

# Particle Sources

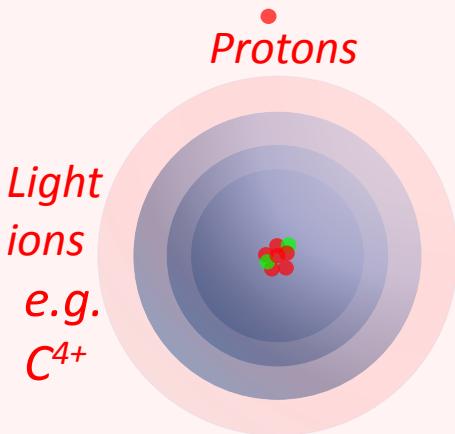
Dan Faircloth

Rutherford Appleton Laboratory

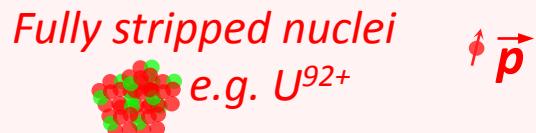
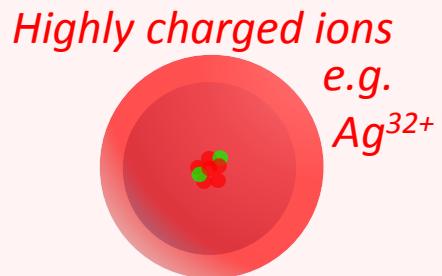
CERN Accelerator School

13 October 2016

Positrons  
 $e^+$



## Positively Charged Particles



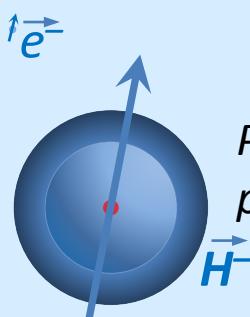
Electrons  
 $e^-$

Muons •  $\mu^-$

Antiprotons



## Negatively Charged Particles



Photons

Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$

Neutrons  
 $n$

Neutral particles



## Neutral Particles



Higgs Bosons

## Zoo of curiosities

Tauons

$W + Z$

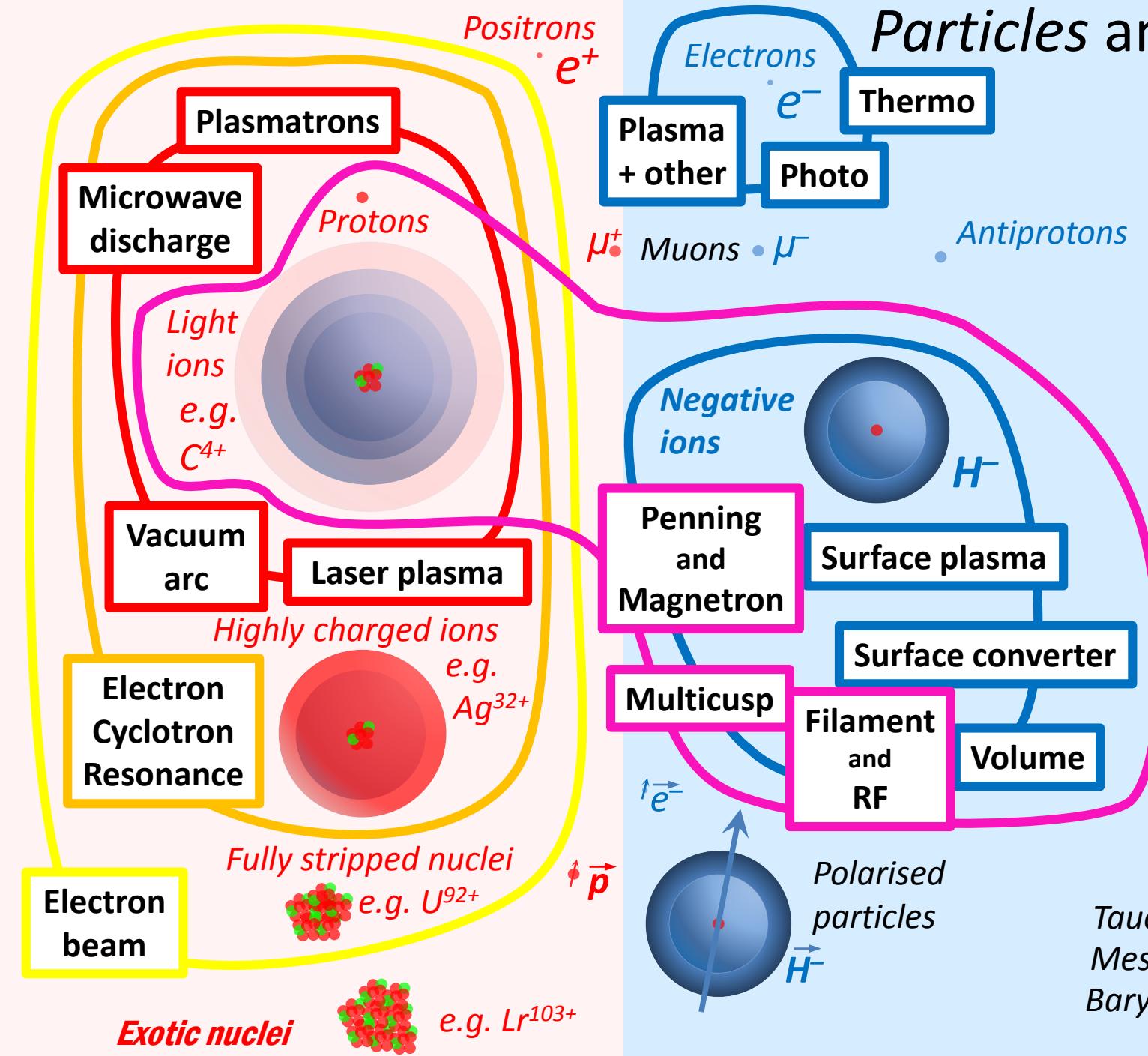
Mesons

Bosons

Baryons

Neutrinos

# Particles and Sources



Photons  
Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$   
Neutrons  
 $n$

Neutral particles  
 $H^0$

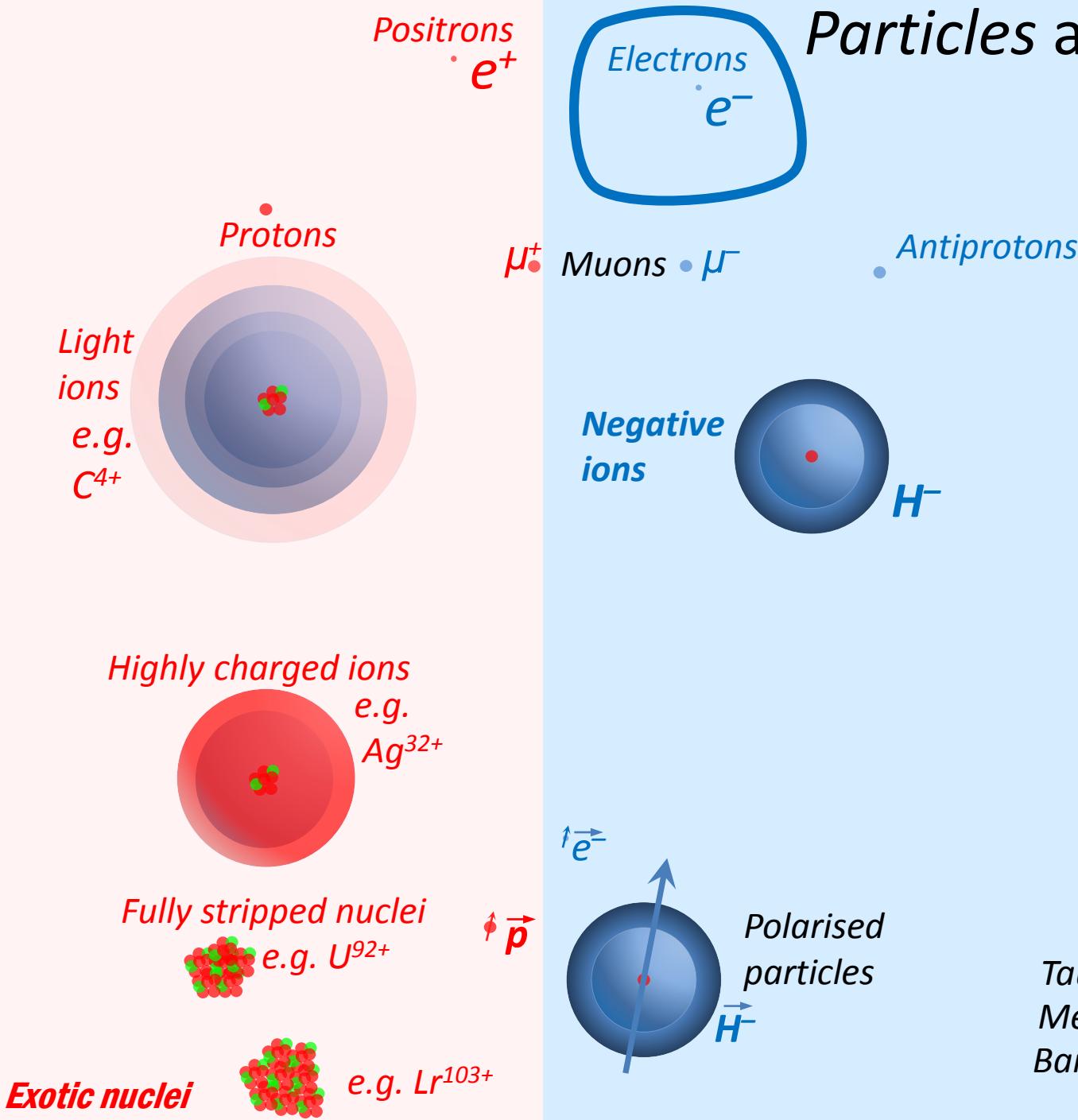


Higgs  
Bosons

## Zoo of curiosities

Tauons	$W + Z$
Mesons	Bosons
Baryons	
Neutrinos	

# Particles and Sources



Photons

Neutrinos  
 $\nu_e$   $\nu_\mu$   $\nu_\tau$

Neutrons  
 $n$

Neutral particles

$H^0$



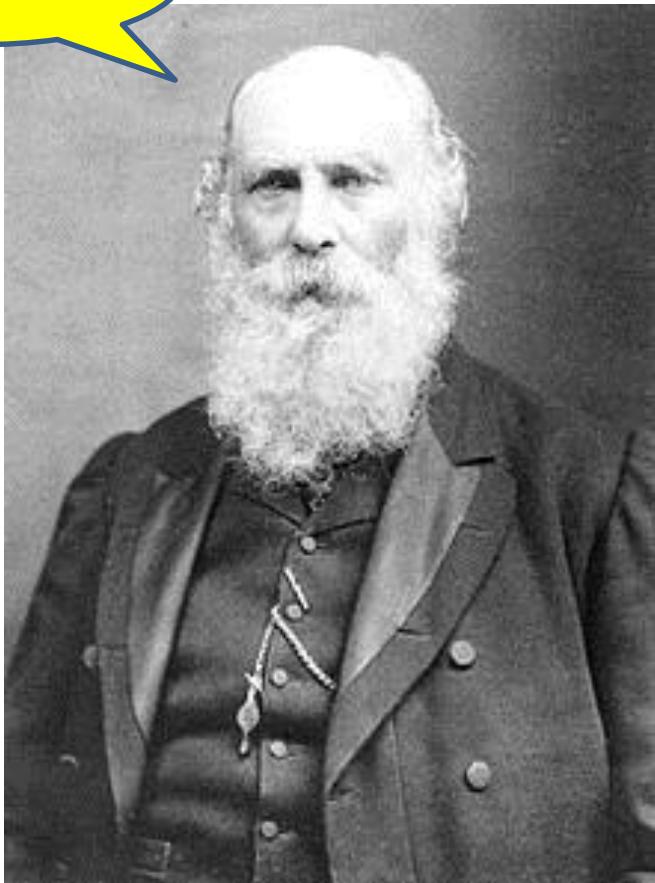
Higgs  
Bosons

## Zoo of curiosities

Tauons	$W + Z$
Mesons	Bosons
Baryons	Neutrinos

# The Electron!

**Electrons**



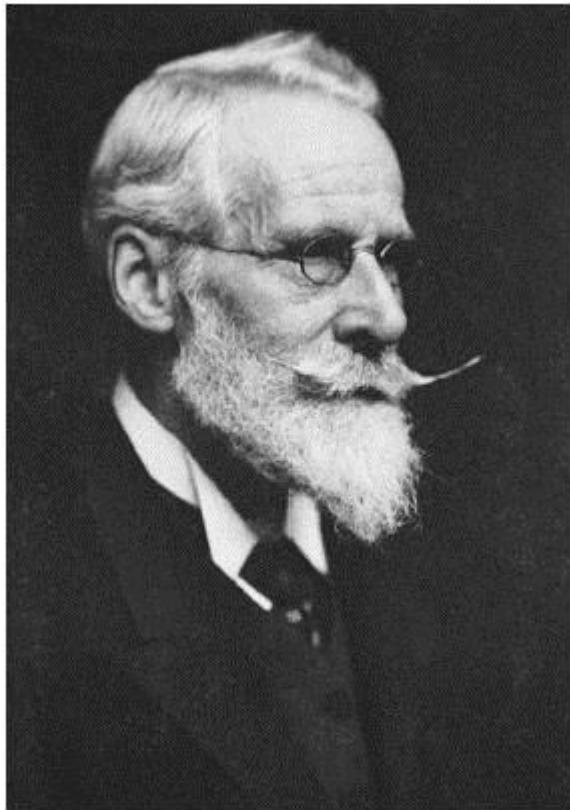
**George Johnstone Stoney**  
1894

**Corpuscles**

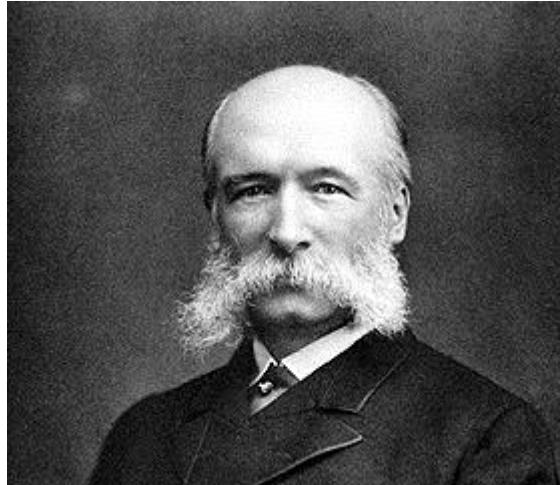


**J. J. Thomson**  
1897

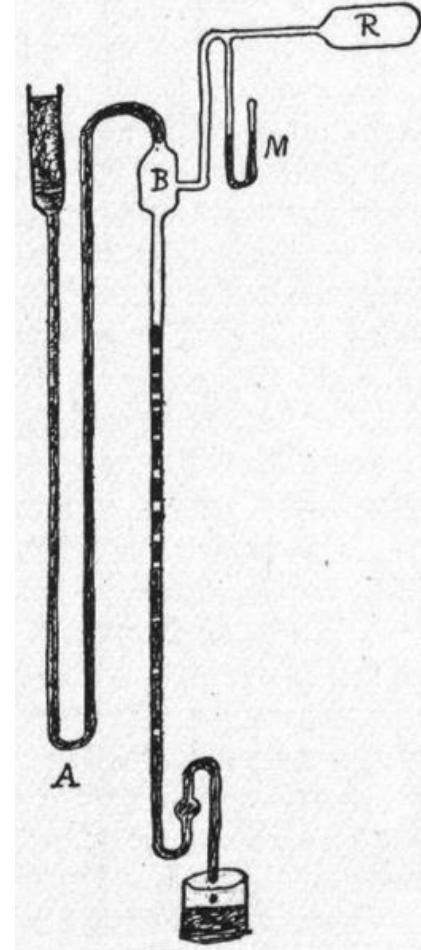
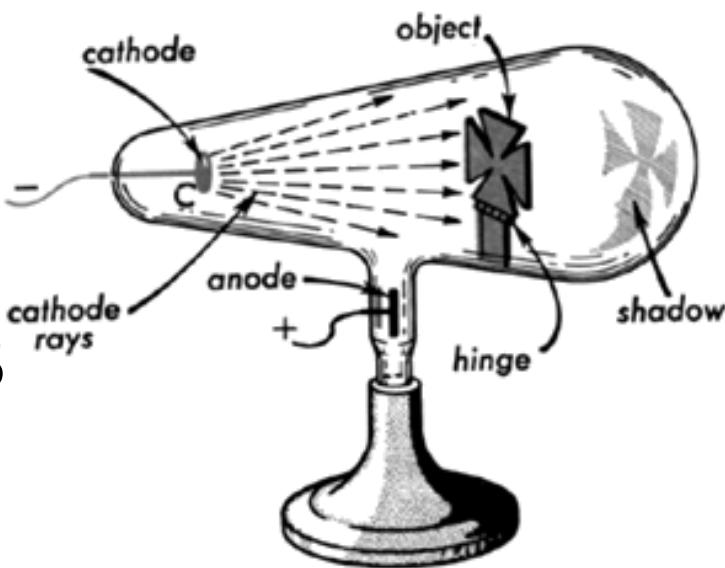
# Early 1870's



## William Crookes

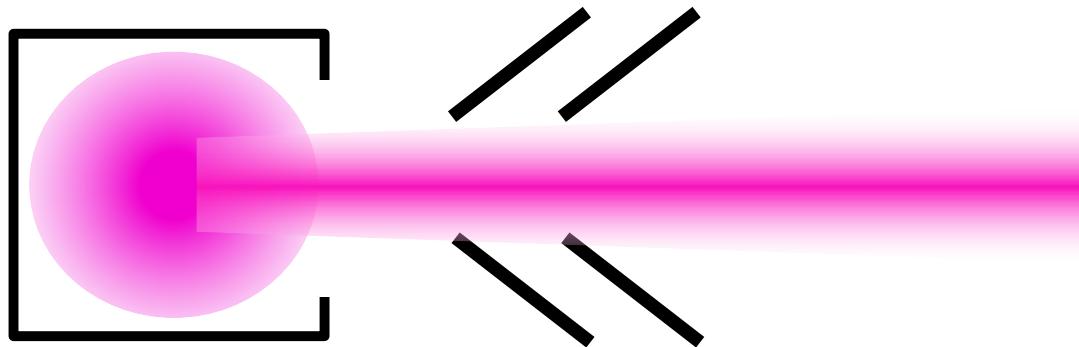


## Hermann Sprengel



Improved  
mercury pump  
 $10^{-5}$  mBar

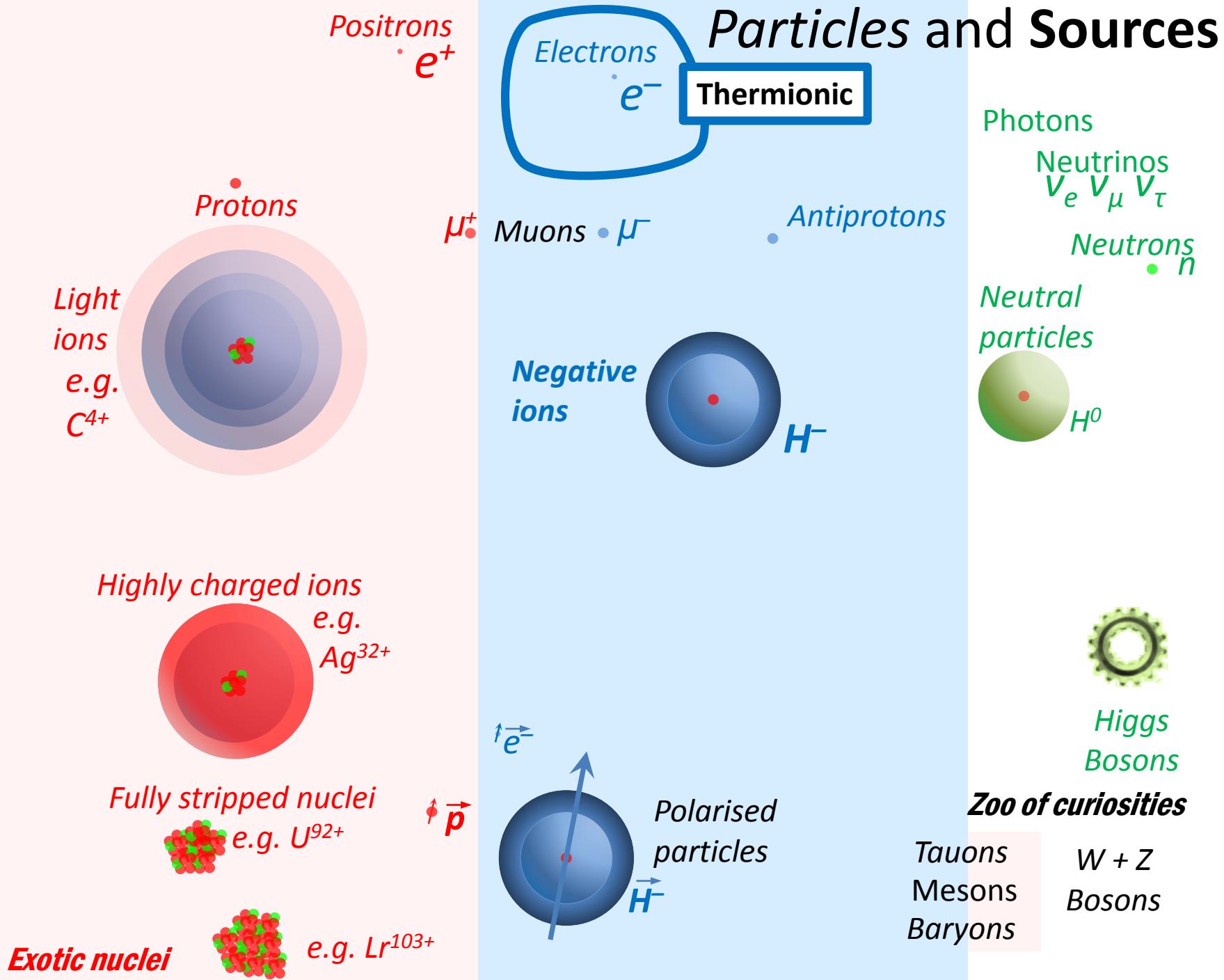
Particle sources/guns consist of:



Something to make  
the particles

+

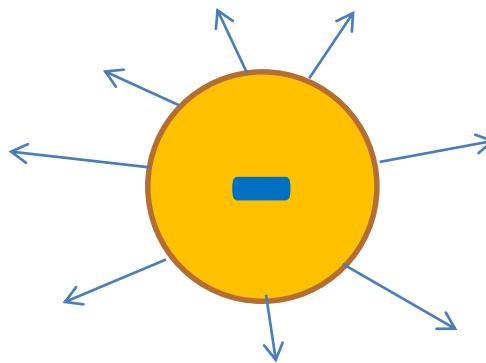
An extraction  
system to create  
and accelerate a  
beam



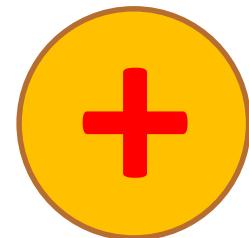


# Fredrick Guthrie

British scientific writer and professor



A negatively charged  
red hot metal ball  
loses charge...

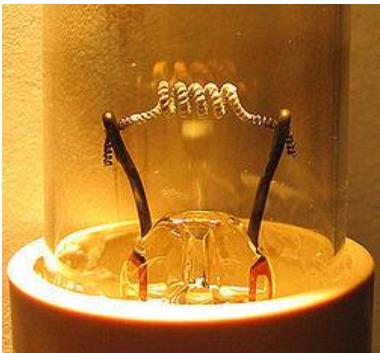


...whereas a positively  
charged one keeps its  
charge

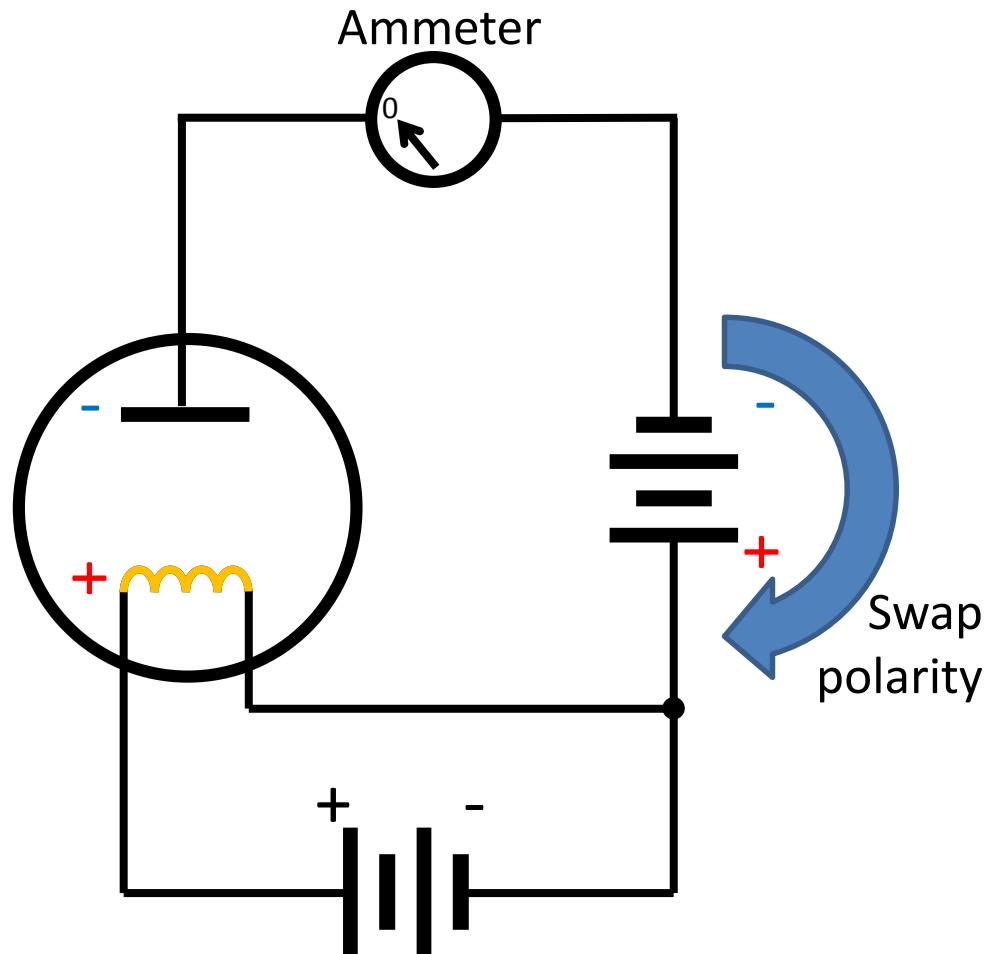
*Elements of Heat in 1868*

*First experimental observation of  
thermionic emission*

# Thermionic Emission

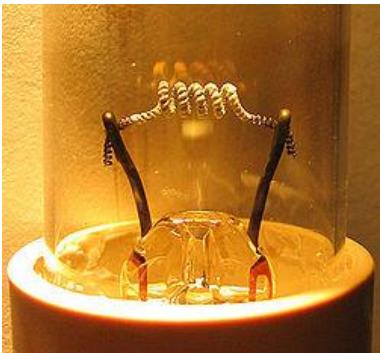


1880 Thomas Edison

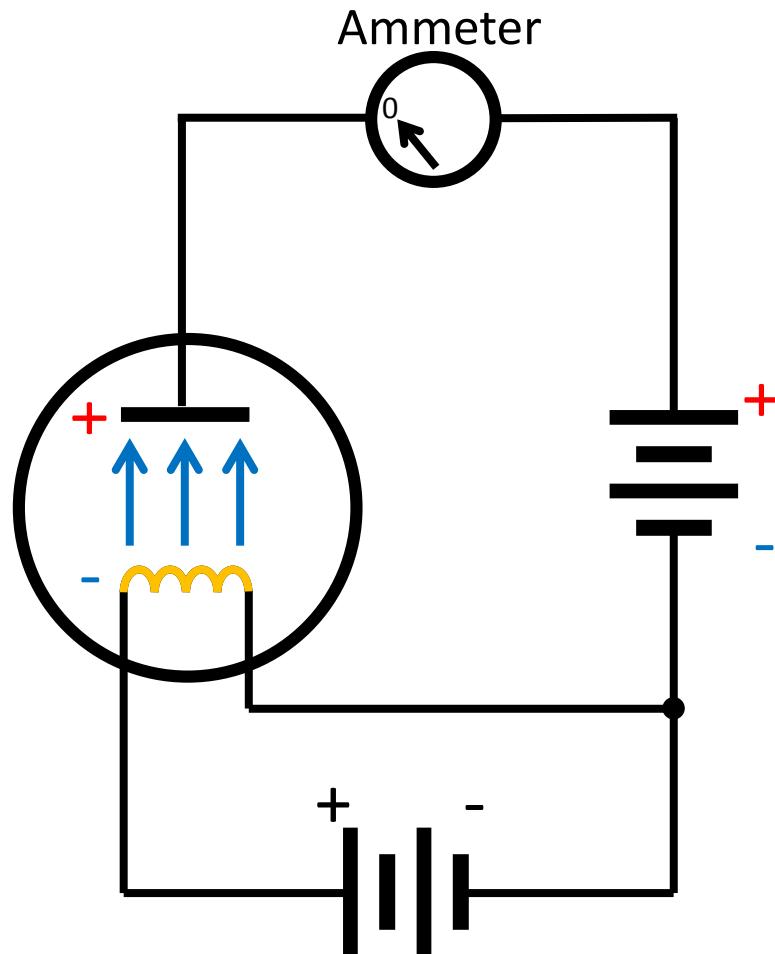


The “Edison effect”

# Thermionic Emission



1880 Thomas Edison



The “Edison effect”

# Thermionic Emission



Corpuscles



J. J. Thomson  
1897

Cambridge University

1901 Owen Richardson

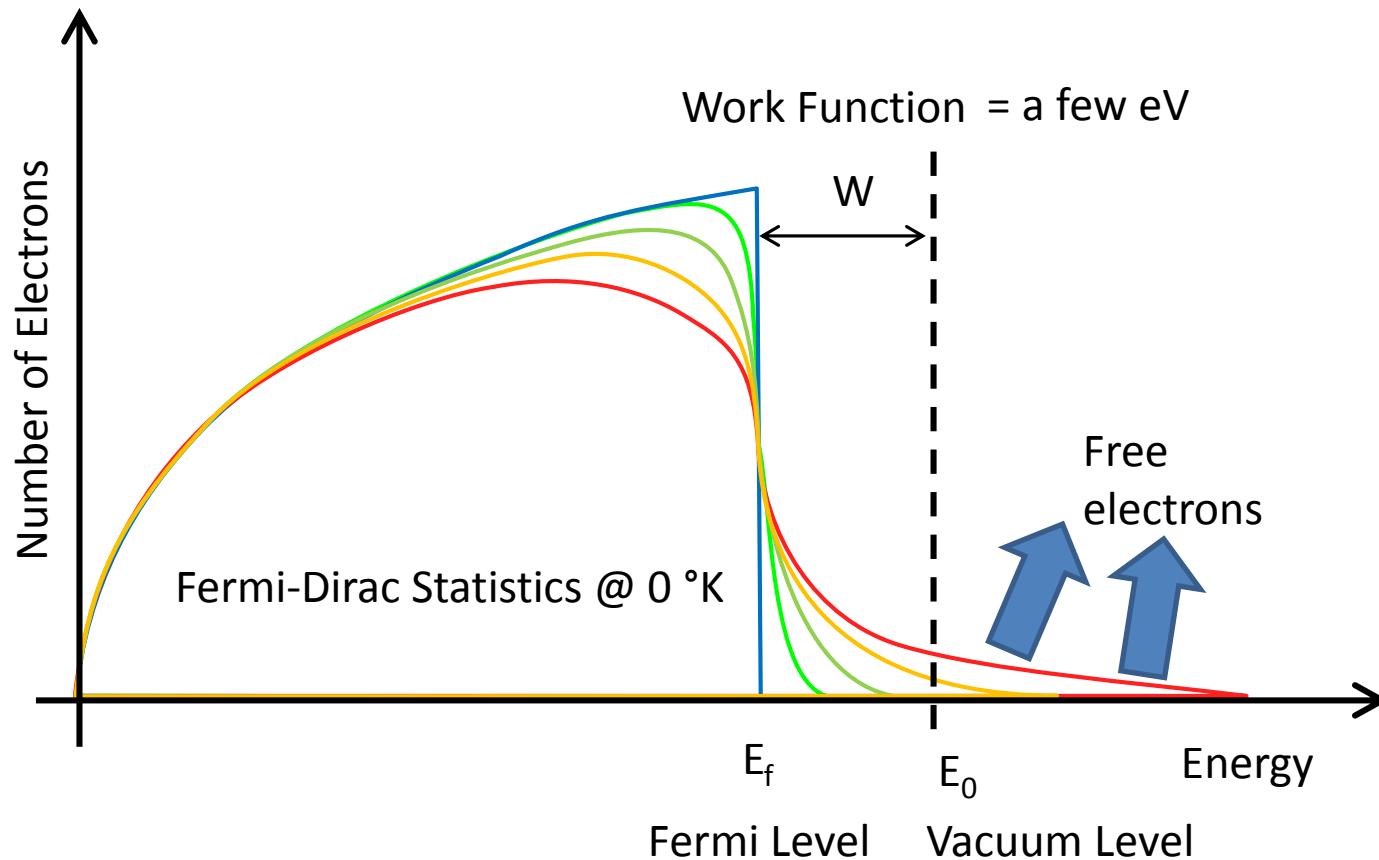
$$J = A_G T^2 e^{\frac{-W}{kT}}$$

Richardson's Law

Same form as the  
Arrhenius equation

Current increases  
exponentially with  
temperature

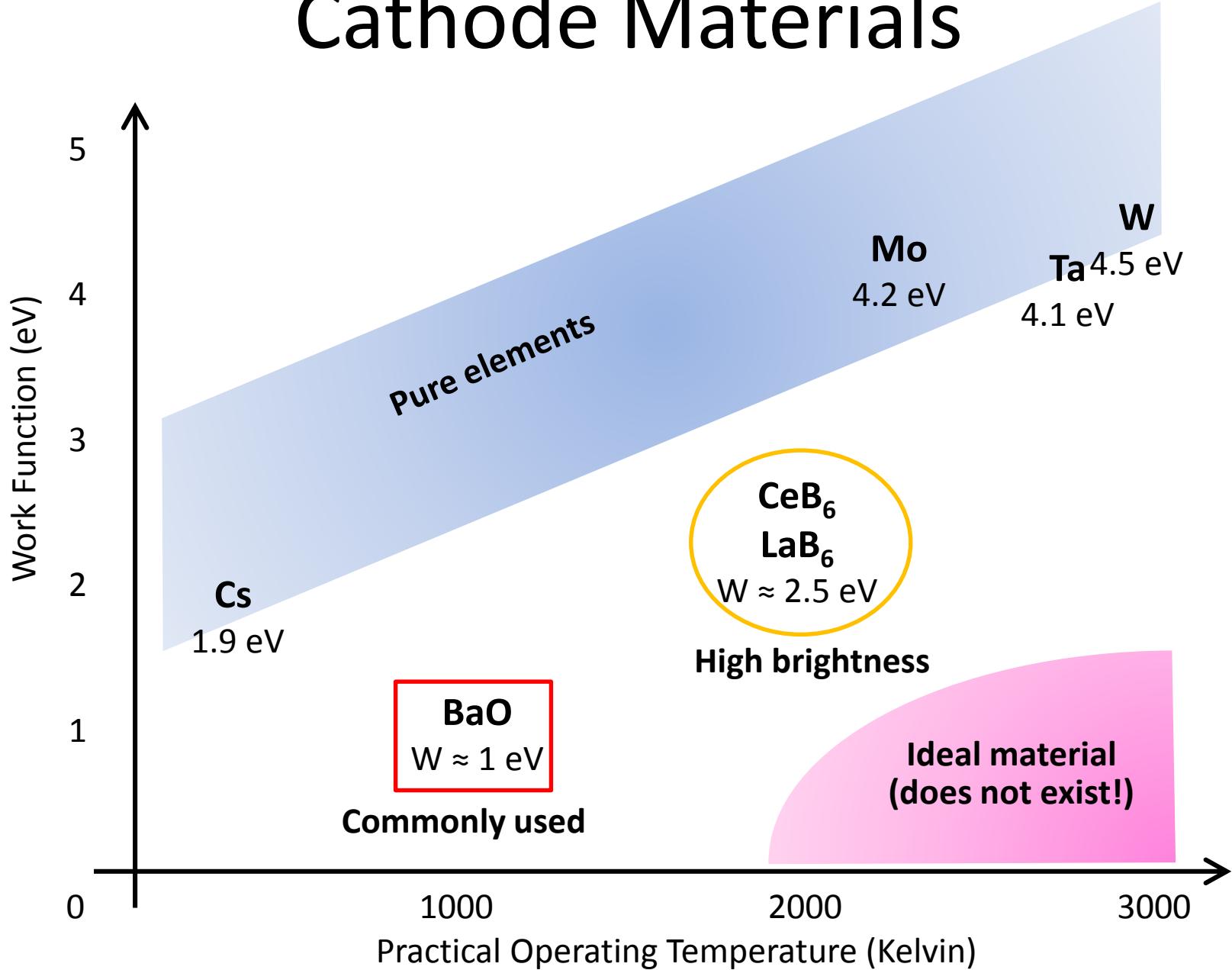
# Thermionic Emission



$$J = A_G T^2 e^{\frac{-W}{kT}}$$

For a good electron emitter you need:  
Lowest possible work function  
Highest possible temperature

# Cathode Materials





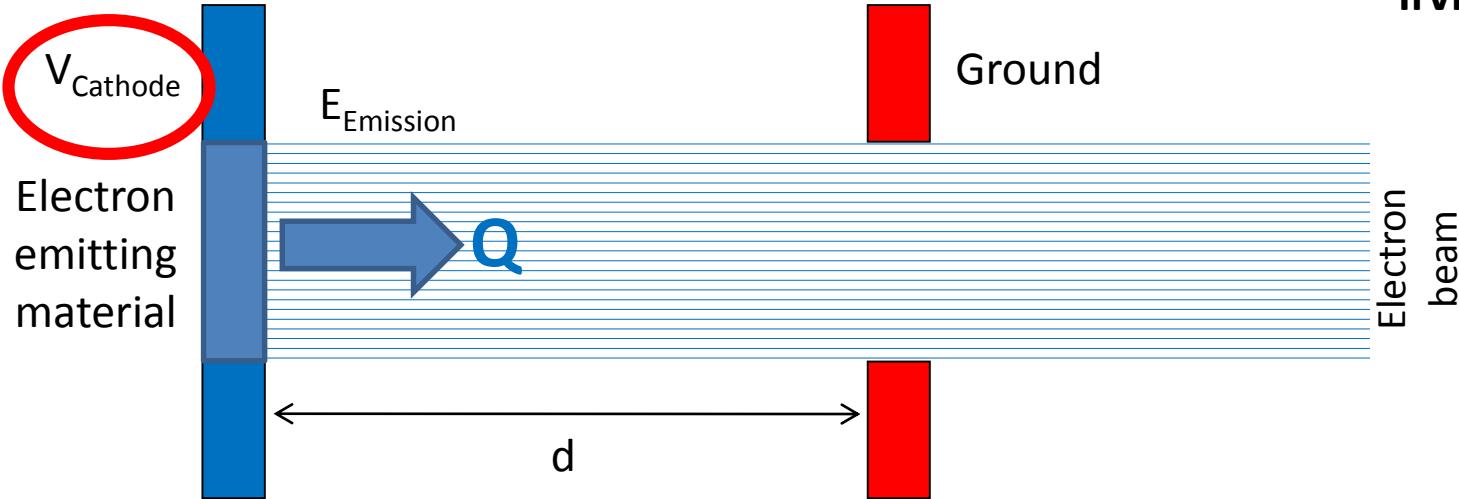
# Child-Langmuir Law

(Space charge limited extraction)

C.D Child

1911

Cathode



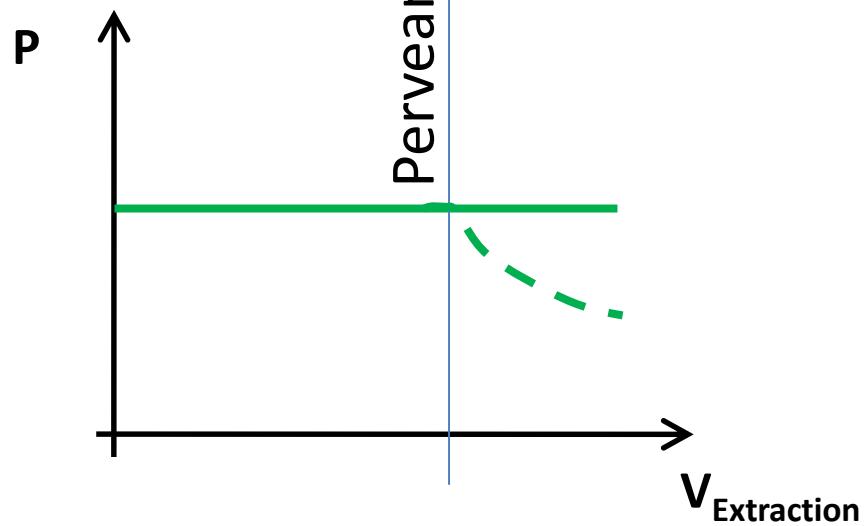
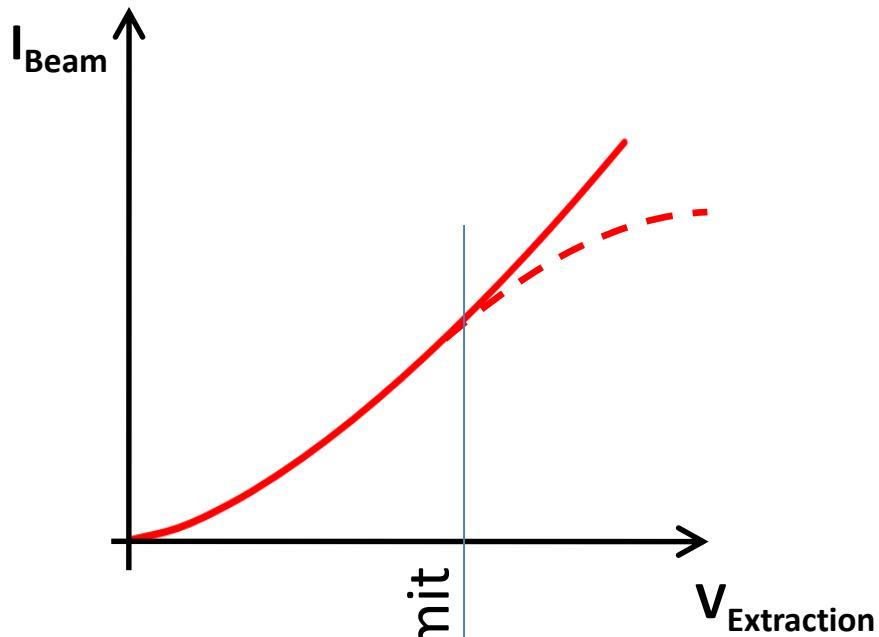
Irving Langmuir  
1913

$$j = \frac{\frac{4}{9} \epsilon_0 \sqrt{\frac{2e}{m_e}} V^{\frac{3}{2}}}{d^2}$$

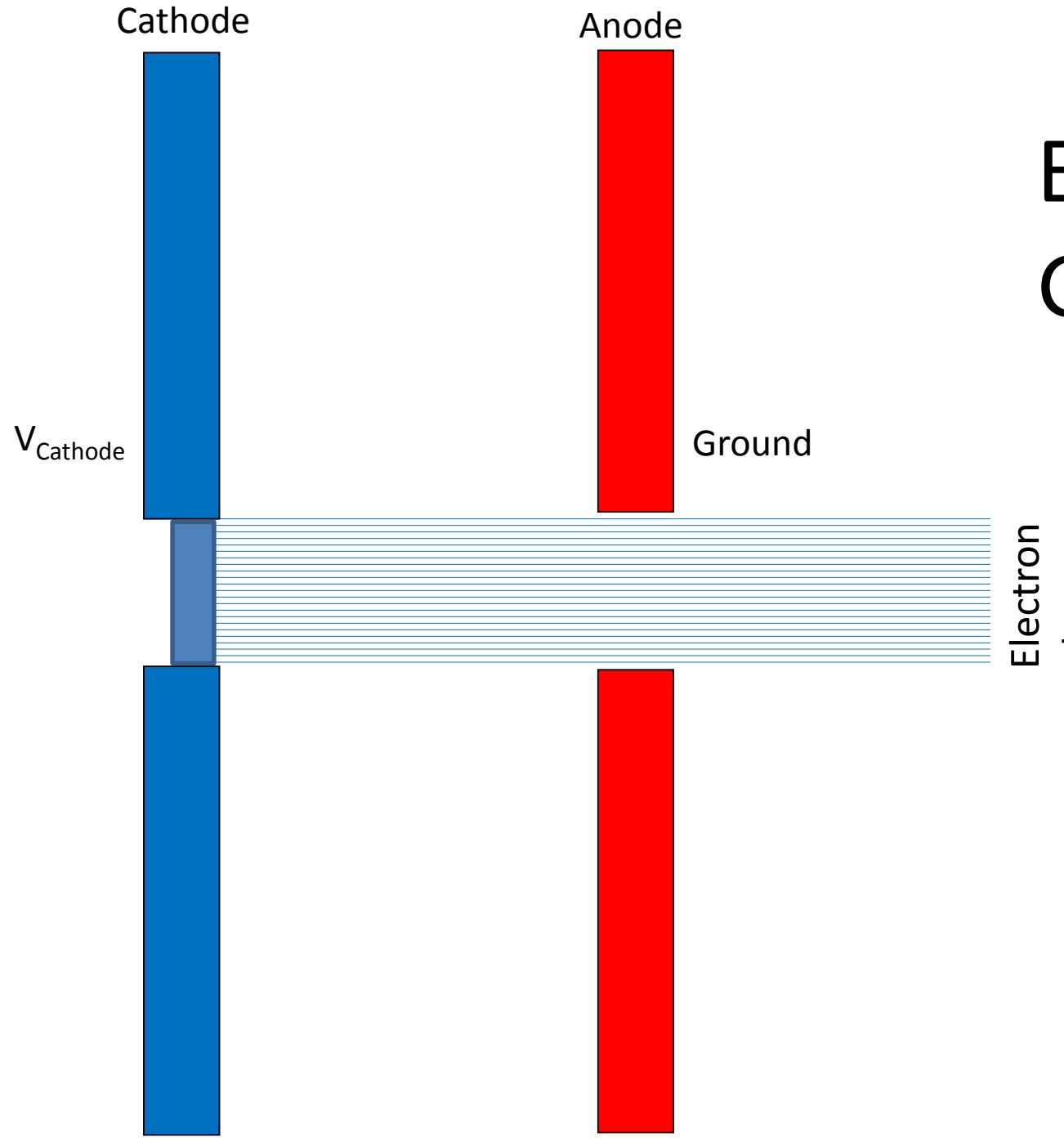
$$I \propto V^{\frac{3}{2}}$$

# Perveance

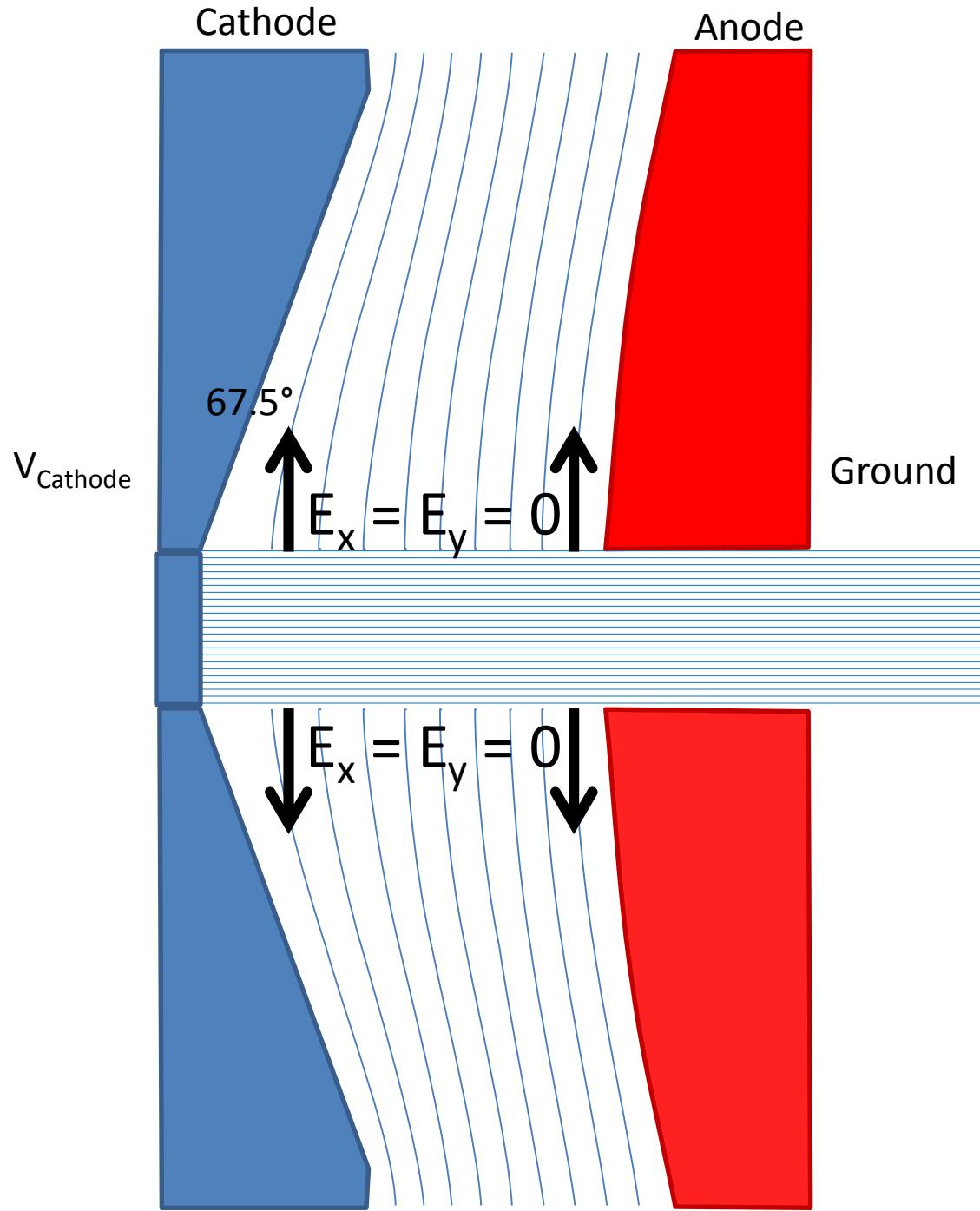
$$P = \frac{I}{V^{\frac{3}{2}}}$$



# Pierce Extraction Geometry

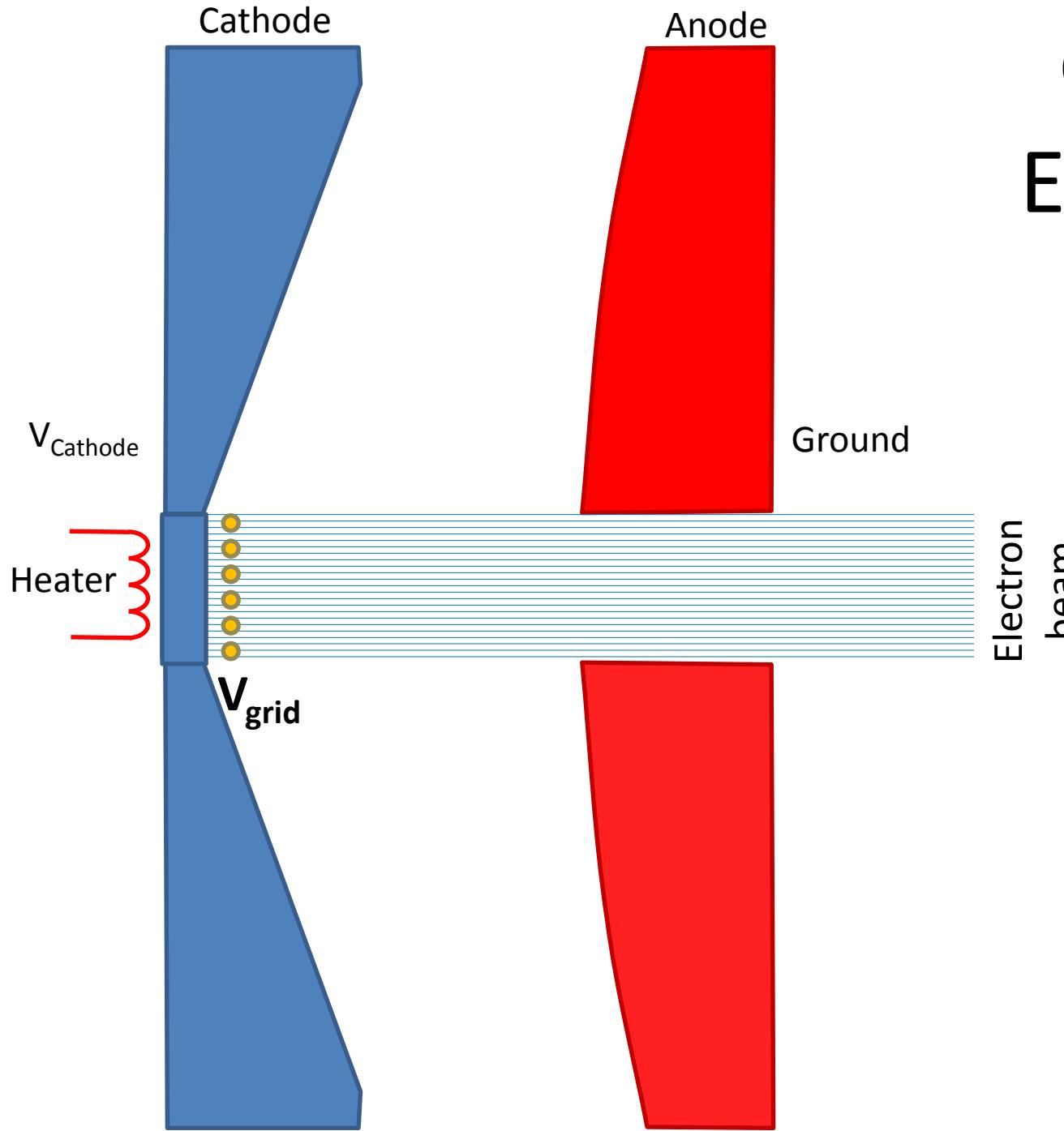


# Pierce Extraction Geometry



# Gridded Extraction

(A triode amplifier)





