



Small Synchrotrons

Michael Benedikt

CERN, AB-Department



Contents

- **Introduction**
 - Synchrotron - linac - cyclotron
 - Main elements of the synchrotron
- **Accelerator physics and technical aspects of small synchrotrons**
 - Bending - dipoles - orbit
 - Focusing - quadrupoles - betatron oscillations
 - Betatron tune - resonances
 - Off-momentum particles - dispersion - chromaticity
 - Phase space, emittance, adiabatic damping, space charge
- **Example**
 - Synchrotrons for hadron therapy
 - The MedAustron project



Development of synchrotrons

- Historically, the development of accelerators was always driven by the demands of physics research for higher beam energies.
- Last type of machines developed were synchrotrons (~1950ies):
 - To overcome synchronisation problems (relativistic particles and RF) and technological limitations (huge dipole magnets) of the cyclotron.
 - To use the accelerating structure more efficiently than in a Linac consequences of single use of RF as in a linac (efficiency, size, etc.).
- A synchrotron is a circular accelerator:
 - Design orbit is fixed at a given radius independent of the beam energy ($\rho = \text{constant}$) in contrast to the cyclotron.
 - Beam is accelerated during many revolutions passing through the same accelerating structure (cavity) in contrast to the linac.
 - Accelerating RF is synchronised with particle revolution frequency
"SYNCHROTRON".



"Large" and "small" synchrotrons

- **Most of the HE physics research accelerators are synchrotrons.**
 - The largest machine is the LHC collider, start operation in 2007.
 - 27 km circumference and 7 + 7 TeV proton beam energy.

- **3 main groups of "small" synchrotrons (circumference ~100 m):**

Application	Particles	Energy	Machines
Synchrotron light sources	e- or e+	few GeV	~ 50
Neutron spallation sources	p	~ GeV	~ 5
Hadrontherapy synchrotrons	p and C, O	250MeV / 450MeV/n	~ 10

- **Presently increasing interest in synchrotrons for hadrontherapy (tumour irradiation with protons or light ions).**
 - Discuss technical aspects of "small" proton and ion synchrotrons.
 - Show the MedAustron synchrotron as an example.



"Limitations" for p/ion linacs

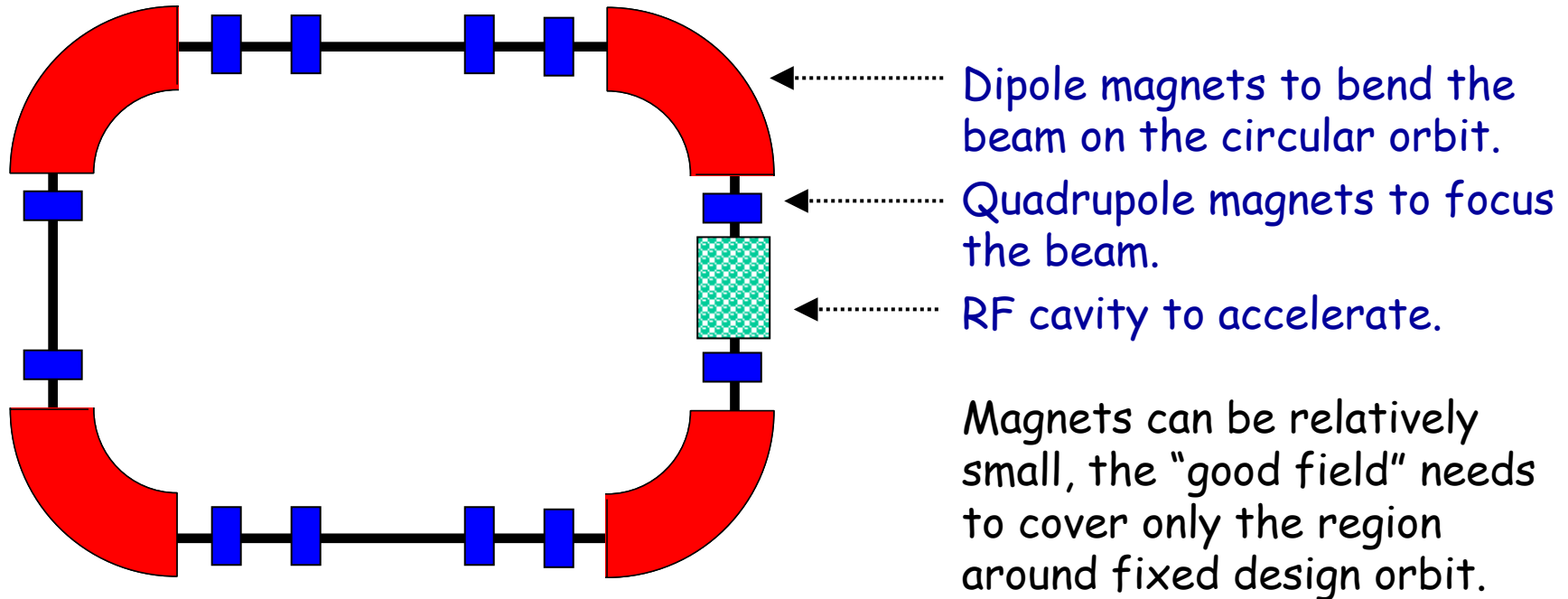
- Accelerating structures and RF power sources are expensive and used only during a single beam passage.
- For higher energies the Linacs will become long
 - Typical gradient of \sim MV/m (includes space for focusing, etc.).
 - 200 MeV protons with average gradient \sim 2 MeV/m = 100 m.
- Beam energy cannot be changed.
 - Fixed relation: structure geometry - rf frequency - particle velocity.
- Particles with different Z/A are difficult to accelerate.
 - Design structure (RF power) for worst (smallest) Z/A .
- Obviously the beam can't be stored in the machine.
- Linacs can provide a quasi dc-beam when designed for that.



"Limitations" for cyclotrons

- For higher energies the magnets become unfeasibly large.
 - Build superconducting cyclotrons.
- For relativistic particles the synchronous condition is lost.
 - Build synchro-cyclotrons.
- Even with improvements clearly limited in energy ($p < 1 \text{ GeV}$).
- Beam energy is fixed or difficult to change.
- Obviously the beam can't be stored in the machine!
- Cyclotrons provide a quasi dc-beam.

Main components of a synchrotron

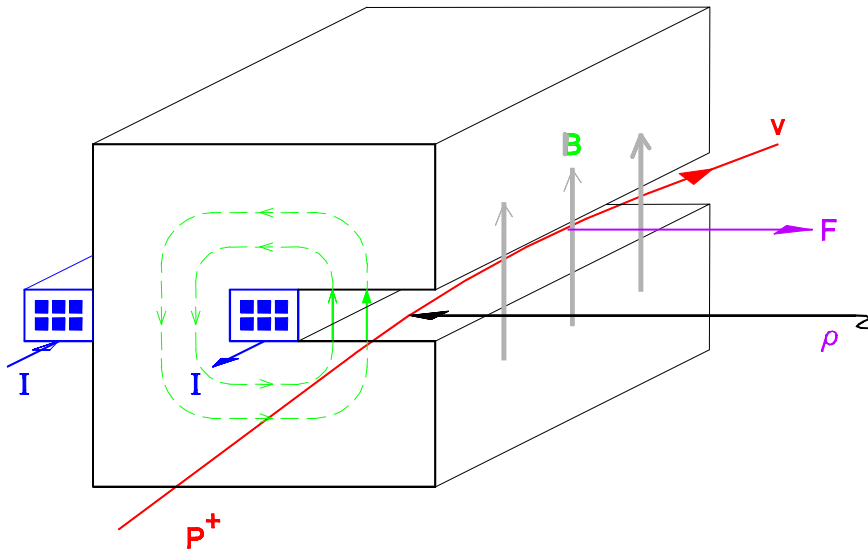


- In contrast to Linac and cyclotron the beam can be stored for longer period in the synchrotron.
- Particles with different Z/A can be accelerated, the extraction energy can easily be changed.



Keep particles on orbit: Dipole

- A dipole produces a homogeneous (vertical) magnetic field B .
 - Equilibrium between Lorentz force and centripetal force to bend the beam on the design orbit.



$$F_{\text{radial direction}}: evB = mv^2/\rho$$

$$B\rho = mv/e = m_0c\beta\gamma/e = p/e$$

Magnetic rigidity

\propto

Relativistic momentum

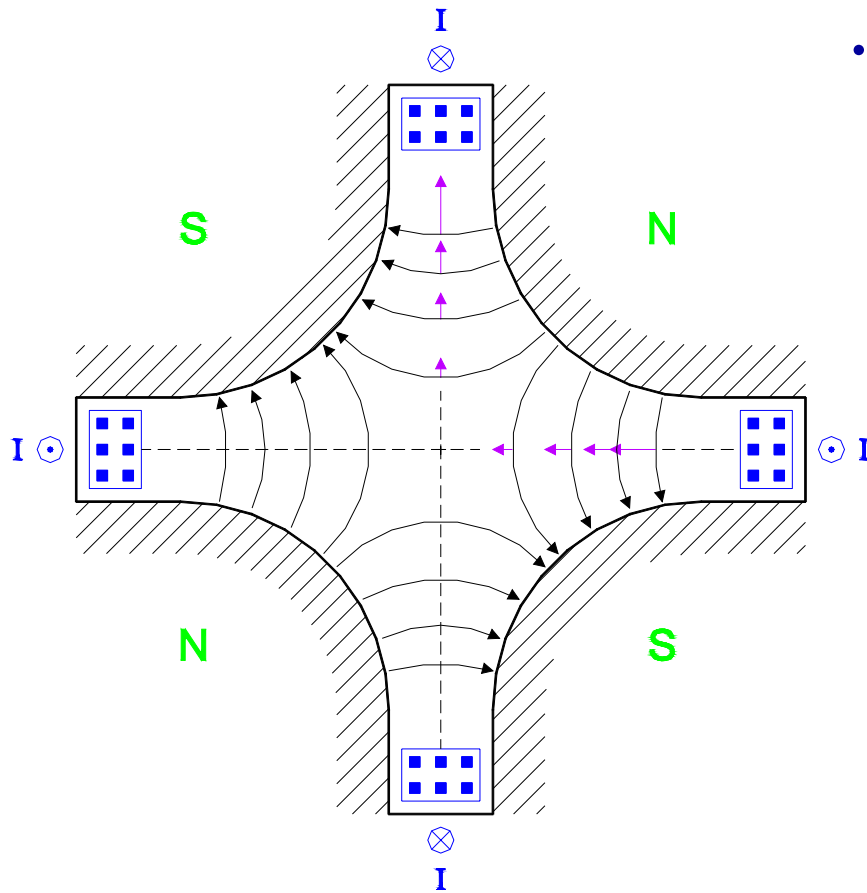
- Difference between ions (Z, A) and protons:
 - For protons (charge e , mass m_0): $B\rho = m_0c\beta\gamma/e$
 - For ions (charge Ze , mass Am_0): $B\rho = (A/Z) \cdot m_0c\beta\gamma/e$
 - For identical momentum/nucleon, the ion beam is stiffer and more difficult to bend.



