

# Injection and extraction

- Introductory slides:
  - Kickers, septa and normalised phase-space
- Injection methods
  - Single-turn hadron injection
  - Injection errors, filamentation and blow-up
  - Multi-turn hadron injection
  - Charge-exchange H- injection
  - Lepton injection
- Extraction methods
  - Single-turn (fast) extraction
  - Non-resonant and resonant multi-turn (fast) extraction
  - Resonant multi-turn (slow) extraction

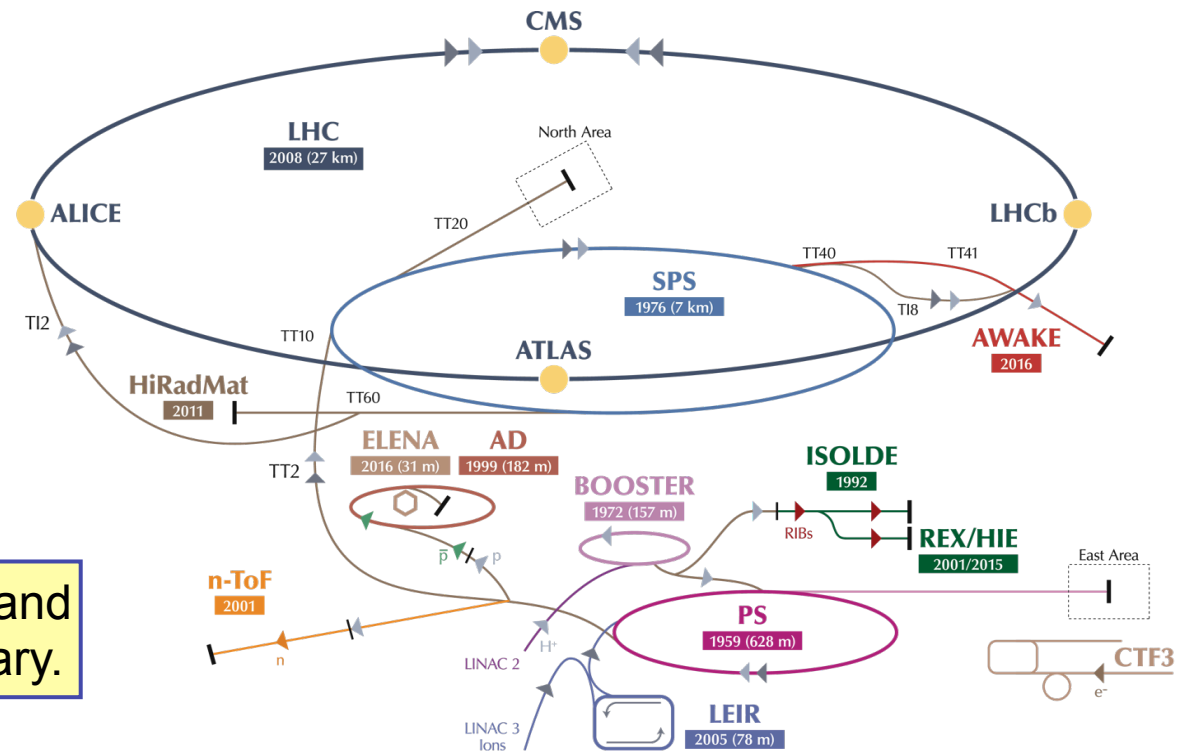
Matthew Fraser, CERN (TE-ABT-BTP)  
based on lectures by Brennan Goddard

# Injection and extraction

- An accelerator has limited dynamic range
- Chain of stages needed to reach high energy
- Periodic re-filling of storage rings, like LHC
- External facilities and experiments:
  - e.g. ISOLDE, HIRADMAT, AWAKE...

Beam transfer (into, out of, and between machines) is necessary.

## CERN Accelerator Complex



p (protons)  
 ions  
 RIBs (Radioactive Ion Beams)  
 n (neutrons)  
 $\bar{p}$  (antiprotons)  
 $e^-$  (electrons)  
 proton/antiproton conversion  
 proton/RIB conversion

LHC Large Hadron Collider   SPS Super Proton Synchrotron   PS Proton Synchrotron   AD Antiproton Decelerator   CTF3 Clic Test Facility

AWAKE Advanced WAKEfield Experiment   ISOLDE Isotope Separator OnLine   REX/HIE Radioactive EXperiment/High Intensity and Energy ISOLDE

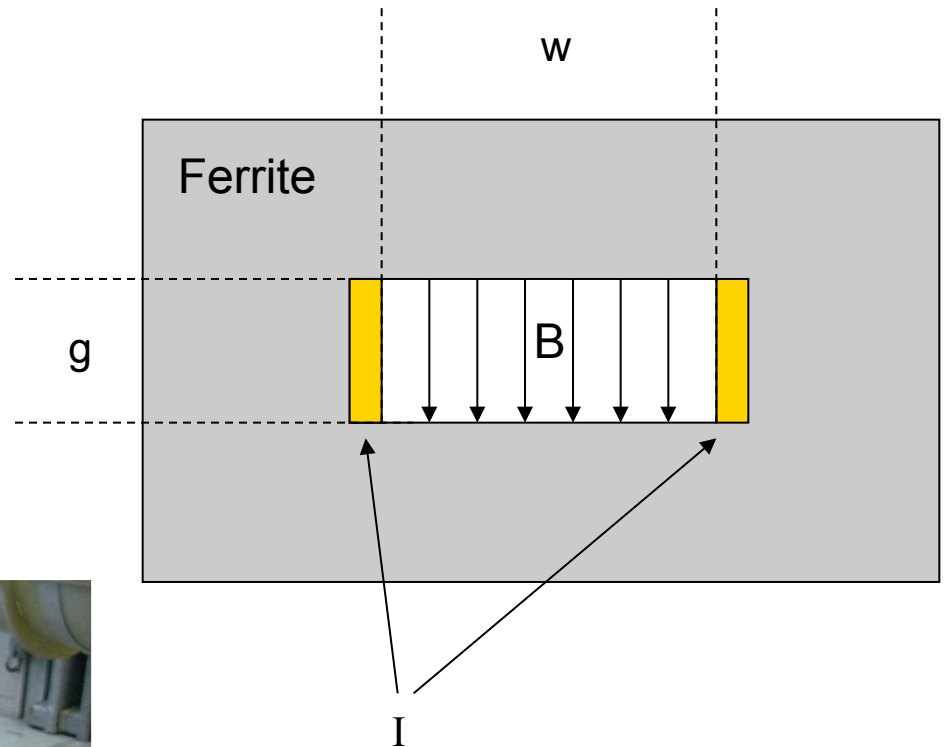
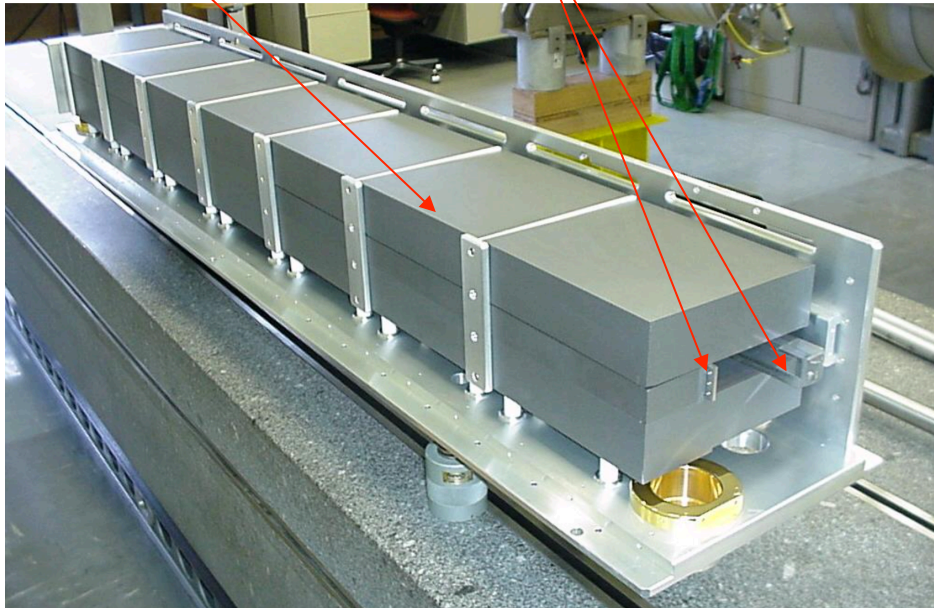
LEIR Low Energy Ion Ring   LINAC LINear ACcelerator   n-ToF Neutrons Time Of Flight   HiRadMat High-Radiation to Materials

# Kicker magnet

Pulsed magnet with very fast rise time  
(100 ns – few  $\mu$ s)

Ferrite

Conductors



$$B = \mu_0 I / g$$

$$L \text{ [per unit length]} = \mu_0 w / g$$

$$dI/dt = V / L$$

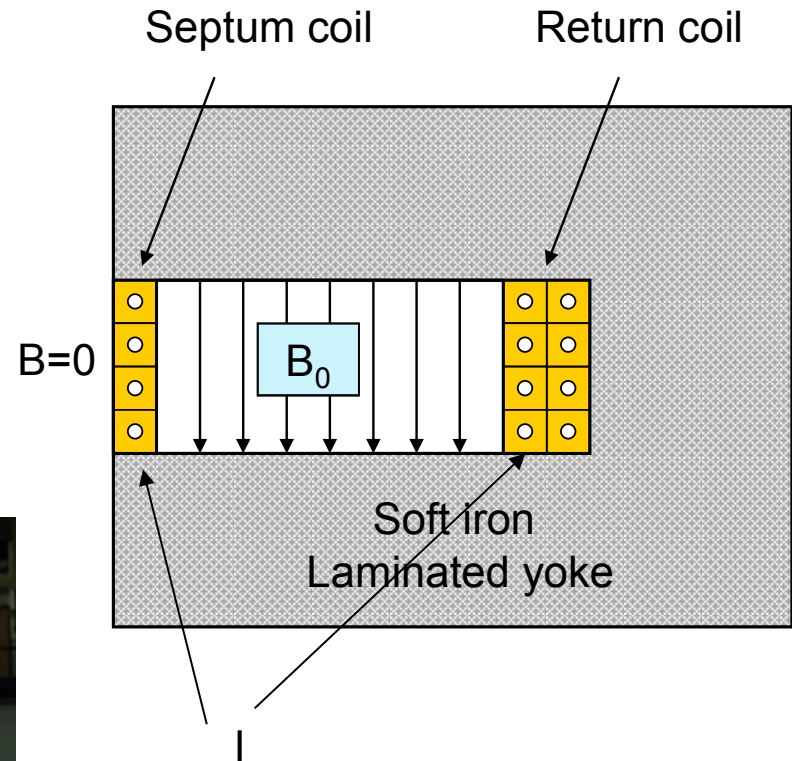
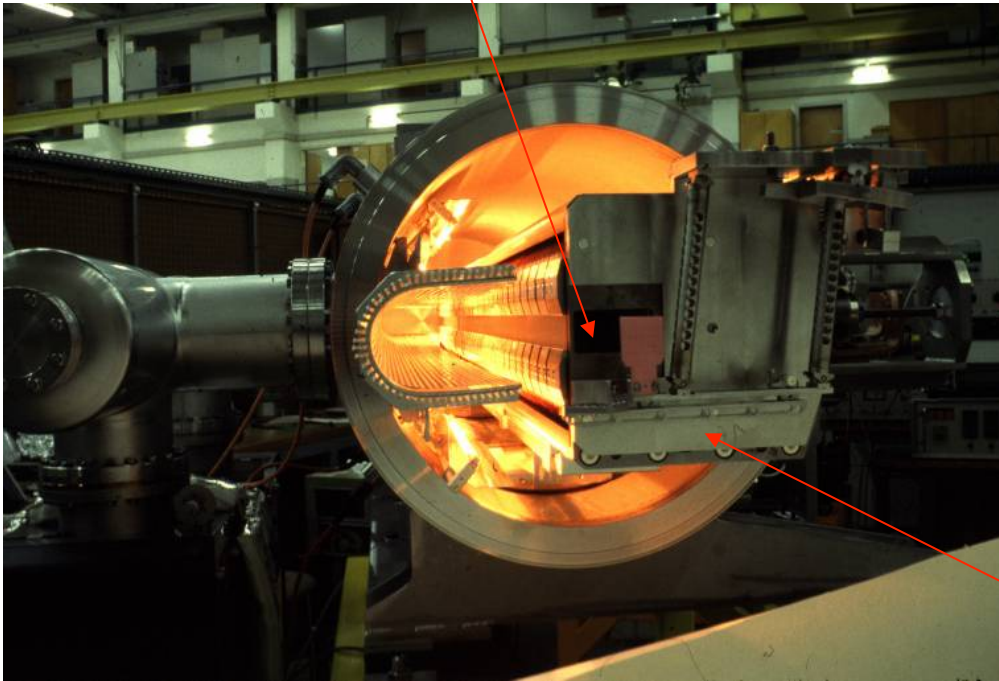
Typically 3 kA in 1  $\mu$ s rise time

# Magnetic septum

Pulsed or DC magnet with thin (2 – 20 mm) septum between zero field and high field region

Typically ~10x more deflection given by magnetic septa, compared to kickers

Septum coil



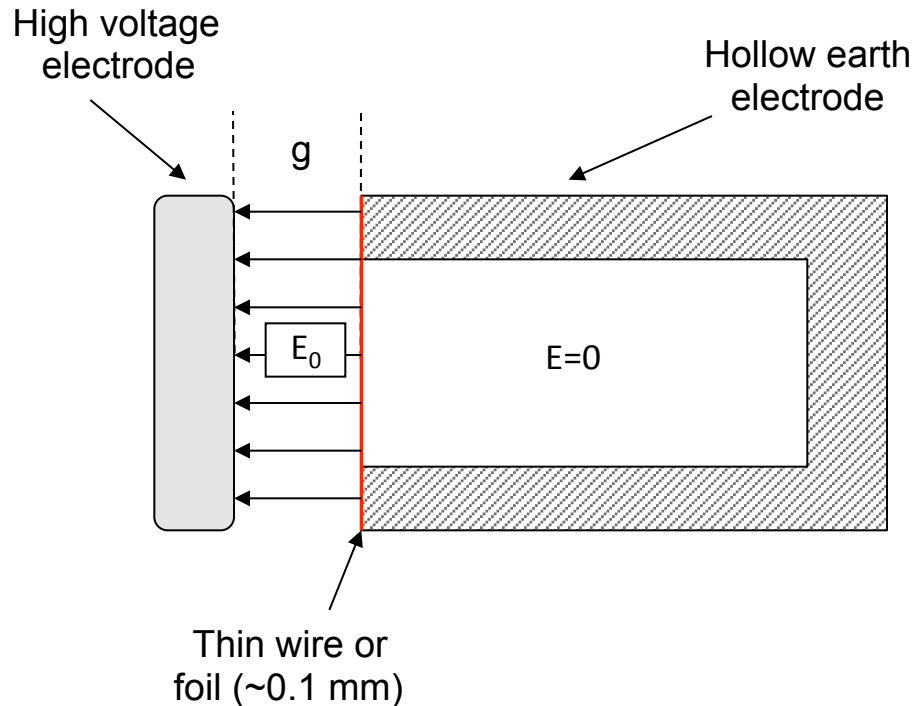
$$B_0 = \mu_0 I / g$$

Typically  $I$  5 - 25 kA

Yoke

# Electrostatic septum

DC electrostatic device with very thin septum between zero field and high field region

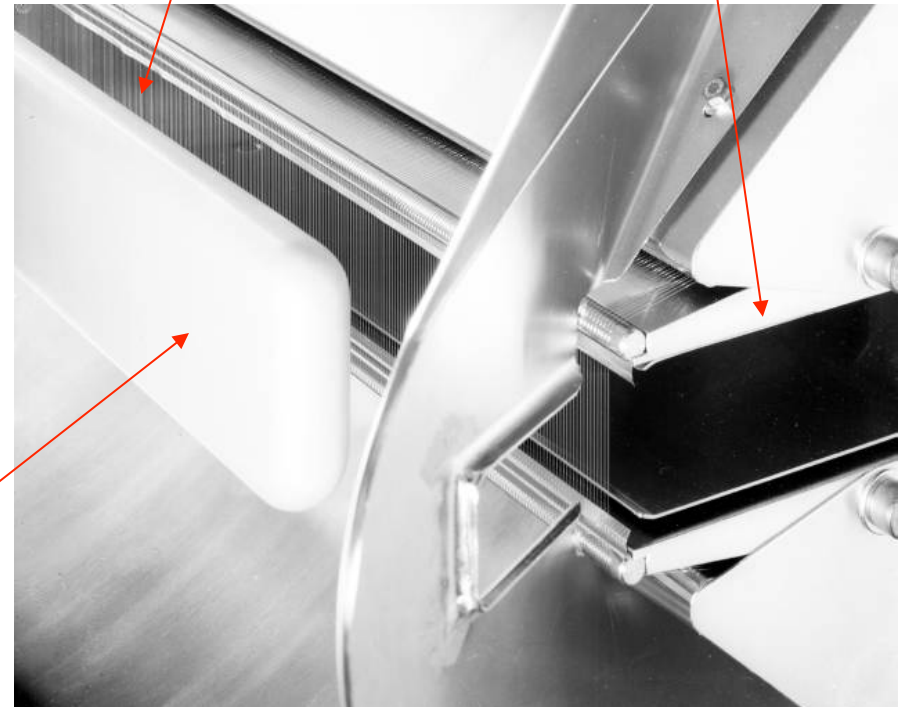


$$E = V / g$$

Typically  $V = 200 \text{ kV}$   
 $E = 100 \text{ kV/cm}$

High voltage  
electrode

Septum wires  
Hollow earth  
electrode



# Normalised phase space

- Transform real transverse coordinates  $(x, x', s)$  to normalised co-ordinates  $(\bar{X}, \bar{X}', \mu)$  where the independent variable becomes the phase advance  $\mu$ :

$$\begin{bmatrix} \bar{X} \\ \bar{X}' \end{bmatrix} = \mathbf{N} \cdot \begin{bmatrix} x \\ x' \end{bmatrix} = \sqrt{\frac{1}{\beta(s)}} \cdot \begin{bmatrix} 1 & 0 \\ \alpha(s) & \beta(s) \end{bmatrix} \cdot \begin{bmatrix} x \\ x' \end{bmatrix}$$

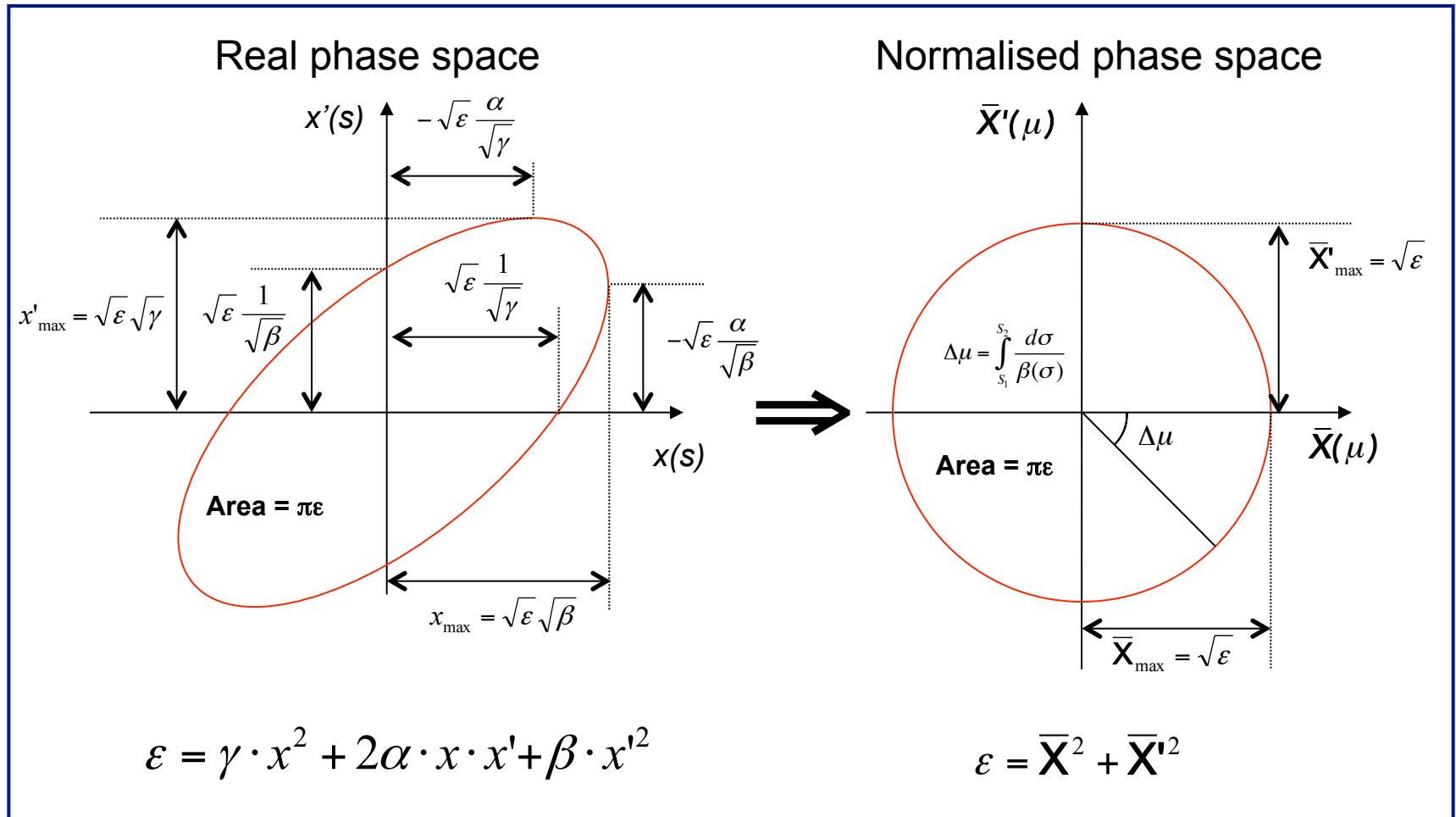
$$x(s) = \sqrt{\epsilon} \sqrt{\beta(s)} \cos[\mu(s) + \mu_0]$$

$$\mu(s) = \int_0^s \frac{d\sigma}{\beta(\sigma)}$$

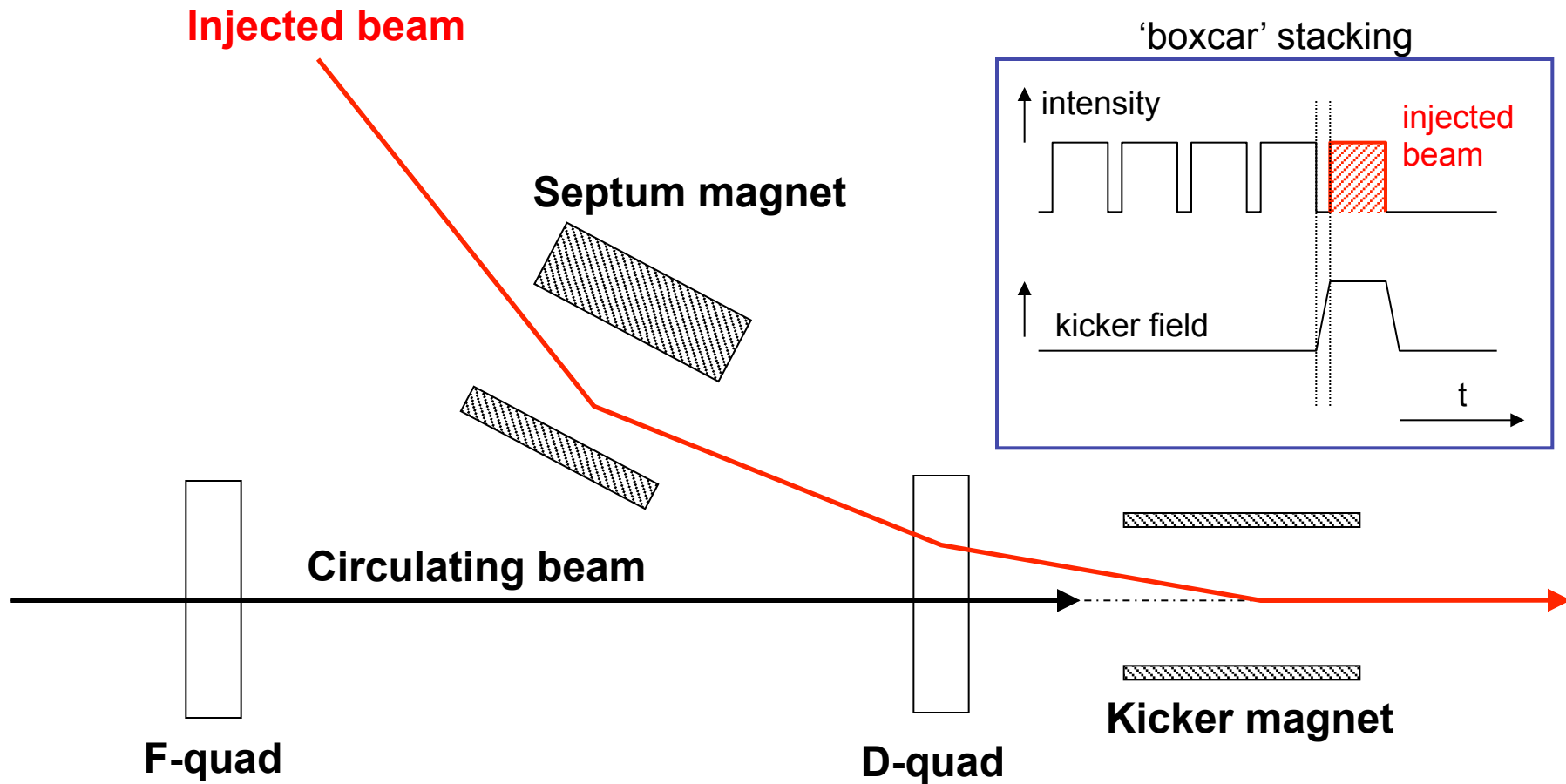
$$\bar{X}(\mu) = \sqrt{\frac{1}{\beta(s)}} \cdot x = \sqrt{\epsilon} \cos[\mu + \mu_0]$$

$$\bar{X}'(\mu) = \sqrt{\frac{1}{\beta(s)}} \cdot \alpha(s)x + \sqrt{\beta(s)}x' = -\sqrt{\epsilon} \sin[\mu + \mu_0] = \frac{d\bar{X}}{d\mu}$$

# Normalised phase space



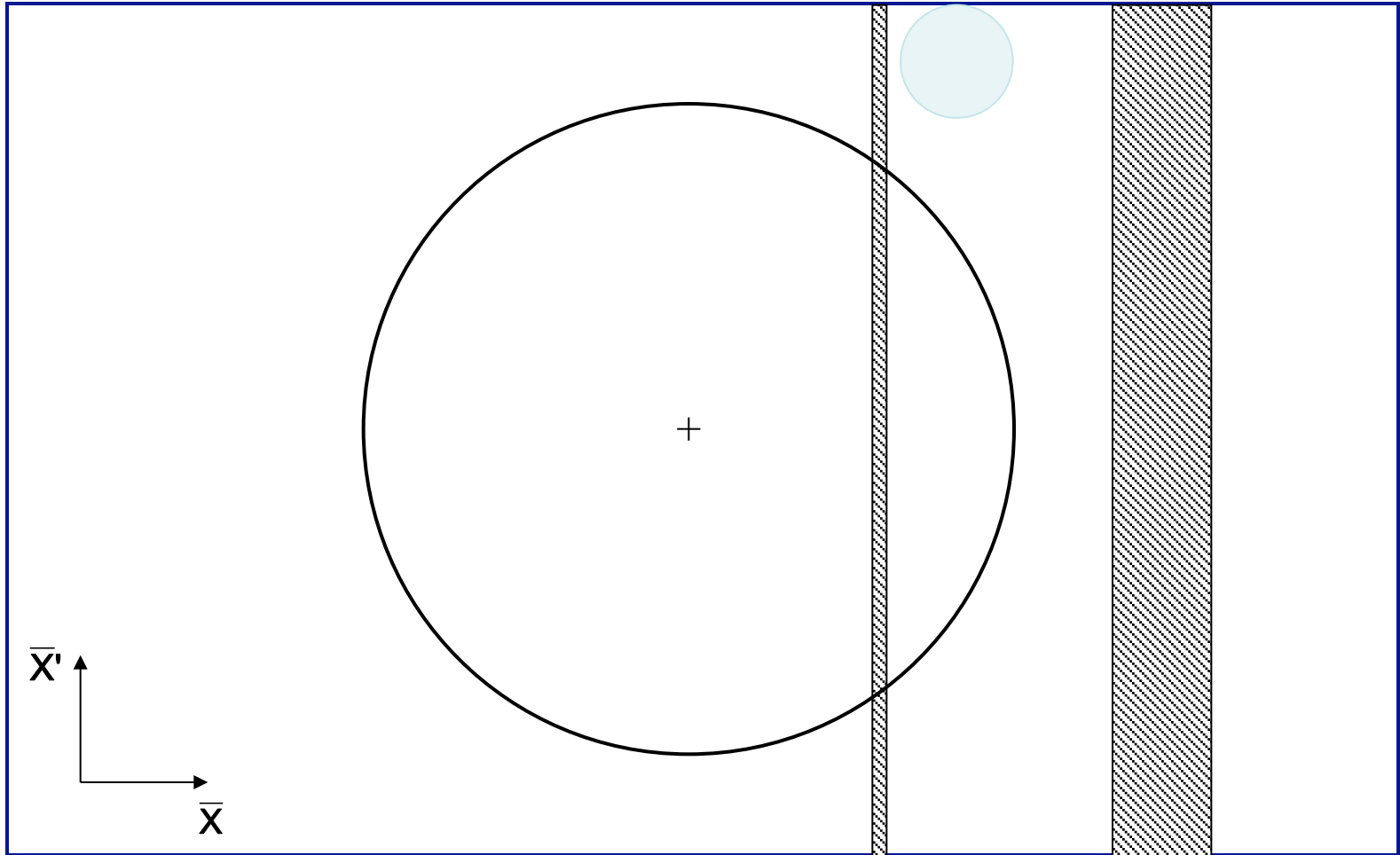
# Single-turn injection – same plane



- Septum deflects the beam onto the closed orbit at the centre of the kicker
- Kicker compensates for the remaining angle
- Septum and kicker either side of D quad to minimise kicker strength

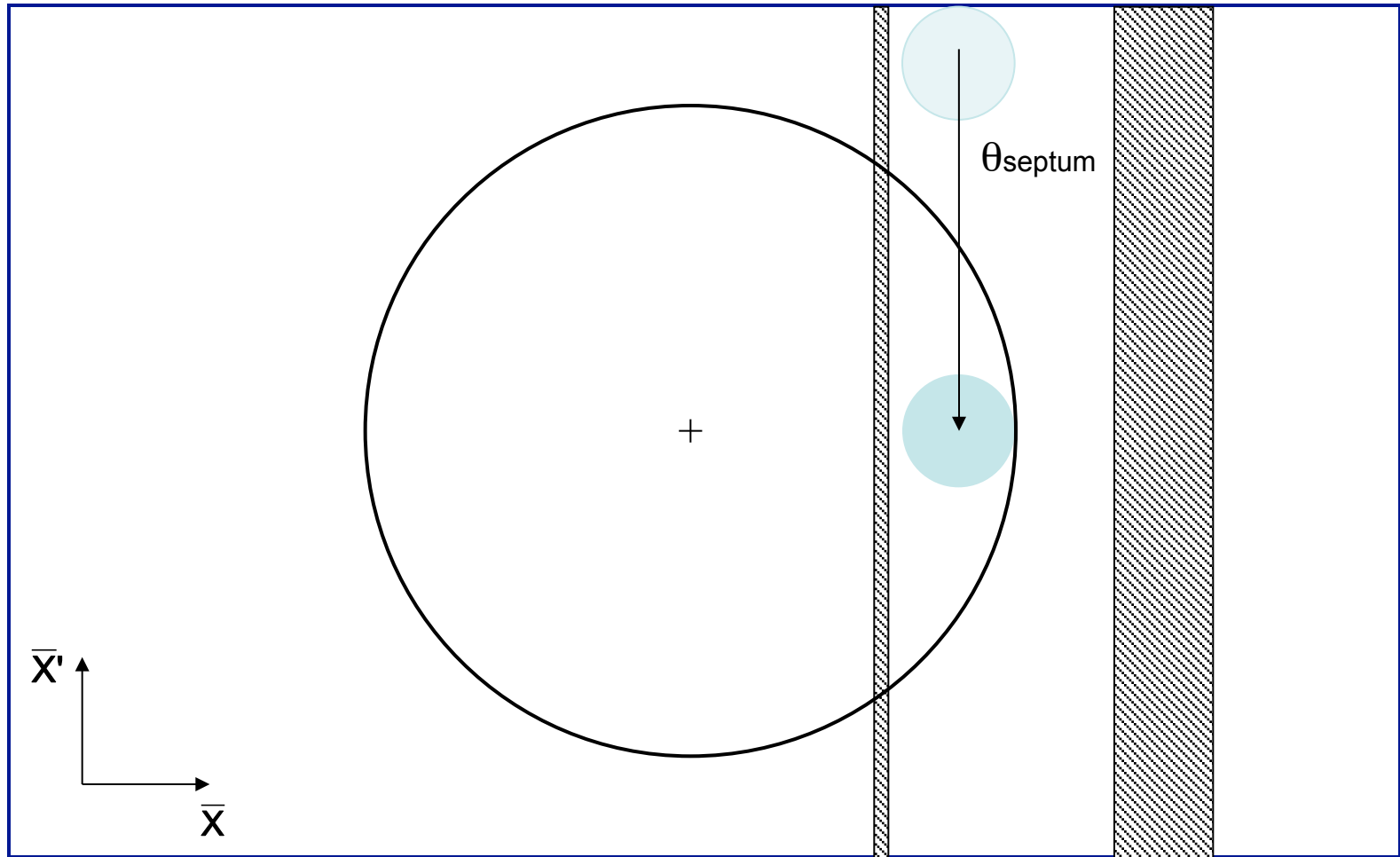
# Single-turn injection

Normalised phase space at centre of idealised septum



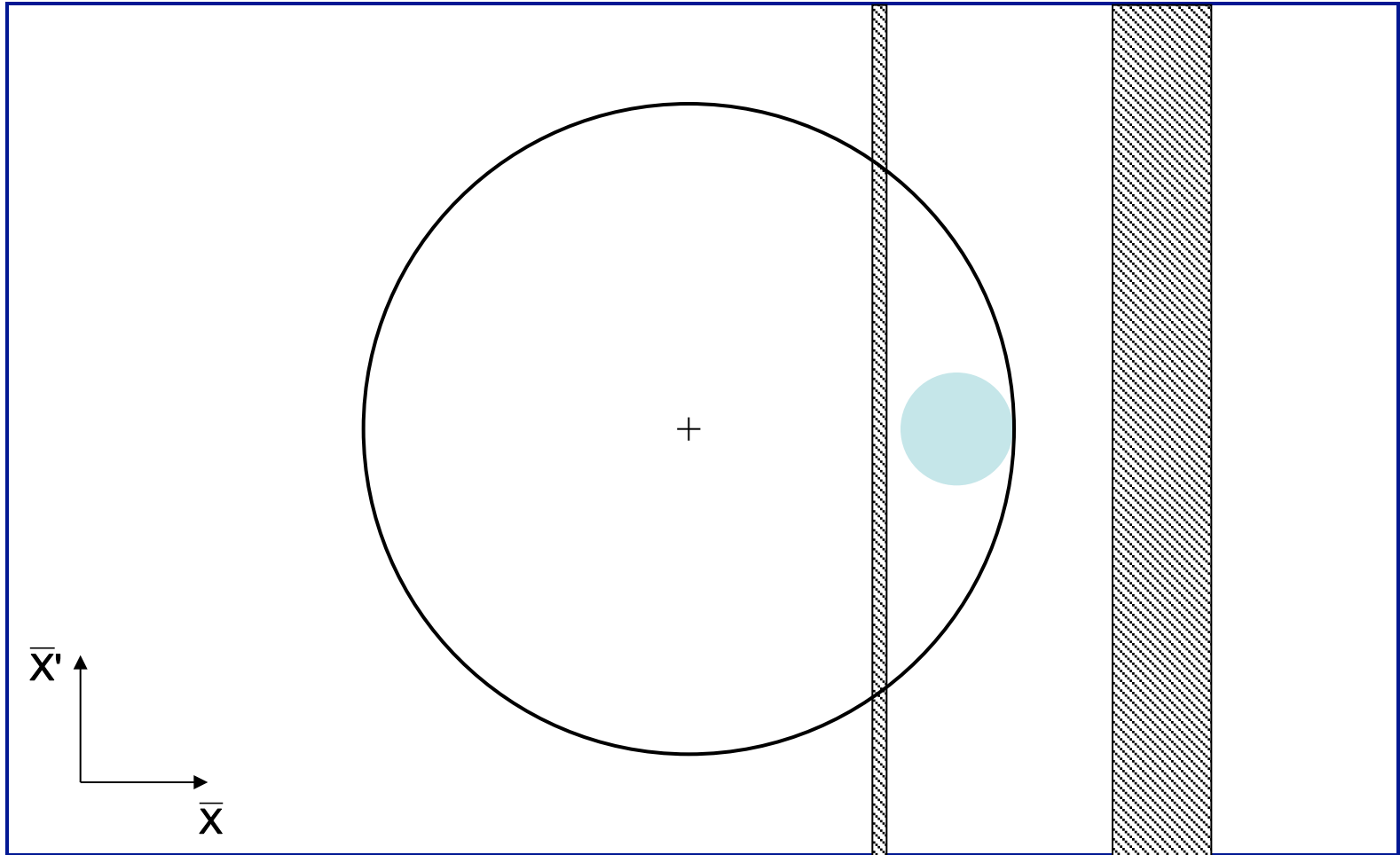
# Single-turn injection

Normalised phase space at centre of idealised septum



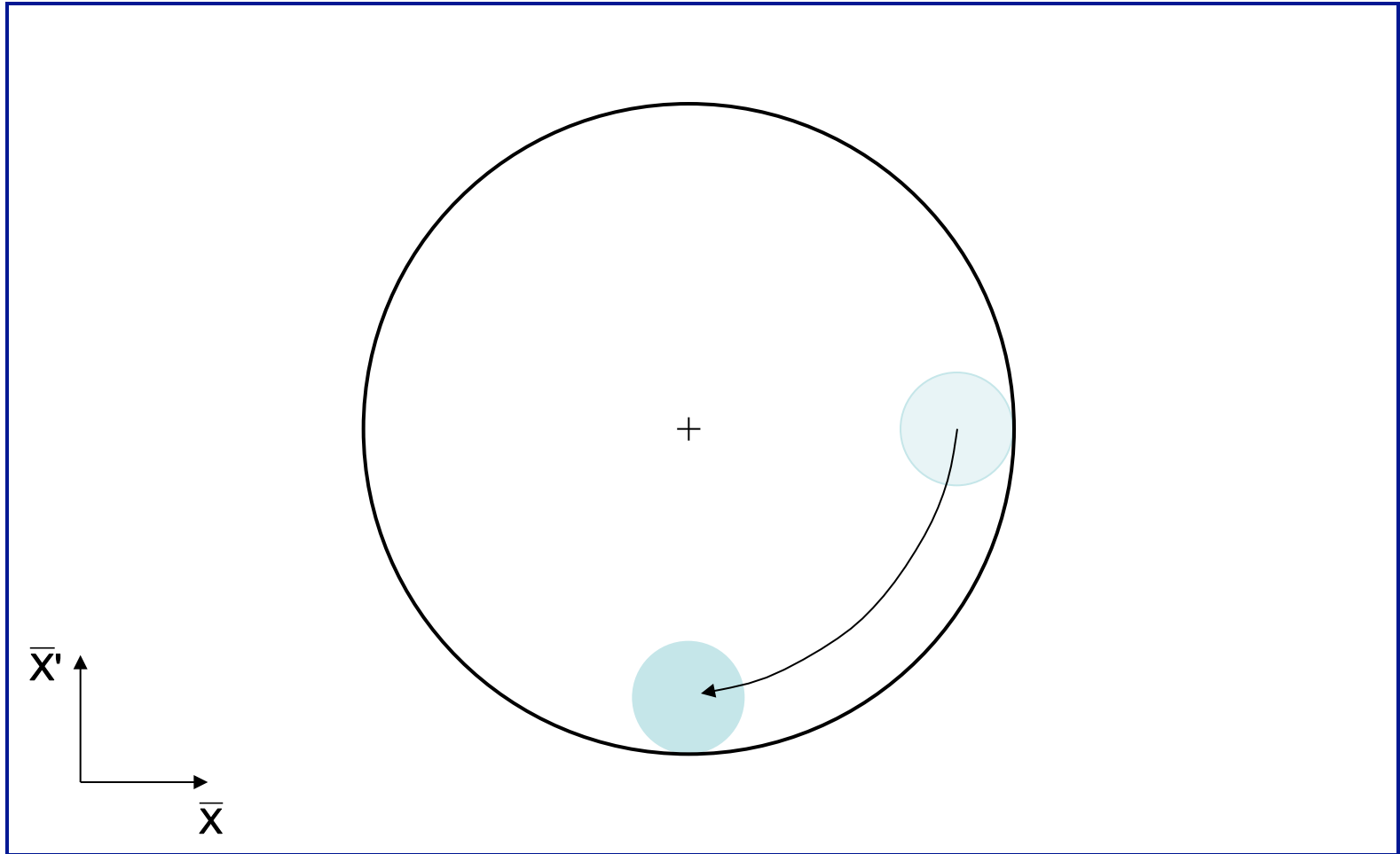
# Single-turn injection

Normalised phase space at centre of idealised septum



# Single-turn injection

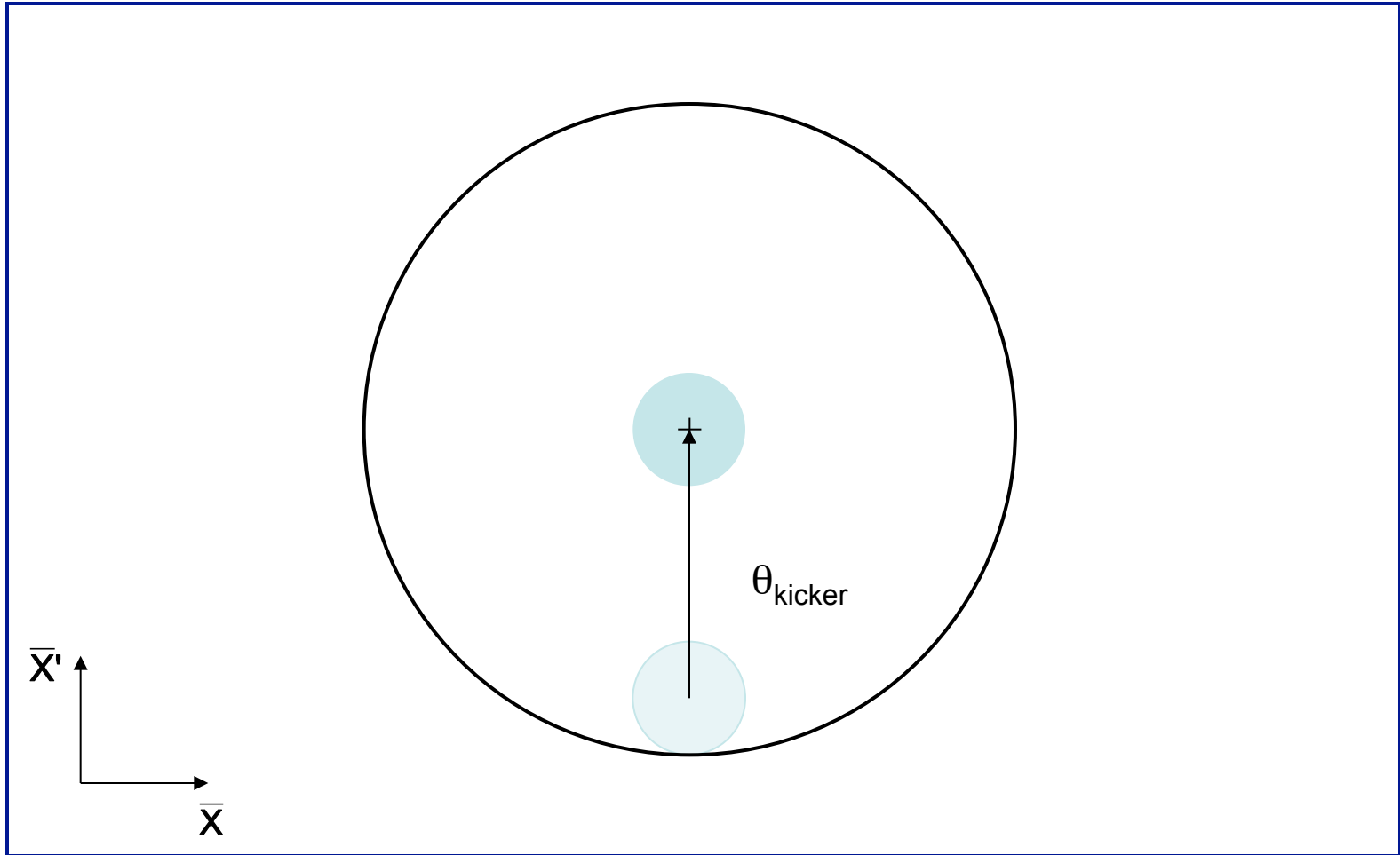
$\mu/2$  phase advance to kicker location



