

Introduction to Transverse Beam Optics

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II.) ϵ & β

... don't worry: it's still the "ideal world"

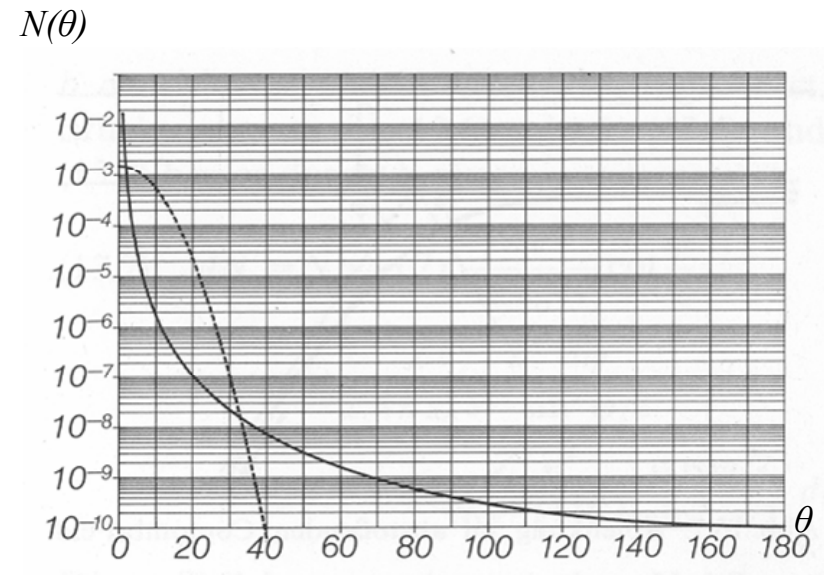
Historical note:

... Particle acceleration *without emittance or beta function*

$$N(\theta) = \frac{N_i n t Z^2 e^4}{(8\pi\epsilon_0)^2 r^2 K^2} * \frac{1}{\sin^4(\theta/2)}$$

Rutherford Scattering, 1911

Using radioactive particle sources:
 α -particles of some MeV energy



Reminder of Part I

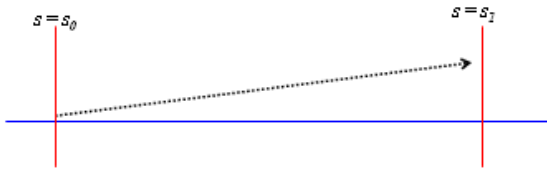
Equation of Motion:

$$\mathbf{x}'' + \mathbf{K} \mathbf{x} = 0 \quad K = 1/\rho^2 - k \quad \dots \text{ hor. plane:}$$

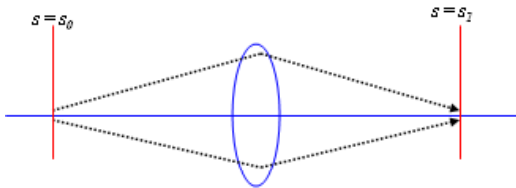
$$K = k \quad \dots \text{ vert. Plane:}$$

Solution of Trajectory Equations

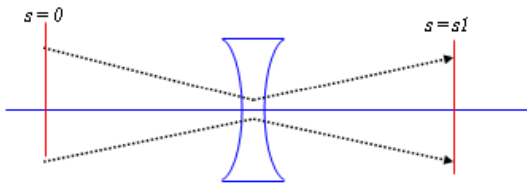
$$\begin{pmatrix} \mathbf{x} \\ \mathbf{x}' \end{pmatrix}_{s1} = \mathbf{M}^* \begin{pmatrix} \mathbf{x} \\ \mathbf{x}' \end{pmatrix}_{s0}$$



$$\mathbf{M}_{drift} = \begin{pmatrix} 1 & l \\ 0 & 1 \end{pmatrix}$$



$$\mathbf{M}_{foc} = \begin{pmatrix} \cos(\sqrt{|K|}l) & \frac{1}{\sqrt{|K|}} \sin(\sqrt{|K|}l) \\ -\sqrt{|K|} \sin(\sqrt{|K|}l) & \cos(\sqrt{|K|}l) \end{pmatrix}$$



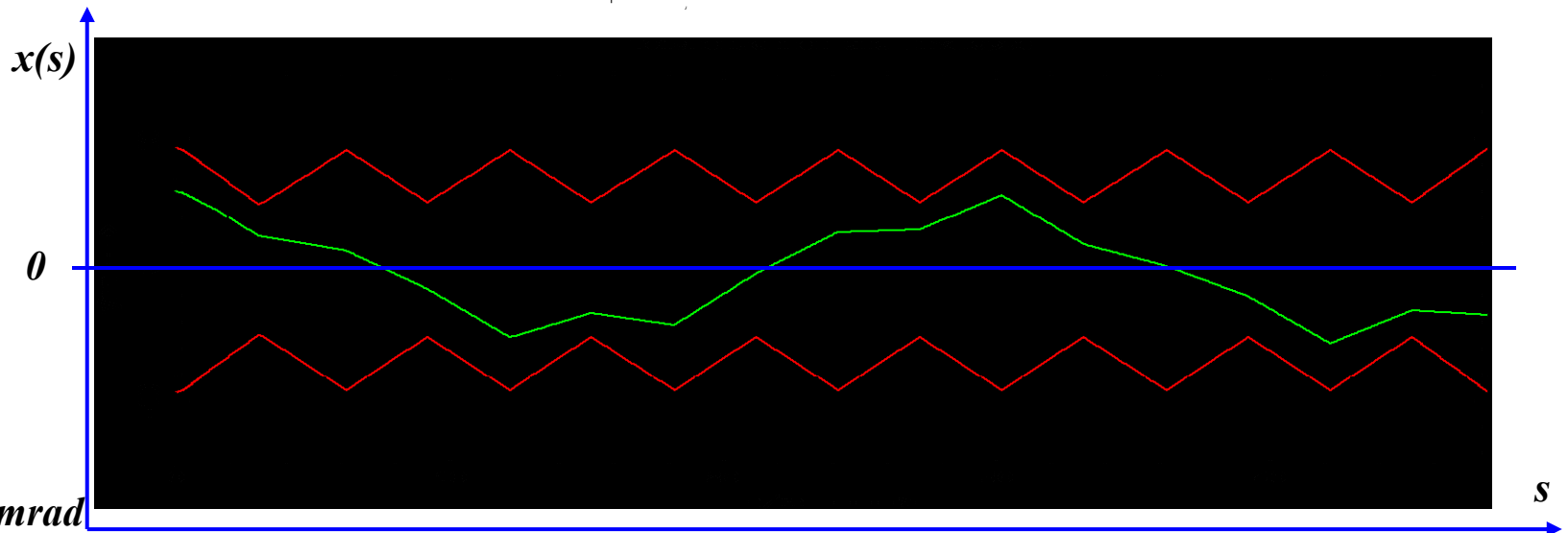
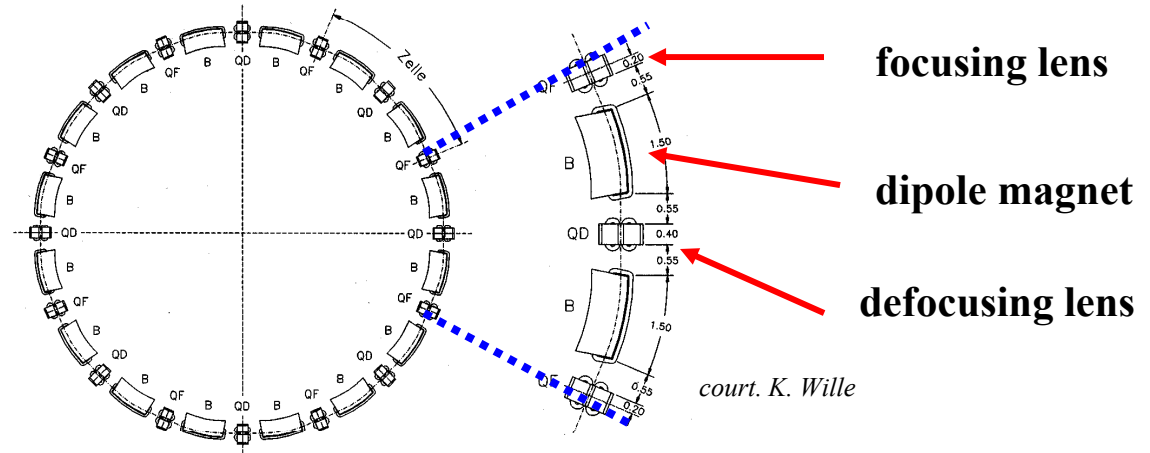
$$\mathbf{M}_{defoc} = \begin{pmatrix} \cosh(\sqrt{|K|}l) & \frac{1}{\sqrt{|K|}} \sinh(\sqrt{|K|}l) \\ \sqrt{|K|} \sinh(\sqrt{|K|}l) & \cosh(\sqrt{|K|}l) \end{pmatrix}$$

Transformation through a system of lattice elements

combine the single element solutions by multiplication of the matrices

$$M_{total} = M_{QF} * M_D * M_{QD} * M_{Bend} * M_{D*} * \dots$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{s2} = M(s2,s1) * \begin{pmatrix} x \\ x' \end{pmatrix}_{s1}$$

