oil crisis 1973)
- Political societal imperative: must go towards sustainable energy !
- Also particle accelerator facilities must be conceived/built/operated with this in mind! ... in fact – they should give a good example and incite R&D!



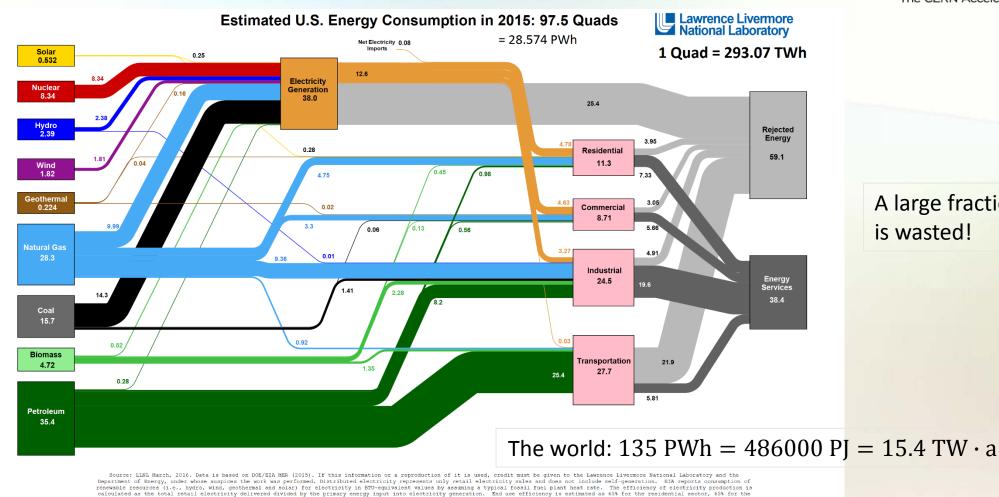




A large fraction

is wasted!

Orders of magnitude – e.g. USA



commercial sector, 80% for the industrial sector, and 21% for the transportation sector. Totals may not equal sum of components due to independent kounding. LLNL-WI-41032

https://flowcharts.llnl.gov/commodities/energy

ERN



3-June-2016

More orders of magnitude



	generation	consumption	storage	
1	1d cyclist "Tour de France" (4h x 300W): 1.2 kWh	1 run of cloth washing machine: 0.81 kWh	Car battery (60 Ah): <mark>0.72 kWh</mark>	
	1d Wind Power Station (avg): 12 MWh	1d SwissLightSource 2.4 GeV,0.4 A: 82 MWh	ITER superconducting coil: 12.5 MWh	
	1d nucl. Pow. Plant (e.g. Leibstadt, CH): 30 GWh	1d CLIC Linear Collider @ 3 TeV c.m. 14 GWh	all German storage hydropower: 40 GWh	
/		(NbaSn, 6 modules, 40 kA, 13 T) TER (NbaSn, 6 modules, 40 kA, 13 T	bydro storage	
	nucl. plant 1.3 GW	main beams CLIC, 580 MW	M. Seid	

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More orders of magnitude



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1d nucl. Pow. Plant (e.g. Leibstadt, CH):	1d CLIC Linear Collider @ 3 TeV c.m.	all German storage hydropower:
30 GWh	14 GWh	40 GWh
1d Earth/Moon System E-loss:	1d electrical consumption mankind:	World storage hydropower:
77 TWh	53 TWh	O(1 TWh)
1d sunshine absorbed on Earth: 3,000,000 TWh = 3 EWh (Exa = 10^{18}) cyclist, 300 W	1d total consumption humankind: 360 TWh	Energy storage seems not to scale up!

• Accelerators are in the range were they become relevant for society and public discussion.

• Desired turn to renewables is an enormous task; storage is the problem, not production!

positron

• Fluctuations of energy availability, depending on time and weather, will be large!

. electrons

/ electron main accelerator

nucl. plant 1.3 GW 3-June-2016

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CLIC, 580 MW

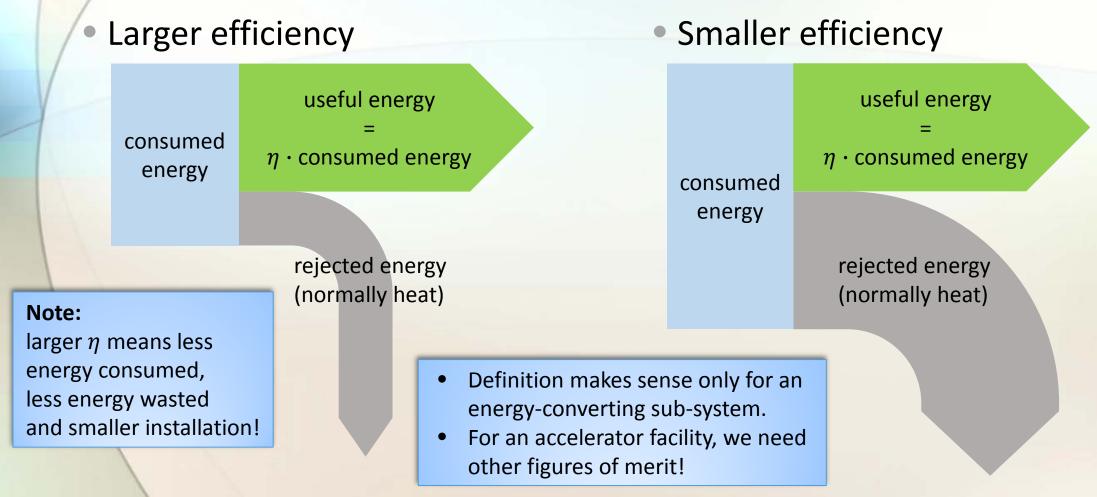
hydro storage

M. Seidel/PSI



Efficiency η , definition





Acknowledging EuCARD² Network EnEfficient



EUCARD² ("*Eu*ropean *C*oordination for *A*ccelerator *R*&*D*") is co-funded by its partners and the European Commission under Capacities 7th Framework Programme, Grant Agreement 312453, and runs from 2013 to 2017.

- Work Package 3 of EuCARD² is the networking activity "EnEfficient", which stimulates developments, supports accelerator projects, thesis studies and similar in the areas of
 - Energy recovery from cooling circuits,
 - Higher electronic efficiency RF power generation,
 - Short term energy storage systems,
 - Virtual power plant,
 - Beam transfer channels with low power consumption.
- More details under <u>www.psi.ch/enefficient</u>
- Partners: PSI, CERN, KIT, ESS, GSI



Power flow in an accelerator

Example PSI (special thanks to M. Seidel/PSI!)



Power flow in Accelerators





Accelerator

Radio Frequency

Magnets

Vacuum etc.

Auxiliary systems

- Cryogenics
- Conv. cooling, AC etc.

Instruments

E.g. particle detectors

conversion to secondary radiation (beam collisions, targets, undulators ...)

direct beam application:

- p-therapy
- Isotope production

secondary radiation

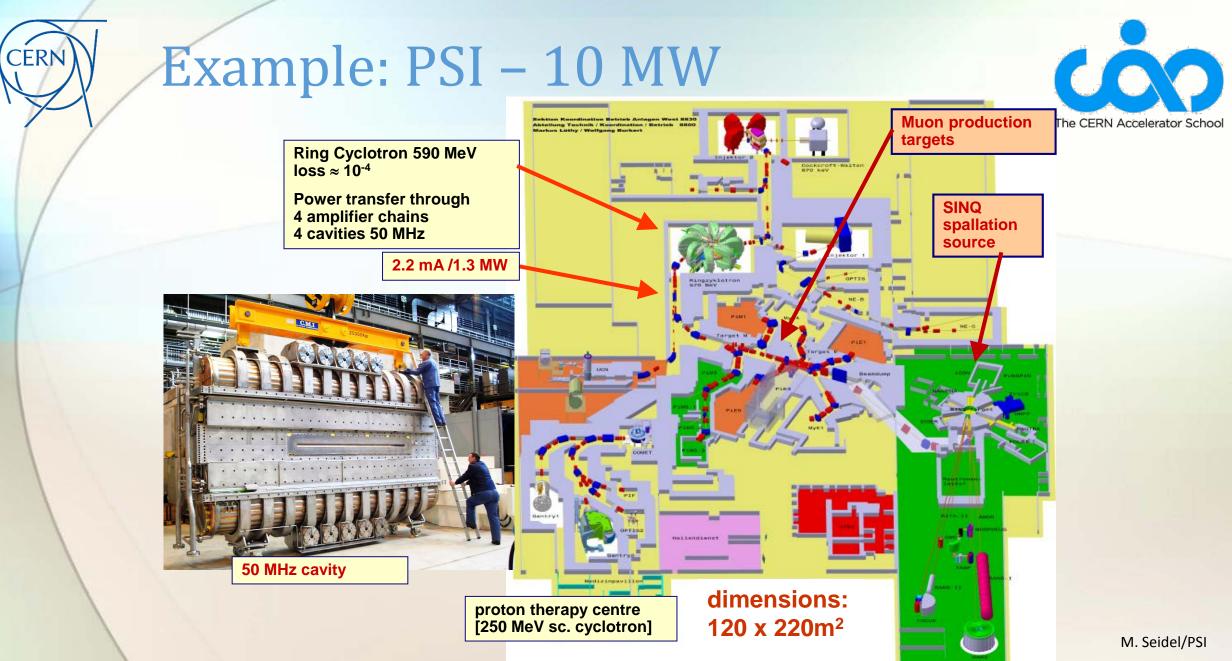
- Exotic particles, e.g. Higgs, B-mesons
- Photons (synchrotron radiation)
- Neutrons
- Muons

Eventually all converted to waste heat !