**YU 171**

*Thermionic dispenser cathode  
with integrated heater and grid*



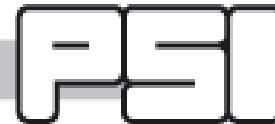
Sinter of W and BaO

1cm<sup>2</sup>

12 W heater



PAUL SCHERRER INSTITUT



Swiss Light Source

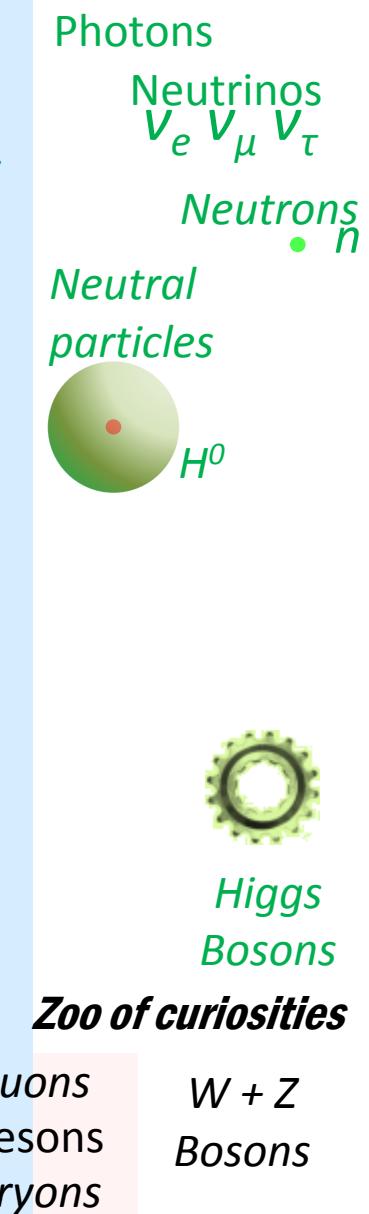
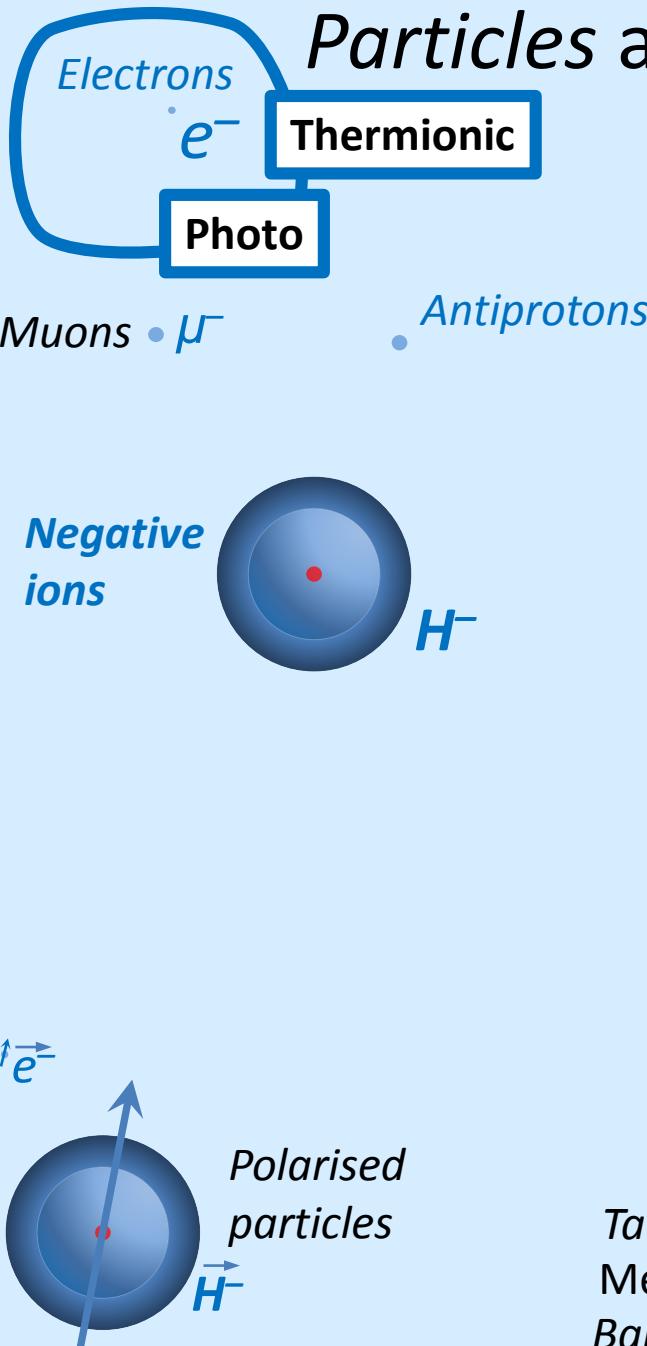
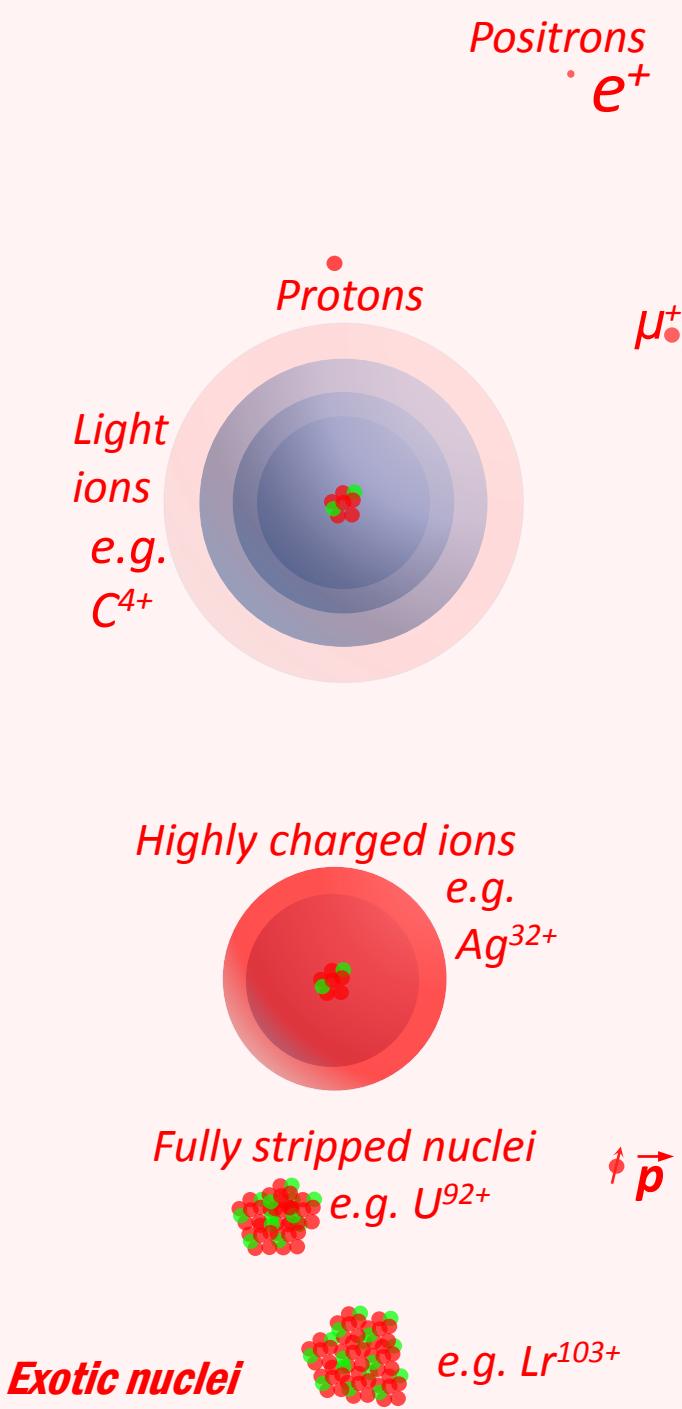
90 kV triode gun with Pierce geometry

1000 ns, 3 nC long pulses  
or

1 ns, 1.5 nC short pulses

Lifetime =  
several thousand hours

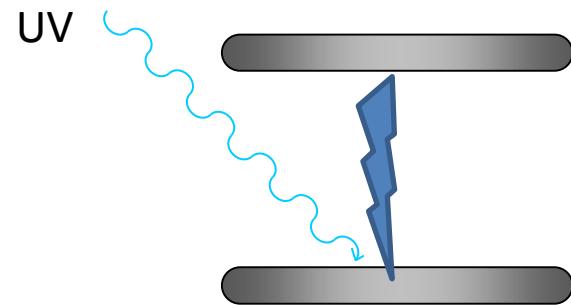
# Particles and Sources



# Photo Emission



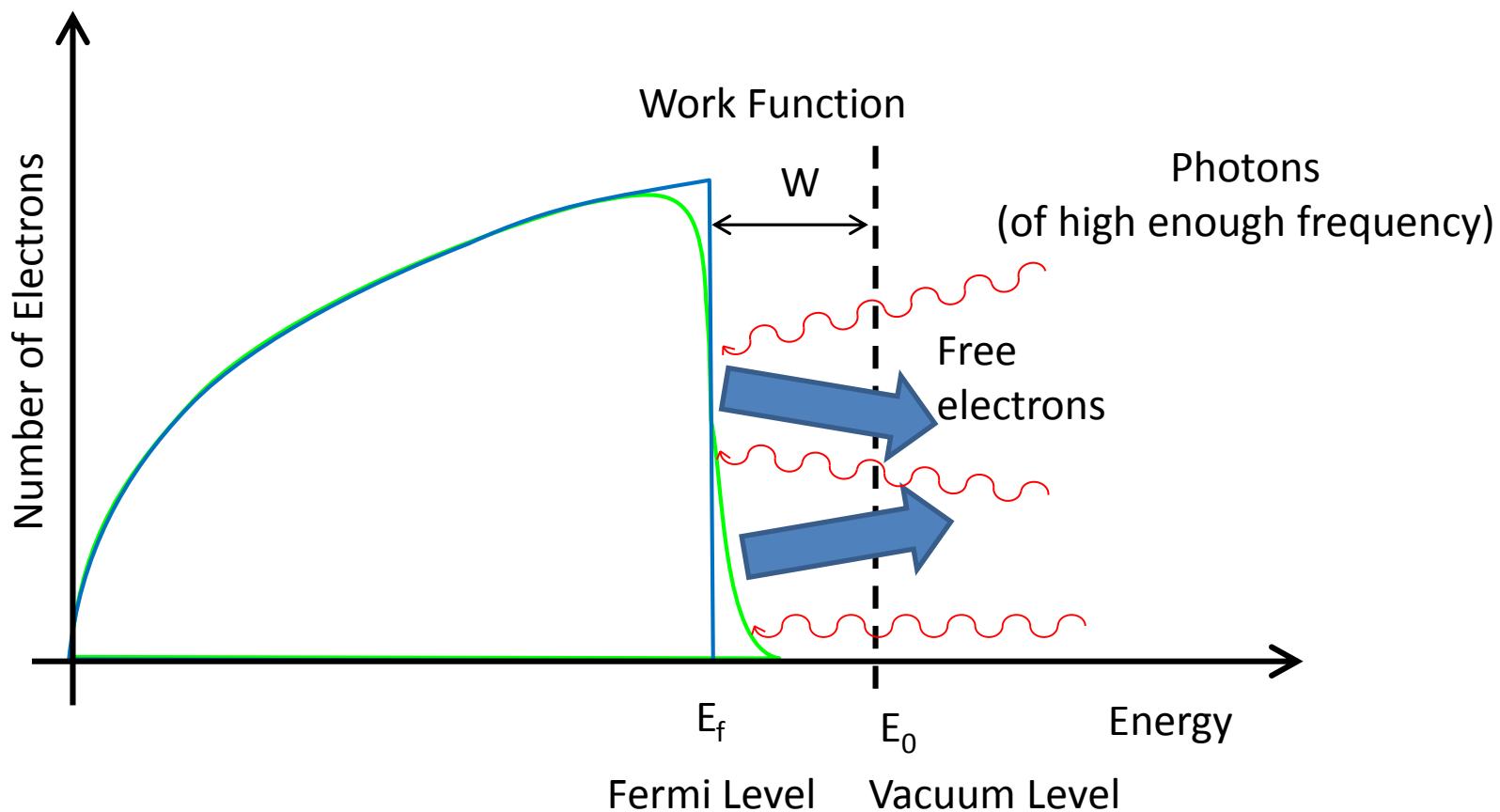
First observed by Heinrich Hertz in 1887



Theoretical explanation by  
Einstein in 1905

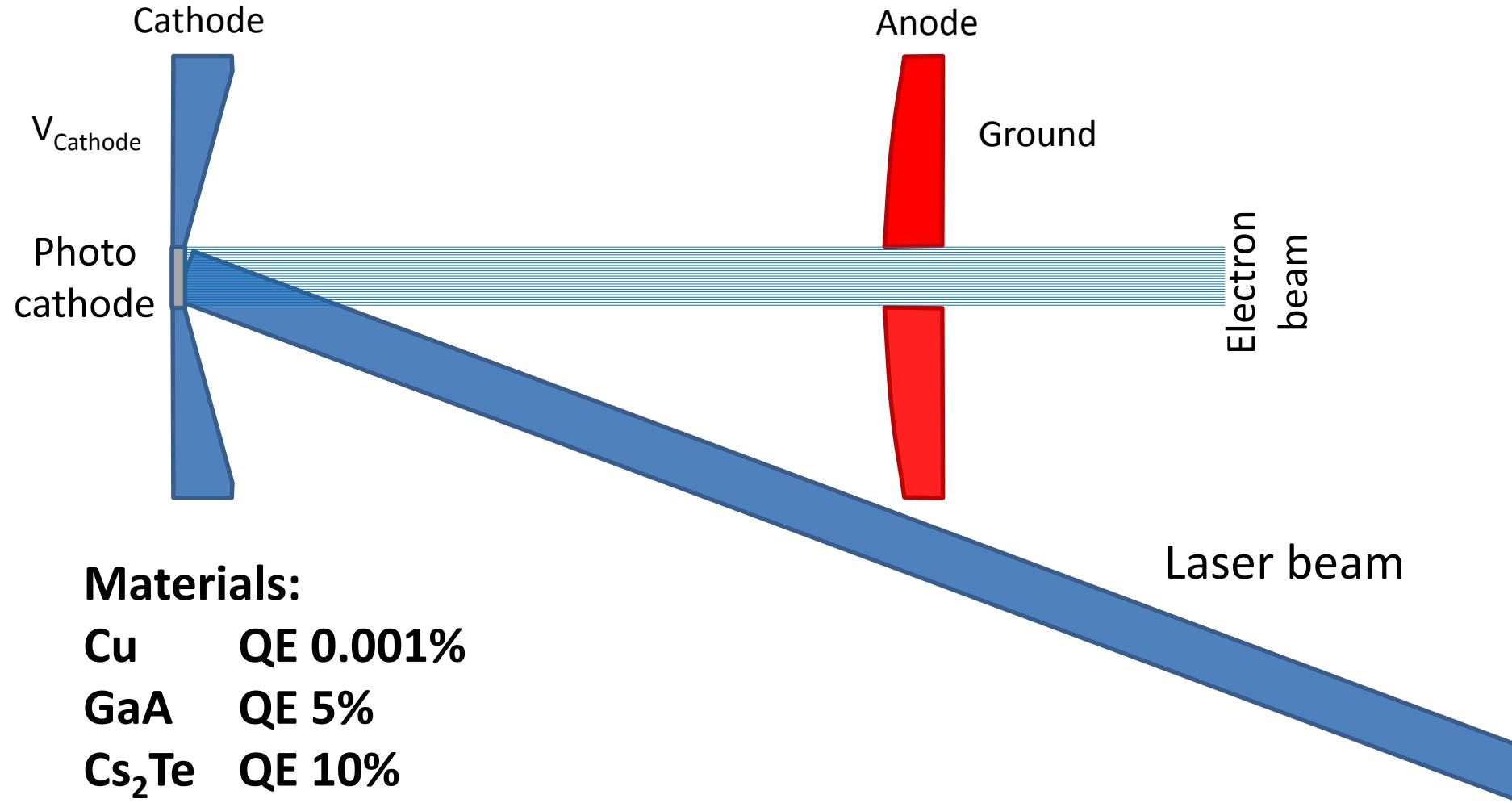


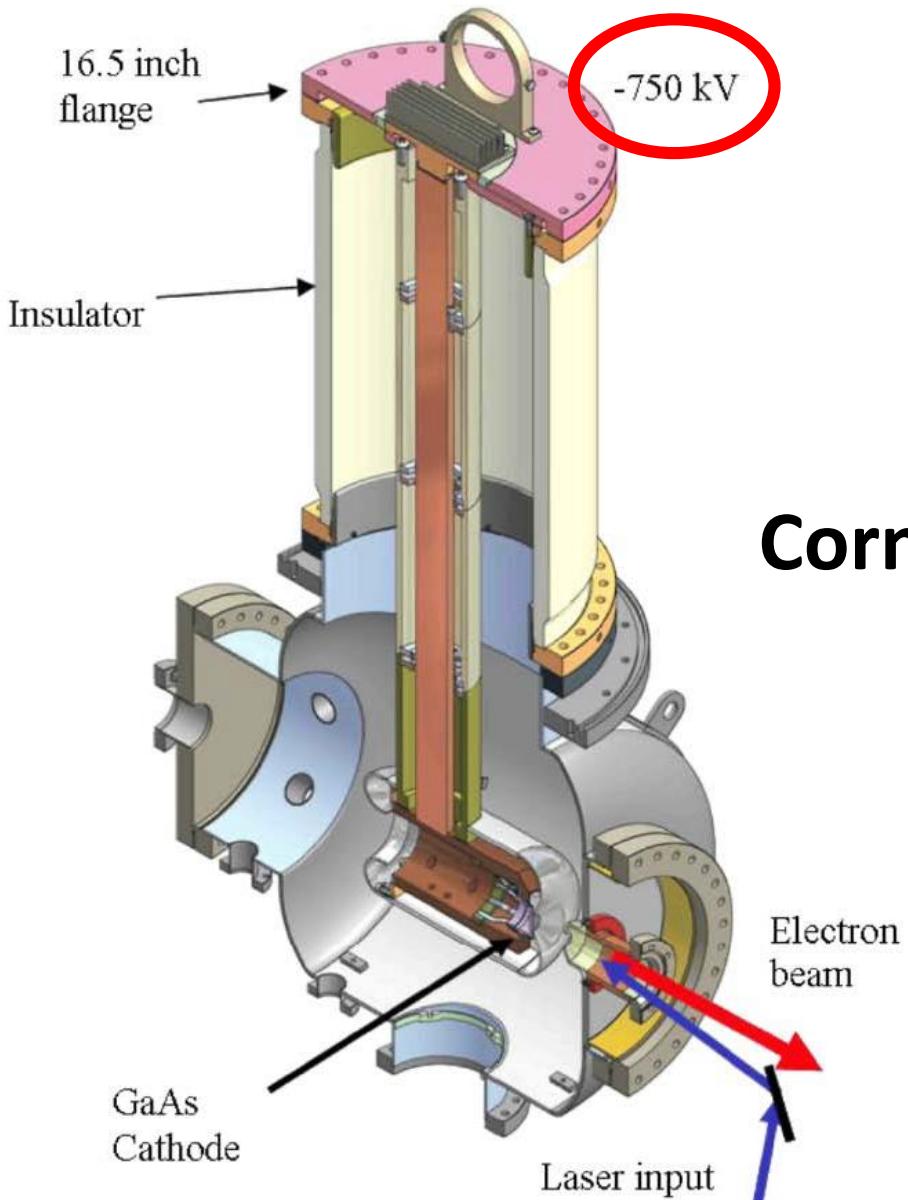
# Photo electric emission



$$\text{Quantum efficiency (QE)} = \frac{\text{Number of electrons produced}}{\text{Number of incident photons}}$$

# Photo Emission Gun

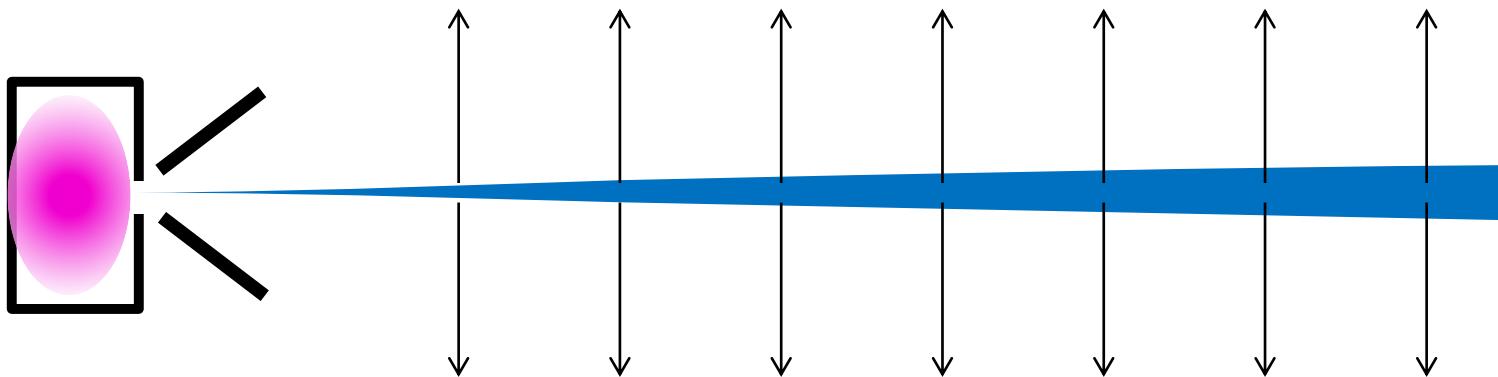




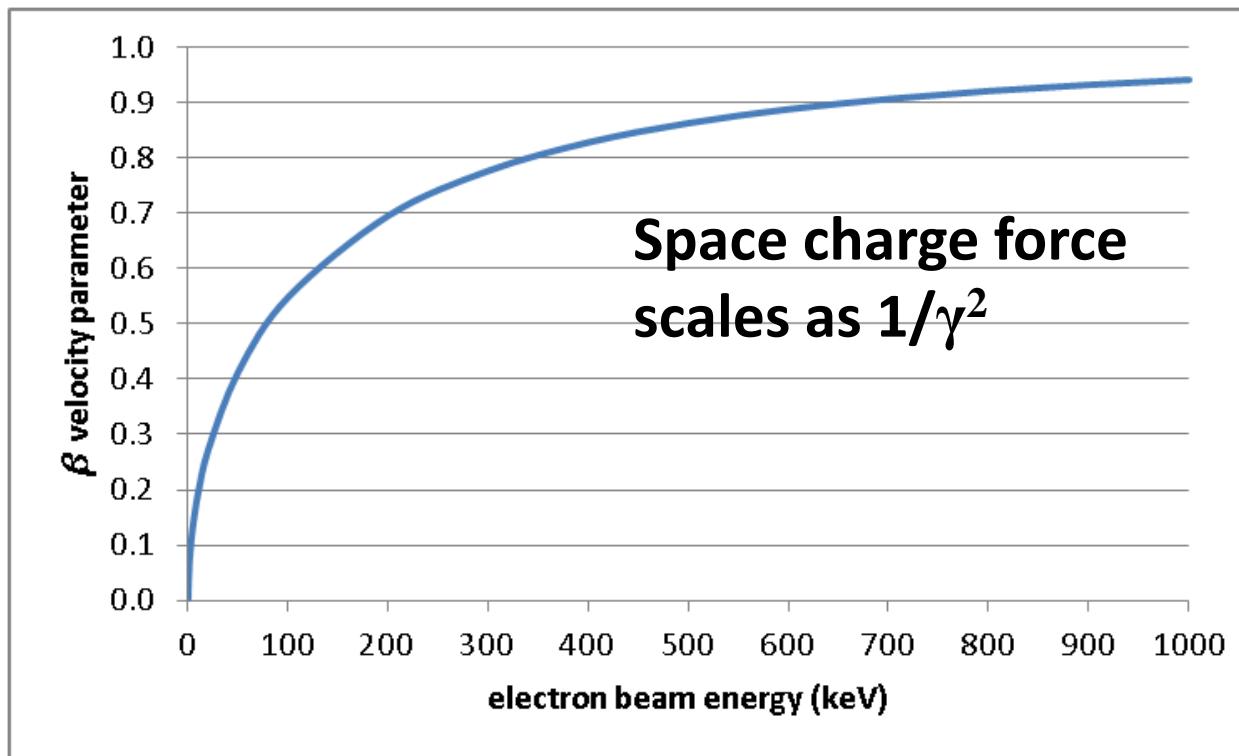
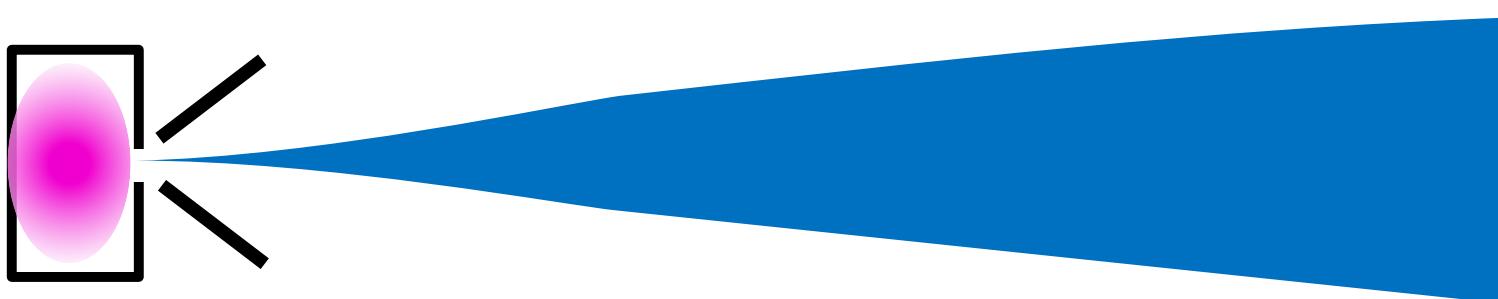
## Cornell DC Photoemission gun

20 mA average current  
at 250kV

# Space Charge



# Space Charge

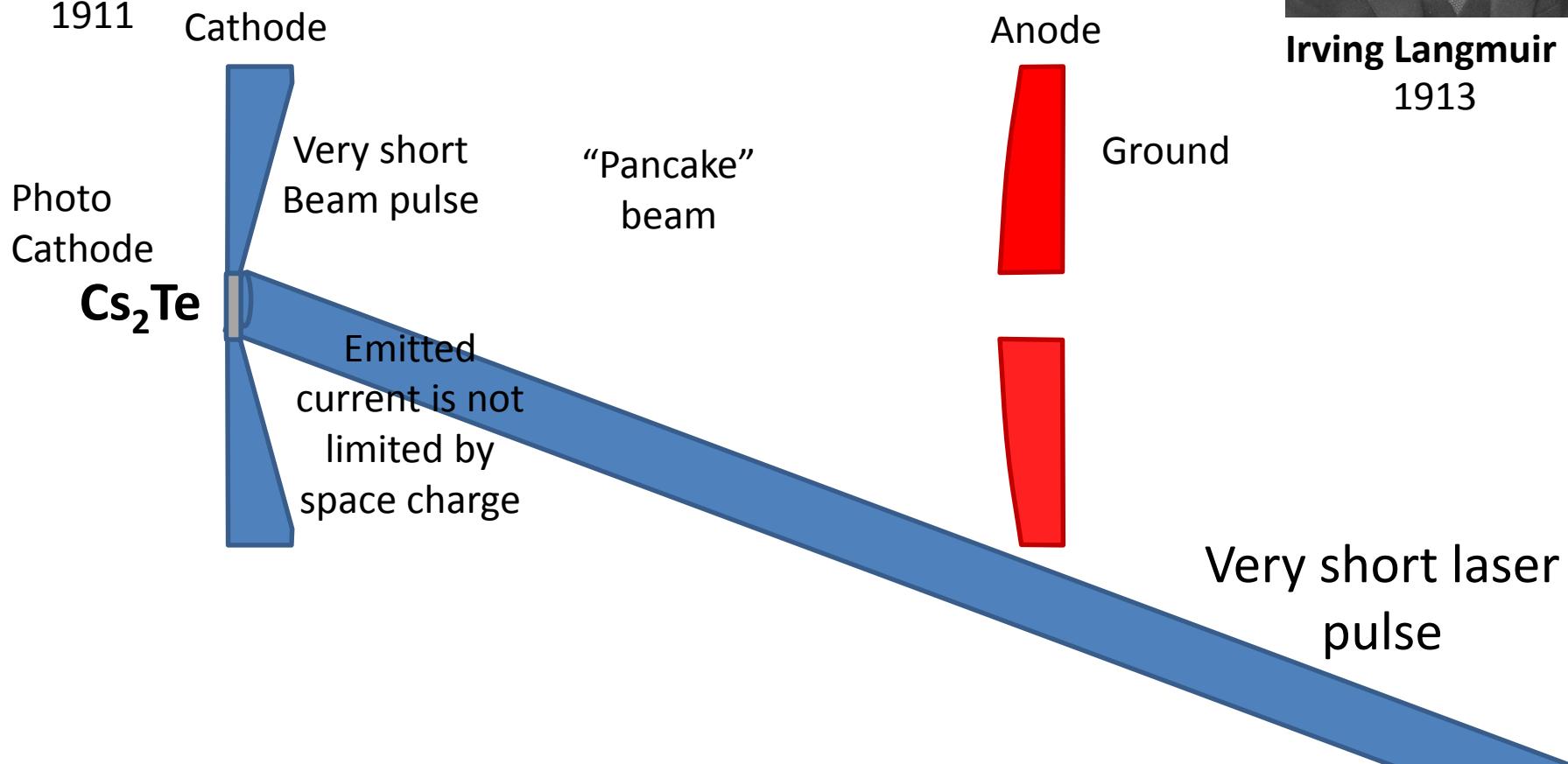


# Another reason to use lasers is...



C.D Child

1911

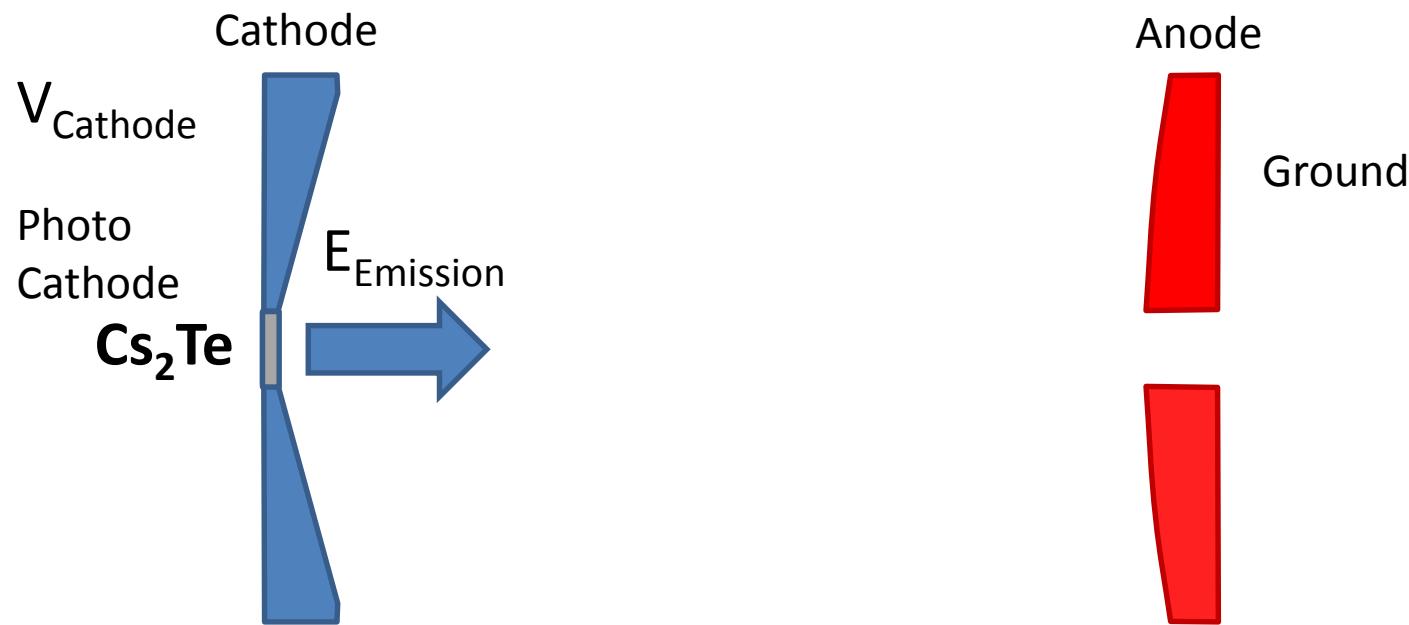


Child-Langmuir (to be fair,  
gridded extr...

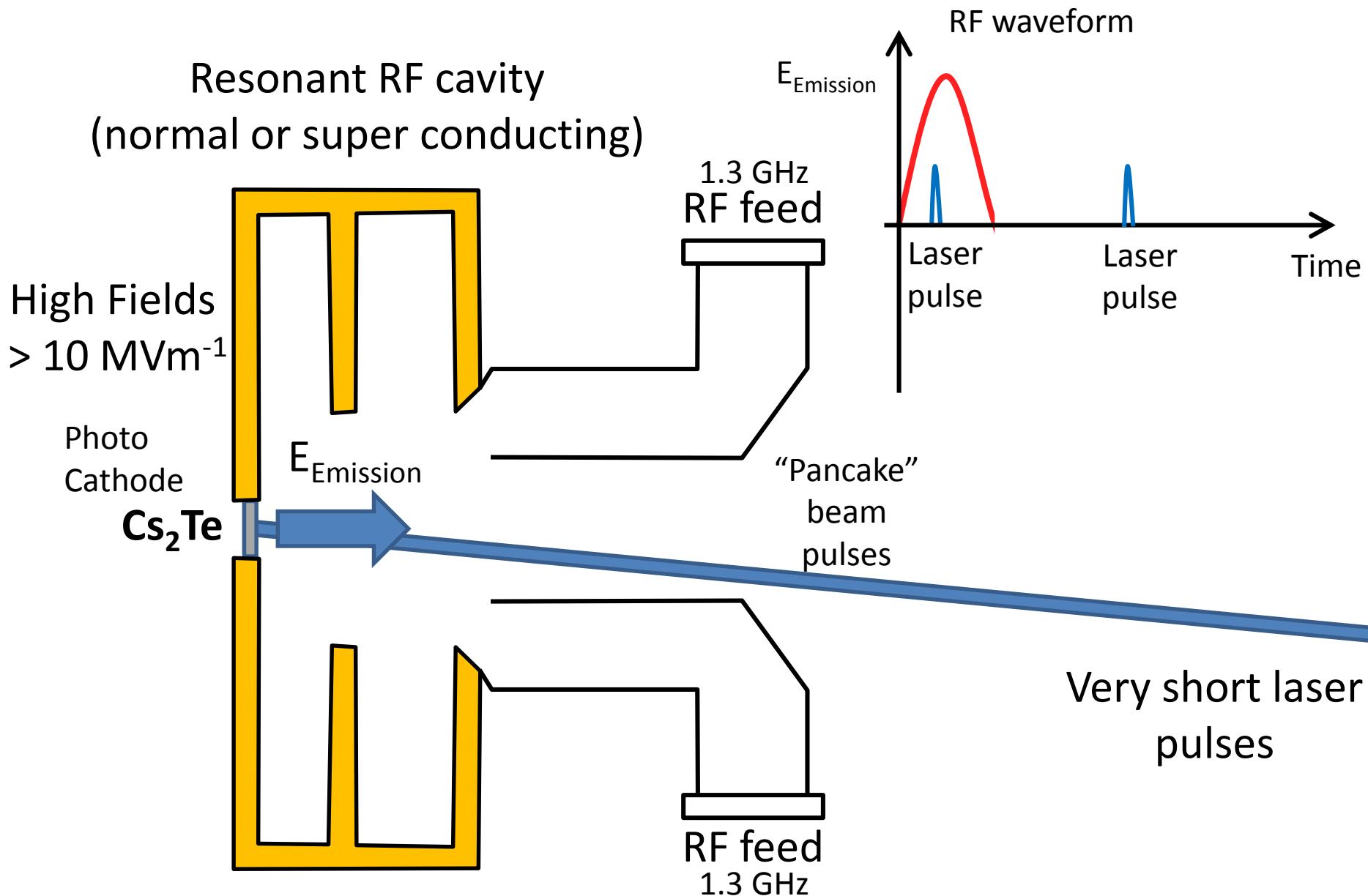


Irving Langmuir  
1913

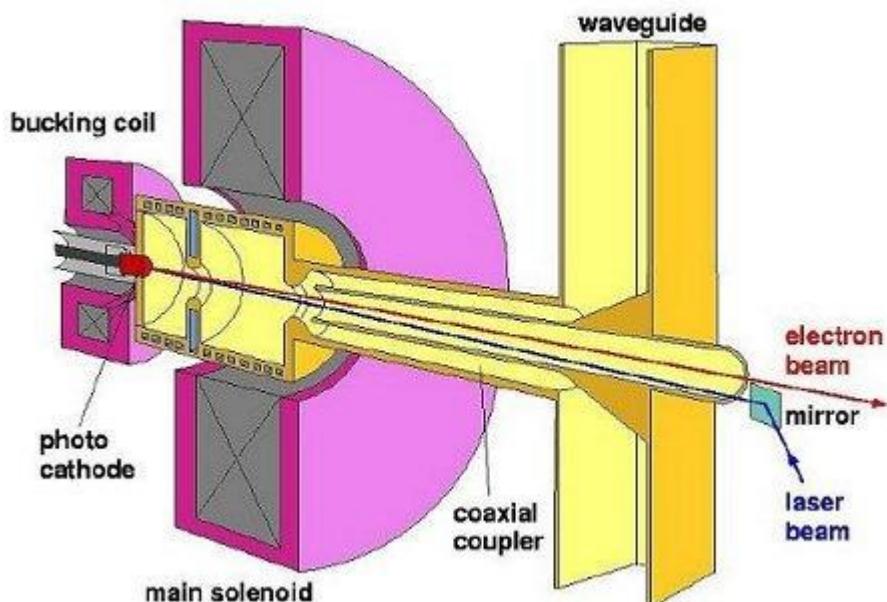
# RF Photemission Source



# RF Photemission Source

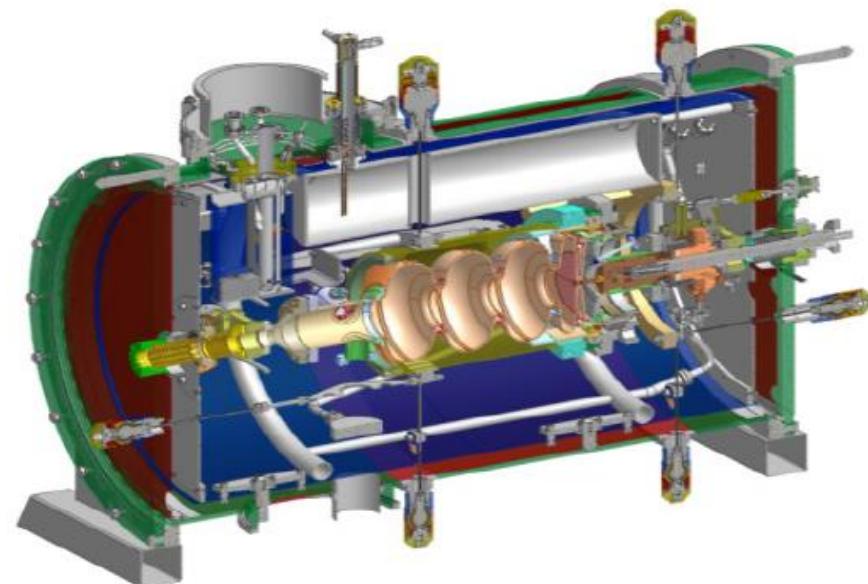


## Normally conducting



20 ps, 1 nC pulses  
(50 A pulse)

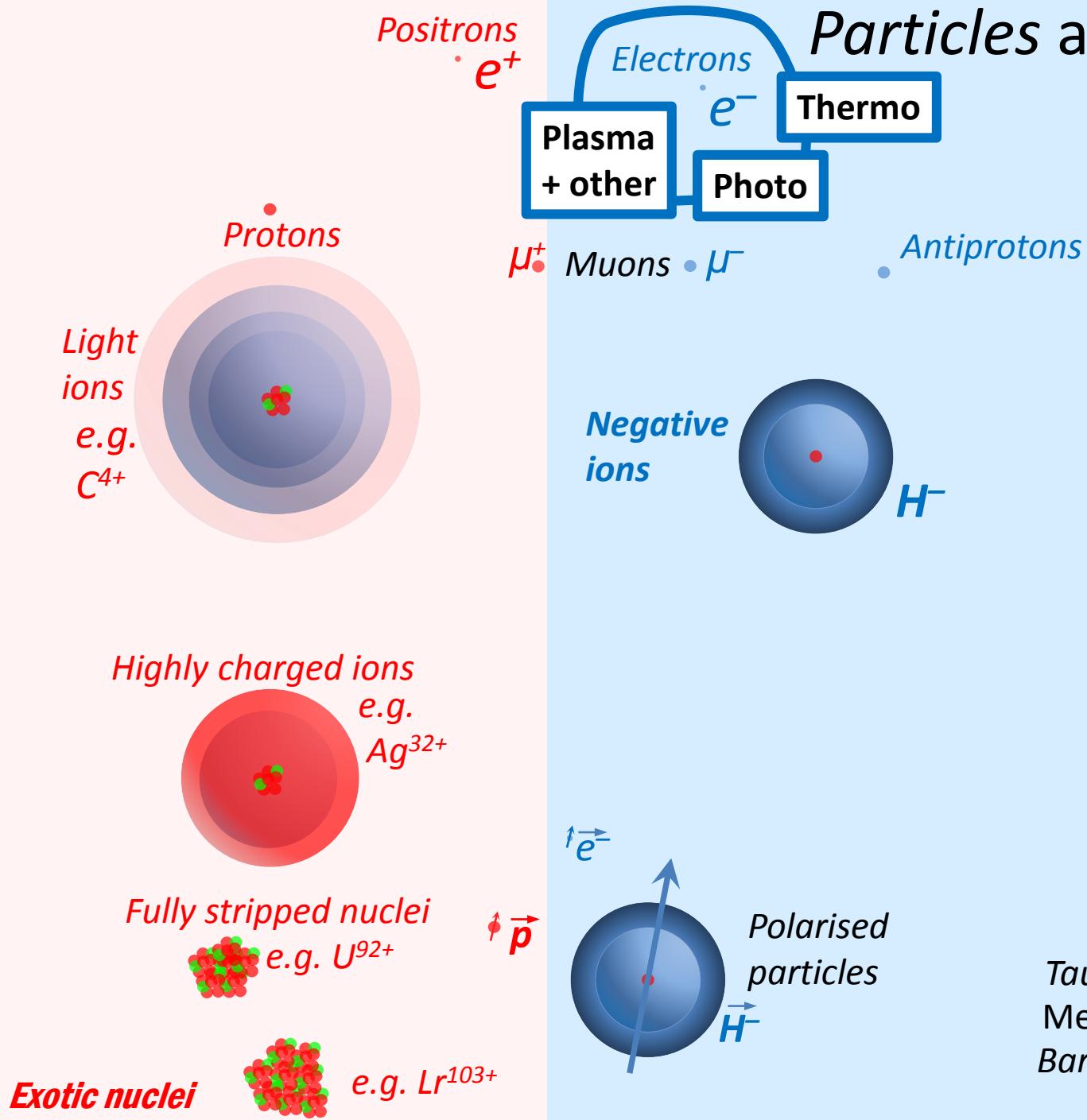
## Super conducting



15 ps, 1 nC pulses  
(67 A pulse)

High brightness low emittance guns for FEL

# Particles and Sources



Photons

Neutrinos  $\nu_e \nu_\mu \nu_\tau$

Neutrons  $n$

Neutral particles

$H^0$



Higgs Bosons

## Zoo of curiosities

Tauons

Mesons

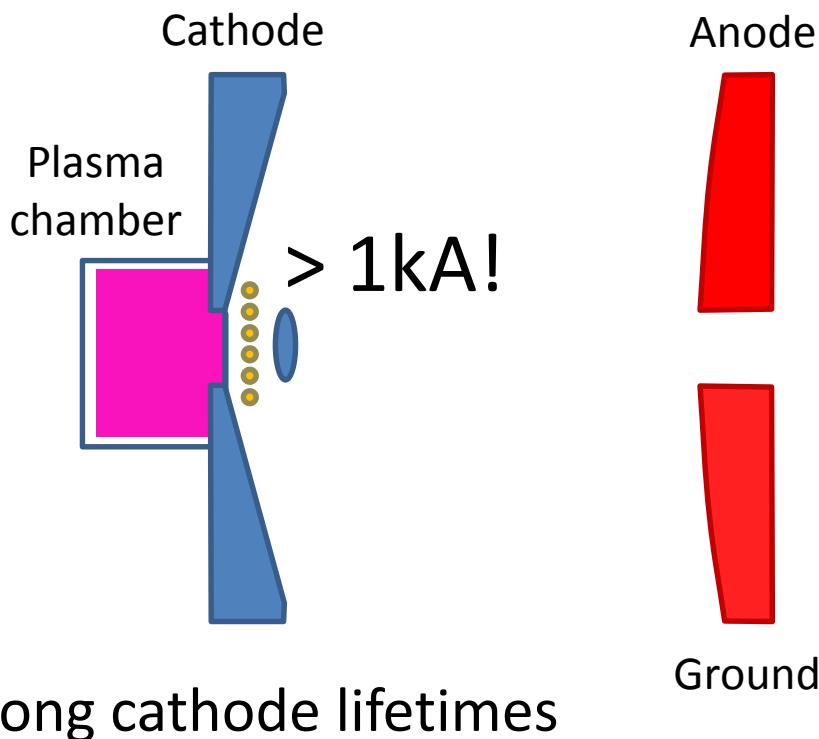
Baryons

$W + Z$

Bosons

# Plasma Cathode

Very high electron currents can be extracted from plasma cathode electron sources



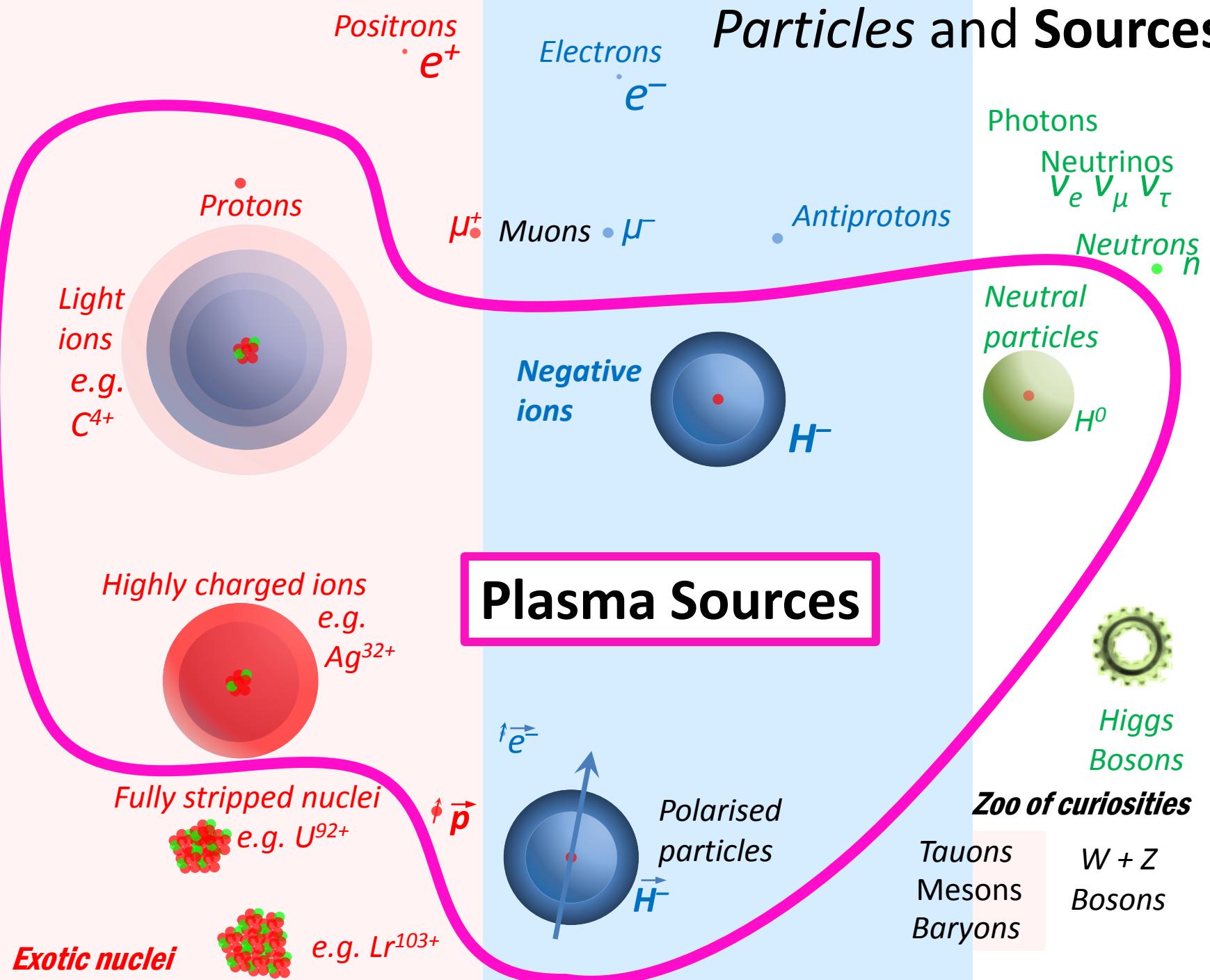
Other electron sources:

Combinations of those already mentioned  
e.g. photo-thermionic

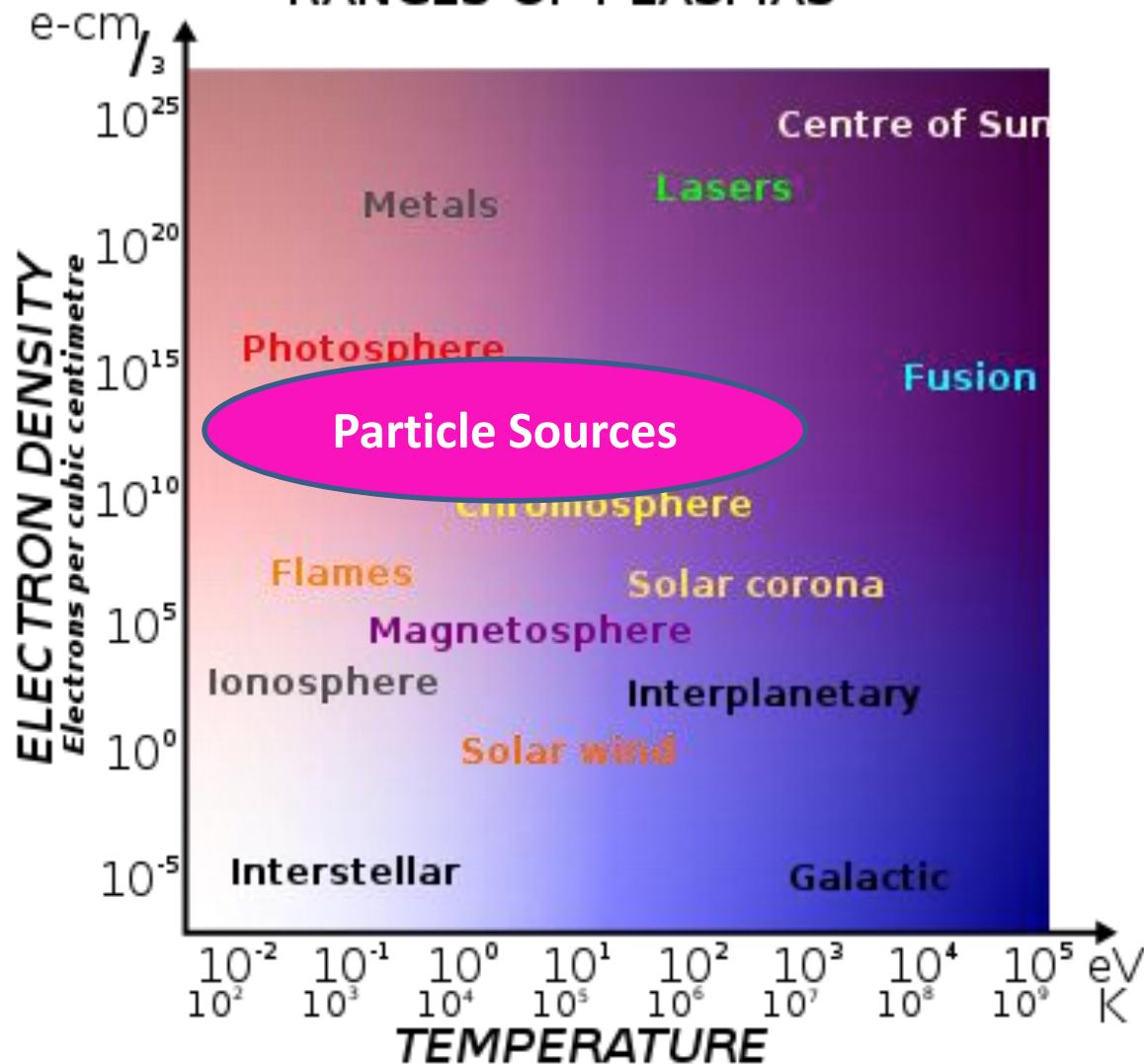
Rarely used in accelerators:

Field emission from needle arrays  
Diamond amplifiers  
Etc...

