Digital Signal Processing in RF Applications

### Part II

**Thomas Schilcher** 

PAUL SCHERRER INSTITUT

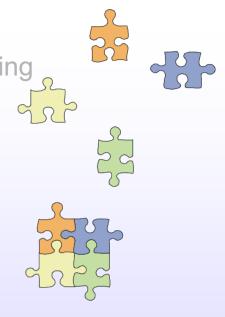






# Outline

- 1. signal conditioning / down conversion
- 2. detection of amp./phase by digital I/Q sampling
  - □ I/Q sampling
  - □ non I/Q sampling
  - digital down conversion (DDC)
- 3. upconversion
- 4. algorithms in RF applications
  - feedback systems
    - cavity amplitude and phase
    - radial and phase loops
    - adaptive feedforward
    - system identification





### RF cavity: amplitude and phase feedback

**task**: maintain phase and amplitude of the accelerating field within given tolerances to accelerate a charged particle beam

#### operating frequency:

few MHz / ~50 MHz (cyclotrons) - 30 GHz (CLIC)

#### required stability:

10<sup>-2</sup> – 10<sup>-4</sup> in amplitude (1% - 0.01%), 1° - 0.01° (10<sup>-2</sup> – 10<sup>-4</sup> rad) in phase (0.01° @ 1.3 GHz corresponds to 21 fs)

#### often: additional tasks required like exception handling, built-in diagnostics, automated calibration, ...

#### design choices:

- analog / digital / combined
- amplitude/phase versus IQ control

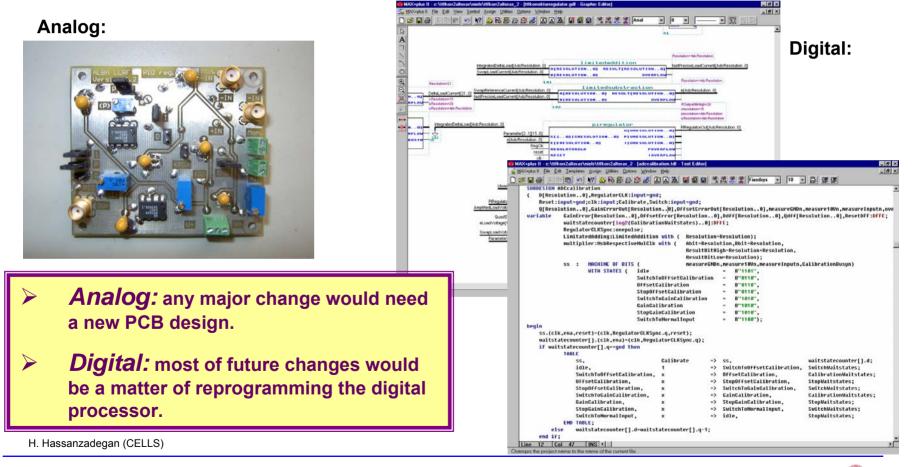
#### control of

- single cell/multicell cavity with one RF amplifier (klystron, IOT,...)
- string of several cavities with single klystron (vector sum control)
- pulsed / CW operation
- normal / superconducting cavities



### RF cavity: amplitude and phase feedback (2)

### **Analog/Digital LLRF comparison – Flexibility (ALBA)**

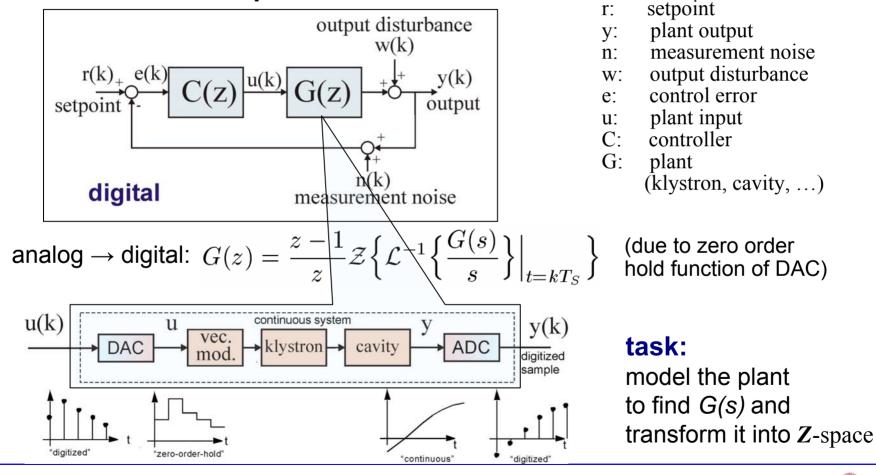






### RF cavity: amplitude and phase feedback (3)

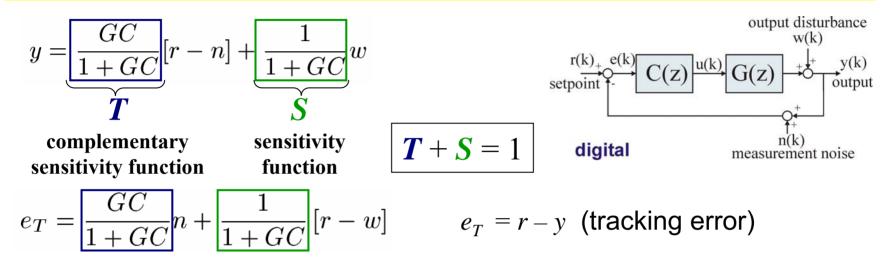
#### basic feedback loop:







### RF cavity: amplitude and phase feedback (4)



- → GC: open loop transfer function
- ✤ for output y :

measurement error n behaves like a change in the setpoint r (e.g. I/Q sampling error...)

