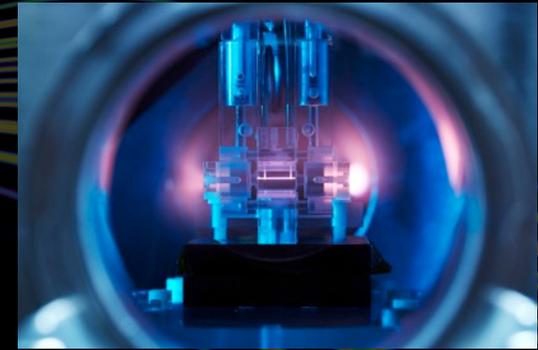


Beam Propagation

Effects and parameters of the accelerated beam



CAS High Gradient Wakefield Accelerators

11-22 March 2019, Sesimbra, Portugal

Ralph W. Aßmann

Leading Scientist Accelerator R&D
DESY

European Network for Novel Accelerators

EuroNNAc₃

supported by EU via ARIES

HELMHOLTZ
RESEARCH FOR GRAND CHALLENGES



European Research Council
Established by the European Commission



Accelerator on a Chip International Program



MATTER AND
TECHNOLOGIES



Contents

1. Accelerators – Ultra-High Gradients and High Frequency
2. The Plasma Linear Regime
3. The Energy Spread Challenge
4. Solutions
5. Conclusion

Contents

- 1. Accelerators – Ultra-High Gradients and High Frequency**
2. The Plasma Linear Regime
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How to Advance the Field of Particle Accelerators?

Looking for solutions

Hadron (p) circular collider

$$p = e \cdot R \cdot B_y$$

Increase bending field
SC bend magnet work (FCC-hh)

Increase radius = size (FCC-hh)

Lepton (e-,e+) circular collider

$$p \propto E_0 \cdot \sqrt[4]{\rho \cdot U_0}$$

Increase supplied RF voltage
(FCC-ee)

Increase mass of acc. particle (muon)

Increase radius = size (FCC-ee)

Lepton (e-,e+) linear collider

$$p = L \cdot G_{acc}$$

Increase accelerating gradient
(a) Pushing existing technology (ILC, CLIC)
(b) New regime of ultra-high gradients (plasma, dielectric accelerators)

Increase length (ILC, CLIC)

The R&D on Compact Accelerators

Looking for solutions

BIG factors → Novel concepts pursue transformative concepts that can open new horizons in energy reach for HEP research

Hadron (p) circular collider

$$p = e \cdot R \cdot B_y$$

Increase radius = size (FCC-hh)

Increase by SC bend m

Lepton circular collider

Factor 206.8 higher mass muon versus electron

Increase mass of acc. particle (muon)

$$p \propto E_0 \cdot \sqrt[4]{\rho \cdot U_0}$$

Increase supplied RF voltage (FCC-ee)

Increase radius =

Factor 100 – 1000 higher accelerating gradient

Lepton (e-,e+) linear collider

$$p = L \cdot G_{acc}$$

Increase length (ILC, CLIC)

Increase accelerating gradient

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The R&D on Compact Accelerators

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Increase length

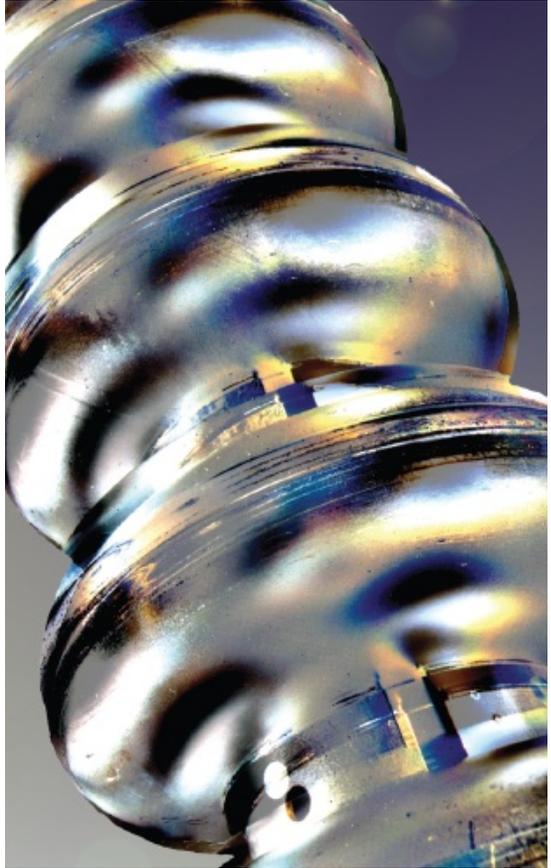
Increase length (ILC, CLIC)

(a) Pushing existing technology (ILC, CLIC)
 (b) New regime of ultra-high gradients (plasma, dielectric accelerators)

High Gradient – High Frequency – Small Dimensions

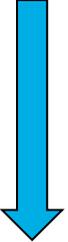
Understanding frequency bands and its basic properties

Band Designator	Frequency [GHz]	Gradient [MV/m]	Cell length [cm]	Comments
L band	1 to 2	24	15 – 7.5	This band is used by super-conducting RF technology. The dimensions are large, accelerating gradients are lower and disturbing wakefields are weak.
S band	2 to 4	21	7.5 – 3.8	Technology of the SLAC linac that was completed in 1966. This is still the technology behind many accelerators.



High Gradient – High Frequency – Small Dimensions

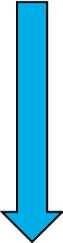
Understanding frequency bands and its basic properties

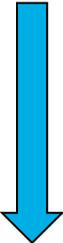
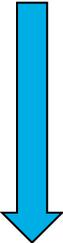
Band Designator	Frequency [GHz]	Gradient [MV/m]	Cell length [cm]	Comments
S band 	2 to 4	21	7.5 – 3.8	Technology of the SLAC linac that was completed in 1966. This is still the technology behind many accelerators.
C band	4 to 8	35	3.8 – 1.9	Newer technology developed in Japan and used for the construction of the SACLA linac in Japan.

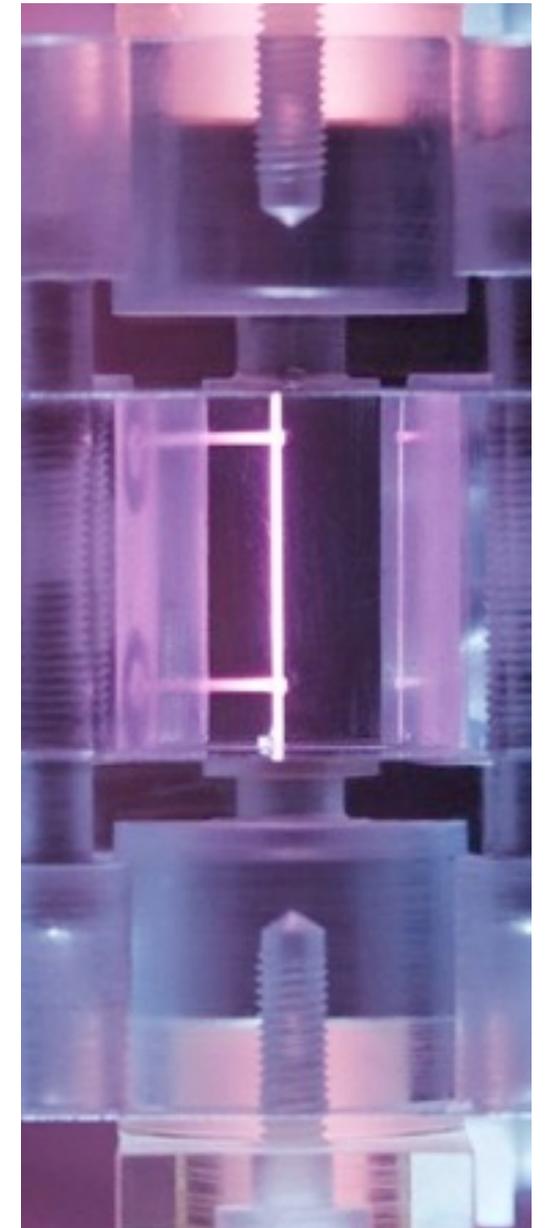


High Gradient – High Frequency – Small Dimensions

Understanding frequency bands and its basic properties

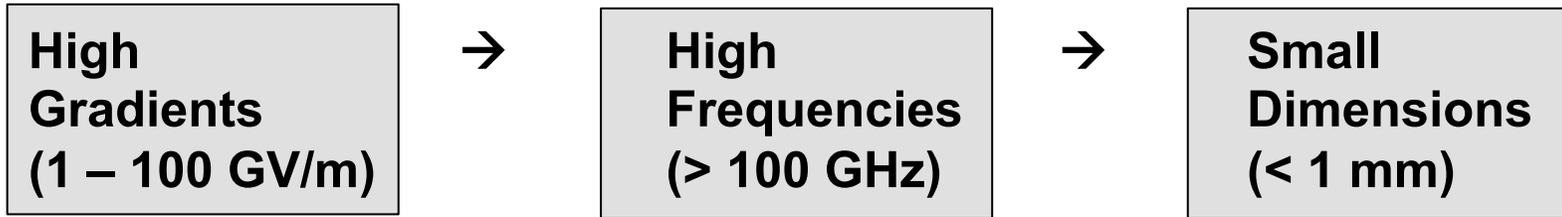
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X band	8 to 12	70 – 100	1.9 – 1.3	Technology developed from the 1990's onwards for linear collider designs, like NLC and CLIC. The cell length is up to a factor 10 shorter than in L band.

Band Designator	Frequency [GHz]	Gradient [MV/m]	Cell length [cm]	Comments
X band 	8 to 12	70 – 100	1.9 – 1.3	Technology developed from the 1990's onwards for linear collider designs, like NLC and CLIC. The cell length is up to a factor 10 shorter than in L band.
Ku band	12 to 18	n/a	1.3 – 0.8	
K band	18 to 27	n/a	0.8 – 0.6	
Ka band 	27 to 40	70	0.6 – 0.4	Investigated for a possible CLIC linear collider technology at 30 GHz but abandoned after damage problems.
V band	40 to 75	n/a	0.4 – 0.2	
W band	75 to 110	> 1000	0.2 – 0.1	Advanced acceleration



High Gradient – High Frequency – Small Dimensions

Powering novel accelerators



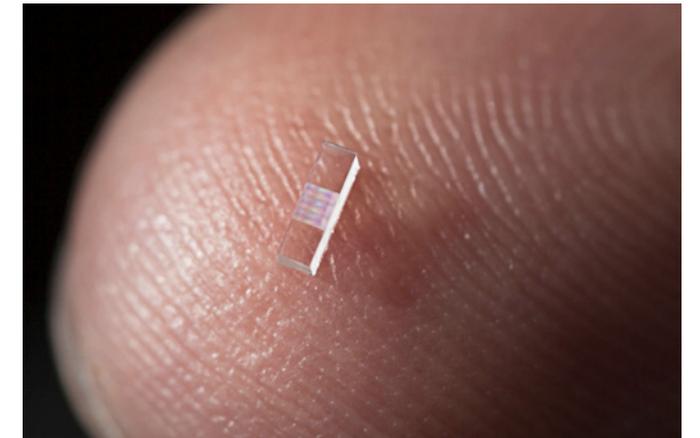
- No **klystrons** for high frequencies!
- Use **particle bunches or laser pulses** as drivers.
- Material limitations solved through “new cavities”: dielectric materials, plasma cavities, ...
- **Two main directions:**

1 Microstructure Accelerator

Laser- or beam driven
Vacuum accelerators
Conventional field design

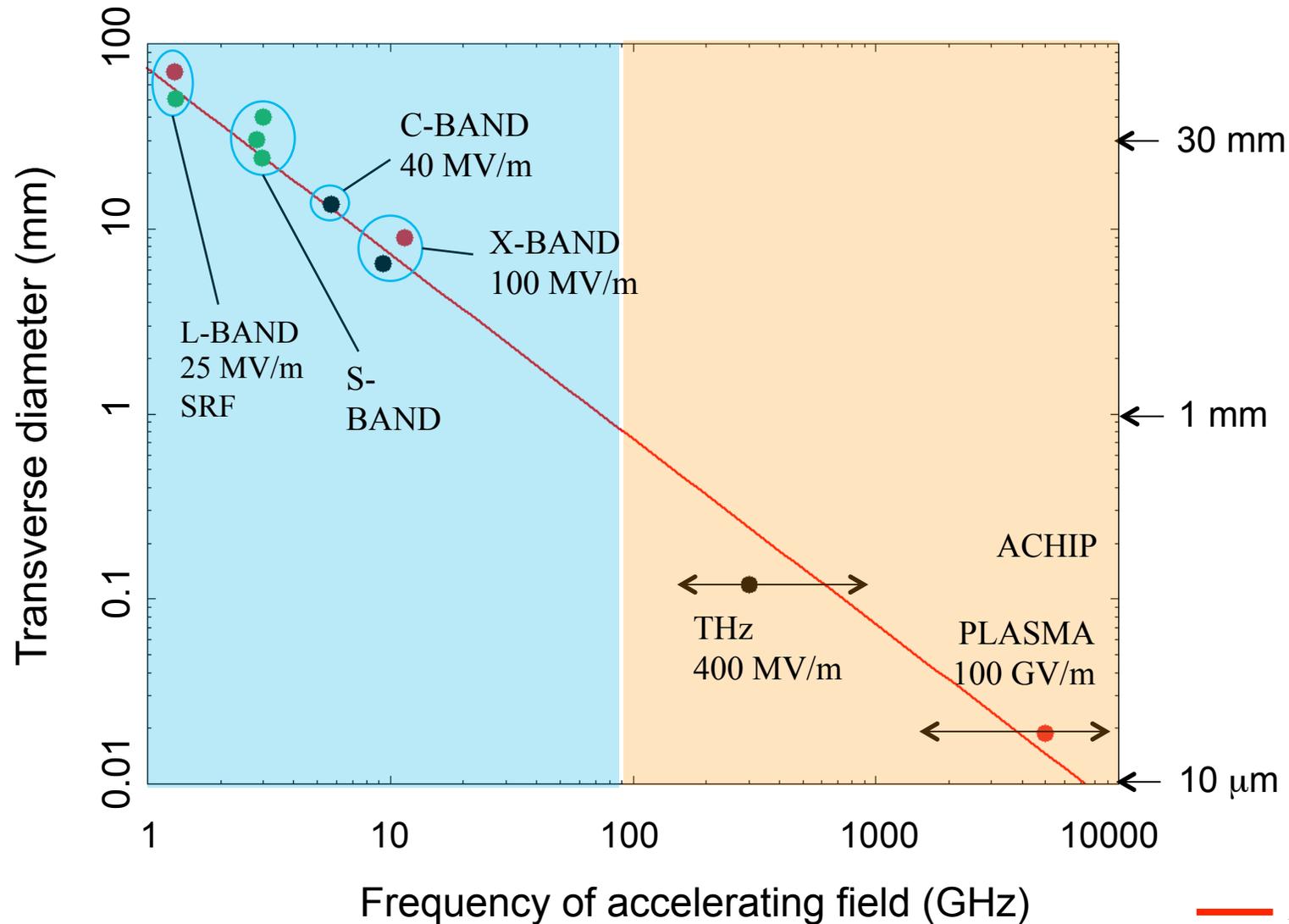
2 Plasma Accelerator

Laser- or beam driven
Dynamic Plasma Structure
Plasma field calculations



Accelerators: RF and Novel Regimes

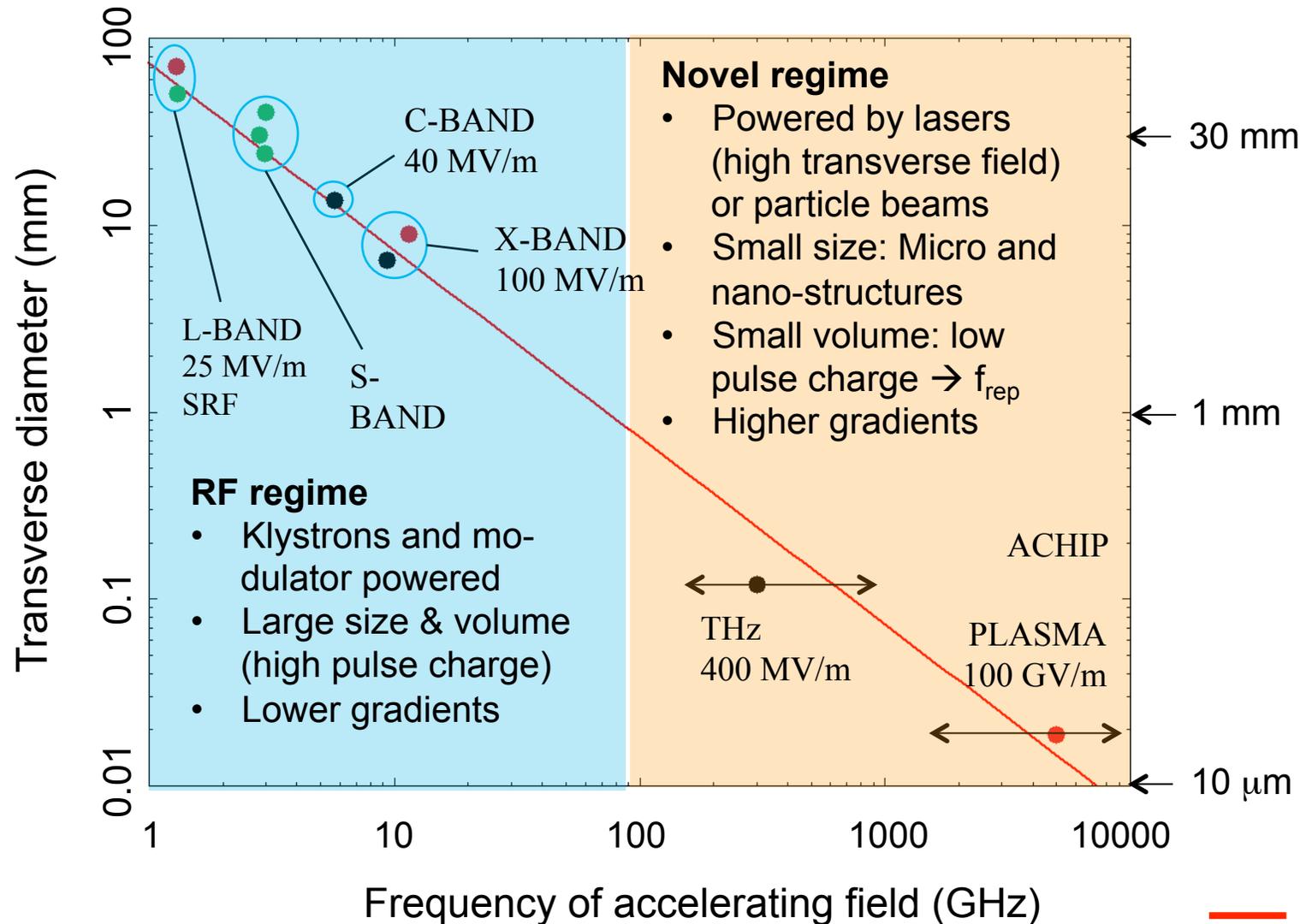
High Gradients – High Frequencies – Small Dimensions



— Fit based on the analytical law for the cavity diameter with the TM_{010} mode divided by π

Accelerators: RF and Novel Regimes

High Gradients – High Frequencies – Small Dimensions



RF regime:

- **SRF**: High quality, high average power acceleration, long trains \rightarrow CW (ST1)
- **S/X band**: Generate high brightness beams for all purposes, ultra-fast science and diagnostics (ST3), injector for novel accelerators (ST4)

Novel regime:

- Novel drivers, in particular **high tech lasers** for compact photon science and medical applications. (ST4)
- **RF beam drivers** mainly for HEP or other high average power. (ST4)
- **Compact** foot-print, low pulse charge, **high repetition rate**. (ST4)
- **Challenges of micro and nano dimensions** – assess with modern tools (synergy with ultra-fast).

Challenges of High Frequency: α Parameter

In Ultra-High Gradient Structures

- Kwang-Je Kim introduced in 1989 a **parameter alpha** that is easily calculated and governs the whole **longitudinal beam dynamics** of a photo-injector.
- Jamie Rosenzweig and Eric Colby:
 - This immediately gives the result that the scaling of an rf design with wavelength implies that **α must be kept constant as the wavelength is varied**
- Klaus Flöttmann (PRSTAB 2015):
 - For $\alpha \geq 1$, the particle dynamics shows relativistic effects within one period of the wave.
 - **Hence, α is typically 1.5–2.0.** It is instructive to make a rough estimate of the energy gain in the vicinity of the cathode in the gun.
- 1000 times higher frequency \rightarrow 1000 times higher gradient required

Nuclear Instruments and Methods in Physics Research A275 (1989) 201–218
North-Holland, Amsterdam

201

RF AND SPACE-CHARGE EFFECTS IN LASER-DRIVEN RF ELECTRON GUNS

Kwang-Je KIM

Accelerator and Fusion Research Division, Lawrence Berkeley Laboratory, University of California, Berkeley, CA 94720, USA

Received 9 September 1988

Electron charge

Magnitude accelerating field

$$\alpha = \frac{eE_0}{2m_e c^2 k}$$

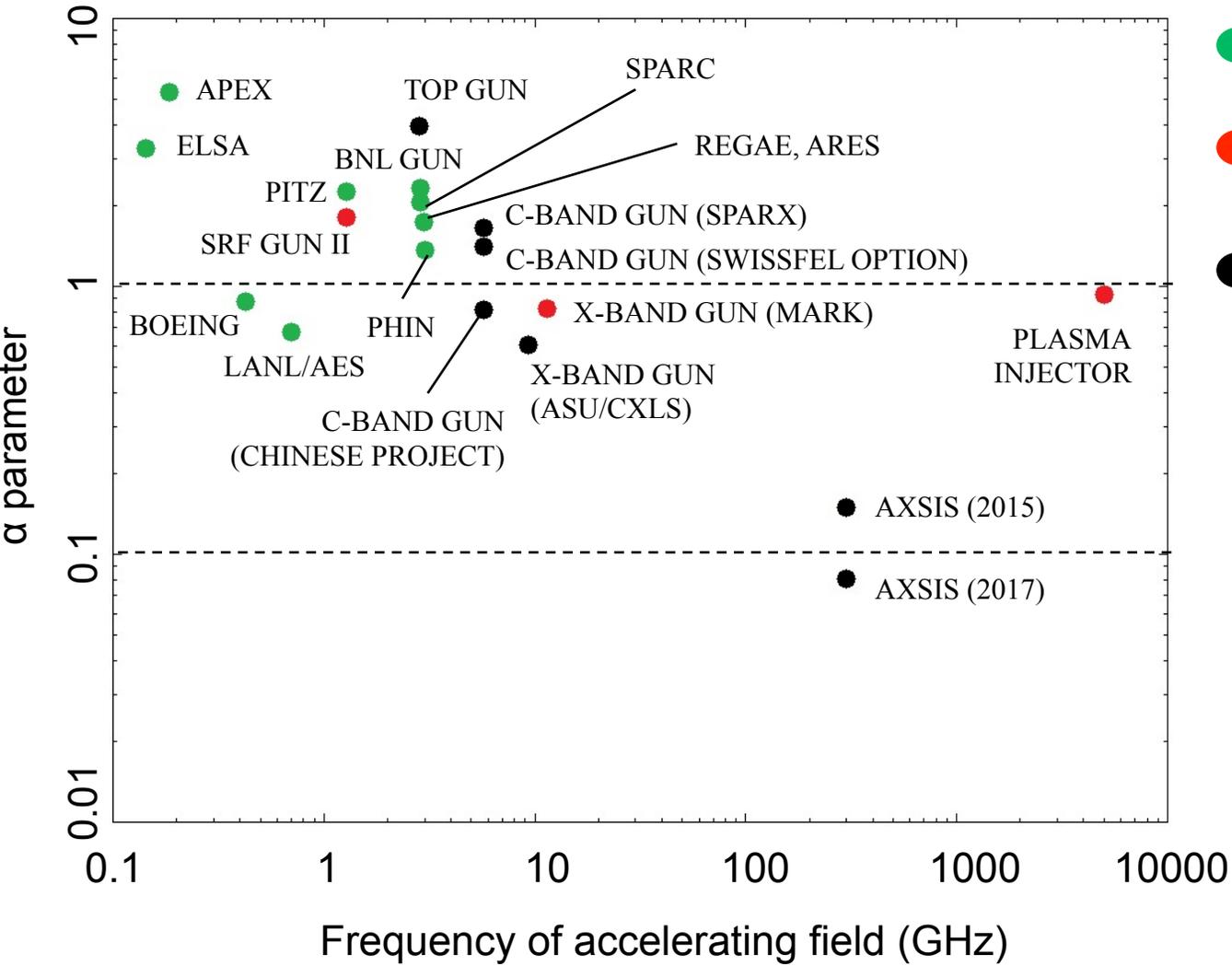
Electron mass

Light velocity

RF wave number
= ω/c
(here is the frequency,
e.g. 3000 GHz)

