



Protection and Interlocks

CERN Accelerator School – May 2014

- * the protection context is vital
 - need to consider system, machine and organisational level impact

- * risk analysis is a core part of every engineer's toolbox
 - zero risk does not exist

- * specification of protection and interlocks is a compromise
 - they don't add to the function, but are an insurance for when things go wrong.
 - they do add to complexity, so will make the system less reliable.

1. The Context - Accelerator Challenges

Stored Beam Energy
Stored Magnetic Energy

2. Risk Analysis

Safety – Protection – Plant
Powering Protection
Interlock Implementation

3. An Example Realisation

Beam Interlock System
Failure Modes Effects and Criticality Analysis

4. Murphy's Law – Lessons Learned

**** if I have time left ****

September 2008
January 2013

CERN Accelerator Complex

LHC Page1

Fill: 1645

E: 3500 GeV

22-03-2011 21:24:54

PROTON PHYSICS: STABLE BEAMS

Energy:

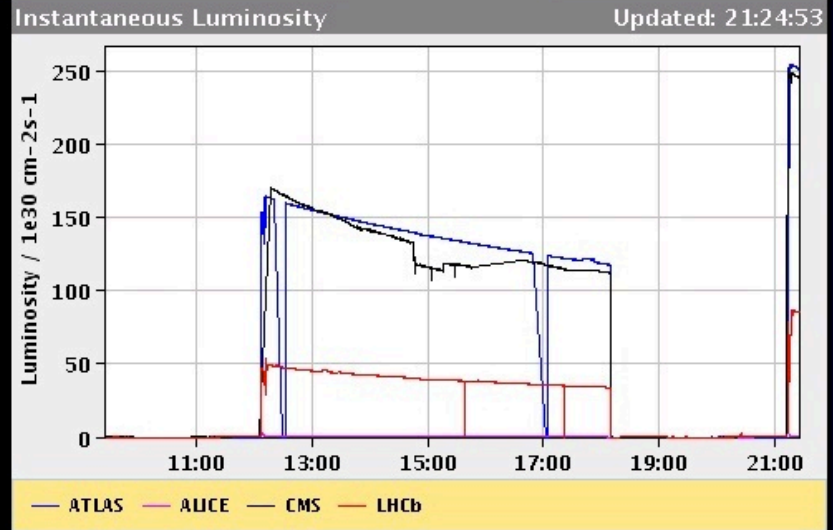
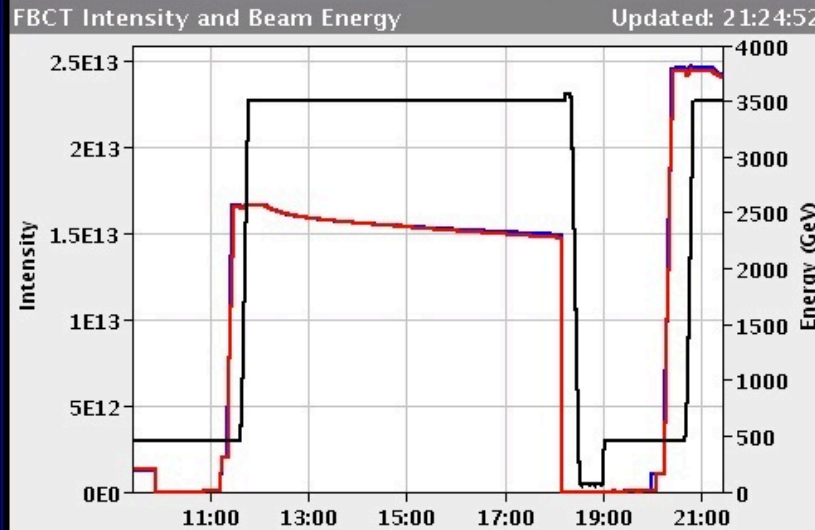
3500 GeV

I(B1):

2.43e+13

I(B2):

2.41e+13



Comments 22-03-2011 21:21:07 :

STABLE BEAMS

BIS status and SMP flags

B1 B2

Link Status of Beam Permits	true	true
Global Beam Permit	true	true
Setup Beam	false	false
Beam Presence	true	true
Moveable Devices Allowed In	true	true
Stable Beams	true	true

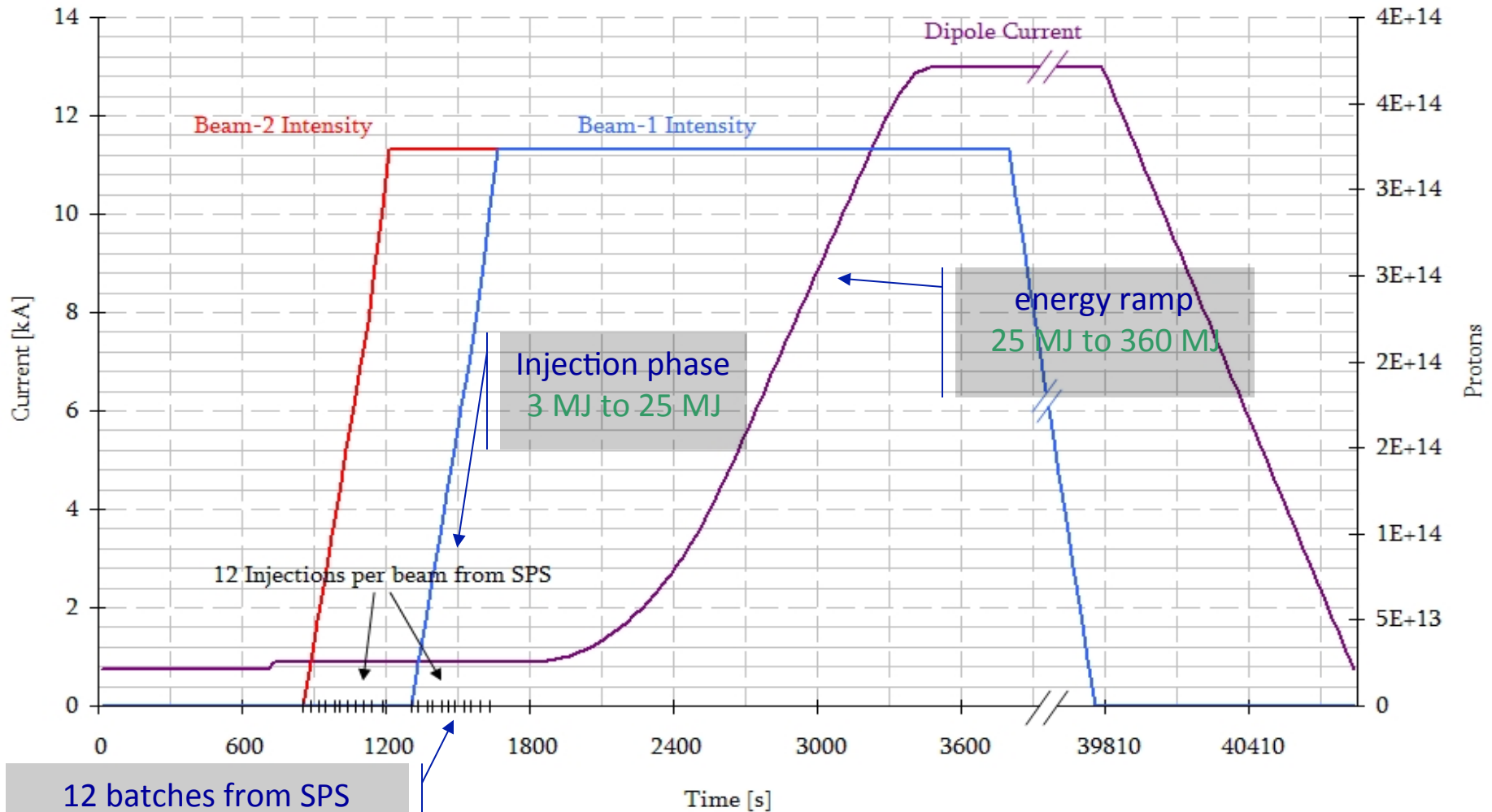
AFS: 75ns_200b_194_178_188_24bpi9inj

PM Status B1

ENABLED

PM Status B2

ENABLED



12 batches from SPS
(each 218 or 288 bunches)
≈ 3 MJ per batch

...To see the rarest events...

LHC needs high luminosity of 10^{34} [$\text{cm}^{-2}\text{s}^{-1}$]

particle fluence near machine
= radiation-tolerant electronics

→ 3×10^{14} p per beam

... to get 7 TeV operation...

LHC needs 8.3 Tesla dipole fields with circumference of 27 kms (16.5 miles)

... to get 8.3 Tesla ...

LHC needs super-conducting magnets $<2^\circ\text{K}$ (-271°C)

with an operational current of $\approx 13\text{kA}$

cooled in super fluid helium
maintained in a vacuum

1 ppm

Stored energy per beam is 360 MJ

Stored energy in the magnet circuits is 9 GJ

two orders of magnitude
higher than others

A magnet will QUENCH
with milliJoule
deposited energy



Kinetic Energy of 200m Train at 155 km/h \approx 360 MJ

Stored energy per beam is 360 MJ

Stored energy in the magnet circuits is 9 GJ



Kinetic Energy of 200m Train at 155 km/h \approx 360 MJ

Stored energy per beam is 360 MJ

Stored energy in the magnet circuits is 9 GJ

Kinetic Energy of Aircraft Carrier at 50 km/h \approx 9 GJ

Beam Protection:

Beam Energy



Beam Dump

100x energy of TEVATRON

0.000005% of beam lost into a magnet = quench

0.005% beam lost into magnet = damage

Failure in protection – complete loss of LHC is possible

Powering Protection:

Magnet Energy



Emergency Discharge

10-20x energy per magnet of TEVATRON

magnet quenched = hours downtime

many magnets quenched = days downtime

magnet damaged = \$1 million, months downtime

many magnets damaged = many millions, many months downtime

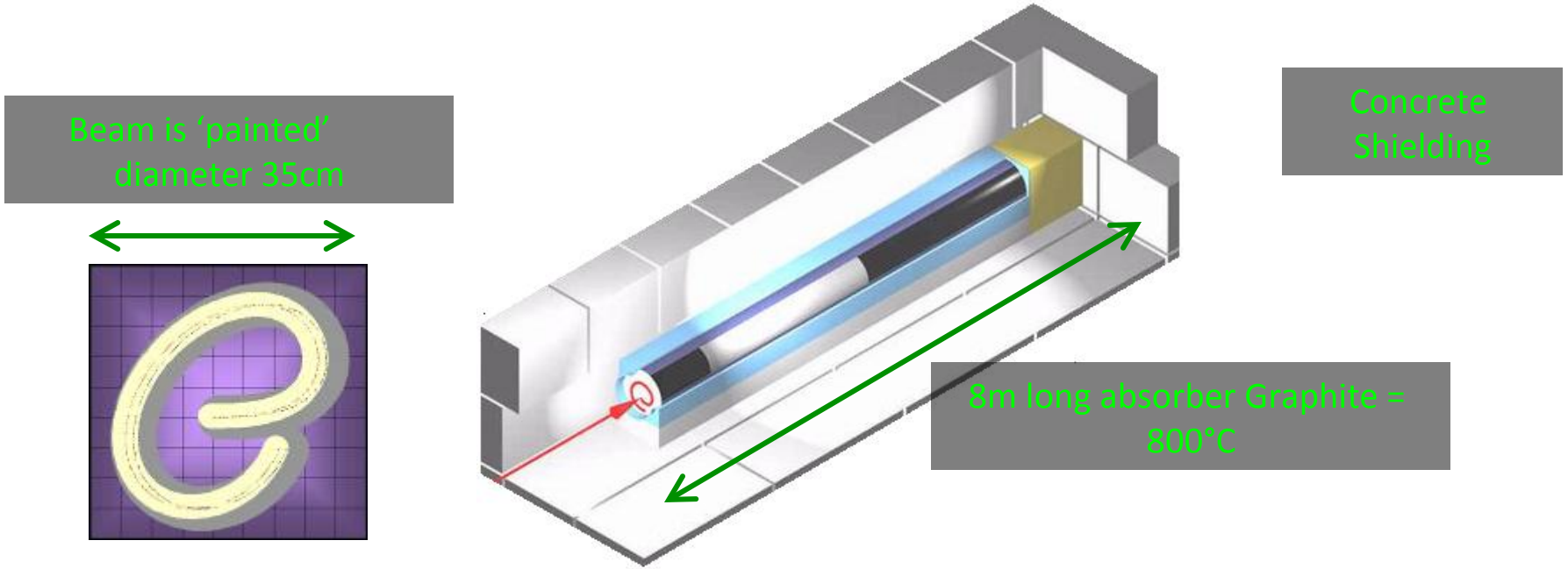


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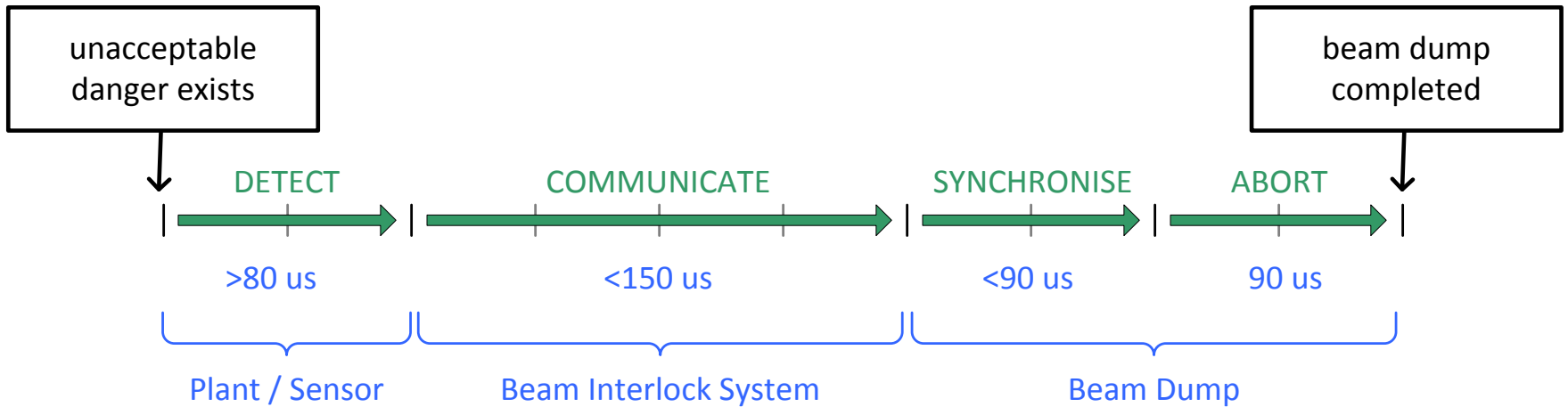
Failure in protection – complete loss of LHC is possible





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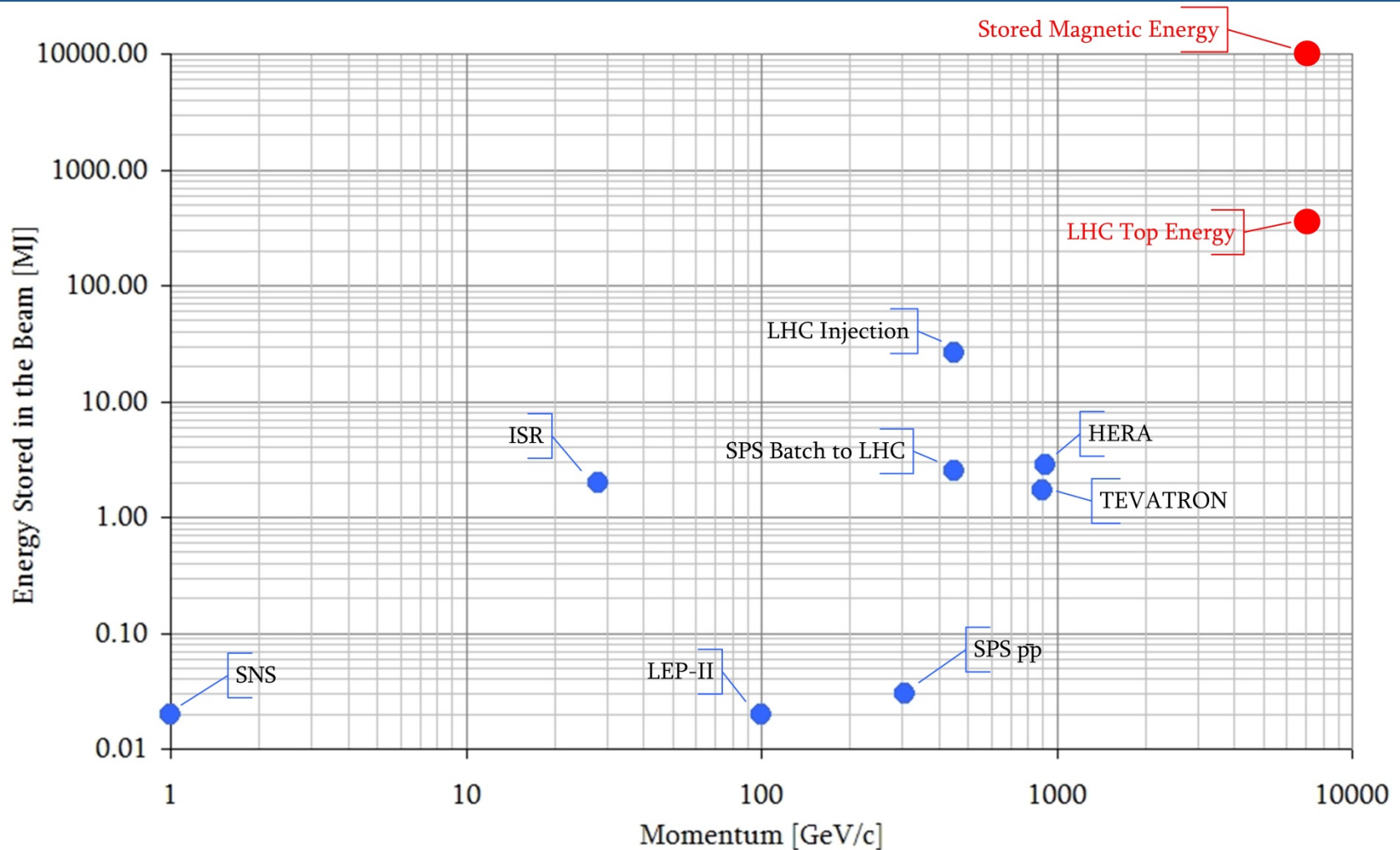
Failure in protection – complete loss of LHC is possible



To protect against fastest failure modes

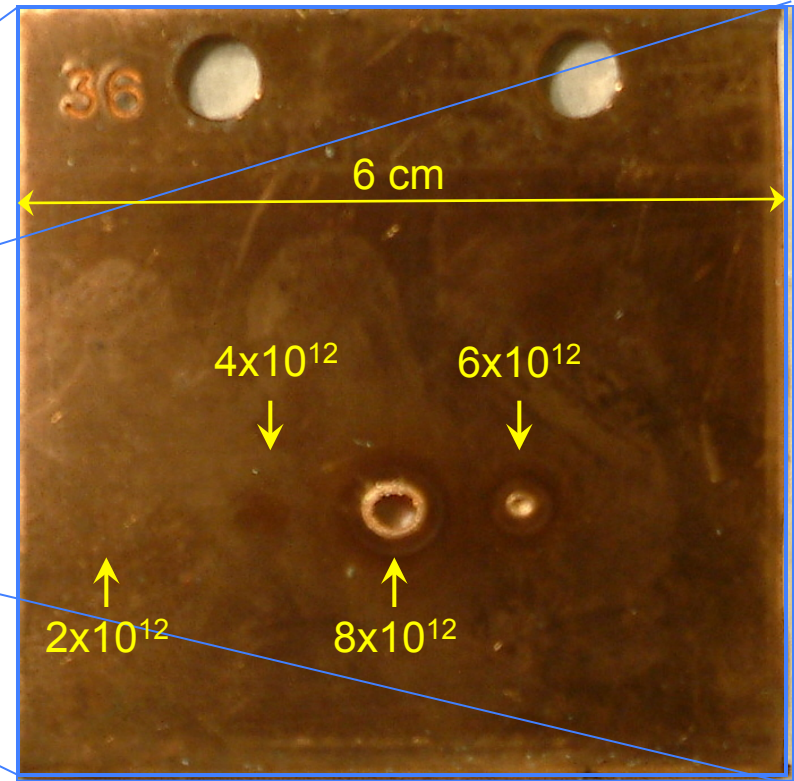
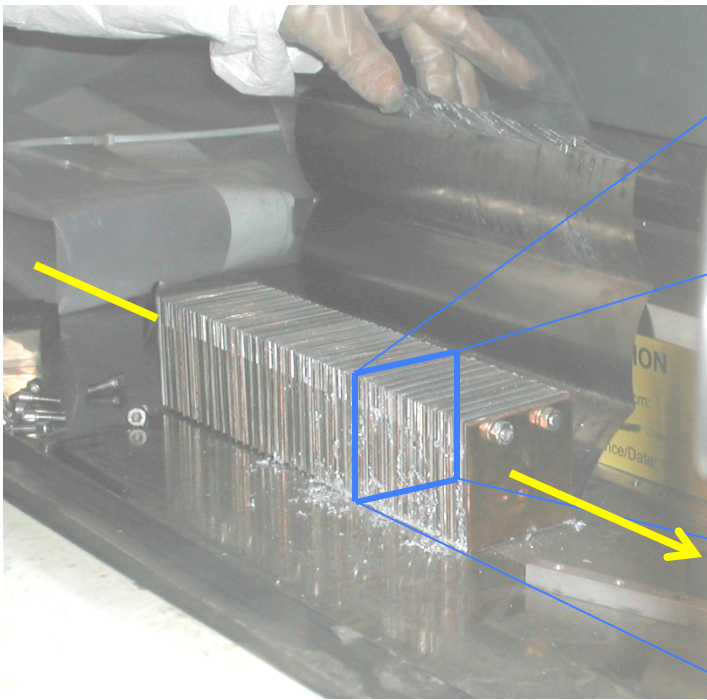
≈ 400 μs over 27km

Comparison of LHC with others



powering is split into sub-sectors:
 energy in each circuit manageable, allows for a staged commissioning

Controlled SPS experiment to qualify simulations
 At 450GeV ... 8×10^{12} protons causes damage



beam size $\sigma_{x/y} = 1.1\text{mm}/0.6\text{mm}$
 Plate 2mm thick

0.1% LHC Full Beam Energy! Beam in LHC is 10x smaller!!

