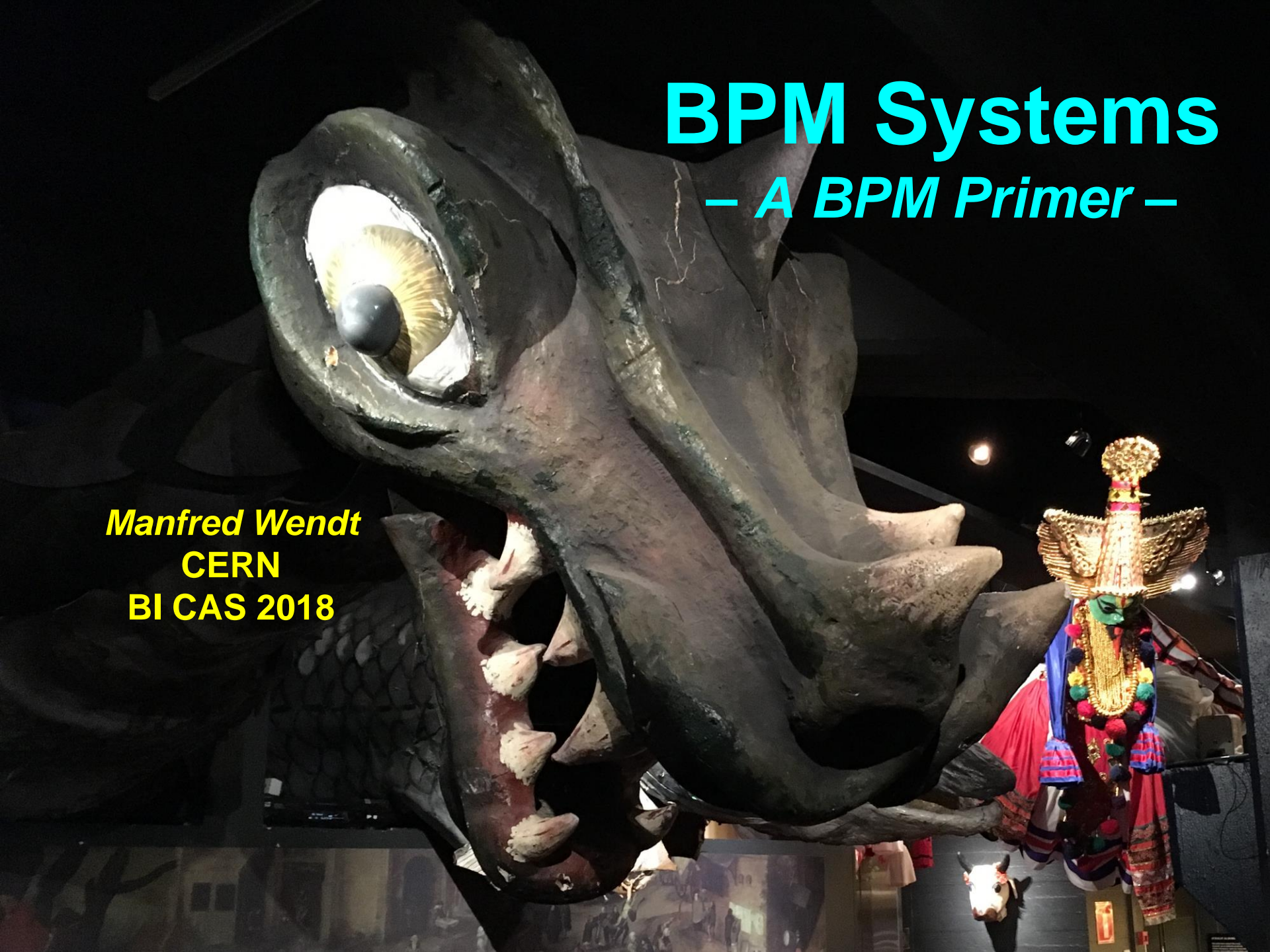


BPM Systems

– *A BPM Primer* –

Manfred Wendt
CERN
BI CAS 2018



BPM Systems Part 2

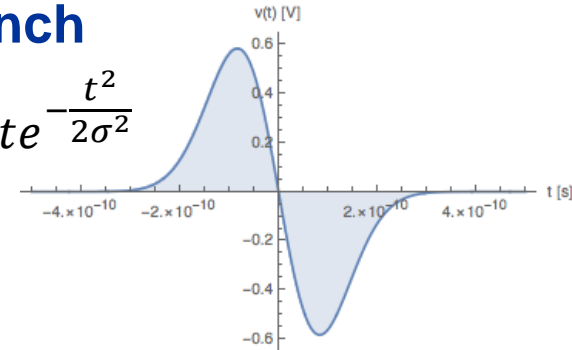
- **Leftover Part 1**
 - Bunch signals from broadband BPMs
 - Cavity & other BPMs
- **Low- β beams**
- **Beam coupling impedance**
- **BPM read-out electronics**
 - Analog & digital systems
 - RF signal conditioning and impedance matching
 - Digital signal processing
 - Long-term drift calibration
 - Signal-to-noise and resolution limit
 - Performance check applying SVD
- **Summary & final remarks**

Bunch Signals from broadband BPMs

- **Button BPM output signal to a Gaussian bunch**

$$v_{button}(t) \approx \frac{A_{button} R_{load}}{\pi r_{pipe} \beta c} \frac{di_{beam}(t)}{dt} = \frac{r_{button}^2 R_{load}}{2 r_{pipe} \beta c} \frac{eN}{\sqrt{2\pi}\sigma^3} t e^{-\frac{t^2}{2\sigma^2}}$$

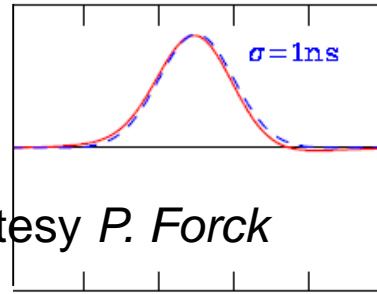
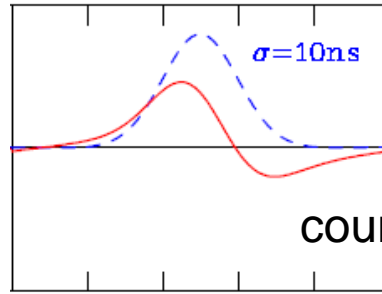
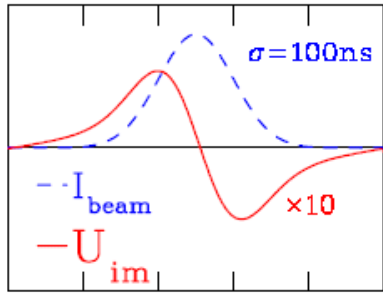
- Only valid for $\omega \ll \omega_1$ (low frequency range)!



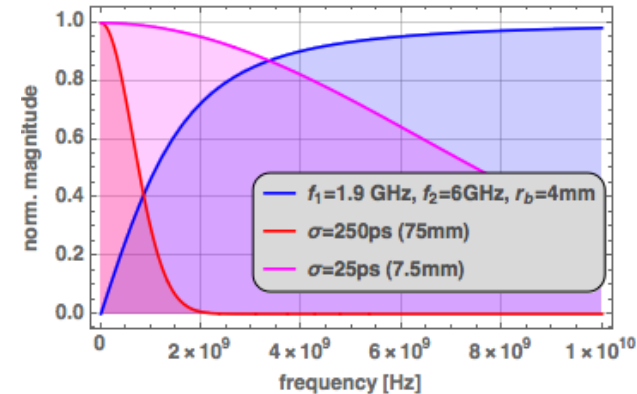
derivative

intermediate

proportional



courtesy P. Forck

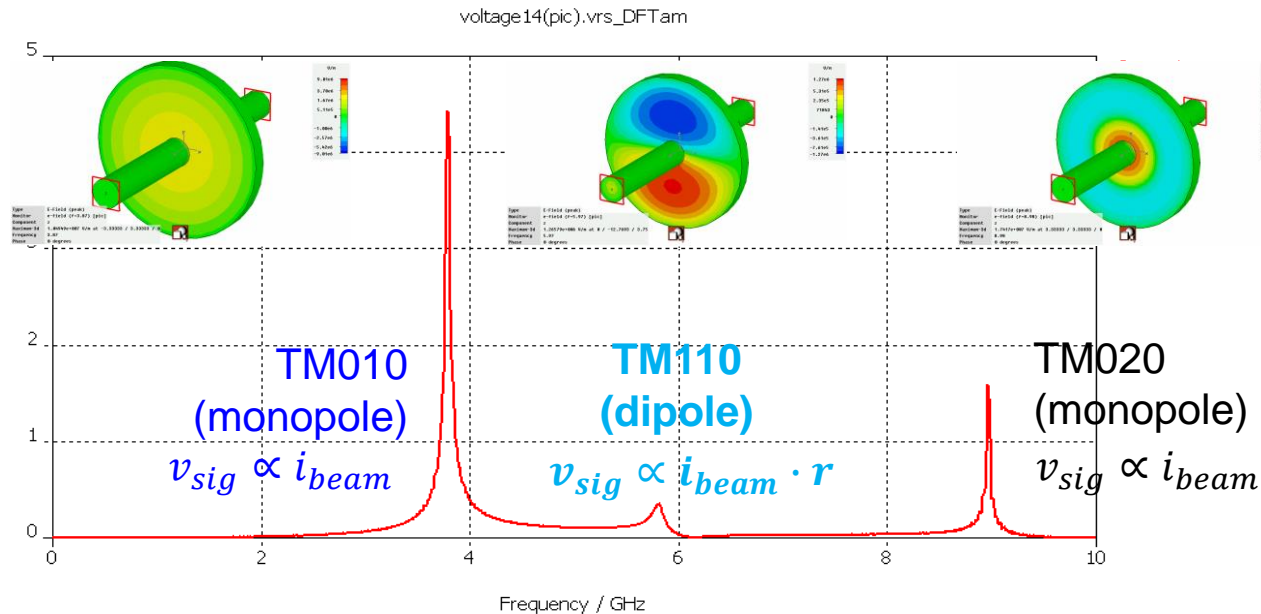


- **Stripline BPM output signal**

- For $\ell \gg \sigma \beta c$ the bunch shape can be well reproduced
 - Separation: $2\ell/c$
 - enables e.g. head-tail mode detection

Resonant Cavity BPM

- Based on a beam-excited, passive resonator
 - Often a cylindrical “pillbox” cavity is used
 - Operating on the TM₁₁₀ dipole-eigenmode offers a higher resolution potential than comparable broadband BPMs (button, stripline).
 - No common-mode Σ signal, only a difference Δ signal
 - High transfer impedance, typically in the k Ω /mm range



courtesy
D. Lipka

Towards a Cavity BPM...

- **Eigenmodes in a brick-style resonator**

- 1st step towards a cavity BPM

- **Unfortunately you need to go through the math of the modal expansion of the vector potential Ψ ...** 😊

Laplace equation:

$$k_0^2 = \omega^2 \epsilon_0 \mu_0$$

k_0 : free space wave number

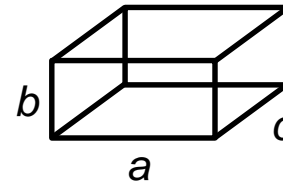
$$\Delta \Psi + k_0^2 \epsilon_r \mu_r \Psi = 0$$

$$k_0 = 2\pi / \lambda_0$$

λ_0 : free space wave length

Product ansatz (*Cartesian* coordinates):

$$\Psi = X(x)Y(y)Z(z)$$



standing waves

General solution (field components):

$$\Psi = \left\{ \begin{array}{l} A \cos(k_x x) + B \sin(k_x x) \\ \hat{A} e^{-jk_x x} + \hat{B} e^{-jk_x x} \end{array} \right\} \left\{ \begin{array}{l} C \cos(k_y y) + D \sin(k_y y) \\ \hat{C} e^{-jk_y y} + \hat{D} e^{-jk_y y} \end{array} \right\} \left\{ \begin{array}{l} E \cos(k_z z) + F \sin(k_z z) \\ \hat{E} e^{-jk_z z} + \hat{F} e^{-jk_z z} \end{array} \right\}$$

separation condition:

$$k_x^2 + k_y^2 + k_z^2 = k_0^2 \epsilon_r \mu_r$$

$$k_x = \frac{m\pi}{a} \quad k_y = \frac{n\pi}{b} \quad k_z = \frac{p\pi}{c}$$

travelling waves

Eigen frequencies:

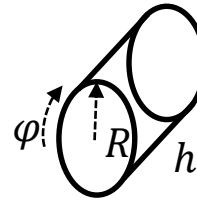
$$f_{mnp} = \frac{c_0}{2\pi \epsilon_r \mu_r} \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2 + \left(\frac{p\pi}{c}\right)^2}$$

Cylindrical “Pillbox” Cavity Resonator

- Same procedure, but now with cylindrical functions ☹️☹️

Product ansatz (cylindrical coordinates):

$$\Psi = R(\rho)F(\varphi)Z(z)$$



standing waves

General solution (field components):

$$\Psi = \left\{ \begin{array}{l} A J_m(k_r \rho) + B N_m(k_r \rho) \\ \hat{A} H_m^{(2)}(k_r \rho) + \hat{B} H_m^{(2)}(k_r \rho) \end{array} \right\} \left\{ \begin{array}{l} C \cos(m\varphi) + D \sin(m\varphi) \\ \hat{C} e^{-jm\varphi} + \hat{D} e^{-jm\varphi} \end{array} \right\} \left\{ \begin{array}{l} E \cos(k_z z) + F \sin(k_z z) \\ \hat{E} e^{-jk_z z} + \hat{F} e^{-jk_z z} \end{array} \right\}$$

travelling waves

$J_m, N_m, H_m^{(1,2)}$: cylindrical functions (Bessel, Hankel, Neumann)

^(1,2)see Abramowitz and Stegun

separation condition:

$$k_r^2 + k_z^2 = k_0^2 \epsilon_r \mu_r$$

Eigen frequencies:

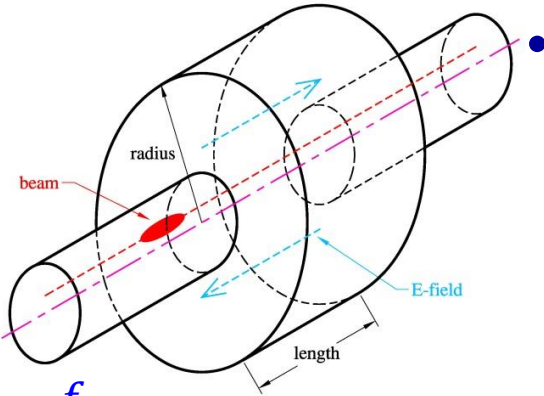
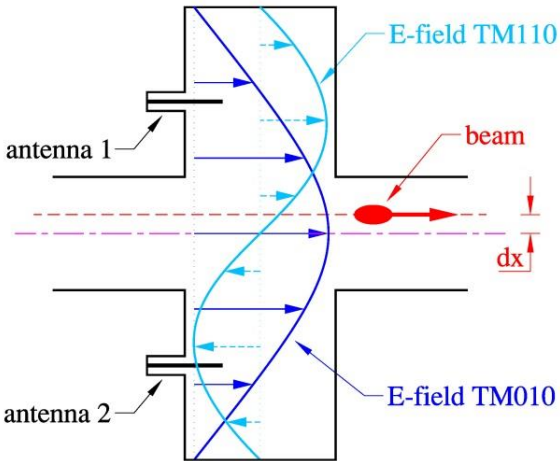
$$f_{TMmnp} = \frac{c_0}{2\pi \epsilon_r \mu_r} \sqrt{\left(\frac{j_{mn}}{R}\right)^2 + \left(\frac{p\pi}{h}\right)^2}$$

$$f_{TEmnp} = \frac{c_0}{2\pi \epsilon_r \mu_r} \sqrt{\left(\frac{j'_{mn}}{R}\right)^2 + \left(\frac{p\pi}{h}\right)^2}$$

j_{mn} being the n^{th} root of $J_m(x)$

j'_{mn} being the n^{th} root of $J'_m(x)$

Cavity BPM



Beam couples to:

$$E_z = C J_1 \left(\frac{j_{11} r}{R} \right) e^{i\omega t} \cos \varphi$$

dipole (TM_{110}) and monopole (TM_{010}) & other modes

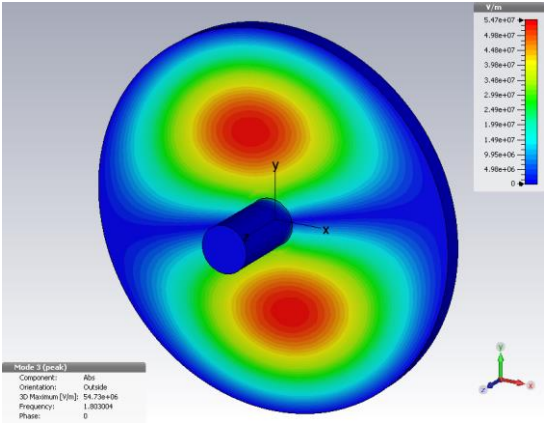
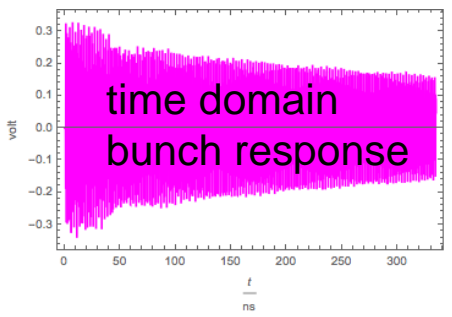
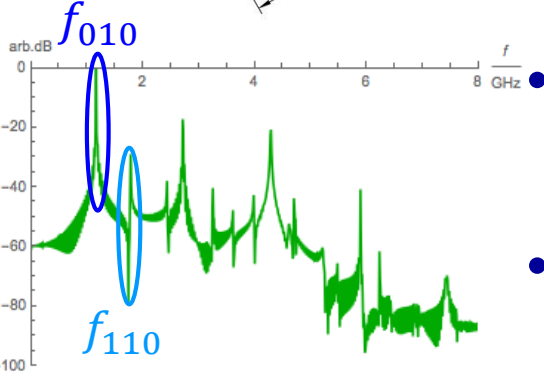
Common mode (TM_{010}) frequency discrimination

Decaying RF signal response

– Position signal: TM_{110}

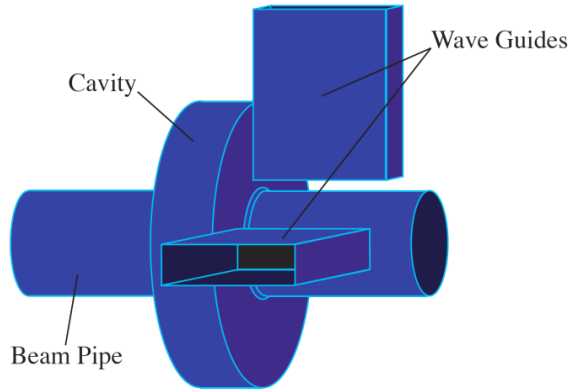
➤ Requires normalization and a phase reference

