

Beam Instrumentation: Functionality of devices & basic applications

Beam Diagnostics: Usage of devices for complex measurements

Challenging accelerator in the sky: Diagnostics tells were the beam is!

# **Demands on Beam Diagnostics**



## Diagnostics is the 'sensory organs' for the beam in the real environment.

(Referring to lecture by Volker Ziemann: 'Detecting imperfections to enable corrections')

#### Different demands lead to different installations:

- ➤ Quick, non-destructive measurements leading to a single number or simple plots Used as a check for online information. Reliable technologies have to be used Example: Current measurement by transformers
- ➤ Complex instruments for severe malfunctions, accelerator commissioning & development
  The instrumentation might be destructive and complex
  Example: Emittance determination, chromaticity measurement

#### **General usage of beam instrumentation:**

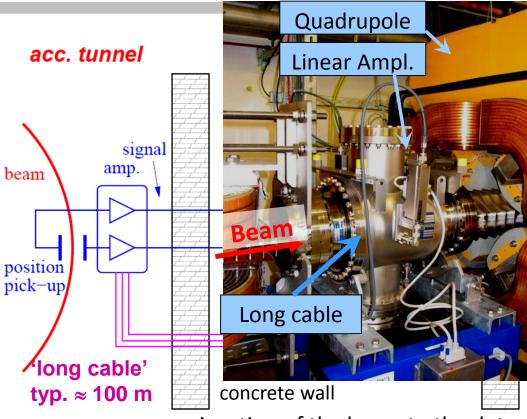
- Monitoring of beam parameters for operation, beam alignment & accelerator development
- Instruments for automatic, active beam control
  Example: Closed orbit feedback at synchrotrons using position measurement by BPMs

### Non-invasive ( = 'non-intercepting' or 'non-destructive') methods are preferred:

- $\triangleright$  The beam is not influenced  $\Rightarrow$  the **same** beam can be measured at several locations
- > The instrument is not destroyed due to high beam power

# **Typical Installation of a Beam Instrument**





**Accelerator tunnel:** 

→ action of the beam to the detector

3

→ low noise pre-amplifier and first signal shaping

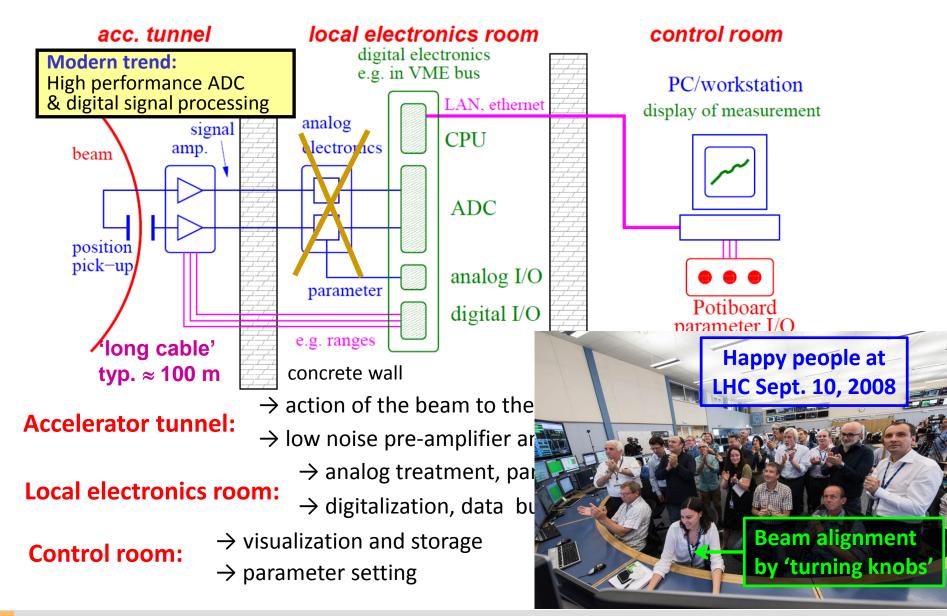
→ analog treatment, partly combining other parameters

 $\rightarrow$  digitalization, data bus systems (GPIB, VME, cPCI,  $\mu$ TCA...)

**Local electronics room:** 

# **Typical Installation of a Beam Instrument**





#### **Outline of the Lectures**



#### The ordering of the subjects is oriented by the beam quantities:

#### Part 1 of the lecture on electro-magnetic monitors:

- Current measurement
- Beam position monitors for bunched beams

#### Part 2 of the lecture on transverse and longitudinal diagnostics on Thursday:

- Profile measurement
- Transverse emittance measure
- Measurement of longitudinal parameters

#### **Lecture on Machine Protection System on Thursday:**

Beam loss detection as one subject

#### Instruments could be different for:

- $\triangleright$  Transfer lines with single pass  $\leftrightarrow$  synchrotrons with multi-pass
- ➤ Electrons are (nearly) always relativistic ↔ protons are at the beginning non-relativistic

#### **Remark:**

Most instrumentation is installed outside of rf-cavities to prevent for signal disturbance

### **Measurement of Beam Current**



# The beam current and its time structure the basic quantity of the beam:

- > It this the first check of the accelerator functionality
- > It has to be determined in an absolute manner
- > Important for transmission measurement and to prevent for beam losses.

#### Different devices are used:

> Transformers: Measurement of the beam's magnetic field

Non-destructive

No dependence on beam type and energy

They have lower detection threshold.

Faraday cups: Measurement of the beam's electrical charges

# Magnetic field of the beam and the ideal Transformer



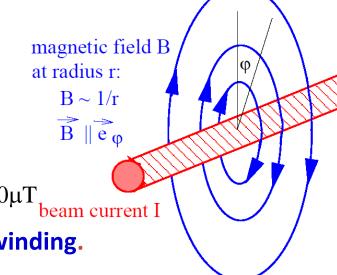
 $\blacktriangleright$  Beam current of  $N_{part}$  charges with velocity eta

$$I_{beam} = qe \cdot \frac{N_{part}}{t} = qe \cdot \beta c \cdot \frac{N_{part}}{l}$$

- > cylindrical symmetry
- → only azimuthal component

$$\vec{\mathbf{B}} = \mu_0 \frac{I_{beam}}{2\pi r} \cdot \vec{\mathbf{e}_{\varphi}}$$

Example:  $I = 1 \mu A$ ,  $r = 10 \text{cm} \Rightarrow B_{beam} = 2 \text{pT}$ , earth  $B_{earth} = 50 \mu T_{beam \text{ current I}}$ 



# Idea: Beam as primary winding and sense by sec. winding.

⇒ Loaded current transformer

$$I_1/I_2 = N_2/N_1 \Rightarrow I_{sec} = 1/N \cdot I_{beam}$$

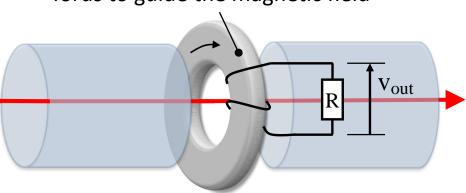
 $\triangleright$  Inductance of a torus of  $\mu_r$ 

$$L = \frac{\mu_0 \mu_r}{2\pi} \cdot lN^2 \cdot \ln \frac{r_{out}}{r_{in}}$$

 $2\pi$   $r_{in}$   $\rightarrow$  Goal of torus: Large inductance **L** and guiding of field lines.

Definition:  $U = L \cdot dI/dt$ 

Torus to guide the magnetic field

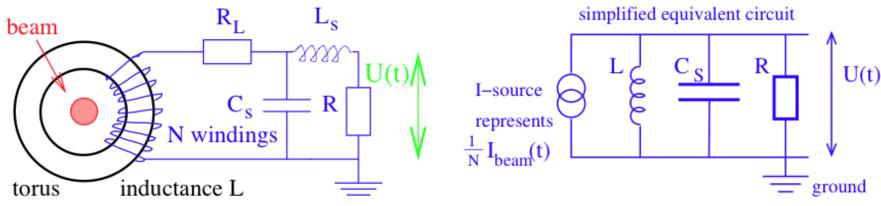


# **Fast Current Transformer FCT (or Passive Transformer)**



### Simplified electrical circuit of a passively loaded transformer:

# passive transformer



A voltages is measured:  $U = R \cdot I_{sec} = R / N \cdot I_{beam} \equiv S \cdot I_{beam}$ with S sensitivity [V/A], equivalent to transfer function or transfer impedance Z

Equivalent circuit for analysis of sensitivity and bandwidth (without loss resistivity  $R_L$ )

# **Response of the Passive Transformer: Rise and Droop Time**



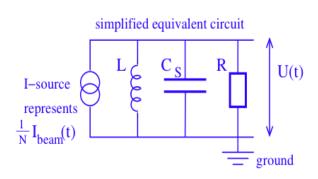
## Time domain description:

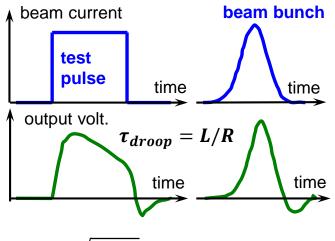
Droop time: $\tau_{droop} = 1/(2\pi f_{low}) = L/R$ 

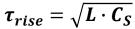
Rise time:  $\tau_{rise} = 1/(2\pi f_{high}) = 1/RC_s$  (ideal without cables)

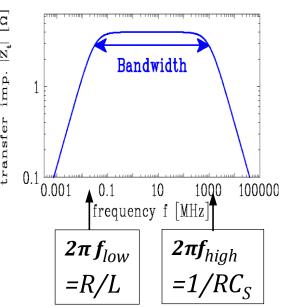
Rise time:  $\tau_{rise} = 1/(2\pi f_{high}) = VL_sC_s$  (with cables)

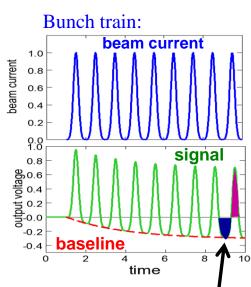
 $R_L$ : loss resistivity, R: for measuring.











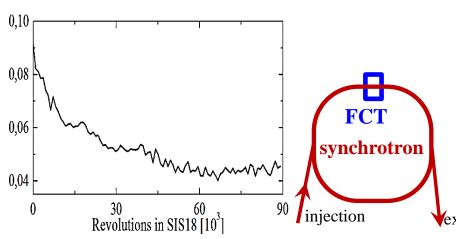
Baseline:  $U_{base} \propto 1 - \exp(-t/\tau_{droop})$ positive & negative areas are equal

# **Example for Fast Current Transformer**

For bunch beams e.g. during accel. in a synchrotron typical bandwidth of 2 kHz < f < 1 GHz

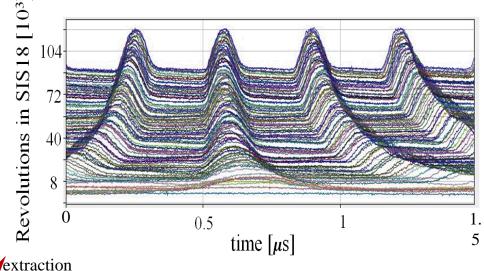
 $\Leftrightarrow$  10 ns <  $t_{hunch}$  < 1 μs is well suited Example GSI type:

Inner / outer radius	70 / 90 mm
Permeability	$\mu_r \approx 10^5$ for f < 100kHz $\mu_r \propto 1/f$ above
Windings	10
Sensitivity	4 V/A for R = $50 \Omega$
Droop time $\tau_{droop} = L/R$	0.2 ms
Rise time $\tau_{rise} = \sqrt{L_S C_S}$	1 ns
Bandwidth	2 kHz 500 MHz





Example:  $U^{73+}$  from 11 MeV/u ( $\beta$  = 15 %) to 350 MeV/u within 300 ms (displayed every 0.15 ms)



RMS bunch length  $[\mu s]$ 

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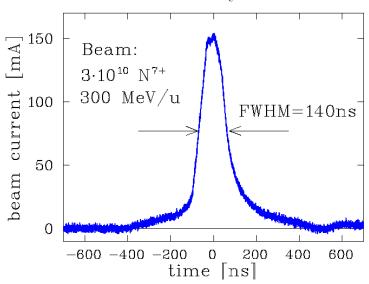
### Numerous application e.g.:

- > Transmission optimization
- > Bunch shape measurement
- ➤ Input for synchronization of 'beam phase'





Fast extraction from GSI synchrotron:

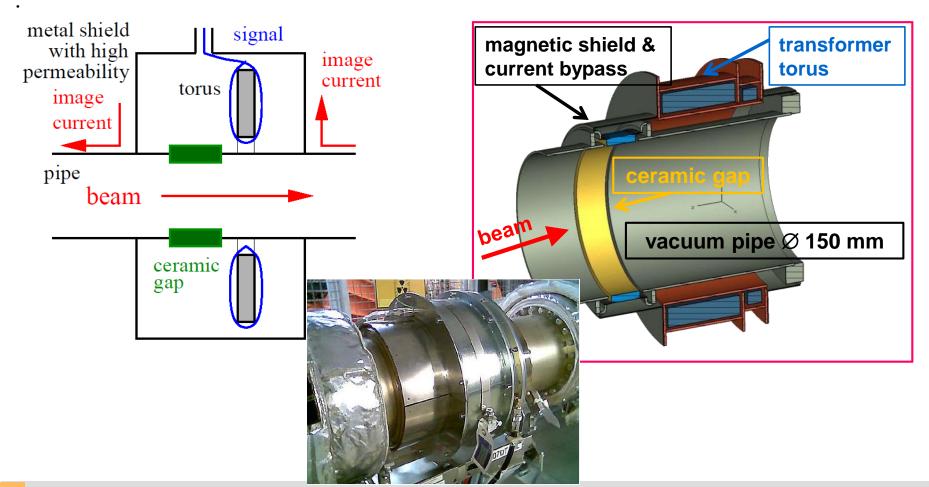


# **Shielding of a Transformer**



#### Task of the shield:

- The image current of the walls have to be bypassed by a gap and a metal housing.
- This housing uses μ-metal and acts as a shield of external B-field (remember:  $I_{beam} = 1$  μA, r = 10 cm  $\Rightarrow B_{beam} = 2$ pT, earth field  $B_{earth} = 50$  μT)



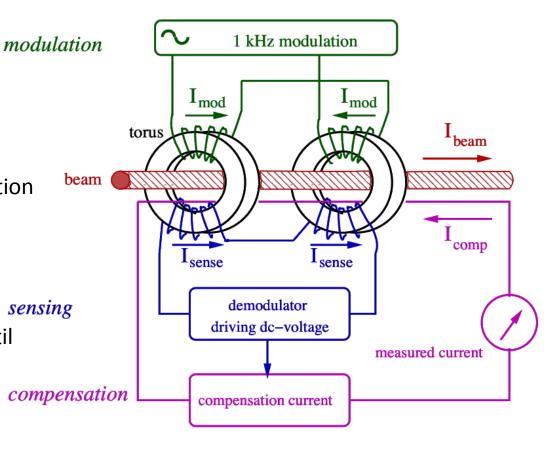
#### The dc Transformer



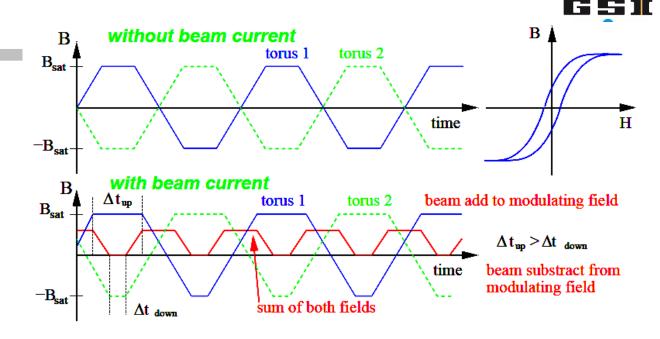
How to measure the DC current? The current transformer discussed sees only B-flux *changes*. The DC Current Transformer (DCCT)  $\rightarrow$  look at the magnetic saturation of two torii.

- ➤ Modulation of the primary windings forces both torii into saturation twice per cycle
- > Sense windings measure the modulation signal and cancel each other.
- $\triangleright$  But with the  $I_{beam}$ , the saturation is shifted and  $I_{sense}$  is not zero
- Compensation current adjustable until

*I<sub>sense</sub>* is zero once again



#### The dc Transformer



Modulation without beam:

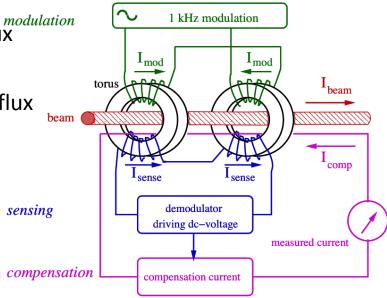
typically about 9 kHz to saturation  $\rightarrow$  **no** net flux

➤ Modulation with beam:

saturation is reached at different times,  $\rightarrow$  net flux

- ➤ **Net flux:** double frequency than modulation
- Feedback: Current fed to compensation winding for larger sensitivity
- > Two magnetic cores: Must be very similar.

Remark: Same principle used for power suppliers

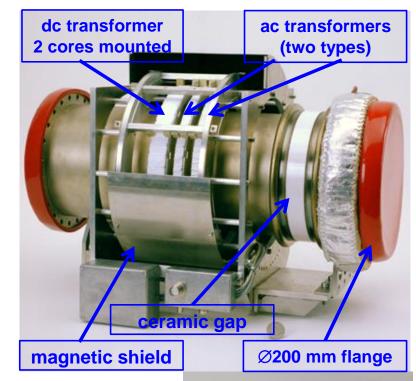


### The dc Transformer Realization



# Example: The DCCT at GSI synchrotron

Torus radii	$r_i = 135 \text{ mm } r_0 = 145 \text{ mm}$
Torus thickness	d = 10 mm
Torus permeability	$\mu_{\rm r} = 10^5$
Saturation inductance	B <sub>sat</sub> = 0.6 T
Number of windings	16 for modulation & sensing 12 for feedback
Resolution	I <sup>min</sup> <sub>beam</sub> = 2 μA
Bandwidth	$\Delta f = dc \dots 20 \text{ kHz}$
Rise time constant	τ <sub>rise</sub> = 10 μs
Temperature drift	1.5 μA/°C





#### **Measurement with a dc Transformer**

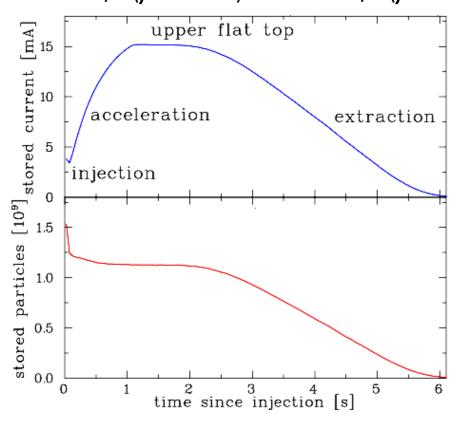


#### **Application for dc transformer**:

 $\Rightarrow$  Observation of beam behavior with typ. 20 µs time resolution  $\Rightarrow$  the basic operation tool

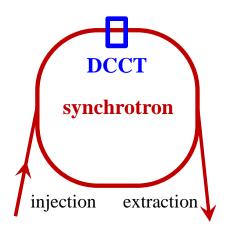
Example: The DCCT at GSI synchrotron U<sup>73+</sup> accelerated from

11. 4 MeV/u ( $\beta$  = 15.5%) to 750 MeV/u ( $\beta$  = 84 %)



### **Important parameter:**

- Detection threshold: ≈ 1 μA(= resolution)
- $\triangleright$  Bandwidth:  $\Delta f$  = dc to 20 kHz
- $\triangleright$  Rise-time:  $t_{rise} = 20 \, \mu s$
- > Temperature drift: 1.5 μA/°C
  - $\Rightarrow$  compensation required.



#### **Measurement of Beam Current**



>Transformers: Measurement of the beam's magnetic field

Non-destructive

No dependence on beam type and energy

They have lower detection threshold.

Faraday cups: Measurement of the beam's electrical charges

They are destructive

For low energies only

Low currents can be determined.

# **Energy Loss of Protons & Ions**

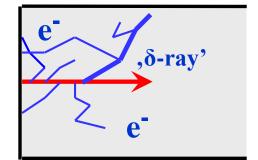
Bethe-Bloch formula: 
$$-\frac{dE}{dx} = 4\pi N_A r_e^2 m_e c^2 \left( \cdot \frac{Z_t}{A_t} \rho_t \right) \left( \frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 \cdot W_{max}}{I^2} \right) - \beta^2$$
 (simplest formulation)

### Semi-classical approach:

- Projectiles of mass M collide with free electrons of mass m
- ➤ If *M* >> *m* then the relative energy transfer is low
- $\Rightarrow$  many collisions required many elections participate proportional to target electron density  $m{n}_e = rac{Z_t}{A_t} m{
  ho}_t$
- ⇒ low straggling for the heavy projectile i.e. 'straight trajectory'
- $\triangleright$  If projectile velocity  $\beta \approx 1$  low relative energy change of projectile ( $\gamma$  is Lorentz factor)
- $\succ$  I is mean ionization potential including kinematic corrections  $I \approx Z_t \cdot 10 \ eV$  for most metals
- Strong dependence an projectile charge Z<sub>p</sub>

Constants:  $N_A$  Advogadro number,  $r_e$  classical e<sup>-</sup> radius,  $m_e$  electron mass, c velocity of light

Maximum energy transfer from projectile  $\boldsymbol{M}$  to electron  $\boldsymbol{m_e}$ :  $W_{max} = \frac{2m_ec^2\beta^2\gamma^2}{1+2\gamma m_e/M+(m_e/M)^2}$ 



# **Energy Loss of Protons & Ions in Copper**



Bethe-Bloch formula: 
$$-\frac{dE}{dx} = 4\pi N_A r_e^2 m_e c^2 \cdot \frac{Z_t}{A_t} \rho_t \cdot Z_p^2 \cdot \frac{1}{\beta^2} \left( \frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 \cdot W_{max}}{I^2} - \beta^2 \right)$$
 (simplest formulation)

Range: 
$$R = \int_{0}^{E_{\text{max}}} \left(\frac{dE}{dx}\right)^{-1} dE$$

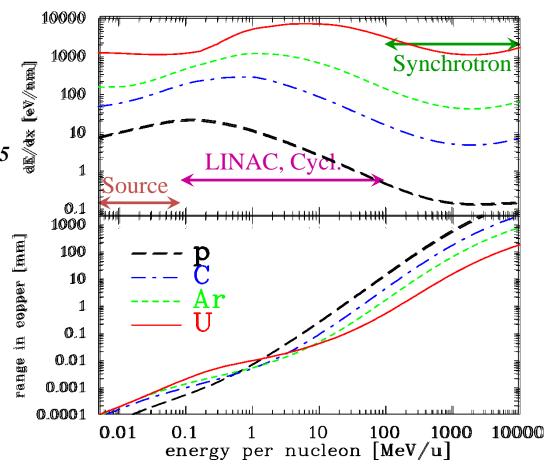
with approx. scaling  $R \propto E_{max}^{-1.75}$ 

Numerical calculation for **ions** with semi-empirical model e.g. SRIM

Main modification  $Z_p \rightarrow Z^{eff}_{p}(E_{kin})$ 

 $\Rightarrow$  Cups only for

 $E_{kin}$  < 100 MeV/u due to R < 10 mm



# **Secondary Electron Emission caused by Ion Impact**



### Energy loss of ions in metals close to a surface:

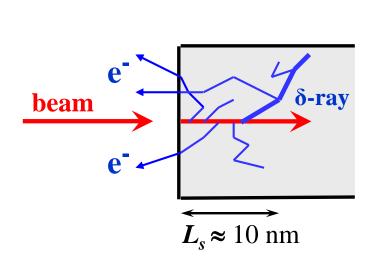
Closed collision with large energy transfer:  $\rightarrow$  fast e with  $E_{kin} > 100 \text{ eV}$ 

Distant collision with low energy transfer  $\rightarrow$  slow e<sup>-</sup> with  $E_{kin} \leq 10 \text{ eV}$ 

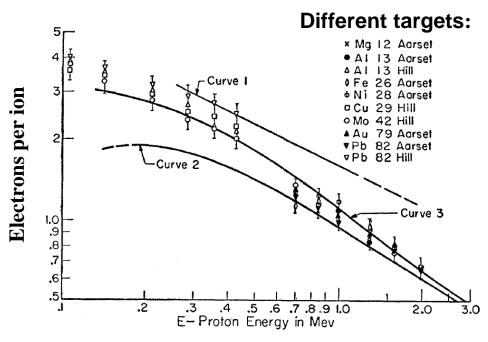
- $\rightarrow$  'diffusion' & scattering with other e<sup>-</sup>: scattering length  $L_s \approx 1$  10 nm
- $\rightarrow$  at surface  $\approx$  90 % probability for escape

Secondary electron yield and energy distribution comparable for all metals!

$$\Rightarrow$$
 **Y = const.** \* **dE/dx** (Sternglass formula)



E.J. Sternglass, Phys. Rev. 108, 1 (1957)

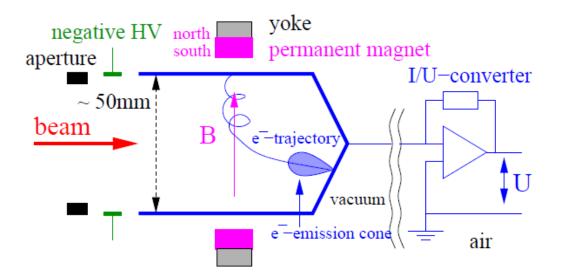


# **Faraday Cups for Beam Charge Measurement**



The beam particles are collected inside a metal cup

 $\Rightarrow$  The beam's charge are recorded as a function of time.



