

Beam dynamics basics in RF linacs

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Outlines

- Introduction
 - why a linac
- RF cavity
 - Resonant cavity (modes, properties)
 - Energy gain
 - Shunt impedance
 - examples
- Elements of beam dynamics
 - Synchronous particle
 - Longitudinal particle motion
 - Particle motion in linear forces (phase advance, Twiss parameters, rms dimensions, matching)
 - Matched-mismatched beam

Why RF linacs

Goal of an accelerator : Accelerate a **wanted** beam within the **lower cost**

wanted : particle, energy, emittance, intensity, time structure

cost : construction, operation

Main competitors : RF linacs, Synchrotrons, Cyclotrons...

RF linacs : Particles accelerated on a **linear path** with RF cavities.

Advantages : High current, high duty-cycle, low synchrotron radiation losses.

Drawbacks : High room & cavities consumption, no synchrotron radiation damping

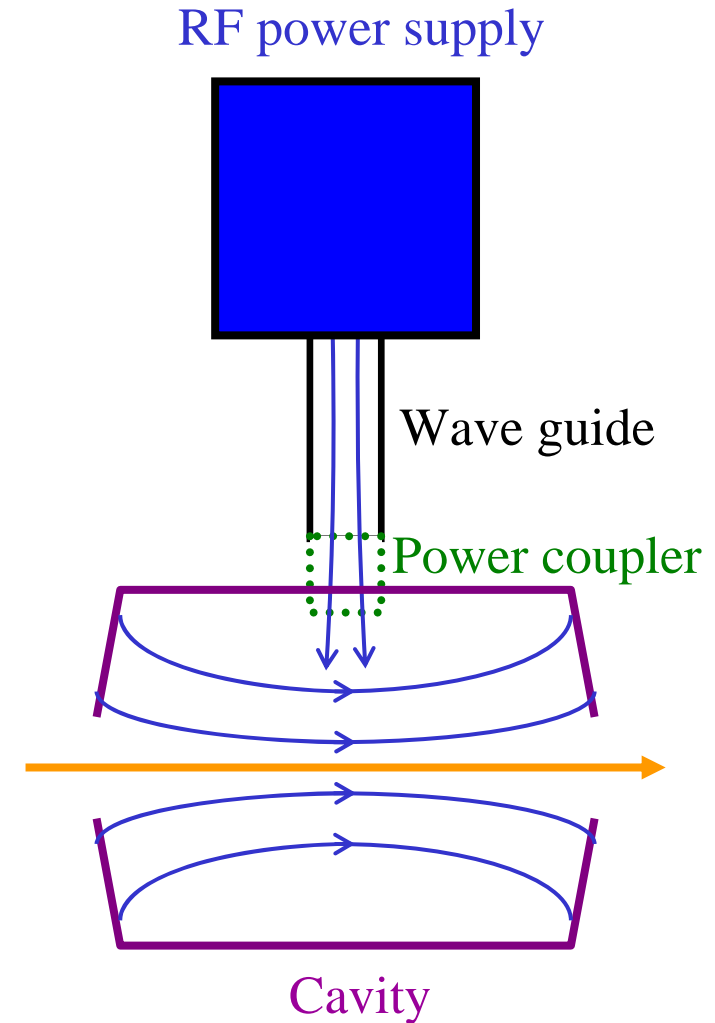
Main use of linacs : Low energy injectors, high intensity protons beam, high energy lepton colliders.

RF resonant cavity

Goal : Give kinetic energy to the beam

Basic principle

- **Conductor** enclosing a close volume,
- Maxwell equations + *Boundary conditions* allow possible electromagnetic field E_n/B_n configurations each oscillating with a given frequency f_n : a **resonant mode**. The field is a weighted superposition of these modes.
- The wanted (accelerating) mode is excited at the good frequency and position from a **RF power supply** through a **power coupler**,
- The phase of the electric field is adjusted to accelerate the **beam**.

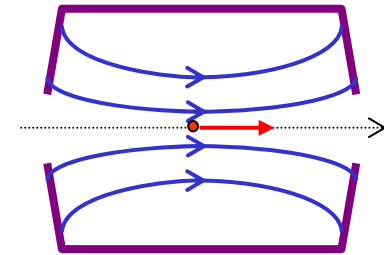


Energy gain

Energy gained by a particle in a cavity :

$$\Delta W = \int qEz(s) \cdot \cos(\phi(s)) \cdot ds$$

with : $\phi(s) = \phi_0 + \omega \cdot t = \phi_0 + \frac{\omega}{c} \int_{s_0}^s ds$



$$\Delta W = qV_0 \cdot T \cdot \cos \phi_p$$

with : $V_0 = \int |Ez(s)| \cdot ds$

The cavity voltage

$$\phi_p$$

The average phase

$$0 < T < 1$$

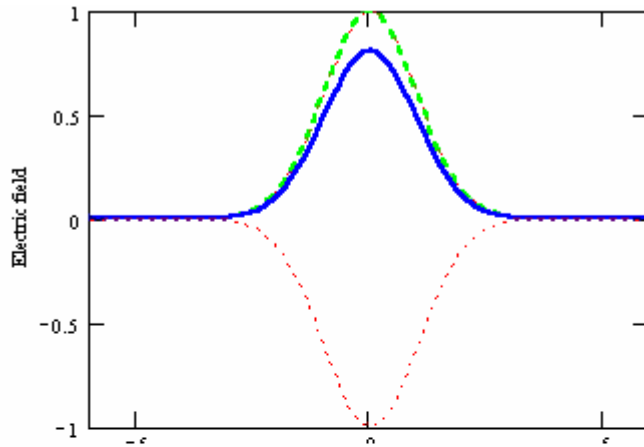
Transit-time factor

Example 1 : The transit time factor in a one-cell cavity

Fast particle : $T \cong 1$

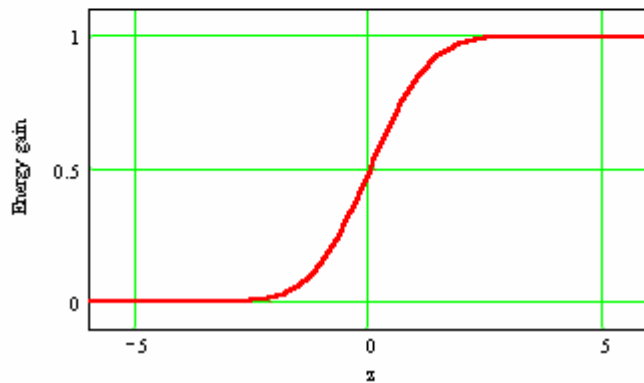
$$\phi_p = 0$$

Electric Field



- Field amplitude
- Field in the cavity with time
- Field seen by a non synchronous particle

Energy gain



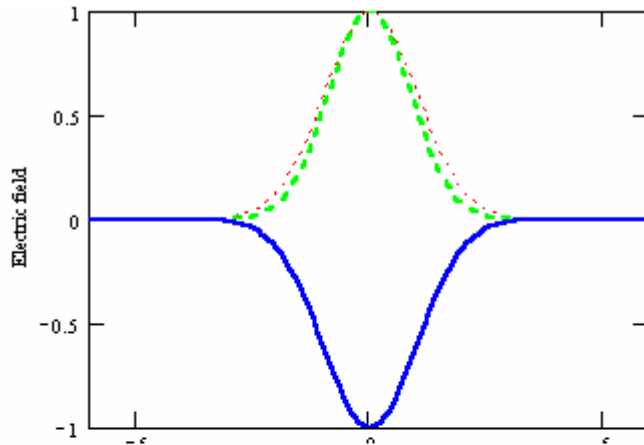
- Energy gain

$$\Delta W = qV_0 \cdot T(\bar{\beta})$$

Example 1 : The transit time factor in a one-cell cavity

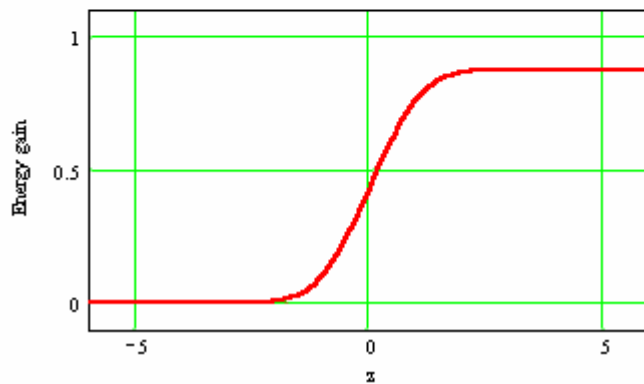
Medium fast particle : $T \cong 0.85$

Electric Field



- Field amplitude
- Field in the cavity with time
- Field seen by a non synchronous particle