– Intensity signal: TM_{010}

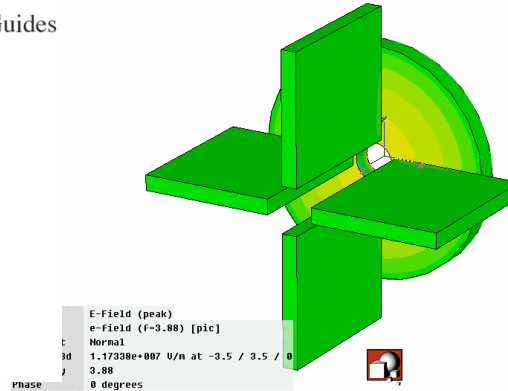


$r_{pipe} = 12.5mm$
 $r_{cav} = 100mm$
 $l_{cav} = 10mm$

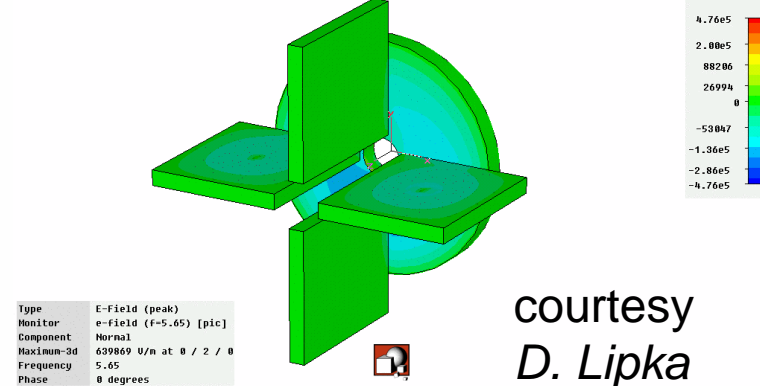
Common-mode free Cavity BPMs



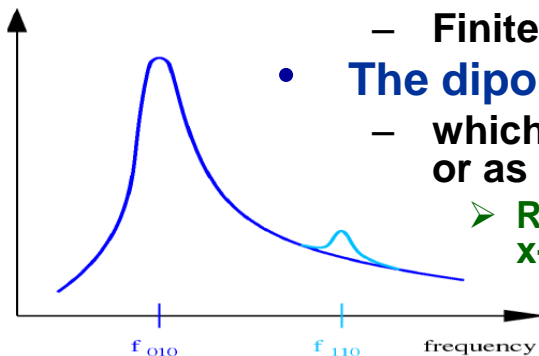
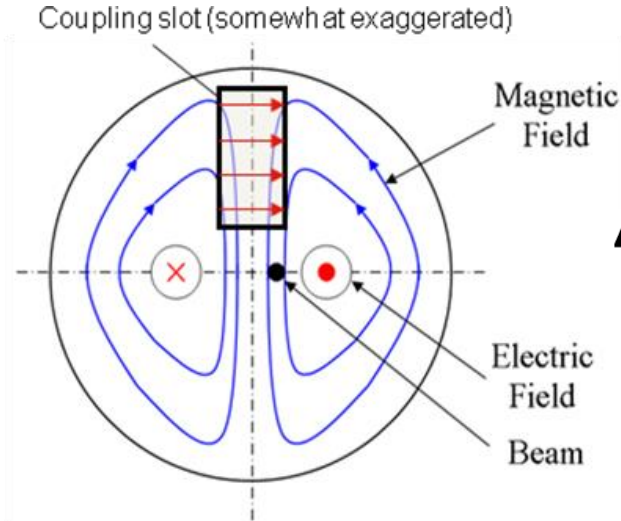
monopole mode



dipole mode



courtesy
D. Lipka



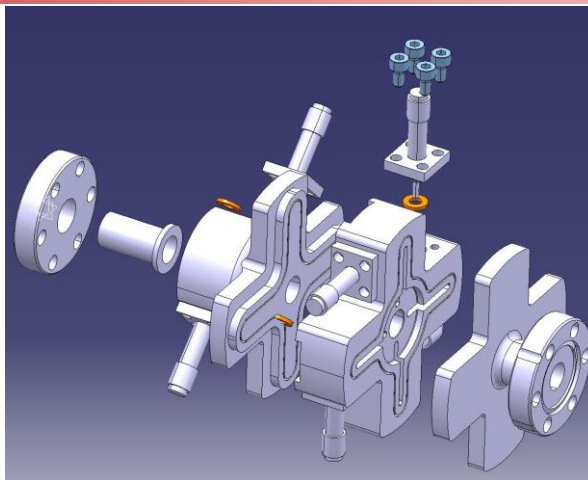
- Add slot-coupled waveguide TE_{01} -mode high-pass filter

$$f_{010} < f_{10} = \frac{1}{2a\sqrt{\epsilon\mu}} < f_{110}$$

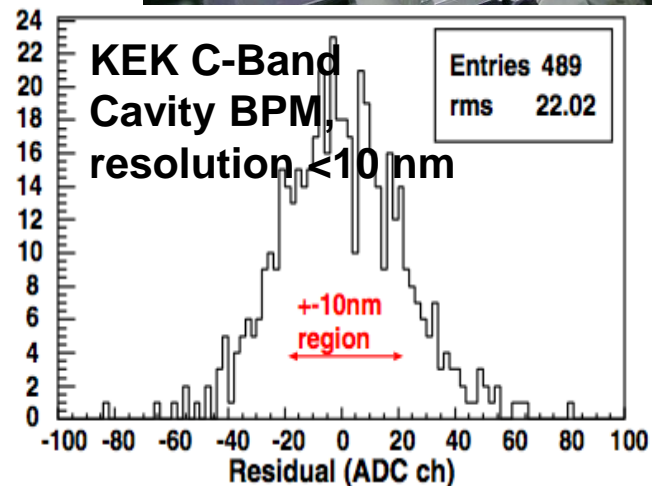
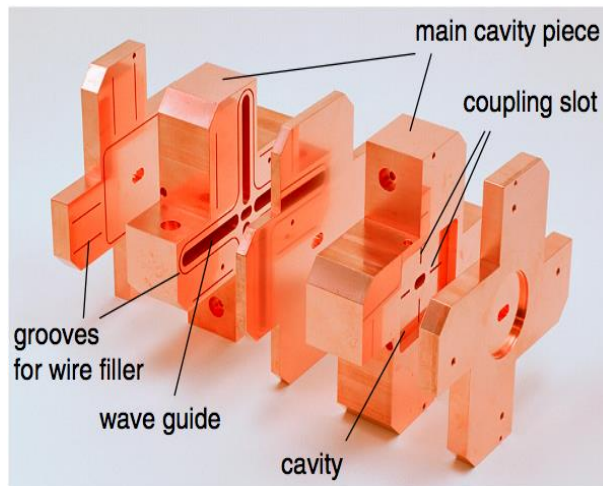
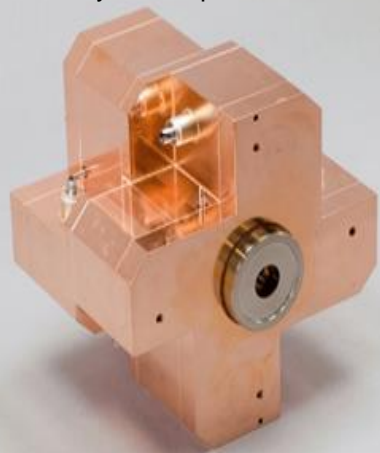
between cavity and coaxial output port.

- Finite Q of TM_{010} still leaks into TM_{110} !
- The dipole mode has two polarizations
 - which will orientate along imperfections, or as wanted along the coupling slots
 - Requires tight tolerances to minimize x-y cross talk

Examples of Cavity BPMs



Courtesy of D. Lipka & Y. Honda



Cavity BPM

+ Pros

- No or minimum common mode signal contribution in the Δ -signal
 - Frequency discrimination of dipole (TM₁₁₀) and monopole (TM₀₁₀) modes
- High resolution potential
 - High shunt (transfer) impedance of the TM₁₁₀ mode
 - Even for lower Q tuning of the TM₁₁₀ mode
 - Sub- μm signal pass resolution potential

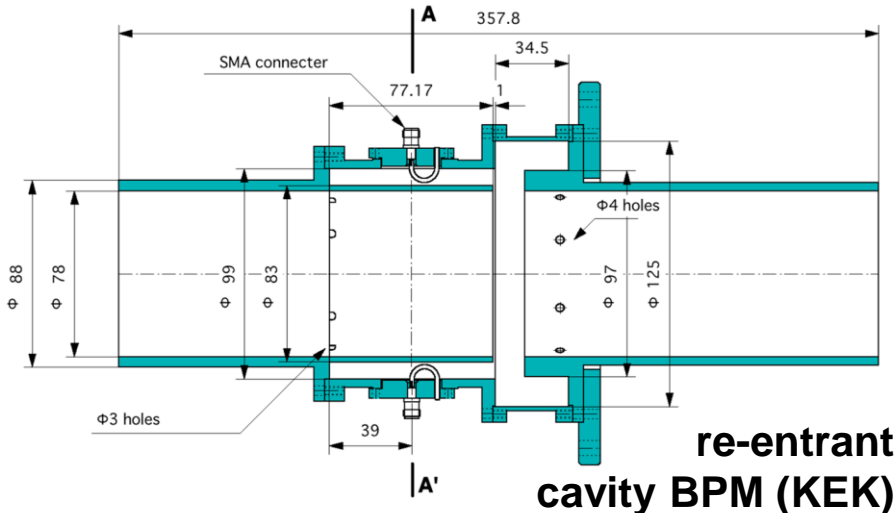
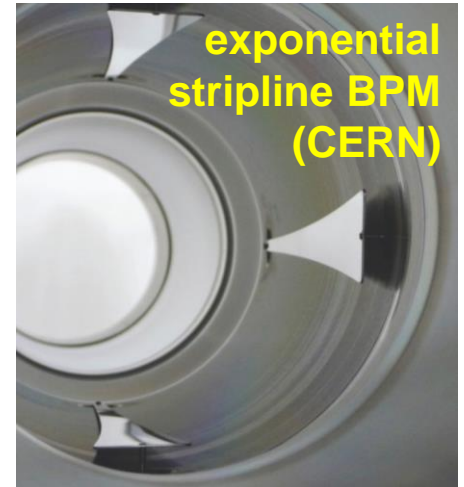
- Cons

- High beam coupling impedance
 - No free lunch: high impedance may cause beam break-up and/or instabilities
 - No or very limited use in ring accelerators
- Requires a reference monopole mode (TM₀₁₀) resonator
 - Beam phase and intensity
- Limited position range
 - ~half aperture
- Requires advanced RF read-out electronics
- High-Q resonator may not be suitable for single bunch position measurements

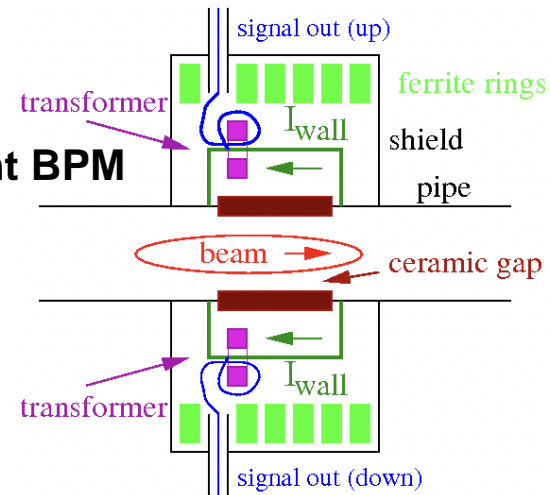
Other Types of BPM Pickups

- Less popular, but sometimes better suited for a specific application
 - Stripline BPM with shorted downstream ports
 - Exponentially tapered stripline BPM
 - Re-entrant cavity BPM
 - Resonant stripline of button BPM
 - Inductive BPM, ...

➤ In common: based on symmetry

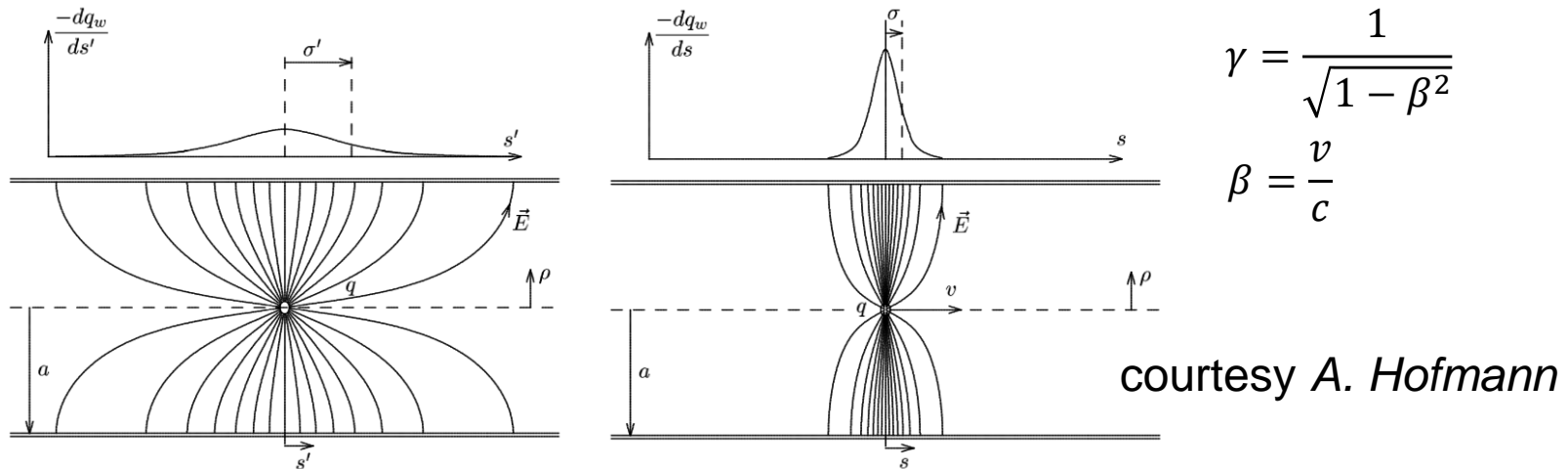


inductive wall-current BPM (CERN)



Effects of Low- β Beams

- At $\beta \ll 1$ the EM-field of a point charge develops longitudinal field components (non-TEM field)
 - Point charge in a cylindrical beam pipe of radius $r_{pipe} = a$ at rest and at $\gamma = 4$ ($\beta \approx 0.97$)

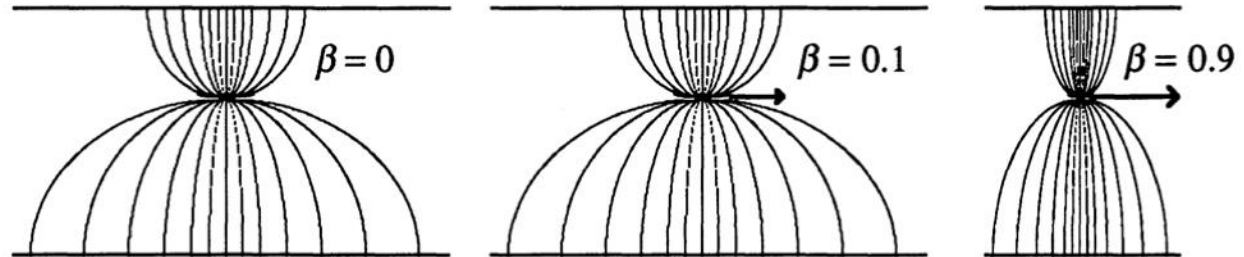


- The longitudinal image charge distribution $-dq_w/ds$ follows a complicated expression from a Bessel-Fourier series expansion
 - Fortunately the RMS value is simply:

$$\sigma_s = \frac{r_{pipe}}{\sqrt{2}\gamma}$$

Position Monitoring of Low- β Beams

E-field for an off-center beam moving at:



courtesy R. Shafer

- For an off-center beam in a cylindrical beam pipe:
 - Image charges integrated on the right, horizontal electrode A
 - Some simplifications could be applied for $gr < gR \ll 1$

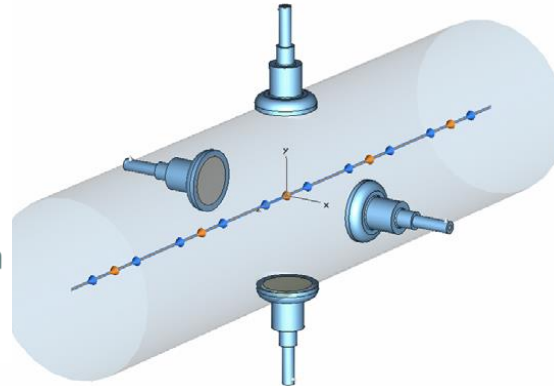
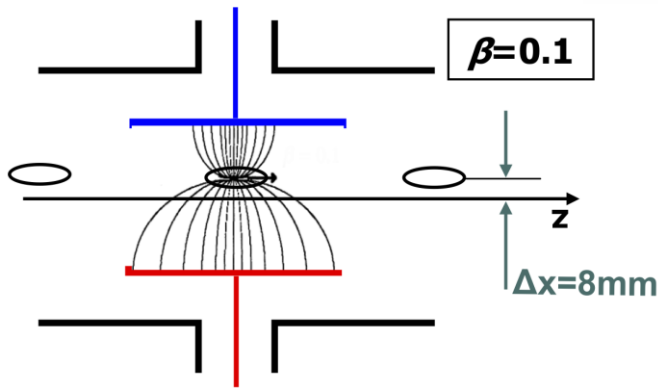
$$I_A = -\frac{I_{beam}}{2\pi} s_A[r, \varphi, \alpha, g(\omega)]$$

$$s_A[r, \varphi, \alpha, g(\omega)] = \alpha \frac{J_0(gr)}{J_0(gR)} + 4 \sum_{m=1}^{\infty} \frac{1}{m} \frac{J_m(gr)}{J_m(gR)} \sin \left[m \left(\frac{\alpha}{2} - \varphi \right) \right]$$

with: $g(\omega) = \frac{\omega}{\beta\gamma c}$, $J_m(ar g)$: mod. Bessel function of m^{th} order

- Result:
 - The position characteristic of a broadband BPM for low- β beams is frequency depending!

