

**CAS / INTRODUCTION TO ACCELERATOR PHYSICS**  
*Zakopane, Poland, 1-13 October 2006*

# **FREE ELECTRON LASERS (FEL)**

AN INTRODUCTION

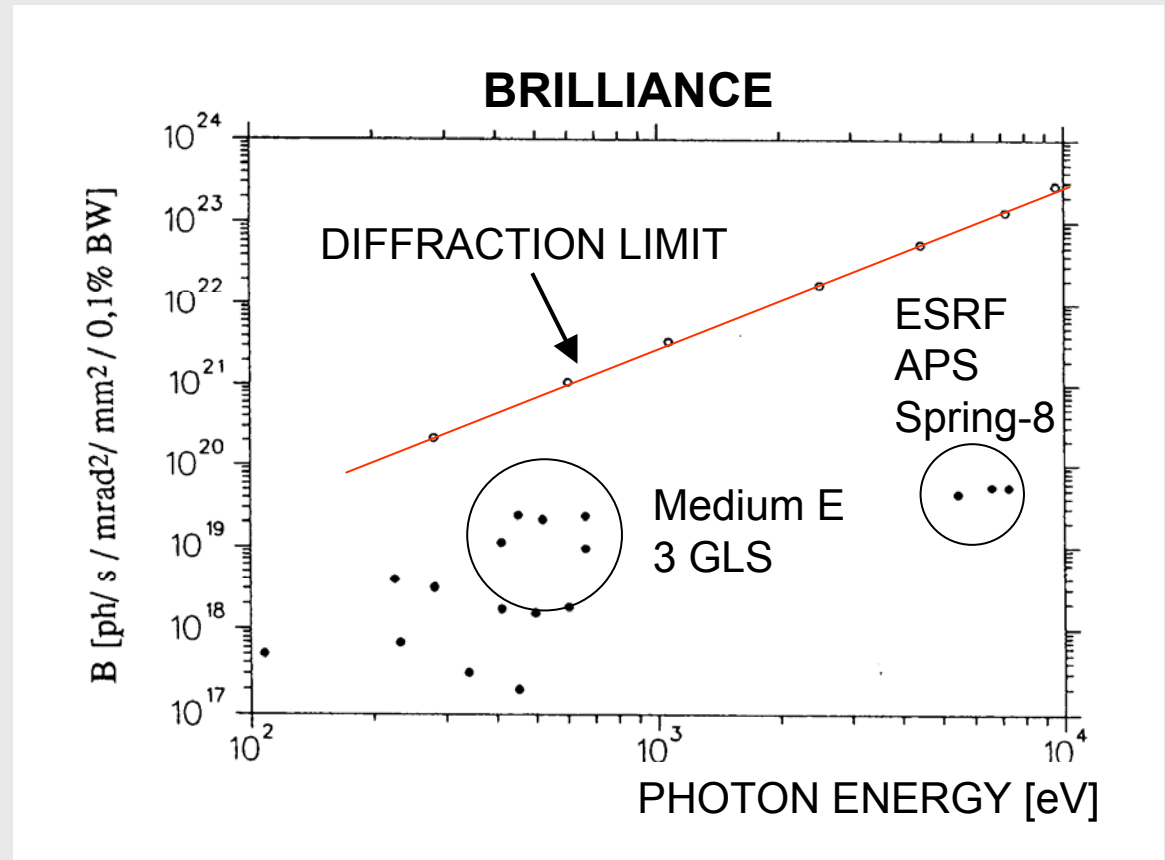
*Albin F. Wrulich*

- FEL = 4<sup>th</sup> Generation Light Source
- FEL Basics
  - Lasing conditions →
  - Electron beam characteristics →
  - Accelerator and experimental systems
- FEL – Concepts
- FEL – Extending the scientific endeavor
- FEL Projects in the world

# LIMITS OF 3<sup>rd</sup> GENERATION LIGHT SOURCES

# Performance Limits of 3<sup>rd</sup> Generation Light Sources:

Storage ring based light sources are for short wavelengths (high photon energies) far away from the theoretical limits →



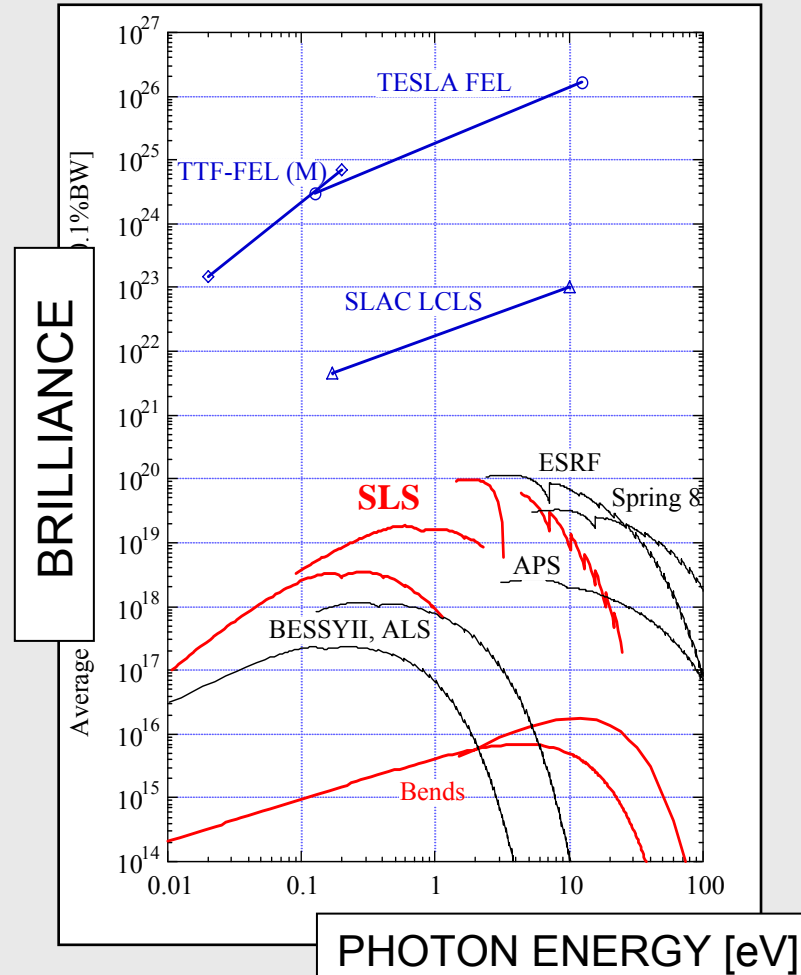
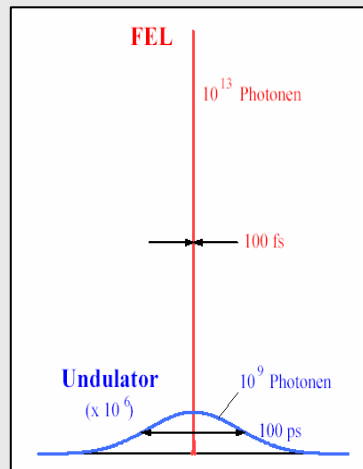
A new Concept is needed to overcome this limit



## FREE ELECTRON LASERS

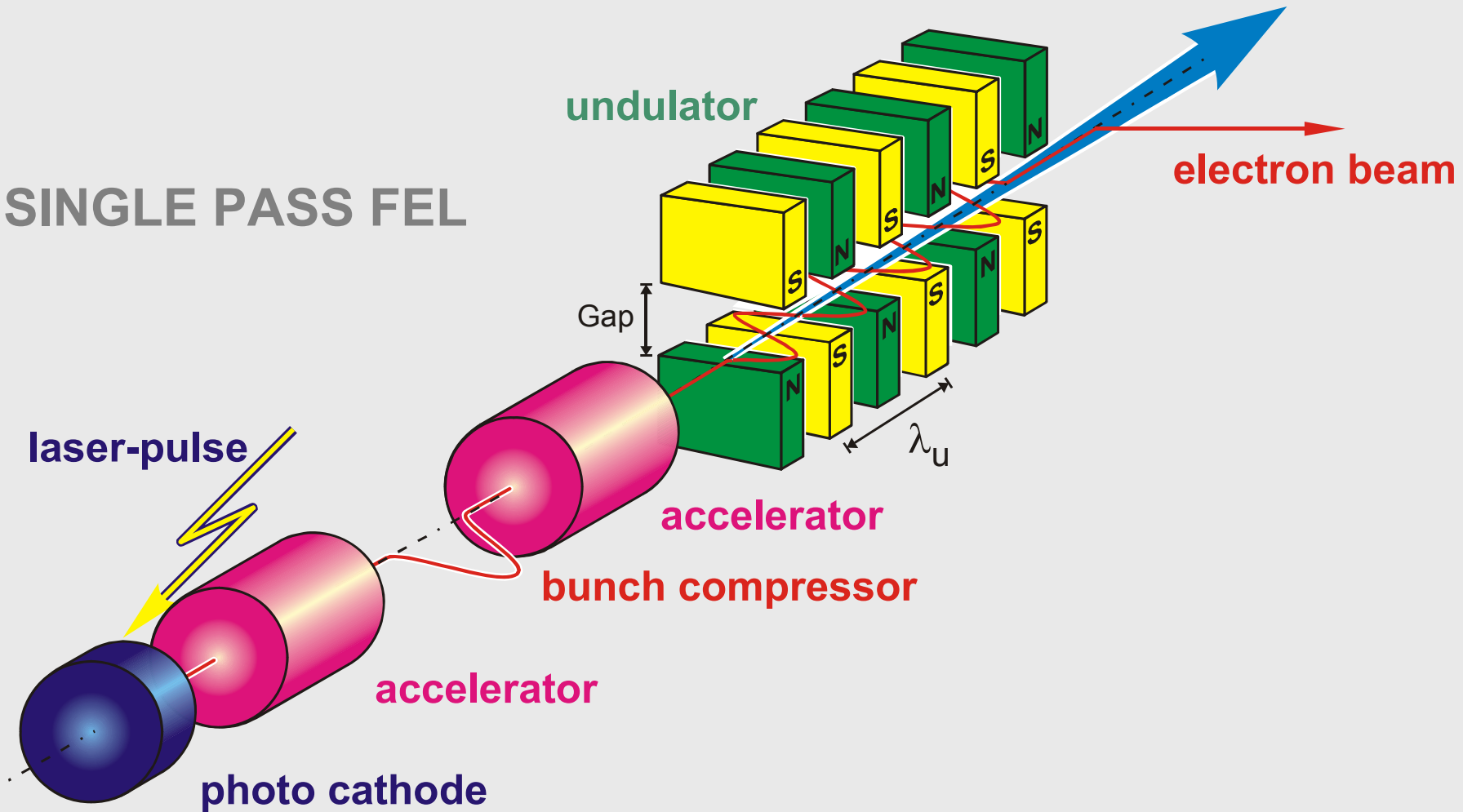
- HIGH PEAK BRILLIANCE ( $10^{30}$ - $10^{33}$ )
- HIGH AVERAGE BRILLIANCE ( $10^{22}$  -  $10^{25}$ )
- SHORT PULSES (1 ps – 50 fs)
- SMALL BANDWIDTH

BANDWIDTH →



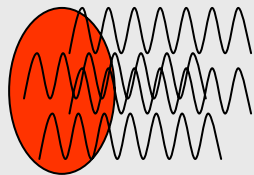
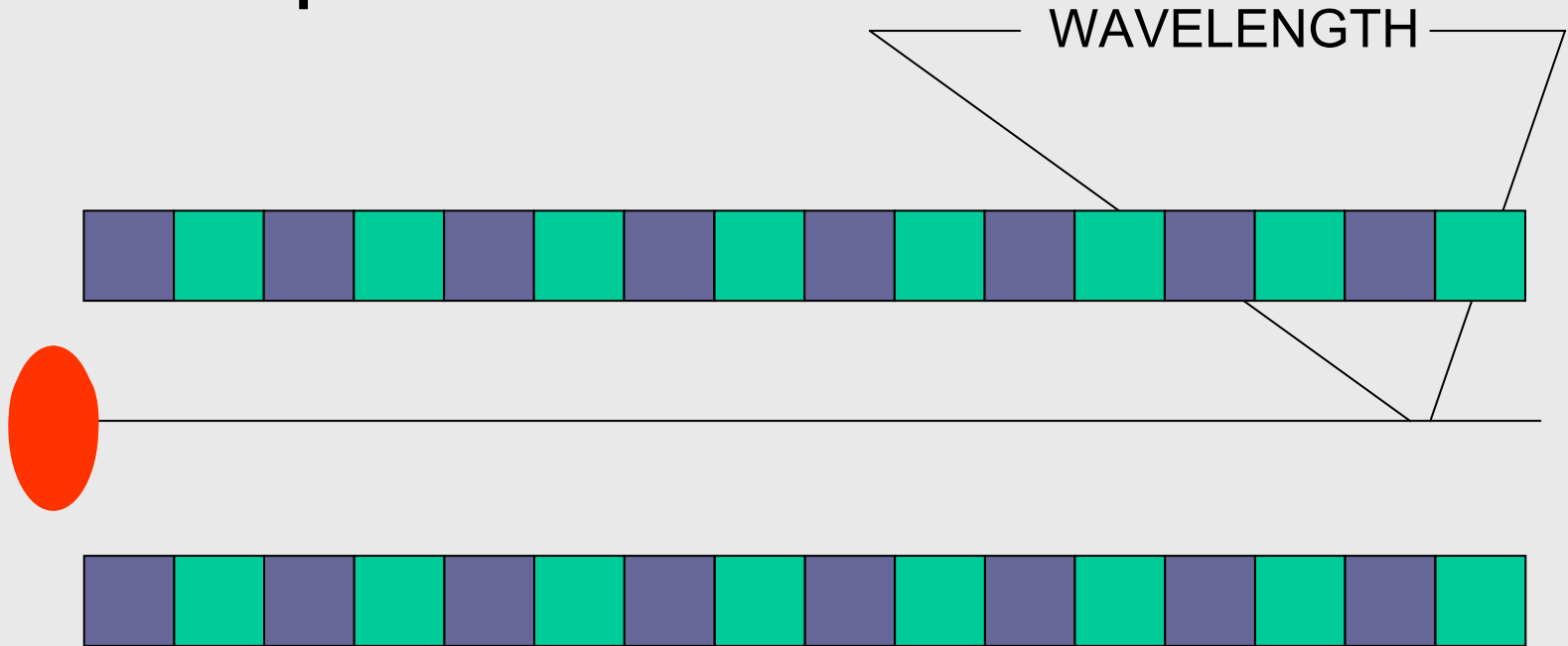
# Free Electron Laser

## SINGLE PASS FEL

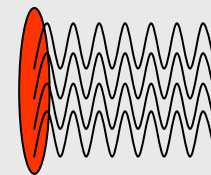


# FEL BASICS

## Sase Principle:



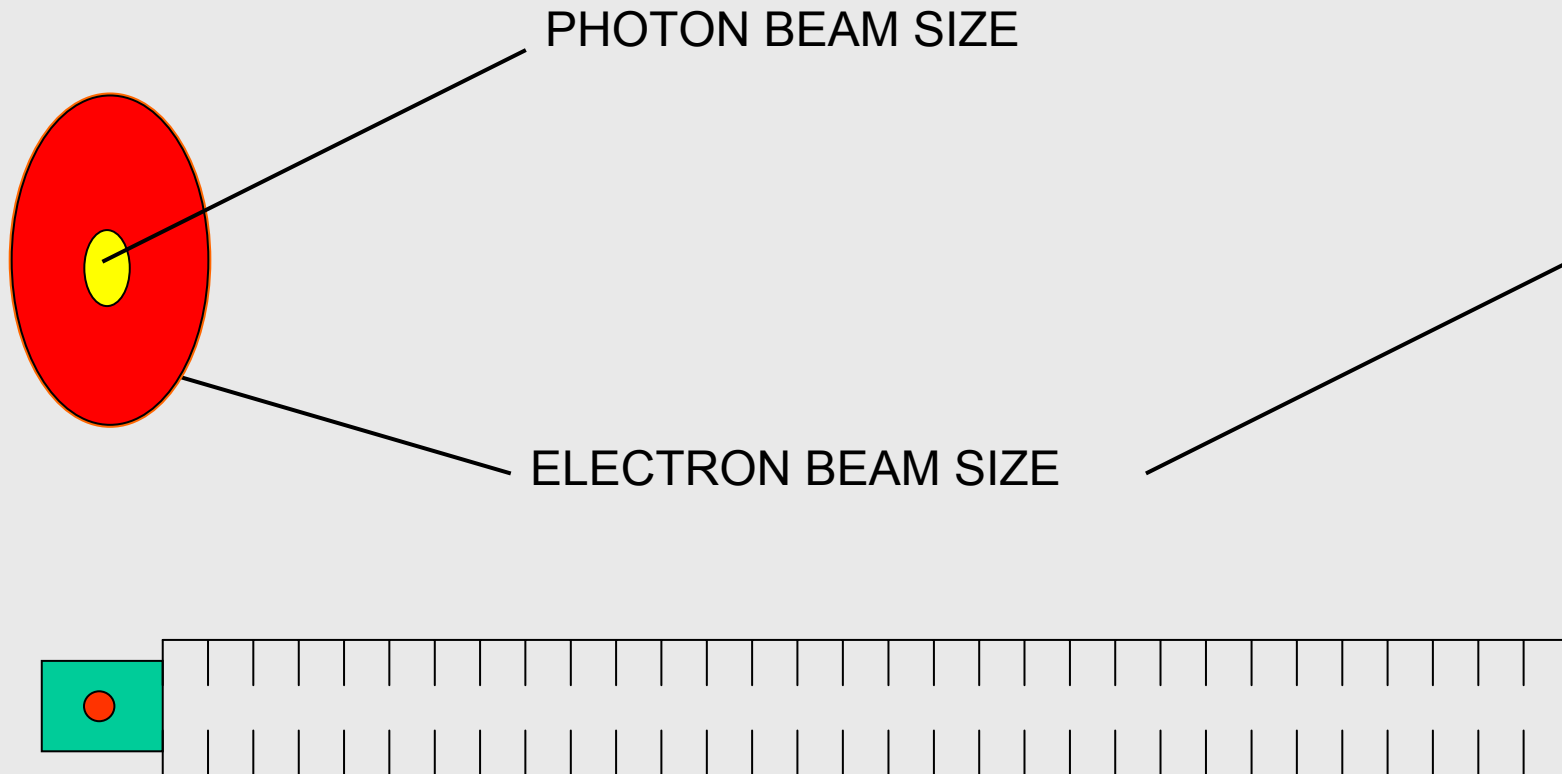
INCOHERENT EMISSION



COHERENT EMISSION

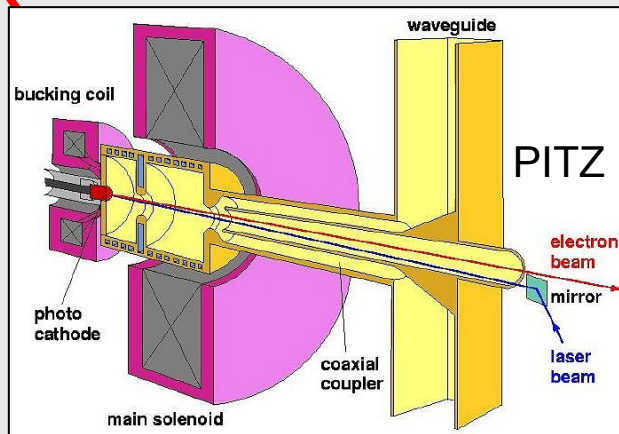
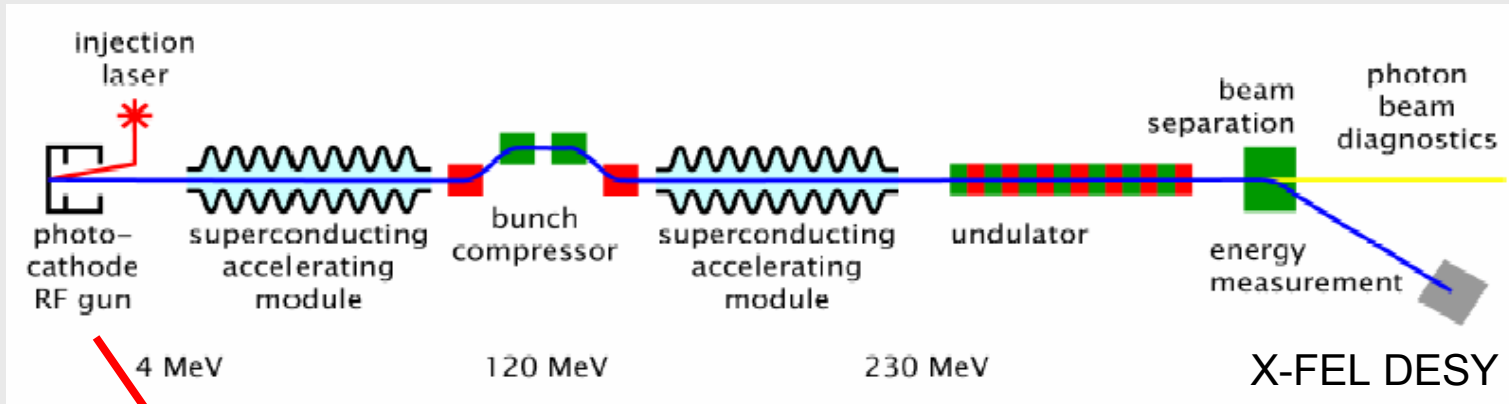