[6]

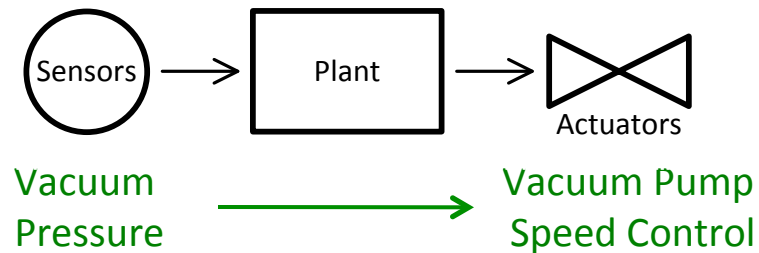
Plants, Protection and Safety

Vacuum Example:

- maintain correct pressure

Plant Systems:

Fulfill operational requirements



Plant Protection:

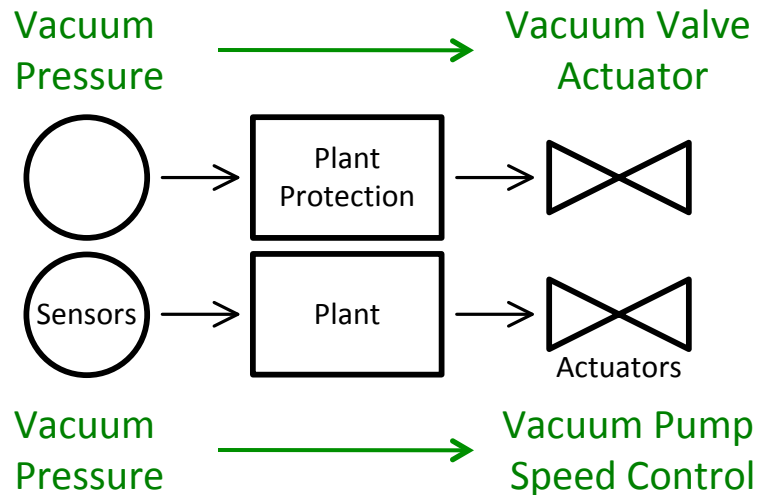
Ensure plant stays within limits

Plant Systems:

Fulfill operational requirements

Vacuum Example:

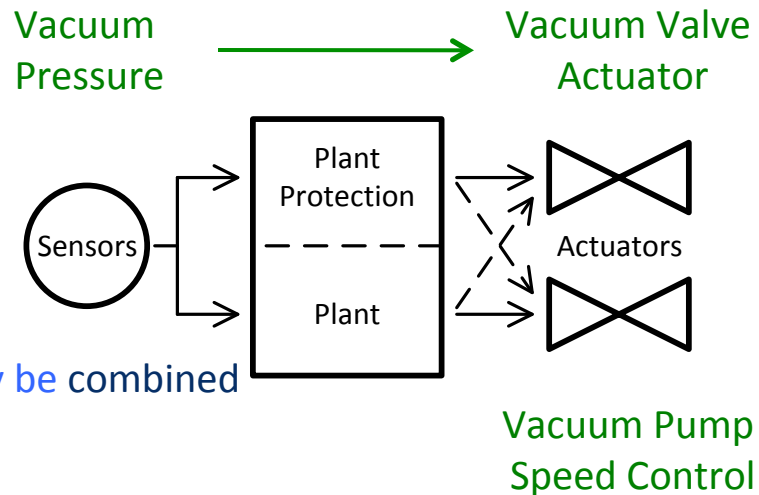
- maintain correct pressure
- bad pressure = close valves



Plant Systems:

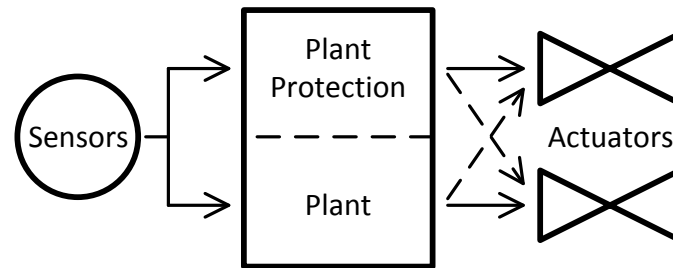
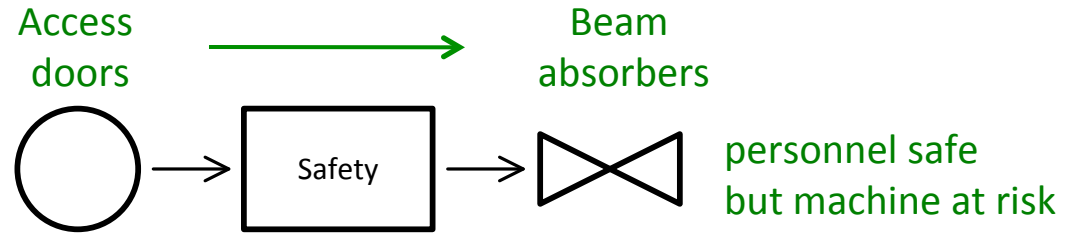
Ensure plant stays within limits
Fulfill operational requirements

- Sensors, Actuators and Process may be combined
- No rules regarding combination
- Must meet functional requirement



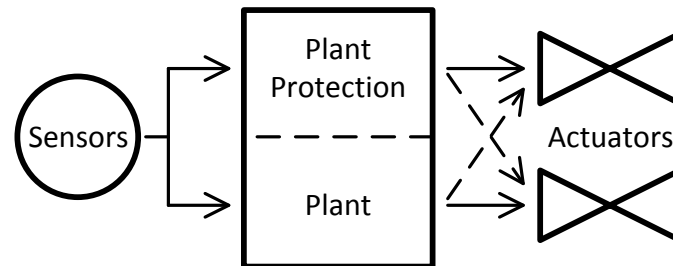
Personnel Safety System:
 People in perimeter – stop machine

- cannot be merged with plants
- **Must meet legal requirement**



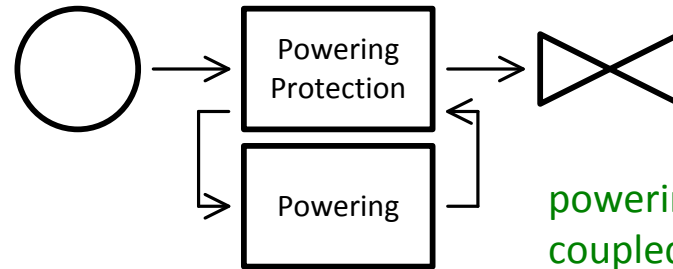
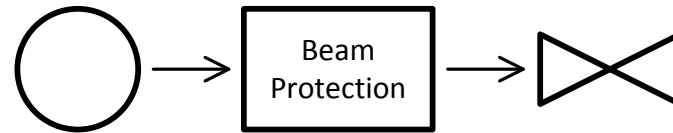
Machine Protection System:
 Prevent damage to machine
 Prevent undue stress to components

- No rules regarding implementation
- Must meet functional requirement

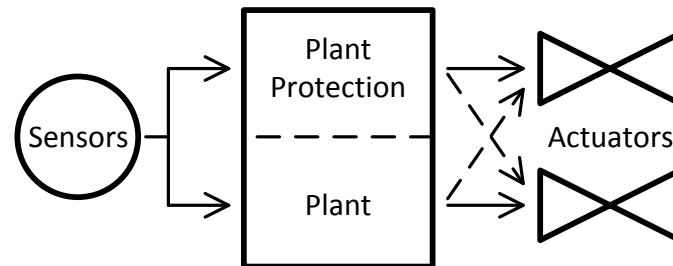


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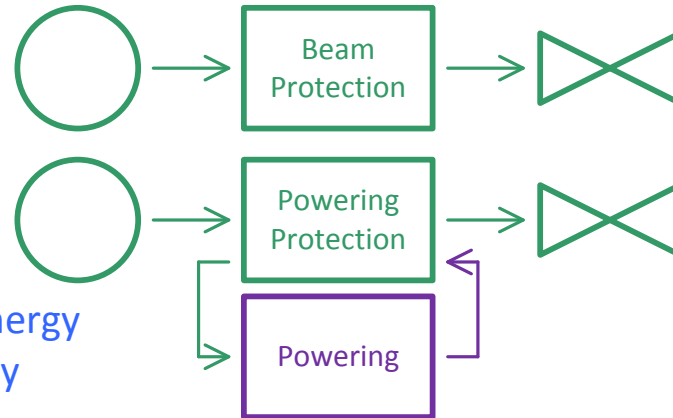
powering protection closely coupled to powering plant



Personnel Safety System:

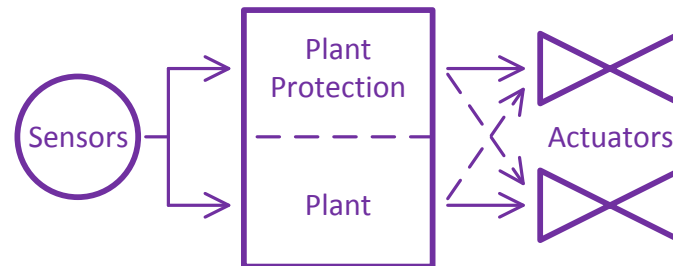


Machine Protection System:



danger will exist – prevent – extract energy
 danger exists – protect – extract energy

Plant Systems:



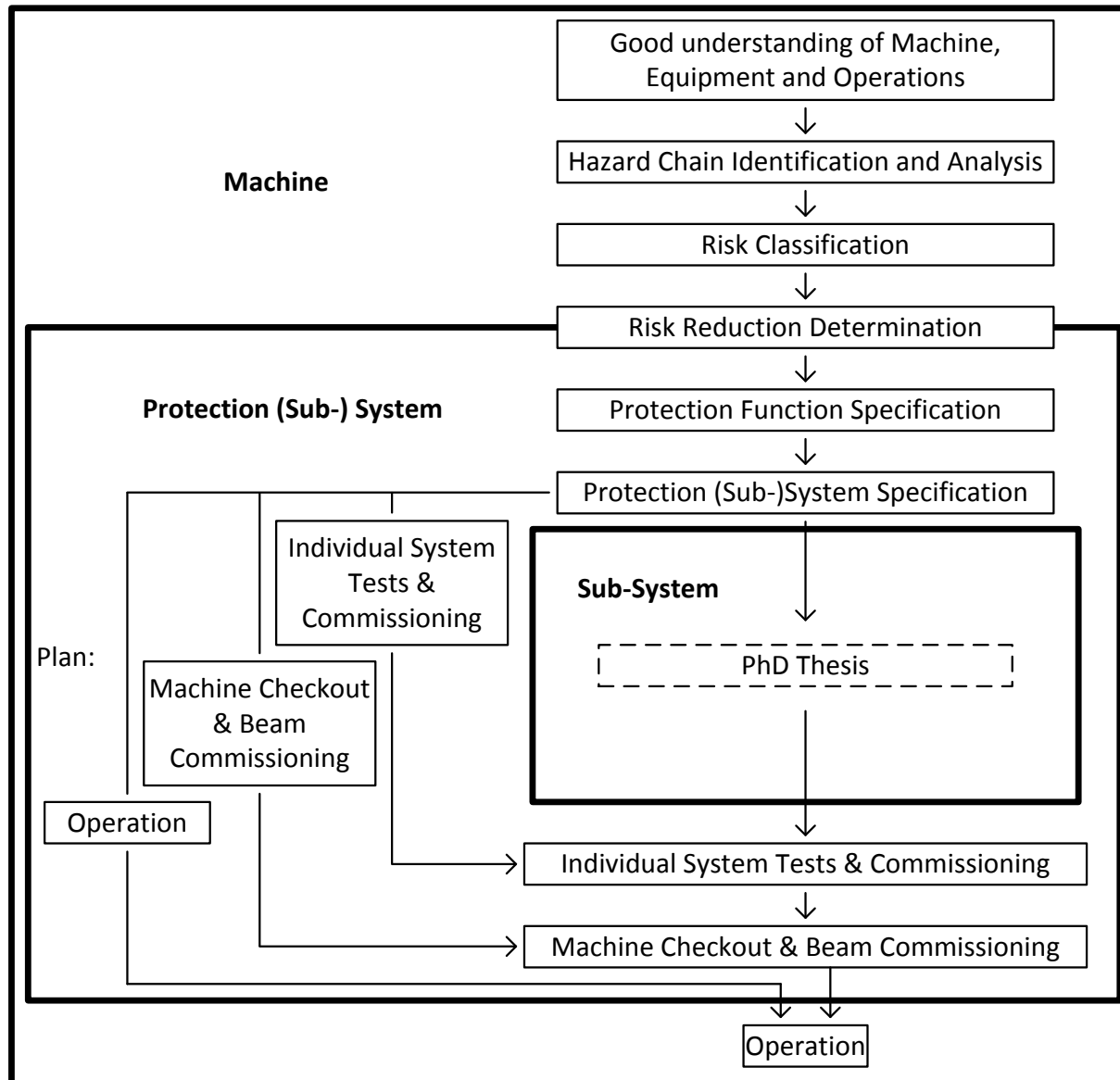
Each of these systems has a job to do...

If they malfunction, we are in a tough situation

Everything that can malfunction, will eventually malfunction...
Prepare for and accept malfunction as “normal”.

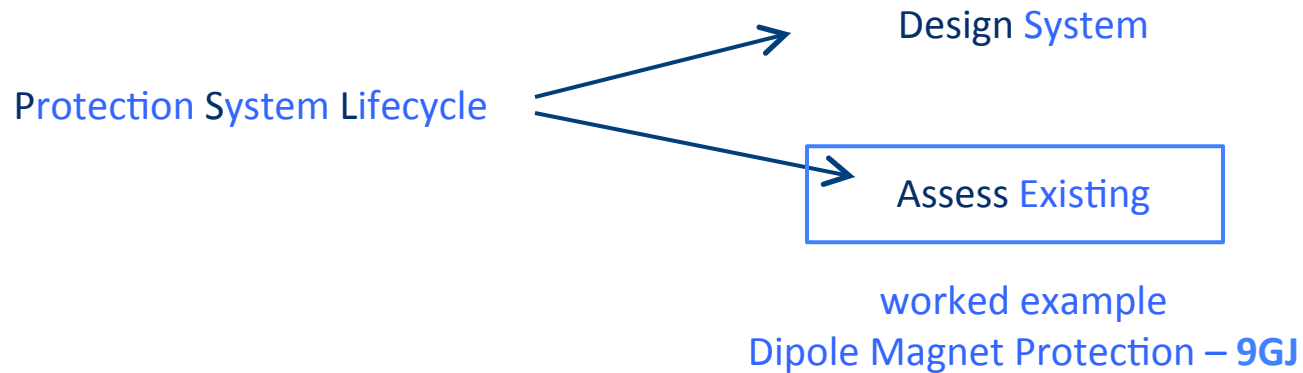
Build the systems using a risk-based approach

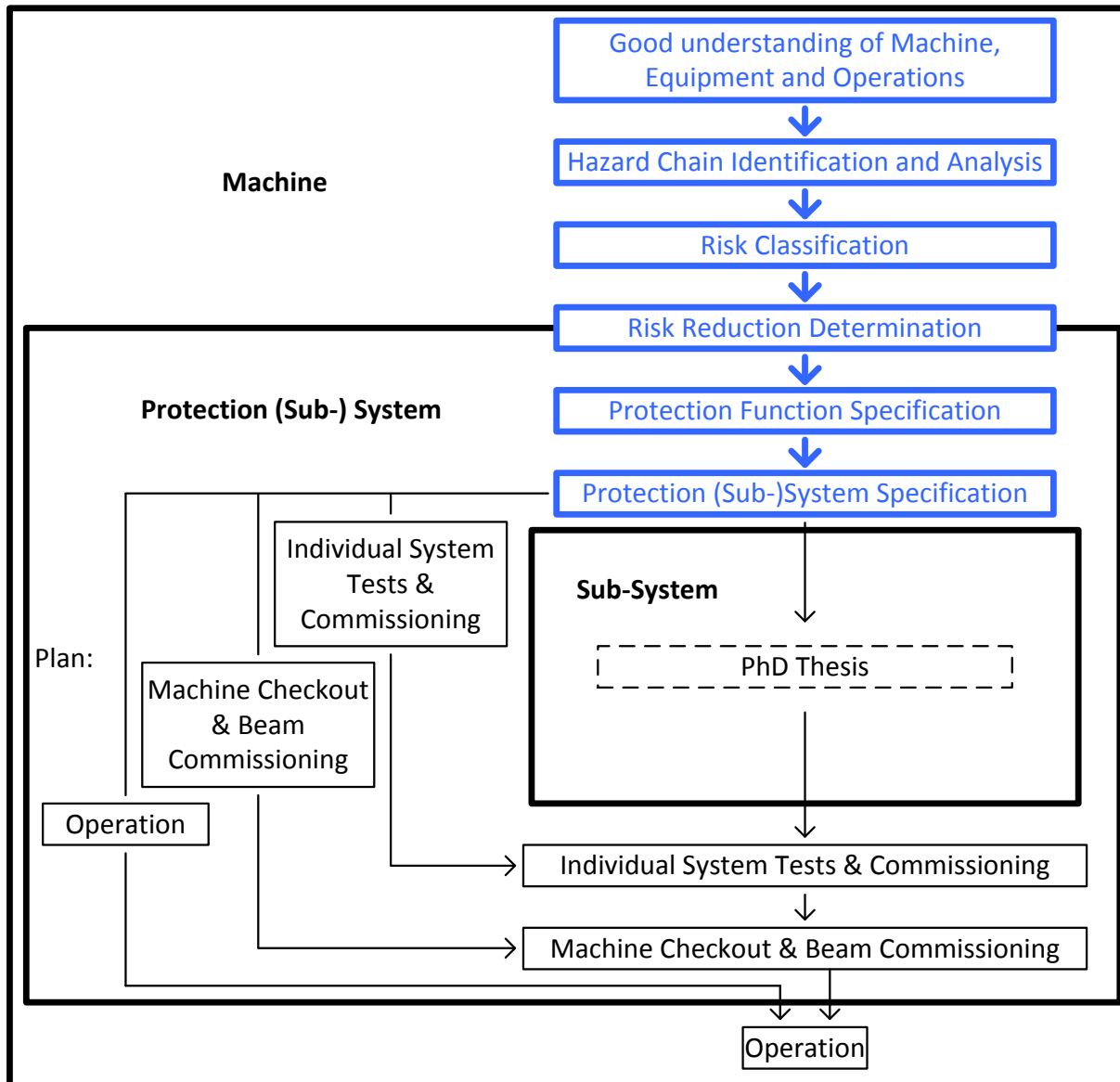
e.g. Safety Systems – IEC 61508 inspired

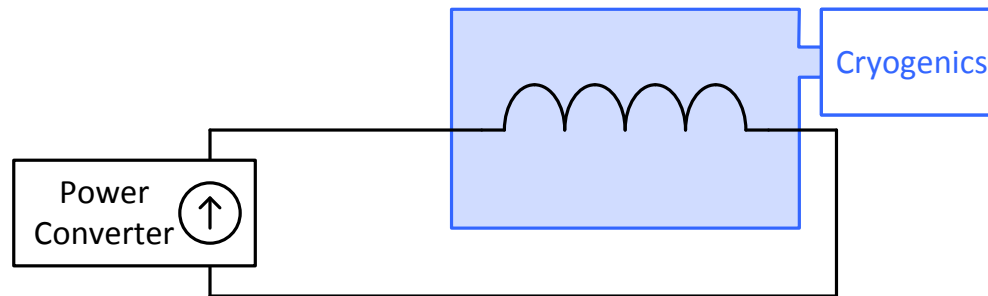


systems involved in protection are unique
certain technologies used have never been tried on this scale before
high cost of failure