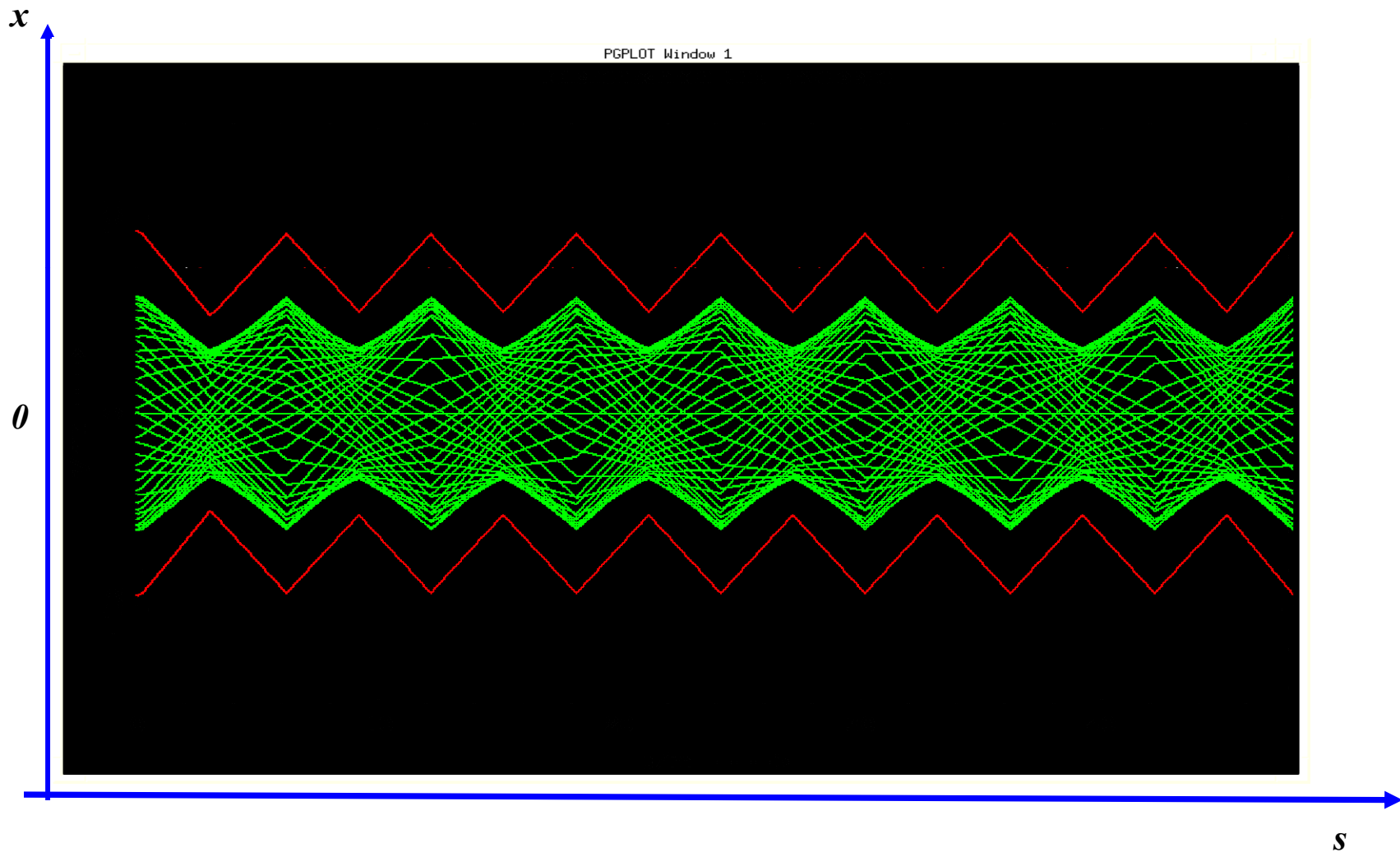


typical values
in a strong
foc. machine:

$$x \approx mm, x' \leq mrad$$

Question: *what will happen, if the particle performs a second turn ?*

... or a third one or ... 10^{10} turns



Astronomer Hill:

*differential equation for motions with periodic focusing properties
„Hill's equation“*

*Example: particle motion with
periodic coefficient*



equation of motion: $x''(s) - k(s)x(s) = 0$

*restoring force \neq const,
 $k(s)$ = depending on the position s
 $k(s+L) = k(s)$, periodic function*

*we expect a kind of quasi harmonic
oscillation: amplitude & phase will depend
on the position s in the ring.*

The Beta Function

General solution of Hill's equation:

$$(i) \quad x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cdot \cos(\psi(s) + \phi)$$

$\varepsilon, \Phi =$ integration **constants** determined by initial conditions

$\beta(s)$ **periodic function** given by **focusing properties** of the lattice \leftrightarrow quadrupoles

$$\beta(s+L) = \beta(s)$$

Inserting (i) into the equation of motion ...

$$\psi(s) = \int_0^s \frac{ds}{\beta(s)}$$

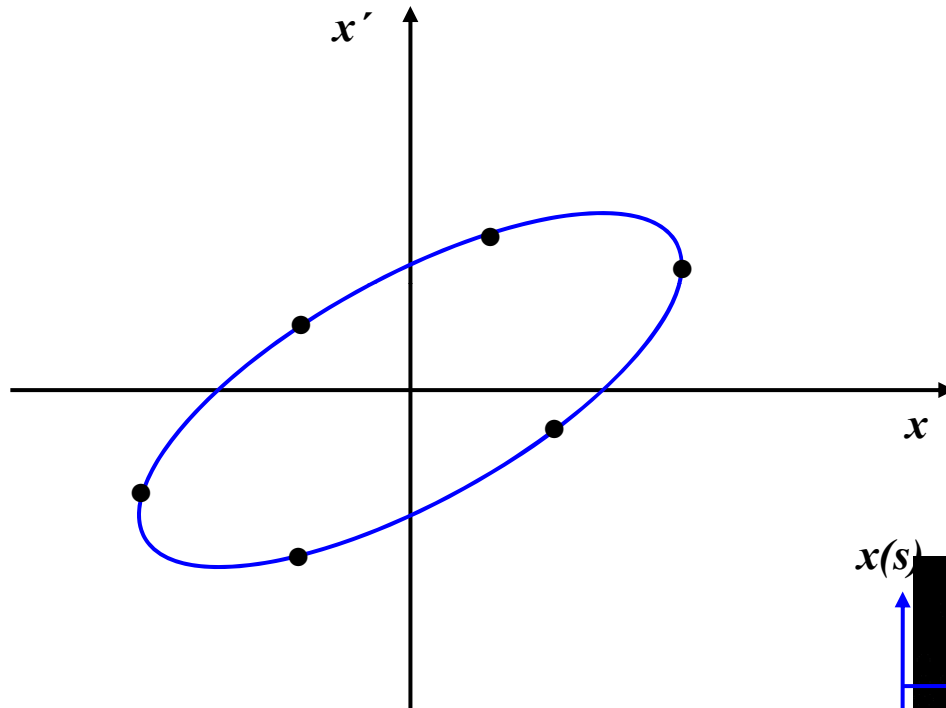
$\Psi(s) =$ „**phase advance**“ of the oscillation between point „0“ and „s“ in the lattice.

For one complete revolution: number of oscillations per turn „**Tune**“

$$Q_y = \frac{1}{2\pi} \cdot \oint \frac{ds}{\beta(s)}$$

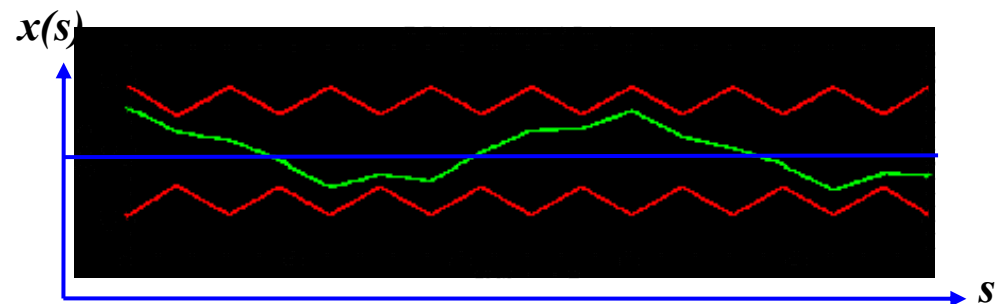
9.) Beam Emittance and Phase Space Ellipse

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$



*Liouville: in reasonable storage rings
area in phase space is constant.*

$$A = \pi \cdot \varepsilon = \text{const}$$



ε beam emittance = *woozilycity* of the particle ensemble, *intrinsic beam parameter*,
cannot be changed by the foc. properties.

Scientifiquely spoken: area covered in transverse x, x' phase space ... and it is constant !!!

Phase Space Ellipse

particle trajectory: $x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos\{\psi(s) + \phi\}$

max. Amplitude: $\hat{x}(s) = \sqrt{\varepsilon\beta}$ \longrightarrow x' at that position ...?

... put $\hat{x}(s)$ into $\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$ and solve for x'

$$\varepsilon = \gamma \cdot \varepsilon\beta + 2\alpha\sqrt{\varepsilon\beta} \cdot x' + \beta x'^2$$

\longrightarrow $x' = -\alpha \cdot \sqrt{\varepsilon / \beta}$

* A high β -function means a large beam size and a small beam divergence. !
 ... et vice versa !!!

* In the middle of a quadrupole $\beta = \text{maximum}$,
 $\alpha = \text{zero}$ } $x' = 0$... and the ellipse is flat

Phase Space Ellipse

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$

$$\alpha(s) = \frac{-1}{2} \beta'(s)$$

$$\gamma(s) = \frac{1 + \alpha(s)^2}{\beta(s)}$$

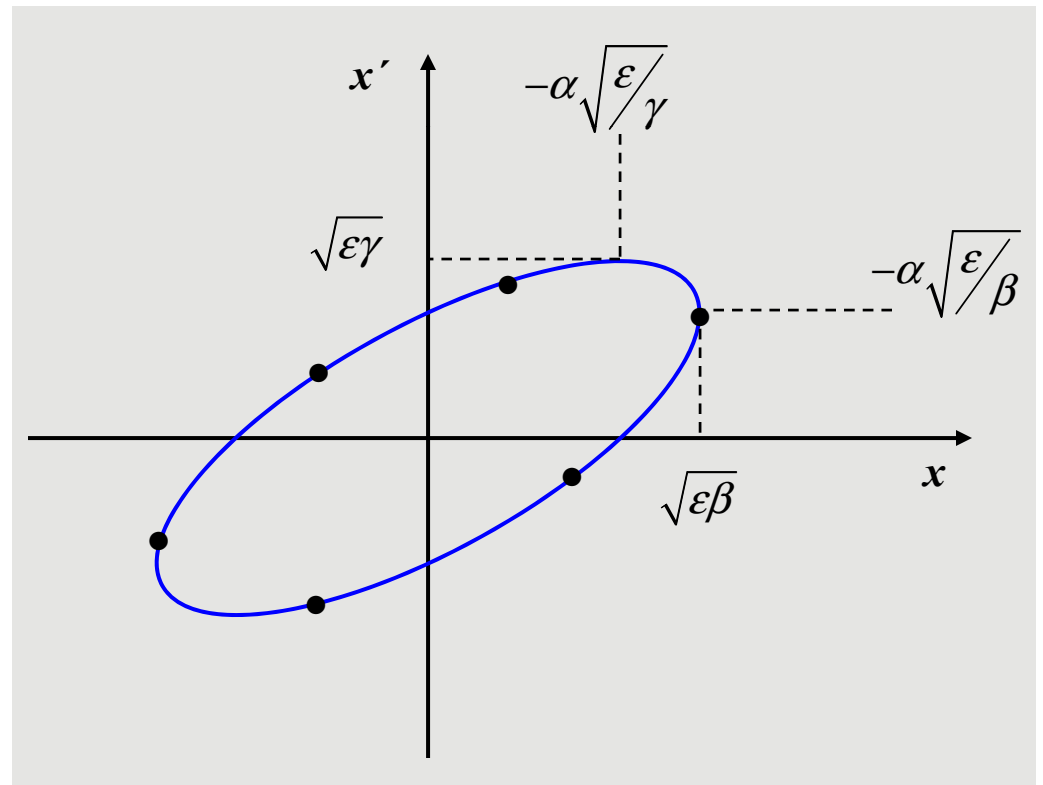
$$\longrightarrow \varepsilon = \frac{x^2}{\beta} + \frac{\alpha^2 x^2}{\beta} + 2\alpha \cdot x x' + \beta \cdot x'^2$$

