

Machine & People Protection Issues

CAS Introduction to Accelerator Physics

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**Lecture based on previous CAS & JUAS contributions by
Daniela Kiselev, Xavier Queralt, Rüdiger Schmidt, Ivan Strasik, Markus Zerlauth...**

Reasons for machine protection:

- **Protection of the environment:** Only necessary activation inside & outside of the facility should be produced
- **Protection of the accelerator:** Prevent for destruction of component, prevent for down-time & cost
- **Enable save operation:** Threshold values for reliable operation
- **Protection of people:** Important for workers and general public, following laws

Outline of this talk:

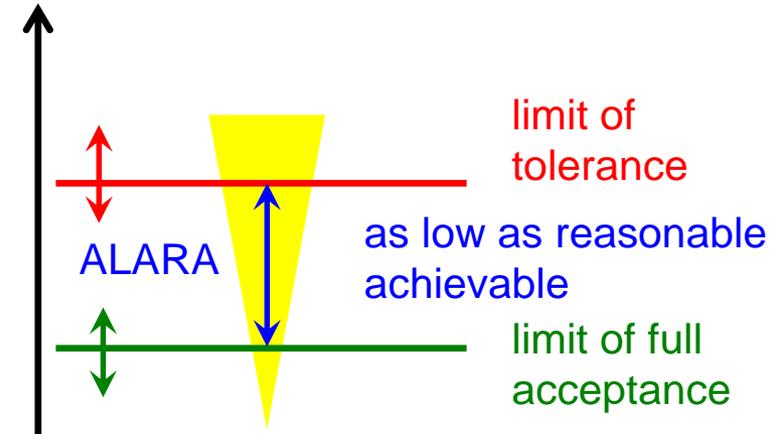
- **Introduction to risk & destruction potential**
- **Important atomic and nuclear physics**
- **Definition of loss categories, passive protection**
- **Measurements by Beam Loss Monitors**
- **Design of Machine Protection System**
- **Overview of personal safety**

What Risk is acceptable?

The risk is a factor to prepare for decisions :

5 Catastrophic	5	10	18	20	25
4 Major	4	8	12	16	20
3 Severe	3	6	9	12	15
2 Minor	2	4	6	8	10
1 Slight	1	2	3	4	5
consequences	1	2	3	4	5
probability	1 Negligible	2 Improbable	3 Occasional	4 Probable	5 Frequent

increasing risk



Risk = probability of an accident x consequences

measured in terms of e.g. money, manpower, accelerator downtime, radiation pollution

- Intolerable or acceptable depends on e.g. maintenance access, destruction level, operation)
- Different accelerator facilities can have different risks (e.g. medical ↔ research facilities)
- Risk must be weighted to foreseen usage, goals and possible achievements

What is the Risk for an Accelerators?

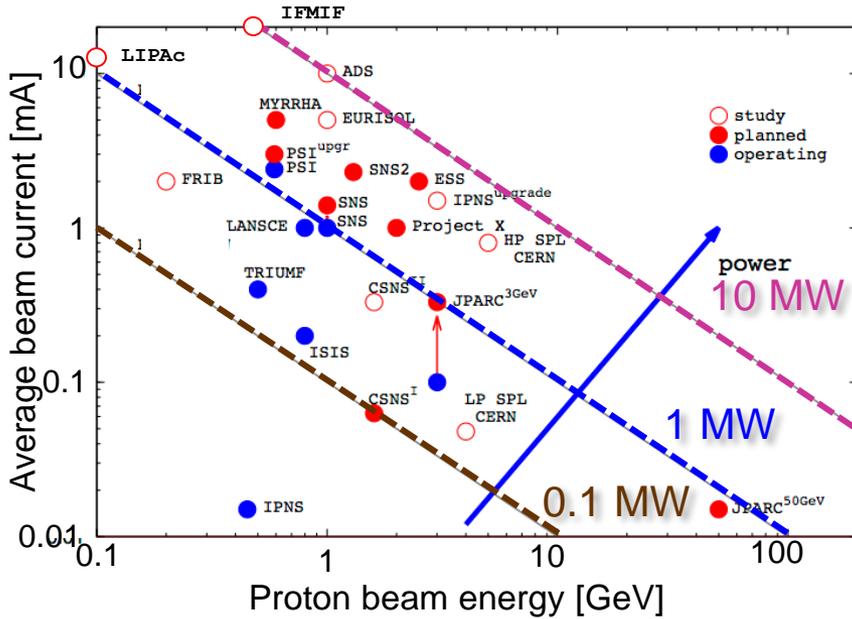
Categories of destruction, consequences and risk:

- **Heating:** Lost beam heat the surrounding by its energy loss (by atomic physics)
 - ⇒ **Consequence:** Material is melted and deformed ⇒ proper functionality hindered
 - ⇒ **Risk:** Stop of operation
- Example:** Destroyed instrumentation, leak in vacuum chamber, quench of superconducting magnet
- **Activation:** Nuclear reaction & showers caused beam particle & absorbing material (nuclear physics)
 - ⇒ **Consequence:** Permanent activation ⇒ pollution, human access hindered
 - ⇒ **Risk:** Maintenance impossible, expensive disposal
- **Financial aspects:** Shield against radiation contributes significantly
 - ⇒ **Consequence:** Reconstruction of buildings
 - ⇒ **Risk:** Insufficient budget, loss of operation permit
- **User requirements:** Less beam available for users
 - ⇒ **Consequence:** Disappointed users
 - ⇒ **Risk:** Cancel financial support for accelerator facility

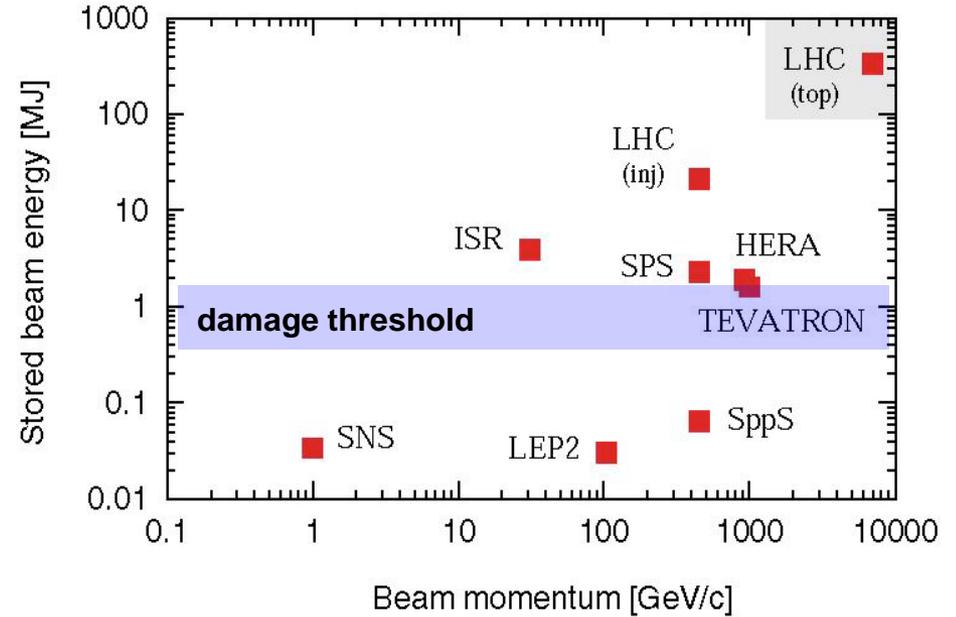


Stored Beam Energy at Accelerators

Beam power of fixed target proton accelerator:
 LINACs, cyclotrons or extraction from synchrotrons



Stored beam energy within a synchrotron:
 Mainly large circular collider



Examples: Energy of 1MJ correspondance:

- 1 MJ is the kinetic energy of 2 600 kg with an velocity of 100 km/h
 - 1 MJ can heat and melt 1.5 kg of copper
 - 1 MJ is liberated by the explosion of 0.25 kg TNT
- LINAC: 1 MW delivered within 1 s equals to 1MJ

Courtesy M. Lindroos & R. Schmidt

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Overview: Interaction of Particles and Photons with Matter

Interaction with matter

General:

- Charged particles interact with electrons
⇒ shorter range
- neutral particles ionize only indirectly
⇒ longer range
- Atomic processes have larger cross section than nuclear processes

'Geometrical' cross section:

Cross section σ_{geo} comparable to size:

- Size of **atom**: $r_{Bohr} = 0.053 \text{ nm}$

$$\sigma_{geo}^{atom} = \pi (r_{Bohr})^2 = 8,8 \cdot 10^{-17} \text{ cm}^2$$

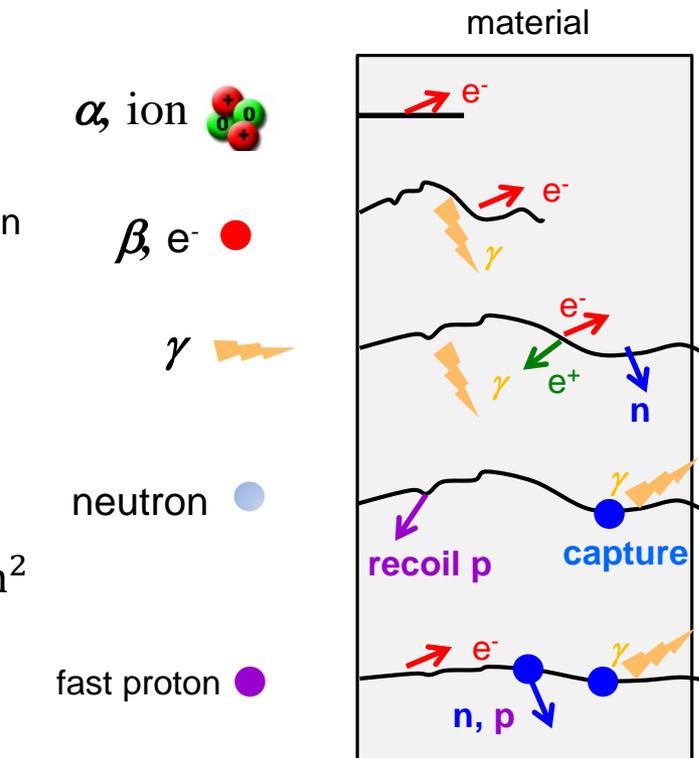
$$\approx 10^{-16} \text{ cm}^2$$

- Size of **nucleus**: $r_{nucl} \approx 3 \text{ fm}$

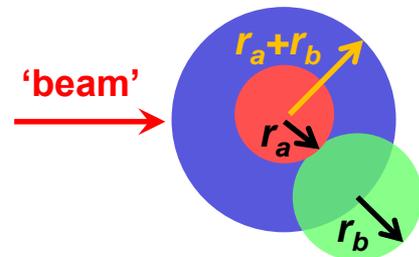
$$\sigma_{geo}^{nucl} = \pi (2 \cdot r_{nucl})^2$$

$$\approx 10^{-24} \text{ cm}^2 \equiv \mathbf{1 \text{ barn}}$$

⇒ very probable reactions have $\approx \sigma_{geo}$



A: atomic physics
N: nuclear physics
A: e ⁻
N: reac. if E>10MeV/u
A: e ⁻ , X-ray, γ
N: reaction
A: e ⁻ , X-ray, Compton
N: nucl. reactions, neutron, pair-prod.
A: non
N: nucl. excitation elastic scat.
A: e ⁻
N: nucl. excitation hadronic shower spallation



Hard balls' 'geometrical' cross section:

$$\sigma_{geo} = \pi (r_a + r_b)^2 \text{ for any 'reaction'}$$

Energy Loss of Ions in Copper

Bethe Bloch formula:
$$-\frac{dE}{dx} = 4\pi N_A r_e m_e c^2 \cdot \frac{Z_t}{A_t} \rho_t \cdot \frac{Z_p^2}{\beta^2} \left(\ln \frac{2m_e c^2 \gamma^2 \beta^2}{I} - \beta^2 \right)$$

Range:
$$R = \int_0^{E_{max}} \left(\frac{dE}{dx} \right)^{-1} dE$$

with approx. scaling $R \propto E_{max}^{1.75}$

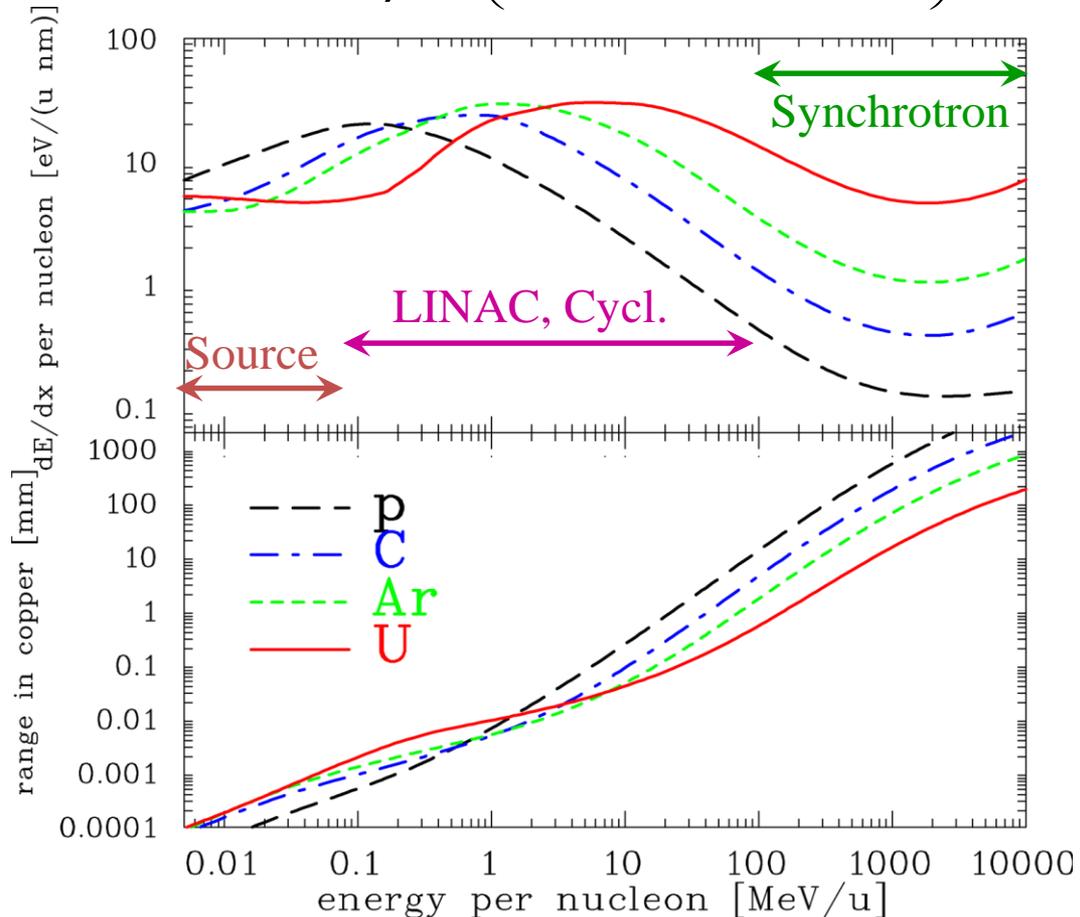
Numerical calculation for **ions**

with semi-empirical model e.g. SRIM

Main modification $Z_p \rightarrow Z_p^{eff}(E_{kin})$

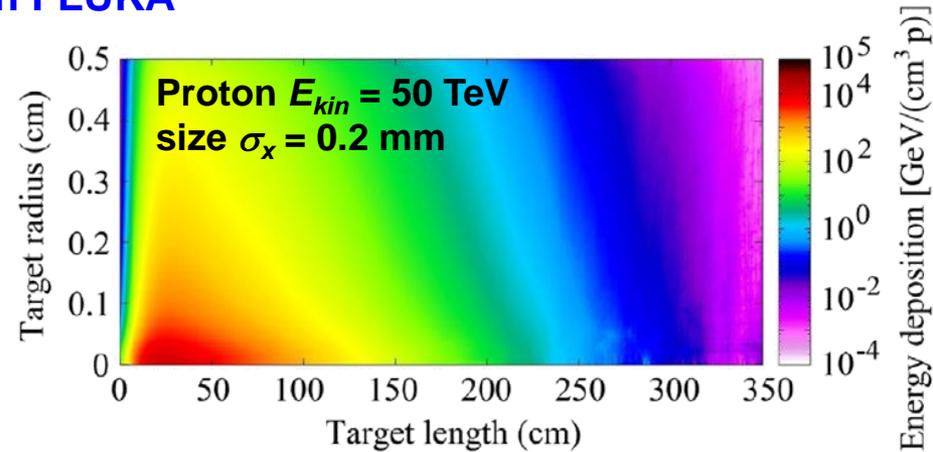
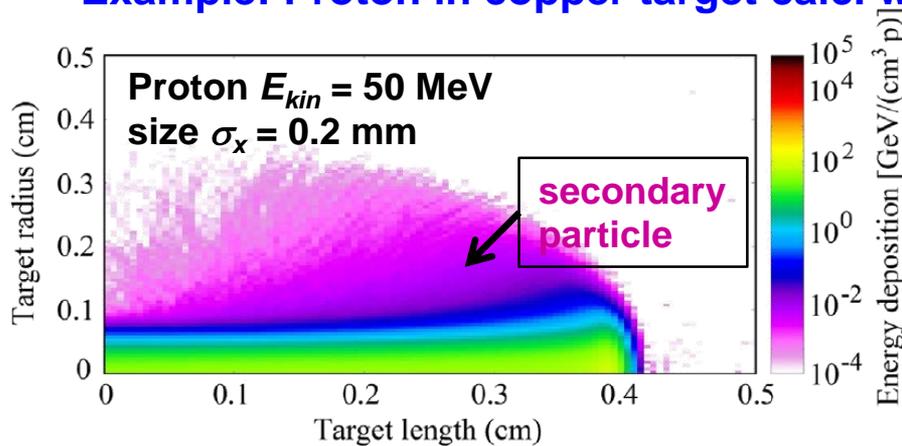
This is an atomic physics process:

1. Projectile ions liberates fast electrons
2. Thermalization by collisions with further electrons
3. Transfer of energy to lattice (phonon) \Rightarrow **heating of target**



Energy Loss and Heating: Calculations

Example: Proton in copper target calc. with FLUKA



Y. Nie et al., Phys Rev AB 20, 081001 (2017)

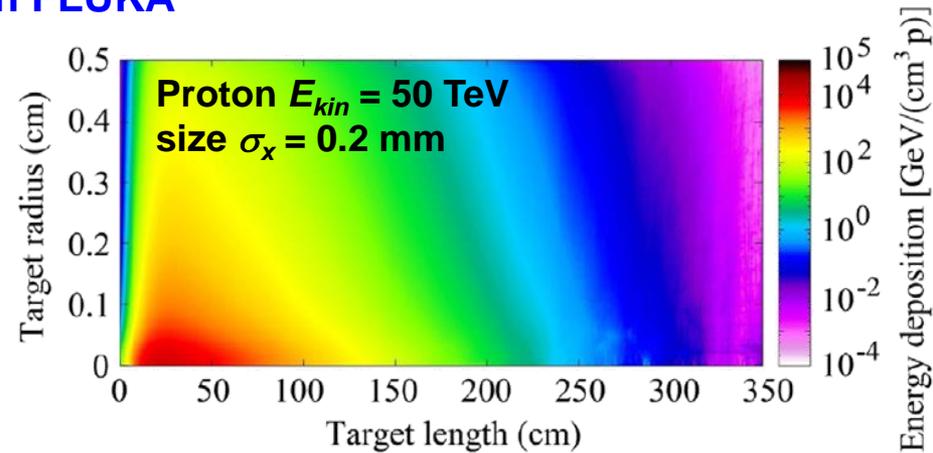
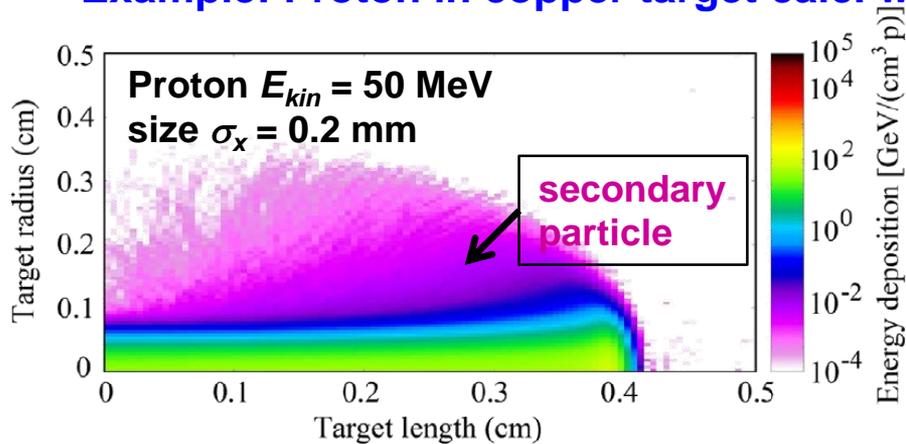


General method of calculation (simplified):

1. **Differential energy loss:** by Bethe-Bloch $\frac{dE}{dx}(x)$ via codes like SRIM, LISE, FLUKA, MARS...
2. **Energy deposition:** $\frac{dE}{dV} = -\frac{dE}{dx} \cdot \frac{N}{A} \left[\frac{J}{\text{cm}^3} \right]$ with N : number of particles, A : cross section
3. **Temperature rise:** $\Delta T = \frac{dE}{dV} \cdot \frac{1}{\rho c_p} \text{ [K]}$ for short bunches; ρ : mat. density, c_p specific heat
4. **Further material response:** Melting, evaporation, pressure and stress via e.g. ANSYS
5. **Secondary particles:** Nuclear reactions, fragmentation, spallation, shower.... → discussed later

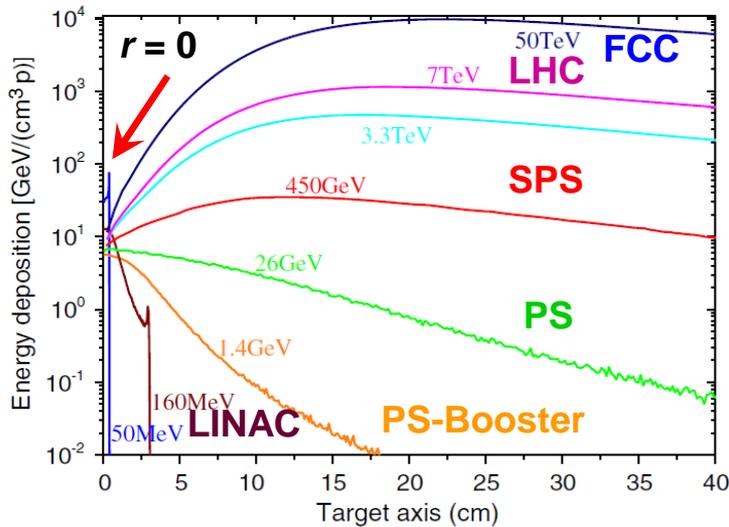
Energy Loss and Heating: Calculations

Example: Proton in copper target calc. with FLUKA

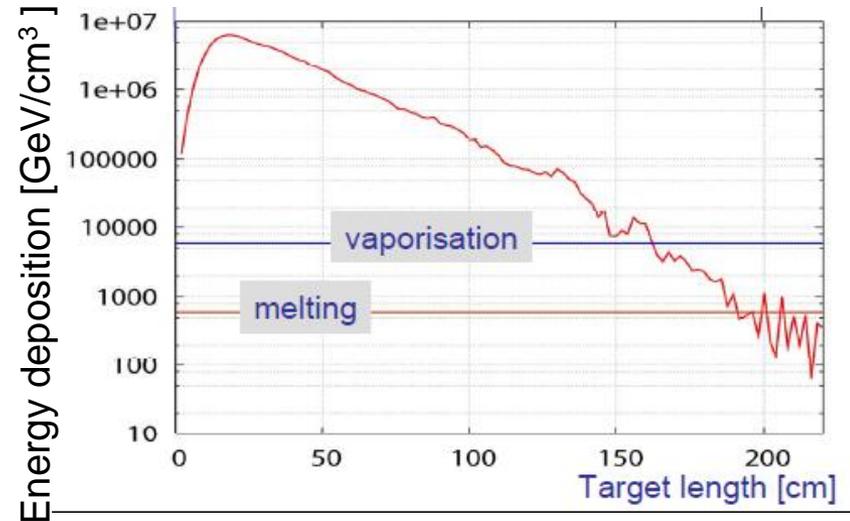


Example: Proton in copper target at central path

Y. Nie et al., Phys Rev AB 20, 081001 (2017)



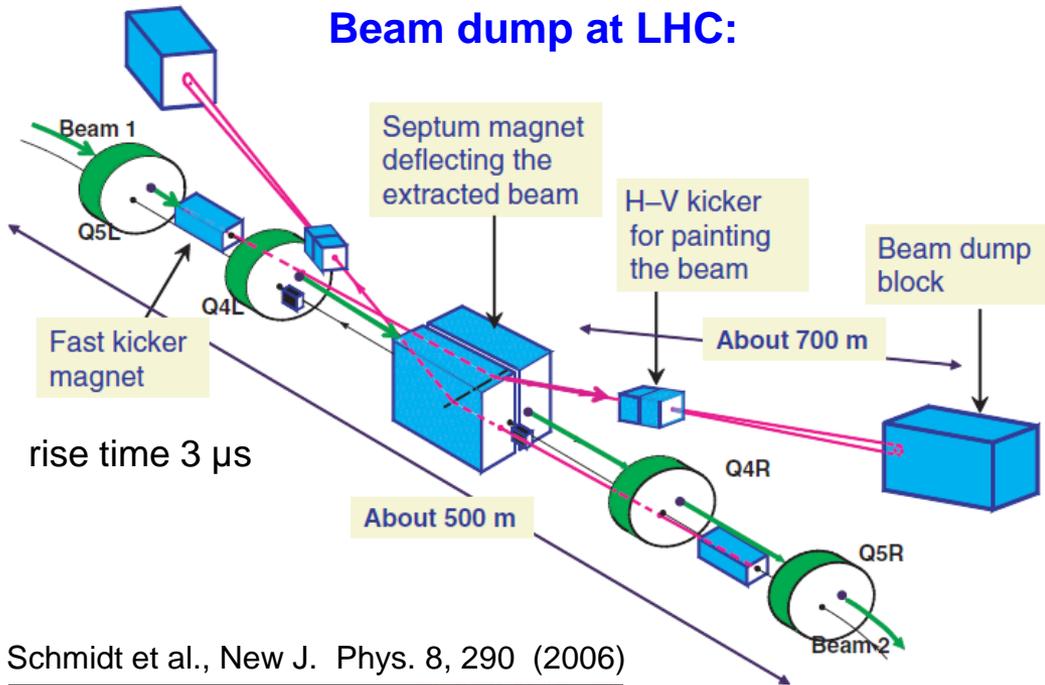
Proton:
 $E_{kin} = 7$ TeV
2808 bunch
380 MJ energy
at center $r=0$



