



# Machine Protection

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- Stored energy and risks
- Failures
- Protection systems
- Beam Instrumentation
- Case studies
- Conclusions

Accelerators, as all other technical systems, must respect some general principles with respect to safety

- Protect the people (e.g. follows legal requirements)
- Protect the environment (e.g. follows legal requirements)
- Protect the equipment
  - Independent of beam (superconducting magnets, other high power equipment, power cables, normal conducting magnets, RF systems, etc.)
  - **In this presentation “Machine Protection”: protect equipment from damage or unacceptable activation caused by the beam**

- Protection is required since there is some risk
- Risk = probability of an accident (in number of accident per year)
  - consequences (in Euro, downtime, radiation dose to people)
- Probability of an uncontrolled beam loss
  - What are the failure modes the lead to beam loss into equipment (there is an practical infinite number of mechanisms to lose the beam)?
  - What is the probability for the most likely failure modes?
- Consequences of an uncontrolled beam loss
  - Damage to equipment
  - Downtime of the accelerator for repair (spare parts available?)
  - Activation of material, might lead to downtime since access to equipment is delayed
- The higher the risk, the more protection becomes important

# Beam losses and consequences

- Particle losses lead to particle cascades in materials
  - the maximum energy deposition can be deep in the material at the maximum of the hadron / electromagnetic shower
- The energy deposition leads to a temperature increase
  - material can vaporise, melt, deform or lose its mechanical properties
  - some limited risk to damage sensitive equipment for some 10 kJ, large risk for some MJoule
  - equipment becomes activated due to beam losses (acceptable is  $\sim 1$  W/m and As Low As Reasonably Achievable - ALARA)
  - superconducting magnets could quench
- Energy deposition and temperature increase
  - there is no straightforward expression for the energy deposition
  - function of the particle type, its momentum, and the parameters of the material (atomic number, density, specific heat)
  - programs such as FLUKA, MARS or GEANT are being used for the calculation of energy deposition and activation

# What parameters are relevant?

- Momentum of the particle
- Particle type
  - Activation is mainly an issue for hadron accelerators
- Energy stored in the beam
  - one MJoule can heat and melt 1.5 kg of copper
  - one MJoule corresponds to the energy stored in 0.25 kg of TNT
- Beam power
  - one MWatt during one second corresponds to a MJoule
- Beam size
- Beam power / energy density (MJoule/mm<sup>2</sup>, MWatt/mm<sup>2</sup>)
- Time structure of beam



The energy of an 200 m long fast train at 155 km/hour corresponds to the energy of 360 MJoule stored in one LHC beam

# Accelerators that require protection systems I

- High power accelerators (e.g. spallation sources) with beam power of some 10 kW to above 1 MW
  - Risk of damage and activation
  - Spallation sources, up to (and above) 1 MW quasi-continuous beam power (SNS, ISIS, PSI cyclotron, JPARC)
- Hadron colliders with large stored energies in the beams – discharge of large stored energy is challenging
  - Colliders using protons / antiprotons (TEVATRON, HERA, LHC)
  - Synchrotrons accelerating beams for fixed target experiments (SPS)
- Linear colliders / accelerators with very high beam power densities due to small beam size
  - SLAC Linac, ILC, CLIC, NLC and FLASH (average power of 50 kW)
  - One beam pulse can lead already to damage
  - “any time interval large enough to allow a substantial change in the beam trajectory or component alignment (~fraction of a second, pilot beam must be used to prove the integrity)” from NLC paper 1999

- Synchrotron light sources with high intensity beams and secondary photon beams
- Energy recovery linacs
  - Example of Daresbury prototype: one bunch train cannot damage equipment, but in case of beam loss next train must not leave the (injector) station
- Medical accelerators: prevent too high dose to patient
  - Low intensity, but techniques for protection are similar
- Very short high current bunches: beam induces image currents that can damage the environment (bellows, beam instruments, cavities, ...)

# Damage of a pencil 7 TeV proton beam (LHC)

copper

Maximum energy deposition in the proton cascade (one proton)  $E_{\max\_Cu} := 1.5 \cdot 10^{-5} \frac{\text{J}}{\text{kg}}$

Specific heat of copper is  $c_{Cu\_spec} = 384.5600 \frac{\text{J}}{\text{kg K}}$

To heat 1 kg copper by, say, by  $\Delta T := 500\text{K}$ , one needs:  $c_{Cu\_spec} \cdot \Delta T \cdot 1\text{kg} = 1.92 \times 10^5 \text{J}$

Number of protons to deposit this energy is:  $\frac{c_{Cu\_spec} \cdot \Delta T}{E_{\max\_Cu}} = 1.28 \times 10^{10}$  copper

graphite

Maximum energy deposition in the proton cascade (one proton)  $E_{\max\_C} := 2.0 \cdot 10^{-6} \frac{\text{J}}{\text{kg}}$

Specific heat of graphite is  $c_{C\_spec} = 710.6000 \frac{\text{J}}{\text{kg K}}$

To heat 1 kg graphite by, say, by  $\Delta T := 1500\text{K}$ , one needs:  $c_{C\_spec} \cdot \Delta T \cdot 1\text{kg} = 1.07 \times 10^6 \text{J}$

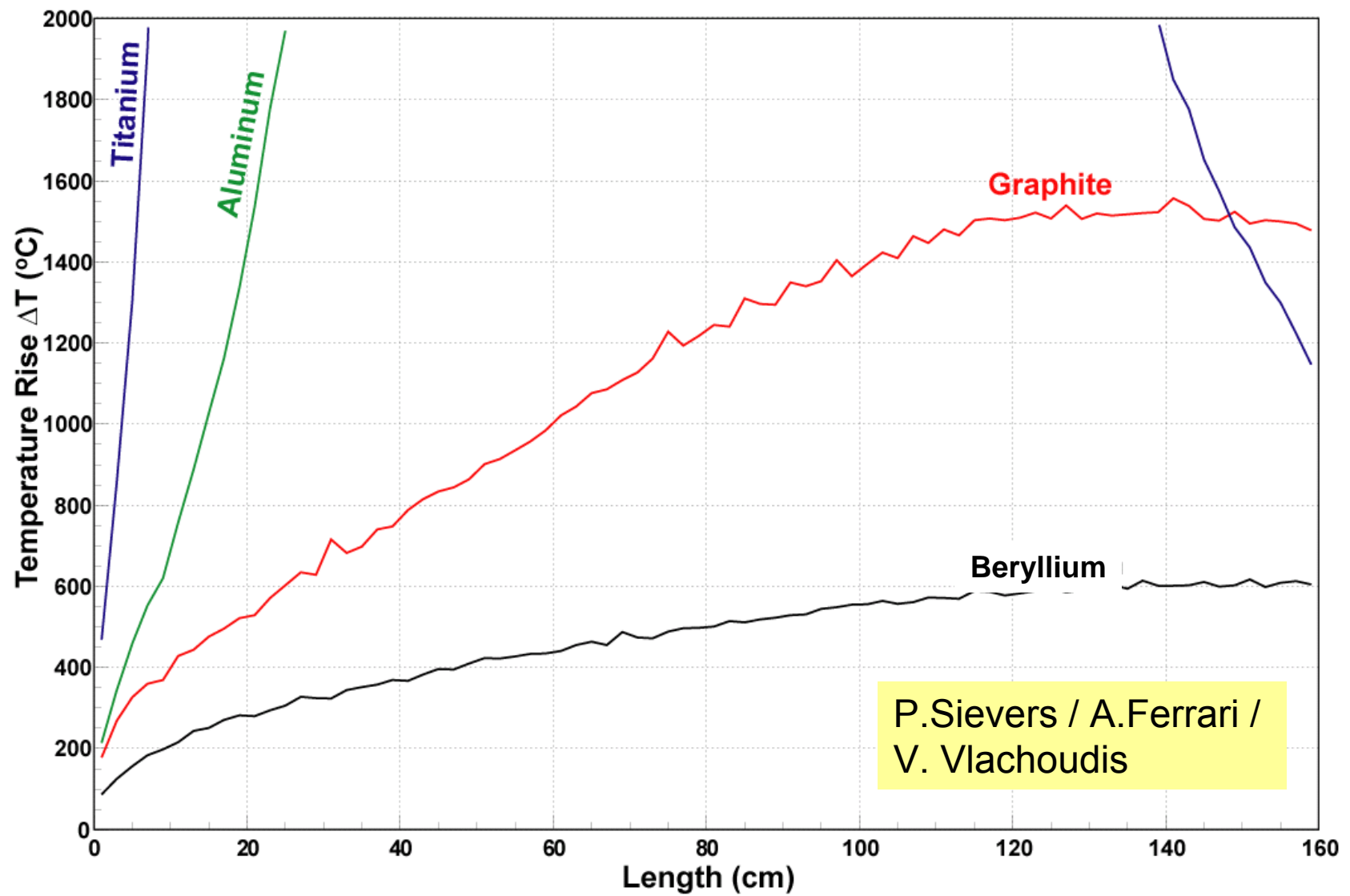
Number of protons to deposit this energy is:  $\frac{c_{C\_spec} \cdot \Delta T}{E_{\max\_C}} = 5.33 \times 10^{11}$  graphite





