

## Bibliography

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### collider

- at high energy to probe smaller scales or to produce heavier particles
  - lighter particles were studied in older machines - "to boldly go where no man has gone before"
  - some events only possible at higher energies
  - collider as last stage of the accelerator chain
  - e.g. at CERN: Linac+PSB+PS+SPS+LHC
- particle colliders use two beams
  - higher available energy by colliding two beams  $(-\underline{p}_1 = \underline{p}_2, E_1 = E_2 = E+m_0)$
  - than using a fixed target (p<sub>2</sub>=0, E<sub>2</sub>=m<sub>0</sub>)
     see W. Herr, "Kinematics of Particle Beams I Relativity"
- need many interactions to explore and prove rare events
  - · luminosity measures the number of events for the experiments
- $\rightarrow$  figures of merit of a collider: energy E<sub>cm</sub> and luminosity L



$$E_{cm} = \sqrt{\left(E_1 + E_2\right)^2 - \left(\vec{p}_1 + \vec{p}_2\right)^2}$$

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## e.g.: the Large Hadron Collider

- · main example in this lecture
- · choice of beam particle:
  - · for a discovery machine, need hadrons
  - · use proton-proton to have many events
- → same particles to counter-rotate: need two rings
  - 2-in-1 magnet design



#### LHC layout

- 8 arcs and 8 straight sections (SS)
  - 4 SS for machine equipment
  - 4 SS for experiments
    Alice, ATLAS, CMS, LHCb
- common vacuum chamber in 4 interaction points only
- note: also single ring colliders exist
  - e.g. Sp<u>p</u>S, LEP, Tevatron







### outline

- (motivation)
- luminosity
  - · definition and derivation from machine parameters
  - head-on and offset collisions
  - reduction factors
    - · crossing angles and crab cavities, hourglass
  - lifetime, contributions
  - · luminosity scans and luminosity levelling
- integrated luminosity and ideal run time
- · measurements and optimizations
  - vdM scans, high beta runs
- linear colliders

#### no fixed target

no coasting beams



## definition: cross section

- process: a particle encounters a target
  - e.g. another beam
  - the encounter produces a certain final state composed of various particles (with a certain probability)



- $\textit{cross-section} \; \sigma_{\text{ev}}$  expresses the likelihood of the process
  - +  $\sigma_{\text{ev}}$  represents the "area" over which the process occurs
  - units: [m<sup>2</sup>]
    - in nuclear and high energy physics: 1 barn (1 b = 10<sup>-24</sup> cm<sup>2</sup>)

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# definition: Luminosity (L)

$$R = \frac{dN_{ev}}{dt} = L(t)\sigma_{ev}$$

- luminosity L relates cross-section  $\sigma$  and event rate R = dN\_{ev}/dt at time t:
  - quantifies performance ("brilliance") of collider
    - relativistic invariant and independent of physical reaction
- $N_{ev} = \sigma_{ev} \int L(t) dt$
- accelerator operation aims at maximizing the total number of events  $N_{\rm ev}$  for the experiments
  - $\sigma_{\rm ev}$  is fixed by Nature
  - aim at maximizing ∫L(t)dt
- units : [m<sup>-2</sup> s<sup>-1</sup>]
   JLdt is frequently expressed in pb<sup>-1</sup> = 10<sup>36</sup> cm<sup>-2</sup> or fb<sup>-1</sup> = 10<sup>39</sup> cm<sup>-2</sup>
- e.g.: from LHC run 1, ATLAS+CMS got 1400 Higgs events in total
  - in ~30 fb<sup>-1</sup> each: 6.1 fb<sup>-1</sup> in 2011, 23.3 fb<sup>-1</sup> in 2012

LHC
N <sub>ev</sub> = 5
$\sigma_{\rm ev}$ = 0.5 fb = 0.5 10 <sup>-39</sup> cm <sup>2</sup>
$\int L(t) dt = 10 \text{ fb}^{-1}$



