Cavity Basics

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What is a cavity?

😂 Oxford English Dictionary cavity - Mozilla Firefox							
Oxford English Dicti	onary	Lost for 🕕 Words?	cavity 🤌 Find Word				
	cavity		SECOND EDITION 1989				
cavilsome, a. cavin caving, vbl. n. ¹ caving, vbl. n. ² caving, ppl. a. cavitary, a. and n. cavitate, v. cavitation cavitied, ppl. a. cavity cavolinite cavolo nero, n. cavolo nero, n. cavo-rilievo	Pronunciation Spellings Etymology Quotations Date chart [a. F. cavité, in 13th c. caveté, (= It. cavità, Sp. cavidad), eff. cav-us hollow: see _ITY.] †1. Hollowness. Obs. rare. †1. Hollowness. Obs. rare. a1679 <u>T. GOODMIN</u> Wks. III. 565 (R.) The fire of an oveninto wintense by the cavity or hollowness of the place.	on L. type * <i>cavitāt-em</i> (pro 1679 1700 1800 1900 2000 21 vhich fire is put to heat it, and	ob. in late L. or Romanic),				
cavort, v. cavous, a. cavy, -ey, n. ¹ cavy, n. ² cavy, n. ³ cavy, a. caw, v.	2. A hollow place; a void or empty space within a solid body.						
caw, <i>int.</i> and <i>n.</i> cawation cawel cawk, <i>n.</i> ¹ cawk, <i>n.</i> ² cawky, <i>a.</i> cawl	1541 <u>R. COPLAND</u> <i>Galyen's Terap.</i> 2 Dj, Before that the cauyte b 1022 The cavities as well of the mouth as of the stomacke. 1695 without the Shell, in its Cavity or upon its Convexity. 1841-71 divided into four cavities—Mammalia and Birds. 1862 <u>STANLEY</u> sunk in the earth by the art of man. 1878 <u>HUXLEY</u> <i>Physiogr.</i> 193	<i>jen's Terap.</i> 2 Dj, Before that the cauyte be replete with flesshe. 1603 <u>HOLLAND</u> <i>Plutarch's Mor.</i> vell of the mouth as of the stomacke. 1695 <u>WOODWARD</u> <i>Nat. Hist. Earth</i> (1723) I. 24 Within or ts Cavity or upon its Convexity. 1841-71 <u>T. R. JONES</u> <i>Anim. Kingd.</i> 3 Creatures whose hearts are ties_Mammalia and Birds. 1862 <u>STANLEY</u> <i>Jew. Ch.</i> (1877) I. viii. 159 'The well', the deep cavity he art of man. 1878 <u>HUXLEY</u> <i>Physiogr.</i> 192 Little cavities, or vesicles, in this scoria, or cellular lava.					
cawlewort cawney, cawny cawquaw cawquaw cawquaw cawquaw							
List by entry List by date Simple search Advanced s	Entry map	Print Mail Subscriber: CERN - Europ Org.	Sign out OXFORD for Nuclear Research UNIVERSITY PRESS				

Lorentz force

A charged particle moving with velocity $\vec{v} = \frac{\vec{p}}{m\gamma}$ through an electromagnetic field experiences a force

$$\frac{\mathrm{d}\vec{p}}{\mathrm{d}t} = q\left(\vec{E} + \vec{v} \times \vec{B}\right)$$

The total energy of this particle is $W = \sqrt{(mc^2)^2 + (pc)^2} = \gamma mc^2$, the kinetic energy is $W_{kin} = mc^2(\gamma - 1)$

The role of acceleration is to increase the particle energy!

Change of W by differentiation:

$$W dW = c^{2} \vec{p} \cdot d\vec{p} = qc^{2} \vec{p} \cdot \left(\vec{E} + \vec{v} \times \vec{B}\right) dt = qc^{2} \vec{p} \cdot \vec{E} dt$$
$$dW = q\vec{v} \cdot \vec{E} dt$$

Note: Only the electric field can change the particle energy!