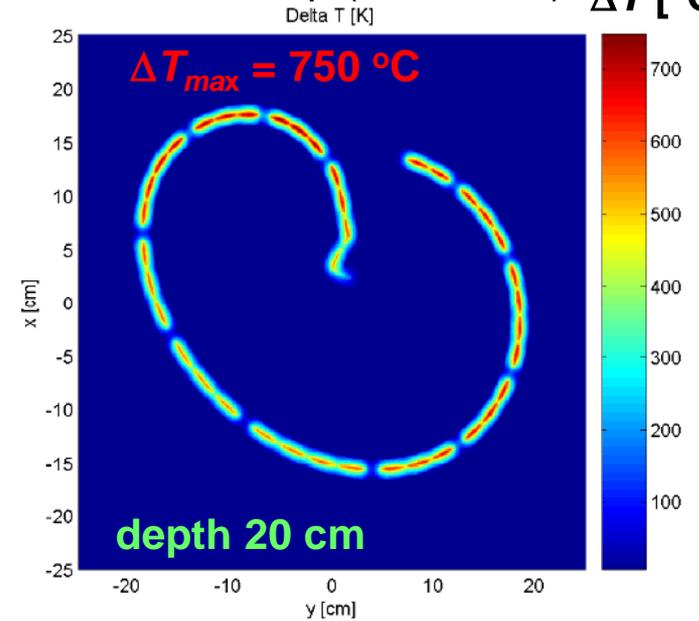
Remark: Low energetic proton have large energy deposition at short range e.g. $E_{kin} = 50$ MeV

Beam Dump for high Intensity Beams

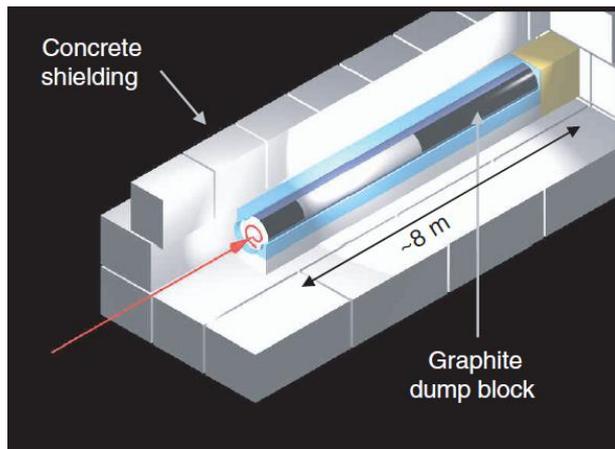
Beam dump at LHC:



Extraction of LHC within **one** turn 86 μ s on the beam dump (simulation): ΔT [°C]



R. Schmidt et al., New J. Phys. 8, 290 (2006)



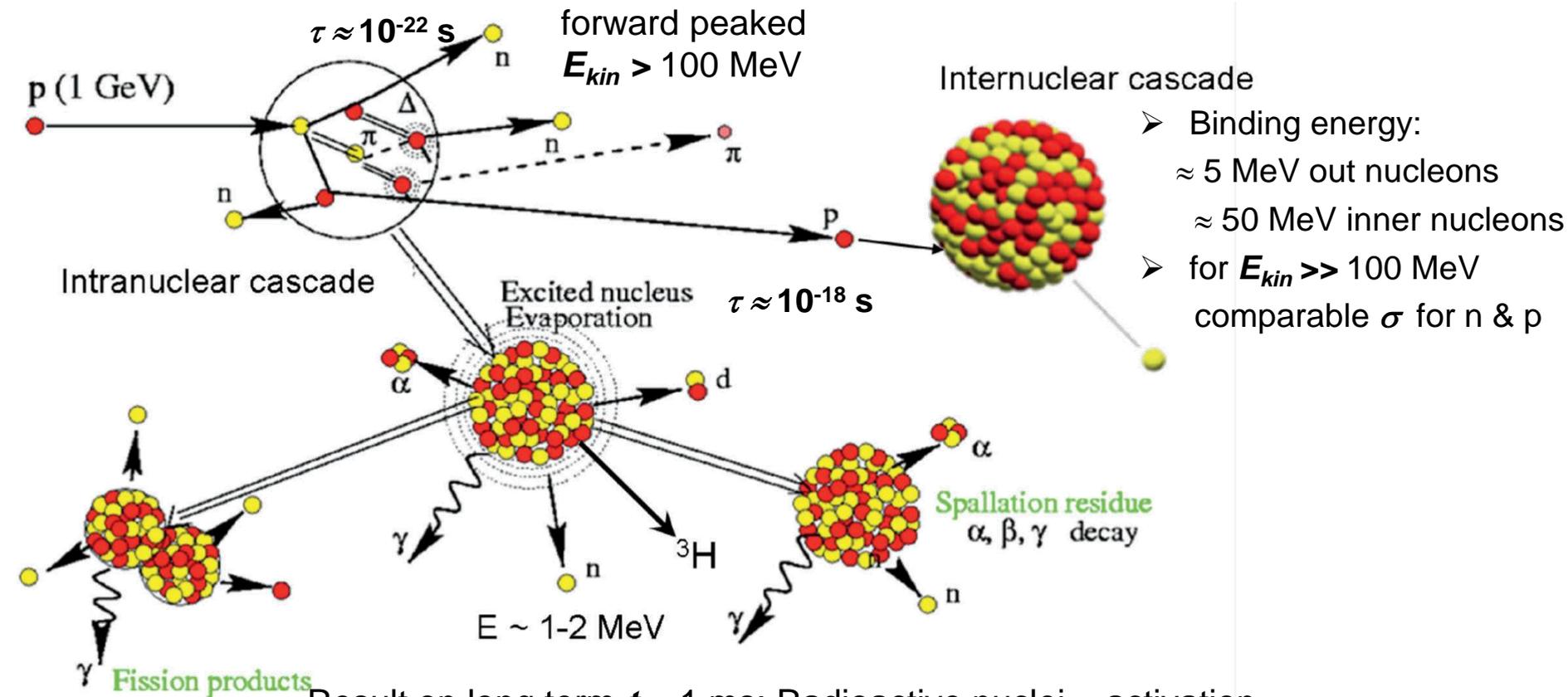
Beam dump at LHC:

7m long, \varnothing 0.7 m, graphite
900 tons of concrete shielding

Nuclear Physics Processes for Protons

Nuclear reactions via spallation for protons with $E_{kin} > 100$ MeV (simplified):

- Pre-equilibrium phases: π -exchange within $\approx 10^{-22}$ s with $E_{kin} > 20$ MeV \Rightarrow hadronic shower
- Inter-nuclear cascade: Evaporation of n, p, d, α with $E_{kin} \approx 1 - 10$ MeV
- Fission for heavy nuclei
- β & γ decay of nuclei with long lifetime $\tau \gg 10^{-9}$ s

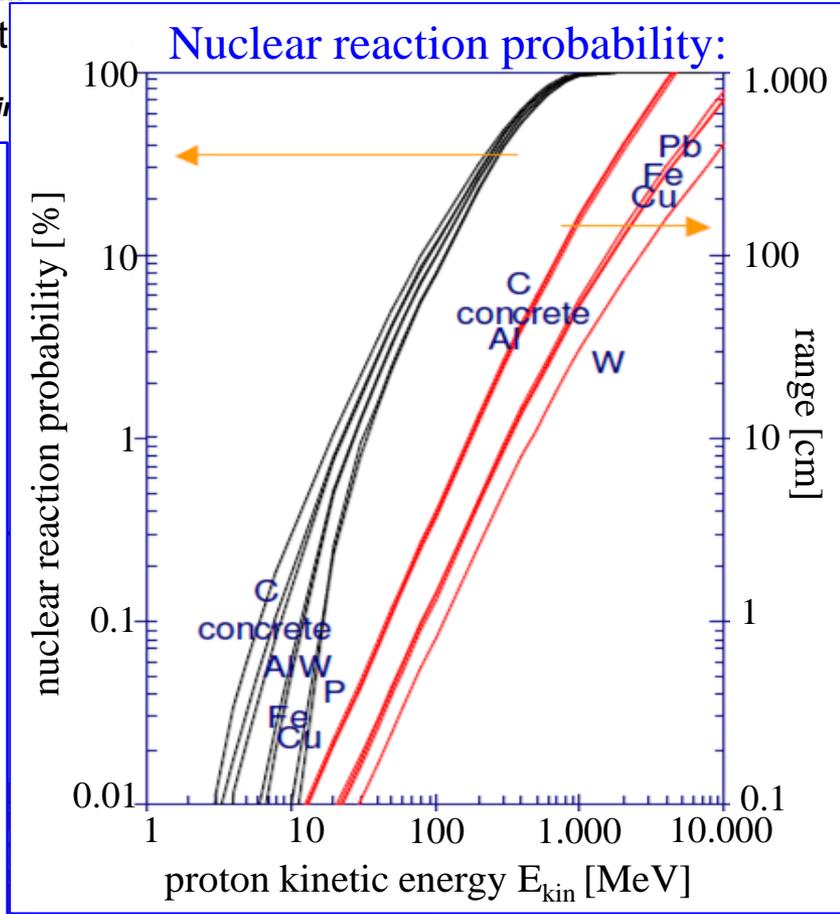
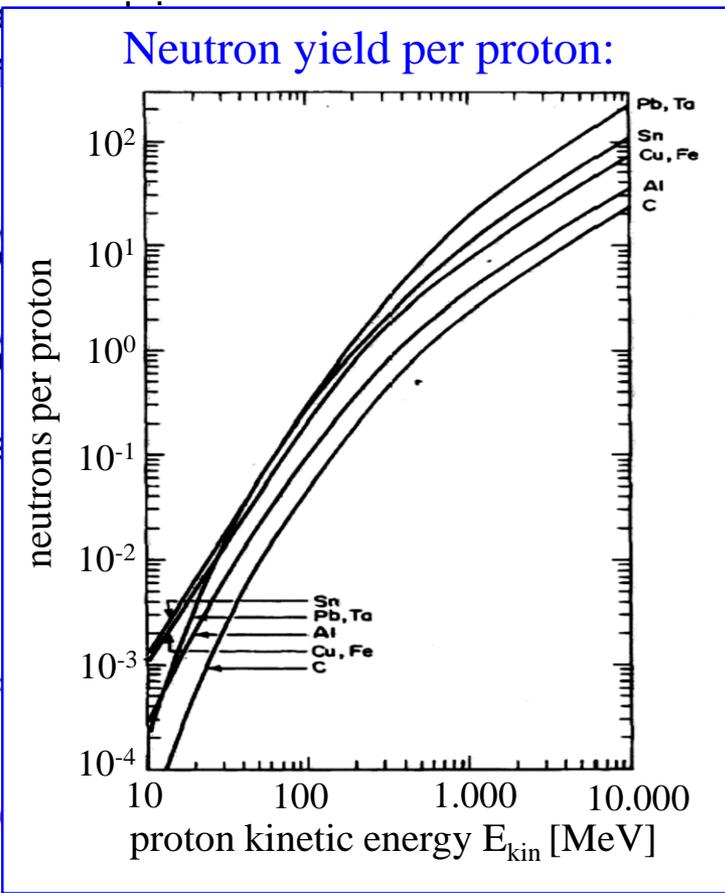
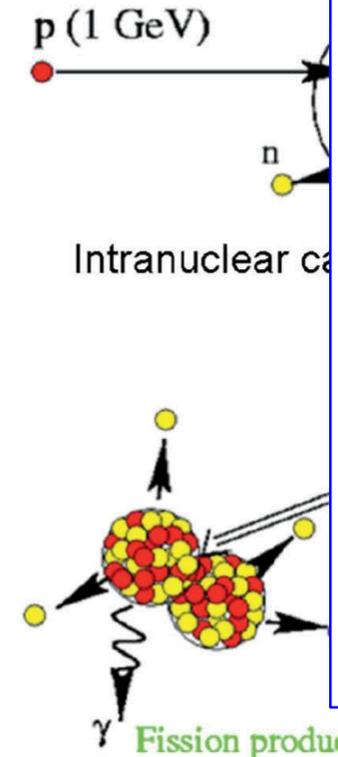


Result on long term $t > 1$ ms: Radioactive nuclei = activation

Nuclear Physics Processes for Protons

Nuclear reactions via spallation for protons with $E_{kin} > 100$ MeV:

- Pre-equilibrium phases: π -exchange within $\approx 10^{-22}$ s with
- Inter-nuclear cascade: Evaporation of n, p, d, α with E_{kin}
- Fission for heavy nuclei
- β & γ decay of fission products



Thick target:
Penetration depth comparable to range

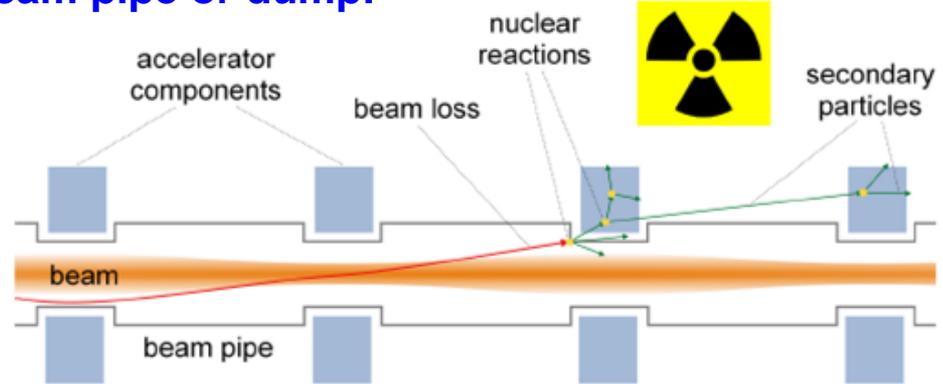
Result on long term $t > 1$ ms: Radioactive nuclei = activation

Nuclear Physics Processes for Protons

Impact of protons with $E_{kin} > 100$ MeV at beam pipe or dump:

- Hadronic shower
- Beam fragmented nuclei, secondary nuclei
- Fast and slow n, p, d, α ...
- β & γ decay of target nuclei on long time scale

Vacuum pipe might be thick target due to gracing incident



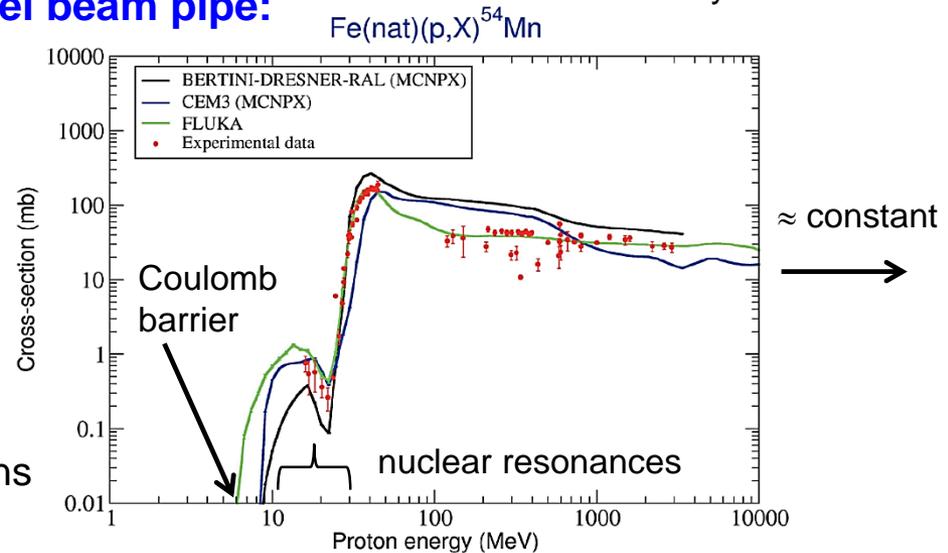
Example of cross section for protons on steel beam pipe:

- Reaction: $\text{Fe} + \text{p} \rightarrow {}^{54}\text{Mn} + \text{something}$
[$100 \text{ mb} = 1/10 \sigma_{\text{geo}}$ with $r_{\text{Fe}} \approx 3 \text{ fm}$ for iron]
- ${}^{54}\text{Mn}$ lifetime $t_{1/2} = 312$ days
- Electron capture $E = 1.3 \text{ MeV}$ to ${}^{54}\text{Cr}$ (excited) with X-ray emission of $E_{\gamma} = 0.54 \text{ MeV}$
- ${}^{54}\text{Cr}$ decay via γ emission $E_{\gamma} = 0.83 \text{ MeV}$

⇒ **activation of beam pipe**

Remark: Comparable cross section for fast neutrons

Courtesy I. Strasik



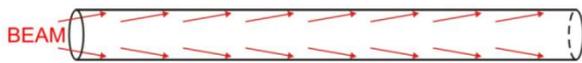
D. Kiselev, CAS 2011

Tolerable Beam Losses

Rule of thumb for proton beam with $E_{kin} > 100$ MeV:

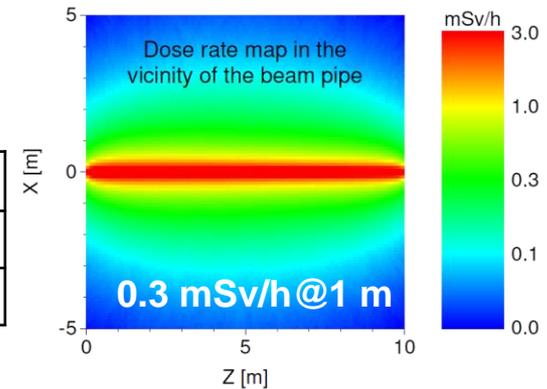
‘Beam loss below 1 W/m enables hands-on maintenance’

- **Example:** 1 W/m $\approx 6 \times 10^9$ protons/(m·s) at 1 GeV
 - **Care:** Most energy is lost by atomic process, while activation depends on nuclear physics
- \Rightarrow dependence on projectile and target



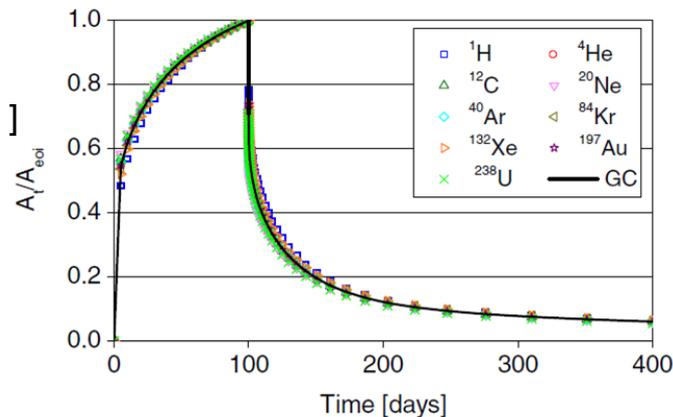
Natural background	1 mSv/a
Medical X-ray CT	≈ 3 mSv
Max. for rad. workers	20 mSv/a

Simulation for 1 GeV proton irradiation:
Stainless steel beam pipe after 1 W/m beam loss for 100 days & 4 h ‘cool down’

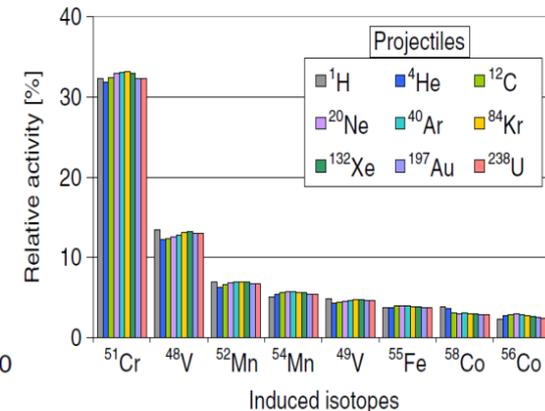


Simulation for 1 W/m losses for 1 GeV/u impact:

- 100 days irradiation of stainless steel No. 304 [Fe(70%), Cr(18%), Ni(10%), Mn(2%)]
 - Decrease of activation: $\approx 10\%$ after 1 year
 - Isotope mixture same for all ions
- \Rightarrow **highly activated material needs significant ‘cool down’**



I. Strasik et al., Phys Rev AB 13, 071004 (2010)



Rule of thumb: Light targets (C, Al ...) have lower activation for impact of same # particles

Secondary Particle Production for Electron Beams

Processes for interaction of electrons

For $E_{kin} < 10$ MeV:

Mainly electronic stopping \Rightarrow X-rays, slow e^-

For $E_{kin} > 10$ MeV:

Bremsstrahlungs- γ , forward peaked $E_\gamma = 5-50$ MeV

$\Rightarrow \gamma \rightarrow e^+ + e^-$ or $\mu^\pm \dots \rightarrow$ electro-mag. showers

\Rightarrow Excitation of giant resonances $E_{res} \approx 10-30$ MeV

via (γ, n) , (γ, p) or (γ, np)

\rightarrow Fast neutrons emitted

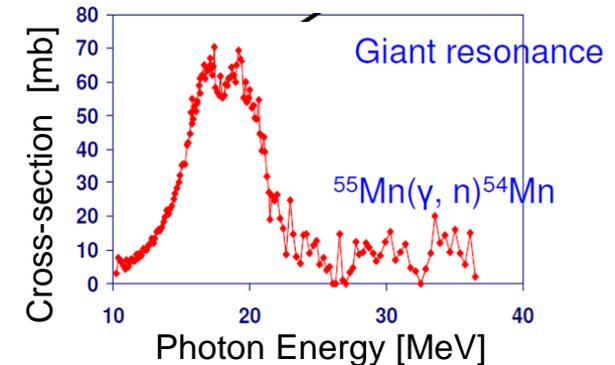
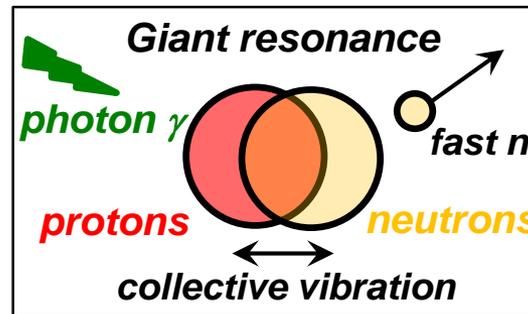
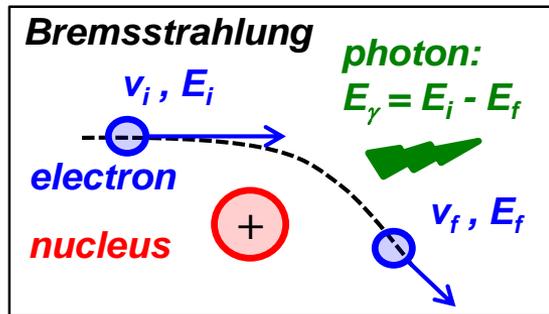
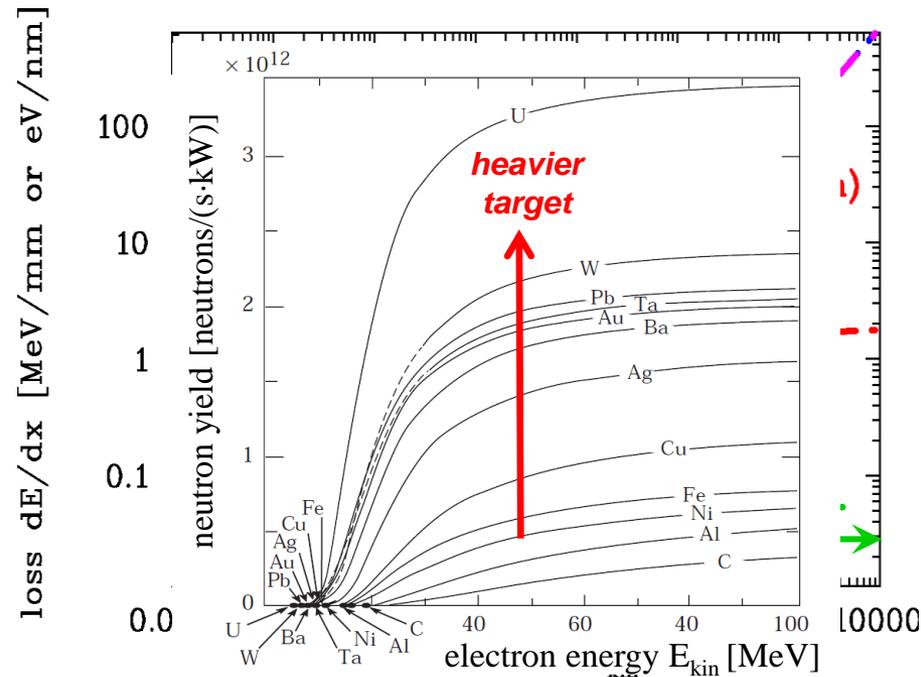
\rightarrow Neutrons: Long ranges in matter

no ele.-mag. interaction but nuclear reactions

Photo-Pion reaction: $d(\gamma, \pi^0)pn$ or $d(\gamma, \pi^-)pp$

\Rightarrow **activation at electron accelerators**

R.H. Thomas, in Handbook on Acc. Phys. & Eng.