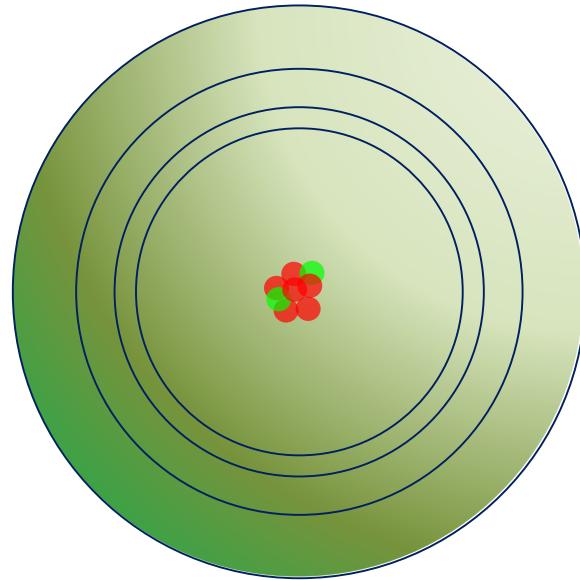
# Particles and Sources



## RANGES OF PLASMAS



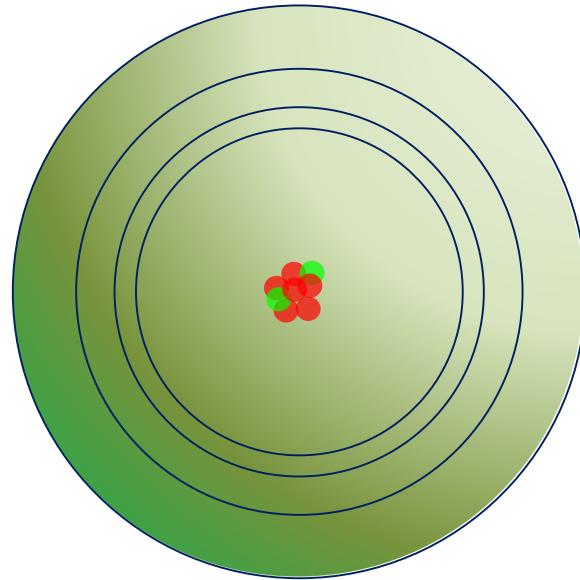
# Ionisation



Neutral Atom

Most sources rely on electron impact ionisation

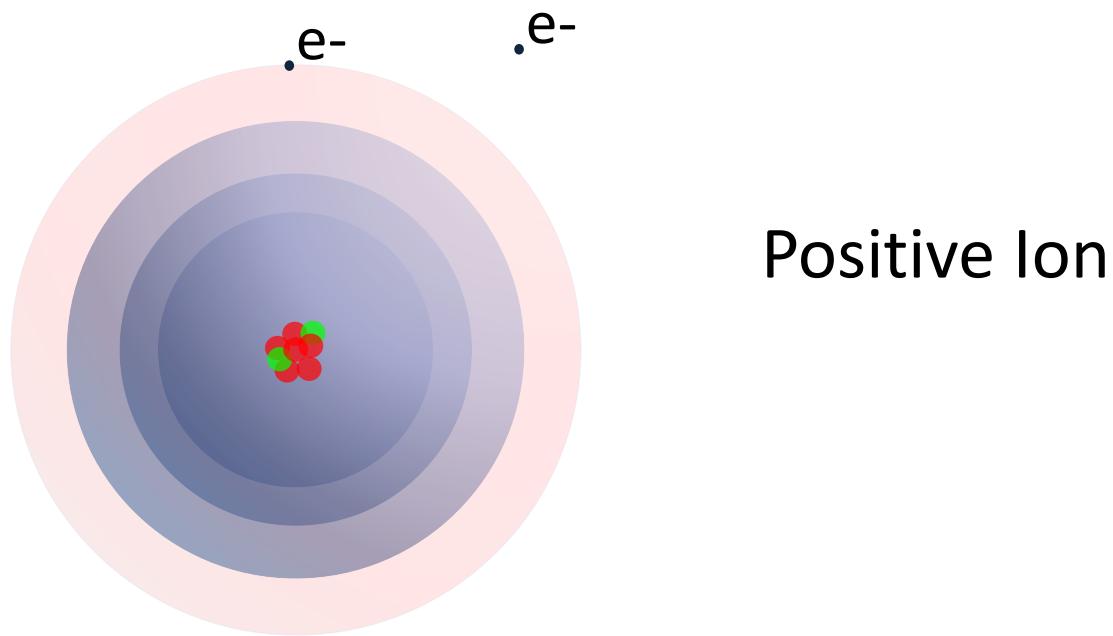
# Ionisation



Neutral Atom

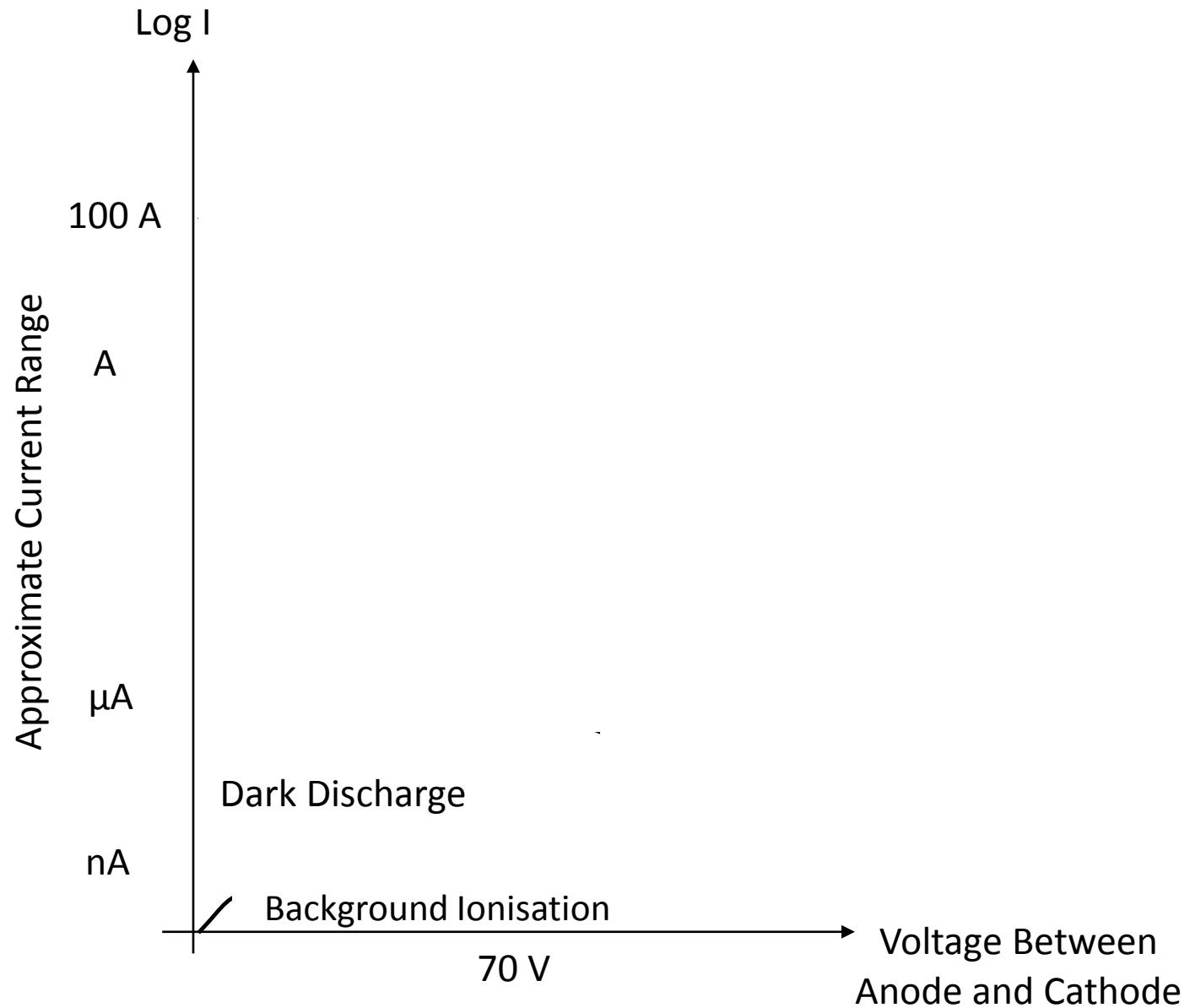
Most sources rely on electron impact ionisation

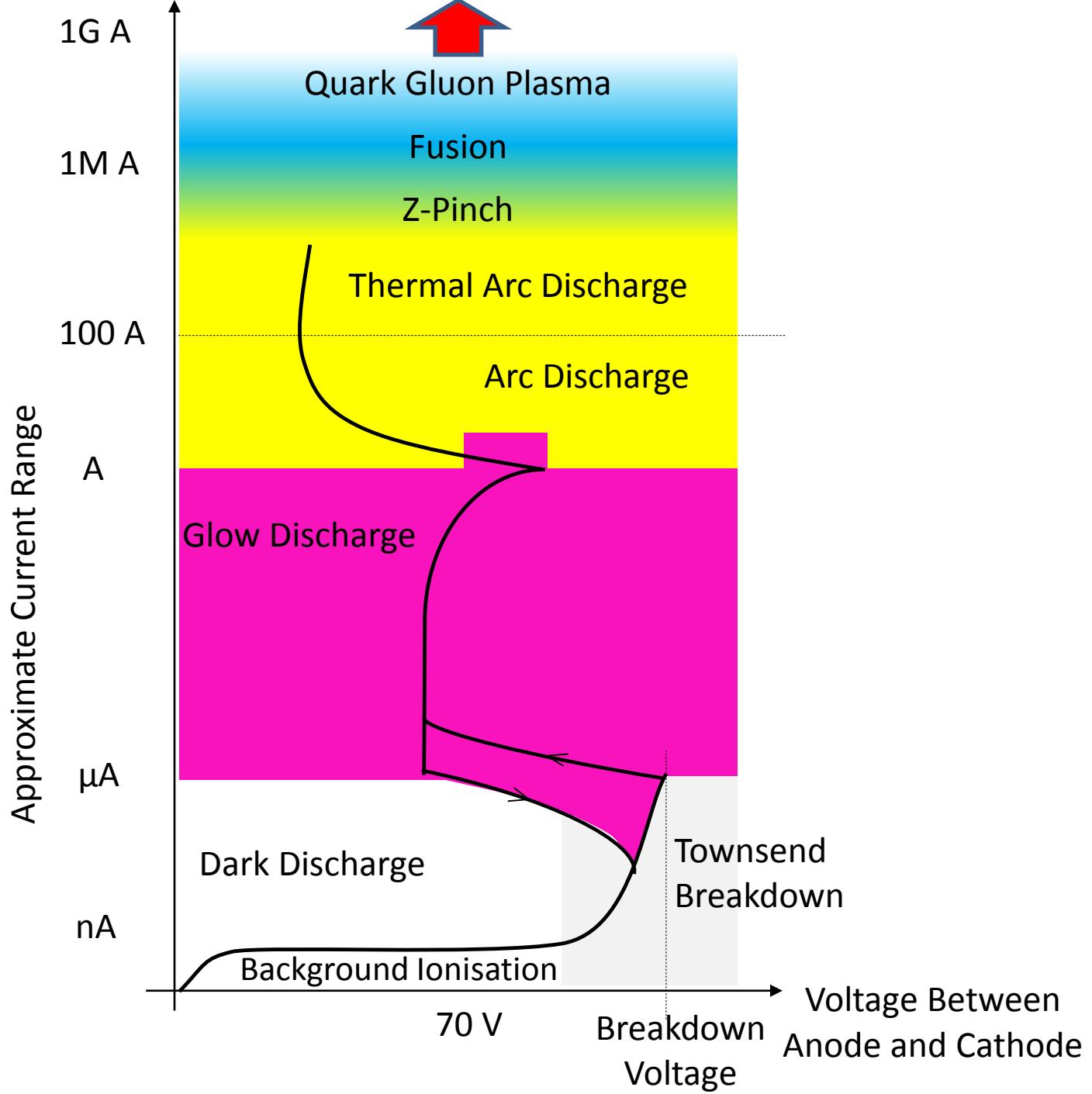
# Ionisation



Most sources rely on electron impact ionisation

# Electrical Discharges





# Basic Plasma Properties

## Density, $n$ (*per cm<sup>3</sup>*)

$n_e$  = density of electrons

$n_i$  = density of ions

$n_n$  = density of neutrals

## Charge State, $q$

H<sup>+</sup> →  $q = +1$

Pb<sup>3+</sup> →  $q = +3$

H<sup>-</sup> →  $q = -1$

## Temperature, $T$ (eV)

$T_e$  = temperature of electrons

$T_i$  = temperature of ions

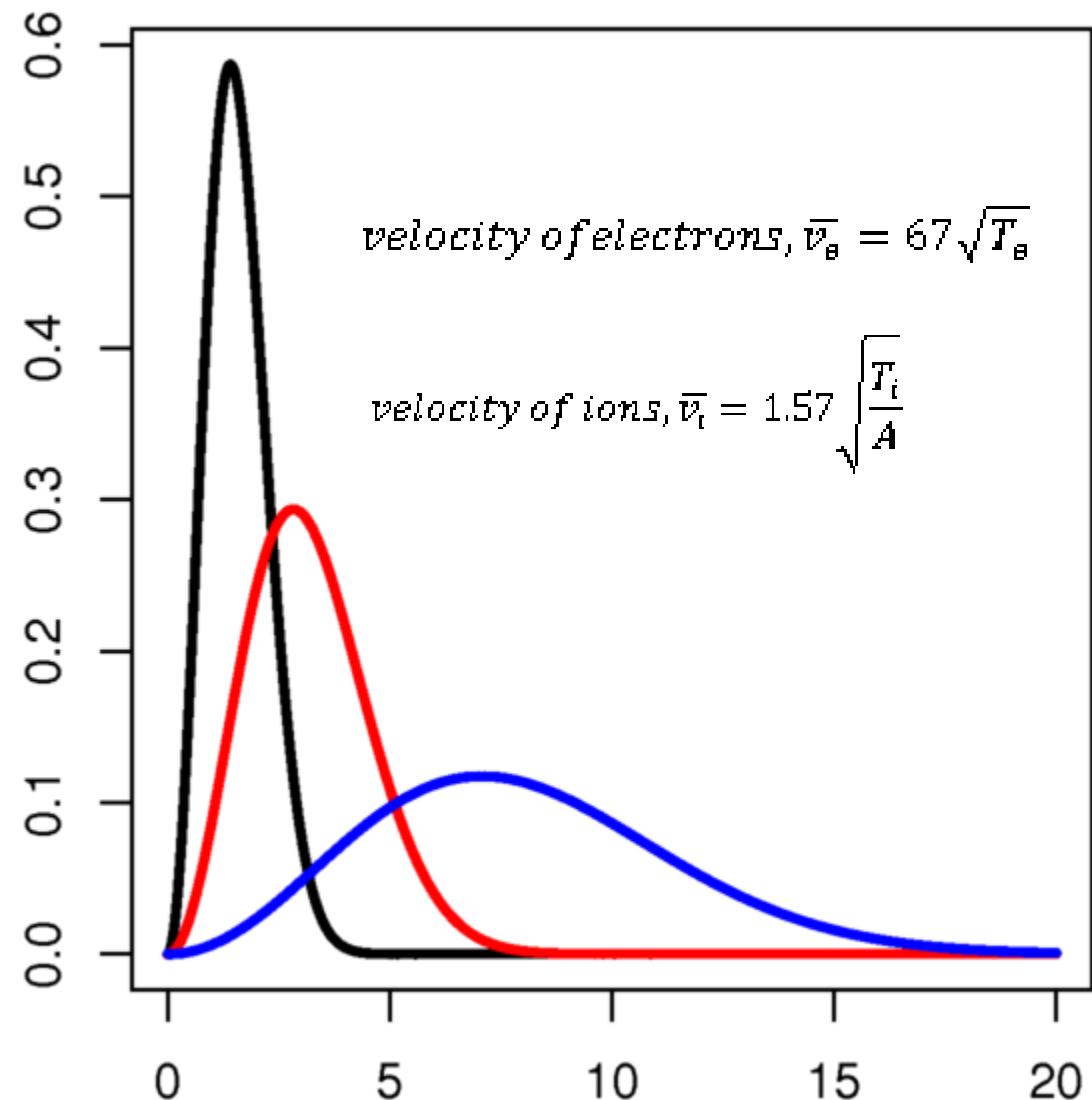
$T_n$  = temperature of neutrals

11600°K = 1 eV

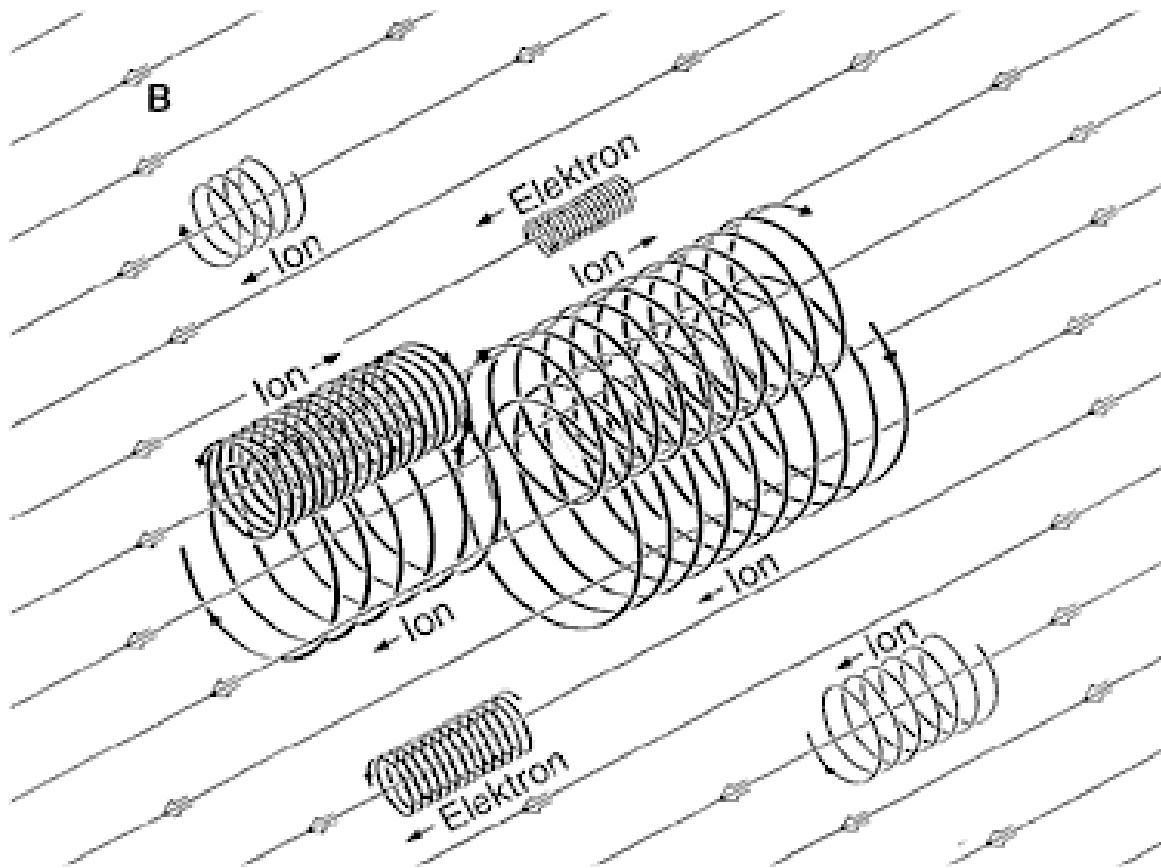
# Temperature Distribution

If thermalised  
velocity  
distributions  
should follow  
Maxwell Boltzmann  
statistics

However, in  
magnetic fields:  
 $v_x \neq v_y \neq v_z$

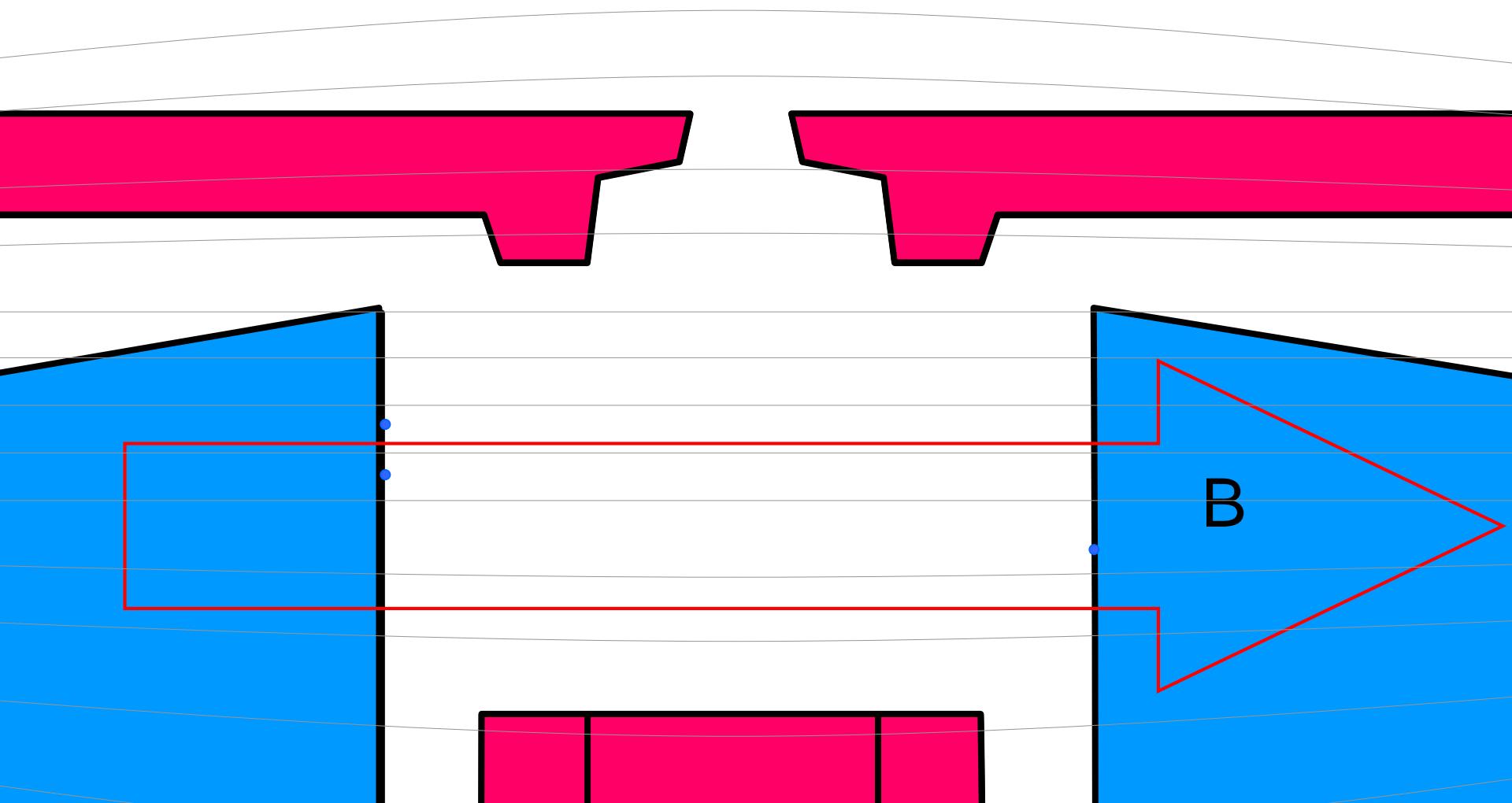


# Magnetic Confinement

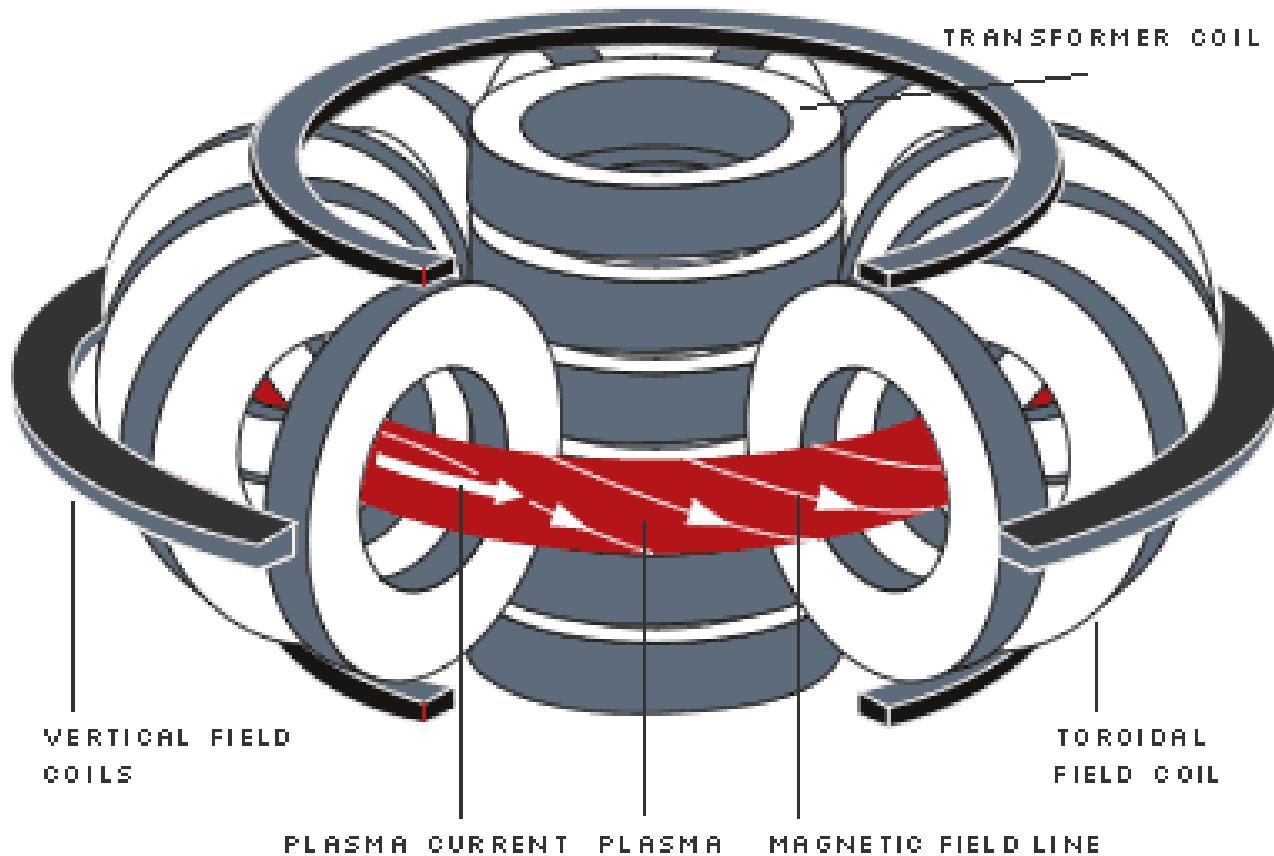


Particles spiral along magnetic field lines

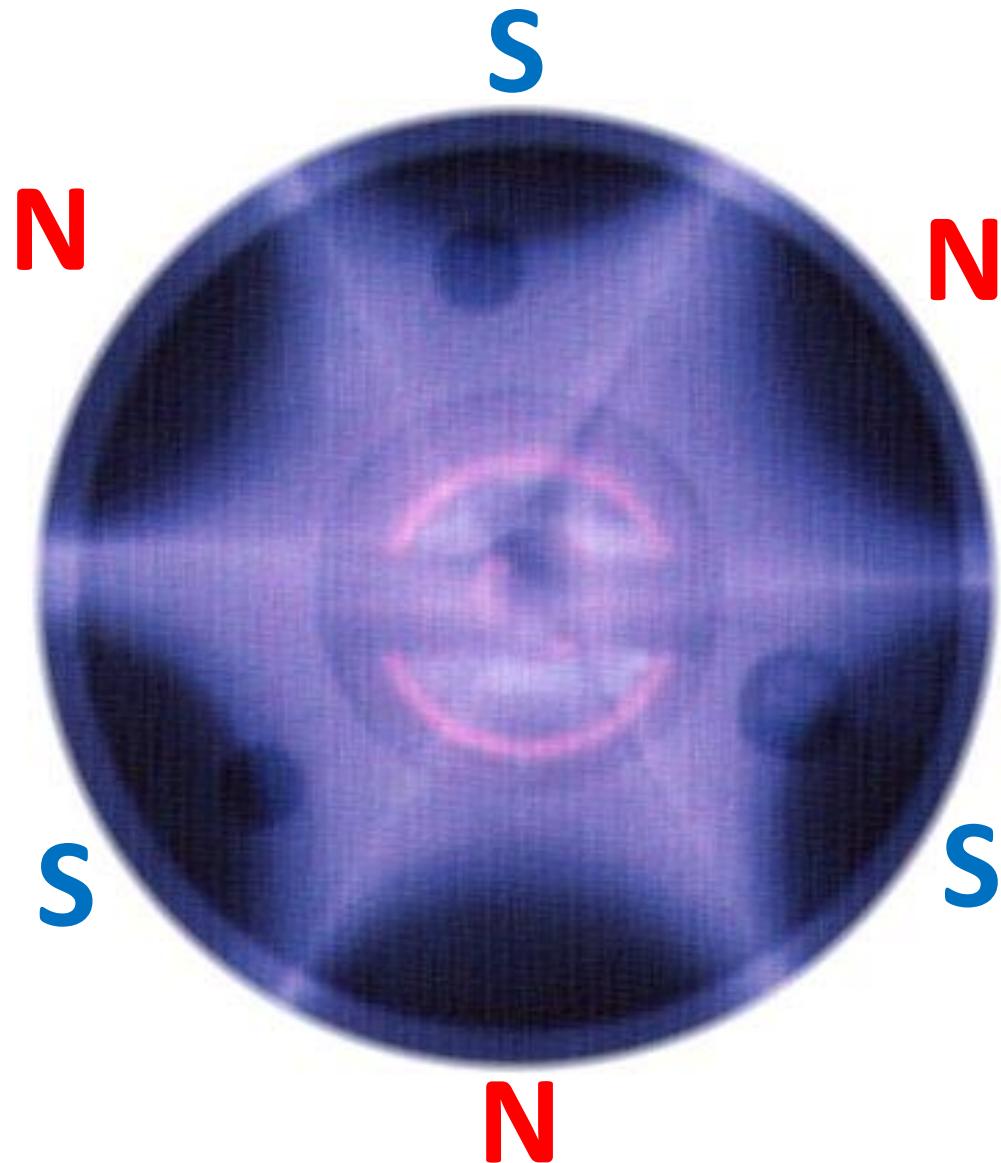
# Dipole field



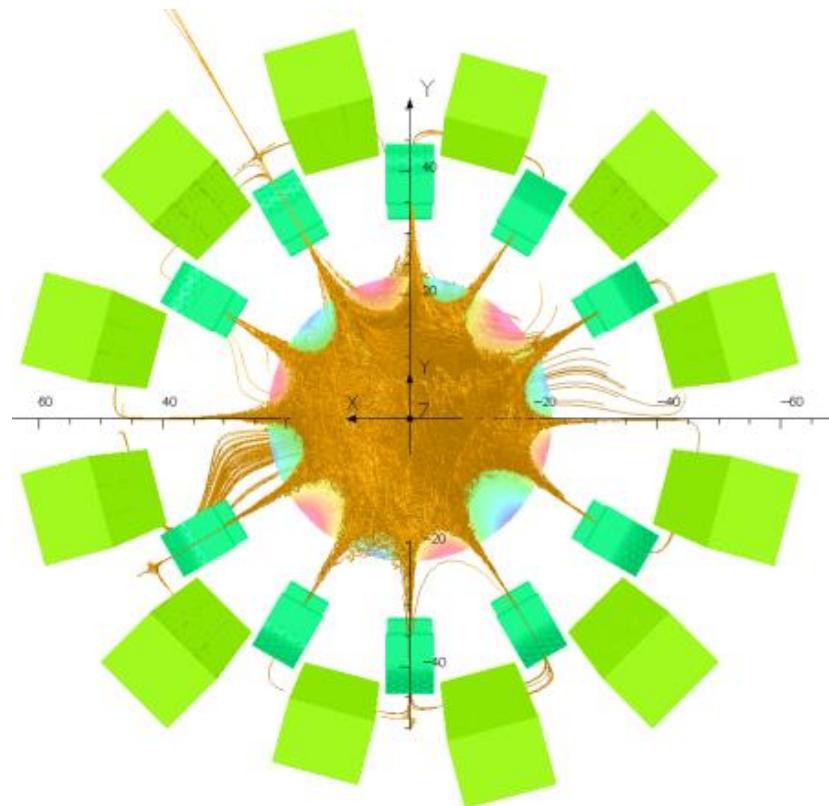
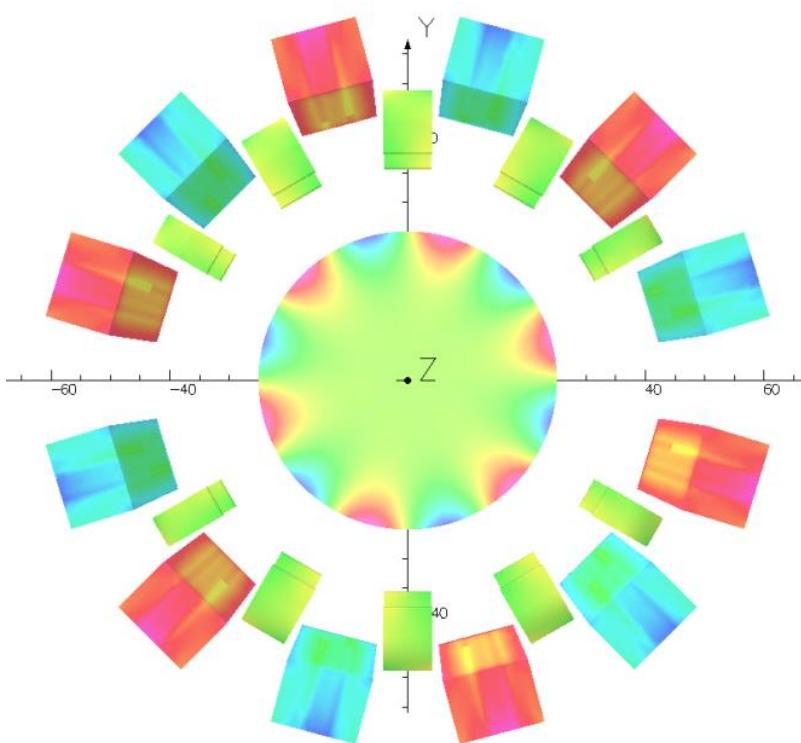
# Solenoid field



# Hexapole



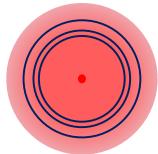
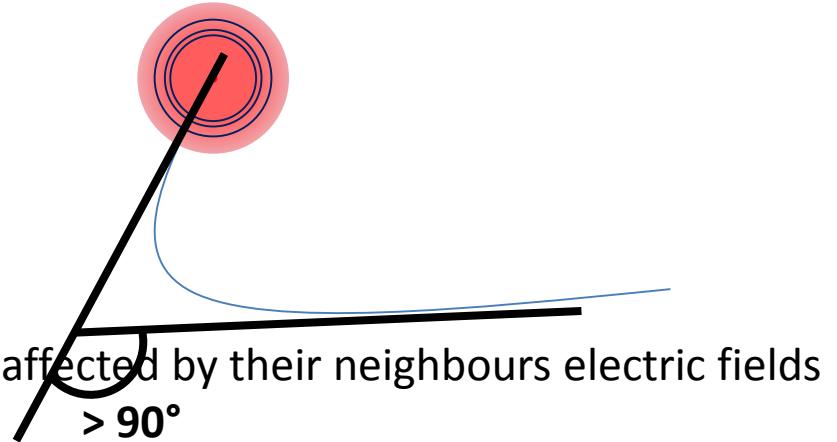
# Multicusp Confinement



# Collisions

Concept of mean free path does not work in a plasma

The average time it takes for a particle to be deflected by 90 °



Charged particle trajectories are constantly affected by their neighbours electric fields

Relaxation time =  $90^\circ$  deflection time

$e^-$

# Percentage Ionisation

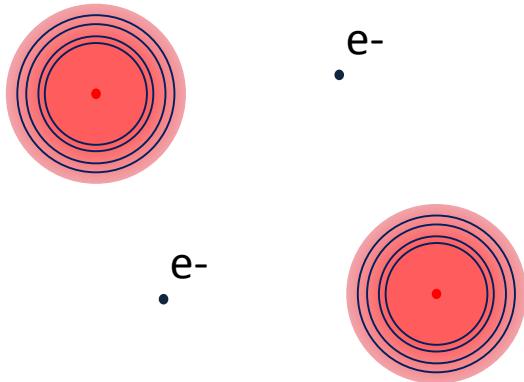
$$\frac{n_i}{n_i + n_n}$$

$> 10\% \rightarrow$  Highly ionised  
 $< 1\% \rightarrow$  Weakly ionised

# Quasi Neutrality

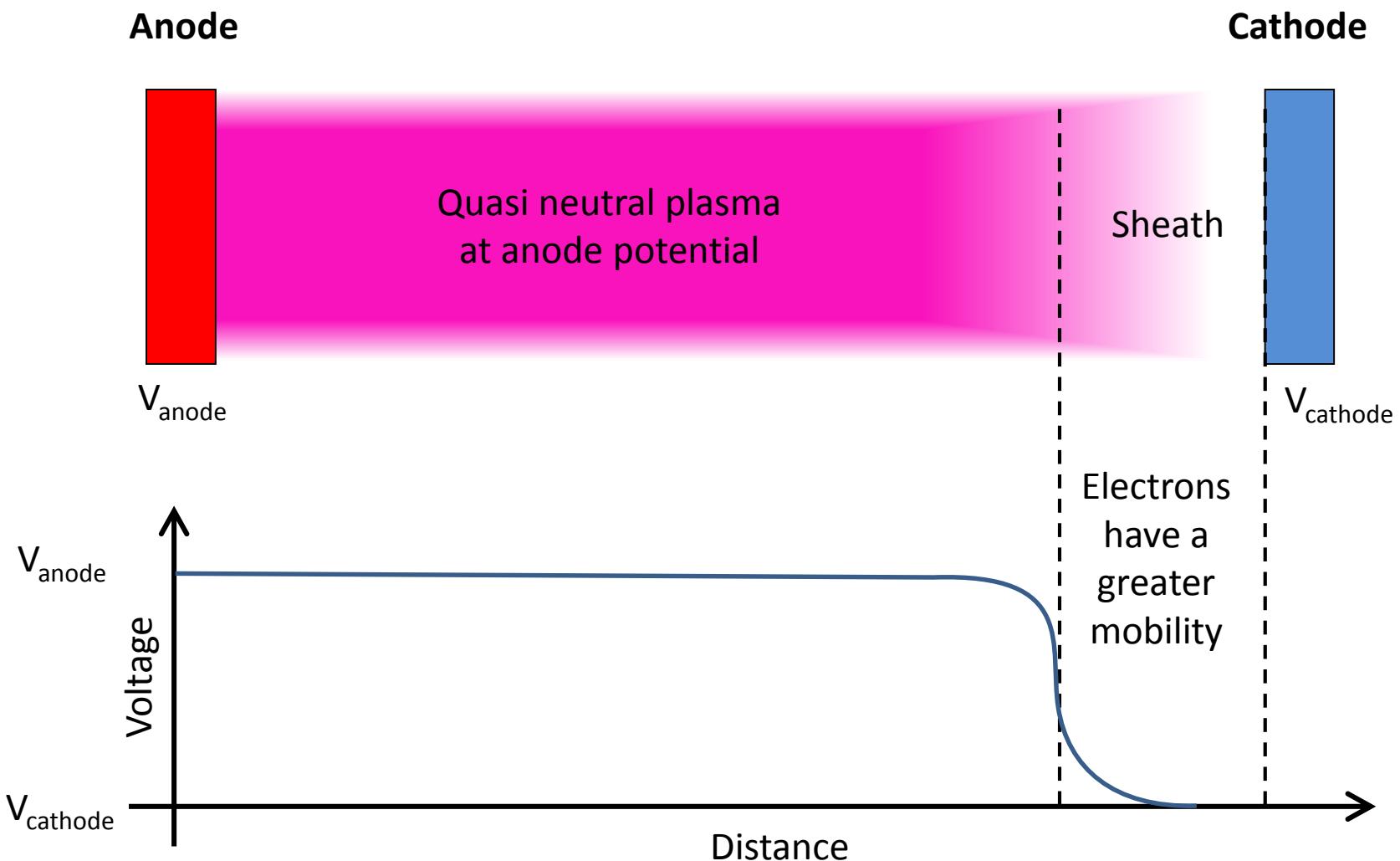
$$\sum q_i n_i = n_e$$

# Debye Length

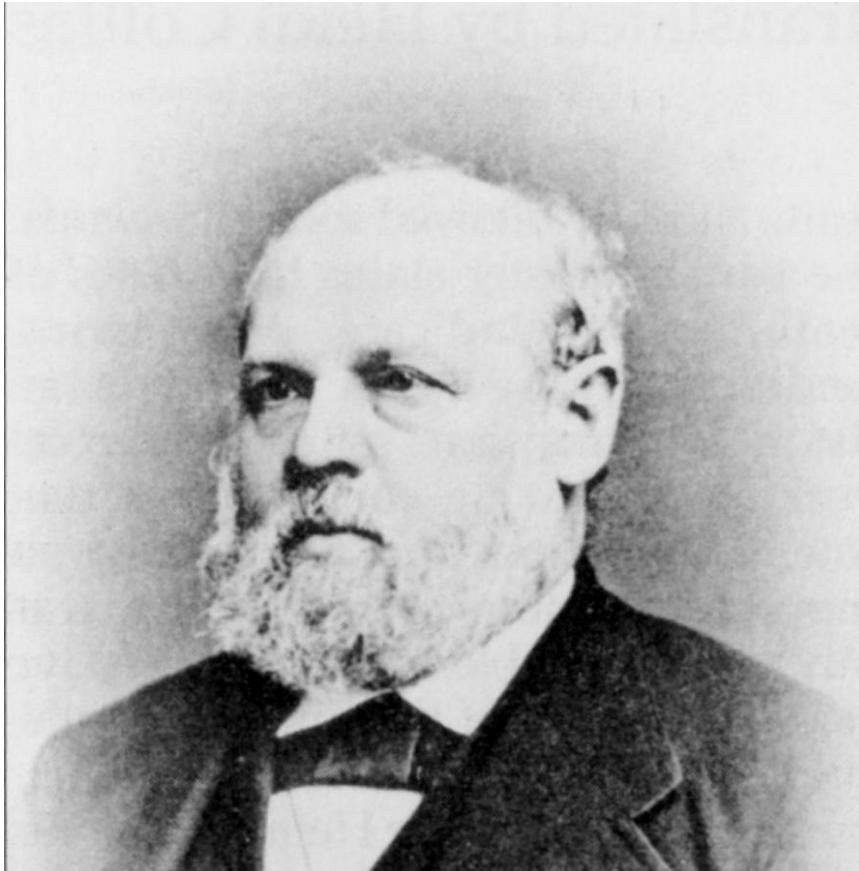


$$\lambda_D = \sqrt{\frac{\epsilon_0 k T_e}{n_e q_e^2}}$$

# Cathode Sheath



# Plasma Pioneers



## Heinrich Geißler

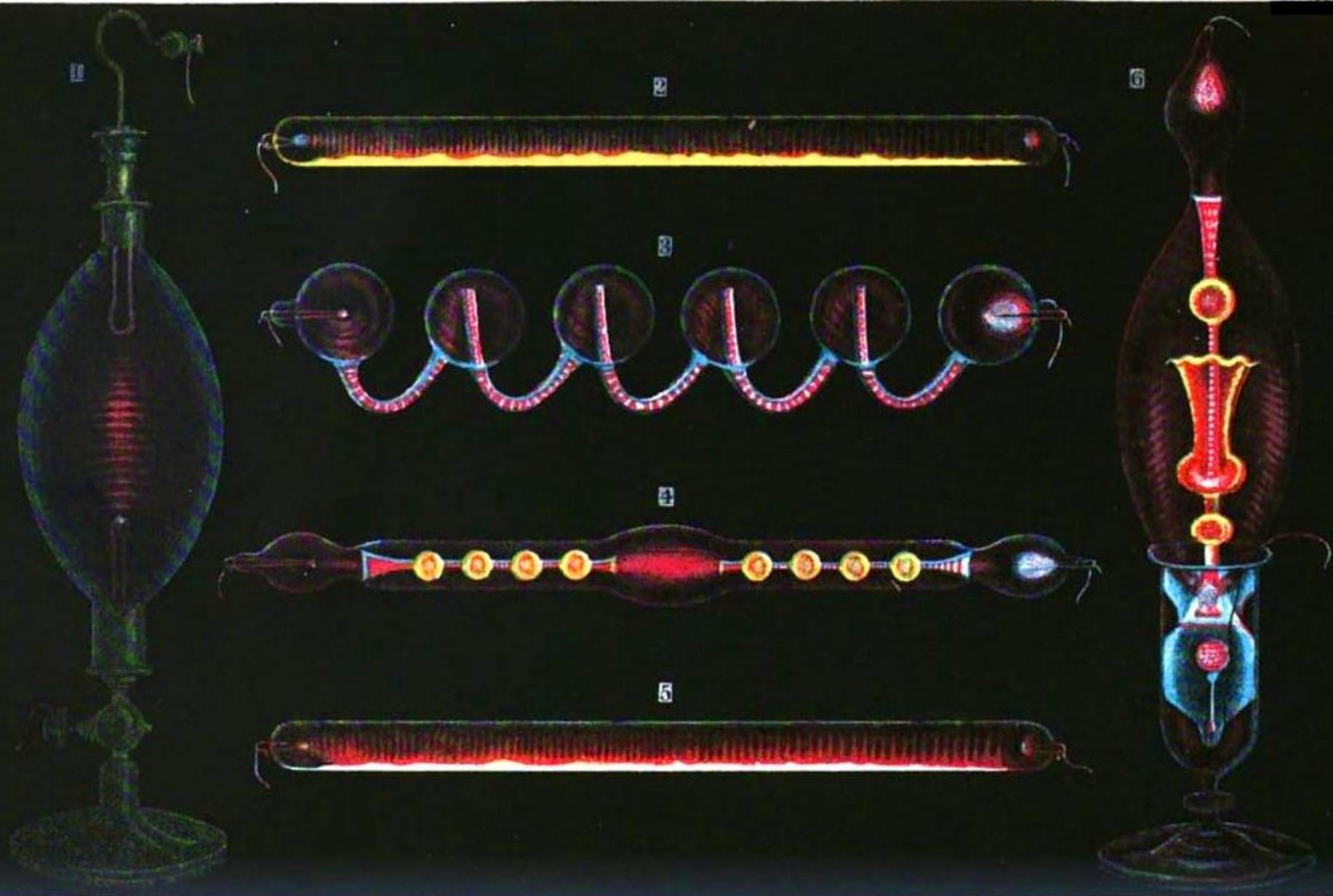
Gas discharge tube and  
mercury displacement pump  
just less than 1 mBar