Challenges of High Frequency: α Parameter

In Ultra-High Gradient Structures



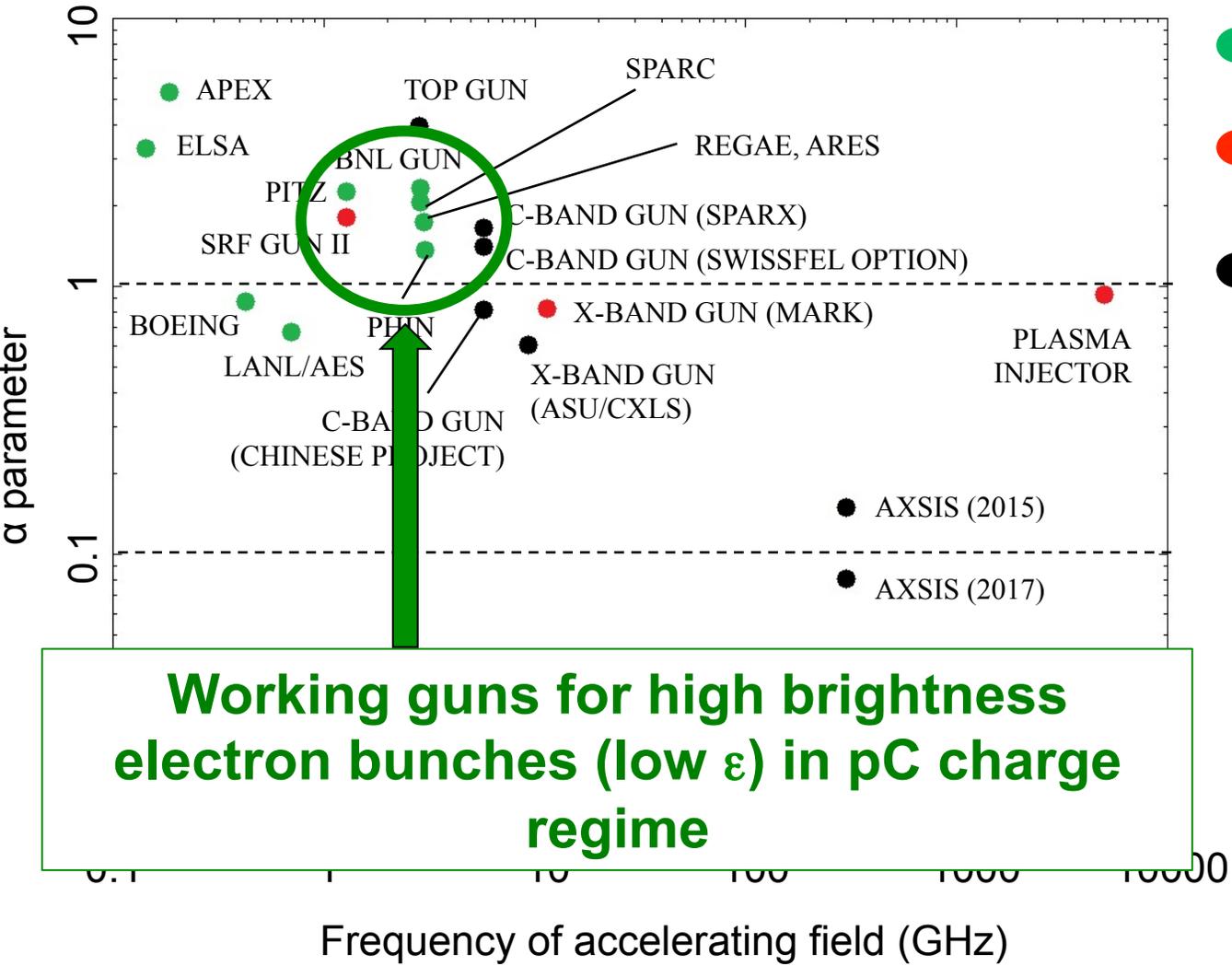
- Routinely working
- Under development
- Under design

$$\alpha = \frac{\text{acceleration}}{\text{wavelength}}$$

Challenges of High Frequency: α Parameter

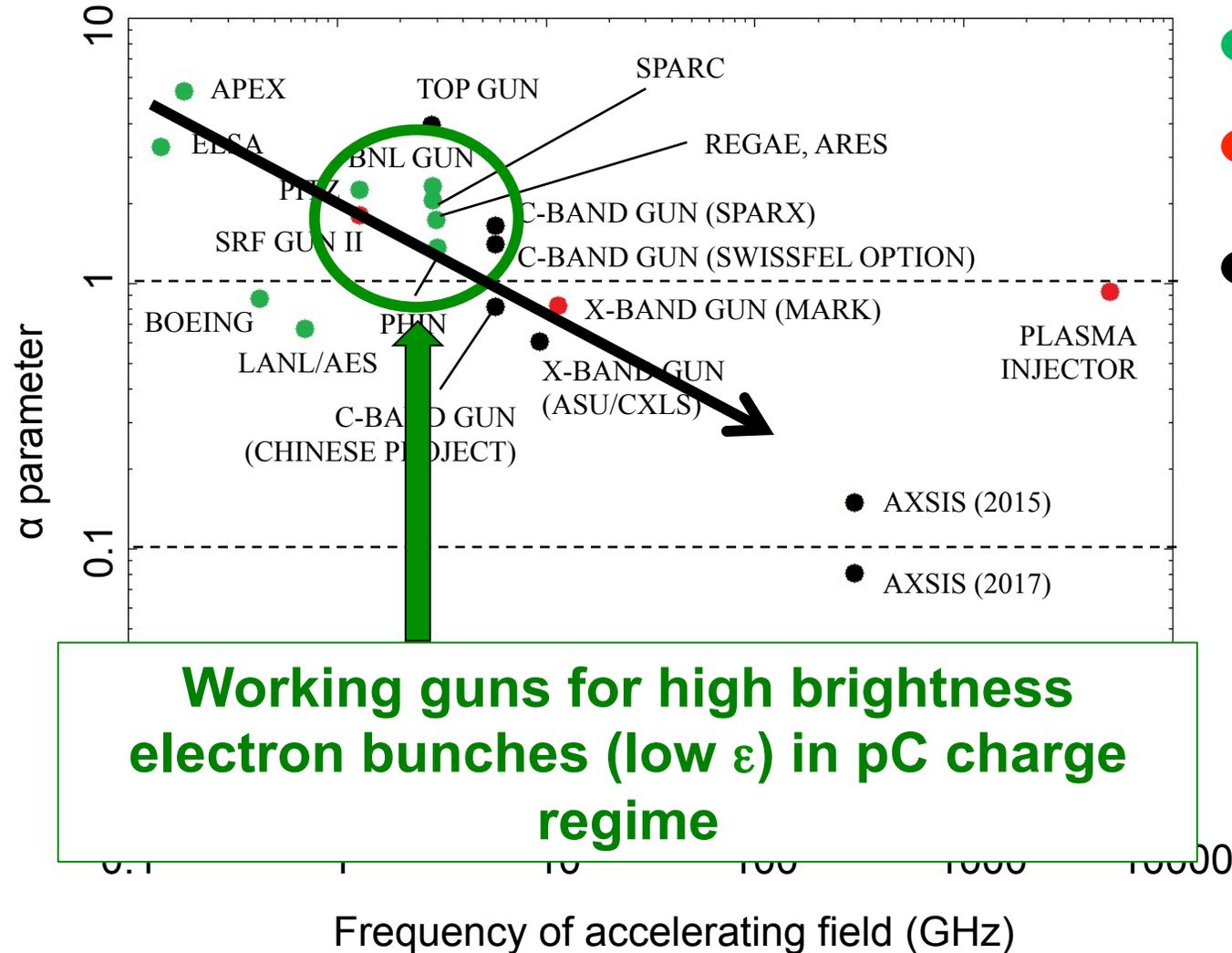
In Ultra-High Gradient Structures

α
=
acceleration
compared to one
wavelength



Challenges of High Frequency: α Parameter

In Ultra-High Gradient Structures



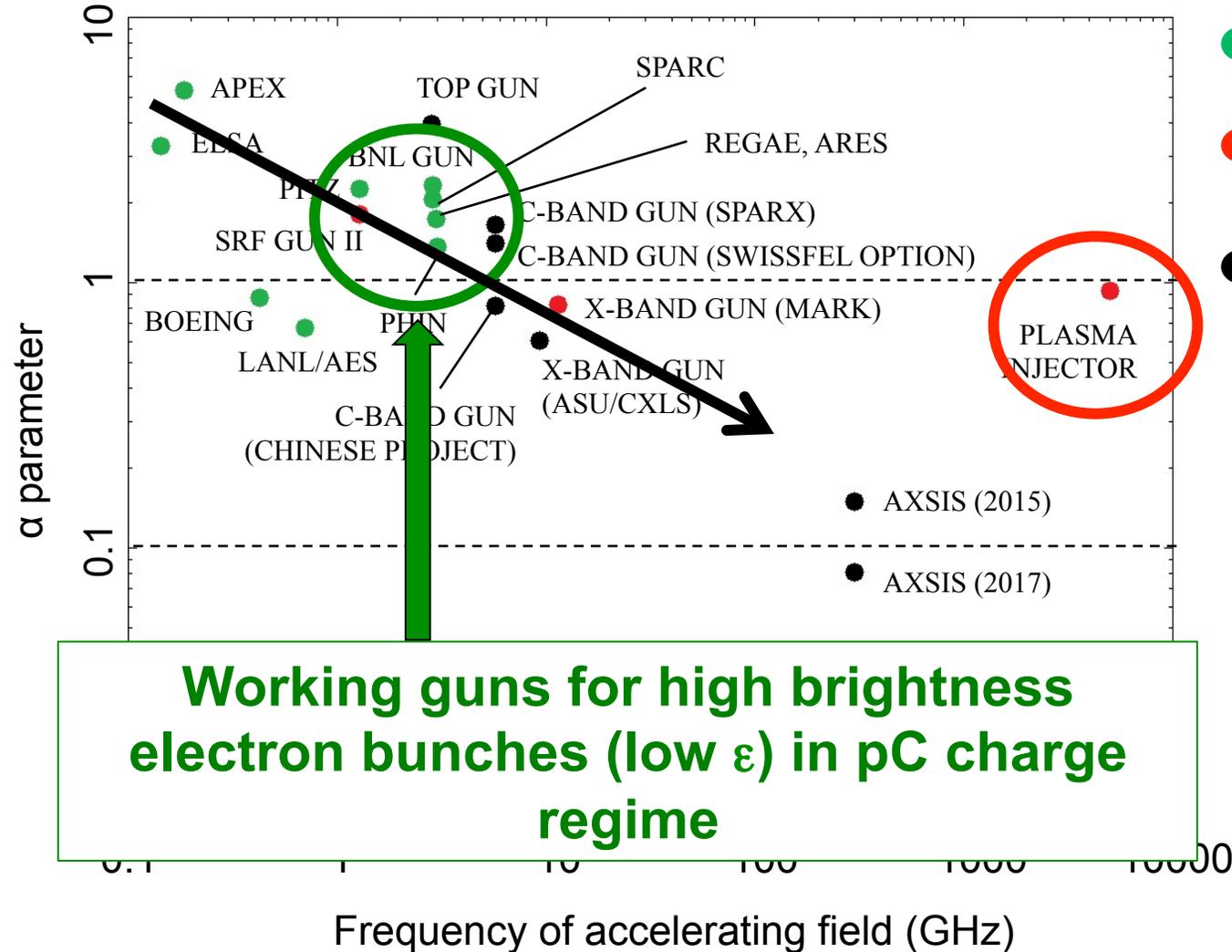
- Routinely working
- Under development
- Under design

$$\alpha = \frac{\text{acceleration}}{\text{wavelength}}$$

- General trend to lower α for higher frequency accelerators (C \rightarrow X \rightarrow W band).
- Consequence: Problem to miniaturize the injector \rightarrow big injector on small accelerator

Challenges of High Frequency: α Parameter

In Ultra-High Gradient Structures



- Routinely working
- Under development
- Under design

$$\alpha = \frac{\text{acceleration}}{\text{wavelength}}$$

- General trend to lower α for higher frequency accelerators (C \rightarrow X \rightarrow W band).
- Consequence: Problem to miniaturize the injector \rightarrow big injector on small accelerator
- Plasma injectors fulfill α criterion quite well \rightarrow very high frequency but at the same time very high accelerating field
- Potential to provide high quality beam

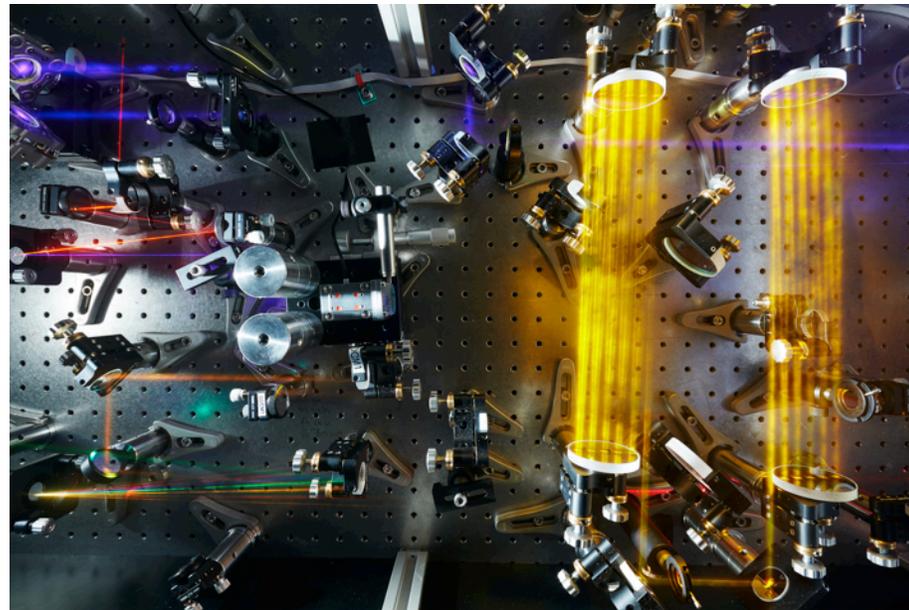
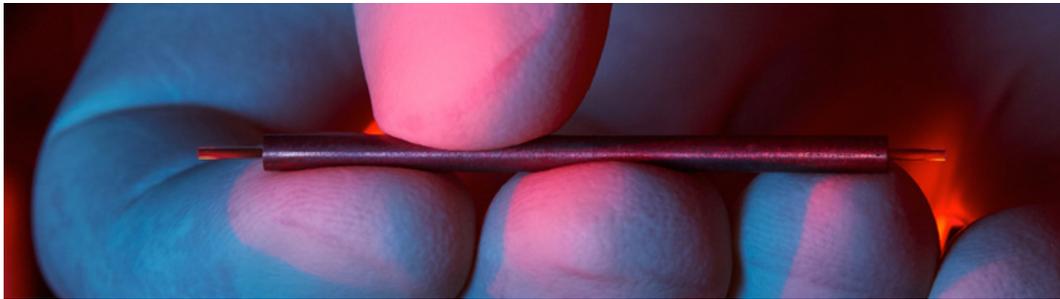
Laser-Driven Micro Structures (Vacuum - THz)

Vacuum dielectric accelerator

- 1 GeV/m possible but low absolute energies achieved so far
- **AXSIS project (ERC synergy grant)** at DESY/ Uni Hamburg: THz laser-driven accelerator with atto-second science → *Kärtner/Fromme/Chapman/Assmann*



European Research Council
Established by the European Commission

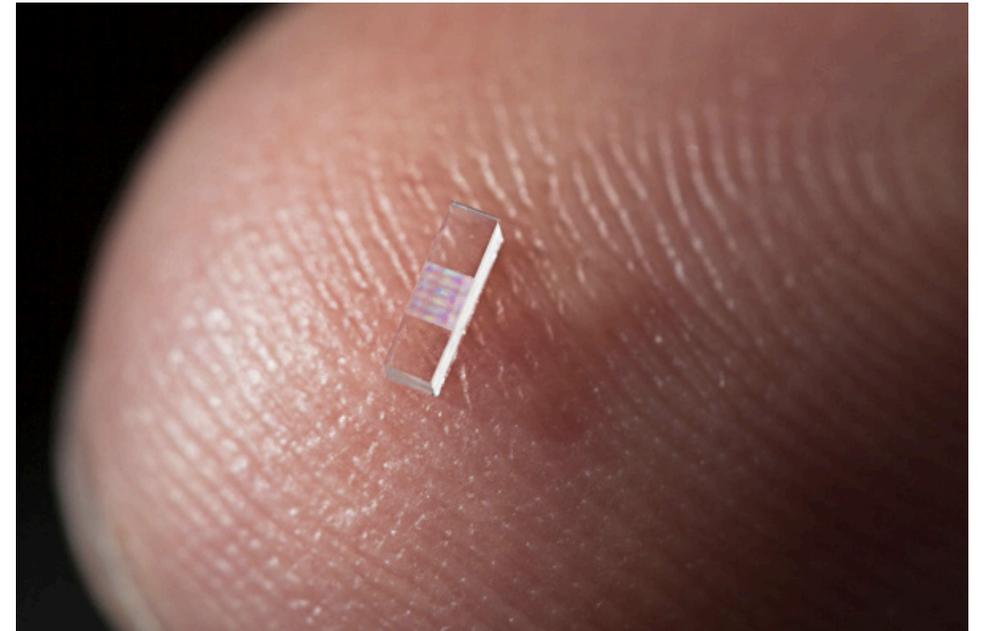


SMALL DIMENSIONS

Laser-Driven Micro Structures (Vacuum - Optical)

Vacuum dielectric accelerator

- **“Accelerator on a Chip”** grant from Moore foundation for work by/at Stanford, SLAC, University Erlangen, DESY, University Hamburg, PSI, EPFL, University Darmstadt, CST, UCLA
- Lasers drive **structures that are engraved on microchips** (e.g. Silicium)
- Major breakthroughs can be envisaged:
 - **Mass production**
 - **Implantable accelerators** for in-body irradiation of tumors
 - Accelerators for **outer space**



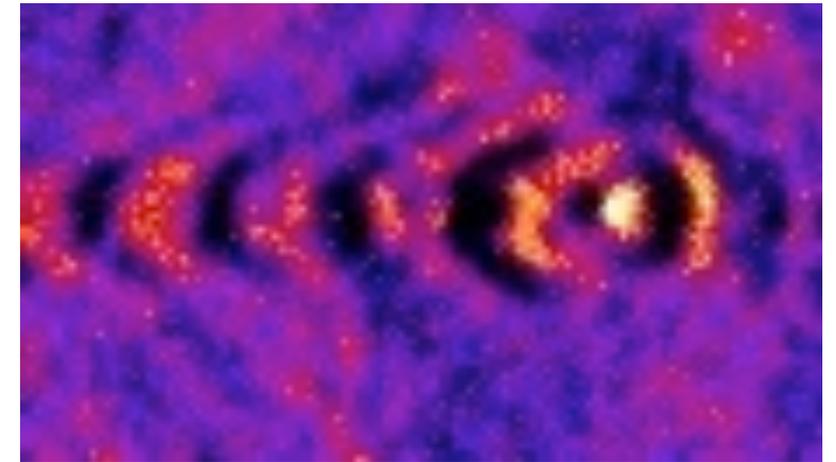
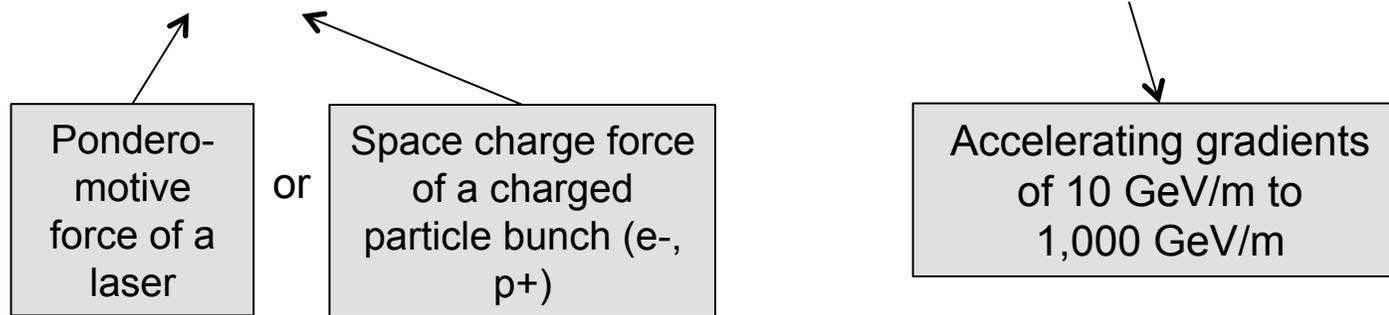
SMALL DIMENSIONS

The Plasma Accelerator

Overcome high-field limitations of metallic walls with dynamic plasma structures (undestructible)

New idea in 1979 by Tajima and Dawson: Wakefields inside a homogenous plasma can convert

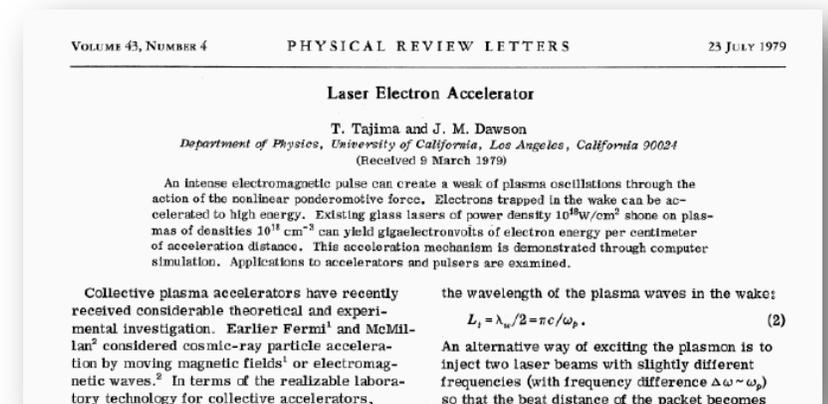
transverse forces into longitudinal accelerating fields



Courtesy M. Kaluza

Options for driving wakefields:

- **Lasers:** Industrially available, steep progress, path to low cost
Limited energy per drive pulse (up to **50 J**)
- **Electron bunch:** Short bunches (need μm) available, need long RF accelerator
More energy per drive pulse (up to **500 J**)
- **Proton bunch:** Only long (inefficient) bunches, need very long RF accelerator
Maximum energy per drive pulse (up to **100,000 J**)

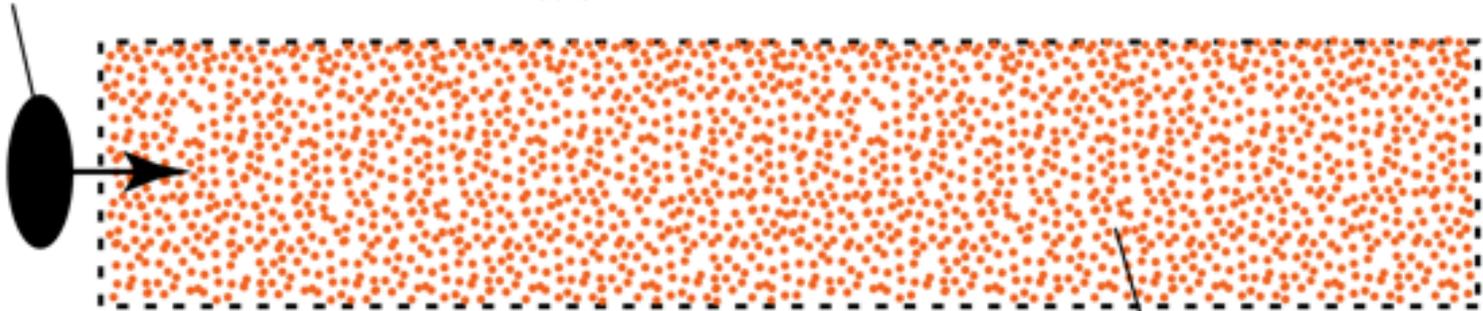


Laser Plasma-Acceleration

Internal injection

Works the same way with an **electron beam as wakefield driver**. But then usually lower plasma density. Ponderomotive force of laser is then replaced with space charge force of electrons on plasma electrons (repelling).

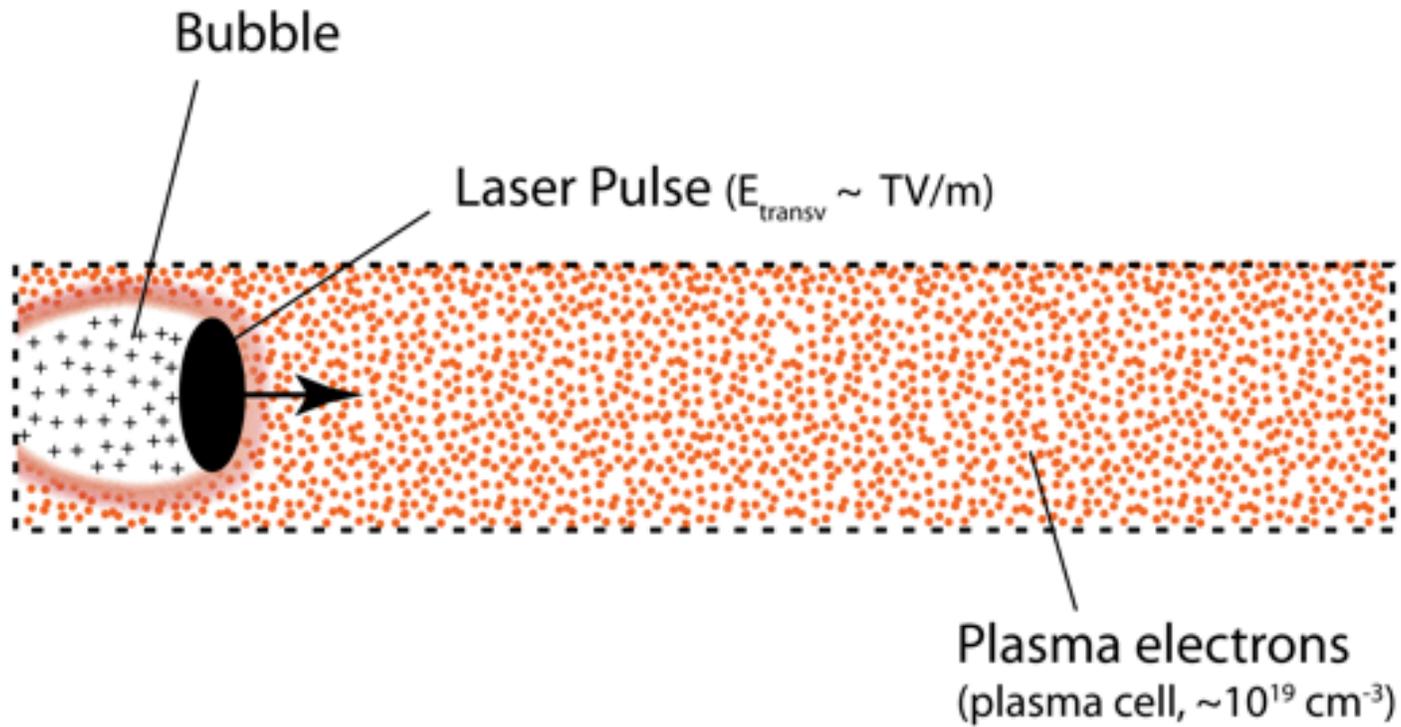
Laser Pulse (200 TW, ~30 fs, $E_{\text{transv}} \sim \text{TV/m}$)



Plasma electrons
(plasma cell, $\sim 10^{19} \text{ cm}^{-3}$)

