# **RF** Cavity Design

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CAS Darmstadt '09 — RF Cavity Design

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## Overview

- DC versus RF
  - Basic equations: Lorentz & Maxwell, RF breakdown
- Some theory: from waveguide to pillbox
  - rectangular waveguide, waveguide dispersion, standing waves ... waveguide resonators, round waveguides, Pillbox cavity
- Accelerating gap
  - Induction cell, ferrite cavity, drift tube linac, transit time factor
- Characterizing a cavity
  - resonance frequency, shunt impedance,
  - beam loading, loss factor, RF to beam efficiency,
  - transverse effects, Panofsky-Wenzel, higher order modes, PS 80 MHz cavity (magnetic coupling)
- More examples of cavities
  - PEP II, LEP cavities, PS 40 MHz cavity (electric coupling),
- RF Power sources
- Many gaps
  - Why?
  - Example: side coupled linac, LIBO
- Travelling wave structures
  - Brillouin diagram, iris loaded structure, waveguide coupling
- Superconducting Accelerating Structures
- RFQ's

## **DC VERSUS RF**

#### DC versus RF

#### DC accelerator



#### **RF** accelerator



### Lorentz force

A charged particle moving with velocity through an electromagnetic field experiences a force

$$\frac{\mathrm{d}\,\vec{p}}{\mathrm{d}t} = q\left(\vec{E} + \vec{v} \times \vec{B}\right) \qquad \qquad \vec{v} = \frac{\vec{p}}{m\gamma}$$

The energy of the particle is

$$W = \sqrt{\left(mc^{2}\right)^{2} + \left(pc\right)^{2}} = \gamma mc^{2}$$
$$W_{kin} = mc^{2}(\gamma - 1)$$

Change of *W* due to the this force (work done) ; differentiate:  $W dW = c^2 \vec{p} \cdot d\vec{p} = qc^2 \vec{p} \cdot \left(\vec{E} + \vec{v} \times \vec{B}\right) dt = qc^2 \vec{p} \cdot \vec{E} dt$   $dW = q\vec{v} \cdot \vec{E} dt$ 

#### Note: no work is done by the magnetic field.

# Maxwell's equations (in vacuum)

$$\nabla \times \vec{B} - \frac{1}{c^2} \frac{\partial}{\partial t} \vec{E} = \mu_0 \vec{J} \qquad \nabla \cdot \vec{B} = 0$$
$$\nabla \times \vec{E} + \frac{\partial}{\partial t} \vec{B} = 0 \qquad \nabla \cdot \vec{E} = \mu_0 c^2 \rho$$

why not DC?

1) DC  $(\frac{\partial}{\partial t} \equiv 0)$ :  $\nabla \times \vec{E} = 0$  which is solved by  $\vec{E} = -\nabla \Phi$ 

Limit: If you want to gain 1 MeV, you need a potential of 1 MV!

2) Circular machine: DC acceleration impossible since

 $\oint \vec{E} \cdot \mathbf{d}\vec{s} = 0$ 

With time-varying fields:

$$\nabla \times \vec{E} = -\frac{\partial}{\partial t}\vec{B} \qquad \oint \vec{E} \cdot d\vec{s} = -\iint \frac{\partial \vec{B}}{\partial t} \cdot d\vec{A}$$

## Maxwell's equation in vacuum (contd.)

$$\nabla \times \vec{B} - \frac{1}{c^2} \frac{\partial}{\partial t} \vec{E} = 0 \quad \nabla \cdot \vec{B} = 0$$
$$\nabla \times \vec{E} + \frac{\partial}{\partial t} \vec{B} = 0 \quad \nabla \cdot \vec{E} = 0$$

curl of  $3^{rd}$  and  $\frac{\partial}{\partial t}$  of  $1^{st}$  equation:

$$\nabla \times \nabla \times \vec{E} + \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \vec{E} = 0$$

vector identity:

$$\nabla \times \nabla \times \vec{E} = \nabla \nabla \cdot \vec{E} - \Delta \vec{E}$$

with 4<sup>th</sup> equation :

$$\Delta \vec{E} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \vec{E} = 0$$