# Accidental kick by the beam dump kicker at 7 TeV

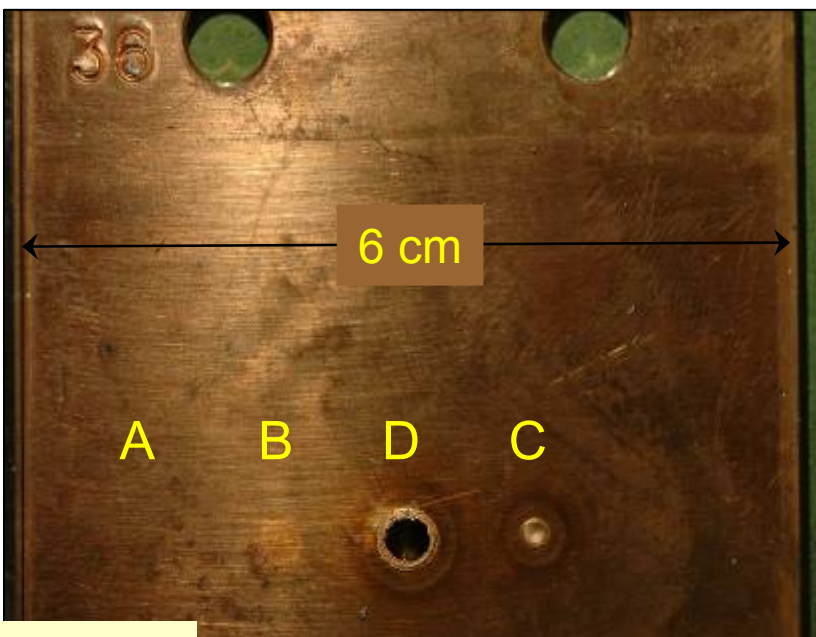
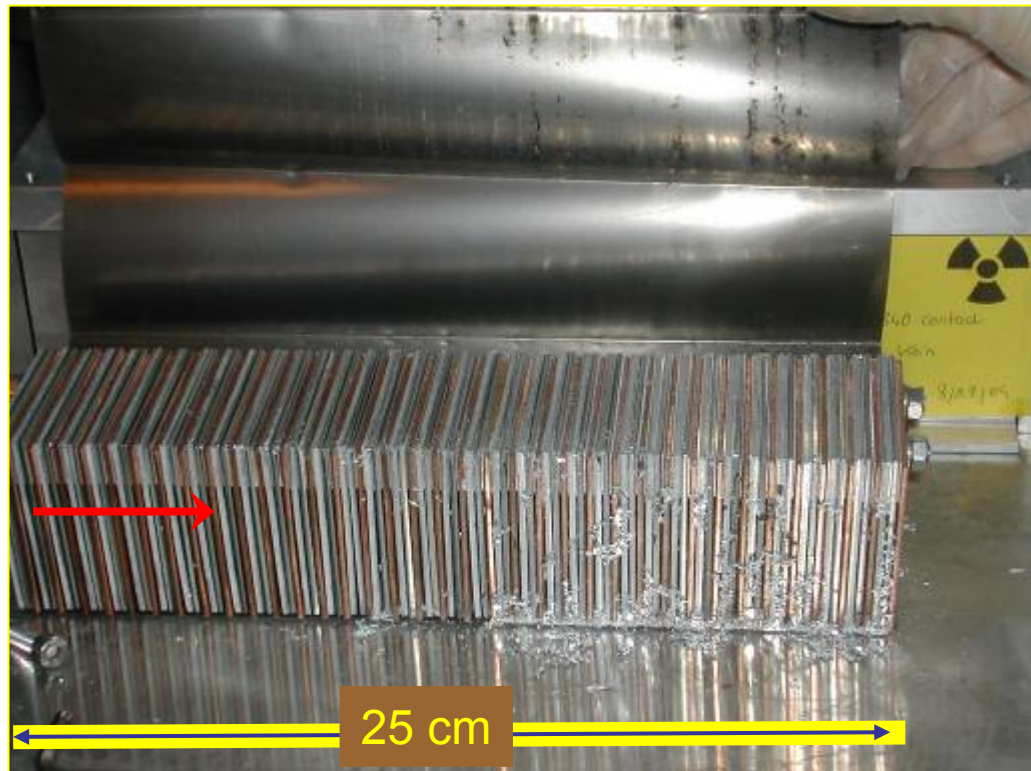
part of beam touches collimators (about  $2 \cdot 10^{12}$  from  $3 \cdot 10^{14}$ )



P.Sievers / A.Ferrari /  
V. Vlachoudis

## Controlled SPS experiment

- $8 \cdot 10^{12}$  protons clear damage
  - beam size  $\sigma_{x/y} = 1.1\text{mm}/0.6\text{mm}$
- above damage limit for copper
- stainless steel no damage
- $2 \cdot 10^{12}$  protons
- below damage limit for copper

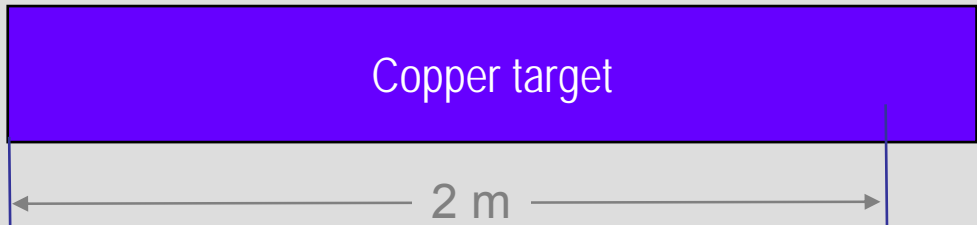


**0.1 % of the full LHC 7 TeV beams**

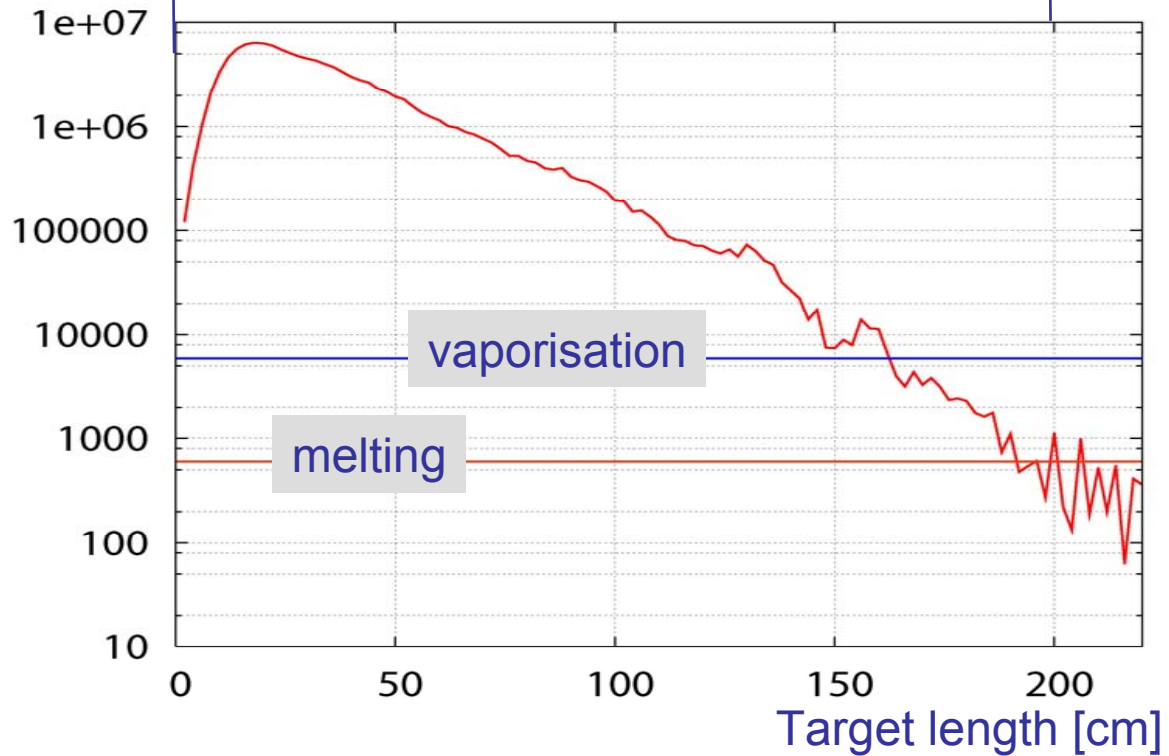
factor of three below the energy stored in the bunch train injected into LHC

# Full LHC beam deflected into copper target

2808 bunches  
7 TeV  
350 MJoule



Energy density  
[GeV/cm<sup>3</sup>]  
on target axis



N.Tahir (GSI) et al.

- **Protect the machine**
  - highest priority is to avoid damage of the accelerator
- **Protect the beam**
  - complex protection systems will always reduce the availability of the machine
  - in the design of protection systems: minimise number of “false” interlocks stopping operation
  - trade-off between protection and operation
- **Provide the evidence**
  - if the protection systems stop operation (e.g. dump the beam or inhibit injection), clear diagnostics should be provided
  - if something goes wrong (damage, but also near miss), it should be possible to understand the reason why
  - synchronised transient recording of all relevant systems

Start operation with low intensity beam (“pilot beam”)

## Active protection

- Detect failure
- Turn off the beam as soon as possible (e.g. the source, the RF, ...)
- Prohibit beam from being injected into the next part of the accelerator complex
- Abort the beam from a storage ring / accumulator ring

## Passive protection

- Install collimators and beam absorbers, in particular if active protection is not possible

- **Type of the failure**
  - hardware failure (power converter trip, magnet quench, AC distribution failure such as thunderstorm, object in vacuum chamber, vacuum leak, RF trip, kicker magnet misfires, ....)
  - controls failure (wrong data, wrong magnet current function, trigger problem, timing system, feedback failure, ..)
  - operational failure (chromaticity / tune / orbit wrong values, ...)
  - beam instability (due to too high beam / bunch current)
  
- **Parameters for the failure**
  - damage potential
  - probability for the failure
  - time constant for beam loss

} defined as risk
  
- **Machine state when failure occurs**
  - beam transfer, injection and extraction (single pass)
  - acceleration
  - stored beam

