The CERN Accelerator School

CAS – Introduction to Accelerator Physics

Collective effects

Part III: Wake fields and impedances – instabilities

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In the previous lecture we discussed **space charge effects** and showed how these can limit the machine performance in that they can lead **to incoherent and coherent tune shifts**. We then moved on the a more general treatment of electromagnetic fields in simple structures where we were able to identify **yet another type of induced fields** originating from the **electromagnetic properties** of the surrounding material – **the wall wake**.

We then saw some animations of more general examples of induced fields in complex structures.

We now want to see how to treat such structures more formally to help us model these types of collective effects better. For this, we introduce the **concept of wake fields and impedances** and will talk about their impact on the machine and on the beam.

- Part 3: Wake fields and impedances – impacts
  - Concept of wake fields
  - Longitudinal and transverse wake fields and impedances
  - Impact of wake fields and impedance on the accelerator environment
  - Description of a coherent beam instability and the instability loop

By now we should be able to understand that solving the full electrodynamics in complex structures become a huge simulation effort and virtually impossible for large accelerators.

For this reason, one reverts to the concept of the **wake function as the electromagnetic impulse response** of any structure. One obtains **wake fields and impedances** which can be used to formally study the **electromagnetic interaction of structures with a passing beam**.

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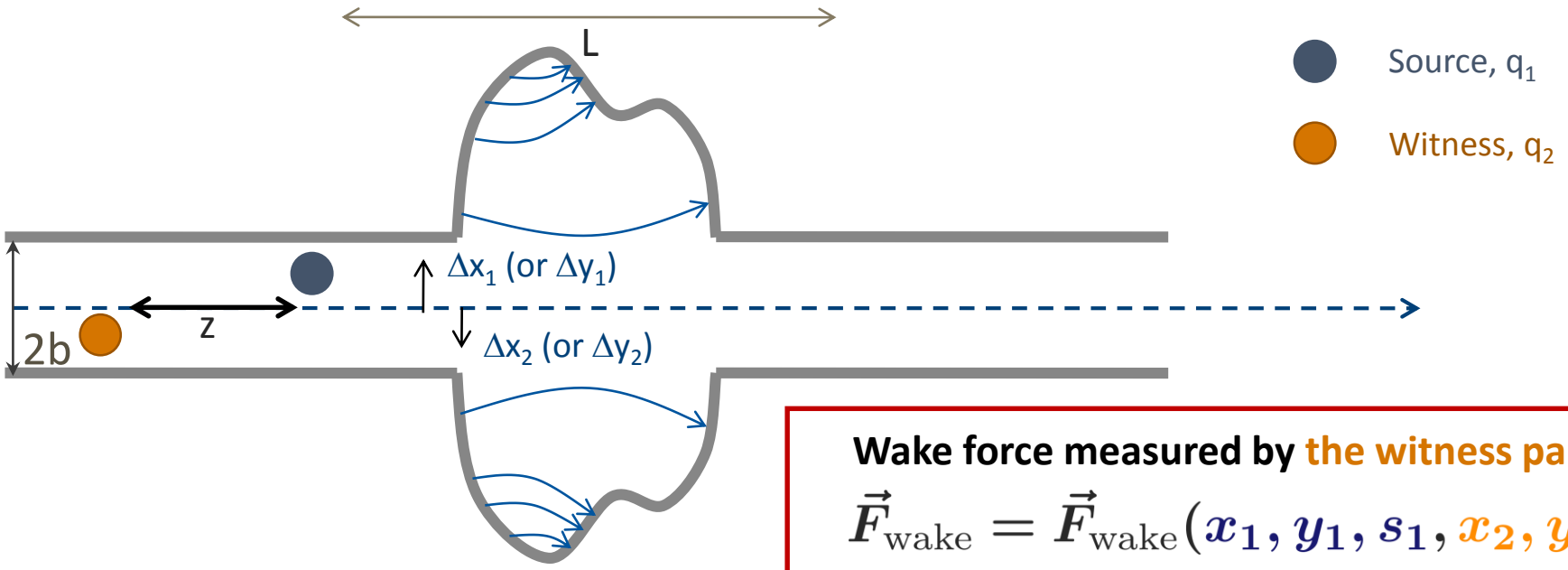
Wake function – general definition

How can we treat these phenomena effectively in our models?

- We will use a little trick: we consider **two point particles** – one source, one probe
- The forces will be a function of the locations of both the source and the probe particles:



Wake force measured by the witness particle

$$\vec{F}_{\text{wake}} = \vec{F}_{\text{wake}}(x_1, y_1, s_1, x_2, y_2, s_2, t)$$

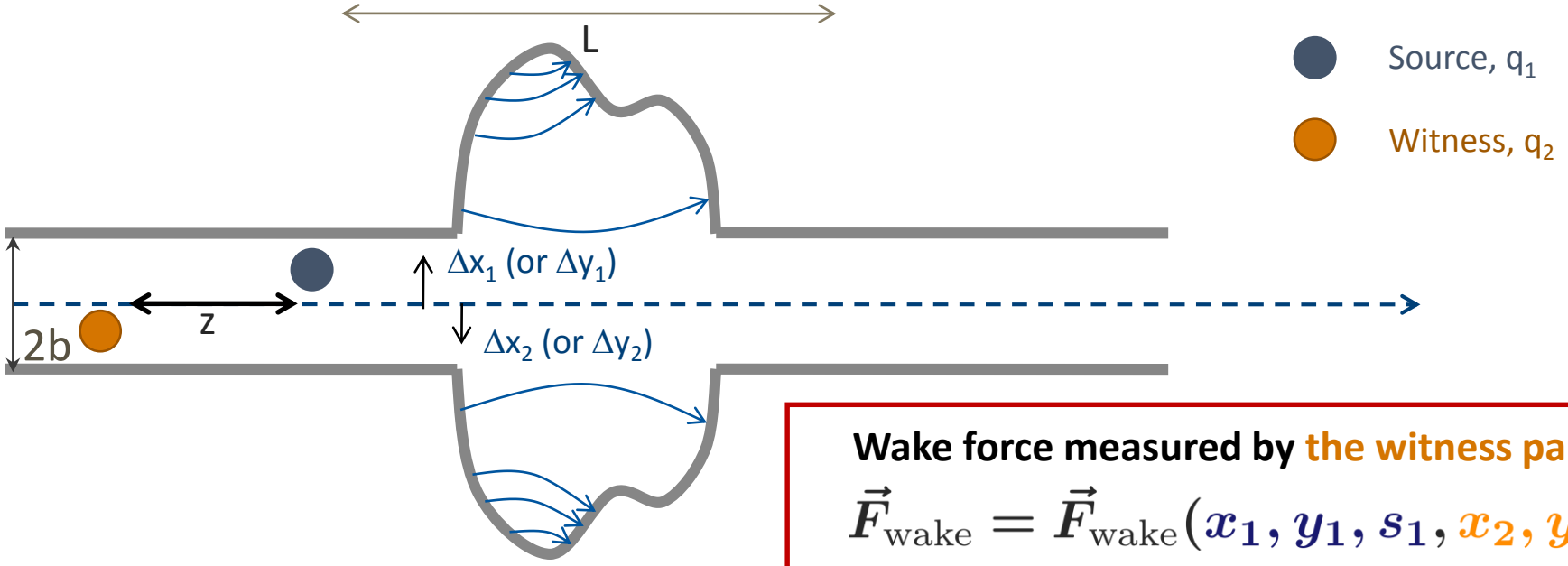
Note that: $$\vec{F}_{\text{wake}} = q_2 \left(\vec{E}(x_1, y_1, s_1, x_2, y_2, s_2, t) + v_z \vec{e}_z \times \vec{B}(x_1, y_1, s_1, x_2, y_2, s_2, t) \right)$$

Wake function – general definition

- We will use
- The forces

How can we treat these phenomena effectively in our models?

- **The rigid beam approximation**
- **The impulse approximation**



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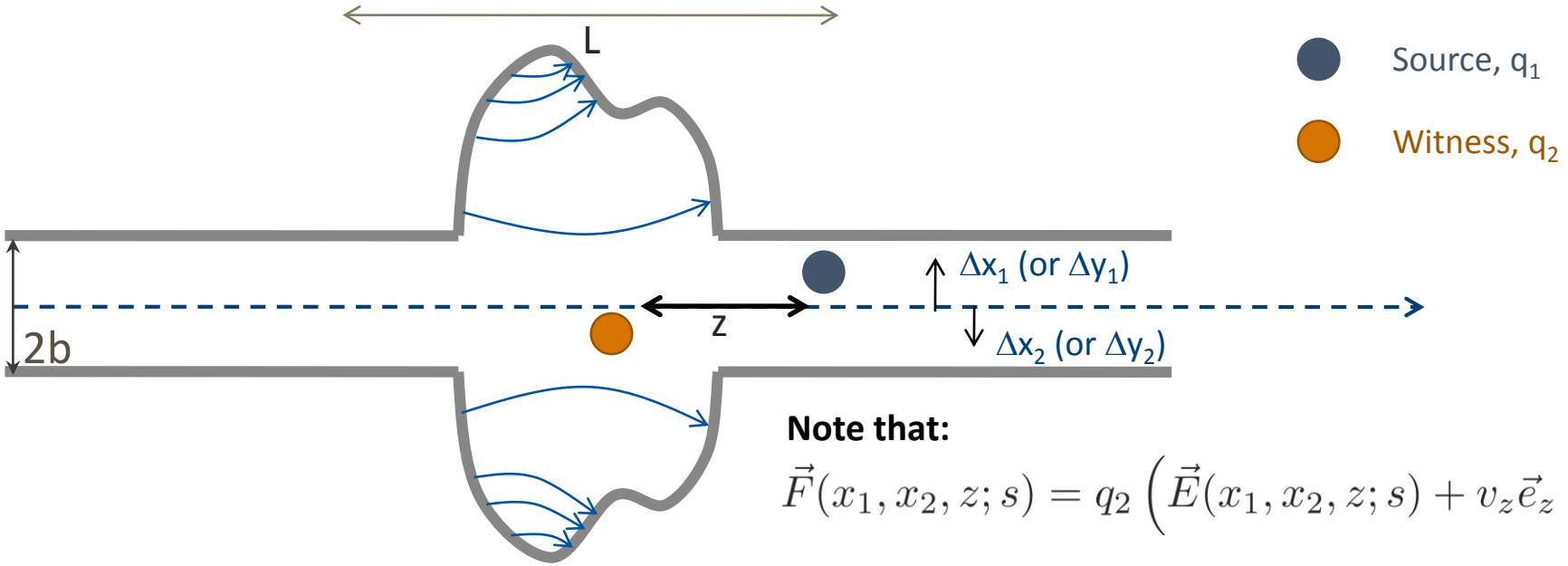
Wake function – general definition



Note that:

$$\vec{F}(x_1, x_2, z; s) = q_2 \left(\vec{E}(x_1, x_2, z; s) + v_z \vec{e}_z \times \vec{B}(x_1, x_2, z; s) \right)$$

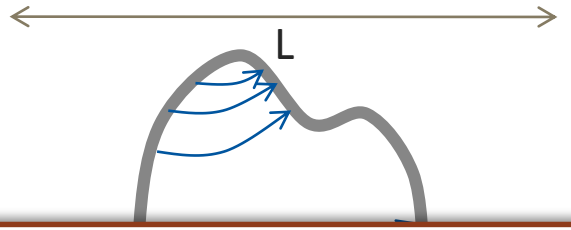
We define the **wake function as the integrated force on the witness particle** (associated to a change in energy):

- Let's focus on the horizontal plane. In general, for two point-like particles, we have

$$\Delta E_2 = \int F(x_1, x_2, z; s)\, ds = -q_1 q_2\, \boldsymbol{w(x_1, x_2, z)}$$

$$z \equiv s_2 - s_1\,, \qquad s \equiv s_1$$

Wake function – general definition



Source, q_1

Witness, q_2

w is proportional to **the integrated forces felt by the witness particle**:

- It is an **intrinsic property of any given device**, representing its **electromagnetic impulse response**.
- It is a function of **the transverse offsets and the longitudinal separation** of the source and the witness particles.

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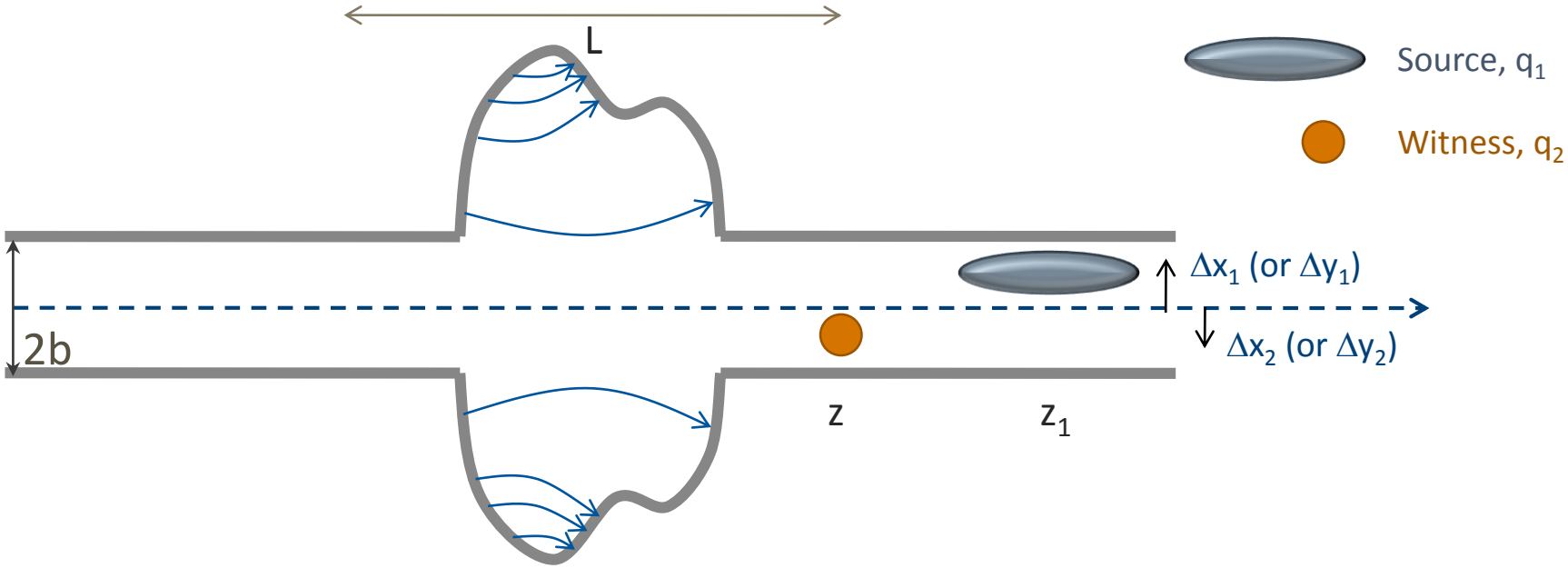
Wake potential for a distribution of particles



We define the **wake function as the integrated force** on the witness particle (associated to a change in energy):

- For an extended particle distribution this becomes (superposition of all source terms)

$$\Delta E_2(z) = -\sum_i q_i\, q_2\, \boldsymbol{w(x_i, x_2, z - z_i)} \longrightarrow \int \boxed{\lambda_1(x_1, z_1)}\, \boldsymbol{w(x_1, x_2, z - z_1)}\, dx_1 dz_1$$

Forces become dependent on the **particle distribution function**

We have introduced the concept of **the wake function** and have seen how these can simplify our handling of induced electromagnetic fields within complex structures. The wake function is the **electromagnetic response** of a structure and is in fact an **intrinsic property** of any such structure.

In practice, we will never compute the full wake function but we will separate between **longitudinal and transverse wake fields**. We then treat these in an expansion which significantly simplifies our treatment. Complementary to the wake fields one can also move to frequency domain and use the impedance.

- Part 3: Wake fields and impedances – impacts
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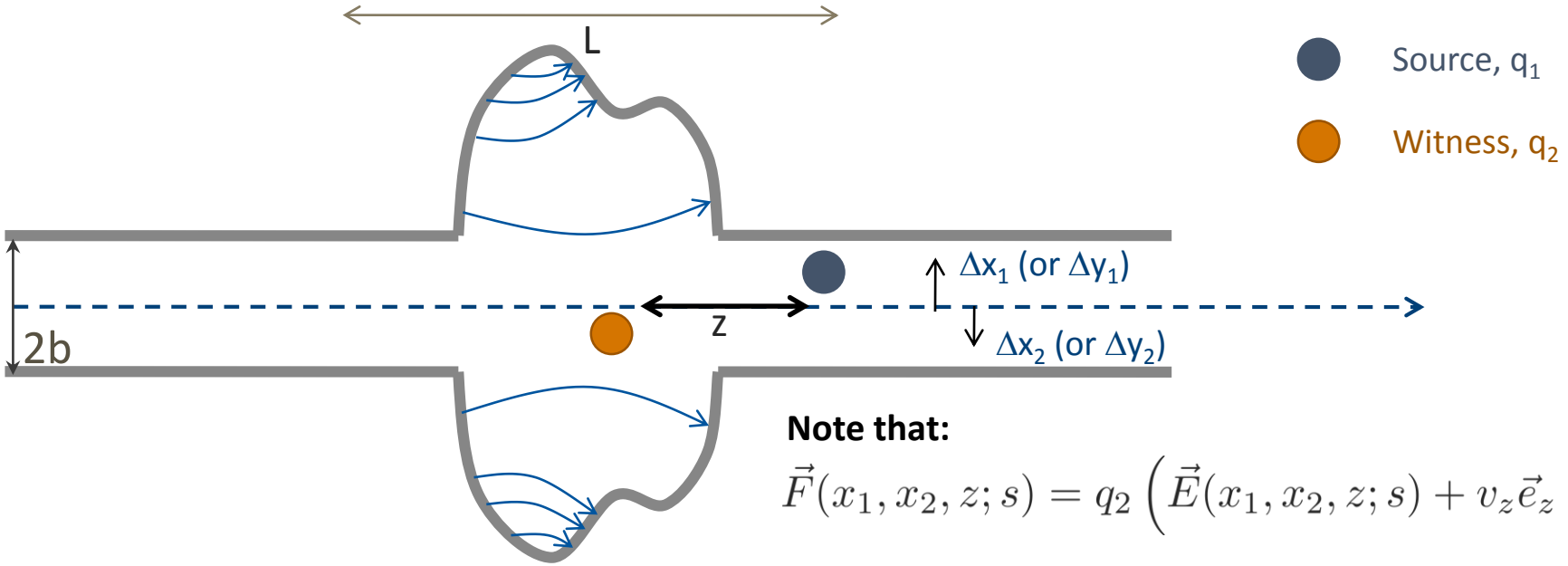
Wake function – general definition



Note that:

$$\vec{F}(x_1, x_2, z; s) = q_2 \left(\vec{E}(x_1, x_2, z; s) + v_z \vec{e}_z \times \vec{B}(x_1, x_2, z; s) \right)$$

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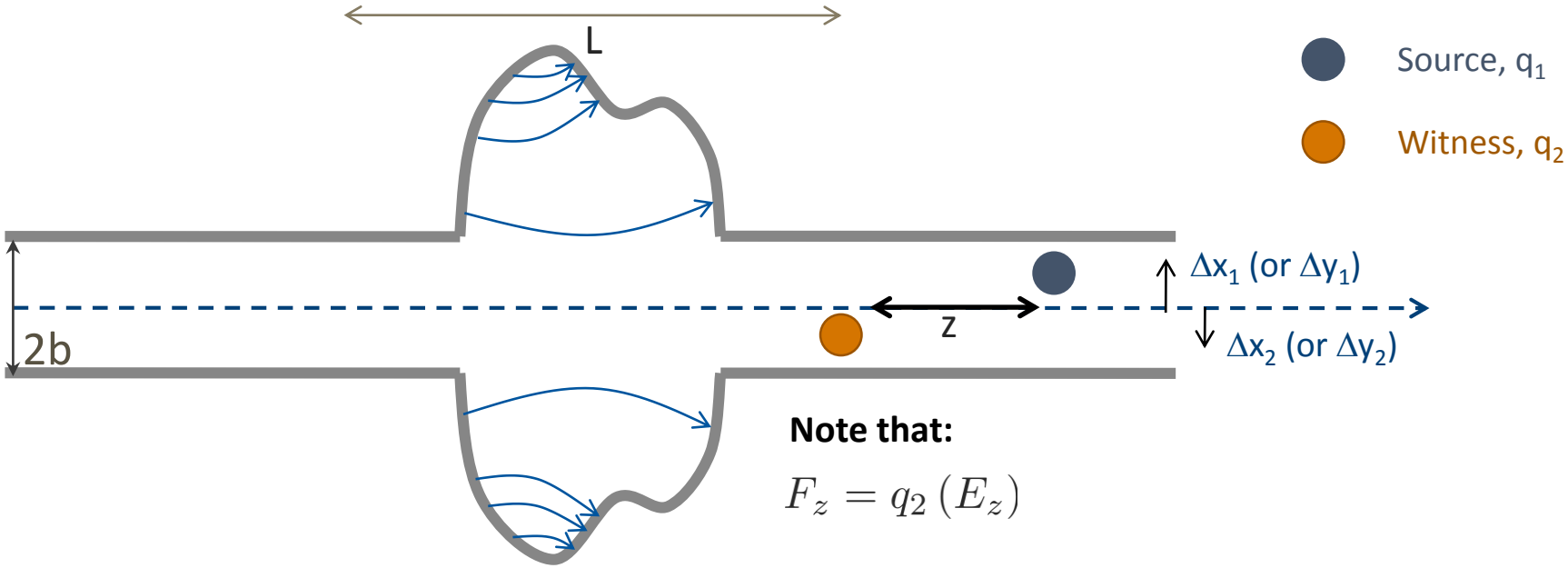
Longitudinal wake function