#### i.e. Laplace in 4 dimensions

## Another reason for RF: breakdown limit

surface field, in vacuum,Cu surface, room temperature



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# FROM WAVEGUIDE TO PILLBOX

### Homogeneous plane wave

$$\vec{E} \propto \vec{u}_y \cos\left(\omega t - \vec{k} \cdot \vec{r}\right)$$
$$\vec{B} \propto \vec{u}_x \cos\left(\omega t - \vec{k} \cdot \vec{r}\right)$$

 $E_y$ 

$$\vec{k} \cdot \vec{r} = \frac{\omega}{c} \left( \cos(\varphi) z + \sin(\varphi) x \right)$$

x

Wave vector  $\vec{k}$ the direction of  $\vec{k}$  is the direction of propagation, the length of  $\vec{k}$  is the phase shift per unit length.  $\vec{k}$  behaves like a vector.



## Wave length, phase velocity

• The components of  $\vec{k}$  are related to the wavelength in the direction of that component as  $\lambda_z = \frac{2\pi}{k_z}$  etc., to the phase velocity as  $v_{\varphi,z} = \frac{\omega}{k_z} = f \lambda_z$ .



#### Superposition of 2 homogeneous plane waves





Metallic walls may be inserted where  $E_y \equiv 0$ without perturbing the fields. Note the standing wave in *x*-direction!

This way one gets a hollow rectangular waveguide

#### Rectangular waveguide power flow Fundamental ( $TE_{10}$ or $H_{10}$ ) mode in a standard rectangular waveguide. E.g. forward wave electric field power flow: $\frac{1}{2} \operatorname{Re} \left\{ \iint_{\substack{\text{cross}\\\text{section}}} \vec{E} \times \vec{H}^* \cdot d\vec{A} \right\}$ x power flow colour coding 1.0000e+00 9.0000e-01 8.0000e-01 magnetic field 7.0000e-01 6.0000e-01 5.0000e-01 $\boldsymbol{Z}$ 4.0000e-01 3.0000e-01 2.0000e-01 1.0000e-01 0.0000e+00 х

# Waveguide dispersion



e.g.: TE<sub>10</sub>-wave in rectangular waveguide:

$$\gamma = j \sqrt{\left(\frac{\omega}{c}\right)^2 - \left(\frac{\pi}{a}\right)^2}$$
$$Z_0 = \frac{j\omega\mu}{\gamma}$$
$$\lambda_{\text{cutoff}} = 2a$$

general cylindrical waveguide:

$$\gamma = j_{1}\sqrt{\left(\frac{\omega}{c}\right)^{2} - k_{\perp}^{2}}$$

$$Z_0 = \frac{j\omega\mu}{\gamma}$$
 for TE,  $Z_0 = \frac{\gamma}{j\omega\varepsilon}$  for TM

In a hollow waveguide: phase velocity > *c*, group velocity < *c* 

## Waveguide dispersion (continued: Higher Order Modes)



## General waveguide equations:

TE (or H) modes

 $\vec{n}$ .

Transverse wave equation (membrane equation):

$$\Delta T + \left(\frac{\omega_c}{c}\right)^2 T = 0$$

TM (or E) modes

boundary condition:

longitudinal wave equations (transmission line equations):

propagation constant:

characteristic impedance:

ortho-normal eigenvectors:

transverse fields:

longitudinal field:

$$\vec{n} \cdot \nabla T = 0 \qquad T = 0$$
ations  
itions):  

$$\frac{dU(z)}{dz} + \gamma Z_0 I(z) = 0$$

$$\frac{dI(z)}{dz} + \frac{\gamma}{Z_0} U(z) = 0$$

$$\gamma = j \frac{\omega}{c} \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}$$
ce:  

$$Z_0 = \frac{j \omega \mu}{\gamma} \qquad Z_0 = \frac{\gamma}{j \omega \varepsilon}$$

$$\vec{r} = \vec{u}_z \times \nabla T \qquad \vec{e} = -\nabla T$$

$$\vec{E} = U(z)\vec{e}$$

$$H_z = \left(\frac{\omega_c}{c}\right)^2 \frac{TU(z)}{j \omega \mu} \qquad H_z = \left(\frac{\omega_c}{c}\right)^2 \frac{TI(z)}{j \omega \varepsilon}$$

