# LONGITUDINAL DYNAMICS

by

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(Retired from LAL-Orsay)

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# summary

- Radio-Frequency Acceleration and Synchronism
- Properties of Radio-Frequency cavities
- Energy Gain
- Principle of Phase Stability and Consequences
- Synchronous linear accelerator
- Energy-Phase oscillations
- The capture phenomenon
- The Synchrotron
- RF cavities for Synchrotron
- Dispersion Effects in a Synchrotron
- Energy-Phase Equations in a Synchrotron
- Phase space motions

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And CERN Accelerator Schools (CAS) Proceedings

# Main Characteristics of an Accelerator

#### ACCELERATION is the main job of an accelerator.

•The accelerator provides kinetic energy to charged particles, hence increasing their momentum.

 $\cdot {\rm In}$  order to do so, it is necessary to have an electric field E , preferably along the direction of the initial momentum.



BENDING is generated by a magnetic field perpendicular to the plane of the particle trajectory. The bending radius  $\rho$  obeys to the relation :

$$\frac{p}{e} = B\rho$$

FOCUSING is a second way of using a magnetic field, in which the bending effect is used to bring the particles trajectory closer to the axis, hence to increase the beam density.

## Acceleration & Curvature





becomes:

leading to:

$$\frac{dp_{\theta}}{dt} = eE_{\theta}$$
$$\frac{p_{\theta}}{e} = B_z \rho$$

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**Energy Gain** 

In relativistic dynamics, energy and momentum satisfy the relation:

$$E^{2} = E_{0}^{2} + p^{2}c^{2} \qquad (E = E_{0} + W)$$
$$dE = vdp$$

The rate of energy gain per unit length of acceleration (along z) is then:

$$\frac{dE}{dz} = v\frac{dp}{dz} = \frac{dp}{dt} = eE_z$$

and the kinetic energy gained from the field along the z path is:

$$dW = dE = eE_z dz \implies W = e\int E_z dz = eV$$

where V is just a potential

Hence:

## Methods of Acceleration



Methods of Acceleration (2)

3\_ Acceleration by induction

From MAXWELL EQUATIONS :

The electric field is derived from a scalar potential  $\phi$  and a vector potential A. The time variation of the magnetic field H generates an electric field E

$$\vec{E} = -\vec{\nabla}\phi - \frac{\partial\vec{A}}{\partial t}$$
$$\vec{B} = \mu\vec{H} = \vec{\nabla} \times \vec{A}$$

# The advantage of Resonant Cavities

- Considering RF acceleration, it is obvious that when particles get high velocities the drift spaces get longer and one loses on the efficiency. The solution consists of using a higher operating frequency.

- The power lost by radiation, due to circulating currents on the electrodes, is proportional to the RF frequency. The solution consists of enclosing the system in a cavity which resonant frequency matches the RF generator frequency.



-Each such cavity can be independently powered from the RF generator.

- The electromagnetic power is now constrained in the resonant volume.

- Note however that joule losses will occur in the cavity walls (unless made of superconducting materials)

## The Pill Box Cavity



From Maxwell's equations one can derive the wave equations :

$$\nabla^2 A - \varepsilon_0 \mu_0 \frac{\partial^2 A}{\partial t^2} = 0 \qquad (A = E \text{ ou } H)$$

Solutions for E and H are oscillating modes, at discrete frequencies, of types TM ou TE. For k2a the most simple mode,  $TM_{010}$ , has the lowest frequency ,and has only two field components:



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# The Pill Box Cavity (2)



The design of a pill-box cavity can be sophisticated in order to improve its performances:

-A nose cone can be introduced in order to concentrate the electric field around the axis,

-Round shaping of the corners allows a better distribution of the magnetic field on the surface and a reduction of the Joule losses. It also prevent from multipactoring effects.

A good cavity is a cavity which efficiently transforms the RF power into accelerating voltage.

