## Collective effects in particle accelerators



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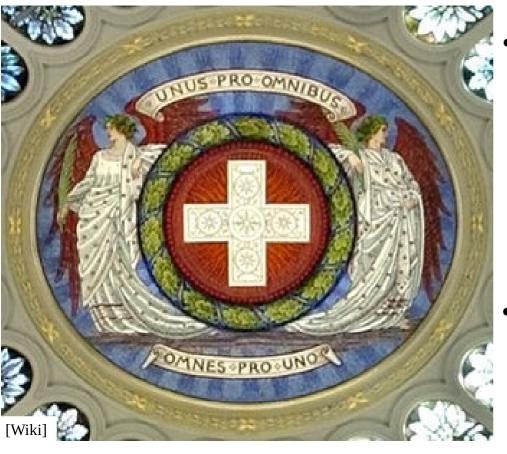
Beams Department – Accelerator and Beam Physics Collective Effects and Impedances

CERN, Switzerland, Geneva

CERN Accelerator School – 12th May 2021

#### **Collective forces**

Dome of the Swiss Federal Palace



One for all: The motion of each individual particles define the collective force

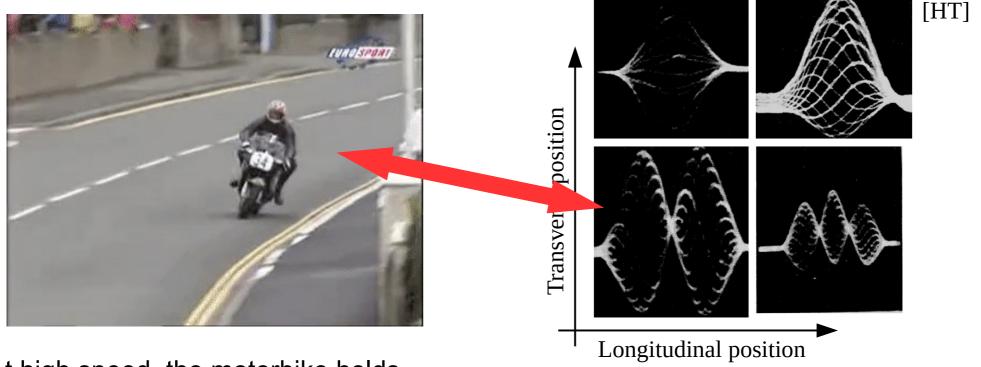
 All for one: The collective force affects the motion of each individual particle

- The collective forces can affects beams in several ways
  - → They usually limit the quality of the beams that can be achieved with a given machine, such that understanding and control of collective effects directly relates to performance reach
  - → In some cases the collective force is used to achieve a given purpose

#### Content

- Collective instabilities
  - Study case: Electromagnetic wake fields and the head-tail instability
  - Damping mechanisms
- Non-linear collective effects
  - Poincaré section, resonances and chaos
  - Beam-beam and space-charge effects
- Scattering effects
- Summary

#### Real life instability vs beam instabilities



- At high speed, the motorbike holds a lot of energy flowing in a given direction
- If a mechanism allows for even a small transfer of this energy to a mode of oscillation of the bike, the pilot has no chance to contain the oscillation
  - $\rightarrow$  instability

- Particle beams also holds a lot of energy flowing in a given direction
- Several mechanism can transfer the longitudinal energy into modes of oscillation
- Beams have much more modes of oscillation than bikes...

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## **Wake fields on Lake Neuchatel**

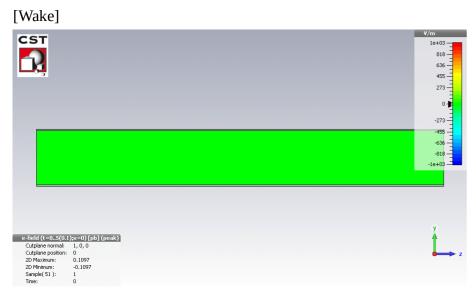
#### Witness



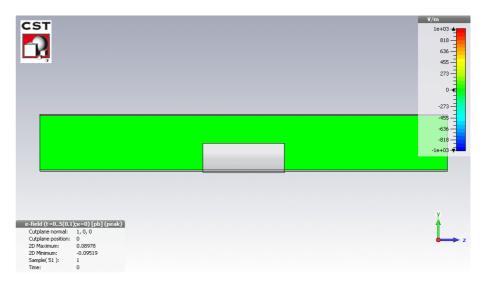
Source

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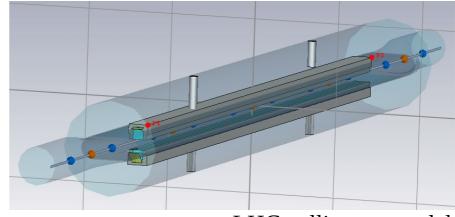
#### Wake fields in particle beams