Interaction of Neutrons

Neutrons don't interact with electrons

Nuclear physics processes:

- Elastic scattering: $X(n,n)X$
with X receiving recoil momentum
- Absorption often with γ emission: ${}^A X (n,\gamma) {}^{A+1} X$

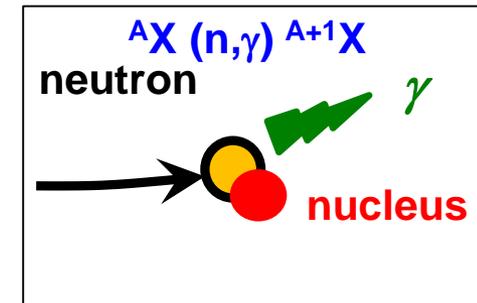
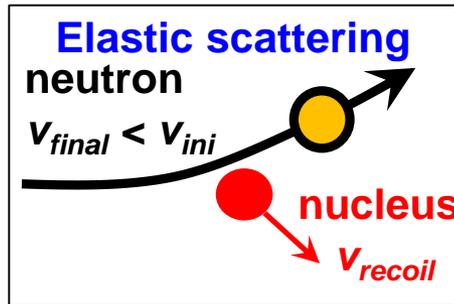
Example: Neutron on copper

Elastic scattering: large cross section for thermal n

Absorption: large cross section at resonances

γ - emission and activation

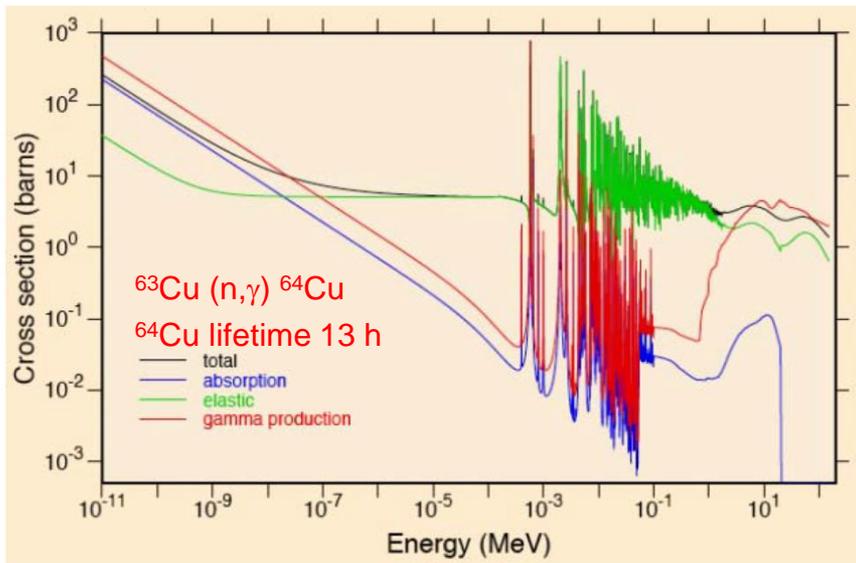
For $E \gg 100$ MeV comparable cross section as proton



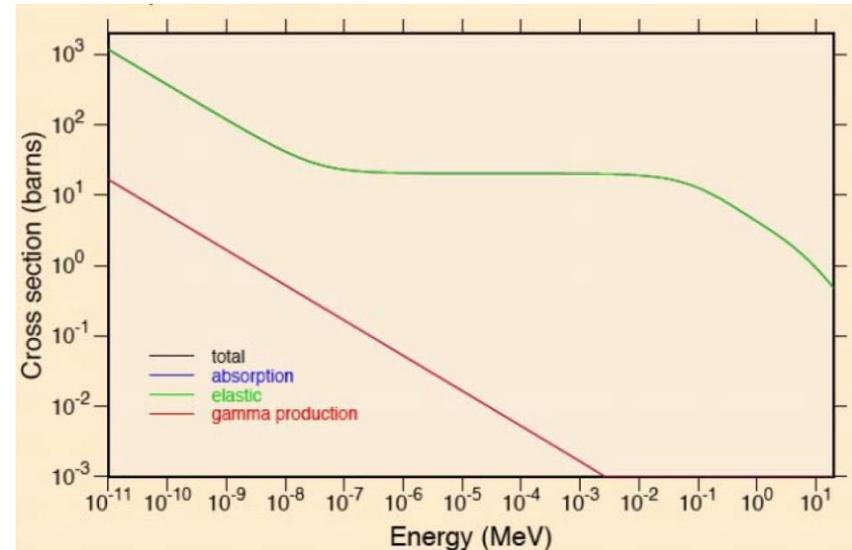
Example: Neutrons on H

e.g. H_2O , organic materials

→ effective moderator due to equal masses



A. Zhukov, BIW 2010

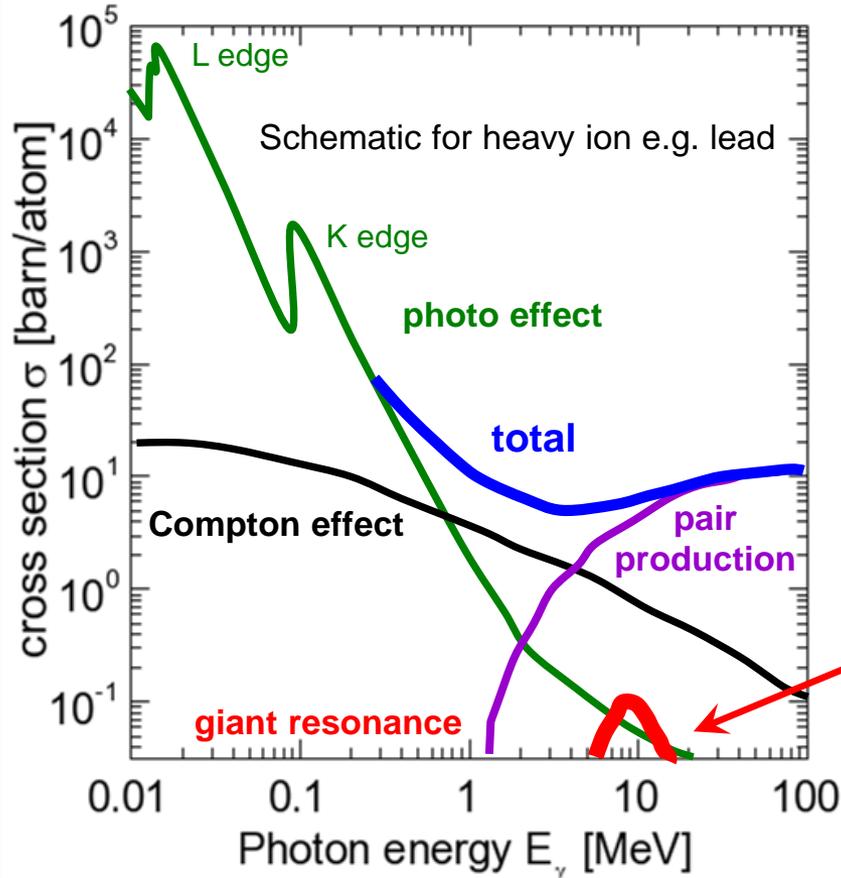


Remark: Shielding of n by plastic ('paraffin') or concrete

Interaction of high Energy γ

At accelerators the γ are originated from nuclear reactions or Bremsstrahlung for e^- .

Example: Absorption in lead



'Atomic physics':

Photo-effect: $\gamma + \text{atom} \rightarrow e^- + \text{atom}^+$
approx. material scaling $\sigma_{\text{photo}} \propto Z^4$

Compton-effect: $\gamma + \text{atom} \rightarrow \gamma' + e^- + \text{atom}^+$
approx. material scaling $\sigma_{\text{Comp}} \propto Z$

Pair prod.: $\gamma + \text{nucleus} \rightarrow e^- + e^+ + \text{nucleus}$
approx. material scaling $\sigma_{\text{pair}} \propto Z^2$.

Ele-mag. shower: for high E_γ
 $\gamma \rightarrow (e^-e^+) \rightarrow \gamma'_{\text{brems}} \rightarrow (e^-e^+) \rightarrow \gamma''_{\text{Brems}} \rightarrow \dots$

Nuclear physics

Giant resonance: $\gamma + \text{nucleus} \rightarrow n + \text{nucleus}'$
small cross section but create free neutrons

Mass absorption coef. $\mu = \frac{\rho N_A}{A} \cdot \sigma$
 ρ density, N_A Advogadro const, A atomic mass

Courtesy C. Grupen, Xavier Queralt, JUAS

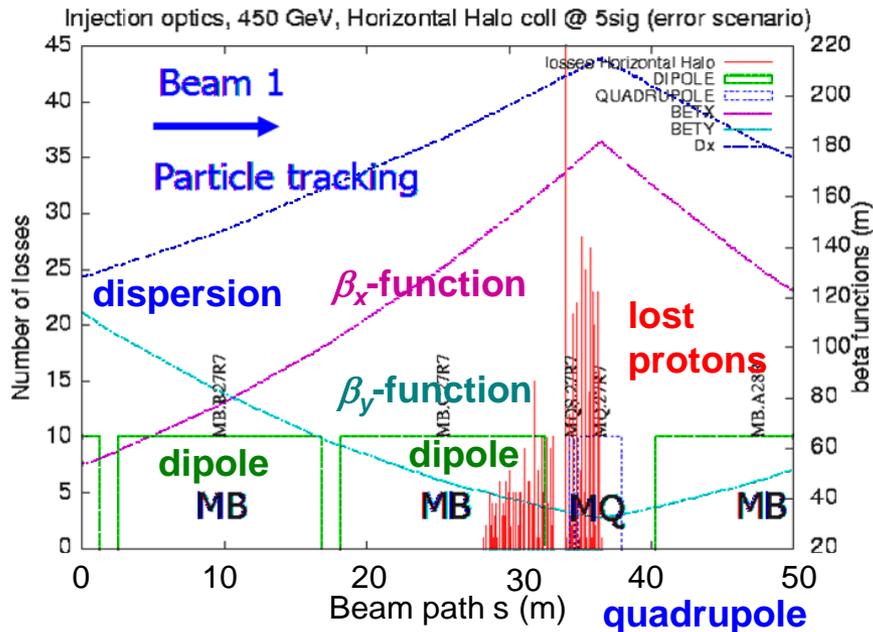
Placement of Beam Loss Monitors

Secondary particles and shower produces are emitted within a forward cone (in rest-frame isotropically but due to Lorentz-transformation forward in lab-frame).

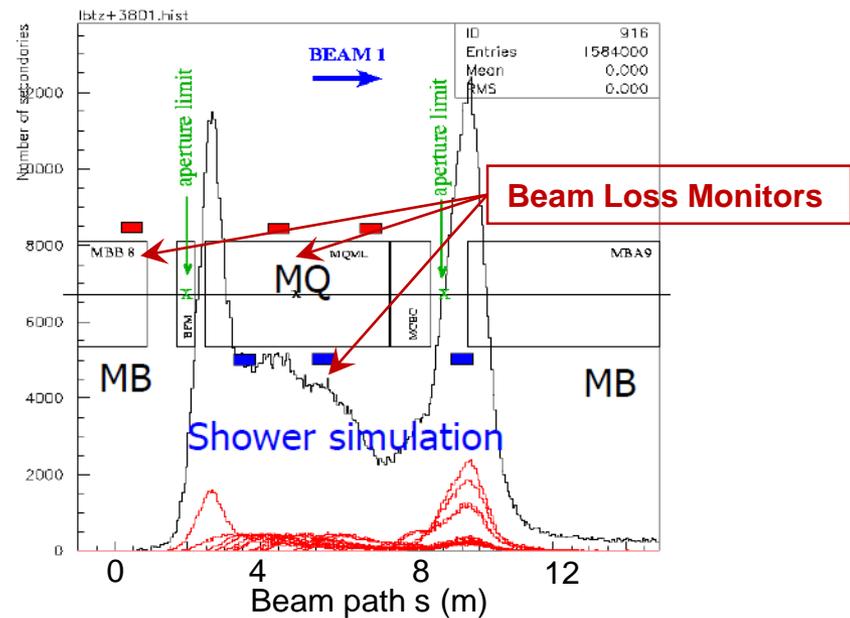
Position of detector at quadruples due to maximal beam size.

High energy particles leads to a shower in forward direction → Monte-Carlo simulation.

Example: Simulation of lost protons at LHC at 450 GeV of lost protons:
→ at focusing quad. D & β_x maximum



Example: Simulation of number of shower particles



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- Important atomic and nuclear physics
- **Definition of loss categories, passive protection**
- **Measurements by Beam Loss Monitors**
- **Design of Machine Protection System**
- **Overview of personal safety**

Relevant Losses for Machine Protection

Types of losses:

1. Irregular losses or fast losses by malfunction → avoidable loss

- Occurs only seldom i.e. have low probability
- The whole beam or a significant fraction is lost
- Usually within a short period of the operational cycle (e.g. injection, acceleration, extraction, ...)
- Usually caused by
 - Hardware failures, inaccurate settings or control errors (magnets, cavities ...)
 - Beam instabilities (wake-fields, resonances, ...)
 - Manually initialized improper beam alignment

⇒ **Beam abortion required to prevent for destruction via interlock generation.**

2. Regular losses or slow losses → unavoidable loss

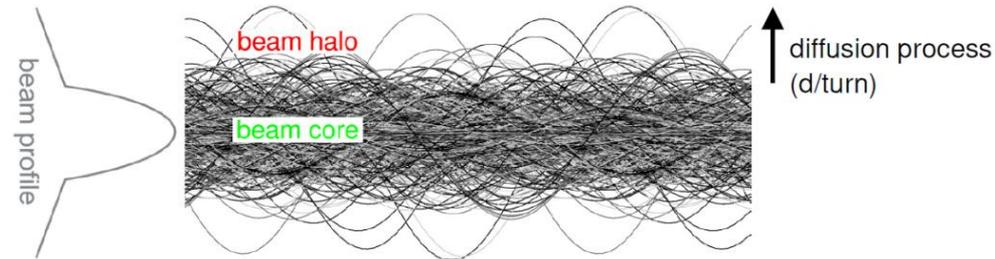
- Caused by lifetime inside synchrotron (residual gas scattering or charge exchange, Touschek ...),
- Caused by halo-formation and cleaning, aperture limitation, imperfections, machine errors
- Caused by multi-turn injection, slow extraction,....→ known loss mechanism
- Occurs in each cycle at characteristic times and/or beam parameters
- Usually a few % of the beam intensity

⇒ **Protection of sensitive components, beam abortion only required if above a certain level**

Regular Losses from Halo

Halo formation at synchrotrons:

- Definition of halo: low density of particle with large betatron amplitude
- Caused by collective effect (e.g. space charge), resonances or machine errors
- Diffusion process (e.g. 1 μm per turn)



⇒ **unstable particles are lost**

Beam loss thermology: 'uncontrolled regular loss'

⇒ Beam halo collimation system at a synchrotron

Goal: Low impurity beam

- **Warm synchrotron:** Protection of sensitive insertions (e.g. septum)
Concentration of loss at few locations

- **Super-conduction synch:** + quench protection of sc magnets

- **Collider:** + well defined condition for detector at IP

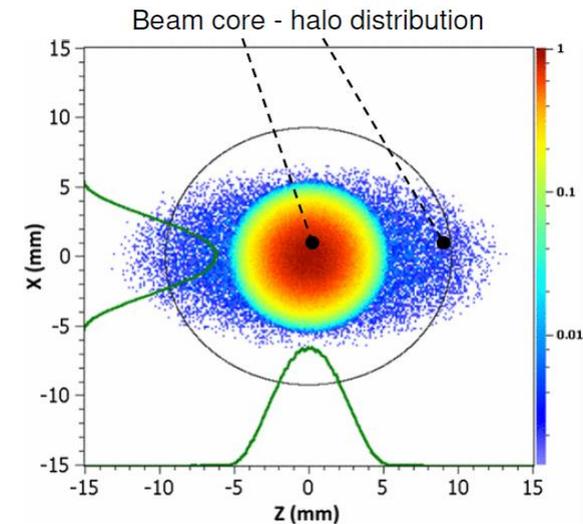
⇔ min. exp. background

Cleaning of collisional halo particles

⇒ Concentration of loss at dedicated locations i.e. 'controlled losses'

LINAC: Halo generation by long. and trans. mismatch

Goal: Quench protection of sc civilities



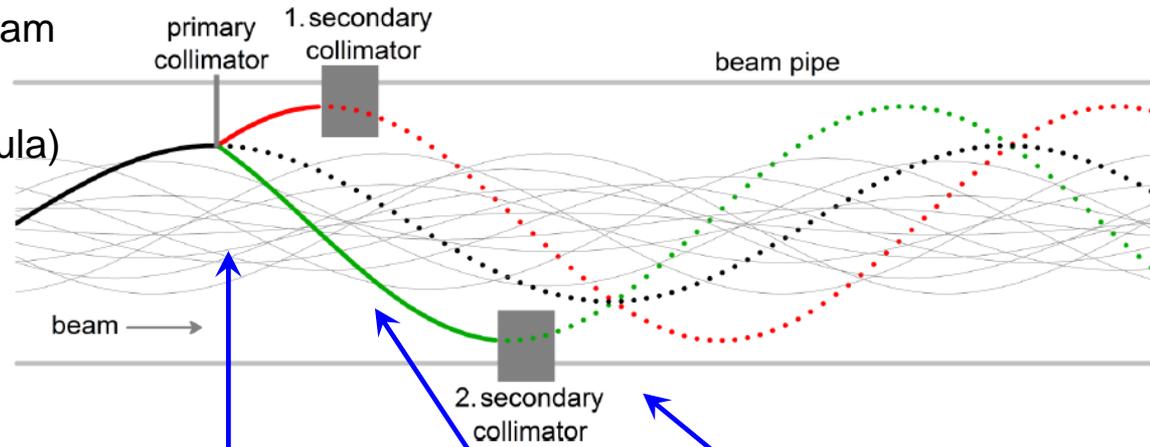
Remark:

- Halo might have other distribution than core
- Halo formation and its mitigation is an actual topic

Two Stage Betatron Collimation System

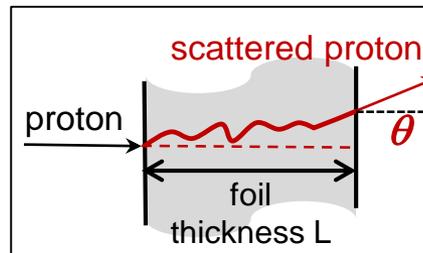
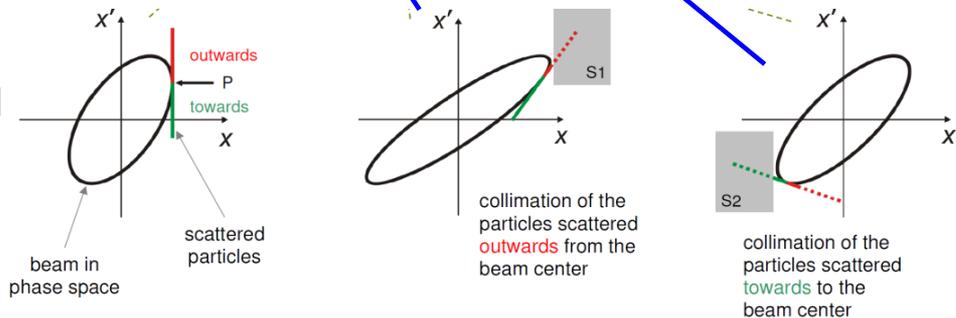
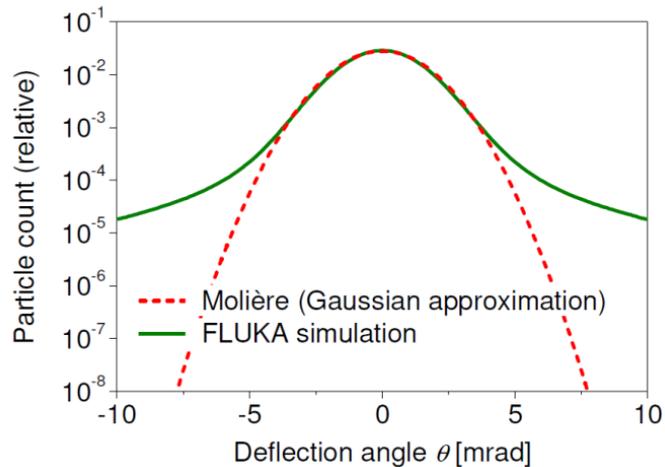
General functionality of cleaning:

- Primary stage as **thin foil close** to beam
 ⇒ scattering of halo particles
 (Coulomb scattering by Moliere formula)
- Betatron amplitude increases
- Max. extension after
 $\mu \approx 90^\circ$ or 270° betatron phase
- Secondary collimator as absorber
 more distant to beam



Example:

4.7 GeV scattering in L=1 mm Tungsten foil

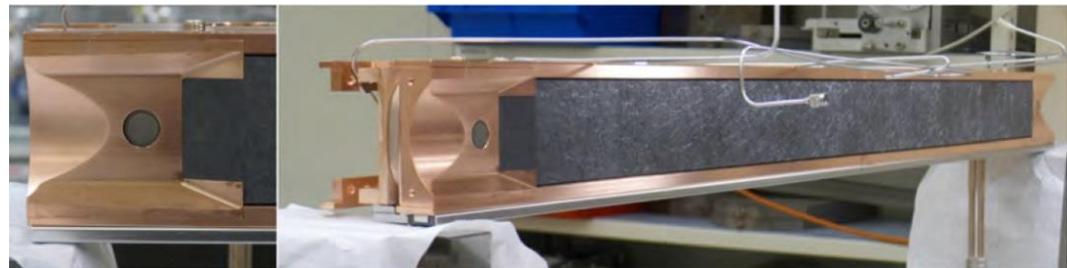
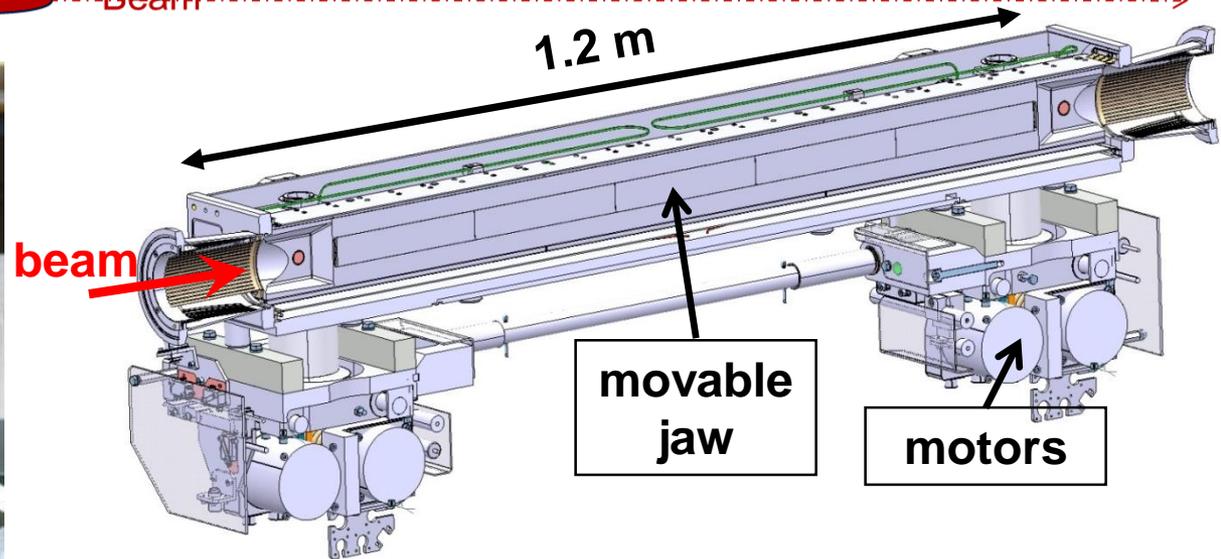
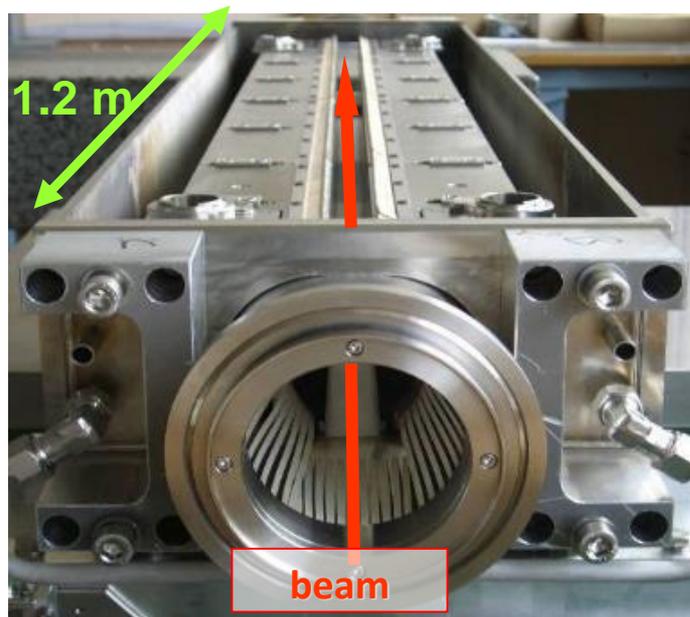
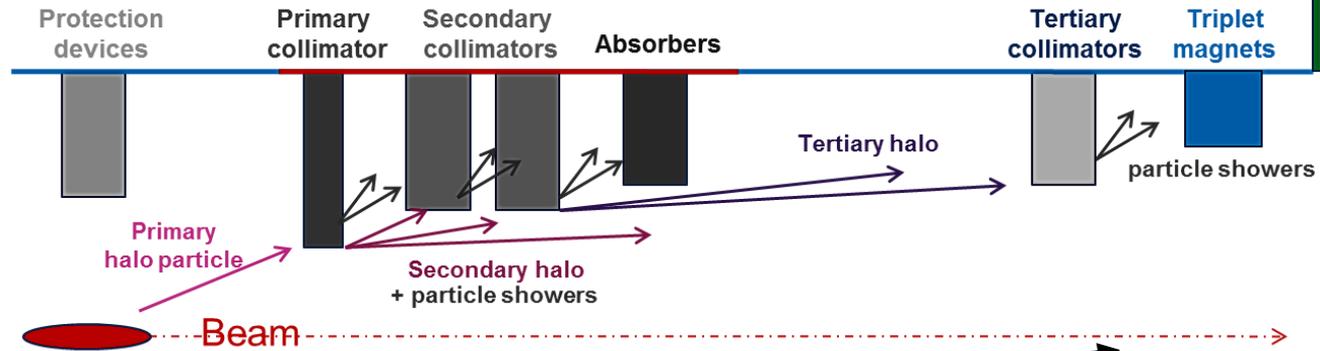


