

# Linear Collider Beam Dynamics I, II and III

D. Schulte

# Introduction



# Stepping Stones

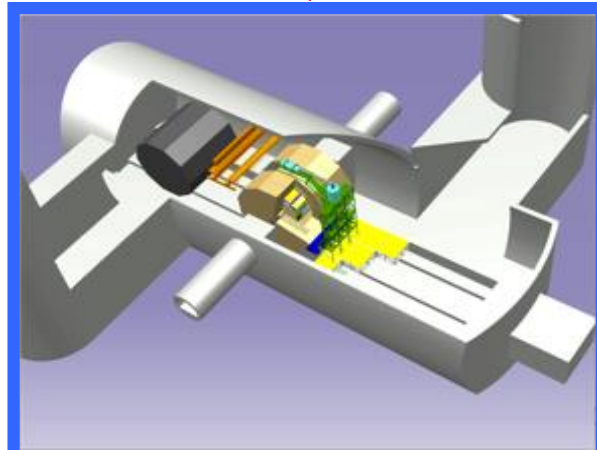
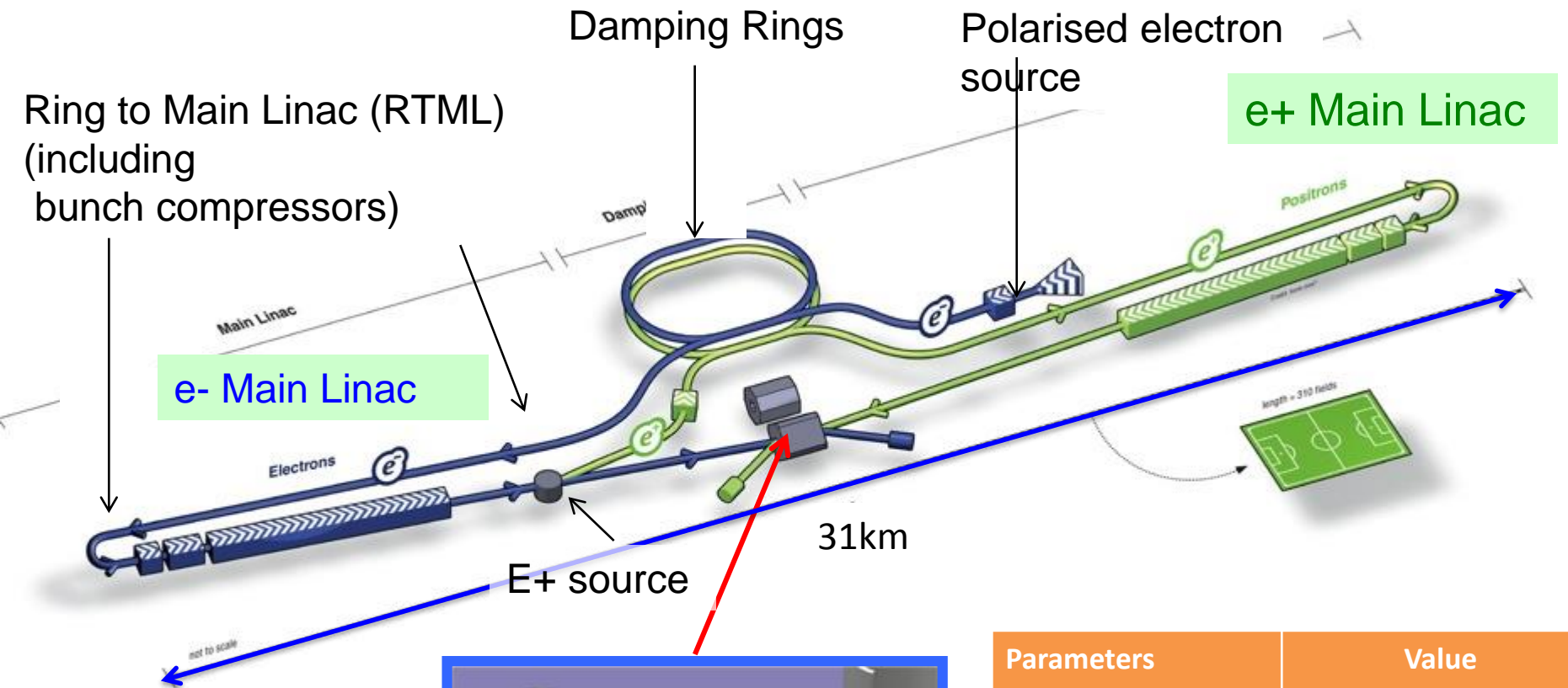
- Beam parameters and main systems
  - Luminosity
  - Main linac and efficiency
- Main linac and beam parameter choice
  - Single bunch energy spread
  - Single bunch beam break-up
  - Multi-bunch beam break-up
- Emittance Preservation
  - Static imperfections
  - Dynamic imperfections

# Overall Design and Parameters





# ILC



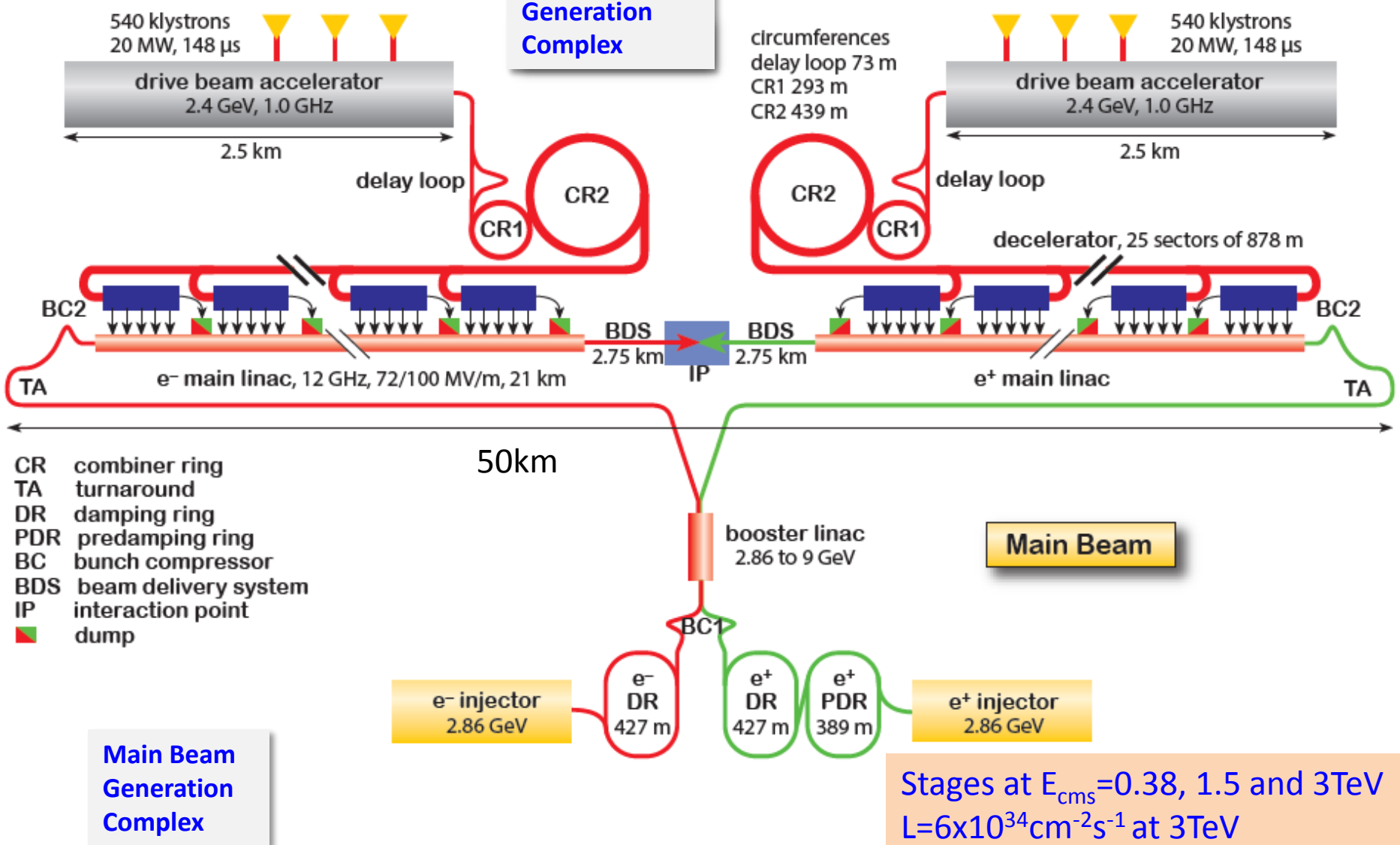
Parameters	Value
C.M. Energy	500 GeV
Peak luminosity	$1.8 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$
Beam power	10.5 MW
Beam Rep. rate	5 Hz
E gradient	31.5 MV/m +/-20%

# CLIC (3 TeV)

⇒ Steinar Stapnes  
Thursday 22.2.

CLIC at 3TeV shown

Goal: Lepton energy frontier



Stages at  $E_{\text{cms}} = 0.38, 1.5$  and  $3\text{TeV}$   
 $L = 6 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$  at  $3\text{TeV}$

Beam power 30MW at  $3\text{TeV}$

# SLC: The only Linear Collider that existed

⇒ Frank Zimmermann  
Friday 2.3.

the  $Z^0$

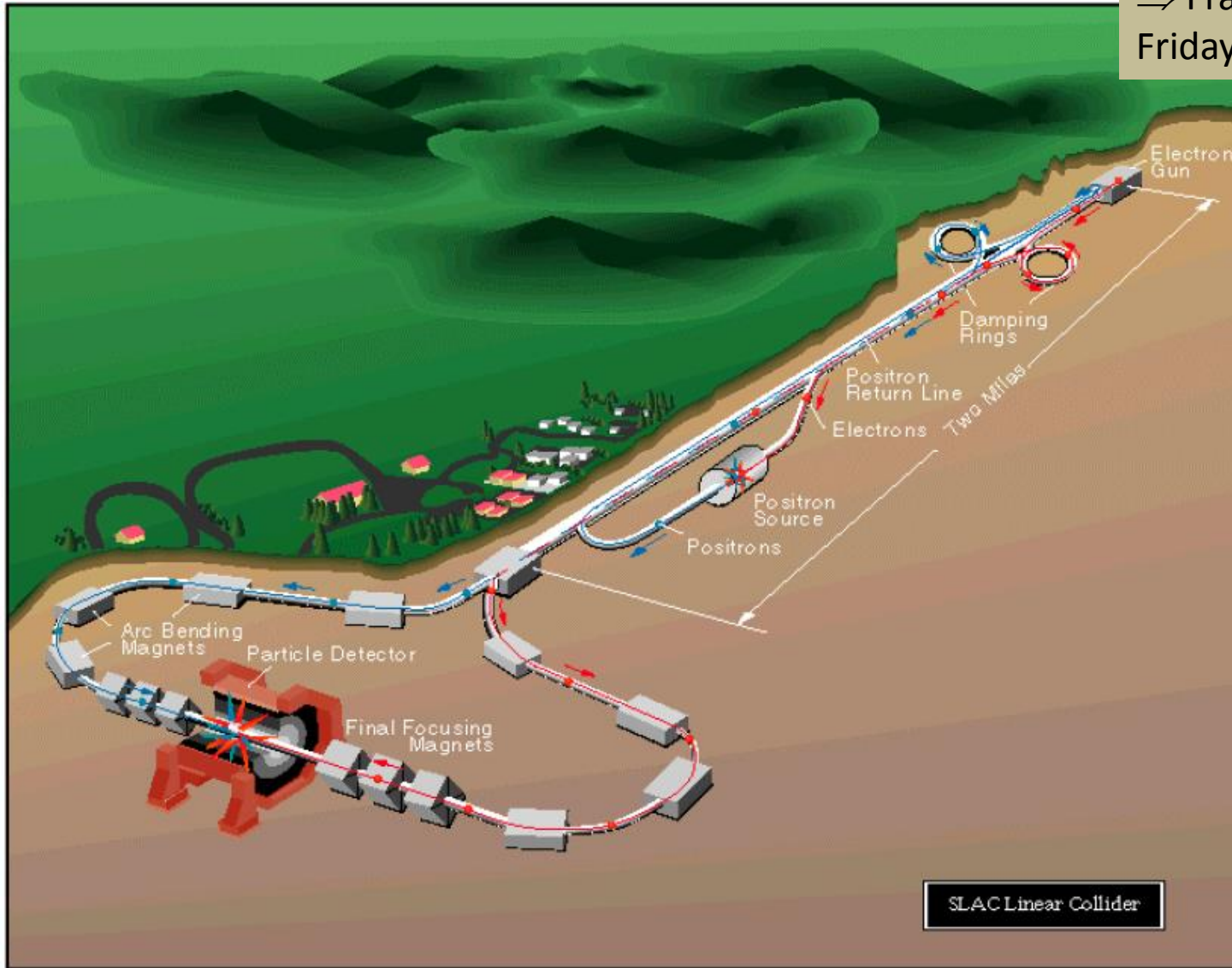
and demonstrate  
linear collider  
feasibility

Energy = 92 GeV

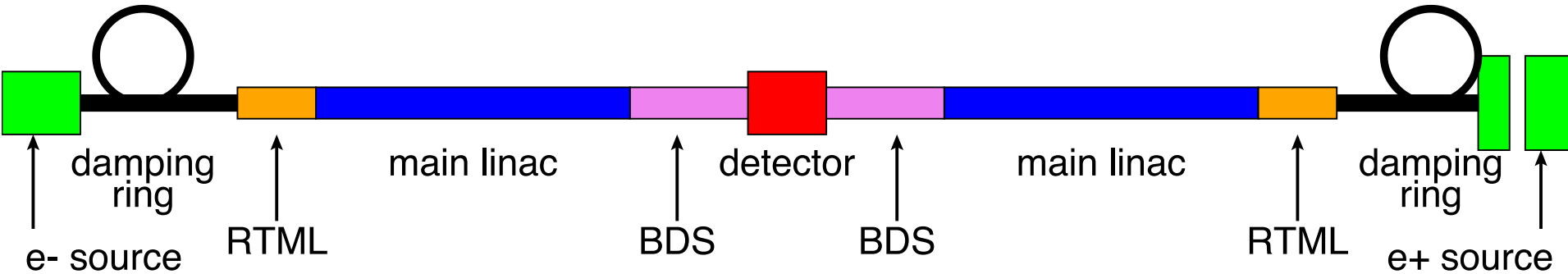
Luminosity =  $2e30$

Has all the features  
of a 2nd gen. LC  
except both  $e^+$   
and  $e^-$  used the  
same linac

A 10% prototype!



# Sources



Produce the electron beam

- use a laser to kick electrons out of a cathode

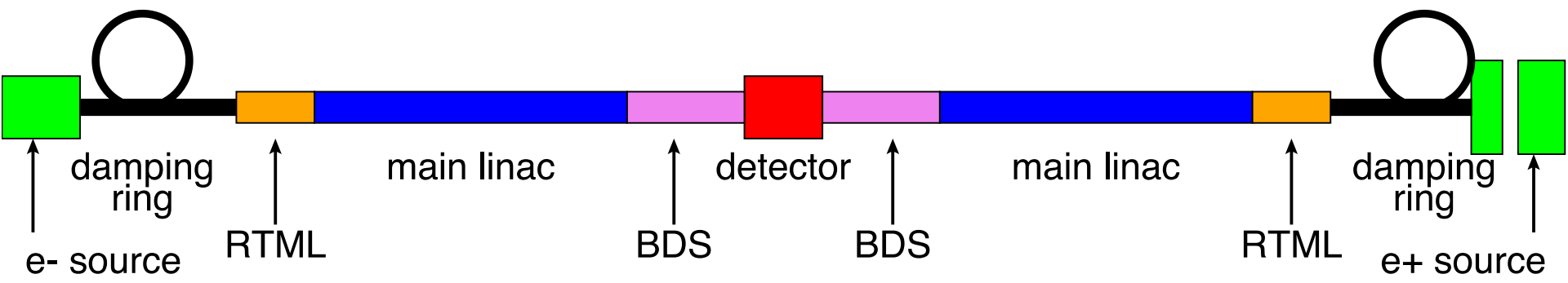
Produce the positron beam

- use an electron beam to produce photons
  - In CLIC in a crystal
  - In ILC in a wiggler
- the photons produce showers in matter
  - harvest the positrons

⇒ Masao Kuriki  
Thursday 1.3.

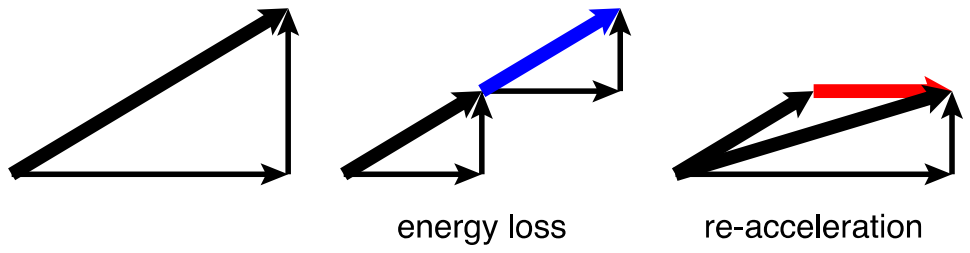


# Damping Rings



Cool the beams

- in particular positron beam



Produce flat beams due to physics

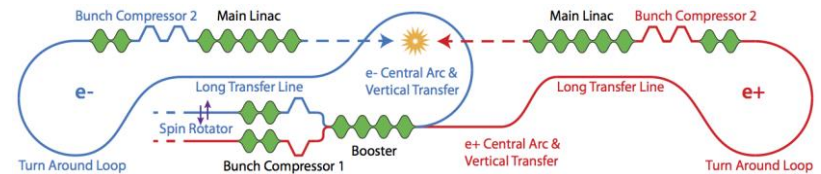
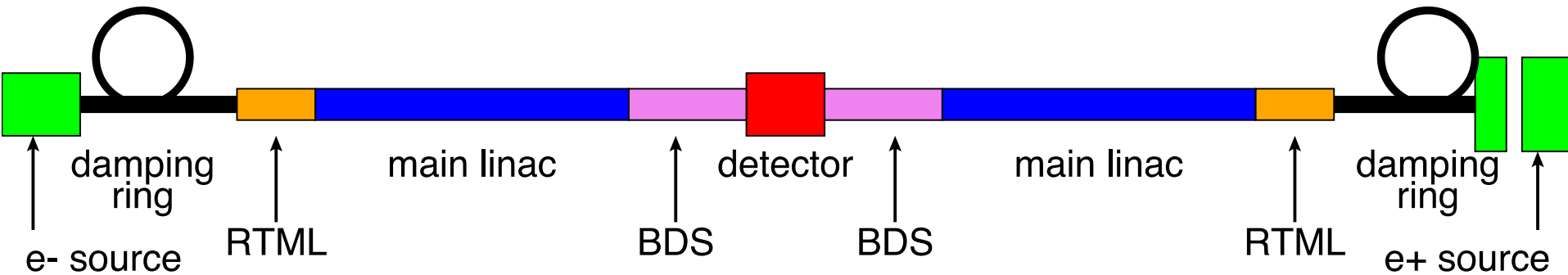
Minimum emittances exist (zero current emittances)

For larger charges they can increase due to collective beam dynamics

⇒ Hermann Schmickler  
Friday 23.2. 😊

⇒ Katsunobu Oide  
Monday 26.2., Tuesday 27.2.

# Ring To Main Linac Transport

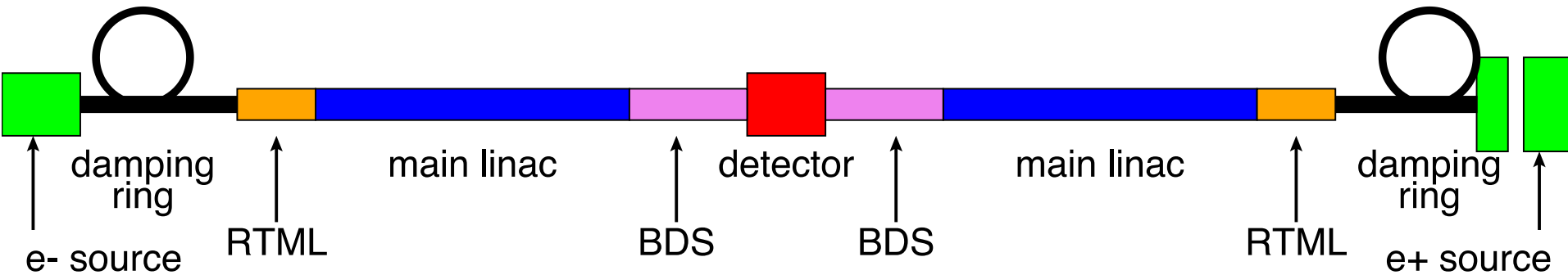


Transports beam from damping ring to main linac

- Compresses bunches from damping ring to main linac (e.g. from 1.6 mm to 70  $\mu\text{m}$  in CLIC)
- Increase the beam energy to be high enough for transport and main linac

⇒ Frank Tecker  
Saturday 24.2.

# Main Linac



Beam power (both beams)  
ILC @ 500 GeV : 11 MW  
CLIC @ 3 TeV: 28 MW

The key for higher energies

The main cost driver

Main power consumer

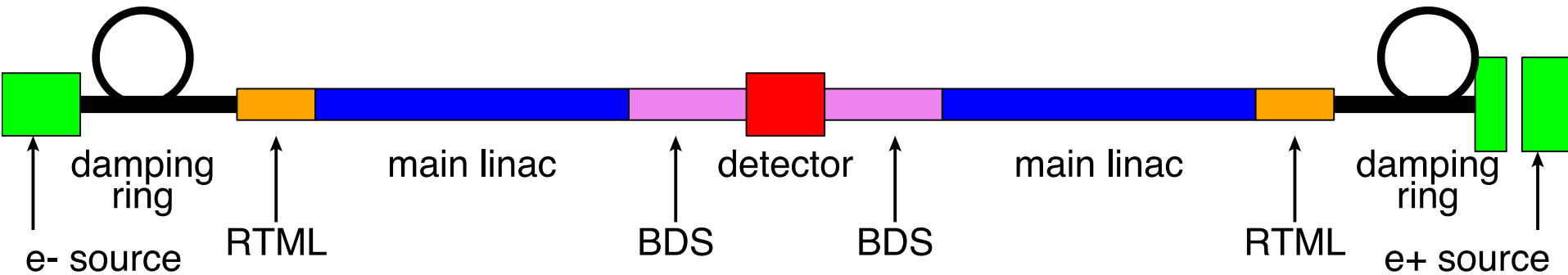
A main ingredient for site choice

The key design driver for other systems

Core of this lecture

Note: 12 hours of main linac lecture in linear collider school only scratches the surface

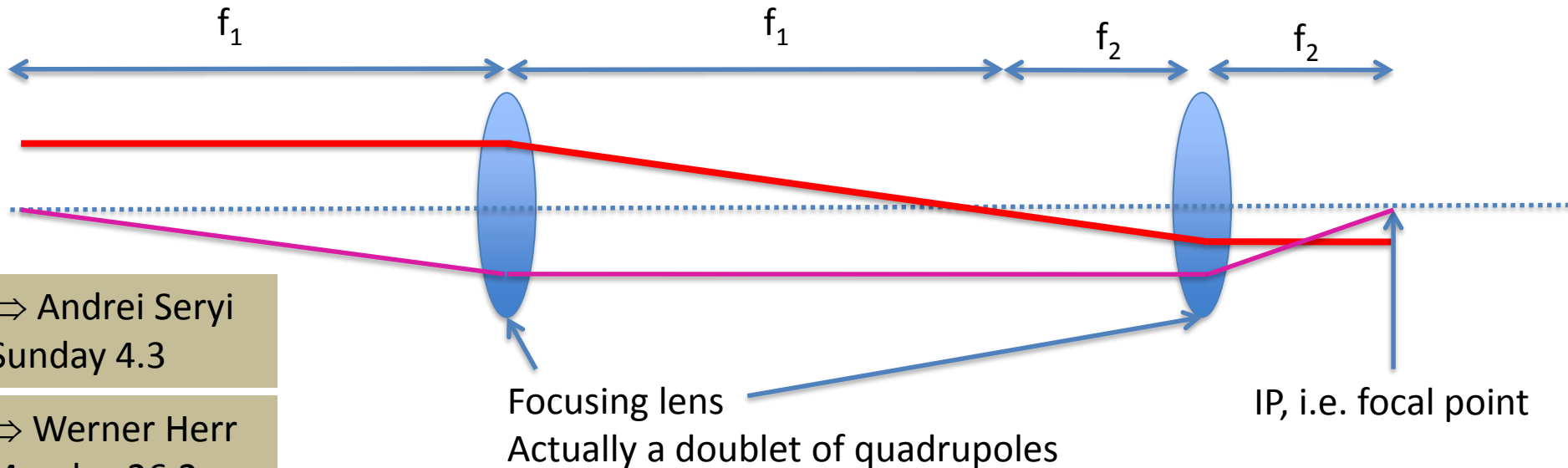
# Beam Delivery System



Cleaning of the beams from tails and halo

- machine protection issue
- Important to avoid background in the detector

Final focus system: Telescope to squeeze the beam to small size, i.e. small beta-function challenging because of the beam energy spread



⇒ Andrei Seryi  
Sunday 4.3

⇒ Werner Herr  
Monday 26.2.



# Challenges: Energy and Luminosity

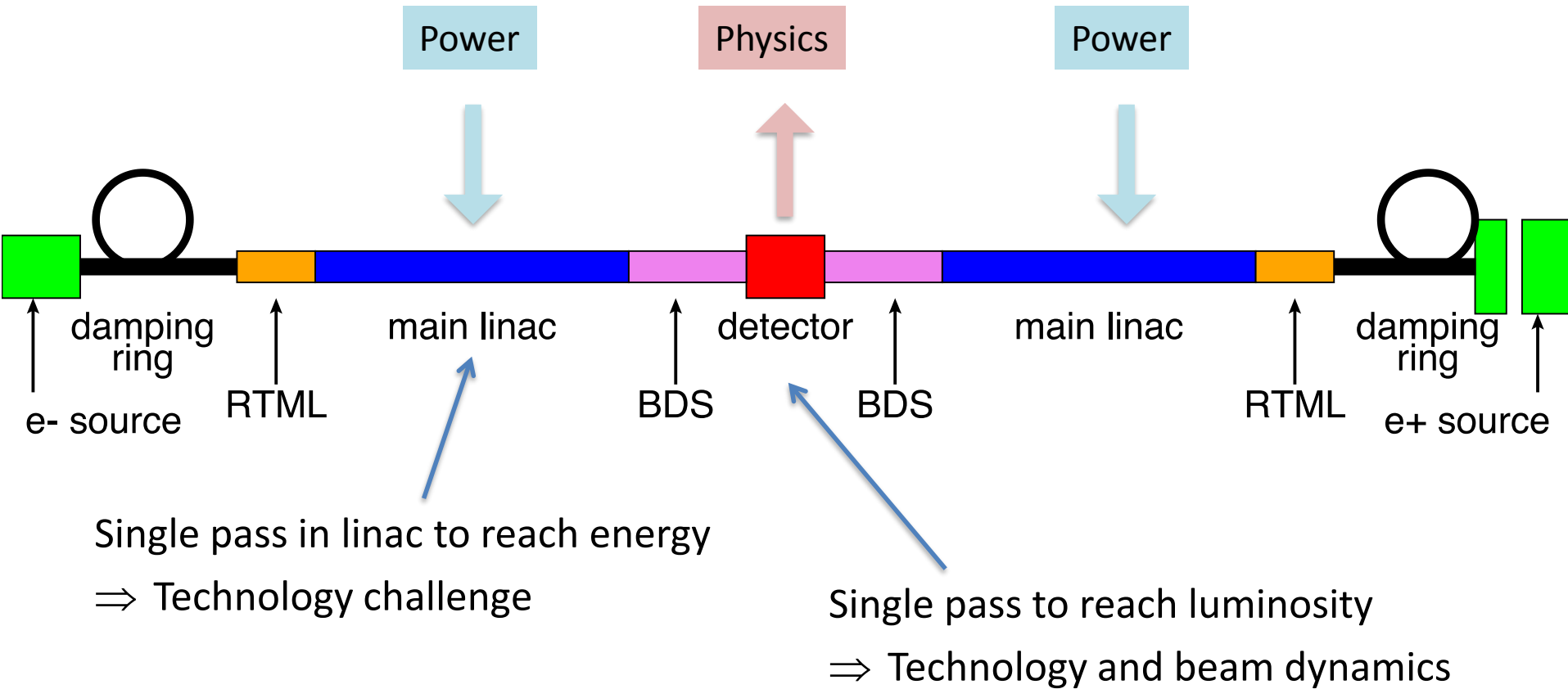


# Key Parameters

Parameter	Symbol [unit]	SLC	ILC	CLIC	CLIC
Centre of mass energy	$E_{cm}$ [GeV]	92	500	380	3000
Luminosity	$L$ [ $10^{34}\text{cm}^{-2}\text{s}^{-1}$ ]	0.0003	1.8	1.5	6
Luminosity in peak	$L_{0.01}$ [ $10^{34}\text{cm}^{-2}\text{s}^{-1}$ ]	0.0003	1	0.9	2
Gradient	$G$ [MV/m]	20	31.5	72	100
Particles per bunch	$N$ [ $10^9$ ]	37	20	5.2	3.72
Bunch length	$\sigma_z$ [ $\mu\text{m}$ ]	1000	300	70	44
Collision beam size	$\sigma_{x,y}$ [nm/nm]	1700/600	474/5.9	143/2.9	40/1
Emittance	$\epsilon_{x,y}$ [ $\mu\text{m}/\text{nm}$ ]	$\sim 3/3000$	10/35	0.95/30	0.66/20
IP beta functions	$\beta_{x,y}$ [mm/mm]	87/10	11/0.48	8/0.1	6/0.07
Bunches per pulse	$n_b$	1	1312	352	312
Bunch distance	$\Delta z$ [mm]	-	554	0.5	0.5
Repetition rate	$f_r$ [Hz]	120	5	50	50

Energy and luminosity goals are defined by physics

# Main Linear Collider Challenges



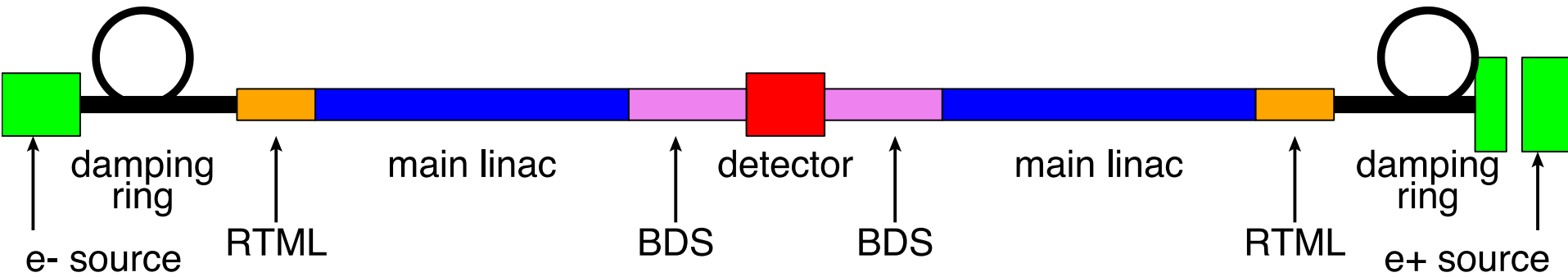
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Note: Emittances are always normalised



# Beam Parameters Along the Collider (CLIC 380)



	$\epsilon_x$ [nm]	$\epsilon_y$ [nm]	$\sigma_z$ [ $\mu\text{m}$ ]	N [ $10^9$ ]	E [GeV]
Damping ring exit	700	5	1600	5.2	2.86
End of RTML	850	10	70	5.2	9.0
End of main linac	920	20	70	5.2	190.0
Interaction point	950	30	70	5.2	190.0

Mainly damping ring defines horizontal emittance

All systems contribute to vertical emittance

Final bunch length defined by main linac

Bunch charge defined by main linac

Bunch energy defined by main linac

# Energy Drivers

Energy is given by linac length and gradient

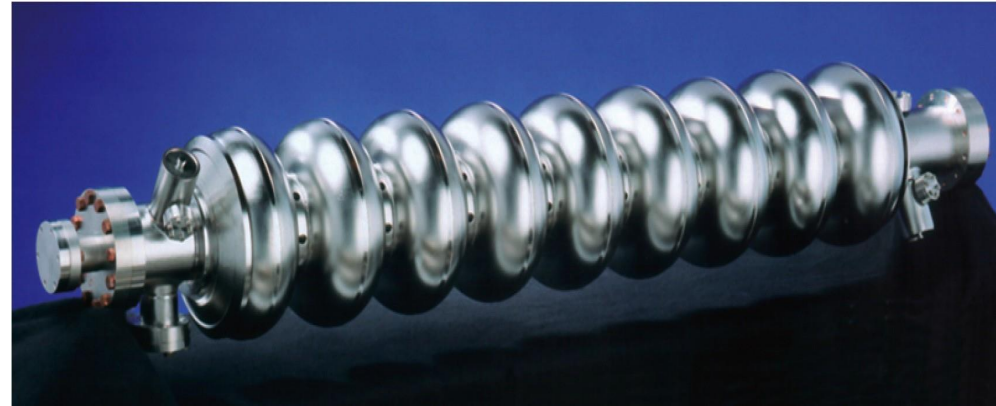
⇒ **Key technology challenge**

⇒ Bulk of the cost

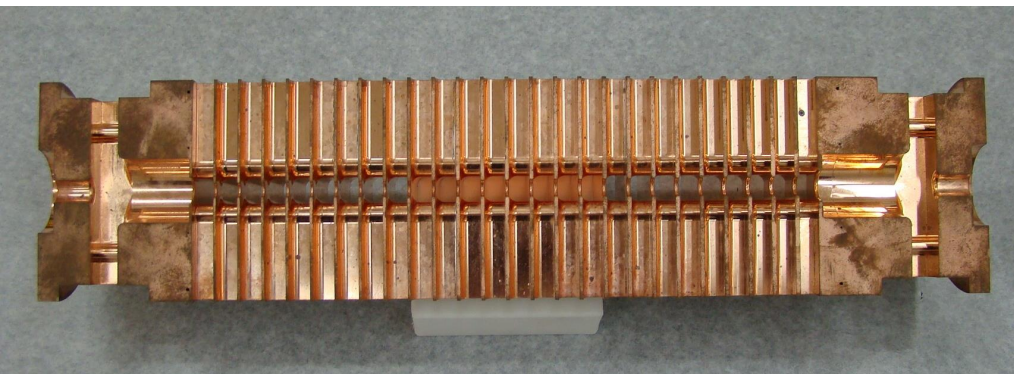
⇒ Bulk of the power consumption

Affordability of the project depends on main linac

Also drives beam parameters



⇒ Erk Jensen,  
Thursday 1.3.



⇒ Walter Wuensch,  
Sunday/Monday 4/5.3.

ILC:

Superconducting cavity at **31.5 MV/m**  
The highest field that is deemed possible  
in mass production

CLIC:

Normal conducting accelerating  
structures at **100 MV/m** for 3 TeV and **72  
MV/m** for 380 GeV  
Gradient optimised for cost

# Luminosity Drivers

Can re-write normal  
luminosity formula

$$\mathcal{L} = H_D \frac{N^2}{4\pi\sigma_x\sigma_y} n_b f_r$$

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \frac{1}{\sigma_y}$$

$H_D$  : pinch enhancement, typically 1-2  
 $N$  : number of particles per bunch  
 $n_b$  : number of bunches per train  
 $f_r$  : number of trains per second  
 $\sigma_{x,y}$  : transverse beamsizes

Luminosity  
spectrum

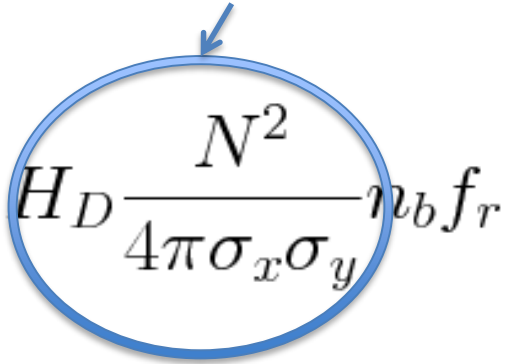
Beam current

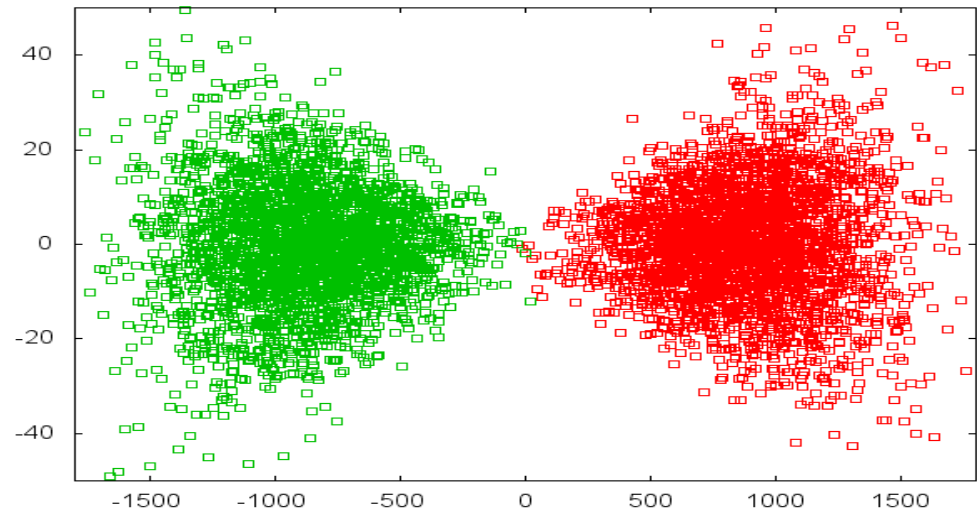
Beam Quality  
(+bunch length)

# How to Produce Luminosity

Note: We use crab crossing  
Can ignore crossing angle

Squeeze as much out of first part

$$\mathcal{L} = H_D \frac{N^2}{4\pi\sigma_x\sigma_y} n_b f_r$$




Single bunch parameters at IP  
Determined by upstream accelerator:

$N, \epsilon_x, \epsilon_y, \sigma_z$

$$\sigma_y = \sqrt{\beta_y \epsilon_y / \gamma}$$

Define the target for the beam delivery system:

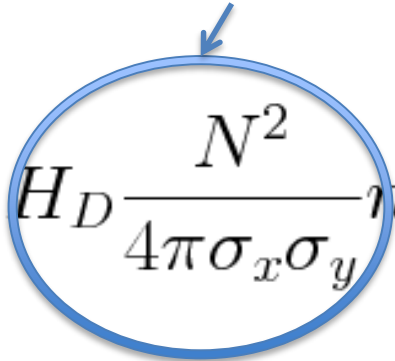
Make beta small and create a small waist



# How to Produce Luminosity

Note: We use crab crossing  
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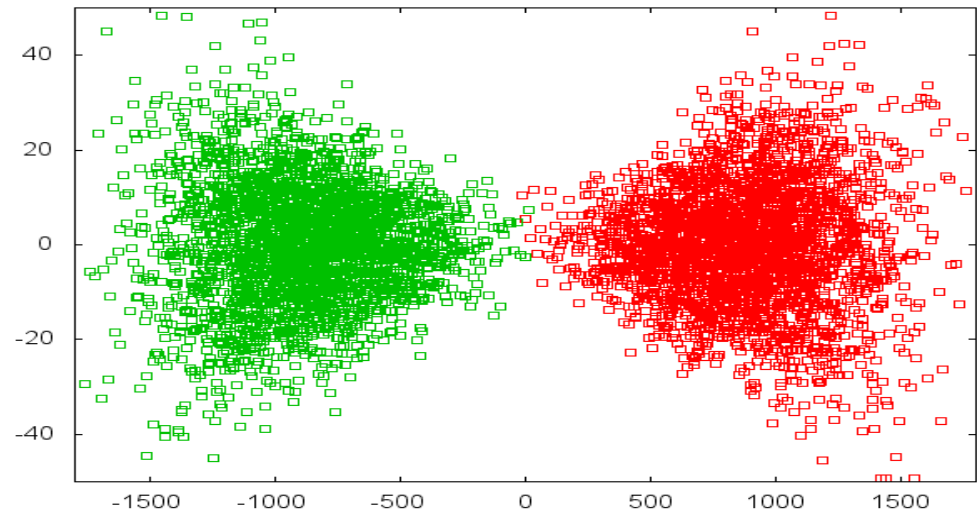
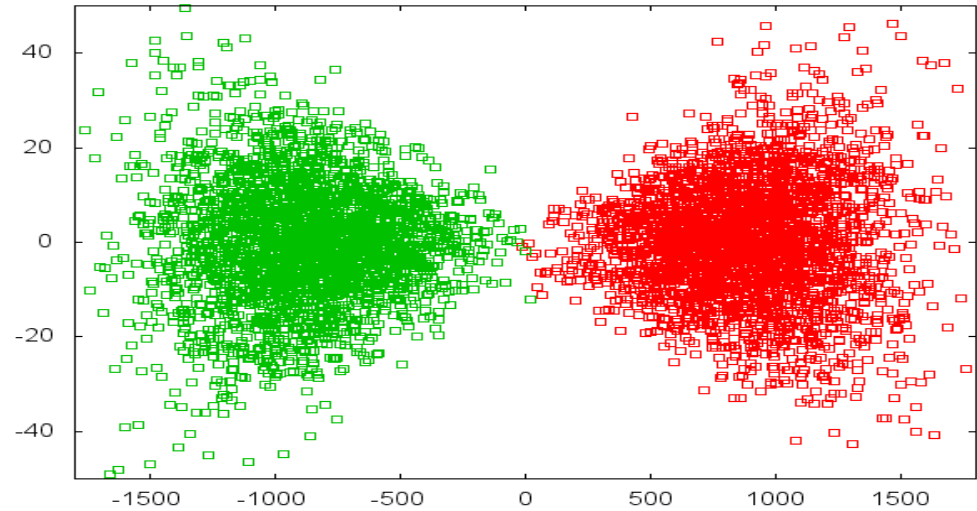
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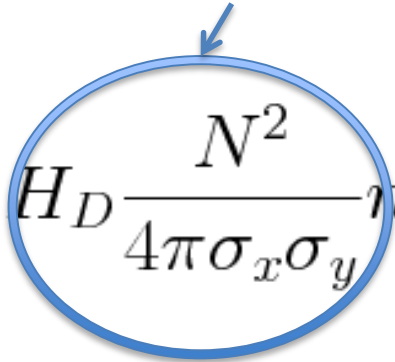
Beams focus each other  
We get more luminosity



# How to Produce Luminosity

Note: We use crab crossing  
Can ignore crossing angle

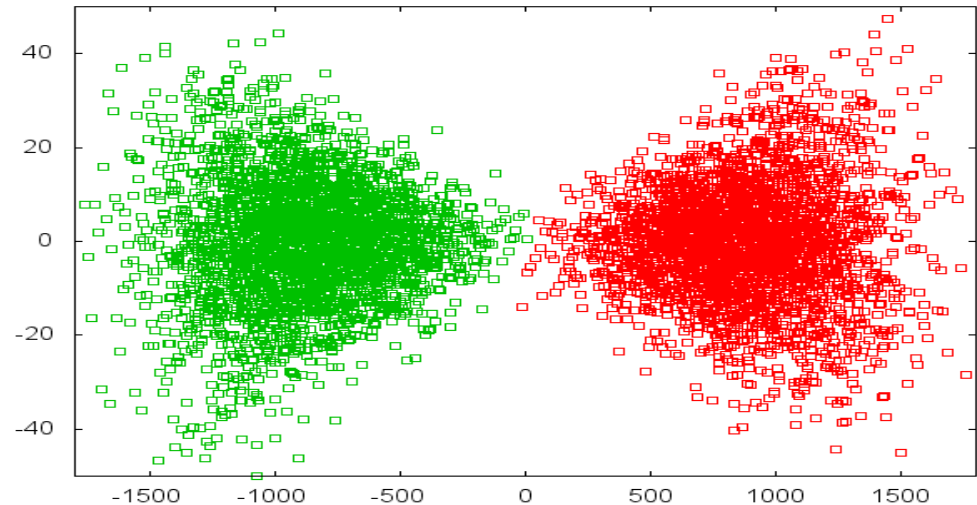
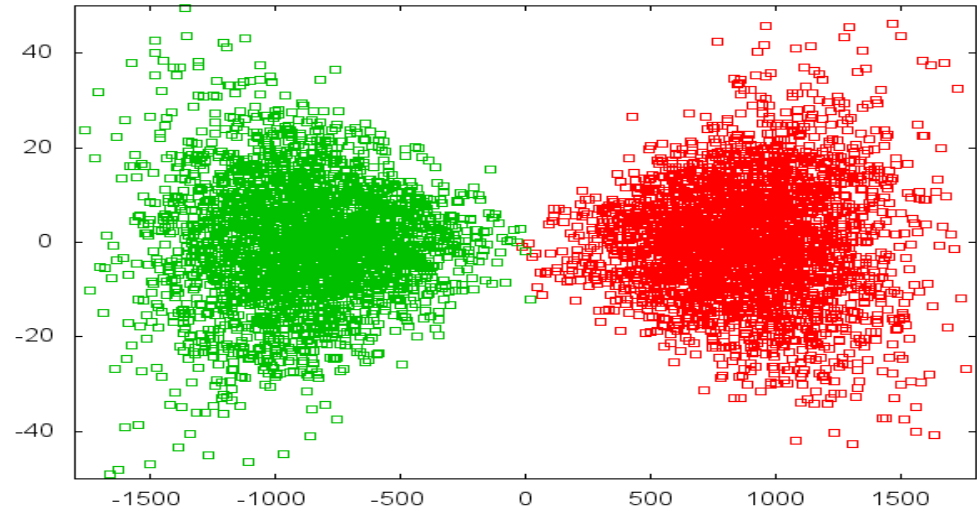
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Single bunch parameters at IP  
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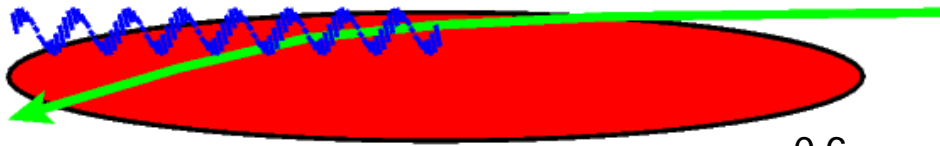
$$N, \epsilon_x, \epsilon_y, \sigma_z$$

Photons are emitted and particles  
lose energy  
The experiments will not like this



# Beamstrahlung

⇒ Werner Herr  
Monday 26.2.