Note that:

$$F_z = q_2 \left(E_z\right)$$

Can be simulated or measured

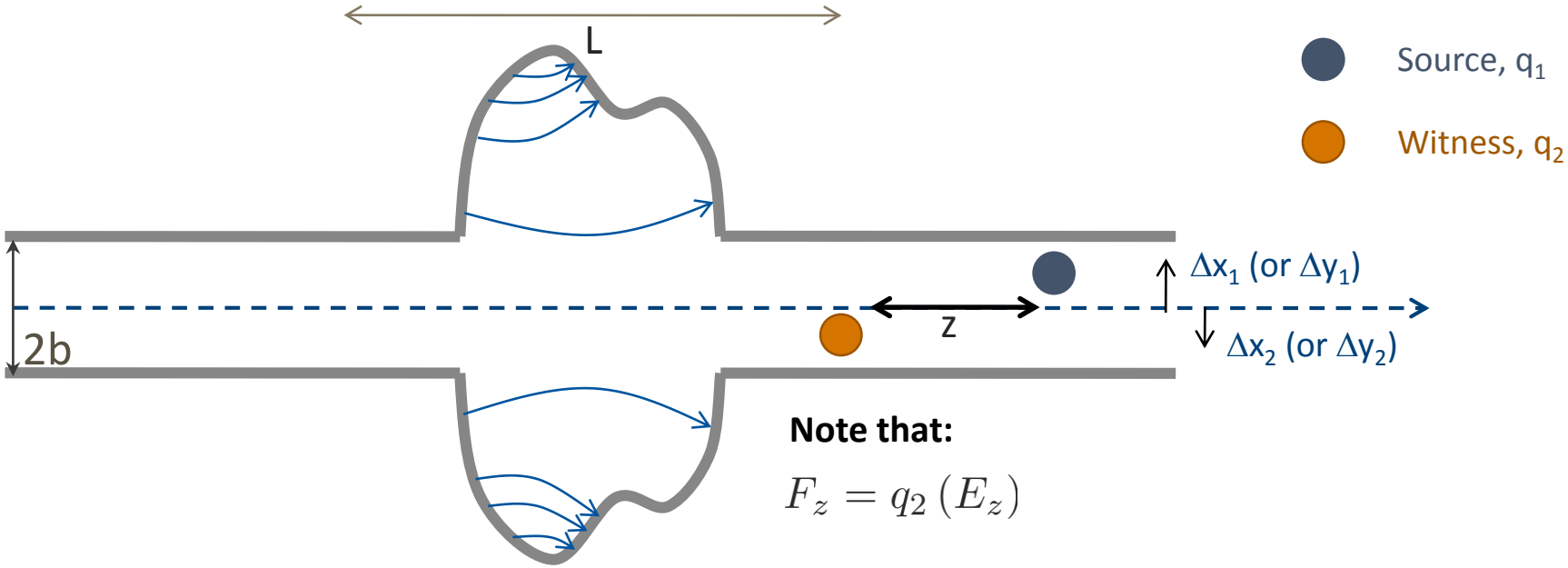
- Longitudinal wake fields

$$\int F_z(\Delta x_1, \Delta x_2, z; s)\, ds = -q_1 q_2 \left(\boxed{W_{\parallel}(z)} + \boxed{O(\Delta x_1) + O(\Delta x_2)}\right)$$

Zeroth order with source and test centred
usually dominant

Higher order terms
Usually negligible for small offsets

Longitudinal wake function



Note that:

$$F_z = q_2 \left(E_z\right)$$

- Longitudinal wake fields

$$\Delta E_2 = \int F_z(z;s)\, ds = -q_1 q_2\, W_{\parallel}(z)$$

$$\longrightarrow \frac{\Delta E_2}{E_0} = \left(\frac{\gamma^2 - 1}{\gamma}\right) \frac{\Delta p_2}{p_0}$$

Energy kick of the witness particle from longitudinal wakes

Longitudinal wake function

$$W_{\parallel}(z) = -\frac{\Delta E_2}{q_1 q_2} \quad \xrightarrow[q_2 \to q_1]{z \to 0} \quad W_{\parallel}(0) = -\frac{\Delta E_1}{q_1^2}$$

- The value of the wake function in z=0 is related to **the energy lost by the source particle** in the creation of the wake
- The **wake function** of an accelerator component is basically its **Green function in time domain** (i.e., its response to a pulse excitation)

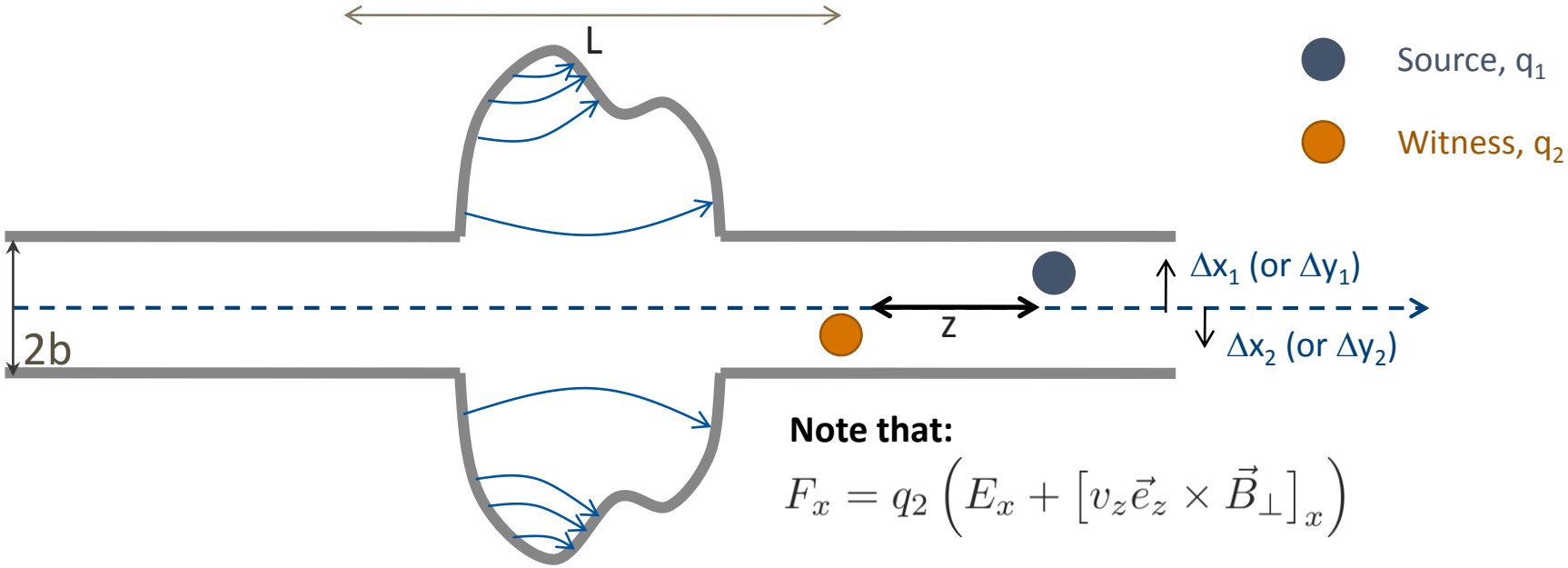
$$\Delta E_2(z) \propto \int \lambda_1(z_1)\, W_{\parallel}(z - z_1)\, dz_1$$

→ Very useful for macroparticle models and simulations, because it can be used to describe the driving terms in the single particle equations of motion!

- We can also describe it as a **transfer function in frequency domain**
  → This is the definition of **transverse beam coupling impedance** of the element under study

$$\underbrace{\boxed{Z_{\parallel}(\omega)}}_{[\Omega]} = \int_{-\infty}^{\infty} \underbrace{\boxed{W_{\parallel}(z)}}_{[\Omega/s]} \exp\left(-\frac{i\omega z}{c}\right) \frac{dz}{c}$$

Transverse wake functions



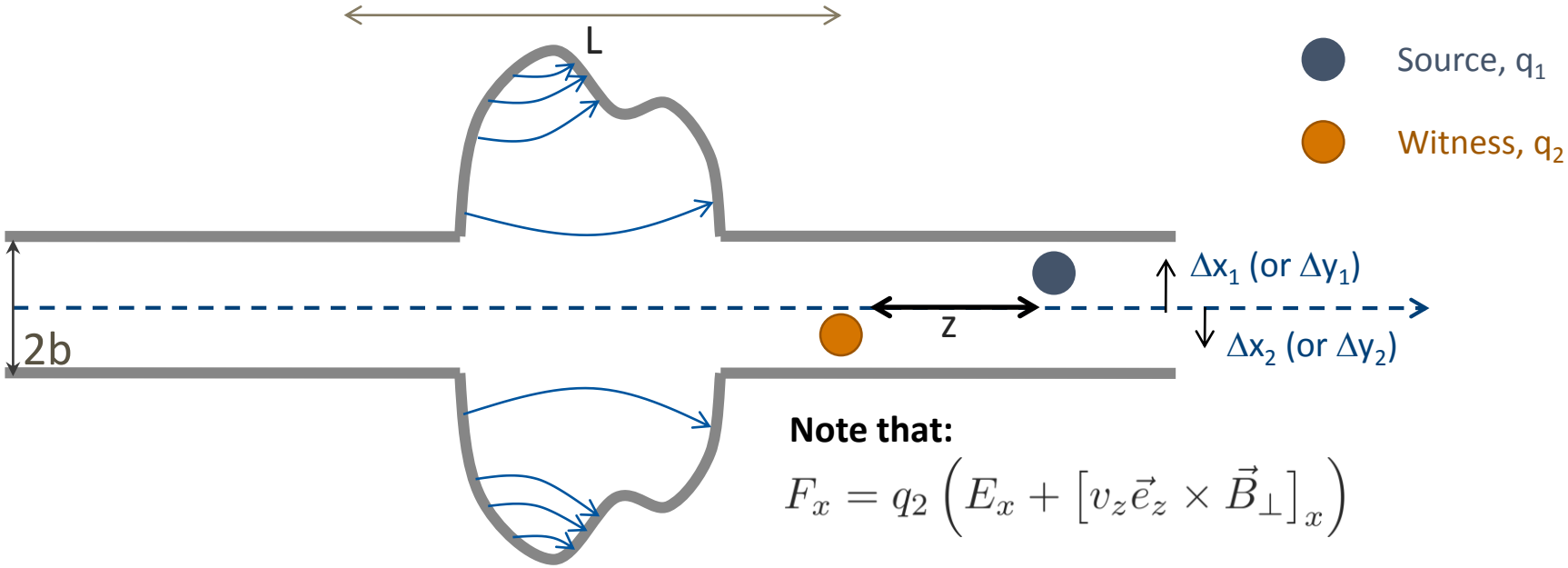
Note that:

$$F_x = q_2 \left(E_x + \left[v_z \vec{e}_z \times \vec{B}_\perp \right]_x \right)$$

- Transverse wake fields

$$\beta c \, \Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s) \, ds$$

Transverse wake functions



Note that:

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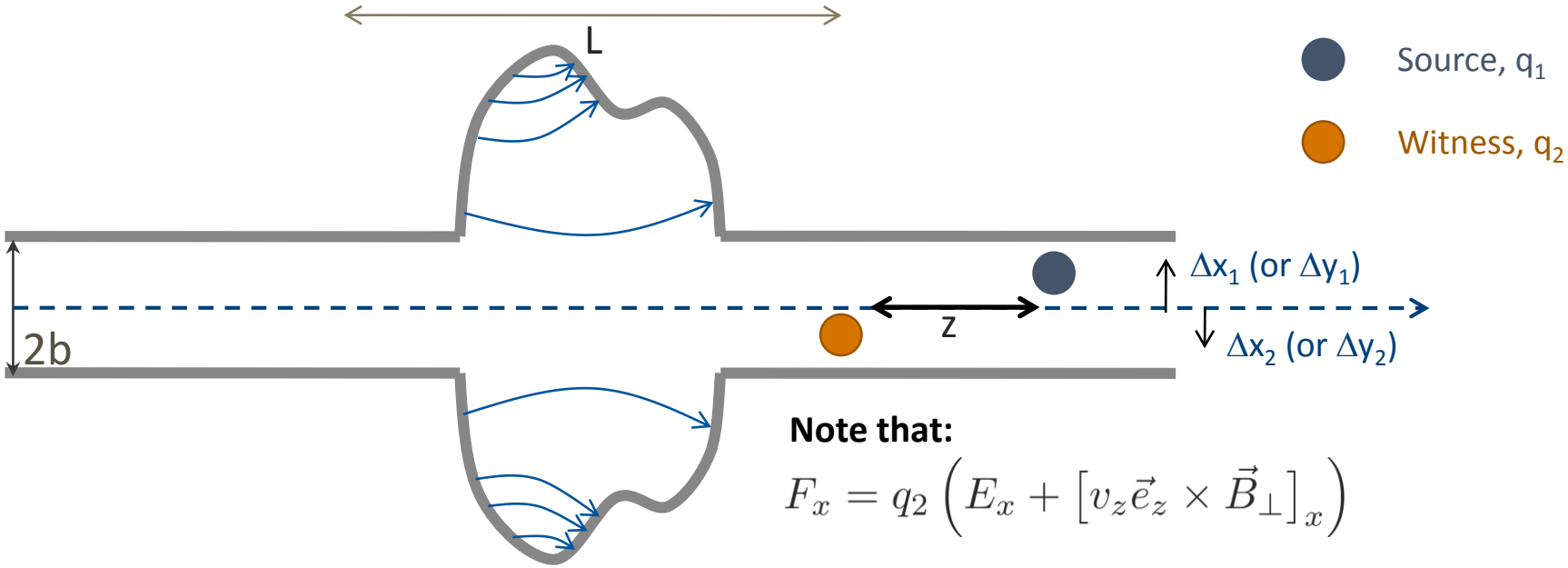
- Transverse wake fields

First order expansion in transverse coordinates of source and witness particles

$$\beta c \, \Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s) \, ds = -q_1 q_2 \left(W_{C_x}(z) + W_{Dx}(z) \, \Delta x_1 + W_{Q_x}(z) \, \Delta x_2 \right)$$

Transverse wake functions



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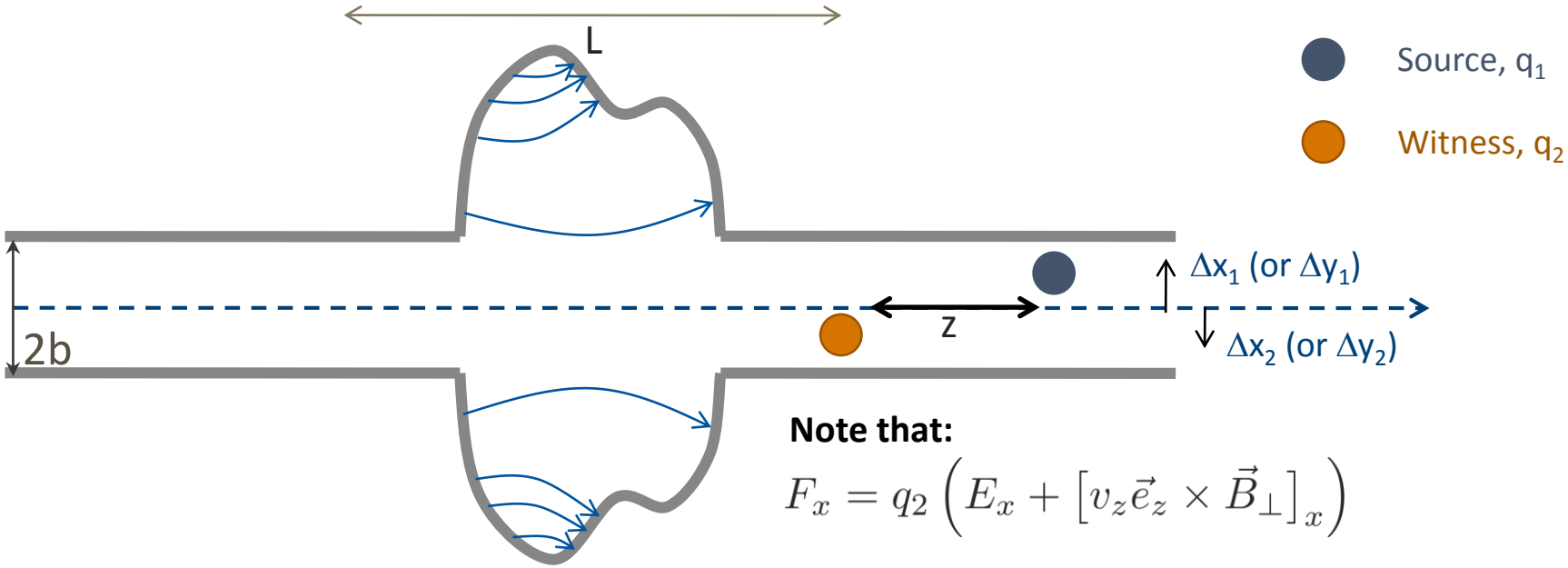
$$\longrightarrow \frac{\Delta p_{x_2}}{p_0} = \Delta x_2'$$

Transverse deflecting kick of the witness particle from transverse wakes

Transverse wake functions



Note that:

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Can be simulated or measured

- Transverse wake fields

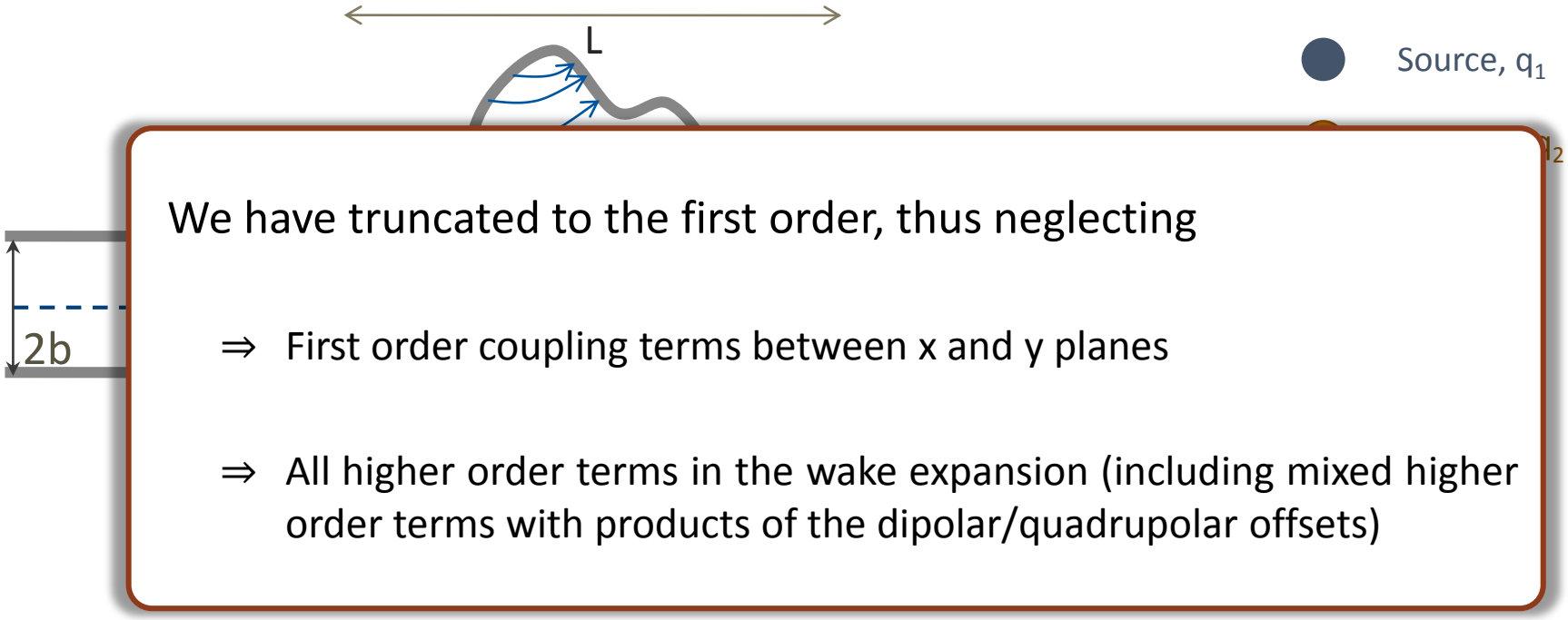
$$\beta c \, \Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s) \, ds = -q_1 q_2 \left(W_{C_x}(z) + W_{Dx}(z) \Delta x_1 + W_{Q_x}(z) \Delta x_2 \right)$$

Zeroth order for asymmetric structures → **Orbit offset**

Dipole wakes – depends on **source particle** → **Orbit offset & detuning**

Quadrupole wakes – depends on **witness particle** → **Detuning**

Transverse wake functions



We have truncated to the first order, thus neglecting

⇒ First order coupling terms between x and y planes

⇒ All higher order terms in the wake expansion (including mixed higher order terms with products of the dipolar/quadrupolar offsets)

- Transverse wake fields

$$\beta c\,\Delta p_{x_2} = \int F_x(\Delta x_1, \Delta x_2, z; s)\,ds = -q_1 q_2 \left(\boxed{W_{C_x}(z)} + \boxed{W_{Dx}(z)}\,\Delta x_1 + \boxed{W_{Q_x}(z)}\,\Delta x_2\right)$$

Zeroth order for asymmetric structures → **Orbit offset**

Dipole wakes – depends on **source particle** → **Orbit offset & detuning**

Quadrupole wakes – depends on **witness particle** → **Detuning**

Transverse impedance

$$W_{D_x}(z) = -\frac{\beta^2 E_0}{q_1 q_2}\frac{\Delta x_2'}{\Delta x_1} \qquad W_{Q_x}(z) = -\frac{\beta^2 E_0}{q_1 q_2}\frac{\Delta x_2'}{\Delta x_2}$$

- The **wake function** of an accelerator component is basically its **Green function in time domain** (i.e., its response to a pulse excitation):

- We can also describe it as a **transfer function in frequency domain**
  → This is the definition of **transverse beam coupling impedance** of the element under study

Dipolar
$$Z_{D_x}(\omega) = i\int_{-\infty}^{\infty} W_{D_x}(z)\exp\left(-\frac{i\omega z}{c}\right)\frac{dz}{c}$$

Quadrupolar
$$Z_{Q_x}(\omega) = i\int_{-\infty}^{\infty} W_{Q_x}(z)\exp\left(-\frac{i\omega z}{c}\right)\frac{dz}{c}$$

$[\Omega/\mathrm{m}]$

We have used the concept of wake fields in **the longitudinal and the transverse planes**, respectively. We have found that we usually do a decomposition of the wake function to obtain only the leading orders, namely, **constant, dipolar and quadrupolar wake fields**. We have also introduced the **impedance of the frequency domain representation** of the wake function.