Maxwell's equations

The electromagnetic fields inside the "hollow place" obey these equations:

$$\nabla \times \vec{B} - \frac{1}{c^2} \frac{\partial}{\partial t} \vec{E} = 0 \quad \nabla \cdot \vec{B} = 0$$
$$\nabla \times \vec{E} + \frac{\partial}{\partial t} \vec{B} = 0 \quad \nabla \cdot \vec{E} = 0$$

With the curl of the 3rd, the time derivative of the 1st equation and the vector identity

$$\nabla \times \nabla \times \vec{E} \equiv \nabla \nabla \cdot \vec{E} - \Delta \vec{E}$$

this set of equations can be brought in the form

$$\Delta \vec{E} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \vec{E} = 0$$

which is the Laplace equation in 4 dimensions.

With the boundaries of the "solid body" around it (the cavity walls), there exist eigensolutions of the cavity at certain frequencies (eigenfrequencies).

Homogeneous plane wave

$$\vec{E} \propto \vec{u}_{y} \cos(\omega t - \vec{k} \cdot \vec{r})$$
$$\vec{B} \propto \vec{u}_{x} \cos(\omega t - \vec{k} \cdot \vec{r})$$
$$\vec{k} \cdot \vec{r} = \frac{\omega}{c} (\cos(\varphi)z + \sin(\varphi)x)$$

Wave vector \vec{k} : the direction of \vec{k} is the direction of propagation, the length of \vec{k} is the phase shift per unit length. \vec{k} behaves like a vector.



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Wave length, phase velocity



Superposition of 2 homogeneous plane waves





Metallic walls may be inserted where $E_y = 0$ without perturbing the fields. Note the standing wave in *x*-direction!

This way one gets a hollow rectangular waveguide

Rectangular waveguide

Fundamental (TE_{10} or H_{10}) mode in a standard rectangular waveguide. **Example:** "S-band" : 2.6 GHz ... 3.95 GHz, Waveguide type WR284 (2.84" wide), dimensions: 72.14 mm x 34.04 mm. Operted at f = 3 GHz.

power flow:
$$\frac{1}{2} \operatorname{Re} \left\{ \iint_{\substack{\text{cross}\\\text{section}}} \vec{E} \times \vec{H}^* \cdot d\vec{A} \right\}$$



Waveguide dispersion



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Phase velocity



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Rectangular waveguide modes



plotted: E-field

Radial waves

Also radial waves may be interpreted as superpositions of plane waves. The superposition of an outward and an inward radial wave can result in the field of a round hollow waveguide.



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Circular waveguide modes



General waveguide equations:

 $\vec{n} \cdot \nabla T = 0$

 $Z_0 = \frac{j\omega\mu}{\gamma}$

 $\vec{e} = \vec{u}_{\tau} \times \nabla T$

Transverse wave equation (membrane equation):

mbrane equation):
$$\Delta T + \left(\frac{\omega_c}{c}\right)^2 T = 0$$

TE (or H) modes TN

 $\frac{\mathrm{d}U(z)}{\mathrm{d}z} + \gamma Z_0 I(z) = 0$ $\frac{\mathrm{d}I(z)}{\mathrm{d}z} + \frac{\gamma}{Z_0} U(z) = 0$

 $\gamma = j \frac{\omega}{c} \sqrt{1 - \left(\frac{\omega_c}{c}\right)^2}$

 $\vec{E} = U(z)\vec{e}$

 $\vec{H} = I(z)\vec{u}_{z} \times \vec{e}$ $H_{z} = \left(\frac{\omega_{c}}{c}\right)^{2} \frac{TU(z)}{i\omega u} \qquad E_{z} = \left(\frac{\omega_{c}}{c}\right)^{2} \frac{TI(z)}{i\omega e}$

TM (or E) modes

T=0

 $Z_0 = \frac{\gamma}{\mathrm{i}\omega\varepsilon}$

 $\vec{e} = -\nabla T$

boundary condition:

longitudinal wave equations (transmission line equations):

propagation constant:

characteristic impedance:

ortho-normal eigenvectors:

transverse fields:

longitudinal field:

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Rectangular waveguide: transverse eigenfunctions

$$TE (H) \text{ modes:} \qquad T_{mn}^{(H)} = \frac{1}{\pi} \sqrt{\frac{ab\varepsilon_m \varepsilon_n}{(mb)^2 + (na)^2}} \cos\left(\frac{m\pi}{a}x\right) \cos\left(\frac{n\pi}{b}y\right)$$

$$TM (E) \text{ modes:} \qquad T_{mn}^{(E)} = \frac{2}{\pi} \sqrt{\frac{ab}{(mb)^2 + (na)^2}} \sin\left(\frac{m\pi}{a}x\right) \sin\left(\frac{n\pi}{b}y\right)$$

$$\frac{\omega_c}{c} = \sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2}$$

$$Round \text{ waveguide: transverse eigenfunctions}$$

$$TE (H) \text{ modes:} \qquad T_{mn}^{(H)} = \sqrt{\frac{\varepsilon_m}{\pi \left(\chi_{mn}^{'2} - m^2\right)}} \frac{J_m \left(\chi_{mn}^{'n} \frac{\rho}{a}\right)}{J_m \left(\chi_{mn}^{'n}\right)} \begin{cases} \cos(m\varphi) \\ \sin(m\varphi) \end{cases}$$

$$M (E) \text{ modes:} \qquad T_{mn}^{(E)} = \sqrt{\frac{\varepsilon_m}{\pi}} \frac{J_m \left(\chi_{mn} \frac{\rho}{a}\right)}{\chi_{mn} J_{m-1}(\chi_{mn})} \begin{cases} \sin(m\varphi) \\ \cos(m\varphi) \end{cases}$$

$$\frac{\omega_c}{c} = \frac{\chi_{mn}}{a}$$

$$\frac{\omega_c}{c} = \frac{\chi_{mn}}{a}$$

$$\frac{\omega_c}{c} = \frac{\chi_{mn}}{a}$$

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Short-circuited waveguide



Single WG mode between two shorts



Eigenvalue equation for field amplitude *a*:

$$a = e^{-jk_z 2\ell}a$$

Non-vanishing solutions exist for $\, 2k_z\ell = 2\pi\,m\,$:

With
$$k_z = \frac{\omega}{c} \sqrt{1 - \left(\frac{\omega_c}{\omega}\right)^2}$$
, this becomes $f_0^2 = f_c^2 + \left(c\frac{m}{2\ell}\right)^2$



electric field (purely axial)

magnetic field (purely azimuthal)

Pillbox cavity field (w/o beam tube)



The only non-vanishing field components :



 $\omega_{0}\Big|_{pillbox} = \frac{\chi_{01} c}{a} \quad \eta = \sqrt{\frac{\mu_{0}}{\varepsilon_{0}}} = 377 \,\Omega$ $Q\Big|_{pillbox} = \frac{\sqrt{2a\eta\sigma\chi_{01}}}{2\left(1 + \frac{a}{L}\right)}$ $=\frac{4\eta}{\chi_{01}^{3}\pi J_{1}^{2}(\chi_{01})}\frac{\sin^{2}(\frac{\chi_{01}}{2}\frac{h}{a})}{h/a}$ 21

Ø 2a

Pillbox with beam pipe TM₀₁₀-mode

(only 1/4 shown)

One needs a hole for the beam pipe – circular waveguide below cutoff



A more practical pillbox cavity TM₀₁₀-mode (only 1/4 shown) Round of sharp edges (field enhancement!)

electric field

magnetic field



Since \vec{E} and \vec{H} are 90° out of phase, the stored energy continuously swaps from electric energy to magnetic energy. On average, electric and magnetic energy must be equal.

The (imaginary part of the) Poynting vector describes this energy flux.

In steady state, the total stored energy $W = \iiint_{cavity} \left(\frac{\varepsilon}{2} \left| \vec{E} \right|^2 + \frac{\mu}{2} \left| \vec{H} \right|^2 \right) dV$ is constant in time.

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Stored energy & Poynting vector



Losses & Q factor

The losses P_{loss} are proportional to the stored energy W.

The cavity quality factor Q is defined as the ratio $Q = \frac{\omega_0 W}{P_{loss}}$.

In a vacuum cavity, losses are dominated by the ohmic losses due to the finite conductivity of the cavity walls.