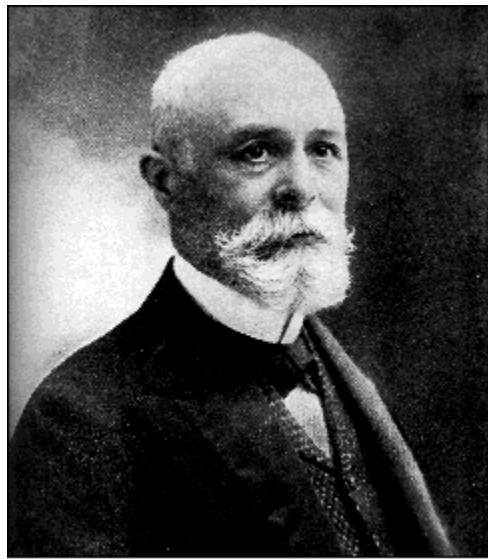
## Julius Plücker

### Mid 1850's University of Bonn

magnetism could move the glow discharge

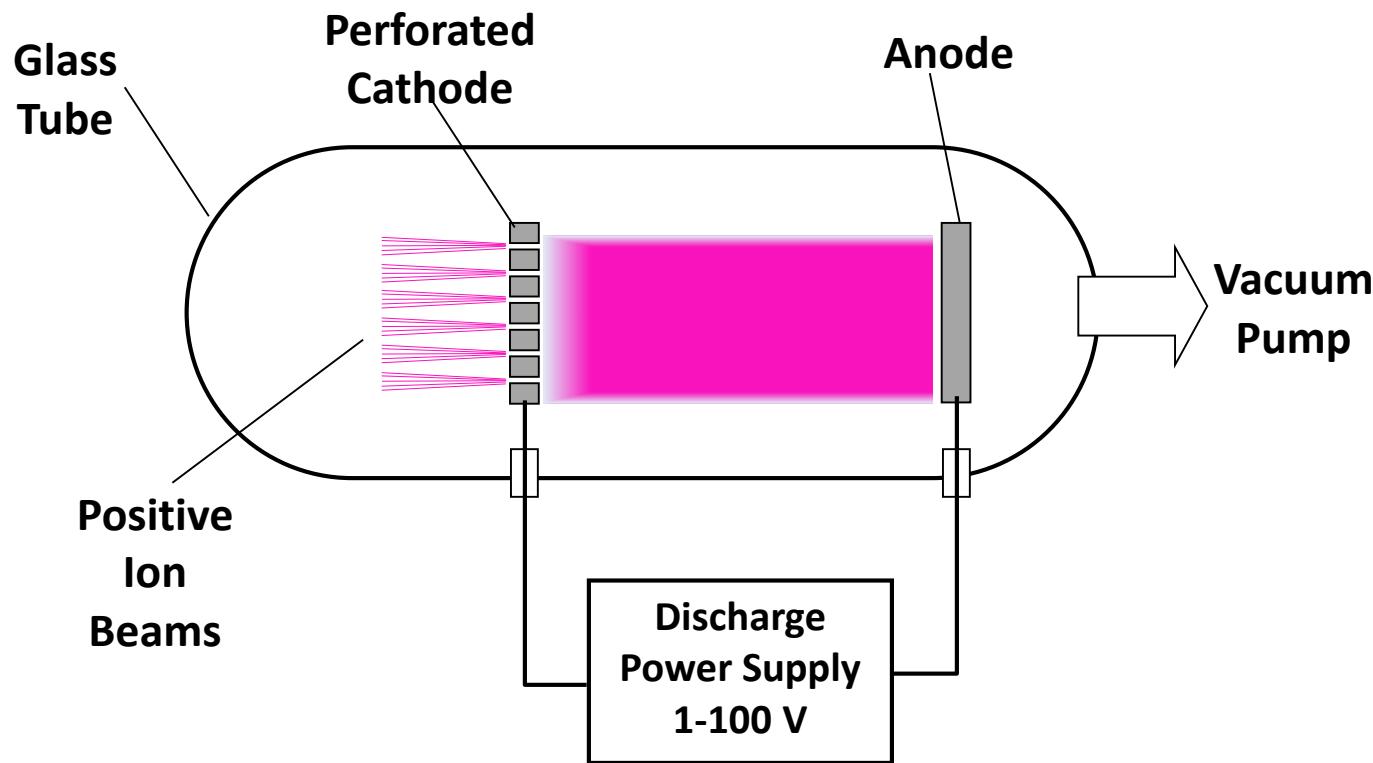


Drawing of Geissler tubes from 1860's French physics book

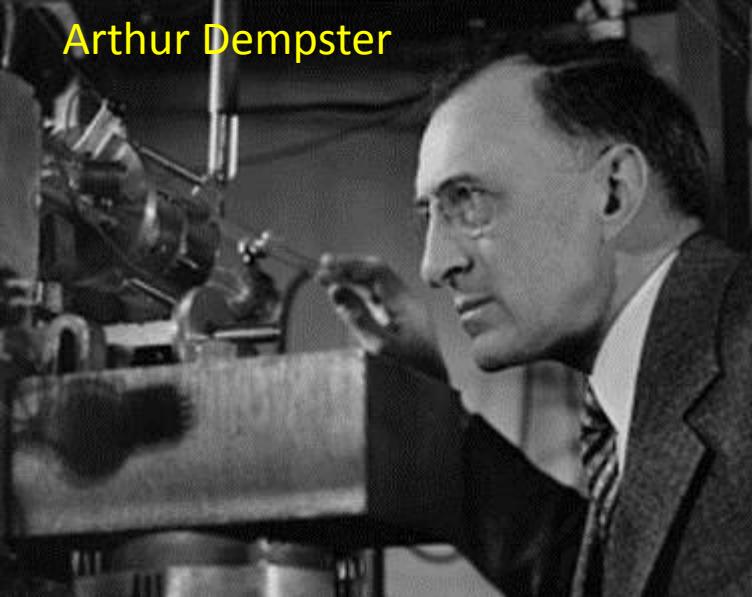


# Canal Ray Source

In 1886 Eugen Goldstein discovered canal rays

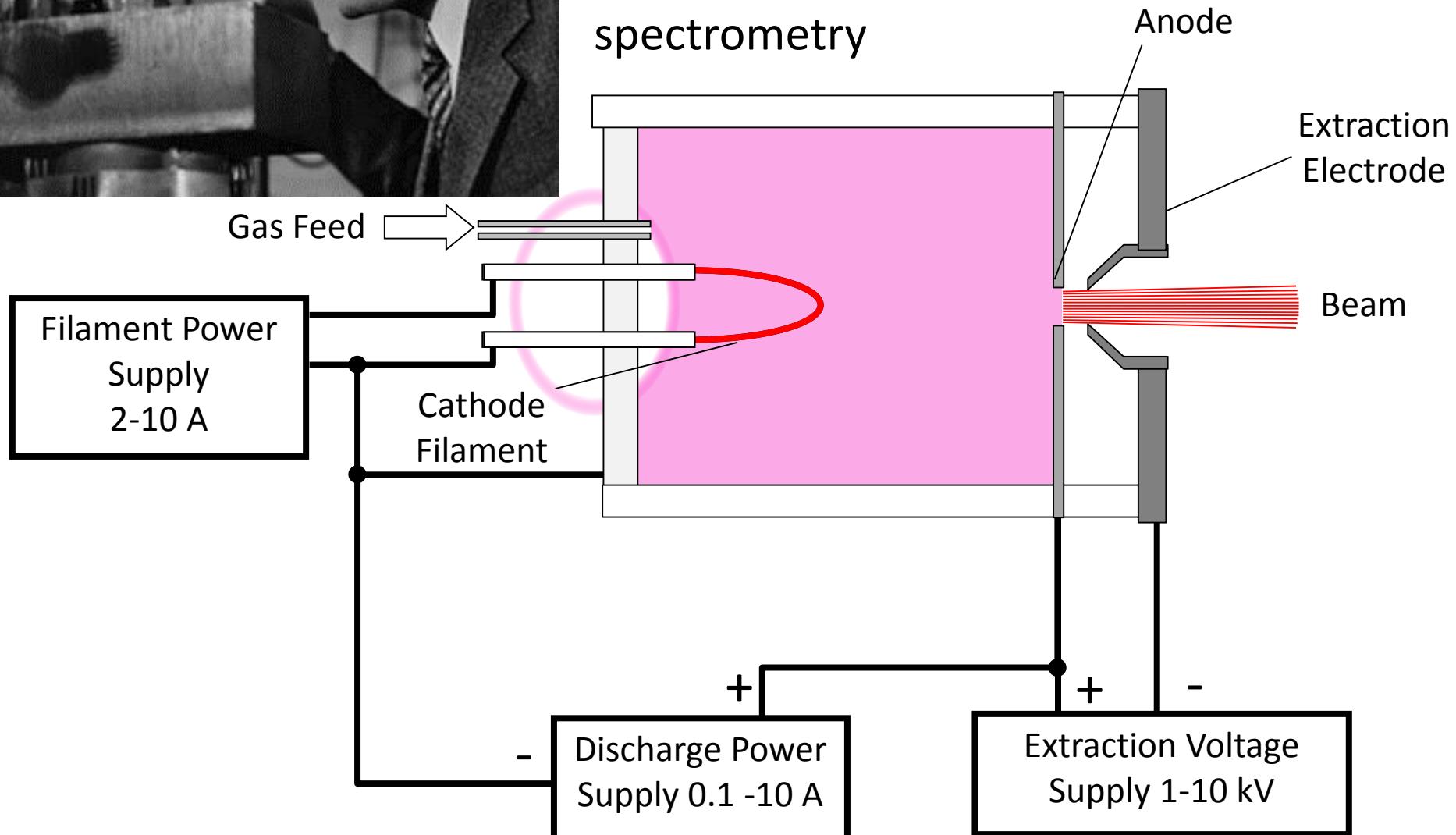


Arthur Dempster

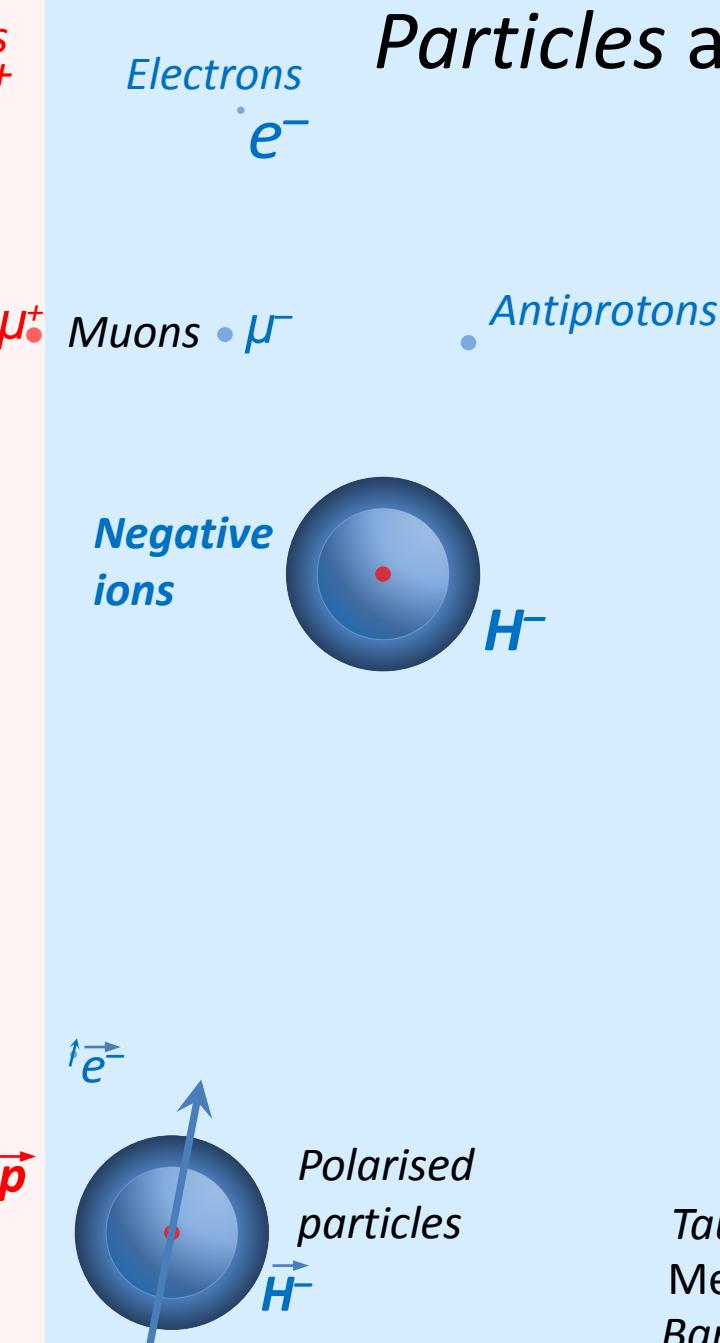
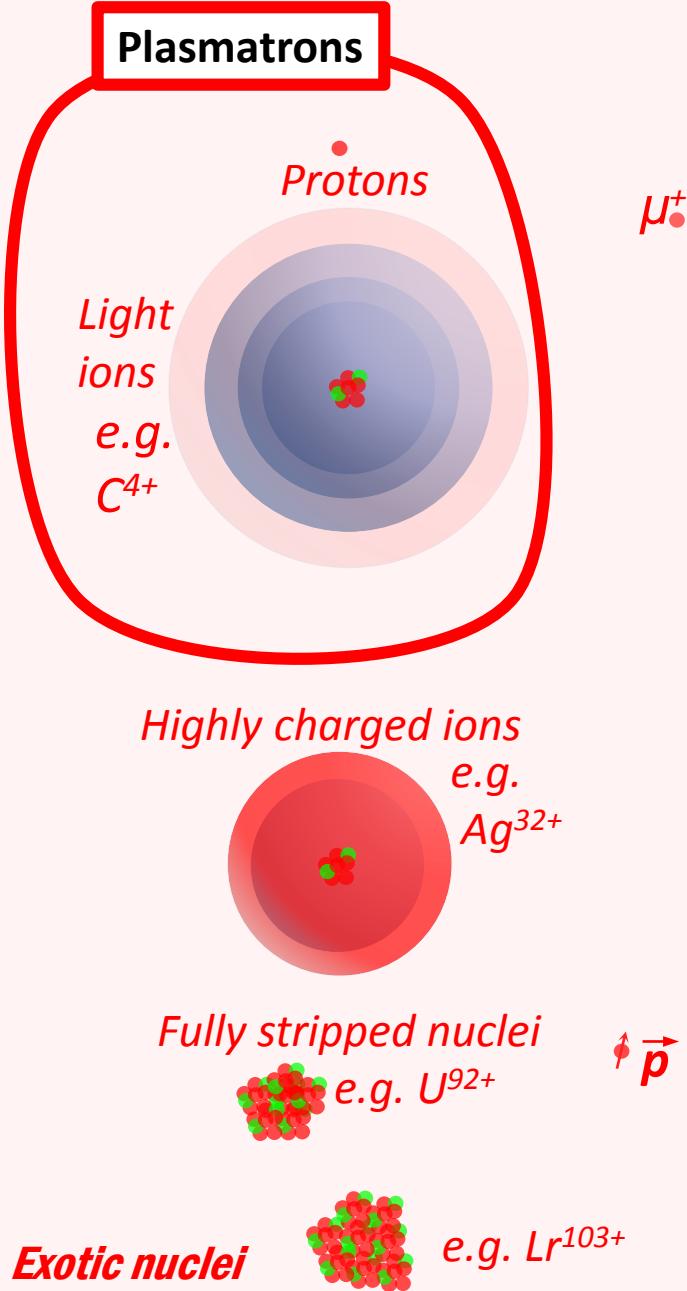


# Electron Bombardment Source (1916)

Early mass  
spectrometry



# Particles and Sources



Photons

Neutrinos  $\nu_e \nu_\mu \nu_\tau$

Neutrons  $n$

Neutral particles

$H^0$



Higgs  
Bosons

## Zoo of curiosities

Tauons

Mesons

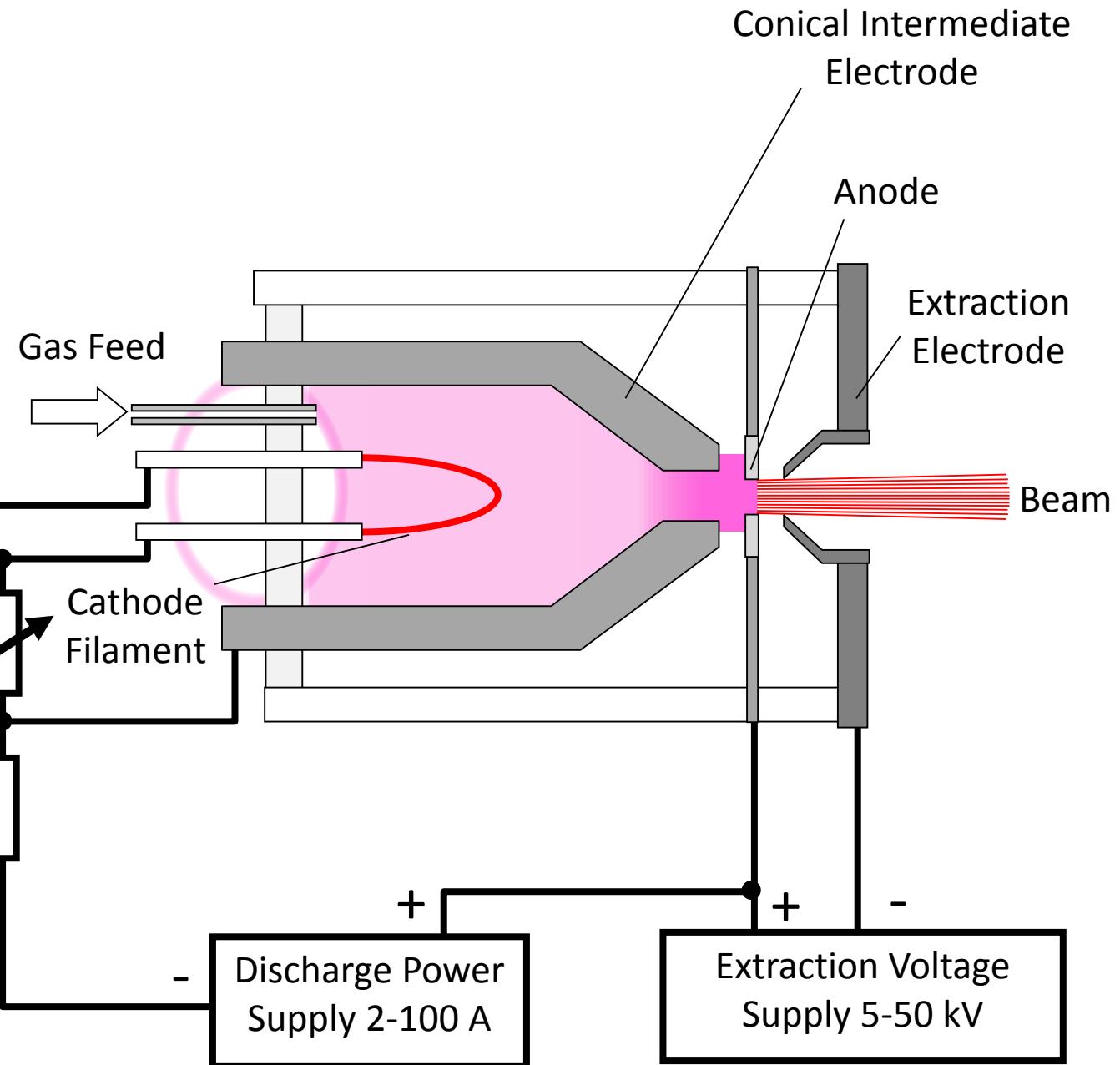
Baryons

$W + Z$   
Bosons

# Plasmatron (late 1940s)



Filament Power Supply 2-100 A

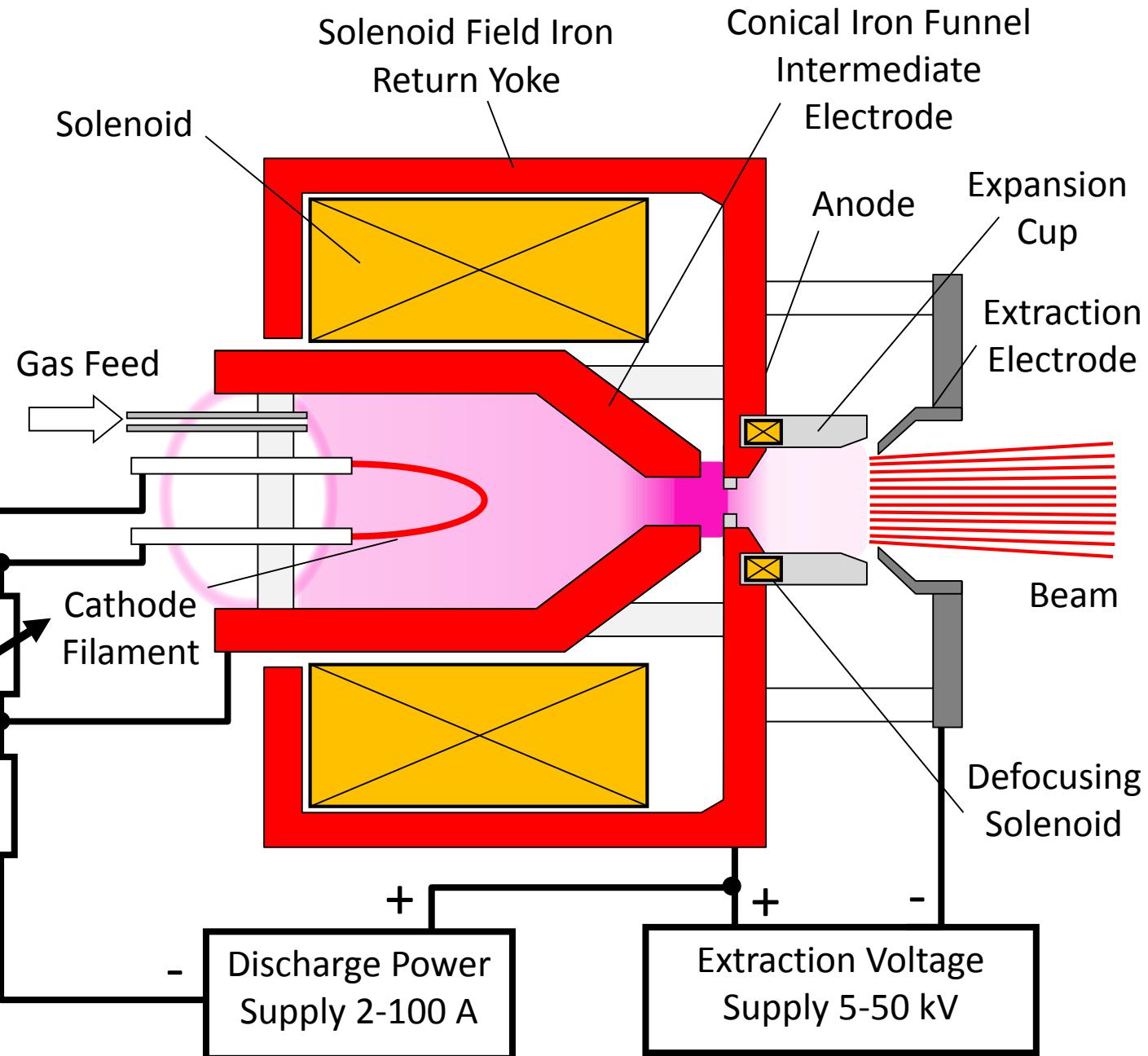




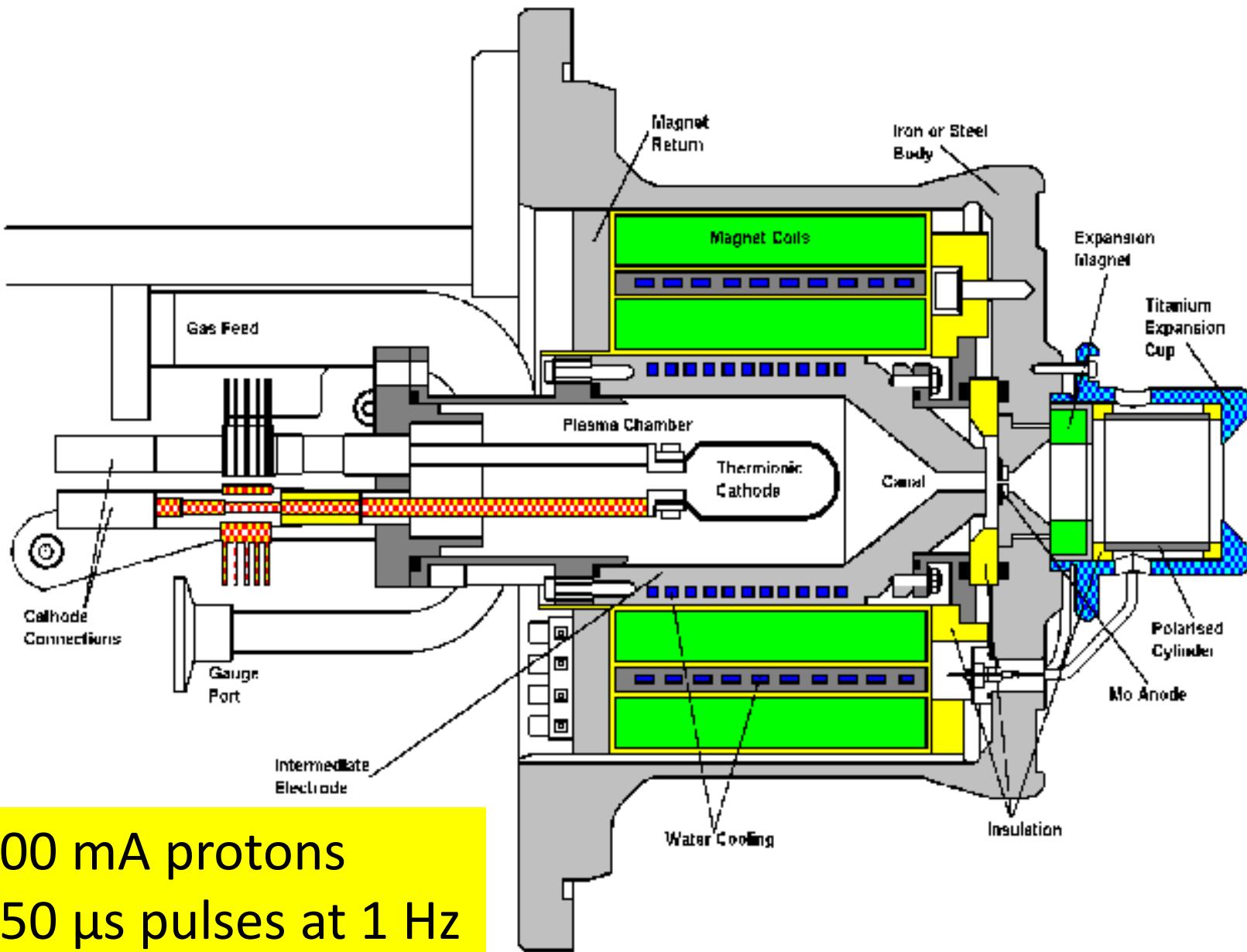
# Duoplasmatron (1956)

Manfred von Ardenne

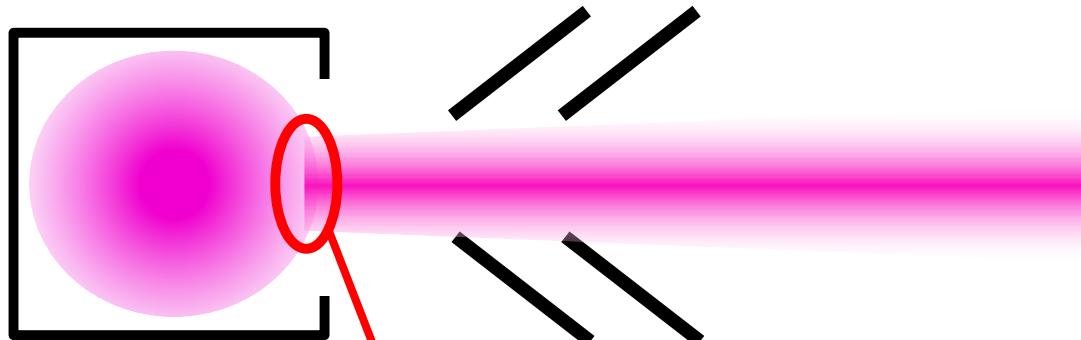
Filament Power Supply 2-100 A



# CERN Duoplasmatron



Particle sources/guns consist of:



Something to make  
the particles

+

An extraction  
system to create  
and accelerate a  
beam

**The emission “surface” is critical  
to the quality of the beam**

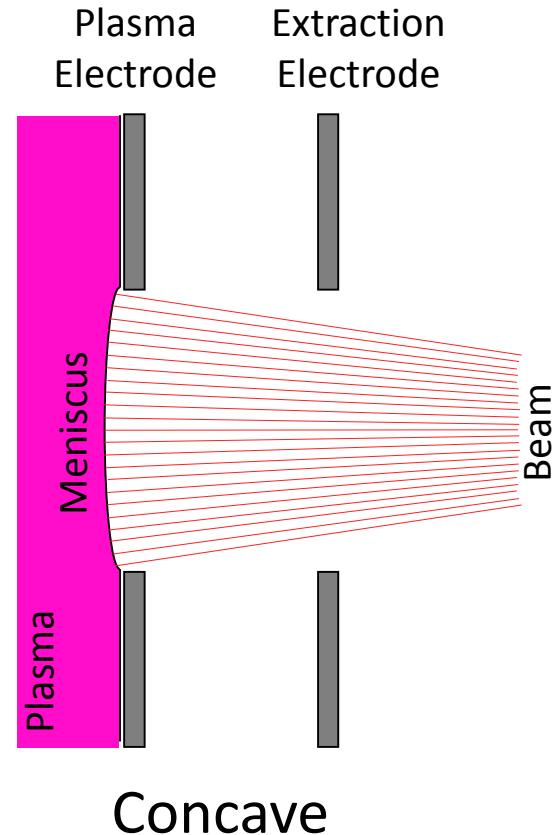
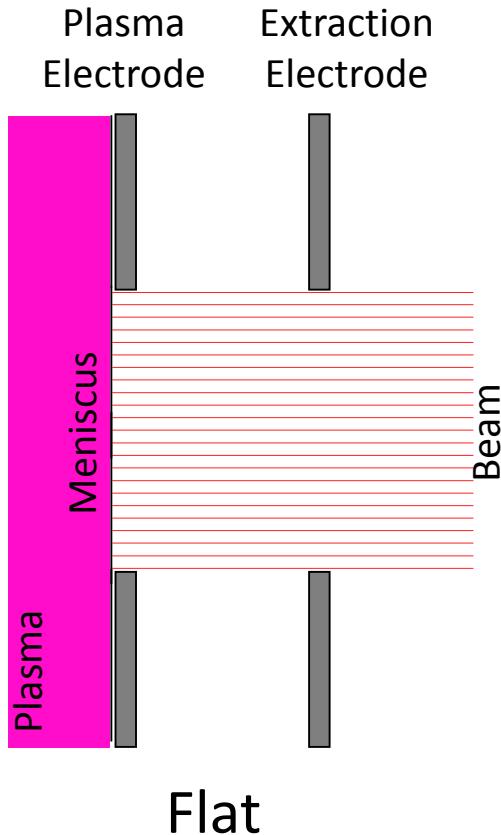
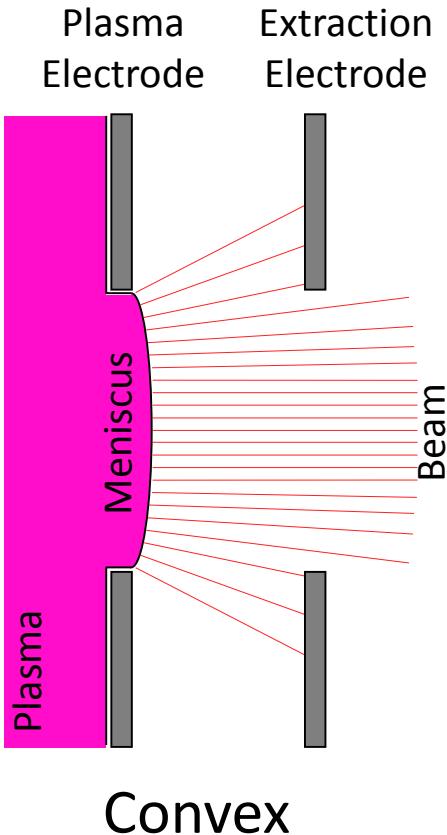
# Plasma Mencius

**...is not actually a surface**

because of Debye length, it has a thickness,

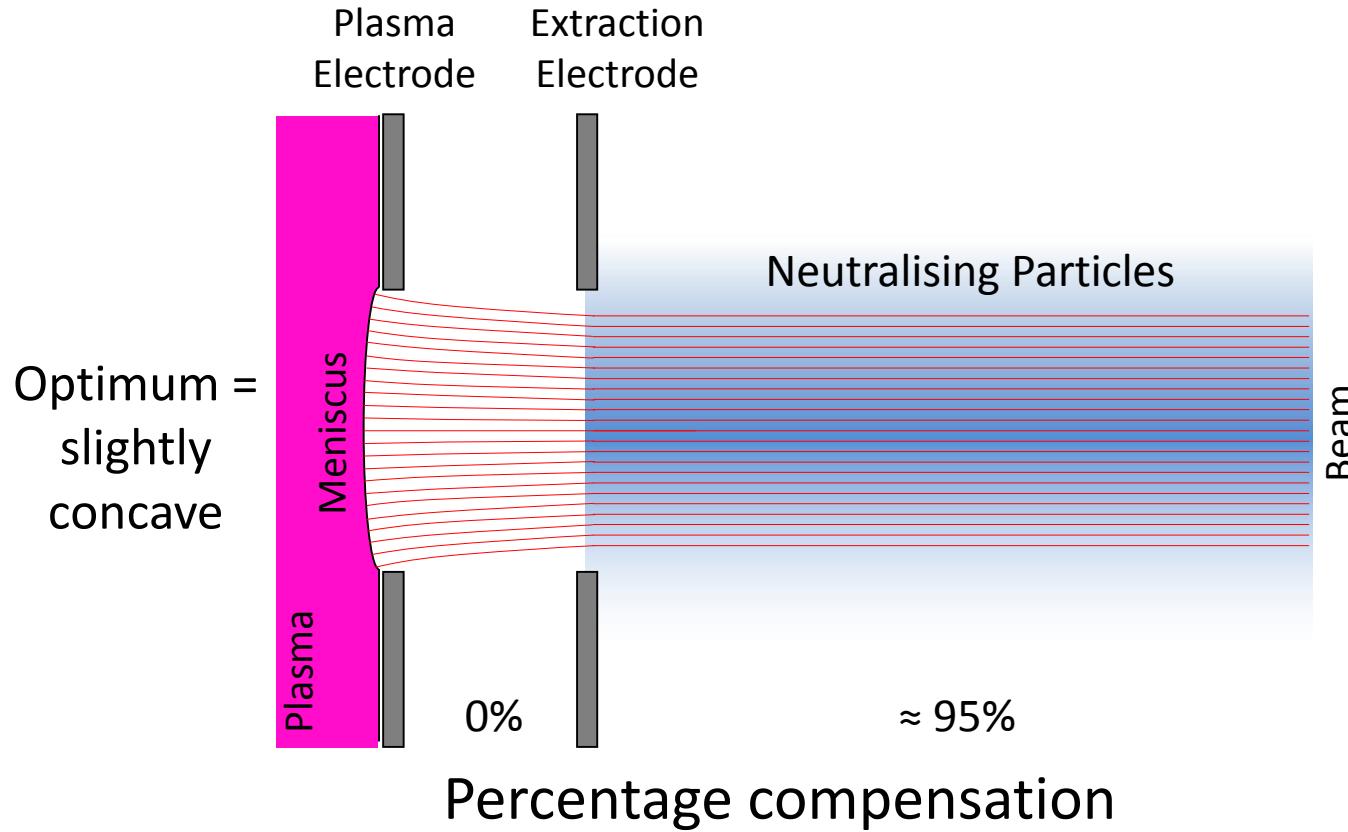
but it is a useful concept when considering the optics of extraction...

# Plasma Mencius

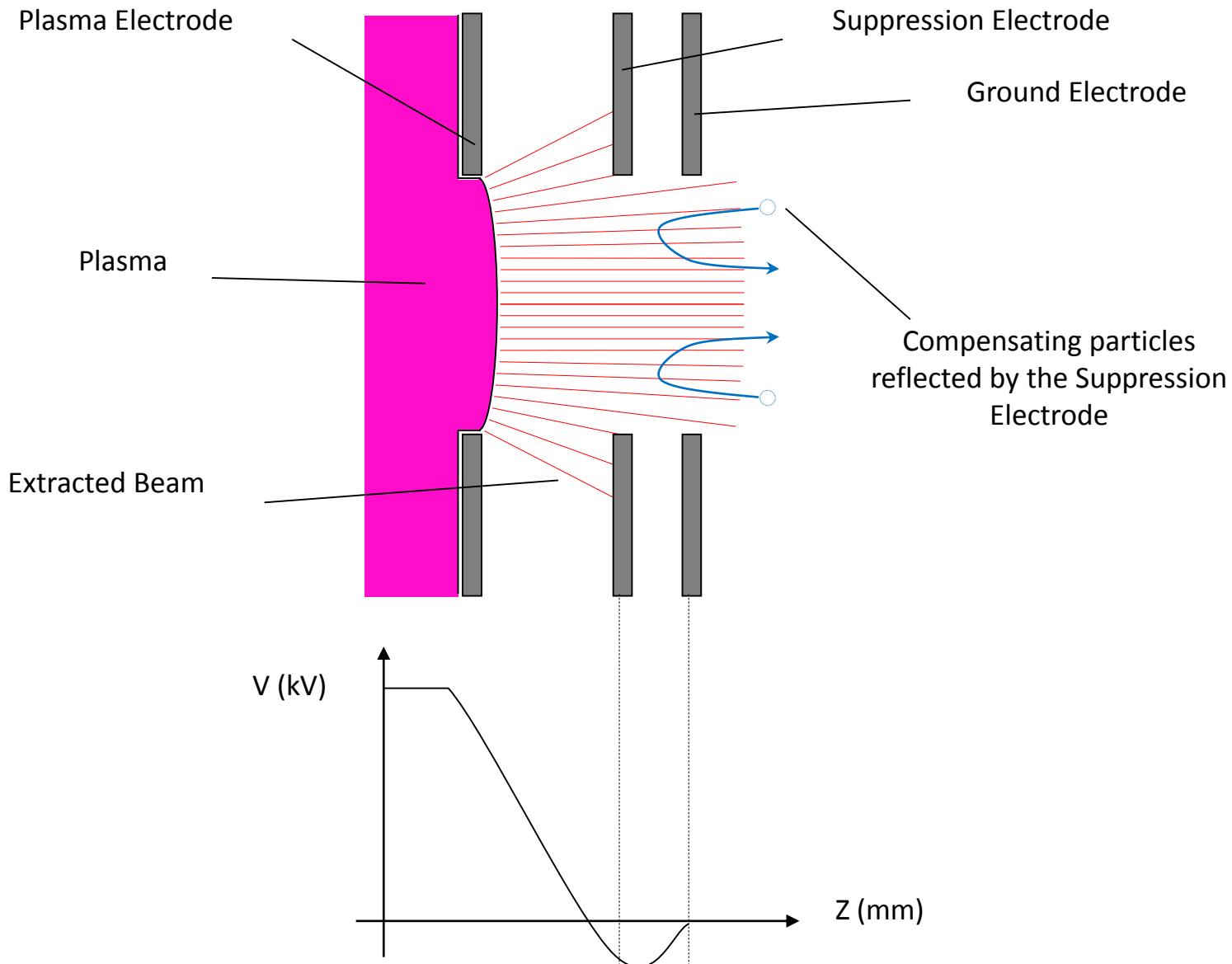


Not including space charge effects

# Space Charge



# Suppressor Electrode

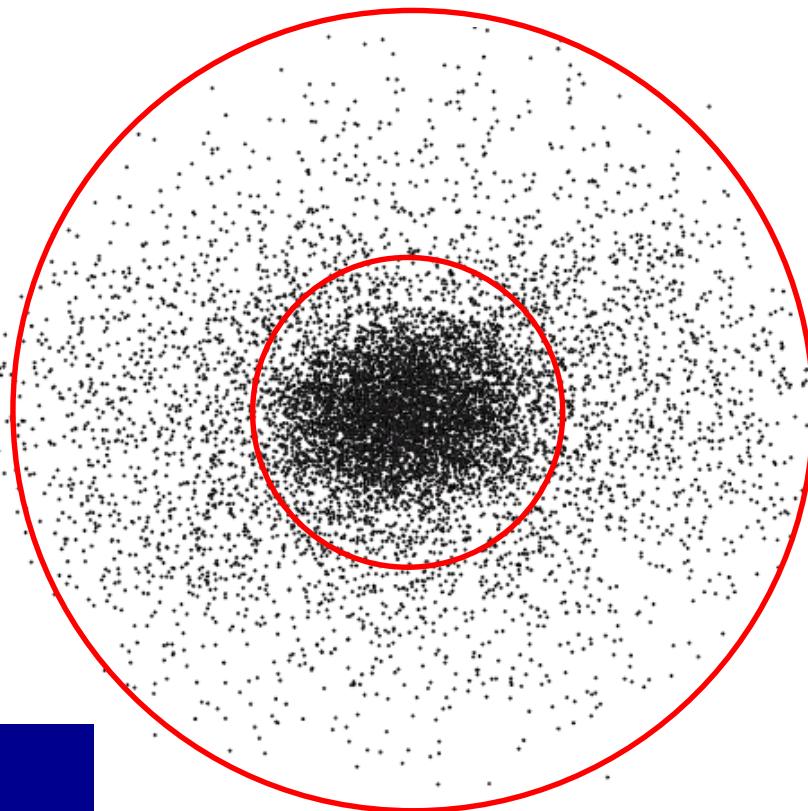
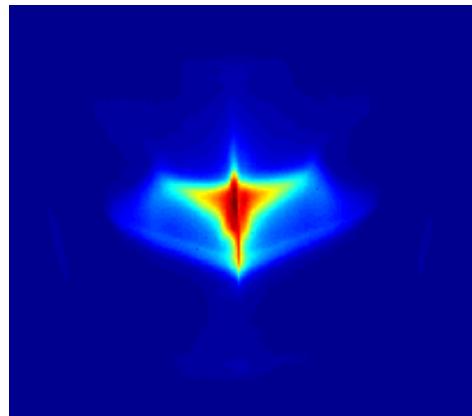


# Emittance of Real Beams

Halo Effect

- Plasma boundary
- Fringe fields

How big is this  
beam?



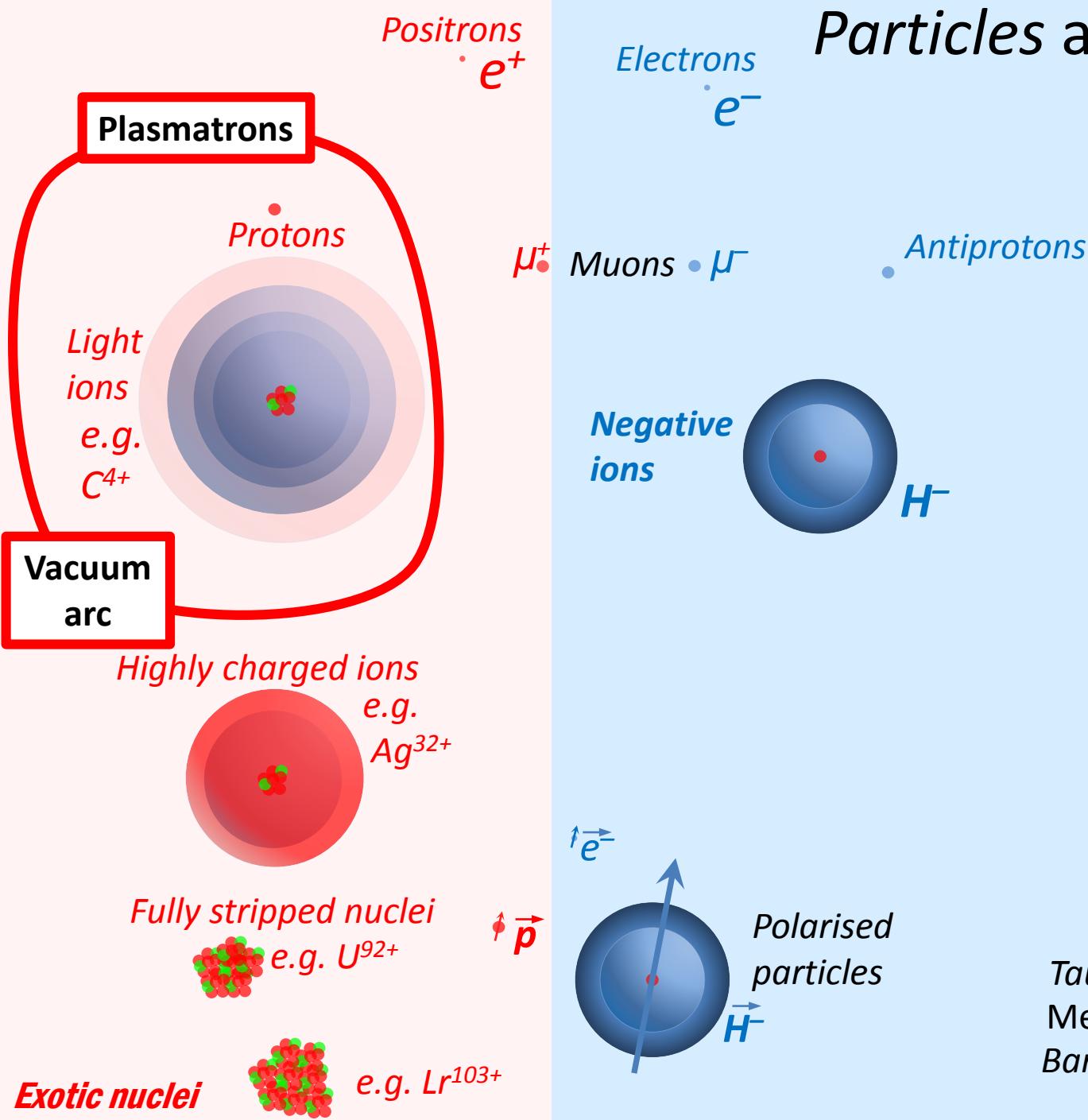
95% emittance  
rms emittance

# Brightness

$$B = \frac{I}{\epsilon_x \epsilon_y}$$

Be careful- Some definitions include factors of 2, 8 and  $\pi$   
Are the emittances normalised?

