Lattice Design in Particle Accelerators

Bernhard Holzer, DESY

Historical note:

... Particle acceleration where lattice design is not needed

$$N(\theta) = \frac{N_{i} nt Z^{2} e^{4}}{(8\pi\varepsilon_{0})^{2} r^{2} K^{2}} * \frac{1}{\sin^{4}(\theta/2)}$$

Rutherford Scattering, 1906

Using radioactive particle sources: α -particles of some MeV energy



Lattice design: design and optimisation of the principle elements of an accelerator ... the lattice cells



1952: Courant, Livingston, Snyder: Theory of strong focusing in particle beams

Lattice Design: ,... how to build a storage ring"

High energy accelerators \rightarrow circular machines

somewhere in the lattice we need a number of dipole magnets, that are bending the design orbit to a closed ring

Geometry of the ring:

centrifugal force = Lorentz force



$$e^* v^* B = \frac{m v^2}{\rho}$$
$$\rightarrow e^* B = \frac{m v}{\rho} = p / \rho$$

0

p = momentum of the particle, $\rho = curvature radius$

p - p/e

 $B\rho$ = beam rigidity

Example: heavy ion storage ring TSR 8 dipole magnets of equal bending strength

Circular Orbit:

"... defining the geometry"

$$\alpha = \frac{ds}{\rho} \approx \frac{dl}{\rho}$$

$$\alpha = \frac{B * dl}{B * \rho}$$



field map of a storage ring dipole magnet

The angle swept out in one revolution must be 2π , so

... for a full circle
$$\alpha = \frac{\int Bdl}{B*\rho} = 2\pi \longrightarrow \int Bdl = 2\pi*\frac{p}{q}$$

Nota bene:
$$\frac{\Delta B}{B} \approx 10^{-4}$$
 is usually required !!

Example HERA:



920 GeV Proton storage ring dipole magnets N = 416l = 8.8mq = +1 e

$$\int Bdl \approx N * l * B = 2\pi p / q$$

$$B \approx \frac{2\pi * 920 * 10^9 eV}{416 * 3 * 10^8 \frac{m}{s} * 8.8m * e} \approx 5.15 Tesla$$

Focusing forces and particle trajectories:

Equation of motion

$$x"+K*x=0$$

$$K = -k + 1 / \rho^2$$
hor. plane $K = k$ vert. plane

dipole magnet:
$$\frac{1}{\rho} = \frac{B}{p/e}$$

quadrupole lens:
$$k = \frac{g}{p/e}$$

focal length: $f = \frac{1}{k*l}$

Example: HERA Ring: Circumference: $C_0 = 6335 \text{ m}$ Bending radius: $\rho = 580 \text{ m}$ Quadrupol Gradient: G= 110 T/m $\Rightarrow k = 33.64*10^{-3}/m^2$ $\Rightarrow 1/\rho^2 = 2.97*10^{-6}/m^2$

For estimates in large accelerators the weak focusing term 1/ρ² can
 in general be neglected

"... single particle trajectories"

$$y'' + K * y = 0$$



Solution for a focusing magnet

$$y(s) = y_0 * \cos(\sqrt{K} * s) + \frac{y'_0}{\sqrt{K}} * \sin(\sqrt{K} * s)$$
$$y'(s) = -y_0 * \sqrt{K} * \sin(\sqrt{K} * s) + y'_0 * \cos(\sqrt{K} * s)$$

Or written more convenient in matrix form:

$$\begin{pmatrix} y \\ y' \end{pmatrix}_{s} = M * \begin{pmatrix} y \\ y' \end{pmatrix}_{0}$$

Matrices of lattice elements

Hor. focusing Quadrupole Magnet
$$M_{QF} = \begin{pmatrix} \cos(\sqrt{K}*l) & \frac{1}{\sqrt{K}}\sin(\sqrt{K}*l) \\ -\sqrt{K}\sin(\sqrt{K}*l) & \cos(\sqrt{K}*l) \end{pmatrix}$$

$$\begin{pmatrix} \cosh(\sqrt{K}*l) & \frac{1}{\sqrt{K}}\sinh(\sqrt{K}*l) \end{pmatrix}$$