# Requires a small electron beam !



# FEL MAIN COMPONENTS

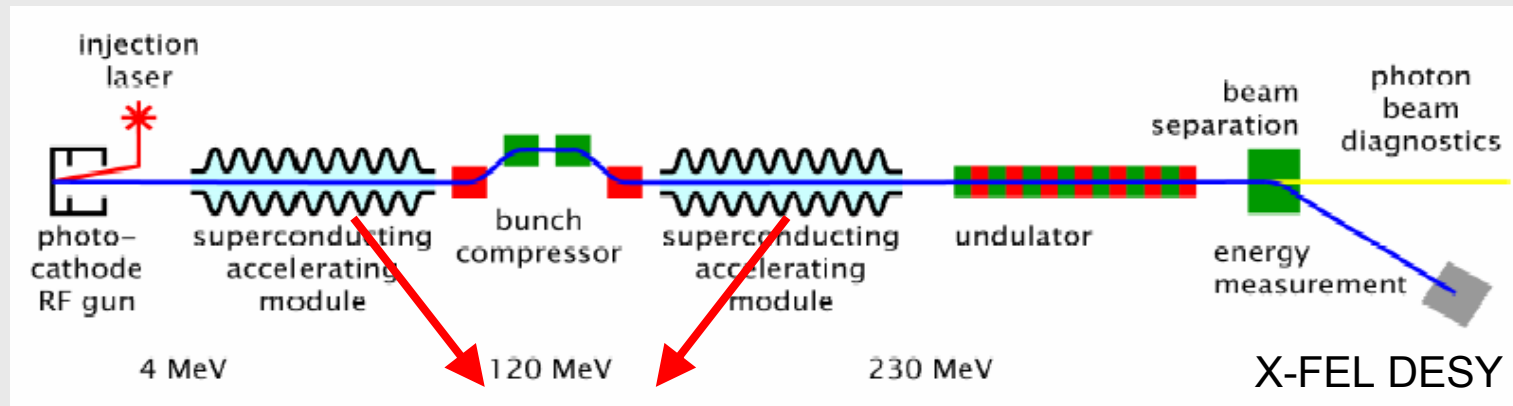
# FEL Main Components (1)



## ELECTRON GUN

→ crucial element of a Free Electron Laser !

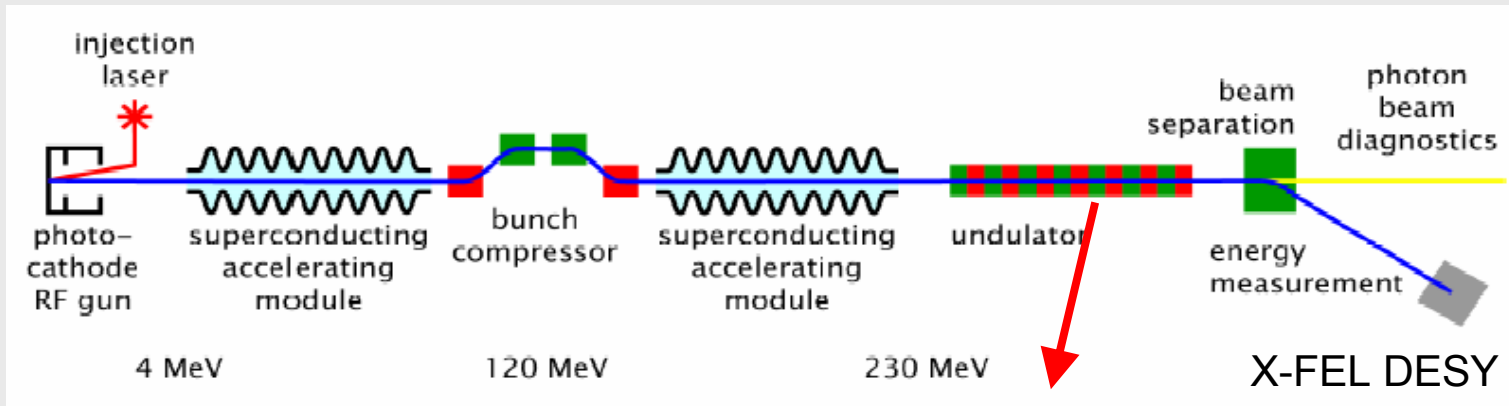
## FEL Main Components (2)



### LINEAR ACCELERATOR

→ acceleration  
to reduce beam size by  
adiabatic damping

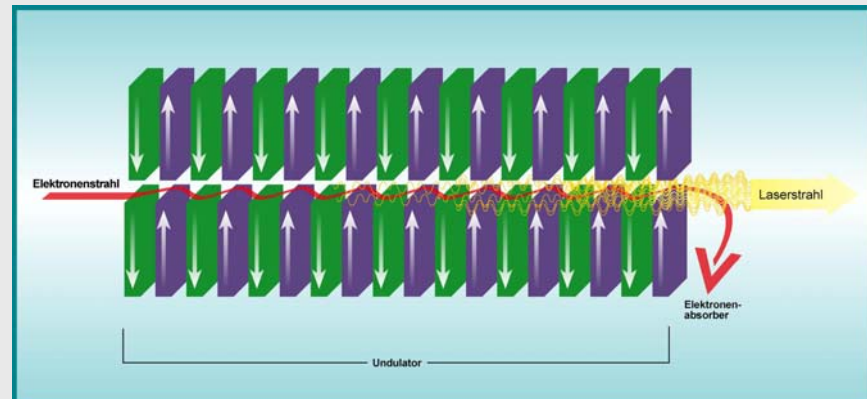
## FEL Main Components (3)



### UNDUALTOR

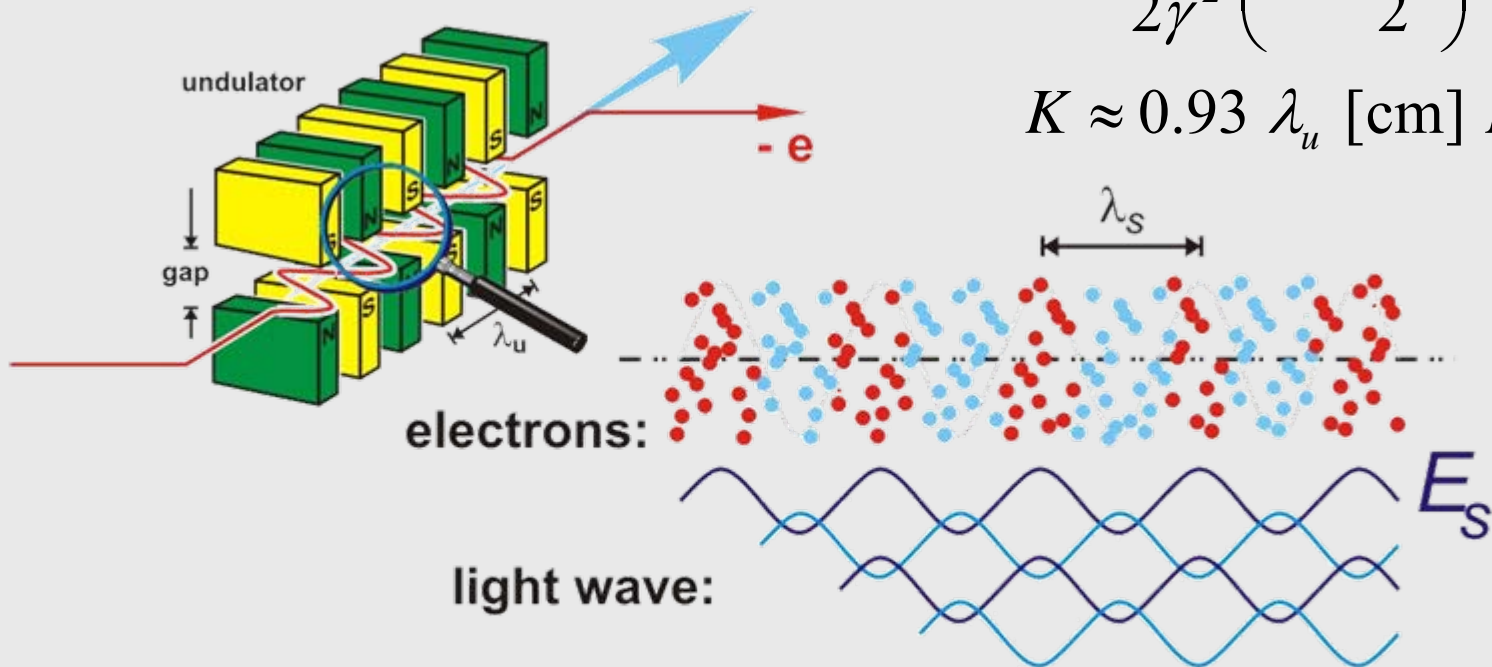
→ micro-bunching

→ coherent emission



# LASING PROCESS

# Synchrotron Radiation



$$\lambda = \frac{\lambda_u}{2\gamma^2} \left( 1 + \frac{K^2}{2} \right)$$

$$K \approx 0.93 \lambda_u [\text{cm}] B_u [\text{T}]$$

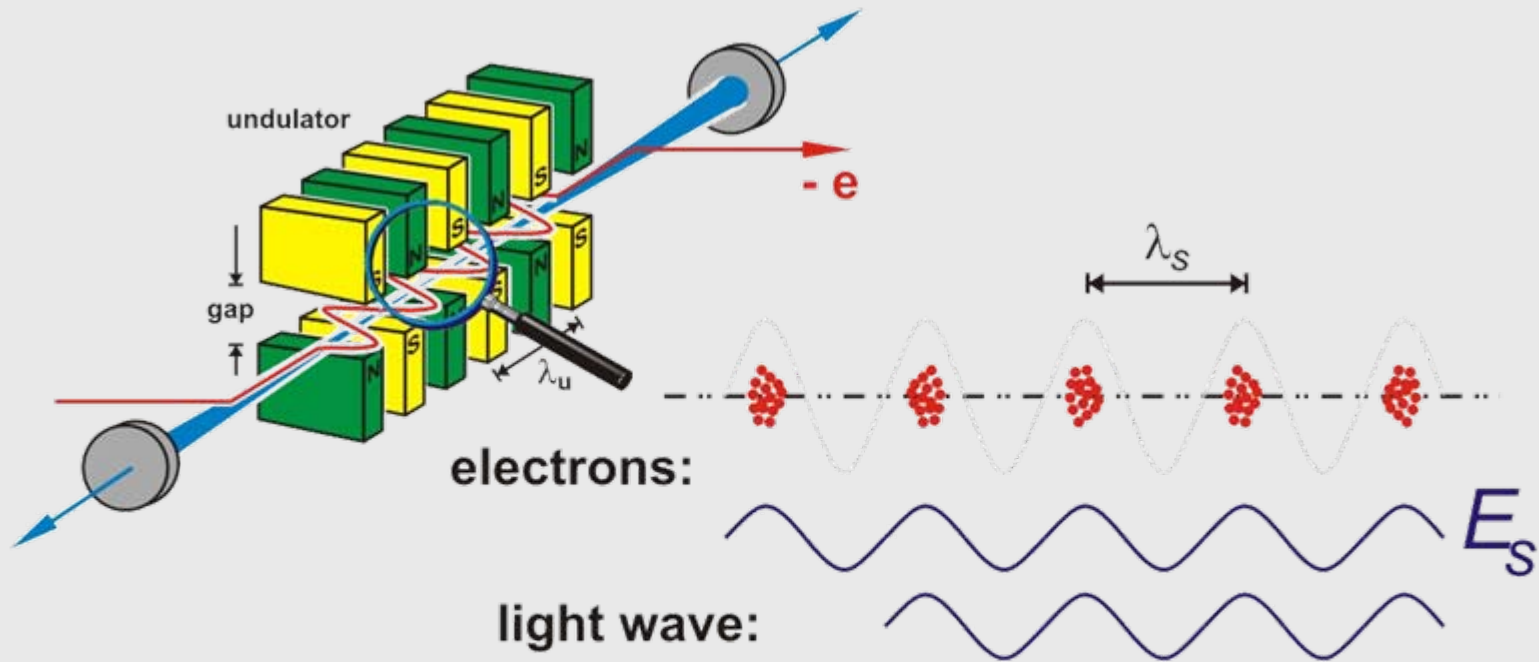
Radiated Power :

$$P \propto n_e (\text{number of electrons})$$

destructive interference

→ shotnoise radiation

# FEL interaction



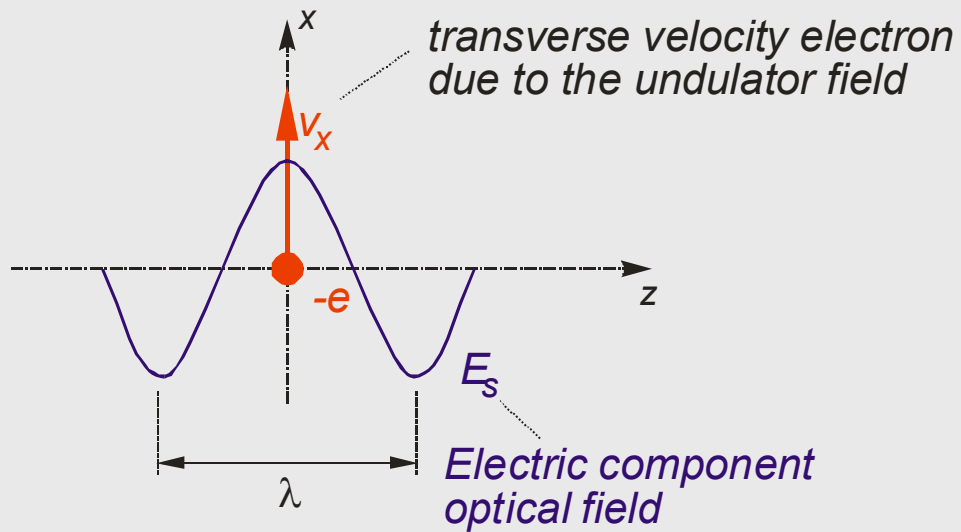
Radiated Power :

$$P \propto n_e^2 \left( \begin{array}{l} \text{number of electrons} \\ n_e \sim 10^6 - 10^9 \end{array} \right)$$

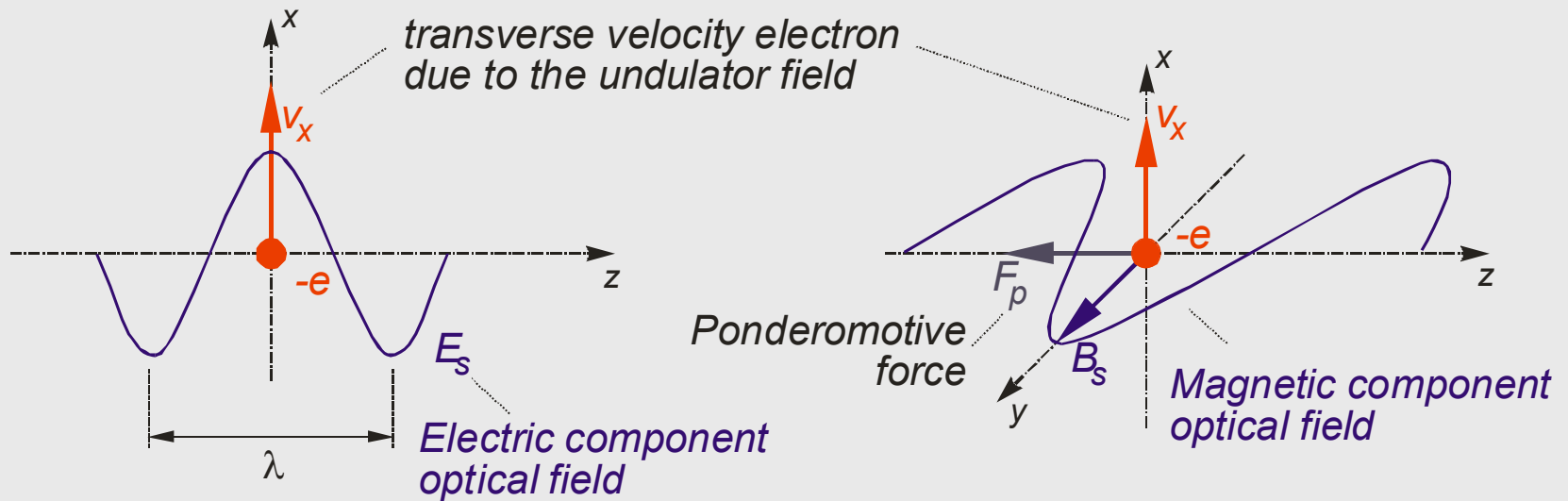
constructive interference  
 → enhanced emission