# Single-turn injection

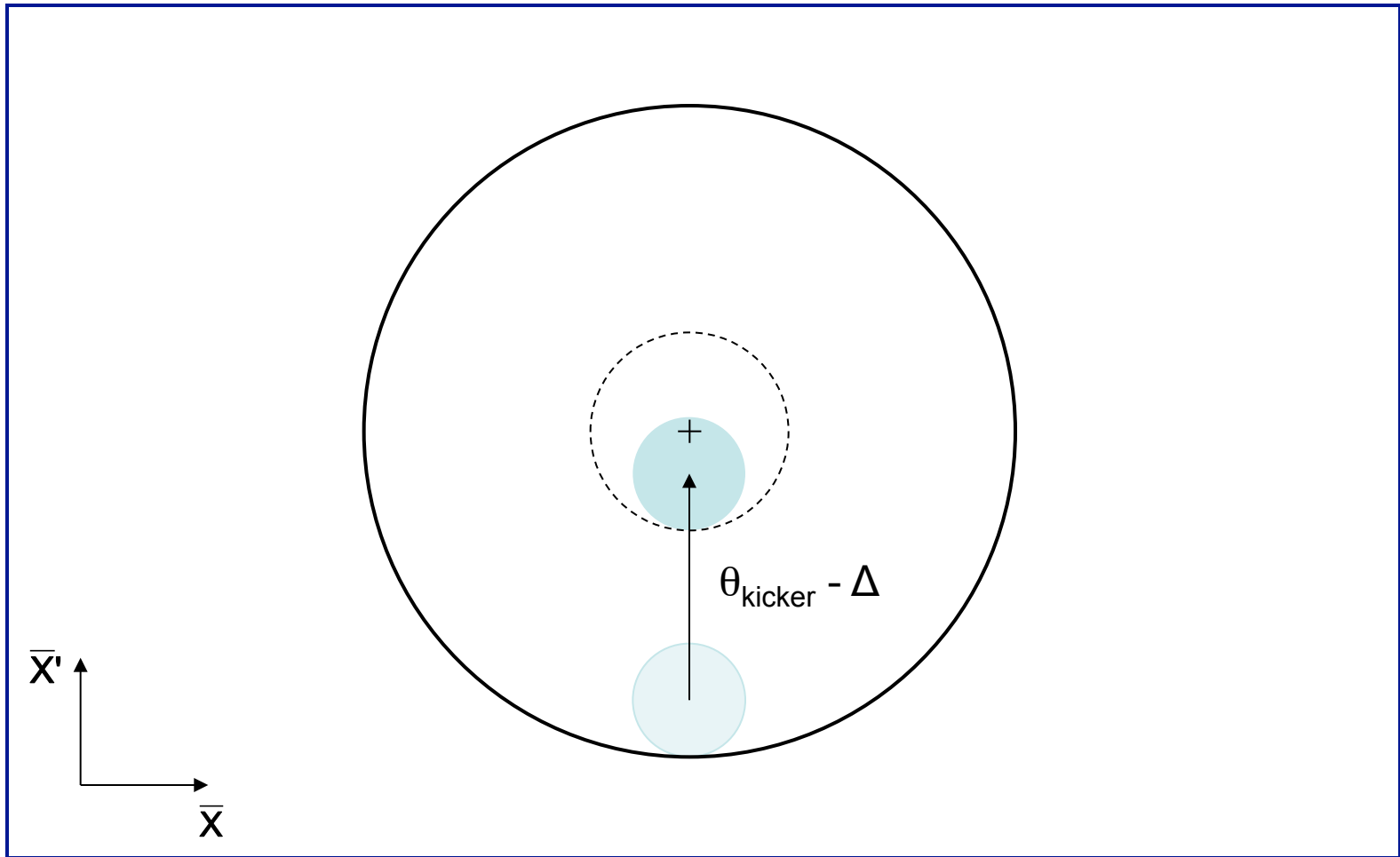
Normalised phase space at centre of idealised kicker

Kicker deflection places beam on central orbit:



# Injection oscillations

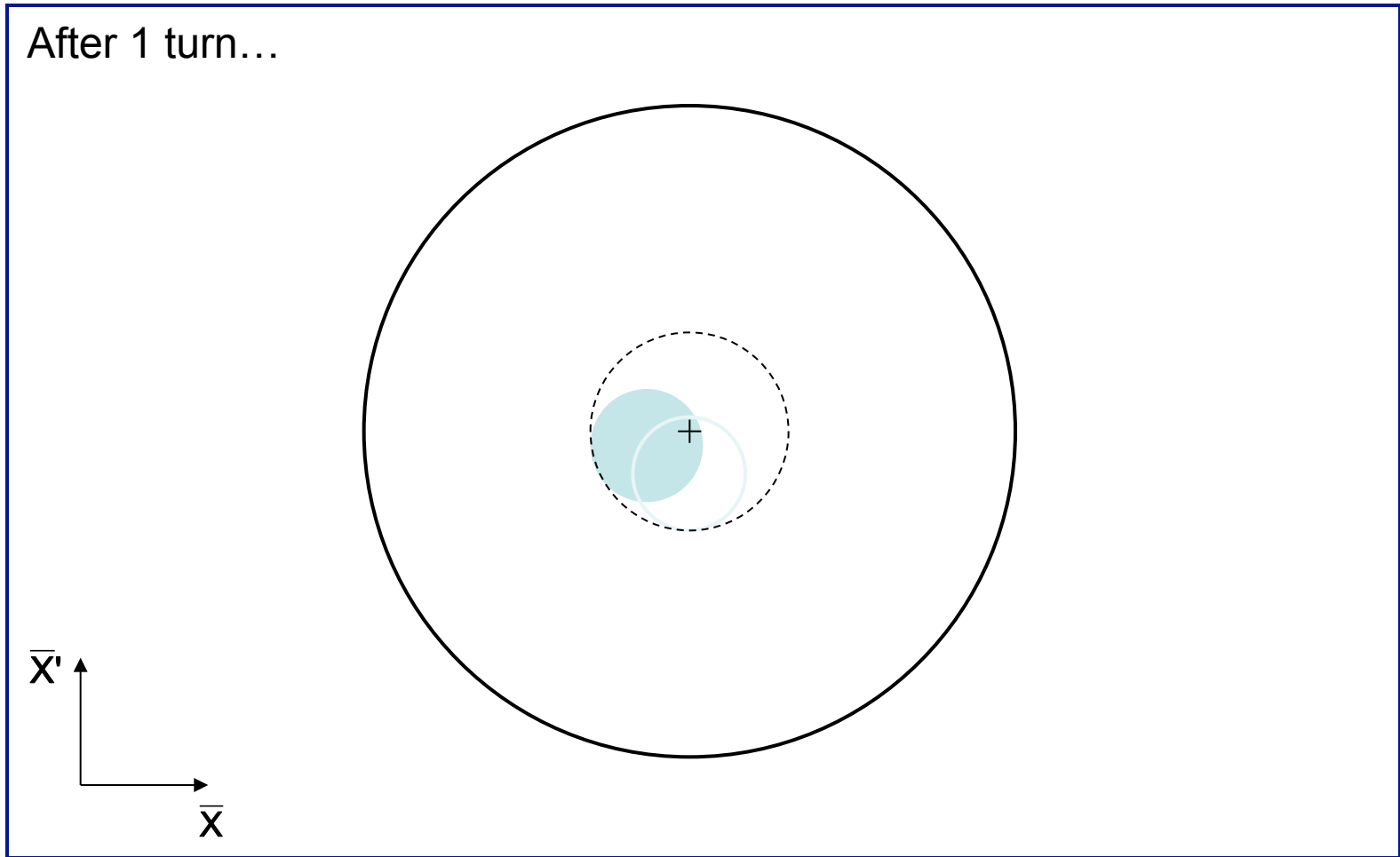
For imperfect injection the beam oscillates around the central orbit, e.g. kick error,  $\Delta$ :



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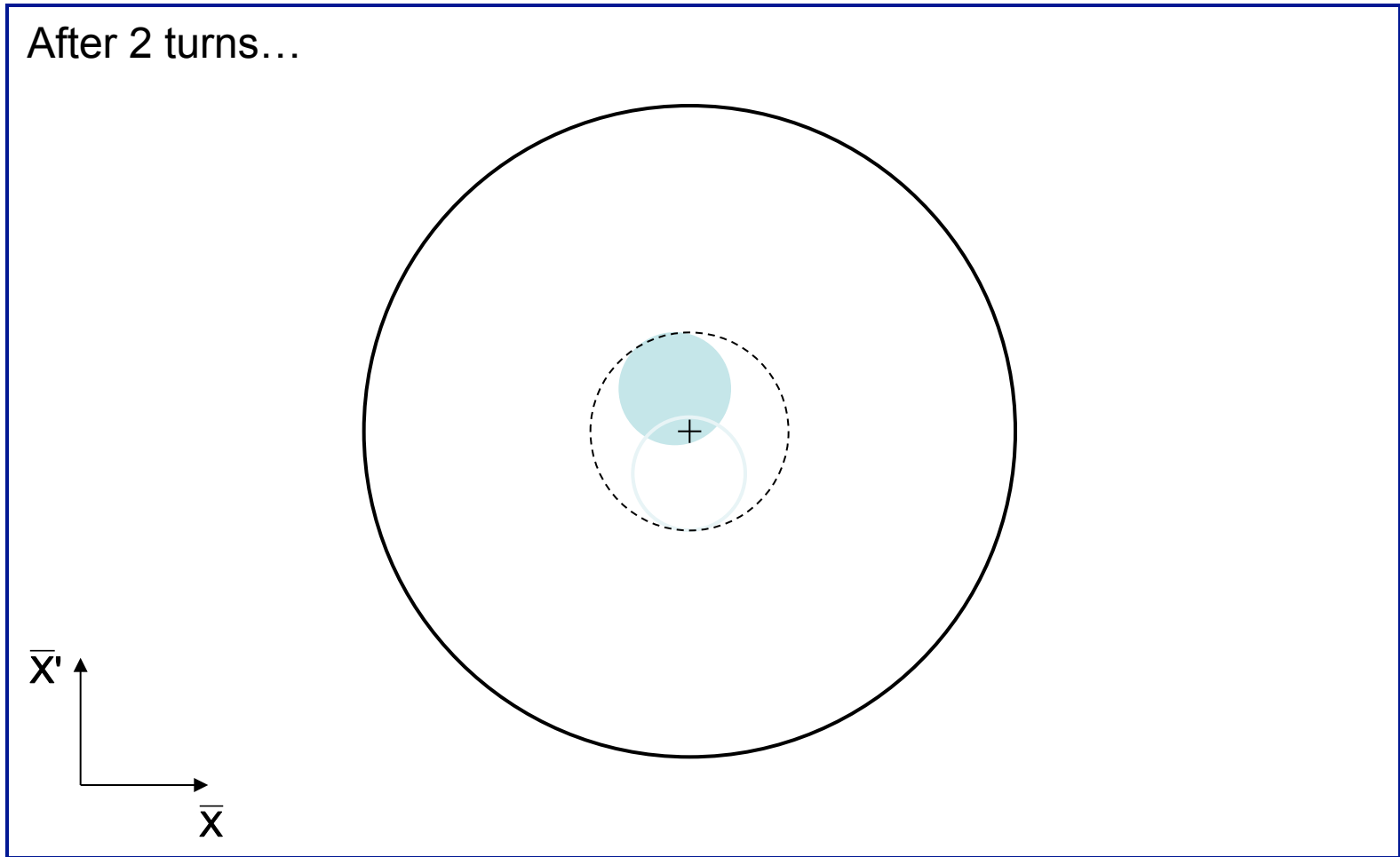
After 1 turn...



# Injection oscillations

For imperfect injection the beam oscillates around the central orbit, e.g. kick error,  $\Delta$ :

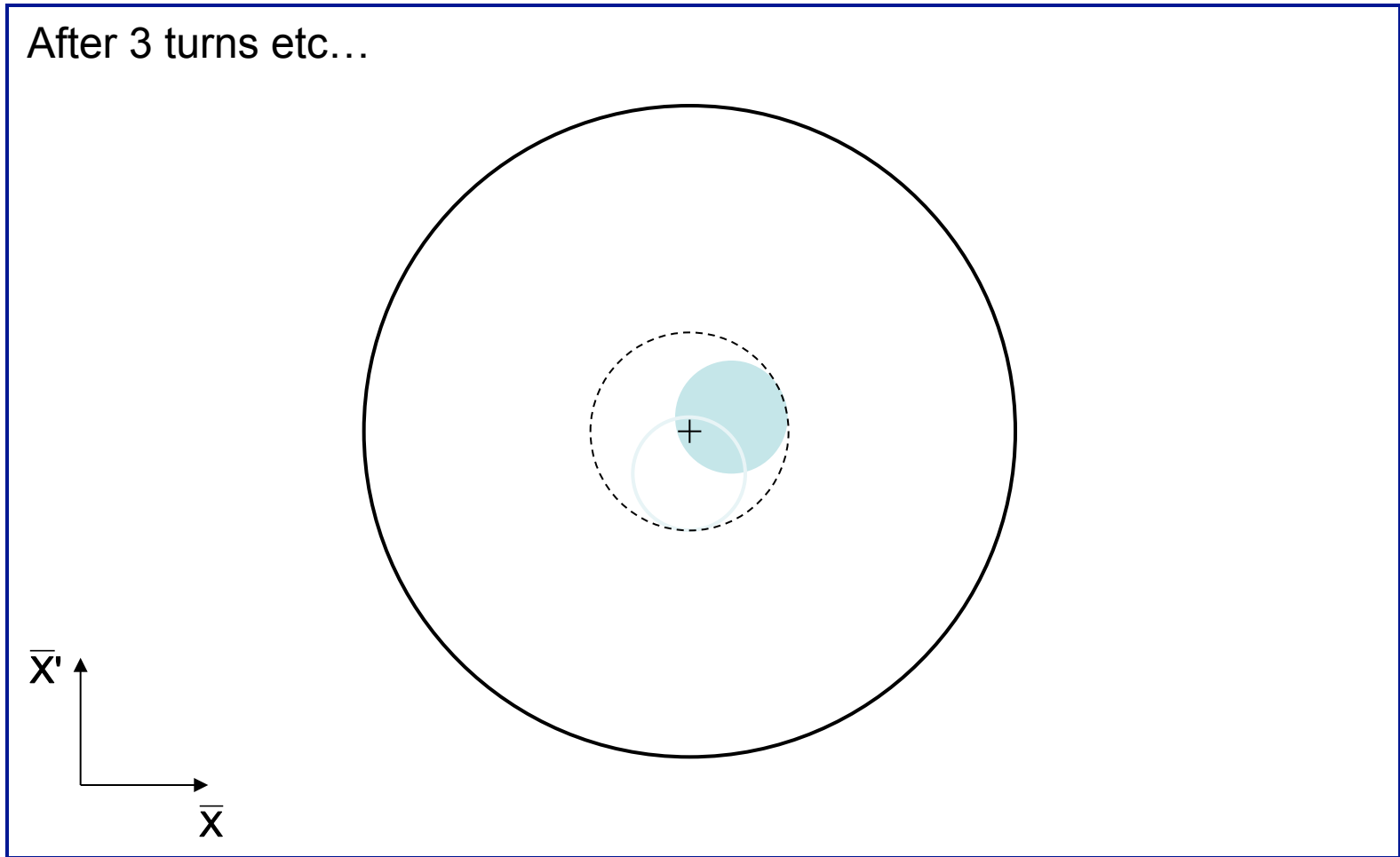
After 2 turns...



# Injection oscillations

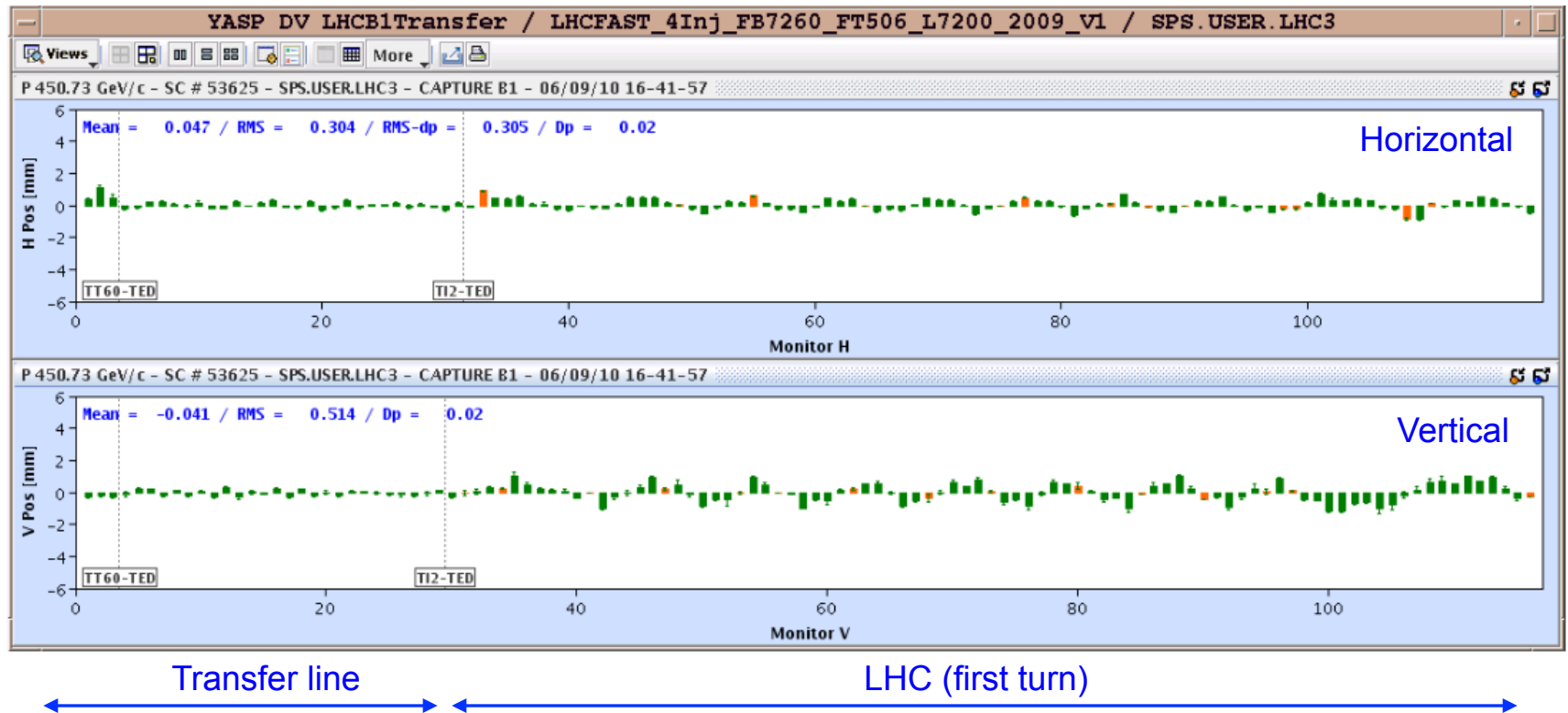
For imperfect injection the beam oscillates around the central orbit, e.g. kick error,  $\Delta$ :

After 3 turns etc...

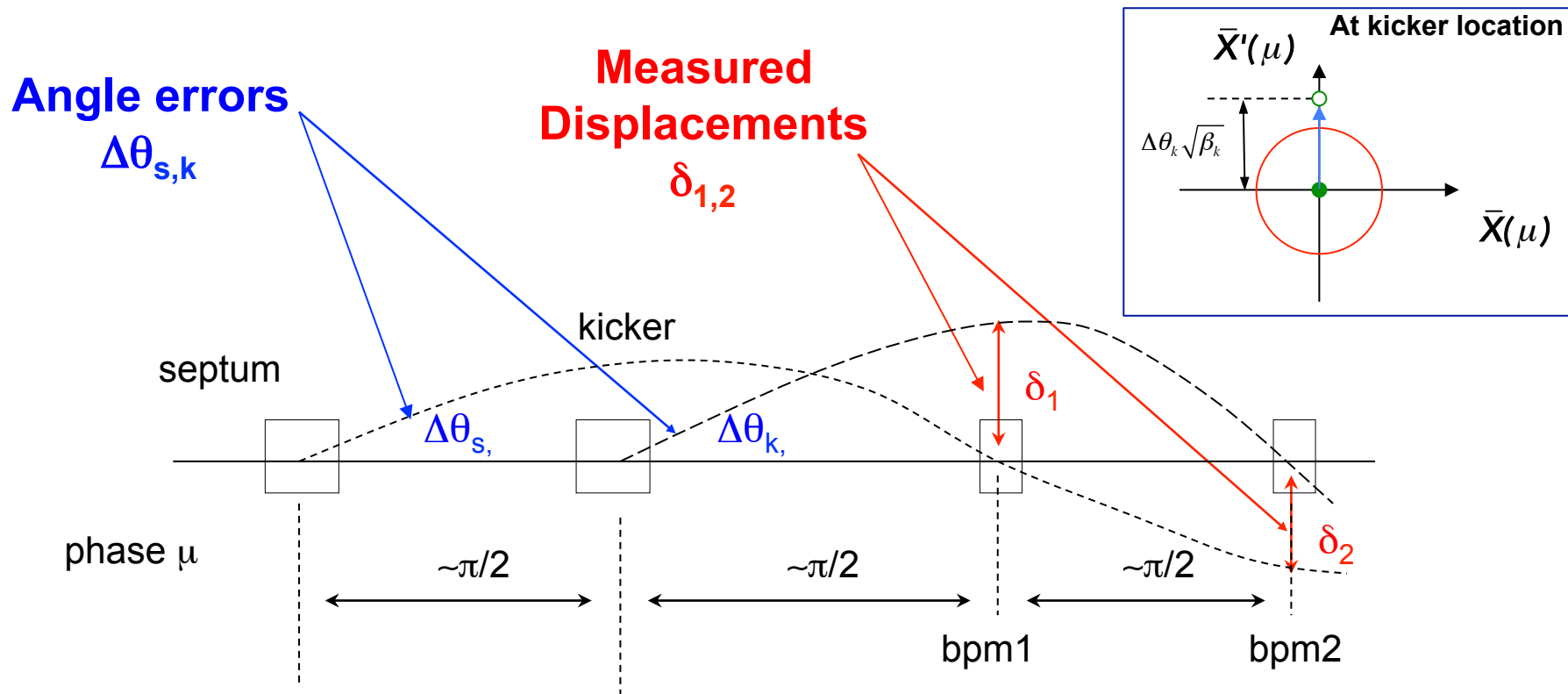


# Injection oscillations

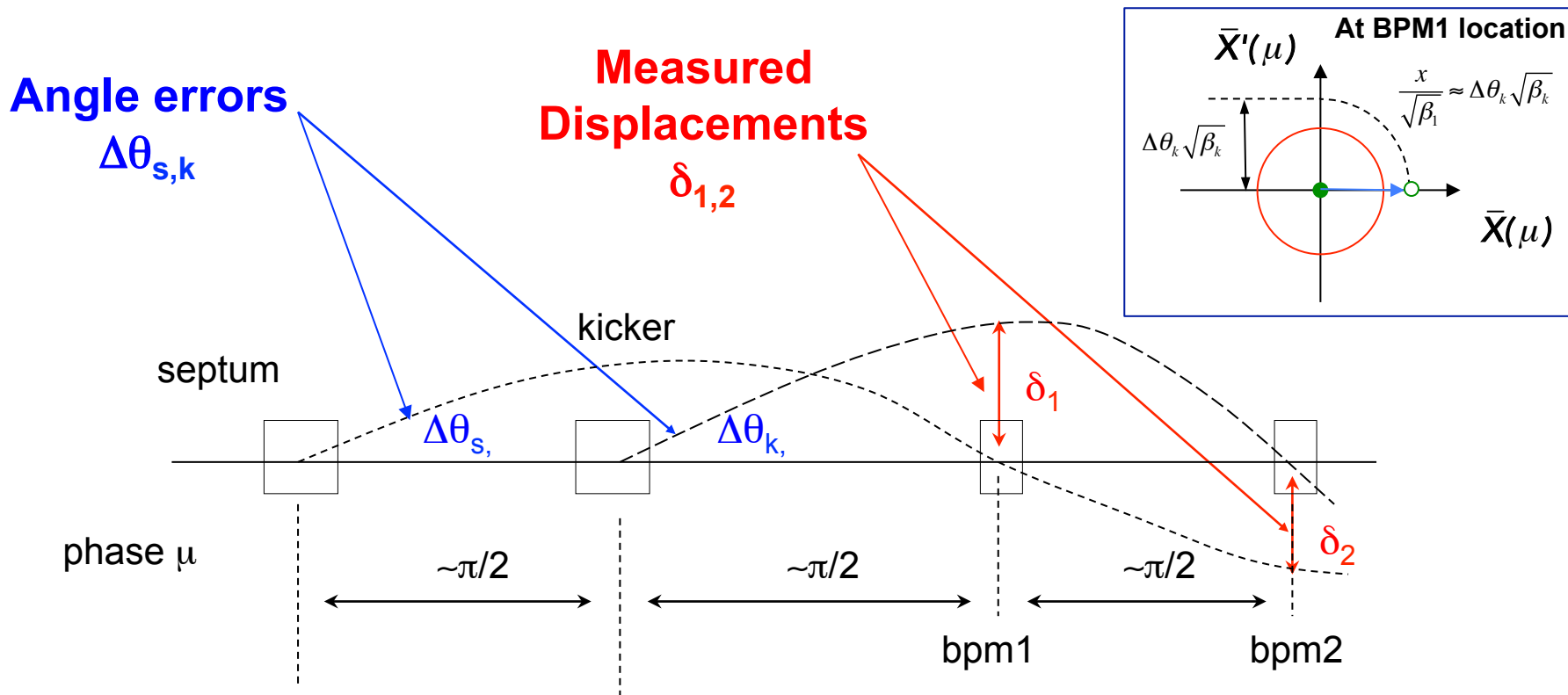
- Betatron oscillations with respect to the Closed Orbit:



# Injection errors



# Injection errors



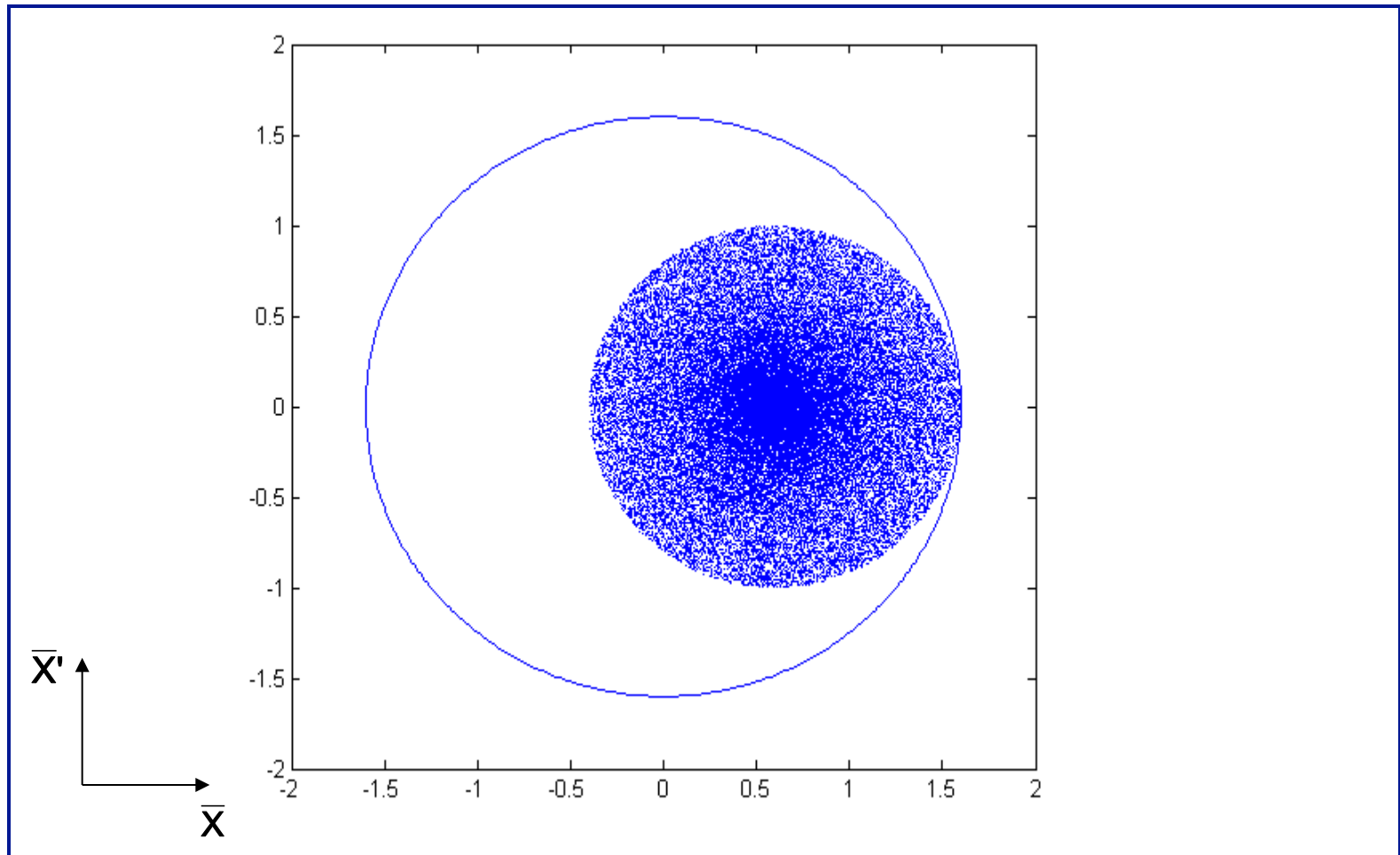
$$\delta_1 = \Delta\theta_s \sqrt{(\beta_s\beta_1)} \sin(\mu_1 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k\beta_1)} \sin(\mu_1 - \mu_k) \\ \approx \Delta\theta_k \sqrt{(\beta_k\beta_1)}$$

$$\delta_2 = \Delta\theta_s \sqrt{(\beta_s\beta_2)} \sin(\mu_2 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k\beta_2)} \sin(\mu_2 - \mu_k) \\ \approx -\Delta\theta_s \sqrt{(\beta_s\beta_2)}$$

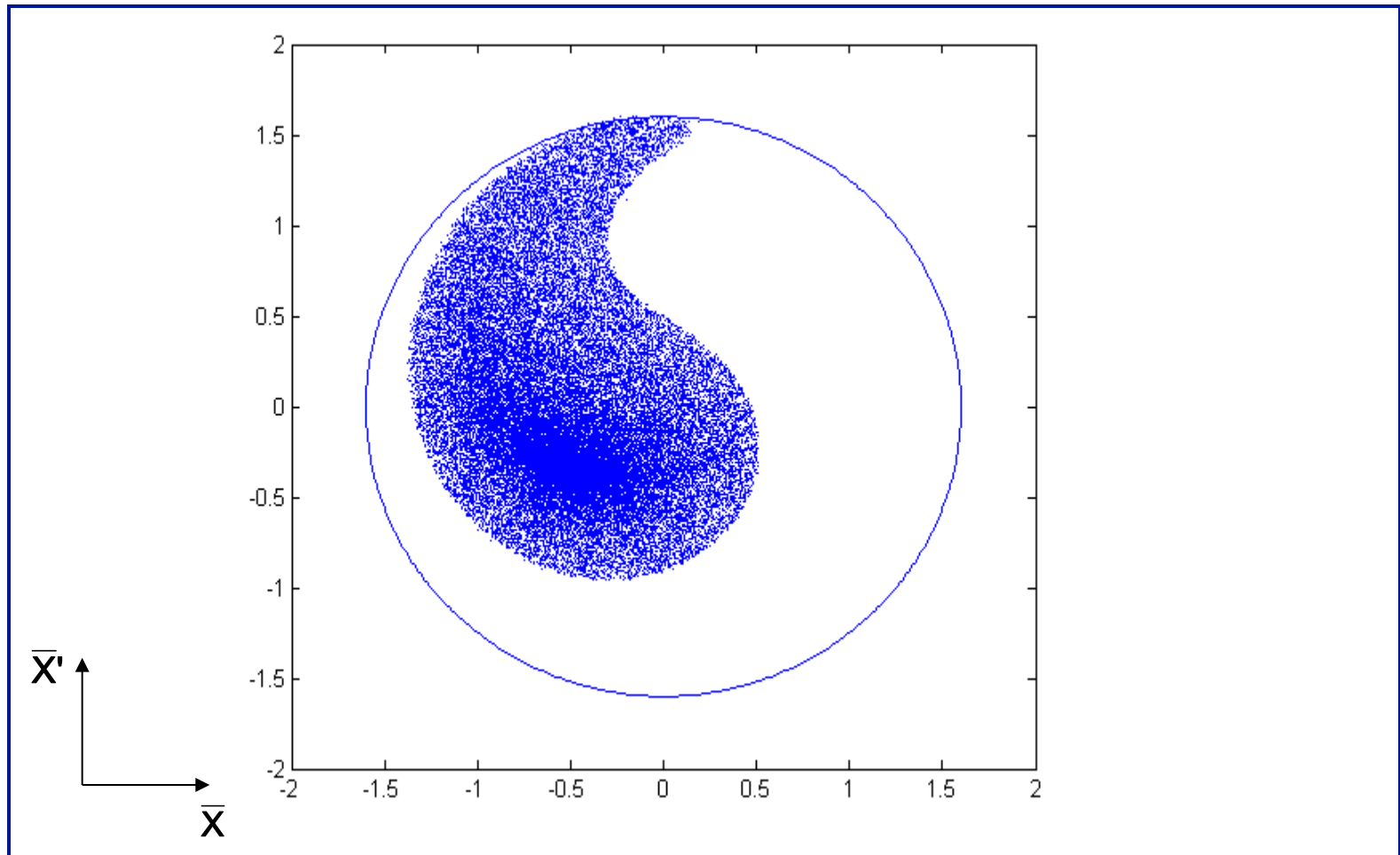
# Filamentation

- Non-linear effects (e.g. higher-order field components) introduce amplitude-dependent effects into particle motion
- Over many turns, a phase-space oscillation is transformed into an emittance increase
- So any residual transverse oscillation will lead to an emittance blow-up through filamentation
  - Chromaticity coupled with a non-zero momentum spread at injection can also cause filamentation, often termed *chromatic decoherence*
  - “Transverse damper” systems are used to damp injection oscillations - bunch position measured by a pick-up, which is linked to a kicker