Possible figures of merit:

Number of physics events, secondary particles, X-rays on sample ...

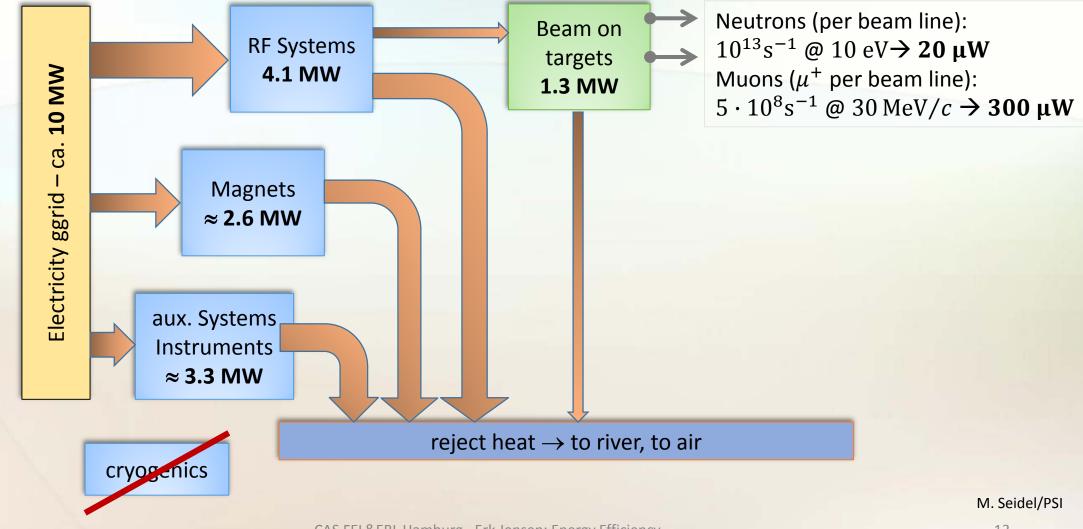
per kWh consumed





Example: PSI – 10 MW







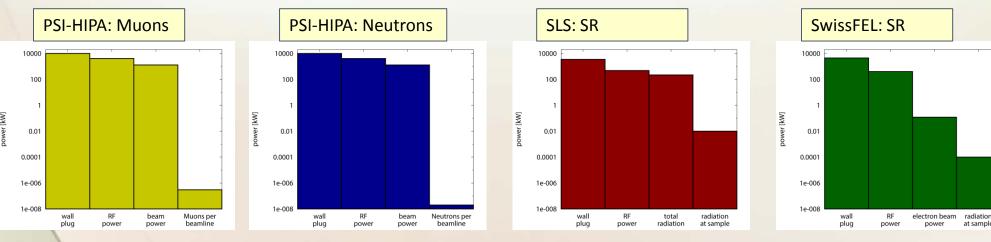
Example: Conversion efficiency from power grid \rightarrow secondary radiation



Linear collider: $L \propto \sqrt{\frac{\delta E}{\gamma \varepsilon_{v}}} \cdot \frac{P_{\text{beam}}}{1E_{\text{c.m.}}}$

Often great potential for possible η improvement:

- Light sources/synchrotrons: emittance control! optimized undulators!
- Light sources/FEL: coherent radiation beam energy recovery (ERL)!
- HEP colliders: low-beta insertion; crab cavities etc.
- Neutron Sources: target optimization; moderators, neutron guides etc.
- Muon Sources: target optimization; capture optics; μ-cooling



M. Seidel/PSI

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Example: measures to improve conversion η of a spallation target



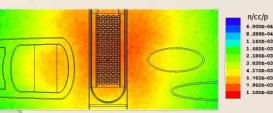
Old target design

New target design

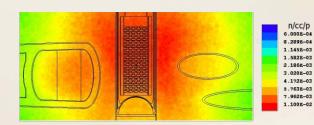


Implemented measure	Gain
Zr cladding (instead of steel)	12%
More compact rod bundle	5%
Pb reflector	10%
inverted entrance window	10%
total gain in conversion	42.3%





Colour code: neutron density, same scale



beam

M. Wohlmuther, M. Seidel/PSI



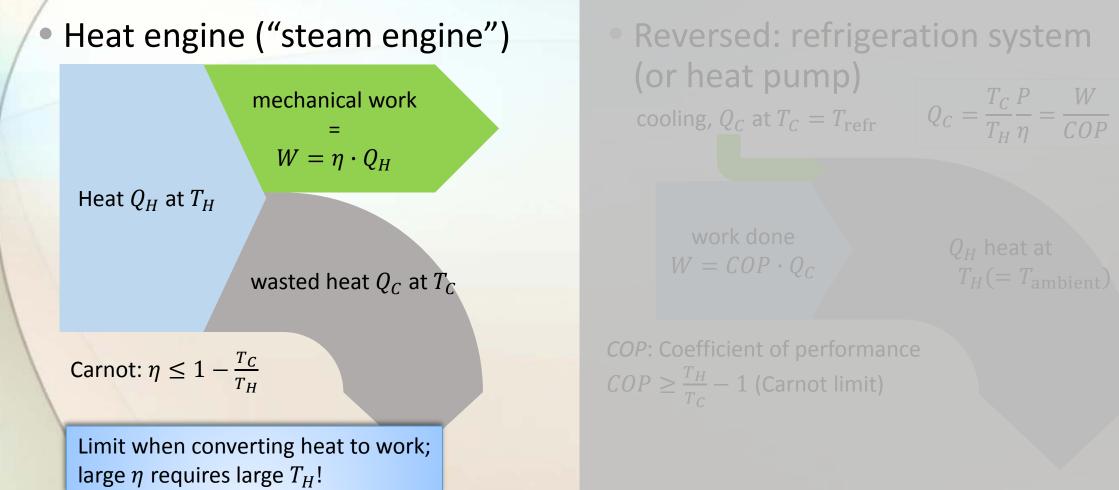
Is everything lost?

Since all the consumed energy seems to be converted to heat: Can we recover (make good use of) the heat?



It the heat wasted? The Carnot cycle

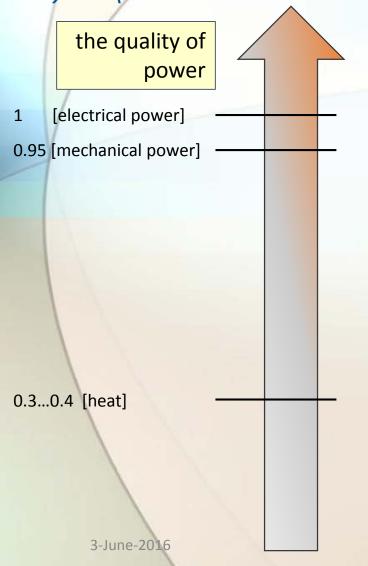






Can we recover the heat?





- In principle, heat engine could be used to produce work.
 - Limit: Carnot efficiency! With $T_C = T_{\text{ambient}} = 20^{\circ}\text{C}$:
 - $T_H = 40^{\circ}$ C: Carnot efficiency 6.8%
 - $T_H = 200^{\circ}$ C: Carnot efficiency 38%

$$\eta_{\text{Carnot}} = 1 - \frac{T_C}{T_H}$$

- It is more interesting to recover heat at high *T*!
- Heat could be converted to higher T heat with a heat pump:
 - E.g.: 10 kW heat pump could pump 40 kW of heat from 40°C to 50 kW at 80°C (for heating at 80°C). $COP = 5 \le 7.8 = \frac{T_C}{\Lambda T}$
- Heat could be used directly for heating:
 - recovered at 50°C to 80°C: district heating
 - recovered at 25°C to 50°C: green houses, food production



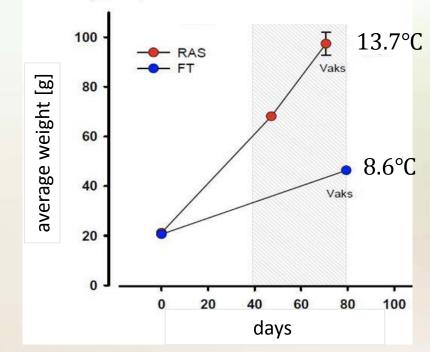
Example: low *T* heat recovery for fish farming





An increase in water temperature from 8.6°C to 13.7°C doubled the growth rate in salmon smolt.

