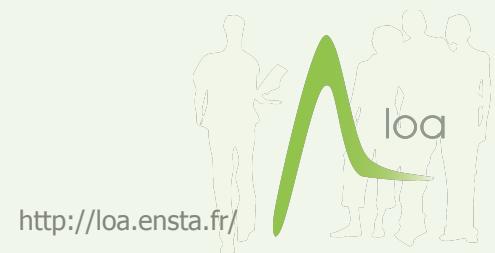


# Plasma injection schemes for laser-plasma accelerators

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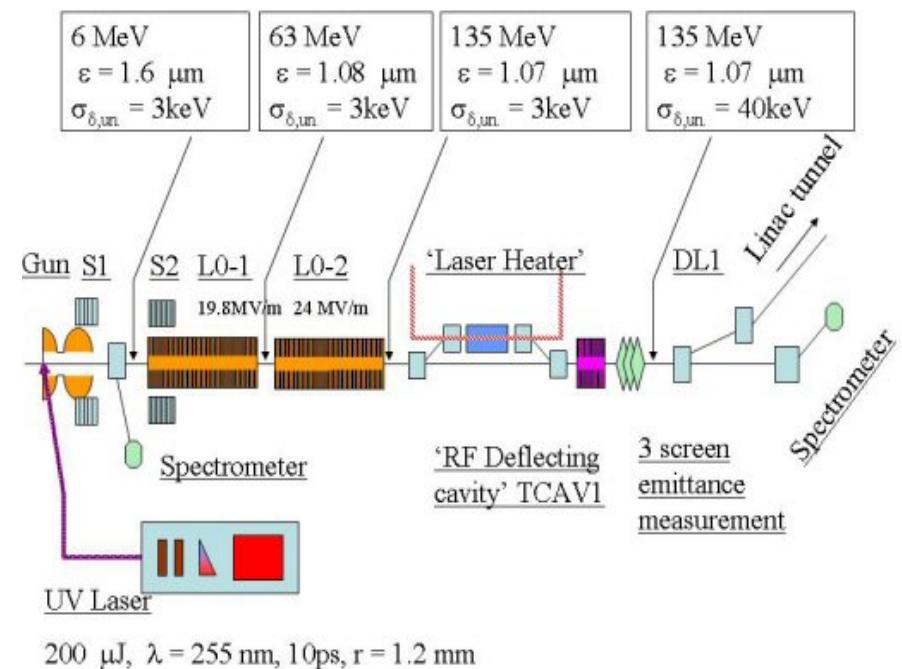


# Motivations: why is injection so important ?

- Any high energy accelerator starts with an injector (MeV level)
- Injector strongly influences the performances of the accelerators: rep. rate, charge, beam quality (emittance, energy spread)

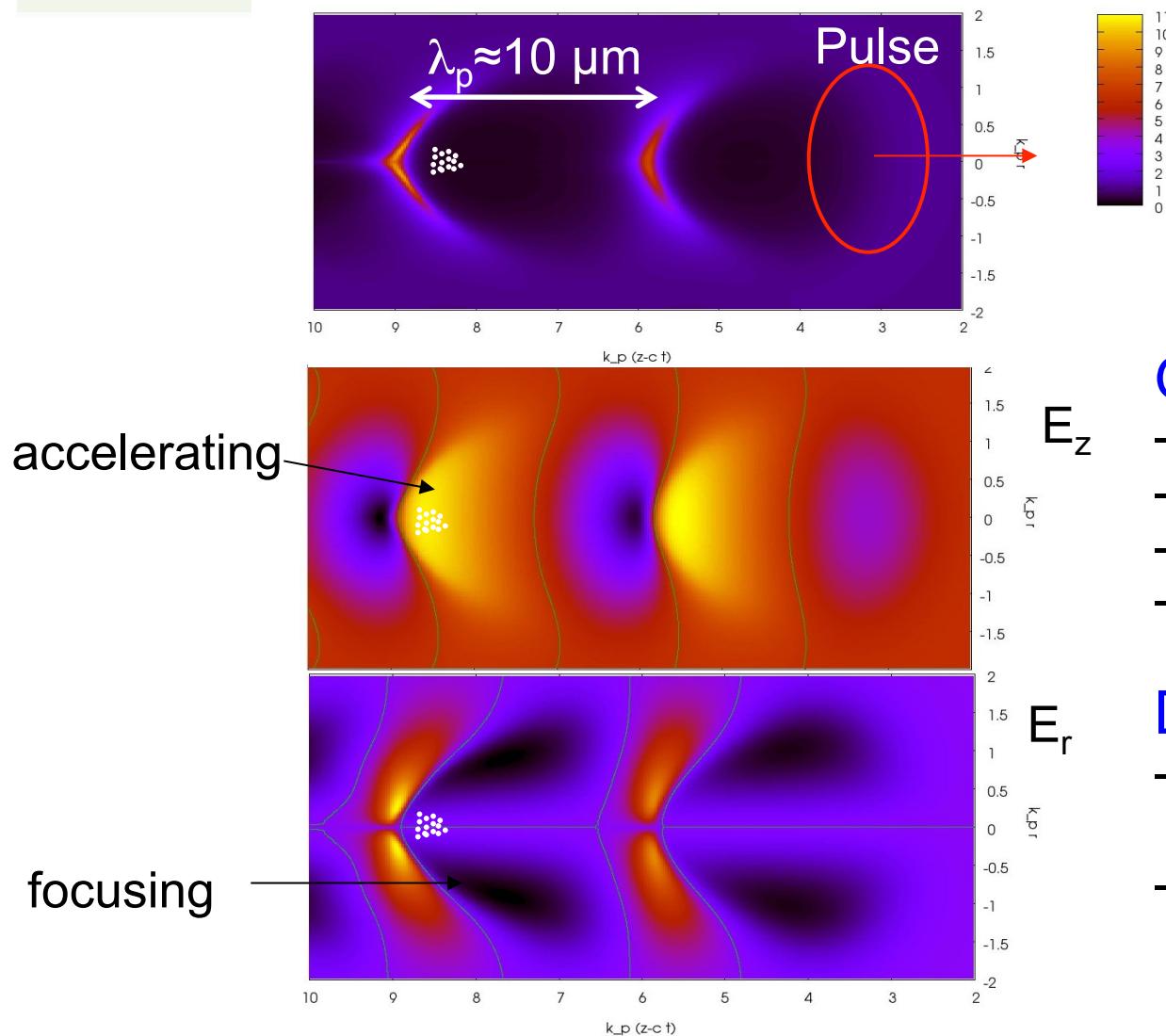
In a laser plasma accelerator, it is important to decouple the injection from the acceleration mechanism

- Better stability
- More control
- Possibility to tune the beam parameters independently
- Getting away from self-injection



LCLS photoinjector

# How do we inject plasma electrons into wakefields ?



Electron density

Good injection scheme:

- large charge
- small energy spread
- short electron bunch
- Control

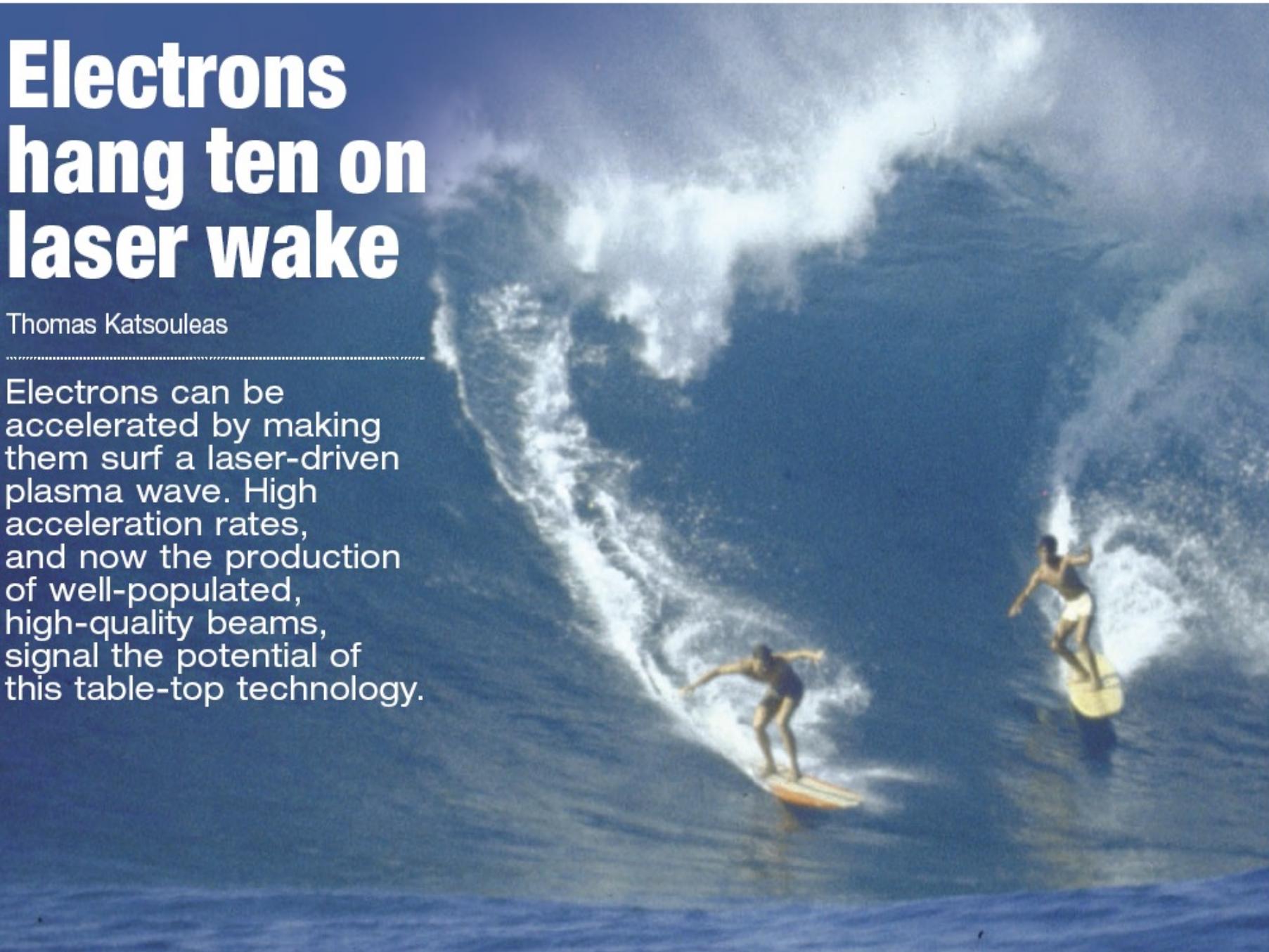
Difficult:

- Injection of a short bunch ( $<\lambda_p/4$ )
- Synchronization between laser and injection beam

# Electrons hang ten on laser wake

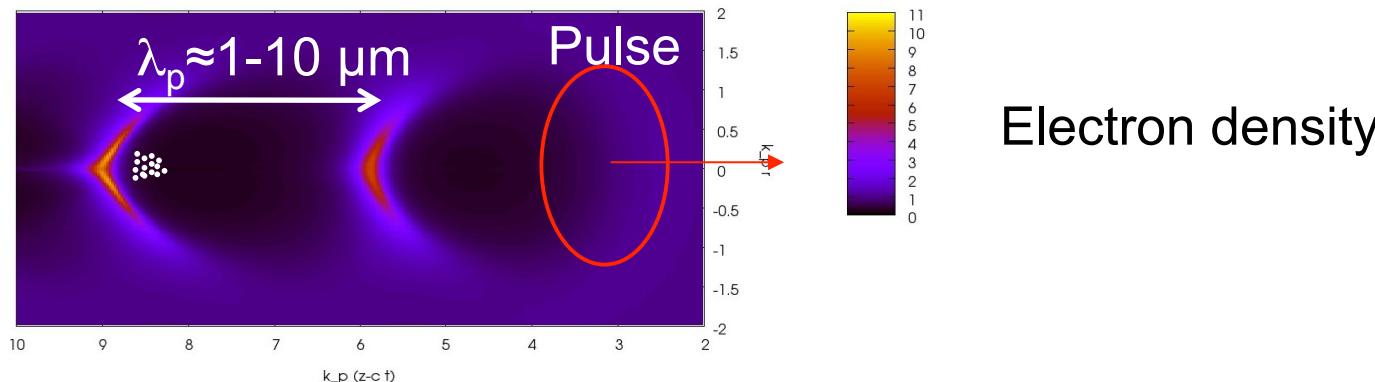
Thomas Katsouleas

Electrons can be accelerated by making them surf a laser-driven plasma wave. High acceleration rates, and now the production of well-populated, high-quality beams, signal the potential of this table-top technology.





# How do we inject electrons into wakefields ?



Three fundamental methods for injecting electrons in the wake

- Give a initial kick to electron (paddling surfer) → colliding pulse injection
- Drop (dephase) electrons in the wake at the right phase → ionization injection
- Slow down the wakefield → injection in density gradient

# Outline

- 1 D Hamiltonian model for electrons interacting with laser field and plasma wave
- Ionization injection
- Colliding pulse injection
- Injection by slowing down the wakefield:
  - Density gradient injection
  - Injection caused by laser pulse evolution

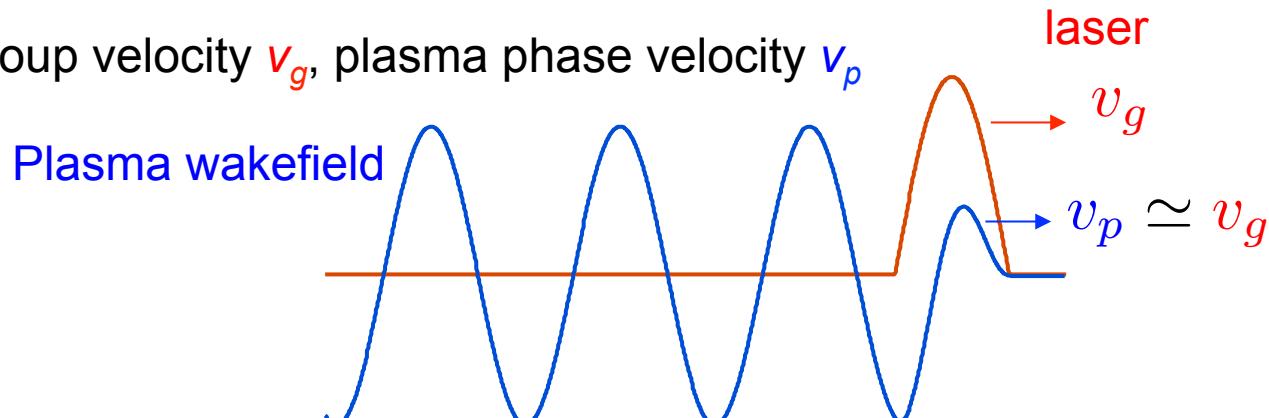
# Summary / reminder of notation

- Intensity and normalized vector potential  $\mathbf{a}$  (linear pol.)  $a = \frac{q_e A}{m_e c} = 8.5 \times 10^{-10} \lambda [\mu\text{m}] I^{1/2} [\text{W/cm}^2]$

- Wakefield amplitude: comes from charge separation  $\rightarrow$  define scalar potential for the plasma wave and its normalized counterpart

$$\Phi \rightarrow \phi = \frac{q_e \Phi}{m_e c^2}$$

- Laser group velocity  $v_g$ , plasma phase velocity  $v_p$



$$\gamma_p = \frac{1}{(1 - v_p^2/c^2)^{1/2}}$$

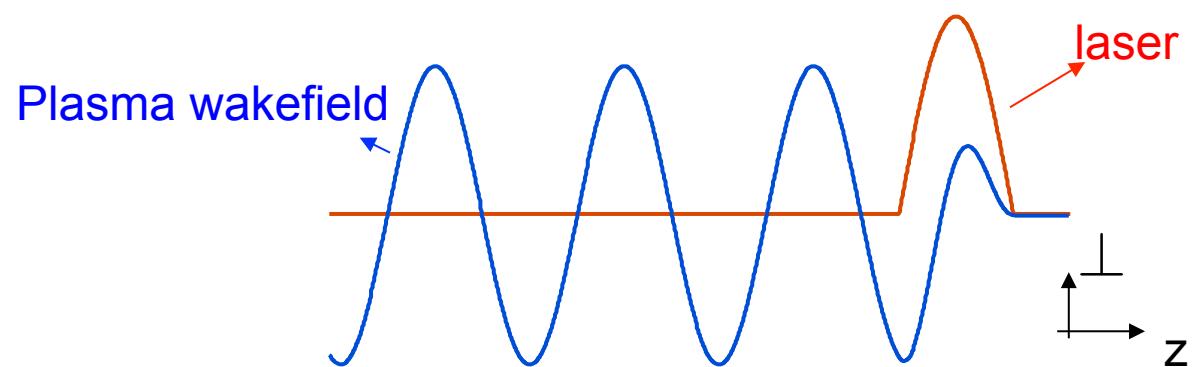
- Define Lorentz factor

$$\beta_p = v_p/c$$

# We use a 1D fluid model for the plasma wave

- We will start with a 1D model: we will only consider the motion of electrons along the longitudinal coordinates. We will neglect the role of the radial electric fields. In this case, the wakefield potential  $\phi$  is only dependent on  $z$  and  $t$ .
- For simplicity, we will assume that the driver does not change during its propagation. A consequence of this is that the plasma wakefield is also stationary along the propagation. This is important because it will allow us to use a conservation of energy law.