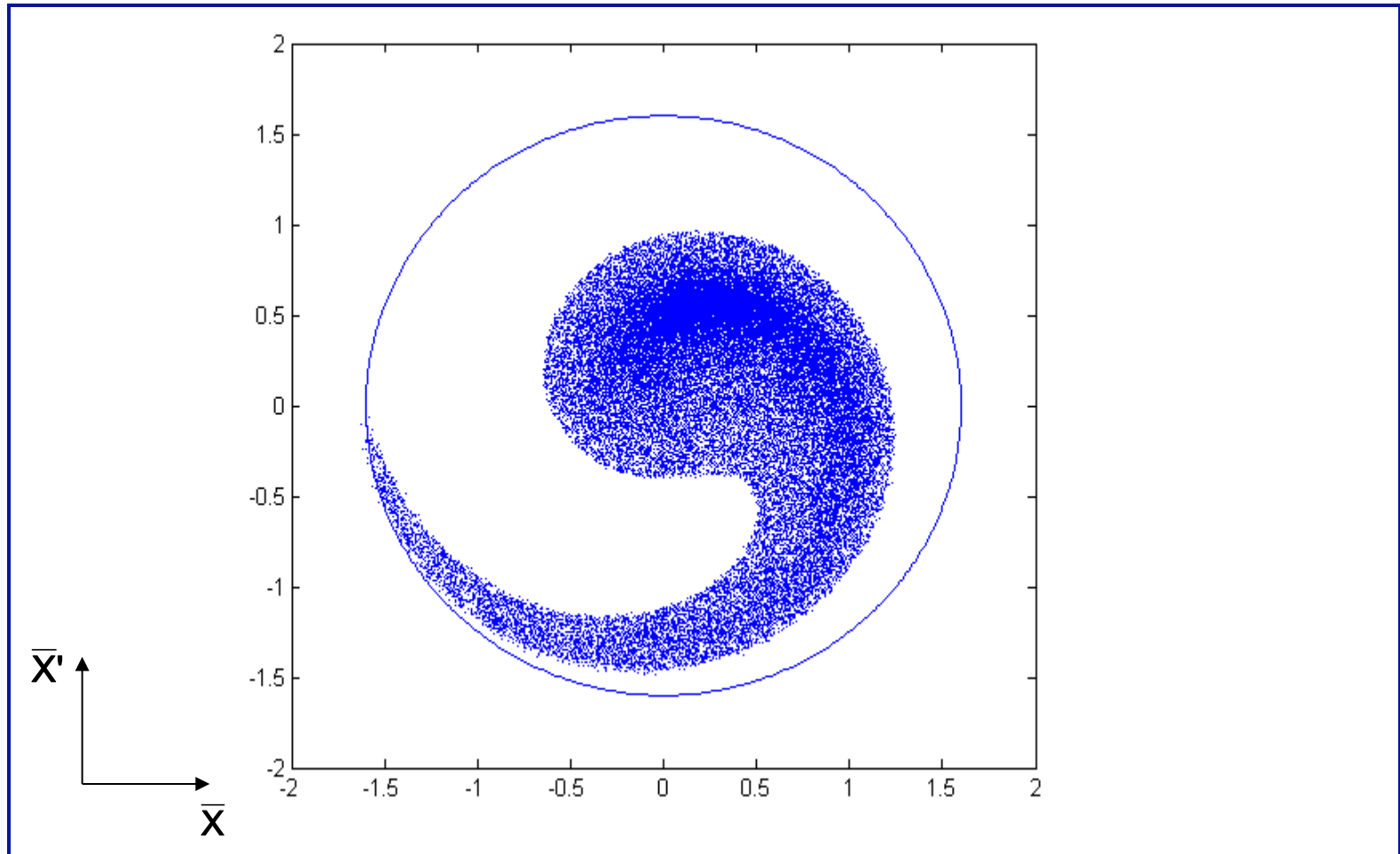
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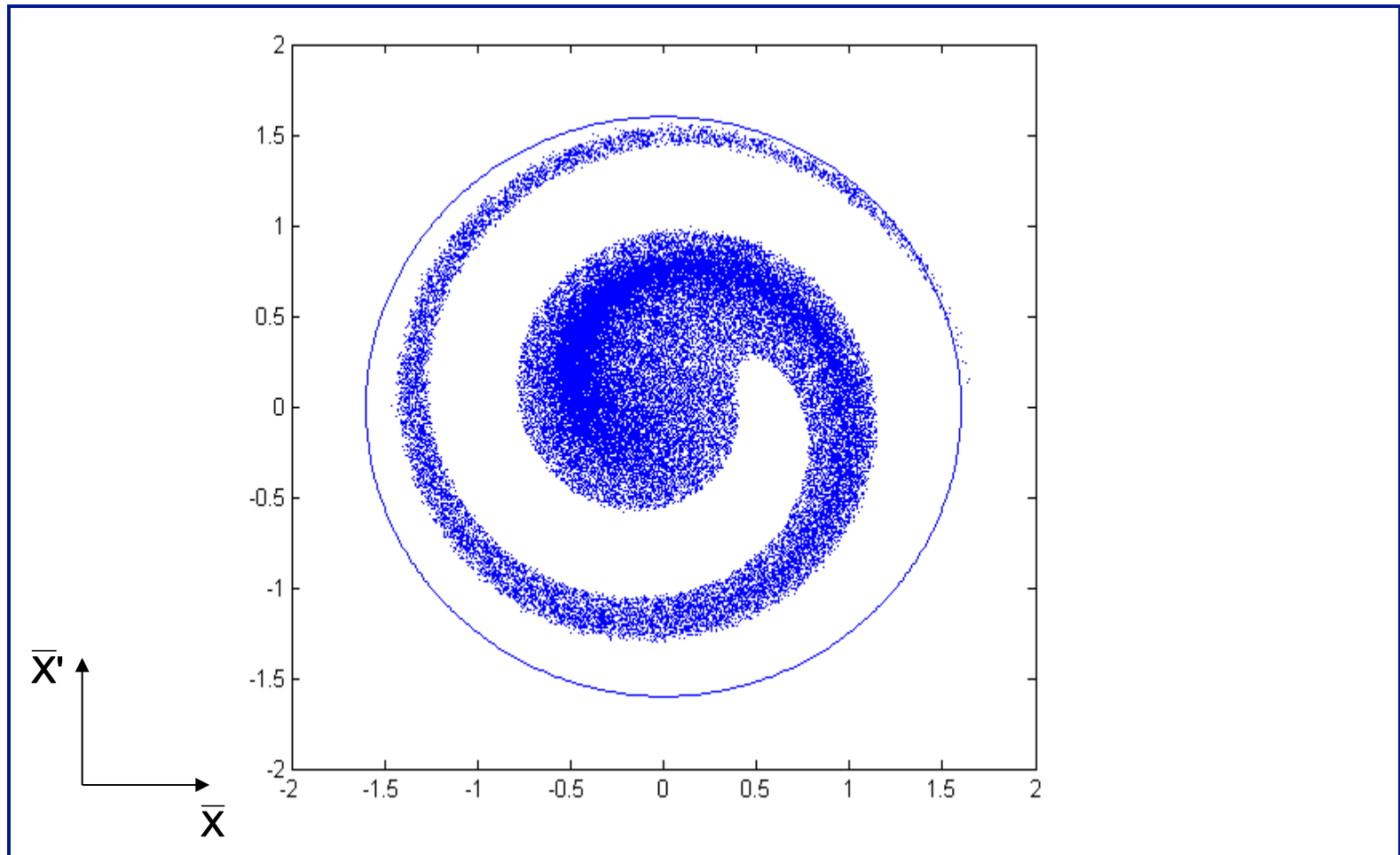
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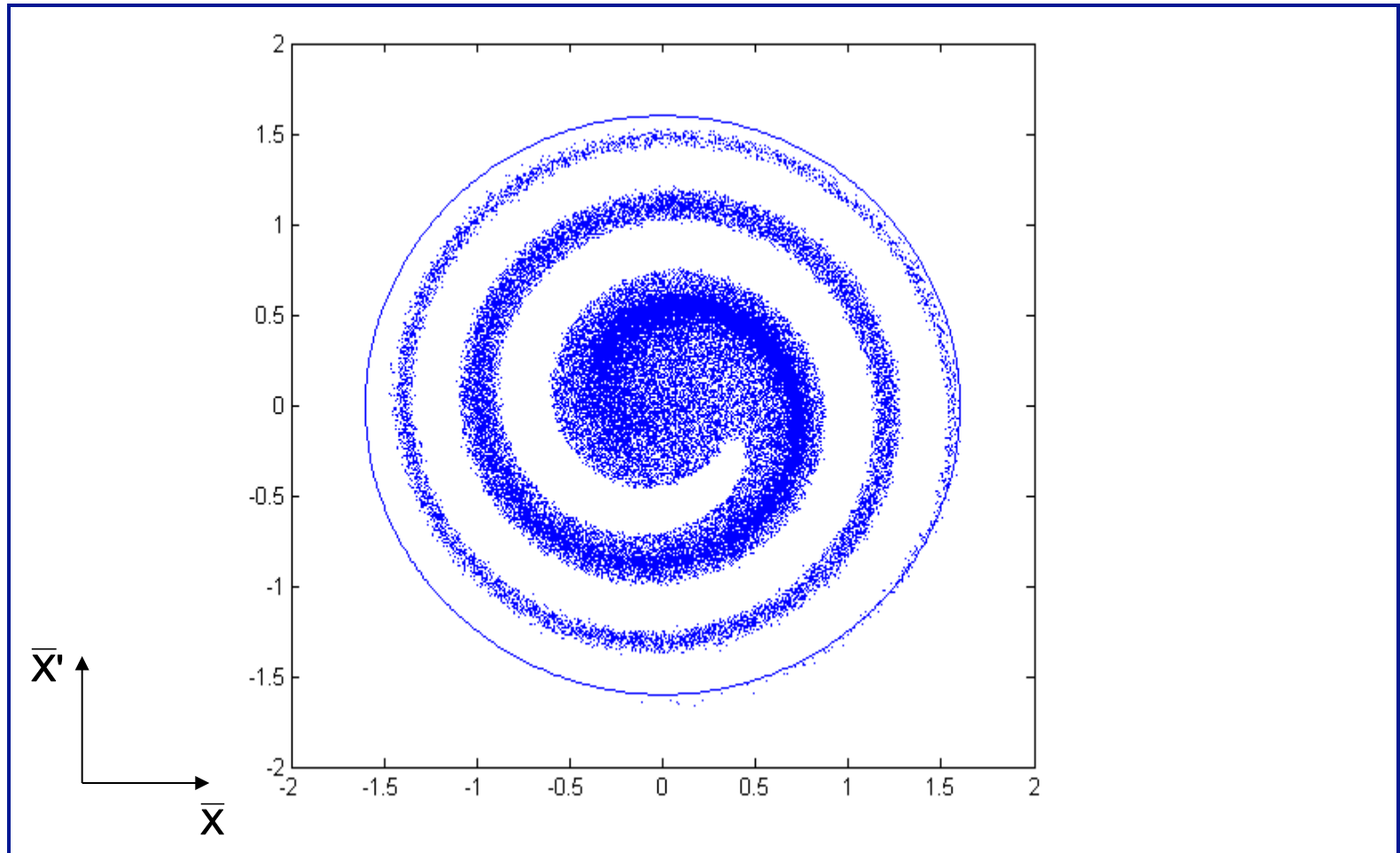
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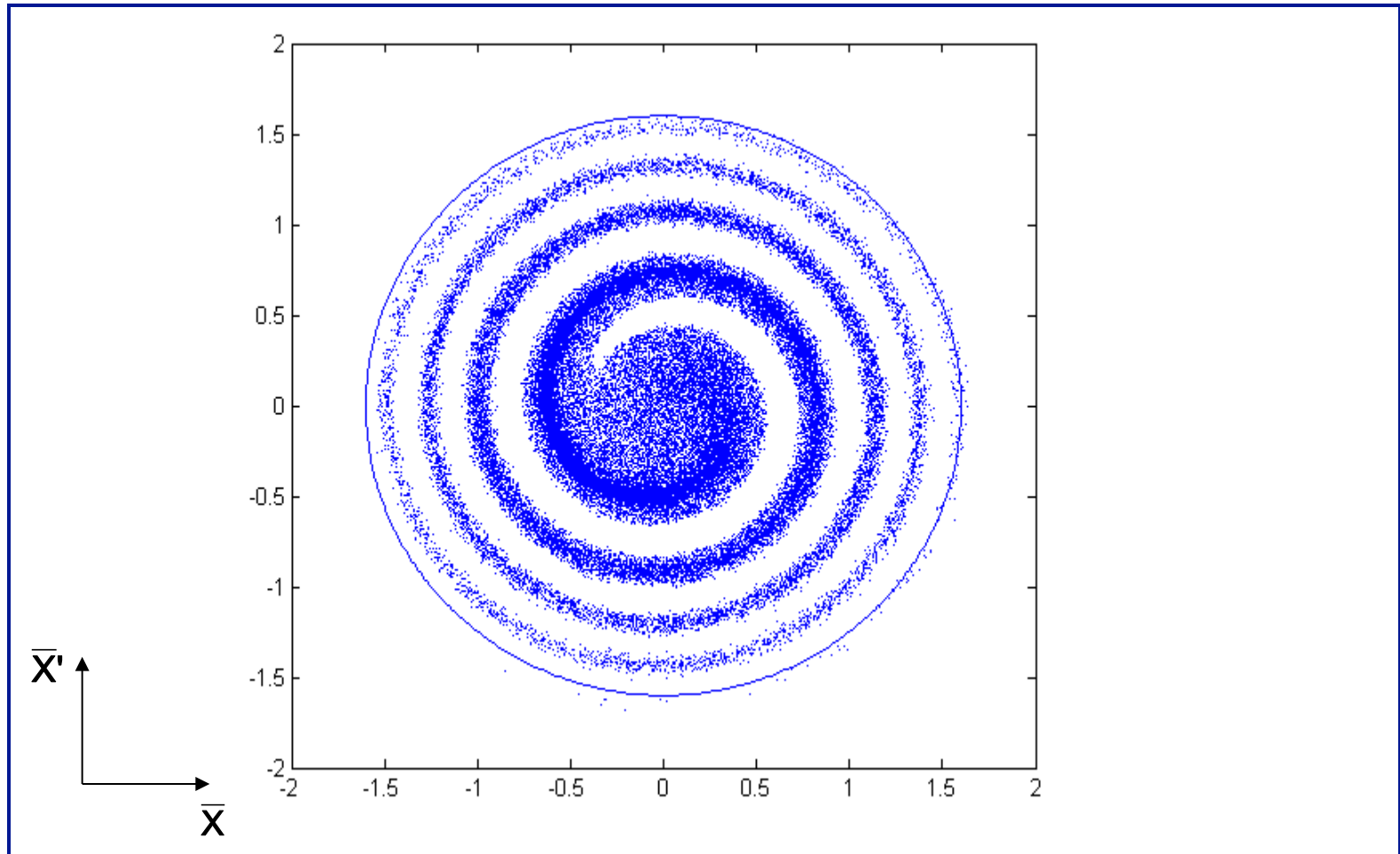
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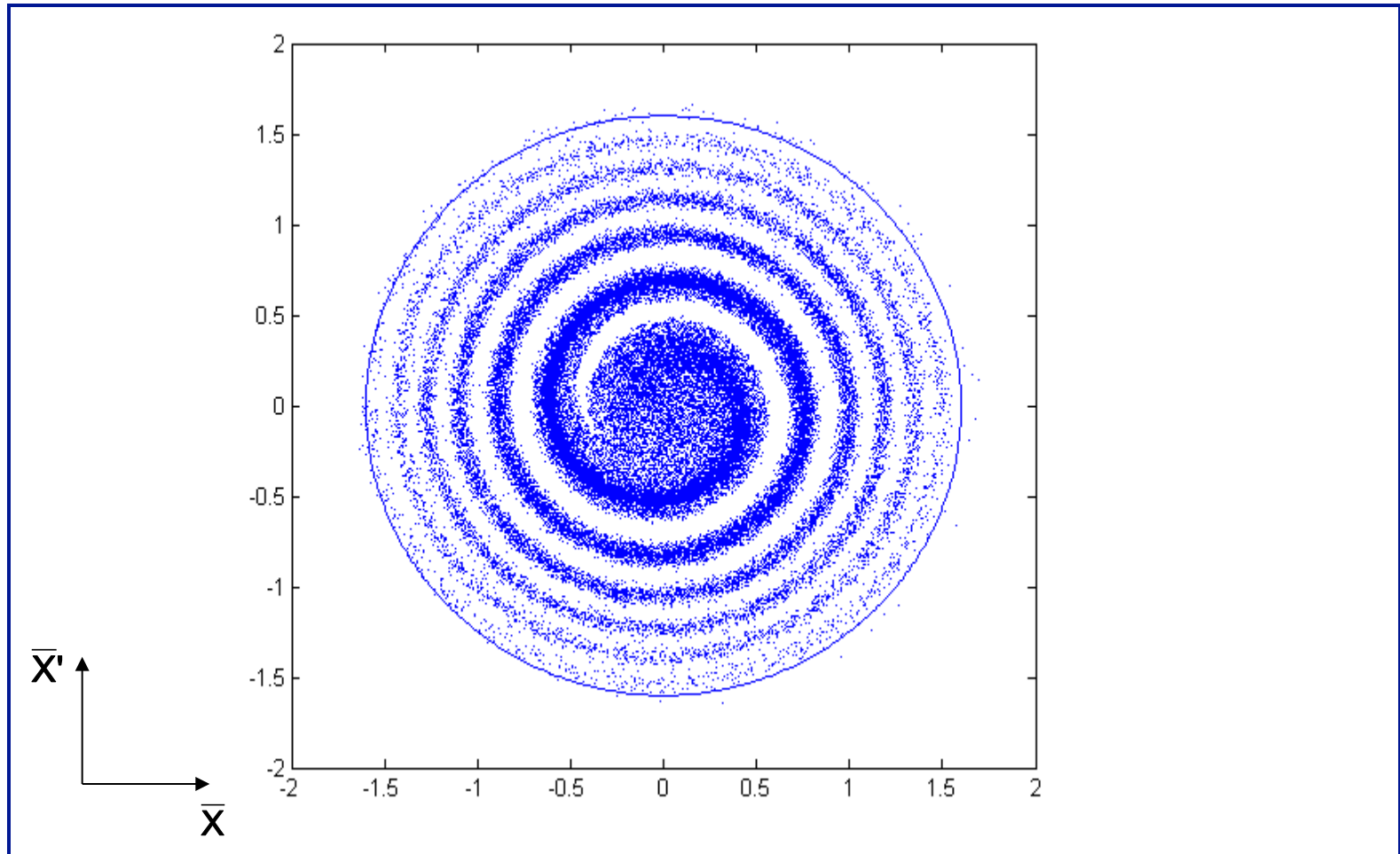
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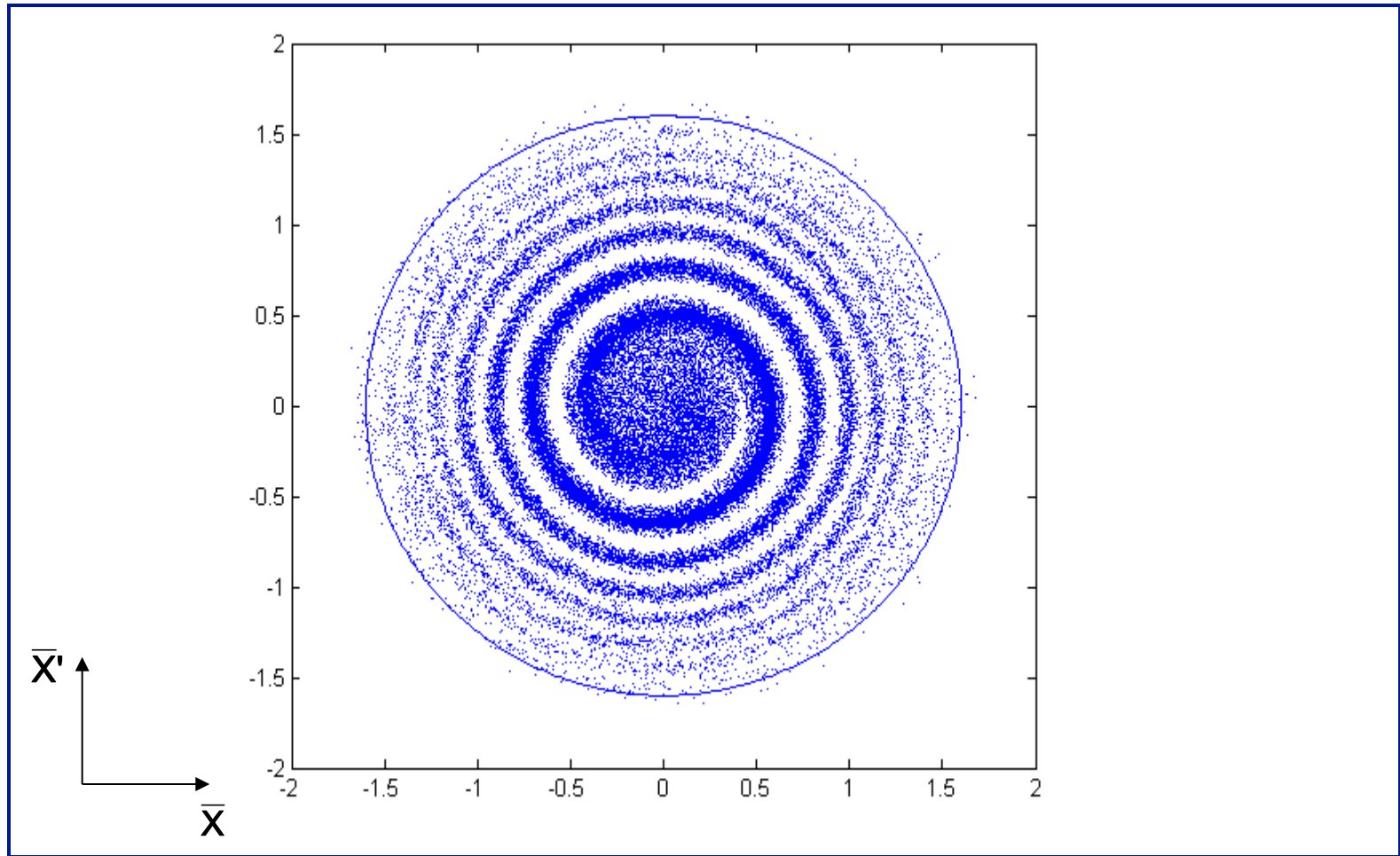
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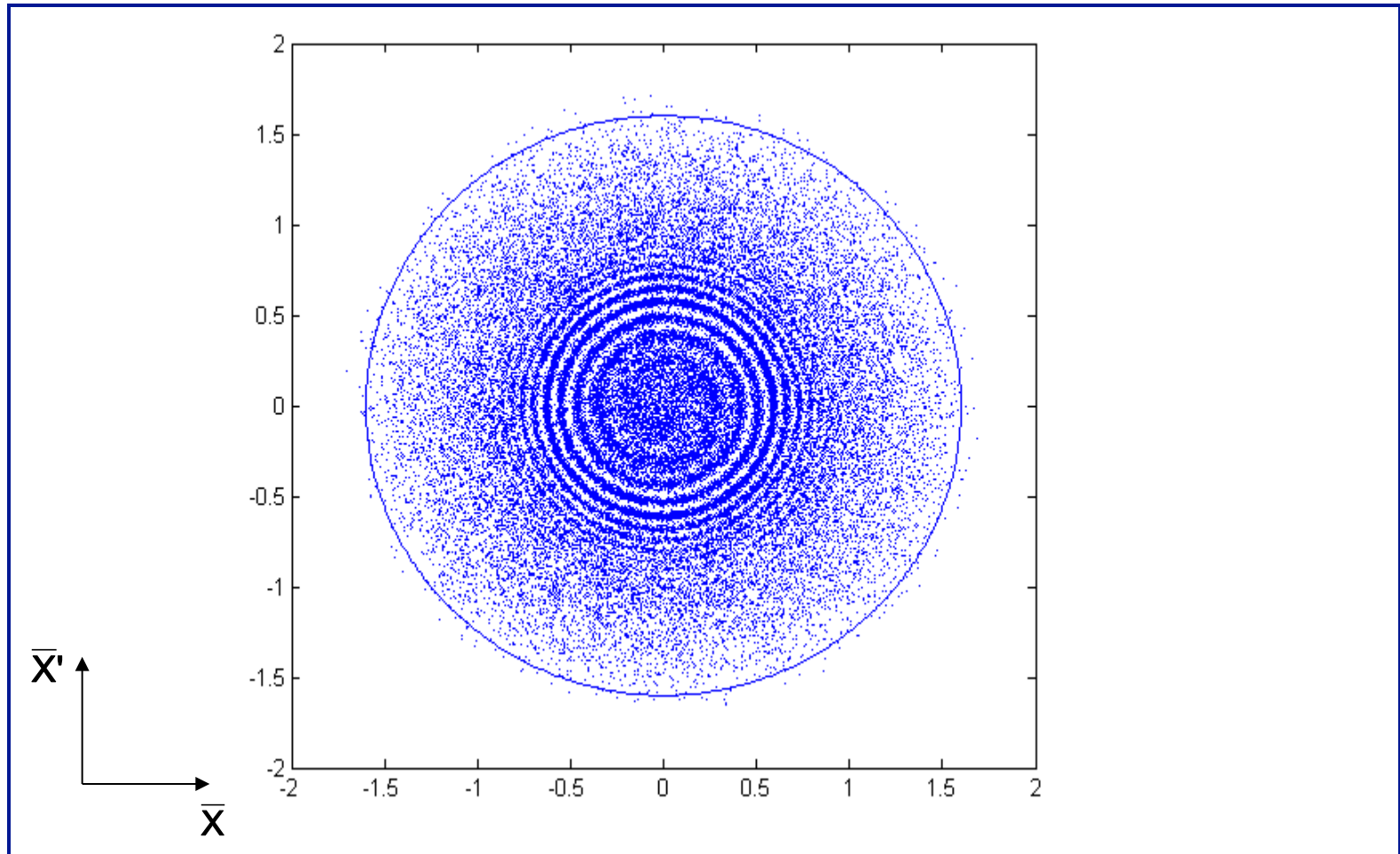
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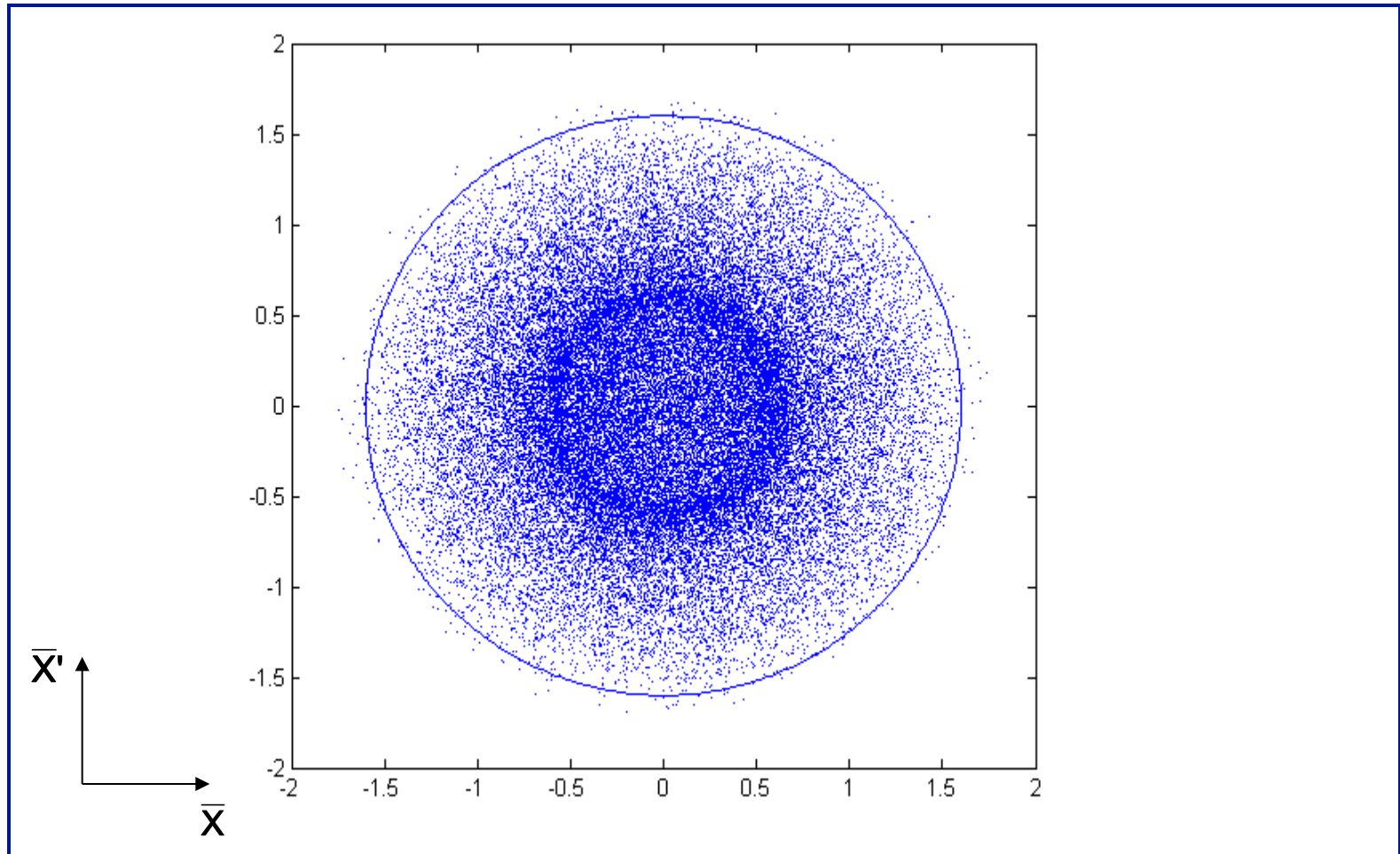
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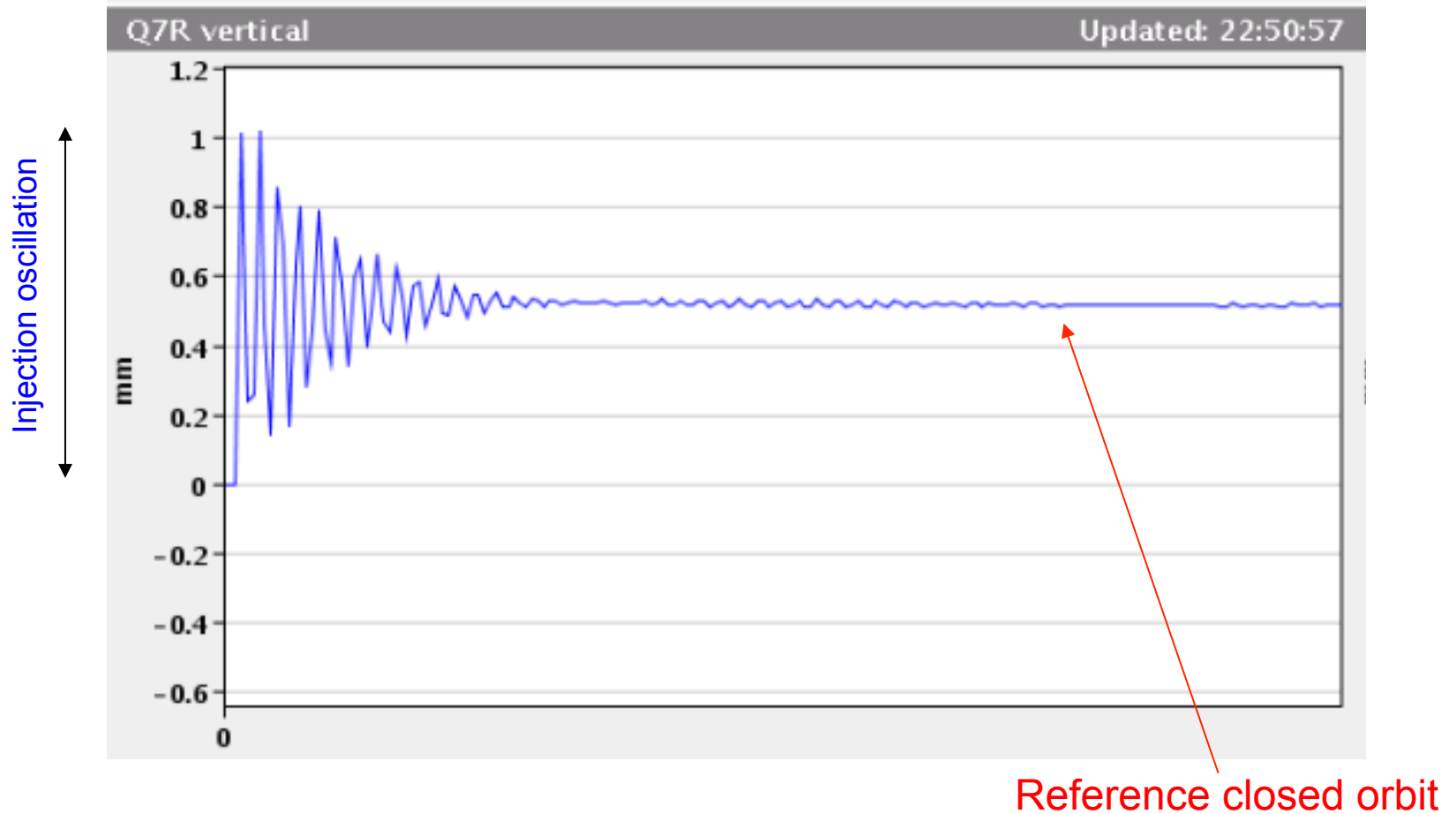


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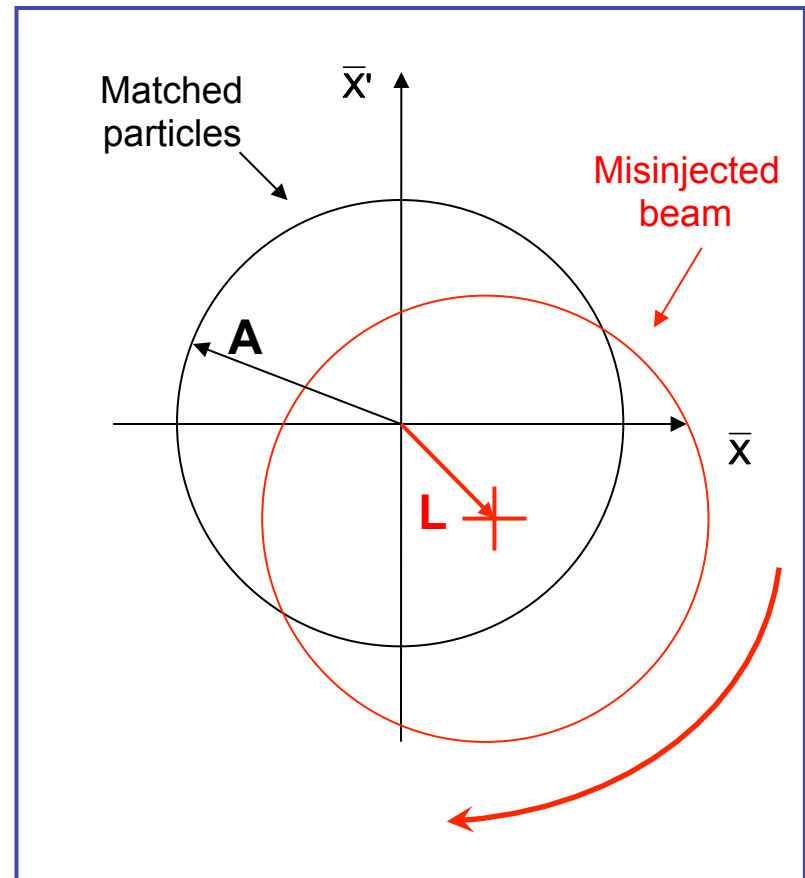
# Filamentation

- Residual transverse oscillations lead to an *effective* emittance blow-up through filamentation:



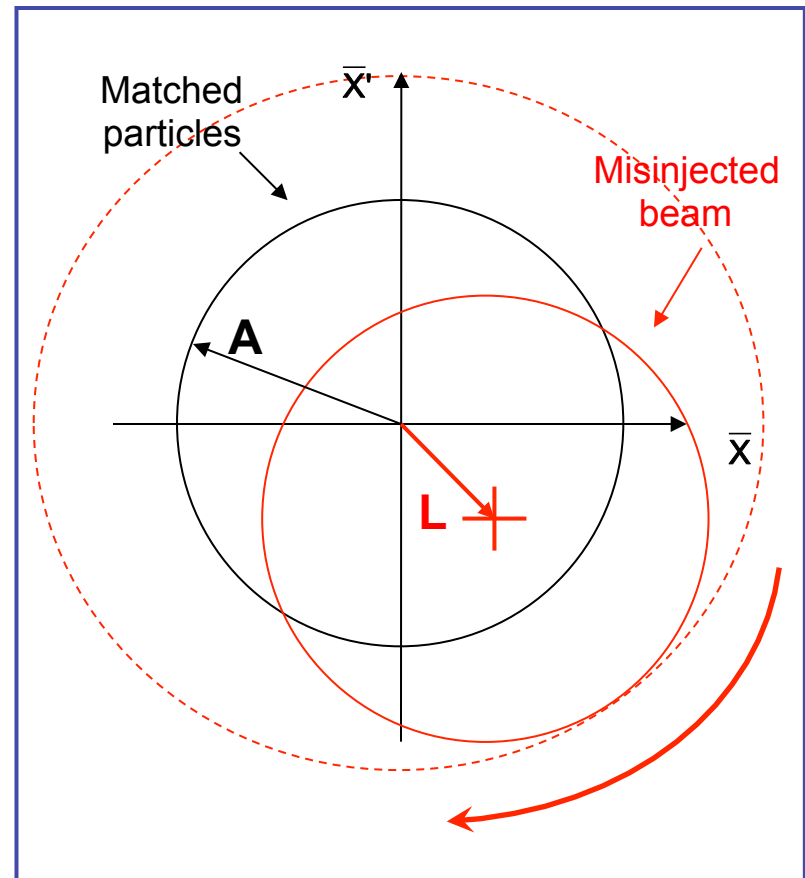
# Blow-up from steering error

- Consider a collection of particles with max. amplitudes  $A$
- The beam can be injected with an error in angle and position
- For an injection error  $\Delta a$ , in units of  $\sigma = \sqrt{\beta\epsilon}$ , the mis-injected beam is offset in normalised phase space by an amplitude  $L = \Delta a\sqrt{\epsilon}$



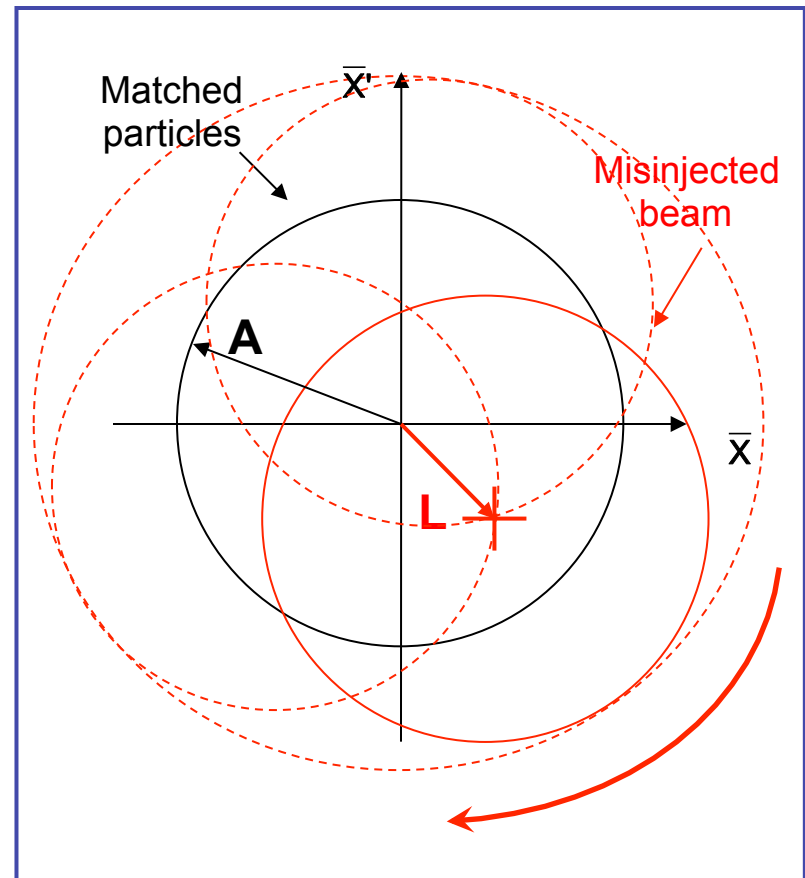
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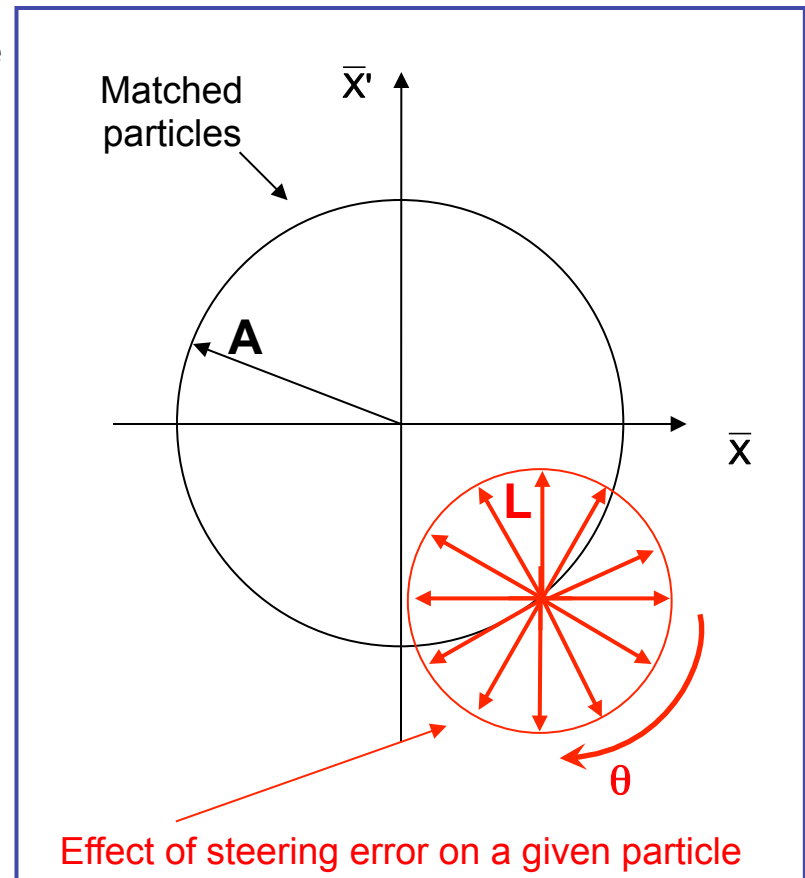
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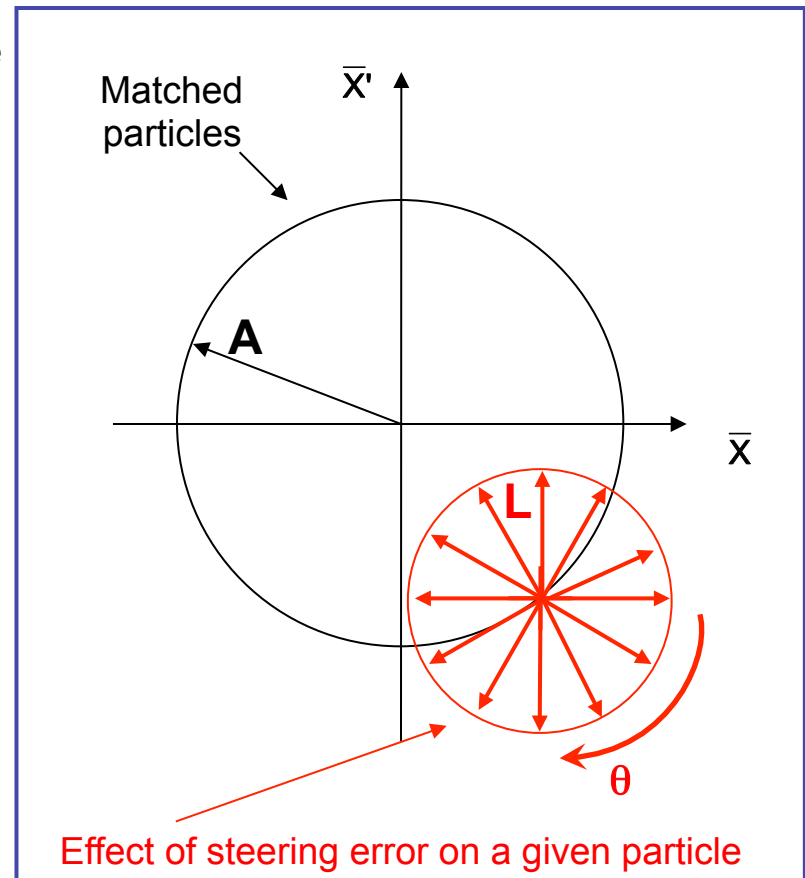
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- Any given point on the matched ellipse is randomised over all phases after filamentation due to the steering error:



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- For a general particle distribution, where  $A_i$  denotes amplitude in normalised phase of particle  $i$ :

$$\varepsilon_{matched} = \langle \mathbf{A}_i^2 \rangle / 2$$



# Blow-up from steering error

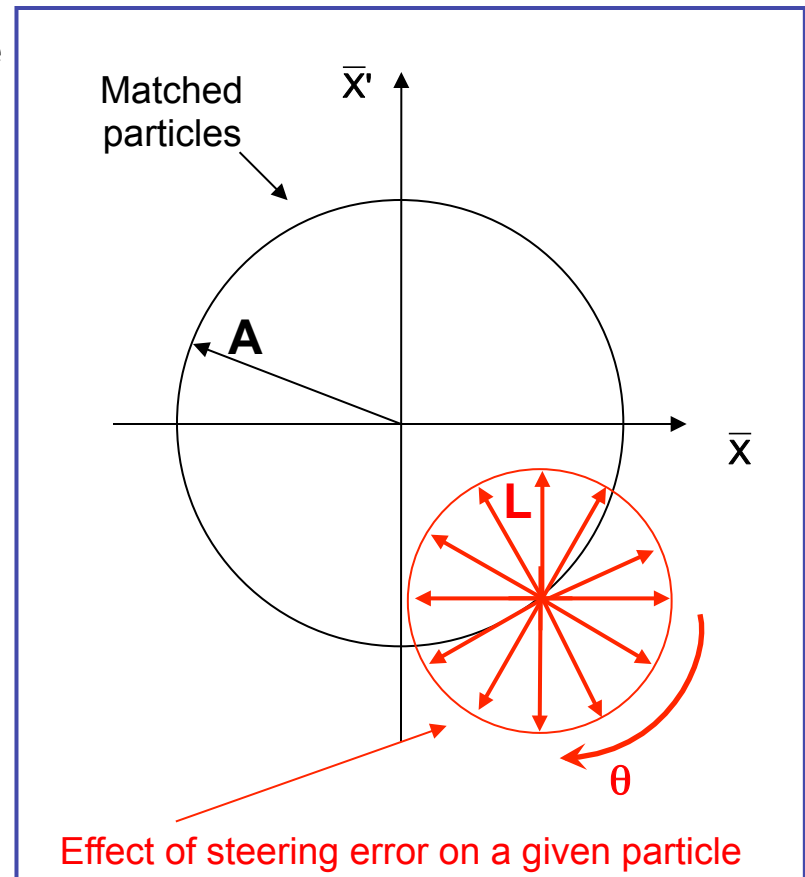
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- After filamentation:

$$\epsilon_{diluted} = \epsilon_{matched} + \frac{L^2}{2}$$

See appendix for derivation



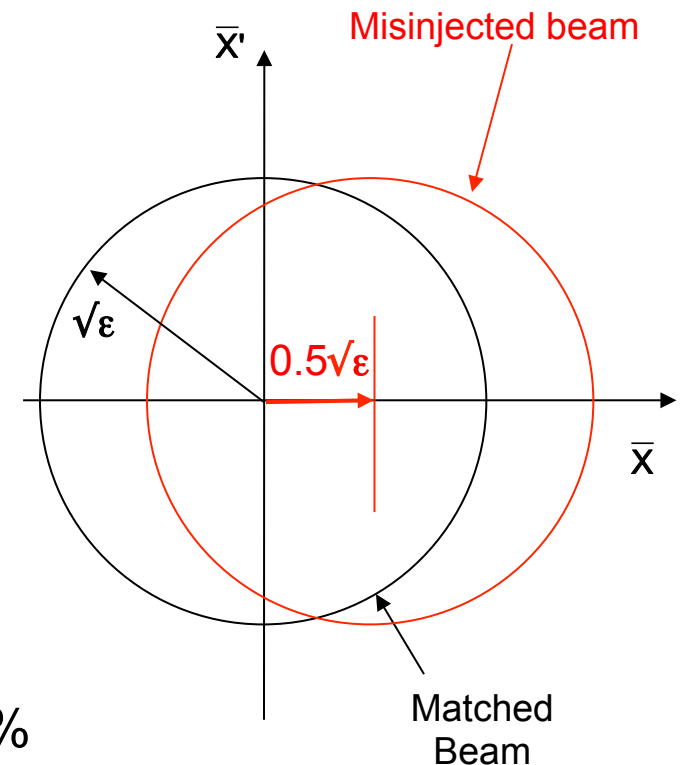
# Blow-up from steering error

- A numerical example....
- Consider an offset  $\Delta a = 0.5\sigma$  for injected beam:

$$L = \Delta a \sqrt{\epsilon_{matched}}$$

$$\begin{aligned}\epsilon_{diluted} &= \epsilon_{matched} + \frac{L^2}{2} \\ &= \epsilon_{matched} \left[ 1 + \frac{\Delta a^2}{2} \right] \\ &= \epsilon_{matched} [1.125]\end{aligned}$$

- For nominal LHC beam:  
...allowed growth through LHC cycle ~10 %



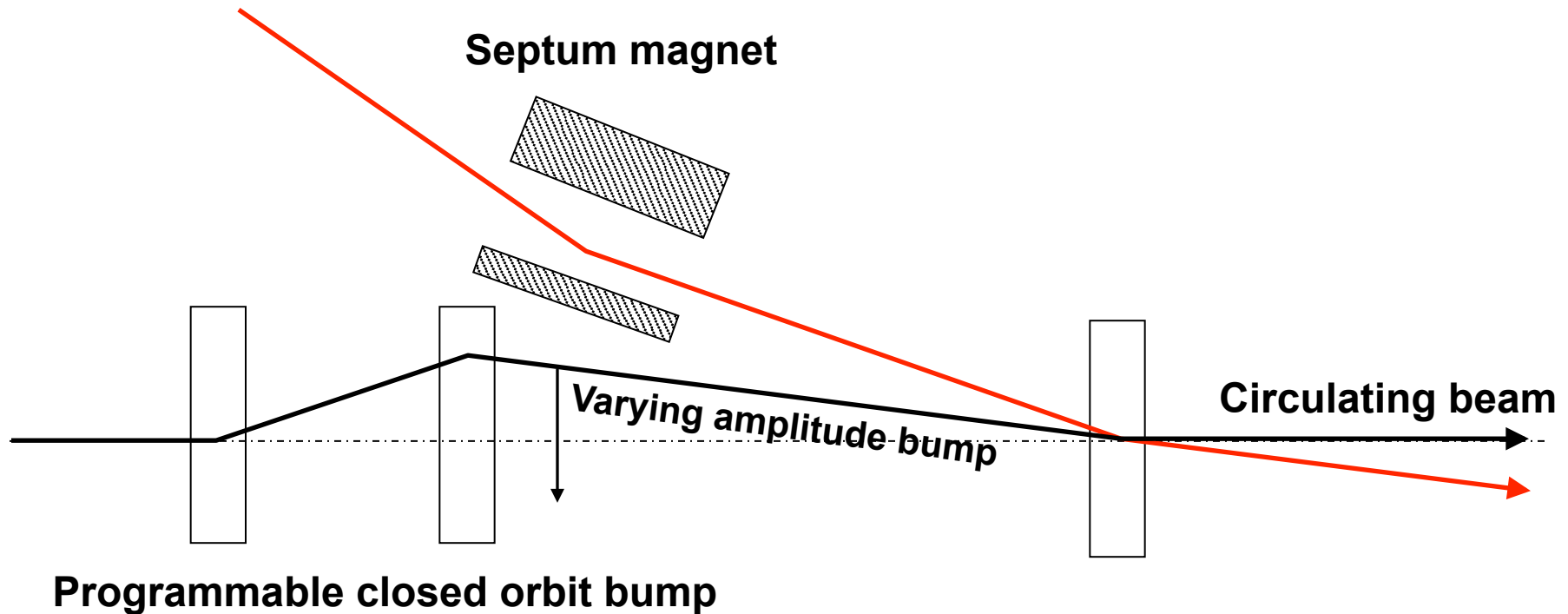
# Multi-turn injection

- For hadrons the beam density at injection can be limited either by space charge effects or by the injector capacity
- If we cannot increase charge density, we can sometimes fill the horizontal phase space to increase overall injected intensity.
  - If the acceptance of the receiving machine is larger than the delivered beam emittance we can accumulate intensity

# Multi-turn injection for hadrons

**Injected beam  
(usually from a linac)**

**Septum magnet**



- No kicker but fast programmable bumpers
- Bump amplitude decreases and a new batch injected turn-by-turn
- Phase-space “painting”