### Currents down to 10 pA with bandwidth of 100 Hz!

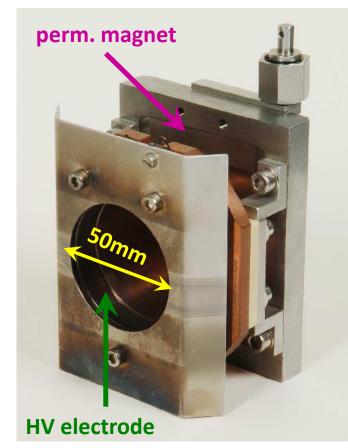
To prevent for secondary electrons leaving the cup

## Magnetic field:

The central field is  ${\it B}\approx 10~{\rm mT} \Rightarrow r_{\it C}=\frac{m_{\it B}}{e}\cdot v_{\perp}\approx 1~{\rm mm}$  .

or Electric field: Potential barrier at the cup entrance  $U \approx 1$  kV.

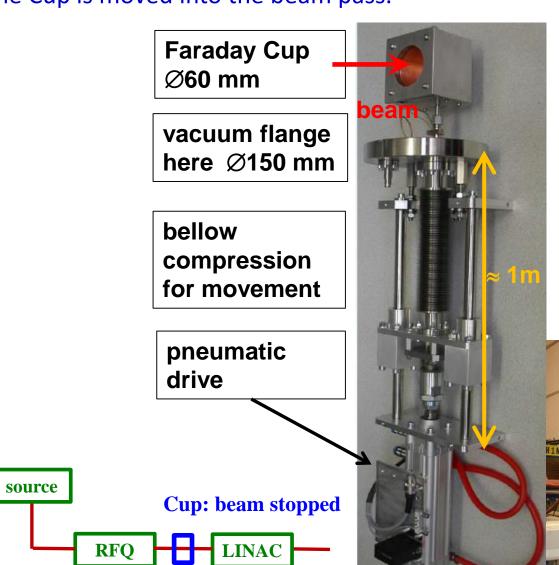
The cup is moved in the beam pass 
→ destructive device

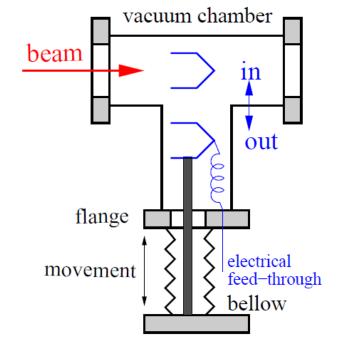


# Realization of a Faraday Cup at GSI LINAC



The Cup is moved into the beam pass.







# **Summary for Current Measurement**



#### *Transformer:* → measurement of the beam's magnetic field

Magnetic field is guided by a high μ toroid

> Types: FCT  $\rightarrow$  large bandwidth,  $I_{min} \approx 30 \,\mu\text{A}$ , BW = 10 kHz ... 500 MHz

[ACT:  $I_{min} \approx 0.3 \,\mu\text{A}$ , BW = 10 Hz .... 1 MHz, used at proton LINACs]

DCCT: two toroids + modulation,  $I_{min} \approx 1 \mu A$ , BW = dc ... 20 kHz

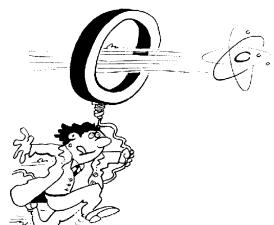
non-destructive, used for all beams

### Faraday cup: → measurement of beam's charge,

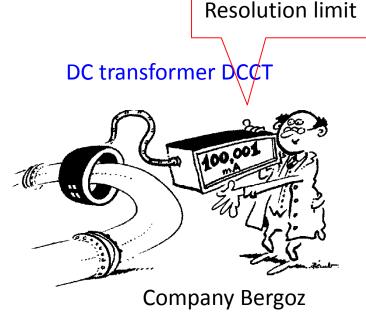
➤ low threshold by I/U-converter: I<sub>beam</sub> > 10 pA

totally destructive, used for low energy beams only

Fast Transformer FCT Active transformer ACT







# **Pick-Ups for bunched Beams**



### **Outline:**

- ➤ Signal generation → transfer impedance
- Capacitive button BPM for high frequencies
- > Capacitive *linear-cut* BPM for low frequencies
- Electronics for position evaluation
- BPMs for measurement
- Summary

A Beam Position Monitor is an non-destructive device for bunched beams
It delivers information about the transverse center of the beam:

- > Trajectory: Position of an individual bunch within a transfer line or synchrotron
- > Closed orbit: Central orbit averaged over a period much longer than a betatron oscillation
- $\triangleright$  Single bunch position: Determination of parameters like tune, chromaticity,  $\beta$ -function

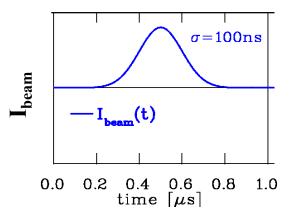
Remarks: - BPMs have a low cut-off frequency ⇔ dc-beam behavior can't be monitored

- The abbreviation **BPM** and pick-up **PU** are synonyms

# **Time Domain ← Frequency Domain**



## Time domain: Recording of a voltage as a function of time:



#### **Instrument:**

Oscilloscope

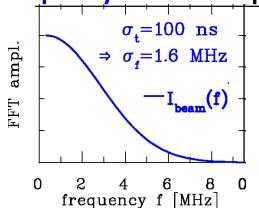


## Mathematics → Fourier Transformation:

$$\hat{f}(\boldsymbol{\omega}) = \int_{-\infty}^{\infty} f(t) \cdot e^{-i\omega t} dt$$

see lecture by Hermann Schmickler

Frequency domain: Displaying of a voltage as a function of frequency:



#### **Instrument:**

### **Spectrum Analyzer**



#### **Fourier Transformation:**

- Contains amplitude & phase
- The same information is differently displayed

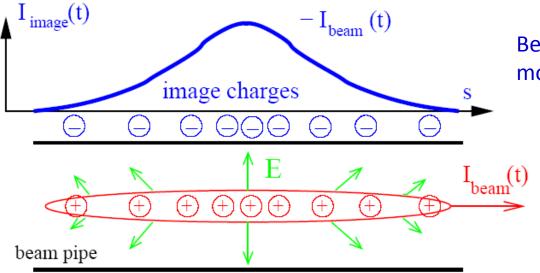
Law of Convolution: For a convolution in time:  $f(t) = \int_{-\infty}^{\infty} f_1(\tau) \cdot f_2(t-\tau) d\tau$ 

 $\Rightarrow \hat{f}(\omega) = \hat{f}_1(\omega) \cdot \hat{f}_2(\omega) \Leftrightarrow \text{convolution be expressed as multiplication of FTs}$ 

# **Pick-Ups for bunched Beams**



The image current at the beam pipe is monitored on a high frequency basis i.e. the ac-part given by the bunched beam.



Beam Position Monitor **BPM** is the most frequently used instrument!

For relativistic velocities, the electric field is transversal:

$$E_{\perp,lab}(t) = \gamma \cdot E_{\perp,rest}(t')$$

# Principle of Signal Generation of a BPMs, centered Beam

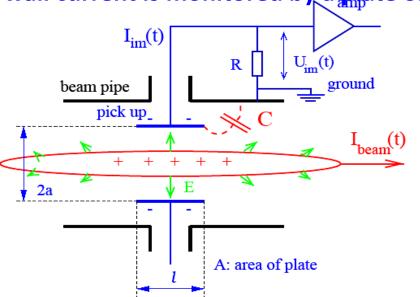


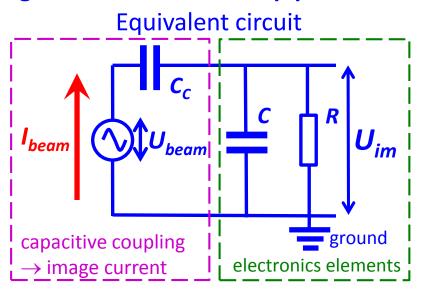
The image current at the wall is monitored on a high frequency basis i.e. ac-part given by the bunched beam. Animation by Rhodri Jones (CERN)

# **Model for Signal Treatment of capacitive BPMs**



The wall current is monitored by a plate or ring inserted in the beam pipe:





At a resistor R the voltage  $U_{im}$  from the image current is measured.

Goal: Connection from beam current to signal strength by transfer impedance  $Z_t(\omega)$ 

in frequency domain:  $U_{im}(\omega) = R \cdot I_{im}(\omega) = Z_t(\omega) \cdot I_{beam}(\omega)$ 

Result: 
$$Z_t(\omega) = \frac{A}{2\pi \, a} \cdot \frac{1}{\beta c} \cdot \frac{1}{c} \cdot \frac{i\omega RC}{1 + i\omega RC}$$
  $\in \mathbb{C}$  i.e. complex function geometry stray capacitance frequency response

# **Example of Transfer Impedance for Proton Synchrotron**



### The high-pass characteristic for typical synchrotron BPM:

$$U_{im}(\omega) = Z_t(\omega) \cdot I_{beam}(\omega)$$

$$|Z_t| = \frac{A}{2\pi a} \cdot \frac{1}{\beta c} \cdot \frac{1}{C} \cdot \frac{\omega/\omega_{cut}}{\sqrt{1 + \omega^2/\omega_{cut}^2}}$$

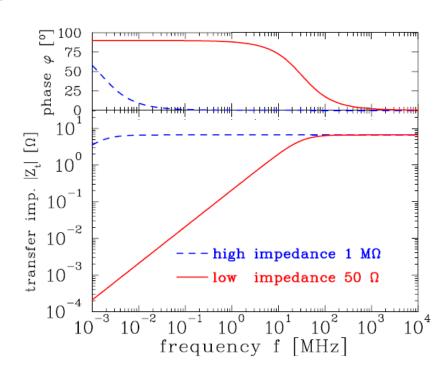
$$\varphi = \arctan(\omega_{cut}/\omega)$$

Parameter linear-cut BPM at proton synchr.:

$$f_{cut} = \omega/2\pi = (2\pi RC)^{-1}$$

for 
$$R = 50 \Omega \Rightarrow f_{cut} = 32 \text{ MHz}$$

for 
$$R = 1 \text{ M}\Omega \Rightarrow f_{cut} = 1.6 \text{ kHz}$$



Large signal strength for long bunches → high impedance

Smooth signal transmission important for short bunches  $\rightarrow$  50  $\Omega$ 