Number of photons dominates  $L_{0.01}/L$

$$n_\gamma \propto \frac{N}{\sigma_x + \sigma_y}$$

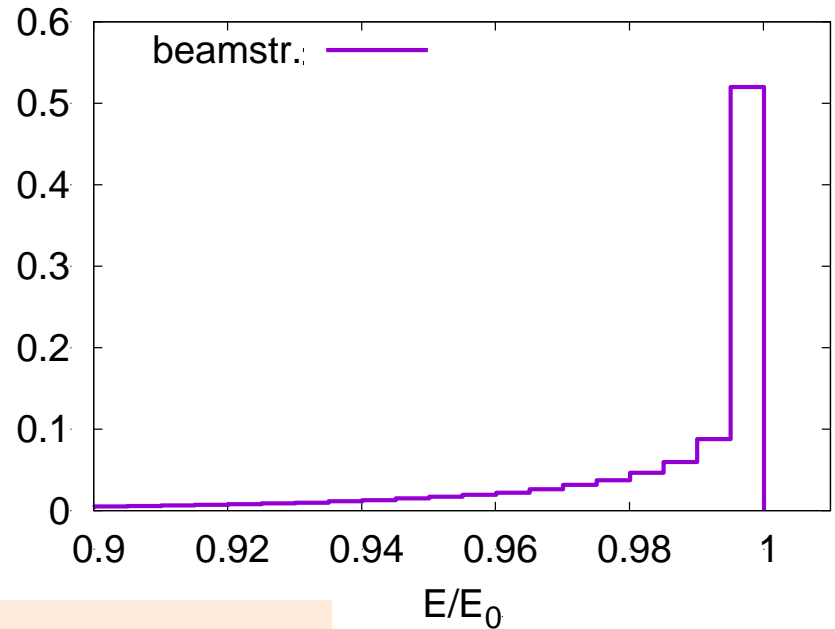
+

$$\mathcal{L} \propto \frac{N}{\sigma_x \sigma_y}$$

$$\sigma_x \gg \sigma_y$$

$$\sigma_x + \sigma_y \approx \sigma_x$$

probability per bin



Note:  
Somewhat different for 3 TeV  
But does not change principle

$$\propto n_\gamma$$

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \frac{1}{\sigma_y}$$

# Choice of Horizontal Beta-function

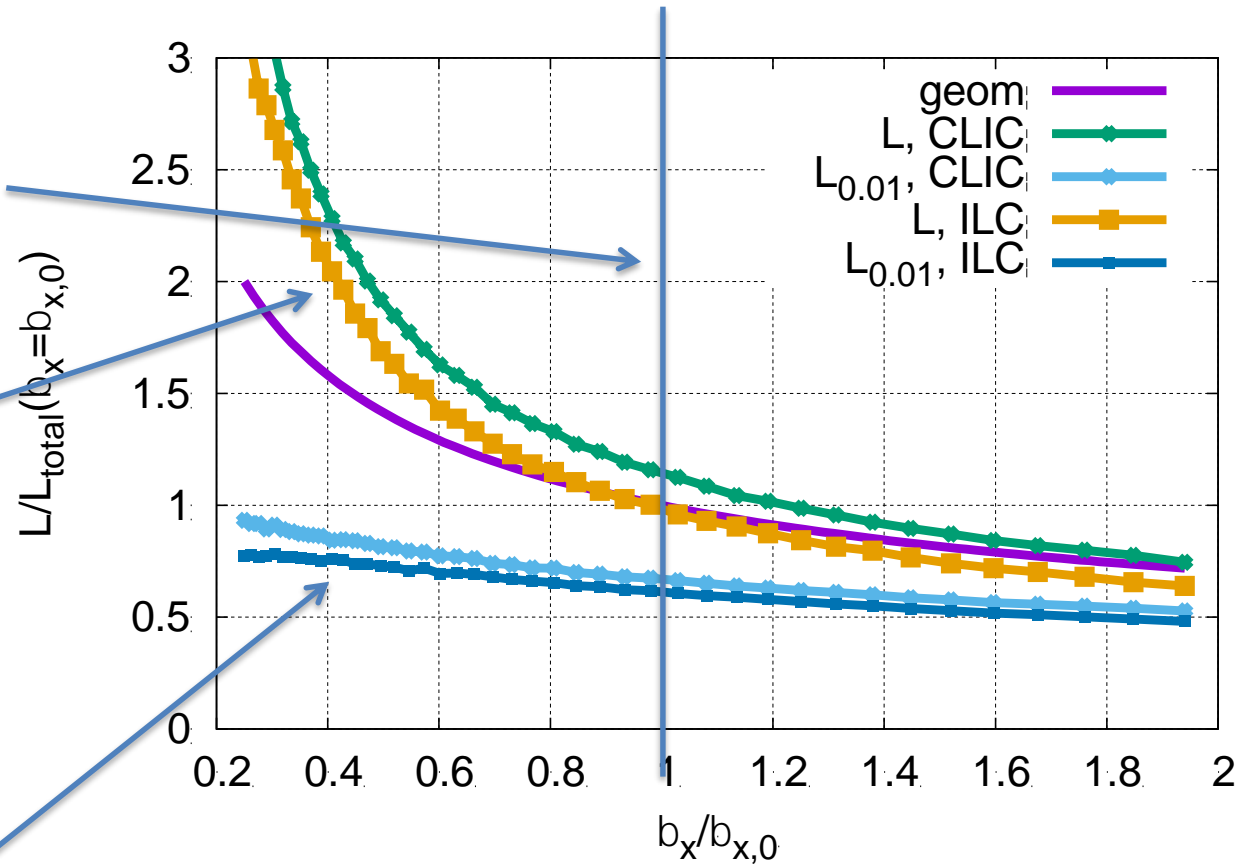
Design value  $L_{0.01}/L=60\%$  is good compromise for most physics studies

$n_\nu \approx (1)$

The total luminosity  $L$  varies strongly with beta-function

But  $L_{0.01}$  does not change so much

Hard to push beta-functions That low



Hence large  $\beta_x$  (ILC: 11 mm, CLIC 380: 8 mm)  
And large  $\epsilon_x$  is acceptable

Note both help to make the vertical counterpart small

# Choice Vertical Beta-function

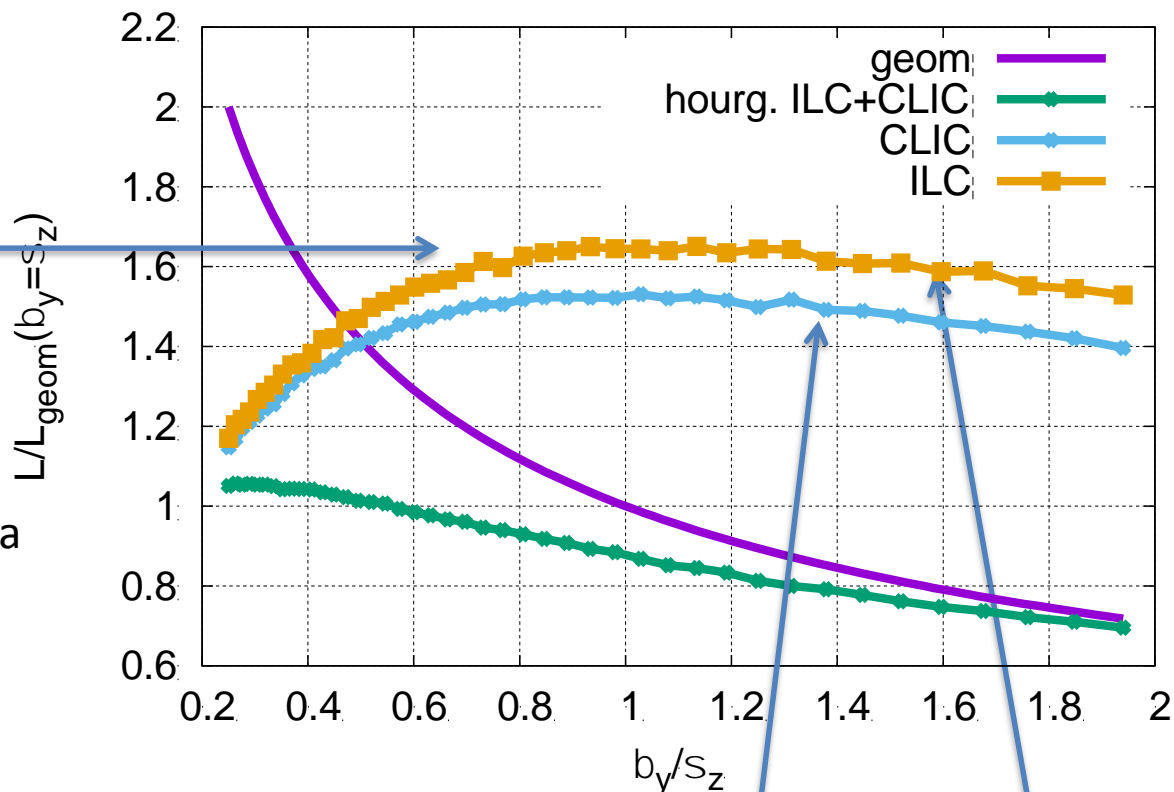
Including pinch effect

$$\mathcal{L} = H_D \frac{N^2}{4\pi\sigma_x\sigma_y} n_b f_r$$

$L/L_{\text{geom}}(b_y = s_z)$

There is an optimum value for beta

For smaller beta-function the geometric luminosity would increase but the enhancement is reduced



CLIC choice

ILC choice

Choose  $\beta_y \approx \sigma_z$

Note: at higher energies this becomes increasingly difficult to achieve

More luminosity for smaller vertical emittance  $\epsilon_y$

Additional limits cannot be discussed here

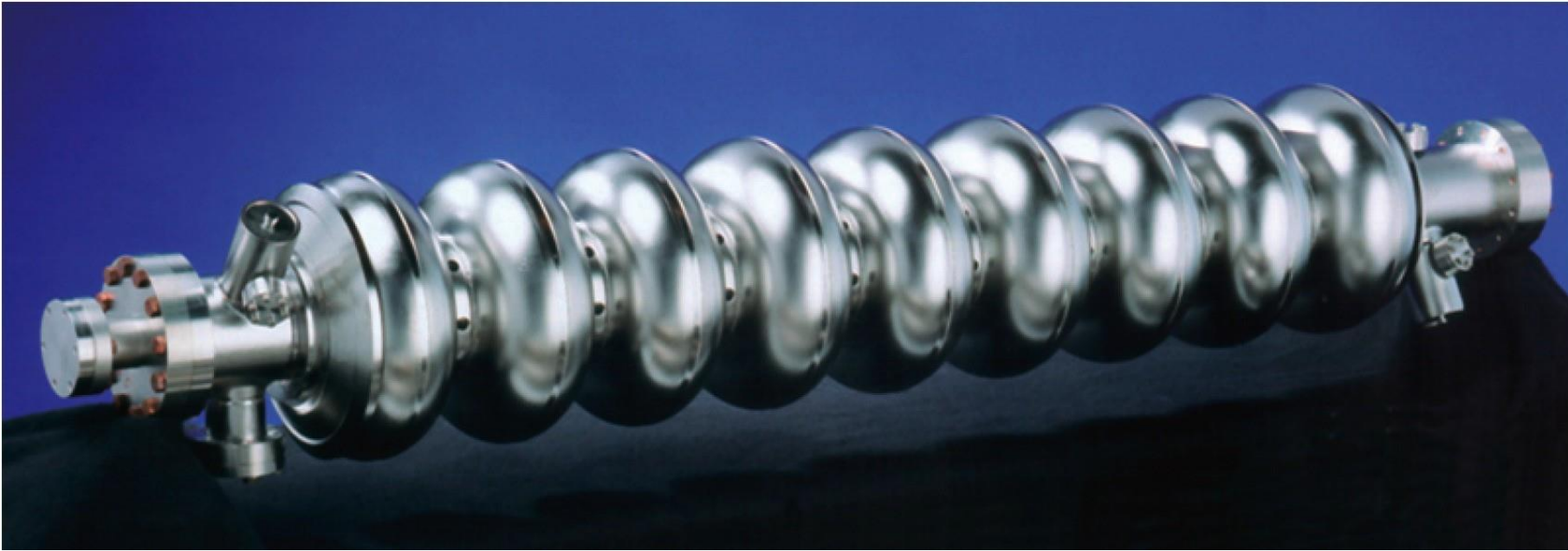


# Main Linac and Efficiency





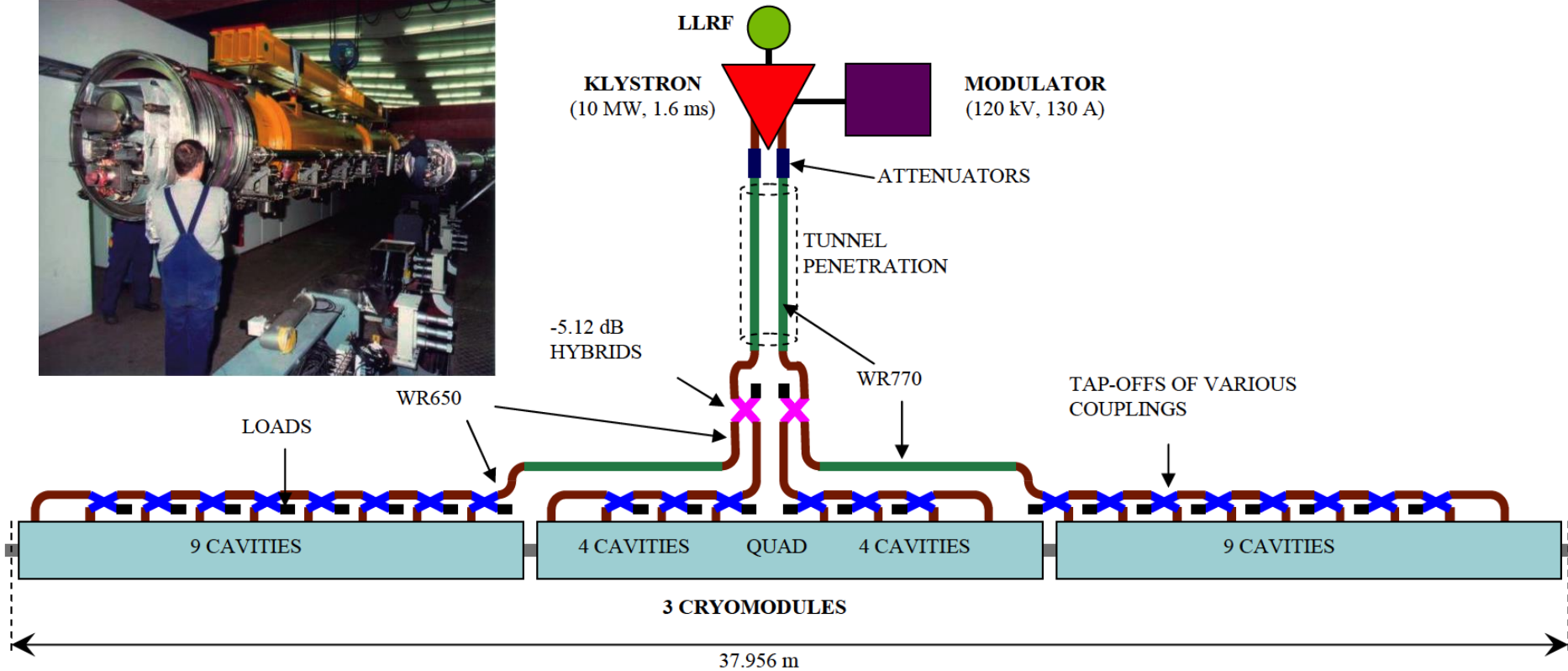
# ILC Accelerating Cavity



- About 1 m long cavity with 31.5 MV/m,
  - super-conducting
  - 1.3 GHz
  - standing wave
  - constant impedance

⇒ Erk Jensen,  
⇒ Thursday 1.3.

# Main Linac Unit



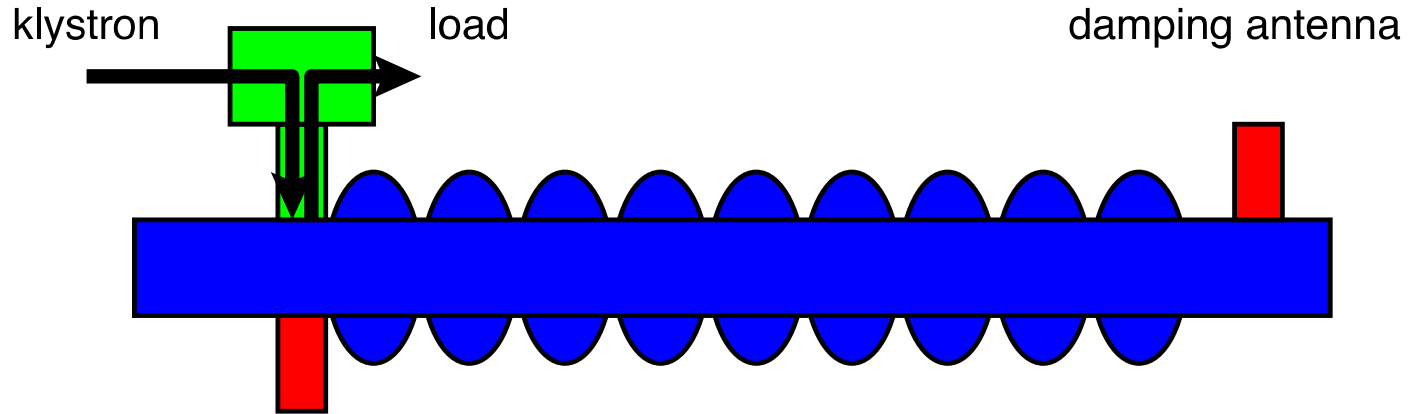
Accelerating cavities  
O(65%) of linac length

Beam guiding quadrupole  
Beam position monitor  
Corrector kicker

Accelerating cavities

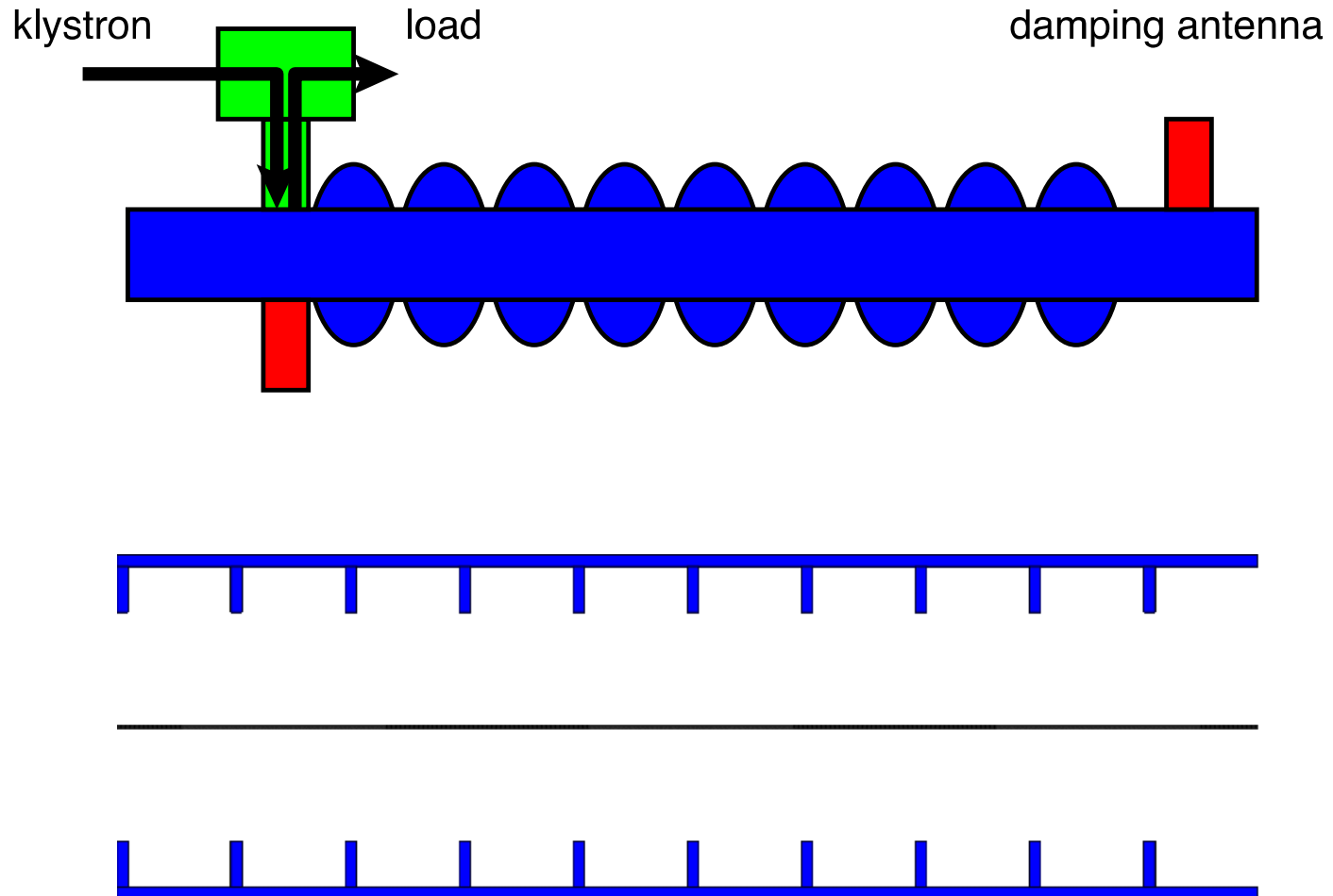
Total length for 500 GeV cms 31 km, some length for beam cleaning and focusing

# Standing Wave Cavity

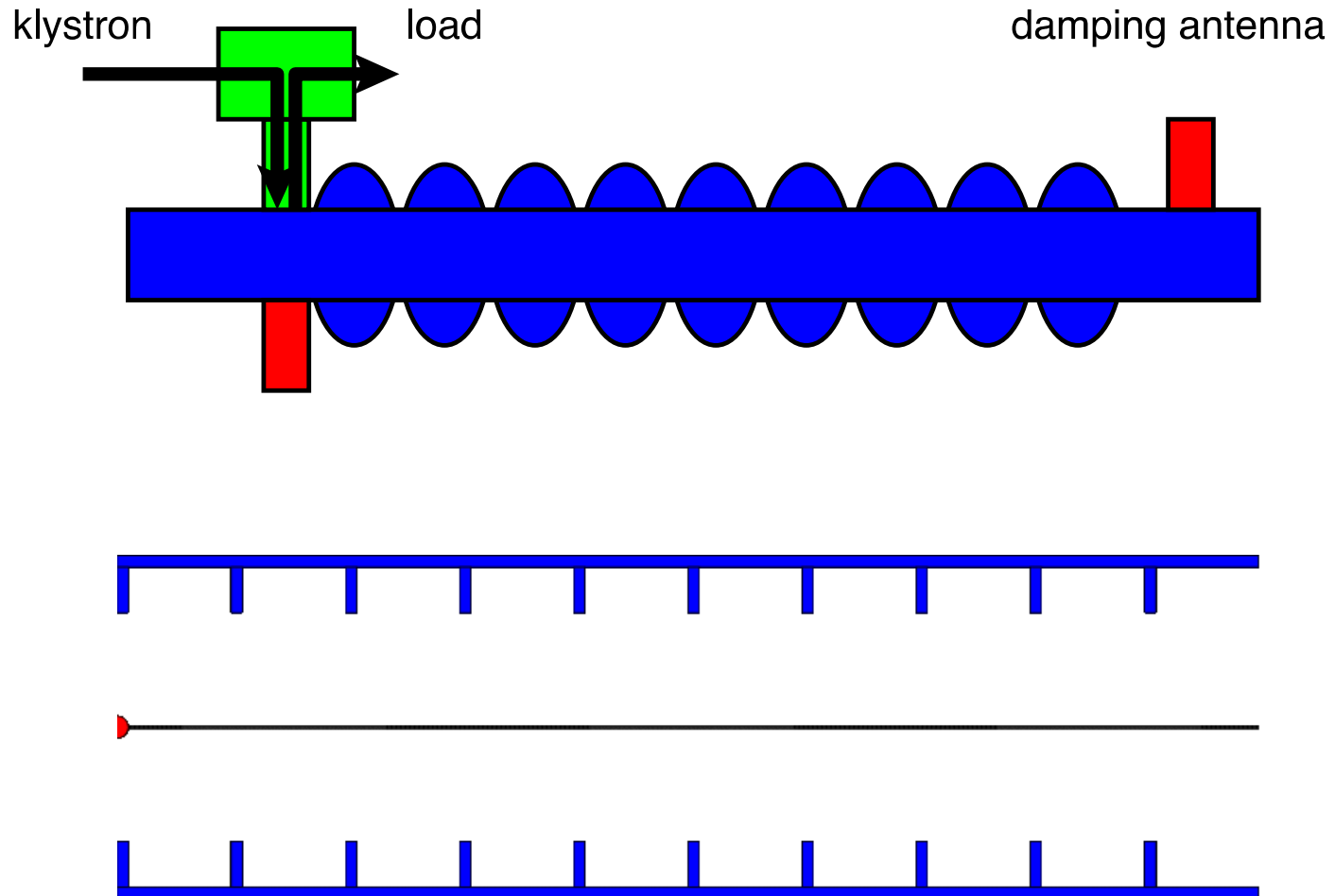


- The power is feed into one end
  - the power is reflected at the coupler
  - as the power in the cavity is increasing, the reflection is reduced
- there is a level when there is no reflection
  - ⇒ now switch on the beam

# Standing Wave Cavity



# Standing Wave Cavity

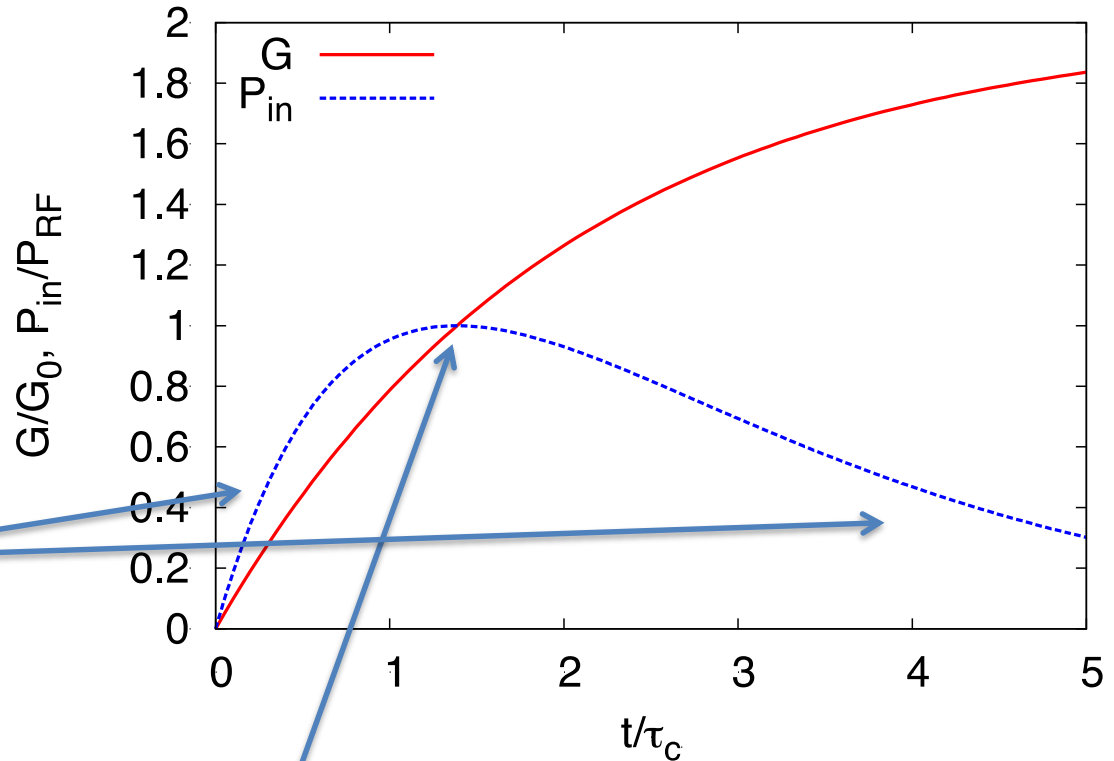


# Filling a Standing-wave Cavity

Select the target gradient  $G_0$

Adjust the coupling of the cavity to the RF “external Q”

Only part of RF power flows into cavity



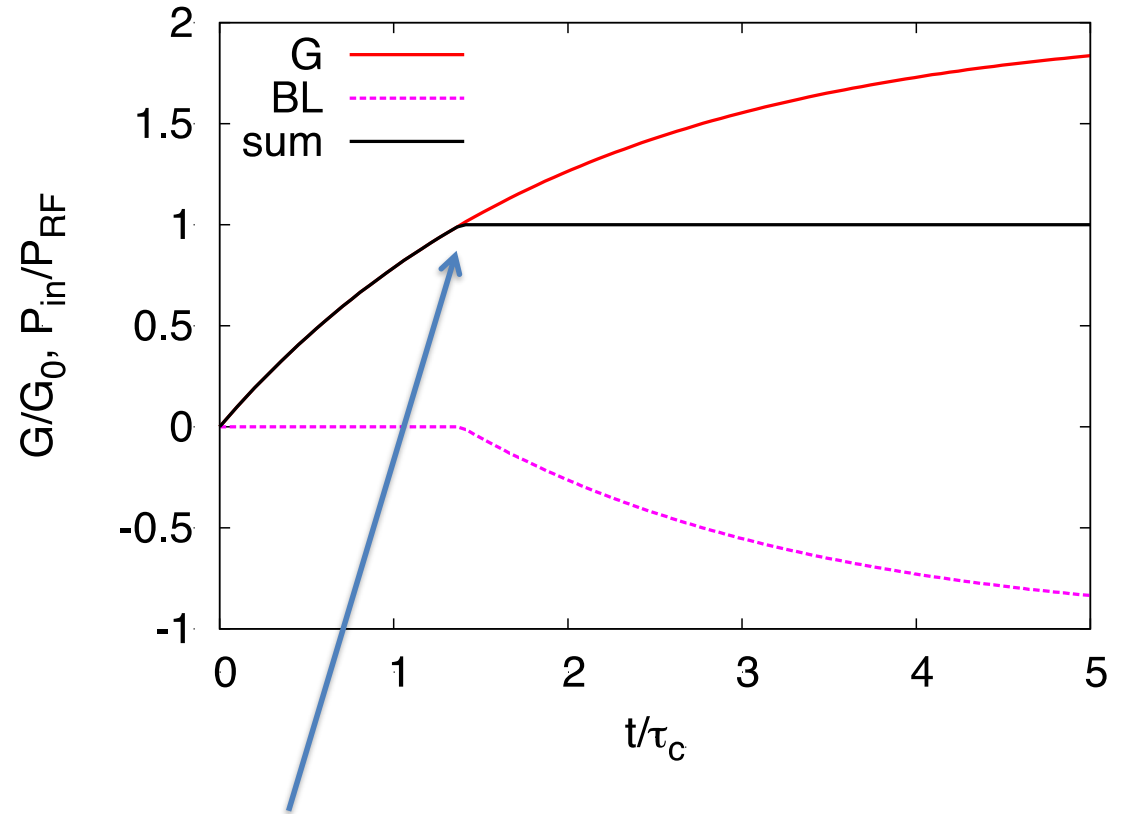
All the RF power flows into cavity

In ILC  
Filling time is 900  $\mu$ s  
Beam time is 720  $\mu$ s

⇒ Erk Jensen,  
Thursday 1.3.

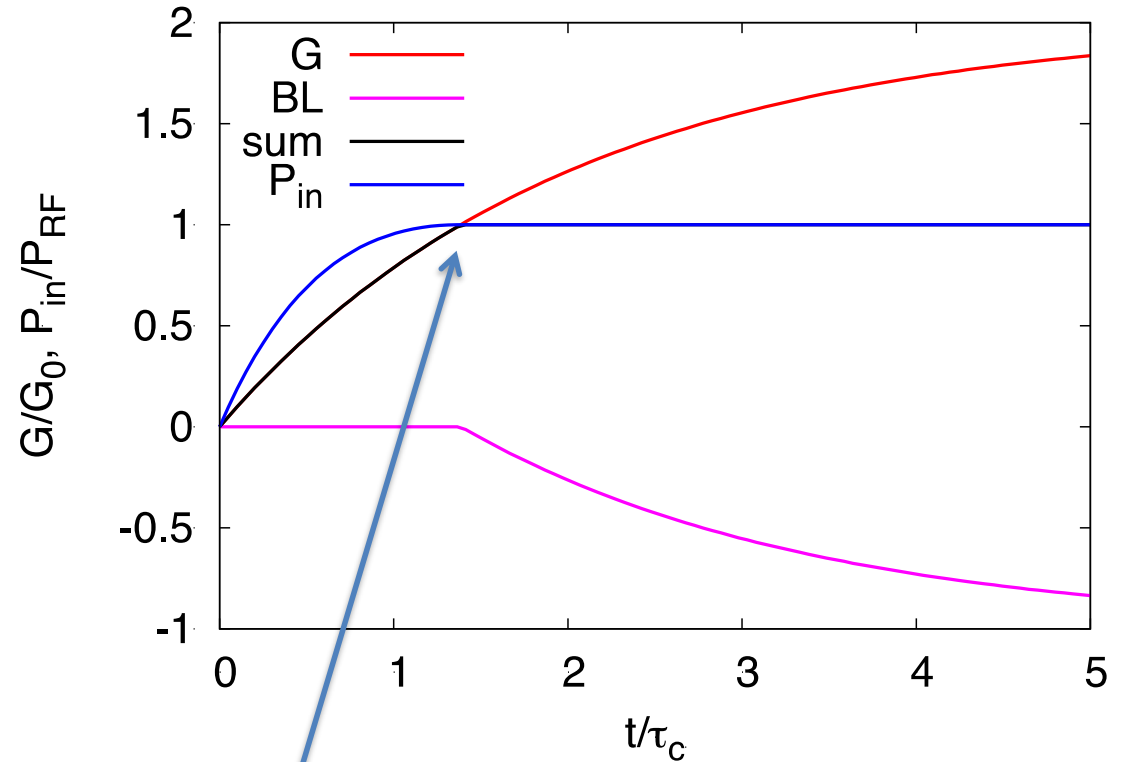


# Filling a Standing-wave Cavity



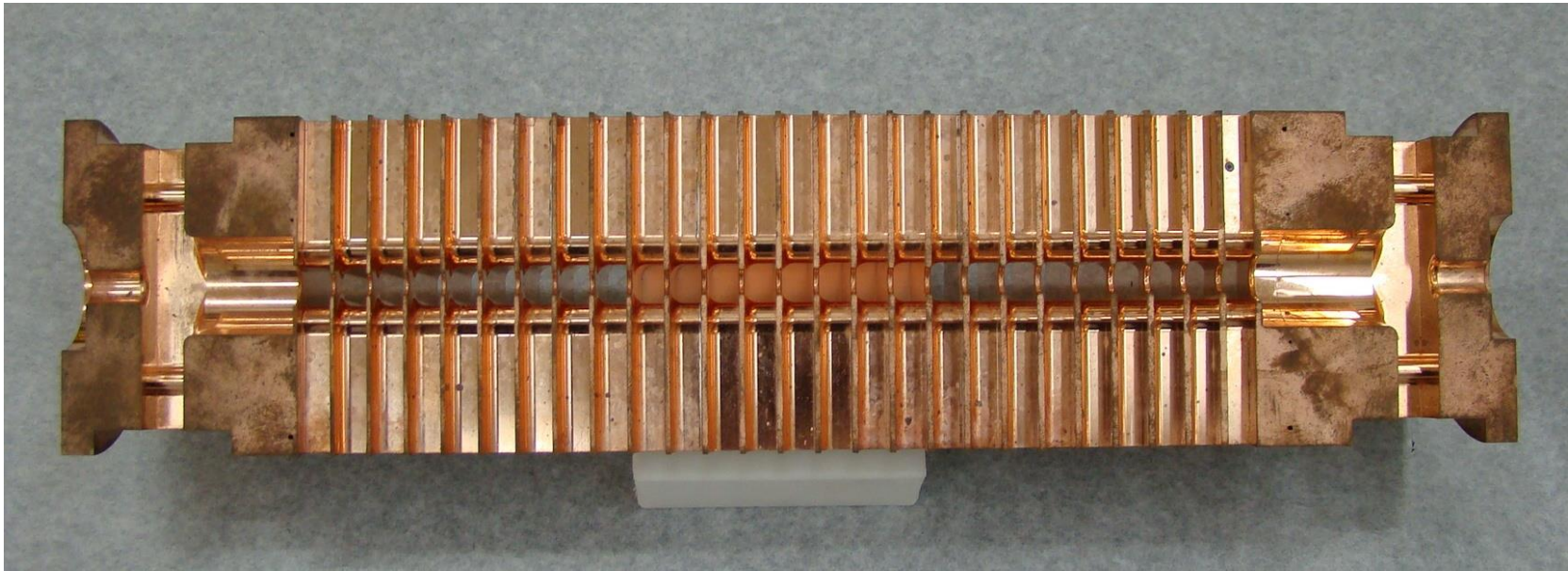
Switch the beam on  
Takes as much power from cavity as flows in  
Gradient remains constant  
All RF power continues to flow in

# Filling a Standing-wave Cavity



All RF power continues to flow in

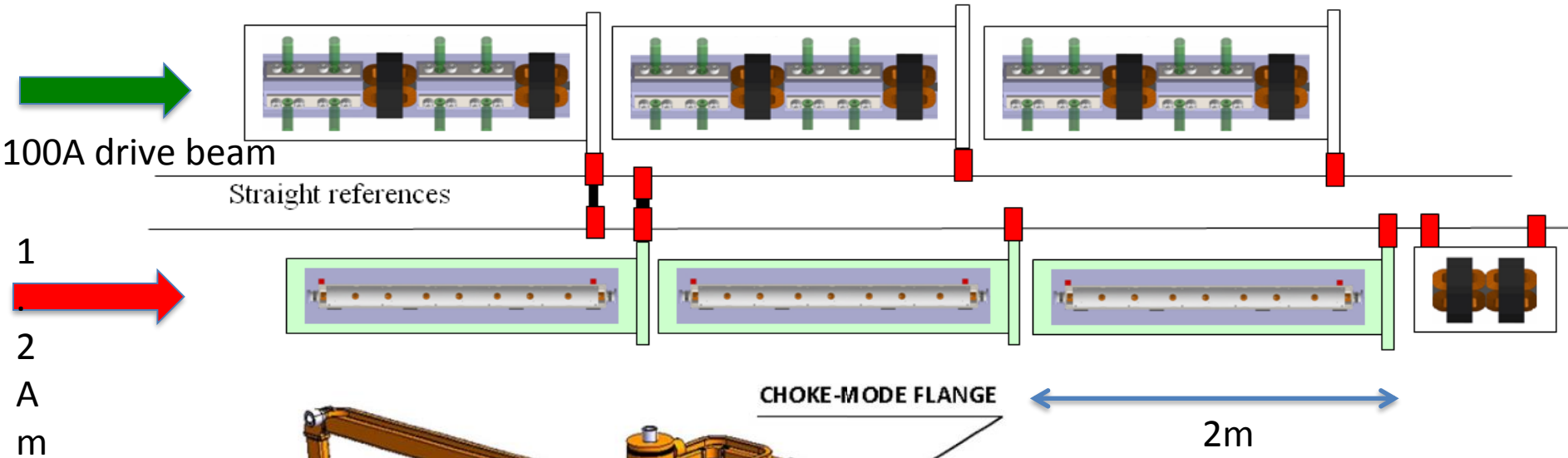
# CLIC Accelerating Structure



- About 23 cm long structure with  $G = 100$  MV/m
  - normal-conducting
  - 12 GHz
  - travelling wave
  - constant gradient (almost)

⇒ Walter Wuensch,  
Sunday/Monday 4/5.3.

# CLIC Two-beam Concept



1  
2  
A  
m  
a  
i  
n  
b  
100A drive  
beam  
a  
m

1  
.  
2  
A



# CLIC Two-beam Module

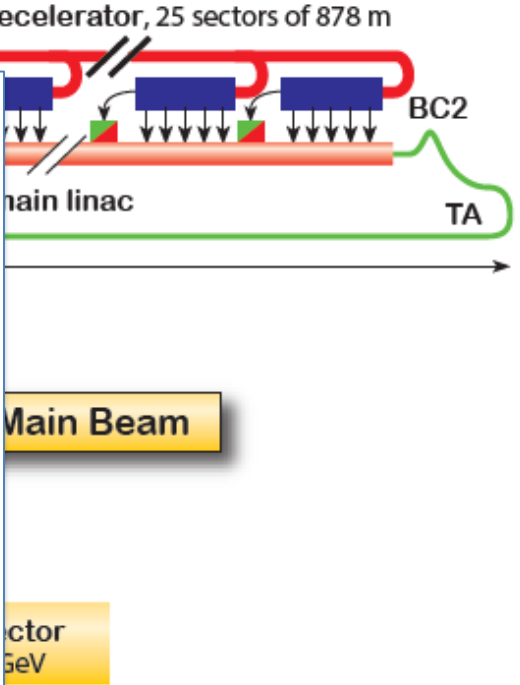
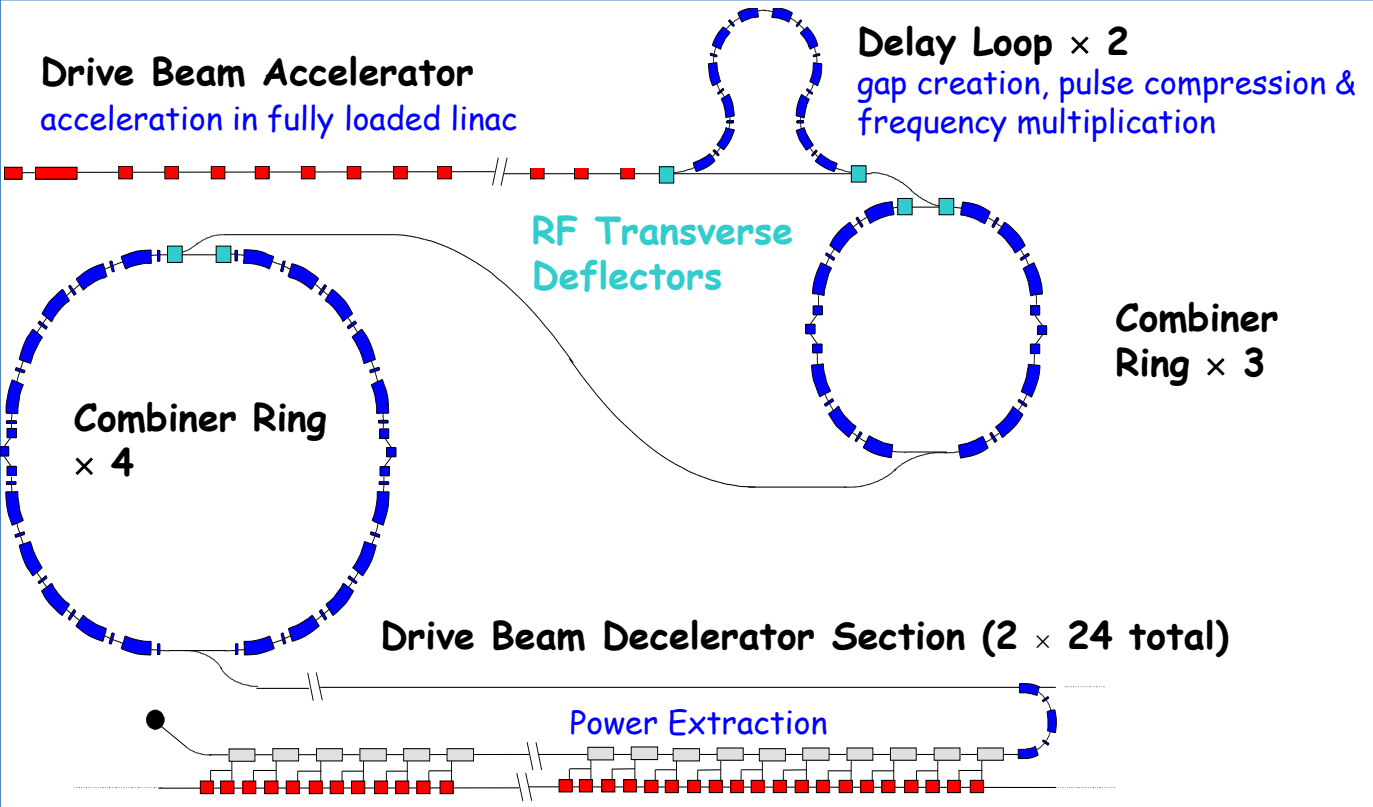
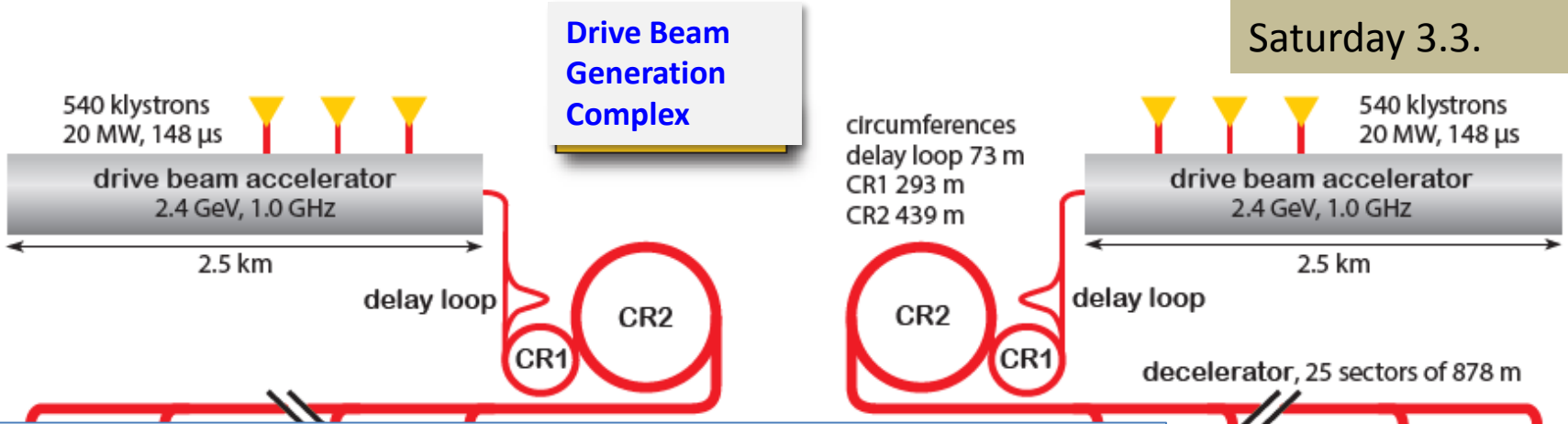


1<sup>st</sup> module

80 % filling with accelerating structures  
11 km for 380 GeV cms  
50 km for 3 TeV

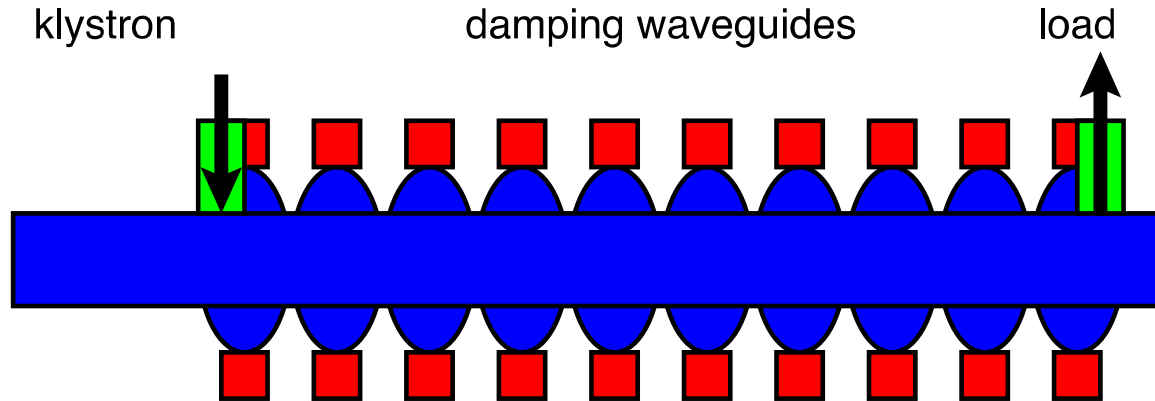
# CLIC: Drive Beam

⇒ Steffen Doebert  
Saturday 3.3.