Courtesy I. Strasik CAS 2016

LHC Collimator Hardware

LHC Collimator system:

- Primary stage
 - Secondary & tertiary stage
 - Absorbers
- in total 110 movable devices



LHC Collimator system:

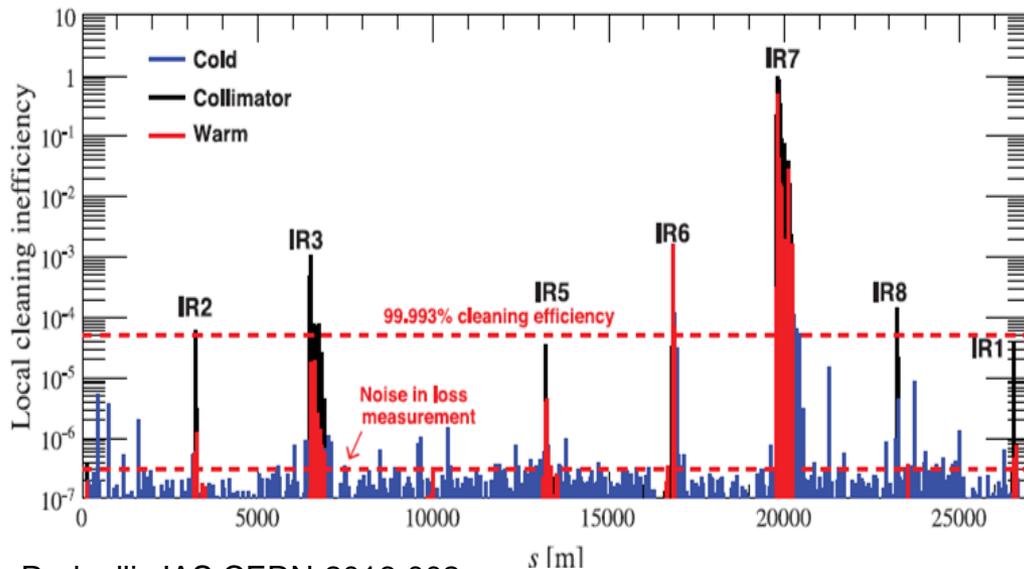
- Primary stage as close as $\approx 5\sigma_{\text{beam}} \approx 1 \text{ mm}$
- Secondary & tertiary stage made of carbon
- Absorbers made of tungsten alloy
- in total 110 movable devices moving e.g. from injection $r = 5 \text{ mm} \rightarrow 1 \text{ mm}$

Test of functionality:

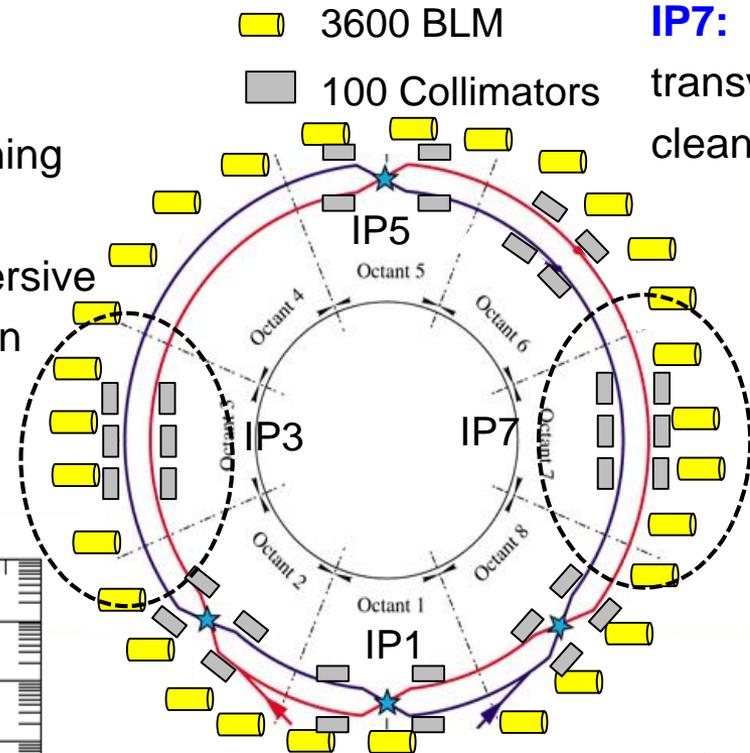
- Loss concentrated at collimators

Experimental verification: Single bunch excitation

Result: Main losses concentrated at collimators



IP3:
long.
cleaning
at
dispersive
region



IP7:
transverse
cleaning

Cleaning efficiency:

$$\eta = (\text{protons lost at collimator}) / (\text{total beam loss})$$

Result: $\eta = 99.8 \%$ reached

Collimation at LINACs

Halo development caused by

- higher order magnet fields (e.g. aberration)
- transverse mis-match
- off-momentum particles due to wrong focusing
- space charge forces

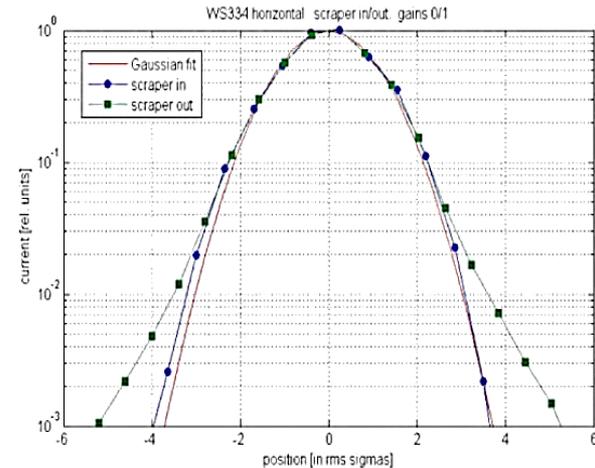
Goal: Halo cutting at low energy to prevent for activation

Collimators:

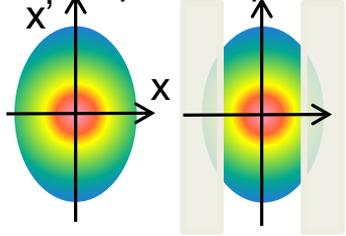
Cut the beam tail in space

$\mu = 90^\circ$ or $\mu = 45^\circ$ betatron phase to cut angle

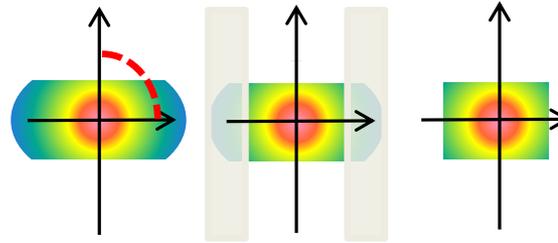
⇒ at least two locations required



horizontal phase space



Betatron
phase
 $\mu = 90^\circ$



beam path s

i.e. phase space distribution is not completely cut...

Example: SNS LINAC

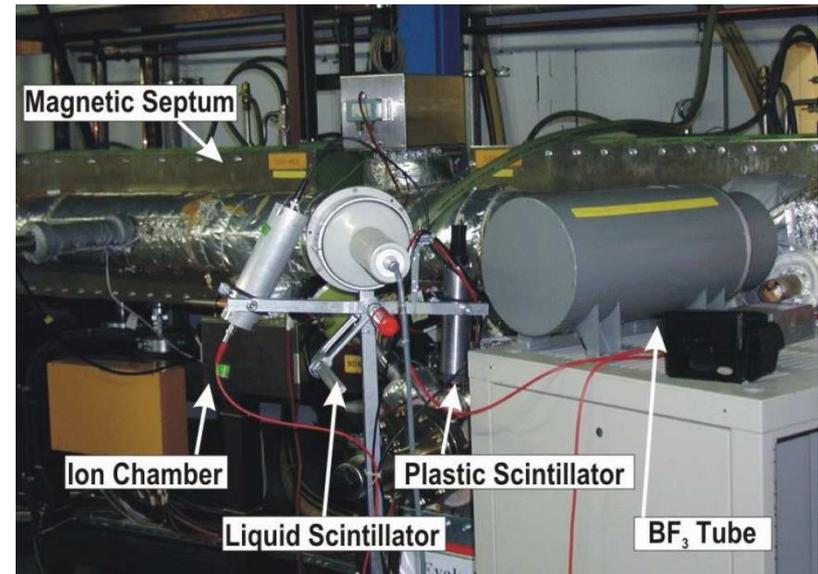
Scraping at 3 MeV

profile measurement at 40 MeV

M. Plum, CERN-2016-002

Outline of this talk:

- Introduction to risk & destruction potential
- Important atomic and nuclear physics
- Definition of loss categories, passive protection
- **Measurements by Beam Loss Monitors**
- **Design of Machine Protection System**
- **Overview of personal safety**



Basic Idea of Beam Loss Monitors

Basic idea for Beam Loss Monitors B LM:

A loss beam particle must collide with the vacuum chamber or other insertions

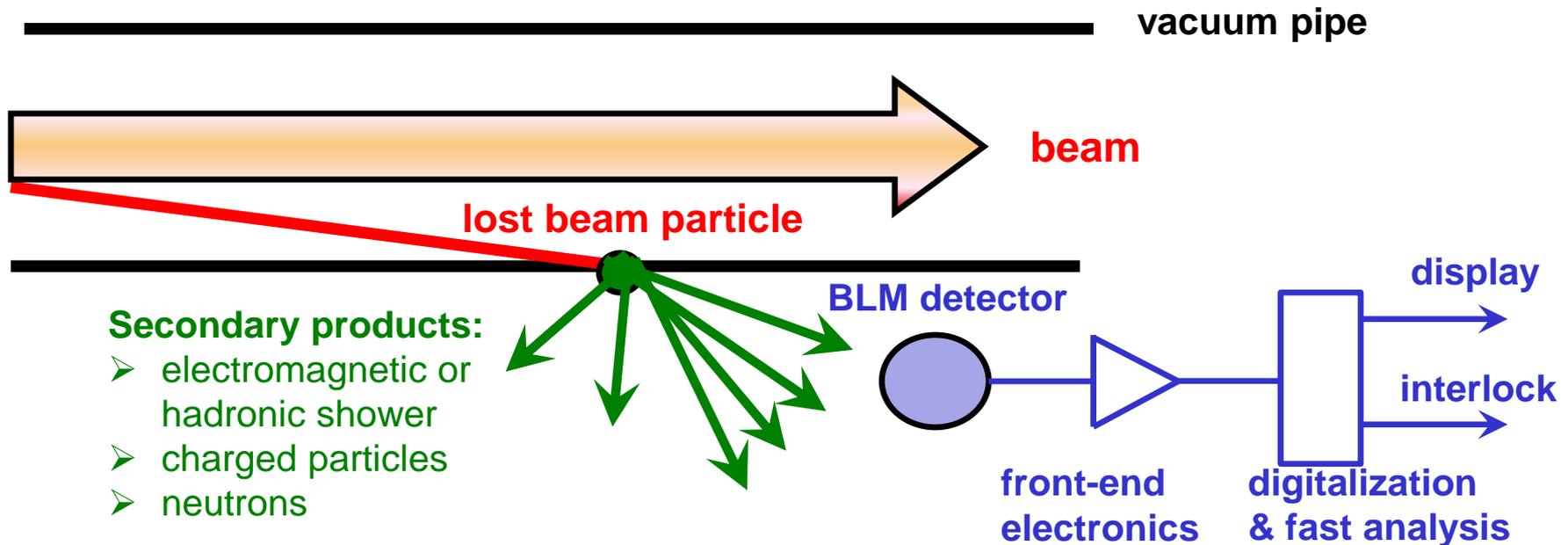
⇒ Interaction leads to some shower particle:

e^- , γ , protons, neutrons, excited nuclei, fragmented nuclei

→ Detection of these secondaries by an appropriate detector outside of beam pipe

→ Relative cheap detector installed at many locations

Remark: Due to grazing angle a thin vacuum chamber might be a ‘thick target’



Scintillators as Beam Loss Monitors

Plastics or liquids are used:

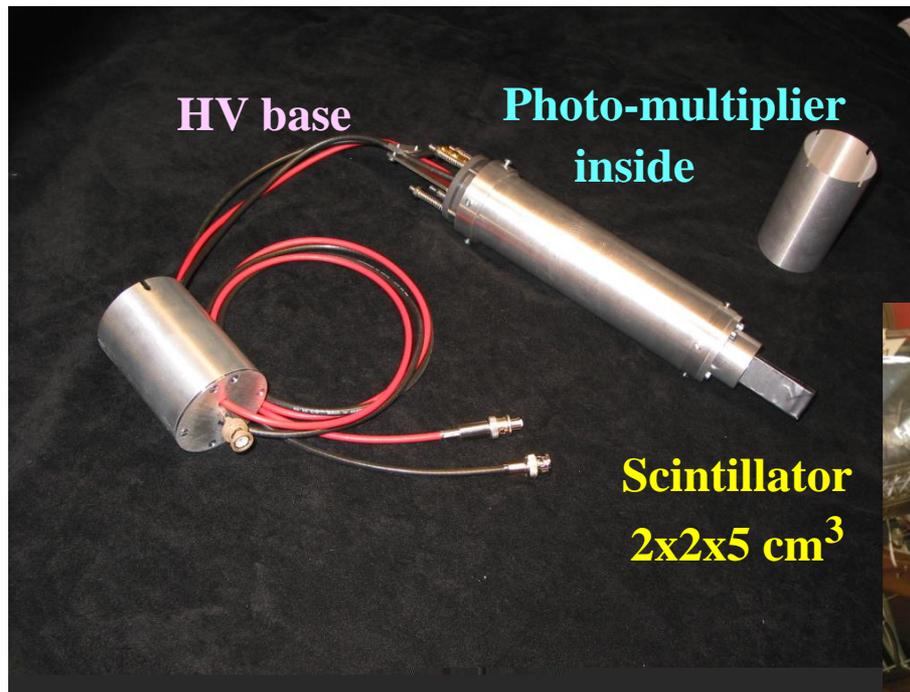
- Detection of **charged particles** by electronic stopping
- Detection of **neutrons** by elastic collisions n on p in plastics and fast p electronic stopping.

Scintillator + photo-multiplier:

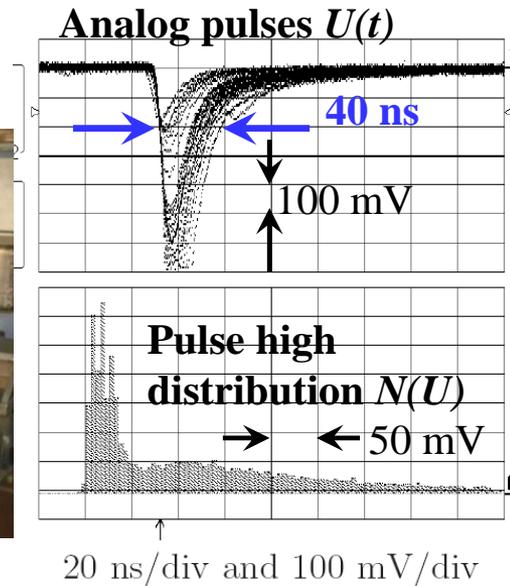
counting (large PMT amplification)
or analog voltage ADC (low PMT amplification)

Radiation hardness:

plastics 1 Mrad = 10^4 Gy
liquid 10 Mrad = 10^5 Gy



Example: Analog pulses of plastic scintillator:
⇒ broad energy spectrum
due to many particle species and energies.



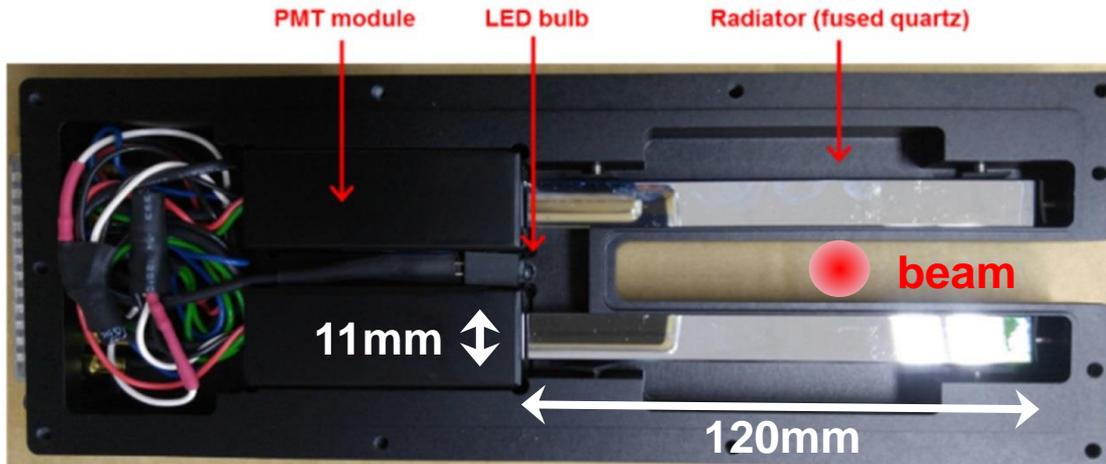
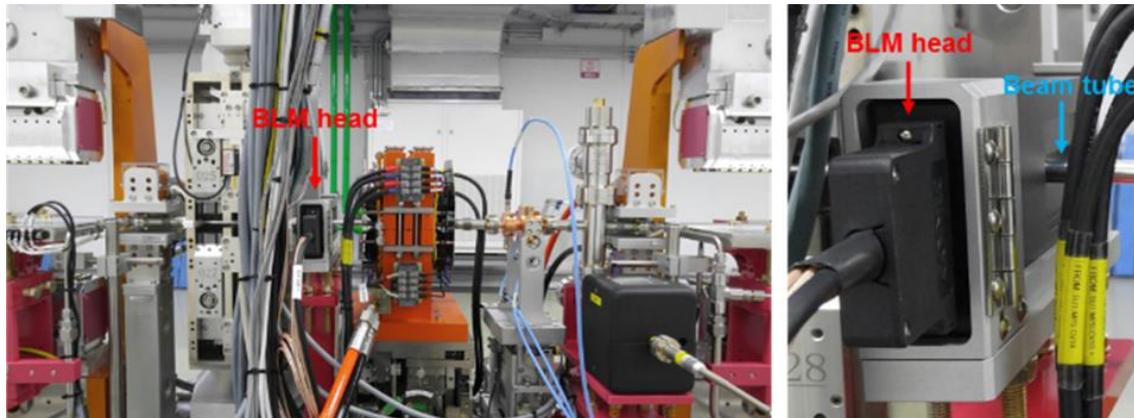
Cherenkov Light Detectors as Beam Loss Monitors

Cherenkov detectors:

Passage of a charged particle v faster than propagation of light $v > c_{medium} = c/n$

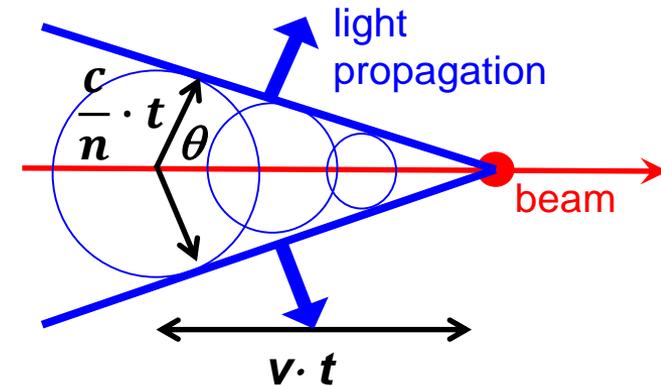
Technical: Quartz rod $n=1.5$ & photomultiplier

Example: Korean XFEL behind undulator



Cherenkov light emission:

For $v > c_{medium} = c/n$
light wave-front like a wake
broadband light emission



Advantage:

- Detection of fast electrons only
not sensitive to γ & synch. photons
- No saturation effects
- Prompt light emission

Usage: Mainly at FELs for short and intense pulses

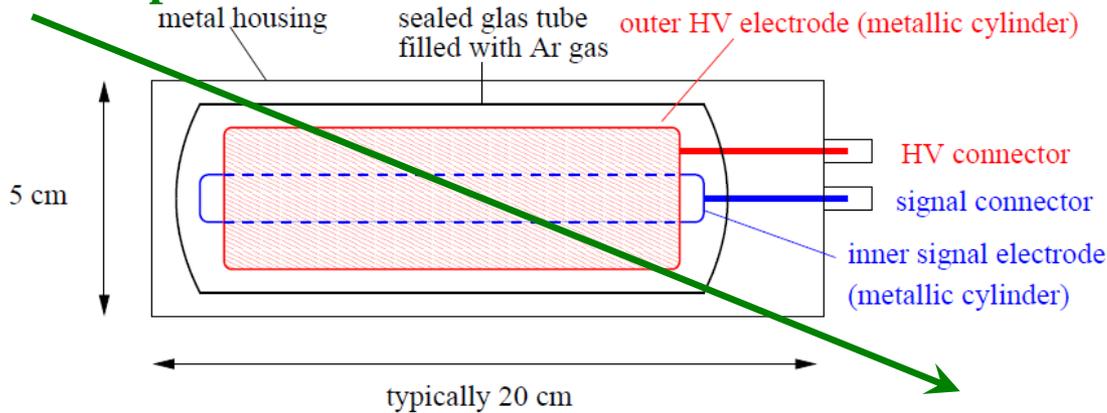
H. Yang, D.C. Shin, FEL Conf. 2017

Ionization Chamber as Beam Loss Monitors

Energy loss of charged particles in gases → electron-ion pairs → current meas.

$$I_{\text{sec}} \propto \frac{1}{W} \cdot \frac{dE}{dx} \Delta x$$

shower particle



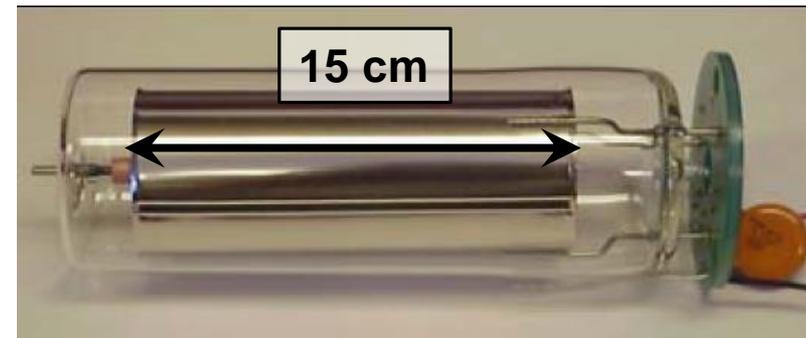
W is average energy for creation for one e^- -ion pair:

Gas	Ionization Pot. [eV]	W-Value [eV]
Ar	15.7	26.4
N ₂	15.5	34.8
O ₂	12.5	30.8
Air		33.8

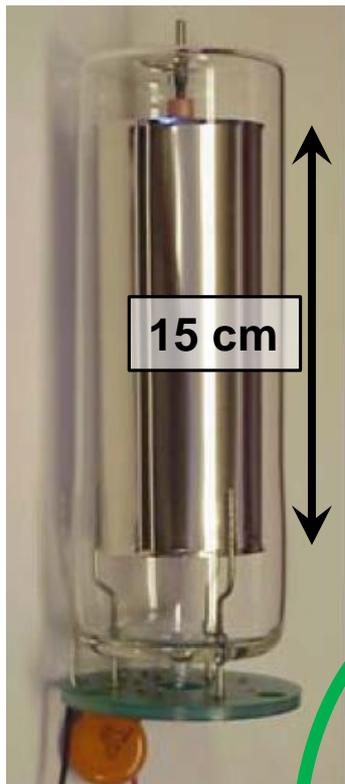
Sealed tube Filled with Ar or N₂ gas:

- Creation of Ar⁺-e⁻ pairs, average energy $W=32$ eV/pair
- measurement of this current
- Slow time response due to $\approx 10 \mu\text{s}$ drift time of Ar⁺.

Per definition: Direct measurement of dose !