Energy gain



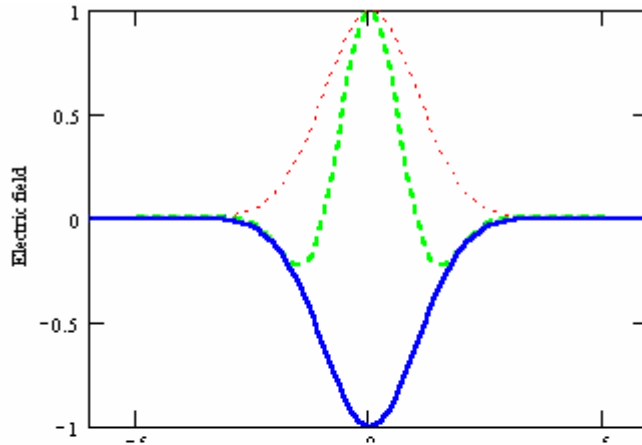
- Energy gain

$$\Delta W = qV_0 \cdot T(\bar{\beta})$$

Example 1 : The transit time factor in a one-cell cavity

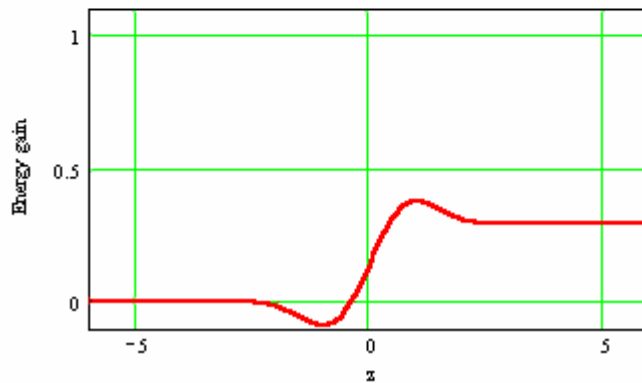
Slow particle : $T \cong 0.3$

Electric Field



- Field amplitude
- Field in the cavity with time
- Field seen by a non synchronous particle

Energy gain



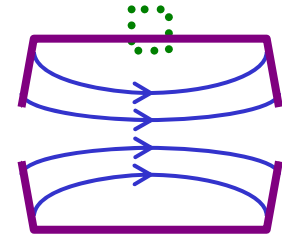
- Energy gain

$$\Delta W = qV_0 \cdot T(\bar{\beta})$$

RF cavity shunt impedance

Cavity voltage V_0 :

$$V_0 = \int \hat{E}_z(z) \cdot dz$$



Dissipated power P_d : Mean power dissipated in conductor over one RF period

Shunt impedance R :

$$R = \frac{V_0^2}{2 \cdot P_d}$$

$$P_d = \frac{1}{2} \cdot V_0^2 / Z$$

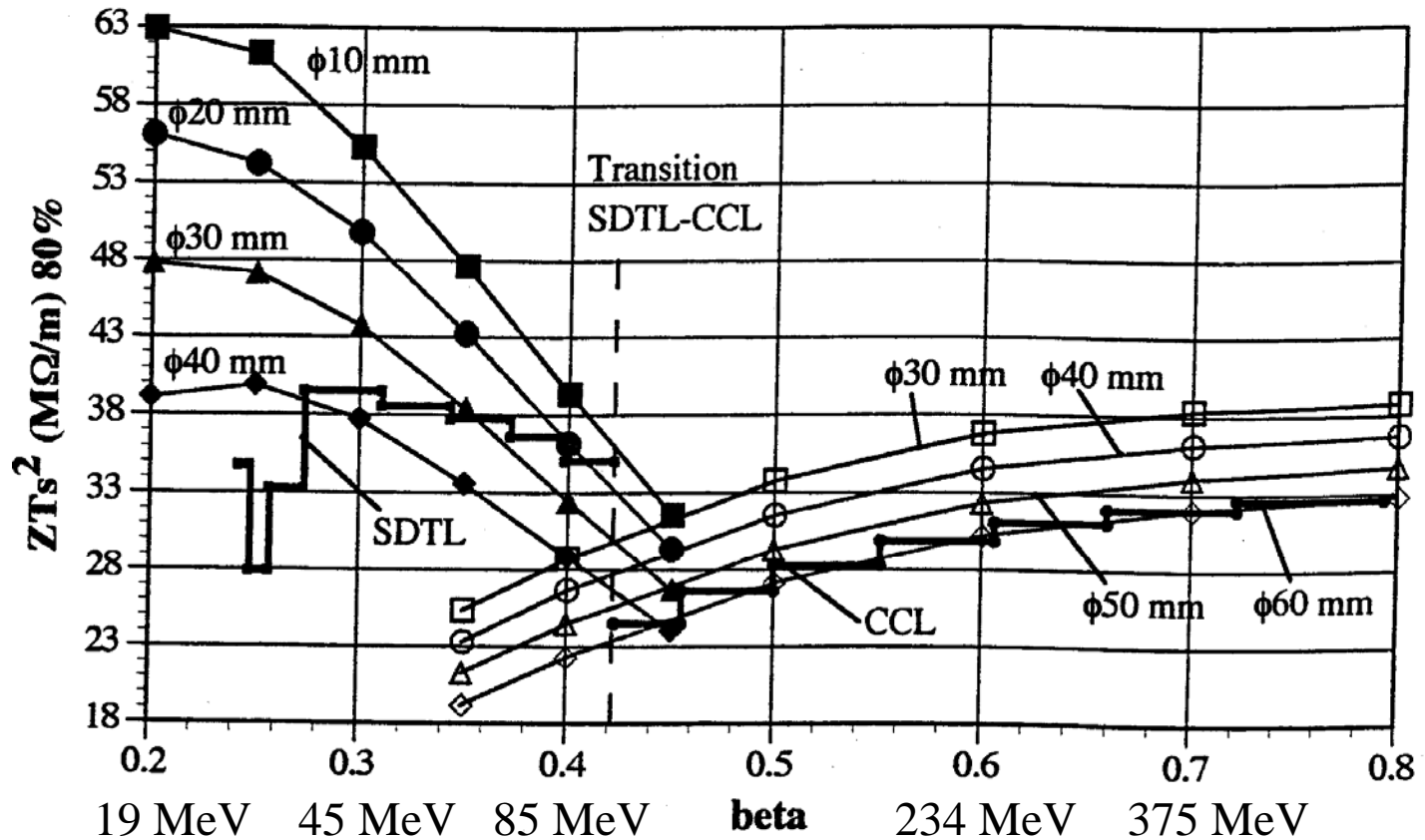
ΔW_{\max} : Maximum energy that can be gained by a particle in the cavity

$$\Delta W_{\max} = qV_0 \cdot T \quad T : \text{Transit time factor}$$

\Rightarrow Effective shunt impedance :

$$ZT^2 = \frac{\Delta W_{\max}^2}{2P_d}$$

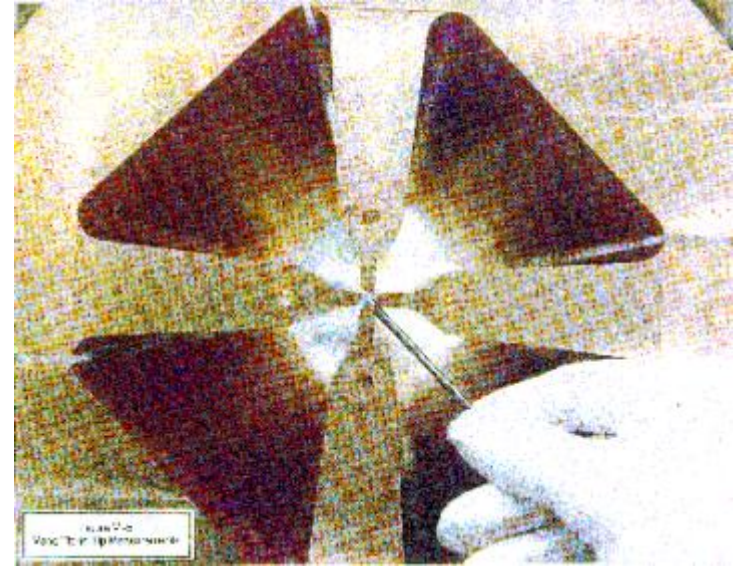
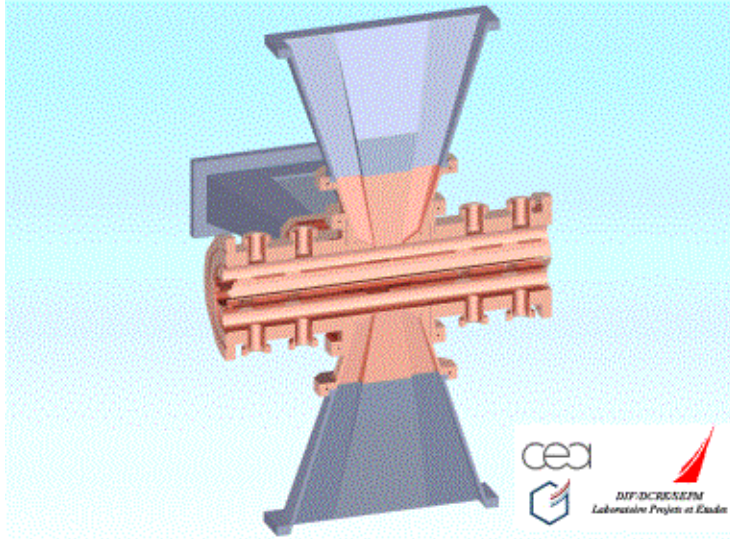
Example of use of effective shunt impedance ZT^2



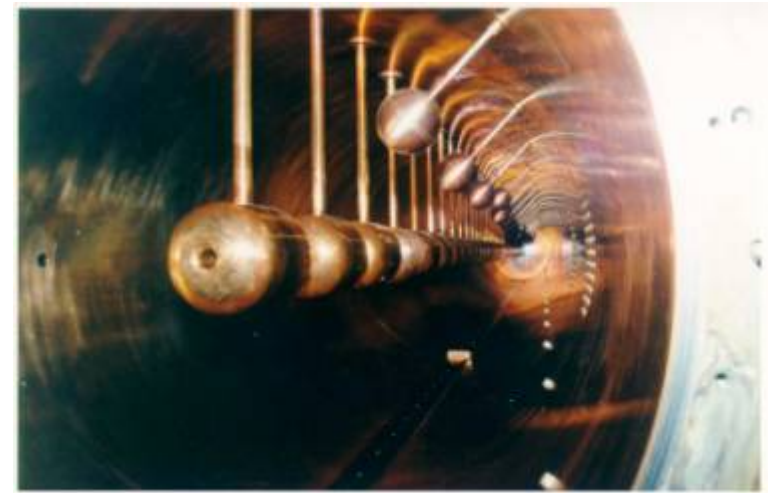
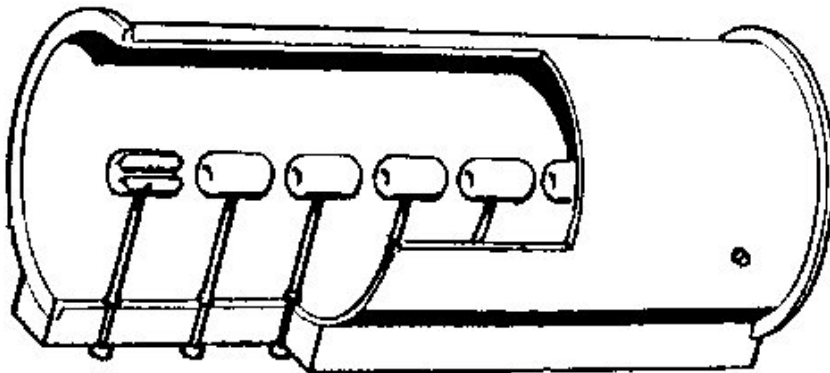
The effective shunt impedance per unit length of the structures can be used to set the transition energy between sections.

Various types of cavity : Tanks

RFQ (low energy ~ 50 keV-7 MeV)

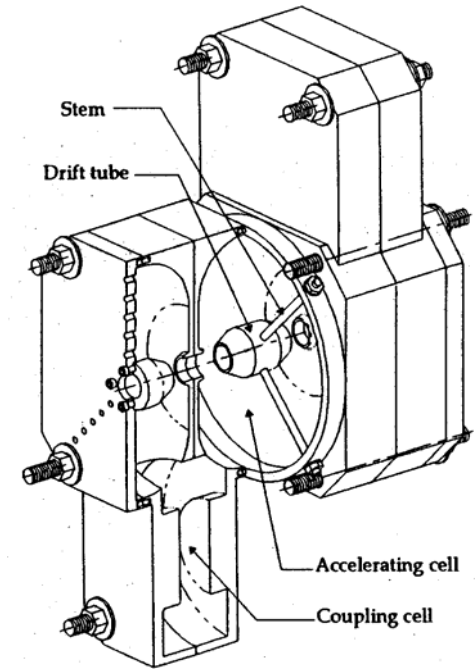
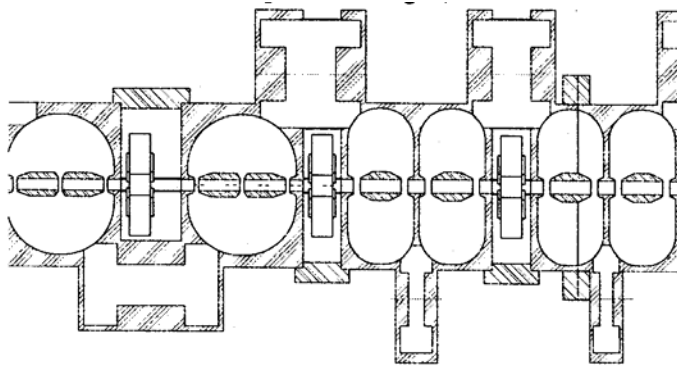


DTL (medium energy ~ 5 -100 MeV)

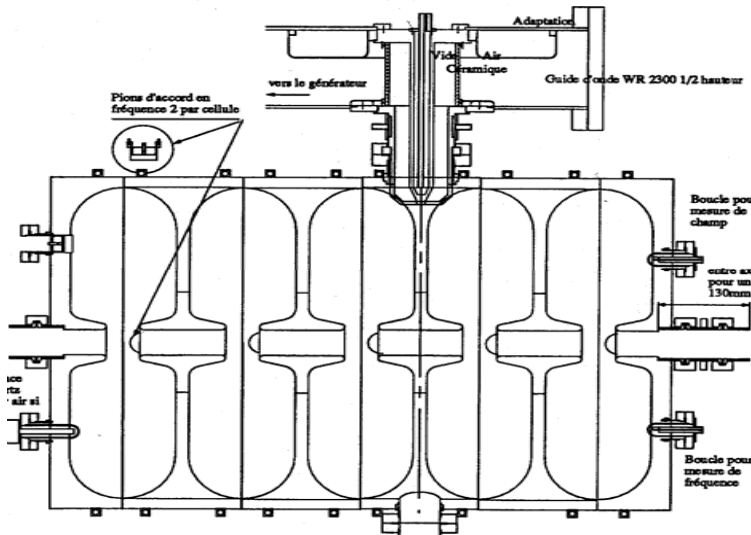


Various types of cavity : Coupled cavity

CCDTL (medium energy ~5-100 MeV)



CCL (high energy ~80 MeV-2 GeV)

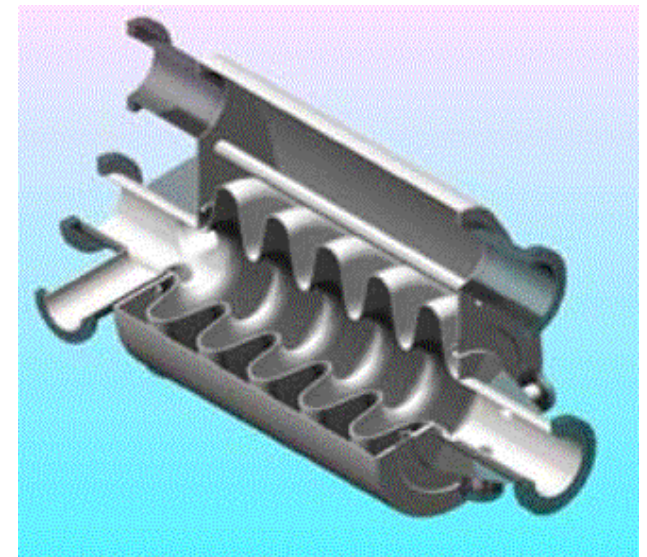
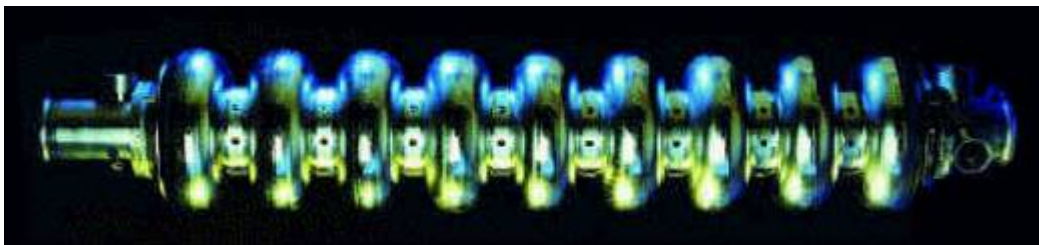
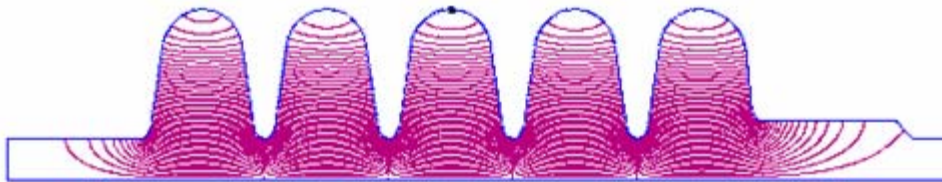


Various types of cavity : Superconducting

Spoke (medium energy $\sim 20\text{-}100\text{ MeV}$)



Elliptical (high energy $\sim 100\text{MeV}$ - 2 GeV)



The synchronous particle - Linac design

A linac is designed with a hypothetical *synchronous particle*. Its phase in a cavity is called the *synchronous phase* :

- The synchronous particle absolute phase ϕ_i and the velocity β_{si-1} being known at the entrance of cavity i , its RF phase ϕ_i is calculated to get the wanted synchronous phase ϕ_{si} .
- The new velocity β_{si} of the particle can be calculated from : $\Delta W_i = qV_0T \cdot \cos \phi_s$
 - ① if the phase difference between cavities i and $i+1$ is given, the distance D_i between them is adjusted to get the wanted synchronous phase ϕ_s in cavity $i+1$.
 - ② if the distance D_i between cavities i and $i+1$ is set, the RF phase ϕ_{i+1} of cavity $i+1$ is calculated to get the wanted synchronous phase ϕ_s in it.