• output y should be insensitive for low frequencies output disturbances w

 $(\rightarrow$  high gain with the controller to get GC >>1)

- T should be small (robustness)
  - *S* should be small (performance)

trade-off between performance and robustness



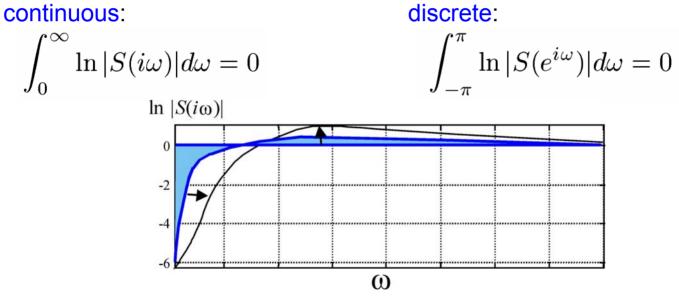


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### RF cavity: amplitude and phase feedback (5)

### LTI feedback: Bode integral theorem - waterbed effect

• if *GC* has no unstable poles and there are two or more poles than zeros: (continuous: no poles in the right hand plane; discrete: no poles outside unity circle)



 Small sensitivity at low frequencies must be "paid" by a larger than 1 sensitivity at some higher frequencies "waterbed effect"

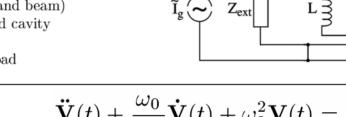


### RF cavity: amplitude and phase feedback (6)

### representation of RF cavity (transfer function / state space)

#### simplified model: LCR circuit

- $\mathbf{V}(t)$ : cavity voltage
- $\mathbf{I}(t)$ : driving current (from generator and beam)
- $\omega_0$ : resonance frequency of undamped cavity
- $Q_L$ : loaded quality factor of cavity
- $R_L$ : cavity resistance incl. external load



$$(t) + \frac{\omega_0}{Q_L} \dot{\mathbf{V}}(t) + \omega_0^2 \mathbf{V}(t) = \frac{\omega_0 R_L}{Q_L} \dot{\mathbf{I}}(t)$$

stationary solution for a harmonic driven cavity:

$$V(t) = \hat{V} \cdot \sin(\omega t + \psi)$$

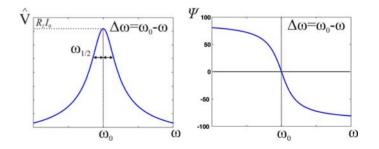
$$\hat{V} \approx \frac{R_L I_0}{\sqrt{1 + (2Q_L \frac{\Delta\omega}{\omega})^2}}$$

detuning  $\tan\psi\approx 2Q_L\frac{\Delta\omega}{\omega}$  angle:

bandwidth:  $\omega_{1/2} = \frac{\omega_0}{2Q_L}$ 

#### detuning:

$$\Delta\omega = \omega_0 - \omega$$



Lcav

C





### RF cavity: amplitude and phase feedback (7)

#### separate fast RF oscillations from the **slowly** changing amplitude/phases:

(slowly: compared to time period of RF oscillations)

$$\mathbf{V}(t) = \begin{pmatrix} V_r(t) \\ V_i(t) \end{pmatrix} \cdot e^{i\omega t} \qquad \mathbf{I}(t) = \begin{pmatrix} I_r(t) \\ I_i(t) \end{pmatrix} \cdot e^{i\omega t} \qquad \text{(notation:} \\ \text{real and imaginary parts} \\ \text{instead of I/Q values)} \\ \frac{\mathrm{d}}{\mathrm{d}t} \begin{pmatrix} V_r \\ V_i \end{pmatrix} = \begin{pmatrix} -\omega_{1/2} & -\Delta\omega \\ \Delta\omega & -\omega_{1/2} \end{pmatrix} \cdot \begin{pmatrix} V_r \\ V_i \end{pmatrix} + \begin{pmatrix} R_L \omega_{1/2} & 0 \\ 0 & R_L \omega_{1/2} \end{pmatrix} \cdot \begin{pmatrix} I_r \\ I_i \end{pmatrix}$$

