

# CSR and Microbunching Instability

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- *Coherent Synchrotron Radiation*
  - Longitudinal electric field
  - Transverse emittance growth
  
- *Microbunching Instability*
  - Longitudinal Space Charge
  - Gain
  - Laser Heater
  
- *Challenges in ERL Arcs*

# Credits and References

- **Main References:**

Ya.S. Derbenev et al., *TESLA-FEL 95-05, DESY, Hamburg, Germany (1995)*.

E. L.Saldin, E. A.Schneidmiller, M. V. Yurkov, *NIM A 490, 1 (2002)*.

- **Lectures:**

S. Di Mitri & M. Venturini, *USPAS Course (2013, 2015)*

- **Technical Notes:**

Beam Dynamics Newsletter No. 38 (2005)

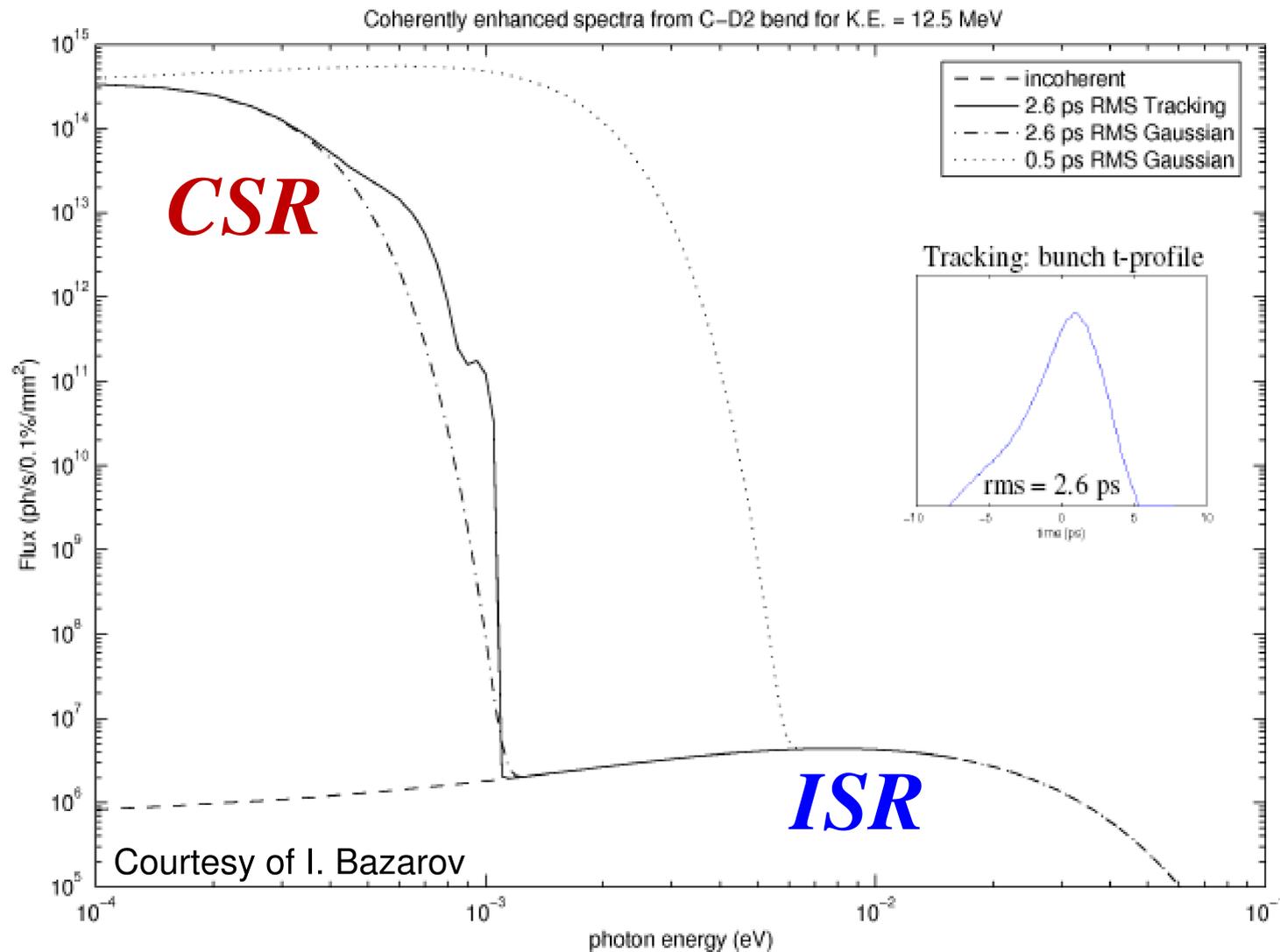
S. Di Mitri & M. Cornacchia, *Physics Reports 539 (2014)*

- **Acknowledgment:**

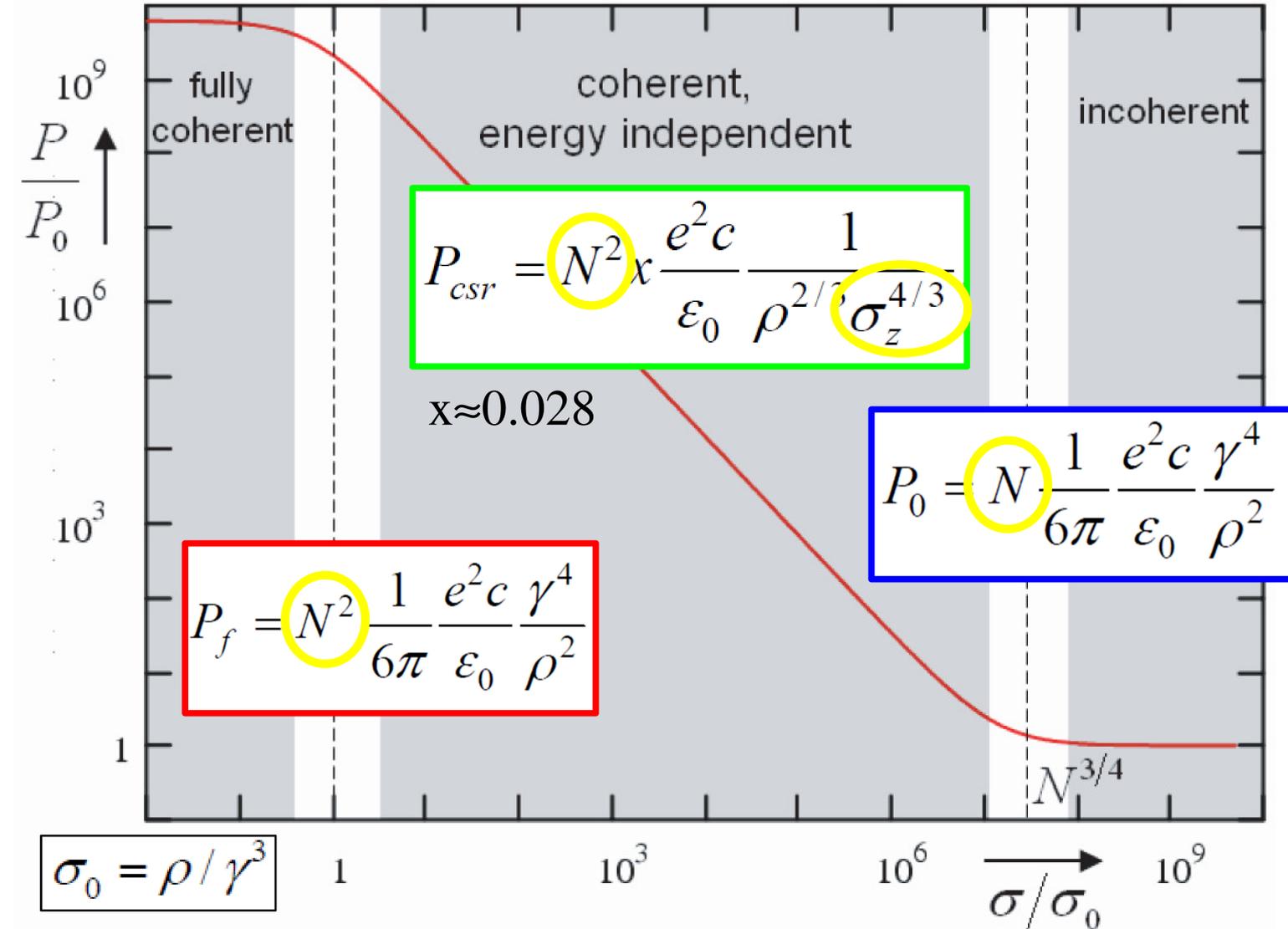
M. Venturini, for valuable guidance and figures

# Radiation Peak Power

For light wavelengths longer than the bunch length, the radiation intensity is coherently enhanced ( $P \propto N^2$ ).



Courtesy of M. Dohlus



For typical bunch lengths, energies and compressors geometry in linacs for FELs,  $P_{CSR}$  is in the "energy-independent" regime (above, Gaussian beam).

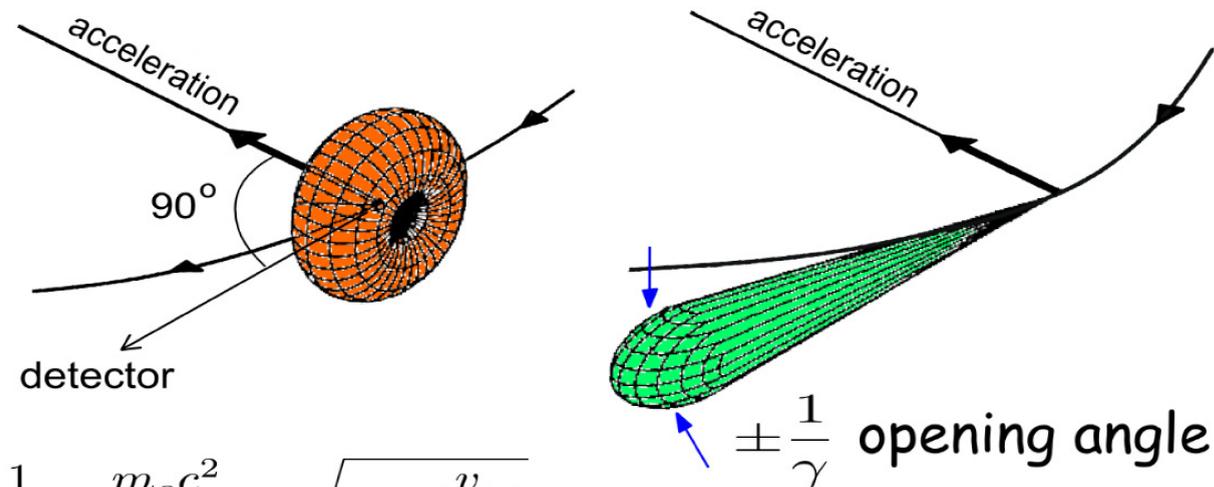
# Radiation Opening Angle

ISR at the critical frequency is emitted in dipoles within an angular cone  $\sim 1/\gamma$  (in the lab frame). What about CSR?

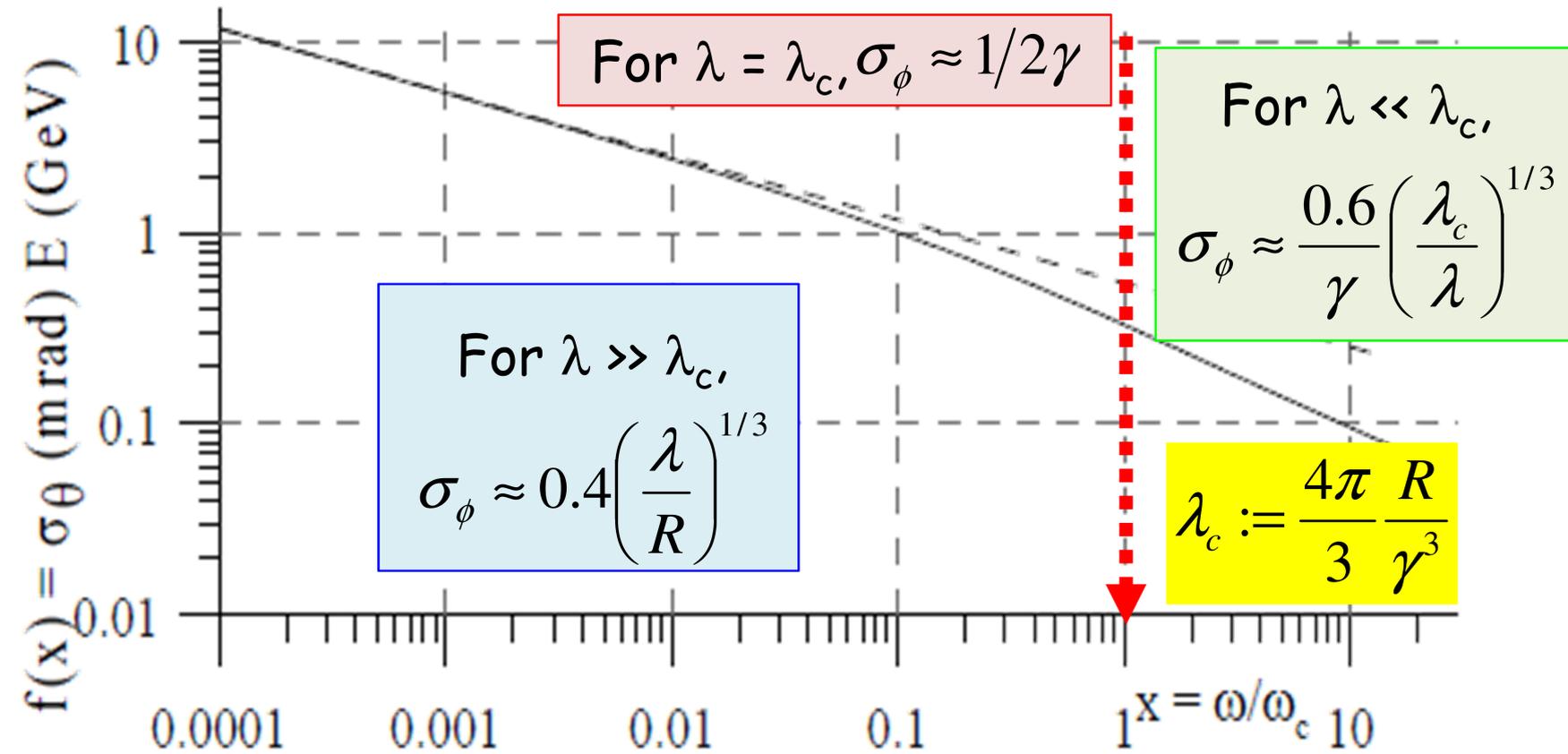
Lorentz-Transformation

Moving frame of electron

Lab frame



$$\frac{1}{\gamma} = \frac{m_0 c^2}{E} = \sqrt{1 - \left(\frac{v}{c}\right)^2}$$



Example (FERMI BC1):

$E = 300 \text{ MeV}$  ( $1/\gamma \approx 1.7 \text{ mrad}$ )

$L = 0.3 \text{ m}, \theta = 100 \text{ mrad}$

$R = 3.0 \text{ m} (B = 0.3 \text{ T}) \rightarrow \lambda_c \approx 60 \text{ nm}$