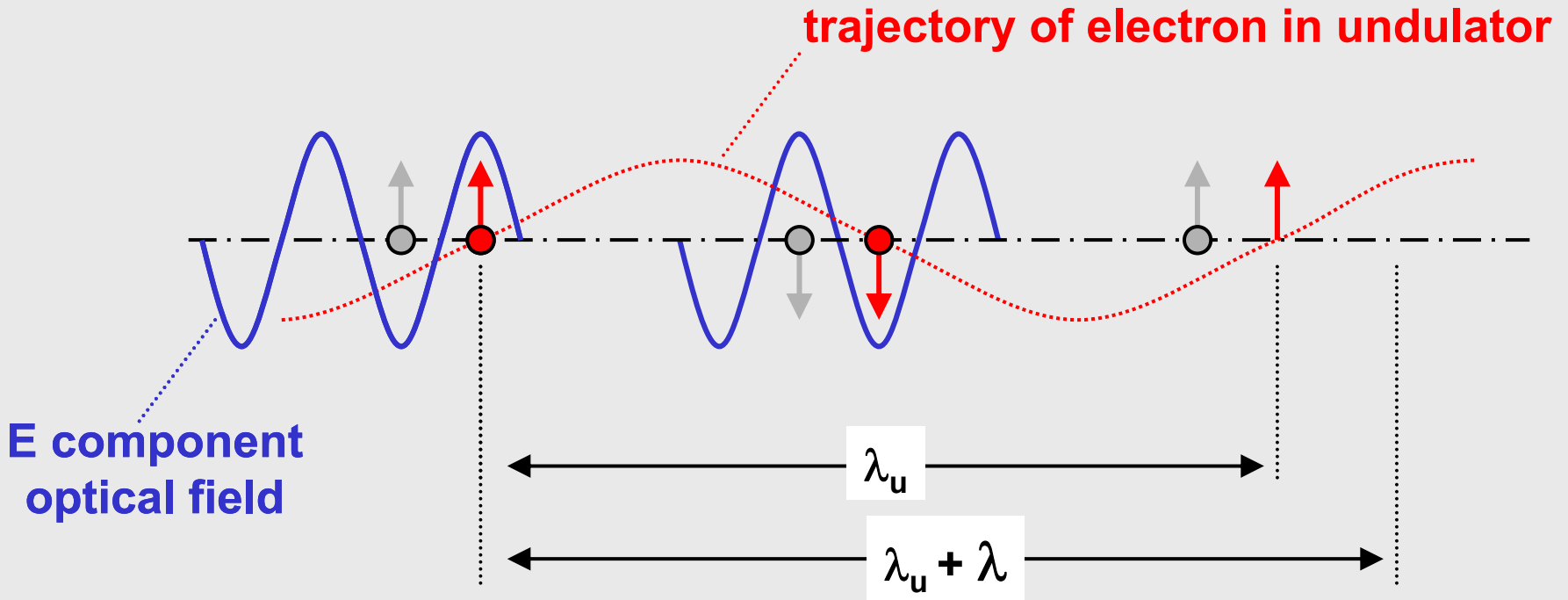
## Interaction between an electron and the optical field



## Interaction between an electron and the optical field

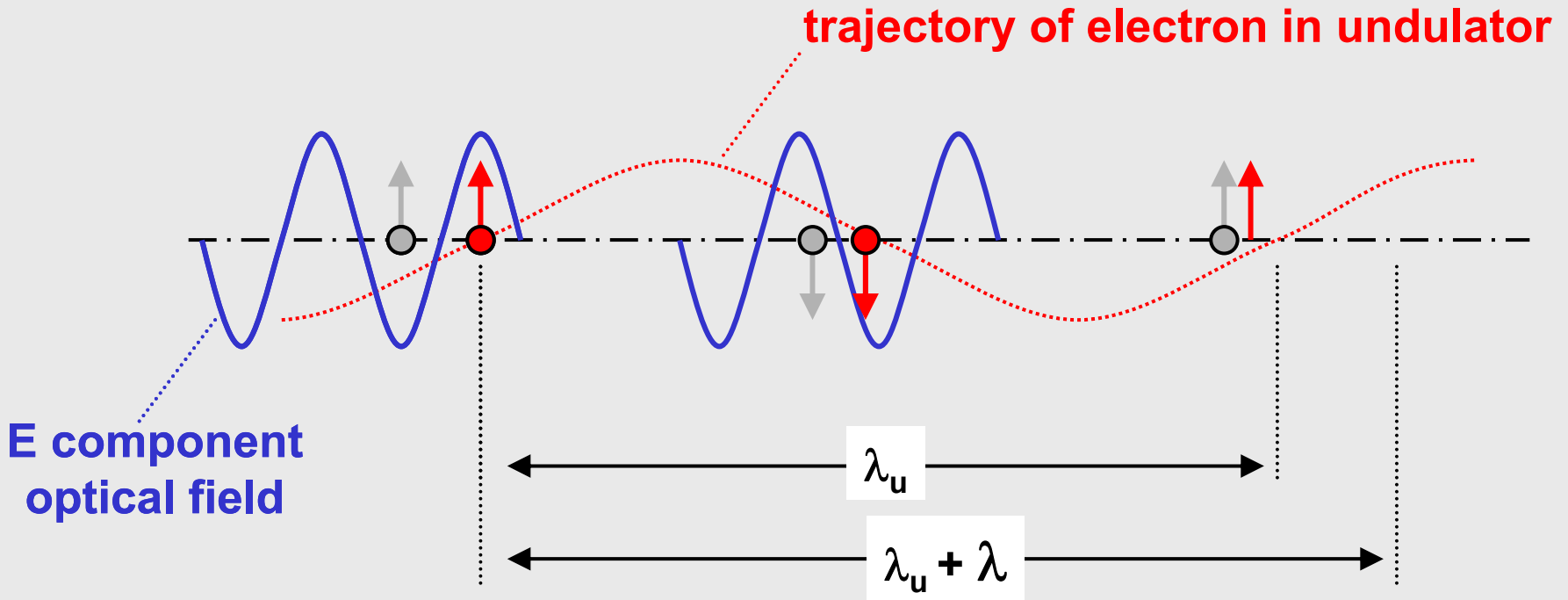


## Resonance Condition



$$\frac{\lambda_u + \lambda}{c} = \frac{\lambda_u}{v_z} \iff \lambda = \frac{\lambda_u}{2\gamma^2} \left( 1 + \frac{K^2}{2} \right)$$

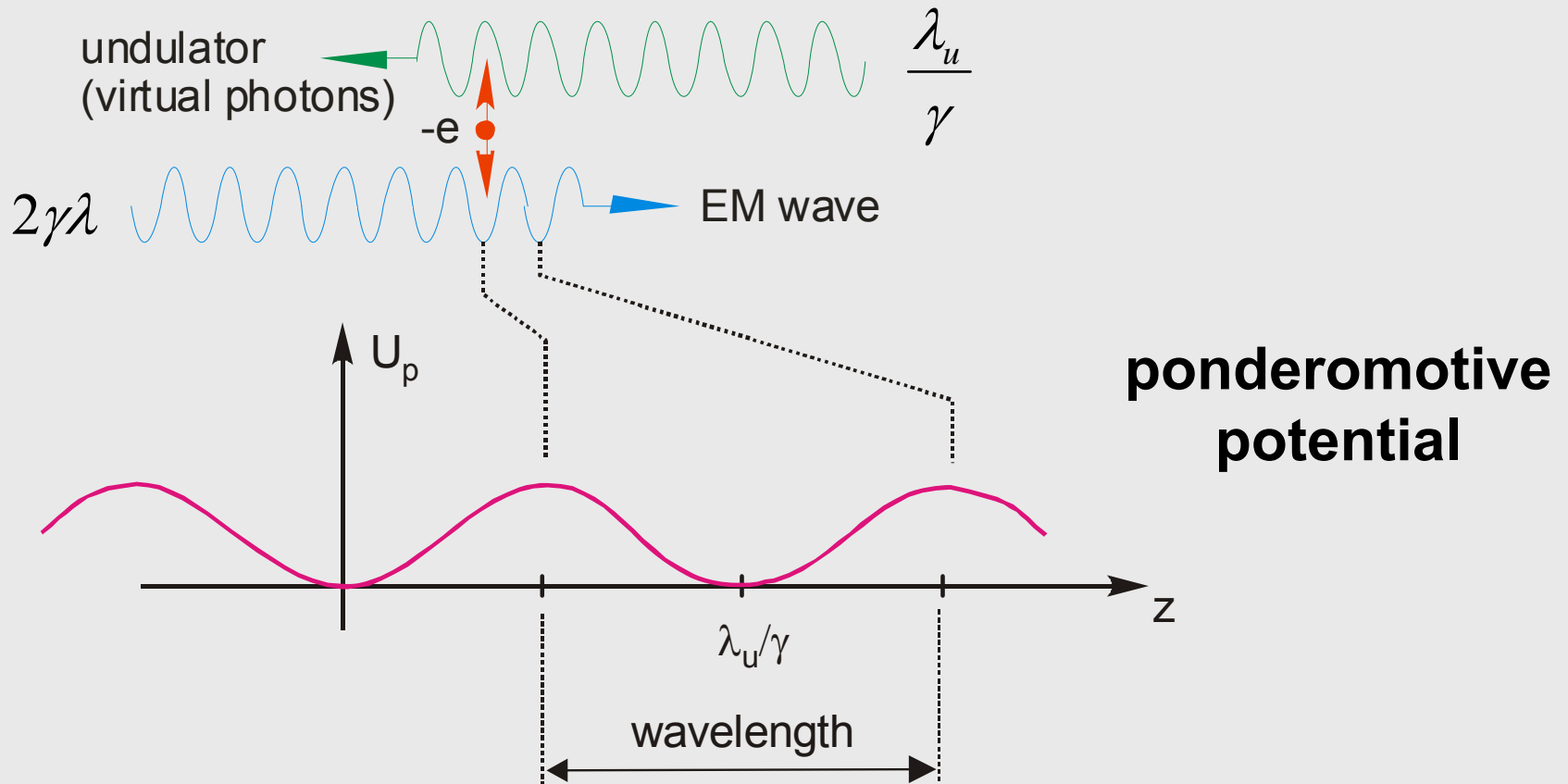
# Resonance Condition



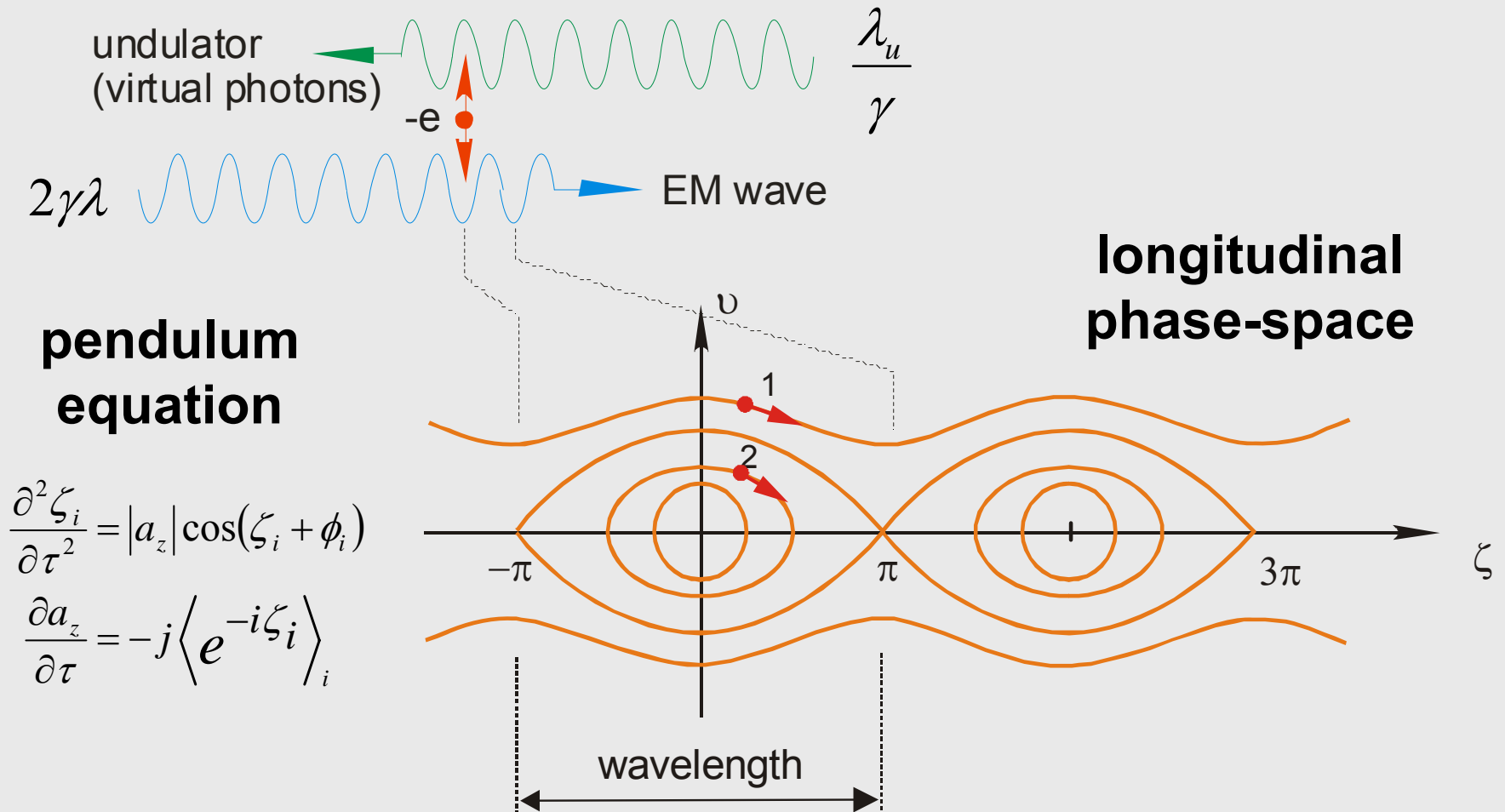
$$\frac{\lambda_u + \lambda}{c} = \frac{\lambda_u}{v_z} \iff \lambda = \frac{\lambda_u}{2\gamma^2} \left( 1 + \frac{K^2}{2} \right)$$

# Electron Dynamics

electron rest-frame:  $\langle v_z \rangle = 0$



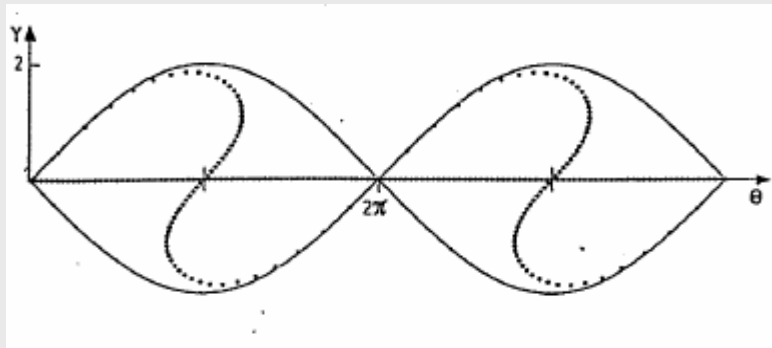
# Electron Dynamics



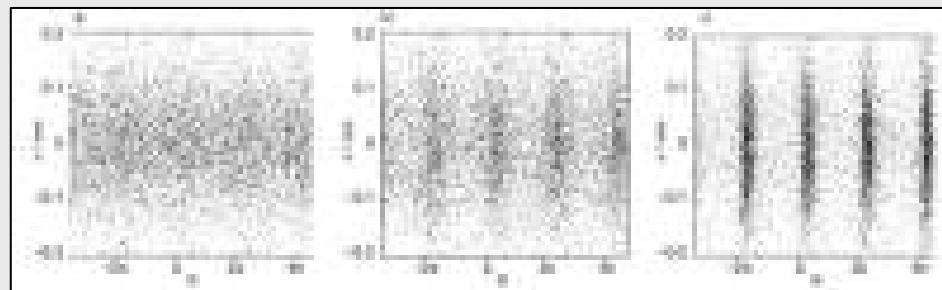
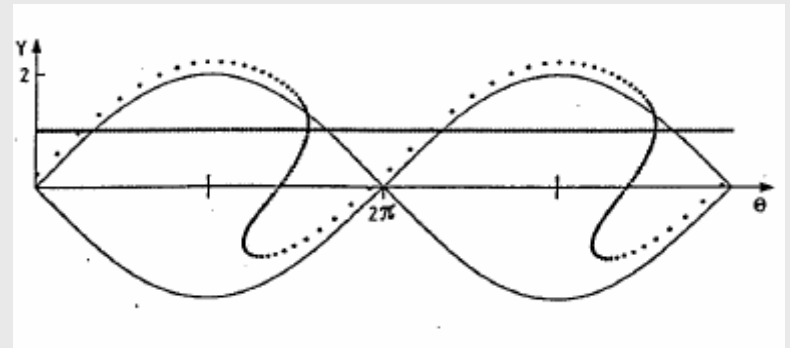
# Bunching

→ Inject electrons with energy slightly above the resonance energy

at resonance



above resonance



**Bunching**

# ELECTRON BEAM REQUIREMENTS FOR LASING



### 1 SMALL BEAM SIZE (EMITTANCE)

→ to have a good overlap of the electron beam with the photon beam

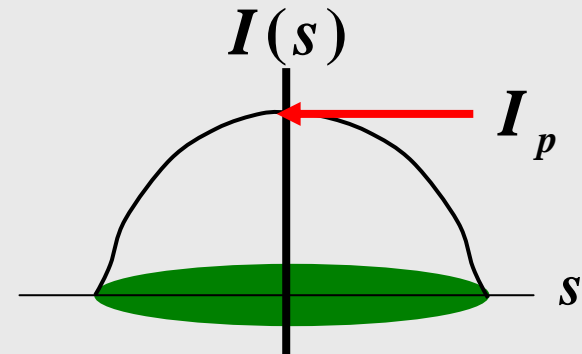
$$\sigma_{hv} = \frac{\sqrt{\lambda L_u}}{4\pi}$$

$$\sigma'_{hv} = \sqrt{\frac{\lambda}{L_u}}$$

$$\varepsilon < \frac{\lambda}{4\pi}$$

### 2 MANY PARTICLES IN THE ELECTRON BEAM

→ high peak current  $I_p$



### 3 SMALL ENERGY SPREAD

→ to have many particles within

→ the lasing bandwidth

$$\lambda = \frac{\lambda_u}{2\gamma^2} \left( 1 + \frac{K^2}{2} \right)$$

## Gain (RHO) Parameter:

$$P_{hv} = \rho P_{beam}$$

→ Defines the fraction of beam power extracted from the electron beam

$$\rho \sim \left( \frac{I_p}{\gamma^2 \varepsilon_n} \right)^{1/3}$$

$$\varepsilon_n = \beta\gamma\varepsilon \quad \text{Normalized emittance}$$

**Resonance condition**  $\lambda = \frac{\lambda_u}{2\gamma^2} \left( 1 + \frac{K^2}{2} \right)$

## Electron Beam Requirements

- Sufficient Beam Energy:

$\lambda$	E
100 $\mu\text{m}$	15 MeV
10 nm	$\sim 1$ GeV
1 nm	$\sim 3$ GeV

IR: 50 A  
X-ray: 5 kA

- Sufficient Current:

$$N_{e,\lambda} = \frac{I\lambda}{ec}$$

$$N_{e,\lambda} = 1 \rightarrow \begin{cases} 0.5 \mu\text{A} & (\lambda = 100 \mu\text{m}) \\ 0.5 \text{ A} & (\lambda = 0.1 \text{ nm}) \end{cases}$$

- Good Electron Beam Quality:

Transverse Emittance :

$$\varepsilon \leq \frac{\lambda}{4\pi}, \quad \varepsilon = \varepsilon_n / \gamma$$



**Difficult for short  
wavelengths**

Energy spread :

$$\frac{\sigma_E}{E} \leq 10^{-3} \quad (\leq \frac{1}{4N}, \leq \rho)$$



**Difficult for long  
wavelengths**

# LOW EMITTANCE BEAM GENERATION

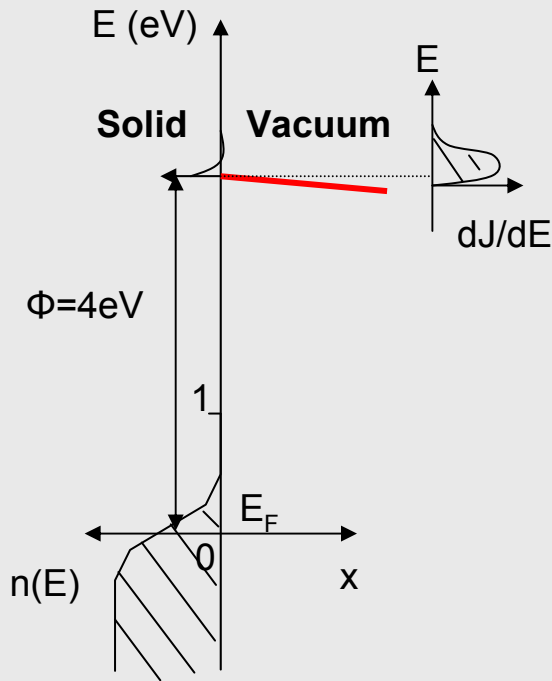
## Difficulties

- 1 / To get a small emittance from the electron source
- 2 / To maintain the small emittance during the acceleration process → Emittance blow up due to:
  - space charge effects
  - nonlinearities of the acceleration field
  - wake fields
  - coherent synchrotron radiation