BY B.Fyhn Terjesen. Nofima



A Kiessling, Institute of Marine Research, Matredal, NO



Optimizing magnets

Why do they need power at all?



Low-power accelerator magnets



/	Magnet type	Advantage	Disadvantage
	permanent magnet	No power required, reliable, compact	Tuneability difficult, aperture size limited, radiation damage
	Optimized electromagnet	Low power, less cooling (and less vibration)	Larger size, cost
/	Pulsed magnet	Low average power, less cooling, high fields	Complexity of magnet and circuit, field errors
	Superconducting magnet	No ohmic losses, higher field	Cost, complexity, cryo installation
	High saturation materials	Lower power, compactness and weight	Cost, limited gain
2014 workshop on Special Compact and Low Consum			mpact and Low Consumption Magnet Desig

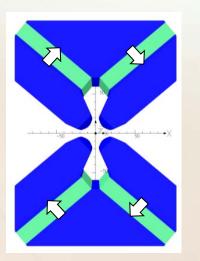


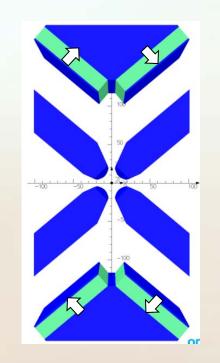
Example: Permanent Magnet Quadrupole Design for CLIC



- **NdFeB** magnets with $B_r = 1.37$ T
- 4 permanent magnet blocks
- gradient = $(15.0 \dots 60.4)$ T/m, stroke = $(0 \dots 64)$ mm.
- Pole gap = 27.2 mm
- Field quality = $\pm 0.1\%$ over 23 mm

Stroke = (0 ... 64) mm





Tuneable high-gradient permanent magnet quadrupoles, B.J.A. Shepherd et al 2014 JINST 9 T11006



B. Shepard/STFC

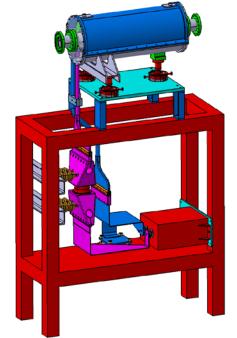
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Pulsed Quadrupole Magnet

	Prototype Quadrupole	
Gradient	80 T/m	
Length	0.65 m	
Pulse length	90 μs (beam 1 μs)	
Peak current	400 kA (35 kA)	
Peak voltage	17 kV (5 kV)	
Energy @17 kV	65 kJ (5.6 kJ)	
Inductivity	535 nH	
Capacitor	450 μF	
Forces	200 kN	



Engineering model of the prototype quadrupole magnet incl. support

 low average power; energy recovery in capacitive storage possible for periodic operation; high field

P. Spiller/GSI

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• complexity added by pulsing circuit; field precision potentially challenging





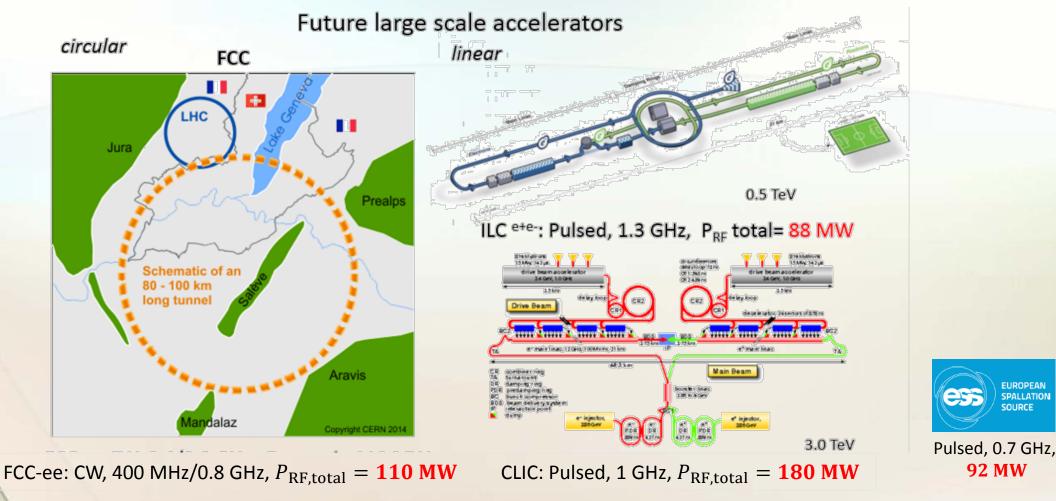
RF power generation

The power to accelerate



Average RF power needs





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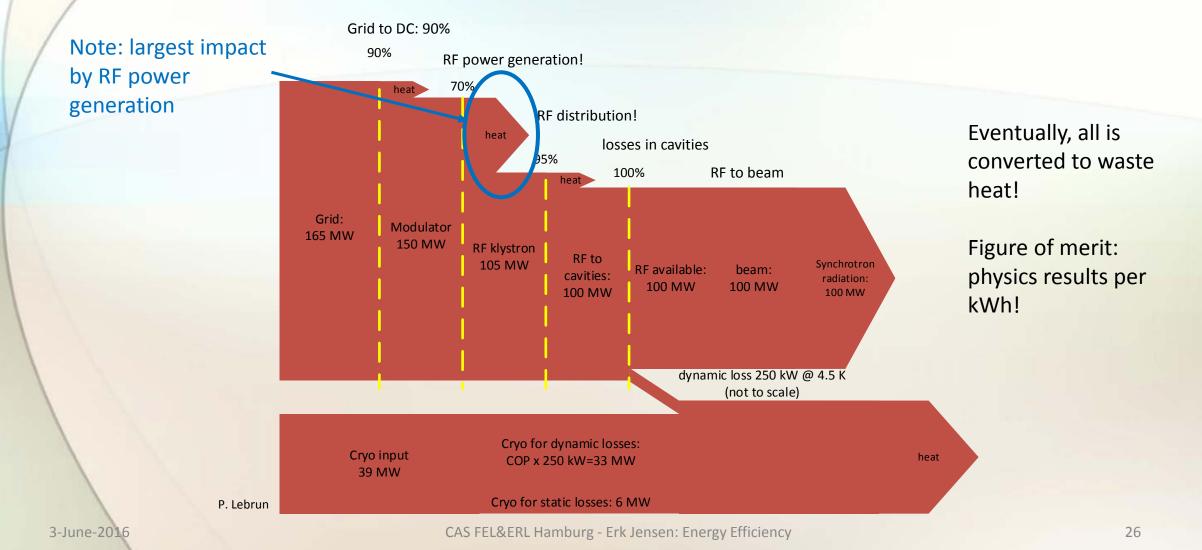
EUROPEAN SPALLATION SOURCE

92 MW



Example FCC-*tt*: orders of magnitude





69% instead of 70% - what does this mean?



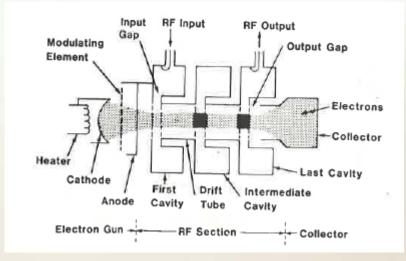
- I had assumed above: 70% efficiency for RF power generation.
- With 105 MW RF output and at 70% efficiency, this means that 1 percentage point less means
 - Input power up from 150 MW to 152.2 MW, waste heat up from 45 MW to 47.2 MW.
 - 2.2 MW more electricity consumed (assuming 5000 h and 40 €/MWh: 10 GWh/year or 400 k€/year)
 - 2.2 MW more heat produced and wasted in the environment.
 - The electrical installation has to be larger by 1.45%!
 - The cooling and ventilation has to be larger by 4.8%!
- All the above are significant!
- Work on increasing the useable efficiency is worth every penny/cent invested!



How does a klystron work?