## circular colliders

Machine	Years in operation	Beam type	Beam energy [GeV]	Luminosity [cm <sup>-2</sup> s <sup>-1</sup> ]
ISR	1971-'84	рр	31	>2x10 <sup>31</sup>
LEP I	1989-'95	e+ e-	45	3x10 <sup>30</sup>
LEP II	1995-2000	e+ e-	90-104	10 <sup>32</sup>
KEKB	1999-2010	e+ e-	8 x 3.5	2x10 <sup>34</sup>
SppS	1981-'84	p anti-p	315 (400)	6x10 <sup>30</sup>
TEVATRON	1983-2011	p anti-p	980	2x10 <sup>32</sup>
LHC	2008-?	pp(Pb)	7000	10 <sup>34</sup>
HL-LHC	~2026-2037	p	7000	5x10 <sup>34</sup>
FCC-hh	2040+	pp(Pb)	50000	2-3x10 <sup>35</sup>
FCC-ee	2040+	e+ e-	45-175	~10 <sup>36</sup>

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## L from machine parameters -1-

· intuitively: more L if there are more protons and more tightly packed

$$L \propto N_{b1} N_{b2} \Omega_{x,y}$$

 $N_{b1}\rho_1(x,y,z,-z_0)$ 



 $L \propto N_{b1}N_{b2}K \int \rho_1(x, y, z, -z_0)\rho_2(x, y, z, z_0) dx dy dz dz_0$  $x, y, z, z_0$ 

- K = 2 c: kinematic factor (see W. Herr, "Kinematics of Particle Beams I Relativity")
- N<sub>b1</sub>, N<sub>b2</sub>: bunch population
- $\rho_{1,2}$ : density distribution of the particles (normalized to 1)
- x,y: transverse coordinates
- z: longitudinal coordinate
- $z_0$ : "time variable",  $s_0 = c t$
- $\Omega_{x,y}$ : overlap integral



## L from machine parameters -2-

- for a circular machine can reuse the beams f times per second (storage ring)
- for n<sub>b</sub> colliding bunch pairs per beam
- for uncorrelated densities in all planes:  $\rho(x, y, z, t) = \rho_x(x)\rho_y(y)\rho_z(z vt)$

$$L = 2f n_b N_{b1} N_{b2} \int_{x,y,z,z_0} \rho_{1x}(x) \rho_{1y}(y) \rho_{1z}(z-z_0) \rho_{2x}(x) \rho_{2y}(y) \rho_{2z}(z+z_0) dx dy dz dz_0$$

• for Gaussian bunches:  $\rho_u(u) = \frac{1}{\sigma_u \sqrt{2\pi}} \exp\left\{-\frac{(u-u_0)^2}{2\sigma_u^2}\right\};$ 

$$\int_{-\infty}^{+\infty} e^{-at^2} = \sqrt{\frac{\pi}{a}}$$

- for equal beams in x or y:  $\sigma_{1x} = \sigma_{2x}$ ,  $\sigma_{1y} = \sigma_{2y}$
- can derive a closed expression:  $L = \frac{n_b N_{b1} N_{b2} f}{4\pi \sigma_x \sigma_y}$

- f: revolution frequency
- n<sub>b</sub>: number of colliding bunch pairs at that Interaction Point (IP)
- N<sub>b1</sub>, N<sub>b2</sub>: bunch population
- $\sigma_{x,y}$ : transverse beam size at the collision point

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LHC  $n_{\rm h} = 2808$  $N_{b1}, N_{b2} = 1.15 \ 10^{11} \text{ ppb}$ f = 11.25 kHz  $\sigma_{x}, \sigma_{v} = 16.6 \,\mu m$  $L = 1.2 \ 10^{34} \ cm^{-2} s^{-1}$ 