# ELECTRON SOURCES

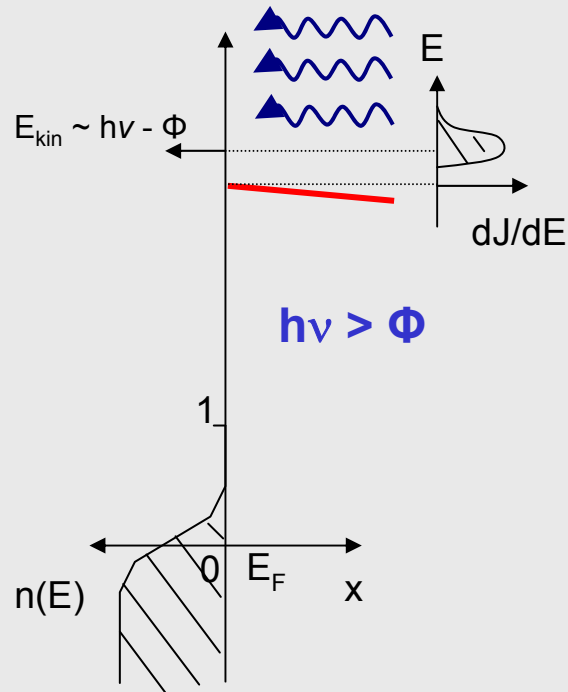
# There are 3 methods to extract electrons from a material

## Thermal Emission



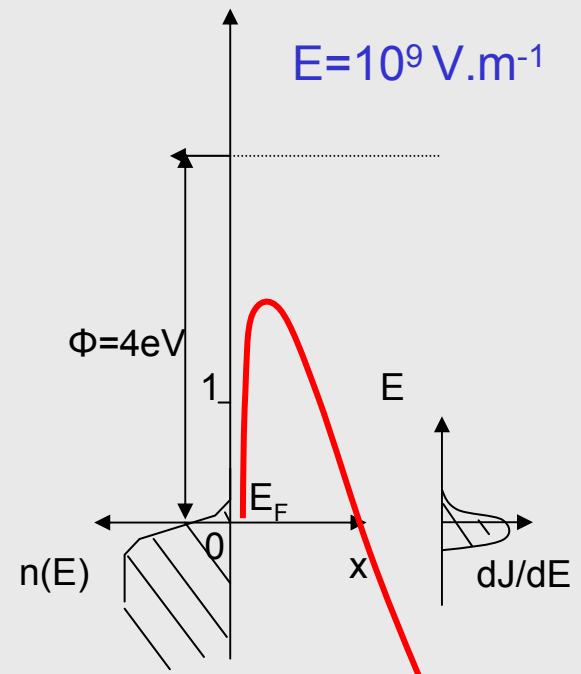
$T=1500\text{K}$

## Photoemission



$$h\nu > \Phi$$

## Field Emission



## Thermionic Emission

$$E_{kin} \sim \frac{3}{2}kT$$

Emission Characteristics:

$$J(T) = aT^2 \exp\left[-\frac{b \cdot \phi}{T}\right]$$

RICHARDSON/DUSHMANN  
EQUATION

$a, b$  constants  
 $T$  temperature  
 $\phi$  work function  
 $r$  spot radius

$$a = 120 \text{ A/cm}^2$$

$$b = 11'600$$

Emittance Limitation:

$$\mathcal{E}_{thermal} = \frac{r}{2} \sqrt{\frac{2E_{kin}}{3m_o c^2}}$$

THERMAL EMITTANCE

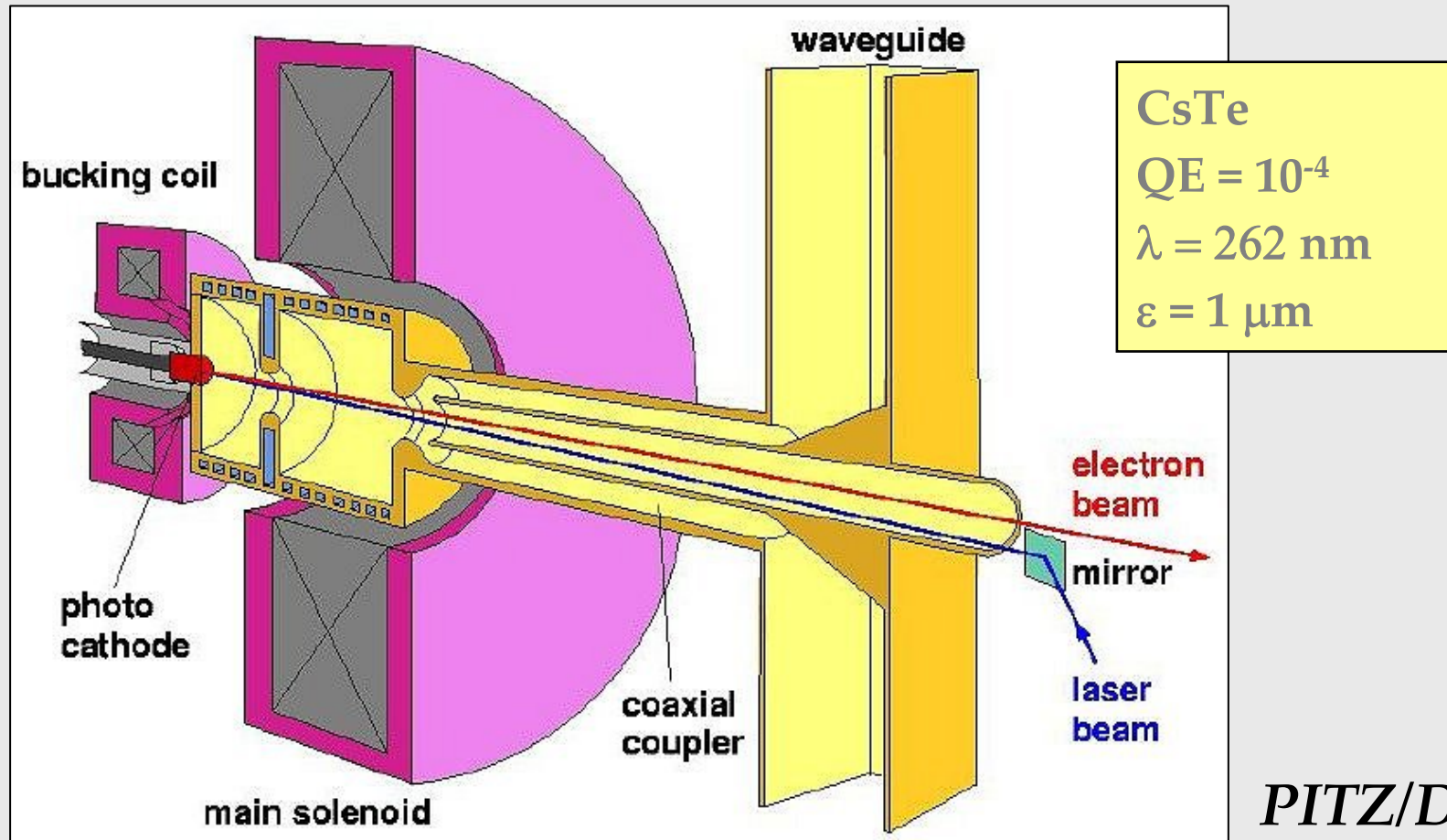


# Photoemission

Emission Characteristics:

$$E_{kin} = h\nu - \phi + e \underbrace{\sqrt{\frac{eE}{4\pi\epsilon_0}}}$$

SCHOTTKY EFFECT

STATE OF THE ART → **Laser driven cavity gun:**

## Field Emission

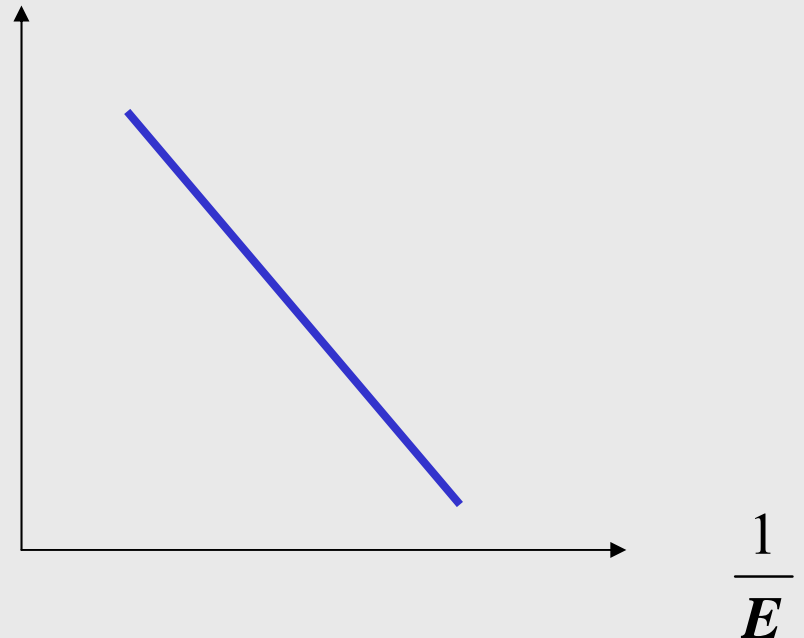
Emission Characteristics:

$$I(E) = a A \frac{E^2}{\phi} \exp \left[ \frac{b}{\sqrt{\phi}} - \frac{c \cdot \phi^{3/2}}{E} \right]$$

FOWLER NORDHEIM LAW

$a, b, c$  constants  
 $A$  area  
 $E$  electric field  
 $\phi$  work function

$$\ln \left( \frac{I}{E^2} \right)$$



In principle much smaller emittances can be reached

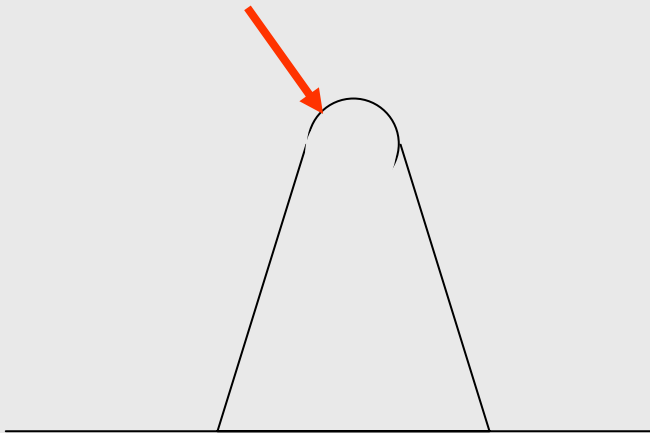


**Difficulty: Very high fields are needed → few GV/m**



To overcome this problem use nanostructured tips with large field enhancement factor →

Apex radius  $r$



Field amplification

$$E = \beta E_0 \quad \beta \sim \frac{1}{r}$$

**Difficulty:** One tip does not provide enough current

→ *USE SEVERAL THOUSENDS OF TIPS*

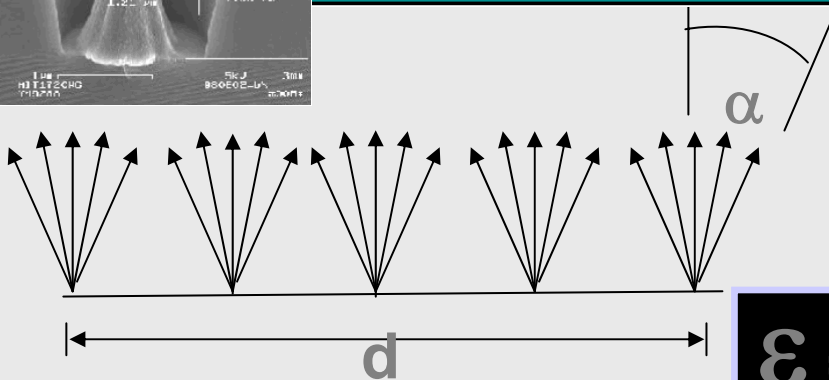
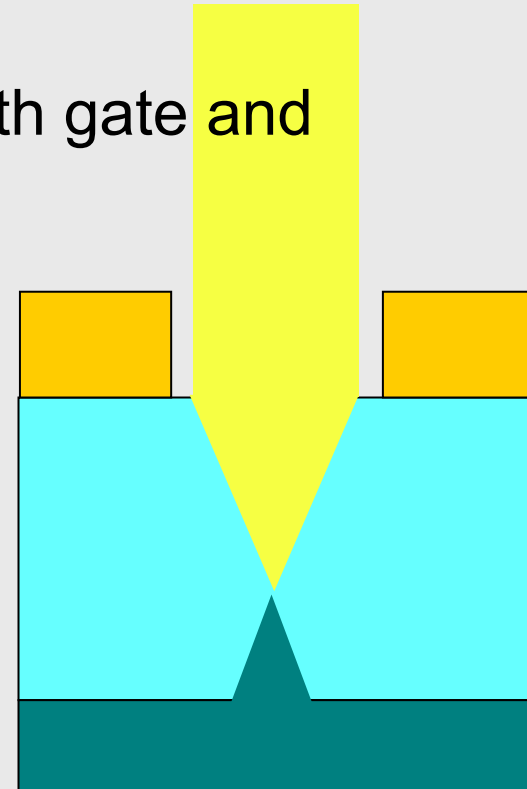
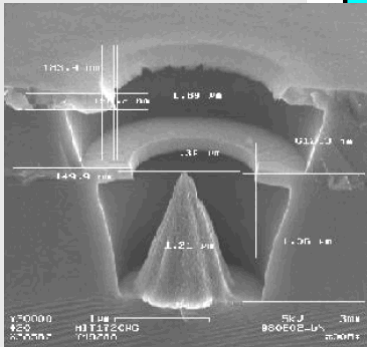
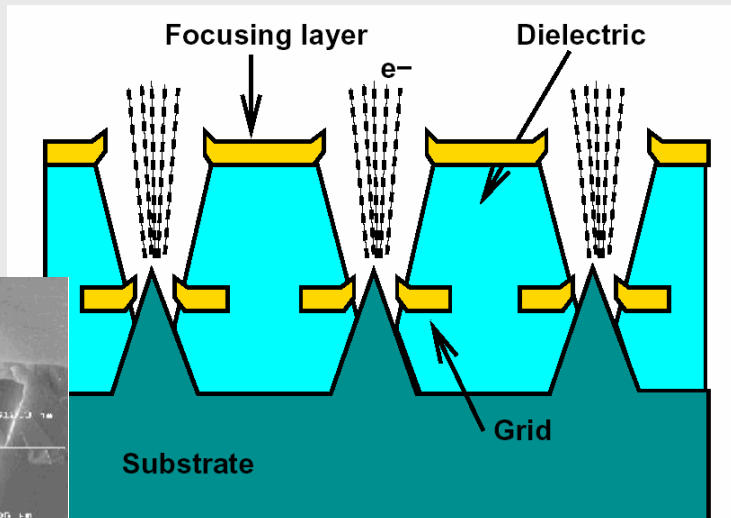
**Problem:** The emission area is increased, the divergence is as large as for the single tip, i.e. the emittance is increased !!



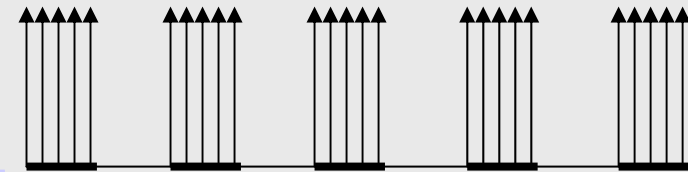
Introduce focusing for the electron beams emitted from a single tip.

## NEW APPROACH:

- Field emitter array (cold emission) with gate and focusing layer →



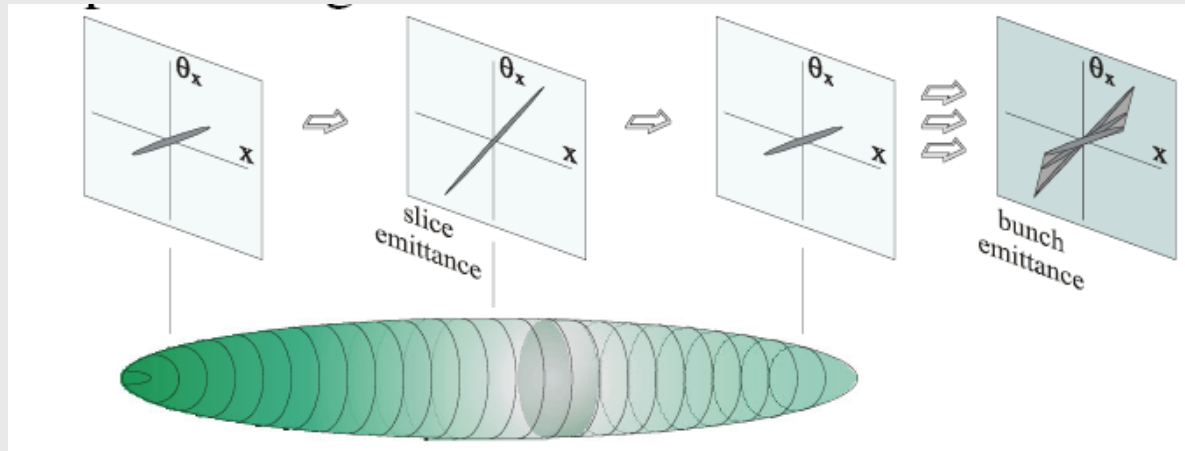
$$\varepsilon = d \times \alpha$$



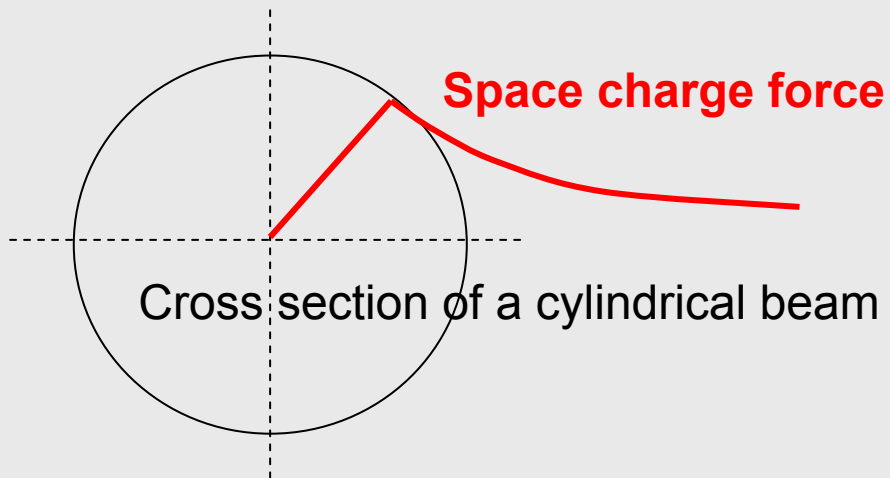
# EMITTANCE DEGRADATION



# Emittance Blow Up due to Space Charge Effects

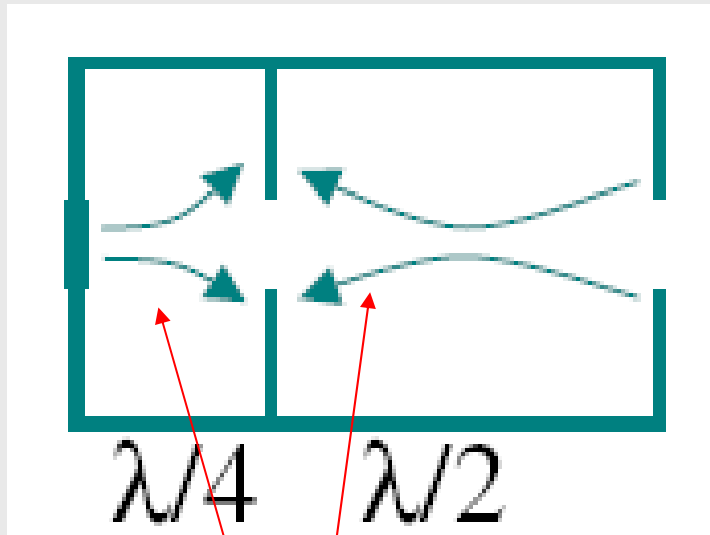


due to the intensity variation over the bunch length, the defocusing transverse space charge forces are changing → **blow up of the projected emittance!**