Hor. defocusing Quadrupole Magnet

$$M_{QD} = \begin{pmatrix} \cosh(\sqrt{K} * l) & \frac{1}{\sqrt{K}} \sinh(\sqrt{K} * l) \\ \sqrt{K} \sinh(\sqrt{K} * l) & \cosh(\sqrt{K} * l) \end{pmatrix}$$

$$M_{Drift} = \begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}$$



$$M_{lattice} = M_{QF1} * M_{D1} * M_{QD} * M_{D1} * M_{QF2} \dots$$

Periodic Lattices

In the case of periodic lattices the transfer matrix can be expressed as a function of a set of periodic parameters α , β , γ

$$M(s) = \begin{pmatrix} \cos \mu + \alpha_s \sin \mu & \beta_s \sin \mu \\ -\gamma_s \sin \mu & \cos(\mu) - \alpha_s \sin \mu \end{pmatrix} \qquad \mu = \int_{s}^{s+L} \frac{dt}{\beta(t)}$$

 $\mu = phase advance$ per period:

For stability of the motion in periodic lattice structures it is required that

In terms of these new periodic parameters the solution of the equation of motion is

$$y(s) = \sqrt{\varepsilon} * \sqrt{\beta(s)} * \cos(\Phi(s) - \delta)$$
$$y'(s) = \frac{-\sqrt{\varepsilon}}{\sqrt{\beta}} * \left\{ \sin(\Phi(s) - \delta) + \alpha \cos(\Phi(s) - \delta) \right\}$$

The new parameters α , β , γ can be transformed through the lattice via the matrix elements defined above.

$$\begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix}_{S} = \begin{pmatrix} C^{2} & -2SC & S^{2} \\ -CC' & SC'+S'C & -SS' \\ C'^{2} & -2S'C' & S'^{2} \end{pmatrix} * \begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix}_{0}$$

Question: " What does that mean ???? "

... and here starts the lattice design !!!

Most simple example: drift space

$$M = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} = \begin{pmatrix} 1 & l \\ 0 & 1 \end{pmatrix}$$

particle coordinates

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{l} = \begin{pmatrix} 1 & l \\ 0 & 1 \end{pmatrix} * \begin{pmatrix} x \\ x' \end{pmatrix}_{0}$$

transformation of twiss parameters:

$$\begin{pmatrix} \boldsymbol{\beta} \\ \boldsymbol{\alpha} \\ \boldsymbol{\gamma} \end{pmatrix}_{l} = \begin{pmatrix} 1 & -2l & l^{2} \\ 0 & 1 & -l \\ 0 & 0 & 1 \end{pmatrix} * \begin{pmatrix} \boldsymbol{\beta} \\ \boldsymbol{\alpha} \\ \boldsymbol{\gamma} \end{pmatrix}_{0}$$

$$x(l) = x_0 + l * x_0'$$

 $x'(l) = x_0'$

$$\beta(s) = \beta_0 - 2l * \alpha_0 + l^2 * \gamma_0$$

Stability ...?

$$trace(M) = 1 + 1 = 2$$

 →A periodic solution doesn't exist in a lattice built exclusively out of drift spaces.



Arc: regular (periodic) magnet structure:

bending magnets → define the energy of the ring main focusing & tune control, chromaticity correction, multipoles for higher order corrections

Straight sections: drift spaces for injection, dispersion suppressors, low beta insertions, RF cavities, etc.... ... and the high energy experiments if they cannot be avoided

The FoDo-Lattice

A magnet structure consisting of focusing and defocusing quadrupole lenses in alternating order with nothing in between.

(Nothing = elements that can be neglected on first sight: drift, bending magnets, RF structures ... and especially experiments...)



Starting point for the calculation: in the middle of a focusing quadrupole Phase advance per cell $\mu = 45^{\circ}$,

 \rightarrow calculate the twiss parameters for a periodic solution

Periodic solution of a FoDo Cell



 $0.125 * 2\pi = 45^{\circ}$

Output of the optics program:

0,125

QZ=

0,125

QX =

Nr	Туре	Length	Strength	β_x	α_{x}	φ_x	β_z	a _z	$\boldsymbol{\varphi}_{z}$
		m	1/m2	т		1/2π	m		1/2π
0	IP	0,000	0,000	11,611	0,000	0,000	5,295	0,000	0,000
1	QFH	0,250	-0,541	11,228	1,514	0,004	5,488	-0,781	0,007
2	QD	3,251	0,541	5,488	-0,781	0,070	11,228	1,514	0,066
3	QFH	6,002	-0,541	11,611	0,000	0,125	5,295	0,000	0,125
4	IP	6,002	0,000	11,611	0,000	0,125	5,295	0,000	0,125