# Time constant for beam losses

## Single turn (single-passage) beam loss in accelerators (ns - $\mu$ s)

- failures of kicker magnets (injection, extraction, special kicker magnets, for example for diagnostics)
- transfer lines between accelerators and from an accelerator to a target station (target for secondary particle production, beam dump block)
- too small beam size at a target station

## Very fast beam loss (ms)

- multi turn beam losses in circular accelerators
- due to a large number of possible failures, mostly in the magnet powering system, with a typical time constant of some 10 turns to many seconds

**Active  
protection**

## Fast beam loss (some 10 ms to seconds)

## Slow beam loss (many seconds)

# Strategy for protection and related systems

- Avoid that a specific failure can happen (e.g. no fast valve)
- Detect failure at hardware level and stop beam operation
  - monitoring of the hardware
- Detect initial consequence of failure with beam instrumentation ....before it is too late
- Stop beam operation
  - stop injection
  - extract beam into beam dump block
  - stop beam by beam absorber / collimator
- Elements in the protection systems
  - hardware monitoring and beam monitoring
  - beam dump (fast kicker magnet and absorber block)
  - collimators and beam absorbers
  - beam interlock systems with the logics and linking different systems



- **Failsafe design**
  - detect internal faults
  - possibility for remote testing, for example between two runs
  - if the protection system does not work, better stop operation rather than damage equipment
- **Critical equipment should be redundant (possibly diverse)**
- **Critical processes not by software (no operating system)**
  - no remote changes of most critical parameters
- **Demonstrate safety / availability / reliability**
  - use established methods to analyse critical systems and to predict failure rate
- **Managing interlocks**
  - disabling of interlocks is common practice (**keep track !**)
  - LHC: masking of some interlocks possible for low intensity / low energy beams

- **Beam Loss Monitors**
  - stop beam operation in case of too high beam losses
  - monitor beam losses around the accelerator (full coverage?)
  - could be fast and/or slow (LHC down to 40  $\mu$ s)
- **Beam Position Monitors**
  - ensuring that the beam has the correct position
  - in general, the beam should be centred in the aperture
  - for extraction: monitor extraction bump using BPMs (redundant to magnet current)
- **Beam Current Transformers**
  - if the transmission between two locations of the accelerator is too low (=beam lost somewhere): stop beam operation
  - if the beam lifetime is too short: dump beam
- **Beam Size Monitors**
  - if beam size is too small could be dangerous for windows, targets, ...

- **Beam Loss Monitors**
  - no or too low reading not providing a beam stop trigger
  - threshold incorrect (too high could be dangerous)
- **Beam Position Monitors**
  - constant offset independent of the beam position
  - closed-orbit feedback tries to correct the suspected bump
  - closed-orbit bump develops and beam touches aperture
  - even if the beam is dumped, e.g. due to beam losses, part of the beam might hit the aperture
- **Beam Current Transformers**
  - no reading or too low reading in presence of high intensity beam: risk of extraction of high intensity beam into external beam line / target / ...
  - too high reading in case of comparing beam intensity in beam line: risk to continue operation in presence of high losses

The principles of machine protection are illustrated with examples from SNS and LHC

# Example: SNS



- normal conducting linac
- superconducting linac
- accumulator ring
- transfer lines
- target station
- beam power on target 1.4 MW
- beam pulse length 1 ms
- repetition rate 60 Hz

- (more or less) continuous beam to above 1 MW
  - the deposited energy is proportional to the time of exposure
  - the risk (possible damage) increases with time
- Protection by detecting the failure and stopping injection and acceleration

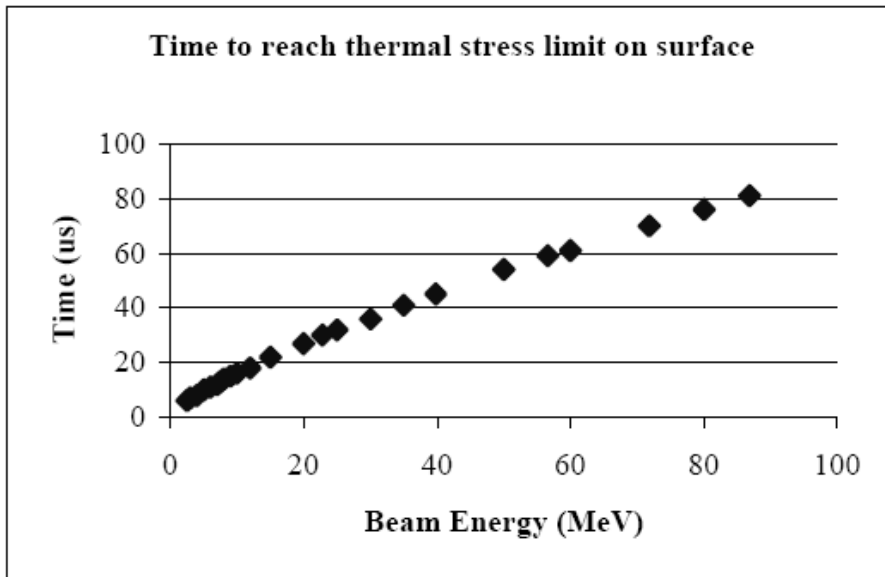
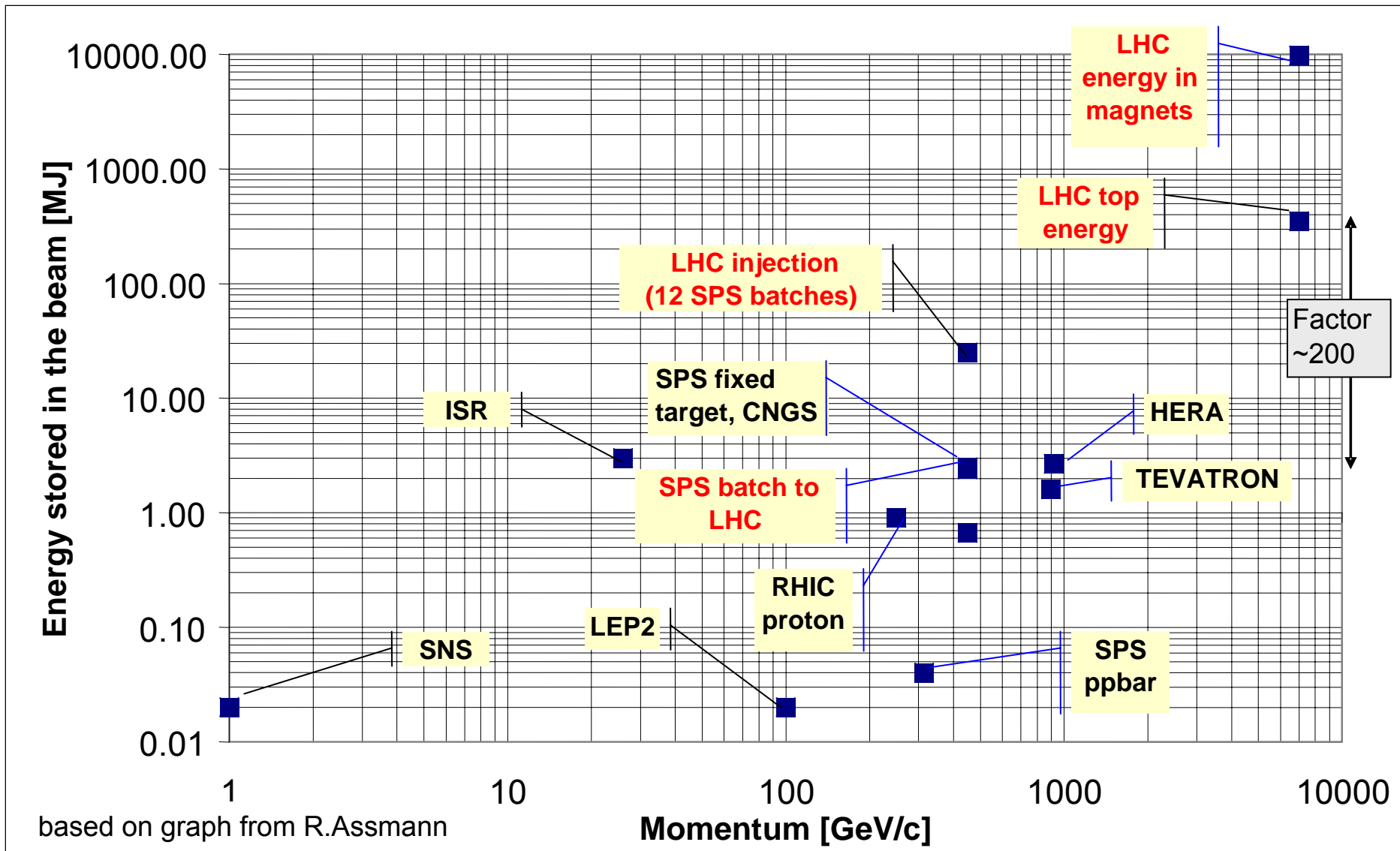


Figure 2. Time to reach the thermal stress limit in copper versus beam energy.

- Damage of a copper cavity: Time to reach the thermal stress limit for copper assuming a beam size of 2 mm, a current of 36 mA and an energy density of 62 J/gm as maximum permitted energy deposition (from C.Sibley, PAC 2003)
- The SNS MP system uses inputs from BLMs, beam current monitors, RF, power supplies, vacuum system, kickers, etc.