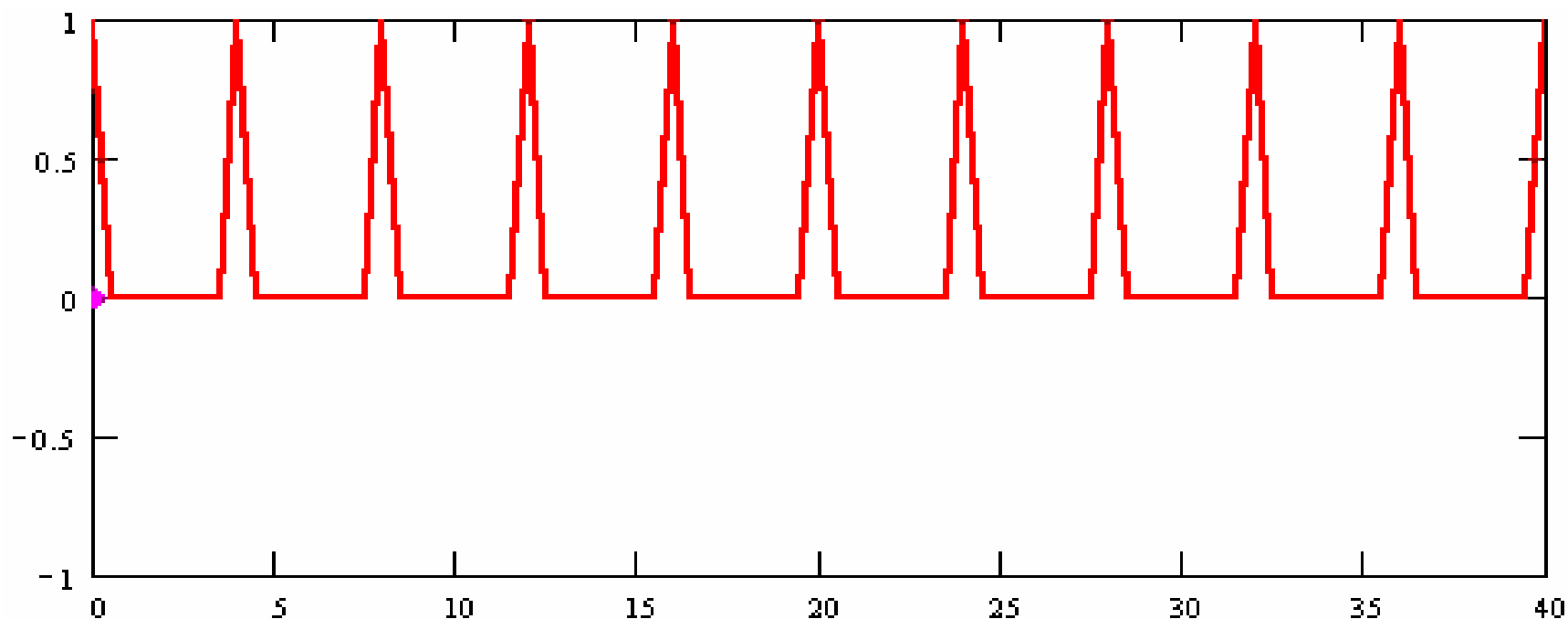
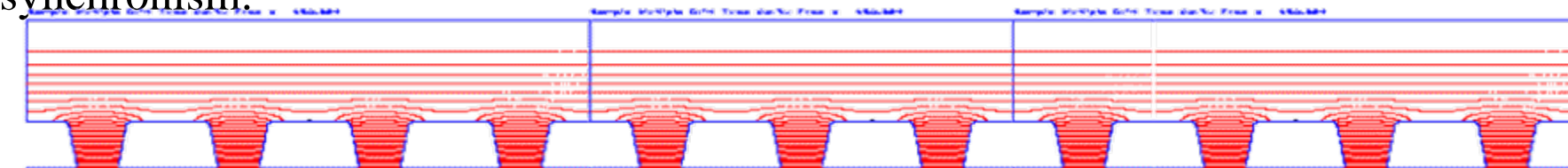
RF phase		ϕ_{i-1}	ϕ_i	ϕ_{i+1}	
Particle velocity			β_{si-1}	β_{si}	
Distances			D_{i-1}	D_i	
Cavity number		$i-1$	i	$i+1$	

Synchronism condition :

$$\phi_{i+1} - \phi_i + 2\pi n = \omega \cdot \frac{D_i}{\beta_{si} c}$$

① Linac with coupled cavities

Coupled cavities have the same phase. Distances between them are adjusted for synchronism.



— Field in cavities

● Particle synchronous with the field

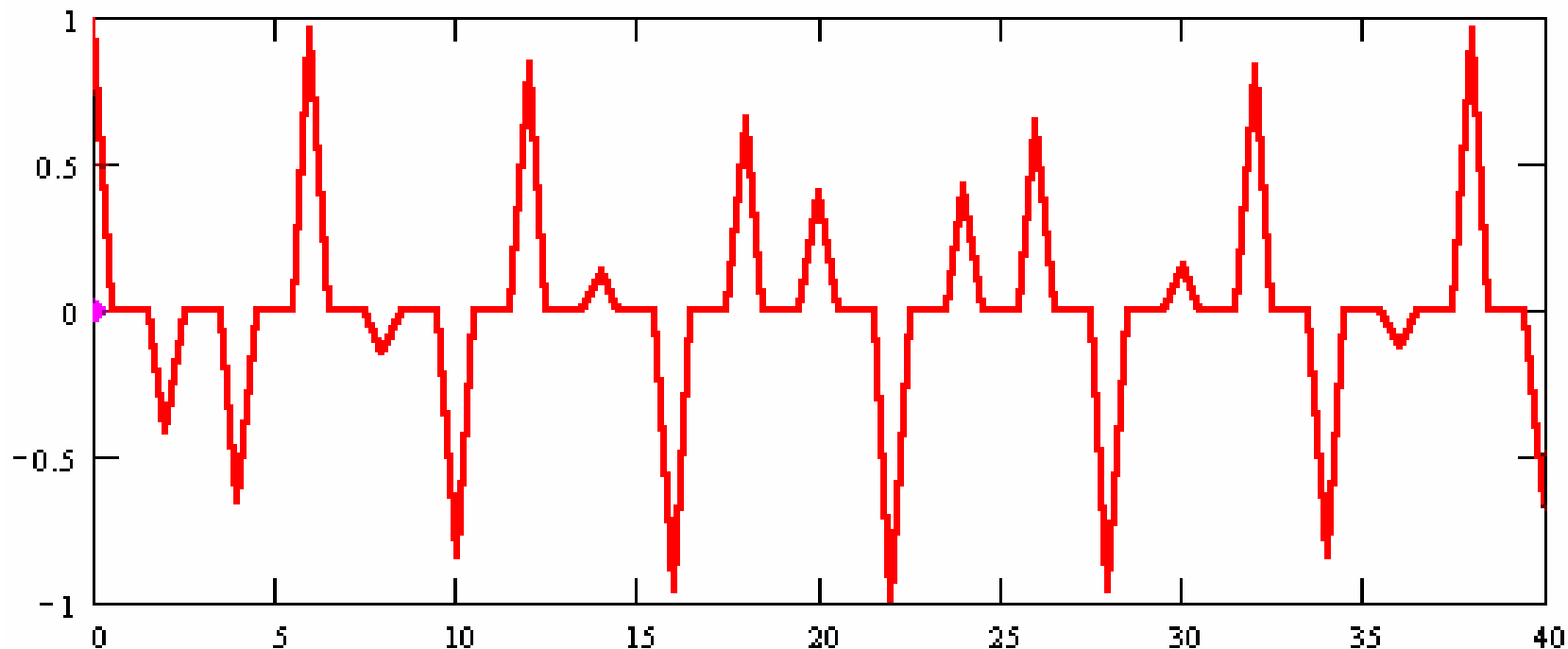
— Its energy gain

● Particle not synchronous with the field

— Its energy gain

② Linac with independently phased cavities

The distance between the cavities is given. Cavities are phased to accelerate a given particle.



— Field in cavities

● Particle synchronous with the field

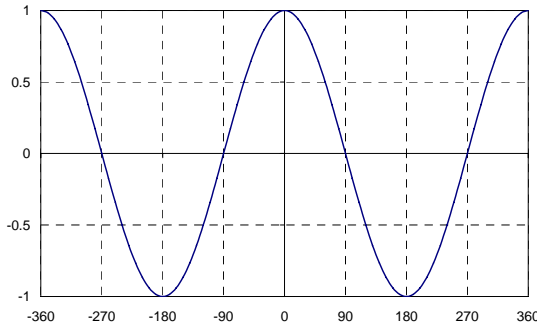
— Its energy gain

● Particle not synchronous with the field

— Its energy gain

Choice of the synchronous phase

Acceleration condition : The field should accelerate the particle



$$\Delta W > 0 \Rightarrow \begin{array}{l} qV_0 > 0: \phi_p \in [-90^\circ, 90^\circ] \\ qV_0 < 0: \phi_p \in [90^\circ, 270^\circ] \end{array}$$