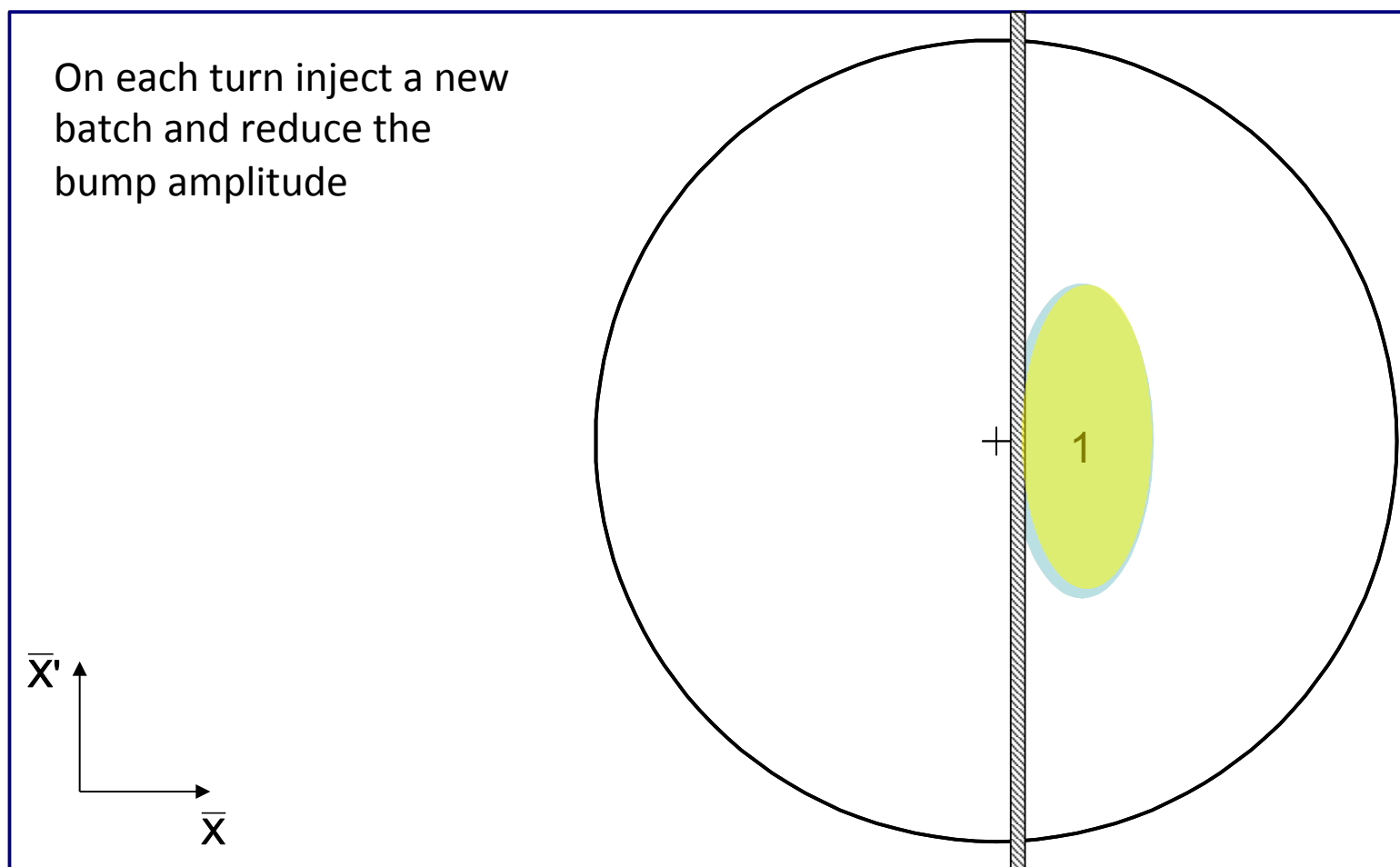
# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 1