#### Rectangular waveguide: transverse eigenfunctions

$$TE (H) \text{ modes:} \qquad T_{mn}^{(H)} = \frac{1}{\pi} \sqrt{\frac{ab\varepsilon_m \varepsilon_n}{(mb)^2 + (na)^2}} \cos\left(\frac{m\pi}{a}x\right) \cos\left(\frac{n\pi}{b}y\right)$$

$$TM (E) \text{ modes:} \qquad T_{mn}^{(E)} = \frac{2}{\pi} \sqrt{\frac{ab}{(mb)^2 + (na)^2}} \sin\left(\frac{m\pi}{a}x\right) \sin\left(\frac{n\pi}{b}y\right)$$

$$\frac{\omega_c}{c} = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

$$Round \text{ waveguide: transverse eigenfunctions}$$

$$TE (H) \text{ modes:} \qquad T_{mn}^{(H)} = \sqrt{\frac{\varepsilon_m}{\pi \left(\chi_{mn}^{''} - m^2\right)}} \frac{J_m \left(\chi_{mn}^{''} \frac{\rho}{a}\right)}{J_m \left(\chi_{mn}^{''}\right)} \left\{ \begin{array}{c} \cos(m\varphi) \\ \sin(m\varphi) \\ \sin(m\varphi) \\ \end{array} \right\}$$

$$TM (E) \text{ modes:} \qquad T_{mn}^{(E)} = \sqrt{\frac{\varepsilon_m}{\pi}} \frac{J_m \left(\chi_{mn} \frac{\rho}{a}\right)}{\chi_{mn} J_{m-1}(\chi_{mn})} \left\{ \begin{array}{c} \sin(m\varphi) \\ \cos(m\varphi) \\ \cos(m\varphi) \\ \end{array} \right\}$$

$$where \qquad \varepsilon_i = \left\{ \begin{array}{c} 1 & for \quad i = 0 \\ 2 & for \quad i \neq 0 \end{array} \right\}$$

# Standing wave – resonator

Same as above, but two counter-running waves of identical amplitude.

electric field

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no net power flow:

$$\frac{1}{2} \operatorname{Re} \left\{ \iint_{\substack{\text{cross}\\\text{section}}} \vec{E} \times \vec{H}^* \cdot d\vec{A} \right\} = 0$$

colour coding 1.0000e+00 9.0000e-01 8.0000e-01 7.0000e-01 6.0000e-01 5.0000e-01 4.0000e-01 3.0000e-01 1.0000e-01 0.0000e+00

magnetic field (90° out of phase)



## Round waveguide

#### parameters used in calculation: *f* = 1.43, 1.09, 1.13 *f<sub>c</sub>*, *a*: radius



 $f_{c} = \frac{87.85}{}$ GHz a/mm TM<sub>01</sub>: axial electric field

 $f_{c} = \frac{114.74}{114.74}$ 

 $GHz^{-}a/mm$ CAS Darmstadt '09 — RF Cavity Design TE<sub>01</sub>: lowest losses!

 $\frac{f_c}{\text{GHz}} = \frac{334.74}{a/\text{mm}}$ 

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#### electric field

#### magnetic field

#### Pillbox cavity field (w/o beam tube)

$$T(\rho, \varphi) = \sqrt{\frac{1}{\pi}} \frac{J_0\left(\frac{\chi_{01}\rho}{a}\right)}{\chi_{01} J_1\left(\frac{\chi_{01}}{a}\right)} \qquad A$$

The only non-vanishing field components :







## ACCELERATING GAP

# Accelerating gap



- We want a voltage across the gap!
- It cannot be DC, since we want the beam tube on
- $\oint \vec{E} \cdot d\vec{s} = -\iint \frac{d\vec{B}}{dt} \cdot d\vec{A}$
- The "shield" imposes a
  - upper limit of the voltage pulse duration or
  - a lower limit to the usable frequency.
- The limit can be extended with a material which
- Materials typically used:
  - ferrites (depending on *f*-range)
  - magnetic alloys (MA) like Metglas®, Finemet®,
- resonantly driven with RF (ferrite loaded cavities) - or with pulses (induction cell)



#### Ferrite cavitv



# Gap of PS cavity (prototype)



# Drift Tube Linac (DTL) – how it works

For slow particles ! E.g. protons @ few MeV

The drift tube lengths can easily be adapted.

electric field







### Drift tube linac – practical implementations





## Transit time factor

If the gap is small, the voltage  $\int E_z dz$  is small.

