

An aerial photograph of a cyclotron's dees and particle beam lines. The dees are large, light blue, curved structures that form a cross-like shape. They are connected to a central region where the particle beam is produced. The surrounding area is filled with various mechanical components, pipes, and structural elements of the accelerator facility.

# Cyclotrons

CERN Accelerator School – Introductory Course  
Budapest, October 5, 2016

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# Cyclotrons - Outline

- the classical cyclotron  
history of the cyclotron, basic concepts and scalings, focusing, stepwidth, relativistic relations, classification of cyclotron-like accelerators
- synchro-cyclotrons  
concept, synchronous phase, example
- isochronous cyclotrons ( → sector cyclotrons )  
isochronous condition, focusing in Thomas-cyclotrons, spiral angle, classical extraction: pattern/stepwidth, transverse and longitudinal space charge

## Part II

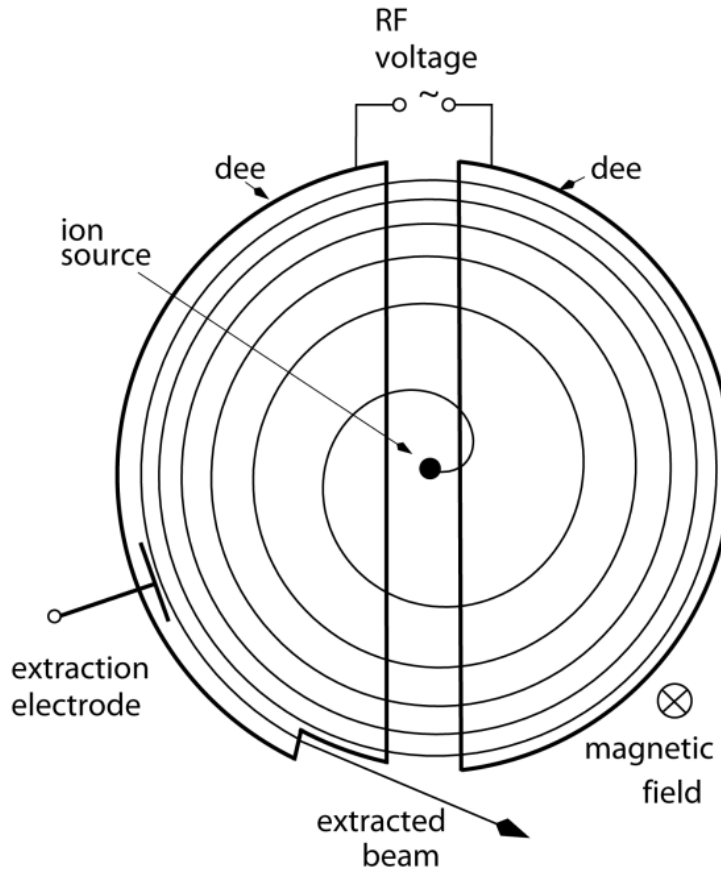
- cyclotron subsystems  
Injection/extraction schemes, RF systems/resonators, magnets, vacuum issues, instrumentation
- applications and examples of existing cyclotrons  
TRIUMF, RIKEN SRC, PSI Ring, PSI medical cyclotron
- discussion  
classification of circular accelerators, cyclotron vs. FFAG, Pro's and Con's of cyclotrons for different applications



# The Classical Cyclotron

two capacitive electrodes  
„Dees“, two gaps per turn  
internal ion source  
homogenous B field  
**constant revolution time**  
(for low energy,  $\gamma \approx 1$ )

$$\omega_c = \frac{eB_z}{m}$$



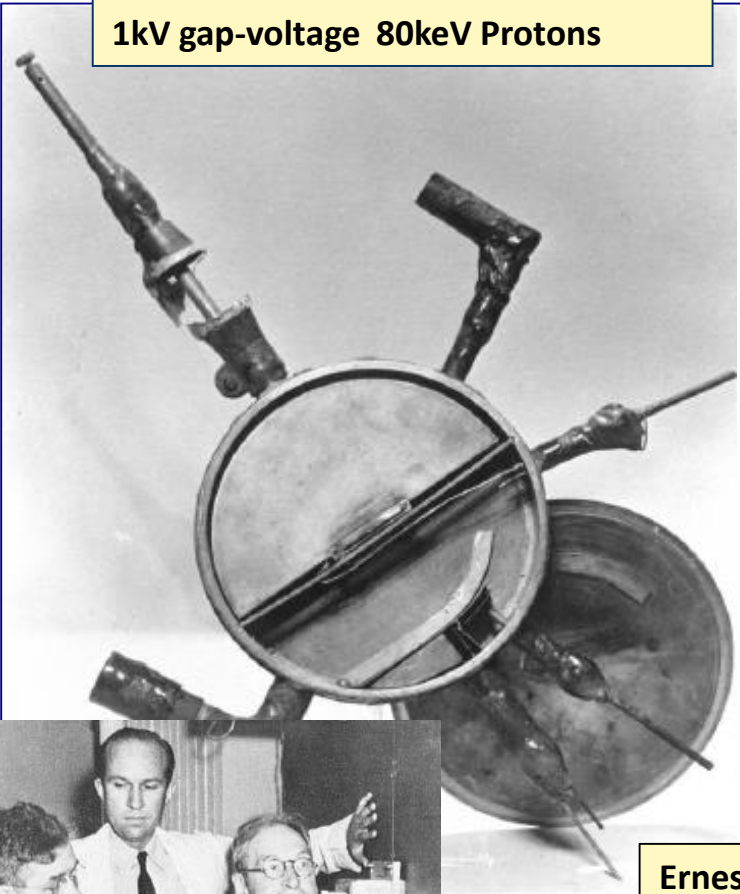
**powerful concept:**

- simplicity, compactness
- continuous injection/extraction
- multiple usage of accelerating voltage

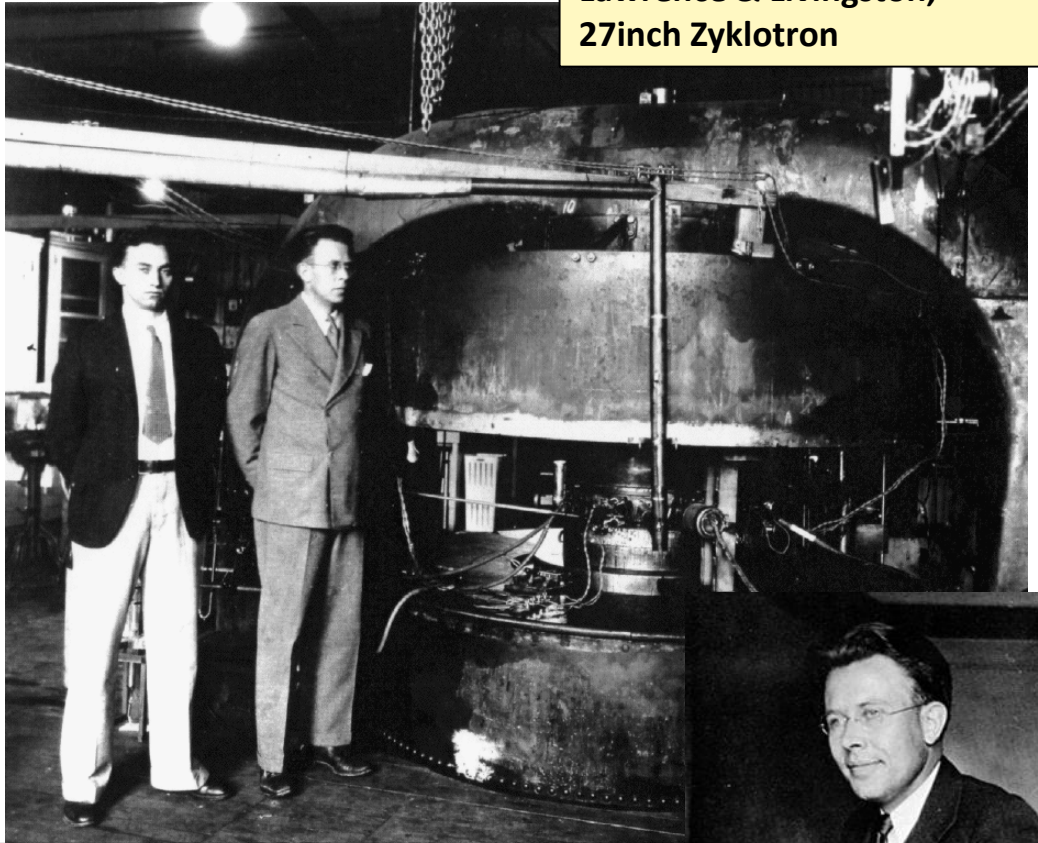


# some History ...

first cyclotron: 1931, Berkeley  
1kV gap-voltage 80keV Protons

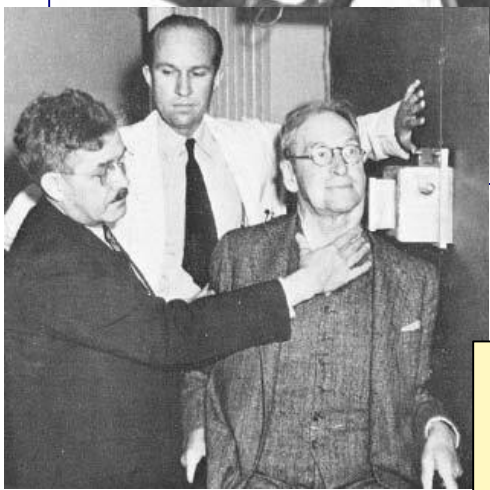


Lawrence & Livingston,  
27inch Zyklotron



Ernest Lawrence, Nobel Prize 1939  
*"for the invention and development of the cyclotron  
and for results obtained with it, especially with  
regard to artificial radioactive elements"*

John Lawrence (center), 1940'ies  
*first medical applications: treating patients with  
neutrons generated in the 60inch cyclotron*