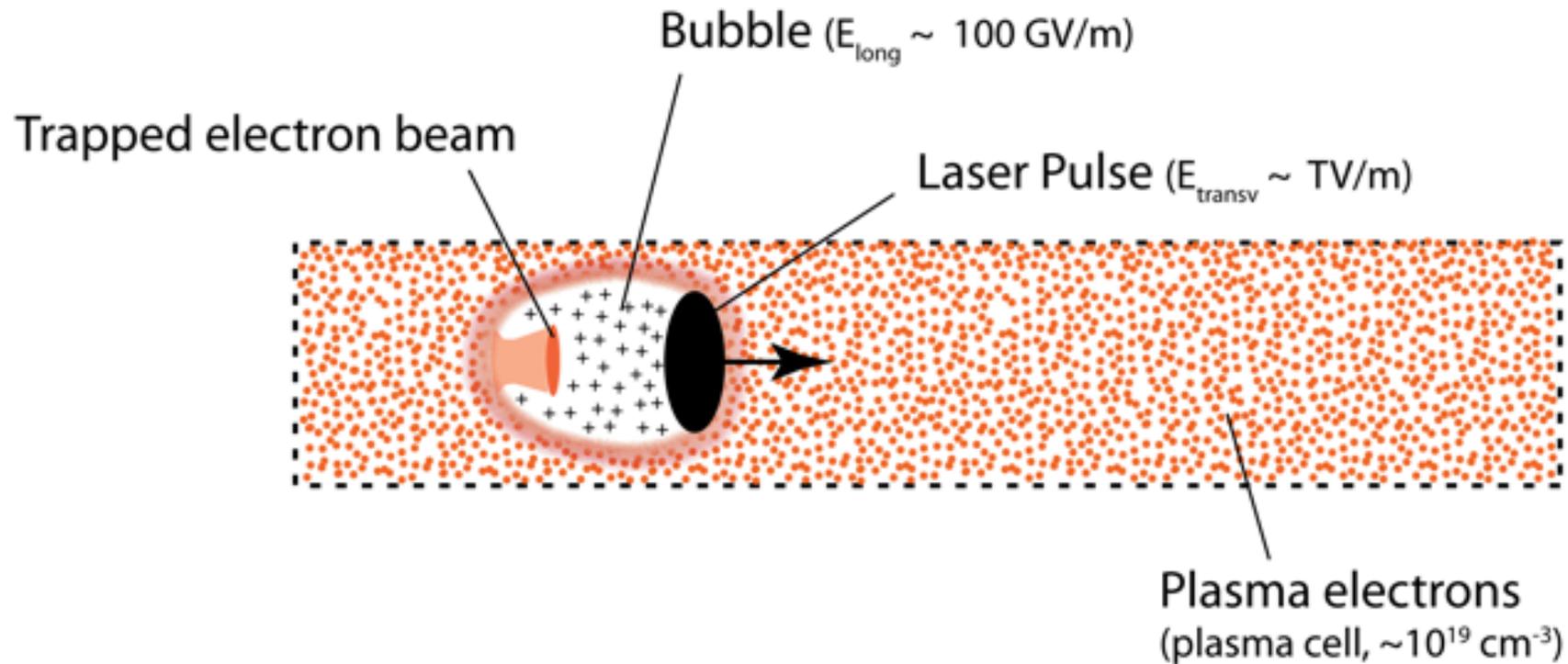
Laser Plasma-Acceleration

Internal injection



Laser Plasma-Acceleration

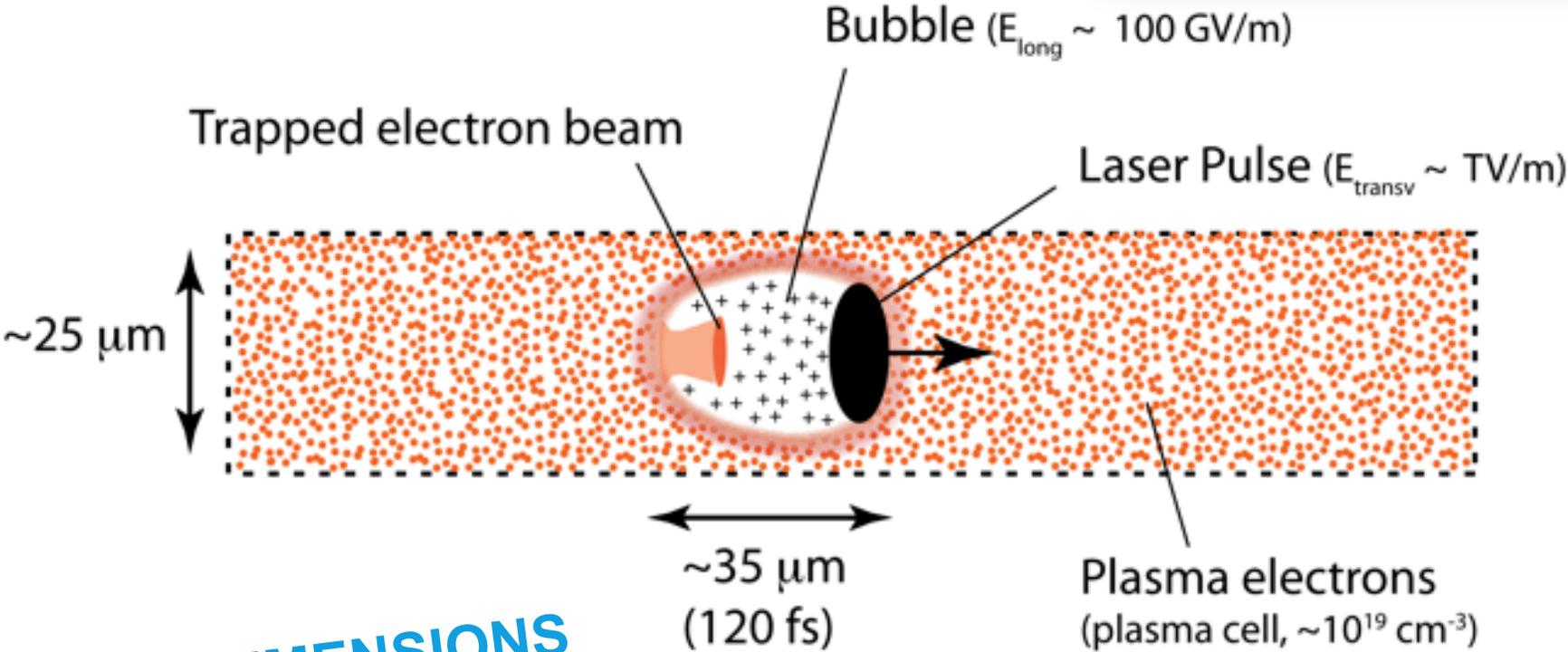
Internal injection → strong fields in the bubble suck in plasma electrons to form the electron beam



Laser Plasma-Acceleration

Internal injection

This accelerator fits into a human hair

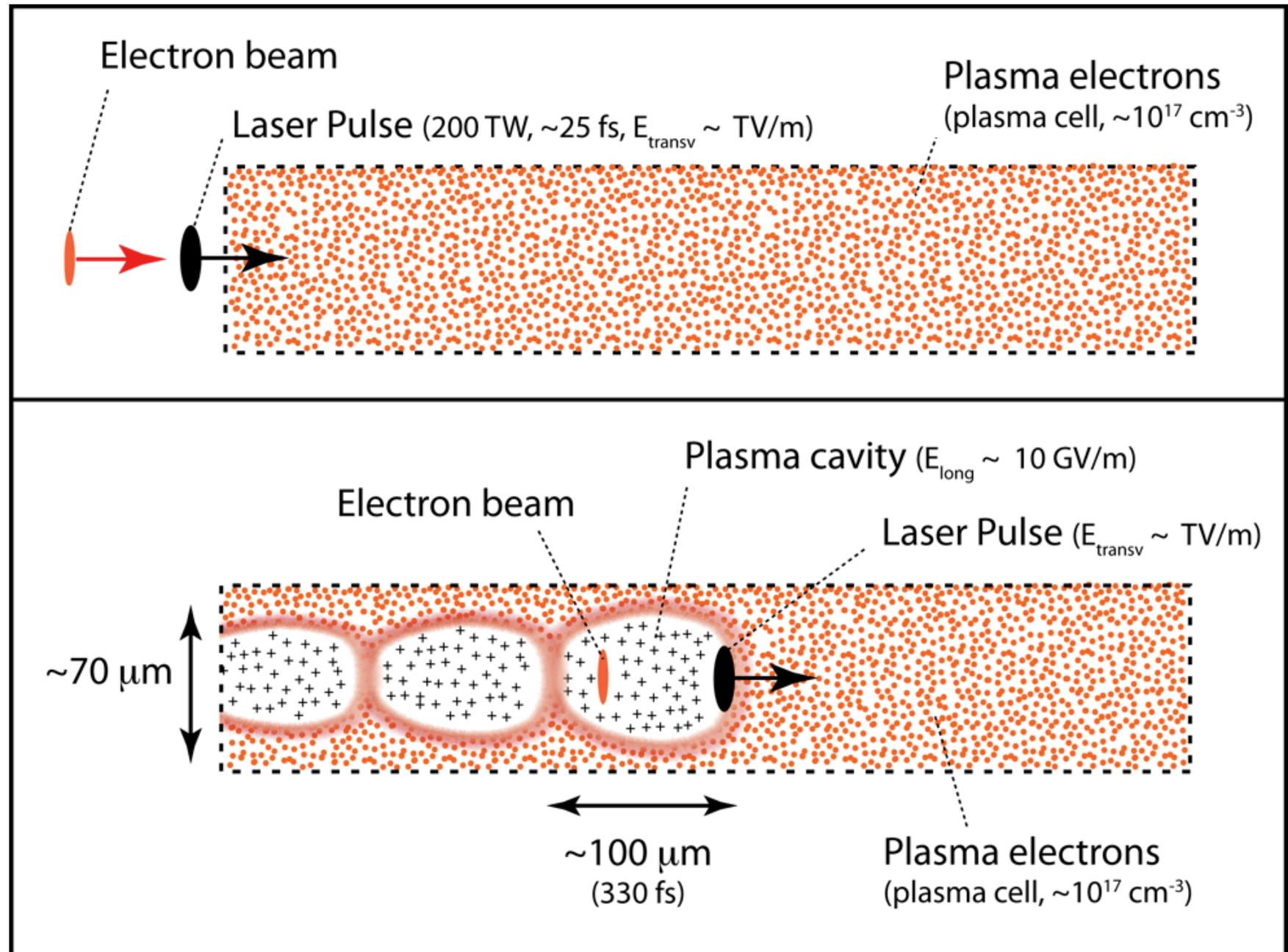


SMALL DIMENSIONS

Laser Plasma Acceleration

External injection

SMALL DIMENSIONS



Challenges of Small Dimensions

In Ultra-High Gradient Structures

- We like to build small accelerators and small they are with consequences for the electron beam:
 - With **high RF frequency** we get very **small RF wavelength**.
 - To fit the short wavelength the **bunch length must scale down** to achieve small energy spread.
 - The transverse dimensions of the hole for the beam (**aperture**) **also shrink down rapidly** with the higher frequency as a consequence of the short wavelength.
 - In order to fit into the aperture the **beam size must shrink** with higher frequency.
 - As beam emittance is invariant we need **very strong focusing** to reduce and maintain the small transverse electron beam size.
- Therefore high frequency accelerators require small 3D beam volumes (high density) and very strong focusing.

K.J. Kim:

Thus it usually will be necessary to focus the beam immediately after leaving the cavity.

Scaling Laws with Accelerating Wavelength λ

Rosenzweig and Colby – here assume a factor 1000 higher frequency \rightarrow 1000 times shorter wavelength

Parameter

Required for higher frequency

• Acc. gradient:	$E_0 \propto \lambda^{-1}$	Higher gradients	x 1000
• Bunch length:	$\sigma_z \propto \lambda$	Short bunch length	/ 1000
• Focusing field:	$B \propto \lambda^{-1}$	High focusing field (Solenoid)	x 1000
• Bunch transv. size:	$\sigma_{x,y} \propto \lambda$	Small beam size	/ 1000

Can we a lot of charge in an ever smaller volume?

Space Charge

The Coulomb Force and Magnetic Attraction

- We have just seen that we squeeze the electrons into a smaller and smaller volume for high frequency RF accelerators.
- Consider two electrons of charge e at rest with distance r : they will experience repulsion due to the **Coulomb force**.

$$F_{coulomb} = \frac{1}{4\pi\epsilon_0} \frac{e^2}{r^2}$$

← Distance r matters

- When travelling with velocity v : we then have **two parallel currents**: $I = v \cdot e$
which attract each other through their magnetic fields.
- This we call the **space charge force** or just **space charge**.
- It is always repulsive but cancel if particles travel with $v = c$. **Space charge very large at low energy, disappears at high energy.**

Space Charge

The Coulomb Force and Magnetic Attraction

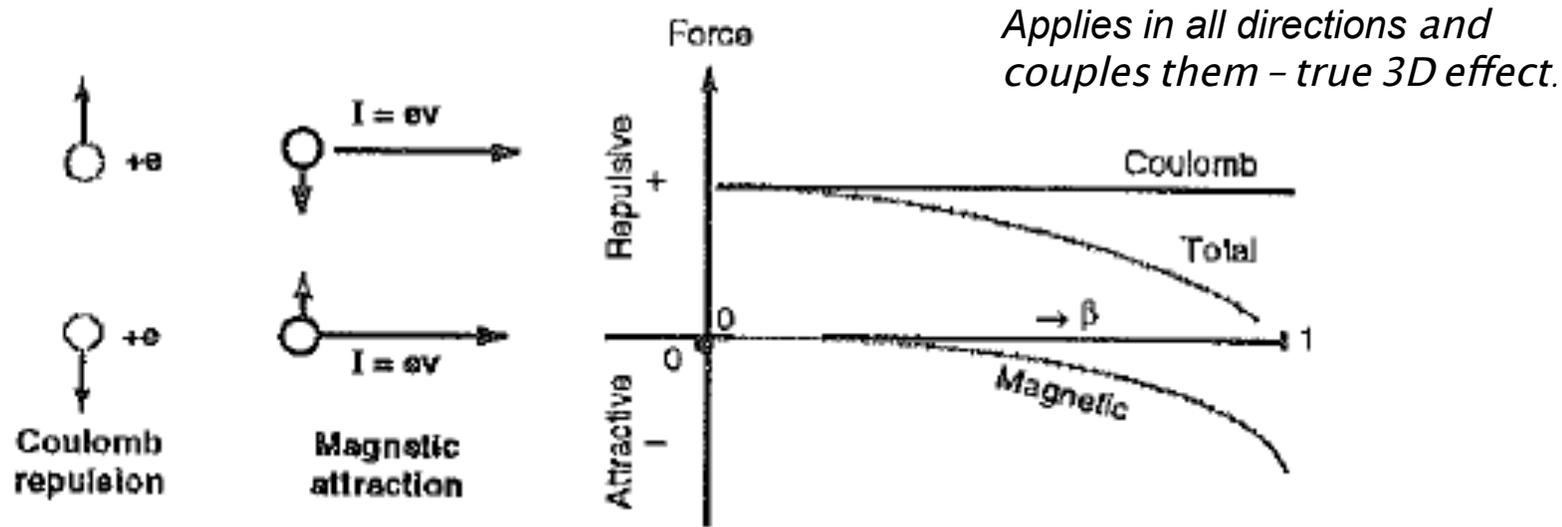


Figure 1: Coulomb repulsion and magnetic attraction between two particles of equal charge, at rest and travelling.

From K.H. Schindl,
"Space Charge",
CERN

Coulomb force and magnetic attraction (= space charge) must be included for meaningful predictions.
They decide achievable performance!

Space Charge

The Coulomb Force and Magnetic Attraction

- Defocusing wave number (defocusing forces on bunch):

$$K_{sc}^2 = \left[\frac{2c}{I_0 \beta^2 \gamma^3} \right] \left[\frac{Q}{g \sigma_z \sigma_x^2} \right] f\left(\frac{\sigma_x}{\beta \gamma \sigma_z}\right)$$

Diagram illustrating the components of the space charge defocusing wave number K_{sc}^2 :

- Bunch independent constant**: $\frac{2c}{I_0 \beta^2 \gamma^3}$
- Charge**: Q
- Dependent on bunch aspect ratio**: $f\left(\frac{\sigma_x}{\beta \gamma \sigma_z}\right)$
- Energy**: γ
- Distribution shape dependent constant**: g
- Bunch length**: σ_z
- Bunch transverse size**: σ_x

From **scaling laws with acceleration wavelength** (1000 times smaller) we had:

- 1000 times smaller **transverse beam size**
e.g. **100 μm \rightarrow 100 nm**
- 1000 times shorter **bunch length**
e.g. **100 μm \rightarrow 100 nm**

Aim at same defocusing space charge force:

- At the same energy γ we get **1000 times less charge** for **same quality**!?
- Not fully true \rightarrow gain from very high accelerating gradient (quickly accelerate to high energy)

Contents

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Linear Wakefields (R. Ruth / P. Chen 1986)

The formulae behind it all

$$\mathcal{E}_z \simeq -A \left(1 - \frac{r^2}{a^2}\right) \cos(k_p z - \omega_p t) \quad r \ll a$$

$$\mathcal{E}_r \simeq 2A \frac{r}{k_p a^2} \sin(k_p z - \omega_p t)$$

$$A = \begin{cases} \frac{\omega_p \tau k_p e E_0^2}{8\omega^2 m} & PBWA \\ \frac{8eN}{a^2} & PWFA \end{cases}$$

ϵ	= electrical field
z	= long. coord.
r	= radial coord.
a	= driver radius
ω_p	= plasma frequency
k_p	= plasma wave number
t	= time variable
e	= electron charge
N	= number e- drive bunch
ω	= laser frequency
τ	= laser pulse length
E_0	= laser electrical field
m	= mass of electron

Can be analytically solved and treated. Here comparison beam-driven (PWFA) and laser-driven (beat wave = PBWA).

Linear Wakefields (R. Ruth / P. Chen 1986)

The formulae behind it all

Accelerating field

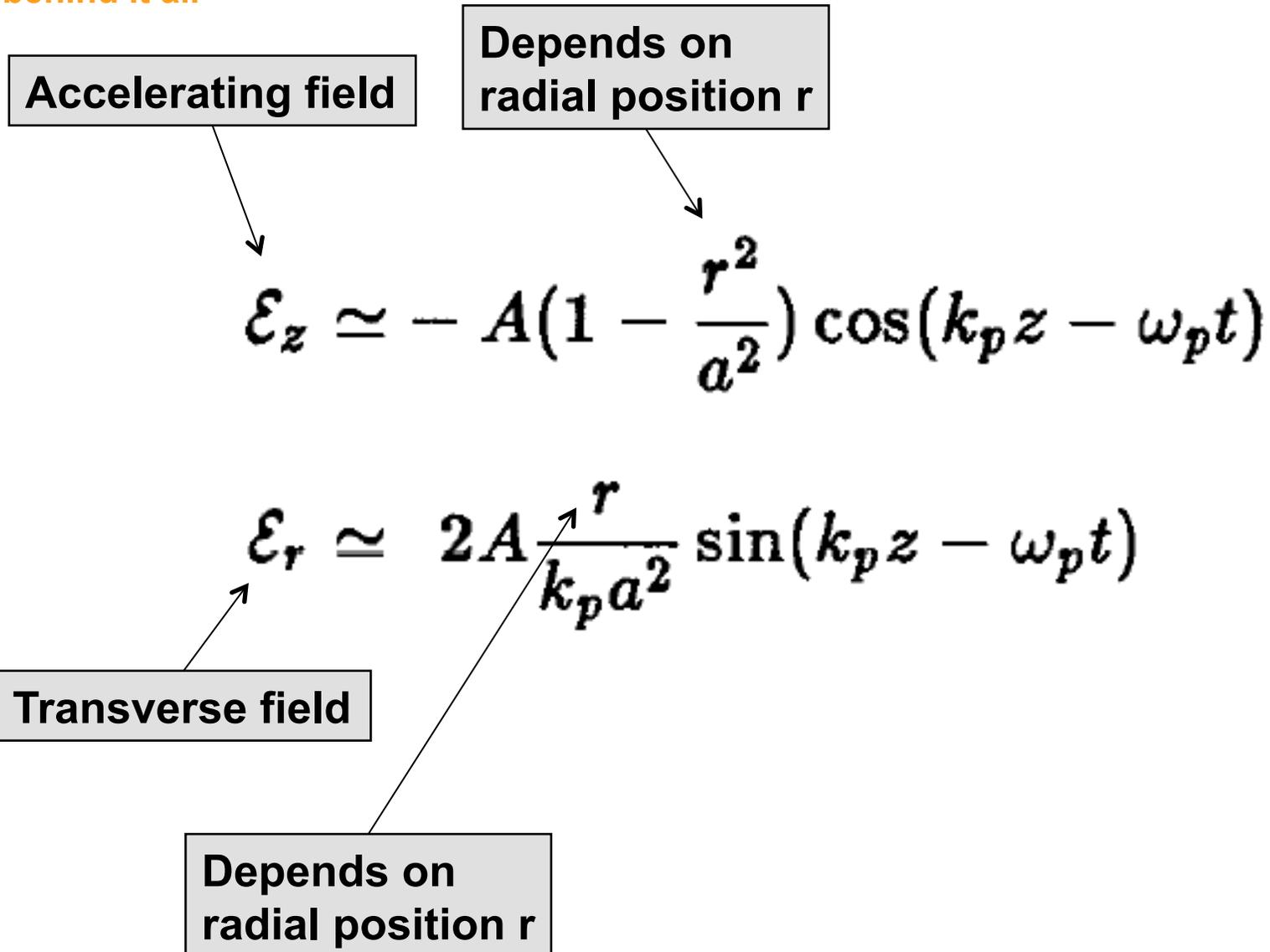
$$\mathcal{E}_z \simeq -A \left(1 - \frac{r^2}{a^2}\right) \cos(k_p z - \omega_p t)$$

$$\mathcal{E}_r \simeq 2A \frac{r}{k_p a^2} \sin(k_p z - \omega_p t)$$

Transverse field

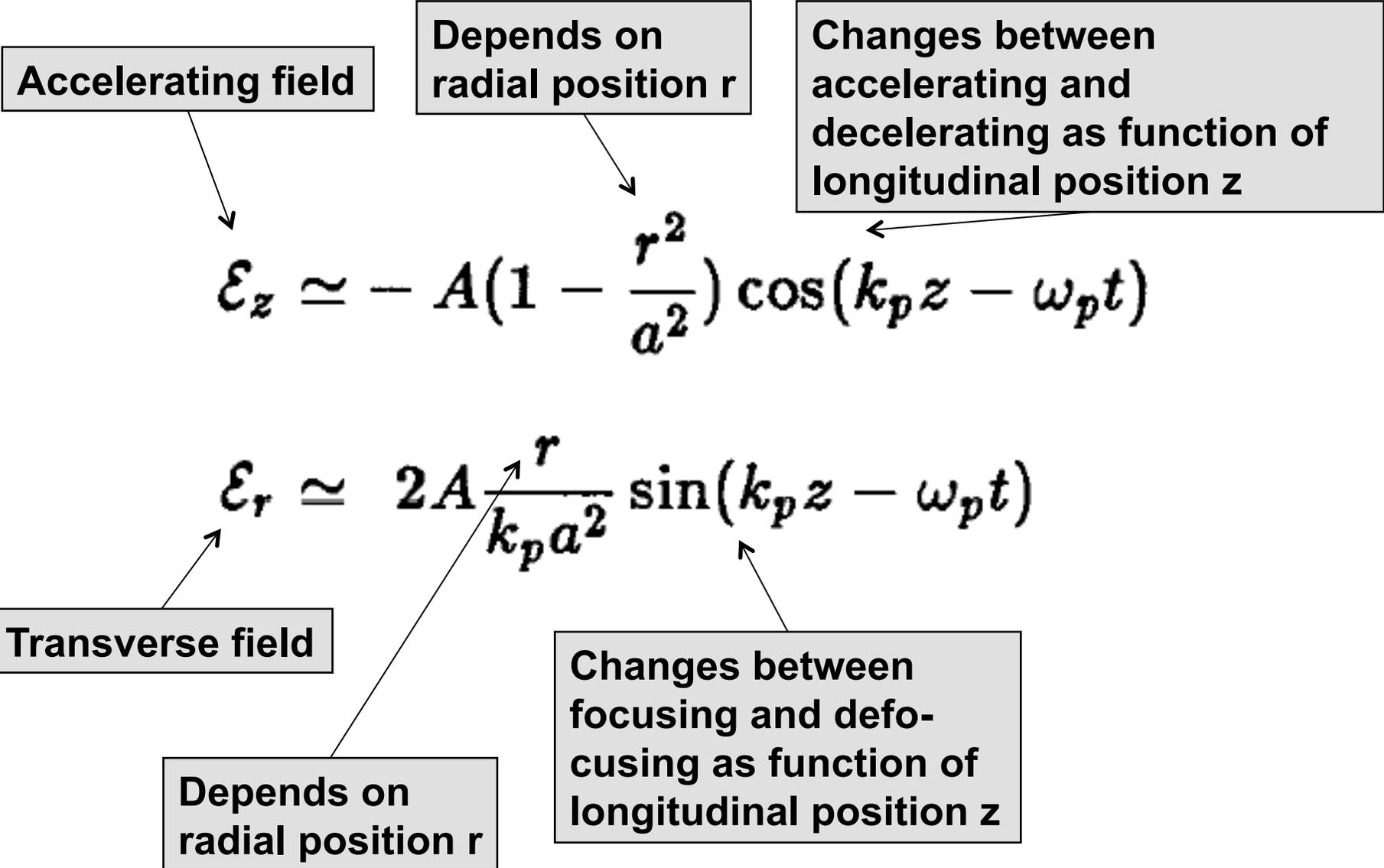
Linear Wakefields (R. Ruth / P. Chen 1986)

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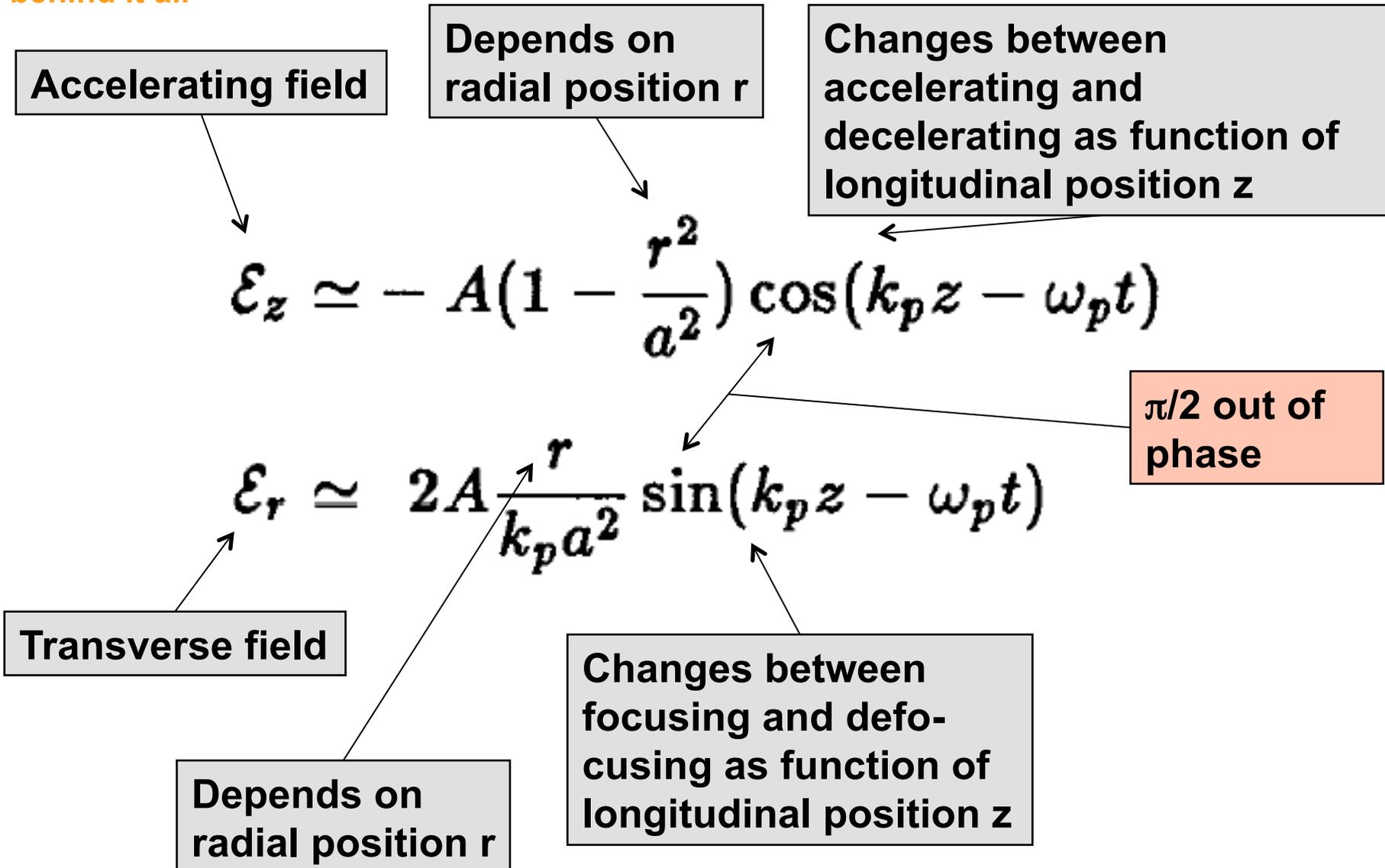
Linear Wakefields (R. Ruth / P. Chen 1986)

The formulae behind it all



Linear Wakefields (R. Ruth / P. Chen 1986)

The formulae behind it all



The Useful Regime of Plasma Accelerators

Where do we put the electron bunch inside the wave (or the surfer on the wave)

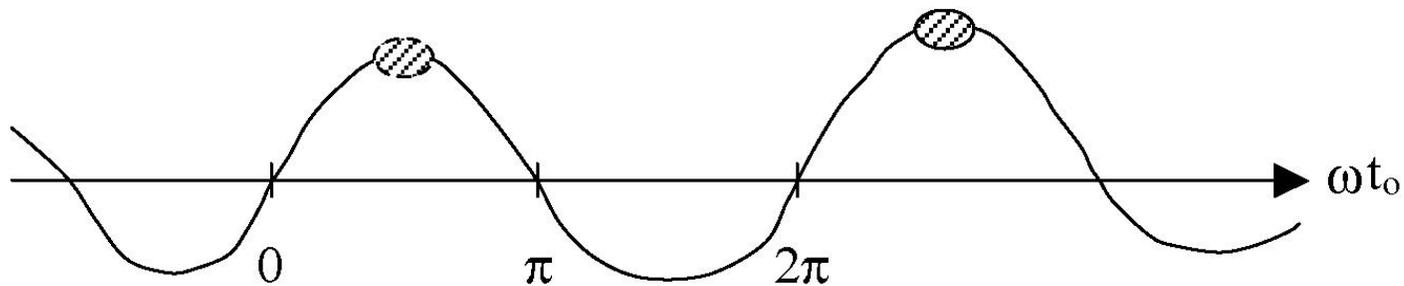
Two conditions for an accelerator:

1. **Accelerated bunch must be in accelerating regime.**
2. **Accelerated bunch must be in focusing regime.**

These two conditions define a useful range of acceleration!