- Just like a boat travelling on a lake, a charge particle travelling through space generates a wake, in the form of an electromagnetic field rather than a wave on water
  - → For particles travelling close to the speed of light, the wake affects only particles behind the source



 Structures in/around the beam pipe can generate complex behaviour (electromagnetic waves, trapped modes, ...)

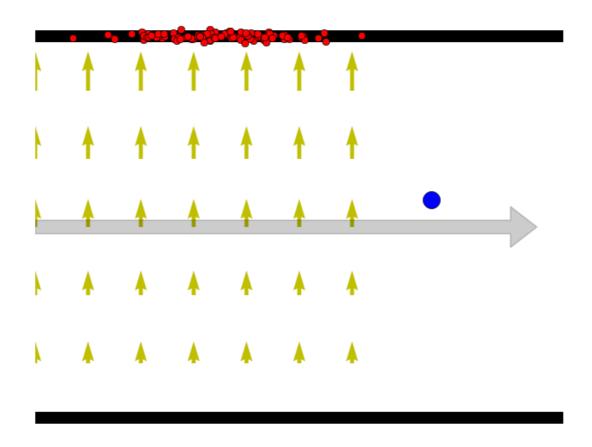


LHC collimator model

#### Wake fields: A naive but effective approach

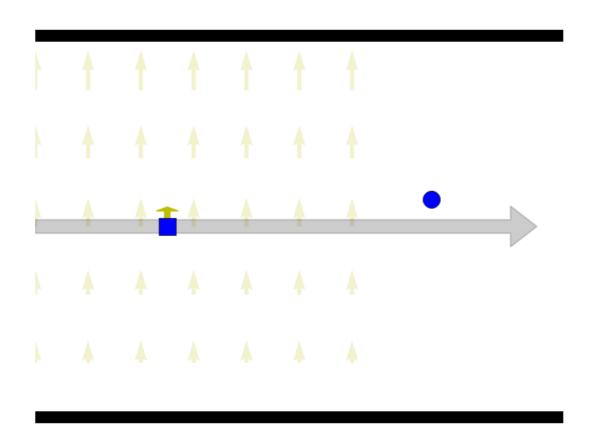
- Image charges are attracted by the beam at the surface of surrounding elements
  - These charges interact with the material, which depends on its property (resistivity, capacity, shape, smoothness, ...)

#### Wake fields: A naïve but effective approach



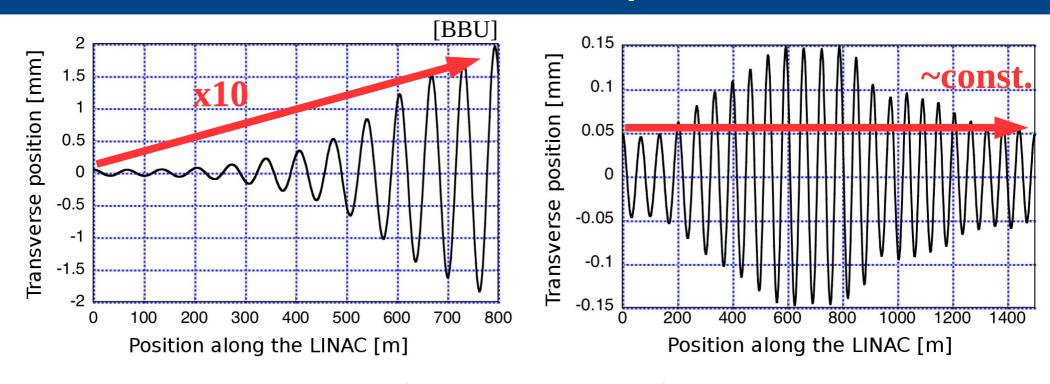
- If the beam oscillates, the density of the image charges vary on both sides with its oscillation
  - → The fields generated behind the source particle also varies, with the natural frequency of the source particle!

