

Introduction to Transverse Beam Optics II

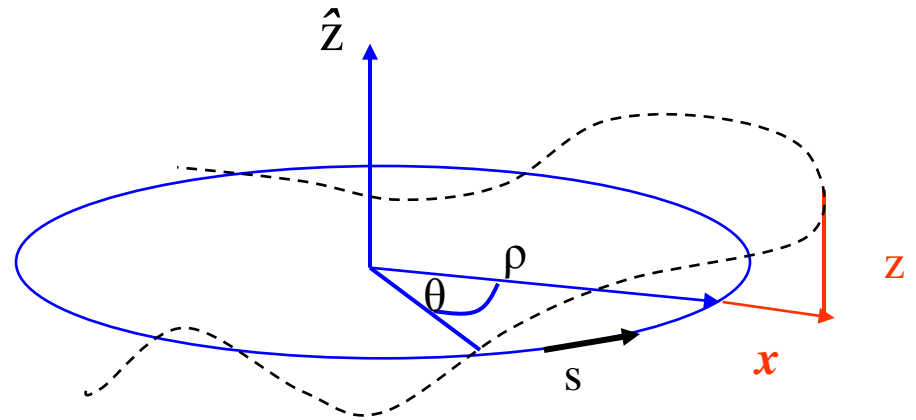
Bernhard Holzer, CERN

I. Reminder: the ideal world



$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = M \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_0$$

$$M_{foc} = \begin{pmatrix} \cos(\sqrt{|K|}s) & \frac{1}{\sqrt{|K|}} \sin(\sqrt{|K|}s) \\ -\sqrt{|K|} \sin(\sqrt{|K|}s) & \cos(\sqrt{|K|}s) \end{pmatrix}_0$$



Beam Emittance and Phase Space Ellipse

equation of motion:

$$x''(s) - k(s)x(s) = 0$$

general solution of Hills equation:

$$x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos(\psi(s) + \varphi)$$

beam size:

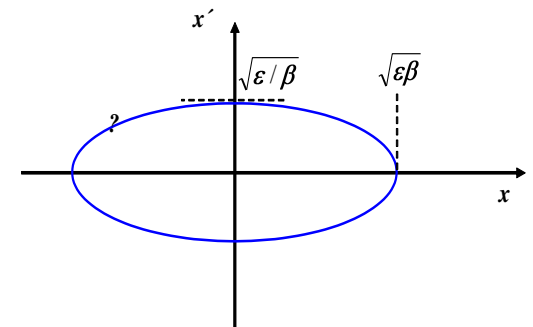
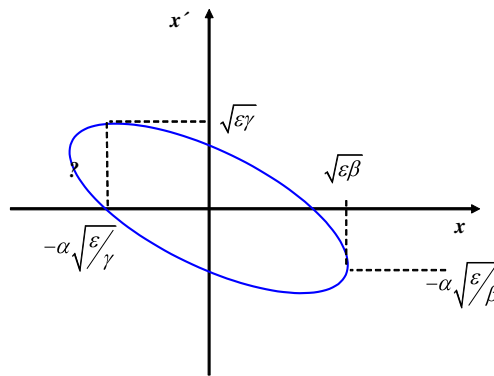
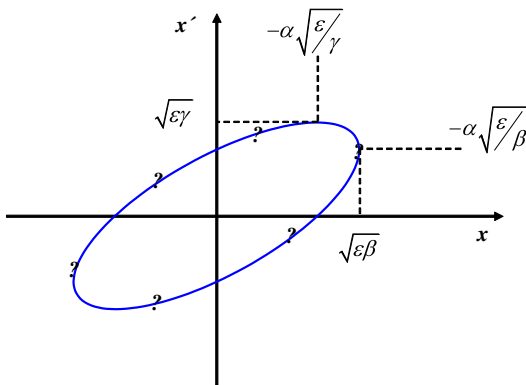
$$\sigma = \sqrt{\varepsilon\beta} \approx \text{"mm"}$$

$$\varepsilon = \gamma(s)x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s)x'^2(s)$$

$$\alpha(s) = -\frac{1}{2} \beta'(s)$$

$$\gamma(s) = \frac{1 + \alpha(s)^2}{\beta(s)}$$

- * ε is a **constant of the motion** ... it is independent of „s“
- * parametric representation of an **ellipse in the $x x'$ space**
- * shape and orientation of ellipse are given by α, β, γ



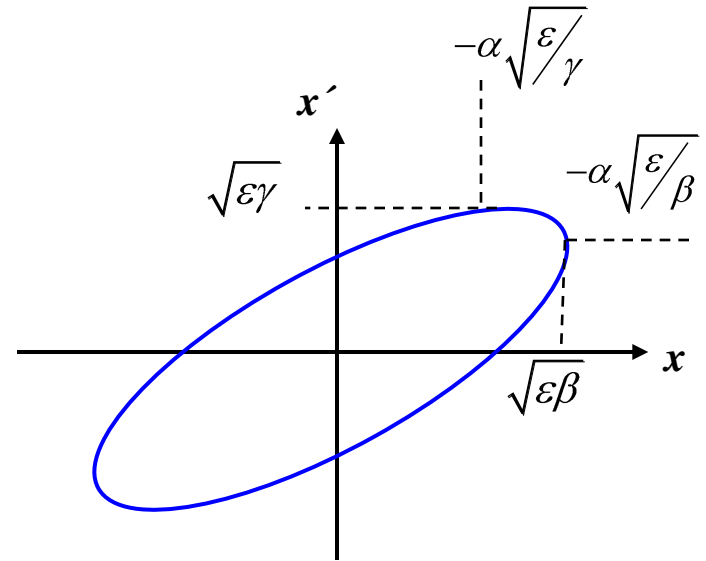
II ... the not so ideal world

13.) Liouville during Acceleration

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$

Beam Emittance corresponds to the area covered in the x, x' Phase Space Ellipse

Liouville: Area in phase space is constant.



But so sorry ... $\varepsilon \neq \text{const}!$

Classical Mechanics:

phase space = diagram of the two canonical variables
position & momentum

x p_x

$$p_j = \frac{\partial L}{\partial \dot{q}_j} \quad ; \quad L = T - V = \text{kin. Energy} - \text{pot. Energy}$$

According to Hamiltonian mechanics:
 phase space diagram relates the variables q and p

$$q = \text{position} = x$$

$$p = \text{momentum} = \gamma m v = m c \gamma \beta_x$$

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \quad ; \quad \beta_x = \frac{\dot{x}}{c}$$

Liouville's Theorem: $\int p dq = \text{const}$

for convenience (i.e. *because we are lazy bones*) we use in accelerator theory:

$$x' = \frac{dx}{ds} = \frac{dx}{dt} \frac{dt}{ds} = \frac{\beta_x}{\beta} \quad \text{where } \beta_x = v_x / c$$

$$\int p dq = m c \int \gamma \beta_x dx$$

$$\int p dq = m c \gamma \beta \underbrace{\int x' dx}_{\varepsilon}$$

$$\Rightarrow \varepsilon = \int x' dx \propto \frac{1}{\beta \gamma}$$

*the beam emittance
 shrinks during
 acceleration $\varepsilon \sim 1 / \gamma$*

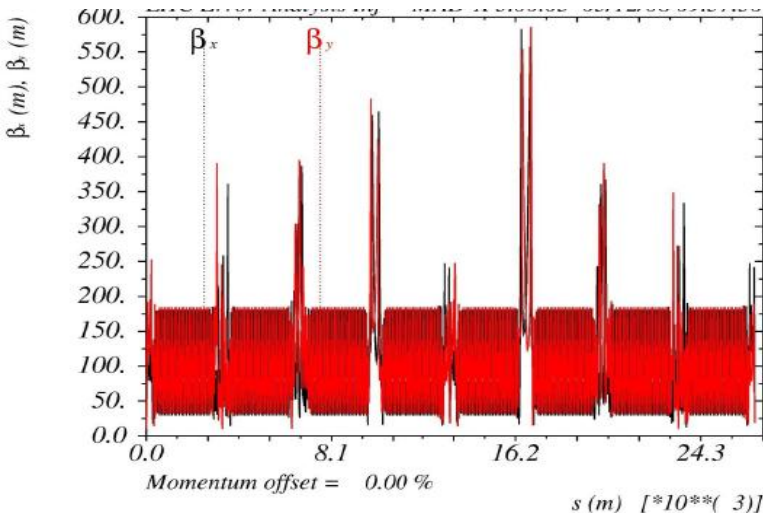
Nota bene:

- 1.) A proton machine ... or an electron linac ... needs the highest aperture at injection energy !!!
as soon as we start to accelerate the **beam size shrinks as $\gamma^{-1/2}$** in both planes.