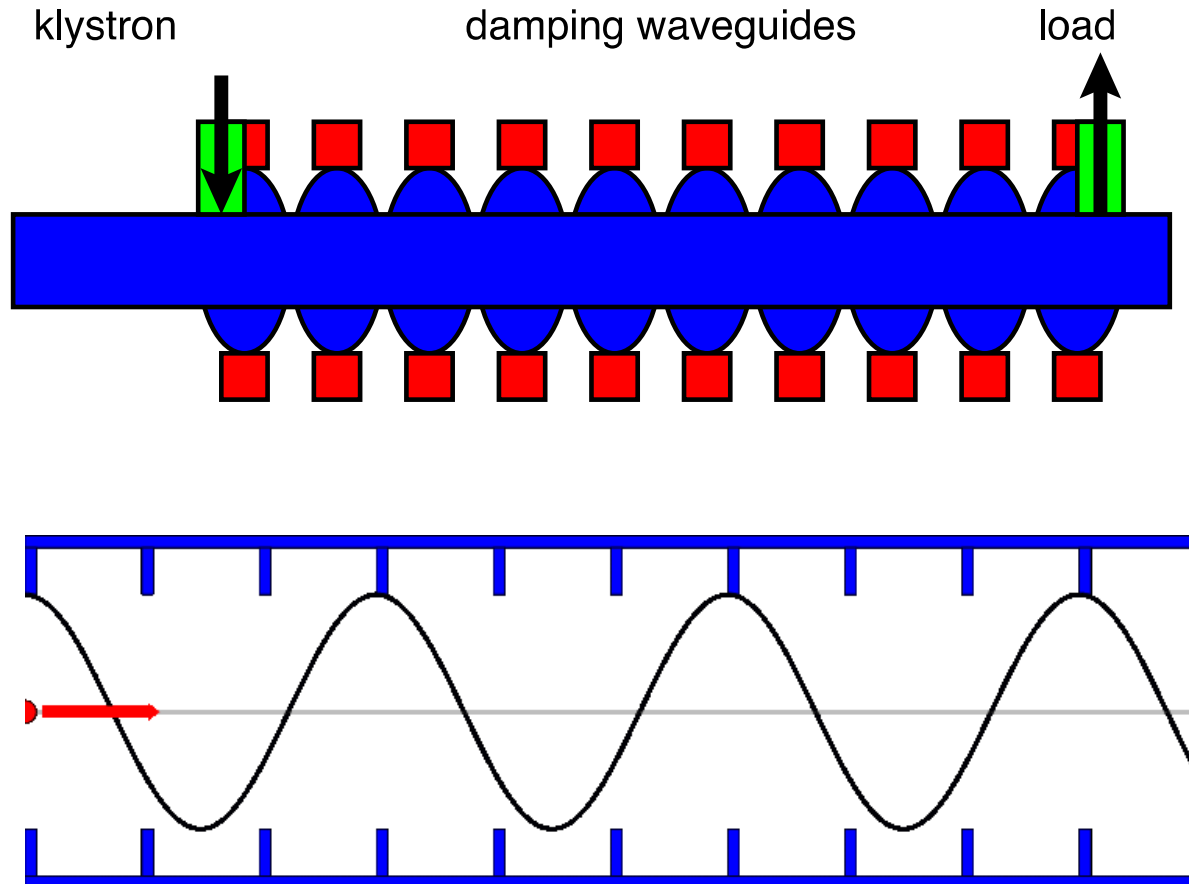


# Travelling Wave Structure



- The power is feed into one end
  - no reflection if designed properly
- It slowly moves through the structure
  - group velocity is typically a few percent of the speed of light

# Travelling Wave Structure



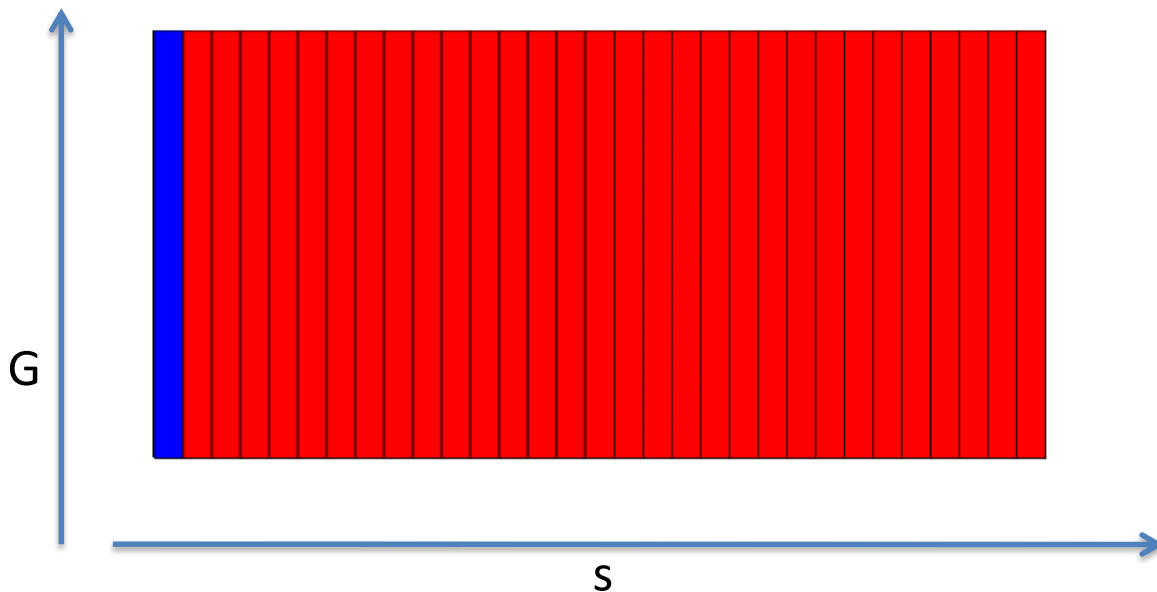
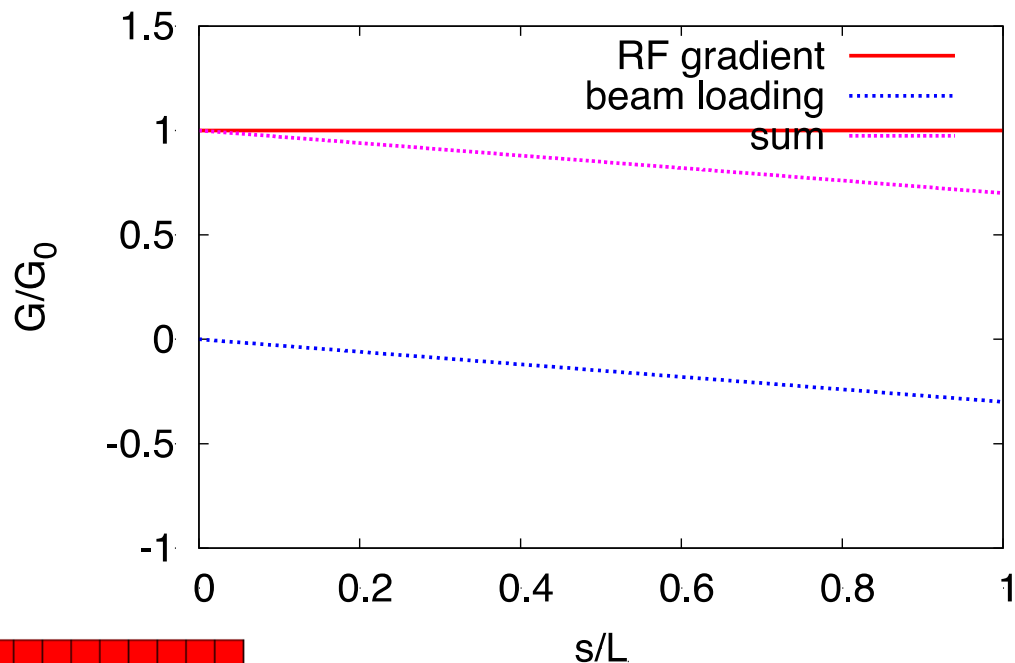
Note: Field should also vary with position, but that exceeds my graphic competences

# Filling a Travelling Wave Structure

The RF energy is flowing along the structure

Some is given to the beam, some is lost in the wall

Gradient profile develops



Some power is leaking out at the end

# Beam-loading Compensation



In CLIC filling time is  $O(80 \text{ ns})$  and beam time ( $O 160 \text{ ns}$ )  
Slightly different for different structures



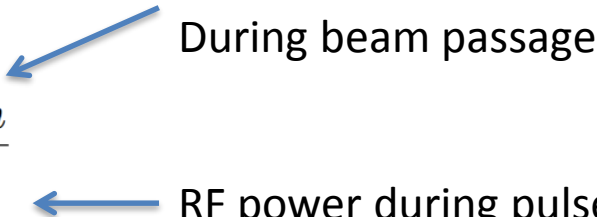
# RF Power to Beam Efficiency

$$\mathcal{L} \propto H_D n_\gamma \eta_{RF \rightarrow beam} \frac{P_{RF}}{E_{cm}} \frac{1}{\sigma_y}$$

$$\eta_{RF \rightarrow beam} = \frac{\text{Energy taken by one beam pulse}}{\text{Energy in each RF pulse}}$$

For constant RF pulse power

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{RF}} \cdot \frac{P_{beam}}{P_{RF}}$$



Simplified

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \cdot \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$

Note: what I call  $\tau_{fill}$  contains several components of which the fill time is the most important; RF experts will learn more

# RF to Beam Power Efficiency

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \cdot \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$

## Super-conducting cavity

- Almost no RF power lost in walls
- But cavity cooling requires power

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}}$$

## Normal conducting structure

- Important fraction of RF power lost in walls
- Some power draining out of travelling wave structure (usually)

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \cdot \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$



# Impedance

Energy in the cavity or  
accelerating cell

Voltage along cavity  
or cell

$$U = \frac{(GL)^2}{(R/Q)\omega}$$

Impedance, depends on shape  
of cavity/cell, does not  
depend on frequency

RF frequency

High R/Q means high wakefields

A bunch extracts the same amount of energy for higher R/Q

But field in cavity must change more since less energy is stored

Important example: smaller apertures yield higher R/Q

# Impedance

Energy in the cavity or accelerating cell

Voltage along cavity or cell

$$U = \frac{(GL)^2}{(R/Q)\omega}$$

Impedance, depends on shape of cavity/cell, does not depend on frequency

RF frequency

## Warning

High R/Q means  
A bunch extracts  
But field in cavity

This definition is in "Linac Ohms"

People also use "Circuit Ohms"

R/Q  
stored

Important example

2 "Linac Ohms" = 1 "Circuit Ohm"

# Power Lost in the Structure

Power loss

$$P_{loss} = \frac{U}{Q} \omega = \frac{(GL)^2}{R/Q} \frac{1}{Q}$$

Voltage

Cavity design

Cavity material

$$Q = \frac{\text{Stored energy}}{\text{Ohmic energy loss per radian of RF cycle}} = \frac{U}{P_{loss}} \omega$$

Examples:  $Q \approx 10^{10}$  for superconducting and  $Q \approx 10^4$  for normal conducting

But frequency dependent

# Examples

parameter	CLIC	ILC (RDR)
$R'/Q$	$\approx 11 \text{ k}\Omega/\text{m}$	$1.036 \text{ k}\Omega/\text{m}$
$Q$	$\approx 6000$	$\approx 10^{10}$
$R'$	$\approx 66 \text{ M}\Omega/\text{m}$	$\approx 10^7 \text{ M}\Omega/\text{m}$

• ILC:  $I \approx 5.8 \text{ mA}$

$\Rightarrow$

$$\frac{P'_{beam}}{P'_{wall}} \approx 1650$$

• CLIC:  $I \approx 1.2 \text{ A}$

$\Rightarrow$

$$\frac{P'_{beam}}{P'_{wall}} \approx 0.8$$

• Efficiency is

$$\eta = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$

• Plugging in numbers for ILC

$$\eta \approx \frac{730 \mu\text{s}}{730 \mu\text{s} + 900 \mu\text{s}} \approx 0.45$$

• Plugging in (slightly older) numbers for CLIC

$$\eta = \frac{156 \text{ ns}}{156 \text{ ns} + 83 \text{ ns}} \cdot \frac{27 \text{ MW}}{27 \text{ MW} + 25 \text{ MW} + 12 \text{ MW}} \approx 0.65 \cdot 0.42 \approx 0.277$$

# Cryogenics Power (ILC)

Cavities have small losses

$$P_{loss} = const \frac{1}{Q_0} \cdot G^2$$

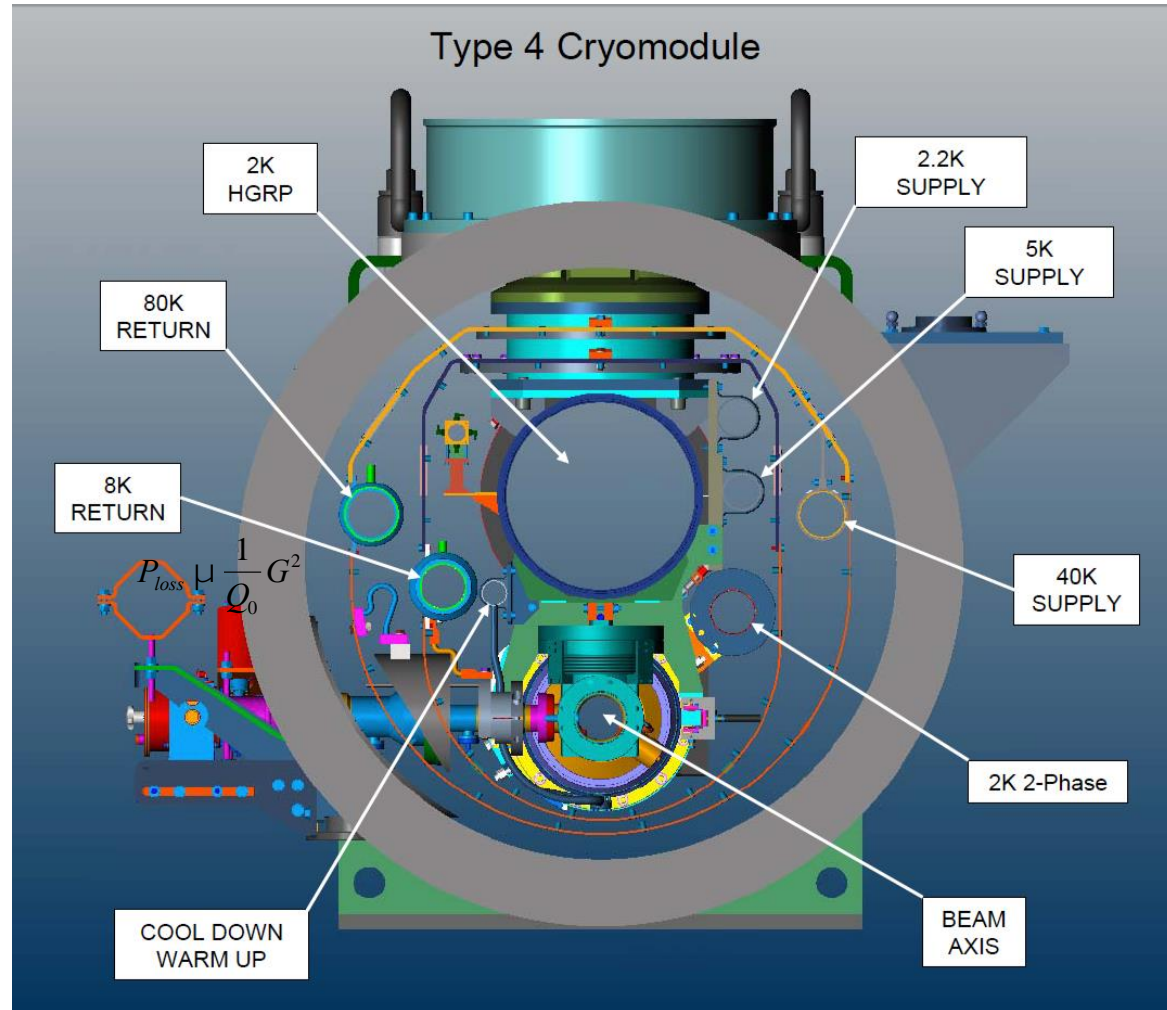
About 1W/m

But cooling costly at low temperatures

Remember Carnot:

$$P_{cryo} = \frac{1}{h} \frac{T_{room} - T_{source}}{T_{source}} \cdot P_{loss}$$

$$P_{cryo} \gg 700 \cdot P_{loss}$$



The typical heat load of 1 W/m  
 $\Rightarrow$  about 1 kW/m for cryogenics

Average RF power: 1.6kW/m (3kW/m)  
 Power into beam about 0.7kW/m

# ILC Main Linac Pulse Optimisation

Bema current is given by installed RF power

Disclaimer: there have been heated discussions on how that should be optimised...

More RF peak power

Higher beam current  
Shorter cavity fill time

Higher cost (klystrons and modulators)  
Either higher bunch charge or more bunches

Longer RF pulses  
Higher pulse rate

Higher average beam current  
Cavity fill time is smaller fraction of pulse (longer pulses)  
Higher cost (modulators and klystrons)  
More cooling required  
Either higher bunch charge or more bunches

Higher average beam power leads to more cost but also more luminosity

# CLIC Main Linac Pulse Optimisation

Power to beam

$$P'_{beam} = IG$$

Power lost in structure

$$P'_{loss} = \frac{G^2}{R'}$$

Maximise

$$\frac{P'_{beam}}{P'_{loss}} = \frac{R' I}{Q G}$$

Maximise current:

- Maximise bunch charge
- Minimise distance between bunches

Go to the limit! See in the following

High  $R'/Q$  (small iris) helps for maximum gradient  
Less power needed to generate gradient

But high  $R'/Q$  is bad for beam stability

Low gradient make machine expensive

Well, it is copper ...

Need to compromise between  $R'/Q$ ,  $G$  and  $I$



# Note: CLIC Optimisation

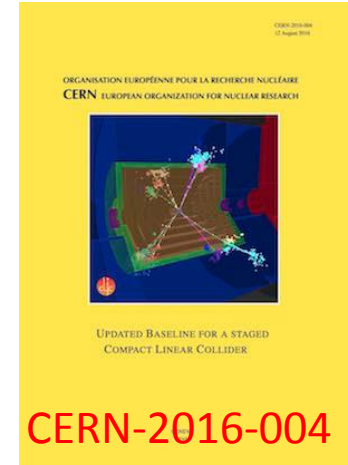
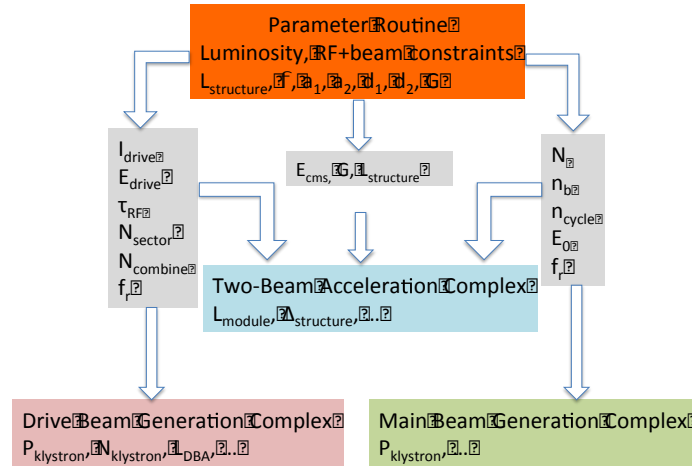
Scan 1.7 billion cases:

Fix structure design parameters:

$a_1, a_2, d_1, d_2, N_c, \phi, G$

⇒ key beam parameters

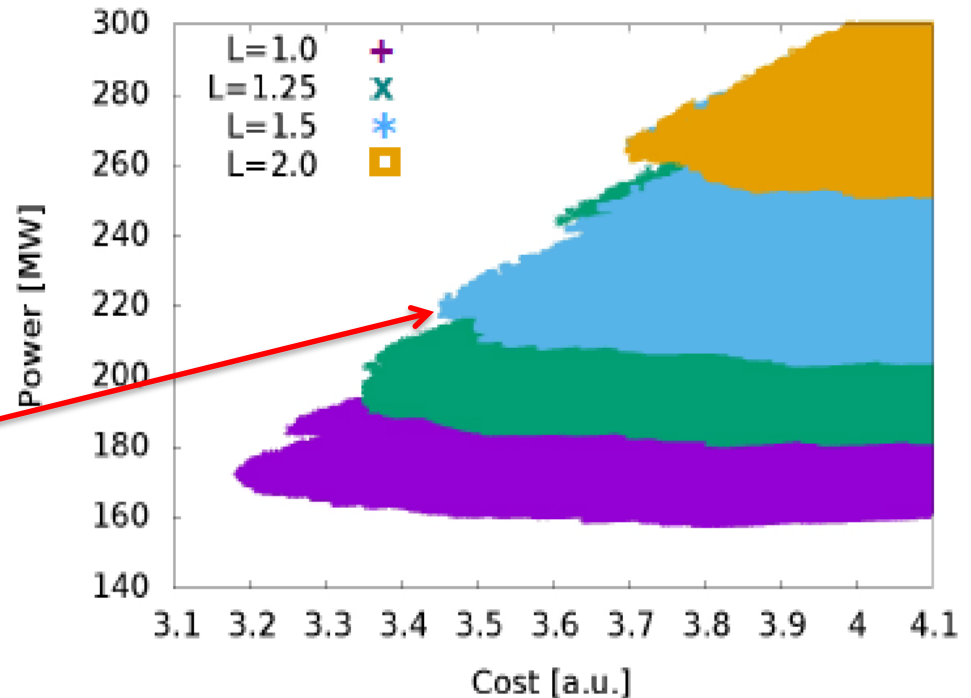
⇒ Luminosity, cost and power (including other systems)



Resulting designs:

Colors indicate luminosities

This is the one we picked



# Bunch Charge, Length and Spacing Choice



# Note: Coordinate System

- We use two frames, the laboratory frame and the beam frame
- The nominal direction of motion of the beam is called  $s$  in the laboratory frame, the beam moves toward increasing  $s$
- The longitudinal direction is called  $z$  in the beam frame, with particles at smaller  $z$  moving ahead of particles with larger  $z$
- A particle preserves its longitudinal position within the beam
- The transverse dimensions are  $x$  in the horizontal and  $y$  in the vertical plane, in both coordinate systems
- People use different systems so find out what they talk about

# Main Linac Beam Parameter Choice for CLIC

Want highest beam current for luminosity  
⇒ Maximise bunch charge  
⇒ Minimise bunch distance

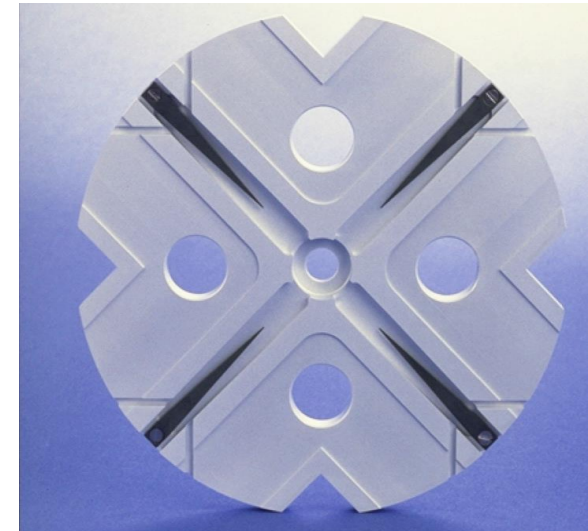
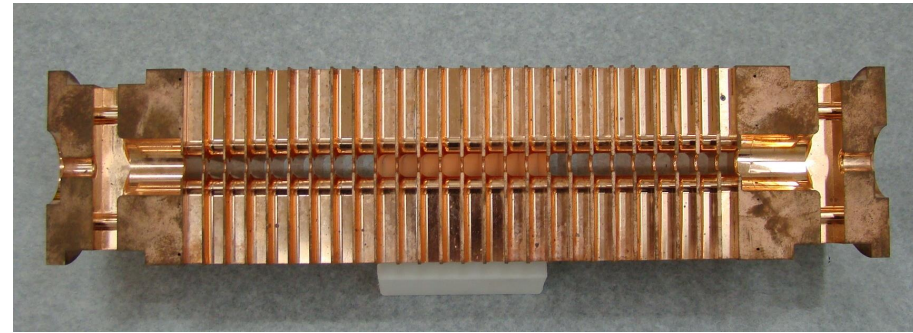
Short-range longitudinal wakefields induce energy spread, compensated with RF  
⇒ bunch charge defines bunch length  $\sigma_z(N, W_L)$

Short-range transverse wakefields can make beam instable  
⇒ limits the bunch charge  $N(W_T \sigma_z(N))$

Transverse long-range wakefield can make the beam instable  
⇒ limits the distance between bunches

Beam stability for strongest practical lattice defines beam parameters

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \frac{1}{\sigma_y}$$





# Energy Spread Goal

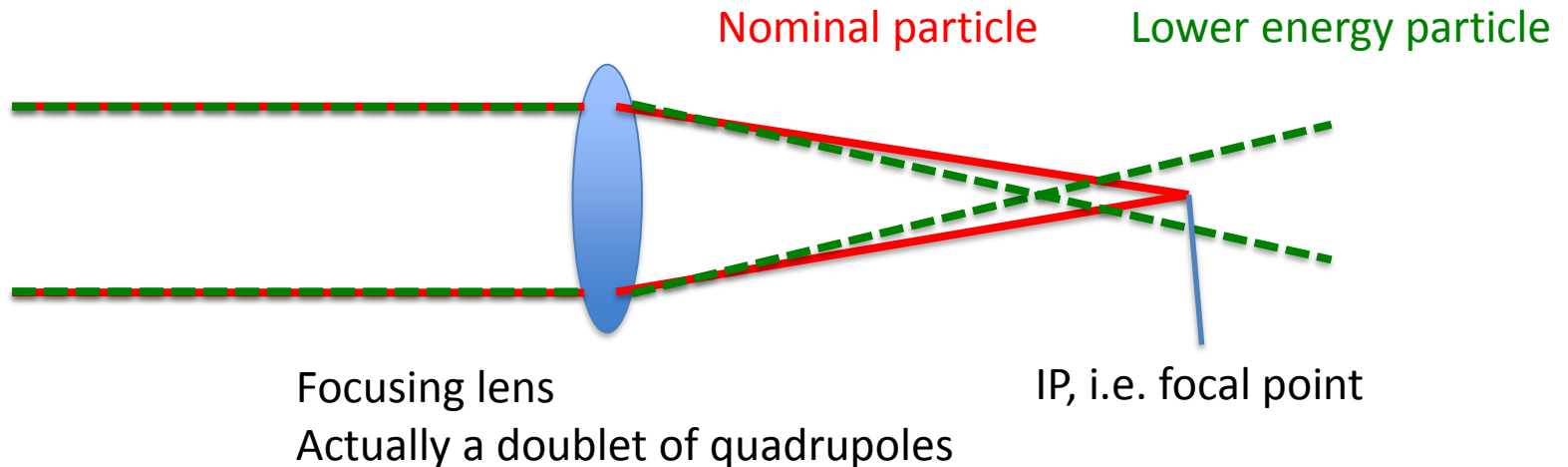
Energy spread at the end of the linac is critical

The final focus system is limited in energy acceptance  
Similar problem to camera lens

Compensation scheme is used but is also limited in performance

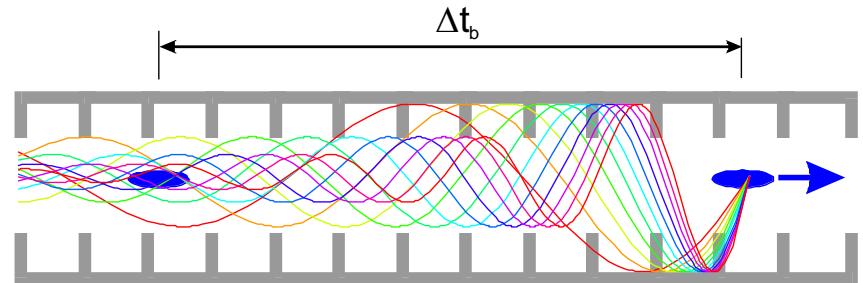
⇒ Have to limit the beam energy spread

⇒ CLIC goal is 0.35% RMS spread



# Wakefields

Particle leaves fields behind that affect subsequent particles:  
“Wakefields”



Use wakefields to describe the effect of first particle on second one, here relevant are

$$P_z c = N e W_L(z) L e$$

Charge of driving particle

Longitudinal/transverse wakefield

$$P_x c = N e W_{\perp}(z) L e \Delta x$$

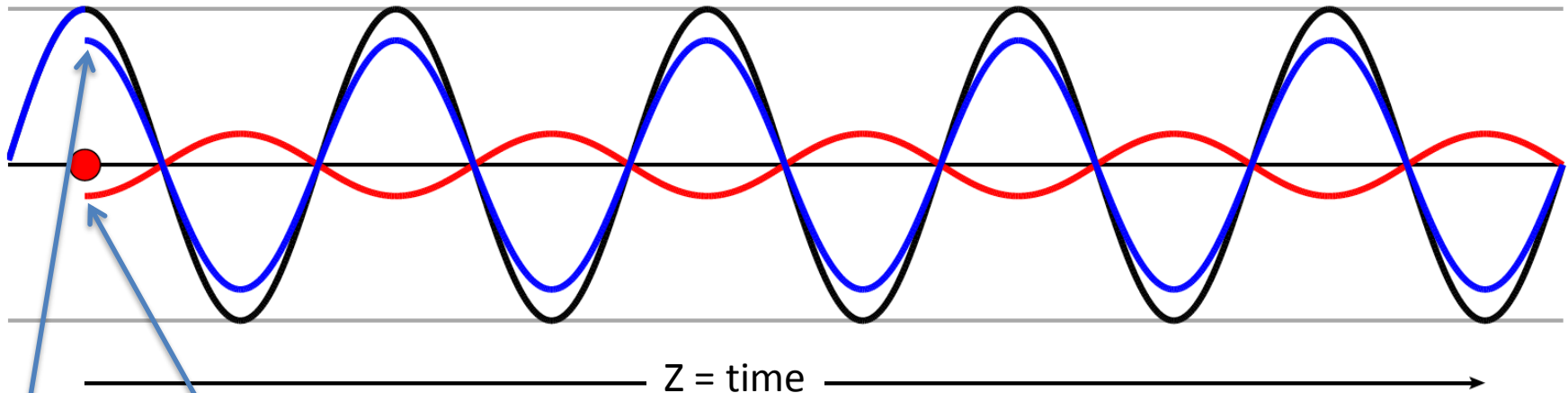
Structure length

Witness particle charge

$$P_y c = N e W_{\perp}(z) L e \Delta y$$

Transverse offset

# Longitudinal Wakefield and Energy



Picture 1:  
Bunch  
extracts  
energy

Picture 2:  
Bunch  
induces  
field

Consider only the fundamental mode in the structure

The field is always the same

The extracted energy is automatically correct

If a bunch extracts a large fraction of the energy in the structure the tail will gain much less energy



# Longitudinal Wakefield (CLIC)

Particle z-position is constant  
 $\Rightarrow$  Same wakefield in each accelerating structure  
 $\Rightarrow$

- We will use wakefields based on fits derived by Karl Bane

$l$  length of the cell

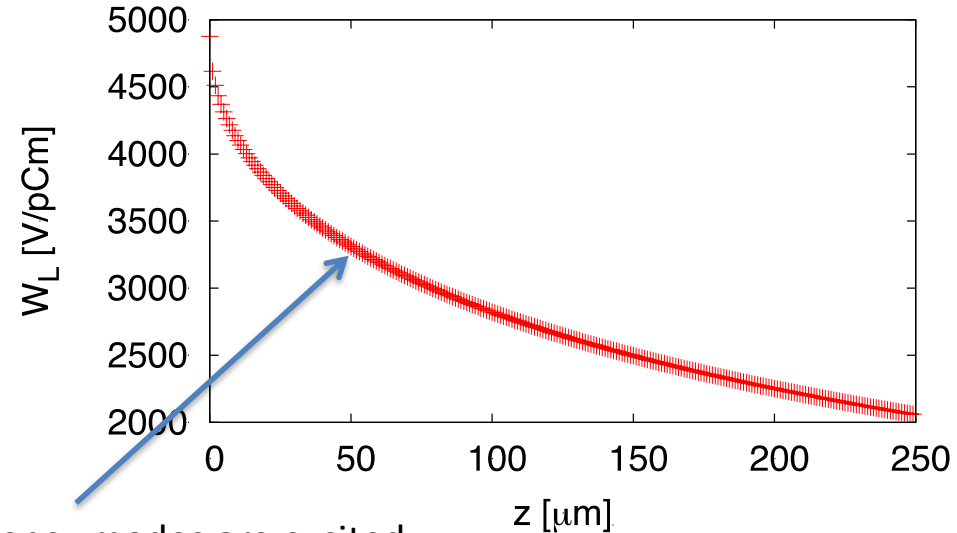
$a$  radius of the iris aperture

$g$  length between irises

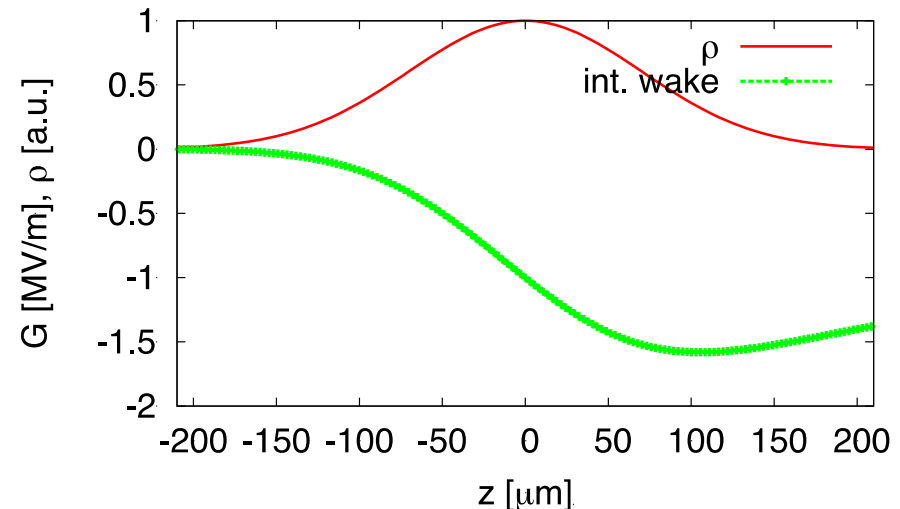
$$z_0 = 0.41a^{1.8}g^{1.6} \left(\frac{1}{l}\right)^{2.4}$$

$$W_L(z) = \frac{Z_0 c}{\pi a^2} \exp\left(-\sqrt{\frac{z}{z_0}}\right)$$

- Use CLIC structure parameters



Many high-frequency modes are excited  
 They add because they are cosine-like  
 But they decohere rapidly

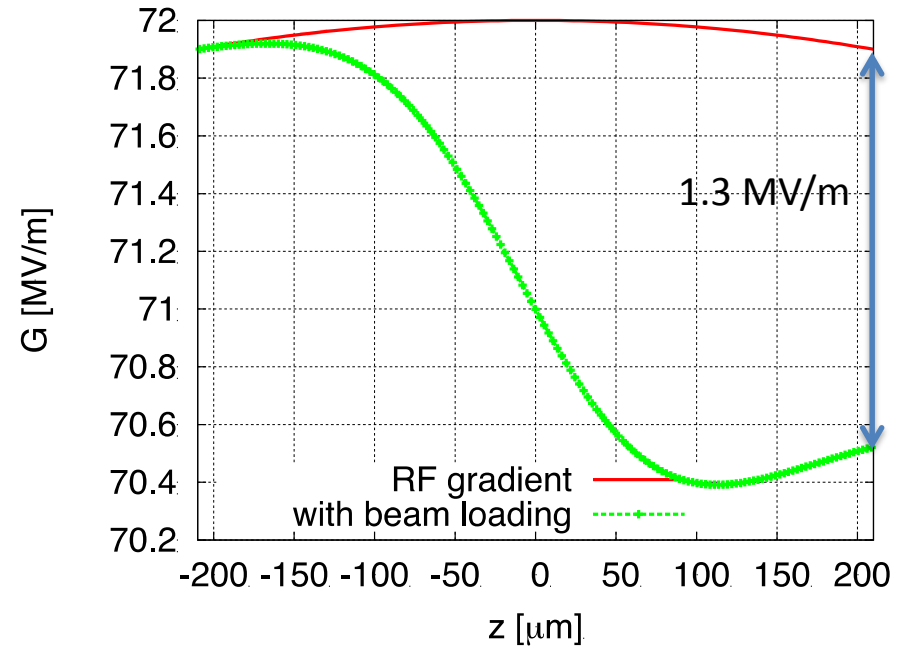
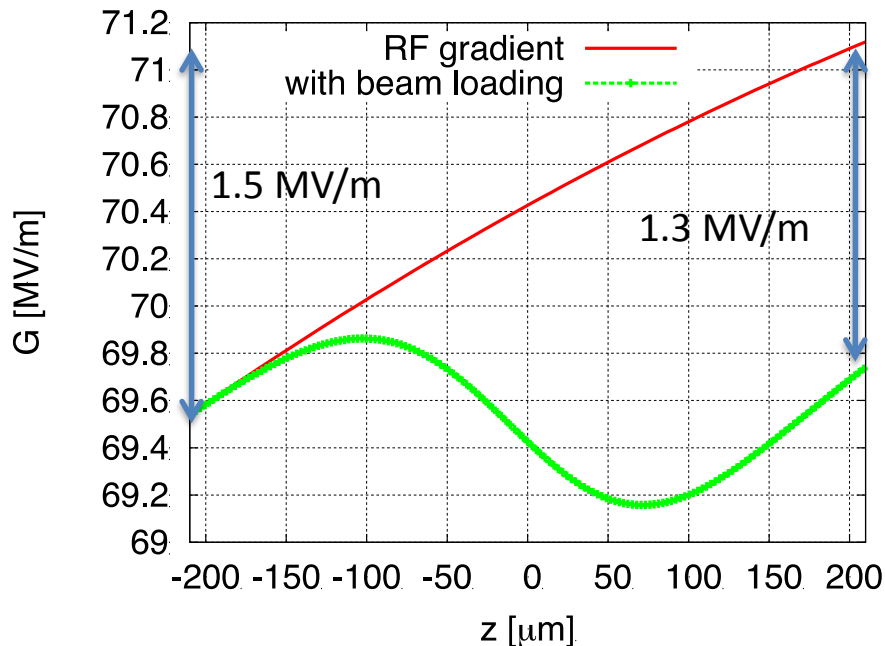


# Longitudinal Wakefields and Energy Spread

Loaded gradient along bunch

On-crest acceleration:

- more than 2% full gradient spread
- 0.7% RMS energy spread



Off-crest acceleration ( $12^\circ$ ):

- 1% full gradient spread
- 0.35% RMS gradient spread
- Loose about 2% in gradient

# Bunch Length Choice

Fix mean RF phase at  $12^\circ$

Make the bunch just long enough to reach final RMS energy spread of 0.35%

Hence  $\sigma_z = \sigma_z(N)$

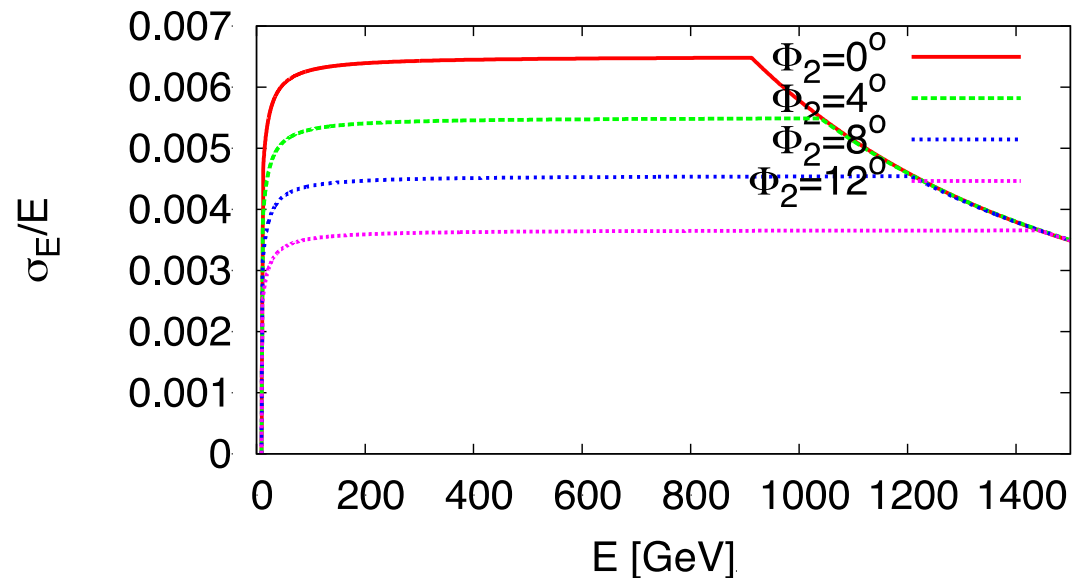
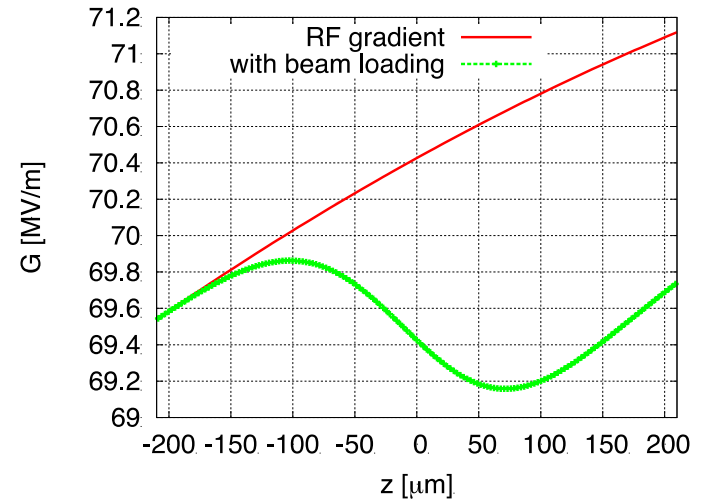
Can chose different RF phases along the linac

- Small phase in the main part
- and  $30^\circ$  at the end
- To have **average** phase of  $12^\circ$

Allows to have larger energy spread in the main part of the linac than at the end

This can help beam stability

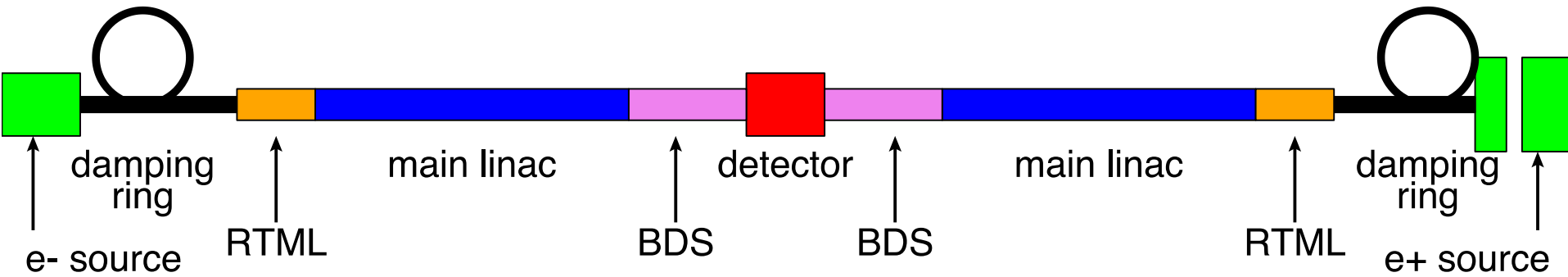
⇒ See next section



# Single-bunch Stability



# Beam Parameters Along the Collider (CLIC 380)



	Design limits $\Delta\epsilon_y$ [nm]	Static imperfections $\Delta\epsilon_y$ [nm]	Dynamic imperfections $\Delta\epsilon_y$ [nm]
Damping ring exit	5	0	0
End of RTML	1	2	2
End of main linac	0	5	5
Interaction point	0	5	5
sum	6	12	12

Require 90% probability to meet goal

Average over time

# Emittance

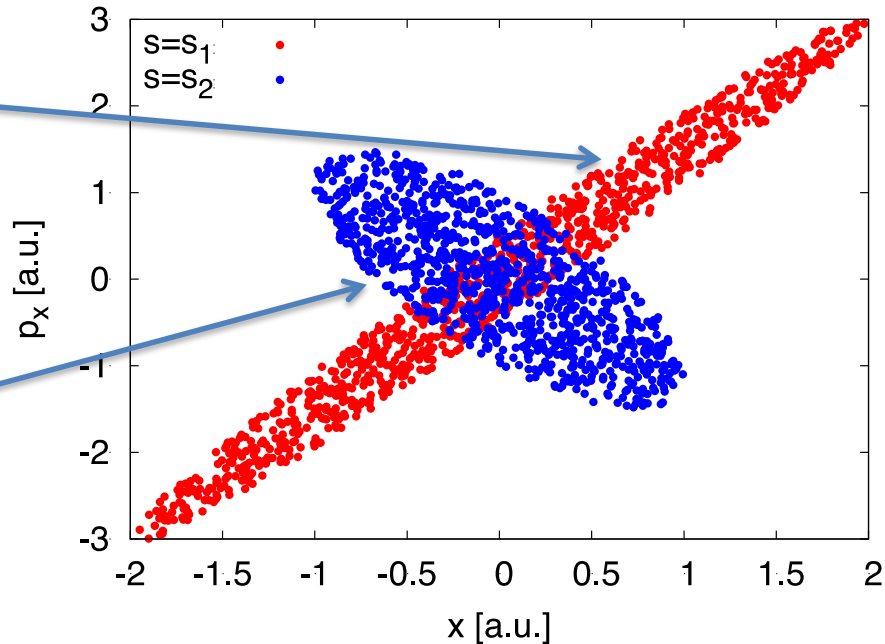
The beam particles have different coordinates; they occupy some phase space

Liouville theorem (from the Liouville equation): the density in phase space around a trajectory remains constant in an unperturbed system, i.e. “the phase space is preserved”

$$\frac{d\rho}{dt} = \frac{\partial\rho}{\partial t} + \sum_{i=1}^N \left[ \frac{\partial\rho}{\partial q_i} \dot{q}_i + \frac{\partial\rho}{\partial p_i} \dot{p}_i \right] = 0$$