- A continuous electron beam is accelerated by a DC voltage and guided by magnets,
- A small power RF input causes an RF voltage in the input gap, where the velocity of the electrons will be modulated with the RF.
- Passing though a subsequent drift tube, this velocity modulation will lead to density modulation (bunching).
- The density modulation causes an RF component of the current which will excite large power in the output gap.
- With just input cavity and output cavity, the maximum possible efficiency of a klystron is 58%.
- Additional cavities (near the operation f and possibly at harmonics) will help the bunching process.
- The best efficiency reached this way is around 70%.
- Space charge effects limit the efficiency they can be reduced using many small beams rather than one big (Multi-beam klystron – MBK)

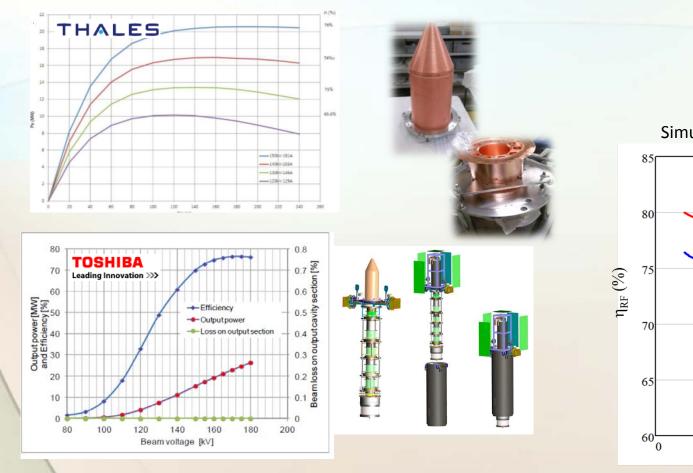


From A.S. Gilmour, Jr. "Microwave Tubes", Artech House 1986, who took this from Microwave Tube Manual by Varian Associates, Air Force Publication Number T.0.00-25-251, 1979



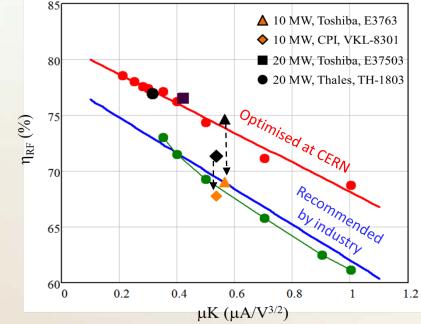
MBK developments for CLIC





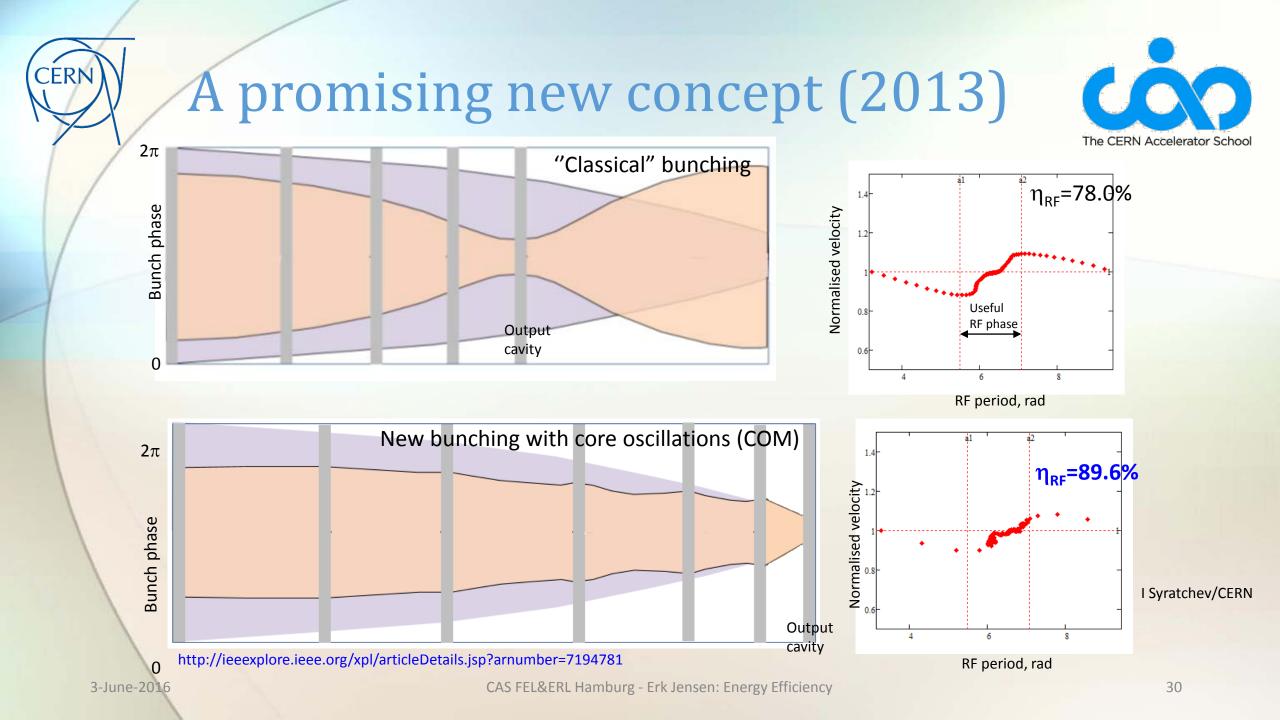
Frequency: 1 GHz Peak power: 20 MW Pulse length: 150 µsec Rep. rate: 50 Hz Efficiency: >67%

Simulated klystron efficiency vs. perveance



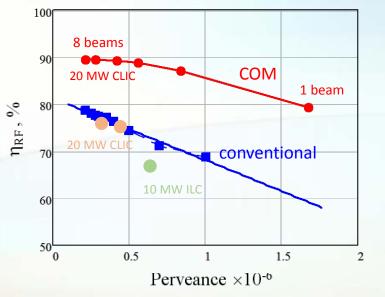
I Syratchev/CERN

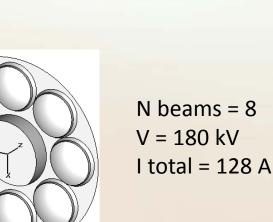
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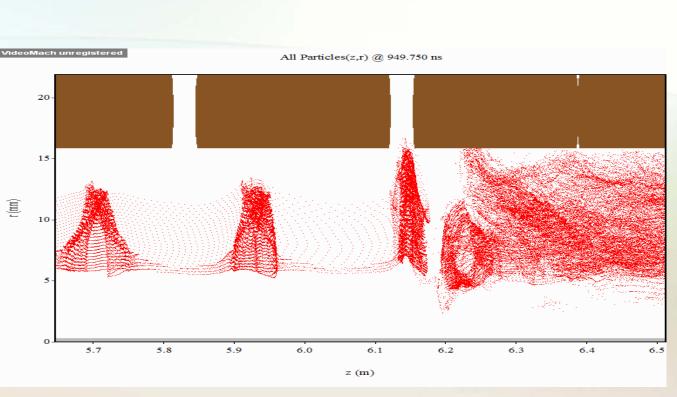




Comparison of the two bunching methods







RF extraction efficiency: 86.6%;

I Syratchev/CERN, D. Constable, C. Lingwood/U Lancaster, 2015

1A

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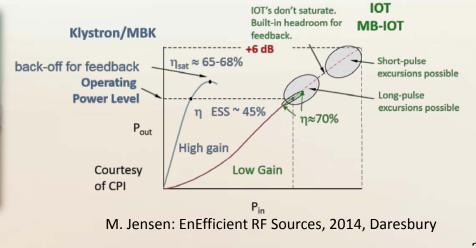
RF power generators - efficiencies



	Tetrodes	IOTs (Inductive Output Tubes)	Conventional klystrons	Solid State PA	Magnetrons
f range:	DC – 400 MHz	(200 – 1500) MHz	300 MHz – 1 GHz	DC – 20 GHz	GHz range
P class (CW):	1 MW	1.2 MW	1.5 MW	1 kW @ low <i>f</i>	< 1MW
typical η :	85% - 90% (class C)	70%	50%	60%	90%
Remark	Broadcast technology, widely discontinued		new idea promises significant increase	Requires <i>P</i> combination of thousands!	Oscillator, not amplifier!