#### Beam breakup



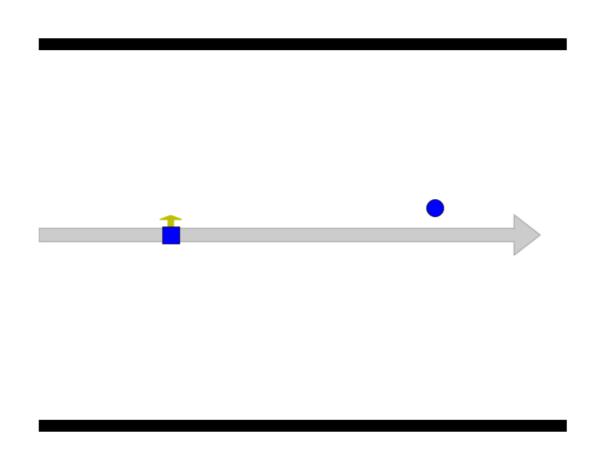
- In a LINAC (or a machine featuring slow synchrotron motion), the particles do not oscillate longitudinally
  - → A trailing particle feels the oscillating field
  - $\rightarrow$  Since its natural frequency is the same as the one of the source particle, it is driven to higher and higher amplitude

#### Beam breakup



- An initial perturbation is amplified through the LINAC
- Mitigations involve:
  - Minimising wake fields (i.e. electromagnetic design of the elements in the beam line)
  - Minimising misalignments
  - Minimising initial perturbations (injection steering)
  - Introducing a spread in frequency with non-linear fields (BNS damping)

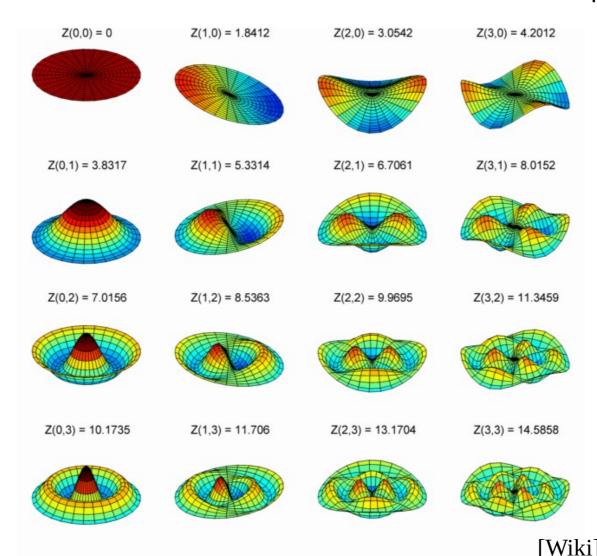
## The head-tail instability



- Considering longitudinal oscillation:
  - When the square particle is trailing, it is driven by the round particle's wake field, and vice-versa when it overtakes the round particle
  - The amplitude of the two particles is self-amplified → Collective instability

#### The head-tail instability

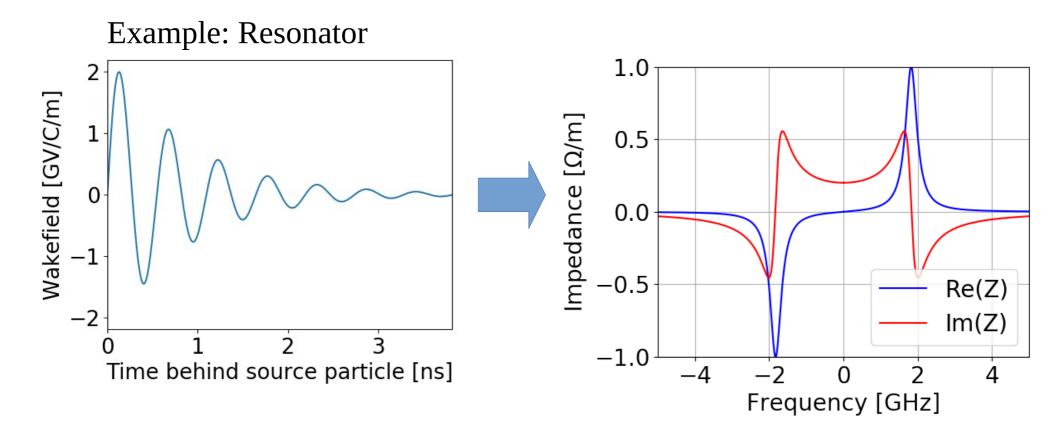
- In practice we have more than 2 particles: we need to consider distributions of particles and their modes of oscillations
  - → Most theories start with Vlasov / Fokker-Plank equations and a decomposition of the motion in radial and azimuthal mode in phase space



## Wakefield, impedance and effective impedance

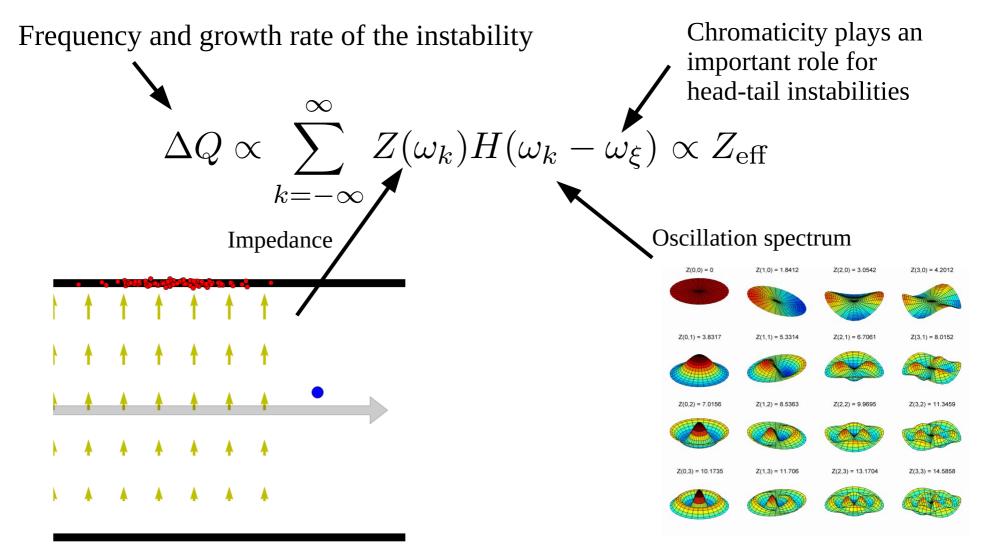
The wake fields are often described in term of their Fourier transform

 → The beam coupling impedance

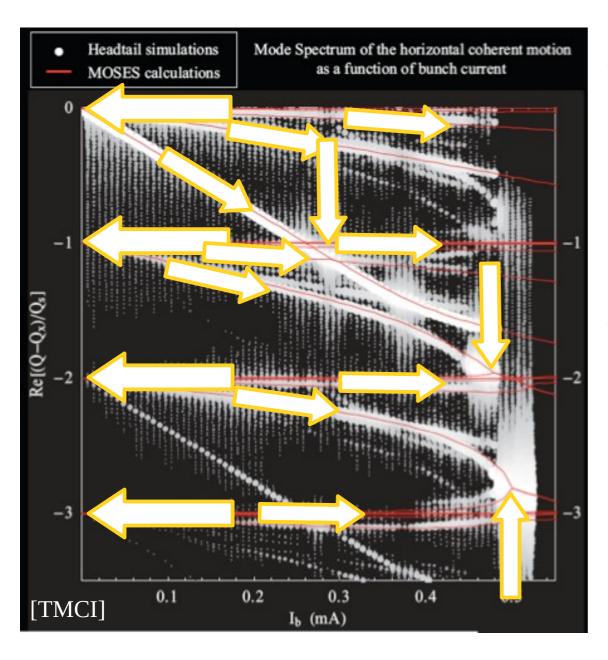


## Wakefield, impedance and effective impedance

 The impedance is a particularly interesting quantity, as its impact on a given mode of oscillation be estimated based on its product with the mode spectrum → Sacherer tune shift [Sacherer]

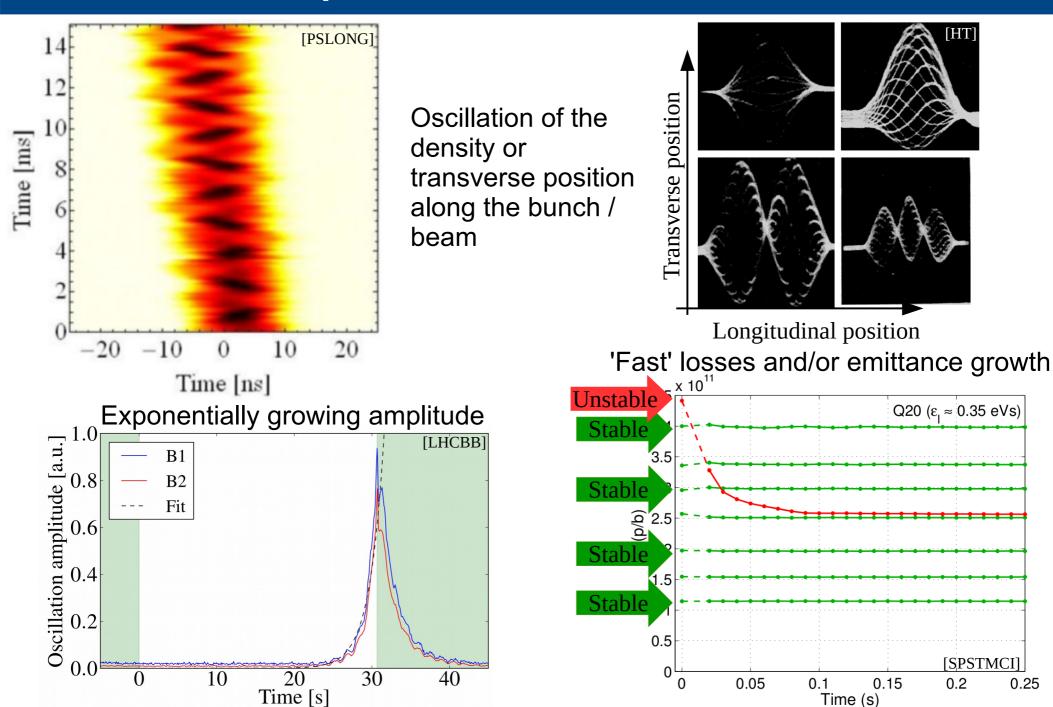


# The strong head-tail instability or Transverse Mode Coupling Instability (TMCI)



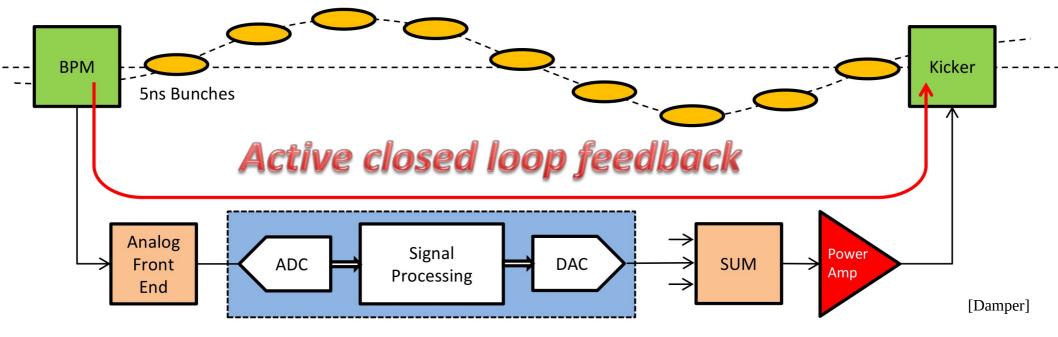
- In the high intensity regime, the effect is no longer linear with the intensity / impedance
  - → The various modes are strongly perturbed
  - → Mode coupling generates strong instabilities
- Self-consistent models or macroparticle simulations are needed to describe such configurations

#### **Experimental characteristics**



## Active feedbacks (dampers)

 There exists a variety of instabilities generated by wake fields. In addition, other collective interactions generate even more instability mechanisms... → Stabilisation is always needed!



- By measuring the beam position and acting back on the beam (within the next few turns), several instabilities can be suppressed
  - Most coupled bunch instabilities are cured with an active feedback
  - Instabilities with intrabunch motion are technologically more challenging (high bandwidth)

→ Landau damping is still needed in most machines

## **Decoherence and Landau damping**

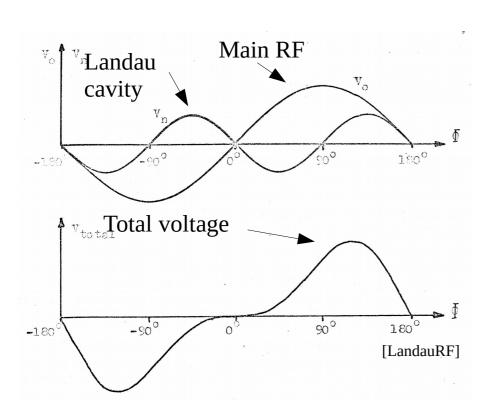
- In the presence of a tune spread, the particles tend to desynchronize in time
  - Organised motion slowly get disorganised as times goes
    - → decoherence

- By dis-organizing the particles, the tune spread prevents collective instabilites
  - → Landau damping
  - Initially discovered in plasmas, Landau damping is essential to most particle accelerators



#### Landau cavities and octupoles

 In order to increase the longitudinal tune spread, RF cavities of higher frequencies can be used to enhance the non-linear behaviour → Landau cavities



 In order to increase the transverse tune spread, nonlinear magnets can be used
→ Landau octupoles



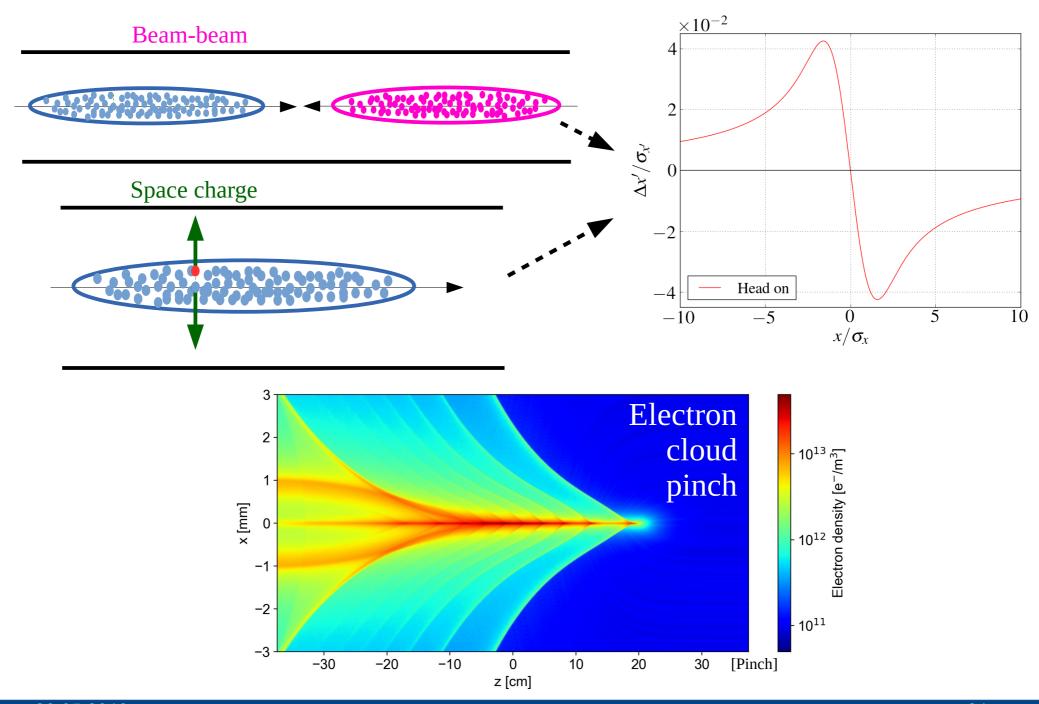
[Octupole]

Some collective forces generate a significant tune spread (space-charge, beam-beam) that generates Landau damping → They can be beneficial!

#### Content

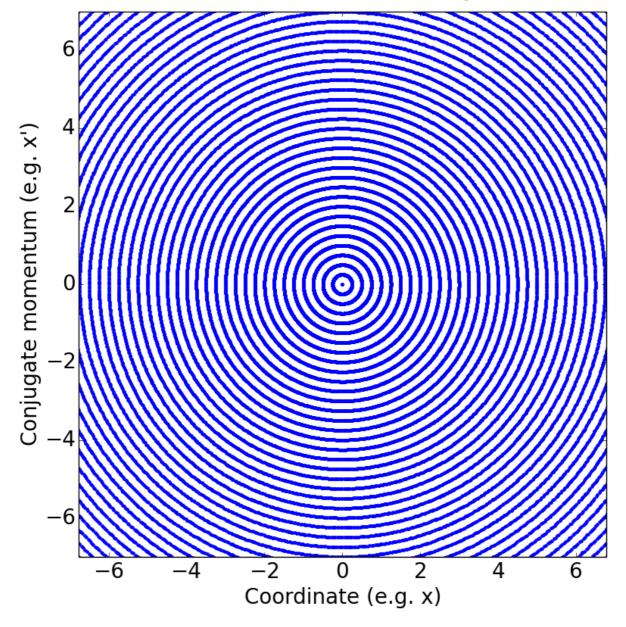
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#### Non-linear collective force

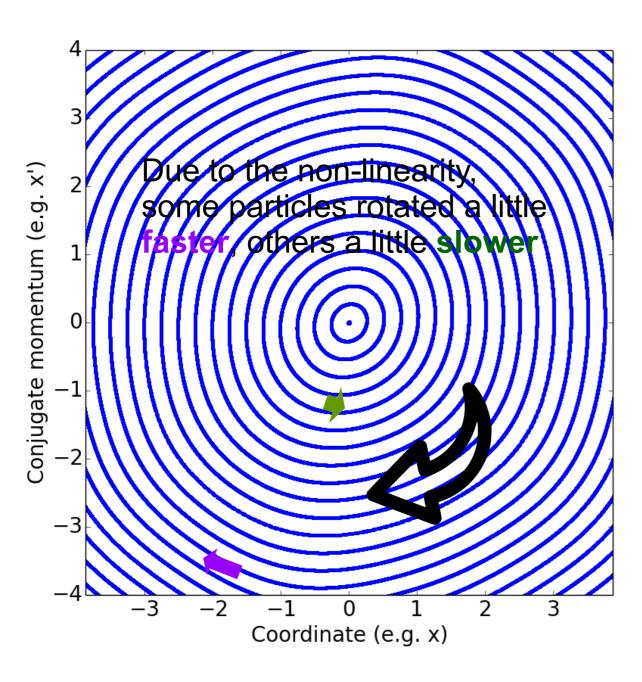


## Poincaré section (phase space)

## Regular trajectories → Long beam lifetime

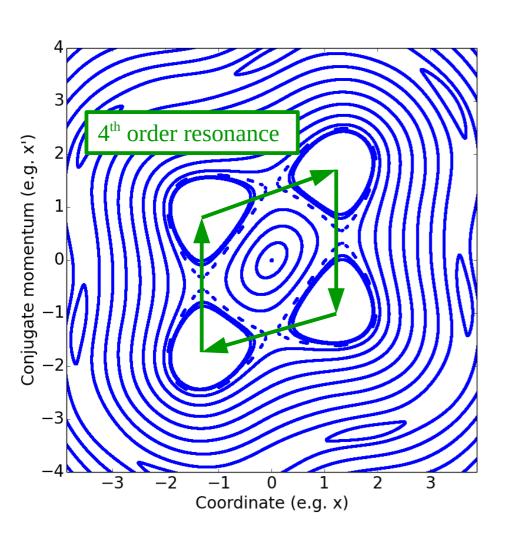


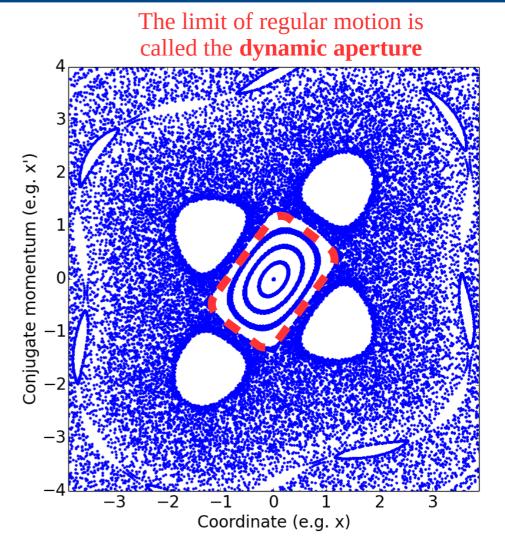
## 'Weakly' non-linear motion



- The non-linearity generates a 'tune spread', i.e. particles oscillate at different frequencies
  - → Landau damping!
- Nevertheless the trajectories can remain regular and the beam lifetime preserved

#### **Resonances and chaos**



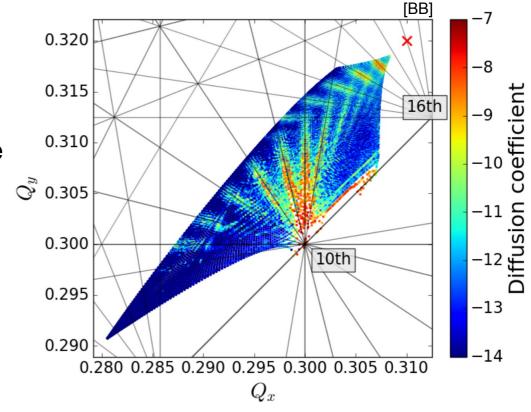


- Resonances distort the particles' trajectories
- Under some conditions, the trajectories become chaotic