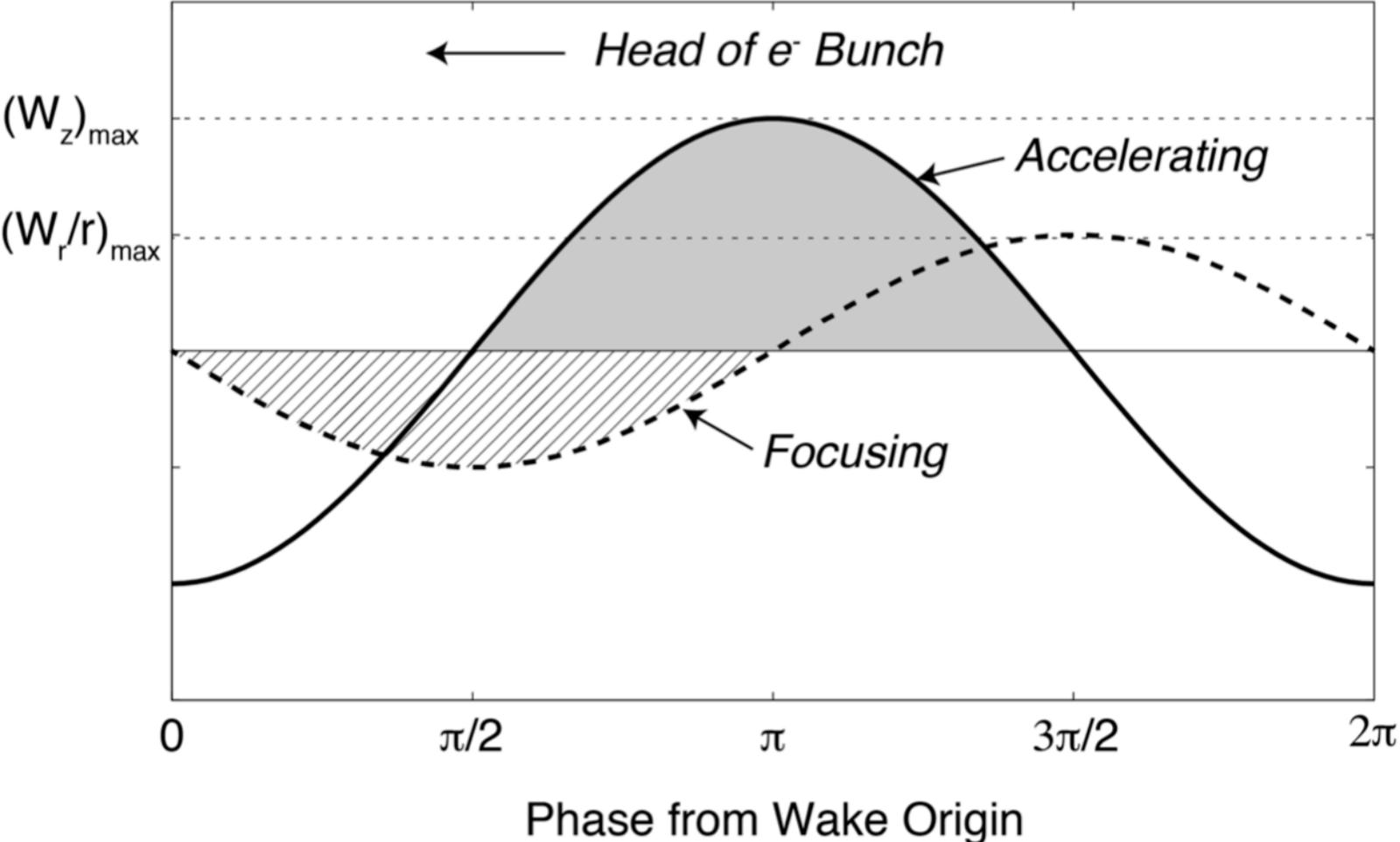
Reminder metallic RF accelerator structures:

no net transverse fields for beam particles \rightarrow full accelerating range is available for beam \rightarrow usually place the beam on the crest of the accelerating voltage



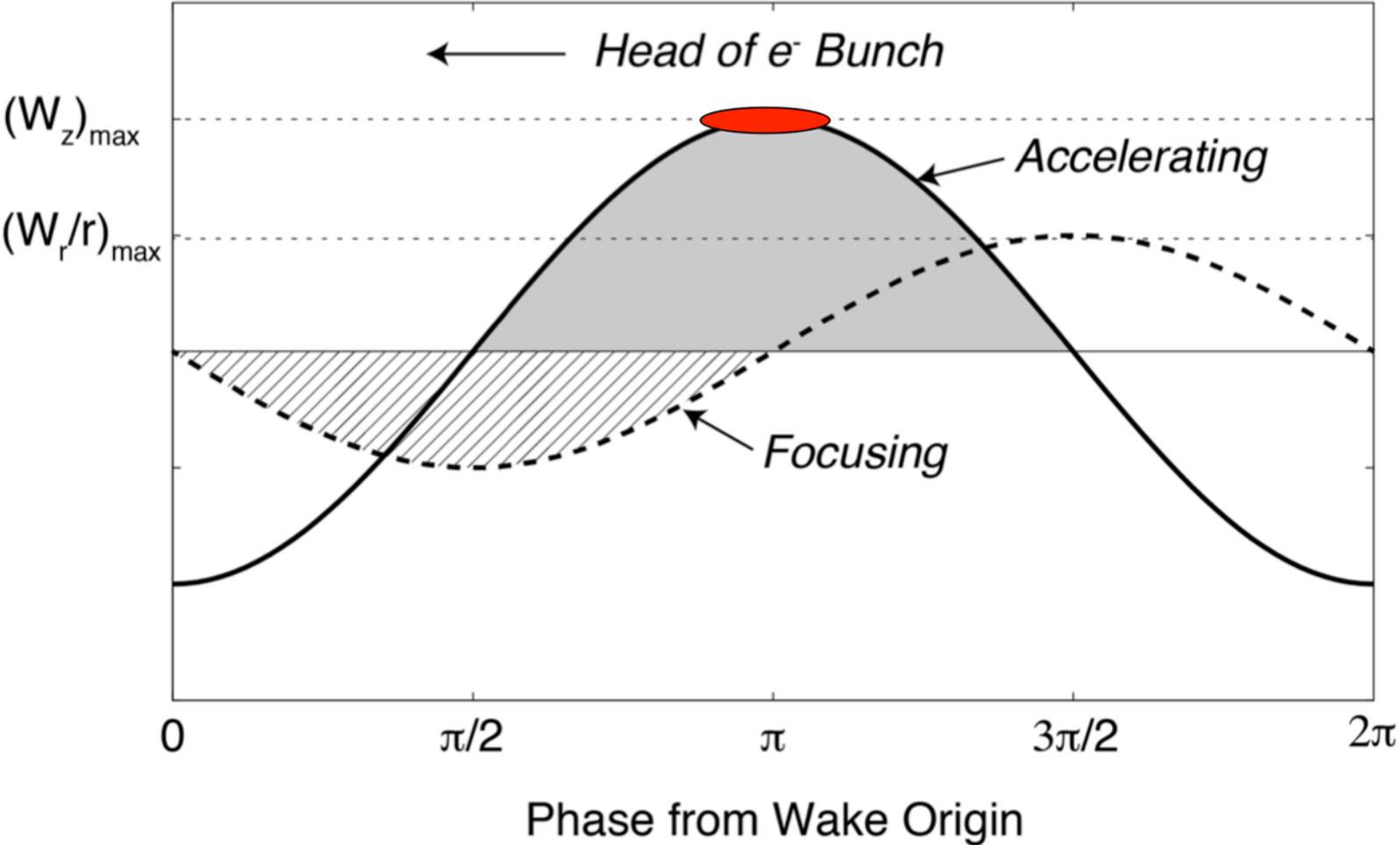
Plasma Accelerator Phasing

Finding the useful regime



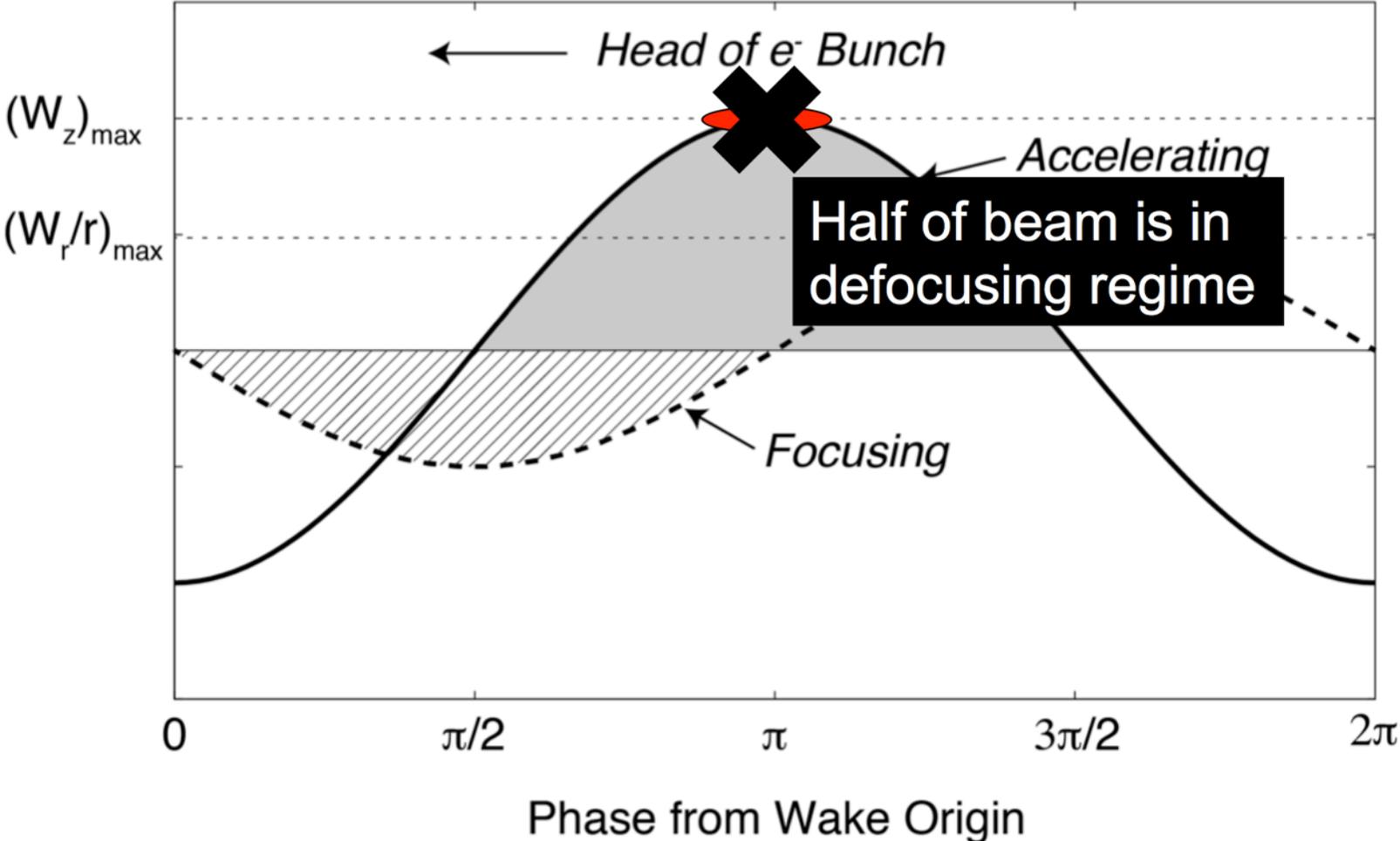
Plasma Accelerator Phasing

Finding the useful regime



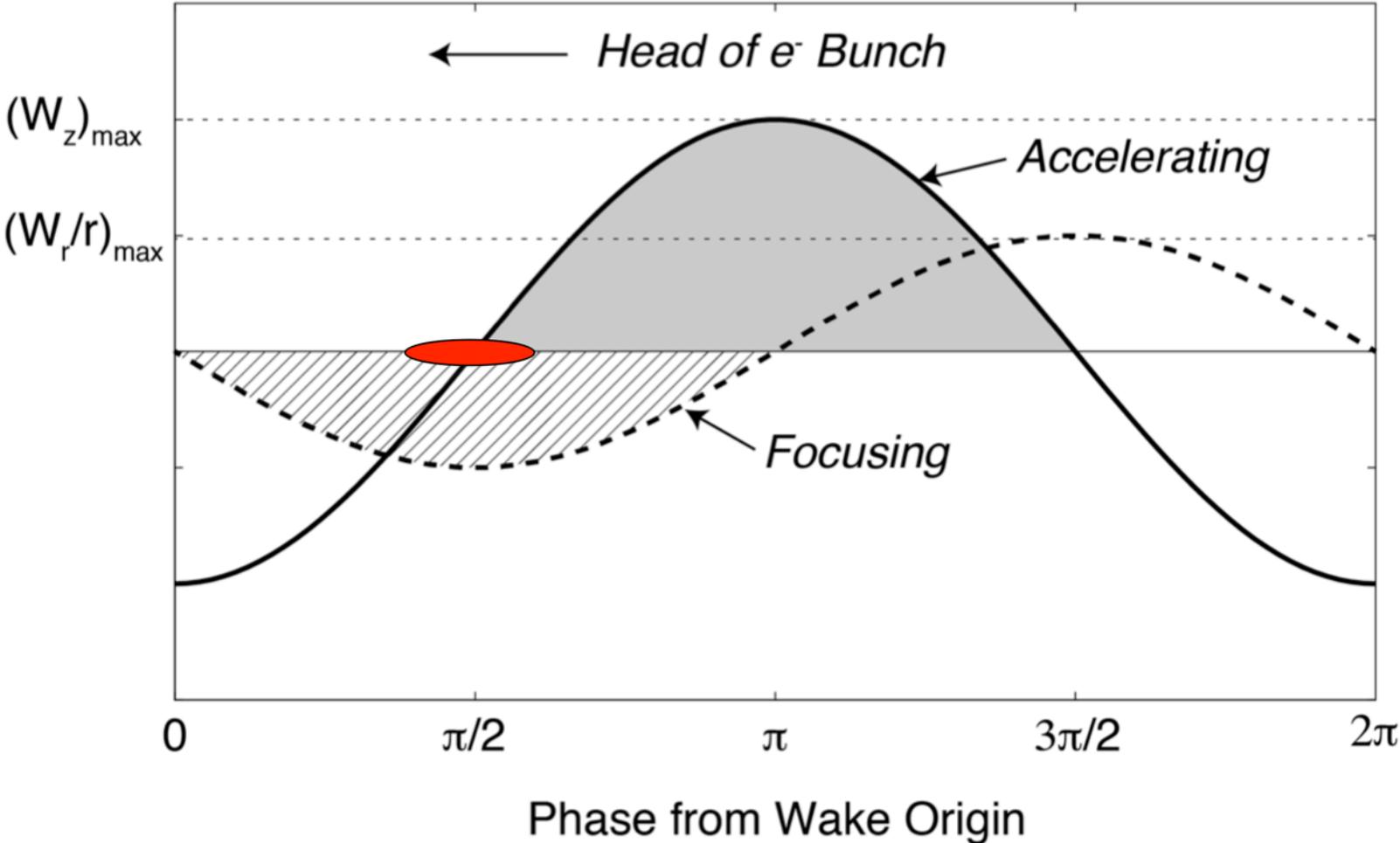
Plasma Accelerator Phasing

Finding the useful regime



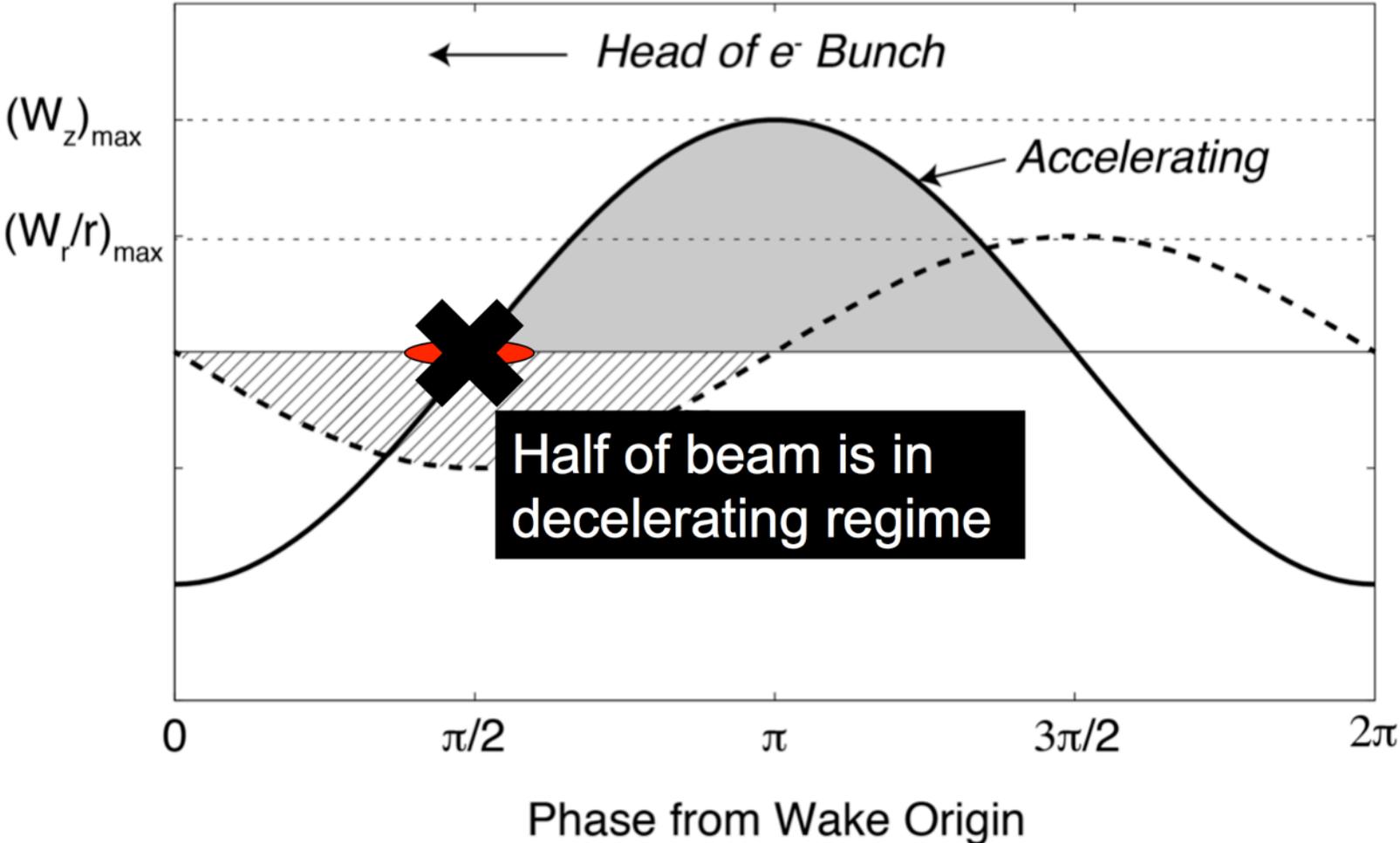
Plasma Accelerator Phasing

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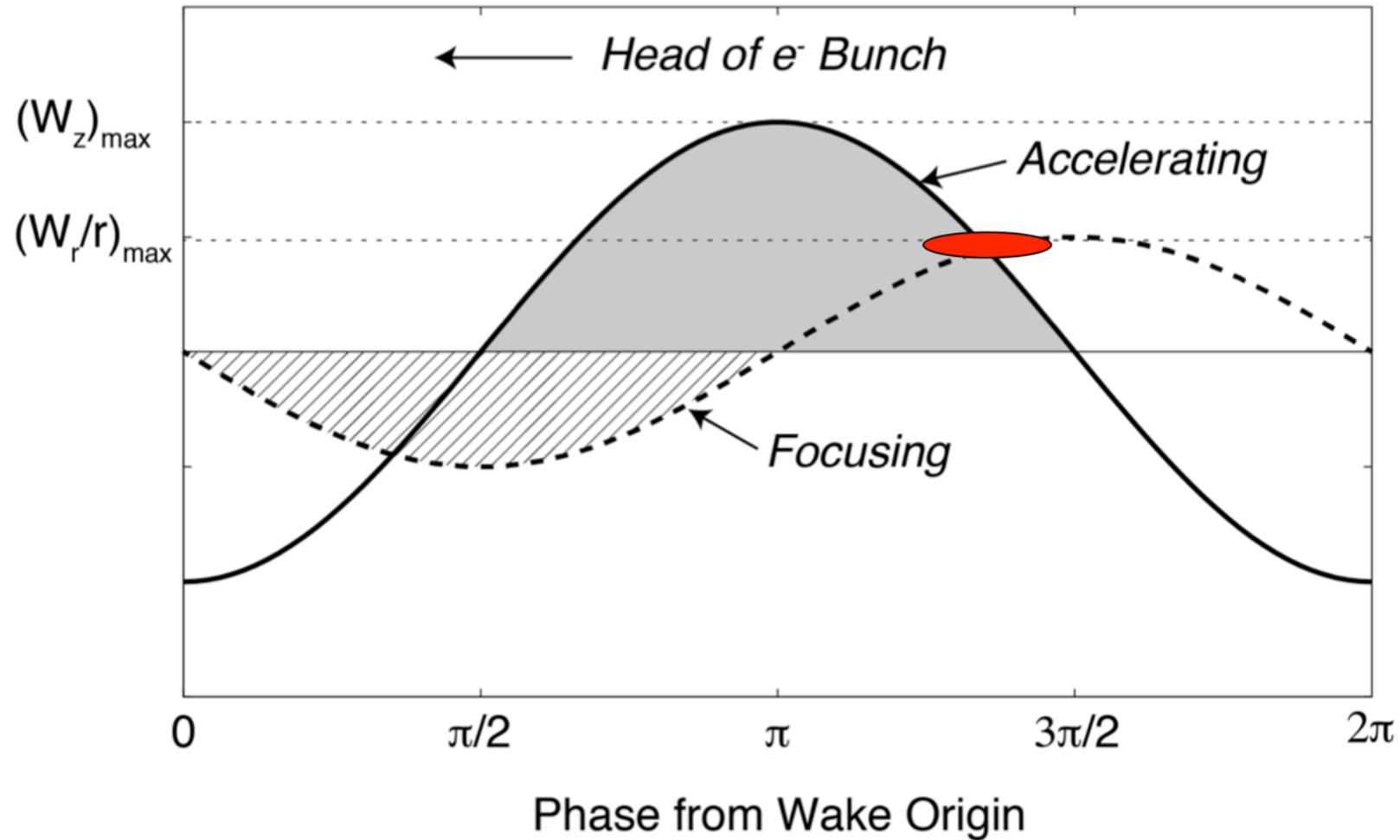
Plasma Accelerator Phasing