Numerical Analysis of Low- β Beam Effects



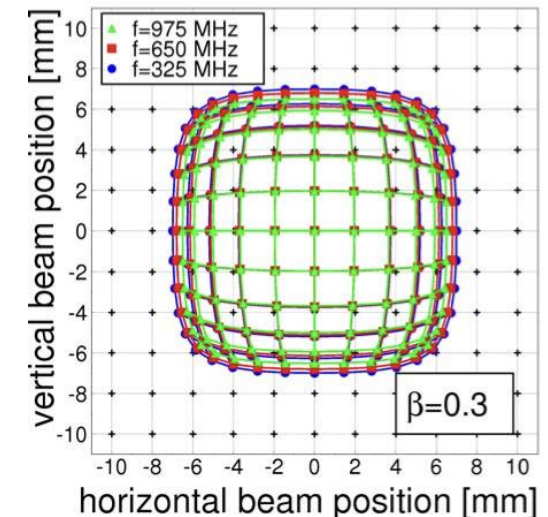
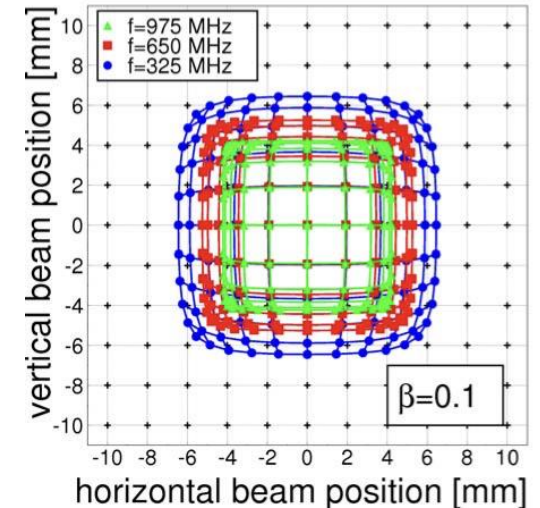
courtesy *P. Kowina*

- **Button BPM analysis**

- Beam pipe $R = 30 \text{ mm}$
- Gaussian bunch $\sigma = 0.15 \text{ ns}$
- Beam velocity $0.1 < \beta < 0.3$
- Operating frequencies $f = 325, 650, 975 \text{ MHz}$

- **Discussion of the results**

- BPM electrode signals, i.e. the waveform and frequency spectrum are position dependent
 - Therefore the BPM position characteristic is frequency dependent for low β beams
 - The position sensitivity is reduced at low β , particular when operating at high frequencies

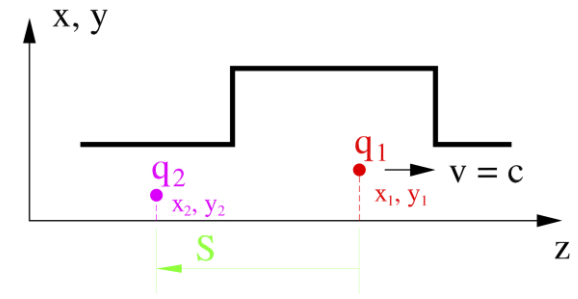


Beam Coupling Impedance

- **The wake potential**

- Lorenz force on q_2 by the wake field of q_1 :

$$\vec{F} = \frac{d\vec{p}}{dt} = q_2 (\vec{E} + c\vec{e}_z \times \vec{B})$$



- Wake potential of a structure, e.g. a discontinuity driven by q_1

$$\vec{W}(x_2, y_2, x_1, y_1, s) = \frac{1}{q_1} \int_0^L dz (\vec{E} + c\vec{e}_z \times \vec{B})_{t=(s+z)/c}$$

- Longitudinal and transverse components of the wake potential are related (*Panofski-Wenzel theorem*)
- **The beam coupling impedance is the frequency domain representation of the wake potential**

- For resonant structures the wake potential can be described by a multipole expansion of the eigenmodes (HOMs), e.g.:

$$W_{\perp}^{(n)}(s) = c \sum_i \left(\frac{R^{(n)}}{Q} \right)_i \sin\left(\frac{\omega_i s}{c}\right) \exp\left[-\frac{\omega_i s}{2(Q_{ext})_i c}\right]$$

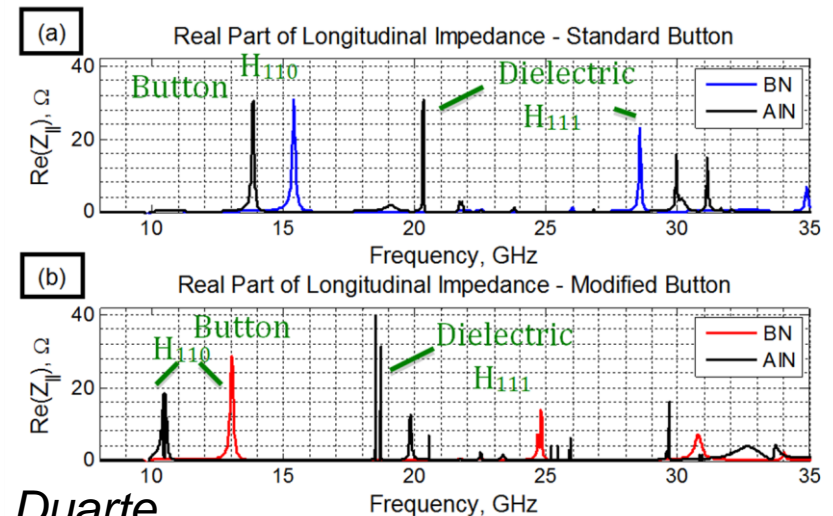
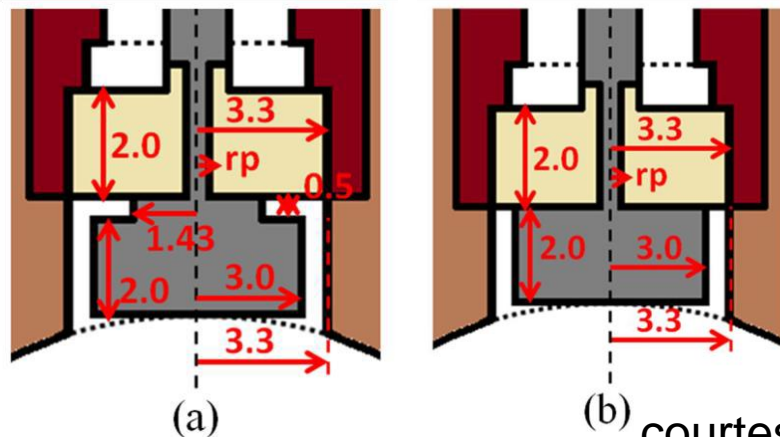
Button BPM Beam Coupling Impedance

- Longitudinal coupling impedance of a button BPM electrode
 - Related to the transfer impedance $Z_{button}(\omega)$ and **scales with r_{button}^4**

$$Z_{\parallel button}(\omega) = \phi \left(\frac{\omega_1}{\omega_2} \right) Z_{button}(\omega)$$

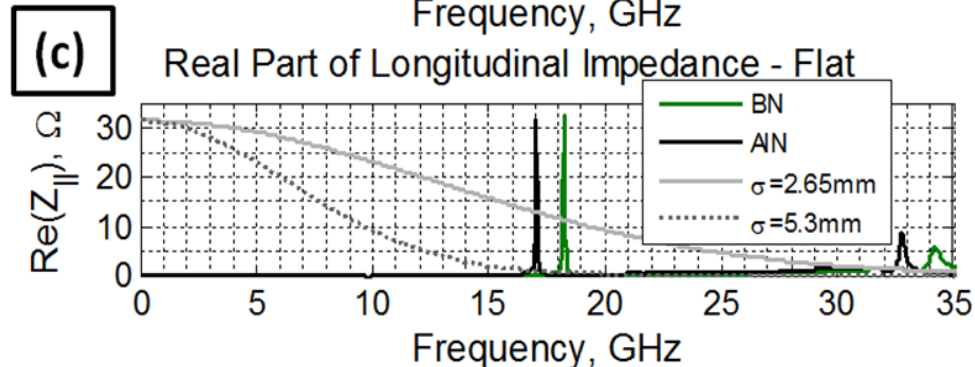
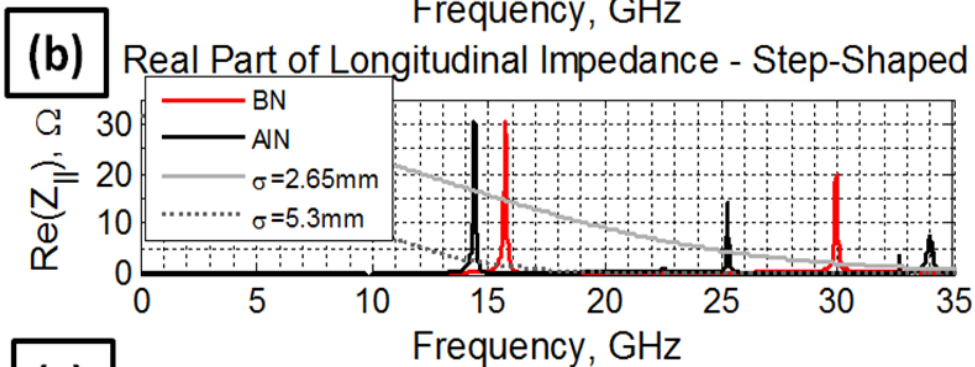
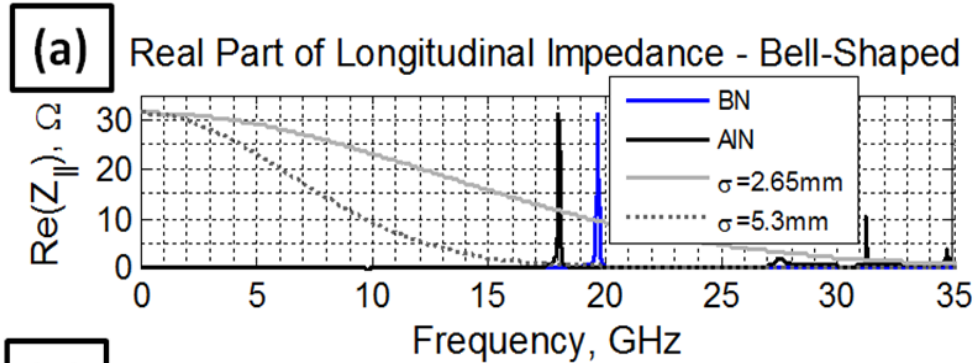
- The gap between button and pipe acts as slot resonator:
- Thickness and shape of the button have significant influence on the coupling impedance

$$Z_{\parallel gap}(\omega) \approx j \left(\frac{Z_0 \omega (r_{button} + w_{gap})^3}{8cr_{pipe}^2 \{ \ln[32(r_{button} + w_{gap})/w_{gap}] - 2 \}} \right)$$

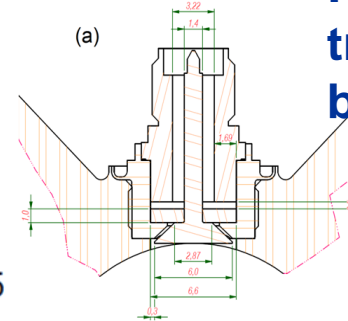


courtesy H. Duarte

Coupling Impedance Studies for Sirius

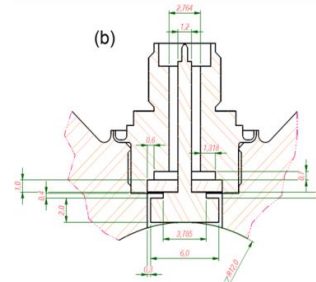


- Frequencies of trapped modes in the button electrode:



Trapped H-modes in the insulator dielectric

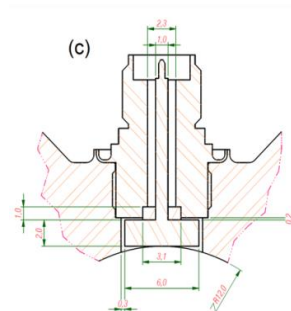
$$f_r^{Hm1p} = \frac{1}{\sqrt{\epsilon_r}} \frac{c}{2\pi} \sqrt{\left(\frac{2m}{r_p + r_h}\right)^2 + \left(\frac{\pi p}{t_c}\right)^2}$$



Trapped H-modes in the button

$$f_c^{Hm1} = \frac{c}{\pi} \frac{m}{r_b + r_h}$$

- ϵ_r : dielectric permittivity
- m : azimuthal index and
- p : longitudinal mode number
- r_p : insulator pin radius
- r_h : housing radius
- r_b : button radius
- t_c : ceramics thickness



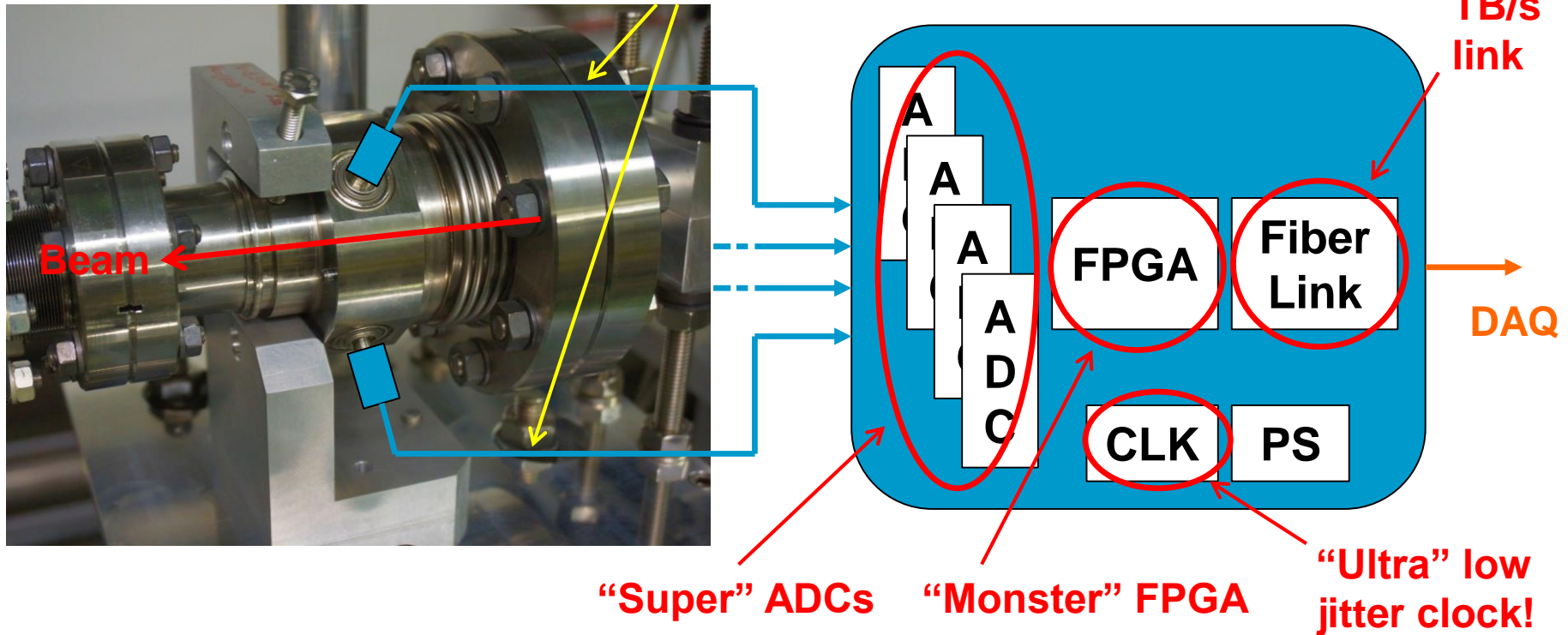
courtesy *H. Duarte*

The Ideal BPM Read-out Electronics!?

BPM pickup
(e.g. button, stripline)

Very short
coaxial cables

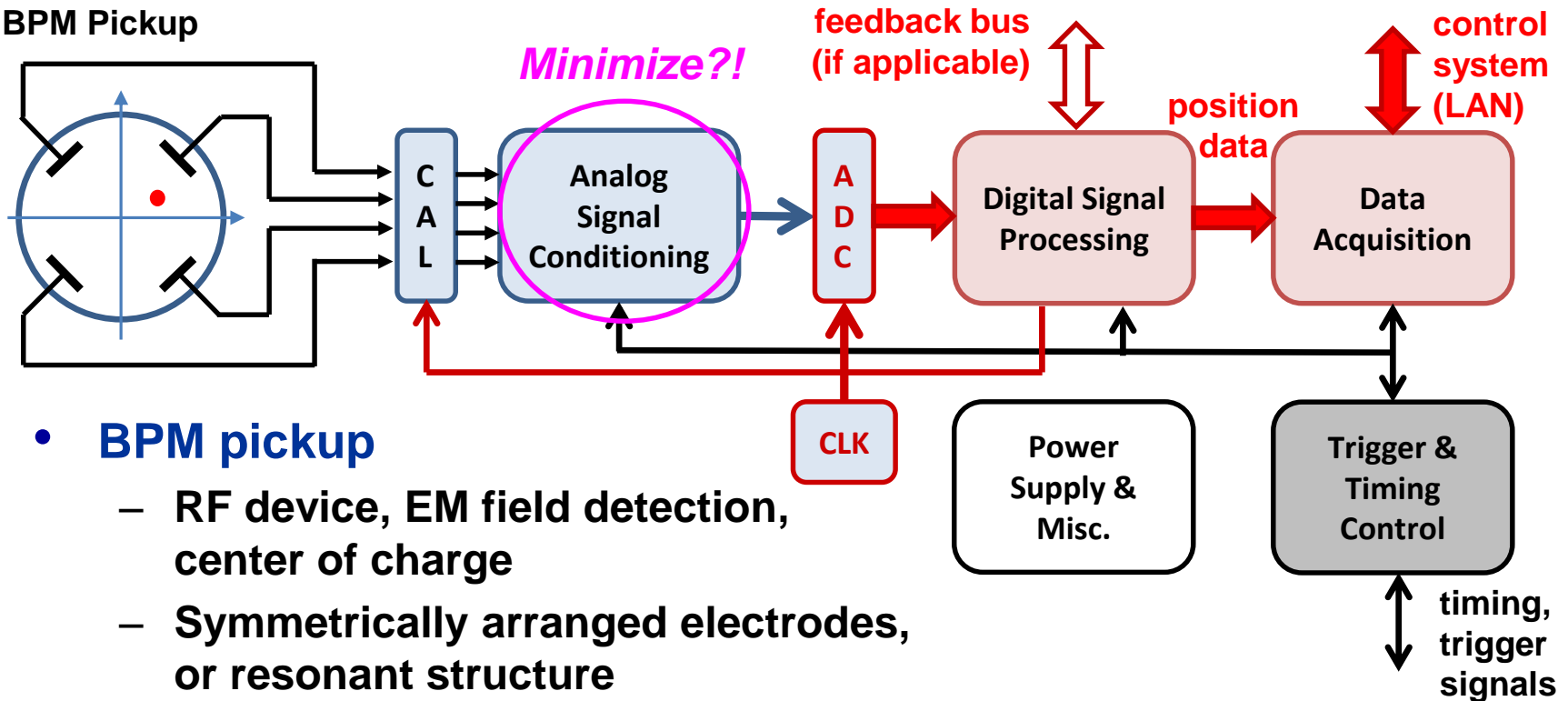
Digital BPM electronics
(rad-hard, of course!)



- Time multiplexing of the BPM electrode signals:
 - Interleaving BPM electrode signals by different cable delays
 - Requires only a single read-out channel!