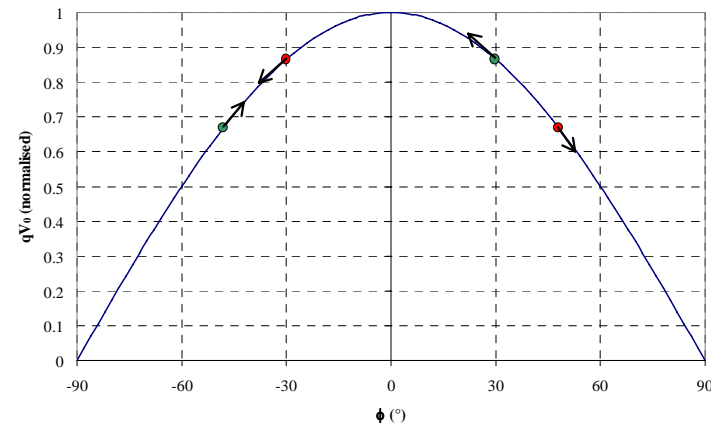
$$qV_0 T \cdot \cos \phi_p > 0$$

Stability condition : Late particles should gain more energy than early ones

$$\frac{d\Delta W}{d\phi_p} > 0 \Rightarrow qV_0 T \cdot \sin \phi_p < 0$$

$$\begin{array}{l} qV_0 > 0: \phi_p \in [-180^\circ, 0^\circ] \\ qV_0 < 0: \phi_p \in [0^\circ, 180^\circ] \end{array}$$

$$\begin{array}{l} qV_0 > 0: \phi_p \in [-90^\circ, 0^\circ] \\ qV_0 < 0: \phi_p \in [90^\circ, 180^\circ] \end{array}$$



General equations of motion

$$\begin{aligned}
 \frac{d\vec{p}}{dt} &= q \cdot (\vec{v} \times \vec{B} + \vec{E}) = \vec{F} \\
 + \quad p_w &= \beta_w \gamma \cdot mc \\
 + \quad dt &= ds / \beta_z c
 \end{aligned}
 \Rightarrow
 \begin{cases}
 \frac{d\gamma\beta_x}{ds} = \frac{F_x}{mc^2 \beta_z} = \frac{d\gamma\beta_z x'}{ds} \\
 \frac{d\gamma\beta_y}{ds} = \frac{F_y}{mc^2 \beta_z} = \frac{d\gamma\beta_z y'}{ds} \\
 \frac{d\gamma\beta_z}{ds} = \frac{F_z}{mc^2 \beta_z}
 \end{cases}$$

$\beta_w c$ is the particle velocity along w direction
 γ is the particle reduced energy,
 q and m its charge and rest mass.
 x and y are transverse directions,
 s is the abscissa along longitudinal direction z ,
 x' and y' are called the particle slopes.

$$x'' + \frac{d\gamma\beta_z/ds}{\gamma\beta_z} x' = \frac{F_x}{mc^2 \gamma\beta_z^2}$$

These equations are non linear, coupled and damped.

Each element (cavity, quadrupole ...) contributes to the force.

A few words on longitudinal coordinates

- If the beam is described at a given time t (useful for space-charge calculation and time-varying fields), particles are generally represented by their position z in the bunch and their velocity z' relative to the synchronous particle :

$$\begin{cases} z(t) = s(t) - s_s(t) \\ z'(t) = \frac{\beta_z(t) - \beta_s(t)}{\beta_s(t)} = \frac{v_z - v_s}{v_s} = \frac{p_z - p_s}{p_s} \end{cases}$$

- If the beam is described at a given abscissa s (easier for transport into elements), particles are generally represented by their phase φ in the bunch and their kinetic energy w relative to the synchronous particle :

$$\begin{cases} \varphi(s) = \phi(s) - \phi_s(s) \\ w(s) = W(s) - W_s(s) \end{cases}$$

One remarks that kinetic energy is not only a longitudinal particle property but it is often assumed as being totally longitudinal (paraxial approximation).

Longitudinal motion (1)

- At a given abscissa, a particle arrives at a given time : $t = \frac{\phi}{\omega_{rf}}$
- It has a given kinetic energy : $W = (\gamma - 1) \cdot mc^2$
- The evolution of these variables is given by :

$$\begin{cases} \frac{dW(s)}{ds} = qE_z(s) \cdot \cos(\phi(s) - \phi_{rf}) \\ \frac{d\phi(s)}{ds} = \frac{2\pi}{\beta(s)\lambda_{rf}} \end{cases}$$

n.b. Joel Leduff is using sinus

- The linac is designed with a *synchronous particle*, for which the phase law in the cavities has been fixed by the designer : $\phi_s(s)$.
- Beam particles are referred to this synchronous particle :

$$\begin{cases} \varphi(s) = \phi(s) - \phi_s(s) \\ w(s) = W(s) - W_s(s) \end{cases}$$

Longitudinal motion (2)

- The evolution of these variables is given by :

$$\begin{cases} \frac{d\varphi}{ds} = \frac{2\pi}{\lambda_{rf}} \left(\frac{1}{\beta} - \frac{1}{\beta_s} \right) \\ \frac{dw}{ds} = qE_z(s) \cdot (\cos(\phi(s) - \phi_{rf}) - \cos(\phi_s(s) - \phi_{rf})) \end{cases}$$

- Giving finally :

$$\begin{cases} \frac{d\varphi}{ds} = -2\pi \cdot \frac{w}{(\beta_s \gamma_s)^3 \cdot mc^2 \cdot \lambda} = \frac{\partial H_{\phi w}}{\partial w} \\ \frac{dw}{ds} = -q \cdot E_z(s) \cdot (\cos(\phi_s - \phi_{rf}) \cdot (1 - \cos \varphi) + \sin(\phi_s - \phi_{rf}) \cdot \sin \varphi) = -\frac{\partial H_{\phi w}}{\partial \varphi} \end{cases}$$

- $H_{\phi w}$ is the motion hamiltonian given by :

$$H_{\phi w} = -\frac{2\pi}{(\beta_s \gamma_s)^3 \cdot mc^2 \cdot \lambda} \cdot \frac{w^2}{2} - q \cdot E_z(s) \cdot (\sin(\phi_s - \phi_{rf}) \cdot (\cos \varphi - 1) + \cos(\phi_s - \phi_{rf}) \cdot (\sin \varphi - \varphi))$$

- Particles follow curves for which $H_{\phi w} = \text{Cst.}$

Longitudinal motion linearisation

- The longitudinal motion can be linearised assuming : $\varphi \ll 1$
- In these conditions :

$$\begin{cases} \frac{d\varphi}{ds} = -2\pi \cdot \frac{w}{(\beta_s \gamma_s)^3 \cdot mc^2 \cdot \lambda} = \frac{\partial H_{\varphi w, l}}{\partial w} \\ \frac{dw}{ds} = -q \cdot E_z(s) \cdot \sin(\phi_s - \phi_{rf}) \cdot \varphi = -\frac{\partial H_{\varphi w, l}}{\partial \varphi} \end{cases}$$

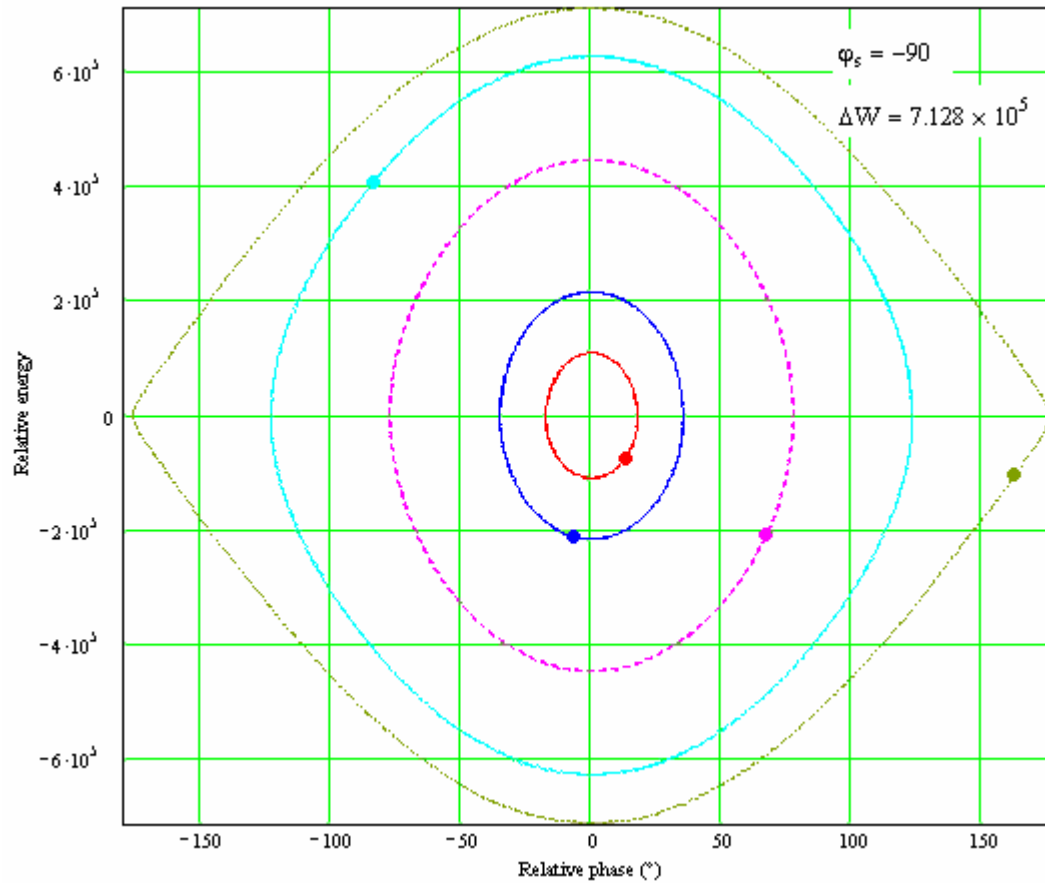
- $H_{\varphi w, l}$ is the motion hamiltonian given by :

$$H_{\varphi w, l} = -\frac{2\pi}{(\beta_s \gamma_s)^3 \cdot mc^2 \cdot \lambda} \cdot \frac{w^2}{2} + q \cdot E_z(s) \cdot \sin(\phi_s - \phi_{rf}) \cdot \frac{\varphi^2}{2}$$

- Particles follow curves for which $H_{\varphi w} = \text{Cste}$, which are ellipses.