# PSI Ring Cyclotron & Crew



# cyclotron frequency and $K$ value

- **cyclotron frequency** (homogeneous) B-field:

$$\omega_c = \frac{eB}{\gamma m_0}$$

- **cyclotron  $K$ -value:**

→  $K$  is the **kinetic energy reach** for protons **from bending strength** in non-relativistic approximation:

$$K = \frac{e^2}{2m_0} (B\rho)^2$$

→  $K$  can be used to rescale the energy reach of protons to other charge-to-mass ratios:

$$\frac{E_k}{A} = K \left( \frac{Q}{A} \right)^2$$

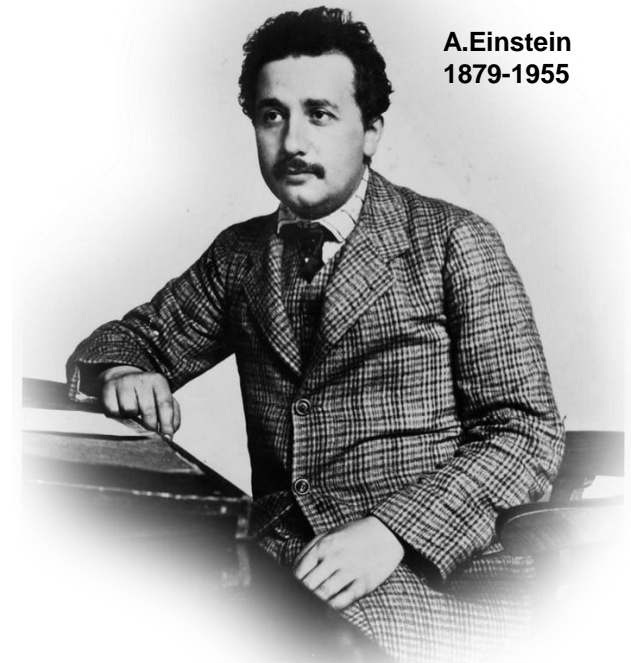
→  $K$  in [MeV] is often used for naming cyclotrons

examples:      **K-130 cyclotron / Jyväskylä**  
                    **cyclone C230 / IBA**



# relativistic quantities in the context of cyclotrons

A. Einstein  
1879-1955



**energy**

$$E = \gamma E_0$$

**kinetic energy:**

$$E_k = (\gamma - 1)E_0$$

**velocity**

$$v = \beta c$$

**momentum**

$$p = \beta \gamma m_0 c$$

**revolution time:**

$$\tau = \frac{2\pi R}{\beta c}$$

**bending strength:**

$$BR = \beta \gamma \frac{m_0 c}{e}$$

numerical example for protons

$E_k$ [MeV]	$\gamma$	$\beta$	$p$ [MeV/c]
590	1.63	0.79	1207

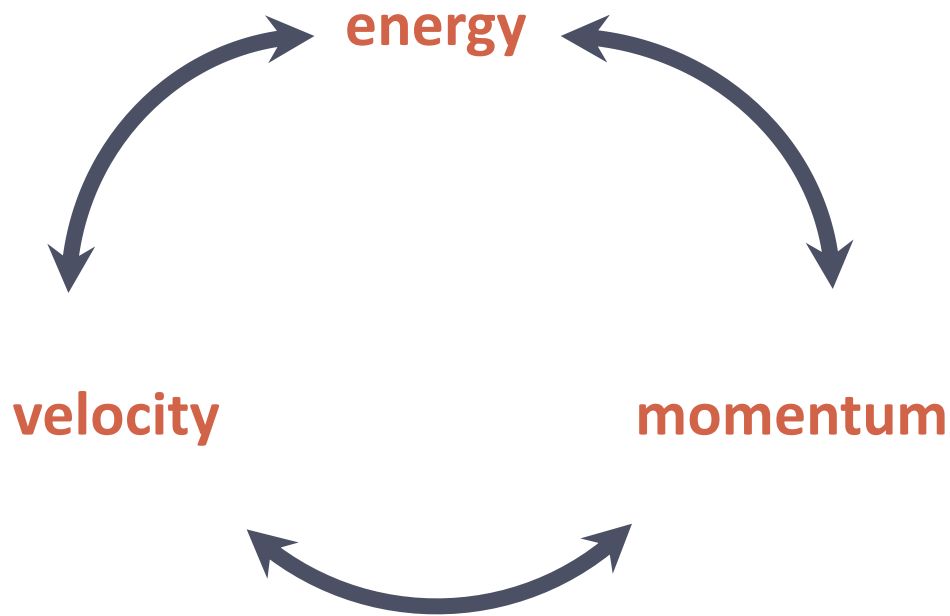
compare surface Muons:  
 $p=29.8\text{MeV}/c \rightarrow 40$  times more  
 sensitive than  $p_{590\text{MeV}}$  in same field



# useful for calculations – differential relations

$$\frac{d\beta}{\beta} = \frac{1}{\gamma(\gamma + 1)} \frac{dE_k}{E_k}$$

$$\frac{dE_k}{E_k} = \frac{\gamma + 1}{\gamma} \frac{dp}{p}$$



$$\frac{dp}{p} = \gamma^2 \frac{d\beta}{\beta}$$

example: speed gain per turn in a cyclotron; comparison to classical  $mv^2/2$

$E_k$	$\Delta E_k / \text{turn}$	$\Delta\beta/\beta$
590MeV	3.4MeV	1.3‰
	classical calculation	(2.9‰)





# cyclotron - isochronicity and scalings

continuous acceleration → revolution time should stay constant, though  $E_k$ ,  $R$  vary

magnetic rigidity:

$$BR = \frac{p}{e} = \beta\gamma \frac{m_0 c}{e}$$

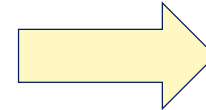
orbit radius from isochronicity:

$$R = \frac{c}{\omega_c} \beta = R_\infty \beta$$

deduced scaling of  $B$ :

$$R \propto \beta; BR \propto \beta\gamma \longrightarrow B(R) \propto \gamma(R)$$

**thus, to keep the isochronous condition,  $B$  must be raised in proportion to  $\gamma(R)$ ; this contradicts the focusing requirements!**



technical solutions discussed under sector cyclotrons

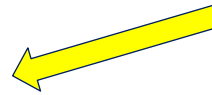


# field index

the field index describes the (normalized)  
radial slope of the bending field:

$$\begin{aligned}k &= \frac{R}{B} \frac{dB}{dR} \\ &= \frac{\beta}{\gamma} \frac{d\gamma}{d\beta} \\ &= \gamma^2 - 1\end{aligned}$$

from isochronous condition:  
 $B \propto \gamma$ ,  $R \propto \beta$



→ thus  $k > 0$  (positive slope of field) to keep beam isochronous!



# cyclotron stepwidth classical (nonrelativistic, B const)

equation of motion for ideal centroid orbit  $R$ ,  
 → relation between **energy** and **radius**

$$\begin{aligned}
 m\ddot{R} &= m\frac{v^2}{R} - qvB_z = 0 && \text{centrifugal f.} \\
 qRB_z &= \sqrt{2mE_k} && \text{Lorentz f.} \\
 \frac{dR}{R} &= \frac{1}{2} \frac{dE_k}{E_k}
 \end{aligned}$$

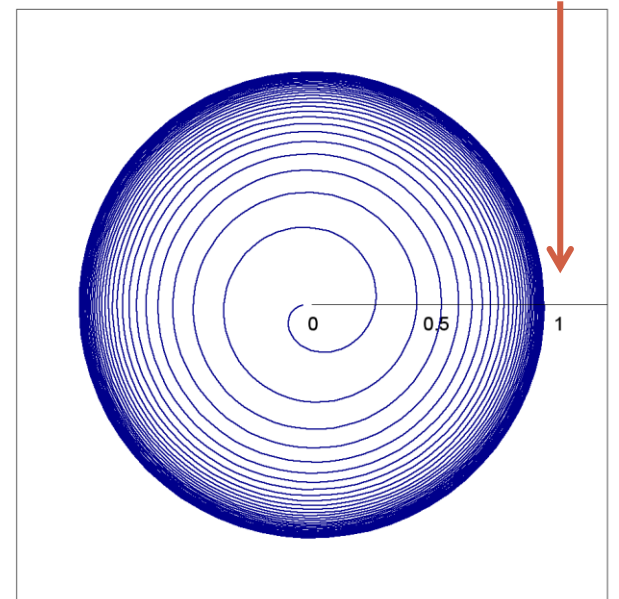
“cyclotron language”

$$R_\infty = R/\beta$$

use:  $\Delta E_k = \text{const}; B_z = \text{const}; E_k \propto R^2$

thus:  $\Delta R \propto \frac{R}{E_k} \propto \frac{1}{R}$

radius increment per turn decreases with increasing radius  
 → **extraction becomes more and more difficult at higher energies**



# focusing in a classical cyclotron

centrifugal force  $mv^2/r$



Lorentz force  $qv \times B$



$$m\ddot{r} = mr\dot{\theta}^2 - qr\dot{\theta}B_z$$

focusing: consider small deviations  $x$  from beam orbit  $R$  ( $r = R+x$ ):

$$\ddot{x} + \frac{q}{m}vB_z(R+x) - \frac{v^2}{R+x} = 0,$$

$$\ddot{x} + \frac{q}{m}v \left( B_z(R) + \frac{dB_z}{dR}x \right) - \frac{v^2}{R} \left( 1 - \frac{x}{R} \right) = 0,$$

$$\ddot{x} + \omega_c^2(1+k)x = 0.$$

using:  $\omega_c = qB_z/m = v/R$ ,  $r\dot{\theta} \approx v$ ,  $k = \frac{R}{B} \frac{dB}{dR}$



# betatron tunes in cyclotrons

thus in radial plane:

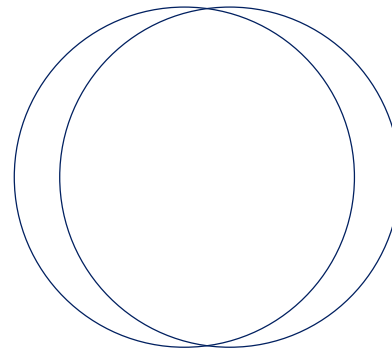
$$\omega_r = \omega_c \sqrt{1+k} = \omega_c \nu_r$$

$$\nu_r = \sqrt{1+k}$$

$$\approx \gamma$$

using isochronicity condition

note: simple case for  $k = 0$ :  $\nu_r = 1$   
 (one circular orbit oscillates w.r.t the other)

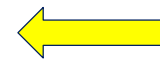


using Maxwell to relate  $B_z$  and  $B_R$ :

$$\text{rot } \vec{B} = \frac{dB_R}{dz} - \frac{dB_z}{dR} = 0$$

in vertical plane:

$$\nu_z = \sqrt{-k}$$



$k < 0$  to obtain  
vertical focus.