Electron motion:  
Transverse       $p_{\perp}$   
longitudinal     $p_z$



# 1D Model: Plasma wave

- Low intensity limit ( $a^2 \ll 1$ ), the potential is solution of  $\left( \frac{\partial^2}{\partial \zeta^2} + k_p^2 \right) \phi = k_p^2 \frac{\hat{a}^2}{4}$   
 $\zeta = z - v_g t$  Co-moving coordinate  
 $k_p = \omega_p / v_g$  Plasma wave vector ( $\omega_p$  plasma frequency)
- One can use a 1D nonlinear fluid theory which works for  $a > 1$

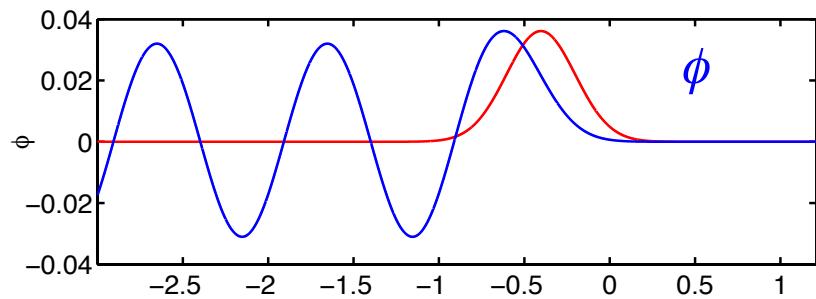
$$\frac{\partial^2 \phi}{\partial \zeta^2} = k_p^2 \gamma_p^2 \left[ \beta_p \left( 1 - \frac{1 + a^2}{\gamma_p^2 (1 + \phi)^2} \right)^{-1/2} - 1 \right]$$

Integrate numerically this equation for a gaussian pulse

$$a(\zeta) = a_0 \exp(-\zeta^2/2L_0^2) \cos(k_0 z - \omega_0 t)$$

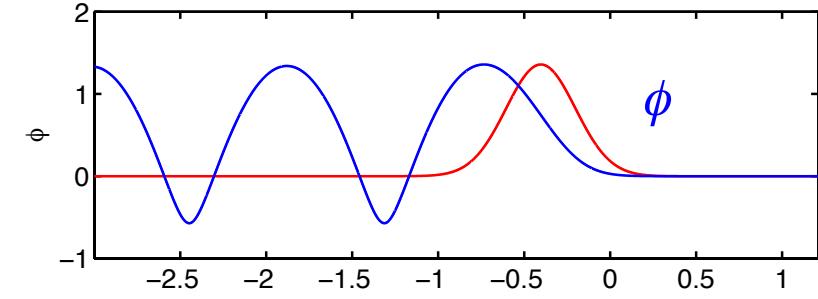
# Example of 1D nonlinear plasma wave

$a=0.3$

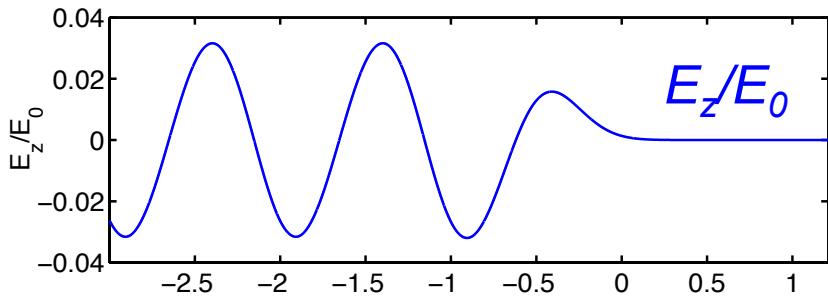


$$\zeta = z - v_g t$$

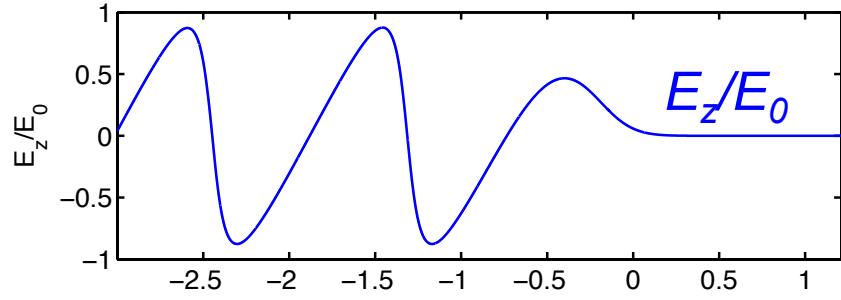
$a=2$



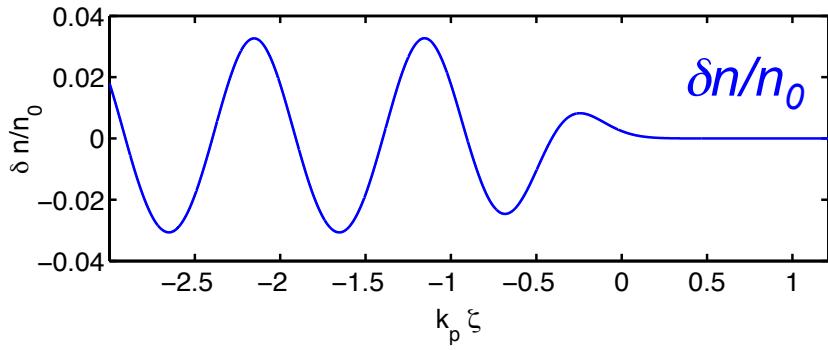
$$E_z/E_0$$



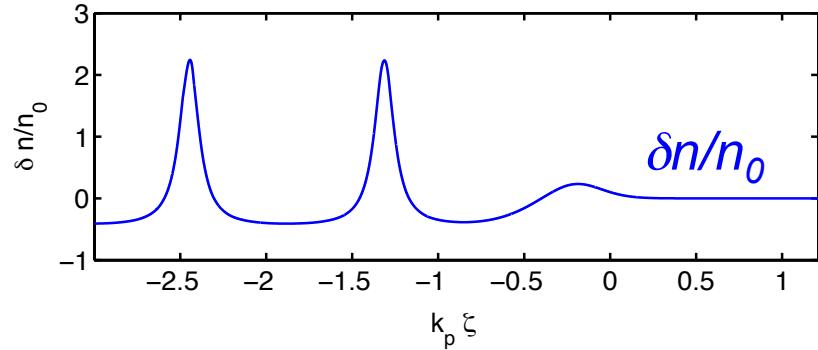
$$E_z/E_0$$



$$\delta n/n_0$$



$$\delta n/n_0$$



# Outline

- 1 D Hamiltonian model for electrons interacting with laser field and plasma wave

## References:

- E. Esarey and M. Pilloff, Phys. Plasmas **2**, 1432 (1995)  
E. Esarey et al., IEEE Trans. Plasm. Sci. **24**, 252 (1996)

# Hamiltonian of electron in laser and plasma wave with potential $\Phi$

$$H = m_e c^2 (\gamma - 1) - q_e \Phi(z - v_g t)$$

kinetic energy      potential energy

Let's normalize the Hamiltonian

$$H = \gamma - \phi(z - v_g t) = \sqrt{1 + u_{\perp}^2 + u_z^2} - \phi(z - v_g t) \quad u_{\perp,z} = p_{\perp,z}/mc$$

- H depends on time but in a particular manner ( $z - v_g t$ )
  - Eliminate time using a canonical transformation  $(z, u_z) \rightarrow (\zeta, u_z)$
  - With generating function  $F_2(z, u_z) = u_z \times (z - v_g t)$

- New Hamiltonian:
$$H' = H + \frac{1}{c} \frac{\partial F_2}{\partial t}$$

# Hamiltonian's basic properties

- New Hamiltonian is then:  $H = \sqrt{1 + u_{\perp}^2 + u_z^2} - \phi(\zeta) - \beta_p u_z$
- Define the momentum conjugate to the position (or canonical momentum)  $\mathbf{P} = \mathbf{p} + q\mathbf{A}$
- In our case,  $q=-q_e$  and  $A$  is a transverse laser field. This translates in normalized units into

$$\mathcal{U}_{\perp} = u_{\perp} - a(\zeta)$$

- Hamiltonian expressed in terms of canonical momentum

$$H = \sqrt{1 + (\mathcal{U}_{\perp} + a)^2 + u_z^2} - \phi(\zeta) - \beta_p u_z$$

# Hamiltonian's basic properties

$$H = \sqrt{1 + (\mathcal{U}_\perp + a)^2 + u_z^2} - \phi(\zeta) - \beta_p u_z$$

- From Hamilton's equations, one finds that in 1D the transverse canonical momentum is conserved (constant of motion)

$$\dot{\mathcal{U}}_\perp = -\frac{\partial H}{\partial r_\perp} = 0 \rightarrow u_\perp - a(\zeta) = Cste$$

- For electrons initially at rest in front of the laser pulse,  $Cste=0$  and

$$\mathcal{U}_\perp = 0 \rightarrow u_\perp(\zeta) = a(\zeta)$$

# Trajectories in the wakefield

$$H = \sqrt{1 + u_{\perp}^2 + u_z^2} - \phi(\zeta) - \beta_p u_z$$

- The Hamiltonian does not depend on time  $\rightarrow$  constant of motion  $H_0$

We want to find the electron trajectories in phase space:  $u_z(\zeta)$

- Solving the Hamiltonian for  $u_z$ , one finds

$$(H_0 + \phi + \beta_p u_z)^2 = 1 + u_{\perp}^2 + u_z^2 = \gamma_{\perp}^2 + u_z^2$$

- 2<sup>nd</sup> degree polynomial equation with solution

$$u_z = \beta_p \gamma_p^2 (H_0 + \phi) \pm \gamma_p \sqrt{\gamma_p^2 (H_0 + \phi)^2 - \gamma_{\perp}^2} \quad (\text{Exercise})$$

- If  $a(\zeta)$  and  $\phi(\zeta)$  and  $H_0$  are known then the initial conditions are known the trajectory in phase space  $u_z(\zeta)$  is known

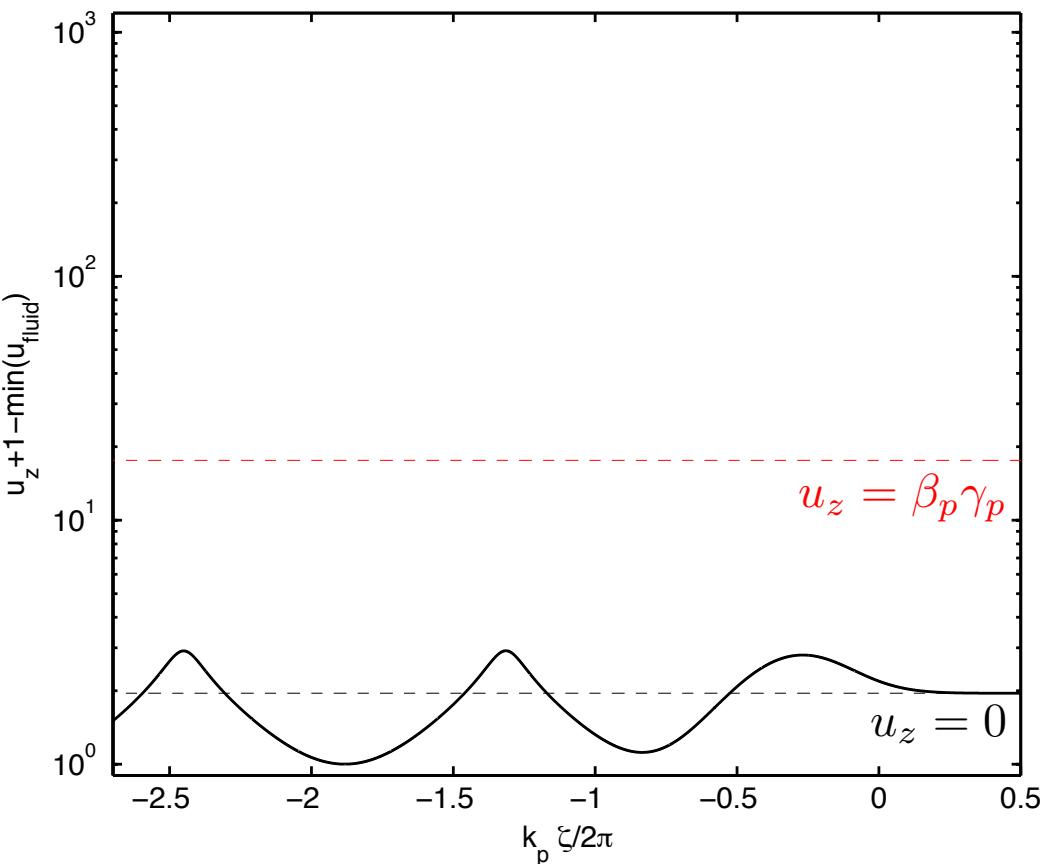
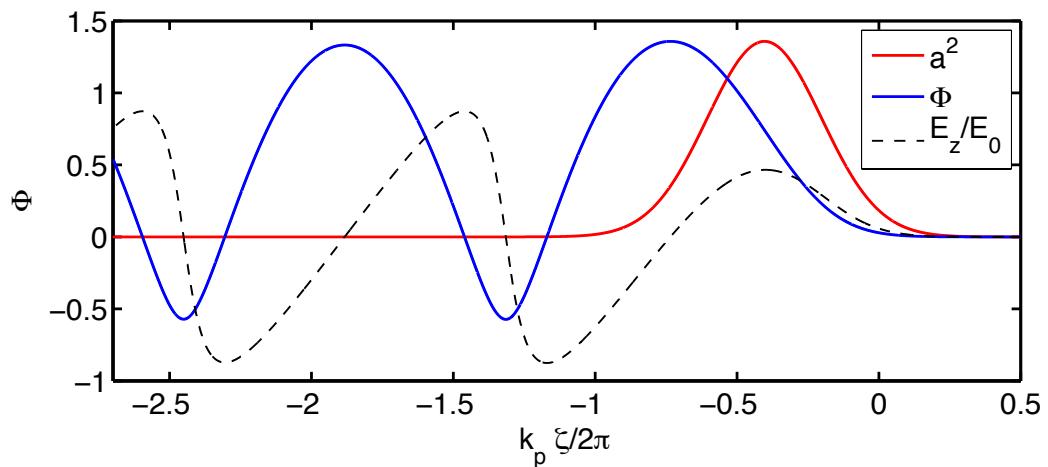
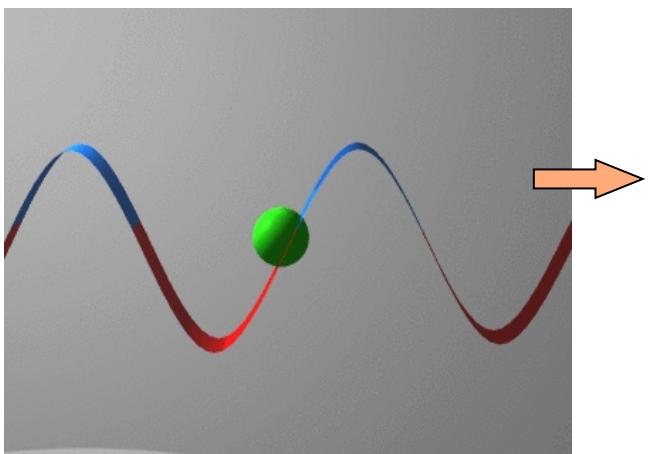
Fluid trajectories: electrons initially at rest in front of the laser

$$\zeta_i = +\infty$$

$$u_{\perp}(\zeta_i) = u_z(\zeta_i) = 0$$

$$H_0 = 1$$

### Fluid electrons



$$a = 2, n_e/n_c = 0.44\%, \lambda = 0.8\mu\text{m}, \tau = 20\text{fs}$$

# The separatrix: limit of trapped trajectories

$$\phi(\zeta_{min}) = \phi_{min}$$

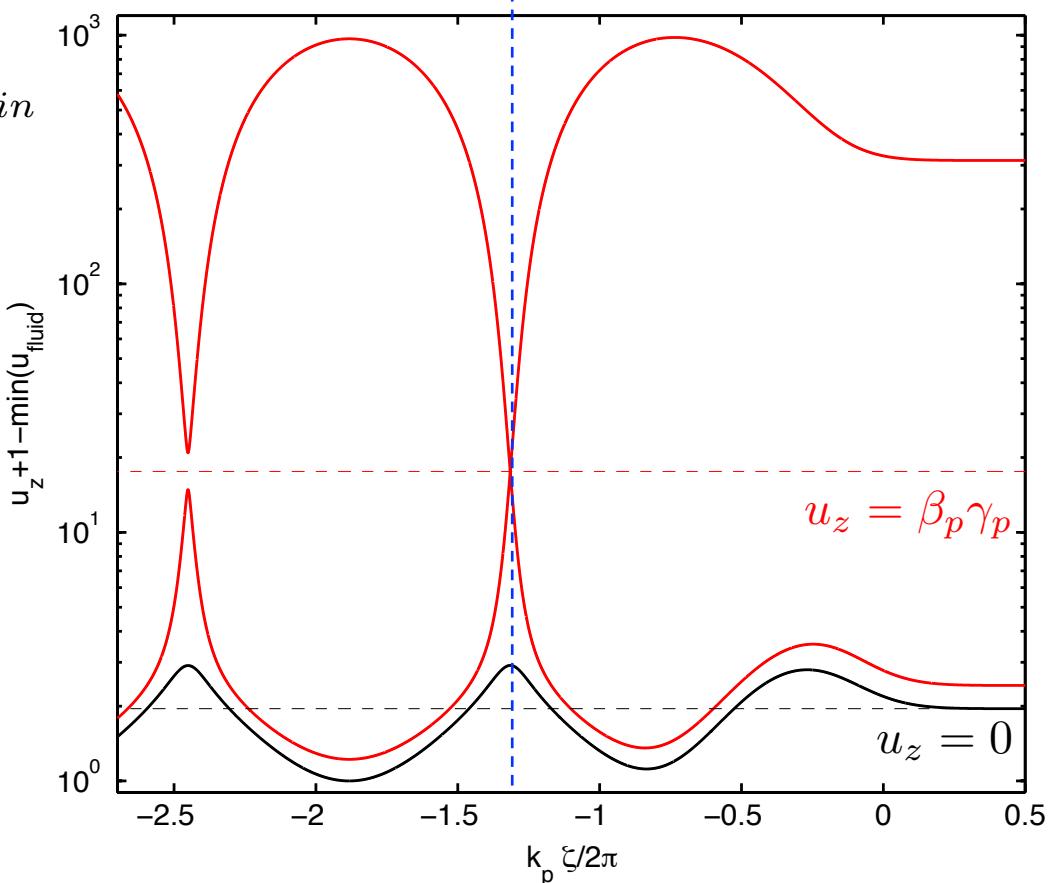
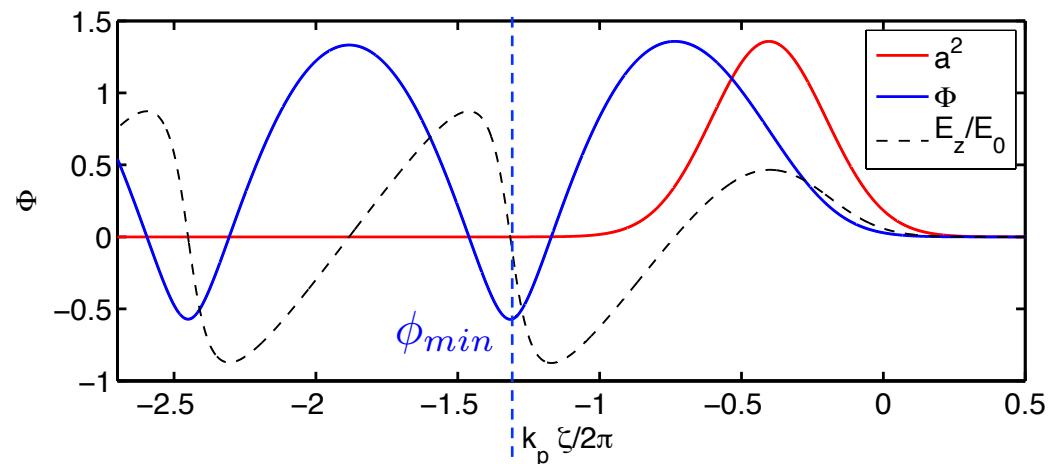
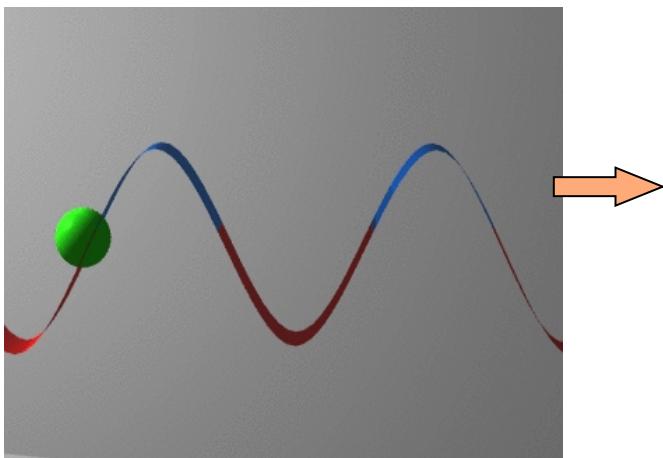
$$E_z(\zeta_{min}) = 0$$