### **Transit Time Factor**



Oscillating field at frequency  $\omega$  and which amplitude is assumed to be constant all along the gap:

$$E_z = E_0 \cos \omega t = \frac{V}{g} \cos \omega t$$

Consider a particle passing through the middle of the gap at time t=0 : z = vt

The total energy gain is:  $\Delta$ 

$$\Delta W = \frac{eV}{g} \int_{-g/2}^{g/2} \cos \omega \frac{z}{v} dz$$

$$\Delta W = eV \frac{\sin\theta/2}{\theta/2} = eVT$$

$$\theta = \frac{\omega g}{v}$$
 transit angle

 $T \quad transit \ time \ factor$  (0 < T < 1)

## Transit Time Factor (2)

Consider the most general case and make use of complex notations:

$$\Delta E = e \Re_e \int_0^g E_z(z) e^{j\omega t} dz \qquad \omega t = \omega \frac{z}{v} - \psi_p$$

 $\Psi_p$  is the phase of the particle entering the gap with respect to the RF.

$$\Delta E = e \Re_e \left[ e^{-j\psi_p} \int_0^g E_z(z) e^{j\omega \frac{z}{v}} dz \right]$$
$$\Delta E = e \Re_e \left[ e^{-j\psi_p} e^{j\psi_i} \left| \int_0^g E_z(z) e^{j\omega \frac{z}{v}} dz \right| \right]$$

Introducing:  $\phi = \psi_p - \psi_i$ 

$$\Delta E = e \left| \int_0^g E_z(z) e^{j\omega \frac{z}{v}} dz \right| \cos \phi$$

and considering the phase which yields the maximum energy gain:

$$T = \frac{\left|\int_{0}^{g} E_{z}(z)e^{j\omega t}dz\right|}{\int_{0}^{g} E_{z}(z)dz}$$

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# **Important Parameters of Accelerating Cavities**



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# Principle of Phase Stability

Let's consider a succession of accelerating gaps, operating in the  $2\pi$  mode, for which the synchronism condition is fulfilled for a phase  $\Phi_s$ .



If an increase in energy is transferred into an increase in velocity,  $M_1 \& N_1$  will move towards  $P_1$ (stable), while  $M_2 \& N_2$  will go away from  $P_2$  (unstable).

# A Consequence of Phase Stability



External focusing (solenoid, quadrupole) is then necessary

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### The Traveling Wave Case



$$E_{z} = E_{0} \cos(\omega_{RF}t - kz)$$
$$k = \frac{\omega_{RF}}{v_{\varphi}}$$
$$z = v(t - t_{0})$$

The particle travels along with the wave, and k represents the wave propagation factor.

 $v_{\varphi} = phase \ velocity$ 

*v* = *particle velocity* 

$$E_{z} = E_{0} \cos\left(\omega_{RF}t - \omega_{RF}\frac{v}{v_{\phi}}t - \phi_{0}\right)$$

If synchronism satisfied:  $v = v_{\varphi}$  and  $E_z = E_0 \cos \phi_0$ 

where  $\phi_0$  is the RF phase seen by the particle.

# Multi-gaps Accelerating Structures: A- Low Kinetic Energy Linac (protons, ions)





Mode  $\pi$  L= vT/2



In « WIDEROE » structure radiated power  $\propto \omega \ {\cal CV}$ 



ALVAREZ structure

In order to reduce the radiated power the gap is enclosed in a resonant volume at the operating frequency. A common wall can be suppressed if no circulating current in it for the chosen mode.

## **CERN Proton Linac**





# Multi-gaps Accelerating Structures: B- High Energy Electron Linac

- When particles gets ultra-relativistic (v~c) the drift tubes become very long unless the operating frequency is increased. Late 40's the development of radar led to high power transmitters (klystrons) at very high frequencies (3 GHz).

- Next came the idea of suppressing the drift tubes using traveling waves. However to get a continuous acceleration the phase velocity of the wave needs to be adjusted to the particle velocity.



<u>solution</u>: slow wave guide with irises \_\_\_\_\_ iris loaded structure

## Iris Loaded Structure for Electron Linac



4.5 m long copper structure, equipped with matched input and output couplers. Cells are low temperature brazed and a stainless steel envelope ensures proper vacuum.

#### Photo of a CGR-MeV structure



# **Energy-phase Equations**

- Rate of energy gain for the synchronous particle:

$$\frac{dE_s}{dz} = \frac{dp_s}{dt} = eE_0\sin\phi_s$$

- Rate of energy gain for a non-synchronous particle, expressed in reduced variables,  $W = W - W_s = E - E_s$  and  $\varphi = \phi - \phi_s$ :

$$\frac{dw}{dz} = eE_0[\sin(\phi_s + \varphi) - \sin\phi_s] \approx eE_0\cos\phi_s.\varphi \quad (small \ \varphi)$$

- Rate of change of the phase with respect to the synchronous one:

$$\frac{d\varphi}{dz} = \omega_{RF} \left( \frac{dt}{dz} - \left( \frac{dt}{dz} \right)_s \right) = \omega_{RF} \left( \frac{1}{v} - \frac{1}{v_s} \right) \cong -\frac{\omega_{RF}}{v_s^2} \left( v - v_s \right)$$

Since:

$$v - v_s = c(\beta - \beta_s) \cong \frac{c}{2\beta_s} (\beta^2 - \beta_s^2) \cong \frac{w}{m_0 v_s \gamma_s^3}$$

# **Energy-phase Oscillations**

one gets:

$$\frac{d\varphi}{dz} = -\frac{\omega_{RF}}{m_0 v_s^3 \gamma_s^3} w$$

Combining the two first order equations into a second order one:

$$rac{d^2 arphi}{dz^2} + \Omega_s^2 arphi = 0$$
 with  $\Omega_s^2 =$ 

$$\Omega_s^2 > 0$$
 and real

hence:

$$\cos\phi_s > 0$$

And since acceleration also means:

One finally gets the results:

$$\sin \phi_s > 0$$
$$0 < \phi_s < \frac{\pi}{2}$$

 $\frac{eE_0\omega_{RF}\cos\phi_s}{m_0v_s^3\gamma_s^3}$ 

## The Capture Problem

- Previous results show that at ultra-relativistic energies ( $\gamma \gg 1$ ) the longitudinal motion is frozen. Since this is rapidly the case for electrons, all traveling wave structures can be made identical (phase velocity=c).

- Hence the question is: can we capture low kinetic electrons energies ( $\gamma < 1$ ), as they come out from a gun, using an iris loaded structure matched to c?



The electron entering the structure, with velocity v < c, is not synchronous with the wave. The path difference, after a time dt, between the wave and the particle is:

$$dz = (c - v)dt$$

 $\phi = \omega_{RF}t - kz$  with propagation factor

Since:

$$k = \frac{\omega_{RF}}{v_{o}} = \frac{\omega_{RF}}{c}$$

 $\widehat{}$ 

 $dz = \frac{c}{\omega_{pq}} d\phi = \frac{\lambda_g}{2\pi} d\phi \quad \text{and} \quad \frac{d\phi}{dt} = \frac{2\pi}{\lambda_g} c(1-\beta)$ 

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# The Capture Problem (2)

From Newton-Lorentz:

$$\frac{d}{dt}(mv) = m_0 c \frac{d}{dt}(\beta\gamma) = m_0 c \frac{d}{dt} \left(\frac{\beta}{\left(1-\beta^2\right)^{\frac{1}{2}}}\right) = eE_0 \sin\phi$$

Introducing a suitable variable:

$$\beta = \cos \alpha$$

# **Improved Capture With Pre-buncher**

A long bunch coming from the gun enters an RF cavity; the reference particle is the one which has no velocity change. The others get accelerated or decelerated. After a distance L bunch gets shorter while energies are spread: bunching effect. This short bunch can now be captured more efficiently by a TW structure ( $v_{\omega}$ =c).



### Improved Capture With Pre-buncher (2)

The bunching effect is a space modulation that results from a velocity modulation and is similar to the phase stability phenomenon. Let's look at particles in the vicinity of the reference one and use a classical approach.