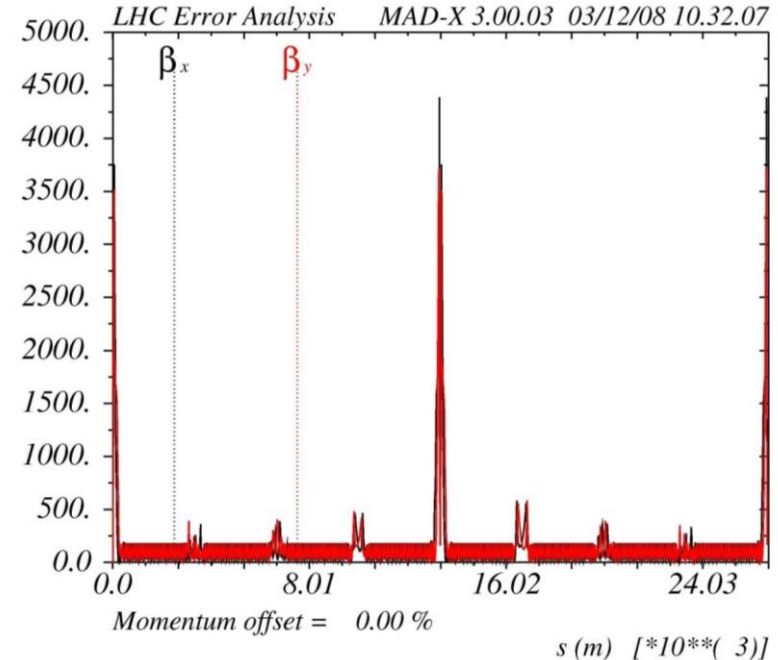
$$\sigma = \sqrt{\varepsilon\beta}$$

- 2.) At lowest energy the machine will have the major aperture problems,
→ here we have to **minimise $\hat{\beta}$**

- 3.) we need **different beam optics** adopted to the energy:
A Mini Beta concept will only be adequate at flat top.



LHC injection
optics at 450 GeV

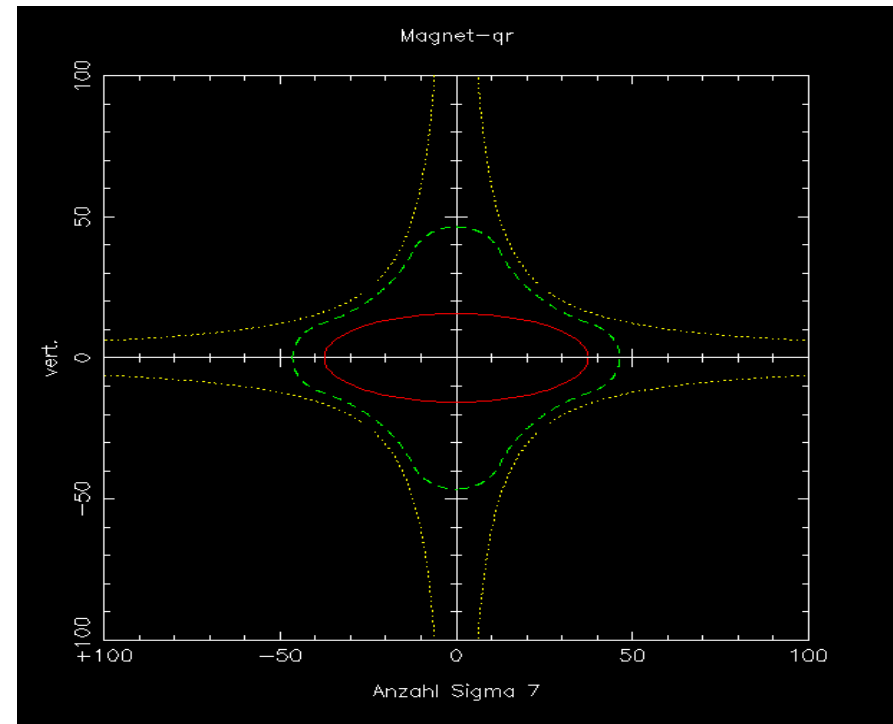
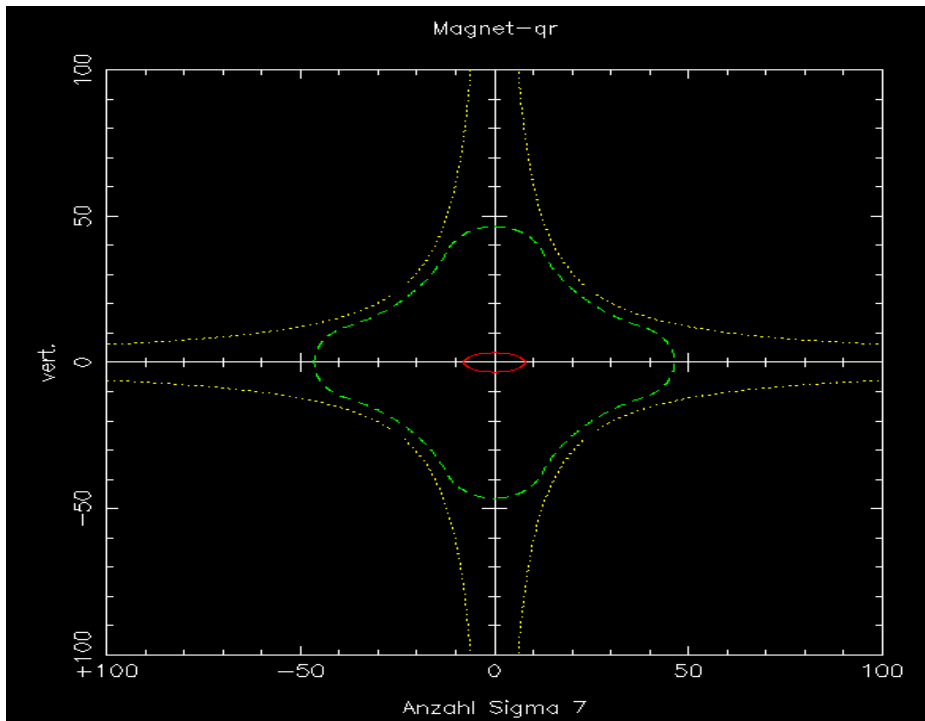


LHC mini beta
optics at 7000 GeV

Example: HERA proton ring

*injection energy: 40 GeV $\gamma = 43$
flat top energy: 920 GeV $\gamma = 980$*

*emittance ε (40GeV) = $1.2 * 10^{-7}$
 ε (920GeV) = $5.1 * 10^{-9}$*



7 σ beam envelope at E = 40 GeV

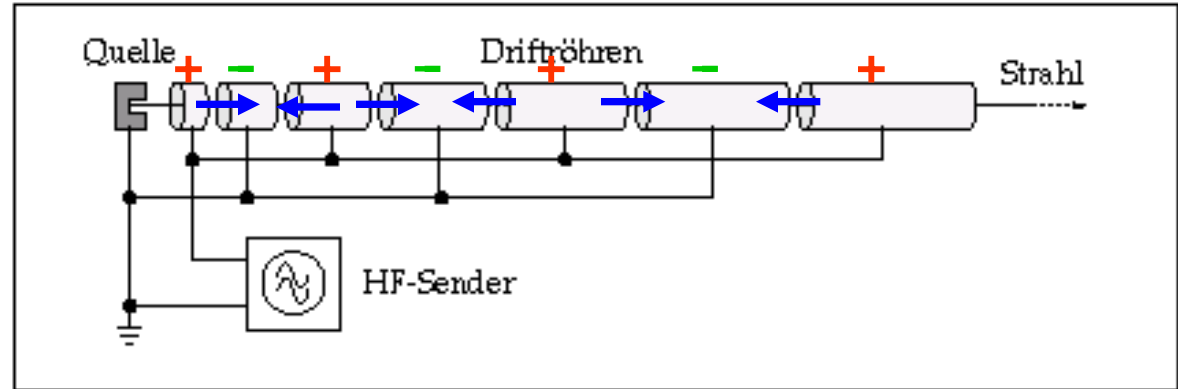
... and at E = 920 GeV

14.) The „ $\Delta p / p \neq 0$ “ Problem

Linear Accelerator

Energy Gain per „Gap“:

$$W = q U_0 \sin \omega_{RF} t$$

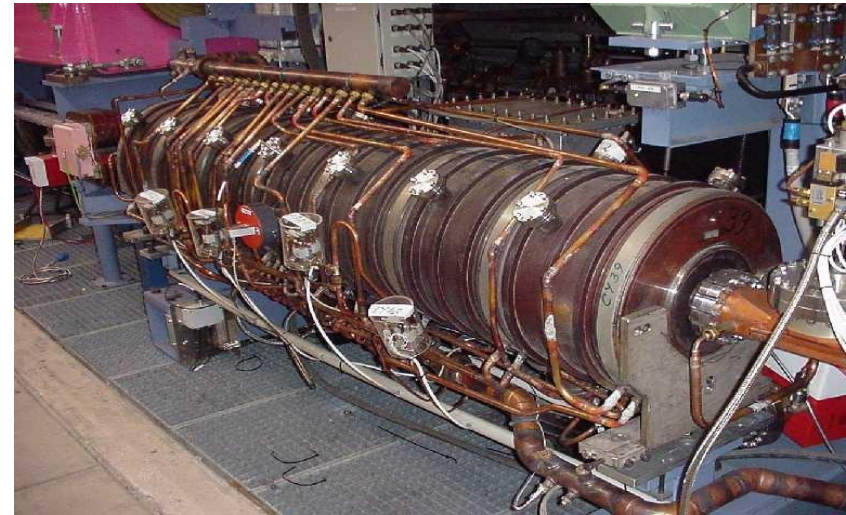


drift tube structure at a proton linac

1928, Wideroe

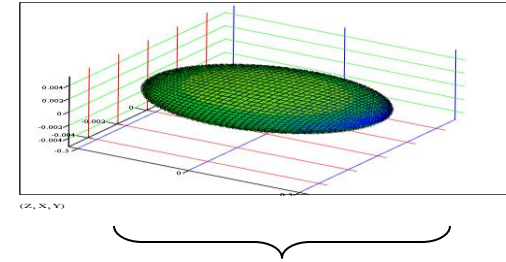
500 MHz cavities in an electron storage ring

* **RF Acceleration:** multiple application of the same acceleration voltage; brilliant idea to gain higher energies ... but **changing acceleration voltage**



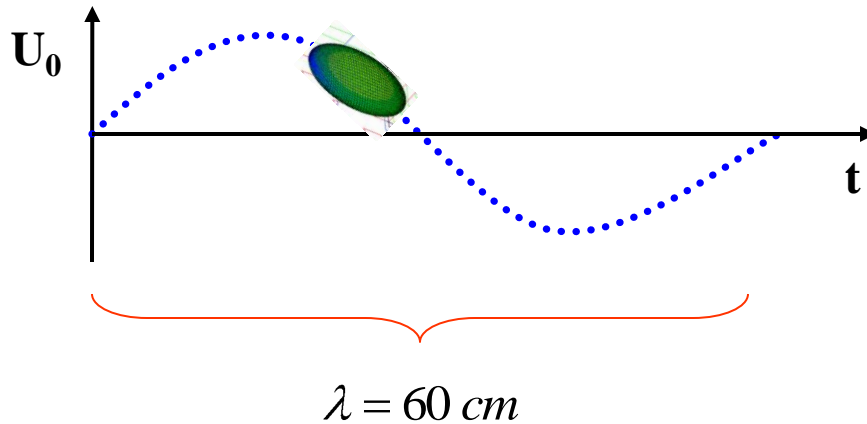
Problem: panta rhei !!!

(Heraklit: 540-480 v. Chr.)



Example: HERA RF:

Bunch length of Electrons $\approx 1\text{ cm}$



$$\left. \begin{aligned} \nu &= 500 \text{ MHz} \\ c &= \lambda \nu \end{aligned} \right\} \lambda = 60 \text{ cm}$$

$$\sin(90^\circ) = 1$$

$$\sin(84^\circ) = 0.994$$

$$\frac{\Delta U}{U} = 6.0 \cdot 10^{-3}$$

typical momentum spread of an electron bunch:

$$\frac{\Delta p}{p} \approx 1.0 \cdot 10^{-3}$$

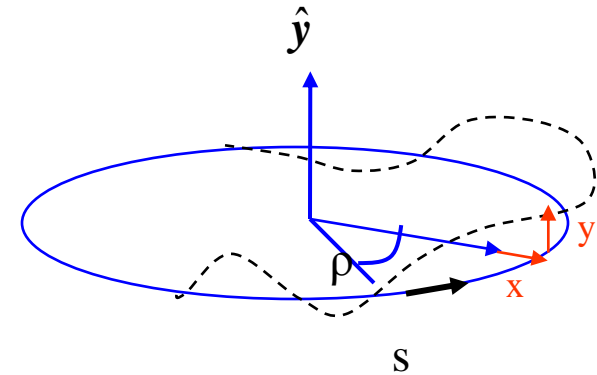
15.) Dispersion: trajectories for $\Delta p / p \neq 0$

Force acting on the particle

$$F = m \frac{d^2}{dt^2} (x + \rho) - \frac{mv^2}{x + \rho} = e B_y v$$

remember: $x \approx mm, \rho \approx m \dots \rightarrow$ develop for small x

$$m \frac{d^2 x}{dt^2} - \frac{mv^2}{\rho} \left(1 - \frac{x}{\rho}\right) = e B_y v$$



consider only linear fields, and change independent variable: $t \rightarrow s$ $B_y = B_0 + x \frac{\partial B_y}{\partial x}$

$$x'' - \frac{1}{\rho} \left(1 - \frac{x}{\rho}\right) = \frac{e B_0}{mv} + \frac{e x g}{mv}$$

$$p = p_0 + \Delta p$$

... but now take a small momentum error into account !!!

Dispersion:

develop for small momentum error

$$\Delta p \ll p_0 \Rightarrow \frac{1}{p_0 + \Delta p} \approx \frac{1}{p_0} - \frac{\Delta p}{p_0^2}$$

$$x'' - \frac{1}{\rho} + \frac{x}{\rho^2} \approx \underbrace{\frac{e B_0}{p_0} - \frac{\Delta p}{p_0^2} e B_0}_{-\frac{1}{\rho}} + \underbrace{\frac{x e g}{p_0}}_{k * x} - \underbrace{x e g \frac{\Delta p}{p_0^2}}_{\approx 0}$$

$$x'' + \frac{x}{\rho^2} \approx \frac{\Delta p}{p_0} * \underbrace{\frac{(-e B_0)}{p_0}}_{\frac{1}{\rho}} + k * x = \frac{\Delta p}{p_0} * \frac{1}{\rho} + k * x$$

$$x'' + \frac{x}{\rho^2} - kx = \frac{\Delta p}{p_0} \frac{1}{\rho} \quad \longrightarrow \quad x'' + x \left(\frac{1}{\rho^2} - k \right) = \frac{\Delta p}{p_0} \frac{1}{\rho}$$

Momentum spread of the beam adds a term on the r.h.s. of the equation of motion.
→ **inhomogeneous differential equation.**

$$x'' + x\left(\frac{1}{\rho^2} - k\right) = \frac{\Delta p}{p} \cdot \frac{1}{\rho}$$

general solution:

$$x(s) = x_h(s) + x_i(s)$$

$$\begin{cases} x_h''(s) + K(s) \cdot x_h(s) = 0 \\ x_i''(s) + K(s) \cdot x_i(s) = \frac{1}{\rho} \cdot \frac{\Delta p}{p} \end{cases}$$