Before actually looking at the impact of wake fields and impedances on the beam, we will now first study their **impact on the environment** – in particular, **beam induced heating** which can be dangerous and even destructive for poorly designed machine elements.

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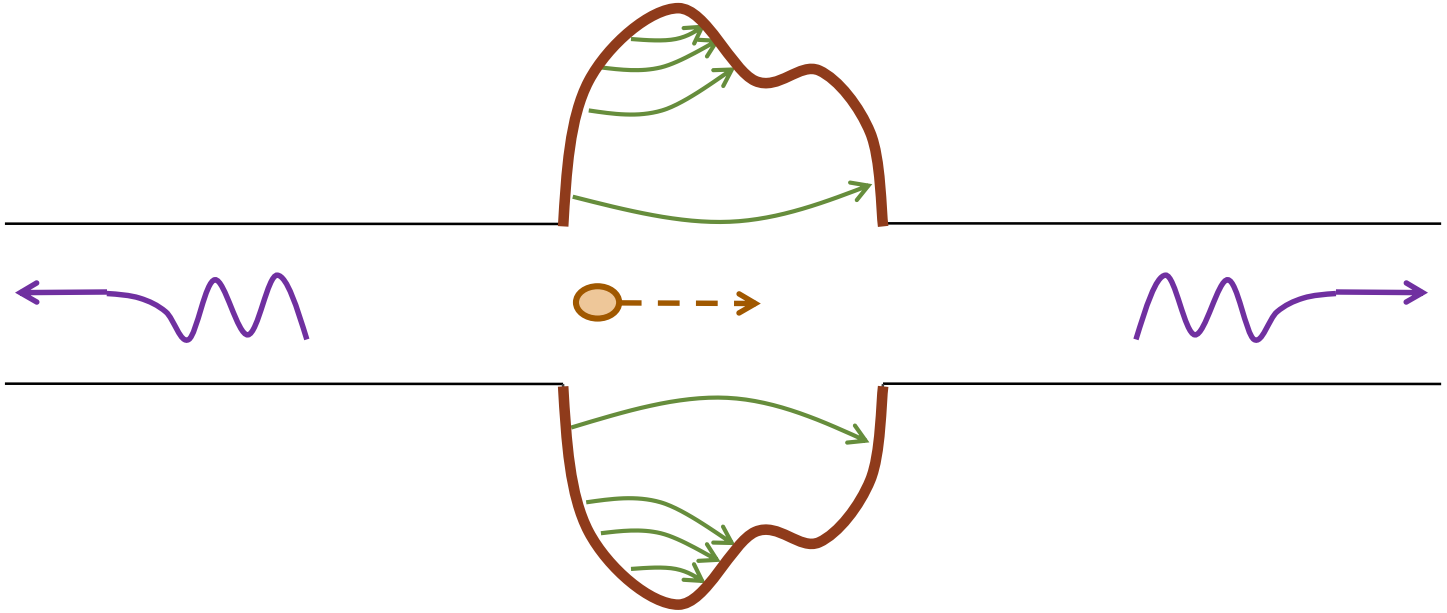
The energy balance

$$W_{\parallel}(0) = \frac{1}{\pi}\int_0^{\infty} \mathrm{Re}\left(Z_{\parallel}(\omega)\right)\, d\omega = -\frac{\Delta E_1}{q_1^2}$$

What happens to the energy lost by the source?

- In the global energy balance, the energy lost by the source splits into:
  - Electromagnetic energy of the **modes that remain trapped** in the object
    - → Partly dissipated on **lossy walls** or into purposely designed inserts or HOM absorbers
    - → Partly transferred to **following particles** (or the same particle over successive turns), possibly feeding into an instability!
  - Electromagnetic energy of **modes that propagate** down the beam chamber (above cut-off), eventually lost on surrounding lossy materials



The energy balance

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What happens to the energy lost by the source?

- In the global e
  - Electromagr
    - → Partly dis
    - → Partly tra
  - Electromagr
    surrounding

into an instability!

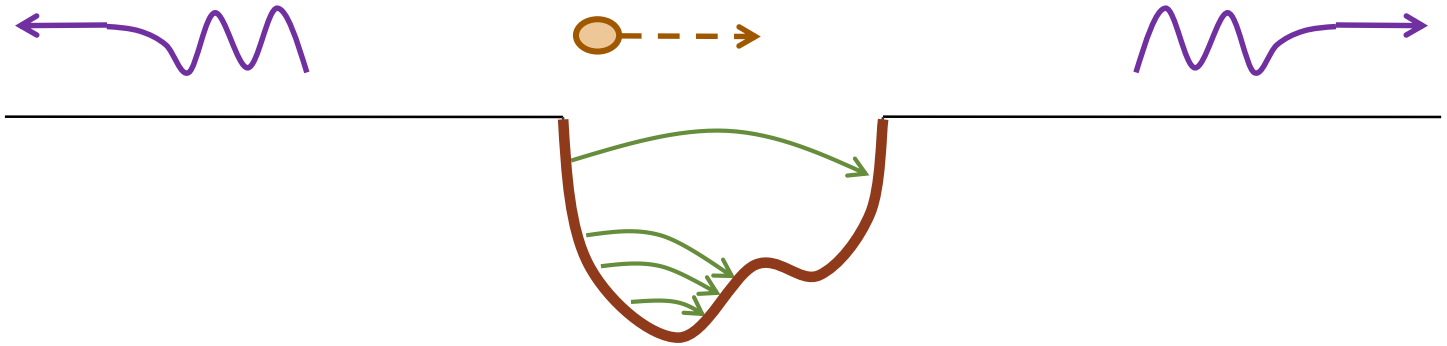
), eventually lost on

The energy loss of a particle bunch

⇒ causes **beam induced heating** of the machine elements (damage, outgassing)

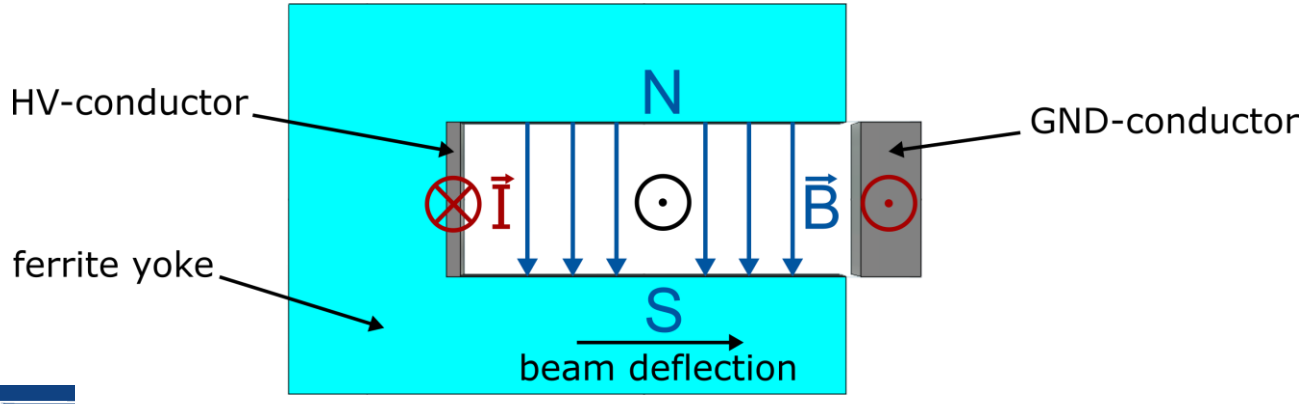
⇒ feeds into both **longitudinal and transverse instabilities** through the associated EM fields

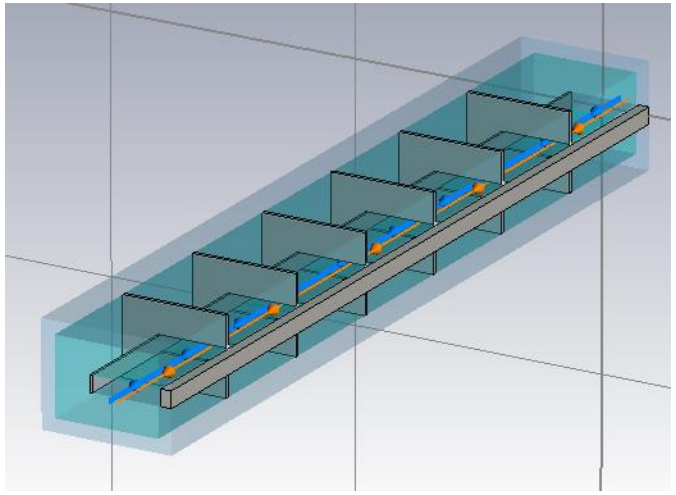
⇒ is compensated by the RF system determining a **stable phase shift**



Example: SPS extraction kickers

- Problem with SPS extraction kickers (MKE)
  - Extraction elements through which the beam passes every turn
    - Based on a fast pulsed magnet capable of deflecting the whole beam over one turn
    - Active only on turn in which beam has to be extracted, otherwise passive but with all its elements (ferrite, conductors) exposed to the beam
  - Use of beam for LHC filling (4x 200-ns spaced trains of 72x 25-ns spaced bunches) led to inacceptable heating of these elements)
    - Heating above Curie temperature leads to ferrite degradation → Beam cannot be extracted anymore from the SPS
    - Heating causes outgassing and strong pressure rise in the kicker sector, with consequent beam interlocking due to poor vacuum



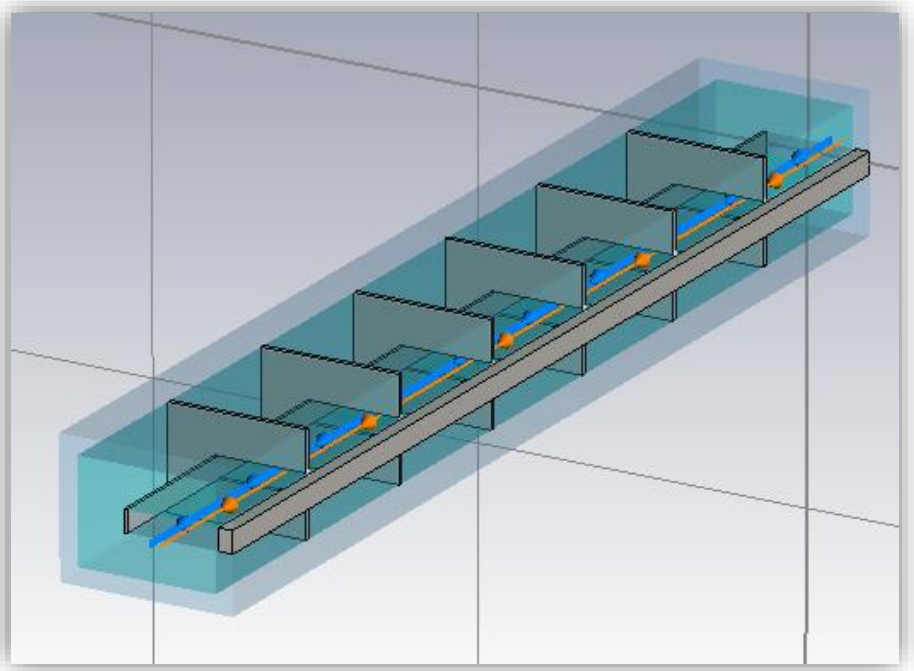


Example: SPS extraction kickers

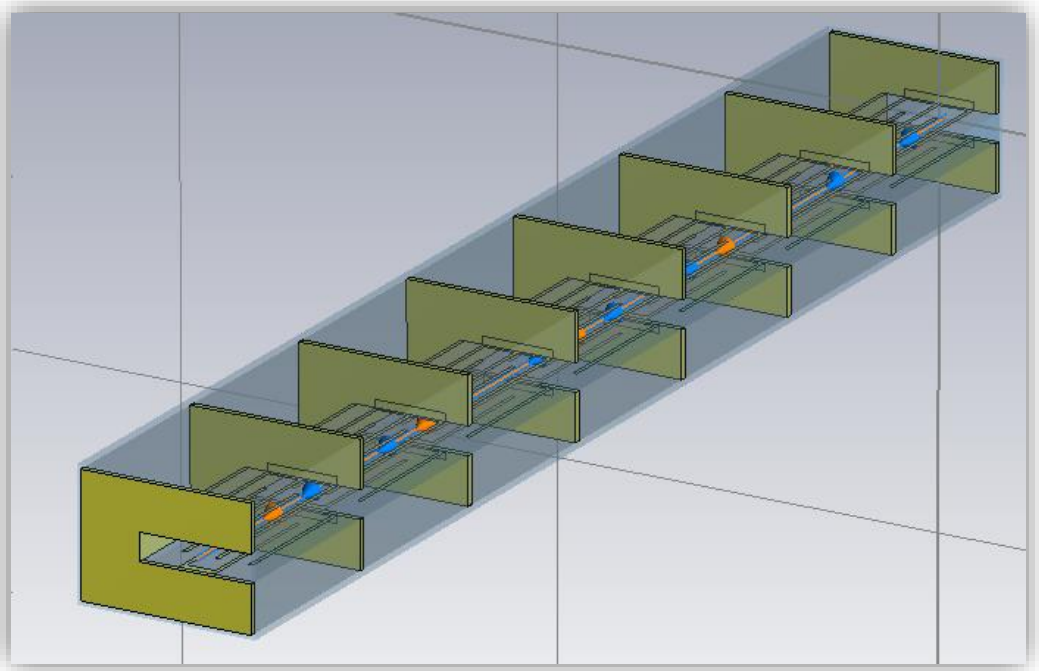
Two types of SPS extraction kickers (MKE):

1. Original design: several modules separated by conductor stripes (segmentation) with **bare ferrite blocks**, fed by an inner and an outer conductor
2. New design: like original, but modules have **'serigraphed' ferrite blocks** (i.e. with patterns of silver paste screen printed on the ferrite surface exposed to the beam)

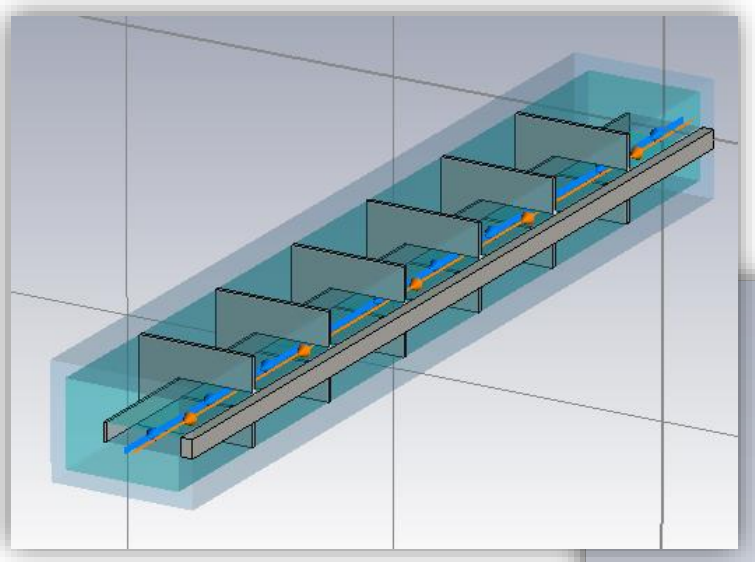
Original kicker



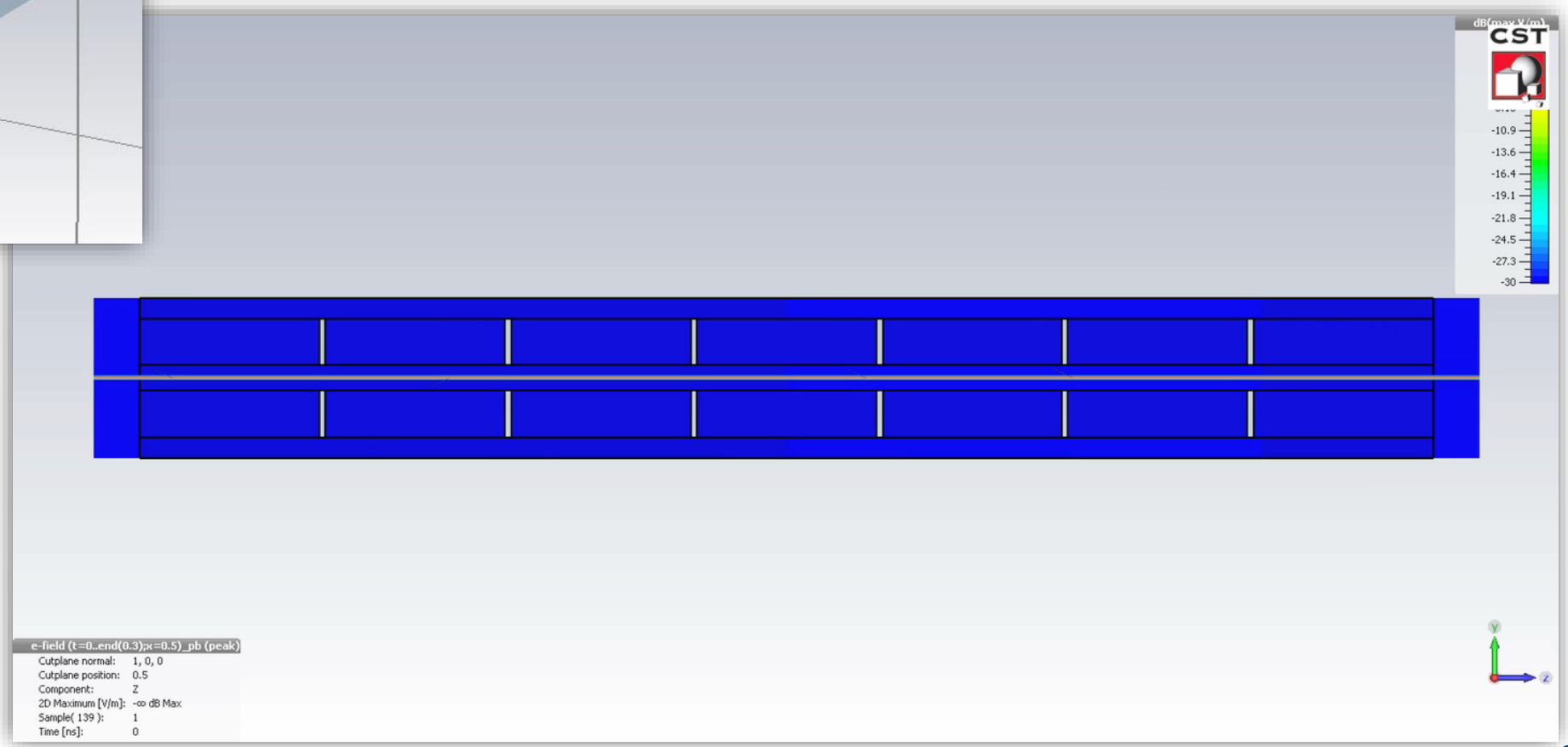
Serigraphed kicker



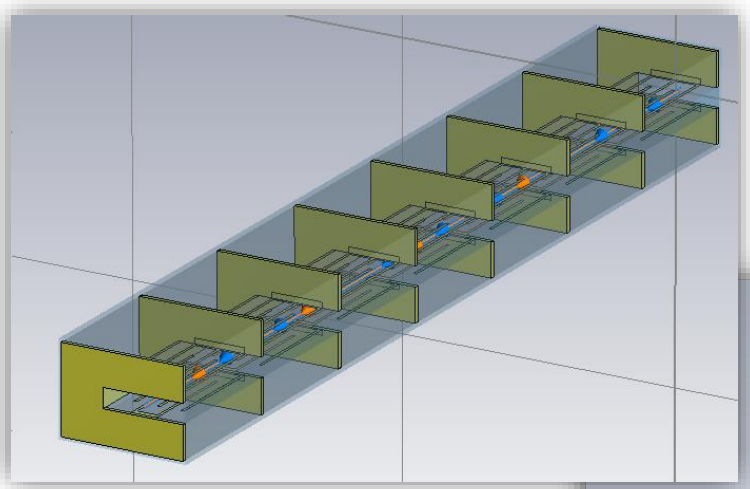
Examples: ferrite kicker – simple model



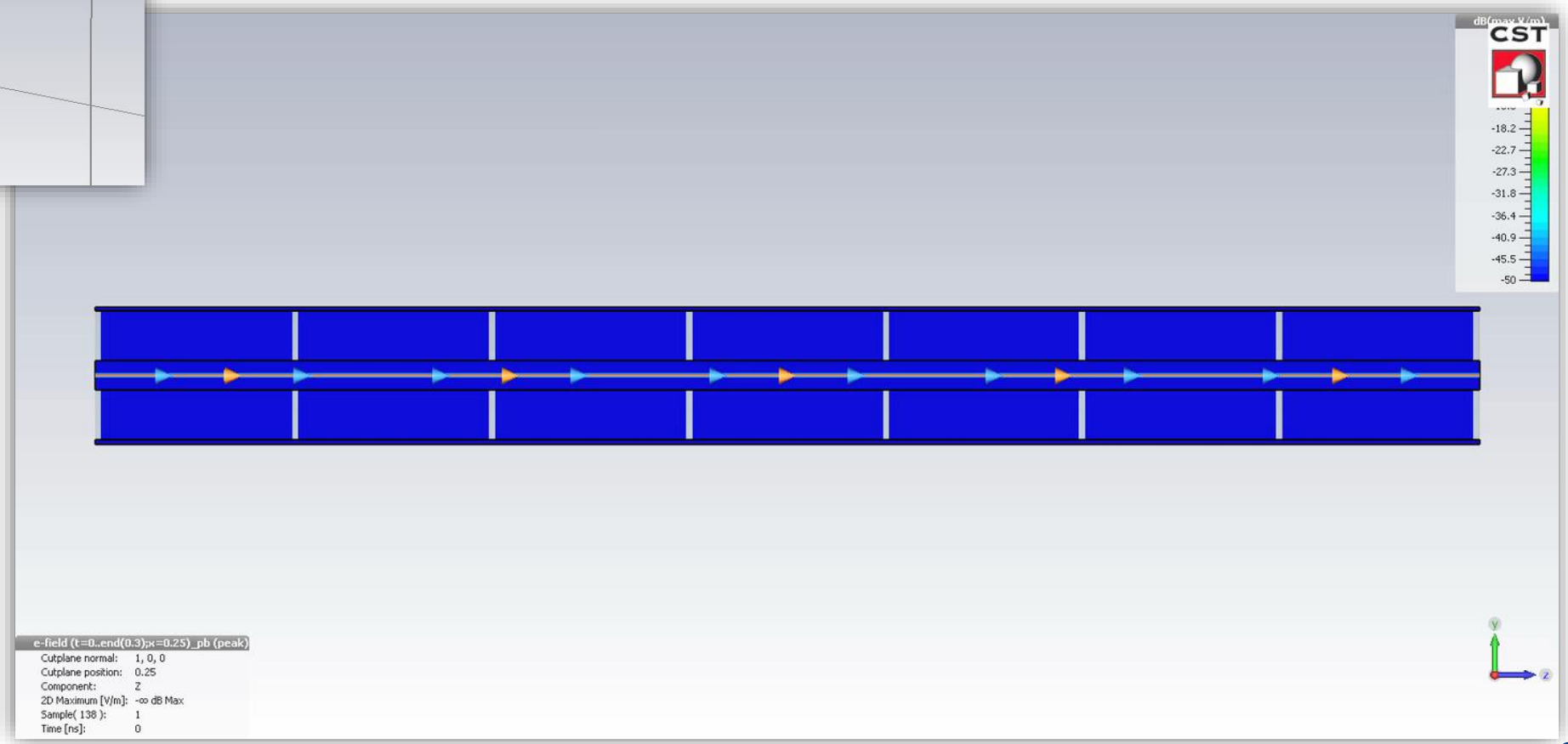
Original kicker **without serigraphy, typical broad-band behaviour**, here some ringing is due to the longitudinal segmentation



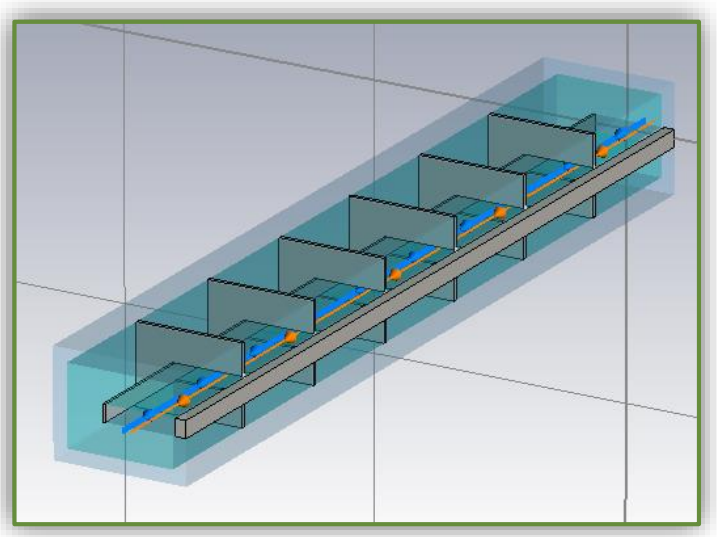
Examples: serigraphed kicker – simple model

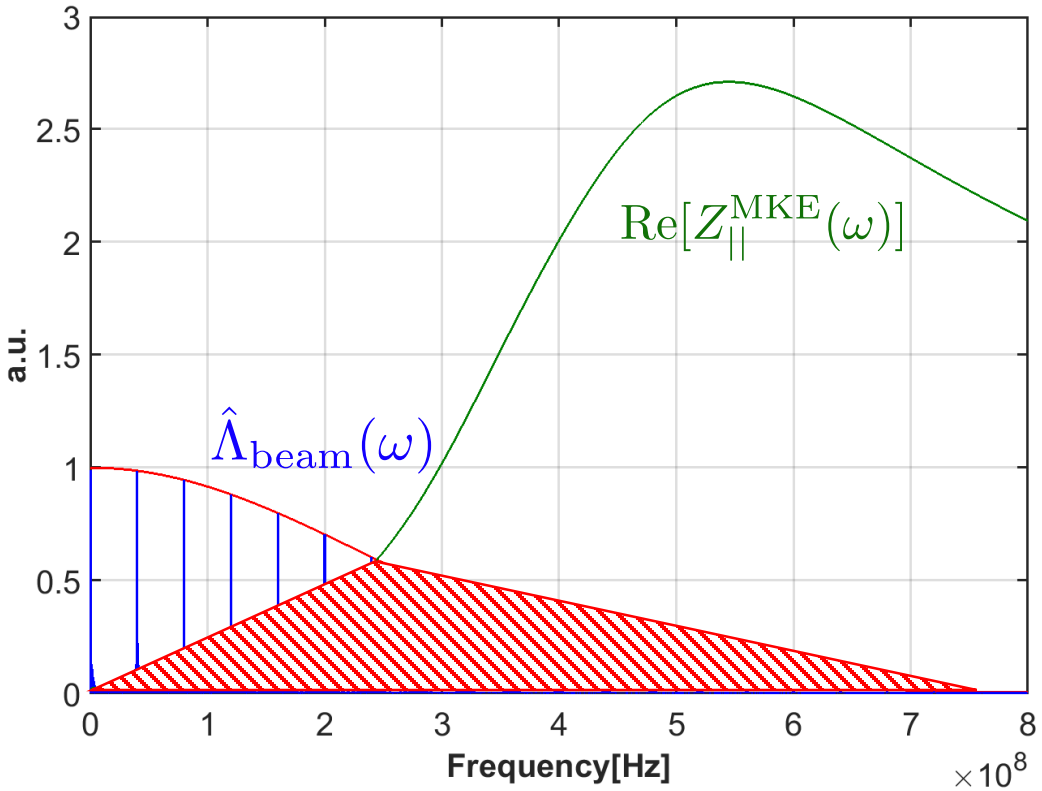


Serigraphed kicker **exhibits strong ringing** due to the EM trapping along the serigraphy fingers



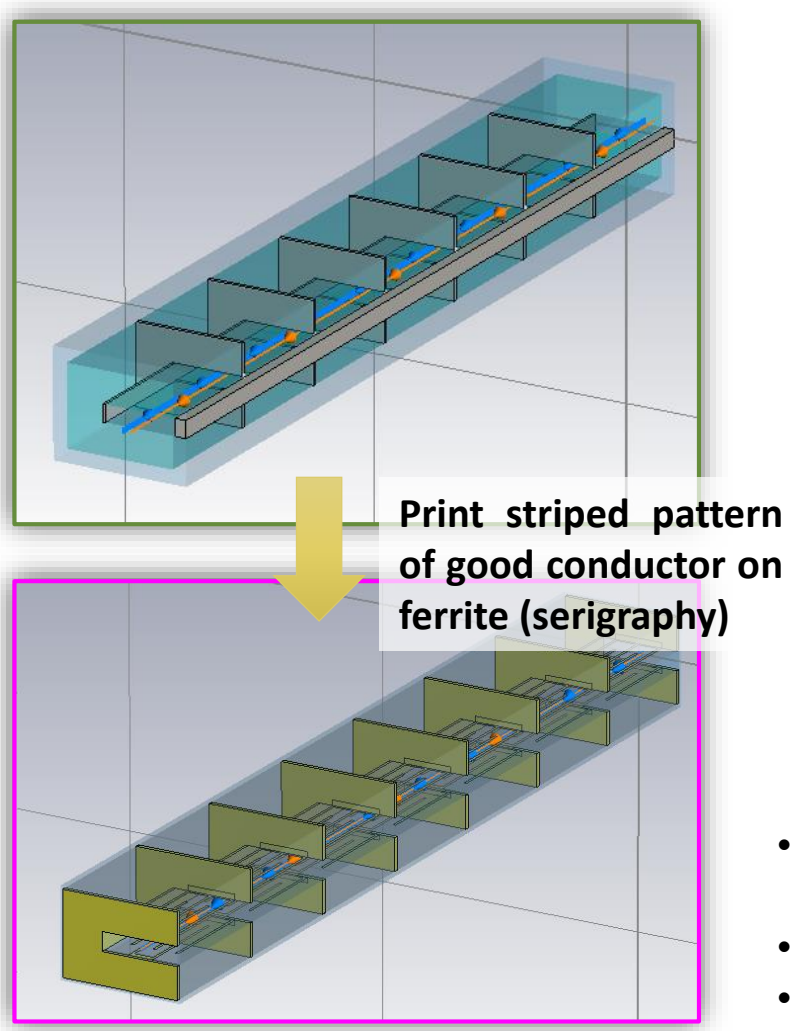
Examples: Serigraphy impact

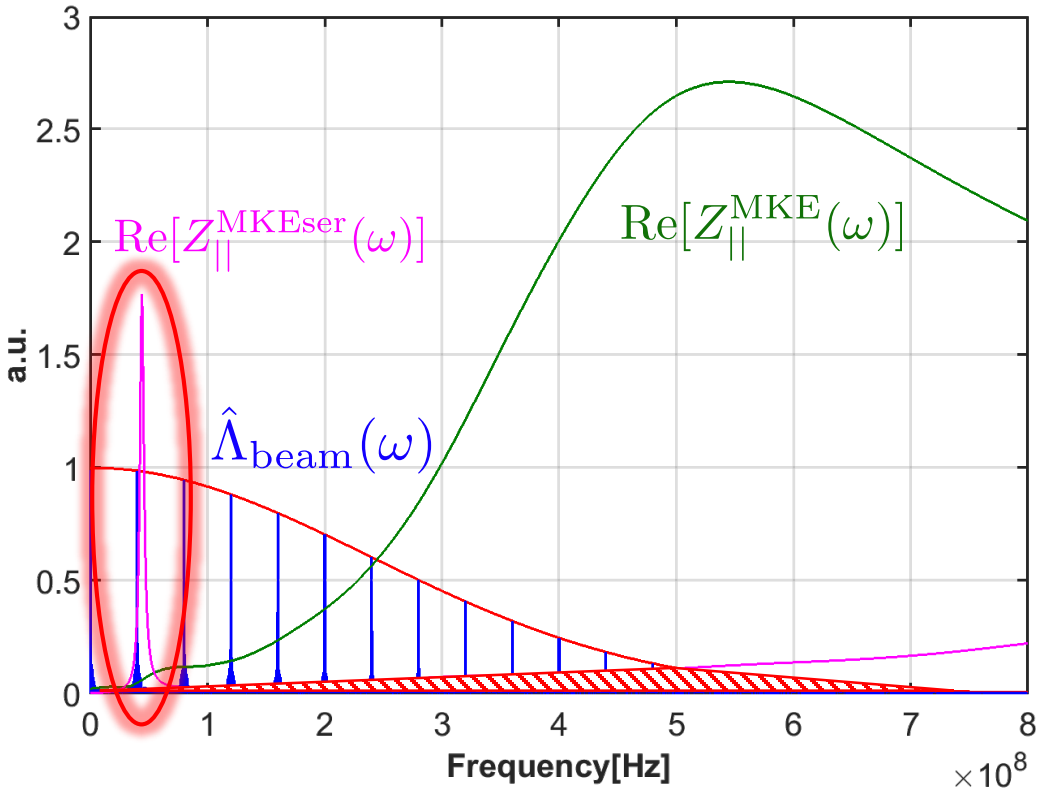




- The energy loss of the beam to the kicker structure is given by **the overlap of the impedance with the power beam spectrum**
- Kicker impedance already **becomes significant at frequencies for which the beam spectrum has not fully decayed**, causing the undesired heating
- We need to lower the kicker impedance → Impedance dominated by losses in ferrite → ferrite shielding

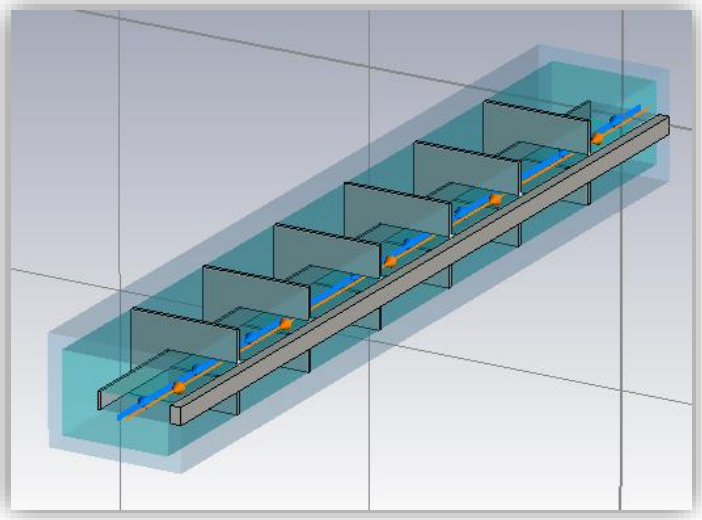
Examples: Serigraphy impact

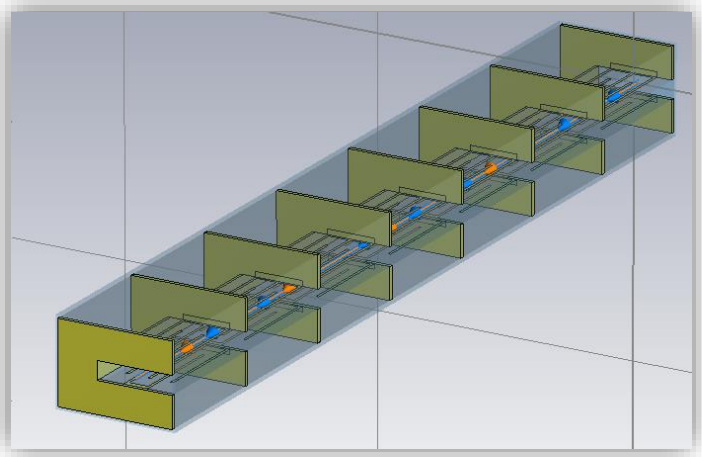




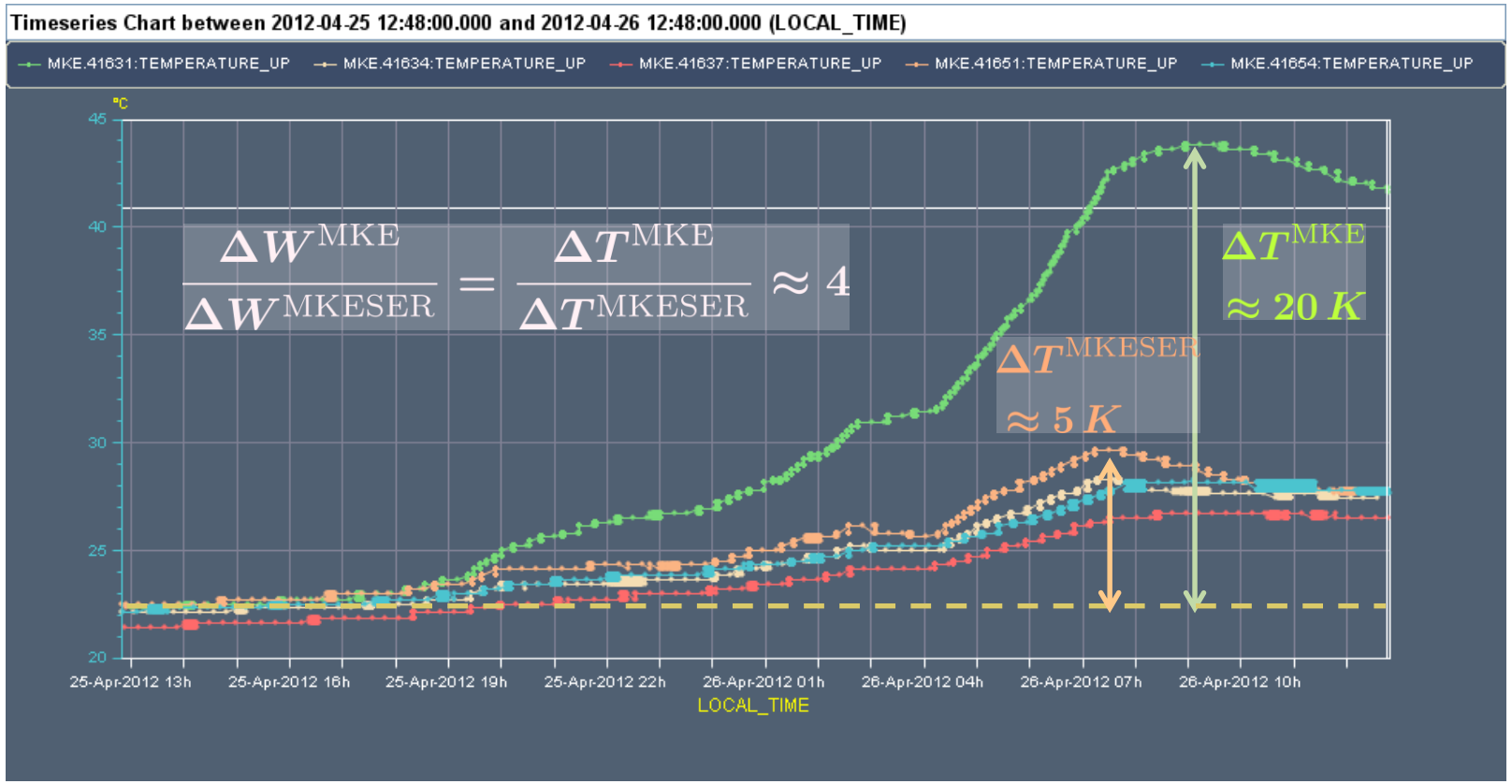
- The energy loss of the beam to the kicker structure is given by **the overlap of the impedance with the power beam spectrum**
- This almost suppresses the impedance over the bunch spectrum
- It however introduces **a low frequency peak**, which needs to be kept far from beam spectral lines → pay attention to do that for all needed bunch spacing

Examples: Serigraphy impact





~ 17h run with 25 ns beams at 26 GeV after technical stop



How are wakes and impedances computed?

- **Analytical or semi-analytical** approach, when geometry is simple (or simplified)
  - Solve Maxwell's equations with the correct source terms, geometries and boundary conditions up to an advanced stage (e.g. resistive wall for axisymmetric chambers)
  - Find closed expressions or execute the last steps numerically to derive wakes and impedances

- **Numerical approach**
  - Different codes have been developed over the years to solve numerically Maxwell's equations in arbitrarily complicated structures
  - Examples are CST Studio Suite (Particle Studio, Microwave Studio), ABCI, GdFidL, HFSS, ECHO2(3)D. Exhaustive list can be found from the program of the ICFA mini-Workshop on "Electromagnetic wake fields and impedances in particle accelerators", Erice, Sicily, 23-28 April, 2014

- **Bench measurements** based on transmission/reflection measurements with stretched wires
  - Seldom used independently to assess impedances, usefulness mainly lies in that they can be used for validating 3D EM models for simulations

We have seen how the impedance of a device can have an **impact on the machine environment** and cause, for example, **beam induced heating**. This can lead to outgassing or damage of a device. Therefore, devices need to be carefully designed in order to minimize their impedance.

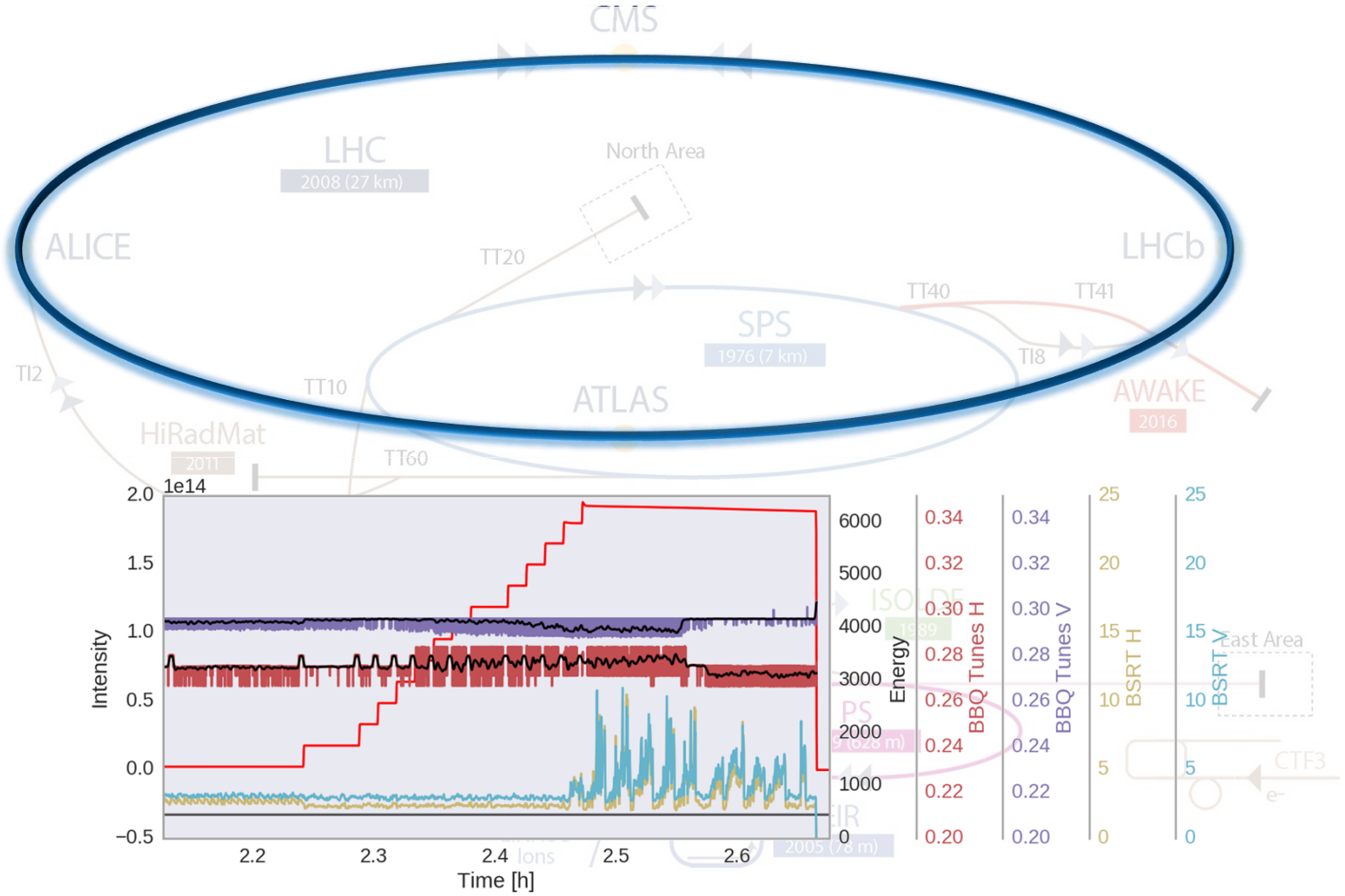
Impedances also have a **direct impact on a passing beam**. This can lead to impedance induced **beam instabilities**. We will now first understand the basic concept and mechanism of beam instabilities.

- Part 3: Wake fields and impedances – impacts
  - Concept of wake fields
  - Longitudinal and transverse wake fields and impedances
  - Impact of wake fields and impedance on the accelerator environment
  - Description of a coherent beam instability and the instability loop

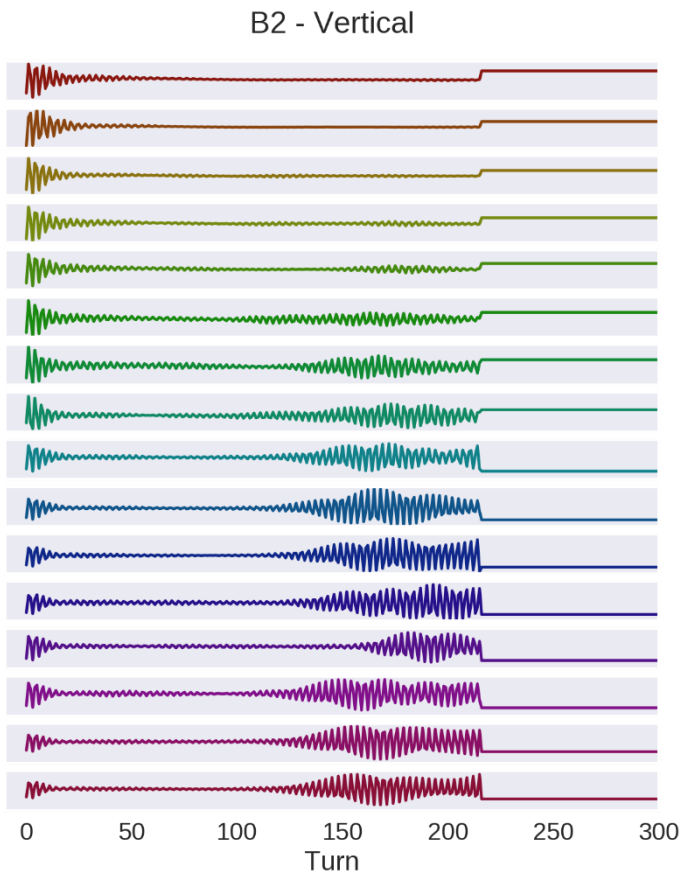
Why worry about beam instabilities?

- Why study beam instabilities?
  - The onset of a beam instability usually determines the maximum beam intensity that a machine can store/accelerate (performance limitation)
  - Understanding the type of instability limiting the performance, and its underlying mechanism, is essential because it:
    - Allows identifying the source and possible measures to mitigate/suppress the effect
    - Allows dimensioning an active feedback system to prevent the instability

Instabilities seen from the control room



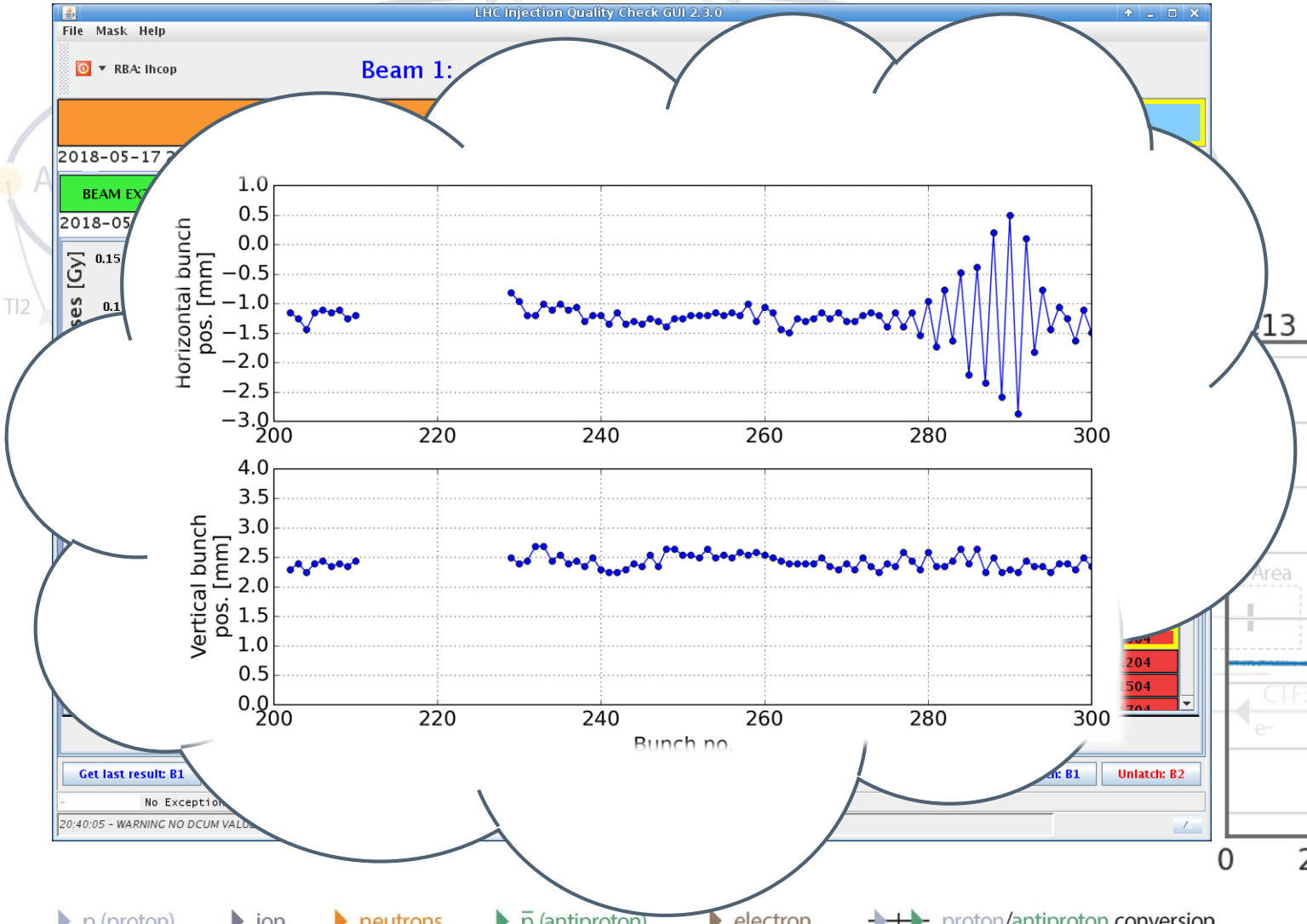
2015 – coupling correction



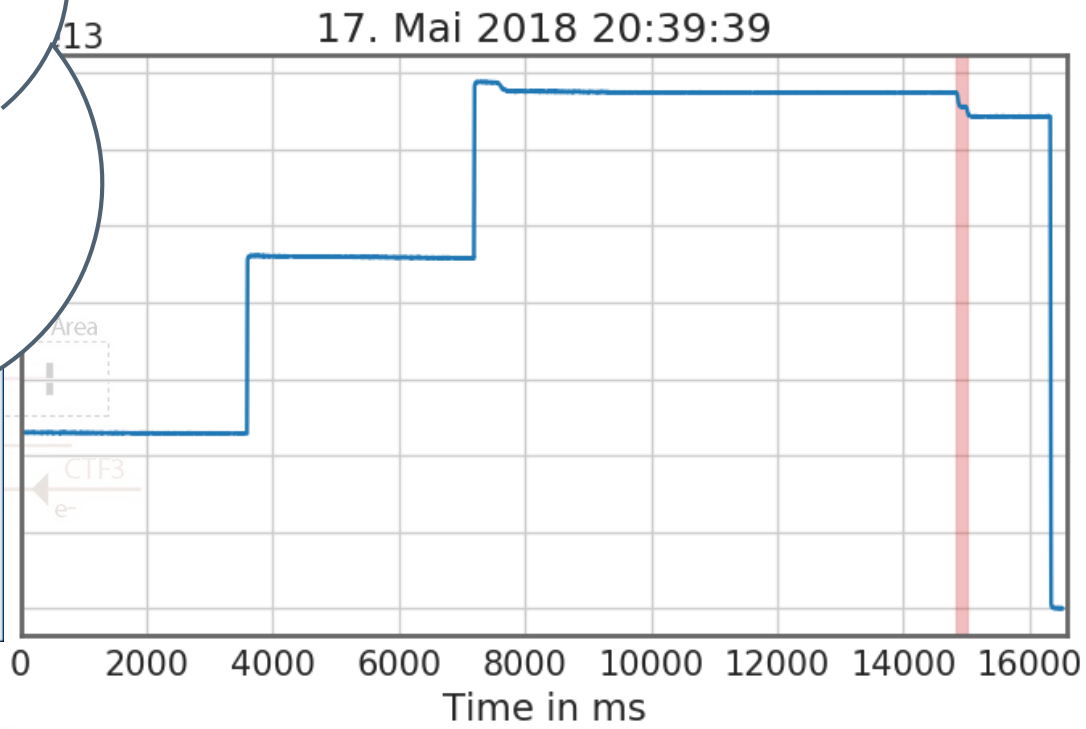
2015 – scrubbing run

p (proton) ion neutrons p̄ (antiproton) electron proton/antiproton conversion

Instabilities seen from the control room



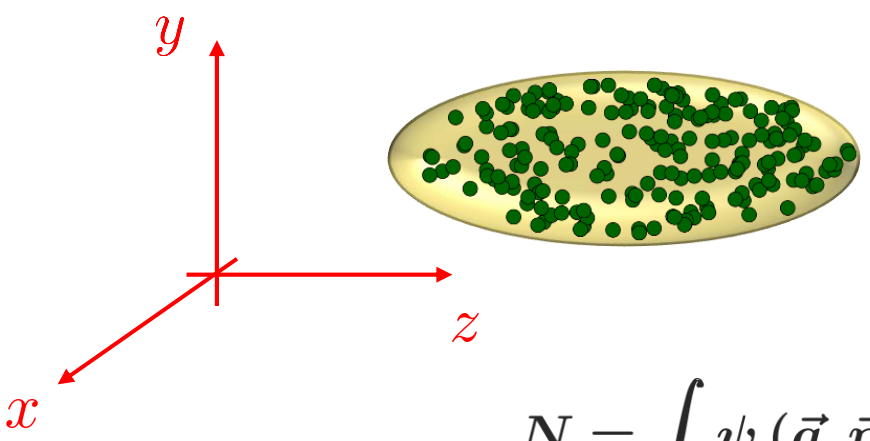
2018 – operations; TL instability



p (proton) ion neutrons p̄ (antiproton) electron proton/antiproton conversion

What is a beam instability?

- A beam becomes unstable when a **moment of its distribution** exhibits an **exponential growth** (e.g. mean positions, standard deviations, etc.), resulting into beam loss or emittance growth!



Single particle probability density function: $\psi(\vec{q}, \vec{p}, t)$

The probability P (at any time t) to find a given particle at state $(\vec{q}, \vec{p})$:

$$P\Big|_{(\vec{q},\vec{p});t} = \frac{1}{N}\psi(\vec{q}, \vec{p}, t)$$

Normalization: $1 = \frac{1}{N}\int \psi(\vec{q}, \vec{p}, t)\, d\vec{q}d\vec{p}$

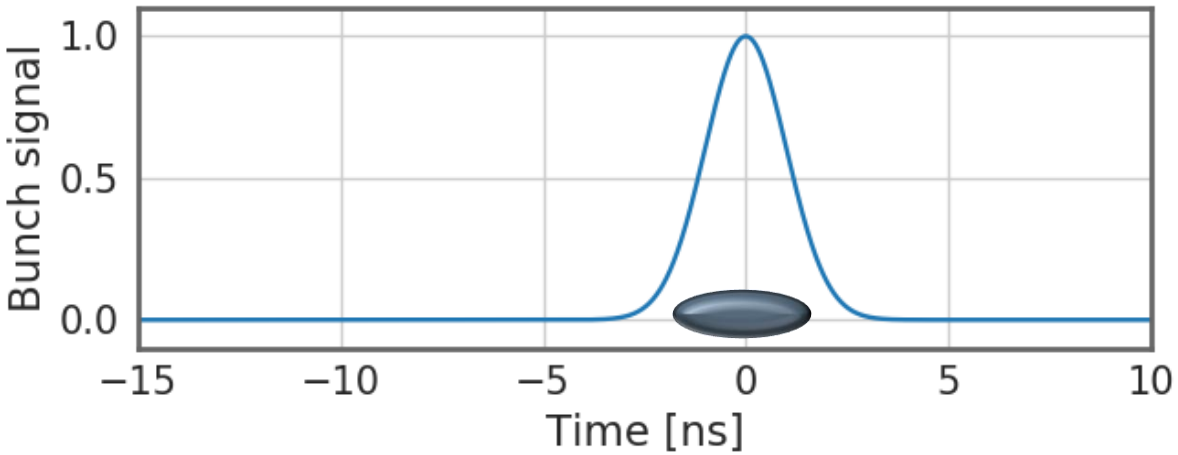
$$N = \int \psi\left(\vec{q}, \vec{p}\right)\, d\vec{q}d\vec{p}$$

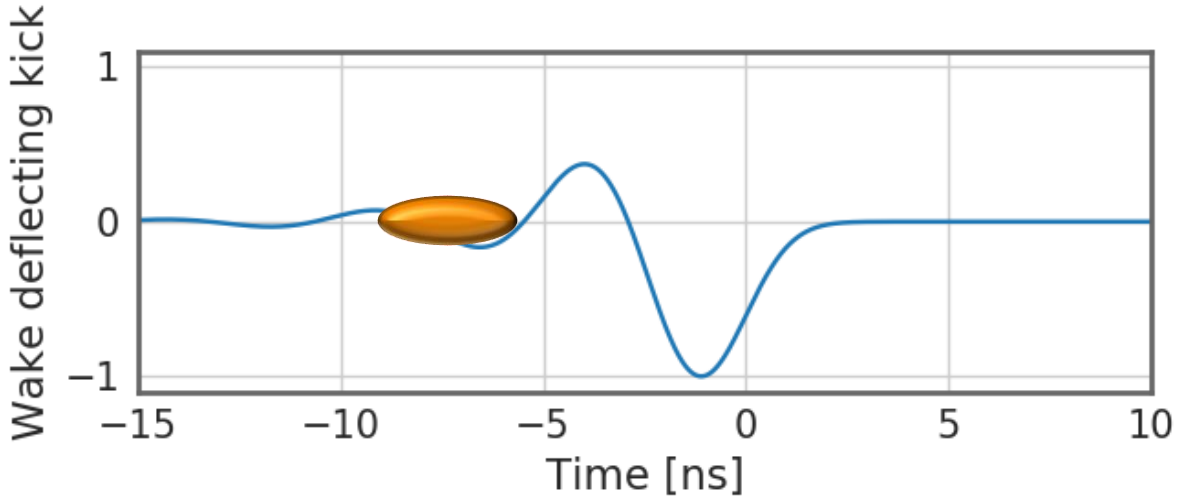
$$\langle x \rangle = \frac{1}{N}\int x \cdot \psi\left(\vec{q}, \vec{p}\right)\, d\vec{q}d\vec{p}$$