**thus: in classical cyclotron  $k < 0$  required for vert. focus;  
 however **this violates isochronous condition**  $k = \gamma^2 - 1 > 0$**



# concepts of cyclotrons to establish ...

## 1.) resonant acceleration

- limit energy / ignore problem  
[classical cyclotron]

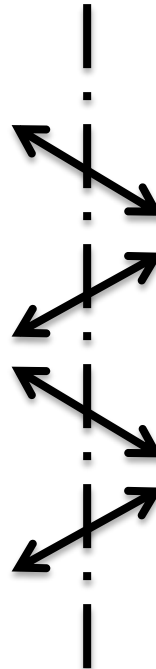
- frequency is varied  
[synchro- cyclotron]

- avg. field slope positive  
[isochronous cyclotron]

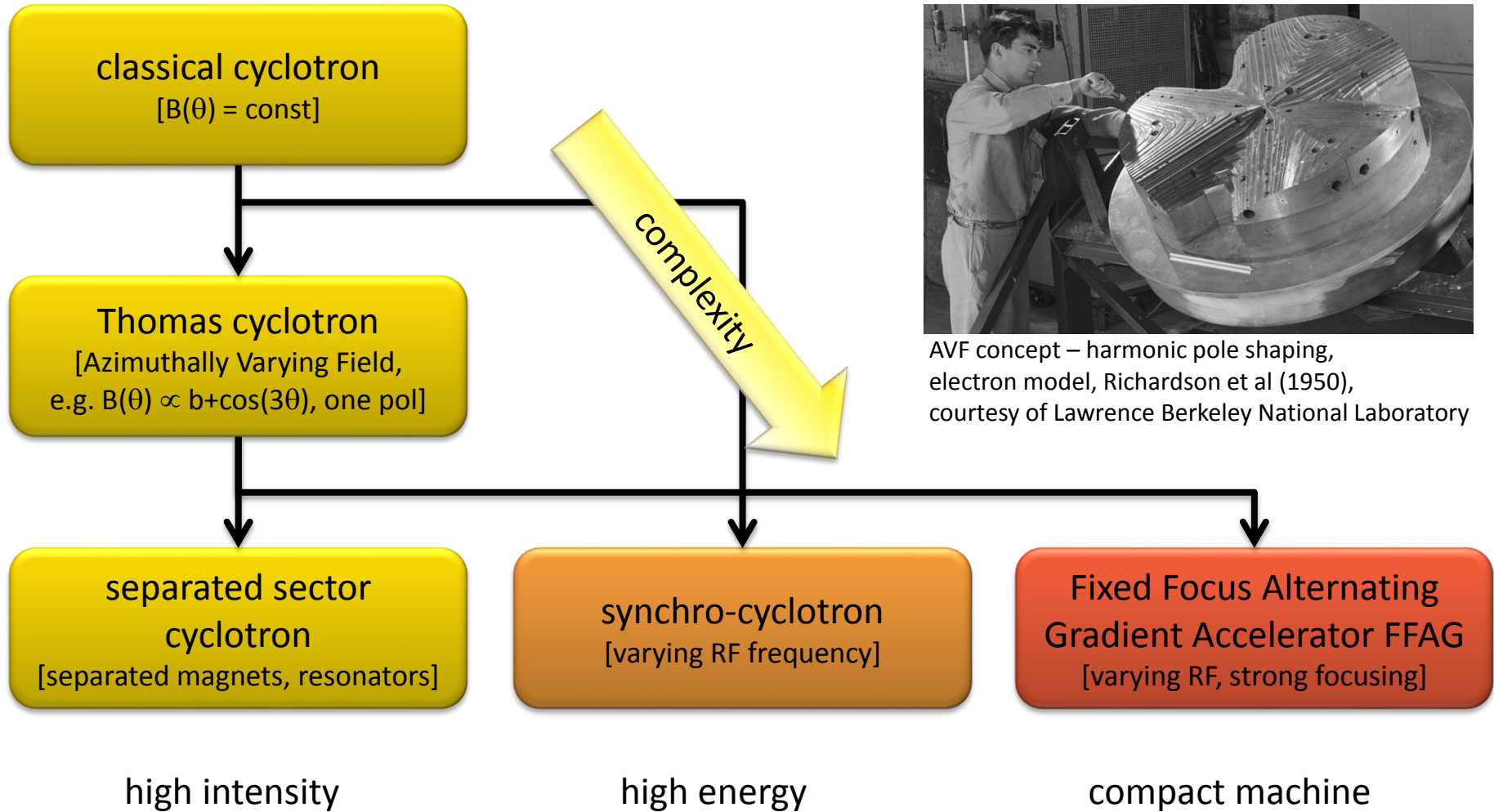
## 2.) transverse focusing

- negative field slope  
[classical cyclotron]

- focusing by flutter, spiral angle  
[AVF-/Thomas-/sector cyclotron]



# classification of cyclotron like accelerators



AVF concept – harmonic pole shaping, electron model, Richardson et al (1950), courtesy of Lawrence Berkeley National Laboratory



## next: **synchro-cyclotrons**

- concept and properties
- frequency variation and synchronous phase
- an example for a modern synchrocyclotron

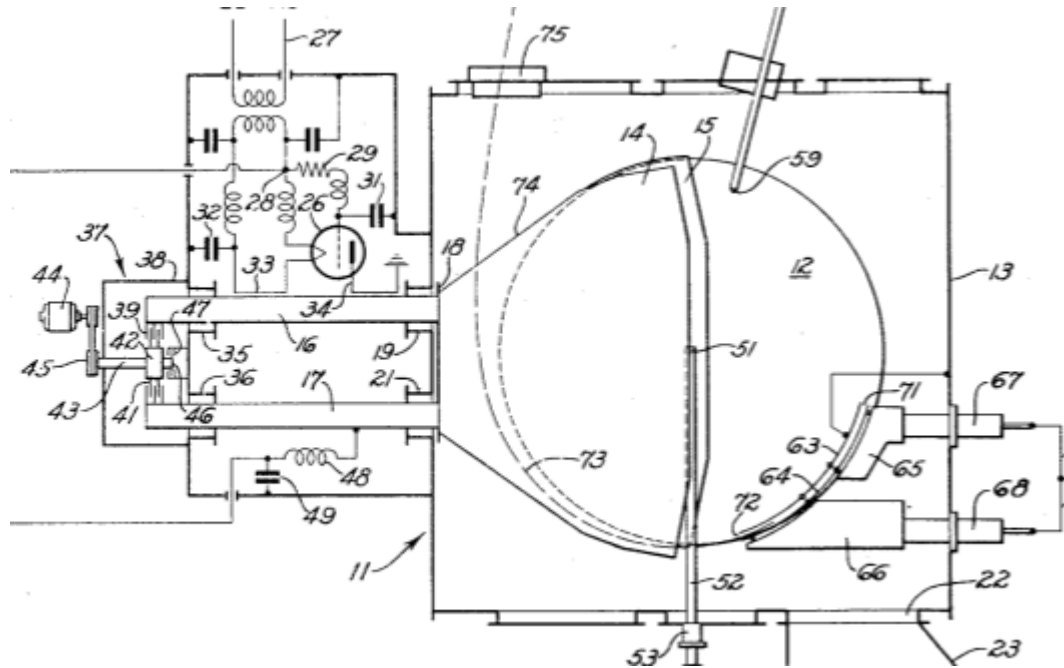
*exciting  
coil*

*pole  
piece*





# Synchrocyclotron -concept



first proposal by  
Mc.Millan, Berkeley

- accelerating frequency is variable, is reduced during acceleration
- negative field index (= negative slope) ensures sufficient focusing
- operation is pulsed, thus avg. intensity is low
- bending field constant in time, thus rep. rate high, e.g. 1kHz

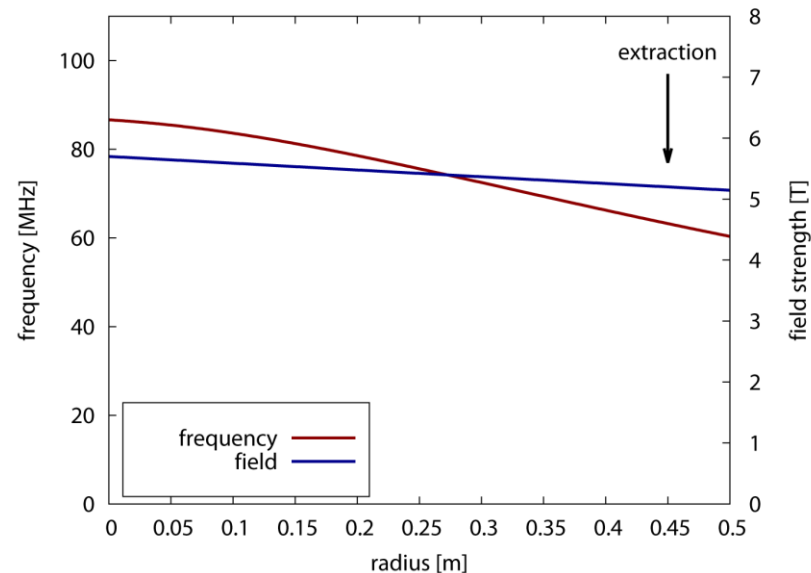


# Synchrocyclotron continued

advantages	disadvantages
<ul style="list-style-type: none"><li>- high energies possible (<math>\geq 1\text{Gev}</math>)</li><li>- focusing by field gradient, no complicated flutter required <math>\rightarrow</math> thus compact magnet</li><li>- only RF is cycled, fast repetition as compared to synchrotron</li></ul>	<ul style="list-style-type: none"><li>- low intensity, at least factor 100 less than CW cyclotron</li><li>- complicated RF control required</li><li>- weak focusing, large beam</li></ul>