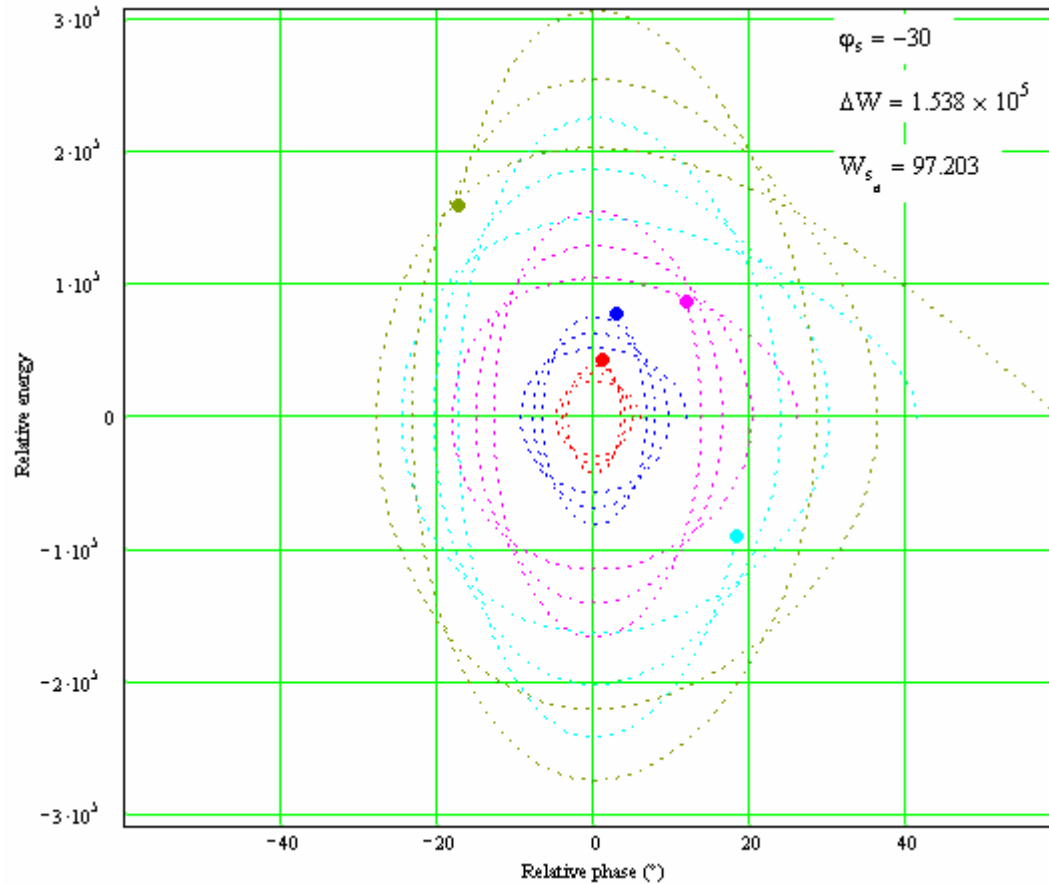
Longitudinal motion - No acceleration ($\varphi_s = -90^\circ$)

- Let's assume that H_{φ_w} is not a function of s ($E_z = \text{Cst}$; $\beta_s \gamma_s = \text{Cst}$).



Longitudinal motion - $\varphi_s = -30^\circ$ - With $\beta_s \gamma_s$ change

- Let's assume that $H_{\varphi W}$ is not a function of s ($E_z = \text{Cst}$; $\beta_s \gamma_s \neq \text{Cst}$).



Particle motion in linear periodic force

In the highest simplification level, the particle motion along direction w (x , y or φ) can be considered : undamped, uncoupled, linear, and periodic.

$$w'' + \frac{\cancel{d\gamma\beta_z/ds}}{\cancel{\gamma\beta_z}} w' - \frac{F_w(w, u, \dots)}{mc^2 \gamma\beta_z^2} = 0 \quad = \text{Cste}$$

Hill equation : $\frac{d^2 w}{ds^2} + k_w(s) \cdot w = 0$ $k_w(s + S) = k_w(s)$

Giving : $w(s) = \sqrt{\varepsilon_0 \beta_{wm}(s)} \cdot \cos(\mu(s) + \mu_0)$

with : μ_0 and ε_0 constant, $\mu(s) = \mu_0 + \int_{s_0}^s \frac{ds}{\beta_{wm}(s)}$, and : $\beta_{wm}(s + S) = \beta_{wm}(s)$

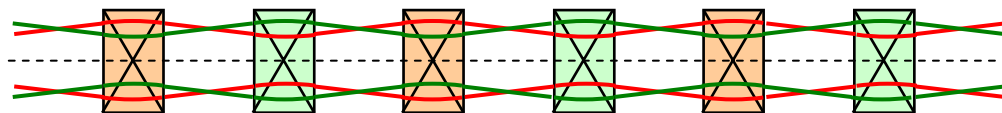
In the (w, w') phase-space, the particle is moving on an ellipse of equation :

$$\gamma_{wm}(s) \cdot w^2 + 2\alpha_{wm}(s) \cdot ww' + \beta_{wm}(s) \cdot w'^2 = A^2$$

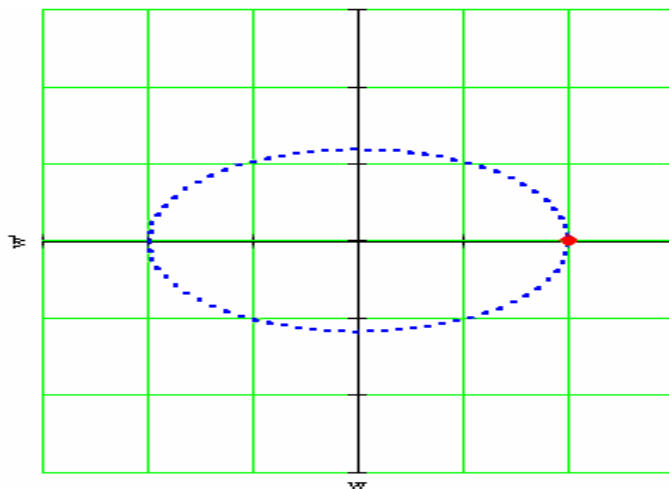
with : $\alpha_{wm}(s) = -\frac{1}{2} \frac{d\beta_{wm}}{ds}$ and $\gamma_{wm}(s) = \frac{1 + \alpha_{wm}(s)^2}{\beta_{wm}(s)}$ **Channel** Courant-Snyder parameters.

Example : Particle motion in a FODO Lattice

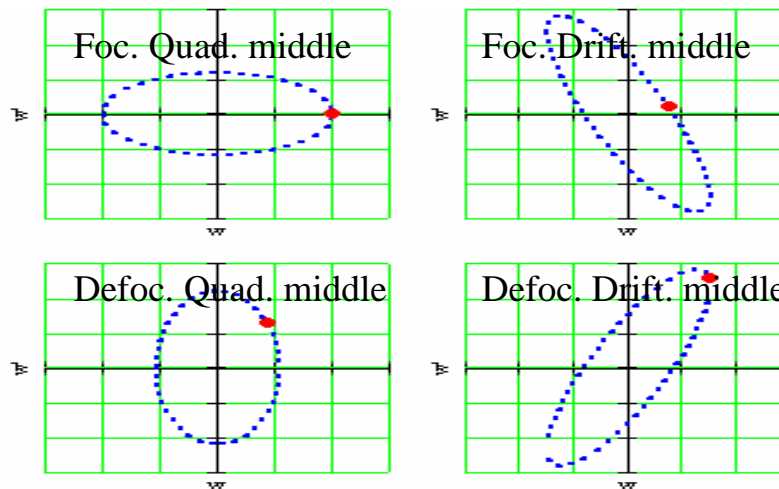
- Particle
- ⋯ Particle ellipse



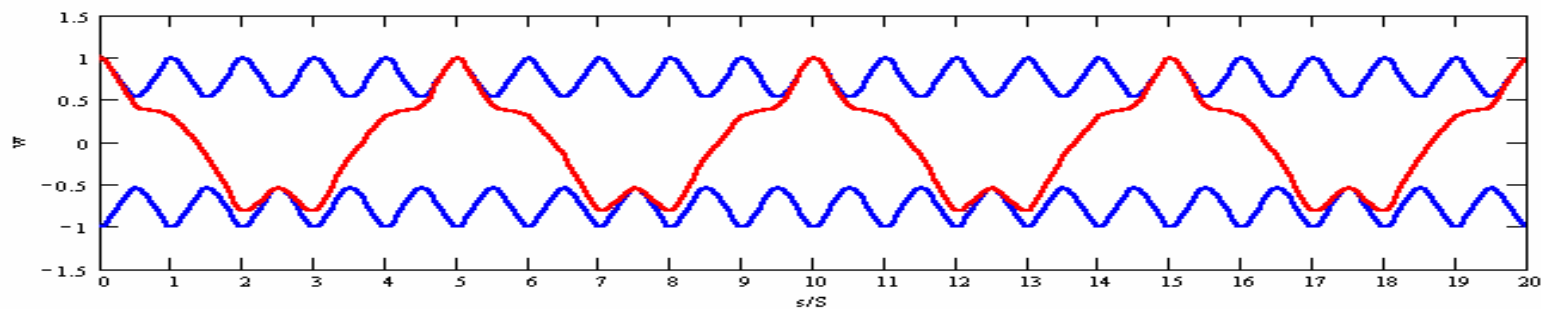
Phase-space trajectory



Phase-space periodic looks



$a := 1$



- Particle trajectory
- Particle ellipse maximum size

RMS dimensions and Beam Twiss parameters

The rms dimensions of the beam are defined statistically as followed :