... solve for x' $x'_{1,2} = \frac{-\alpha \cdot x \pm \sqrt{\varepsilon\beta - x^2}}{\beta}$

... and determine \hat{x}' via: $\frac{dx'}{dx} = 0$

$$\longrightarrow \hat{x}' = \sqrt{\varepsilon\gamma}$$

$$\longrightarrow \hat{x} = \pm \alpha \sqrt{\varepsilon/\gamma}$$

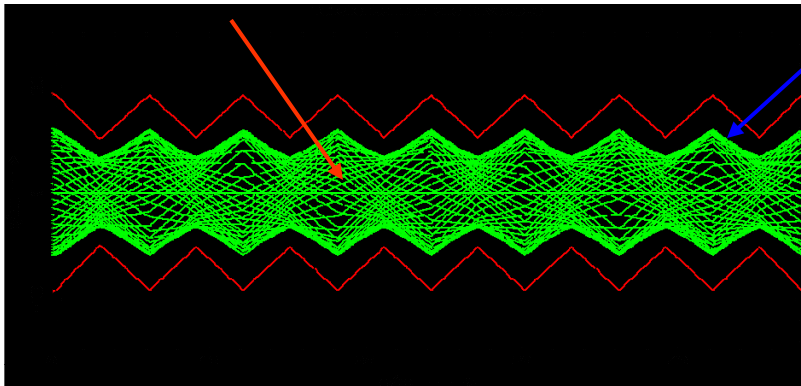


shape and orientation of the phase space ellipse depend on the Twiss parameters β α γ

Emittance of the Particle Ensemble:

$$x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cdot \cos(\Psi(s) + \phi)$$

$$\hat{x}(s) = \sqrt{\varepsilon} \sqrt{\beta(s)}$$



single particle trajectories, $N \approx 10^{11}$ per bunch

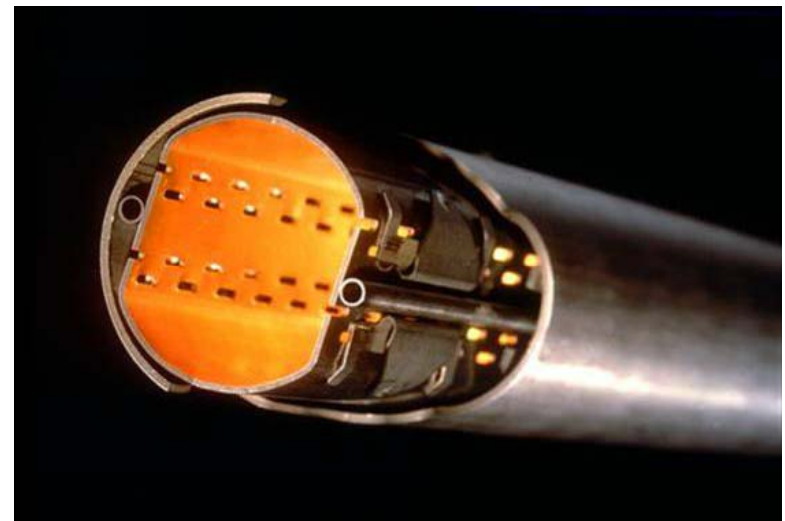
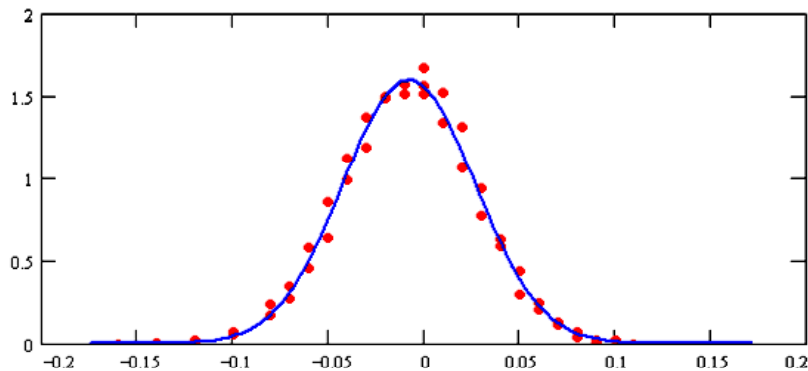
Gauß
Particle Distribution:

$$\rho(x) = \frac{N \cdot e}{\sqrt{2\pi}\sigma_x} \cdot e^{-\frac{1}{2}\frac{x^2}{\sigma_x^2}}$$

particle at distance 1σ from centre \leftrightarrow 68.3 % of all beam particles

vertical:

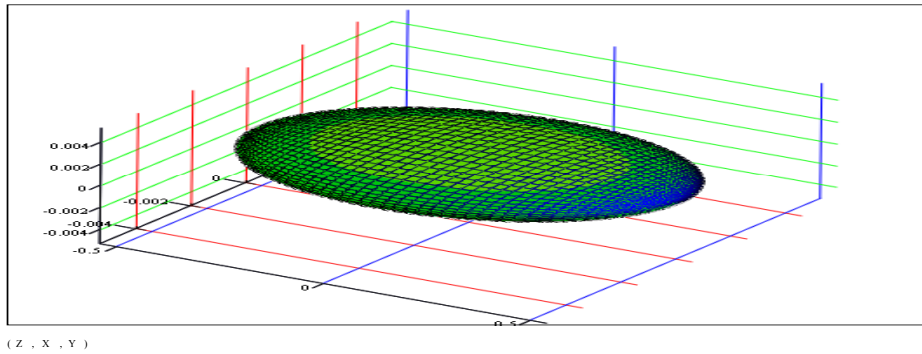
$$\sigma_{\text{fit}} = 24.376 \cdot \mu\text{m}$$



aperture requirements: $r_0 = 10 * \sigma$

$$\text{LHC: } \sigma = \sqrt{\varepsilon * \beta} = \sqrt{5 * 10^{-10} \text{ m} * 180 \text{ m}} = 0.3 \text{ mm}$$

Emittance of the Particle Ensemble:



particle bunch

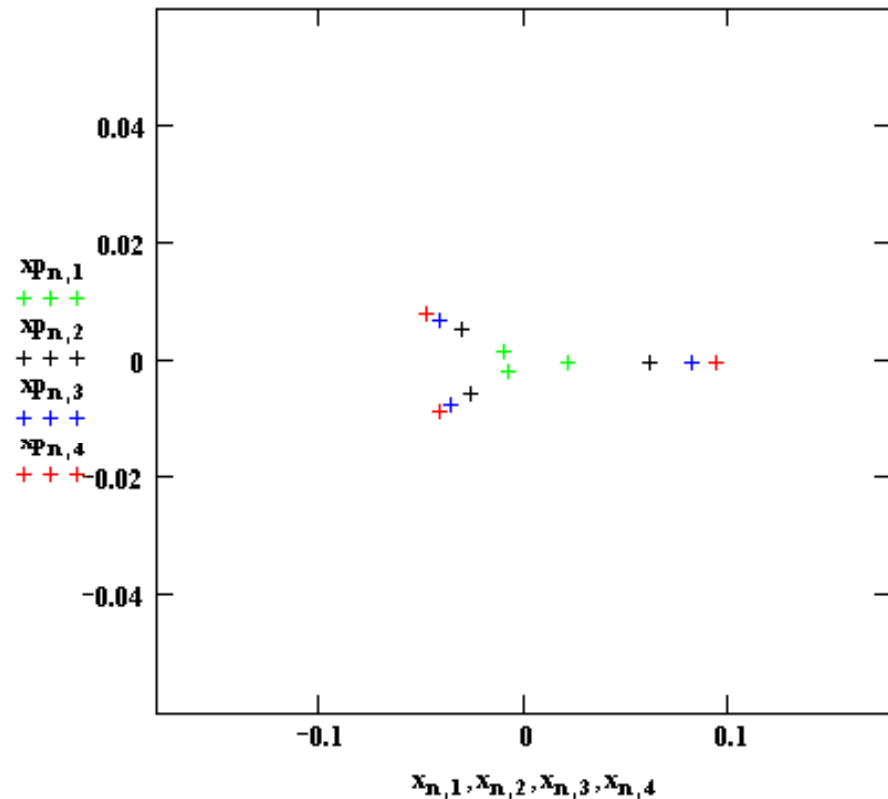
Example: HERA

beam parameters in the arc

$$\beta(x) \approx 80 \text{ m}$$

$$\varepsilon \approx 7 \cdot 10^{-9} \text{ rad} \cdot \text{m} \quad (\leftrightarrow 1 \sigma)$$

$$\sigma = \sqrt{\varepsilon \beta} \approx 0.75 \text{ mm}$$



10.) Transfer Matrix M ... yes we had the topic already

*general solution
of Hill's equation*

$$\left\{ \begin{array}{l} x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos\{\psi(s) + \phi\} \\ x'(s) = \frac{-\sqrt{\varepsilon}}{\sqrt{\beta(s)}} \left[\alpha(s) \cos\{\psi(s) + \phi\} + \sin\{\psi(s) + \phi\} \right] \end{array} \right.$$

remember the trigonometrical gymnastics: $\sin(a + b) = \dots$ etc

$$x(s) = \sqrt{\varepsilon} \sqrt{\beta_s} (\cos \psi_s \cos \phi - \sin \psi_s \sin \phi)$$

$$x'(s) = \frac{-\sqrt{\varepsilon}}{\sqrt{\beta_s}} [\alpha_s \cos \psi_s \cos \phi - \alpha_s \sin \psi_s \sin \phi + \sin \psi_s \cos \phi + \cos \psi_s \sin \phi]$$

starting at point $s(0) = s_0$, where we put $\Psi(0) = 0$

$$\cos \phi = \frac{x_0}{\sqrt{\varepsilon \beta_0}},$$

$$\sin \phi = -\frac{1}{\sqrt{\varepsilon}} \left(x'_0 \sqrt{\beta_0} + \frac{\alpha_0 x_0}{\sqrt{\beta_0}} \right)$$

inserting above ...

$$\underline{x(s)} = \sqrt{\frac{\beta_s}{\beta_0}} \{ \cos \psi_s + \alpha_0 \sin \psi_s \} \underline{x_0} + \{ \sqrt{\beta_s \beta_0} \sin \psi_s \} \underline{x'_0}$$

$$\underline{x'(s)} = \frac{1}{\sqrt{\beta_s \beta_0}} \{ (\alpha_0 - \alpha_s) \cos \psi_s - (1 + \alpha_0 \alpha_s) \sin \psi_s \} \underline{x_0} + \sqrt{\frac{\beta_0}{\beta_s}} \{ \cos \psi_s - \alpha_s \sin \psi_s \} \underline{x'_0}$$

which can be expressed ... for convenience ... *in matrix form*

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = M \begin{pmatrix} x \\ x' \end{pmatrix}_0$$

$$M = \begin{pmatrix} \sqrt{\frac{\beta_s}{\beta_0}} (\cos \psi_s + \alpha_0 \sin \psi_s) & \sqrt{\beta_s \beta_0} \sin \psi_s \\ \frac{(\alpha_0 - \alpha_s) \cos \psi_s - (1 + \alpha_0 \alpha_s) \sin \psi_s}{\sqrt{\beta_s \beta_0}} & \sqrt{\frac{\beta_0}{\beta_s}} (\cos \psi_s - \alpha_s \sin \psi_s) \end{pmatrix}$$

* we can calculate *the single particle trajectories* between two locations in the ring, if we know the α β γ at these positions.