$l_b = 3 \text{ mm} \rightarrow 0.1 \text{ mm} \rightarrow \lambda_{CSR} \geq 10 \mu\text{m}$

$$\Rightarrow \sigma_{\phi, CSR} \geq 6 \text{ mrad}$$

# CSR Effect on e-Beam

## □ Lienard-Wiechert retarded fields:

“velocity-” or “near-” field

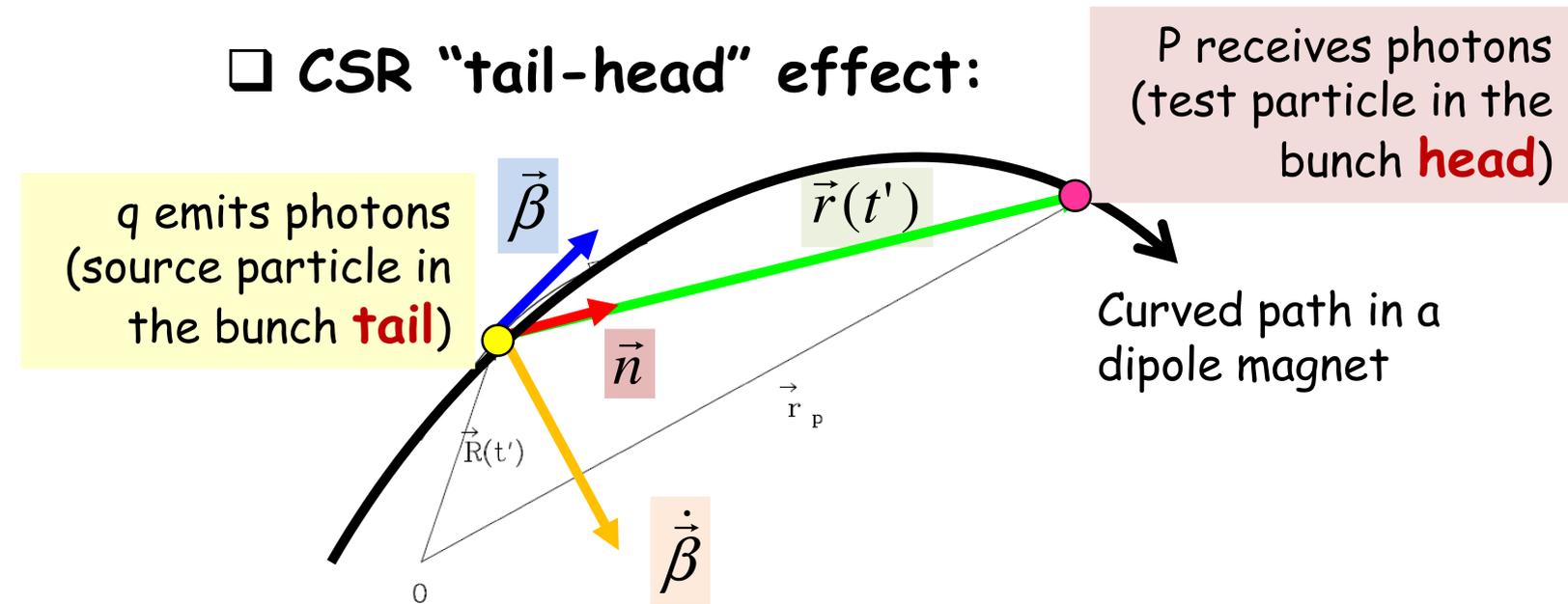
“acceleration-” or “far-” field

$$\vec{E}(t) = \frac{q}{4\pi\epsilon_0\gamma^2} \left[ \frac{\vec{r} - \vec{\beta}}{r^2(1 - \vec{n}\vec{\beta})^3} \right]_{ret} + \frac{q}{4\pi\epsilon_0} \left\{ \frac{\vec{n} \times [(\vec{n} - \vec{\beta}) \times \dot{\vec{\beta}}]}{cr(1 - \vec{n}\vec{\beta})^3} \right\}_{ret}$$

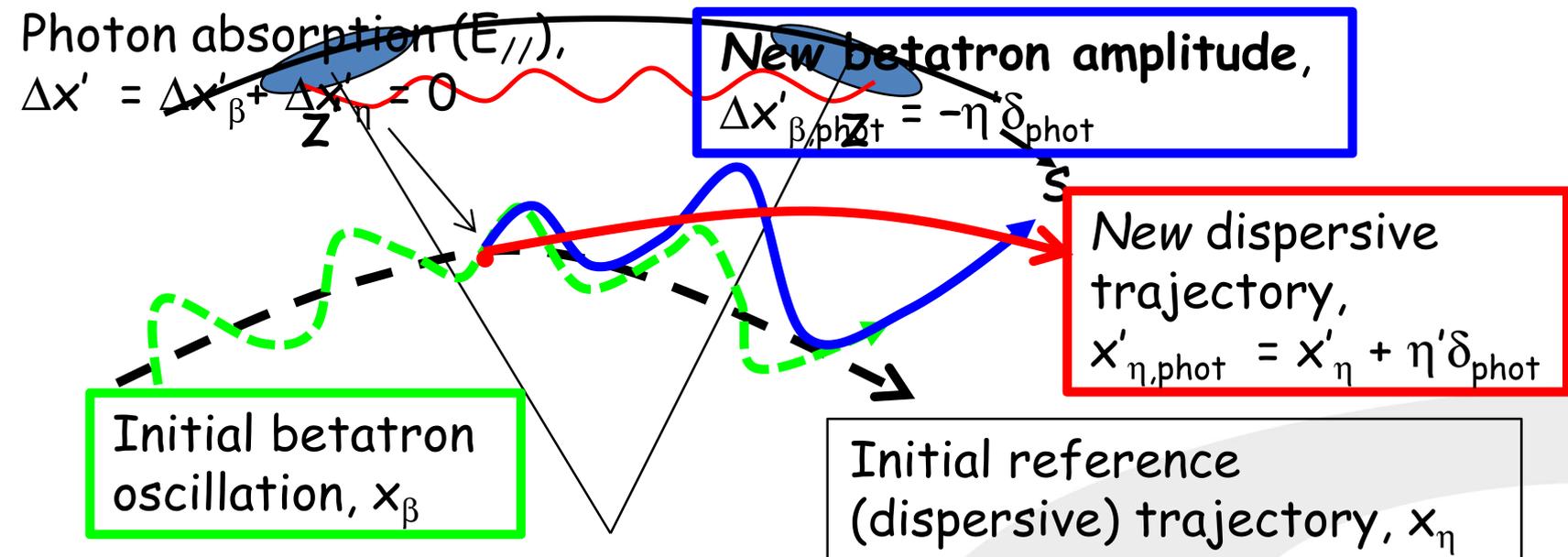
$$\vec{B}(t) = \frac{\vec{n} \times \vec{E}(t)}{c}$$

We consider range of parameters in which the near-field is suppressed by the  $1/(r\gamma)^2$  dependence.

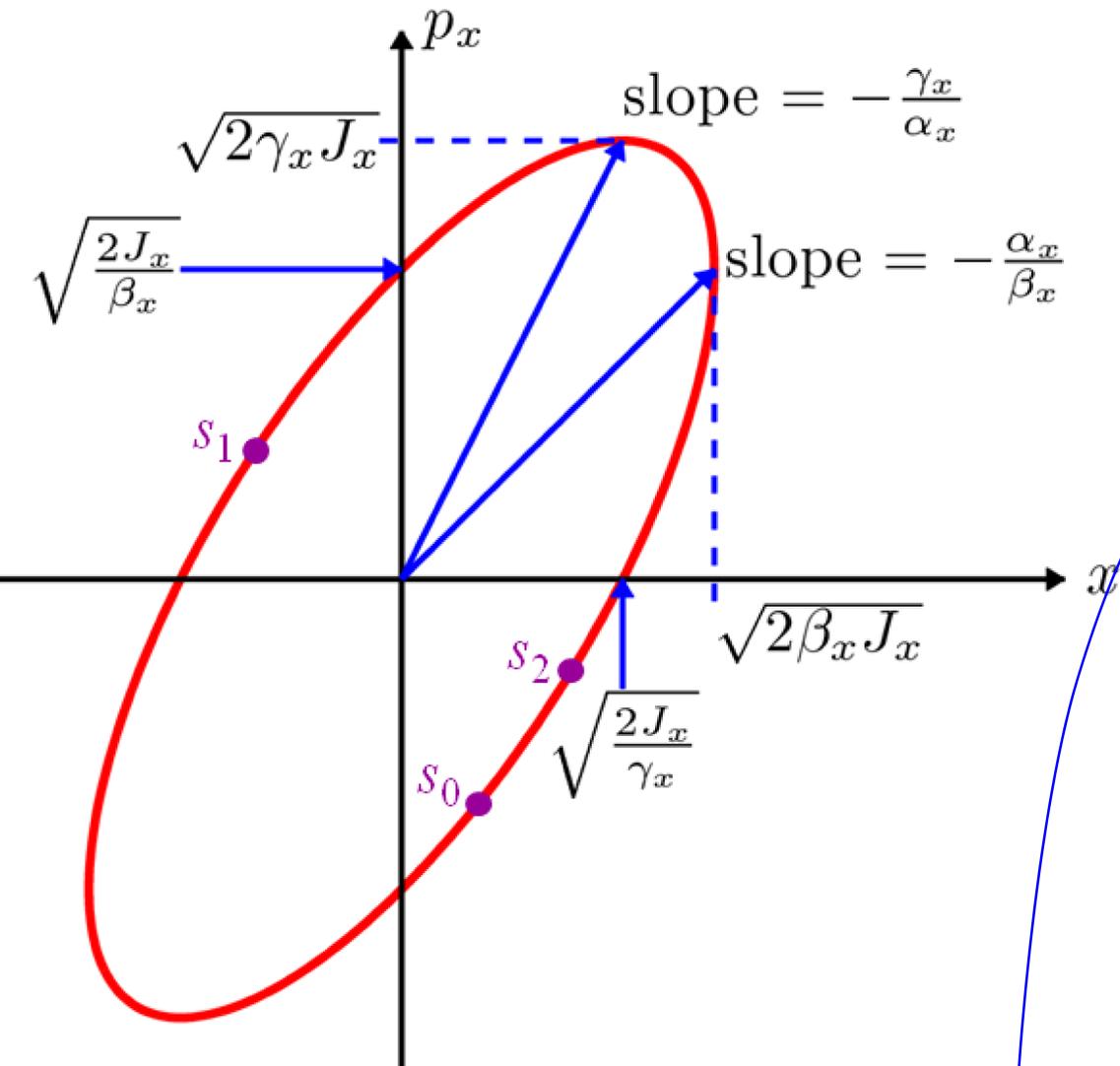
## □ CSR “tail-head” effect:



1. B and E are orthogonal.
2. We are interested to the E(t)-field component  $E_{//}$  along **the longitudinal velocity of a test particle** (“observer”).
3. Since  $E_{//}$  **changes  $p_z$**  of a test particle **in a dispersive region** (e.g., in a dipole magnet), the particle transverse motion is also perturbed.



# Phase Space Ellipse



**For a particle beam,**  
 **$2J_x \rightarrow \epsilon_x$ , EMITTANCE**

- Twiss parameters and 2<sup>nd</sup> order beam momenta are connected:

$$\langle x_\beta^2 \rangle = \beta_x \epsilon_x \quad \langle x'_\beta{}^2 \rangle = \gamma_x \epsilon_x \quad \langle x_\beta x'_\beta \rangle = -\alpha_x \epsilon_x$$

$$\epsilon_x = \sqrt{\langle x_\beta^2 \rangle \langle x'_\beta{}^2 \rangle - \langle x_\beta x'_\beta \rangle^2} \quad \text{RMS EMITTANCE}$$

- Particle coordinates transform according to:

$$\begin{aligned} x(s) &= x_\beta(s) + R_{16}(s_0 \rightarrow s) \delta(s) \equiv x_\beta + \Delta x \\ x'(s) &= x'_\beta(s) + R_{26}(s_0 \rightarrow s) \delta(s) \equiv x'_\beta + \Delta x' \end{aligned} \quad \text{Superposition of Betatron motion + Dispersive motion}$$

~ **Energy dispersion functions**      **Change of longitudinal momentum by absorption of radiation**

- For a single "energy-kick" at  $s$ , the beam horizontal emittance becomes:

$$\epsilon_x^2 = \epsilon_{x,0}^2 + \epsilon_{x,0} \left( \beta_x \langle \Delta x'^2 \rangle + 2\alpha_x \langle \Delta x \Delta x' \rangle + \gamma_x \langle \Delta x^2 \rangle \right) + \left( \langle \Delta x^2 \rangle \langle \Delta x'^2 \rangle - \langle \Delta x \Delta x' \rangle^2 \right)$$

# Projected Emittance Growth

- If  $\delta$  is due to independent (i.e., z-uncorrelated or "incoherent") photon emissions, the emittance growth is a non-reversible process:

$$\mathcal{E}_x^2 \propto \langle \Delta x^2 \rangle_{inc} = \int_{s_0}^s ds' R_{16}^2(s') \frac{d\sigma_\delta^2(s')}{ds'} ds'$$

- $\sigma_\delta$  is the sum of uncorrelated energy-kicks along the bunch.
- Integral is always positive (similar for  $\Delta x'$ ).

- If  $\delta$  is correlated with z along the bunch - that applies to CSR - the emittance growth can be made *small or null*:

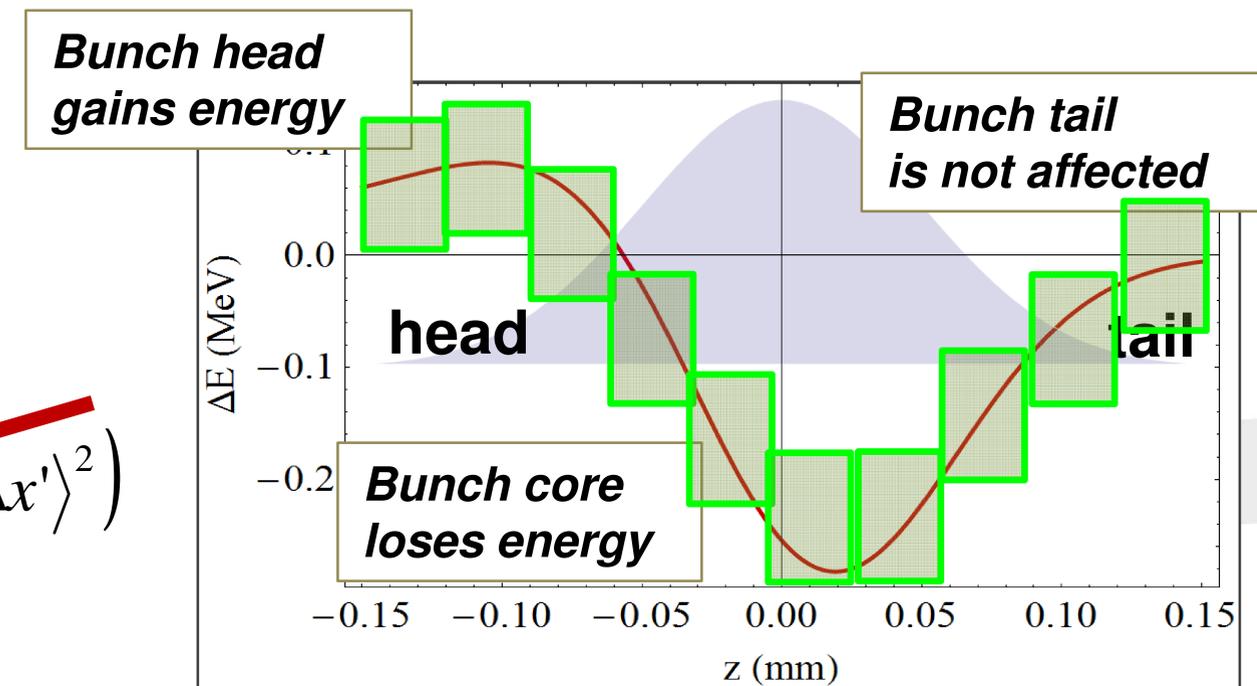
$$\mathcal{E}_x^2 \propto \langle \Delta x^2 \rangle_{csr} = \left[ \int_{s_0}^s ds' R_{16}(s') \frac{d\sigma_\delta(z, s')}{ds'} ds' \right]^2$$

- $\sigma_\delta$  is correlated with z along the bunch.
- We may design an optics that makes the integral small or zero (similar for  $\Delta x'$ ).