Energy gain as a function of cavity crossing time:

$$\Delta W = \Delta \left(\frac{1}{2}m_0 v^2\right) = m_0 v_0 \Delta v = eV_0 \sin \phi \approx eV_0 \phi \qquad \Delta v = \frac{eV_0 \phi}{m_0 v_0}$$

Perfect linear bunching will occur after a time delay  $\tau$ , corresponding to a distance L, when the path difference is compensated between a particle and the reference one:

$$\Delta v.\tau = \Delta z = v_0 t = v_0 \frac{\phi}{\omega_{RF}}$$

(assuming the reference particle enters the cavity at time t=0)

Since  $L = v\tau$  one gets:

$$L = \frac{2\nu_0 W}{e V_0 \omega_{RF}}$$

# The Synchrotron

The synchrotron is a synchronous accelerator since there is a synchronous RF phase for which the energy gain fits the increase of the magnetic field at each turn. That implies the following operating conditions:



## The Synchrotron (2)

Energy ramping is simply obtained by varying the B field:

$$p = eB\rho \implies \frac{dp}{dt} = e\rho B' \implies (\Delta p)_{turn} = e\rho B'T_r = \frac{2\pi e\rho RB'}{v}$$

Since:

$$E^{2} = E_{0}^{2} + p^{2}c^{2} \implies \Delta E = v\Delta p$$

$$(\Delta E)_{turn} = (\Delta W)_s = 2\pi e\rho RB' = e\hat{V}\sin\phi_s$$

•The number of stable synchronous particles is equal to the harmonic number h. They are equally spaced along the circumference. •Each synchronous particle satifies the relation p=eBp. They have the nominal energy and follow the nominal trajectory. The Synchrotron (3)

During the energy ramping, the RF frequency increases to follow the increase of the  $\omega_r = \frac{\omega_{RF}}{h} = \omega(B, R_s)$  revolution frequency :

hence :

$$\frac{f_{RF}(t)}{h} = \frac{v(t)}{2\pi R_s} = \frac{1}{2\pi} \frac{e}{m} < B(t) > \implies \frac{f_{RF}(t)}{h} = \frac{1}{2\pi} \frac{ec^2}{E_s(t)} \frac{r}{R_s} B(t)$$

Since  $E^2 = m_0 c^2 + p^2 c^2$ , the RF frequency must follow the variation of the **B** field with the law:  $\frac{f_{RF}(t)}{h} = \frac{c}{2\pi R_s} \left\{ \frac{B(t)^2}{(m_0 c^2 / ecr)^2 + B(t)^2} \right\}^{\frac{1}{2}}$  which asymptotically tends towards  $f_r \rightarrow \frac{c}{2\pi R}$  when B becomes large compare to  $(m_0 c^2 / 2\pi r)$  which corresponds to

 $v \longrightarrow c$  (pc  $\gg m_0 c^2$ ). In practice the B field can follow the law:

$$B(t) = \frac{B}{2}(1 - \cos \omega t) = B \sin^2 \frac{\omega}{2}t$$

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# Single Gap Types Cavities



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## **Ferrite Loaded Cavities**

- Ferrite toroids are placed around the beam tube which allow to reach lower frequencies at reasonable size.

- Polarizing the ferrites will change the resonant frequency, hence satisfying energy ramping in protons and ions synchrotrons.





# High Q cavities for e<sup>-</sup> Synchrotrons







# LEP 2: 2x100 GeV with SC cavities



# **Dispersion Effects in a Synchrotron**



If a particle is slightly shifted in momentum it will have a different orbit:

$$\alpha = \frac{p}{R} \frac{dR}{dp}$$

This is the "momentum compaction" generated by the bending field.