< 30 MHz, 75 kW $\eta < 78\%$ (class B)



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CTF3 klystron 3 GHz, 45 MW, 4.5 μs, 50 Hz, $\eta \approx 45\%$



Conversion RF power → beam

A trade-off between accelerating gradient and efficiency

Cavity parameters: Wall losses & Q_0

- The losses *P*_{loss} are proportional to the stored energy *W*.
- The tangential \vec{H} on the surface is linked to a surface current $\vec{J}_A = \vec{n} \times \vec{H}$ (flowing in the skin depth δ).
- This surface current \vec{J}_A sees a surface resistance R_s , resulting in a local power density $R_s |H_t|^2$ flowing into the wall.
- R_s is related to skin depth δ as $\delta \sigma R_s = 1$.
 - Cu at 300 K has $\sigma \approx 5.8 \cdot 10^7$ S/m, leading to $R_s \approx 8 \text{ m}\Omega$ at 1 GHz, scaling with $\sqrt{\omega}$.
 - Nb at 2 K has a typical $R_s \approx 10 \text{ n}\Omega$ at 1 GHz, scaling with ω^2 .
- The total wall losses result from $P_{loss} = \iint_{wall} R_s |H_t|^2 dA$.
- The cavity Q_0 (caused by wall losses) is defined as $Q_0 = \frac{\omega_0 W}{P_{loss}}$.
- Typical Q_0 values:
 - Cu at 300 K (normal-conducting): $\mathcal{O}(10^3 \dots 10^5)$, improves at cryogenic T by roughly a factor 10.
 - Nb at 2 K (superconducting): $\mathcal{O}(10^9 \dots 10^{11})$





Shunt impedance



- The square of the acceleration voltage is proportional to the power loss P_{loss} .
- The proportionality constant defines the "shunt impedance"

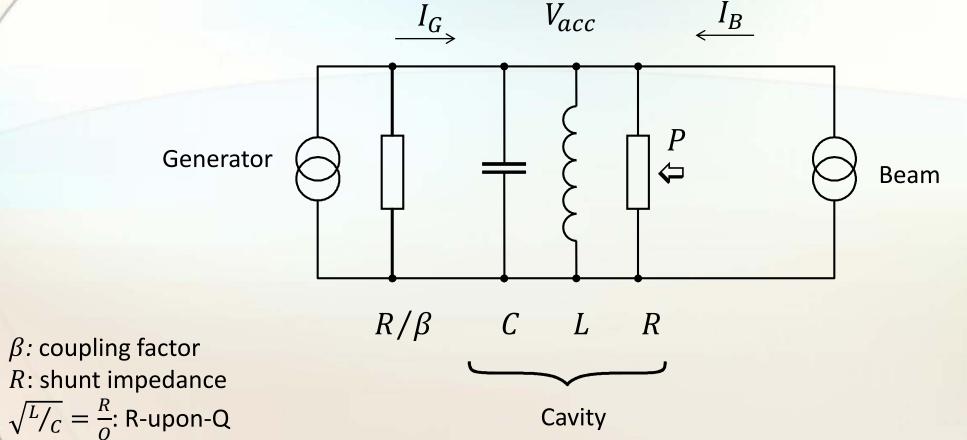
 $R = \frac{|V_{acc}|^2}{2 P_{loss}}.$

- Attention, also here different definitions are used! (Rama Calaga used a different definition yesterday!)
- Traditionally, the shunt impedance is the quantity to optimize in order to minimize the power required for a given gap voltage.



Cavity Resonator – equivalent circuit









An ideal voltage source with V_0 in series with an inner resistance R_i is equivalent to an ideal current source with $I_0 = V_0/R_i$ in parallel to an inner resistance R_i .

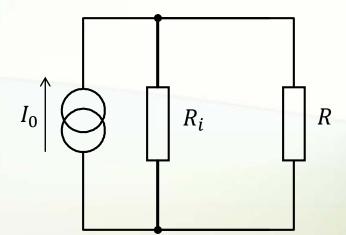
• The available power from this equivalent source is

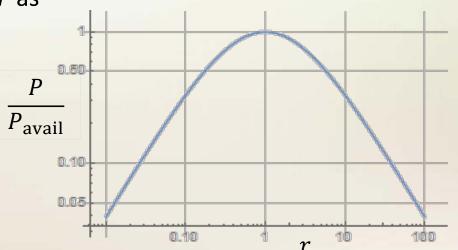
$$P_{\text{avail}} = \frac{V_0^2}{4R_i} = \frac{I_0^2 R_i}{4}.$$

• When connecting this equivalent source to a real load $R = r \cdot R_i$, the power transferred to the load varies as function of r as

$$P = \frac{4 r}{(1+r)^2} P_{\text{avail}}.$$

- All available power is transferred for $R = R_i$; this is called "matching". Less power will be transferred for a mismatch.
- In RF, this is equivalent to a reflected wave; the mismatch is described with a reflection coefficient.







Power coupling - Loaded Q



- Note that the generator inner impedance also loads the cavity for very large Q_0 more than the cavity wall losses.
- To calculate the loaded $Q(Q_L)$, losses have to be added:

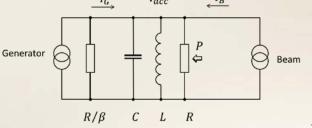
$$\frac{1}{Q_L} = \frac{P_{loss} + P_{ext} + \dots}{\omega_0 W} = \frac{1}{Q_0} + \frac{1}{Q_{ext}} + \frac{1}{\dots}$$

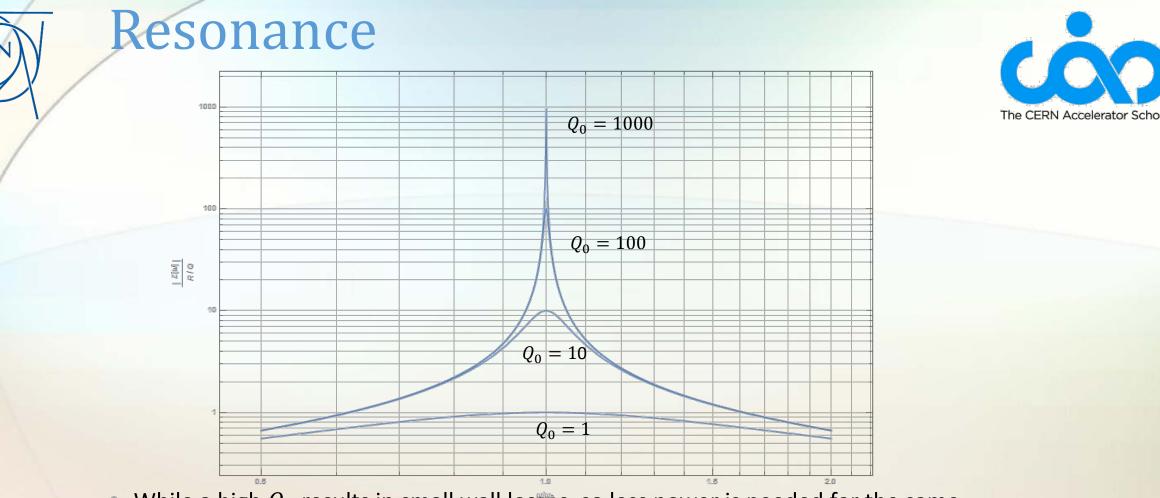
• The coupling factor
$$\beta$$
 is the ratio P_{ext}/P_{loss} .

• With β , the loaded Q can be written

$$Q_L = \frac{Q_0}{1+\beta}.$$

For NC cavities, often $\beta = 1$ is chosen (power amplifier matched to empty cavity); for SC cavities, $\beta = O(10^4 \dots 10^6)$.

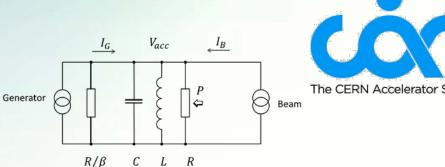


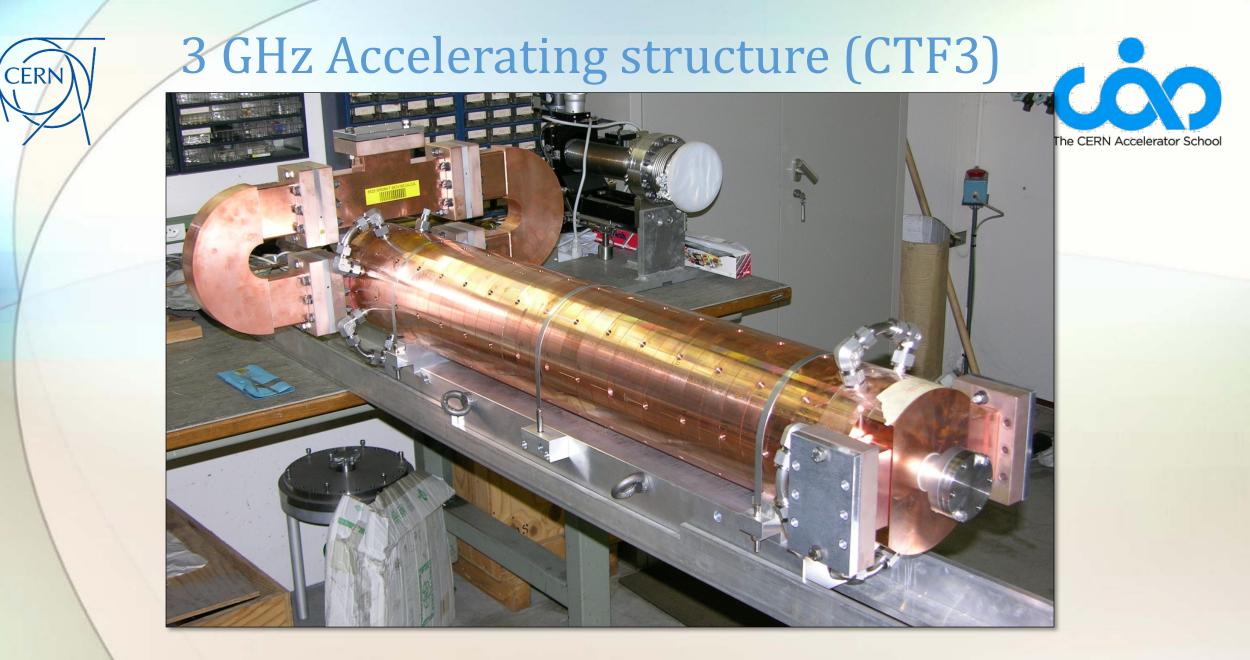


- While a high Q_0 results in small wall losses, so less power is needed for the same voltage.
- On the other hand the bandwidth becomes very narrow.
- Note: a 1 GHz cavity with a Q_0 of 10^{10} has a natural bandwidth of 0.1 Hz!
- ... to make this manageable, Q_{ext} is chosen much smaller!