If the losses are small, one can calculate them with a **perturbation method**:

- The tangential magnetic field at the surface leads to a surface current.
- This current will see a wall resistance $R_A = \sqrt{\frac{\omega\mu}{2\sigma}}$
- { R_A is related to the skin depth δ by $\delta \sigma R_A = 1$.}
- The cavity losses are given by $P_{loss} = \iint_{wall} R_A |H_t|^2 dA$
- If other loss mechanisms are present, losses must be added. Consequently, the inverses of the *Q* 's must be added!

Small boundary perturbation – tuning

Another application of the perturbation method is to analyse the sensitivity to (small) surface geometry perturbations.

•This is relevant to understand the effect of fabrication tolerances.

•Intentional surface perturbation can be used to tune the cavity.

The basic idea of the perturbation theory is use a known solution (in this case the unperturbed cavity) and assume that the deviation from it is only small. We just used this to calculate the losses (assuming H_t would be that without losses).

The result of this calculation leads to a convenient expression for the detuning:



Acceleration voltage & *R*-upon-*Q*

I define $V_{acc} = \int E_z e^{j\frac{\omega}{\beta c}z} dz$. The exponential factor accounts for the variation of the field while particles with velocity βc are traversing the gap (see next page).

With this definition, V_{acc} is generally complex – this becomes important with more than one gap. For the time being we are only interested in $|V_{acc}|$

Attention, different definitions are used!

The square of the acceleration voltage is proportional to the stored energy W. The proportionality constant defines the quantity called *R*-upon-*Q*:

$$\frac{R}{Q} = \frac{\left|V_{acc}\right|^2}{2\,\omega_0 W}$$

Attention, also here different definitions are used!

Transit time factor

The transit time factor is the ratio of the acceleration voltage to the (non-physical) voltage a particle with infinite velocity would see.

$$TT = \frac{|V_{acc}|}{\left|\int E_z dz\right|} = \frac{\left|\int E_z e^{j\frac{\omega}{\beta c}z} dz\right|}{\left|\int E_z dz\right|}$$

The transit time factor of an ideal pillbox cavity (no axial field dependence) of height (gap length) *h* is:



Shunt impedance

The square of the acceleration voltage is proportional to the power loss P_{loss} . The proportionality constant defines the quantity "shunt impedance"

$$R = \frac{\left| V_{acc} \right|^2}{2 P_{loss}}$$

Attention, also here different definitions are used!

Traditionally, the shunt impedance is the quantity to optimize in order to minimize the power required for a given gap voltage.

Equivalent circuit

Simplification: single mode



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Resonance



Reentrant cavity

Nose cones increase transit time factor, round outer shape minimizes losses.

Nose cone example Freq = 500.003







Summary: relations between V_{acc} , W, P_{loss}



Q factor

Beam loading – RF to beam efficiency

The beam current "loads" the generator, in the equivalent circuit this appears as a resistance in parallel to the shunt impedance.

If the generator is matched to the unloaded cavity, beam loading will cause the accelerating voltage to decrease.

The power absorbed by the beam is $-\frac{1}{2} \operatorname{Re} \left\{ V_{acc} I_B^* \right\}$, the power loss $P_{loss} = \frac{|V_{acc}|^2}{2R}$. For high efficiency, beam loading should be high.

The RF to beam efficiency is
$$\eta = \frac{1}{1 + \frac{V_{acc}}{R |I_B|}} = \frac{|I_B|}{|I_G|}$$

Characterizing cavities

 $\omega_0 = \frac{1}{\sqrt{L \cdot C}}$ **Resonance frequency** • $\int E_z e^{j\frac{\omega}{\beta c}z} dz$ Transit time factor • field varies while particle is traversing the gap $\left|\int E_z dz\right|$ **Circuit definition** Linac definition Shunt impedance ٠ $\left|V_{acc}\right|^2 = 2 R P_{loss}$ $\left|V_{acc}\right|^2 = R P_{loss}$ gap voltage - power relation *Q* factor $\omega_0 W = Q P_{loss}$ $\frac{R}{Q} = \frac{\left|V_{acc}\right|^2}{\omega_0 W}$ $\frac{R}{Q} = \frac{\left|V_{acc}\right|^2}{2\,\omega_0 W} = \sqrt{\frac{L}{C}}$ R/Qindependent of losses - only geometry! $k_{loss} = \frac{\omega_0}{2} \frac{R}{O} = \frac{\left|V_{acc}\right|^2}{4W}$ $k_{loss} = \frac{\omega_0}{4} \frac{R}{Q} =$ loss factor 11-6-2010 CAS RF Denmark - Cavity Basics