Particle coordinates at one location

Particle coordinates at other location



Area does not change

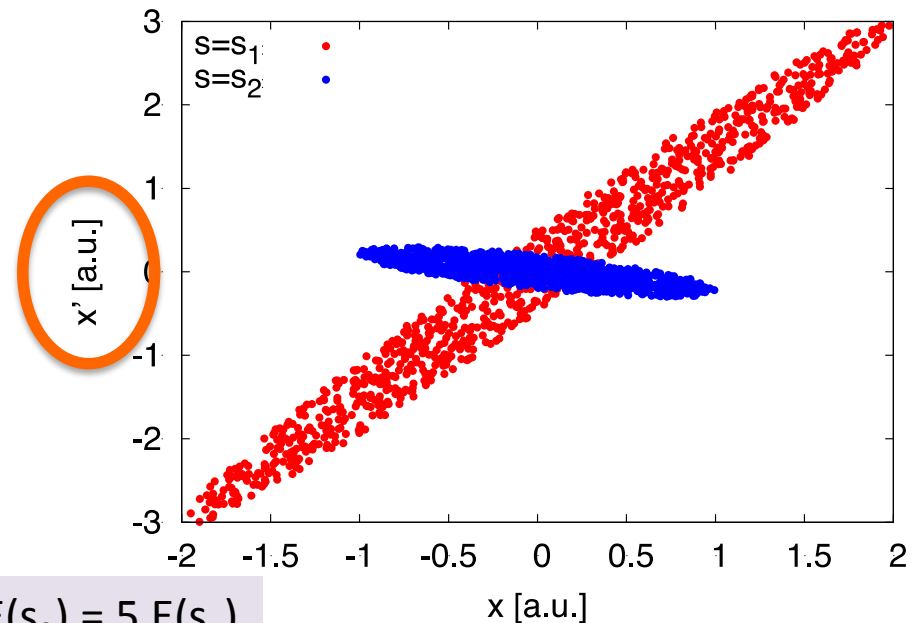
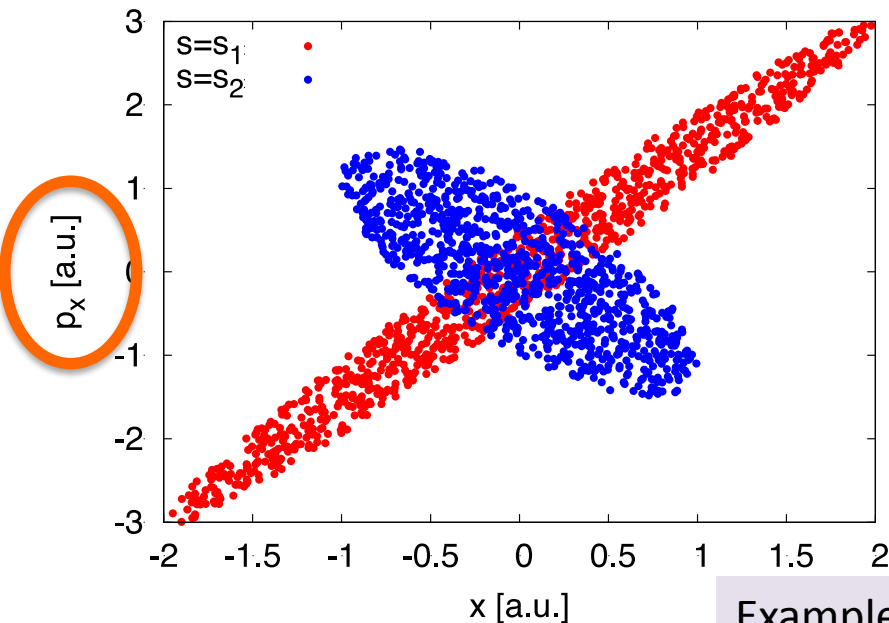
# Emittance and Acceleration

When accelerating the phase space remains constant in canonical coordinates

$$(x, y, z, p_x, p_y, p_z)$$

But with the definition used in accelerators emittance shrinks

$$(x, y, z, x', y', E)$$



Example:  $E(s_2) = 5 E(s_1)$

To avoid this linac experts use **normalised emittance**  $\epsilon_N = \gamma \epsilon$  that does not change I will always do that here but not use the index N



# Emittance Definition

Use projected emittance

$$e = \sqrt{\langle (x - \bar{x})^2 \rangle \langle (x' - \bar{x}')^2 \rangle - \langle (x - \bar{x})(x' - \bar{x}') \rangle^2}$$



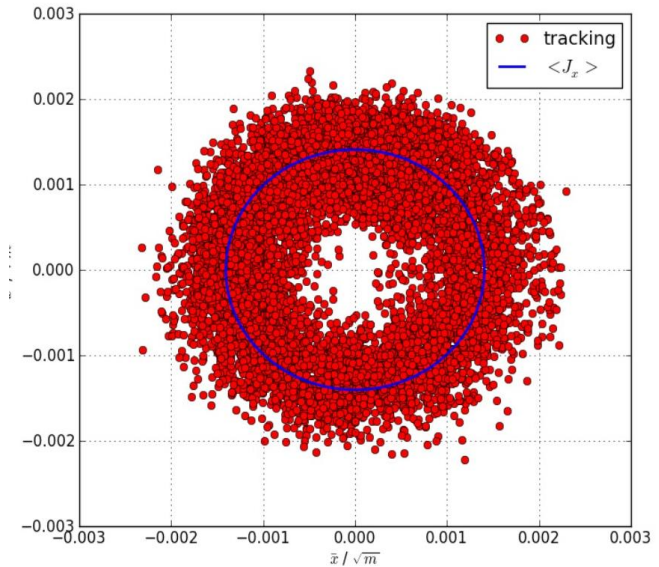
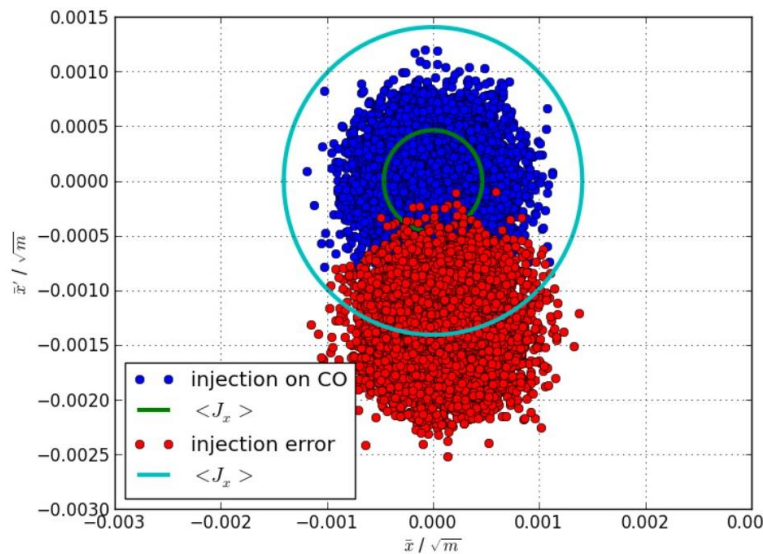
Note: we have to be careful with this,  
come back at the end

Also ignore coupling between x and y

# Offset

Beam jitter leads to luminosity loss

Beam jitter can increase emittance (decoherence), luminosity loss on average remains constant  
But cannot correct downstream



Can use the “multi-pulse emittance” (combine phase space of subsequent bunches/pulses)

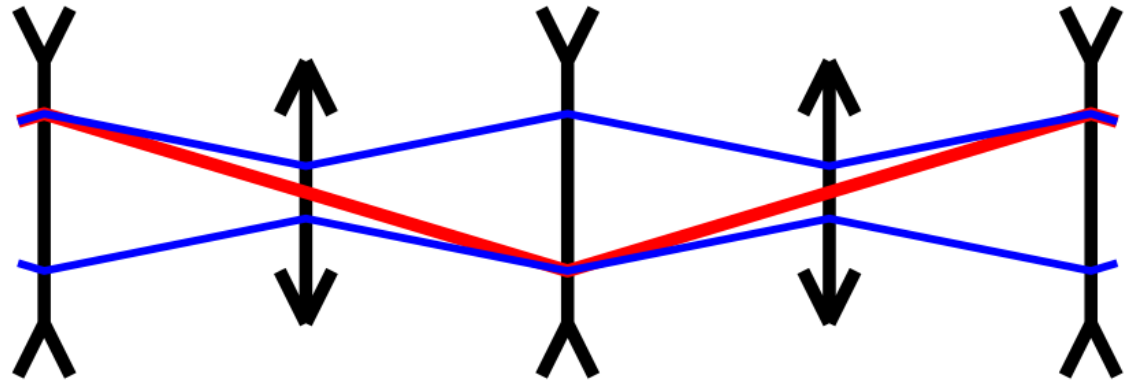
But transverse wakefields can make a jittering beam explode  
Main linac is huge source of wakefield effects  
This is why the main linac defines the bunch charge and length  
Let us have a look

# Main Linac Lattice Concept

FODO lattice is used in main linacs

Guiding quadrupoles act like a spring

Particle is comparable to harmonic oscillator (driven with wakes)



$$x_1''(s) + \frac{1}{\beta^2} x_1(s) = 0$$

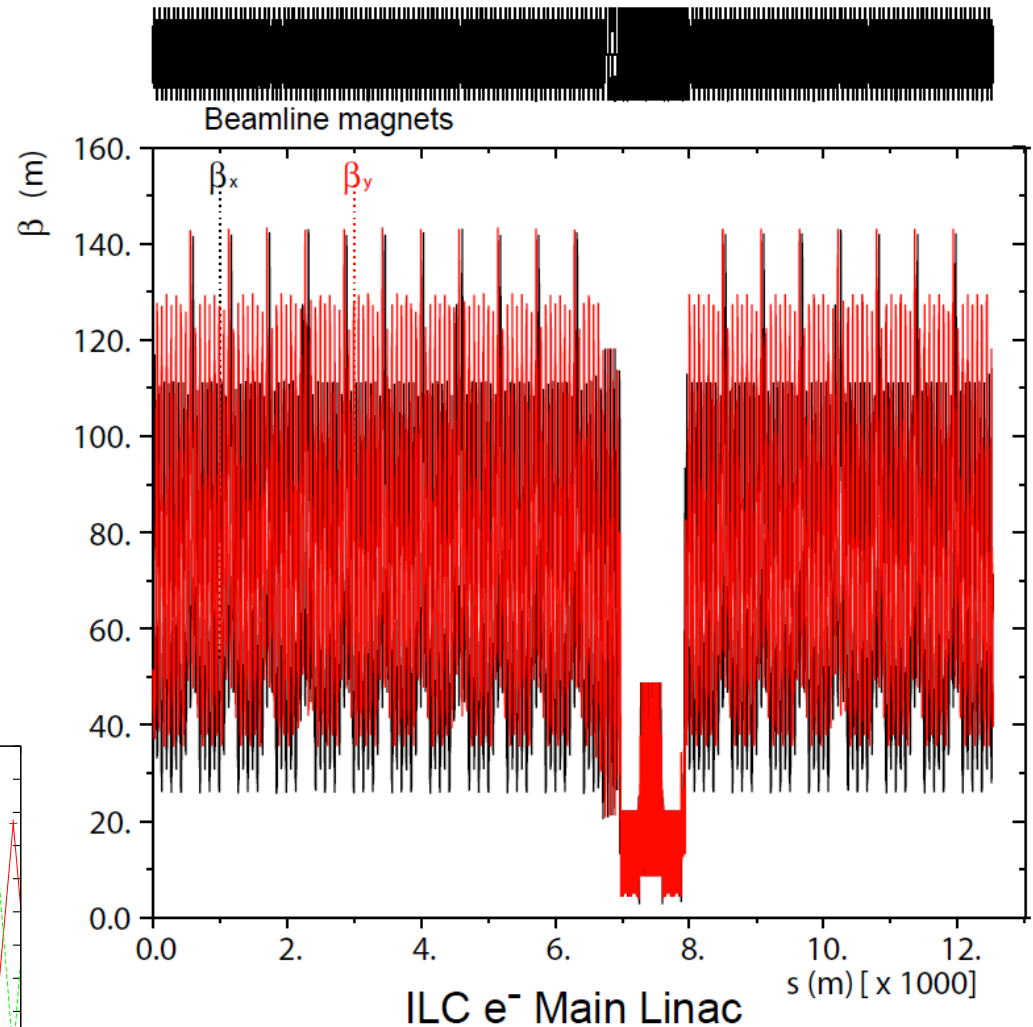
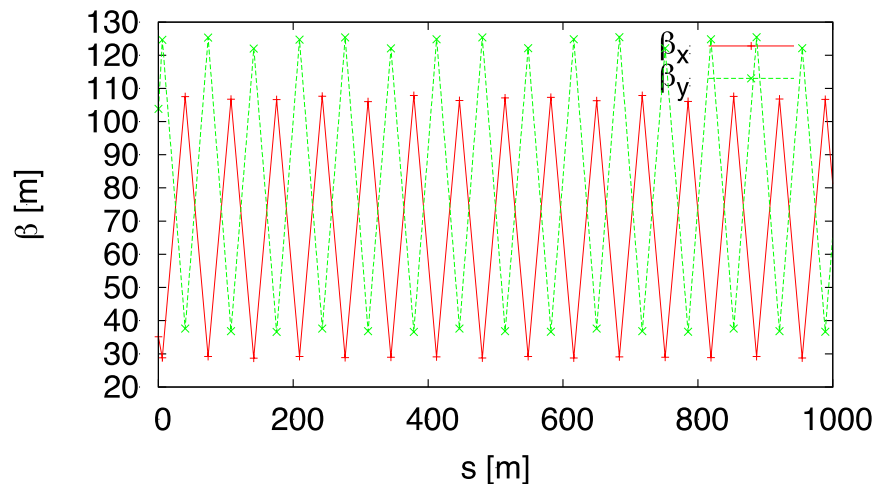
Local wavelength is  $\beta$   
Strong focusing means smaller  $\beta$

A function of longitudinal position  $s$   
But equivalent to time dependence  $t$

# ILC Lattice Design

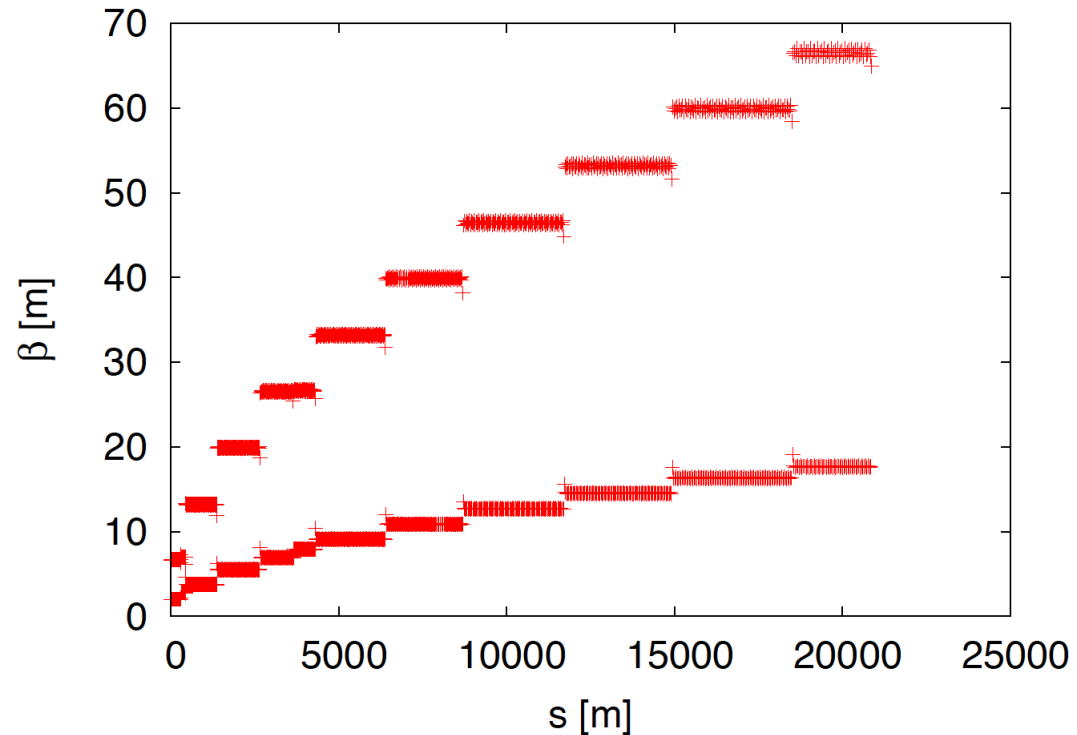
Constant quadrupole spacing  
Constant phase advance

Different phase advance in horizontal  
and vertical to decouple planes against  
wakefield effects



# CLIC Lattice Design (3 TeV)

- Use strong focusing (small  $\beta$ ) to stabilise beam
    - 10% of linac are quadrupoles
  - Used  $\beta \propto E^{1/2}$ ,  $\beta \sqrt{L} = \text{const}$ 
    - Quadrupole spacing and length scale as  $E^{1/2}$
- $\Rightarrow$  roughly constant fill factor
- phase advance is chosen to balance between wakefield and ground motion effects



- Total length 20867.6m
  - fill factor 78.6%

- 12 different sectors used
- Matching between sectors using 7 quadrupoles to allow for some energy bandwidth

Note: fill factor = active length/total length

# Passage Through the Linac

For simplicity consider constant beta-function  
Replacing FODO lattice with permanent focusing  
Great approximation to understand physics

$$x_1''(s) + \frac{1}{\beta^2} x_1(s) = 0$$

$$x_1(0) = x_0 \quad x_1'(0) = 0$$

Solution is well-known

$$x_1(s) = x_0 \cos\left(\frac{s}{\beta}\right)$$

# Transverse Wakefields

For short distances the wake-field rises linear

Summation of an infinite number of sine-like modes with different frequencies

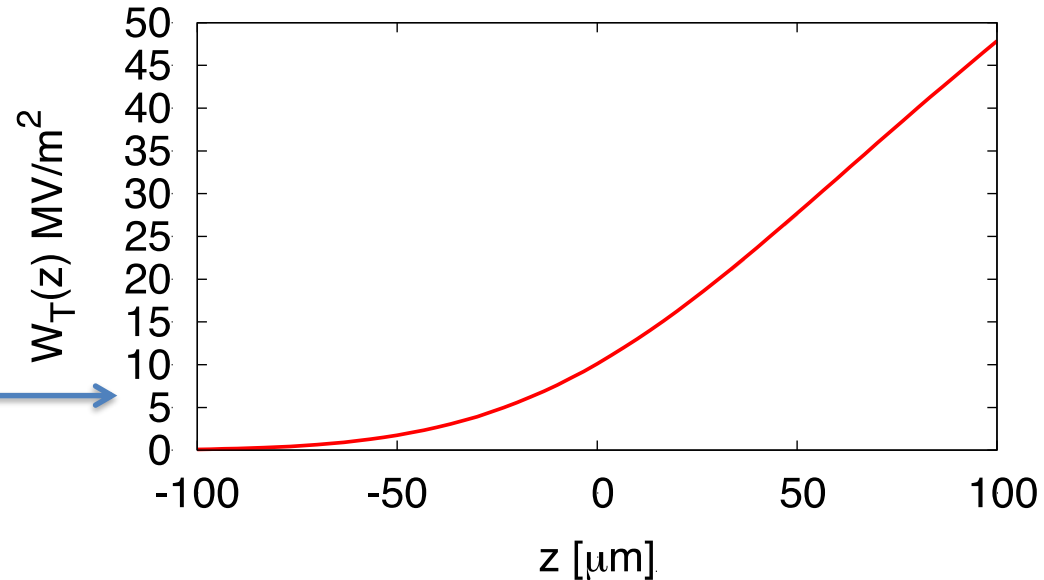
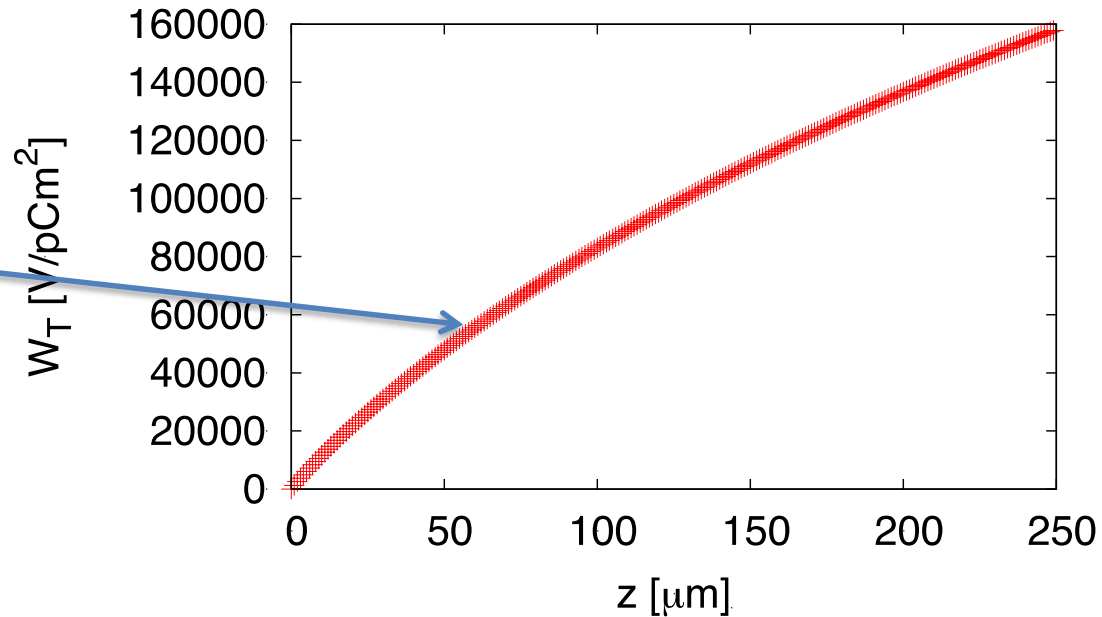
Parametrisation (K. Bane)

$$W_{\perp}(z) = 4 \frac{Z_0 c z_0}{\pi a^4} \left[ 1 - \left( 1 + \sqrt{\frac{z}{z_0}} \right) \exp \left( -\sqrt{\frac{z}{z_0}} \right) \right]$$

$$z_0 = 0.169 a^{1.79} g^{0.38} \left( \frac{1}{l} \right)^{1.17}$$

$$W_{\perp}(z \ll z_0) \approx 2 \frac{Z_0 c}{\pi a^4} z$$

Coherent bunch offset (worst case)  
The tail is deflected to the outside





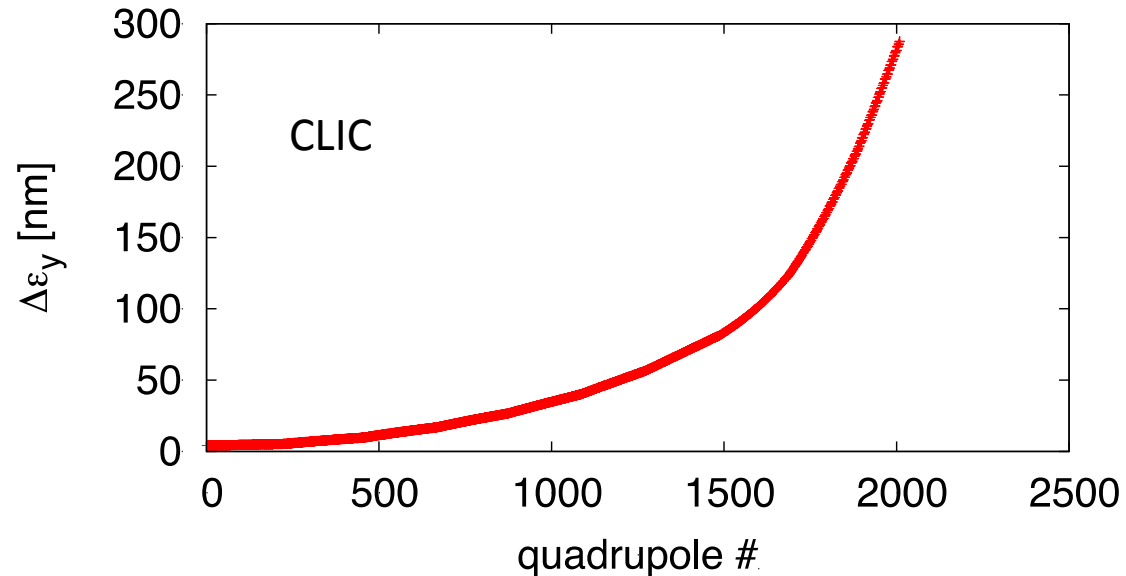
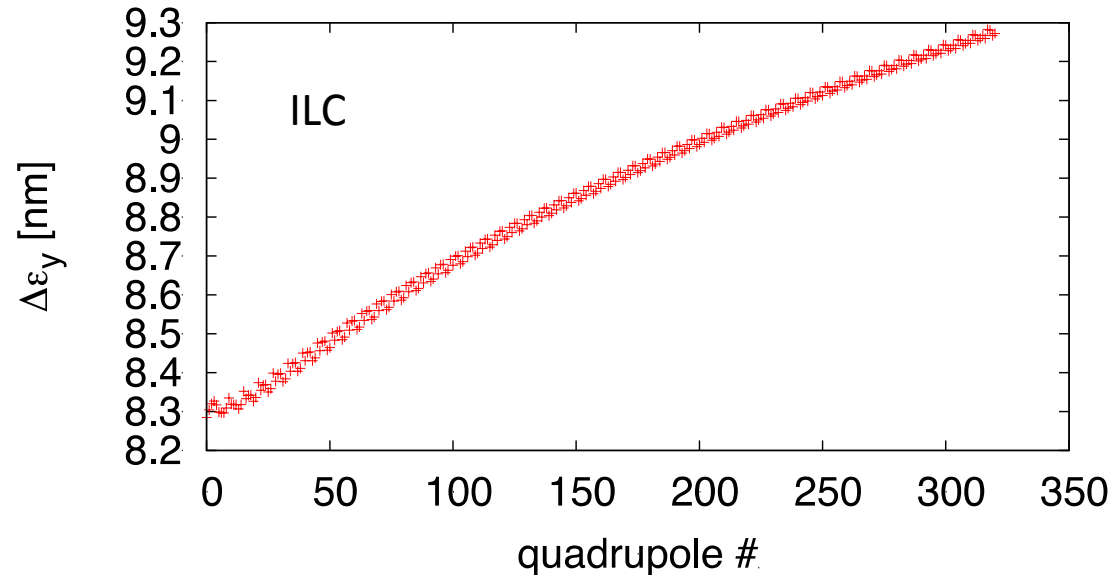
# Emittance in Linac

Transverse stability of beam  
with initial offset  $\sigma_y$

- No energy spread
- Emittance with respect to beam axis shown

⇒ Acceptable for ILC

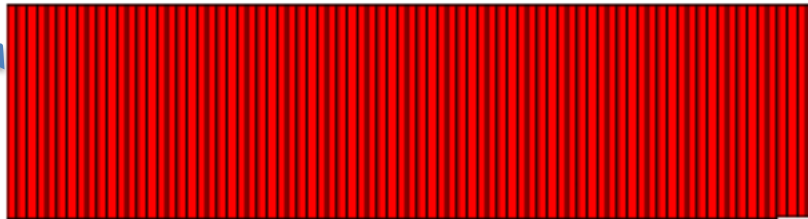
⇒ Not acceptable for CLIC



# Bunch Transverse Motion (CLIC)

Head performs simple betatron oscillation

Tail starts to flap around



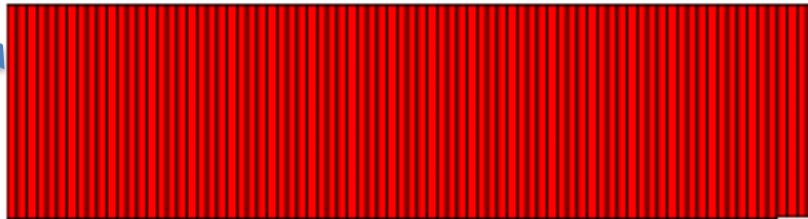
Direction of motion

Slices will shrink due to energy increase

# Bunch Transverse Motion (CLIC)

Head performs simple betatron oscillation

Tail starts to flap around



Direction of motion

Slices will shrink due to energy increase

# Wakefield Model

Assume bunch can be represented by two particles and constant  $K(s) = 1/\beta^2$

- Second particle is kicked by transverse wakefield

$$x_2''(s) + \frac{1}{\beta^2} x_2(s) = \frac{Ne^2 W_{\perp}(\Delta z)}{P_L c} x_1(s)$$

$= E$

$$x_2(0) = x_0 \quad x_2'(0) = 0$$

$$x_1(s) = x_0 \cos\left(\frac{s}{\beta}\right)$$

Solution is simple with an ansatz

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta}\right) + x_0 (Ne^2 W_{\perp}(\Delta z)) \left(\frac{\beta s}{2E}\right) \sin\left(\frac{s}{\beta}\right)$$

⇒ Amplitude of second particle oscillation is growing linearly with  $s$

# Discussion

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta}\right) + x_0 (N e^2 W_{\perp}(\Delta z)) \left(\frac{\beta s}{2E}\right) \sin\left(\frac{s}{\beta}\right)$$

With proper calculation one finds  $\frac{\beta s}{E} \Rightarrow \int \frac{\beta(s)}{E(s)} ds$

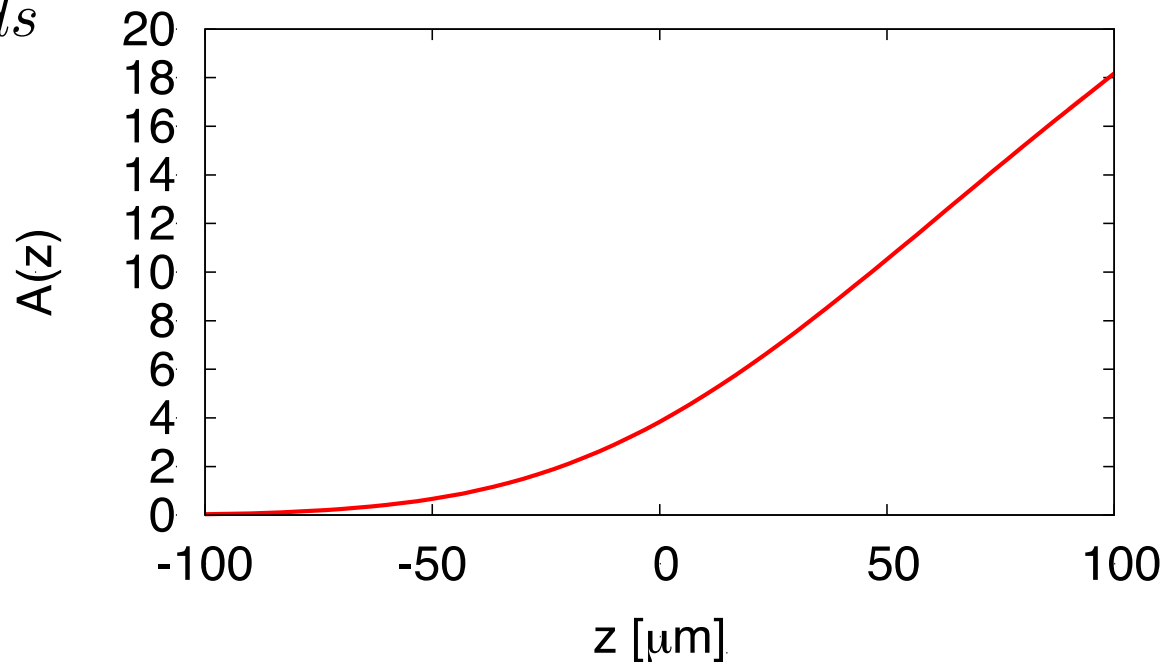
- Factors for the amplitude growth of the second particle
  - $\beta$ : small beta-function (strong focusing) helps
  - $1/E$ : high energy helps
  - $W_{\perp}$ : small wakefield helps
  - Shorter bunches
  - $N$  : small bunch charge helps
  - $s$ : shorter linac helps (i.e. higher gradient G)

# Discussion

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta}\right) + x_0 \left(Ne^2 W_{\perp}(\Delta z)\right) \left(\frac{\beta s}{2E}\right) \sin\left(\frac{s}{\beta}\right)$$

$$A(z_0) = \tilde{W}_{\perp}(z_0) e \int \frac{\beta(s)}{2E} ds$$

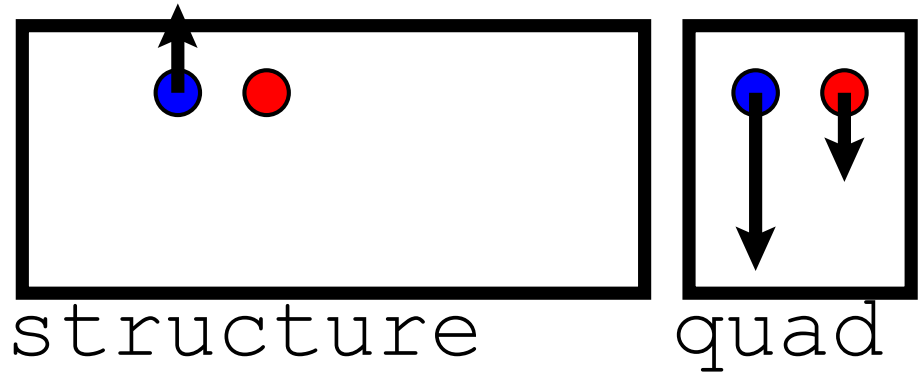
$$\tilde{W}_{\perp}(z_0) = \int_{-\infty}^{z_0} \rho(z) W_{\perp}(z_0 - z) N e dz$$



# BNS Damping Concept

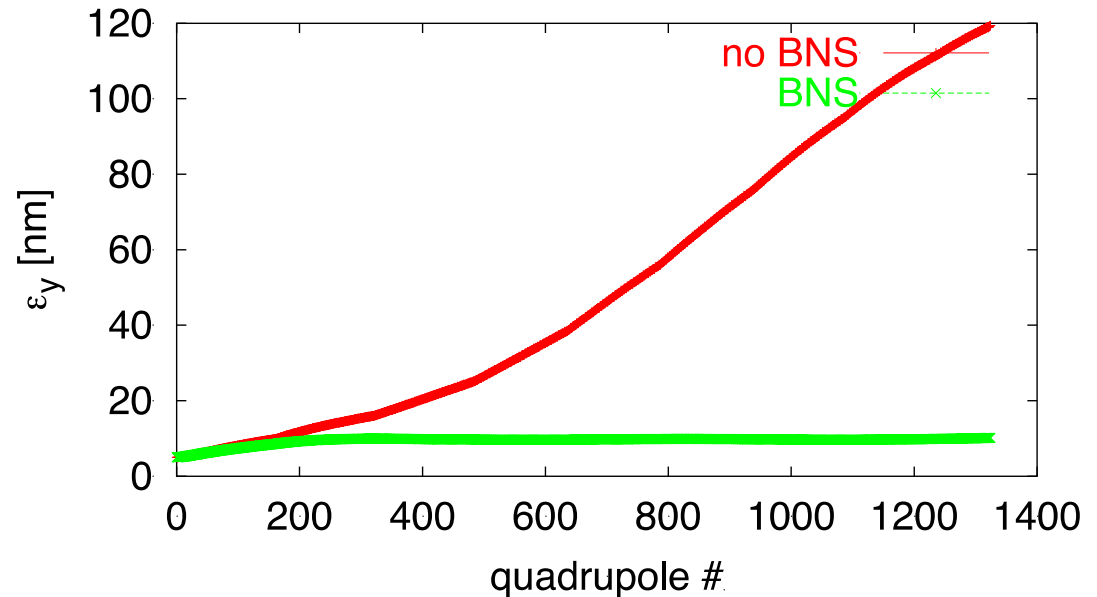
Transverse wakes act as defocusing force on tail

⇒ beam jitter is exponentially amplified



BNS damping (Balakin, Novokhatsky and Smirnov) prevents this growth

- manipulate RF phases to have energy spread
- take spread out at end





# BNS Damping

For simplicity assume initial offset but no angle

First particle performs a harmonic oscillation

$$x_1(s) = x_0 \cos\left(\frac{s}{\beta}\right)$$

We **want** the second particle to perform the **same oscillation**, i.e.

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta}\right)$$

Change particle energy for this purpose

$$x_2''(s) + \frac{1}{(1 + \delta)\beta^2} x_2(s) = \frac{Ne^2 W_{\perp}(\Delta z)}{E} x_0 \cos\left(\frac{s}{\beta}\right)$$

Same as changing mass in harmonic oscillator

# BNS Damping

$$x_2''(s) + \frac{1}{(1 + \delta)\beta^2} x_2(s) = \frac{Ne^2 W_{\perp}(\Delta z)}{E} x_0 \cos\left(\frac{s}{\beta}\right)$$

Plugging in our **wanted** solution for  $x_2(s)$

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta}\right) = x_1(s)$$

we find

$$-\frac{1}{\beta^2} x_0 \cos\left(\frac{s}{\beta}\right) + \frac{1}{(1 + \delta)\beta^2} x_0 \cos\left(\frac{s}{\beta}\right) = x_0 \frac{Ne^2 W_{\perp}(\Delta z)}{E} \cos\left(\frac{s}{\beta}\right)$$

# BNS Damping

$$-\frac{1}{\beta^2} x_0 \cos\left(\frac{s}{\beta}\right) + \frac{1}{(1+\delta)\beta^2} x_0 \cos\left(\frac{s}{\beta}\right) = x_0 \frac{Ne^2 W_{\perp}(\Delta z)}{E} \cos\left(\frac{s}{\beta}\right)$$

which is fulfilled for

$$\frac{1}{(1+\delta)\beta^2} - \frac{1}{\beta^2} = \frac{Ne^2 W_{\perp}(\Delta z)}{P_L c}$$

$$\delta \approx \frac{\beta^2}{E} Ne^2 W_{\perp}(\Delta z)$$

Small beta-function

Small bunch charge

Small wakefields

CLIC choice

$$\beta(s) \propto \sqrt{E(s)}$$

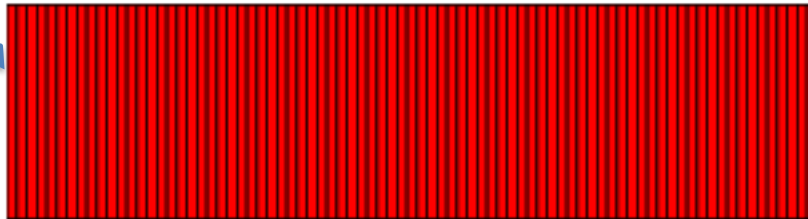
Allows

$$\delta = \text{const}$$

# Bunch in Main Linac

Head still performs simple betatron oscillation

Tail still flaps a little bit



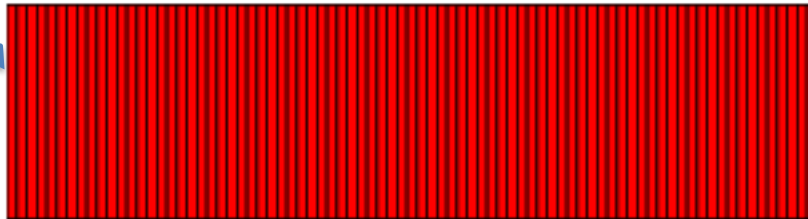
Direction of motion

Centre of bunch is much more stable

# Bunch in Main Linac

Head still performs simple betatron oscillation

Tail still flaps a little bit



Direction of motion

Centre of bunch is much more stable

# Energy Spread in the Linac

Cannot exactly match energy profile and wakefield

⇒ Shapes of energy spread and integrated wake differ

Only cure coherent offset

⇒ Slope along bunch still has an effect

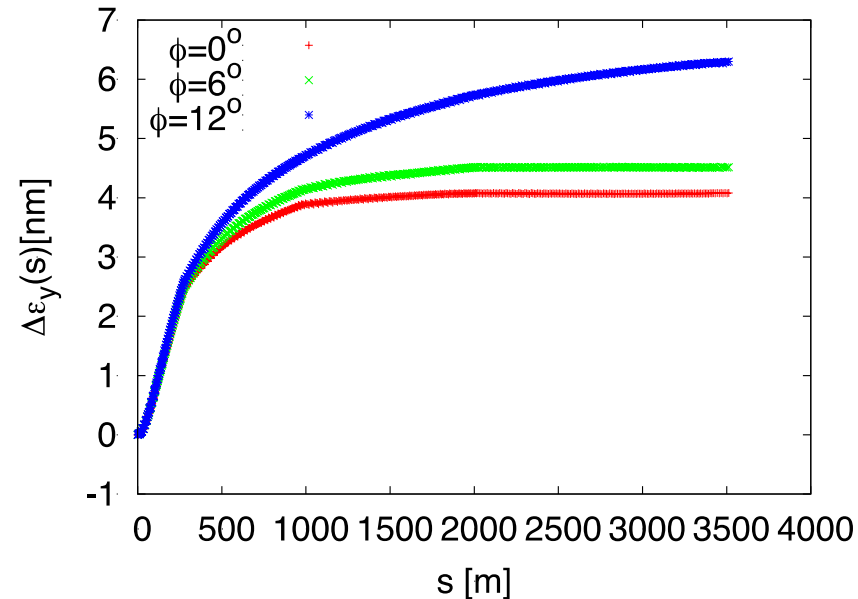
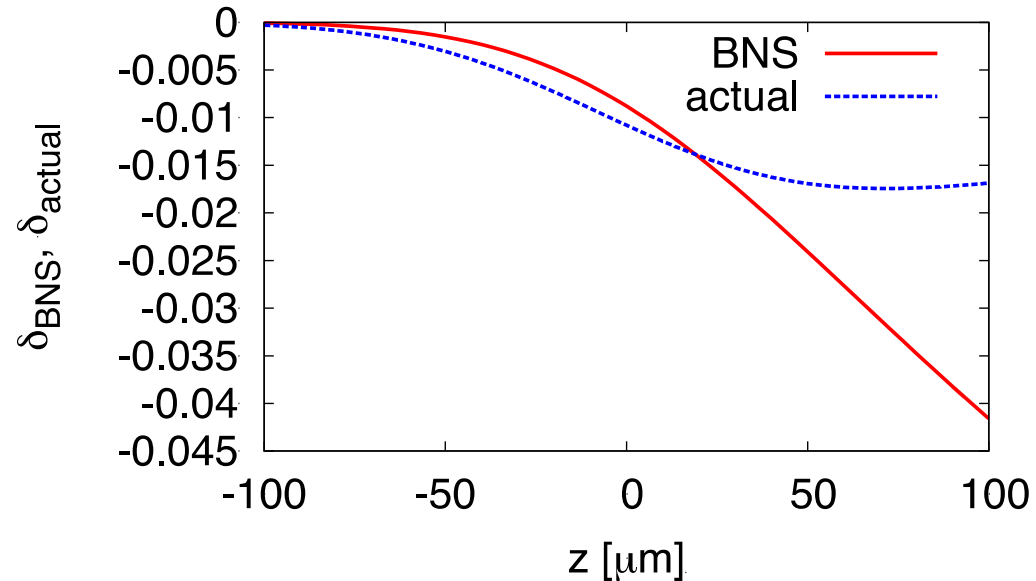
Energy spread also helps detuning

In summary

⇒ Can only obtain some correction

⇒ Broad acceptable range

⇒ Different RF phases in linac are OK



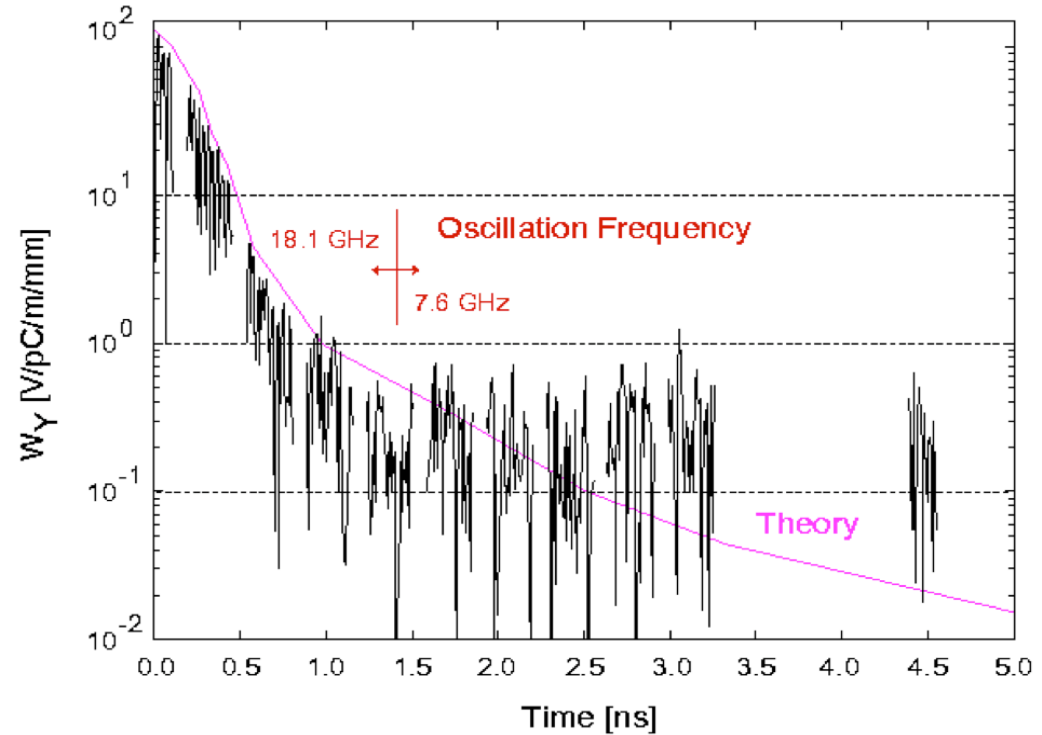
# Multi-bunch Stability





# Multi-bunch Wakefields

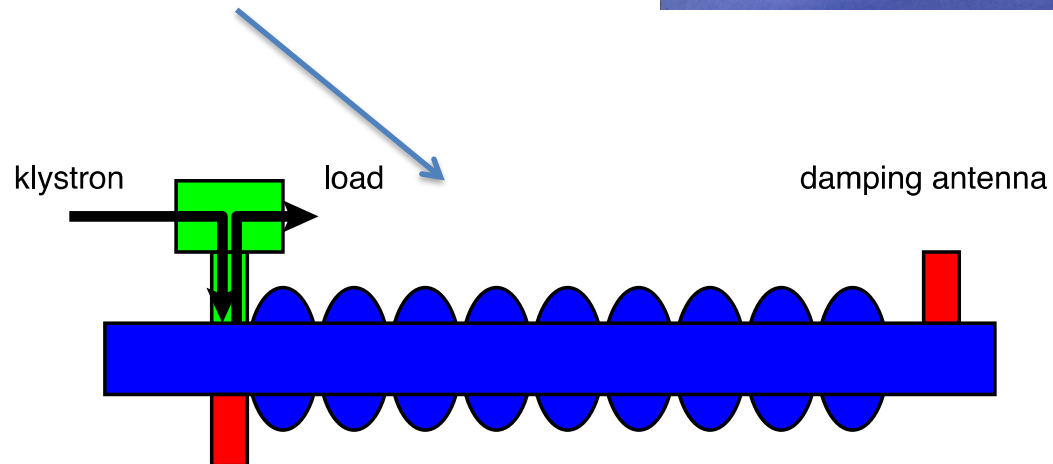
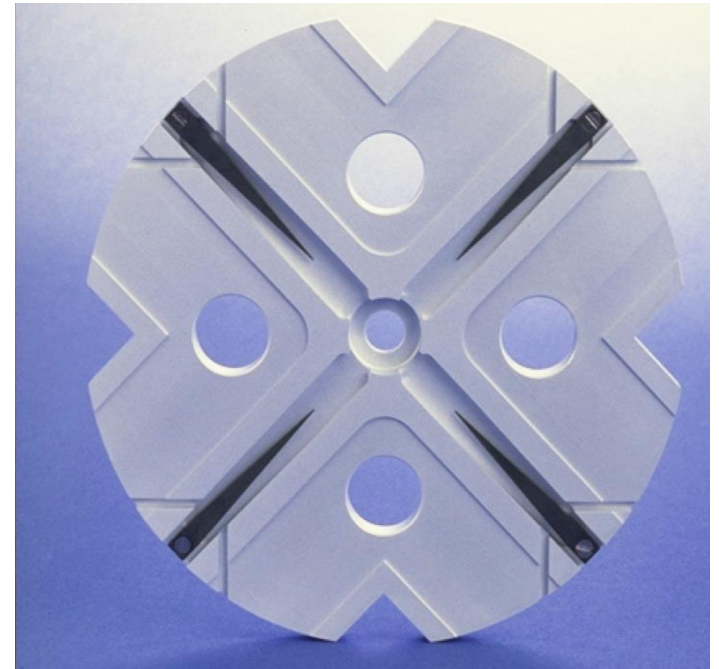
- Long-range transverse wakefield determines how close one can put the bunches in the linac
- Longrange transverse wakefields are sine-like
- They can be reduced by
  - Damping
  - Detuning



