

Injection, extraction and transfer

Dr. Janet Schmidt (TE-ABT-BTP) CAS: Basics of Accelerator Science and Technology at CERN Chavannes 2017

Based on lectures by Brennan Goddard, Matthew Fraser and Rende Steerenberg



Overview



- Introduction
- Single-turn methods
 - Injection
 - Fast extraction
 - Multi-turn methods
 - Multi-turn hadron injection
 - Charge-exchange H- injection
 - Multi-turn extraction
- Resonant slow extraction



Summary

Injection, extraction and transfer

- **CERN** Accelerator Complex An accelerator has CMS limited dynamic range LHC North Area 2008 (27 km) ALICE LHCb TT20 Chain of stages is TT40 TT41 SPS needed to reach high $\frac{1}{12}$ TI8 1976 (7 km) **AWAKE** TT10 energy ATLAS 2016 **HiRadMat** TT60 2011 **ELENA** AD ISOLDE 2016 (31 m) 1999 (182 m) TT2 Periodic re-filling of 1992 BOOSTER storage rings, like REX/HIE East Area 2001/2015 LHC n-ToF PS 1959 (628 m) LINAC 2 CTF3 External facilities and LEIR LINAC 3 2005 (78 m) experiments:
 - e.g. ISOLDE, HiRadMat, AWAKE...

Beam transfer (into, out of, and between machines) is necessary.



Linking Machines

- 1. Extract a beam out of one machine
 - ➔ initial beam parameters X₁, X'₁
- 2. **Transport** this beam towards the following machine (or experiment)
 - ➔ apply transfer matrix
- 3. **Inject** this beam into a following machine with a predefined acceptance

 \rightarrow produce required beam parameter for matching X₂, X'₂



Optics Matching



Linking Machines – constraints

 Apertures of beam line elements define limitations for maximum β and dispersion values

 $\text{Envelope}(S) = \sqrt{\epsilon_{geo} \cdot \beta(S)} + \text{Dispersion}(S) \cdot \frac{\Delta p}{p} + \text{mechanical alignment} + \text{orbit error} \cdot \sqrt{\frac{\beta(S)}{\beta_{max}}}$

- Minimum bend radius, maximum quadrupole gradient, magnet aperture, cost, geology or other obstacles, etc.
- Insertions for special equipment (like stripping foils)



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Single-turn methods

CERN





Kicker magnet

- Pulsed magnet with very fast rise time (100 ns – few µs)
- Typically 3 kA in 1 µs rise time



 $B = \mu_0 I / g$ L [per unit length] = $\mu_0 w / g$ dI/dt = V / L

Example – SPS fast extraction 5 kicker with 0.5 mrad total deflection



Magnetic septum

- Pulsed or DC magnet with thin (2 – 20 mm) Septum between zero field and high field region
- Typically
 - ~10x more deflection given by magnetic septa, compared to kickers
 - I ~ 5 25 kA



Example – SPS fast extraction 2.25 mrad total deflection





Normalised phase space at centre of idealised septum





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Normalised phase space



An oscillation in the longitudinal coordinate S can be translated into a rotation in phase.



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Normalised phase space





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Normalised phase space at centre of idealised septum





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 $\pi/2$ phase advance to kicker location





Normalised phase space at centre of idealised septum

Kicker deflection places beam on central orbit:





















Betatron oscillations with respect to the Closed Orbit:





Injection errors



- $$\begin{split} \delta_1 &= \Delta \theta_s \, \sqrt{(\beta_s \beta_1)} \sin \left(\mu_1 \mu_s\right) + \Delta \theta_k \, \sqrt{(\beta_k \beta_1)} \sin \left(\mu_1 \mu_k\right) \\ &\thickapprox \Delta \theta_k \, \sqrt{(\beta_k \beta_1)} \end{split}$$
- $$\begin{split} \delta_2 &= \Delta \theta_s \ \sqrt{(\beta_s \beta_2)} \sin (\mu_2 \mu_s) + \Delta \theta_k \ \sqrt{(\beta_k \beta_2)} \sin (\mu_2 \mu_k) \\ &\thickapprox -\Delta \theta_s \ \sqrt{(\beta_s \beta_2)} \end{split}$$