**Remark:** For  $\omega \to 0$  it is  $Z_t \to 0$  i.e. **no** signal is transferred from dc-beams e.g.

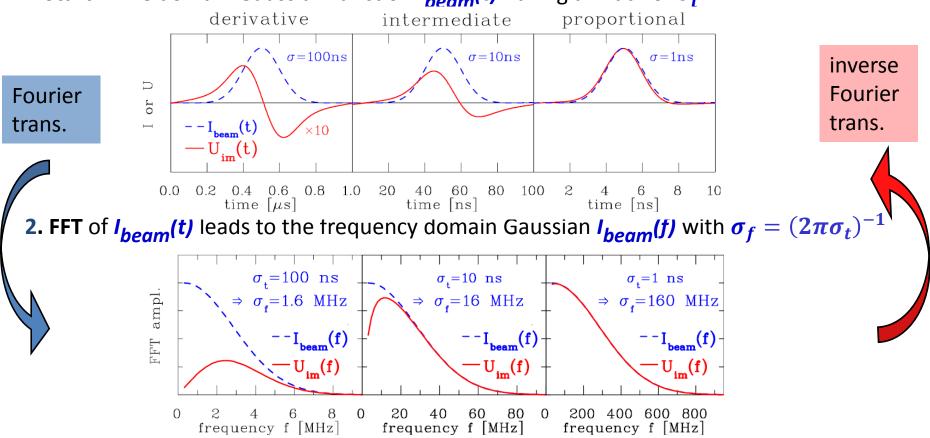
- > de-bunched beam inside a synchrotron
- > for slow extraction through a transfer line

# **Calculation of Signal Shape (here single Bunch)**



### The transfer impedance is used in frequency domain! The following is performed:

1. Start: Time domain Gaussian function  $I_{beam}(t)$  having a width of  $\sigma_t$ 



3. Multiplication with  $Z_t(f)$  with  $f_{cut}$  = 32 MHz leads to  $U_{im}(f) = Z_t(f)$ .

4. Layers FFT leads to  $U_{im}(t)$ 

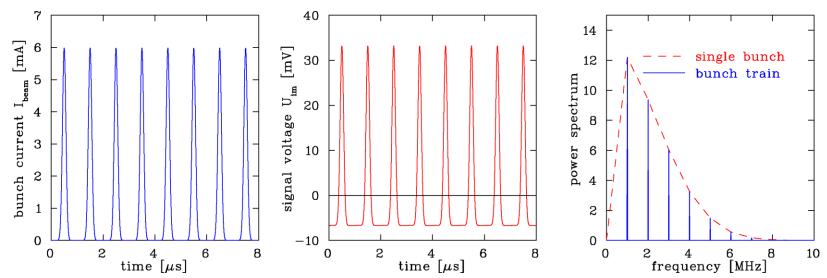
Remark: Time domain processing via convolution or filters (FIR and IIR) are possible

# Calculation of Signal Shape: repetitive Bunch in a Synchrotron



# Synchrotron filled with 8 bunches accelerated with $f_{acc}$ =1 MHz

BPM terminated with  $R=1 \text{ M}\Omega \implies f_{acc} >> f_{cut}$ :



Parameter: R = 1 M $\Omega$   $\Rightarrow$   $f_{cut}$  = 2 kHz,  $Z_t$  = 5  $\Omega$ , all buckets filled C=100pF, I=10cm,  $\beta$ =50%,  $\sigma_t$ =100 ns  $\Rightarrow$   $\sigma_I$ =15m

- $\succ$  Fourier spectrum is composed of lines separated by acceleration  $f_{rf}$
- > Envelope given by single bunch Fourier transformation
- > Baseline shift due to ac-coupling

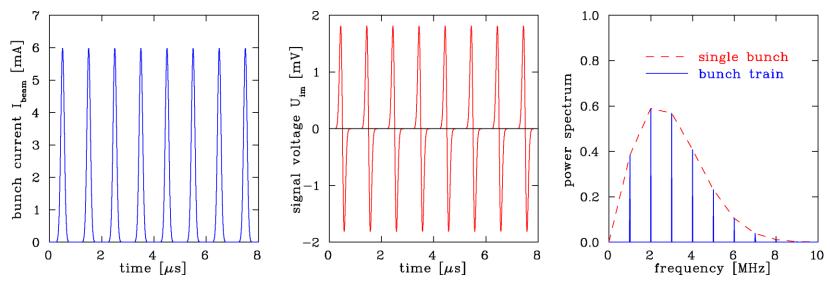
**Remark:** 1 MHz<  $f_{rf}$ <10MHz  $\Rightarrow$  Bandwidth  $\approx$ 100MHz=10 \*  $f_{rf}$  for broadband observation

# Calculation of Signal Shape: repetitive Bunch in a Synchrotron



# Synchrotron filled with 8 bunches accelerated with $f_{acc}$ = 1 MHz

BPM terminated with  $R=50 \Omega \implies f_{acc} << f_{cut}$ :



Parameter: R=50  $\Omega \Rightarrow f_{cut}$ =32 MHz, all buckets filled

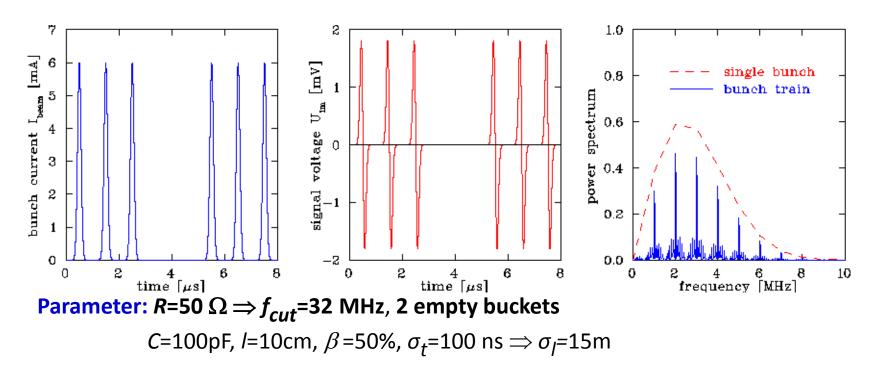
C=100pF, 
$$I$$
=10cm,  $\beta$ =50%,  $\sigma_t$ =100 ns  $\Rightarrow \sigma_I$ =15m

- ➤ Fourier spectrum is concentrated at acceleration harmonics with single bunch spectrum as an envelope.
- $\succ$  Bandwidth up to typically  $10*f_{acc}$

# Calculation of Signal Shape: Bunch Train with empty Buckets



### Synchrotron during filling: Empty buckets, R=50 $\Omega$ :

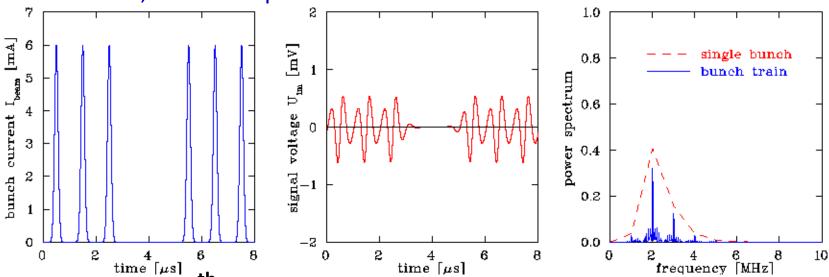


Fourier spectrum is more complex, harmonics are broader due to sidebands

# Calculation of Signal Shape: Filtering of Harmonics



### Effect of filters, here bandpass:



Parameter:  $R=50 \Omega$ ,  $4^{\text{th}}$  order Butterworth filter at  $f_{cut}=2$  MHz

C=100pF, l=10cm,  $\theta$ =50%,  $\sigma$ =100 ns

n<sup>th</sup> order Butterworth filter, math. simple, but **not** well suited:

- Ringing due to sharp cutoff

Ringing due to sharp cutoff

Other filter types more appropriate
$$|H_{low}| = \frac{1}{\sqrt{1 + (\omega/\omega_{cut})^{2n}}} \quad \text{and} \quad |H_{high}| = \frac{(\omega/\omega_{cut})^n}{\sqrt{1 + (\omega/\omega_{cut})^{2n}}}$$

$$|H_{filter}| = H_{high} \cdot H_{low}$$

**Generally:**  $Z_{tot}(\omega) = H_{cable}(\omega) \cdot H_{filter}(\omega) \cdot H_{amp}(\omega) \cdot ... \cdot Z_t(\omega)$ 

Remark: For numerical calculations, time domain filters (FIR and IIR) are more appropriate

# Principle of Signal Generation of a BPMs: off-center Beam



The image current at the wall is monitored on a high frequency basis i.e. ac-part given by the bunched beam. Animation by Rhodri Jones (CERN)

# **Principle of Position Determination by a BPM**



The difference voltage between plates gives the beam's center-of-mass →most frequent application

'Proximity' effect leads to different voltages at the plates:

$$y = \frac{1}{S_{y}(\omega)} \cdot \frac{U_{up} - U_{down}}{U_{up} + U_{down}} + \delta_{y}(\omega)$$

$$\equiv \frac{1}{S_{y}} \cdot \frac{\Delta U_{y}}{\Sigma U_{y}} + \delta_{y}$$

$$x = \frac{1}{S_{x}(\omega)} \cdot \frac{U_{right} - U_{left}}{U_{right} + U_{left}} + \delta_{x}(\omega)$$

$$U_{up}$$

$$y \text{ from } \Delta U = U_{up} - U_{down}$$

$$z$$

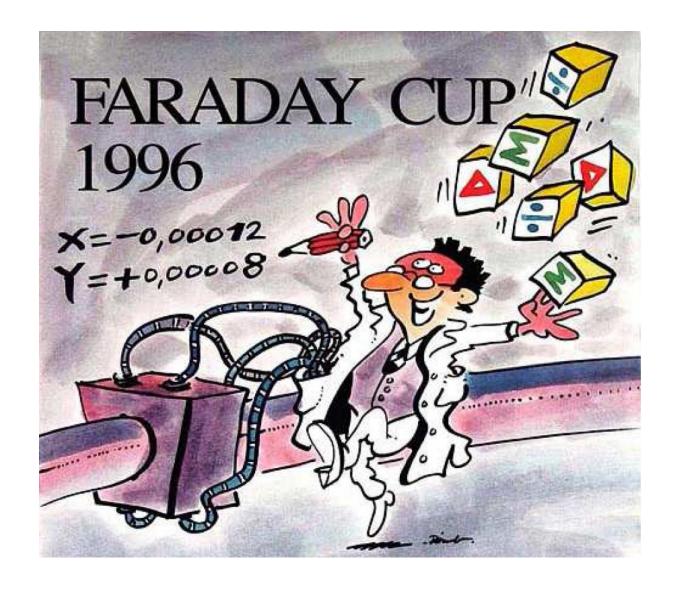
$$z$$

$$\Delta U << \Sigma U/10$$

 $S(\omega,x)$  is called **position sensitivity**, sometimes the inverse is used  $k(\omega,x)=1/S(\omega,x)$  S is a geometry dependent, non-linear function, which have to be optimized Units: S=[%/mm] and sometimes S=[dB/mm] or k=[mm].