Beam loading

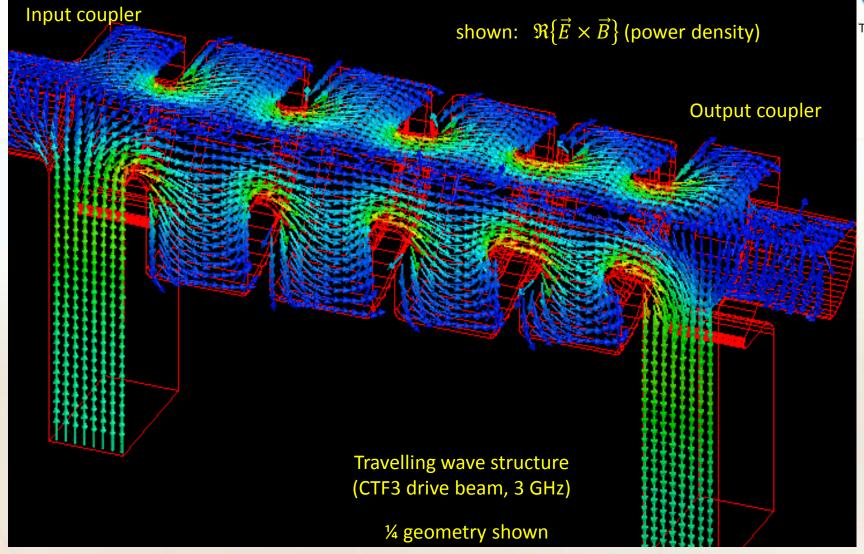
- The beam current "loads" the cavity, in the equivalent circuit this appears as an impedance in parallel to the shunt impedance.
- If the generator is matched to the unloaded cavity $(\beta = 1)$, beam loading will (normally) cause the accelerating voltage to decrease.
- The power absorbed by the beam is $-\frac{1}{2}\Re\{V_{acc}I_B^*\}$.
- For high power transfer efficiency RF → beam, beam loading must be high!
- For SC cavities (very large β), the generator is typically matched to the beam impedance!
- Variation in the beam current leads to transient beam loading, which requires special care!
- Often the "impedance" the beam presents is strongly reactive this leads to a detuning of the cavity.







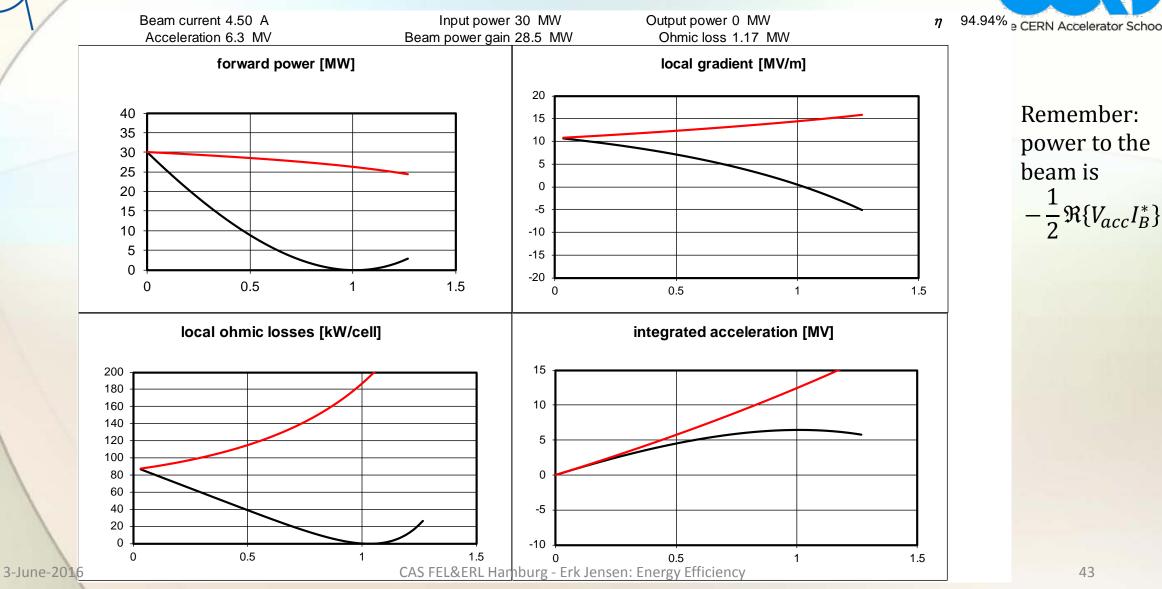
Travelling wave structure





Full beam loading in CTF3 drive beam

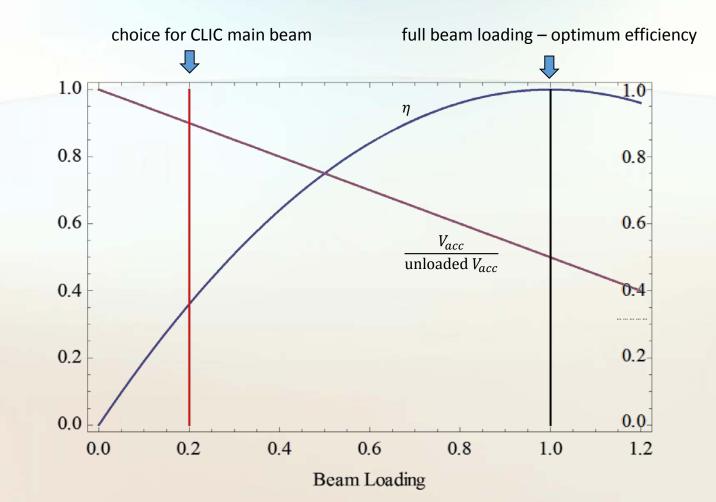
CERN





Compromise: high η vs. high gradient







Cryogenic system

How much power do you need to save power?



What about a cryogenic system?



Heat engine ("steam engine")

mechanical work $= W = \eta \cdot Q_H$

Heat Q_H at T_H

wasted heat Q_C at T_C

Carnot: $\eta \leq 1 - \frac{T_C}{T_H}$

• Reversed: refrigeration system (or heat pump) cooling, Q_C at $T_C = T_{refr}$ $Q_C = \frac{T_C}{T_H} \frac{P}{\eta} = \frac{W}{COP}$ work done $W = COP \cdot Q_C$ Q_H heat at $T_H(=T_{ambient})$

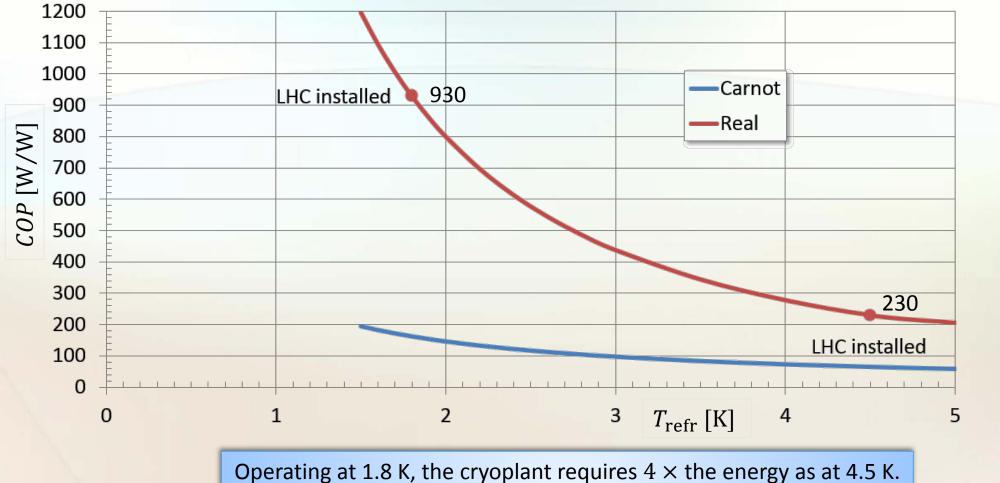
COP: Coefficient of performance: the smaller the better! $COP \ge \frac{T_H}{T_C} - 1$ (Carnot limit) This is the limit when cooling. small T_C requires large COP, i.e. large W!