BPM Building Blocks

BPM Pickup



- **BPM pickup**

- RF device, EM field detection, center of charge
- Symmetrically arranged electrodes, or resonant structure

- **Read-out electronics**

- Analog signal conditioning
- Signal sampling (ADC)
- Digital signal processing

- Data acquisition and control system interface
- Trigger, CLK & timing signals
- Provides calibration signals or other drift compensation methods

Bunched Beam BPM Signals

- **Bunched beam signals from a broadband BPM are short in time**
 - Single bunch responses convert to *nsec* or sub-*nsec* pulse signals
 - **The beam position information is amplitude modulated (AM) on a large (common mode) beam intensity signal!**
- **In ring accelerators, the beam position varies turn-by-turn**
 - The position signal spectrum is related to some machine parameters
 - Dipole moment spectrum of a single Gaussian bunch (simplistic case):

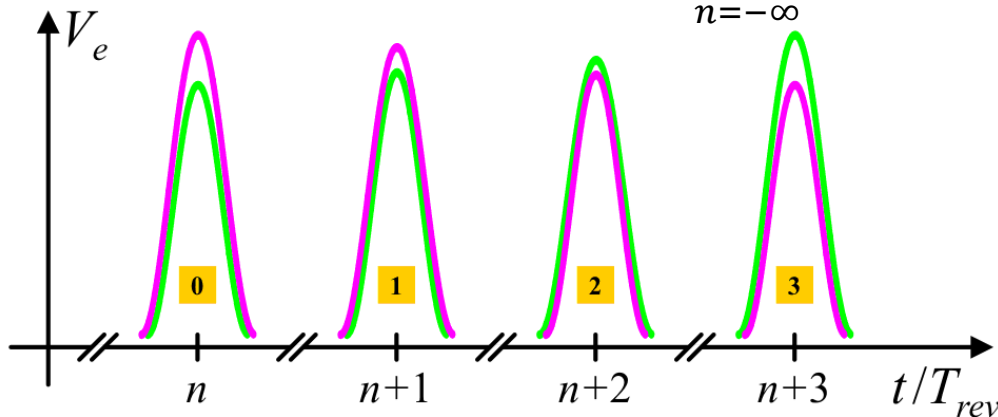
$$Z_{bpm}(\omega)I_{beam}(\omega) =$$

$$D(\omega) = \omega_{rev}A_0Q \sum_{n=-\infty}^{+\infty} \delta[\omega - (n\omega_{rev} + \omega_{\beta})] \exp\left[-\frac{(\omega - \omega_{\beta} - \omega_{\xi})^2 \sigma^2}{2}\right]$$

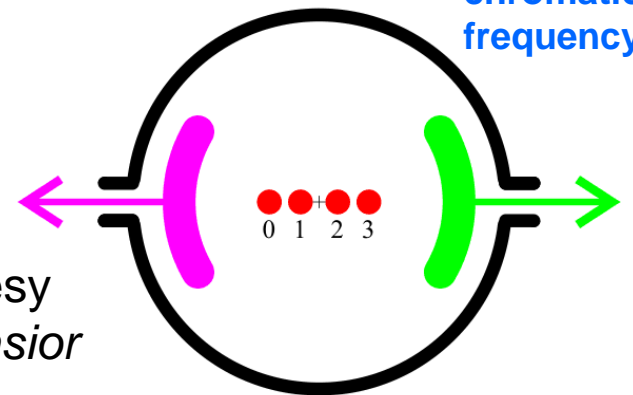
betatron frequency

courtesy R. Siemann

chromatic frequency

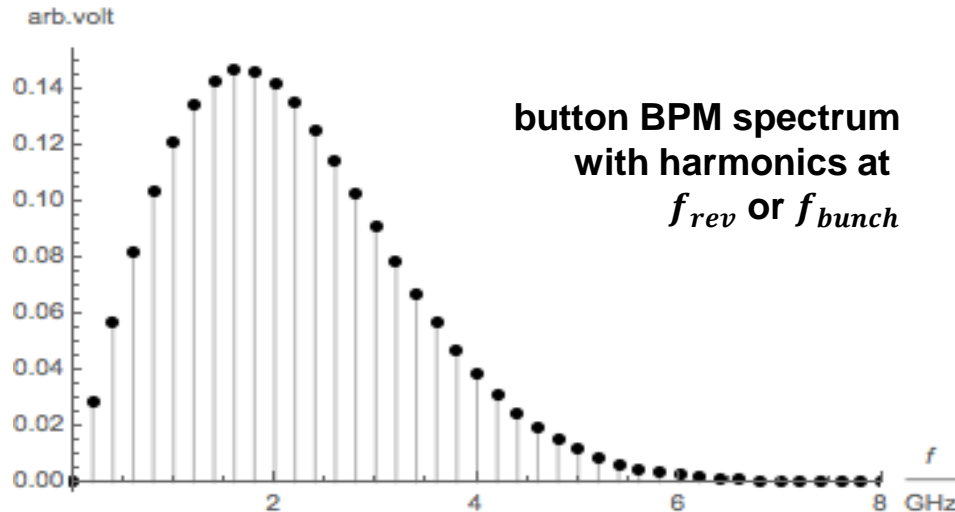


courtesy
M. Gasior



Bunched Beam BPM Signals (cont.)

- Bunch length and beam formatting define the signal spectrum
 - E.g. f_{rev}, f_{bunch} in circular or linear accelerators

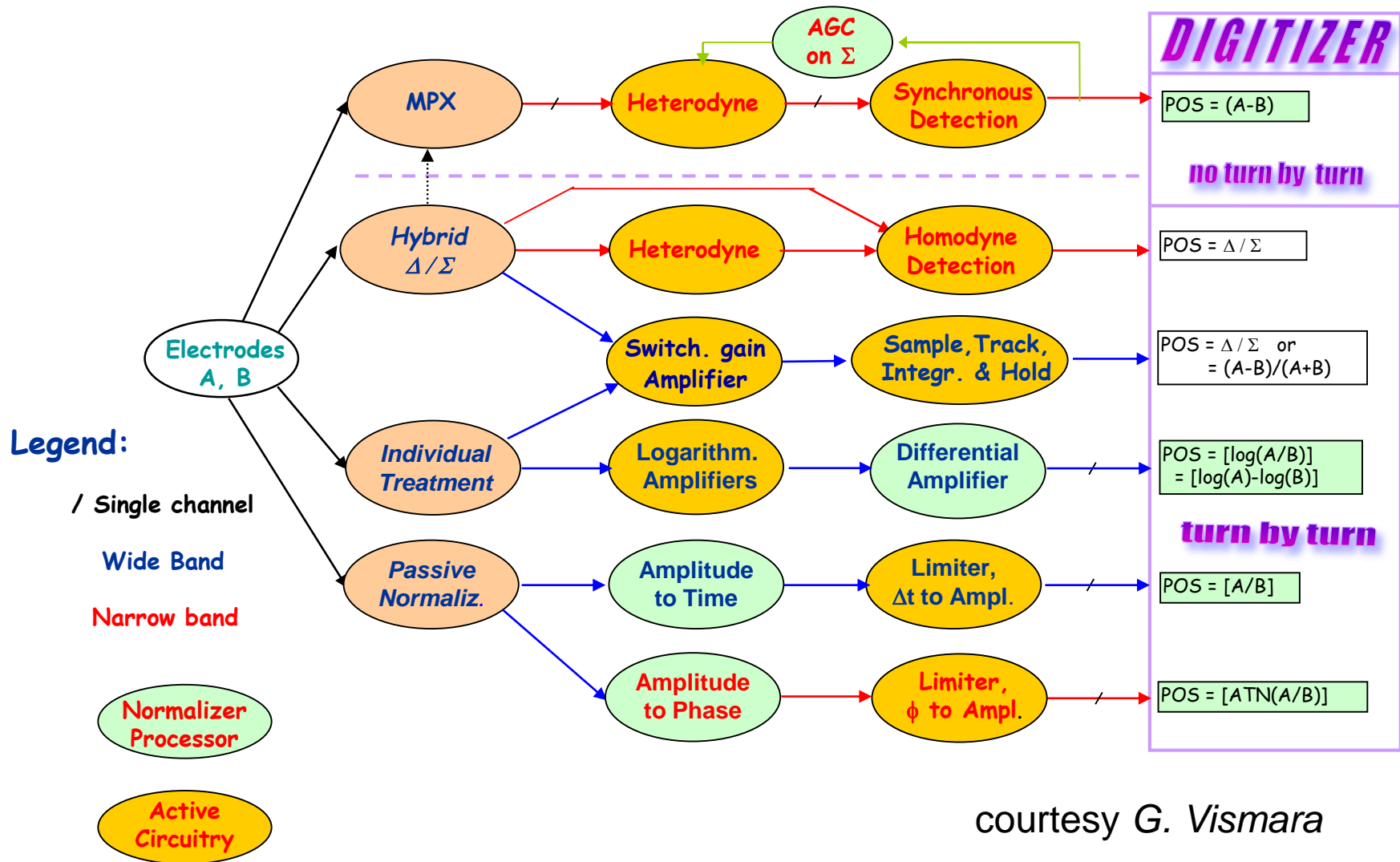


- Basically, the position information of a broadband BPM is available at any frequency
 - and is independent of the frequency for relativistic beams $v \approx c$
 - the broad spectral response of the BPM can be band limited without compromising the position detection:
Apply appropriate analog signal conditioning!

Signal Processing & Normalization

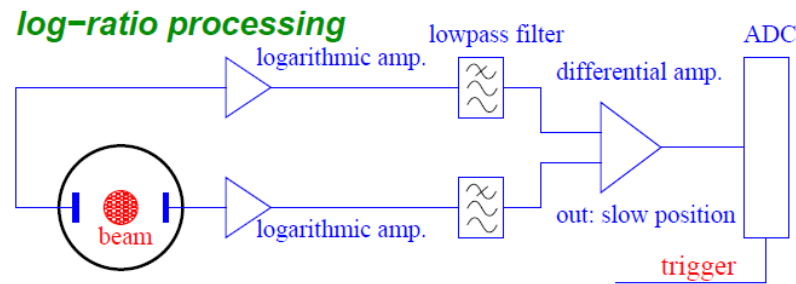
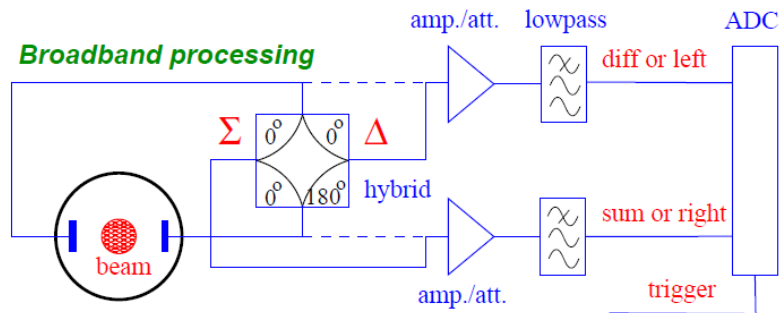
- **Extract the beam position information from the electrode signals: Normalization**
 - Analog using Δ - Σ or 90⁰-hybrids, followed by filters, amplifiers mixers and other elements, or logarithmic amplifiers.
 - Digital, performing the math on individual digitized electrode signals.
- **Decimation / processing of broadband signals**
 - BPM data often is not required on a bunch-by-bunch basis
 - **Exception: Fast feedback processors**
 - **Default: Turn-by-turn and “narrowband” beam positions**
 - Filters, amplifiers, mixers and demodulators in analog and digital to decimate broadband signals to the necessary level.
- **Other aspects**
 - Generate calibration / test signals
 - Correct for non-linearities of the beam position response of the BPM
 - Synchronization of turn-by-turn and /or bunch-by-bunch data
 - Optimization on the BPM system level to minimize cable expenses.
 - BPM signals keep other very useful information other than that based on the beam displacement, e.g.
 - **Beam intensity, beam phase (timing)**

Analog Signal Processing Options

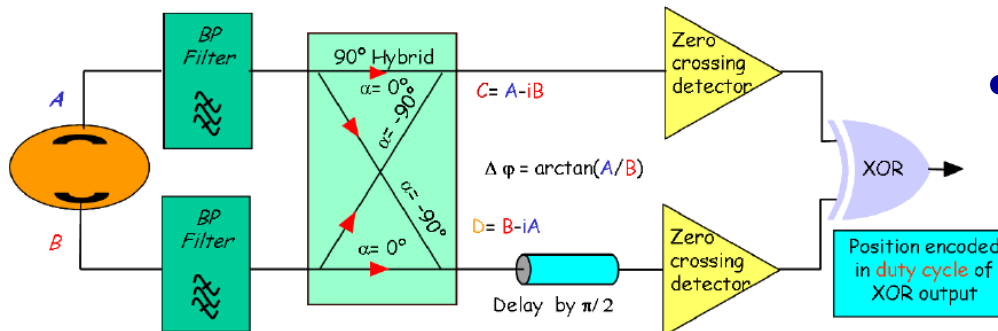


courtesy G. Vismara

Examples: RF Analog BPM Processors



courtesy M. Bozzolan



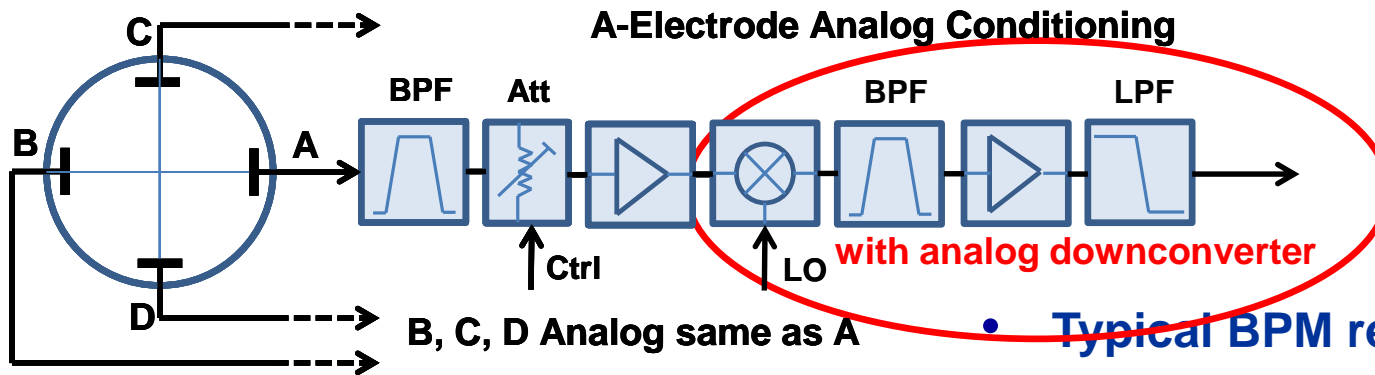
- **Δ/Σ broadband**
 - Hybrid performance
 - Phase-matched cables
 - Gain switching
- **LogAmps: $\log_{10}(A/B)$**
 - Dynamic compression
 - **Reduced position sensitivity**
 - Limited bandwidth
 - **TbT: yes, BbB: maybe?!**

- **$\pi/2$ -hybrid: $\arctan(A/B)$**
 - Broadband: BbB
 - Phase-matching
 - ~40 dB dynamic range

Digital BPM Signal Processing

- **Why digital signal processing?**
 - **Better reproducibility of the beam position measurement**
 - **Robust to environmental conditions, e.g. temperature, humidity, (radiation?)**
 - **No slow aging and/or drift effects of components**
 - **Deterministic, no noise or statistical effects on the position information**
 - **Flexibility**
 - **Modification of FPGA firmware, control registers or DAQ software to adapt to different beam conditions or operation requirements**
 - **Performance**
 - **Often better performance, e.g. higher resolution and stability compared to analog solutions**
 - **No analog equivalent of digital filters and signal processing elements.**
- **BUT: Digital is not automatically better than analog!**
 - **Latency of pipeline ADCs (FB applications)**
 - **Quantization and CLK jitter effects, dynamic range & bandwidth limits**
 - **Digital BPM solutions tend to be much more complex than some analog signal processing BPM systems**
 - **Manpower, costs, development time, firmware / software maintenance**

Typical BPM Read-out Electronics

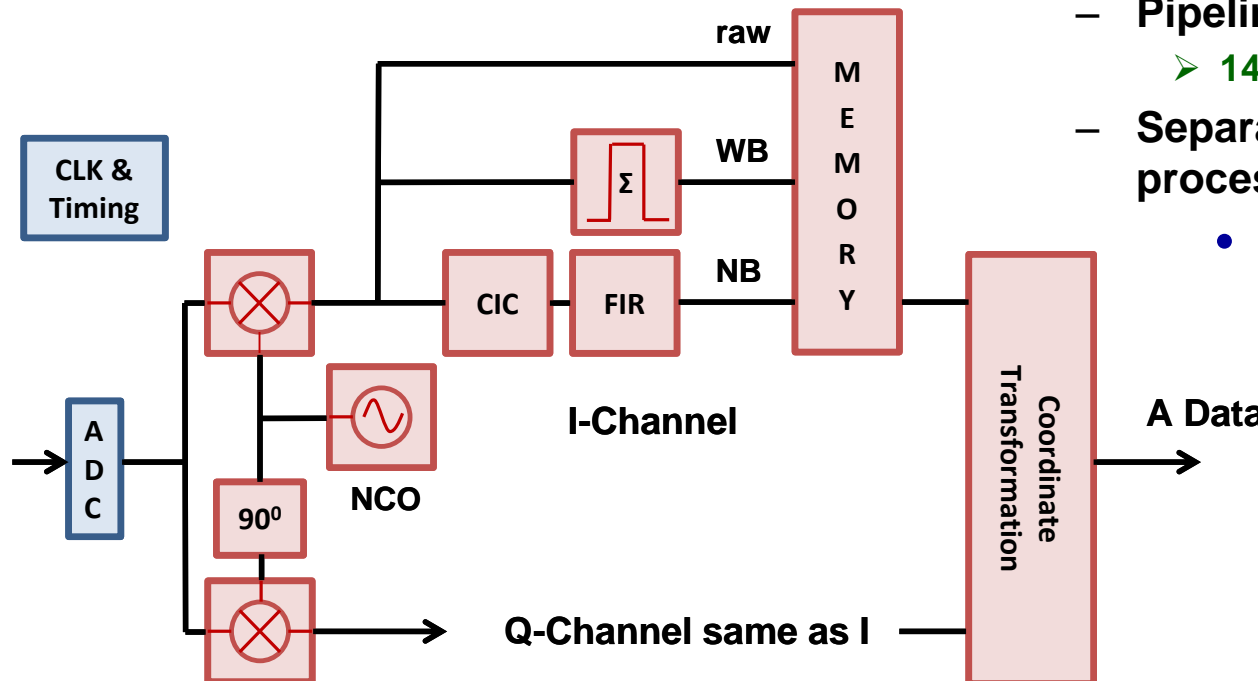


- **Typical BPM read-out scheme**

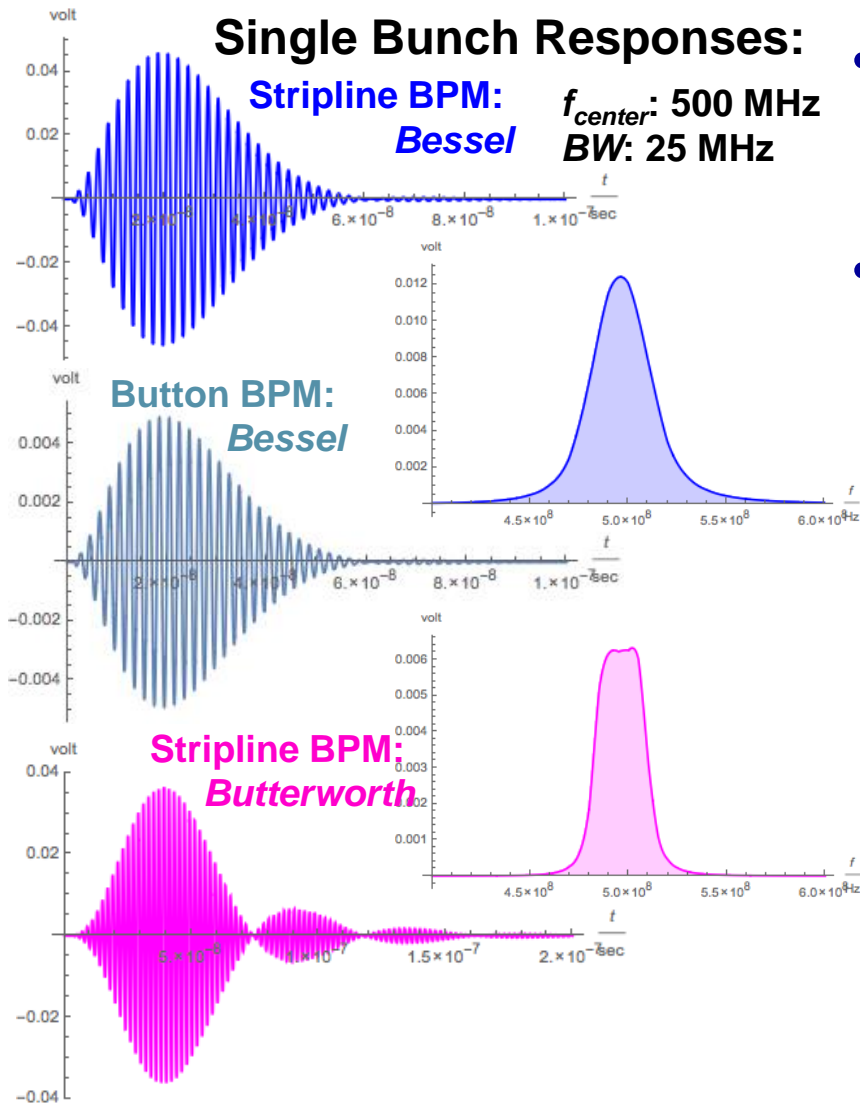
- Pipeline ADC & FPGA
 - 14-16 bit, >300 MSPS, >60 dB S/N
- Separate analog signal processing for the channels