$$\text{rms size : } \quad \tilde{w} = \sqrt{\langle (w - \langle w \rangle)^2 \rangle}$$

$$\text{rms slope : } \quad \tilde{w}' = \sqrt{\langle (w' - \langle w' \rangle)^2 \rangle}$$

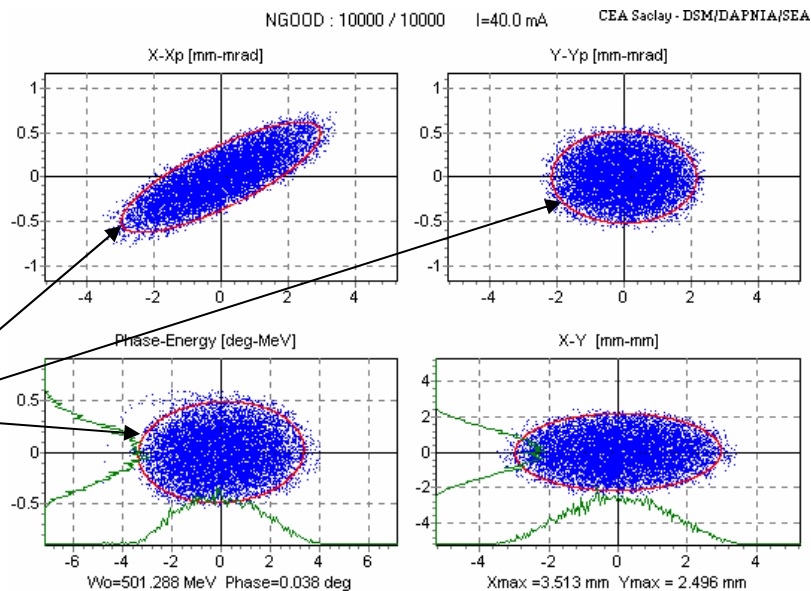
$$\text{rms emittance : } \quad \tilde{\varepsilon}_w = \sqrt{\tilde{w}^2 \cdot \tilde{w}'^2 - \langle (w - \langle w \rangle) \cdot (w' - \langle w' \rangle) \rangle^2}$$

The beam Twiss parameters are then :

$$\beta_w = \frac{\tilde{w}^2}{\tilde{\varepsilon}_w} \quad \gamma_w = \frac{\tilde{w}'^2}{\tilde{\varepsilon}_w}$$

$$\alpha_w = - \frac{\langle (w - \langle w \rangle) \cdot (w' - \langle w' \rangle) \rangle}{\tilde{\varepsilon}_w}$$

$$\gamma_w \cdot w^2 + 2\alpha_w \cdot ww' + \beta_w \cdot w'^2 = 5 \cdot \varepsilon_w$$



Beam matching

The beam is matched when :

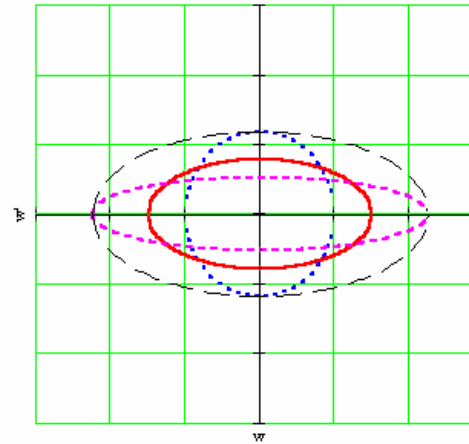
$$\beta_w = \beta_{wm}$$

$$\alpha_w = \alpha_{wm}$$

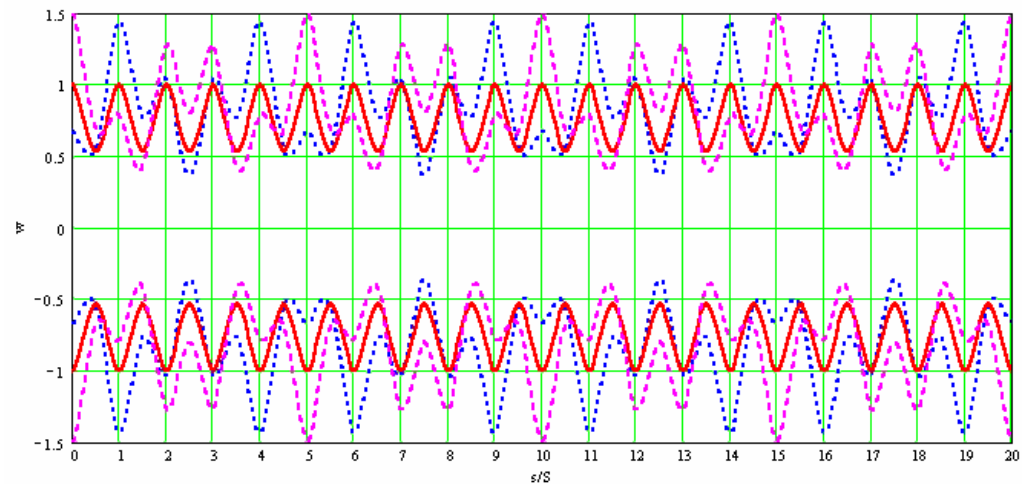
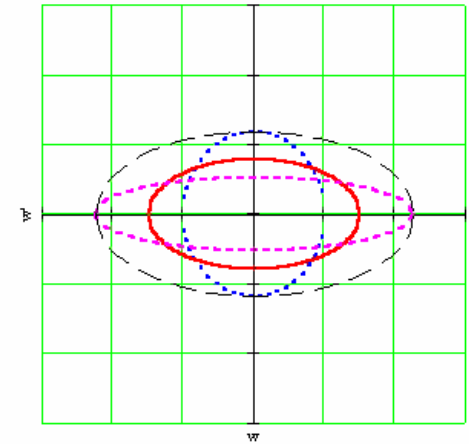
$$\gamma_w = \gamma_{wm}$$

- Matched beam
- - - Bigger input beam
- - - Smaller input beam
- - Phase-space scanned by the mismatched beams