state space: Laplace transformation:  $\underbrace{\begin{pmatrix} V_r(s) \\ V_i(s) \end{pmatrix}}_{(V_i(s))} = \underbrace{\frac{\omega_{1/2}}{\Delta\omega^2 + (s + \omega_{1/2})^2} \begin{pmatrix} s + \omega_{1/2} & -\Delta\omega \\ \Delta\omega & s + \omega_{1/2} \end{pmatrix}}_{(\Delta\omega)} \cdot \underbrace{\begin{pmatrix} R_L \cdot I_r(s) \\ R_L \cdot I_i(s) \end{pmatrix}}_{(R_L \cdot I_i(s))}$  $\dot{\mathbf{x}}(t) = \mathbf{A} \cdot \mathbf{x}(t) + \mathbf{B} \cdot \mathbf{u}(t)$  $\mathbf{y}(t) = \mathbf{C} \cdot \mathbf{x}(t)$ U(s) $V(s) \qquad H_{cav}(s)$  $\mathbf{x}(t) = \begin{pmatrix} V_r(t) \\ V_i(t) \end{pmatrix} \quad \mathbf{u}(t) = \begin{pmatrix} I_r(t) \\ I_i(t) \end{pmatrix}$ cavity transfer matrix (continuous)  $\mathbf{A} = \begin{pmatrix} -\omega_{1/2} & -\Delta\omega \\ \Delta\omega & -\omega_{1/2} \end{pmatrix} \qquad \mathbf{C} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  $\mathbf{B} = \begin{pmatrix} R_L \omega_{1/2} & 0 \\ 0 & R_L \omega_{1/2} \end{pmatrix} \qquad \mathbf{y}(t) = \mathbf{x}(t)$ z transformation (continuous  $\rightarrow$  discrete with zero order hold):  $H(z) = \frac{\omega_{12}}{\Delta \omega^2 + \omega_2^{-2}} \left| \begin{array}{c} \omega_{12} & -\Delta \omega \\ \Delta \omega & \omega_{22} \end{array} \right| - \left( \frac{\omega_{12}}{\Delta \omega^2 + \omega_2^{-2}} \cdot \frac{z - 1}{z^2 - 2ze^{\omega_{1}T_1} \cdot \cos(\Delta \omega T) + e^{2\omega_{1}T_2}} \right)$ let matlab do the job  $\left\{ \left( (z - e^{\omega_{12}T_s} .\cos(\Delta \omega T_s)) \cdot \begin{bmatrix} \omega_{12} & -\Delta \omega \\ \Delta \omega & \omega_{23} \end{bmatrix} \right\} - e^{\omega_{12}T_s} .\sin(\Delta \omega T_s) \cdot \begin{bmatrix} \Delta \omega & \omega_{12} \\ -\omega_{23} & \Delta \omega \end{bmatrix} \right\}$ for you!





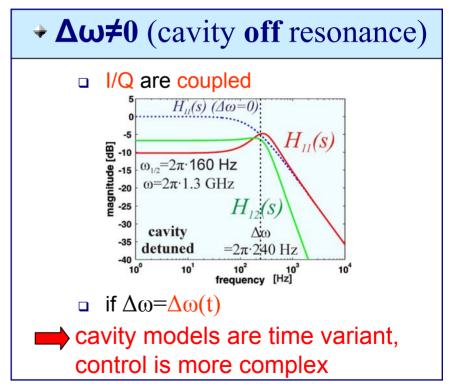
### RF cavity: amplitude and phase feedback (8)

### properties of cavity transfer functions:

$$H_{cav}(s) = \frac{\omega_{1/2}}{\Delta\omega^2 + (s+\omega_{1/2})^2} \begin{pmatrix} s+\omega_{1/2} & -\Delta\omega \\ \Delta\omega & s+\omega_{1/2} \end{pmatrix} = \begin{pmatrix} H_{11}(s) & H_{12}(s) \\ H_{21}(s) & H_{22}(s) \end{pmatrix}$$

- $\Delta \omega = 0$  (cavity on resonance)
  - cavity behaves like a first order low pass filter (20 dB roll off per decade)
  - □ I/Q (or amplitude and phase) are decoupled

$$H_{11}(s) = H_{22}(s) = \frac{\omega_{1/2}}{s + \omega_{1/2}}$$
$$H_{12}(s) = H_{21}(s) = 0$$





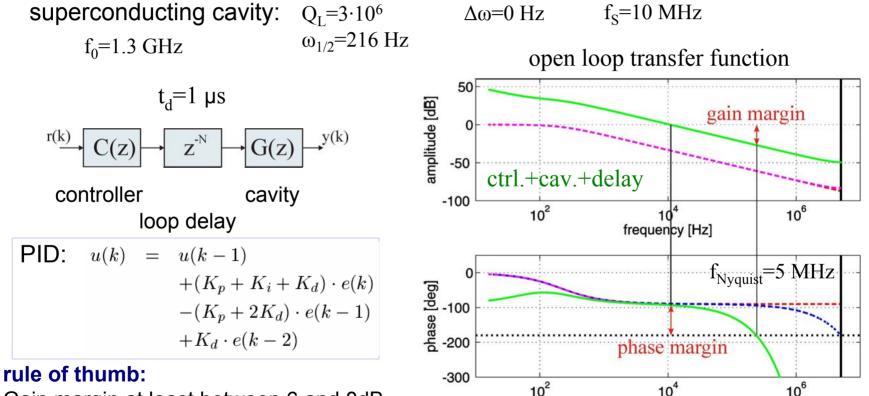
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### RF cavity: amplitude and phase feedback (9)

