

CERN Accelerator School

Lecture on : Insertion Devices

Pascal ELLEAUME

European Synchrotron Radiation Facility

Content of the Lecture

- Thursday 3rd July
 - Part I : Radiation from Insertion Devices
- Saturday 5th July Morning
 - Part II: Effect on the electron beam
 - Part III : Technology of Insertion Devices
- Saturday 5th July Afternoon
 - Part IV : Variable Polarisation Insertion Devices
 - Part V : Undulator for FELs

Part I

Radiation from Insertion Devices

Pascal ELLEAUME

European Synchrotron Radiation Facility, Grenoble

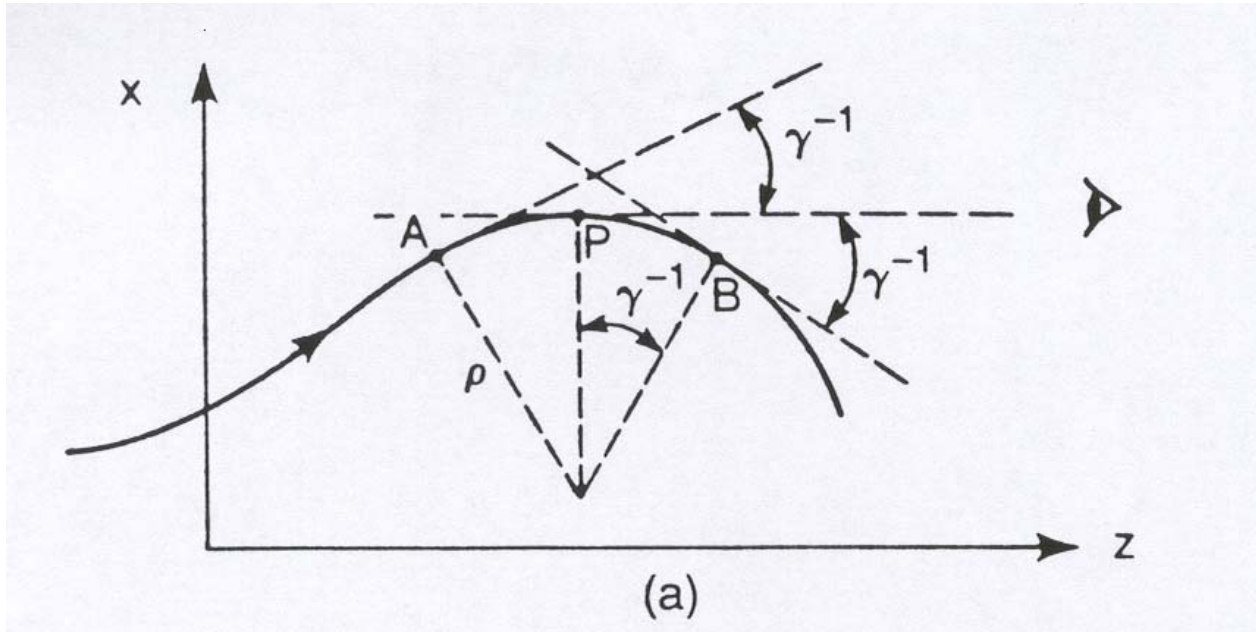
Short Bibliography

- J.D. Jackson, Classical Electrodynamics, Chapter 14, John Wiley
- Kim K.J., Characteristics of Synchrotron Radiation, AIP Conference Proceedings 184, vol. 1 p567 (American Institute of Physics, New York, 1989).
- Walker R.P., CAS - CERN Accelerator School: Synchrotron Radiation and Free Electron Lasers, Grenoble, France, 22 - 27 Apr 1996 , CERN 98-04 p 129.
- “Undulators, Wigglers and their Applications”, Editors : H. Onuki, P. Elleaume, Publisher : Taylor and Francis, 2003, ISBN 0-415-28040-0.
- And References therein

Table of Contents

- Generalities on Synchrotron Radiation
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- Radiation from an Undulator
- Radiation from a Wiggler

Bending Magnet Radiation



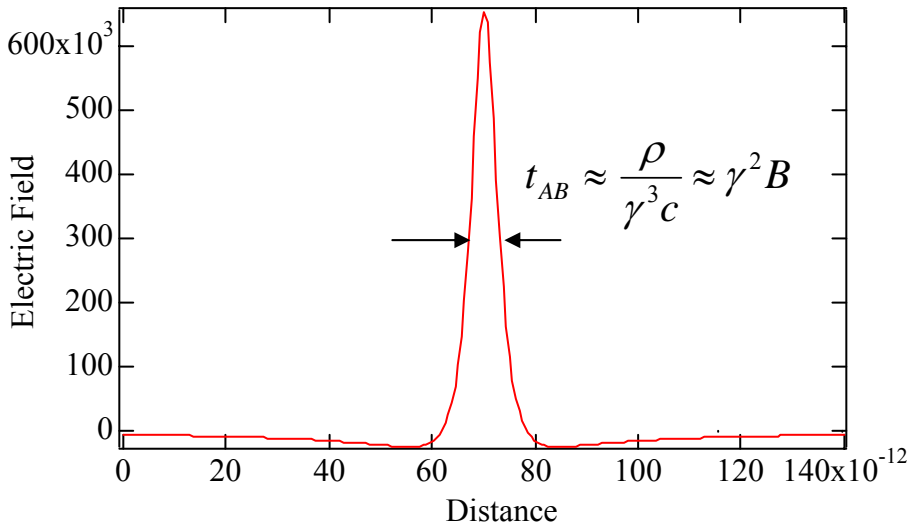
ρ : Radius of Curvature

Lorentz Force in Field B : $\gamma m \frac{d\vec{v}}{dt} = e\vec{v} \times \vec{B} \Rightarrow \frac{1}{\rho} = \frac{eB}{\gamma mc}$

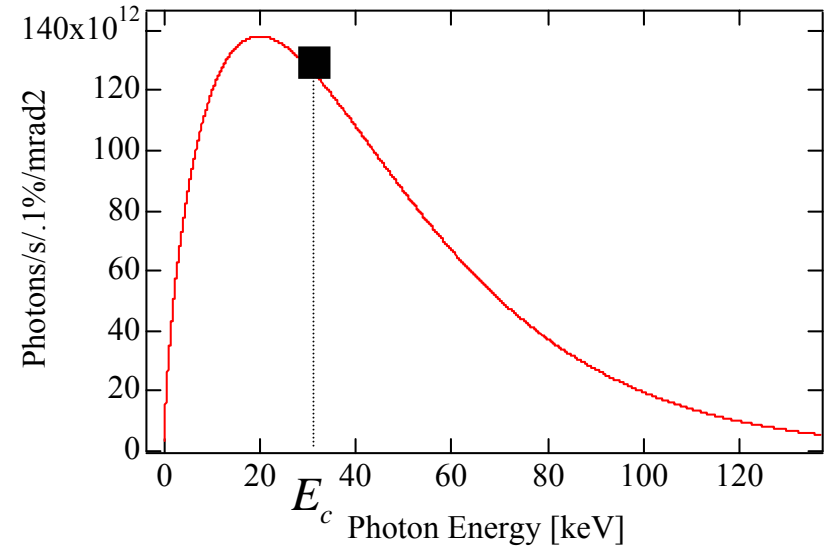
$$\tau_{AB} = \frac{2\rho}{\gamma c}, \quad \frac{t_{AB}}{\tau_{AB}} \approx \frac{1}{\gamma^2} \Rightarrow t_{AB} \approx \frac{\rho}{\gamma^3 c} \Rightarrow h\omega \approx \frac{\gamma^3}{\rho} \approx \gamma^2 B$$

Critical Energy of Bending Magnet Radiation

Electric Field in the Time Domain



Angular Flux in Frequency Domain

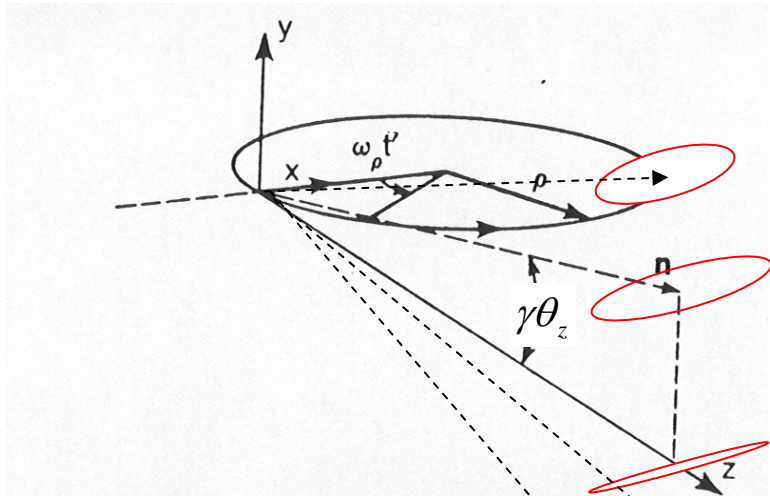


Computed for 6 GeV, I = 200 mA, B = 1 tesla

$$E_c = \frac{3hc}{4\pi} \frac{\gamma^3}{\rho} = \frac{3he}{4\pi m} \gamma^2 B$$

$$E_c [keV] = 0.665 E^2 [GeV] B [T]$$

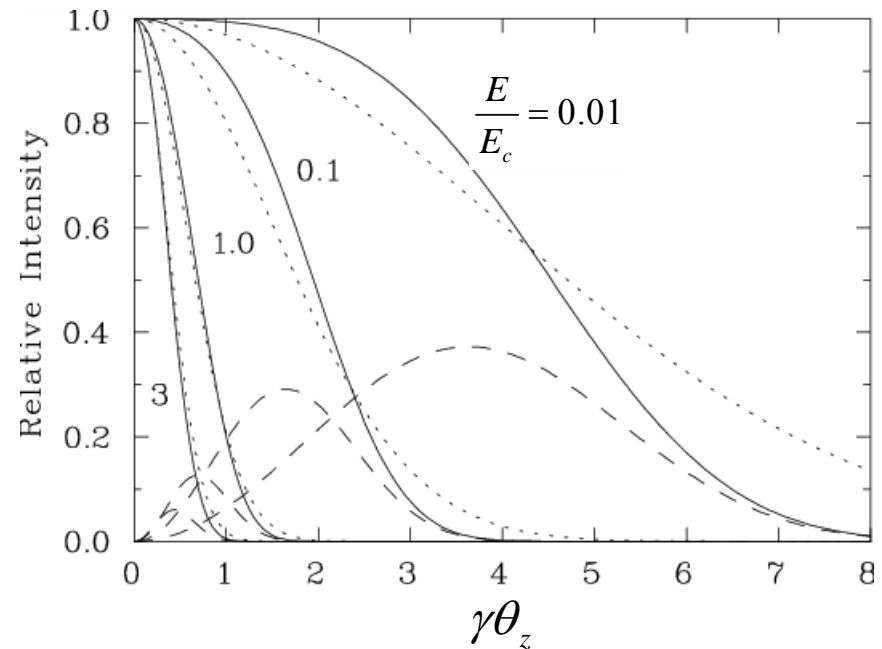
Polarization of Bending Magnet Radiation