$$u_\perp(\zeta_{min}) = a(\zeta_{min})$$

$$u_z(\zeta_{min}) = \beta_p \gamma_p$$

$$H_0 = H_{sep} = \gamma_\perp(\zeta_{min})/\gamma_p - \phi_{min}$$

**Trapped electrons= Paddling surfer**

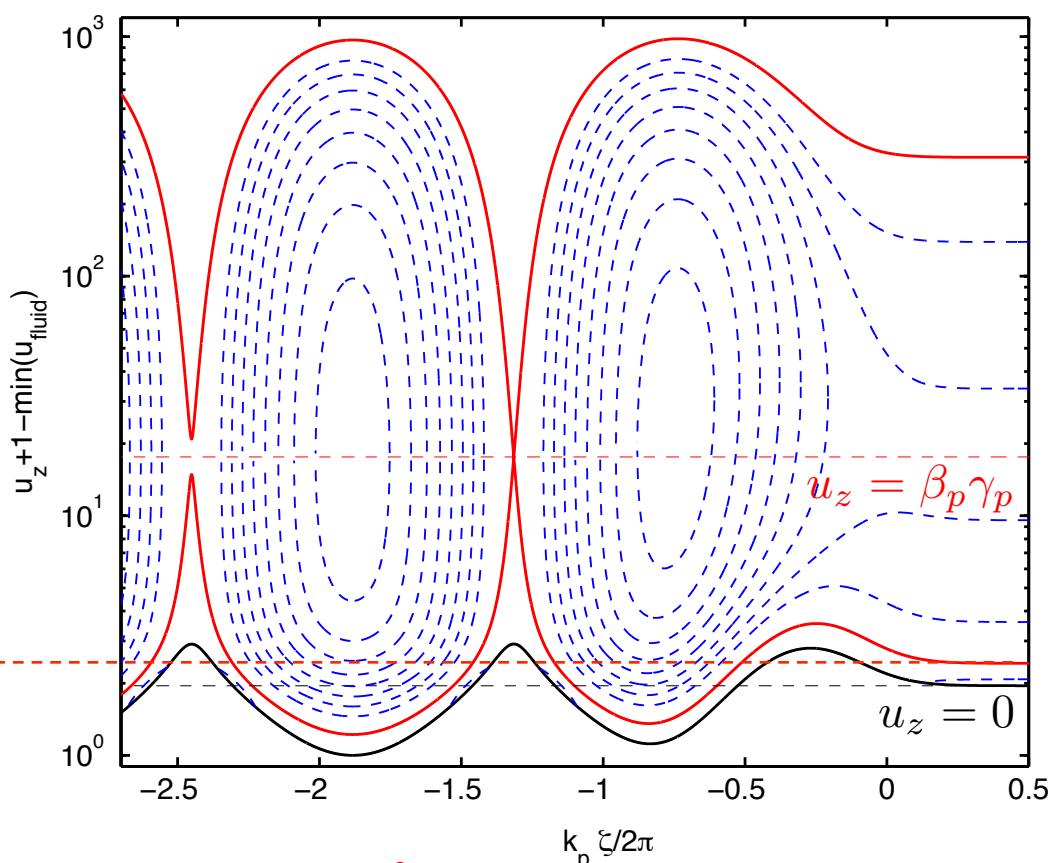
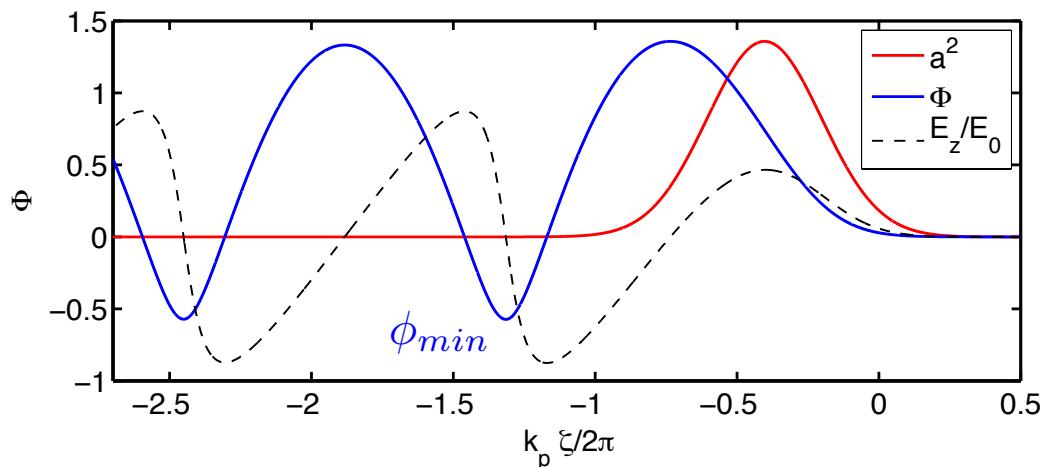


$$a = 2, n_e/n_c = 0.44\%, \lambda = 0.8\mu\text{m}, \tau = 20\text{fs}$$

## Trapped orbits

$$H_0 < H_{sep}$$

(Exercise)



Trapped electrons have initial kinetic energy

$$u_{z,sep}(\zeta = +\infty) > 0$$

$$a = 2, n_e/n_c = 0.44\%, \lambda = 0.8\mu\text{m}, \tau = 20\text{fs}$$

# Injection threshold

Calculate  $u_{z,sep}(+\infty)$  and obtain minimum energy for trapping

$$E_{trap} = m_e c^2 \left( \sqrt{1 + u_{z,sep}^2(+\infty)} - 1 \right)$$

We start from

$$u_z = \beta_p \gamma_p^2 (H_0 + \phi) \pm \gamma_p \sqrt{\gamma_p^2 (H_0 + \phi)^2 - \gamma_\perp^2}$$

Electrons in front of the laser pulse and on the separatrix

$$H_0 = H_{sep} = \gamma_\perp(\zeta_{min})/\gamma_p - \phi_{min}$$

$$a = 0, \phi = 0$$

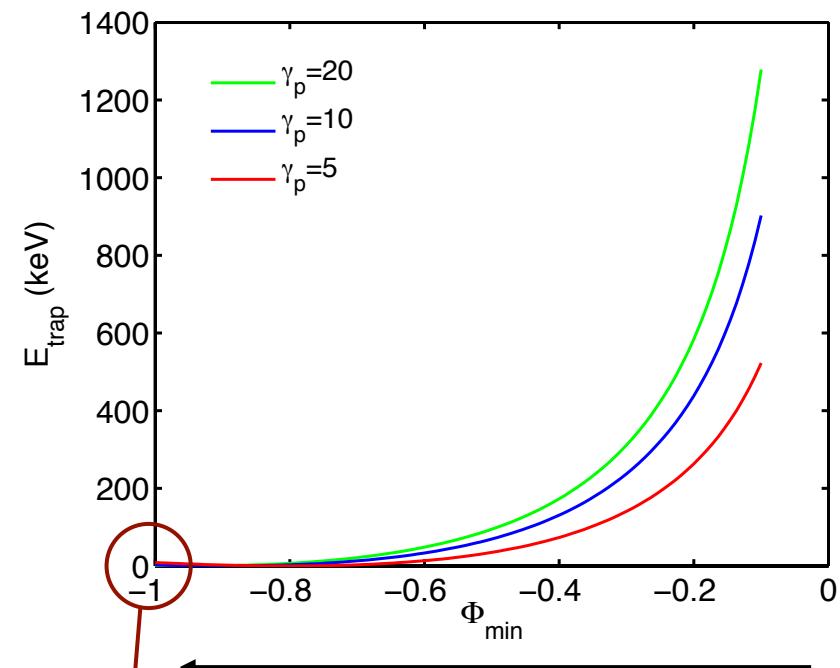
$$u_\perp = 0 \rightarrow \gamma_\perp^2 = 1 + u_\perp^2 = 1$$

We can easily calculate

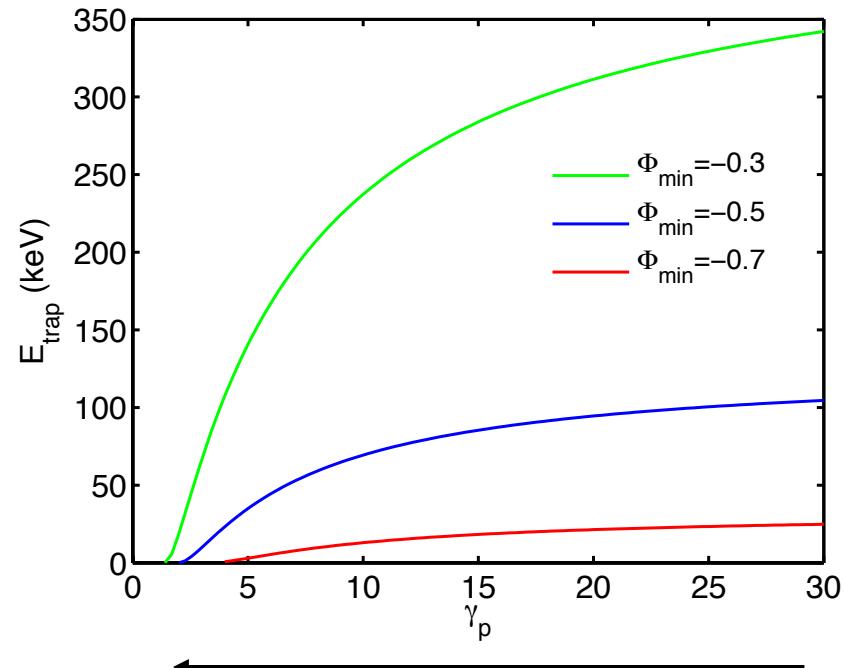
(Exercise)

$$u_{z,sep}(+\infty) = \beta_p \gamma_p^2 H_{sep} - \gamma_p \sqrt{\gamma_p^2 H_{sep}^2 - 1}$$

# Injection thresholds



Larger wake amplitudes



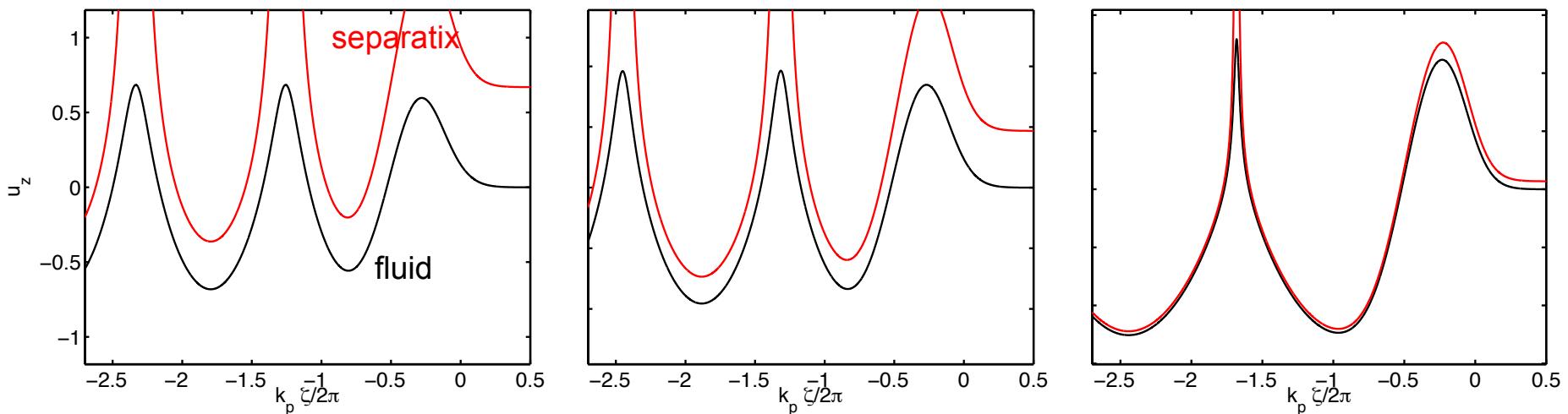
Slower wakes

Injection threshold is lower for large amplitude and slow wakefields

Wavebreaking ?

# Wavebreaking as an injection mechanism

Zoom on the fluid trajectory



$$a = 1.6, \phi_{min} = -0.45$$

$$a = 2, \phi_{min} = -0.57$$

$$a = 4, \phi_{min} = -0.8$$

- As the wake amplitude increases ( $|\phi_{min}|$  increases), the fluid trajectory gets closer to the separatrix
- 1D wavebreaking occurs when fluid and separatrix overlap
- All plasma electrons are then injected and accelerated

# Wavebreaking



# Outline

- Ionization injection

References:

## Experiments

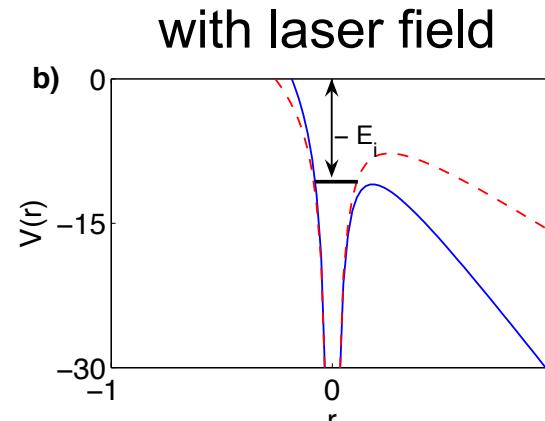
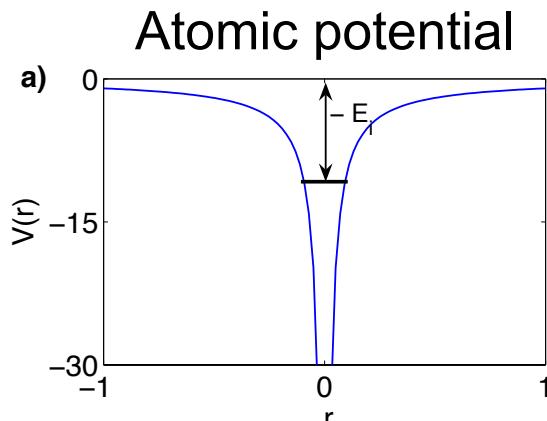
C. McGuffey et al., Phys. Rev. Lett. **104**, 025004 (2010)

A. Pak et al., Phys. Rev. Lett. **104**, 025003 (2010)

## Theory

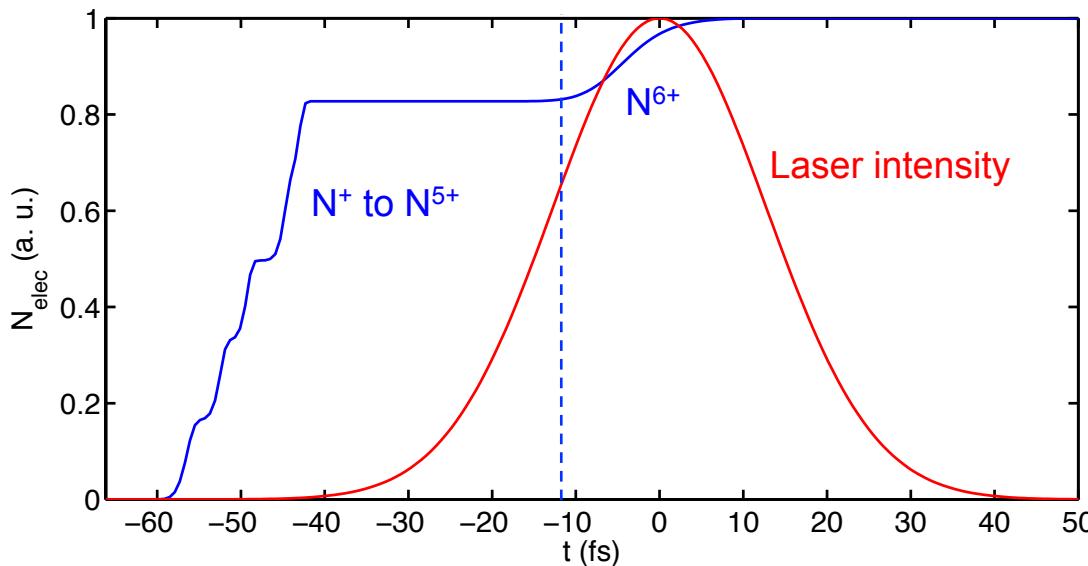
M. Chen et al., Phys. Plasmas **19**, 033101 (2012)

# Ionization by barrier suppression



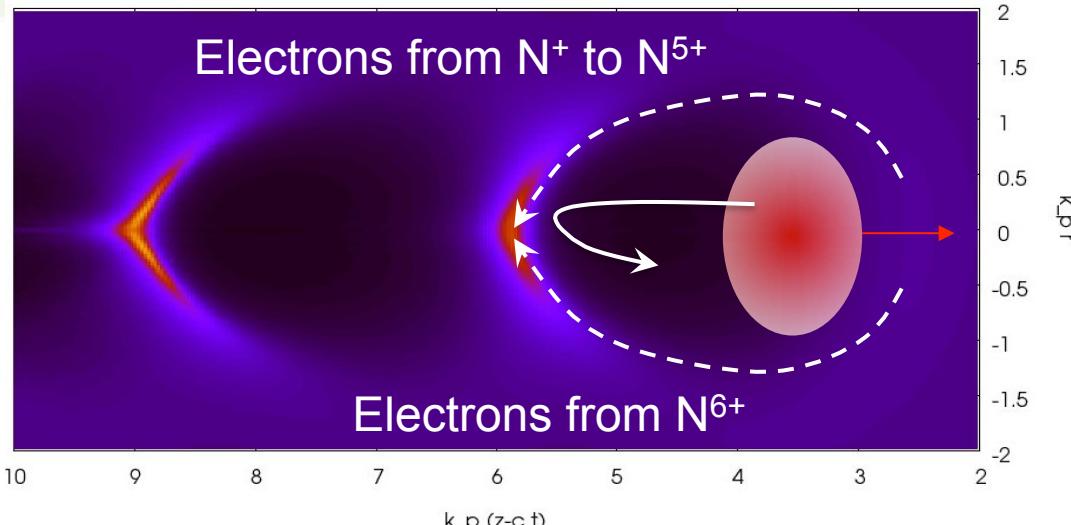
$$I[W/cm^2] = 4 \times 10^9 E_i^4 [eV]/Z^{*2}$$