- **Non-linear effects** (e.g. higher-order field components) introduce amplitude-dependent effects into particle motion.
- Over many turns, a phase-space oscillation is transformed into an emittance increase.
- So any residual transverse oscillation will lead to an emittance blow-up through filamentation
- Remark:
 - Chromaticity coupled with a non-zero momentum spread at injection can also cause filmentation, often termed *chromatic decoherence*.
 - "Transverse damper" systems are used to damp injection oscillations - bunch position measured by a pick-up, which is linked to a kicker















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Filamentation





Filamentation





Blow-up from steering error

A numerical example....

Consider an offset $\Delta a = 0.5\sigma$ for injected beam: $L = \Delta a \sqrt{\varepsilon_{matched}}$

$$\varepsilon_{diluted} = \varepsilon_{matched} + \frac{L^2}{2}$$
$$= \varepsilon_{matched} \left[1 + \frac{\Delta a^2}{2} \right]$$
$$= \varepsilon_{matched} \left[1.125 \right]$$

For nominal LHC beam:

...allowed growth through LHC cycle ~10 %





Fast single turn extraction

Entire beam kicked into septum gap and extracted over a single turn



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Multi-turn methods



Multi-turn injection

- Limitation of beam density at injection (for hadrons) by:
 - space charge effects
 - the injector capacity
- Increase overall injected intensity : fill the horizontal phase space
 - Requires large acceptance of receiving machine compared to beam emittance from injector
 - → no increase of beam density!



Injected beam (usually from a linac)



Programmable closed orbit bump

- No kicker but fast programmable bumpers
- Bump amplitude decreases and a new batch injected turn-by-turn
- Phase-space "painting"





Example: CERN PSB injection, high intensity beams, fractional tune $Q_h \approx 0.25 \rightarrow$ beam rotates $\pi/2$ per turn in phase space



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Example: CERN PSB injection, high intensity beams, fractional tune $Q_h \approx 0.25 \rightarrow$ beam rotates $\pi/2$ per turn in phase space



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Phase space has been "painted"





Charge exchange H- injection

- Multi-turn injection is essential to accumulate high intensity
- Disadvantages inherent in using an injection septum:
 - Width of several mm reduces aperture
 - Beam losses from circulating beam hitting septum:
 - typically 30 40 % for the CERN PSB injection at 50 MeV
 - Limits number of injected turns to 10 20
- Charge-exchange injection provides elegant alternative
 - Convert H⁻ to p⁺ using a thin stripping foil, allowing injection into the same phase space area
 - ➔ increase of beam density



Multi-turn methods



Charge exchange H- injection

Start of injection process



Injection chicane dipoles



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Accumulation process on foil

Linac4 connection to the PS booster at 160 MeV: H⁻ stripped to p⁺ with an estimated efficiency ≈98 % with C foil 200 µg.cm⁻²



H- injection - painting



0.01

0.005

-0.005

-0.01

0.01

0.005

-0.005

0

-0.01

n

Note injection into same phase space area as circulating beam



y'vs y

0.01

0.005

-0.005



-0.03 -0.05

0

0.05

0.1

Time

Turn 11

Turn 31

Turn 61

Turn 102



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Charge exchange H- injection

End of injection process with painting



Charge exchange H- injection

- Paint uniform transverse phase space density by modifying closed orbit bump and steering injected beam
- Injection chicane reduced or switched off after injection, to avoid excessive foil heating and beam blow-up
- Longitudinal phase space can also be painted turnby-turn:
 - Variation of the injected beam energy turn-by-turn (linac voltage scaled)
 - Chopper system in linac to match length of injected batch to bucket



Stripping Foil Tests – Oct'16





Foil thickness calculated to double-strip most ions (≈99%) 50 MeV – 50 µg.cm⁻²

800 MeV – 200 µg.cm⁻² (≈ 1 µm of C!)





Effect on beam emittance

PSB Brightness Curve





Multi-turn methods





Non-resonant multi-turn extraction

Beam bumped to septum; part of beam 'shaved' off each turn





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Non-resonant multi-turn extraction




