**Typical desired position resolution:**  $\Delta x \approx 0.3 \dots 0.1 \cdot \sigma_x$  of beam width





## **Pick-Ups for bunched Beams**



#### **Outline:**

- ➤ Signal generation → transfer impedance
- Capacitive button BPM for high frequencies used at most proton LINACs and electron accelerators
- > Capacitive *linear-cut* BPM for low frequencies
- Electronics for position evaluation
- > BPMs for measurement of closed orbit, tune and further lattice functions
- Summary

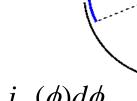
#### 2-dim Model for a Button BPM



## 'Proximity effect': larger signal for closer plate

**Ideal 2-dim model:** Cylindrical pipe  $\rightarrow$  image current density via 'image charge method' for 'pensile' beam:

$$j_{im}(\phi) = \frac{I_{beam}}{2\pi a} \cdot \left( \frac{a^2 - r^2}{a^2 + r^2 - 2ar \cdot \cos(\phi - \theta)} \right)$$



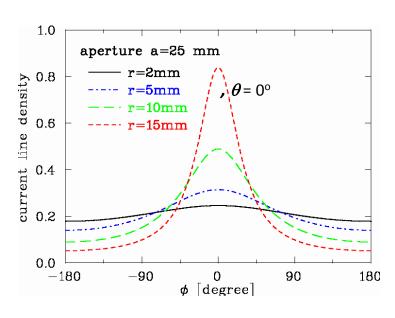
a

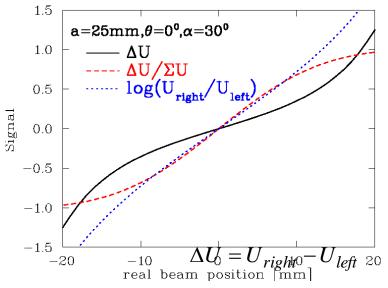
button

beam

Image current: Integration of finite BPM size:  $I_{im} = a \cdot \int_{-\alpha/2}^{\alpha/2} j_{im}(\phi) d\phi$ 

$$I_{im} = a \cdot \int_{-\alpha/2}^{\alpha/2} j_{im}(\phi) d\phi$$





#### 2-dim Model for a Button BPM

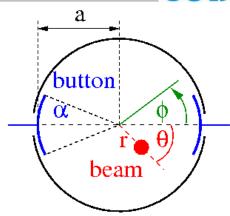


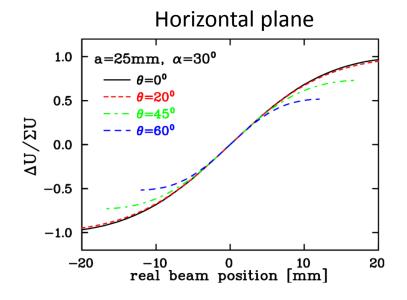
#### Ideal 2-dim model: Non-linear behavior and hor-vert coupling:

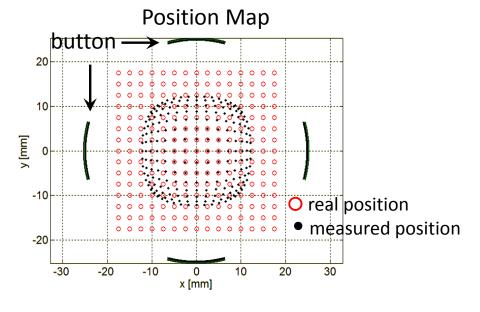
Sensitivity **S** is converts signal to position  $x = \frac{1}{S} \cdot \frac{\Delta U}{\Sigma U}$  with **S** [%/mm] or [dB/mm]

i.e. **S** is the derivative of the curve  $S_x = \frac{\partial (\frac{\Delta U}{\Sigma U})}{\partial x}$ , here  $S_x = S_x(x, y)$  i.e. non-linear.

For this example: central part  $S=7.4\%/\text{mm} \Leftrightarrow k=1/S=14\text{mm}$ 







#### **Button BPM Realization**



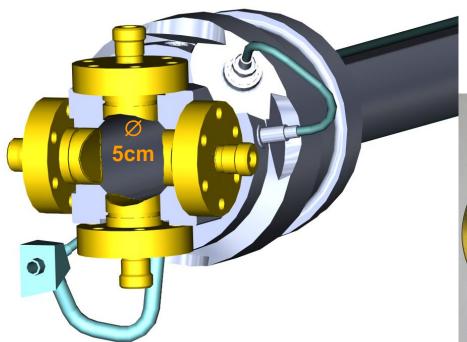
LINACs, e<sup>-</sup>-synchrotrons: 100 MHz  $< f_{rf} <$  3 GHz  $\rightarrow$  bunch length  $\approx$  BPM length

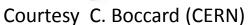
 $\rightarrow$  50  $\Omega$  signal path to prevent reflections

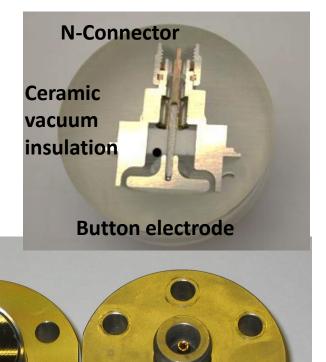
**Example:** LHC-type inside cryostat:

 $\emptyset$ 24 mm, half aperture a = 25 mm, C = 8 pF

 $\Rightarrow$   $f_{cut}$ = 400 MHz,  $Z_t$  = 1.3  $\Omega$  above  $f_{cut}$ 





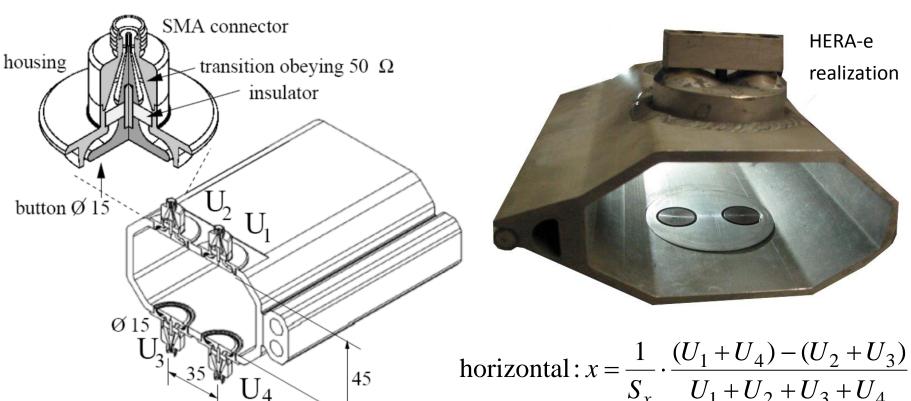


Ø24 mm

## **Button BPM at Synchrotron Light Sources**



Due to synchrotron radiation, the button insulation might be destroyed  $\Rightarrow$ buttons only in vertical plane possible  $\Rightarrow$  increased non-linearity



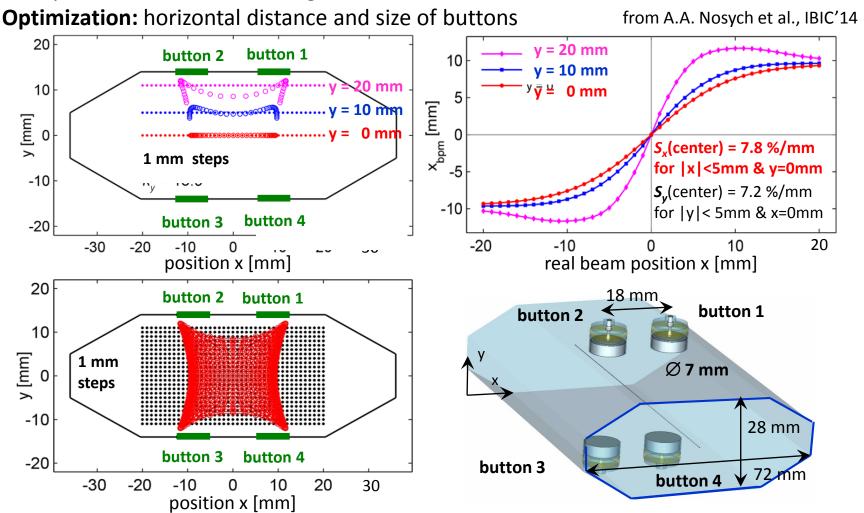
PEP-realization: N. Kurita et al., PAC 1995

vertical: 
$$y = \frac{1}{S_y} \cdot \frac{(U_1 + U_2) - (U_3 + U_4)}{U_1 + U_2 + U_3 + U_4}$$

## Simulations for Button BPM at Synchrotron Light Sources



Example: Simulation for ALBA light source for 72 x 28 mm<sup>2</sup> chamber



**Result**: non-linearity and xy-coupling occur in dependence of button size and position

## **Pick-Ups for bunched Beams**



#### **Outline:**

- **>** Signal generation → transfer impedance
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## **Linear-cut BPM for Proton Synchrotrons**



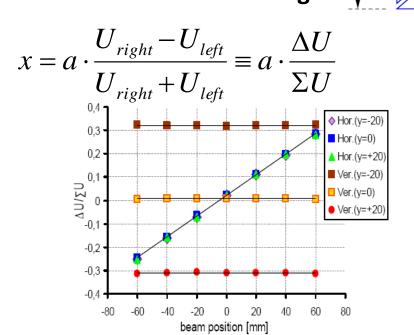
Frequency range: 1 MHz <  $f_{rf}$  < 100 MHz  $\Rightarrow$  bunch-length >> BPM length.

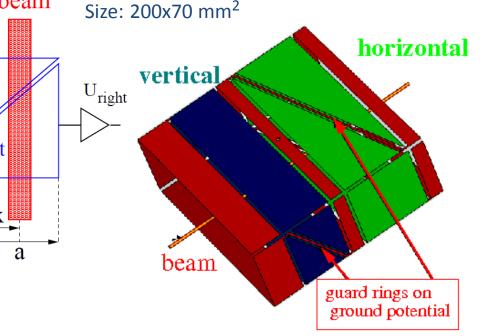
Signal is proportional to actual plate length:

$$l_{\text{right}} = (a+x) \cdot \tan \alpha, \quad l_{\text{left}} = (a-x) \cdot \tan \alpha$$

$$\Rightarrow x = a \cdot \frac{l_{\text{right}} - l_{\text{left}}}{l_{\text{right}} + l_{\text{left}}}$$
Uleft left

In ideal case: linear reading





#### **Linear-cut BPM:**

beam

Advantage: Linear, i.e. constant position sensitivity S

⇔ no beam size dependence

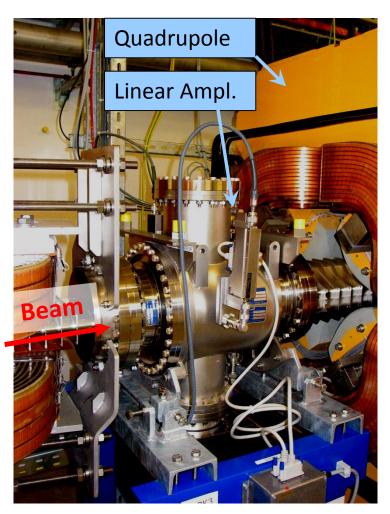
**Disadvantage:** Large size, complex mechanics

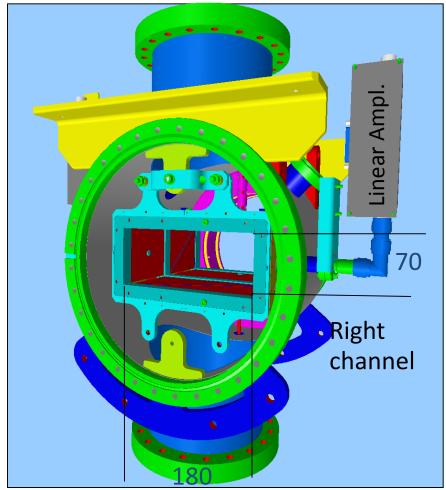
high capacitance

#### **Technical Realization of a linear-cut BPM**



Technical realization at HIT synchrotron of 46 m length for 7 MeV/u $\rightarrow$  440 MeV/u BPM clearance: 180x70 mm<sup>2</sup>, standard beam pipe diameter: 200 mm.

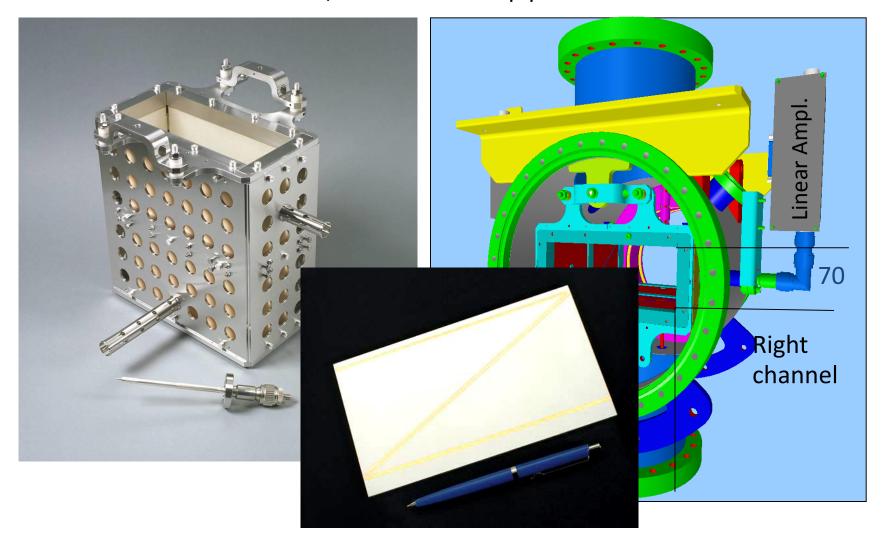




### **Technical Realization of a linear-cut BPM**



Technical realization at HIT synchrotron of 46 m length for 7 MeV/u $\rightarrow$  440 MeV/u BPM clearance: 180x70 mm<sup>2</sup>, standard beam pipe diameter: 200 mm.