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Real COP of cryogenic He refrigeration





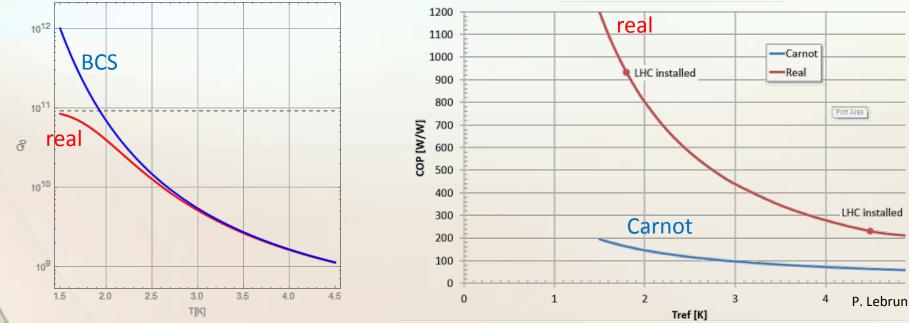
P. Lebrun/CERN



At what *T* to operate a SCRF cavity?



- According to BCS theory (Bardeen-Cooper-Schrieffer, 1957), Q_0 increases with decreasing T (left plot, Nb $T_c = 9.3$ K: BCS: blue, with "residual resistance": red).
- On the other hand, even though the RF losses in SC cavities are very small, they are difficult to extract at low temperature – this is described by the COP (right plot).

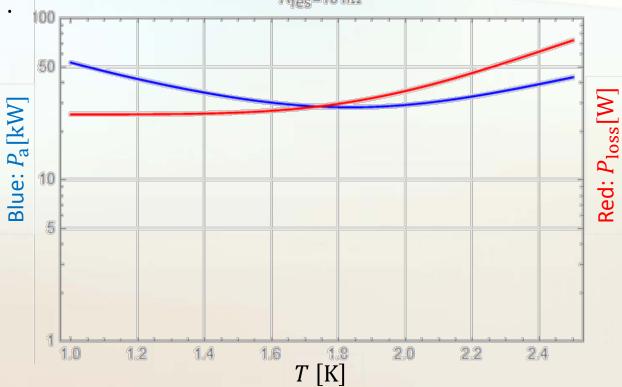




The optimum operating T



Combining these two curves results in an optimum operating T. This is why it is very interesting to investigate **materials with higher** T_C ! ... and to optimize the technology in order to shift the optimum towards higher T.

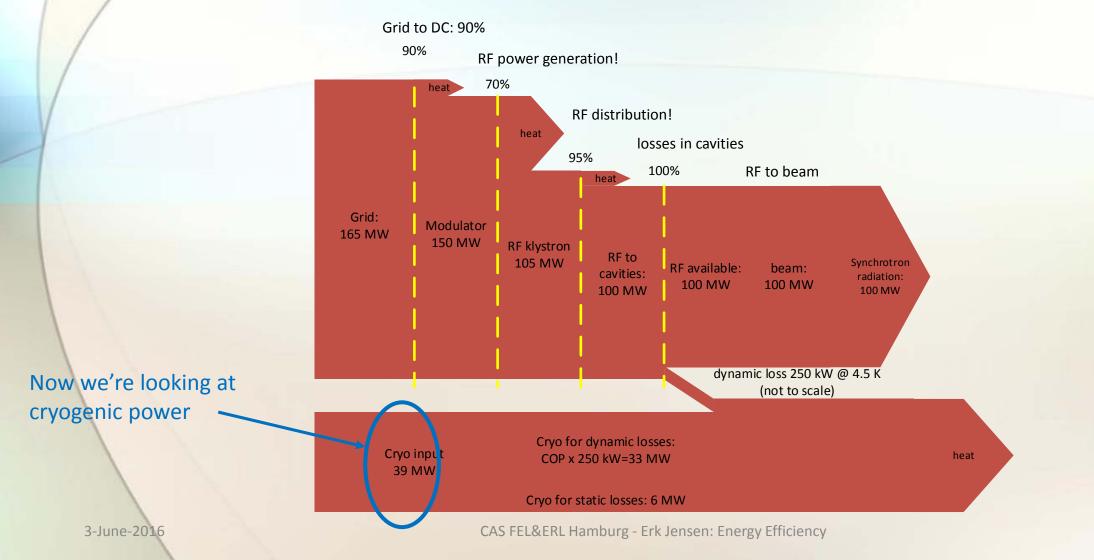


Example: 800 MHz 5-cell cavity for 18 MV, Pa is the cryogenic power at ambient temperature. Thanks: R. Calaga, S. Claudet, P. Lebrun!



Example FCC-*tt*: orders of magnitude

CERN

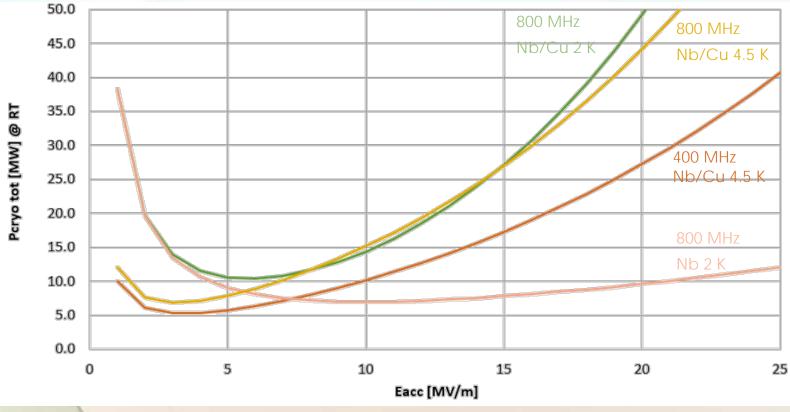




Cryogenic system optimization (1/2)



In the example above (FCC- $t\bar{t}$), 20% of the total power for the RF system is used to cool the cavities – this was already a result of an initial optimization.



These results allowed to converge to baseline parameters! They also indicate:

- With present day technology, to contain cryogenic losses, fields should remain moderate.
- 4.5 K or 2 K operation no significant difference at 800 MHz, 10 MV/m.

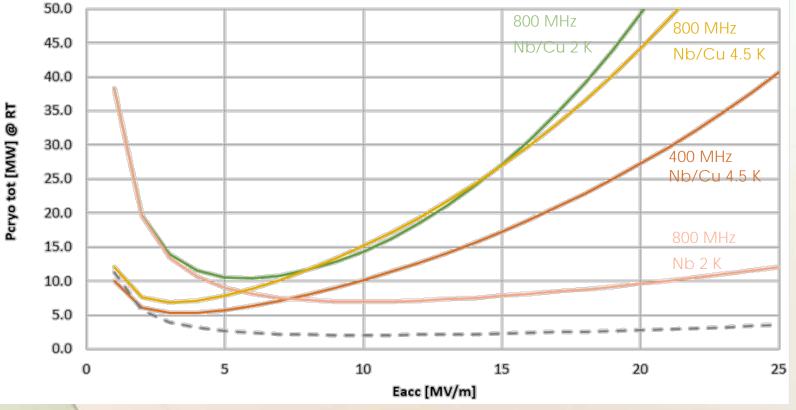
S. Aull, O. Brunner, A. Butterworth, R. Calaga, N. Schwerg, M. Therasse et al.



Cryogenic system optimization (2/2)



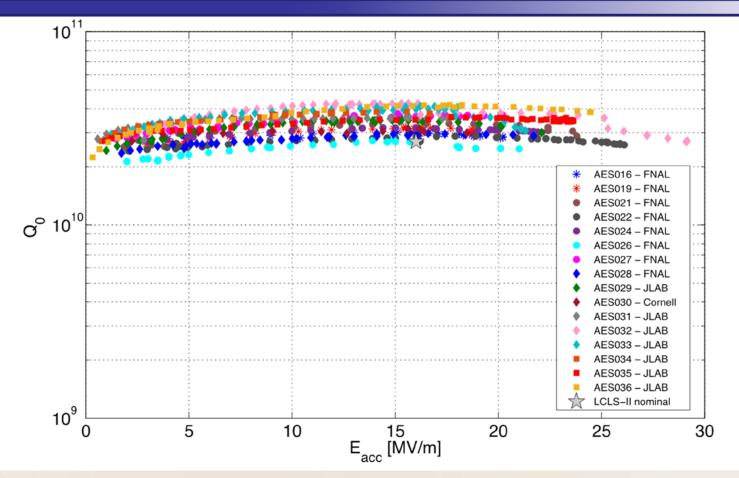
... But this also indicates what significant improvement could be obtained when Nb_3Sn -like (A15) materials can be successfully used!!!