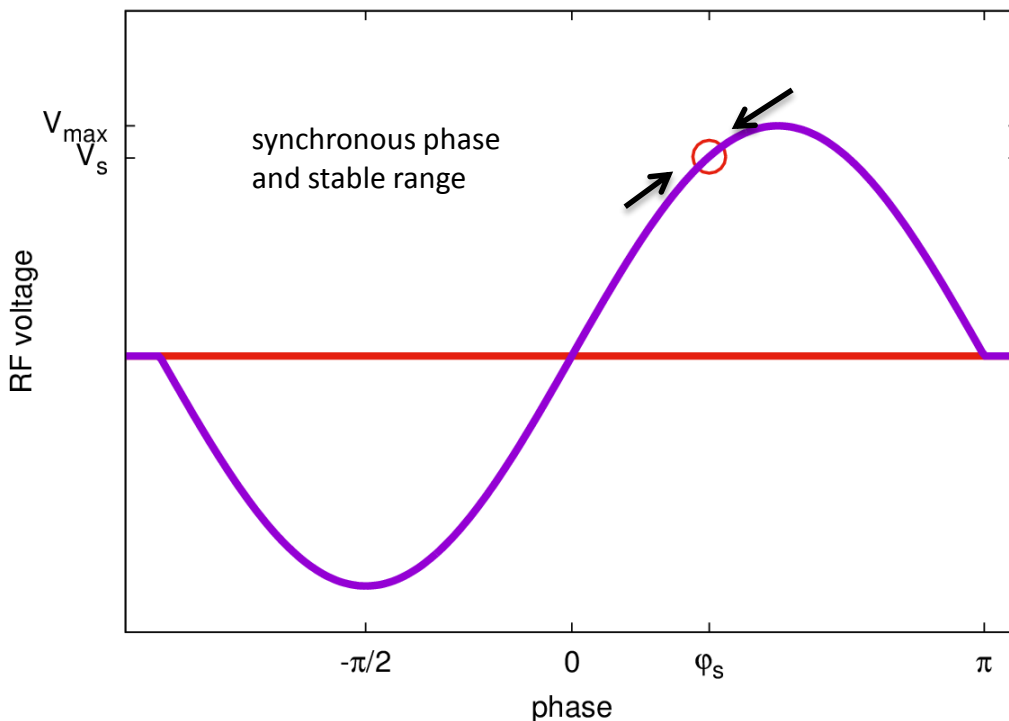
**numerical example  
field and frequency vs.  
radius:**

- 230MeV p, strong field
- RF curve must be programmed in some way



# Synchrocyclotron and synchronous phase

- internal source generates continuous beam; only a fraction is captured by RF wave in a phase range around a synchronous particle
- in comparison to a synchrotron the “storage time” is short, thus in practice no synchrotron oscillations



relation of  
energy gain per turn and  
rate of frequency change

$$\frac{qU_0 N \cos \varphi_s}{E_k + E_0} = -\frac{2\pi}{\omega^2} \frac{d\omega}{dt}$$



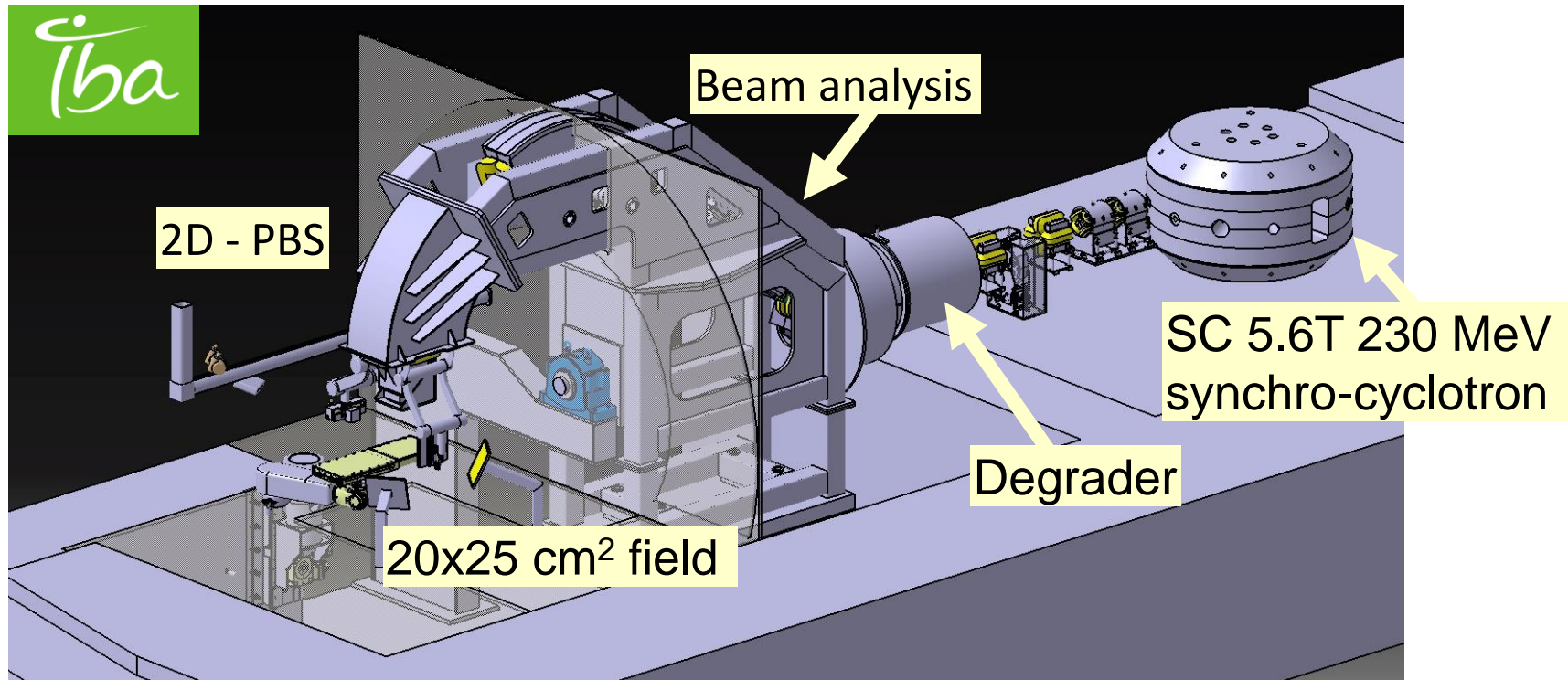
# A modern synchrocyclotron for medical application – IBA S2C2

→ at the same energy synchrocyclotrons can be build more compact and with lower cost than sector cyclotrons; however, the achievable current is significantly lower

energy	230 MeV
current	20 nA
dimensions	Ø2.5 m x 2 m
weight	< 50 t
extraction radius	0.45 m
s.c. coil strength	5.6 Tesla
RF frequency	90...60 MHz
repetition rate	1 kHz

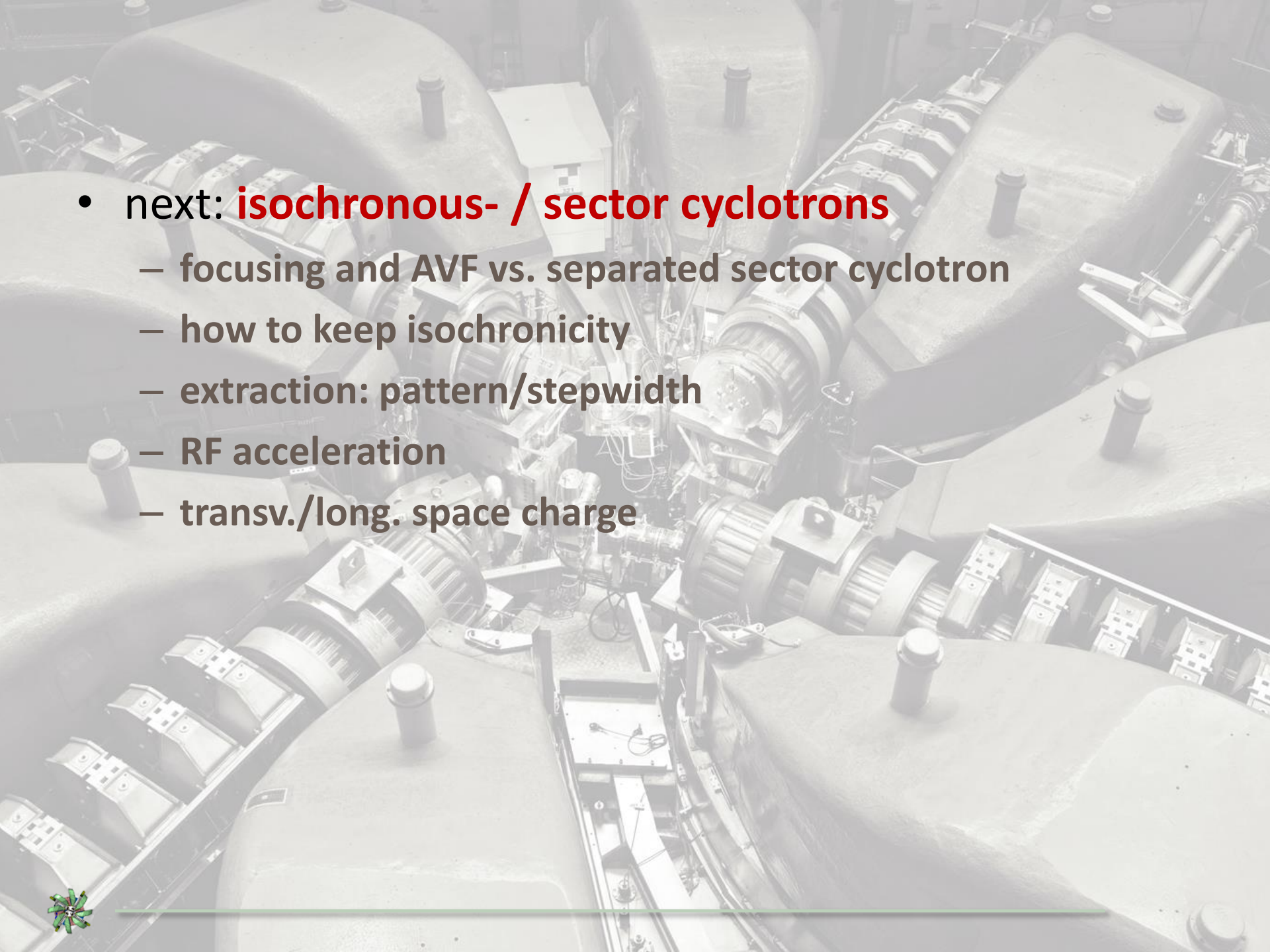


# compact treatment facility using the high field synchro-cyclotron



- required area: 24x13.5m<sup>2</sup> (is small)
- 2-dim pencil beam scanning



- 
- next: **isochronous- / sector cyclotrons**
    - focusing and AVF vs. separated sector cyclotron
    - how to keep isochronicity
    - extraction: pattern/stepwidth
    - RF acceleration
    - transv./long. space charge

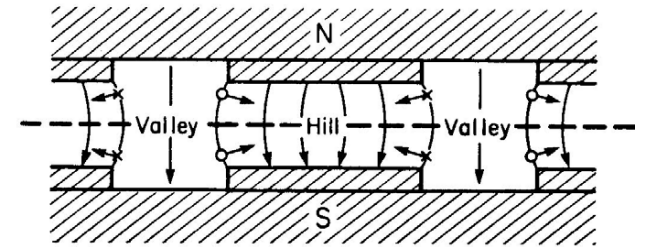


# focusing in sector cyclotrons

hill / valley variation of magnetic field (Thomas focusing) makes it possible to design cyclotrons for higher energies