- Longitudinal bunch slices follow different trajectories:
  - each slice acquires a new betatron invariant,
  - the beam **projected emittance** (integrated over z) **grows...**,
  - ...although the *slice* emittance might still be preserved.

$$\mathcal{E}_x^2 = \mathcal{E}_{x,0}^2 + \mathcal{E}_{x,0} \left( \beta_x \langle \Delta x'^2 \rangle + 2\alpha_x \langle \Delta x \Delta x' \rangle + \gamma_x \langle \Delta x^2 \rangle \right) + \left( \langle \Delta x^2 \rangle \langle \Delta x'^2 \rangle - \langle \Delta x \Delta x' \rangle^2 \right)$$

*Since  $\Delta x$  and  $\Delta x'$  from CSR field are correlated, this goes to 0.*



# Retarded Electric Field (Longitudinal)

For  $\theta \ll 1$ ,  $\vec{E}(t) \approx \vec{E}_\perp$ , i.e., the field direction is as if it originated from position D of the bunch line charge, at the retarded time t:

$$\vec{E}(t) \approx \frac{q}{4\pi\epsilon_0} \left\{ \frac{\vec{n} \times [(\vec{n} - \vec{\beta}) \times \dot{\vec{\beta}}]}{cr(1 - \vec{n}\vec{\beta})^3} \right\}_{ret} \rightarrow (\theta \ll 1) E_\perp = \frac{\lambda_z}{2\pi\epsilon_0 d}$$

The maximum length of electron-field *interaction* as the bunch moves along the dipole, is the electron-photon **slippage** (also, "overtaking length"):

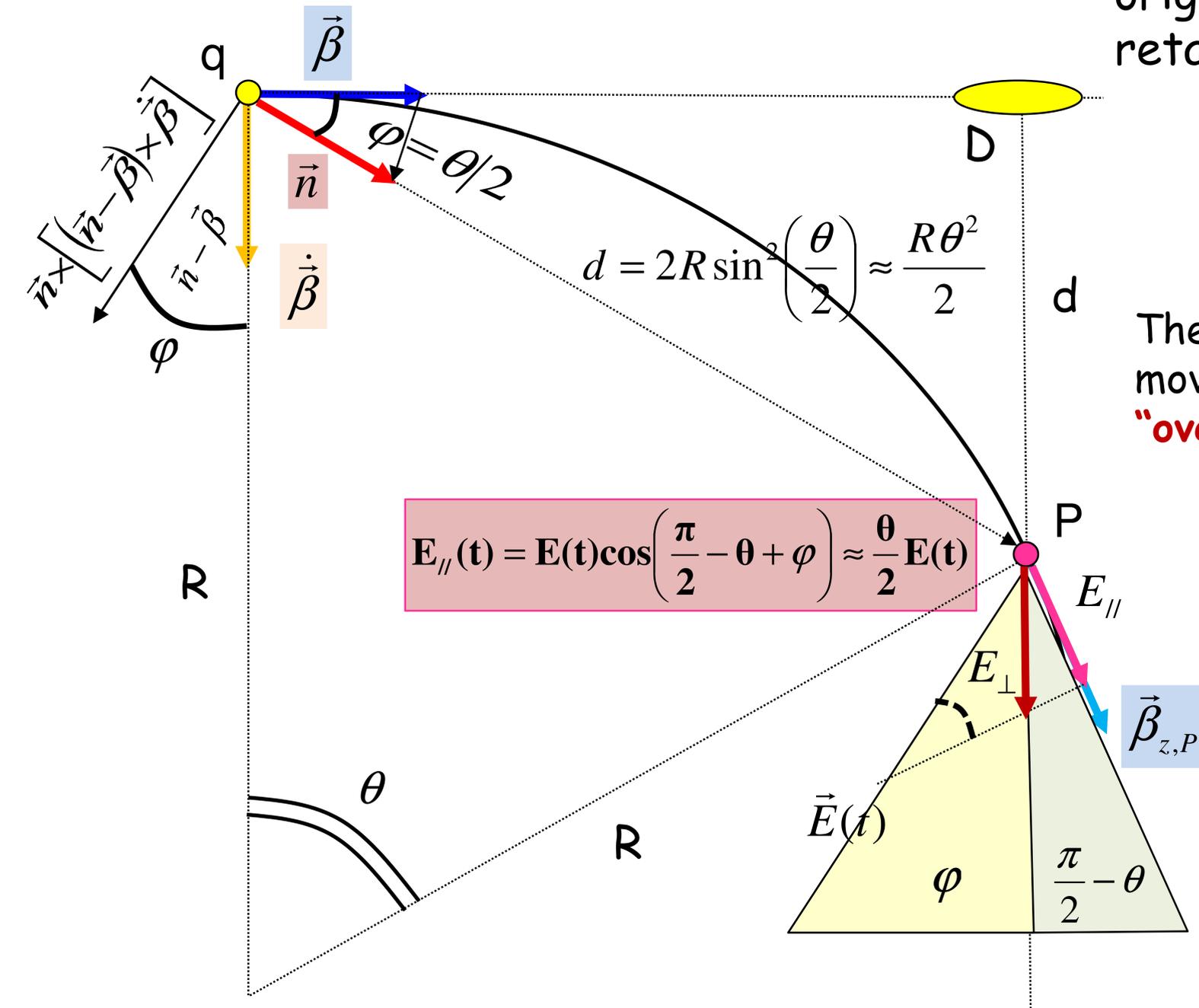
$$s = R\theta - 2R \sin(\theta/2) \approx \frac{R\theta^3}{24}$$

The **longitudinal electric field at P** becomes (use defs. for "s(θ)" and "d(θ)"):

$$E_{||} \approx E_\perp \theta = \frac{\lambda_z \theta}{2\pi\epsilon_0 d} \approx \frac{1}{3^{1/3} 2\pi\epsilon_0} \frac{\lambda_z}{R^{2/3} s^{1/3}}$$

The **single particle energy loss per unit length** is (uniform charge distribution,  $\lambda_z = Q/l_b$ ):

$$\frac{dE_e}{dz} \approx - \frac{1}{3^{1/3} 2\pi\epsilon_0} \frac{eQ}{R^{2/3} l_b^{4/3}}$$



# CSR-Induced Energy Loss

- Two particles on same circular path in a dipole magnet (**1-D model**),
- $\gamma^3 s/R \gg 1$  (**steady-state approximation**),

ENERGY CHANGE ALONG BUNCH, per METER:

$$\frac{dU_e(z)}{dz} \cong - \frac{Ne^2}{3^{1/3} 2\pi\epsilon_0} \frac{1}{R^{2/3}} \int_z^\infty \frac{dz'}{(z' - z)^{1/3}} \frac{d\lambda(z')}{dz'}$$

Current spikes or fast rises enhance the z-CSR field.

- Gaussian bunch:

$$U_{tot} = -0.028 \times e^2 Z_0 c N \frac{\theta R^{1/3}}{\sigma_z^{4/3}} = -0.16 \text{ MeV} \quad \text{Total energy loss}$$

$$\langle \delta \rangle_{csr} \simeq -0.35 \times r_e \frac{N \theta R^{1/3}}{\gamma \sigma_z^{4/3}} = 0.20\% \quad \text{Relative mean energy loss}$$

$$\sigma_{\delta, csr} \simeq 0.7 \times |\langle \delta \rangle_{csr}| = 0.14\% \quad \text{Relative rms energy spread}$$

$$\begin{aligned} \sigma_z &= 50 \mu\text{m} \\ Q &= 300 \text{ pC} \\ L_B &= 1 \text{ m} \\ \theta_B &= 10^\circ \\ R &= 5.7 \text{ m} \\ I_{pk} &= 715 \text{ A} \\ E &= 700 \text{ MeV} \end{aligned}$$

CSR effect is larger for:

- high charge
- low beam energy
- short bunch length
- large bending angle

# Single Kick in a 4-Dipoles Chicane

□ In a 4-dipoles chicane, the CSR effect is **stronger** in the last dipole, where the bunch is **shorter**:

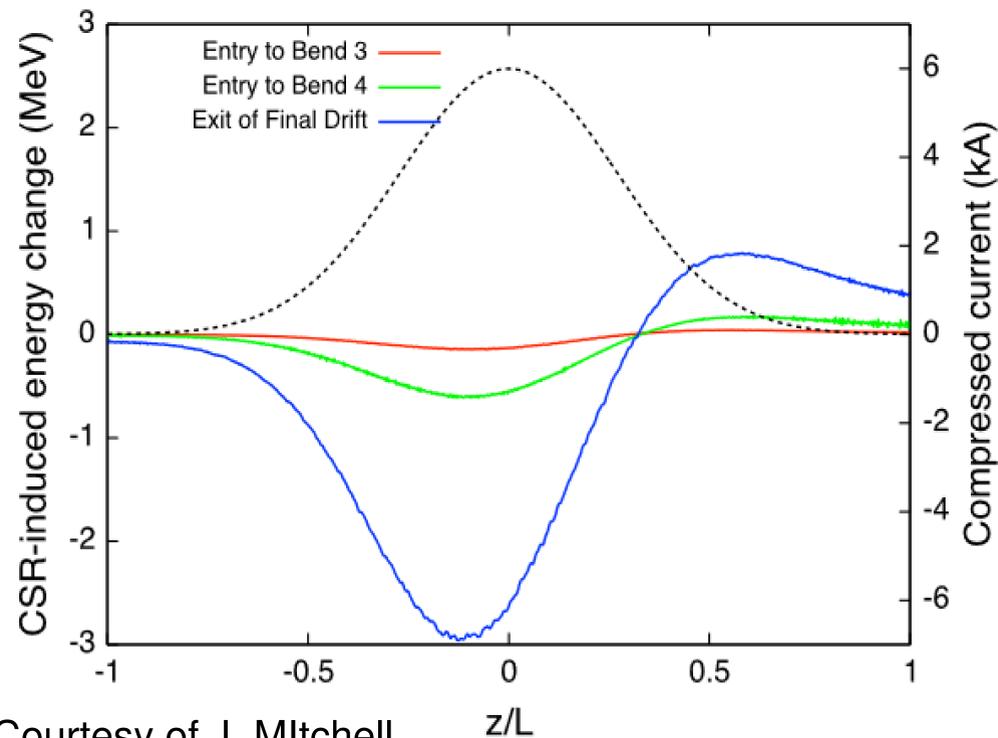
$$\varepsilon_x^2 = \varepsilon_{x,0}^2 + \varepsilon_{x,0} \left( \beta_x \langle \Delta x'^2 \rangle + 2\alpha_x \langle \Delta x \Delta x' \rangle + \gamma_x \langle \Delta x^2 \rangle \right) \rightarrow \varepsilon_x^2 = \varepsilon_{x,0}^2 + \varepsilon_{x,0} H_x(s) \sigma_{\delta,CSR}^2(s) \rightarrow \approx \varepsilon_{x,0}^2 + \varepsilon_{x,0} \left( \tilde{\beta}_x \theta^2 \sigma_{\delta,CSR}^2 \right)_{4th\ dipole}$$

$$\langle \Delta x^2 \rangle = \eta_x^2 \sigma_{\delta,CSR}^2, \quad \langle \Delta x'^2 \rangle = \eta_x'^2 \sigma_{\delta,CSR}^2$$

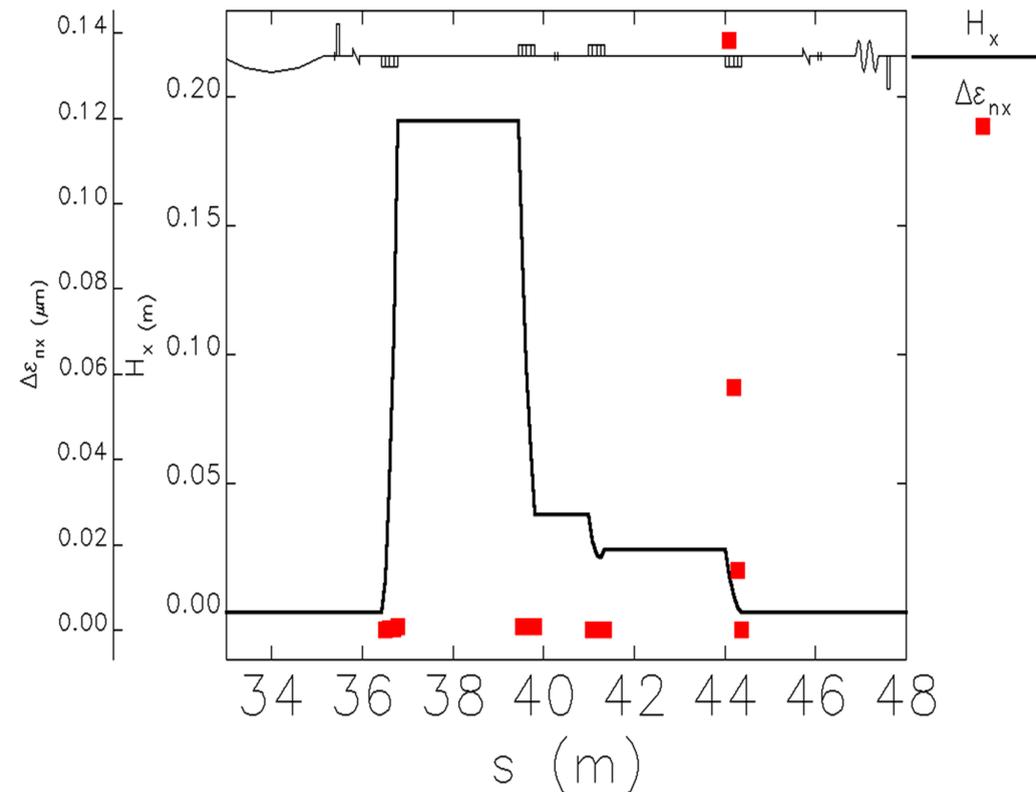
$$H_x \equiv \left[ \eta_x^2 + (\beta_x \eta_x' + \alpha_x \eta_x)^2 \right] / \beta_x$$

$\beta_x$  minimum at 4<sup>th</sup> dipole,  $\theta \ll 1$

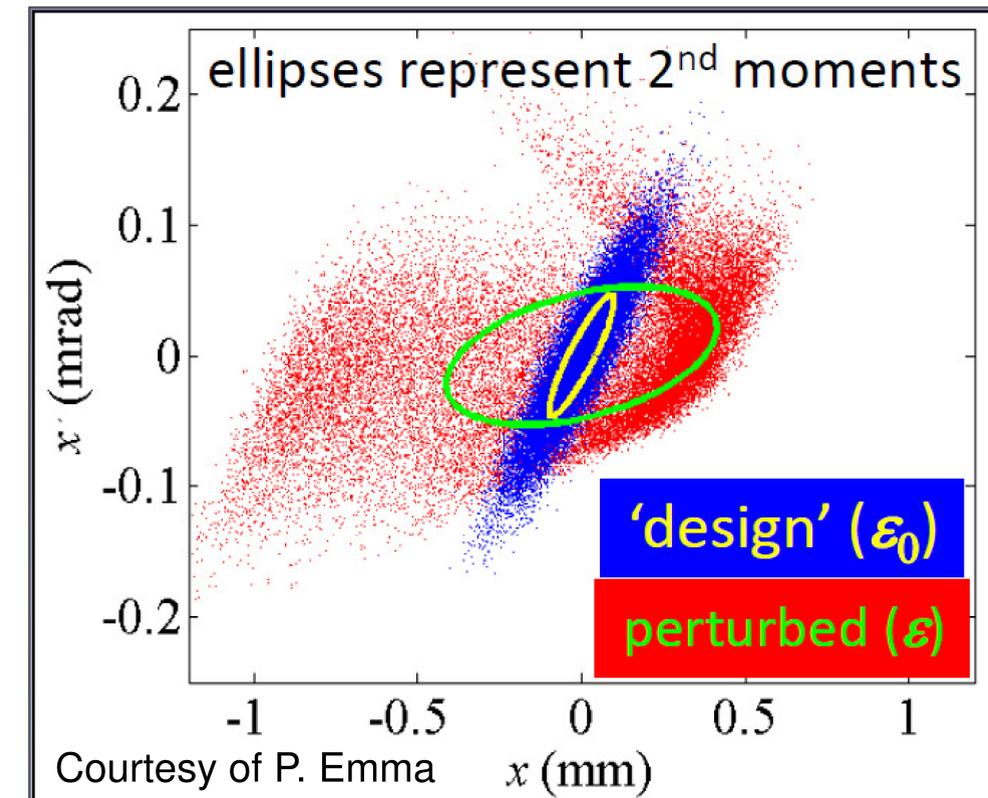
## Energy loss at chicane's dipoles



## Projected emittance along chicane



## Phase space at chicane's exit



Courtesy of J. Mitchell

# Multiple Kicks in an Isochronous Line

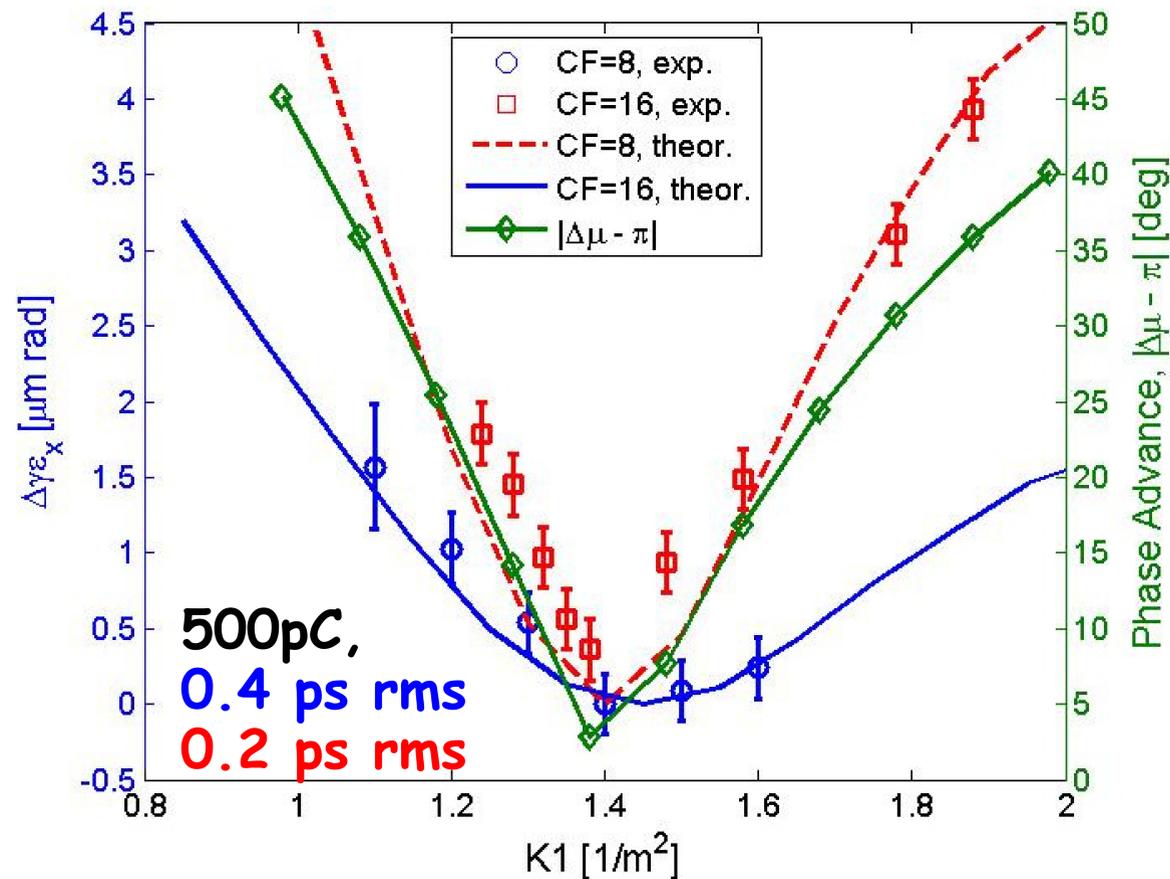
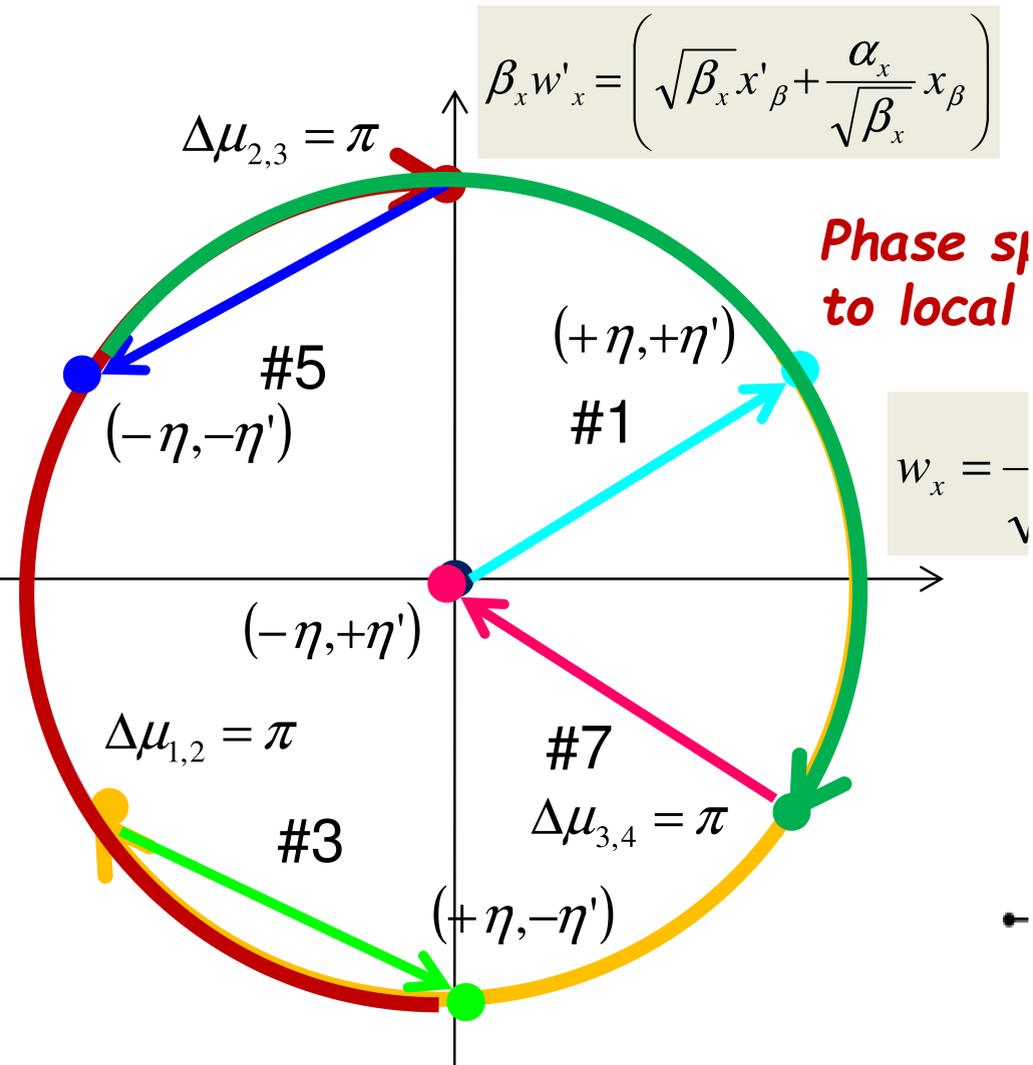
- If the bunch has **same shape and length** at identical dipoles, the CSR energy kick is the same at all points of emission.

$$\epsilon_x^2 = \epsilon_{x,0}^2 + \epsilon_{x,0} \left( \beta_x \langle \Delta x'^2 \rangle + 2\alpha_x \langle \Delta x \Delta x' \rangle + \gamma_x \langle \Delta x^2 \rangle \right)$$

$$\epsilon_x^2 = \epsilon_{x,0}^2 + \epsilon_{x,0} H_x(s_1) \sigma_{\delta,CSR}^2 X(\alpha_x, \beta_x, \Delta\mu_x)_{s_f}$$

This is at the first location of CSR emission (e.g., first dipole).

This is function of Twiss parameters along the line.

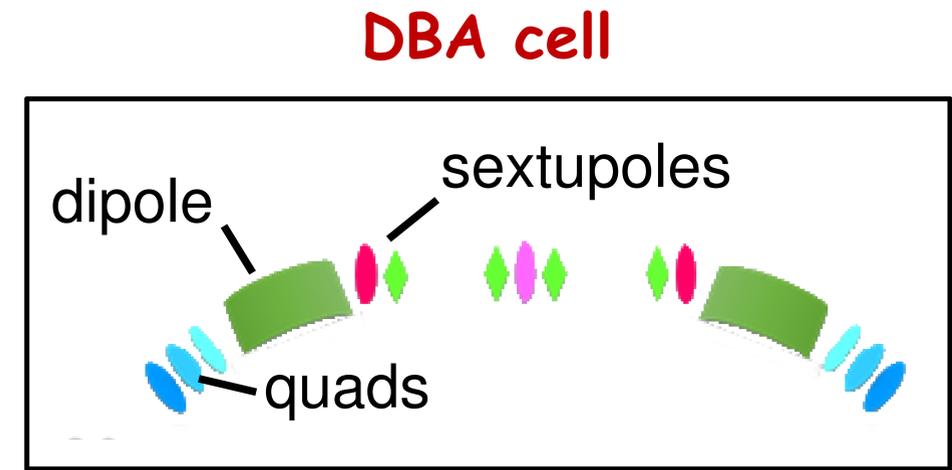


## THEOREM:

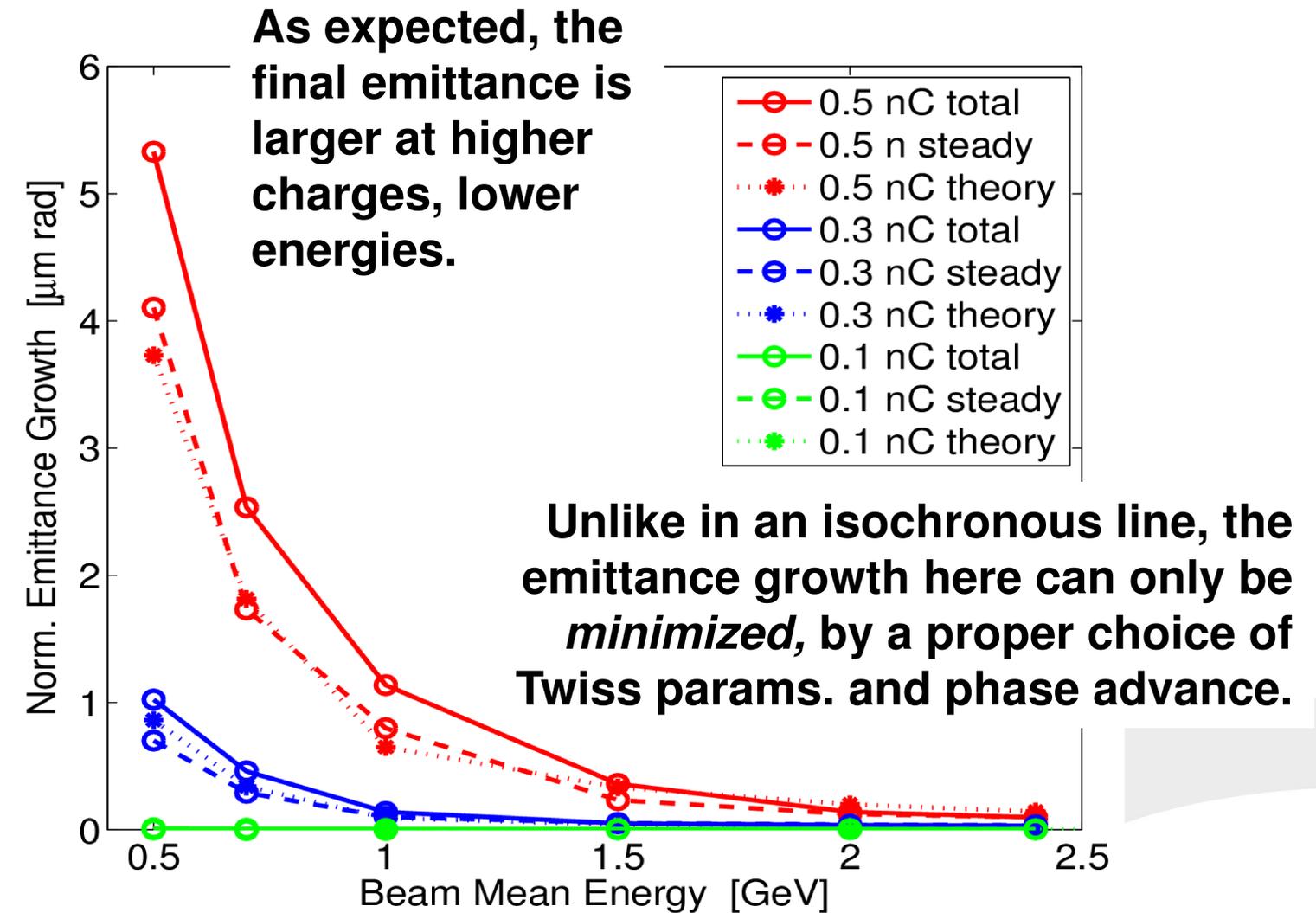
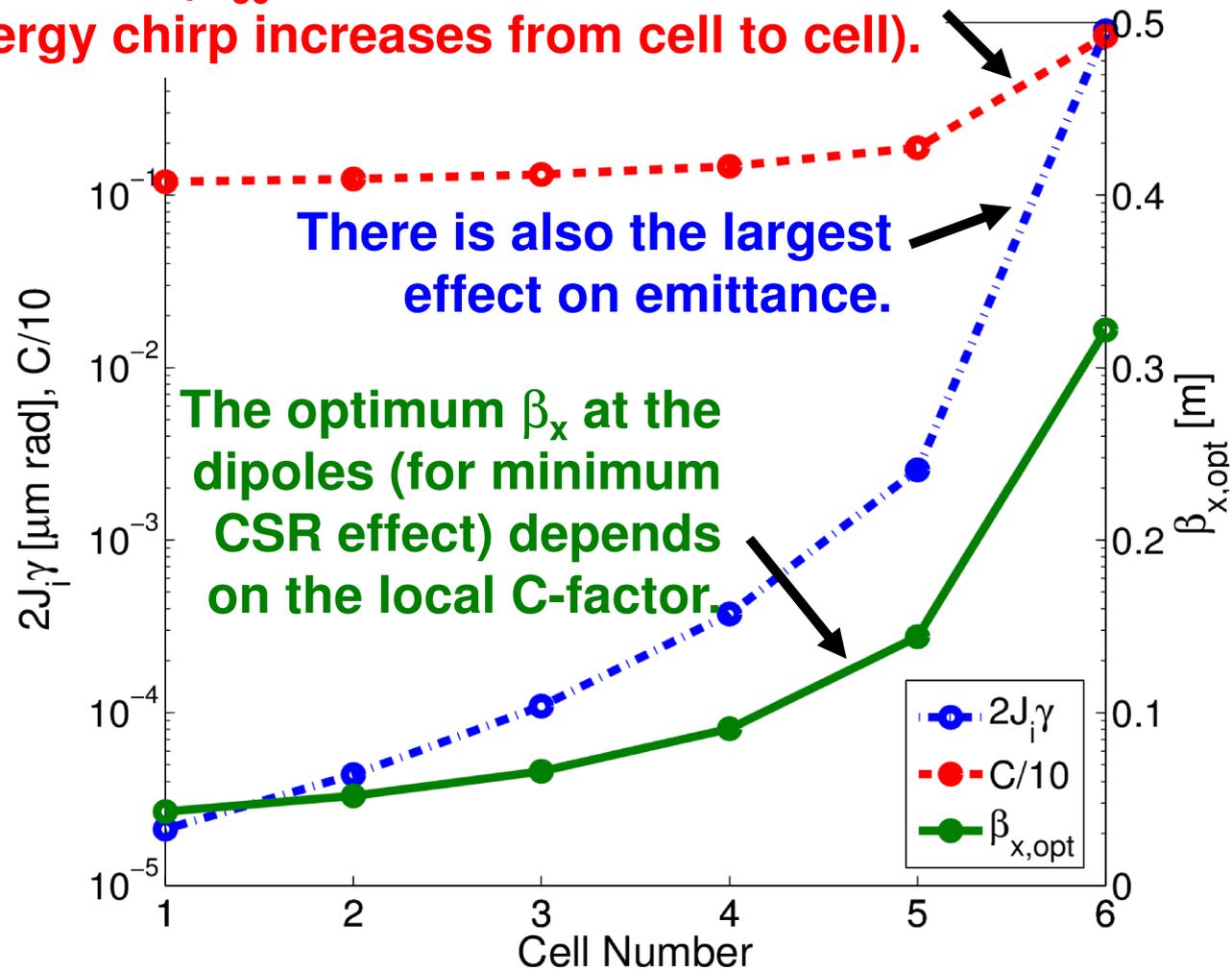
- If optics (Twiss and dispersion) is (anti-)symmetric at dipoles' location,  $X_{if} = 0$  for  $\Delta\mu_x = (2)\pi$  between dipoles.

# Arc Compressor

- Extend the multiple-kick scenario to the case of varying bunch length, e.g., the beam has an initial energy chirp and is **compressed** in a **180 deg arc (= 6 DBA cells) with nonzero  $R_{56}$** .

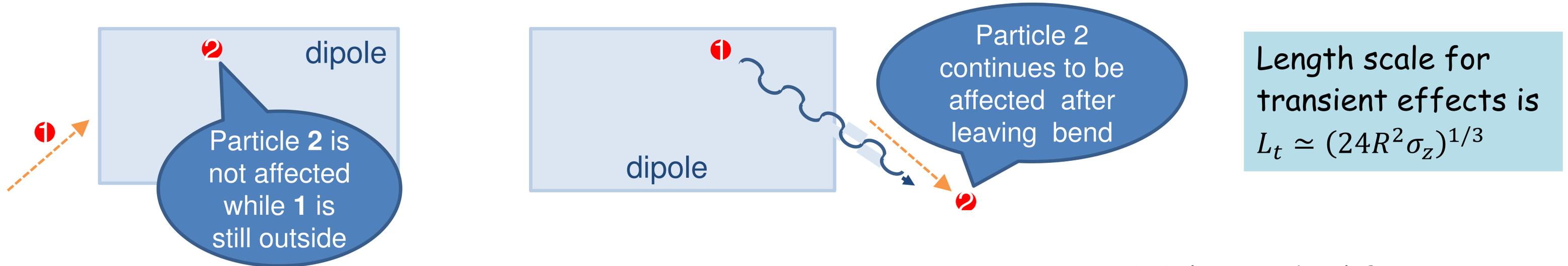


Most of compression work happens in the very last cell ( $R_{56}$  is same for all cells, while the energy chirp increases from cell to cell).

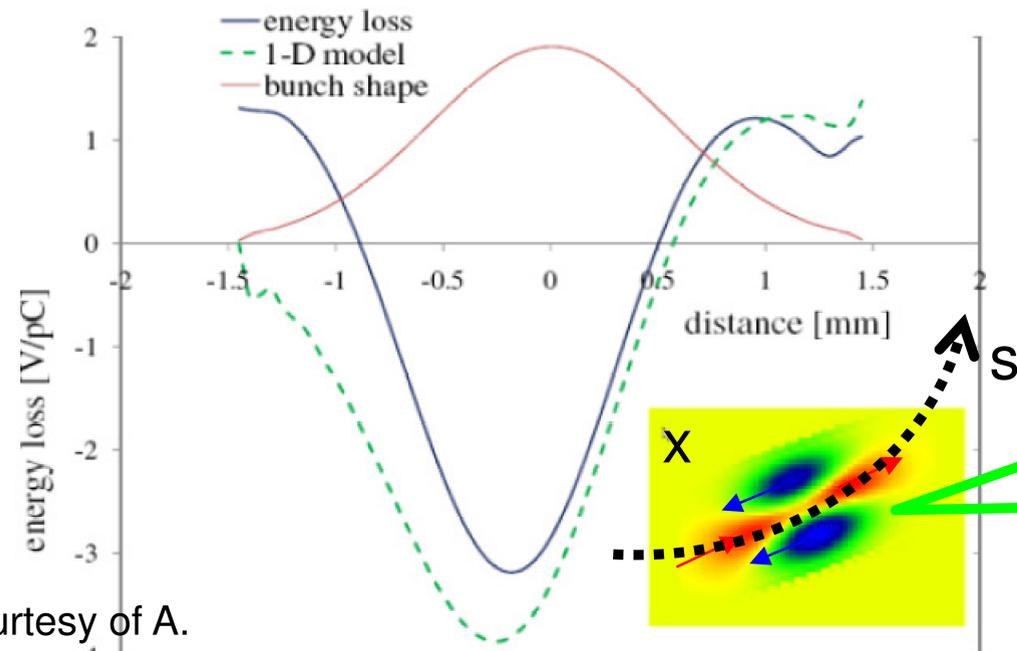


# Limitations to the 1-D, Steady-State Model

□ Steady-state model doesn't account for **transient effects** (in and out of dipoles):



□ 1-D model does not account for CSR field **radial dependence**:



Transverse effects become important when  $\sigma_{\perp} \gg (R\sigma_z^2)^{1/3}$

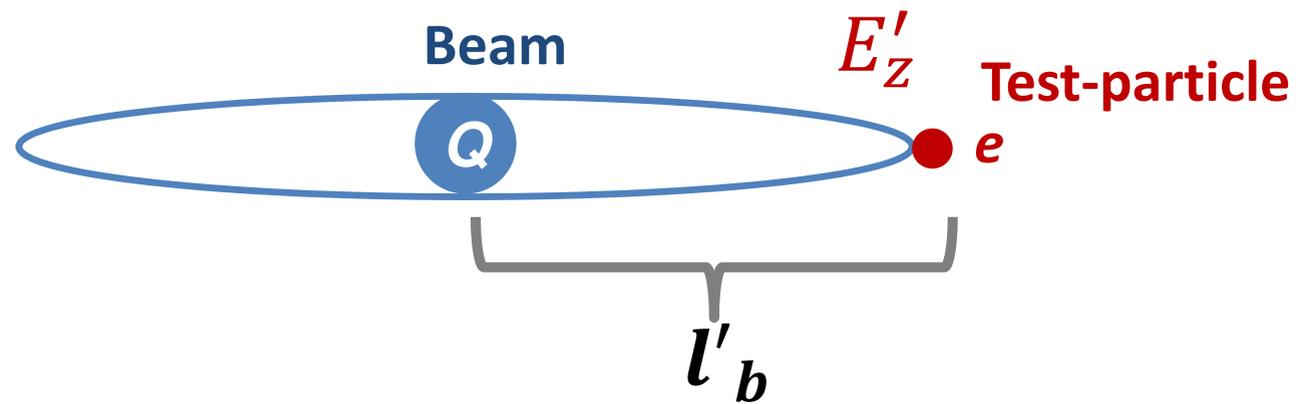
2-D CSR field modifies the beam energy distribution. Energy spread is correlated both along z and x.

- CSR longitudinal force is usually far more important than the transverse one.
- 1-D model including transient effects allows relatively fast particle tracking.
- 2-D or 3-D effects are presently treated in few codes devoted to high accuracy simulations.

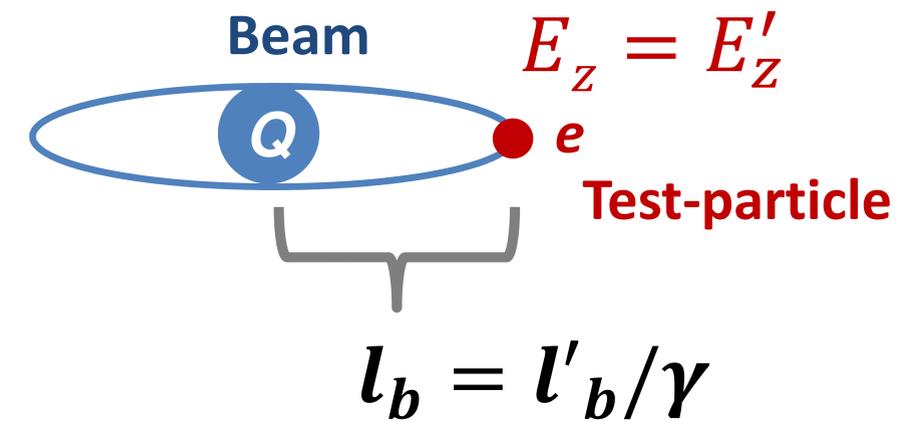
# Longitudinal Space Charge

Consider a beam of length  $2l_b$ , with charge  $Q = -eN$  and a test electron  $q = -e$  close to the beam head. The beam is in relativistic motion with respect to the lab.

Beam co-moving frame



Lab frame



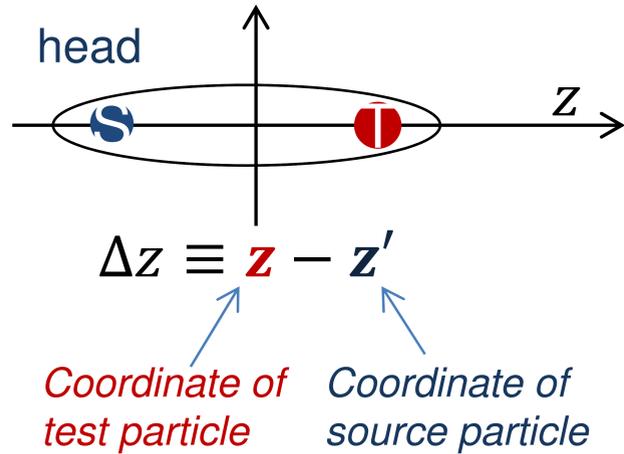
E-field experienced by test electron:  $E_z = E'_z = \frac{1}{4\pi\epsilon_0} \frac{Q}{l_b'^2} = \frac{1}{4\pi\epsilon_0} \frac{Q}{l_b^2 \gamma^2}$  **Longitudinal space charge field**

Work done by space charge over distance  $L$ :  $\Delta U = qE_z L = \frac{1}{4\pi\epsilon_0} \frac{e|Q|}{l_b^2 \gamma^2} L = \frac{Z_0 c}{4\pi l_b^2} \frac{e|Q|}{\gamma^2} L > 0$  *i.e.* test-electron gains energy

- Only at 10s of MeV energy or lower (i.e. in the injector) space charge effects over **bunch-length scale** are significant.

- At energies  $> 10$ s MeV (even GeV), space charge can become relatively large (and dominant) either for very short bunches or on **shorter length scales**.

# Wakefield and Impedance



Long. separation  
between source  
and test particles

“-” sign: a matter  
of convention

E-field experienced by test particle  
(along direction of motion)

$$w_z(|\Delta z|) = -\frac{1}{qs} \int_0^L ds \mathbf{E}_z(s, t = \frac{s + |\Delta z|}{c})$$

Source-particle  
charge

**Longitudinal wake field (wake function)**  
(space-integrated e-field over source charge)

MKS units:  $\frac{1}{c} \times m \times \frac{V}{m} = \frac{V}{c}$

**WARNING!** In the following,  $w_z$  is wakefield per unit length [V/C/m].

$\Rightarrow Z(k)$  becomes LSC impedance per unit length [ $\Omega/m$ ].

$$V(z) = Q \int_{-\infty}^z dz' w_z(z - z') \lambda(z')$$

bunch charge =  $Nq$

Longitudinal bunch density  
(no. part/m) normalized to unity  $\int dz' \lambda(z') = 1$

**Longitudinal wake potential**

(voltage drop experienced by test charge, generated from whole bunch)

MKS units: of  $C \times m \times \frac{V}{c} \times \frac{1}{m} = V$

- The wakefield **impedance** is the Fourier transform of the wake function (k is wave vector):

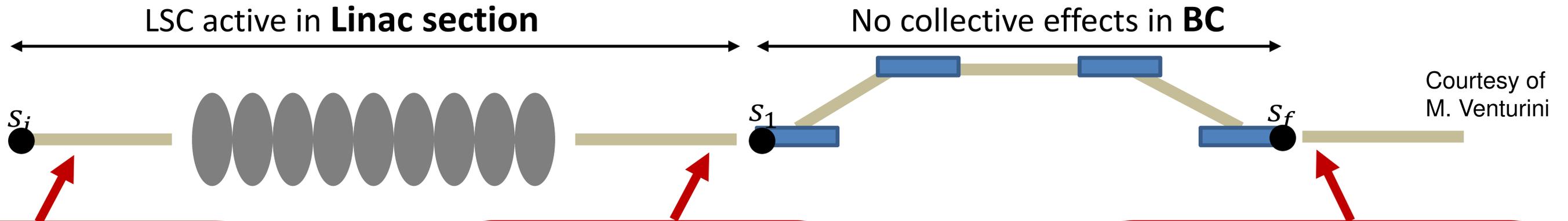
$$Z(k) = \frac{1}{c} \int_{-\infty}^{\infty} dz w_z(z) e^{-ikz}$$

MKS: units:  $\frac{1}{m/s} \times m \times \frac{V}{c} = \frac{V}{A} = \Omega$

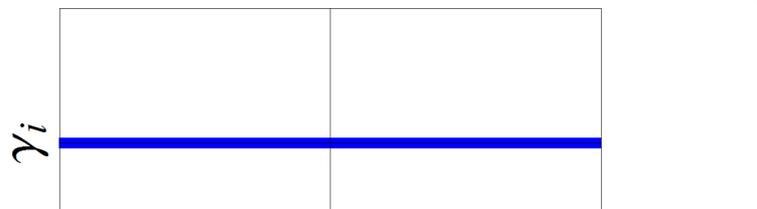
In the Fourier space we can write:

$$Z(k) = -\frac{V(k)}{I(k)}$$

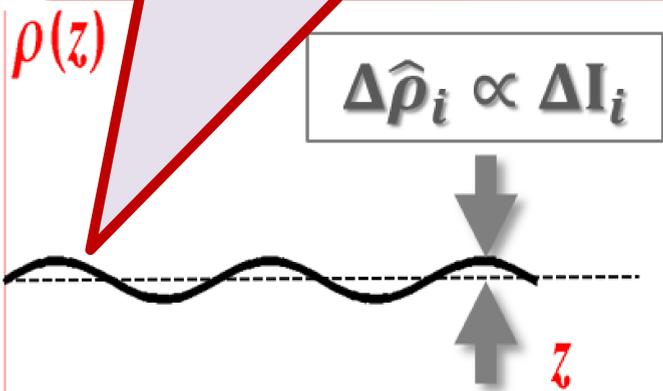
# LSC Instability



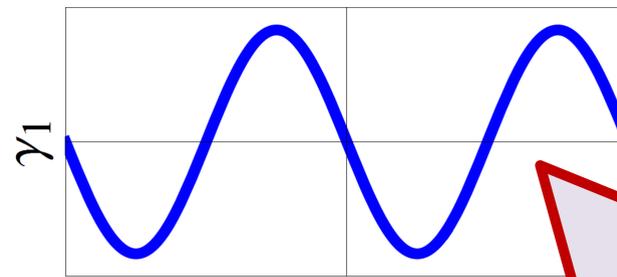
## phase space



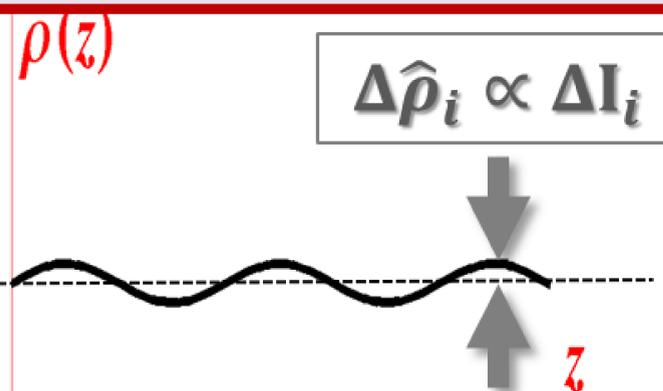
Initial density modulations are due to "granularity" of electrons deposition, or non-uniformity of photo-injector laser profile...



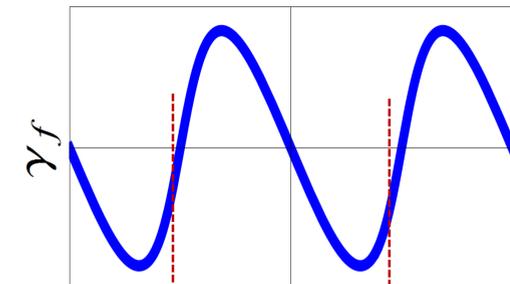
## phase space



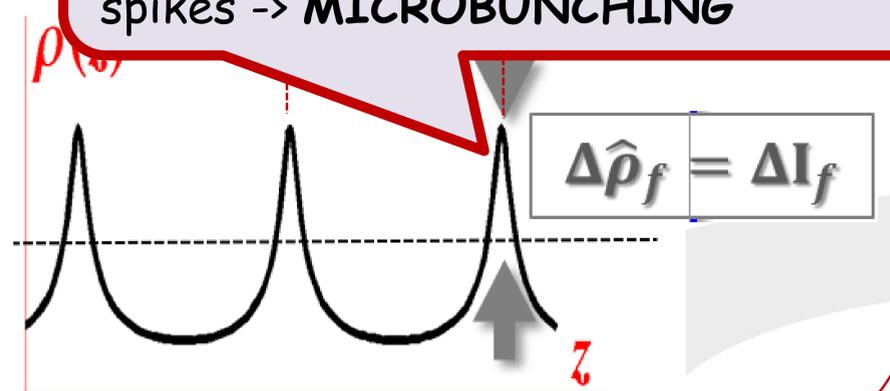
LSC modulates the energy distribution: the slice energy spread is increased (at scale equal or longer than the modulation wavelength)



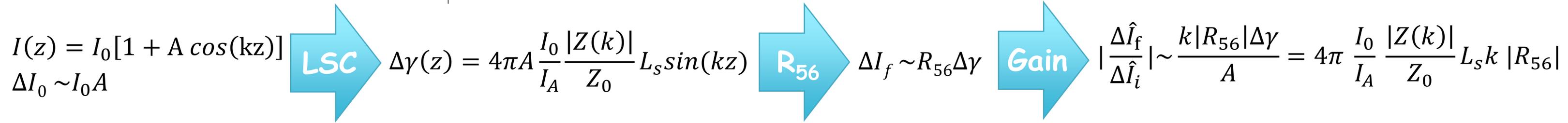
## phase space



$R_{56}$  forces the electrons to slip: the local compression (at the scale of the modulation wavelength) leads to current spikes -> **MICROBUNCHING**



# Microbunching Gain (one compression stage)



$$G(k_0) = \frac{\text{relat. ampl. of final density perturbation}}{\text{relat. ampl. of initial density perturbation}} = \frac{\Delta \hat{\rho}_f / \rho_f}{\Delta \hat{\rho}_i / \rho_i} \simeq 4\pi \frac{I}{I_A} \frac{L_s}{\gamma_{BC}} \frac{|Z(k_0)|}{Z_0} |R_{56}| C k_0 e^{-(C k_0 R_{56} \sigma_{\delta,0})^2 / 2}$$

What is  $Z(k)$  for the LSC field?