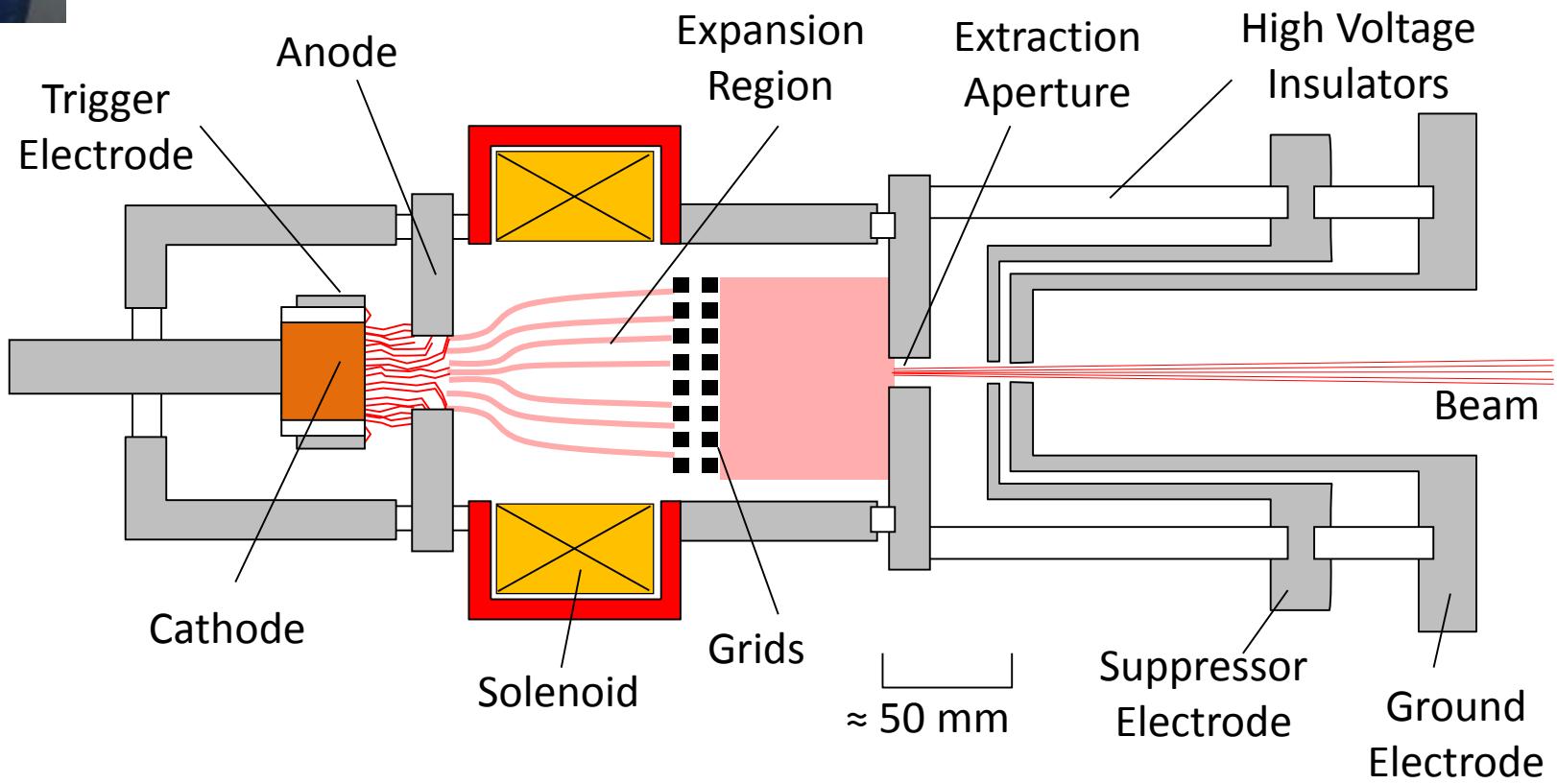
# Particles and Sources





# Vacuum Arc Ion Sources

1980s - Ian Brown LBNL and others

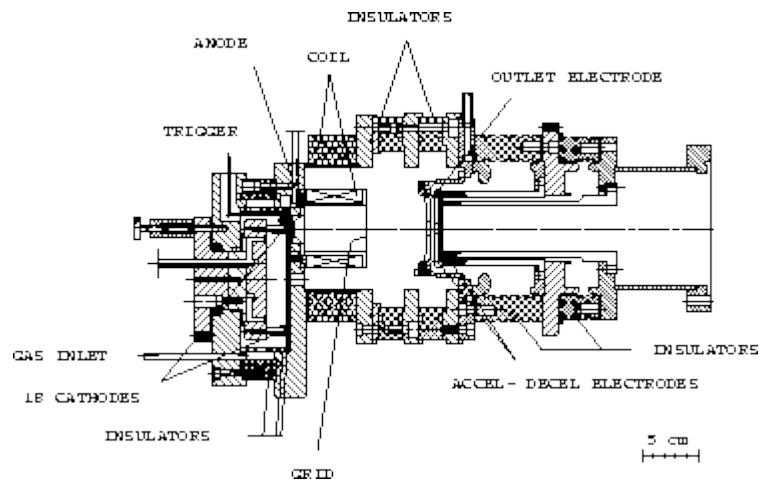


# Lawrence Berkley Lab

## MEVVA

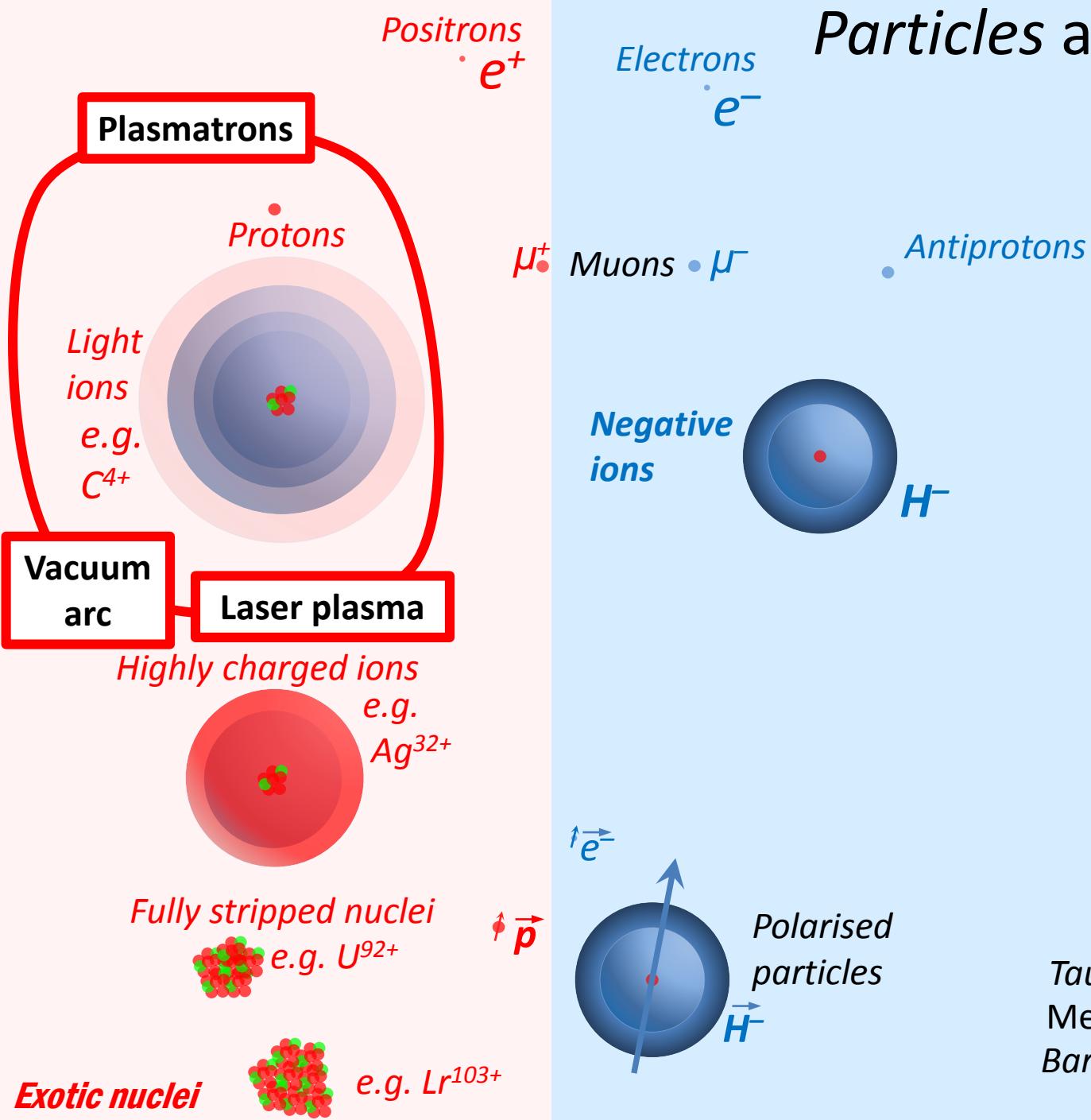


## GSI MEVVA



15 mA of  $U^{4+}$  ions

# Particles and Sources



Photons  
Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$   
Neutrons  
 $n$

Neutral particles  
 $H^0$



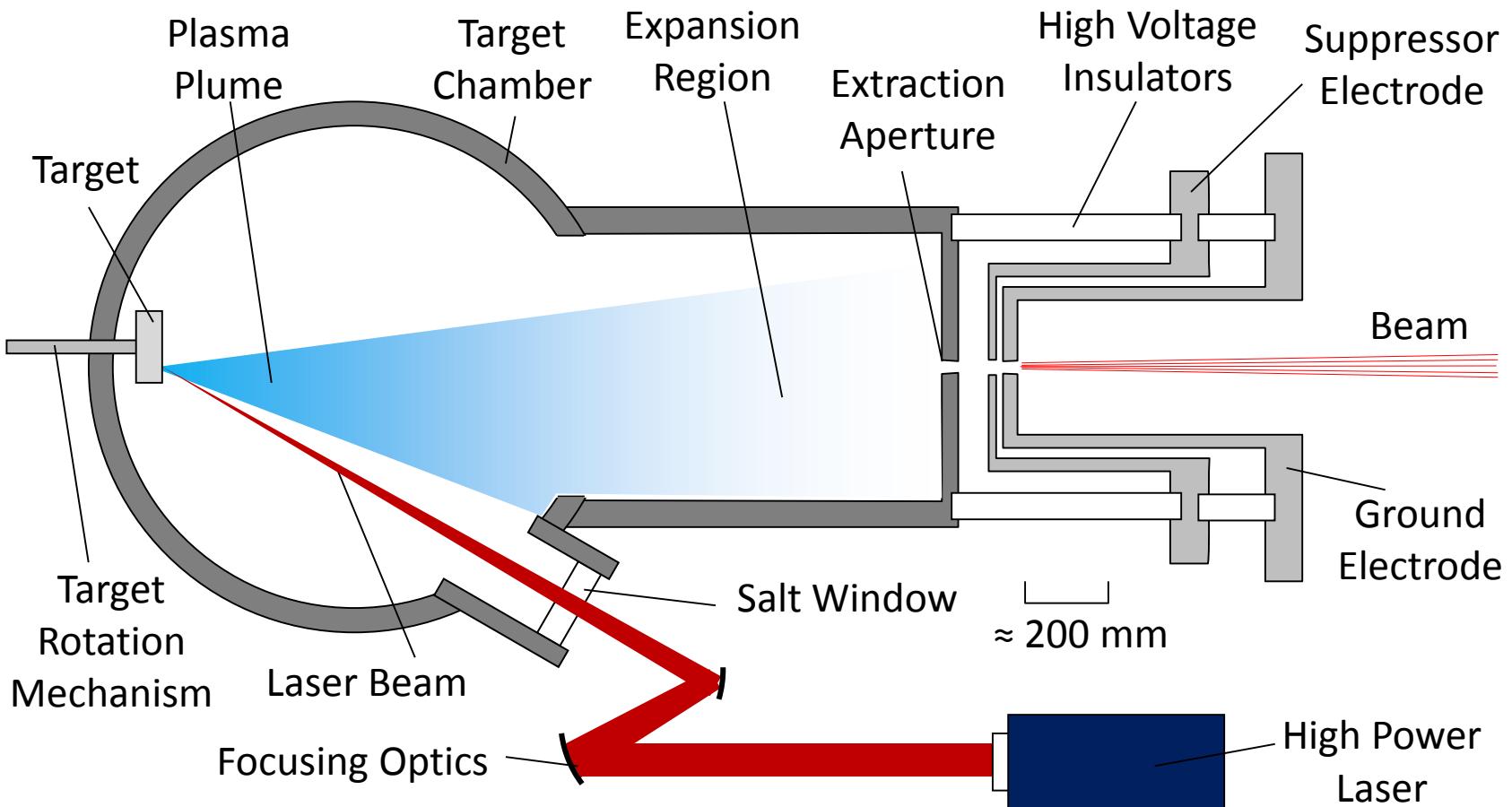
Higgs  
Bosons

## Zoo of curiosities

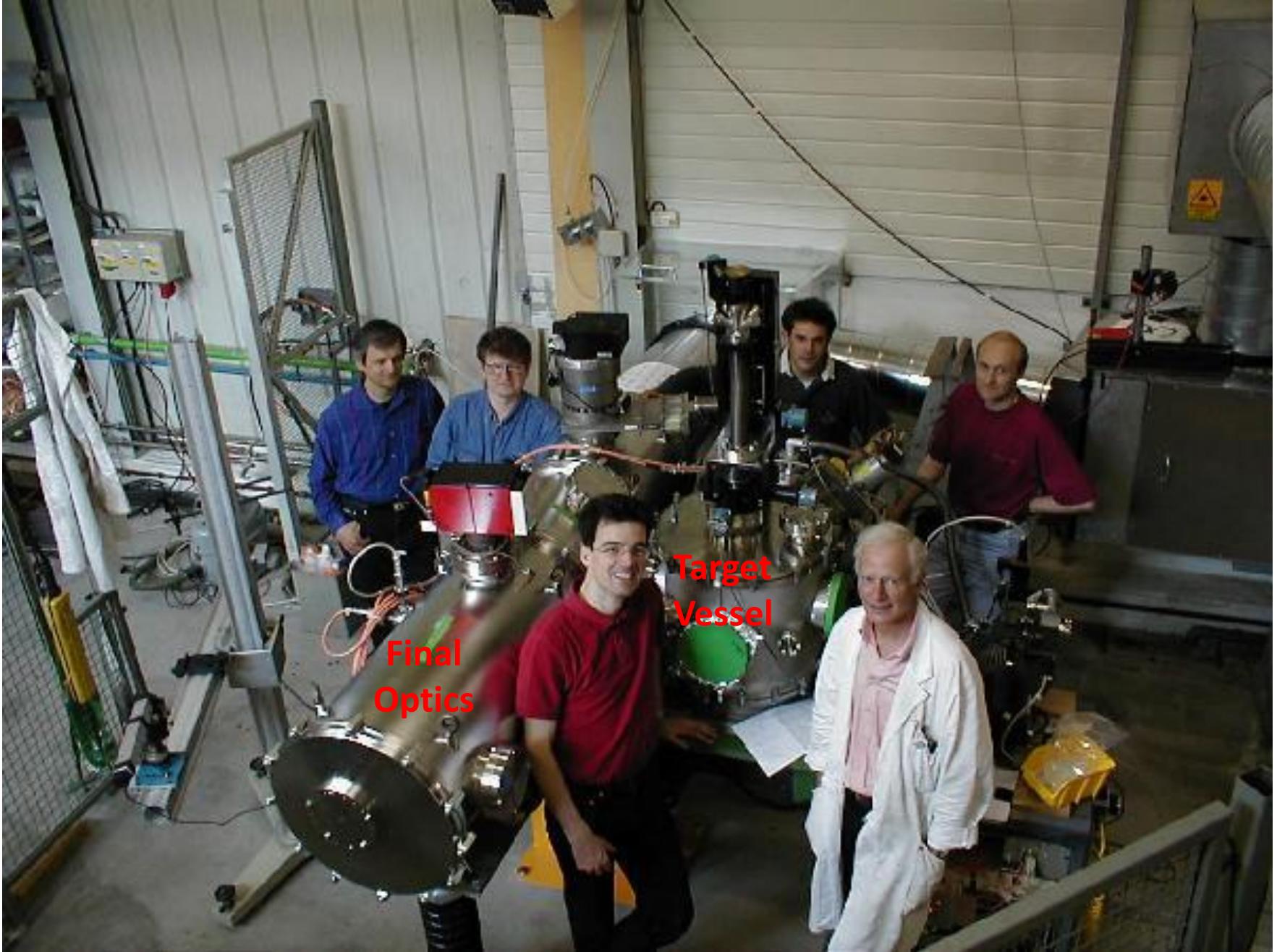
Tauons  
Mesons  
Baryons

$W + Z$   
Bosons

# Laser Plasma Ion Sources



**1 -100 Joules per pulse!**



ITEP Laser source at CERN



ITEP Laser source at CERN



# TWAC at ITEP Moscow



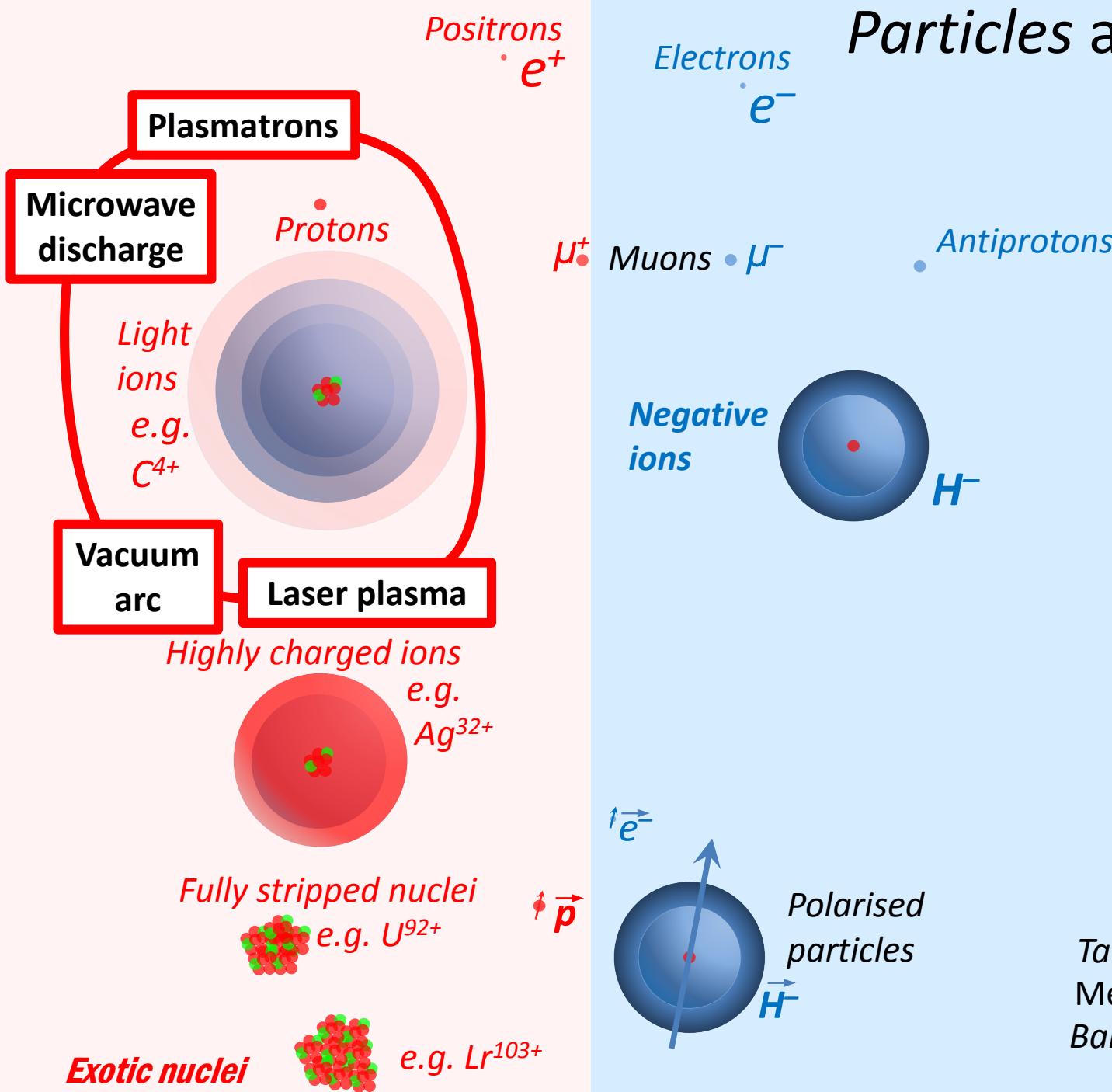
7 mA, 10  $\mu$ s pulses of C<sup>4+</sup>

## BNL and RIKEN



Masahiro Okamura has demonstrated  
Direct Plasma Injection into an RFQ

# Particles and Sources



Photons  
Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$   
Neutrons  
 $n$

Neutral particles  
 $H^0$



Higgs  
Bosons

## Zoo of curiosities

Tauons	$W + Z$
Mesons	Bosons
Baryons	

# Microwave Ion Sources

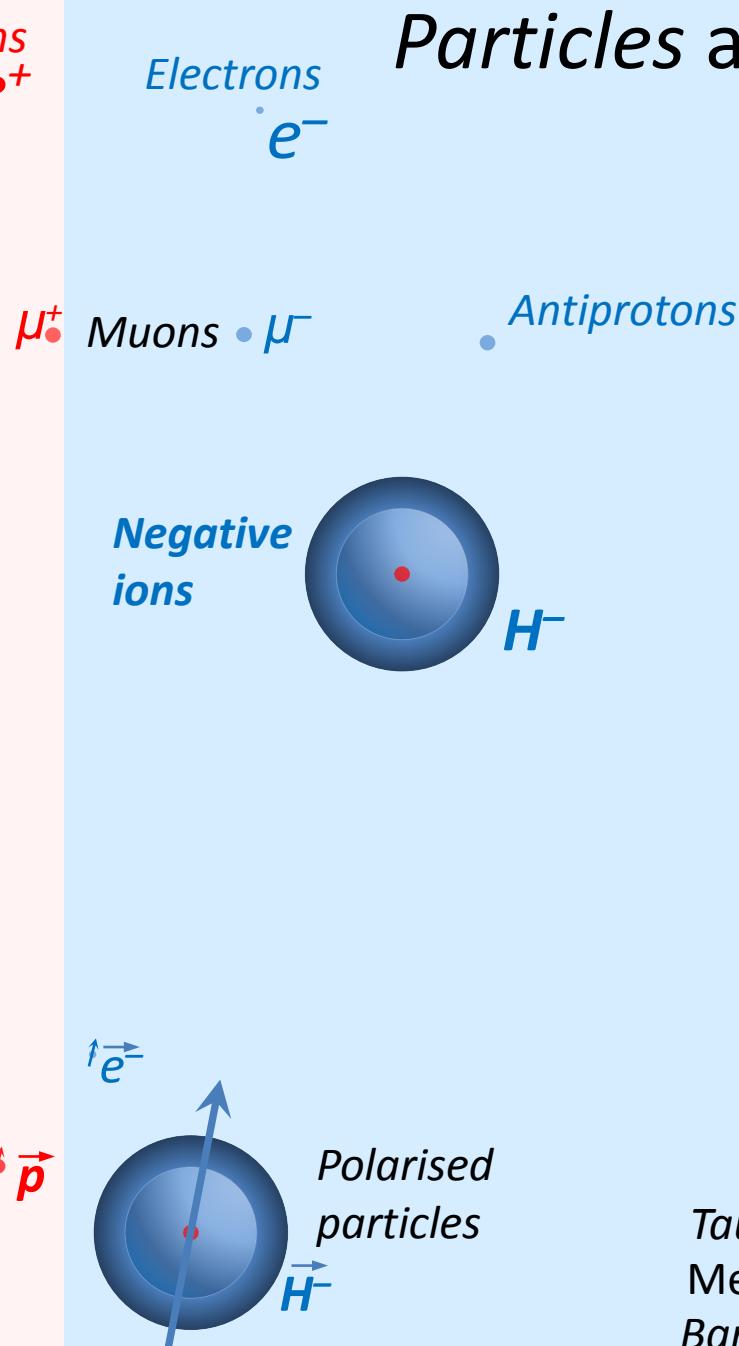
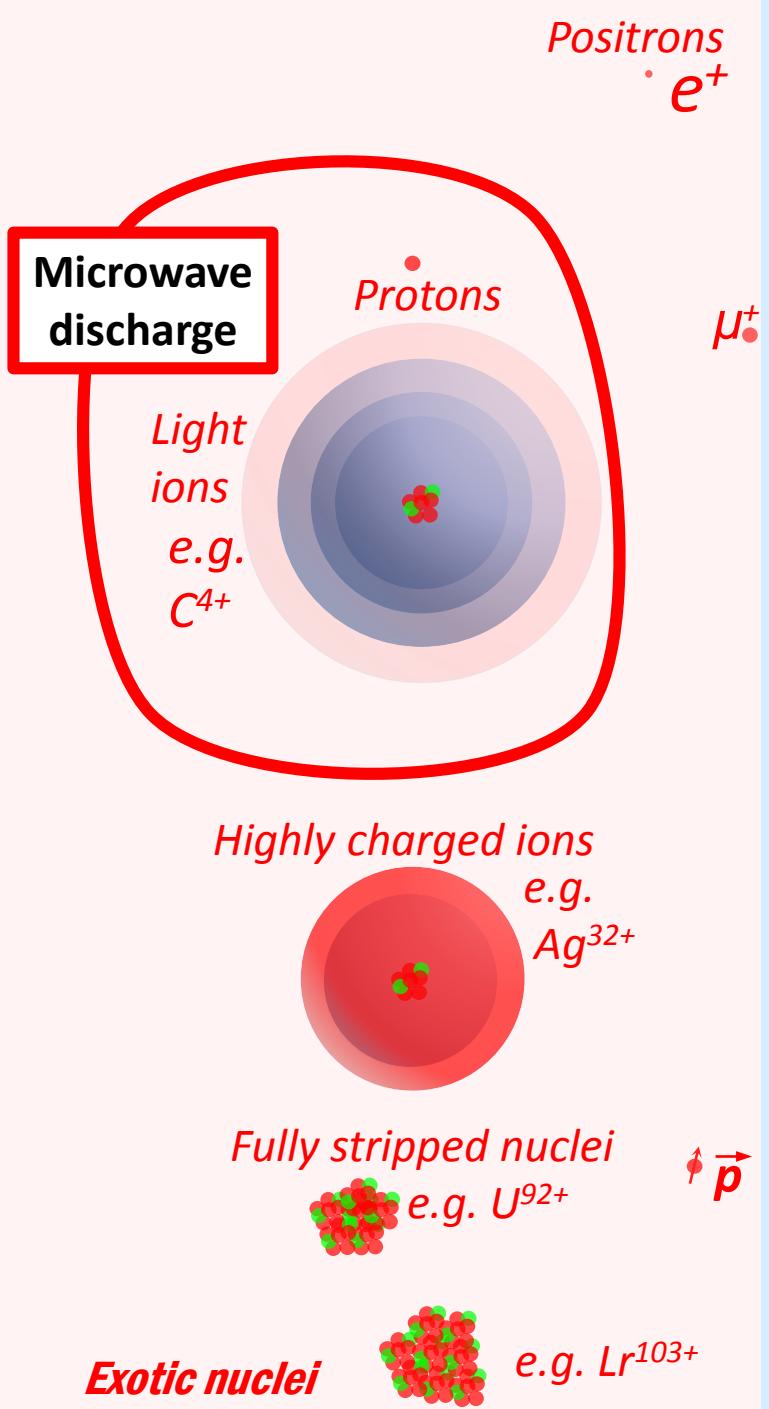
Off resonance

= Microwave discharge ion sources

On resonance

= Electron Cyclotron Resonance (ECR) sources

# Particles and Sources



Photons  
Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$   
Neutrons

Neutral particles  
 $H^0$

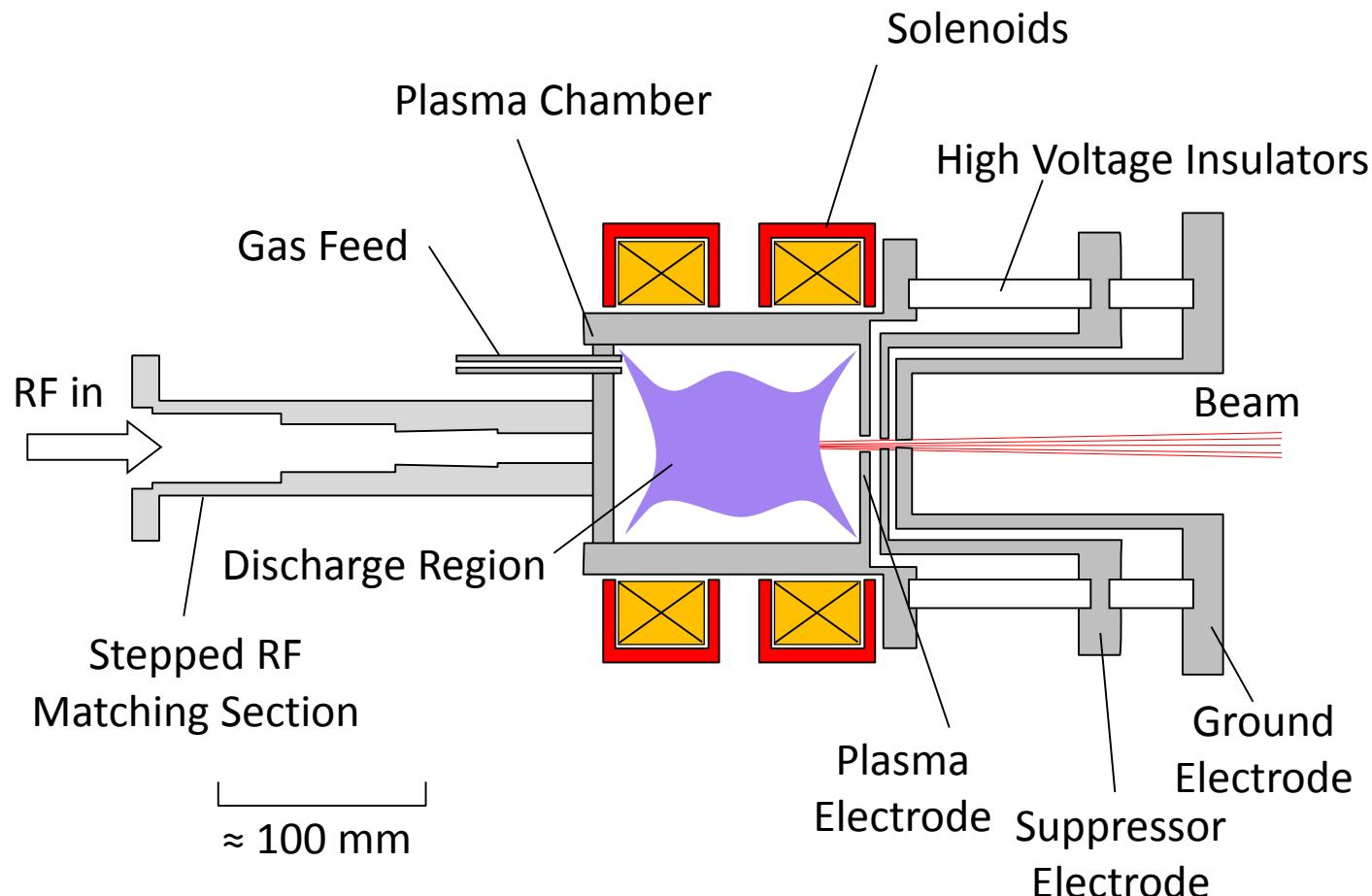


Higgs  
Bosons

Zoo of curiosities  
Tauons  
Mesons  
Baryons

$W + Z$   
Bosons

# Microwave Discharge Ion Source

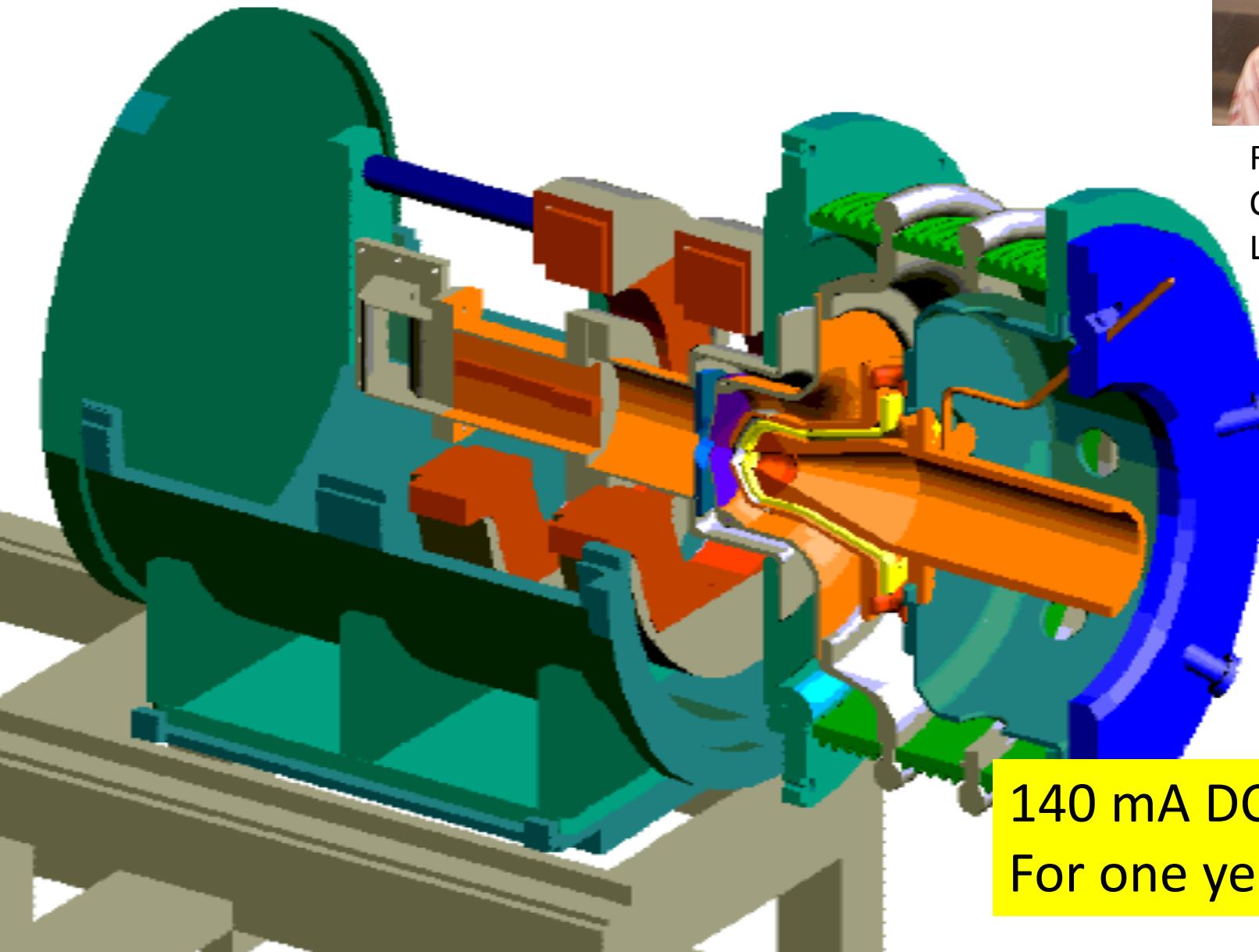


2.45 GHz  
commonly  
used

# SILHI Microwave Source

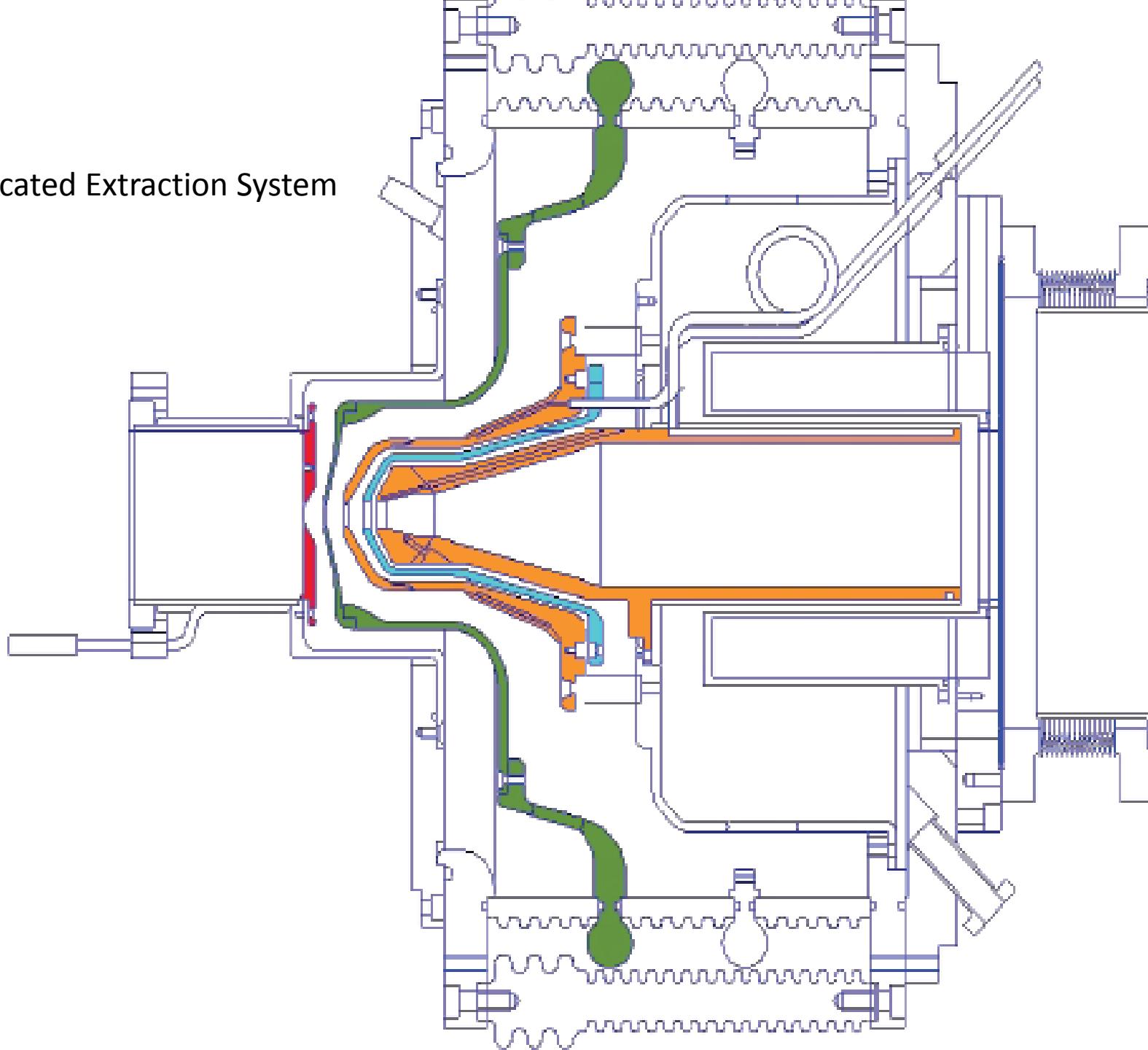


Rafael Gobin  
CEA Saclay  
Late 1990s

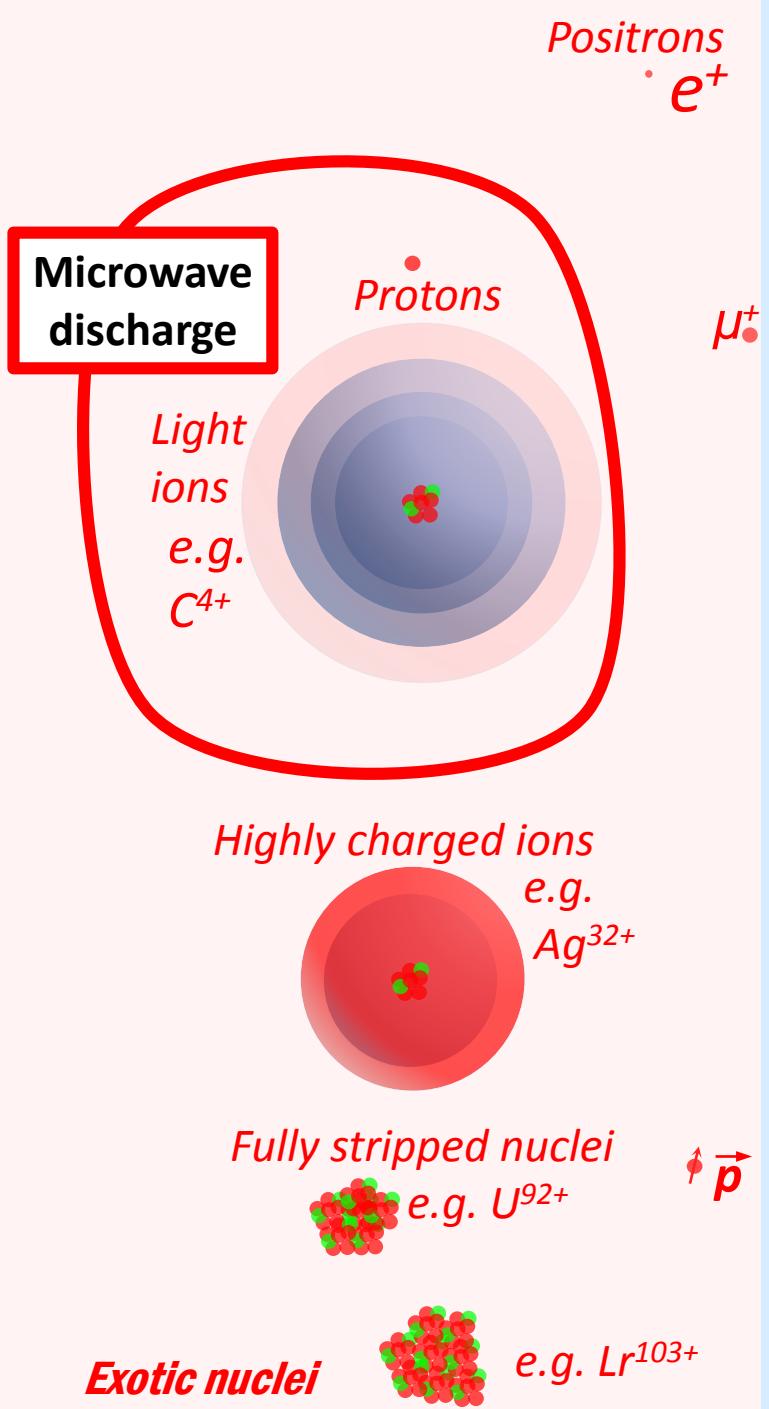


140 mA DC protons  
For one year!

## Sophisticated Extraction System



# Particles and Sources



Electrons  $e^-$

Photons  
Neutrinos  $\nu_e \nu_\mu \nu_\tau$   
Neutrons  $n$

Neutral particles  $H^0$



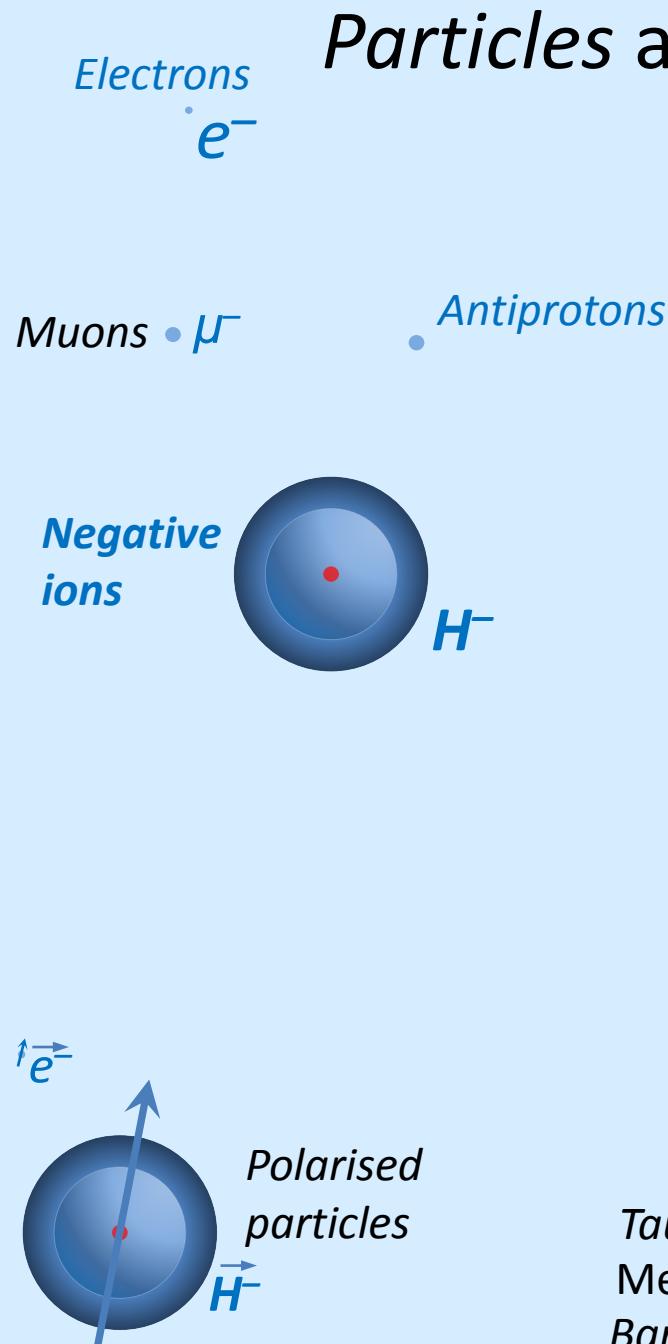
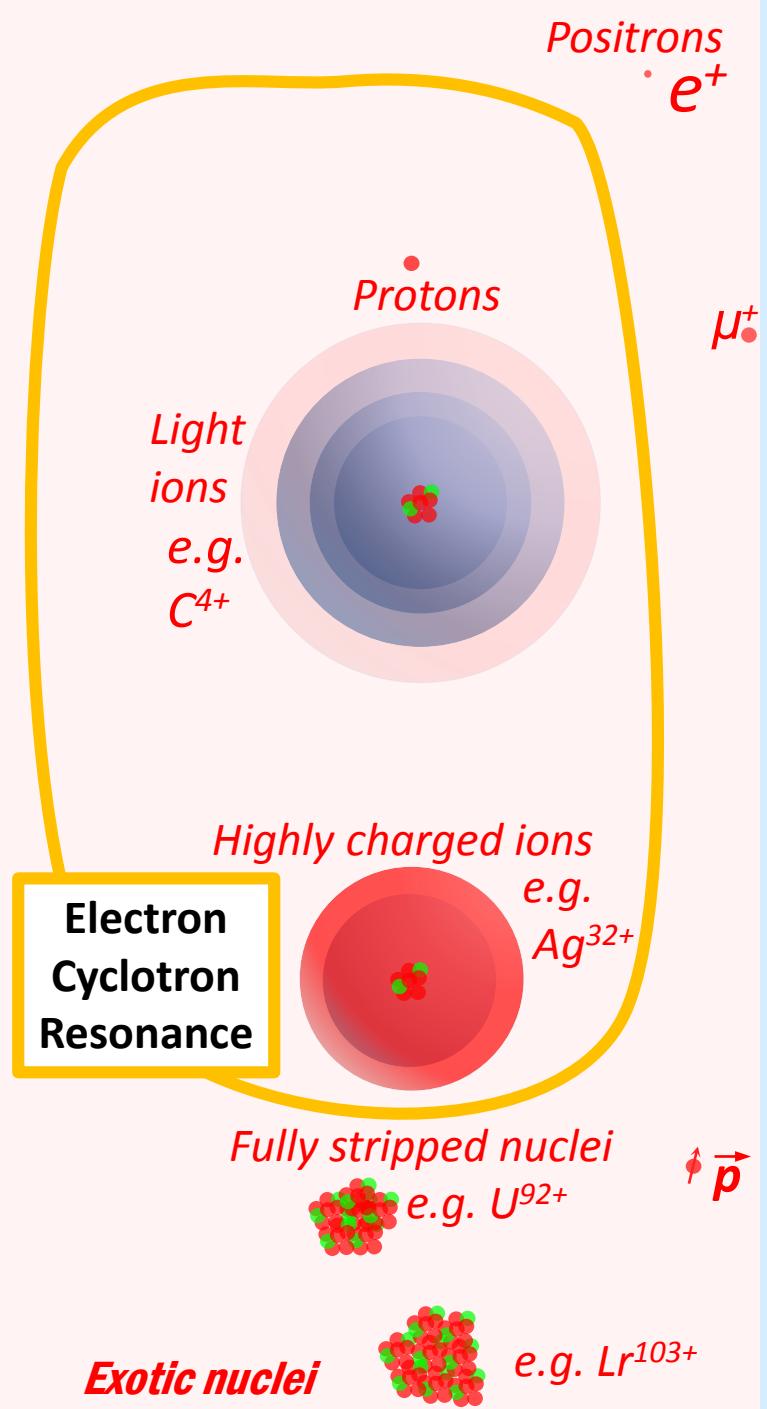
Higgs  
Bosons

Zoo of curiosities

Tauons  
Mesons  
Baryons

$W + Z$   
Bosons

# Particles and Sources



Photons  
Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$   
Neutrons  
 $n$