- **Choices:**

- Analog downconverter?!
- RF locked (sync) CLK & LO signals?!
 - No I-Q required



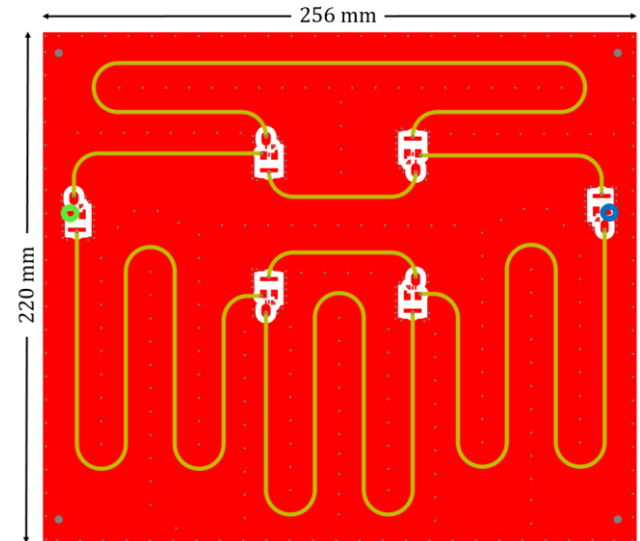
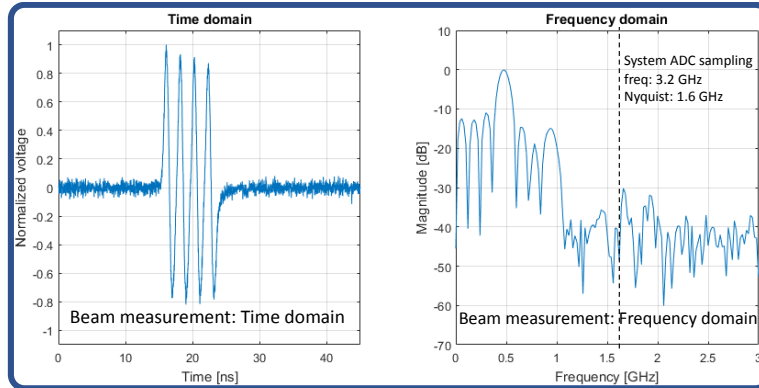
“Ringing” Bandpass-Filter (BPF)



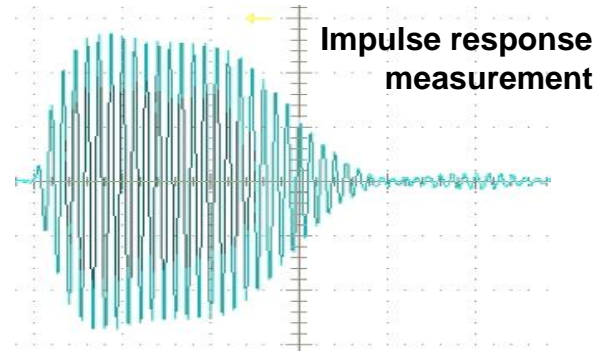
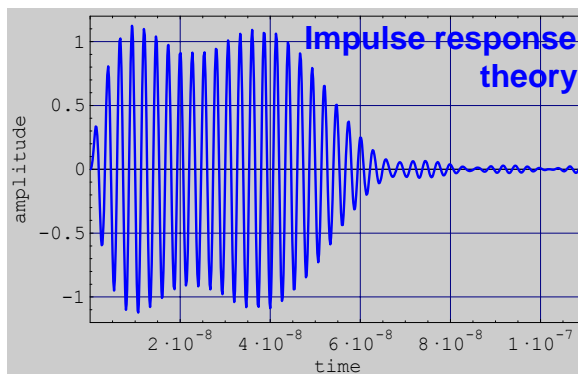
- BPM electrode signal energy is highly time compressed
 - **Most of the time: “0 volt”!**
- A “ringing” bandpass filter “stretches” the signal
 - **Passive RF BPF**
 - **Matched pairs!**
 - f_{center} matched to f_{rev} or f_{bunch}
 - **Quasi sinusoidal waveform**
 - **Reduces output signal level**
 - **Narrow BW: longer ringing, lower signal level**
 - **Linear group delay designs**
 - **Minimize envelope ringing**
 - **Bessel, Gaussian, time domain designs**

Time Domain BPF Optimization

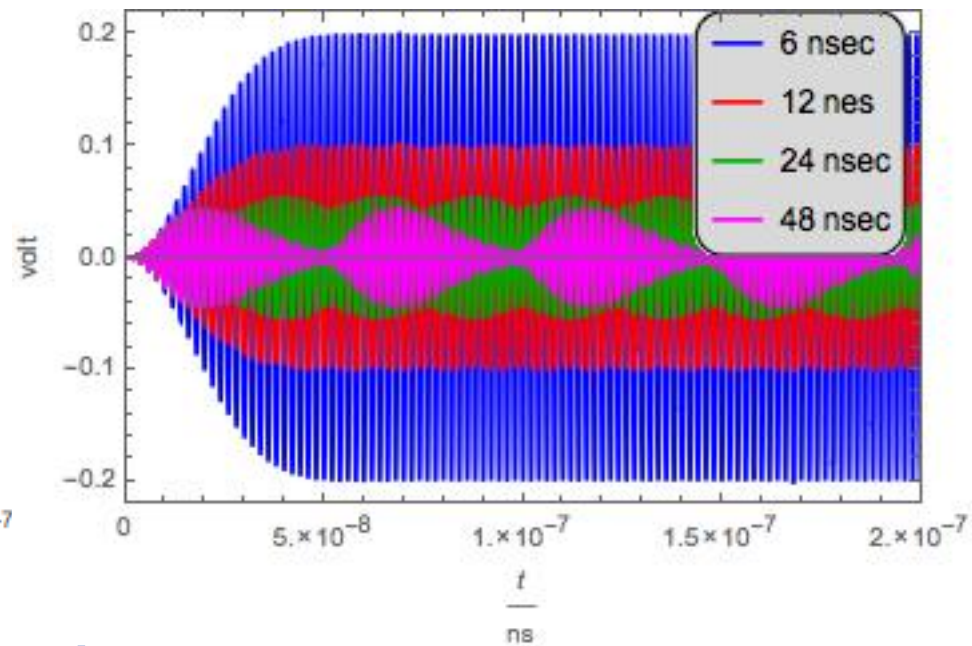
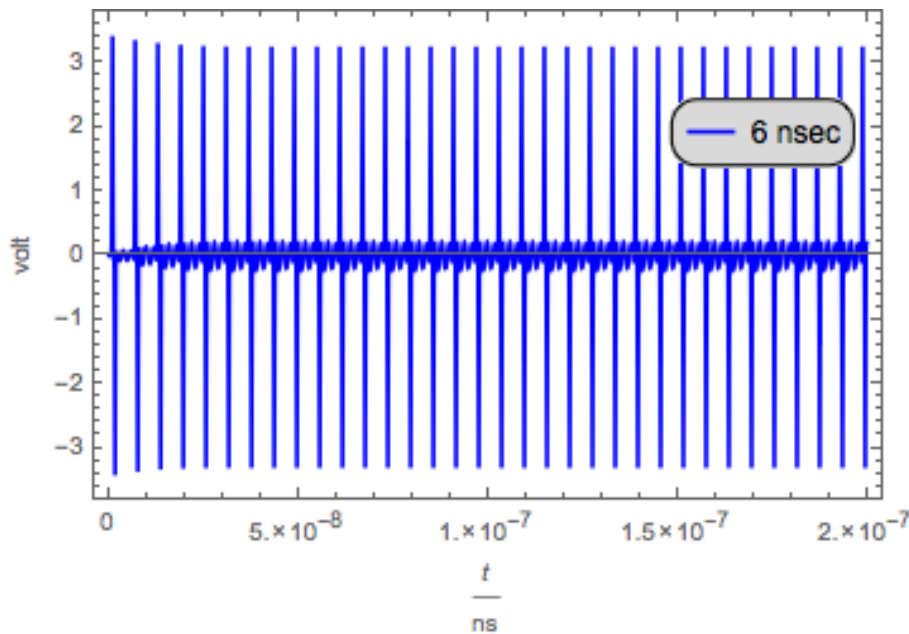
- **Delay-line “comb” or analog FIR BPF**
 - 500 MHz BPF R&D for LHC iBPMs



- **Rectangular impulse response approximation**
 - 375 MHz lumped element BPF, BW ~10 MHz

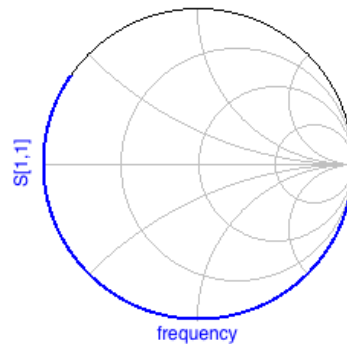
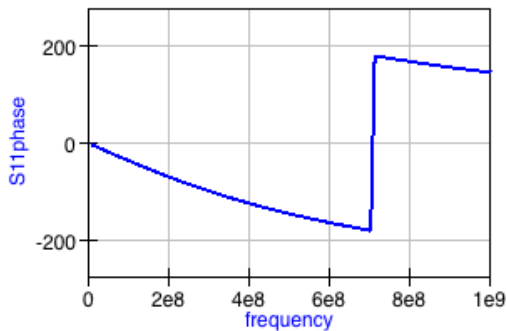
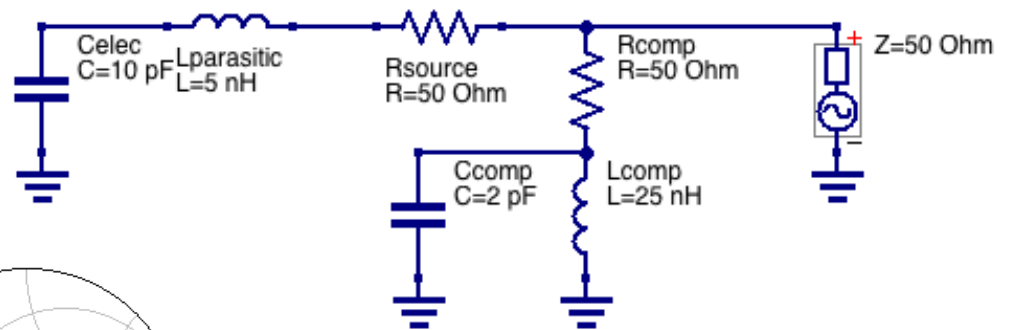
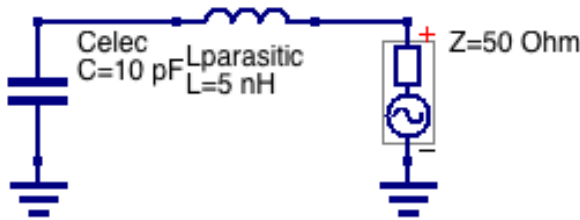
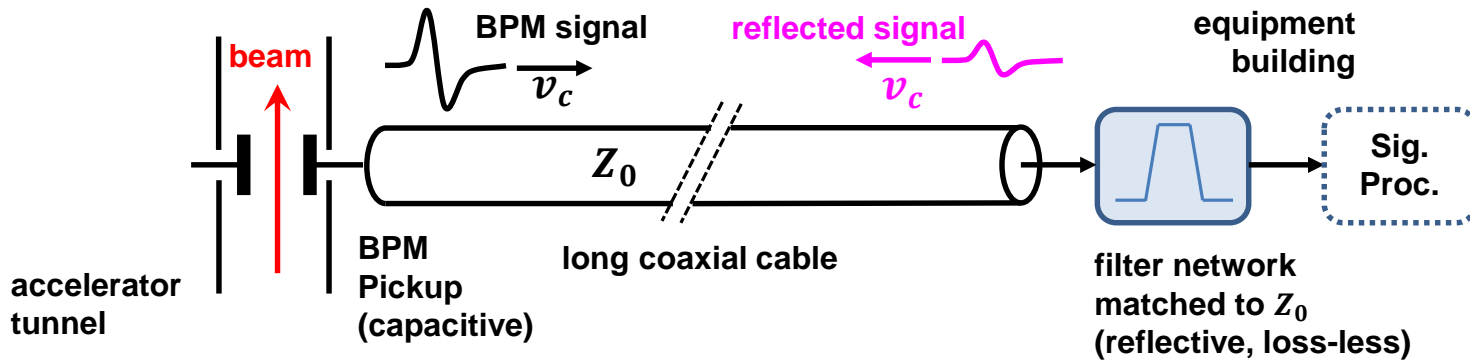


“Ringing” BPF & Multi-Bunches



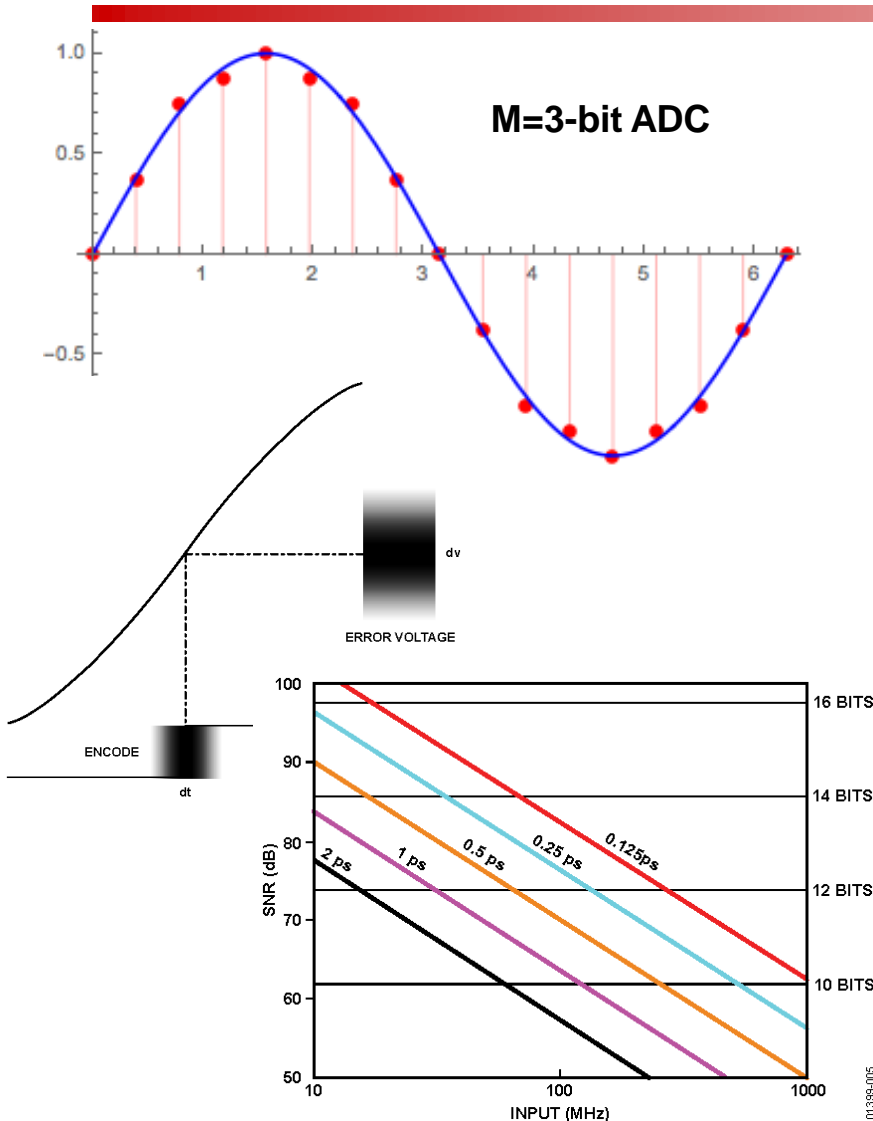
- **Bunch spacing < BPF ringing time:**
 - Superposition of single bunch BPF responses
 - More continuous “ringing”, smearing of SB responses
- **Bunch spacing < BPF rise time**
 - Constructive signal pile-up effect
 - **Output signal level increases linear with decreasing bunch spacing**

Fighting Reflections!



- **Impedance matching of a button BPM**
 - **Broadband with dual network!**

Analog Digital Converter



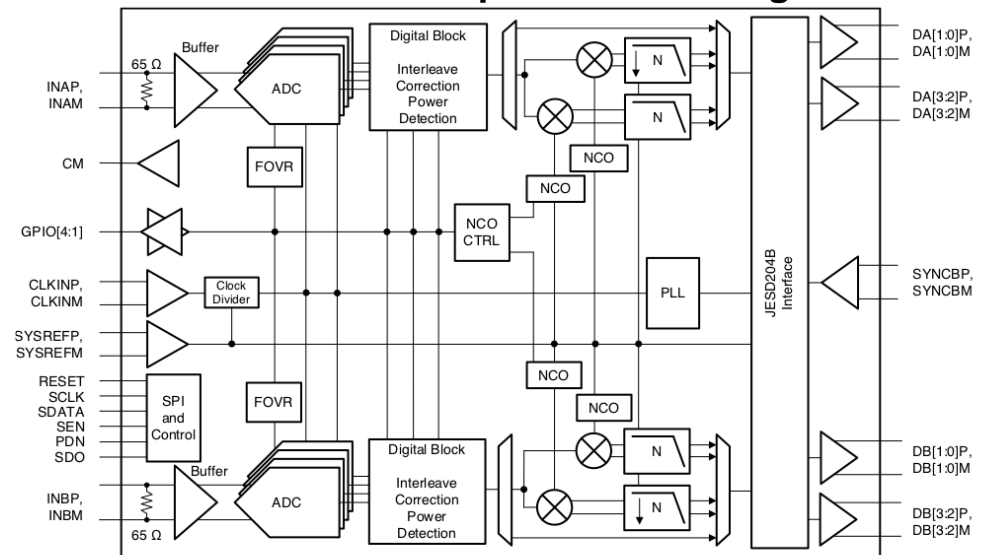
- **Quantization of the continuous input waveform at equidistant spaced time samples**
 - Digital data is discrete in amplitude and time
- **LSB voltage (resolution)** $Q = \frac{V_{FSR}}{2^M}$
 - E.g. 61 μV (14-bit), 15 μV (16-bit) @ 1 volt V_{FSR}
- **Quantization error (dynamic range)** $SQNR = 20 \log_{10}(2^M)$
 - E.g. 84 dB (14-bit), 96 dB (16 bit)
- **SNR limit due to aperture jitter** $SNR = -20 \log_{10}(2\rho f t_a)$
 - E.g. 62 dB @ 500 MHz, 0.25 psec (equivalent to EOB=10.3)

14-16 bit ADC Technology (2018)

	Type	Res. [bit]	Ch.	Power [W]	f_s (max) [GSPS]	BW [GHz]	SNR @ f_{in} [dB @ GHz]
AD	AD9208	14	2	3.3	3	9	59.5 @ 2.6
TI	ADC32RF45	14	2	6.4	3	3.2	56.8 @ 2.6
TI	ADS54J60	16	2	2.7	1	1.2	67.5 @ 0.35

- **Dual Channel**
 - I-Q sampling with separate ADCs
- **Pipeline architecture**
 - Continuous CLK
 - Data latency
- **Signal post-processing**
 - Mixers, NCO, CIC, etc.