Bessel function

$$Z(k) = \frac{iZ_0}{\pi\gamma r_b} \frac{1 - \xi_b K_1(\xi_b)}{\xi_b}$$

Effective radius for Gaussian bunches:  
 $r_b \simeq 1.7(\sigma_x + \sigma_y)/2$

$\xi_b = kr_b/\gamma$

Peak is at  $\frac{r_b k}{\gamma} \simeq 1$

$G$  is larger for higher peak current, longer path, lower beam energy

$Z$  generates energy modulation at initial wave number  $k_0$

$R_{56}$  transforms energy- to density modulation (enhances gain)

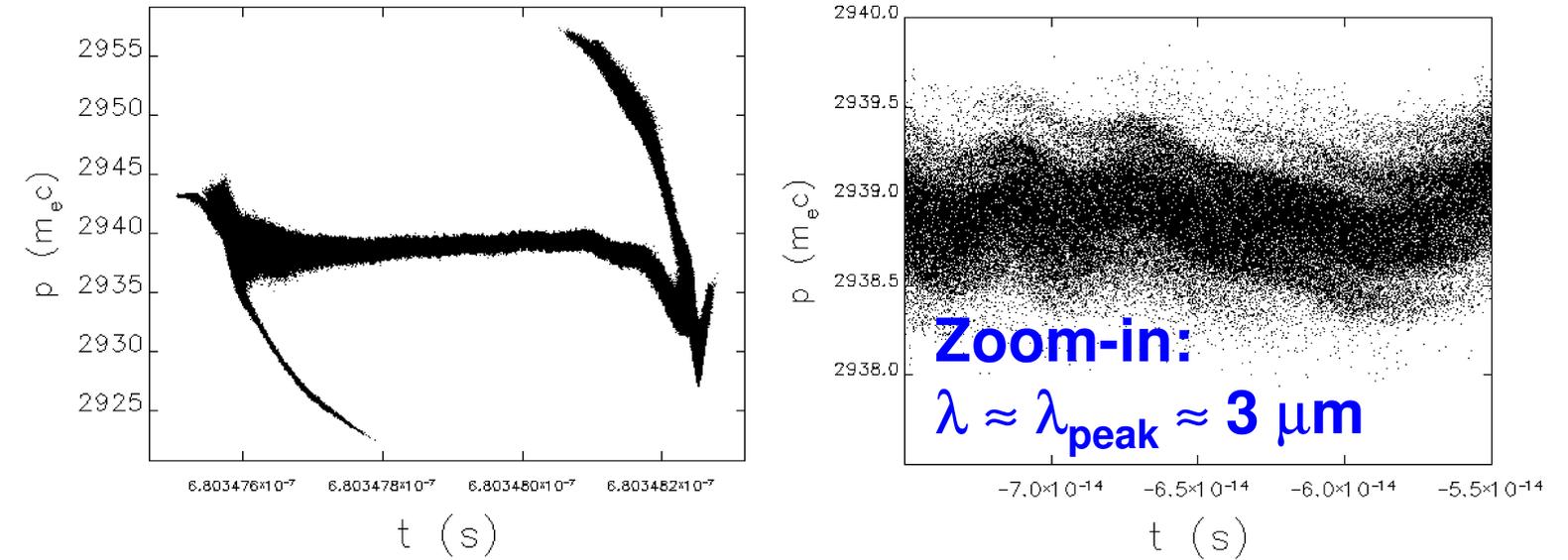
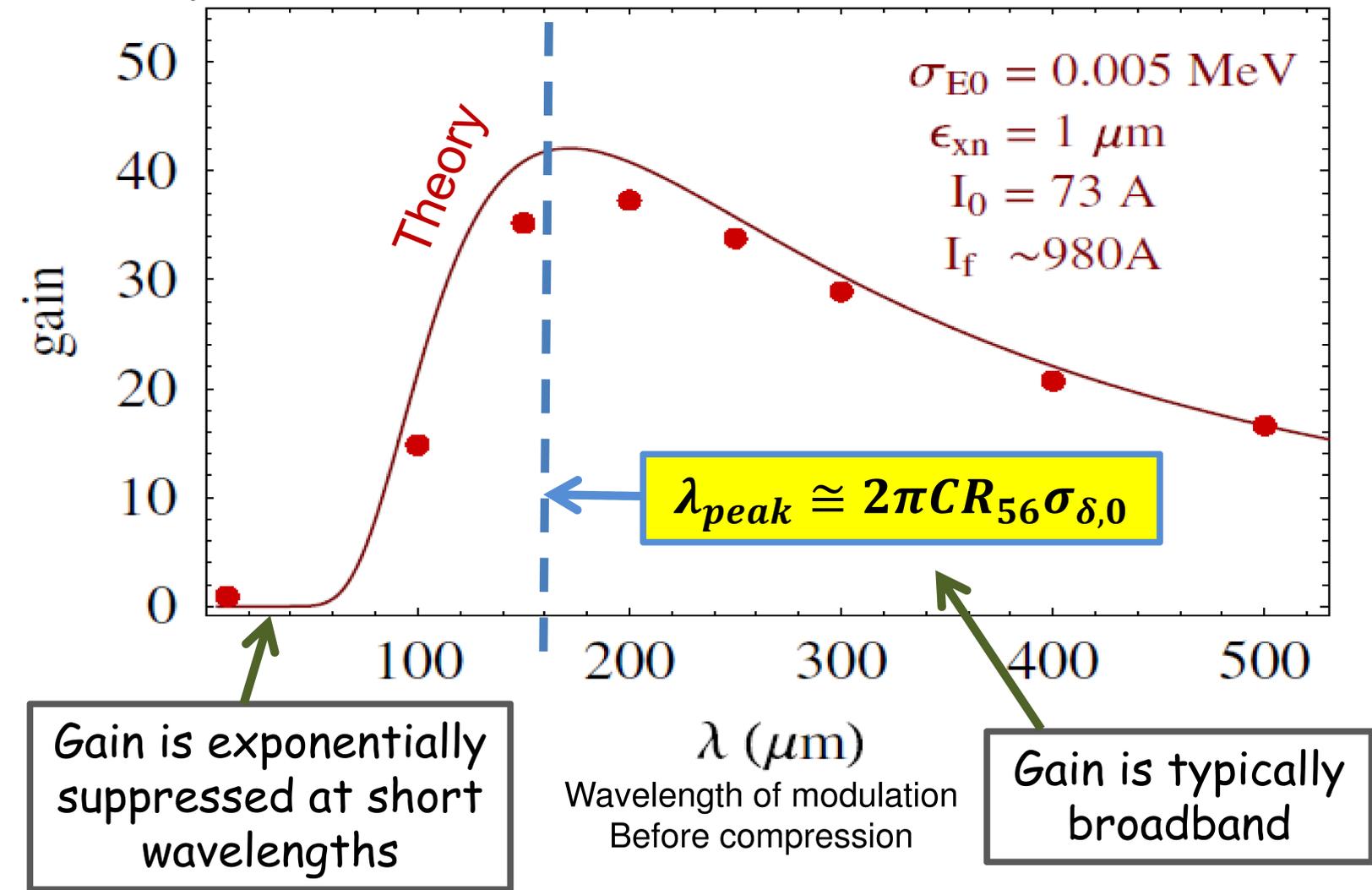
$\sigma_{\delta,0}$  is initial uncorrelated energy spread. The slippage associated to  $R_{56} \sigma_{\delta,0}$  smears the microbunching (energy-Landau damping).

# Wavelengths of Interest

## Longitudinal phase-space (exit of FERMI Linac)

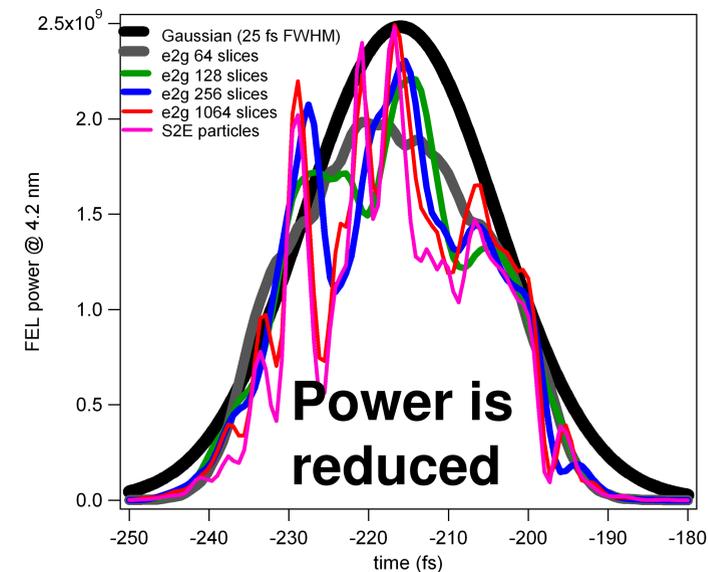
### Theory vs. PIC-Simulation (LBNL FEL proj., end of BC)

Courtesy of M. Venturini

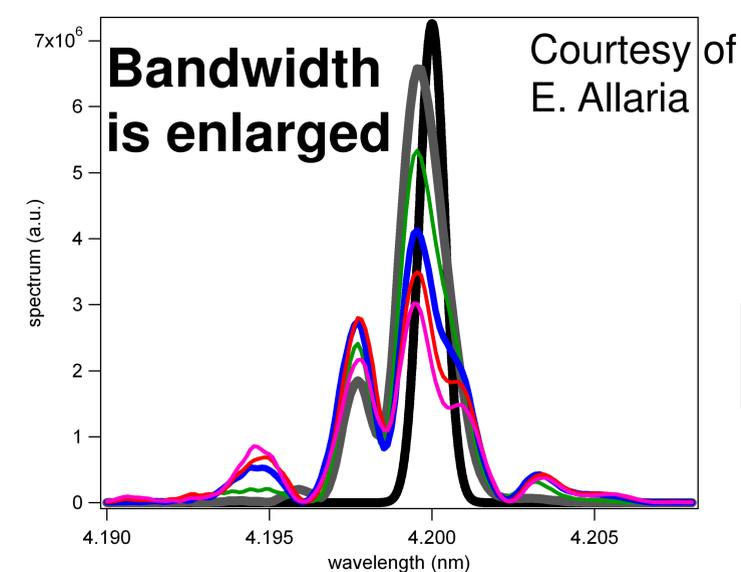


**MBI is critical for FEL typically at  $\lambda \approx$  cooperation length, (and even longer, i.e.,  $\approx$  undulator slippage length).**

### FEL POWER

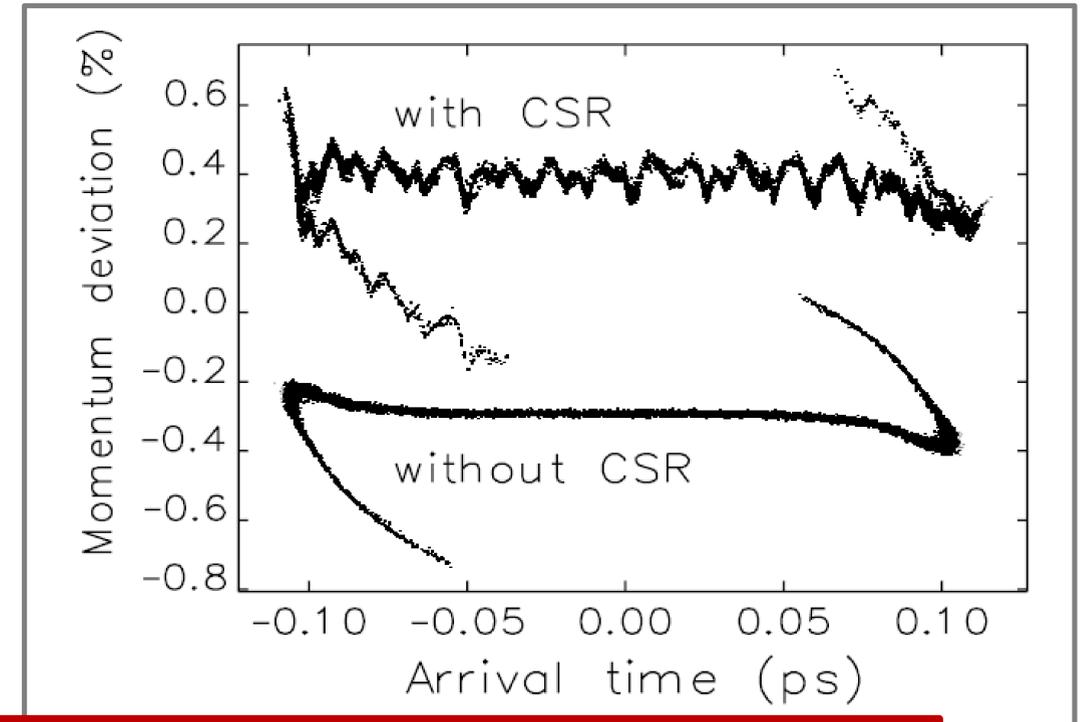


### FEL SPECTRUM

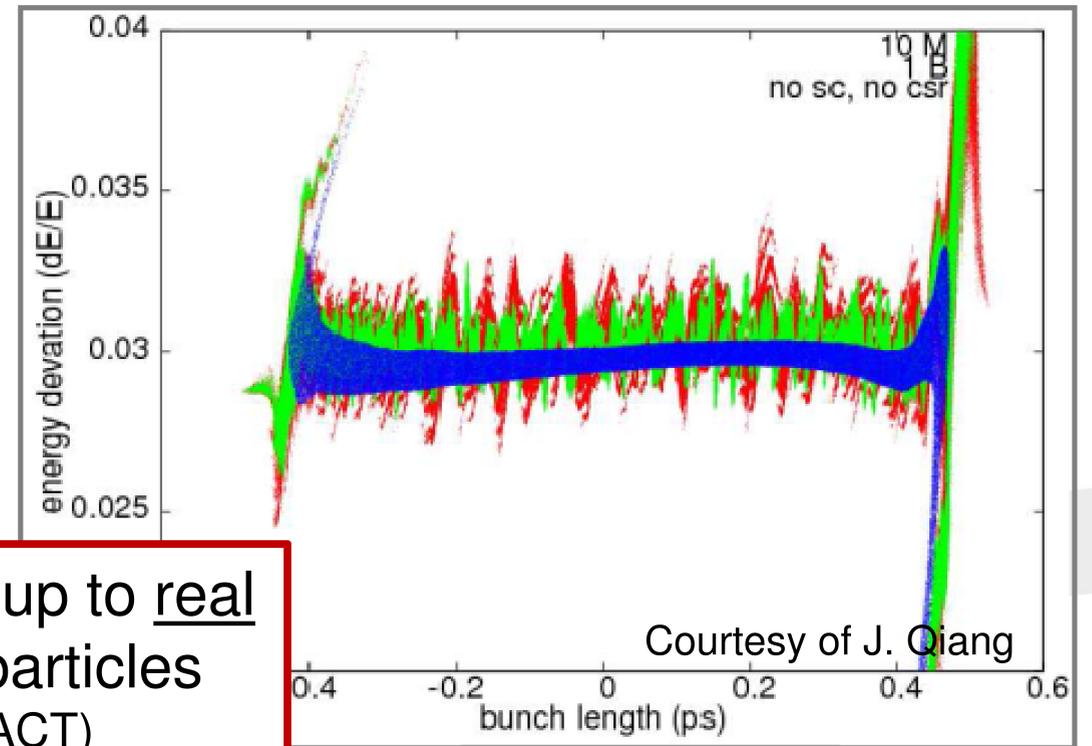
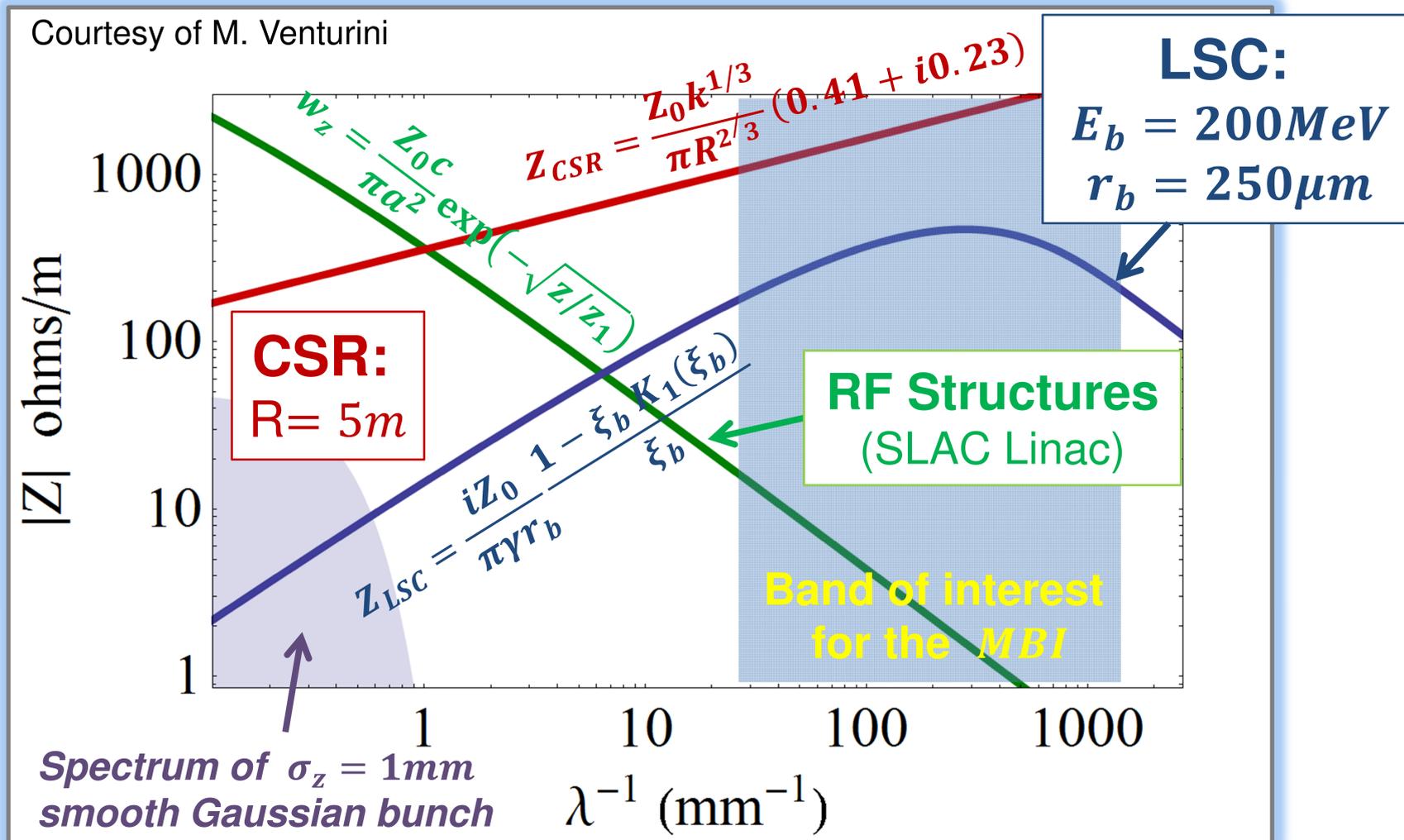


# CSR + LSC

- **CSR enhances the MBI-gain** as it additionally contributes to the “energy-to-density” loop throughout the chicane.
- **CSR impedance is the largest at high frequencies**, but **overall its effect is smaller than LSC** (dipoles are short compared to rest of machine).



LCLS first S2E simulation (M. Borland, 2001).  
Early physics model included CSR, not LSC.



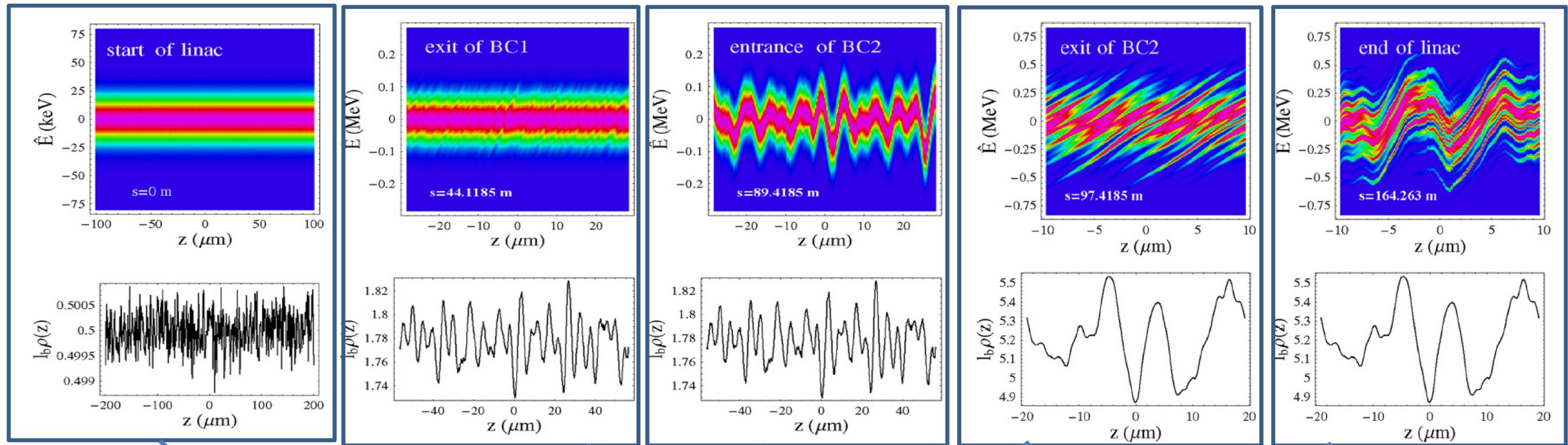
CSR+LSC, up to real number of particles (J. Qiang, IMPACT)

Courtesy of J. Qiang

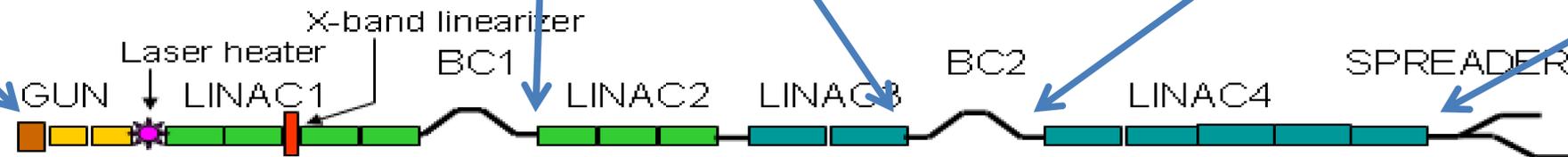
# Evolution of Phase Space

- Effect compounded by repeated compression through BCs. In first approximation:  $G_{tot} \approx G_{BC1} \times G_{BC2} \times \dots$
- If **instability is large**, effects beyond the linear approx. can become important:  $G_{tot} > G_{BC1} \times G_{BC2} \times \dots$

**Study of  $\mu BI$  for FERMI:** *Longitudinal phase space, Current profile at selected points*



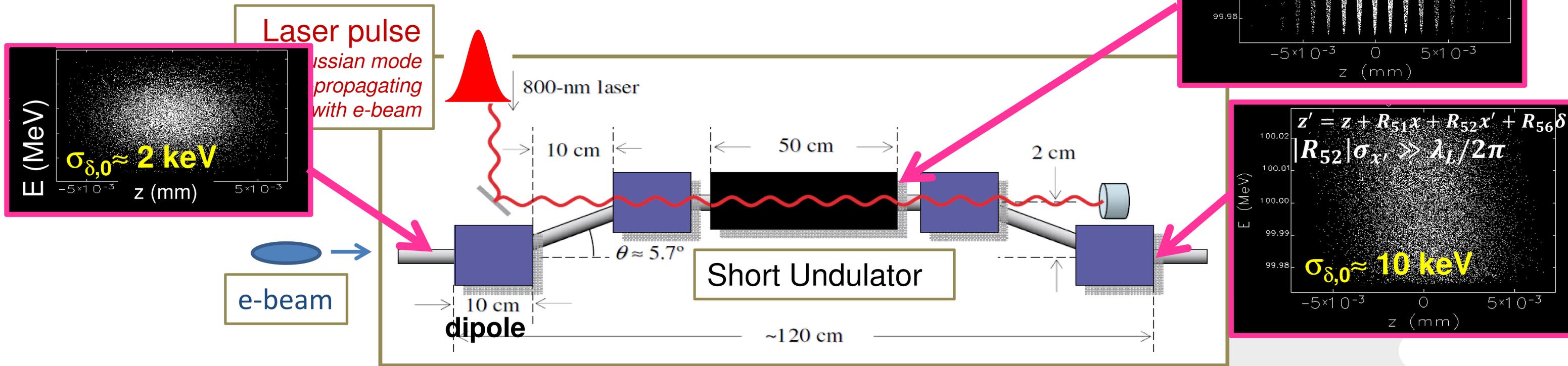
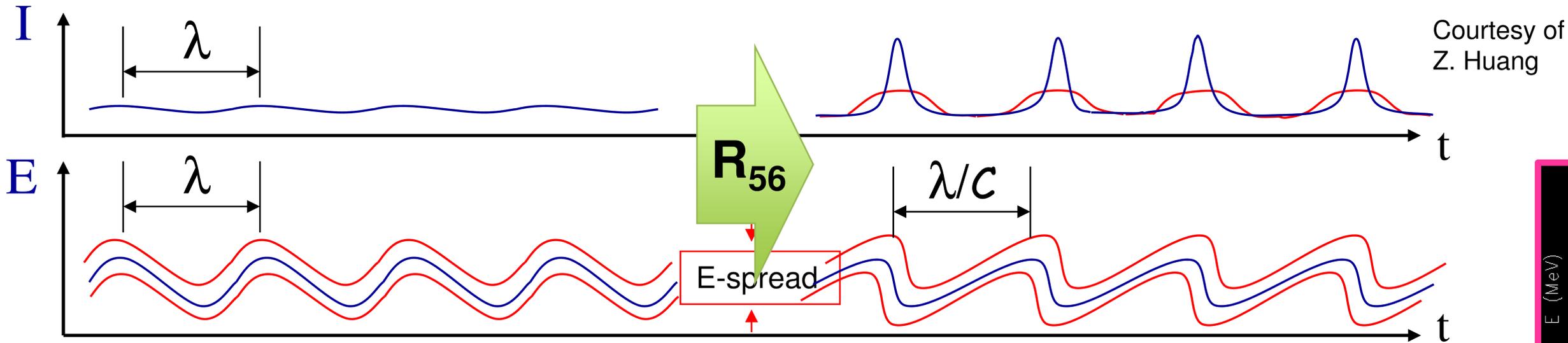
Courtesy of M. Venturini



**Main adverse effect of  $\mu BI$  instability is growth in energy spread: it limits SASE performance, degrades harmonic generation in seeded FELs, and reduces longitudinal coherence of radiation**

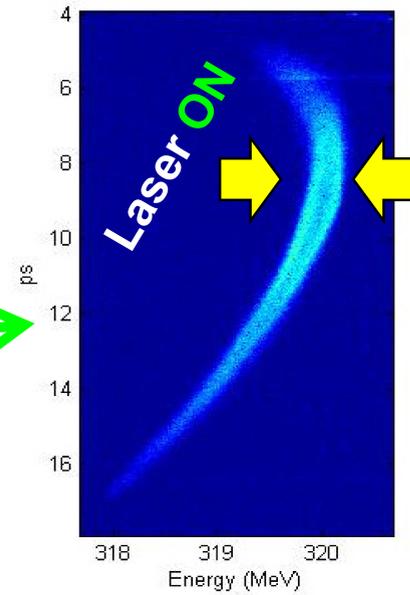
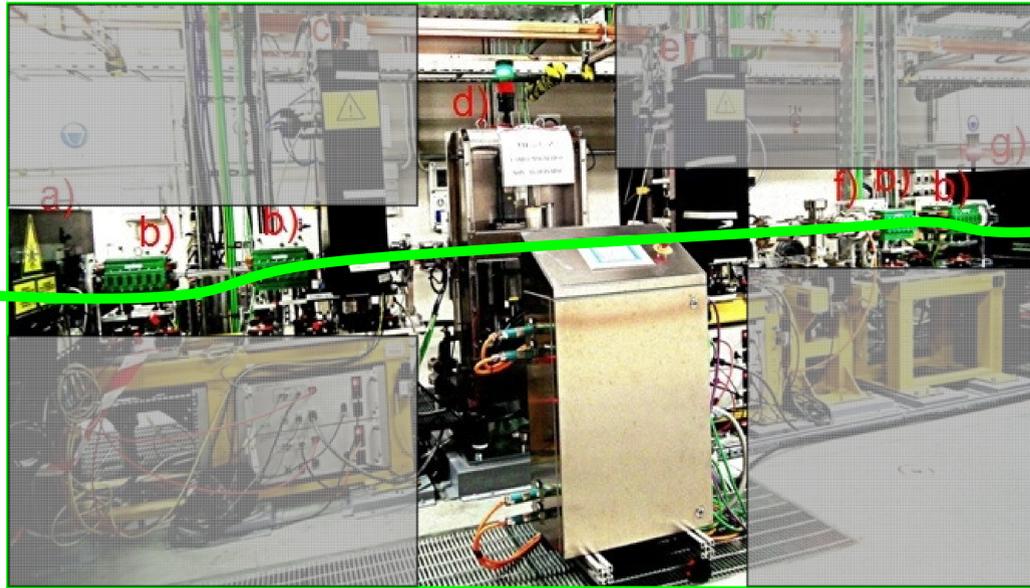
# Laser Heater

- The Laser Heater is a tool that enhances the energy-Landau damping of the  $\mu$ BI by increasing the beam uncorrelated energy spread before the  $\mu$ BI builds up (thereby it is typically installed before compression).

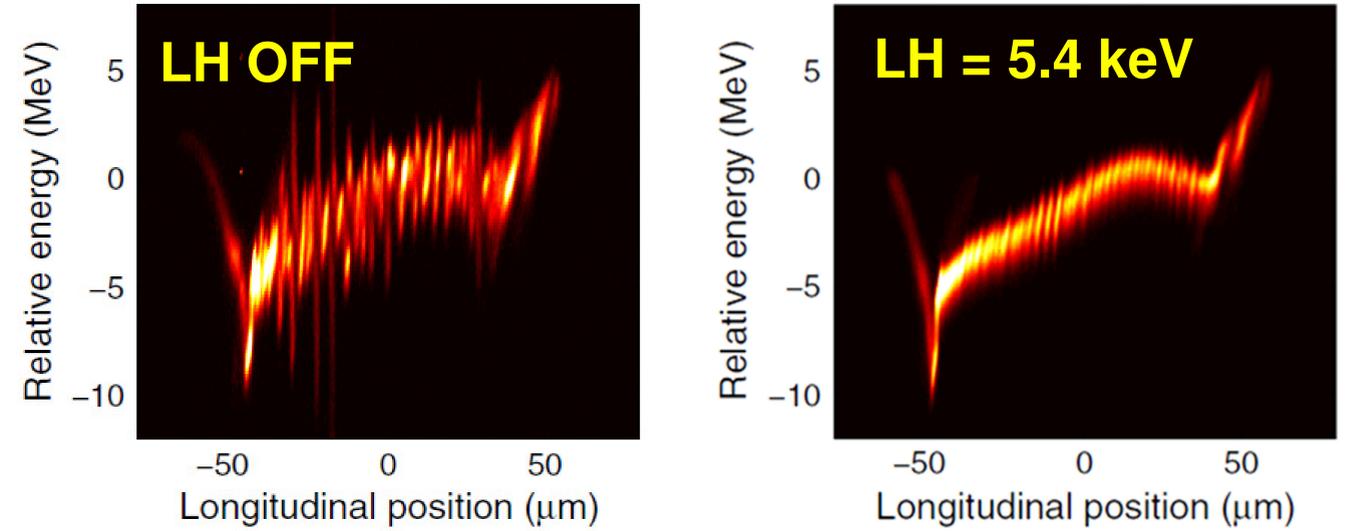


# Impact on e-Beam and FEL

## • FERMI Laser Heater System

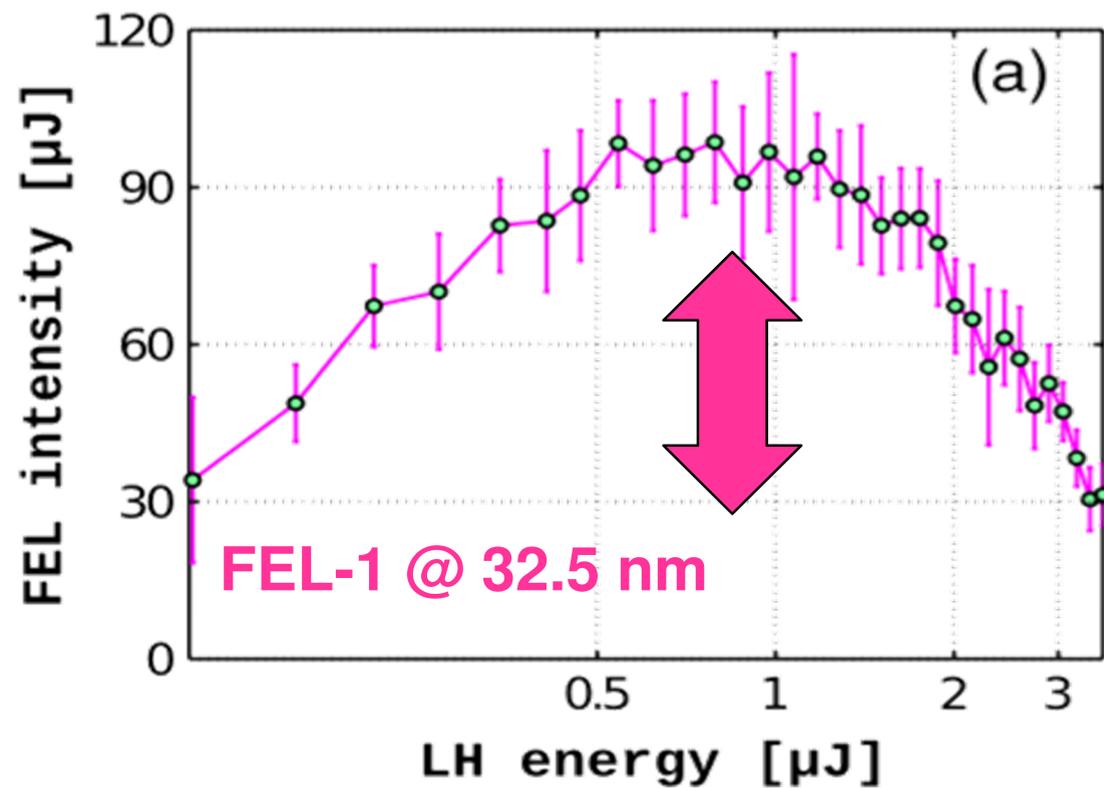


## • Direct observation of $\mu$ BI at LCLS (end of Linac)

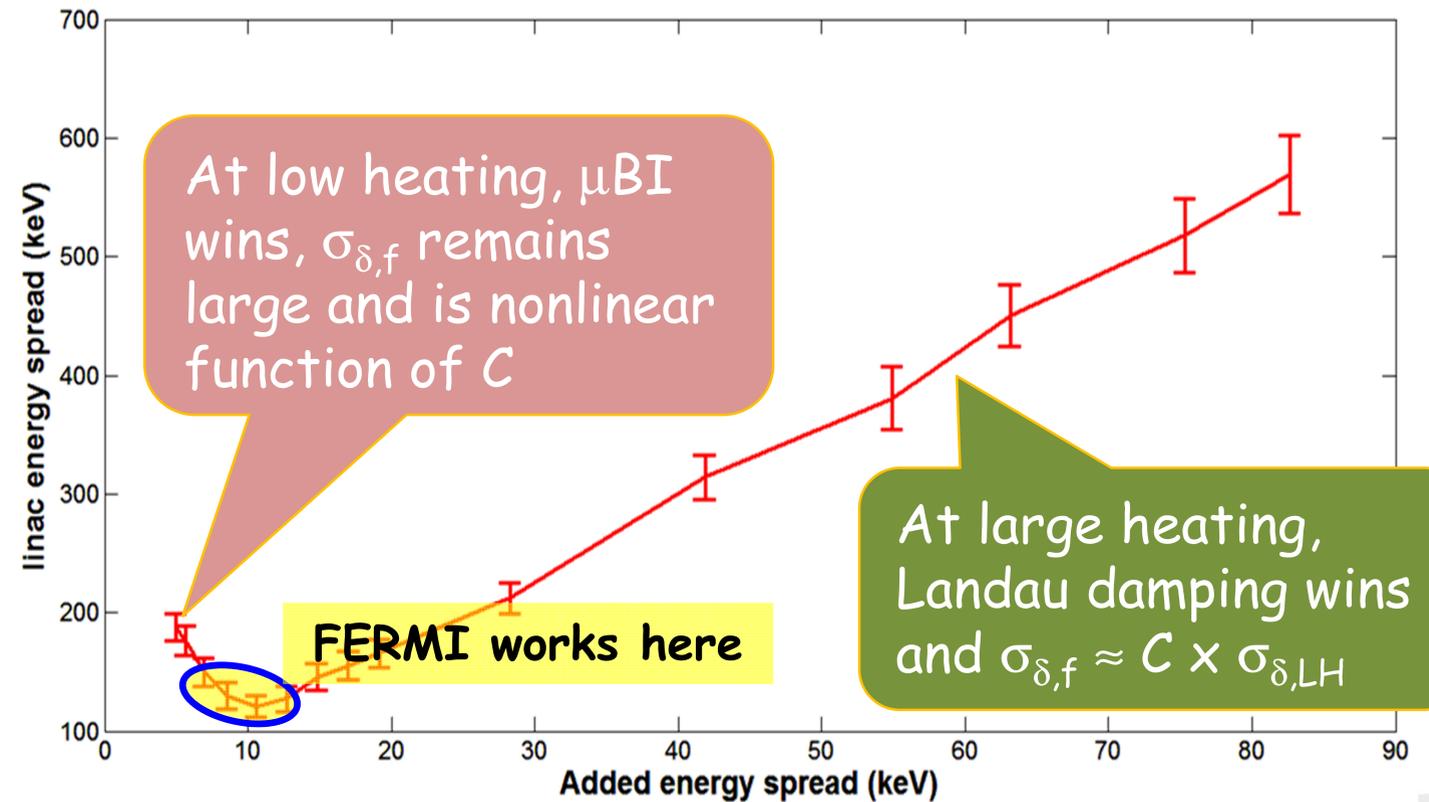


Courtesy of D. Ratner

## • Strength of $\mu$ BI depends on compression schemes. FERMI behaves better with BC1 only.



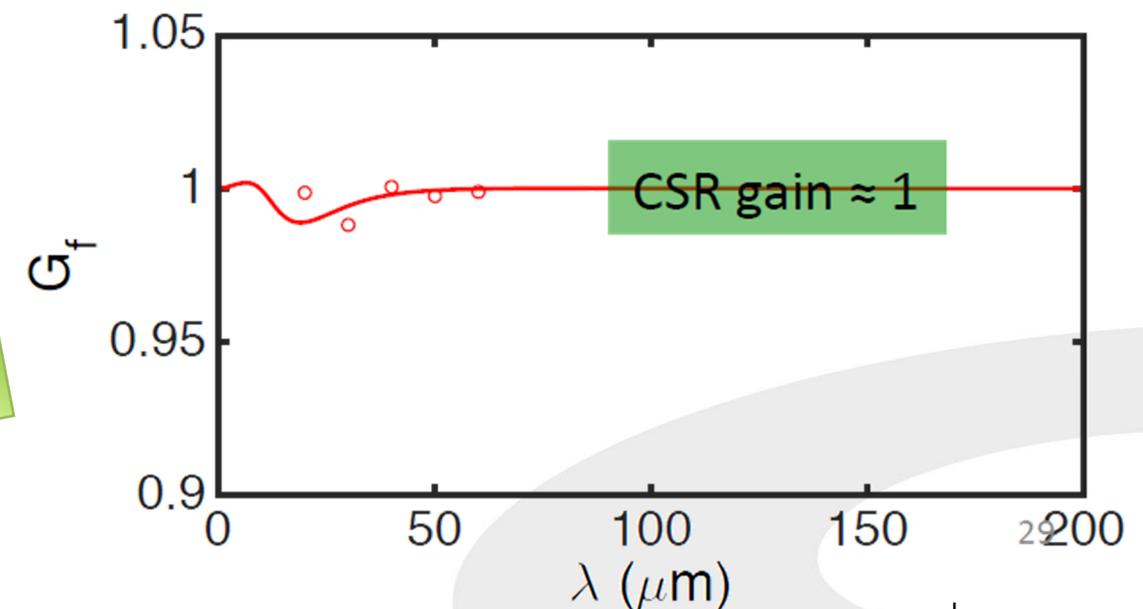
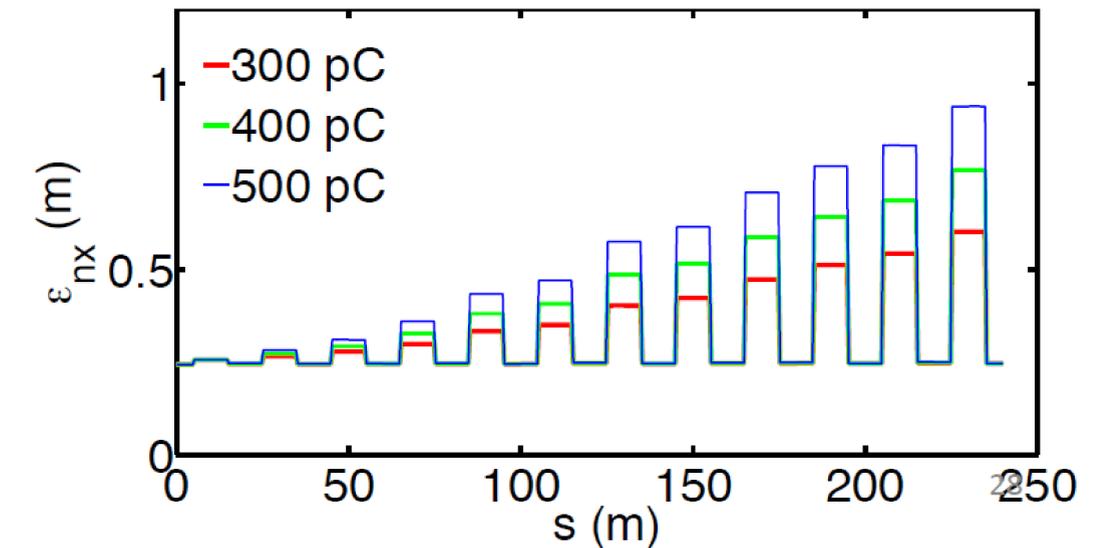
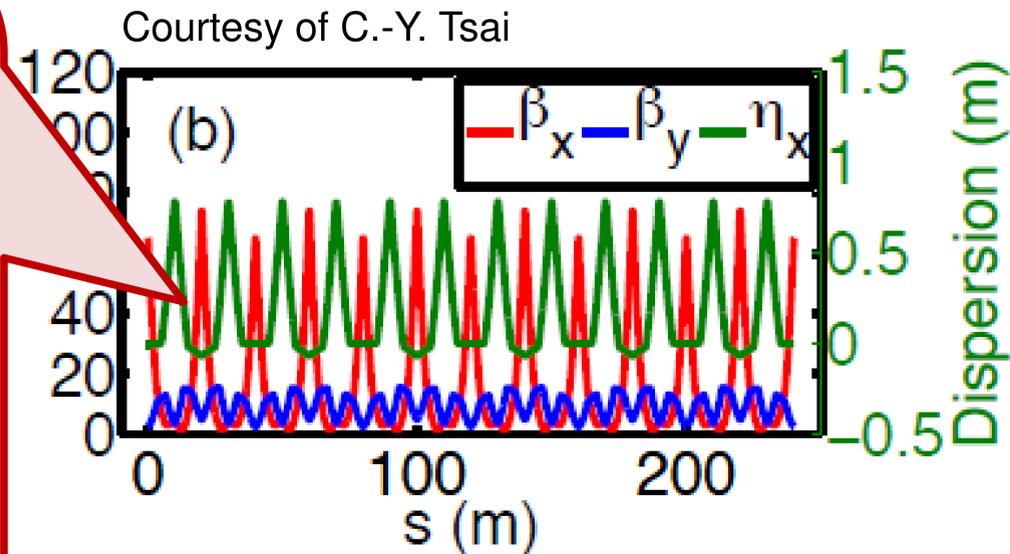
- LH enhances FERMI FEL intensity by a factor  $\sim 3$ .
- LH narrows the FEL bandwidth.



# Fresh from Presses...

- Arcs might be a natural choice for bunch length compression in ERLs.
  - Because of many dipoles, CSR-induced emittance growth is a challenge.
  - Both dispersion and CSR contribute substantially to the  $\mu$ BI gain.
- Presently, optics conditions that **simultaneously minimize emittance growth and  $\mu$ BI gain in isochronous arcs** are under investigation.....Possible extension to *arc compressors* ?

- **Locally isochronous**
- **Small  $\beta_x$  at the dipoles**
- **Large  $\alpha_x$  at the dipoles**
- **$2\pi$  phase advance between dipoles**



# Summary

- ❑ **CSR** is the main source of **projected emittance growth** in beamlines traversed by high brightness electron beams. Emittance can be preserved through **optics design**.
  - CSR effect on emittance can be **cancelled** in **(locally) isochronous** transfer lines.
  - CSR effect on emittance can be **minimized** in **bunch length compressors**, e.g. chicanes and arcs.
- ❑ **LSC** turns out to dominate the dynamics of high brightness electron beams at spatial **scales much shorter** than the **bunch length**, in spite of *GeV* beam energies.
  - LSC is primarily responsible for **large slice energy spread** (energy modulation).
  - **CSR and LSC** play similar roles in setting up the  $\mu$ BI. Their contribution depends on the machine **layout and compression scheme**.
- ❑ **Laser Heater** is presently the favoured knob for keeping the  $\mu$ BI under control, and thereby optimizing the FEL performance.

## Homework (1/3)

*You should be able to work out all of the following ones, just looking to the presented slides. You are encouraged to work together, use books, and ask help if needed (I'll be around all night).*

*CAS "policy" adopted: homework are not mandatory, but your effort in doing them will be appreciated !*

1. Calculate the total peak power emitted by a Gaussian electron bunch in the last dipole of a magnetic chicane, assuming the following parameters:  $Q=0.3$  nC,  $\sigma_z=30$   $\mu\text{m}$ ,  $E=1$  GeV,  $B=0.3$  T. Similarly, calculate the total average power emitted by a train of 400 bunches at the repetition rate of 1 MHz. What is the dipole magnetic field that allows a reduction of the power by a factor of 2 ?
  - *Hint: slide 4*
2. Consider a 100 pC Gaussian bunch compressed by a factor of 30 in a 4-dipoles chicane; assume that the beam has a horizontal waist in the fourth dipole. The initial bunch duration is 2 ps rms, the initial normalized horizontal emittance (rms) is 0.3 mm mrad. The beam energy is 300 MeV, the dipole magnet is 0.3 m long, and has a field of 0.3 T. Estimate the maximum horizontal betatron function in the fourth dipole of the chicane in order to limit the final normalized emittance to 0.4 mm mrad. What is the horizontal beam size at the entrance of that dipole? And at the exit? The chicane has an  $R_{56} = -41$  mm.
  - *Hint: slide 10, 11 + Lesson on Bunch Compressors (Lorentz force, Energy Chirp)*

## Homework (2/3)

3. Consider an arc compressor made of identical achromatic cells. Provide an expression for the compression factor along the arc, as a function of the cell number.

- *Hint: slide 13 + Lesson on Bunch Compressors (Energy Chirp)*

4. CSR wakefield is shielded if the characteristic length of emission, e.g. the rms bunch length, is larger than  $\frac{\Delta}{\pi} \sqrt{\frac{w}{R}}$ , being  $\Delta$  and  $w$  the vacuum chamber total height and width, respectively, and  $R$  the bending radius of the dipole magnet in which CSR is shielded. Estimate the suppression of energy loss due to shielding in a parallel plate model, for a beam with the following parameters:  $E = 60$  MeV,  $\sigma_z = 170$   $\mu\text{m}$ , dipole length = 0.4m, bending angle = 0.35 rad,  $\Delta = 5$  mm. Use the following prescription:

$$\frac{\Delta E_{shield}}{\Delta E_{free}} \approx 4.2 \left( \frac{n_{harm}}{n_c} \right) e^{-\frac{2n_{harm}}{n_c}}, \quad \text{with } n_{harm} = \sqrt{\frac{2}{3} \left( \frac{\pi R}{\Delta} \right)^3}, \quad n_c = \frac{R}{\sigma_z}$$

5. Consider a 300 pC charge bunch, 10 ps long (full width, flat-top current profile). Estimate the beam mean energy at which a single particle at the bunch edge will suffer of a 0.01% energy variation per meter, due to LSC force. What is the length scale at which a similar energy variation per meter may happen, if the beam mean energy is 100 MeV?

- *Hint: slide 15*

## Homework (3/3)

6. Consider a one-stage compression scheme (linac + chicane). Derive the expression for the modulation wavelength at which the gain is peaked (for simplicity, assume  $Z(k)=\text{const.}$ ). Assume a microbunching peak gain of 1000 at the final (i.e., compressed) wavelength of  $0.1 \mu\text{m}$ , at the beam energy of  $1 \text{ GeV}$ , for  $R_{56} = -41 \text{ mm}$  in the chicane, and for a beam initial uncorrelated energy spread of  $2 \text{ keV rms}$ . What should the initial uncorrelated energy spread be in order to lower the gain to unity, assuming all the other beam, impedance and linac parameters unchanged?
- *Hint: slide 18.*
7. In Slide 23, the measurement of the beam longitudinal phase space at the end of the LCLS linac shows a larger slice energy spread for LH off w.r.t. LH on; why? The initial beam has an uncorrelated energy spread of approximately  $2 \text{ keV rms}$ . What should the final slice energy spread be with LH on (assume microbunching instability fully suppressed), if the total compression factor is 50?
- *Hint: slide 23 + Lesson on Bunch Compressors (Uncorrelated vs. Slice Energy Spread)*
8. CSR-induced microbunching in a dipole magnet can be washed out by the particles transverse motion, if the beam emittance is non-zero (transverse Landau damping). Demonstrate that smearing is expected at wavelengths of the order of  $\sqrt{\epsilon_x H_x}$ , with  $H_x$  inside the dipole.
- *Hint: consider the path length difference of a particle in a bend w.r.t. the straight path, as follows, and neglect terms at second order in the particle coordinates:*

$$\Delta l(s) = \int_0^s \frac{u(s')}{R(s')} ds' + \frac{1}{2} \int_0^s u'^2(s') ds', \quad \text{with } u(\theta) \equiv u_0 \cos \theta + u'_0 R \sin \theta$$