Can we understand, what the optics code is doing?

matrices

$$M_{QF} = \begin{pmatrix} \cos(\sqrt{K} * l_q) & \frac{1}{\sqrt{K}} \sin(\sqrt{K} * l_q) \\ -\sqrt{K} \sin(\sqrt{K} * l_q) & \cos(\sqrt{K} * l_q) \end{pmatrix}, \qquad M_{Drift} = \begin{pmatrix} 1 & l \\ 0 & 1_d \end{pmatrix}$$

strength and length of the FoDo elements

 $K = +/- 0.54102 m^{-2}$ lq = 0.5 mld = 2.5 m

The matrix for the complete cell is obtained by multiplication of the element matrices

$$M_{FoDo} = M_{qfh} * M_{ld} * M_{qd} * M_{ld} * M_{qfh}$$

Putting the numbers in and multiplying out ...

$$M_{FoDo} = \begin{pmatrix} 0.707 & 8.206 \\ -0.061 & 0.707 \end{pmatrix}$$

The transfer matrix for 1 period gives us all the information that we need !

1.) is the motion stable?
$$trace(M_{FoDo}) = 1.415 \rightarrow$$
 < 2

2.) Phase advance per cell



$$\cos(\mu) = \frac{1}{2} * trace(M) = 0.707$$
$$\mu = arc\cos(\frac{1}{2} * trace(M)) = 45^{\circ}$$

3.) hor β-function

4.) hor α-function

$$\beta = \frac{M(1,2)}{\sin(\mu)} = 11.611 \ m$$

$$\alpha = \frac{M(1,1) - \cos(\mu)}{\sin(\mu)} = 0$$

Can we do it a little bit easier ? We can: ... the ,,thin lens approximation"

Matrix of a focusing quadrupole magnet:

$$M_{QF} = \begin{pmatrix} \cos(\sqrt{K} * l) & \frac{1}{\sqrt{K}} \sin(\sqrt{K} * l) \\ -\sqrt{K} \sin(\sqrt{K} * l) & \cos(\sqrt{K} * l) \end{pmatrix}$$

If the focal length *f* is much larger than the length of the quadrupole magnet,

$$f = \frac{1}{kl_Q} >> l_Q$$

the transfer matrix can be aproximated using

$$kl_q = const, \ l_q \rightarrow 0$$

$$M = \begin{pmatrix} 1 & 0 \\ 1/f & 1 \end{pmatrix}$$

FoDo in thin lens approximation



Calculate the matrix for a half cell, starting in the middle of a foc. quadrupole:

$$M_{halfCell} = M_{QD/2} * M_{lD} * M_{QF/2}$$
$$M_{halfCell} = \begin{pmatrix} 1 & 0 \\ \frac{1}{f} & 1 \end{pmatrix} * \begin{pmatrix} 1 & l_D \\ 0 & 1 \end{pmatrix} * \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix}$$

$$M_{halfCell} = \begin{pmatrix} 1 - \frac{l_D}{\tilde{f}} & l_D \\ -l_D / \tilde{f}^2 & 1 + \frac{l_D}{\tilde{f}} \end{pmatrix}$$

for the second half cell set $f \rightarrow -f$

FoDo in thin lens approximation

Matrix for the complete FoDo cell:

$$M = \begin{pmatrix} 1 + \frac{l_D}{\tilde{f}} & l_D \\ -\frac{l_D}{\tilde{f}^2} & 1 - \frac{l_D}{\tilde{f}} \end{pmatrix} * \begin{pmatrix} 1 - \frac{l_D}{\tilde{f}} & l_D \\ -\frac{l_D}{\tilde{f}^2} & 1 + \frac{l_D}{\tilde{f}} \end{pmatrix}$$

$$M = \begin{pmatrix} 1 - \frac{2l_D^2}{\tilde{f}^2} & 2l_D(1 + \frac{l_D}{\tilde{f}}) \\ 2(\frac{l_D^2}{\tilde{f}^3} - \frac{l_D}{\tilde{f}^2}) & 1 - 2\frac{l_D^2}{\tilde{f}^2} \end{pmatrix}$$