On each turn inject a new batch and reduce the bump amplitude



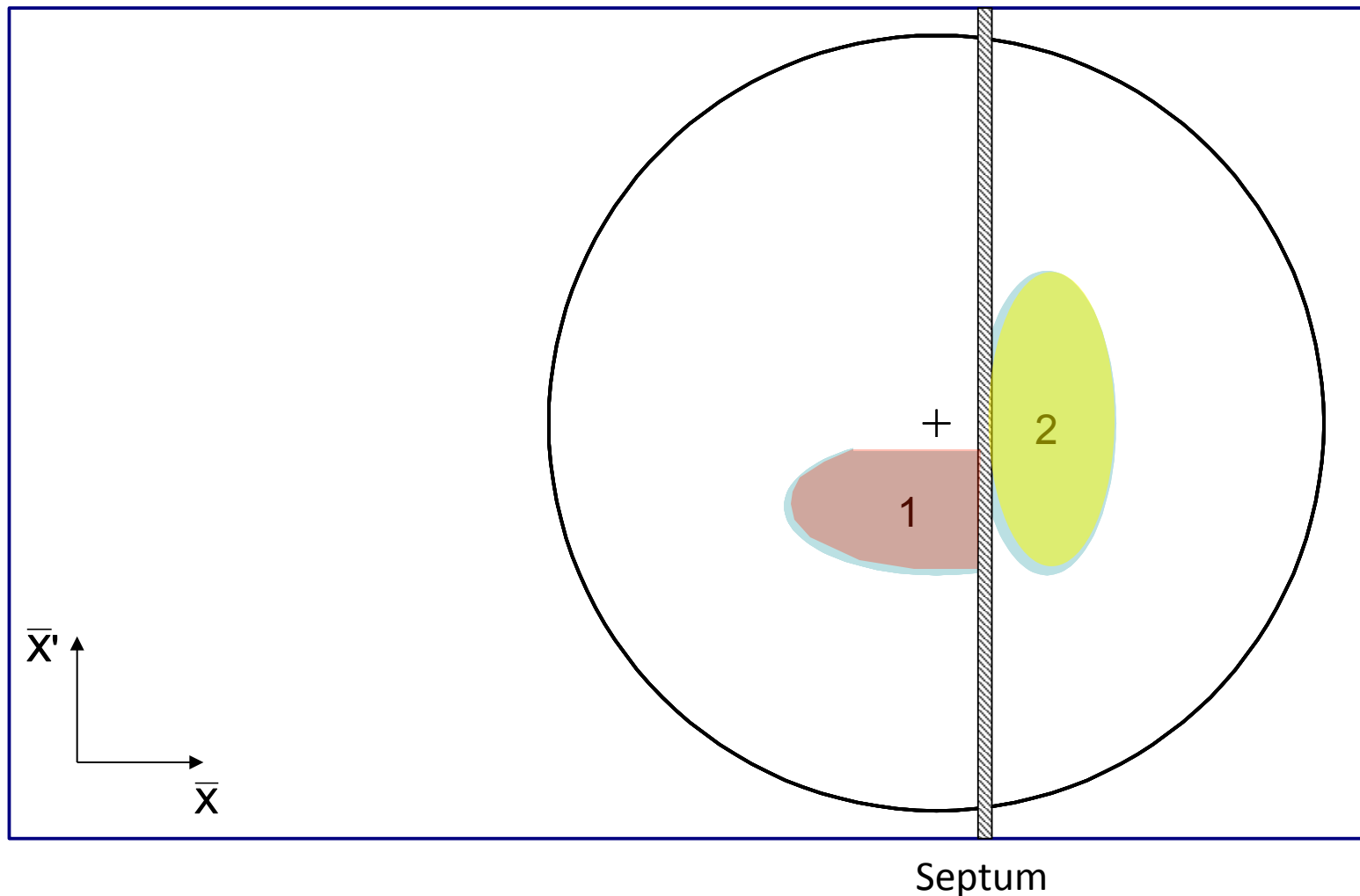
Septum

# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 2

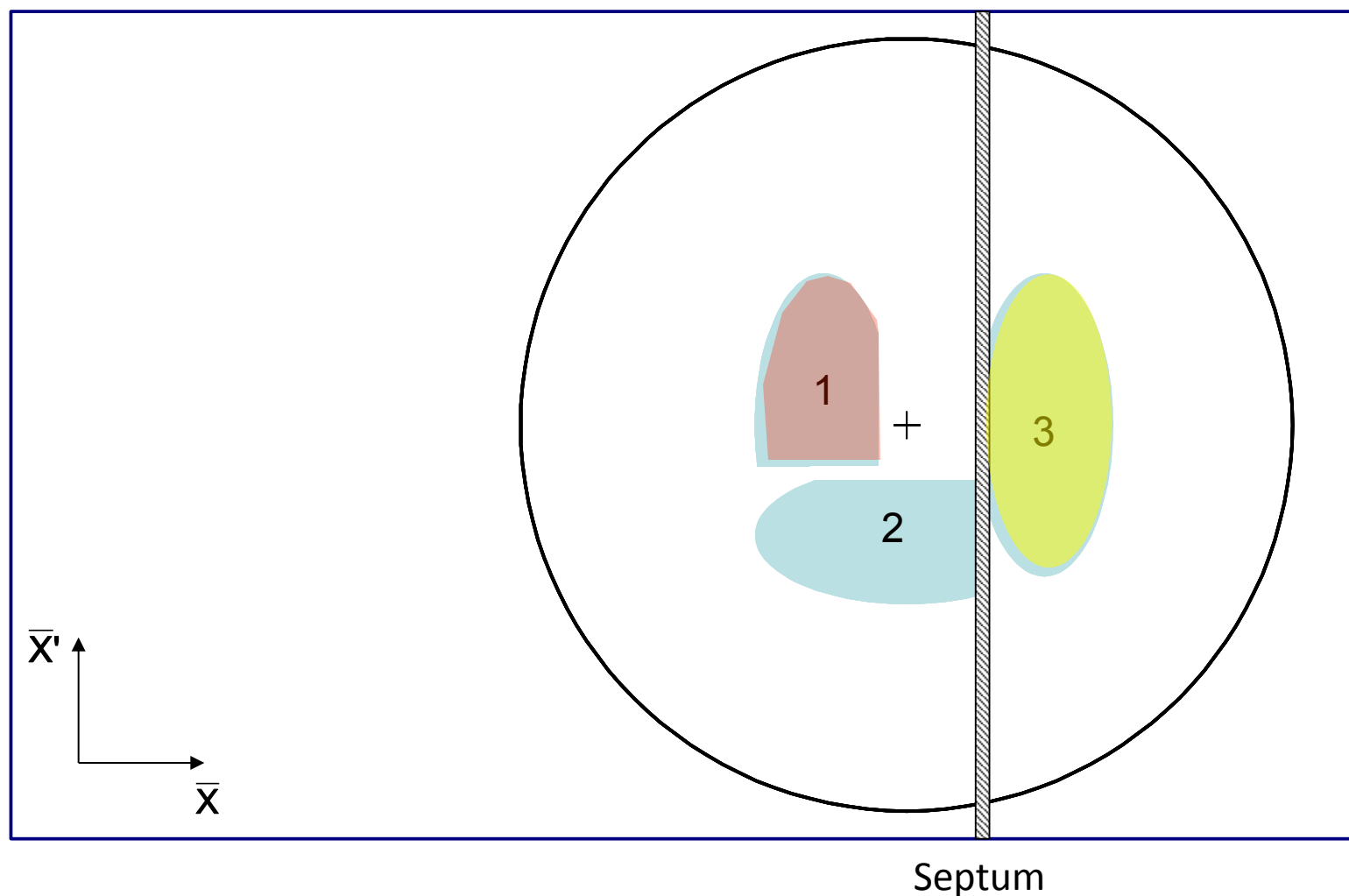


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 3

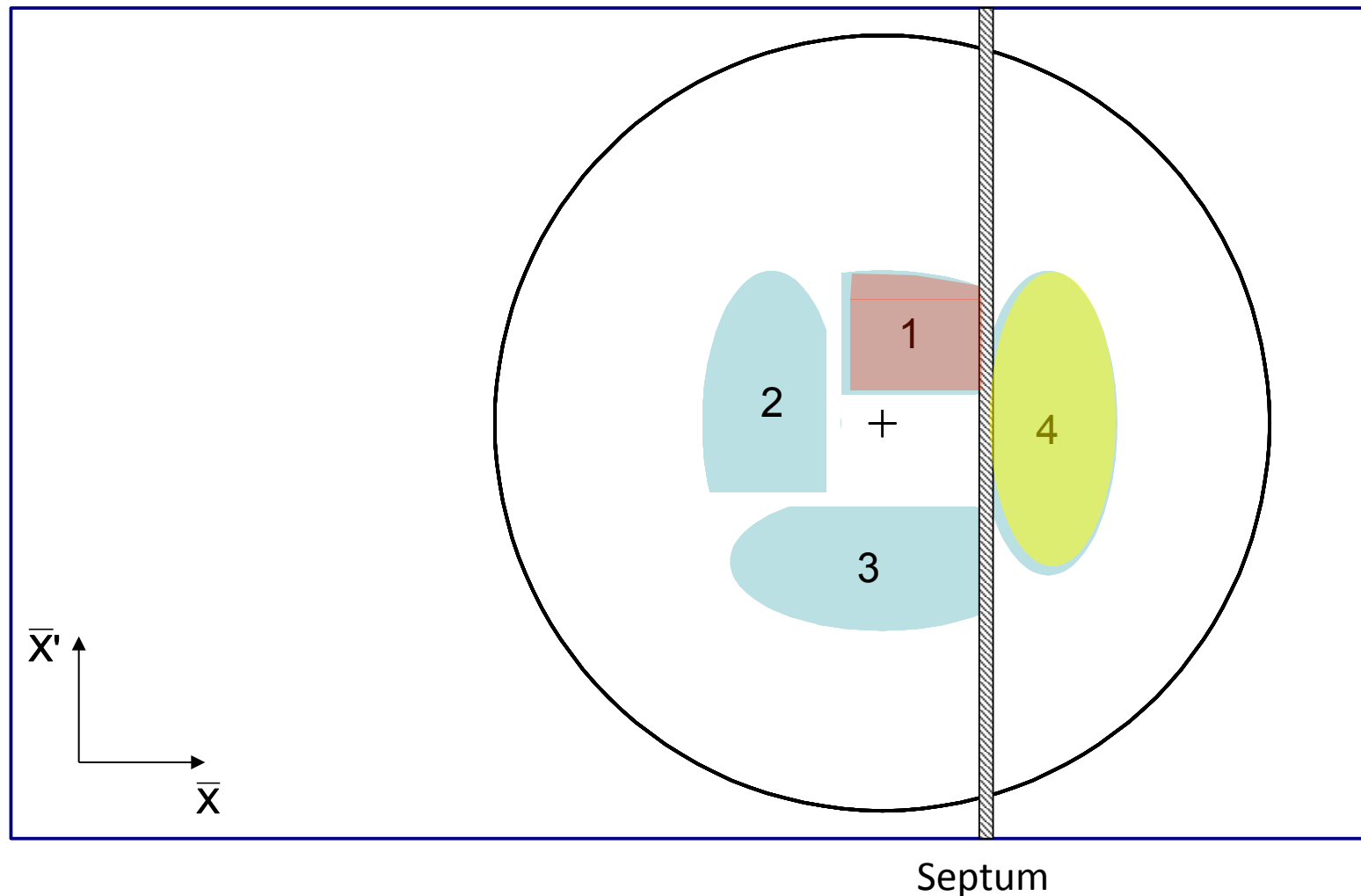


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 4

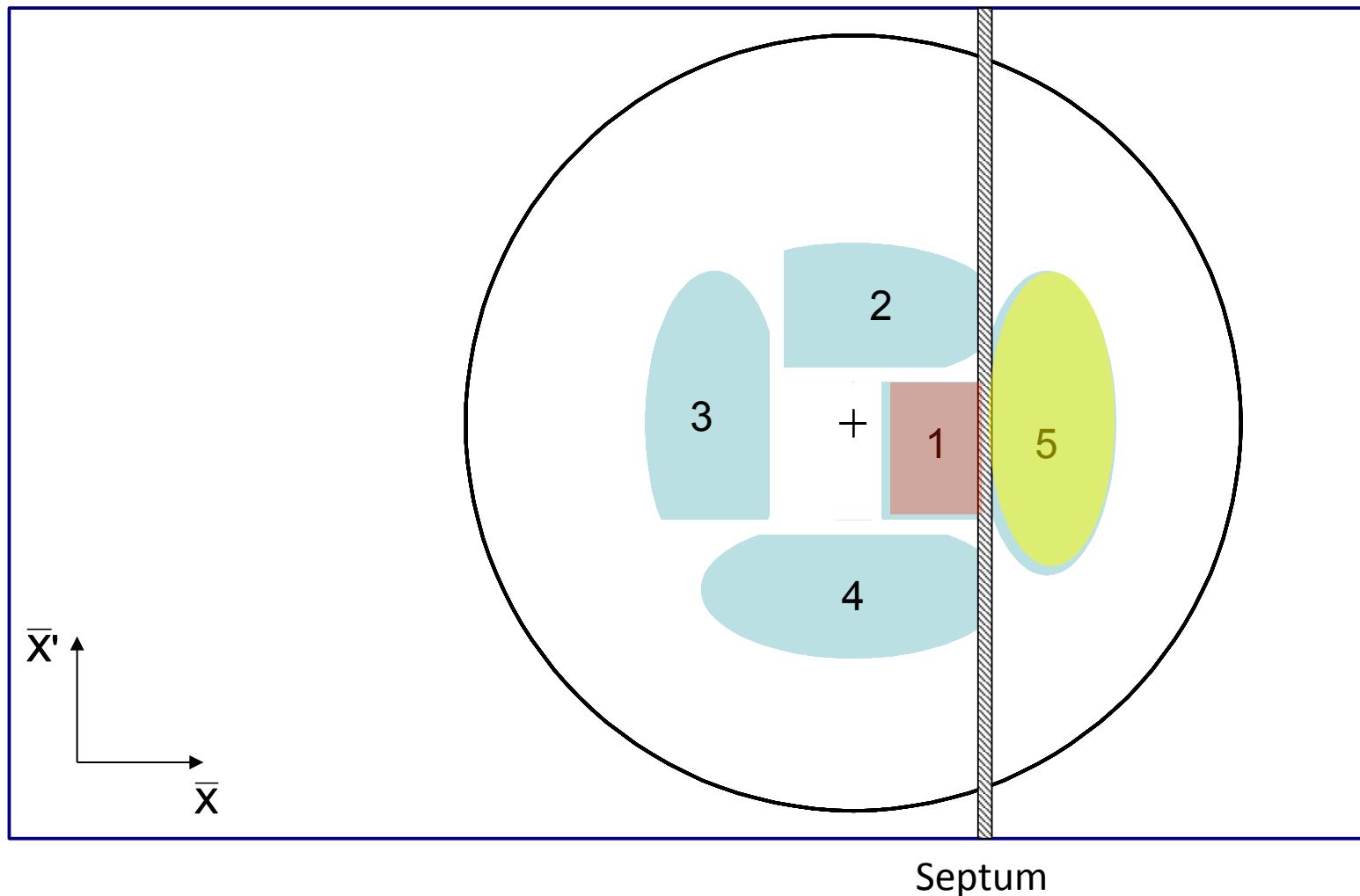


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 5

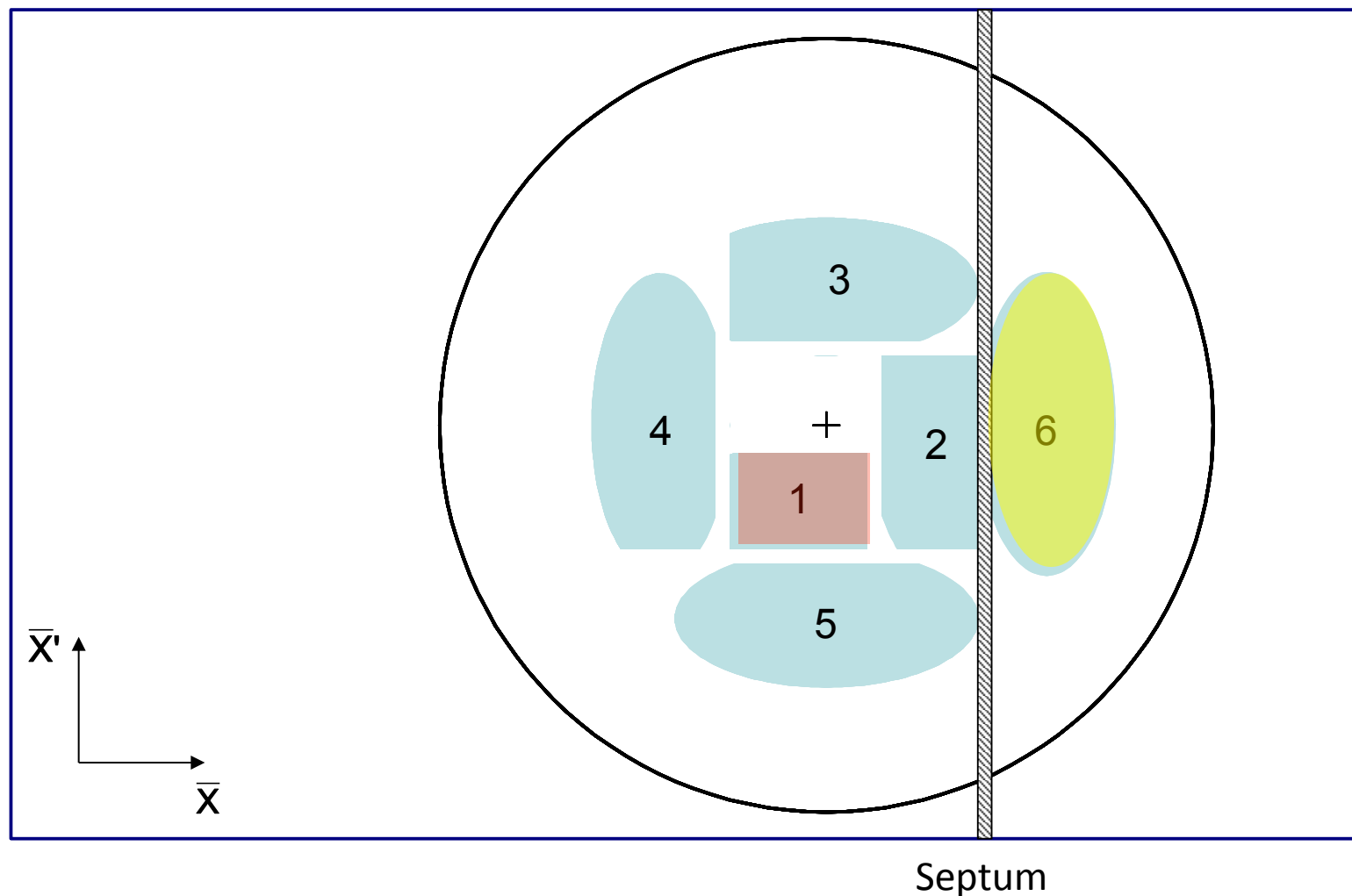


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 6

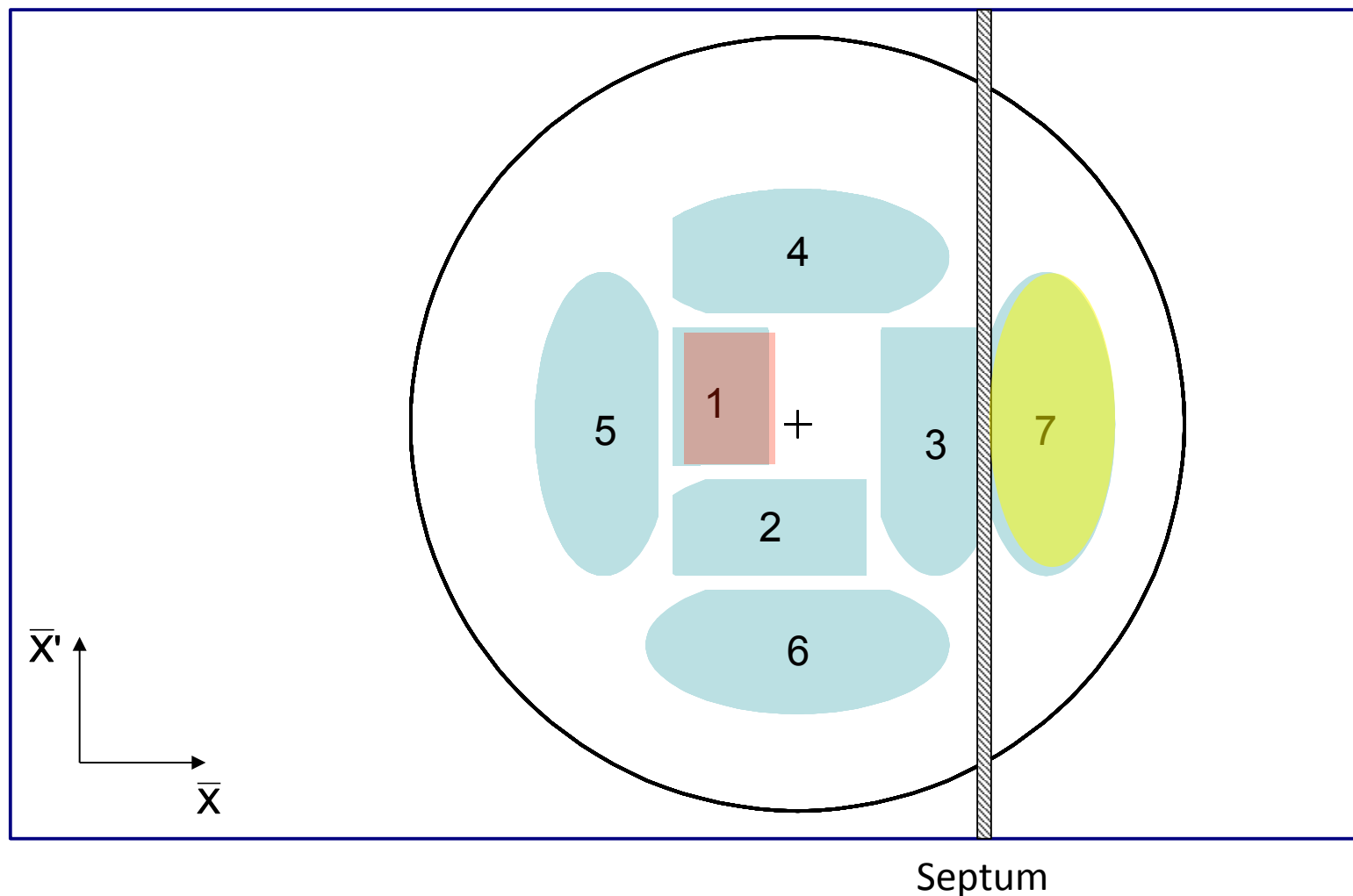


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 7

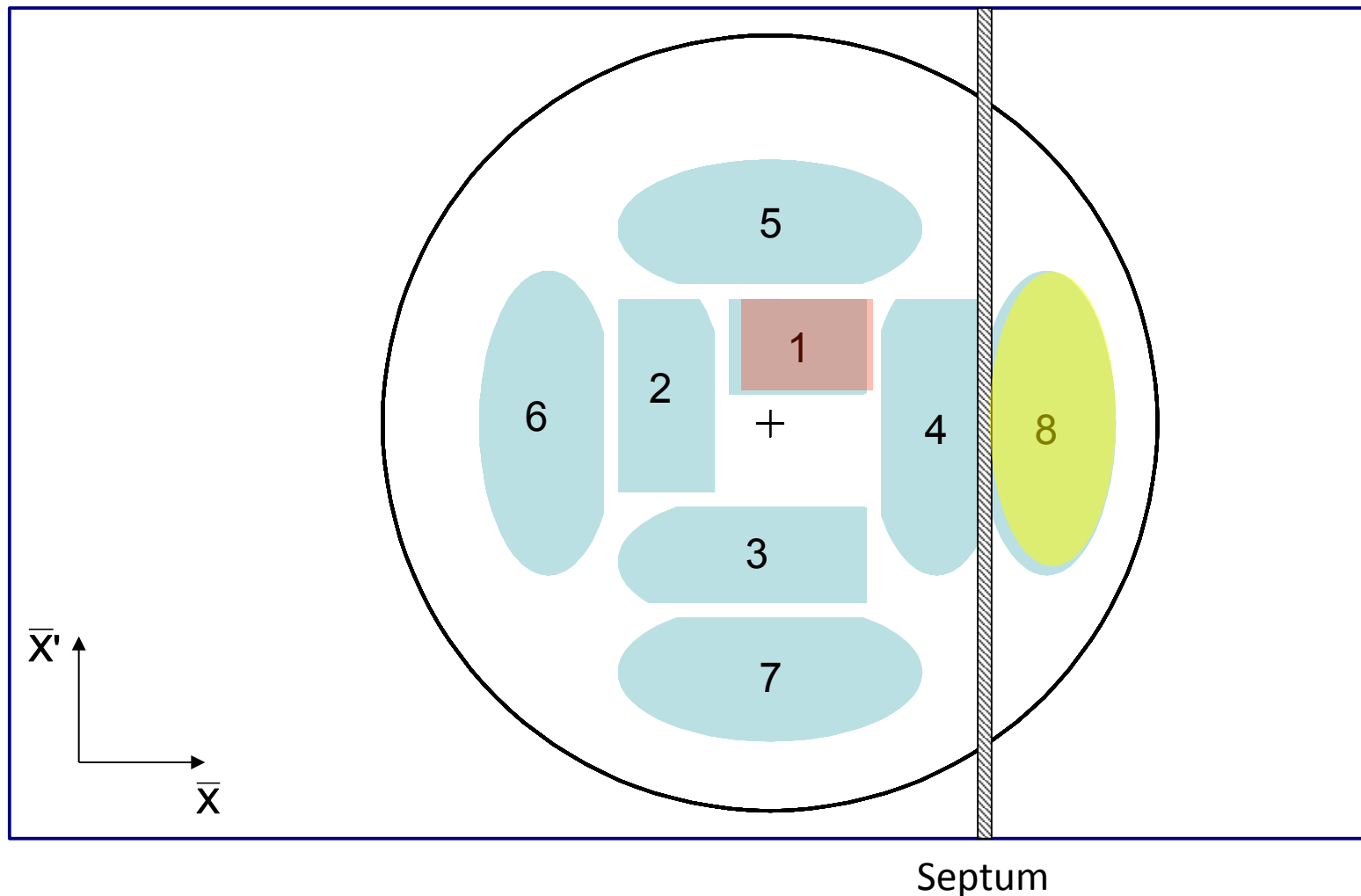


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 8

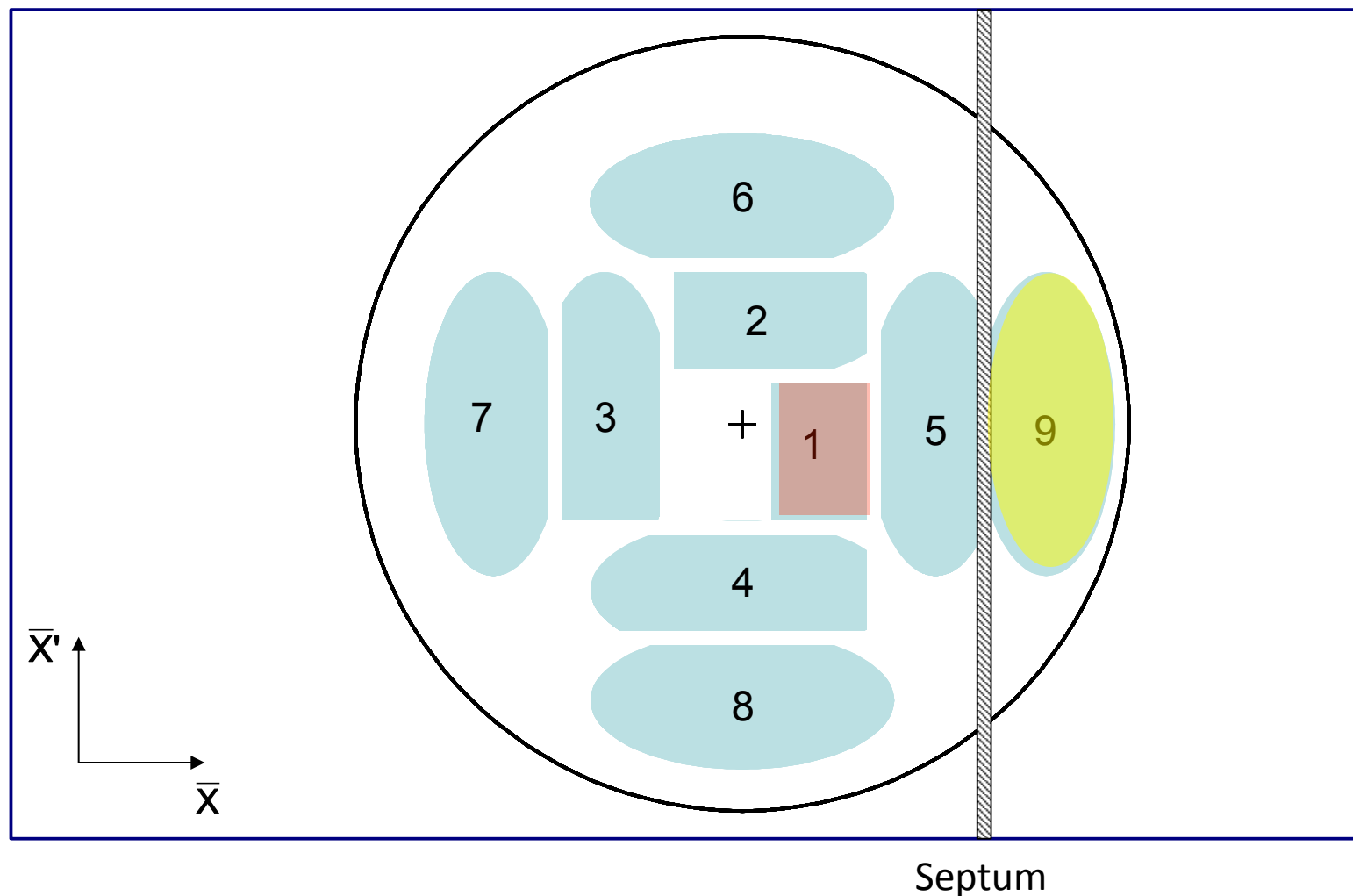


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 9

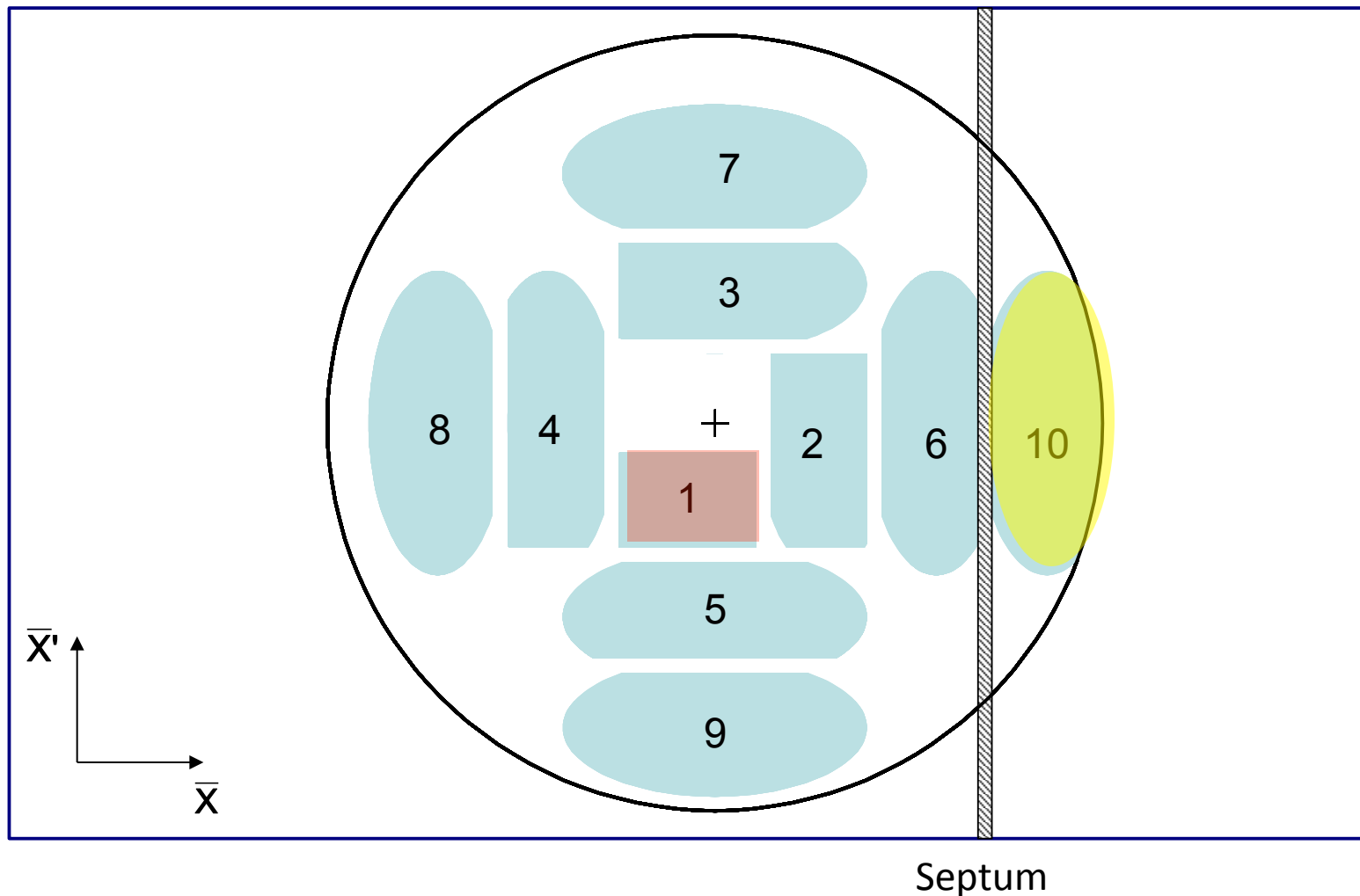


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 10

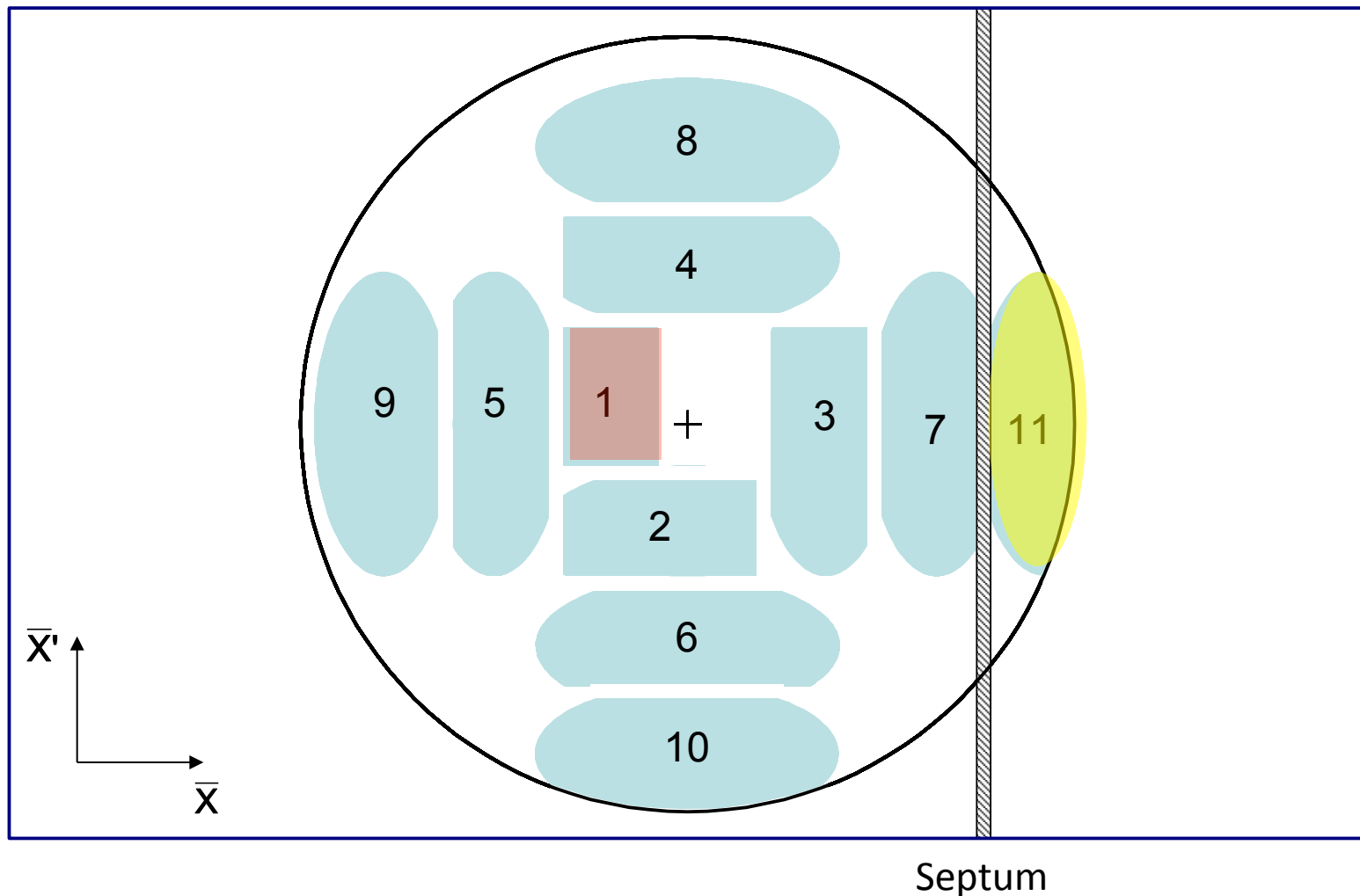


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 11

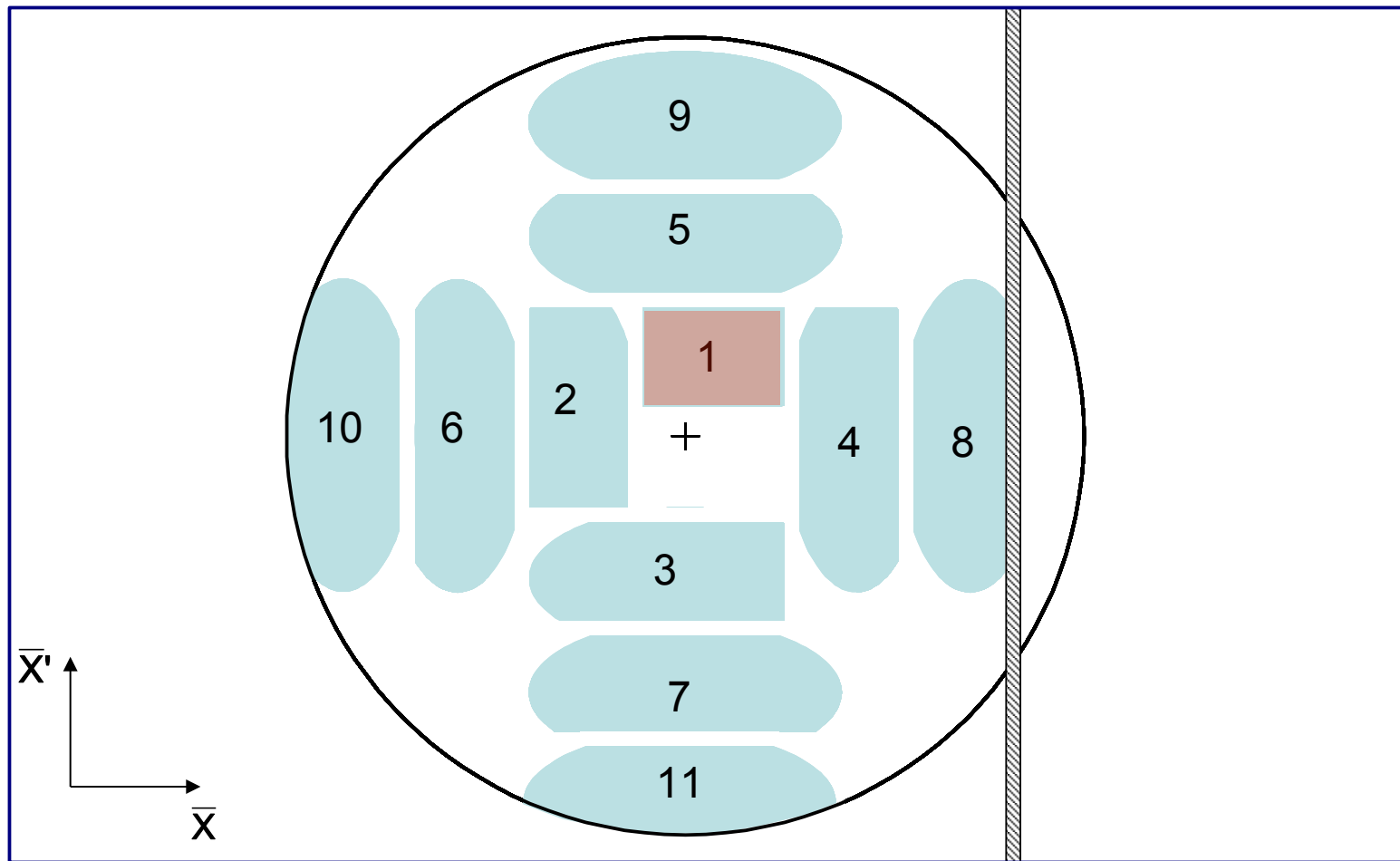


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 12



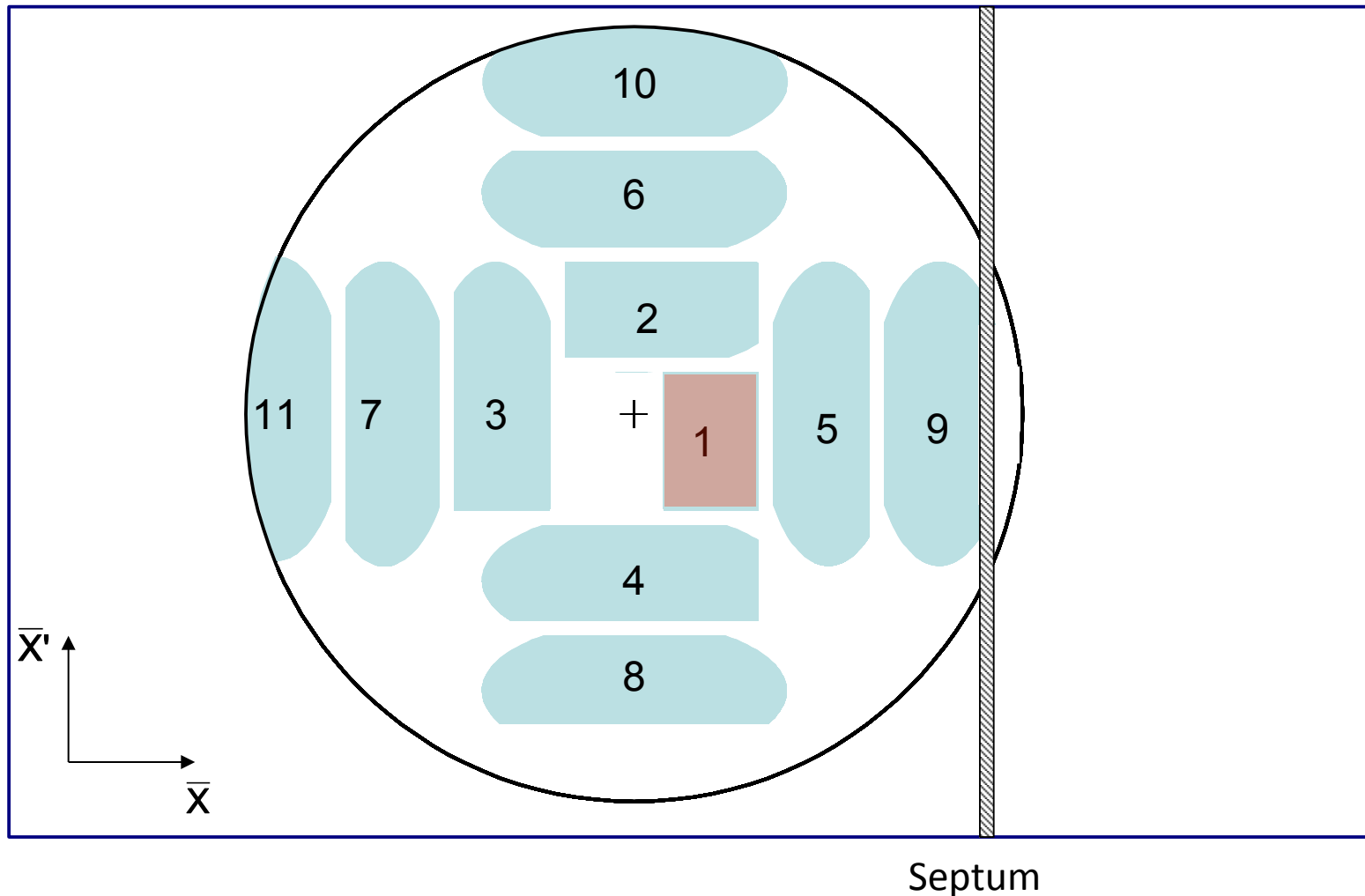
Septum

# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 13

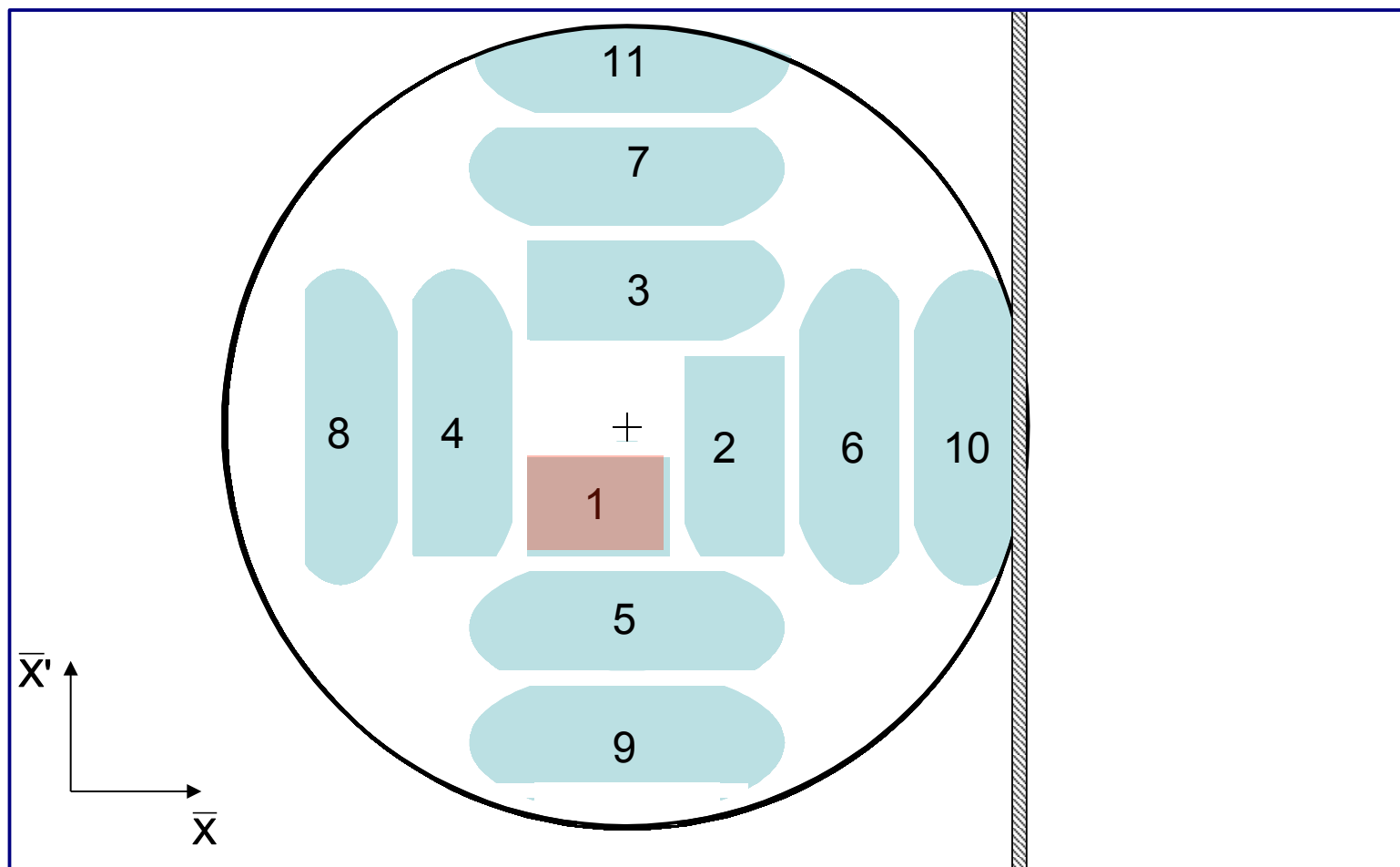


# Multi-turn injection for hadrons

Example: CERN PSB injection, high intensity beams, fractional tune  $Q_h \approx 0.25$

Beam rotates  $\pi/2$  per turn in phase space

Turn 14

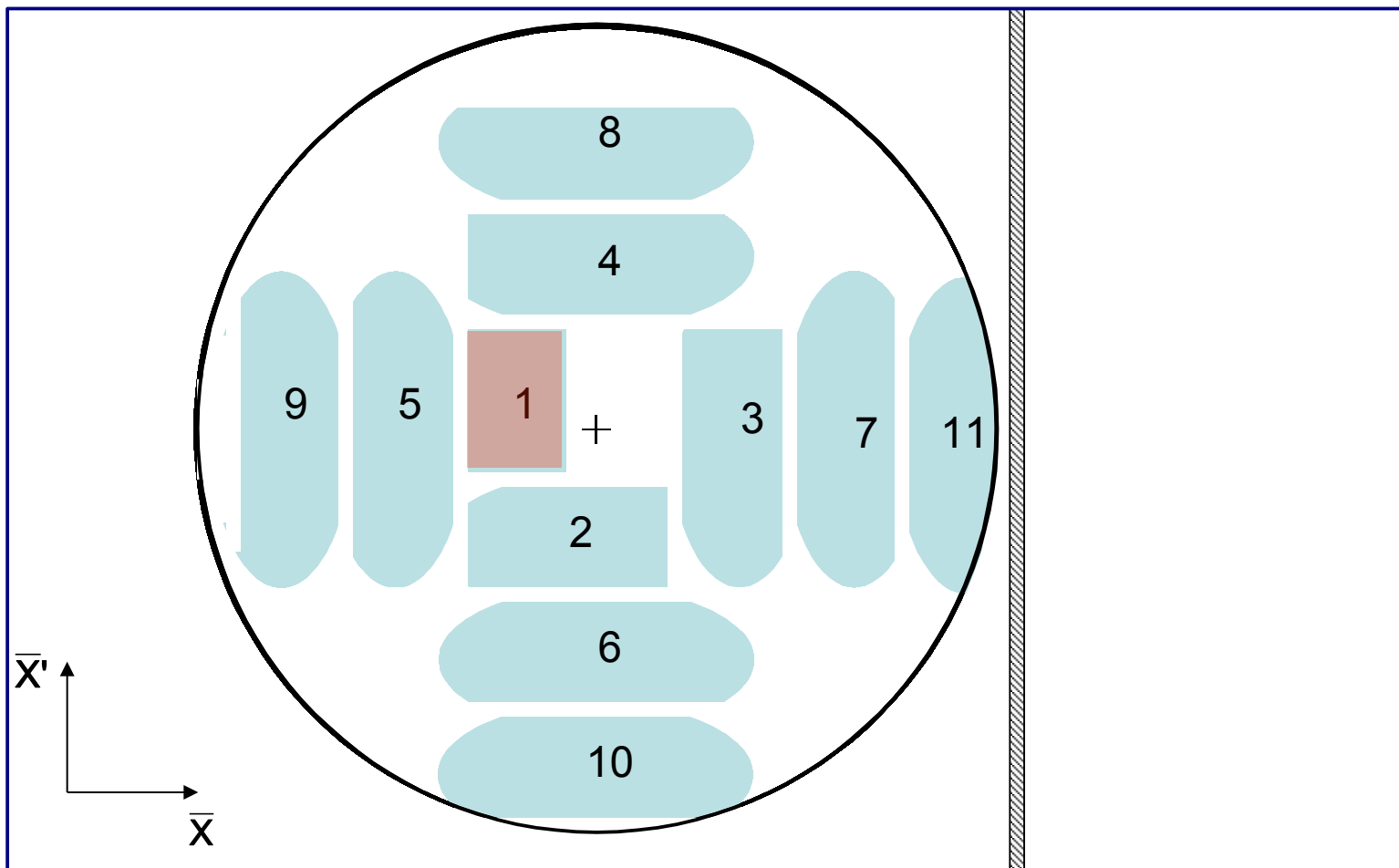


Septum

# Multi-turn injection for hadrons

Phase space has been “**painted**”

Turn 15



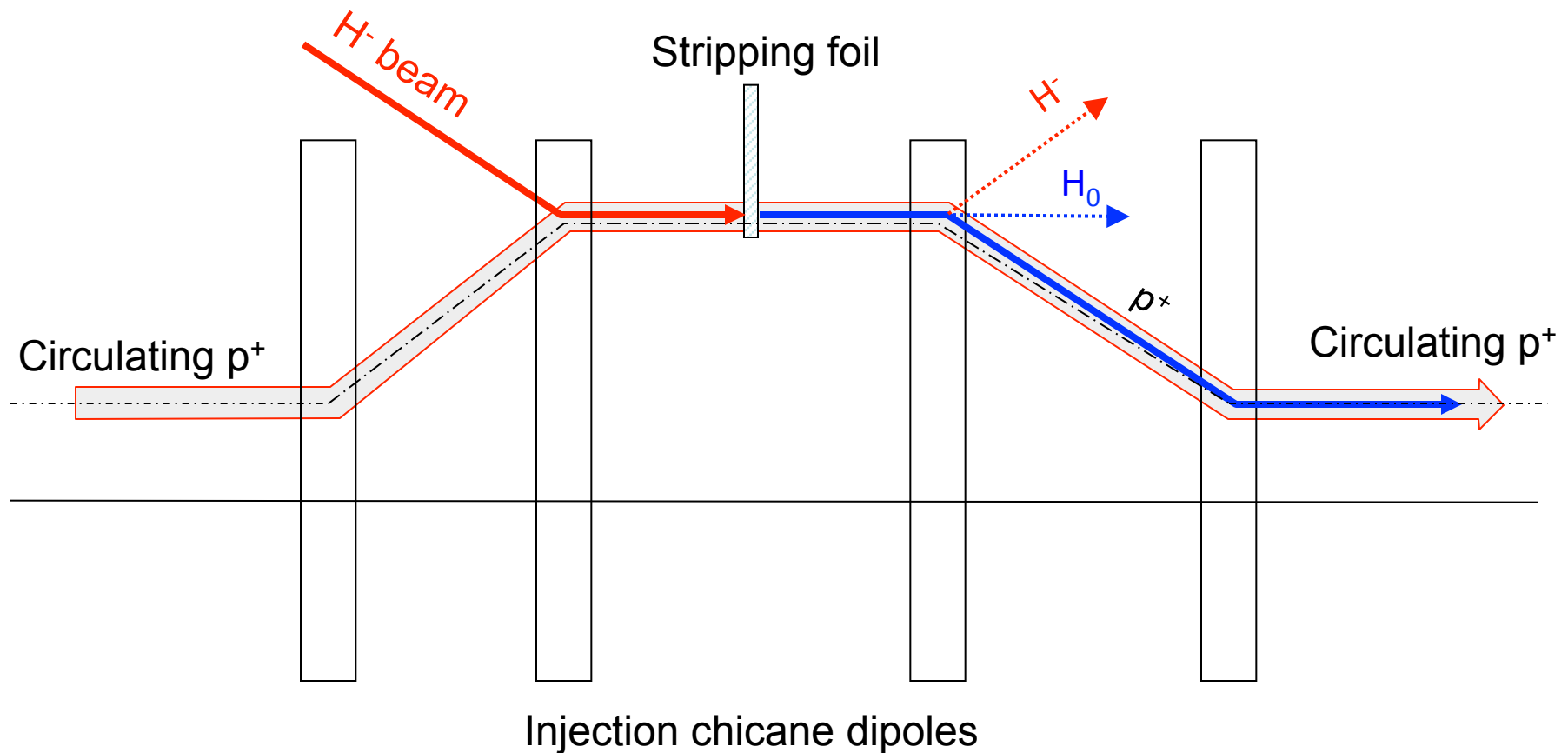
In reality, filamentation (often space-charge driven) occurs to produce a quasi-uniform beam

# Charge exchange H- injection

- Multi-turn injection is essential to accumulate high intensity
- Disadvantages inherent in using an injection septum:
  - Width of several mm reduces aperture
  - Beam losses from circulating beam hitting septum:
    - typically 30 – 40 % for the CERN PSB injection at 50 MeV
  - Limits number of injected turns to 10 - 20
- Charge-exchange injection provides elegant alternative
  - Possible to “cheat” Liouville’s theorem, which says that emittance is conserved....
  - Convert  $H^-$  to  $p^+$  using a thin stripping foil, allowing injection [into the same phase space area](#)

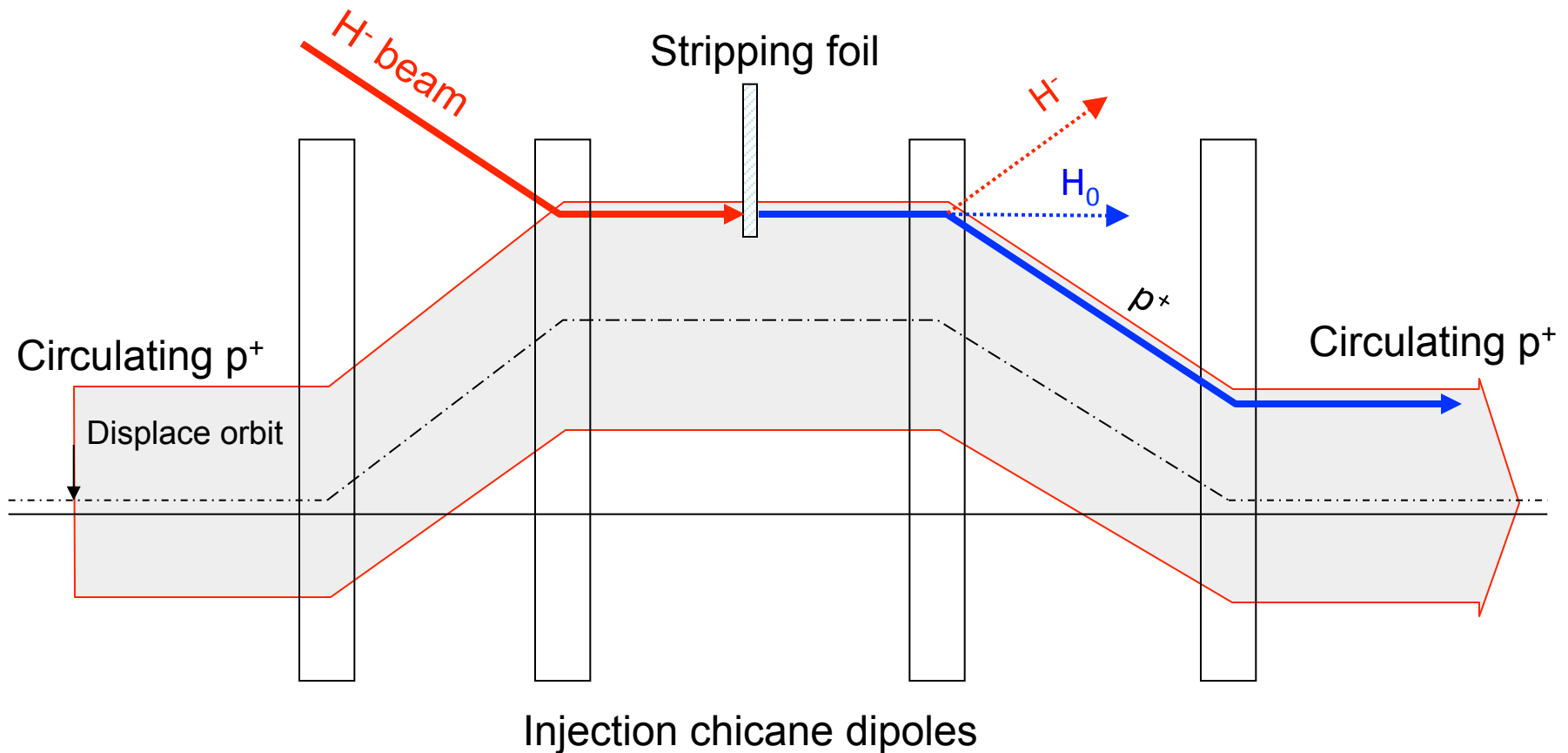
# Charge exchange H- injection

Start of injection process



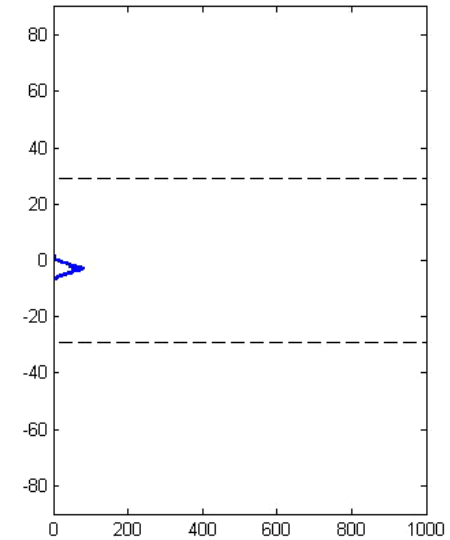
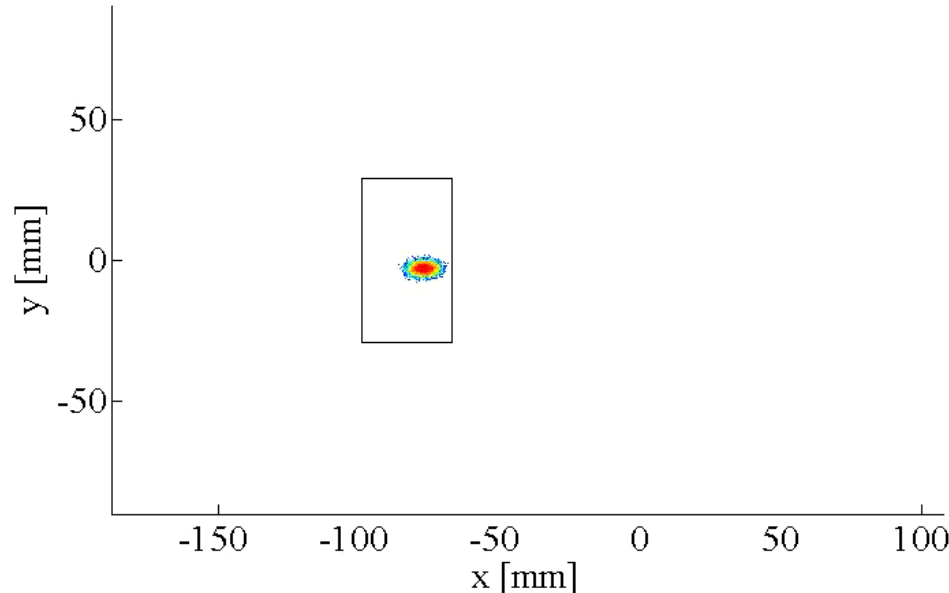
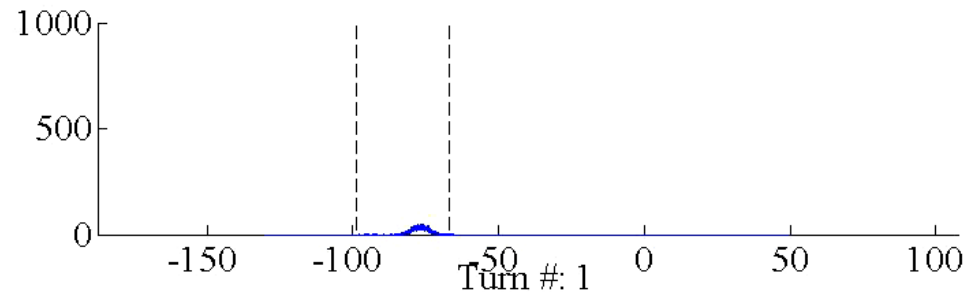
# Charge exchange H- injection

End of injection process with painting



# Accumulation process on foil

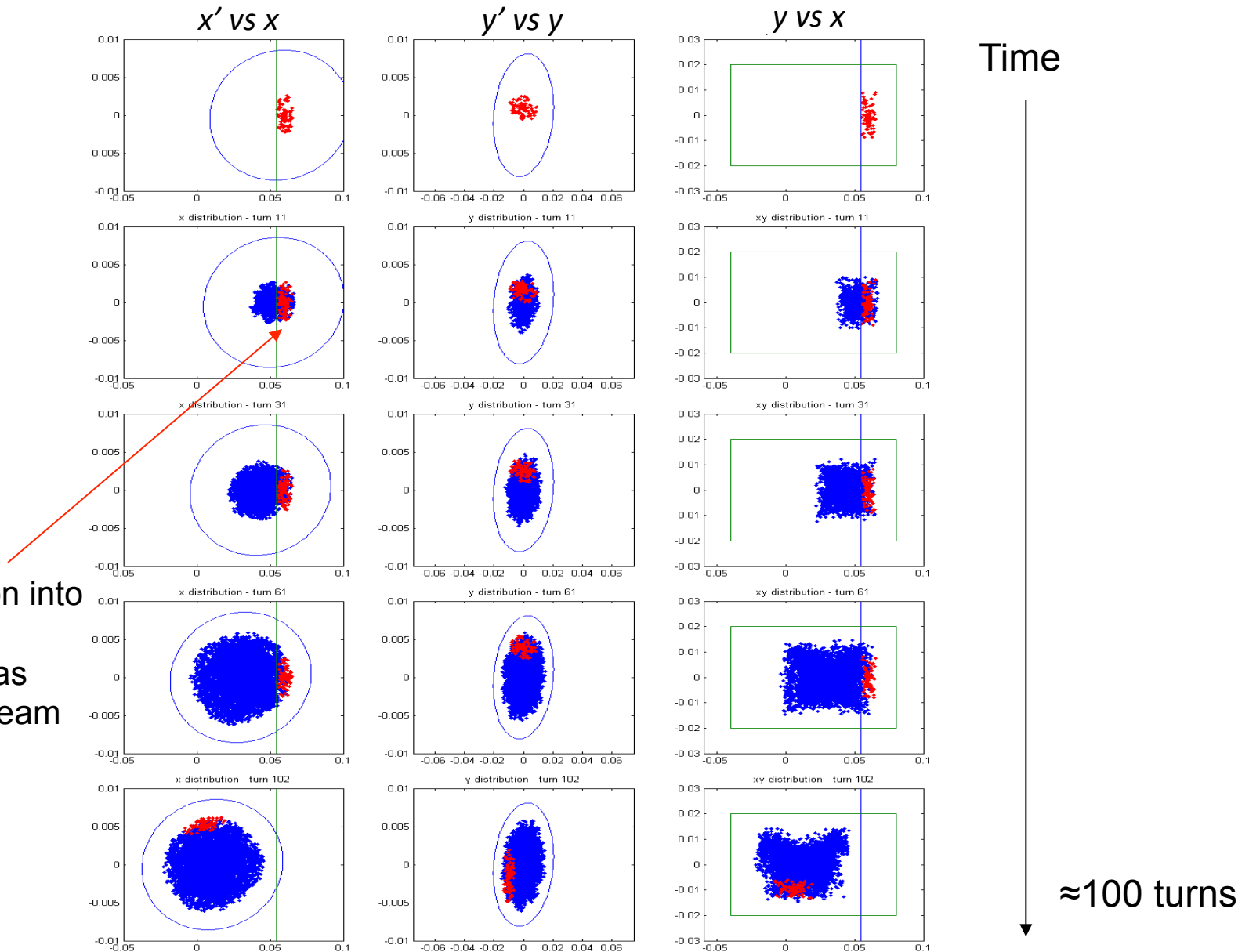
- Linac4 connection to the PS booster at 160 MeV:
  - $\text{H}^-$  stripped to  $\text{p}^+$  with an estimated efficiency  $\approx 98\%$  with C foil  $200\text{ }\mu\text{g.cm}^{-2}$



# Charge exchange H- injection

- Paint uniform transverse phase space density by modifying closed orbit bump and steering injected beam
- Foil thickness calculated to double-strip most ions ( $\approx 99\%$ )
  - 50 MeV –  $50 \mu\text{g.cm}^{-2}$
  - 800 MeV –  $200 \mu\text{g.cm}^{-2}$  ( $\approx 1 \mu\text{m}$  of C!)
- Carbon foils generally used – very fragile
- Injection chicane reduced or switched off after injection, to avoid excessive foil heating and beam blow-up
- Longitudinal phase space can also be painted turn-by-turn:
  - Variation of the injected beam energy turn-by-turn (linac voltage scaled)
  - Chopper system in linac to match length of injected batch to bucket

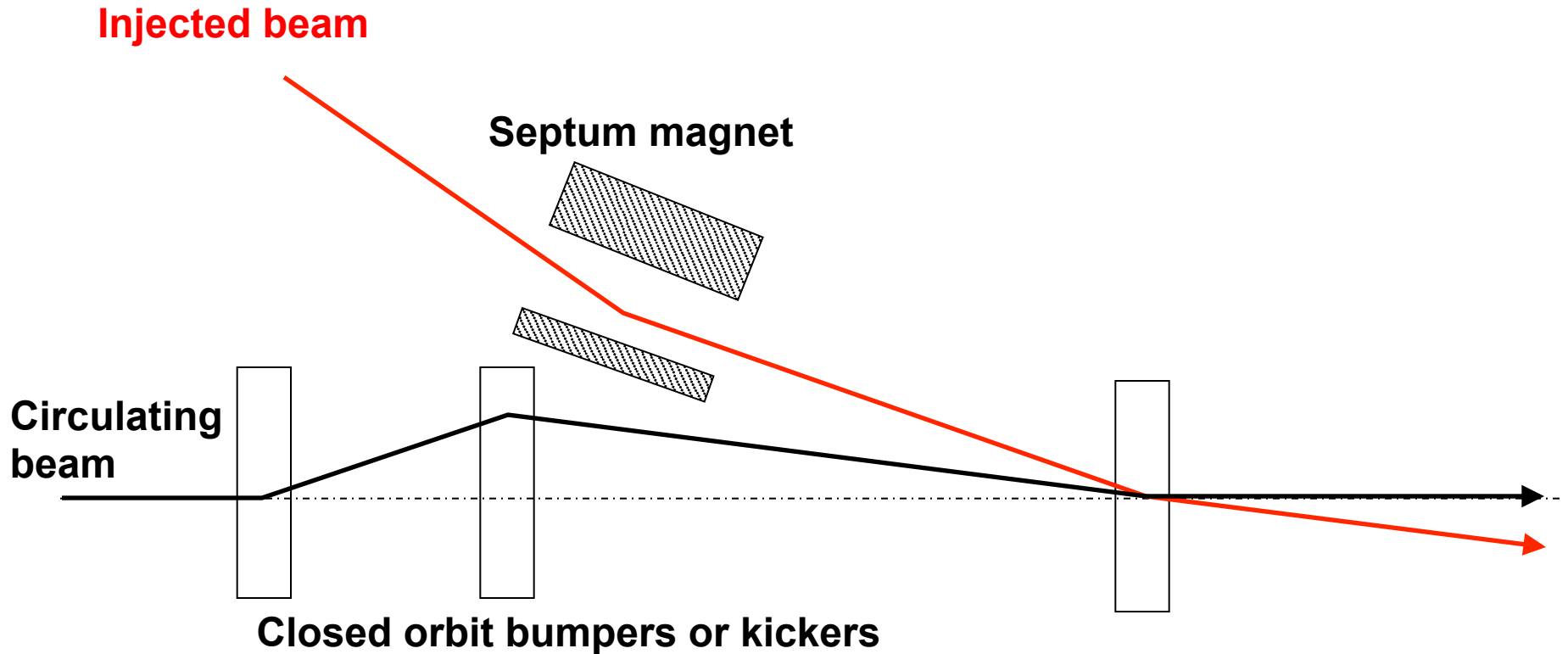
# H- injection - painting



# Lepton injection

- Single-turn injection can be used as for hadrons; however, lepton motion is strongly damped (different with respect to proton or ion injection).
  - Synchrotron radiation
    - see *Electron Beam Dynamics lectures by L. Rivkin*
- Can use transverse or longitudinal damping:
  - Transverse - Betatron accumulation
  - Longitudinal - Synchrotron accumulation

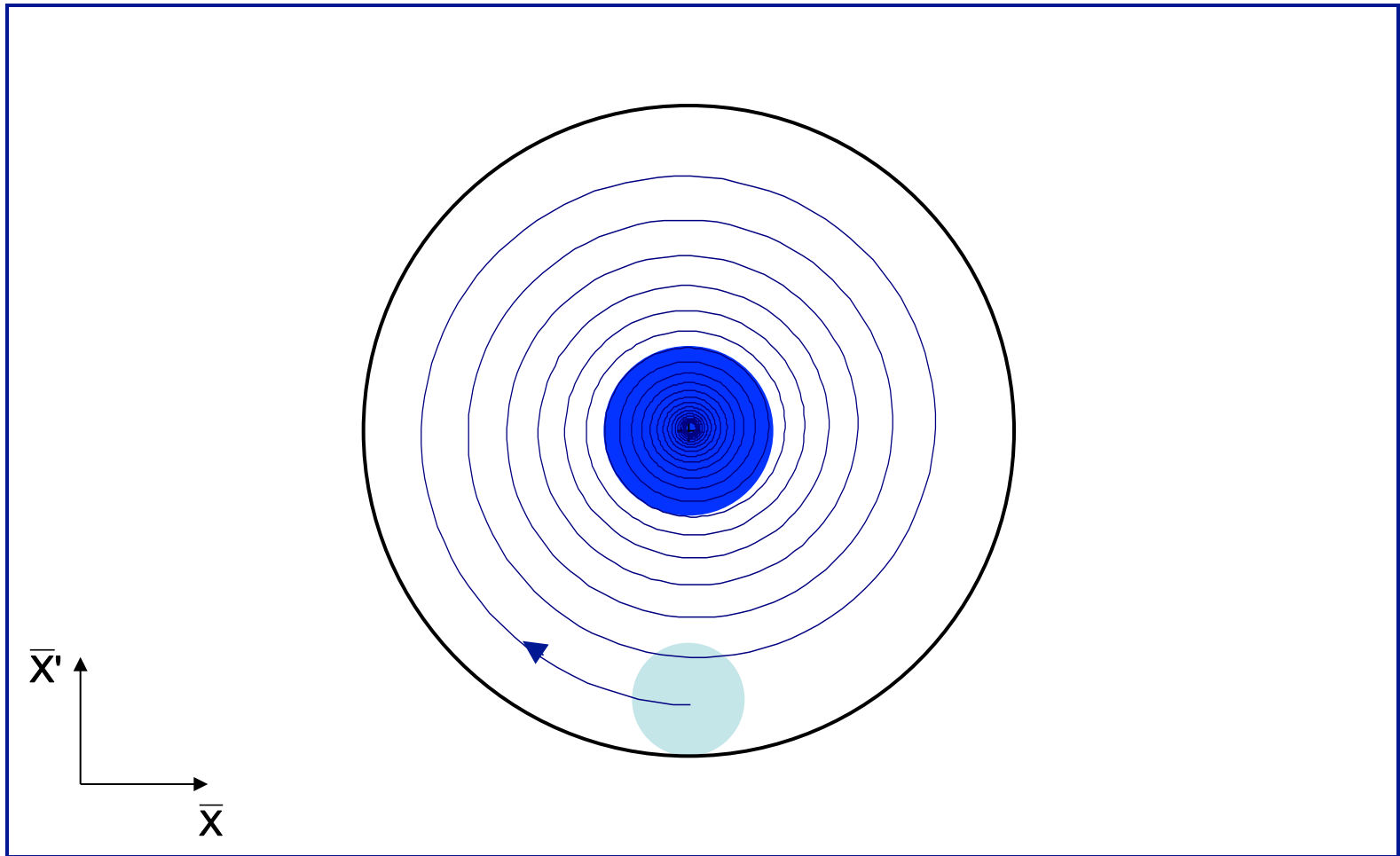
# Betatron lepton injection



- Beam is injected with an angle with respect to the closed orbit
- Injected beam performs damped betatron oscillations about the closed orbit

# Betatron lepton injection

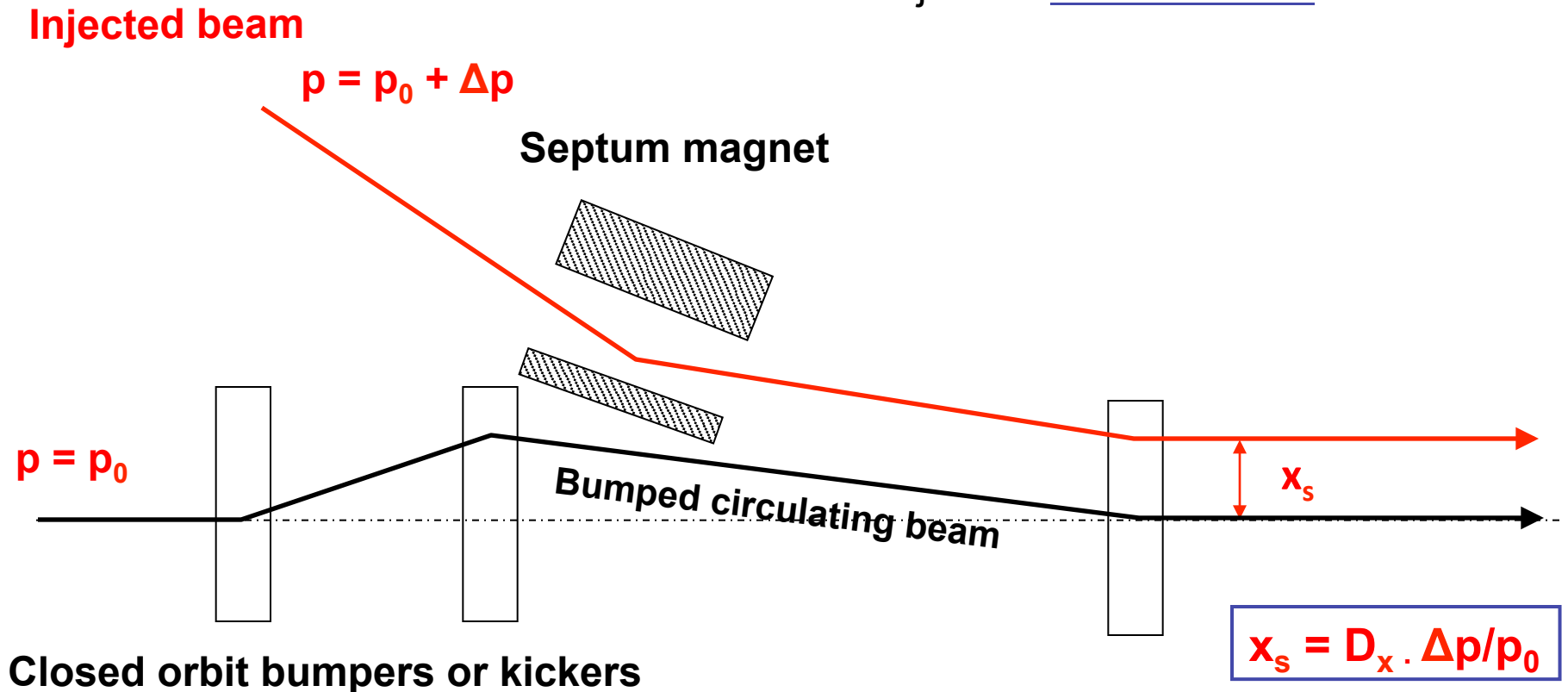
Injected bunch performs damped betatron oscillations



In LEP at 20 GeV, the damping time was about 6'000 turns (0.6 seconds)

# Synchrotron lepton injection

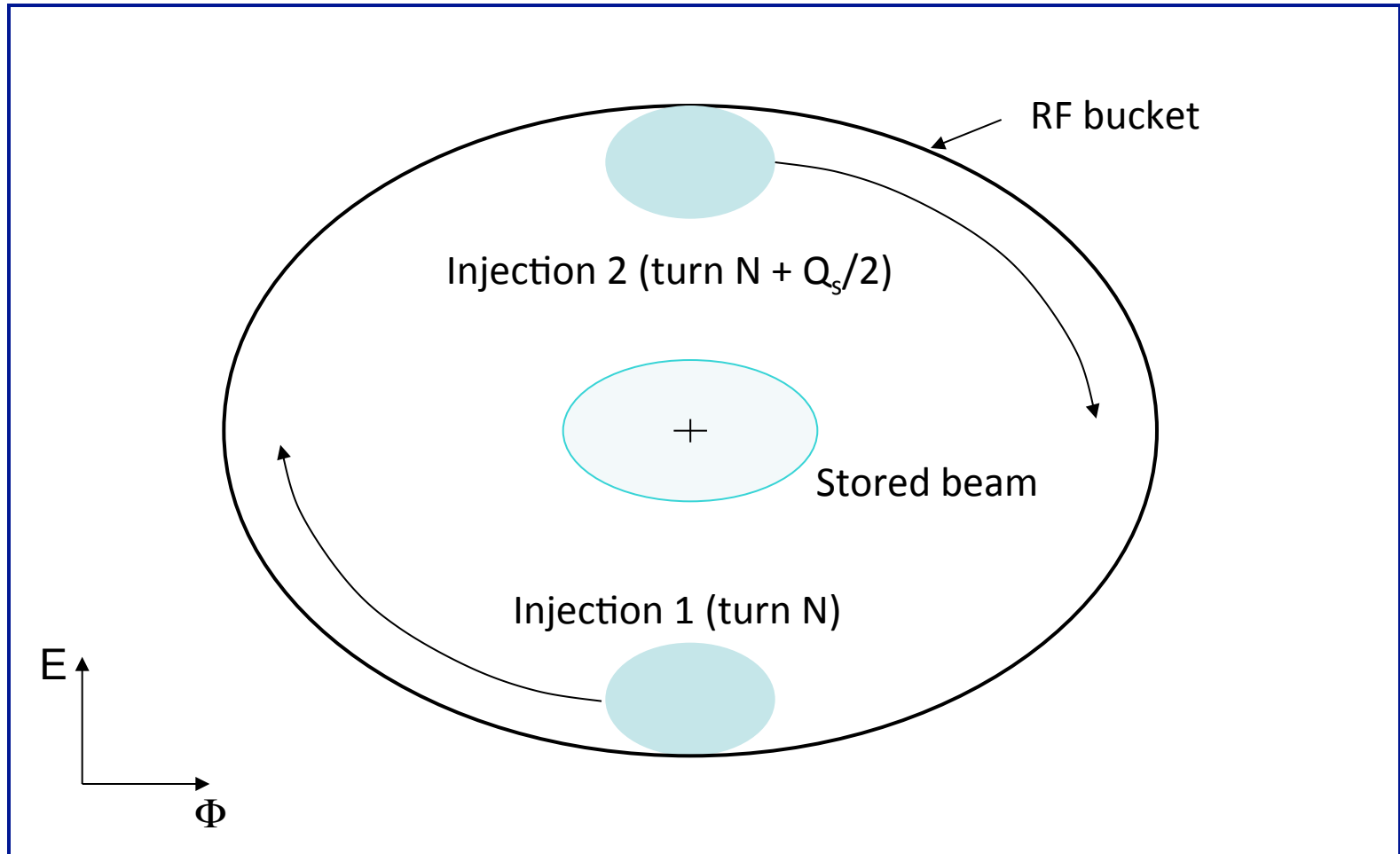
Inject an off-momentum beam



- Beam injected parallel to circulating beam, onto dispersion orbit of a particle having the same momentum offset  $\Delta p/p$
- Injected beam makes damped synchrotron oscillations at  $Q_s$  but does not perform betatron oscillations

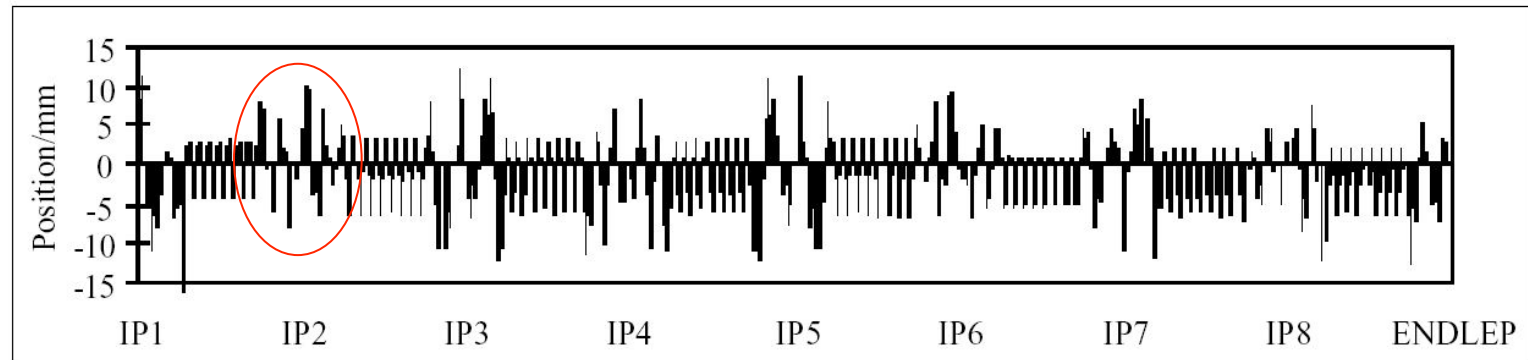
# Synchrotron lepton injection

Double batch injection possible....