Example: Nitrogen  
 $I=10^{19} W/cm^2$



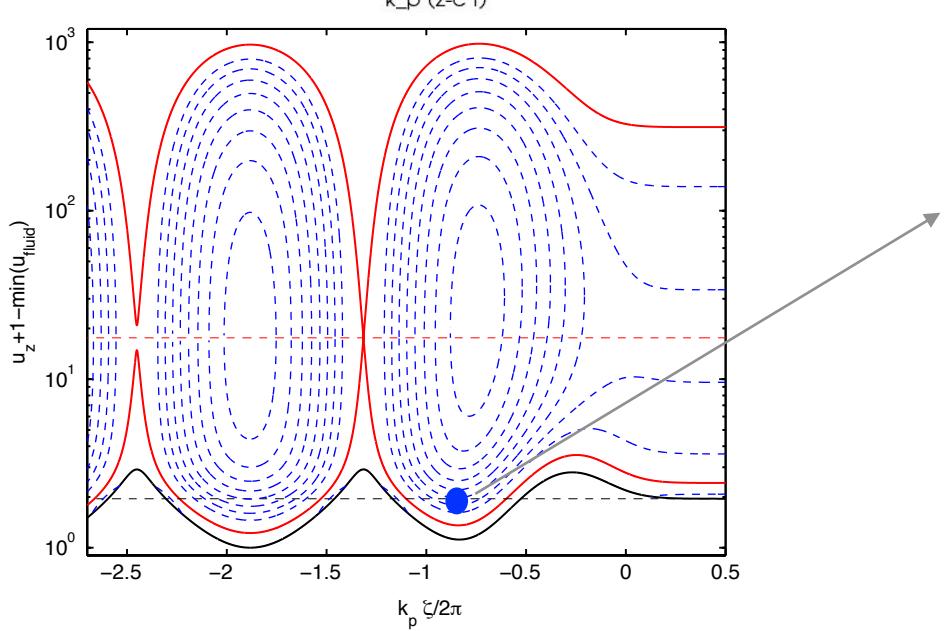
$N^{6+}$  electrons are created  
In the middle of the laser pulse

# Principle of ionization injection



Ionized electrons are born in the laser and in the wake itself

- They have **different initial conditions** compared to fluid electrons
- “Dropping” them at the right phase so they can be trapped



Main idea:

If we drop an electron at rest at this phase, it will be on a **trapped orbit**

# Calculation of phase space trajectories for ionization injection

$$u_z = \beta_p \gamma_p^2 (H_0 + \phi) \pm \gamma_p \sqrt{\gamma_p^2 (H_0 + \phi)^2 - \gamma_{\perp}^2}$$

We just have to plug in the right initial conditions:

- Assume electrons are born at phase  $\zeta_{ion}$
- Born at rest  $\rightarrow u_{\perp}(\zeta_{ion}) = u_z(\zeta_{ion}) = 0$
- Born close to the peak of the laser field a  $\rightarrow a(\zeta_{ion}) \simeq 0$

$$\rightarrow \gamma_{\perp}(\zeta)^2 = 1 + u_{\perp}(\zeta)^2 = 1 + a(\zeta)^2$$

- Initial Hamiltonian  $H = \sqrt{1 + u_{\perp}^2 + u_z^2} - \phi(\zeta) - \beta_p u_z$

$$H_{ion} = 1 - \phi(\zeta_{ion})$$

# Trajectories of trapped electrons

$$a = 2, n_e/n_c = 0.44\%$$

Condition for trapping:

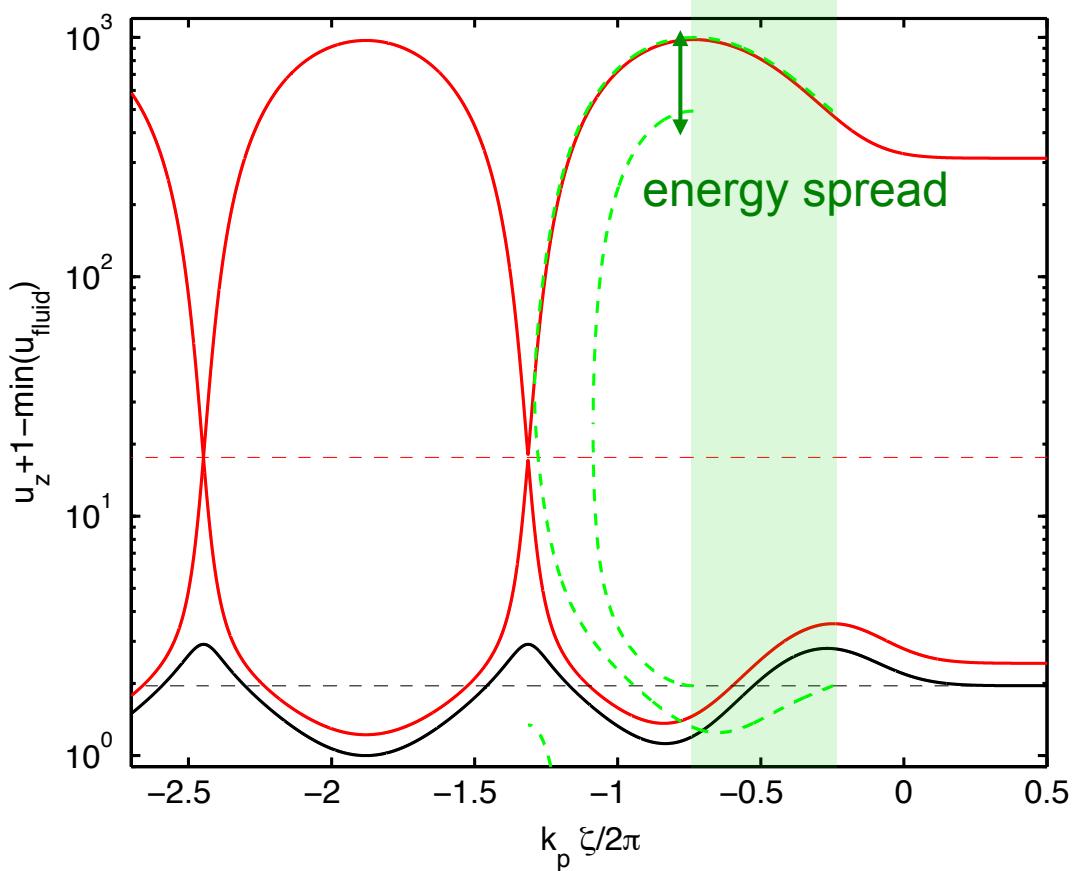
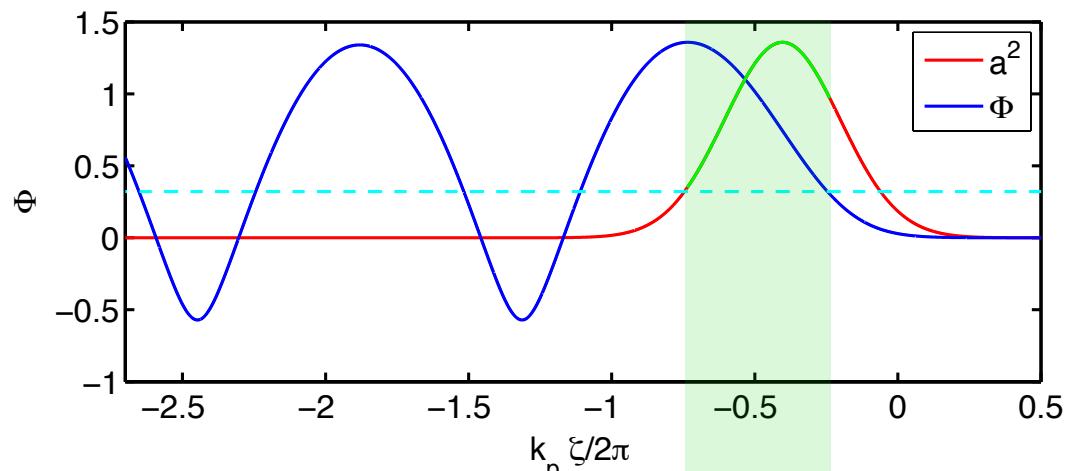
- Electrons should be ionized

$$a(\zeta_{ion}) > a_{threshold}$$

- Initial condition for trapping:

$$H_{ion} < H_{sep}$$

- Defines a trapping region in phase space

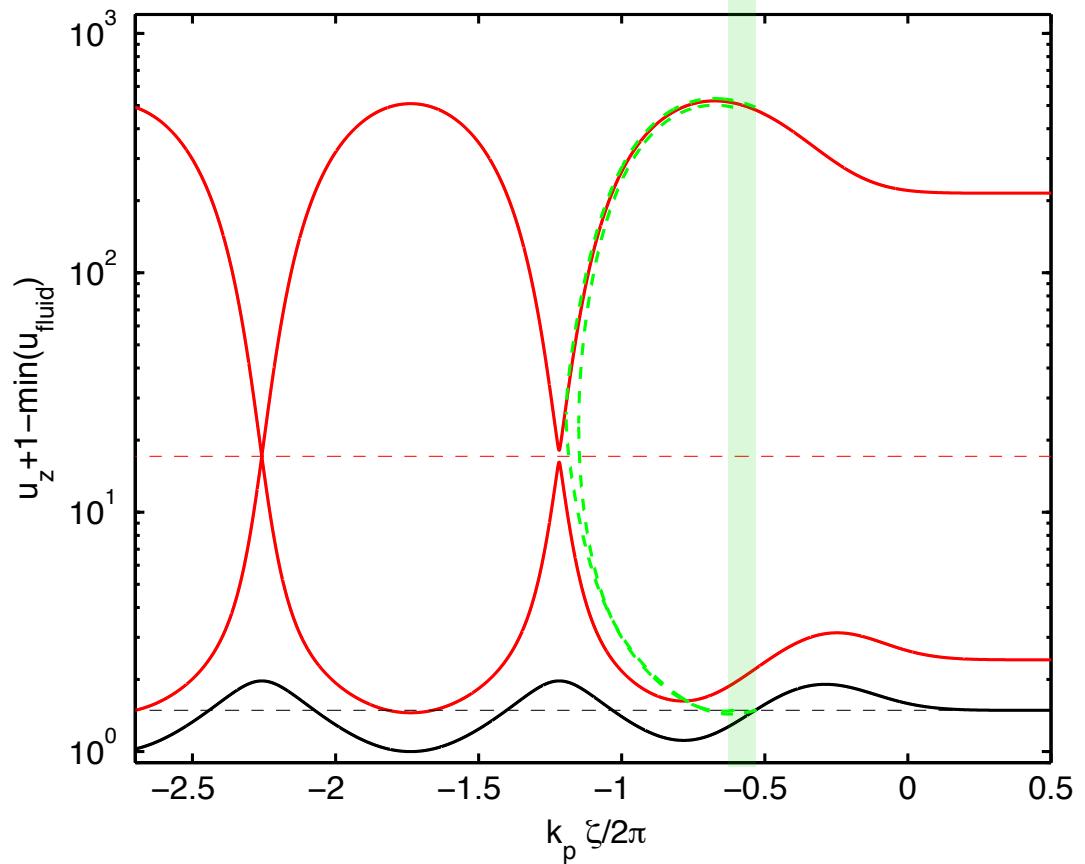
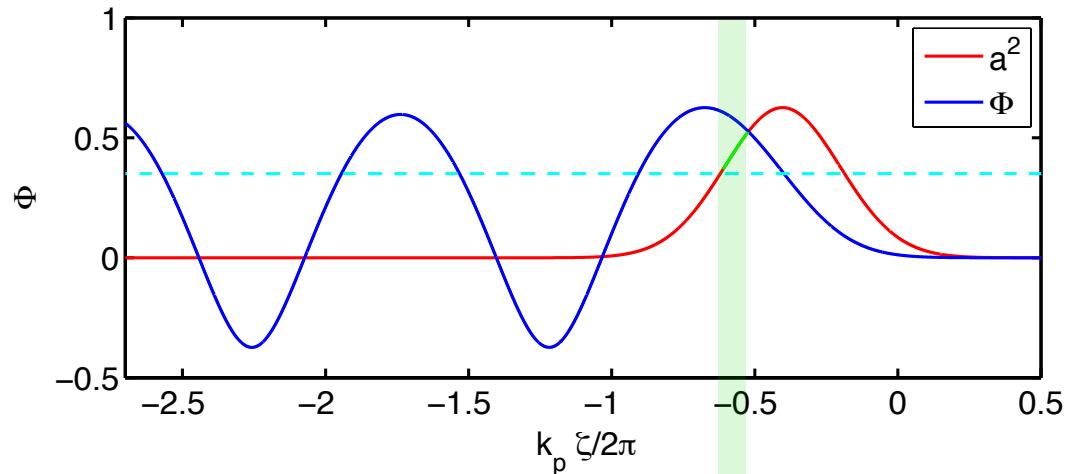


Same thing at lower laser intensity

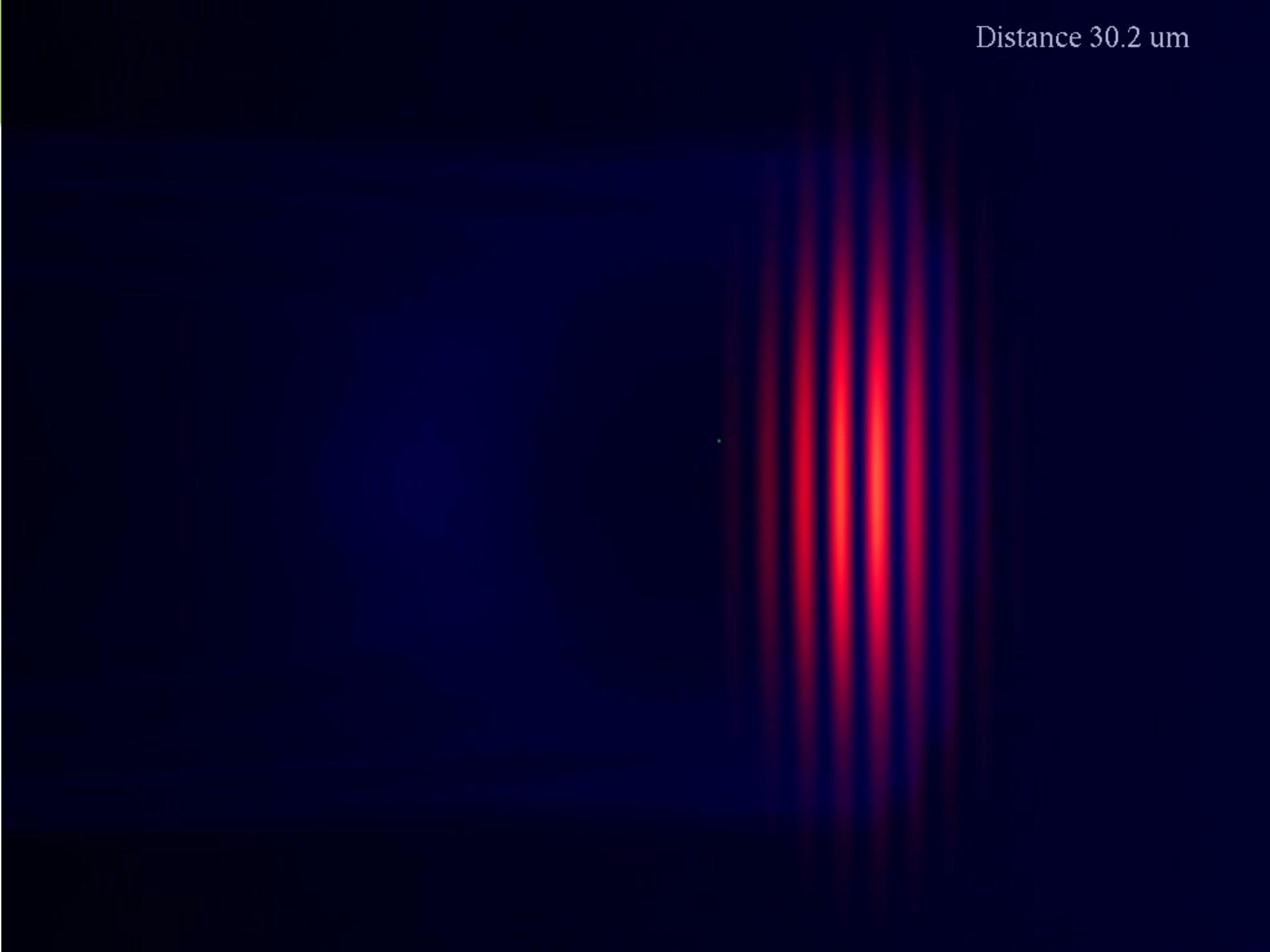
$$a = 1.3, n_e/n_c = 0.44\%$$

- Smaller trapping region
- Better energy spread
- Ionization injection requires typically

$$a > 1$$



Distance 30.2 um

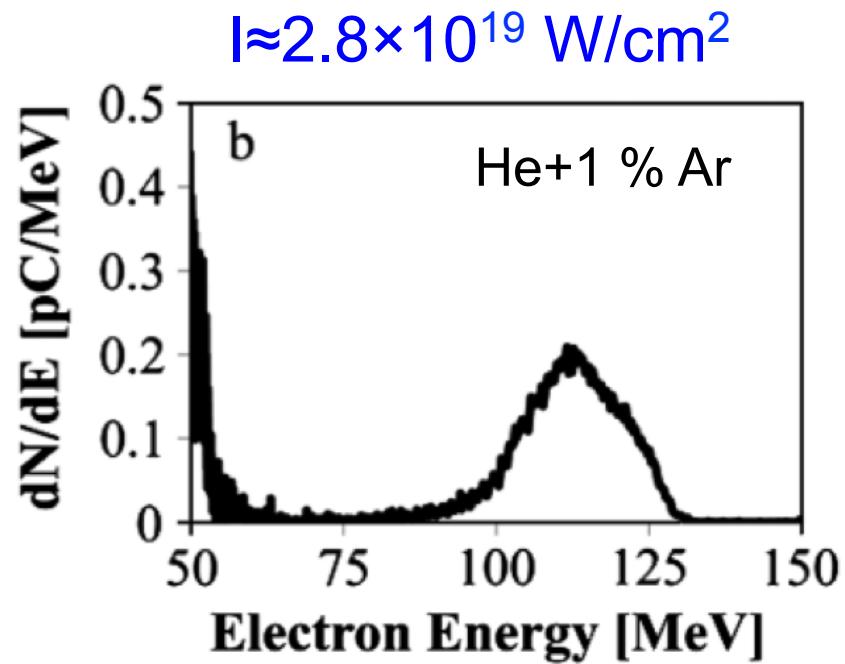
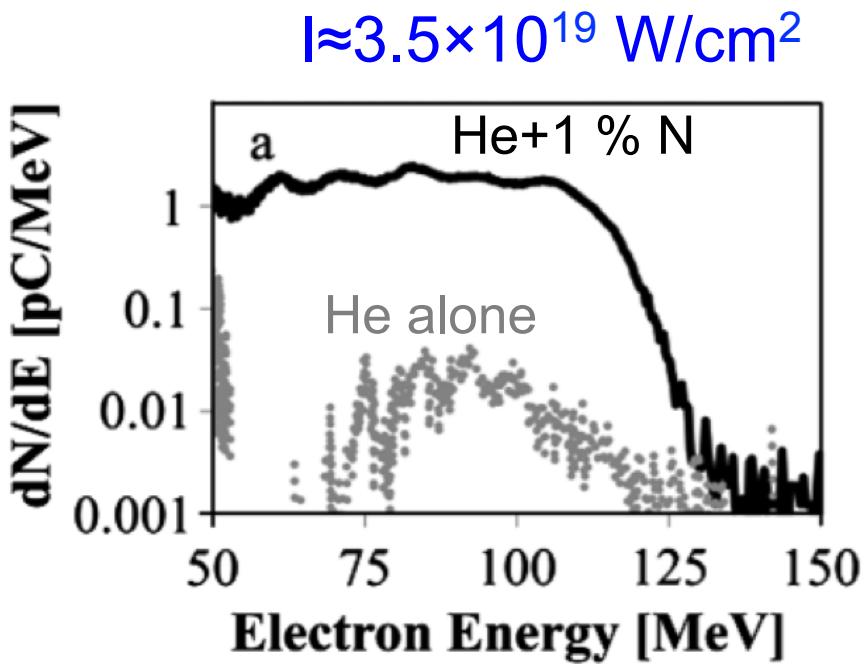