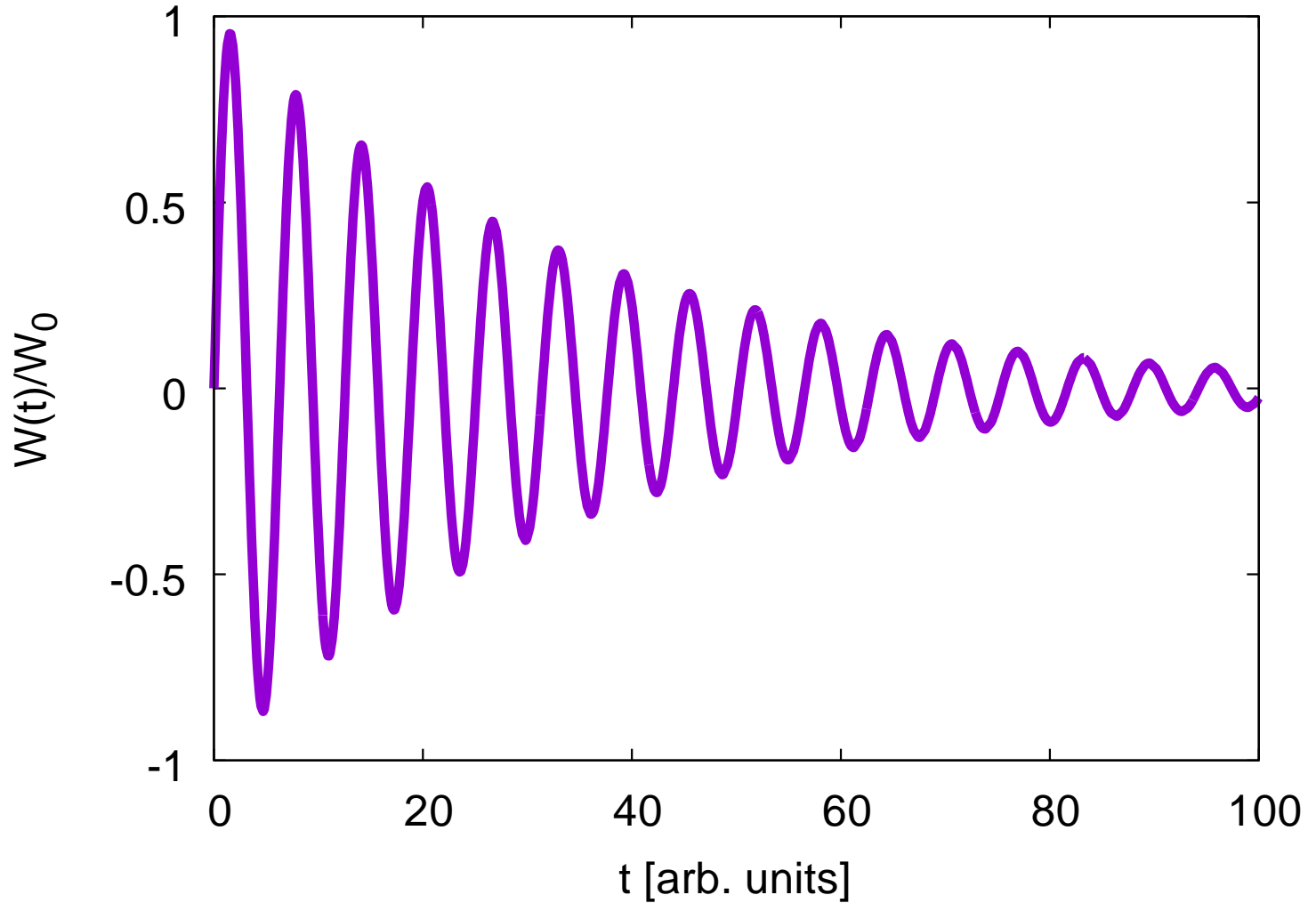
$$W_{\perp}(z) = \sum_i^{\infty} 2k_i \sin\left(2\pi \frac{z}{\lambda_i}\right) \exp\left(-\frac{\pi z}{\lambda_i Q_i}\right)$$

# Damping

- Damping = extract power of transverse modes
- In CLIC, each cell has waveguides
  - Fundamental mode cannot escape
  - Strong damping,  $Q=O(10)$
- ILC has antennas at the end
  - Weaker damping,  $Q=O(10^4)$



# Effect of Damping



# Detuning

Introducing a spread in wakefield frequencies helps:

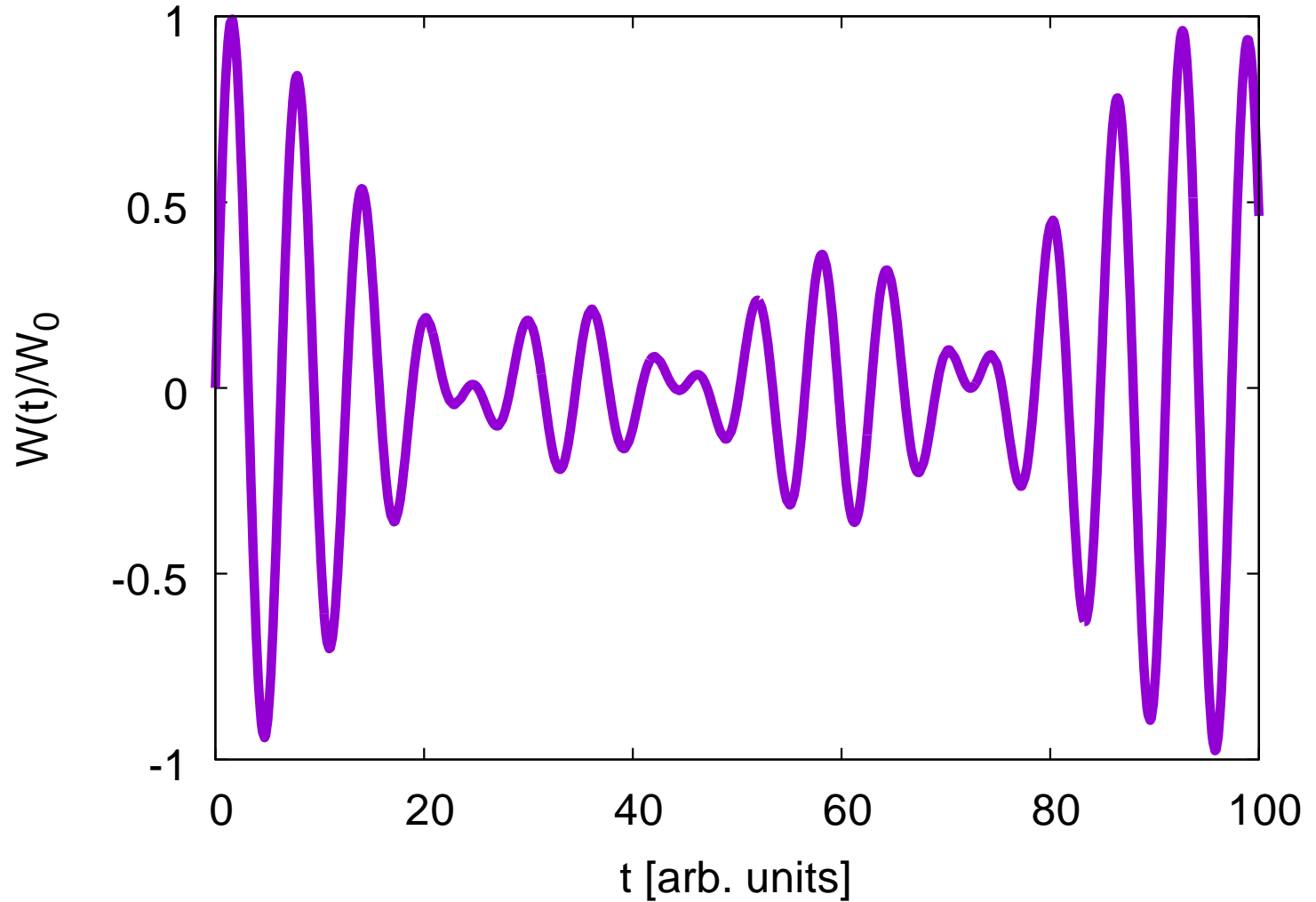
Example for two modes

$$W(z) = W_0 \frac{\sin((k + \Delta)z) + \sin((k - \Delta)z)}{2}$$

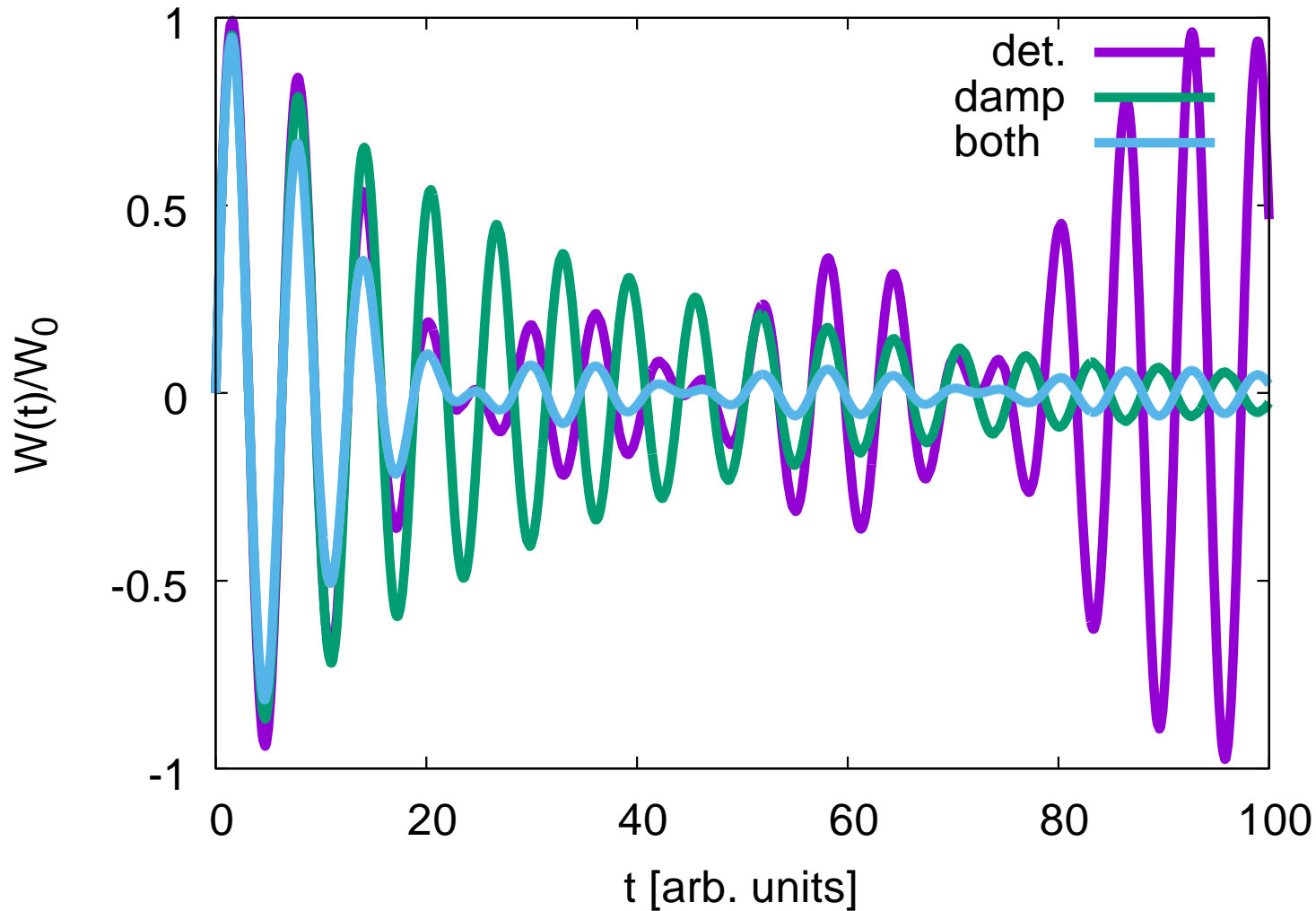
$$W(z) = W_0 \sin(kz) \cos(\Delta z)$$

In CLIC structure each cell is different, has a different transverse mode

# Illustration of Detuning



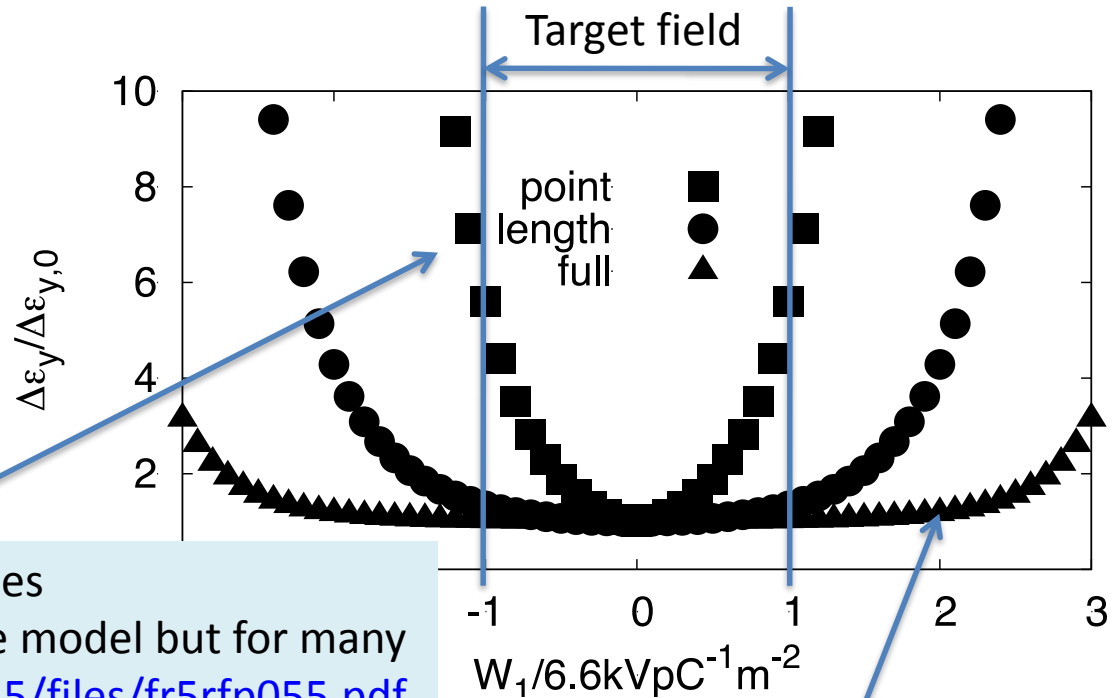
# Combined Effect



# Multi-bunch Effect in CLIC

Wakefield amplitudes are large  
Strong damping ( $Q=O(10)$ )  
Detuning (each cell is different)

Each bunch mainly kicks the immediately following one



Analytic estimate: point-like bunches  
Using model similar to two-particle model but for many  
<https://cds.cern.ch/record/1227215/files/fr5rfp055.pdf>

Luminosity loss is amplified by factor 4.9, acceptable

Chose smallest spacing consistent with maximum wakefield

6 buckets, i.e. 0.5ns

Fully real simulation:  
Energy spread stabilises, very acceptable



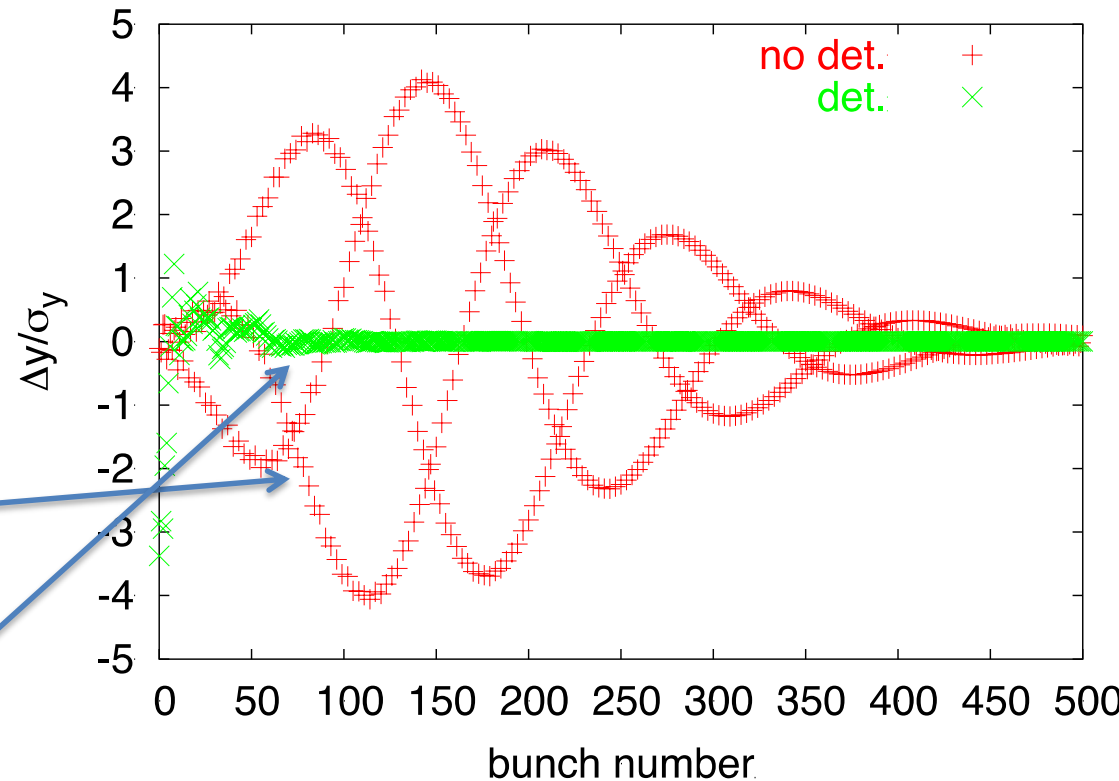
# Multi-bunch effect in ILC

- Small wakefield amplitudes
- little damping ( $Q=O(10^4)$ )
  - random detuning cavity to cavity ( $O(10^{-3})$ )

Cavity misalignment simulated

No detuning is not acceptable

Residual bunch-to-bunch offsets with detuning  
But should be acceptable



# Imperfections



# Reminder

Imperfections are the main source of final vertical emittance

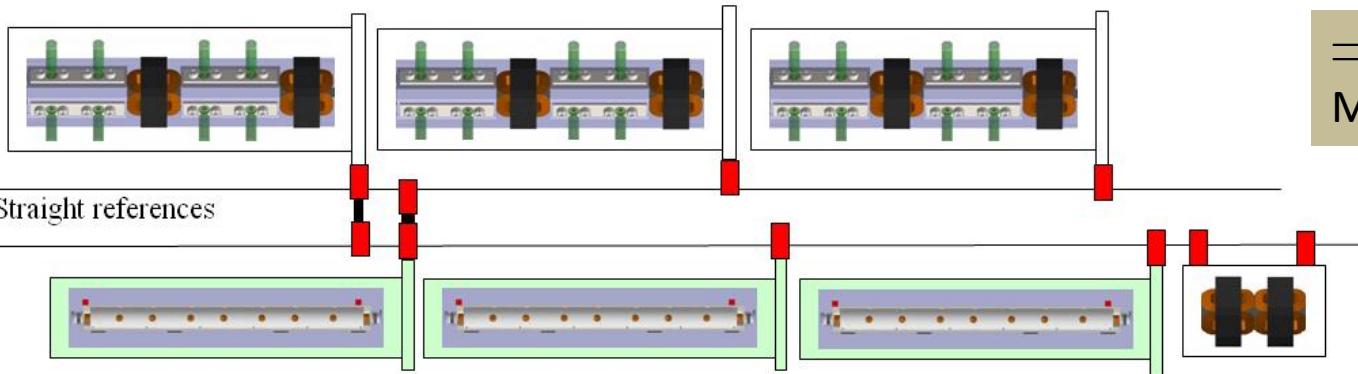
	Design limits $\Delta\varepsilon_y$ [nm]	Static imperfection s $\Delta\varepsilon_y$ [nm]	Dynamic imperfections $\Delta\varepsilon_y$ [nm]
Damping ring exit	5	0	0
End of RTML	1	2	2
End of main linac	0	5	5
Interaction point	0	5	5
sum	6	12	12

Discuss them for the main linac as an example

# CLIC Pre-alignment Procedures

200 m

Establish reference system with overlapping wires, has some error but is not critical



⇒ Dominique Missiaen  
Monday 5.3

Modules have sensors for wire position and can be moved remotely

The error for this is most critical, required accuracy of reference points is  $O(10\mu\text{m})$



# Pre-alignment Performance

Element	error	with respect to	alignment	
			ILC	CLIC
Structure	offset	Girder	300 $\mu\text{m}$	5 $\mu\text{m}$
Structure	tilts	Girder	300 $\mu\text{radian}$	200(*) $\mu\text{m}$
Girder Girder	offset	survey line	200 $\mu\text{m}$	9.4 $\mu\text{m}$
Quadrupole	tilt	survey line	20 $\mu\text{radian}$	9.4 $\mu\text{radian}$
	offset	girder/survey line	300 $\mu\text{m}$	17 $\mu\text{m}$
Quadrupole	roll	survey line	300 $\mu\text{radian}$	$\leq 100 \mu\text{radian}$
BPM	offset	girder/survey line	300 $\mu\text{m}$	14 $\mu\text{m}$
BPM	resolution	BPM	$\approx 1 \mu\text{m}$	0.1 $\mu\text{m}$
Wakefield mon.	offset	center wake center	—	3.5 $\mu\text{m}$

Difficult to pre-align components in superconducting module

Important R&D development has been carried out for CLIC

\* This is mainly bookshelving



# Emittance Growth (ILC)

Error	with respect to	value	$\Delta\varepsilon_y$ [nm]
Cavity offset	module	300 $\mu\text{m}$	3.5
Cavity tilt	module	300 $\mu\text{radian}$	2600
BPM offset	module	300 $\mu\text{m}$	0
Quadrupole offset	module	300 $\mu\text{m}$	700000
Quadrupole roll	module	300 $\mu\text{radian}$	2.2
Module offset	perfect line	200 $\mu\text{m}$	250000
Module tilt	perfect line	20 $\mu\text{radian}$	880

Cavity tilts are important  
Beam is kicked by accelerating field

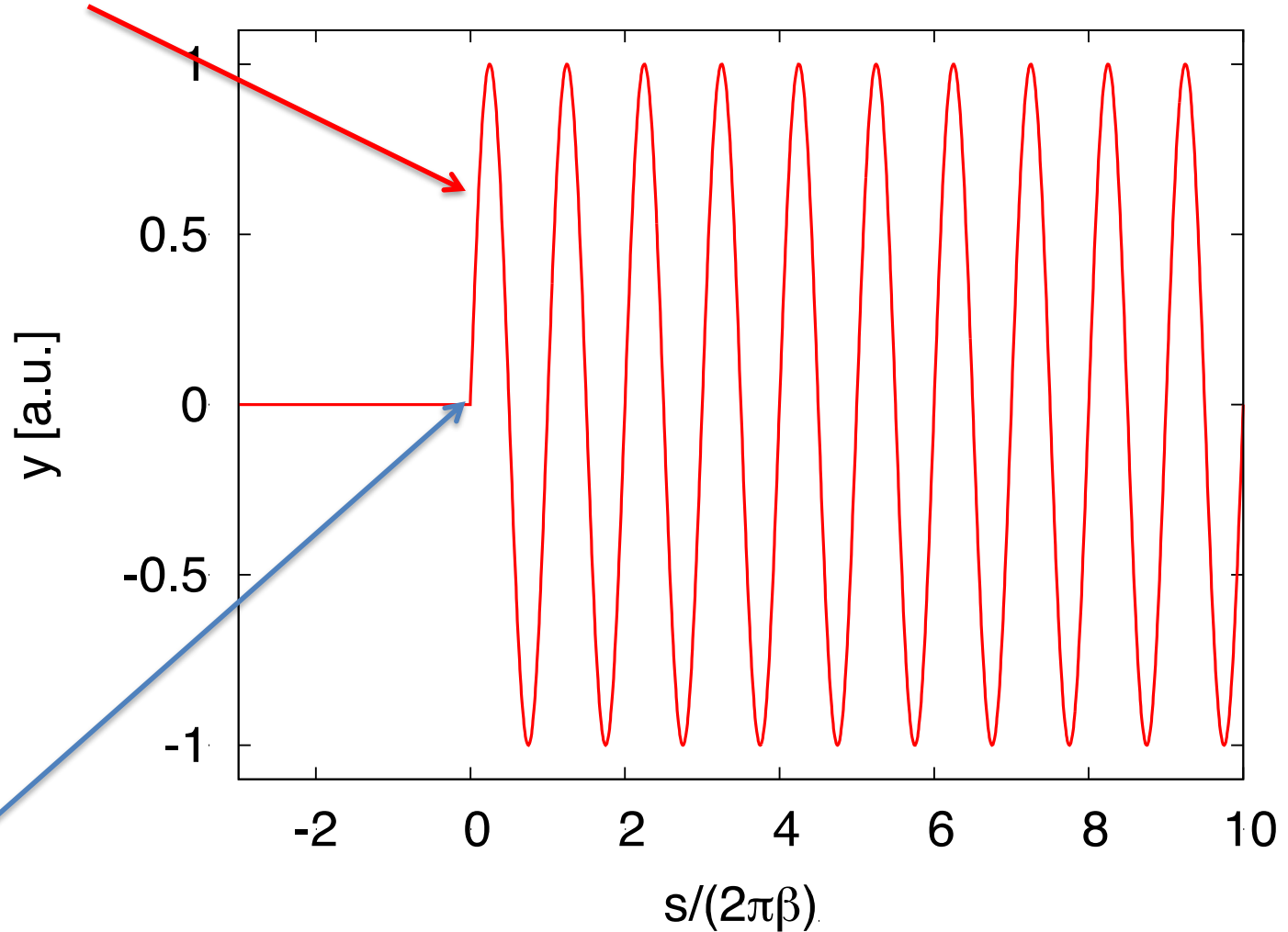
Module angles lead to cavity tilts

Module offset  
offsets  
quadrupole

Largest problem  
quadrupole  
offsets

# Dispersion and Emittance Growth

Oscillation of a particle with nominal energy



Here a kick is applied,  
e.g. a quadrupole with an offset

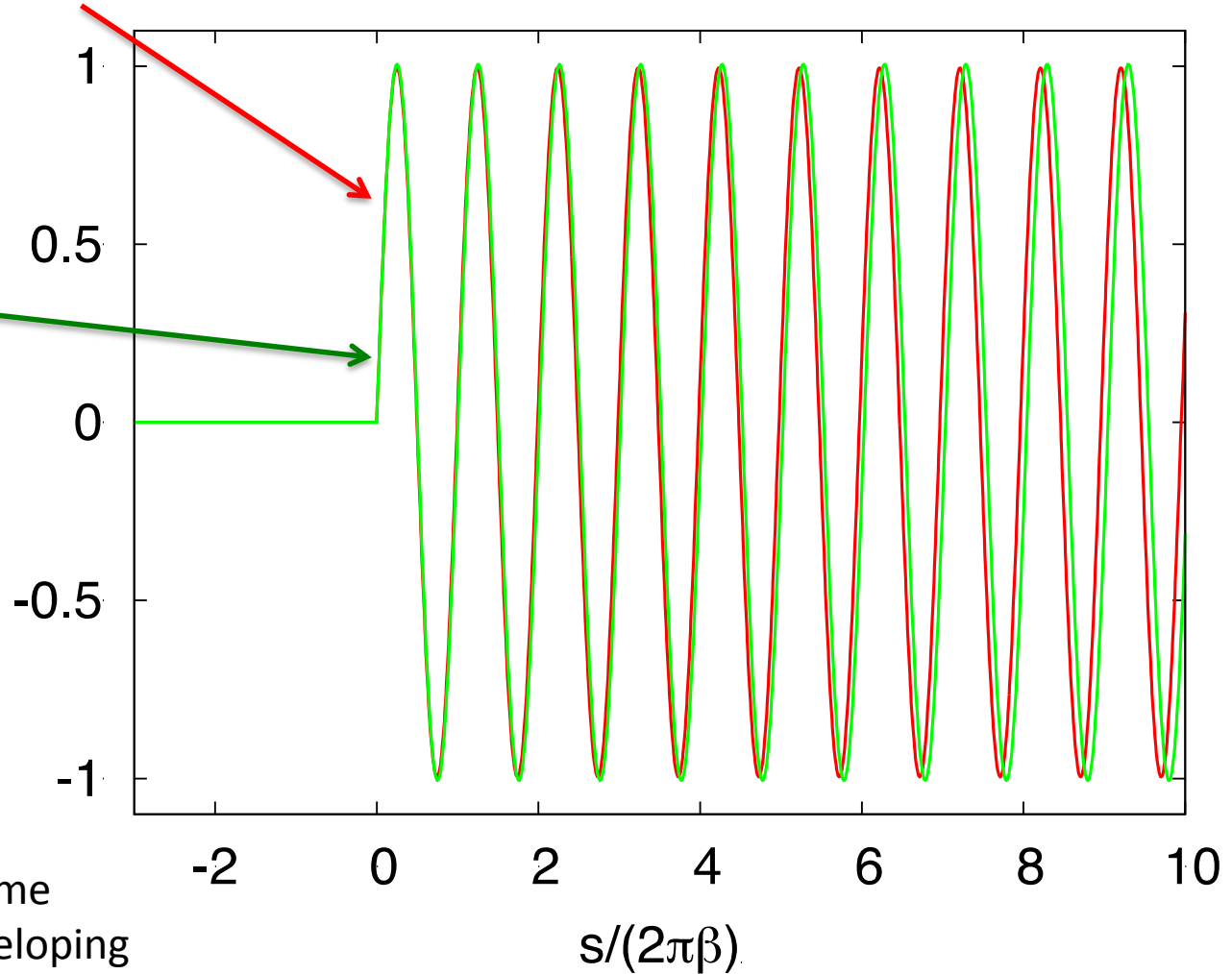


# Dispersion and Emittance Growth

Oscillation of a particle with 100.5 % of nominal energy

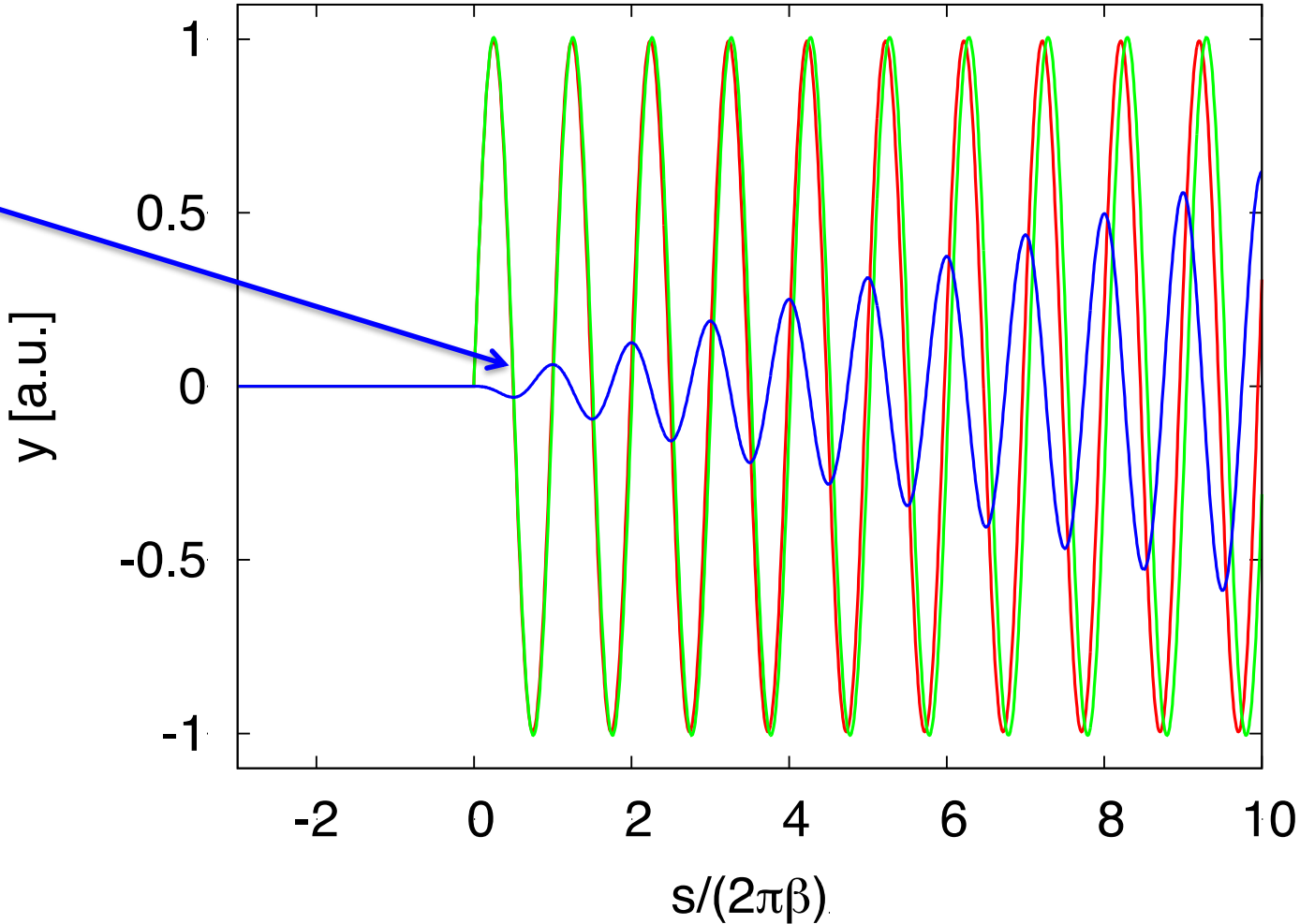
Oscillation of a particle with 99.5% of nominal energy

y [a.u.]



# Dispersion and Emittance Growth

Difference between trajectories grows along accelerator



# Dispersion and Emittance Growth

Difference between trajectories grows along accelerator

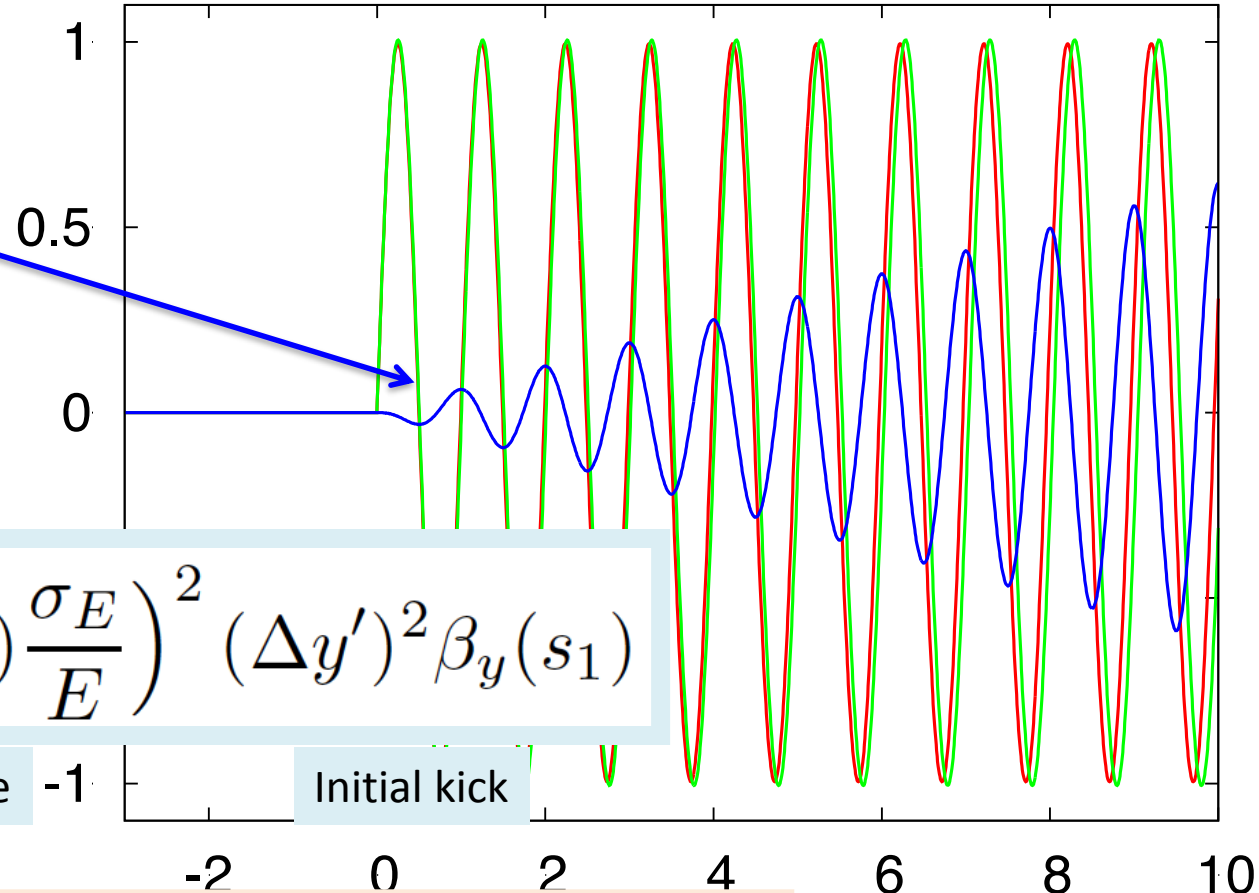
Emittance grows as

$$\Delta\epsilon_1 \propto \left( (\Phi_e - \Phi_1) \frac{\sigma_E}{E} \right)^2 (\Delta y')^2 \beta_y(s_1)$$

Decoherence -1

Initial kick

y [a.u.]



For long linacs

$$\Delta\epsilon_1 \propto (\Delta y')^2 \beta_y(s_1)$$

# Beam-based Alignment and Tuning

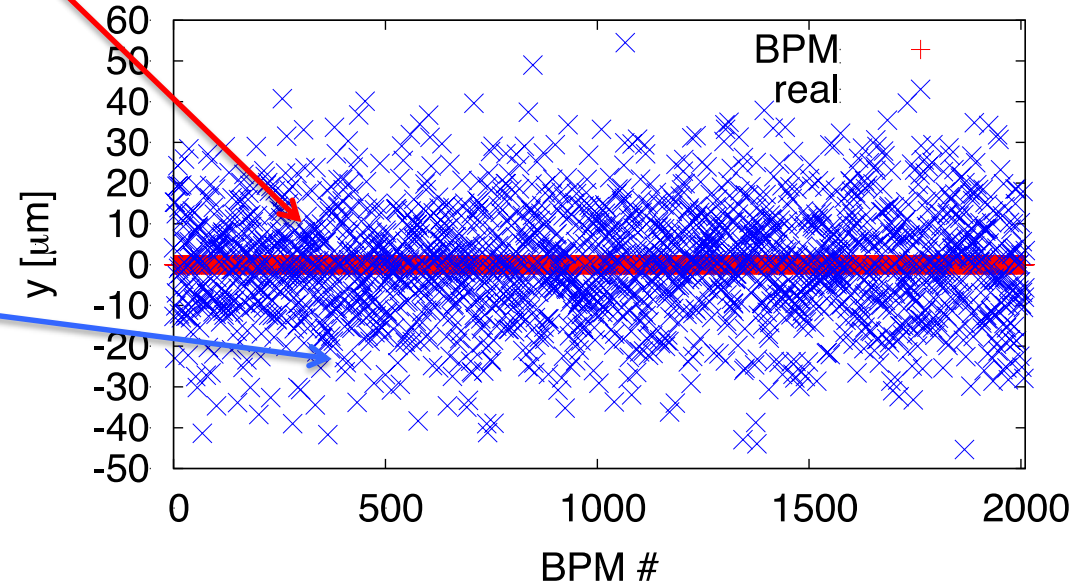
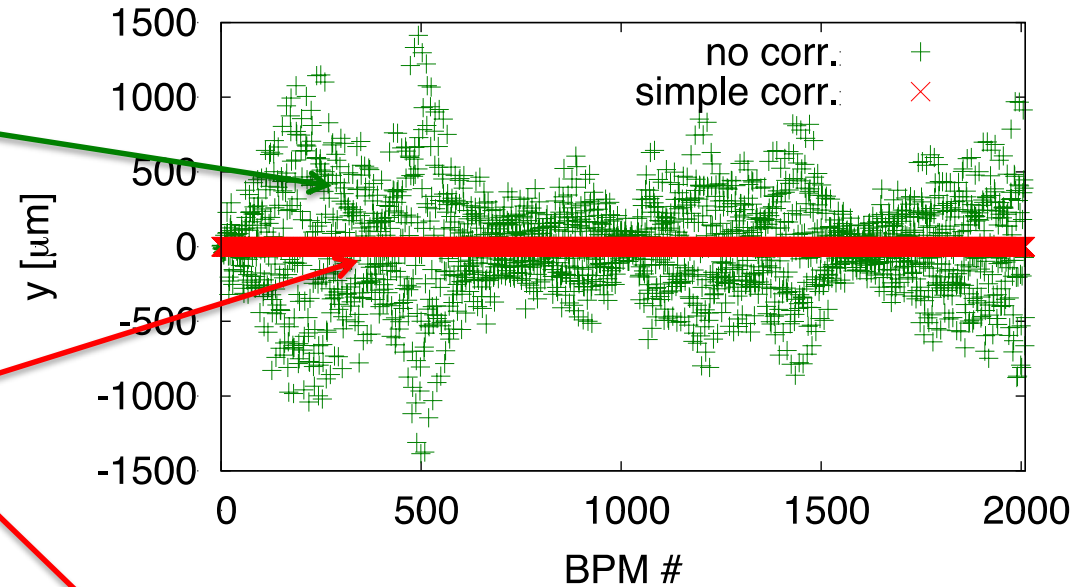
- Make beam pass linac by aligning quadrupoles
  - one-to-one correction
- Remove dispersion by aligning BPMs and quadrupoles
  - dispersion free steering
  - Ballistic alignment
  - kick minimisation
- Remove wakefields locally (CLIC only)
  - RF alignment
- Remove dispersive and wakefield effects globally
  - Emittance tuning bumps
  - Luminosity tuning bumps

# Trajectory with Simple Correction

BPM readings if no beam-based correction is applied

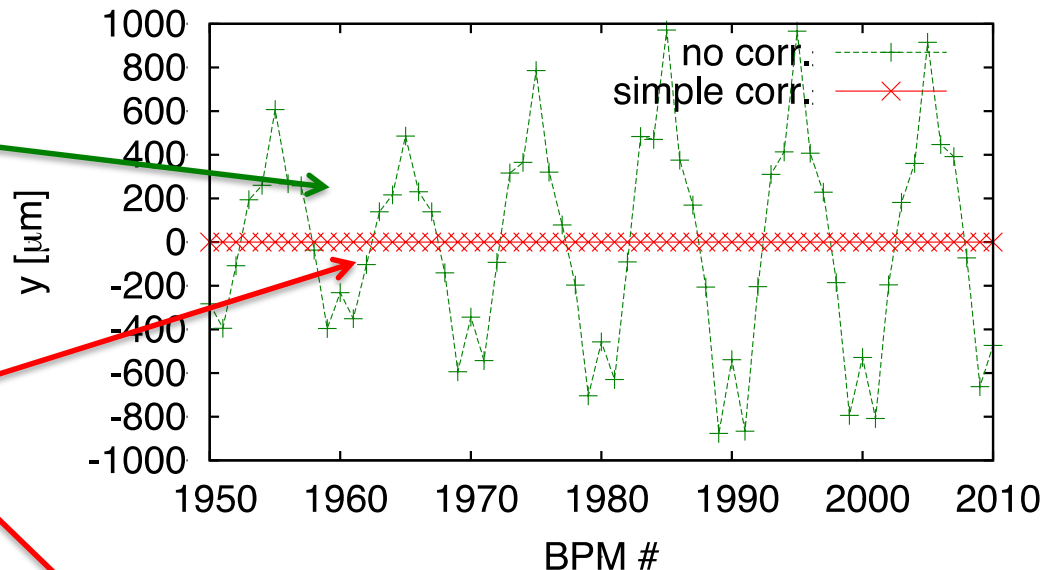
After one-to-one correction all BPMs read zero

But beam still is offset, because BPMs have offsets



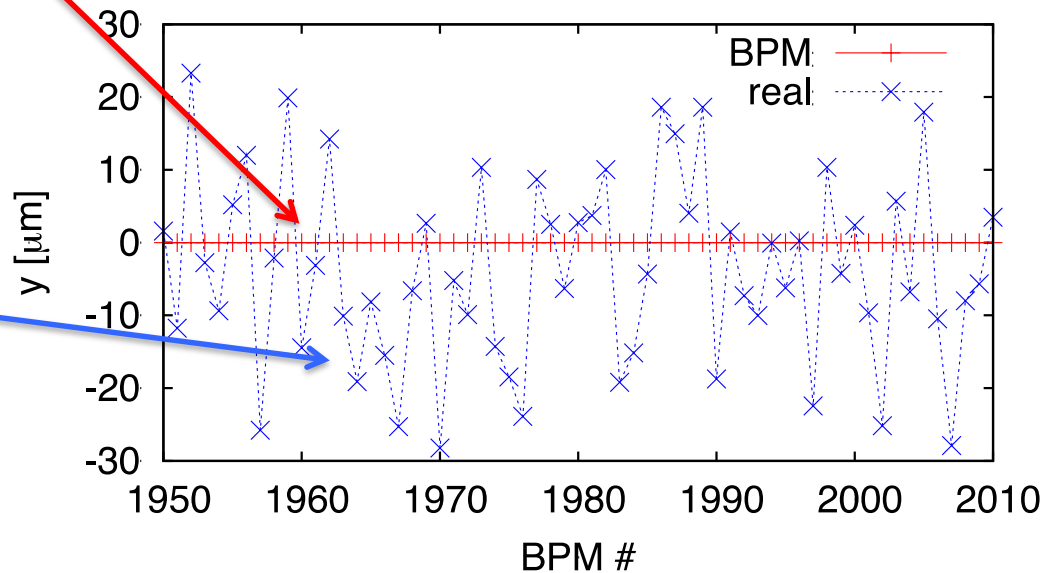
# Trajectory at the End of the Linac

With no correction, at the end of the linac beam performs betatron oscillation



After one-to-one correction all BPMs read zero

No betatron oscillation has been build-up if we use one-to-one correction

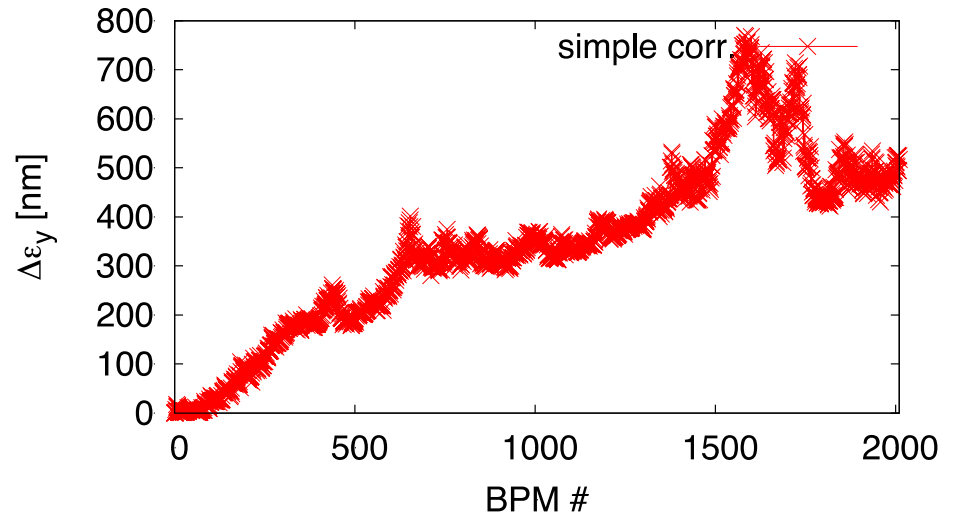
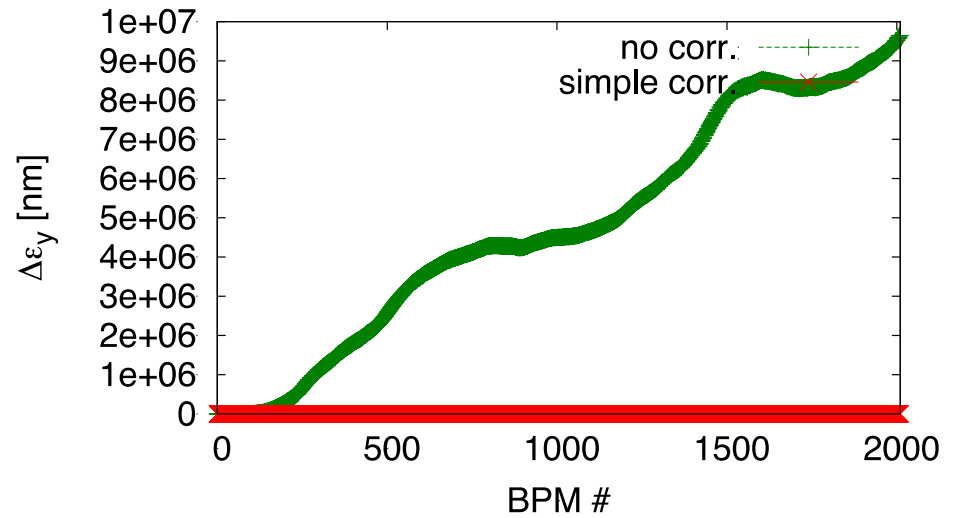


# Emittance Growth

The emittance growth with no correction is very large

The simple steering yields many orders of magnitude improvement

But still the emittance growth is far above the target

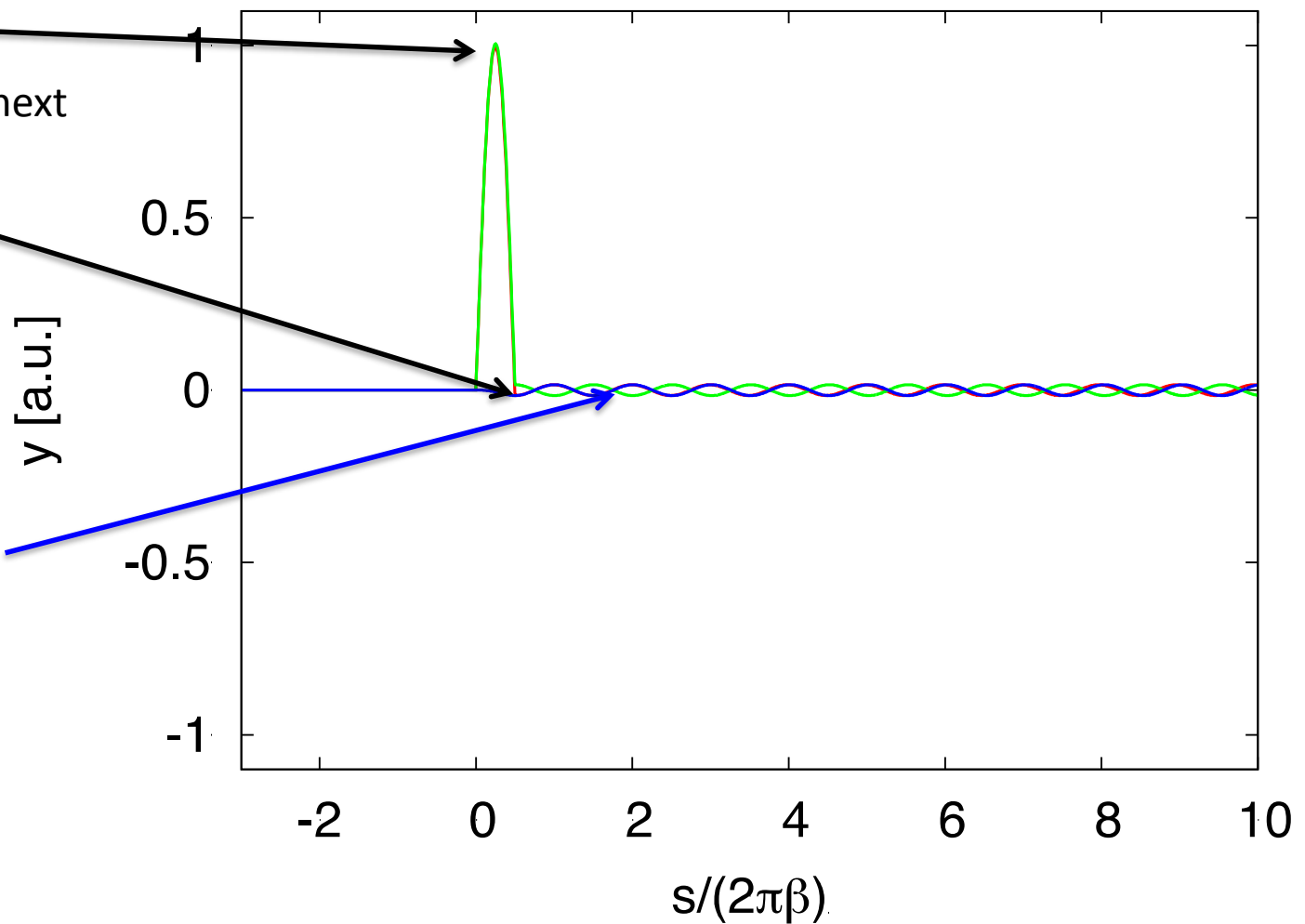


# Dispersion and Emittance Growth

BPM with offset causes kick

Fix mean trajectory for next  
BPMs

The difference remains  
limited





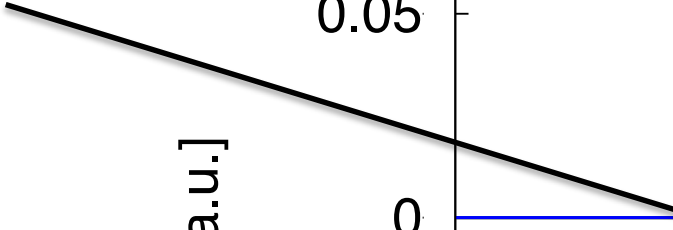
# Dispersion and Emittance Growth

BPM with offset causes kick

0.1



Fix mean trajectory for next  
BPMs



y [a.u.]