Neutral particles  
 $H^0$

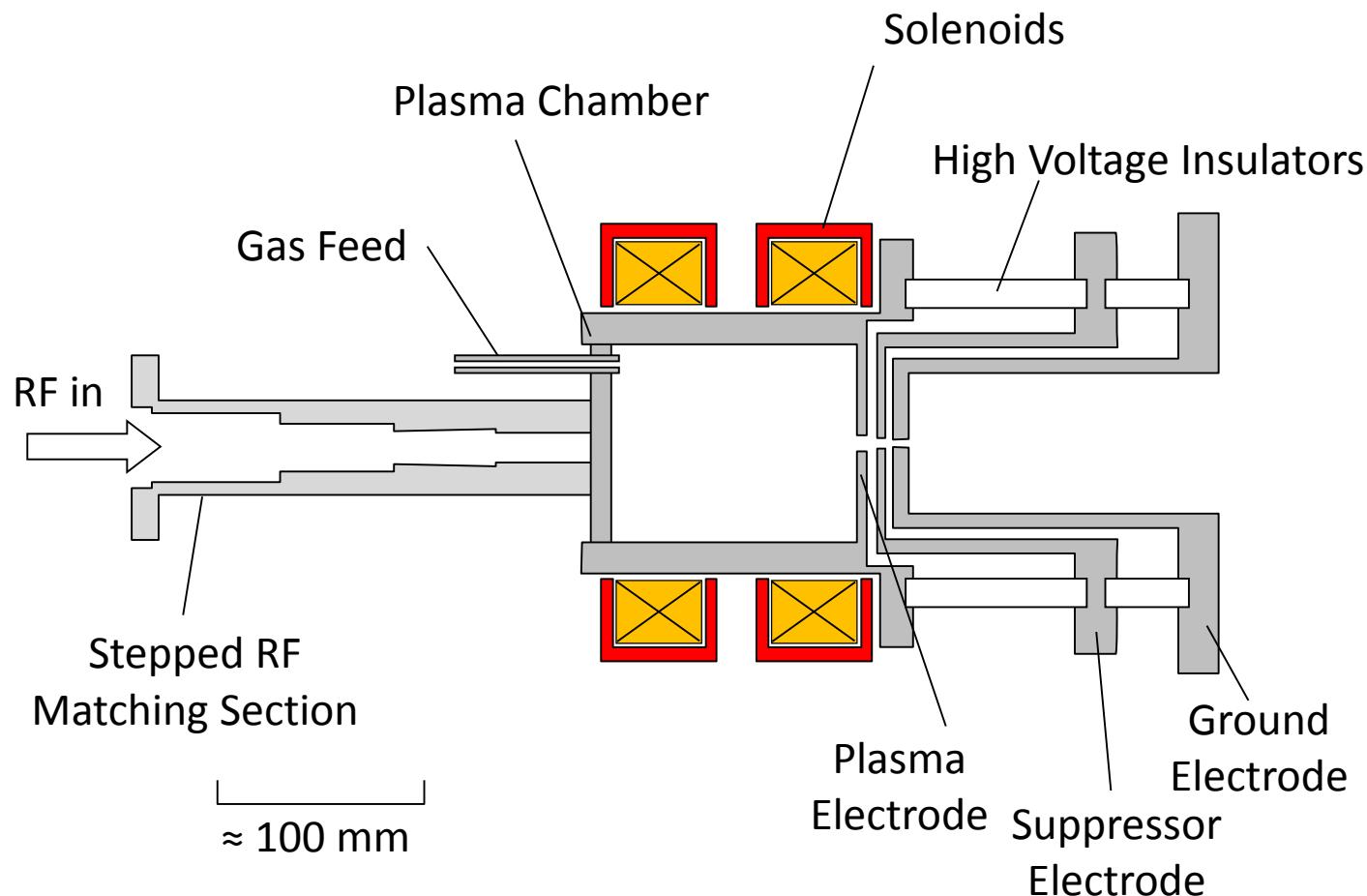


Higgs  
Bosons

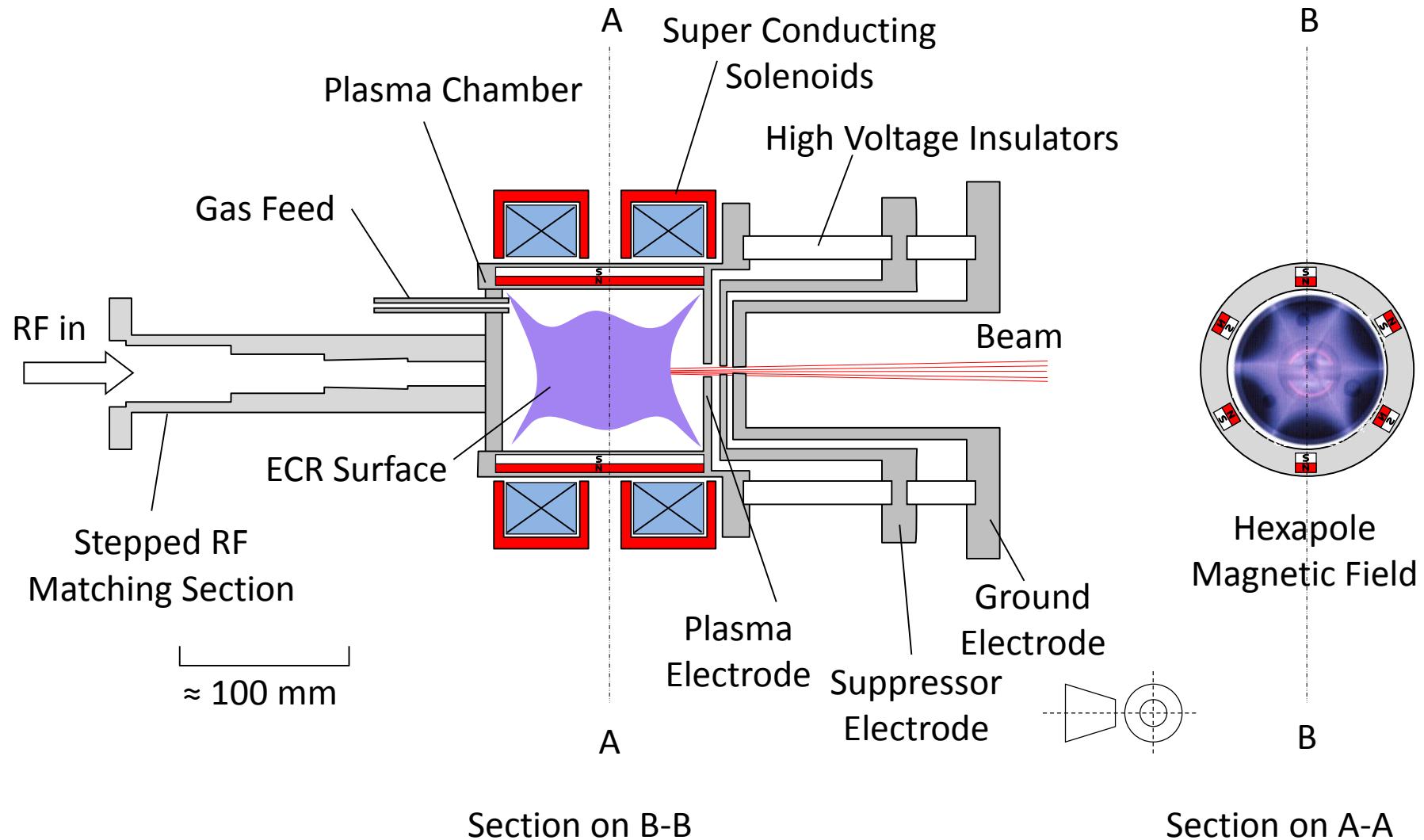
Zoo of curiosities

Tauons	$W + Z$
Mesons	Bosons
Baryons	

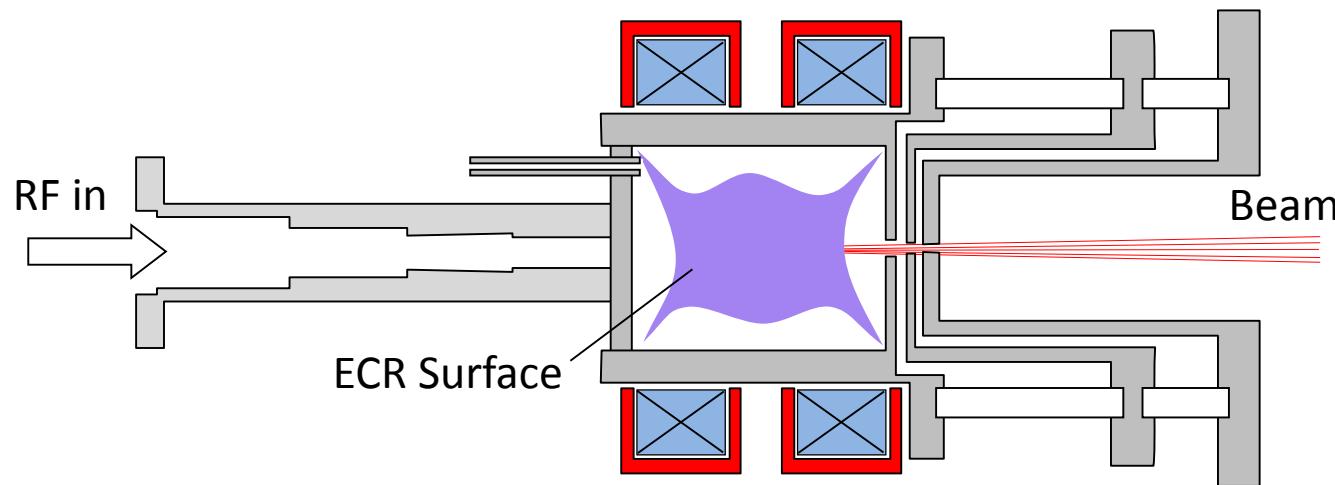
# Microwave Discharge Ion Source



# ECR Ion Source



# ECR Surface



$$\omega_{ECR} = 2\pi f_{ECR} = \frac{eB}{m}$$

# 28 GHz superconducting VENUS ECR

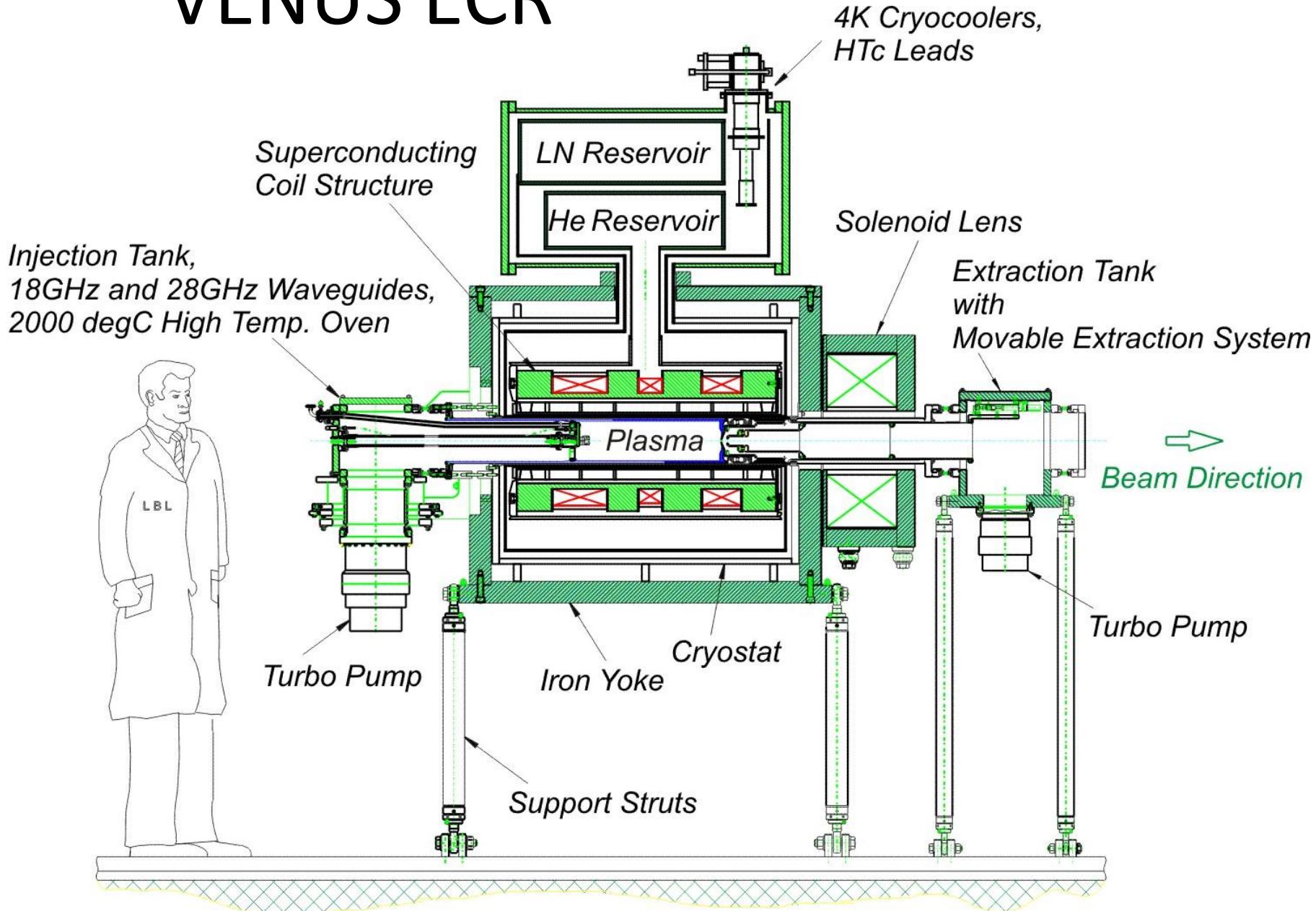


Daniela Leitner  
LBNL  
Late 2000s

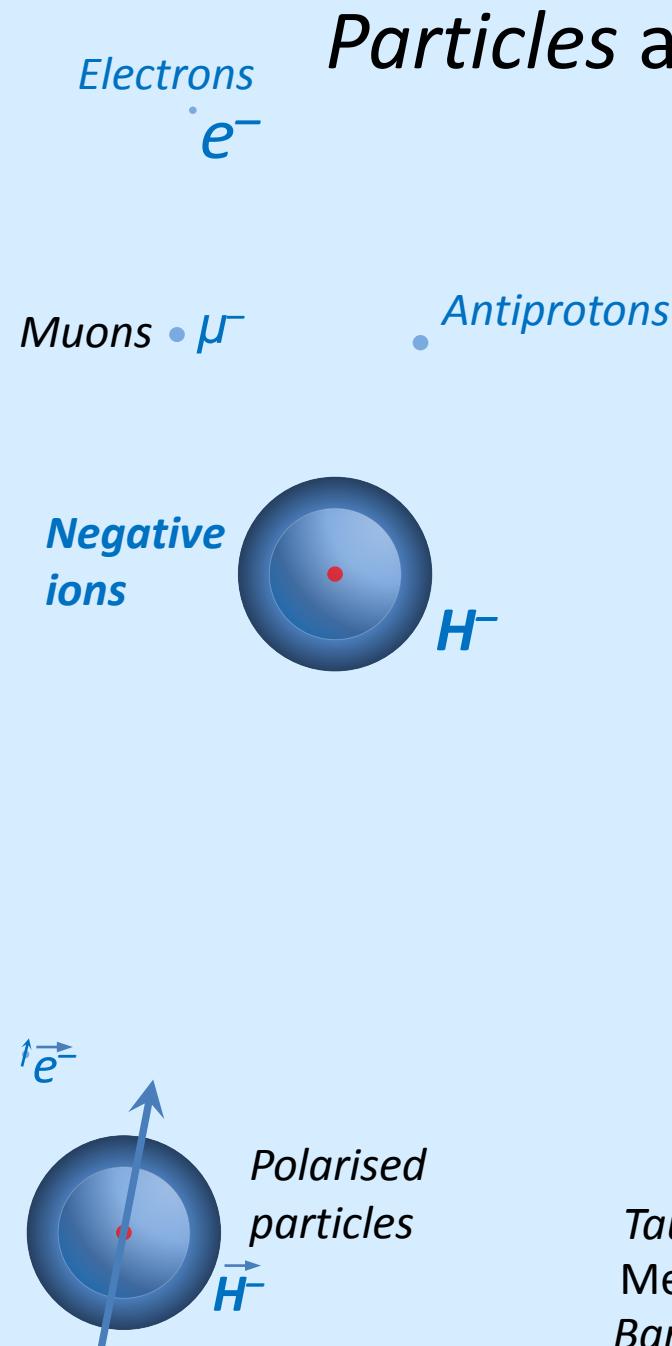
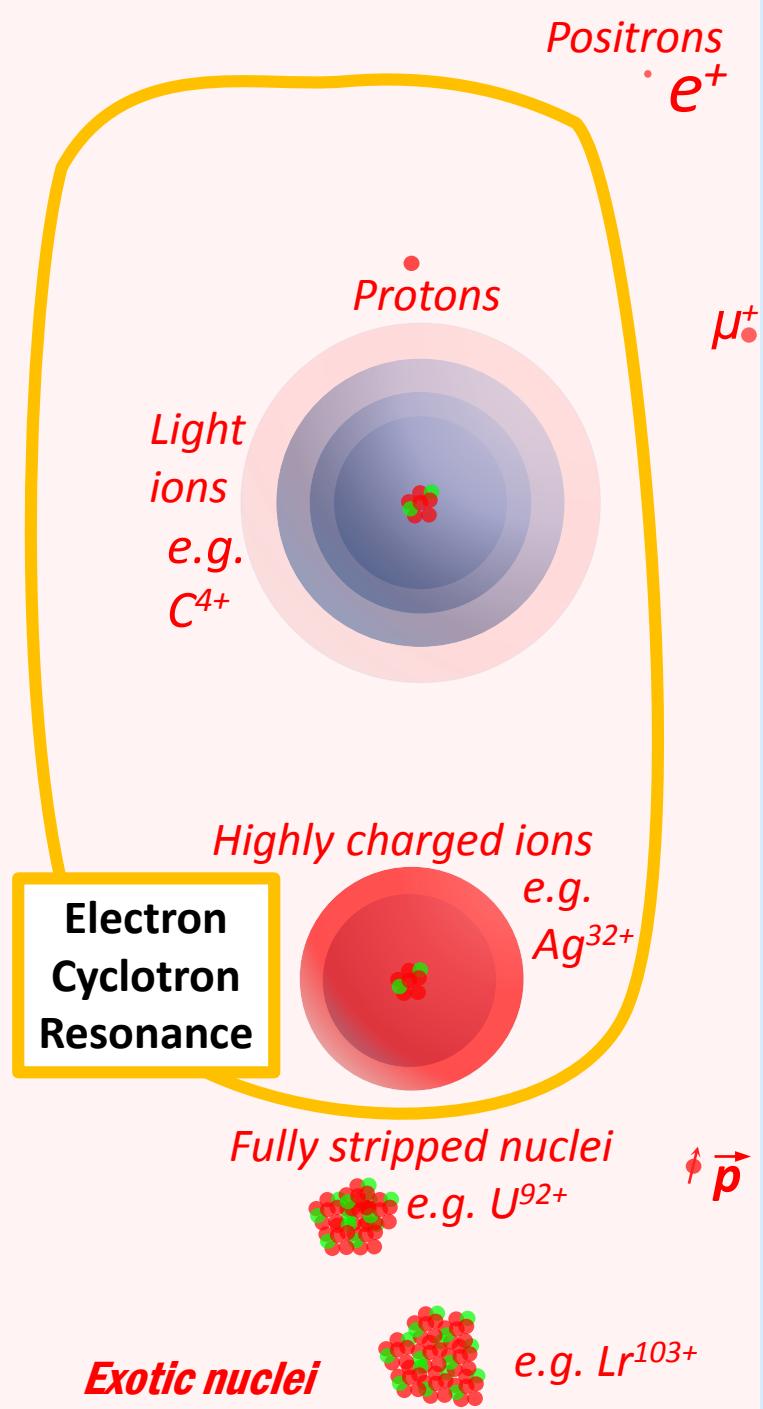


200 e $\mu$ A U<sup>34+</sup> ions  
4.9 e $\mu$ A U<sup>47+</sup> ions

# VENUS ECR



# Particles and Sources



Photons  
Neutrinos  $\nu_e \nu_\mu \nu_\tau$   
Neutrons n

Neutral particles  
 $H^0$

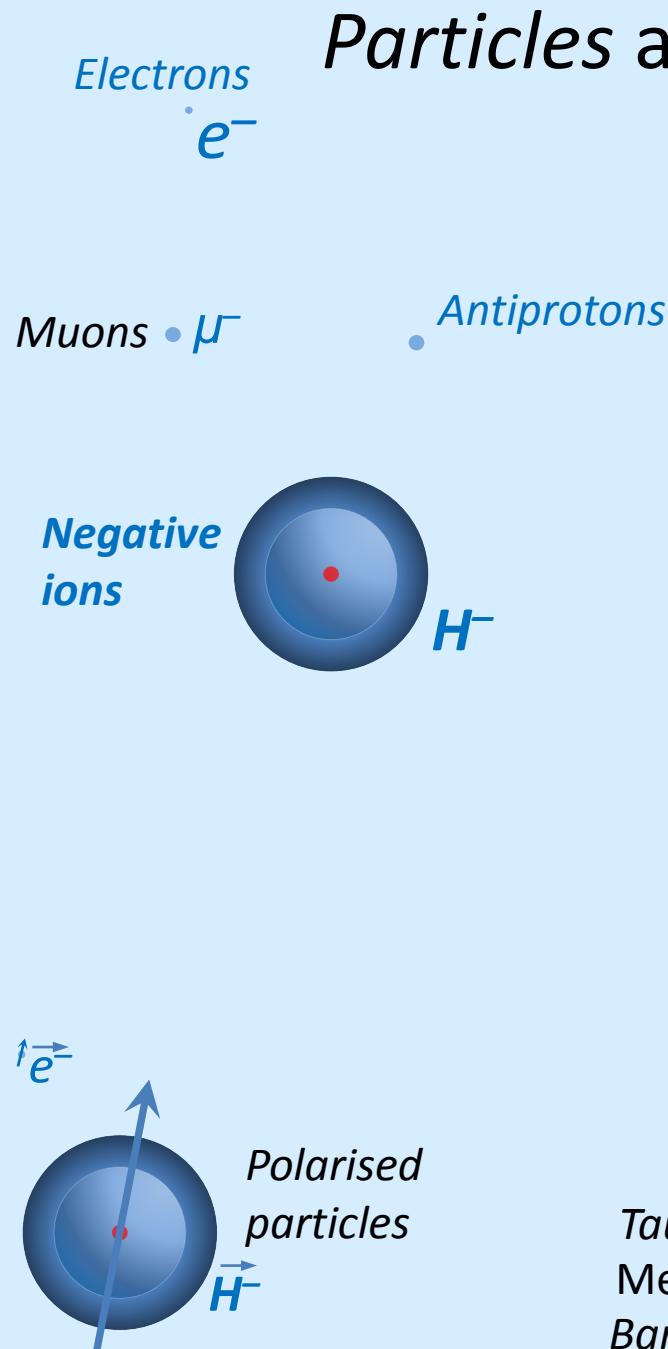
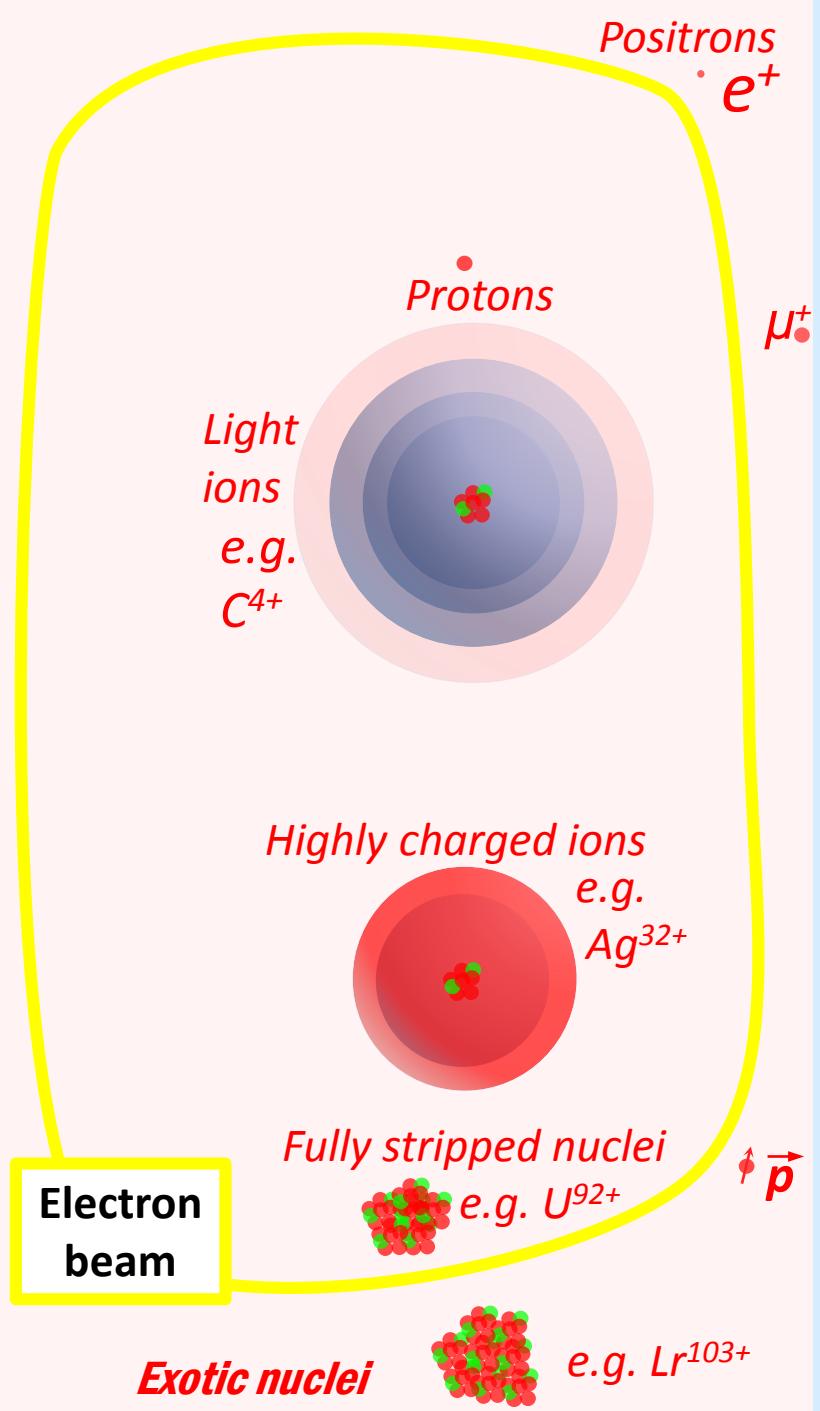


Higgs  
Bosons

Zoo of curiosities  
Tauons  
Mesons  
Baryons

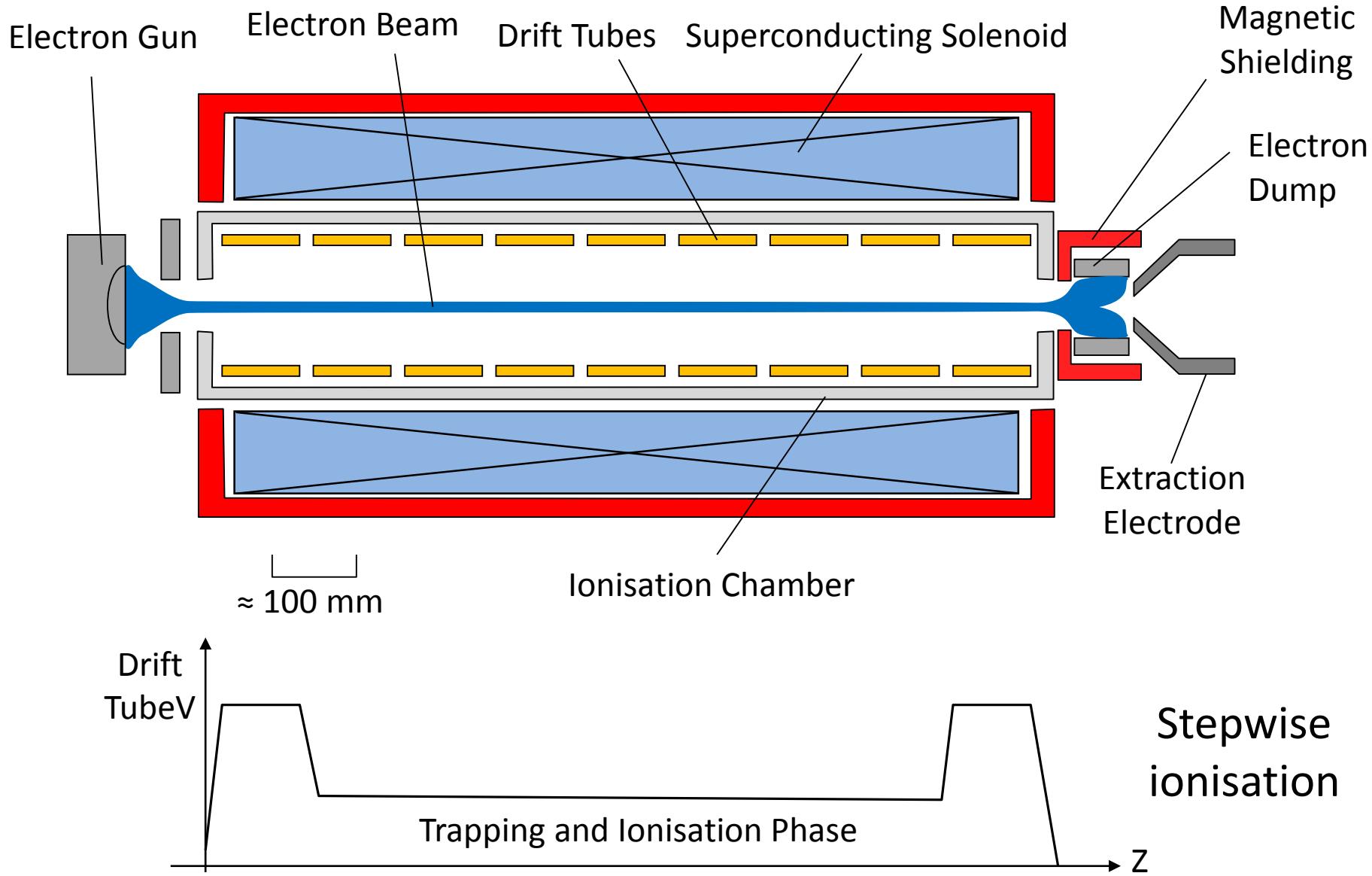
W + Z  
Bosons

# Particles and Sources

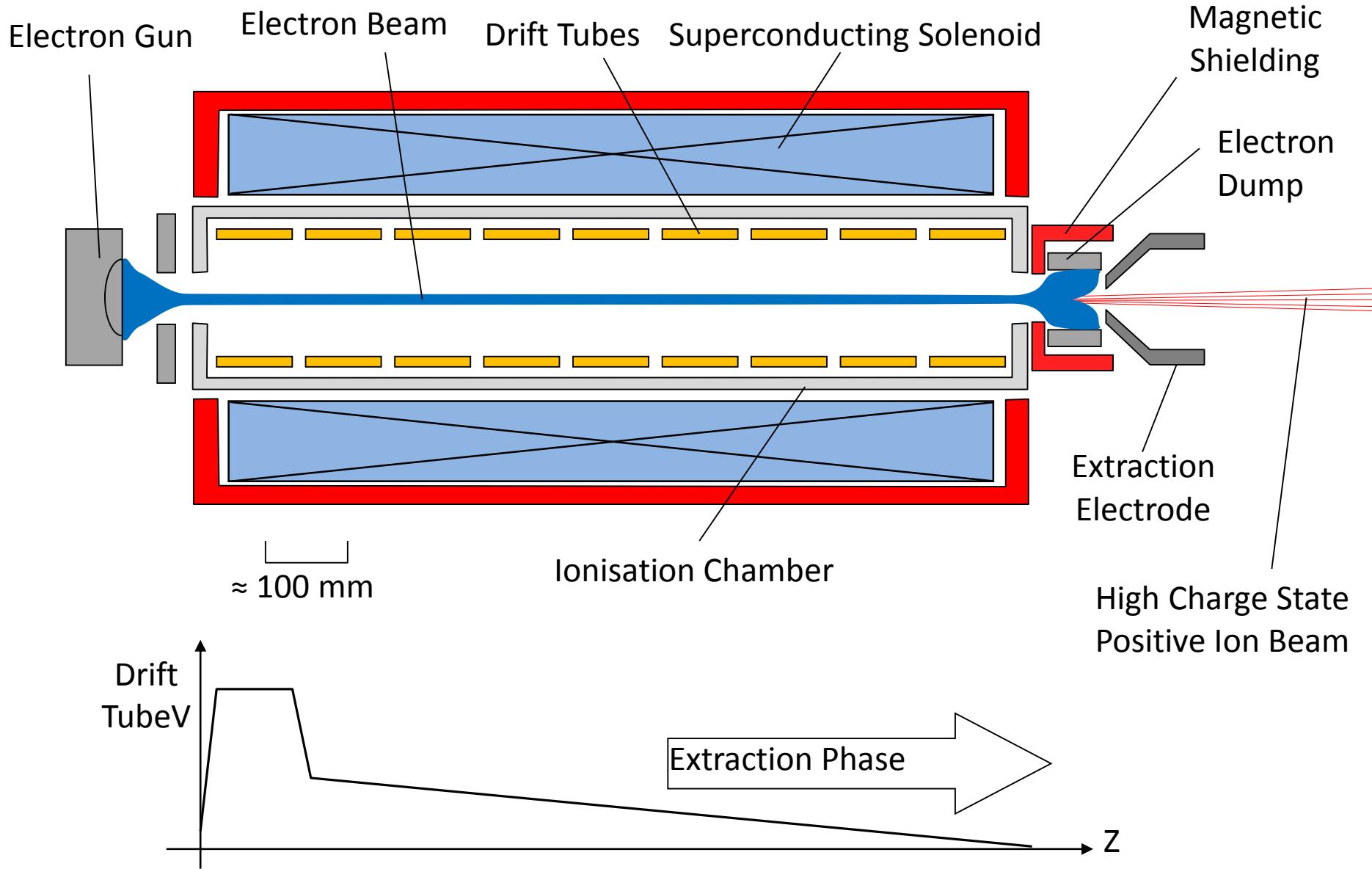


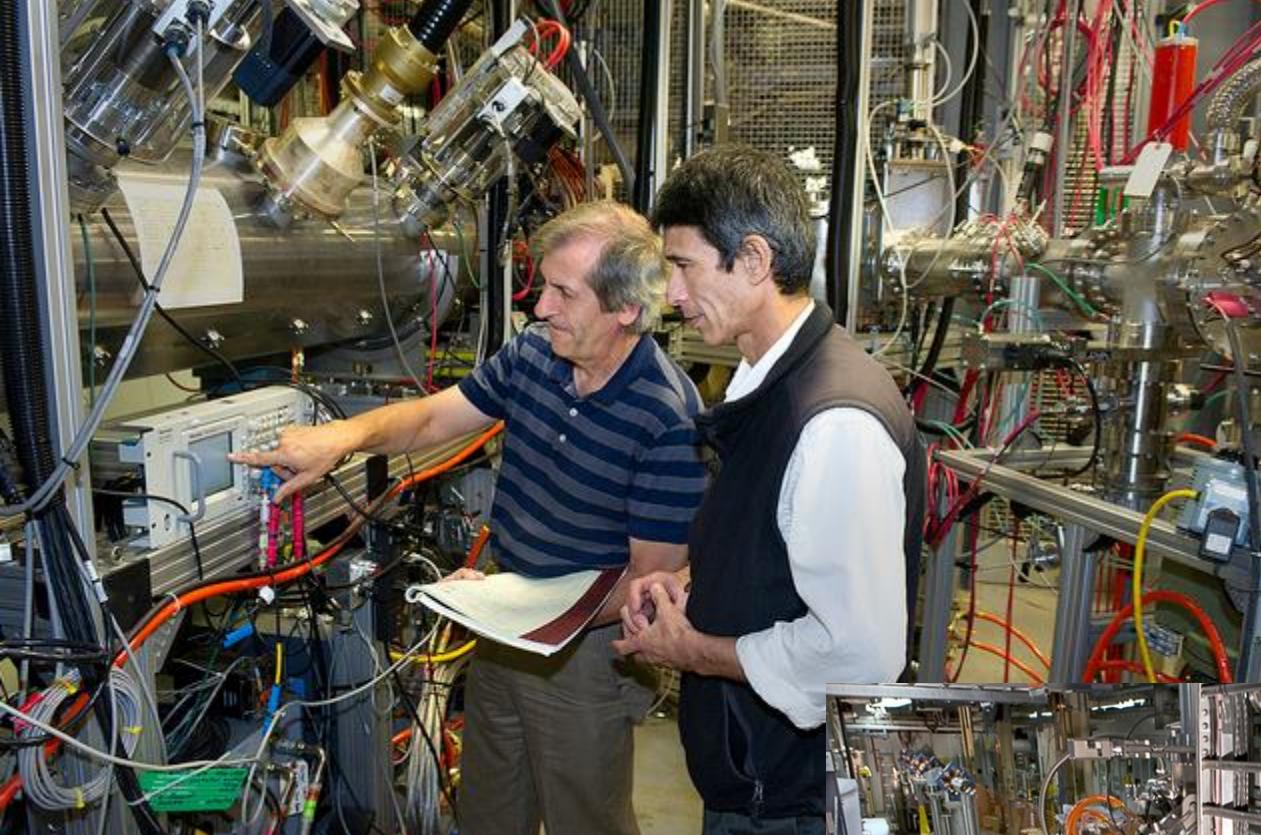
Photons	
Neutrinos	$\nu_e \nu_\mu \nu_\tau$
Neutrons	$n$
Neutral particles	$H^0$
Higgs Bosons	
Tauons	
Mesons	
Baryons	
<b>Zoo of curiosities</b>	
W + Z Bosons	

# Electron Beam Ion Sources



# Electron Beam Ion Sources

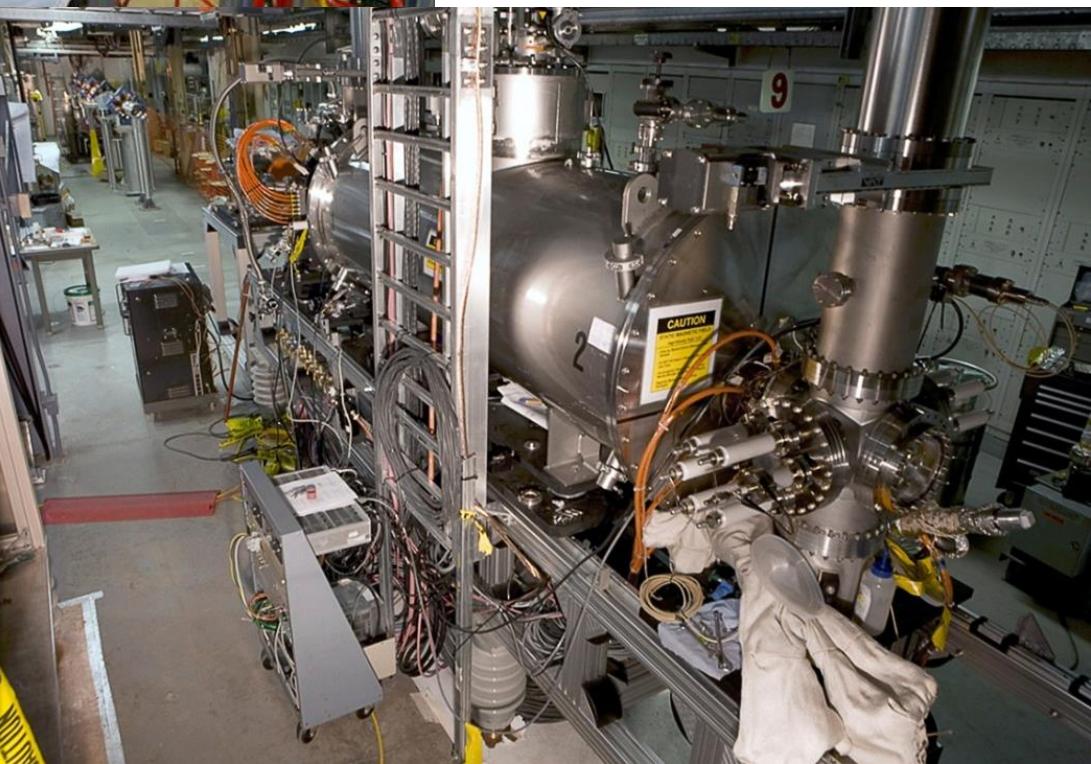




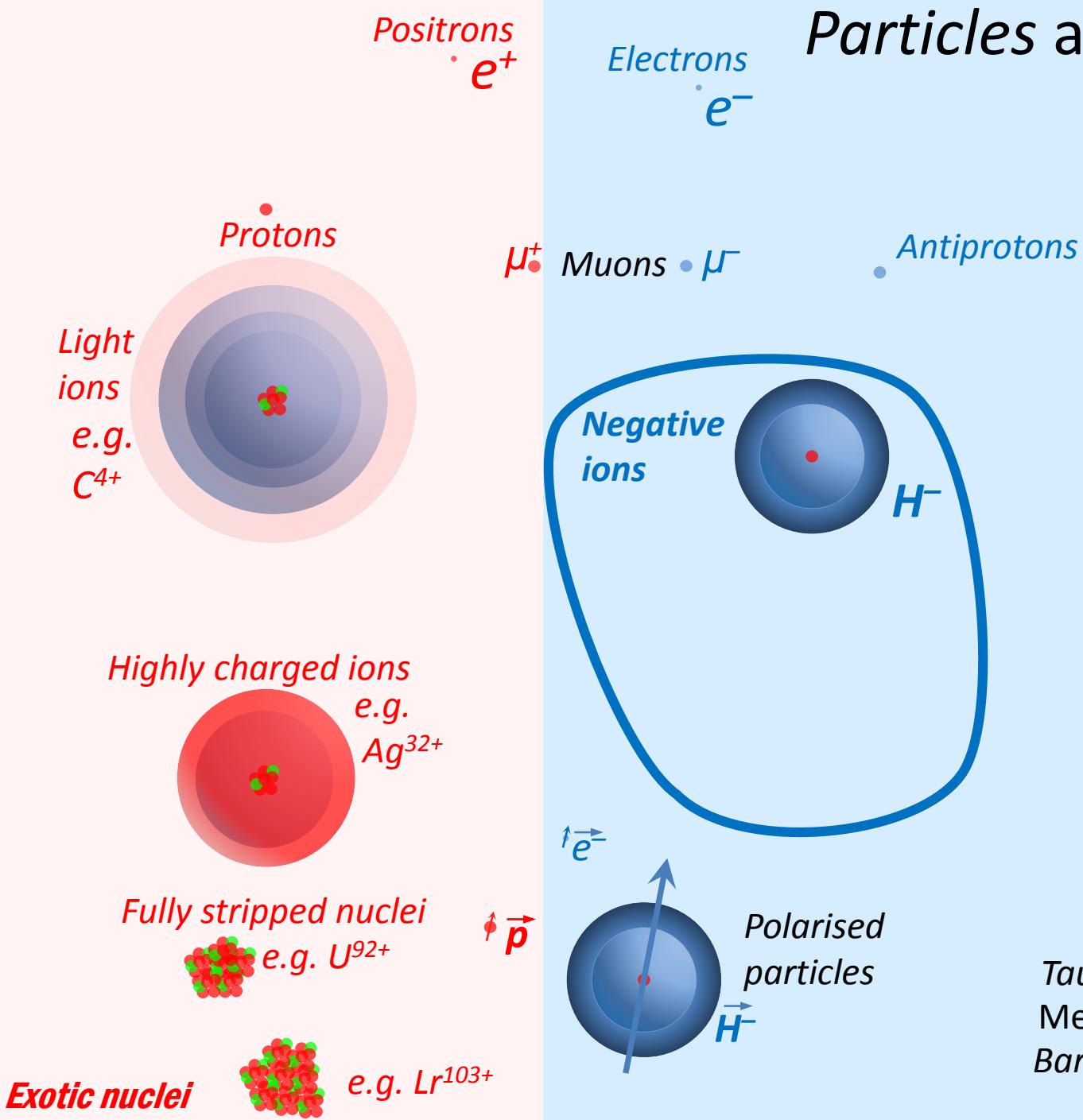
Jim Alessi  
BNL

1.7 emA, 10  $\mu$ s, 5 Hz  
 $\text{Ag}^{32+}$  ions

Fully stripped nuclei can  
be obtained in EBIT mode



# Particles and Sources



Photons  
Neutrinos  $\nu_e \nu_\mu \nu_\tau$   
Neutrons  $n$

Neutral particles  
 $H^0$



Higgs  
Bosons

## Zoo of curiosities

Tauons  
Mesons  
Baryons

$W + Z$   
Bosons

# Negative Ion Sources

Ripping electrons off is easy!

- It is much harder to add them on....

Not all elements will even make negative ions

Hydrogen has an electron affinity of 0.7542 eV

$H^-$  has a much larger cross section than  $H^0$

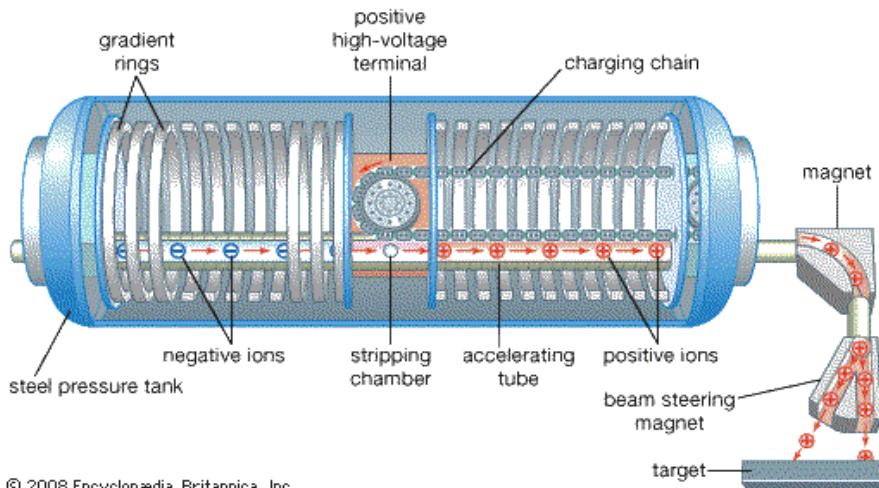
- 30 times for  $e^-$  collisions

- 100 times for  $H^+$  collisions

$H^-$  are very fragile!

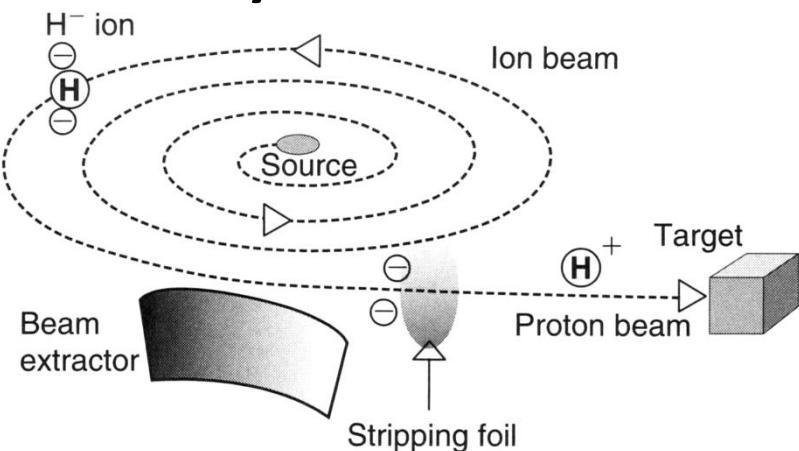
# Applications

## Tandem accelerators

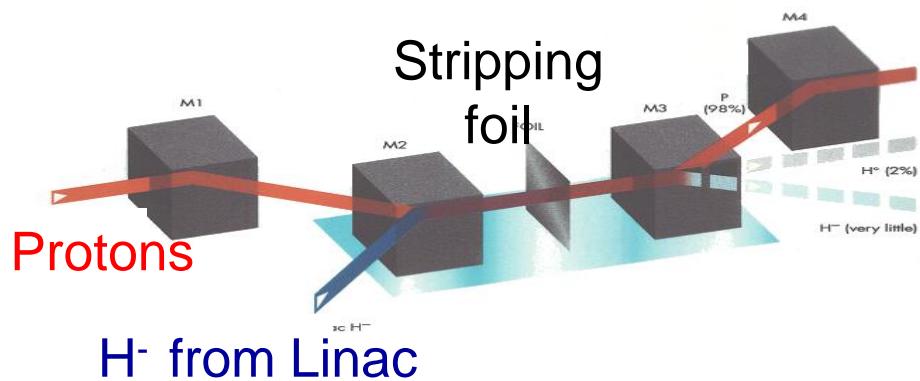


© 2008 Encyclopædia Britannica, Inc.

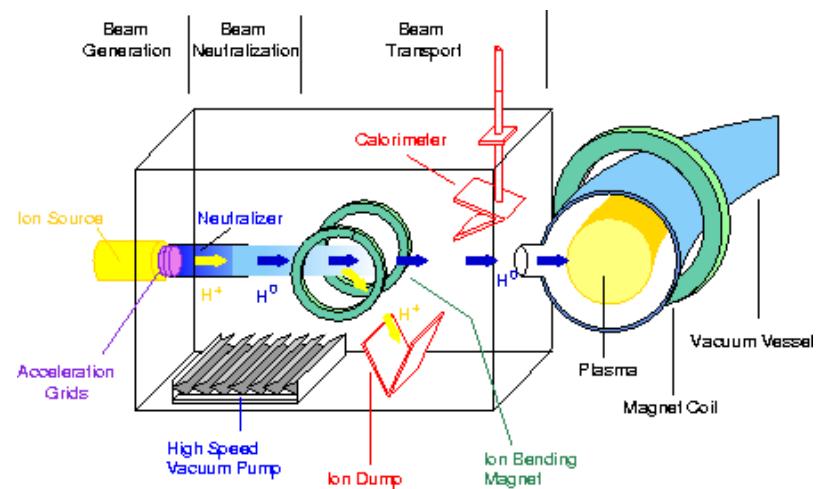
## Cyclotron extraction



## Multi-turn injection into rings



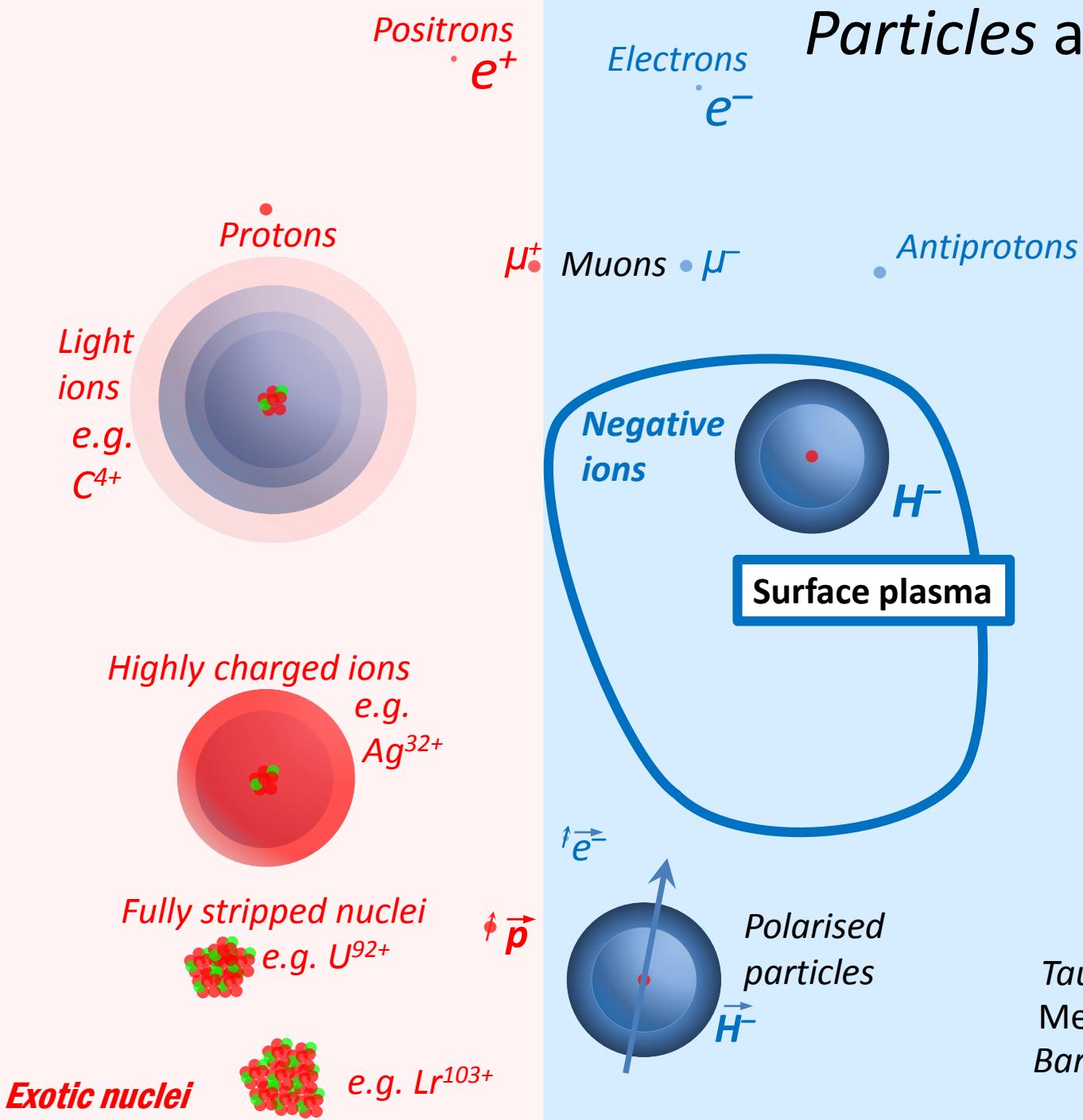
## Neutral Beams



Early attempts at producing negative ion beams:

1. Charge exchange of positive beams in gas cells
  - very inefficient
2. Extraction from existing ion sources

# Particles and Sources



Photons  
Neutrinos  $\nu_e \nu_\mu \nu_\tau$   
Neutrons  $n$

Neutral particles  
 $H^0$



Higgs  
Bosons

Zoo of curiosities  
Tauons  
Mesons  
Baryons

$W + Z$   
Bosons

# Early 1970s Budker Institute of Nuclear Physics Novosibirsk

Production of  $H^-$  ions by surface ionisation with the addition of cesium

## Surface Plasma Sources (SPS)



Gennady Dimov



Yuri Belchenko



Vadim Dudnikov

# Caesium! – The magic elixir



More reactive  
↓

Periodic Table of the Elements																										
	1	H	2	He																						
	3	Li	4	Be	5	B	6	C	7	N	8	O	9	F	10	Ne										
	11	Na	12	Mg	13	Al	14	Si	15	P	16	S	17	Cl	18	Ar										
	19	K	20	Ca	21	Sc	22	Ti	23	V	24	Cr	25	Mn	26	Fe	27	Co	28	Ni	29	Cu	30	Zn		
	37	Rb	38	Sr	39	Y	40	Zr	41	Nb	42	Mo	43	Tc	44	Ru	45	Rh	46	Pd	47	Ag	48	Cd		
	55	Cs	56	Ba	57	La	72	Hf	73	Ta	74	W	75	Re	76	Os	77	Ir	78	Pt	79	Au	80	Hg		
	87	Fr	88	Ra	89	Ac	104	Unq	105	Unp	106	Unh	107	Uns	108	Uno	109	Une	110	Unn						



1 electron in  
the outer  
orbital

58	Ce	59	Pr	60	Nd	61	Pm	62	Sm	63	Eu	64	Gd	65	Tb	66	Dy	67	Ho	68	Er	69	Tm	70	Yb	71	Lu
90	Th	91	Pa	92	U	93	Np	94	Pu	95	Am	96	Cm	97	Bk	98	Cf	99	Es	100	Fm	101	Md	102	No	103	Lr

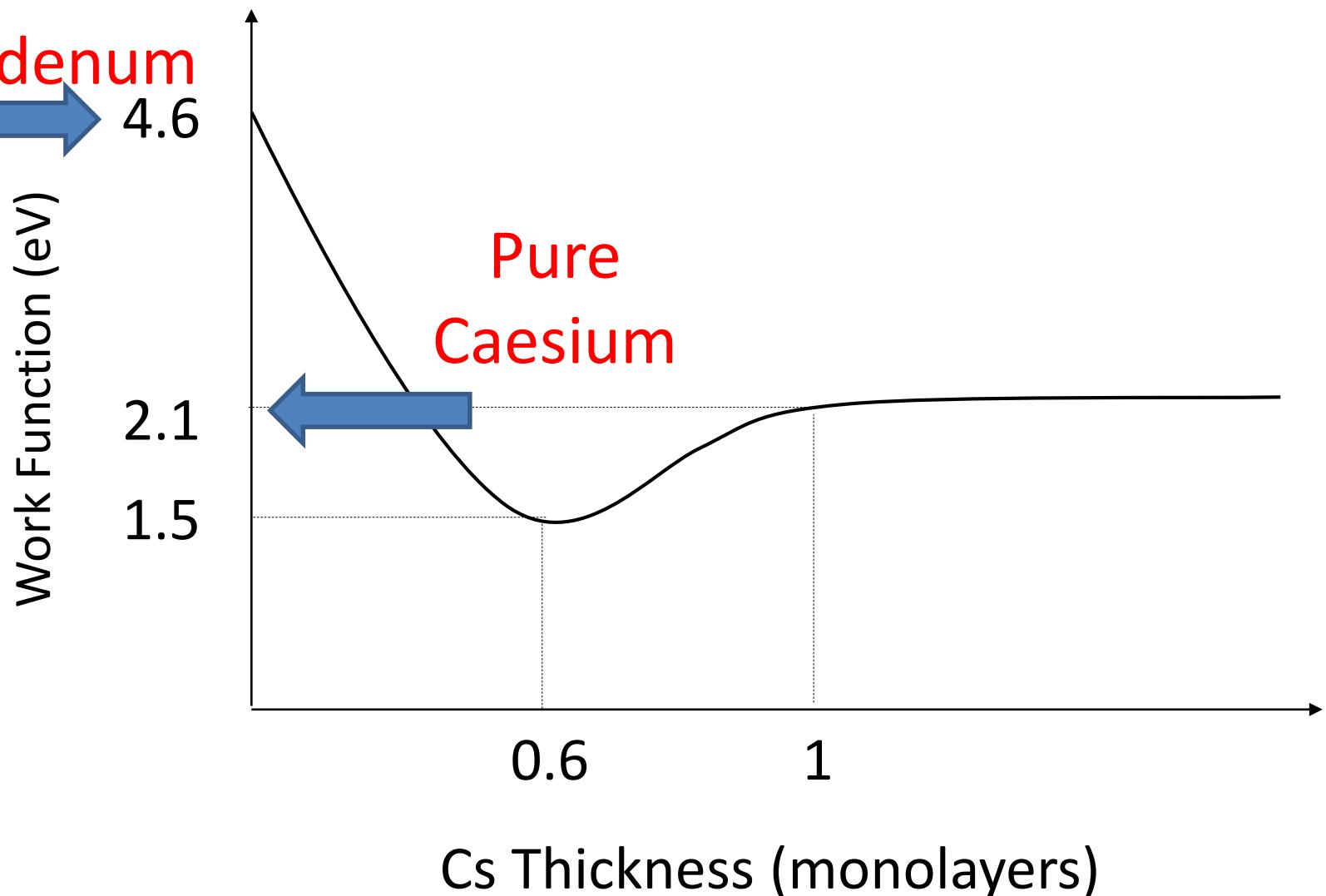
An amazing donor of electrons  
= great for making negative ions