Normalise with respect to $\Delta p/p$:

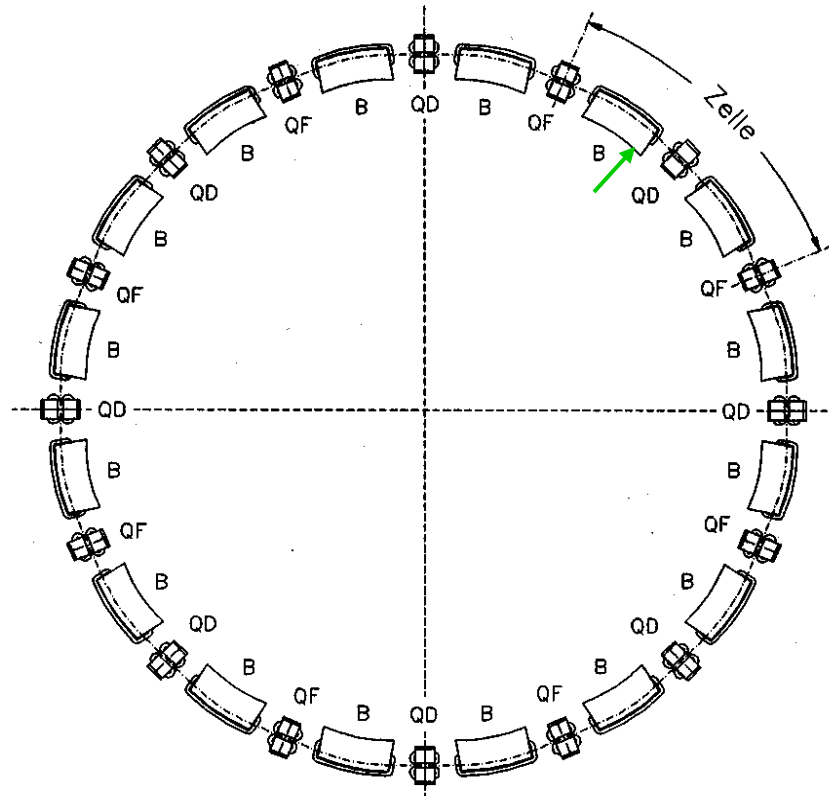
$$D(s) = \frac{x_i(s)}{\frac{\Delta p}{p}}$$

Dispersion function $D(s)$

- * is that **special orbit**, an **ideal particle** would have for $\Delta p/p = 1$
- * the **orbit of any particle** is the **sum** of the well known x_β and the **dispersion**
- * as **$D(s)$ is just another orbit** it will be subject to the focusing properties of the lattice

Dispersion

Example: homogenous dipole field



bit for $\Delta p/p > 0$

$$= D(s) \cdot \frac{\Delta p}{p}$$

Matrix formalism:

$$x(s) = x_\beta(s) + D(s) \cdot \frac{\Delta p}{p}$$

$$x(s) = C(s) \cdot x_0 + S(s) \cdot x'_0 + D(s) \cdot \frac{\Delta p}{p}$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_0 + \frac{\Delta p}{p} \begin{pmatrix} D \\ D' \end{pmatrix}$$

$$\begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_s = \begin{pmatrix} C & S & D \\ C' & S' & D' \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_0$$

Example HERA

$$x_\beta = 1 \dots 2 \text{ mm}$$

$$D(s) \approx 1 \dots 2 \text{ m}$$

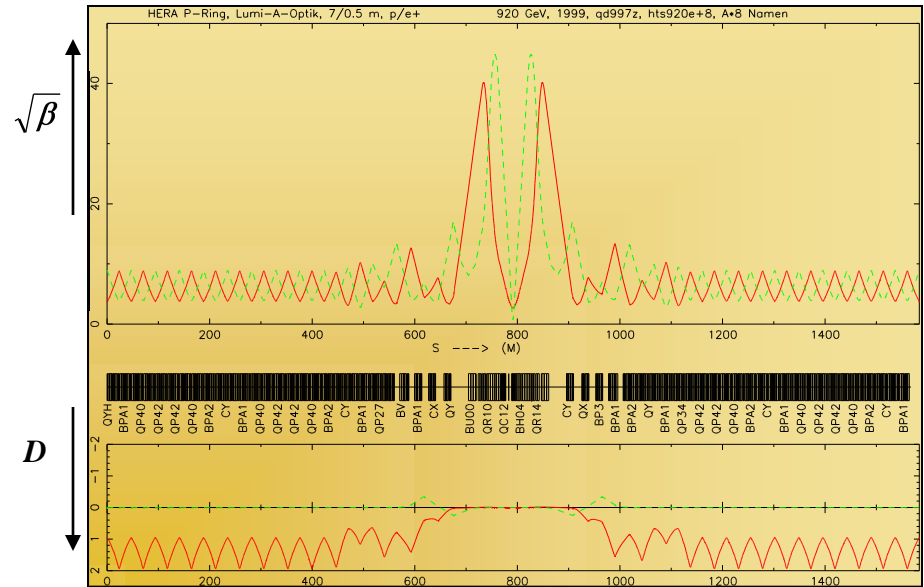
$$\frac{\Delta p}{p} \approx 1 \cdot 10^{-3}$$

Amplitude of Orbit oscillation

contribution due to Dispersion \approx beam size

Calculate D, D'

$$D(s) = S(s) \int_{s_0}^{s_1} \frac{1}{\rho} C(\tilde{s}) d\tilde{s} - C(s) \int_{s_0}^{s_1} \frac{1}{\rho} S(\tilde{s}) d\tilde{s}$$



Example: Drift

$$M_{Drift} = \begin{pmatrix} 1 & l \\ 0 & 1 \end{pmatrix}$$

$$M_{Drift} = \begin{pmatrix} 1 & l & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$D(s) = S(s) \underbrace{\int_{s_0}^{s_1} \frac{1}{\rho} C(\tilde{s}) d\tilde{s}}_{=0} - C(s) \underbrace{\int_{s_0}^{s_1} \frac{1}{\rho} S(\tilde{s}) d\tilde{s}}_{=0}$$

Example: Dipole

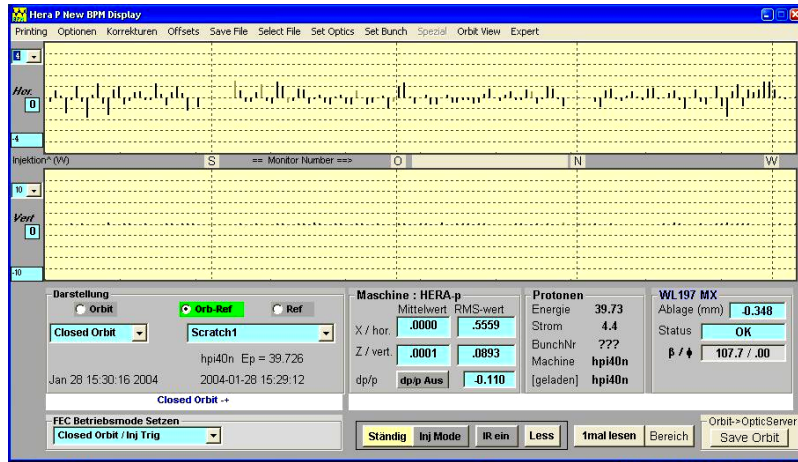
$$M_{Dipole} = \begin{pmatrix} \cos \frac{l}{\rho} & \rho \sin \frac{l}{\rho} \\ -\frac{1}{\rho} \sin \frac{l}{\rho} & \cos \frac{l}{\rho} \end{pmatrix}$$