Flutter factor:

$$F^2 = \frac{\overline{B_z^2} - \overline{B_z}^2}{\overline{B_z}^2}$$

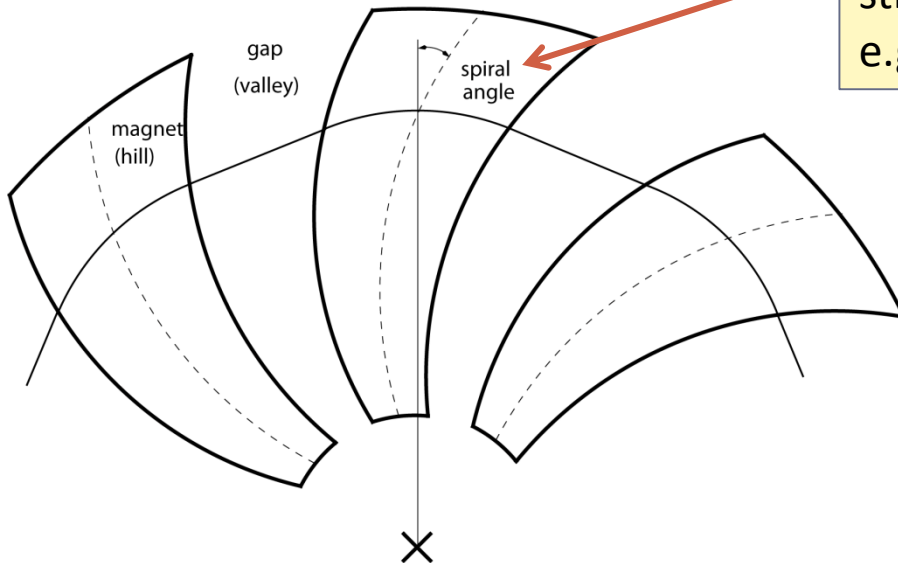


[illustration of focusing at edges]

with flutter and additional spiral angle of bending field:

$$\nu_z^2 = -\frac{R}{B_z} \frac{dB_z}{dR} + F^2(1 + 2 \tan^2 \delta)$$

strong term  
e.g.:  $\delta=27^\circ$ :  $2 \tan^2 \delta = 1.0$

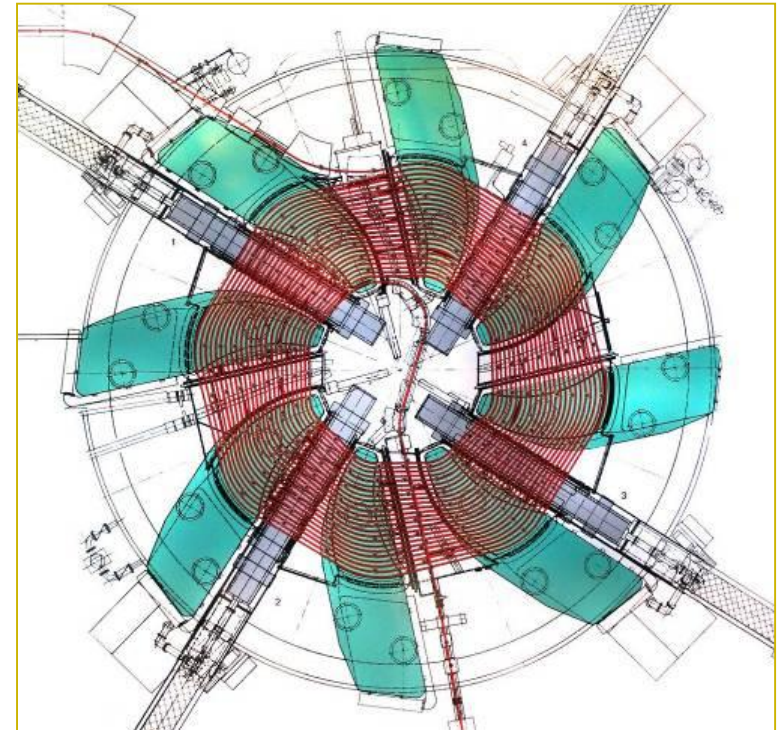


# Azimuthally Varying Field vs. Separated Sector Cyclotrons



PSI/Varian comet: 250MeV sc. medical cyclotron

- **AVF = single pole with shaping**
- often **spiral poles** used
- **internal source** possible
- **D-type RF electrodes**, rel. low energy gain
- **compact**, cost effective
- depicted Varian cyclotron: 80% extraction efficiency; **not suited for high power**



PSI Ring cyclotron

- **modular layout**, larger cyclotrons possible, sector magnets, box resonators, stronger focusing, injection/extraction in straight sections
- **external injection** required, i.e. pre-accelerator
- **box-resonators** (high voltage gain)
- high **extraction efficiency** possible:  
e.g. PSI: 99.98% =  $(1 - 2 \cdot 10^{-4})$



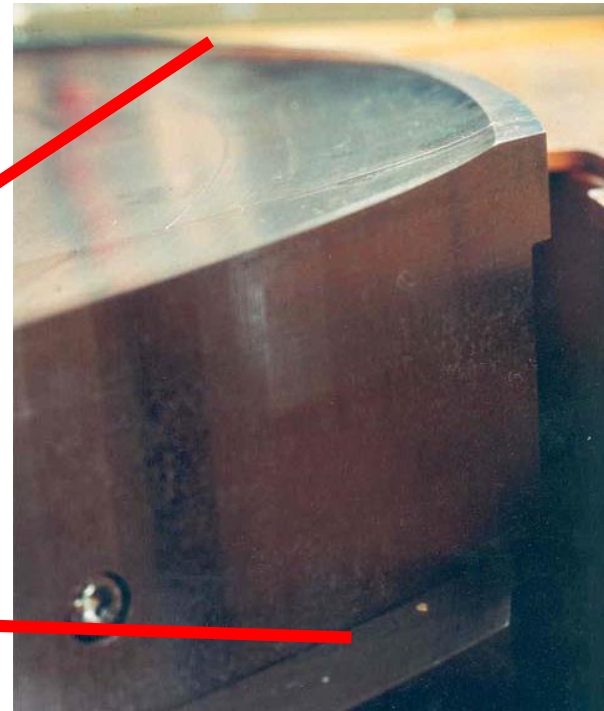
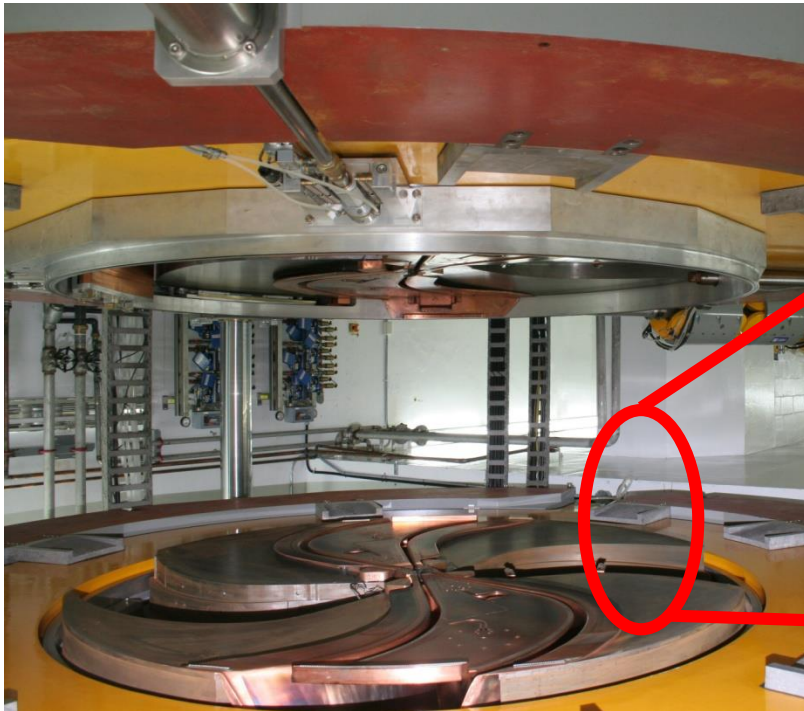


# three methods to raise the average magnetic field with $\gamma$

remember:

rev.time :	$R$	$\propto$	$\beta$
momentum :	$BR$	$\propto$	$\beta\gamma$
thus :	$B$	$\propto$	$\gamma$

- 1.) broader hills (poles) with radius
- 2.) **decrease pole gap with radius**
- 3.) s.c. coil arrangement to enhance field at large radius (in addition to iron dominated field)

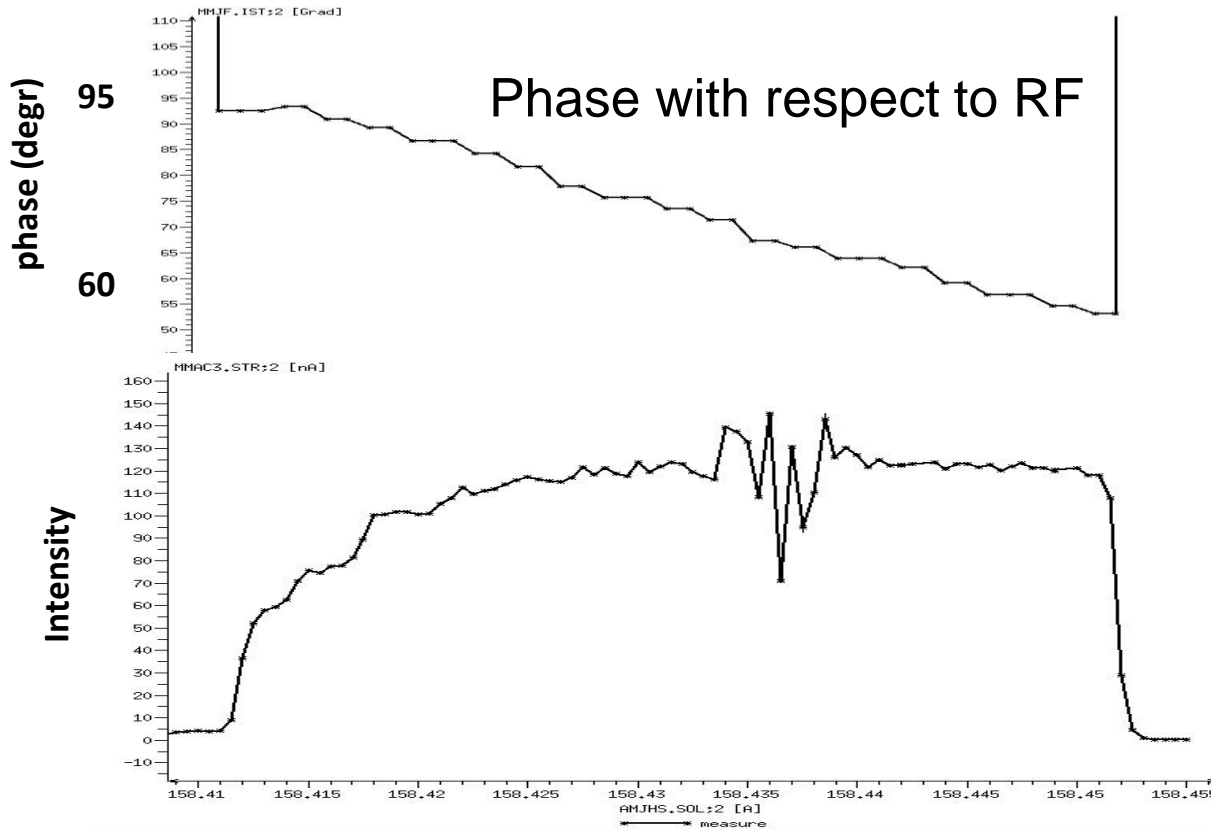


(photo: S. Zarembo, IBA)