Keep particles on orbit: Dipole

- During acceleration the momentum increases and with $B\rho = p/e$ the magnetic field B has to be increased accordingly to keep the particles on orbit (ρ does NOT change for the synchrotron).
 - In contrast to the cyclotron where B is constant and ρ increases.
- As a consequence the synchrotron CANNOT provide a dc-beam, the beam time structure reflects the magnet ramping (\sim Hz).
- Dipoles define the “design” orbit of the synchrotron and an “ideal” particle would circulate there forever but...
 - The beam consists of many particles and all have slight deviations from the design orbit (injection errors, energy errors, etc.).
 - The dipole magnets have field imperfection and are misaligned.
 - Gravitation also acts on the beam, etc...
- Therefore we need focusing to stabilise the beam and keep it for long time in the machine.

Focusing particles: Quadrupoles



- Quadrupole produces a constant gradient $g = -dB_z/dx$.
 - Focusing forces increase linearly with displacement (Lorentz force):

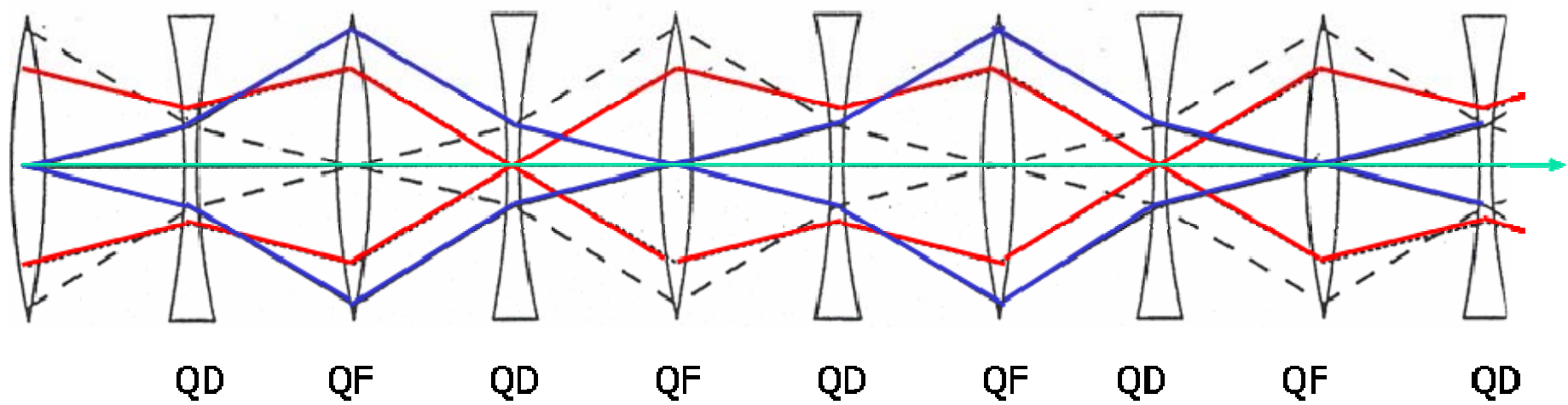
$$F_x = -gx \cdot ev \text{ and } F_z = gz \cdot ev$$

- Force is focusing in one plane while defocusing in the other.
- Important: no coupling of horizontal and vertical motions.
- Again the gradient has to increase like the momentum when accelerating

- Optical lenses are either focusing (concave) or defocusing (convex).
- Magnetic lenses focus in one plane but are defocusing in the orthogonal plane (from Maxwell's equations) - but that is not a problem...because

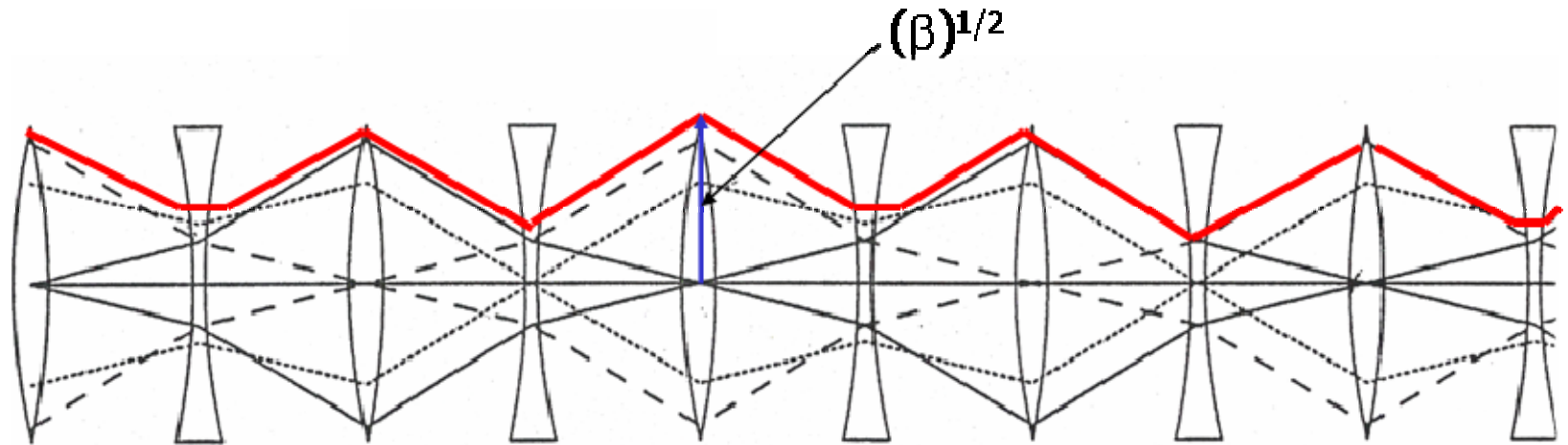
Alternate gradient focusing

- Alternating focusing and defocusing quadrupole magnets (in correct way) allows to obtain an overall focusing effect.
 - Intuitive view: beam size increases when passing a defocusing element. This gives a larger beam - and stronger forces - when passing the following focusing element.



- “Non-ideal” particles perform oscillations about the design orbit.

Describing the envelope - Beta Function

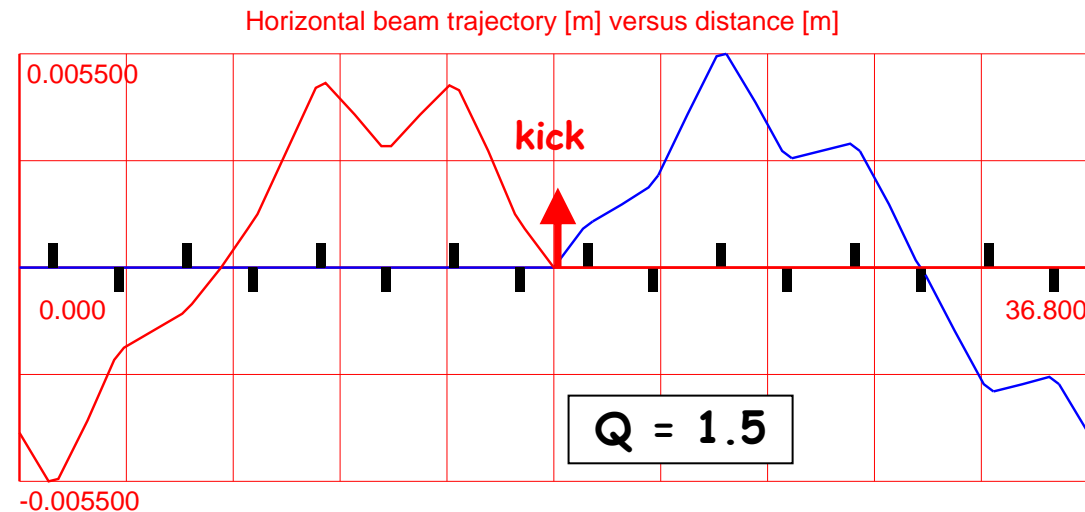
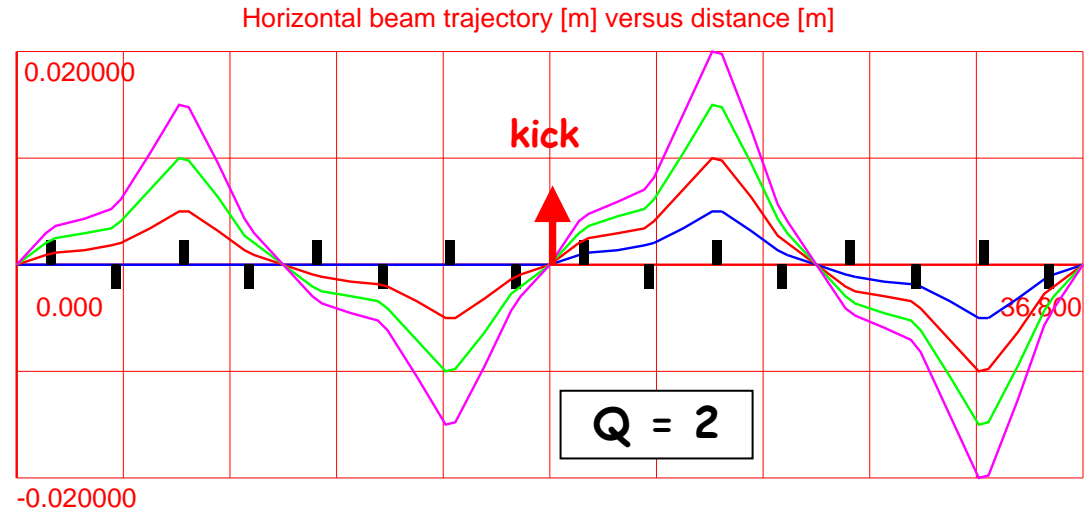


- When looking at all particle oscillations, the envelope function is proportional to sqrt of the **betatron amplitude function**:
 - The shape of the beta-function is determined by the lattice (sequence of quadrupoles and dipoles).
 - The oscillations of the beam particles are called "**betatron oscillations**".
 - The number of oscillations per revolution in the synchrotron is called the **betatron TUNE Q** (Q_x horizontal and Q_z vertical). The betatron tune is controlled with the quadrupole magnets.
- **Choice of tune and good control are essential for performance.**



Forbidden tune values - Resonances

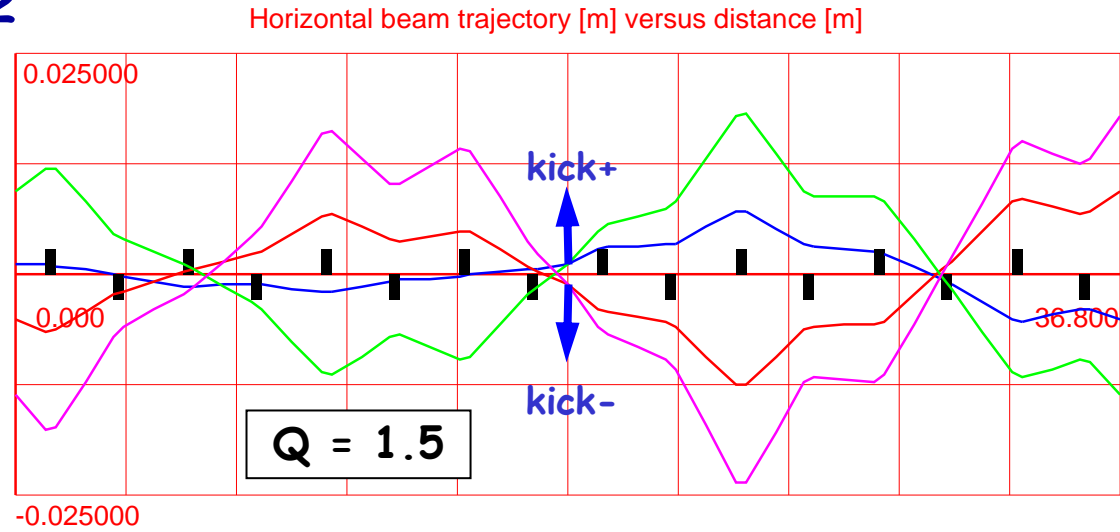
- Integer Tune $Q=N$
 - Dipole error
 - Kick has always same sign independent of position.
 - **FORBIDDEN**
 - Perturbation adds up
-
- 1/2 Integ. Tune $Q=N+1/2$
 - OK for dipole error
 - Perturbation cancels after each turn





Forbidden tune values - Resonances

- 1/2 Integ. Tune $Q=N+1/2$
- Quadrupole error
 - Kick depends on position and changes sign.
- **FORBIDDEN**
- Oscillation amplitude is steadily increasing.