→ Emittance growth and beam losses

#### **Tune footprint**

- High luminosity usually comes with strong beam-beam effects
  - The non-linearity of the force generates a tune spread that can be visualised in a 'tune diagram' and compared to resonance conditions
  - It is not possible to avoid all resonance, but some are worst than others



- In a comparable manner, space-charge forces limit the brightness of the beam in low energy machines (i.e. low relativistic gamma)
- A careful design allows for a minimisation of these effects is key to achieve high performance (working point, injection energy, separation scheme, ...)
  - In addition, several compensation schemes exists for either space-charge or beam-beam effects, usually acting on the tune spread and/or the resonances with special devices (multipole magnet, electron lens, wires)

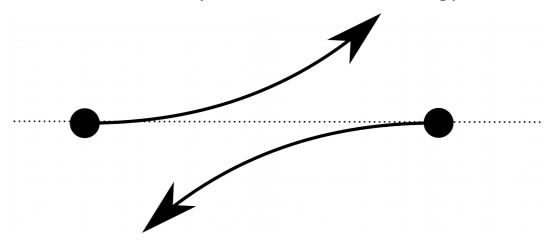
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Summary

#### **Scattering**

- 'Far' interactions between particles in a beam are well modeled by the space-charge fields, however close interactions (scattering events) lead to a redistributions of the momentum in 3D
  - → Multiple random small angle deflections: Emittance growth! (Intrabeam scattering)
  - → One single deflection may send one of the particles out of the machine acceptance: Beam losses! (Touschek scattering)

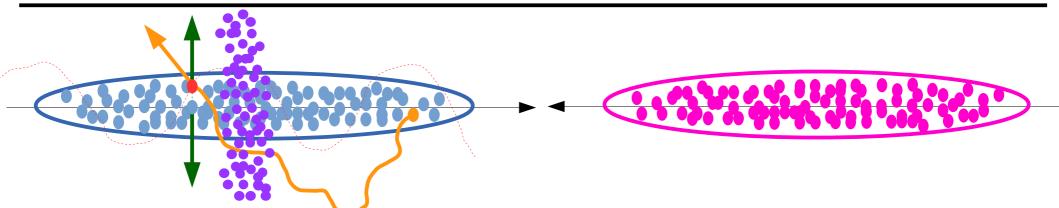


- The amount of these events depends on the beam property (scattering is more likely in denser beam, i.e. at lower relativistic gamma)
- The redistribution, thus the IBS growth rates in the different planes, depends on the machine optics (dispersion, transverse coupling)
- Other types of scattering can lead to emittance growth or losses (e.g. small angle deflections in beam-beam interactions or on rest gas particles)

## **Summary: Collective interactions**

Direct electromagnetic interaction of the particles in the beams: Space-charge effects, intrabeam scattering / Touschek scattering

Electromagnetic interaction with other species: Electron clouds, ions



Electromagnetic interaction of the particles in the beams through an interaction with surrounding elements (Vacuum chamber, beam screen, RF cavities, collimators, beam instrumentation, ...): Wake fields / Impedance

Electromagnetic interaction with another beam: Beam-beam effects, electron cooling, electron lens

## Summary: Impact on the beam

- Collective force can deteriorate the beam quality in several ways
  - Beam instabilities
  - Non-linear effects
  - Scattering effect
- The performance of a machine is usually limited by collective effects, their understanding and mitigation is the key to maximise the potential of a given machine
  - Optics (tune, chromaticity, transition energy, ...)
  - Active feedbacks
  - Passive mitigations (Landau cavities, Landau octupoles, resonance and/ or tune spread compensators)
  - Electromagnetic design of every element
  - Surfaces exposed to the beam

#### Not treated today

- Dissipation of the collective force (Beam loading, RF/electron cloud heating)
- Wake field acceleration → see E. Gschwendtner on Thursday next week
- Beam-beam disruption → see S. Stapnes also on Thursday
- Free electron laser
- Coherent synchrotron radiation

#### Good lecture notes on this topic

- Proceedings of the CAS-CERN Accelerator School on Intensity Limitations in Particle Beam https://e-publishing.cern.ch/index.php/CYRSP/issue/view/37
- A. Chao, Physics of Collective Beam Instabilities in High Energy Accelerators (Wiley, 1993) and Lecture Notes on Special Topics in Accelerator Physics (SLAC-PUB-9574) https://www.slac.stanford.edu/~achao/
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