# field stability is critical for isochronicity

example: medical Comet cyclotron (PSI)



$$\Delta\phi_{RF} \propto n_{\text{turn}} \frac{\Delta B}{B}$$

e.g. :  $n_{\text{turn}} = 600$

158.41

158.43

158.45

Current in main coil (A)



# derivation of turn separation in a cyclotron

starting point: bending strength

→ compute total log.differential

→ use field index  $k = R/B \cdot dB/dR$

$$BR = \sqrt{\gamma^2 - 1} \frac{m_0 c}{e}$$

$$\frac{dB}{B} + \frac{dR}{R} = \frac{\gamma d\gamma}{\gamma^2 - 1}$$

$$\frac{dR}{d\gamma} = \frac{\gamma R}{\gamma^2 - 1} \frac{1}{1 + k}$$

radius change per turn

$$\frac{dR}{dn_t} = \frac{dR}{d\gamma} \frac{d\gamma}{dn_t} \quad [U_t = \text{energy gain per turn}]$$

$$= \frac{U_t}{m_0 c^2} \frac{\gamma R}{(\gamma^2 - 1)(1 + k)} \quad \left. \vphantom{\frac{U_t}{m_0 c^2}} \right\} \text{isochronicity not conserved (last turns)}$$

$$= \frac{U_t}{m_0 c^2} \frac{R}{(\gamma^2 - 1)\gamma} \quad \left. \vphantom{\frac{U_t}{m_0 c^2}} \right\} \text{isochronicity conserved (general scaling)}$$



# turn separation - discussion

for clean extraction a large stepwidth (turn separation) is of utmost importance; in the PSI Ring most efforts were directed towards maximizing the turn separation

general scaling at extraction:

$$\Delta R(R_{\text{extr}}) = \frac{U_t}{m_0 c^2} \frac{R_{\text{extr}}}{(\gamma^2 - 1)\gamma}$$

desirable:

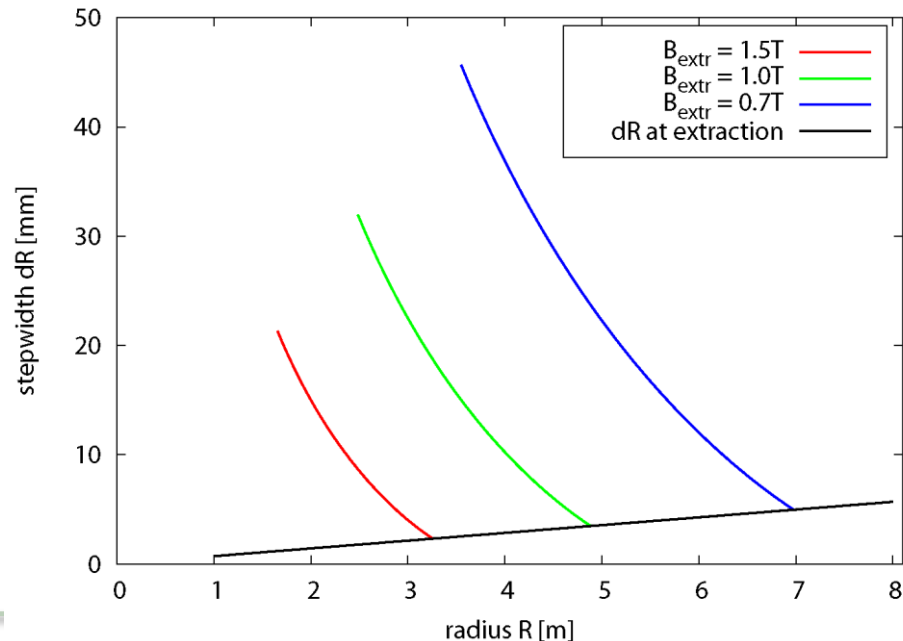
- limited energy (< 1GeV)
- large radius  $R_{\text{extr}}$
- high energy gain  $U_t$

scaling during acceleration:

$$\frac{dR}{dn_t} \approx \frac{U_t}{m_0 c^2} \frac{R}{\beta^2} \rightarrow \Delta R(R) \propto \frac{1}{R}$$

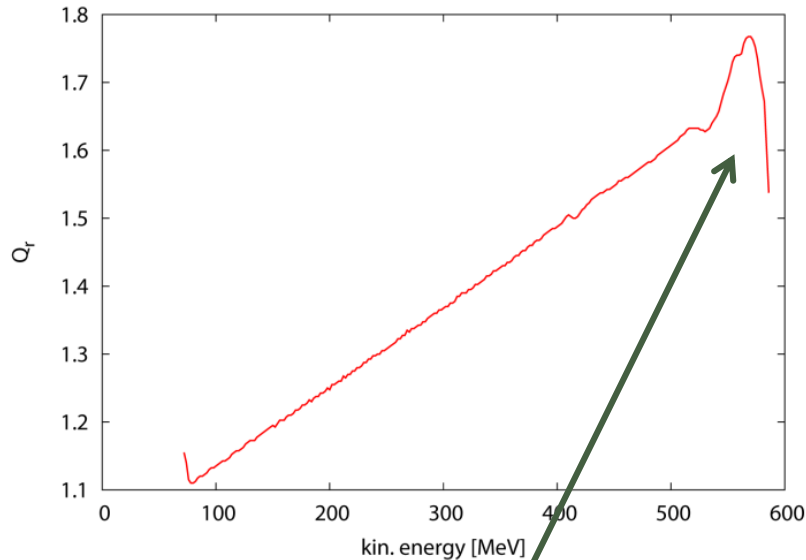
illustration:

**stepwidth vs. radius** in cyclotrons of different sizes but same energy;  
100MeV inj → 800MeV extr



# extraction with off-center orbits

betatron oscillations around the “closed orbit” can be used to increase the radial stepwidth by a factor 3 !

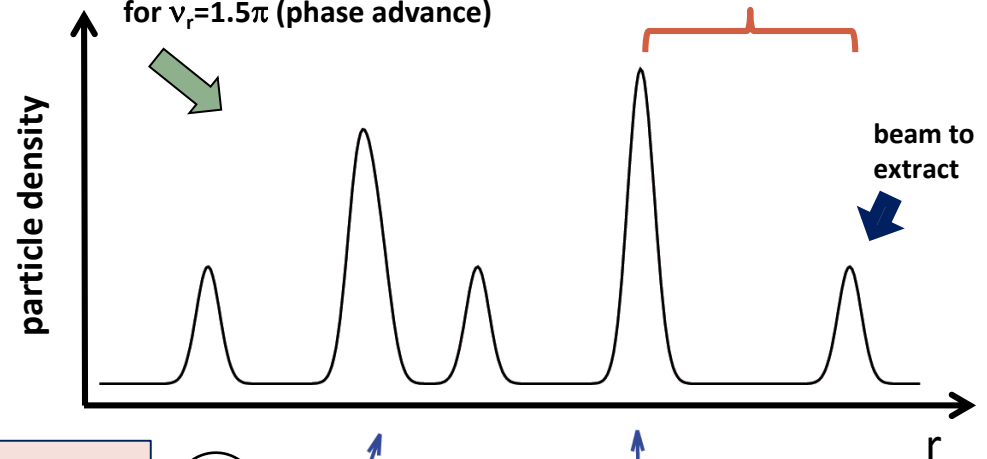


**radial tune vs. energy (PSI Ring)**  
typically  $\nu_r \approx \gamma$  during acceleration;  
but decrease in outer fringe field

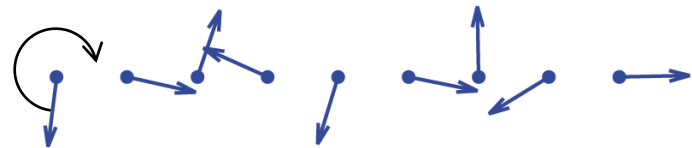
**without orbit oscillations:** stepwidth from  $E_k$ -gain (PSI: 6mm)