If the gap large, the RF field varies notably while the particle passes.



# **CHARACTERIZING A CAVITY**

# Cavity resonator – equivalent circuit

Simplification: single mode



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### Resonance



### **Reentrant cavity**

Nose cones increase transit time factor, round outer shape minimizes losses.

Nose cone example Freq = 500.003









# Beam loading – RF to beam efficiency

- The beam current "loads" the generator, in the equivalent circuit this appears as a resistance in parallel to the shunt impedance.
- If the generator is matched to the unloaded cavity, beam loading will cause the accelerating voltage to decrease.
- The power absorbed by the beam is  $-\frac{1}{2} \operatorname{Re} \left\{ V_{gap} \ I_B^* \right\}$ the power loss  $P = \frac{\left| V_{gap} \right|^2}{2 R}$ .
- For high efficiency, beam loading shall be high.
- The RF to beam efficiency is  $\eta = \frac{1}{1 + \frac{V_{gap}}{D + I_{gap}}} = \frac{|I_B|}{|I_G|}$
## Characterizing cavities

- Resonance frequency
- Transit time factor

field varies while particle is traversing the gap



Circuit definition

• Shunt impedance gap voltage – power relation

 $\left|V_{gap}\right|^2 = 2 R_{shunt} P_{loss}$ 

Linac definition

$$\left. V_{gap} \right|^2 = R_{shunt} P_{loss}$$

• *Q* factor

 $\omega_0 W = Q P_{loss}$ 

*R/Q* independent of losses – only geometry!

$$\frac{R}{Q} = \frac{\left|V_{gap}\right|^2}{2\,\omega_0 W} = \sqrt{\frac{L}{C}}$$

 $k_{loss} = \frac{\omega_0}{2} \frac{R}{Q} = \frac{\left| V_{gap} \right|^2}{4 W}$ 

$$\frac{R}{Q} = \frac{\left|V_{gap}\right|^2}{\omega_0 W}$$

 $k_{loss} = \frac{\omega_0}{4} \frac{R}{Q} = \frac{\left|V_{gap}\right|^2}{4W}$ 

loss factor

### Example Pillbox:

$$\omega_0|_{pillbox} = \frac{\chi_{01} c}{a}$$

 $Q|_{pillbox} = \frac{\sqrt{2a\eta\sigma\chi_{01}}}{2\left(1 + \frac{a}{h}\right)}$ 

$$\chi_{01} = 2.4048$$

$$\eta = \sqrt{\frac{\mu_0}{\varepsilon_0}} = 377 \,\Omega$$
$$\sigma_{\rm Cu} = 5.8 \cdot 10^7 \,\mathrm{S/m}$$

$$\frac{R}{Q}\Big|_{pillbox} = \frac{4\eta}{\chi_{01}^{3}\pi J_{1}^{2}(\chi_{01})} \frac{\sin^{2}(\frac{\chi_{01}}{2}\frac{h}{a})}{h/a}$$

# Higher order modes



### Higher order modes (measured spectrum)



### Pillbox: Dipole mode (TM110)

(only 1/8 shown)



electric field (@ 0°)

#### magnetic field (@ 90°)

# Panofsky-Wenzel theorem

For particles moving virtually at v=c, the integrated transverse force (kick) can be determined from the transverse variation of the integrated longitudinal force!

$$\mathbf{j}\frac{\boldsymbol{\omega}}{c}\vec{F}_{\perp} = \nabla_{\perp}F_{\parallel}$$

Pure TE modes: No net transverse force !

Transverse modes are characterized by

- $\cdot$  the transverse impedance in  $\omega$ -domain
- the transverse loss factor (kick factor) in *t*-domain !