→

$$D(s) = \rho \cdot \left(1 - \cos \frac{l}{\rho}\right)$$

$$D'(s) = \sin \frac{l}{\rho}$$

Dispersion is visible



HERA Standard Orbit

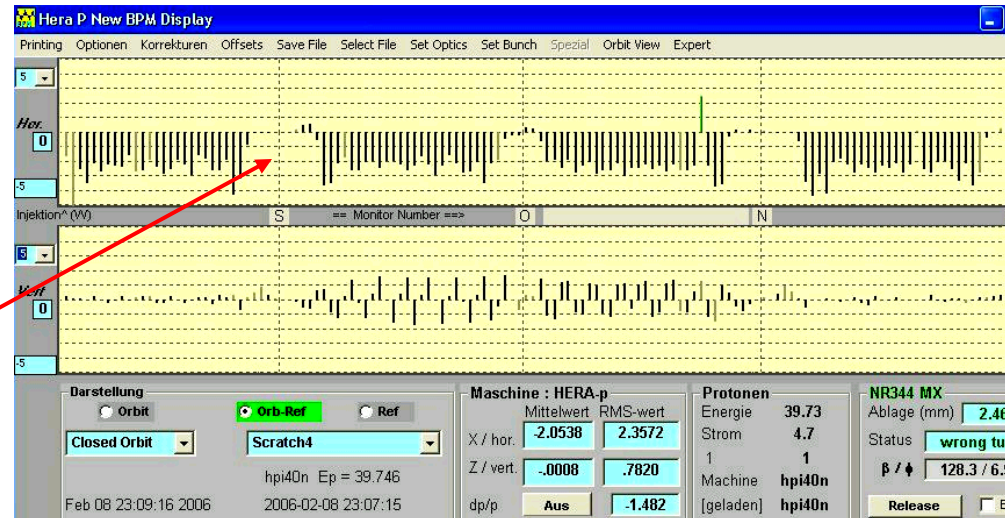
dedicated energy change of the stored beam

→ closed orbit is moved to a dispersions trajectory

$$x_D = D(s) * \frac{\Delta p}{p}$$

Attention: at the Interaction Points we require $D=D'=0$

HERA Dispersion Orbit



16.) Momentum Compaction Factor:

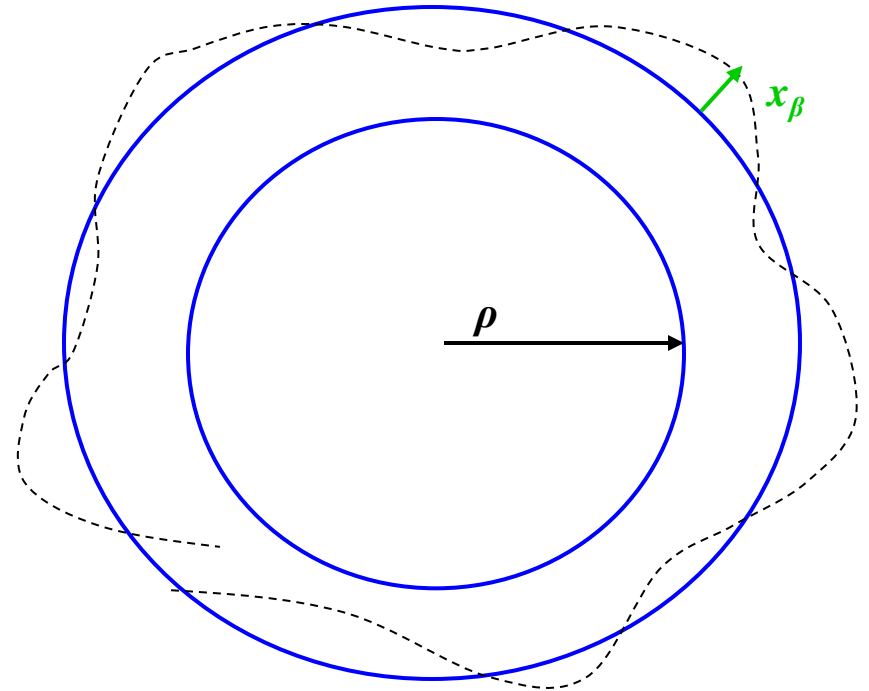
The *dispersion* function relates the *momentum error* of a particle to the *horizontal orbit coordinate*.

inhomogeneous differential equation

$$x'' + K(s) * x = \frac{1}{\rho} \frac{\Delta p}{p}$$

general solution

$$x(s) = x_{\beta}(s) + D(s) \frac{\Delta p}{p}$$



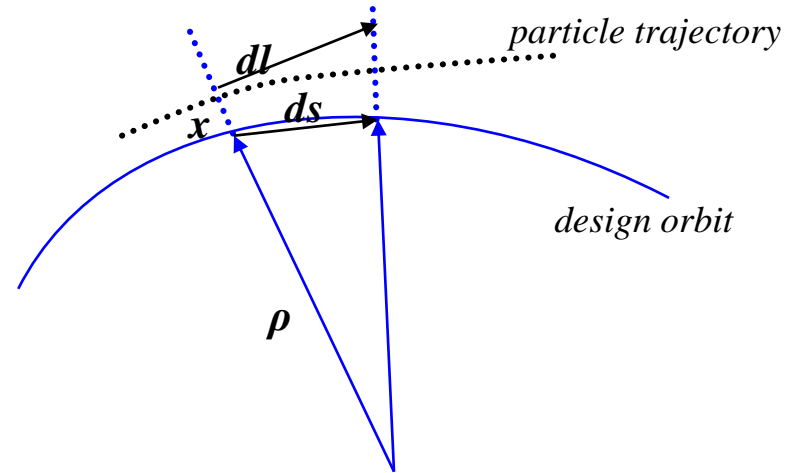
But it does much more:

it changes the length of the off - energy - orbit !!

*particle with a displacement x to the design orbit
 → path length dl ...*

$$\frac{dl}{ds} = \frac{\rho + x}{\rho}$$

$$\rightarrow dl = \left(1 + \frac{x}{\rho(s)} \right) ds$$



circumference of an off-energy closed orbit

$$l_{\Delta E} = \oint dl = \oint \left(1 + \frac{x_{\Delta E}}{\rho(s)} \right) ds$$

remember:

$$x_{\Delta E}(s) = D(s) \frac{\Delta p}{p}$$

$$\delta l_{\Delta E} = \frac{\Delta p}{p} \oint \left(\frac{D(s)}{\rho(s)} \right) ds$$

** The lengthening of the orbit for off-momentum particles is given by the dispersion function and the bending radius.*

Definition:
$$\frac{\delta l_\varepsilon}{L} = \alpha_{cp} \frac{\Delta p}{p}$$

$$\rightarrow \alpha_{cp} = \frac{1}{L} \oint \left(\frac{D(s)}{\rho(s)} \right) ds$$

For first estimates assume:
$$\frac{1}{\rho} = \text{const}$$

$$\int_{dipoles} D(s) ds = \Sigma (l_{dipoles}) * \langle D \rangle_{dipole}$$

$$\alpha_{cp} = \frac{1}{L} l_{dipoles} \langle D \rangle \frac{1}{\rho} = \frac{1}{L} 2\pi\rho \langle D \rangle \frac{1}{\rho} \rightarrow \alpha_{cp} \approx \frac{2\pi}{L} \langle D \rangle \approx \frac{\langle D \rangle}{R}$$

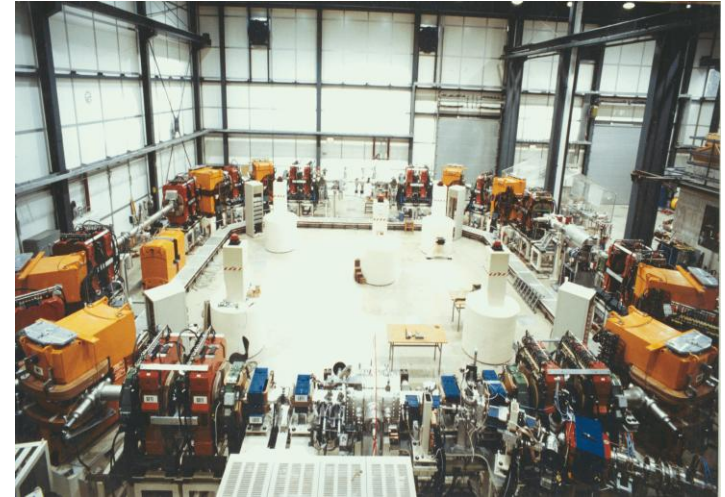
Assume: $v \approx c$

$$\rightarrow \frac{\delta T}{T} = \frac{\delta l_\varepsilon}{L} = \alpha_{cp} \frac{\Delta p}{p}$$

α_{cp} combines via the dispersion function the momentum spread with the longitudinal motion of the particle.