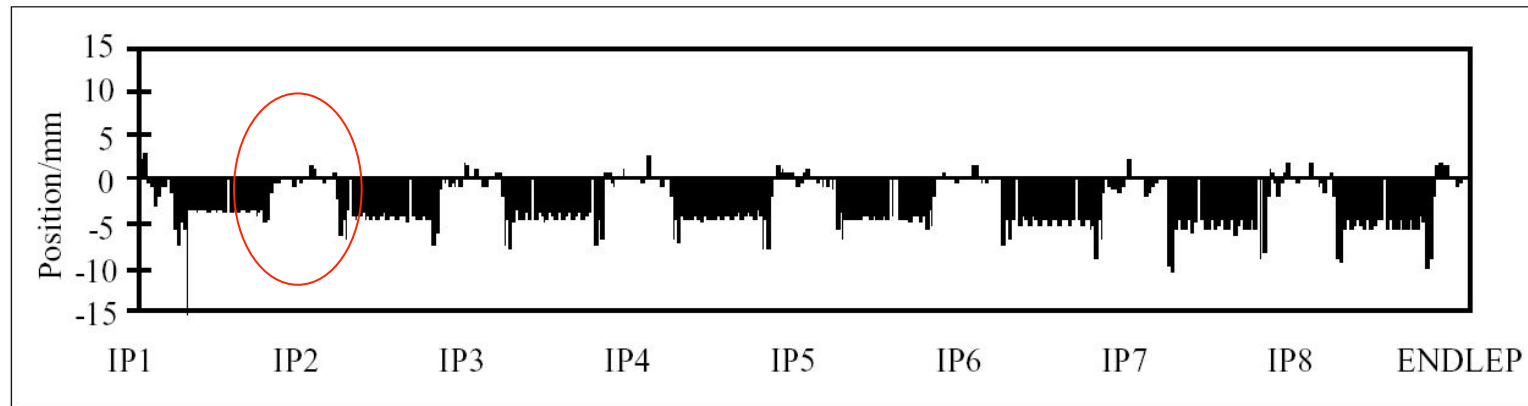


Longitudinal damping time in LEP was  $\sim 3'000$  turns (2x faster than transverse)

# Synchrotron lepton injection in LEP



Optimized Horizontal First Turn Trajectory for Betatron Injection of Positrons into LEP.



Optimized Horizontal First Turn Trajectory for Synchrotron Injection of Positrons with  $\Delta P/P$  at -0.6%

Synchrotron injection in LEP gave improved background for LEP experiments due to small orbit offsets in zero dispersion straight sections

# Injection - summary

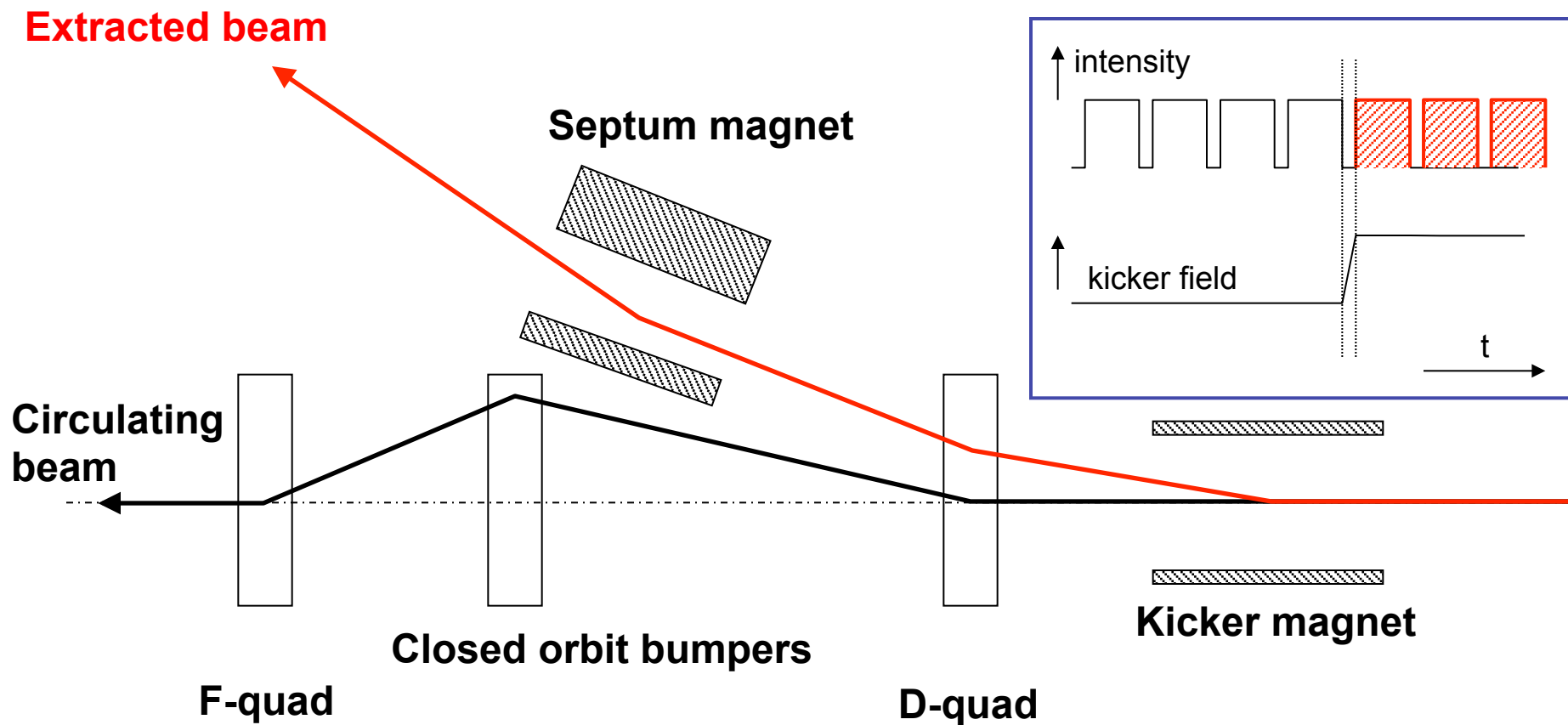
- Several different techniques using kickers, septa and bumpers:
  - Single-turn injection for hadrons
    - Boxcar stacking: transfer between machines in accelerator chain
    - Angle / position errors  $\Rightarrow$  injection oscillations
    - Uncorrected errors  $\Rightarrow$  filamentation  $\Rightarrow$  emittance increase
  - Multi-turn injection for hadrons
    - Phase space painting to increase intensity
    - H- injection allows injection into same phase space area
  - Lepton injection: take advantage of damping
    - Less concerned about injection precision and matching

# Extraction

- Different extraction techniques exist, depending on requirements
  - Fast extraction:  $\leq 1$  turn
  - Non-resonant (fast) multi-turn extraction: few turns
  - Resonant low-loss (fast) multi-turn extraction: few turns
  - Resonant multi-turn extraction: many thousands of turns
- Usually higher energy than injection  $\Rightarrow$  stronger elements ( $\int B \cdot dl$ )
  - At high energies many kicker and septum modules may be required
  - To reduce kicker and septum strength, beam can be moved near to septum by closed orbit bump

# Fast single turn extraction

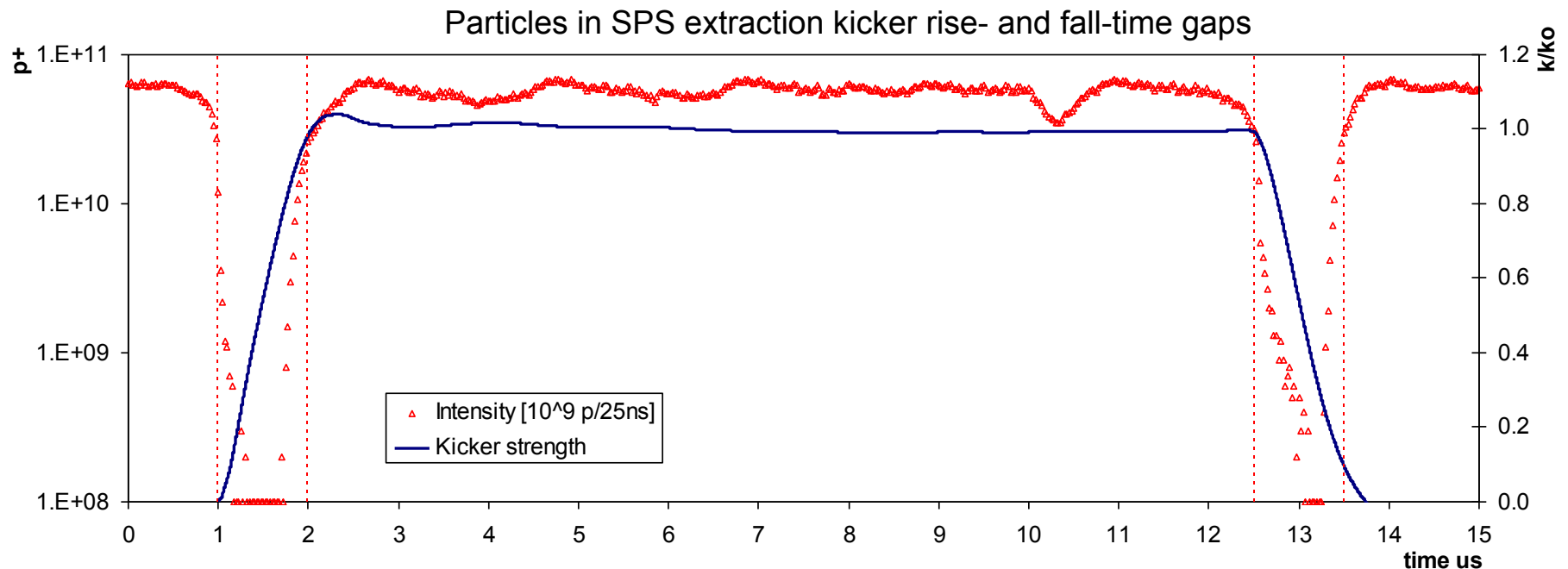
Entire beam kicked into septum gap and extracted over a single turn



- Bumpers move circulating beam close to septum to reduce kicker strength
- Kicker deflects the entire beam into the septum in a single turn
- Most efficient (lowest deflection angles required) for  $\pi/2$  phase advance between kicker and septum

# Fast single turn extraction

- For transfer of beams between accelerators in an injector chain
- For secondary particle production
  - e.g. neutrinos, radioactive beams
- Losses from transverse scraping or from particles in extraction gap:
  - Fast extraction from SPS to CNGS:

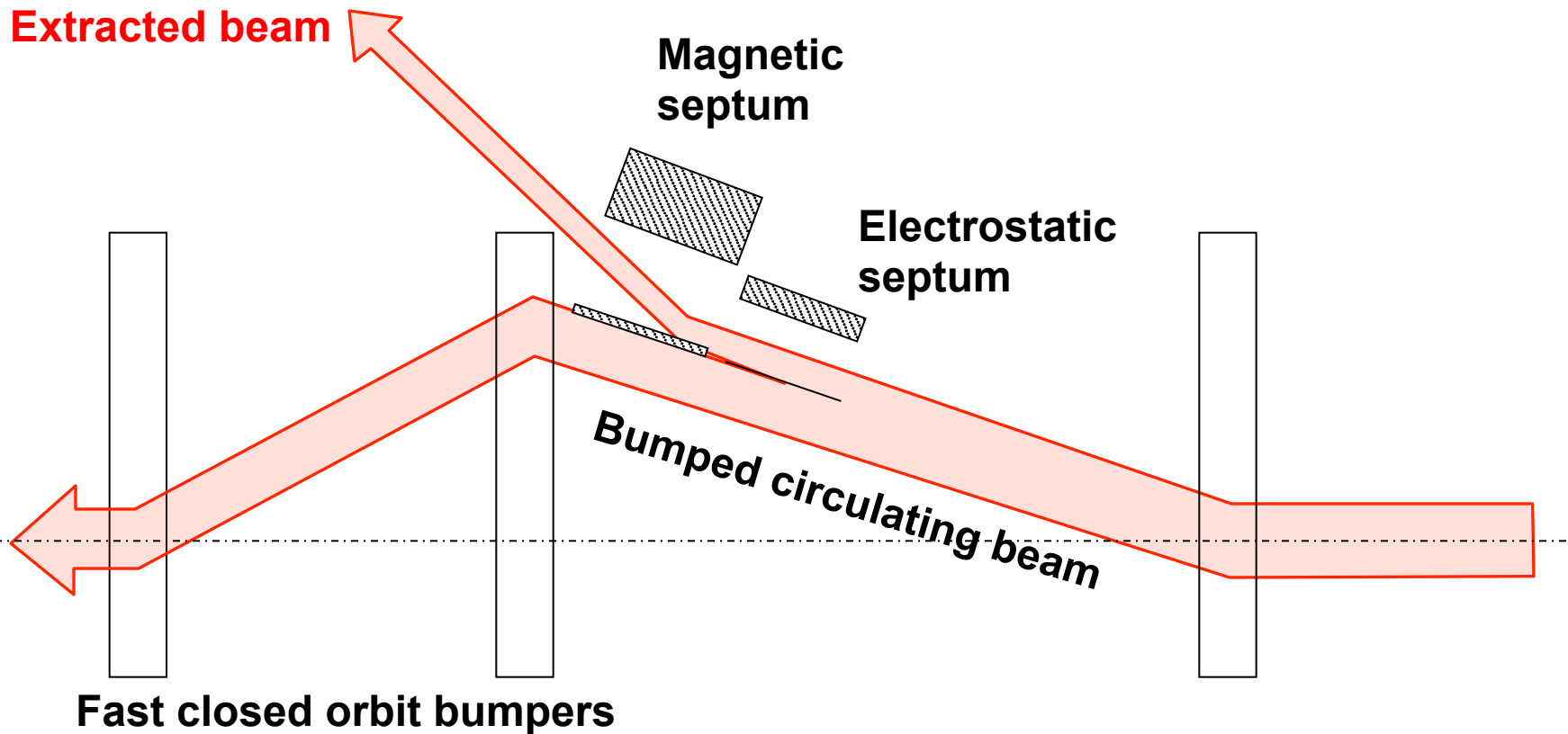


# Multi-turn extraction

- Some filling schemes require a beam to be injected in several turns to a larger machine...
- And very commonly Fixed Target physics experiments and medical accelerators often need a quasi-continuous flux of particles...
- Multi-turn extraction...
  - Fast: Non-resonant and resonant multi-turn ejection (few turns) for filling
    - e.g. PS to SPS at CERN for high intensity proton beams ( $>2.5 \cdot 10^{13}$  protons)
  - Slow: Resonant extraction (ms to hours) for experiments

# Non-resonant multi-turn extraction

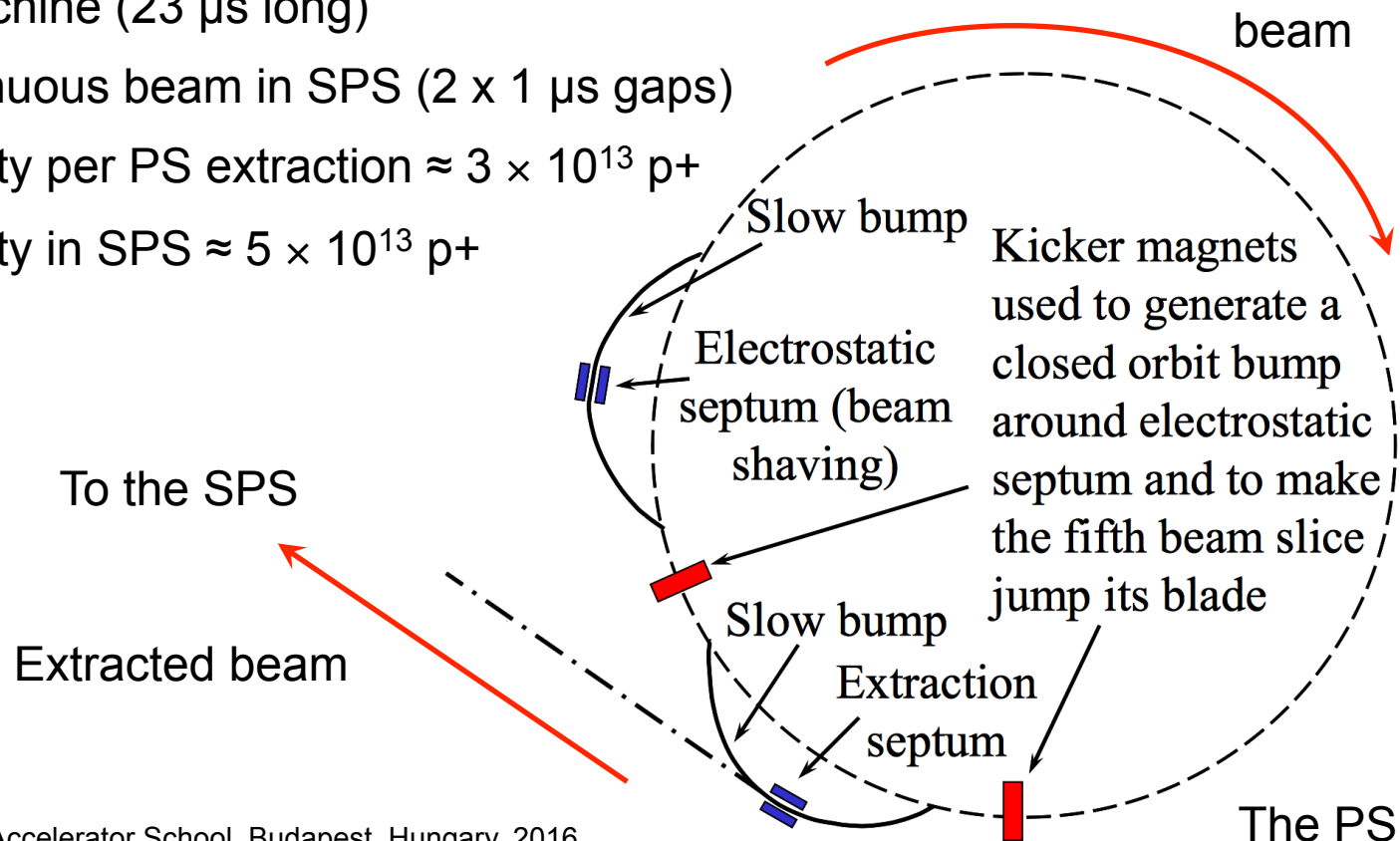
Beam bumped to septum; part of beam 'shaved' off each turn



- Fast bumper deflects the whole beam onto the septum
- Beam extracted in a few turns, with the machine tune rotating the beam
- Intrinsically a high-loss process: thin septum essential
- Often combine thin electrostatic septa with magnetic septa

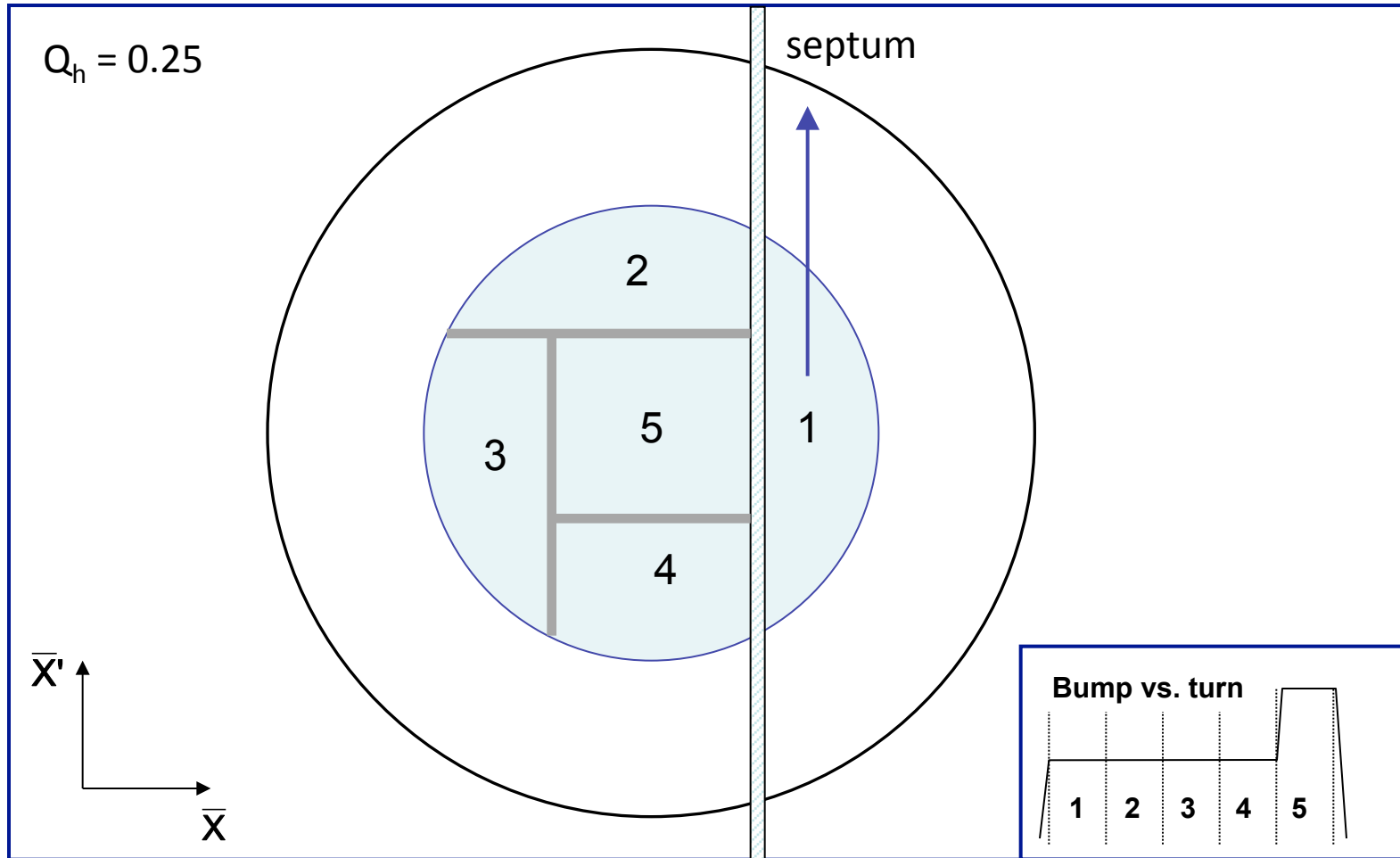
# Non-resonant multi-turn extraction

- Example system: CERN PS to SPS Fixed-Target ‘continuous transfer’.
  - Accelerate beam in PS to 14 GeV/c
  - Empty PS machine (2.1  $\mu\text{s}$  long) in 5 turns into SPS
  - Do it again
  - Fill SPS machine (23  $\mu\text{s}$  long)
  - Quasi-continuous beam in SPS (2 x 1  $\mu\text{s}$  gaps)
  - Total intensity per PS extraction  $\approx 3 \times 10^{13}$  p+
  - Total intensity in SPS  $\approx 5 \times 10^{13}$  p+



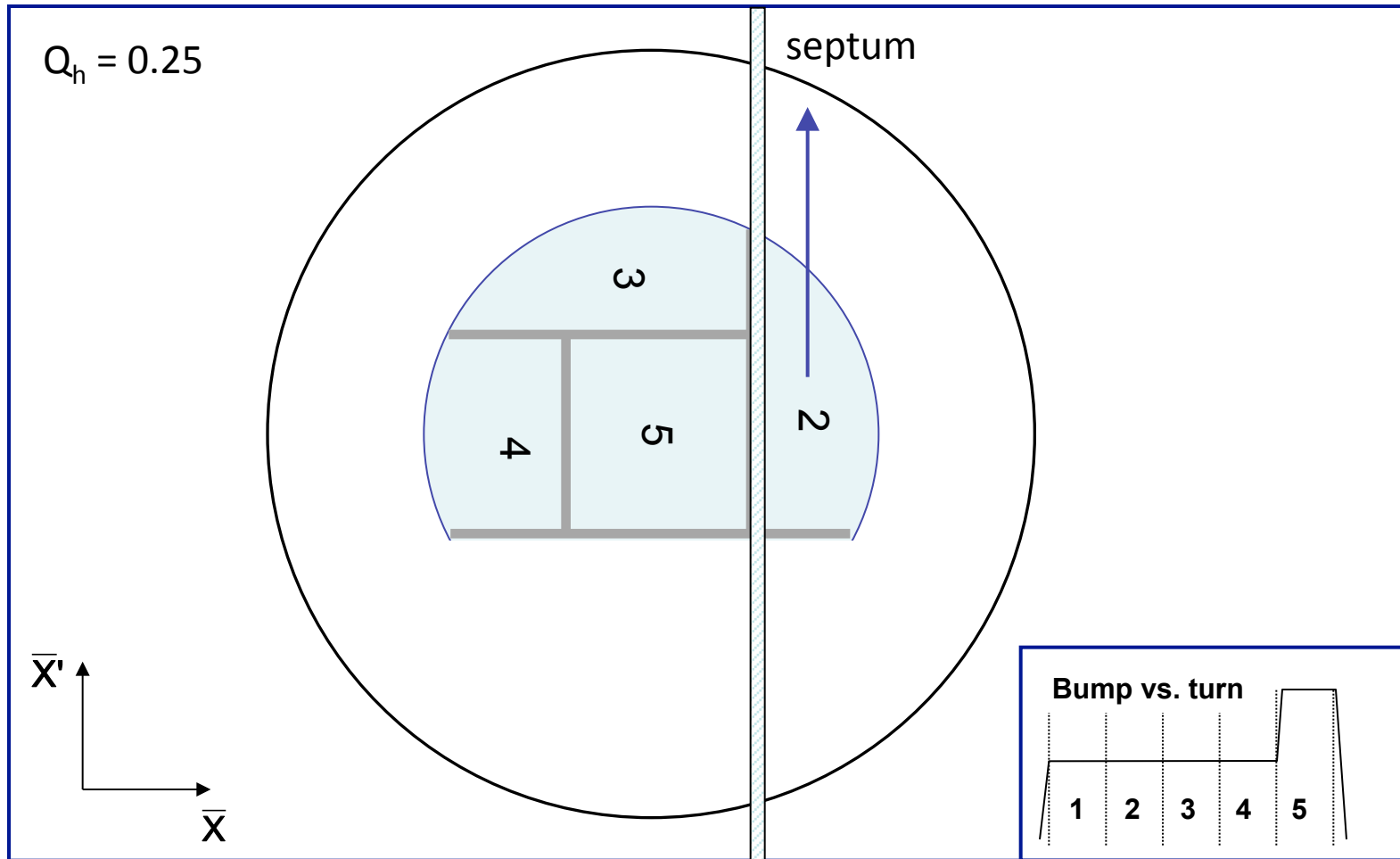
# Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer – 1<sup>st</sup> turn



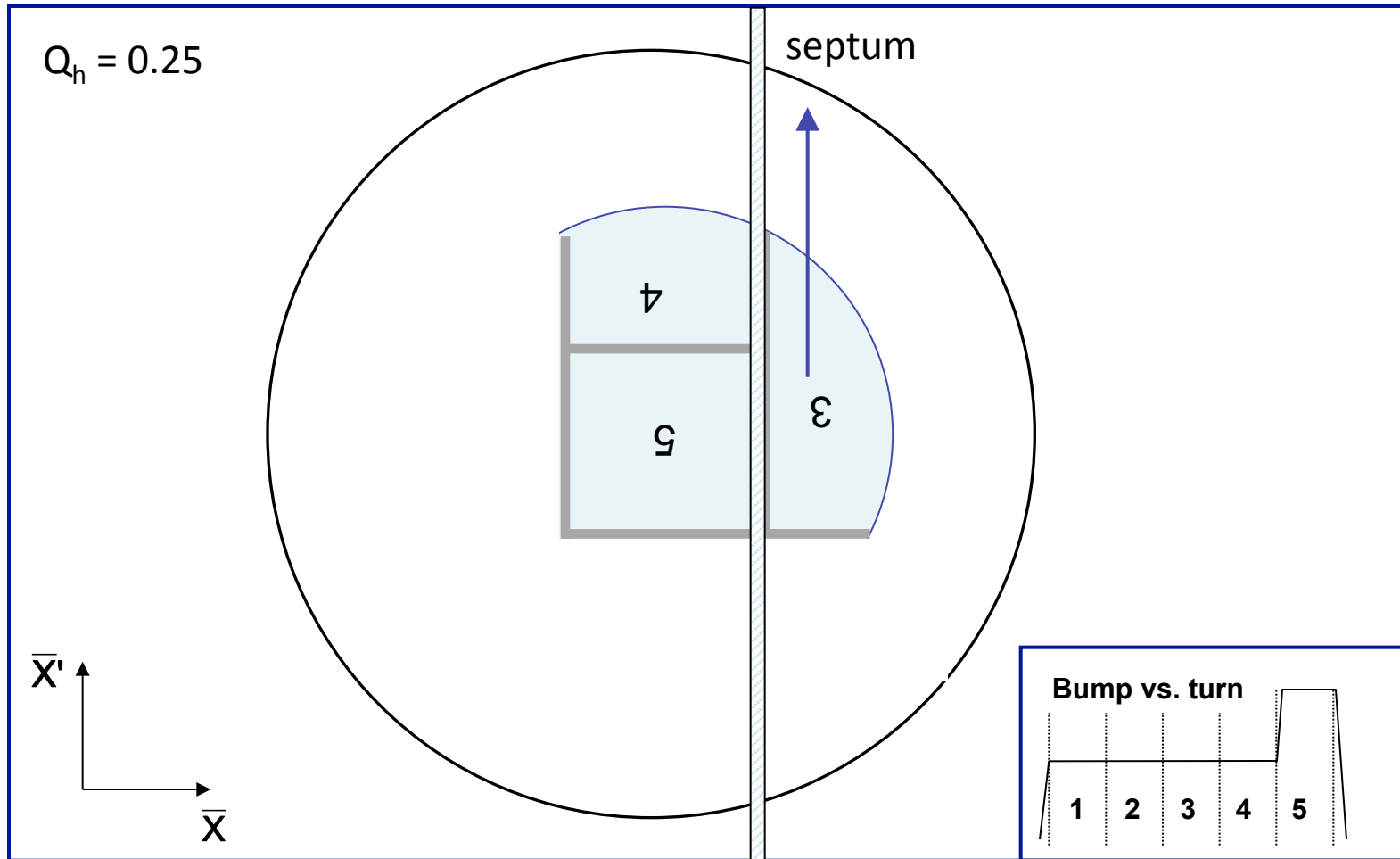
# Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer – 2<sup>nd</sup> turn



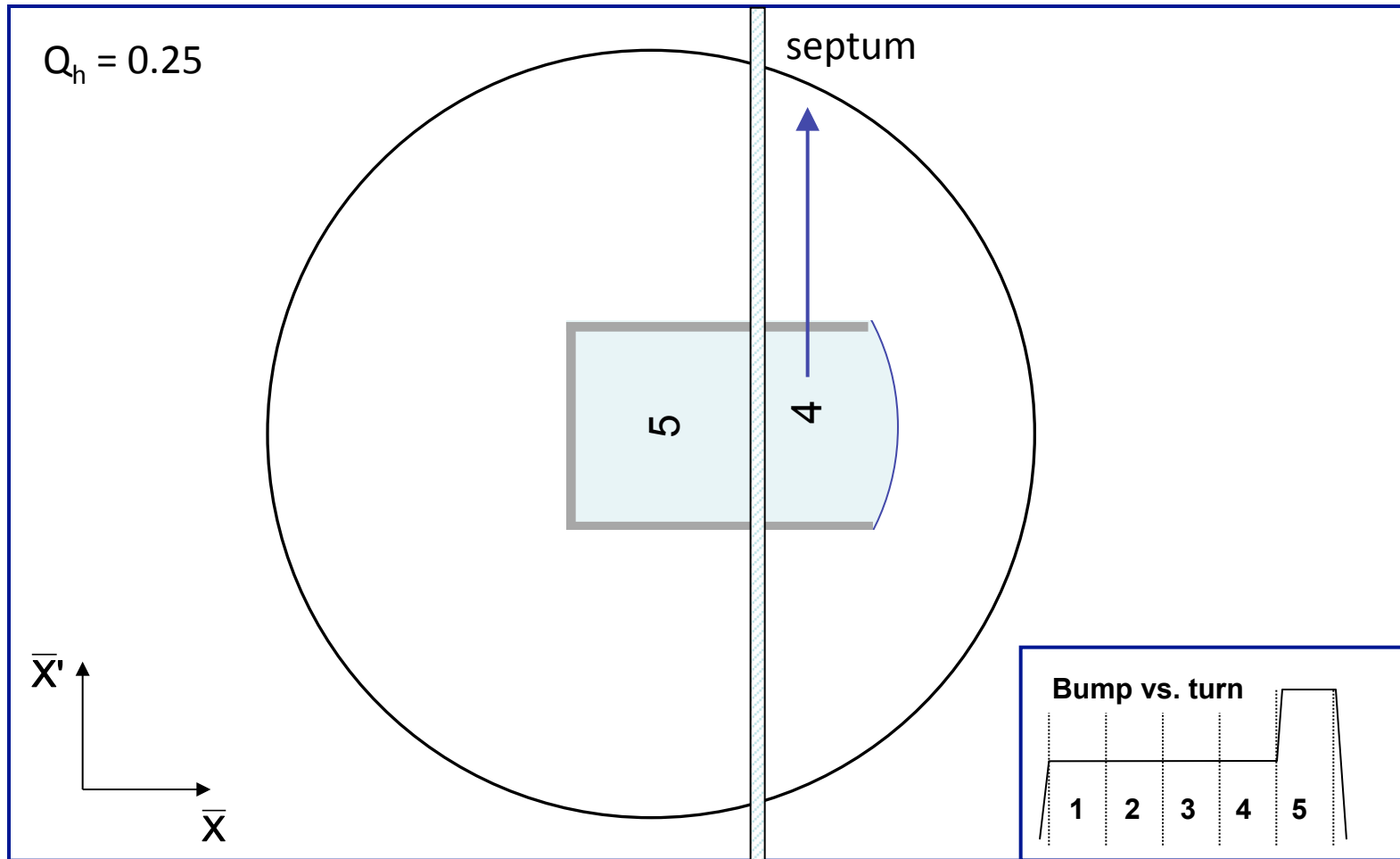
# Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer – 3<sup>rd</sup> turn



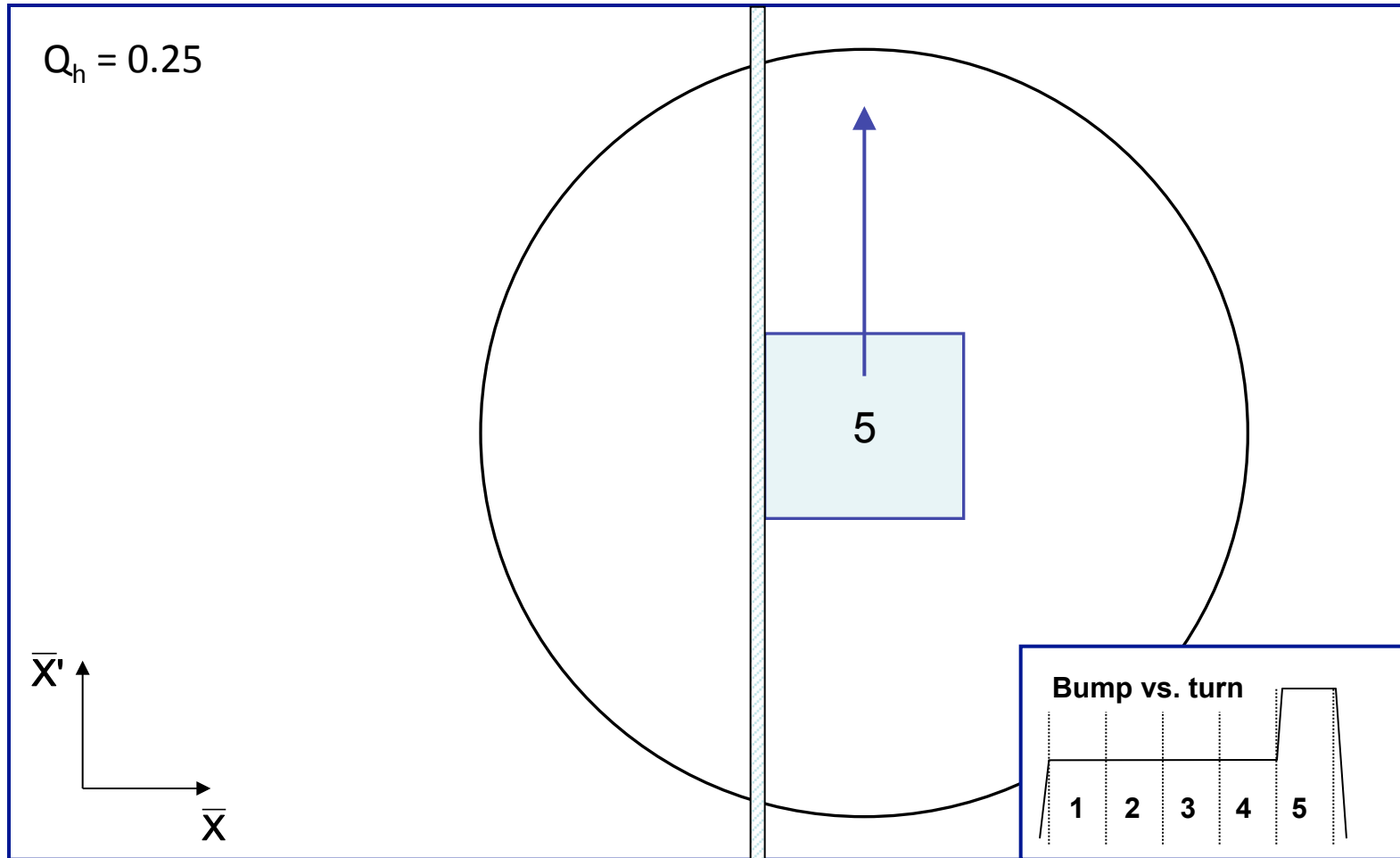
# Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer – 4<sup>th</sup> turn



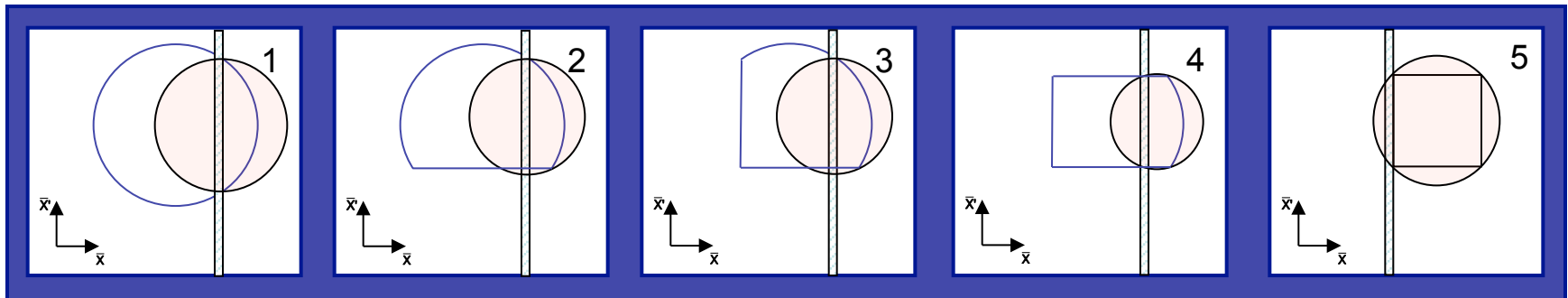
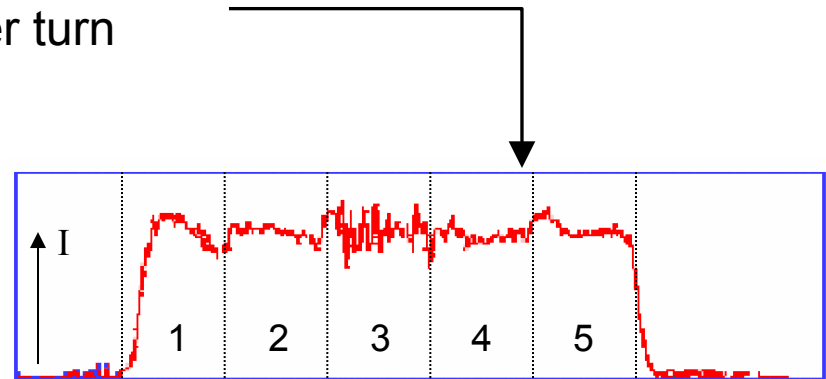
# Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer – 5<sup>th</sup> turn



# Non-resonant multi-turn extraction

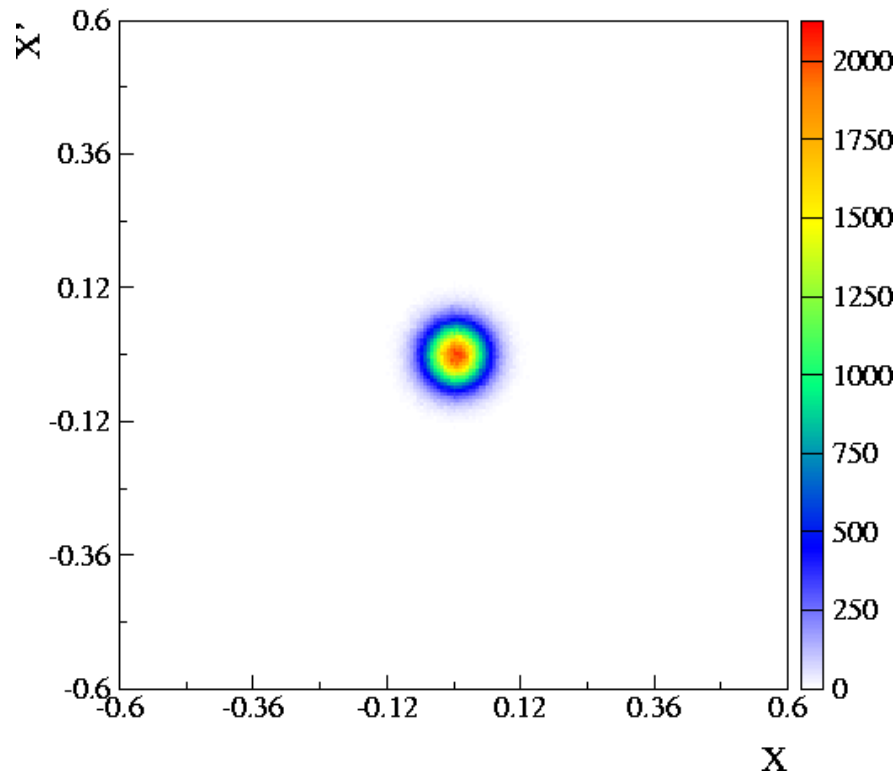
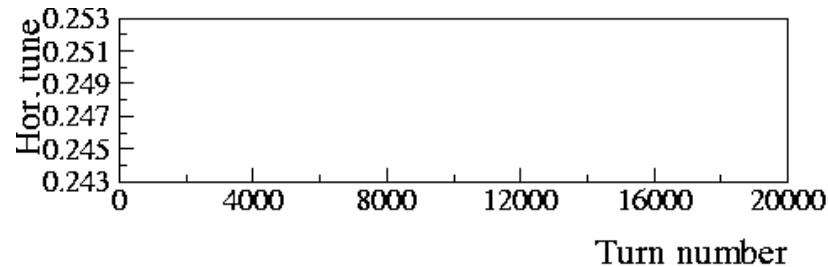
- CERN PS to SPS: 5-turn continuous transfer
  - Losses impose thin (ES) septum...  
...a second magnetic septum is needed
  - Still about 15 % of beam lost in PS-SPS CT
  - Difficult to get equal intensities per turn
  - Different trajectories for each turn
  - Different emittances for each turn



# Resonant multi-turn (fast) extraction

- Adiabatic capture of beam in stable “islands”
  - Use non-linear fields (sextupoles and octupoles) to create islands of stability in phase space
  - A slow (adiabatic) tune variation to cross a resonance and to drive particles into the islands (capture) with the help of transverse excitation (using damper)
  - Variation of field strengths to separate the islands in phase space
- Several big advantages:
  - Losses reduced significantly (no particles at the septum in transverse plane)
  - Phase space matching improved with respect to existing non-resonant multi-turn extraction - ‘beamlets’ have similar emittance and optical parameters