• CERN PS to SPS: 5-turn continuous transfer

Fill SPS with 2 times 5-turn extractions (and 2 x 1 µs gap)

Total intensity in SPS 5x10¹³ p+



- Beamlets can have slightly different emittance
- Still about 15 % of beam lost in PS-SPS CT
 - Issue for maintenance of equipment

Different method needed to extract very high intensity beams → use resonance



Multi-turn methods





Resonant low-loss multi-turn extraction

- Adiabatic capture of beam in stable "islands"
 - Use non-linear fields (sextupoles and octupoles) to create islands of stability in phase space
 - A slow (adiabatic) tune variation to cross a resonance and to drive particles into the islands (capture) with the help of transverse excitation (using damper)
 - Variation of field strengths to separate the islands in phase space
- Several big advantages:
 - Losses reduced significantly (no particles at the septum in transverse plane)
 - Phase space matching improved with respect to existing nonresonant multi-turn extraction - 'beamlets' have similar emittance and optical parameters



Resonant low-loss multi-turn

extraction



- a) Unperturbed beam
- b) Increasing non-linear fields
- c) Beam captured in stable islands
- d) Islands separated and beam bumped across septum – extracted in 5 turns





Resonant low-loss multi-turn extraction



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- c) Beam captured in stable islands
- d) Islands separated and beam bumped across septum – extracted in 5 turns

Courtesy M. Giovannozzi: MTE Design Report, CERN-2006-011, 2006



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Resonant slow extraction





Resonant slow extraction

Non-linear fields excite resonances that drive the beam slowly across the septum



- Slow bumpers move the beam near the septum
- Tune adjusted close to nth order betatron resonance
- Multipole magnets excited to define stable area in phase space, size depends on $\Delta Q = Q Q_r$



"Inject

- Sextupoles are used to excite a resonance for extraction
- This resonance slowly drives particles over septum for extraction (> 1000 turns)
- Results in long spills for experiments (milliseconds to hours)





Example of a spill at SPS to the North Area with large n x 50 Hz components and another noise source at 10 Hz

Extraction process

- Increase the sextupole strength to excite resonance
- Large tune spread created with RF gymnastics (large momentum spread) and large chromaticity to reduce stable region in phase space
- Move beam into the resonance by changing the tune





- Particles distributed on emittance contours
- ΔQ large no phase space distortion





- Sextupole magnets produce a triangular stable area in phase space
- ΔQ decreasing phase space distortion for largest amplitudes





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• Stable area shrinks as ΔQ becomes smaller





Separatrix position in phase space shifts as the stable area shrinks



 As the stable area shrinks, the circulating beam intensity drops since particles are being continuously extracted





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 As ΔQ approaches zero, the particles with very small amplitude are extracted

























Reduction of losses on the septum – shadowing with crystals



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Beam transfer - summary

Transfer lines transport beams between accelerators (from extraction of one to injection of the next) and onto experimental targets and beam dumps

- Requirements:
 - Geometric link between machines/experiment
 - Match optics between machines/experiment
 - Preserve emittance
 - Change particles' charge state (stripping foils)
 - Measure beam parameters (measurement lines)
 - Protect downstream machine/experiment



Injection - summary

Several different techniques using kickers, septa and bumpers

- Single-turn injection for hadrons
 - Boxcar stacking: transfer between machines in accelerator chain
 - Angle / position errors \Rightarrow injection oscillations
 - Uncorrected errors \Rightarrow filamentation \Rightarrow emittance increase
- Multi-turn injection for hadrons
 - Phase space painting to increase intensity
 - H- injection allows injection into same phase space area



Extraction - summary

Extraction technique is chosen depending on "receivers" requirements

- Single-turn fast extraction:
 - for transfer between machines in accelerator chain, beam abort or experiments with requests for short pulses
- Non-resonant multi-turn extraction
 - slice beam into equal parts for transfer between machine over a few turns.
- Resonant low-loss multi-turn extraction
 - create stable islands in phase space: extract over a few turns.
- Resonant slow extraction
 - create stable area in phase space → slowly drive particles into resonance → long spill over many thousand turns.



Linking the machines



Help! What do I do when???