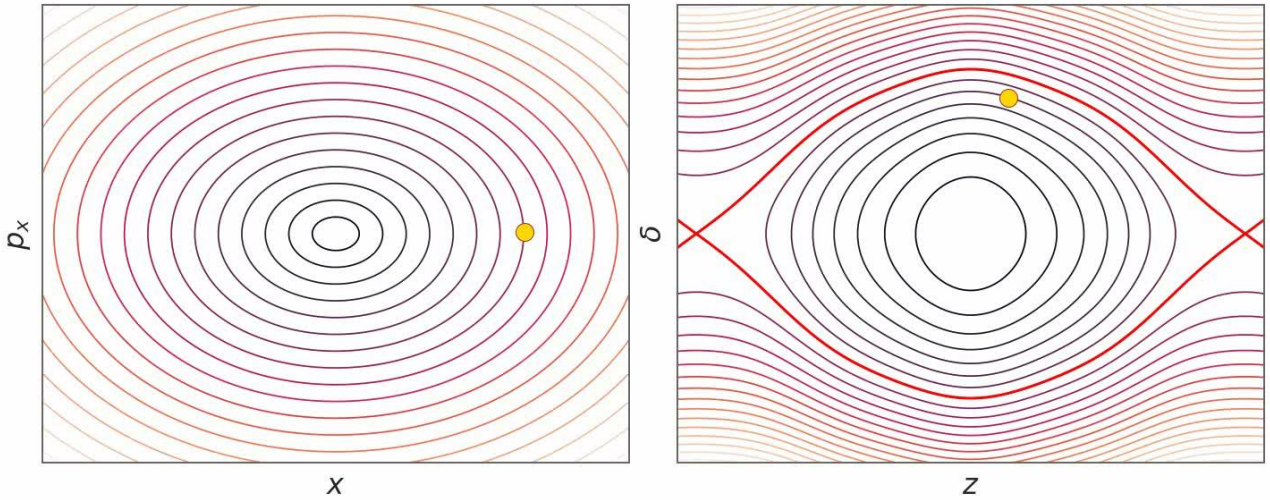
$$\sigma_x^2 = \frac{1}{N}\int \left(x - \langle x \rangle\right)^2 \cdot \psi\left(\vec{q}, \vec{p}\right)\, d\vec{q}d\vec{p}$$

and similar definitions for $\langle y \rangle, \sigma_y, \langle z \rangle, \sigma_z$

Examples: broadband resonator



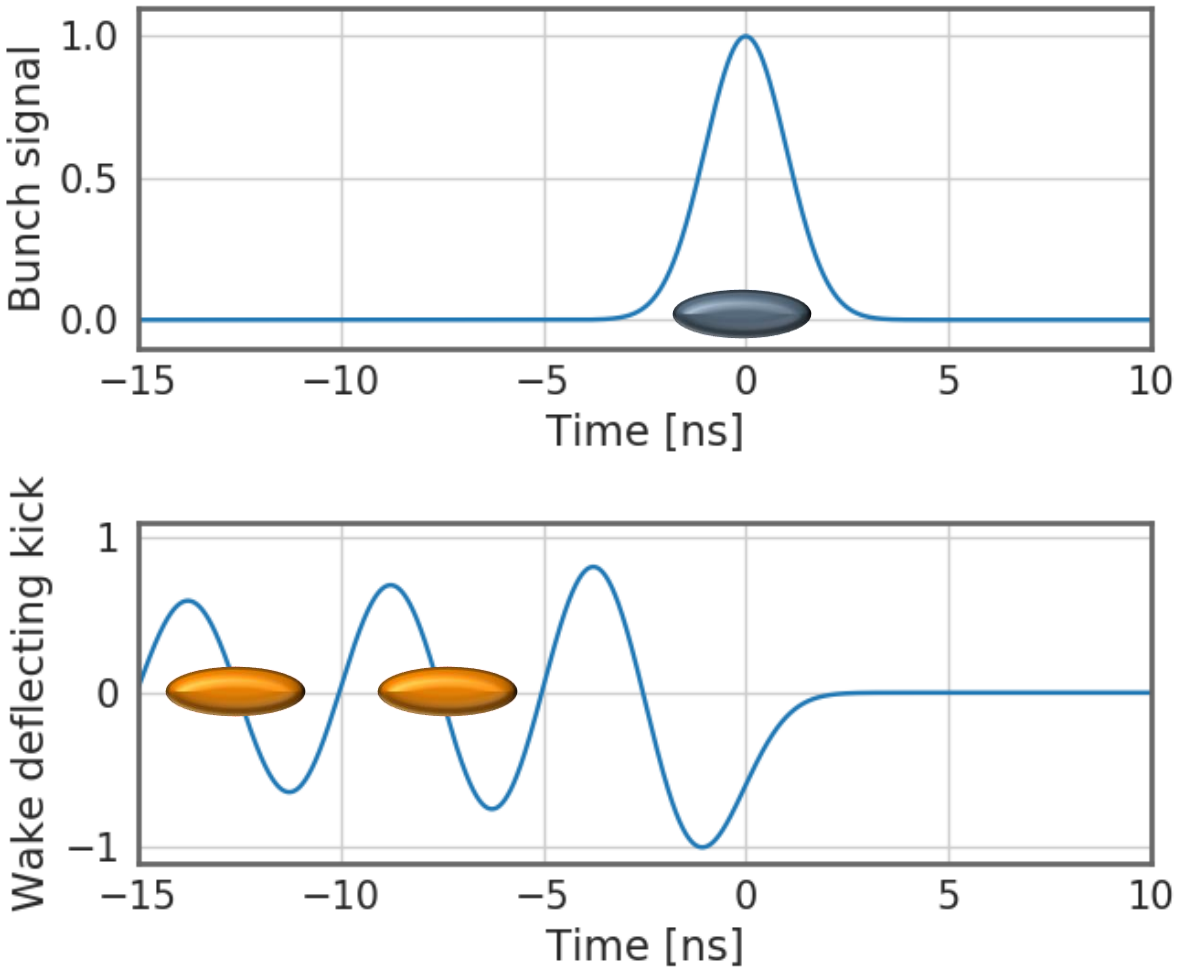




If betatron and synchrotron motion and wakefields **manage to synchronize** such that they get into resonance, a distinct bunch oscillation pattern will be excited – a so called **bunch mode**. The coherent bunch/beam signal will **grow exponentially**.

This can be either a **single bunch mode**…

Examples: narrowband resonator



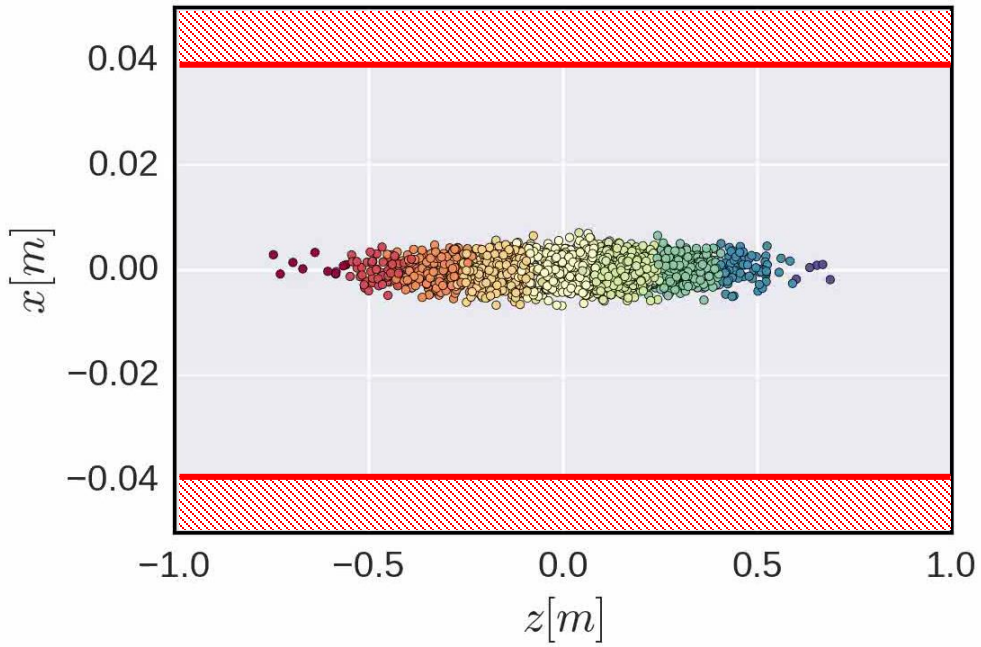
If betatron and synchrotron motion and wakefields **manage to synchronize** such that they get into resonance, a distinct bunch oscillation pattern will be excited – a so called **bunch mode**. The coherent bunch/beam signal will **grow exponentially**.

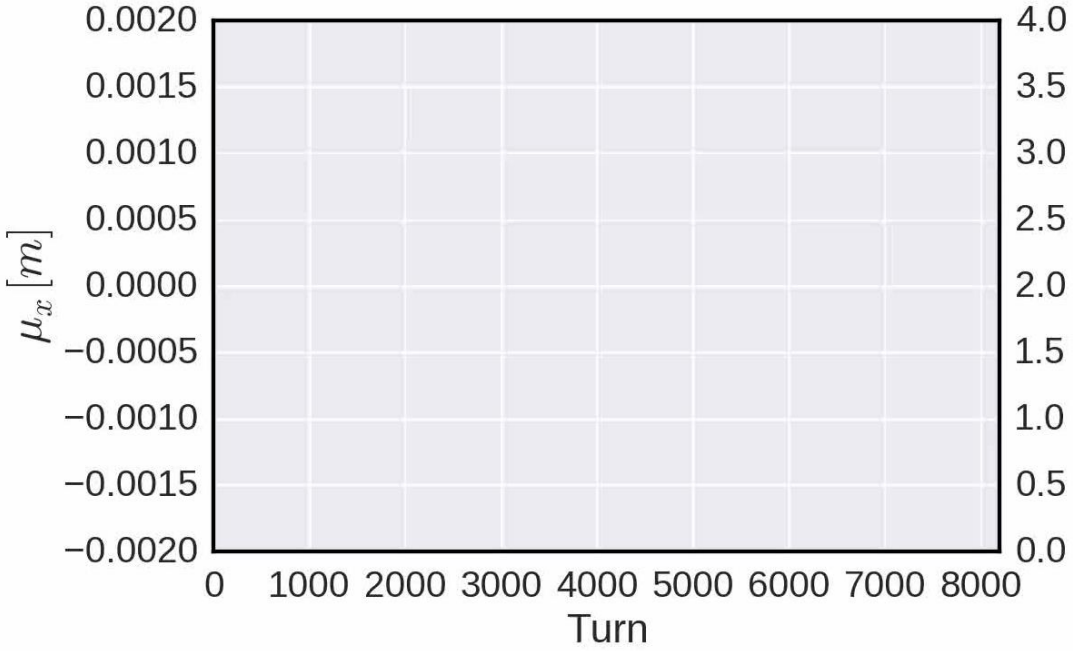
This can be either a **single bunch mode**…

… or a **coupled bunch mode**

What is a beam instability?

- A beam becomes unstable when a **moment of its distribution** exhibits an **exponential growth** (e.g. mean positions, standard deviations, etc.), resulting into beam loss or emittance growth!





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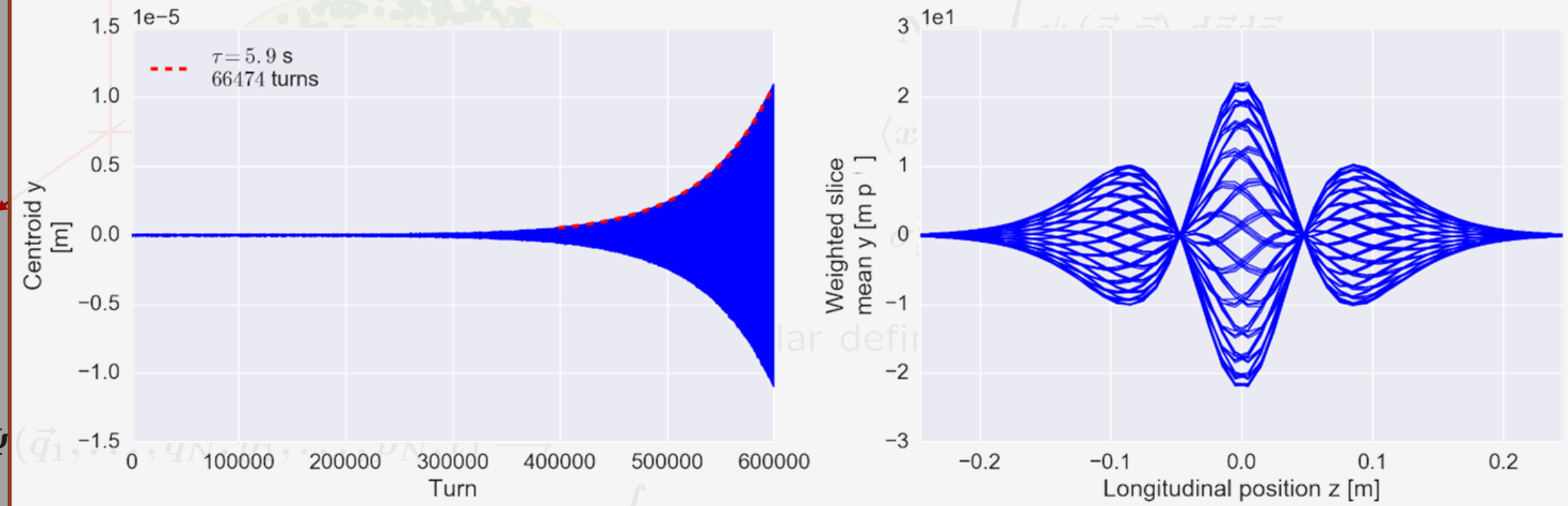
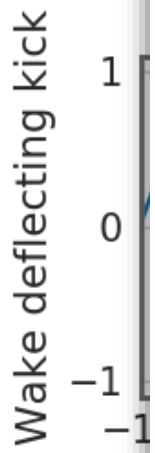
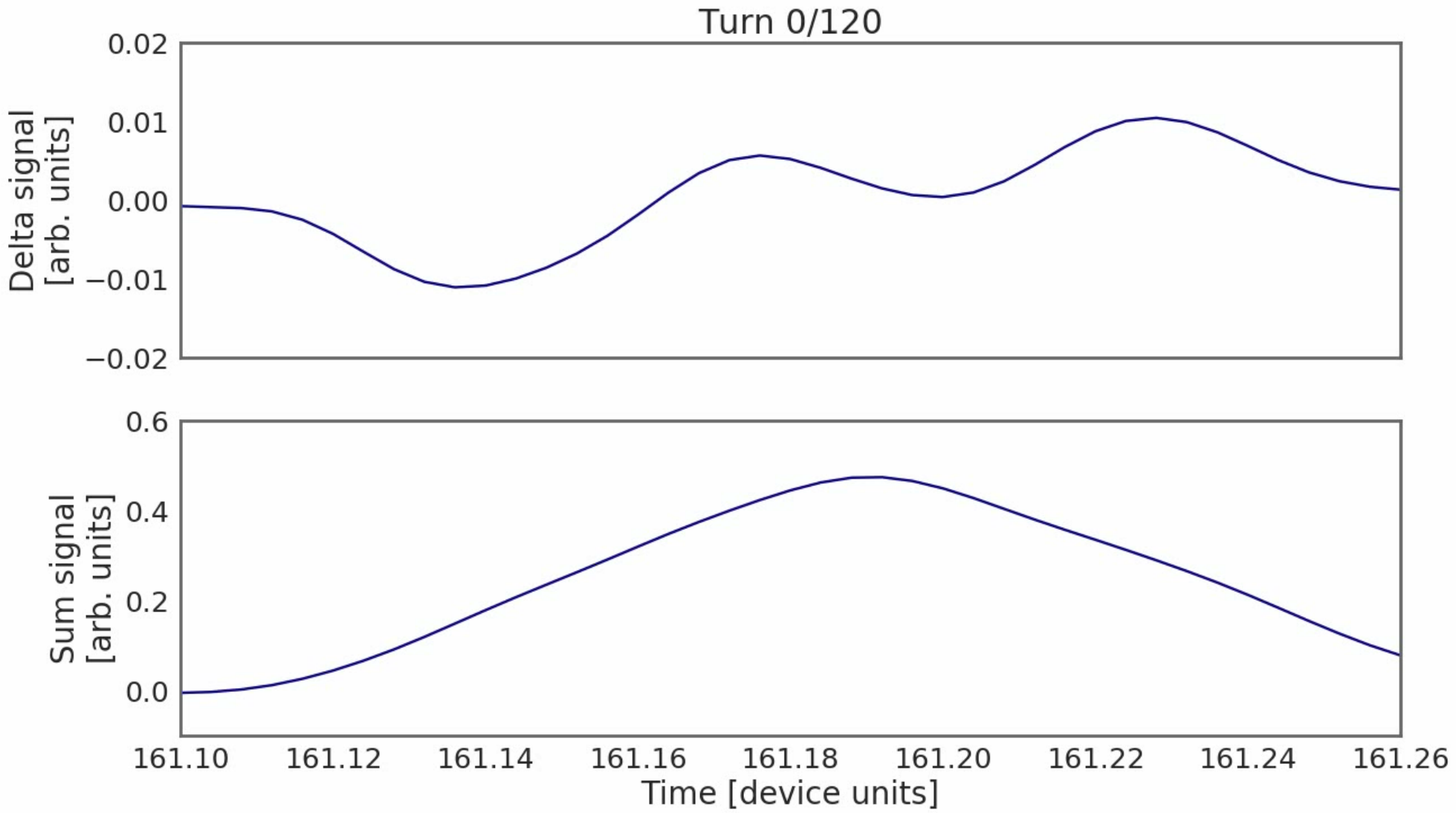