- Similar problems for 1/3-integer tune, etc...
- All low order resonances are dangerous and have to be avoided.

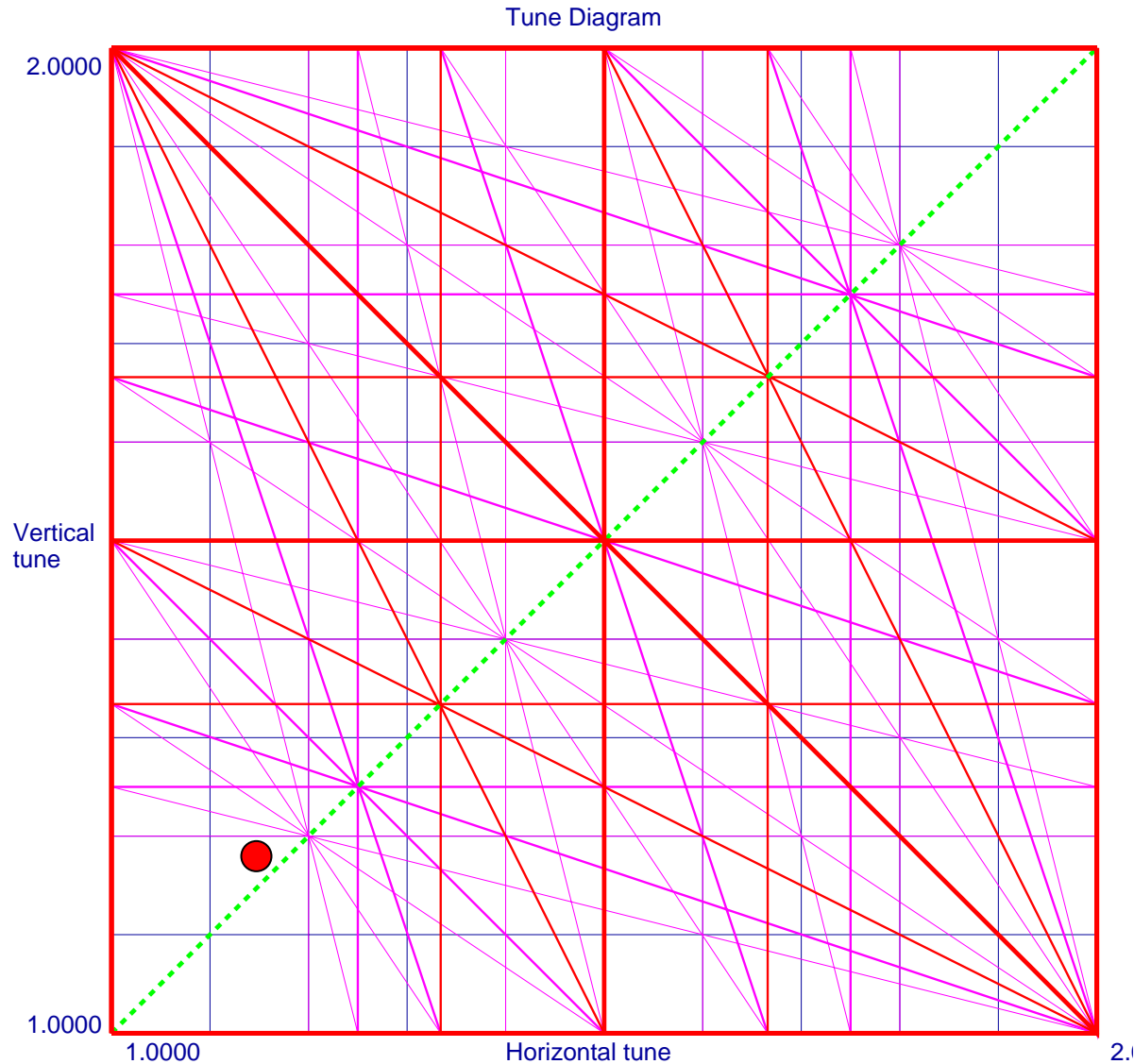
$$NQ_x + MQ_z = P \quad \text{with small } N \text{ and } M$$

- The synchrotron working point (Q_x, Q_z) has to be carefully chosen.



Working point and tune diagram

- **Tune diagram**
 - Q_z over Q_x
 - Resonances up to fifth order ($N+M = P = 5$).
- **Choose working point (Q_x, Q_z) not too close to resonances.**
- **Example lower tunes close to diagonal e.g. 1.15/1.18**

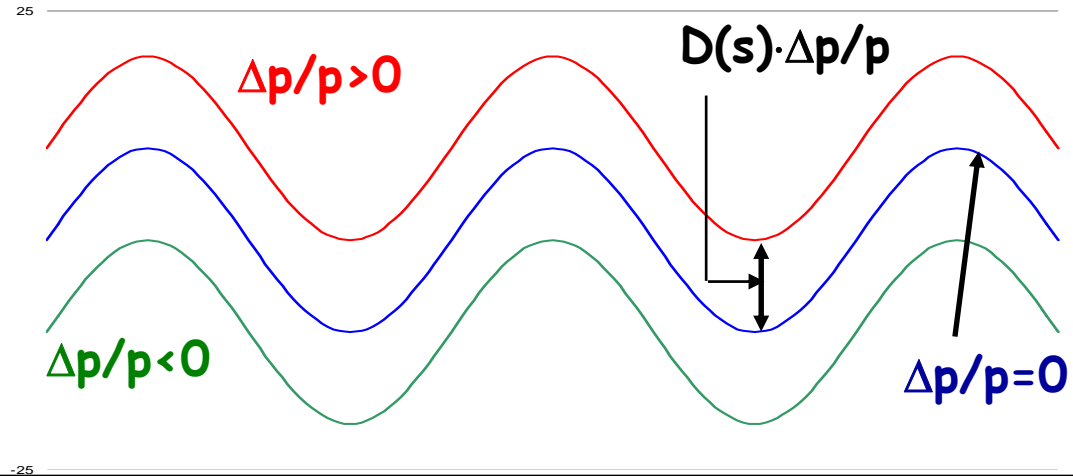




Off momentum particles ($\Delta p/p \neq 0$)

- **Effect from dipoles:**
 - For $\Delta p/p > 0$, the beam is stiffer (higher rigidity) and particles are **less** bent in the dipoles \rightarrow **should spiral outwards?**
 - For $\Delta p/p < 0$, the beam is less rigid and particles are **more** bent in the dipoles \rightarrow **should spiral inwards?**
- **NO**, due to the restoring force of quadrupoles there is an equilibrium **"Dispersion" orbit for off-momentum particles.**
- The dispersion function $D(s)$ defines central orbit for off-momentum particles.

$$CO(\Delta p/p) = D(s) \cdot \Delta p/p$$

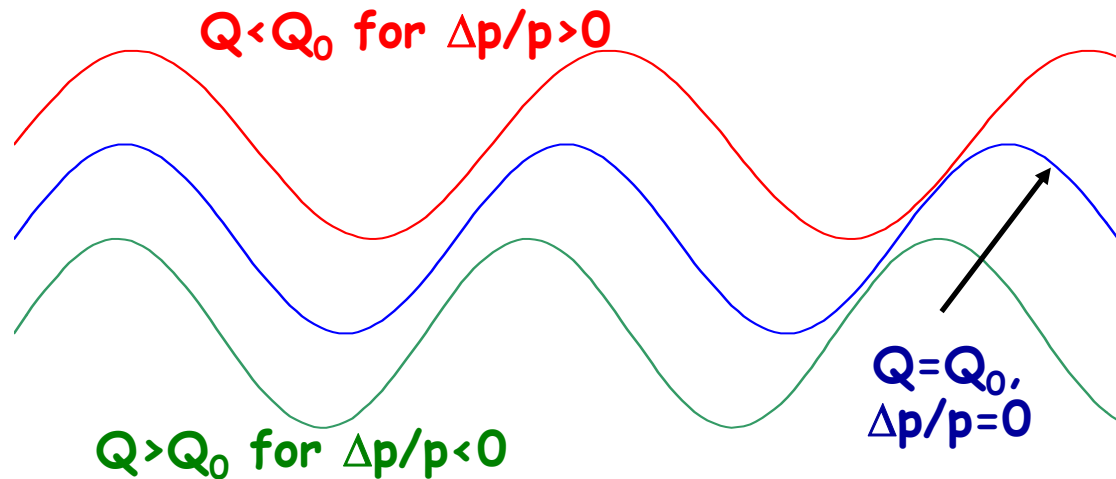




Off momentum particles ($\Delta p/p \neq 0$)

- **Effect from quadrupoles:**
 - For $\Delta p/p > 0$, the beam is stiffer (higher rigidity) and particles are **less** focused by the quadrupoles \rightarrow they will have a lower tune Q .
 - For $\Delta p/p < 0$, the beam is less rigid and particles are **more** focused by the quadrupoles \rightarrow they will have a higher tune Q .
- Particles with different momenta will have different tunes.
- We need to foresee the required "space" in the tune diagram.

$$Q = f(\Delta p/p)$$

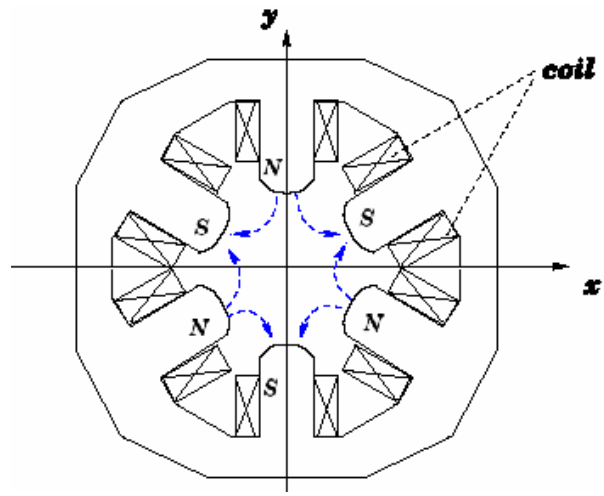


Chromaticity Q'

- Dependency of the betatron tune on momentum is called **chromaticity**:

$$Q' = \Delta Q / (\Delta p / p)$$

- Chromaticity is fundamental for the stability of the machine:
 - For **non-relativistic particles** (i.e. small proton/ion synchrotrons) the chromaticity must be **negative $Q' < 0$** .
 - For **relativistic particles** (i.e. electron synchrotrons or high energy proton/ion synchrotrons) the chromaticity must be **positive $Q' > 0$** .