### example: loop analysis in frequency domain (simplified model !)



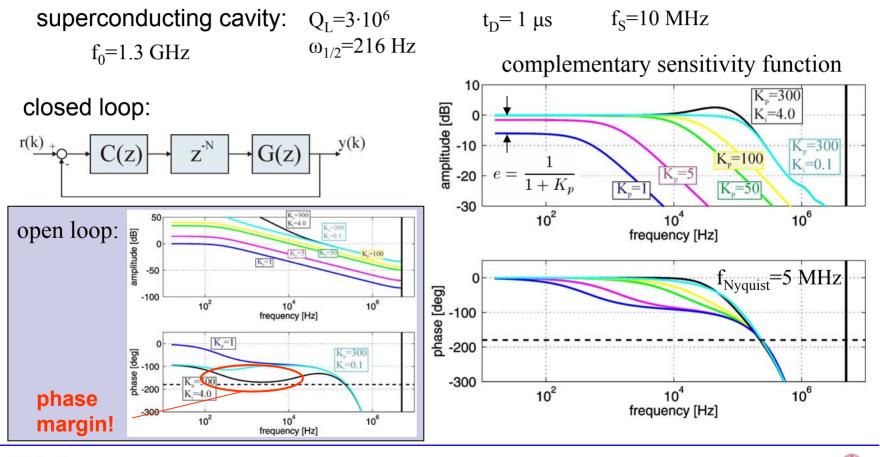
Gain margin at least between 6 and 8dB Phase margin between 40° and 60°



frequency [Hz]

### RF cavity: amplitude and phase feedback (10)

#### example: loop analysis in frequency domain



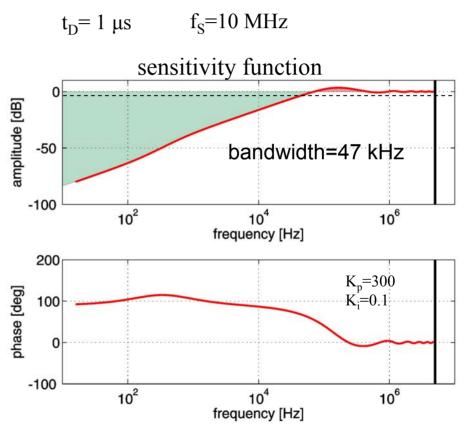


### RF cavity: amplitude and phase feedback (11)

#### example: loop analysis in frequency domain

#### choose parameter such that

- dominant disturbance frequencies are suppressed
- no dangerous lines show up in the range where the feedback can excite
- system performance will not be spoiled by sensor noise due to increasing loop gain



### RF cavity: amplitude and phase feedback (12)

#### example: loop analysis in frequency domain

superconducting cavity:  $Q_L = 3.10^6$ 

 $\omega_{1/2} = 216 \text{ Hz}$ 

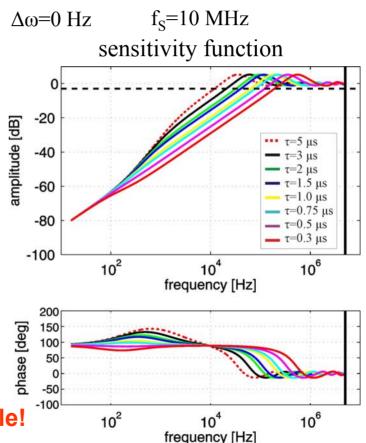
#### variation of the loop delay

(boundary condition:

keep gain margin constant at 8 dB;  $K_i=0.1$ )

t <sub>D</sub>	К <sub>р</sub>	loop bandwidth (-3 dB)
5 µs	87	11.9 kHz
3 µs	145	20.6 kHz
2 µs	223	32.2 kHz
1.5 µs	278	40.3 kHz
1.0 µs	436	63.6 kHz
0.75 µs	539	78.6 kHz
0.5 µs	832	121 kHz
0.3 µs	1303	190 kHz

#### total loop delay is an important parameter; keep it as small as possible!

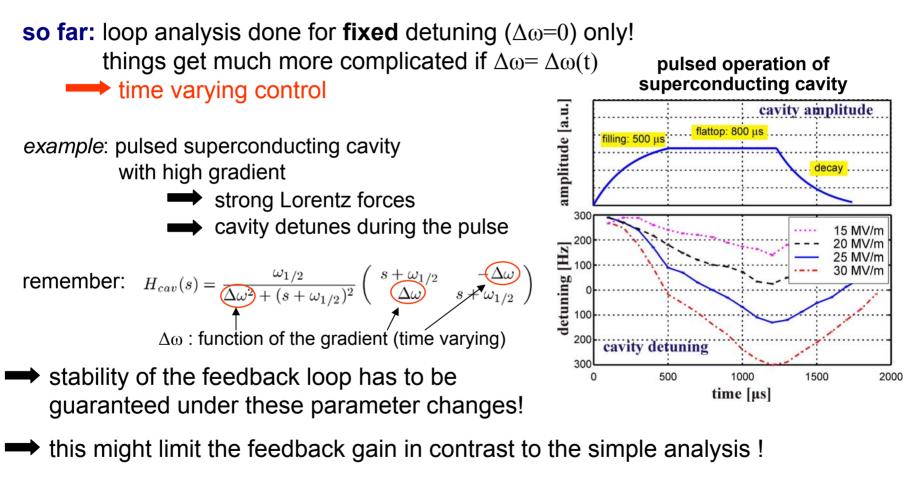




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### RF cavity: amplitude and phase feedback (13)



design of "optimal" controller under study at many labs...



# RF cavity: amplitude and phase feedback (14)

### cavities

superconducting	normal conducting	50 一 一 一
• Q <sub>L</sub> : ~few 10 <sup>5</sup> - 10 <sup>7</sup> cavity time constants	• Q <sub>L</sub> : ~10 – 10 <sup>5</sup> cavity time constants	difference of the second secon
τcav = QL/(πfRF): ~ ~few 100 μs  bandwidth	τ <sub>cav</sub> : ~ <b>few μs</b> bandwidth	-100
$f_{1/2} = f_{RF}^{\prime}/(2Q_L)$ : ~few 100 Hz	$f_{1/2}$ : ~100 kHz	o
<ul> <li>✓ feedback loop delay small compared to τ<sub>cav</sub></li> </ul>	<ul> <li>✓ feedback loop delay in the order of τ<sub>cav</sub></li> </ul>	

possible gain  $10^{2}$ 10<sup>4</sup> 10<sup>6</sup> frequency [Hz] - τ=3 us r=0.75 us - τ=5 µs 180 - τ=17 us τ=2 µs 10<sup>2</sup> 104 10<sup>6</sup>  $Q_1 = 2 \cdot 10^4$ frequency [Hz]  $f_{PF}=324 \text{ MHz}$ 

cavity + delay transfer function

loop latency limits high feedback gain for high bandwidth cavities!

if the gains/bandwidths achieved by digital feedback systems are not sufficient

analog/digital hybrid system might be an alternative !?



### amplitude and phase feedback: example

- I I RF: J-PARC linac (RFQ, DTL, SDTL)
- 400 MeV proton linac
- pulsed operation; rep. rate: 12.5/25 Hz; pulse length: ~500-650 µs
- vector sum control
- normal conducting cavities;  $Q_1 \sim 8'000 - 300'000$  $\tau_{cav} \sim 100 \ \mu s$

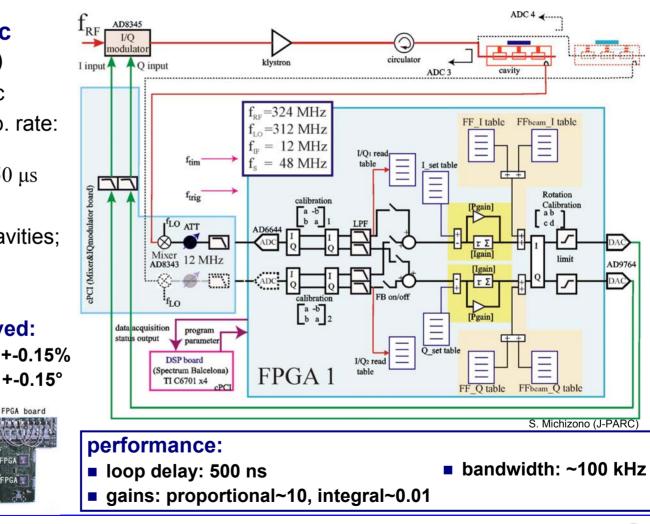
#### requirements / achieved:

→ amplitude: < +-1% / < +-0.15%</p>

Balcelona

phase: < +-1° / < +-0.15°

combined DSP/FPGA board





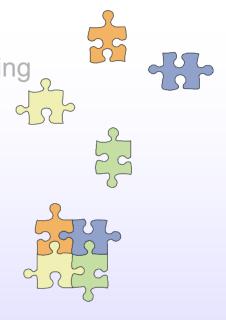
FPGA

FPGA



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    - cavity amplitude and phase
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    - system identification







# Feedbacks in hadron/ion synchrotrons

#### booster synchrotrons:

capture and adiabatically rebunch the beam and accelerate to the desired extraction energy.

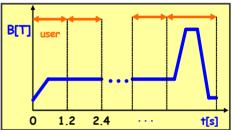
#### **Beam Control System**

task: control of

- RF frequency during the ramp (large frequency swings of up to a factor of ten, usually from several 100 kHz to several 10 MHz)
- cavity amplitude and phase (ampl. can follow a pattern during acceleration)
- mean radial position of the beam
- phase between beam and cavity RF  $\longrightarrow$  deviations from  $\Phi_s$  will lead to (synchronous phase  $\Phi_s$ )
- synchronization to master RF phase (to synchronize the beam transport to other accelerator rings)

#### in reality: errors due to phase noise, B field errors, power supply ripples, ...





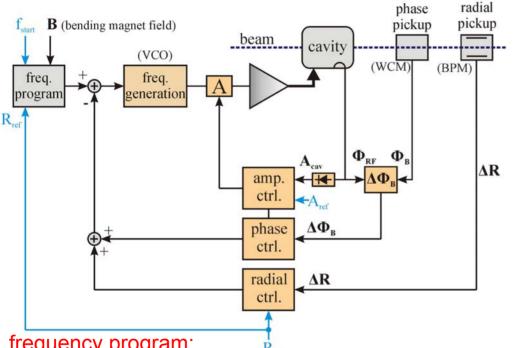
Typical LEIR commissioning cycle.