# Example of experimental results

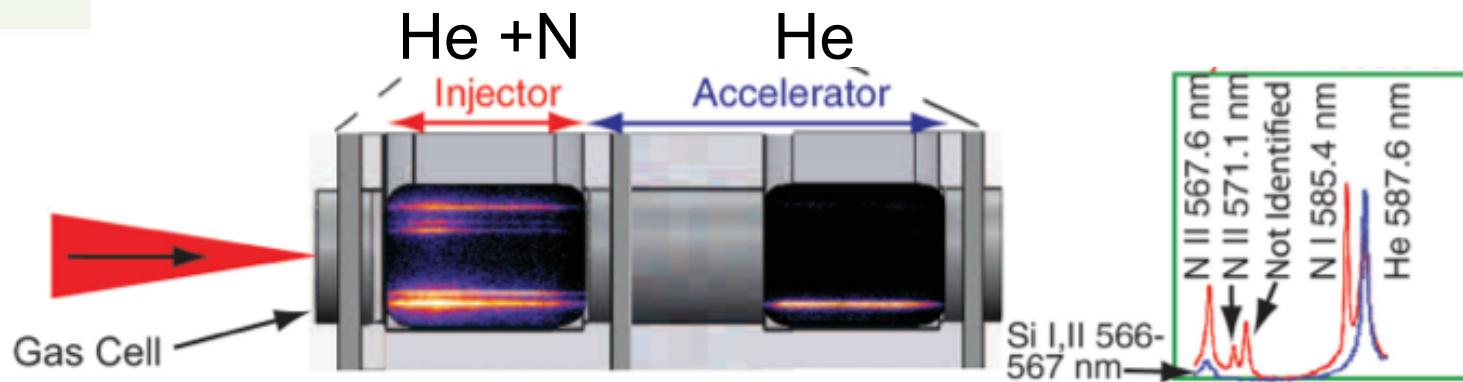
From C. McGuffey et al., Phys. Rev. Lett. **104**, 025004 (2010)

$$n_e \approx 10^{19} \text{ cm}^{-3}$$

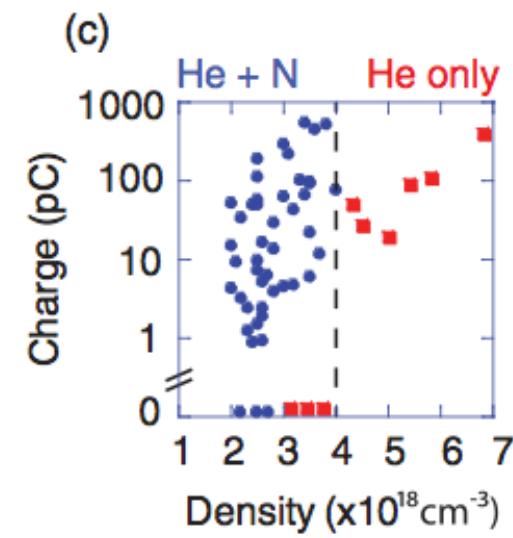
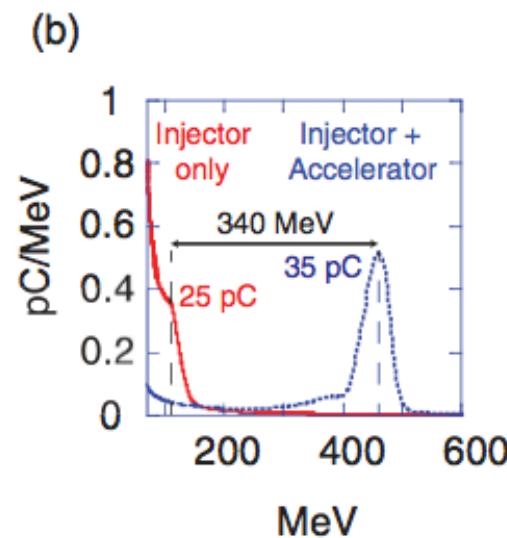
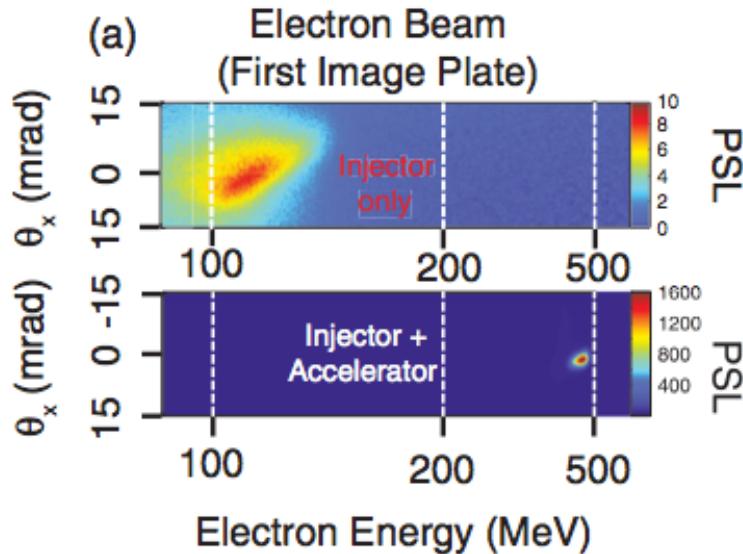
Higher charge with ionization injection: trapping is easier



# Ionization injection as a injector for a 2 stage laser-plasma accelerator

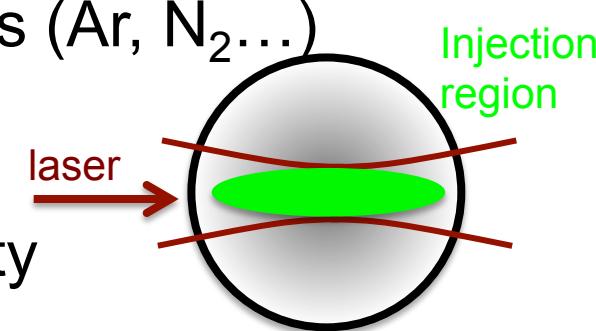


60 fs, 50-100 TW



# Ionization injection: conclusion

- Easy to implement: just add some high Z gas (Ar, N<sub>2</sub>...)
- Good for increasing the charge
- Injection region is controlled by laser intensity
  - Difficult to control
  - Fluctuations in laser intensity directly impacts charge, energy spread, emittance ...
  - Difficult to obtain high quality beams (high energy spread):
    - Requires to perform ionization in a very localized region (a small slice of plasma with a high Z gas)



→ Good research project: invent a device for ionization injection giving small energy spread (percent level)

# Outline

- Colliding pulse injection

## References:

### Theory

Esarey et al. Phys. Rev. Lett. **79**, 2682 (1997)

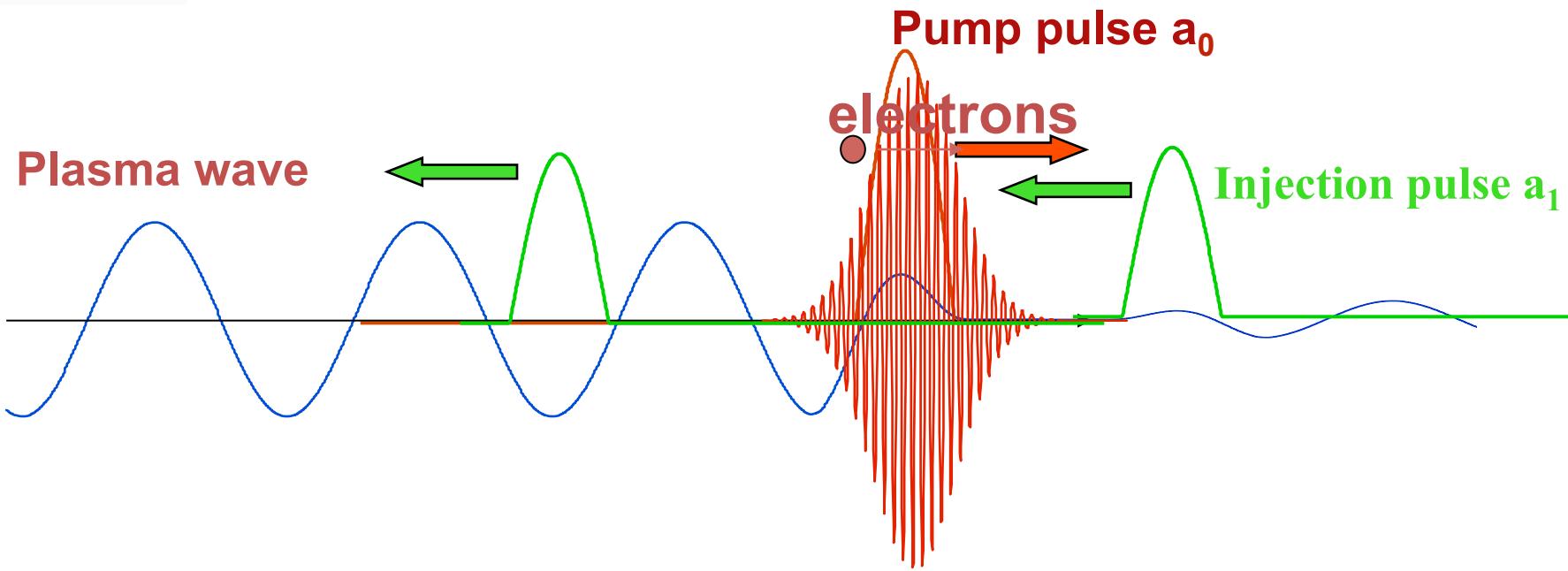
Fubiani et al., Phys. Rev. E **70**, 016402 (2004)

### Experiments

Faure et al., Nature **444**, 737 (2006)

Rechatin et al., Phys. Rev. Lett. **102**, 164801 (2009)

# Principle of colliding pulse injection



Ponderomotive force in the beatwave:  $F_p \sim 2a_0 a_1 / \lambda_0$

The beatwave pre-accelerates electrons locally and injects them  
**INJECTION** is local and short (30 fs) → monoenergetic beams

# Hamiltonian in the laser beat wave

- Assume two counter propagating laser pulses  $\mathbf{a}_0$  and  $\mathbf{a}_1$

$$\begin{aligned} H_{beat} &= \sqrt{1 + u_{\perp}^2 + u_z^2} && \text{(reminder conservation of canonical momentum)} \\ &= \sqrt{1 + (\mathbf{a}_0 + \mathbf{a}_1)^2 + u_z^2} && \mathbf{u}_{\perp} = \mathbf{a} \end{aligned}$$

- Assume same wavelength and circular polarization

$$\mathbf{a}_0 = \frac{a_0}{\sqrt{2}} (\cos(k_0 z - \omega_0 t) \mathbf{e}_x + \sin(k_0 z - \omega_0 t) \mathbf{e}_y)$$

$$\mathbf{a}_1 = \frac{a_1}{\sqrt{2}} (\cos(k_0 z + \omega_0 t) \mathbf{e}_x - \sin(k_0 z + \omega_0 t) \mathbf{e}_y)$$

$$(\mathbf{a}_0 + \mathbf{a}_1)^2 = \frac{a_0^2 + a_1^2}{2} + a_0 a_1 \cos(2k_0 z)$$

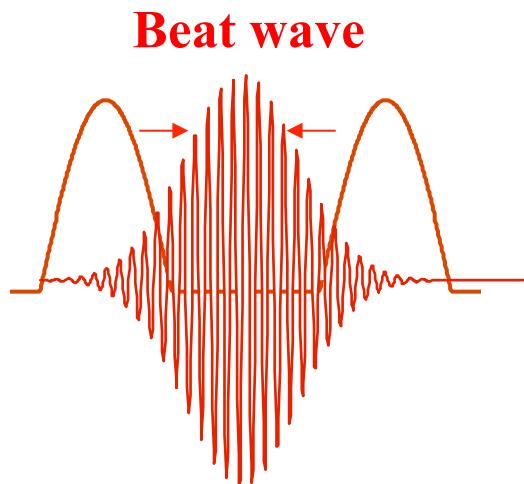
- Hamiltonian is conserved (does not depend on time)

# Separatrix in the beatwave

(Exercise)

Solve for  $u_z$  with initial conditions

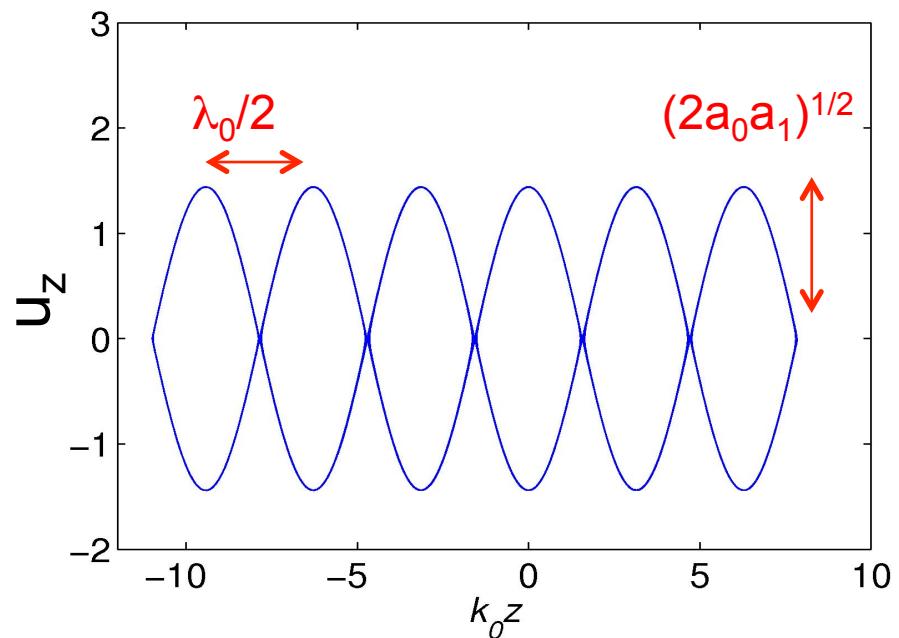
$$u_z = 0 \text{ for } z = 0$$



Intensity modulations  
at  $\lambda_0/2$

$$u_{beat,sep} = \pm \sqrt{a_0 a_1 (1 - \cos(2k_0 z))}$$

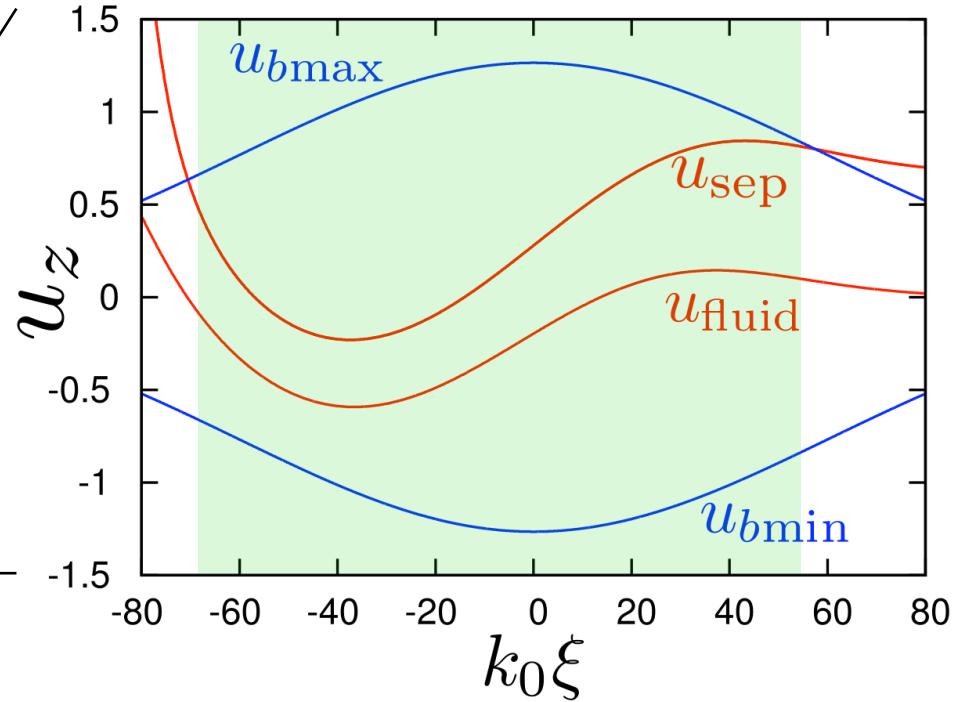
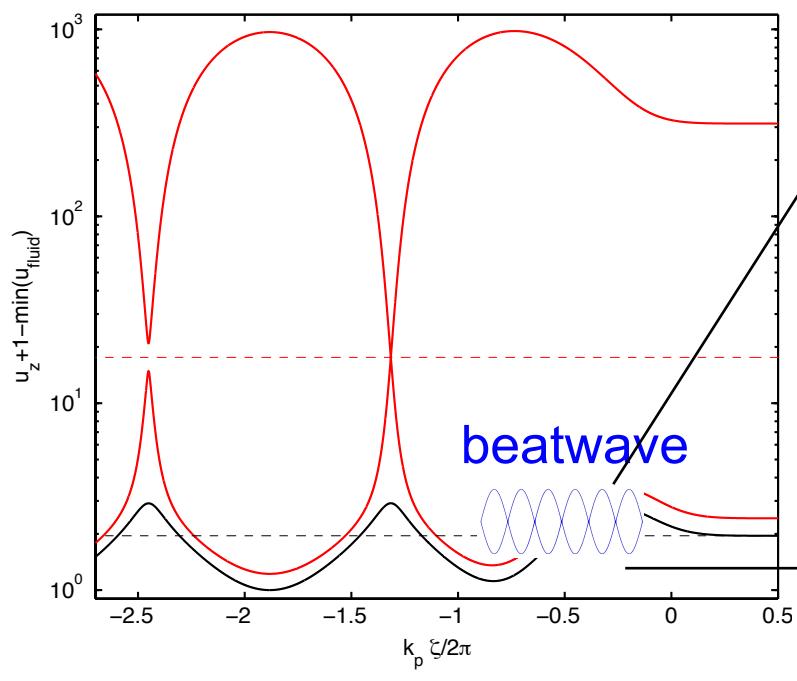
$$u_{beat,max} = \sqrt{2a_0 a_1}$$



Typical energy gain in beatwave  $a_0=2$ ,  $a_1=0.3$   
 $E_{beat} \approx 250 \text{ keV}$