Examples: narrowband resonator





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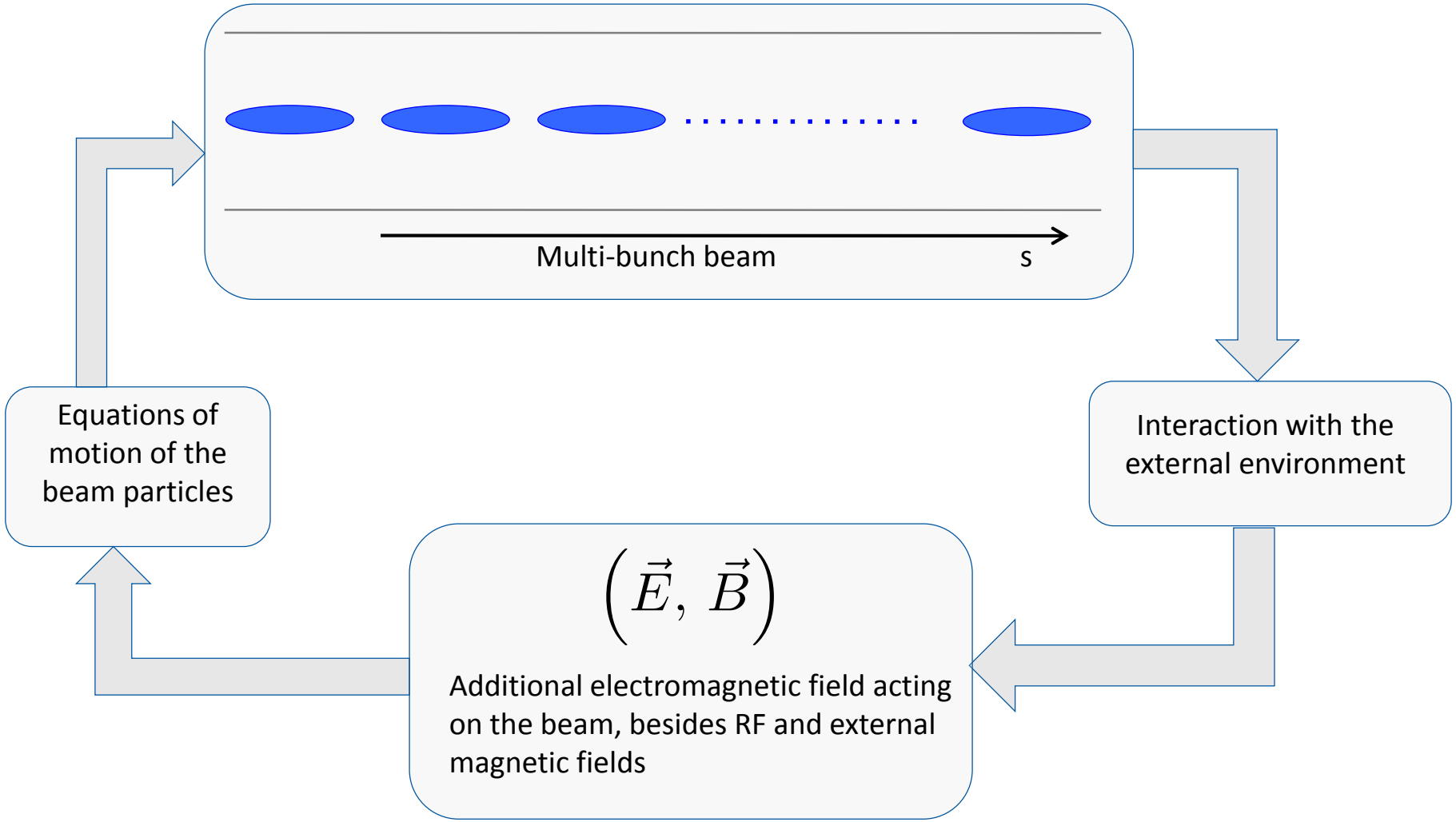
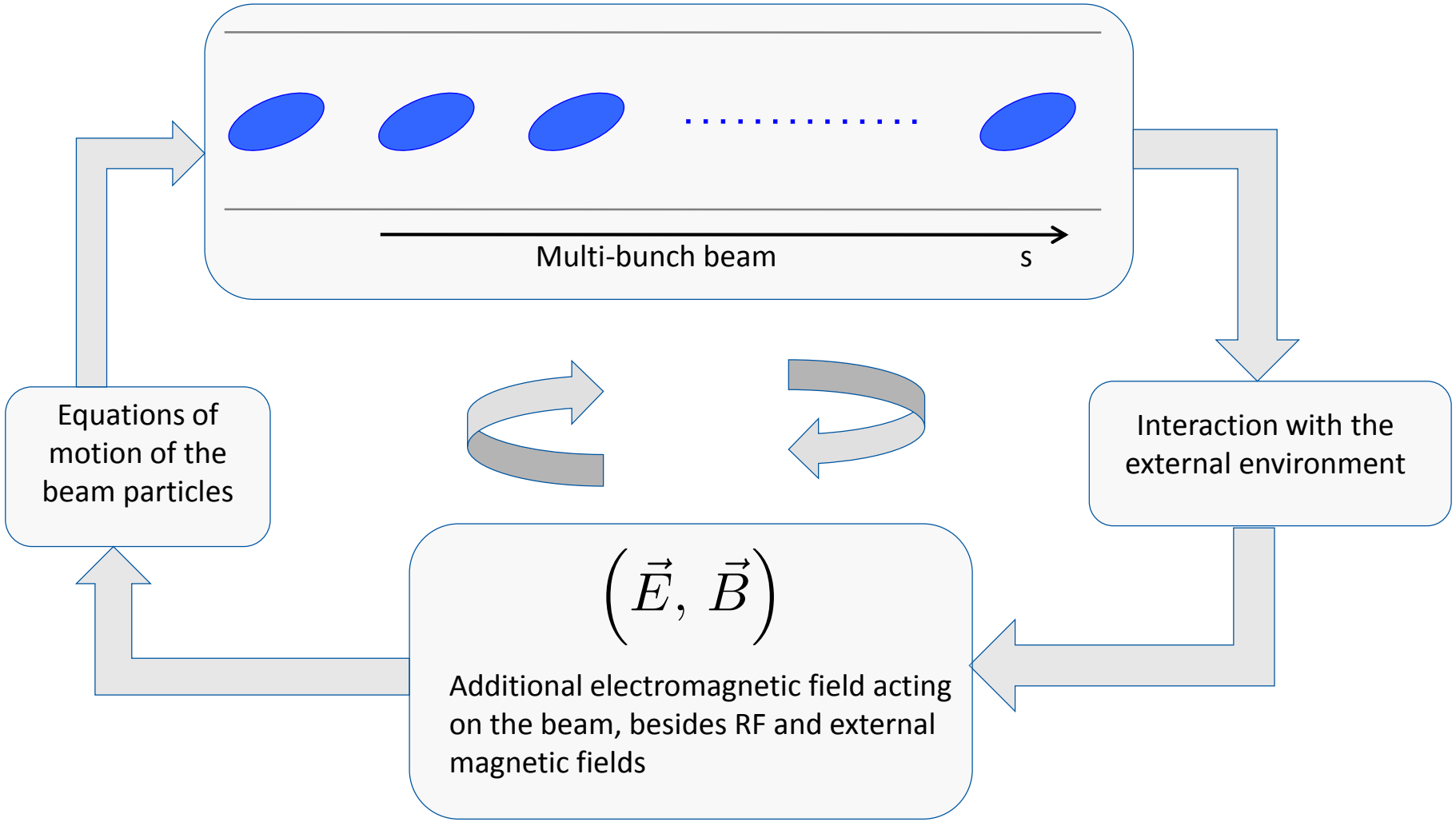
The instability loop

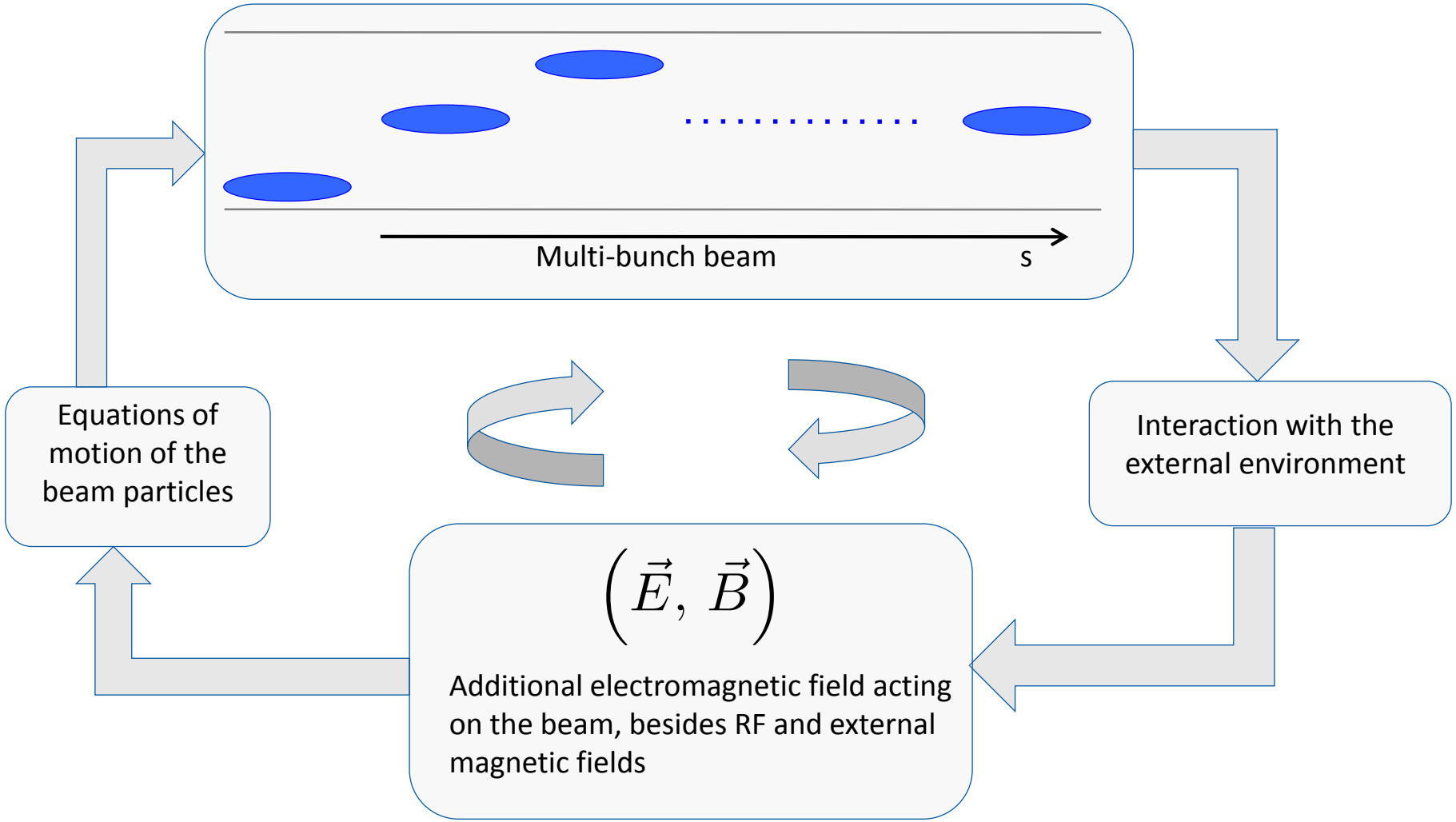


The instability loop



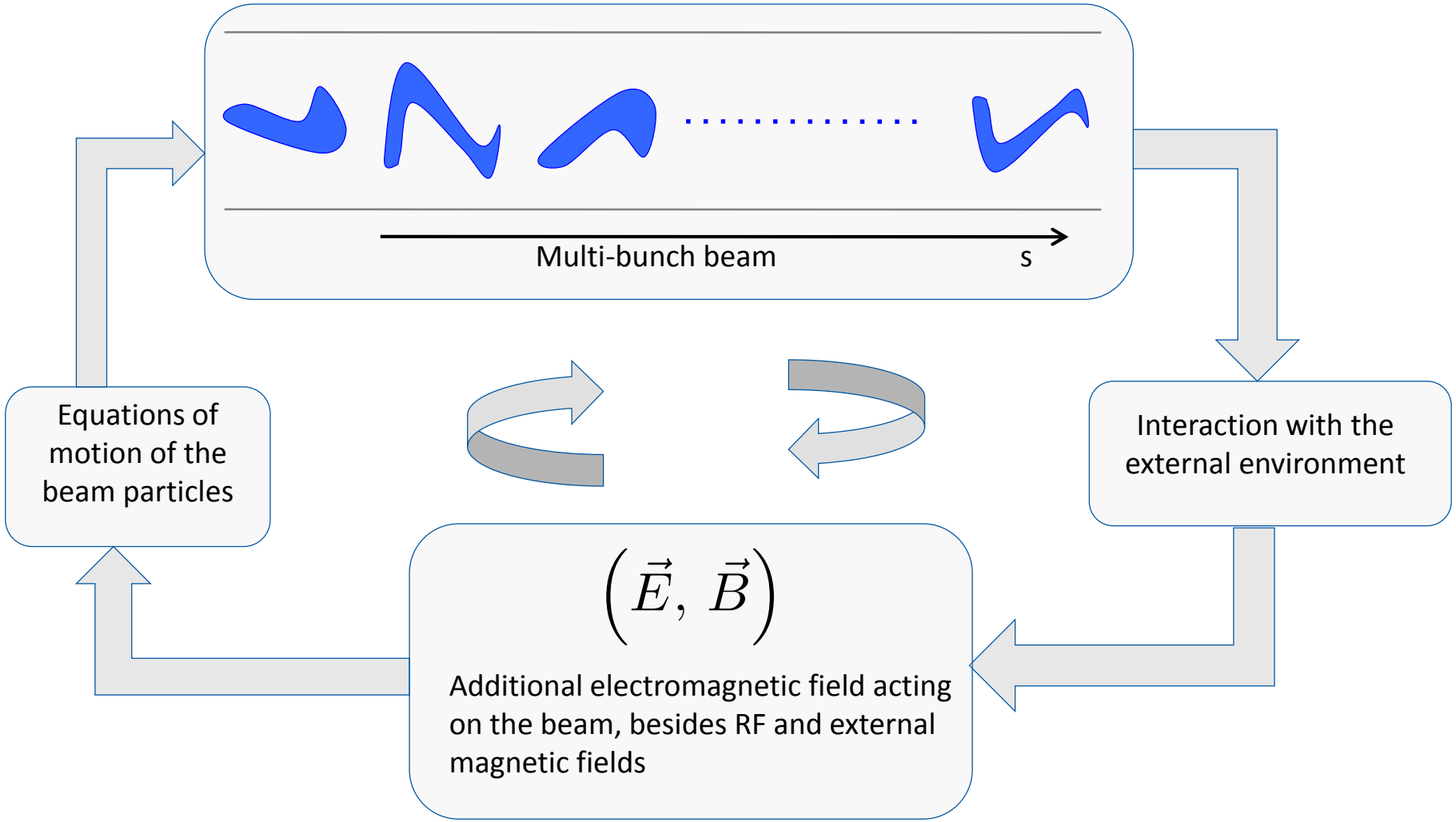
When the loop closes, either the beam will find a new stable equilibrium configuration …

The instability loop



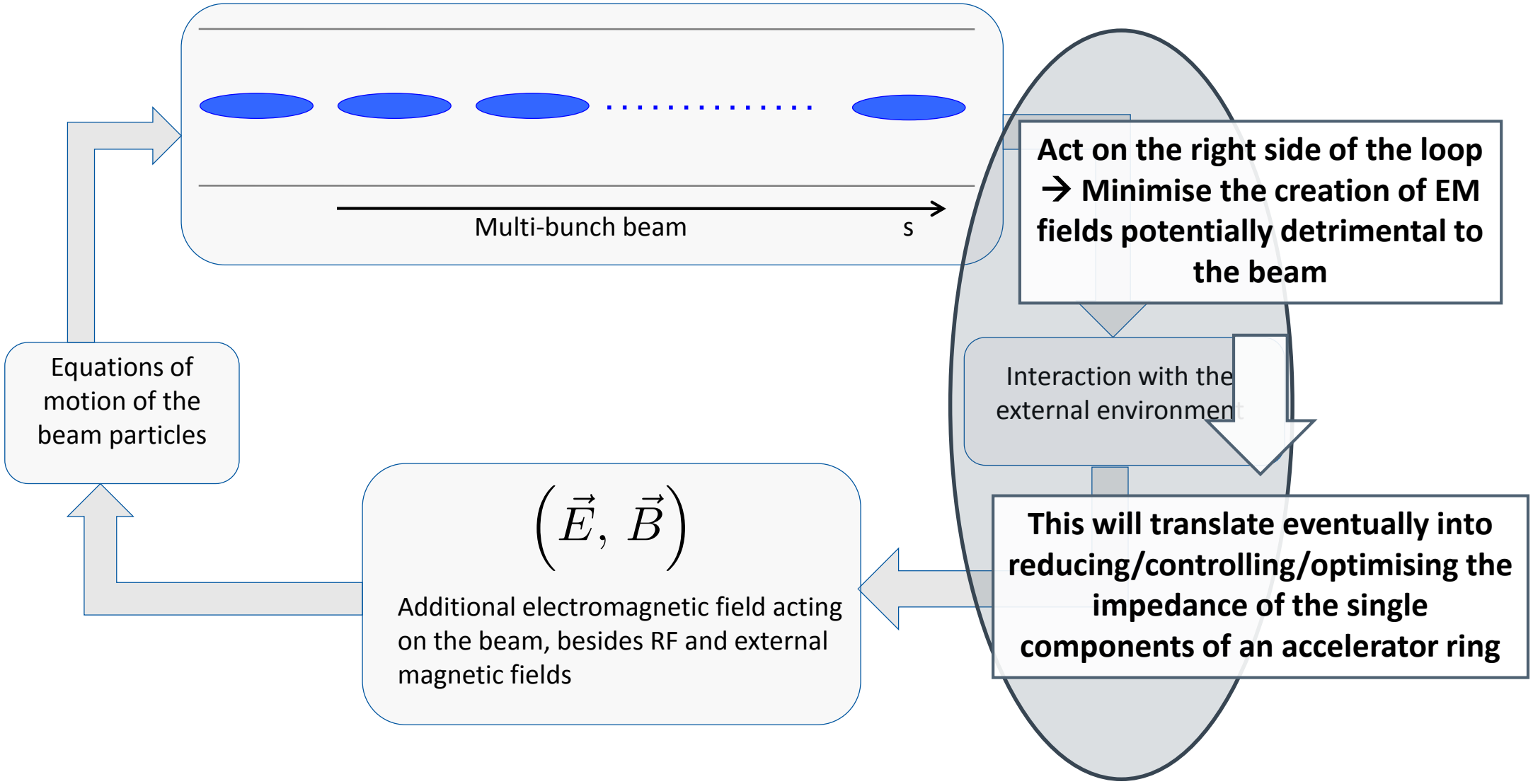
… or it might develop an instability along the bunch train …

The instability loop

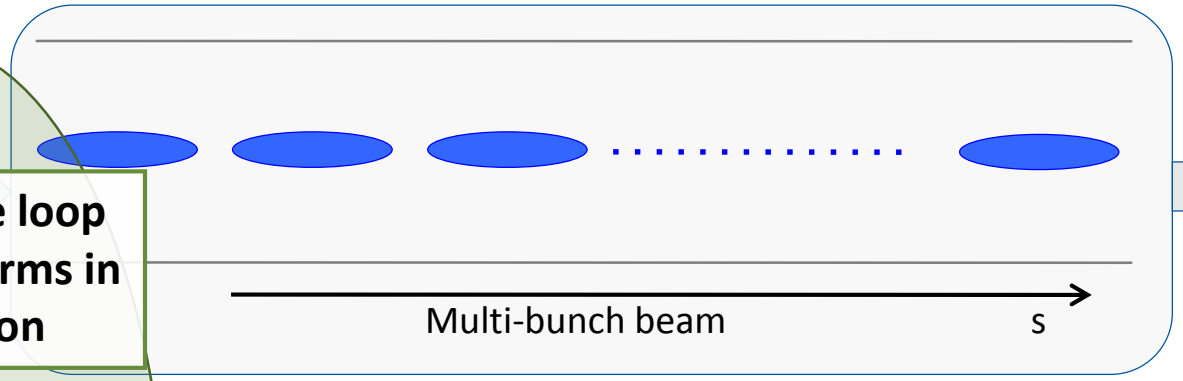


… or also an instability affecting different bunches independently of each other

The instability loop – knobs to preserve beam stability…



The instability loop – knobs to preserve beam stability…



Act on the left side of the loop → Introduce stabilising terms in the equations of motion

Equations of motion of the beam particles

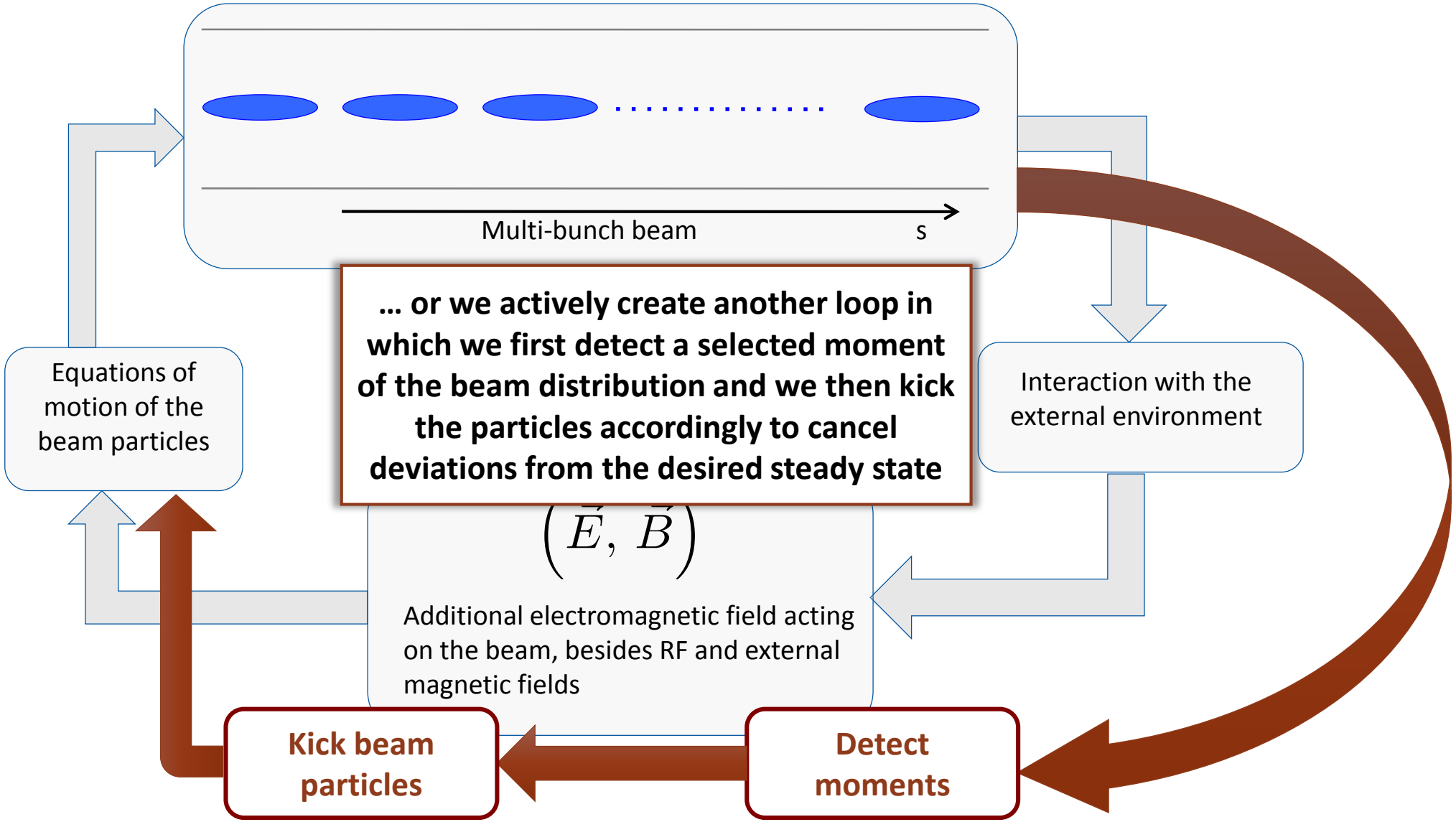
Interaction with the external environment

$$\left(\vec{E},\ \vec{B}\right)$$

Additional electromagnetic field acting on the beam, besides RF and external magnetic fields

This will translate eventually adding cooling/damping terms or nonlinear driving terms that can provide stabilisation of the system

The instability loop – knobs to preserve beam stability…



… or we actively create another loop in which we first detect a selected moment of the beam distribution and we then kick the particles accordingly to cancel deviations from the desired steady state

We have seen some examples of analytically expressible wake fields and impedances, namely **resonator and resistive wall wakes**. We have learned that impedances can have a **detrimental impact** on both the **machine environment** as well as **the beam itself**. In the first case, impedances can lead to **beam induced heating**, in the latter to **coherent beam instabilities**.