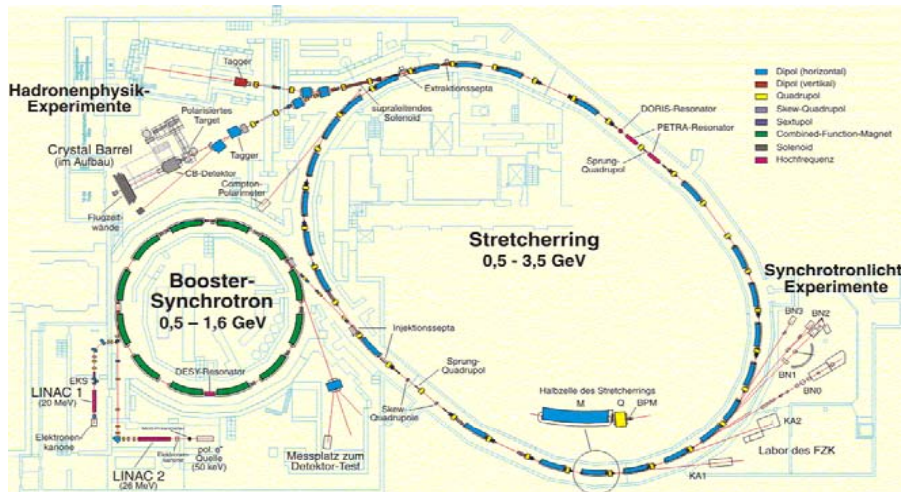
* *and nothing but the α β γ at these positions.*

* ... !

* Äquivalenz der Matrizen

11.) Periodic Lattices

$$M = \begin{pmatrix} \sqrt{\frac{\beta_s}{\beta_0}} (\cos \psi_s + \alpha_0 \sin \psi_s) & \sqrt{\beta_s \beta_0} \sin \psi_s \\ \frac{(\alpha_0 - \alpha_s) \cos \psi_s - (1 + \alpha_0 \alpha_s) \sin \psi_s}{\sqrt{\beta_s \beta_0}} & \sqrt{\frac{\beta_0}{\beta_s}} (\cos \psi_s - \alpha_s \sin \psi_s) \end{pmatrix}$$



ELSA Electron Storage Ring

„This rather formidable looking matrix simplifies considerably if we consider one complete revolution ...“

$$M(s) = \begin{pmatrix} \cos \psi_{turn} + \alpha_s \sin \psi_{turn} & \beta_s \sin \psi_{turn} \\ -\gamma_s \sin \psi_{turn} & \cos \psi_{turn} - \alpha_s \sin \psi_{turn} \end{pmatrix}$$

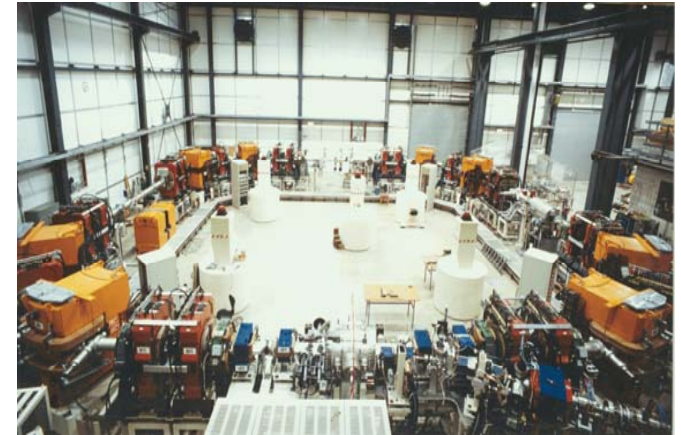
$$\psi_{turn} = \int_s^{s+L} \frac{ds}{\beta(s)} \quad \psi_{turn} = \text{phase advance per period}$$

Tune: Phase advance per turn in units of 2π

$$Q = \frac{1}{2\pi} \oint \frac{ds}{\beta(s)}$$

Stability Criterion:

Question: what will happen, if we do not make too many mistakes and your **particle performs one complete turn** ?



Matrix for 1 turn:

$$M = \begin{pmatrix} \cos\psi_{turn} + \alpha_s \sin\psi_{turn} & \beta_s \sin\psi_{turn} \\ -\gamma_s \sin\psi_{turn} & \cos\psi_{turn} - \alpha_s \sin\psi_{turn} \end{pmatrix} = \underbrace{\cos\psi}_{\mathbf{1}} \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \underbrace{\sin\psi}_{\mathbf{J}} \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$

Matrix for N turns:

$$M^N = (1 \cdot \cos\psi + J \cdot \sin\psi)^N = 1 \cdot \cos N\psi + J \cdot \sin N\psi$$

The motion for N turns remains bounded, if the elements of M^N remain bounded

$$\psi = \text{real} \quad \leftrightarrow \quad |\cos\psi| \leq 1 \quad \leftrightarrow \quad \text{Tr}(M) \leq 2$$

stability criterion proof for the disbelieving collegues !!

Matrix for 1 turn:

$$M = \begin{pmatrix} \cos\psi_{turn} + \alpha_s \sin\psi_{turn} & \beta_s \sin\psi_{turn} \\ -\gamma_s \sin\psi_{turn} & \cos\psi_{turn} - \alpha_s \sin\psi_{turn} \end{pmatrix} = \cos\psi \cdot \underbrace{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}}_I + \sin\psi \cdot \underbrace{\begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}}_J$$

Matrix for 2 turns:

$$\begin{aligned} M^2 &= (I \cos\psi_1 + J \sin\psi_1)(I \cos\psi_2 + J \sin\psi_2) \\ &= I^2 \cos\psi_1 \cos\psi_2 + IJ \cos\psi_1 \sin\psi_2 + JI \sin\psi_1 \cos\psi_2 + J^2 \sin\psi_1 \sin\psi_2 \end{aligned}$$

now ...

$$I^2 = I$$

$$IJ = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} * \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$

$$JI = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} * \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix}$$

$$IJ = JI$$

$$J^2 = \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} * \begin{pmatrix} \alpha & \beta \\ -\gamma & -\alpha \end{pmatrix} = \begin{pmatrix} \alpha^2 - \gamma\beta & \alpha\beta - \beta\alpha \\ -\gamma\alpha + \alpha\gamma & \alpha^2 - \gamma\beta \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} = -I$$

$$M^2 = I \cos(\psi_1 + \psi_2) + J \sin(\psi_1 + \psi_2)$$

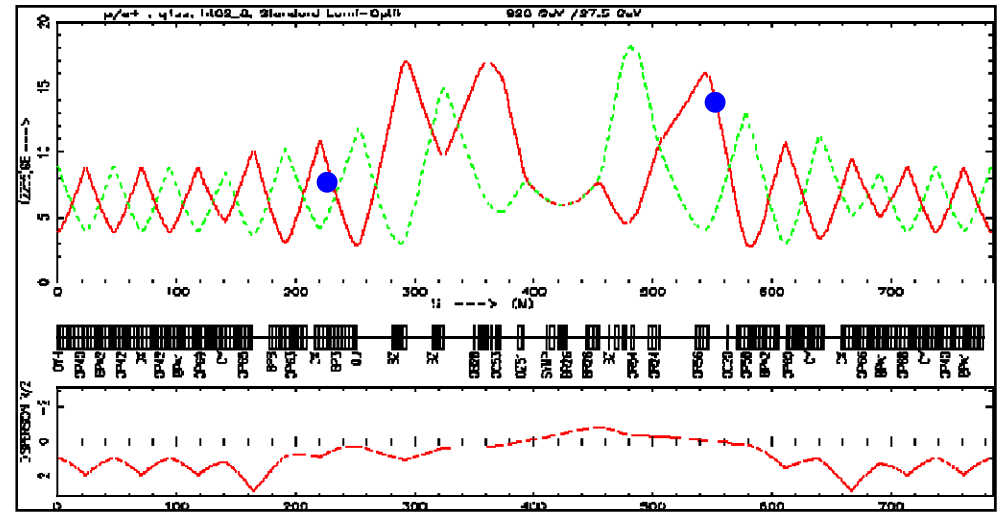
$$M^2 = I \cos(2\psi) + J \sin(2\psi)$$

12.) Transformation of α, β, γ

consider two positions in the storage ring: s_0, s

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = M * \begin{pmatrix} x \\ x' \end{pmatrix}_{s_0}$$

$$M = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix}$$



Betafunction in a storage ring

since $\epsilon = \text{const}$ (Liouville):

$$\epsilon = \beta_s x'^2 + 2\alpha_s x x' + \gamma_s x^2$$

$$\epsilon = \beta_0 x_0'^2 + 2\alpha_0 x_0 x_0' + \gamma_0 x_0^2$$

... remember $W = CS' - SC' = 1$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_0 = M^{-1} * \begin{pmatrix} x \\ x' \end{pmatrix}_s$$

$$M^{-1} = \begin{pmatrix} S' & -S \\ -C' & C \end{pmatrix}$$

$$x_0 = S'x - Sx'$$

$$x_0' = -C'x + Cx'$$

... inserting into ϵ

$$\epsilon = \beta_0 (Cx' - C'x)^2 + 2\alpha_0 (S'x - Sx')(Cx' - C'x) + \gamma_0 (S'x - Sx')^2$$

sort via x, x' and compare the coefficients to get ...