Circular Left

Linear

Circular Right



Electron Trajectory in a General Insertion Device

Consider Orthogonal Frame $Oxzs$

Electron velocity $\vec{v} = (v_x, v_z, v_s)$

Electron position $\vec{R} = (x, z, s)$

Magnetic field $\vec{B} = (B_x, B_z, B_s)$

$$\text{Lorentz Force: } \gamma m \frac{d\vec{v}}{dt} = e\vec{v} \times \vec{B}$$

$$\Rightarrow \gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = Cst$$

$$\Rightarrow \gamma m \frac{dv_x}{dt} = -e(v_s B_z - v_z B_s)$$

Assume: $v_x, v_z \ll v_s \approx c$

$$\frac{v_x(s)}{c} = -\frac{e}{\gamma mc} \int_{-\infty}^s B_z(s') ds'$$
$$x(s) = -\frac{e}{\gamma mc} \int_{-\infty}^s \int_{-\infty}^{s'} B_z(s'') ds'' ds'$$

and similar expression for $v_z(s)$ and $z(s)$

Electron Trajectory in a Planar Sinusoidal Undulator

$$\text{Consider } \vec{B} = (0, B_0 \sin(2\pi \frac{s}{\lambda_0}), 0)$$

$$\begin{aligned} \frac{v_x}{c} &= \frac{K}{\gamma} \cos(2\pi \frac{s}{\lambda_0}) \\ x &= -\frac{\lambda_0}{2\pi} \frac{K}{\gamma} \sin(2\pi \frac{s}{\lambda_0}) \\ v_z &= 0, \quad z = 0 \end{aligned}$$

with

$$K = \frac{eB_0\lambda_0}{2\pi mc} = 0.0934 B_0[T] \lambda_0[mm]$$

K is a fundamental parameter also called "Deflection Parameter"

Example : ESRF, Energy=6GeV, Undulator $\lambda_0 = 35$ mm, $B_0 = 0.7$ T

$$\Rightarrow K = 2.3, \quad \frac{K}{\gamma} = 200 \mu\text{rad}, \quad \frac{\lambda_0}{2\pi} \frac{K}{\gamma} = 1.1 \mu\text{m} !!$$

Longitudinal Velocity in a Planar Sinusoidal Undulator

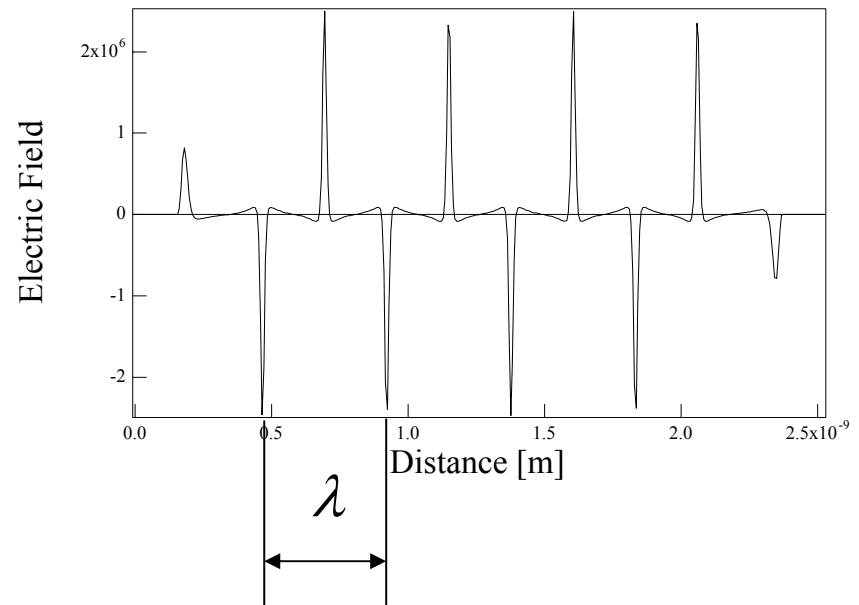
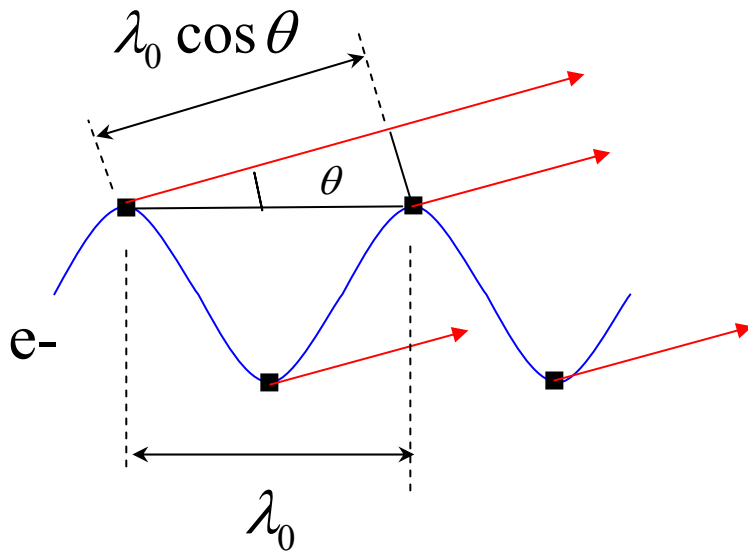
$$\begin{array}{l} \frac{1}{\gamma^2} = 1 - \frac{v_x^2}{c^2} - \frac{v_s^2}{c^2} - \frac{v_z^2}{c^2} \\ \frac{v_x}{c} = \frac{K}{\gamma} \cos\left(2\pi \frac{s}{\lambda_0}\right) \\ v_z = 0 \end{array} \quad \left| \quad \Rightarrow \quad \frac{1}{\gamma^2} + \frac{K^2}{\gamma^2} \cos^2\left(2\pi \frac{s}{\lambda_0}\right) = 1 - \frac{v_s^2}{c^2} \cong 2\left(1 - \frac{v_s}{c}\right)$$

Averaging over one undulator period

$$\frac{v_s}{c} \cong 1 - \frac{1}{2\gamma^2} \left(1 + \frac{K^2}{2}\right)$$

K can be understood as a measure of how much the longitudinal velocity is slowed down due to the undulator magnetic field

Radiation Field from a Planar Undulator in time Domain



$$\lambda = c \left(\frac{\lambda_0}{v_s} - \frac{\lambda_0}{c} \cos \theta \right) \cong \lambda_0 \left(1 - \frac{v_s}{c} + \frac{\theta^2}{2} \right) \cong \frac{\lambda_0}{2\gamma^2} \left(1 + \frac{K^2}{2} + \gamma^2 \theta^2 \right)$$

Wavelength of the Harmonics

$$\lambda_n = \frac{\lambda_0}{2n\gamma^2} \left(1 + \frac{K^2}{2} + \gamma^2 (\theta_x^2 + \theta_z^2) \right)$$

In an equivalent manner , the energy E_n of the harmonics are given by

$$E_n [keV] = \frac{9.5 n E^2 [GeV]}{\lambda_0 [mm] \left(1 + \frac{K^2}{2} + \gamma^2 (\theta_x^2 + \theta_z^2) \right)}$$

λ_n, E_n : Wavelength, Energy of the n^{th} harmonic

$n = 1, 2, 3, \dots$: Harmonic number

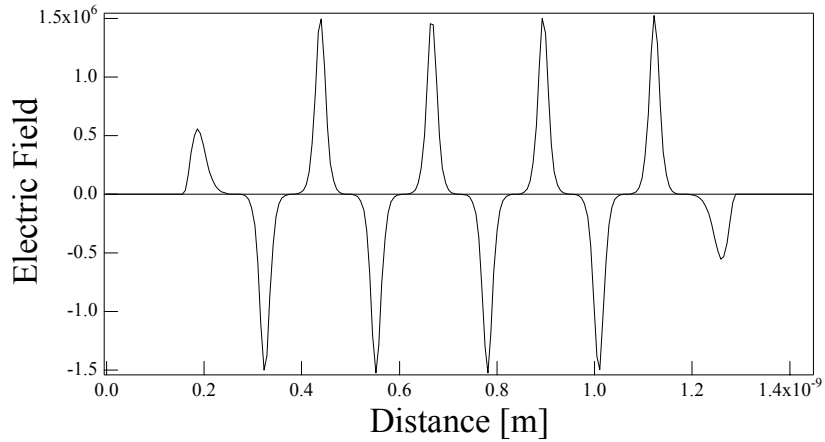
λ_0 : Undulator period

$E = \gamma mc^2$: Electron Energy

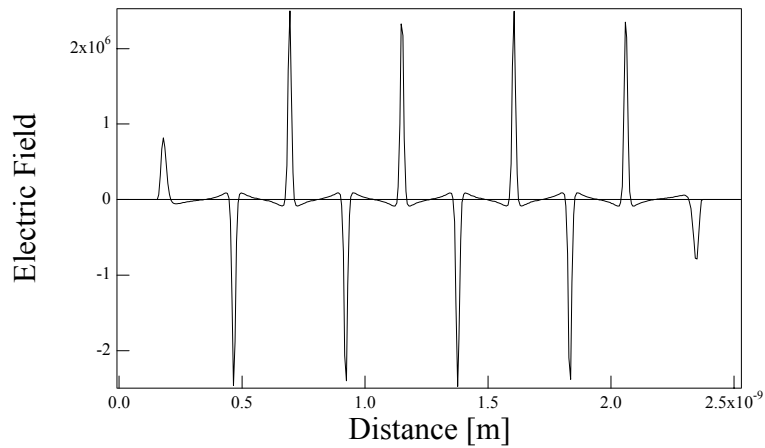
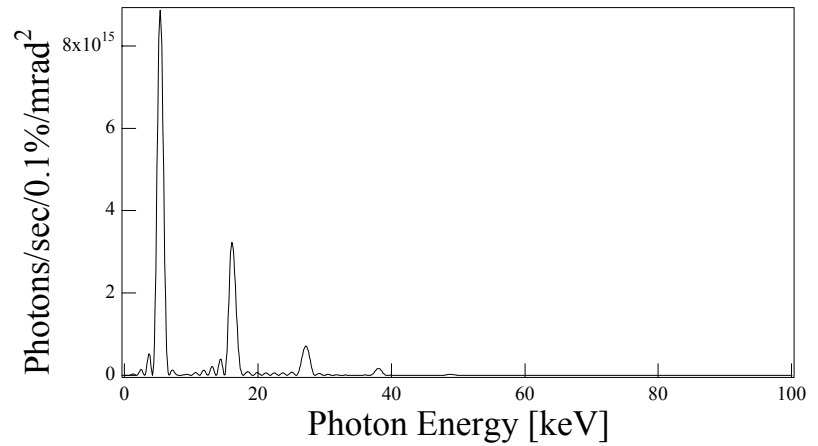
K : Deflection Parameter = $0.0934 B_0 [T] \lambda_0 [mm]$

θ_x, θ_z : Direction of Observation

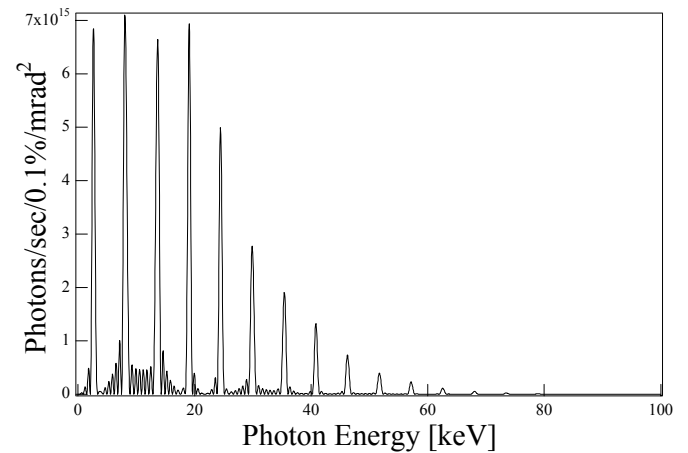
Electric Field and Spectrum vs K



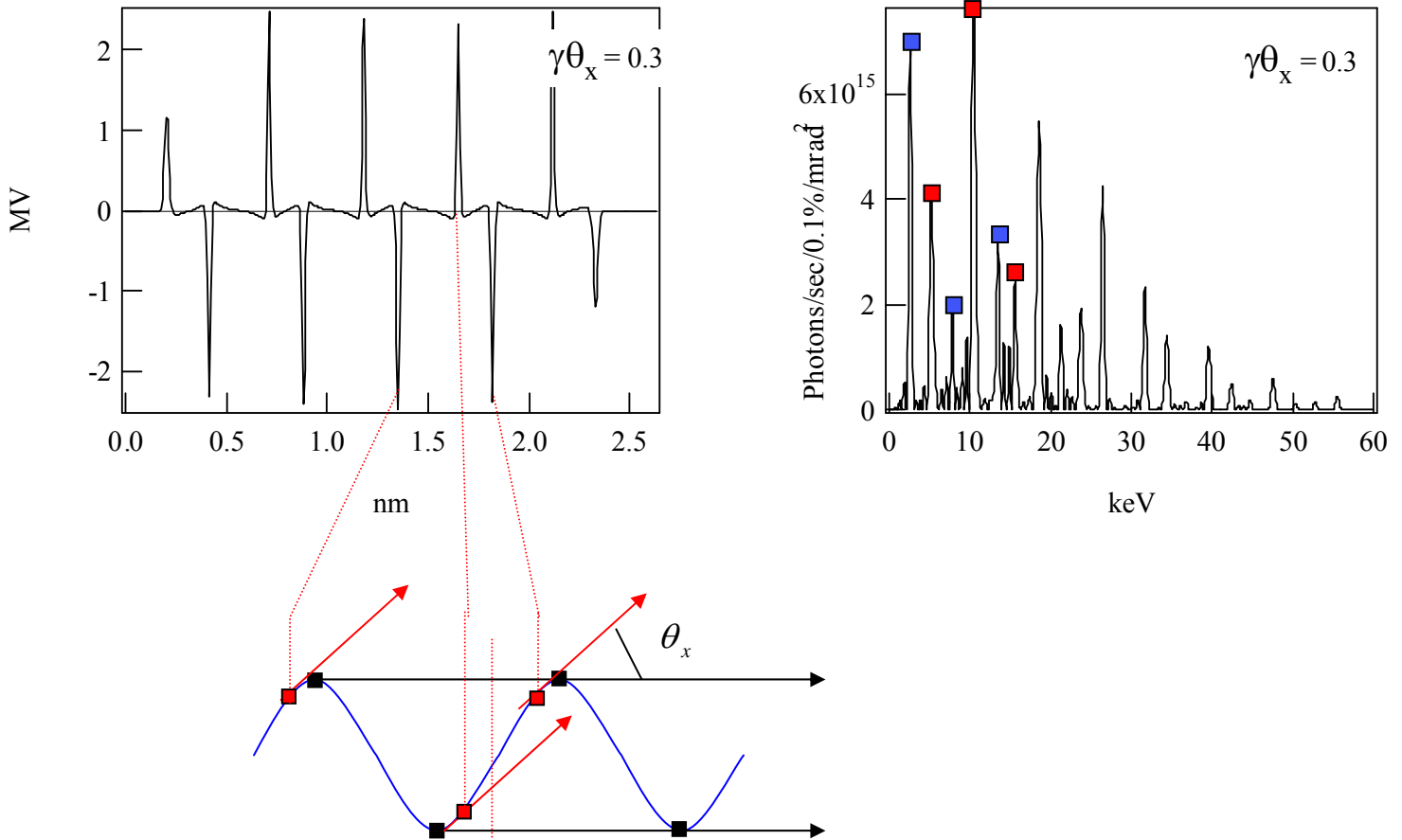
$K=1$



$K=2$

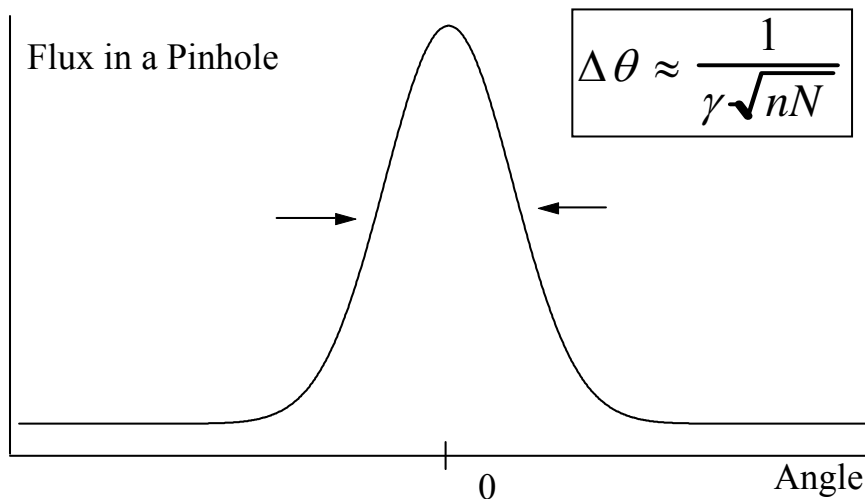
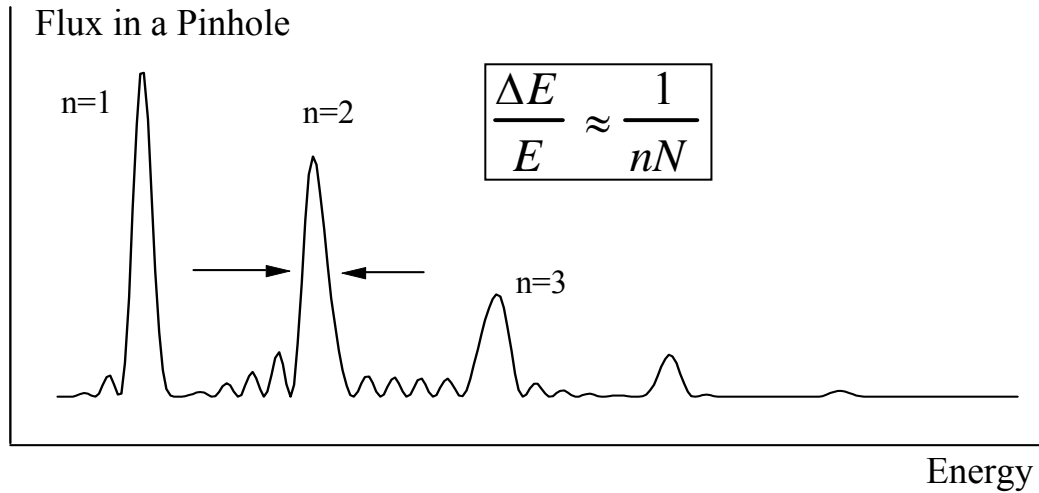


Even harmonics are generated off-axis



If $\theta_x = \theta_z = 0$, only harmonics $n = 1, 3, 5$ are generated
In general all harmonics $n = 1, 2, 3, 4, 5$ are generated

Undulator Emission by a Filament Electron Beam



n : Harmonic number

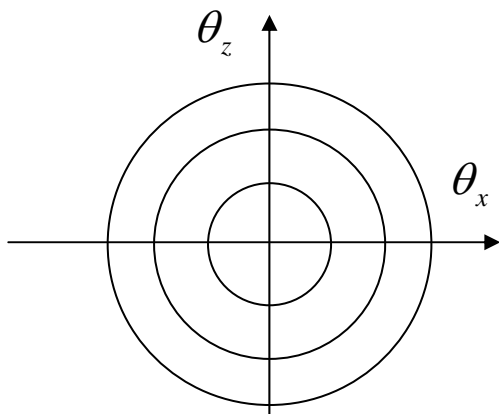
N : Number of Periods

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{E}{mc^2}$$

Angular spectral flux

- For a **monoenergetic filament electron beam**, the angular spectral flux on-axis is given by :

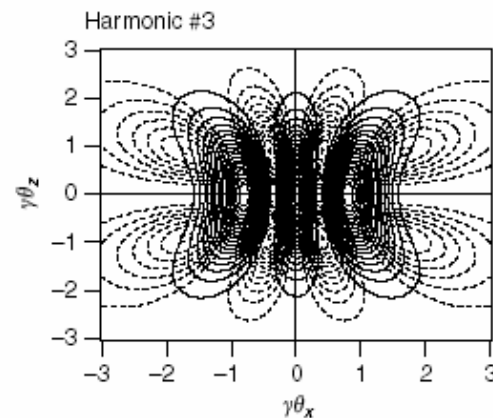
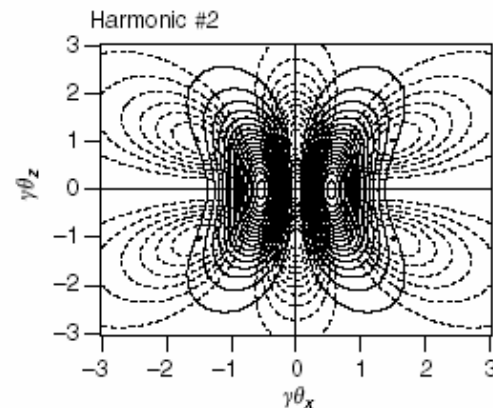
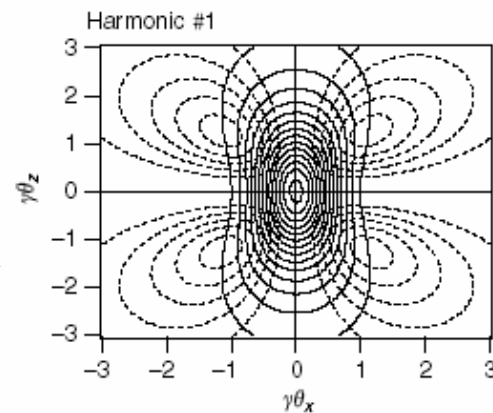
$$\frac{d\Phi_n}{d\theta_x d\theta_z} \frac{d\lambda}{\lambda} (\theta_x, \theta_z, \lambda) = \alpha \frac{I}{e} \gamma^2 N^2 |h_n(\theta_x, \theta_z)|^2 \left(\frac{\sin(\pi N n (\frac{\lambda_n}{\lambda} - 1))}{\pi N n (\frac{\lambda_n}{\lambda} - 1)} \right)^2$$



Undulator Field

Interference

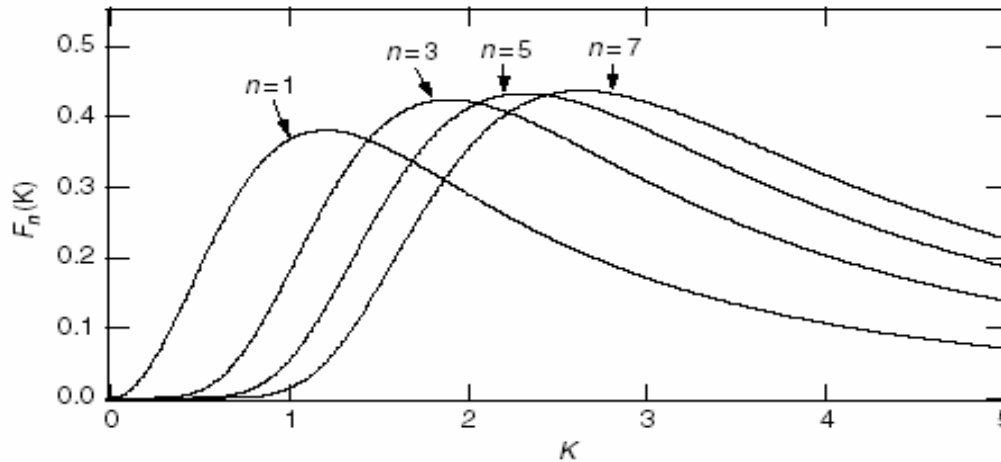
$|h_n(\theta_x, \theta_z)|^2$ of planar undulator



If $\theta_x = \theta_z = 0$ and $\lambda = \lambda_n \Rightarrow$

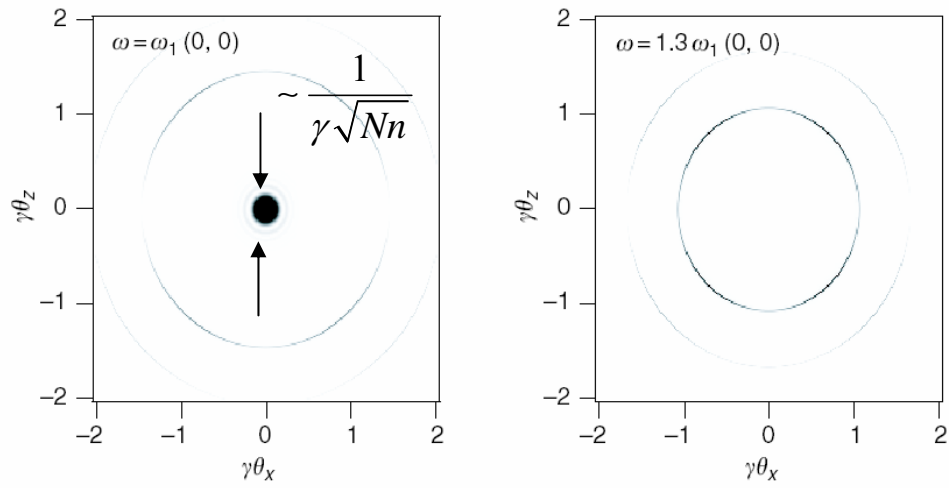
$$\frac{d\Phi_n}{d\theta_x d\theta_z \frac{d\lambda}{\lambda}}(0,0,\lambda) = \alpha \frac{I}{e} N^2 |h_n(0,0)|^2 = \alpha \frac{I}{e} N^2 \gamma^2 F_n(K)$$

$$\text{with } F_n(K) = \frac{n^2 K^2}{\left(1 + \frac{K^2}{2}\right)^2} \left[J_{\frac{n-1}{2}}\left(\frac{nK^2}{4+2K^2}\right) - J_{\frac{n+1}{2}}\left(\frac{nK^2}{4+2K^2}\right) \right]^2$$



In usefull Units

$$\frac{d\Phi_n}{d\theta_x d\theta_z \frac{d\lambda}{\lambda}}(0,0,\lambda) [\text{Phot/s/.1\%/mrad}^2] = 1.744 \times 10^{14} N^2 E^2 [\text{GeV}] I [\text{A}] F_n(K)$$



7 Angular spectral flux produced by a filament beam as a function of the horizontal and vertical angles $\gamma\theta_x$ and $\gamma\theta_z$ for two frequencies ω . The undulator is made up of 50 periods with a deflection parameter $K = 1.5$. Each ring is associated to a single harmonic of the spectrum. This ring pattern is typical of the undulator radiation.

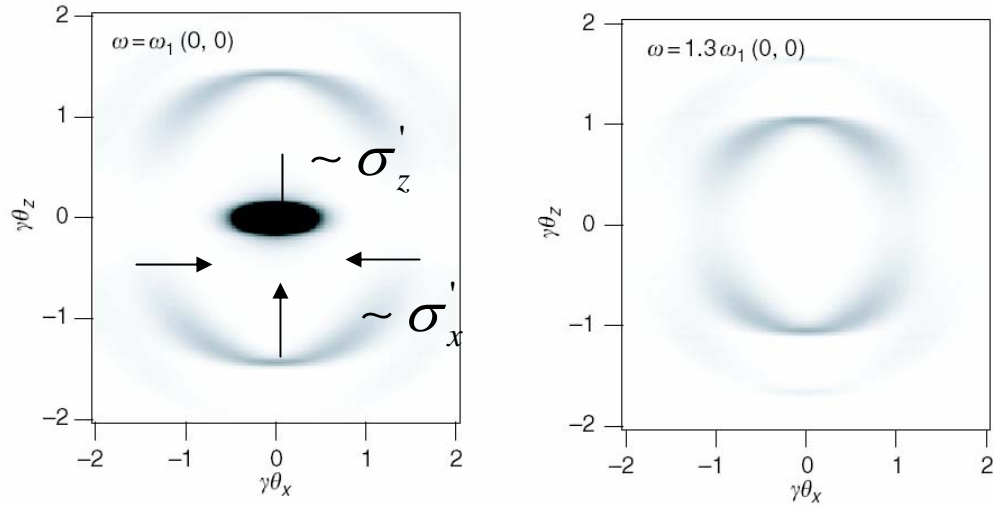
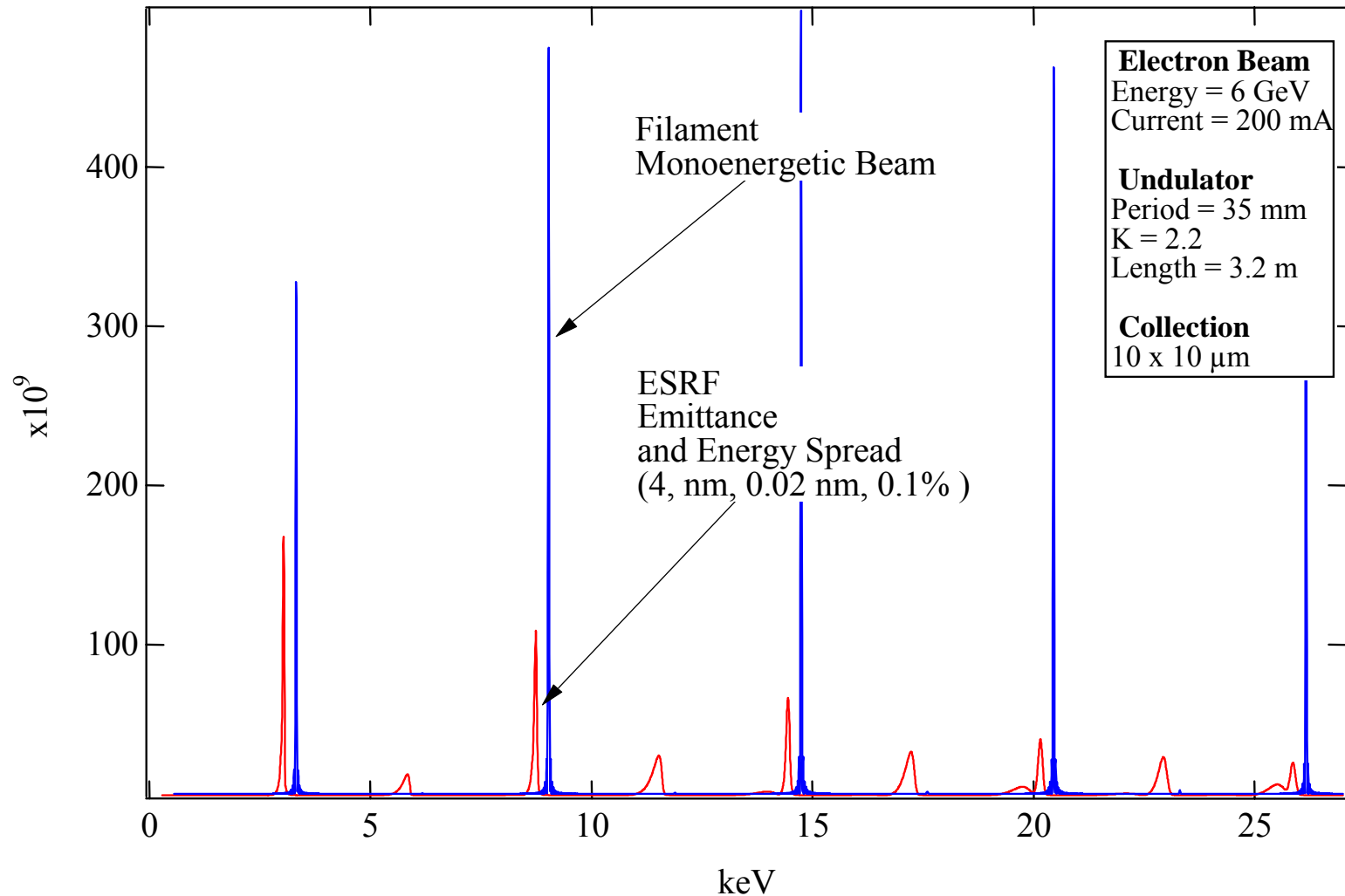
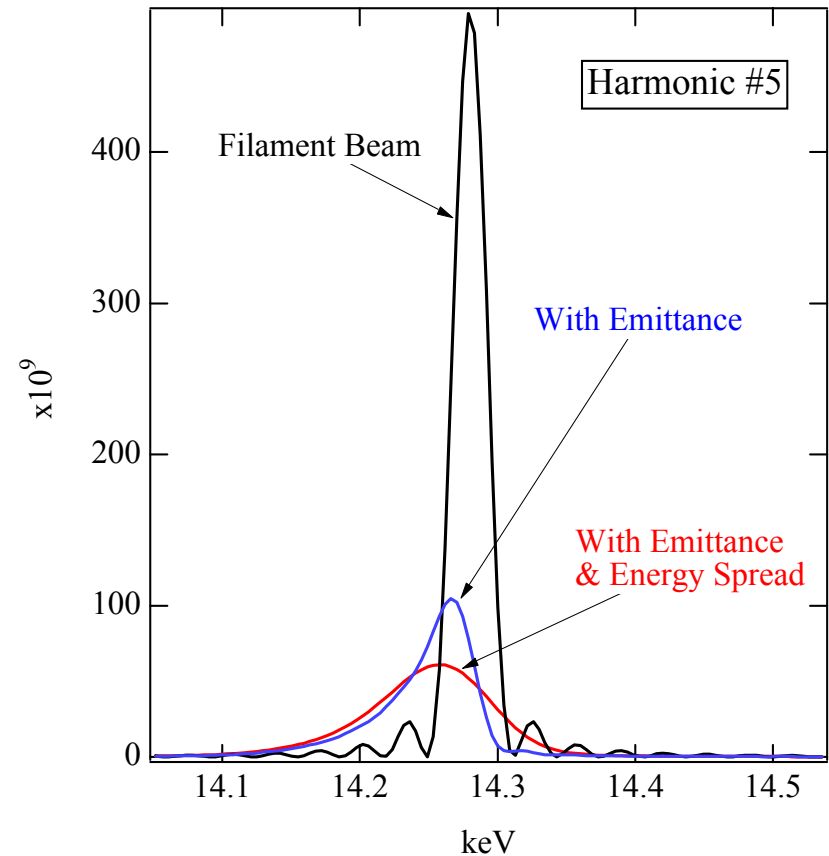
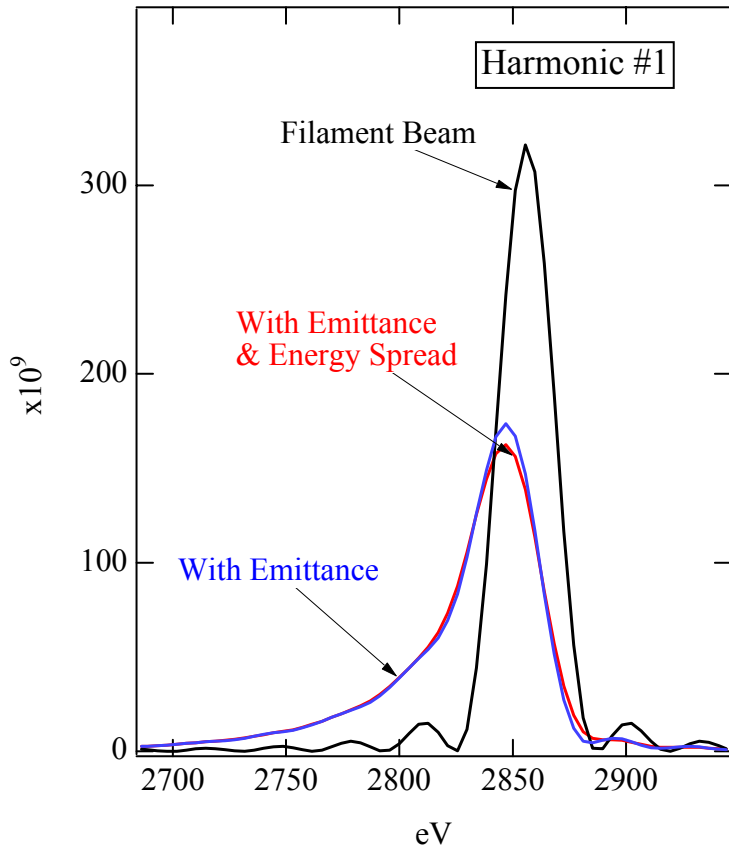