Ionization Chamber as BLM: TEVATRON and CERN Type



TEVATRON, RHIC type

15cm, \varnothing 6 cm

Ar at 1.1 bar

three

1000 V

3 μ s

size

gas

of electrodes

voltage

reaction time

at the synchr. \approx 4000 at LHC

aver. distance 1 BLM each \approx 6 m

CERN type

50 cm, \varnothing 9 cm

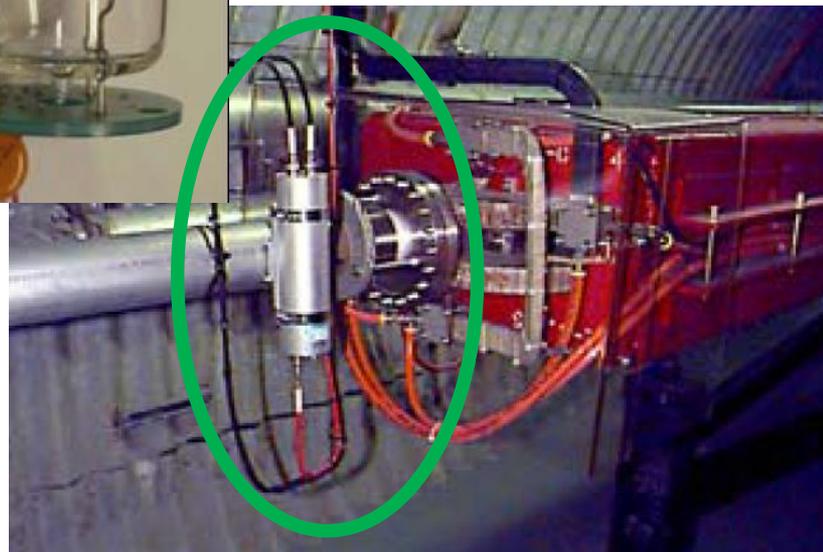
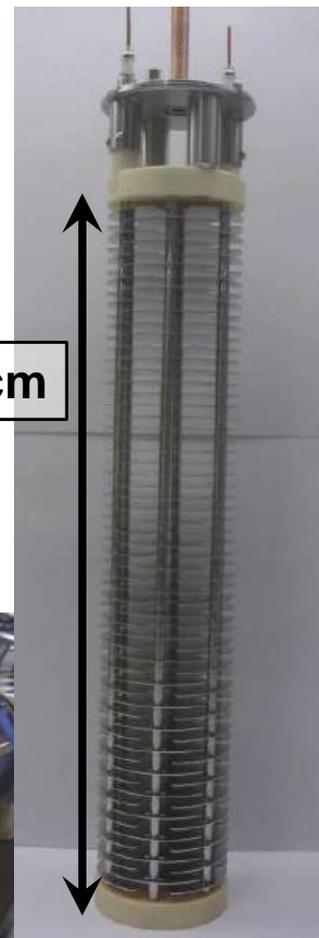
N₂ at 1.1 bar

61

1500 V

0.3 μ s

38 cm

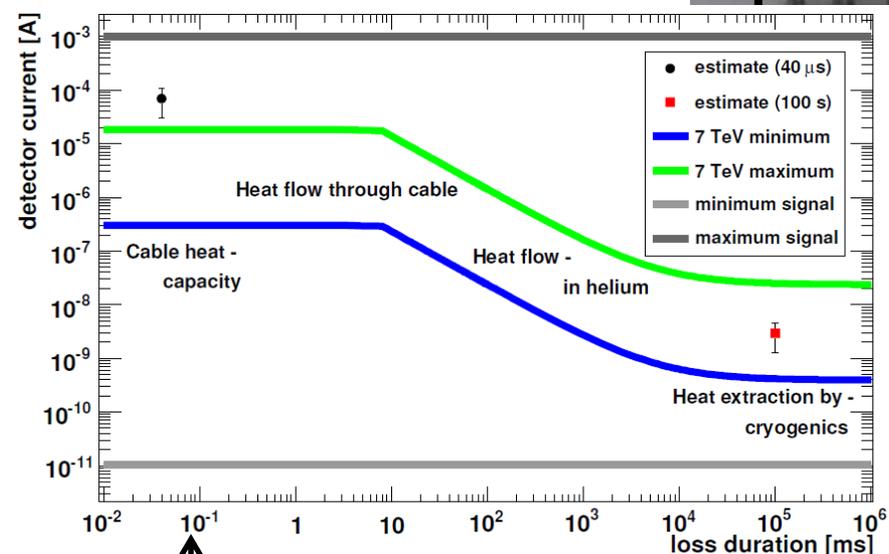
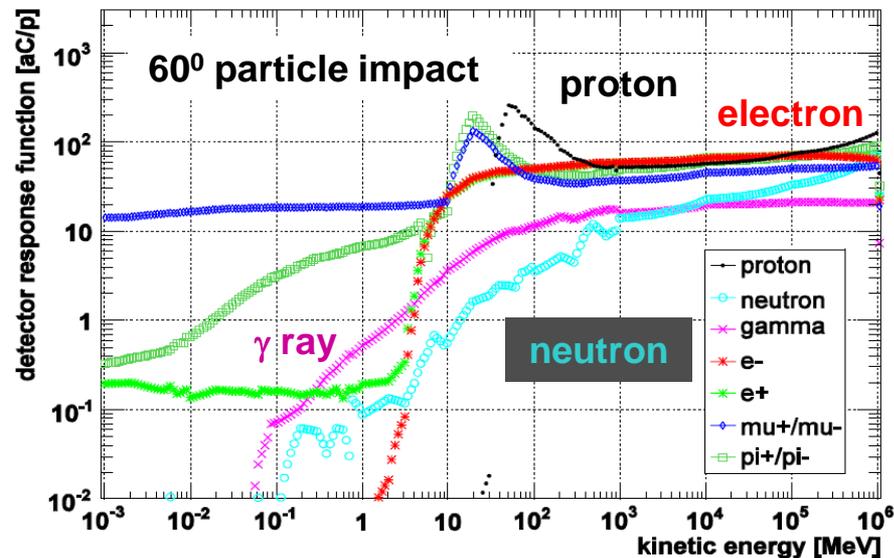
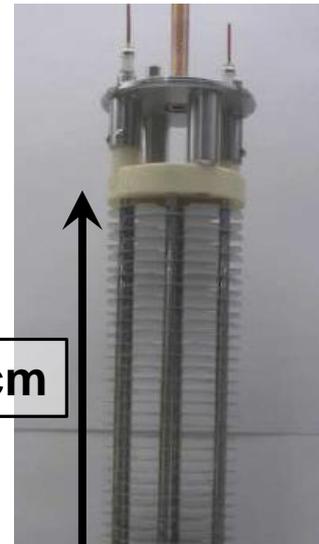


Ionization Chamber as BLM: CERN Type

Simulation of det. efficiency by Geant4:

- Most sensitive to protons, electrons & high energy γ
- Low sensitive to neutrons
- ⇒ Calculation of lost protons by integrating of shower composition
- ⇒ **Quench limit estimation**

size	50 cm, \varnothing 9 cm
gas	N ₂ at 1.1 bar
# of electrodes	61
voltage	1500 V
reaction time	0.3 μ s
# at the synchr.	\approx 4000 at LHC
aver. distance	1 BLM each \approx 6 m



M. Stockner: PhD-Thesis, Tech. Uni. Vienna
 A. North et al., HB 2010

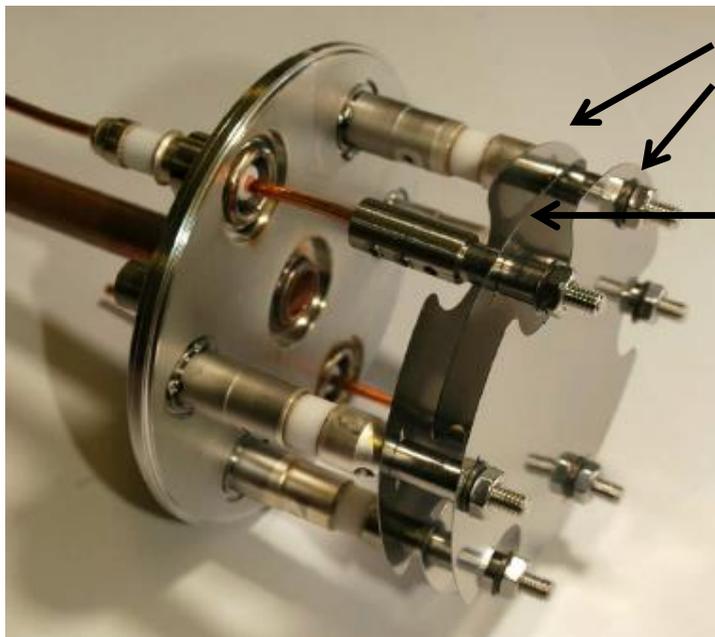
Secondary Electron Monitor as BLM

Ionizing radiation liberates secondary electrons from a surface.

Working principle:

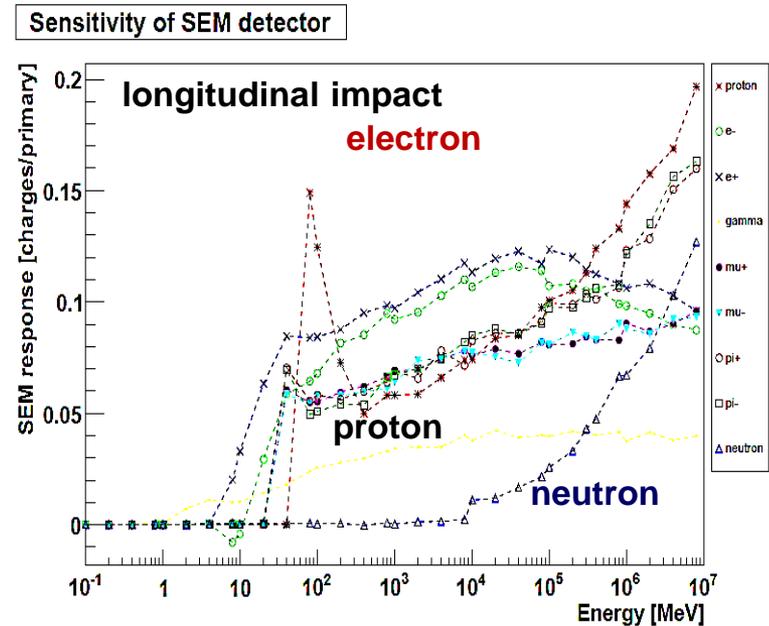
- Three plates mounted in a vacuum vessel (passively NEG pumped)
- Outer electrodes: biased by $U \approx +1$ kV
- Inner electrode: connected for current measurement (here current-frequency converter)

→ **small and cheap detector, very insensitive.**



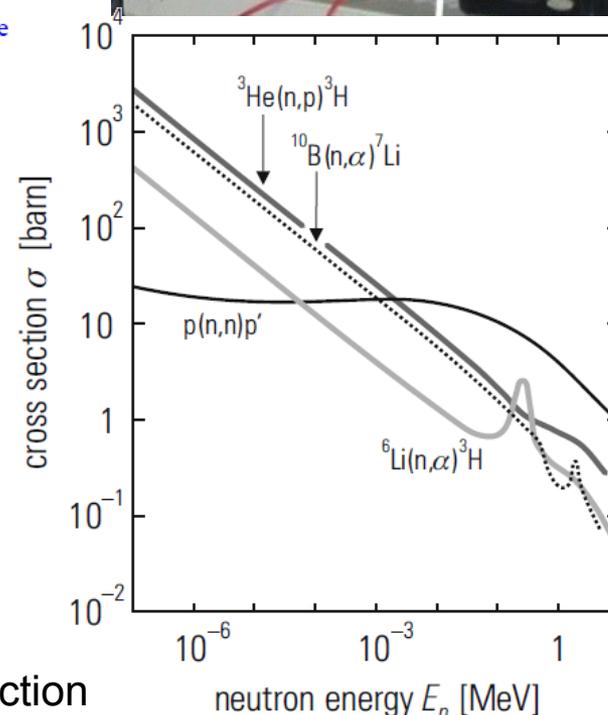
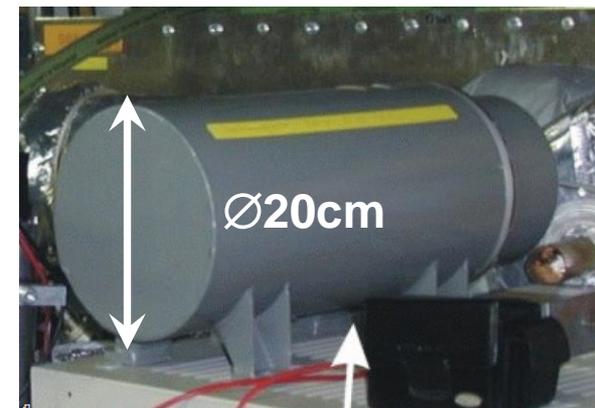
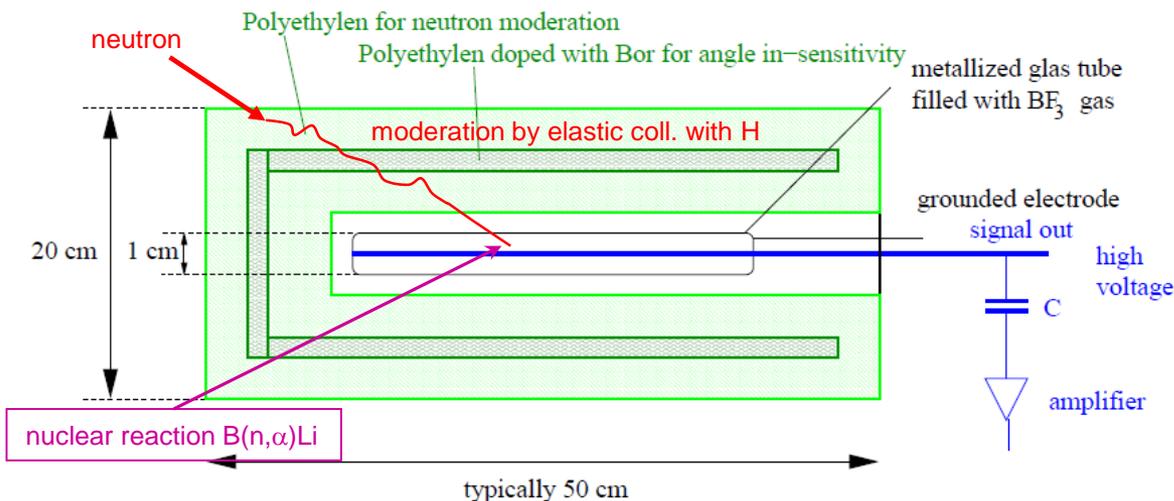
HV electrodes

Electrode for measured current



B. Dehning et al., PAC 2007

Detection of neutrons **only** with a 'REM-counter':



Physical processes of signal generation:

1. Slow down of fast neutrons by elastic collisions with p
2. Nuclear reaction inside BF₃ gas in tube:



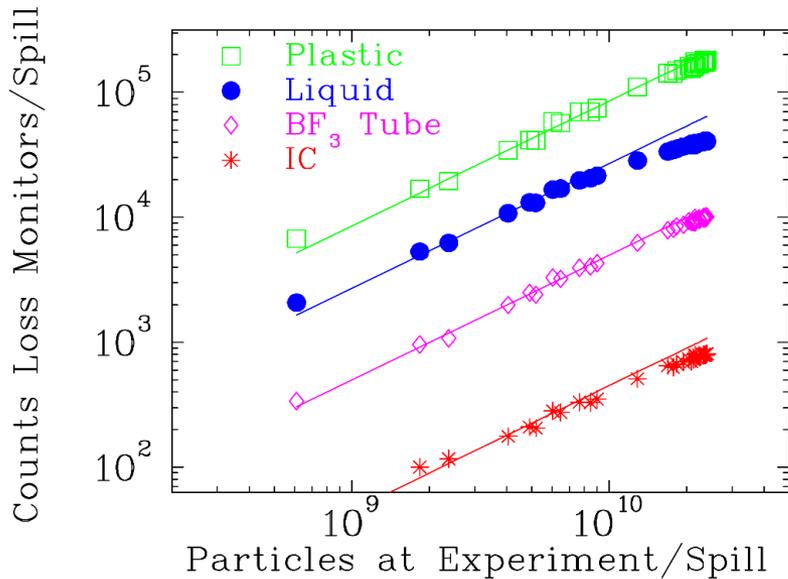
3. Electronic stopping of ⁷Li and α leads to signal.

Remark: 'REM-counters' are frequently used for neutron detection outside of the concrete shield & in nuclear power plants

Comparison of different Types of BLMs

Different detectors are sensitive to various physical processes
 very different count rate, but basically proportional to each other

Example: Beam loss 800 MeV/u O⁸⁺
 for different BLMs at GSI-synchr.:



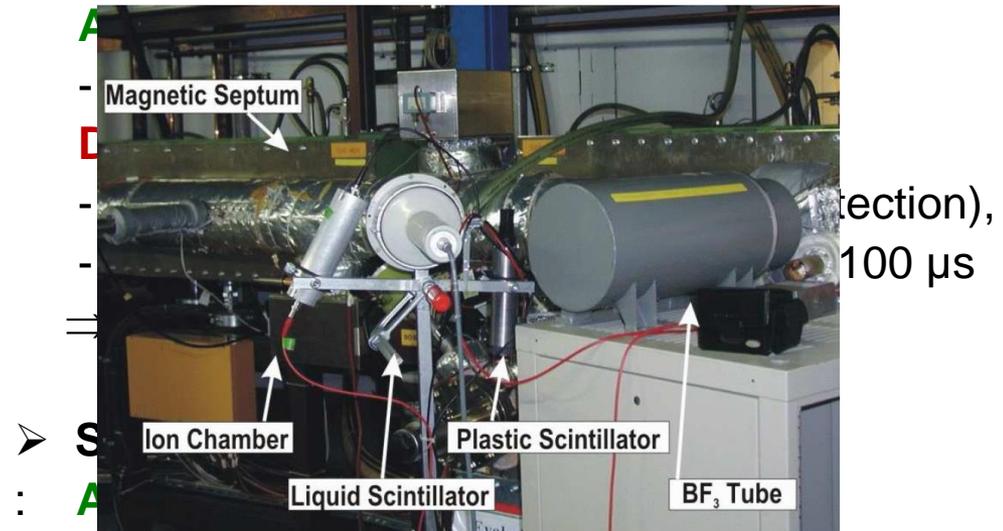
⇒ Linear behavior for all detectors

⇒ Quite different count rate:

$$r_{IC} < r_{BF3} < r_{liquid} < r_{plastic}$$

Typical choice of the detector type:

➤ Ionization Chamber:



- Fast current reading or particle counting
- Can be fabricated in any shape, cheap

Disadvantage:

- Need calibration in many cases
 - Might suffer from radiation
- ⇒ Often used at electron accelerators

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- **Overview of personal safety**

Relevant Losses for Machine Protection

Types of losses:

1. Irregular losses or fast losses by malfunction → avoidable loss

- Occurs only seldom i.e. have low probability
- The whole beam or a significant fraction is lost
- Usually within a short period of the operational cycle (e.g. injection, acceleration, extraction, ...)
- Usually caused by
 - Hardware failures, inaccurate settings or control errors (magnets, cavities ...)
 - Beam instabilities (wake-fields, resonances, ...)
 - Manually initialized improper beam alignment

⇒ **Beam abortion required to prevent for destruction via interlock generation.**

2. Regular losses or slow losses → unavoidable loss

- Caused by lifetime inside synchrotron (residual gas, Touschek ...),
- Caused by halo-formation and cleaning, aperture limitation , imperfections, machine errors
- Caused by multi-turn injection, slow extraction,....→ known loss mechanism
- Occurs in each cycle at characteristic times and/or beam parameters
- Usually a few % of the beam intensity

⇒ **protection of sensitive components, beam abortion only required if above a certain level**

Design criteria for a Machine Protection System:

1. Beam based: *Choice of BLM detector type*

- Main type of radiation (protons, neutrons, electrons, muons.....)
- Expected radiation level at foreseen location
- Required time response (fast particle counts or short beam delivery ↔ medium fast IC ↔ slow IC)
- Required dynamic range to detect irregular losses e.g. 6 orders of magnitude!
- Required reliability & fail safe

Proton accelerators: Most often IC are used for interlock-generation

& particle counters for relative measurements (after calibration suited for interlock generation)

Electron accelerators: Scintillators and Cherenkov counters (partly due to short pulse operation)

2. Equipment based: **Functionality of any relevant device must be guaranteed**

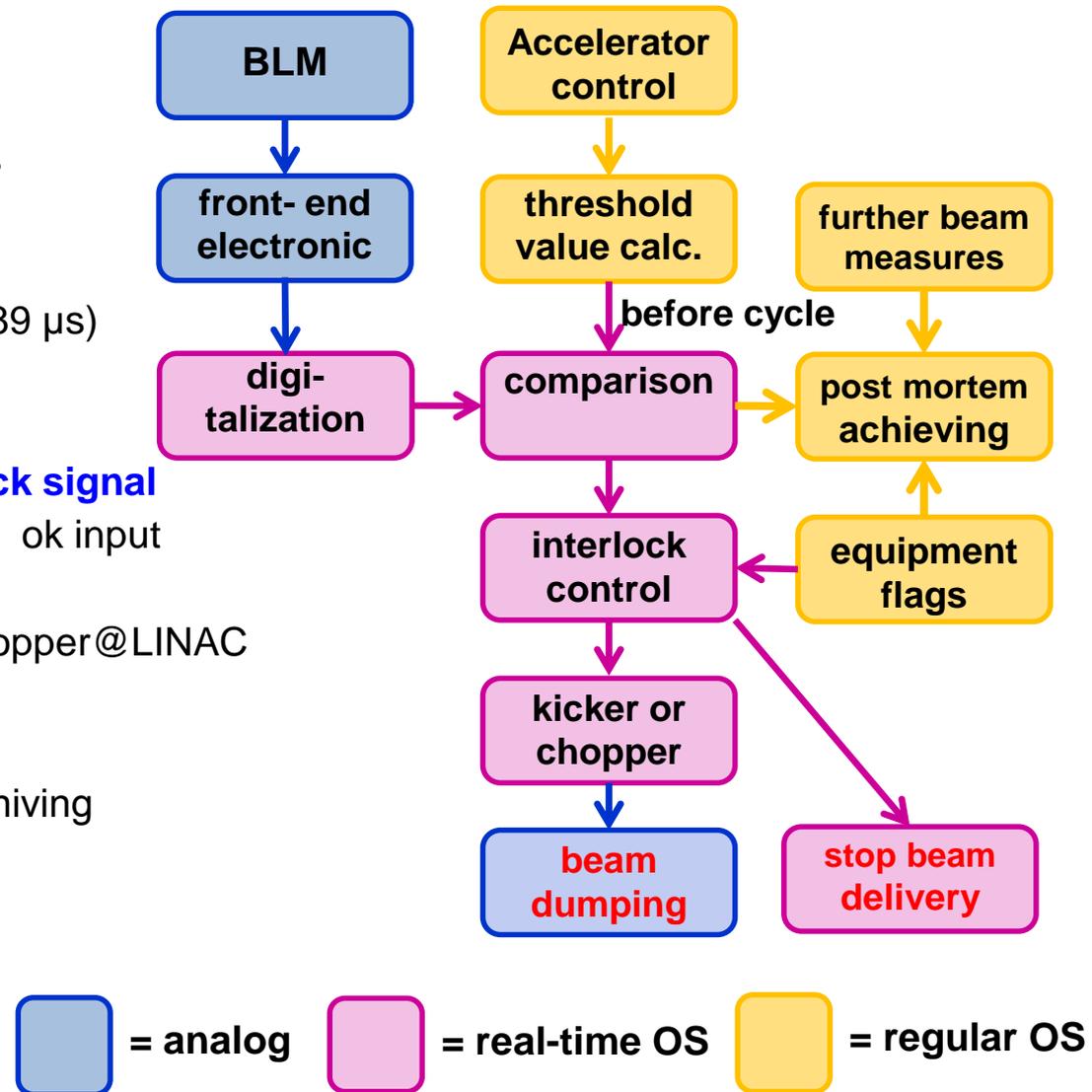
- Magnet power supplier
- rf-generators, cavity properties
- Super-conducting state of magnet or cavity
- Vacuum conditions
- Relevant diagnostics instruments
- Control system watchdog
- ...