TI AD9208 Simplified Block Diagram



Copyright © 2016, Texas Instruments Incorporated

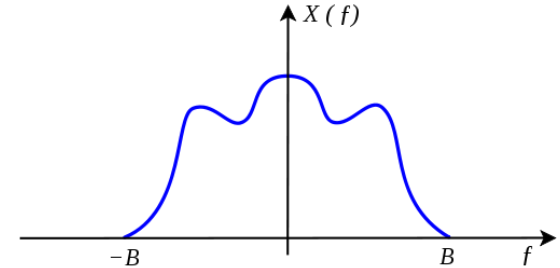
Sampling Theory

- A band limited signal $x(t)$ with $B=f_{max}$ can be reconstructed if

- Nyquist-Shannon theorem

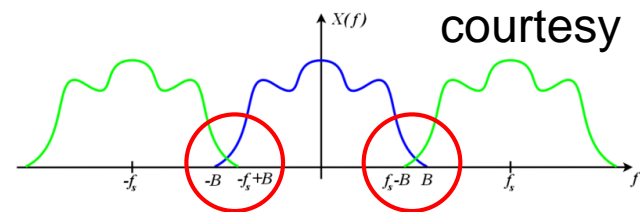
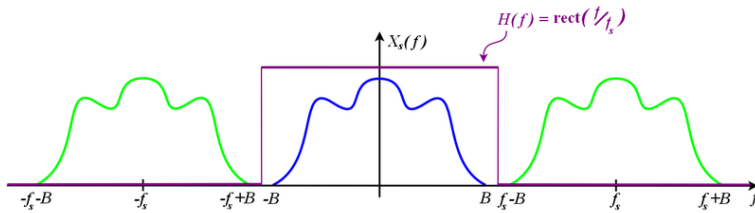
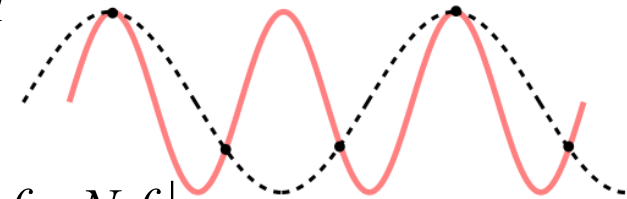
- The exact reconstruction of $x(t)$ by $x_n=x(nT)$:

$$x(t) = \mathop{\text{A}}_{n=-\infty}^{+\infty} x_n \frac{\sin \rho(2f_{max}t - n)}{\rho(2f_{max}t - n)} = \mathop{\text{A}}_{n=-\infty}^{+\infty} x_n \text{sinc} \frac{t - nT}{T}$$

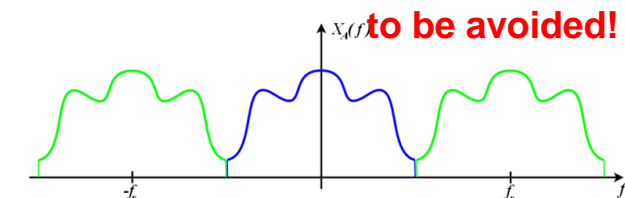
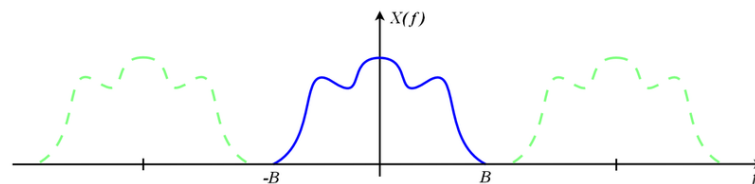


- Aliasing of a sampled sin-function

- Samples can be interpreted by $f_{alias}(N) = |f - N f_s|$



courtesy Wikipedia



Bandpass or Undersampling

- A bandpass signal $f_{lo}=A$, $f_{hi}=A+B$ is down-converted to baseband

- The sampling frequency has to satisfy:

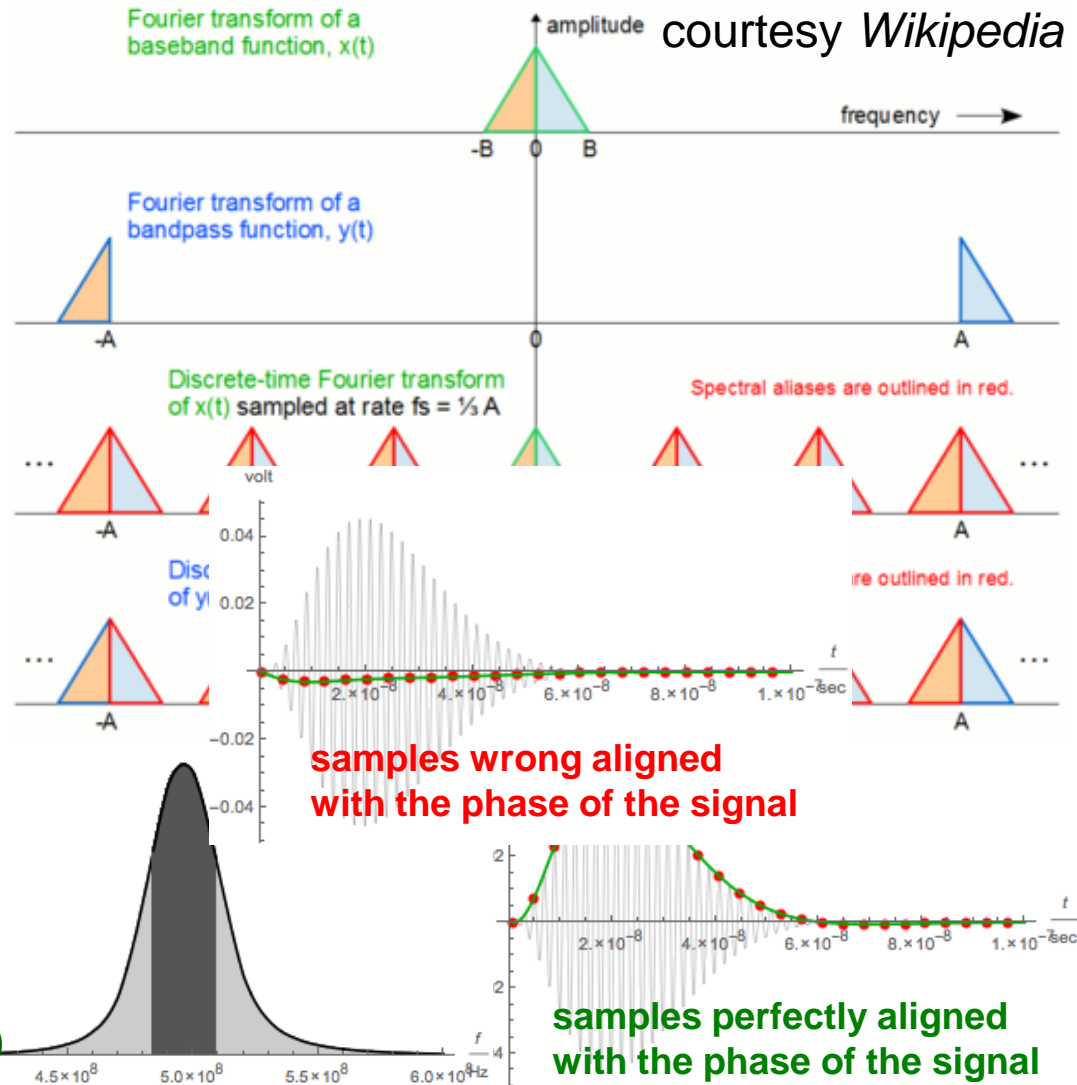
$$\frac{2f_{hi}}{n} \leq f_s \leq \frac{2f_{lo}}{n-1}$$

with: $1 \leq n \leq \left\lfloor \frac{f_{hi}}{f_{hi} - f_{lo}} \right\rfloor$

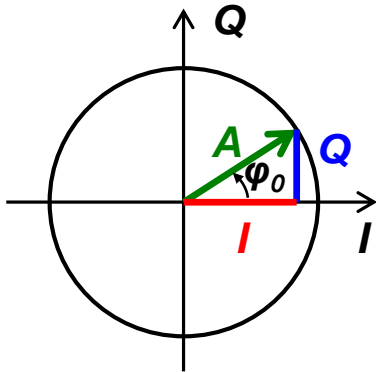
- Digital down-conversion (DDC) of BPM signals

- BPM -> BPF (Bessel)

- f_{center} : ~500 MHz
- BW (3 dB): 25 MHz
- $T=4$ ns, $f_s=200$ MHz
- ($f_{hi}/f_{lo}=550/450$ MHz, $n=5.5$)



I-Q Sampling



- **Vector representation of sinusoidal signals:**
 - **Phasor rotating counter-clockwise (pos. freq.)**

$$y(t) = A \sin(\omega t + \varphi_0)$$

$$y(t) = \underbrace{A \cos \varphi_0}_{=: I} \sin \omega t + \underbrace{A \sin \varphi_0}_{=: Q} \cos \omega t$$

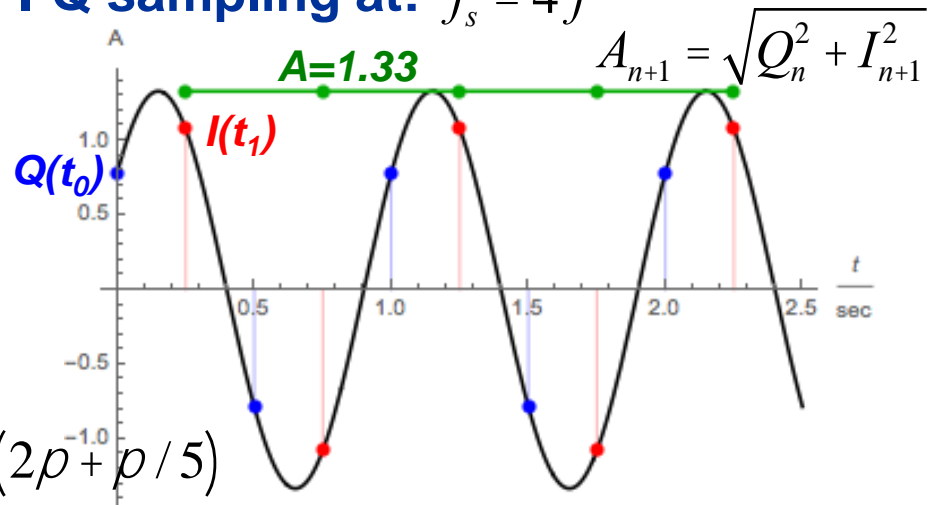
I: in-phase component **Q: quadrature-phase component**

$$y(t) = I \sin \omega t + Q \cos \omega t$$

$$I = A \cos j_0 \quad A = \sqrt{I^2 + Q^2}$$

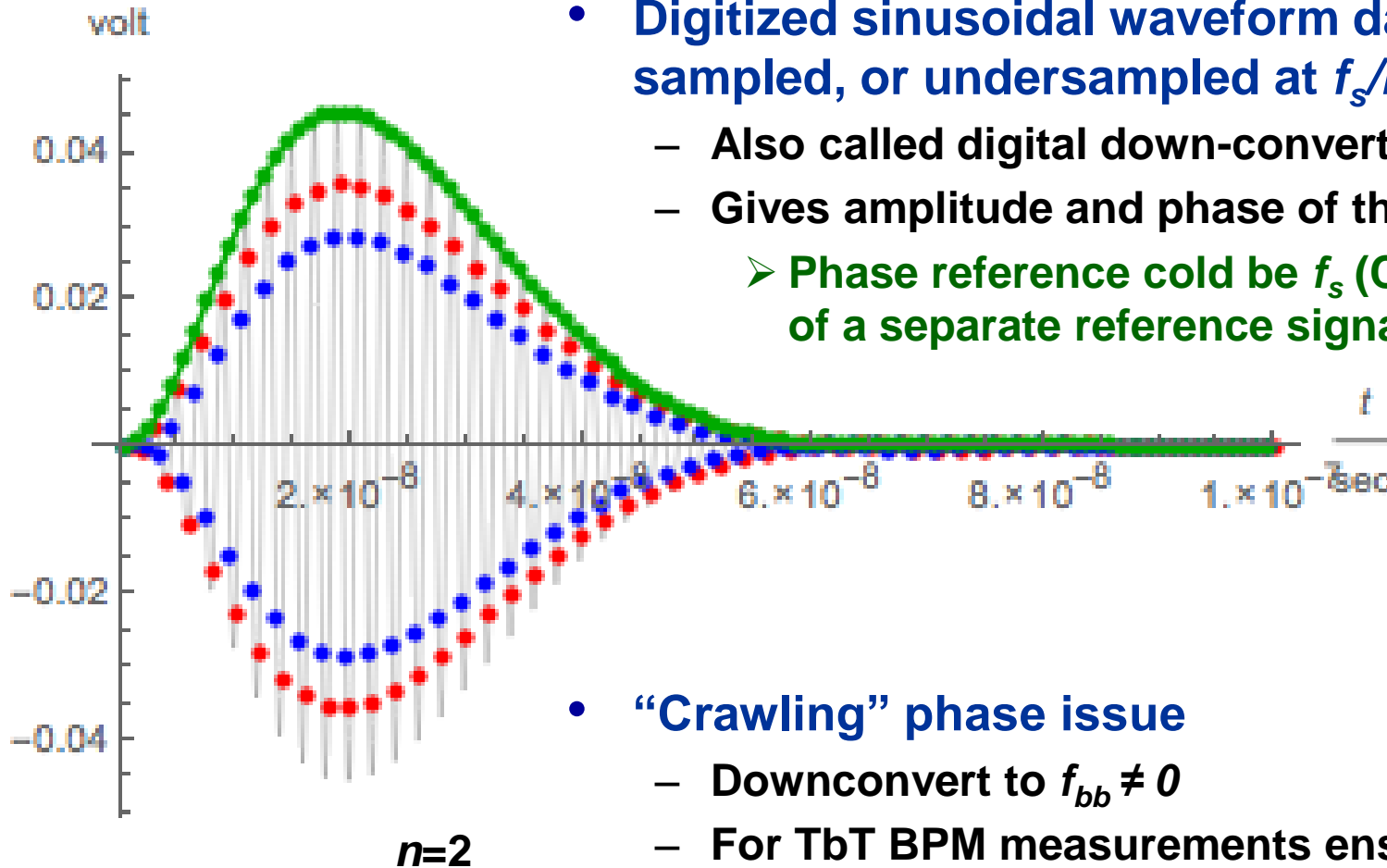
$$Q = A \sin j_0 \quad j_0 = \arctan \frac{Q}{I}$$

- **I-Q sampling at:** $f_s = 4f$



$$y(t) = 1.33 \sin(2\pi + \pi/5)$$

I-Q Demodulation of BPM Signals



- Digitized sinusoidal waveform data is sampled, or undersampled at $f_s/n = 4f_{in}$
 - Also called digital down-converter (DDC)
 - Gives amplitude and phase of the input signal
 - Phase reference could be f_s (CLK), of a separate reference signal
- “Crawling” phase issue
 - Downconvert to $f_{bb} \neq 0$
 - For TbT BPM measurements ensure $f_{bb} = i f_{rev}$

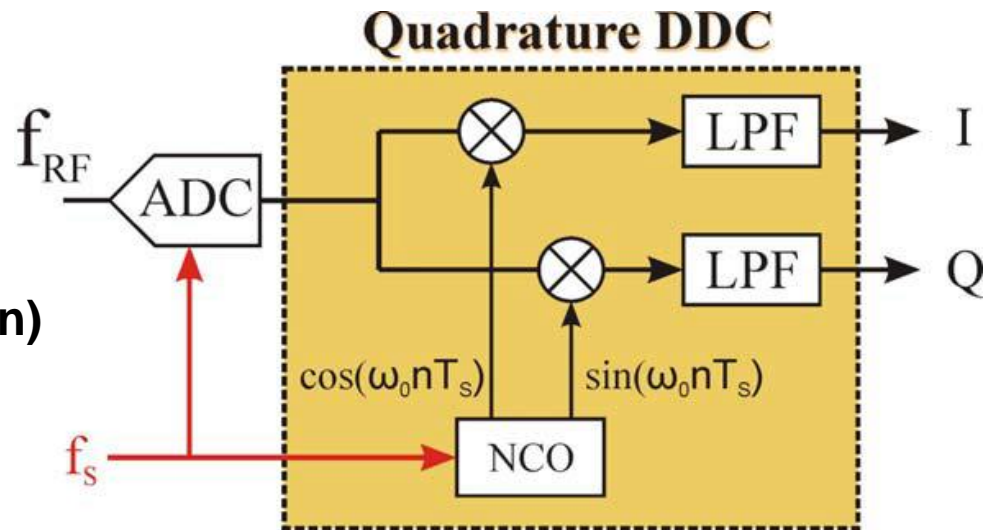
Digital Down-Converter

- **Goals**

- Convert the band limited RF-signal to baseband (demodulation)
- Data reduction (decimation)

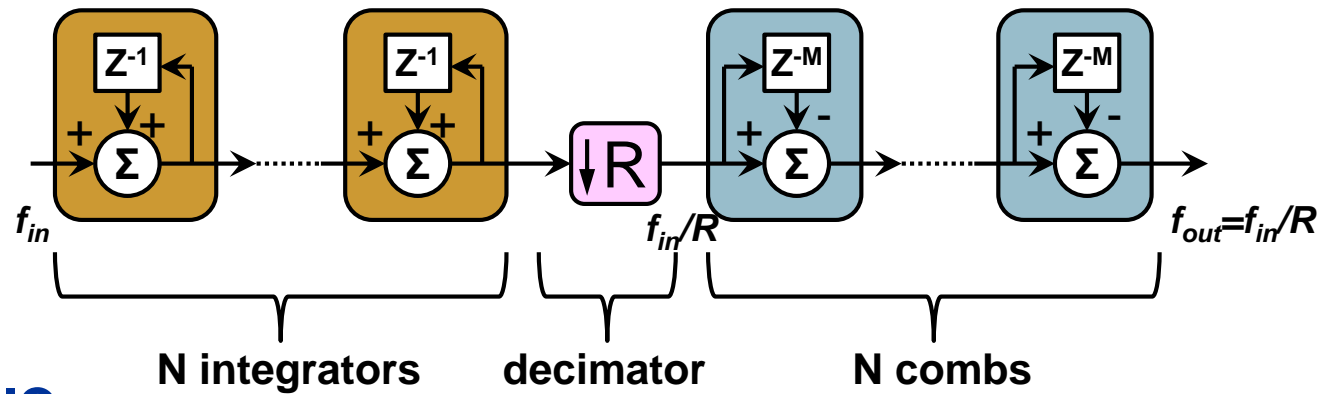
- **DDC Building blocks:**

- ADC
 - **Single fast ADC (oversampling)**
- Local oscillator
 - **Numerically controlled oscillator (NCO) based on a direct digital frequency synthesizer (DDS)**
- Digital mixers (“ideal” multipliers)
- Decimating low pass (anti alias) filters
 - **Filtering and data decimation.**
 - **Implemented as CIC and/or FIR filters**



courtesy T. Schilcher

Cascaded Integrator Comb Filter (CIC)



- **Decimating CIC**

- **Boxcar filter (anti aliasing)**
 - **non-recursive moving average filter**
- **Decimator**
 - **Data rate reduction**
- **Comb filter**
 - **Recursive running-sum**

$$\begin{aligned}
 H(z) &= H_I^N(z) H_C^N(z) \\
 &= \frac{1}{(1 - z^{-1})^N} (1 - z^{-RM})^N \\
 &= \sum_{k=0}^{RM-1} \binom{RM-1}{k} z^{-k} \quad \text{FIR filter (stable)}
 \end{aligned}$$

- **Economical implementation**

- **No multiplier, minimum storage requirements**

CIC Filter (cont.)