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## need for small $\beta^*$

- expand physical beam size  $\sigma_{x,y}$ :  $\sigma_x^* = \sigma_y^* = \sqrt{\frac{\beta^* \varepsilon}{\gamma_r}} \rightarrow L = \frac{n_b N_{b1} N_{b2} f \gamma_r}{4\pi \beta^* \varepsilon}$
- try and conserve low ε from injectors explicit dependence on energy (γ<sub>r</sub>)
- intensity N<sub>b</sub> pays more than ε and β\*
- design low β\* insertions
  - · limits by triplet aperture, protection by collimators
  - in LHC nominal cycle: "squeeze"



L	∟НС
ſ	3* = 18 <b>→</b> 0.55 m
ε	ε = 3.75 μm
γ	v <sub>r</sub> = 7463
C	σ <sub>x,y</sub> = 16.6 μm



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## reduction factors (F)

transverse offsets crossing angles and crab cavities hourglass effect



### transverse offsets -2-

- more general expression including different beam sizes:
  - $\sigma_{1x} \neq \sigma_{2x}, \sigma_{1y} \neq \sigma_{2y}$

$$L = \frac{n_b N_{b1} N_{b2} f}{2\pi \sqrt{(\sigma_{x,1}^2 + \sigma_{x,2}^2)(\sigma_{y,1}^2 + \sigma_{y,2}^2)}} \exp\left\{-\frac{(\Delta x)^2}{2(\sigma_{x,1}^2 + \sigma_{x,2}^2)} - \frac{(\Delta y)^2}{2(\sigma_{y,1}^2 + \sigma_{y,2}^2)}\right\}$$



### crossing angles -2-

- for very small  $\beta^*$ , need big crossing angle: big reduction in L • e.g. for LHC upgrade (HL-LHC):  $\beta^*$  = 15 cm,  $\phi$  = 590 µrad, F ~ 0.35
- · "crab crossing" scheme being considered



- use fast RF cavities for bunch rotation (transverse deflection)
  - used at KEKB, but with leptons and "global" scheme
  - · at LHC, need "local" scheme due to collimators, need compact cavities
    - feasibility to be demonstrated, studies on-going



### beam-beam force

$$F \propto \frac{N_b}{\sigma} \frac{1}{r} \left[ 1 - e^{\frac{-r^2}{2\sigma^2}} \right]$$

- important for high brilliance beams
  i.e. high luminosity ...
- gives an amplitude dependent tune shift
  - for small amplitude, linear tune shift
- the slope of the force at zero amplitude is called the *beam-beam parameter*

$$F \propto -\xi r$$
 with  $\xi = \frac{\beta^*}{4\pi} \frac{\partial (\Delta r')}{\partial r} = \frac{N_b r_0 \beta^*}{4\pi \gamma_r \sigma^2}$ 

- · indicates the strength of the beam-beam force
  - but does not describe changes to the optical functions, non-linear part...  $\Delta Q_{bb} \propto \pm \xi$



for the derivation, offer Werner a beer tonight!

LHC
σ <sub>x,y</sub> = 16.6 μm
β = 0.55 m
N = 1.15 × 10 <sup>11</sup> ppb
ξ = 0.0037

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# LHC parameters

Parameter	Nominal	2010	2011	2012	2015	2016
beam energy [TeV]	7.0	3.5	3.5	4.0	6.5	6.5
bunch spacing [ns]	25	150	75 / 50	50	25	25
n <sub>b</sub> [no. bunches]	2808	348	1331	1368	2232	2208
N <sub>b</sub> [10 <sup>11</sup> p/bunch]	1.15	1.2	1.45	1.65	1.15	1.12
ε [mm mrad]	3.75	2.4	2.4	2.5	3.5	2.0
β* <b>[m]</b>	0.55	3.5	1.5 → 1	0.60	0.80	0.40
half crossing angle [µrad]	142.5	100	120	145	145	185 → 140
L reduction factor	0.84	0.98	0.95/0.92	0.80	0.83	0.59
L [cm <sup>-2</sup> s <sup>-1</sup> ]	10 <sup>34</sup>	2×10 <sup>32</sup>	3.6×10 <sup>33</sup>	7.6×10 <sup>33</sup>	5.4×10 <sup>33</sup>	1.3/1.5×10 <sup>34</sup>
bb parameter	0.0037	0.0060	0.0072	0.0079	0.0039	0.0067