For a homogeneous charge distribution of an infinite cylindrical beam the space charge force inside the beam is purely linear and defocusing

# Emittance Blow Up due to RF-Nonlinearities



KIM – NIM A 275

$$\varepsilon_x^{RF} = \frac{eE_0}{2mc^2} \frac{k_{RF}^2 \sigma_x^2 \sigma_y^2}{\sqrt{2}}$$

# PEAK CURRENT GENERATION

## Maximum Current from a Photocathode:

### EXAMPLE BNL/PITZ:

1 nC in pulse with 10 ps length  $\rightarrow$  100 A

BUT

Several 1000s Amperes are needed for the lasing process

WAY OUT

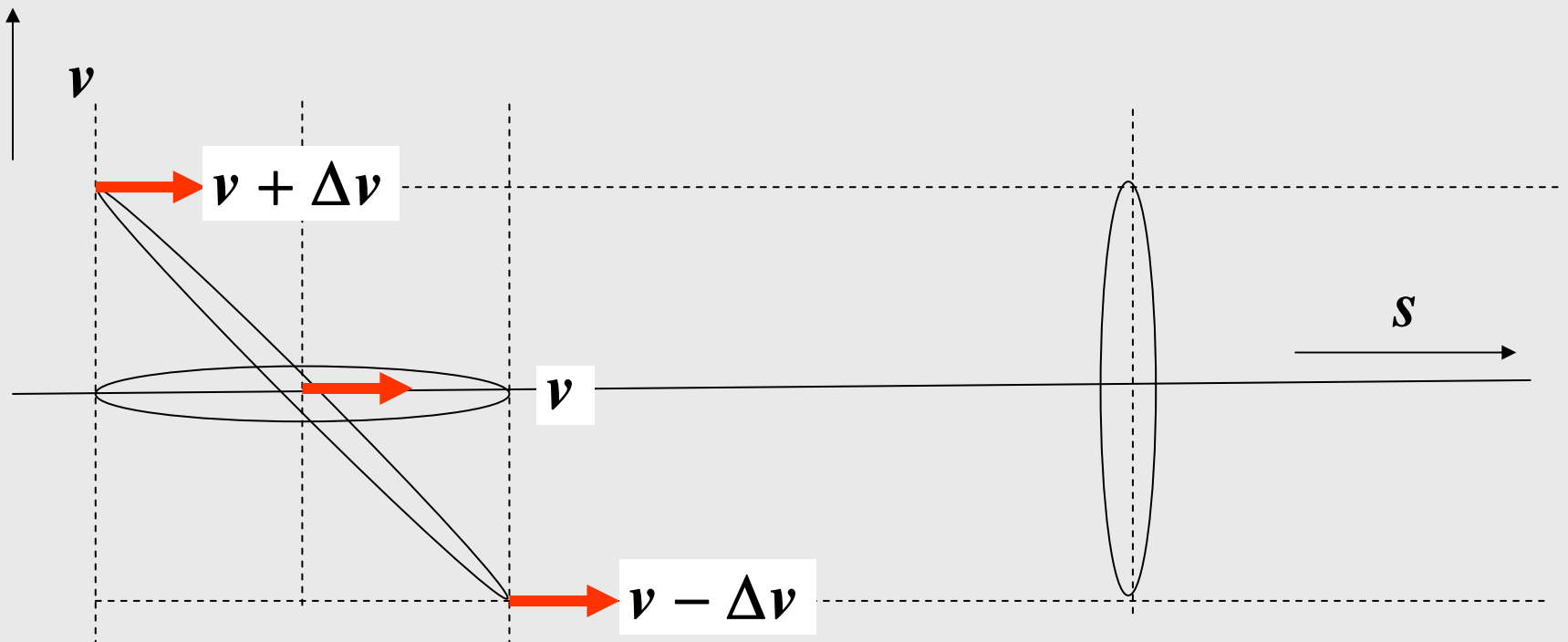
Take longer pulses and compress them

## /1/ Velocity (Ballistic) Compression

Works good at low energies when the electrons are not yet relativistic!

### Principle:

Create a velocity chirp in the beam and add a drift length  $\rightarrow$



## /2/ Magnetic Compression

At high energies the difference in velocity difference between particles with different energies is strongly reduced

$$\gamma = \frac{1}{\sqrt{1-\beta^2}} \rightarrow d\beta = \frac{d\gamma}{\beta\gamma^3}$$

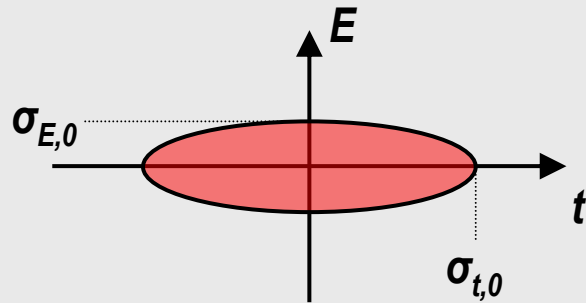
THE BEAM IS FROZEN !

### Way out:

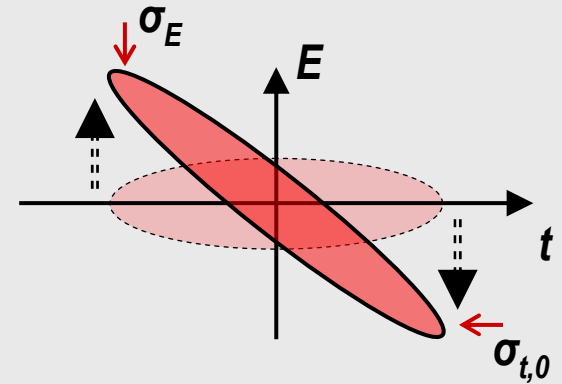
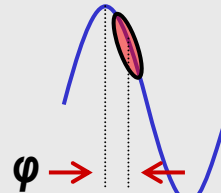
Use path length changes in a dispersive magnet system

# Magnetic Bunch Compression

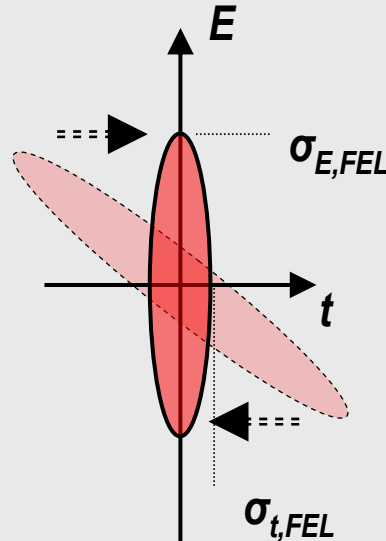
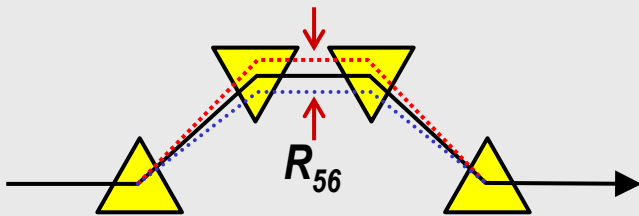
## 1. Initial condition



## 2. Offcrest RF acceleration



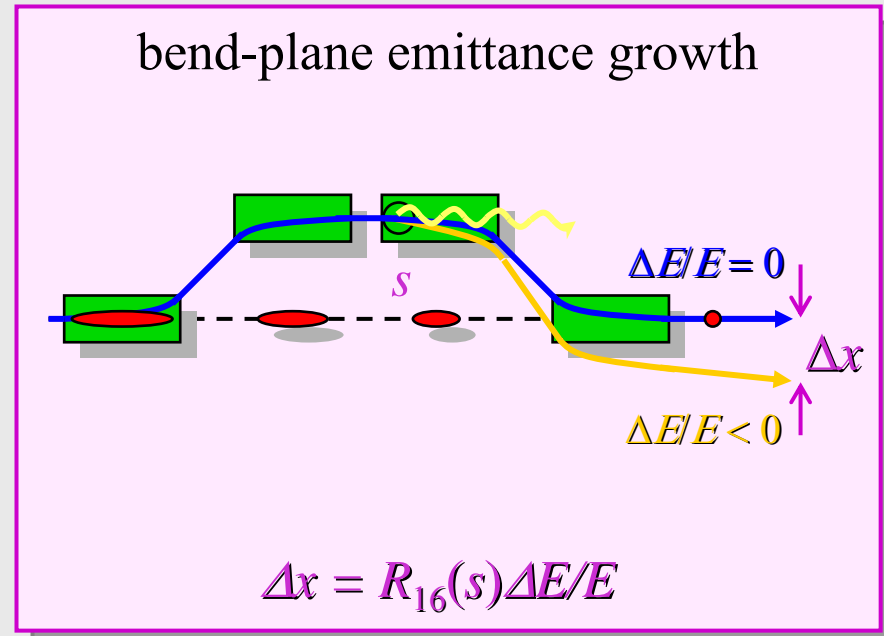
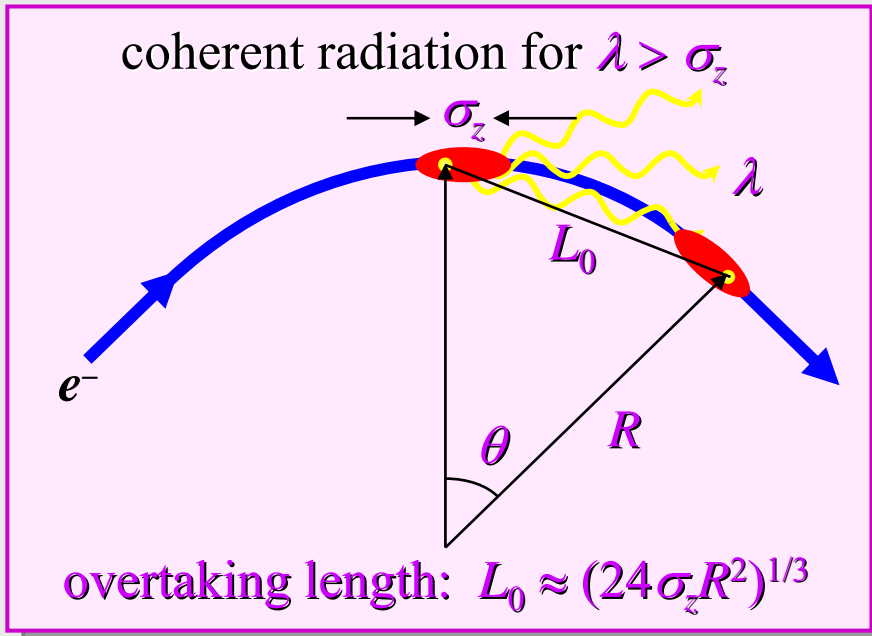
## 3. Compressor (dipoles): $E_c$



# Magnet bunch compressors create a severe problem → COHERENT SYNCHROTRON RADIATION

Powerful radiation generates energy spread in bends  
Induced energy spread breaks achromatic system

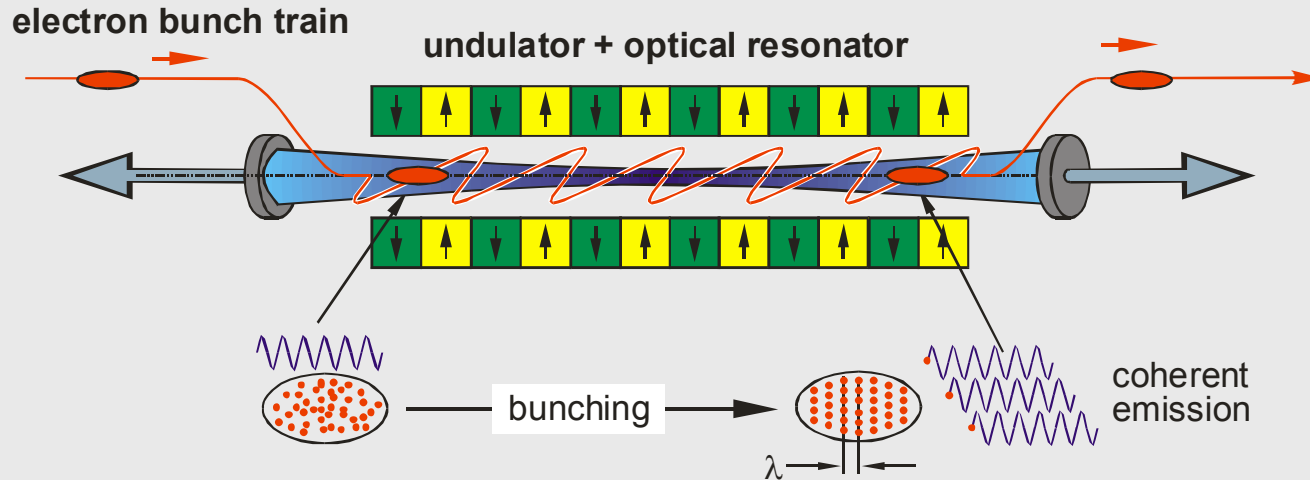
Causes bend-plane emittance growth (short bunch is worse)



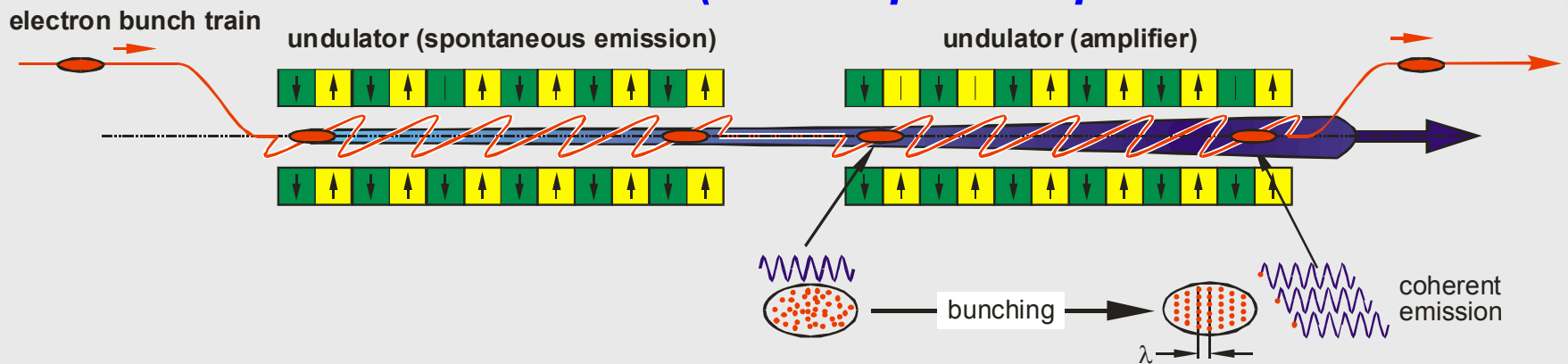


# FEL CONCEPTS

## Classical FEL Scheme

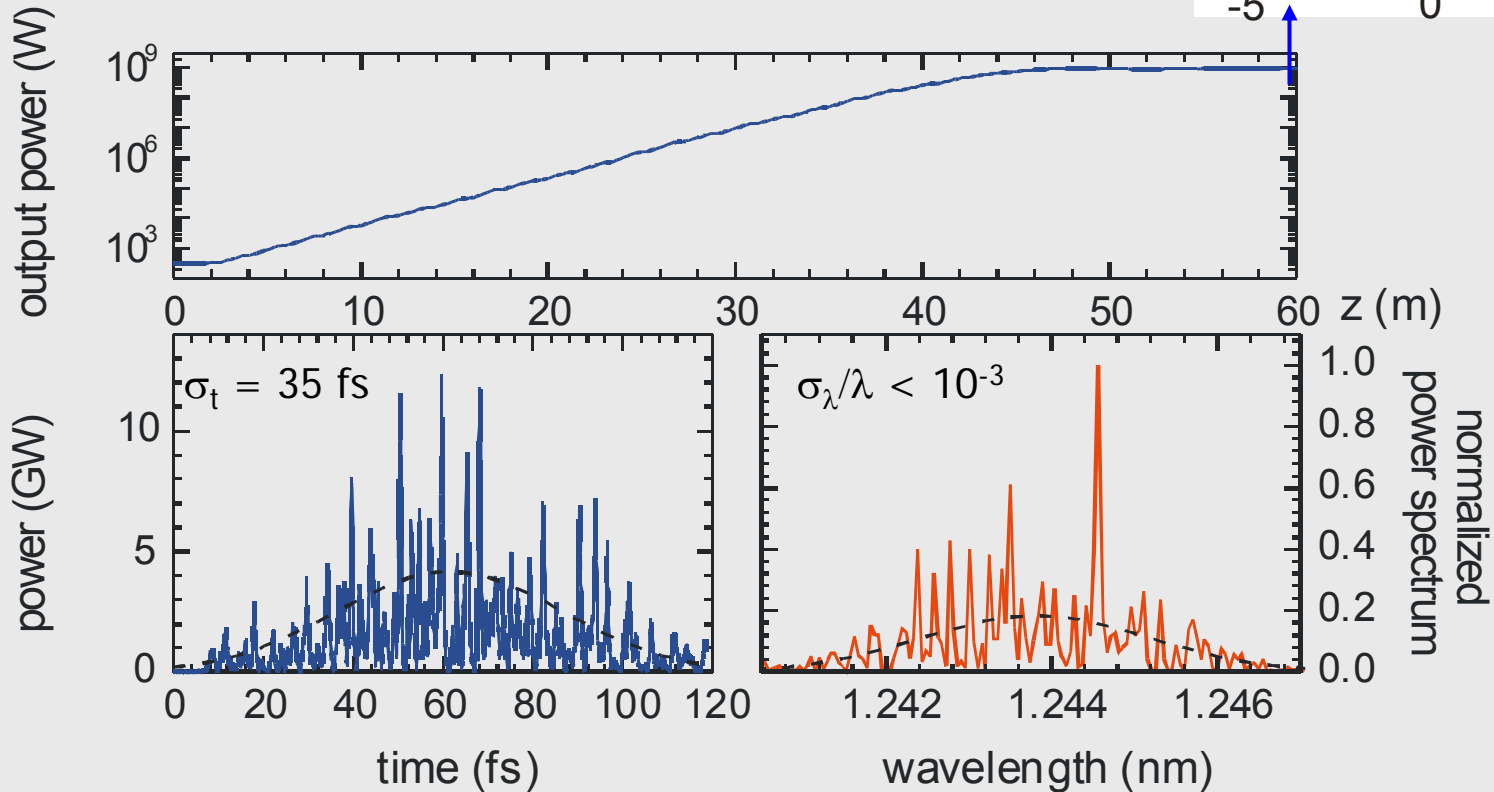
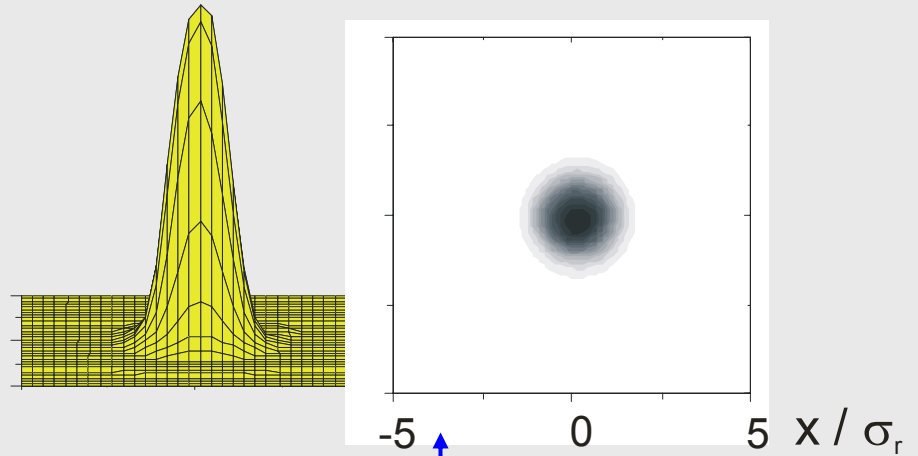