# Livingston type plot: Energy stored magnets and beam



# Machine Protection during all phases of operation

- The LHC is the first accelerator with the intensity of the injected beam already far above threshold for damage, protection during the injection process is mandatory
- At 7 TeV, fast beam loss with an intensity of about 5% of one single “nominal bunch” could damage equipment (e.g. superconducting coils)
- The only component that can stand a loss of the full beam is the beam dump block - all other components would be damaged
- The LHC beams must ALWAYS be extracted into the beam dump blocks
  - at the end of a fill
  - in case of failure
- During powering, about 10 GJ is stored in the superconducting magnets, quench protection and powering interlocks must be operational long before starting beam operation





# LHC: Strategy for machine protection

- Definition of aperture by collimators.
- Early detection of failures for equipment acting on beams generates dump request, possibly before the beam is affected.
- Active monitoring of the beams detects abnormal beam conditions and generates beam dump requests down to a single machine turn.
- Reliable operation of beam dumping system for dump requests or internal faults, safely extract the beams onto the external dump blocks.
- Reliable transmission of beam dump requests to beam dumping system. Active signal required for operation, absence of signal is considered as beam dump request and injection inhibit.
- Passive protection by beam absorbers and collimators for specific failure cases.

**Beam Cleaning System**

**Powering Interlocks**  
**Fast Magnet Current change Monitor**

**Beam Loss Monitors**  
Other Beam Monitors

**Beam Dumping System**

**Beam Interlock System**

**Beam Absorbers**

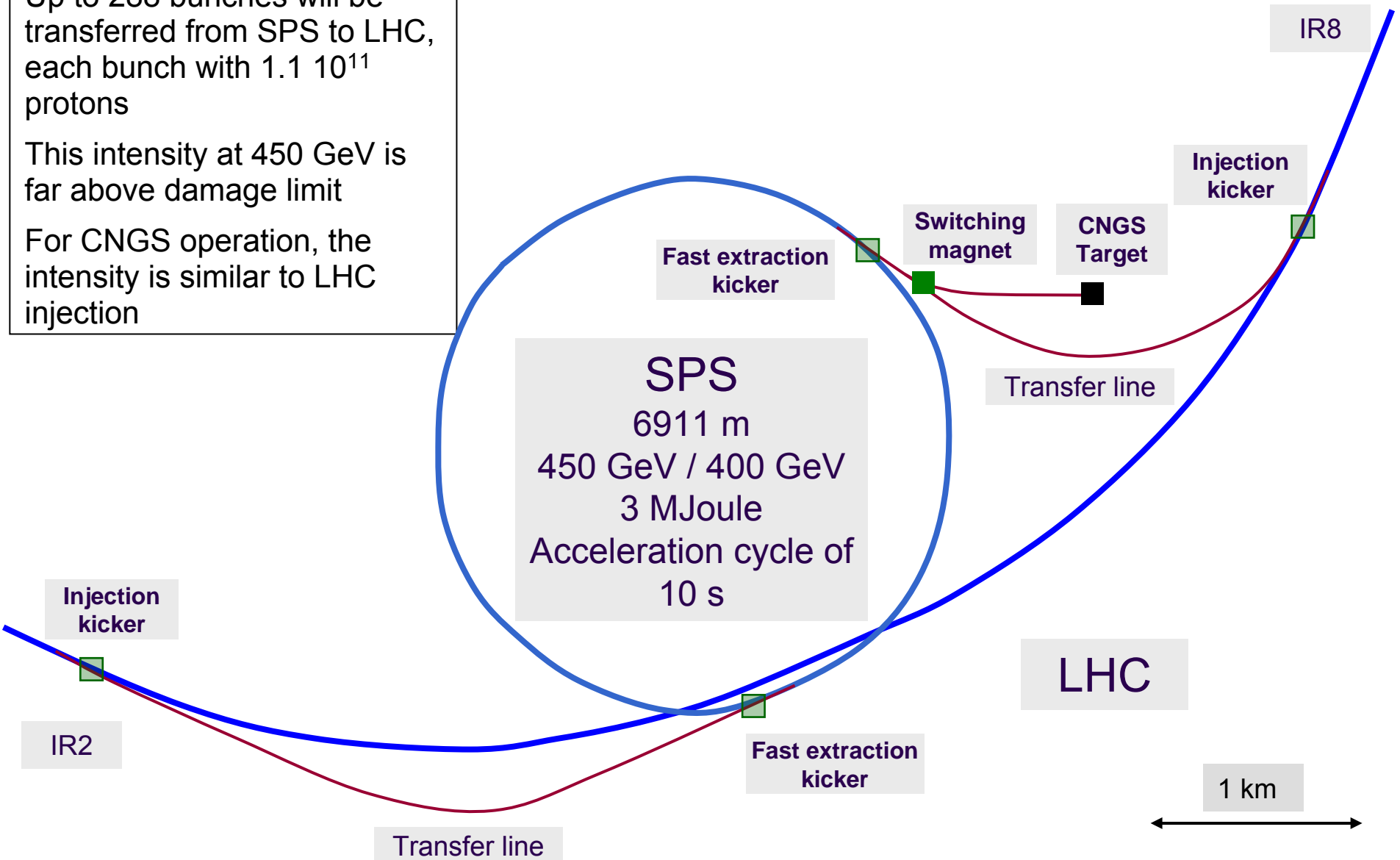


# SPS, transfer line, LHC injection and CNGS

Up to 288 bunches will be transferred from SPS to LHC, each bunch with  $1.1 \cdot 10^{11}$  protons

This intensity at 450 GeV is far above damage limit

For CNGS operation, the intensity is similar to LHC injection



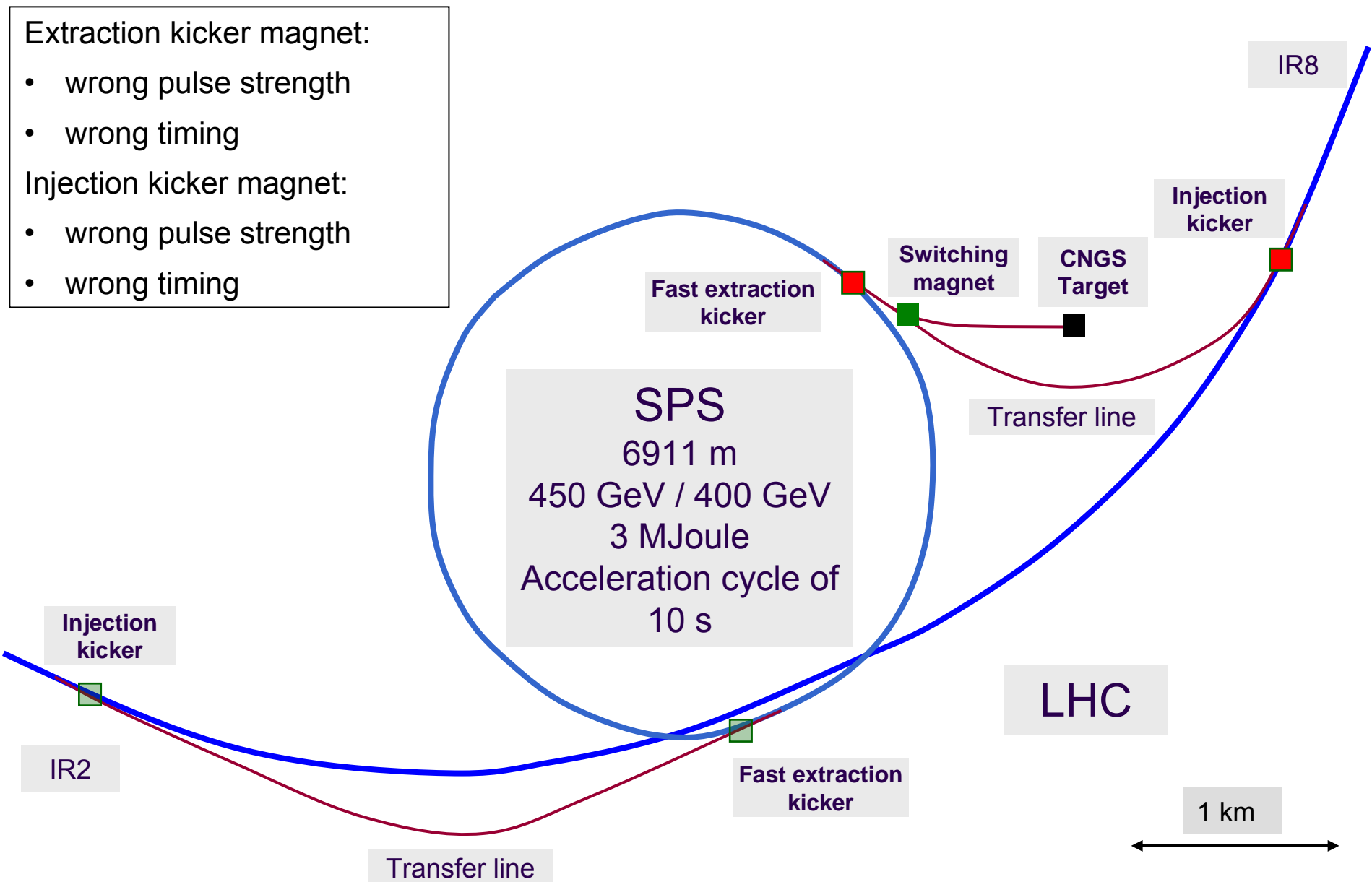
# Failure of a kicker magnet

Extraction kicker magnet:

- wrong pulse strength
- wrong timing

Injection kicker magnet:

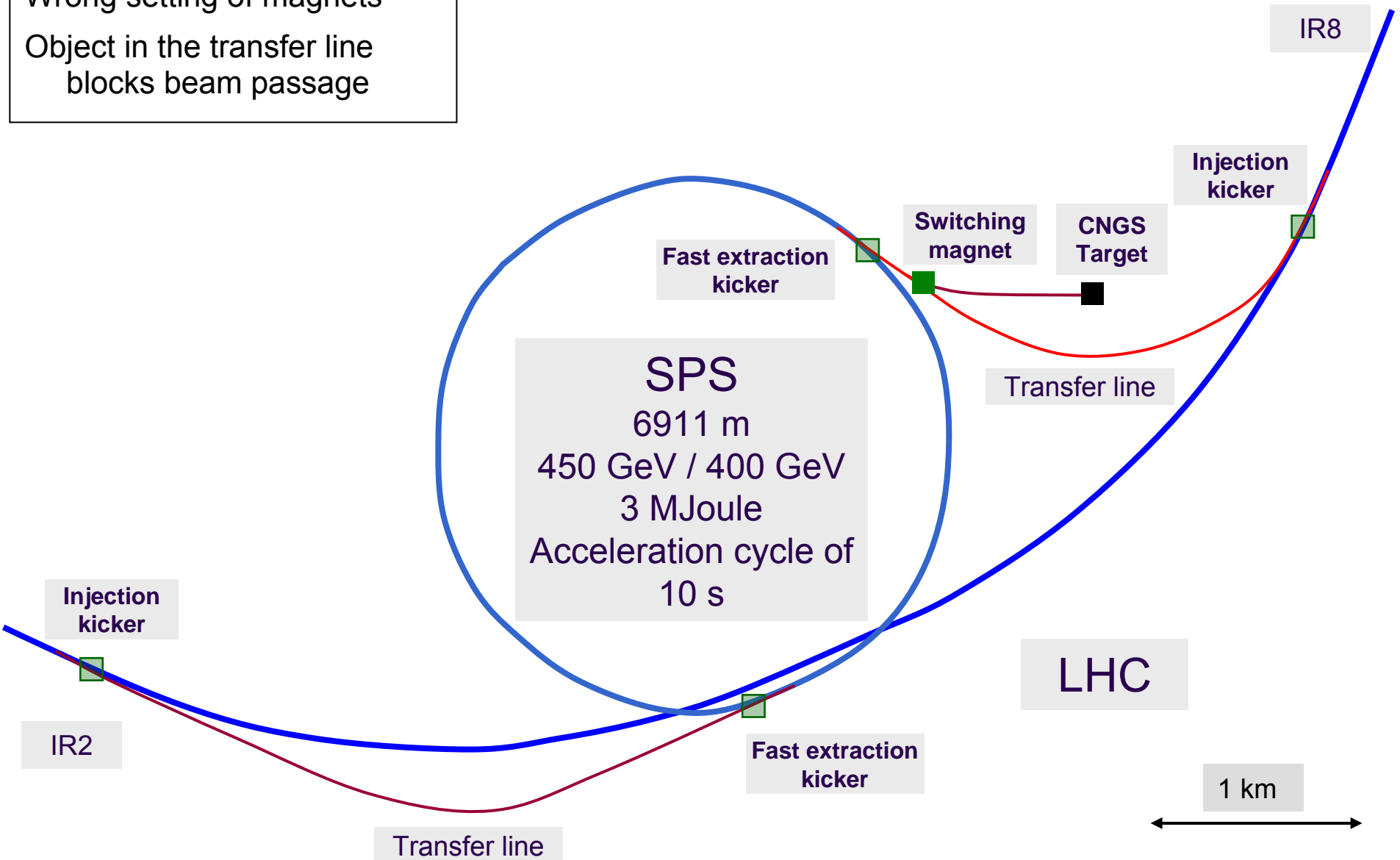
- wrong pulse strength
- wrong timing





# Failure in the transfer line (magnet, other element)

Wrong setting of magnets  
Object in the transfer line  
blocks beam passage



# Protection for beam transfer from SPS to LHC

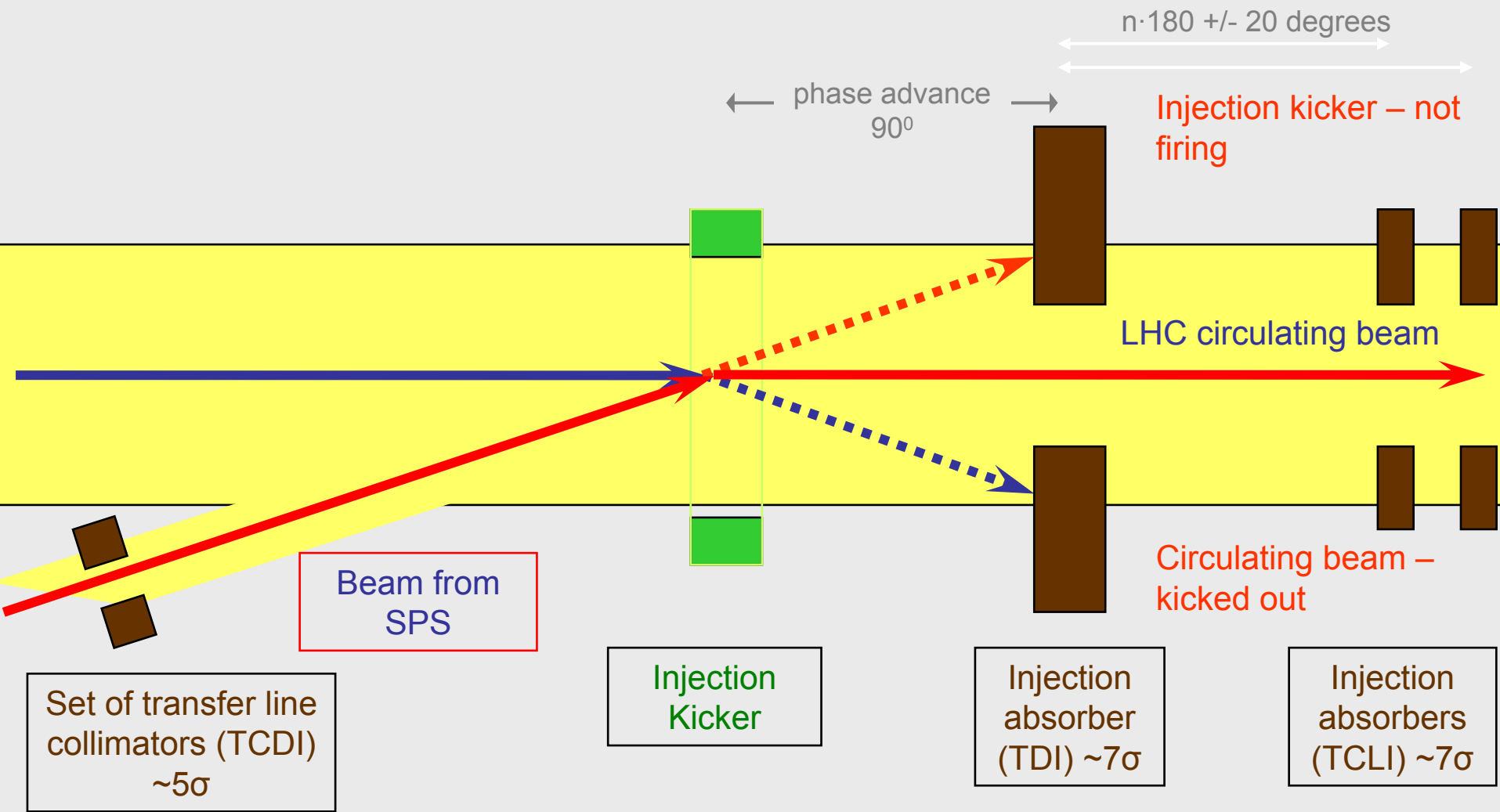
- After extraction the trajectory is determined by the magnet fields: safe beam transfer and injection relies on correct settings
  - orbit bump around extraction point in SPS during extraction with tight tolerances verified with BPMs
  - correct magnet currents (slow pulsing magnets, fast pulsing magnets)
  - position of vacuum valves, beam screens,... must all be OUT
  - energy of SPS, transfer line and LHC must match
  - LHC must be ready to accept beam
- Verifying correct settings just before extraction and injection

A signal “**extraction permit**” is **required to extract beam from SPS** and another signal “**injection permit**” to **inject beam into LHC**

- The kicker must fire at the correct time with the correct strength
- Position of collimators and beam absorbers in SPS, transfer line and LHC injection region to protect from misfiring