Figure 3.9 Angular spectral flux produced by a 6 GeV electron beam with horizontal (vertical) rms divergence of 10(4) μrad . The frequencies and the undulator parameters are those of Figure 3.7.

Sensitivity to Emittance and Energy Spread of an ESRF Undulator



Sensitivity to Emittance and Energy Spread of an ESRF Undulator

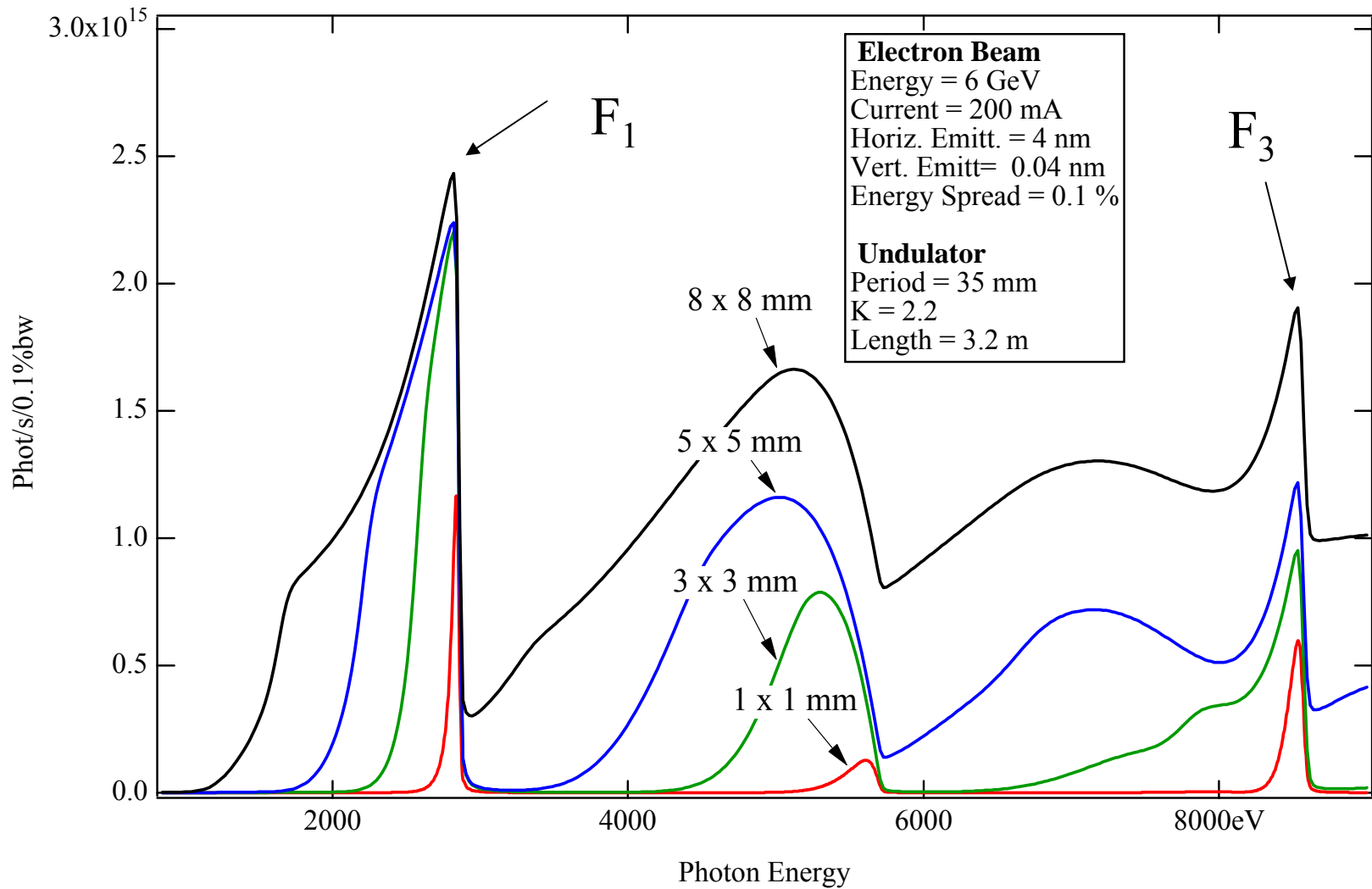


Undulator : $K = 2.2$, Period = 35 mm, Length = 3.2 m

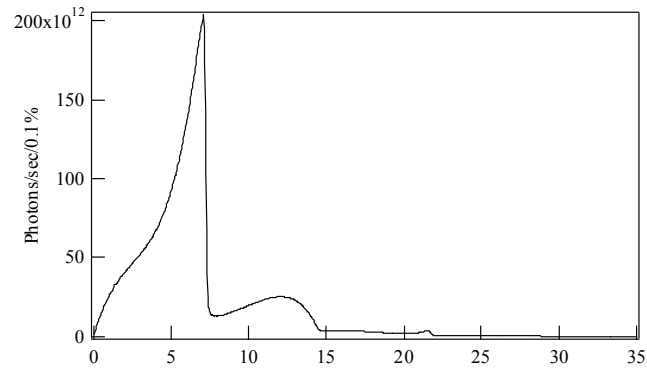
Energy = 6 GeV, Emittance = 4 & 0.04 nm Energy Spread = 0.1 %

Collection Aperture : a $10 \times 10 \mu\text{m}$

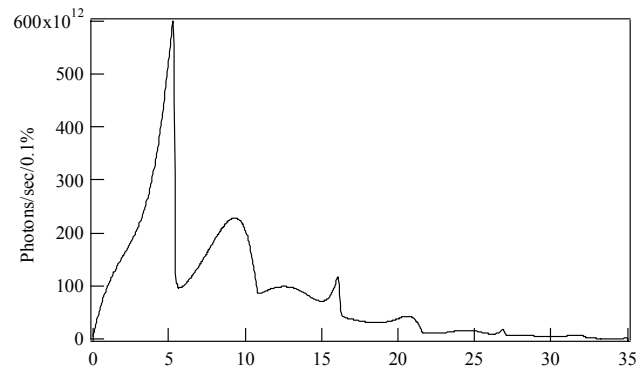
Collecting Undulator Radiation in a Variable Aperture



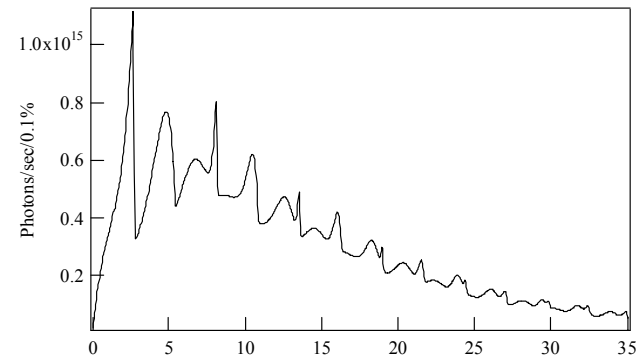
Angle Integrated Flux



$K=0.5$



$K=1$



$K=2$

Maximum Spectral Flux on-axis on odd harmonics

$$\frac{dF_n}{d\lambda} = \int \frac{d\Phi_n}{d\theta_x d\theta_z}(\theta_x, \theta_z, \lambda_n) d\theta_x d\theta_z = \pi\alpha \frac{I}{e} N Q_n(K)$$