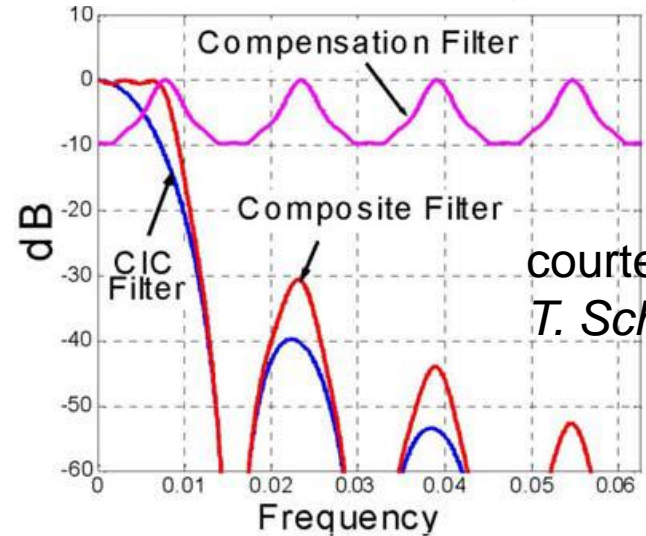
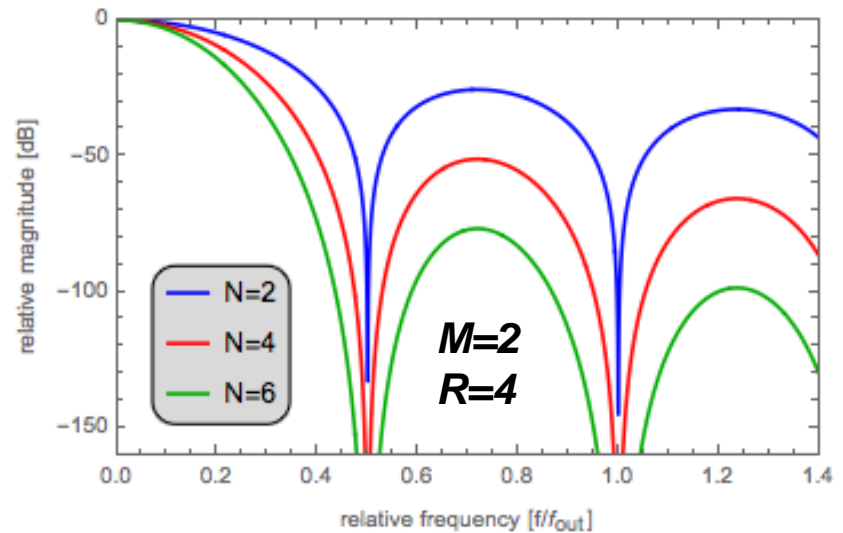
- CIC frequency response

$$H(f) = \left(\frac{\sin \rho M \frac{f}{f_{out}}}{\sin \frac{\rho}{R} \frac{f}{f_{out}}} \right)^N$$

- With respect to the output frequency: $f_{out} = \frac{f_{in}}{R}$
- M : differential delay, determines the location of the zeros: $f_0 = k \frac{f_{out}}{M}$
- N : number of CIC stages
- R : decimation ratio
 - Has little influence on the filter response

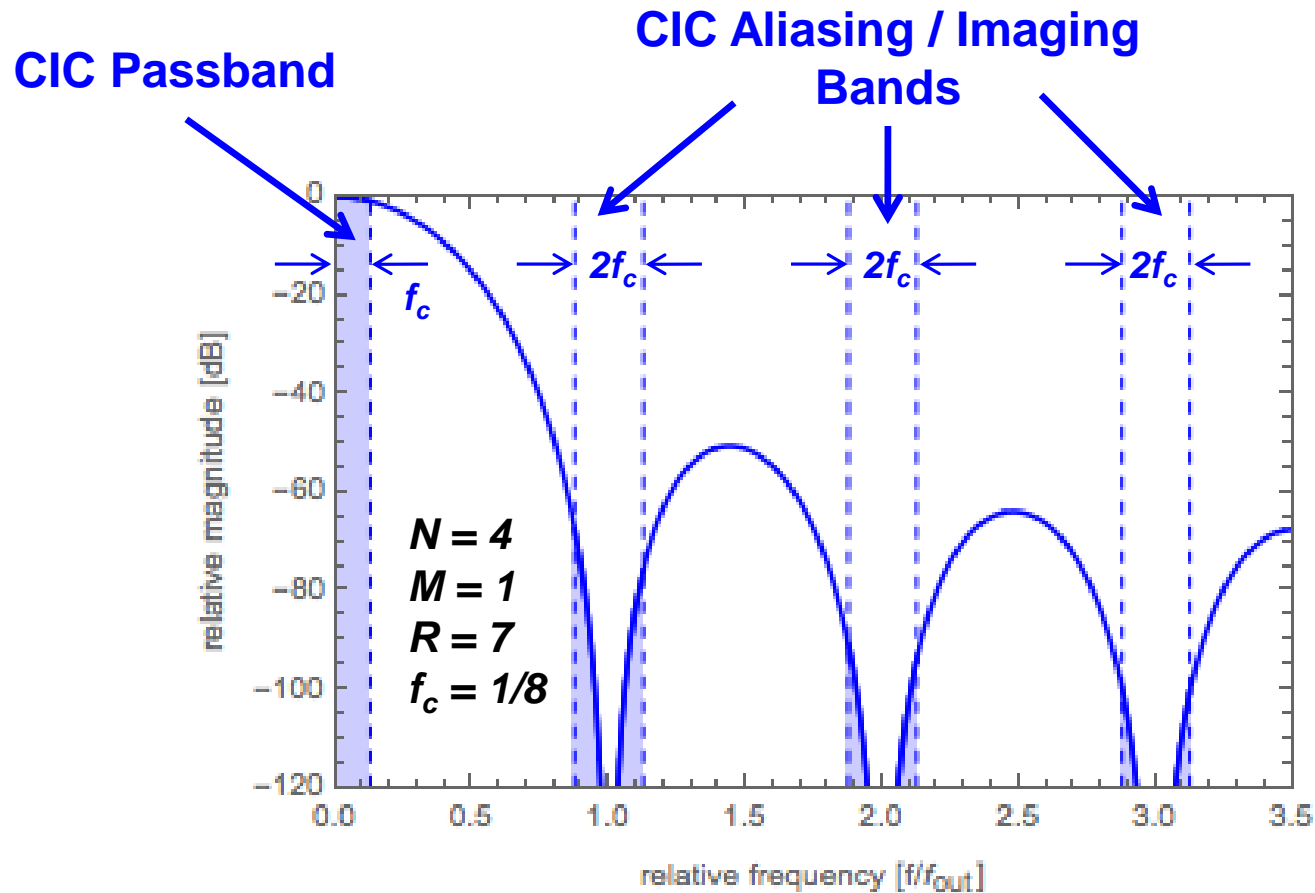
- CIC plus FIR compensation filter

- Compensate CIC passband drop



courtesy
T. Schilcher

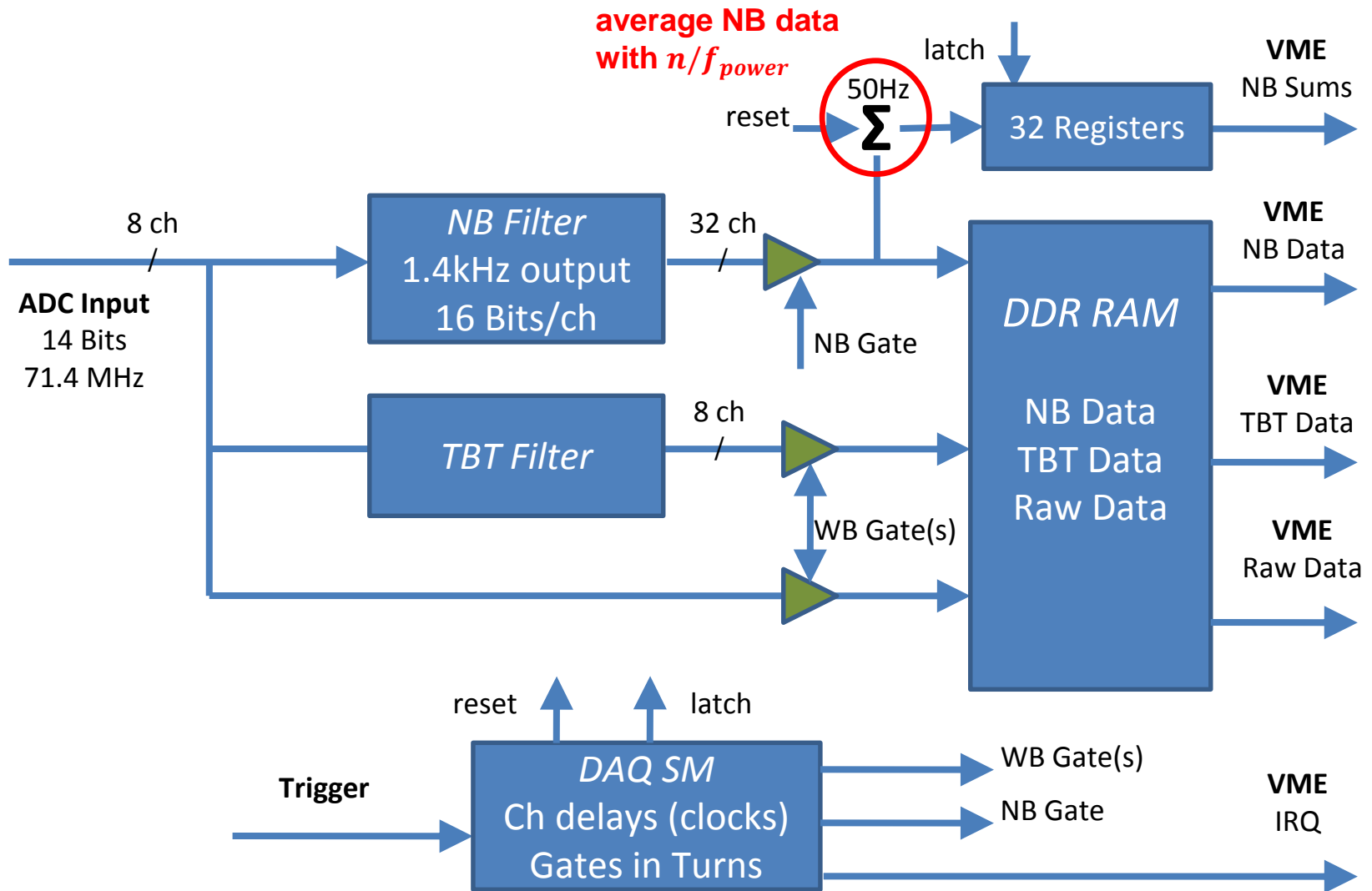
CIC Aliasing – Imaging



courtesy
E. Hogenauer

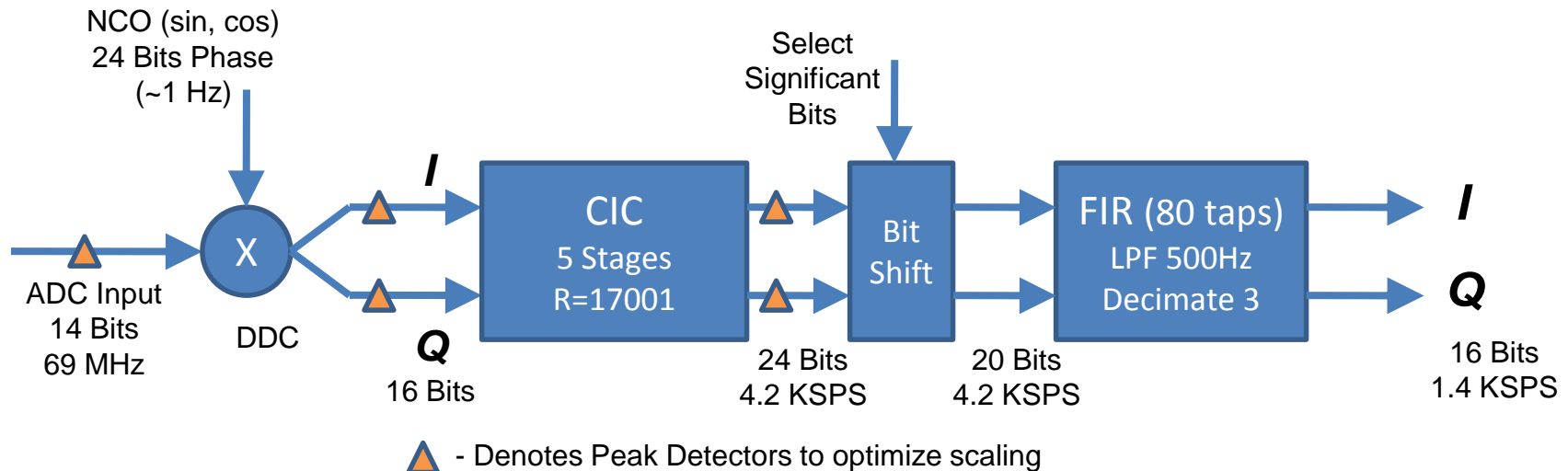
- **CIC aliasing / imaging bands are around:** $(i - f_c) \hat{=} f \hat{=} (i + f_c)$

Example: ATF DR BPM Signal Processing

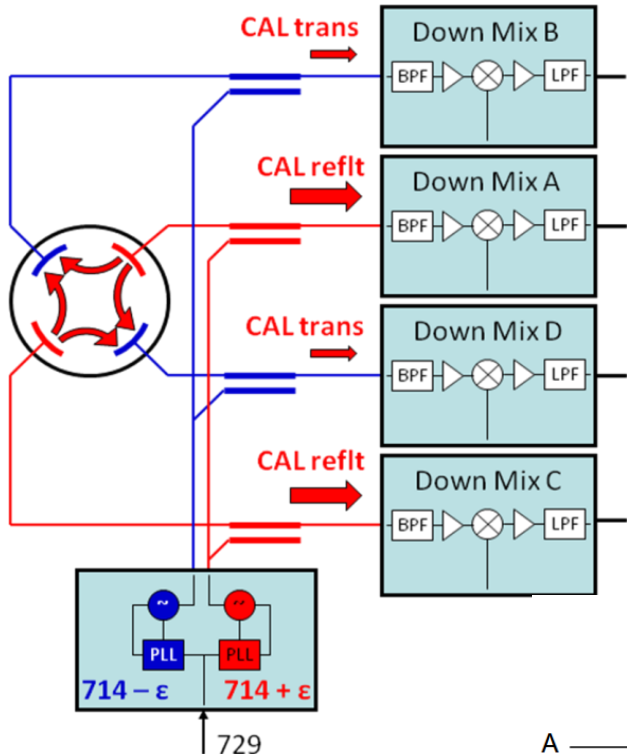


ATF BPM Narrowband Signal Processing

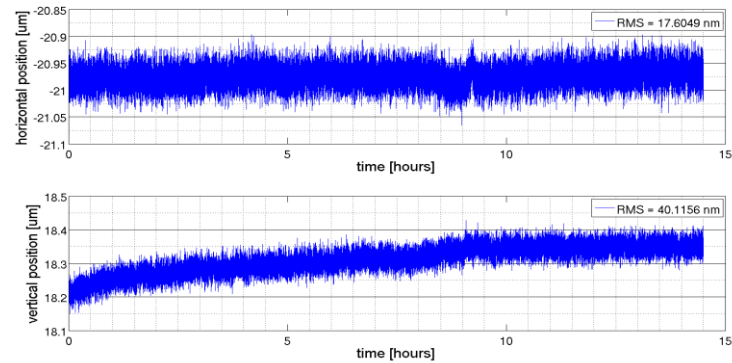
- **Process 8 ADC channels in parallel up to FIR filter**
 - Digitally downconvert each channel into I, Q then filter I, Q independently
 - **CIC Filters operating in parallel at 71.4MHz**
 - Decimate by 17KSPS to 4.2KSPS output rate
 - **1 Serial FIR Filter processes all 32 CIC Filter outputs**
 - 80 tap FIR (400 Hz BW, 500 Hz Stop, -100 db stopband) -> 1KHz effective BW
 - Decimate by 3 to 1.4 KSPS output rate -> ability to easily filter 50Hz
 - **Calculate Magnitude from I, Q at 1.4KHz**
 - Both Magnitude and I, Q are written to RAM
 - Also able to write I, Q output from CIC to RAM upon request