Finding the useful regime



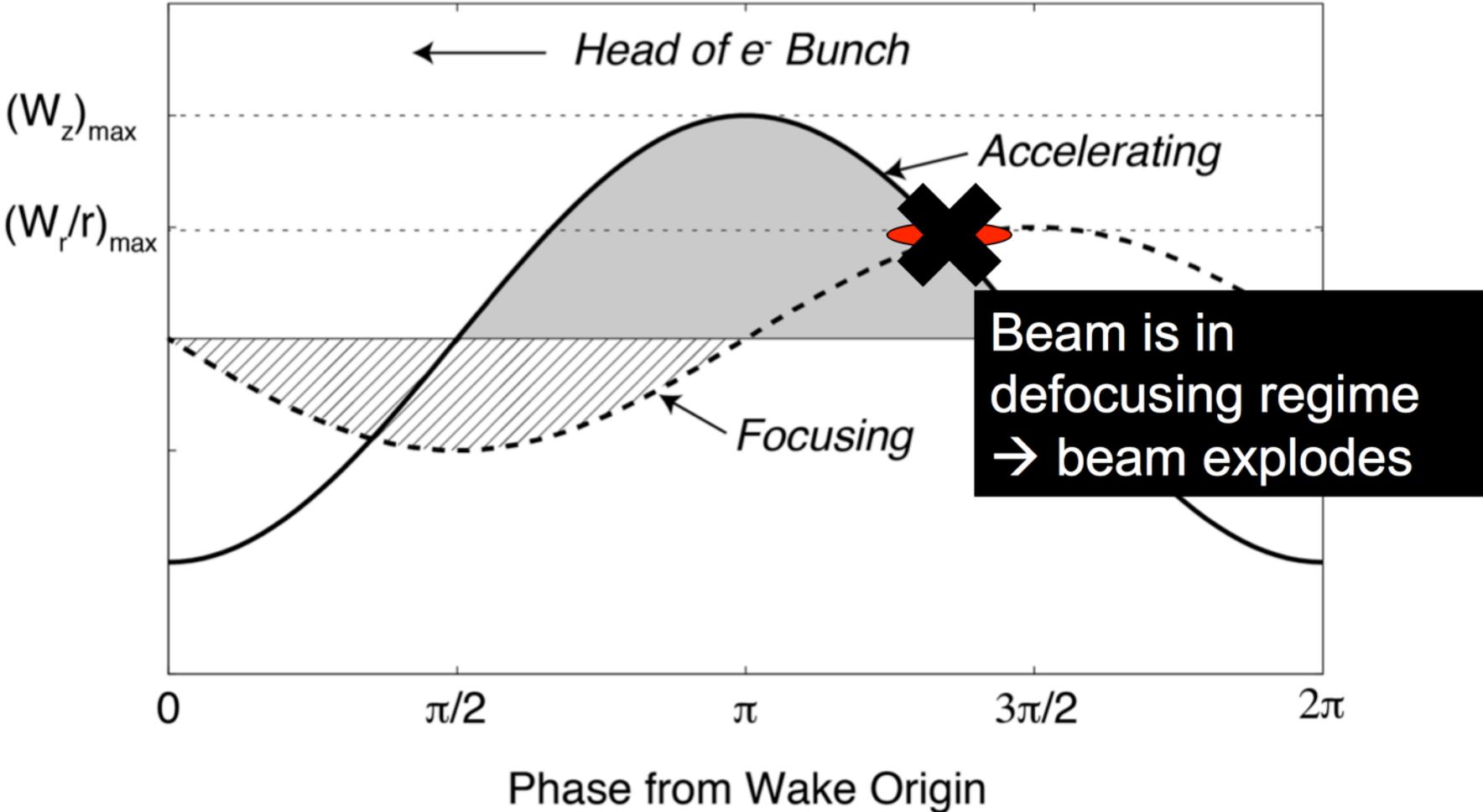
Plasma Accelerator Phasing

Finding the useful regime



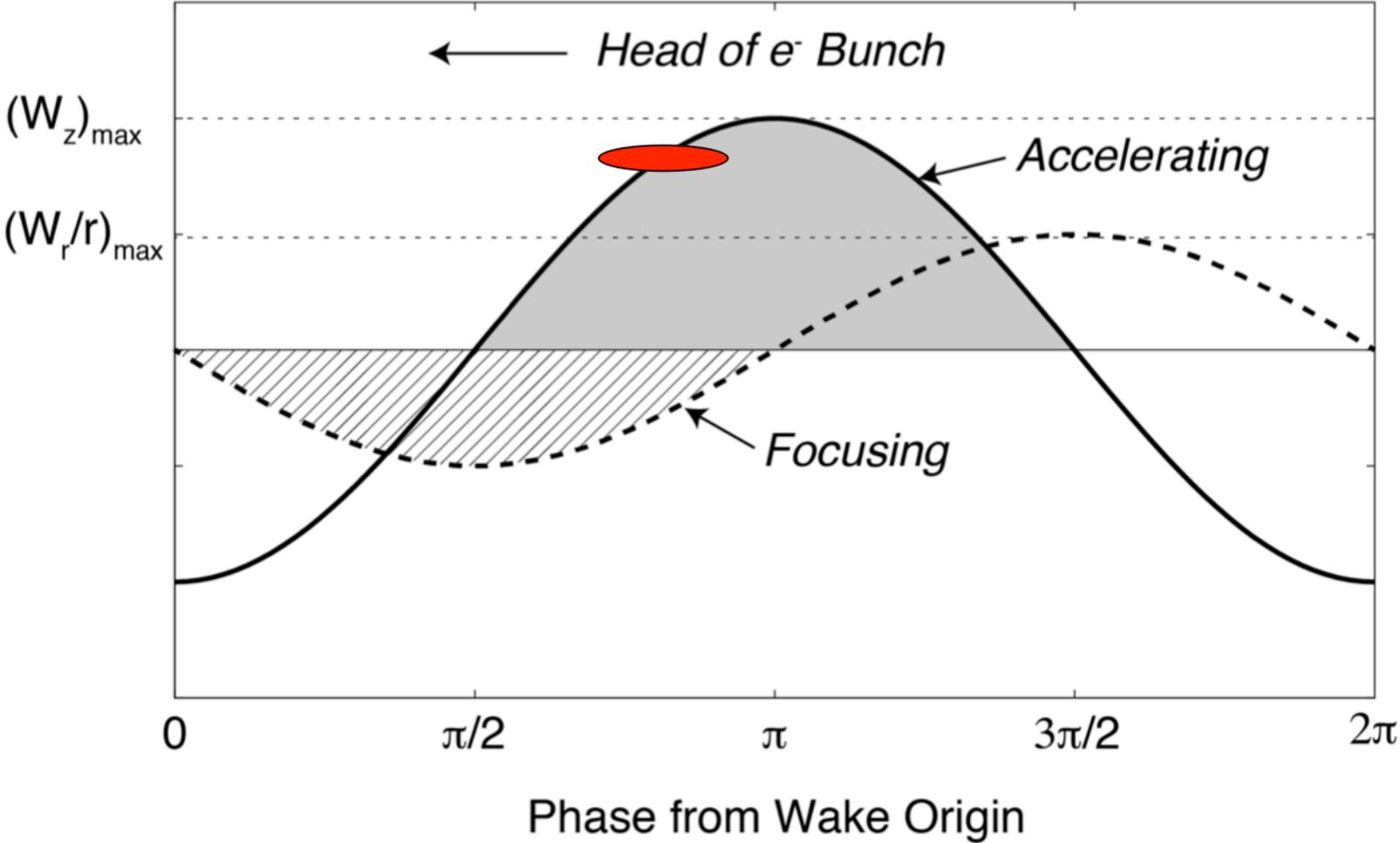
Plasma Accelerator Phasing

Finding the useful regime



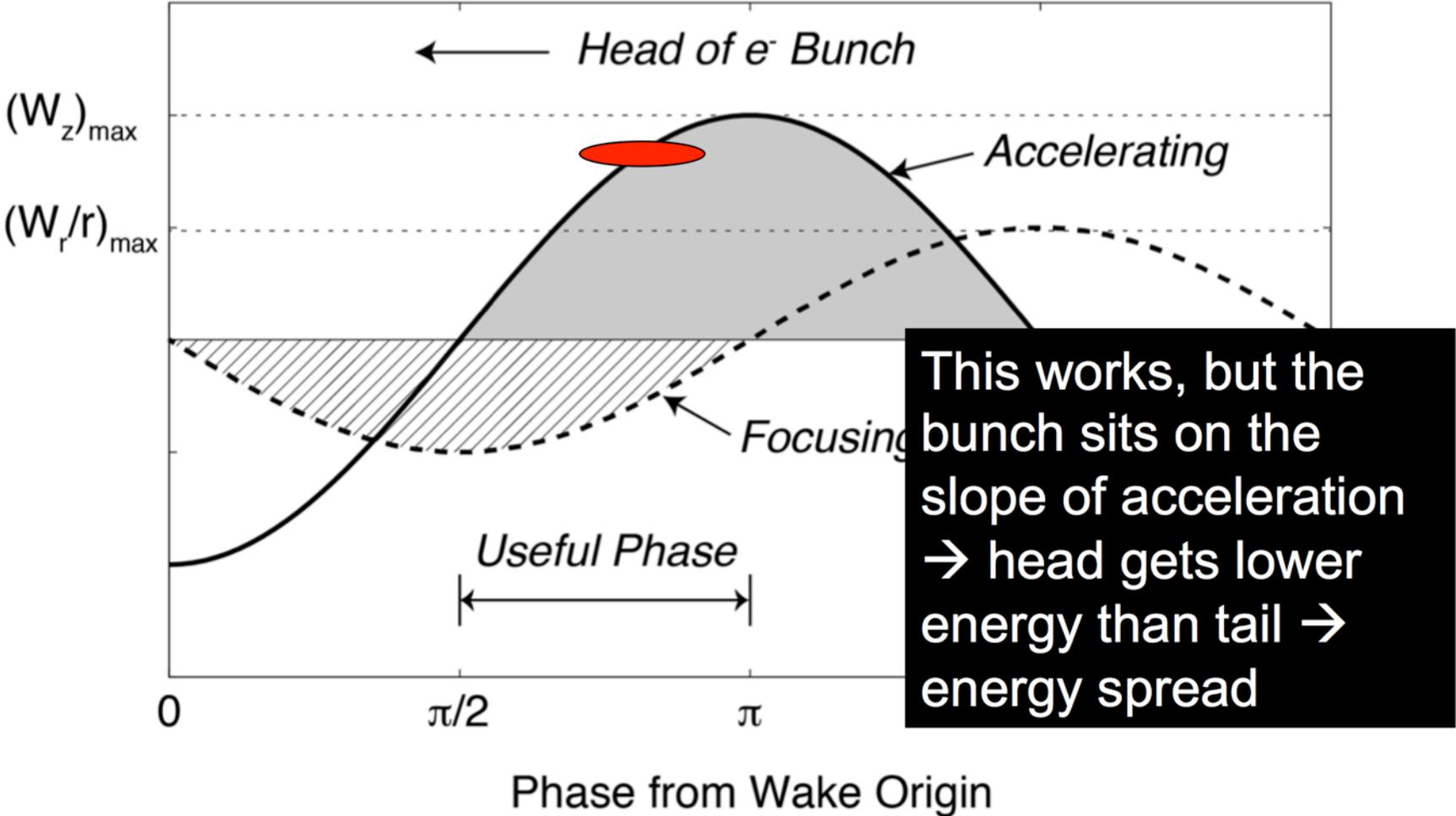
Plasma Accelerator Phasing

Finding the useful regime



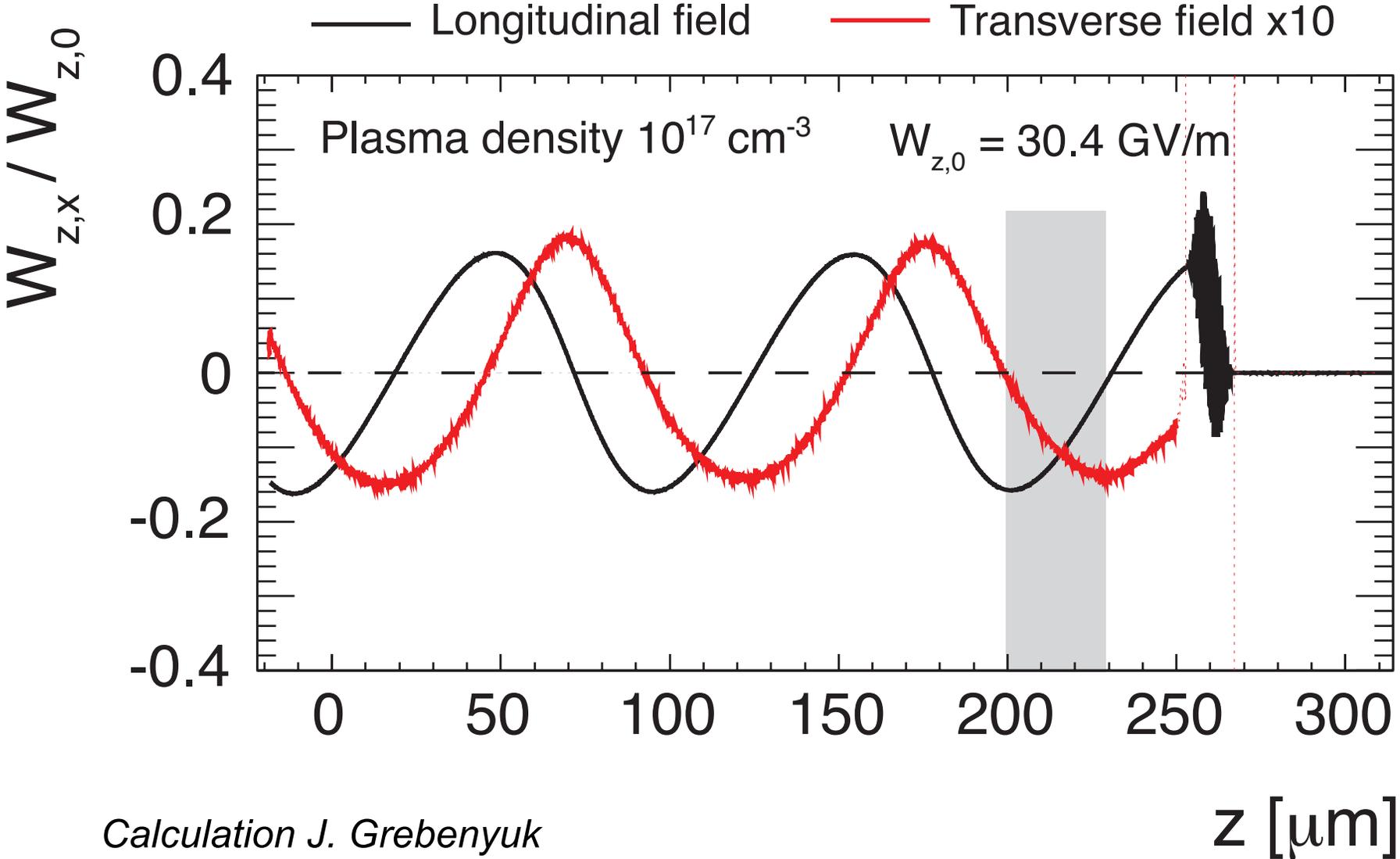
Plasma Accelerator Phasing

Finding the useful regime



Comparison with OSIRIS simulation

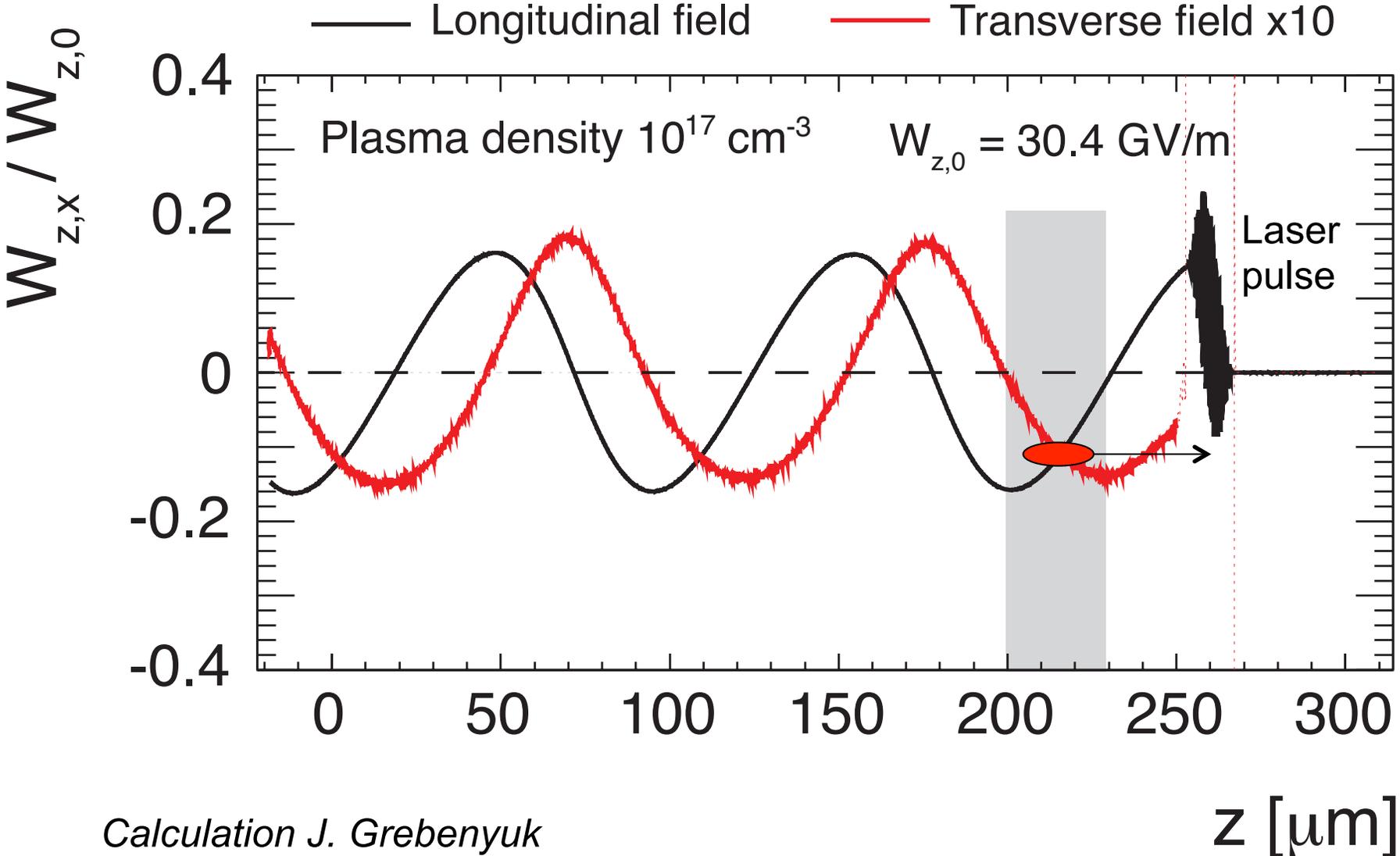
Finding the useful regime



Calculation J. Grebenyuk

Comparison with OSIRIS simulation

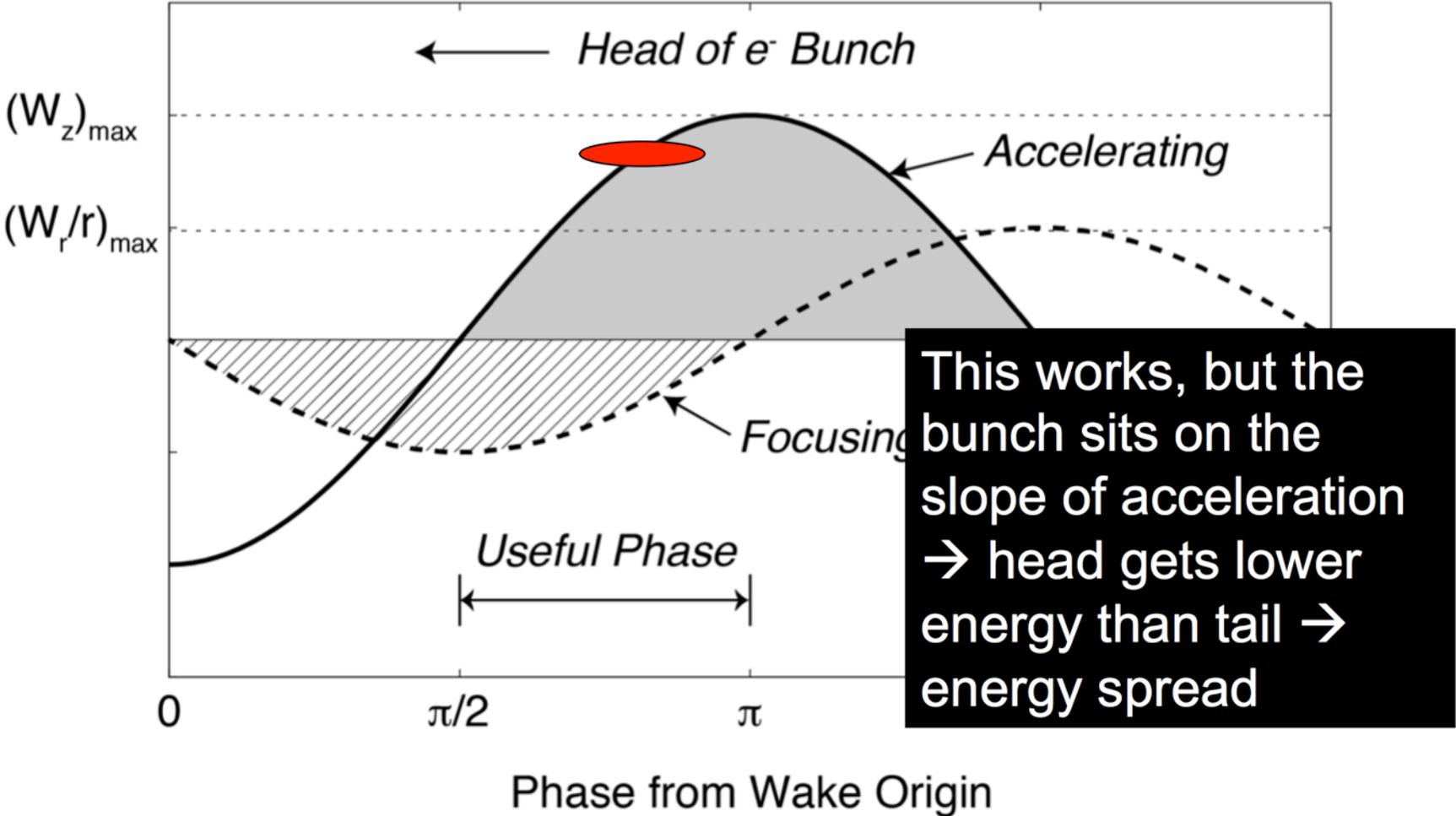
Finding the useful regime



Calculation J. Grebenyuk

Plasma Accelerator Phasing

Finding the useful regime



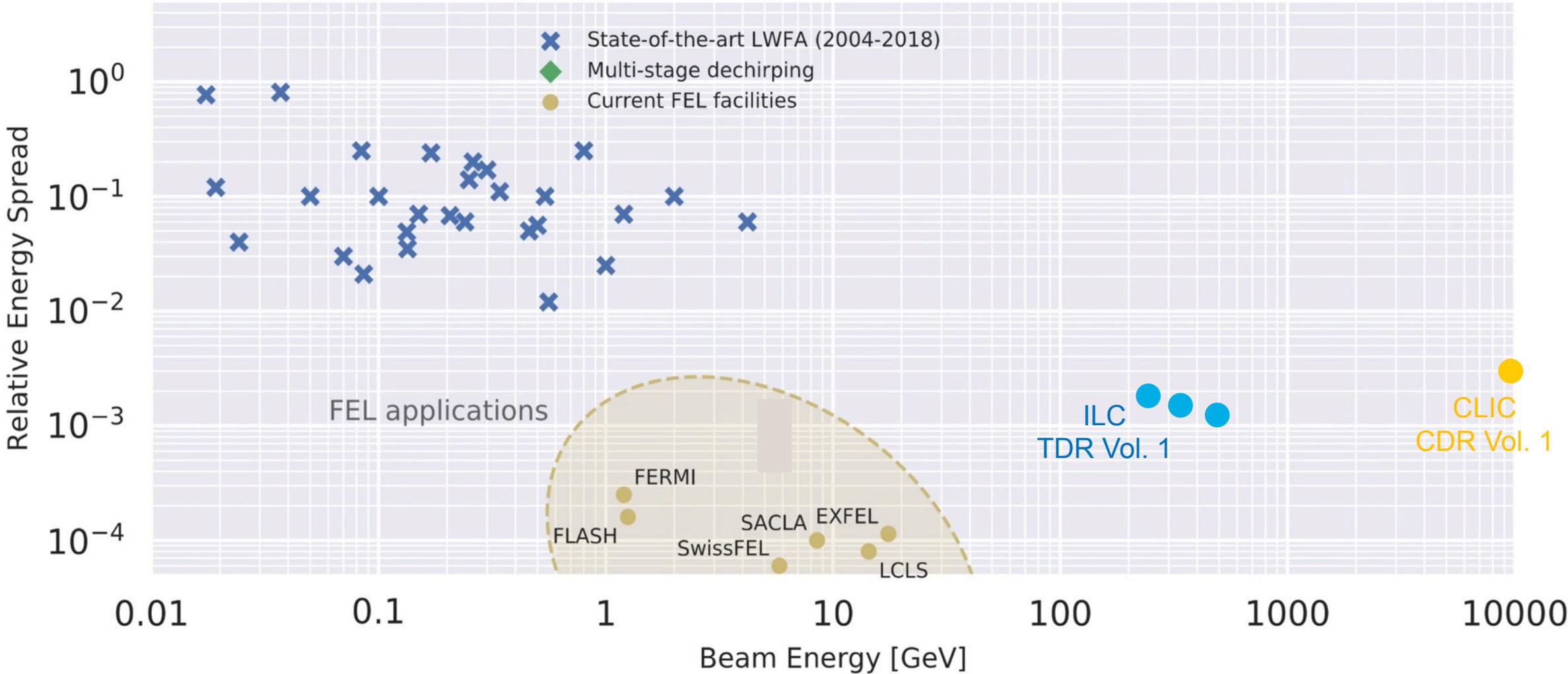
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2. The Plasma Linear Regime
- 3. The Energy Spread Challenge**
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Energy Spread Challenge