If the particle is shifted in momentum it will have also a different velocity. As a result of both effects the revolution frequency changes:

p=particle momentum R=synchrotron physical radius f<sub>r</sub>=revolution frequency

$$\eta = \frac{p}{f_r} \frac{df_r}{dp}$$

# Dispersion Effects in a Synchrotron (2)



$$ds_0 = \rho d\theta$$
$$ds = (\rho + x)d\theta$$

The elementary path difference from the two orbits is:

$$\frac{ds - ds_0}{ds_0} = \frac{dl}{ds_0} = \frac{x}{\rho}$$



leading to the total change in the circumference:

$$\int dl = 2\pi dR = \int \frac{x}{\rho} ds_0 = \frac{1}{\rho} \int_m x ds_0 \implies dR = \langle x \rangle_m$$
  
Since:  $x = D_x \frac{dp}{p}$  we get:  $\alpha = \frac{\langle D_x \rangle_m}{R}$ 

< >m means that
the average is
considered over
the bending
magnet only

## Dispersion Effects in a Synchrotron (3)

$$\eta = \frac{p}{f_r} \frac{df_r}{dp} \qquad \qquad f_r = \frac{\beta c}{2\pi R} \Longrightarrow \frac{df_r}{f_r} = \frac{d\beta}{\beta} - \frac{dR}{R}$$

$$p = mv = \beta \gamma \frac{E_0}{c} \Longrightarrow \frac{dp}{p} = \frac{d\beta}{\beta} + \frac{d(1-\beta^2)^{-\frac{1}{2}}}{(1-\beta^2)^{-\frac{1}{2}}} = (1-\beta^2)^{-1} \frac{d\beta}{\beta}$$



# Phase Stability in a Synchrotron

From the definition of  $\eta$  it is clear that below transition an increase in energy is followed by a higher revolution frequency (increase in velocity dominates) while the reverse occurs above transition (v  $\approx$  c and longer path) where the momentum compaction (generally > 0) dominates.



# Longitudinal Dynamics

It is also often called "synchrotron motion".

The RF acceleration process clearly emphasizes two coupled variables, the energy gained by the particle and the RF phase experienced by the same particle. Since there is a well defined synchronous particle which has always the same phase  $\phi_s$ , and the nominal energy  $E_s$ , it is sufficient to follow other particles with respect to that particle. So let's introduce the following reduced variables:

revolution frequency : $\Delta f_r = f_r - f_{rs}$ particle RF phase: $\Delta \phi = \phi - \phi_s$ particle momentum: $\Delta p = p - p_s$ particle energy: $\Delta E = E - E_s$ azimuth angle:: $\Delta \theta = \theta - \theta_s$ 

# First Energy-Phase Equation



# Second Energy-Phase Equation

The rate of energy gained by a particle is:

$$\frac{dE}{dt} = e\hat{V}\sin\phi \frac{\omega_r}{2\pi}$$

The rate of relative energy gain with respect to the reference particle is then:

$$2\pi\Delta\left(\frac{\dot{E}}{\omega_r}\right) = e\hat{V}(\sin\phi - \sin\phi_s)$$

Expanding the left hand side to first order:

$$\Delta (\dot{E}T_r) \cong \dot{E} \Delta T_r + T_{rs} \Delta \dot{E} = \Delta E \dot{T}_r + T_{rs} \Delta \dot{E} = \frac{d}{dt} (T_{rs} \Delta E)$$

leads to the second energy-phase equation:

$$2\pi \frac{d}{dt} \left( \frac{\Delta E}{\omega_{rs}} \right) = e \hat{V} \left( \sin \phi - \sin \phi_s \right)$$

# Equations of Longitudinal Motion



This second order equation is non linear. Moreover the parameters within the bracket are in general slowly varying with time.....