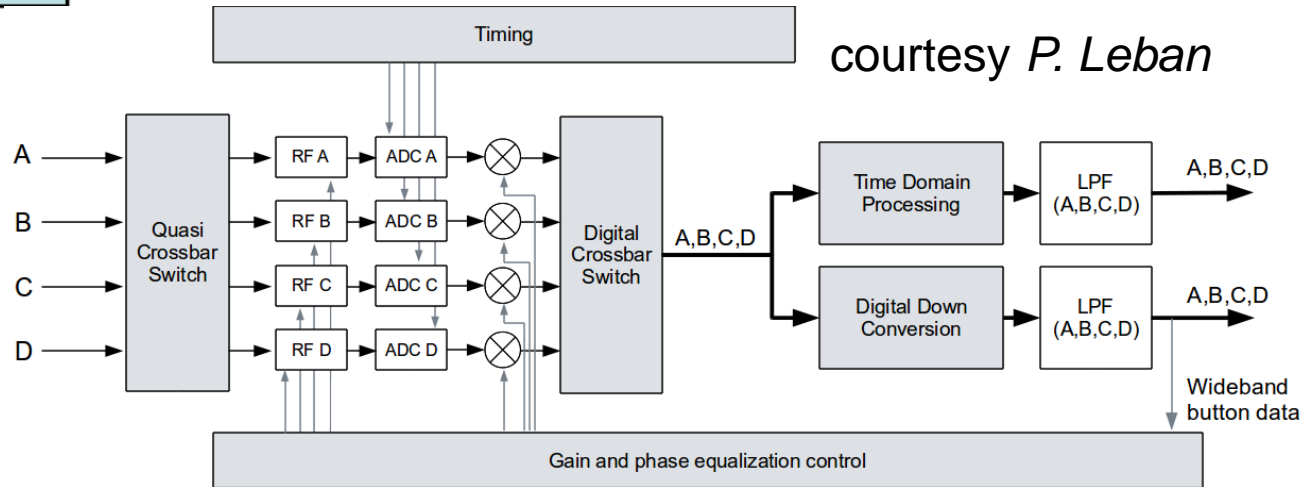
Long-Term Drift Compensation



- **Libera crossbar switching technique**
 - **<100 nm stability over 14 hours**



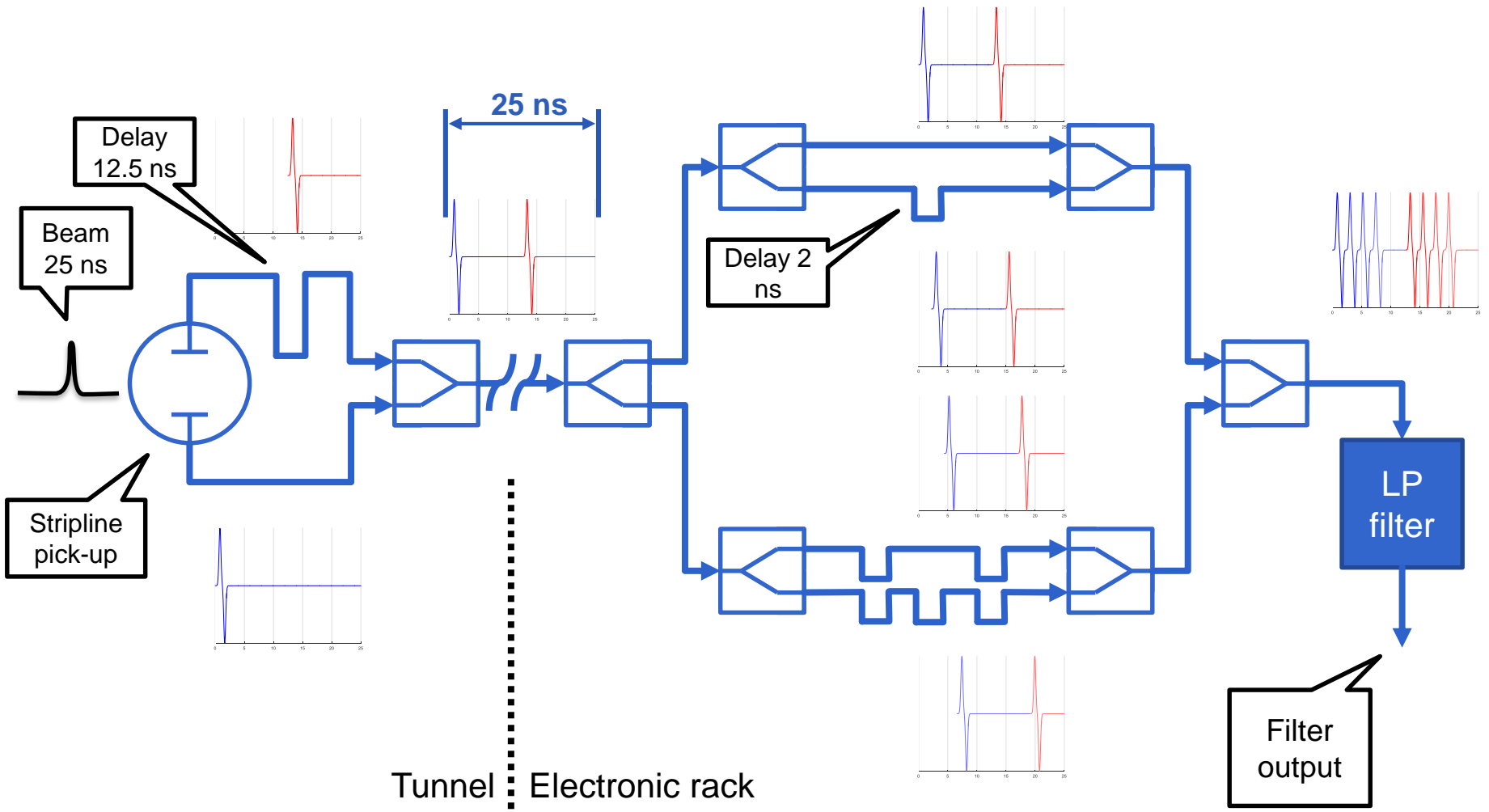
courtesy *P. Leban*



courtesy *N. Eddy*

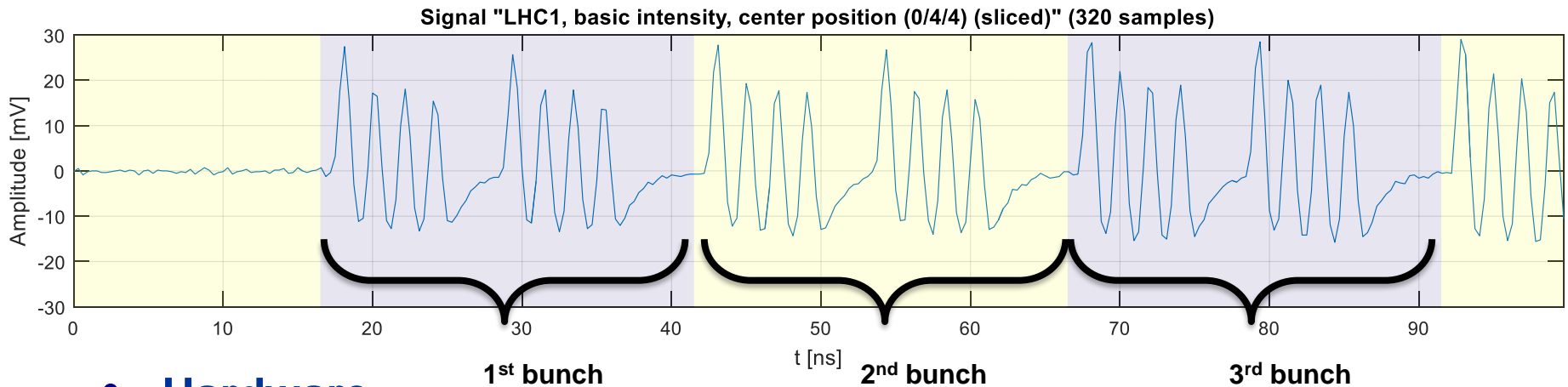
- **Calibration tone technique (only in narrowband operation)**
ATF (KEK)

Time-multiplexed BPM Read-out



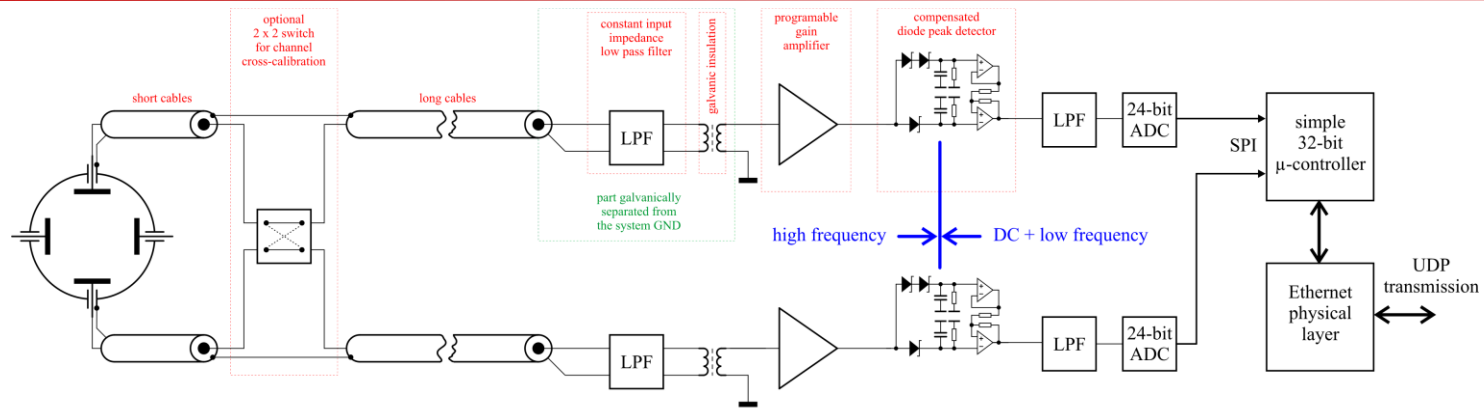
Prototyping Time-multiplexed BPM

- **Target: LHC interlock BPMs**
 - **Typical one-turn acquisition (first 100 ns):**

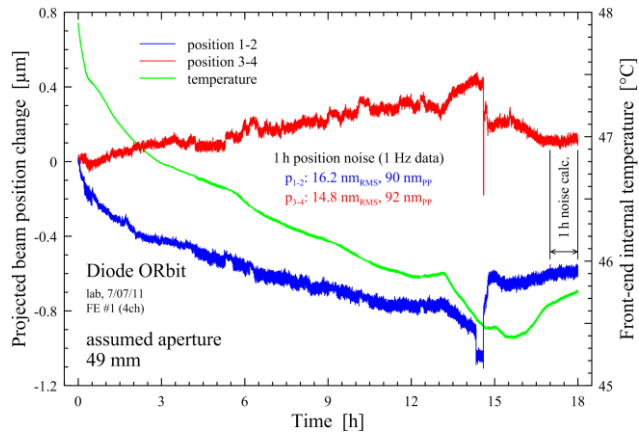


- **Hardware:**
 - LHC stripline BPM with delay-lines and in-house comb BPF
 - Commercial FMC digitizer Vadatech FMC225 (12-bit, 4 GSPS)
 - CERN VME FMC carrier
- **Raw data analysis**
 - Python scripts, bunch-by-bunch RMS algorithm

Compensated Diode Detector for BOM

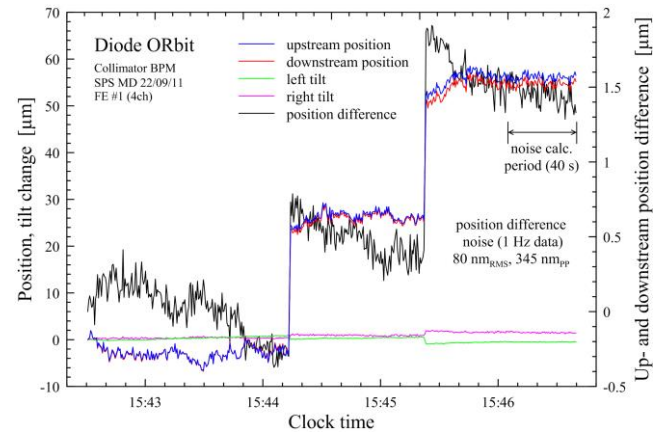


Diode ORbit (DOR) Measurement



2 channels shown for one pick-up plane, one 19" IU unit accommodates 8 channels

courtesy *M. Gasior*



- Sub-micrometre resolution can be achieved with relatively simple hardware and signals from any position pick-up.
- To be used for the future LHC collimators with embedded BPMs.

Signal/Noise & Theoretical Resolution Limit

- **Minimum noise voltage at the 1st gain stage:** $v_{noise} = \sqrt{4k_B T R \Delta f}$
 - With the stripline BPM and Bessel BPF example:
 $R = 50 \Omega, \Delta f = 25 \text{ MHz} \rightarrow v_{noise} = 4.55 \mu\text{V} (-93.83 \text{ dBm})$
- **Signal-to-noise ratio:** $S/N = \frac{\Delta v}{v_{noise}}$
 - Where Δv is the change of the voltage signal at the 1st gain stage due to the change of the beam position ($\Delta x, \Delta y$).
 - Consider a signal level $v \approx 22.3 \text{ mV} (-20 \text{ dBm})$
 - **Bessel BPF output signal of the stripline BPM example**
 - $22.3 \text{ mV} / 4.55 \mu\text{V} \approx 4900 (73.8 \text{ dB})$ would be the required dynamic range to resolve the theoretical resolution limit of the BPM
 - **Under the given beam conditions, e.g. $n=1e10, \sigma=25\text{mm}$, single bunch, etc.**
 - **The equivalent BPM resolution limit would be: $\Delta x=\Delta y=0.66\mu\text{m}$ (assuming a sensitivity of $\sim 2.7\text{dB/mm}$)**

S/N & BPM Resolution (cont.)

- **Factors which reduce the S/N**
 - Insertion losses of cables, connectors, filters, couplers, etc.
 - Typically sum to 3...6 dB
 - Noise figure of the 1st amplifier, typically 1...2 dB
 - The usable S/N needs to be >0 dB, e.g. 2.3 dB is sometimes used as lowest limit. (*HP SA* definition)
 - For the given example the single bunch / single turn resolution limit reduces by ~10 dB (~3x): 2...3 μm
- **Factors to improve the BPM resolution**
 - Increase the signal level
 - Increase BPM electrode-to-beam coupling, e.g. larger electrodes
 - Higher beam intensity
 - Increase the measurement time, apply statistics
 - Reduce the filter bandwidth (S/N improves with $1/\sqrt{\text{BW}}$)
 - Increase the number of samples (S/N improves with \sqrt{n})

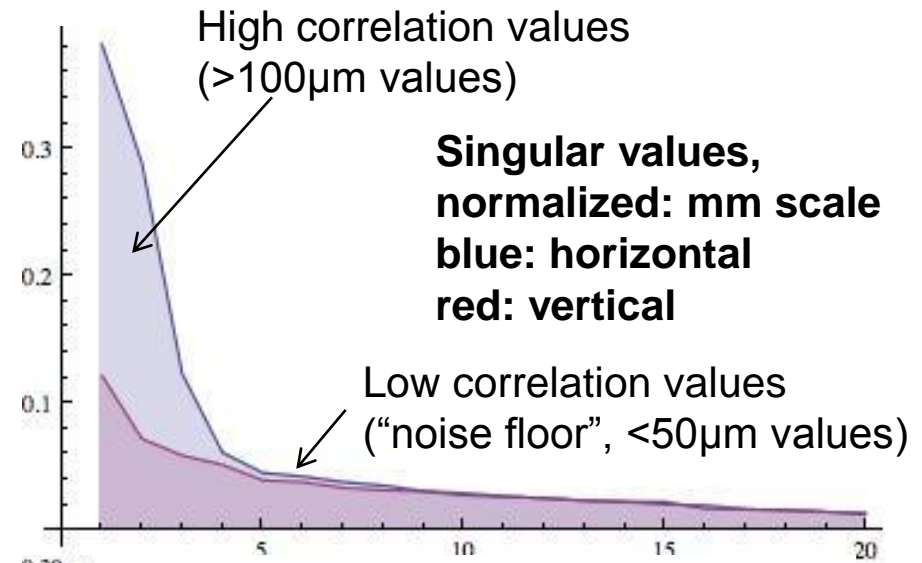
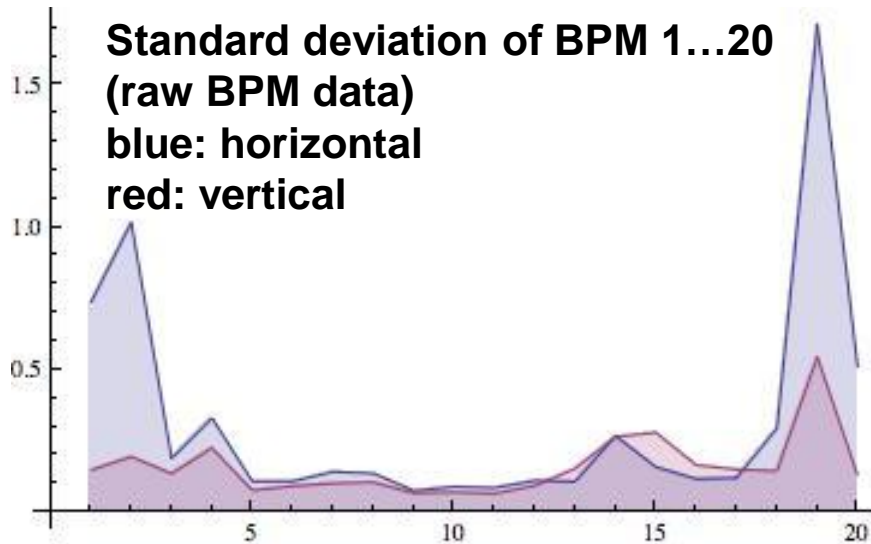
Singular Value Decomposition (SVD)

• **SVD:** $B = U S V^T$

$$\begin{array}{cccccccccccccccccccc}
 B_1 s_1 & B_2 s_2 & \dots & B_M s_1 & \dots & u_{11} & u_{12} & u_{13} & u_{14} & \dots & u_{1P} & s_{11} & 0 & \dots & 0 & \dots & v_{11} & v_{12} & \dots & v_{1M} \\
 B_1 s_2 & B_2 s_2 & \dots & B_M s_2 & \dots & u_{21} & u_{22} & u_{23} & u_{24} & \dots & u_{2P} & 0 & s_{22} & \dots & 0 & \dots & v_{21} & v_{22} & \dots & v_{2M} \\
 B_1 s_3 & B_2 s_3 & \dots & B_M s_3 & \dots & u_{31} & u_{32} & u_{33} & u_{34} & \dots & u_{3P} & 0 & 0 & \dots & 0 & \dots & v_{31} & v_{32} & \dots & v_{3M} \\
 B_1 s_4 & B_2 s_4 & \dots & B_M s_4 & \dots & u_{41} & u_{42} & u_{43} & u_{44} & \dots & u_{4P} & 0 & 0 & \dots & s_{PP} & \dots & \dots & \dots & \dots & \dots \\
 \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & \dots & v_{M1} & v_{M2} & \dots & v_{MM} \\
 B_1 s_P & B_2 s_P & \dots & B_M s_P & \dots & u_{P1} & u_{P2} & u_{P3} & u_{P4} & \dots & u_{PP} & 0 & 0 & \dots & 0 & \dots & \dots & \dots & \dots & \dots
 \end{array}$$

- The BPM matrix B is decomposed into 3 matrices, U , S , V .
 - BPM numbers $B_1 \dots B_M$, shot numbers $s_1 \dots s_P$
- The values of the diagonal of the S matrix expresses the level of correlation between U (temporal) and V (spatial) orthogonal matrices
 - Correlation appears, e.g. due to beam motion effects (x, x' , phase, energy,...) or common systematics (CLK jitter,...) in all BPMs.
 - The SVD algorithm assumes an over constrained system
 - # of BPMs >> degrees of freedom of correlated data, e.g. beam motion
 - We can set some high value $S_{nn} = 0$ (**with great care!**) to estimate the uncorrelated noise of the individual BPMs (resolution).

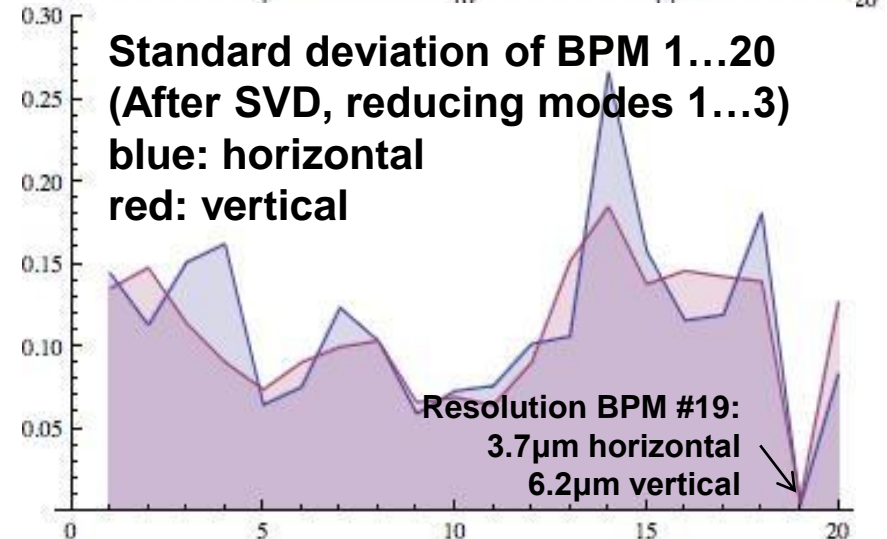
CERN Linac 2: BPM Analysis



- **SVD analysis of a new BPM read-out electronics**

- Could identify new electronics
- Removed beam motion using SVD, modes 1...3

➤ **Alternative: Split BPM electrode signal to both inputs**



Summary & Final Remarks

- An introduction in the technology of BPMs was presented
 - Basics on BPM pickups and beam signals
 - Some technical aspects on read-out electronics
- Many interesting details could not be covered
 - BPM pickup design and optimization
 - Including the minimization of the beam coupling impedance
 - Details on RF feedthroughs
 - BPM system aspects
 - Infrastructure, trigger and timing signals, commissioning
 - In-house design vs. industry solutions
 - Testing and calibration
- BPMs are complex instrumentation systems
 - Teamwork, teamwork, teamwork!!!
- Refinements, improvements, corrections, and a few additional aspects on BPMs in the BI CAS proceedings