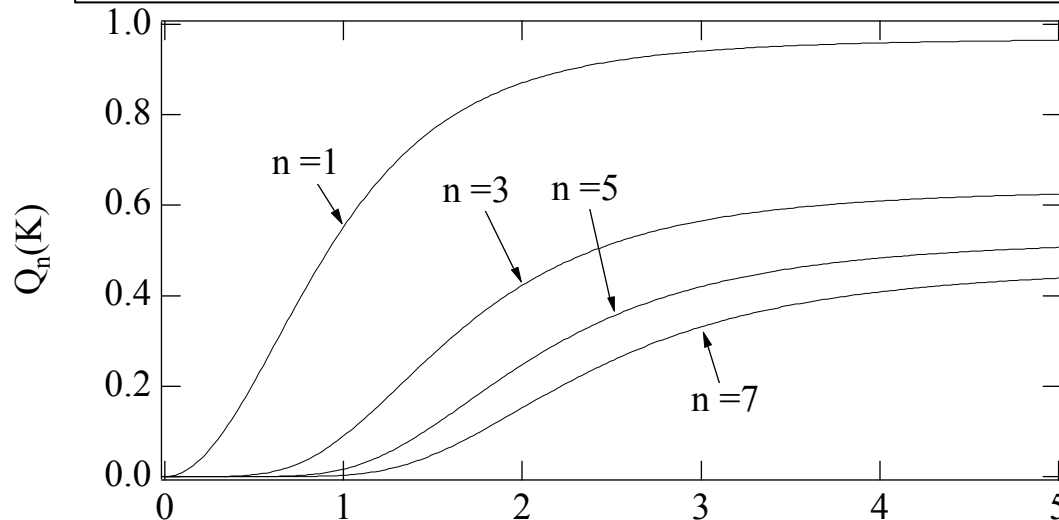
$$F_n [Ph/sec/0.1\%] = 1.43110^{14} N I[A] Q_n(K)$$

N : Number of Undulator Periods

I : Ring Current

n : Harmonic Number

$$Q_n(K) = \frac{nK^2}{1 + \frac{K^2}{2}} \left(J_{(n+1)/2} \left(\frac{nK^2}{4 + 2K^2} \right) - J_{(n-1)/2} \left(\frac{nK^2}{4 + 2K^2} \right) \right)^2$$



(Spectral) Brilliance or Brightness

The (spectral) brilliance or equivalently (spectral) brightness is the equivalent of the photon density in 4D phase space. It can be rigorously defined using Wigner Distribution Functions. Its expression is in general complicated but at the energy of an **odd harmonic** n observed **on-axis**, it is approximated according to:

$$B_n = \frac{F_n}{(2\pi)^2 \Sigma_x \Sigma'_x \Sigma_z \Sigma'_z}$$

$$\Sigma_x = \sqrt{\sigma_x^2 + \sigma_R^2}, \quad \sigma_R = \frac{\sqrt{\lambda_n L}}{2\pi}$$
$$\Sigma'_x = \sqrt{\sigma'^2_x + \sigma'^2_R}, \quad \sigma'_R = \frac{\lambda_n}{2L}$$

Σ_x, Σ_z : Photon beam sizes

Σ'_x, Σ'_z : Photon beam divergences

Limiting Cases of Brilliance

$$B_n = \frac{F_n}{(2\pi)^2 \Sigma_x \Sigma'_x \Sigma_z \Sigma'_z}$$

i/ $\sigma_x, \sigma_z \ll \frac{\sqrt{\lambda_n L}}{2\pi}$ and $\sigma'_x, \sigma'_z \ll \sqrt{\frac{\lambda_n}{2L}} \Rightarrow B_n = \frac{F_n}{(\lambda_n/2)^2}$ Diffraction Limit

ii/ $\sigma_x, \sigma_z \gg \frac{\sqrt{\lambda_n L}}{2\pi}$ and $\sigma'_x, \sigma'_z \gg \sqrt{\frac{\lambda_n}{2L}} \Rightarrow B_n = \frac{F_n}{4\pi^2 \varepsilon_x \varepsilon_z}$

iii/ *Intermediate with Optimum Beta Function* \Rightarrow

$$B_n = \frac{F_n}{4\pi^2 \left(\varepsilon_x + \frac{\lambda_n}{4\pi}\right) \left(\varepsilon_z + \frac{\lambda_n}{4\pi}\right)}$$

In all cases the brilliance grows like the number **N** of undulator periods

Some Difficulties with Brilliance

- Brilliance is very often assimilated as photon density in 4D phase space $B(\omega, x, x', z, z')$ => Not a Physical quantity in the sense of Quantum Mechanics. Nevertheless, it is possible to mathematically define such brilliance using Wigner Distribution Functions so that :

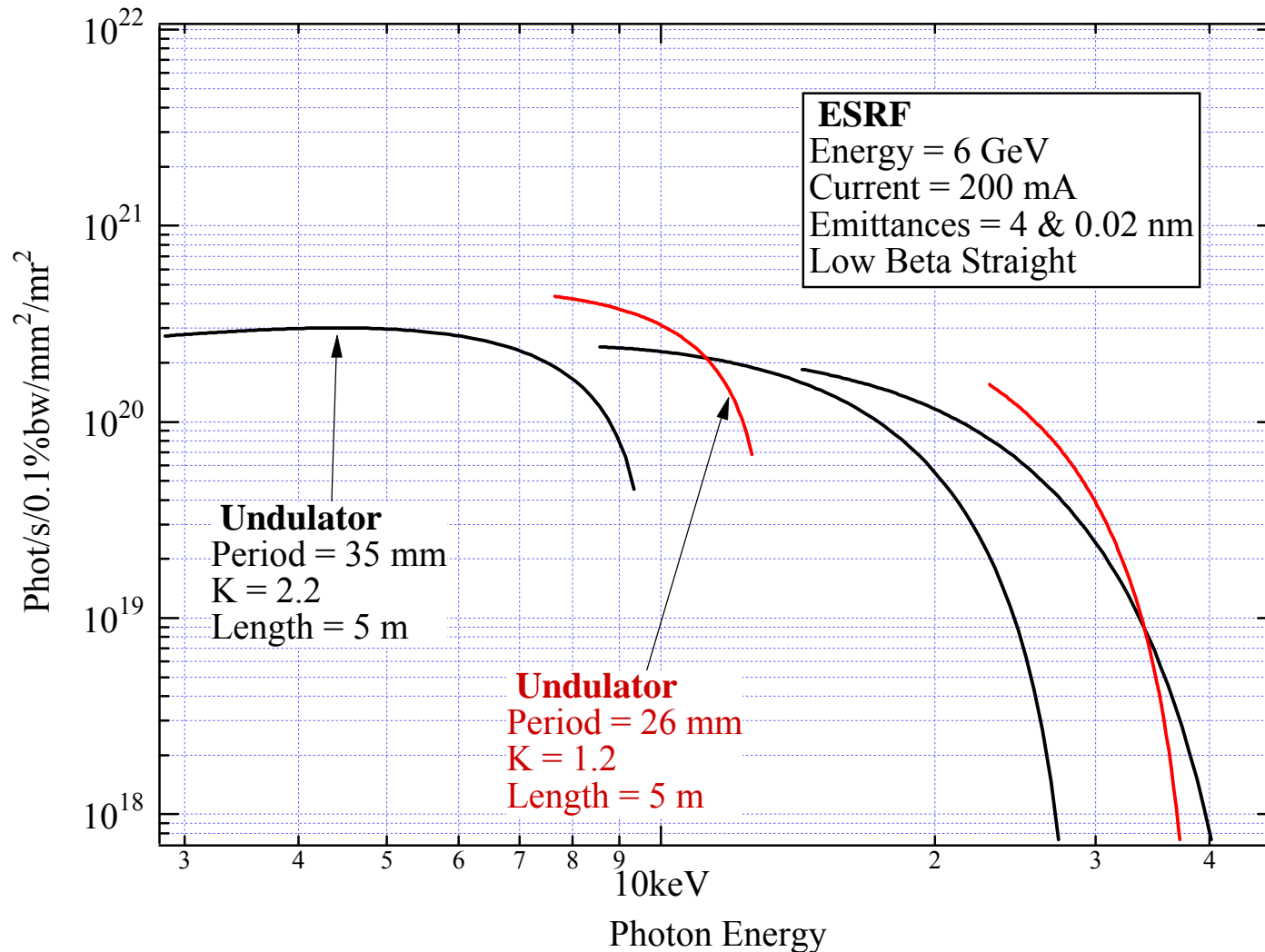
$$\text{Flux} \quad F(\omega) = \int \int \int \int B(\omega, x, x', z, z') dx dz dx' dz'$$

$$\text{Angular Flux} \quad \frac{dF}{d\Omega}(\omega) = \int \int \int \int B(\omega, x, x', z, z') dx dz$$

- Such brilliance can be negative for some x, x', z, z', ω !!
- The spatial and angular distribution of the single electron emission are not Gaussian => There exists different definitions of σ_R and σ'_R
- There is no simple way to include energy spread except performing a complicated convolution that nobody has ever tried to do numerically

The expression of the planar undulator brilliance on an odd harmonic on-axis is nevertheless widely used and is one of the most important figures of merit for a light source. It combines the requirements of: large flux, small divergence and small beam sizes