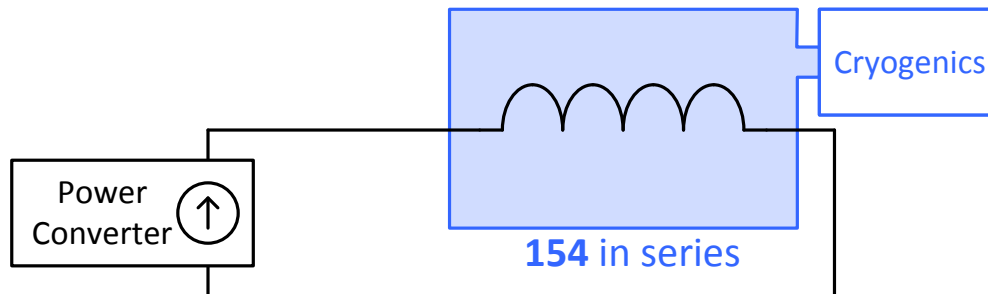
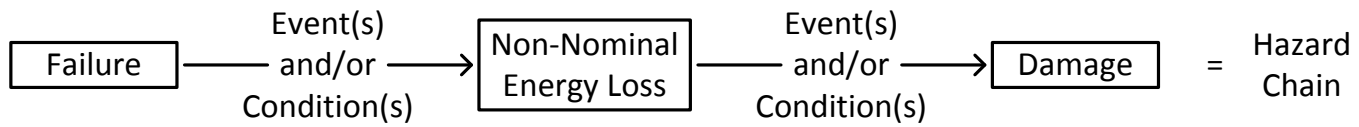
development and analysis of machine protection as if it were a safety system

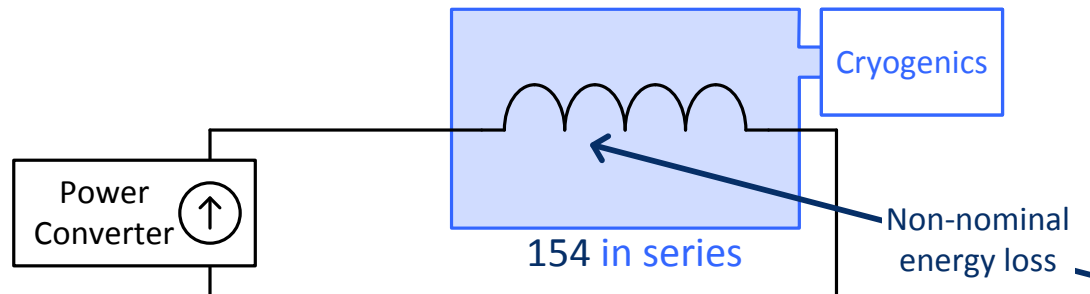
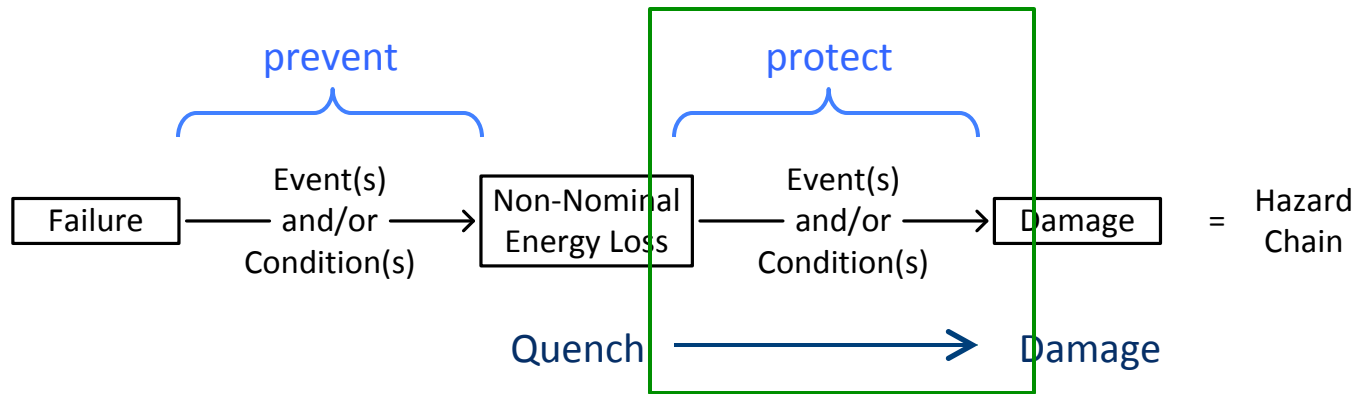






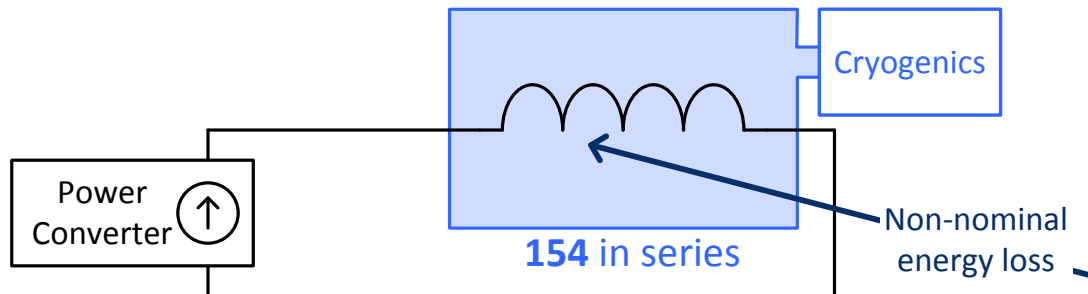
Equipment Under Control → Magnet
Cryogenics
Power Converter





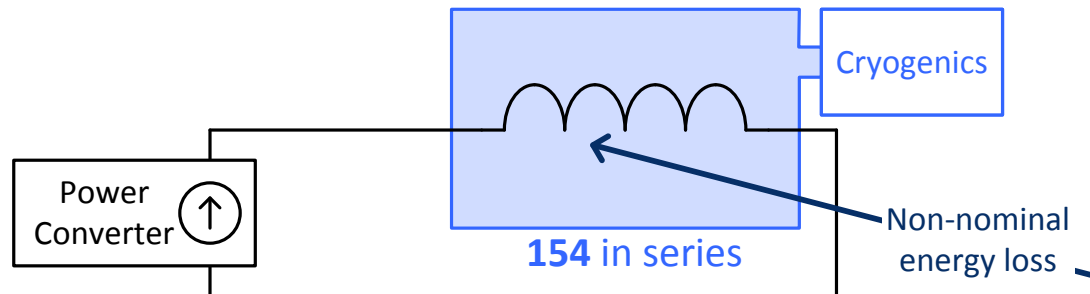
Hazard Chain: from Quench to Damage...

- Resistive zone appears in a magnet
 - I^2R losses begin
 - Zone heats up(heat propagates to neighbouring magnets)
 - Damage to magnets

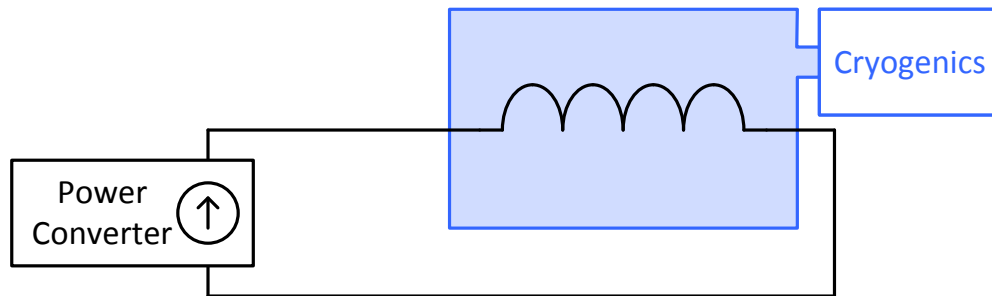


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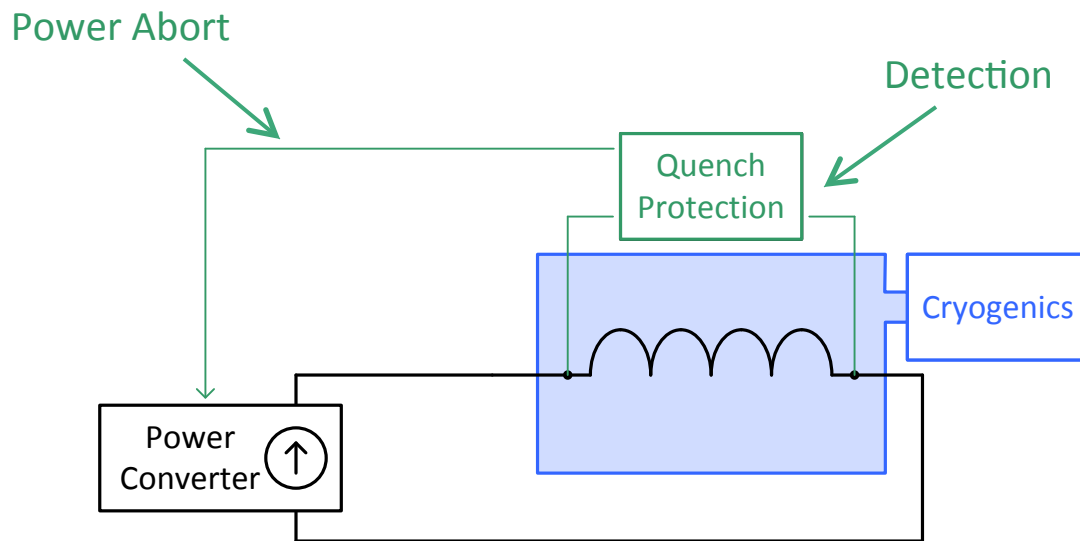


What Protection Functions and Protection Systems are in place?



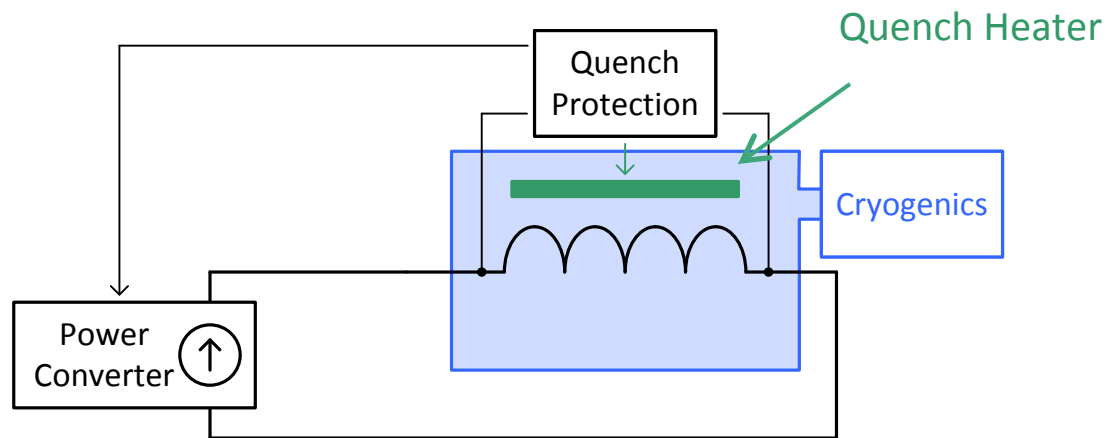
when quench occurs...

- Turn off Power Converter



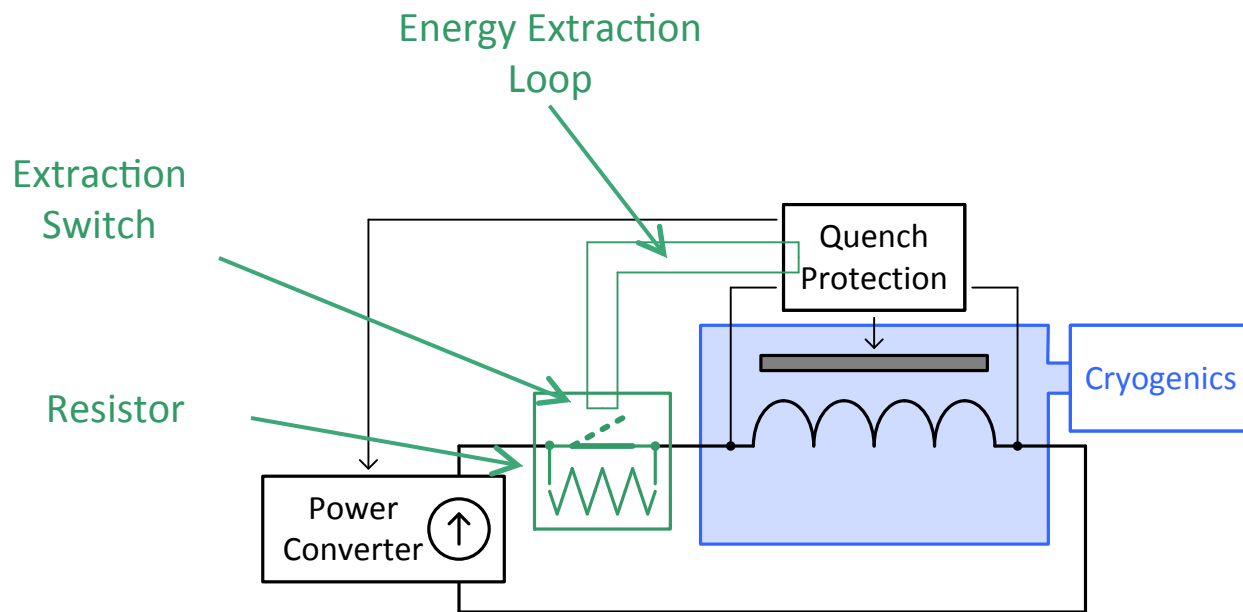
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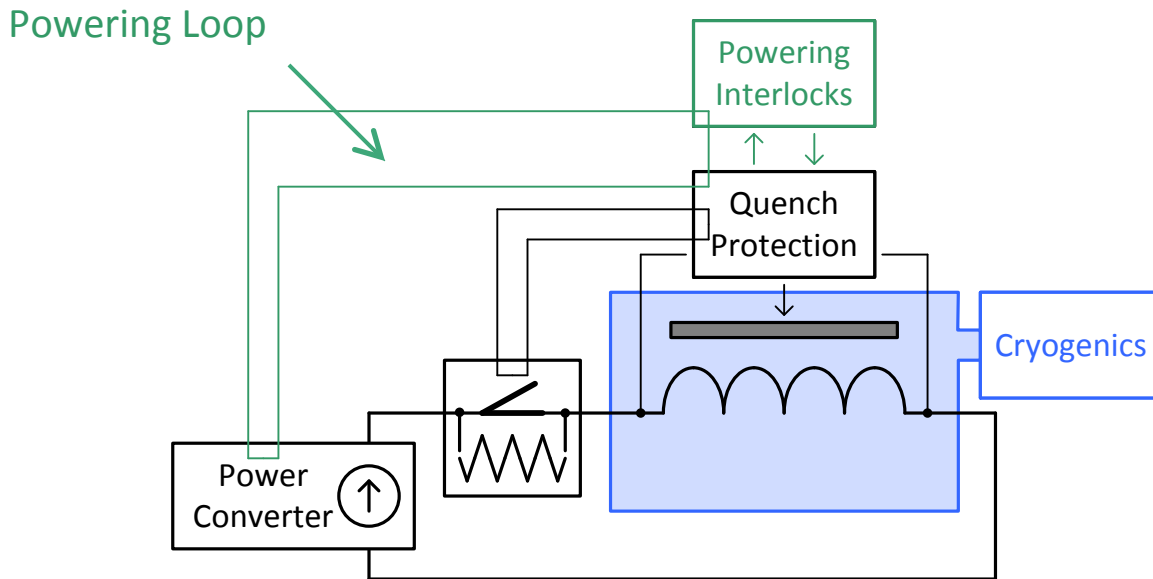
when quench occurs...

- Turn off Power Converter
- Propagate Quench



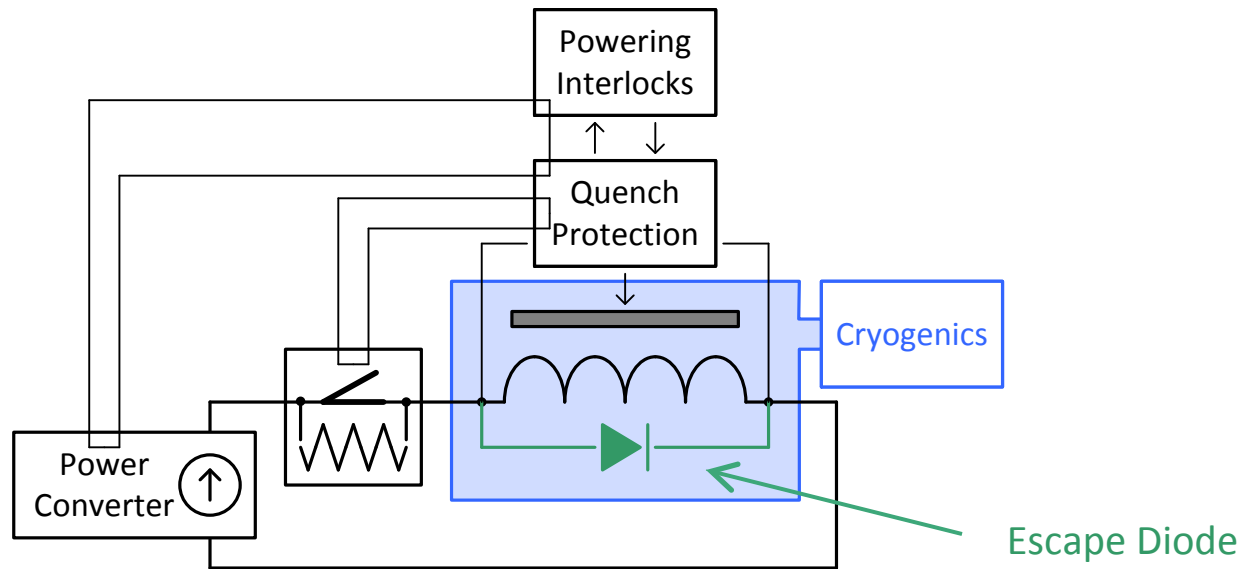
when quench occurs...

- Turn off Power Converter
- Propagate Quench
- Extract Energy



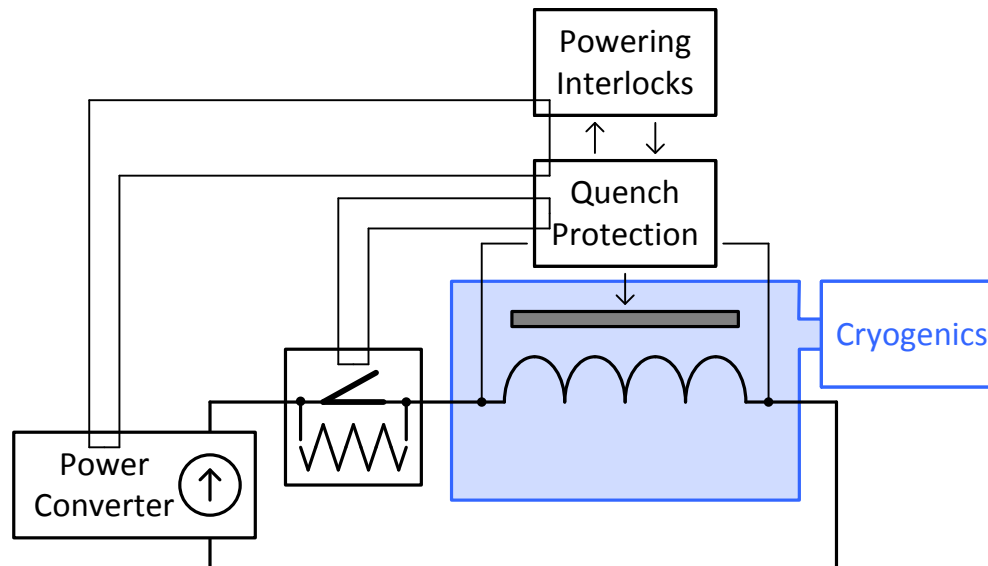
when quench occurs...

- Turn off Power Converter
 - Propagate Quench
 - Extract Energy
- Link Related Circuits



when quench occurs...

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when quench occurs...

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classify probability and consequence using risk matrix

Colour boundaries, probabilities, consequences intentionally vague = talking points

Magnets Damaged

	one	few	some	many
High				
Medium				
Low				
Negligible				

risk, if function didn't exist, according to system experts...

- Turn off Power Converter
 - Propagate Quench
 - Extract Energy
 - Link Related Circuits

classify probability and consequence using risk matrix

Colour boundaries, probabilities, consequences intentionally vague = talking points

Magnets Damaged

	one	few	some	many
High	orange	orange	orange	purple
Medium	green	green	orange	orange
Low	blue	green	green	green
Negligible	blue	blue	blue	blue

risk, if function didn't exist, according to system experts...