## **Comparison linear-cut and Button BPM**



	Linear-cut BPM	Button BPM	
Precaution	Bunches longer than BPM	Bunch length comparable to BPM	
BPM length (typical)	10 to 20 cm length per plane	$\varnothing$ 1 to 5 cm per button	
Shape	Rectangular or cut cylinder	Orthogonal or planar orientation	
Bandwidth (typical)	0.1 to 100 MHz	100 MHz to 5 GHz	
Coupling	1 M $\Omega$ or ≈1 k $\Omega$ (transformer)	50 Ω	
Cutoff frequency (typical)	0.01 10 MHz ( <i>C</i> =30100pF)	0.3 1 GHz ( <i>C</i> =210pF)	
Linearity	Very good, no x-y coupling	Non-linear, x-y coupling	
Sensitivity	Good, care: plate cross talk	Good, care: signal matching	
Usage	At proton synchrotrons,	All electron acc., proton Linacs, $f_{rf}$	
	$f_{rf}$ < 10 MHz vertical	> 100 MHz	

Remark: Other types are also some time used: e.g. wall current monitors, inductive antenna, BPMs with external resonator, cavity BPM, slotted wave-guides for stochastic cooling etc.

## **Pick-Ups for bunched Beams**

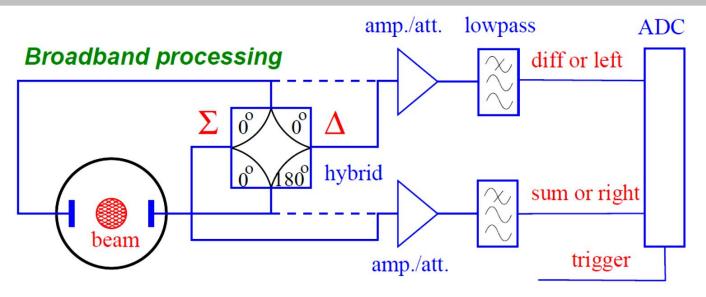


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## **Broadband Signal Processing**





- ightharpoonup Hybrid or transformer close to beam pipe for analog  $\Delta U \otimes \Sigma U$  generation or  $U_{left} \otimes U_{right}$
- ➤ Attenuator/amplifier
- > Filter to get the wanted harmonics and to suppress stray signals
- ightharpoonup ADC: digitalization ightharpoonup followed by calculation of of  $\Delta U/\Sigma U$

Advantage: Bunch-by-bunch observation possible, versatile post-processing possible

**Disadvantage:** Resolution down to  $\approx$  100 µm for shoe box type , i.e.  $\approx$ 0.1% of aperture,

resolution is worse than narrowband processing, see below

**Challenge**: Precise analog electronics with very low drift of amplification etc.

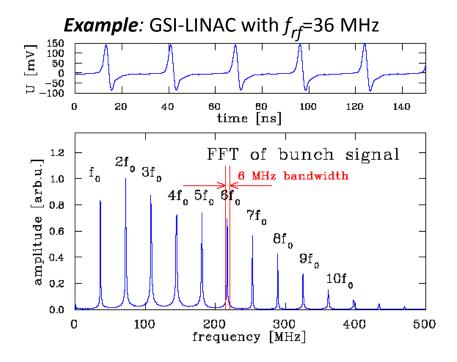
#### **General: Noise Consideration**



- 1. Signal voltage given by:  $U_{im}(f) = Z_t(f) \cdot I_{beam}(f)$
- 2. Position information from voltage difference:  $\chi = 1/S \cdot \Delta U/\Sigma U$
- 3. Thermal noise voltage given by:  $U_{noise}(R,\Delta f) = \sqrt{4k_B \cdot T \cdot R \cdot \Delta f}$

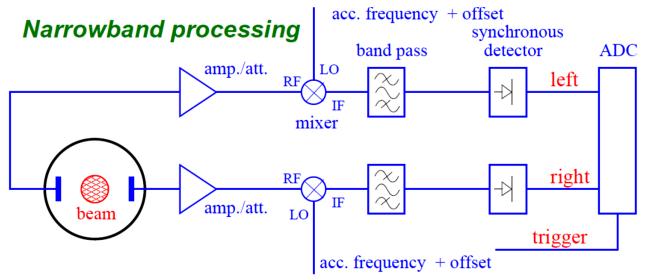
## Signal-to-noise $\Delta U_{im}/U_{noise}$ is influenced by:

- > Input signal amplitude
- Thermal noise from amplifiers etc.
- ➤ Bandwidth **Δf**
- $\Rightarrow$  Restriction of frequency width as the power is concentrated at harm.  $nf_{rf}$



## Narrowband Processing for improved Signal-to-Noise





Narrowband processing equals heterodyne receiver (e.g. AM-radio or spectrum analyzer)

- > Attenuator/amplifier
- ightharpoonup Mixing with accelerating frequency  $f_{rf}$   $\Longrightarrow$  signal with difference frequency
- ➤ Bandpass filter of the mixed signal (e.g at 10.7 MHz)
- ➤ Rectifier: synchronous detector
- $\triangleright$  ADC: digitalization  $\rightarrow$  followed calculation of  $\Delta U/\Sigma U$

Advantage: Spatial resolution about 100 time better than broadband processing

Disadvantage: No turn-by-turn diagnosis, due to mixing = 'long averaging time'

Digital

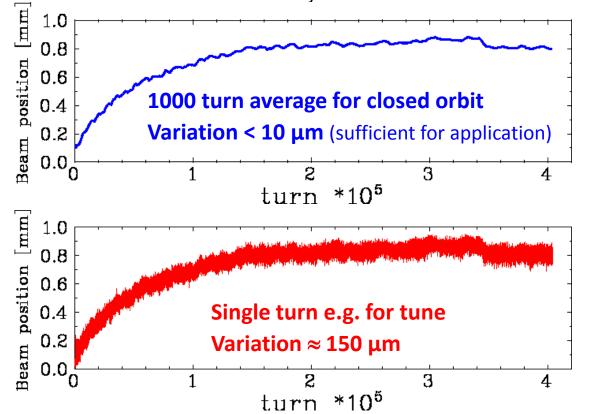
correspondence:

I/Q demodulation

## **Comparison: Filtered Signal** ↔ **Single Turn**



**Example:** GSI Synchr.:  $U^{73+}$ ,  $E_{inj} = 11.5$  MeV/u $\rightarrow E_{out} = 250$  MeV/u within 0.5 s,  $10^9$  ions



- Position resolution < 30 μm</li>(BPM diameter d=180 mm)
- average over 1000 turns corresponding to ≈1 ms
   or ≈1 kHz bandwidth

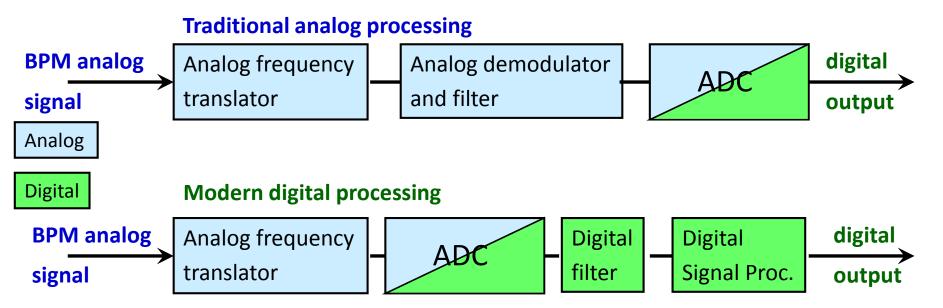
➤ Turn-by-turn data have much larger variation

**However:** not only noise contributes but additionally **beam movement** by betatron oscillation ⇒ broadband processing i.e. turn-by-turn readout for tune determination.

## **Analog versus Digital Signal Processing**



Modern instrumentation uses **digital** techniques with extended functionality.



#### Digital receiver as modern successor of super heterodyne receiver

- > Basic functionality is preserved but implementation is very different
- > Digital transition just after the amplifier & filter or mixing unit
- Signal conditioning (filter, decimation, averaging) on FPGA

**Advantage of DSP:** Versatile operation, flexible adoption without hardware modification **Disadvantage of DSP: non**, good engineering skill requires for development, expensive

## **Comparison of BPM Readout Electronics (simplified)**



Туре	Usage	Precaution	Advantage	Disadvantage
Broadband	p-sychr.	Long bunches	Bunch structure signal Post-processing possible Required for transfer lines with few bunches	Resolution limited by noise
Narrowband	all synchr.	Stable beams >100 rf-periods	High resolution	No turn-by-turn Complex electronics
Digital Signal Processing	all	ADC sample typ. 250 MS/s	Very flexible & versatile High resolution Trendsetting technology for future demands	Basically non!  Limited time resolution by ADC → under-sampling  Man-power intensive

## **Pick-Ups for bunched Beams**



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- Electronics for position evaluation analog signal conditioning to achieve small signal processing
- ➤ BPMs for measurement of closed orbit, tune and further lattice functions frequent application of BPMs
- > Summary

## **Trajectory Measurement with BPMs**

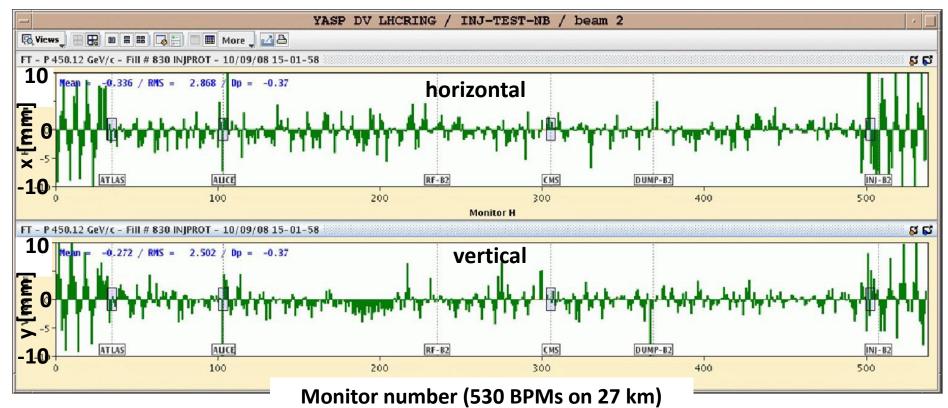


#### **Trajectory:**

The position delivered by an **individual bunch** within a transfer line or a synchrotron.

Main task: Control of matching (center and angle), first-turn diagnostics

**Example:** LHC injection 10/09/08 i.e. first day of operation!



From R. Jones (CERN)

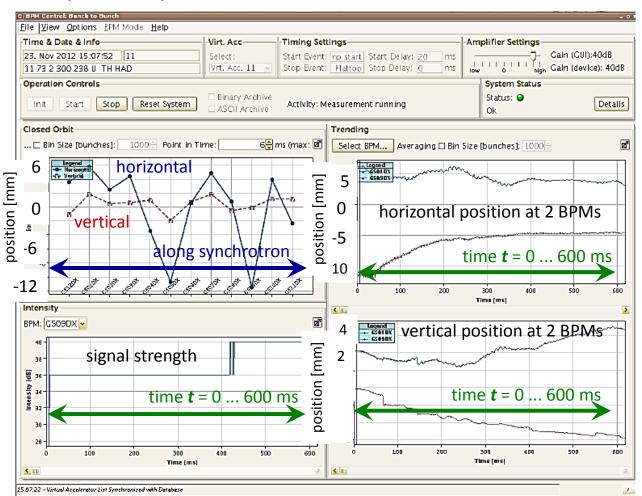
Tune values:  $Q_h = 64.3$ ,  $Q_v = 59.3$ 

#### **Close Orbit Measurement with BPMs**



Single bunch position averaged over 1000 bunches  $\rightarrow$  closed orbit with ms time steps. It differs from ideal orbit by misalignments of the beam or components.