Phase-space trajectory



Phase-space periodic looks

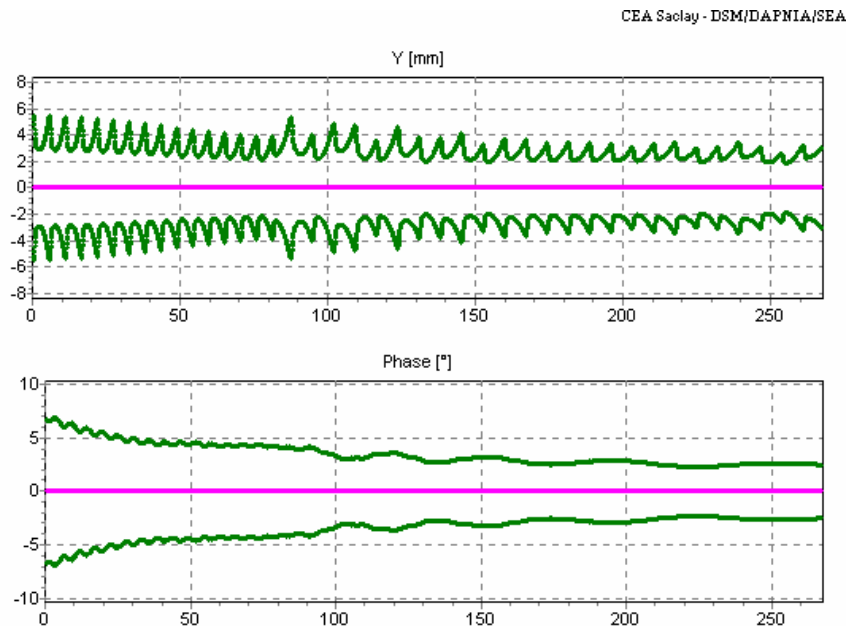


50% mismatched beam

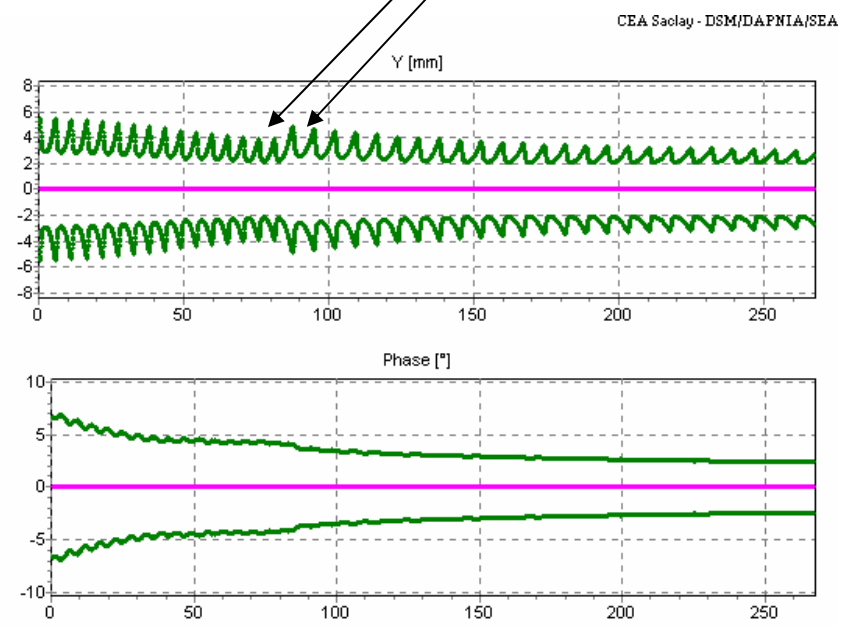
Beam matching (2)

The matching is done between sections by changing focusing force with quadrupoles (transverse) and cavities (longitudinal).

Calculations are made with « envelope codes » where the beam is modelled by its rms dimensions. This type of code calculates automatically the focusing strength that matches the beam.



Non matched beam



Matched beam

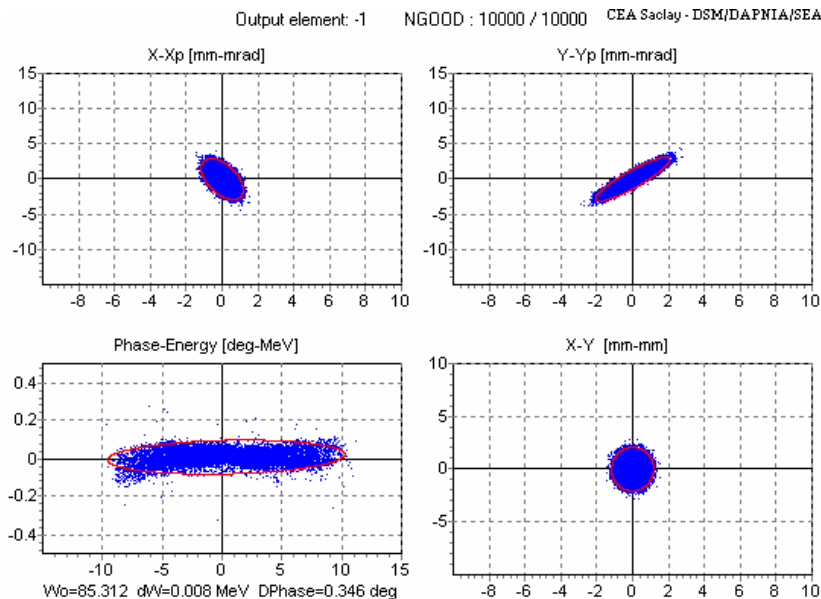
Mismatched Beam

Mismatching :

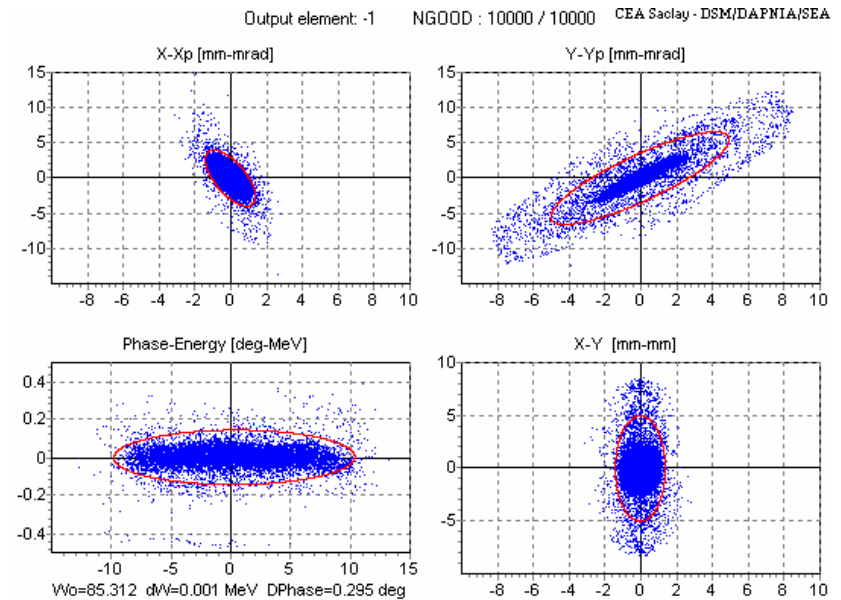
Emittance growth & Halo formation through :

- non linear forces (external or space-charge),
- resonance of some particle motion with core oscillation (space-charge).

Ex: 100 mA proton beam through a 5-85 MeV DTL



Matched beam



Mismatched beam

Non-linear forces

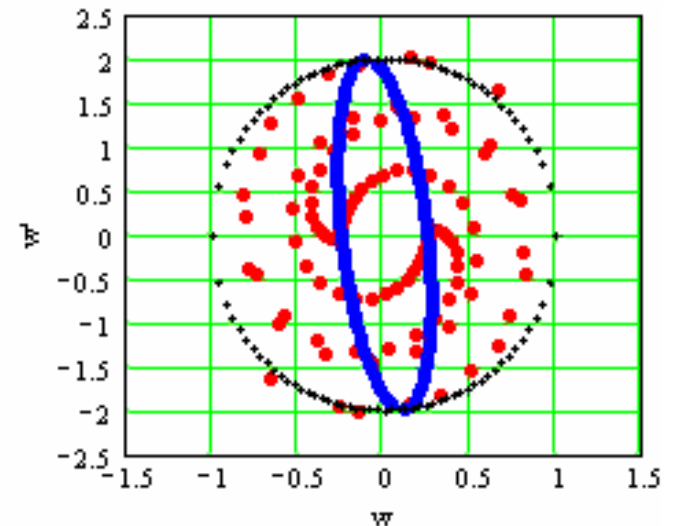
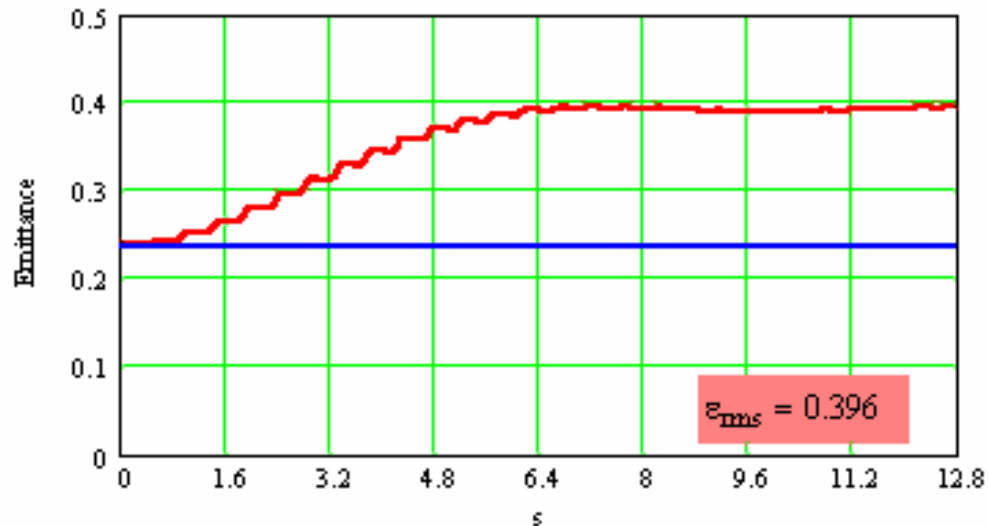
When the confinement force is non linear (multipole, longitudinal, space-charge), the particle phase advance depends on the oscillation amplitude A :

$$\sigma = \sigma(A)$$

$$\frac{d^2 w}{ds^2} + k_w(s, w) \cdot w = 0$$

This phenomenon is known as the tune spread.

Particle do not rotate at the same speed in the phase-space : possible filamentation



— Linear force — Non linear force

Summary

- Linacs are competitive for low energy, high current, high duty cycle beams or very very high energy light-particles (e^+e^-) colliders.
- Acceleration and longitudinal confinement is done with RF resonant cavities, transverse confinement with quadrupoles (except at very low energy).
- Cavities are pieces of metal (Cu or Nb) whose shape is optimised to accelerate the particles at the RF frequency with the higher efficiency (ZT^2 as high as possible) and the lower cost.
- RF phases in cavities are adjusted with respect to a synchronous particle to accelerate the beam and keep it bunched (synchronous phase choice).
- There exists a matched beam at input of each periodic structure
- Forces are linearised to calculate the beam matching to the structure.
- non linear forces have to be taken into account to quantified the effect of the errors on the emittance growth (loss of luminosity or halo formation).