- Turn off Power Converter = **purple**
 - Propagate Quench = **orange**
 - Extract Energy = **purple**
 - Link Related Circuits = **green**

		Magnets Damaged			
		one	few	some	many
Probability	High				2
	Medium			1	
	Low		1		
	Negligible				

- Turn off Power Converter = **purple**
 - Propagate Quench = **orange**
 - Extract Energy = **purple**
 - Link Related Circuits = **green**

determine risk reduction level using matrix

original	desired	reduction
purple	blue	3
orange	blue	2
green	blue	1

- Turn off Power Converter = **purple**
 - Propagate Quench = **orange**
 - Extract Energy = **purple**
 - Link Related Circuits = **green**

determine risk reduction level using matrix

original	desired	reduction
purple	blue	3
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= dependability requirements

- Turn off Power Converter = **purple**
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determine risk reduction level using matrix

original	desired	reduction
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= dependability requirements

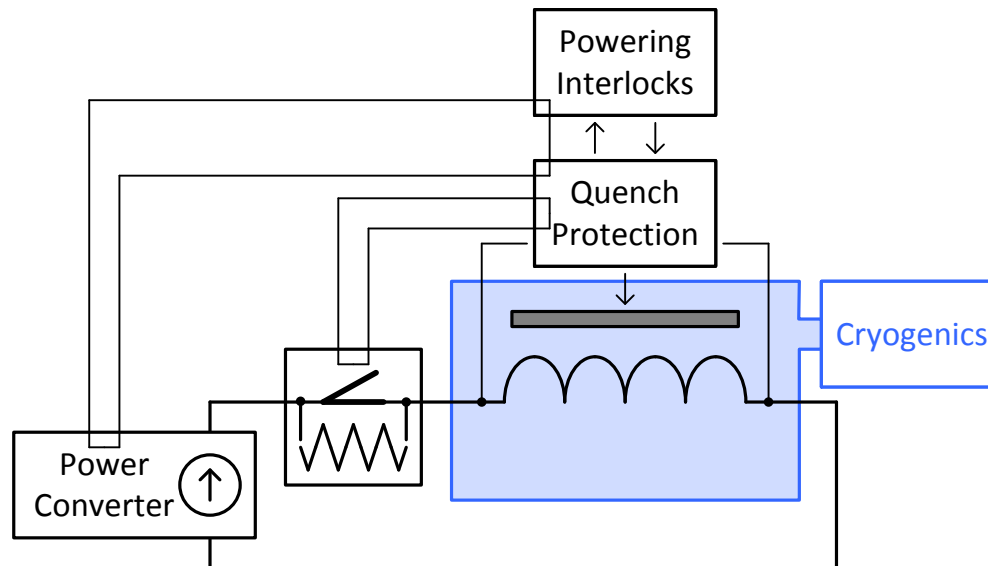
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		one	few	some	many
Probability	High				2
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- Turn off Power Converter = **purple** = 3
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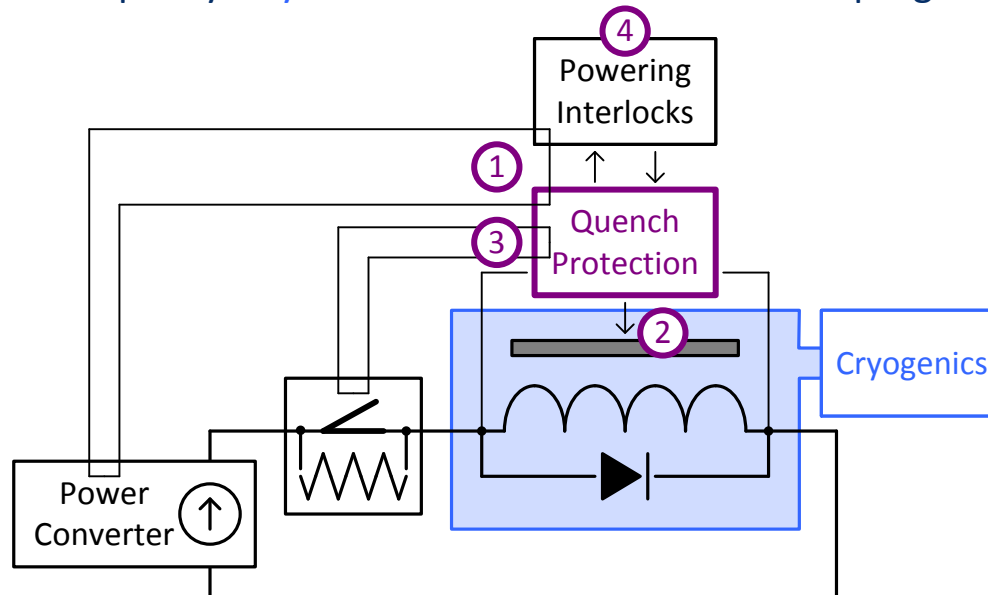
		Magnets Damaged			
		one	few	some	many
Probability	High	orange	orange	orange	purple (2)
	Medium	green	green	orange (1)	orange
	Low	blue	green (1)	green	green
	Negligible	blue	blue (1)	blue	blue

- Turn off Power Converter = purple = 3
 - Propagate Quench = orange = 2
 - Extract Energy = purple = 3
 - Link Related Circuits = green = 1



- Turn off Power Converter = purple = 3
 - Propagate Quench = orange = 2
 - Extract Energy = purple = 3
- Link Related Circuits = green = 1

How do we qualify a system meets a level? How about programmable logic?



- Turn off Power Converter = purple = 3
 - Propagate Quench = orange = 2
 - Extract Energy = purple = 3
- Link Related Circuits = green = 1

Each of these systems has a job to do...

If they malfunction, we are in a tough situation = “risky”?

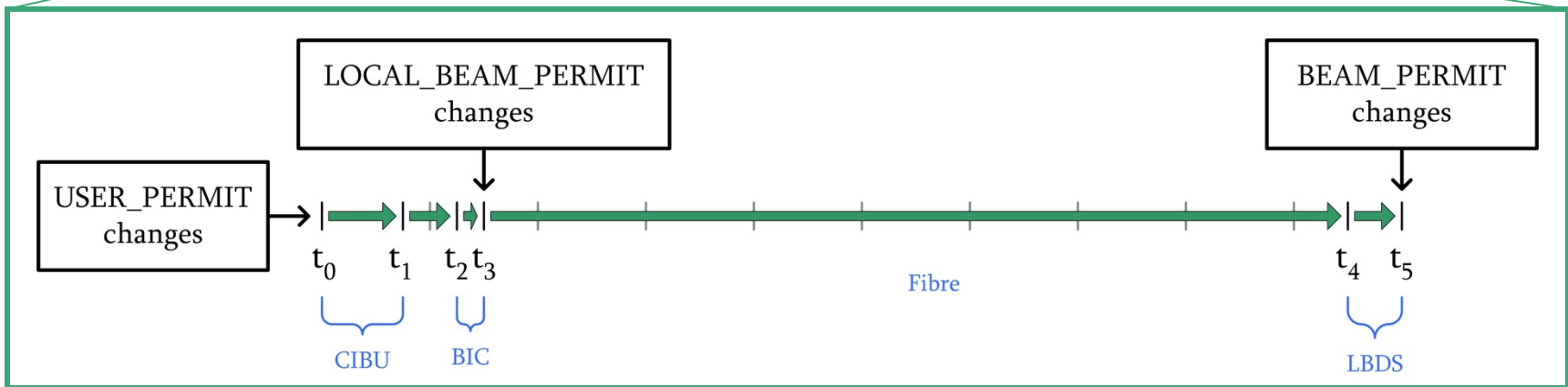
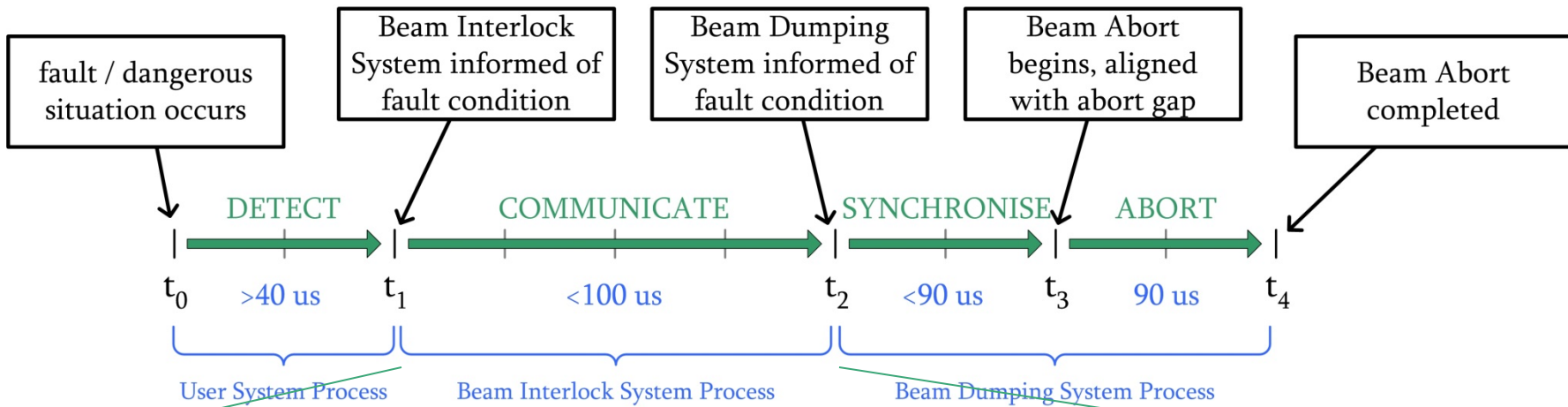
Everything that can malfunction, will eventually malfunction...

Prepare for and accept malfunction as “normal”.

Realise functions using a high-reliability approach, determine failure rates and modes

An Example System, Risk Reduction Level 3

The LHC Beam Interlock System



BIS has a dependability specification

“...[BIS] must react to a single change in USER PERMIT by correctly actioning the relevant BEAM PERMIT with a safety better than or equal to Risk Reduction Level 3. Less than 1% of missions must be aborted due to failures in the Beam Interlock System...”

High Dependability



High Safety
High Reliability
High Availability
Maintainable

Failure Modes, Effects and Criticality Analysis

In what way can something go wrong?...

...when it does go wrong, what happens to the system?...

...and just how much of a problem does this cause?

MIL-STD-1629

FMECA starts at the Component Level of a system

Break a large system into blocks, defining smaller, manageable sub-systems



get subsystem schematics, component list, and understand what it does

MIL-HDBK-338



MIL-HDBK-217

get MTBF of each component on the list, derive P_{FAIL} (mission)

MIL-HDBK-338



FMD-97

derive failure modes and failure mode ratios for each component

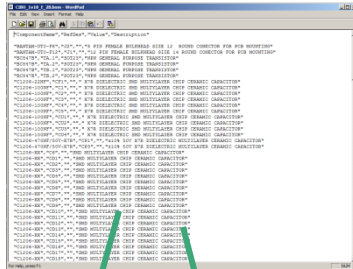


explain the effect of each failure mode on both the subsystem and system



determine the probability of each failure mode happening. Draw conclusions!

[8]

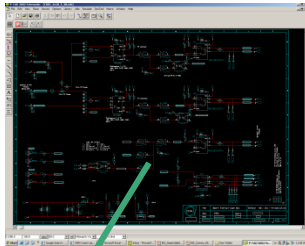


Bill of Materials

A	B	C	D	E	F	G	
1	Failure Mode Effect and Criticality Analysis						
2							
3	CERN: European Organisation for Nuclear Research						
4							
5	CRITICALITY WORK SHEET		System:	BEAM INTERLOCK SYSTEM		SubSystem:	
6							
7	Part ID	Part Description	Base Failure Rate (/10 ⁹ h)	Reference BFR	Failure Mode (FMD-97)	Failure Mode Frequency Ratio (FMD-97)	Reference FMFR
8	(schematic RefDes)						
9							
10	J1	Burndy F12	3.9	MIL-HDBK-271F-15-(1-2-3)	Open BF	0.000	FMD 97-2-47/NE12 Cable FM
11			3.9		Open BD	0.060	
12			3.9		Open M	0.090	
13			3.9		Open NE	0.241	
14			3.9		Intermittant Operation	0.552	
15			3.9		Shorted BF	0.000	
16			3.9		Shorted BD	0.006	
17			3.9		Shorted M	0.008	
18			3.9		Shorted NE	0.043	
19			3.9				

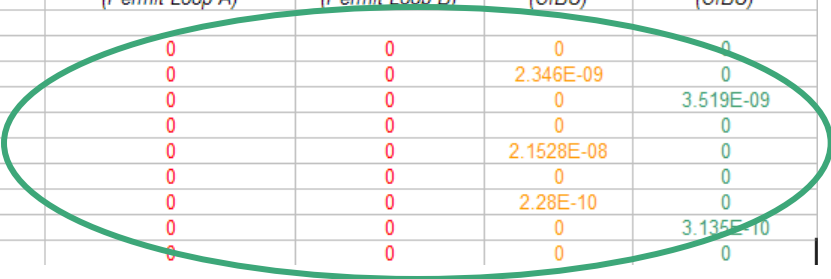
MIL-HDBK-217F
or manufacturer

FMD-97
MIL-HDBK-338



Schematic

H		I	J	K	L	M	N	O
				Criticality of system for: Blind Failures , Beam Dumps , Maintenance and No Effect				
						AB/CO/IN	Benjamin TODD	
CIBU			Version:		1v0		Date: 28.1.05	
Failure Mode Effect Analysis (BF, BD, M, NE)	Failure Mode Effect Description	Detection Method (BD automatic)	P(Fail) During Mission (CIBU)	P(Blind Fail) Permit A (Permit Loop A)	P(Blind Fail) Permit B (Permit Loop B)	P(Fail) Beam Dump (CIBU)	P(Fail) Maintenance (CIBU)	
BF	Permit A/B Fail Blind	Monitoring/Test	0.00E+00	0	0	0	0	
BD	Permit A/B break	Monitoring/Test	2.35E-09	0	0	2.346E-09	0	
M	Command/Response Fail	Monitoring/Test	3.52E-09	0	0	0	3.519E-09	
NE	No Effect	None	9.38E-09	0	0	0	0	
BD	Permit A/B break	Monitoring/Test	2.15E-08	0	0	2.1528E-08	0	
BF	Permit A/B Fail Blind	Monitoring/Test	0.00E+00	0	0	0	0	
BD	Permit A/B break	Monitoring/Test	2.28E-10	0	0	2.28E-10	0	
M	Command/Response Fail	Monitoring/Test	3.14E-10	0	0	0	3.135E-10	
NE	No Effect	None	1.68E-09	0	0	0	0	

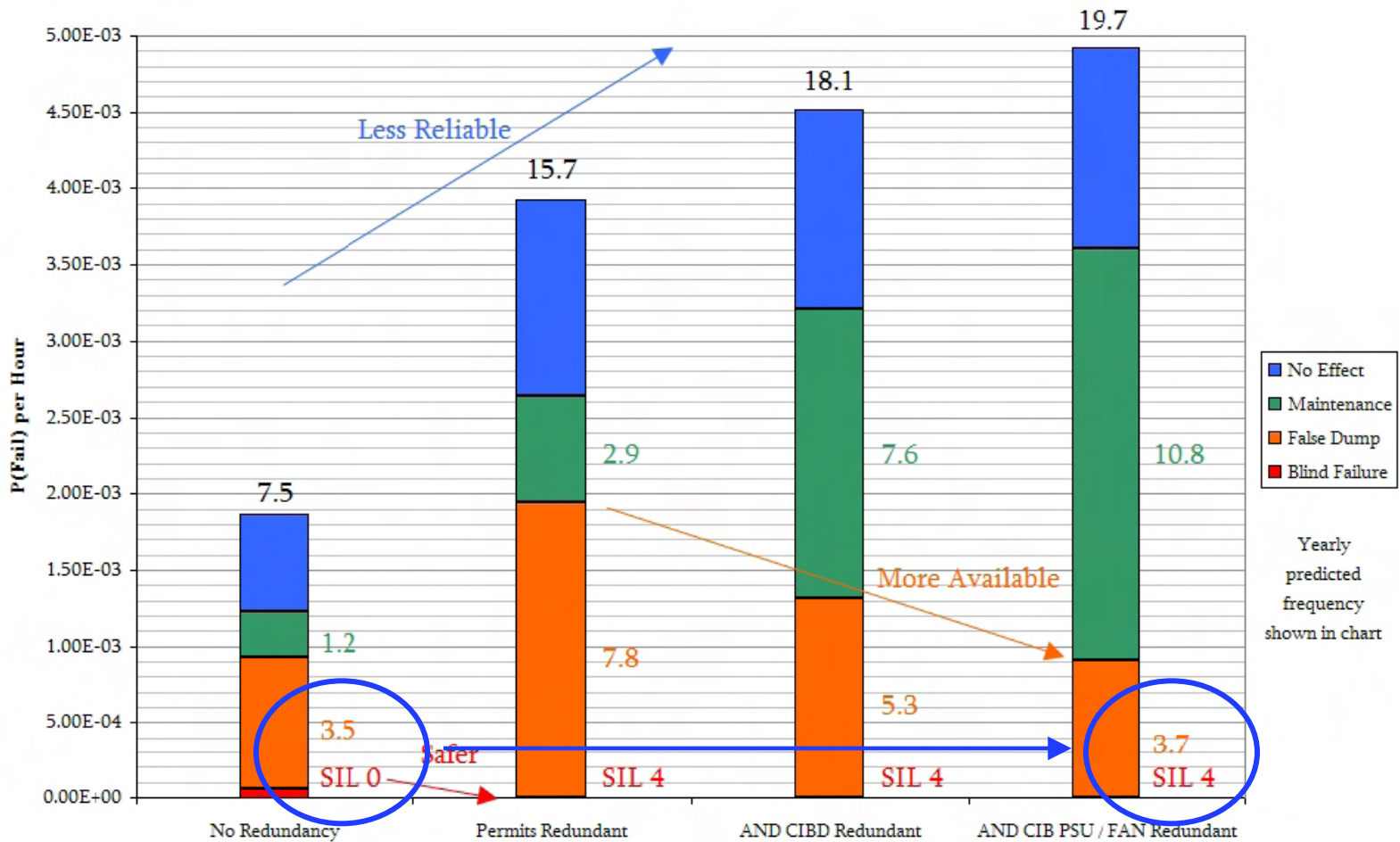


multiply through

Designer Knowledge

MIL-HDBK-338

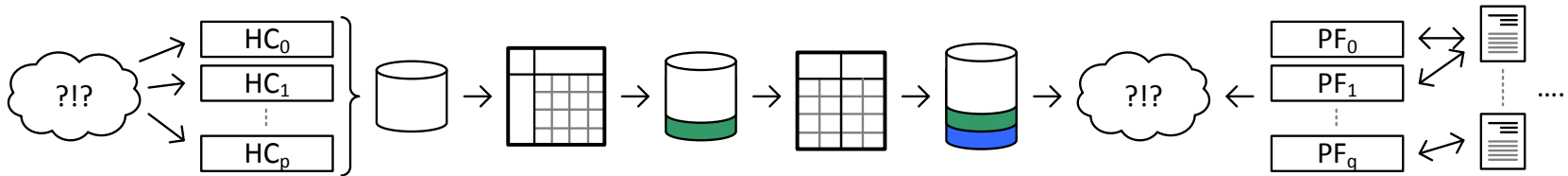
Dependability vs. Configuration



It's clear that this is a huge amount of work

- Miminise the number of systems which need the highest levels
- Minimise the parts of the systems which need any level at all
 - Separate critical function from non-critical function

Murphy's Law – Practical Example
September 2008



not all circuits had been commissioned to 5 TeV - Final Main Dipole Circuit Commissioning

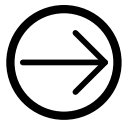
- Electrical Fault at 5.2 TeV in dipole bus bar, between quadrupole and dipole
Post-Analysis: $R = 220 \text{ n}\Omega$, nominal = $0.35 \text{ n}\Omega$
- Electrical Arc developed and punctured helium enclosure
Post-Analysis: 400 MJ dissipated in cold-mass and arcing
- Helium Release into the insulating vacuum
Post-Analysis: Pressure wave caused most damage

Hazard Chain had been identified in initial stages...

Probability classified as negligible
Risk Reduction Level was therefore minimum

Installation did not conform to simulations...