Example: GSI-synchrotron at two BPM locations, 1000 turn average during acceleration:



#### **Closed orbit:**

Beam position averaged over many turns (i.e. betatron oscillations). The result is the basic tool for alignment & stabilization

## **Closed Orbit Feedback: Typical Noise Sources**





Short term (min to 10 ms):

**≻**Traffic

➤ Machine (crane) movements

➤ Water & vacuum pumps

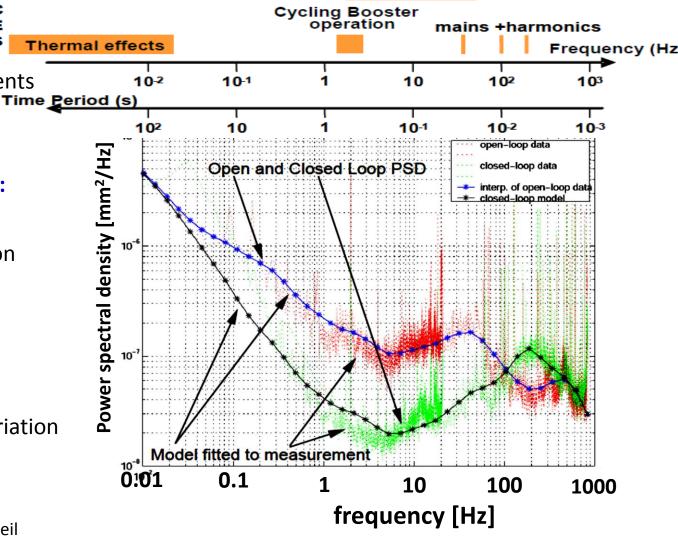
> 50 Hz main power net

#### Medium term (day to min):

- ➤ Movement of chambers due to heating by radiation
- ➤ Day-night variation
- > tide, moon cycle

#### Long term ( > days):

- ➤Ground settlement
- ➤ Seasons, temperature variation



**Ground vibrations** 

From M. Böge, PSI, N. Hubert, Soleil

**Experimental hall activities** 

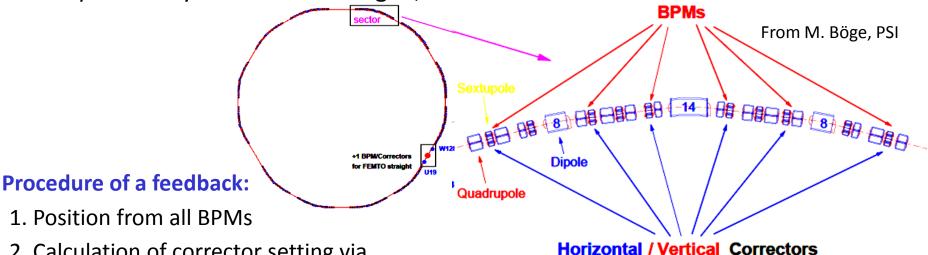
Insertion Devices

## **Close Orbit Feedback: BPMs and magnetic Corrector Hardware**



## **Orbit feedback:** Synchrotron light source $\rightarrow$ spatial stability of light beam

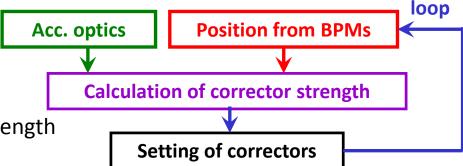
Example: SLS-Synchrotron at Villigen, Switzerland



- 1. Position from all BPMs
- 2. Calculation of corrector setting via Orbit Response Matrix
- 3. Change of magnet setting
- 1.' New positon measurement
- $\Rightarrow$  regulation time down to 10 ms
- $\Rightarrow$  Role od thumb:  $\approx$  4 BPMs per betatron wavelength

**Uncorrected orbit:** typ.  $\langle x \rangle_{rms} \approx 1 \text{ mm}$ 

Corrected orbit: typ.  $\langle x \rangle_{rms} \approx 1 \, \mu \text{m}$  up to  $\approx 100 \, \text{Hz}$  bandwidth!



### **Tune Measurement: General Considerations**

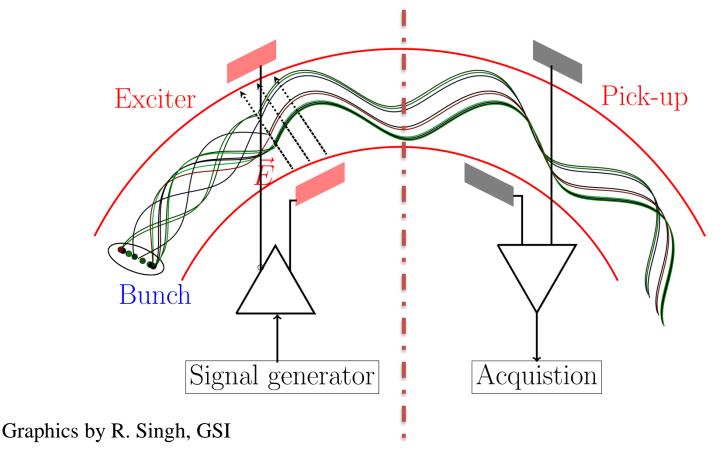


### Coherent excitations are required for the detection by a BPM

Beam particle's *in-coherent* motion  $\Rightarrow$  center-of-mass stays constant

Excitation of **all** particles by rf  $\Rightarrow$  **coherent** motion

⇒ center-of-mass variation turn-by-turn i.e. center acts as **one** macro-particle

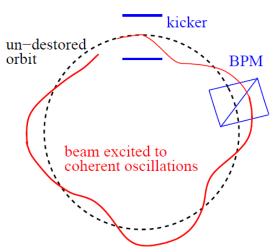


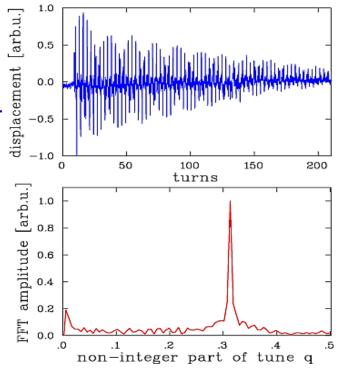
#### **Tune Measurement: The Kick-Method in Time Domain**



The beam is excited to coherent betatron oscillation

- → Beam position measured each revolution ('turn-by-turn')
- → Fourier Trans. gives the non-integer tune q.
  Short kick compared to revolution.

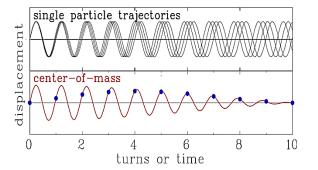




The de-coherence time limits the **resolution**:

 $\Rightarrow$  General limit of discrete FFT:  $\Delta q > \frac{1}{2N}$ 

Here:  $N = 200 \text{ turn} \Rightarrow \Delta q > 0.003$  (tune spreads can be  $\Delta q \approx 0.001!$ )



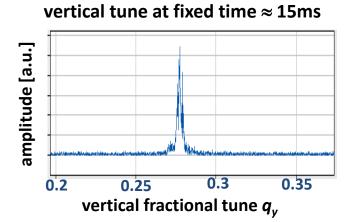
Decay is caused by de-phasing, **not** by decreasing single particle amplitude.

#### Tune Measurement: Gentle Excitation with Wideband Noise



#### Instead of a sine wave, noise with adequate bandwidth can be applied

- → beam picks out its resonance frequency:
- ightharpoonup Broadband excitation with white noise of  $\approx$  10 kHz bandwidth
- > Turn-by-turn position measurement
- > Fourier transformation of the recorded data
- ⇒ Continues monitoring with low disturbance

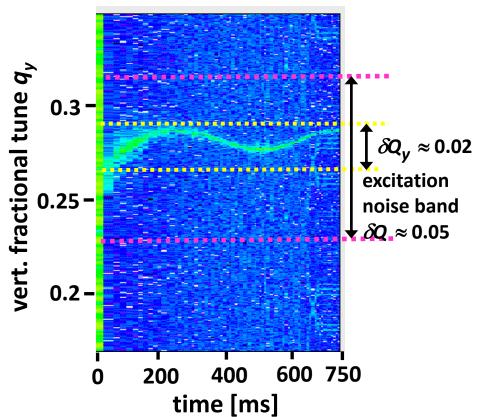


#### **Advantage:**

Fast scan with good time resolution

U. Rauch et al., DIPAC 2009

Example: Vertical tune within 4096 turn duration ≈ 15 ms at GSI synchrotron 11 → 300 MeV/u in 0.7 s vertical tune versus time



## **Chromaticity Measurement from Closed Orbit Data**



**Chromaticity**  $\xi$ **:** Change of tune for off-momentum particle  $\frac{\Delta Q}{O} = \xi \cdot \frac{\Delta p}{p}$ 

Two step measurement procedure:

- 1. Change of momentum  $\boldsymbol{p}$  by detuned rf-frequency
- Excitation of coherent betatron oscillations and tune measurement (kick-method, BTF, noise excitation):

Plot of  $\Delta Q/Q$  as a function of  $\Delta p/p$ 

 $\Rightarrow$  slope is dispersion  $\xi$ .

From M Minty, F. Zimmermann, Measurement and Control of charged Particle Beam, Springer Verlag 2003

# $\frac{\Delta p}{p} = \eta^{-1} \cdot \frac{\Delta f_{acc}}{f_{acc}}$

Example: Measurement at LEP:

momentum shift Δp/p [%]

0.2 0.1 0 -0.1 -0.2

punt led of the state o

.276

-150 -100 -50

frequency

50

shift  $\Delta f_{rf}$  [Hz]

100

150

## $\beta$ -Function Measurement from Bunch-by-Bunch BPM Data



#### Excitation of **coherent** betatron oscillations:

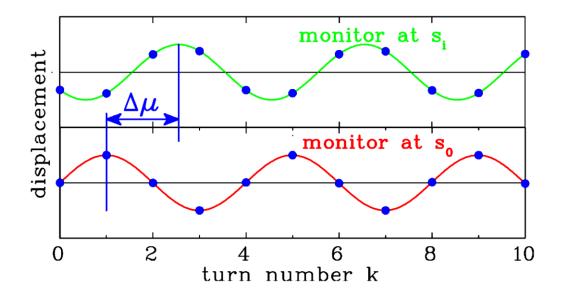
→ Time-dependent position reading results the phase advance between BPMs

The phase advance is:

$$\Delta \mu = \mu_i - \mu_0$$

 $\beta$ -function from

$$\Delta \mu = \int_{S0}^{Si} \frac{ds}{\beta(s)}$$



## 'Beta-beating' from Bunch-by-Bunch BPM Data



Example: 'Beta-beating' at BPM  $\Delta \beta = \beta_{meas} - \beta_{model}$  with measured  $\beta_{meas}$  & calculated  $\beta_{model}$  for each BPM at BNL for RHIC (proton-proton or ions circular collider with 3.8 km length)

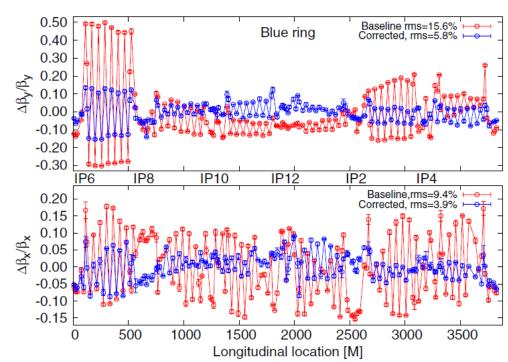
#### Result concerning 'beta-beating':

- Model doesn't fit reality completely e.g. caused by misalignments
- Corrections executed
- Increase of the luminosity

#### Remark:

Measurement accuracy depends on

- BPM accuracy
- Numerical evaluation method



Remark: Determination of  $\beta$ -function with 3 BPMs:

$$\beta_{meas}(BPM_1) = \beta_{model}(BPM_1) \cdot \frac{\cot[\mu_{meas}(1 \rightarrow 2)] - \cot[(\mu_{meas}(1 \rightarrow 3)]}{\cot[\mu_{model}(1 \rightarrow 2)] - \cot[\mu_{model}(1 \rightarrow 3)]}$$

See e.g.: R. Tomas et al., Phys. Rev. Acc. Beams 20, 054801 (2017)

From X. Shen et al.,

A. Wegscheider et al., Phys. Rev. Acc. Beams 20, 111002 (2017)

Phys. Rev. Acc. Beams 16, 111001 (2013)

## **Dispersion Measurement from Closed Orbit Data**



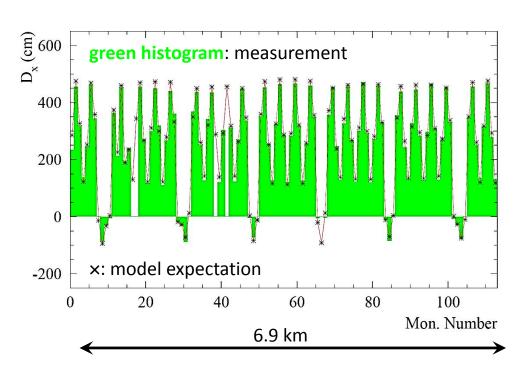
#### **Dispersion D(s\_i):** Change of momentum p by detuned rf-cavity

- $\rightarrow$  Position reading at one location  $x_i = D(s_i) \cdot \frac{\Delta p}{p}$ :
- $\rightarrow$  Result from plot of  $x_i$  as a function of  $\Delta p/p \Rightarrow$  slope is local dispersion  $D(s_i)$

Example: Dispersion measurement **D(s)** at BPMs at CERN SPS

Theory-experiment correspondence after correction of

- BPM calibration
- quadrupole calibration



From J. Wenninger: CAS on BD, CERN-2009-005 & J. Wenninger CERN-AB-2004-009

## **Summary Pick-Ups for bunched Beams**



The electric field is monitored for bunched beams using rf-technologies ('frequency domain'). Beside transformers they are the most often used instruments!