State of the art in plasma accelerators versus requirements

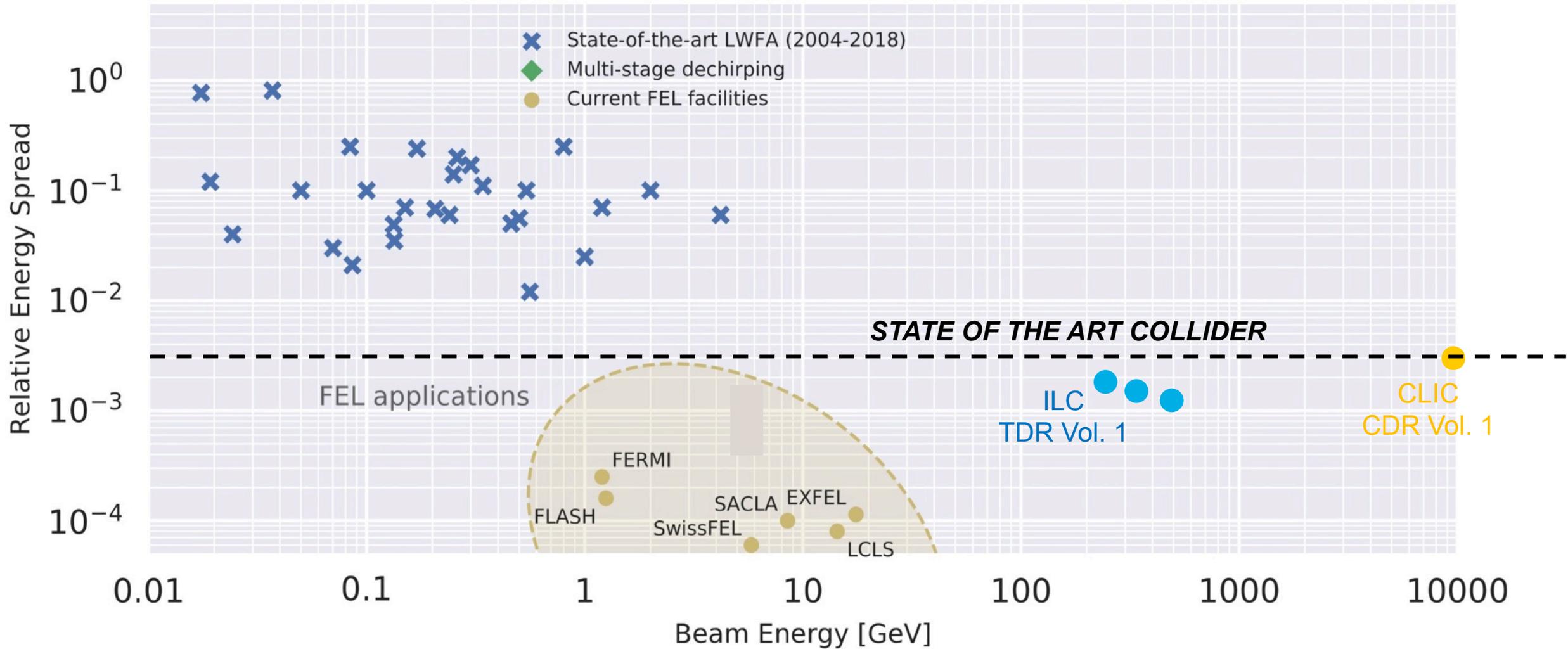
Plot version A. Walker et al



Energy Spread Challenge

State of the art in plasma accelerators versus requirements

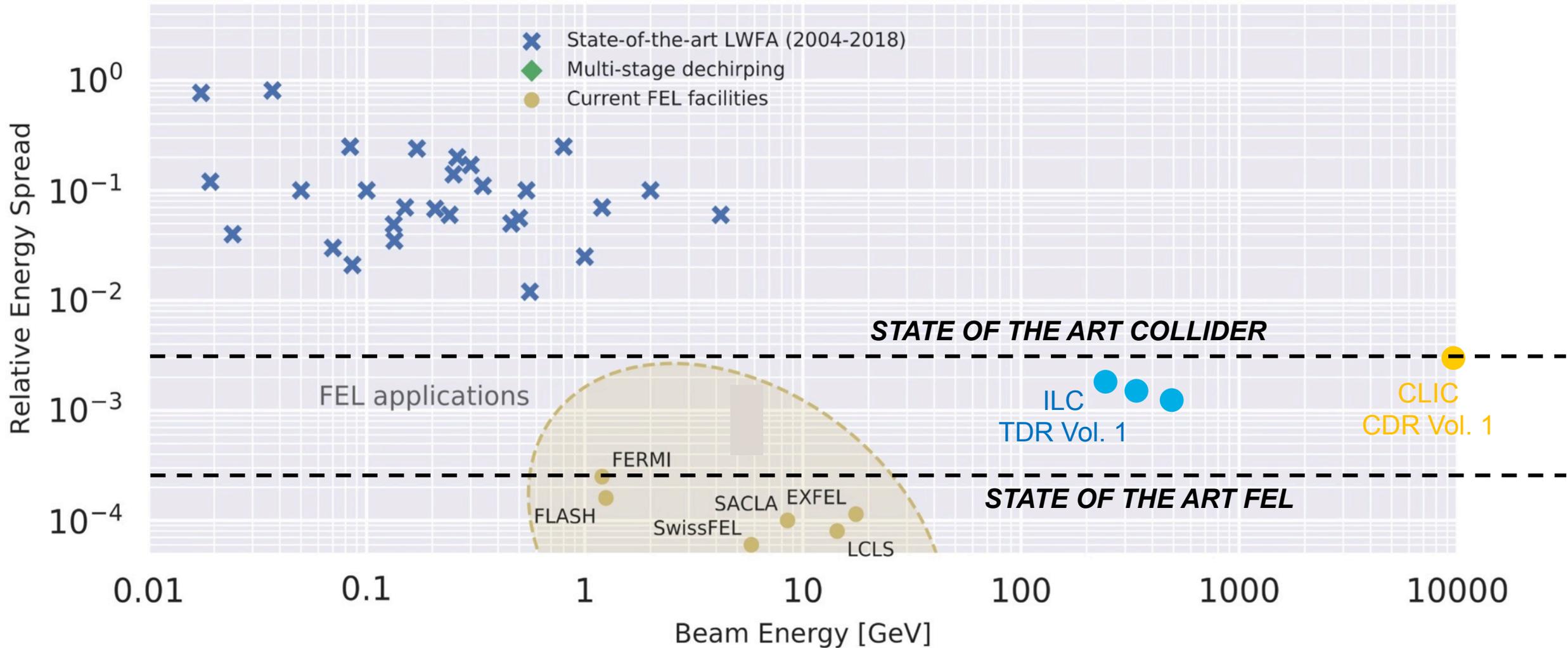
Plot version A. Walker et al



Energy Spread Challenge

State of the art in plasma accelerators versus requirements

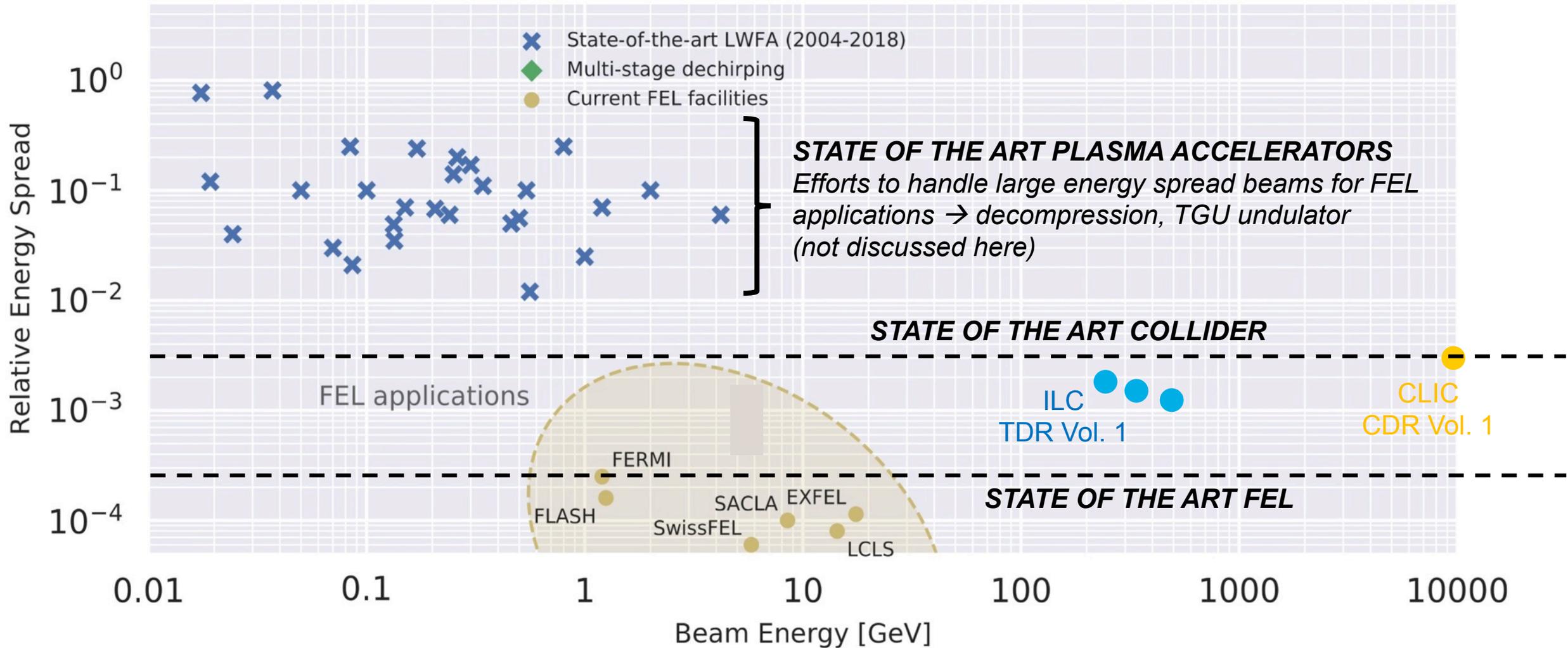
Plot version A. Walker et al



Energy Spread Challenge

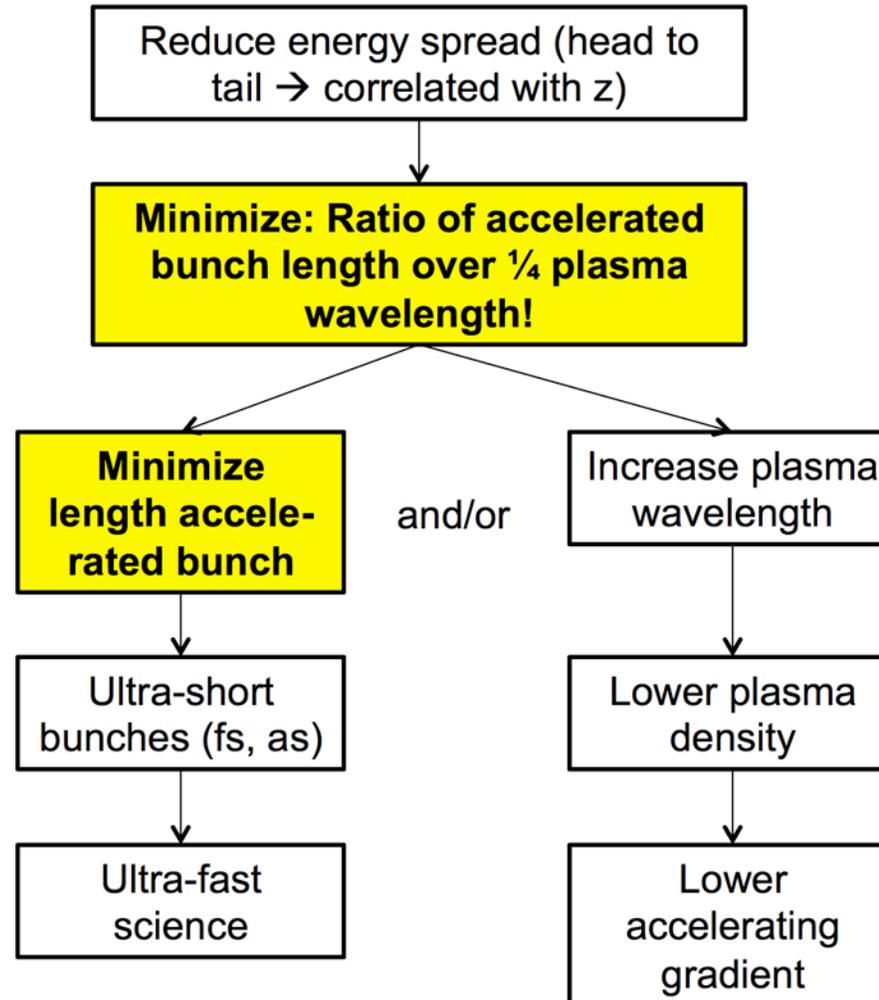
State of the art in plasma accelerators versus requirements

Plot version A. Walker et al



Optimization: Minimal Energy Spread

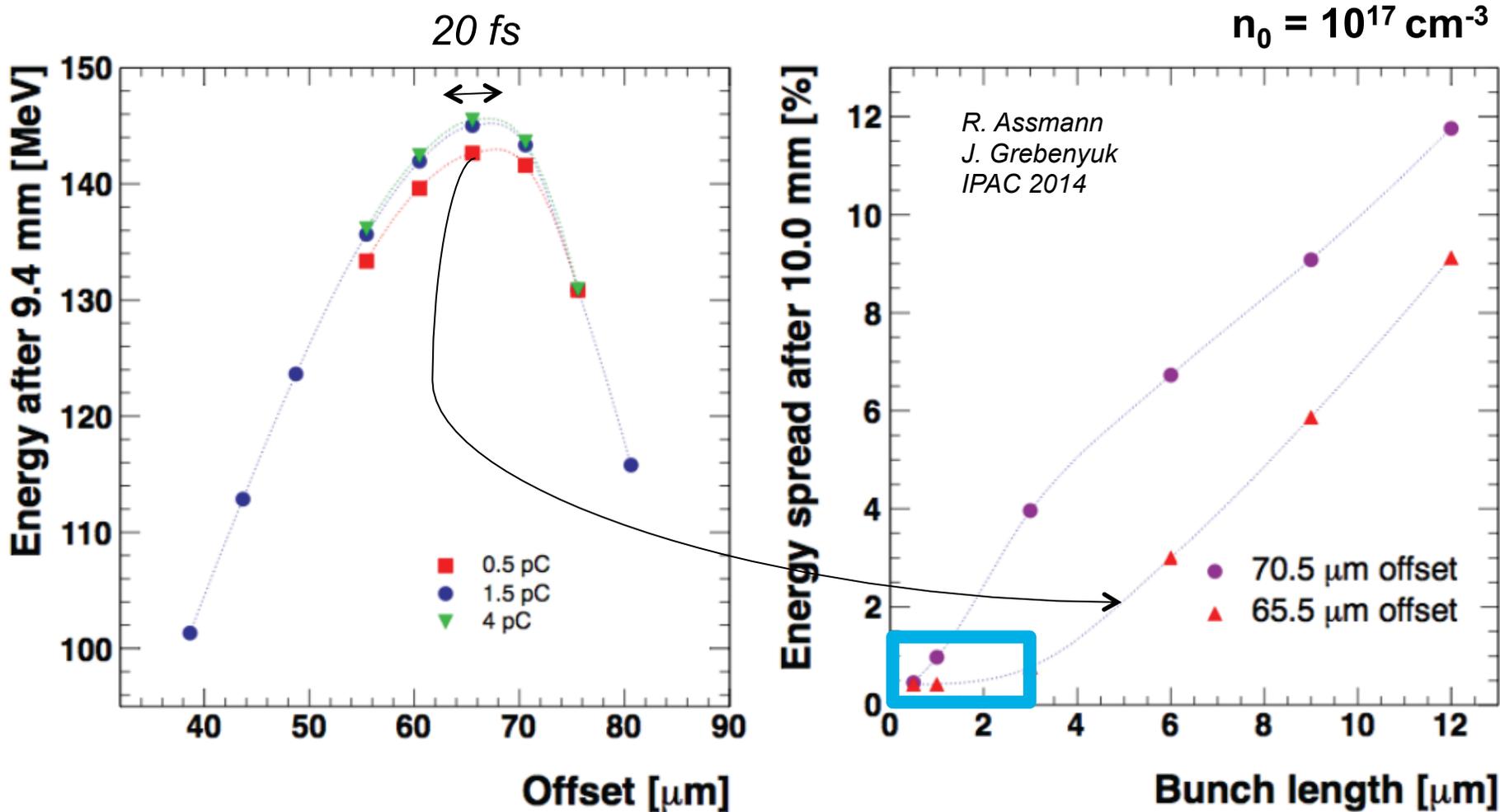
Avoid creation of too much energy spread (cannot be fully avoided by principle explained before)



Gedankenexperiment – Zero Bunch Length

Infinitesimally short bunch will not see any slope of accelerating voltage

1 fs = 0.3 μm when travelling with light velocity c



Here, longitudinal field independent of radial position

Zero bunch length \rightarrow all particles at same longitudinal coord. and see the same acceleration.

Why does energy spread not go to zero for zero bunch length?

Strong plasma focusing: Betatron motion

Plasma works as a focusing quadrupole

- A plasma has a very strong focusing field in both planes.
- Focusing strength and phase advance depends on plasma density.
- Experiment with a beam-driven plasma at SLAC in 2001: Send an electron beam into a plasma and measure beam sizes at exit point.

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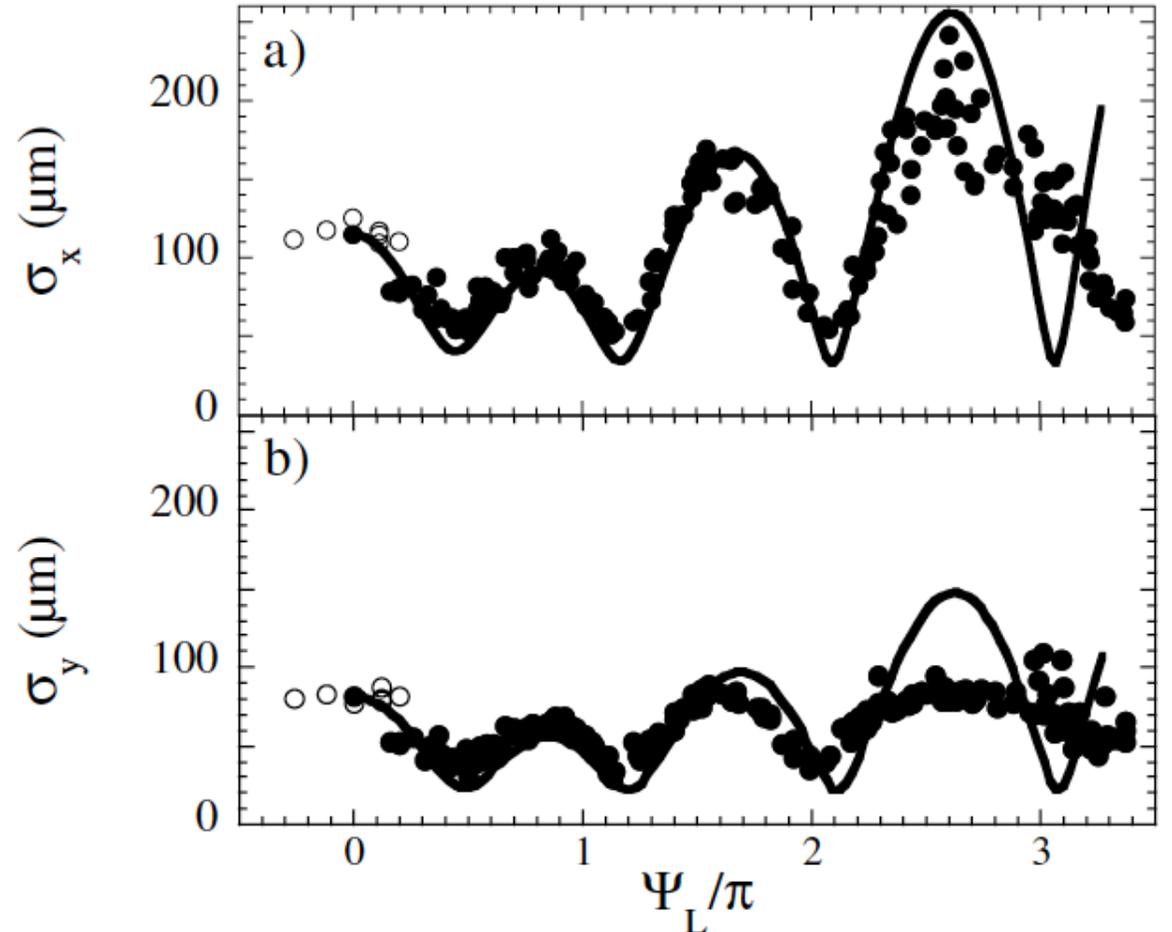
Transverse Envelope Dynamics of a 28.5-GeV Electron Beam in a Long Plasma

C. E. Clayton, B. E. Blue, E. S. Dodd, C. Joshi, K. A. Marsh, W. B. Mori, and S. Wang
University of California, Los Angeles, California 90095

P. Catravas, S. Chattopadhyay, E. Esarey, and W. P. Leemans
Lawrence Berkeley National Laboratory, University of California, Berkeley, California 94720

R. Assmann,* F. J. Decker, M. J. Hogan, R. Iverson, P. Raimondi, R. H. Siemann, and D. Walz
Stanford Linear Accelerator Center, Stanford University, Stanford, California 94309

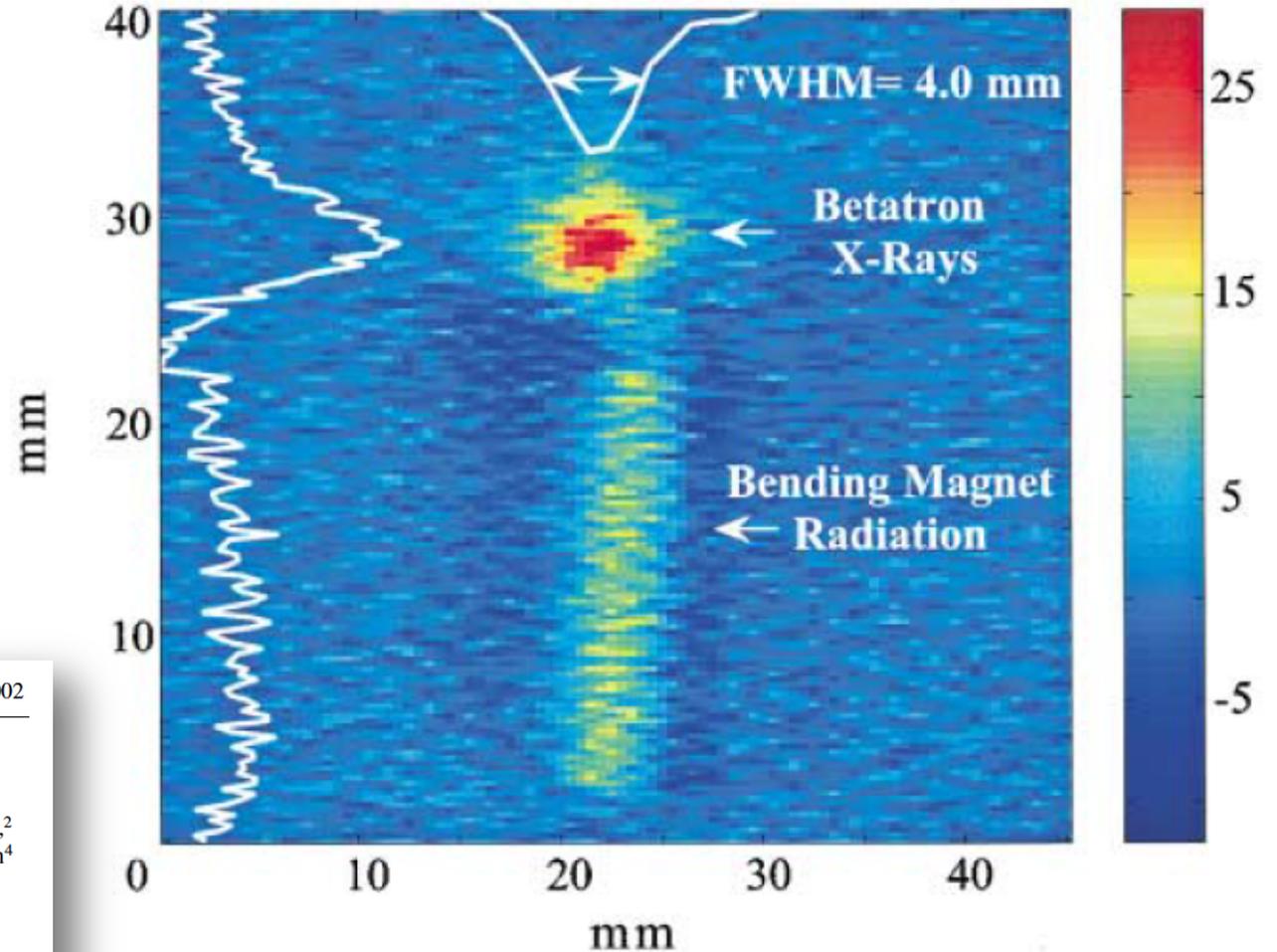
T. Katsouleas, S. Lee, and P. Muggli†
University of Southern California, Los Angeles, California 90089
(Received 9 October 2001; published 2 April 2002)



Strong plasma focusing: Betatron motion and X rays

Wiggling electrons emit X rays → a plasma accelerator as accelerator and undulator at once

- If an electron beam is injected mismatched into a plasma, we expect strong beta mismatch oscillations of the beam size.
- The oscillating electrons should radiate X rays.
- This was seen in a SLAC experiment in 2001.
- Plasma acts as undulator!



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1 APRIL 2002

X-Ray Emission from Betatron Motion in a Plasma Wiggler

Shuoqin Wang,¹ C. E. Clayton,¹ B. E. Blue,¹ E. S. Dodd,¹ K. A. Marsh,¹ W. B. Mori,¹ C. Joshi,¹ S. Lee,² P. Muggli,² T. Katsouleas,² F. J. Decker,³ M. J. Hogan,³ R. H. Iverson,³ P. Raimondi,³ D. Walz,³ R. Siemann,³ and R. Assmann⁴

¹University of California, Los Angeles, California 90095

²University of Southern California, Los Angeles, California 90089

³Stanford Linear Accelerator Center, Stanford, California 94309

⁴CERN, Switzerland

(Received 8 October 2001; published 19 March 2002)

Plasma Accelerator Physics I

Small accelerators exhibit also very small tolerances – here is the difficulty

- A plasma of density n_0 (same density electrons - ions) is characterized by the **plasma frequency**:

$$\omega_p = \sqrt{\frac{n_0 e^2}{\epsilon_0 m_e}}$$

- This translates into a **wavelength** of the plasma oscillation:

$$\lambda_p \approx 1\text{mm} \cdot \sqrt{\frac{10^{15}\text{cm}^{-3}}{n_0}}$$

0.3 mm for $n_0 = 10^{16}\text{cm}^{-3}$

- The wavelength gives the longitudinal size of the plasma cavity... Lower plasma density is good: larger dimensions.

Plasma Accelerator Physics II

Small accelerators exhibit also very small tolerances – here is the difficulty

- The plasma oscillation leads to **longitudinal accelerating fields** with a gradient of (higher plasma densities are better):

$$W_z = 96 \frac{V}{m} \cdot \sqrt{\frac{n_0}{\text{cm}^{-3}}} \propto N_b / \sigma_z^2$$

9.6 GV/m for 10^{16} cm^{-3}



- The **group velocity of the laser in a plasma** is as follows for $\omega_p \ll \omega_l$: (note ω_l is laser frequency)

$$v_g = c \cdot \sqrt{1 - \frac{\omega_p^2}{\omega_l^2}}$$

- The laser-driven wakefield has a lower velocity than a fully relativistic electron → slippage and dephasing. Lower densities are better.