17.) Tune and Quadrupoles

Question: *what will happen, if you do not make too many mistakes and your **particle performs one complete turn** ?*



Transfer Matrix from point „0“ in the lattice to point „s“:

$$M = \begin{pmatrix} \sqrt{\frac{\beta_s}{\beta_0}} \cos \psi_s + \alpha_0 \sin \psi_s & \sqrt{\beta_s \beta_0} \sin \psi_s \\ \frac{(\alpha_0 - \alpha_s) \cos \psi_s - (1 + \alpha_0 \alpha_s) \sin \psi_s}{\sqrt{\beta_s \beta_0}} & \sqrt{\frac{\beta_0}{\beta_s}} \cos \psi_s - \alpha_s \sin \psi_s \end{pmatrix}$$

Matrix for one complete turn

the Twiss parameters are periodic in L:

$$\beta(s + L) = \beta(s)$$

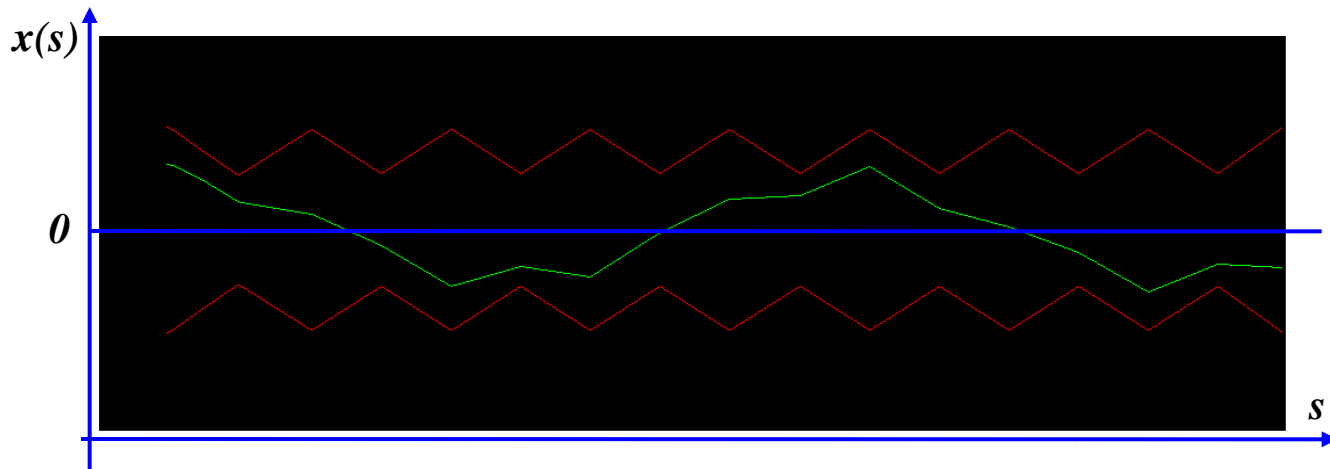
$$\alpha(s + L) = \alpha(s)$$

$$\gamma(s + L) = \gamma(s)$$

$$M_{\text{turn}} = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} = \begin{pmatrix} \cos \psi_{\text{turn}} + \alpha \sin \psi_{\text{turn}} & \beta \sin \psi_{\text{turn}} \\ -\gamma \sin \psi_{\text{turn}} & \cos \psi_{\text{turn}} - \alpha \sin \psi_{\text{turn}} \end{pmatrix}$$

Definition: phase advance of the particle oscillation per revolution in units of 2π is called tune

$$Q = \frac{\Delta \psi_{\text{turn}}}{2\pi} = \frac{\mu}{2\pi}$$



Quadrupole Error in the Lattice

optic *perturbation* described by *thin lens quadrupole*

$$M_{dist} = M_{\Delta k} * M_0 = \underbrace{\begin{pmatrix} 1 & 0 \\ \Delta K ds & 1 \end{pmatrix}}_{\text{quad error}} * \underbrace{\begin{pmatrix} \cos\psi_{turn} + \alpha \sin\psi_{turn} & \beta \sin\psi_{turn} \\ -\gamma \sin\psi_{turn} & \cos\psi_{turn} - \alpha \sin\psi_{turn} \end{pmatrix}}_{\text{ideal storage ring}}$$

$$M_{dist} = \begin{pmatrix} \cos\psi_{turn} + \alpha \sin\psi_{turn} & \beta \sin\psi_{turn} \\ \Delta K ds (\cos\psi_{turn} + \alpha \sin\psi_{turn}) - \gamma \sin\psi_{turn} & \Delta K ds * \beta \sin\psi_{turn} + \cos\psi_{turn} - \alpha \sin\psi_{turn} \end{pmatrix}$$

rule for getting the tune

$$\text{Trace}(M) = 2\cos\psi = 2\cos\psi_0 + \Delta K ds \beta \sin\psi_0$$

$$\psi = \psi_0 + \Delta\psi \quad \text{Quadrupole error} \rightarrow \text{Tune Shift}$$

$$\cos(\psi_0 + \Delta\psi) = \cos\psi_0 + \frac{\Delta K ds \beta \sin \psi_0}{2}$$

remember the old fashioned trigonometric stuff and assume that the error is small !!!

$$\underbrace{\cos\psi_0 * \cos\Delta\psi}_{\approx 1} - \underbrace{\sin\psi_0 * \sin\Delta\psi}_{\approx \Delta\psi} = \cos\psi_0 + \frac{\Delta K ds \beta \sin \psi_0}{2}$$

$$\Delta\psi = \frac{\Delta K ds \beta}{2}$$

and referring to Q instead of ψ : $\psi = 2\pi Q$

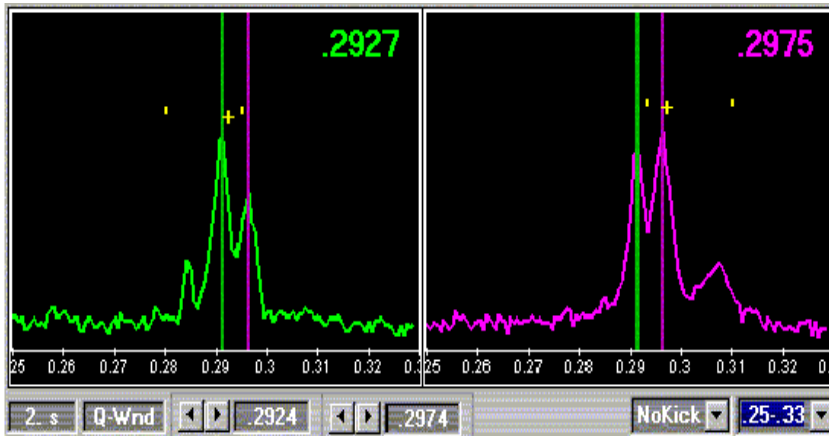
$$\Delta Q = \int_{s_0}^{s_0+L} \frac{\Delta K(s) \beta(s) ds}{4\pi}$$

a quadrupol error leads to a shift of the tune:

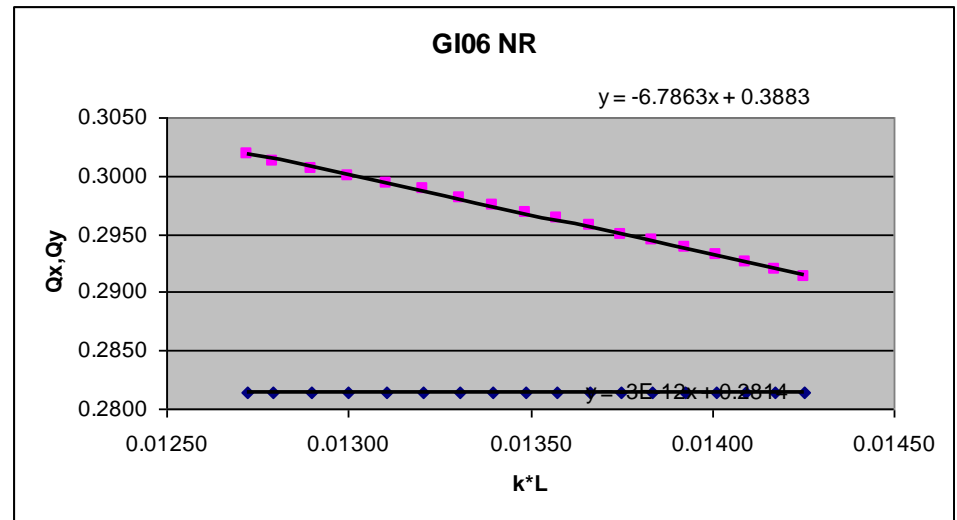
$$\Delta Q = \int_{s_0}^{s_0+L} \frac{\Delta K(s) \beta(s) ds}{4\pi} \approx \frac{\Delta K l_{quad} \bar{\beta}}{4\pi}$$

- ! the tune shift is **proportional to the β -function** at the quadrupole
- !! field quality, power supply tolerances etc are much tighter at places where β is large
- !!! mini beta quads: $\beta \approx 1900$
arc quads: $\beta \approx 80$
- !!!! β is a measure for the sensitivity of the beam

Example: measurement of β in a storage ring:



tune spectrum ...



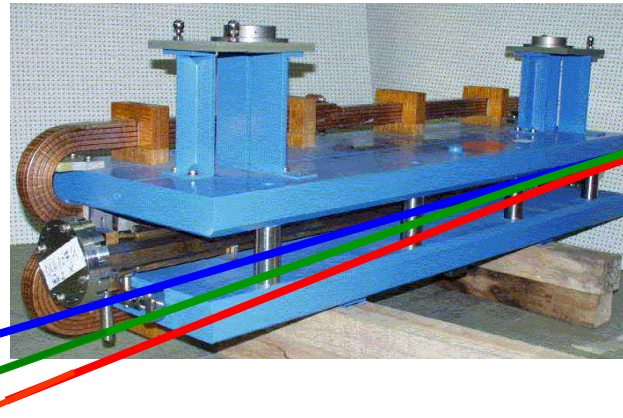
tune shift as a function of a gradient change

18.) Chromaticity:

A Quadrupole Error for $\Delta p/p \neq 0$

Influence of external fields on the beam: *prop. to magn. field & prop. zu $1/p$*

dipole magnet $\alpha = \frac{\int B dl}{p/e}$



$$x_D(s) = D(s) \frac{\Delta p}{p}$$

focusing lens $k = \frac{g}{p/e}$

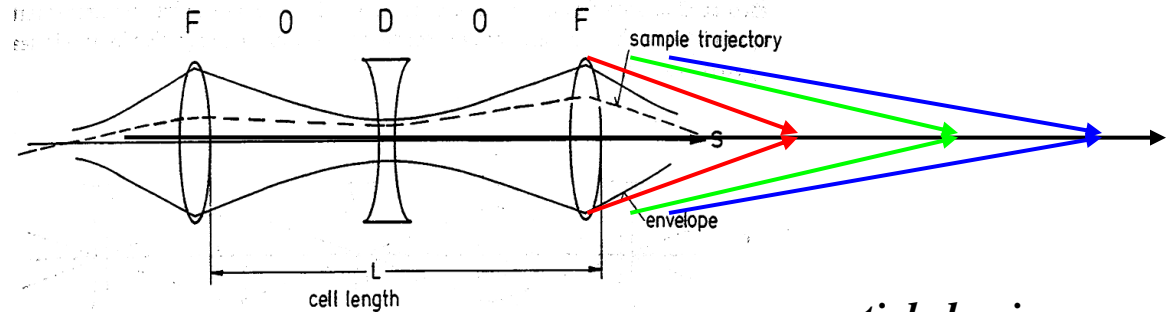


Figure 29: FODO cell

particle having ...
to high energy
to low energy
ideal energy

Chromaticity: Q'

$$k = \frac{g}{p/e}$$

$$p = p_0 + \Delta p$$

in case of a momentum spread:

$$k = \frac{eg}{p_0 + \Delta p} \approx \frac{e}{p_0} \left(1 - \frac{\Delta p}{p_0}\right) g = k_0 + \Delta k$$

$$\Delta k = -\frac{\Delta p}{p_0} k_0$$

... which acts like a quadrupole error in the machine and leads to a tune spread:

$$\Delta Q = -\frac{1}{4\pi} \frac{\Delta p}{p_0} k_0 \beta(s) ds$$

definition of chromaticity:

$$\Delta Q = Q' \frac{\Delta p}{p}$$

Problem: chromaticity is generated by the lattice itself !!

ξ is a **number** indicating the **size of the tune spot** in the working diagram,

ξ is always created if the beam is focussed

→ it is determined by the focusing strength **k** of all quadrupoles

$$Q' = \frac{-1}{4\pi} * \oint k(s) \beta(s) ds$$

k = quadrupole strength

β = **betafunction** indicates the beam size ... and even more the **sensitivity of the beam to external fields**

Example: HERA

HERA-p: $Q' = -70 \dots -80$
 $\Delta p/p = 0.5 * 10^{-3}$
 $Q = 0.257 \dots 0.337$

→ **Some particles get very close to resonances and are lost**

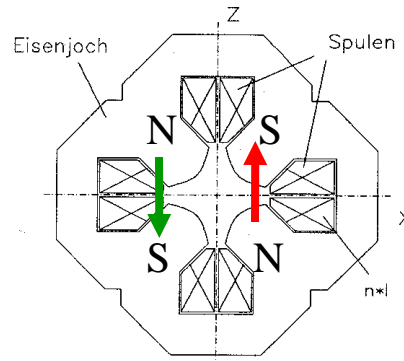
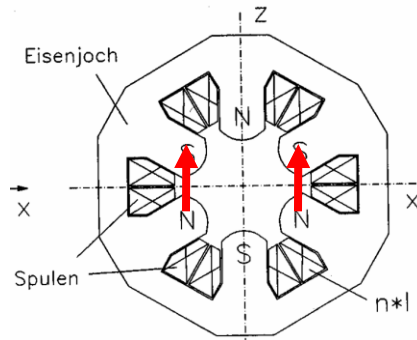
Correction of Q' :

1.) sort the particles according to their momentum $x_D(s) = D(s) \frac{\Delta p}{p}$

2.) apply a magnetic field that rises quadratically with x (sextupole field)

$$\left. \begin{aligned} B_x &= \tilde{g}xz \\ B_z &= \frac{1}{2} \tilde{g}(x^2 - z^2) \end{aligned} \right\} \frac{\partial B_x}{\partial z} = \frac{\partial B_z}{\partial x} = \tilde{g}x \quad \text{linear rising „gradient“:}$$

Sextupole Magnets:



k_1 normalised quadrupole strength

k_2 normalised sextupole strength

$$k_1(\text{sext}) = \frac{\tilde{g}x}{p/e} = k_2 * x$$

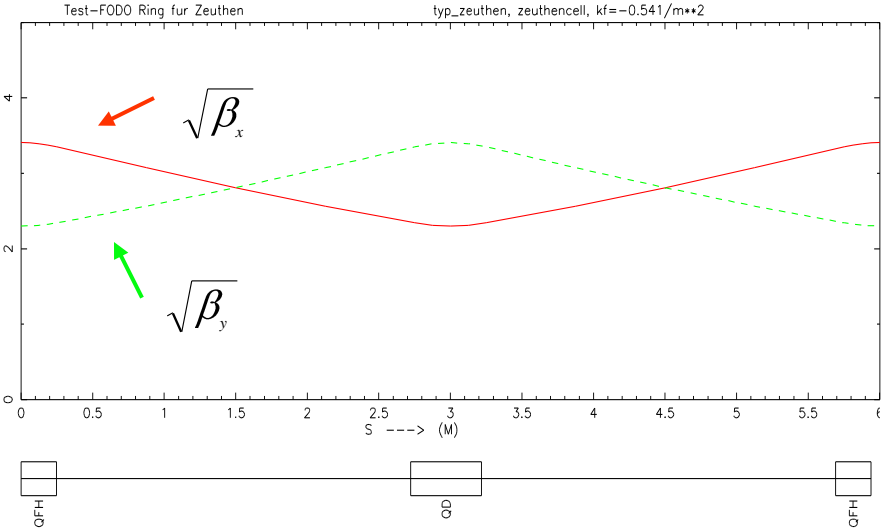
$$k_1(\text{sext}) = k_2 * D * \frac{\Delta p}{p}$$

corrected chromaticity:

$$Q'_x = \frac{-1}{4\pi} * \oint k_1(s) \beta(s) ds + \frac{1}{4\pi} \sum_{F \text{ sext}} k_2^F l_{\text{sext}} D_x^F \beta_x^F - \frac{1}{4\pi} \sum_{D \text{ sext}} k_2^D l_{\text{sext}} D_x^D \beta_x^D$$