Now we know, that the phase advance is related to the transfer matrix by

$$\cos \mu = \frac{1}{2} trace \ (M) = \frac{1}{2} * (2 - \frac{4l_D^2}{\tilde{f}^2}) = 1 - \frac{2l_D^2}{\tilde{f}^2}$$

After some beer and with a little bit of trigonometric gymnastics

$$\cos(x) = \cos^2(\frac{x}{2}) - \sin^2(x/2) = 1 - 2\sin^2(\frac{x}{2})$$

we can calculate the phase advance as a function of the FoDo parameter ...

$$\cos(\mu) = 1 - 2\sin^2(\mu/2) = 1 - \frac{2l_D^2}{\tilde{f}^2}$$
$$\sin(\mu/2) = l_D / \tilde{f} = \frac{L_{Cell}}{2\tilde{f}}$$
$$\sin(\mu/2) = \frac{L_{Cell}}{4f}$$

Example: 45-degree Cell $L_{Cell} = l_{QF} + l_D + l_{QD}$

$$L_{Cell} = l_{QF} + l_D + l_{QD} + l_D = 0.5m + 2.5m + 0.5m + 2.5m = 6m$$
$$1/f = k^* l_Q = 0.5m^* 0.541 \ m^{-2} = 0.27 \ m^{-1}$$

$$\sin(\mu/2) \approx \frac{L_{Cell}}{4f} = 0.405$$
$$\rightarrow \mu \approx 47.8^{\circ}$$
$$\rightarrow \beta \approx 11.4m$$

Remember: Exact calculation yields: $\mu = 45^{\circ}$ $\beta = 11.6m$

Stability in a FoDo structure



$$M_{FoDo} = \begin{pmatrix} 1 - \frac{2l_D^2}{\tilde{f}^2} & 2l_D(1 + \frac{l_D}{\tilde{f}}) \\ 2(\frac{l_D^2}{\tilde{f}^3} - \frac{l_D}{\tilde{f}^2}) & 1 - 2\frac{l_D^2}{\tilde{f}^2} \end{pmatrix}$$

Stability requires:

trace(M) < 2

SPS Lattice

$$trace(M) = \left| 2 - \frac{4l_D^2}{\tilde{f}^2} \right| < 2$$

 $\rightarrow f > \frac{L_{cell}}{4}$

For stability the focal length has to be larger than a quarter of the cell length !!

Transformation matrix in terms of the Twiss parameters

Transformation of the coordinate vector (x,x') in a lattice

$$\begin{pmatrix} x(s) \\ x'(s) \end{pmatrix} = M_{s1,s2} \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix}$$



General solution of the equation of motion

$$x(s) = \sqrt{\mathcal{E}^* \beta(s)} * \cos(\phi(s) + \varphi)$$
$$x'(s) = -\sqrt{\frac{\mathcal{E}}{\beta(s)}} * \{\alpha(s)\cos(\phi(s) + \varphi) + \sin(\phi(s) + \varphi)\}$$

Transformation of the coordinate vector (x,x') expressed as a function of the twiss parameters

$$M_{1\to2} = \begin{pmatrix} \sqrt{\frac{\beta_2}{\beta_1}} (\cos \phi_{12} + \alpha_1 \sin \phi_{12}) & \sqrt{\beta_2 \beta_1} \sin \phi_{12} \\ \frac{(\alpha_1 - \alpha_2) \cos \phi - (1 + \alpha_1 \alpha_2) \sin \phi_{12}}{\sqrt{\beta_2 \beta_1}} & \sqrt{\frac{\beta_2}{\beta_1}} (\cos \phi_{12} - \alpha_2 \sin \phi_{12}) \end{pmatrix}$$



In the middle of a foc (defoc) quadrupole of the FoDo we allways have $\alpha = 0$, and the half cell will lead us from β_{max} to β_{min}

$$M = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} = \begin{pmatrix} \sqrt{\frac{\beta}{\beta}} \cos \frac{\mu}{2} & \sqrt{\beta} \frac{\lambda}{\beta} \sin \frac{\mu}{2} \\ \frac{-1}{\sqrt{\beta} \frac{\lambda}{\beta}} \sin \frac{\mu}{2} & \sqrt{\frac{\beta}{\beta}} \cos \frac{\mu}{2} \end{pmatrix}$$

Solving for β_{max} and β_{min} and remembering that $\sin \frac{\mu}{2} = \frac{l_D}{\tilde{f}} = \frac{L}{4f}$





The maximum and minimum values of the β -function are solely determined by the phase advance and the length of the cell.