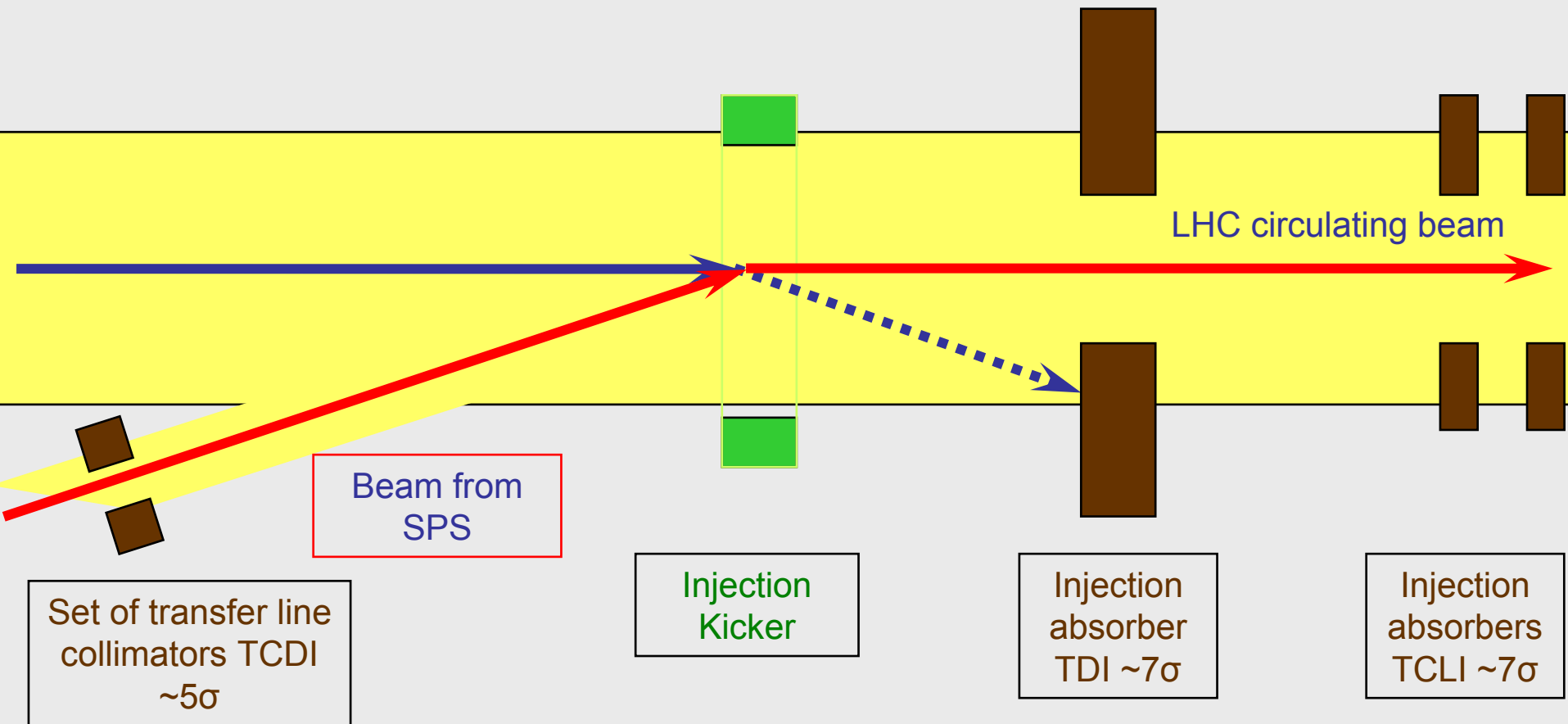


# Protection in case of kicker misfiring



Beam absorbers take beam in case of kicker misfiring

# Probe Beam: Replacing low intensity beam by a full batch from SPS



Only when beam is circulating in the LHC, injection of high intensity beam is permitted – verification of LHC magnet settings

## Consequence of a magnet powering failure

- Closed orbit grows and moves everywhere the ring or downstream the linac (follows free betatron oscillation with one kick)
- Beam size explodes
- Can happen very fast (for example, if a normal conducting magnet trips or after a magnet quench)
- Can be detected around the entire accelerator

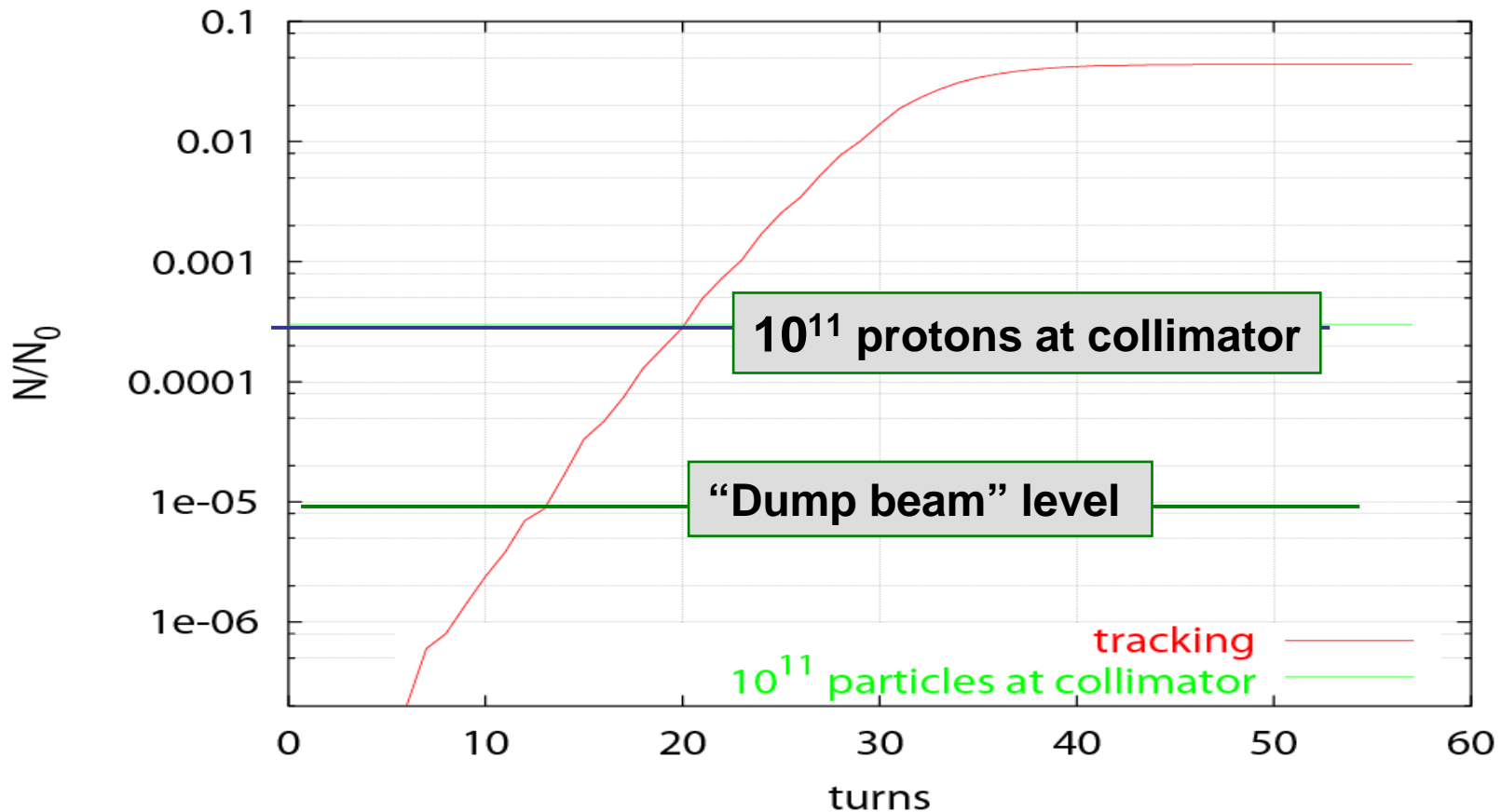
## Local orbit bump

- Can be generated due to BPM offset
  - Needs several magnets to fail and cannot happen very fast
  - Might be detected only locally
- 
- Protection: Detect failure and dump beam
  - Detection by equipment monitoring and beam monitoring



# Failure of normal conducting magnets

After about 13 turns  $3 \cdot 10^9$  protons touch collimator, about 6 turns later  $10^{11}$  protons touch collimator



# Beam Loss Monitors

- Ionization chambers to detect beam losses:
  - Reaction time  $\sim \frac{1}{2}$  turn ( $40 \mu\text{s}$ )
  - Very large dynamic range ( $> 10^6$ )
- There are ~3600 chambers and 400 other monitors distributed over the ring to detect abnormal beam losses and if necessary trigger a beam abort !