# Resonant multi-turn (fast) extraction

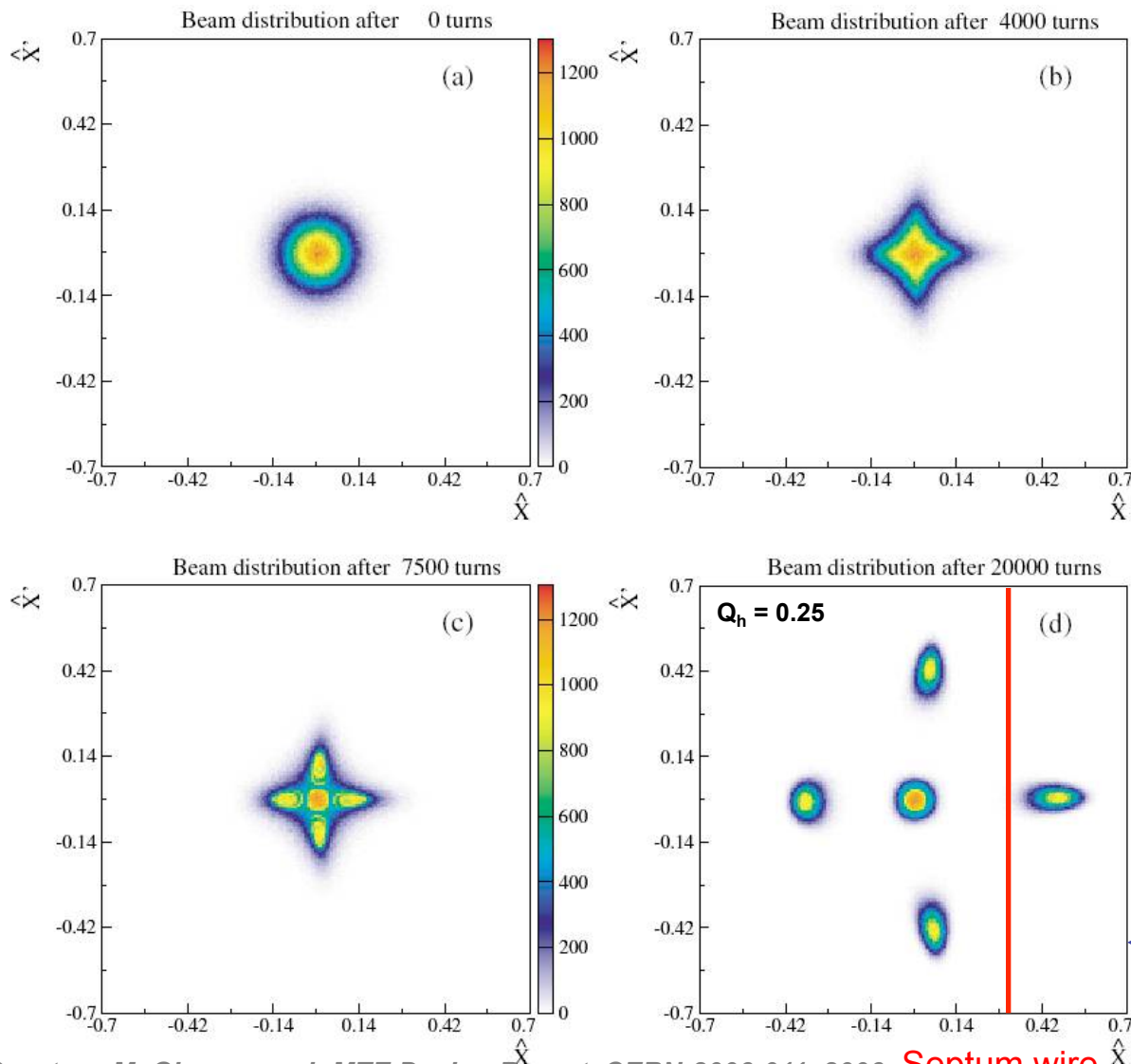


- a. Unperturbed beam
- b. Increasing non-linear fields
- c. Beam captured in stable islands
- d. Islands separated and beam bumped across septum – extracted in 5 turns

(see *Non-Linear Beam Dynamics lectures* by A. Wolski)

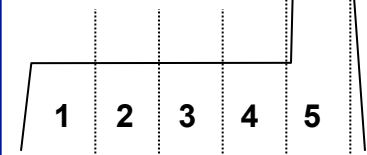
Courtesy M. Giovannozzi: MTE Design Report, CERN-2006-011, 2006

# Resonant multi-turn (fast) extraction



- a. Unperturbed beam
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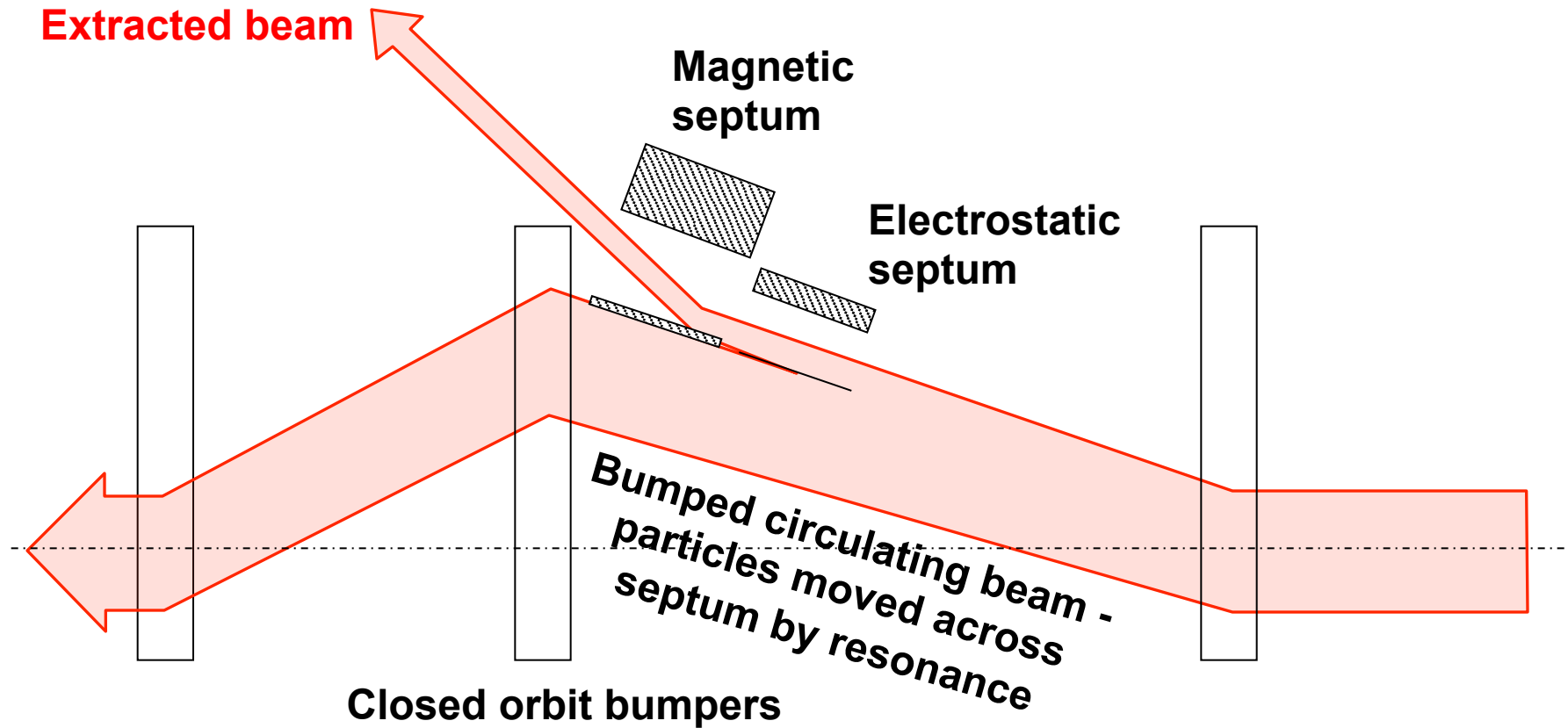
Bump vs. turn



Courtesy M. Giovannozzi: MTE Design Report, CERN-2006-011, 2006

# Resonant multi-turn (slow) extraction

Non-linear fields excite resonances that drive the beam slowly across the septum

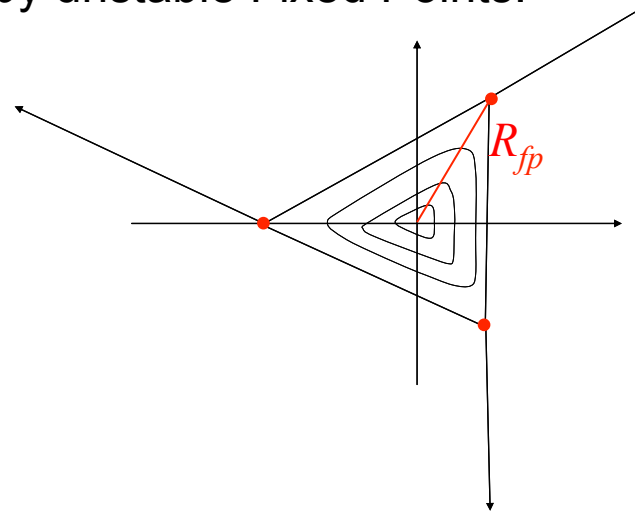


- Slow bumpers move the beam near the septum
- Tune adjusted close to  $n^{\text{th}}$  order betatron resonance
- Multipole magnets excited to define stable area in phase space, size depends on  $\Delta Q = Q - Q_r$

# Resonant multi-turn (slow) extraction

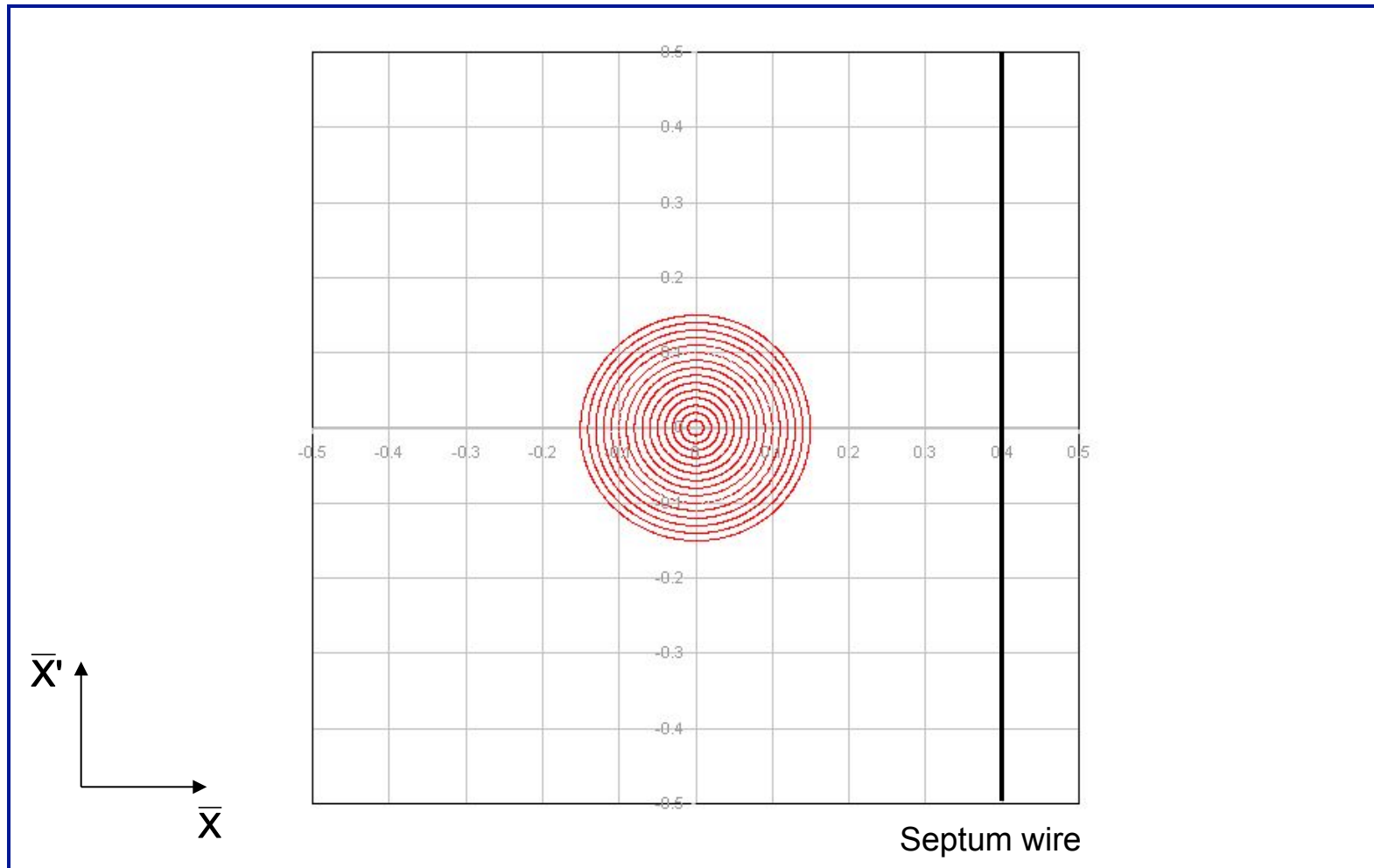
- 3<sup>rd</sup> order resonances – see *lectures by A. Wolski*
  - Sextupole fields distort the circular normalised phase space particle trajectories.
  - Stable area defined, delimited by unstable Fixed Points.

$$R_{fp}^{1/2} \propto \Delta Q \cdot \frac{1}{k_2}$$



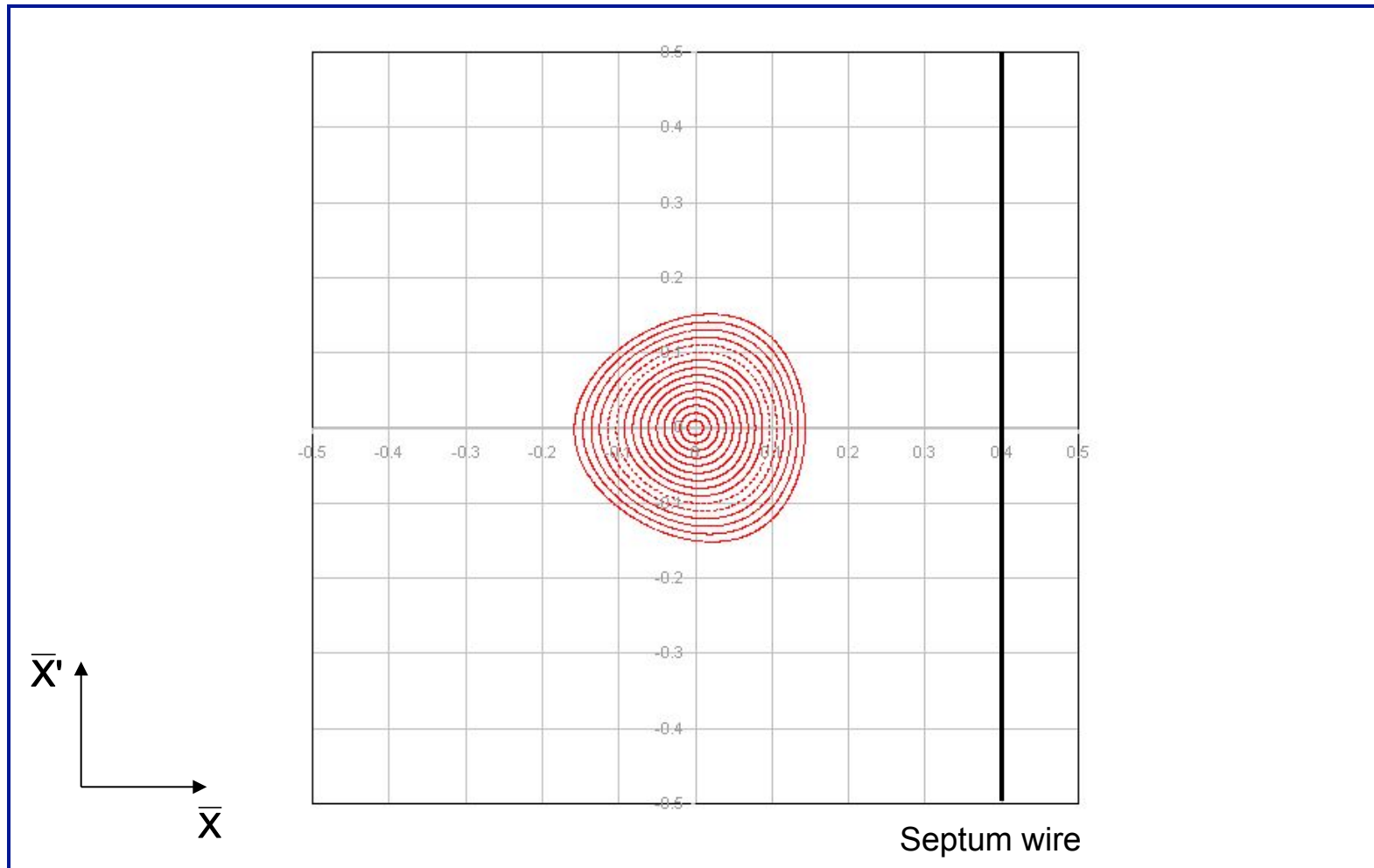
- Sextupole magnets arranged to produce suitable phase space orientation of the stable triangle at thin electrostatic septum
- Stable area can be reduced by...
  - Increasing the sextupole strength, or...
  - Fixing the sextupole strength and scanning the machine tune  $Q_h$  (and therefore the resonance) through the tune spread of the beam
  - Large tune spread created with RF gymnastics (large momentum spread) and large chromaticity

# Third-order resonant extraction



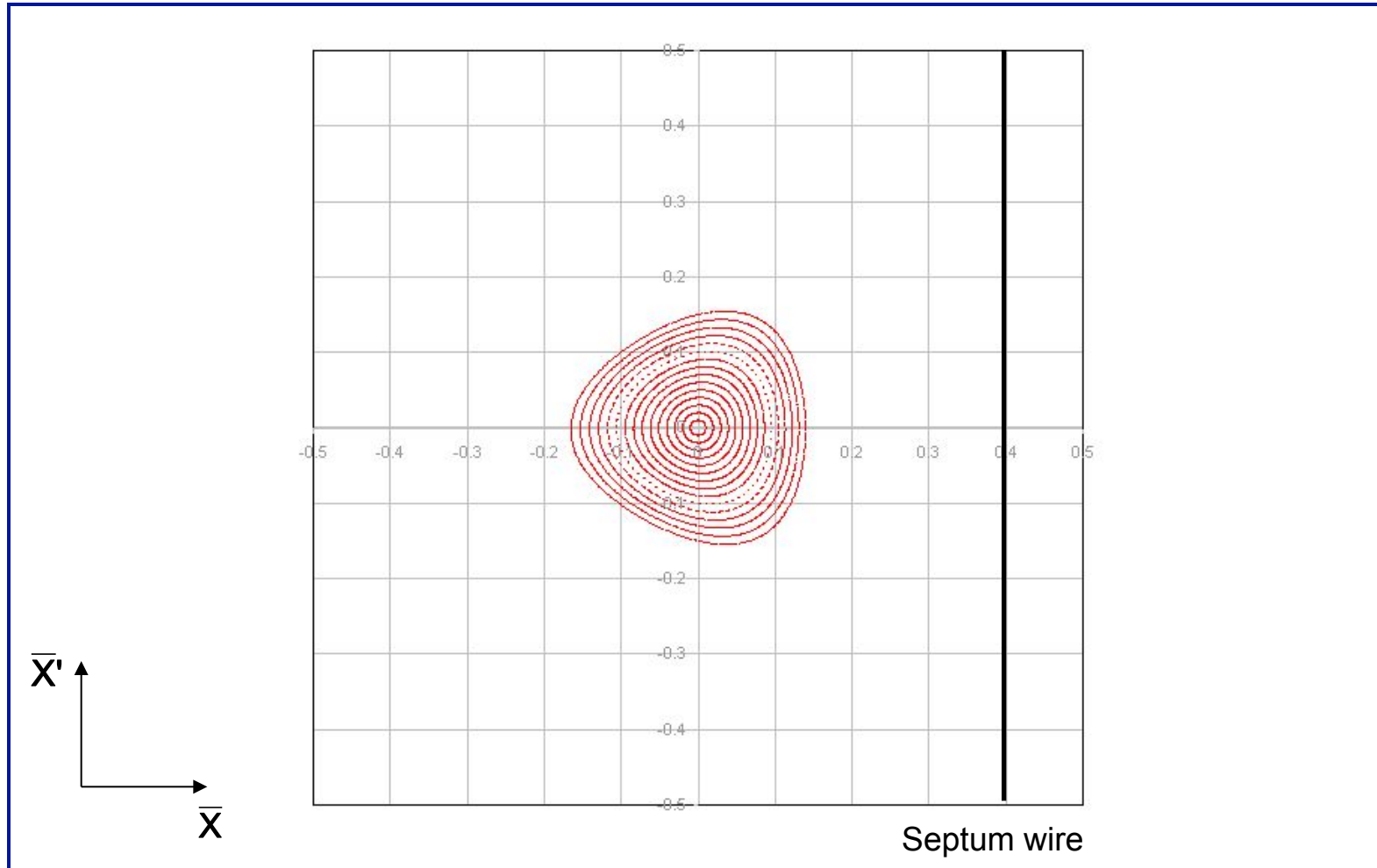
- Particles distributed on emittance contours
- $\Delta Q$  large – no phase space distortion

# Third-order resonant extraction



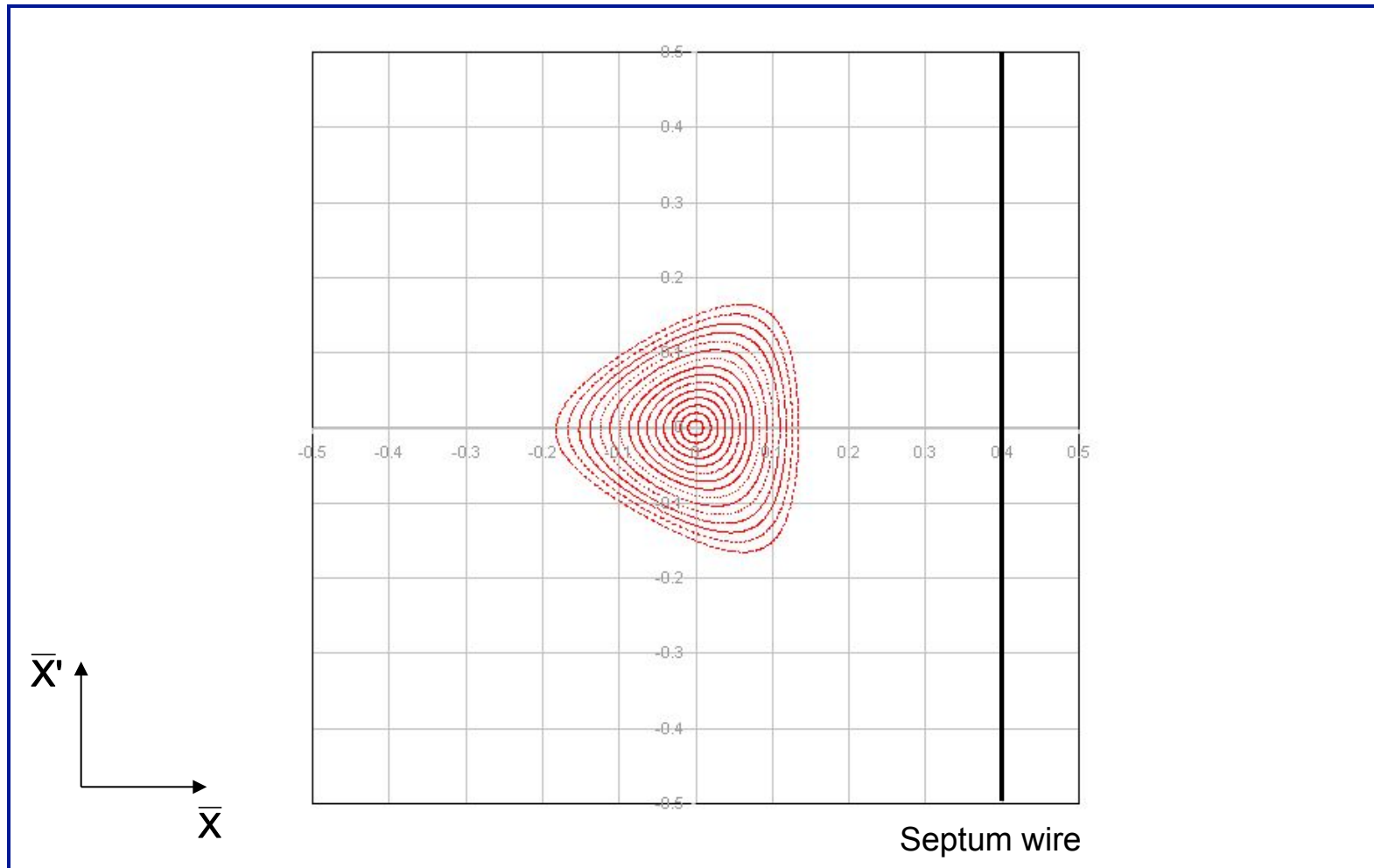
- Sextupole magnets produce a triangular stable area in phase space
- $\Delta Q$  decreasing – phase space distortion for largest amplitudes

# Third-order resonant extraction



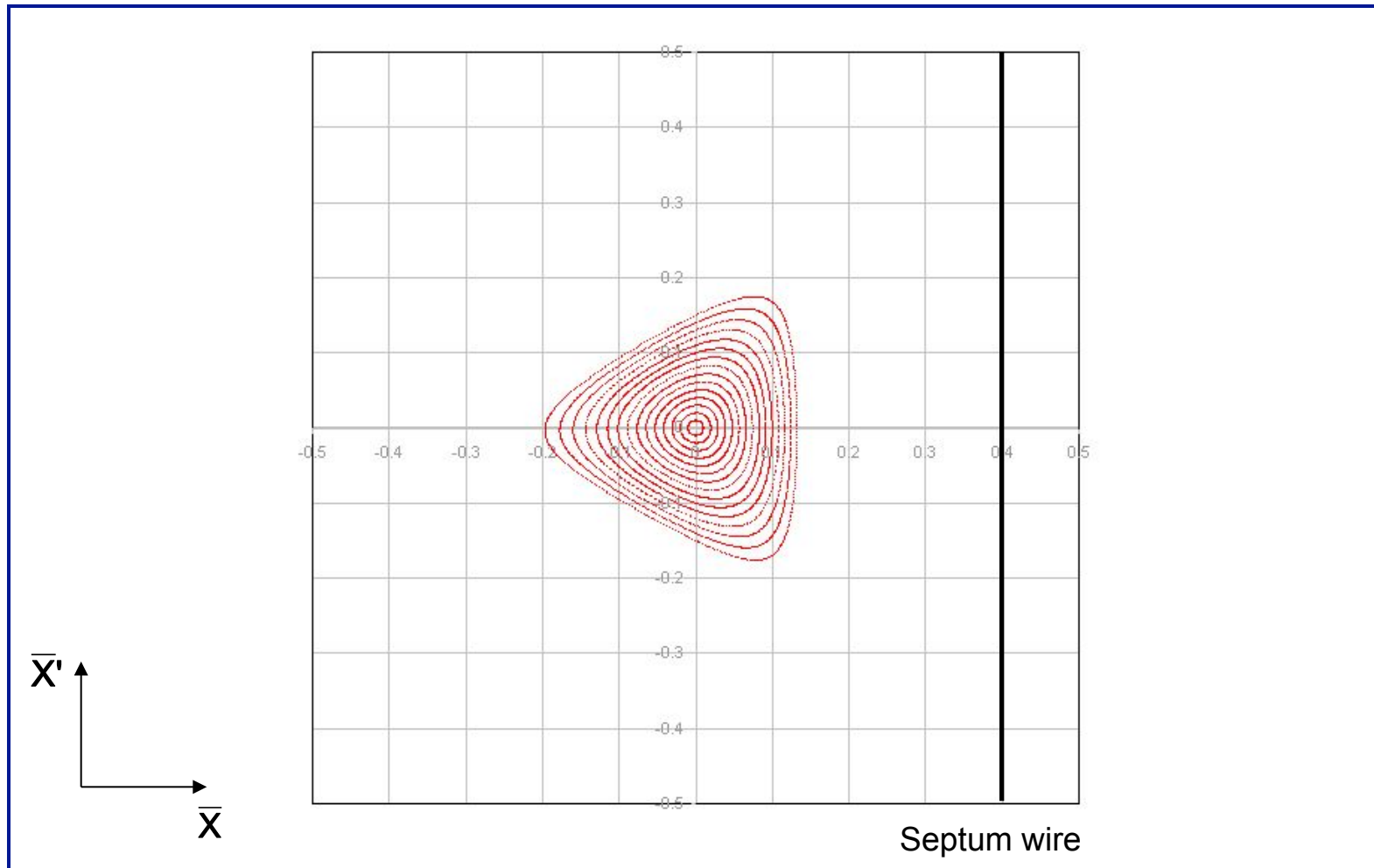
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# Third-order resonant extraction



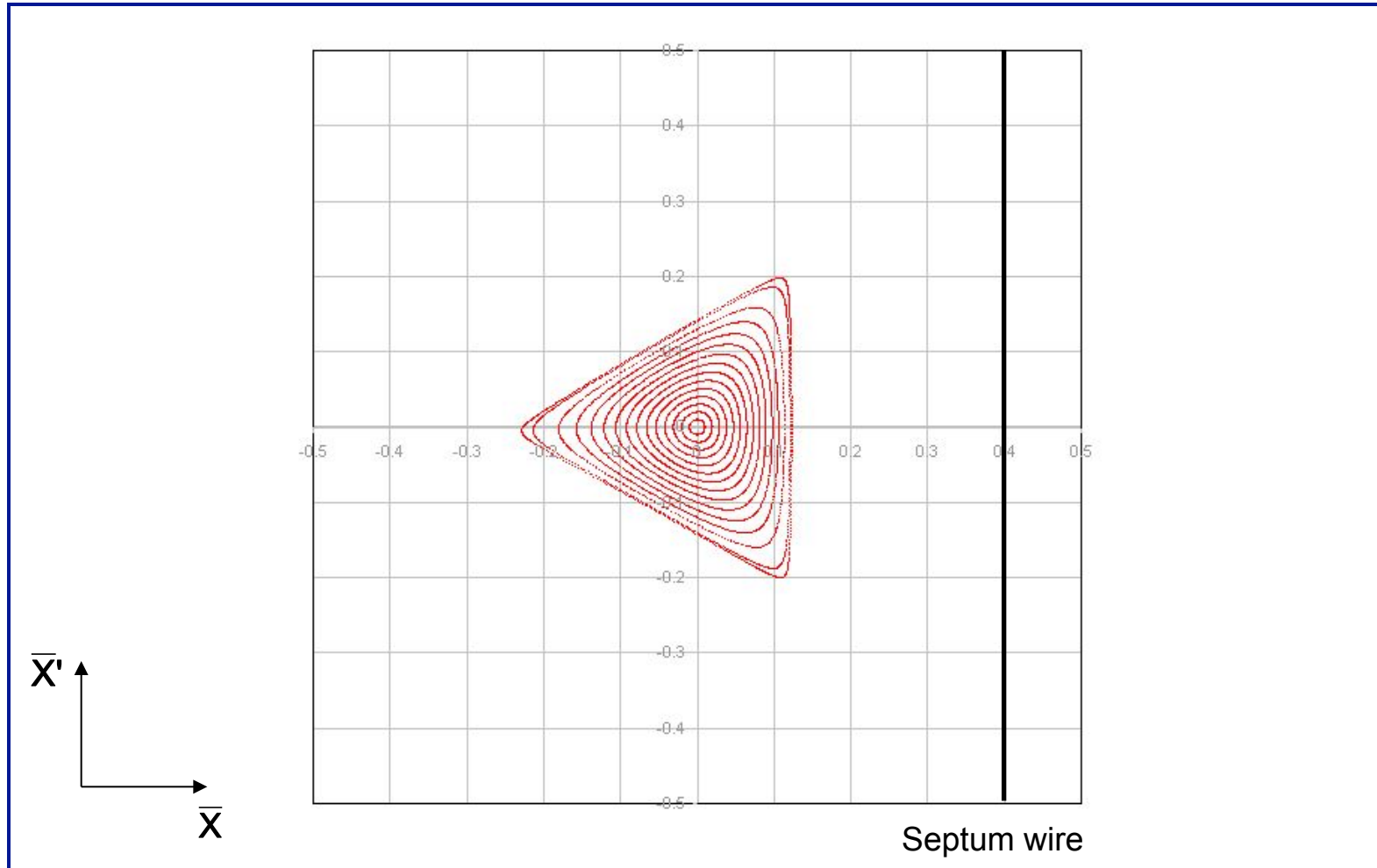
- Sextupole magnets produce a triangular stable area in phase space
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# Third-order resonant extraction



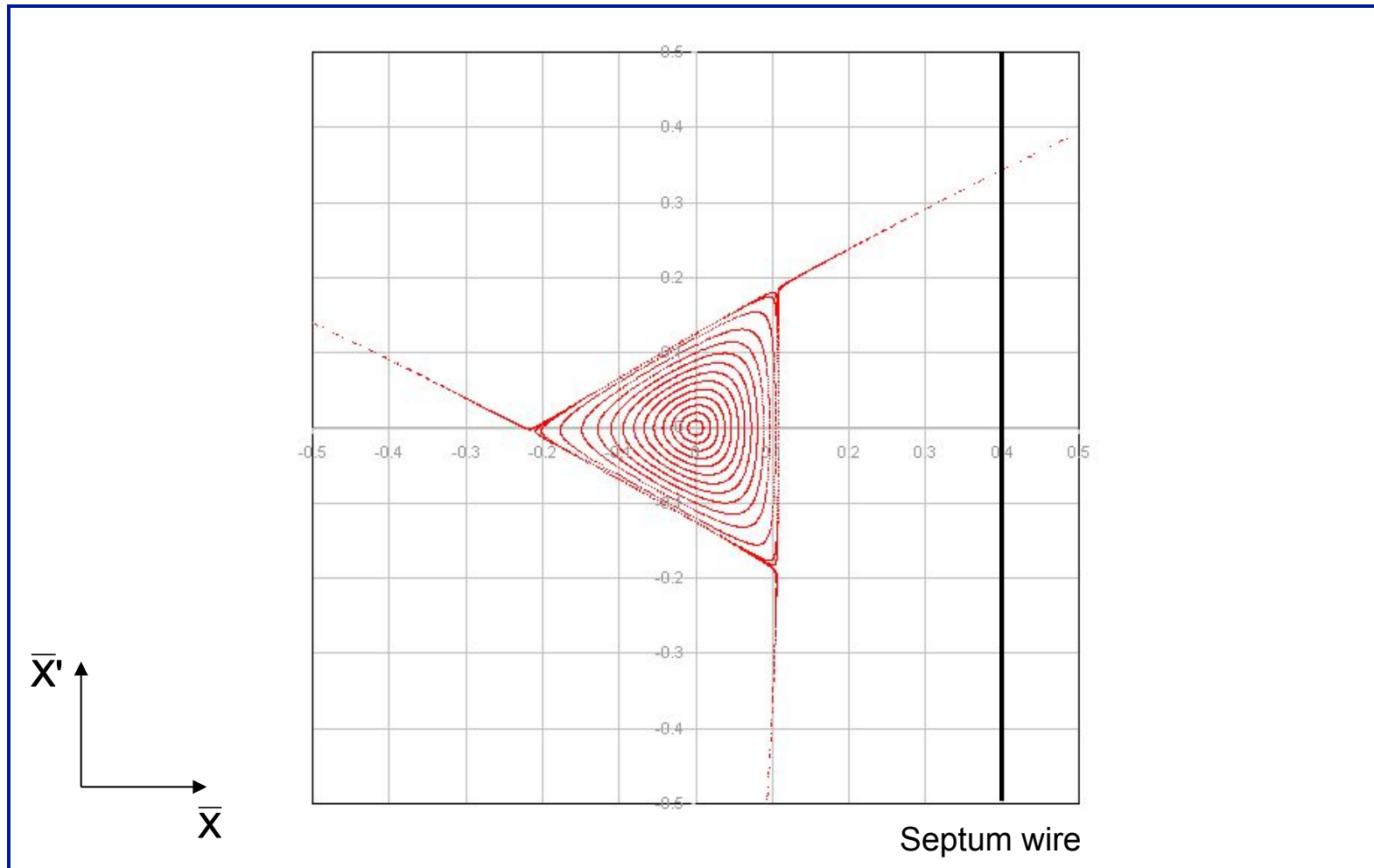
- Sextupole magnets produce a triangular stable area in phase space
- $\Delta Q$  decreasing – phase space distortion for largest amplitudes

# Third-order resonant extraction



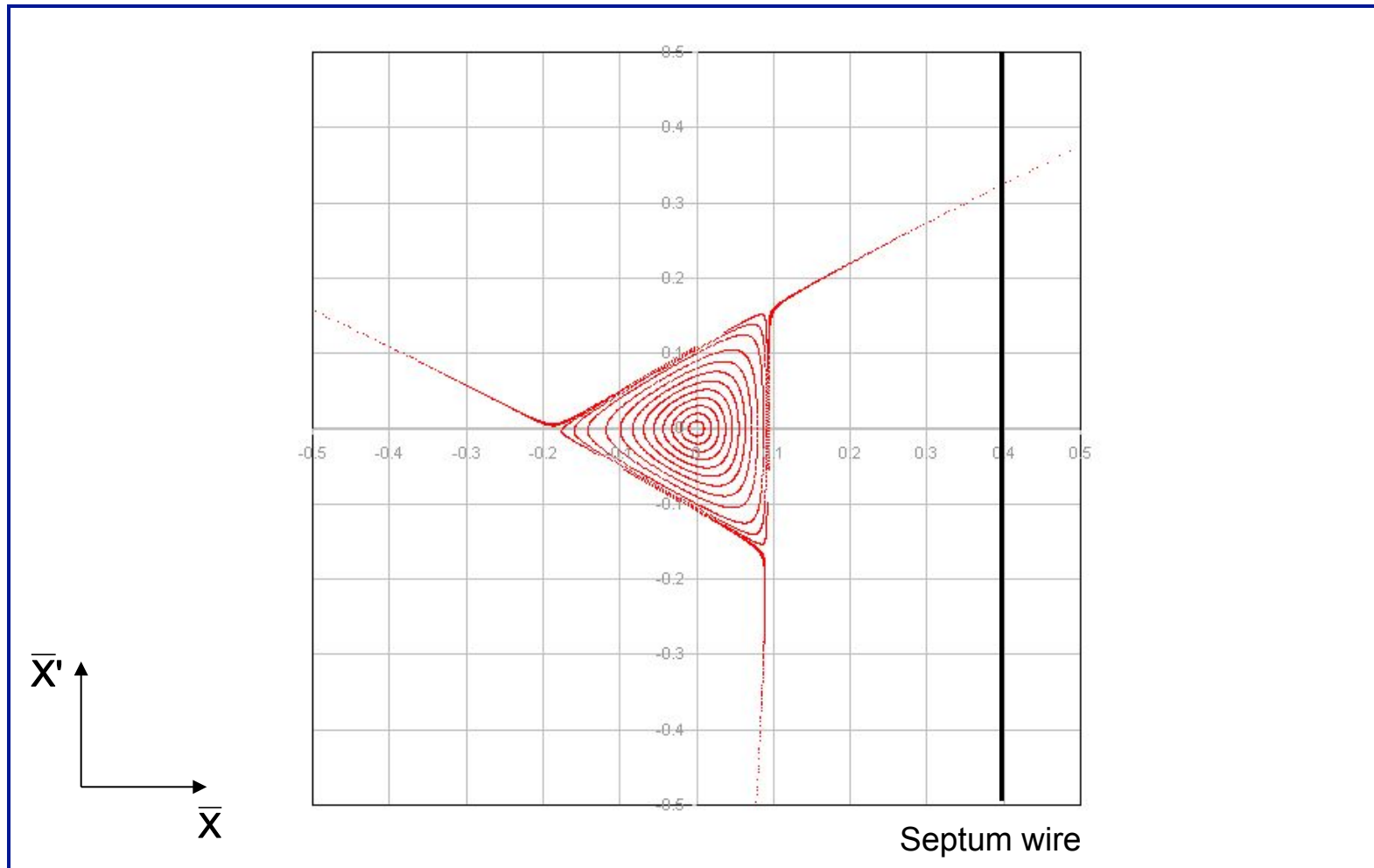
- Largest amplitude particle trajectories are significantly distorted
- Locations of fixed points discernable at extremities of phase space triangle

# Third-order resonant extraction



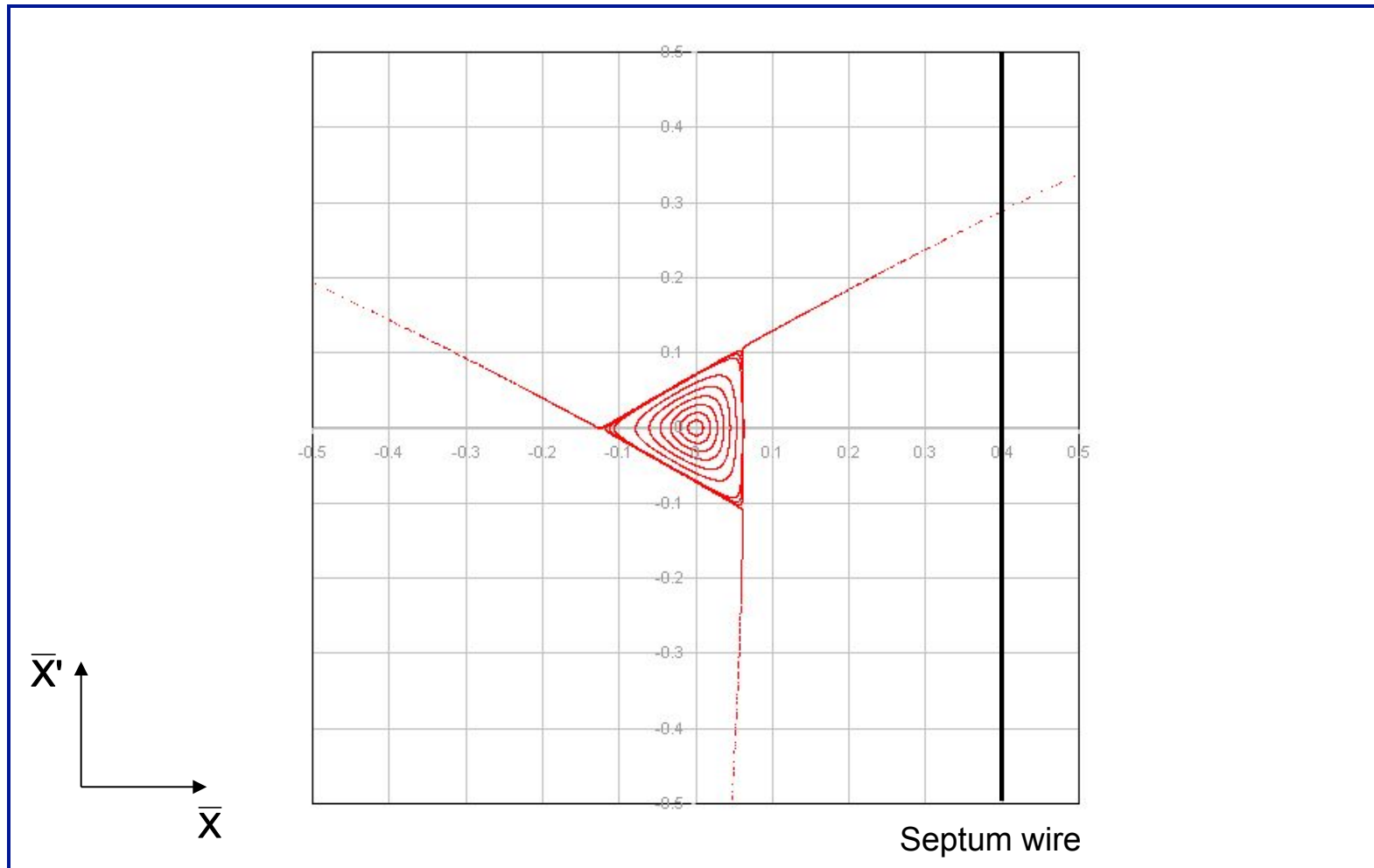
- $\Delta Q$  small enough that largest amplitude particle trajectories are unstable
- Unstable particles follow separatrix branches as they increase in amplitude