5 g Caesium  
Ampoule

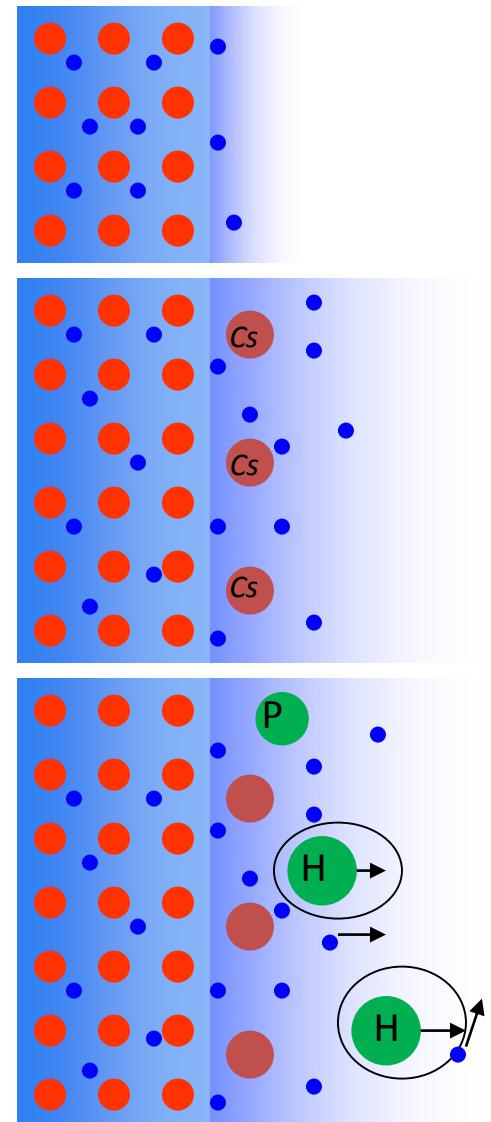
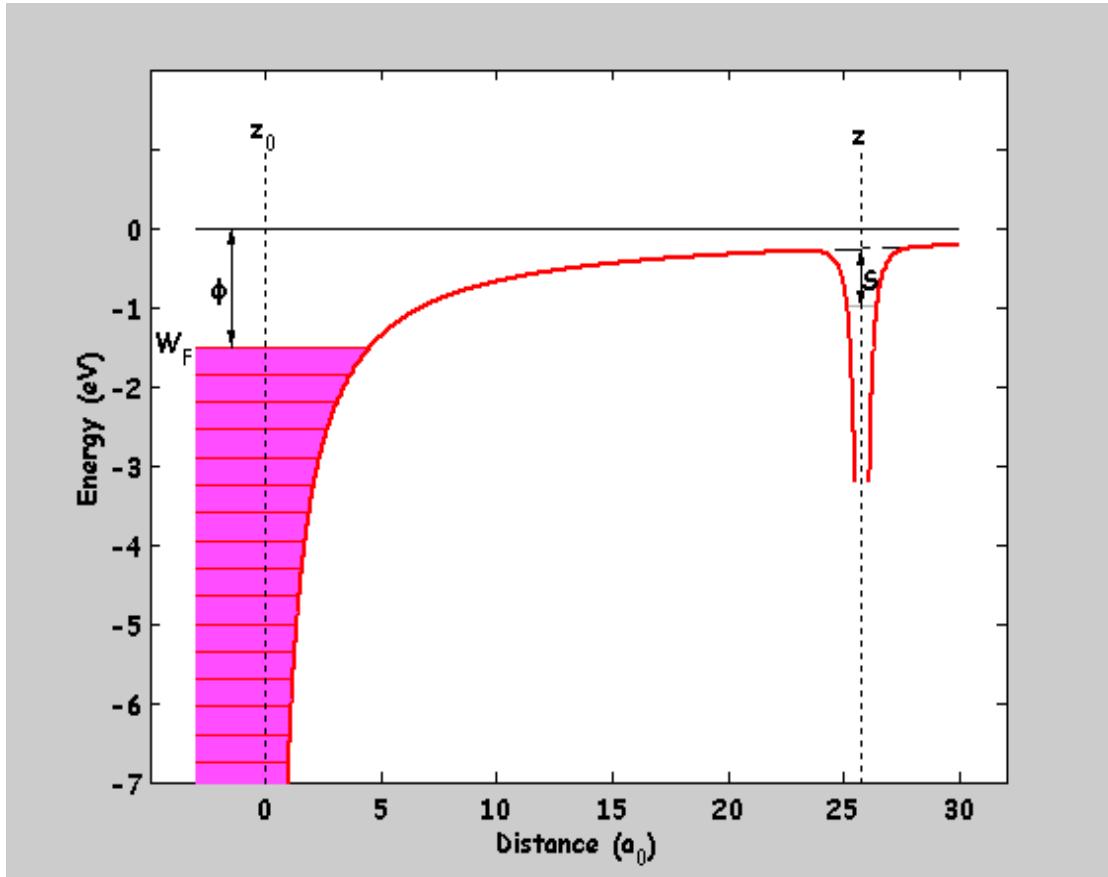


# Caesium coverage and work function

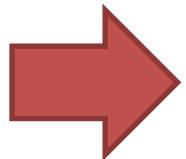
Pure  
molybdenum



# Fermilevels

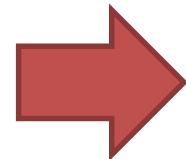


Caesium  
oven

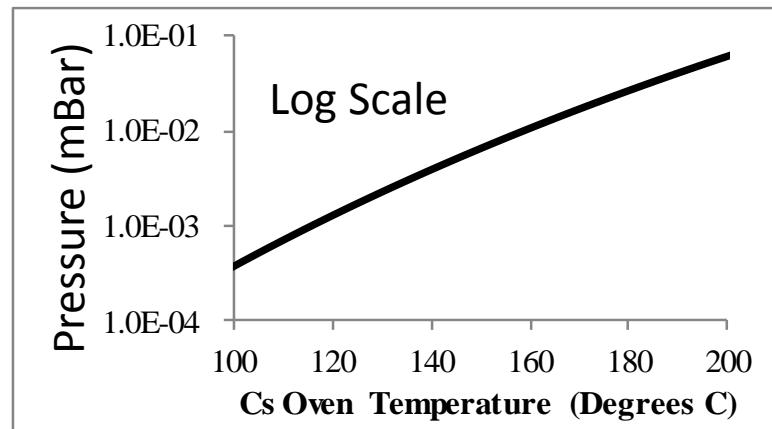
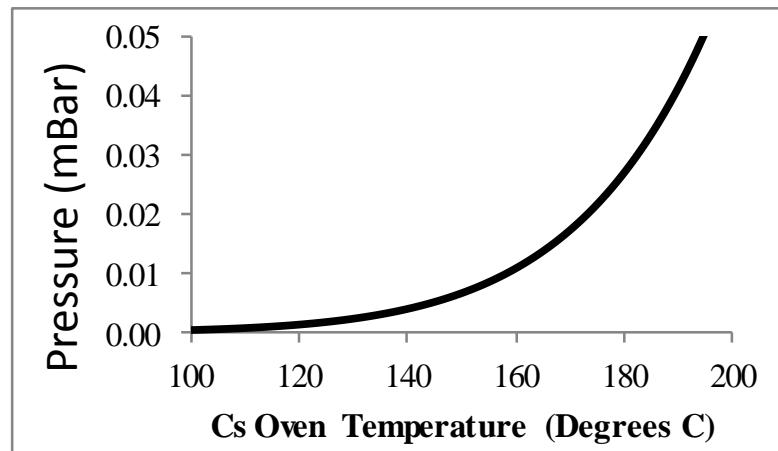


temperature

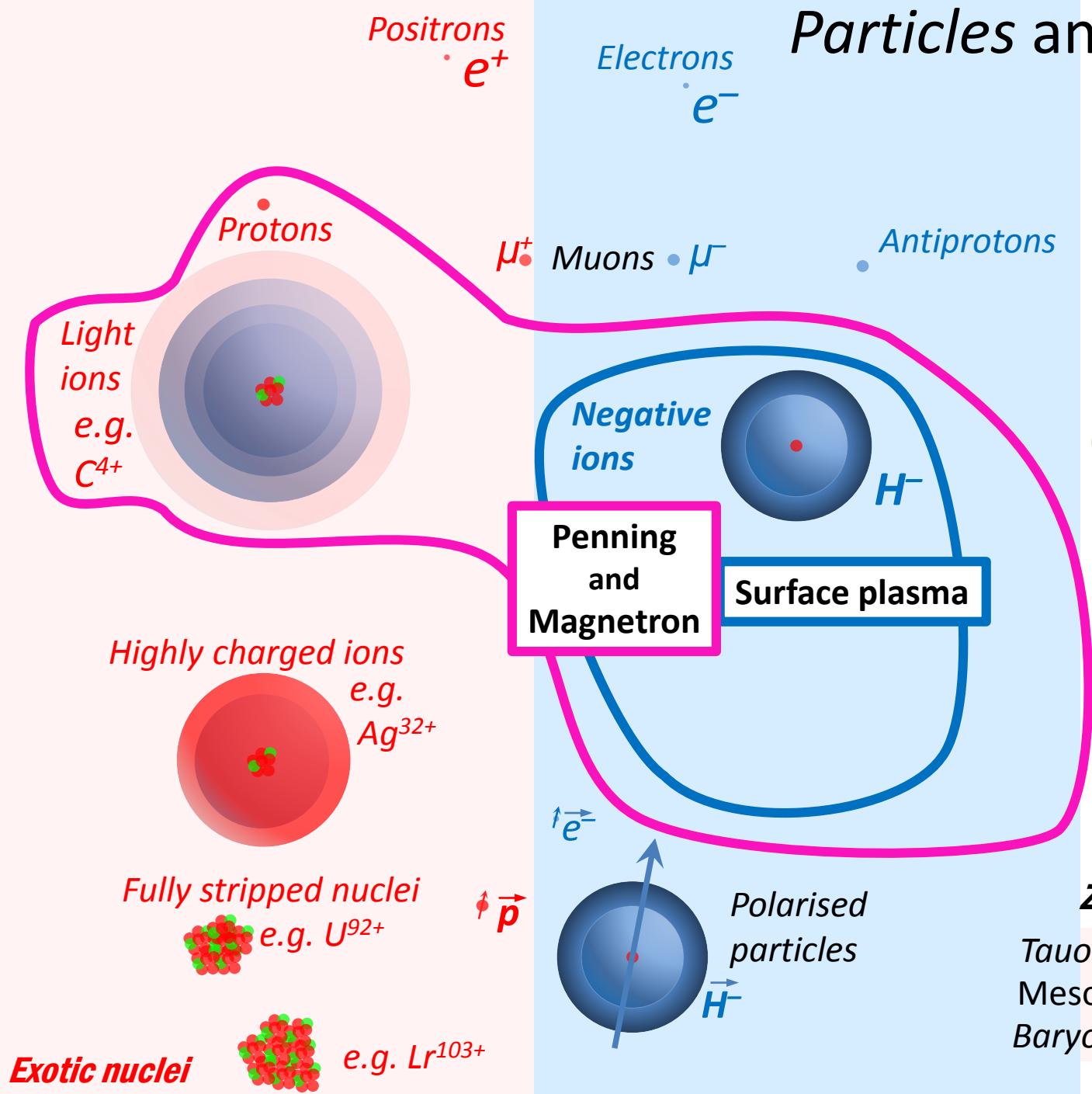
Caesium  
vapor  
pressure



Control  
caesium  
coverage



# Particles and Sources



Photons

Neutrinos  $\nu_e \nu_\mu \nu_\tau$

Neutrons  $n$

Neutral particles

$H^0$



Higgs  
Bosons

## Zoo of curiosities

Tauons

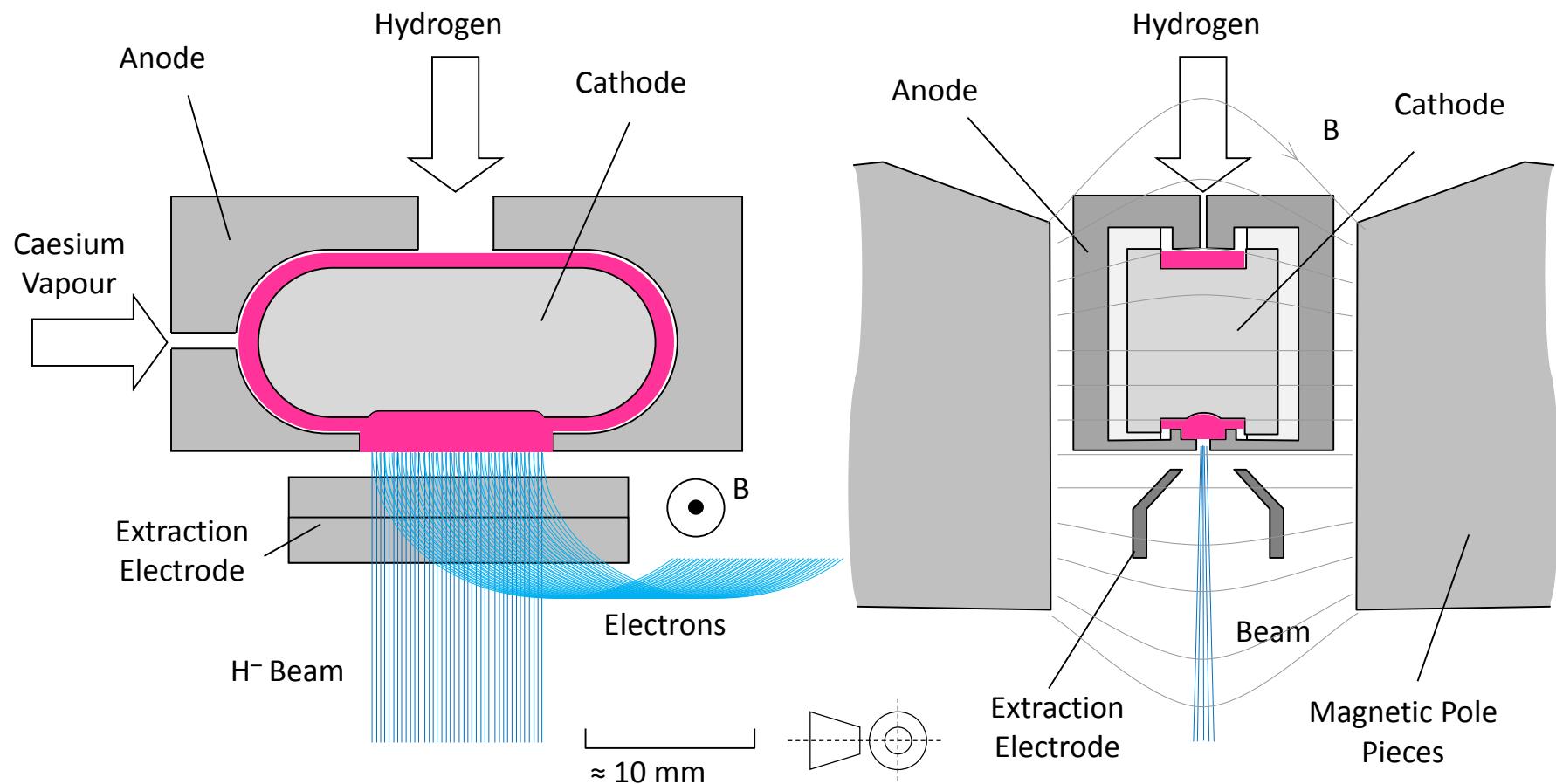
Mesons

Baryons

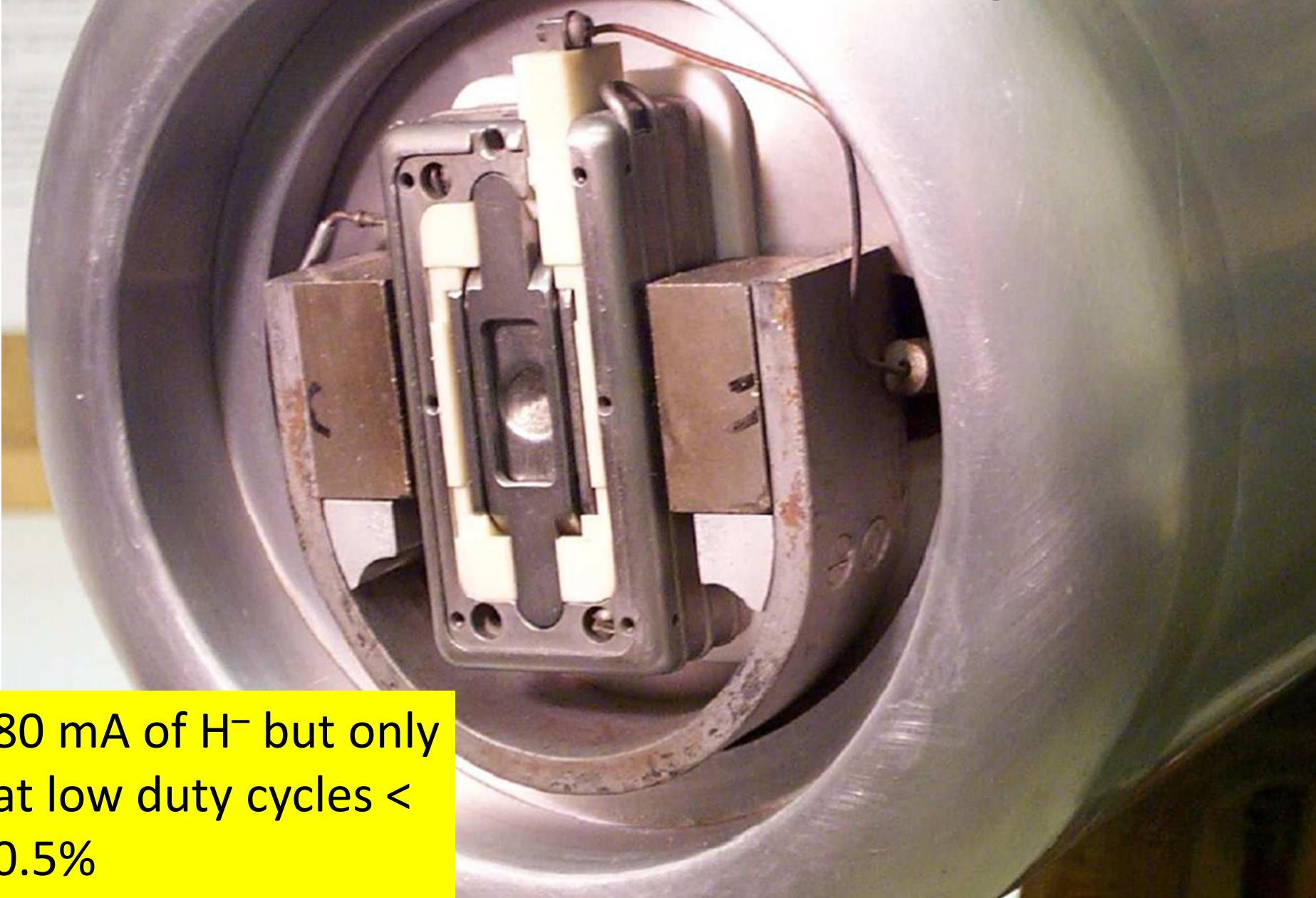
$W + Z$

Bosons

# Magnetron SPS



# BNL Magnetron

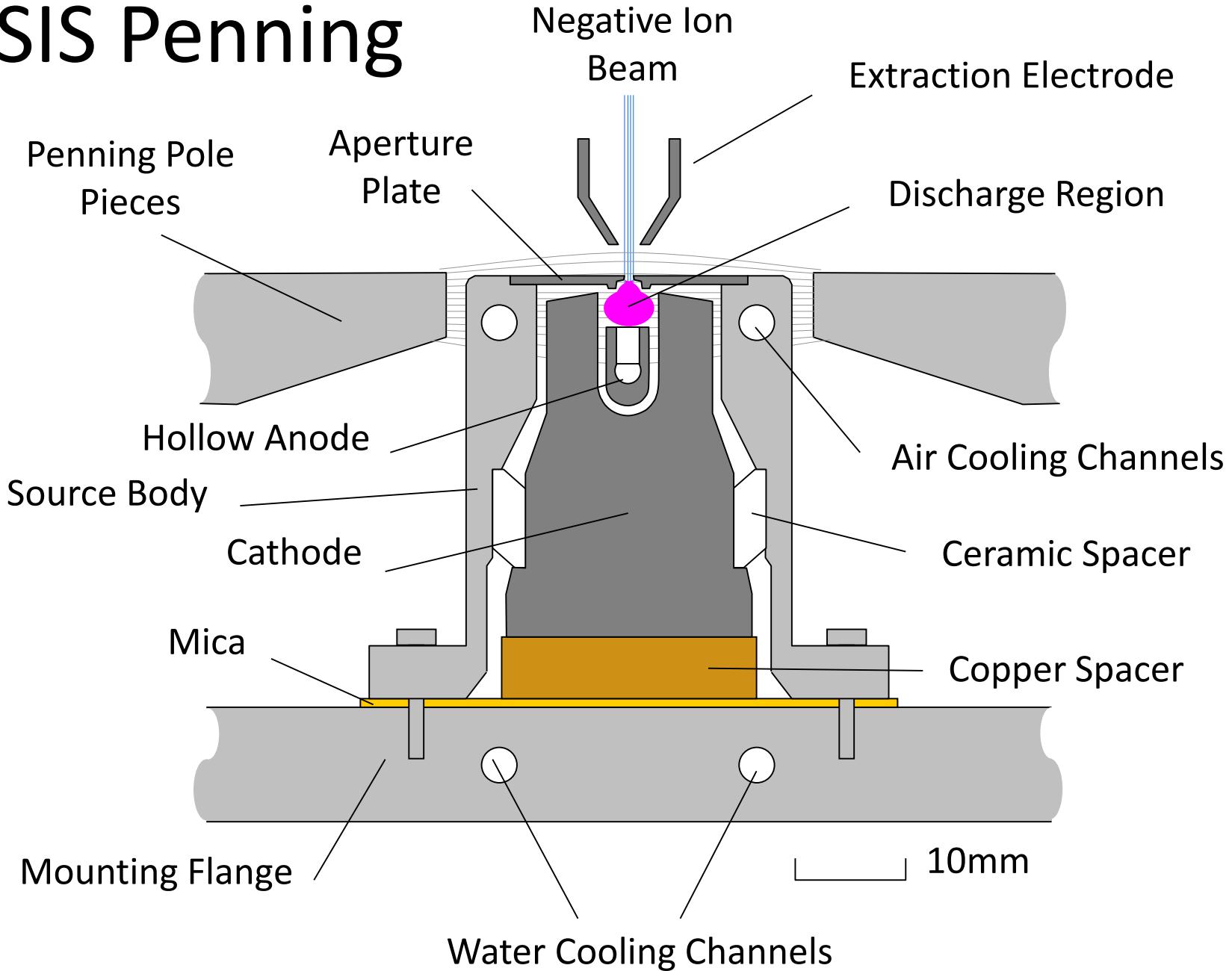


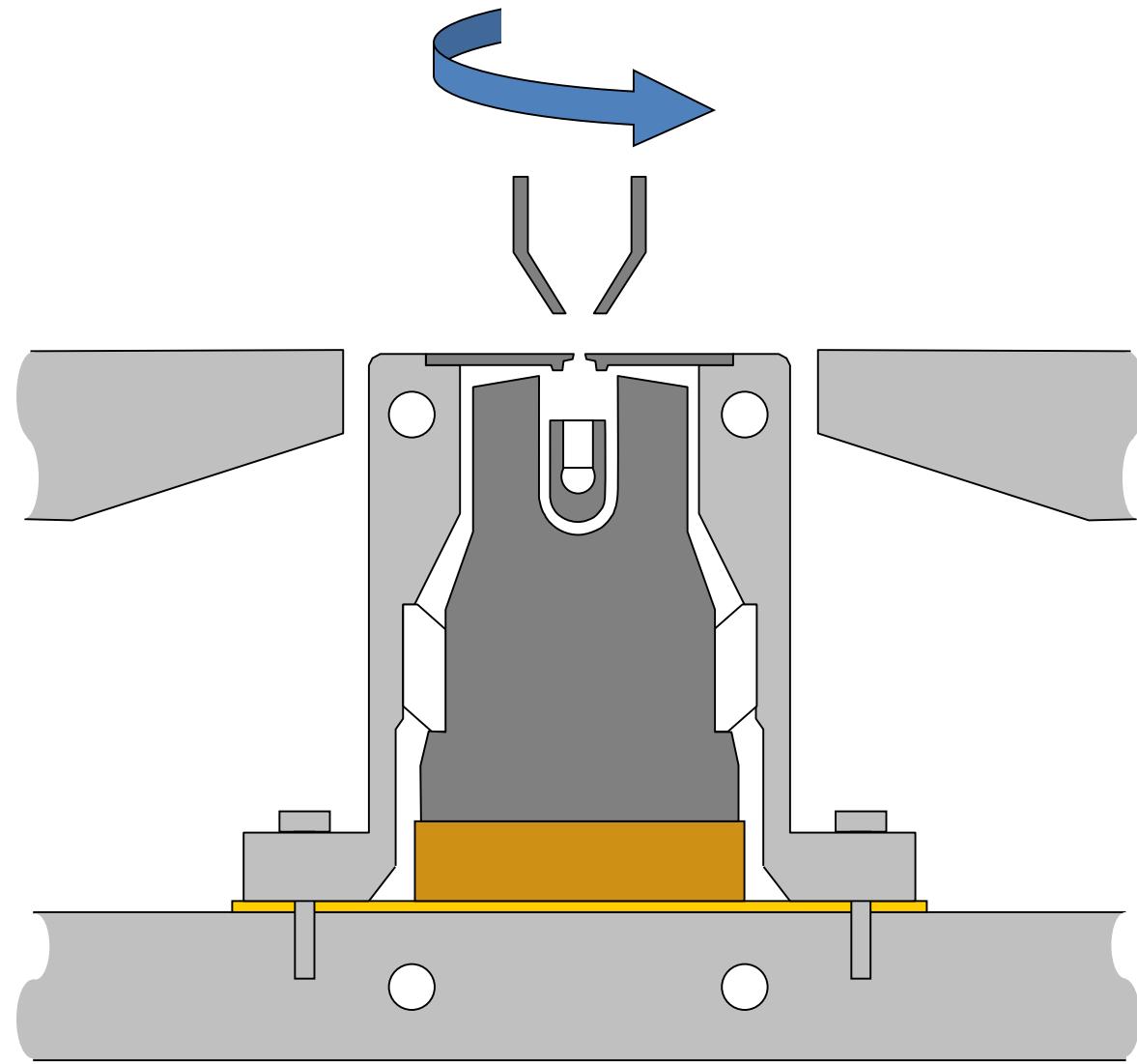
80 mA of  $H^-$  but only  
at low duty cycles <  
0.5%

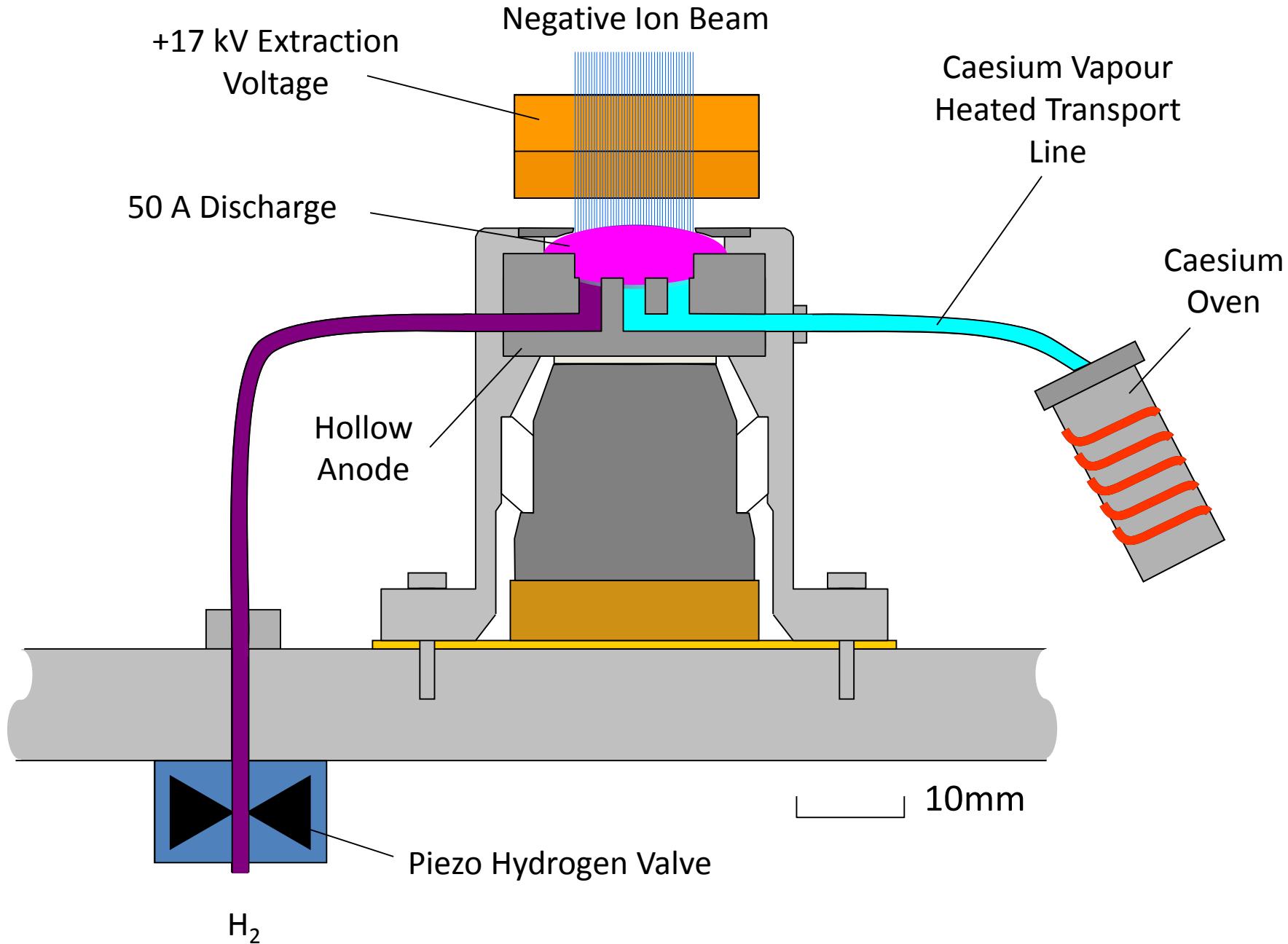
# Penning SPS

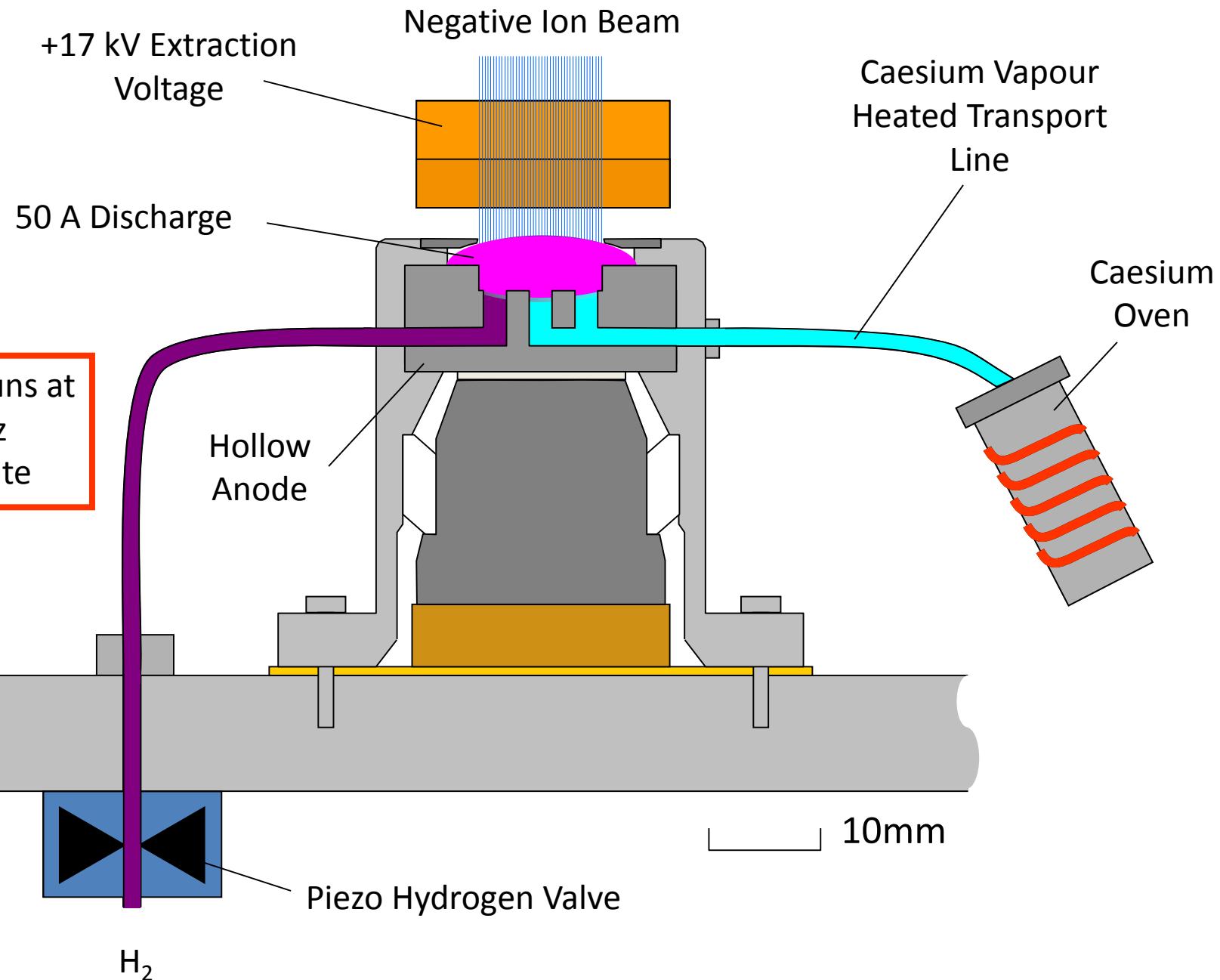
- Invented by Dudnikov in the 1970's
- Very high current density  $> 1 \text{ Acm}^{-2}$
- Low noise
- Does not work without cesium

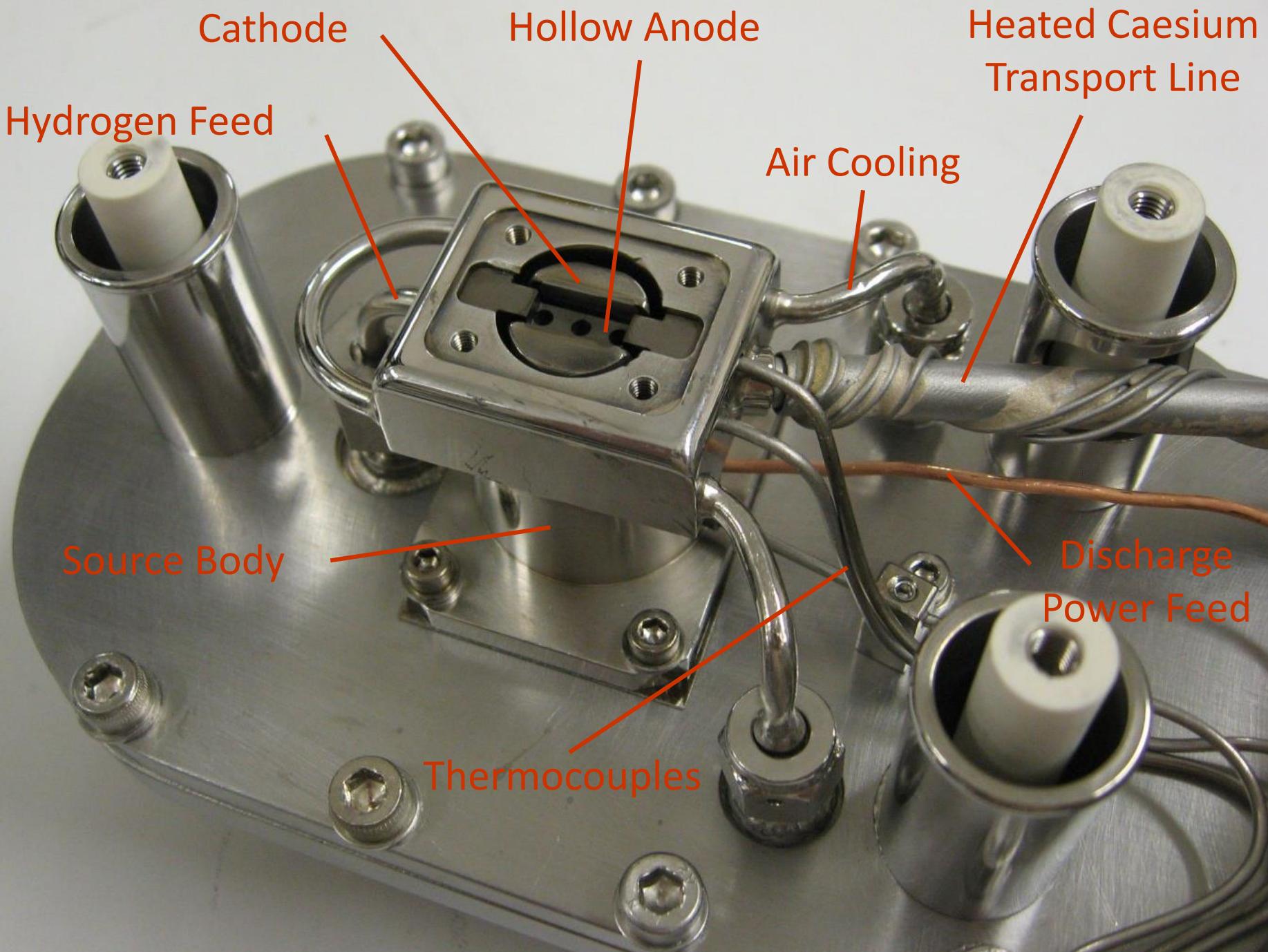
# ISIS Penning



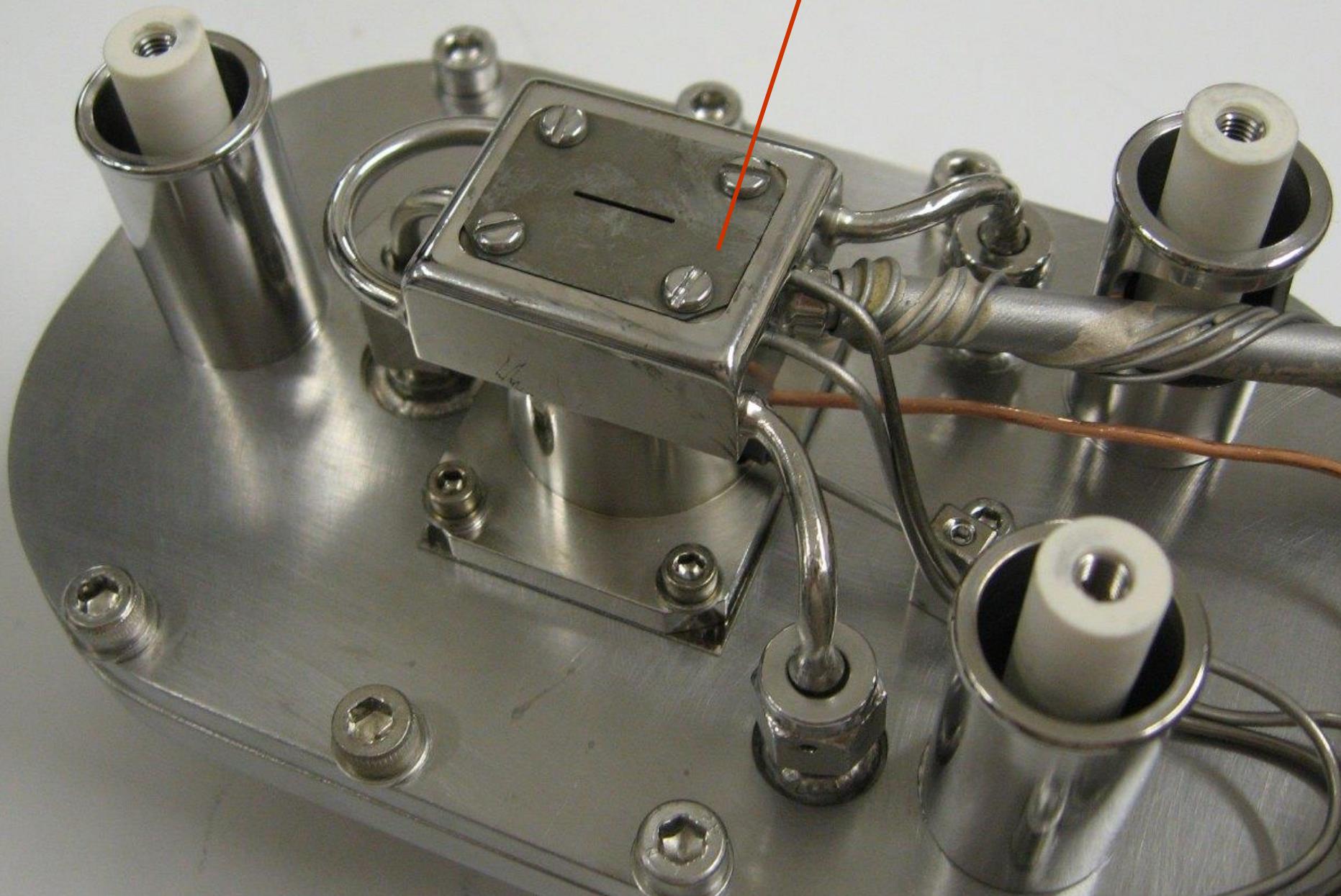


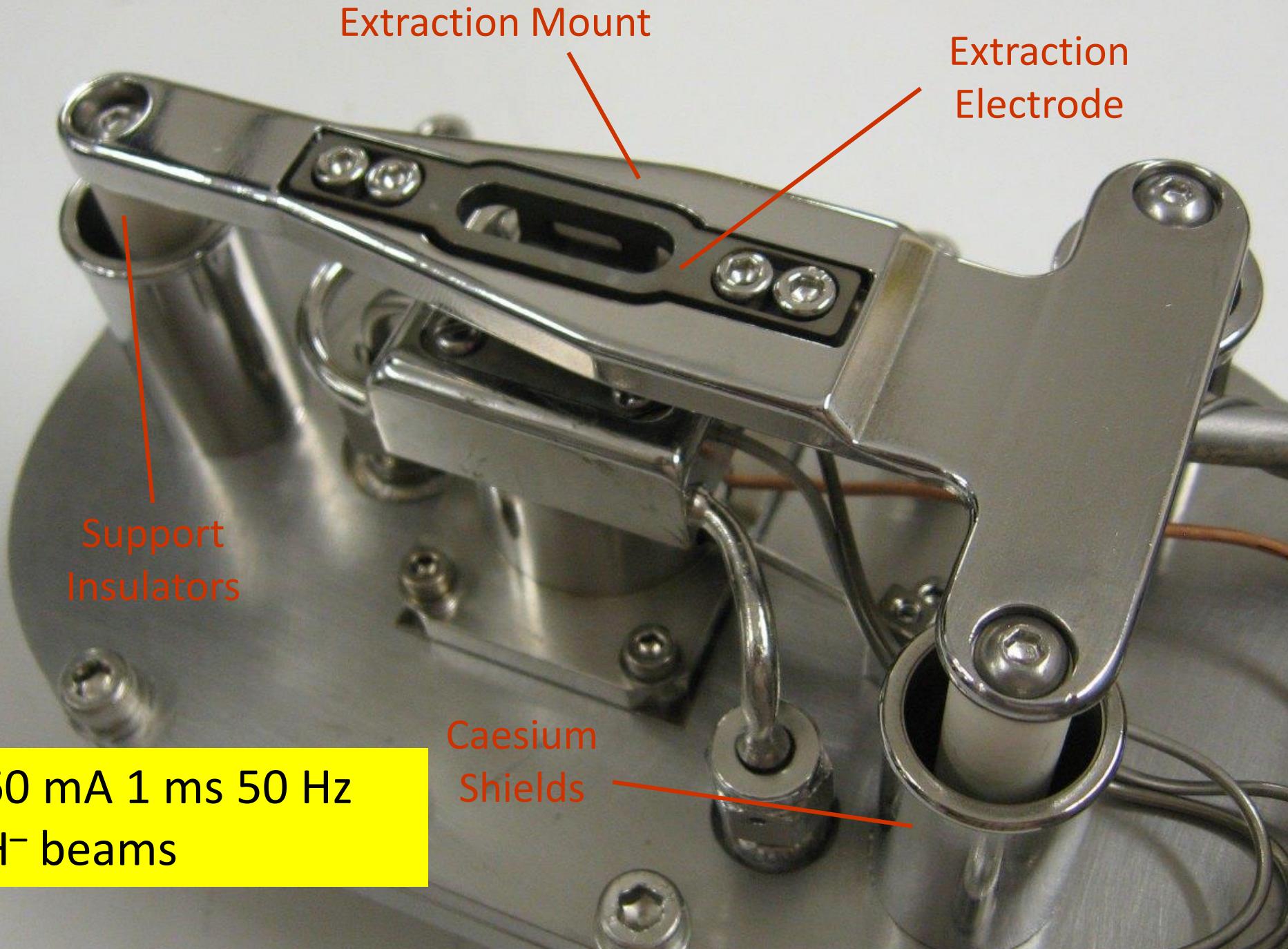




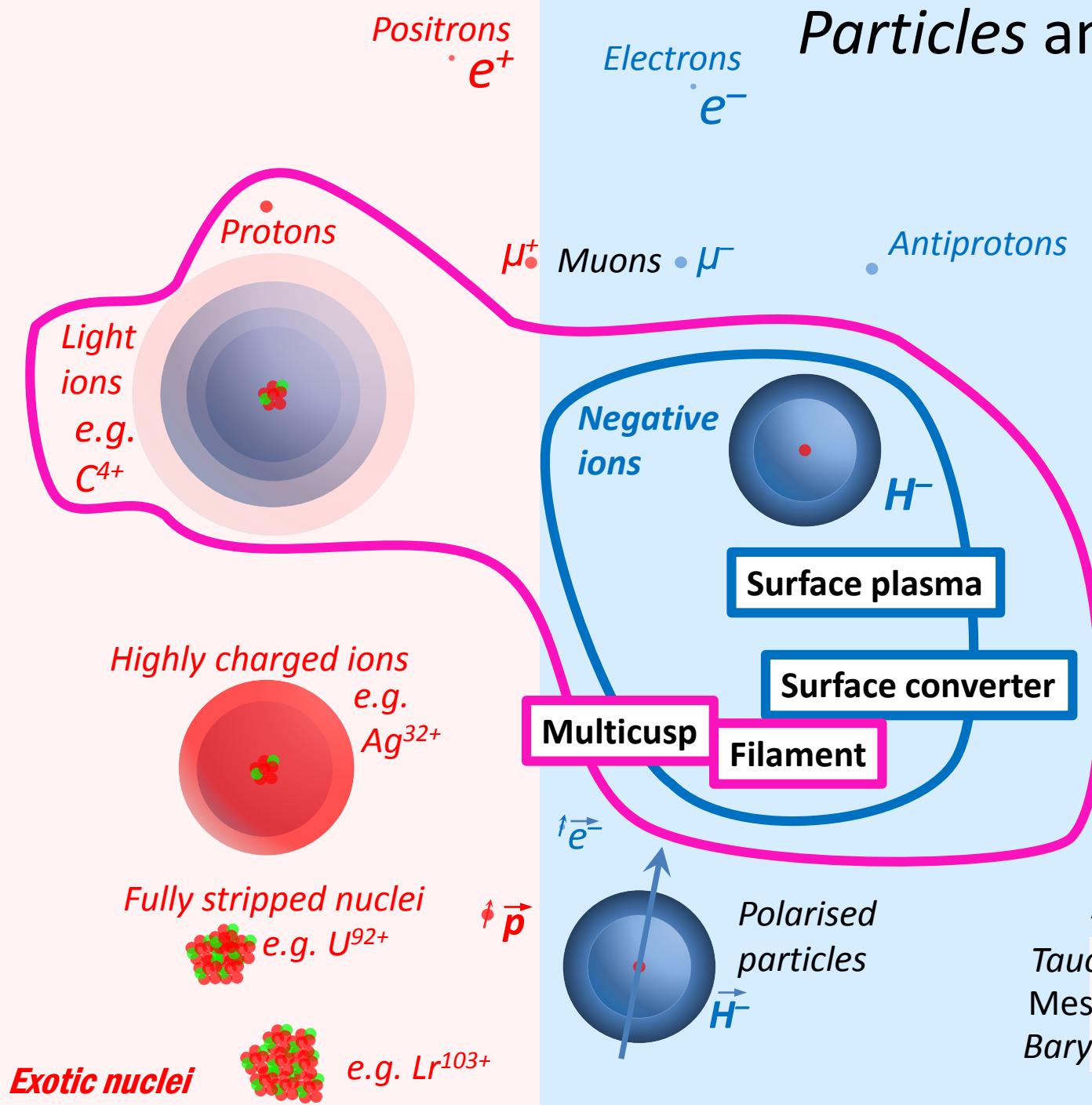


Aperture Plate





# Particles and Sources



Photons  
Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$   
Neutrons  
 $n$