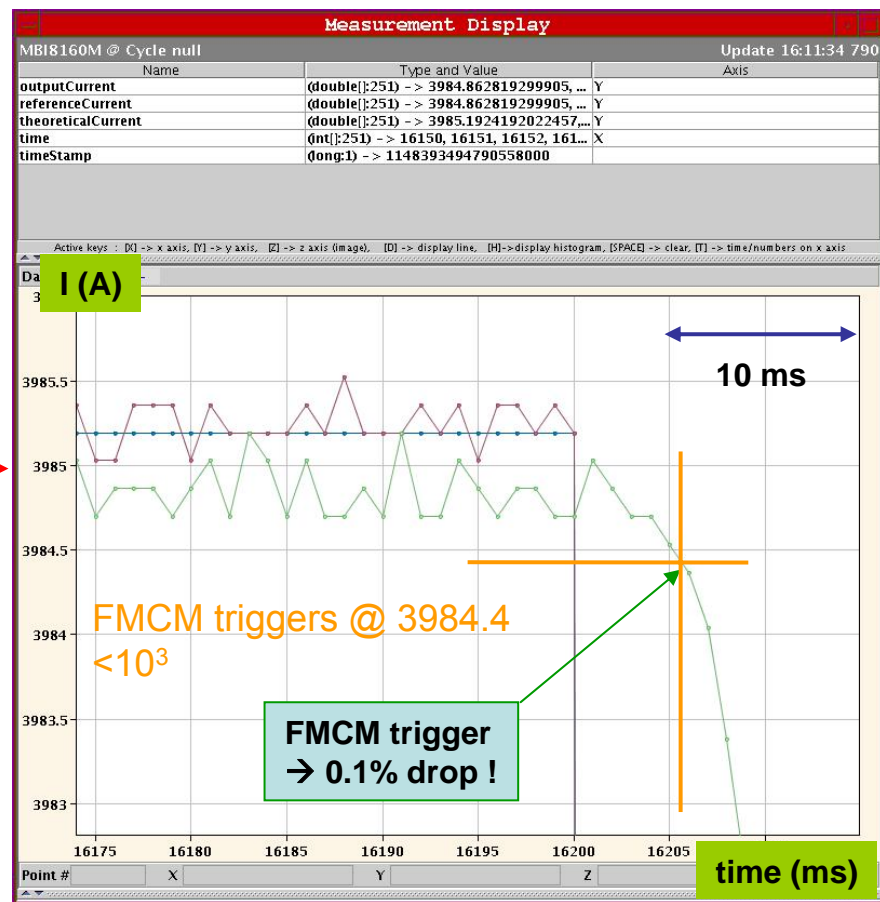
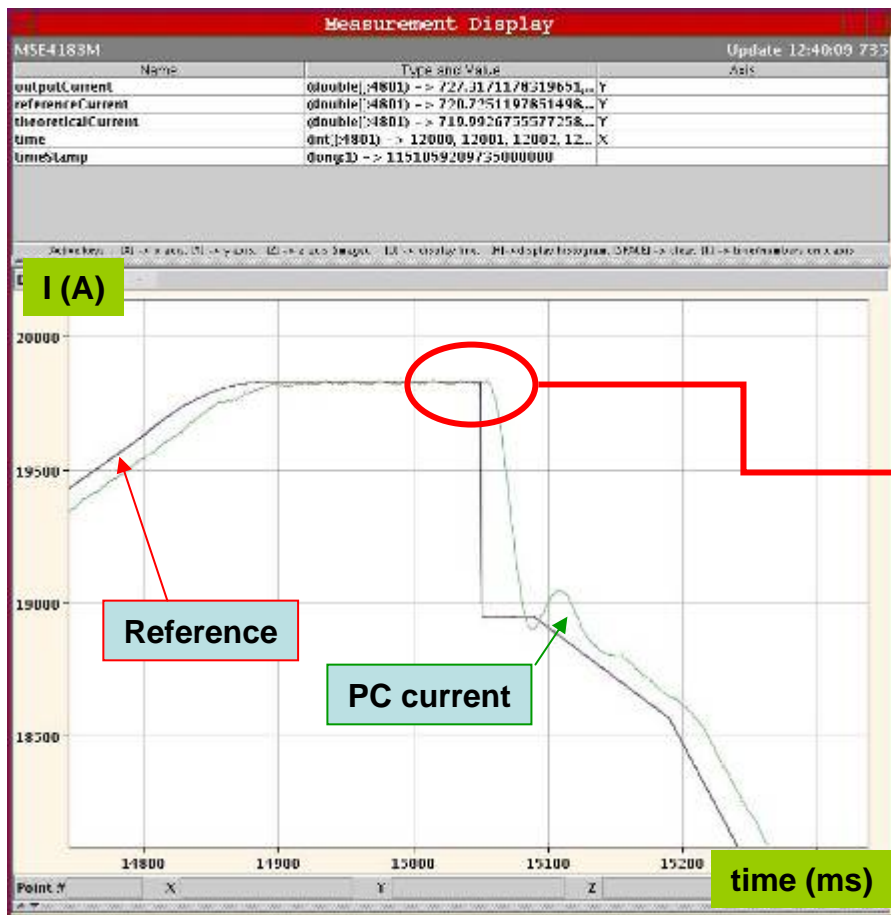




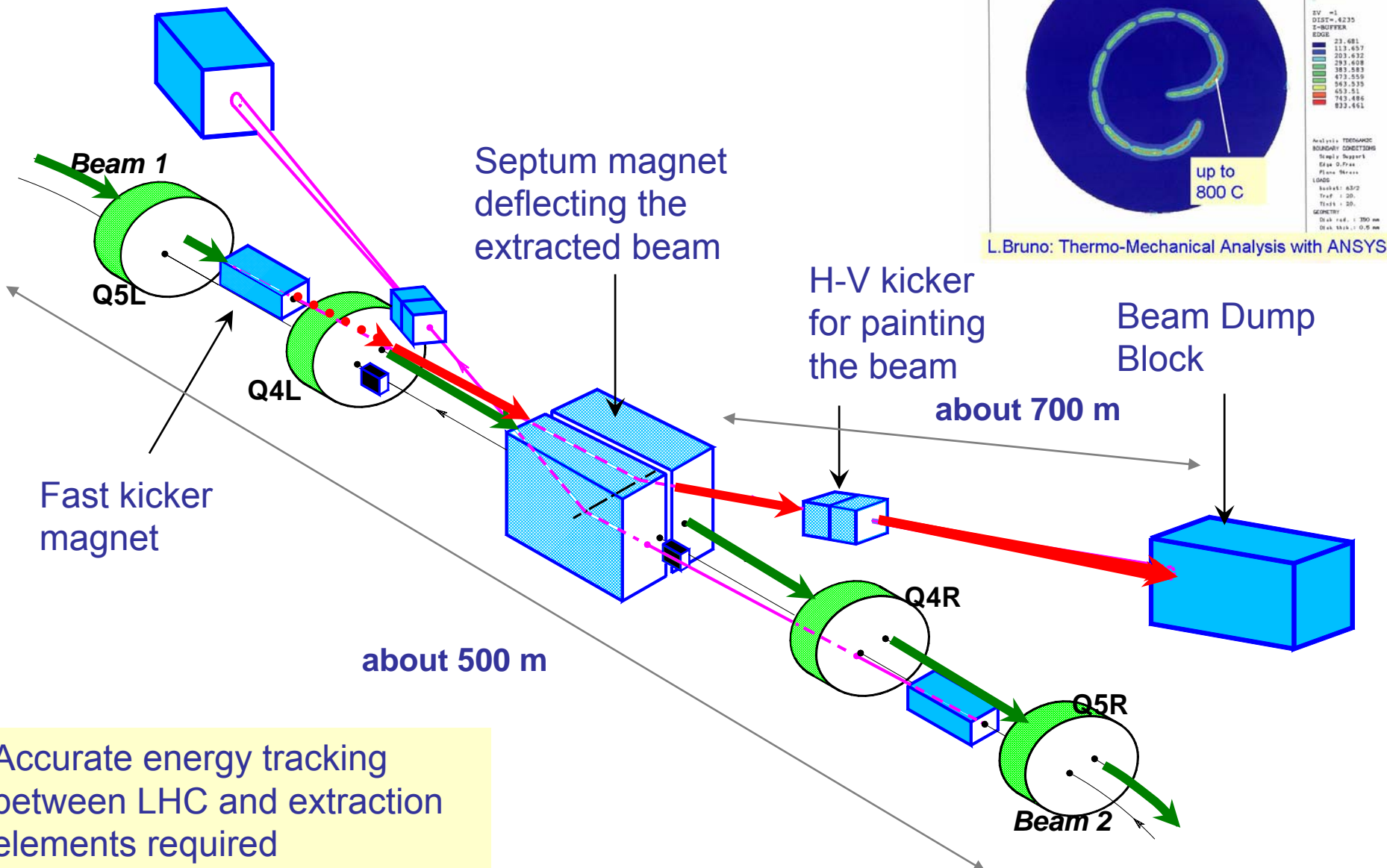
# Fast Magnet Current change Monitors

(initial development for HERA, upgrade for LHC in collaboration with DESY)

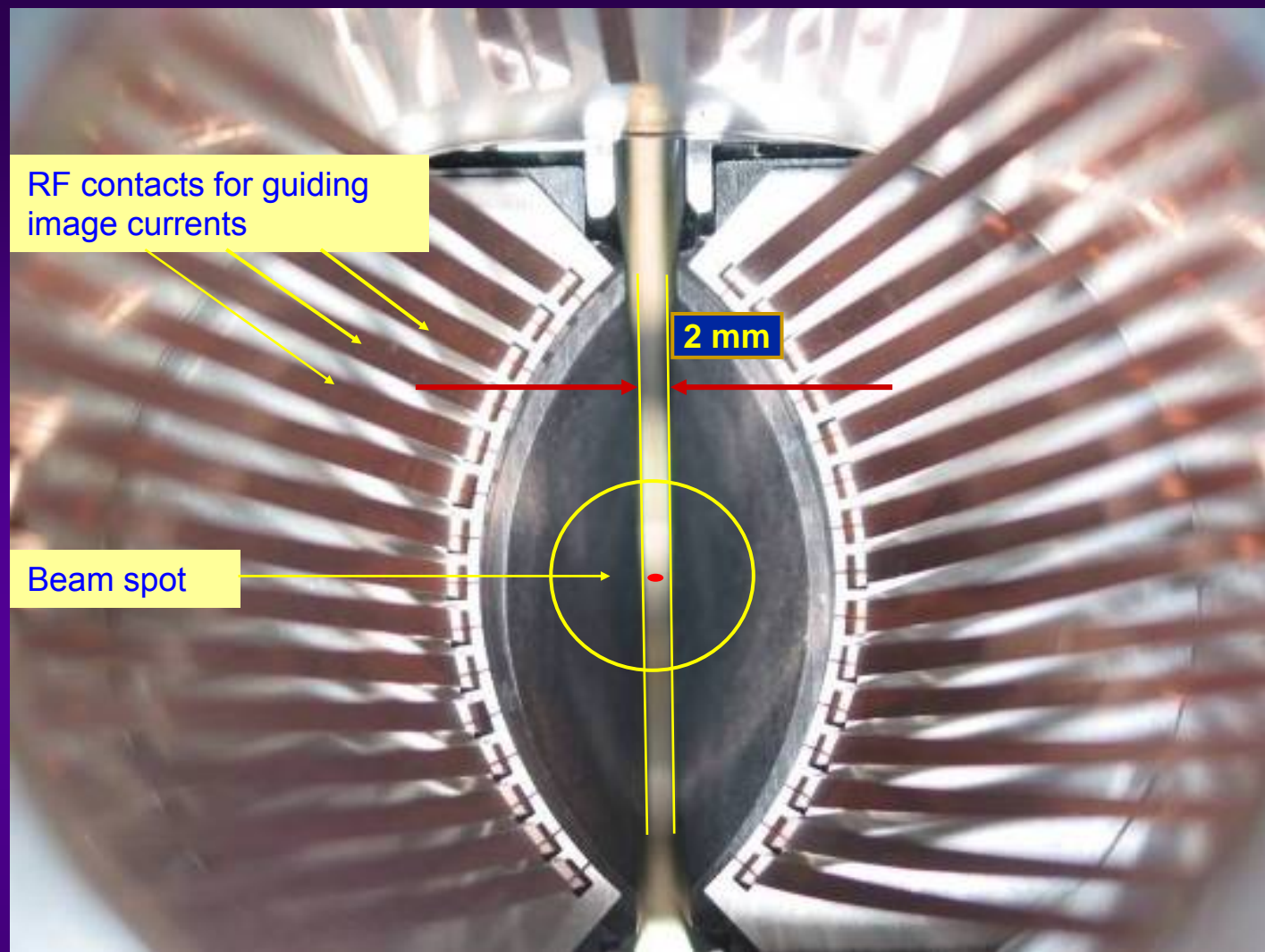
- Several FMCMs are installed on critical magnets
- Tested using steep reference changes to trigger FMCM. The trigger threshold and the magnet current (resolution one ms)
- Beam tests confirmed these results