Chromaticity in the FoDo Lattice

$$Q' = \frac{-1}{4\pi} * \int k(s) \beta(s) ds$$



β -Function in a FoDo

$$\hat{\beta} = \frac{(1 + \sin \frac{\mu}{2})L}{\sin \mu}$$

$$\check{\beta} = \frac{(1 - \sin \frac{\mu}{2})L}{\sin \mu}$$

$$Q' = \frac{-1}{4\pi} N * \frac{\hat{\beta} - \check{\beta}}{f_Q}$$

$$Q' = \frac{-1}{4\pi} N * \frac{1}{f_Q} * \left\{ \frac{L(1 + \sin \frac{\mu}{2}) - L(1 - \sin \frac{\mu}{2})}{\sin \mu} \right\}$$

using some *TLC transformations* ... ξ can be expressed in a very simple form:

$$Q' = \frac{-1}{4\pi} N * \frac{1}{f_Q} * \frac{2L \sin \frac{\mu}{2}}{\sin \mu}$$

$$Q' = \frac{-1}{4\pi} N * \frac{1}{f_Q} * \frac{L \sin \frac{\mu}{2}}{\sin \frac{\mu}{2} \cos \frac{\mu}{2}}$$

remember ...

$$\sin x = 2 \sin \frac{x}{2} \cos \frac{x}{2}$$

$$Q'_{cell} = \frac{-1}{4\pi f_Q} * \frac{L \tan \frac{\mu}{2}}{\sin \frac{\mu}{2}}$$

putting ...

$$\sin \frac{\mu}{2} = \frac{L}{4f_Q}$$

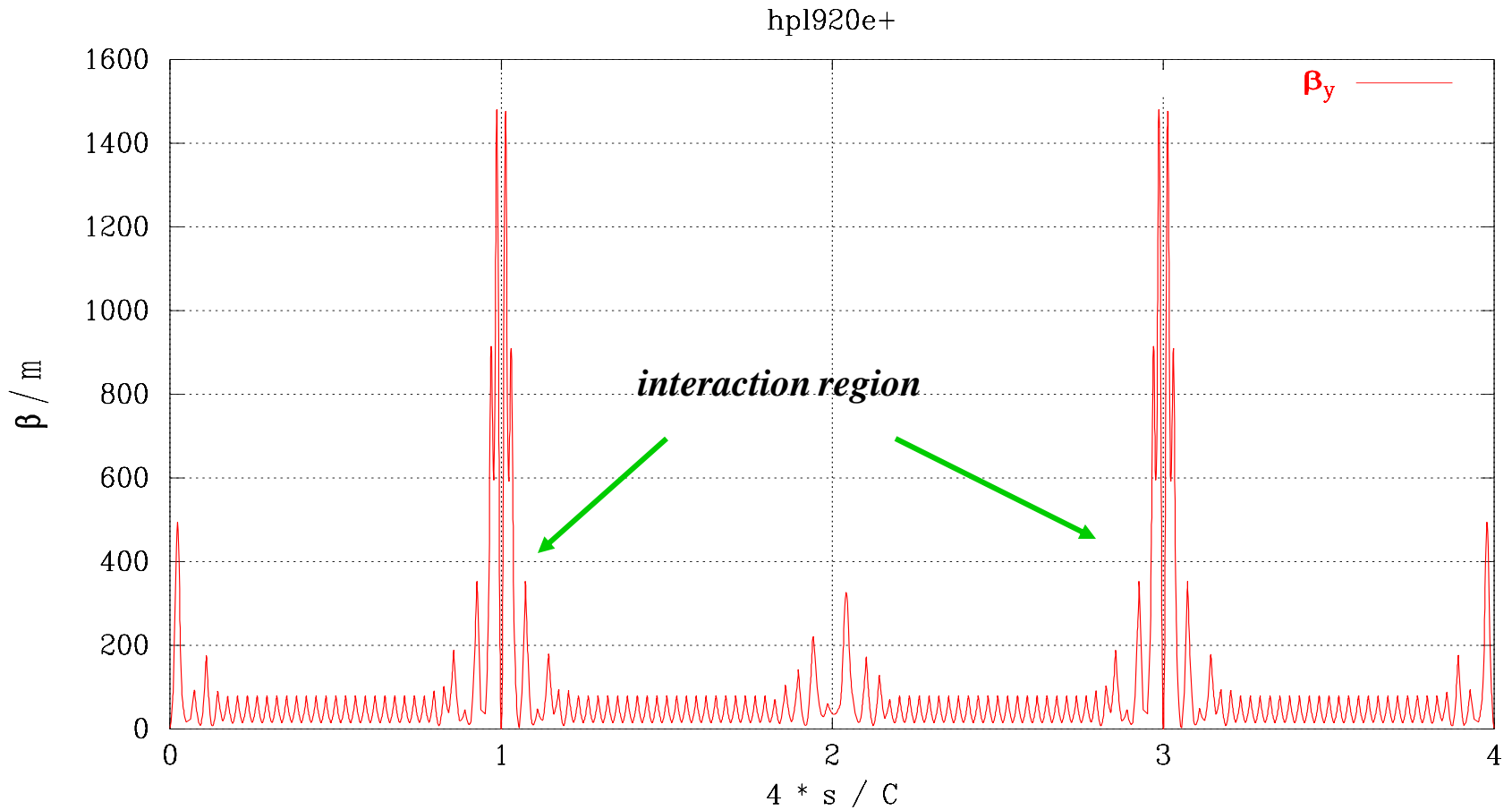
$$Q'_{cell} = \frac{-1}{\pi} * \tan \frac{\mu}{2}$$

contribution of one FoDo Cell to the chromaticity of the ring:

Chromaticity

$$Q' = -\frac{1}{4\pi} \oint K(s)\beta(s)ds$$

question: main contribution to ξ in a lattice ... ?



19.) Resume':

beam emittance:

$$\varepsilon \propto \frac{1}{\beta\gamma}$$

beta function in a drift:

$$\beta(s) = \beta_0 - 2\alpha_0 s + \gamma_0 s^2$$

... and for $\alpha = 0$

$$\beta(s) = \beta_0 + \frac{s^2}{\beta_0}$$

*particle trajectory for $\Delta p/p \neq 0$
inhomogeneous equation:*

$$x'' + x\left(\frac{1}{\rho^2} - k\right) = \frac{\Delta p}{p_0} \frac{1}{\rho}$$

... and its solution:

$$x(s) = x_\beta(s) + D(s) \cdot \frac{\Delta p}{p}$$

momentum compaction:

$$\frac{\delta l_\varepsilon}{L} = \alpha_{cp} \frac{\Delta p}{p} \quad \alpha_{cp} \approx \frac{2\pi}{L} \langle D \rangle \approx \frac{\langle D \rangle}{R}$$

quadrupole error:

$$\Delta Q = \int_{s_0}^{s_0+L} \frac{\Delta K(s) \beta(s) ds}{4\pi}$$

chromaticity:

$$Q' = -\frac{1}{4\pi} \oint K(s) \beta(s) ds$$