# Small Amplitude Oscillations

Let's assume constant parameters R<sub>s</sub>, p<sub>s</sub>,  $\omega_s$  and  $\eta$ :

 $\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s} (\sin\phi - \sin\phi_s) = 0$ 

with 
$$\Omega_s^2 = \frac{h\eta\omega_{rs}e\hat{V}\cos\phi_s}{2\pi R_s p_s}$$

Consider now small phase deviations from the reference particle:

$$\sin\phi - \sin\phi_s = \sin(\phi_s + \Delta\phi) - \sin\phi_s \cong \cos\phi_s \Delta\phi$$
 (for small  $\Delta\phi$ )

and the corresponding linearized motion reduces to a harmonic oscillation:

$\ddot{\phi} + \Omega_s^2 \Delta \phi$	$\phi = 0$	stable for $\Omega_s^2 > 0$ and	$\Omega_{s}$ real
γ < γ <sub>tr</sub>	η <b>&gt; Ο</b>	<b>Ο &lt;</b> φ <sub>s</sub> < π/2	sinø <sub>s</sub> > 0
γ > γ <sub>tr</sub>	η < Ο	π <b>/2 &lt;</b> φ <sub>s</sub> < π	sinø <sub>s</sub> > 0

For larger phase (or energy) deviations from the reference the second order differential equation is non-linear:

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s} (\sin\phi - \sin\phi_s) = 0 \qquad \text{(}\Omega_s \text{ as previously defined)}$$

Multiplying by  $\phi$  and integrating gives an invariant of the motion:

$$\frac{\phi^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} \left(\cos\phi + \phi\sin\phi_s\right) = I$$

which for small amplitudes reduces to:

$$\frac{\dot{\phi}^2}{2} + \Omega_s^2 \frac{(\Delta \phi)^2}{2} = I \qquad \text{(the variable is } \Delta \phi \text{ and } \phi_s \text{ is constant)}$$

Similar equations exist for the second variable :  $\Delta E \propto d\phi/dt$ 

### Large Amplitude Oscillations (2)



Equation of the separatrix:

$$\frac{\phi^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} (\cos\phi + \phi\sin\phi_s) = -\frac{\Omega_s^2}{\cos\phi_s} (\cos(\pi - \phi_s) + (\pi - \phi_s)\sin\phi_s)$$

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Second value  $\phi_m$  where the separatrix crosses the horizontal axis:

$$\cos\phi_m + \phi_m \sin\phi_s = \cos(\pi - \phi_s) + (\pi - \phi_s) \sin\phi_s$$

# Energy Acceptance

From the equation of motion it is seen that  $\phi$  reaches an extremum when  $\dot{\phi} = 0$ , hence corresponding to  $\phi = \phi_s$ .

Introducing this value into the equation of the separatrix gives:

$$\dot{\phi}_{\max}^2 = 2\Omega_s^2 \{2 + (2\phi_s - \pi) \tan \phi_s\}$$

That translates into an acceptance in energy:

$$\left(\frac{\Delta E}{E_s}\right)_{\max} = \mp \beta \left\{-\frac{e\hat{V}}{\pi h \eta E_s}G(\phi_s)\right\}^{\frac{1}{2}}$$
$$G(\phi_s) = \left[2\cos\phi_s + \left(2\phi_s - \pi\right)\sin\phi_s\right]$$

This "RF acceptance" depends strongly on  $\phi_s$  and plays an important role for the electron capture at injection, and the stored beam lifetime.

# **RF** Acceptance versus Synchronous Phase



As the synchronous phase gets closer to 90° the area of stable motion (closed trajectories) gets smaller. These areas are often called "BUCKET".

The number of circulating buckets is equal to "h".

The phase extension of the bucket is maximum for  $\phi_s = 180^\circ$  (or 0°) which correspond to no acceleration. The RF acceptance increases with the RF voltage.

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# **Potential Energy Function**

The longitudinal motion is produced by a force that can be derived from a scalar potential:  $\frac{d^2\phi}{dt^2} = F(\phi)$  $F(\phi)$  $U = -\int_0^{\phi} F(\phi) d\phi = -\frac{\Omega_s^2}{\cos\phi_s} (\cos\phi + \phi \sin\phi_s) - F_0$ ٨V  $\frac{\dot{\phi}^2}{2} + \mathbf{U}(\phi) = \mathbf{F}_{o}$ The sum of the potential energy and kinetic energy is constant and by analogy represents the total energy of a non-dissipative system.  $\phi$ 

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### **Ions in Circular Accelerators**