- The natural chromaticity (only dipoles and quadrupoles) is usually negative.
- Chromaticity can be controlled and adjusted with sextupole magnets ($\Delta x' \propto x^2$) that are positioned in dispersion regions.

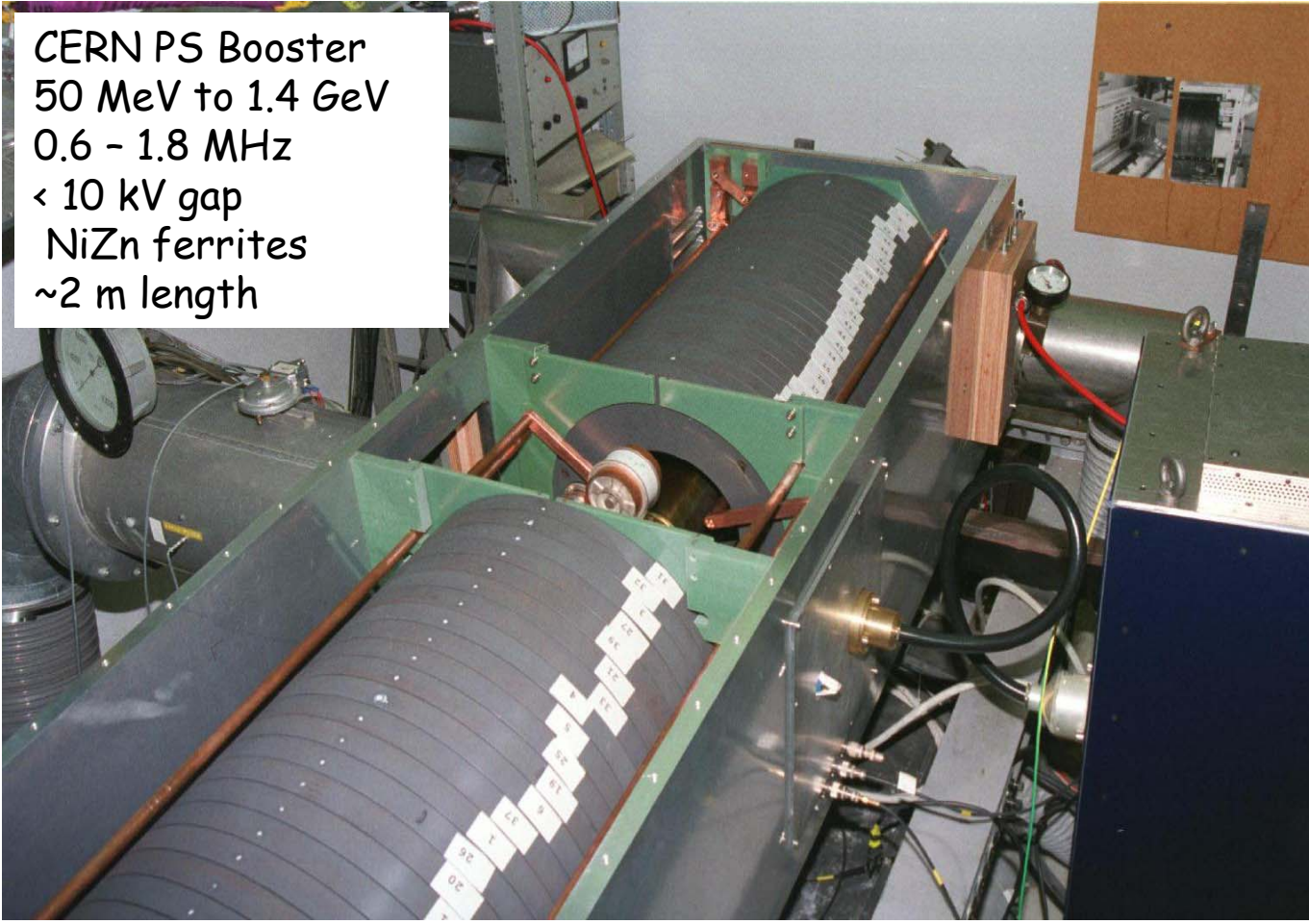


Acceleration - RF systems

- The beam can be accelerated over many turns (medical machine typically 10^6) so the rf voltage can be relatively moderate.
- In small proton and ion synchrotrons the beams are not fully relativistic, therefore velocity and revolution frequency will change significantly during acceleration.
- **Typical rf system parameters:**
 - Accelerating voltages 1 - 10 kV.
 - Frequency range ~MHz.
 - Frequency swing up to factor 5 (to follow beam velocity).
 - Ferrite loaded RF cavities (tuneable).

Acceleration - RF systems

CERN PS Booster
50 MeV to 1.4 GeV
0.6 - 1.8 MHz
< 10 kV gap
NiZn ferrites
~2 m length

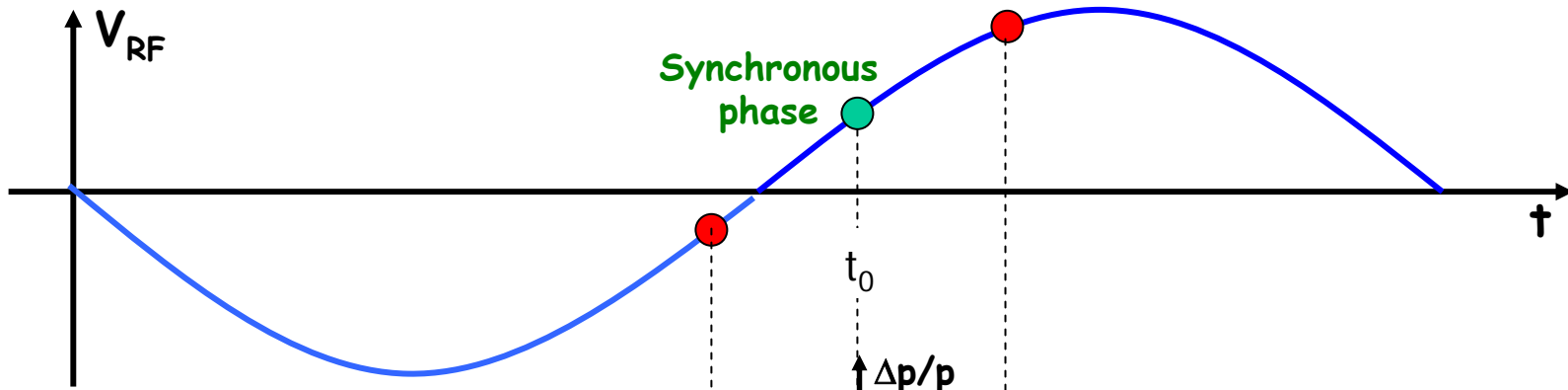


- LC - resonant circuit, change resonant frequency via inductance change by magnetising the ferrites.

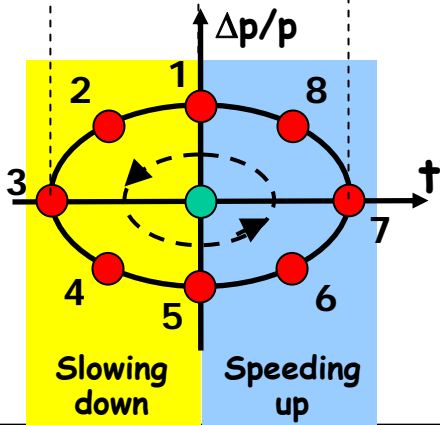


Longitudinal motion - synchrotron oscillations

- **Small proton/ion synchrotrons operate below transition**
 - Particle with **higher momentum is faster and has shorter revolution time**) (in contrast to electron machines and HE p).
 - The **ideal particle** should arrive always at the same RF phase at the cavity after each revolution (synchronous particle).

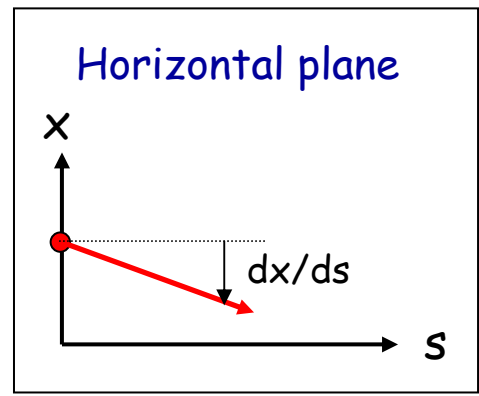
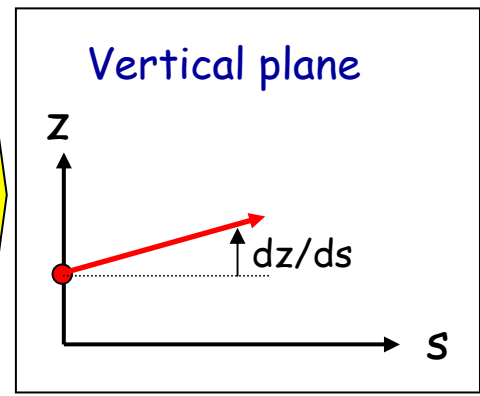
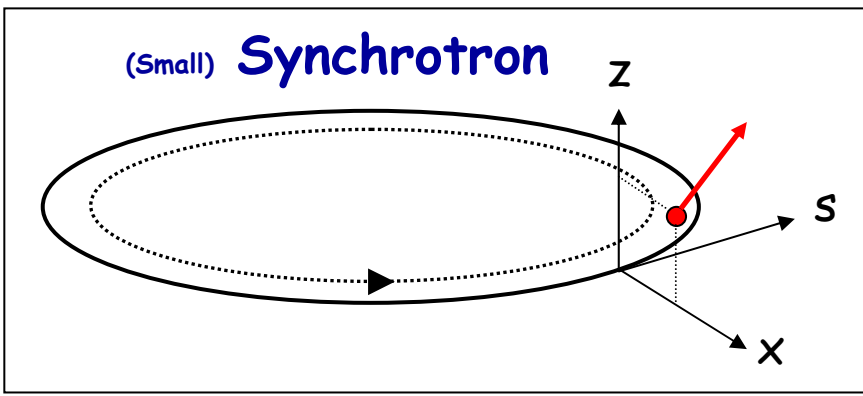


1. On time, $\Delta p > 0$, $V = V_0$, faster
2. Early, $\Delta p > 0$, $V < V_0$, faster
3. Early, $\Delta p = 0$, $V < V_0$, equal
4. Early, $\Delta p < 0$, $V < V_0$, slower
5. On time, $\Delta p < 0$, $V = V_0$, slower