Plasma Accelerator Physics III

Small accelerators exhibit also very small tolerances – here is the difficulty

- The ion channel left on axis, where the beam passes, induces an **ultra-strong focusing field**. In the simplest case:

$$g = 960 \pi \cdot \left(\frac{n_0}{10^{14} \text{ cm}^{-3}} \right) \text{ T/m}$$

300 kT/m for 10^{16} cm^{-3}

- This can be converted into a **optical beta function** (lower density is better, as beta function is larger):

$$k_\beta^2 = 0.2998 \frac{g}{E} \quad \beta = \frac{1}{k_\beta}$$

$\beta = 1.1 \text{ mm for } 100 \text{ MeV}$

- The **phase advance** in the plasma channel is rapid:

$$\psi(s) = \int k_\beta s \, ds \propto \sqrt{E}$$

Plasma Accelerator Physics IV

Small accelerators exhibit also very small tolerances – here is the difficulty

- The **matched beam size** in the ion channel is small:

$$\sigma_0 = \sqrt{\beta \varepsilon}$$

$$\sigma_0 = 1.3 \mu\text{m for } \gamma \varepsilon = 0.3 \mu\text{m}$$

DIFFICULTY

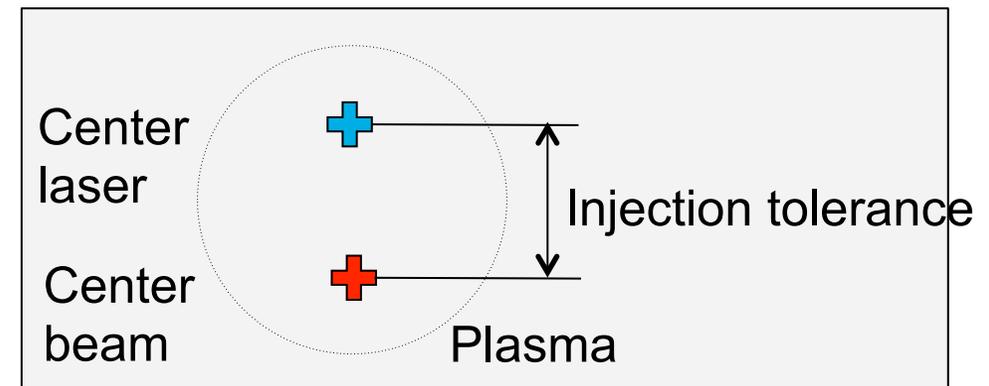
- Offsets between laser and beam centres will induce betatron oscillations. Assume: full dilution into emittance growth (energy spread and high phase advance).
- Tolerances for **emittance growth** due to offsets $\Delta x = \sigma_x$:

$$\frac{\Delta \varepsilon}{\varepsilon_0} = \left(\frac{\sigma_x}{\sigma_0} \right)^2$$

100% for 1.3 μm offset

DIFFICULTY

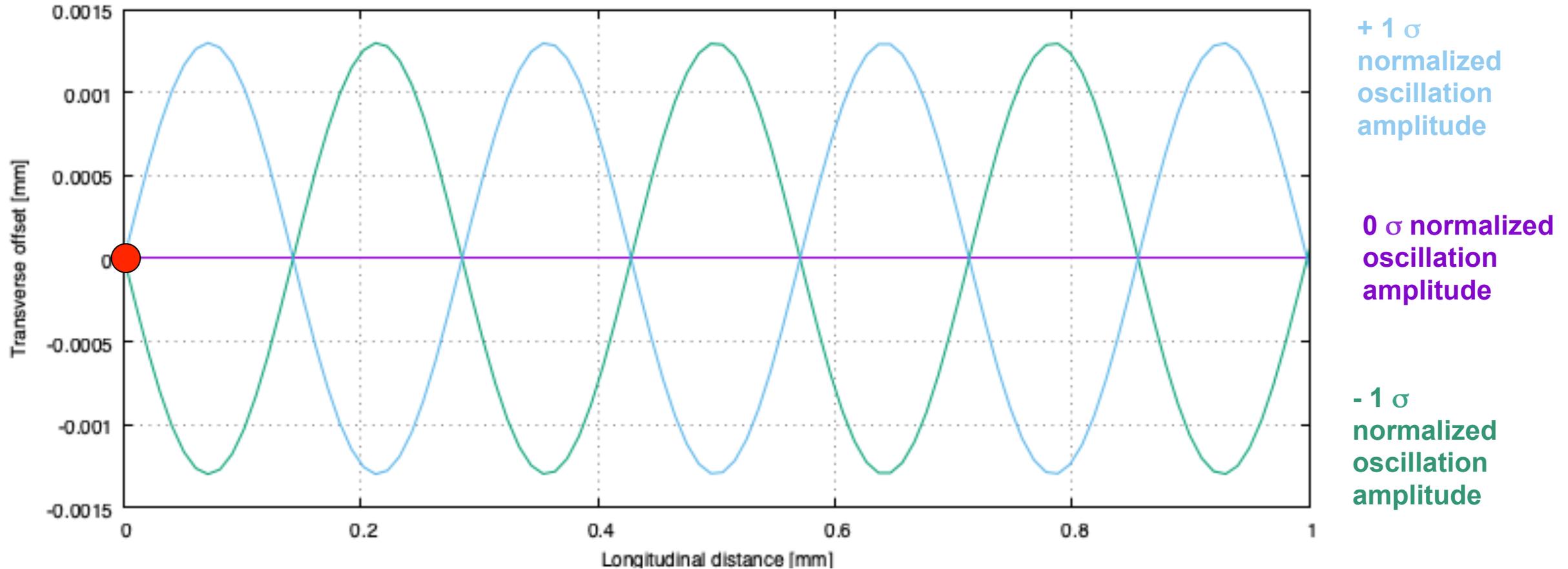
- Lower plasma density better: larger matched beam size, bigger tolerances.



Assmann, R. and K. Yokoya. *Transverse Beam Dynamics in Plasmas*. NIM A410 (1998) 544-548.

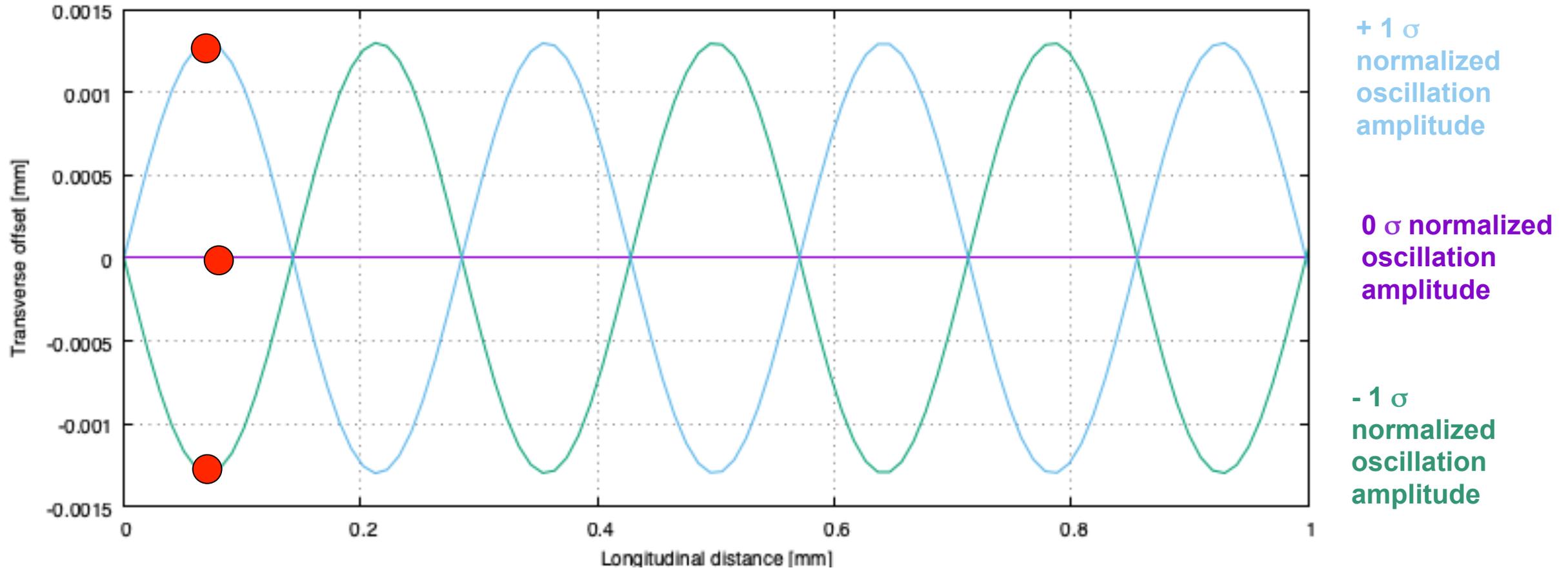
Now: Is there an Impact? → Transverse Oscillations

All electrons inside the bunch perform oscillations, assume relativistic electrons → qll light velocity



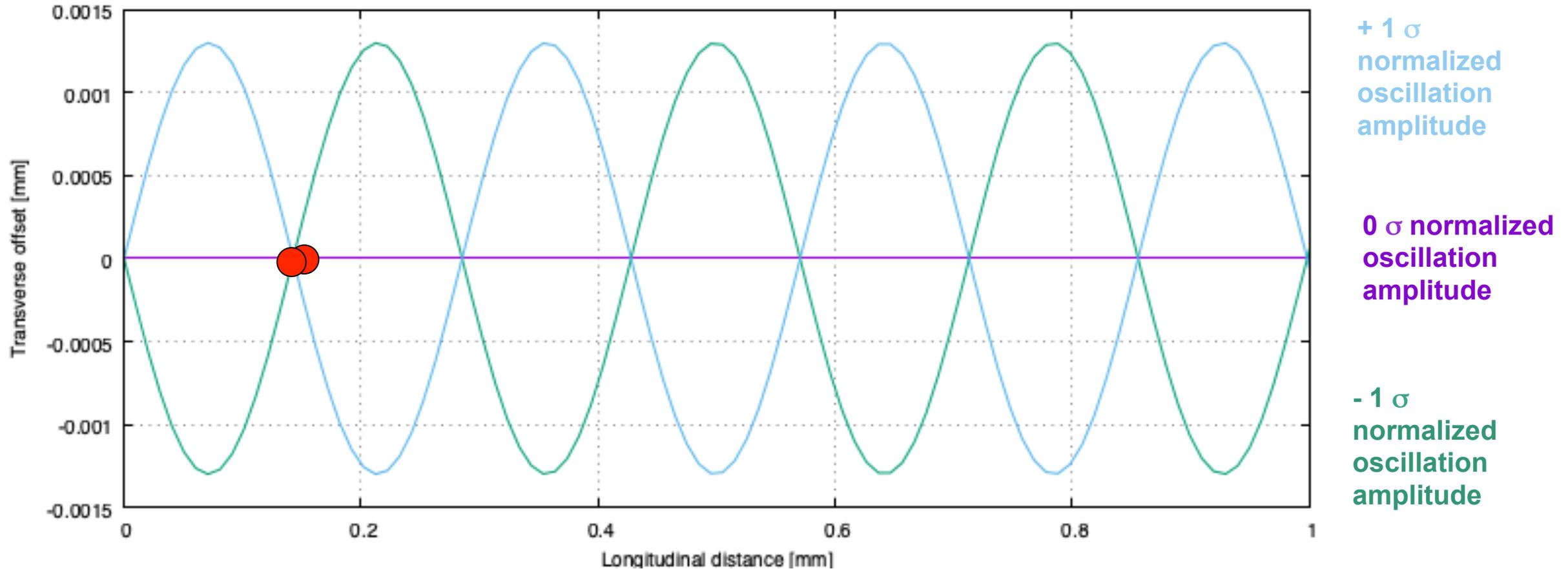
Now: Is there an Impact? → Transverse Oscillations

All electrons inside the bunch perform oscillations, assume relativistic electrons → $q \ll$ light velocity



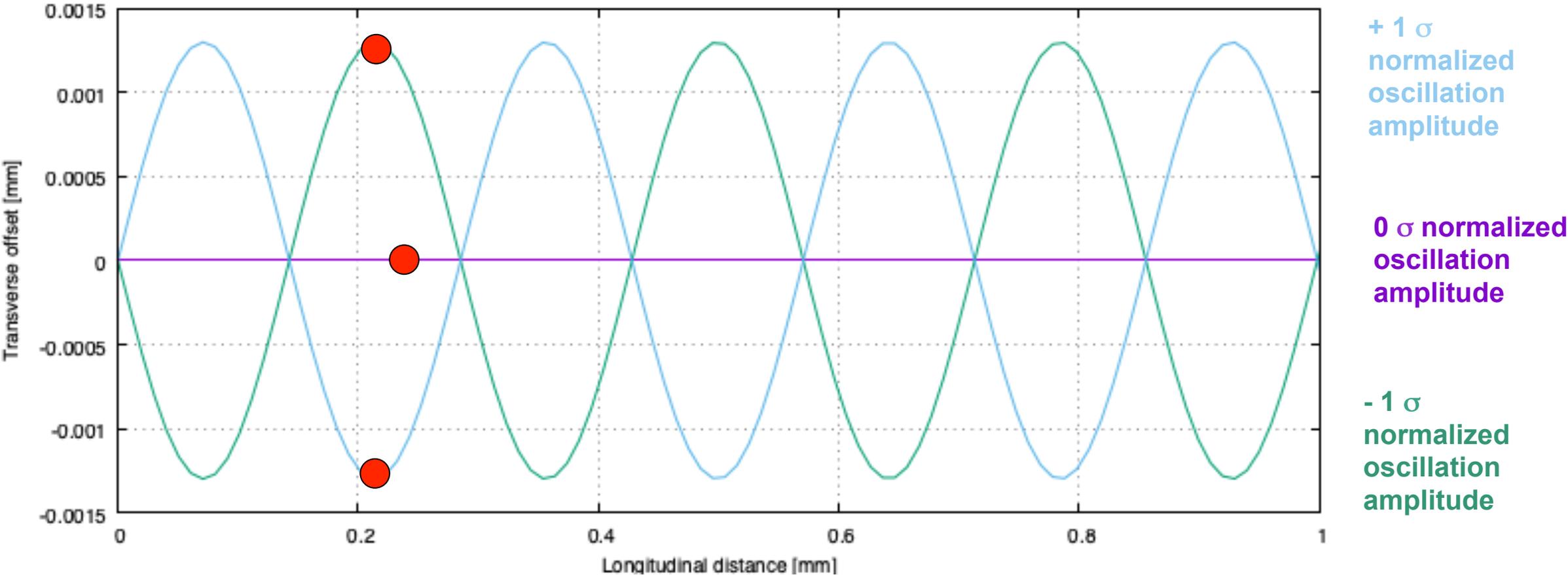
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Now: Is there an Impact? → Transverse Oscillations

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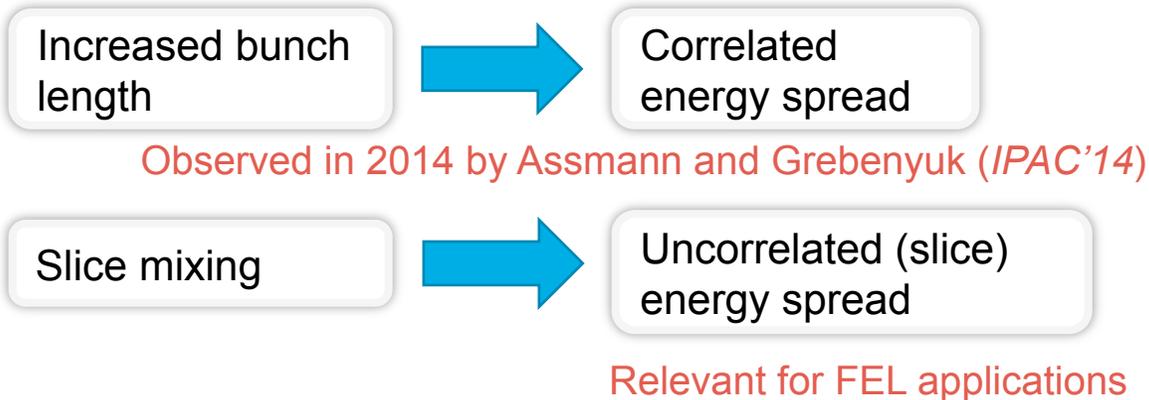


Difference in path lengths → large oscillation particles have longer way → fall back and create banana shape

Differences in Path Length and Arrival Time

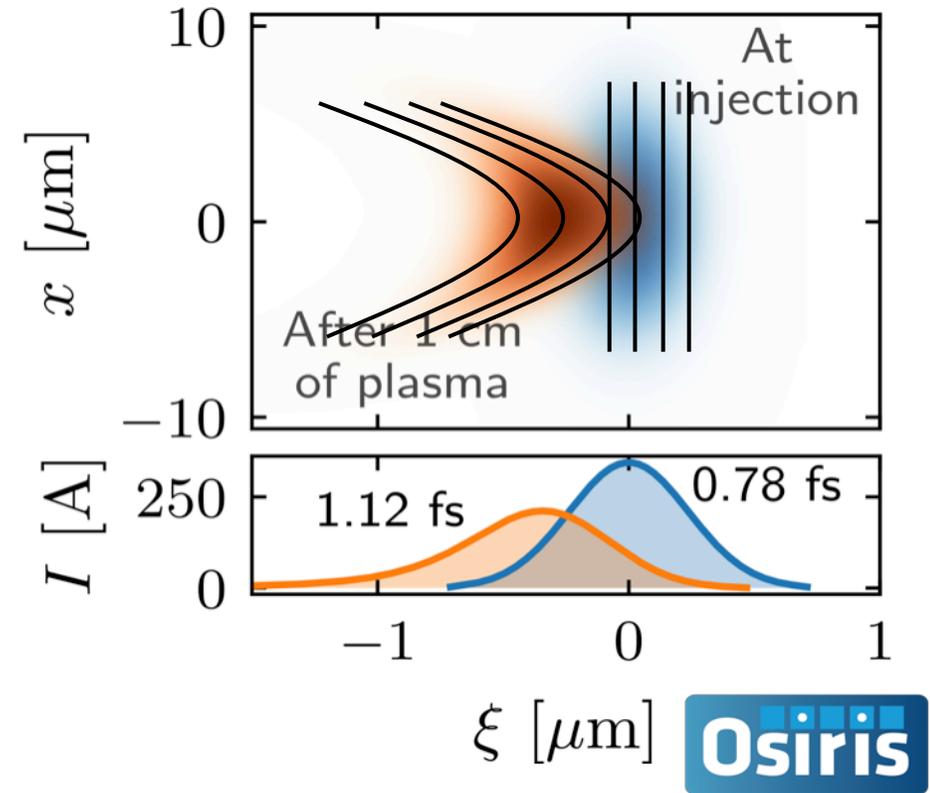
Another source for increased Energy Spread and Bunch Length

- Usually subtle effects become relevant for plasma accelerators with ultra-strong focusing fields and sub-femtosecond bunch lengths.
- Beam electrons have different transverse oscillation amplitudes A_0 and therefore different path lengths.
- **Consequences:**



These dynamics were already pointed out by A. Reitsma and D. Jaroszynski, but no further studies (*Laser Part. Beams* 2004)

Here: Development of the first analytical model that describes these effects and limitations accurately for a particle bunch.



Realistic plasma accelerator simulation demonstrating bunch length generation and banana shape

Contents

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Solutions: Towards low energy spread with Beam Loading

Old proposal from Simon van der Meer

CERN/PS/85-65 (AA)
CLIC Note No. 3

IMPROVING THE POWER EFFICIENCY
OF THE
PLASMA WAKEFIELD ACCELERATOR

S. van der Meer

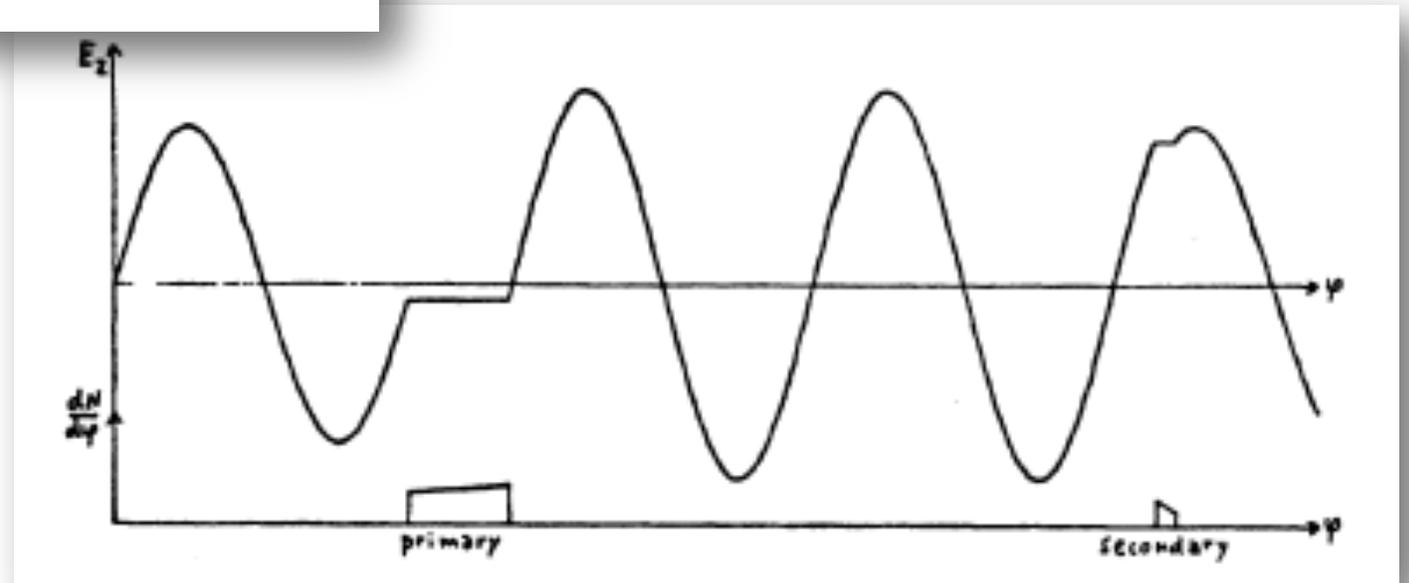
Beam loading, energy spread and efficiency

→ Flatten wakefield (no slope)

1985 van der Meer



van der Meer: Nobel Prize Physics for invention of stochastic cooling → SppS collider at CERN



Solution: Reduce energy spread by a FODO plasma scheme

Jump from positive (focusing) to negative phase (defocusing) of plasma accelerator → kind of FODO scheme

PRL 118, 214801 (2017)

PHYSICAL REVIEW LETTERS

week ending
26 MAY 2017

Chirp Mitigation of Plasma-Accelerated Beams by a Modulated Plasma Density

R. Brinkmann,¹ N. Delbos,² I. Dommair,² M. Kirchen,² R. Assmann,¹ C. Behrens,¹ K. Floettmann,¹ J. Grebenyuk,¹
M. Gross,³ S. Jalas,² T. Mehrling,¹ A. Martinez de la Ossa,⁴ J. Osterhoff,¹ B. Schmidt,¹ V. Wacker,¹ and A. R. Maier^{2,*}

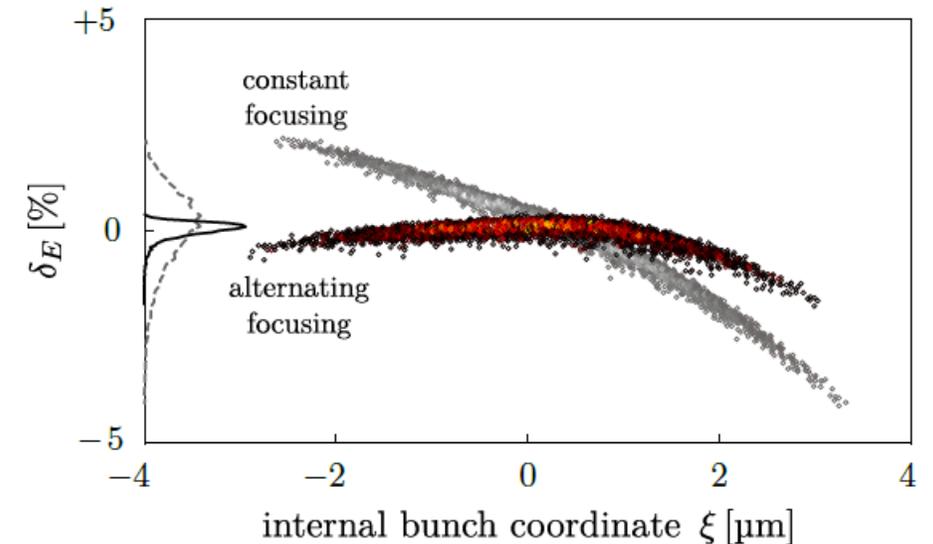
¹Deutsches Elektronen-Synchrotron DESY, Notkestrasse 85, 22607 Hamburg, Germany

²Center for Free-Electron Laser Science and Department of Physics, University of Hamburg, Luruper Chaussee 149, 22761 Hamburg, Germany

³Deutsches Elektronen-Synchrotron DESY, Platanenallee 6, 15738 Zeuthen, Germany

⁴Institut für Experimentalphysik, Universität Hamburg, 22761 Hamburg, Germany

(Received 8 December 2015; published 23 May 2017)



arXiv:1811.07757v1 [physics.acc-ph] 19 Nov 2018

Correlated Energy Spread Compensation in Multi-Stage Plasma-Based Accelerators

A. Ferran Pousa,^{1,2,*} A. Martínez de la Ossa,¹ B. Brinkmann,¹ and R. W. Assmann¹
¹Deutsches Elektronen-Synchrotron DESY, 22607 Hamburg, Germany
²Institut für Experimentalphysik, Universität Hamburg, 22761 Hamburg, Germany
 (Dated: November 20, 2018)

The extreme electromagnetic fields sustained by plasma-based accelerators allow for energy gain rates above 100 GeV/m but are also an inherent source of correlated energy spread. This severely limits the usability of these devices. Here we propose a novel compact concept which compensates the induced energy correlation by combining plasma accelerating stages with a magnetic chicane. Particle-in-cell and tracking simulations of a particular 1.5 m-long setup with two plasma stages show that 5.5 GeV bunches with a final relative energy spread of 1.2×10^{-3} (total) and 5.5×10^{-4} (slice) could be achieved while preserving sub-micron emittance. This at least one order of magnitude below current state-of-the-art and paves the way towards applications such as Free-Electron Lasers.

Plasma-based accelerators (PBAs), driven either by charged particle beams (plasma wakefield accelerator, PWFA [1]) or intense laser pulses (laser wakefield accelerator, LWFA [2]), are able to sustain accelerating gradients in excess of 100 GeV/m [3]. These extreme gradients are orders of magnitude higher than those achievable with radiofrequency technology and offer a path towards miniaturized particle accelerators with ground-breaking applications in science, industry and medicine [4].

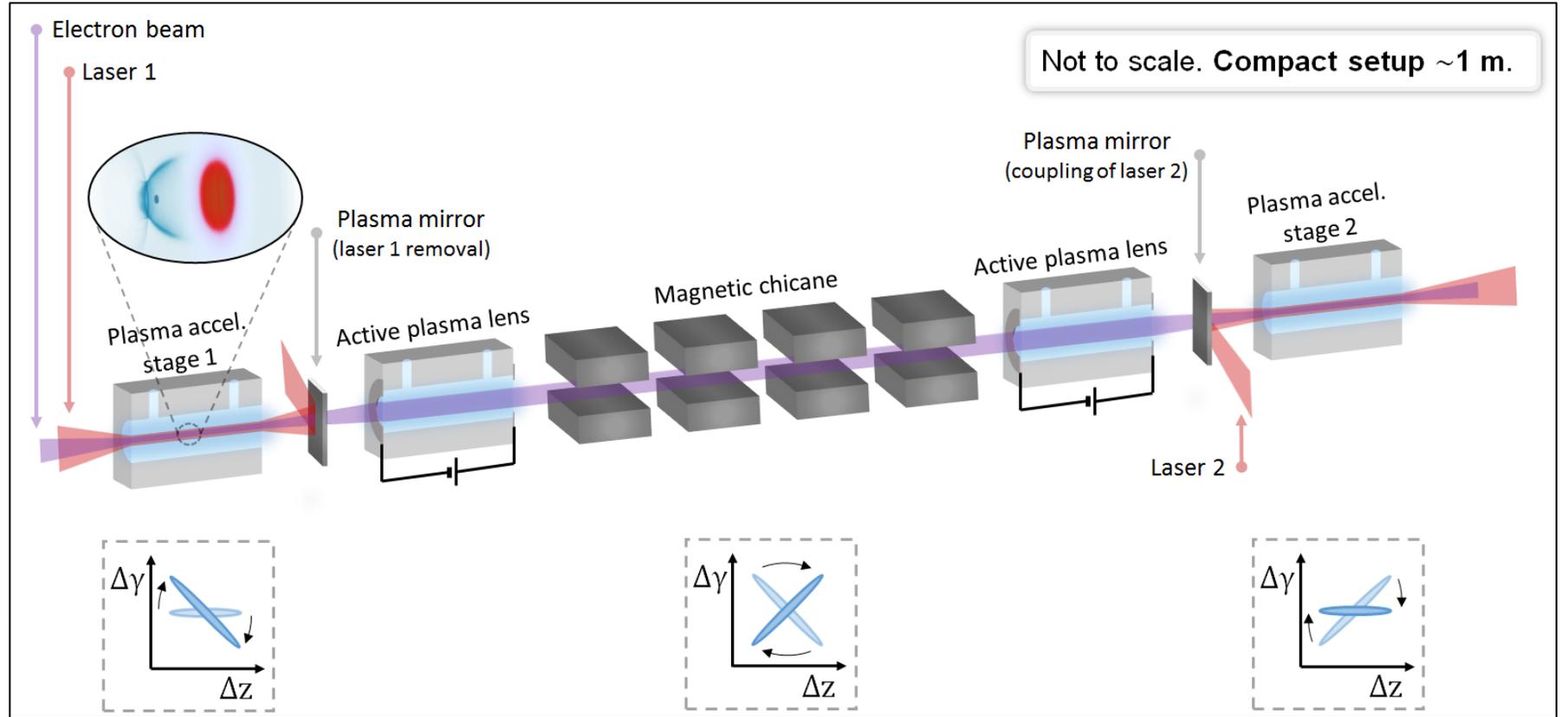
Steady progress over the past decades has led to the successful demonstration of electron bunches with multi-GeV energy [5–8], micron-level emittance [9, 10] and kilopicoampere current [11, 12]. However, the high amplitude and short wavelength (~ 100 nm) of the wakefield naturally imprint a longitudinal energy chirp on the accelerated bunches. In the case of LWFA, this is a long-standing issue for PBAs [13]. This chirp impacts the beam quality, leaving behind an ion cavity with uniform focusing gradient, $K = (m/c^2)\epsilon_0^2$, and an approximately constant longitudinal electric field slope, $E_z' = \partial E_z / \partial z = (m/2e\epsilon_0^2)$ along most of the accelerating phase. Here $\omega_p = \sqrt{n_p e^2 / m\epsilon_0}$ is the plasma frequency, e and m the electron charge and mass, ϵ_0 the vacuum permittivity and n_p the unperturbed plasma density. In order to describe the position and energy of the particles along the accelerator it is also useful to introduce the speed-of-light coordinate, $\xi = z - ct$, as well as the relativistic Lorentz factor, $\gamma = 1/\sqrt{1 - (v/c)^2}$, where t is the time and v and z are, respectively, the particle velocity and longitudinal position in the laboratory frame. Within the generated cavity, electrons perform transverse oscillations (betatron motion) with a frequency $\omega_{\beta}(\xi) = \sqrt{K/\gamma}$, while their energy evolves as $\gamma(t) = \gamma_0 - (e/mc^2)E_z t$.