## L evolution during a fill

natural decay, components luminosity levelling

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### diversion: what is a fill?

energy	450 GeV		7 TeV 3.2 10 <sup>14</sup> p	•	<ul> <li>fill: a complete ma</li> <li>includes all phas to luminosity pro</li> <li>customarily: star</li> <li>also called "lumin need time to prepa producing luminos</li> <li>ramp-down, injer squeeze</li> <li>efficiency is not</li> </ul>	chine c es need duction ts at dum nosity run are befo ity! ct, ramp,	ycle ed to get np n" ore ven with
beam 1 beam 2			10 <sup>34</sup> cm <sup>-2</sup> s <sup>-1</sup>		100% availability	2012 prep inj	<b>typ. time</b> >50 min. ~60 min.
preparation	injection	ramp	squeeze collide	ł		ramp squ.	~15 min. ~20 min.



## L natural decay during a fill

 $L = \frac{n_b N_{b1} N_{b2} f \gamma_r}{4\pi \beta^* \varepsilon} F$ 

- not changing during the fill:
  - γ<sub>r</sub> (set by magnetic field in bends)
  - f (set by beam energy and tunnel length)
  - n<sub>b</sub> (set at injection)
  - β<sup>\*</sup> (set up during beam commissioning, compromise between aperture, collimator settings, tolerances)
  - with a couple of exceptions...

#### changing during a fill (and naming only a few causes):

- ε increases or decreases
  - Intra Beam Scattering
  - noise in power converters
  - synchrotron radiation
- N<sub>b1</sub>, N<sub>b2</sub> decrease
  - · luminosity burn-off (i.e. particle loss from collisions)
  - scattering on residual gas
- F changes
  - · imperfect overlap from orbit drifts, can be corrected by orbit corrections

LHC
$\tau_{IBS,x}$ ~ 105 h
$\tau_{\text{IBS,s}}$ ~ 63h
τ <sub>Β.Ο.</sub> ~ 45 h
τ <sub>σэs</sub> > 100 h

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## max peak L is not all...

- · experiments might need luminosity control
  - · if too high can cause high voltage trips then impact efficiency
  - · might have event size or bandwidth limitations in read-out
  - · too many simultaneous event cause loss of resolution
- · ...experiments also care about:
  - time structure of the interactions: pile up μ
    - average number of inelastic interactions per bunch crossing

$$\langle R \rangle = \left\langle \frac{dN_{ev}}{dt} \right\rangle = \mu f$$
 design 2010 2011 2012 2015 2016 HL-  
 $\mu$  21 4 17 37 17 41 140

- spatial distribution of the interactions: *pile-up density*e.g. HL-LHC: accept max pile up density of 1.3 events/mm
- quality of the interactions (e.g. background)
- size of luminous region
  - e.g. need constant length (input to MonteCarlo simulations)



# L levelling

- · some experiments need to limit the pile-up
  - · thus luminosity per bunch pair
    - e.g.  $\mu$  < 2.1 at LHCb in 2012
- stay as long as possible at the maximum value that experiment can manage
  - which is lower than what the machine could provide
- maintain the luminosity constant over a period of time (i.e. the fill)
- · possible techniques:
  - · by transversely offsetting the beams at the IP
  - by changing β\*
  - by decreasing the crossing angle
  - by bunch length variations
  - by partial crabbing

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## L levelling by separation



# L levelling with $\beta^*$

- reduce  $\beta^*$  in steps while keeping beams in collisions
- tested successfully at LHC in 2012 Machine Developments
  - · more to do with controls than beam physics