## SASE FEL Scheme (Self Amplified Spontaneous Emission)



# Output properties

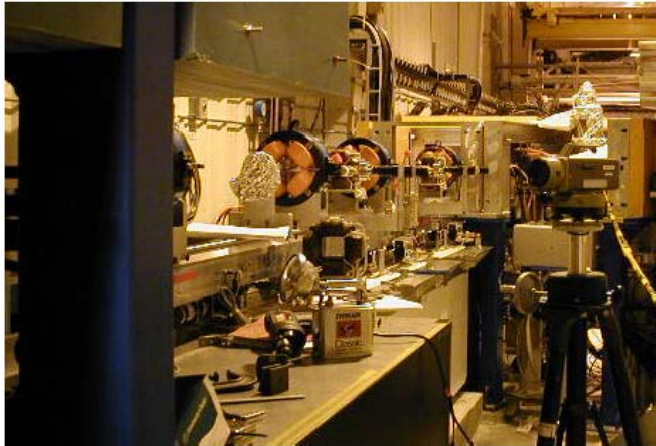
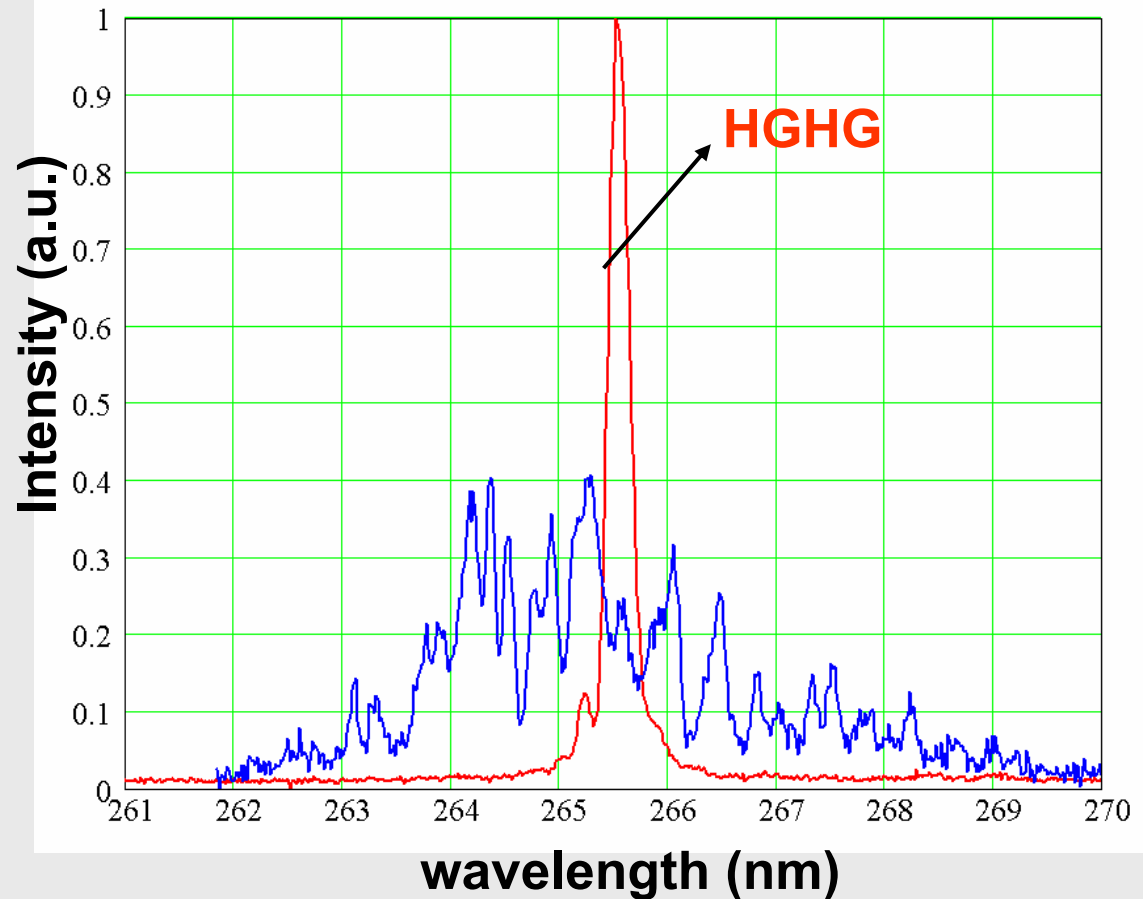
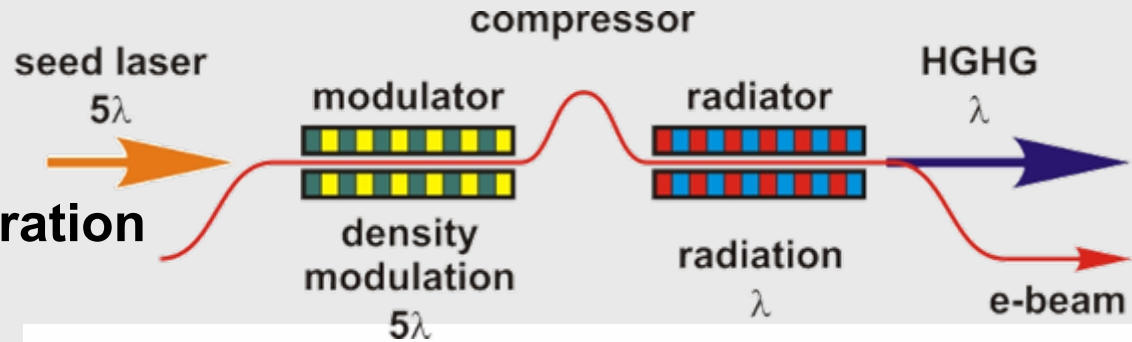
transverse:  
diffraction limited TEM<sub>00</sub> mode



SEEDING

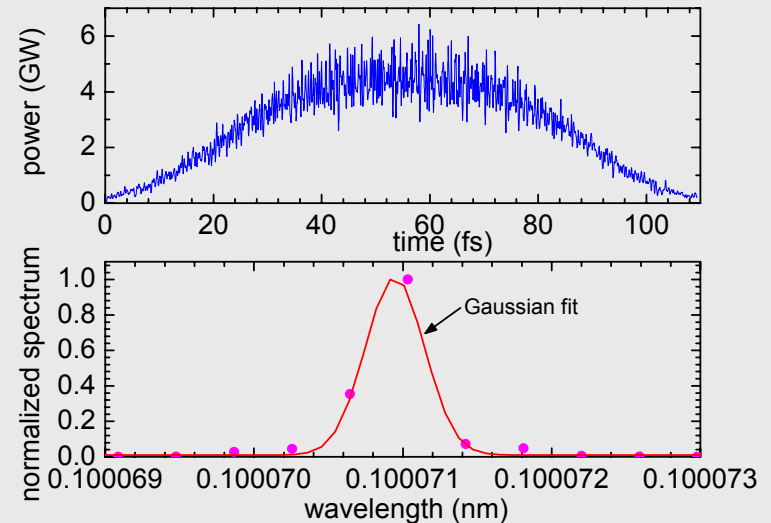
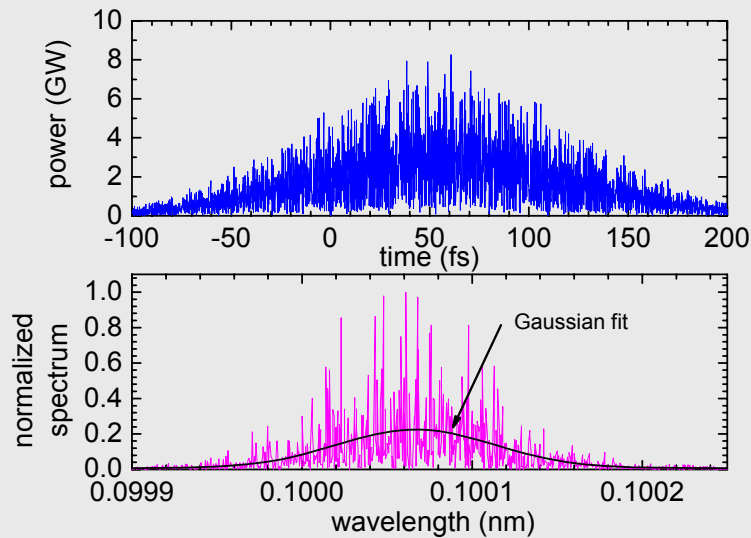
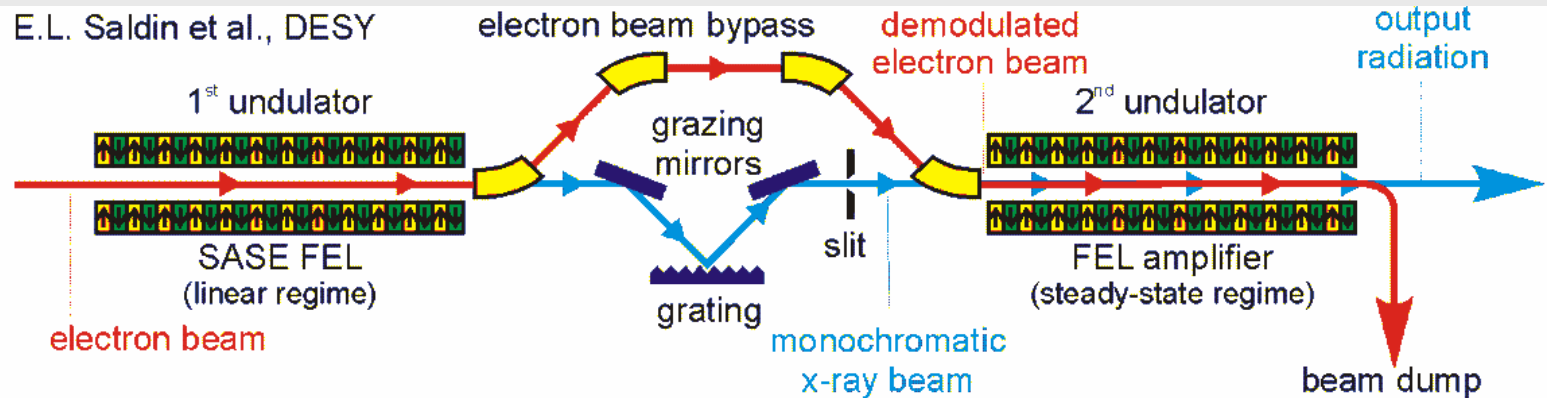
# Seeding: HGHG

## High Gain Harmonics Generation



# Seeding: **SELF SEEDING**

(demo for X-FEL)



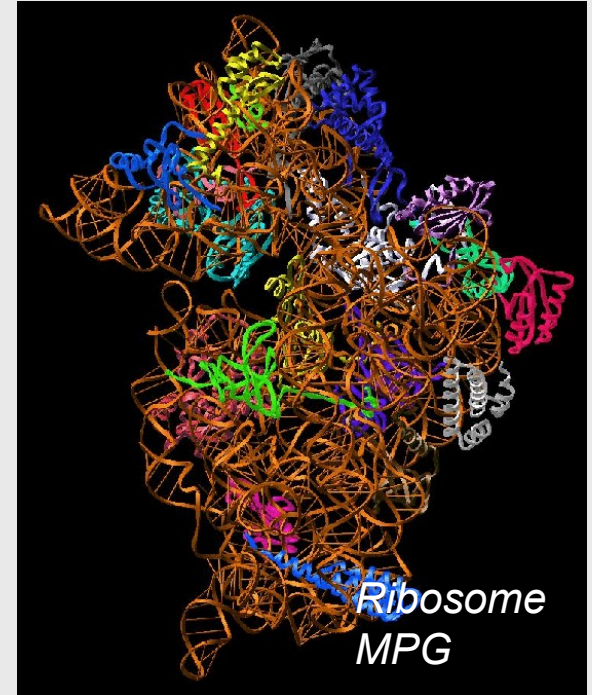
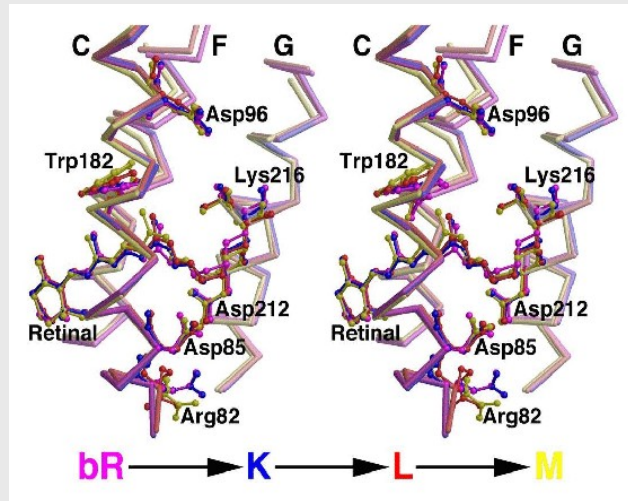
# FEL EXPERIMENTS

# Users Dream will become Reality →

- SINGLE SHOT imaging of single biomolecular complexes

**NEEDS MANY PHOTONS ON THE SAMPLE !**

## LYSOZYME MOLEKUEL



- TIME RESOLVED studies of structural processes during chemical and biological reactions

**NEEDS VERY SHORT PULSES !**



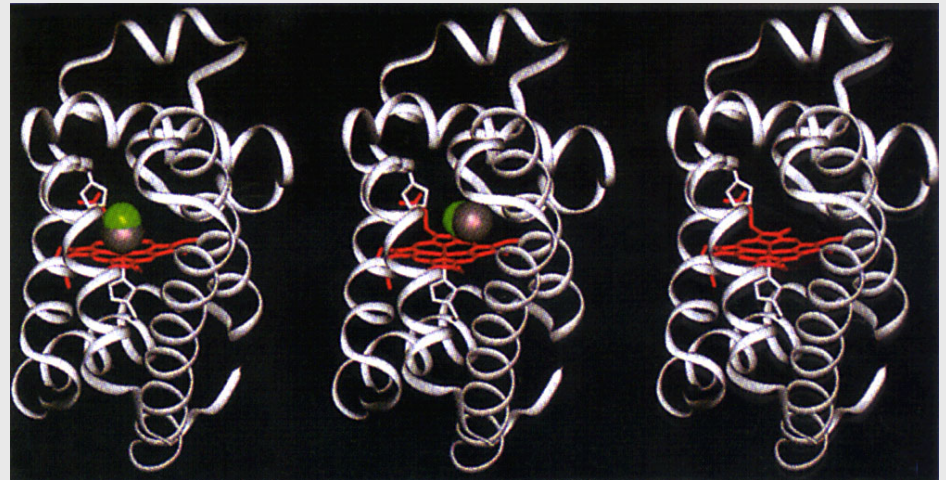
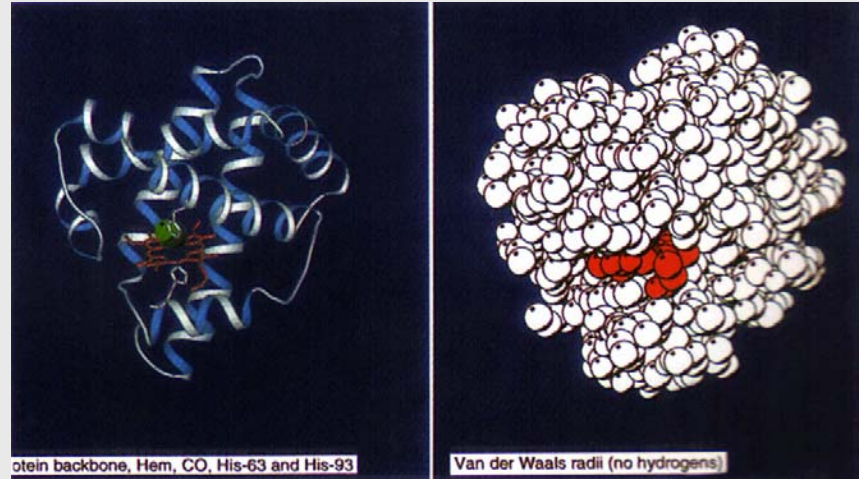
→ permits time resolved studies at the atomic level

**SHORT PULSES** in the range of fs are needed in order to study the dynamics on the atomic and molecular level

## Myoglobin:

How does the oxygen get in and out of the haeme unit?