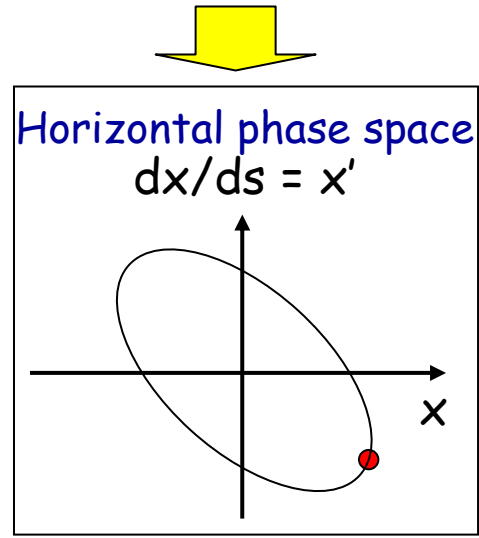
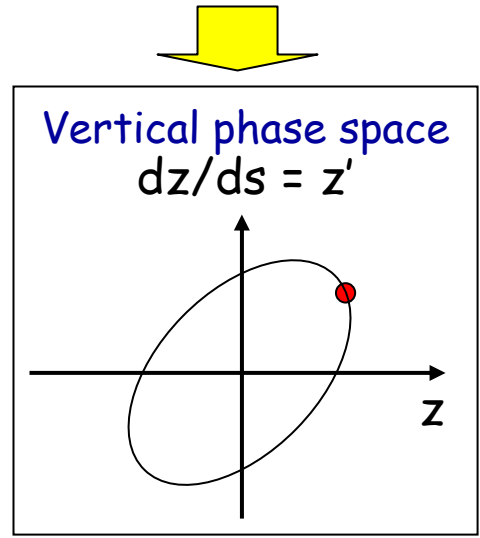


5. On time, $\Delta p < 0$, $V = V_0$, slower
6. Late, $\Delta p < 0$, $V > V_0$, slower
7. Late, $\Delta p = 0$, $V > V_0$, equal
8. Late, $\Delta p > 0$, $V > V_0$, faster
1. On time, $\Delta p > 0$, $V = V_0$, faster

Transverse phase space

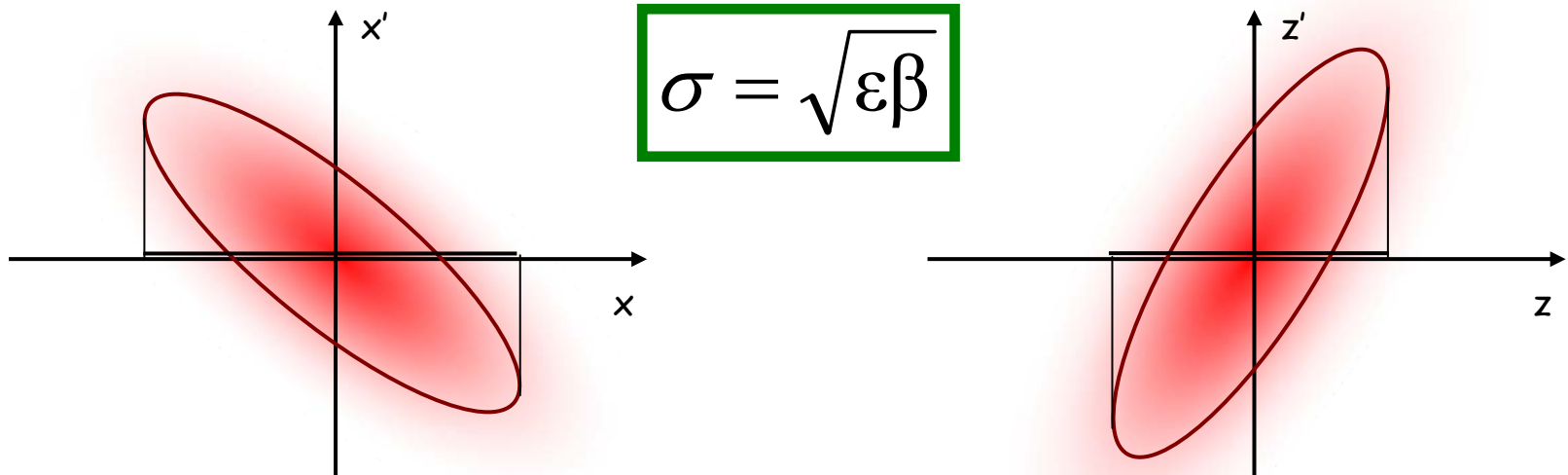


- **Description of single particles**
 - Start from projection onto horizontal and vertical planes.
 - Phase space coordinates (x,x') , (z,z') .
 - **Observing over many turns**
 - Describes an ellipse in phase space.
 - Imposed by boundary conditions of the circular machine.
- Observing at a different position s along the machine, the ellipse changes shape but it contains the same phase space area!



Transverse emittance

- The beam consists of many particles...
 - All particles describe similar ellipses in phase space.
- The **elliptical phase space area** containing (a certain amount of) the beam is the **transverse emittance, ϵ** .
 - The area is constant but the ellipse changes shape around the machine.
- Beam size is the projection of the ellipse on horizontal/vertical axis.

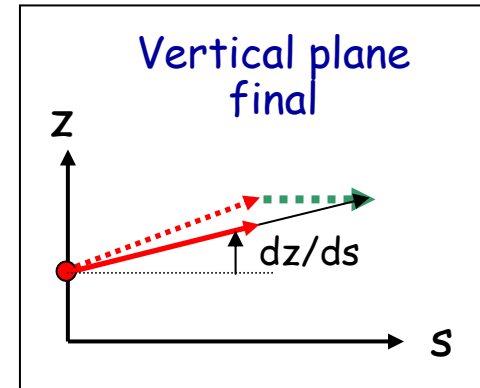
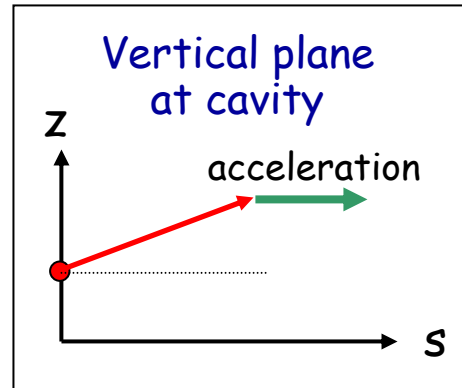
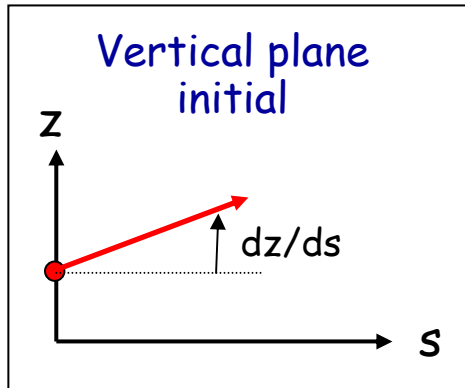


- Beta function: machine property (determined by magnet optics).
- Emittance: beam property.



Adiabatic damping of emittance

- **Acceleration adds longitudinal momentum** to the particles while leaving the transverse momentum unchanged (first order).
- As a result the **"angular spread" reduces** - and the **emittance decreases**.



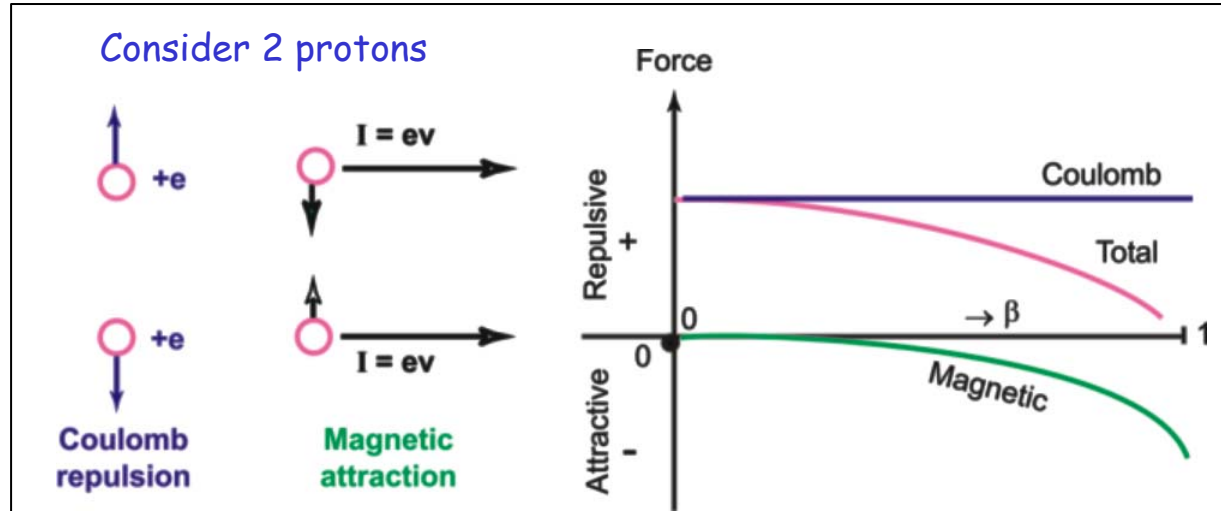
- This is **adiabatic damping**, inversely proportional to momentum increase.

$$p(\gamma) = m_0 c \cdot (\beta\gamma) \quad \Rightarrow \quad \varepsilon_{\text{geometrical}}(\gamma) = \frac{\varepsilon_{\text{normalized}}}{\beta\gamma}$$

- LHC beam emittance is defined at injection in the PS Booster (50 MeV). Emittance **shrinks by a factor 1500** until injection into LHC (450 GeV).

Space charge

- **Space charge effect:**
 - Electrical force, Coulomb interaction, repulsive.
 - Magnetic force of parallel currents, attractive.