A careful design of machine elements to **minimize the impedance** is therefore necessary.

After having introduced the instability loop, in the next lecture we will be looking more in detail **at examples of different types of instabilities**.

- Part 3: Wake fields and impedances – impacts
  - Concept of wake fields
  - Longitudinal and transverse wake fields and impedances
  - Impact of wake fields and impedance on the accelerator environment
  - Description of a coherent beam instability and the instability loop

End part 3

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Backup slides

We have used the concept of wake fields in **the longitudinal and the transverse planes**, respectively. We have found that we usually do a decomposition of the wake function to obtain only the leading orders, namely, **constant, dipolar and quadrupolar wake fields**. We have also introduced the **impedance of the frequency domain representation** of the wake function.

We will now study some more properties of wake fields and show some typical **examples of wake fields and impedances** for which an analytical expression exists.

- Part 3: Wake fields and impedances – impacts
  - Longitudinal and transverse wake fields and impedances
  - Panofsky-Wenzel theorem
  - Examples of analytically expressible wake functions and impedances
  - Impact of wake fields and impedance on the accelerator environment
  - Description of a coherent beam instability and the instability loop

We have briefly discussed **the Panofsky-Wenzel theorem** and looked at analytical expressions **for the resistive wall and resonator impedances**. We have seen the different between short range and long range wake fields and understood how these can lead to single or coupled bunch instabilities.

Before actually looking at the impact of wake fields and impedances on the beam, we will now first study their **impact on the environment** – in particular, **beam induced heating** which can be dangerous and even destructive for poorly designed machine elements.

- Part 3: Wake fields and impedances – impacts
  - Longitudinal and transverse wake fields and impedances
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  - Examples of analytically expressible wake functions and impedances
  - Impact of wake fields and impedance on the accelerator environment
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Panofsky-Wenzel Theorem

- Longitudinal and transverse wake fields and impedances are **tightly related via Maxwell's equations** by means of the **Panofsky-Wenzel theorem**, which states that:

$$\frac{\partial}{\partial z}\int_0^L \vec{F}_\perp\, ds = \vec{\nabla}_{\perp_{\text{source}}}\int_0^L F_s\, ds$$

- Remembering that:

$$\int F_z(\Delta x_1, \Delta x_2, z, s)\, ds = -q_1 q_2 \Big[W_\parallel(z) + W_\parallel^{(d)}\,\Delta x_1 + W_\parallel^{(q)}\,\Delta x_2 + W_\parallel^{(2d)}\,\Delta x_1^2 + W_\parallel^{(2q)}\,\Delta x_2^2 + W_\parallel^{(dq)}\,\Delta x_1 \Delta x_2 + O(\Delta x^3)\Big]$$

$$\int F_x(\Delta x_1, \Delta x_2, z, s)\, ds = -q_1 q_2 \Big[W_{C_x}(z) + W_{D_x}(z)\,\Delta x_1 + W_{Q_x}\,\Delta x_2 + O(\Delta x^2)\Big]$$

Panofsky-Wenzel Theorem

- Longitudinal and transverse wake fields and impedances are **tightly related via Maxwell's equations** by means of the **Panofsky-Wenzel theorem**, which states that:

It follows for the longitudinal and transverse wake fields and impedances that:

$$W'_{D_x}(z) = W_{\parallel}^{(dq)}(z) \quad \overset{\mathcal{F}}{\Longleftrightarrow} \quad \frac{\omega}{c} Z_{\perp}(\omega) = Z_{\parallel}^{(dq)}(\omega)$$

$$W'_{Q_x}(z) = 2W_{\parallel}^{(2q)}(z) \quad \overset{\mathcal{F}}{\Longleftrightarrow} \quad \frac{\omega}{c} Z_{\perp}(\omega) = 2Z_{\parallel}^{(2q)}(\omega)$$

The **longitudinal and transverse wake functions are not independent**, although in general no relation can be established between $W_{||}(z)$ and $W_{Dx,\,Dy}(z)$, which are the main wakes in the longitudinal and transverse planes, respectively.

Examples: resonator wakes

- Wakefields and/or impedances can be computed by using **Maxwell's equations to compute the impulse response** for a given structure either in time domain or in frequency domain, respectively.

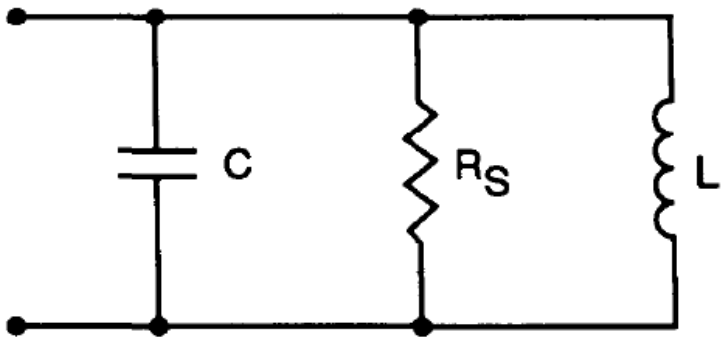
- Some examples of impedances computed in the ultra-relativistic limit are:

  - Resonator impedance

$$Z_{\parallel\mathrm{Res}}(\omega) = \frac{R_{s_\parallel}}{1 + iQ\left(\frac{\omega_r}{\omega} - \frac{\omega}{\omega_r}\right)}$$

$$Z_{\perp\mathrm{Res}}(\omega) = \frac{\omega_r}{\omega}\frac{R_{s_\perp}}{1 + iQ\left(\frac{\omega_r}{\omega} - \frac{\omega}{\omega_r}\right)}$$

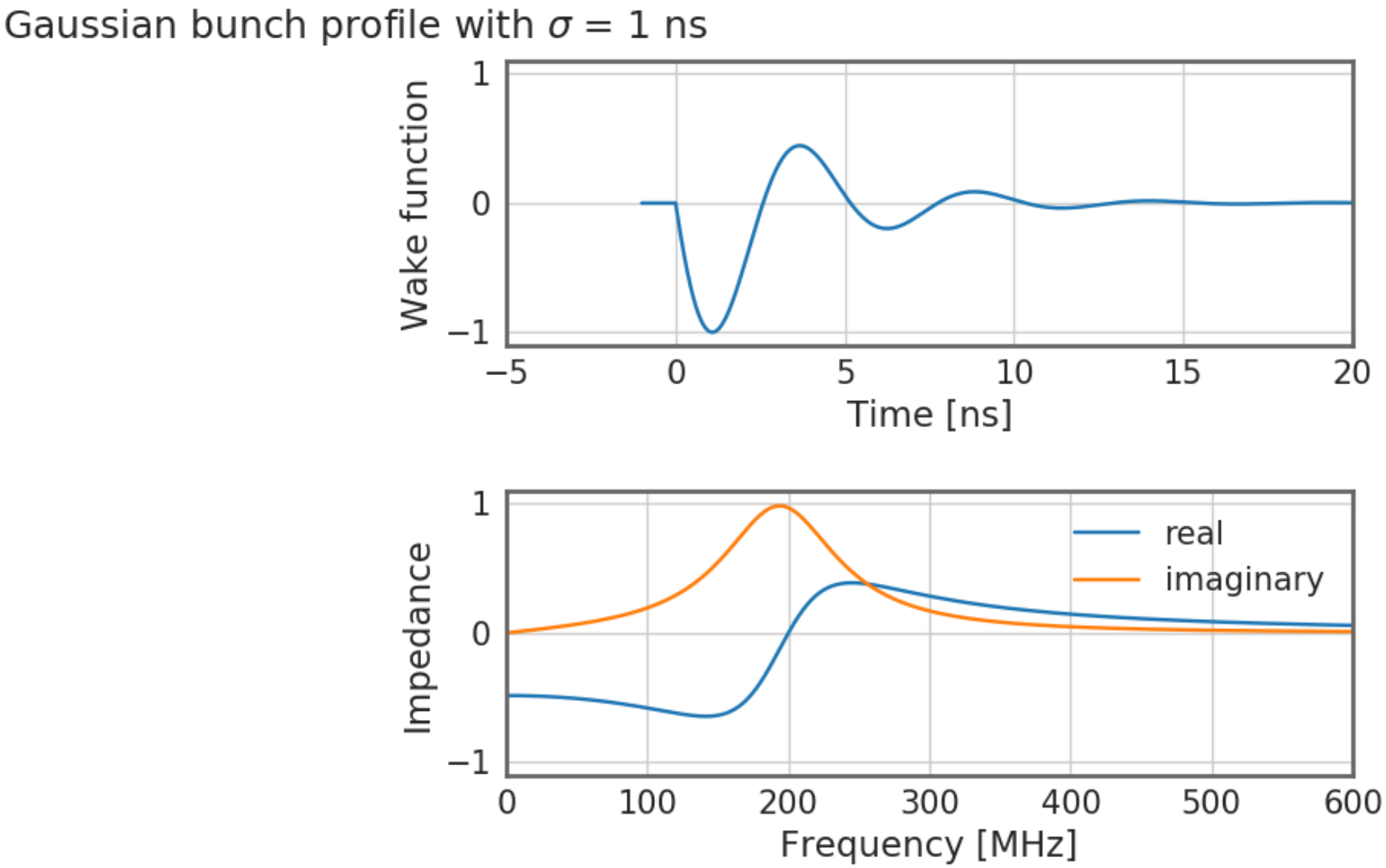
$$\alpha_z = \frac{\omega_r}{2Q}, \qquad \bar{\omega} = \sqrt{\omega_r^2 - \alpha_z^2}$$



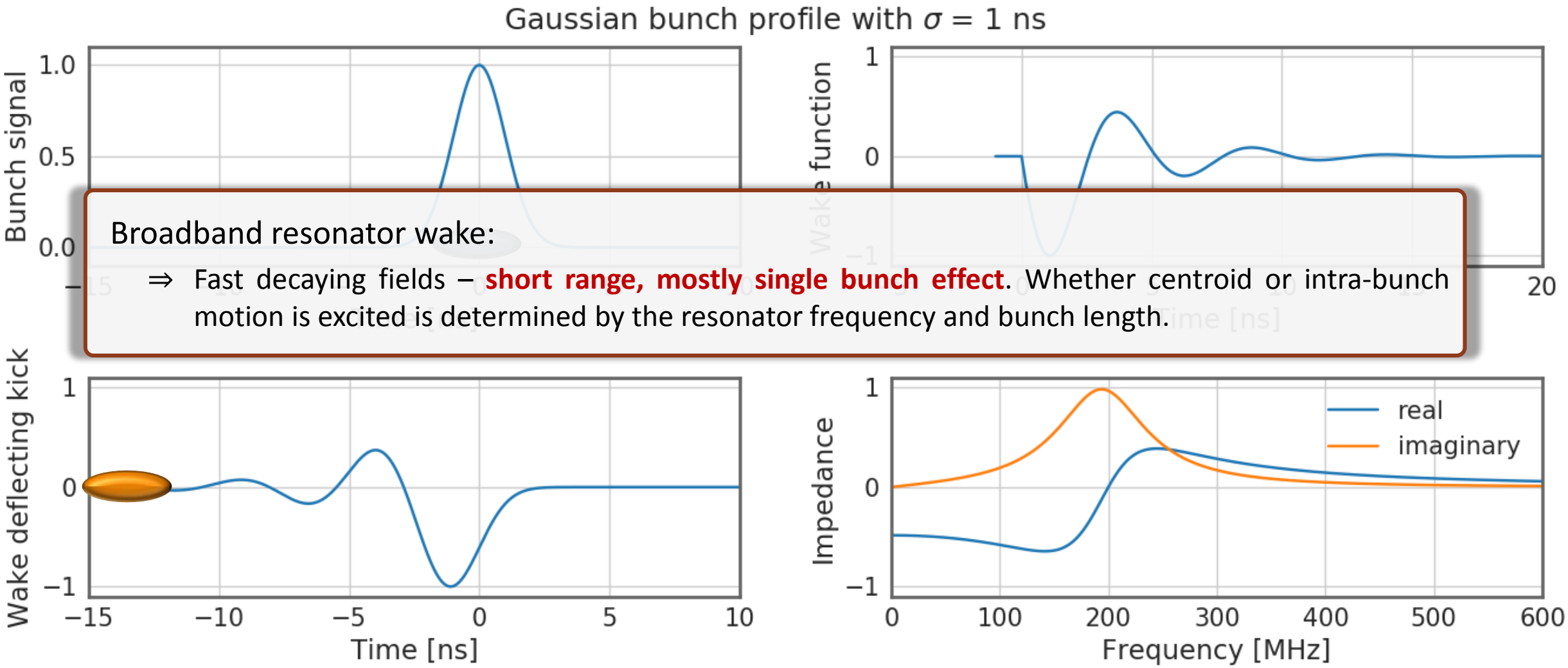
$$\frac{1}{Z_{\parallel\mathrm{Res}}} = \frac{1}{R_s} + \frac{i}{\omega L} - i\omega C$$

$$Q = R_S\sqrt{C/L}, \qquad \omega = \sqrt{\frac{1}{LC}}$$

Examples: broadband resonator



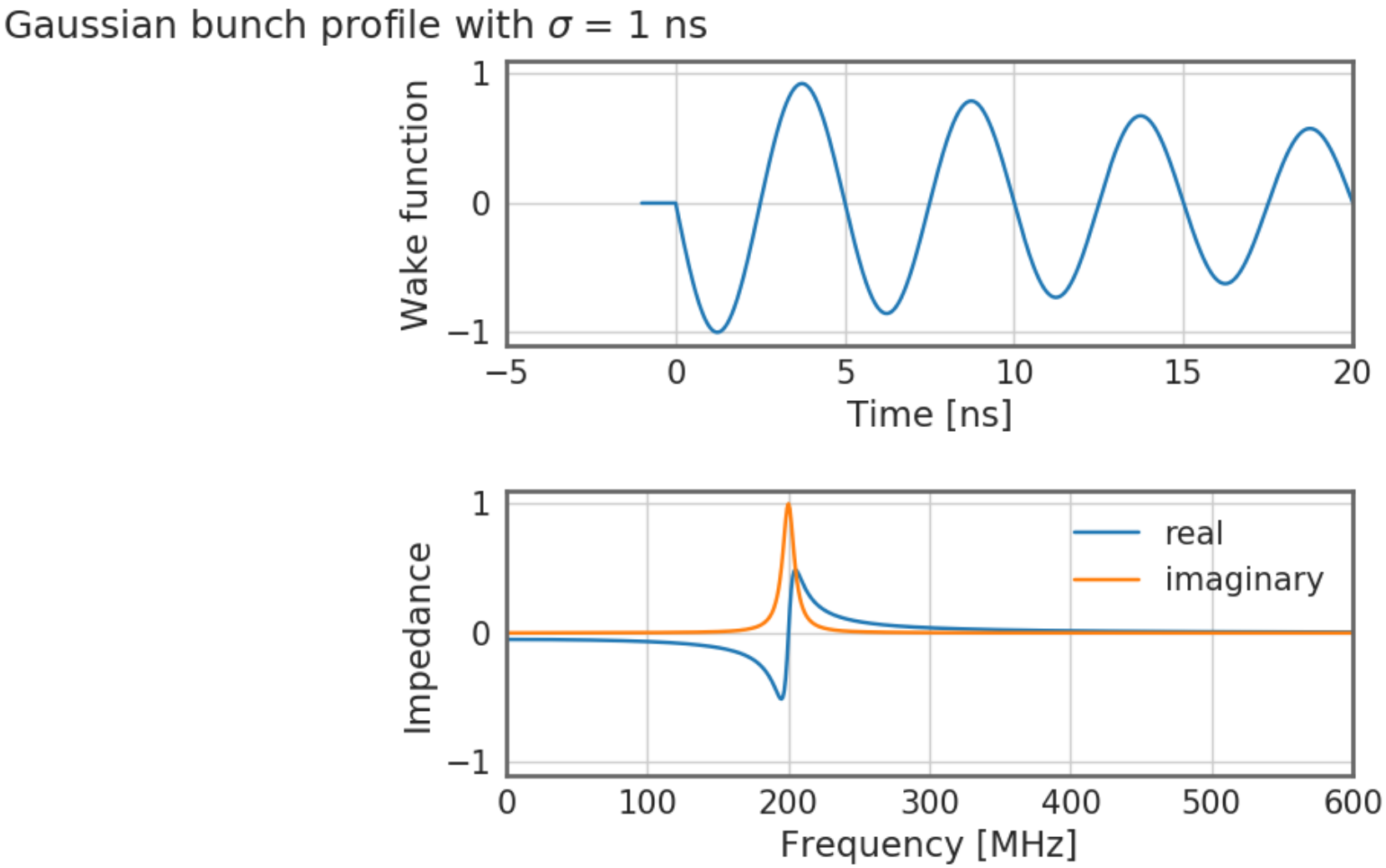
Examples: broadband resonator



Broadband resonator wake:

⇒ Fast decaying fields – **short range, mostly single bunch effect**. Whether centroid or intra-bunch motion is excited is determined by the resonator frequency and bunch length.

Examples: narrowband resonator



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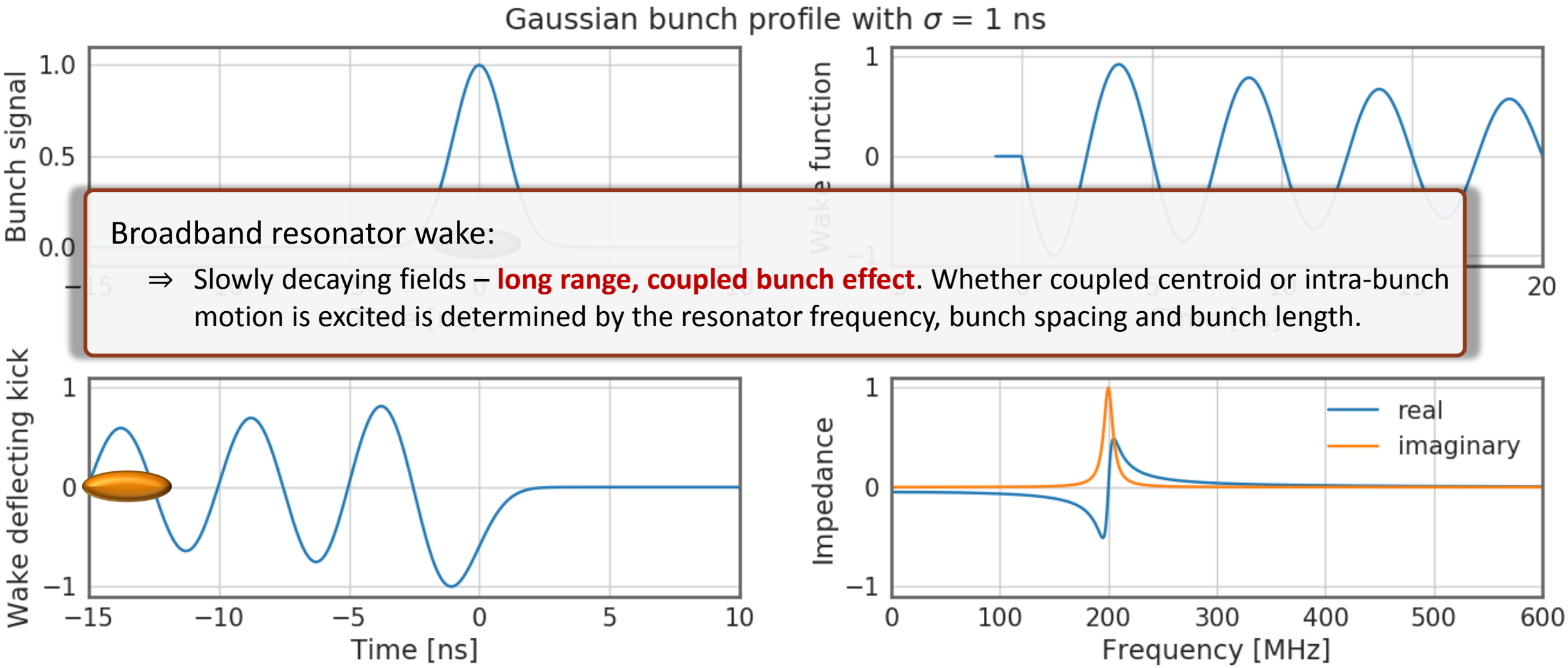

Broadband resonator wake:

⇒ Slowly decaying fields – **long range, coupled bunch effect**. Whether coupled centroid or intra-bunch motion is excited is determined by the resonator frequency, bunch spacing and bunch length.