For a particle bunch with average energy $\gamma(t) = \gamma(t_0)$ centered at ξ , the longitudinal chirp can be expressed as $\gamma(\xi) = (\Delta\gamma(\xi)/(\Delta\xi^2))\gamma(\xi)$, where $\Delta\gamma(\xi) = \gamma(\xi) - \gamma_0$ and $\Delta\xi = \xi - \xi_0$. A simple expression for the chirp evolution within a plasma stage can be obtained if a constant

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In this Letter we propose a novel concept for compensating the correlated energy spread by taking advantage of the naturally occurring energy chirp. The scheme, illustrated in Fig. 1, consists mainly on two identical plasma accelerating stages joined by an intermediate magnetic chicane in which the longitudinal energy correlation of the bunch is inverted. Thus, the energy chirp generated in the first stage is compensated in the second. Numerical simulations with the Particle-in-Cell (PIC) code FBPIC [26] as well as the tracking codes ASTRA [27] and CSRtrack [28] show that multi-GeV bunches with unprecedented energy spread could be obtained with this method. Although LWFA-based accelerators are only valid in the blowout regime, the blowout regime will be considered. In this regime, the plasma electrons, being behind an ion cavity with uniform focusing gradient, $K = (m/c^2)\epsilon_0^2$, and an approximately constant longitudinal electric field slope, $E_z' = \partial E_z / \partial z = (m/2e\epsilon_0^2)$ along most of the accelerating phase. Here $\omega_p = \sqrt{n_p e^2 / m\epsilon_0}$ is the plasma frequency, e and m the electron charge and mass, ϵ_0 the vacuum permittivity and n_p the unperturbed plasma density. In order to describe the position and energy of the particles along the accelerator it is also useful to introduce the speed-of-light coordinate, $\xi = z - ct$, as well as the relativistic Lorentz factor, $\gamma = 1/\sqrt{1 - (v/c)^2}$, where t is the time and v and z are, respectively, the particle velocity and longitudinal position in the laboratory frame. Within the generated cavity, electrons perform transverse oscillations (betatron motion) with a frequency $\omega_{\beta}(\xi) = \sqrt{K/\gamma}$, while their energy evolves as $\gamma(t) = \gamma_0 - (e/mc^2)E_z t$.

Ref.: Ferran Pousa, Martínez de la Ossa, Brinkmann, Assmann, **arXiv: 1811.07757**



Not to scale. Compact setup ~1 m.

Particle-in-cell and tracking simulations of a particular 1.5 m-long setup with two plasma stages show that **5.5 GeV bunches with a final relative energy spread of 1.2×10^{-3} (total) and 5.5×10^{-4} (slice)** could be achieved while preserving sub-micron emittance. This at least one order of magnitude below current state-of-the-art and paves the way towards applications such as Free-Electron Lasers.

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Solving this issue is therefore key for demonstrating the usability of these devices. A well known concept for mitigating the correlated energy spread is that of beam loading [15–16], in which the witness bunch itself is used to flatten the slope of the accelerating fields. This, however, relies on a very precise shaping of the current profile and has yet to be demonstrated with the desired performance. Furthermore, since the optimal profile depends on the wakefield structure, a certain energy spread will always develop in LWFA, where the wakefield experienced by the bunch will change due to the laser evolution [20] as well as dephasing [21]. Alternative ideas have also been proposed in order to achieve, in average, a flat accelerating gradient. These include modulating [22] or tailoring [23] the plasma density profile as well as injecting a secondary bunch [24], but they show limited success or remain to be experimentally realized. A different approach contemplates stretching the bunch in order to minimize the slice energy spread [25].

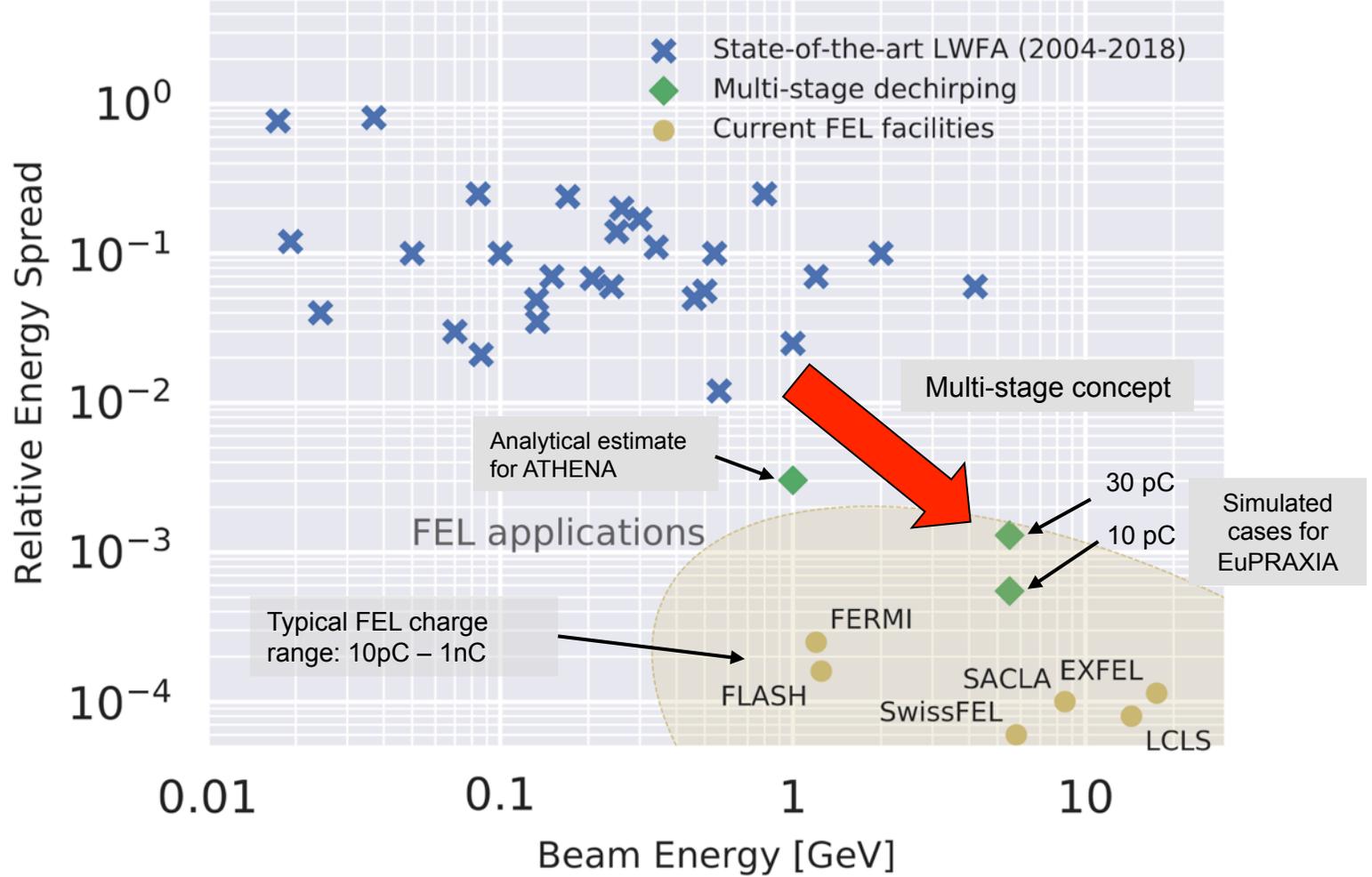
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In order to introduce a chirp, the blowout regime [29, 30] should be considered. In this regime, the laser pulse is able to expel all backscattered plasma electrons, leaving behind an ion cavity with uniform focusing gradient, $K_{\perp} = (m_e Z_0 c)^2$, and an approximately constant longitudinal electric field slope, $E_{\parallel} = \partial_t E_{\perp} = (m_e Z_0)^2 \dot{a}_{\perp}$ along most of the accelerating phase. Here $\omega_p = \sqrt{4\pi n_e e^2 / m_e}$ is the plasma frequency, ϵ and m the electron charge and mass, ϵ_0 the vacuum permittivity and n_e the unperturbed plasma density. In order to describe the position and energy of the particles along the accelerator it is also useful to introduce the speed-of-light coordinate, $\xi = z - ct$, as well as the relativistic Lorentz factor, $\gamma = 1/\sqrt{1 - (v/c)^2}$, where t is the time and v and z are, respectively, the particle velocity and longitudinal position in the laboratory frame. Within the generated cavity, electrons perform transverse oscillations (known as betatron motion) with a frequency $\omega_{\beta}(t) = \sqrt{K_{\perp}/\gamma(t)^3}$, while their energy evolves as $\dot{\gamma}(t) = \gamma_0 - (e/mc)E_{\parallel}t$.

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Submitted for publication
Available on arXiv

Ref.: Ferran Pousa, Martinez de la Ossa, Brinkmann, Assmann, arXiv:1811.07757



Solve external timing for laser-driven plasma accelerators

Achieve required sub-femtosecond timing and accuracy...

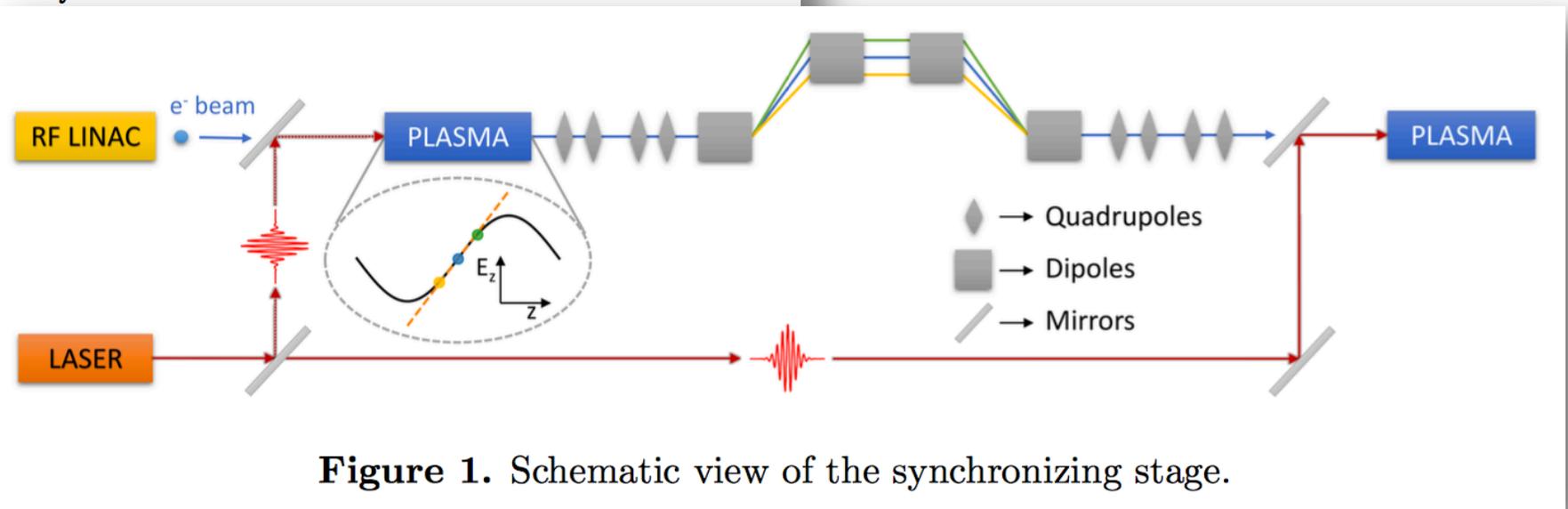
External injection into a laser-driven plasma accelerator with sub-femtosecond timing jitter

A Ferran Pousa^{1,2}, R Assmann¹, R Brinkmann¹ and A Martinez de la Ossa^{1,2}

¹ DESY, 22607 Hamburg, Germany

² Universität Hamburg, 22761 Hamburg, Germany

E-mail: angel.ferran.pousa@desy.de



Some Projects to Realize these Accelerators...

EuPRAXIA Horizon2020 Design Study *(DESY coordinated)*

European Plasma Accelerator Infrastructure with Pilot Users, site-independent (now mid-term)

- Collaboration of **41 institutes**
 - **16 EU laboratories** are beneficiaries
 - **25 associated partners** from EU, Europe, Asia and US contribute in-kind
- Collaboration brings together:
 - **Big science labs:** photon science, particle physics
 - **Laser laboratories:** high power lasers
 - **International laboratories:** CERN, ELI (associated)
 - **Universities:** accelerator research, plasma, laser
- Organized in **8 EU-funded work packages** and **6 in-kind work packages**
- **125 scientists** in our work list



EuPRAXIA: A European Strategy for Accelerator Innovation

Do the required intermediate step between proof of principle and production facility – make one acc. unit!

PRESENT EXPERIMENTS

Demonstrating **100 GV/m** routinely
Demonstrating **GeV** electron beams
Demonstrating basic **quality**



EuPRAXIA INFRASTRUCTURE

Engineering a high quality, compact plasma accelerator
5 GeV electron beam for the **2020's**

Demonstrating user readiness
Pilot users from FEL, HEP, medicine, ...



PRODUCTION FACILITIES

Plasma-based **linear collider** in **2040's**

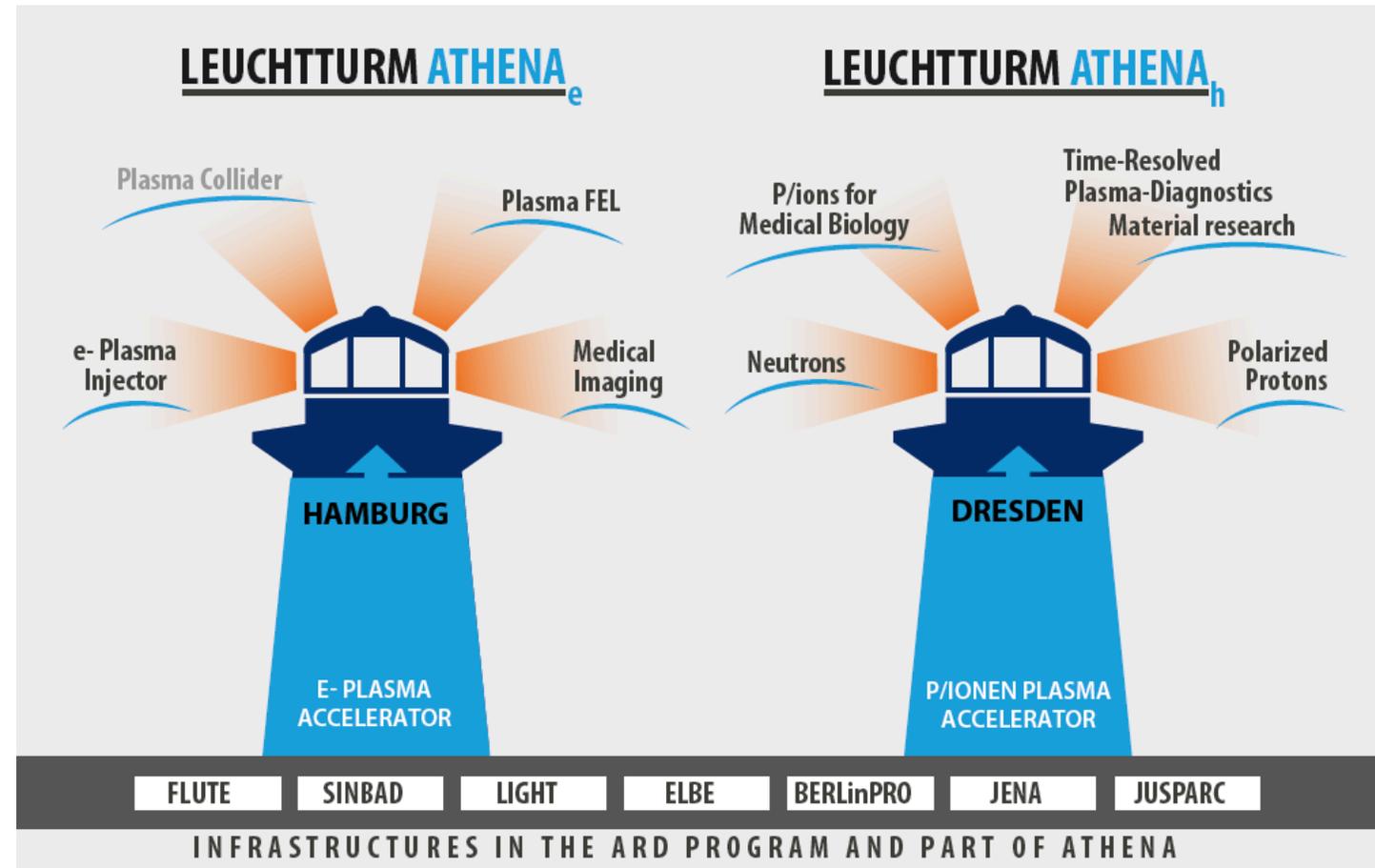
Plasma-based **FEL** in **2030's**

Medical, industrial applications soon

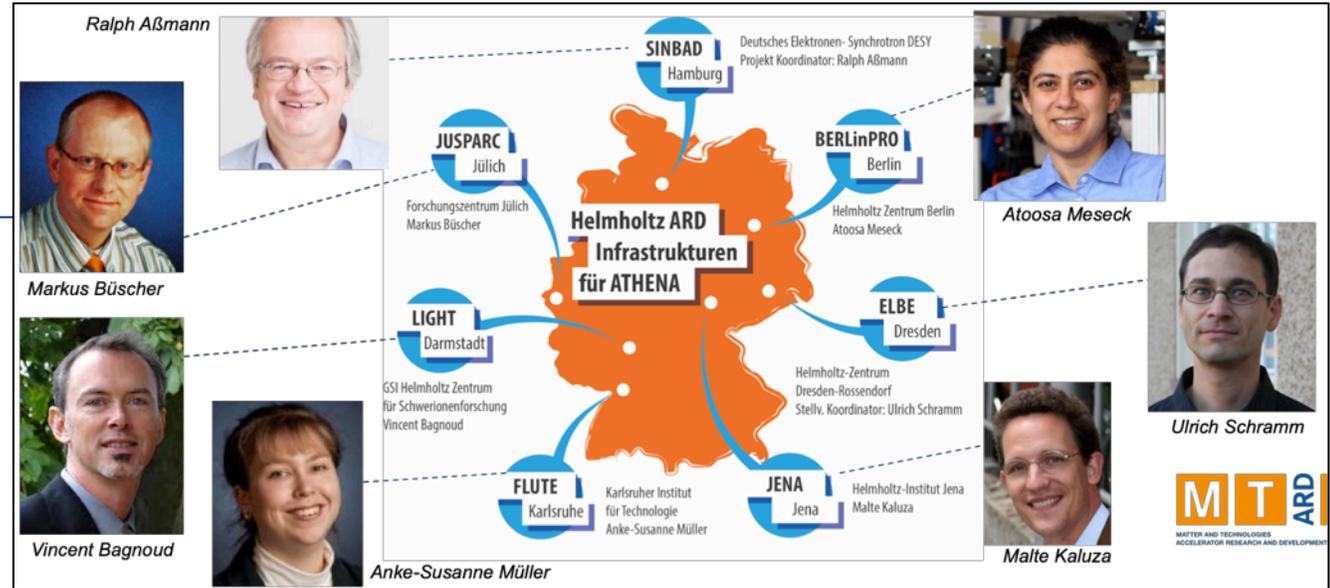
The ATHENA Project

Two Flagships Constructed Together

- **30 M€ investment** of Helmholtz association and BMBF.
- Total volume: **42.5 M€** (incl. personnel). OP budget defined.
- Include **work in 7 research infrastructures** in Helmholtz
- Defines **two flagship projects**: e- in Hamburg, p/ions in Dresden
- Targets **applications**



Project approval: Summer 2018
Construction end: end of 2021
Operation start: 2022



HEADLINES
SCIENCE & TECHNOLOGY

- Usable, smaller size (cost) e-/p/ion accelerators:** additional applications, better quality, improved rate
- Ultra-short pulses:** femto-s science at 1 GeV
- Point-like photon emission:** lateral resolution
- Ultra-small emittance beams:** nano emittance
- Compact injectors for storage rings:** damping

Coordinator: R. Assmann, Deputy coordinator: U. Schramm

→ Please contact us for questions and more information!

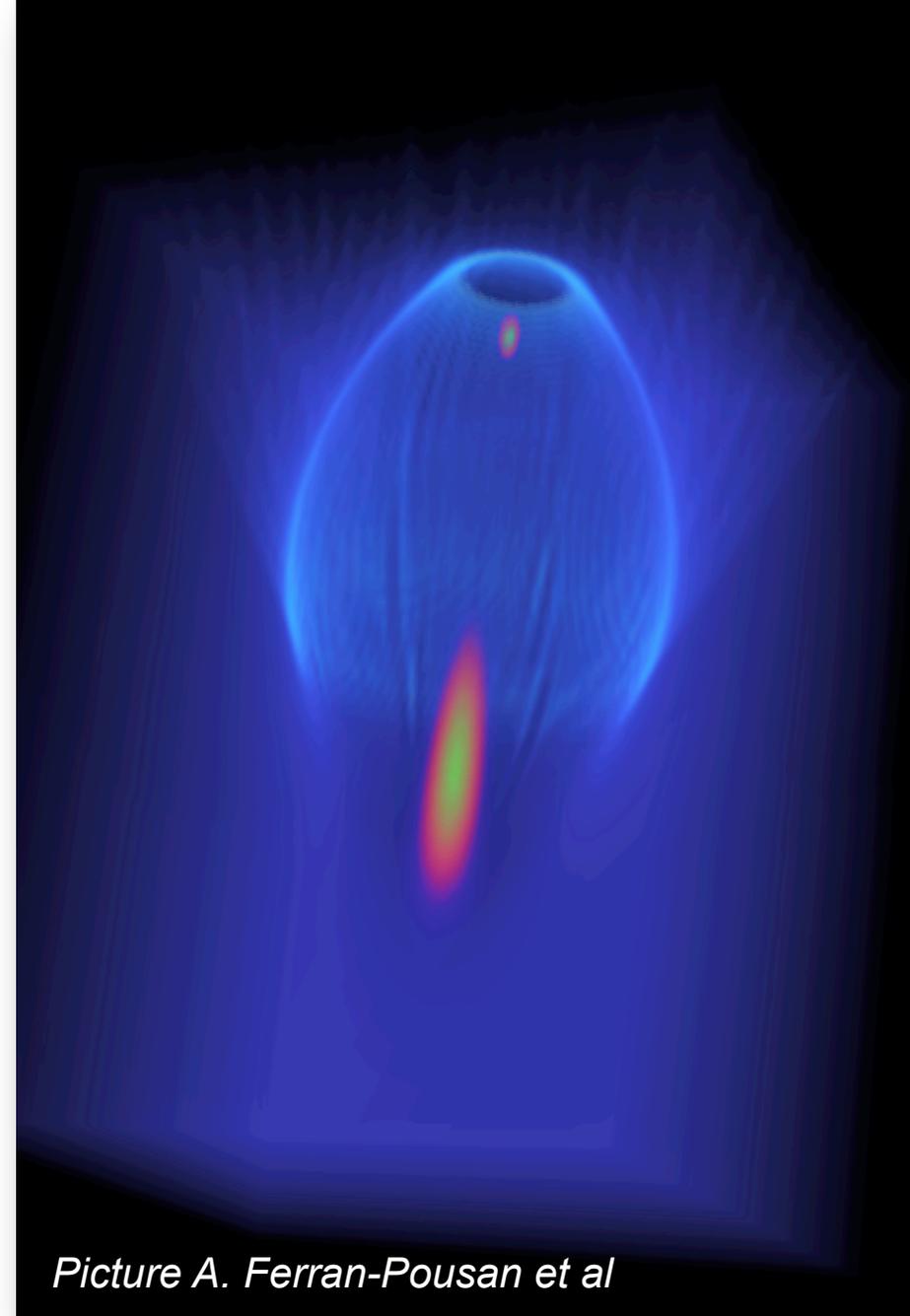
Contents

1. Accelerators – Ultra-High Gradients and High Frequency
2. The Plasma Linear Regime
3. The Energy Spread Challenge
4. Solutions
- 5. Conclusion**

Conclusion

Advanced Accelerator Physics High Gradient Schemes

- High gradient comes with **high frequency and very small dimension** → unique challenges arise and need to be addressed.
- **Plasma accelerators** have advanced nicely and are a possible game changer. Energy very promising but beam quality insufficient:
 - There are **now near future science applications outside HEP, e.g. FEL**. This can be the stepstone towards a plasma linear collider.
 - Important to understand the details of the accelerator physics → **limitations in energy spread and bunch length at important level**
 - **Novel solutions** promise major advances → beam quality close to big science beam quality!?
- A lot of great work done on plasma accelerators but there are **still new things to discover and to work out**.
- **Analytical theory and basic physics understanding** is important and provides the insights that we need!



Picture A. Ferran-Pousan et al

EAAAC 2019

15 - 20 September 2019

Elba, Italy

4th European Advanced Accelerator Concepts Workshop

Please
reserve the
dates for
September
2019

We would be
very glad to
welcome you
in Elba

European Network for Novel Accelerators

EuroNNAc₃

supported by EU via ARIES



Frontier Detectors for Frontier Physics



Thank you for your attention