0.05

0

-0.05

-0.1

-2

0

2

4

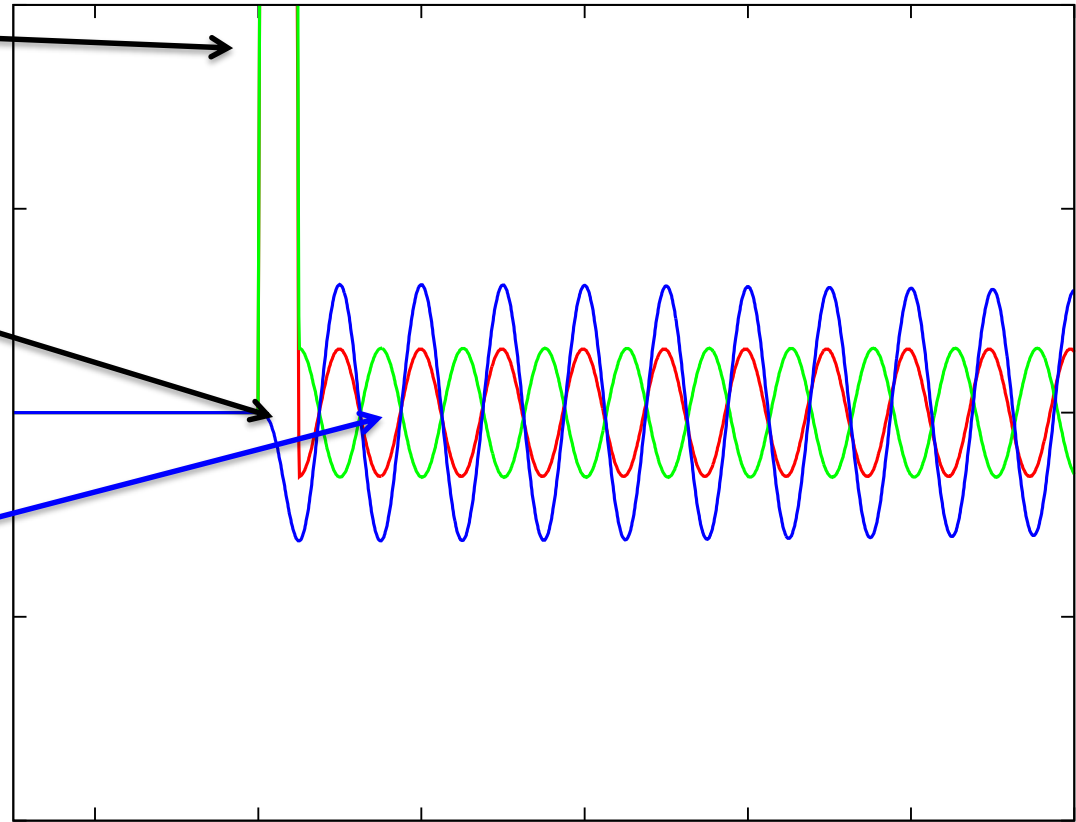
6

8

10

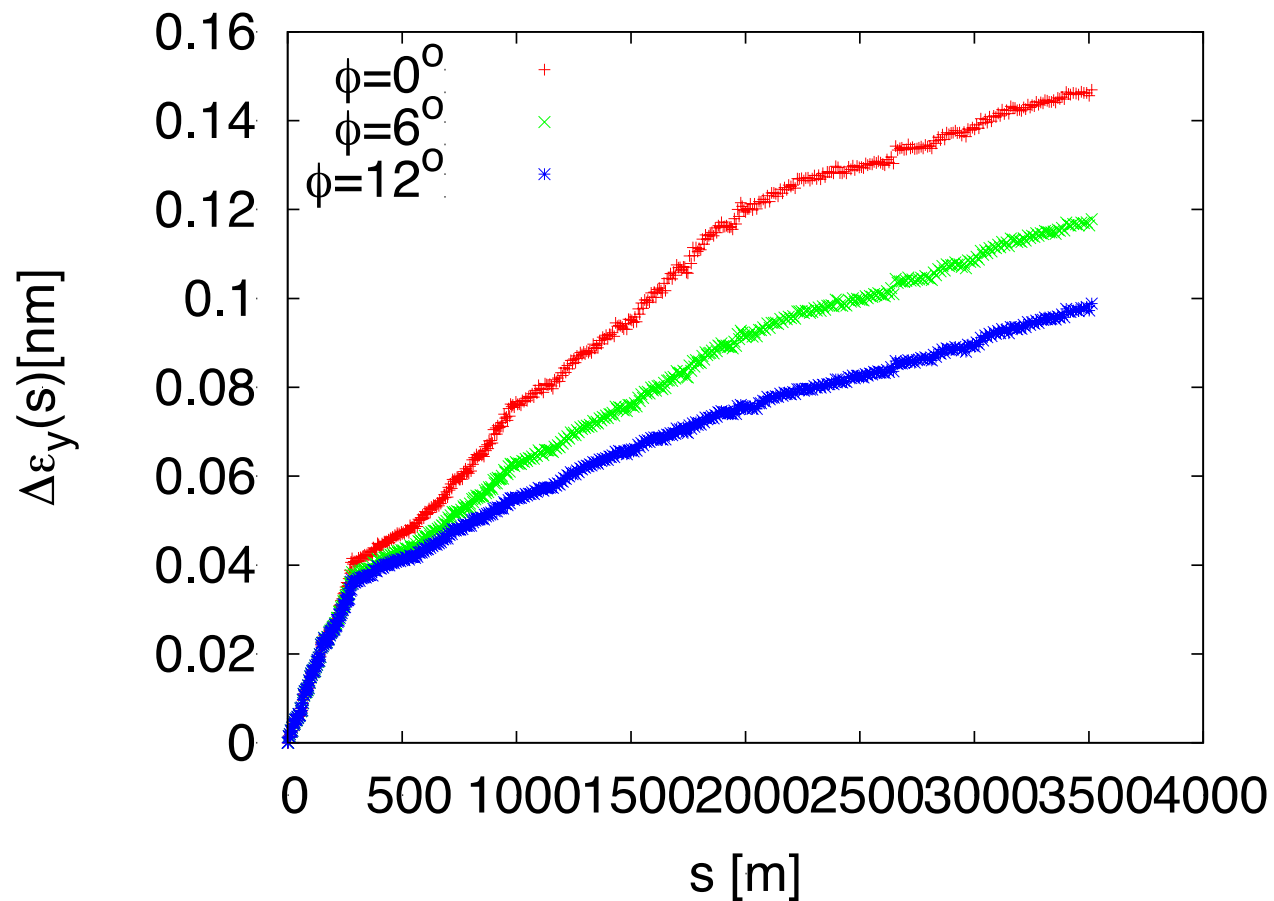
$s/(2\pi\beta)$

The difference remains  
limited



# Illustration: BPM Misalignment (CLIC 380 GeV)

Larger energy spread  
makes us more sensitive to  
BPM misalignments



# Emittance Growth after One-to-one Steering (ILC)

Error	with respect to	value	$\Delta\epsilon_y$ [nm]	$\Delta\epsilon_{y,121}$ [nm]
Cavity offset	module	300 $\mu\text{m}$	3.5	0.2
Cavity tilt	module	300 $\mu\text{radian}$	2600	< 0.1
BPM offset	module	300 $\mu\text{m}$	0	360
Quadrupole offset	module	300 $\mu\text{m}$	700000	0
Quadrupole roll	module	300 $\mu\text{radian}$	2.2	2.2
Module offset	perfect line	200 $\mu\text{m}$	250000	155
Module tilt	perfect line	20 $\mu\text{radian}$	880	1.7

Quadrupole issue solved

BPM issue created

Module offset leads to BPM offset

Still much better than before

Note:

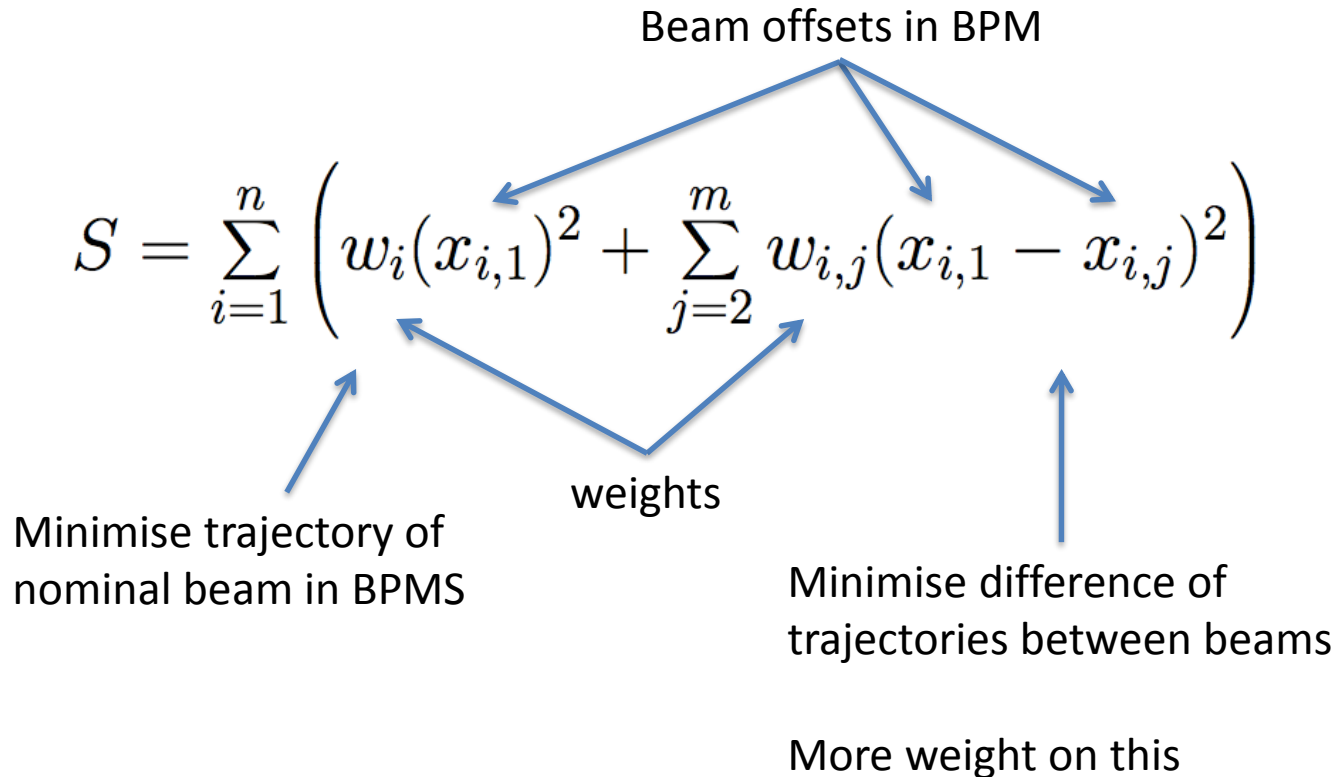
Emittance should scale as

$$\Delta\epsilon \propto (\Delta y)^2$$

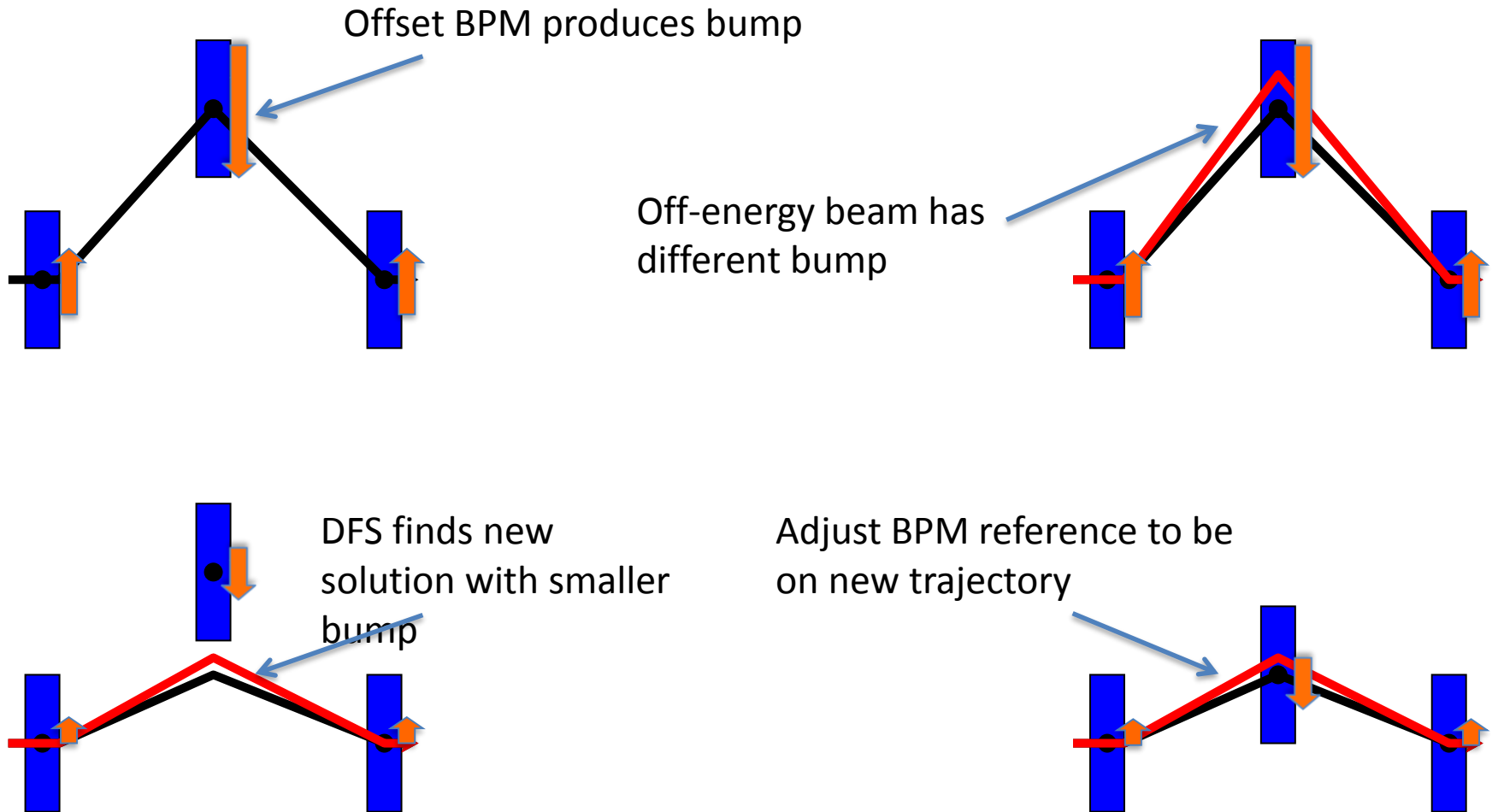


# Dispersion Free Steering

- Basic idea: use different beam energies
- Accelerate beams with different gradient and initial energy
- Optimise trajectories for different energies together



# Dispersion Free Illustration

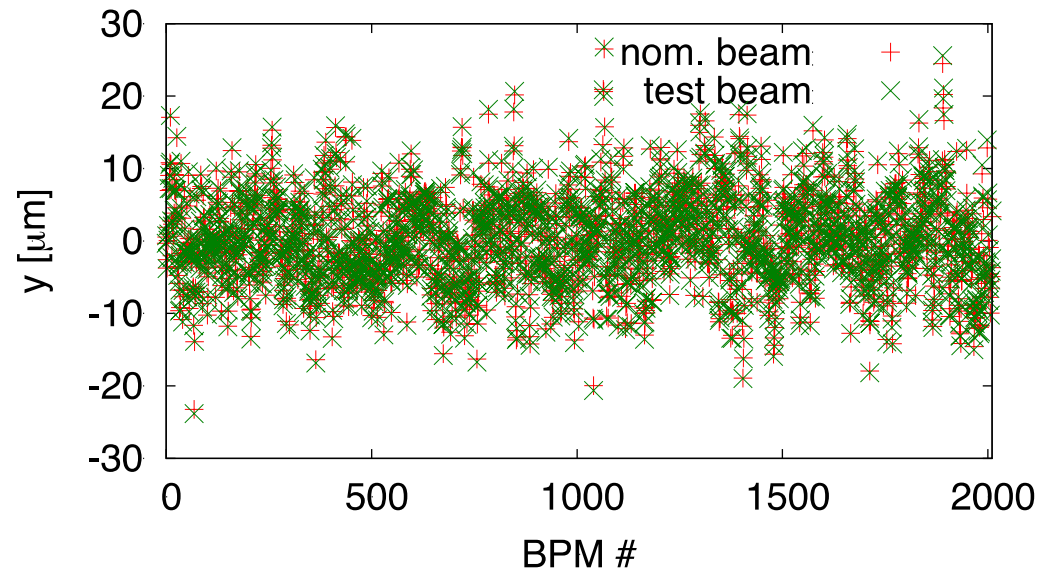
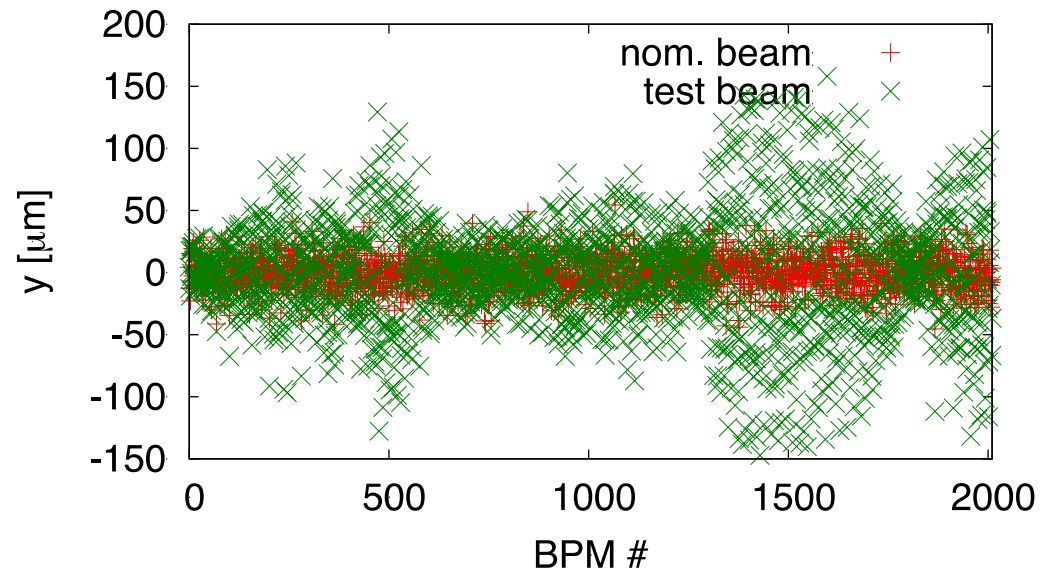


# Dispersion Free Steering BPM Readings

A beam that has a different energy has a bad trajectory

The cancellation of different corrector kicks does not work very well because the phase advance is different for different energies

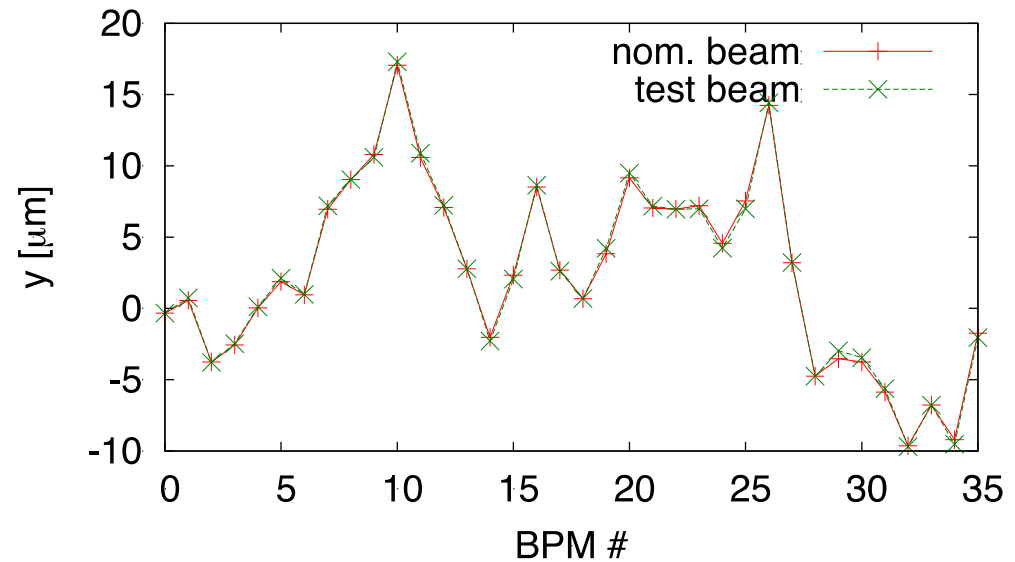
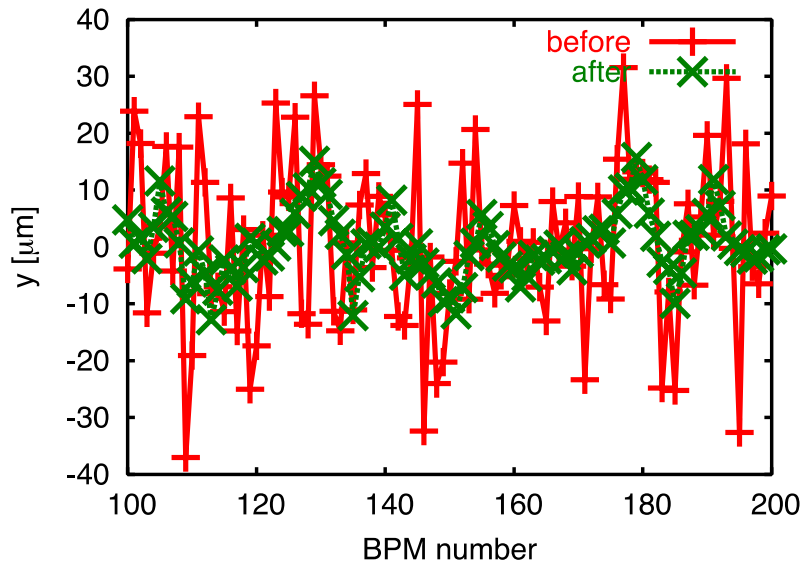
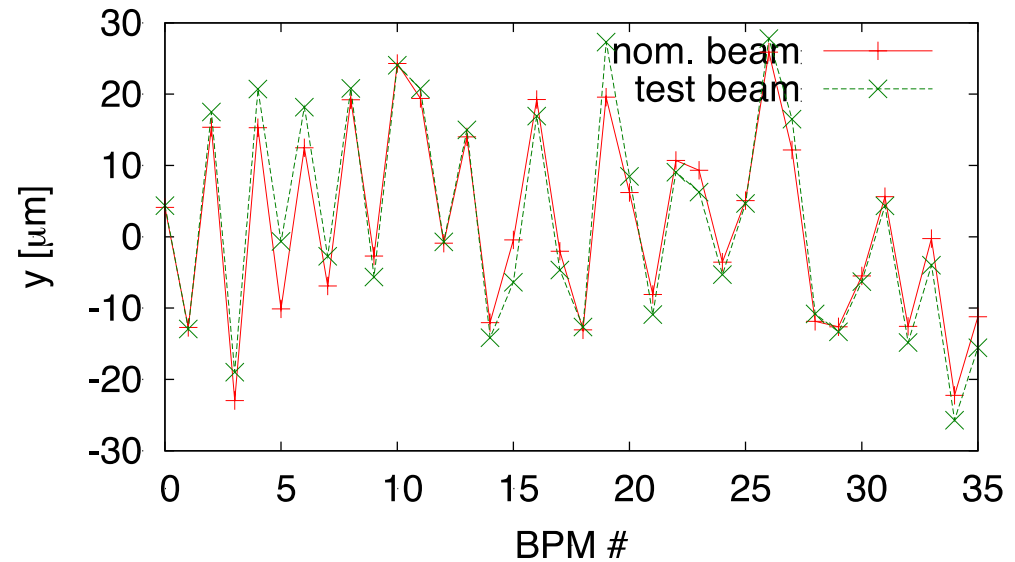
After dispersion free steering both beams take almost the same path



# At the Beginning of the Linac

A small difference in trajectories starts between the two beams

The dispersion free steering almost completely removes this difference



# Resulting Emittance Growth (ILC)

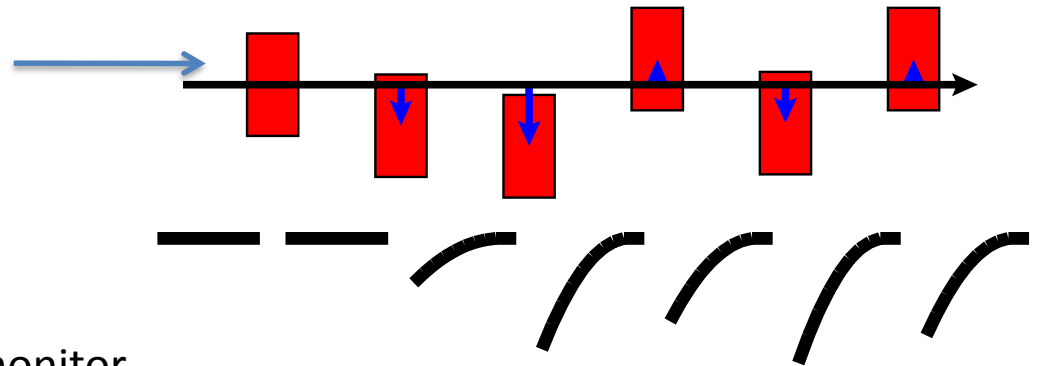
Error	with respect to	value	$\Delta\varepsilon_y$ [nm]	$\Delta\varepsilon_{y,121}$ [nm]	$\Delta\varepsilon_{y,dfs}$ [nm]
Cavity offset	module	300 $\mu\text{m}$	3.5	0.2	0.2(0.2)
Cavity tilt	module	300 $\mu\text{radian}$	2600	< 0.1	1.8(8)
BPM offset	module	300 $\mu\text{m}$	0	360	4(2)
Quadrupole offset	module	300 $\mu\text{m}$	700000	0	0(0)
Quadrupole roll	module	300 $\mu\text{radian}$	2.2	2.2	2.2(2.2)
Module offset	perfect line	200 $\mu\text{m}$	250000	155	2(1.2)
Module tilt	perfect line	20 $\mu\text{radian}$	880	1.7	—

Dispersion free steering largely cures the BPM offset issue

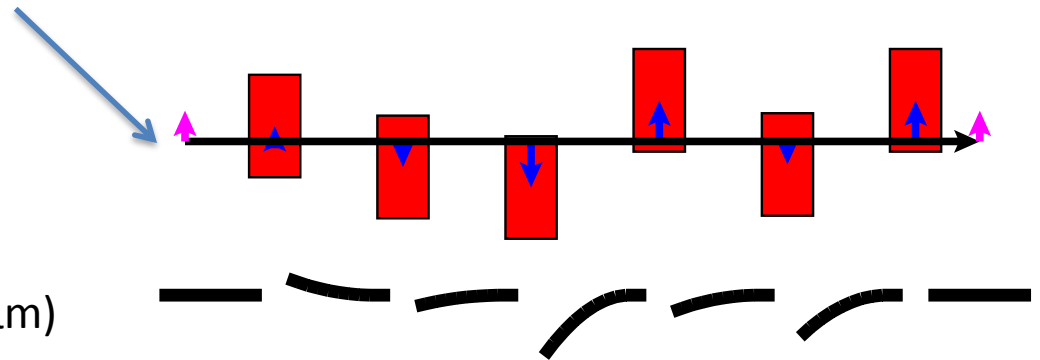


# RF Structure Alignment

Structures are scattered on the girder  
⇒ Wakefield kick



Measure beam offset with wakefield monitor  
Move girder to remove mean offset  
⇒ No net wakefield kick

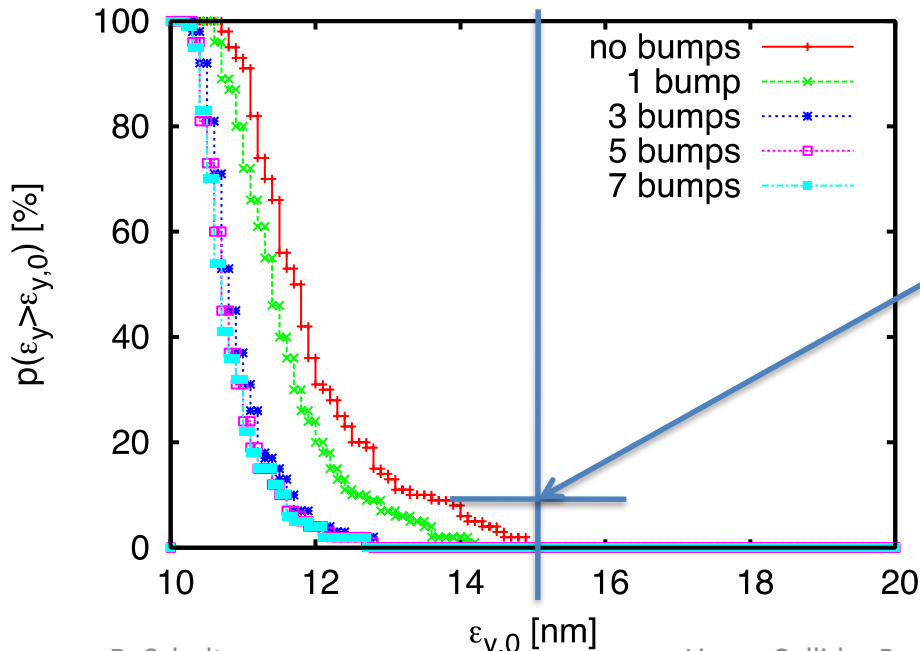


Limit mainly from

- accuracy of wakefield monitors ( $3.5 \mu\text{m}$ )
- reproducibility of wakefield
- tiny variation of betatron phase along girder

# Final Emittance Growth (CLIC 3 TeV)

imperfection	with respect to	symbol	value	emitt. growth
<b>BPM offset</b>	<b>wire reference</b>	$\sigma_{BPM}$	<b>14 <math>\mu\text{m}</math></b>	<b>0.367 nm</b>
BPM resolution		$\sigma_{res}$	0.1 $\mu\text{m}$	0.04 nm
accelerating structure offset	girder axis	$\sigma_4$	10 $\mu\text{m}$	0.03 nm
<b>accelerating structure tilt</b>	<b>girder axis</b>	$\sigma_t$	<b>200 <math>\mu\text{radian}</math></b>	<b>0.38 nm</b>
articulation point offset	wire reference	$\sigma_5$	12 $\mu\text{m}$	0.1 nm
girder end point	articulation point	$\sigma_6$	5 $\mu\text{m}$	0.02 nm
<b>wake monitor</b>	<b>structure centre</b>	$\sigma_7$	<b>3.5 <math>\mu\text{m}</math></b>	<b>0.54 nm</b>
quadrupole roll	longitudinal axis	$\sigma_r$	100 $\mu\text{radian}$	$\approx 0.12$ nm

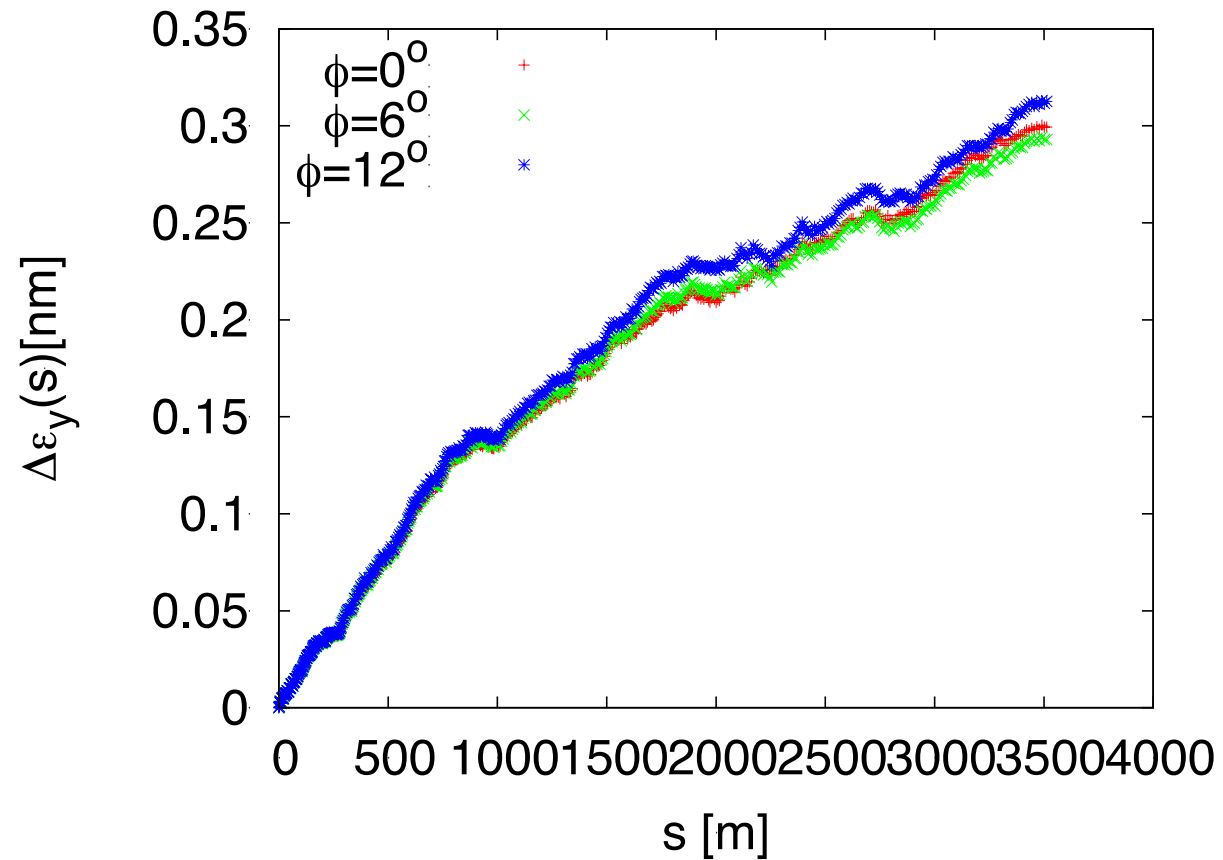


Goal: less than 10% above 15 nm ✓

Further improvement using tuning bumps

# Illustration: Structure Misalignment (CLIC 380 GeV)

Almost not sensitive to energy spread



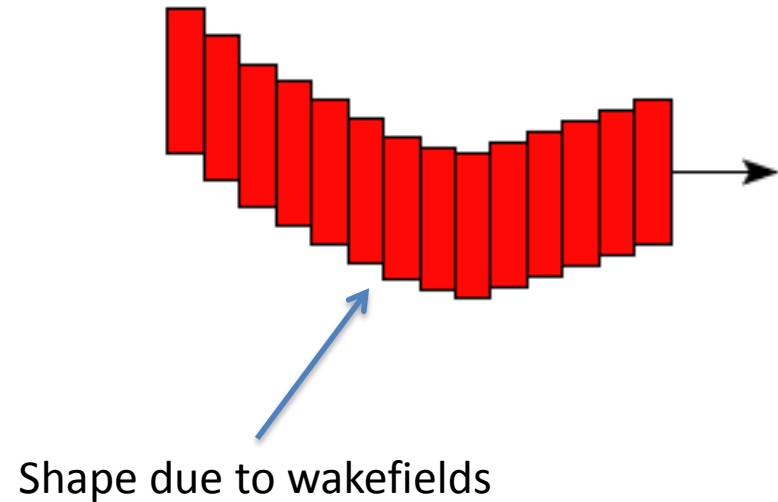
# Tuning Bumps

Compensate an effect globally

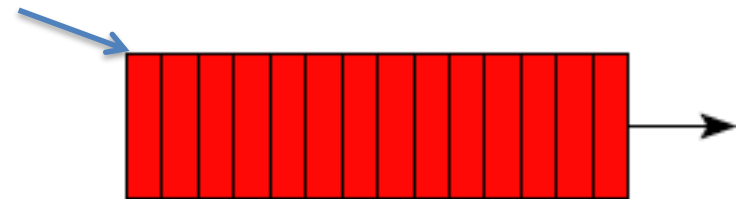
Minimise beam size/emittance  
or maximise luminosity

Remove a correlation between particles  
e.g. average wakefield kick can be  
compensated in one location

Energy spread and phase advance give limits



Apply wakefield kick to  
make bunch straight again

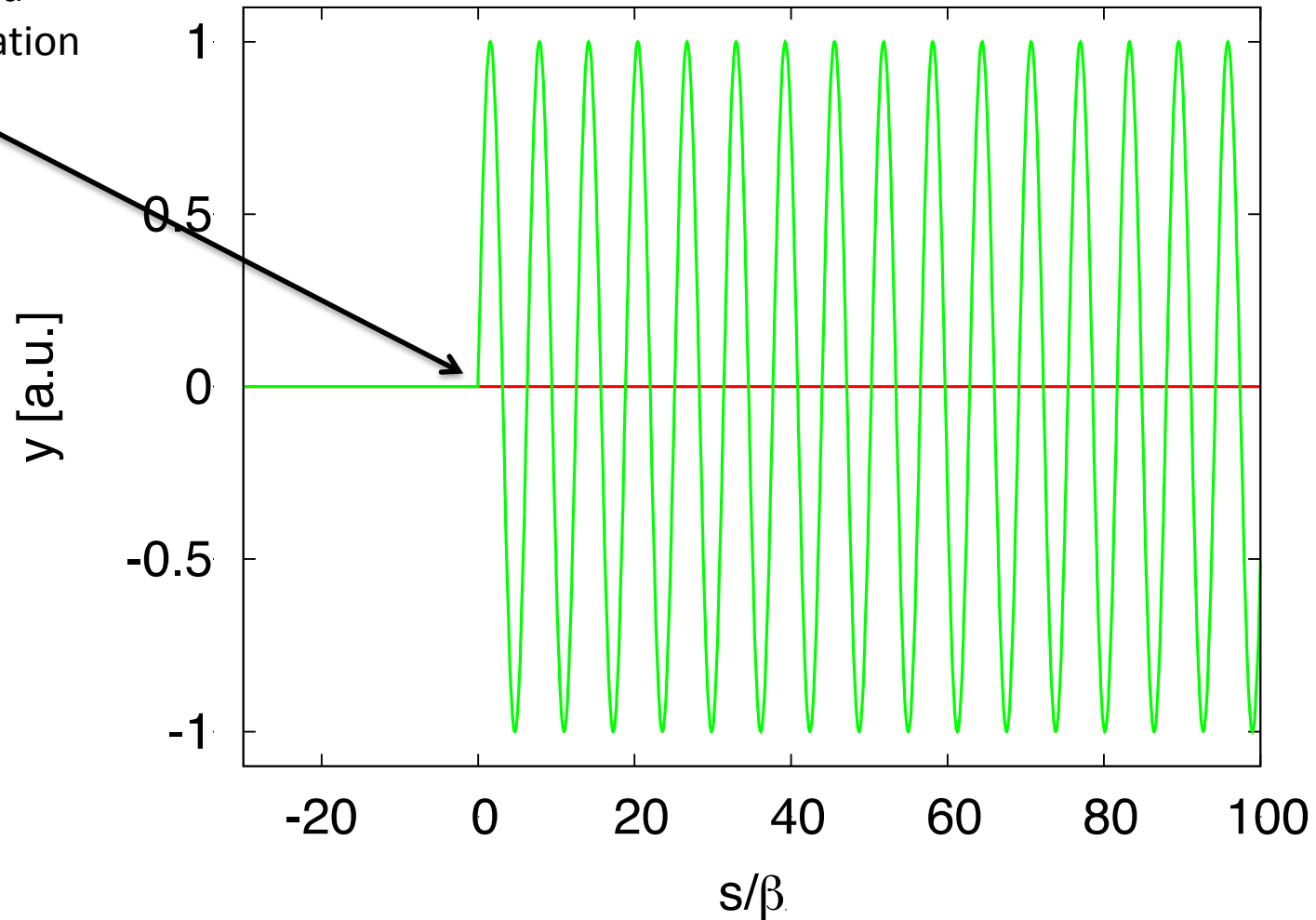


# Simple Wakefield Model

Wakefield kick from offset structure

First particle is not kicked

Second starts and oscillation

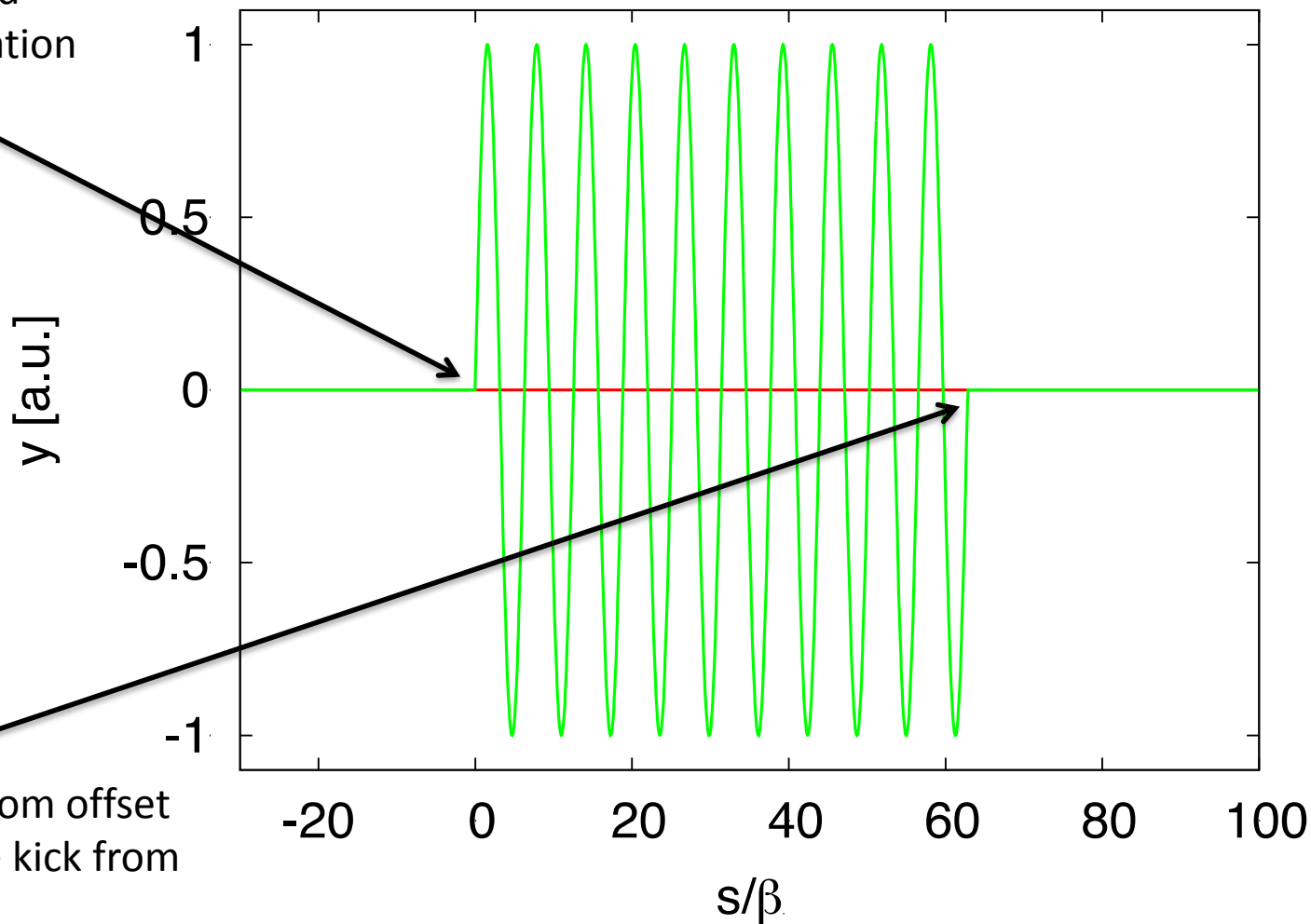


# Simple Wakefield Bump Model

Wakefield kick from offset structure

First particle is not kicked

Second starts and oscillation



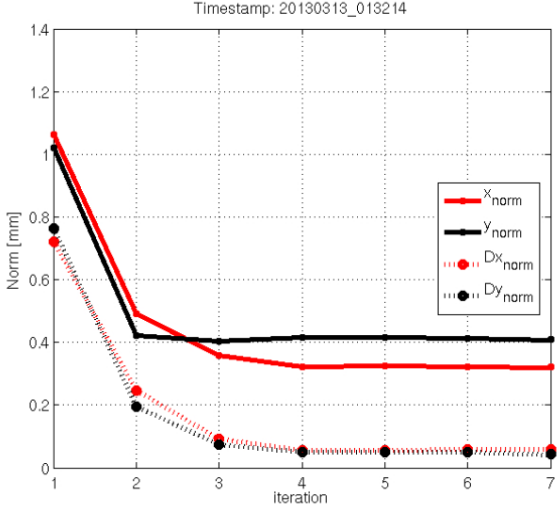
Second wakefield kick from offset  
Happens to compensate kick from  
first one