**Differentiated or proportional signal:** rf-bandwidth  $\leftrightarrow$  beam parameters

**Proton synchrotron**: 1 to 100 MHz, mostly 1 M $\Omega$   $\rightarrow$  proportional shape

**LINAC**, e<sup>-</sup>-synchrotron: 0.1 to 3 GHz, 50  $\Omega$   $\rightarrow$  differentiated shape

Important quantity: transfer impedance  $Z_t(\omega, \theta)$ .

#### Types of capacitive pick-ups:

Linear-cut (p-synch.), button (p-LINAC, e-LINAC and synch.)

**Position reading:** difference signal of two or four pick-up plates (BPM):

- ightharpoonup Non-intercepting reading of center-of-mass ightharpoonup online measurement and control Synchrotron: Fast reading, 'bunch-by-bunch' ightharpoonup trajectory, slow reading ightharpoonup closed orbit
- $\succ$  Synchrotron: Excitation of *coherent* betatron oscillations  $\Rightarrow$  tune q,  $\xi$ ,  $\beta$ (s), D(s)...

Remark: BPMs have high pass characteristic ⇒ no signal for dc-beams

## Thank you for your attention!



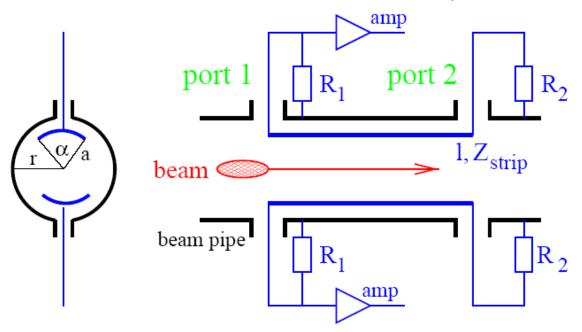
# Backup slides

## **Stripline BPM: General Idea**



#### For short bunches, the *capacitive* button deforms the signal

- ightarrow Relativistic beam  $\beta \approx 1 \Rightarrow$  field of bunches nearly TEM wave
- → Bunch's electro-magnetic field induces a **traveling pulse** at the strips
- $\rightarrow$  Assumption: Bunch shorter than BPM,  $Z_{strip} = R_1 = R_2 = 50 \Omega$  and  $v_{beam} = c_{strip}$



LHC stripline BPM, I = 12 cm



From C. Boccard, CERN

## **Stripline BPM: General Idea**



#### For relativistic beam with $\beta \approx 1$ and short bunches:

- → Bunch's electro-magnetic field induces a **traveling pulse** at the strip
- $\rightarrow$  **Assumption:**  $I_{bunch} << I$ ,  $Z_{strip} = R_1 = R_2 = 50 \Omega$  and  $v_{beam} = c_{strip}$

#### Signal treatment at upstream port 1:

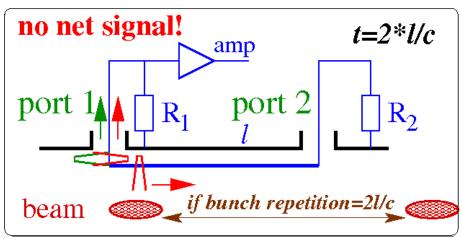
**t=0:** Beam induced charges at **port 1**:

 $\rightarrow$  half to  $R_1$ , half toward port 2

*t=l/c:* Beam induced charges at **port 2**:

- $\rightarrow$  half to  $R_2$ , **but** due to different sign, it cancels with the signal from **port 1**
- → half signal reflected

t=2·l/c: reflected signal reaches port 1



$$\Rightarrow U_1(t) = \frac{1}{2} \cdot \frac{\alpha}{2\pi} \cdot Z_{strip} \left( I_{beam}(t) - I_{beam}(t - 2l/c) \right)$$

If beam repetition time equals 2·I/c: reflected preceding port 2 signal cancels the new one:

- → no net signal at **port 1**
- Signal at downstream port 2: Beam induced charges cancel with traveling charge from port 1
- ⇒ Signal depends on direction ⇔ can distinguish between counter-propagation beams

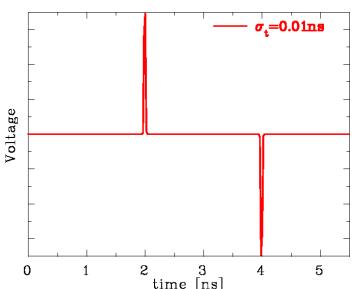
## **Stripline BPM: Transfer Impedance**

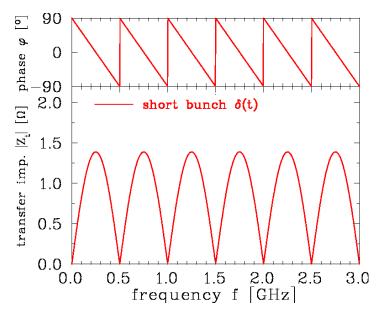


The signal from port 1 and the reflection from port 2 can cancel  $\Rightarrow$  minima in  $Z_t$ .

For short bunches  $I_{beam}(t) \rightarrow Ne \cdot \delta(t)$ :  $Z_t(\omega) = Z_{strip} \cdot \frac{\alpha}{2\pi} \cdot \sin(\omega l/c) \cdot e^{i(\pi/2 - \omega l/c)}$ 

Stripline length I=30 cm,  $\alpha=10^{\circ}$ 





- >  $Z_t$  show maximum at  $I=c/4f=\lambda/4$  i.e. 'quarter wave coupler' for bunch train  $\Rightarrow I$  has to be matched to  $v_{beam}$
- $\triangleright$  No signal for  $l=c/2f=\lambda/2$  i.e. destructive interference with **subsequent** bunch
- $\triangleright$  Around maximum of  $|Z_t|$ : phase shift  $\varphi=0$  i.e. direct image of bunch
- $F_{center} = 1/4 \cdot c/l \cdot (2n-1)$ . For first lope:  $f_{low} = 1/2 \cdot f_{center}$ ,  $f_{high} = 3/2 \cdot f_{center}$  i.e. bandwidth  $\approx 1/2 \cdot f_{center}$
- $\triangleright$  Precise matching at feed-through required to preserve 50  $\Omega$  matching.

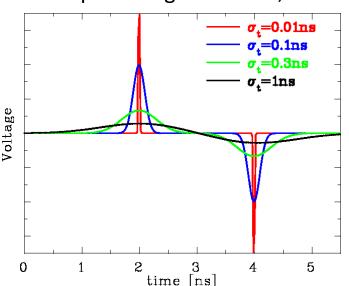
## **Stripline BPM: Transfer Impedance**

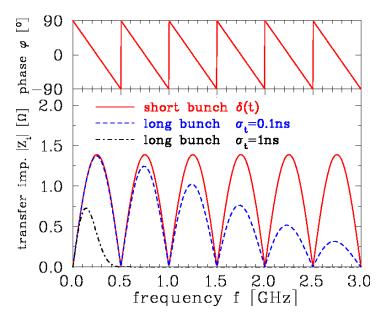


The signal from port 1 and the reflection from port 2 can cancel  $\Rightarrow$  minima in  $Z_t$ .

For bunches of length 
$$\sigma$$
:  $\Rightarrow Z_t(\omega) = Z_{strip} \cdot \frac{\alpha}{2\pi} \cdot e^{-\omega^2 \sigma^2/2} \cdot \sin(\omega l/c) \cdot e^{i(\pi/2 - \omega l/c)}$ 

Stripline length I=30 cm,  $\alpha=10^{\circ}$ 



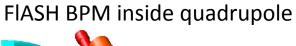


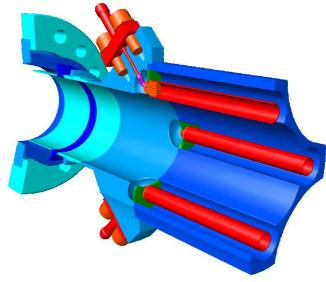
- $> Z_t(\omega)$  decreases for higher frequencies
- ightharpoonup If total bunch is too long  $\pm 3\sigma_t > I$  destructive interference leads to signal damping **Cure:** length of stripline has to be matched to bunch length

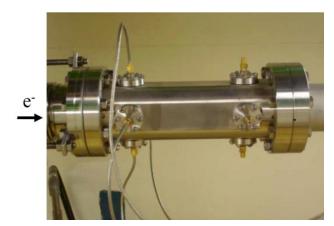
## **Comparison: Stripline and Button BPM (simplified)**



	Stripline	Button	
Idea	traveling wave	electro-static	
Requirement	Careful $\mathbf{Z_{strip}} = 50 \Omega$ matching		
Signal quality	Less deformation of bunch signal	Deformation by finite size and capacitance	
Bandwidth	Broadband, but minima	Highpass, but <b>f<sub>cut</sub> &lt;</b> 1 GHz	
Signal strength	Large Large longitudinal and transverse coverage possible		
Mechanics	Complex	Simple	
Installation	Inside quadrupole possible ⇒improving accuracy	Compact insertion	
Directivity	YES	No	







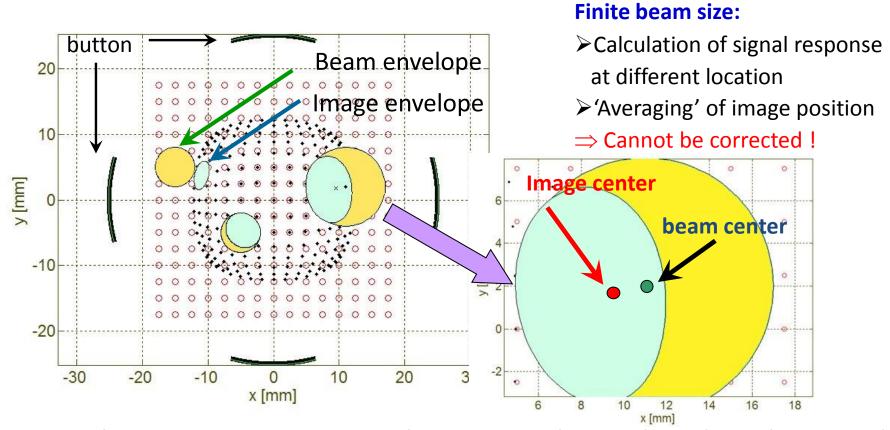
From . S. Vilkins, D. Nölle (DESY)

#### **Estimation of finite Beam Size Effect for Button BPM**



#### **Ideal 2-dim model:**

Due to the non-linearity, the beam size enters in the position reading.



**Remark:** For most LINACs: Linearity is less important, because beam has to be centered Position correction as feed-forward for next macro-pulse.