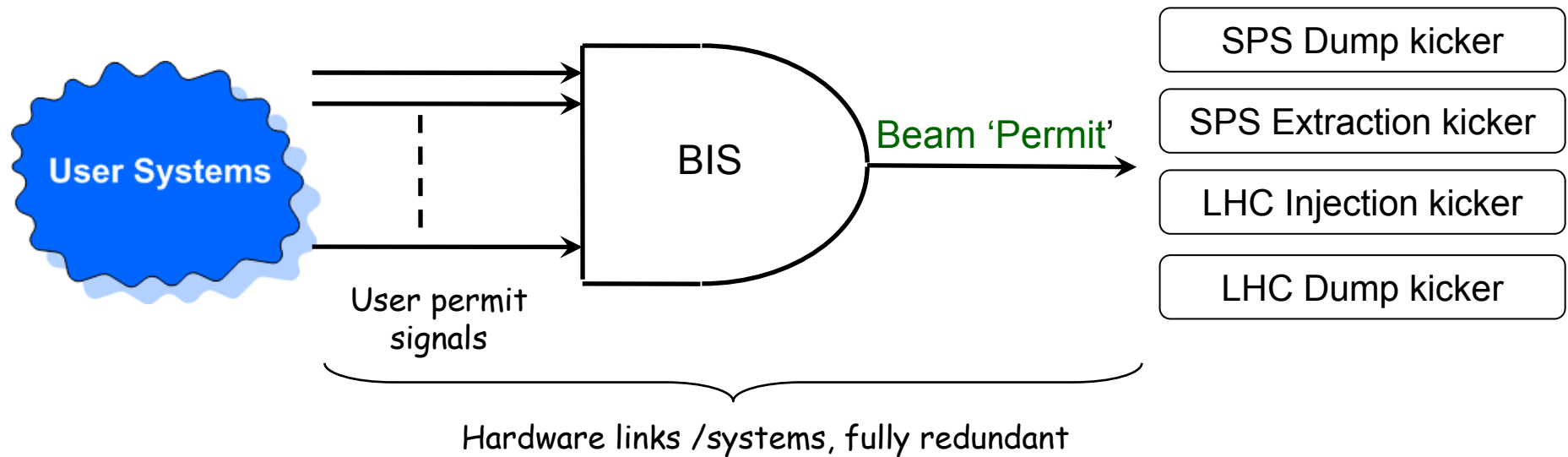
# Schematic layout of LHC beam dumping system



# View of a two sided collimator



# Principle of LHC / SPS Beam Interlock Systems



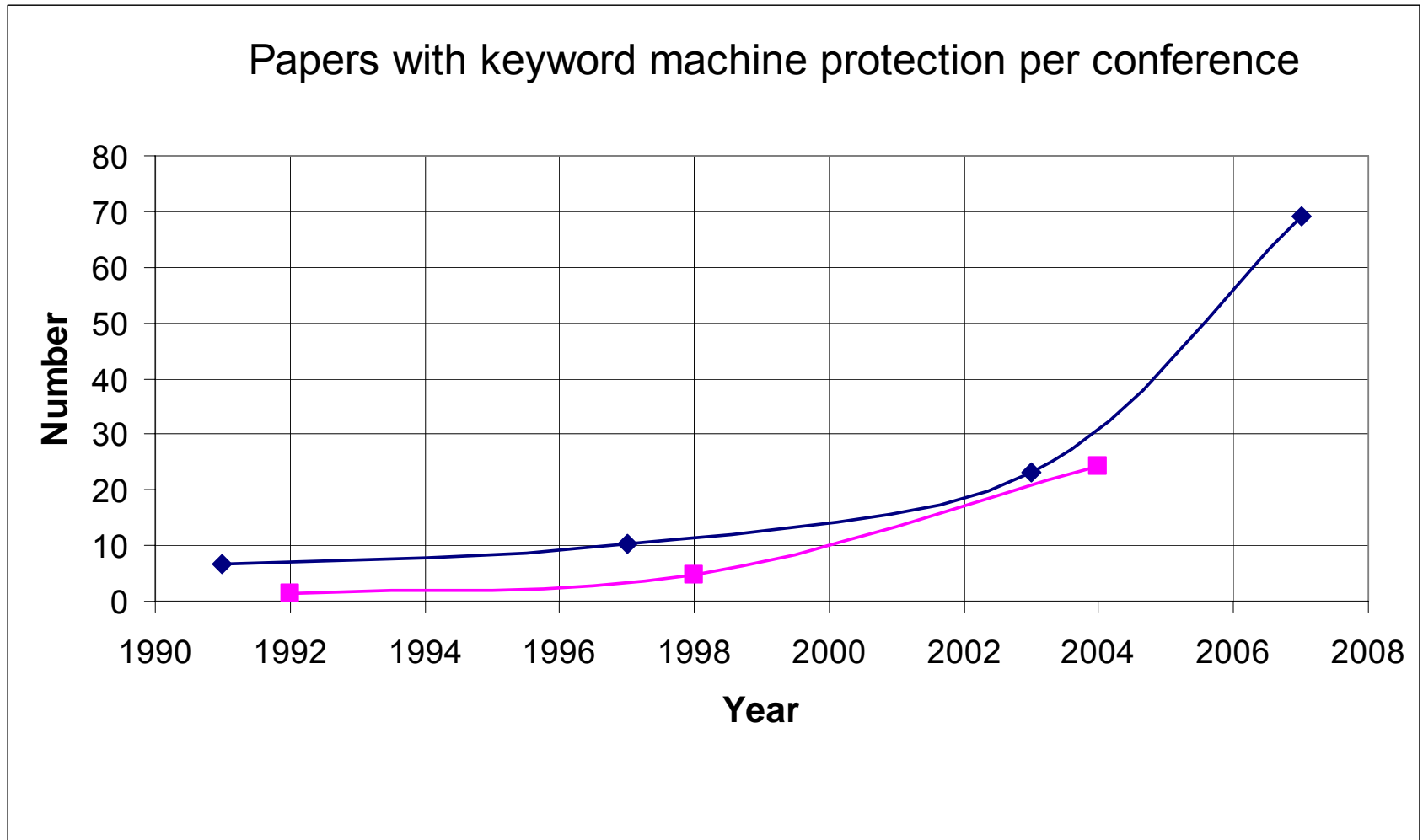
- **'User systems'** survey equipment or beam parameters, detect failures and send a hardwired signal to the beam interlock system (**user permit**)
- The BIS combines **user permits** and produces **beam permit**
- The **beam permit** is a hardwired signal to injection / extraction kickers :
- LHC ring: absence of beam permit → dump triggered !
- LHC injection: absence of beam permit → no injection !
- SPS: absence of beam permit → no extraction !

- **Software Interlock Systems (SIS)** provides additional protection for complex but also less critical conditions
  - Surveillance of magnet currents to avoid certain failures (local bumps) that would reduce the aperture
  - The reaction time of those systems will be at the level of a few seconds
  - The systems rely entirely on the computer network, databases, etc – clearly not as safe as HW systems!
- **Sequencer:** program to execute defined procedures
  - To execute defined well-tested procedures for beam operation
- **Logging and PM systems:** recording of data – continuous logging and for transients (beam dump, quench, ...)
  - Very important to understand what happened

# Beam instrumentation wish list

- Very reliable and robust instrumentation (use design principles from design of protection systems, redundancy, fail-safe, quantify reliability)
  - objective is an availability of 99.99% for future projects (required for some projects, e.g. energy amplifier)
  - in particular for Beam Position Monitors, Beam Current Transformers, Beam Loss Monitors
  - in some cases compromises between performance and robustness should be considered
- Very fast beam current change monitor
  - detecting changes of the beam current accurately in a very short time
  - example:  $10^{10}$  protons within one or a few turns for LHC would efficiently protect the accelerator from damage (...and be redundant to 4000 BLMs for protection from damage)





## Machine protection

- is **not equal** to equipment protection
- requires the **understanding** of **many different type** of failures that could lead to beam loss
- requires **fairly comprehensive understanding** of all aspects of **the accelerator** (accelerator physics, operation, equipment, instrumentation)
- touches **many aspects** of **accelerator construction and operation**
- includes **many systems**
- is becoming **increasingly important** for **future projects**, with increased beam power / energy density ( $\text{W}/\text{mm}^2$  or  $\text{J}/\text{mm}^2$ ) and increasingly complex machines



# Acknowledgements to many colleagues from CERN and to the authors of the listed papers

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