- **t=0:** Photodissociation
- **4 ns:** CO rotates 90°, moves 4Å from Fe and stays in site for 350 ns
- **1 ms:** CO located in outer protein coat



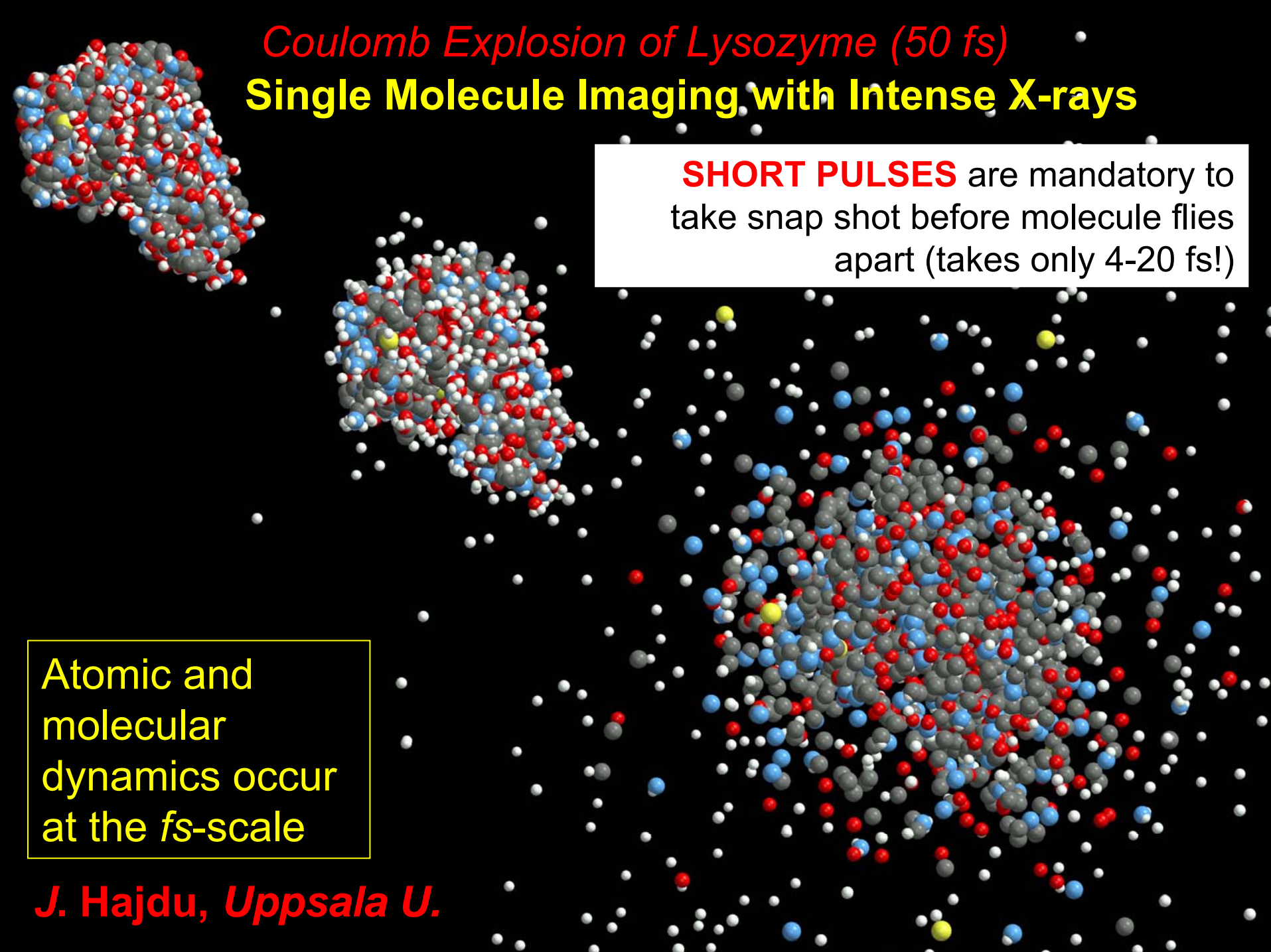
*Schotte et al Science 300 1944 (2003)*

*Coulomb Explosion of Lysozyme (50 fs)*  
**Single Molecule Imaging with Intense X-rays**

**SHORT PULSES** are mandatory to take snap shot before molecule flies apart (takes only 4-20 fs!)

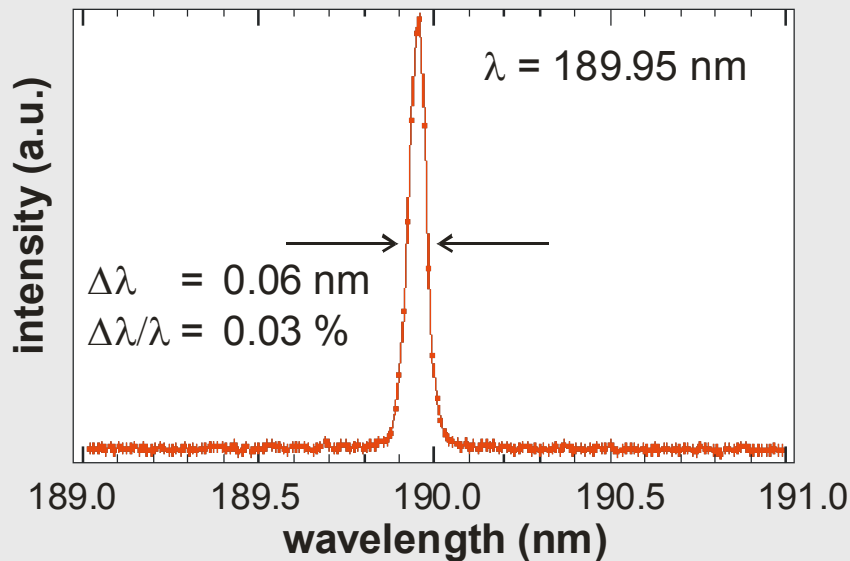
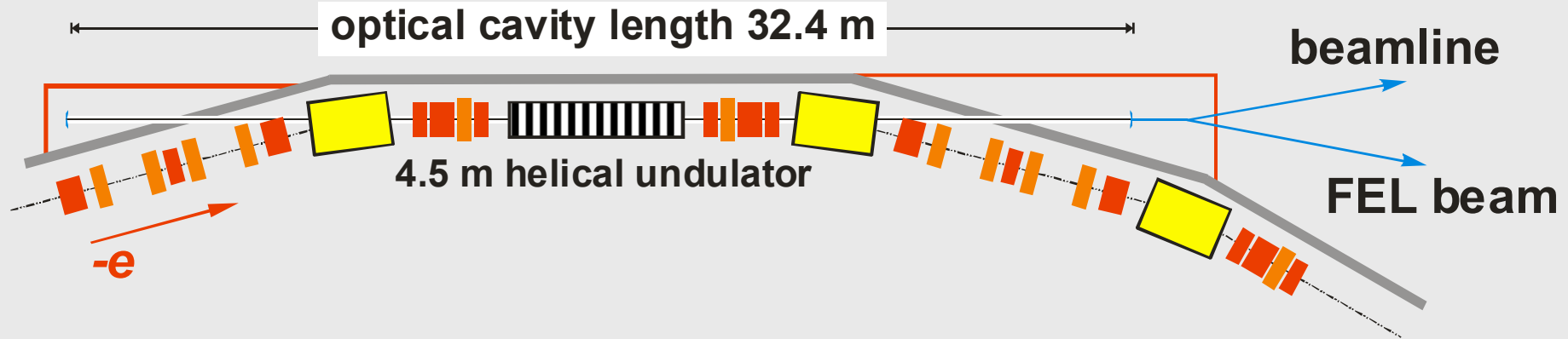
Atomic and molecular dynamics occur at the *fs*-scale

*J. Hajdu, Uppsala U.*



# FEL PROJECTS (a selection)

# The Elettra Storage Ring FEL



Storage ring operation*	1.0	GeV
Tunability range	350 – 190	nm
	3.5 – 6.5	eV
Average power	$\geq 1$	W
Pulse length (FWHM)	$\sim 5$	ps
Peak power	$\geq 40$	kW
Pulse energy	$\geq 0.2$	mJ
Photon flux**	$\geq 10^{18}$	photons/s
Polarization	circular (linear may also be possible)	
Repetition rate	4.6	MHz
Synchronization with synchrotron radiation	1:1	

\* 4-bunch operation, \*\* within the laser bandwidth

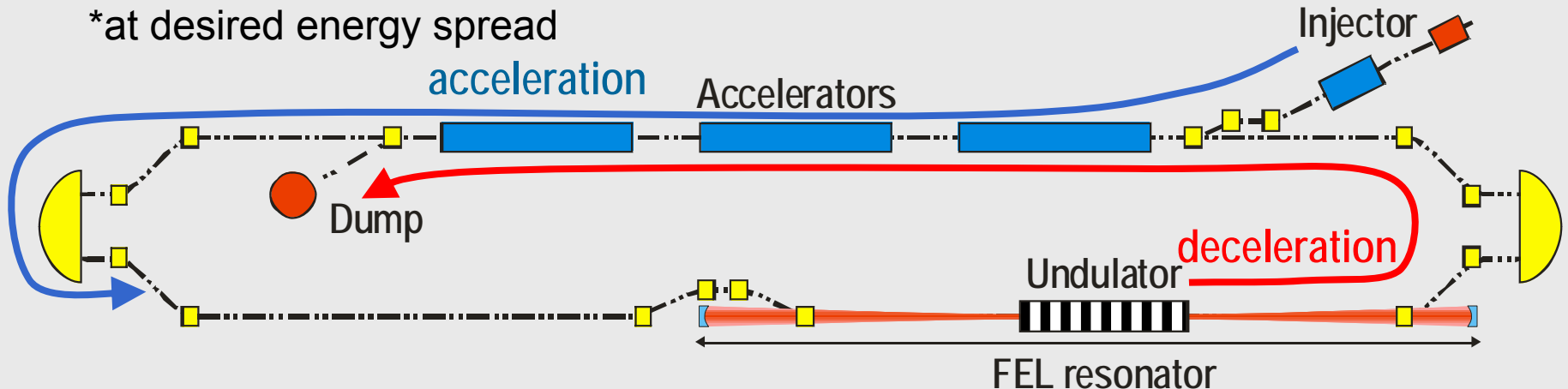
# JLAB recirculating FEL

<u>Driver Accelerator</u>	<u>Design Spec.</u>	<u>Achieved (as of Jul. 21 2004)</u>
Linac Energy	145 MeV	160
Linac Ave. Current	10 mA	9.1
Charge	135 pC	150
Transverse Emittance	30 mm-mrad	<15
Energy Spread	0.3%	0.3
Bunch length *	0.5ps	0.35

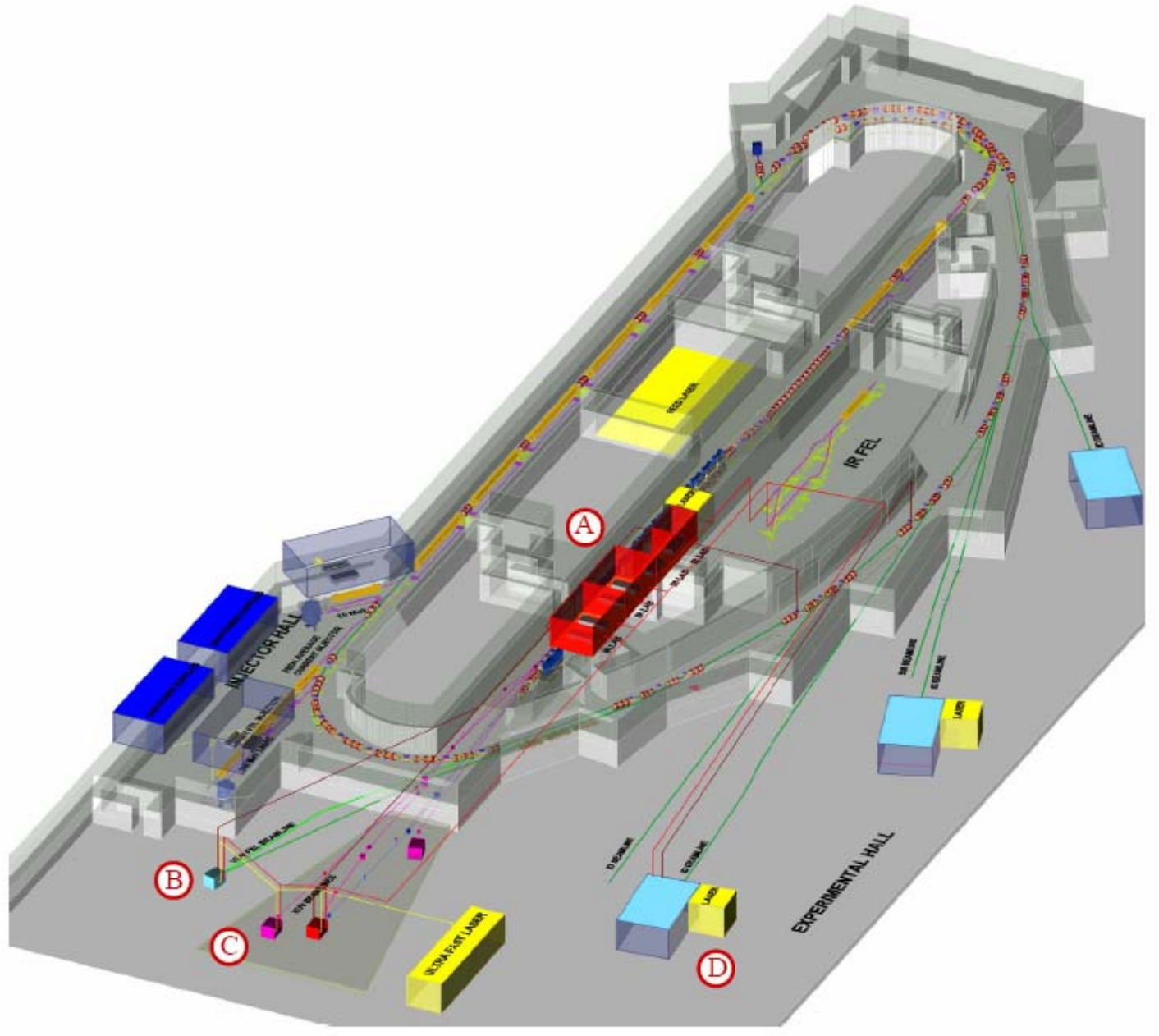
## FEL System

Ave. Power (cw)	10 kW	8.5
Lasing efficiency	1 kW/mA	2.6
Stored Optical Power (@6 $\mu$ m)		132 kW

\*at desired energy spread



# 4GLS Daresbury



# X-FEL facilities



**Europe  
 X-FEL – DESY 2012**

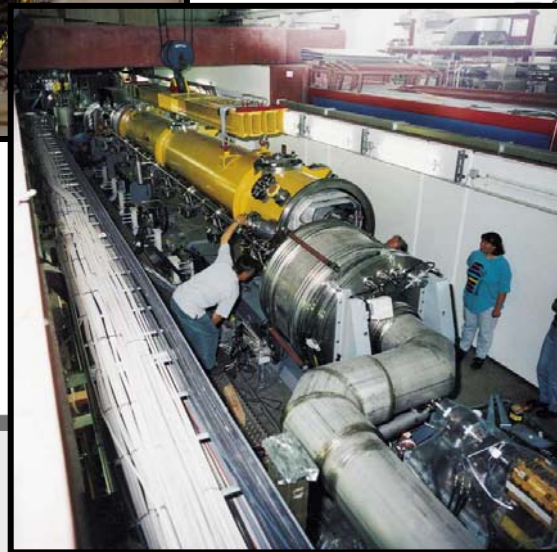
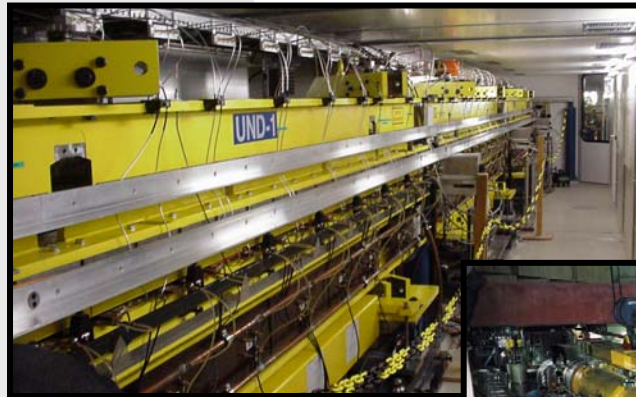
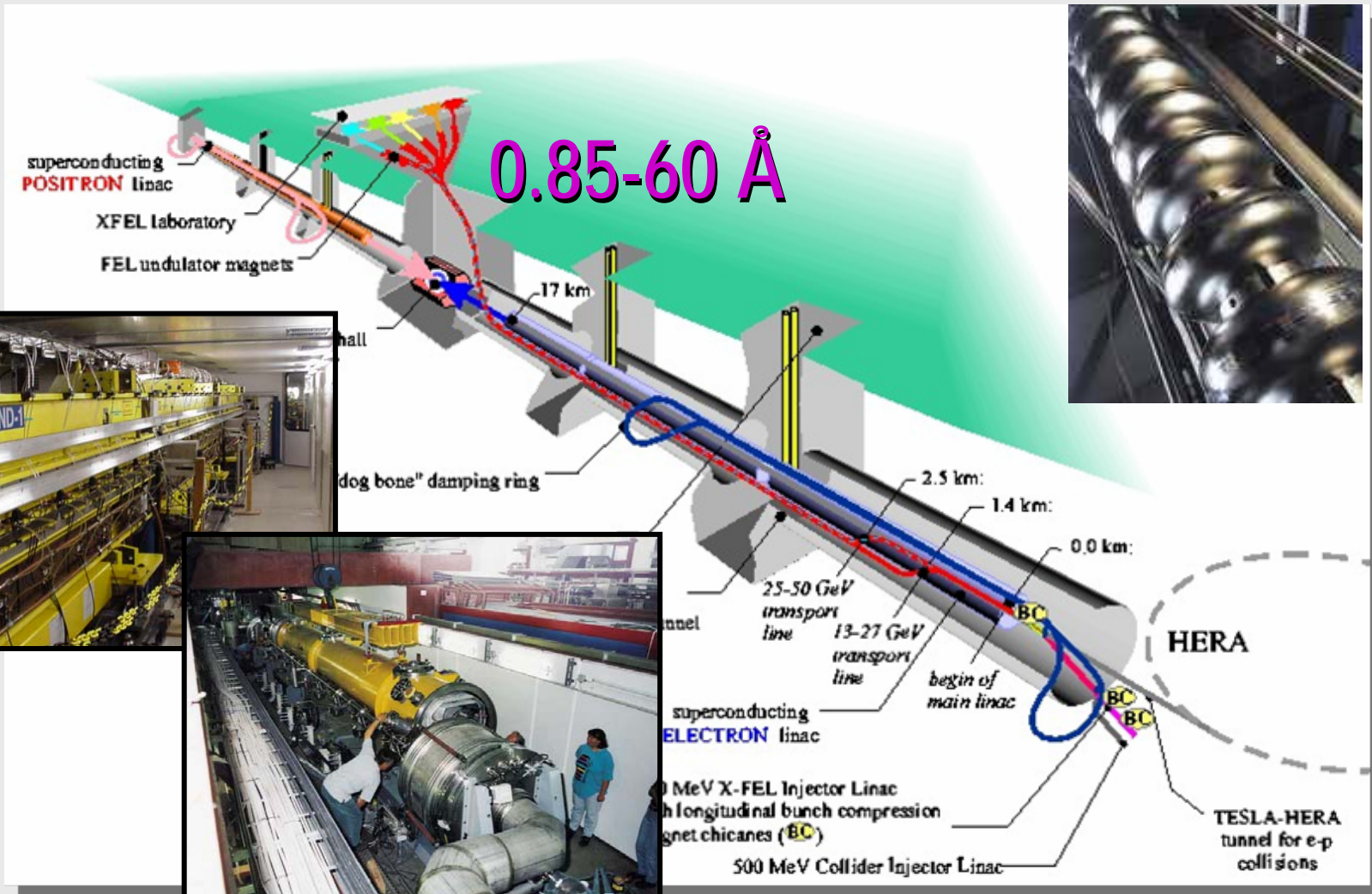