- Overall force is repulsive but **decreases with energy.**
- Cancellation of forces for $v = c$

$$F_{\text{rad}} \propto \frac{1}{\beta\gamma^2}$$

- **Space charge effects are problematic at low energy.**
- **Space charge force has a defocusing effect on the beam.**



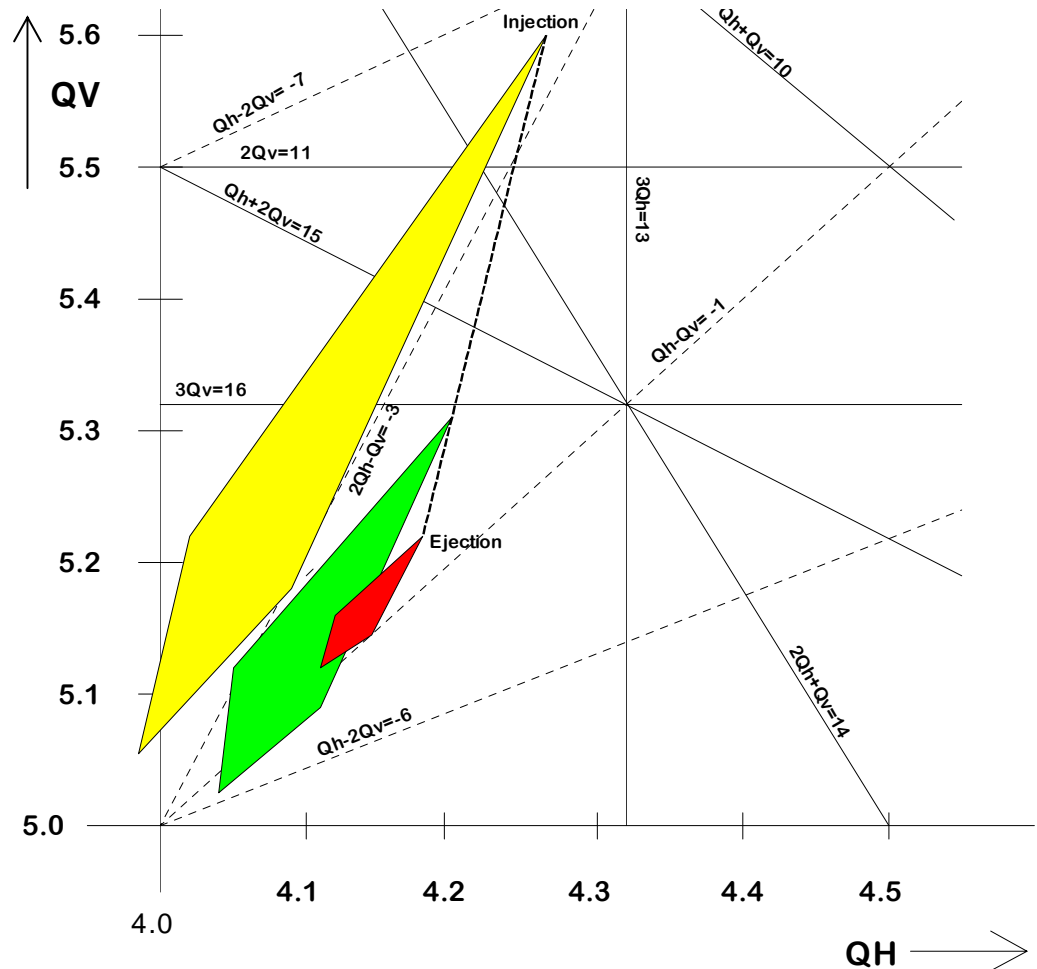
Space charge tune spread

- The defocusing effect of space charge reduces the tune and leads to a **tune spread ΔQ in the beam:**

$$\Delta Q \propto -\frac{N}{\epsilon_n} \cdot \frac{1}{\beta\gamma^2}$$

- Once ΔQ becomes too big there will be always particles fulfilling a resonance condition and these will be lost.
- THE major problem for high intensity at low energy.

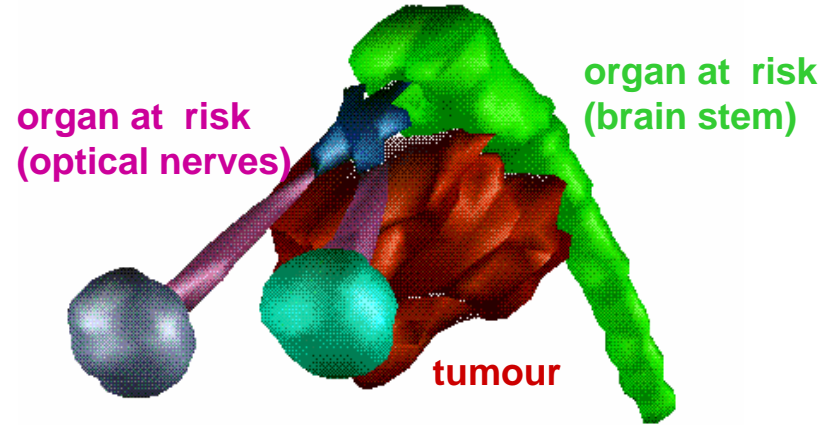
CERN PS Booster Synchrotron
Injection 50 MeV, Ejection 1400 MeV



Radio therapy and hadron therapy

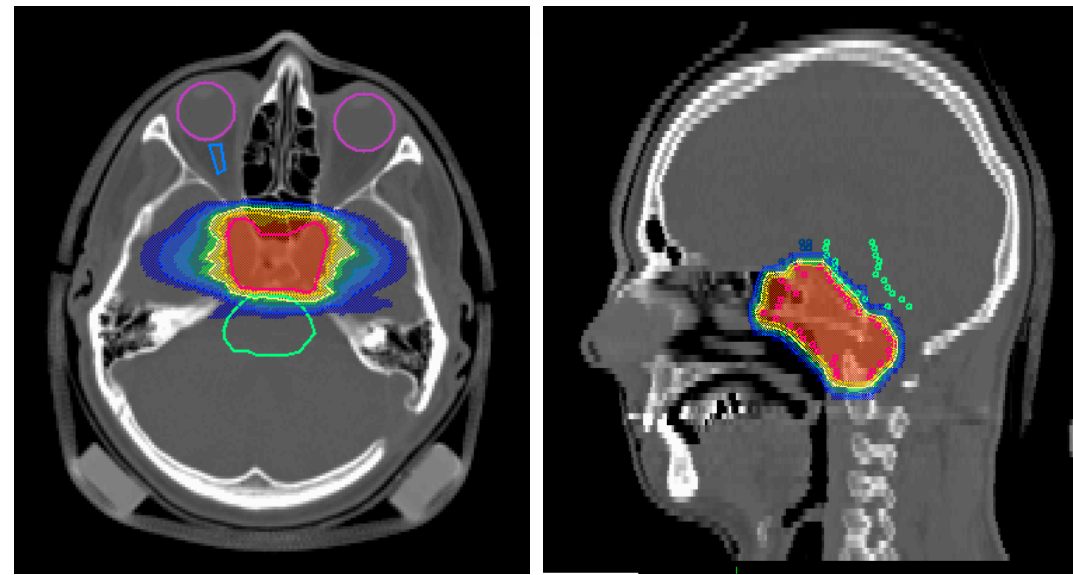
- **Goal**

- Deliver a high radiation dose to the target area to kill all tumour cells.
- Spare out healthy tissue and organs at risk.
- Tumour conformal dose distribution.



- **Radiation type**

- Hadron therapy: protons, light ions
- Conventional therapy: electrons, photons
- More exotic: neutrons, pions

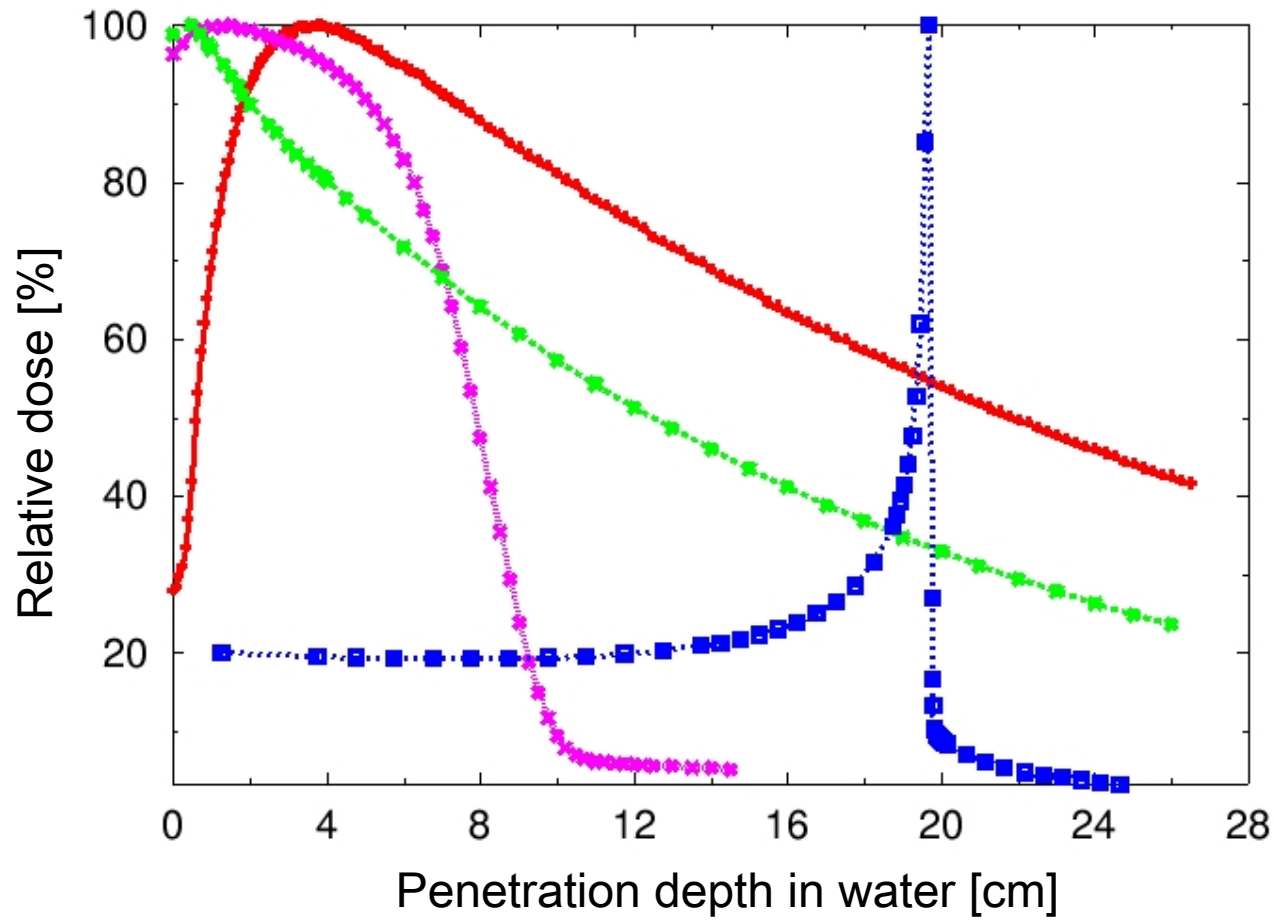


Courtesy GSI



"Bragg-Peak" behaviour of hadrons

Water phantom measurements (~tissue equivalent)