W.K.H. Panofsky, W.A. Wenzel: "Some Considerations Concerning the Transverse Deflection of Charged Particles in Radio-Frequency Fields", RSI 27, 1957]

# CERN/PS 80 MHz cavity (for LHC)





#### Higher order modes

Example shown: 80 MHz cavity PS for LHC. Color-coded:







357.9 MHz, m=3

376.8 MHz, m=2

387.8 MHz, m=1

255.6 MHz, m=0

418.5 MHz, m=4

292 MHz, m=2

422.9 MHz, m=3

337.5 MHz, m=1















481.0 MHz, m=1



344.5 MHz, m=0



### **MORE EXAMPLES OF CAVITIES**

#### PS 19 MHz cavity (prototype, photo: 1966)



### Examples of cavities



PEP II cavity 476 MHz, single cell, 1 MV gap with 150 kW, strong HOM damping,



LEP normal-conducting Cu RF cavities, 350 MHz. 5 cell standing wave + spherical cavity for energy storage, 3 MV



### CERN/PS 40 MHz cavity (for LHC)





example for capacitive coupling



cavity

## **RF POWER SOURCES**

### **RF Power sources**

#### > 200 MHz: Klystrons



Thales TH1801, Multi-Beam Klystron (MBK), 1.3 GHz, 117 kV. Achieved: 48 dB gain, 10 MW peak, 150 kW average,  $\eta = 65$  %

dB:  $\frac{output \ power}{input \ power} = 10^{4.8}$ 

< 1000 MHz: grid tubes





**UHF** Diacrode

pictures from http://www.thales-electrondevices.com

### **RF** power sources



#### Example of a tetrode amplifier (80 MHz, CERN/PS)



400 kW, with fast RF feedback

18  $\Omega$  coaxial output (towards cavity)

22 kV DC anode voltage feed-through with  $\lambda/4$  stub

tetrode cooling water feed-throughs



### **MANY GAPS**

# What do you gain with many gaps?

- The R/Q of a single gap cavity is limited to some 100 W. Now consider to distribute the available power to n identical cavities: each will receive P/n, thus produce an accelerating voltage of  $\sqrt{2RP/n}$ .
  - The total accelerating voltage thus increased, equivalent to a total equivalent shunt impedance of nR.



# Standing wave multicell cavity

- Instead of distributing the power from the amplifier, one might as well couple the cavities, such that the power automatically distributes, or have a cavity with many gaps (e.g. drift tube linac).
- Coupled cavity accelerating structure (side coupled)



• The phase relation between gaps is important!

### **Example of Side Coupled Structure**



LIBO (= Linac Booster)

A 3 GHz Side Coupled Structure to accelerate protons out of cyclotrons from 62 MeV to 200 MeV

Medical application: treatment of tumours.

Prototype of Module 1 built at CERN (2000)

Collaboration CERN/INFN/ Tera Foundation

### LIBO prototype



This Picture made it to the title page of CERN Courier vol. 41 No. 1 (Jan./Feb. 2001)

### **TRAVELLING WAVE STRUCTURES**



### Iris loaded waveguide



#### Disc loaded structure with strong HOM damping "choke mode cavity"



### Power coupling with waveguides



# 3 GHz Accelerating structure



#### Examples (CLIC structures @ 11.4, 12 and 30 GHz)



## SUPERCONDUCTING ACCELERATING STRUCTURES

# LEP Superconducting cavity with its cryostat



### LHC SC RF, 4 cavity module, 400 MHz



### ILC high gradient SC structures at 1.3 GHz



### Small $\beta$ superconducting cavities (example RIA, Argonne)

115 MHz split-ring cavity,

172.5 MHz  $\theta$  = 0.19 "lollipop" cavity



pictures from Shepard et al.: "Superconducting accelerating structures for a multi-beam driver linac for RIA", Linac 2000, Monterey

## **RFQ'S**

### Old pre-injector 750 kV DC , CERN Linac 2 before 1990



All this was replaced by the RFQ ...

### RFQ of CERN Linac 2


## The Radio Frequency Quadrupole (RFQ)

Minimum Energy of a DTL: 500 keV (low duty) - 5 MeV (high duty) At low energy / high current we need strong focalisation Magnetic focusing (proportional to  $\beta$ ) is inefficient at low energy. Solution (Kapchinski, 70's, first realised at LANL):

## Electric quadrupole focusing + bunching + acceleration



## RFQ electrode modulation

The electrode modulation creates a longitudinal field component that creates the "bunches" and accelerates the beam.





## A look inside CERN AD's "RFQ-D"