# CLIC Beam-Based Alignment Tests at FACET

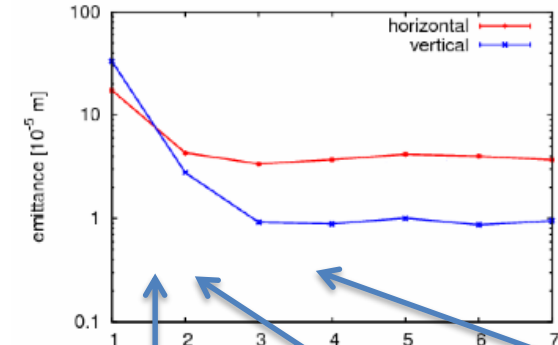
Dispersion-free Steering (DFS) proof of principle – March 2013

A. Latina,  
J. Pfingstner,  
E. Adli,  
D. Schulte

Orbit/Dispersion



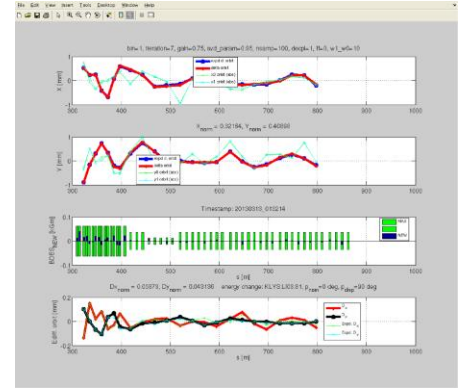
Emittance



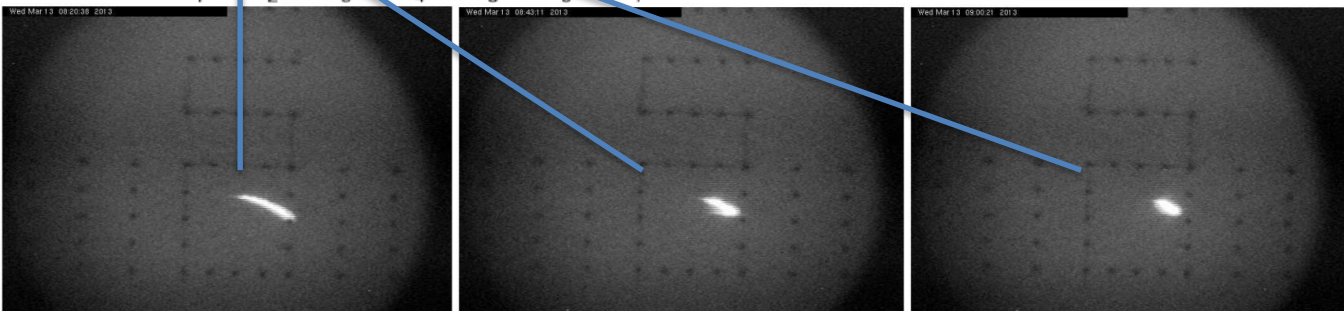
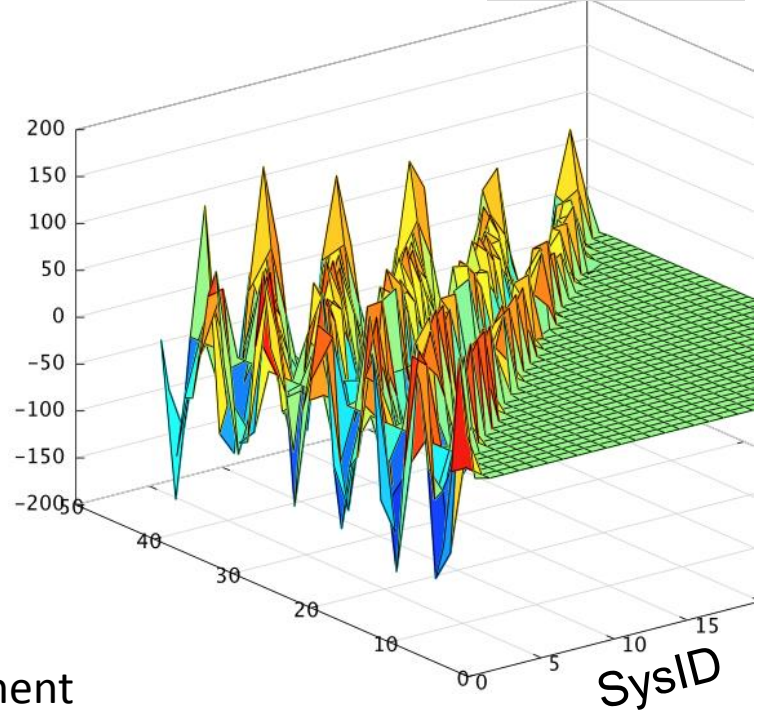
DFS correction applied to 500 meters of the SLC linac

- SysID algorithms for model reconstruction
- DFS correction with GUI
- Emittance growth is measured

Graphic User Interface:



Beam profile measurement



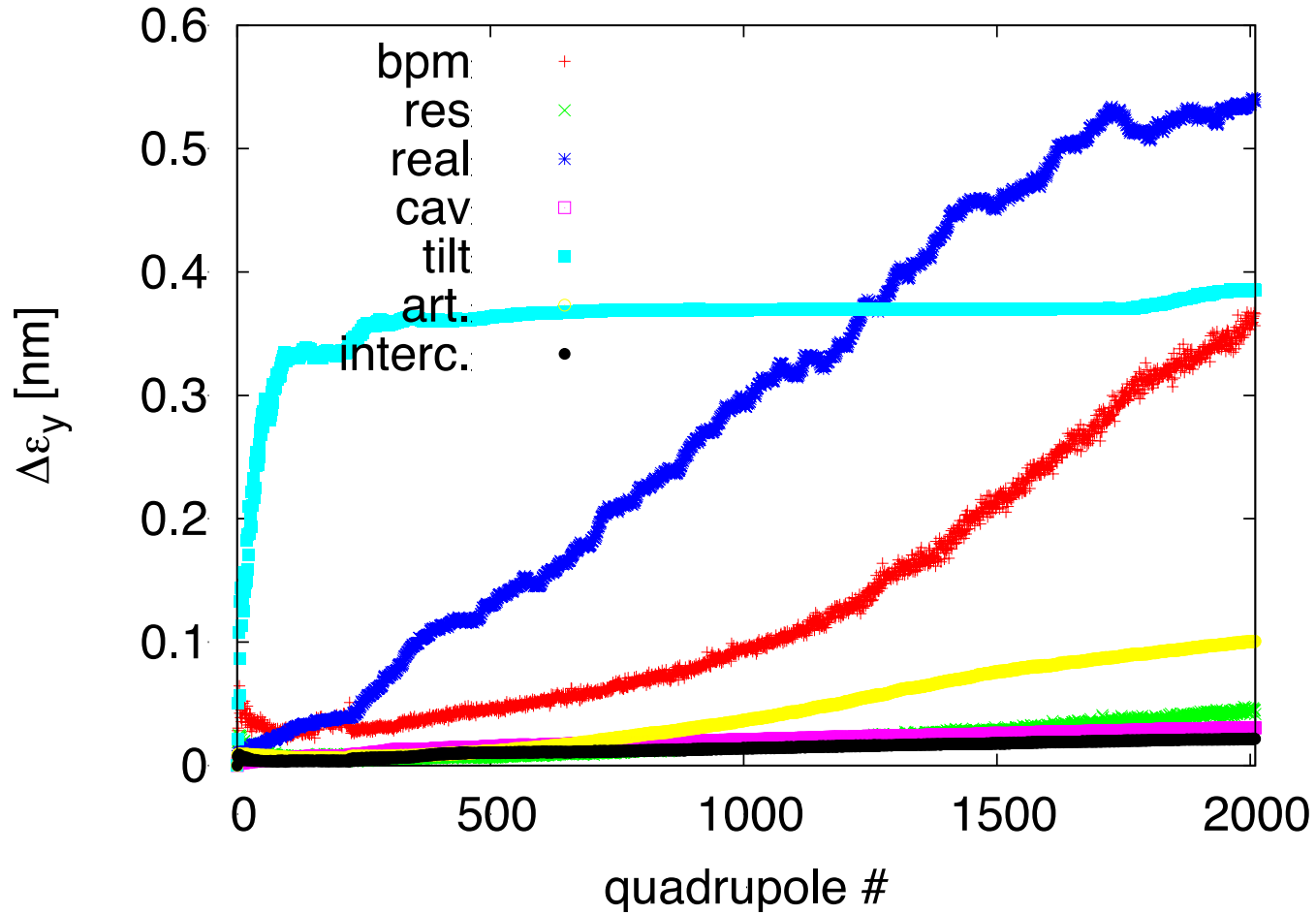
Before correction

After 1 iteration

After 3 iterations

Incoming oscillation/dispersion is taken out and flattened; emittance in LI11 and emittance growth significantly reduced.

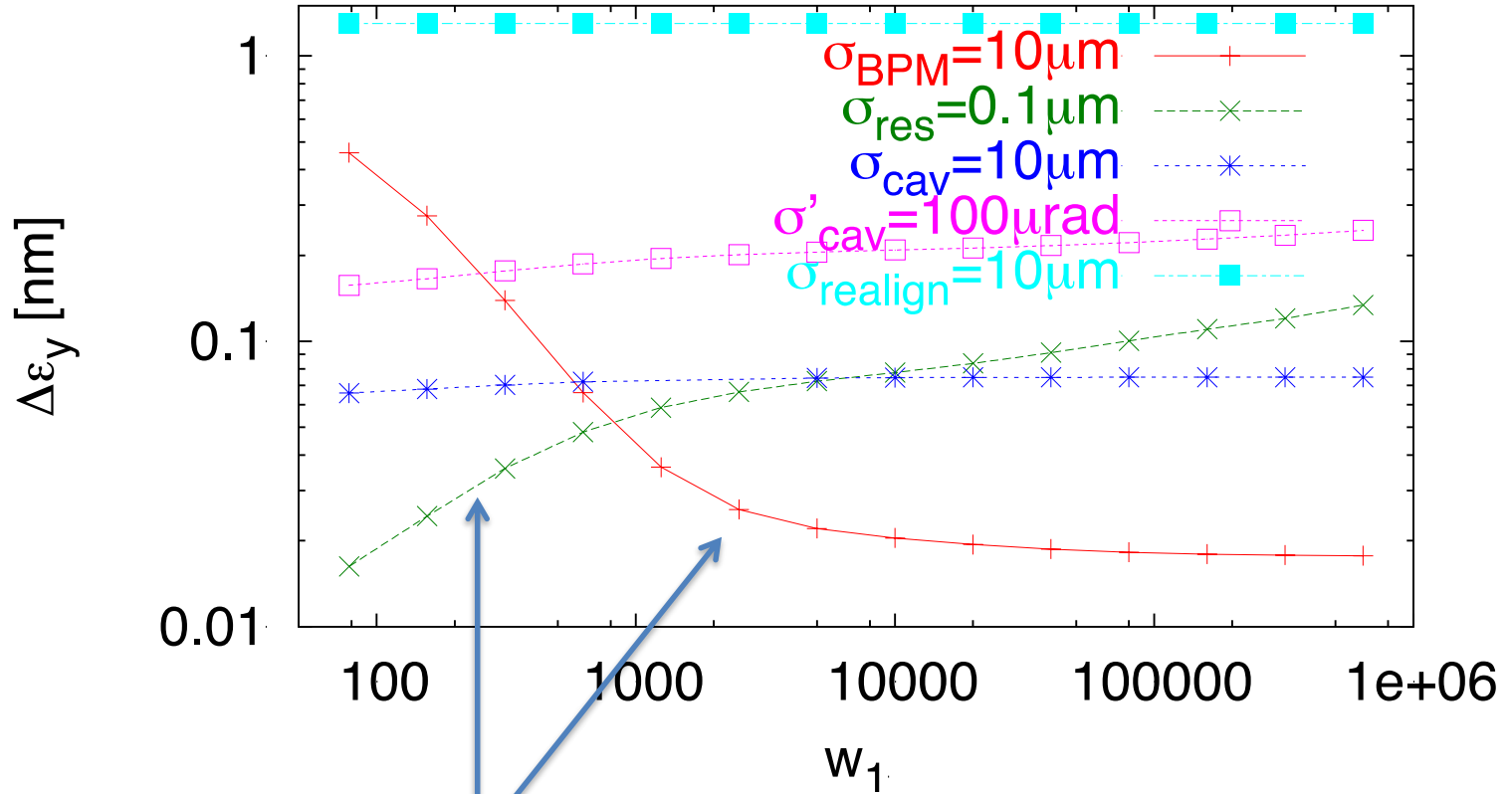
# Note: Emittance Along Linac (CLIC 3 TeV)





# Note: Choice of Weights

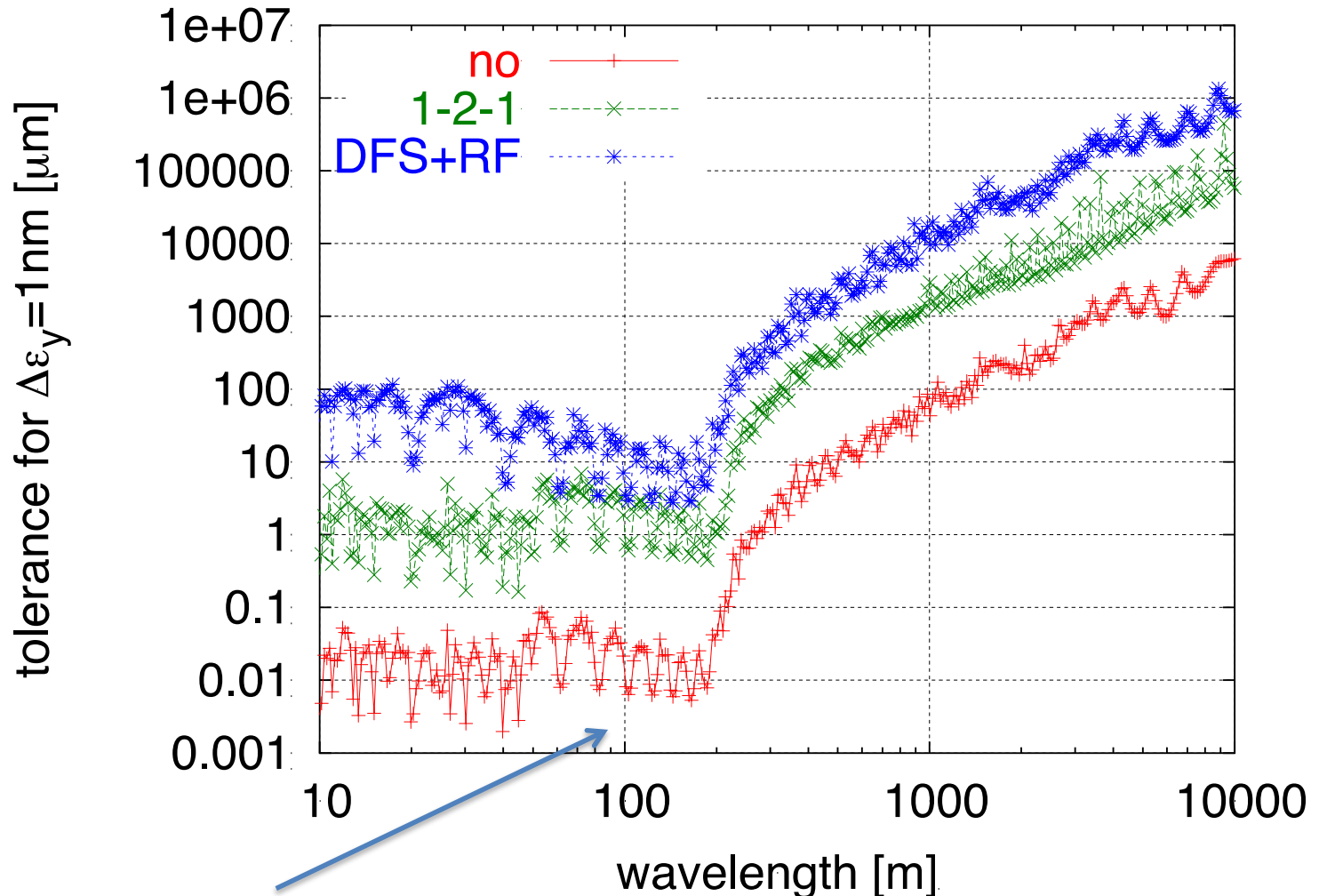
For some old CLIC design



Main trade-off is for BPM offset and resolution

# Note: Emittance and Wavelength

Reference line error with given wavelength



Betatron wavelengths of the different sectors

# Dynamic Imperfections



# Dynamic Imperfections

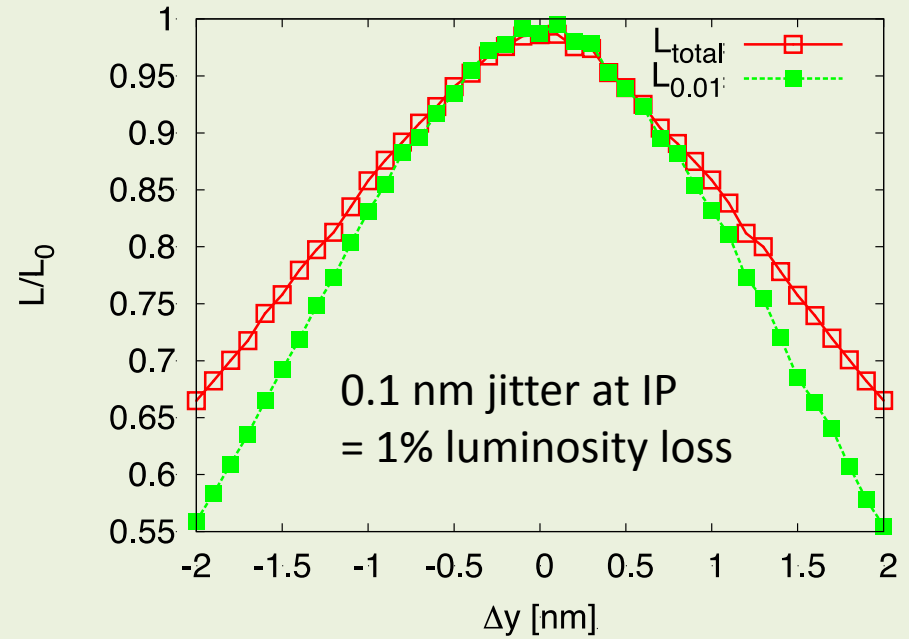
Many imperfections, e.g.

- Ground motion
- RF amplitude and phase jitter
- Magnet power supply ripple
- ...

Impact on luminosity

- Direct loss
  - (trajectory jitter, emittance growth)
- Luminosity fluctuations
  - can impact tuning
- Trajectory jitter
  - can impact beam-based alignment

Example: Beam-beam offset at IP



Mitigation methods

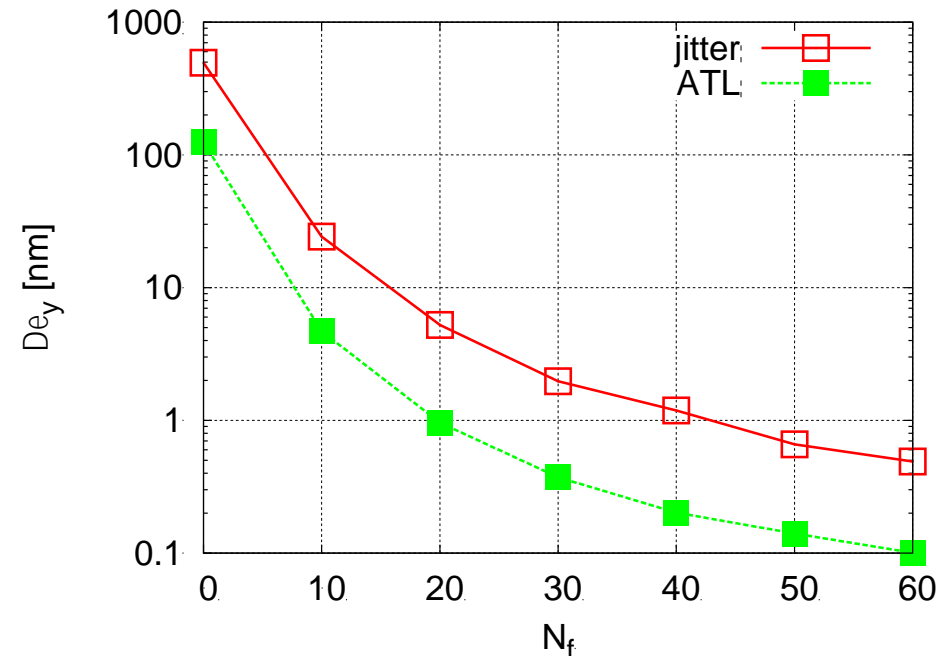
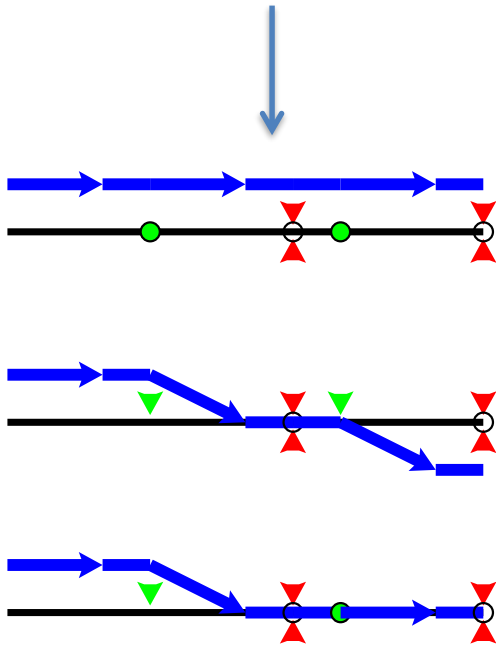
- Beam-based feedback
- Stable hardware
- Specific systems

# Feedback Design

## Local feedback

- E.g. fix trajectory at collision point
- But does not cure emittance growth

Several trajectory feedback points help  
But lead to overcorrection if independent



Use MIMO (Multiple Input Multiple Output)

- Take all information and correct globally
- One feedback loop

# Feedback Design and Speed

## Local feedback

- Can be used within beam pulse
  - Marginal for CLIC (170 ns pulse, but beam-beam feedback)
  - Possible for ILC (554 ns between bunches), bunch-to-bunch noise amplified along the machine

## MIMO feedback

- Used from pulse to pulse
    - Hard within ILC beam pulse (720  $\mu$ s vs. 100  $\mu$ s roundtrip for linac)
    - Impossible for CLIC
  - Important basis of the feedback systems, e.g. trajectory feedback
- ⇒ But cannot correct faster than 20  $\mu$ s (CLIC) and 200  $\mu$ s (ILC)

⇒ Use additional feedback systems for fast effects, independent of the beam

# CLIC Beam-beam Feedback System

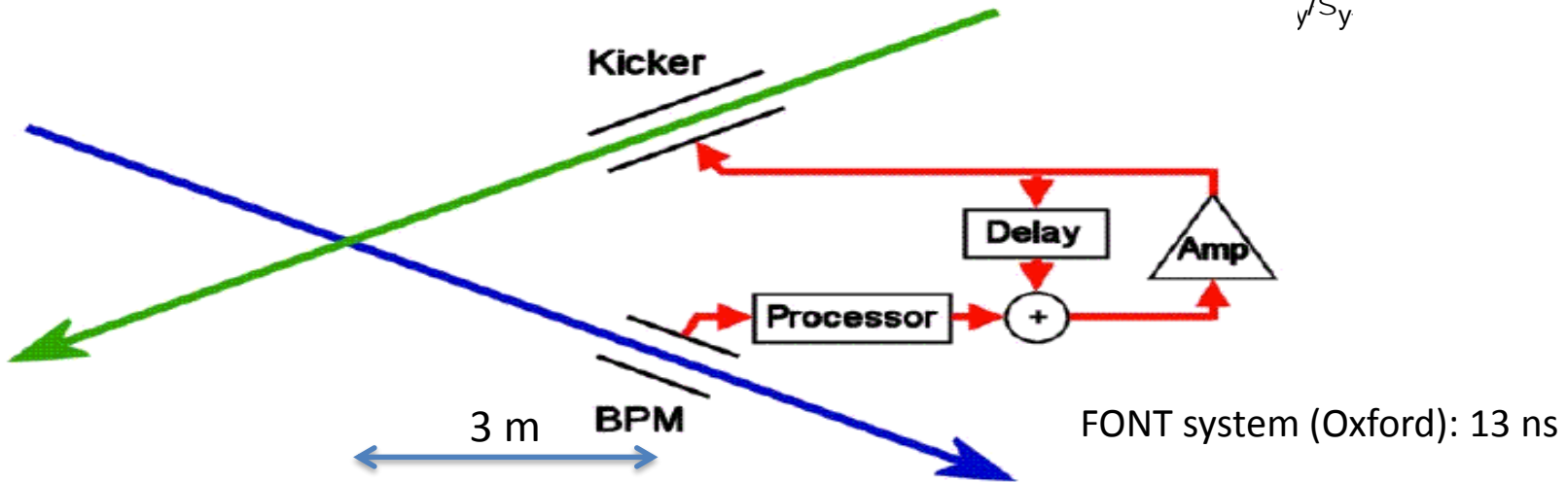
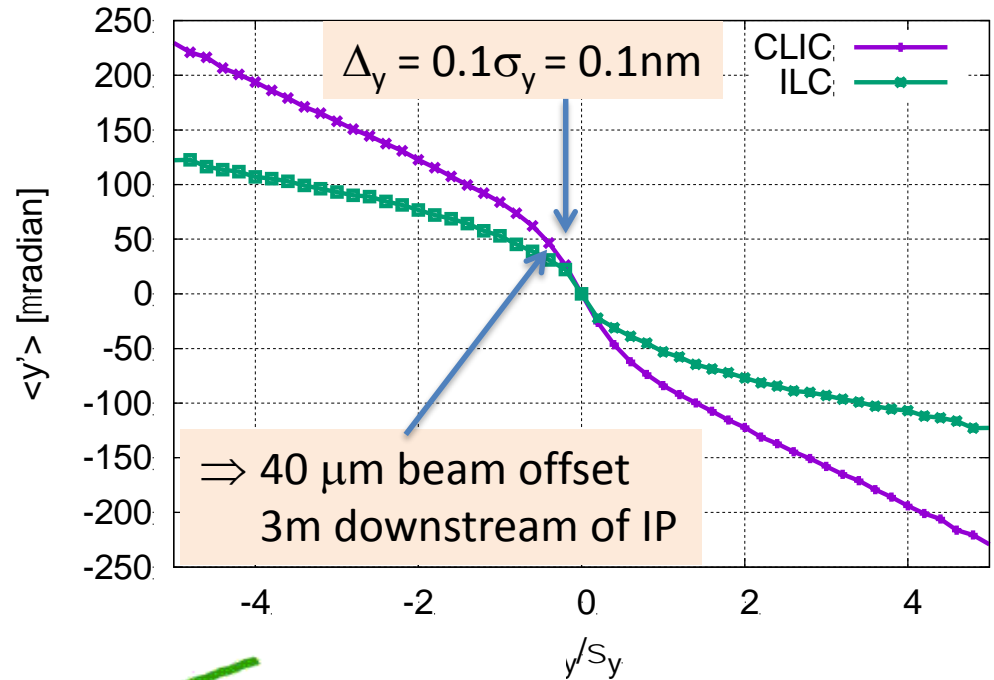
Strong deflection allows to easily measure and correct offset

10 ns from IP to BPM

13 ns to apply correction kick

10 ns from kicker to IP

33 ns latency vs 170 ns beam pulse





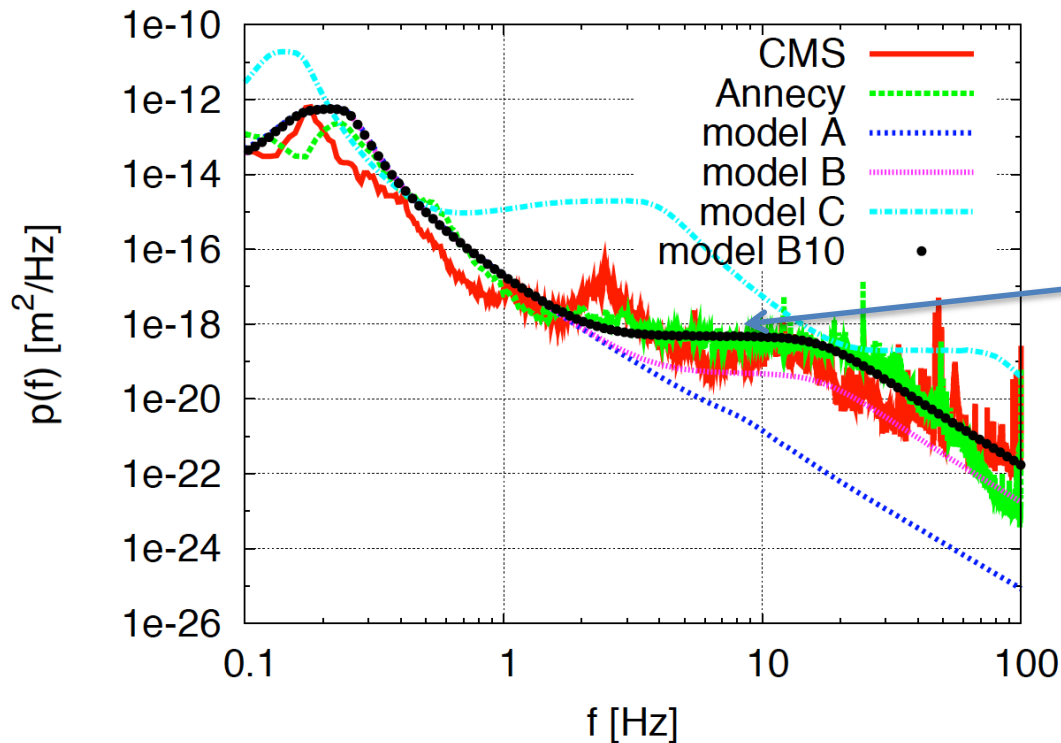
# Example: Ground Motion

In CLIC can reduce dynamic effects at frequencies lower than a few Hz

⇒ Andrei Seryi  
Friday 2.3.

In ILC can use a bunch-bunch feedback system

- But be careful, bunch-to-bunch noise will be amplified
- e.g. the damping ring extraction kicker kicks each bunch separately, so it will induce noise



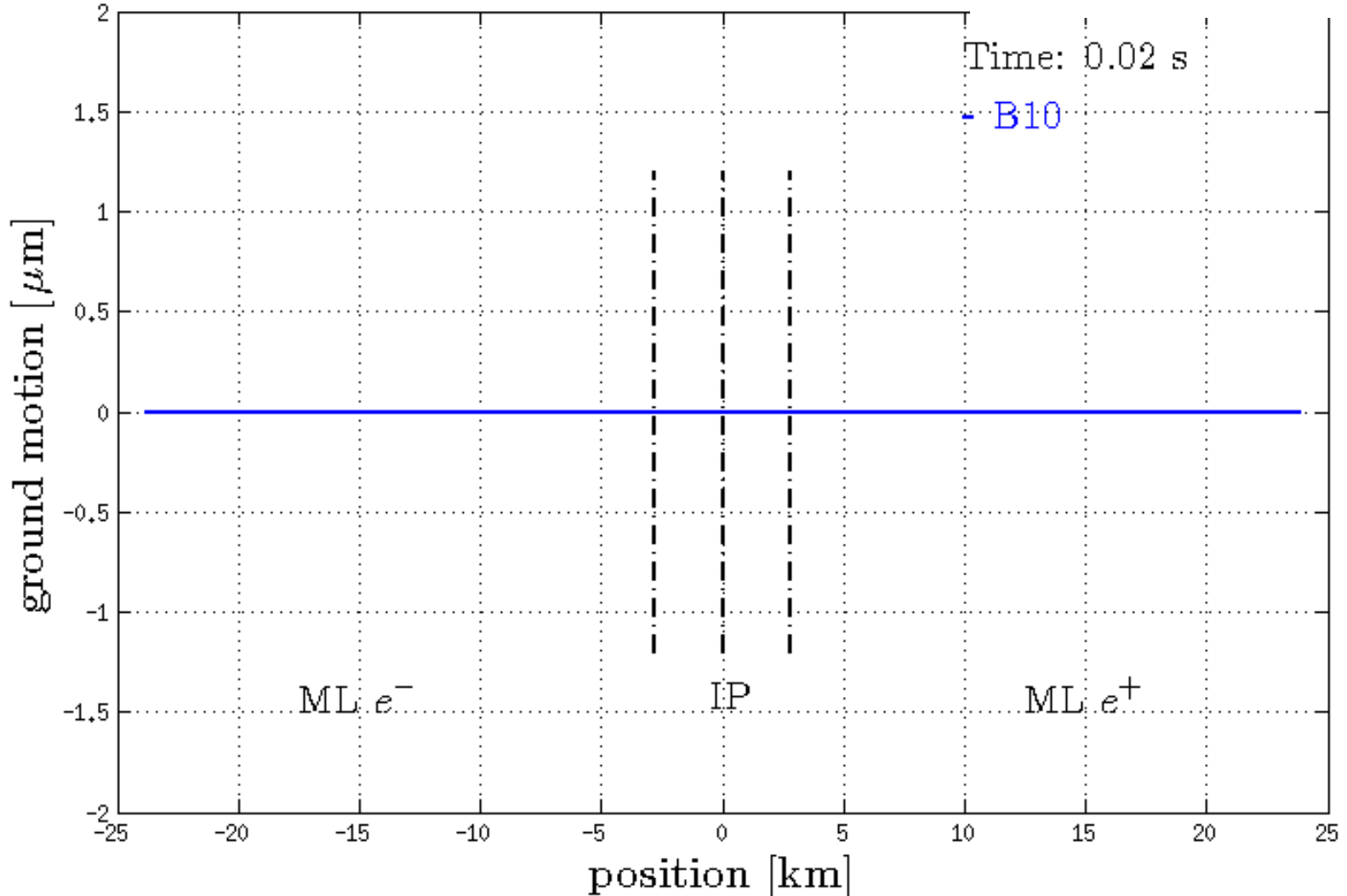
We spot a problem:

Frequencies cannot be mitigated by beam feedback



# Example Issue: Ground Motion

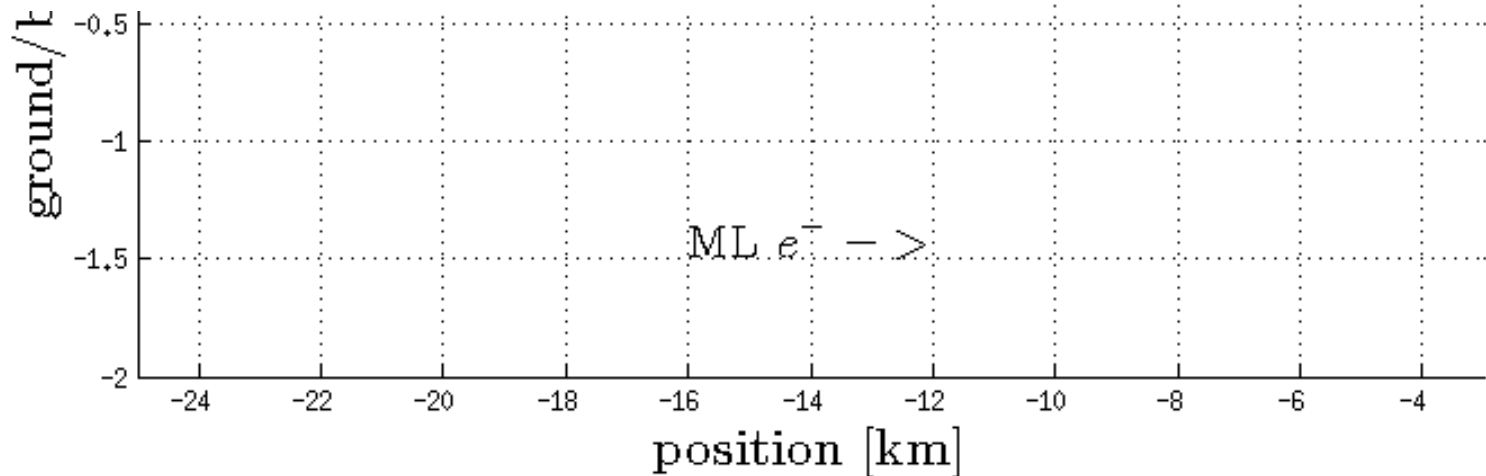
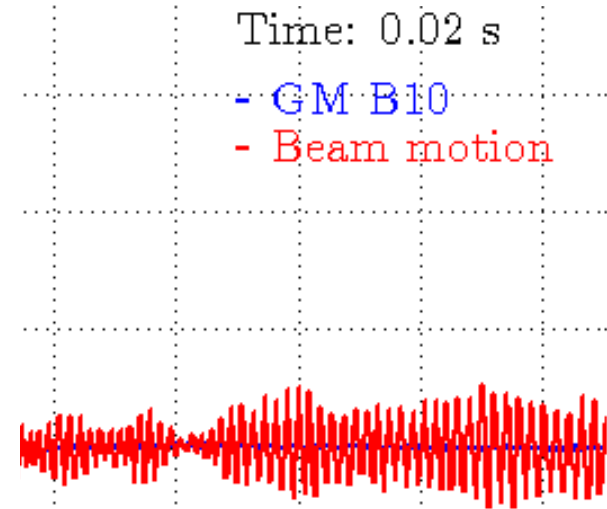
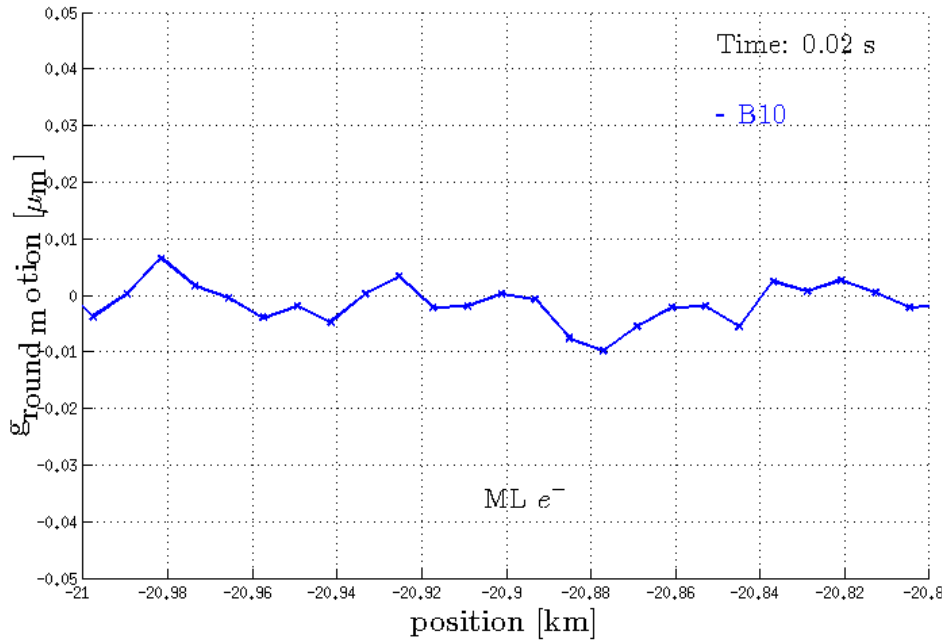
$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \left( \frac{1}{\sigma_y} \right)$$



J. Pfingstner

# Resulting Beam Jitter

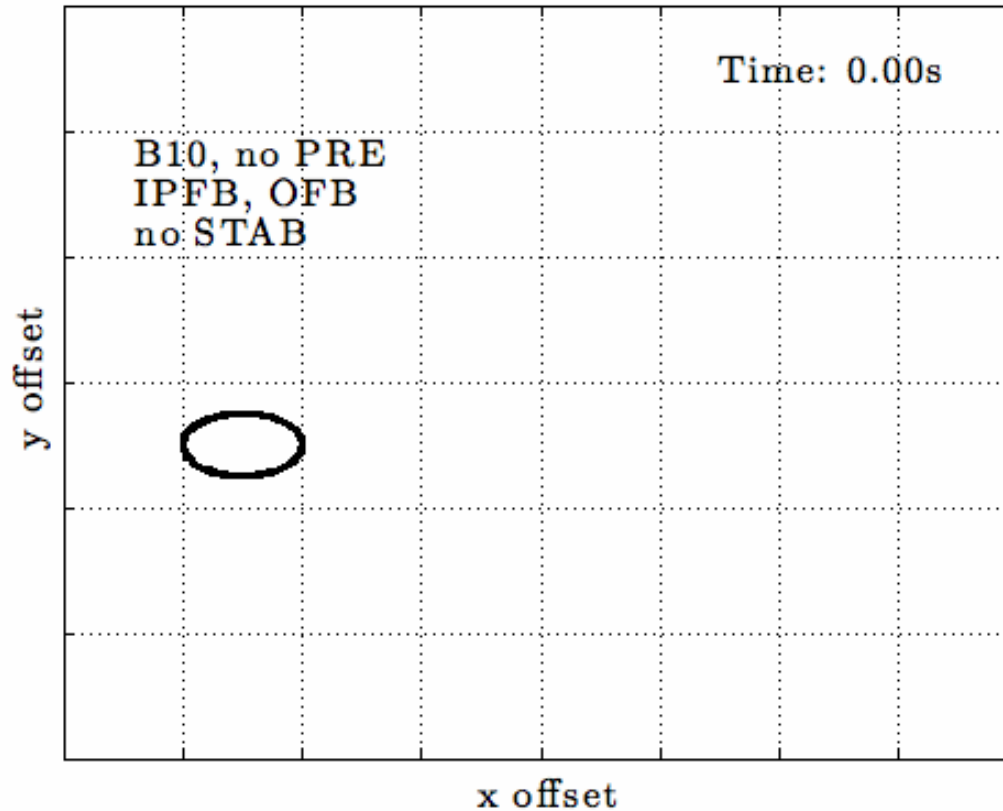
$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \left( \frac{1}{\sigma_y} \right)$$



J. Pfingstner

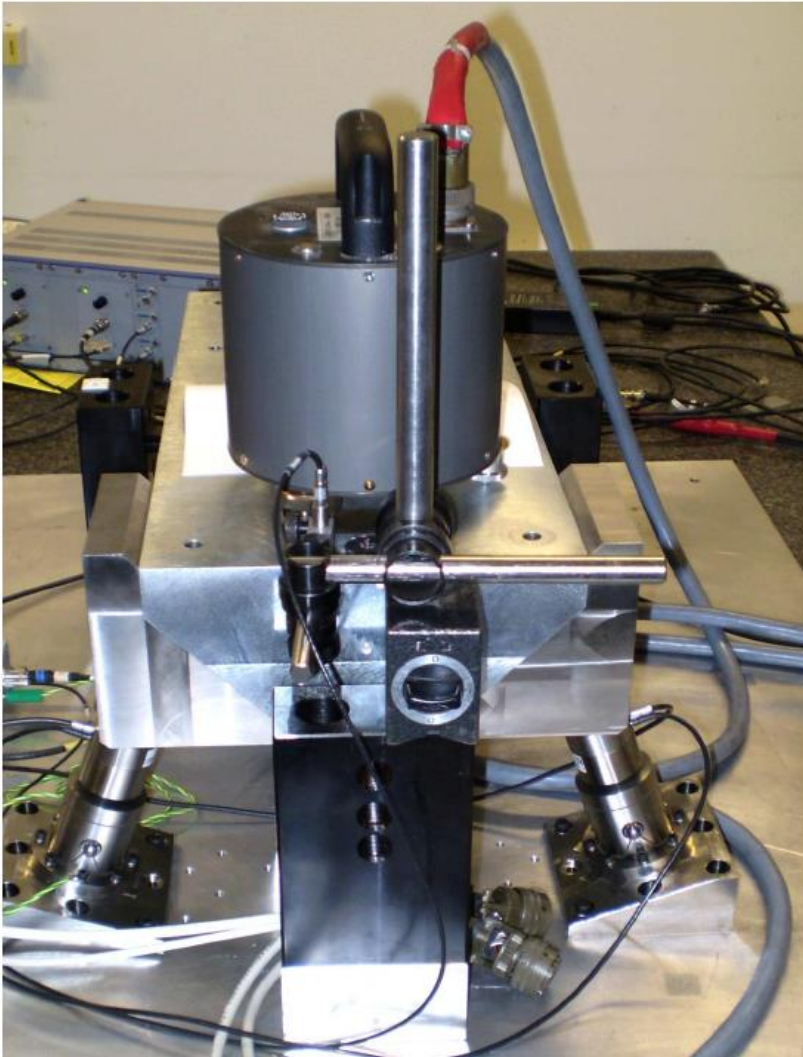
# Beams at Collision

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \left( \frac{1}{\sigma_y} \right)$$