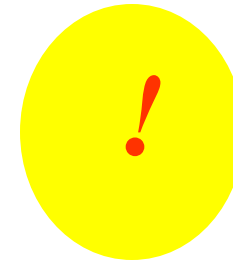
$$\beta(s) = C^2 \beta_0 - 2SC\alpha_0 + S^2 \gamma_0$$

$$\alpha(s) = -CC' \beta_0 + (SC' + S'C)\alpha_0 - SS' \gamma_0$$

$$\gamma(s) = C'^2 \beta_0 - 2S'C'\alpha_0 + S'^2 \gamma_0$$

in matrix notation:

$$\begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix}_s = \begin{pmatrix} C^2 & -2SC & S^2 \\ -CC' & SC' + CS' & -SS' \\ C'^2 & -2S'C' & S'^2 \end{pmatrix} \cdot \begin{pmatrix} \beta_0 \\ \alpha_0 \\ \gamma_0 \end{pmatrix}$$



- 1.) *this expression is important*
- 2.) *given the twiss parameters α , β , γ at any point in the lattice we can transform them and calculate their values at any other point in the ring.*
- 3.) *the transfer matrix is given by the focusing properties of the lattice elements, the elements of M are just those that we used to calculate single particle trajectories.*
- 4.) *go back to point 1.)*

13.) Lattice Design:

„... how to build a storage ring“

$$B \rho = p / q$$

Circular Orbit: dipole magnets to define the geometry

$$\alpha = \frac{ds}{\rho} \approx \frac{dl}{\rho} = \frac{Bdl}{B\rho}$$

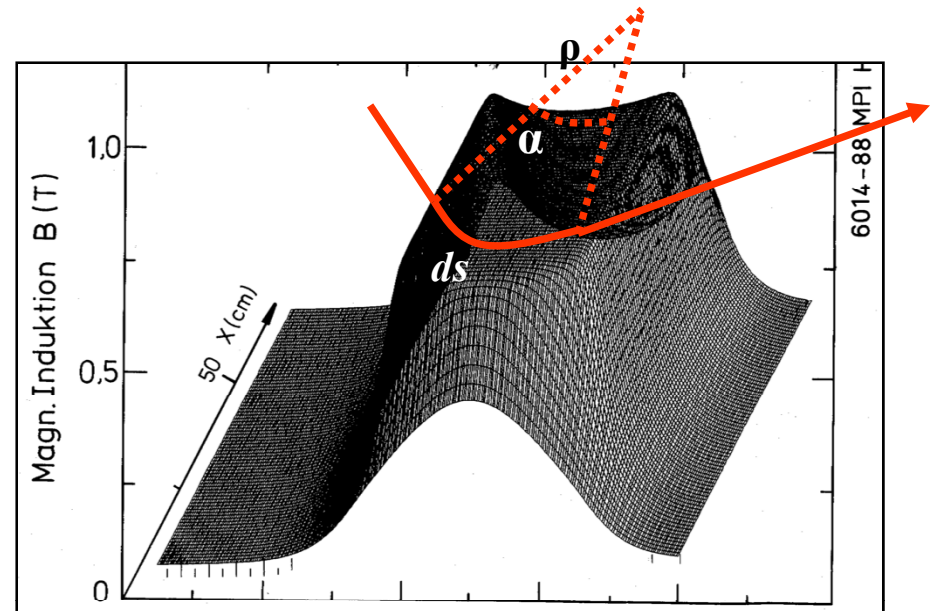
The angle run out in one revolution must be 2π , so

... for a full circle

$$\alpha = \frac{\int Bdl}{B \rho} = 2\pi \quad \rightarrow \quad \int Bdl = 2\pi \frac{p}{q}$$

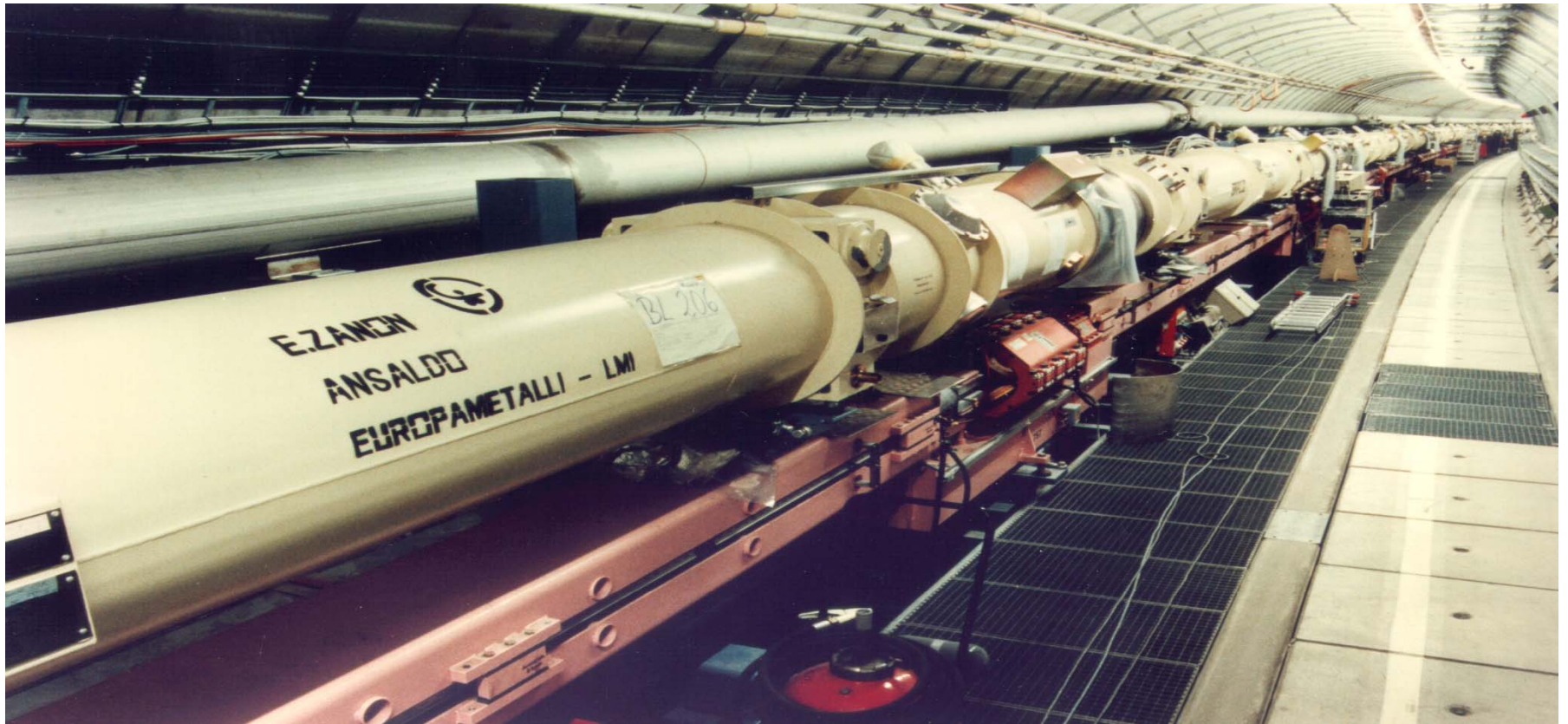
... defines the integrated dipole field around the machine.

Nota bene: $\frac{\Delta B}{B} \approx 10^{-4}$ is usually required !!



field map of a storage ring dipole magnet

Example HERA:



920 GeV Proton storage ring
dipole magnets $N = 416$
 $l = 8.8\text{m}$
 $q = +1 e$

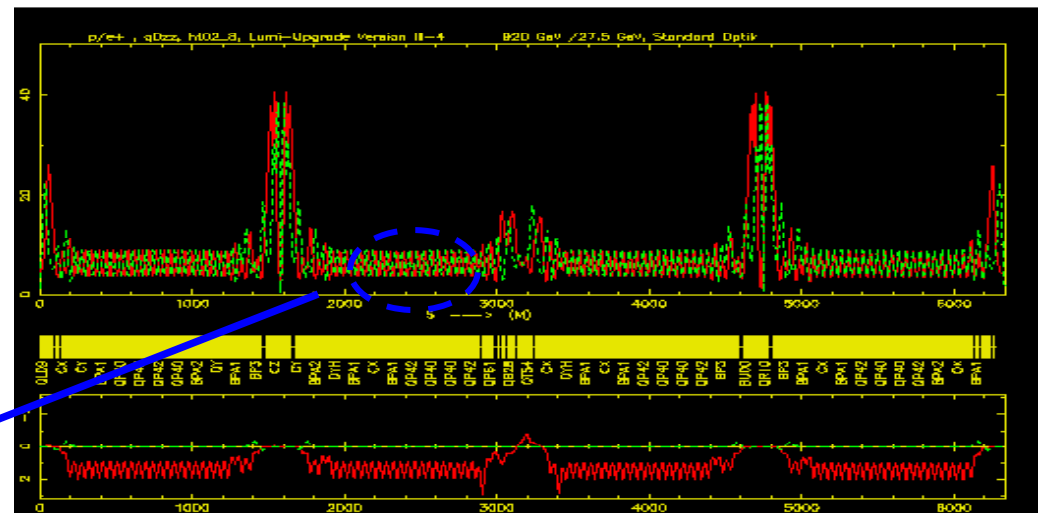
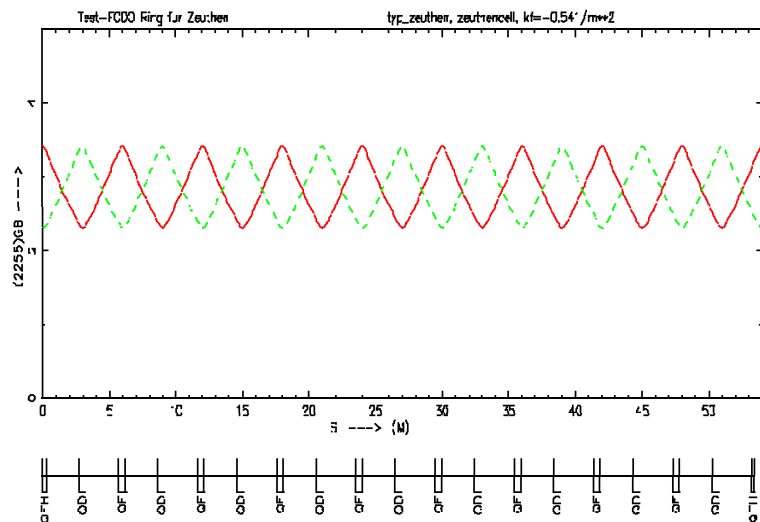
$$\int \mathbf{B} d\mathbf{l} \approx N l \mathbf{B} = 2\pi p / q$$

$$\mathbf{B} \approx \frac{2\pi \cdot 920 \cdot 10^9 \text{ eV}}{416 \cdot 8.8 \text{ m} \cdot 3 \cdot 10^8 \frac{\text{m}}{\text{s}} \cdot e} = \underline{\underline{5.15 \text{ Tesla}}}$$

The FoDo-Lattice

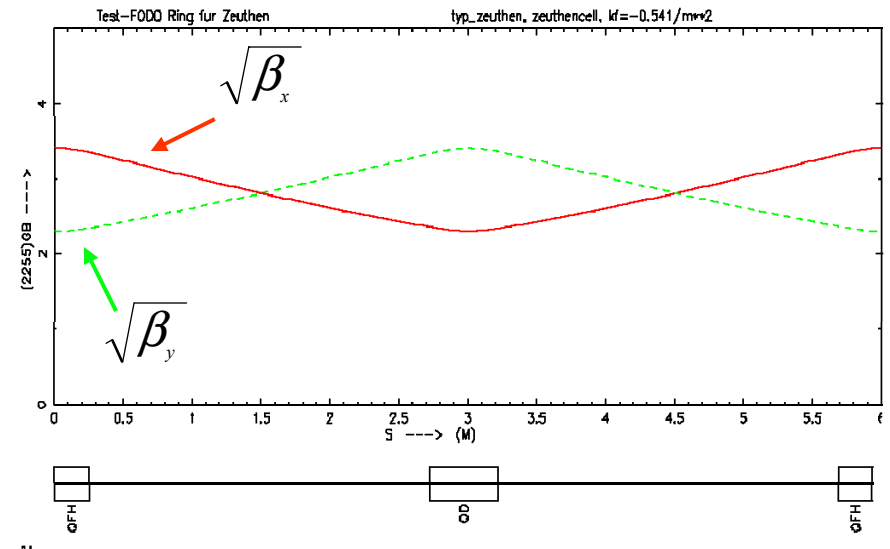
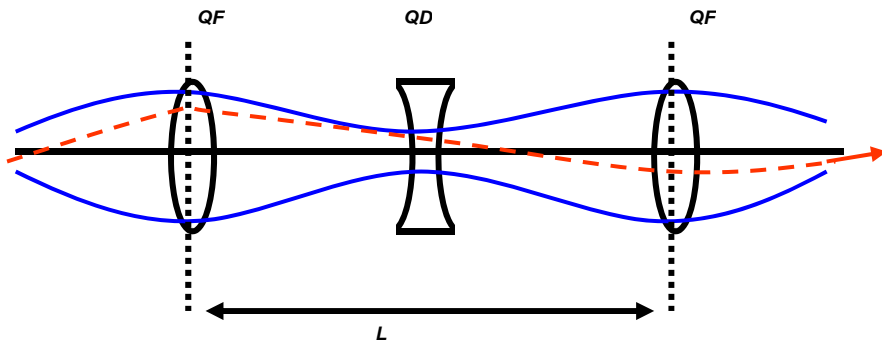
A magnet structure consisting of focusing and defocusing quadrupole lenses in alternating order with **nothing** in between.