**Japan  
 SCSS – SPring8 2010**



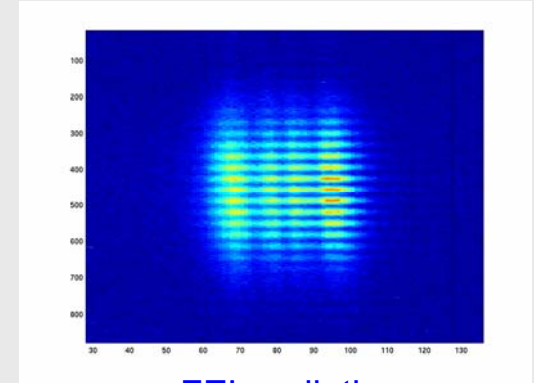
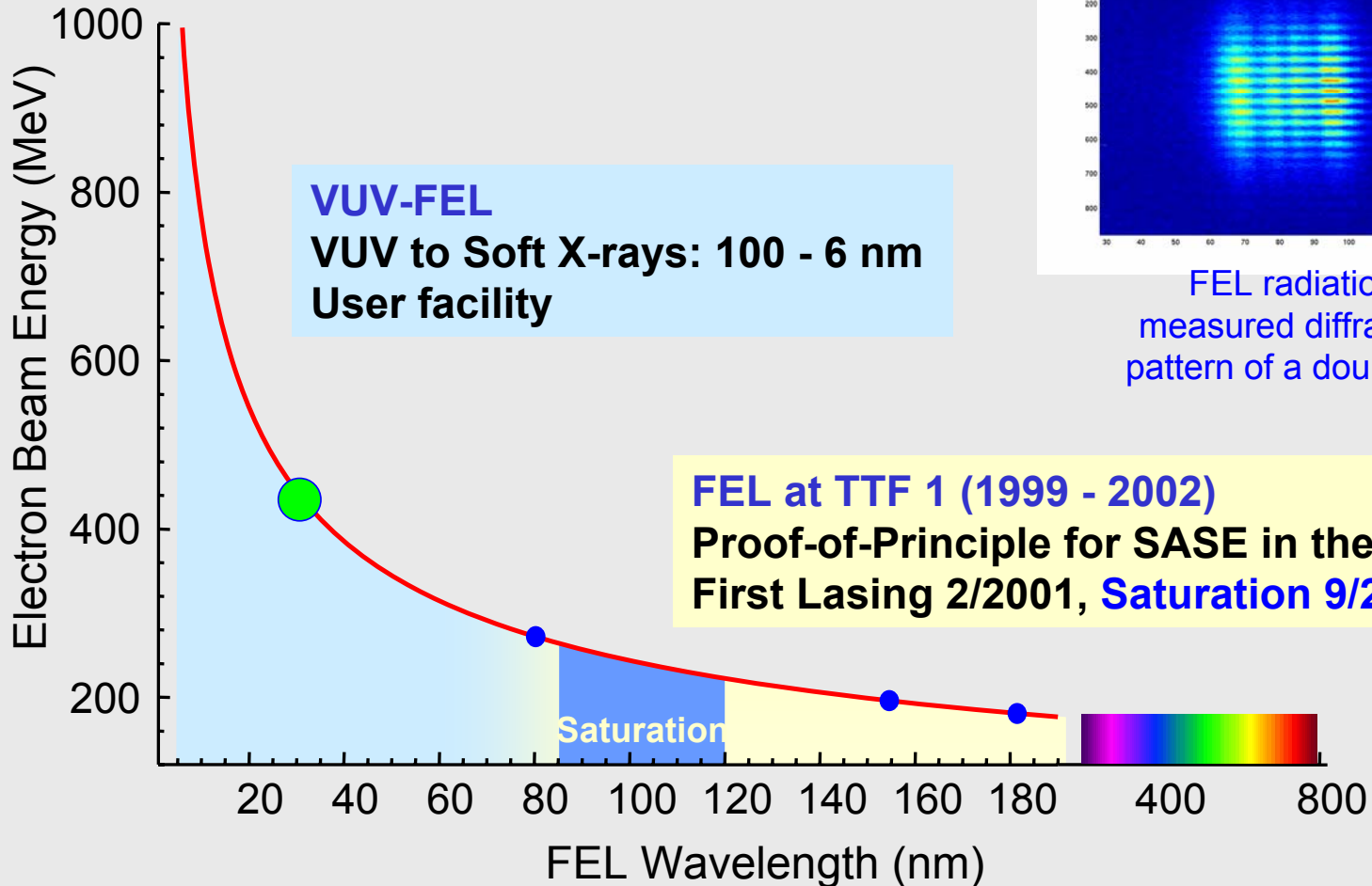
**USA  
 LCLS - SLAC 2009**

# TESLA X - FEL at DESY



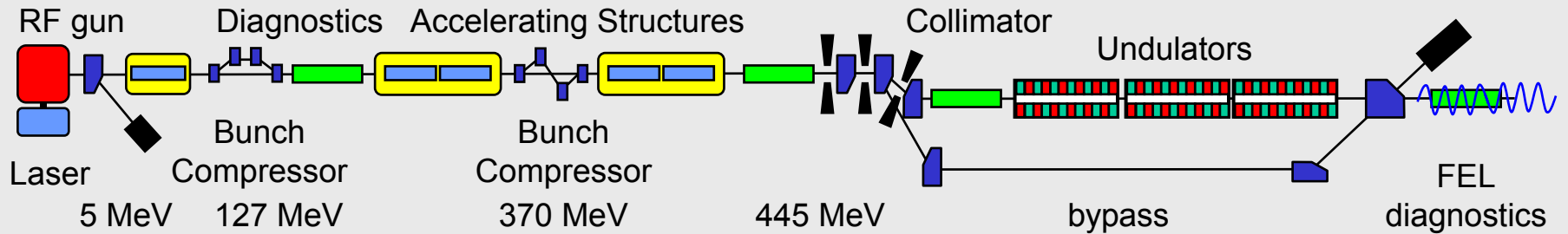
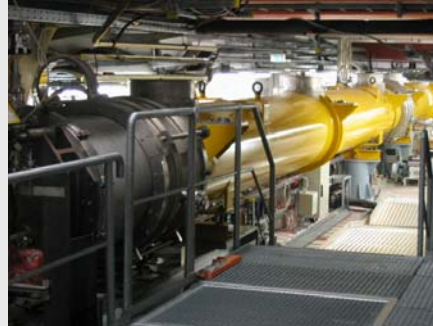


# Beam Energy and Wavelength



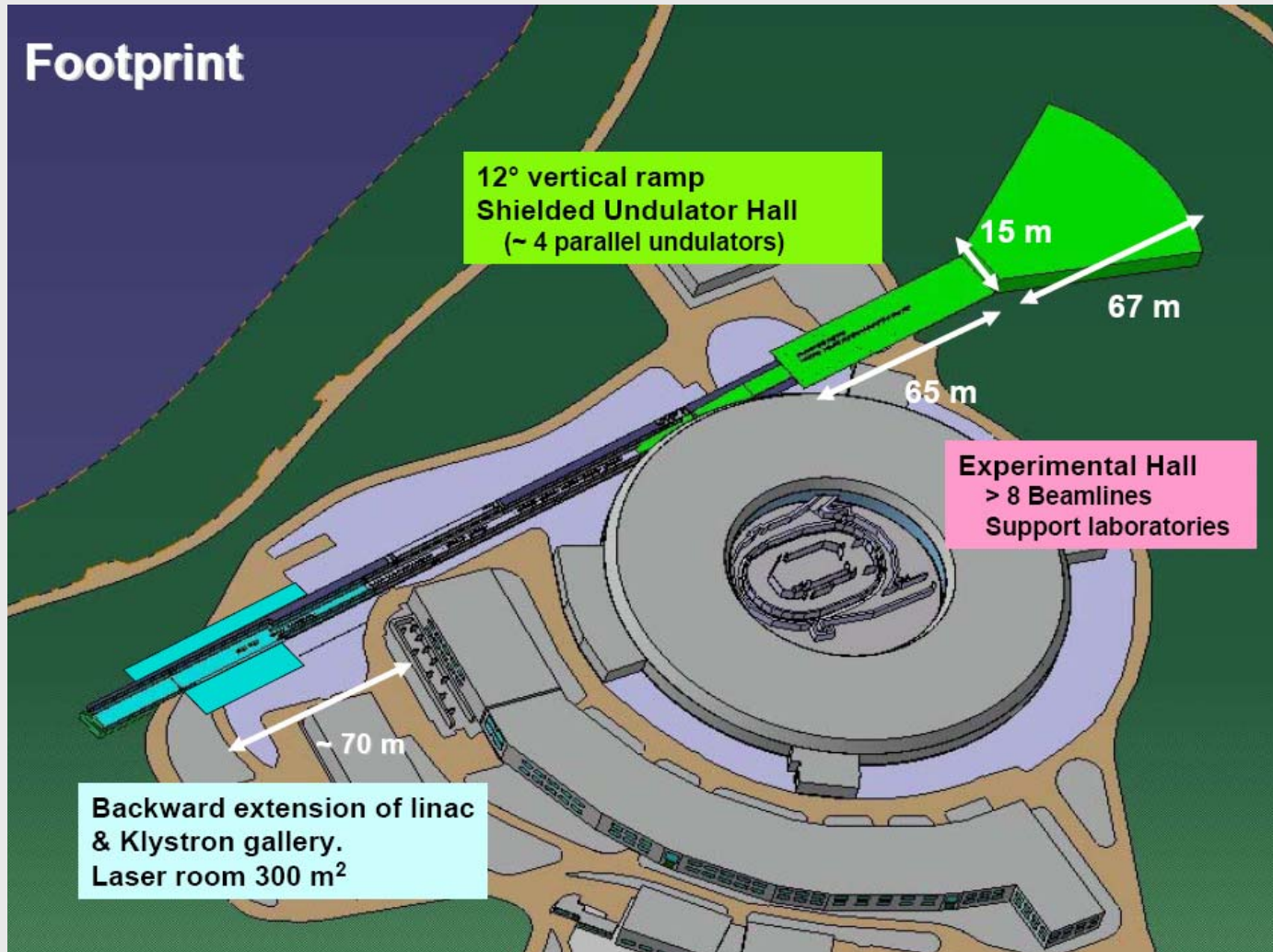
FEL radiation:  
measured diffraction  
pattern of a double slit

# FLASH (DESY)

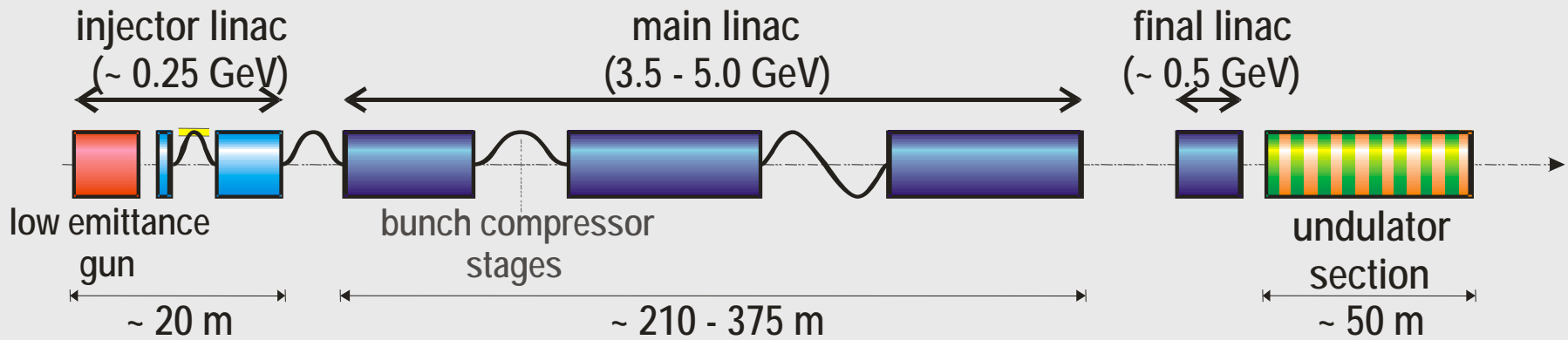


250 m

# FERMI (Sincrotrone Trieste)



# PSI-FEL (Switzerland)



*Critical part  
of the machine*

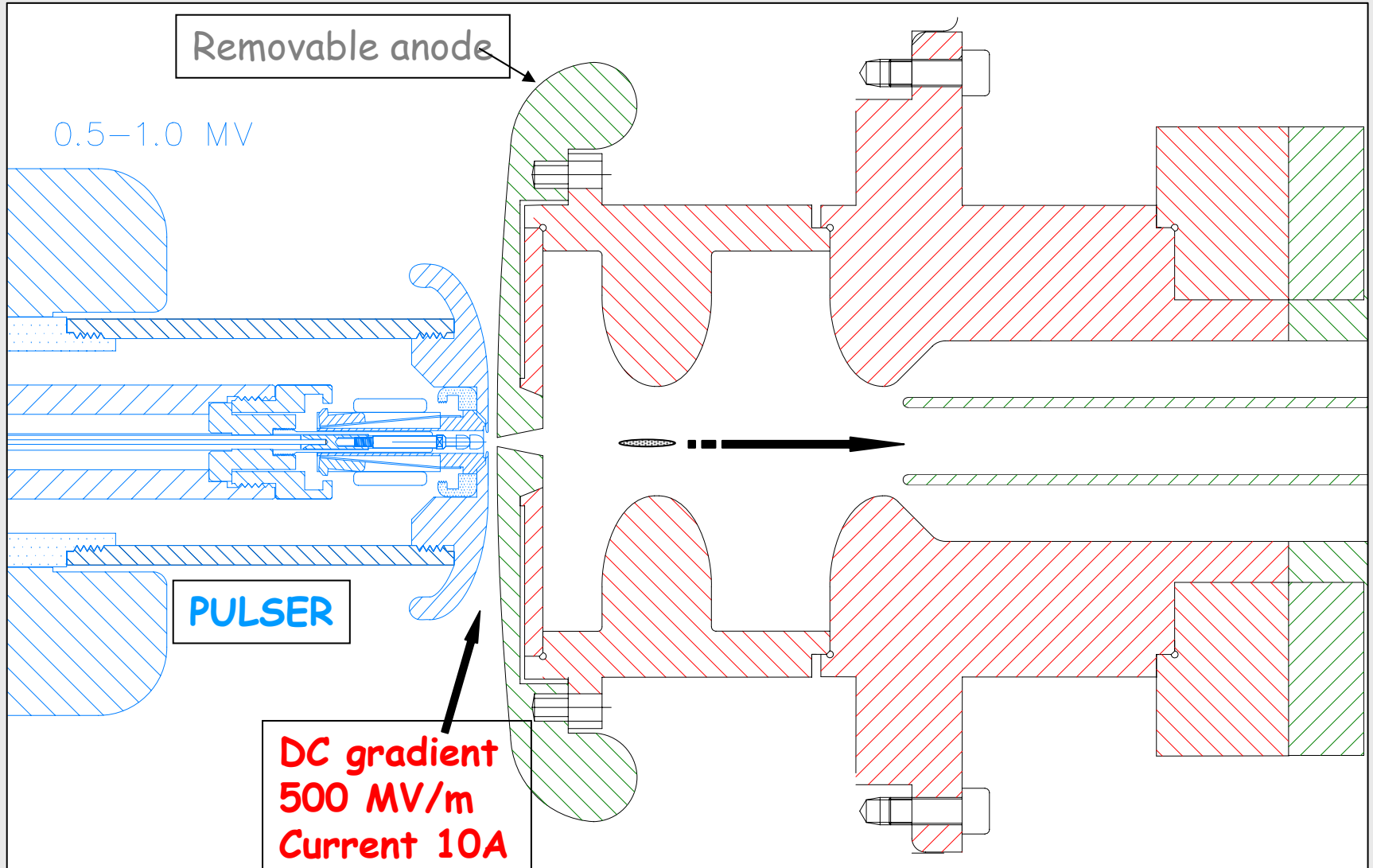
*Similar problems as other projects*

## PSI-XFEL Project

*NEW APPROACH – has 4 characteristic elements:*

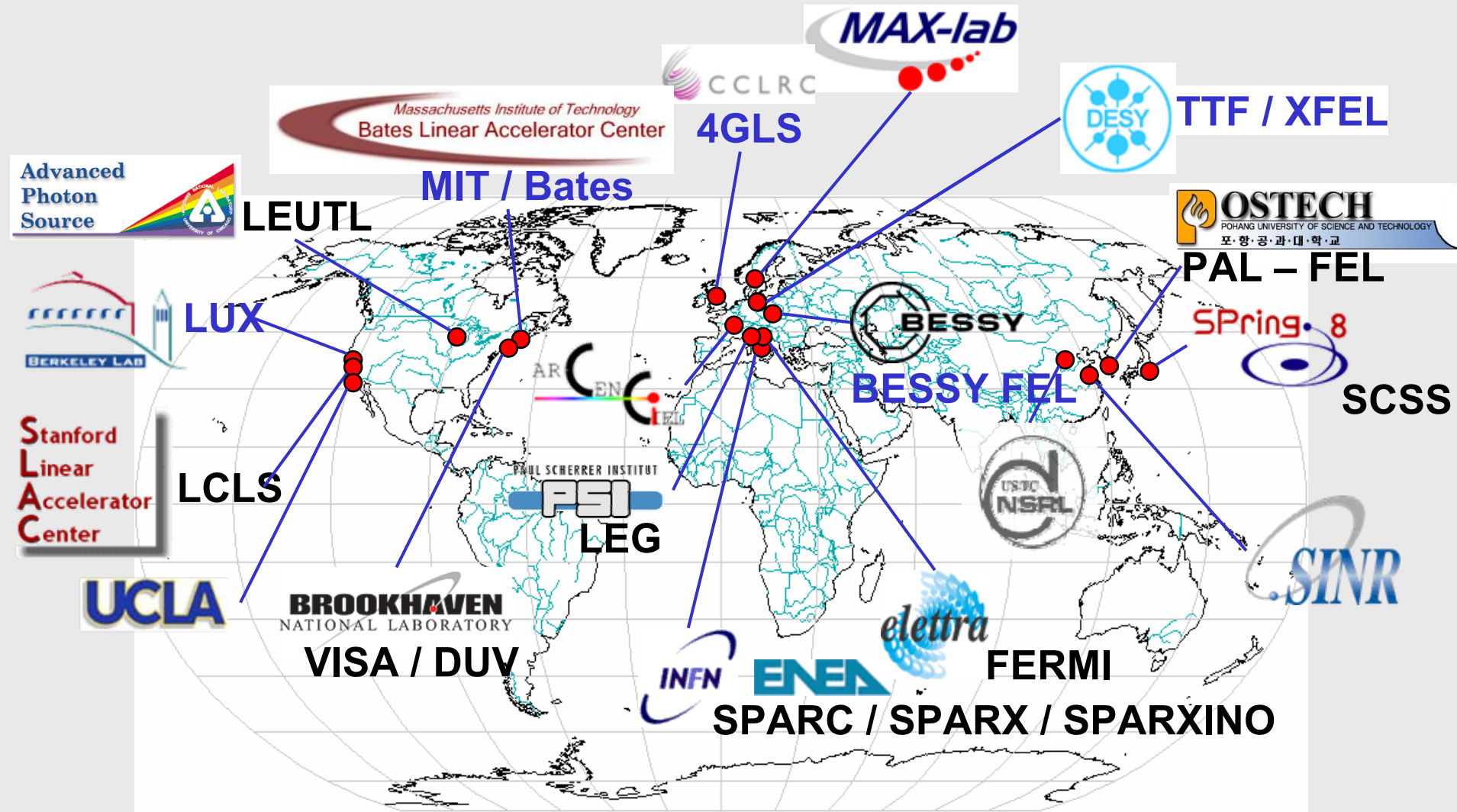
- 1 Field emission from a nano-structured tip array**  
→ homogeneous beam distribution
- 2 Focusing of the individual beamlets**  
→ reduction in emittance
- 3 High gradient acceleration in diode configuration**  
→ reduction of beam blow up due to space charge forces
- 4 Two frequency cavity for linear gradient**  
→ allows longer pulses and higher compression

## CATHODE AND DIODE ASSEMBLY WITH 2 FREQUENCY RF-CAVITY



**... and many more !**

# Single Pass FEL Activity



SC technology / NC technology



**THE END**