## L levelling by crossing angle

- plot of CMS and ALICE luminosity evolution
  - see also emittance and lumi optimization scans in CMS
  - ALICE (and LHCb) luminosity remain well inside a ±10% band



## ideal run time -1-

- · so far talked about instantaneous L
- but need integrated luminosity • gives the number of events  $N_{ev} \propto \int L(t) dt$
- gives the number of events  $P_{ev} \propto \int L(t) dt$ • need to account for extra time to prepare a fill  $(t_p)$ 
  - inject, ramp, squeeze, ...
    plus downtime (an accelerator is a very complex system!)
- exercise: assume exponential decay for L:  $L(t) = L_0 e^{-\tau}$
- calculate optimum run time (t<sub>r</sub>) to maximize the average luminosity <L>
- need
  - good peak luminosity L<sub>0</sub>
  - good luminosity lifetime  $\tau$
  - short preparation time
  - "turnaround": jargon for "from dump to stable beams"
  - good machine availability (little downtime, that goes into average preparation time)



- hize the  $\langle L \rangle = \frac{\int_{t_r} L(t) dt}{t_r + t_p}$
- LHC τ ~ 15 h  $t_p ~ 5 h$  $t_r ~ 10 h$

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# ideal run time -2-

- from 2012 LHC data
  - · based on more complicated and accurate model for L decay
  - numerical integration to find optimum  $\boldsymbol{t}_{r}$
- · derive optimum fill length: good agreement with previous simple model







van der Meer scans high beta runs BhaBha scattering

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### L measurements

- relative and absolute L
  - relative: based on an arbitrary scale
    - · good enough to monitor variations • e.g. for optimizing the rates in the control room
  - absolute: mandatory to measure a process cross section reminder:  $N_{ev} = \sigma_{ev} \int L(t) dt$  needs to be calibrated at some point in time
- calibrations
  - from machine parameters
    - not directly from  $\varepsilon_{x,v}$ ,  $\beta^*$ ,  $N_{b1,b2}$ , ... (gives 5-10% precision only)
  - · from optical theorem
  - · from reactions with well known cross sections





### vdM scans

- first done by S. van der Meer at the ISR (1968) in one plane
  generalized to bunched beams by C. Rubbia at SppS
- recall:  $L_b = f N_{b1} N_{b2} \Omega_x \Omega_y$ 
  - · assumes uncorrelated densities in all planes
- key: calculate overlap from ratio of rates
  - by measuring rates for different overlaps and integrating over the whole range
  - can measure rates R in arbitrary units!
- what it takes
  - accurate bunch-by-bunch intensities
  - · dedicated fill: no crossing angle, few bunches

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- scans in x, y to get the overlaps  $\Omega_x$ ,  $\Omega_y$ 
  - need a few steps of  $\delta_v$  for  $\int R_v(\delta_v) d\delta_v$

 $\Omega_{y} = \frac{R_{y}(0)}{\int R_{y}(\delta_{y}) d\delta_{y}}$ 



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#### high beta runs · optical theorem allows to link: total cross section • forward elastic scattering $\sigma_{tot}^2 = \frac{16\pi}{1+\rho^2} \left(\frac{d\sigma_{el}}{dt}\right)_{t=0}$ "forward" means "at small angle" • use high $\beta^*$ optics to get small beam divergence RPS · use Roman Pots: include silicon detectors that can get as Differential elastic cross section close as 1-4 mm to the beam 100 dN/dt UA4/2 +++++ e.g. TOTEM experiment at LHC Fit strong part ----t Coulomb part --use small emittance beams dN/dt can also study the Coulomb region, t → 0 1000 t = squared momentum transfer in particle scattering see W. Herr, "Kinematics of Particle Beams I - Relativity" Coulomb scattering can be computed reliably · don't need to measure the inelastic rate 100 need β\* ~2.5 km at LHC W. Herr e.g. ALFA experiment at ATLAS 20 25 15 t (GeV <sup>2</sup>) 10<sup>-3</sup>

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### from known cross section