Remark: In exceptional cases an interlock-source can be masked to allow for acc. operation

General Layout of a Machine Protection System: Hardware

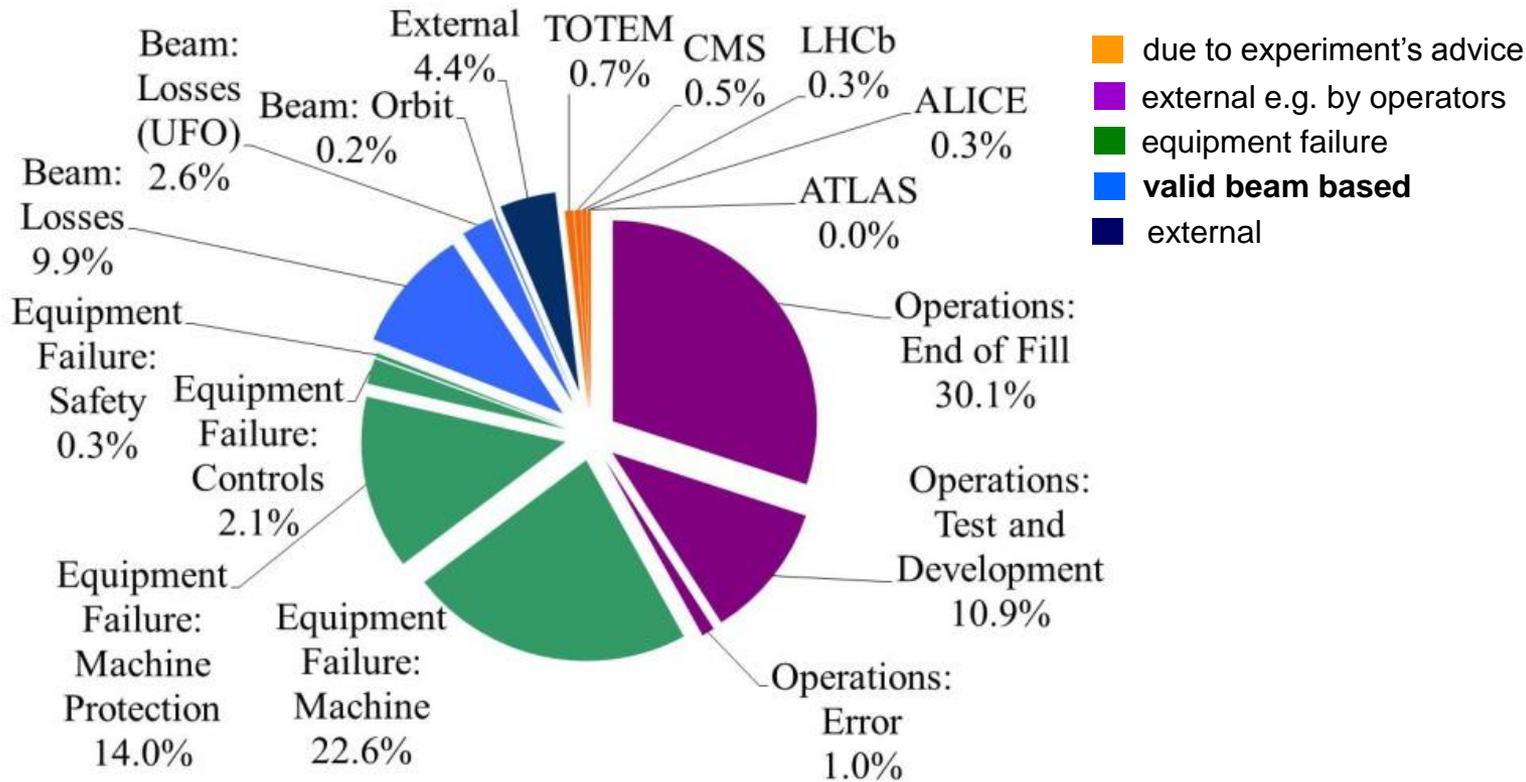
Design of a protection system:

- **BLM detector & analog front-end**
low input signal under regular losses
large dynamic range for irregular losses
e.g. current-frequency converter
- **Digitalization**
high time resolution (e.g. LHC 1 turn = 89 μs)
- **Comparison to threshold values**
fast, real-time calculation (FPGA, DSP)
- **Generation & broadcasting of interlock signal**
real-time operation required, equipment ok input
- **Beam permit:** if not ok:
→ beam abortion kicker@synchr. or chopper@LINAC
→ disable next beam production
- **Data logging**
→ detailed 'post mortem' storage & archiving
→ error display
- **Generally**
robust & fail-save system required!
challenge: large dynamic range



Statistics for Interlock Generation

Beam dump statistics at LHC in year 2012 (above injection, 582 dumps) :

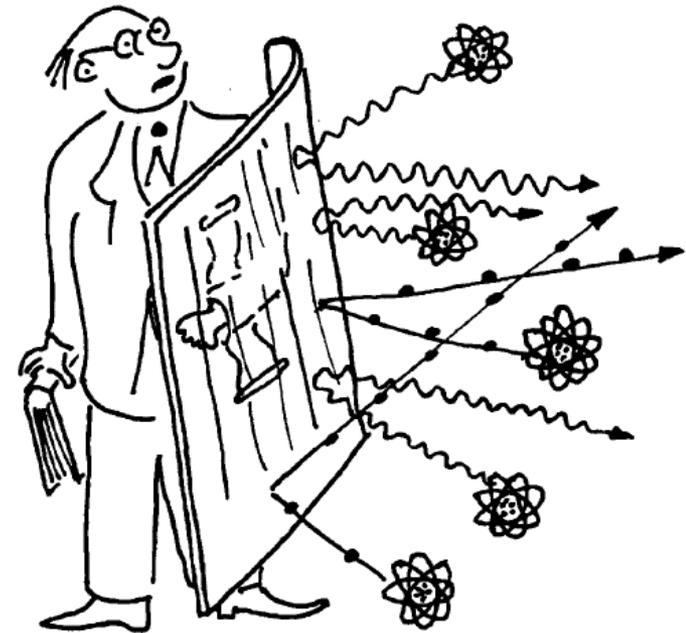


B. Todd et al., CERNACC- 2014-0041

J. Wenninger, JAS 2014, CERN-2016-002

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"Radiation Protection"

© by Claus Grupen

Cartoons from C. Grupen
Introduction to Radiation Protection,
Springer Verlag 2010

Radiological Quantities and Units

Basic quantities & units for personal safety:

➤ **Absorbed dose:** $D_{R,T} = \frac{1}{m} \int_V \frac{dE_R}{dV} \cdot dV$
 $= \left[\frac{\text{J}}{\text{kg}} \right] = [\text{Gy}] = [100 \text{ rad}]$

for each radiation type **R** and each tissue **T**

➤ **Equivalent Dose:** $H_T = \sum_R w_R D_{R,T} = [\text{Sv}] = [100 \text{ rem}]$
 with weight factor w_R for the radiation type

➤ **Effective Dose:** $E = \sum_T w_T H_T = [\text{Sv}] = [100 \text{ rem}]$
 with weight factor w_T for the absorption of each tissue **T**
 whole body irradiation $\Leftrightarrow \sum_T w_T = 1$

➤ **Activity:** $r = \left[\frac{1}{\text{s}} \right] = [\text{Bq}] = [27 \text{ pCi}]$

1 Ci = activity of 1 g radium $^{226}_{88}\text{Ra}$



100 keV < E < 2 MeV	20
2 MeV < E < 20 MeV	10
20 MeV < E	5

Neutrons: Since 2007 smooth function

Example: Organ or Tissue	Sensi.	w_T
Gonads	High	0.20
Lung, stomach, colon, lens, Hematopoietic & lymphatic system	Inter-mediate	0,12
Liver, esophagus, chest, skin, muscle, hart, bone surface	Low	0.05 - 0.01

Shielding of Accelerators

Shielding of accelerator by rough rule of thumb:

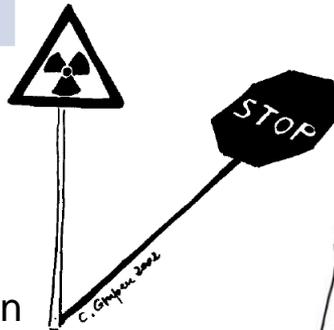
Estimation of shielding by 10th-value λ_{10}
with $H(l) = H_0 10^{-l/\lambda_{10}}$

(disregarding any secondary particle transport)

Material	ρ [$\frac{g}{cm^3}$]	λ_{10} [cm]
Earth	1.8	128
Concrete	2.4	100
Heavy concrete	3.2	80
Iron	7.4	41
Lead	11.3	39

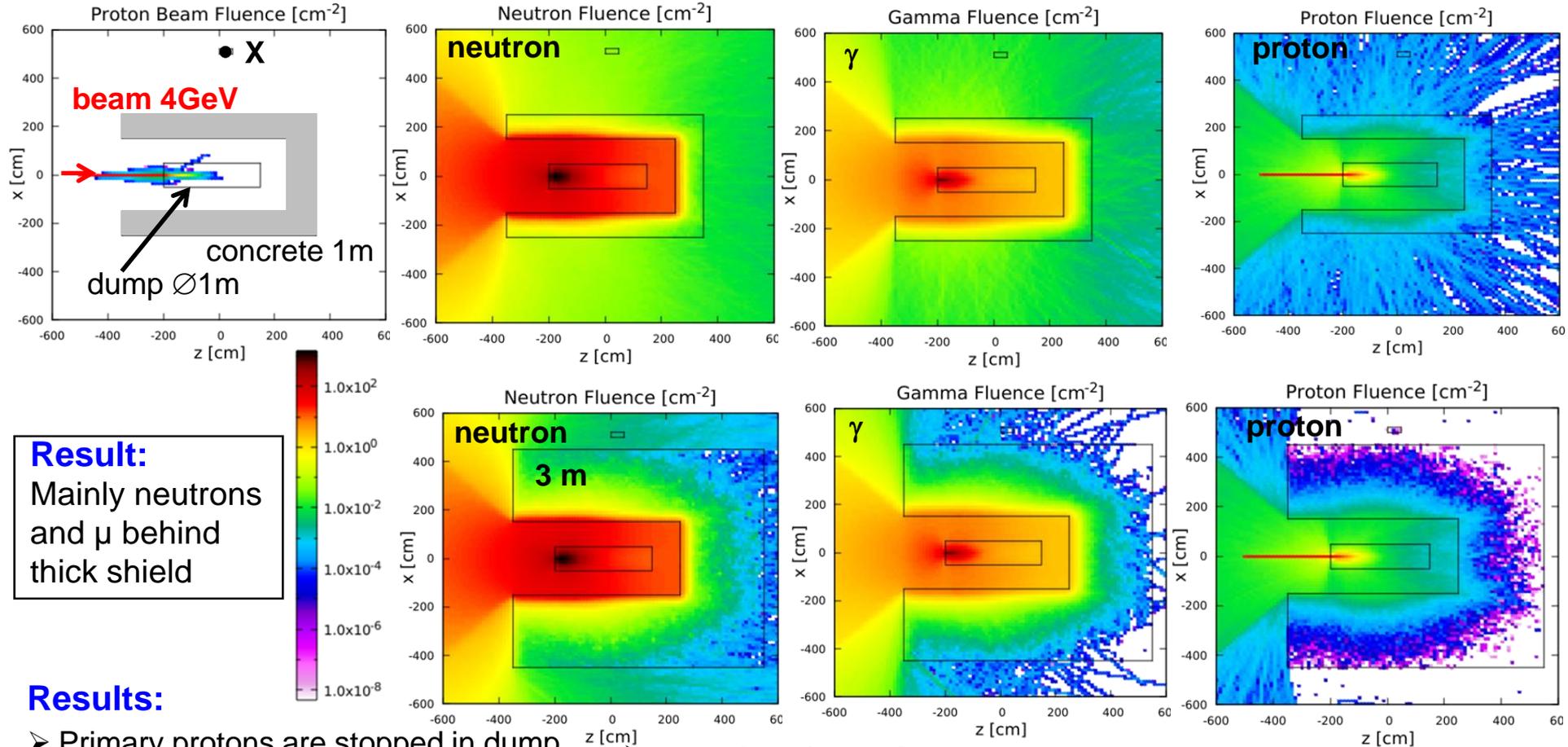
Further rough rule of thumb:

- Protons, electrons & γ are att. by heavy materials
- Neutrons are scattered by hydrogen due to same mass
Concrete contains $\approx 10\%_{\text{weight}} \text{H}_2\text{O}$
- Nuclear reactions produces further particles



Simplified Model Shielding of Accelerators

Simplified FLUKA calculation: 4GeV protons, iron beam dump \varnothing 1m l=3.5m, concrete 1 or 3 m, $5 \cdot 10^5$ particles
 Courtesy S. Udrea



Result:
 Mainly neutrons and μ behind thick shield

Results:

- Primary protons are stopped in dump
- **Neutrons** produced, scattered at wall $\approx 10^{-3}$ atten. at X by distance & concrete
- 'Leakage' through opening
- γ are from beam & neutrons in the wall $\approx 10^{-3}$ attenuation at X
- **Protons** produced from neutrons, but partly stopped in the wall
- **Neutrons** at $X \approx 0.3\%$ of 1m.
- Equal 'leakage' of n, γ & p
- γ well shielded
- **Protons** stopped in wall

Realistic Example for Shielding of Accelerators

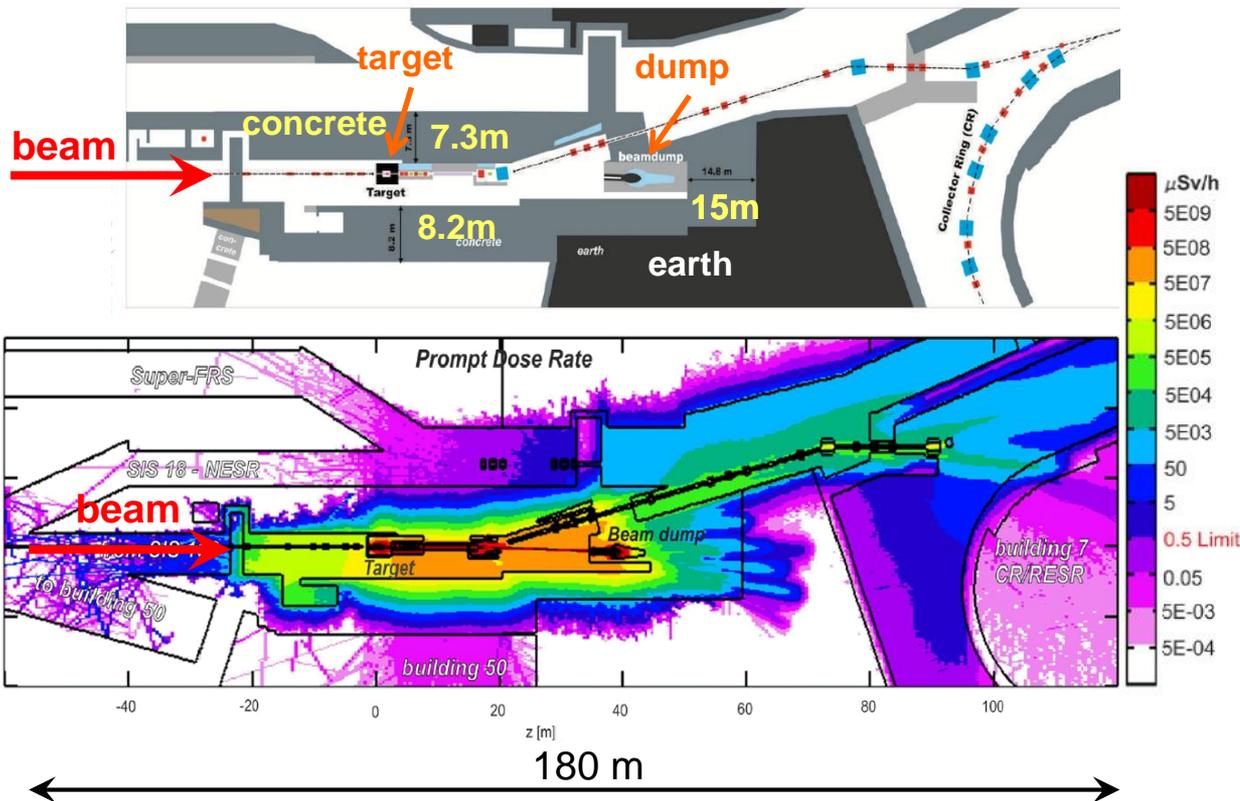
Example shielding of accelerator: Proton beam of 29 GeV for anti-proton production

Assumption $2.5 \cdot 10^{13}$ protons on 11cm long copper target

Shield: Iron (1.6 m downstream and 1 m transverse)

Concrete ≈ 8 m around beam pipe

Goal: Free access region outside i.e. $H < 0.5 \mu\text{Sv/h}$



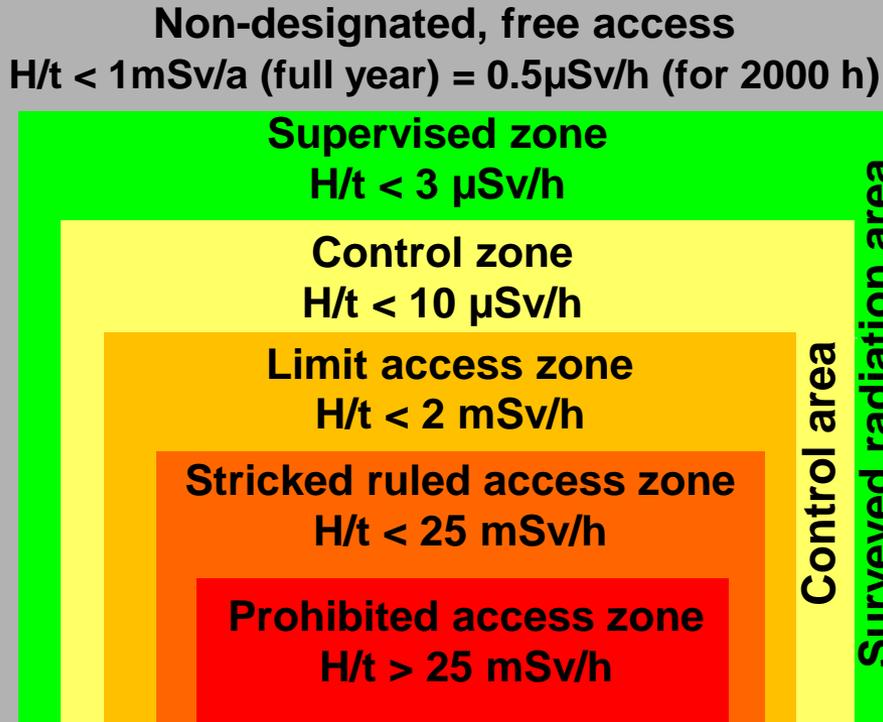
Shielding calculations:

Required for safety procedure
 Numerical calculation required
 atomic, nuclear & particle
 physics models
 e.g. FLUKA, MARS, PHITS

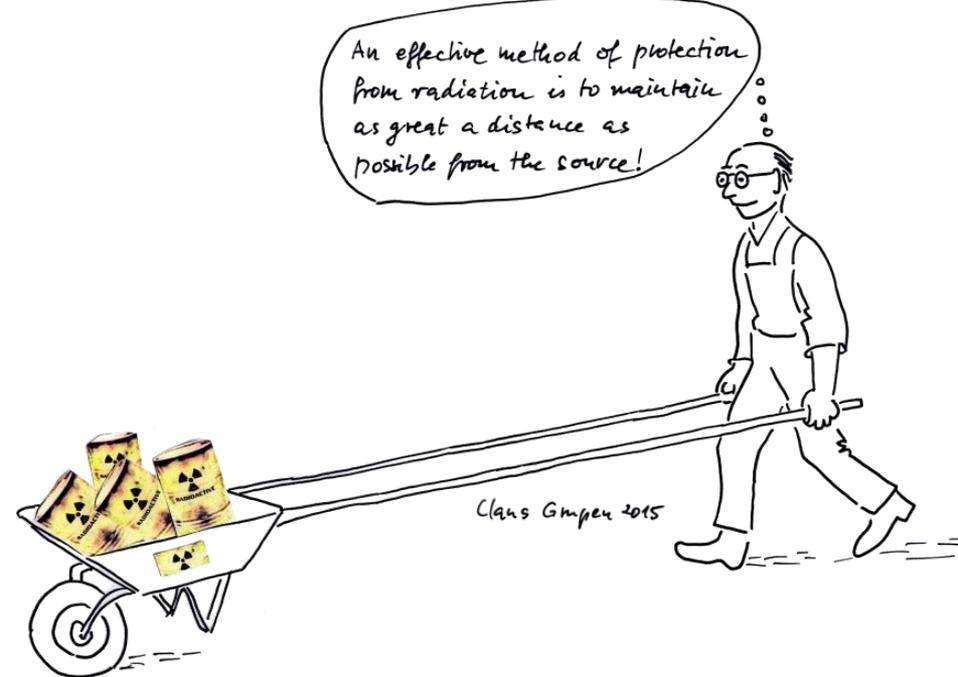
K.. Knie et al., IPAC 2012

Simplified categories of radiation areas:

For workers: Assumption 2000 h/a of access



ALARA principle: As Low As Reasonable Possible



Maximum dose for one year: 20 mSv/a

Maximum total life dose: 400 mSv

(Estimated lethal dose: 4000 mSv)

Remark: Actual limits are given by national laws.

Simplified categories of radiation areas:

For workers: Assumption 2000 h/a of access

Non-designated, free access
 $H/t < 1 \text{ mSv/a (full year)} = 0.5 \mu\text{Sv/h (for 2000 h)}$

Supervised zone

$H/t < 3 \mu\text{Sv/h}$

Control zone

$H/t < 10 \mu\text{Sv/h}$

Limit access zone

$H/t < 2 \text{ mSv/h}$

Stricked ruled access zone

$H/t < 25 \text{ mSv/h}$

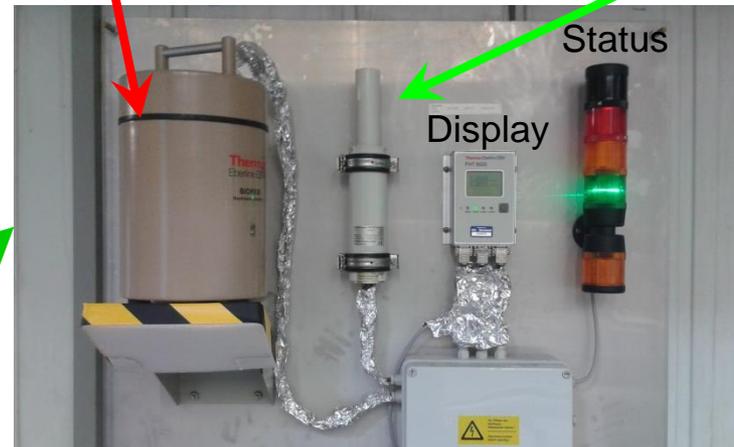
Prohibited access zone

$H/t > 25 \text{ mSv/h}$

Control area
Surveyed radiation area

Moderated prop. tube for n
 $1 \text{ eV} < E_n < 20 \text{ MeV}$

Proportional tube for γ :
 $30 \text{ keV} < E_{ph} < 1.3 \text{ MeV}$



Moderated thermo-luminescence detector for passive n-detection



Maximum dose for one year: 20 mSv/a

Maximum total life dose: 400 mSv

(Estimated lethal dose: 4000 mSv)

Remark: Actual limits are given by national laws.

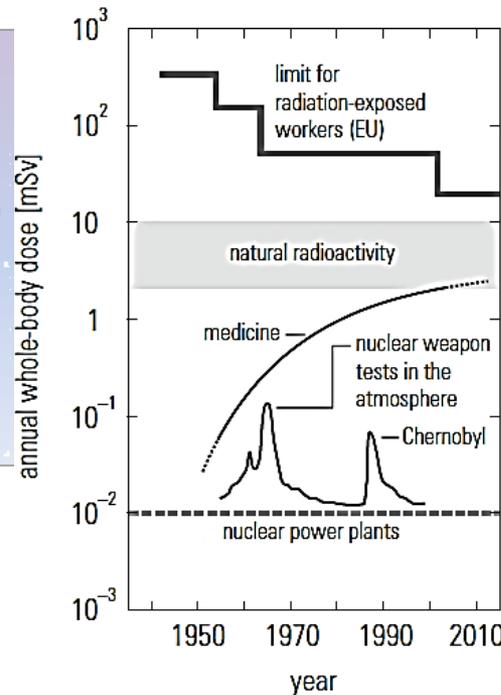
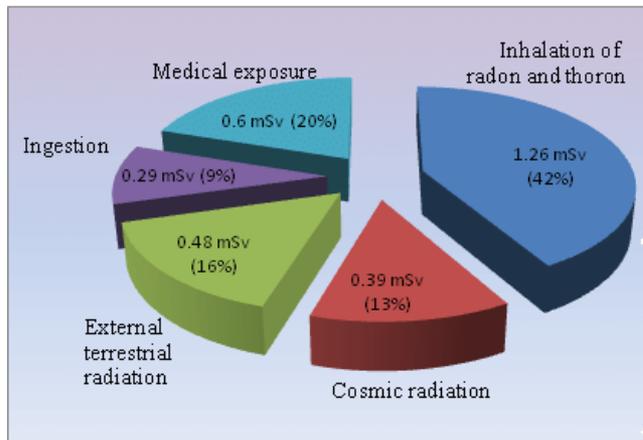
Natural Radiation Exposure

Example of radiation level:

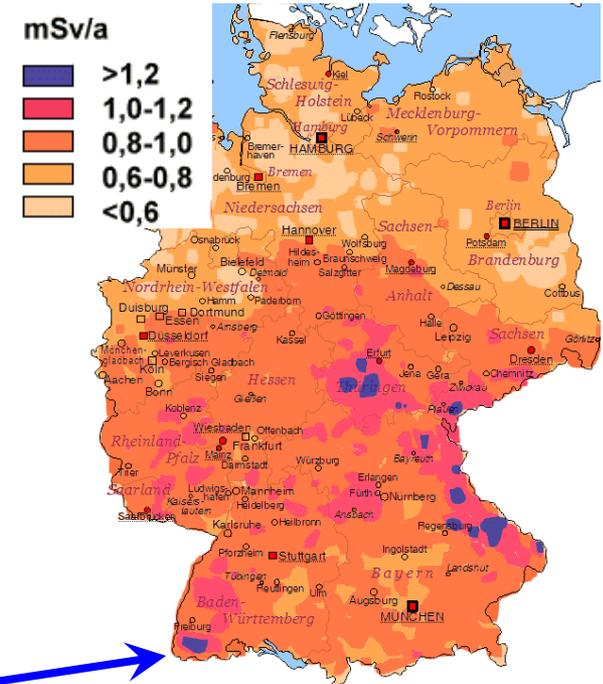
➤ Natural geological dose:

In some parts the dose can be up to some 10 mSv/a without significant increase of diseases

➤ Typical dose composition:



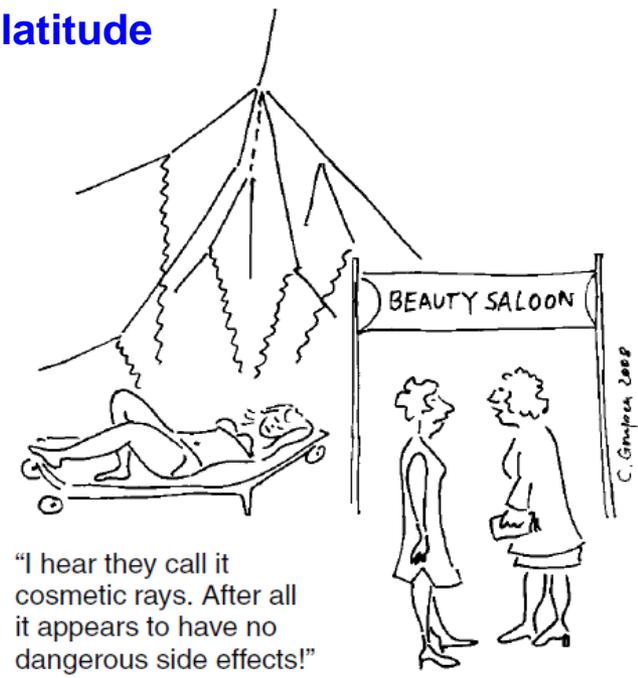
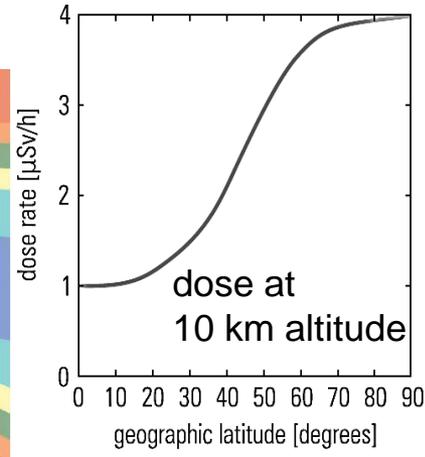
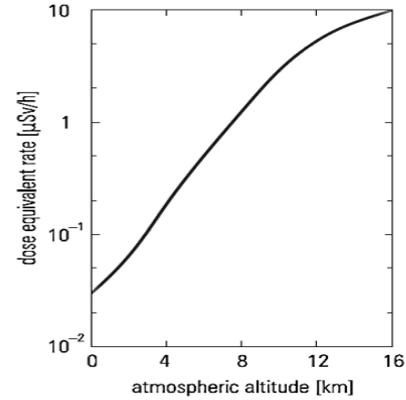
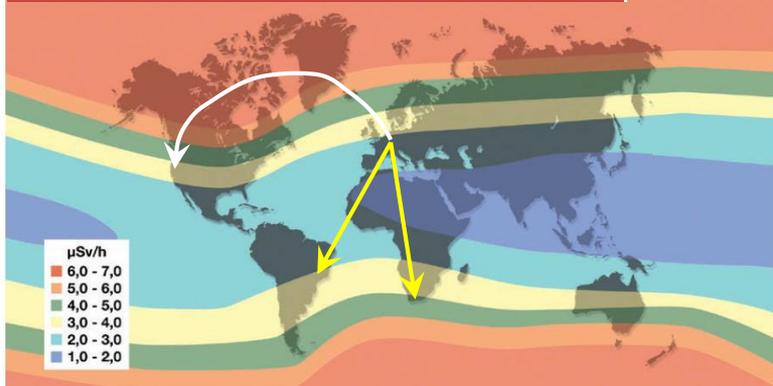
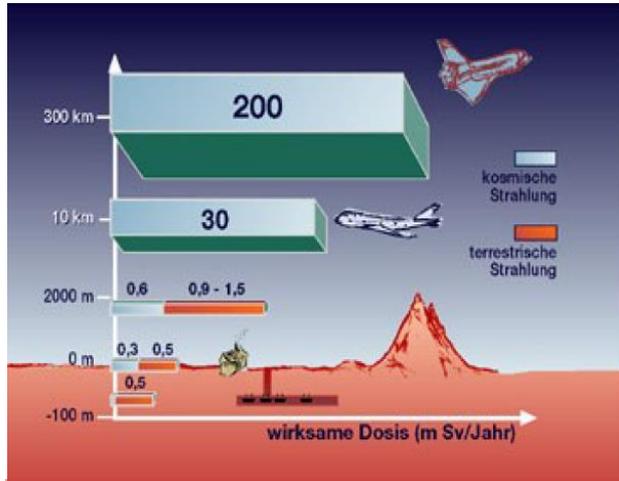
Natural dose in Germany:



Source: German Bundesamt für Strahlenschutz
C. Gruben, Introduction to Radiation Protection

Avoidable, but wildly accepted Radiation Exposure

Cosmic ray based radiation effects depend on altitude and latitude



Departure	Arrival	Duration	Dose
Frankfurt	San Francisco	11.5 h	45-110 µSv
Frankfurt	Johannesburg	10.5 h	18- 30 µSv
Frankfurt	Rio de Janeiro	11.5 h	17- 28 µSv

Source: German Bundesamt für Strahlenschutz

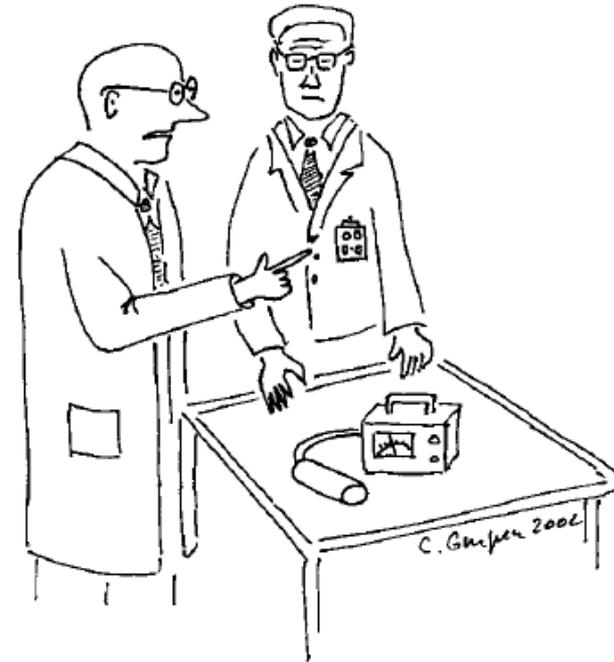
→ **conclusion**

Passive Film Badge Dosimeter and TLD

For personal safety a dosimeter should be worn!

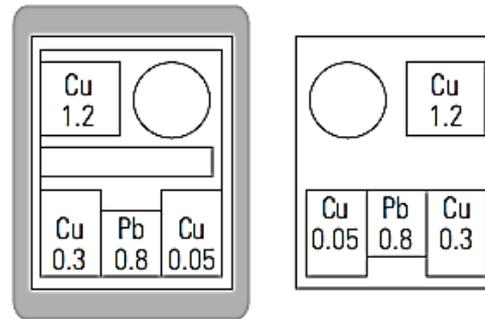
Film badge: X-ray sensitive films with different absorbers to determine the energy of photons (typ. 5keV... 9MeV) & $\beta^\#$ (typ. > 0.3MeV)

Sensitivity for β & γ : 0.1 mSv to 5 Sv



“And these badges are supposed to protect us effectively from radiation?”

© by Claus Gruppen



(thickness of filters in mm)

Advantage: Can be achieved

Disadvantage: No online display

Thermo-luminescence dosimeter TLD:

Crystal e.g. LiF is excited by radiation and emit light when heated
neutron sensitive via ${}^6\text{Li}(n,\alpha)\text{T}$

Sensitivity for β & γ : 0.1 mSv to 10 Sv



Active personal Dosimeter

Active dosimeters for online display

Dose measurement with alarm function, has to be worn when entering a protected area

Ionization chambers or proportional chambers::

Alternative: PIN-diode solid state detector

Photons: typ. 10 keV... 10 MeV

β^\pm : 0.25 1.5 MeV

Sensitivity for β & γ : 0.05 μ Sv/h to 1 Sv/h

(TLD sensitivity: 100 μ Sv to 5 Sv)

'Pocket meter' for γ -rays:

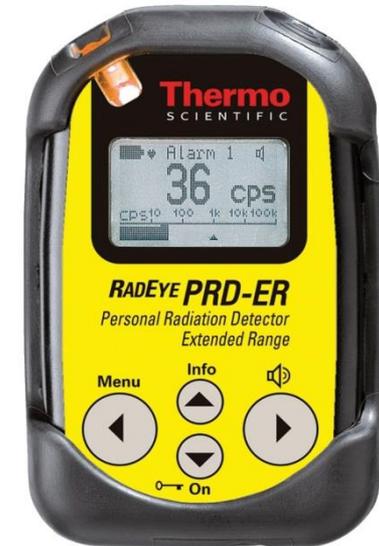
Scintillator NaI(Tl) + photo-multiplier for γ detection photons (typ. 60 keV... 1.5 MeV)

Sensitivity for γ : 0.01 μ Sv/h to 100 mSv/h

Older versions: Proportional tube

Advantage: Alarm functionality, sensitive
can be archived with some efforts

Disadvantage: Expensive



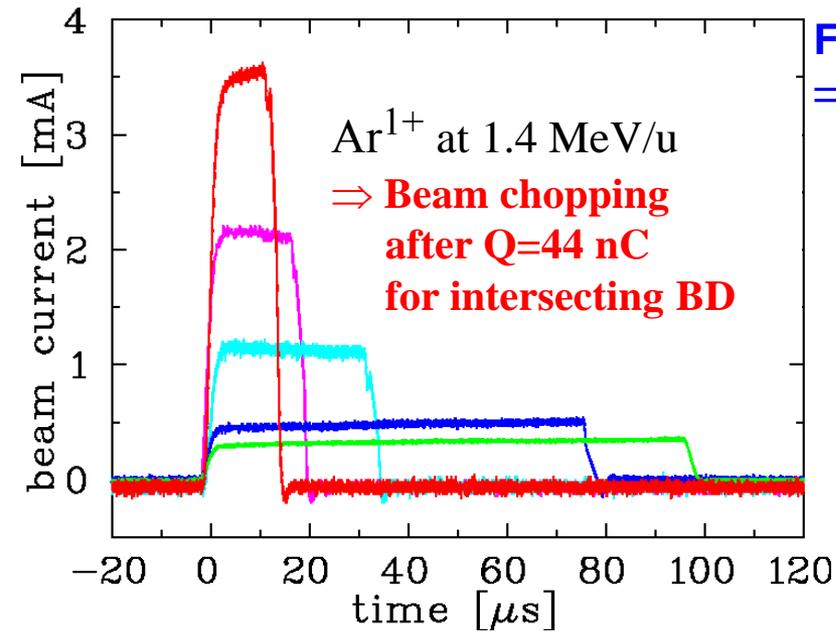
- **Many accelerators are built to produce radiation, some risk remains**
- **Accelerator components must be protected from overheat ('atomic physics')**
 - e.g. super-conducting magnet & cavities
 - Particles' energy loss must be limited and/or steered to dedicated locations
 - Passive protection by collimators for protection or localizing
 - Active Machine Protection System based on Beam Loss Monitors
- **Accelerator components must be protected from activation ('nuclear physics')**
 - Losses must be limited to certain locations e.g. collimators & beam dump
 - '1 W/m criterion' for hand-on maintenance
- **Shield of the accelerator required**
 - p, ion & γ best shield by high density material, but care for nuclear reactions
 - e⁻ shield for light material (lower Bremsstrahlung)
 - n light material preferred
- **Radiation exposure to people should be avoided: ALARA principle**

Thank you for your attention!

- R. Schmidt (Ed.), *Beam Loss and Accelerator Protection*, Proc. Joint International Accelerator School CERN-2016-002
- US Particle Accelerator School – Beam Loss & Machine Protection, January 2017
<http://uspas.fnal.gov/materials/17UCDavis/davis-machineprotection.shtml>
- D. Kiselev , *Activation and radiation damage in the environment of hadron accelerators & D. Forkel-Wirth et al., Radiation protection at CERN in R. Bailey (Ed.) Proc. CAS CERN-2013-001*
- A. Zhukov, *BLMs: Physics, Simulation and Application in Accelerator*, Proc. BIW 2010, www.jacow.org
- C. Grupen, *Introduction to Radiation Protection*, Springer Verlag 2010
- Proceedings of several CERN Acc. Schools (introduction & advanced level, special topics).
- Contributions to conferences, in particular to IPAC & IBIC.

Backup slides

Dynamic Machine Protection by Transmission Measurement

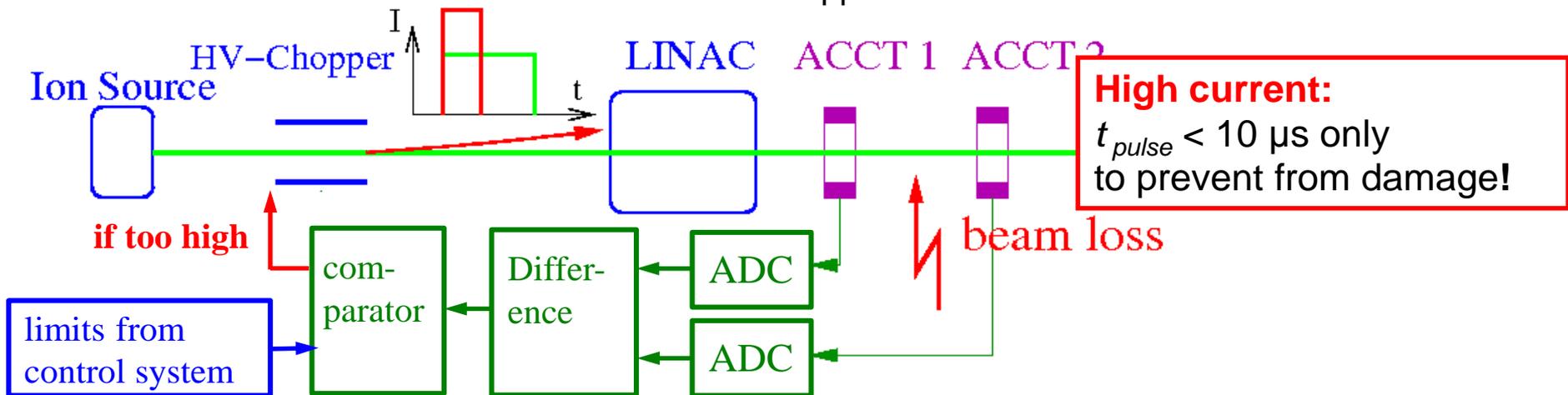


For $E > 50$ MeV protons: nuclear σ_{nucl} quite low
 => machine protection by **active transmission control**

Determination of maximal loss between consecutive transformers by 'differential current measurement'
 -> **dynamic** beam interruption in case of software-given threshold overshoot.

FPGA-electronics:

- > ADC digitalization
- > calculation of difference
- > digital comparator
- > chopper control in case of threshold overshoot



H. Reeg (GSI) et al., Proc. EPAC'06

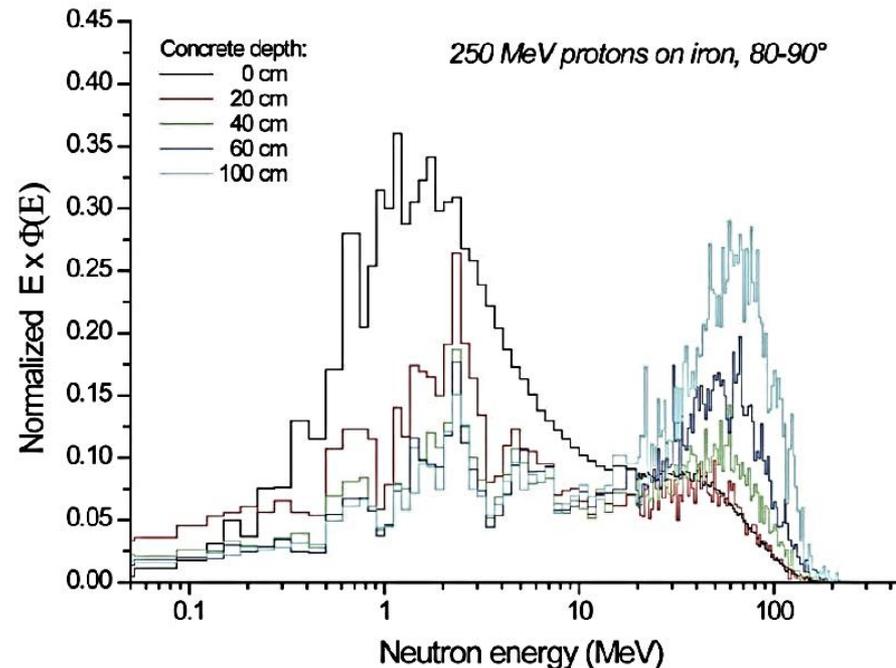
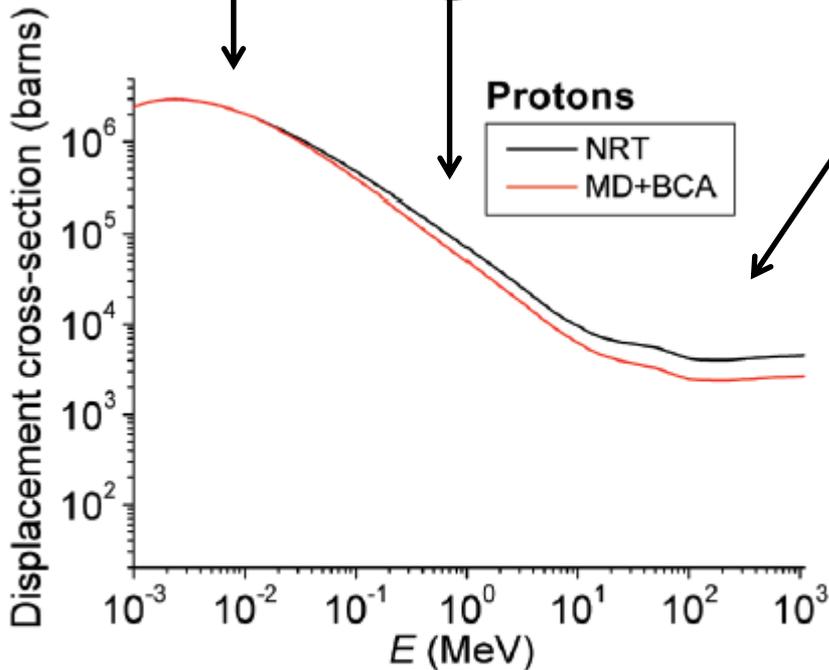


Fig. 6: Neutron energy distributions $E\Phi(E)$ in the transverse direction generated by 250 MeV protons impinging on an iron target thicker than the proton range. The distributions are for source neutrons and behind concrete shields of thicknesses ranging from 20 cm to 1 m. The distributions have been normalized to unit area in order to show better the change in the shape of the spectrum with increasing shield thickness.

Radiation Damage Displacements of Atoms

Low energy protons: Nuclear stopping (collision of protons with target nucleus results in recoil energy above binding energy to stopping)

Electronic stopping range



For $E_{kin} > 100$ MeV nearly equal cross section

Large capture cross section results in recoil energy

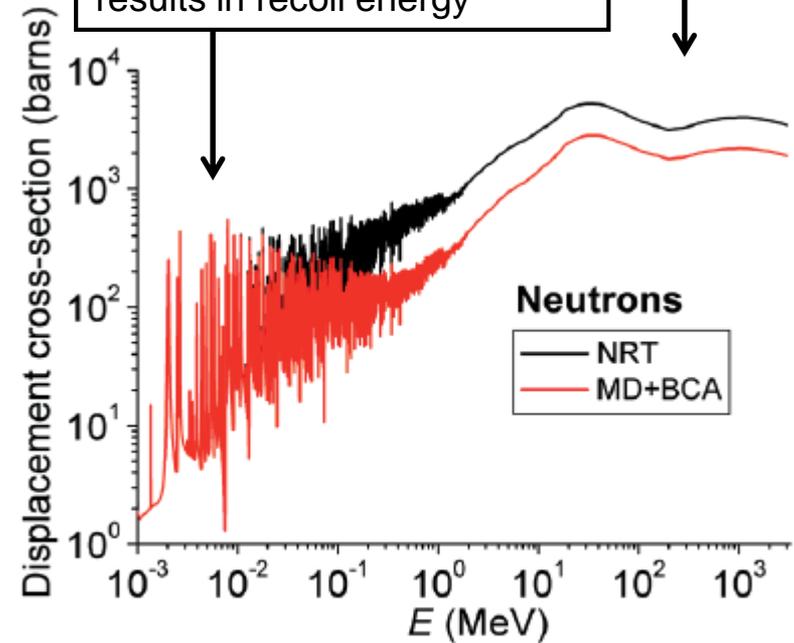


Fig. 12: Displacement cross-sections of protons (left) and neutrons (right) in copper obtained by two different approaches (see legend).

D. Kiselev, CAS 2011, CERN-2013-001

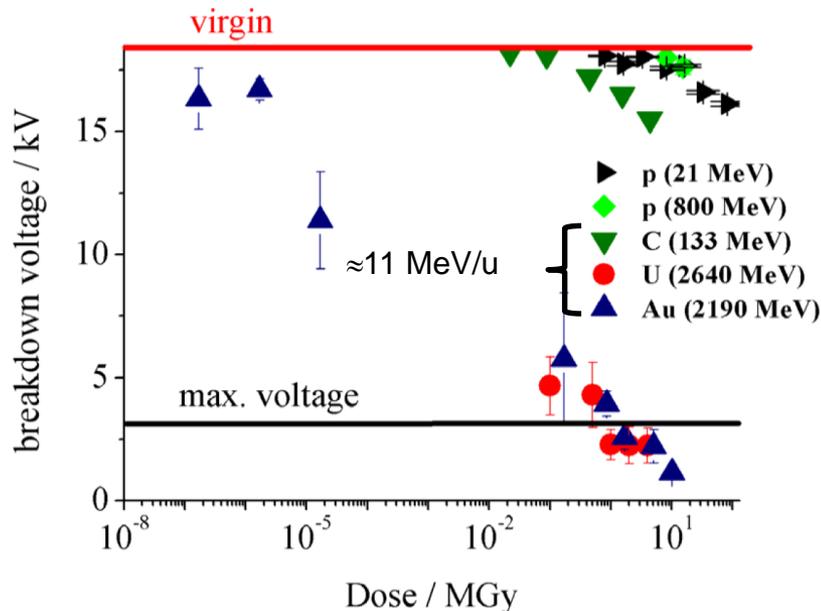
Radiation Damage of organic Materials

Radiation damage in plastic by ionizing radiation:

- Brake of chemical bonds and displacement of atoms
- Microscopic defects in the chemical bonds
- Displacement of atoms in the structural material

Example: Kapton foil of 125 μm thickness

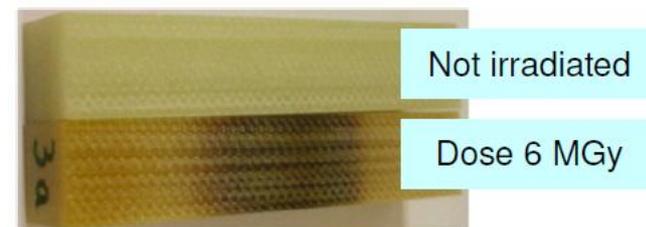
Direct irradiation by ion beam's
energy loss dE/dx increases for heavy ions



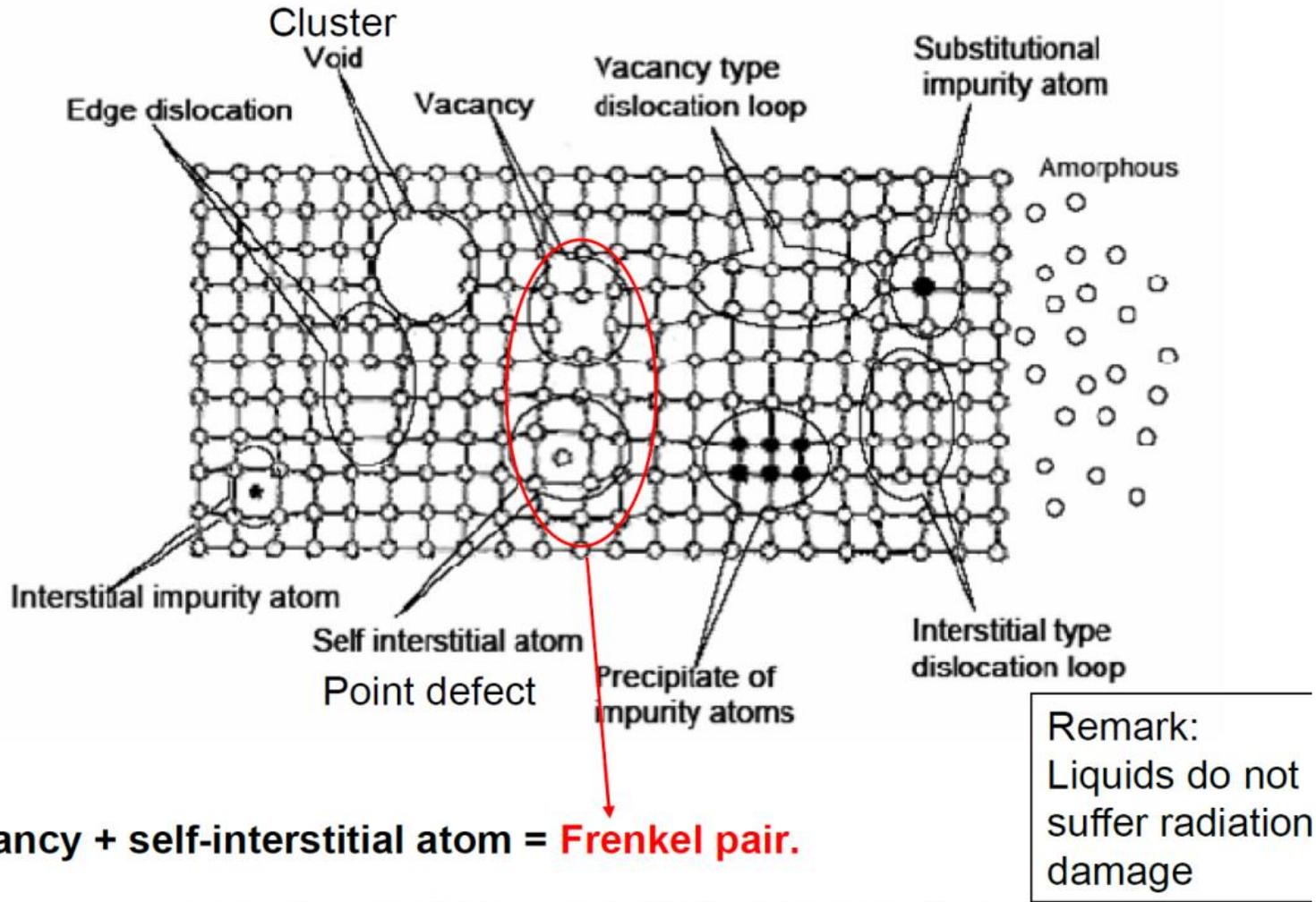
T. Seidl et al, HB 2010

Rough estimation of maximal dose

Material	Dose [Gy]
Teflon (PTEE)	10^3
Mylar	$5 \cdot 10^4$
Cable insulation	$5 \cdot 10^4$
Magnet coil insul.	10^6
Kapton (Polyamide)	10^7



Microscopic Damage of structural Materials



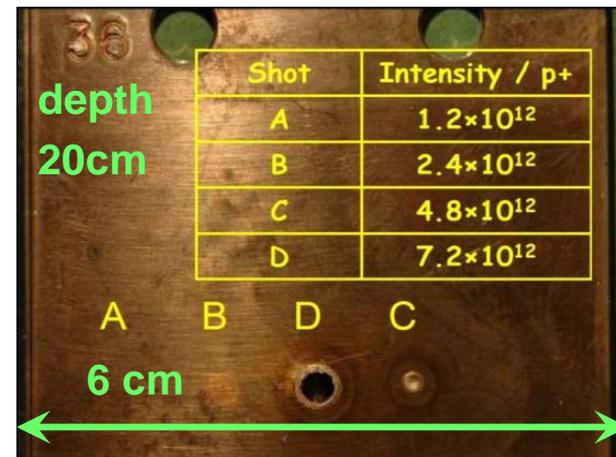
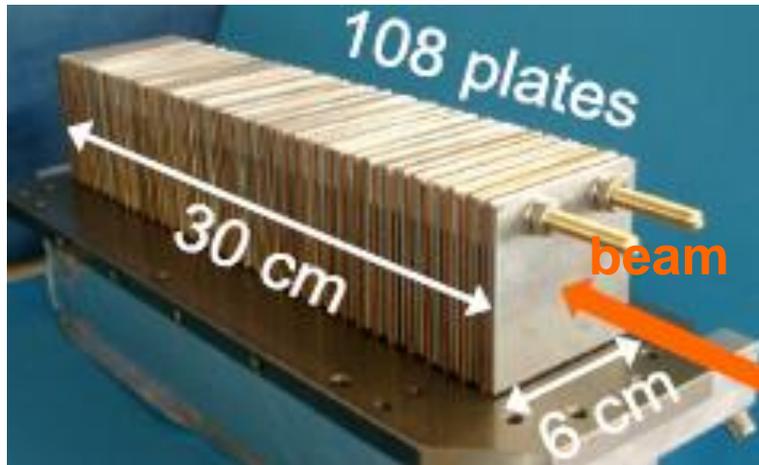
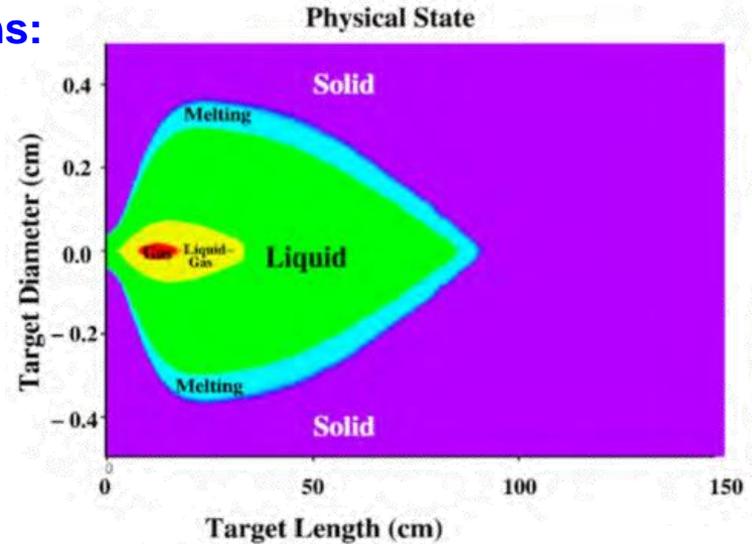
Energy Loss and Heating: Experiment

Verification of material interaction by 450 GeV protons:

Destruction of material due to temperature rise

- Melting, sublimation plasma formation
- Mechanical stress
- ⇒ Verification of simulation
- ⇒ **Finding proper dump material**

Experiment with 450 GeV protons:



V. Kain et al., PAC'05, 1607 (2005)

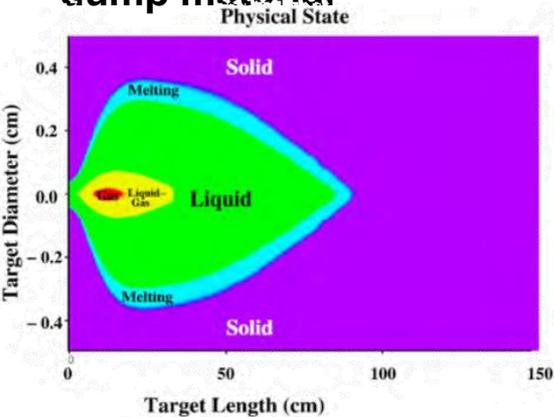
Energy Loss and Heating: Experiment

Verification of material interaction by 440 GeV protons:

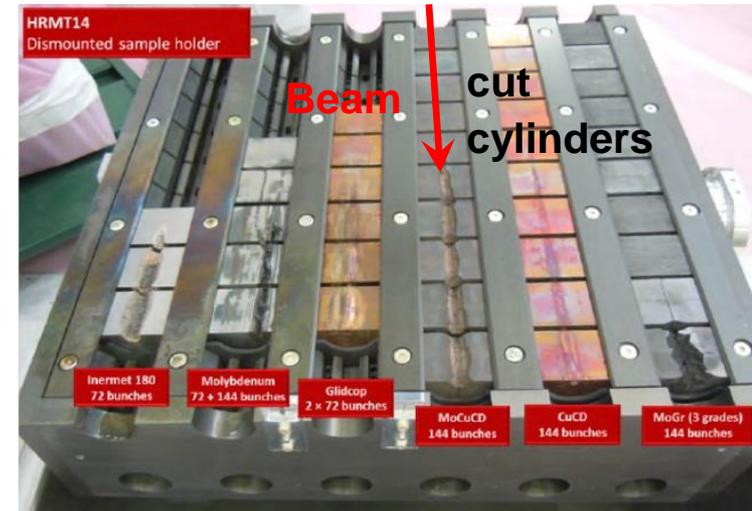
Destruction of material due to temperature rise

- melting, sublimation plasma formation
 - mechanical stress
- ⇒ verification of simulation

⇒ finding proper dump material



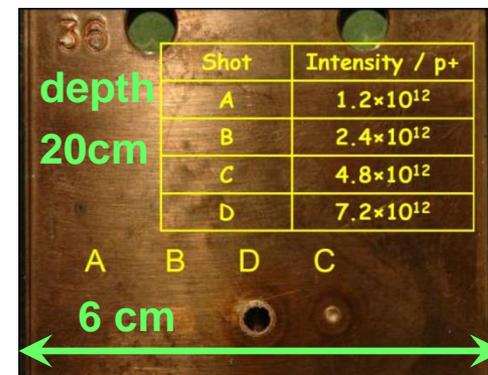
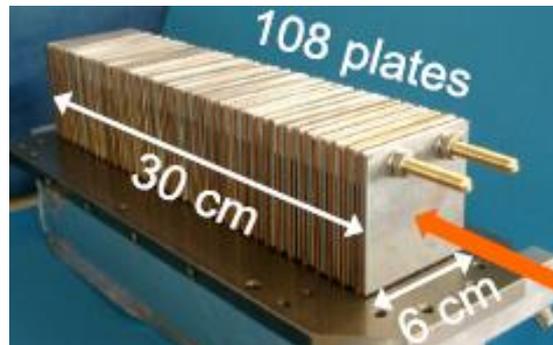
Beam: 440 GeV $\approx 10^{13}$ protons,
 $\sigma_x = \sigma_y \approx 2$ mm within $t = 50$ μ s
 $\Rightarrow E_{tot} \approx 1$ MJ



A. Bertarelli, JAS CERN-2016-002.

Experiment with 450 GeV protons:

V. Kain et al., PAC'05, 1607 (2005)

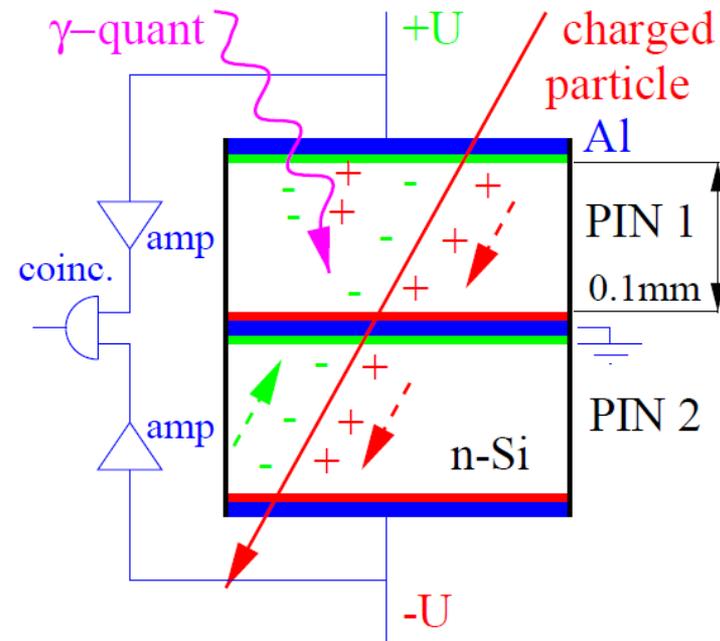
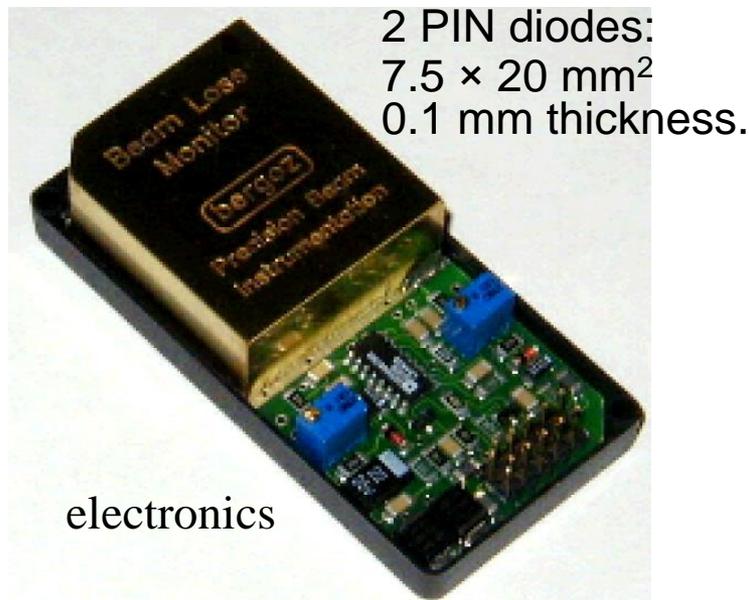


PIN-Diode (Solid State Detector) as BLM

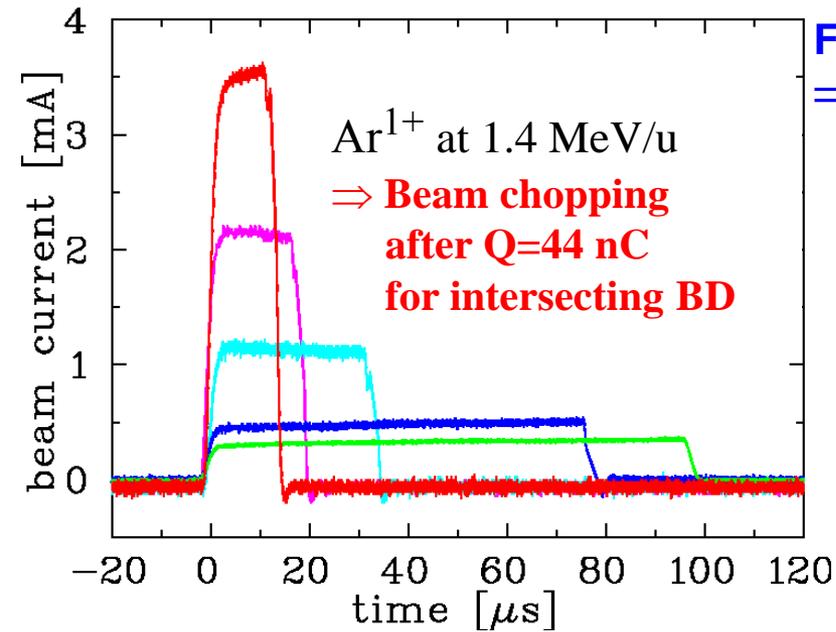
Solid-state detector: Detection of charged particles.

Working principle

- About 10^4 e^- -hole pairs are created by a Minimum Ionizing Particle (MIP).
 - A coincidence of the two PIN reduces the background due to low energy photons.
 - A counting module is used with threshold value comparator for alarming.
- **small and cheap detector.**



Dynamic Machine Protection by Transmission Measurement

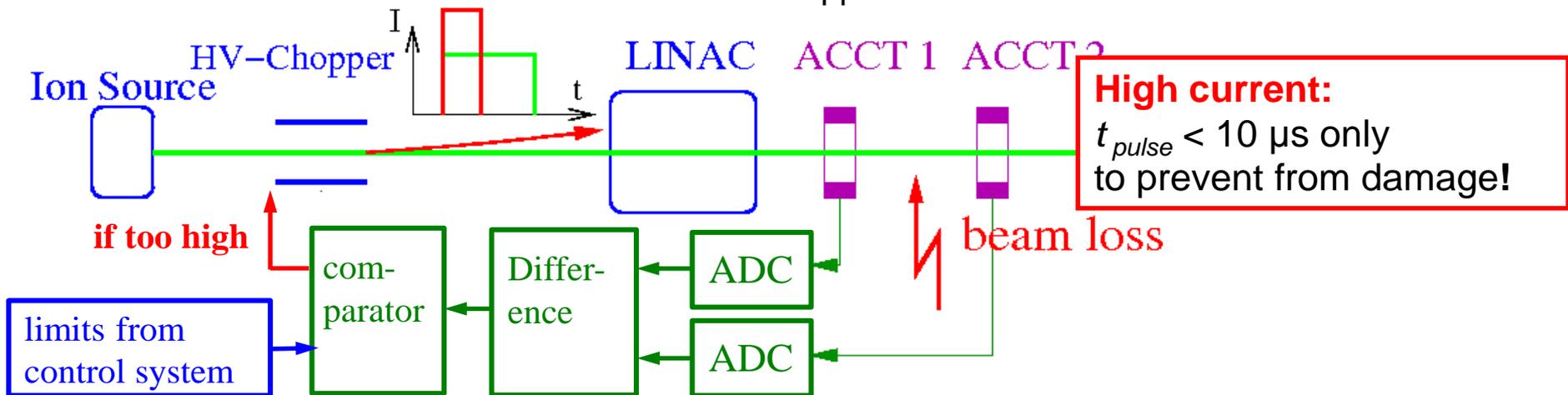


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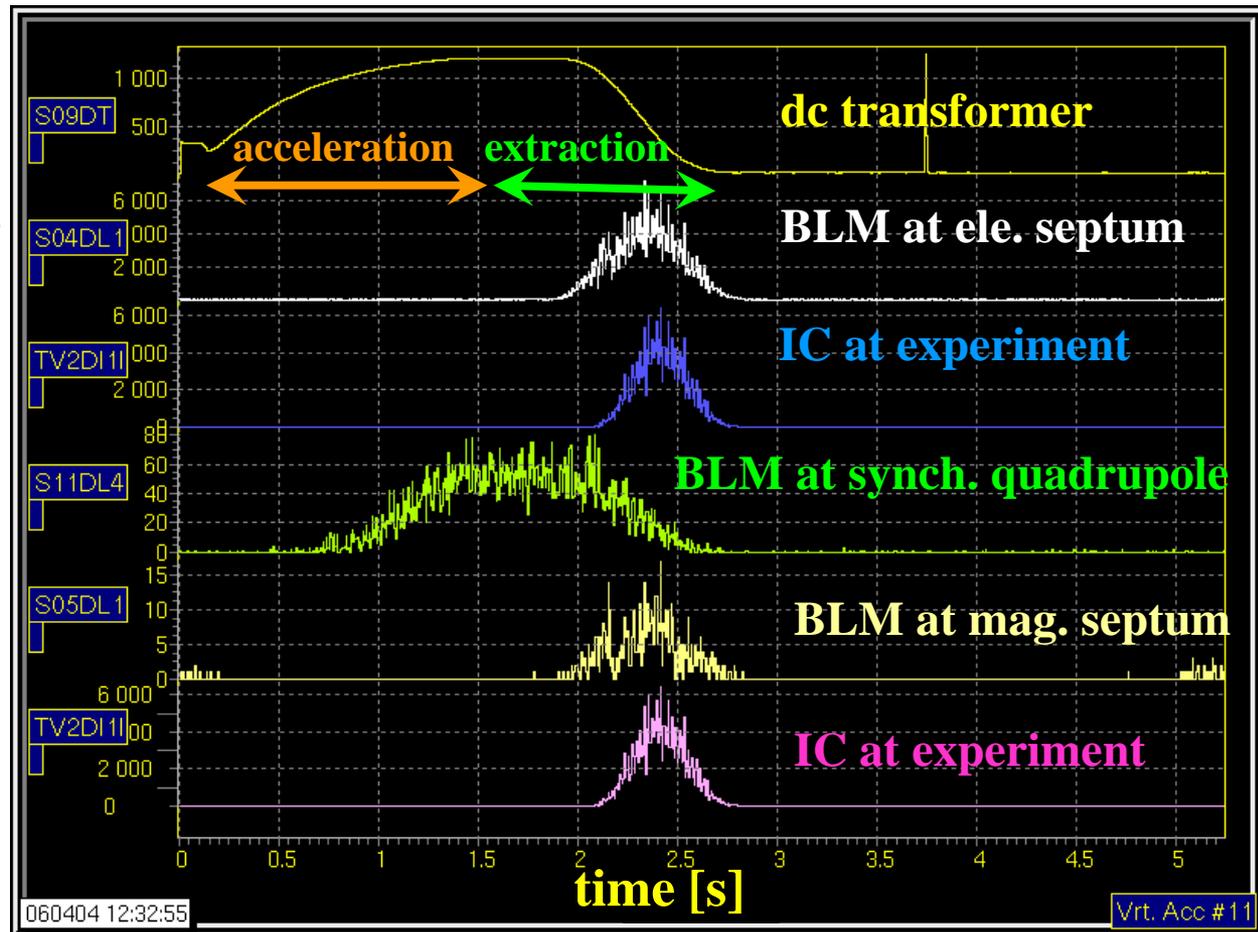
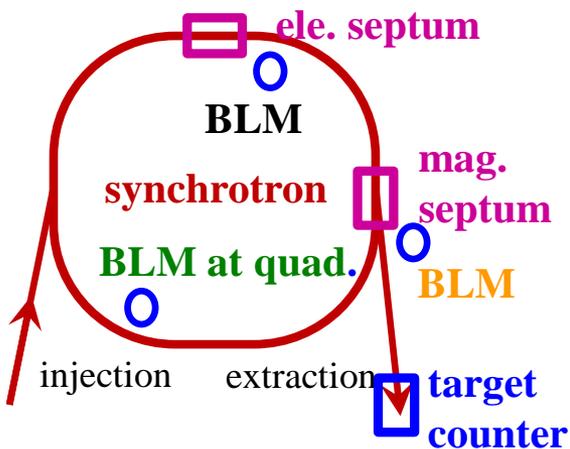
H. Reeg (GSI) et al., Proc. EPAC'06

Application of BLMs for slow Extraction

BLM can be installed at several locations and determine local, regular losses:

Example at SIS synchr. using quadrupole variation for slow extraction cycle time 3s:

- Losses during acceleration
 - Losses at ele. septum
 - Momentum dependent extraction current
 - ⇒ change of extraction angle
 - ⇔ time-dependent losses at mag. septum
- ⇒ used for optimization of time-dep. extraction angle

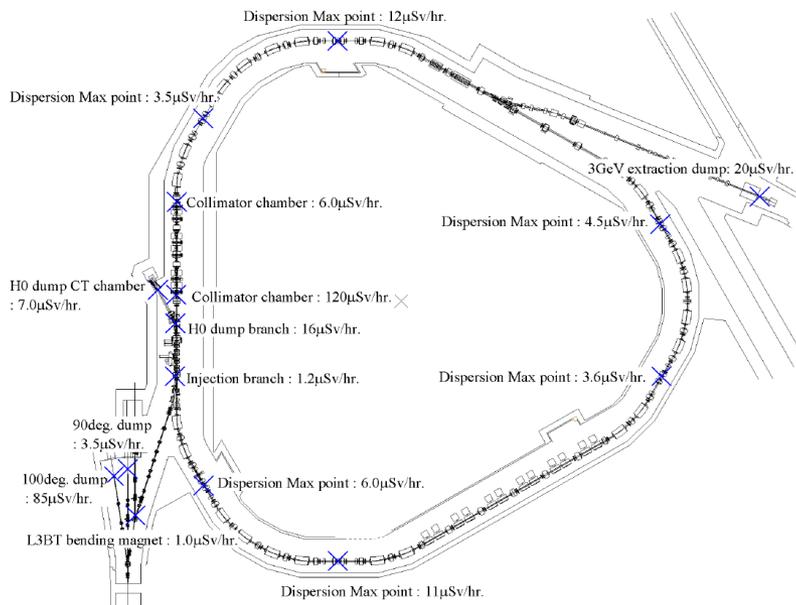


Collimator system for loss concentration:

Fermilab Main Injector
(normal conducting synchrotron)

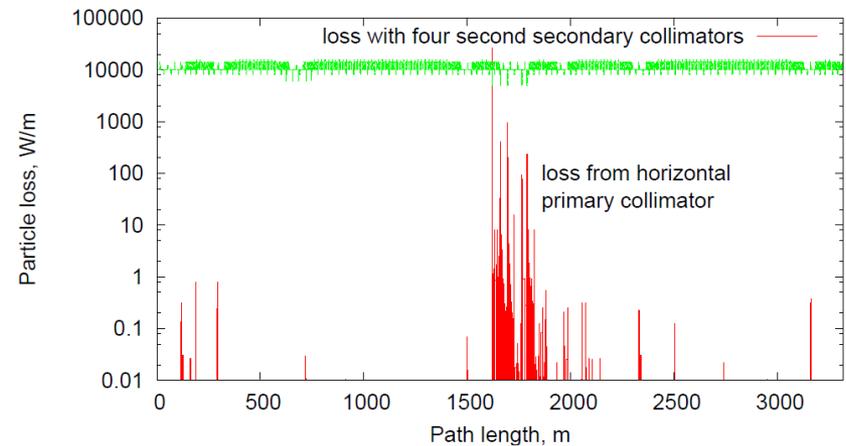
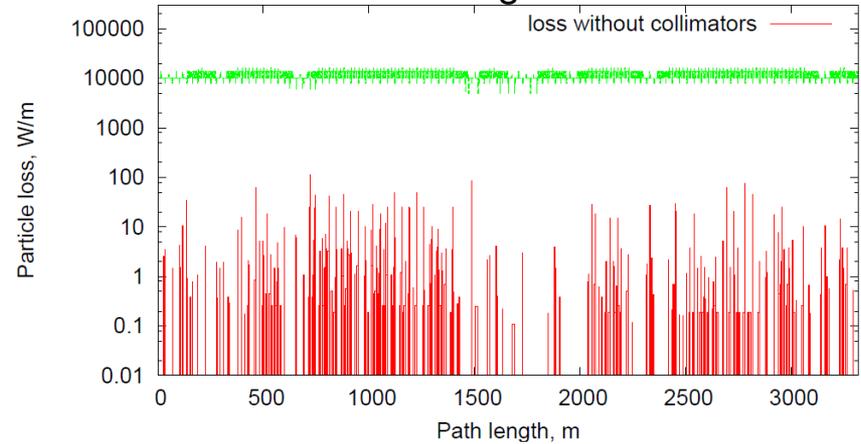
Residual activation at J-PARC RCS

Beam Stop 25th Feb., 2008 at 3:55
Measurement 25th Feb., 2008 at 13:30



K. Yamamoto et al., EPAC 2008, p.382

Particle tracking simulations



B.C. Brown, HB 2008, p.312

Collimation at LINACs

Halo development caused by

- higher order magnet fields (e.g. aberration)
- transverse mis-match
- off-momentum particles due to wrong focusing
- space charge forces

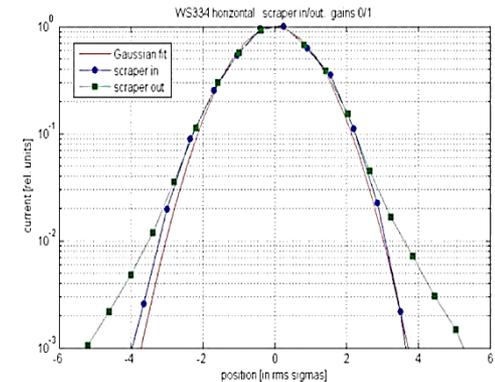
Goal: Halo cutting at low energy to prevent for activation

Collimators:

Cut the beam tail in space

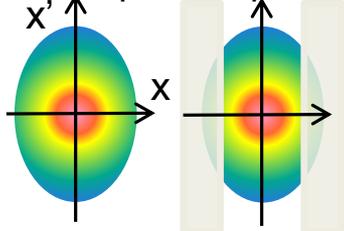
$\mu = 90^\circ$ or $\mu = 45^\circ$ betatron phase to cut angle
 \Rightarrow at least two locations required

Example: SNS LINAC
 Scrapping at 3 MeV
 profile measurement at 40 MeV
 M. Plum, CERN-2016-002

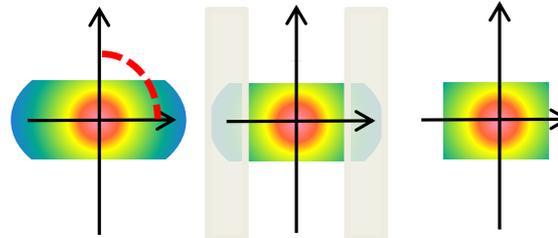


i.e. not completely cut...

horizontal phase space

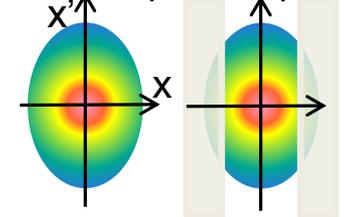


Betatron phase
 $\mu = 90^\circ$



beam path s

horizontal phase space



$\mu = 45^\circ$

$\mu = 45^\circ$

$\mu = 45^\circ$

$\mu = 45^\circ$

beam path s