$$\frac{dB}{B} = \gamma^2 \frac{df}{f} + (\gamma^2 - \gamma_{tr}^2) \frac{dR}{R}$$

synchrotron oscillations



### **Beam Control System**



#### frequency program:

- 1) calculate frequency based on the B field, desired radial position
- 2) optimize the freq. ramp to improve injection efficiency
- 3) generate dual harmonic RF signals for cavities (bunch shaping)

#### beam phase loop

damps coherent synchrotron oscillations from

1) injection errors (energy, phase)

- 2) bending magnet noise
- 3) frequency synthesizer phase noise

#### radial loop

keeps the beam to its design radial position during acceleration

#### cavity amplitude loop

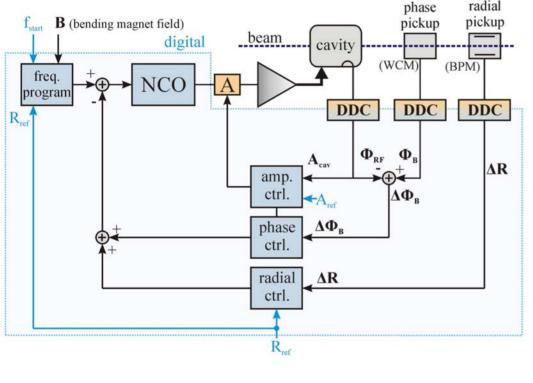
- 1) compensates imperfections in the cavity amplifier chain
- 2) amplitude has to follow a ramping function

synchronization loop (not shown)

locks the phase to a master RF



## Beam Control System: from analog to digital



# How do we setup the control loops?

#### in '80s: DDS/NCO replace VCO (VCO: lack of absolute accuracy, stability limitations if freq. tuning is required over a broad range)

in recent years (LEIR, AGS, RHIC): fully digital beam control system

- digitize RF signals (I/Q, DDC)
- all control loops are purely digital
- feedback gains: function of the beam parameters (keep the same loop performances through the acceleration cycle)



## Radial and phase loops

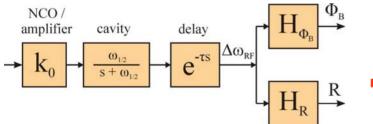
beam dynamics delivers the differential equations  $\implies$  transfer functions

transfer functions without derivation:

RF freq. (NCO output) to phase deviation of the beam from the synchronous phase

RF freq. (NCO output) to radial position R

#### model of the system:



 $H_{\phi_B}(s) = \frac{\Delta \phi_B}{\Delta \omega_{BF}} = \frac{s}{s^2 + \omega_S^2}$  $H_R(s) = \frac{R}{\Delta\omega_{RF}} = \frac{b}{s^2 + \omega_S^2}$ 

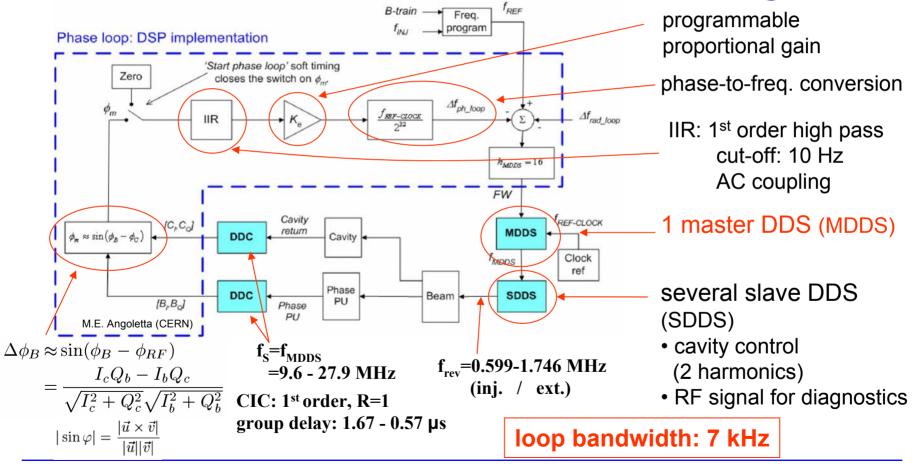
 $\omega_s = \omega_s$  (E): synchrotron frequency, depend on the beam energy  $b=b(E,\Phi_s)$ : function of energy, synchronous phase since energy varies along the ramp time varying model ! LPV: linear parameter varying model

design of the controller: parameters have to be adjusted over time to meet the changing plant dynamics (guarantee constant loop performance and stability)