(**Nothing** = elements that can be neglected on first sight: drift, bending magnets, RF structures ... and especially experiments...)



Starting point for the calculation: in the middle of a focusing quadrupole
Phase advance per cell $\mu = 45^\circ$,
→ calculate the twiss parameters for a periodic solution

Periodic solution of a FoDo Cell



Output of the optics program:

Nr	Type	Length m	Strength 1/m ²	β_x m	α_x	ψ_x 1/2 π	β_y m	α_y	ψ_y 1/2 π
0	IP	0,000	0,000	11,611	0,000	0,000	5,295	0,000	0,000
1	QFH	0,250	-0,541	11,228	1,514	0,004	5,488	-0,781	0,007
2	QD	3,251	0,541	5,488	-0,781	0,070	11,228	1,514	0,066
3	QFH	6,002	-0,541	11,611	0,000	0,125	5,295	0,000	0,125
4	IP	6,002	0,000	11,611	0,000	0,125	5,295	0,000	0,125

$$Q_x = 0,125 \quad Q_y = 0,125$$

$$0.125 * 2\pi = 45^\circ$$

Can we understand, what the optics code is doing?

$$\text{matrices} \quad M_{foc} = \begin{pmatrix} \cos(\sqrt{|K|}l_q) & \frac{1}{\sqrt{|K|}} \sin(\sqrt{|K|}l_q) \\ -\sqrt{|K|} \sin(\sqrt{|K|}l_q) & \cos(\sqrt{|K|}l_q) \end{pmatrix} \quad M_{drift} = \begin{pmatrix} 1 & l_d \\ 0 & 1 \end{pmatrix}$$

strength and length of the FoDo elements

$$K = +/- 0.54102 \text{ m}^{-2}$$

$$l_q = 0.5 \text{ m}$$

$$l_d = 2.5 \text{ m}$$

The matrix for the **complete cell** is obtained by multiplication of the element matrices

$$M_{FoDo} = M_{qfh} * M_{ld} * M_{qd} * M_{ld} * M_{qfh}$$

Putting the numbers in and **multiplying out** ...

$$M_{FoDo} = \begin{pmatrix} 0.707 & 8.206 \\ -0.061 & 0.707 \end{pmatrix}$$

The transfer matrix for one period gives us all the information that we need !

1.) is the motion stable?

$$\text{trace}(M_{FoDo}) = 1.415 \rightarrow$$

< 2

2.) Phase advance per cell

$$M(s) = \begin{pmatrix} \cos \psi + \alpha \sin \psi & \beta \sin \psi \\ -\gamma \sin \psi & \cos \psi - \alpha \sin \psi \end{pmatrix} \rightarrow$$

$$\cos(\psi) = \frac{1}{2} \text{Trace}(M) = 0.707$$

$$\psi = \text{arc cos}\left(\frac{1}{2} \text{Trace}(M)\right) = \underline{\underline{45^\circ}}$$

3.) hor β -function

$$\beta = \frac{M_{1,2}}{\sin \psi} = \underline{\underline{11.611 m}}$$

4.) hor α -function

$$\alpha = \frac{M_{1,1} - \cos \psi}{\sin \psi} = \underline{\underline{0}}$$

III.) Acceleration and Momentum Spread

The „ not so ideal world “

Remember:

Beam Emittance and Phase Space Ellipse:

equation of motion: $x''(s) - k(s)x(s) = 0$

general solution of Hills equation: $x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos(\psi(s) + \varphi)$

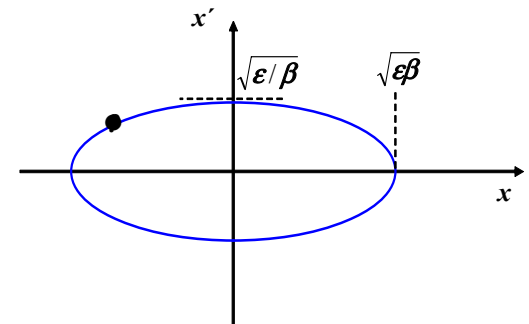
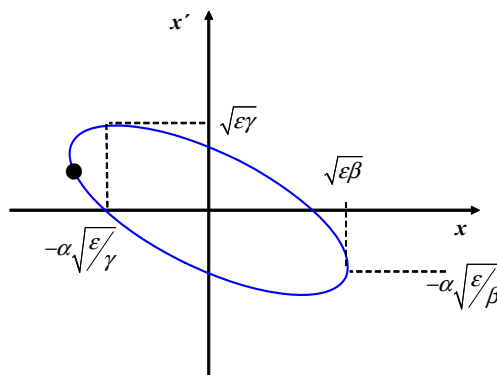
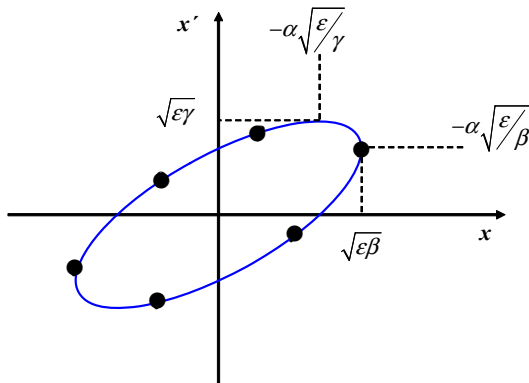
beam size: $\sigma = \sqrt{\varepsilon\beta} \approx \text{"mm"}$

$$\varepsilon = \gamma(s)x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s)x'^2(s)$$

$$\alpha(s) = -\frac{1}{2}\beta'(s)$$

$$\gamma(s) = \frac{1 + \alpha(s)^2}{\beta(s)}$$

- * ε is a *constant* of the motion ... it is independent of „s“
- * parametric representation of an *ellipse* in the $x x'$ space
- * shape and orientation of ellipse are given by α, β, γ

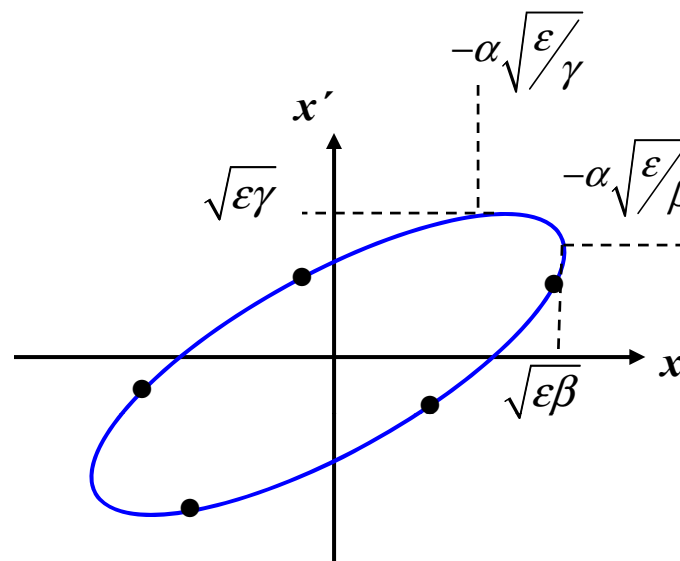


14.) Liouville during Acceleration

$$\varepsilon = \gamma(s) x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s) x'^2(s)$$

Beam Emittance corresponds to the area covered in the x, x' Phase Space Ellipse

Liouville: Area in phase space is constant.



But so sorry ... $\varepsilon \neq \text{const} !$

Classical Mechanics:

phase space = diagram of the two canonical variables
position & momentum

x p_x

$$p_j = \frac{\partial L}{\partial \dot{q}_j} \quad ; \quad L = T - V = \text{kin. Energy} - \text{pot. Energy}$$

According to Hamiltonian mechanics:
 phase space diagram relates the variables q and p

$$q = \text{position} = x$$

$$p = \text{momentum} = \gamma m v = mc \gamma \beta_x$$

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \quad ; \quad \beta_x = \frac{\dot{x}}{c}$$

Liouville's Theorem: $\int p dq = \text{const}$

for convenience (i.e. *because we are lazy bones*) we use in accelerator theory:

$$x' = \frac{dx}{ds} = \frac{dx}{dt} \frac{dt}{ds} = \frac{\beta_x}{\beta} \quad \text{where } \beta_x = v_x / c$$

$$\int p dq = mc \int \gamma \beta_x dx$$

$$\int p dq = mc \gamma \beta \underbrace{\int x' dx}_{\varepsilon}$$

$$\Rightarrow \varepsilon = \int x' dx \propto \frac{1}{\beta \gamma}$$

*the beam emittance
 shrinks during
 acceleration $\varepsilon \sim 1/\gamma$*

Nota bene:

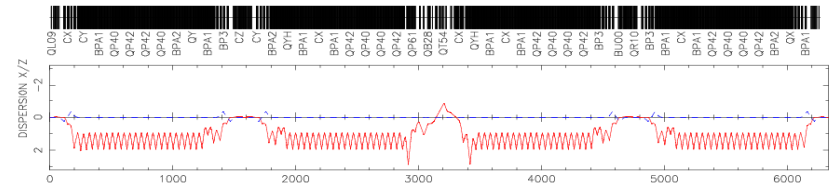
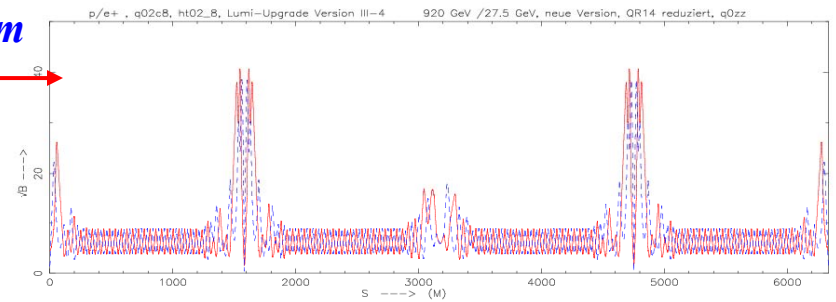
1.) A proton machine ... or an electron linac ... needs the highest aperture at injection energy !!!
 as soon as we start to accelerate the **beam size shrinks as $\gamma^{-1/2}$** in both planes.

$$\sigma = \sqrt{\epsilon\beta}$$

2.) At lowest energy the machine will have the major aperture problems,
 → here we have to **minimise $\hat{\beta}$**

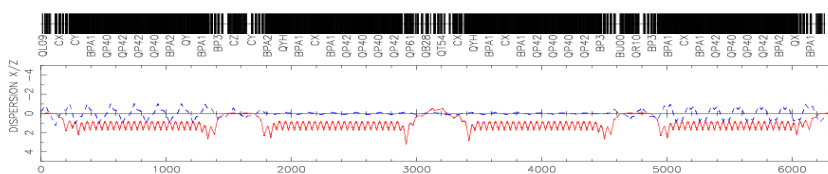
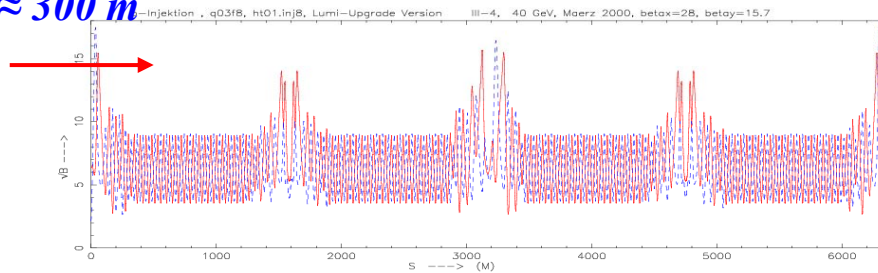
3.) we need **different beam optics** adopted to the energy:
A Mini Beta concept will only be adequate at flat top.

$\beta \approx 1,8\text{km}$



HERA proton optics at 920 GeV

$\beta \approx 300\text{ m}$

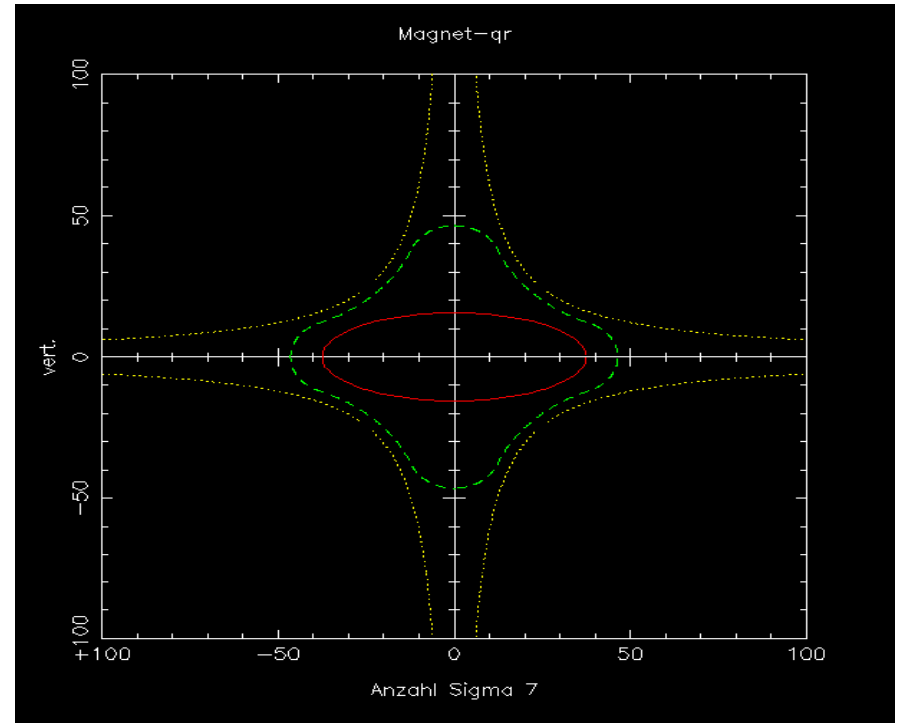


HERA proton optics at 40 GeV

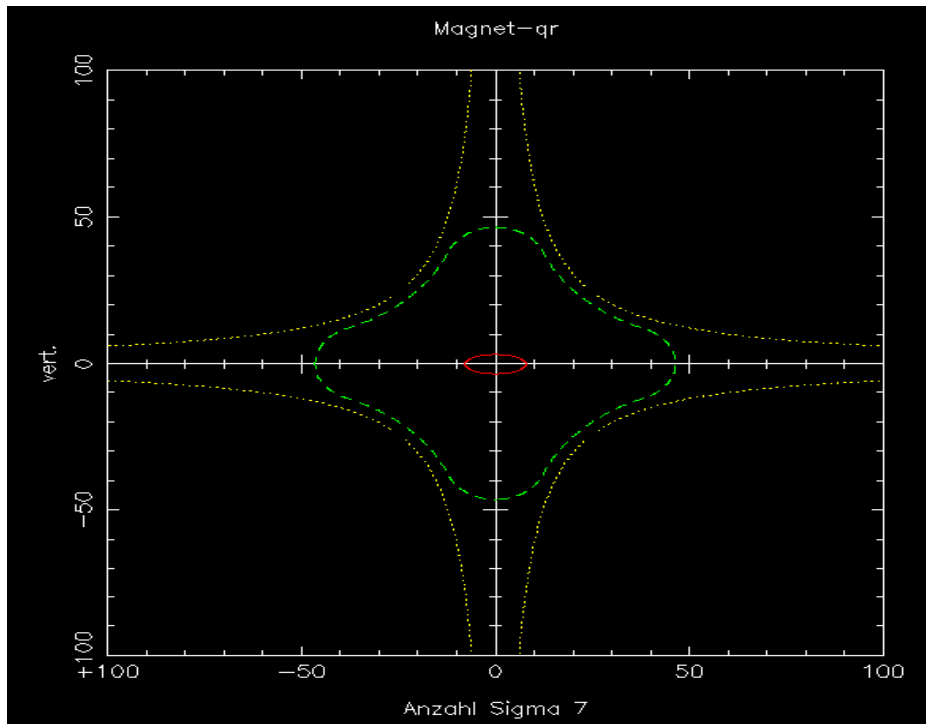
Example: HERA proton ring

*injection energy: 40 GeV $\gamma = 43$
flat top energy: 920 GeV $\gamma = 980$*

*emittance ε (40GeV) = $1.2 * 10^{-7}$
 ε (920GeV) = $5.1 * 10^{-9}$*



7 σ beam envelope at E = 40 GeV



... and at E = 920 GeV

The „ not so ideal world “

15.) The „ $\Delta p / p \neq 0$ “ Problem

ideal accelerator: all particles will see the same accelerating voltage.

$$\rightarrow \Delta p / p = 0$$

„nearly ideal“ accelerator: Cockroft Walton or van de Graaf

$$\Delta p / p \approx 10^{-5}$$



Vivitron, Straßbourg, inner structure of the acc. section



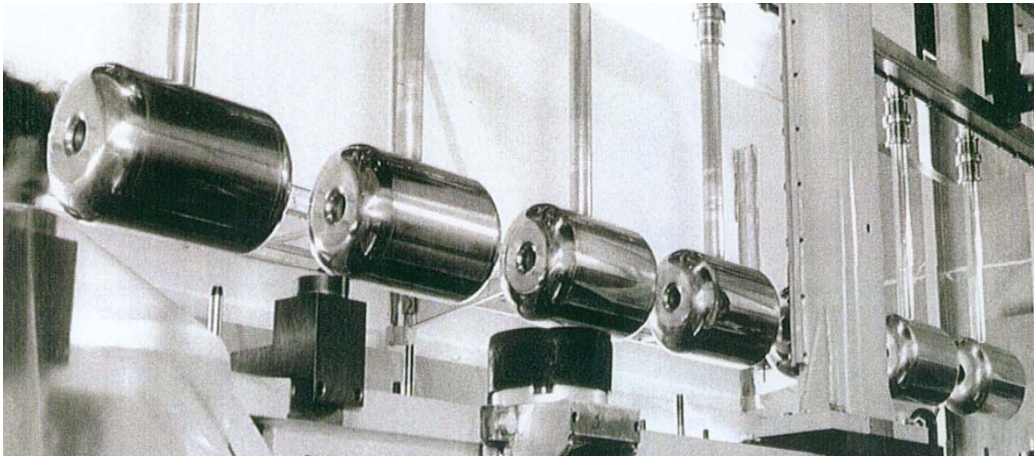
MP Tandem van de Graaf Accelerator at MPI for Nucl. Phys. Heidelberg

Linear Accelerator

Energy Gain per „Gap“:

$$W = q U_0 \sin \omega_{RF} t$$

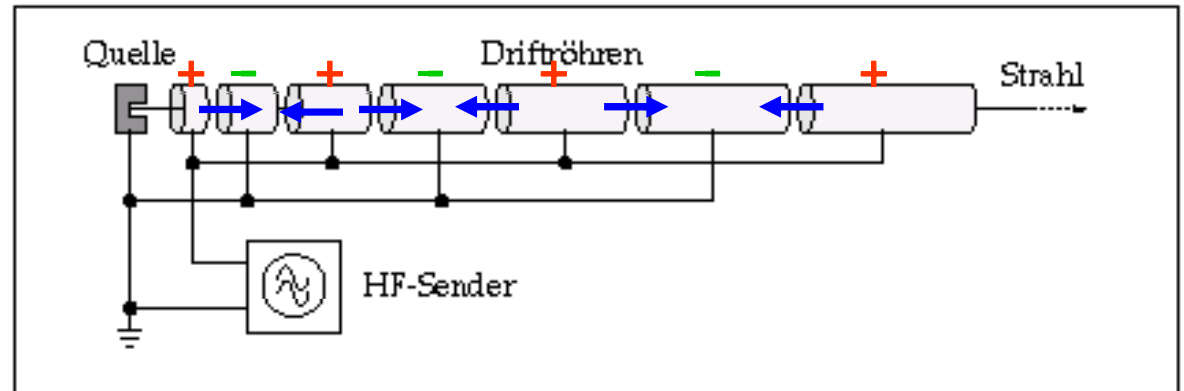
drift tube structure at a proton linac



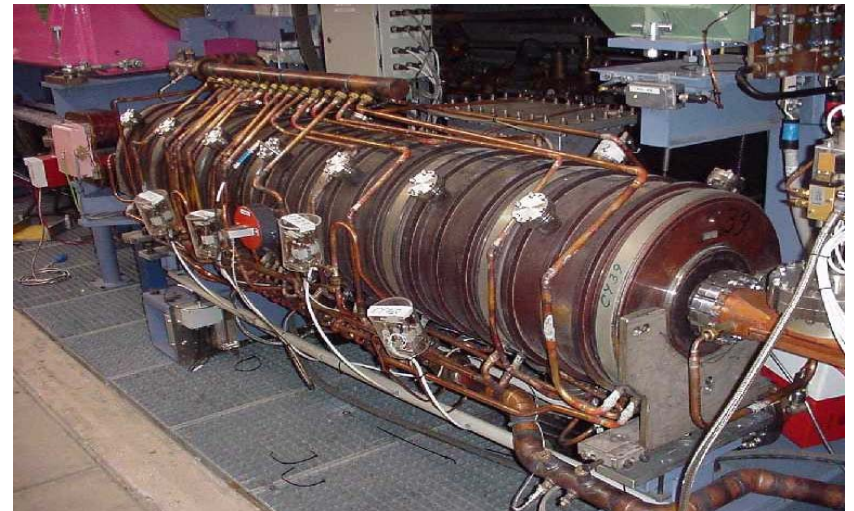
* **RF Acceleration:** multiple application of the same acceleration voltage;
brilliant idea to gain higher energies