Dipole circuit = main bending magnets



Power Converter

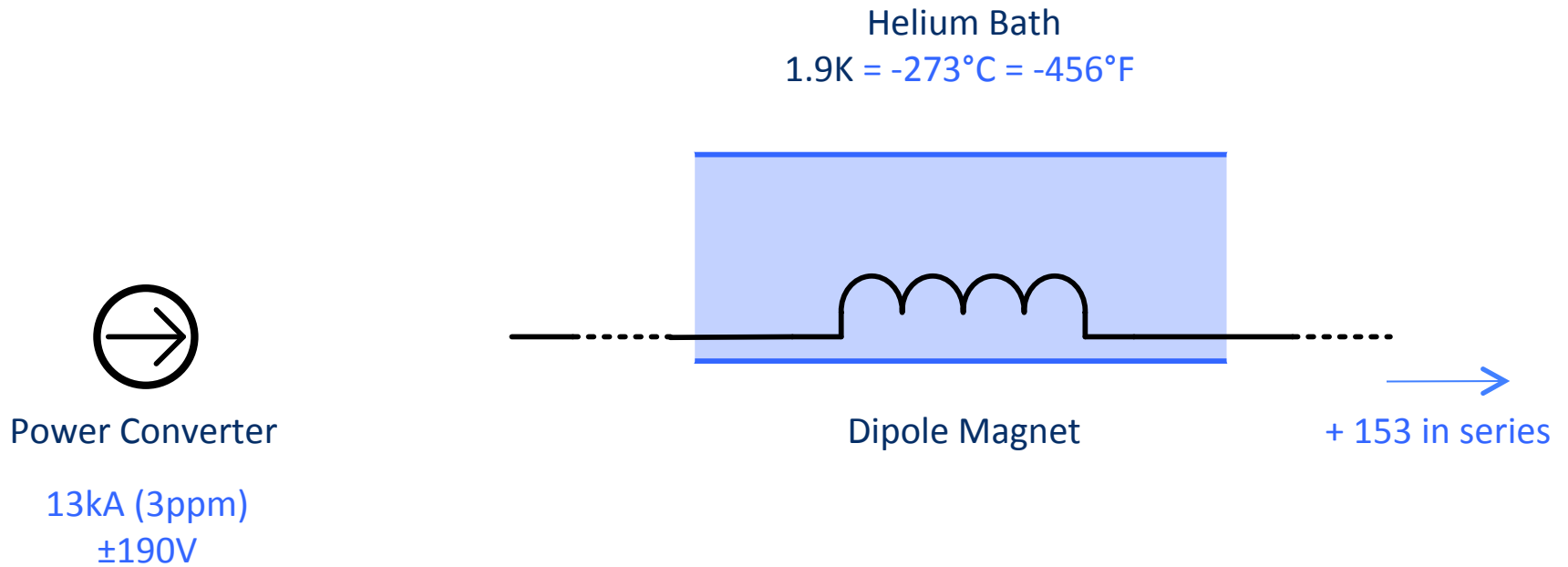
13kA (3ppm)
±190V



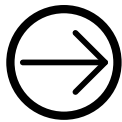
Dipole Magnet

+ 153 in series

Dipole circuit = main bending magnets

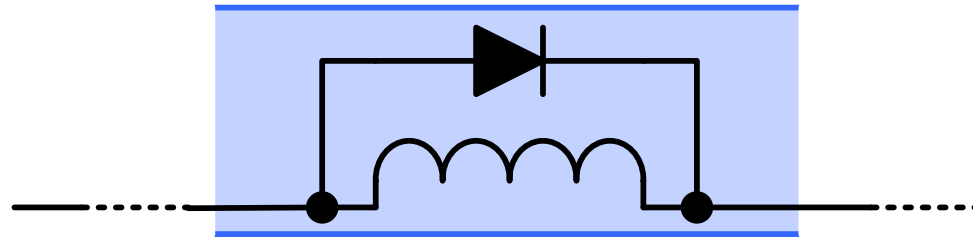


Magnet Protection Function



Escape Diode

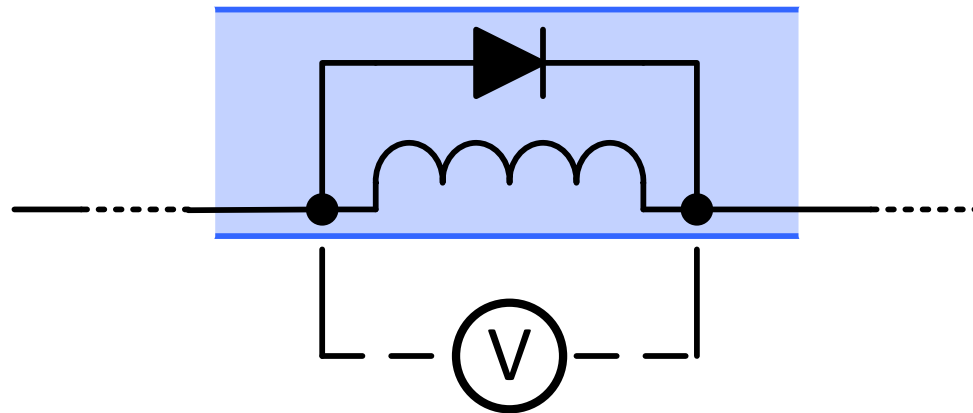
If magnet quenches = path for current



Magnet Protection Function

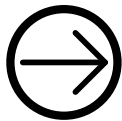


Escape Diode
If magnet quenches = path for current



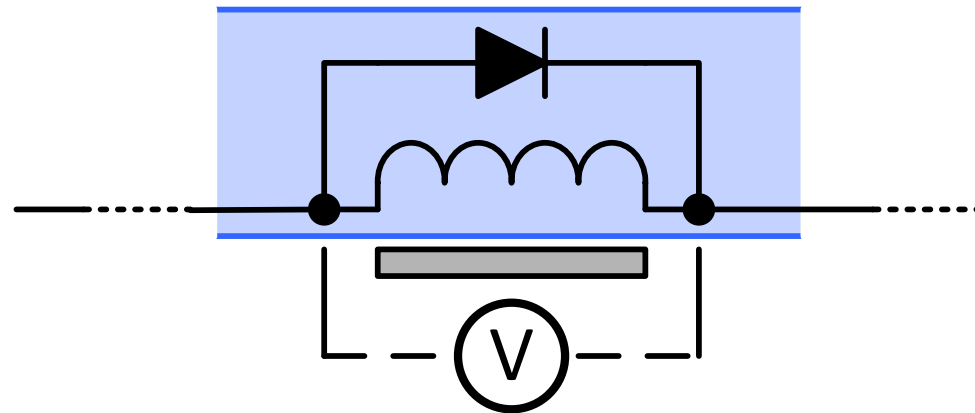
Quench Detector
detects magnet quench

Magnet Protection Function



Escape Diode

If magnet quenches = path for current



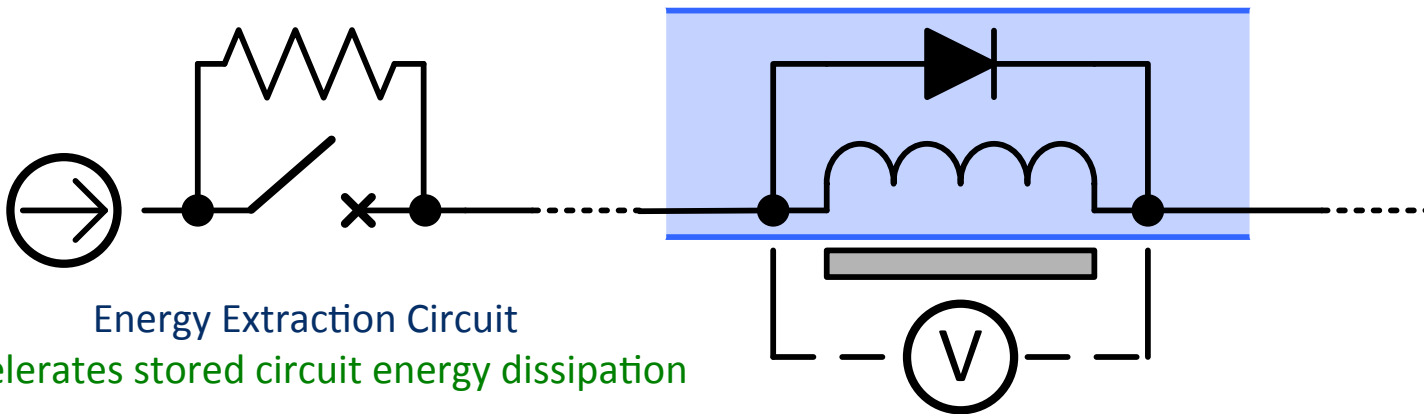
Quench Detector
detects magnet quench

triggers →

Quench Heater
spreads energy along magnet

Magnet Protection Function

Escape Diode
If magnet quenches = path for current



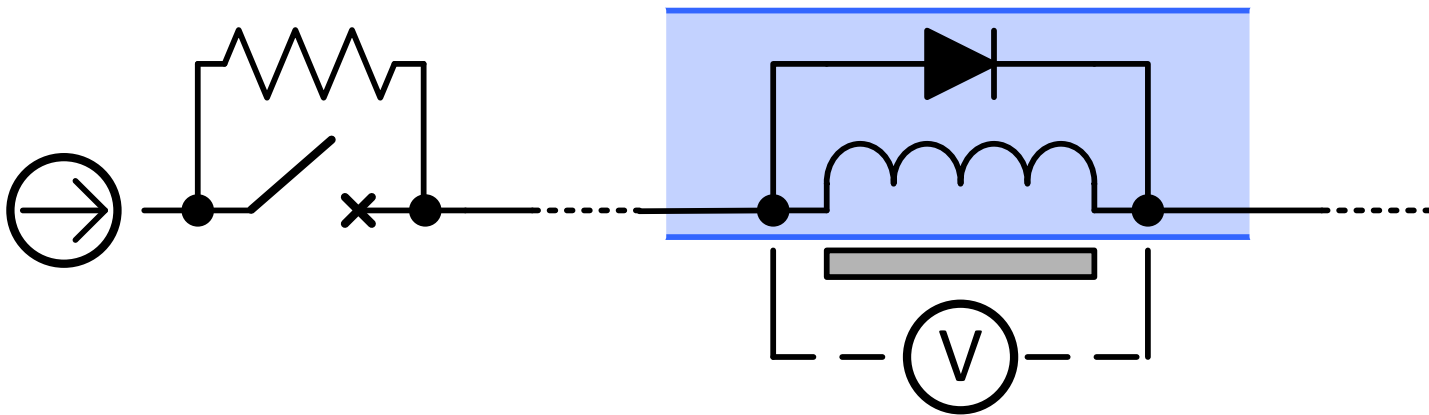
Energy Extraction Circuit
Accelerates stored circuit energy dissipation

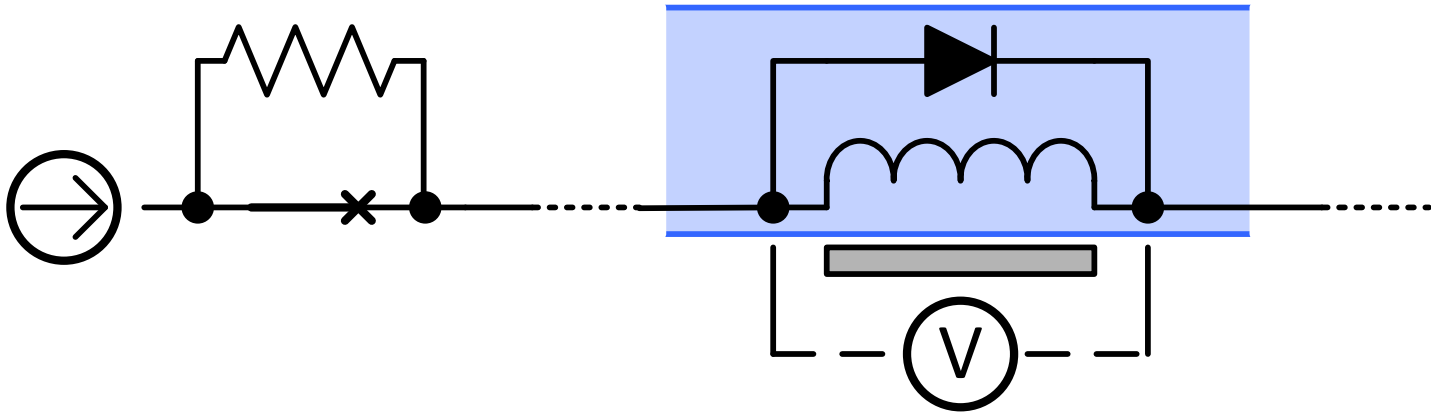
Quench Detector
detects magnet quench

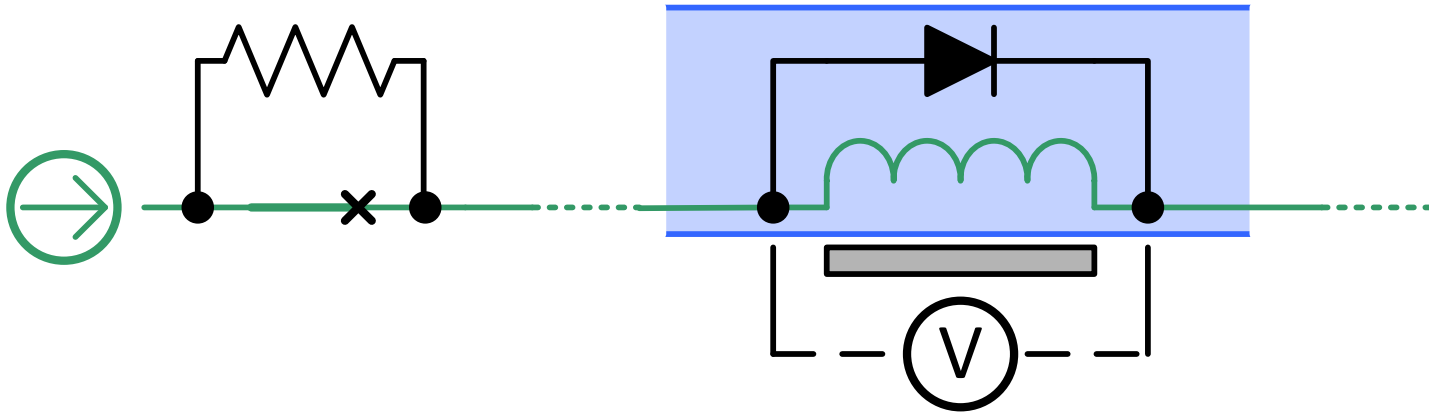
triggers →

Quench Heater
spreads energy along magnet

normal sequence....





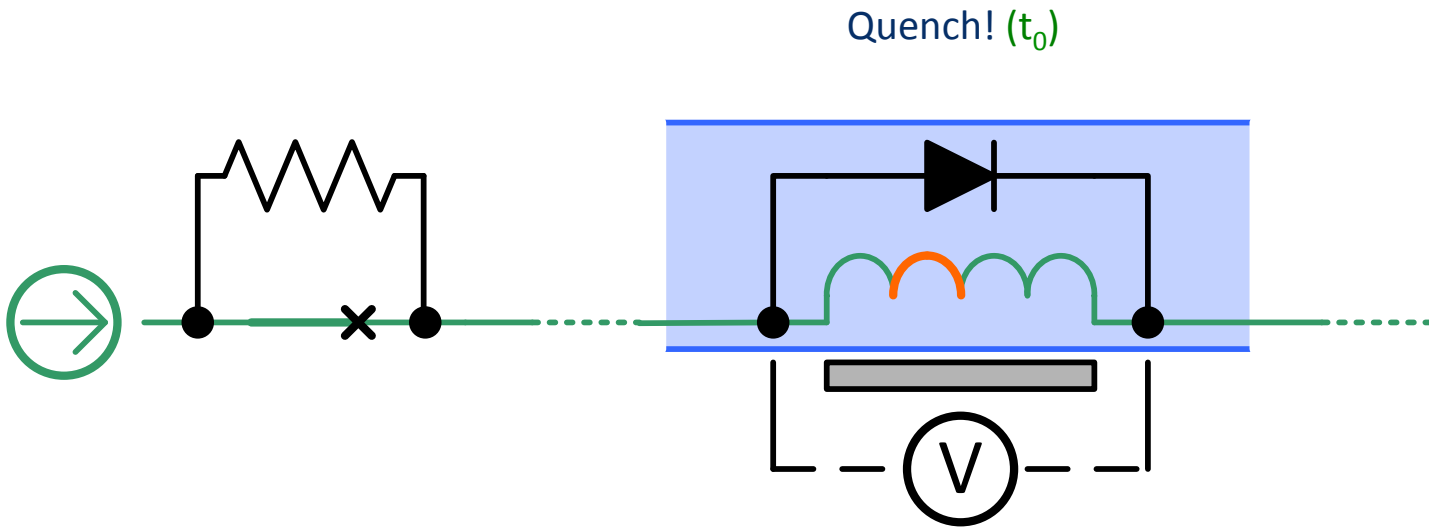


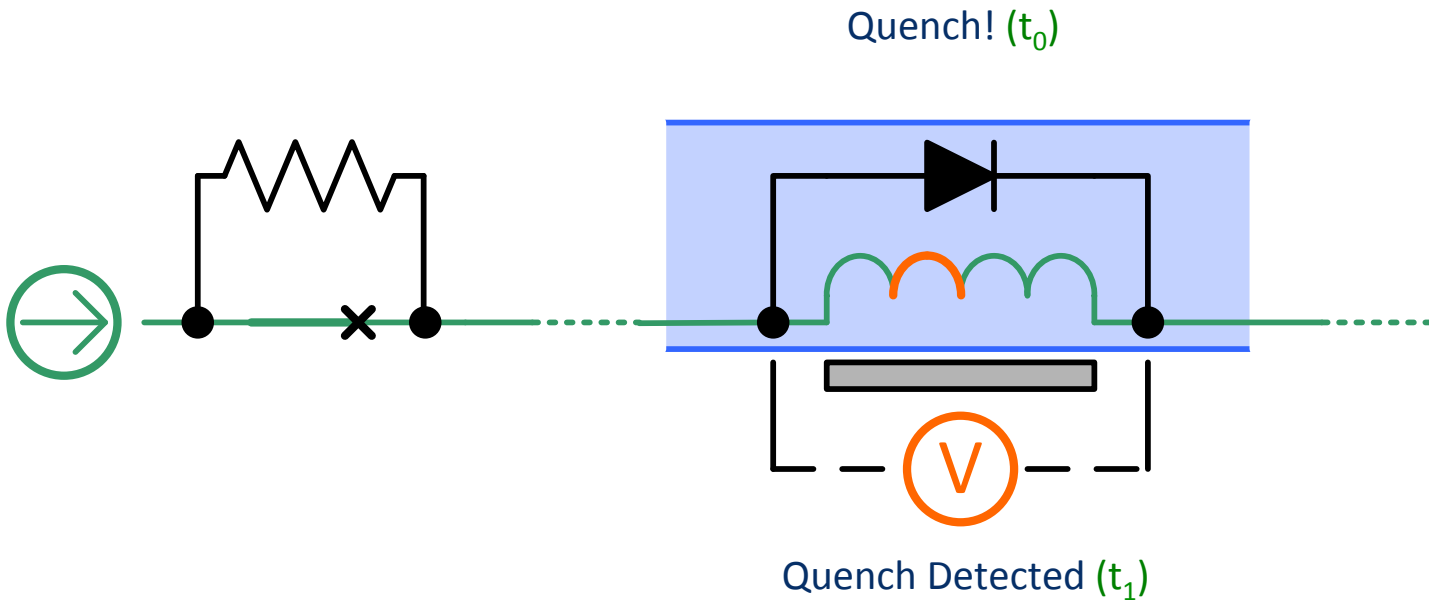
7 TeV operation:

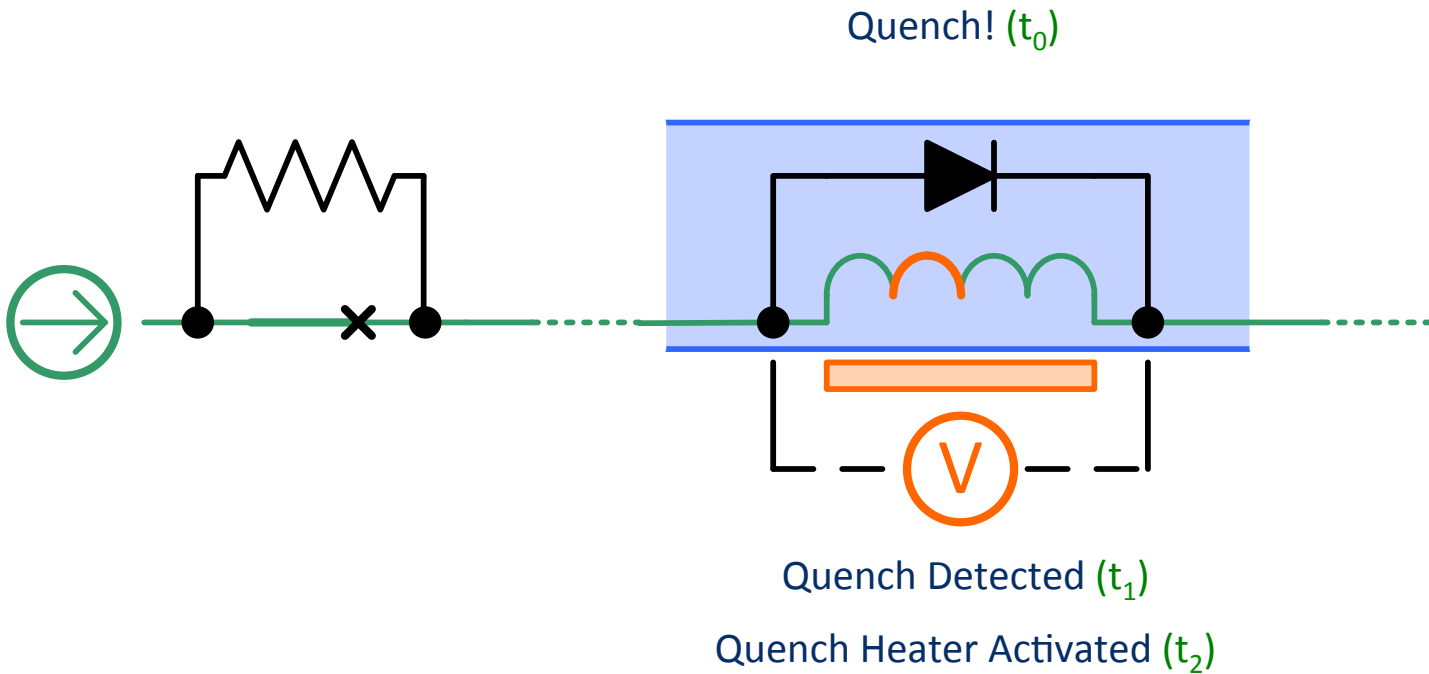
13 kA forward current
100mH dipole inductance

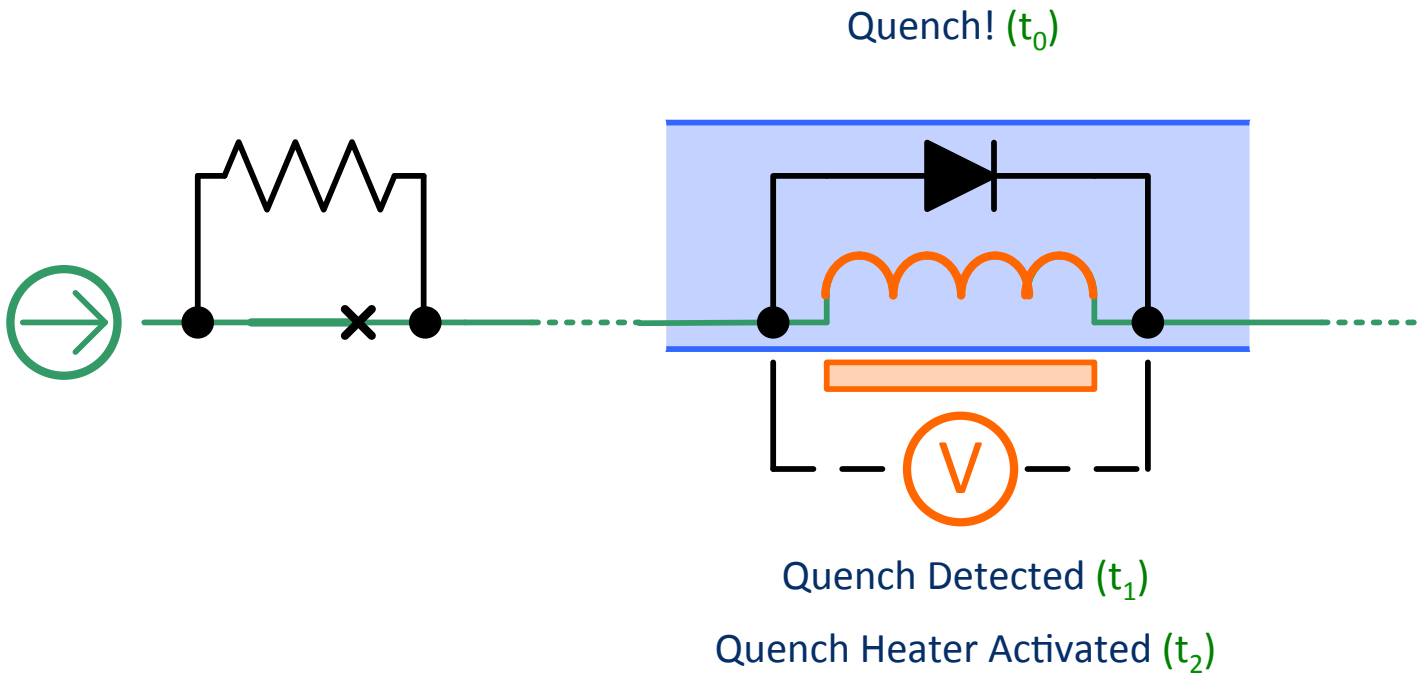
Single magnet energy = 8.5 MegaJoules
Circuit magnet energy = 1.3 GigaJoules

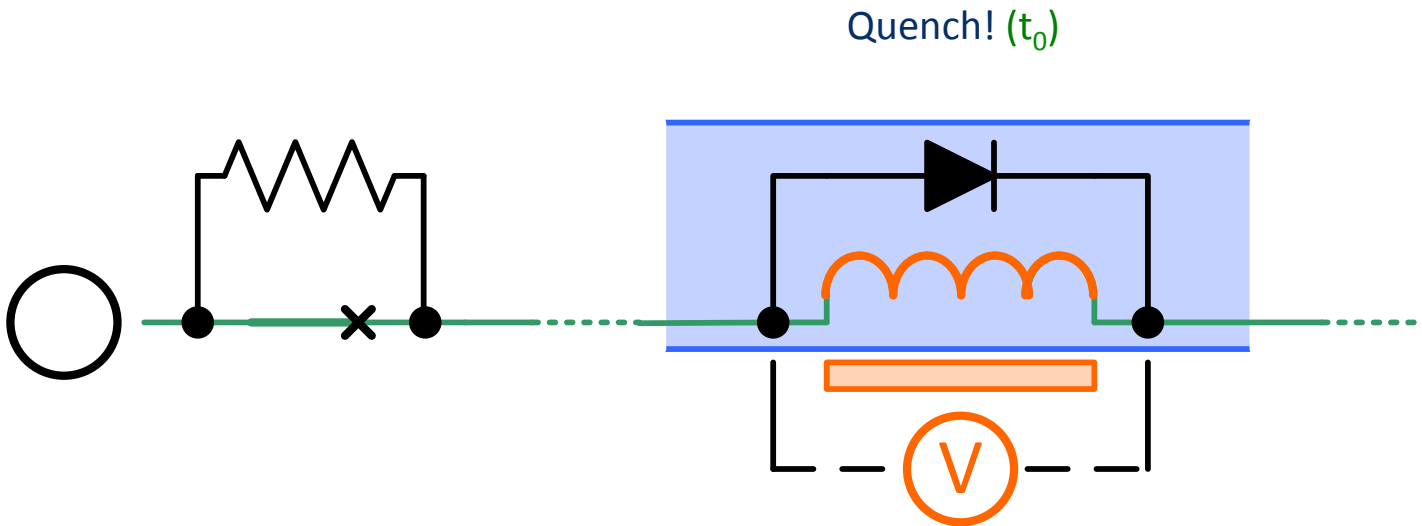
milliJoules = Quench









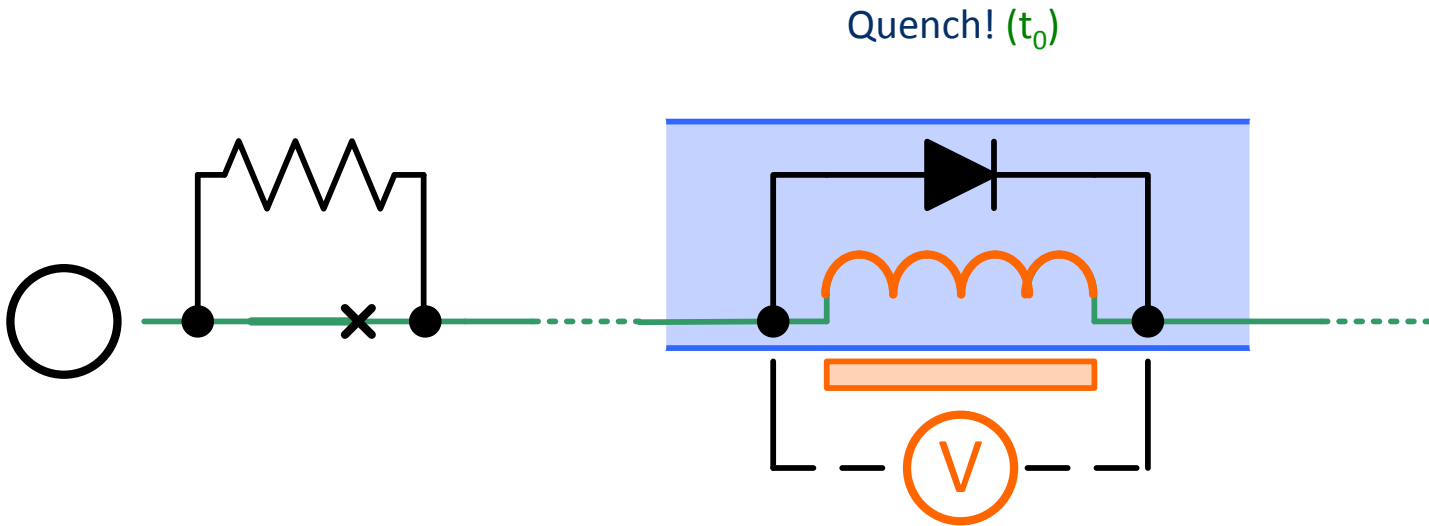


Quench! (t_0)

Quench Detected (t_1)

Quench Heater Activated (t_2)

Power Converter Switched Off (t_3)



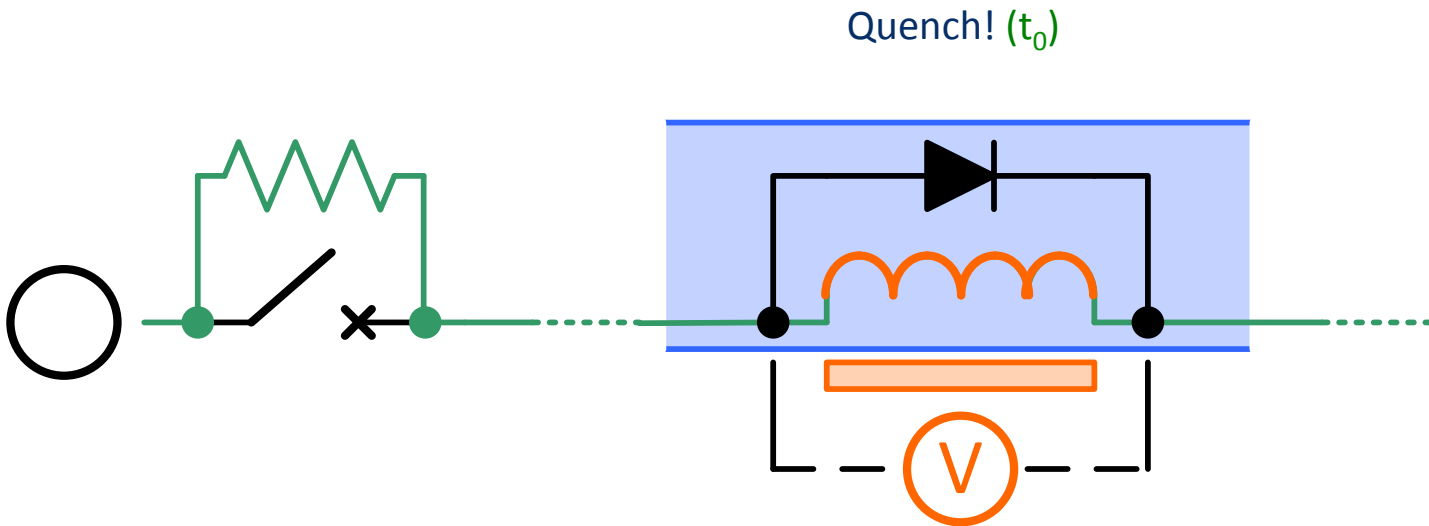
Quench! (t_0)

Quench Detected (t_1)

Quench Heater Activated (t_2)

Power Converter Switched Off (t_3)

Beam abort (t_3)



Quench! (t_0)

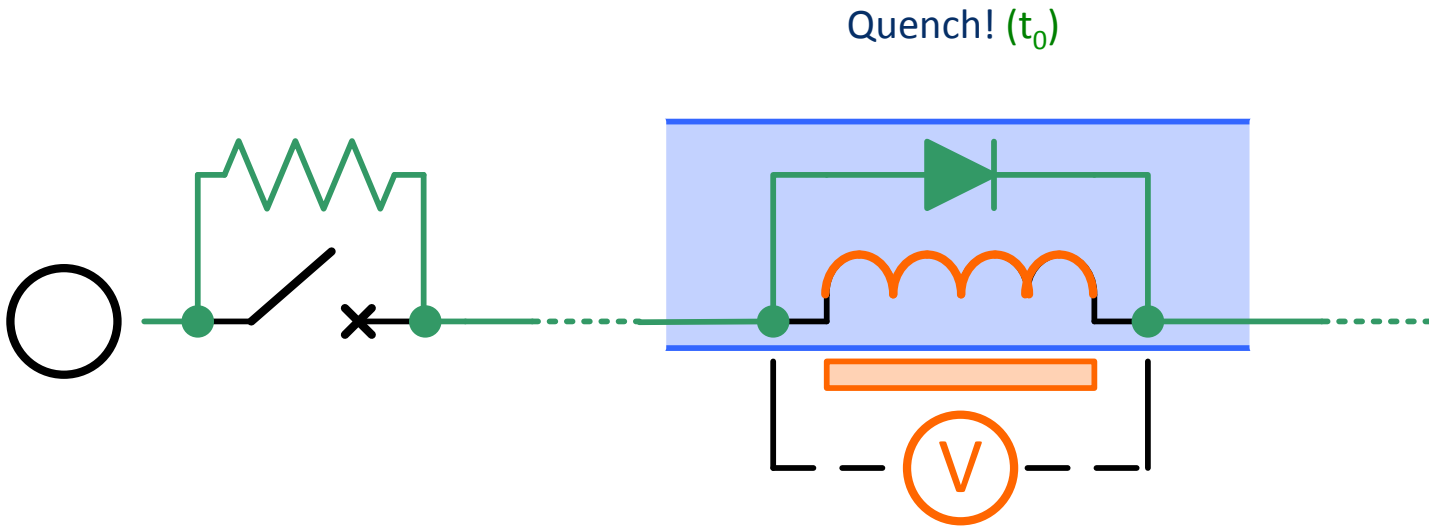
Quench Detected (t_1)

Quench Heater Activated (t_2)

Energy Extraction Switches Opened (t_3)

Power Converter Switched Off (t_3)

Beam abort (t_3)



Quench! (t_0)

Quench Detected (t_1)

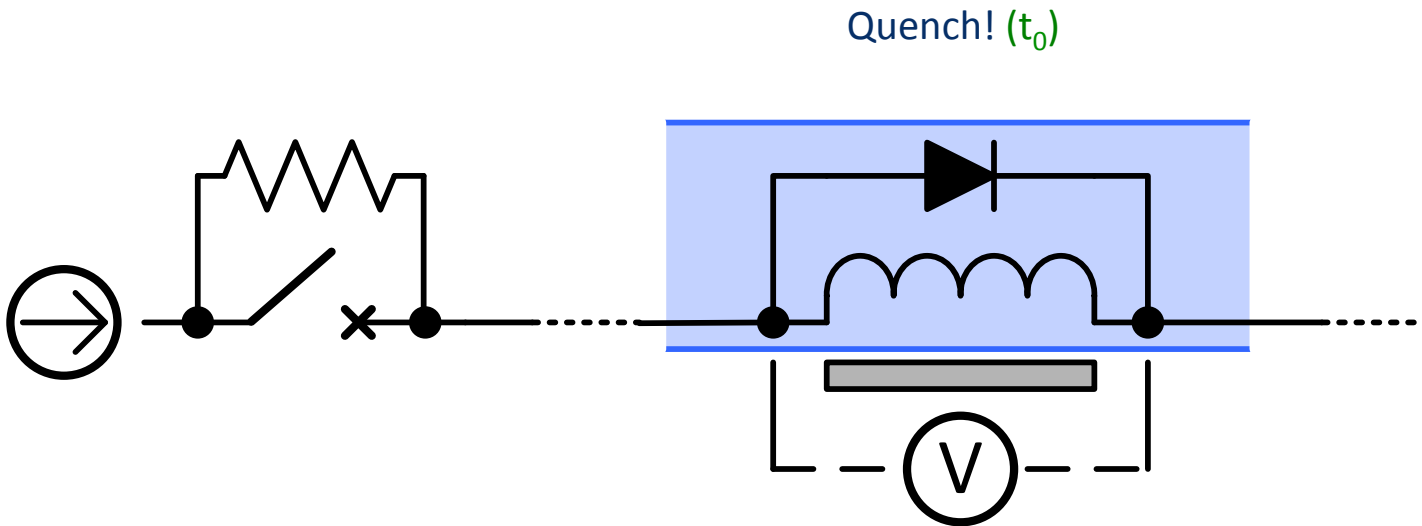
Quench Heater Activated (t_2)

Energy Extraction Switches Opened (t_3)

Power Converter Switched Off (t_3)

Beam abort (t_3)

Diode Starts to Conduct (t_4)



Quench! (t_0)

Quench Detected (t_1)

Quench Heater Activated (t_2)

Energy Extraction Switches Opened (t_3)

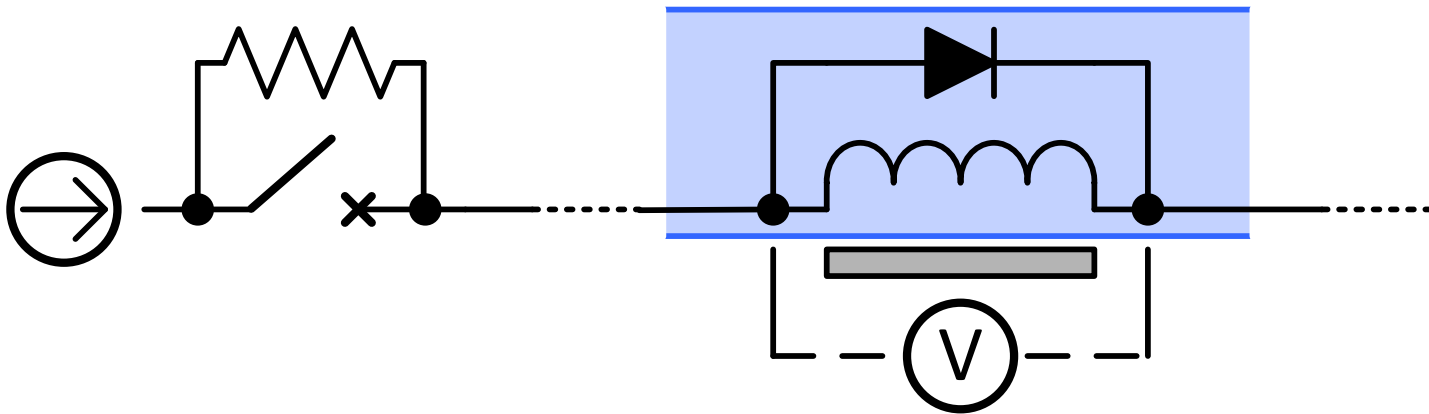
Power Converter Switched Off (t_3)

Beam abort (t_3)

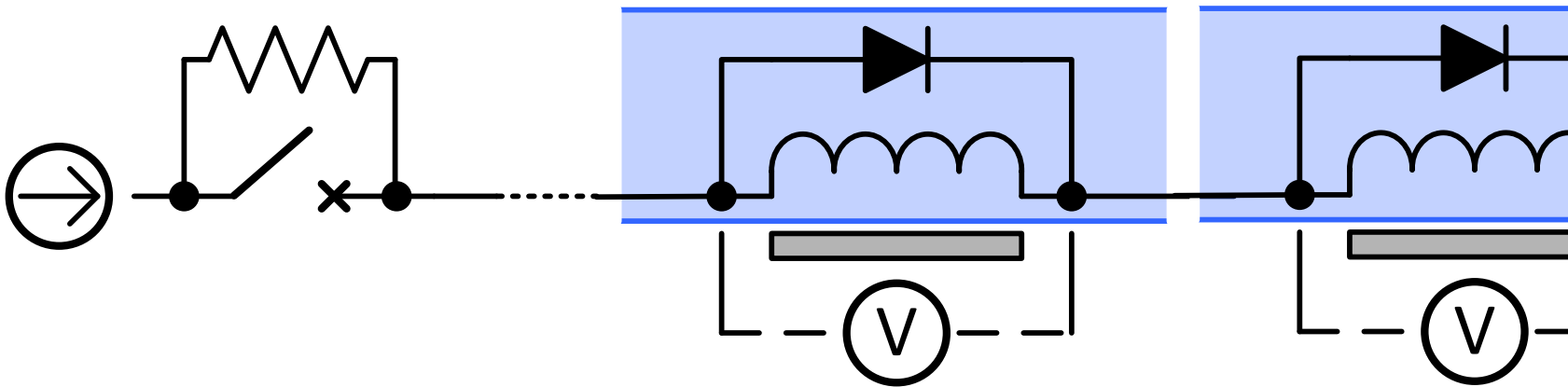
Diode Starts to Conduct (t_4)

Circuit Energy is Dissipated (t_5)

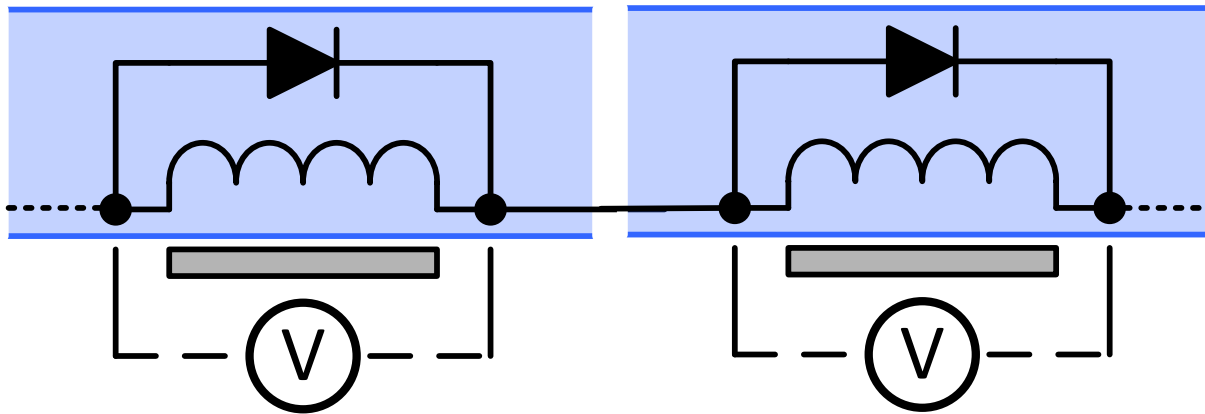
19th September – commissioning last circuit to 5 TeV = 9kA forward current



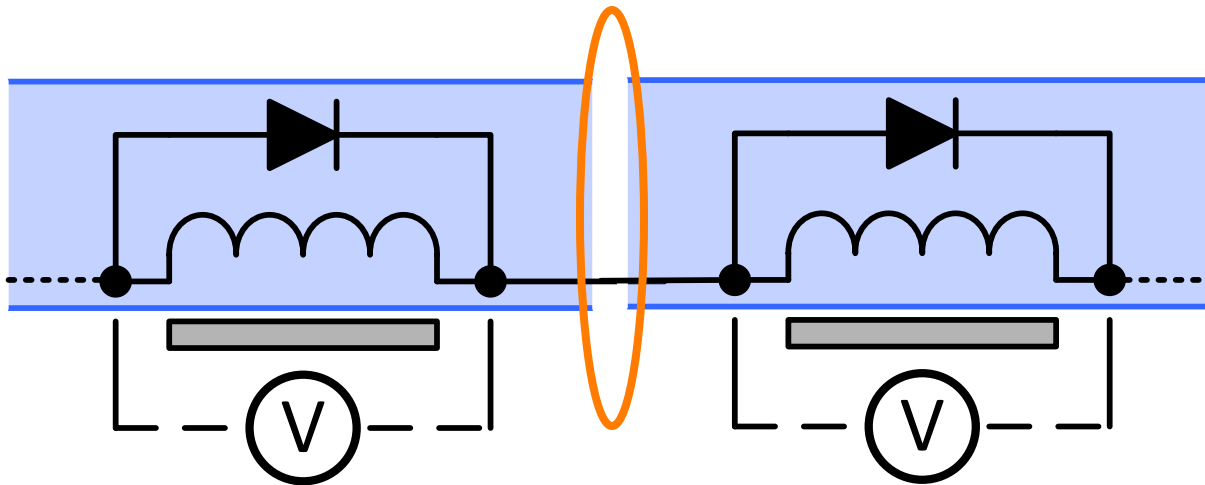
19th September – commissioning last circuit to 5 TeV = 9kA forward current



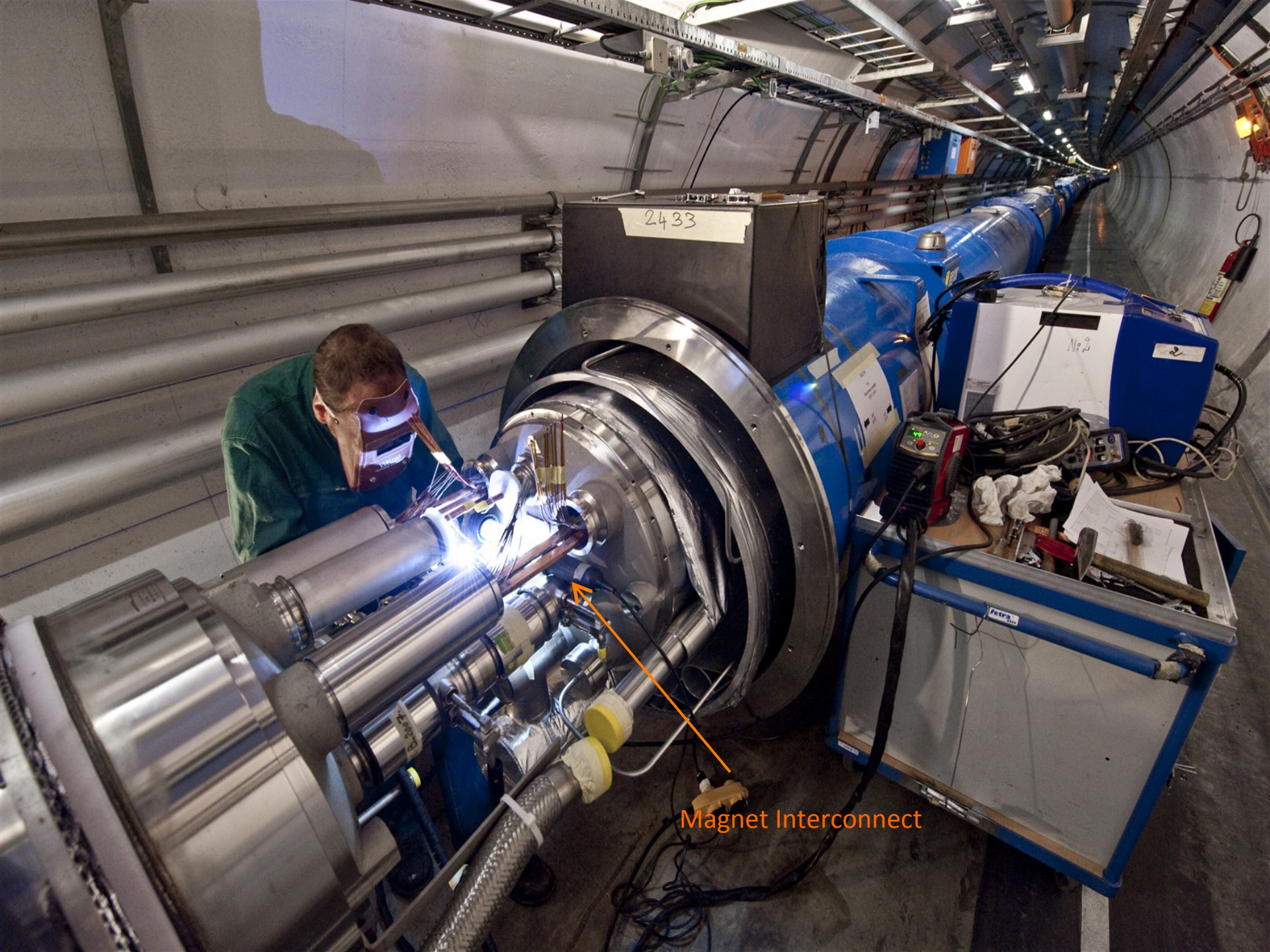
19th September – commissioning last circuit to 5 TeV = 9kA forward current



19th September – commissioning last circuit to 5 TeV = 9kA forward current



Magnet Interconnect

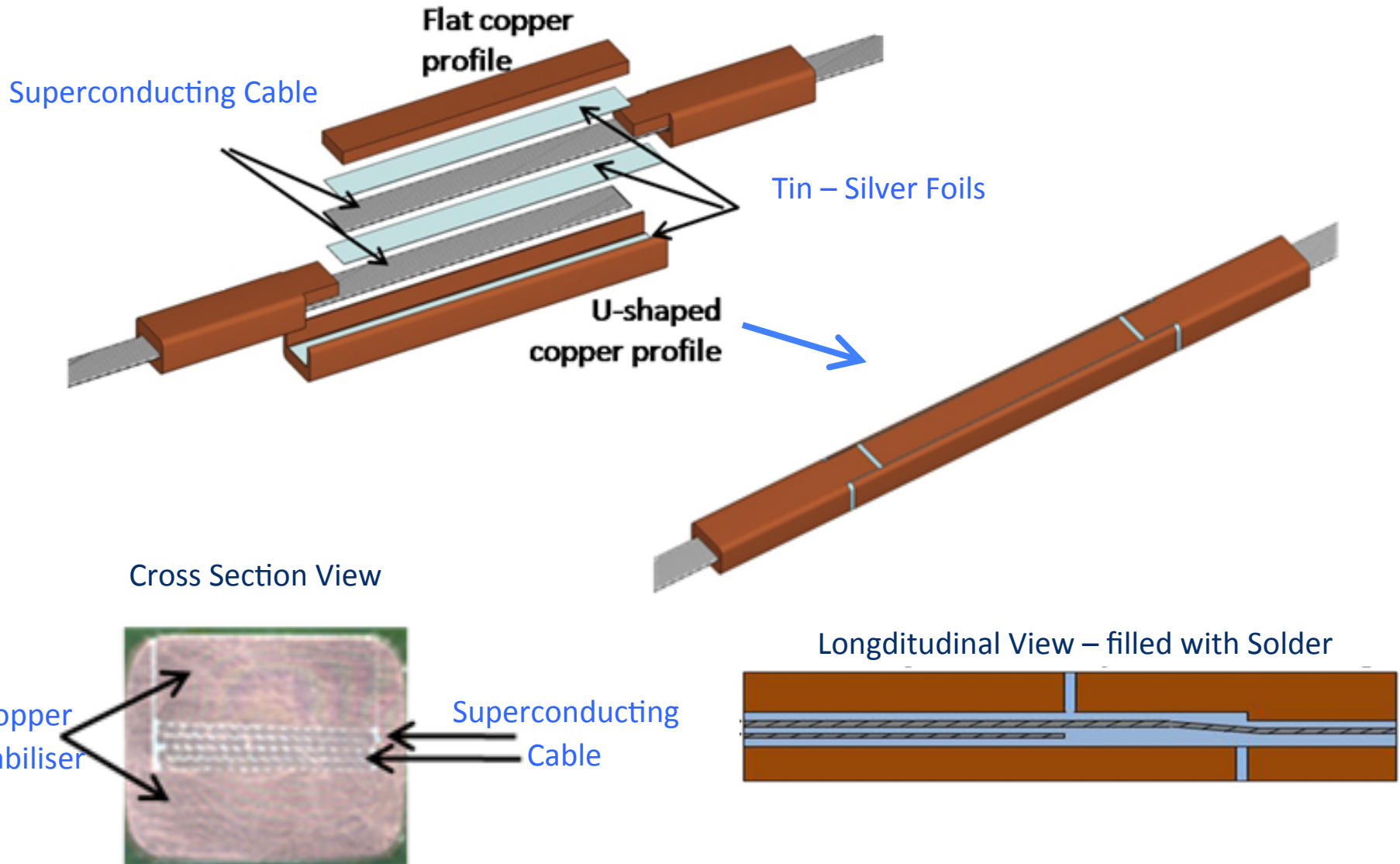


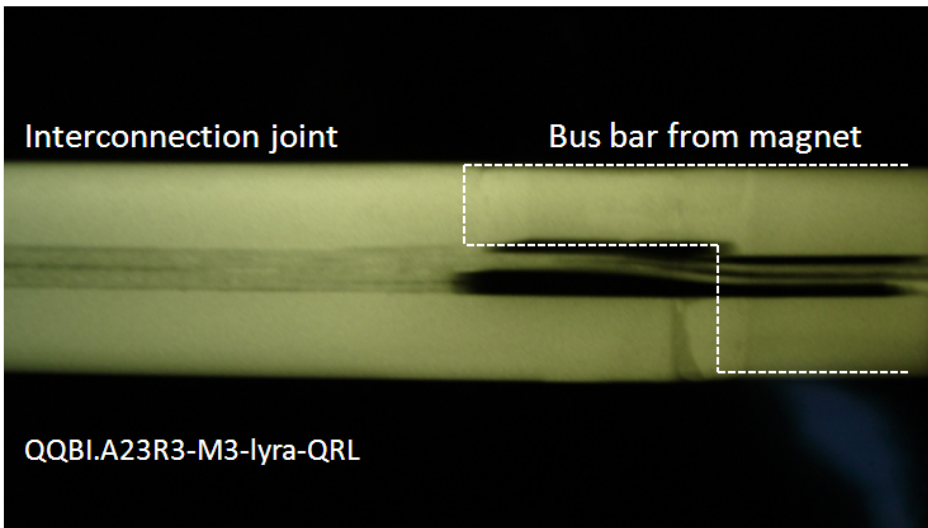
2433

№2

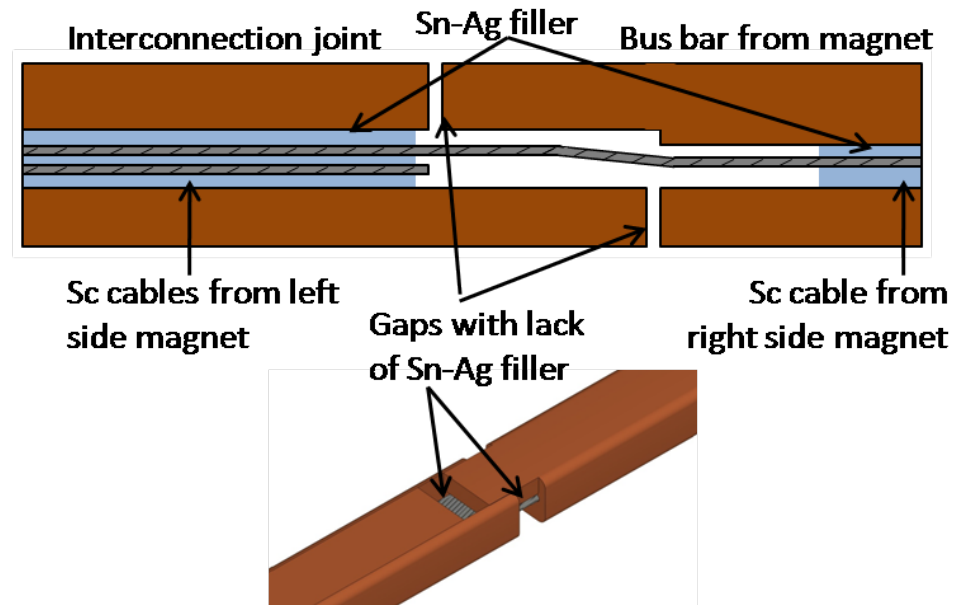
Magnet Interconnect

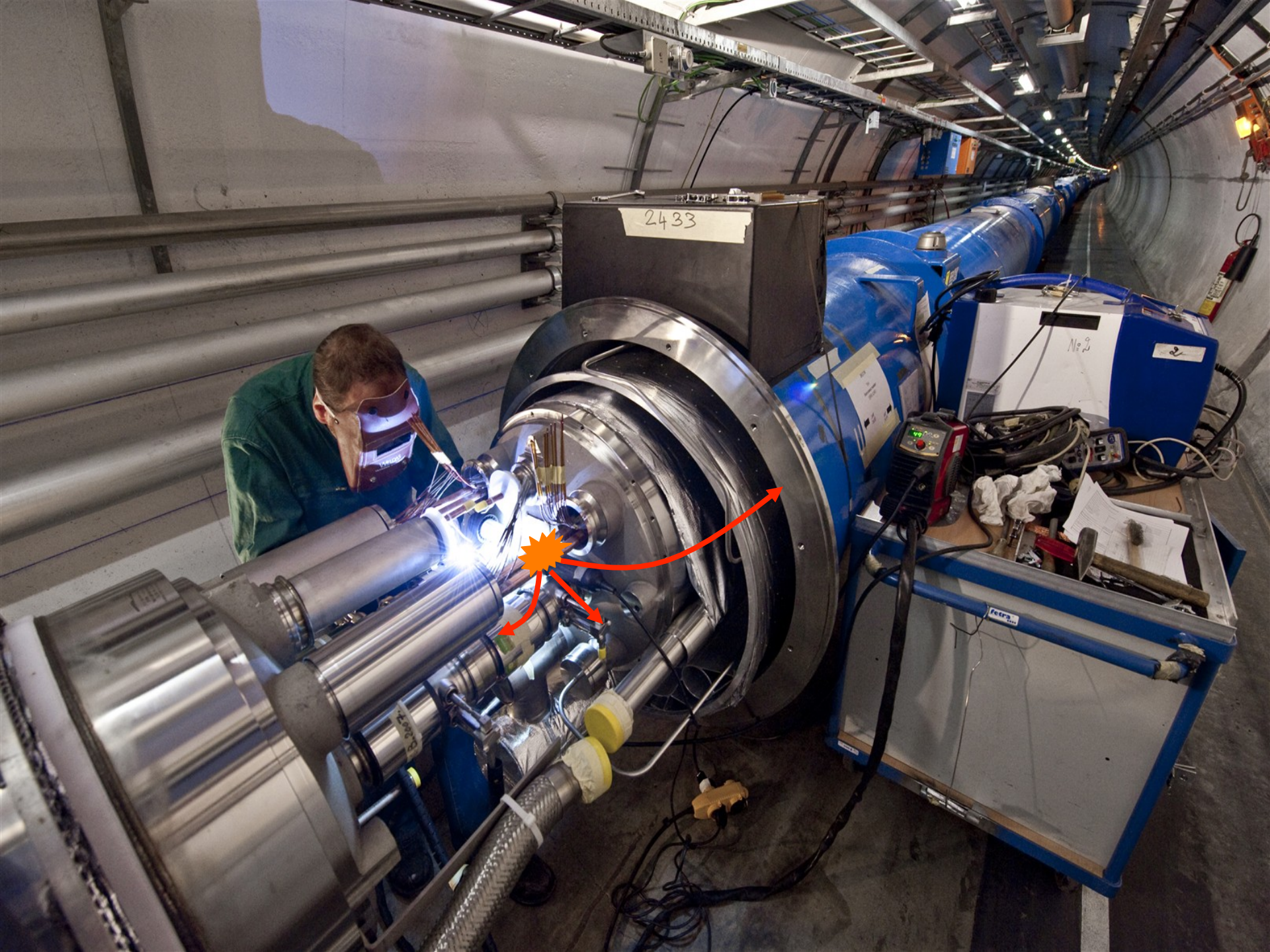
Ideal 13 kA Connection Scheme





**Defective interconnection-bus bar transition
 γ -ray picture (left) and scheme (right)**



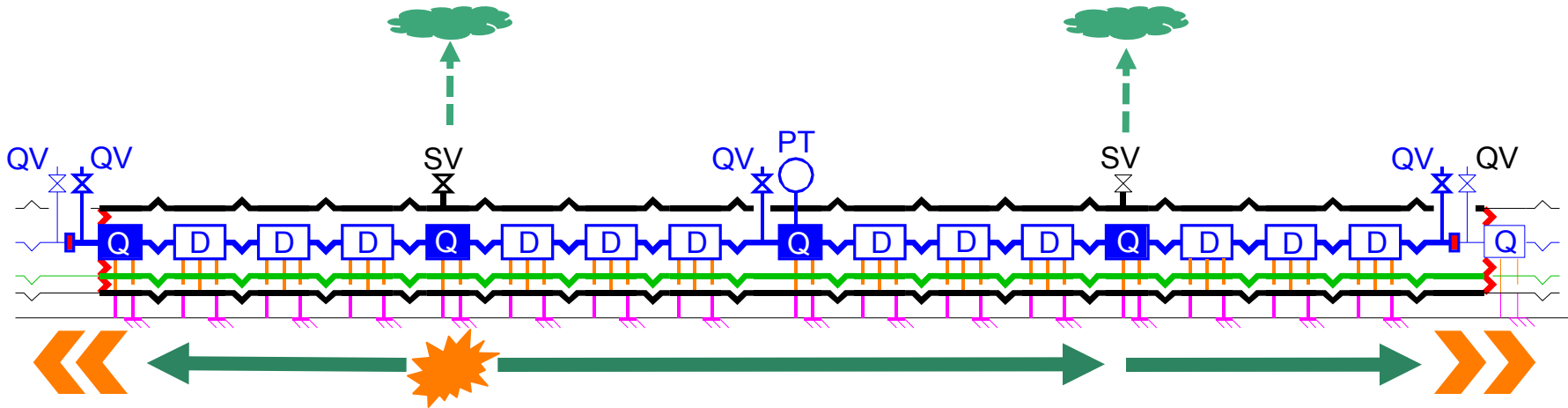


2433

№ 2

2

СЕРП



- Cold-mass
- Vacuum vessel
- Line E
- | Cold support post
- | Warm Jack
- ~ Compensator/Bellows
- ⚡ Vacuum barrier