**with orbit oscillations:** extraction gap; up to 3 x stepwidth possible for  $\nu_r = 1.5\pi$  (phase advance)

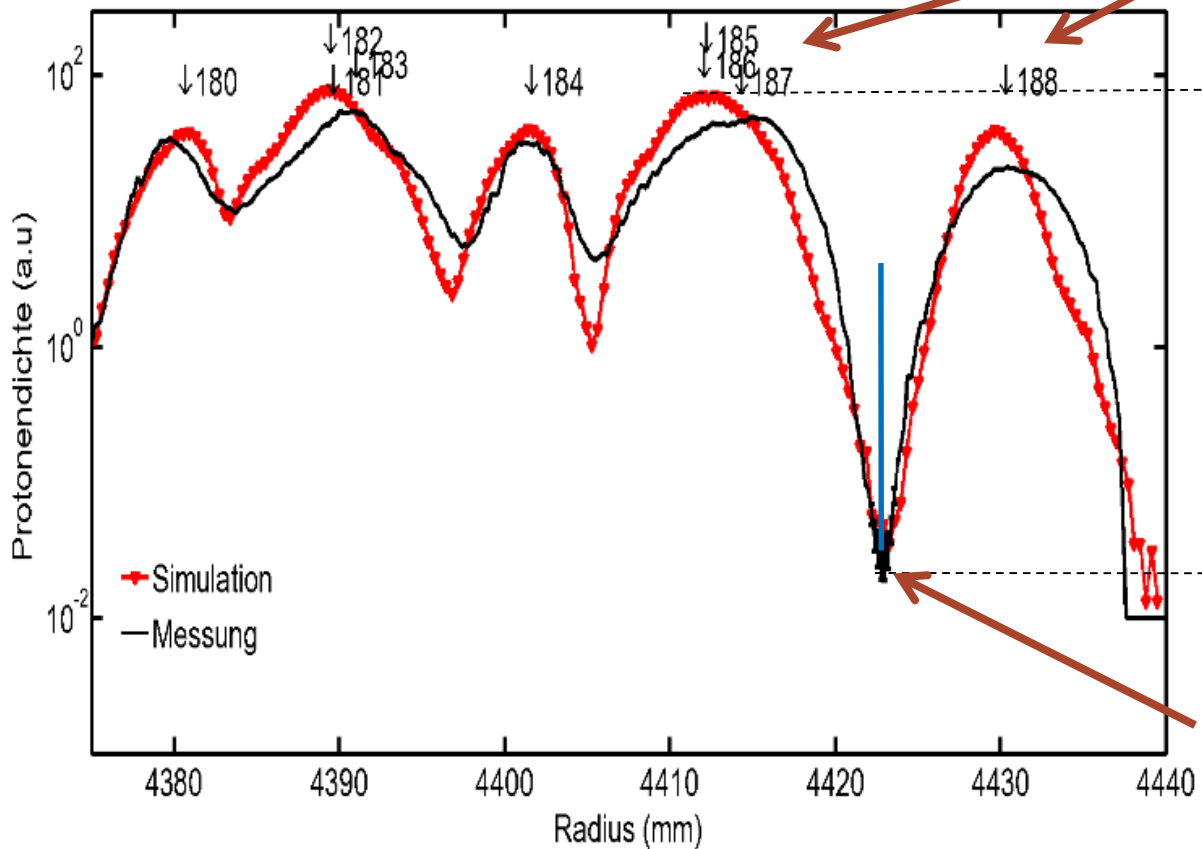


phase vector of orbit oscillations ( $r, r'$ )



# extraction profile measured at PSI Ring Cyclotron

red: tracking simulation [OPAL]  
black: measurement



turn numbers  
from simulation

dynamic range:  
factor 2.000 in  
particle density

position of extraction septum  
d=50 $\mu$ m

[Y.Bi et al]

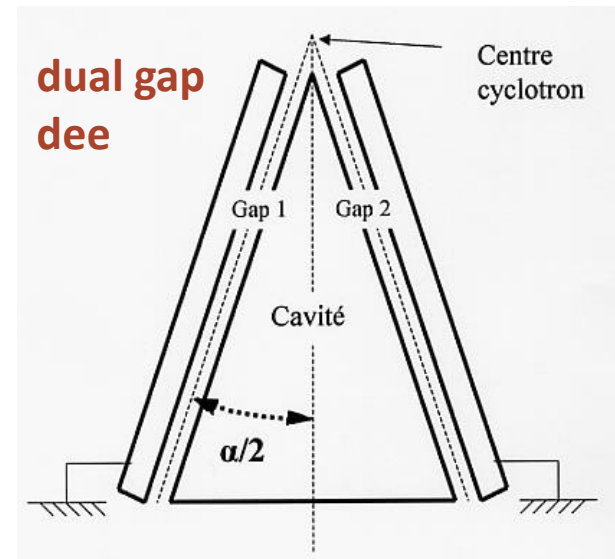
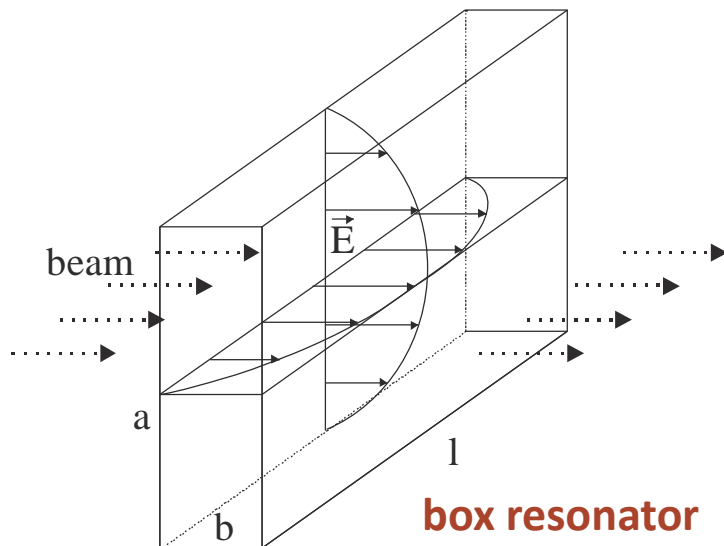


# RF acceleration

- acceleration is realized in the classical way using 2 or 4 “Dees”
- or by box resonators in separated sector cyclotrons
- frequencies typically around 50...100MHz, **harmonic numbers**  $h = 1...10$
- voltages 100kV...1MV per device

RF frequency can be a multiple of the cyclotron frequency:

$$\omega_{\text{RF}} = h \cdot \omega_c$$

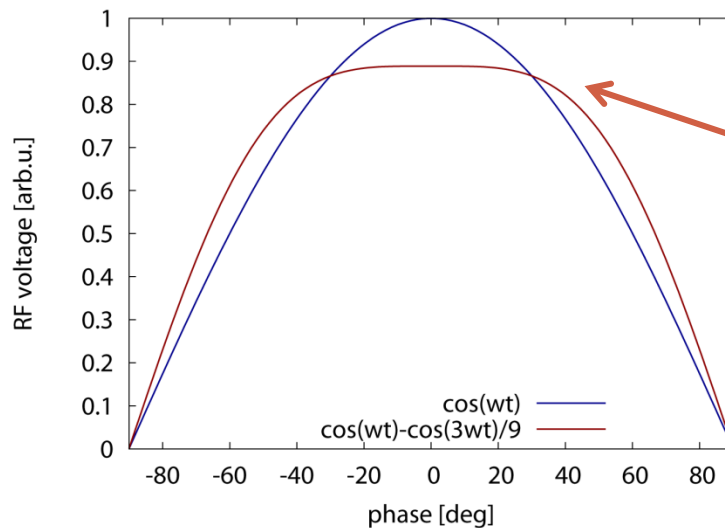


# RF and Flattop Resonator

for high intensities it is necessary to flatten the RF field over the bunch length

→ use 3<sup>rd</sup> harmonic cavity to generate a flat field (over time)

optimum condition:  $U_{\text{tot}} = \cos \omega t - \frac{1}{9} \cos 3\omega t$



broader flat region for bunch





# longitudinal space charge

## sector model (W.Joho, 1981):

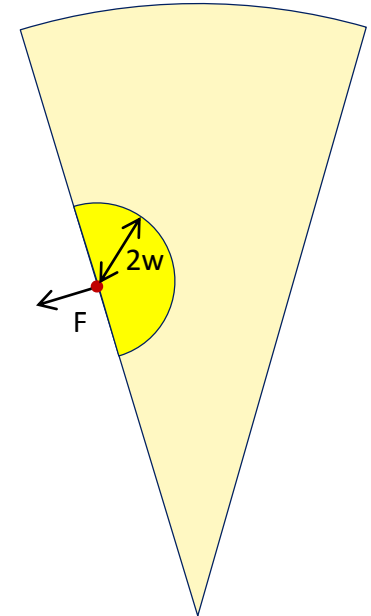
- accumulated energy spread transforms into transverse tails
- consider rotating uniform sectors of charge (overlapping turns)
- test particle “sees” only fraction of sector due to shielding of vacuum chamber with gap height  $2w$

two factors are proportional to the number of turns:

- 1) the charge density in the sector
- 2) the time span the force acts

$$\Delta U_{sc} = \frac{8}{3} e I_p Z_0 \ln \left( 4 \frac{w}{a} \right) \cdot \frac{n_{\max}^2}{\beta_{\max}} \approx 2.800 \Omega \cdot e I_p \cdot \frac{n_{\max}^2}{\beta_{\max}}$$

derivation see: [High Intensity Aspects of Cyclotrons, ECPM-2012, PSI](#)



in addition:

- 3) the inverse of turn separation at extraction:  $\frac{1}{\Delta R_{\text{extr}}} \propto n_{\max}$

► thus the attainable current at constant losses scales as  $n_{\max}^{-3}$

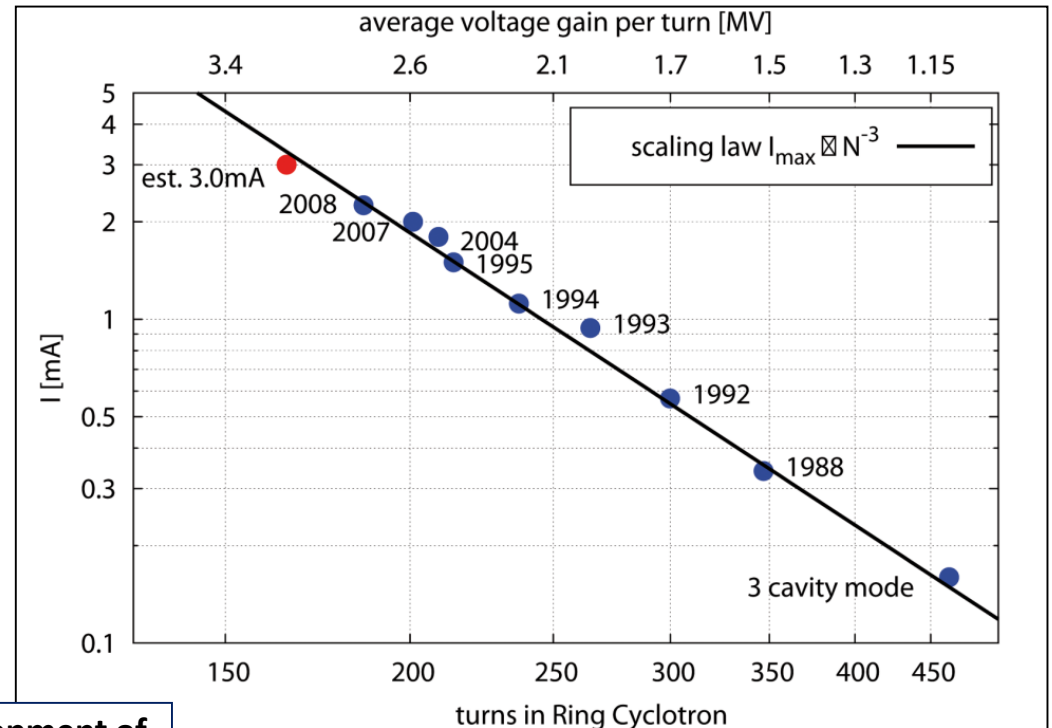


# longitudinal space charge; evidence for third power law

- at PSI the maximum attainable current indeed scales with the third power of the turn number
- maximum energy gain per turn is of utmost importance in this type of high intensity cyclotron