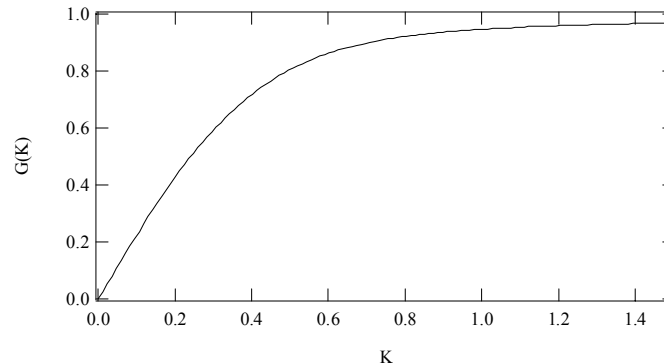
Brilliance vs Photon Energy



Power and Power Density

$$Power[kW] = 0.633\hat{B}^2[T]E^2[GeV]I[A]L[m]$$

$$\frac{Power}{Solid\ Angle}[W / mr^2] = 10.84\hat{B}[T]E^4[GeV]I[A]NG(K)$$

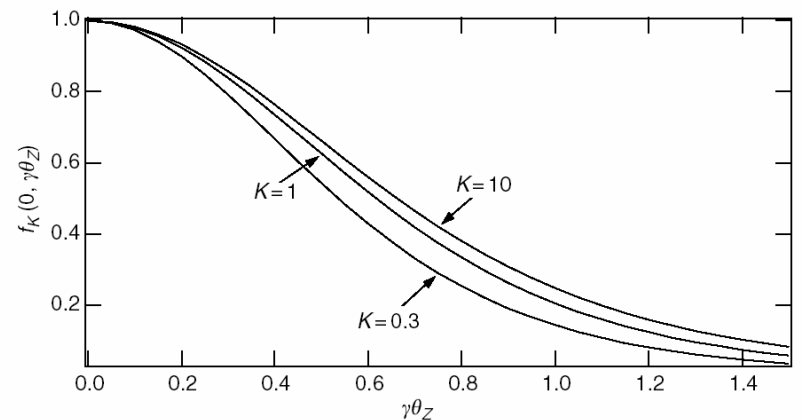
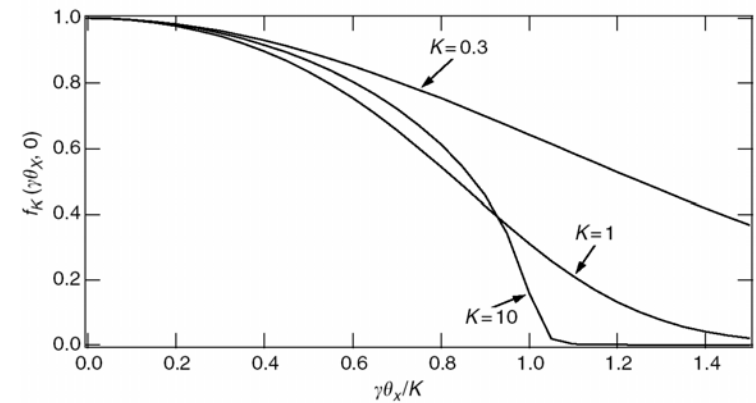
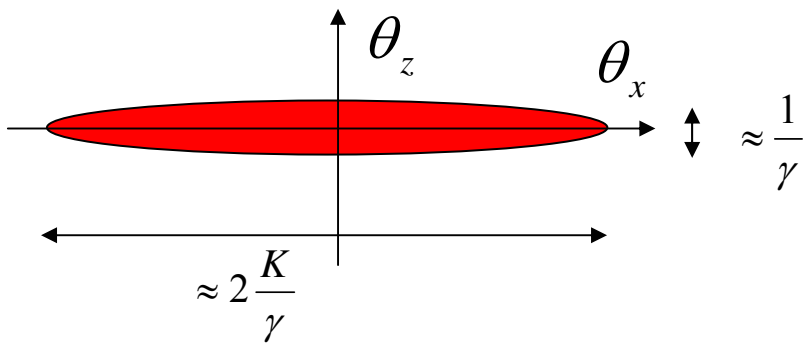


For an undulator : Length = 5 m, Field = 0.75 T, Period =35 mm

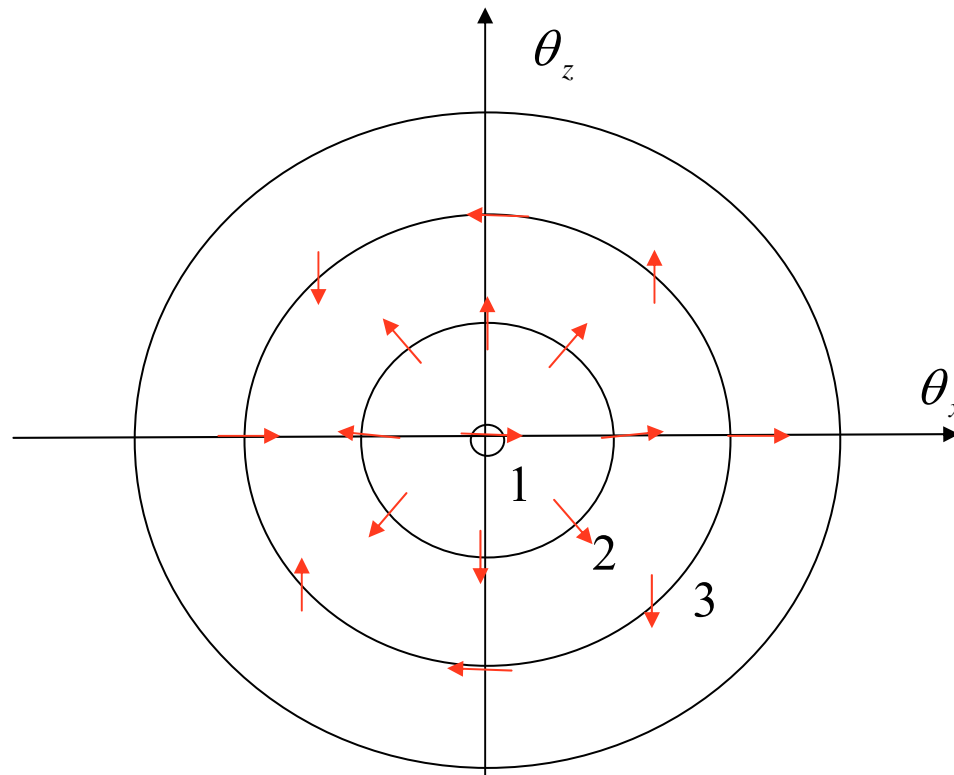
| Ring | Energy | Current | Power | Power Density |
|----------|--------|---------|-------|----------------|
| | | | | @ 10 m on-axis |
| | [GeV] | [A] | [kW] | [kW/mm2] |
| SuperACO | 0.8 | 0.5 | 0.57 | 0.0023 |
| SLS | 2.4 | 0.3 | 3.08 | 0.11 |
| ESRF | 6 | 0.2 | 12.82 | 2.96 |

Power Density Profile

$$\frac{\text{Power}}{\text{Solid Angle}}(\theta_x, \theta_z) = \frac{\text{Power}}{\text{Solid Angle}}(0, 0) f_K(\gamma\theta_x, \gamma\theta_z)$$



Planar field undulators produce only linearly polarized radiation.



On-axis :

- Single electron emission is 100% linearly polarized
- With emittance (and energy spread) some small depolarisation is generated

Ellipsoidal Undulator

$$\text{Magnetic field: } \vec{B} = (\hat{B}_x \sin(2\pi \frac{s}{\lambda_0} + \varphi), \hat{B}_z \sin(2\pi \frac{s}{\lambda_0}), 0)$$

$$\text{Electron velocity: } \vec{v} = (\frac{K_x}{\gamma} \cos(2\pi \frac{s}{\lambda_0}), \frac{K_z}{\gamma} \cos(2\pi \frac{s}{\lambda_0} + \varphi), 1) + o(\frac{1}{\gamma})$$

$$\text{with: } K_x = \frac{e\hat{B}_z \lambda_0}{2\pi mc^2} \text{ and } K_z = \frac{e\hat{B}_x \lambda_0}{2\pi mc^2}$$

Like the planar undulator, it generates an harmonic spectrum with peaks at :

$$\lambda = \frac{\lambda_0}{2n\gamma^2} \left(1 + \frac{K_x^2}{2} + \frac{K_y^2}{2} + (\gamma\theta_x)^2 + (\gamma\theta_y)^2 \right)$$

The polarisation is in general ellipsoidal depending on direction and wavelength

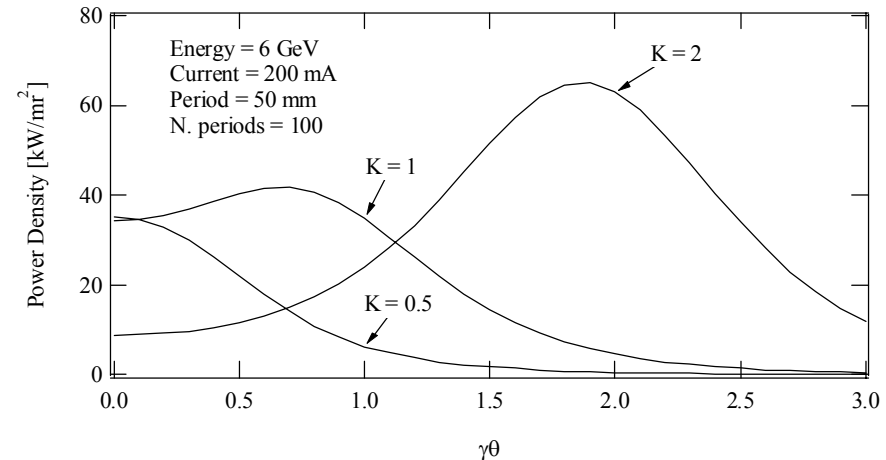
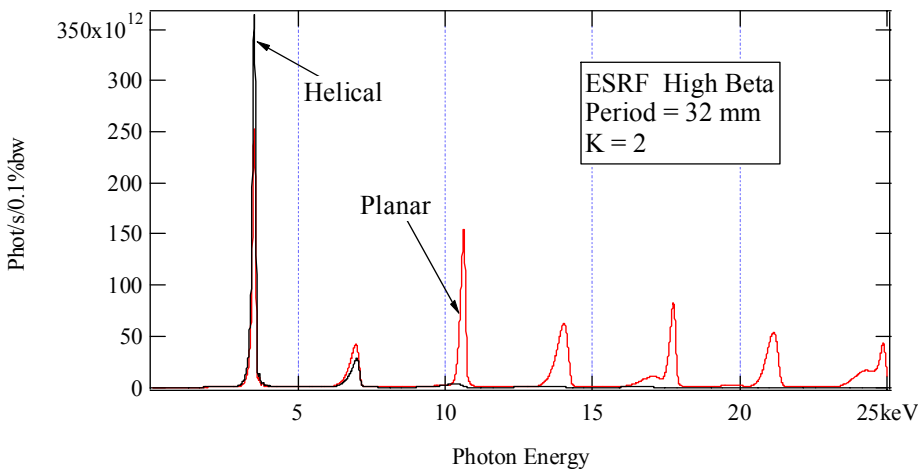
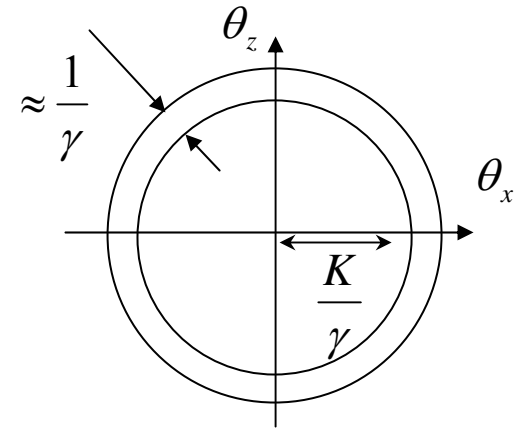
The footprint of the angular power density is an ellipse :