1. Pressure Wave propagates inside insulation Vacuum enclosure

2. Rapid Pressure Rise

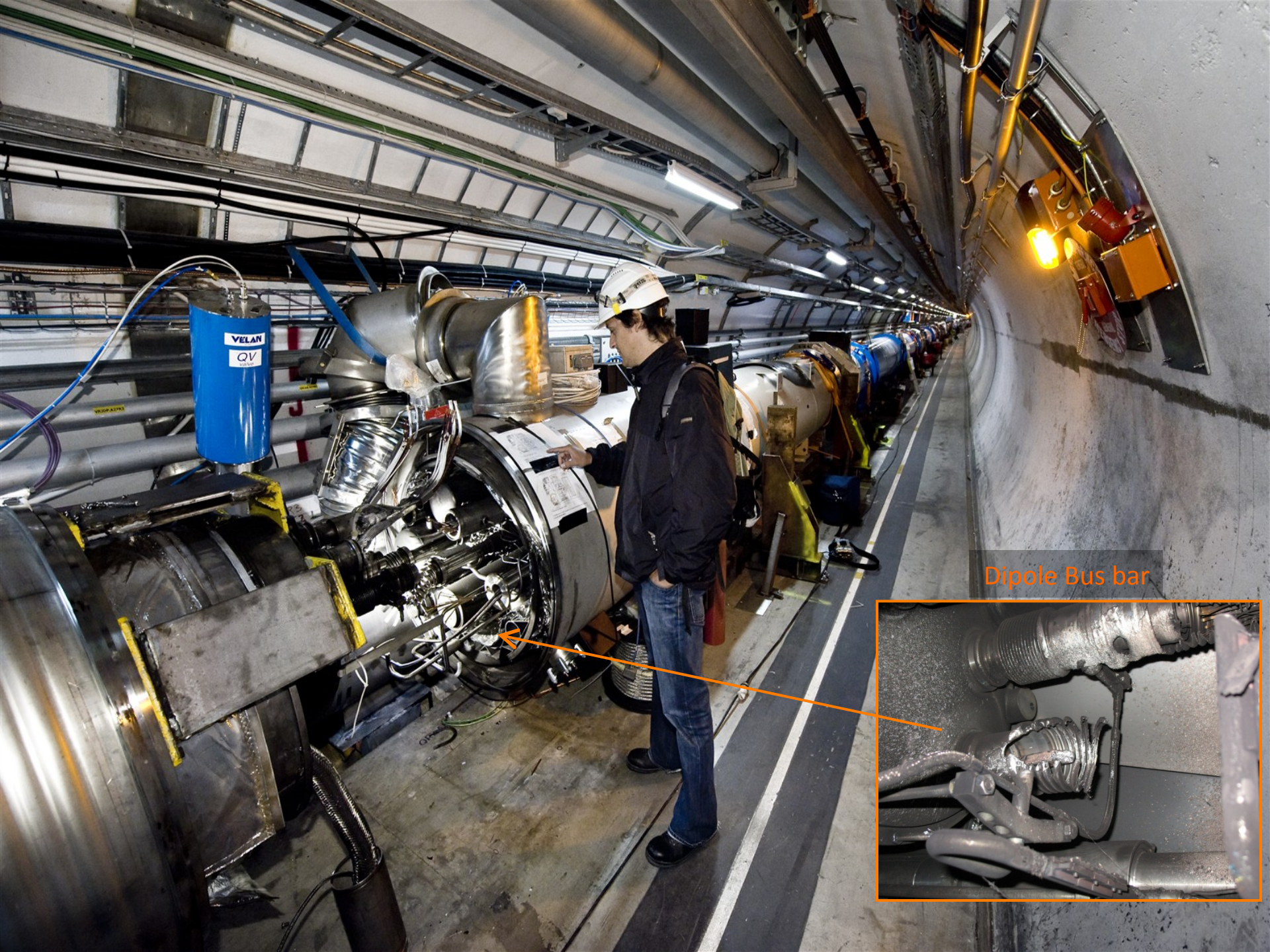
Self actuating relief valves could not handle pressure

Design: 2Kg He/s Incident: ~20 kg He/s

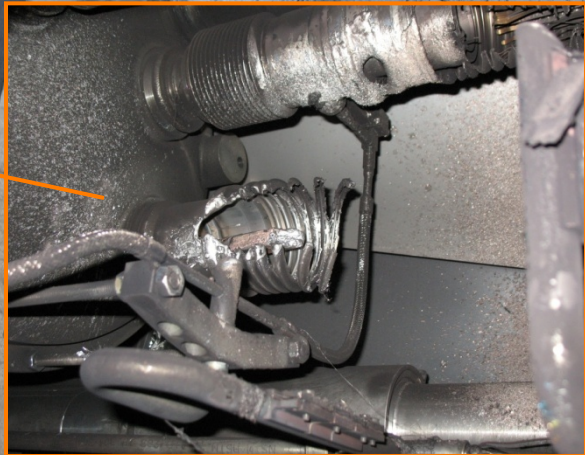
3. Forces on the vacuum barriers (every second cell)

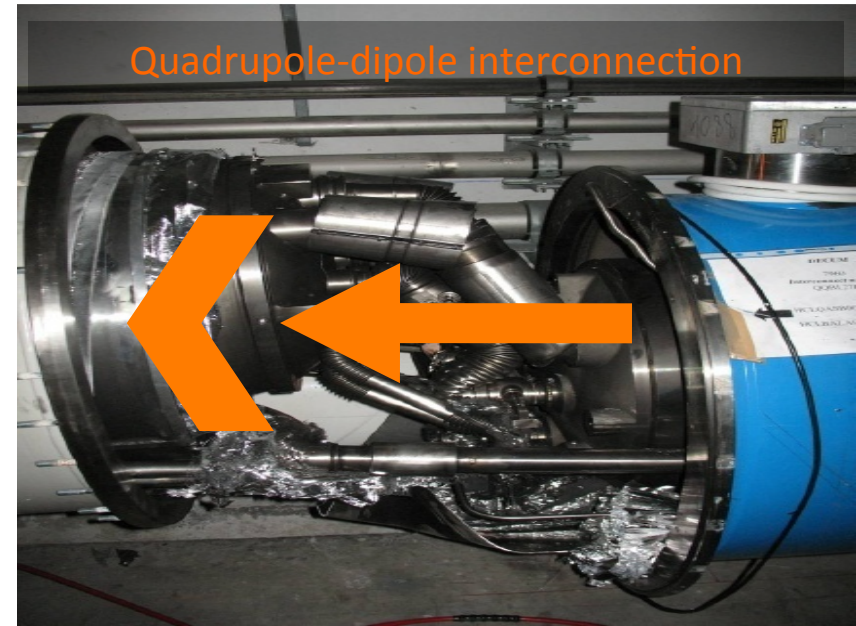
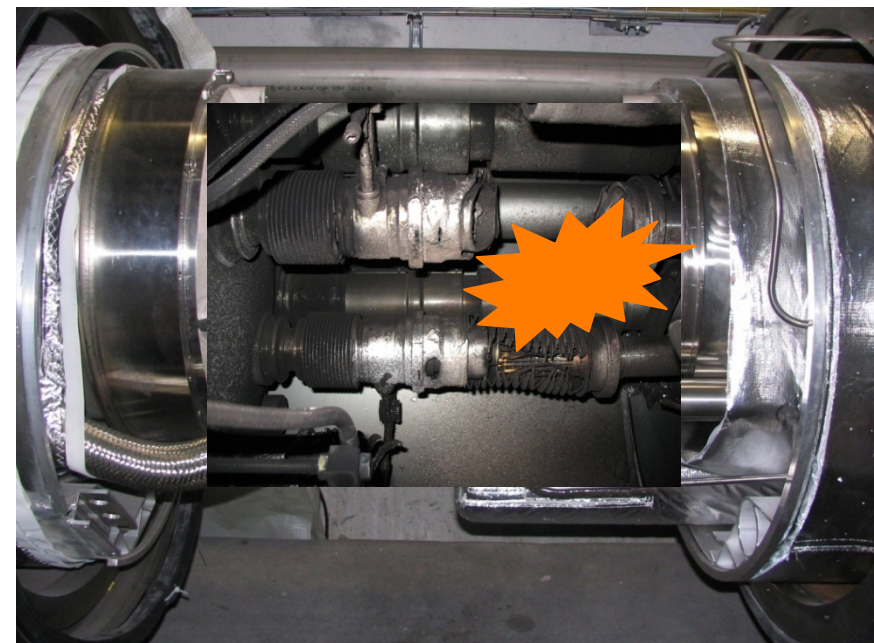
Design: 1.5 bar Incident: ~8 bar

- Several Quadrupoles Displaced by ~50 cm
- Cryogenic line connections damaged
- Vacuum to atmospheric pressure



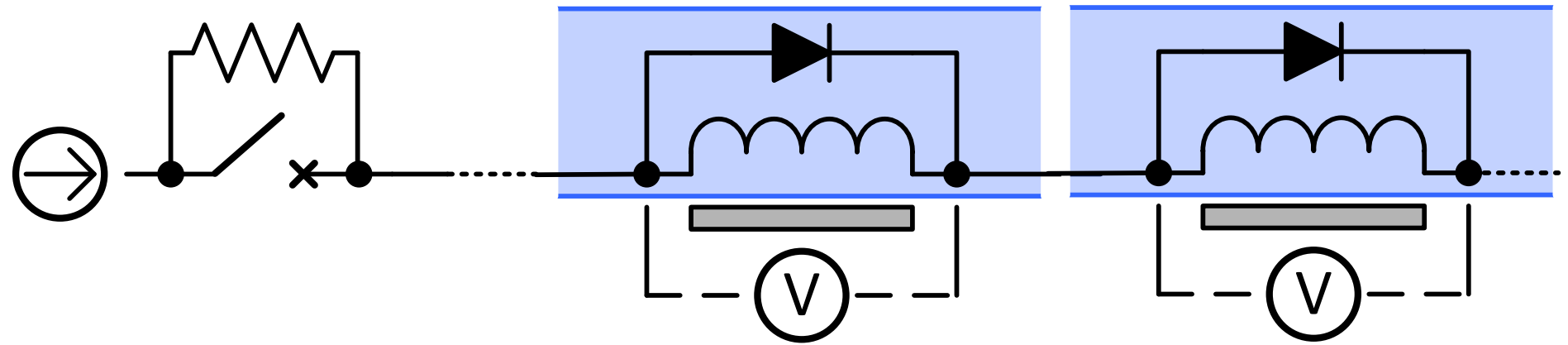
Dipole Bus bar

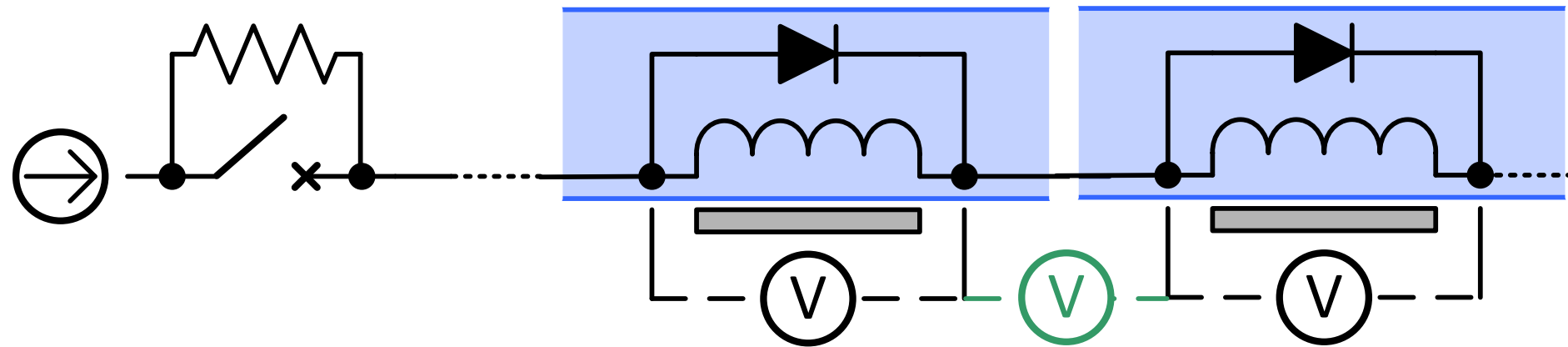




Main Damage Area: 700m

- 39 dipoles and 14 quadrupoles effected
 - moved to surface:
 - 37 replaced and 16 repaired





Interconnect impedance is measured
 Energy Extracted if impedance unacceptable

2009: LHC repair and consolidation

14 quadrupole magnets replaced



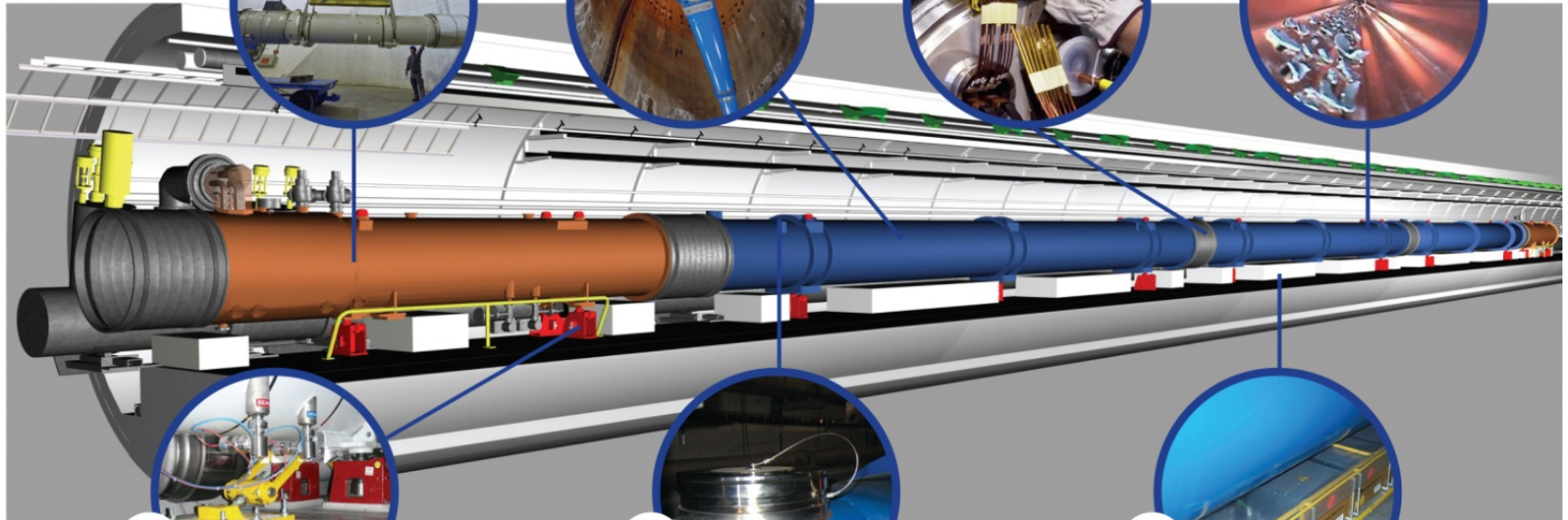
39 dipole magnets replaced



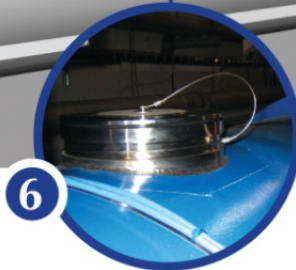
204 interconnections repaired



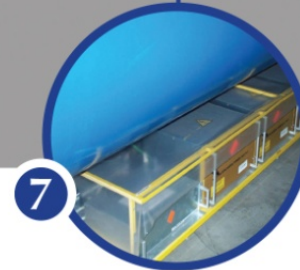
4km beam-tube cleaned



longitudinal restraining system quadrupoles

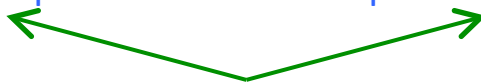


900 ports for helium pressure release

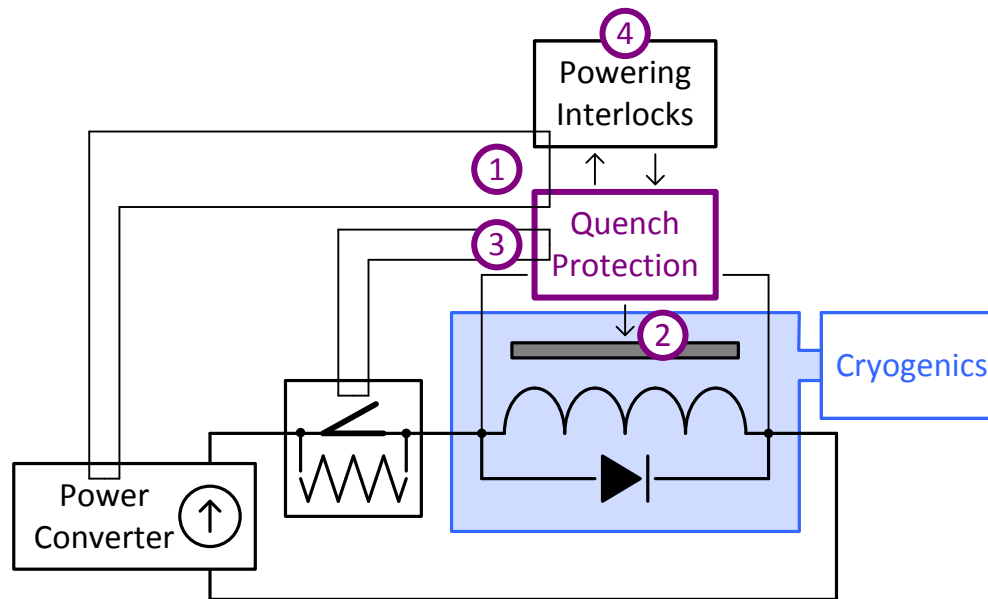


6500 new detectors and 250km cables for new Interconnect Protection System

Collateral damage mitigation



**Almost Another
Murphy's Law in Practice
January 2013**



quench tests forced a quadrupole magnet quench, all four protection functions failed to activate

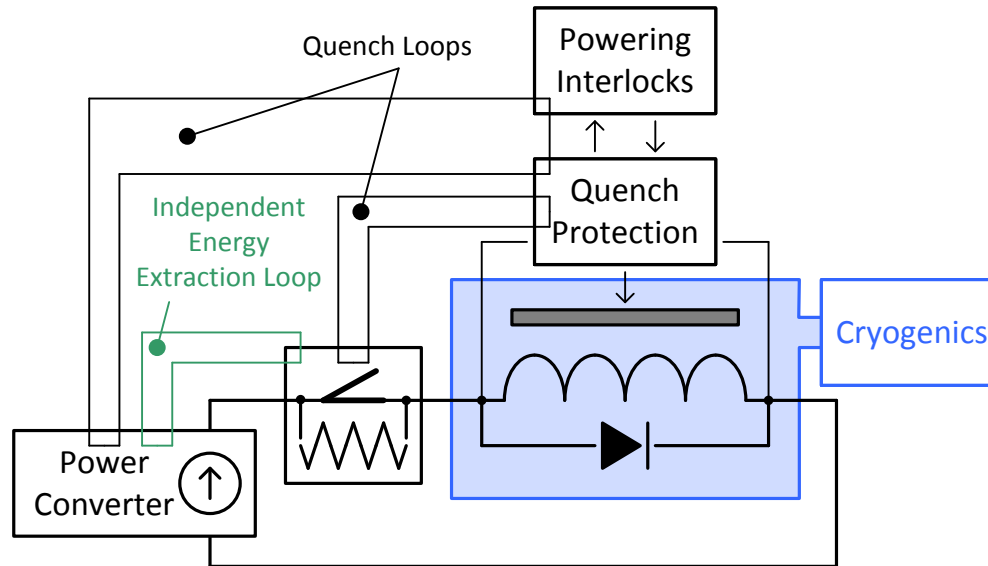
- Six months earlier a thunderstorm tripped several QPS detectors
- Piquet team needed to manually intervene to rearm

Post-Analysis: mitigation of this need by new firmware, piquet did not intervene

- Firmware update was not applied to this particular circuit

Post-Analysis: time and revalidation pressure

- Missing rearm does not prevent the circuit from being powered
- Circuit powered and unprotected for six months
- Event was repeated as failure of protection functions was not identified immediately
- Failure of this nature on dipole circuit represents most critical risk level for CERN.



QPS protection functions have too high a Risk Reduction Level

1. Qualification of QPS Functions

2. Addition of Independent Energy Extraction Loop Study

In Conclusion...

Year	Peak Energy [TeV]	Peak Intensity [p]	Peak Luminosity [cm ⁻² s ⁻¹]
2010	3.5	4×10^{13}	2.0×10^{32}
2011	3.5	2.0×10^{14}	3.6×10^{33}
2012	4	2.2×10^{14}	7.7×10^{33}
LS ₁₋₂	≈6.5	≈ 3×10^{14}	≈ 1×10^{34}

- * the protection context is vital
 - need to consider system, machine and organisational level impact

As engineers building power systems, you need to understand how they will be used

- * risk analysis is a core part of every engineer's toolbox
 - zero risk does not exist

Qualitatively and quantitatively determine how and how likely things are to go wrong

- * specification of protection and interlocks is a compromise
 - they don't add to the function, but are an insurance for when things go wrong.
 - they do add to complexity, so will make the system less reliable.

In the academic – industrial world of HEP, you cannot trust only “it worked in the past”

Specifically If you ask “how reliable is this” and the reply is “great, it never broke down yet”.

Take a closer look.

Fin!
Thank You!

- [1] Concept developed in collaboration with by M. Zerlauth et al. (CERN)

- [2] Picture source: http://en.wikipedia.org/wiki/File:Alstom_AGV_Cerhenice_img_0365.jpg
Shared as: <http://creativecommons.org/licenses/by-sa/3.0/deed.en>

- [3] Picture source: <http://militarytimes.com/blogs/scoopdeck/2010/07/07/the-airstrike-that-never-happened/>
Shared as: public domain

- [4] Diagram courtesy B. Goddard, J. Uyhoven et al. (CERN)

- [5] Livingston plot - courtesy R. Assmann (ex CERN), adapted from:
<http://indico.cern.ch/event/115634/session/7/contribution/7/material/slides/1.pdf>

- [6] Based on work from V. Kain et al
<http://cds.cern.ch/record/858162/files/lhc-project-report-822.pdf>

- [7] Ph. D thesis – M. Kwiatkowski CERN
<http://cds.cern.ch/record/1632194/files/CERN-THESIS-2013-216.pdf>

- [8] Ph. D thesis – B. Todd CERN
<http://cds.cern.ch/record/1019495?>

- [9] Machine Protection of The Large Hadron Collider at CERN
<https://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=6136929>