# Third-order resonant extraction



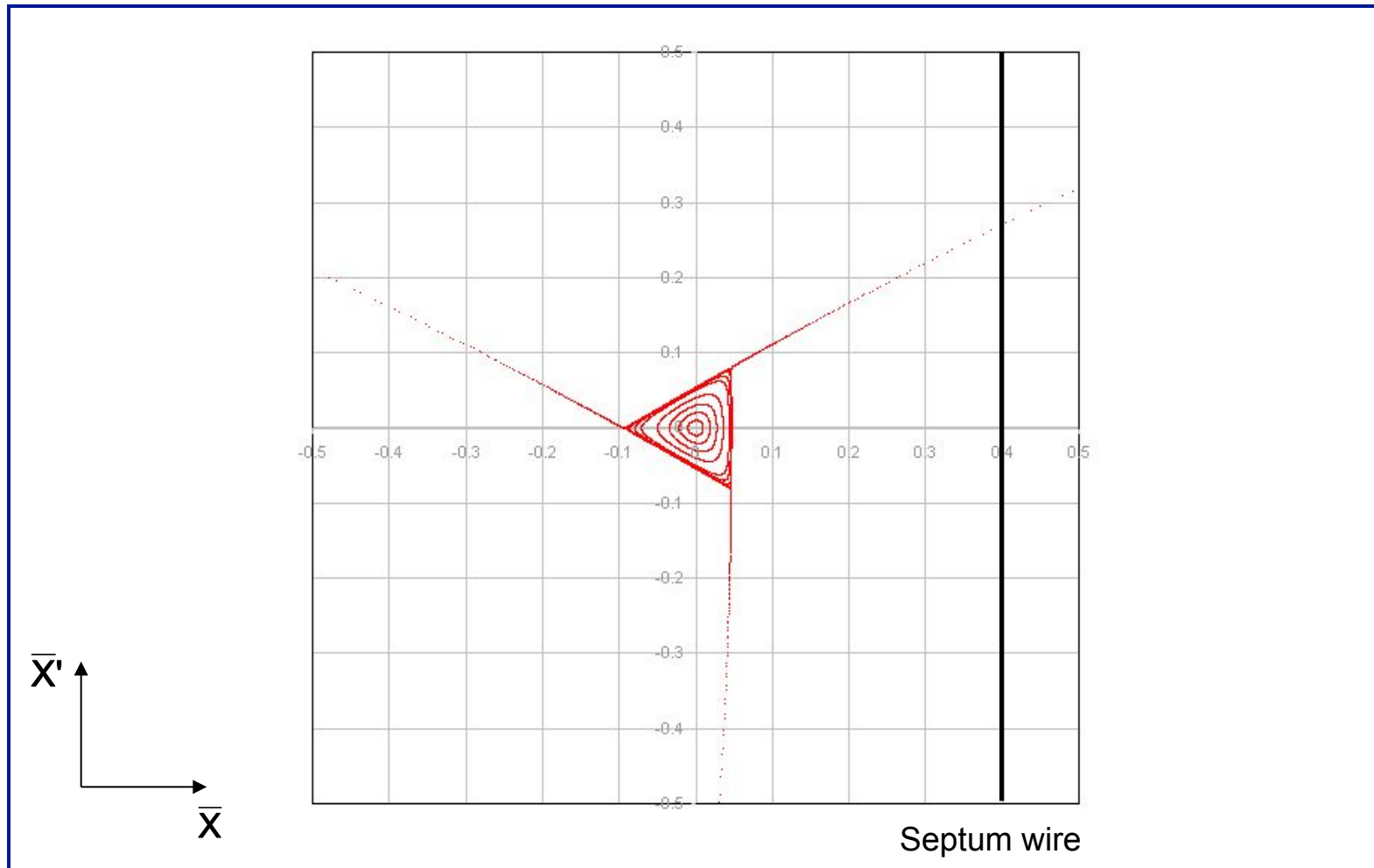
- Stable area shrinks as  $\Delta Q$  becomes smaller

# Third-order resonant extraction



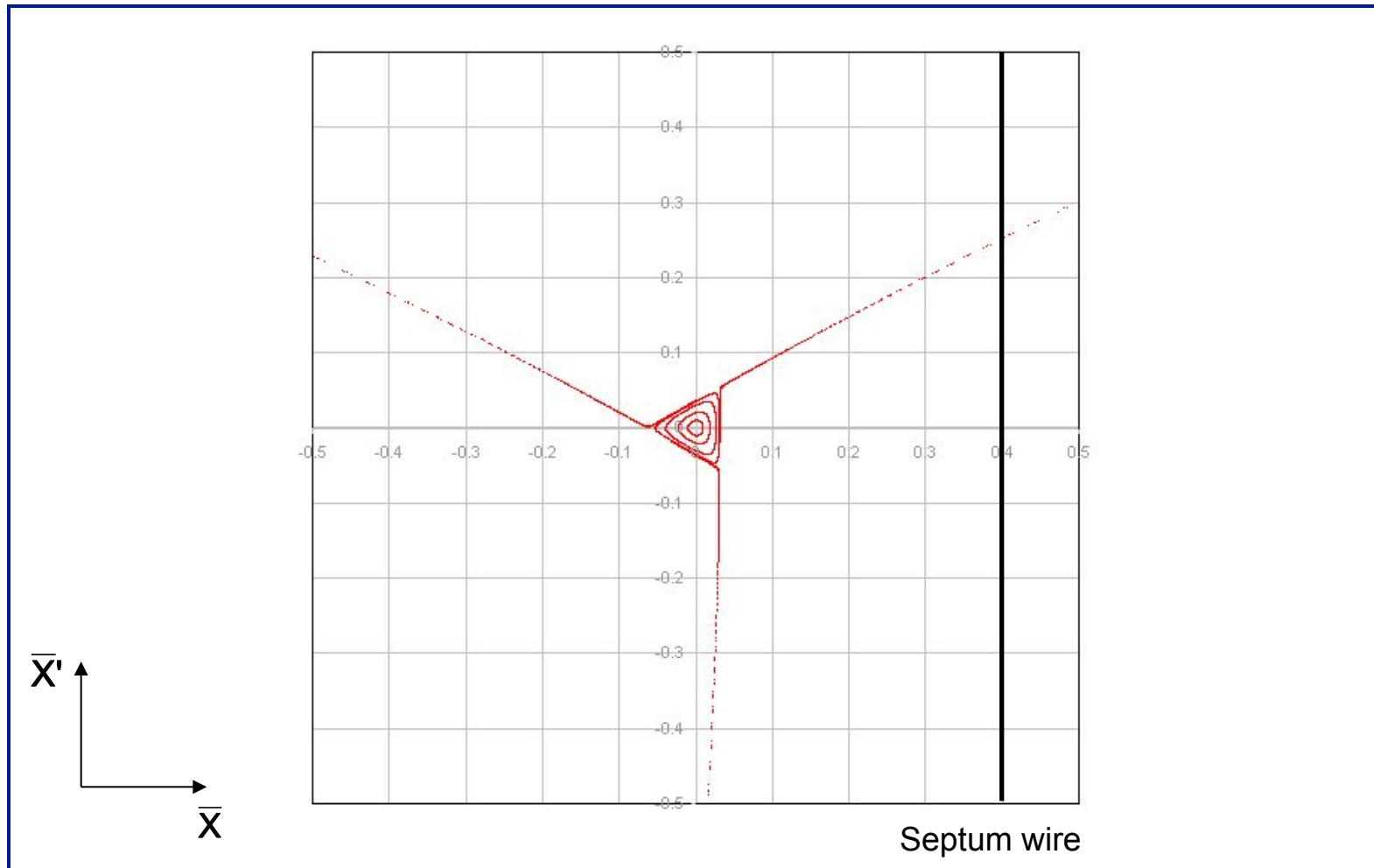
- Separatrix position in phase space shifts as the stable area shrinks

# Third-order resonant extraction



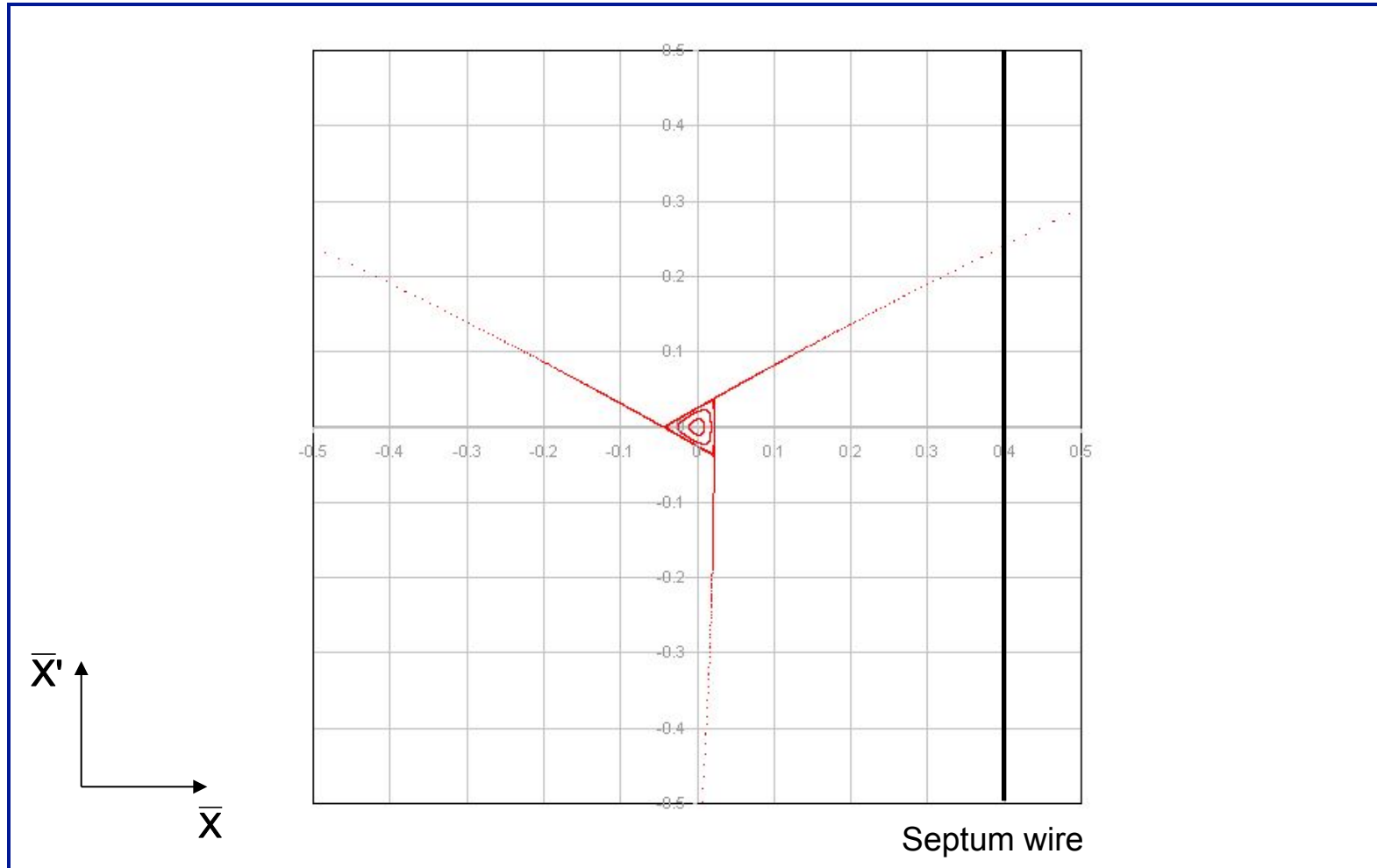
- As the stable area shrinks, the circulating beam intensity drops since particles are being continuously extracted

# Third-order resonant extraction



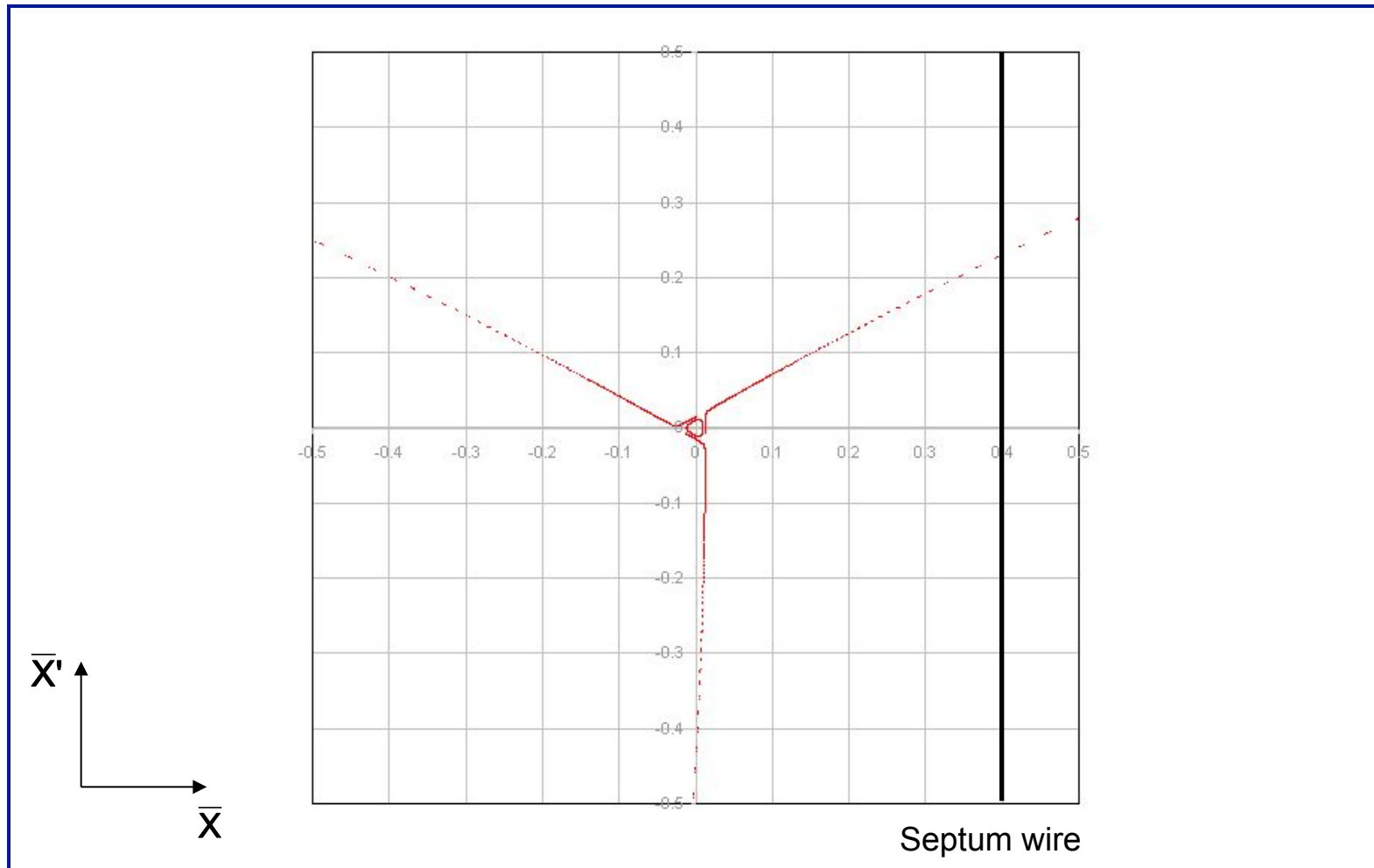
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# Third-order resonant extraction



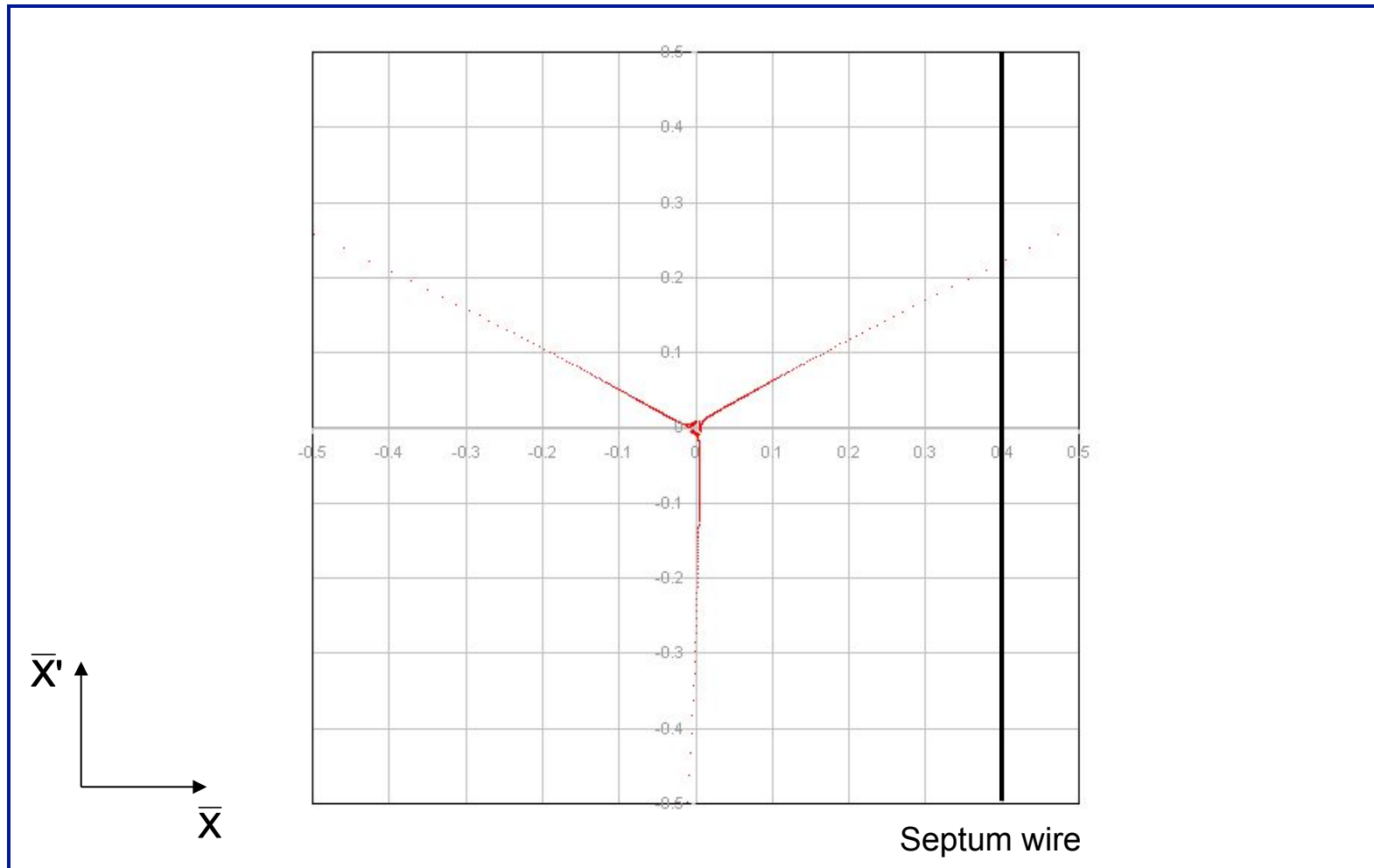
- As the stable area shrinks, the circulating beam intensity drops since particles are being continuously extracted

# Third-order resonant extraction



- As the stable area shrinks, the circulating beam intensity drops since particles are being continuously extracted

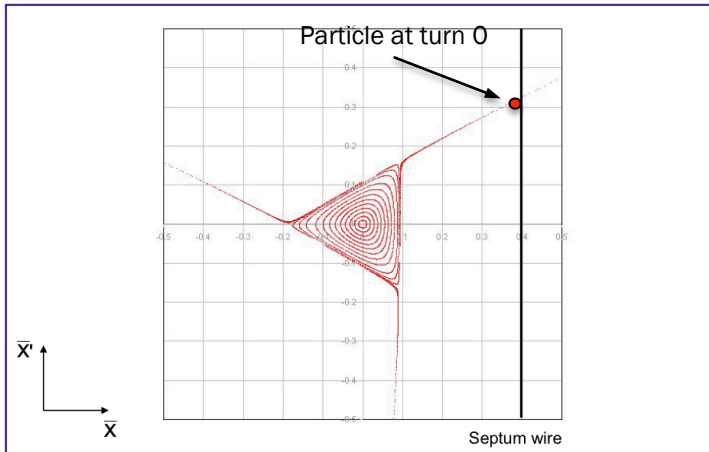
# Third-order resonant extraction



- As  $\Delta Q$  approaches zero, the particles with very small amplitude are extracted

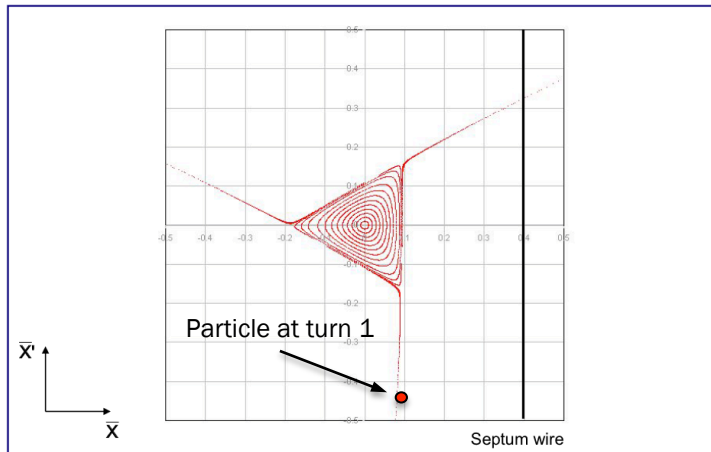
# Third-order resonant extraction

- On resonance, sextupole kicks add-up driving particles over septum



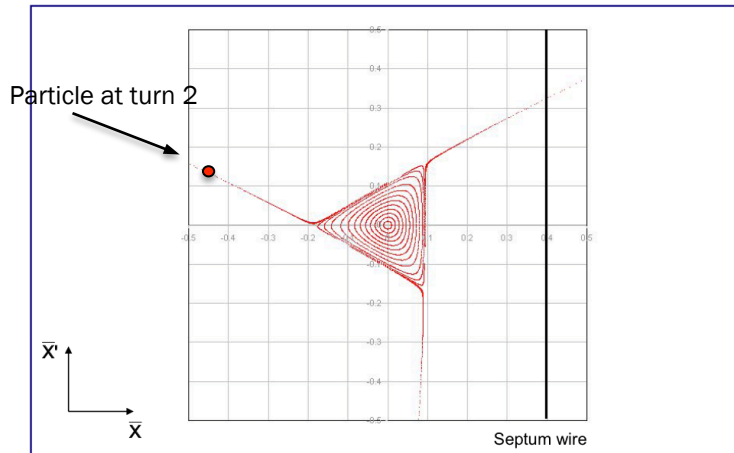
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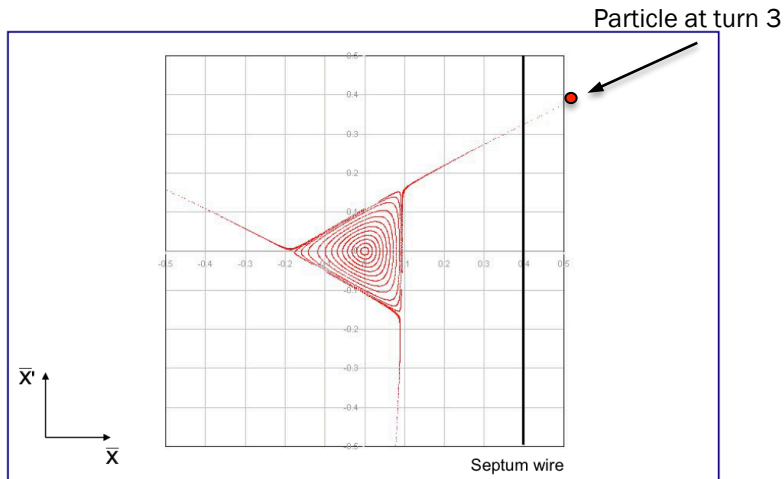
# Third-order resonant extraction

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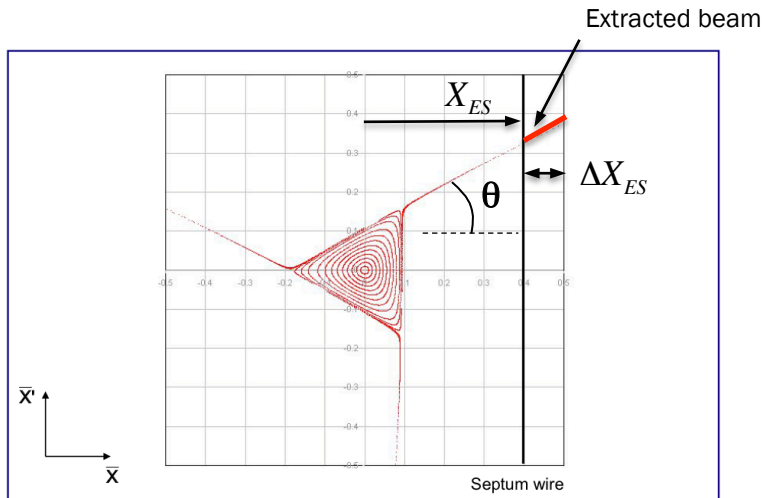
# Third-order resonant extraction

- On resonance, sextupole kicks add-up driving particles over septum



# Third-order resonant extraction

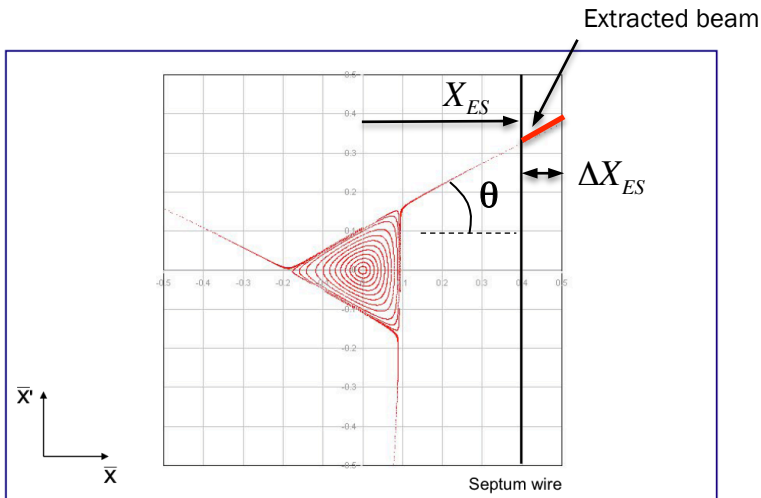
- On resonance, sextupole kicks add-up driving particles over septum
  - Distance travelled in these final three turns is termed the “spiral step,”  $\Delta X_{ES}$
  - Extraction bump trimmed in the machine to adjust the spiral step



$$\Delta X_{ES} \propto |k_2| \frac{X_{ES}^2}{\cos \theta}$$

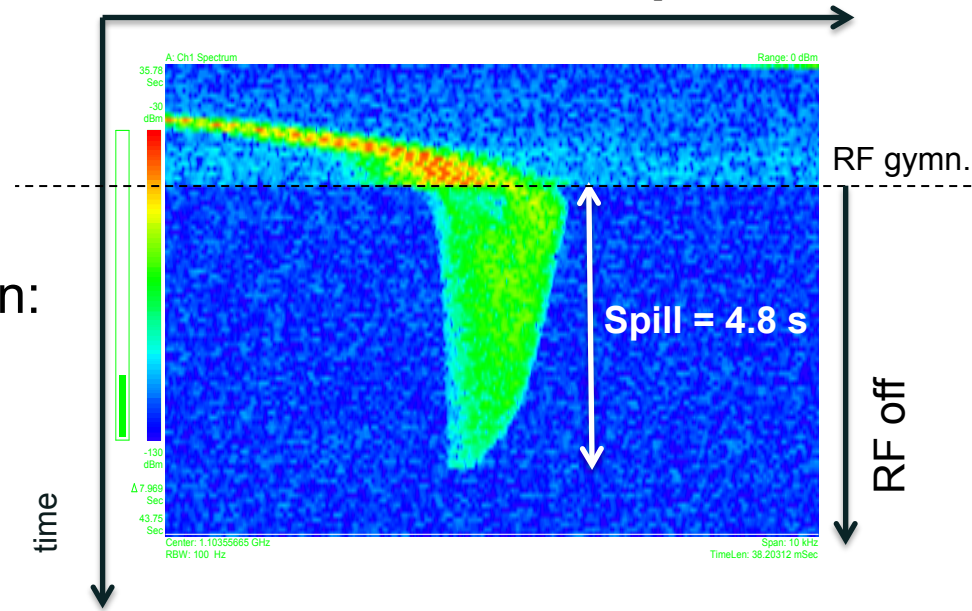
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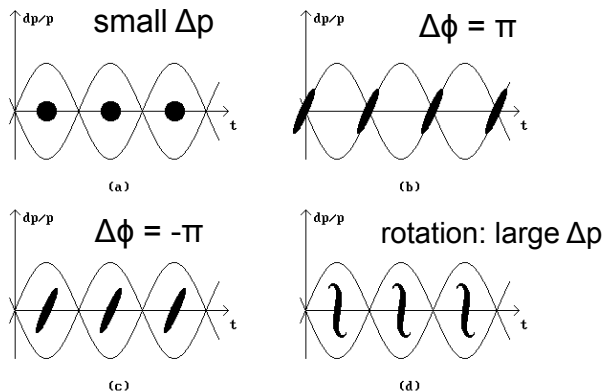
$$\Delta X_{ES} \propto |k_2| \frac{X_{ES}^2}{\cos \theta}$$

momentum spread, tune  $\frac{\Delta p}{p} \propto -\Delta Q$

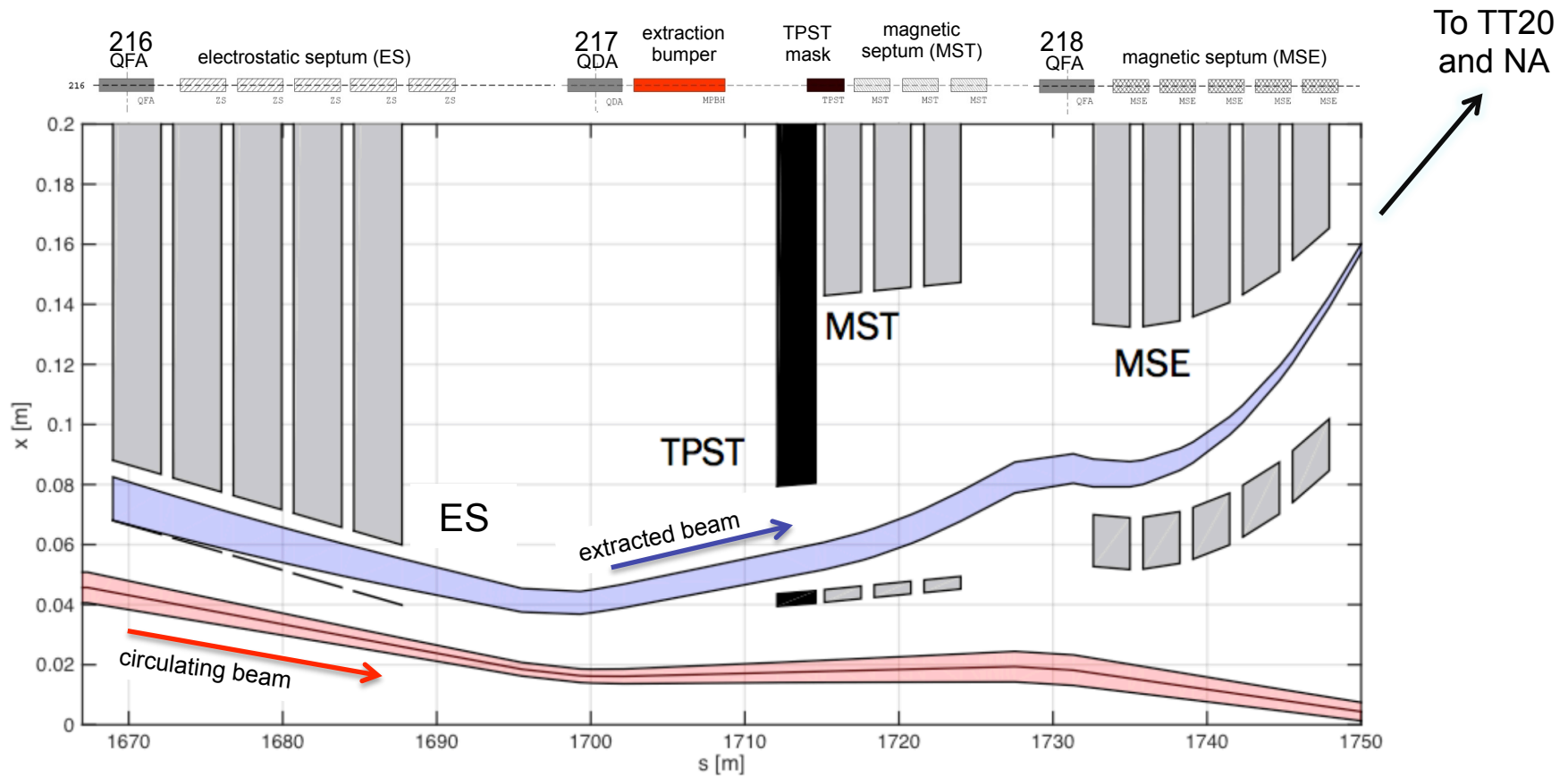


Schottky measurement during spill, courtesy of T. Bohl

- RF gymnastics before extraction:

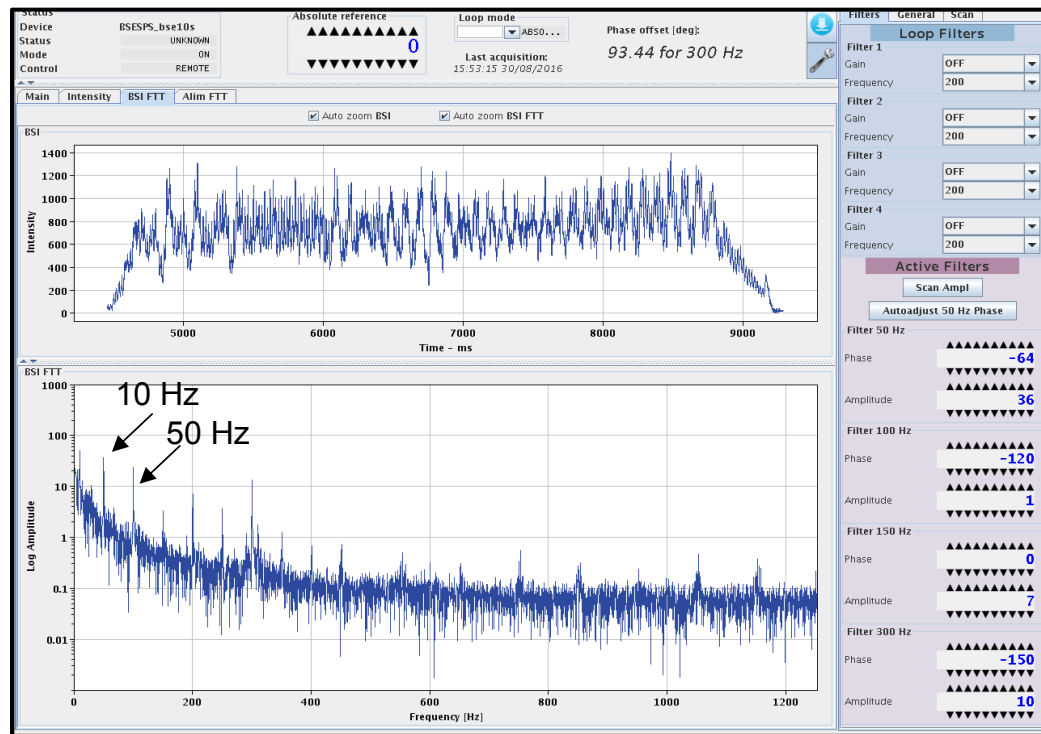


# Slow extraction channel: SPS



# Slow extracted spill quality

- The slow-extraction is a resonant process and it amplifies the smallest imperfections in the machine:
  - e.g. spill intensity variations can be explained by ripples in the current of the quads (mains:  $n \times 50$  Hz) at the level of a few ppm!
  - Injection of  $n \times 50$  Hz signals in counter-phase on dedicated quads can be used to compensate

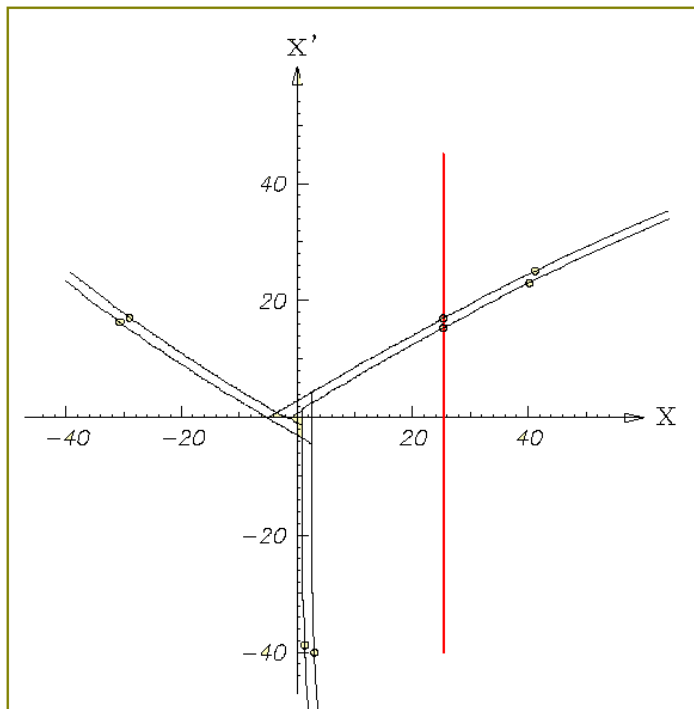


**A recent example of a spill at SPS to the North Area with large  $n \times 50$  Hz components and another noise source at 10 Hz**

# Second-order resonant extraction

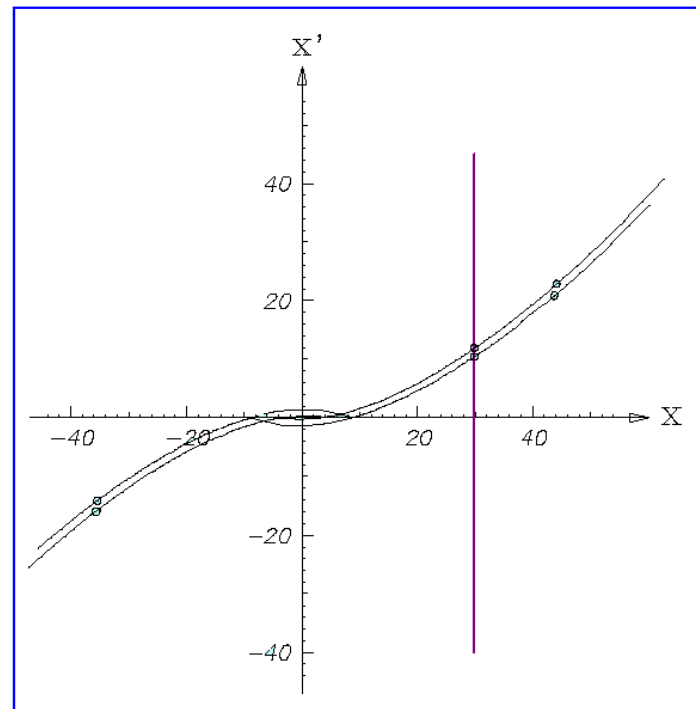
- An extraction can also be made over a few hundred turns
- 2<sup>nd</sup> and 4<sup>th</sup> order resonances
  - Octupole fields distort the regular phase space particle trajectories
  - Stable area defined, delimited by two unstable Fixed Points
  - Beam tune brought across a 2<sup>nd</sup> order resonance ( $Q \rightarrow 0.5$ )
  - Particle amplitudes quickly grow and beam is extracted in a few hundred turns

# Resonant extraction separatrixes



$\bar{X}'$  3<sup>rd</sup> order resonant extraction

$\bar{X}$



2<sup>nd</sup> order resonant extraction

- Amplitude growth for 2<sup>nd</sup> order resonance much faster than 3<sup>rd</sup> – shorter spills ( $\approx$ milliseconds vs. seconds)
- Used where intense pulses are required on target – e.g. neutrino production

# Extraction - summary

- Several different techniques:
  - Single-turn fast extraction:
    - for Boxcar stacking (transfer between machines in accelerator chain), beam abort
  - Non-resonant (fast) multi-turn extraction
    - slice beam into equal parts for transfer between machine over a few turns.
  - Resonant low-loss (fast) multi-turn extraction
    - create stable islands in phase space: slice off over a few turns.
  - Resonant (slow) multi-turn extraction
    - create stable area in phase space  $\Rightarrow$  slowly drive particles into resonance  $\Rightarrow$  long spill over many thousand turns.

**Thank you for your attention**

# Appendix

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# Blow-up from steering error

- The new particle coordinates in normalised phase space are:

$$\bar{X}_{error} = \bar{X}_0 + L \cos \theta$$

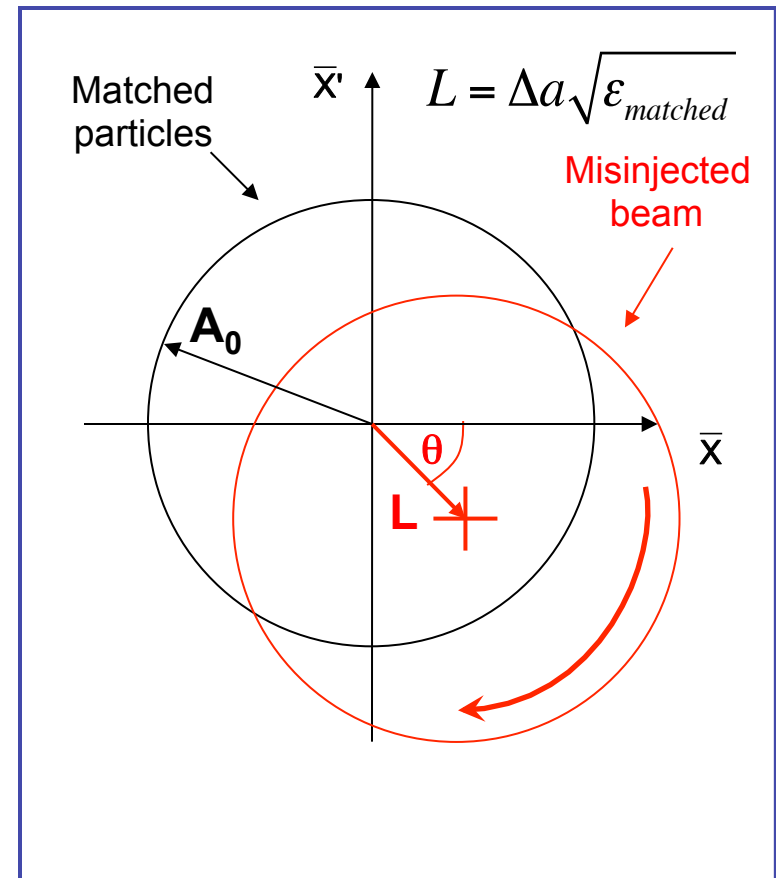
$$\bar{X}'_{error} = \bar{X}'_0 + L \sin \theta$$

- For a general particle distribution, where  $A_i$  denotes amplitude in normalised phase of particle  $i$ :

$$\mathbf{A}_i^2 = \bar{X}_{0,i}^2 + \bar{X}'_{0,i}^2$$

- The emittance of the distribution is:

$$\varepsilon_{matched} = \langle \mathbf{A}_i^2 \rangle / 2$$



# Blow-up from steering error

- So we plug in the new coordinates:

$$\begin{aligned}
 \mathbf{A}_{error}^2 &= \bar{X}_{error}^2 + \bar{X}'_{error}{}^2 \\
 &= (\bar{X}_0 + L \cos \theta)^2 + (\bar{X}'_0 + L \sin \theta)^2 \\
 &= \bar{X}_0^2 + \bar{X}'_0{}^2 + 2L(\bar{X}_0 \cos \theta + \bar{X}'_0 \sin \theta) + \underbrace{L^2}_{\cos^2 \theta + \sin^2 \theta = 1}
 \end{aligned}$$

- Taking the average over distribution:

$$\begin{aligned}
 \langle \mathbf{A}_{error}^2 \rangle &= \langle \mathbf{A}_0^2 \rangle + 2L(\underbrace{\langle \bar{X}_0 \cos \theta \rangle}_0 + \underbrace{\langle \bar{X}'_0 \sin \theta \rangle}_0) + \langle L^2 \rangle \\
 &= 2\varepsilon_{matched} + L^2
 \end{aligned}$$

- Giving the diluted emittance as:

$$\begin{aligned}
 \varepsilon_{diluted} &= \varepsilon_{matched} + \frac{L^2}{2} \\
 &= \varepsilon_{matched} \left[ 1 + \frac{\Delta a^2}{2} \right]
 \end{aligned}$$