S. Aull, O. Brunner, A. Butterworth, R. Calaga, N. Schwerg, M. Therasse et al.



State of the art: High Q_0 , N₂ doping

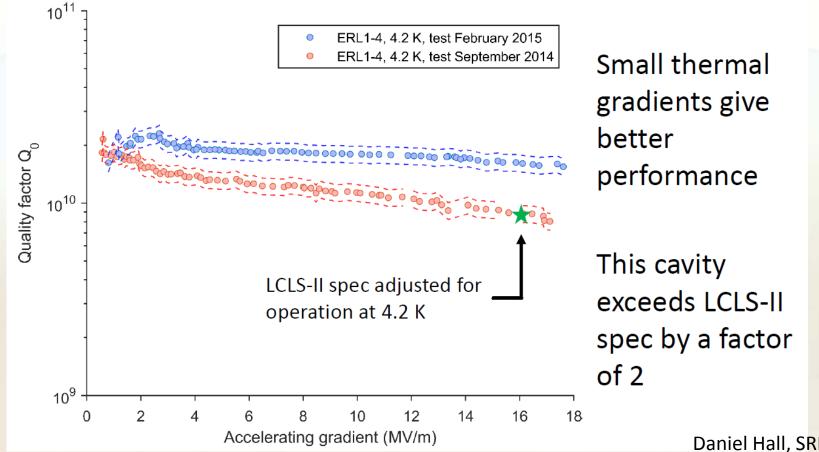


A. Grasselino, SRF2013 & M. Liepe SRF2015



Recent results with Nb₃Sn coated cavities



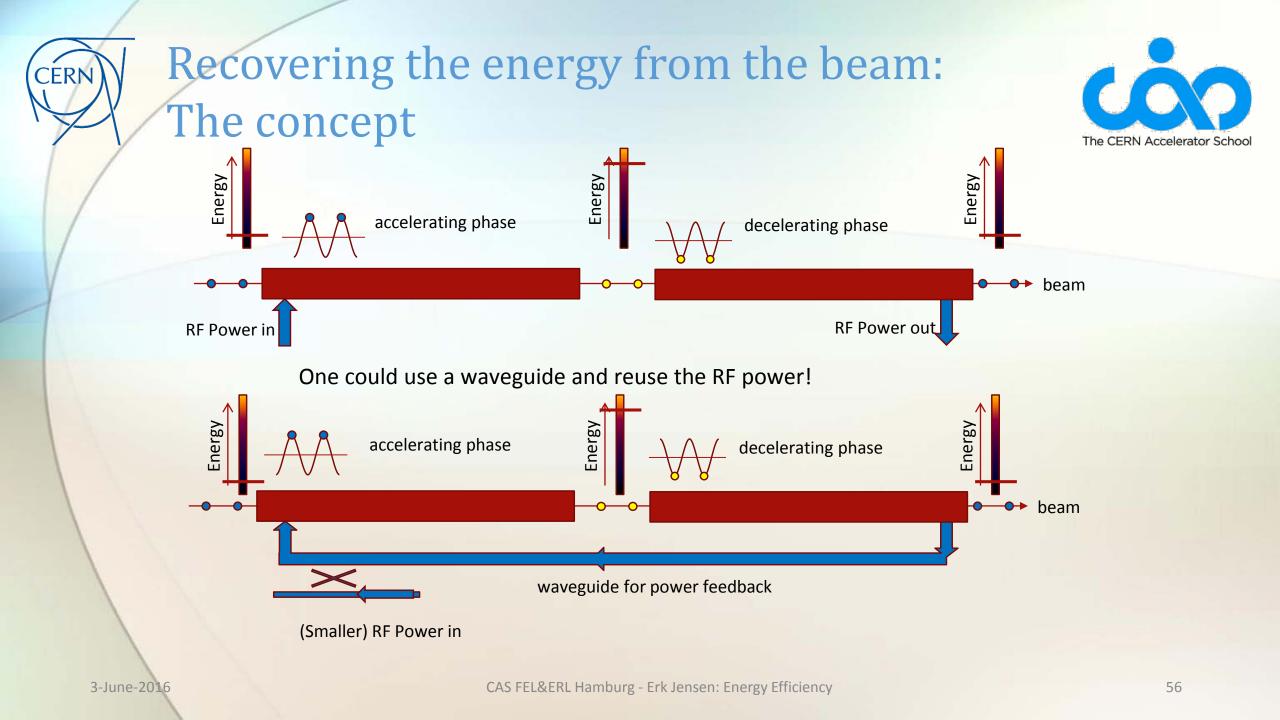


Daniel Hall, SRF 2015, Whistler, CDN



Recovering the beam energy

The "master class" of better energy efficiency

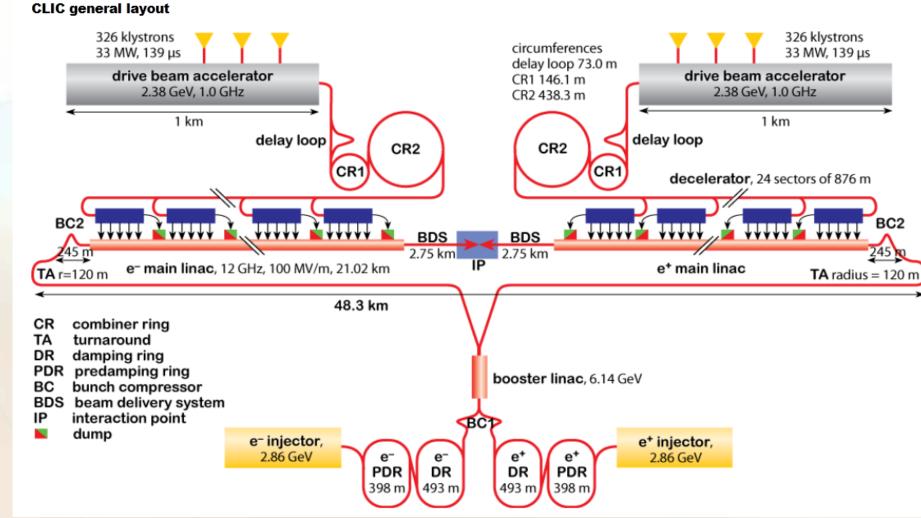




A word about CLIC

In the CLIC scheme, 90% of the drive beam power is recovered (to produce the RF power for the main beam)

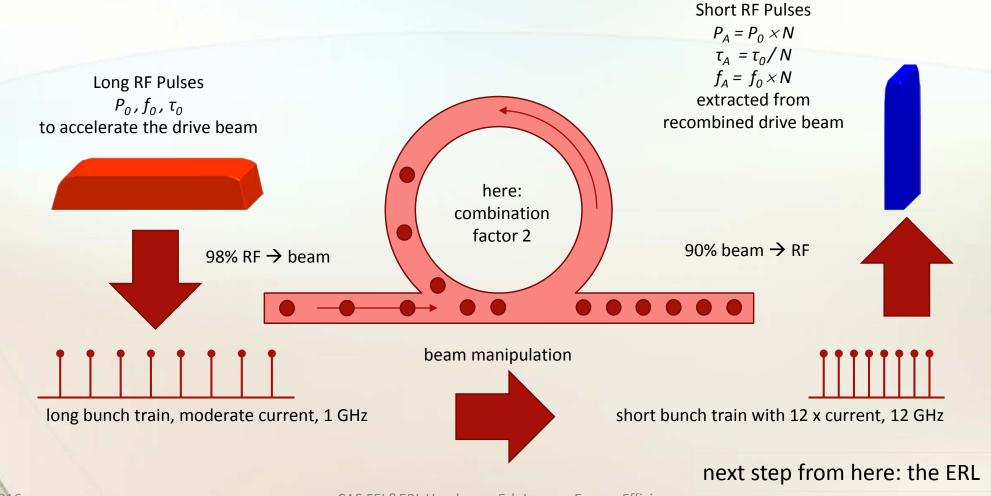






The CLIC power source idea

to main beam



CAS FEL&ERL Hamburg - Erk Jensen: Energy Efficiency



Natural next step: The Energy Recovery Linac

... stay tuned for A. Jankowiak's lecture tomorrow morning



Thank you for your interest!