- · use reactions with well known cross sections  $L(t) = \frac{R}{\sigma_{ev}}$ dtdN
  - +  $\sigma_{\rm ev}$  can be calculated with high precision

$$\frac{1}{e_v} = \frac{u v_{ev} / u}{\sigma_{ev}}$$

- high event rates for low statistical error
- · background processes identified and/or subtracted
- lepton machines: e<sup>+</sup>e<sup>-</sup> elastic scattering (Bhabha scattering)

$$e^+e^- \rightarrow e^+e^-$$

- have to go to small angles ( $\sigma_{ev} \propto \Theta^{-3}$ )

$$\sigma_{ev} = k \left( \frac{1}{\theta_{\min}^2} - \frac{1}{\theta_{\max}^2} \right)$$

• small rates at high energy ( $\sigma_{ev} \propto 1/E^2$ )



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## linear colliders

disruption, pinch effect enhancement factor beamstrahlung



### linear colliders

- e.g.:
  - SLC at SLAC, operated in the 90's
  - being designed: CLIC and ILC
- with electron-positron collisions (e+e-)
- · linear: particles collide only once
  - from "revolution" to "repetition" frequency (f<sub>rep</sub>)
    - e.g. 120 Hz at SLC, 5 Hz at ILC, 50 Hz at CLIC
  - · thus need bright, intense beams to reach high luminosity
- intense beams cause intense electromagnetic fields affecting the particles in the opposing beam
  - disruption effects
  - beamstrahlung effects

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# disruption effects -1-

- · strong field by one beam bends the opposing particle trajectories
- quantified by disruption parameter  $D_{x,y} = \frac{2r_e N_b \sigma_z}{\gamma_r \sigma_{x,y} (\sigma_x + \sigma_y)}$
- nominal beam size is reduced by the disruptive field (*pinch effect*)
   additional focusing for the opposing beam
  - additional focusing for the opposing beam







## disruption effects -2-

- define an "enhancement factor"  $H_D$ :  $H_D = \frac{\sigma_x \sigma_y}{\overline{\sigma}_x \overline{\sigma}_y}$
- · so luminosity can be re-written:

$$L = \frac{N_{b1}N_{b2}n_b f_{rep}}{4\pi\bar{\sigma}_x\bar{\sigma}_y} \rightarrow L = \frac{H_D N_{b1}N_{b2}n_b f_{rep}}{4\pi\sigma_x\sigma_y}$$

• for round beams  $(D_x=D_y)$  and weak disruption (D<<1):

$$H_D = 1 + \frac{2}{3\sqrt{\pi D}} + O(D^2)$$

- beyond D<<1, need simulations</li>
- D: disruption parameter
   σ<sub>x,y</sub> [ σ<sub>x,y</sub> ]: transverse beam size at the collision point [resp.: effective beam size]

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## beamstrahlung

- disruption at the interaction point is a strong bending:
- results in synchrotron radiation (beamstrahlung)
  - causes spread of centre-of-mass energy
  - high energy photons increase detector background
- quantified by beamstrahlung parameter Y

$$Y = \gamma_r \frac{\left\langle E + B \right\rangle}{B_C} \approx \frac{5}{6} \frac{r_e^2 \gamma_r N_b}{\alpha \sigma_z \left( \sigma_x + \sigma_y \right)}$$

• with  $B_C = \frac{m^2 c^3}{e\hbar} \approx 4.4 \cdot 10^{13} \text{Gauss}$ 



wrap-up

bunch spacing filling schemes

turnaround time preparation time

crossing angle hourglass effect offset collisions

luminosity scans

collider rates, events

 $L = \frac{n_b N_{b1} N_{b2} f \gamma_r}{4\pi \beta^* \varepsilon} F$ 

beamstrahlung disruption pinch effect

squeeze levelling by β\* levelling by offset

van der Meer scans high beta runs

cross section pile-up 30 fb<sup>-1</sup>, 700 Higgs events



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