### Phase loop: example

implementation example (test system for LEIR): **PS Booster** @ CERN

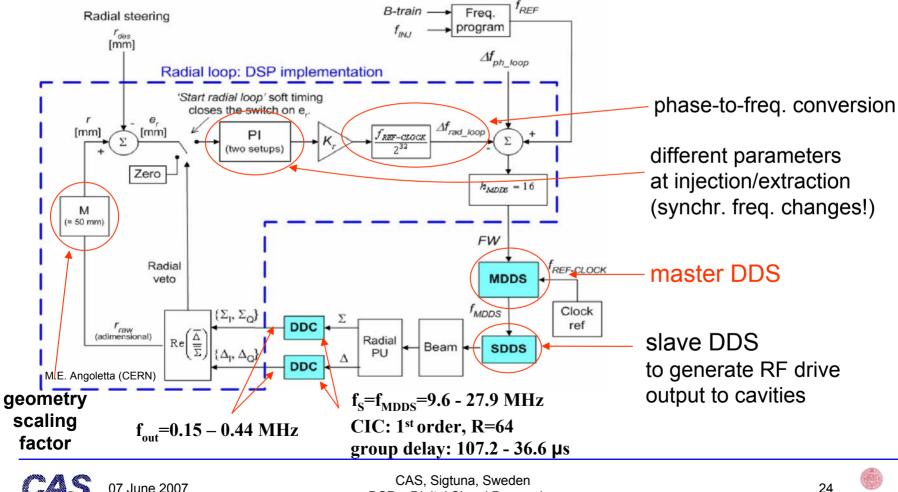






# Radial loop: example

implementation example (test system for LEIR): **PS Booster** @ CERN



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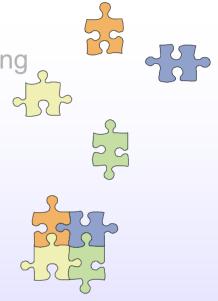
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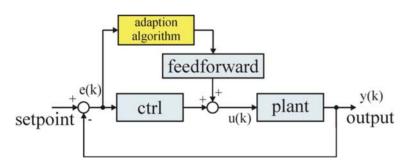




## **Adaptive Feedforward**

### goal:

- suppress repetitive errors by feedforward in order to disburden the feedback
- cancel well known disturbances where feedback is not able to (loop delay!)
- adapt feedforward tables continuously to compensate changing conditions



#### warning:

adding the error (loop delay corrected) to system input **does not work**! (dynamics of plant is not taken into account)

### How to obtain feedforward correction?

we need to calculate the proper input which generates output signal -e(k)

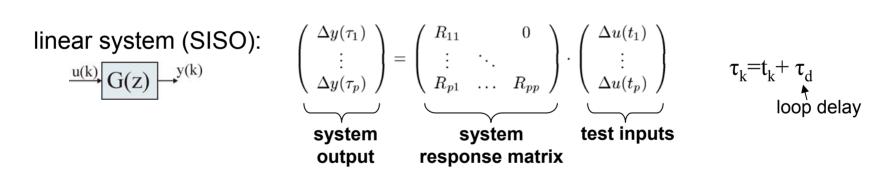
### inverse system model needed!



### Adaptive Feedforward (2)

in reality: model for plant not well known enough

- system identification model
- measure system response (e.g. by step response measurements)



in successive measurements: apply  $\Delta u(t_k)$  and measure response  $\Delta \vec{y}$ 

 $\implies$  results in *R* (with some math depending on the test input)

 $\longrightarrow$  invert response matrix  $T=R^{-1}$  (possible due to definition of sampling time  $\tau_k = t_k + \tau_d$ )

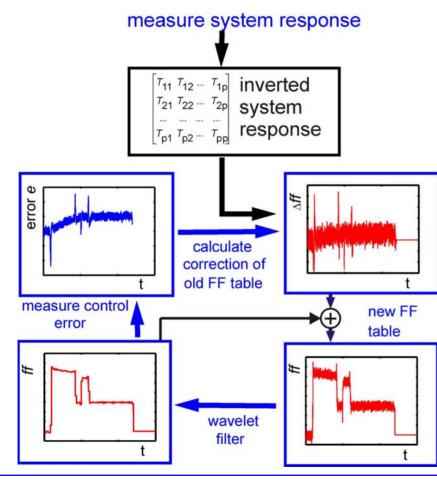
$$\Delta \mathbf{f} \mathbf{f} = \mathbf{T} \cdot \mathbf{e} = \mathbf{T} \cdot (\mathbf{r} - \mathbf{y})$$

 $\Delta \vec{\mathbf{u}} = \mathbf{T} \cdot \Delta \vec{\mathbf{v}}$ 





### Adaptive Feedforward (3)



pulsed superconducting 1.3 GHz cavity: works fine in principle

but:

- remeasure T when operating point changes (amplitude/phase) (non-linearities in the loop)
- response measurement could not be fast enough
  - need for a fast and robust adaptive feedforward algorithm!