Neutral  
particles  
 $H^0$

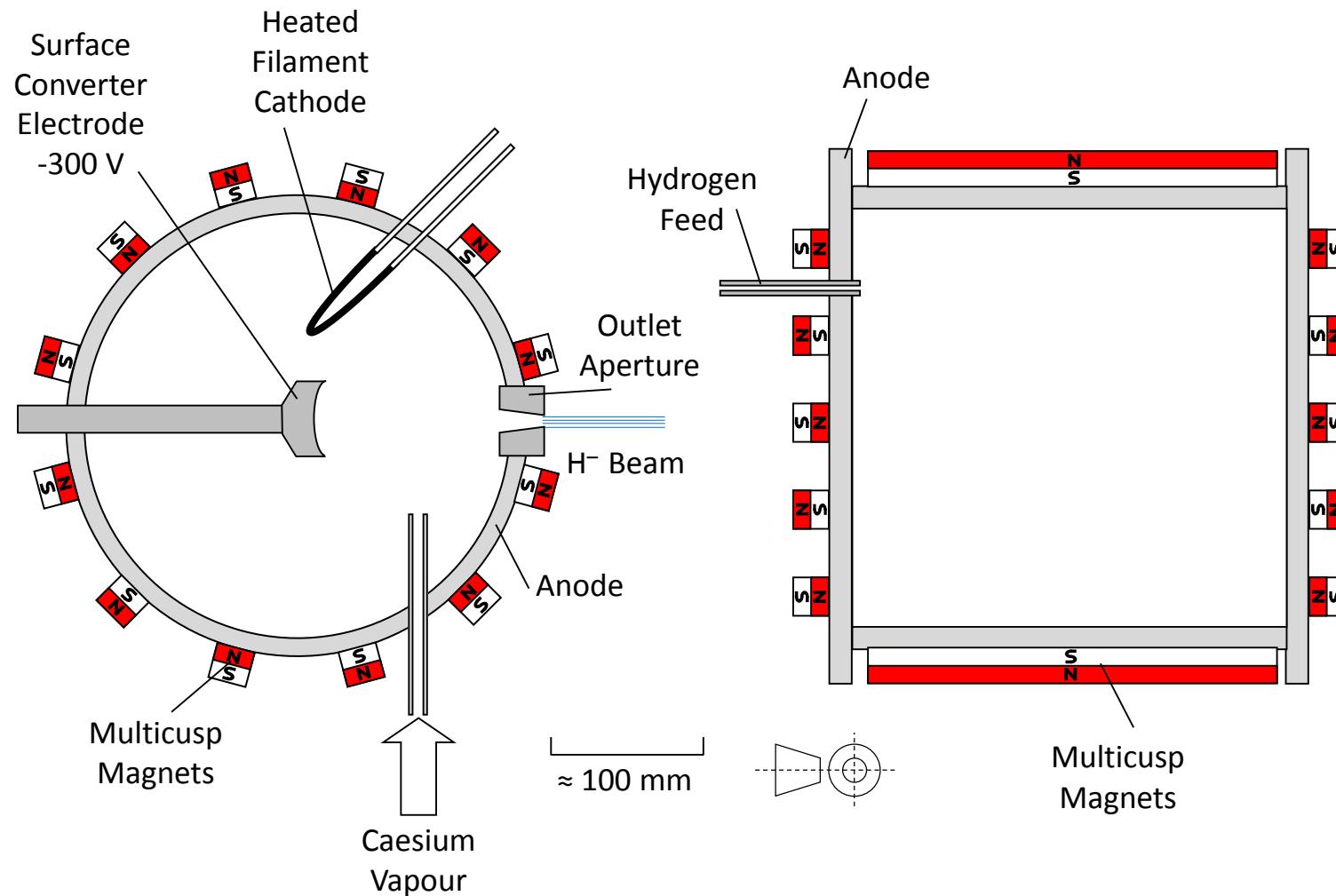


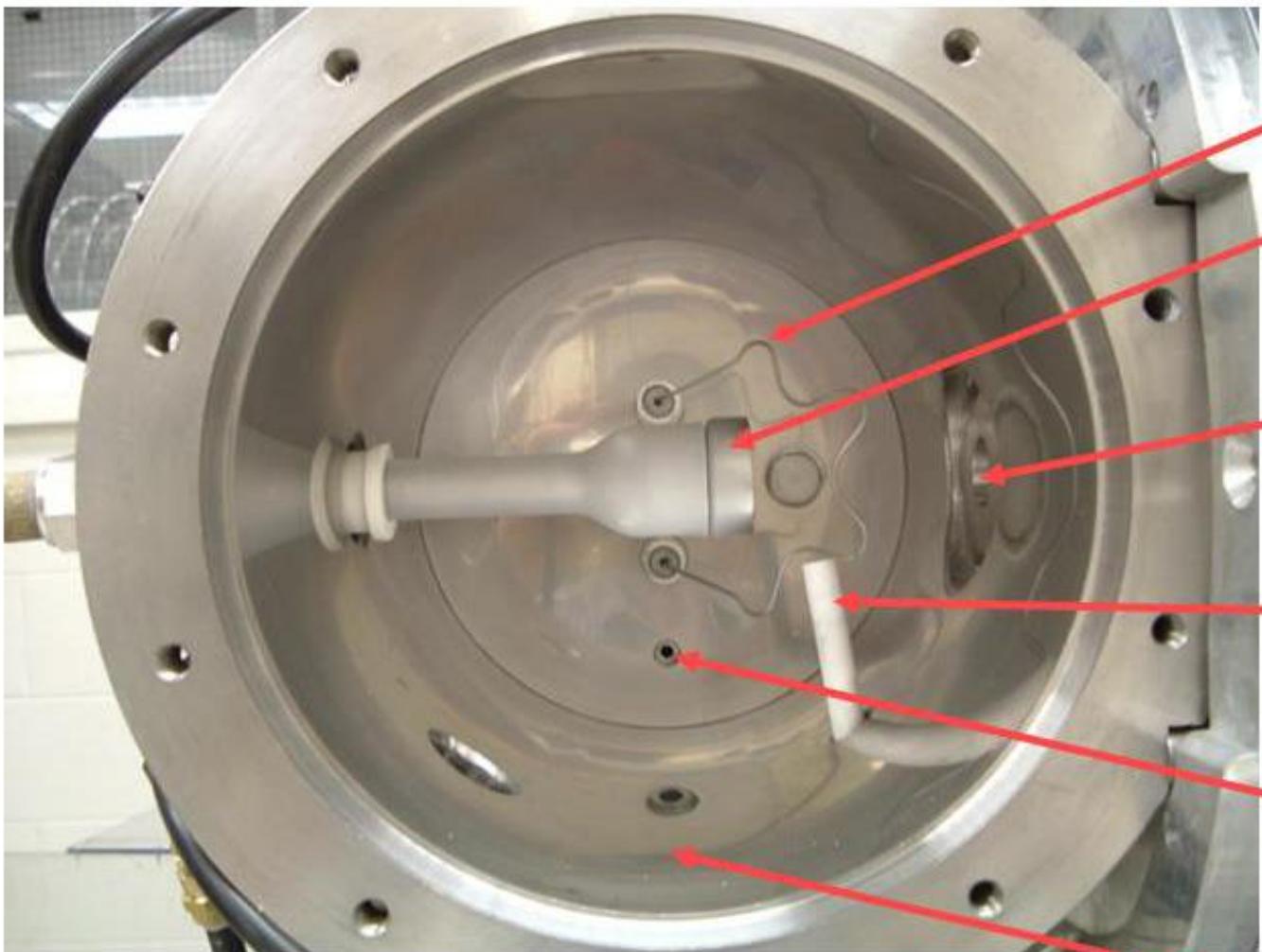
Higgs  
Bosons

Zoo of curiosities  
Tauons  
Mesons  
Baryons

$W + Z$   
Bosons

# Filament Cathode Multicusp Surface Converter Source

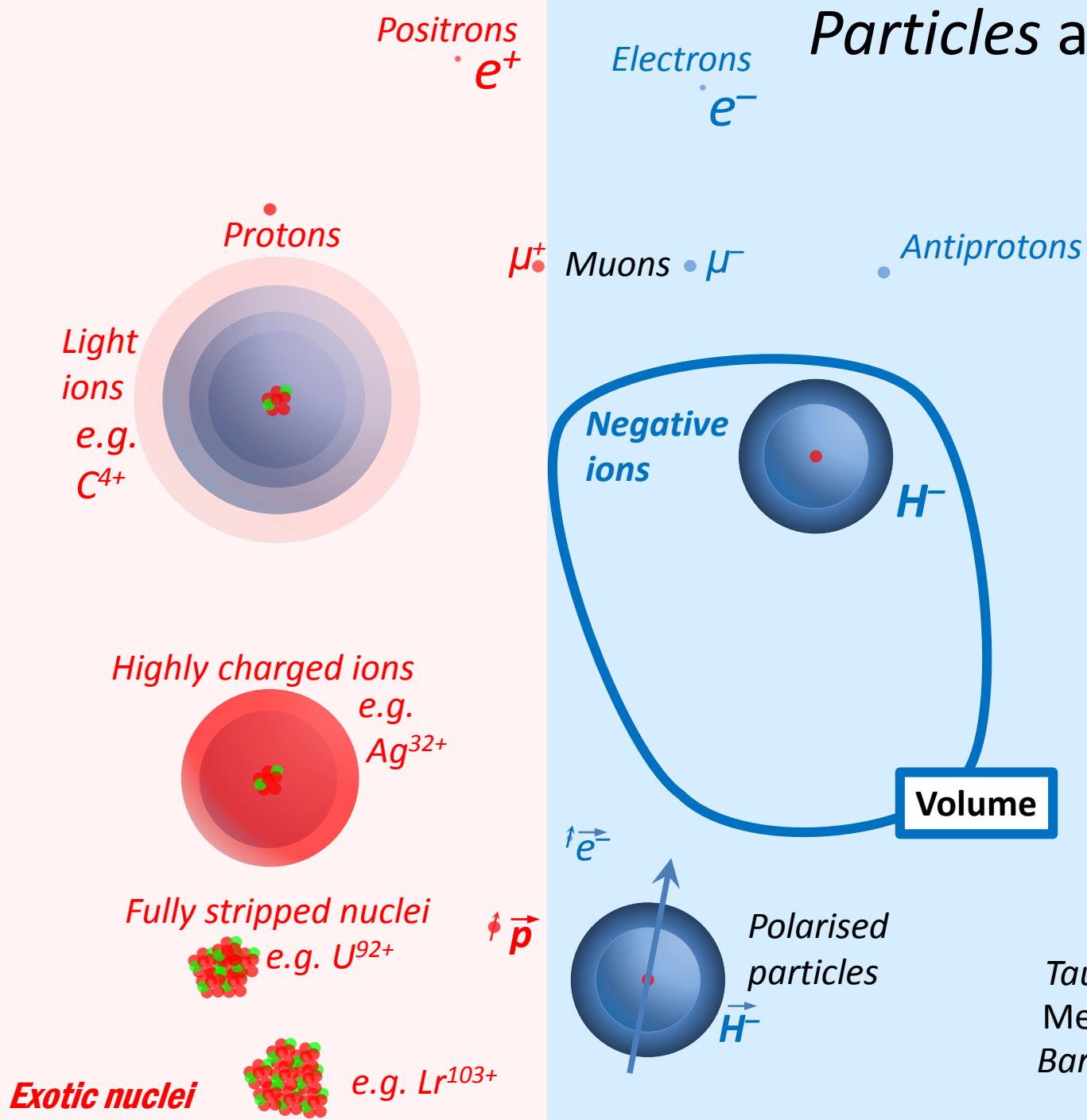




- Filament
- Converter electrode
- Repeller electrode
- Cesium dispenser
- Hydrogen Gas Port
- Plasma Chamber Wall

LANL: 18 mA 1 ms 120 Hz H<sup>-</sup> beam

# Particles and Sources





Marthe Bacal  
Ecole Polytechnique  
mid 1970's

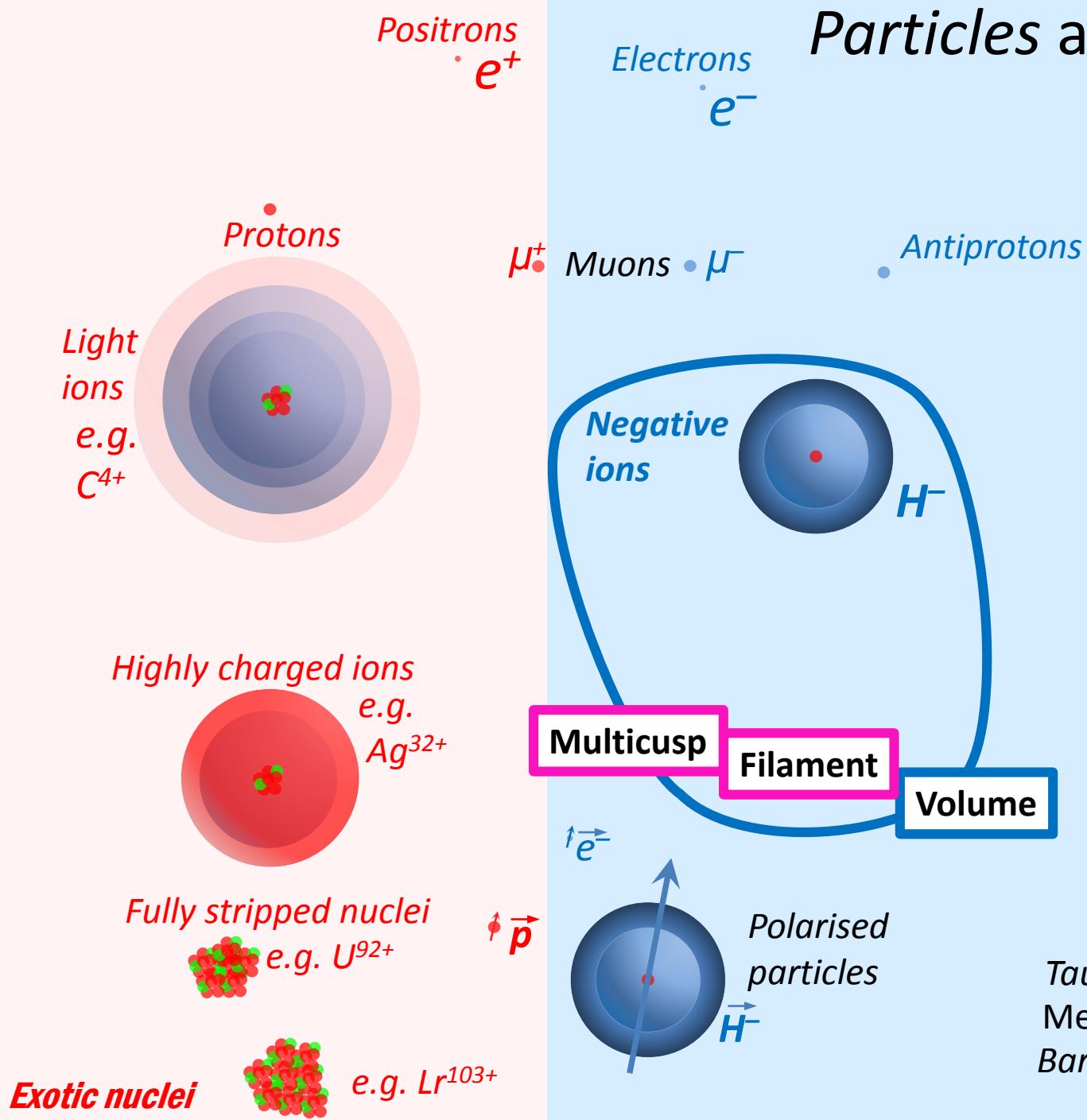
# Volume Production



Dissociative attachment  
of low energy electrons  
to rovibrationally excited  
 $\text{H}_2$  molecules

Developed by Ehlers + Leung at LBNL

# Particles and Sources



Photons  
Neutrinos  
 $\nu_e \nu_\mu \nu_\tau$   
Neutrons  
 $n$

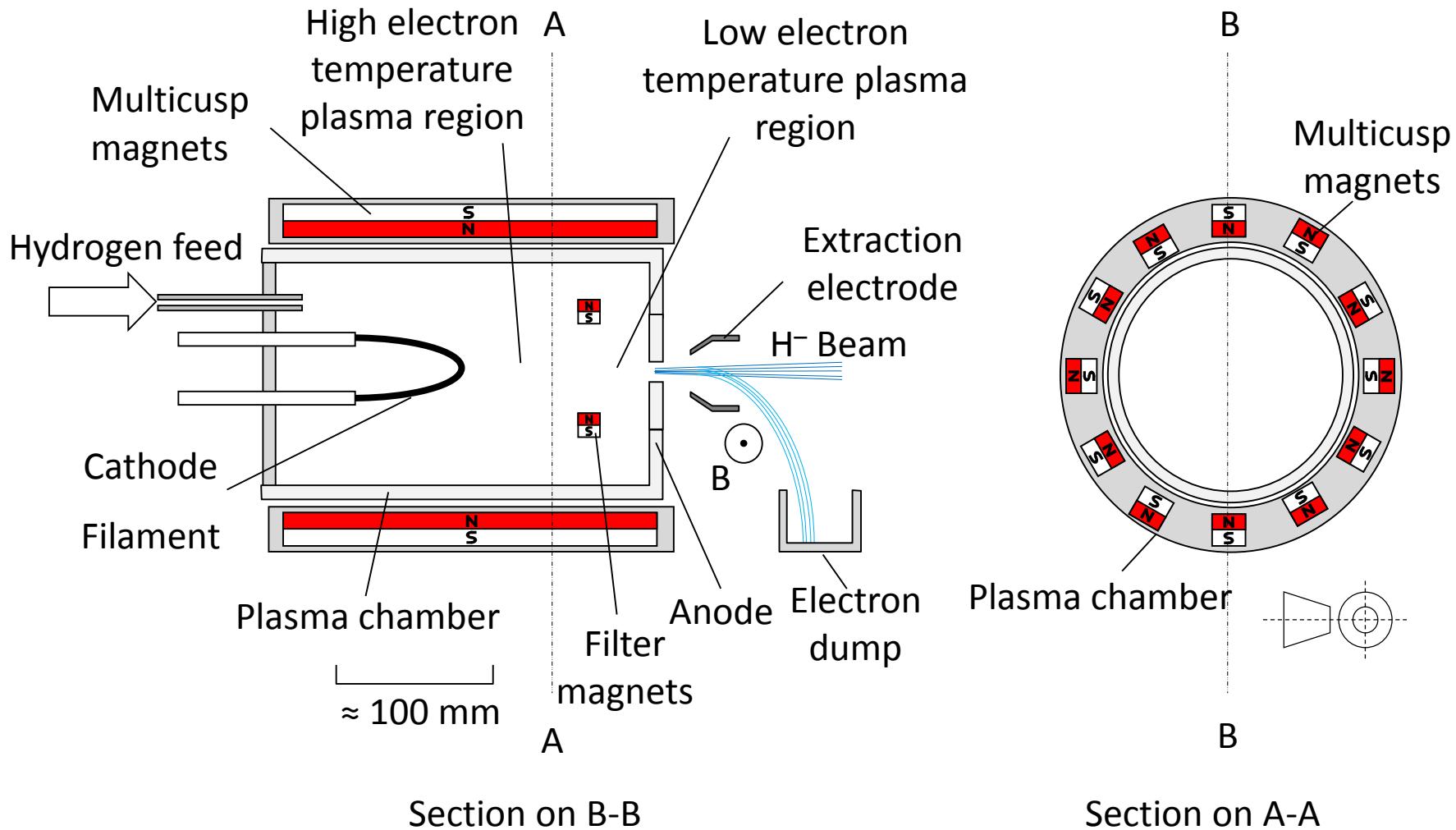
Neutral particles  
 $H^0$

Higgs Bosons

Zoo of curiosities  
Tauons  
Mesons  
Baryons

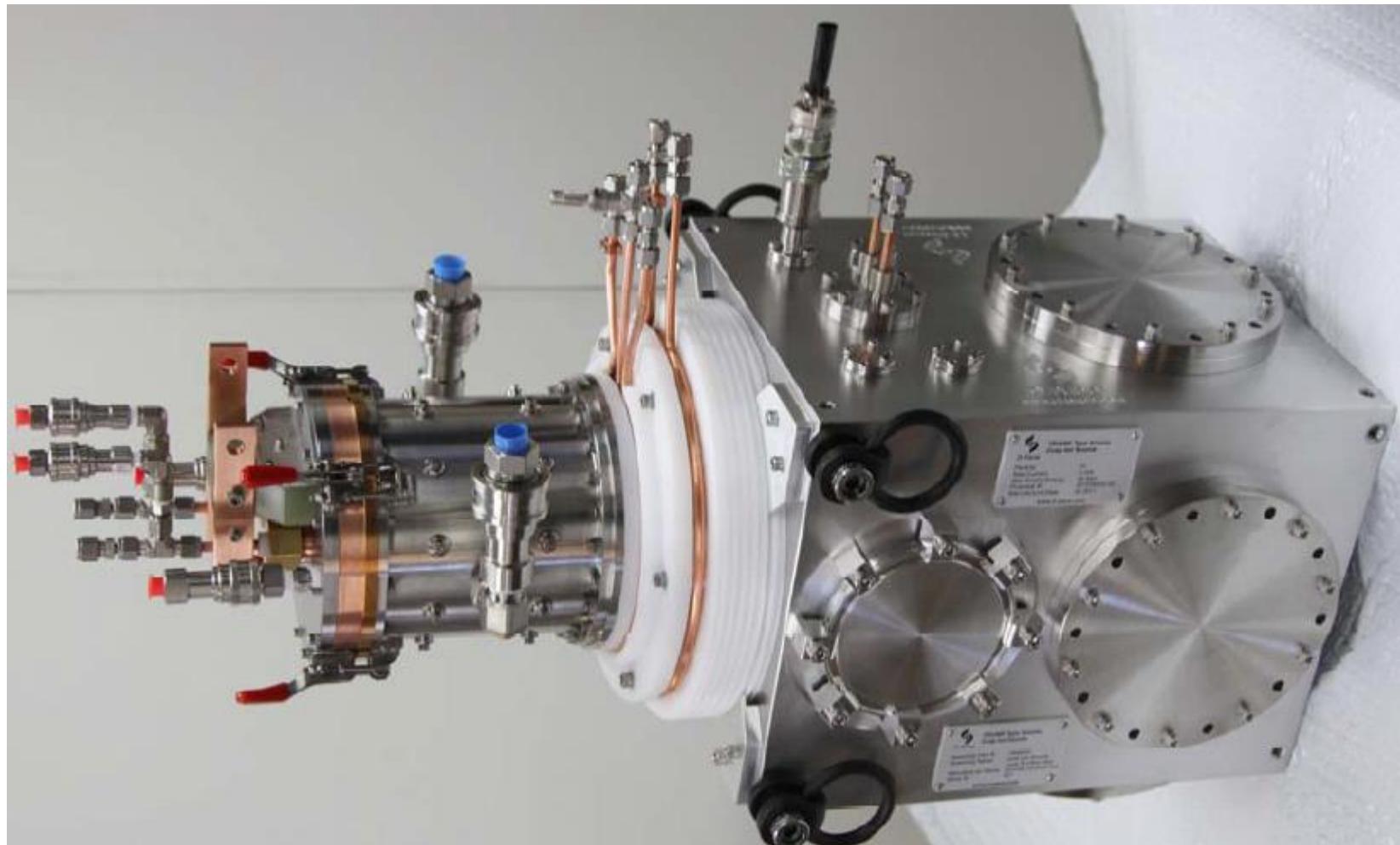
$W + Z$   
Bosons

# Multicusp Filament Volume Source

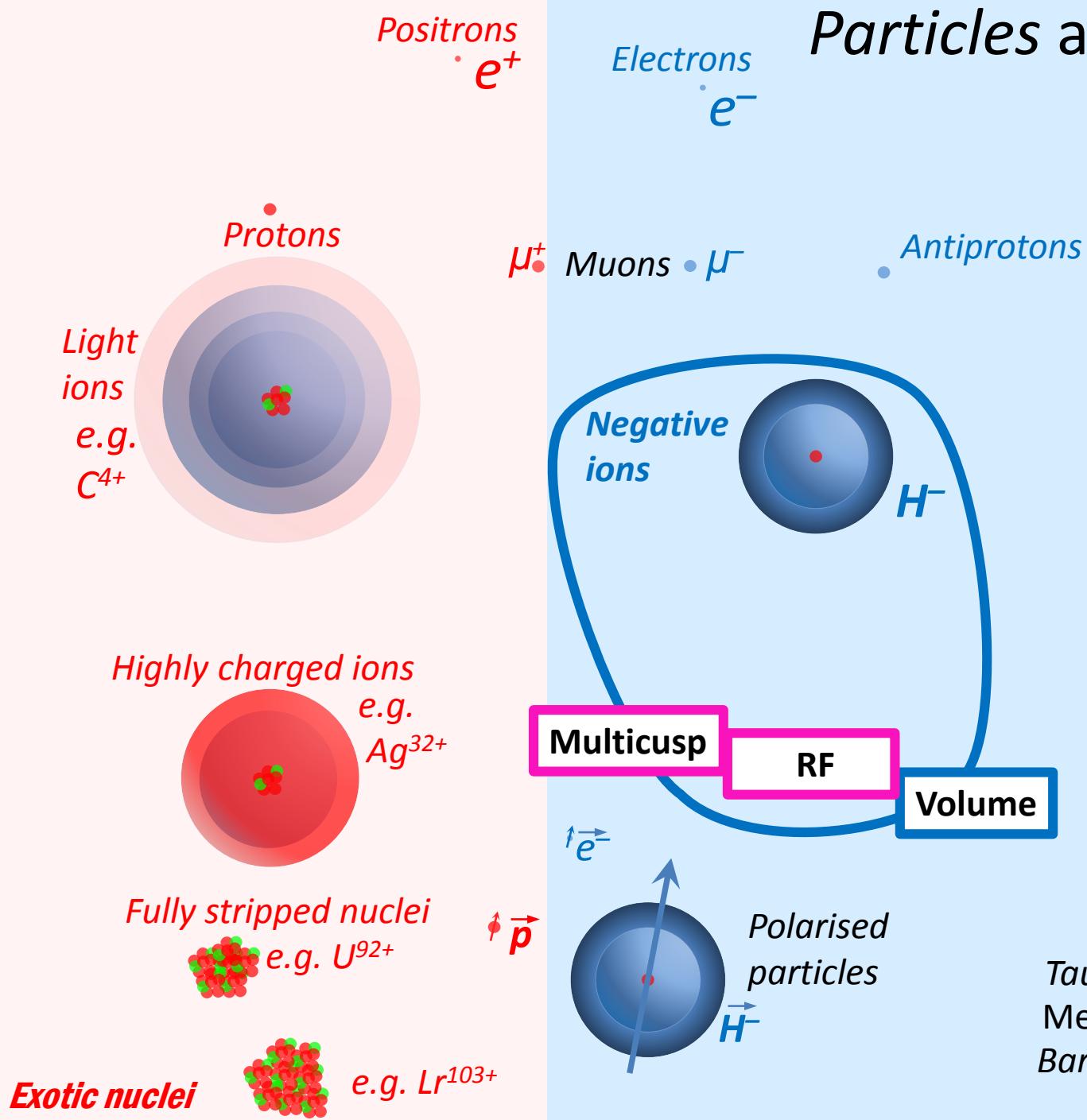


Many Variations: e.g. JPARC use a LaB<sub>6</sub> cathode

# D-Pace 15 mA DC H<sup>-</sup> Multicusp Volume Source



# Particles and Sources



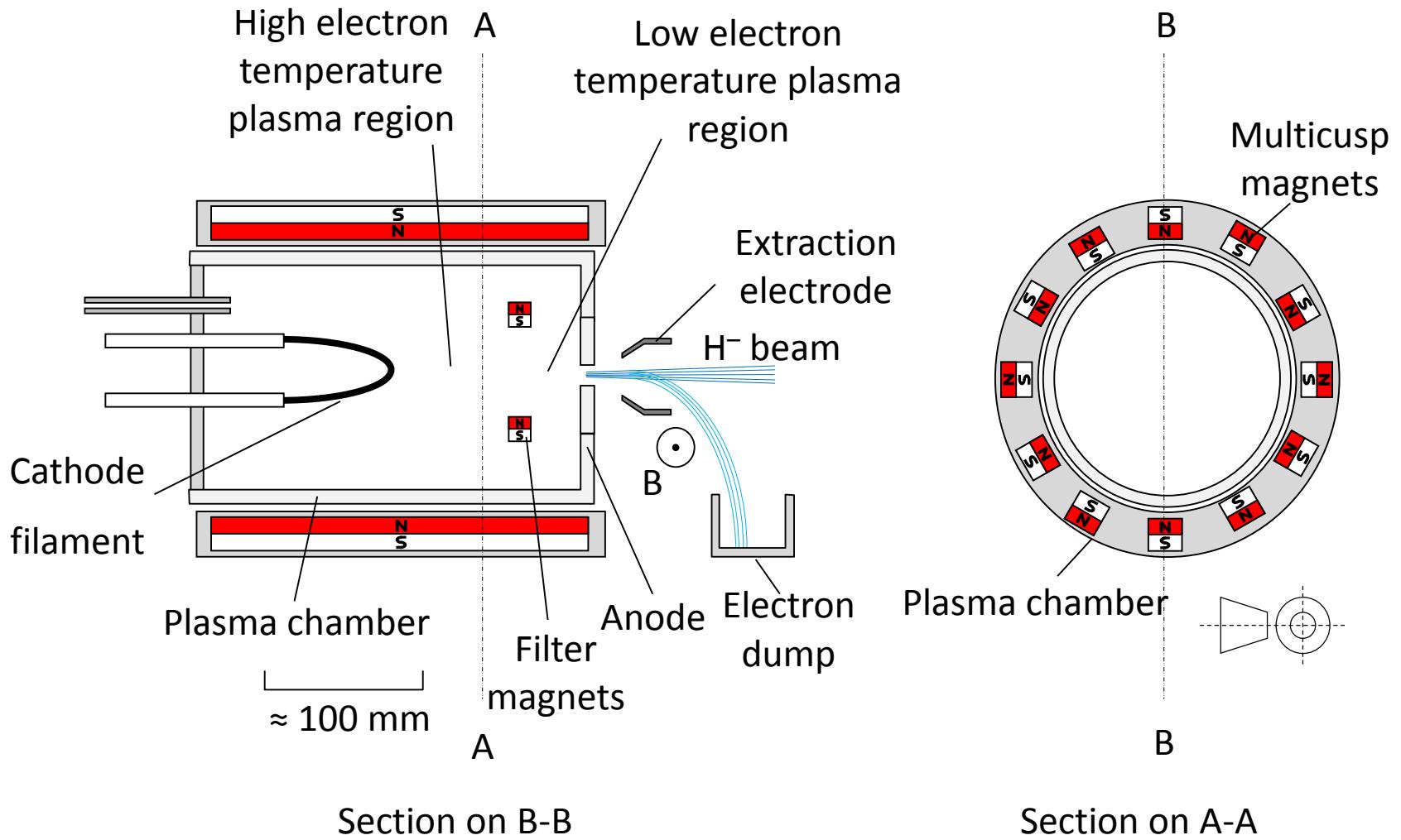
Photons  
Neutrinos  $\nu_e \nu_\mu \nu_\tau$   
Neutrons  $n$

Neutral particles  
 $H^0$

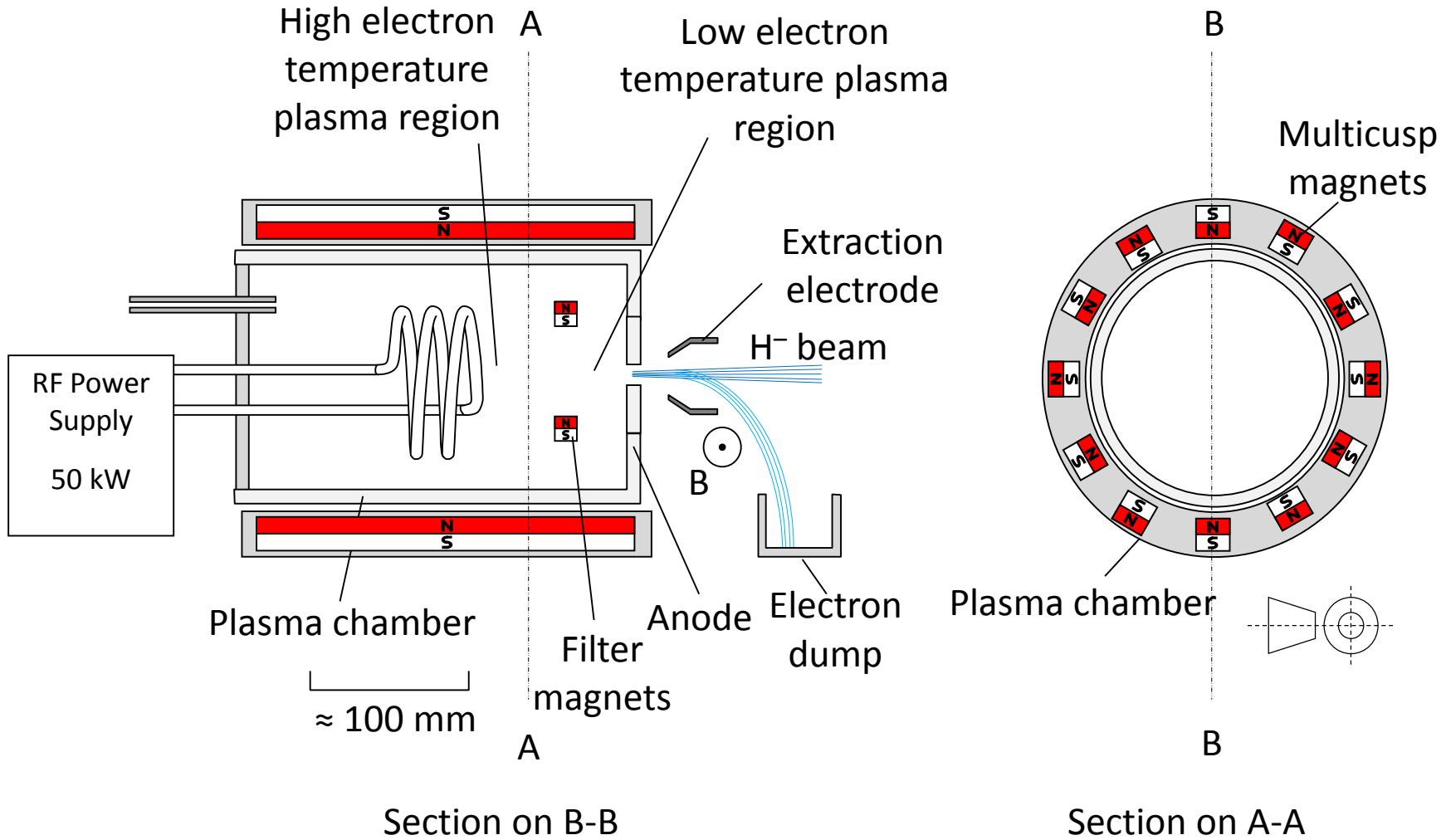
Higgs Bosons

Zoo of curiosities  
Tauons  
Mesons  
Baryons

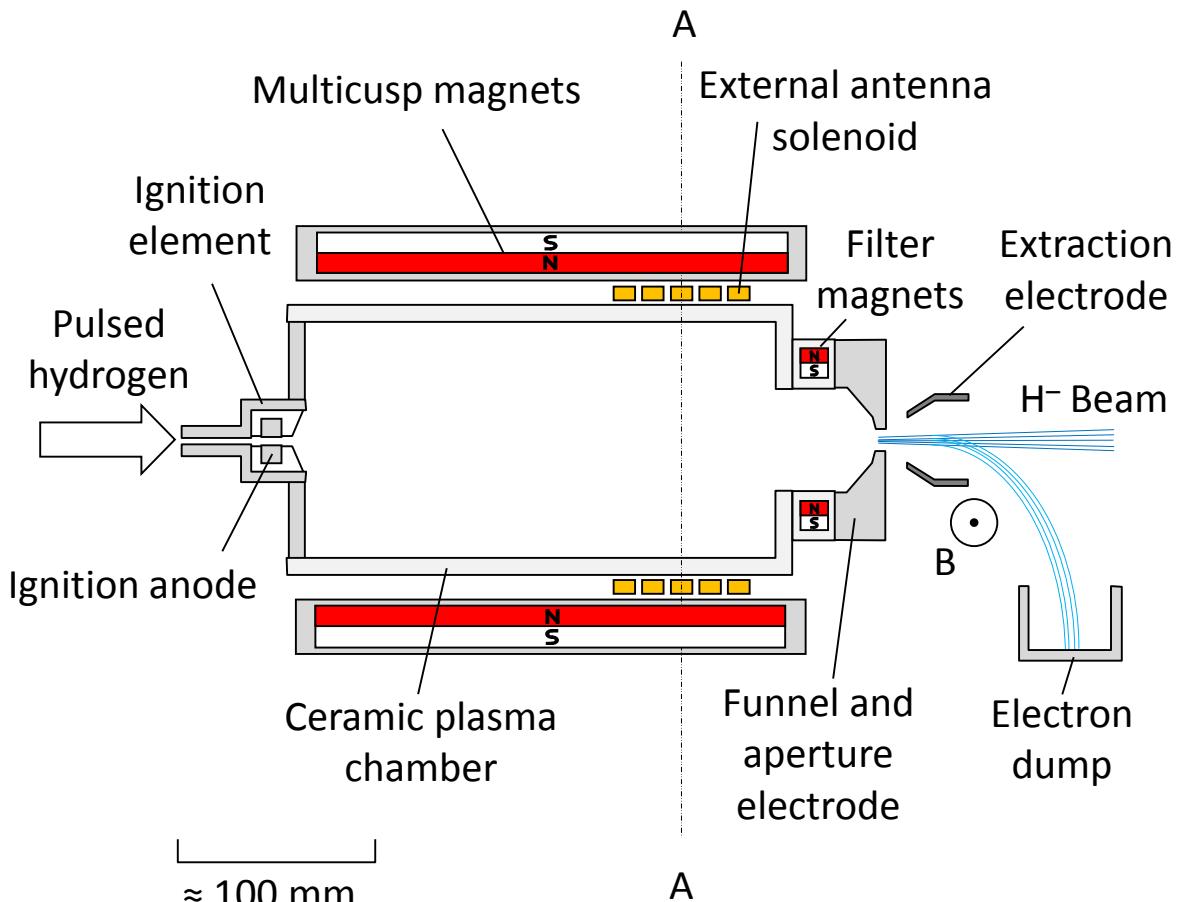
$W + Z$   
Bosons



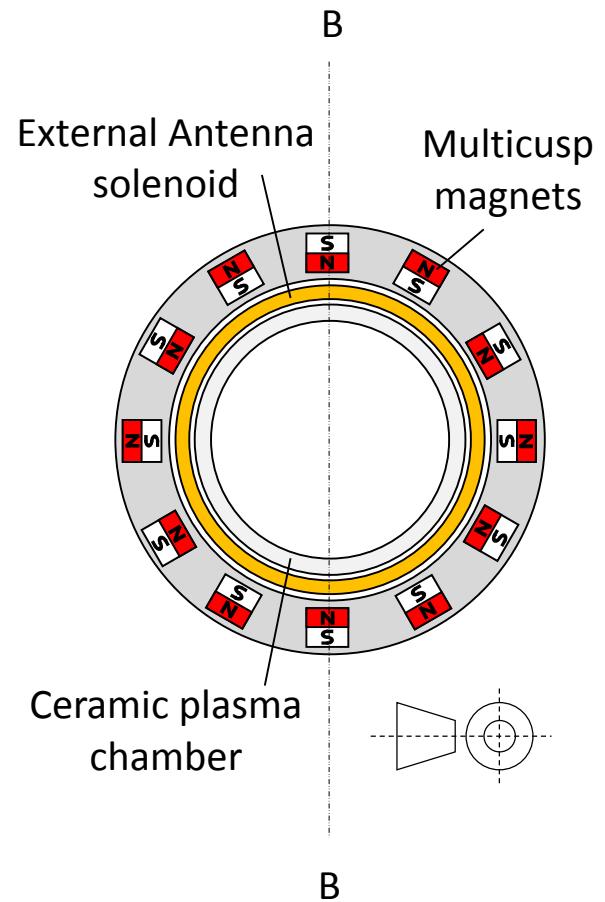
# Internal RF Solenoid Antenna Volume Source



# External RF Antenna Multicusp Source



Section on B-B

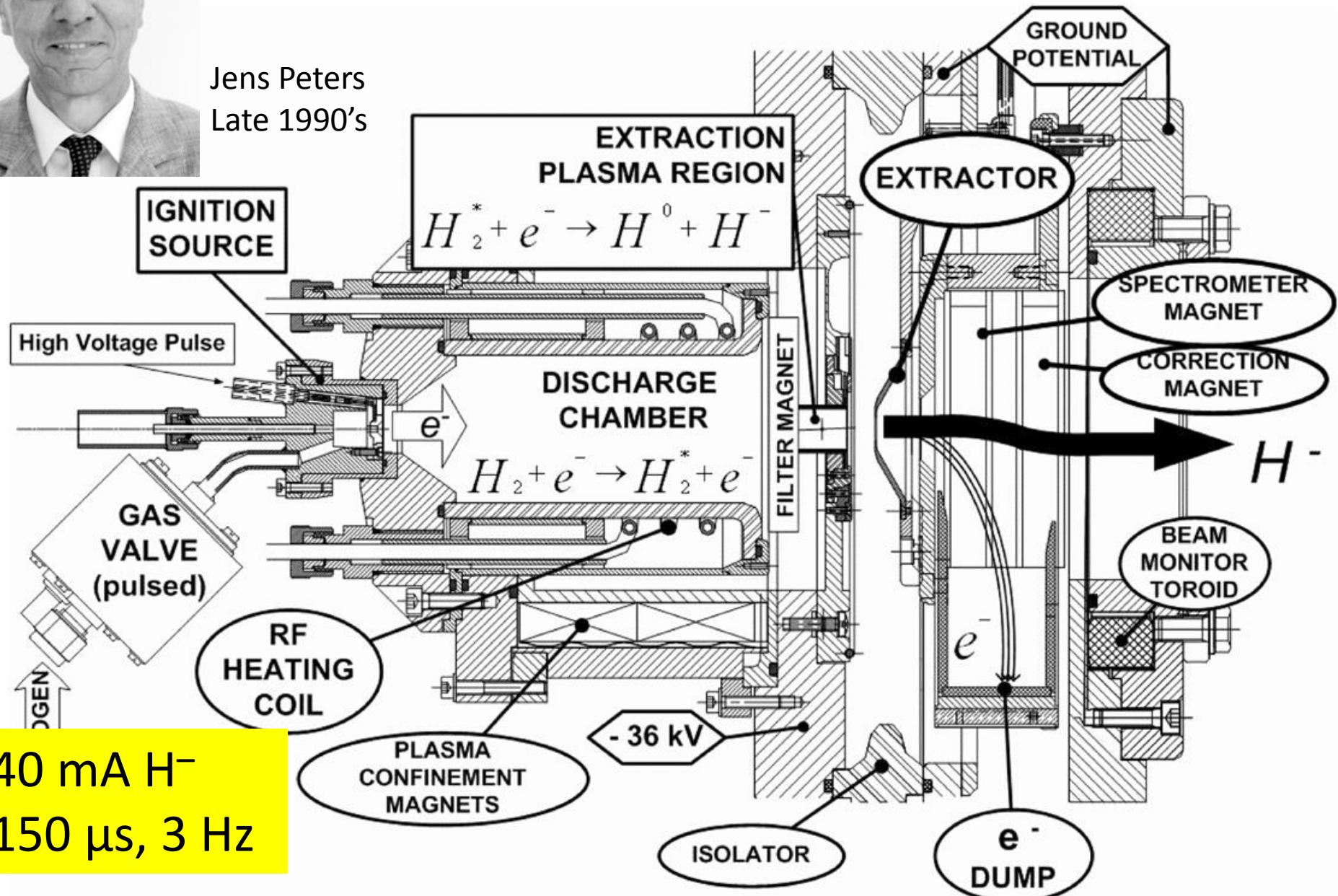


Section on A-A

# DESY Source



Jens Peters  
Late 1990's



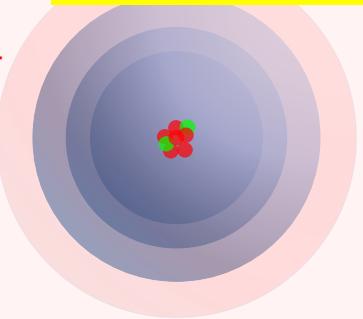
Positrons  
•  $e^+$

Electrons  
•  $e^-$

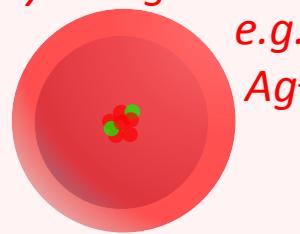
Photons  
Neutrinos  
 $\nu_\mu$   $\nu_\tau$   
Neutrons  
•  $n$

## Best of both worlds?

Light ions  
e.g.  
 $C^{4+}$



Highly charged ions  
e.g.  
 $Ag^{32+}$



Fully stripped nuclei  
e.g.  $U^{92+}$



Exotic nuclei



e.g.  $Lr^{103+}$

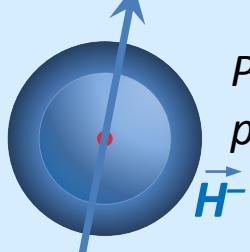
Surface converter

Multicusp

RF

Volume

$\uparrow e^-$



Polarised particles



Higgs  
Bosons

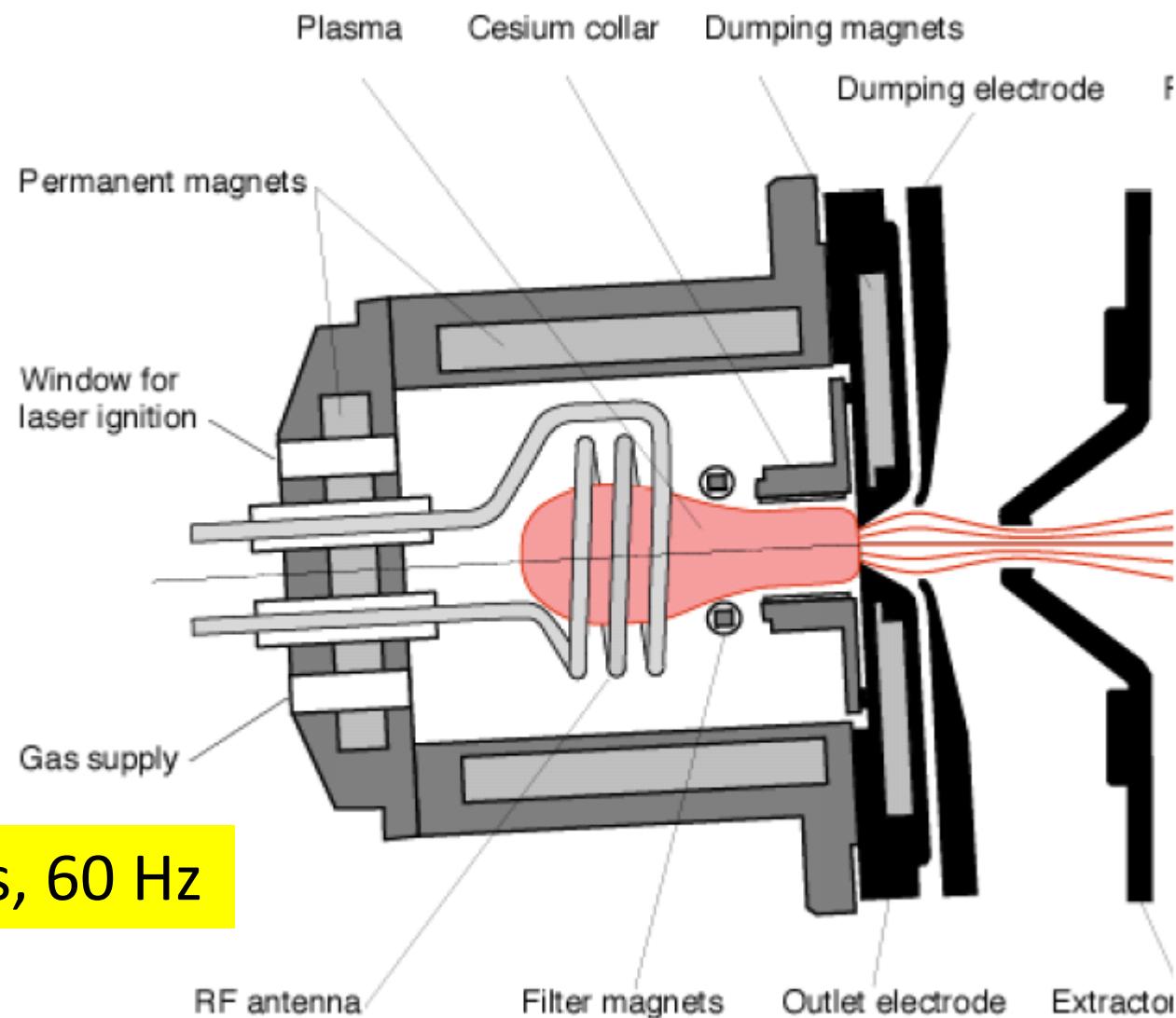
Zoo of curiosities

Tauons  
Mesons  
Baryons

$W + Z$   
Bosons

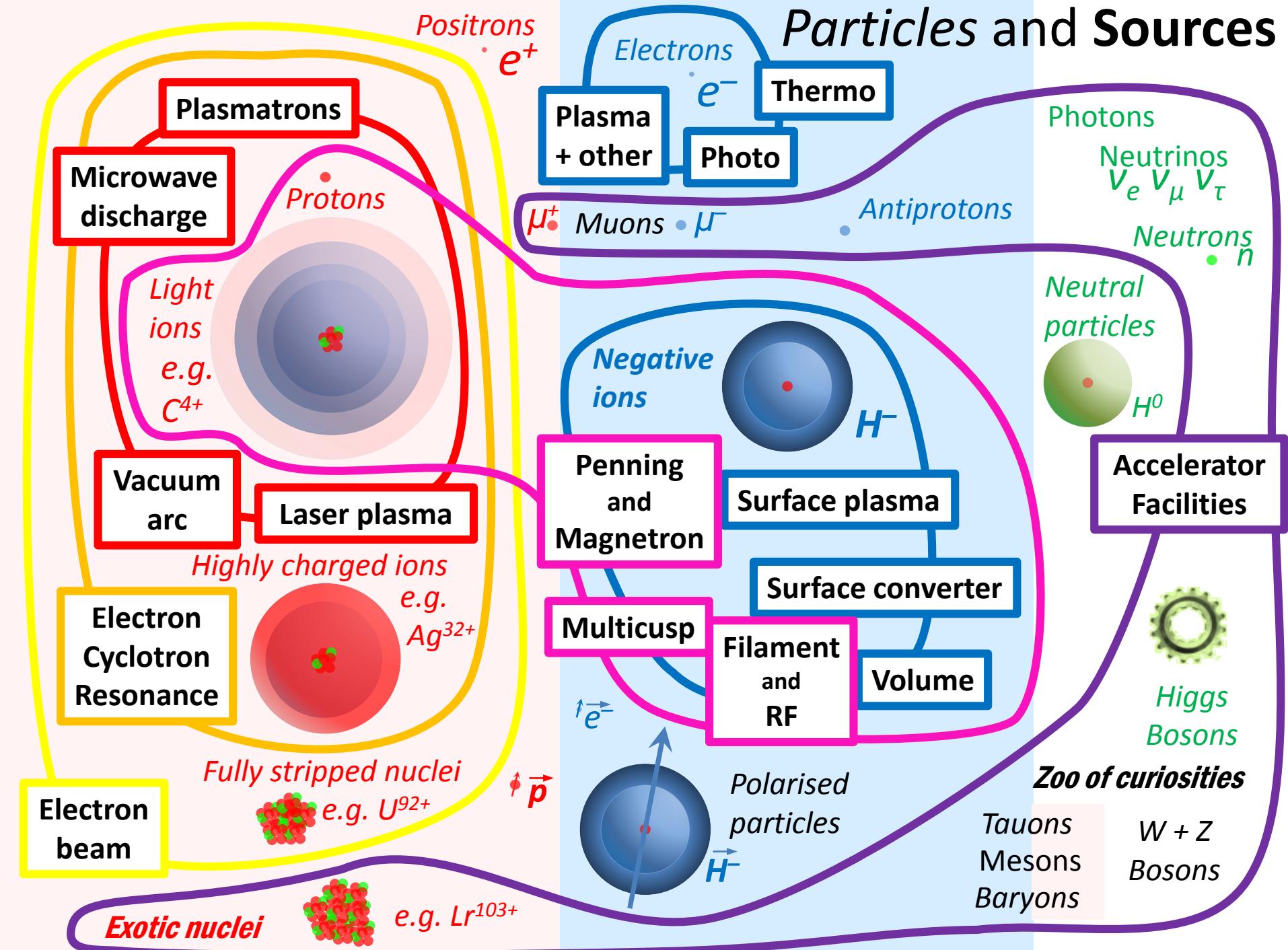
CERN are developing a cesiated external antenna source for LINAC4

# SNS ion source



38 mA H<sup>-</sup> 1 ms, 60 Hz

# Particles and Sources



# Which Source?

- Type of particle
- Current, duty cycle, emittance
- Lifetime
- Expertise available
- Money available
- Space available



# Reliability – is King!

- Operational sources should deliver >98% availability
- Lifetime compatible with operating schedule
- Ideally quick and easy to change
- Short start-up/set-up time

cryogenic  
systems

timing  
systems

machine  
interlocks

communication  
systems

Reliability also depends on:

low voltage  
power supplies

# Everything Else!

cooling water

human error

hydrogen

vacuum systems

temperature  
controllers

high voltage  
power supplies

compressed air  
supplies

mains power

control systems

personnel  
interlocks

material purity

laser systems

# Developing Sources

Driven by demand for

- Increases in current, duty cycle and lifetime
- Improvements in beam quality

Development strategy

- Simulations
- Test stands
- Diagnostics

# The Development Cycle

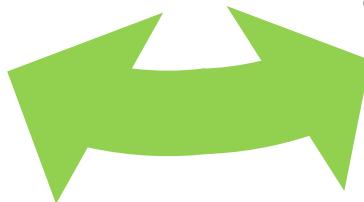
Hardware



Experiments



Simulations



# Summary

- Particle sources are a huge interesting subject
- A perfect mixture of engineering and physics
- We have only scratched the surface

Thank you for listening