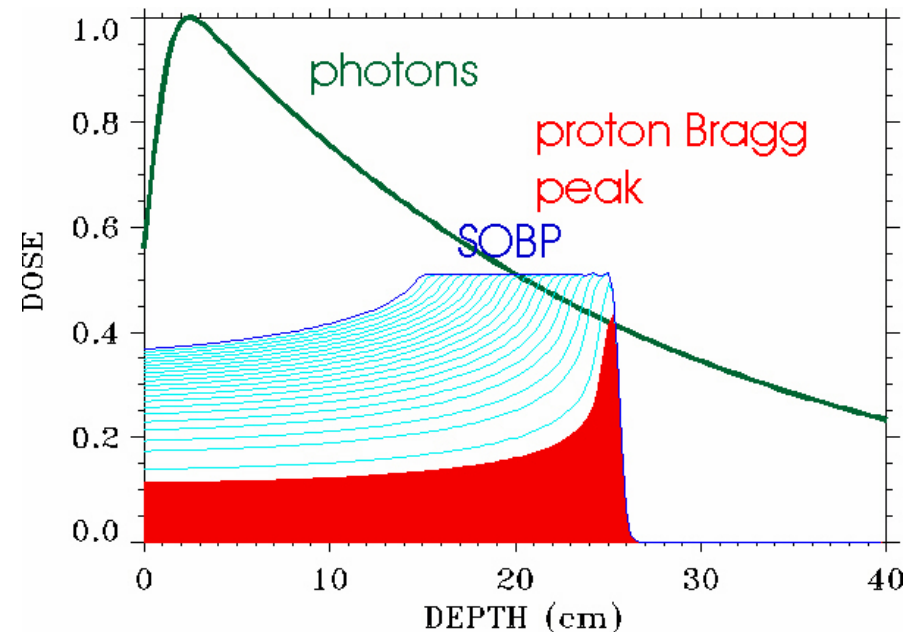
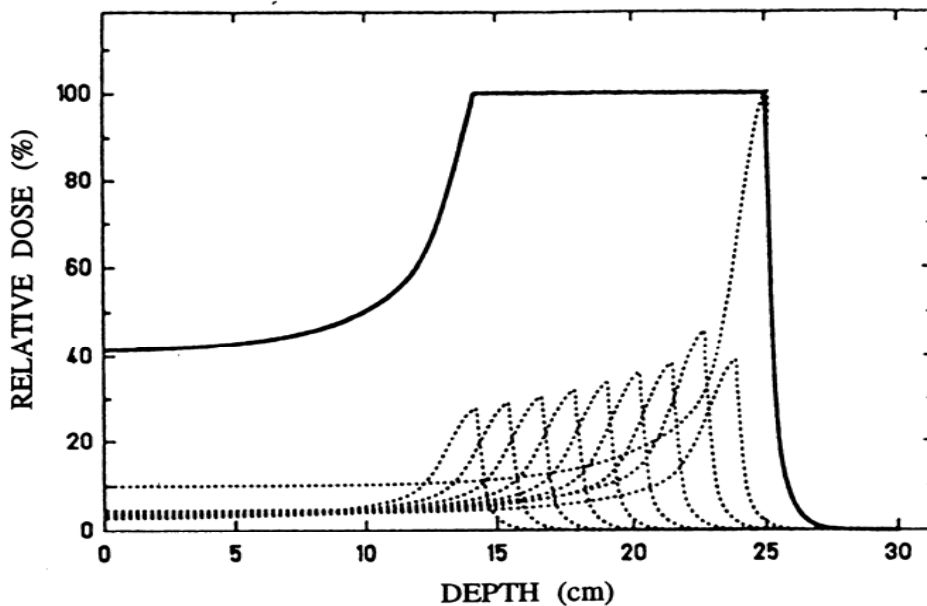


- Cobalt 60 (γ , ~1.2 MeV)
- Electrons 21 MeV
- Photons 25 MeV
- C-ions 330 MeV/u

Measurement data:
Photons and electrons:
University Clinics Vienna
C-ions:
GSI Darmstadt

"Spread out Bragg-Peak" (SOBP)

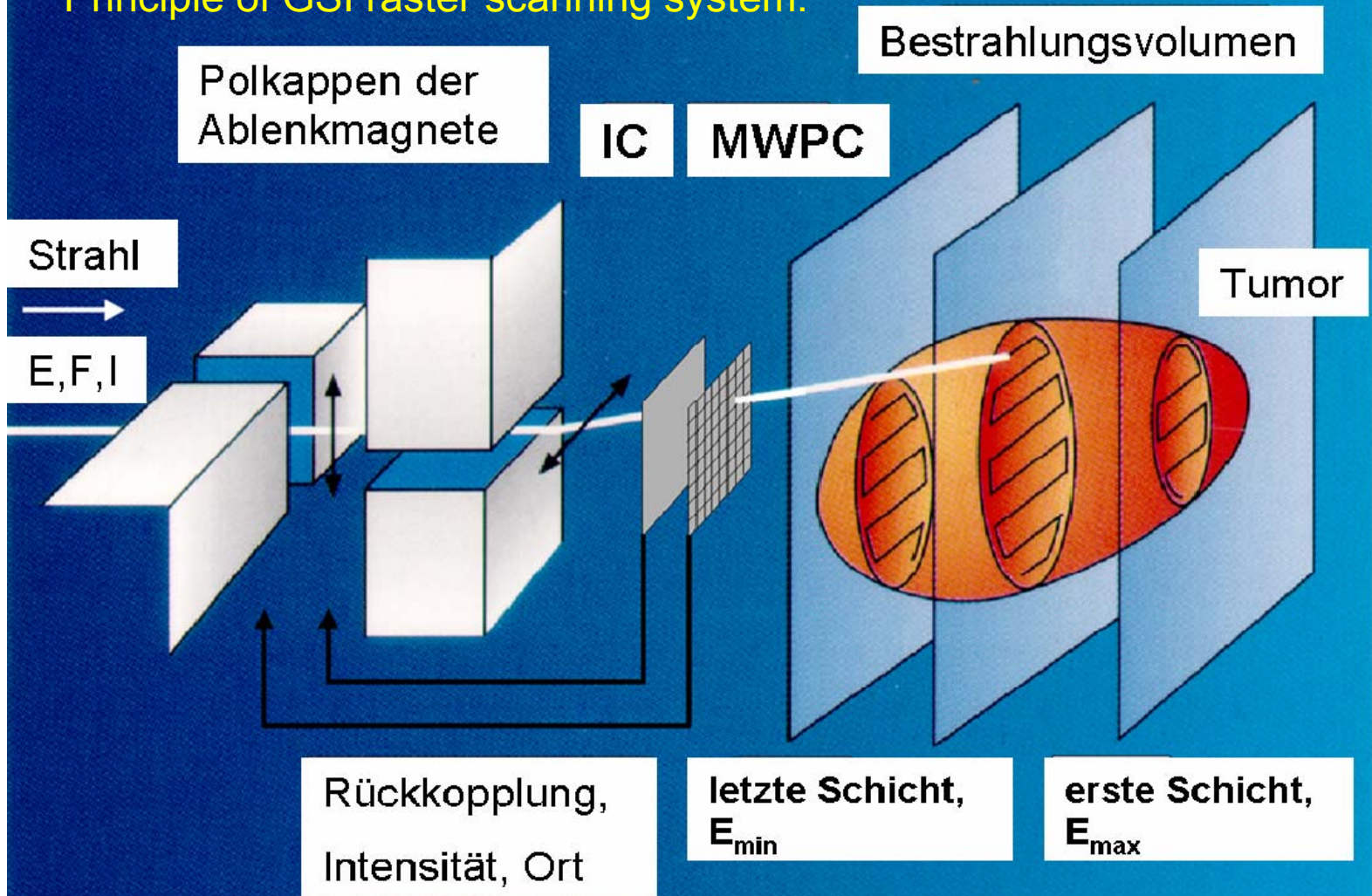
- Bragg peak has to be widened to cover tumour thickness
 - Overlapping of beams with **different energies**.
 - Active **energy variation from cycle to cycle with the synchrotron**.



- Beam must also cover transverse tumour cross section
 - Transverse scanning by fast magnetic scanning.

Active Beam Delivery

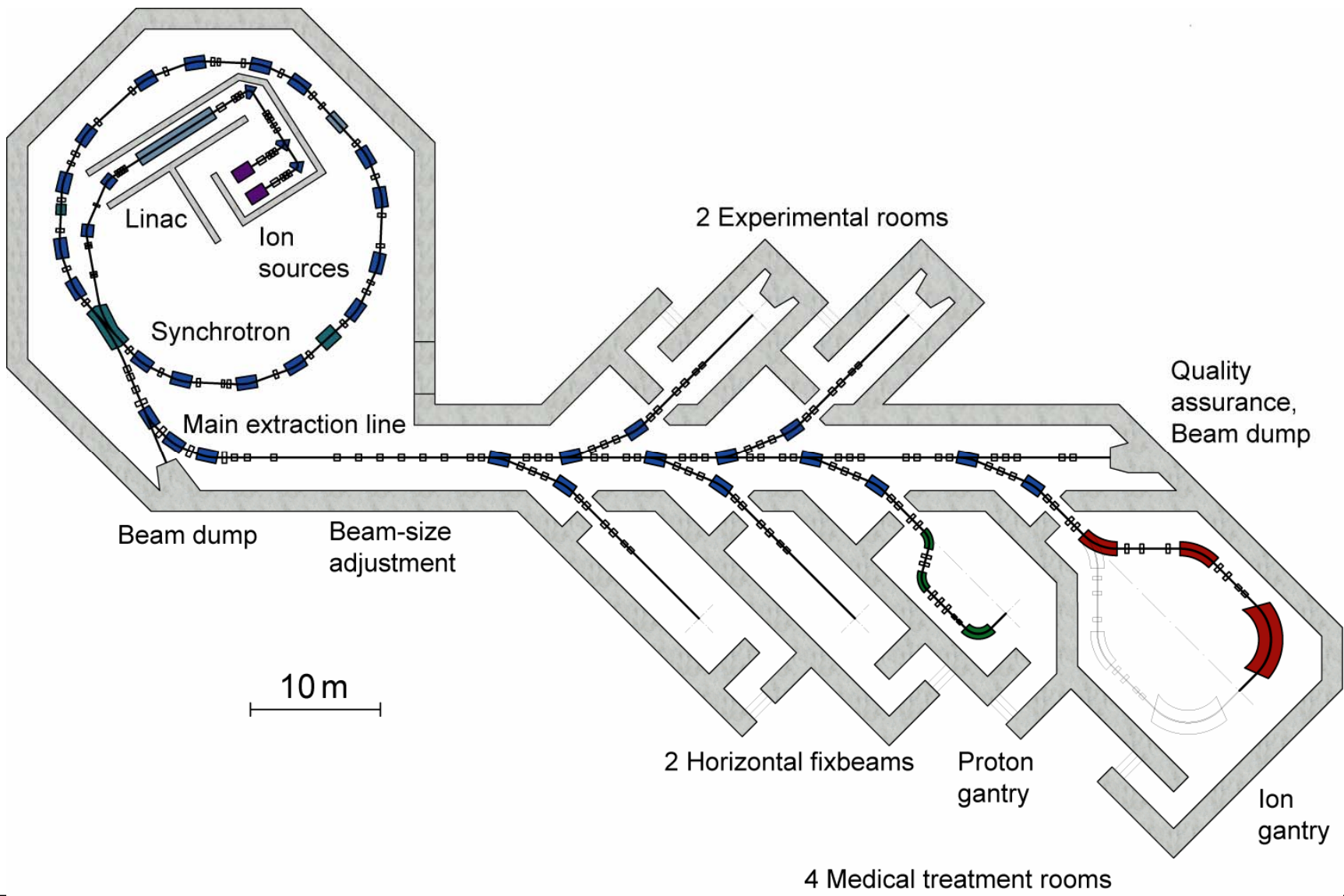
Principle of GSI raster scanning system.