Higher order modes



Higher order modes (measured spectrum)



Pillbox: dipole mode



CERN/PS 80 MHz cavity (for LHC)





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Higher order modes

Example shown: 80 MHz cavity PS for LHC. Color-coded:







357.9 MHz, m=3

376.8 MHz, m=2

387.8 MHz, m=1

255.6 MHz, m=0

418.5 MHz, m=4

292 MHz, m=2

422.9 MHz, m=3

337.5 MHz, m=1

















437.6 MHz, m=0

481.0 MHz, m=1

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What do you gain with many gaps?

• The R/Q of a single gap cavity is limited to some 100 Ω . Now consider to distribute the available power to n identical cavities: each will receive P/n, thus produce an accelerating voltage of $\sqrt{2RP/n}$.

The total accelerating voltage thus increased, equivalent to a total equivalent shunt impedance of nR.



Standing wave multicell cavity

- Instead of distributing the power from the amplifier, one might as well couple the cavities, such that the power automatically distributes, or have a cavity with many gaps (e.g. drift tube linac).
- Coupled cavity accelerating structure (side coupled)



• The phase relation between gaps is important!



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What limits the acceleration 1: The surface electric field:



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What limits the acceleration 2: The surface magnetic field

The surface magnetic field leads to a surface current.

For superconducting cavities, it must stay below a threshold value.

For normal conducting cavities, it will lead to local heating, which in turn can lead to mechanical stress and deformation; with pulsed RF, this can lead to fatigue stress issues. In the presence of an electric field, the heated surface can have an effect on the breakdown.

What limits the acceleration? 3: The (modified) Poynting vector (?)

Even though not yet fully understood, experimental evidence supports the model that a combination of electric and magnetic fields at the surface correlate well with the measured breakdown probability.

N	Name	f [GHz]	$\Delta \varphi$ [°]	v_g/c [%]	<i>L</i> [m]	
1	DDS1 [3]	11.424	120	11.7–3	1.8	
2	T53vg5R [3]	11.424	120	5.0-3.3	0.53	
3	T53vg3MC [3]	11.424	120	3.3-1.6	0.53	ts]
4	H90vg3 [3]	11.424	150	3.1-1.9	0.9	iu
5	H60vg3 [3]	11.424	150	3-1.2	0.6	o. L
6	H60vg3S18 [3,4]	11.424	150	3.3-1.2	0.6	arb
7	H60vg3S17 [3,4]	11.424	150	3.6-1.0	0.6	⁷² [
8	H75vg4S18 [3]	11.424	150	4.0-1	0.75	c) ¹ /
9	H60vg4S17 [3,4]	11.424	150	4.5-1	0.6	(S
10	HDX11 [5]	11.424	60	5.1	0.05	
11	CLIC-X-band [6]	11.424	120	1.1	0.23	
12	T18vg2.6 [7]	11.424	120	2.6-1.0	0.18	
13	SW20a3.75 [3]	11.424	180	0	0.2	
14	SW1a5.65t4.6 [8]	11.424	180	0	0.013	
15	SW1a3.75t2.6 [8]	11.424	180	0	0.013	
16	SW1a3.75t1.66 [8]	11.424	180	0	0.013	
17	$2\pi/3$ [9]	29.985	120	4.7	0.1	
18	$\pi/2$ [10]	29.985	90	7.4	0.1	
19	HDS60 [11]	29.985	60	8.0-5.1	0.1	
20	HDS60-Back [11]	29.985	60	5.1-8.0	0.1	
21	PETS9mm [12]	29.985	120	39.8	0.4	ht



http://cdsweb.cern.ch/record/1216861

Cavity basics – Summary

- The EM fields inside a hollow cavity are superpositions of homogeneous plane waves.
- When operating near an eigenfrequency, one can profit from a resonance phenomenon (with high *Q*).
- *R*-upon-*Q*, Shunt impedance and *Q* factor were are useful parameters, which can also be understood in an equivalent circuit.
- The perturbation method allows to estimate losses and sensitivity to tolerances.
- Many gaps can increase the effective impedance.