Longer cells lead to larger β

typical shape of a proton bunch in the HERA FoDo Cell

Beam dimension: Optimisation of the FoDo Phase advance:

In both planes a gaussian particle distribution is assumed, given by the beam emittance ϵ and the β -function



In general proton beams are *"round"* in the sense that

$$\mathcal{E}_x \approx \mathcal{E}_y$$

So for highest aperture we have to minimise the β -function in both planes:

$$r^2 = \varepsilon_x \beta_x + \varepsilon_y \beta_y$$



typical beam envelope, vacuum chamber and pole shape in a foc. Quadrupole lens in HERA

Optimising the FoDo phase advance

$$r^2 = \varepsilon_x \beta_x + \varepsilon_y \beta_y$$



Electrons are different

electron beams are usually flat, $\varepsilon_y \approx 2 - 10\% \varepsilon_x$ \rightarrow optimise only β_{hor}

$$\frac{d}{d\mu}(\hat{\beta}) = \frac{d}{d\mu} \frac{L(1 + \sin\frac{\mu}{2})}{\sin\mu} = 0 \rightarrow \mu \approx 76^{\circ}$$

- -





Orbit Correction and Beam Instrumentation in a storage ring



Elsa ring, Bonn

Resumé:

1.) Dipole strength:

$$\int Bds = N * B_0 * l_{eff} = 2\pi \frac{p}{q}$$

l_{eff} effective magnet length, N number of magnets

2.) Stability condition:

Trace(M) < 2

for periodic structures within the lattice / at least for the transfer matrix of the complete circular machine

3.) Transfer matrix for periodic cell $M(s) = \begin{pmatrix} \cos \mu + \alpha(s) \sin \mu & \beta(s) \sin \mu \\ -\gamma(s) \sin \mu & \cos(\mu) - \alpha(s) \sin \mu \end{pmatrix}$

 α,β,γ depend on the position s in the ring, μ (phase advance) is independent of s

4.) Thin lens approximation:

$$M_{QF} = \begin{pmatrix} 1 & 0 \\ \frac{1}{f_Q} & 1 \end{pmatrix} \qquad f_Q = \frac{1}{k_Q l_Q}$$

focal length of the quadrupole magnet $f_Q = 1/(k_Q l_{Q)} >> l_Q$

5.) Tune (rough estimate):

Tune = *phase advance*

in units of 2π

$$\mu = \int_{s}^{s+L} \frac{dt}{\beta(t)}$$

$$Q \coloneqq N * \frac{\mu}{2\pi} = \frac{1}{2\pi} * \oint \frac{ds}{\beta(s)} \approx \frac{1}{2\pi} * \frac{2\pi\overline{R}}{\overline{\beta}} = \overline{R}/\overline{\beta}$$

$$Q \approx \frac{\overline{R}}{\overline{\beta}}$$

 \overline{R} , $\overline{\beta}$ average radius and β -function

6.) Phase advance per FoDo cell
$$\sin \frac{\mu}{2} = \frac{L_{Cell}}{4f_Q}$$

 L_{Cell} length of the complete FoDo cell, f_Q focal length of the quadrupole, μ phase advance per cell

7.) Stability in a FoDo cell (thin lens approx)

$$f_Q > \frac{L_{Cell}}{4}$$

$$\hat{\beta} = \frac{(1 + \sin\frac{\mu}{2})L_{Cell}}{\sin\mu} \qquad \overset{\vee}{\beta} = \frac{(1 - \sin\frac{\mu}{2})L_{Cell}}{\sin\mu}$$

 L_{Cell} length of the complete FoDo cell, μ phase advance per cell

Conclusion:

- * "the arc" of a storage ring is usually built out of a periodic sequence of single magnet elements eg. FoDo sections
- * a first guess of the main parameters of the beam in the arc is obtained by the settings of the quadrupole lenses in this section
- * we can get an estimate of the beam parameters using a selection of "rules of thumb"

Usually the real beam properties will not differ too much from these estimates and we will have a nice storage ring and a beautifull beam and everybody is happy around. And then someone comes and spoils it all by saying something stupid like installing a tiny little piece of detector in our machine ...