→ with constant losses at the extraction electrode the maximum attainable current indeed scales as:

$$I_{\max} \propto n_t^{-3}$$



historical development of current and turn numbers in PSI Ring Cyclotron



# different regime for very short bunches: formation of circular bunch

## in theory

strong space charge within a bending field leads to rapid  
cycloidal motion around bunch center  
[Chasman & Baltz (1984)]

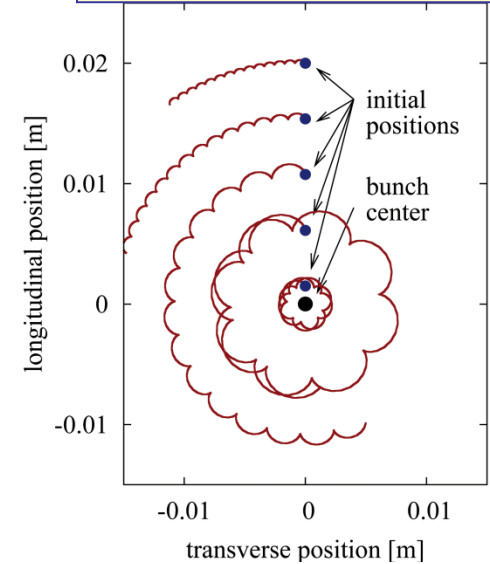
→ bound motion; circular equilibrium beam distribution

→ **see Ch.Baumgarten, Phys. Rev. ST Accel. Beams 14, 114201**

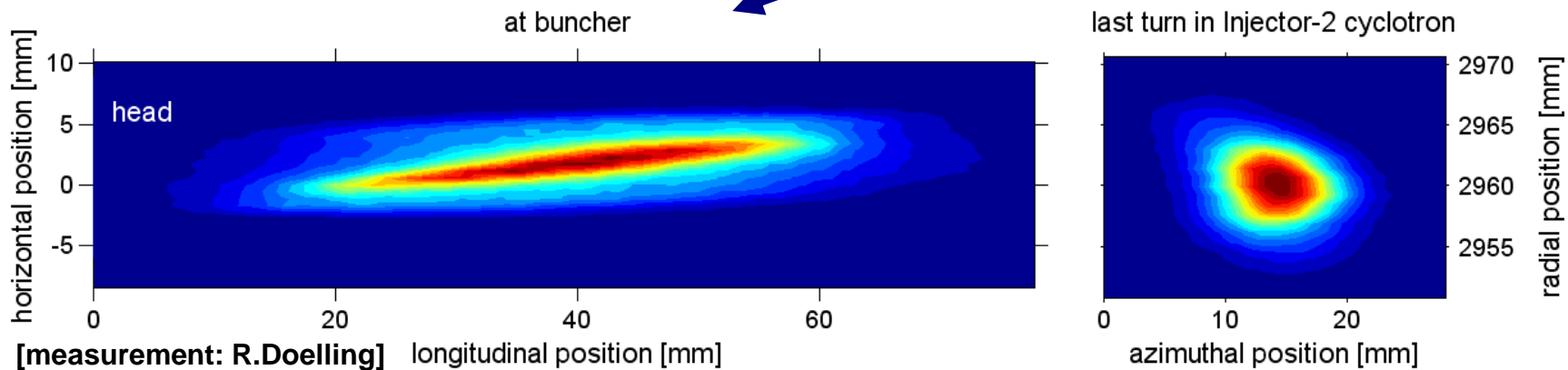
## in practice

time structure measurement in injector II cyclotron → circular  
bunch shape observed

**simplified model:  
test charge in bunch field with  
vertically oriented bending field**



blowup in ~20m drift



# transverse space charge

with overlapping turns use current sheet model!

vertical force from space charge:  $F_y = \frac{n_v e^2}{\epsilon_0 \gamma^2} \cdot y$ ,  $n_v = \frac{N}{(2\pi)^{\frac{3}{2}} \sigma_y D_f R \Delta R}$   
[constant charge density,  $D_f = I_{\text{avg}}/I_{\text{peak}}$ ]

focusing force:  $F_y = -\gamma m_0 \omega_c^2 \nu_{y0}^2 \cdot y$

thus, eqn. of motion:  $\ddot{y} + \left( \omega_c^2 \nu_{y0}^2 - \frac{n_v e^2}{\epsilon_0 m_0 \gamma^3} \right) y = 0$

→ equating space charge and focusing force delivers an **intensity limit for loss of focusing!**

tune shift from forces:  $\Delta \nu_y \approx -n_v \frac{2\pi r_p R^2}{\beta^2 \gamma^3 \nu_{y0}}$   
 $\approx -\sqrt{2\pi} \frac{r_p R}{e \beta c \nu_{y0} \sigma_z} \frac{m_0 c^2}{U_t} I_{\text{avg}}$



# Beam dynamics modeling for high intensity beams in cyclotrons – general comments

## Multiscale / Multiresolution

- Maxwell's equations in 3D or reduced set combined with particles; large and complex structures (field computations)
- many particles problem,  $n \sim 10^9$  per bunch in case of PSI
- Spatial scales:  $10^{-4} \dots 10^4\text{m} \rightarrow O(1E5)$  integration steps; advanced numerical methods; parallel computing
- neighboring bunches (Cyclotrons & FFAG)

## Multiphysics

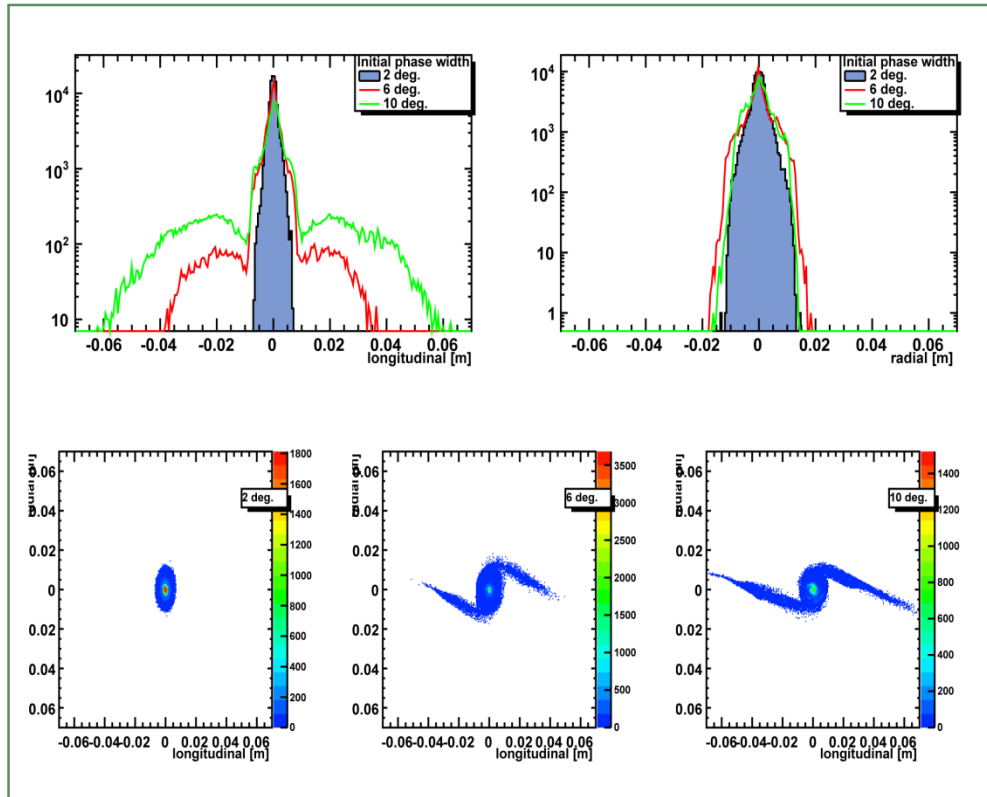
- particle matter interaction, simulation of scattering
- field emission in resonators
- secondary particles

at PSI development of **OPAL** code with many extensions in recent years  
see: [amas.web.psi.ch](http://amas.web.psi.ch)

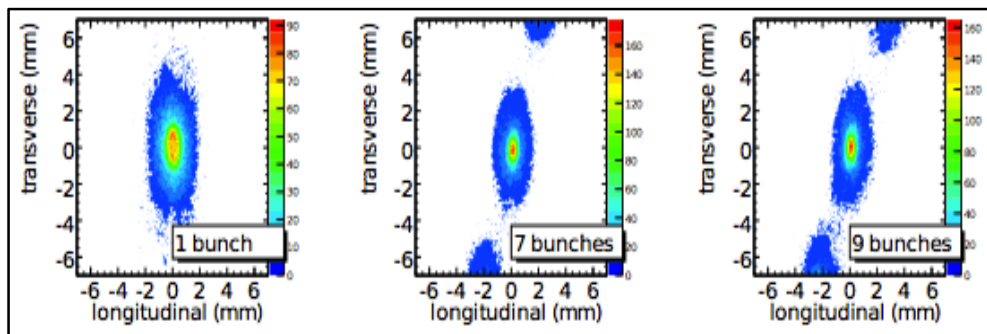
[A.Adelmann]



# examples of OPAL simulations in PSI Ring



distribution with **varying initial length** after 100 turns → short bunch stays compact, no tails!



tracking with 0, 6, 8 neighboring bunches;  
considered bunch shows slight compression when taking neighbours into account [J.Yang, A.Adelmann]



# Outlook: Cyclotrons II

- cyclotron subsystems  
extraction schemes, RF systems/resonators, magnets, vacuum issues, instrumentation
- applications and examples of existing cyclotrons  
TRIUMF, RIKEN SRC, PSI Ring, PSI medical cyclotron
- discussion  
classification of circular accelerators, cyclotron vs. FFAG,  
Pro's and Con's of cyclotrons for different applications