# Injection condition:

Kick electrons from fluid to trapped orbits

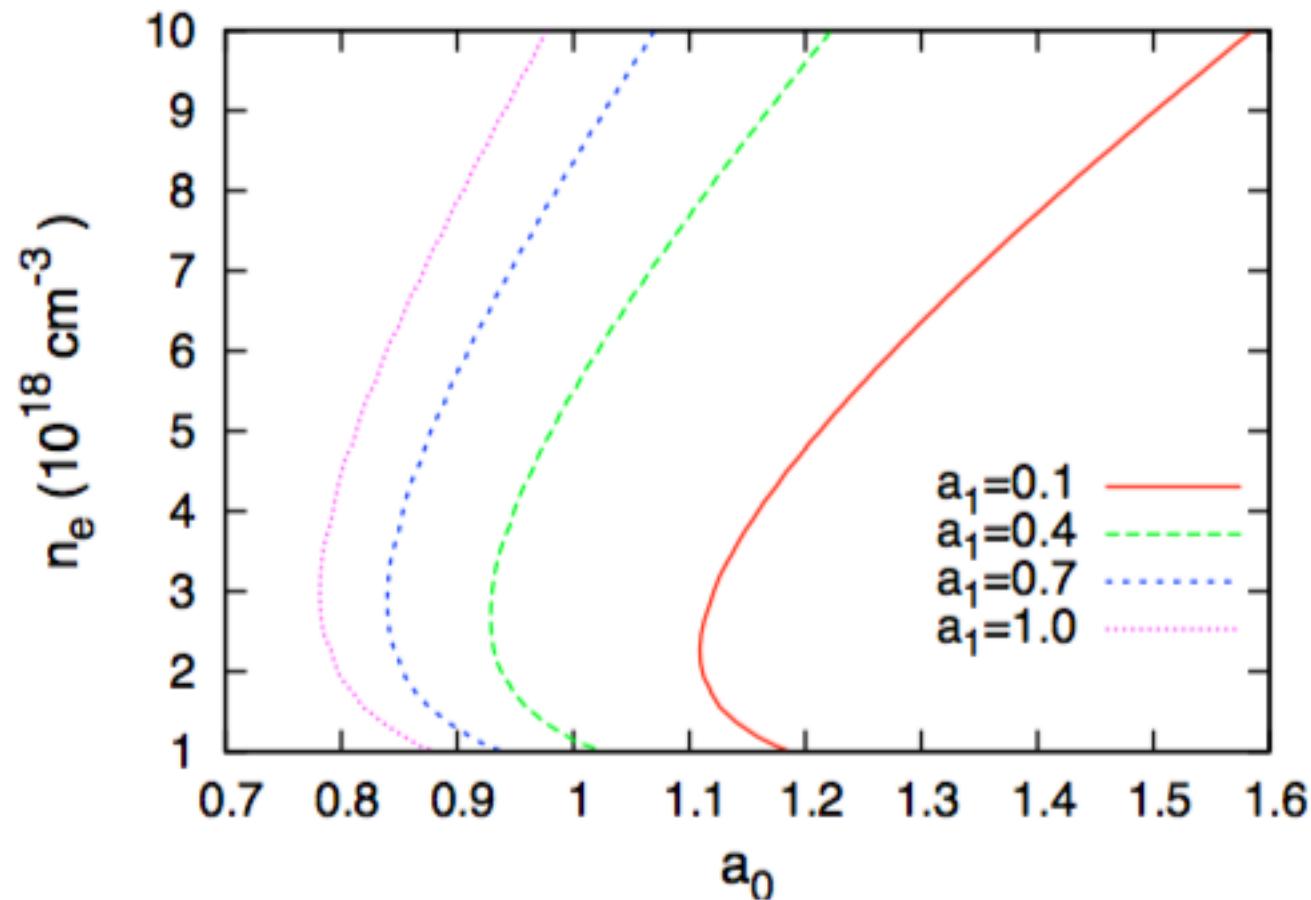


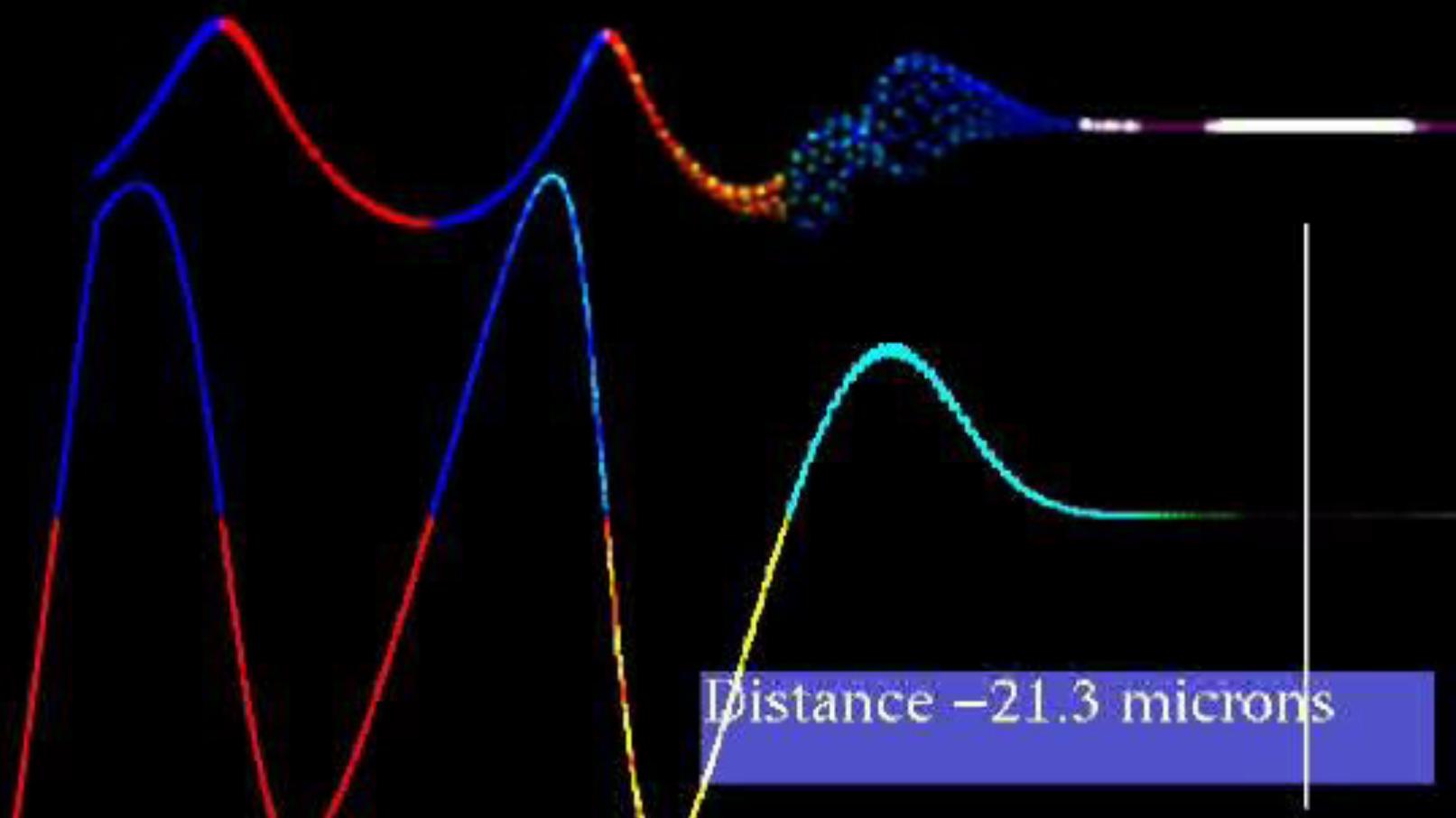
- Injection region defined for  $\zeta$  such as

$$u_{beat,max}(\zeta) > u_{sep}(\zeta)$$

$$u_{beat,min}(\zeta) < u_{fluid}(\zeta)$$

# Thresholds for colliding pulse injection for a 30 fs laser pulse

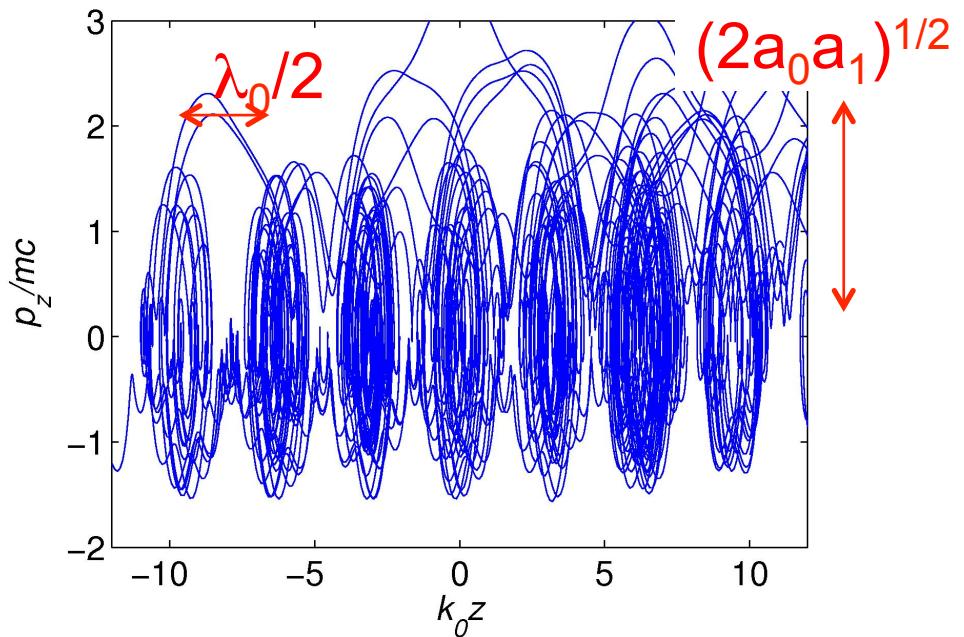




Distance -21.3 microns

# Things we did not mention

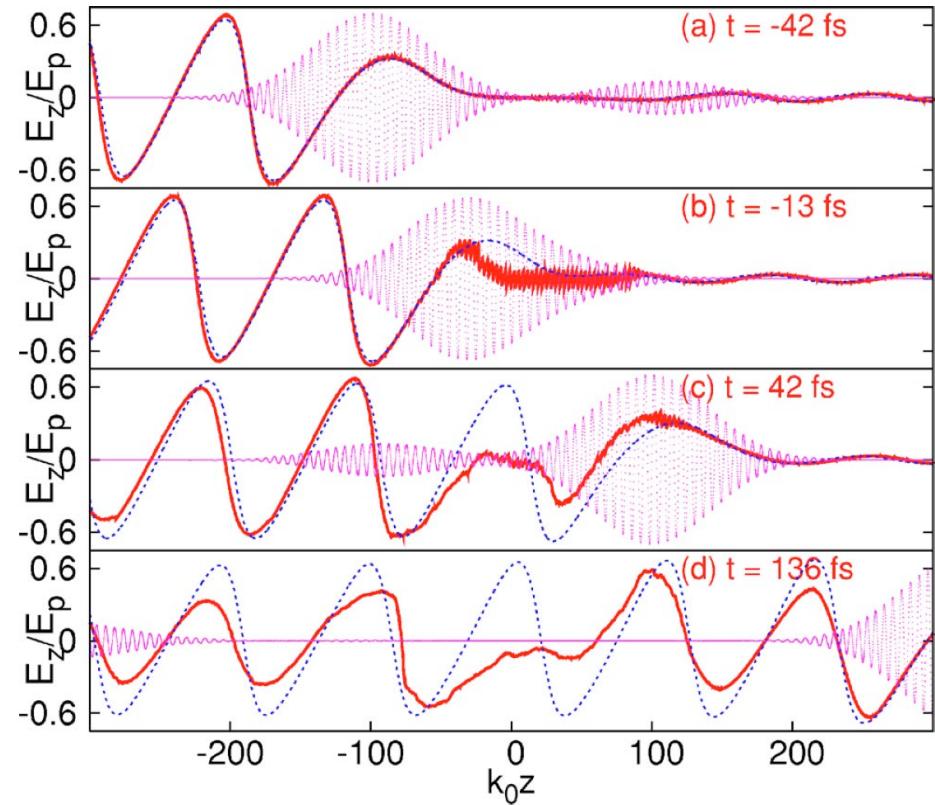
- With linear polarization, electron motion in the beat wave is **chaotic**
- Heating is more efficient with linear pol. (see experiments)
- The wakefield is inhibited during the collision



# Things we did not mention (2)

- The wakefield is inhibited during the collision

Rechatin et al., PoP 14, 060702 (2007)

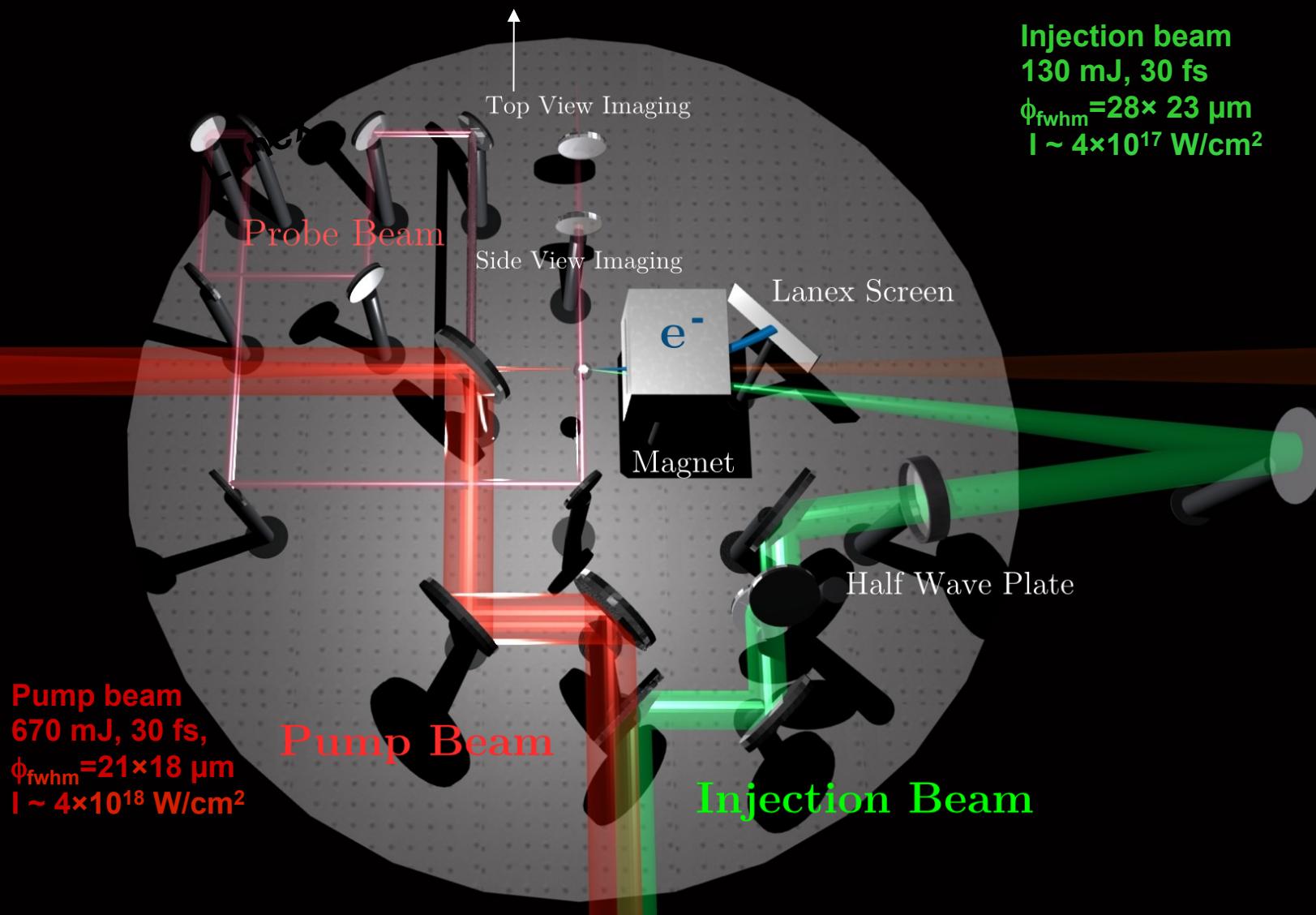


- Physics is 3D

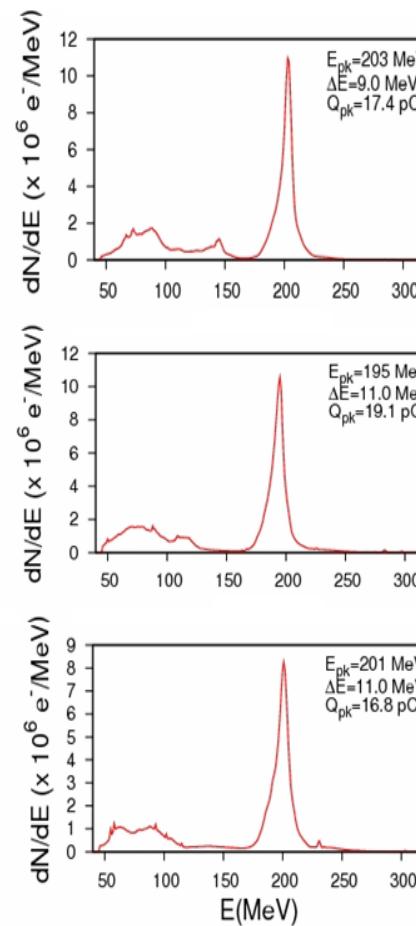
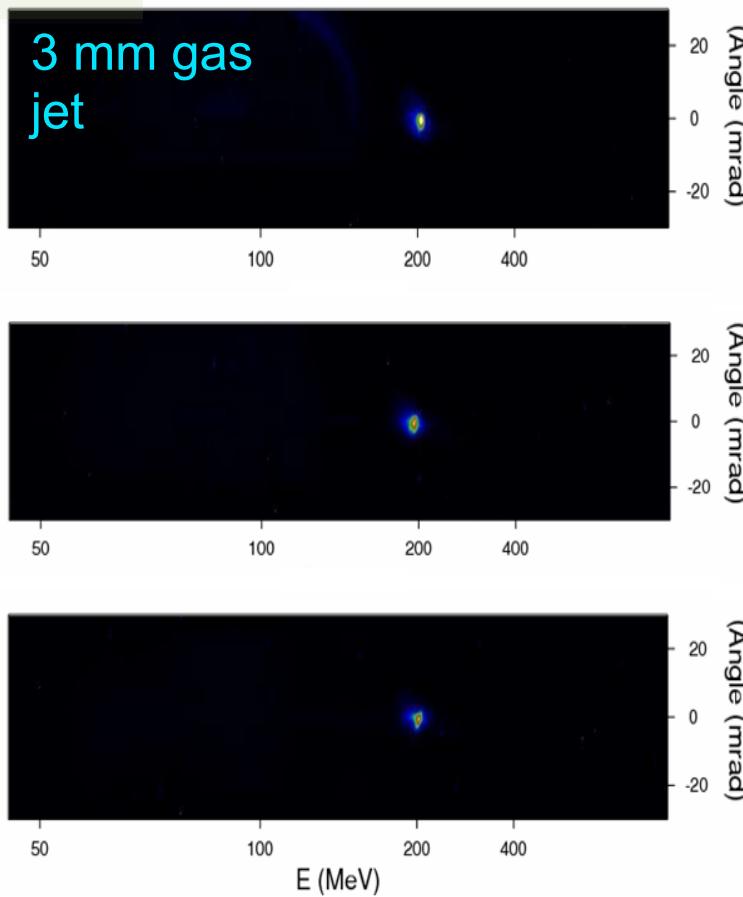
Davoine et al., PRL 102, 065001 (2009)

Full modeling requires self-consistent 3D PIC simulations

# Experimental set up



# Stable monoenergetic beams



Statistics (30 shots):

$$E = 206 \pm 11 \text{ MeV}$$

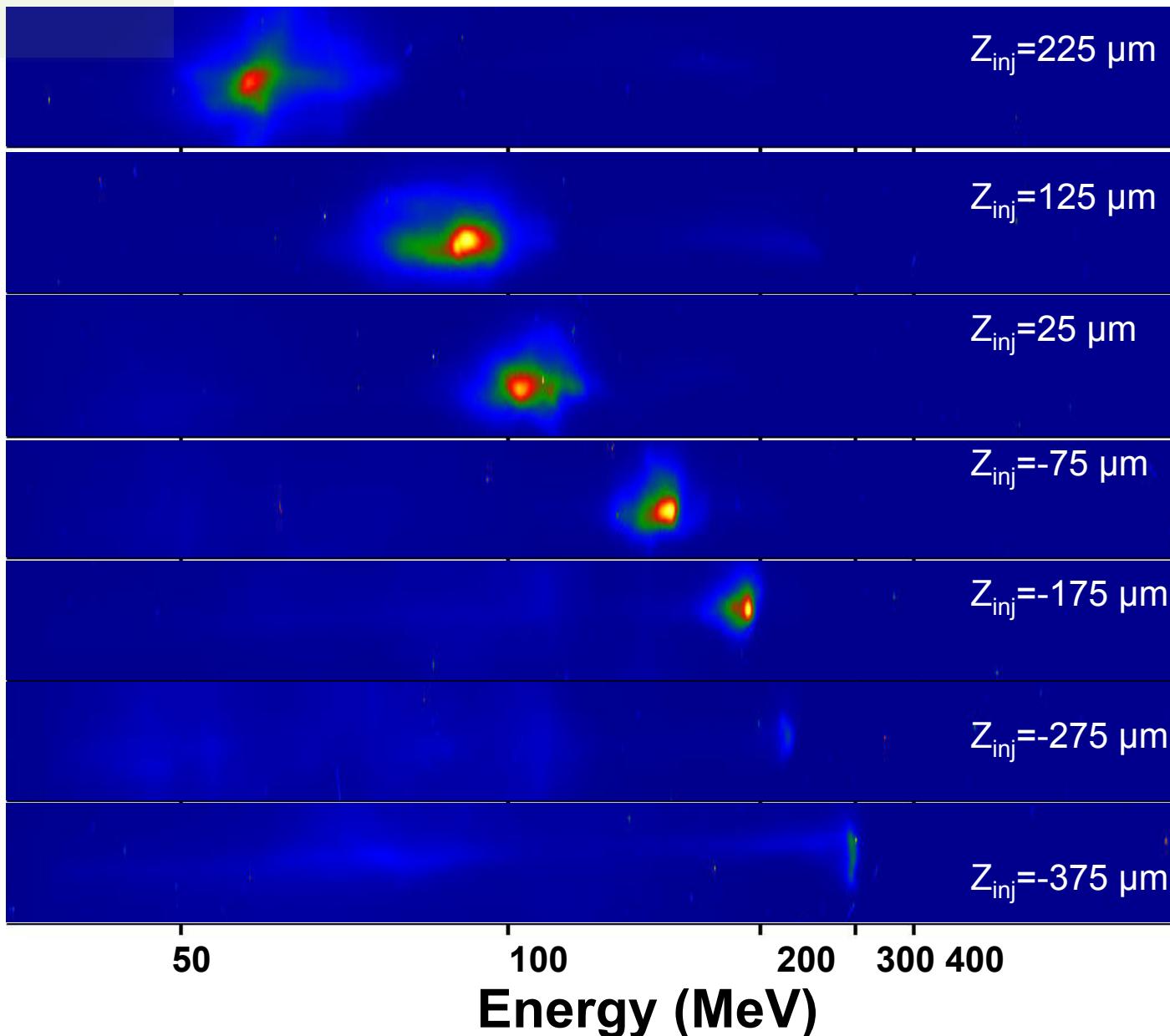
$$\text{charge} = 13 \pm 4 \text{ pC}$$

$$\delta E = 14 \pm 3 \text{ MeV}$$

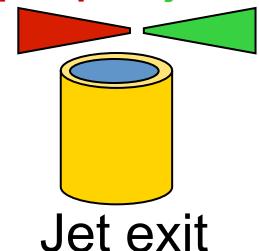
$$\delta E/E = 6\%$$

Very little electrons at low energy,  $\delta E/E=5\%$  limited by spectrometer

# Tuning the beam energy

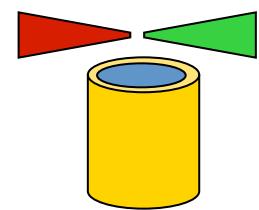


pump injection



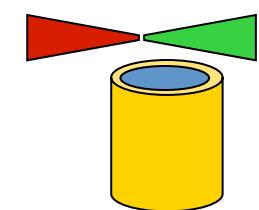
Jet exit

pump injection



Middle of jet

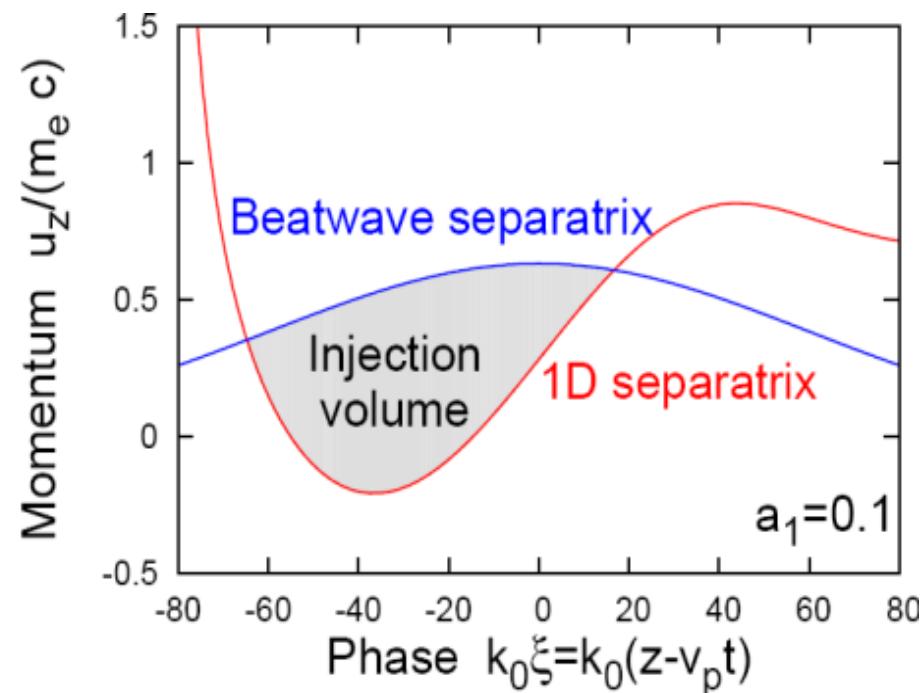
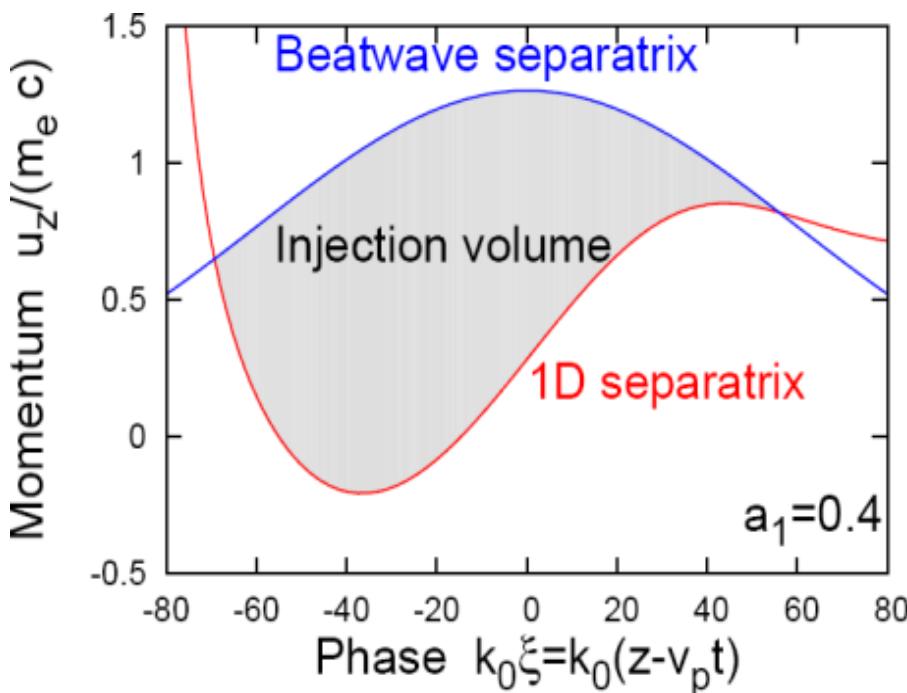
pump injection



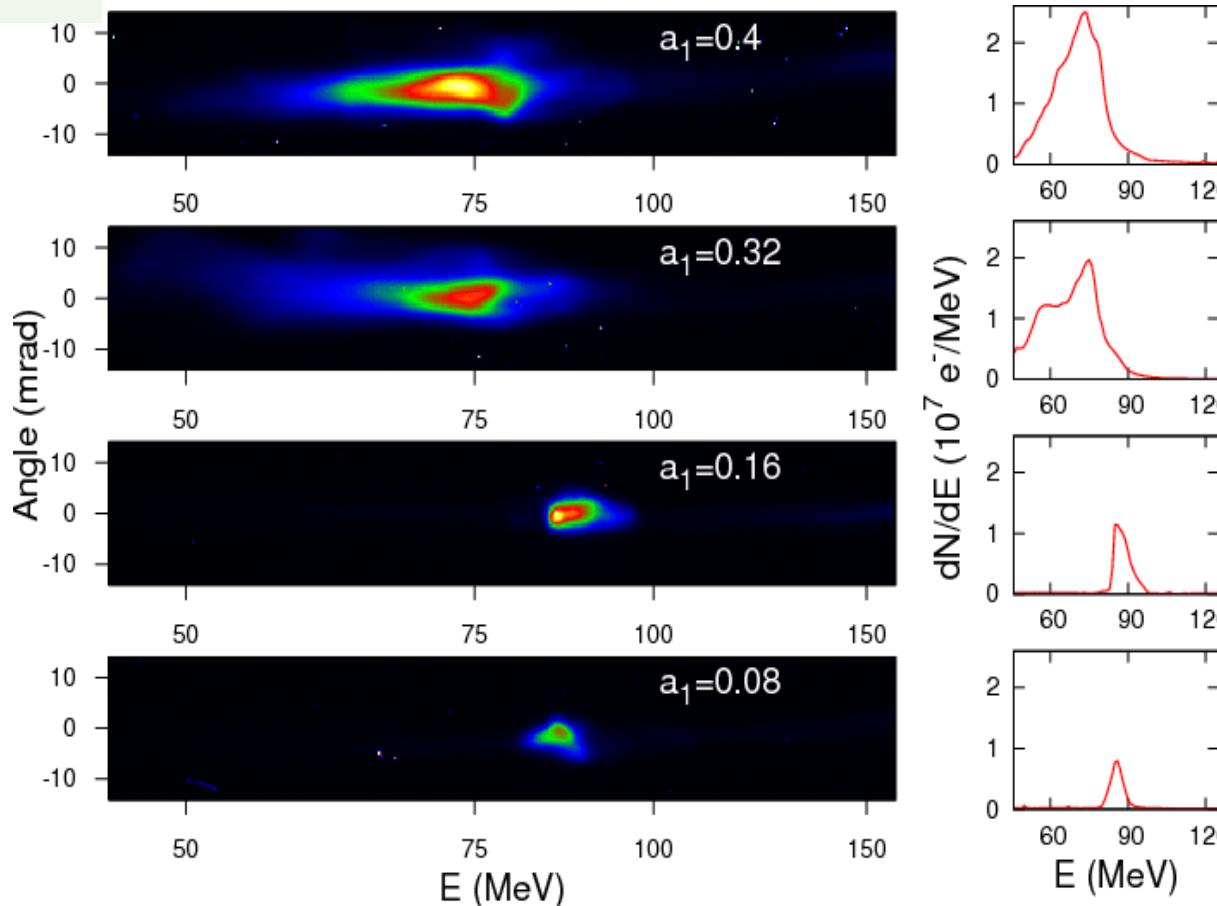
Beginning of jet

# Controlling charge and energy spread

- Charge can be controlled by
  - Modifying how much electrons are heated at the collision  
→ by modifying the intensity of the injection pulse, one can control the amount of heating:  $E_{\text{beat}} \sim (2a_0 a_1)^{1/2}$
- $dE/E$  also follows the variation of the charge

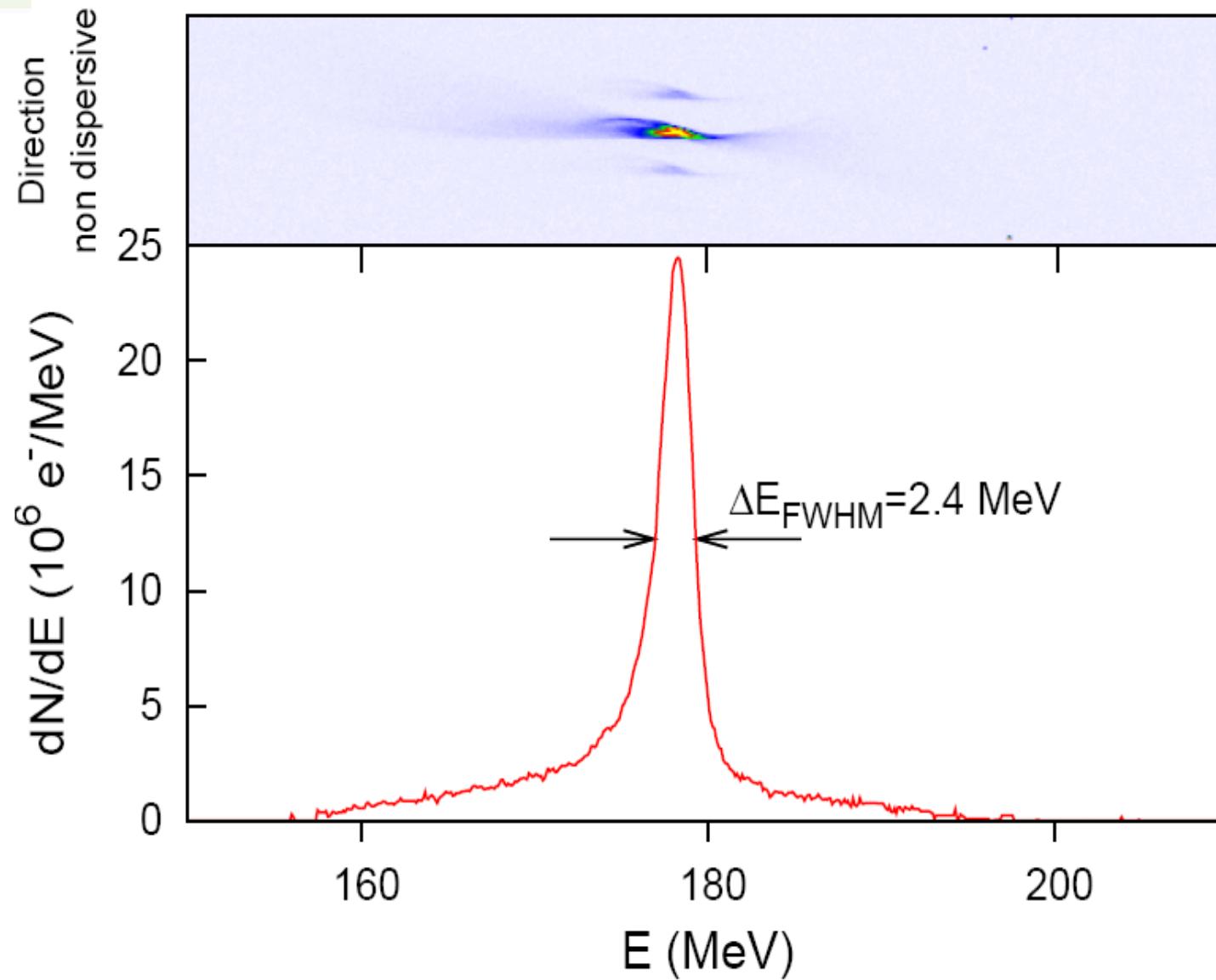


# Tuning the charge with the injection pulse



In practice, charge and energy spread are correlated

# Reduction of energy spread down to 1 %



# Conclusion on colliding pulse

- Harder to implement: required 2 intense laser pulses + temporal and spatial overlap
  - Injection is local in time and space: can lead to monoenergetic beam, high beam quality
  - Possible to control the injection region optically
    - by tuning the injection pulse (energy, polarization)...
- Energy, charge, energy spread can be controlled optically

# Outline

- Injection by slowing down the wakefield:
  - Density gradient injection
  - Injection caused by laser pulse evolution

## References:

### Theory

Bulanov et al. Phys. Rev. E **58**, R5257 (1997)

Fubiani et al., Phys. Rev. E **73**, 026402 (2006)

Brantov et al., Phys. Plasmas **15**, 073111 (2008)

### Experiments

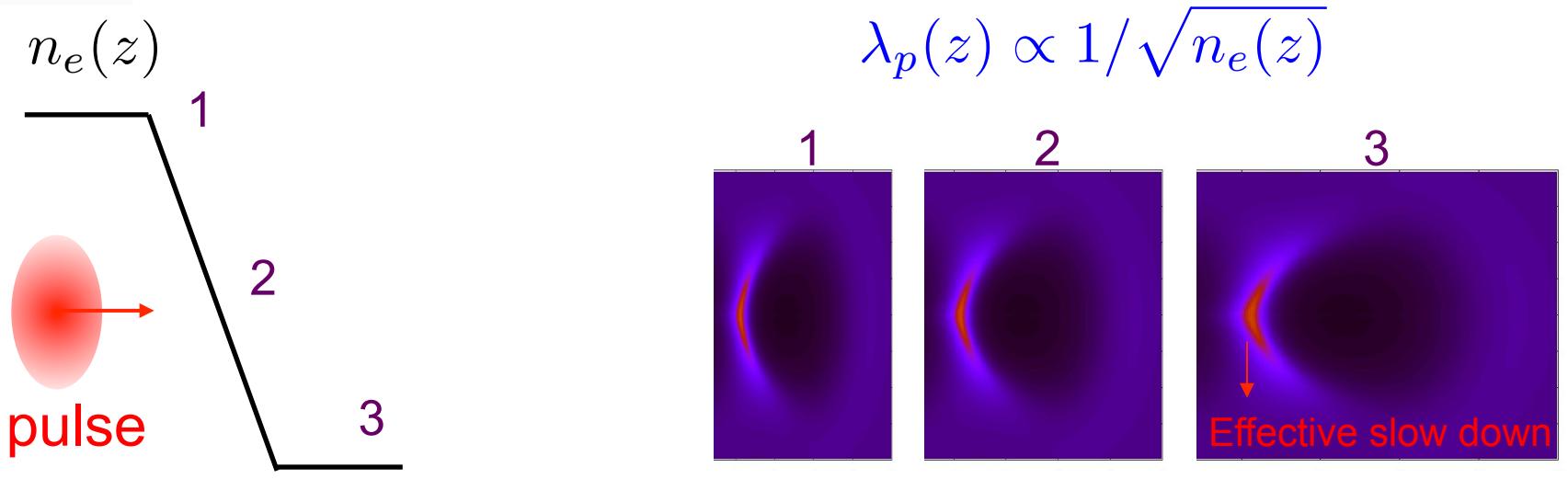
Chien et al., Phys. Rev. Lett. **94**, 115003 (2005)

Geddes et al., Phys. Rev. Lett. **100**, 215004 (2008)

Faure et al., Phys. Plasmas **17**, 083107 (2010)

Schmidt et al., PRSTAB **13**, 091301 (2010)

# Principle of density gradient injection



Gradient scale length  $L_{grad}$

In the density gradient,  $\lambda_p$  increases

- causes the plasma wave to elongate
  - effective slow down of the back of the plasma wave
  - effective decrease of the phase velocity
- Facilitates trapping
- Decreases the threshold for self-injection

# Fluid model with quasi-static approximation

In the quasi-static approximation, i.e for a gentle gradient  
The plasma wave equation becomes

$$\left( \frac{\partial^2}{\partial \zeta^2} + k_p^2(z) \right) \phi = k_p^2(z) \frac{\hat{a}^2}{4} \quad \begin{aligned} a^2 &\ll 1 \\ k_p L_{grad} &\ll 1 \end{aligned}$$

The solution of this equation behind the laser pulse is

$$\phi(\zeta, z) = \phi_0(z) \sin [k_p(z)(z - v_g t)]$$

Consider the phase of the sinusoid

$$\Phi = k_p(z)(z - v_g t)$$

- Local oscillation frequency  $\omega = -\partial\Phi/\partial t = k_p(z)/v_g = \omega_p(z)$
- Wave vector  $k = \partial\Phi/\partial z = k_p(z) + \partial k_p/\partial z(z - v_g t)$   
 $\rightarrow k(z, t) !!!$

# Local plasma wave phase velocity in the density gradient

$$\omega = \omega_p(z)$$

does not depend on time

$$k = k_p(z) + \partial k_p / \partial z (z - v_g t)$$

increases with time

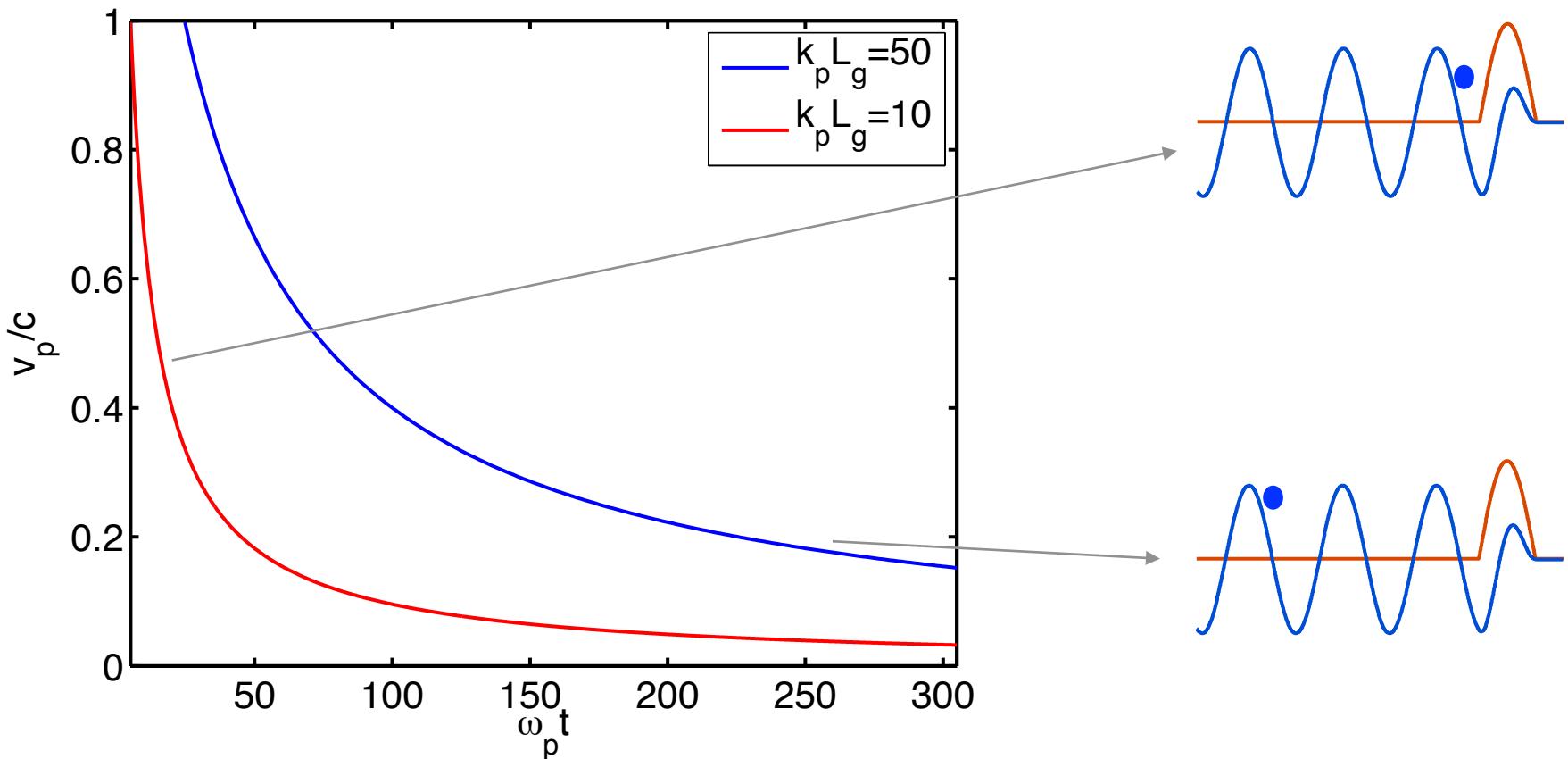
$$v_p(z, t) = \frac{\omega}{k} = v_g \times \frac{1}{1 + \frac{1}{k_p} \frac{\partial k_p}{\partial z} (z - v_g t)}$$

$$v_p(z, t) \propto 1/(A + Bt)$$

Plasma wave slows down with time:

Injection always occurs in a gradient (one has to wait long enough)

# Short versus long gradient

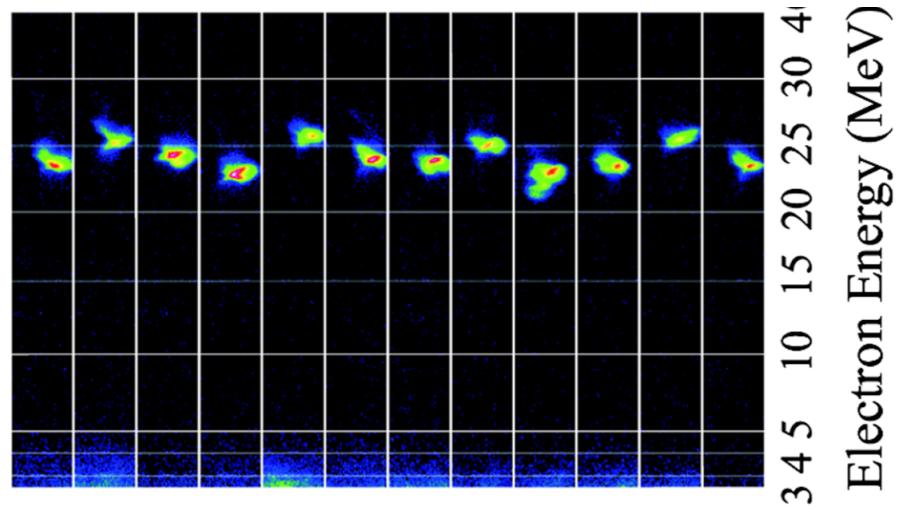
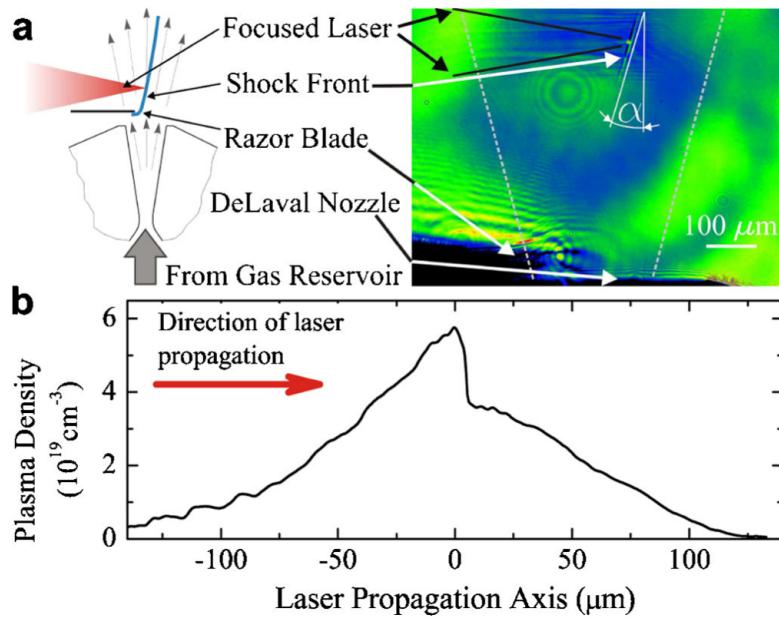


Plasma wave slows down faster for short gradient

- Trapping occurs earlier, possibly in first plasma bucket
- For slow gradients, trapping can occur far behind the pulse

# Example of experimental results

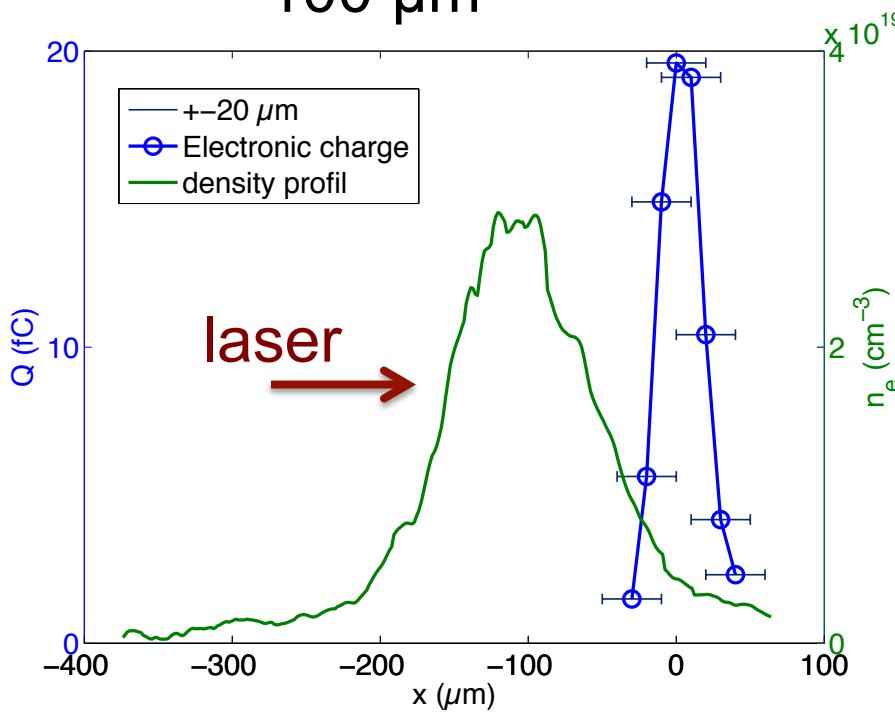
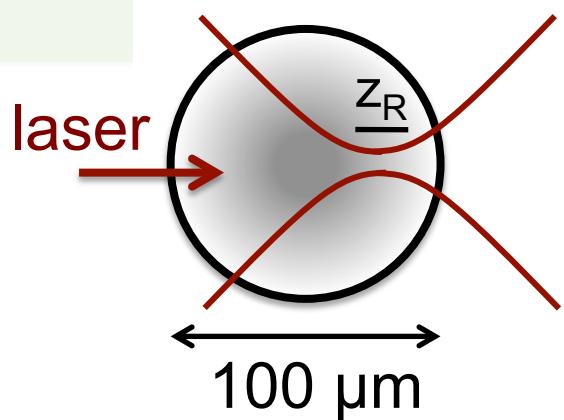
65 mJ, 8 fs,  $I=2.5\times10^{18}$  W/cm<sup>2</sup>



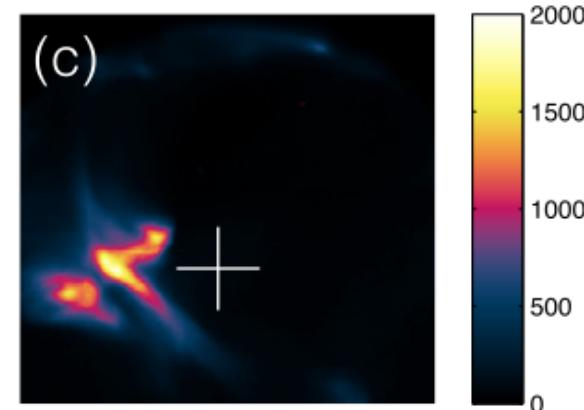
Shock in the gas flow

Stable  
Relatively narrow energy spread

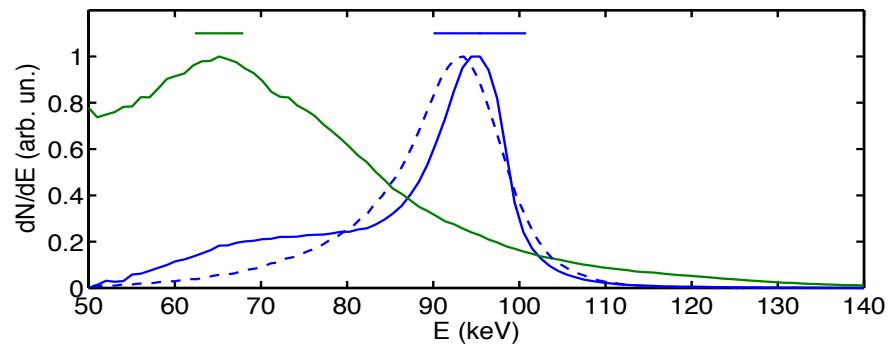
# Injection in gradient with < 10 mJ laser pulses



E-beam distribution

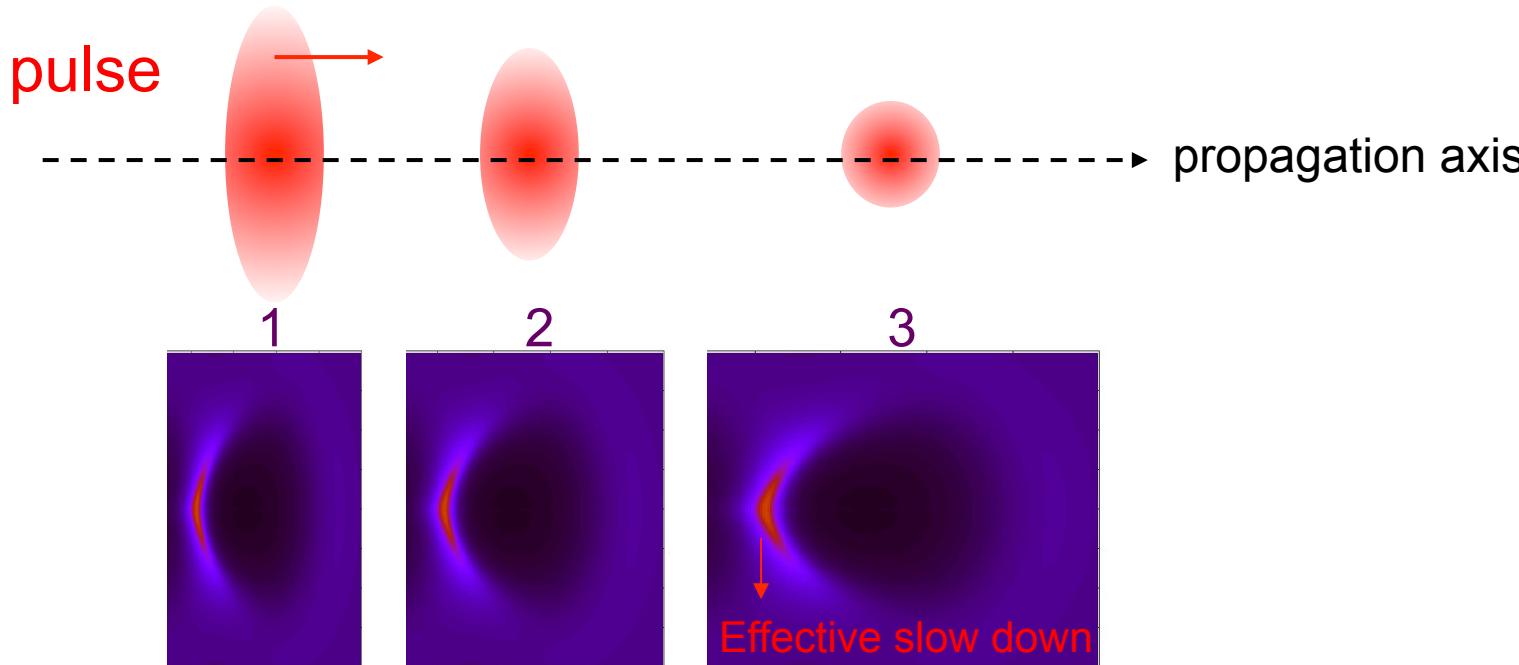


@ 100 keV



# Injection due to a self-focusing laser pulse

Kalmylov et al., PoP 18, 056704 (2011)



- Intensity increases → more nonlinear plasma wave
  - causes the plasma wave to elongate
  - effective decrease of the phase velocity
- Difficult to observe but probably the cause of self-injection in most experiments

# Injection due to a self-focusing laser pulse PIC simulation example



# Conclusion on injection in density gradients

- Relatively **easy to implement** (work on gas target design)
  - Works well: increases stability.
  - **Some level of control**: injection location corresponds to the location of the gradient
  - **More difficult**: control of the injection in time (in which buckets, how many bunches are injected)
- A good way to control injection without using other laser beams. Requires smart design of gas targets

# General conclusion

Injection schemes:

- Still an active area of research
- Important for increasing beam stability
- Important for controlling beam parameters
- First demonstration have been performed but
- Room for improvement
- New schemes always needed
- Example: combining several methods for more knobs
  - Colliding pulse + gradient injection ?
  - Other ideas ...