Helical Undulator

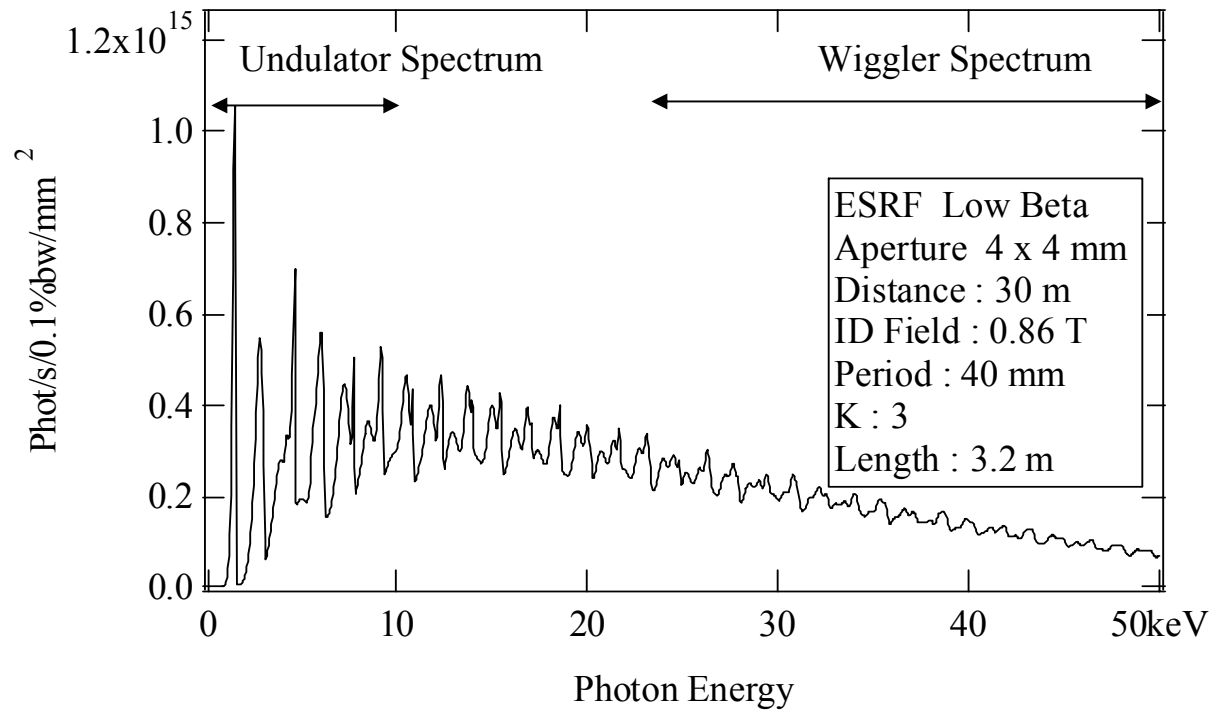
Magnetic field : $\vec{B} = (\hat{B} \cos(2\pi \frac{s}{\lambda_0}), \hat{B} \sin(2\pi \frac{s}{\lambda_0}), 0)$

Electron velocity : $\vec{v} = (\frac{K}{\gamma} \cos(2\pi \frac{s}{\lambda_0}), \frac{K}{\gamma} \sin(2\pi \frac{s}{\lambda_0}), 1) + o(\frac{1}{\gamma})$ with : $K = \frac{e\hat{B} \lambda_0}{2\pi mc^2}$

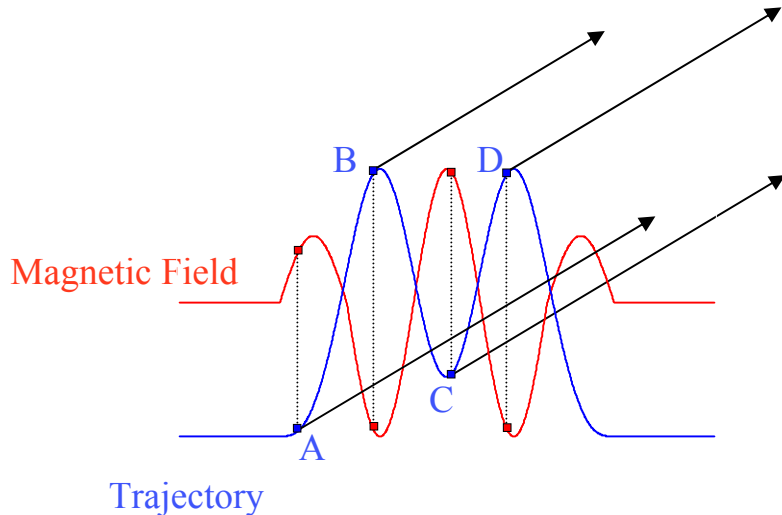
The footprint of the radiation observed on a screen makes a ring. The radiation on axis contains essentially the harmonic 1



Wiggler Spectrum



Wiggler Radiation



Wiggler Approximation

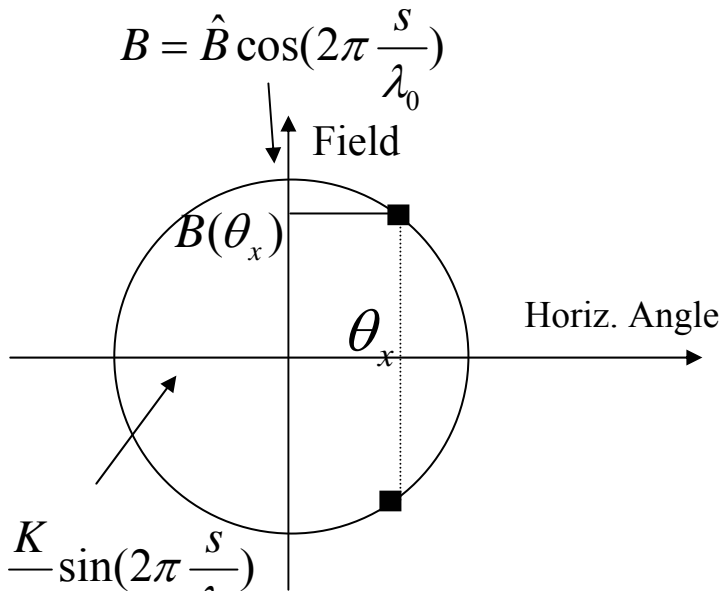
- Construct source points (tangent to direction of observation)
- Simply add the Spectral Flux from each point treated as a bending magnet (no interference effects)
- Two Source points per period

Characteristics

- Numerically simple and inexpensive to compute
- Critical Energy decays off-axis horizontal

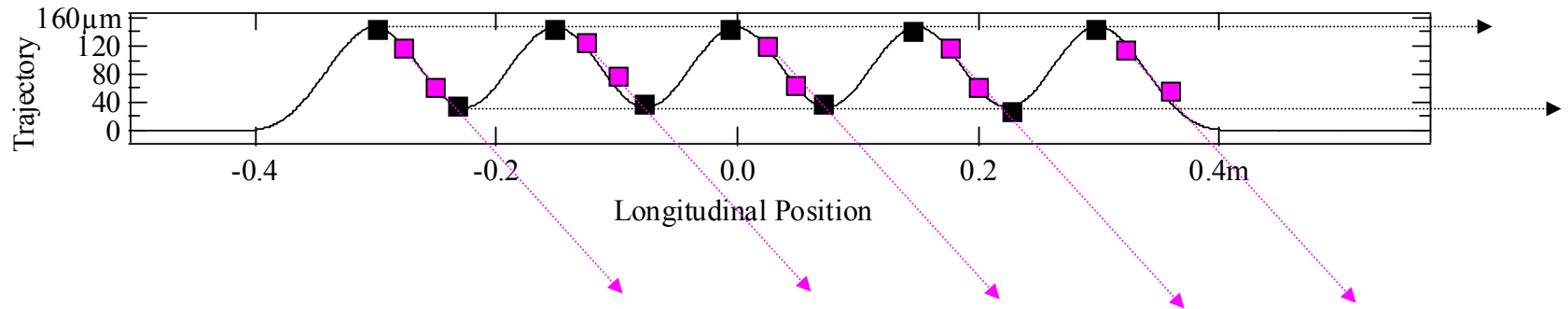
$$B(\theta_x) = \hat{B} \sqrt{1 - \left(\frac{\gamma \theta_x}{K}\right)^2}$$

- Continuous spectrum
- Flux, Brilliance, ... grows like 2 x N. of Periods
- Polarization :
 - linear in Median Plane
 - depolarized off-axis
- Multiple source points when observing off-axis



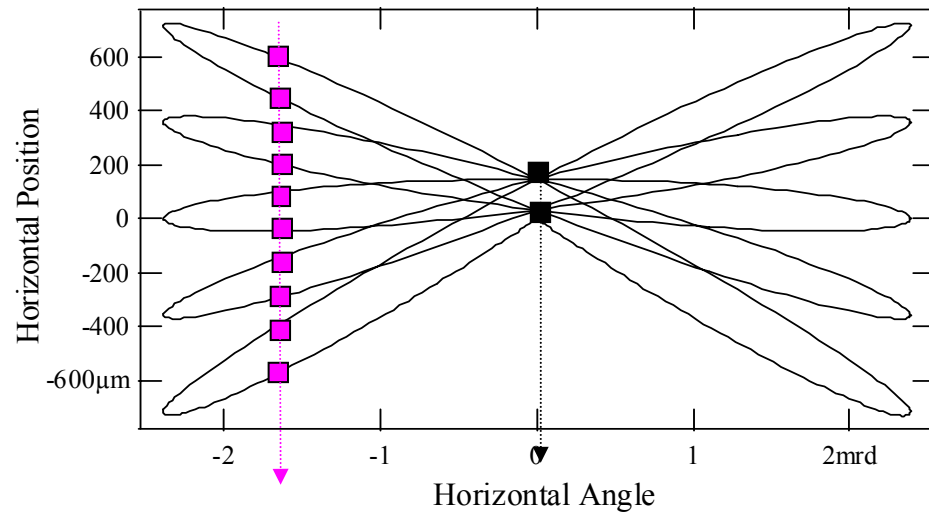
$$\theta_x(s) = \frac{K}{\gamma} \sin\left(2\pi \frac{s}{\lambda_0}\right)$$

Multiple Source Points in Horizontal Plane



Wiggler
 $B = 2 \text{ T}$
 Period = 150 mm
 $K = 28$
 N. Periods = 5
 Energy = 6 GeV

$$L \frac{K}{\gamma}$$



$$2 \frac{K}{\gamma}$$

Remarks on Wigglers and Undulators

- It is customary to call undulators a device with $K < 2.5$ and wiggler a device with $K > 2.5$. This is partly justified by the fact that there is little practical interest in making use of an undulator spectrum with $K > 2.2$ except if one wants to reach a very low energy.
- All devices behave partly as an undulator (at low energy) and partly as a wiggler (at high energy)
- In the past 10 years, it has been observed in most synchrotron light sources that undulators are preferred to wigglers :
 - They generate high brilliance
 - They can be used at a higher photon energy than originally expected by reducing the magnetic gap and making use of high harmonic numbers

Computer codes for undulator/wiggler radiation including emittance and energy spread

| Name | Authors | Platform | Download |
|-----------|---|-------------------------|---|
| B2E & SRW | O. Chubar, P. Elleaume, ESRF | Mac, Windows | http://www.esrf.fr/machine/groups/insertion_devices/Codes/software.html |
| URGENT | R.P. Walker, Diamond Light Source | Fortran Source | Contact Author |
| XOP | M. Sánchez del Río , ESRF & R. J. Dejus, APS | Unix, Windows | http://www.esrf.fr/computing/scientific/xop/ |
| SPECTRA | T. Tanaka, SPring8 | Unix, Windows Mac | http://www.spring8.or.jp/ENGLISH/facility/bl/insertion/Softs/index.html |