Courtesy of GSI



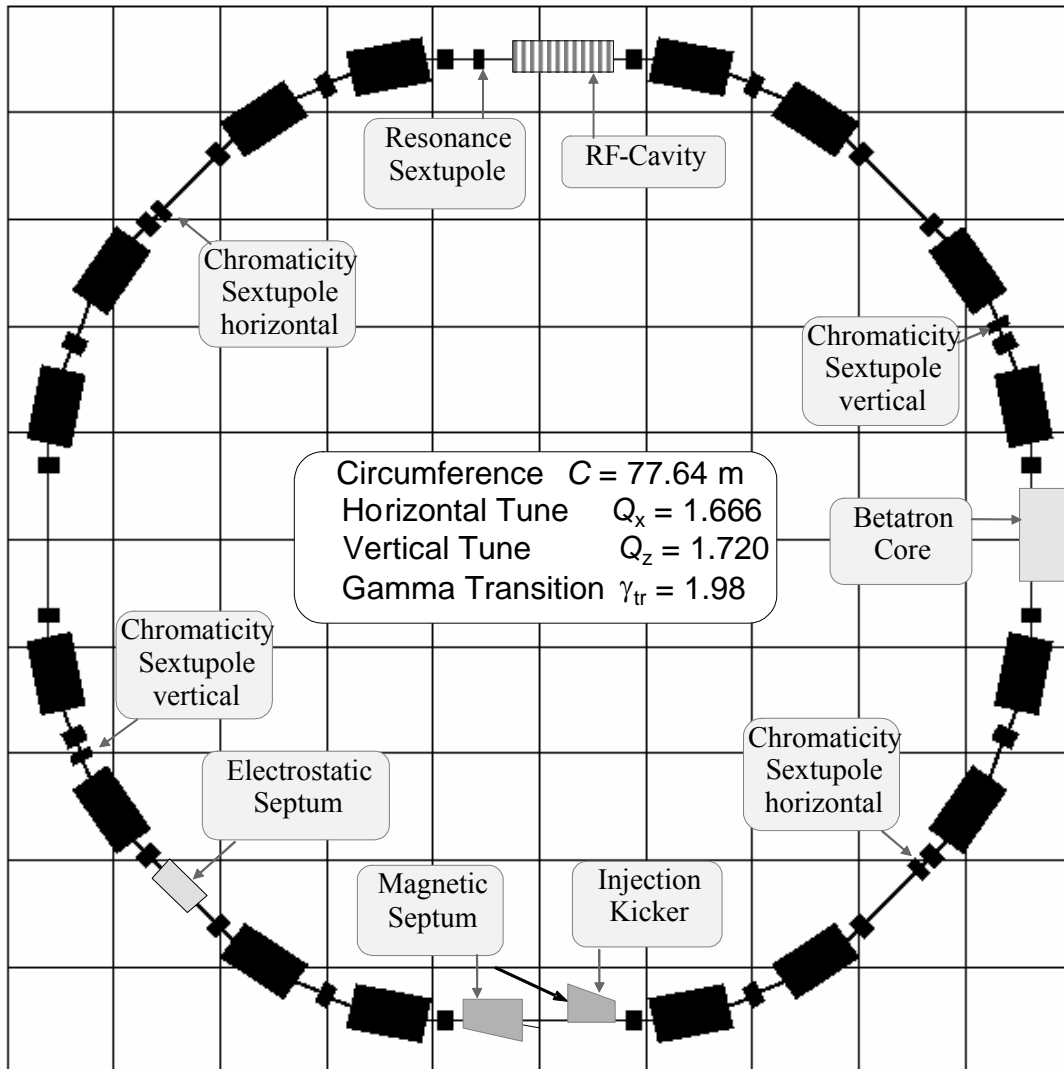
MedAustron Layout



Synchrotron lattice

Horizontal plan view

Drawn on a 2.50m square grid



- **CERN- PIMMS design, further optimized by TERA**

Injection energy: 7 MeV/n

Extraction energy range:

- Protons: 60 to 250 MeV
- C-ions: 120 to 400 MeV/n

Beam intensity:

- Protons: $\leq 1 \times 10^{10}$
- C-ions: $\leq 4 \times 10^8$

- Multi-turn injection (≤ 10 turns)

- Slow resonant extraction.

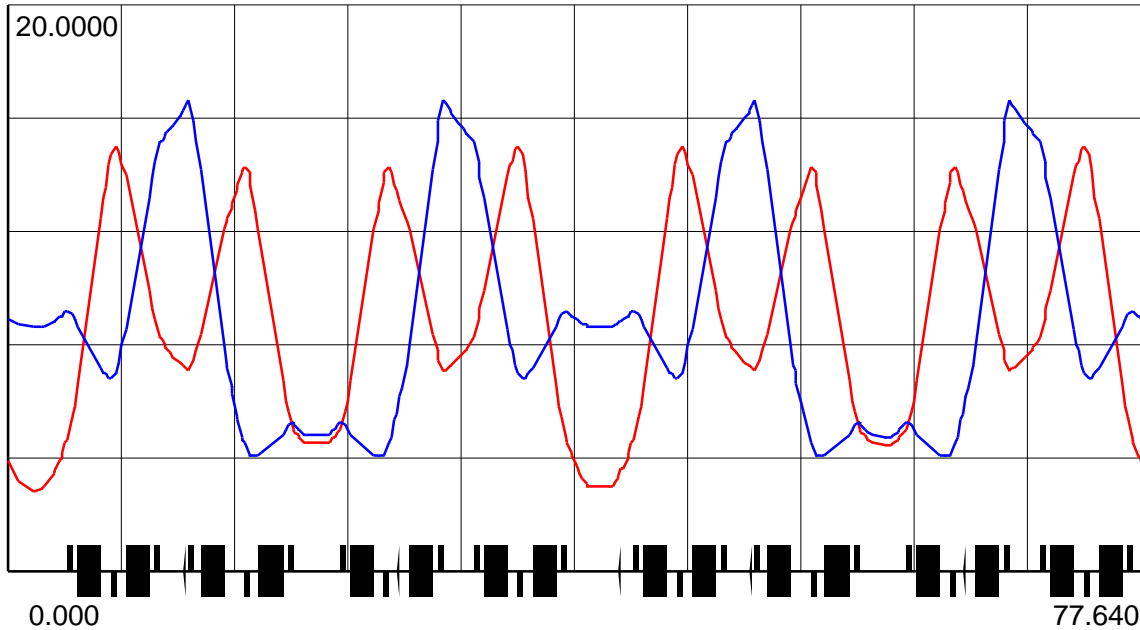
- Spill time ~ 1 s to 10 s

- "Orthogonal" control of resonance and chromaticity

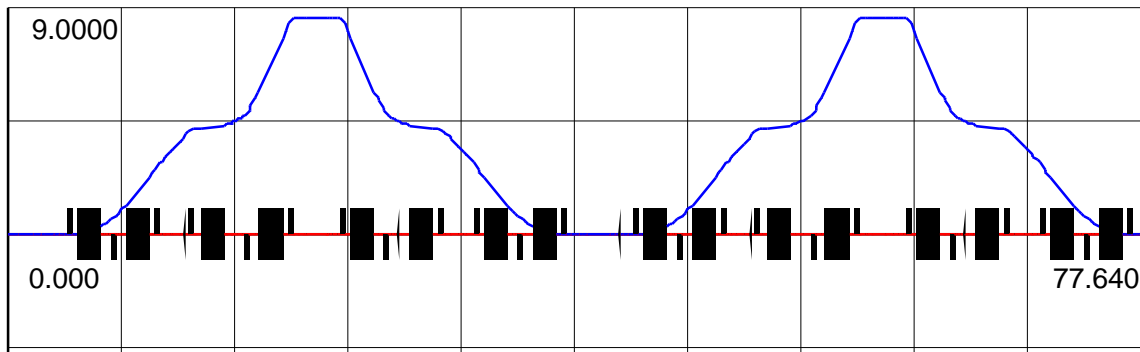


MedAustron lattice functions

Betatron amplitude functions [m] versus distance [m]



Dispersion functions [m] versus distance [m]



Horizontal ———

Vertical ———

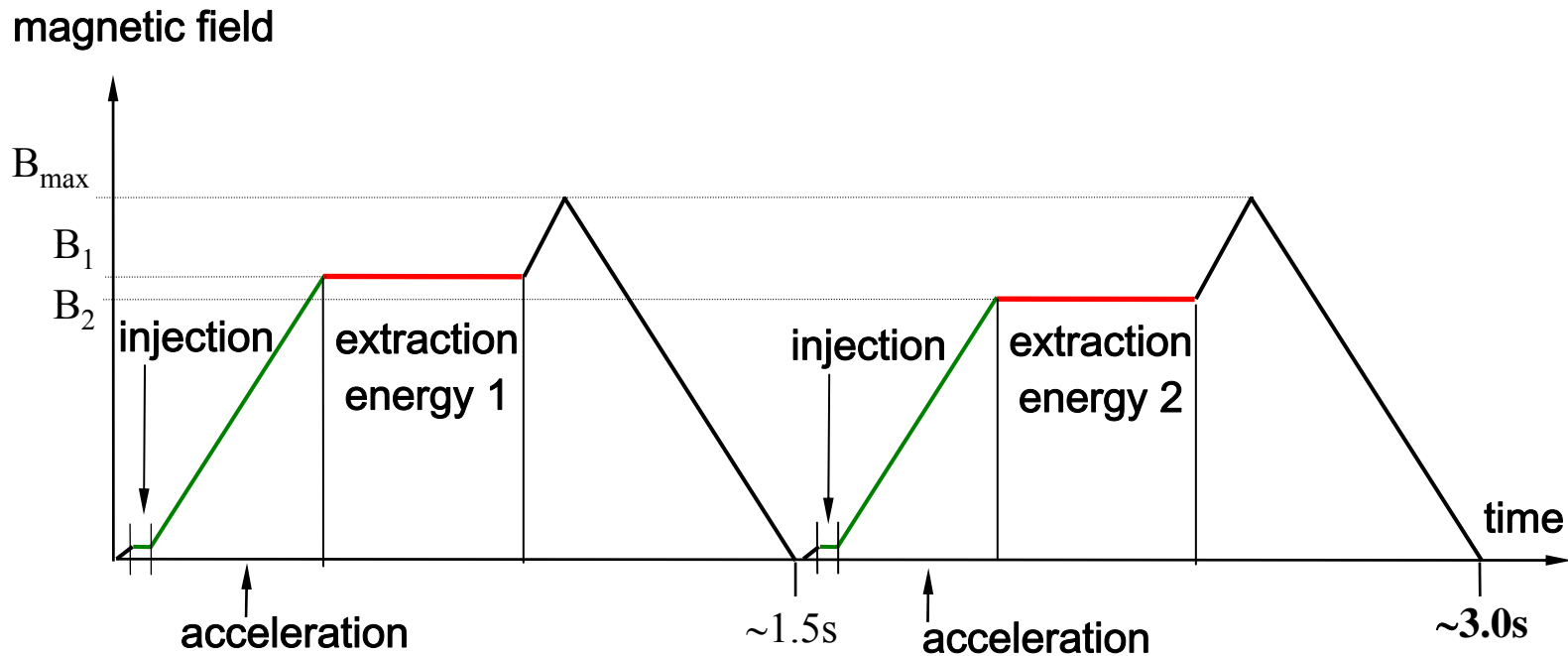
Lattice structure:

- Periodicity 2 with mirror symmetry in each period.
- Split FODO structure FODOF to give "constant" betas in drift spaces.
- Three quadrupole families (i.e. quadrupoles combined in 3 groups).
- No regular cell structure, typical for small machines customised design.



Synchrotron operation

- Change extraction energy from cycle to cycle to create actively a SOPB according to tumour thickness.
- Change intensity from cycle to cycle according to requirements of treatment plan.



- Flexibility of synchrotron fully exploited.