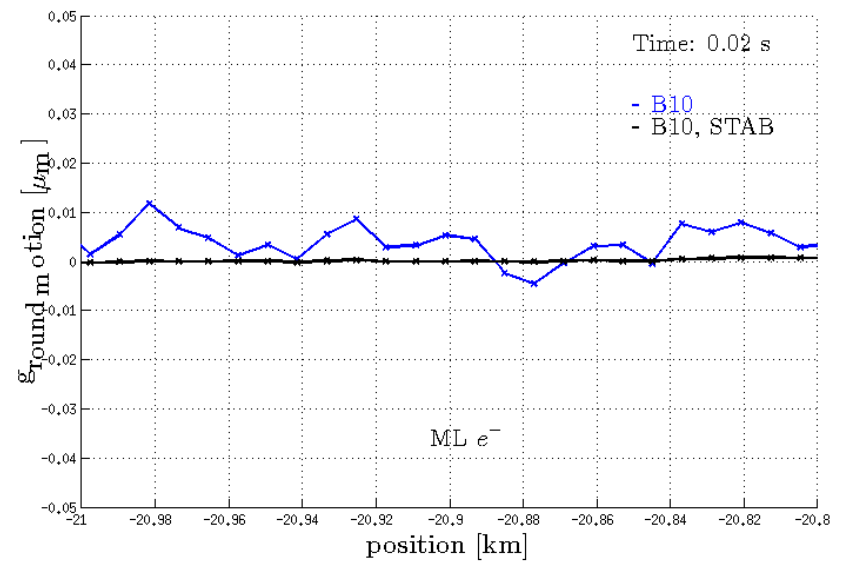
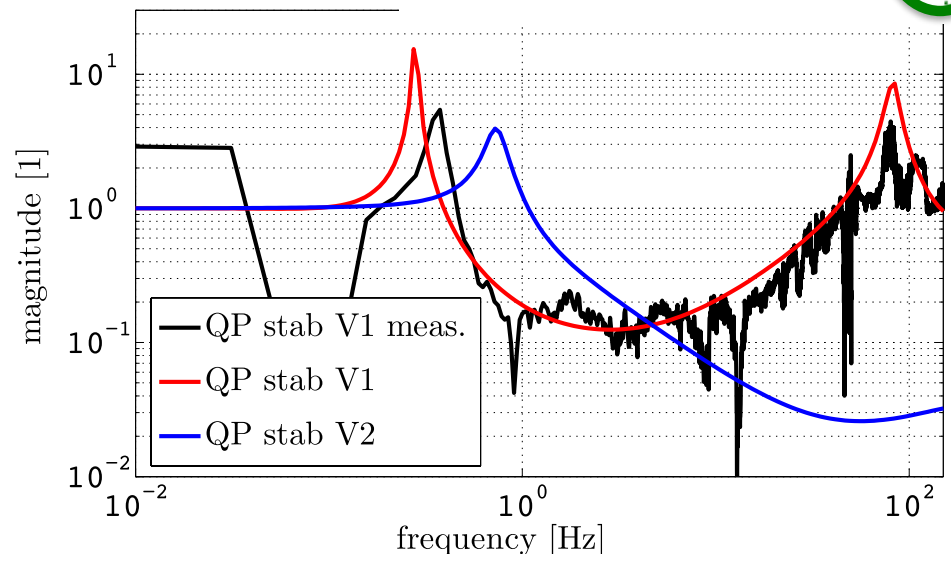


# Stabilisation System

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \left( \frac{1}{\sigma_y} \right)$$

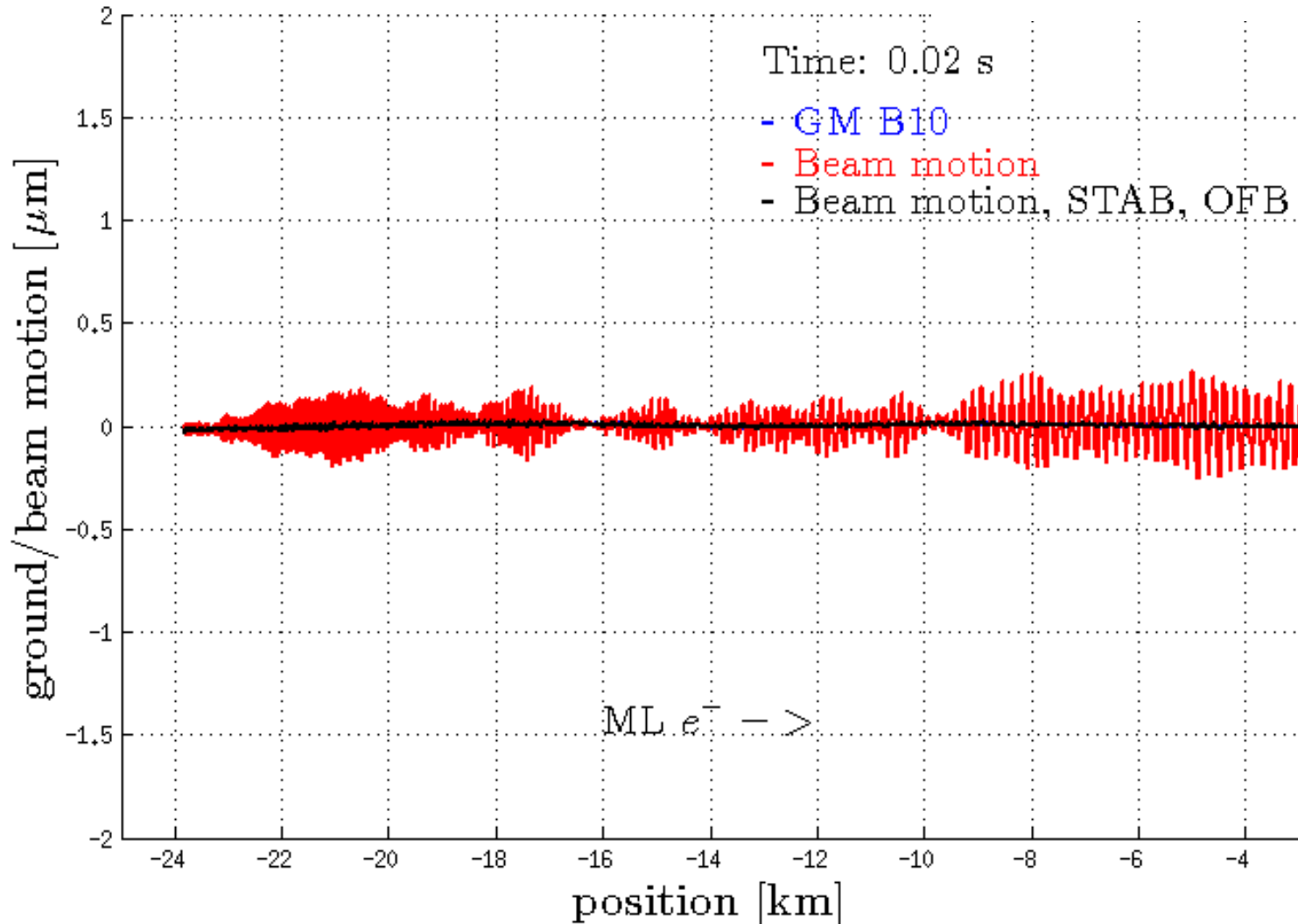


K. Artoos et al.



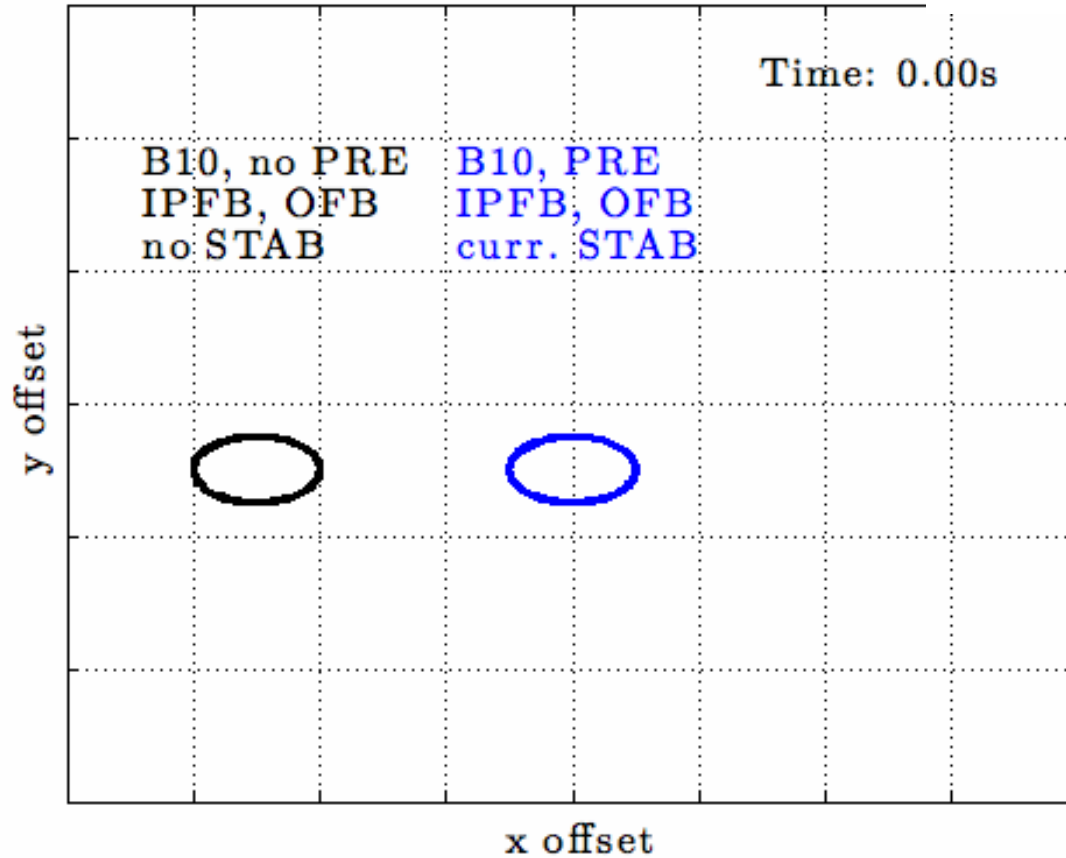
# Impact of Stabilisation on Beam

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \left( \frac{1}{\sigma_y} \right)$$



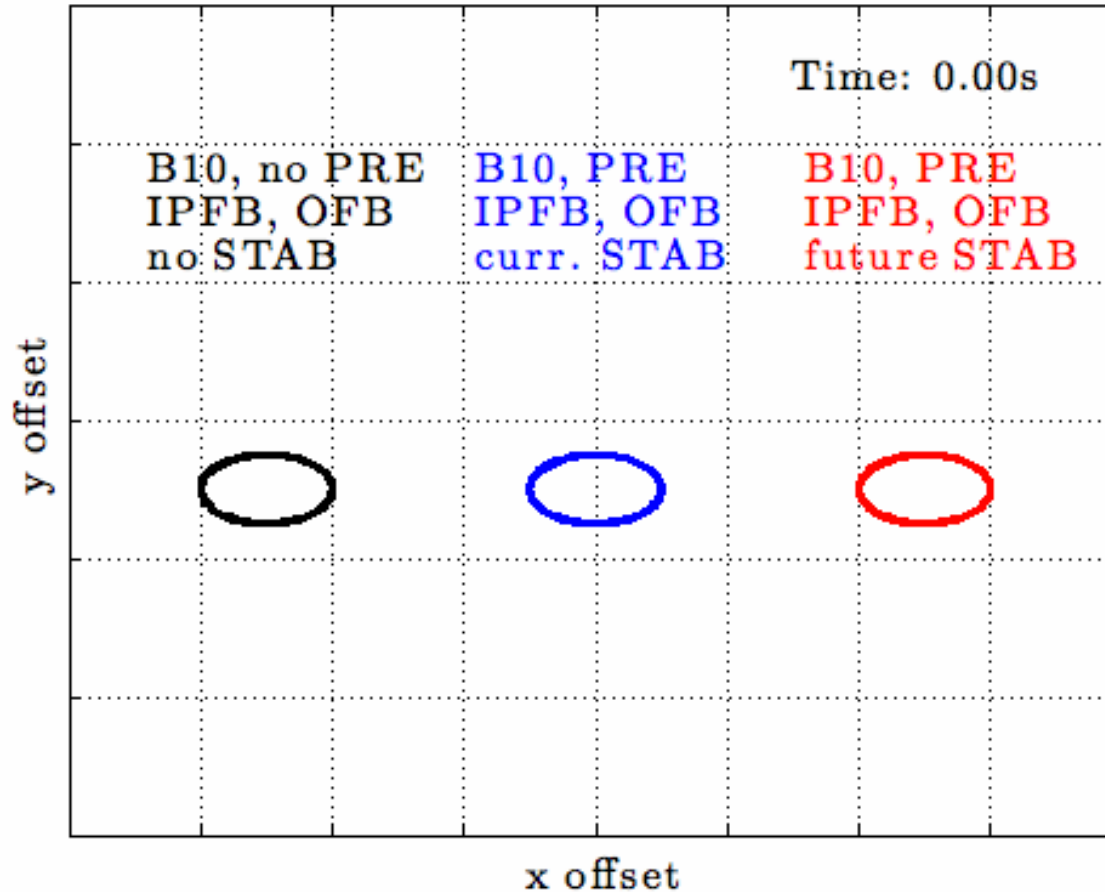
# Beam at Collision

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \left( \frac{1}{\sigma_y} \right)$$

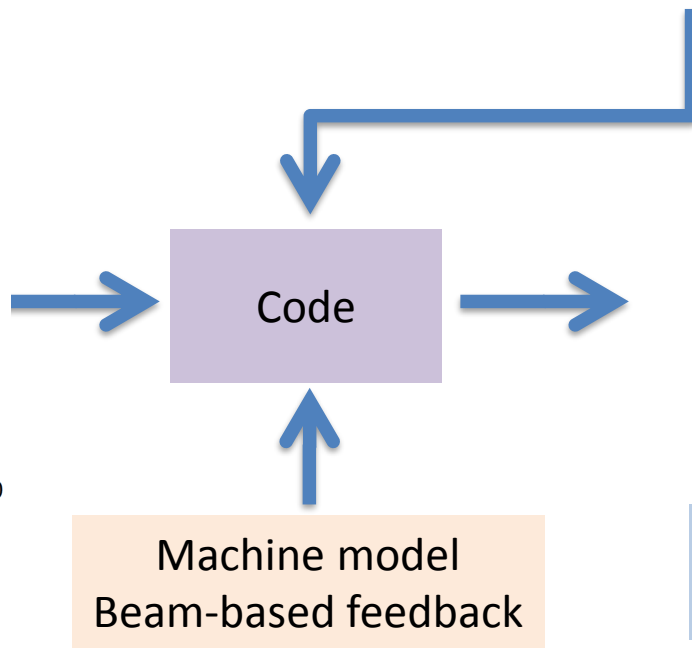
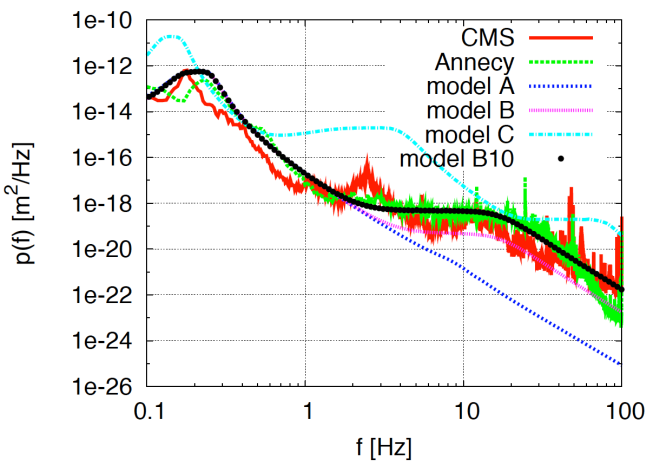
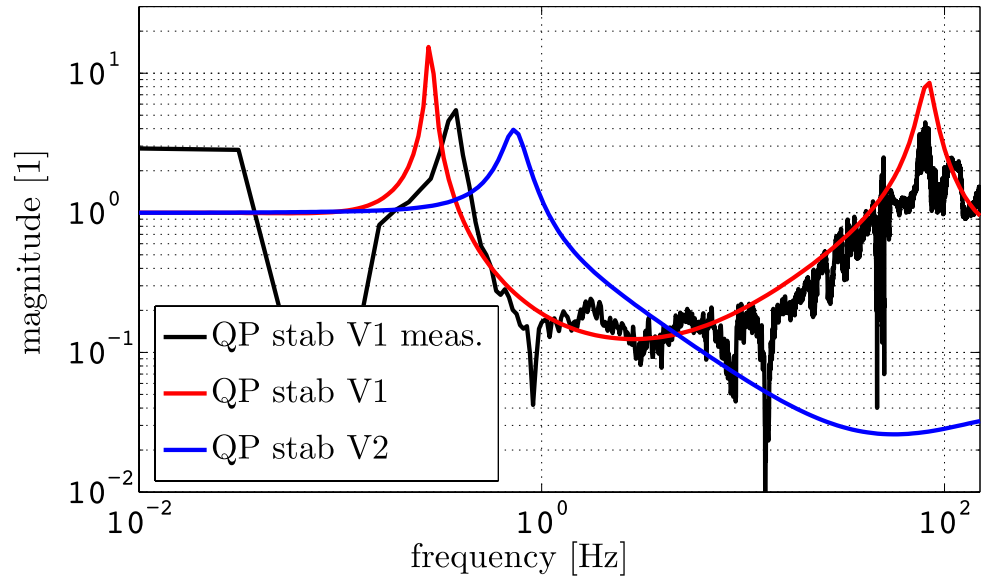
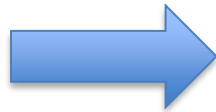
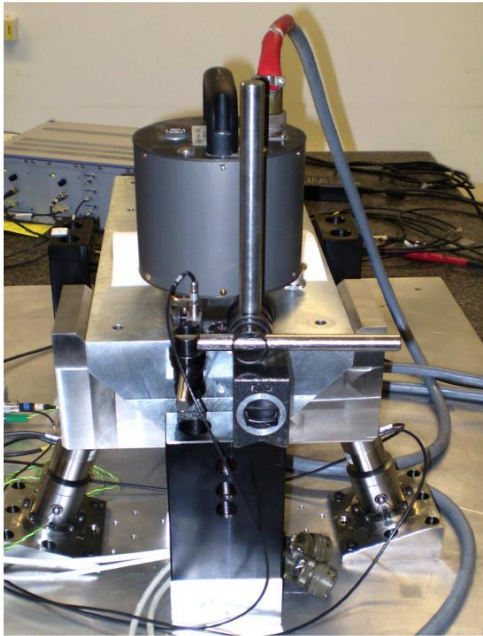


# Beam at Collision

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \left( \frac{1}{\sigma_y} \right)$$



# Active Stabilisation Results



Luminosity achieved/lost [%]	
B10	
No stab.	53%/68%
Current stab.	108%/13%
Future stab.	118%/3%

Close to/better than target



# Tools and Warnings

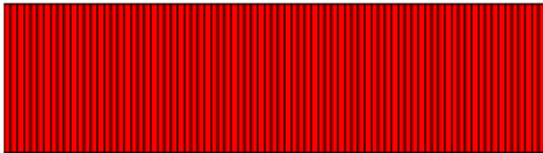


# Tools

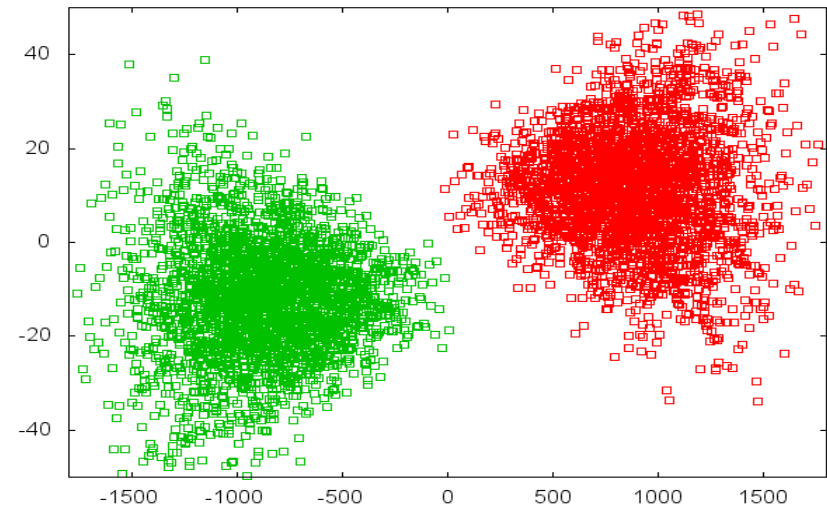
Analytic calculations to understand general physics

But need simulation tools for quantitative predictions

Beam tracking (e.g. PLACET, several other codes)



Beam-beam interaction (e.g. GUINEA-PIG, other code CAIN)

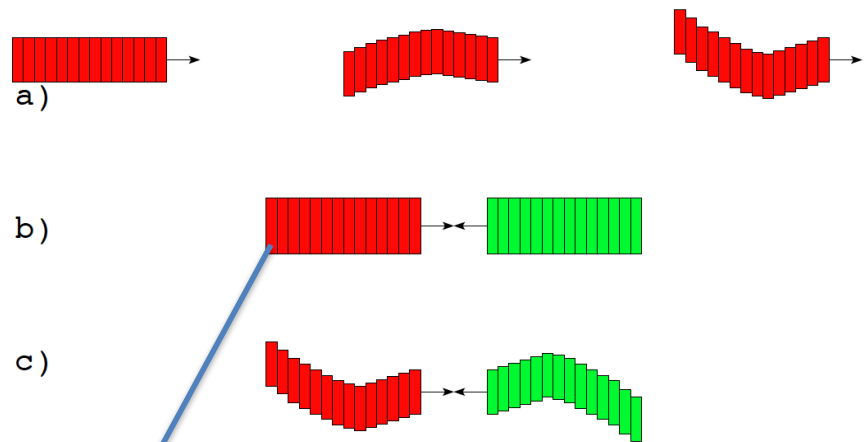


# Note: The Banana Effect

a) Wakefields+dispersion can create banana-shaped bunch in main linac

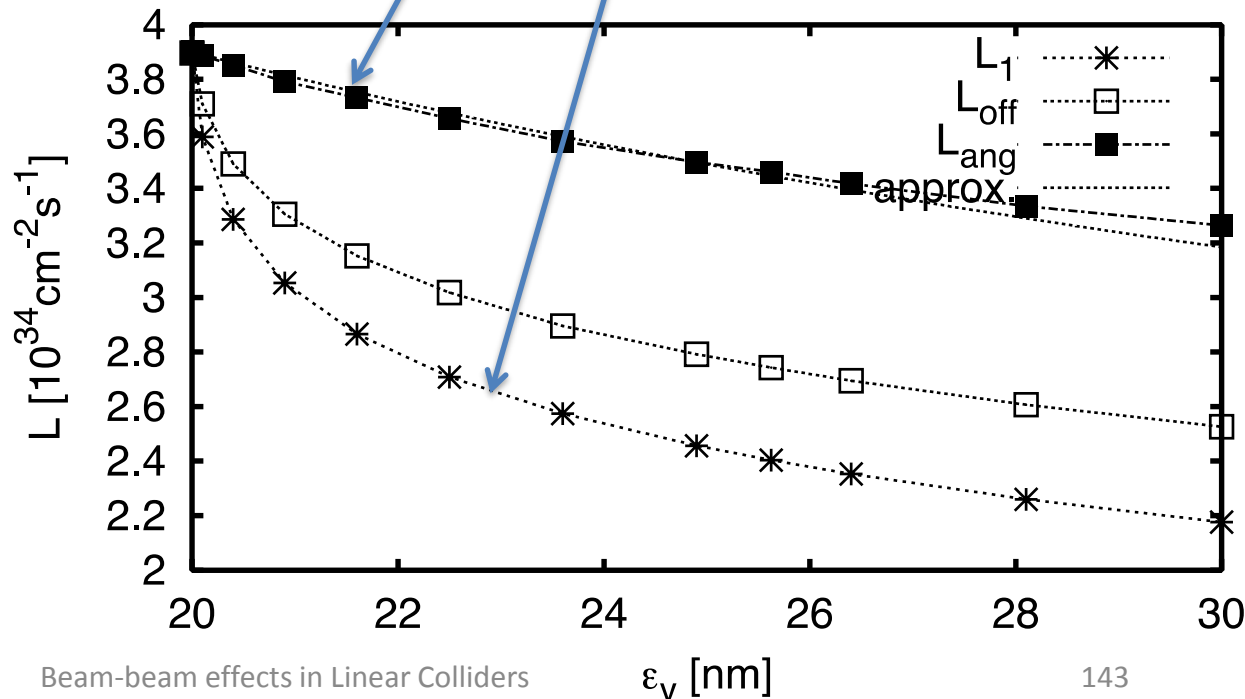
b) Often model with projected emittance

c) The correct shape should be used



For large disruption (ILC) banana can reduce luminosity

Study done for TESLA  
Similar disruption as ILC



# Note: More Information

**CAS on Intensity Limitations 2015** (<https://indico.cern.ch/event/362960/>):

Main Linac:

[https://indico.cern.ch/event/362960/contributions/1776181/attachments/1181326/1710423/Ferrario\\_Lecture\\_PWA\\_final.pdf](https://indico.cern.ch/event/362960/contributions/1776181/attachments/1181326/1710423/Ferrario_Lecture_PWA_final.pdf)

Beam-beam (linear collider):

<https://indico.cern.ch/event/362960/contributions/1776145/attachments/1183340/1714476/Beam-beamx.pptx>

**Linear Collider School 2016** (<https://agenda.linearcollider.org/event/7333/>)

Linac:

AB\_basic <https://agenda.linearcollider.org/event/7333/contributions/38078/>

A1\_1 <https://agenda.linearcollider.org/event/7333/contributions/38078/>

A1\_2 <https://agenda.linearcollider.org/event/7333/contributions/38084/>

A1\_3 <https://agenda.linearcollider.org/event/7333/contributions/38090/>

And much more ...

DFS steering:

SLAC-PUB-5222

Some linac formulae:

<https://journals.aps.org/prab/pdf/10.1103/PhysRevSTAB.3.121002>



# Conclusion



Many thanks for listening

To the linac collider collaborations for the work presented

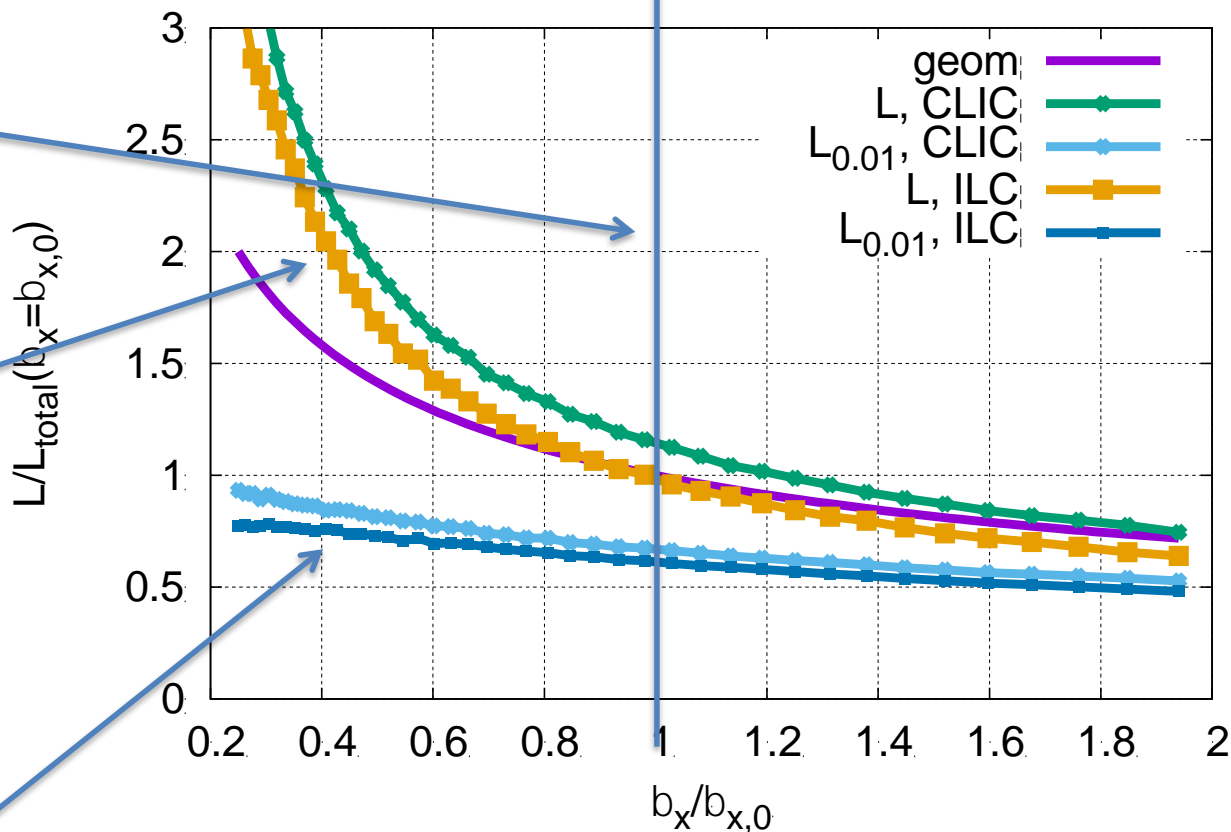
and to the people from whom I stole figures: E. Adli, K. Artoos, A. Grudiev, E. Jensen, A. Latina, H. Mainaud Durand, J. Pflugstner, J. Snuverink, I. Syratchev, W. Wuensch

# Reserve

# Beamstrahlung

# Luminosity Spectrum

Design value  
 $L_{0.01}/L=60\%$



The total luminosity  $L$  varies strongly with beta-function

But  $L_{0.01}$  does not change so much

Hard to push beta-functions That low

So tend to use  $L_{0.01}/L=60\%$  as criterion

Reasonable compromise for most physics studies



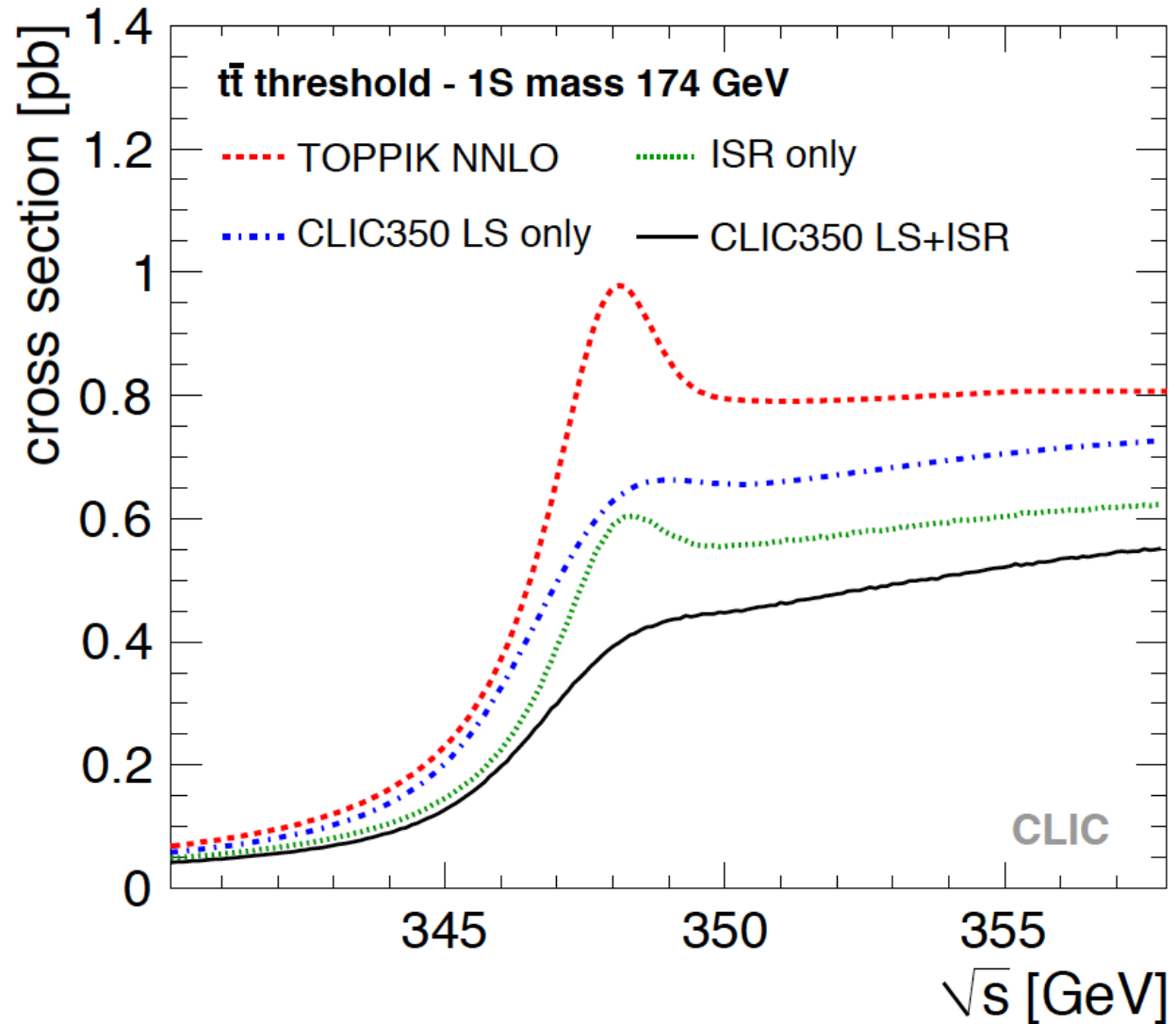
# Top Production at Threshold

K. Seidel et al. arXiv:1303.3758

Top production at threshold is strongly affected by beam energy spread and beamstrahlung

For  $L_{0.01} > 0.6 L$  impact of beamstrahlung is comparable to ISR

But depends on physics



# Note: Luminosity Drivers

In the classical regime

$$\mathcal{L} \propto H_D n_\gamma \eta_{RF \rightarrow beam} \frac{P_{RF}}{E_{cm}} \frac{1}{\sigma_y}$$

In the quantum regime

$$\mathcal{L} \propto H_D \frac{n_\gamma^{3/2}}{\sqrt{\sigma_z}} \eta_{RF \rightarrow beam} \frac{P_{RF}}{E_{cm}} \frac{1}{\sigma_y}$$

$$\sigma_y = \sqrt{\beta_y \epsilon_y / \gamma}$$

# Beamstrahlung Optimisation

For low energies (classical regime) number of emitted photons

$$n_\gamma \propto E_\gamma \propto \frac{N}{\sigma_x + \sigma_y}$$

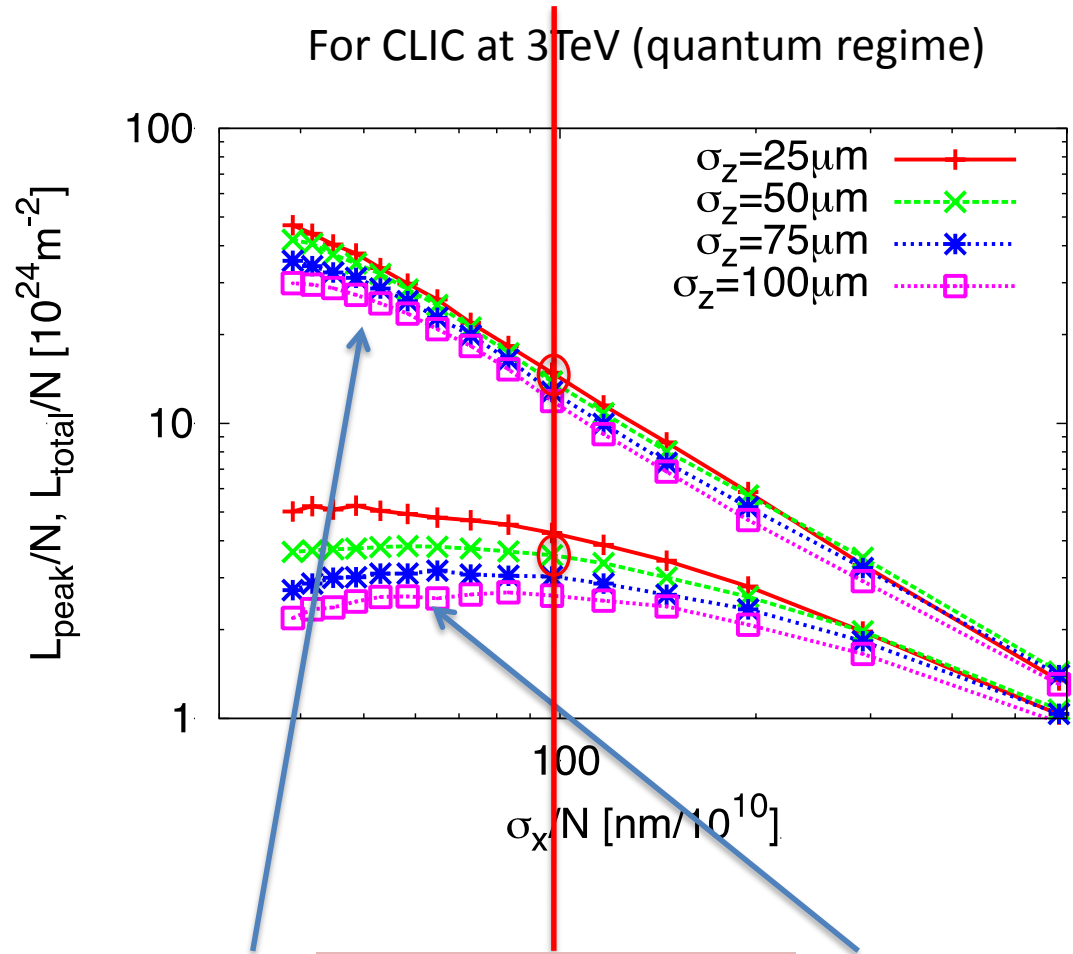
$$\mathcal{L} \propto \frac{N}{\sigma_x \sigma_y}$$

Hence use  $\sigma_x \gg \sigma_y$

$$\sigma_x + \sigma_y \approx \sigma_x$$

$$\mathcal{L} \propto H_D \left( \frac{N}{\sigma_x} \right) N n_b f_r \frac{1}{\sigma_y}$$

For CLIC at 3TeV (quantum regime)



Total luminosity grows for smaller beams  
 CLIC parameter choice  
 luminosity in peak starts to decrease again

# CLIC Parameter Development

# How CLIC Parameters Were Done

For each structure determine bunch charge and length

Determine emittance from damping ring

Add emittance growth based on detailed studies

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \frac{1}{\sigma_y}$$

# Key CLIC Parameter Limits


Choose the maximum that main linac allows

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \frac{1}{\sigma_y}$$

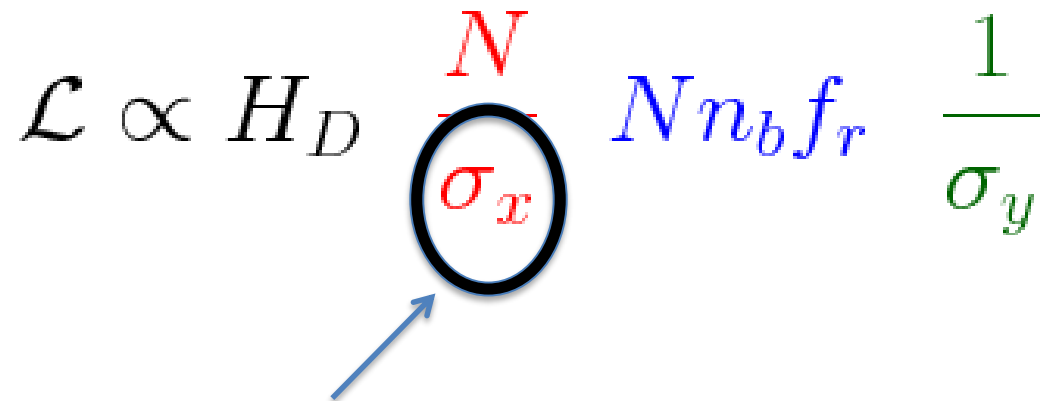
Note: Choosing a different structure gives a different limit

# Key CLIC Parameter Limits

Nature defines minimum beam size  
as function of bunch charge

$$\mathcal{L} \propto H_D \left( \frac{N}{\sigma_x} \right) N n_b f_r \frac{1}{\sigma_y}$$


# Key CLIC Parameter Limits

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \frac{1}{\sigma_y}$$


Damping ring and BDS define minimum beam size  
Can achieve our target but not go much below

Note: A bit of self-fulfilling prophecy


Minimum beam size is known in optimisation

Structures that require small N are removed because of low luminosity



# Key CLIC Parameter Limits

Higher efficiency in main linac would allow for more beam current


$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} \underbrace{N n_b f_r}_{\text{circled}} \frac{1}{\sigma_y}$$


# Key CLIC Parameter Limits

Note: A bit of self-fulfilling prophecy

Structures that allow only small N are good for RF

But the luminosity is low so they are not liked by the optimisation

$$\mathcal{L} \propto H_D \frac{N}{\sigma_x} N n_b f_r \frac{1}{\sigma_y}$$


If we could do better, we would

All system contribute to vertical emittance

Mainly due to imperfections

BDS defines beta-function limit (some contribution from main linac)

# Equivalence of Power and Field Picture

# Note: Equivalence of Wakefield and Power Picture

- Why can a wakefield model be used for the beam loading?

- i.e.

$$\Delta G(q) = \text{const } q$$

- The energy stored per unit length in the accelerating structure is

$$E'(s) = \frac{G(s)^2}{(R'/Q)(s)\omega}$$

- The reduction of accelerating field due to the passing charge  $q$  is  $-\Delta G(s)$
- This yields for the energy lost by the structure

$$\Delta E'_{lost}(s) = \frac{G^2(s) - (G(s) - \Delta G(s))^2}{(R'/Q)(s)\omega} \quad \Rightarrow \quad \Delta E'_{lost}(s) = \frac{2G(s)\Delta G(s) - (\Delta G(s))^2}{(R'/Q)(s)\omega}$$

- The beam extracts an energy

$$\Delta E'_{beam}(s) = q \left( G(s) - \frac{1}{2}\Delta G(s) \right)$$

hence

$$q \left( G(s) - \frac{1}{2}\Delta G(s) \right) = \frac{2G(s)\Delta G(s) - (\Delta G(s))^2}{(R'/Q)(s)\omega}$$
$$\Rightarrow \Delta G(s) = \frac{(R'/Q)(s)\omega}{2} q$$

$\Rightarrow$  The gradient change depends only on the charge not the initial gradient, as expected

- Note: I simplified a bit (sorry, but this is easier with cheating)

# Single Bunch Energy Spread Simple Model

# Simplified Treatment of Single Bunch Energy Spread

Assume

- $W_z(s) = W_z = \text{const}$
- uniform bunch with length  $L \ll \lambda$
- and use linear approximation

Field seen by first particle

$$G_H = G \cos\left(\phi - \frac{L}{2} \frac{2\pi}{\lambda}\right) \approx G \left(\cos(\phi) - \frac{L}{2} \frac{2\pi}{\lambda} \sin(\phi)\right)$$

Field seen by last particle

$$G_T = G \cos\left(\phi + \frac{L}{2} \frac{2\pi}{\lambda}\right) \approx G \left(\cos(\phi) + \frac{L}{2} \frac{2\pi}{\lambda} \sin(\phi)\right) - NeW_z$$

We require (this automatically solves the equation for all other particles)

$$G_H = G_T$$

which leads to

$$L = \frac{NeW_z}{G} \frac{\lambda}{2\pi \sin(\phi)}$$

# Speed of Deconeherence in Simple Model

# Beam Offset and Decoherence

Solve equation of motion for particle with different energy

$$x_2(0) = x_0 \quad x_2'(0) = 0$$

$$x_2''(s) + \frac{1}{\beta_2^2} x_2(s) = x_2''(s) + \frac{1}{(1 + \delta)\beta^2} x_2(s) = 0$$

Solution has the same amplitude (small difference in maximum angle) but different frequency  
Particle will decohere from the nominal energy

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta_2}\right) = x_0 \cos\left(\frac{s}{\sqrt{1 + \delta}\beta}\right) \approx x_0 \cos\left(\frac{s}{(1 + 0.5\delta)\beta}\right)$$

With energy spread beam offset will turn into beam size growth



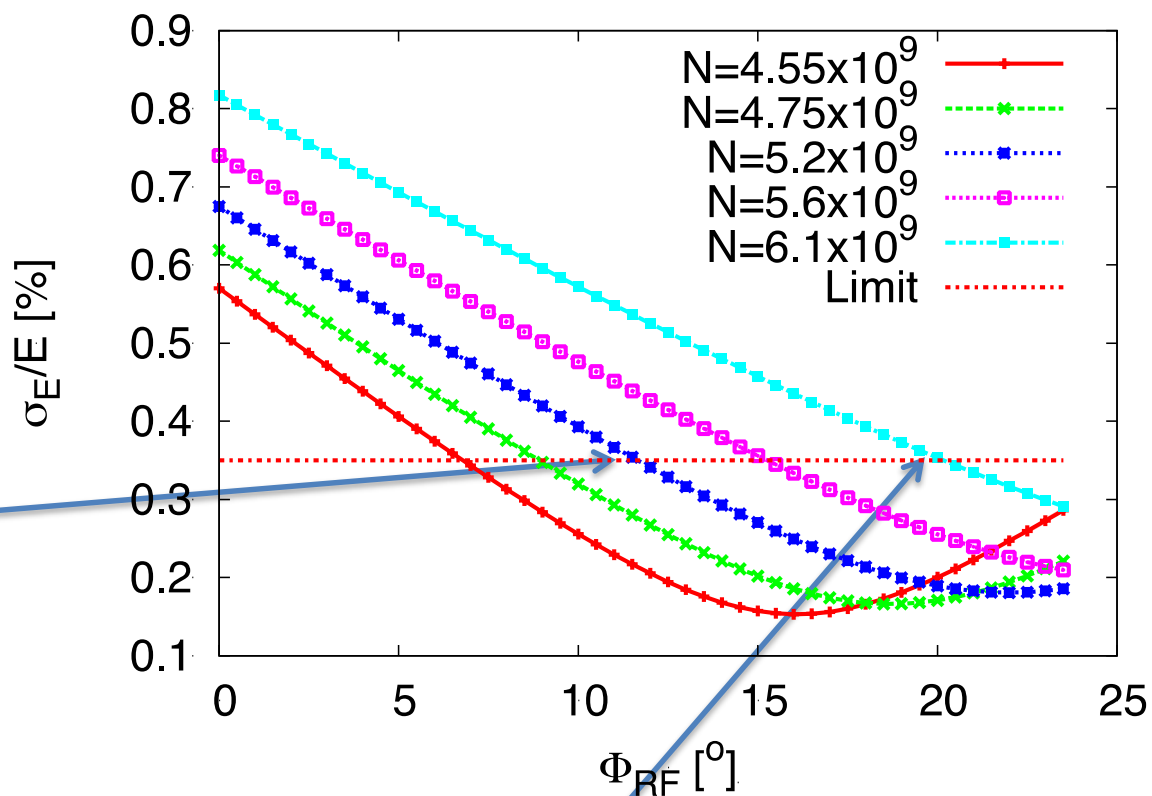
# Choice of Mean RF Phase in CLIC

# Note: Choice of Average RF Phase

Examples of beams with the same transverse wakefield effects  
i.e. larger N means shorter bunch

CLIC bunch at 380 GeV  
Running at 12°  
2% gradient loss

12° is a good compromise



17% more charge requires 20°  
6% gradient loss  
More sensitive to phase jitter

# Emittance Bumps in ILC

# Some Old Example for ILC