1928, Wideroe

schematic Layout:

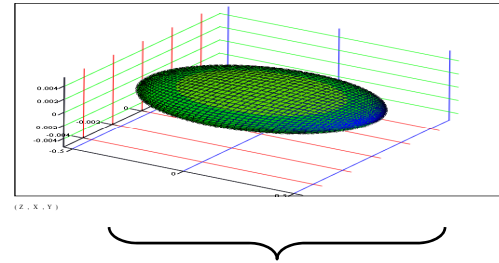


500 MHz cavities in an electron storage ring



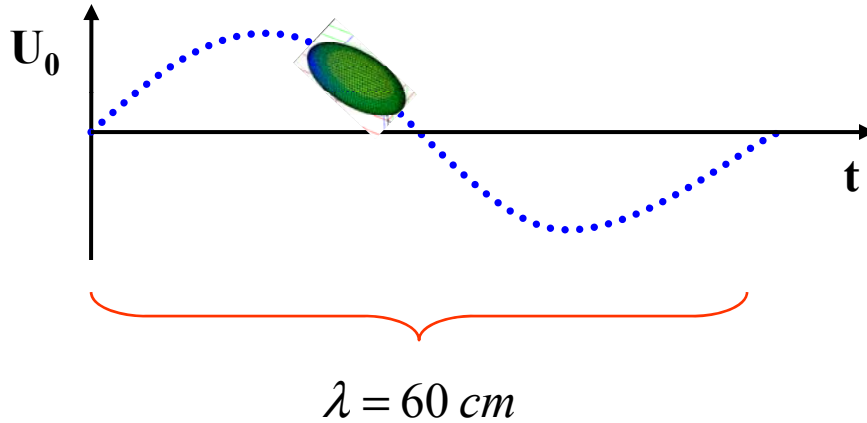
Problem: *panta rhei* !!!

(Heraklit: 540-480 v. Chr.)



Example: HERA RF:

Bunch length of Electrons $\approx 1\text{ cm}$



$$\left. \begin{aligned} \nu &= 500 \text{ MHz} \\ c &= \lambda \nu \end{aligned} \right\} \lambda = 60 \text{ cm}$$

$$\sin(90^\circ) = 1$$

$$\sin(84^\circ) = 0.994$$

$$\frac{\Delta U}{U} = 6.0 \cdot 10^{-3}$$

typical momentum spread of an electron bunch:

$$\frac{\Delta p}{p} \approx 1.0 \cdot 10^{-3}$$

16.) Dispersion: trajectories for $\Delta p / p \neq 0$

Question: do you remember last session, page 12 ? ... sure you do

Force acting on the particle

$$F = m \frac{d^2}{dt^2} (x + \rho) - \frac{mv^2}{x + \rho} = e B_y v$$

remember: $x \approx mm$, $\rho \approx m$... \rightarrow develop for small x

$$m \frac{d^2 x}{dt^2} - \frac{mv^2}{\rho} \left(1 - \frac{x}{\rho}\right) = e B_y v$$

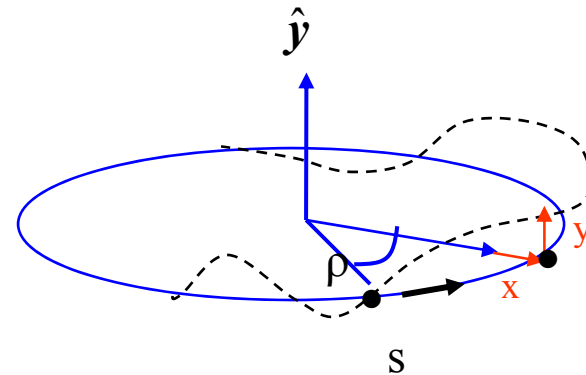
consider only linear fields, and change independent variable: $t \rightarrow s$

$$B_y = B_0 + x \frac{\partial B_y}{\partial x}$$

$$x'' - \frac{1}{\rho} \left(1 - \frac{x}{\rho}\right) = \frac{e B_0}{mv} + \frac{e x g}{mv}$$

$$p = p_0 + \Delta p$$

... but now take a small momentum error into account !!!



Dispersion:

develop for small momentum error $\Delta p \ll p_0 \Rightarrow \frac{1}{p_0 + \Delta p} \approx \frac{1}{p_0} - \frac{\Delta p}{p_0^2}$

$$x'' - \frac{1}{\rho} + \frac{x}{\rho^2} \approx \underbrace{\frac{e B_0}{p_0}}_{-\frac{1}{\rho}} - \frac{\Delta p}{p_0^2} e B_0 + \underbrace{\frac{x e g}{p_0}}_{k * x} - \underbrace{x e g \frac{\Delta p}{p_0^2}}_{\approx 0}$$

$$x'' + \frac{x}{\rho^2} \approx \frac{\Delta p}{p_0} * \underbrace{\frac{(-e B_0)}{p_0}}_{\frac{1}{\rho}} + k * x = \frac{\Delta p}{p_0} * \frac{1}{\rho} + k * x$$

$$x'' + \frac{x}{\rho^2} - kx = \frac{\Delta p}{p_0} \frac{1}{\rho} \quad \longrightarrow \quad x'' + x \left(\frac{1}{\rho^2} - k \right) = \frac{\Delta p}{p_0} \frac{1}{\rho}$$

Momentum spread of the beam adds a term on the r.h.s. of the equation of motion.
→ inhomogeneous differential equation.

Dispersion:

$$x'' + x\left(\frac{1}{\rho^2} - k\right) = \frac{\Delta p}{p} \cdot \frac{1}{\rho}$$

general solution:

$$x(s) = x_h(s) + x_i(s)$$

$$\begin{cases} x_h''(s) + K(s) \cdot x_h(s) = 0 \\ x_i''(s) + K(s) \cdot x_i(s) = \frac{1}{\rho} \cdot \frac{\Delta p}{p} \end{cases}$$

Normalise with respect to $\Delta p/p$:

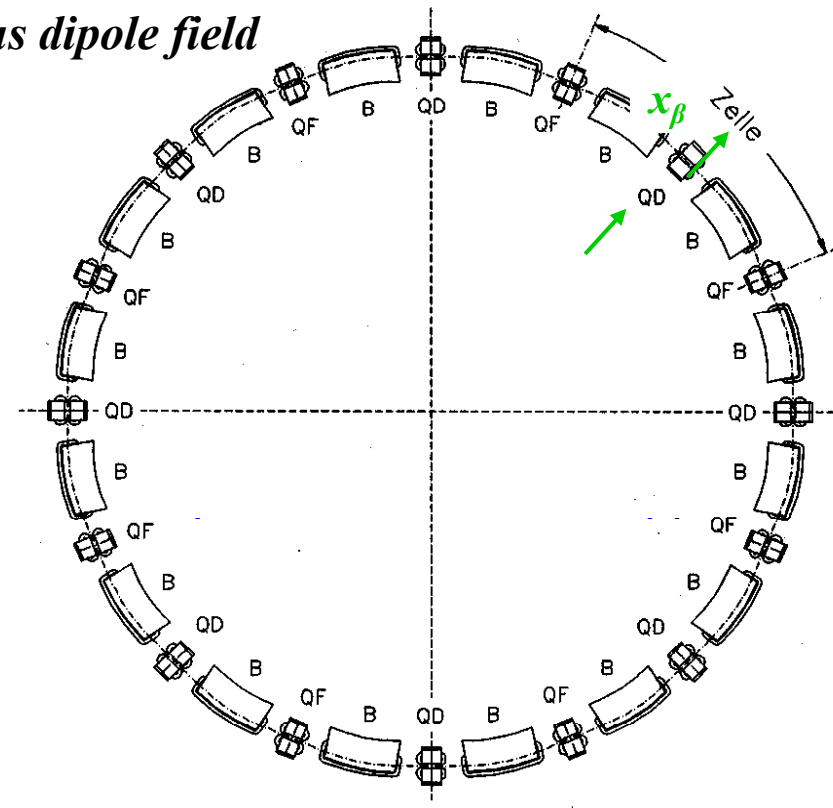
$$D(s) = \frac{x_i(s)}{\frac{\Delta p}{p}}$$

Dispersion function $D(s)$

- * is that **special orbit**, an **ideal particle** would have for $\Delta p/p = 1$
- * the **orbit of any particle** is the **sum** of the well known x_β and the **dispersion**
- * as **$D(s)$ is just another orbit** it will be subject to the focusing properties of the lattice

Dispersion

Example: homogeneous dipole field



valid for $\Delta p/p > 0$

$$= D(s) \cdot \frac{\Delta p}{p}$$

Matrix formalism:

$$x(s) = x_\beta(s) + D(s) \cdot \frac{\Delta p}{p}$$

$$x(s) = C(s) \cdot x_0 + S(s) \cdot x'_0 + D(s) \cdot \frac{\Delta p}{p}$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_0 + \frac{\Delta p}{p} \begin{pmatrix} D \\ D' \end{pmatrix}$$

Resume':

beam emittance

$$\varepsilon \propto \frac{1}{\beta\gamma}$$

beta function in a drift

$$\beta(s) = \beta_0 - 2\alpha_0 s + \gamma_0 s^2$$

... and for $\alpha = 0$

$$\beta(s) = \beta_0 + \frac{s^2}{\beta_0}$$

*particle trajectory for $\Delta p/p \neq 0$
inhomogeneous equation*

$$x'' + x \left(\frac{1}{\rho^2} - k \right) = \frac{\Delta p}{p_0} \frac{1}{\rho}$$

... and its solution

$$x(s) = x_\beta(s) + D(s) \cdot \frac{\Delta p}{p}$$