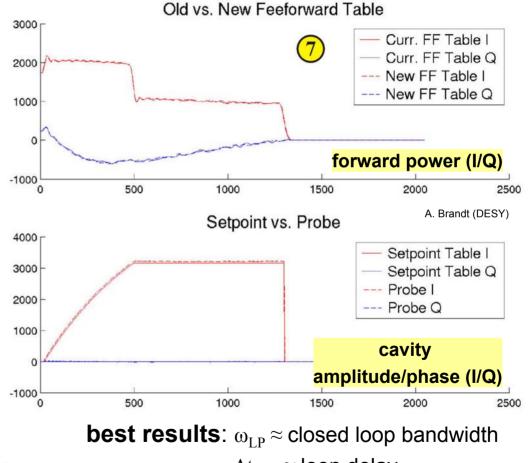
## Adaptive Feedforward (4)

#### "time reversed" filtering:

- developed for FLASH, in use at FLASH/tested at SNS
- works only for pulsed systems
- not really understood but it works within a few iterations!

#### recipe:

- record feedback error signal e(t)
- time reverse  $e(t) \rightarrow e(-t)$
- lowpass filter e(-t) with  $\omega_{IP}$
- reverse filtered signal in time again
- shift signal in time  $(\Delta t_{AFF})$  to compensate loop delay
- add result to the previous FF table



 $\Delta t_{AFF} \approx$  loop delay





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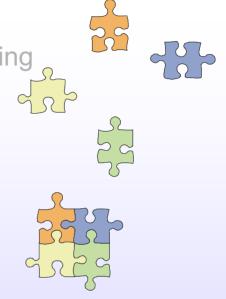
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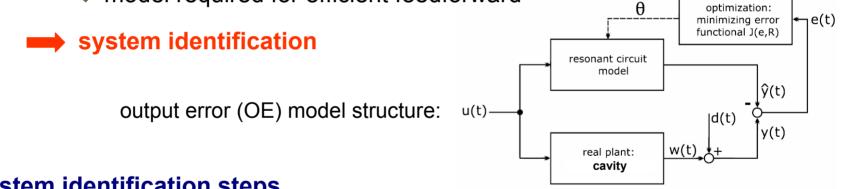
### system identification





## System Identification in RF plants

- goal: design (synthesis) of high performance cavity field controllers is model based:
  - mathematical model of plant necessary
  - model required for efficient feedforward



#### system identification steps

- record output data with proper input signal (step, impulse, white noise)
- choose model structure
  - grey box (preserves known physical structures with a number of unknown free parameters)
  - black box (no physical structure, parameters have no direct physical meaning)
- $\rightarrow$  estimate model parameter (minimize e(t))
- validate model with a set of data not included in the identification process

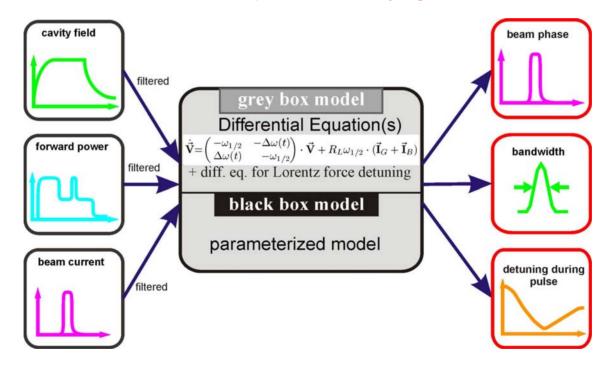


 $\theta$ : parameter set

# System Identification in RF plants (2)

example:

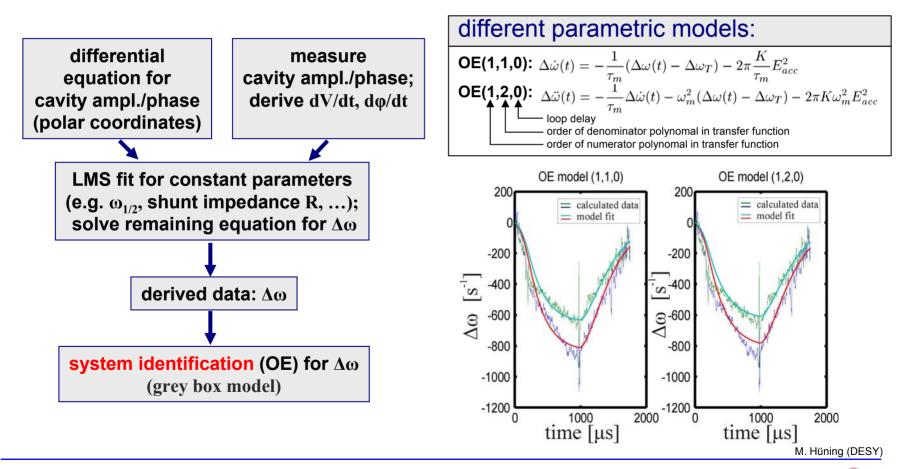
pulsed high gradient superconducting cavities with Lorentz force detuning LPV: linear parameter varying model





# System Identification in RF plants (3)

example: identification of Lorentz force detuning in high gradient cavity







### **Conclusion/ Outlook**

- performance is very often dominated by systematic errors and nonlinearities of sensors and analog components
- digital LLRF does not look very different from other RF applications (beam diagnostics...) common platforms?
- extensive diagnostics in digital RF systems allow automated procedures and calibration for complex systems (finite state machines...)
- digital platforms for RF applications provide playground for sophisticated algorithms



Now it's your turn to contribute to this exciting field!