Application	Method
Direct transfer between machines with minimal effect on beam emittance	Single-turn injection and extraction
Fill up acceptance of receiving machine to create higher beam intensity	Multi-turn injection with phase space painting
Create higher particle density (and beam intensity)	Charge exchange injection (with phase space painting)
Fill following machine with minimum number of extractions (with minimized losses)	Multi-turn extraction <i>(using a resonance to split beam into several stable islands in phase space)</i>
Send long "quasi-constant" spills to fixed target experiment	Resonant slow extraction, using a resonance to drive particles into the extraction channel



Literature

- Thank you
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- Lectures of Brennan Goddard at CAS and Rende Steerenberg at OP AXEL lectures
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Liouville's Theorem

• "... under the influence of conservative forces the particle density in phase space stays constant" (H. Wiedemann, Particle Accelerator Physics)





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Normalised phase space

• Transform real transverse coordinates (*x*, *x'*, *s*) to normalised coordinates ($\overline{X}, \overline{X'}, \mu$) where the independent variable becomes the phase advance μ :

$$\begin{bmatrix} \bar{\mathbf{X}} \\ \bar{\mathbf{X}'} \end{bmatrix} = \mathbf{N} \cdot \begin{bmatrix} x \\ x' \end{bmatrix} = \sqrt{\frac{1}{\beta(s)}} \cdot \begin{bmatrix} 1 & 0 \\ \alpha(s) & \beta(s) \end{bmatrix} \cdot \begin{bmatrix} x \\ x' \end{bmatrix}$$

$$x(s) = \sqrt{\varepsilon} \sqrt{\beta(s)} \cos[\mu(s) + \mu_0] \qquad \qquad \mu(s) = \int_0^s \frac{d\sigma}{\beta(\sigma)}$$

$$\bar{\mathbf{X}}(\mu) = \sqrt{\frac{1}{\beta(s)}} \cdot x = \sqrt{\varepsilon} \cos[\mu + \mu_0]$$
$$\bar{\mathbf{X}}'(\mu) = \sqrt{\frac{1}{\beta(s)}} \cdot \alpha(s)x + \sqrt{\beta(s)}x' = -\sqrt{\varepsilon} \sin[\mu + \mu_0] = \frac{d\bar{\mathbf{X}}}{d\mu}$$



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- Straight propagation of TWISS parameters (no periodic conditions)
- At any point in line, $\alpha(s) \beta(s)$ are functions of α_1 and β_1
- For a ring the transfer matrix can be simplified (periodic conditions →

$$\Delta \mu = 2\pi Q$$

$$\mathsf{M}_{1\to 2} = \mathsf{M}_{0\to L} = \begin{bmatrix} \cos 2\pi Q + \alpha \sin 2\pi Q & \beta \sin 2\pi Q \\ -\frac{1}{\beta} \left(1 + \alpha^2\right) \sin 2\pi Q & \cos 2\pi Q - \alpha \sin 2\pi Q \end{bmatrix}$$



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- Consider a collection of particles with max. amplitudes A
- The beam can be injected with an error in angle and position.
- For an injection error Δa , in units of $\sigma = \sqrt{(\beta \epsilon)}$, the mis-injected beam is offset in normalised phase space by an amplitude L = $\Delta a \sqrt{\epsilon}$





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$$\varepsilon_{matched} = \left\langle \mathbf{A}_i^2 \right\rangle / 2$$





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• After filamentation:

$$\varepsilon_{diluted} = \varepsilon_{matched} + \frac{L^2}{2}$$





• The new particle coordinates in normalised phase space are:

$$\overline{X}_{error} = \overline{X}_0 + L\cos\theta$$

$$\overline{X}'_{error} = \overline{X}'_0 + L\sin\theta$$

 For a general particle distribution, where A_i denotes amplitude in normalised phase of particle i:

$$\mathbf{A}_{i}^{2} = \bar{X}_{0,i}^{2} + \bar{X}'_{0,i}^{2}$$

• The emittance of the distribution is:

$$\varepsilon_{matched} = \left\langle \mathbf{A}_i^2 \right\rangle / 2$$





• So we plug in the new coordinates:

