

# Collective Effect I (Space Charge)

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# The dynamics of particles follow the Lorenz law

$$\frac{d\vec{p}}{dt} = e\vec{E} + e\vec{v} \times \vec{B}$$

$$\vec{p} = m\gamma\vec{v}$$

E,B can be external field. From magnets and RF systems

But E,B can be field also generated by the beam itself

The beam generate the fields  $B$ ,  $E$   
through Maxwell laws

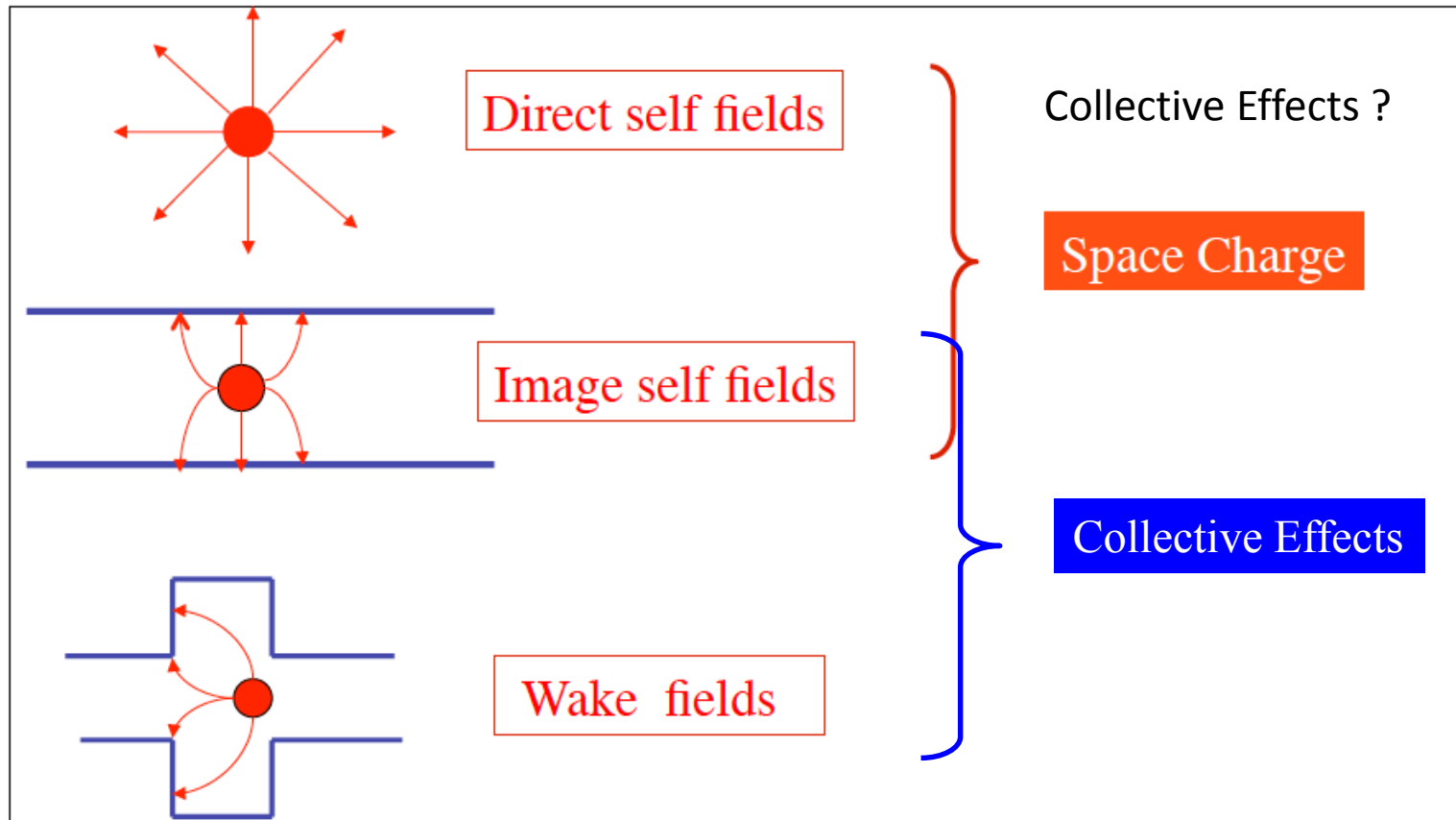
$$\nabla \cdot \vec{E} = \frac{\rho}{\epsilon_0}$$

$$\nabla \cdot \vec{B} = 0$$

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

$$\nabla \times \vec{B} = \mu_0 \vec{J} + \mu_0 \epsilon_0 \frac{\partial \vec{E}}{\partial t}$$

# Type of fields



# How does it look?

The dynamics of each particle follows the equation

$$\frac{d\vec{p}}{dt} = \underbrace{e\vec{E}_{RF} + e\vec{v} \times \vec{B}_M}_{\text{External fields}} + \underbrace{e\vec{E}_b + e\vec{v} \times \vec{B}_b}_{\text{The origin of the fields is dependent on the beam itself}}$$

the origin of the fields is independent on the beam.  
External fields

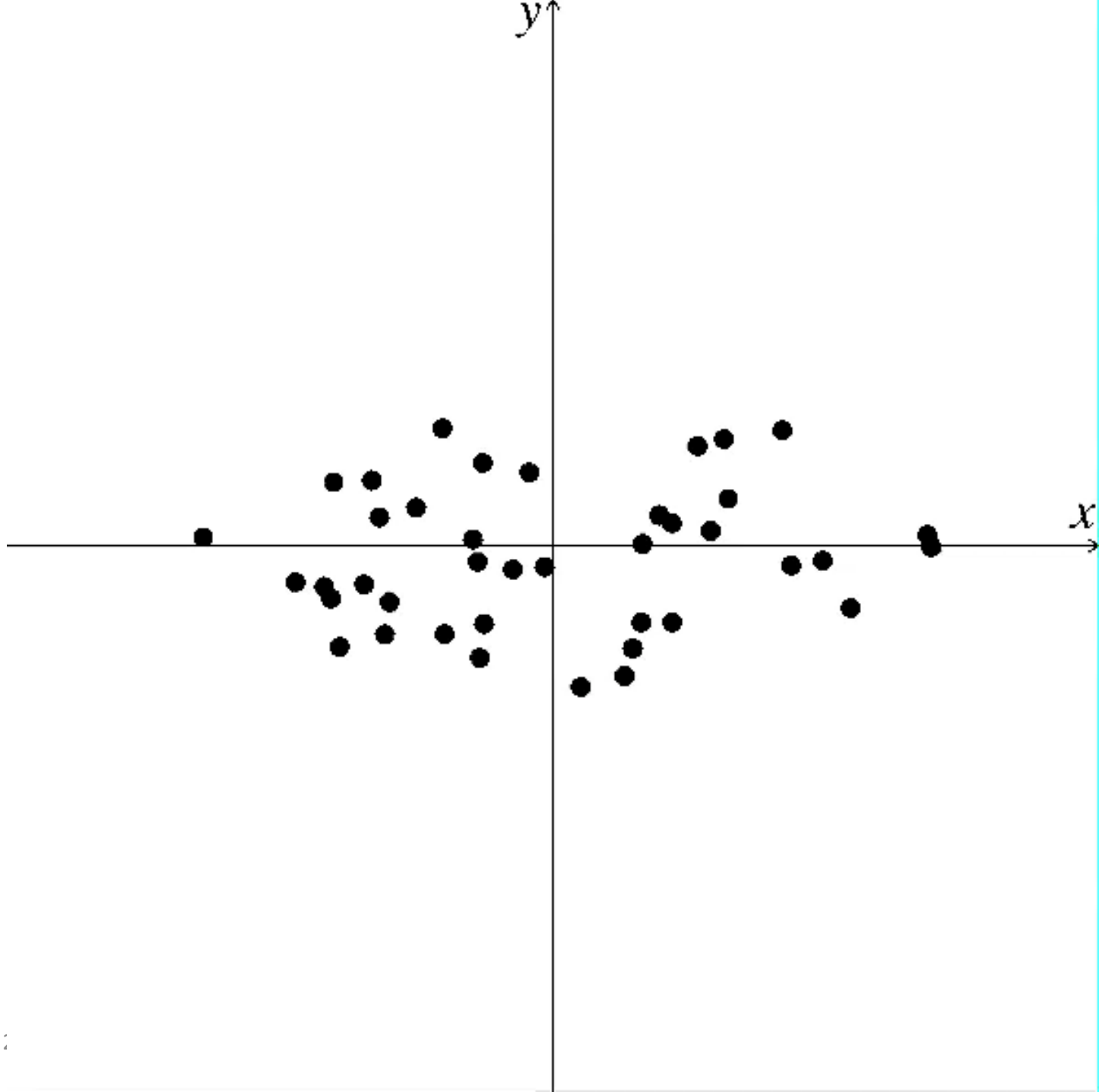
The origin of the fields is dependent on the beam itself

# Final form of the transverse equation of motion with space charge

$$\frac{d^2 x}{ds^2} + k_x x = \left( \frac{e}{m\gamma v_0^2} \vec{E}_b + \frac{e}{m\gamma v_0^2} \vec{v} \times \vec{B}_b \right)_x$$
$$\frac{d^2 y}{ds^2} + k_y y = \left( \frac{e}{m\gamma v_0^2} \vec{E}_b + \frac{e}{m\gamma v_0^2} \vec{v} \times \vec{B}_b \right)_y$$



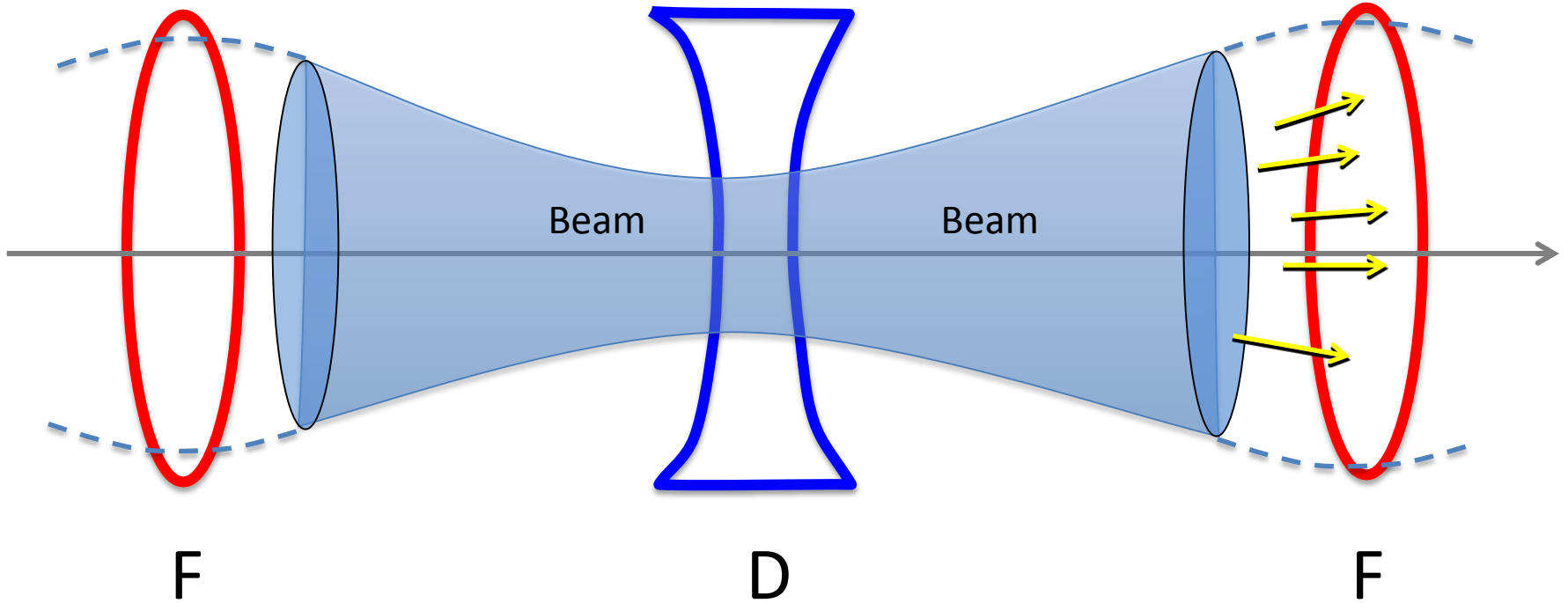
$K_x, K_y$  govern the linear optics



# Model of beam

We neglect the longitudinal forces.

Locally the beam can be seen as a “piece” of a coasting beam

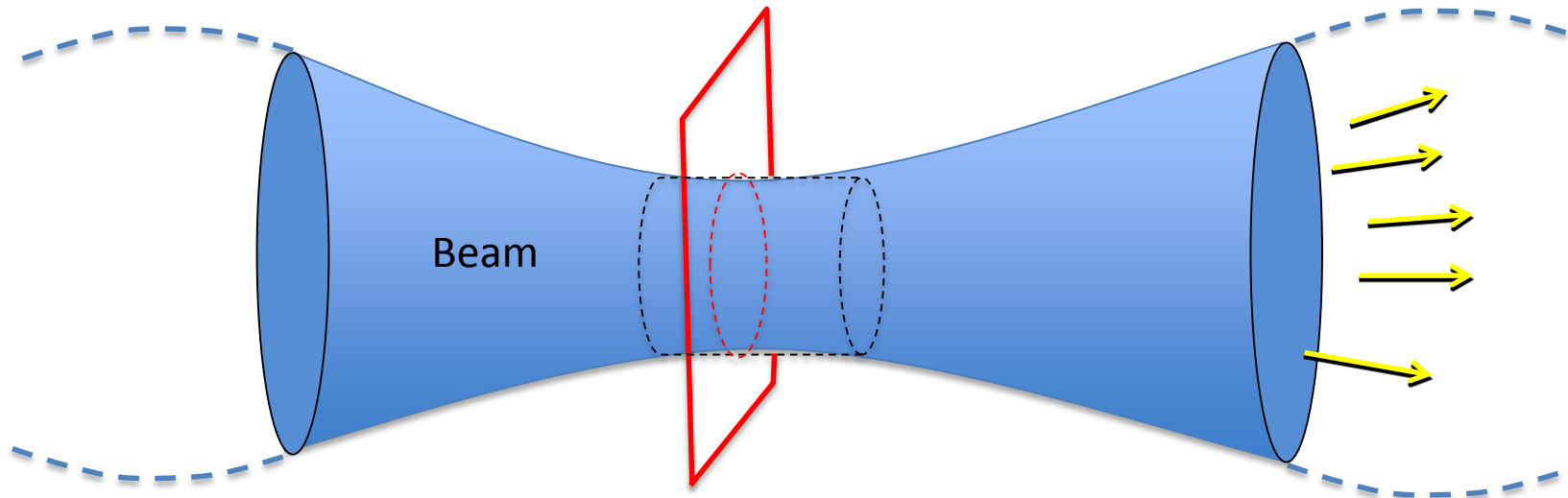




# Model of beam

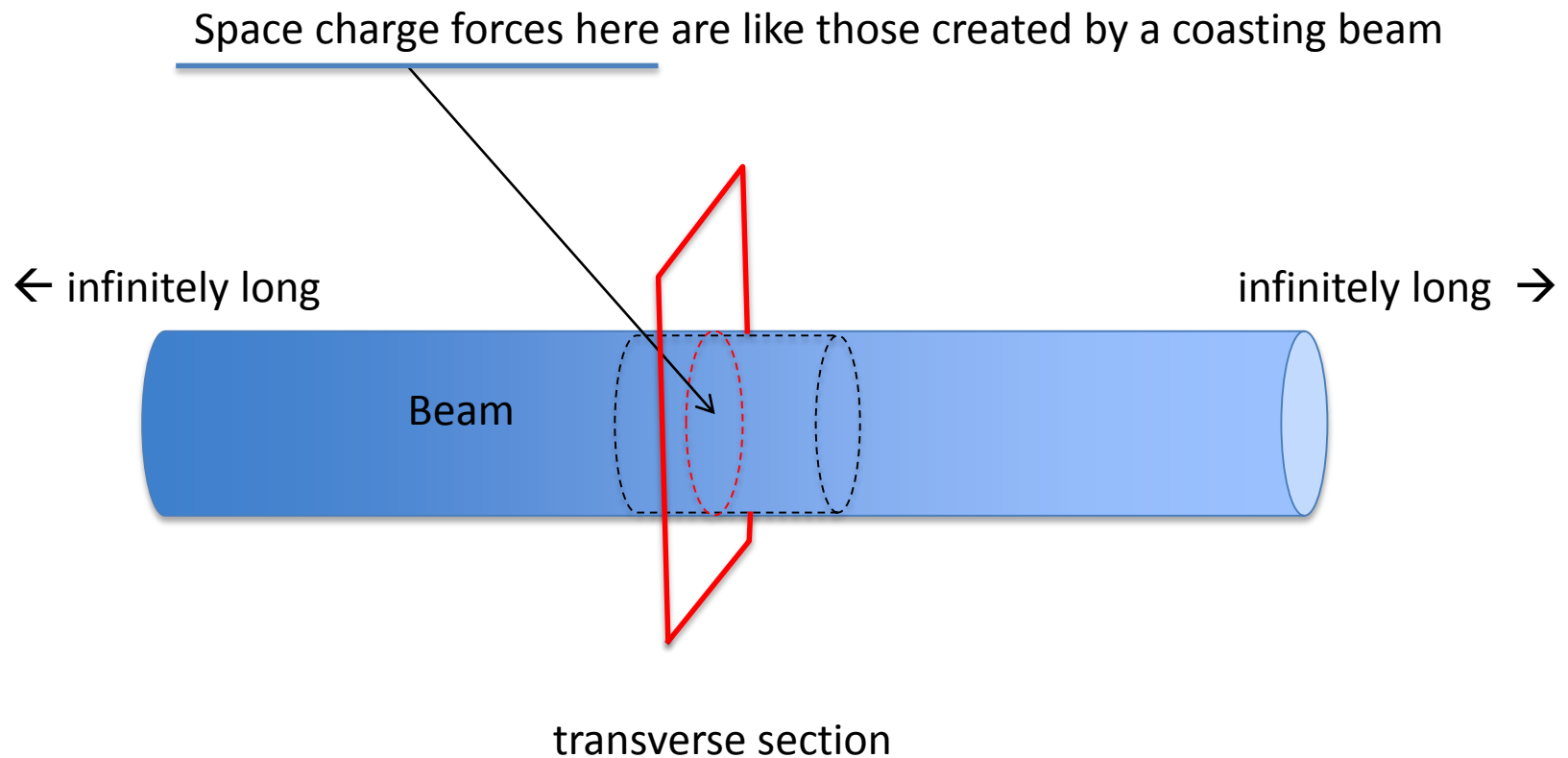
We neglect the longitudinal forces.

Locally the beam can be seen as a “piece” of a coasting beam



transverse section

# From the point of view of space charge



The lattice strength is adjusted to have the prescribed optics in absence of space charge. That is the functional shape of  $k_x(s)$ ,  $k_y(s)$  is independent on the beam energy



However the space charge forces are **not under our control !**

Analysis in the case the beam energy is small

# For non moving particles

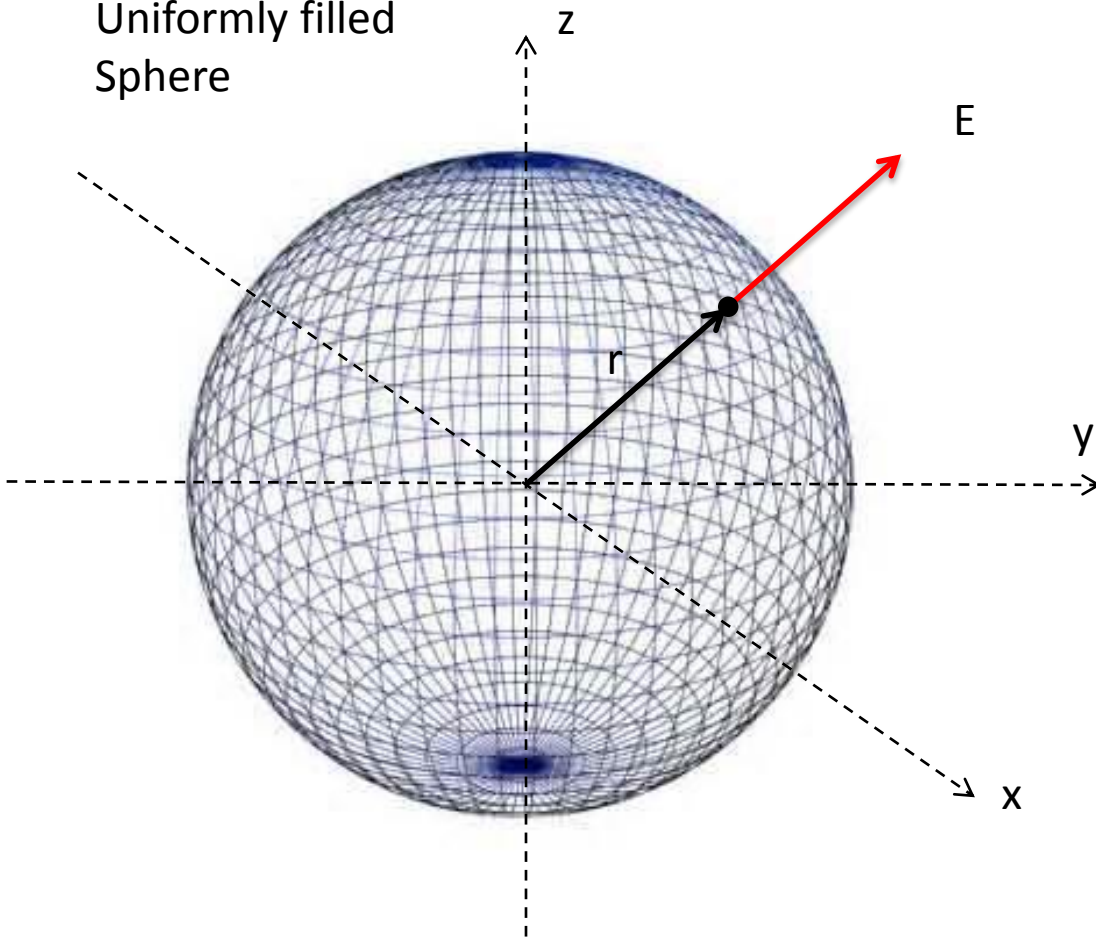
Coulomb electric field

$$\vec{E}(\vec{r}) = \frac{e}{4\pi\epsilon_0} \sum_i \frac{\vec{r} - \vec{r}_i}{|\vec{r} - \vec{r}_i|^3}$$

Much easier

# Coulomb Forces

Uniformly filled  
Sphere



Inside the sphere

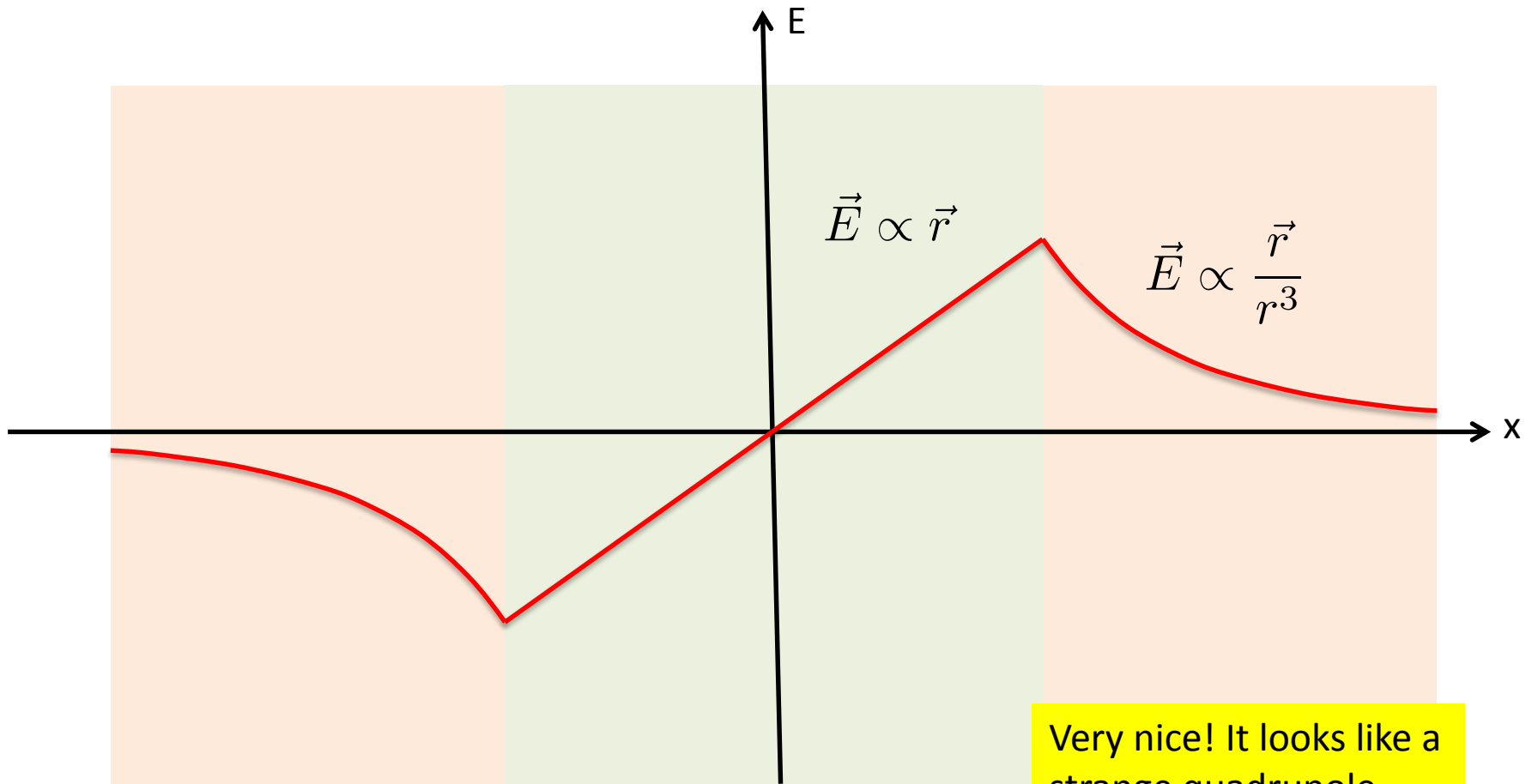
$$E = \frac{\rho}{3\epsilon_0} r$$

$\rho$  = charge density

Outside the sphere

$$E = \frac{R^3}{3\epsilon_0} \frac{\rho}{r^2}$$

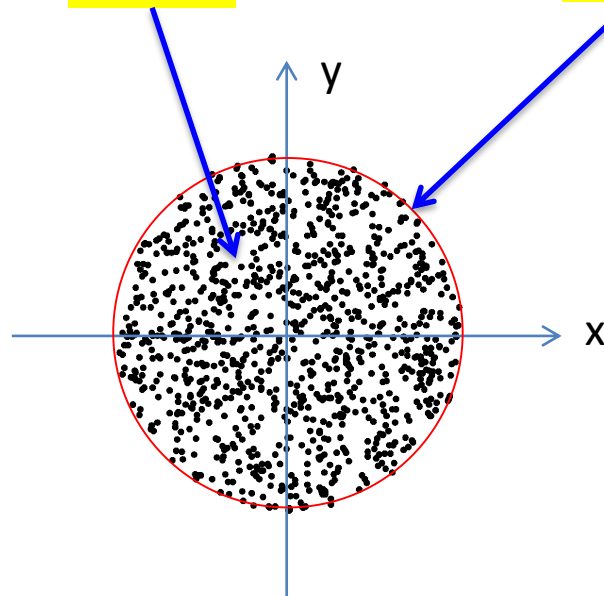
# Radial Electric field (along x)



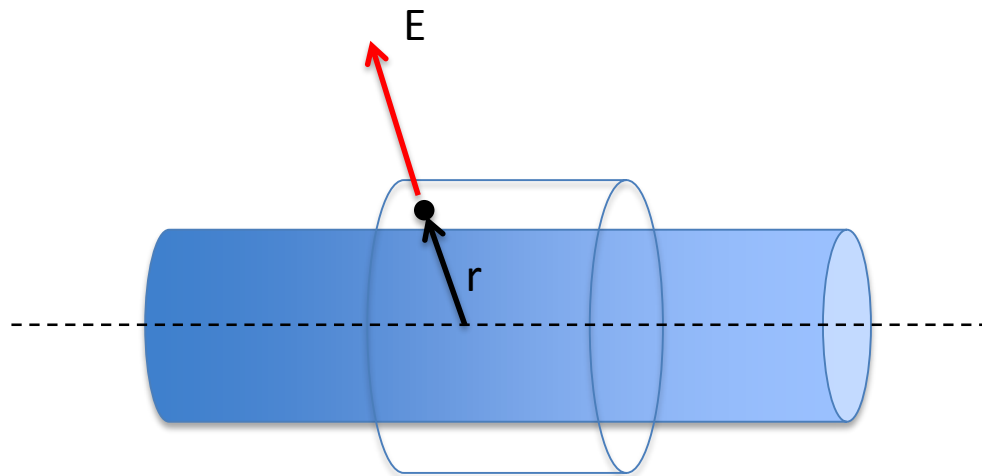
Very nice! It looks like a strange quadrupole

# Beam distribution ansatz

We assume in this first discussion that the beam distribution in  $(x,y)$  is always **uniform** and the beam is **round**



# Infinitely long uniform axi-symmetric cylinder



Longitudinal electric field is zero

From Gauss law inside

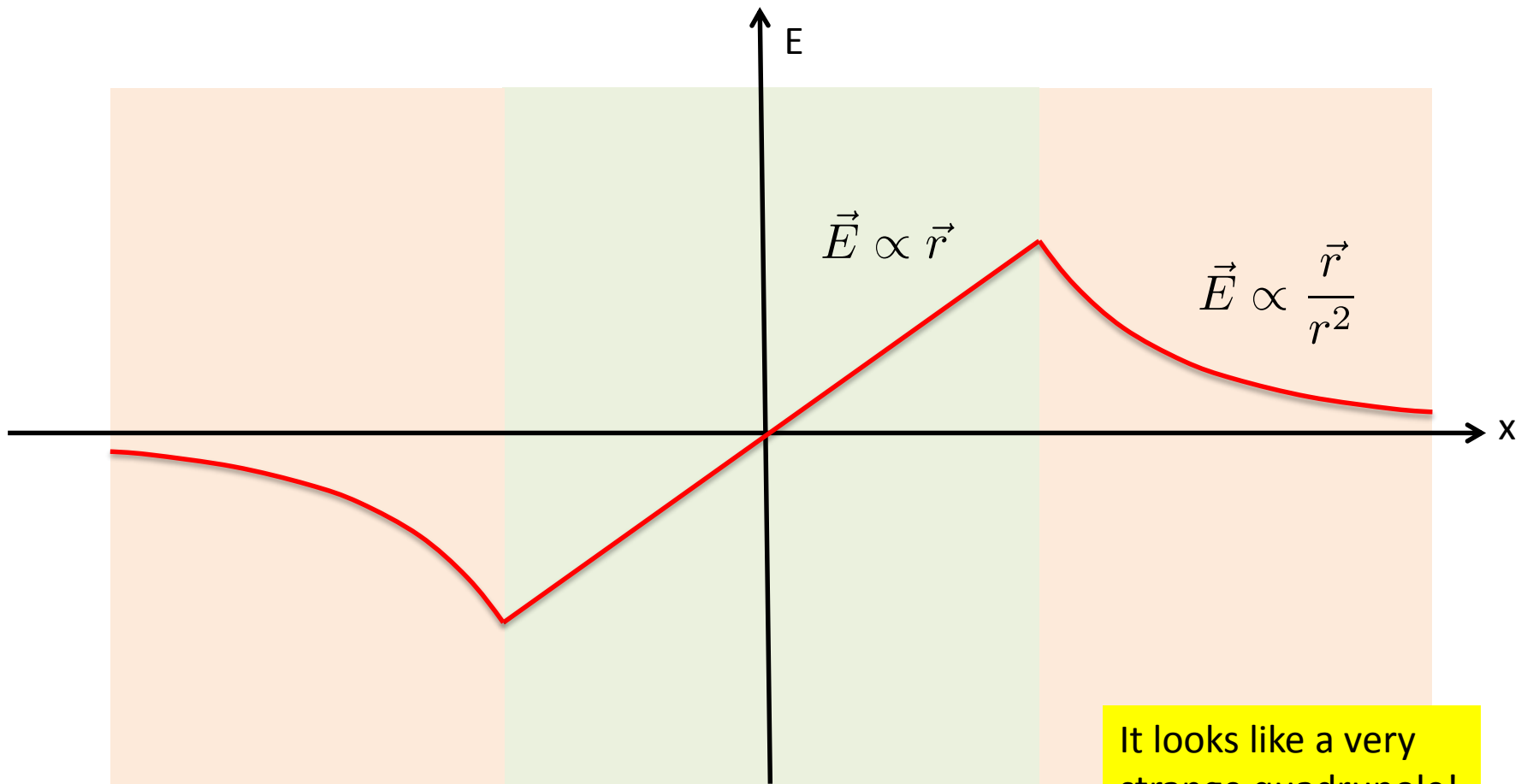
$$E = \frac{\rho}{2\epsilon_0} r$$

Outside the cylinder

$$E = \frac{\rho R^2}{2\epsilon_0} \frac{1}{r}$$



# Transverse Electric field



It looks like a very strange quadrupole!

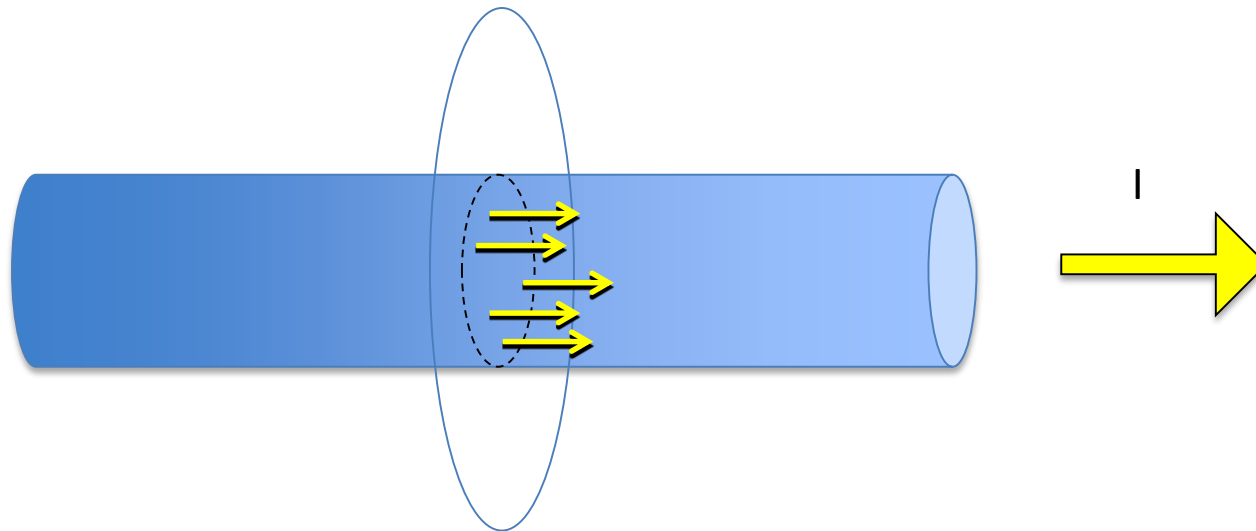
# This is an approximation ... real beam infinitely long does not exist

Such a beam would require infinite energy...  
in fact the energy a particle gain is infinite

$$\int_R^\infty E(r) dr = \int_R^\infty \frac{\rho R^2}{2\epsilon_0} \frac{1}{r} dr = \frac{\rho R^2}{2\epsilon_0} [\log(\infty) - \log(R)] \rightarrow \infty$$

Also  $\int_R^\infty E_r^2(r) r^2 dr \rightarrow \infty$  the energy of the beam is infinite !

# Magnetic field generated by an infinitely long beam



Apply BIOT-SAVART law

# Example for uniform, round beam

Outside the beam

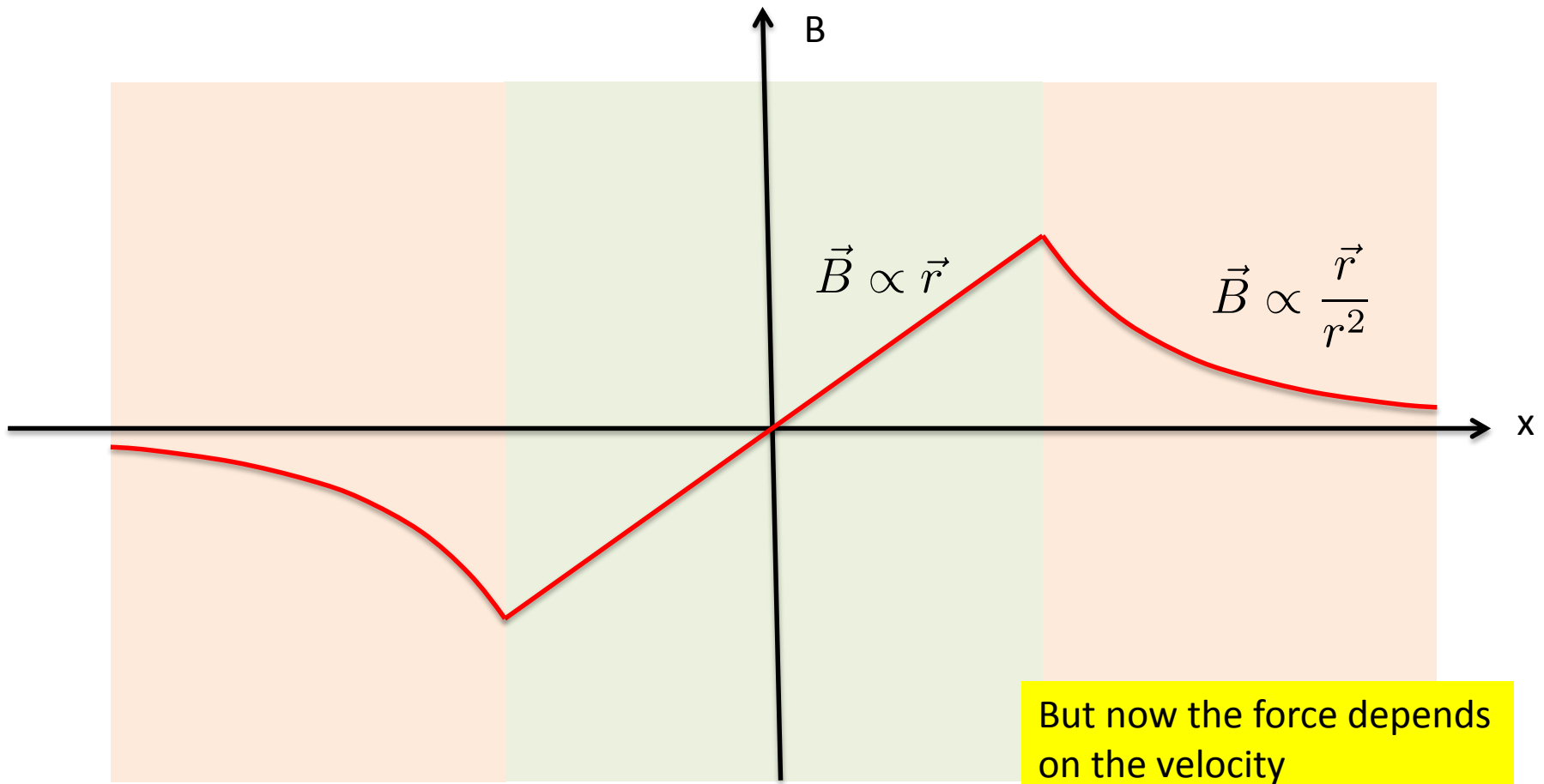
$$B = \frac{\mu_0 I}{2\pi r}$$

Inside the beam

$$B = \frac{\mu_0 I}{2\pi r} \frac{r^2}{R_b^2} = \frac{\mu_0 I}{2\pi R_b^2} r$$

Exactly the same dependence as for the electric field of a uniform coasting beam

# Transverse Magnetic Field

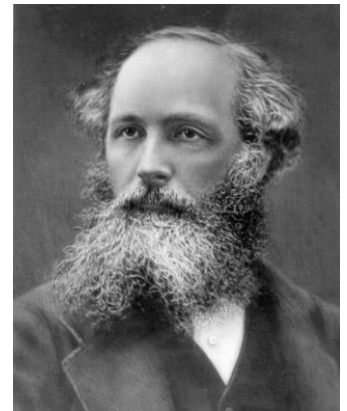


The electric + magnetic fields enter in the equation of motion as

$$\frac{d^2 x}{ds^2} + k_x x = \frac{e}{m\gamma v_0^2} E_{b,x} (1 - v_z^2 \mu_0 \epsilon_0)$$

But the fundamental constants combines as follow

$$\mu_0 \epsilon_0 = \frac{1}{c^2}$$



therefore

$$\frac{d^2 x}{ds^2} + k_x x = \frac{e}{m\gamma v_0^2} E_{b,x} \left( 1 - \frac{v_z^2}{c^2} \right)$$

As  $|v_z| \simeq v_0 = |\vec{v}|$  therefore we reach the result

$$\frac{d^2 x}{ds^2} + k_x x = \frac{e}{m\gamma^3 v_0^2} E_{b,x}$$

# Equation of motion for coasting beams axi-symmetric

$$\frac{d^2 x}{ds^2} + k_x x = \frac{e}{m\gamma^3 v_0^2} E_{b,x}$$

$$\frac{d^2 y}{ds^2} + k_y y = \frac{e}{m\gamma^3 v_0^2} E_{b,y}$$

result valid for any axi-symmetric distribution

Space charge is suppressed as  $1/\gamma^2$



# Uniform distribution

Suppose that the beam **“remains”** always uniform in x-y circle, then

$$I = v_z \pi R_b^2 \rho$$

only  $I$  is constant ! (not  $\rho$ , not  $R_b$ )

and the electric field becomes

$$E_x = \frac{\rho}{2\epsilon_0} x = \frac{1}{2\epsilon_0} \frac{I}{v_z \pi R_b^2} x$$

then ....

$$\frac{d^2 x}{ds^2} + k_x x = \frac{e}{m\gamma^3 v_0^2} E_{b,x}$$



$$\frac{d^2 x}{ds^2} + k_x x = \frac{eI}{2\pi\epsilon_0 m\gamma^3 v_0^2 v_z} \frac{x}{R_b^2}$$

but  $eI/v_z > 0$    $eI/v_z \simeq |eI|/v_0$  (positive)

# Perveance

It is convenient to define the quantity

$$K = \frac{eI}{2\pi\epsilon_0 m \gamma^3 \beta^3 c^3} \quad (\text{positive})$$

General form of the transverse equation of motion for a uniform axi-symmetric coasting beam

$$\frac{d^2 x}{ds^2} + k_x x = K \frac{x}{R_b^2}$$

# Everything is linear !



$$\frac{d^2 x}{ds^2} + \underbrace{\left( k_x - \frac{K}{R_b^2} \right)}_{\substack{\uparrow \\ \text{This is like a quadrupole with changed strength:} \\ \text{too beautiful to be true !!}}} x = 0$$

This is like a quadrupole with changed strength:  
too beautiful to be true !!

# Consequences for the motion of one particle

A particle experiences a modified optics

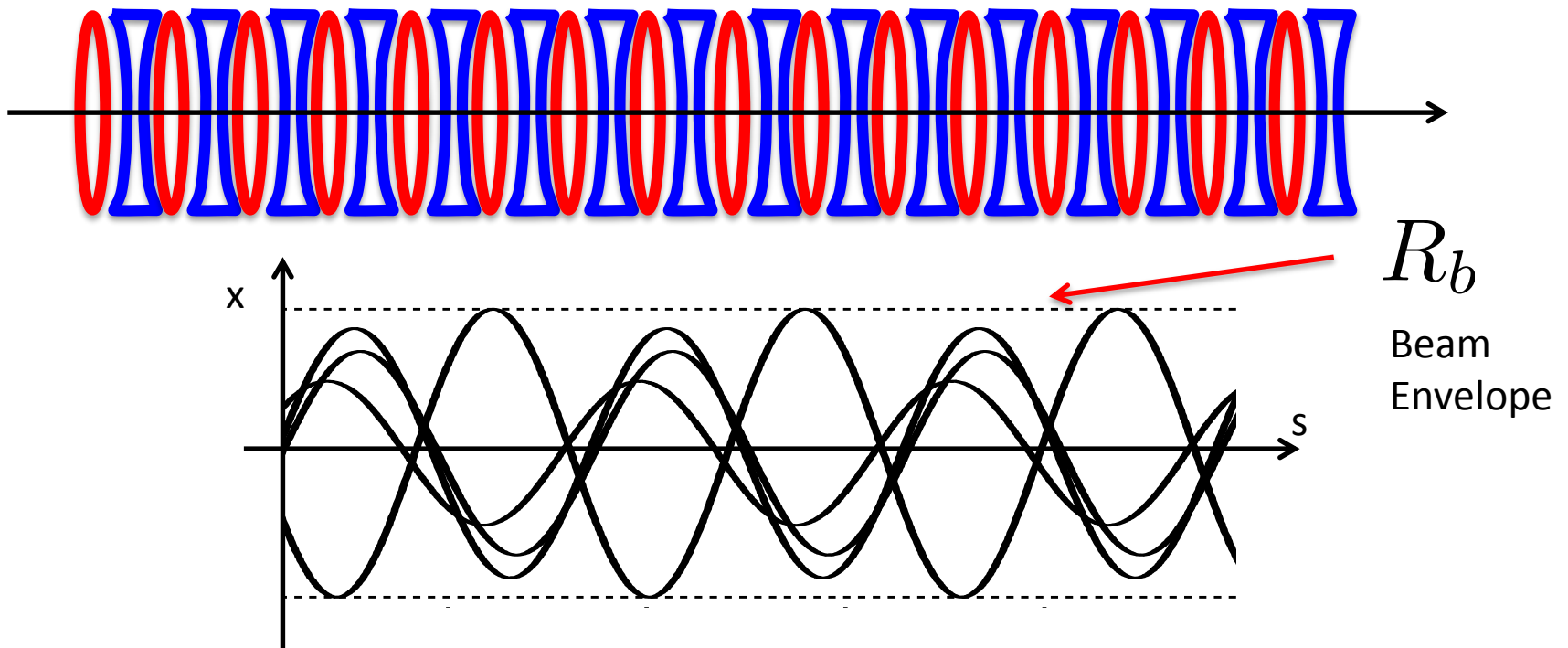
$$k_{x,eff}(s) = k_x(s) - \frac{K}{R_b^2}$$

$$k_{y,eff}(s) = k_y(s) - \frac{K}{R_b^2}$$



# Is it $R_b$ constant? Example with constant focusing lattice

We have to remember that the radius of the beam depends on the optics



But if there is a linear space charge we have a beta function that **depends also on the radius of the envelope**

# Strange situation

$$k_{x,eff}(s) = k_x(s) - \frac{K}{R_b^2}$$



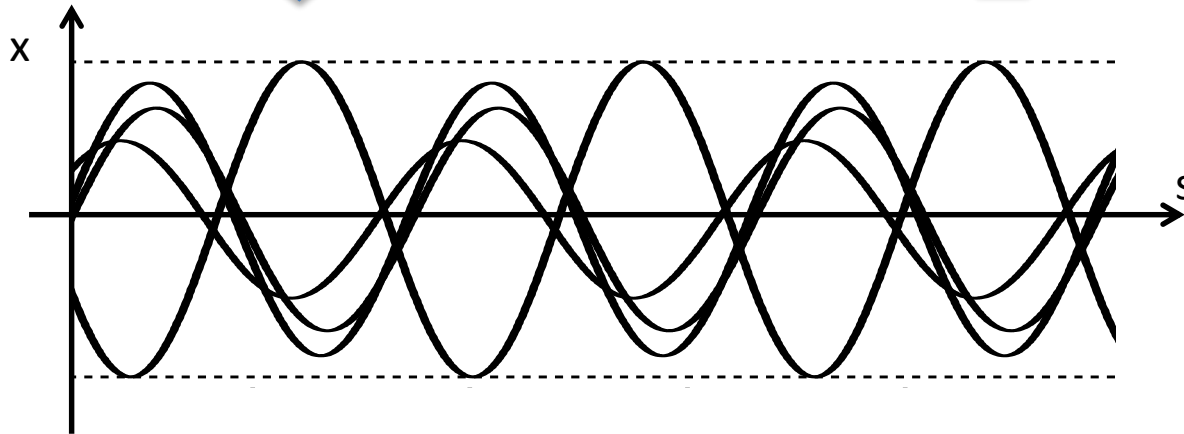
$\beta_x, \beta_y$



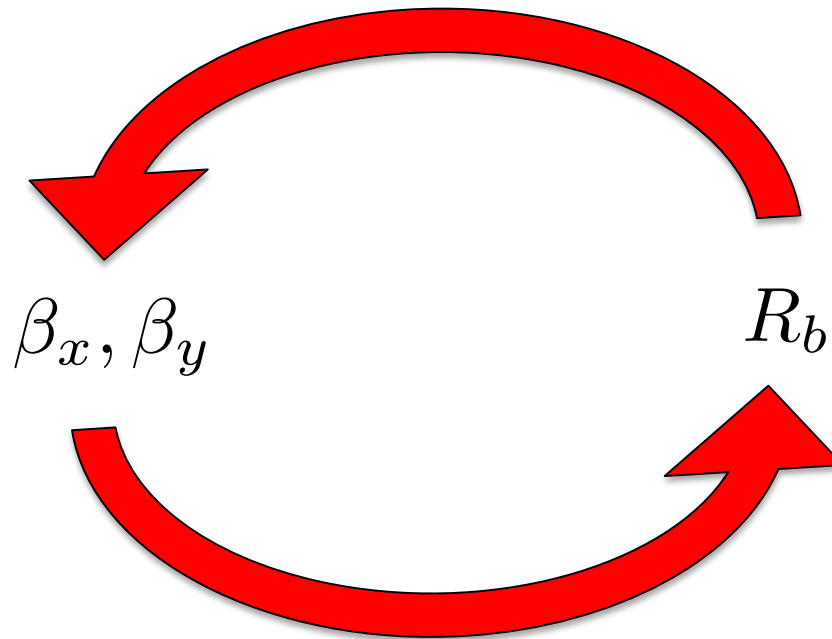
set optics:  
this is taken  
constant



$R_b$



Optics sets the beam  $\rightarrow$  beam sets space charge  $\rightarrow$  space charge sets the optics !





# Is there a stationary solution ?

$$k_{x,eff}(s) = k_x(s) - \frac{K}{R_b^2}$$

For a constant focusing channel

$$k_{x,eff} = \frac{1}{\beta_x^2}$$

and the beam radius is

$$R_b^2 = \beta_x \epsilon_x \quad (\epsilon_x = \text{“beam emittance”})$$

Therefore given  $k_x$ ,  $K$ ,  $\epsilon_x$

$$\frac{1}{(\beta_x^*)^2} = k_x - \frac{K}{\beta_x^* \epsilon_x}$$

there is one  $\beta_x^*$  which creates a beam such that **space charge + linear optics** creates exactly  $\beta_x^*$

# What does it mean ?

This means that we have to create a beam of radius

$$R_b^* = X^* = \sqrt{\beta_x^* \epsilon_x}$$

which is the only beam that, for an emittance of  $\epsilon_x$ , lattice strength of  $k_x$ ,  
perveance  $K$ , can create an effective optics with  $\beta_x^*$

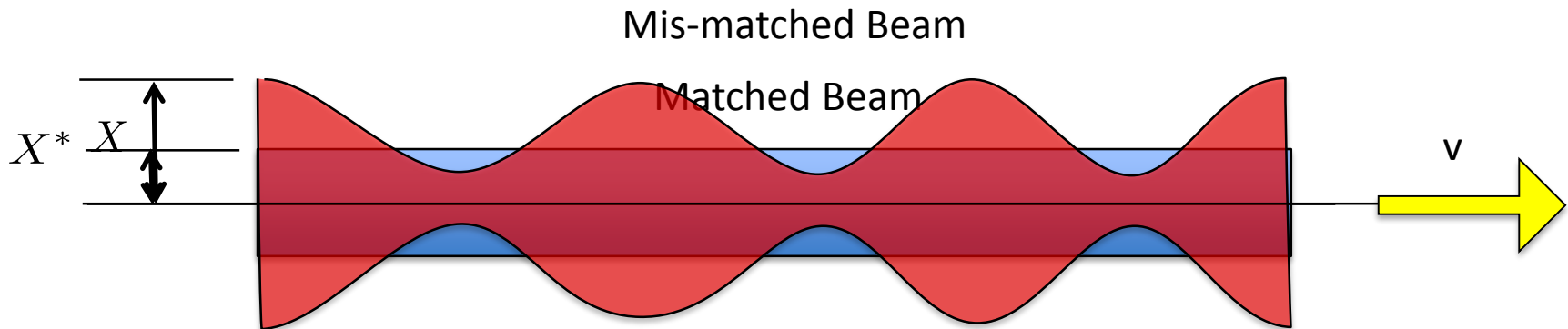


This beam is called **MATCHED** with the effective  
optics deriving from **linear optics + linear space charge forces**

# When we inject a non matched beam

The optics created by the **lattice + space charge forces** makes the beam mismatched

Mismatch oscillations



# Summary of finding for a uniform coasting beam

- 1) the lattice focusing strength is affected by space charge
- 2) there exists a beam that is matched

# Important consequences of the modified optics (constant focusing)

Equation of motion

tune

without  
space  
charge

$$\frac{d^2 x}{ds^2} + k_x x = 0$$



$$Q_{x0} = \sqrt{k_x} \frac{L}{2\pi}$$

with  
space  
charge

$$\frac{d^2 x}{ds^2} + \left( k_x - \frac{K}{R_b^2} \right) x = 0$$



$$Q_x = \sqrt{k_x - \frac{K}{R_b^2}} \frac{L}{2\pi}$$

# Space charge tune-shift

$\Delta Q_x = Q_x - Q_{x0}$  is the space charge tune-shift

$$\Delta Q_x = \sqrt{k_x - \frac{K}{R_b^2} \frac{L}{2\pi}} - \sqrt{k_x} \frac{L}{2\pi}$$

for  $K/(k_x R^2)$  small

$$\Delta Q_x = -Q_{x0} \frac{K}{2k_x R_b^2} = -Q_{x0} \frac{K}{2R_b^2} \frac{L^2}{4\pi^2 Q_{x0}^2}$$

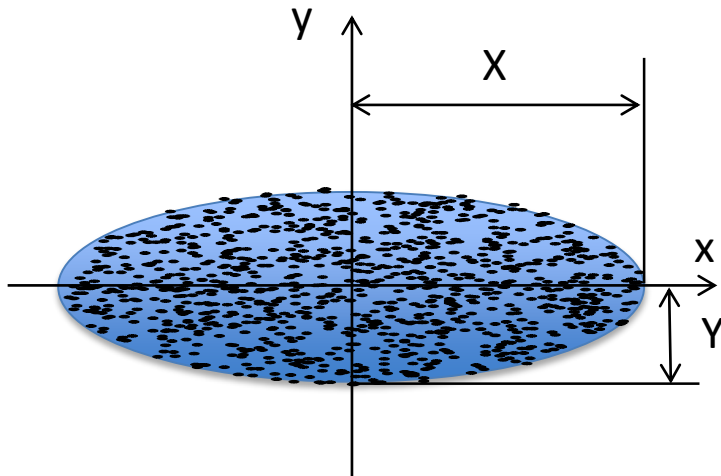
Detuning created by an axi-symmetric coasting beam,  
with weak intensity

$$\Delta Q_x = -\frac{R_m^2}{2R_b^2} \frac{K}{Q_{x0}}$$

$R_m$  is the accelerator radius  
 $R_b$  is the radius of the beam  
 $Q_{x0}$  is the bare tune  
 $K$  is the perveance

# Non axi-symmetric uniform beams

For uniform beams the electric field becomes



Inside the beam

$$E_x = \frac{I}{\pi \epsilon_0 v} \frac{x}{X(X + Y)}$$

$$E_y = \frac{I}{\pi \epsilon_0 v} \frac{y}{Y(X + Y)}$$



# Equation of motion

$$\frac{d^2 x}{ds^2} + \left[ k_x - \frac{2K}{X(X+Y)} \right] x = 0$$

$$\frac{d^2 y}{ds^2} + \left[ k_y - \frac{2K}{Y(X+Y)} \right] y = 0$$

# Modified beta function

The lattice optics is modified in x, and y

$$k_{x,eff} = k_x - \frac{2K}{X(X+Y)} \quad \longrightarrow \quad \beta_x^*$$

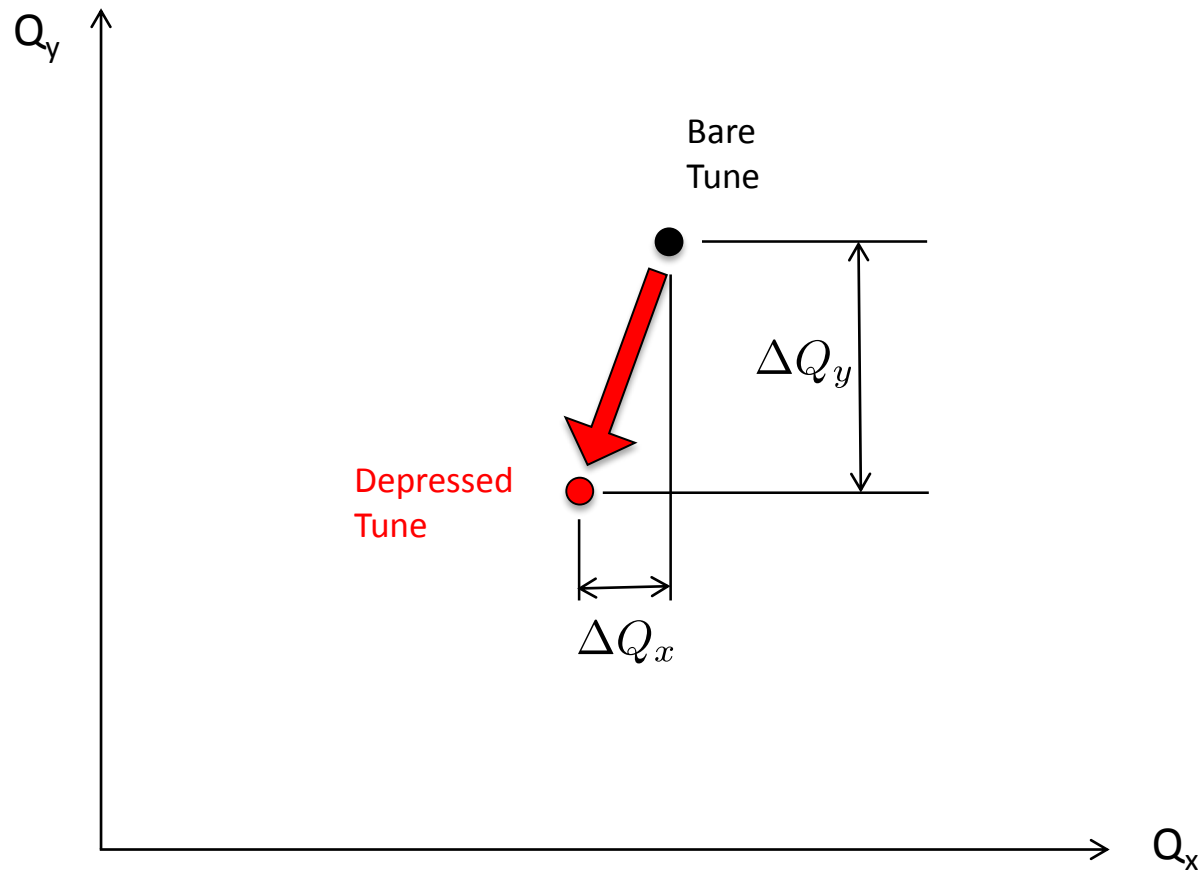
$$k_{y,eff} = k_y - \frac{2K}{Y(X+Y)} \quad \longrightarrow \quad \beta_y^*$$

# Space charge tune-shift

$$\Delta Q_x = - \frac{K}{X(X+Y)} \frac{R_m^2}{Q_{x0}}$$

$$\Delta Q_y = - \frac{K}{Y(X+Y)} \frac{R_m^2}{Q_{y0}}$$

# Situation in a tune diagram

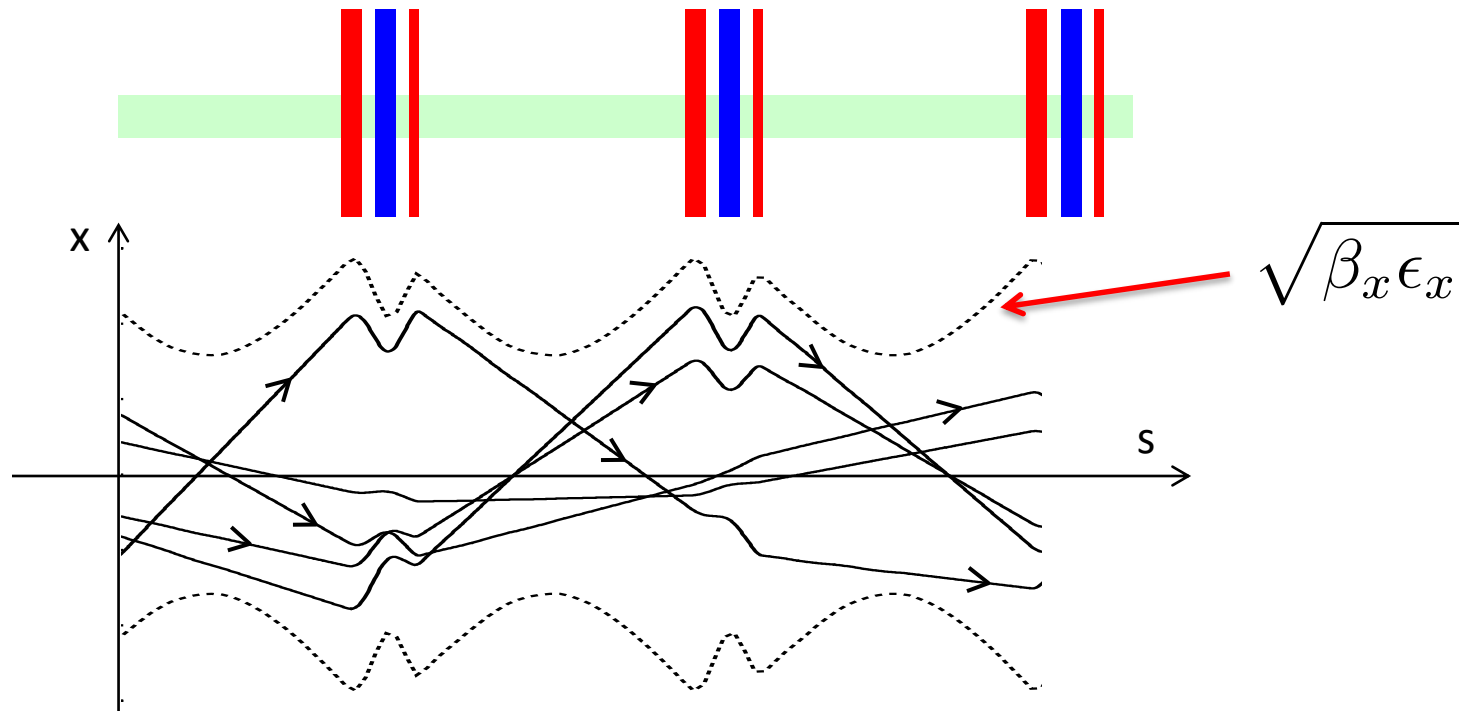


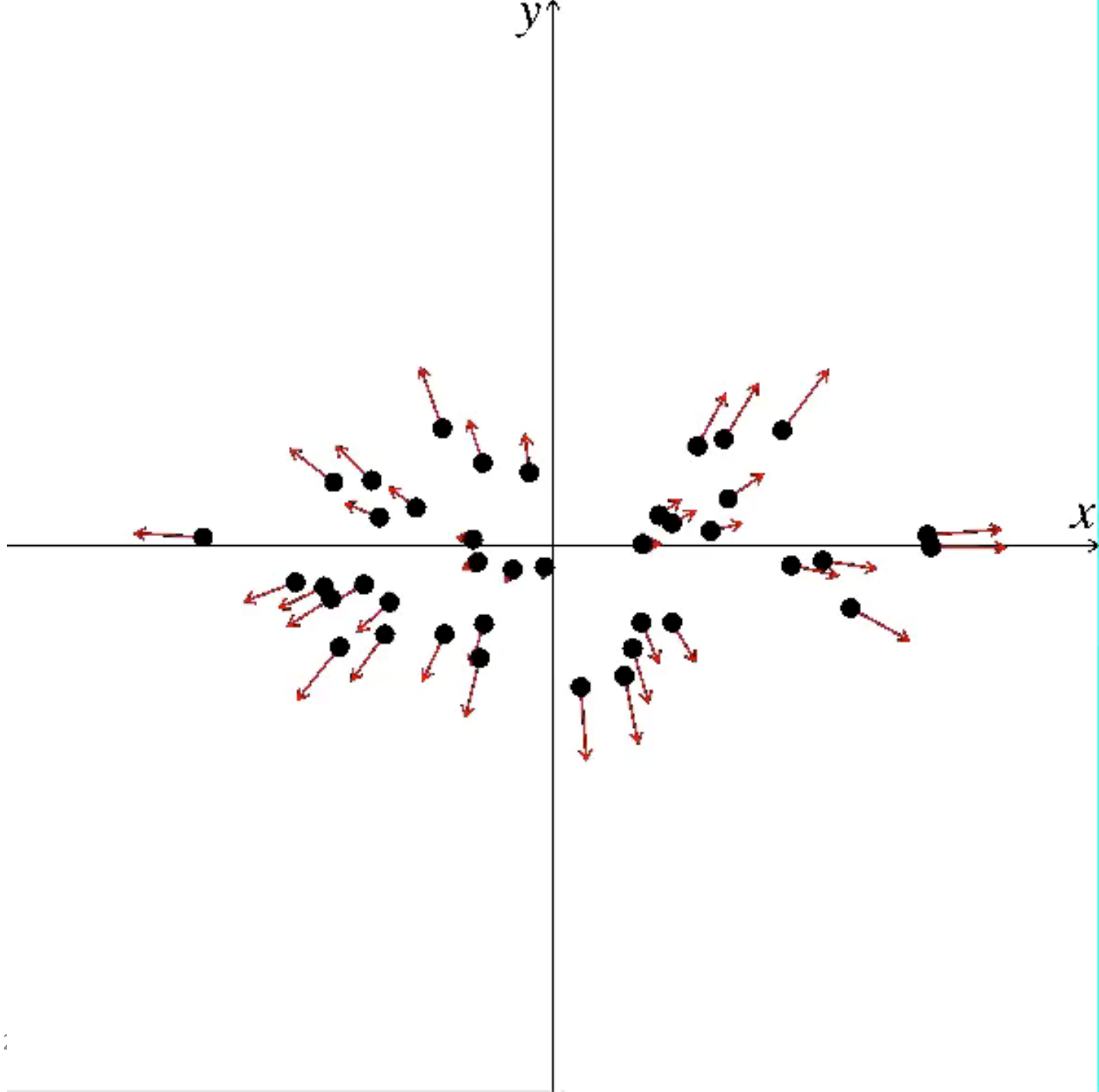
# Conclusion for the constant focusing

Space charge changes the particle tune, in both planes according to the beam sizes, and the optics:  
we find formulas that predicts incoherent space charge tune-shifts for a “matched” beam

# For varying focusing

All formulation remains the same, but the difference is in what happens to the beta functions and the detuning





# New optics

We continue to keep the ansatz that the beam remains uniform, and with the same transverse emittances

$$\beta_{x,0}(s), \beta_{y,0}(s) \quad \rightarrow \quad \begin{aligned} \frac{d^2x}{ds^2} + \left[ k_x - \frac{2K}{X(X+Y)} \right] x &= 0 \\ \frac{d^2y}{ds^2} + \left[ k_y - \frac{2K}{Y(X+Y)} \right] y &= 0 \end{aligned}$$
$$\beta_{x,1}(s), \beta_{y,1}(s)$$

Go on until  $\beta_{x,n}(s), \beta_{y,n}(s)$  converges



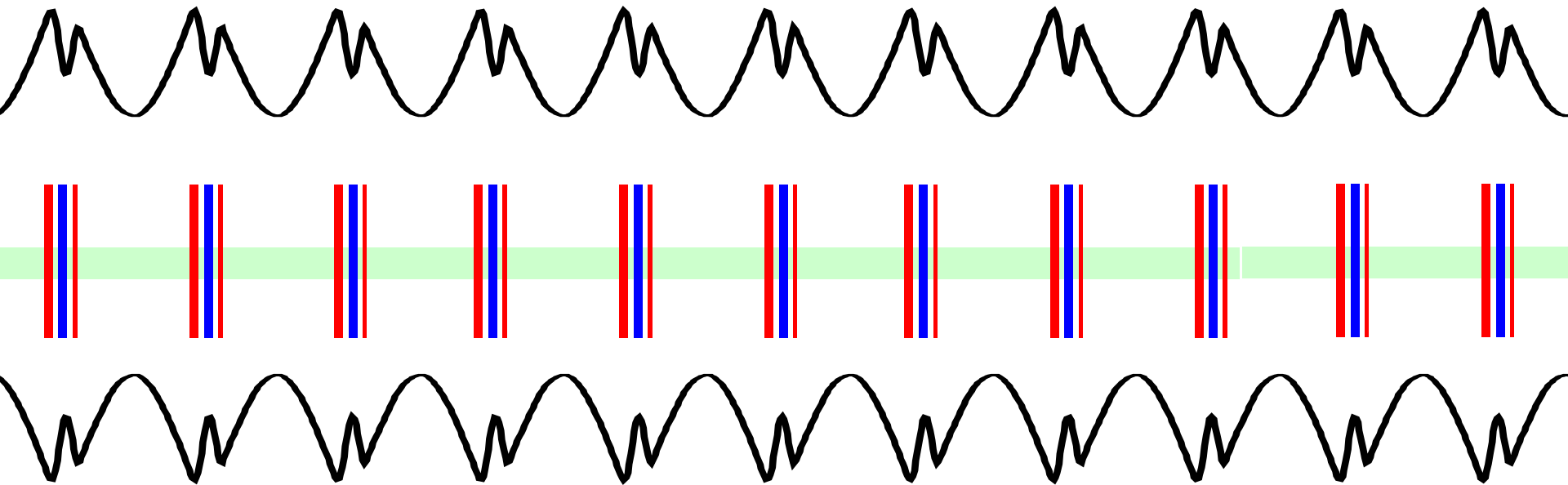
# Space charge tune-shift

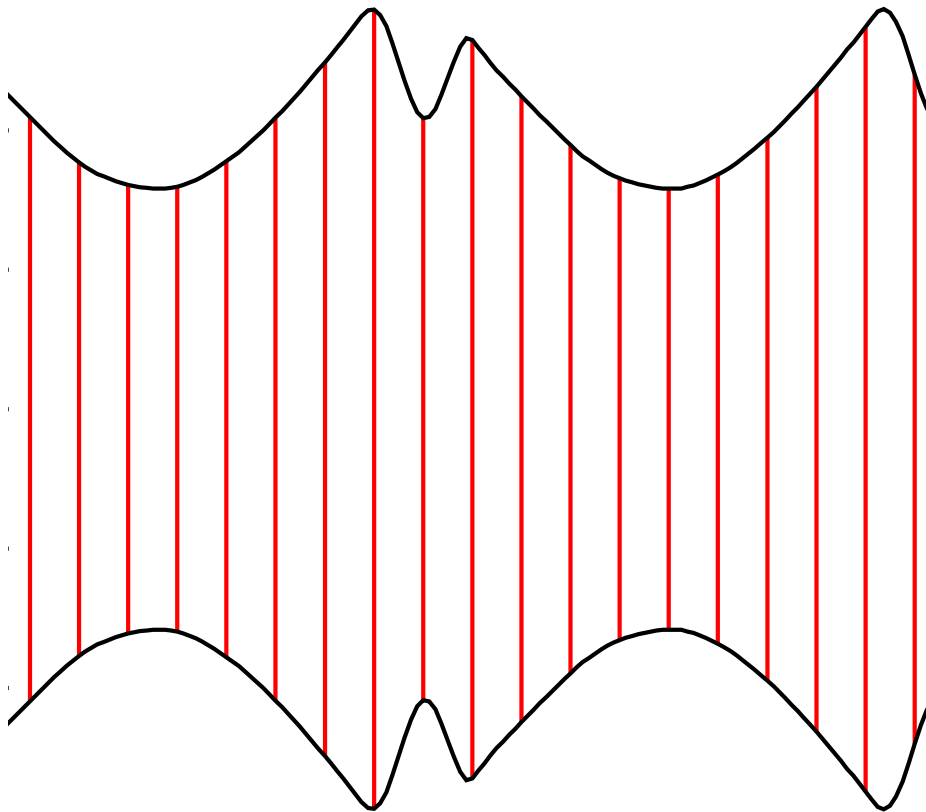
Now we have a matched optics for a beam with perveance  $K$ , and transverse emittances  $\epsilon_x, \epsilon_y$ . Therefore injecting a beam matched with

$$\beta_x^*(s), \alpha_x^*(s), \beta_y^*(s), \alpha_y^*(s)$$

will create a matched optical function.

Now you can look at the space charge as a distribution of many space charge “kicks”





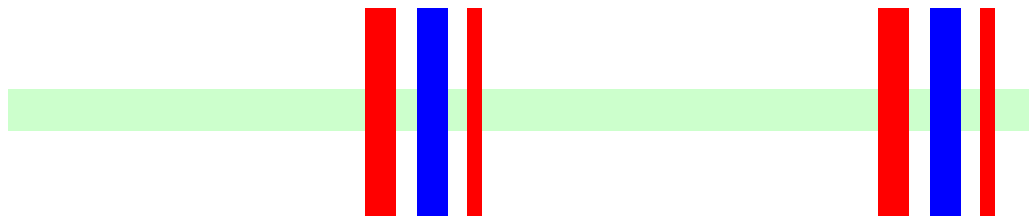
$$\frac{d^2 x}{ds^2} + \left[ k_x - \frac{2K}{X(X+Y)} \right] x = 0$$

Space charge  
kick

$$ds \mathcal{E}_x = \frac{2K}{X(X+Y)} x ds$$

$$ds \mathcal{E}_y = \frac{2K}{Y(X+Y)} y ds$$

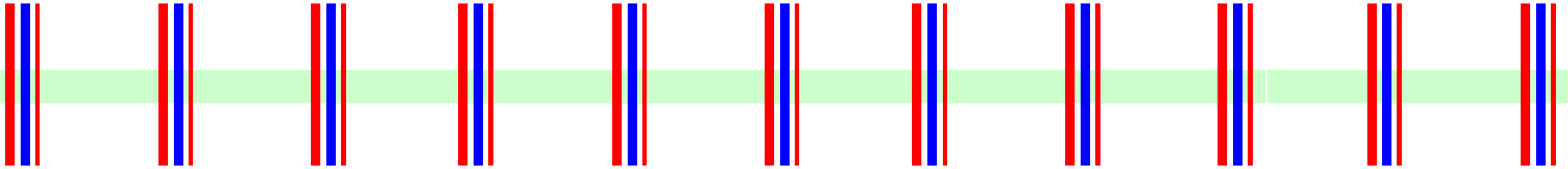
in units of the equation  
of motion



# Situation

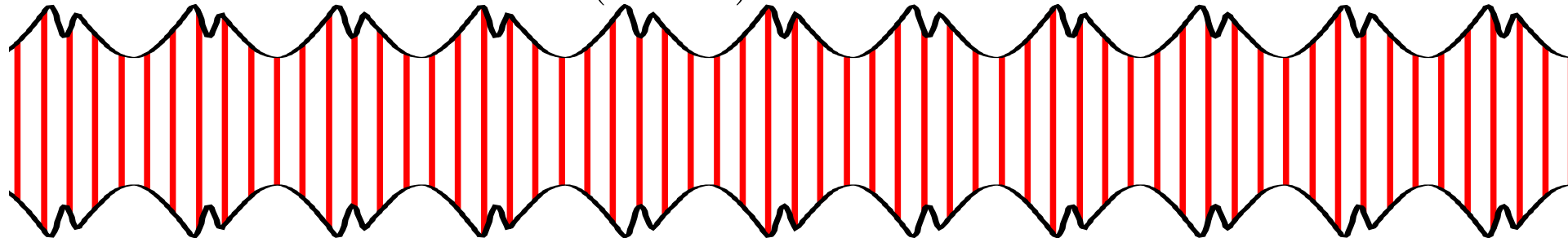
Linear optics

$$\frac{d^2x}{ds^2} + k_x x = 0 \quad \frac{d^2y}{ds^2} + k_y y = 0$$



Space charge kicks

$$ds \mathcal{E}_x = \frac{2K}{X(X+Y)} x ds \quad ds \mathcal{E}_y = \frac{2K}{Y(X+Y)} y ds$$



*E. Courant*



$$\Delta\nu = \frac{\Delta\mu}{2\pi} = -\frac{\Delta(\cos \mu)}{2\pi \sin \mu_0} = \frac{1}{4\pi} \int_0^C \beta(s)k(s) ds.$$

$$\Delta Q_x = \frac{1}{4\pi} \int_0^C \beta_x(s) \mathcal{E}_x(s) ds = -\frac{1}{4\pi} \int_0^C \beta_x(s) \frac{2K}{X(s)(X(s) + Y(s))} ds$$

$$\Delta Q_y = \frac{1}{4\pi} \int_0^C \beta_y(s) \mathcal{E}_y(s) ds = -\frac{1}{4\pi} \int_0^C \beta_y(s) \frac{2K}{Y(s)(X(s) + Y(s))} ds$$

$$\Delta Q_x = -\frac{KR_m}{\epsilon_x} \left\langle \frac{1}{1 + \sqrt{\frac{\epsilon_y \beta_y(s)}{\epsilon_x \beta_x(s)}}} \right\rangle$$

It is a usual approximation that

$$\left\langle \frac{1}{1 + \sqrt{\frac{\epsilon_y \beta_y(s)}{\epsilon_x \beta_x(s)}}} \right\rangle \approx \frac{1}{1 + \sqrt{\frac{\epsilon_y \langle \beta_y \rangle}{\epsilon_x \langle \beta_x \rangle}}}$$

(not really obvious...)

Therefore

$$\Delta Q_x \simeq -\frac{KR_m}{\epsilon_x} \frac{1}{1 + \sqrt{\frac{\epsilon_y \langle \beta_y \rangle}{\epsilon_x \langle \beta_x \rangle}}} = -KR_m \frac{\langle \beta_x \rangle}{\sqrt{\epsilon_x \langle \beta_x \rangle} (\sqrt{\epsilon_x \langle \beta_x \rangle} + \sqrt{\epsilon_y \langle \beta_y \rangle})}$$

Taking  $\langle \beta_x \rangle \simeq \frac{R_m}{Q_{x0}}$

$$\Delta Q_x \simeq -K \frac{R_m^2}{Q_{x0}} \frac{1}{\sqrt{\epsilon_x \langle \beta_x \rangle} (\sqrt{\epsilon_x \langle \beta_x \rangle} + \sqrt{\epsilon_y \langle \beta_y \rangle})}$$

# Exactly the same formula of the constant focusing channel

Ring with constant focusing

$$\Delta Q_x = -\frac{K}{X(X+Y)} \frac{R_m^2}{Q_{x0}}$$

Ring with AG focusing

$$\Delta Q_x \simeq \frac{K}{\sqrt{\epsilon_x \langle \beta_x \rangle} (\sqrt{\epsilon_x \langle \beta_x \rangle} + \sqrt{\epsilon_y \langle \beta_y \rangle})} \frac{R_m^2}{Q_{x0}}$$



# What is the meaning?

It seems that the space charge detuning is governed by the same type of law, provided we use some kind of “effective” beam size.



This **seems** to suggest that when two beams have the same “effective” size, and they are in a machine with the same radius, and the same tune, they have the same space charge detuning !!

(nice, but not obvious)

# About the ansatz of the uniformity

Is it true that if we start with a beam distribution uniform, that is remains uniform ?

Beam distribution evolves according to the Vlasov equation

$$\frac{\partial f}{\partial t} + \sum_{i=1}^3 \left( \frac{\partial f}{\partial q_i} \dot{q}_i + \frac{\partial f}{\partial p_i} \dot{p}_i \right) = 0$$

with  $f(q, p, t) = \frac{\Delta N}{\Delta V}$  particle density in phase space

A very complex and difficult equation !!

# Stationary distributions

Is there a distribution that does not change “functional shape” ?  
That is, that it is not time dependent ?

## Without space charge

for a linear uncoupled lattice → Answer: YES

take  $f(x, x', y, y', t) = g(\epsilon_{0x}, \epsilon_{0y})$

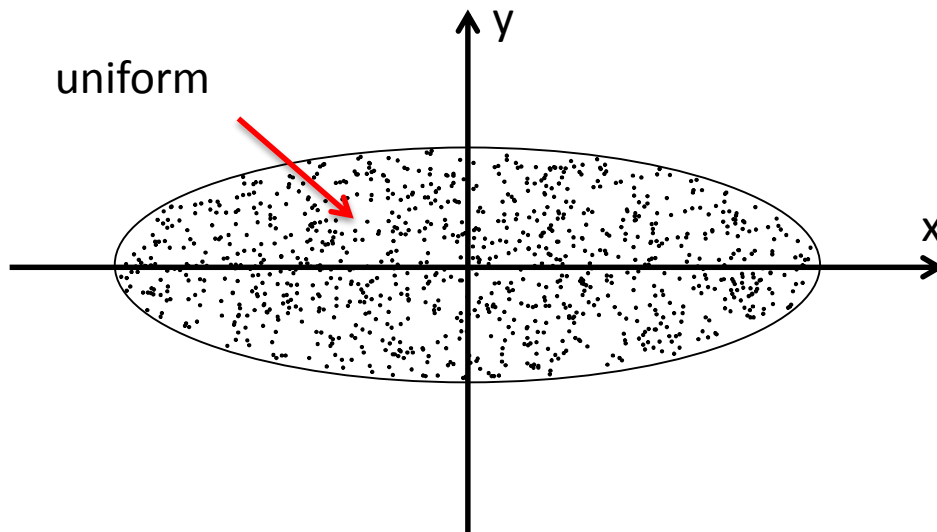
$$\epsilon_{0x} = \gamma_x x^2 + 2\alpha_x x x' + \beta_x^2 x'^2$$

$$\epsilon_{0y} = \gamma_y y^2 + 2\alpha_y y y' + \beta_y^2 y'^2$$

This type of distributions are all stationary → MATCHED with the lattice

# Stationary distribution

If a distribution is x-y uniformly populated of particles



Forces (**normalized**) are linear

$$\mathcal{E}_x = \frac{2K}{X(X+Y)}x$$

$$\mathcal{E}_y = \frac{2K}{Y(X+Y)}y$$

But we are not sure that the x-y distribution remains uniform during beam propagation

# KAPCHINSKY-VLADIMIRSKY (KV)

But any distribution  $f(x, x', y, y', t) = g(\epsilon_{0x}, \epsilon_{0y})$

remains of the same type if forces are **linear**

But then, if we choose a distribution that creates linear space charge forces, then that distribution also will remain of the same type !

$$f = \delta \left( \frac{\epsilon_{0x}}{\mathcal{E}_x} + \frac{\epsilon_{0y}}{\mathcal{E}_y} - 1 \right)$$

This distribution  
creates a uniform  
x-y distribution



it will remain of  
the same type !!

# NON uniform distributions

Non-uniform beam distributions exhibits a more complex behaviour.

- 1) These distribution can be generated to be matched with a linear lattice without space charge
- 2) When the beam has space charge effects, these distributions are not stationary, hence they change with time, BUT for short time they keep their form.

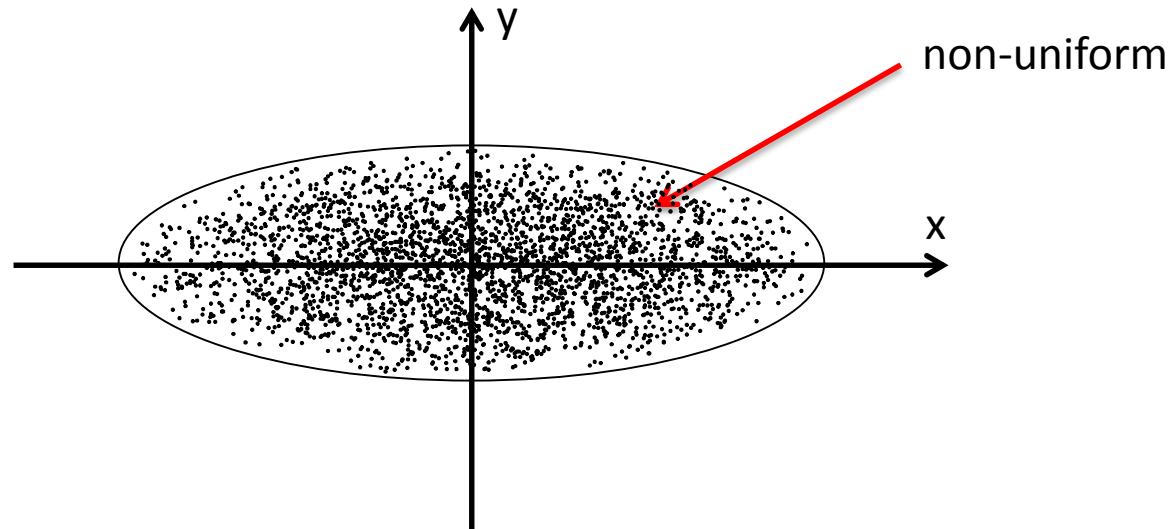
# WATERBAG

$$f = \Theta \left( \frac{\epsilon_0 x}{\mathcal{E}_x} + \frac{\epsilon_0 y}{\mathcal{E}_y} - 1 \right)$$

with  $\Theta(x)$

the Heaviside function

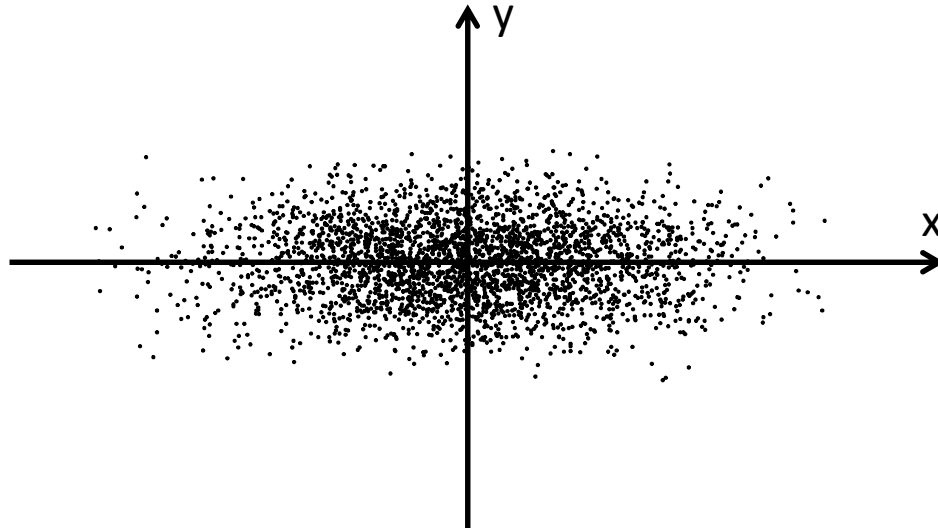
It is a 4D sphere completely filled



# GAUSSIAN

$$f \propto e^{-\frac{1}{2} \left( \frac{\epsilon_0 x}{\mathcal{E}_x} + \frac{\epsilon_0 y}{\mathcal{E}_y} \right)}$$

The distribution is not bounded, and is the product of two 1D Gaussians





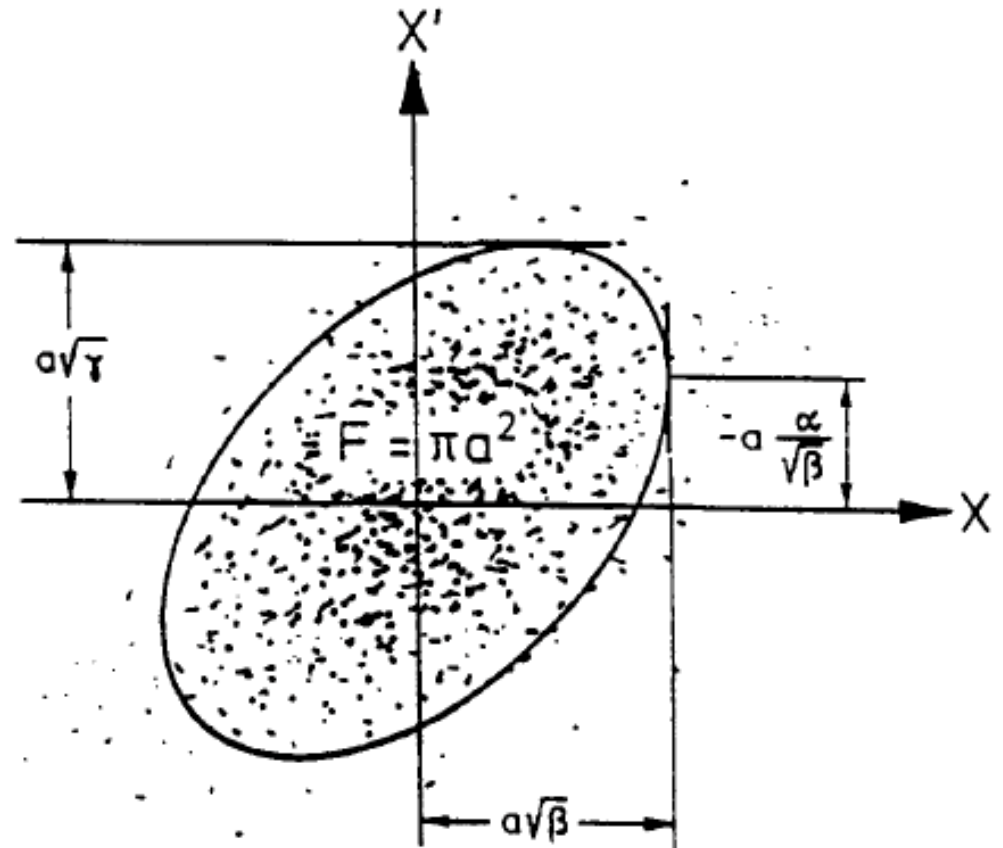
# Moments

$$\langle x^2 \rangle = \frac{1}{N} \sum_{i=1}^N x_i^2$$

$$\langle p_x^2 \rangle = \frac{1}{N} \sum_{i=1}^N p_{x,i}^2$$

$$\langle xp_x \rangle = \frac{1}{N} \sum_{i=1}^N x_i p_{x,i}$$

$$\tilde{\epsilon}_x^2 = \langle x^2 \rangle \langle p_x^2 \rangle - \langle xp_x \rangle^2$$



RMS emittance depends  
on the beam distribution

# RMS envelope equation

Defining  
RMS envelope

$$\tilde{x} = \sqrt{\langle x^2 \rangle}$$

$$\tilde{x}'' = \frac{\langle x x'' \rangle}{\tilde{x}} + \frac{\tilde{\epsilon}_x^2}{\tilde{x}^3}$$

Without space charge

$$x'' + k(s)x = 0 \quad \rightarrow \quad \langle x x'' \rangle = -k(s) \langle x^2 \rangle$$

$$\tilde{x}'' = \frac{-k(s) \langle x^2 \rangle}{\tilde{x}} + \frac{\tilde{\epsilon}_x^2}{\tilde{x}^3}$$



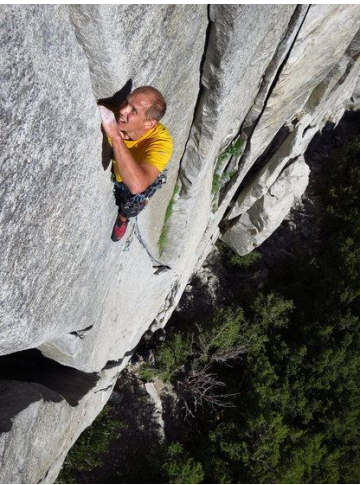
RMS envelope equation without space charge (yields the equation of beta function)

$$\tilde{x}'' + k(s)\tilde{x} - \frac{\tilde{\epsilon}_x^2}{\tilde{x}^3} = 0$$

# Including space charge



Frank Sacherer  
1940 - 1978



Sacherer Cracker,  
Yosemite (and 33 peaks climbed)

10 / 10 / 2016

Equation of motion

$$x'' = -k(s)x + \mathcal{E}_x$$

Space charge  
force "scaled" in  
Equation of motion



Therefore

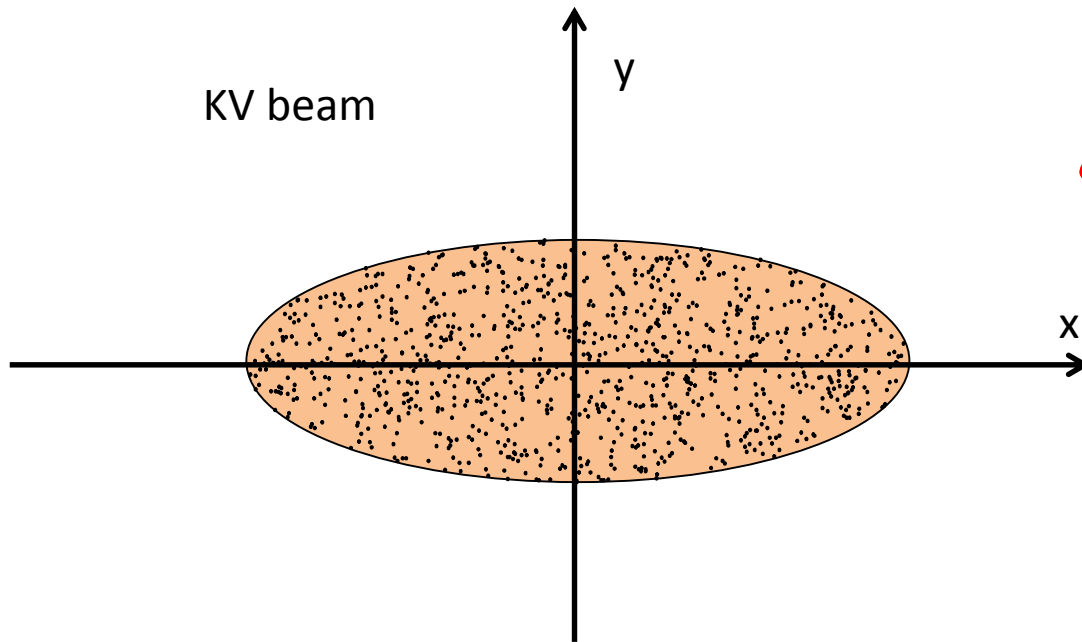
$$\langle xx'' \rangle = -k(s)\langle x^2 \rangle + \langle x\mathcal{E}_x \rangle$$

$$\tilde{x}'' + k(s)\tilde{x} - \frac{\langle x \mathcal{E}_x \rangle}{\tilde{x}} - \frac{\tilde{\epsilon}_x^2}{\tilde{x}^3} = 0$$

What is it  $\langle x \mathcal{E}_x \rangle$  ?

Well: If  $\mathcal{E}_x = \lambda x \rightarrow \langle x \mathcal{E}_x \rangle = \lambda \tilde{x}^2$

# For a KV beam



$$\mathcal{E}_x = 2K \frac{x}{X(X + Y)}$$



$$\langle x \mathcal{E}_x \rangle = 2K \frac{\langle x^2 \rangle}{X(X + Y)} = 2K \frac{X}{(X + Y)}$$

# F. Sacherer: very surprising result

If the beam has  
transverse distribution

$$\rho \propto n \left( \frac{x^2}{X^2} + \frac{y^2}{Y^2} \right)$$

True for any distribution matched  
with the naked optics



$$\langle x \mathcal{E}_x \rangle = 2K \frac{X}{(X + Y)}$$



# RMS envelope equation

Therefore the rms envelope follows the equation

$$\tilde{x}'' + k(s)\tilde{x} - \frac{K}{2(\tilde{x} + \tilde{y})} - \frac{\tilde{\epsilon}_x^2}{\tilde{x}^3} = 0$$

If different beams have the same rms sizes,  
the same rms emittance, the same perveance



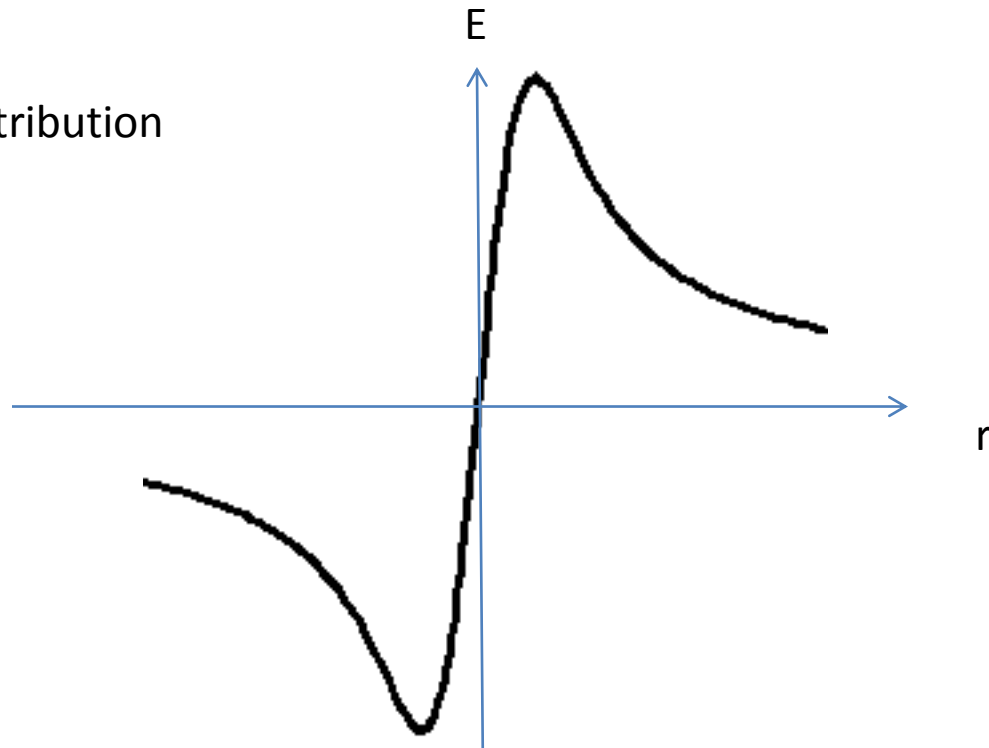
**All these beams have the same rms evolution**



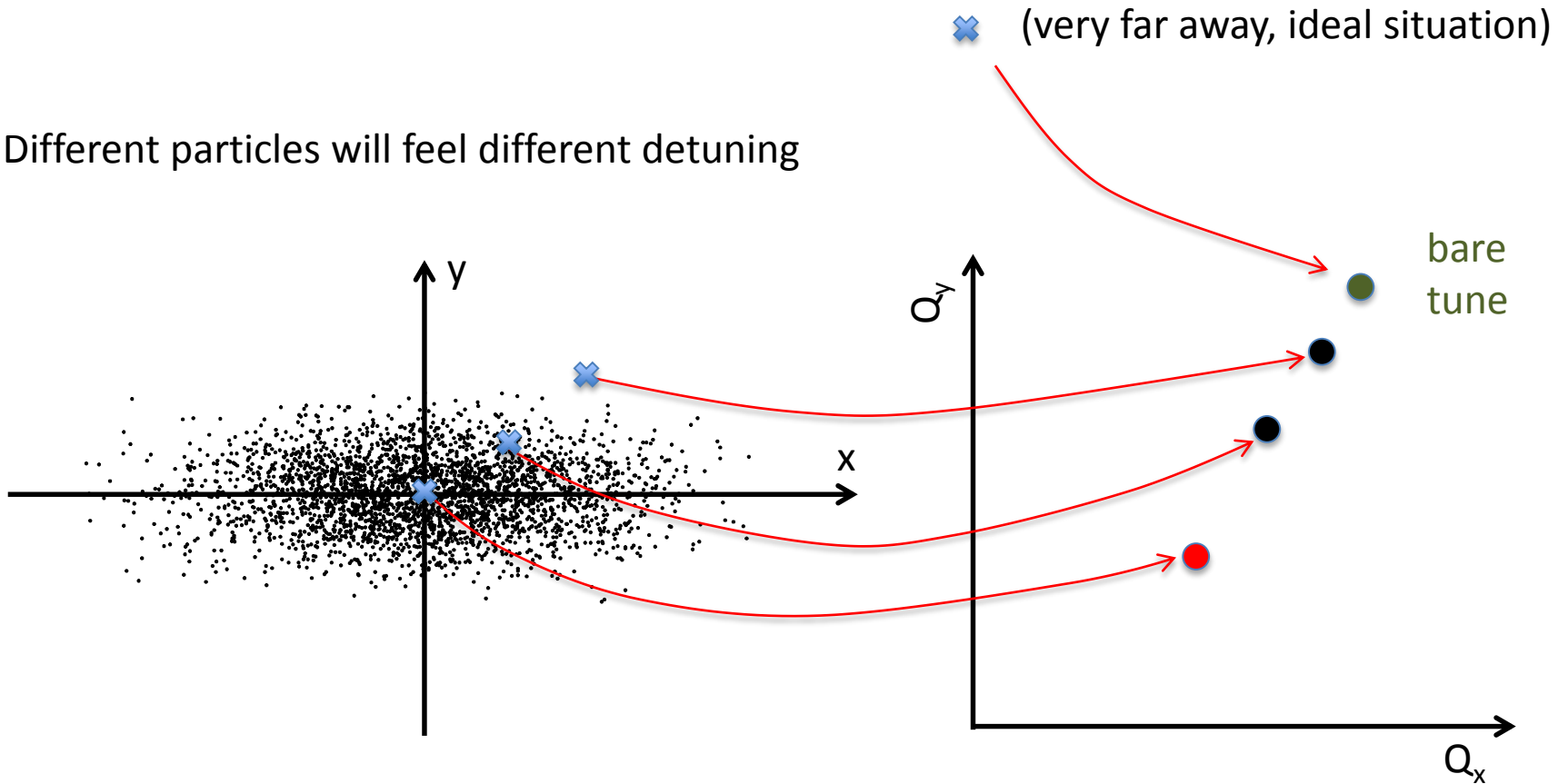
# Space Charge Detuning of Non-uniform distribution

For WB, G distributions the expression of the space charge force is more complex.

Example of a  
Gaussian distribution

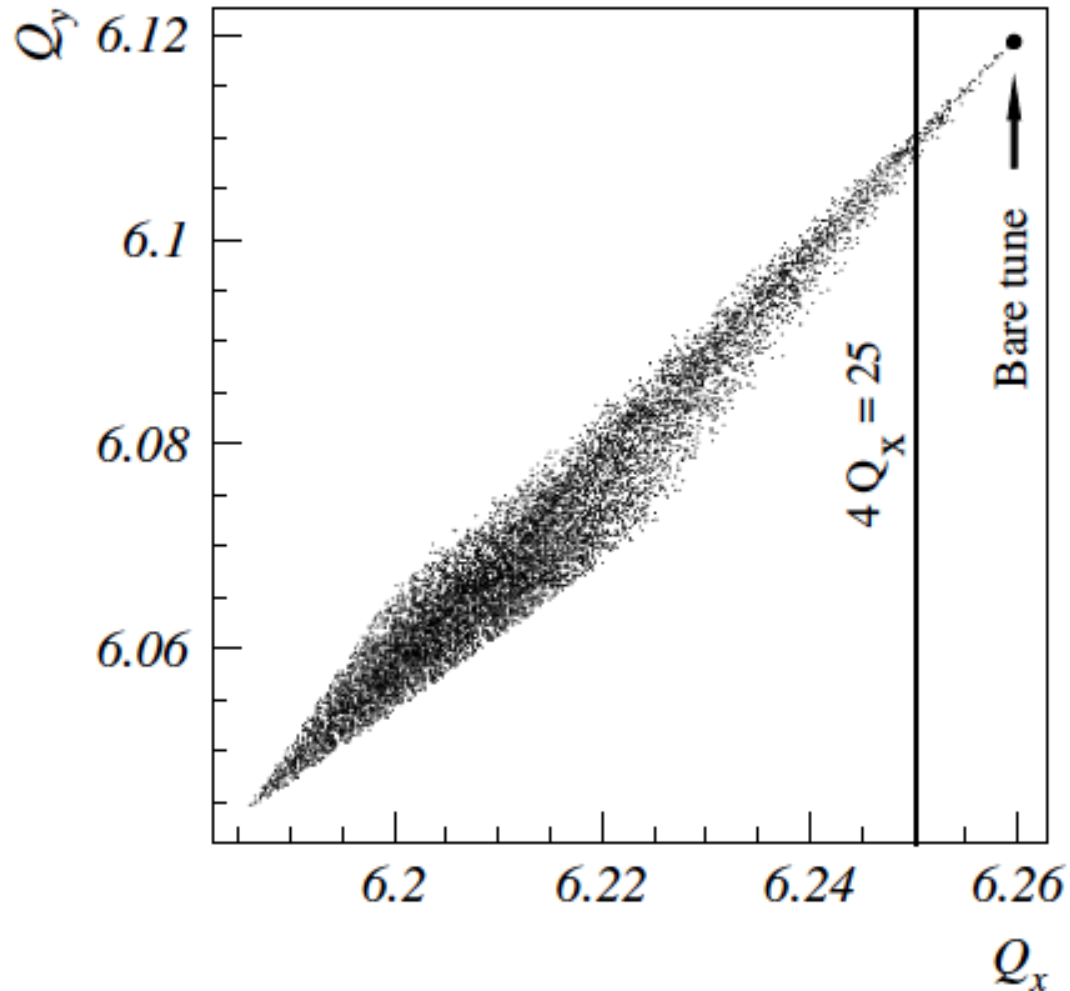


Different particles will feel different detuning



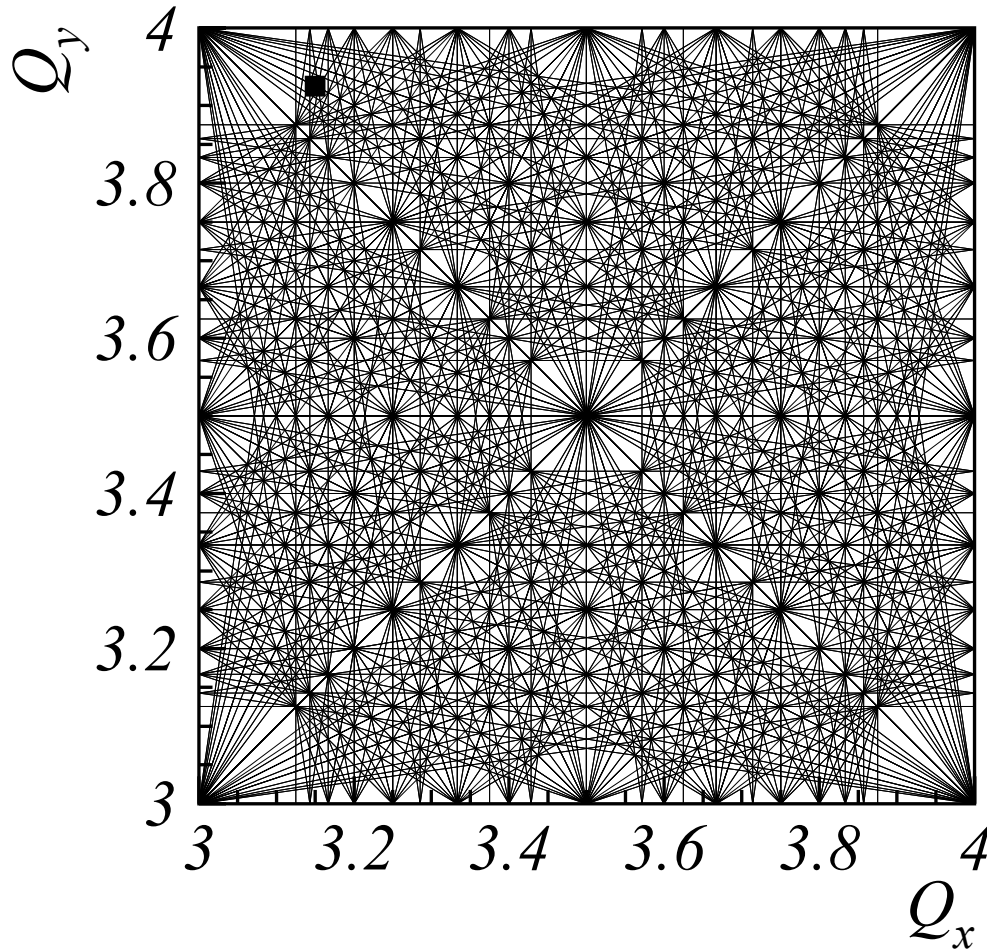
# The space charge tune-spread

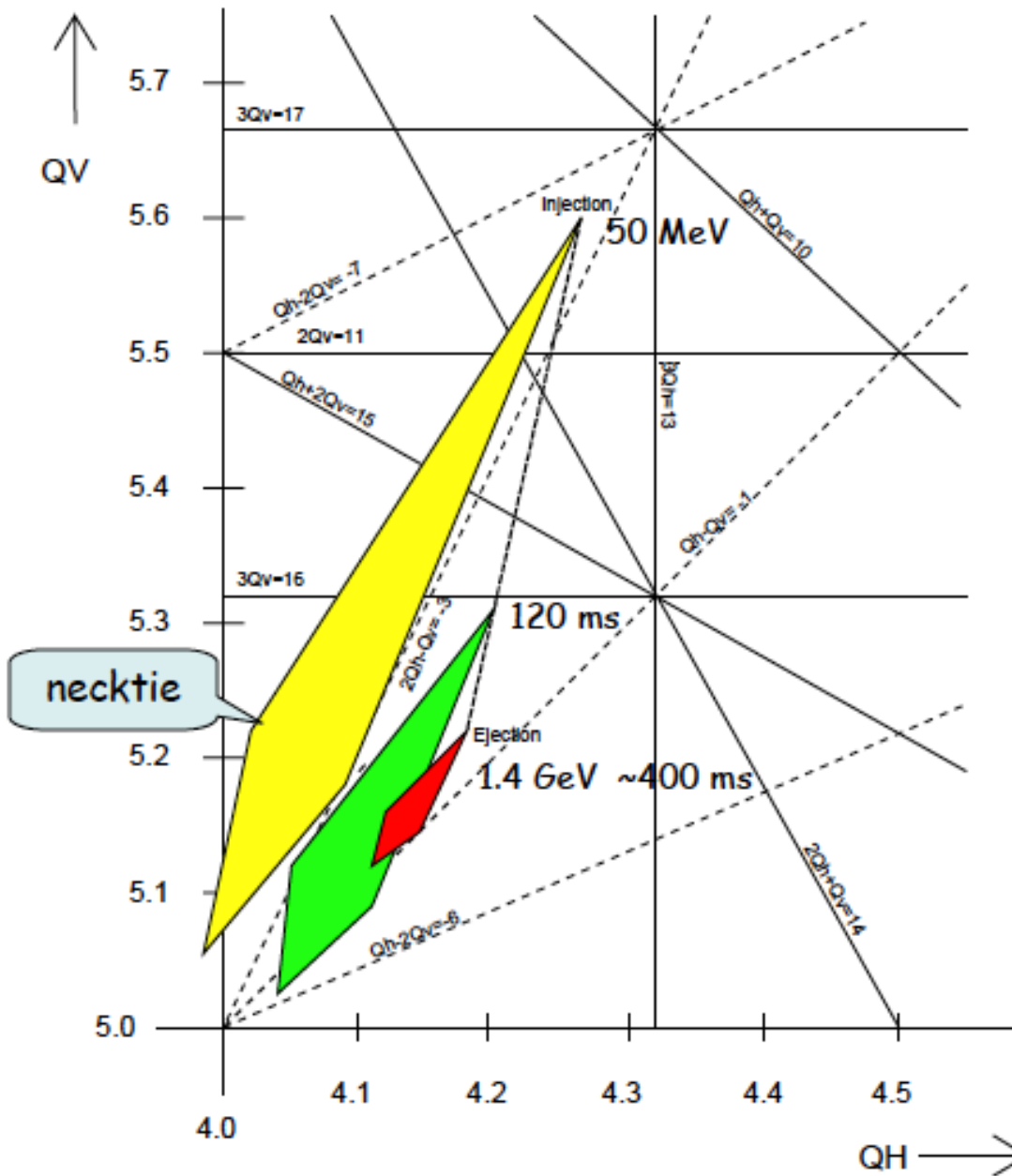
Example



# Consequences

If the space charge induced tune-spread overlaps a machine resonance there is a problem





## Issues

- 1) Space charge + resonances in coasting beams
- 2) Space charge + resonances in bunched beams
- 3) Collective beam response to direct space charge forces ?

# Space charge in Linacs

Linac  $\rightarrow$  low energy  $\gamma \rightarrow 1$

Space charge forces  
are not damped by self  
magnetic field



Much stronger effect on the  
dynamics

Collective modes excited by direct space charge are very important

# Rings vs Linacs (example)

Usually beam intensity is limited to constrain the incoherent tuneshift

$$|\Delta Q_{x/y}| < 0.25$$

Rings focusing strength typically provides large tunes

$$Q_{x/y} > 4$$

Depressed tunes

$$Q_x / Q_{x0} > 0.95$$

Depressed phase advance

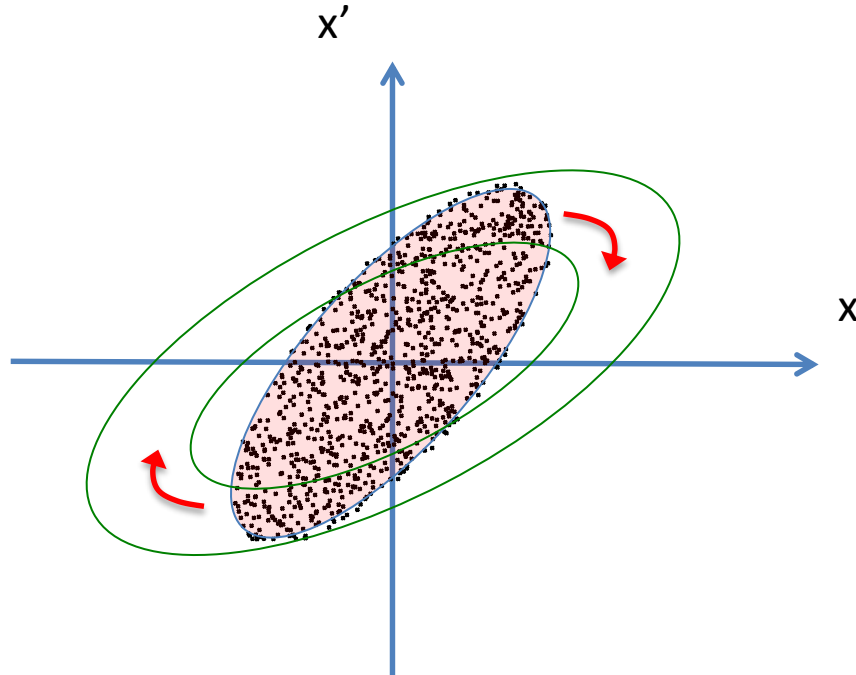
$$\psi / \psi_0 \sim 0.5$$

Direct space charge creates complex effects

# Oscillation of mismatched beams

## Without space charge

Small oscillation: a mismatched KV



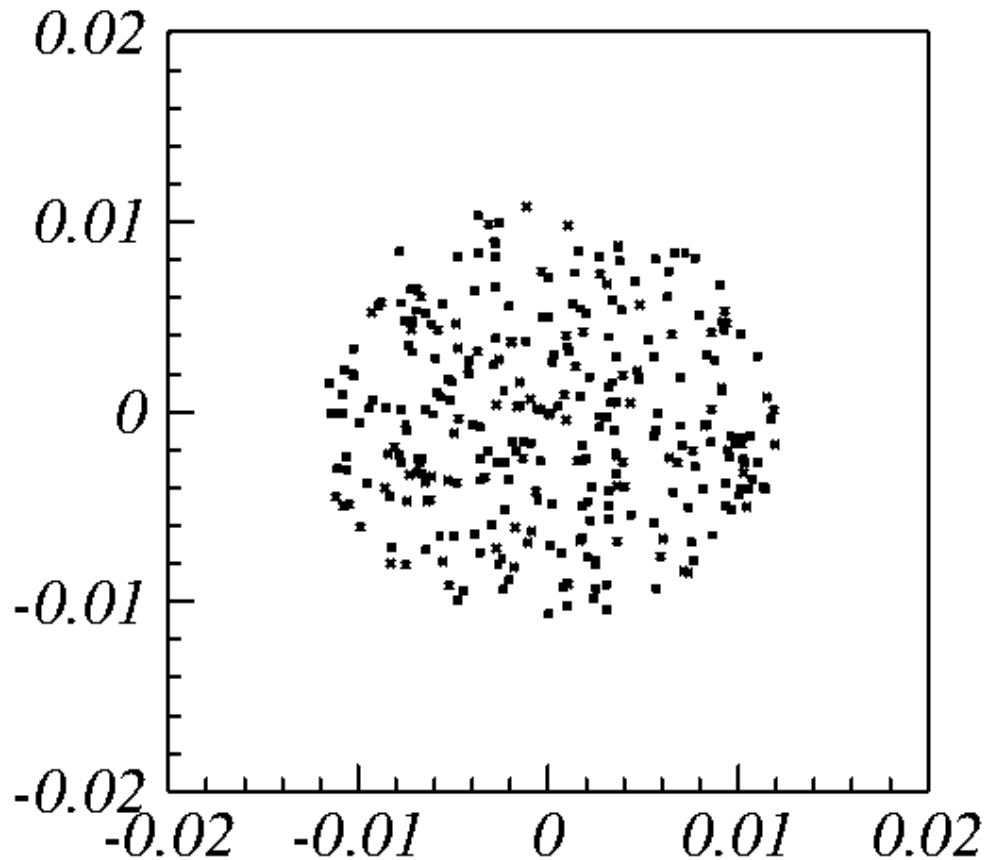
Number of oscillations per turn

$$2 \times Q_{0x}$$



# Coherent frequencies

Example of coherent motion driven by an incoherent force (the lattice)  
Matched beam kicked with a quadrupolar kick

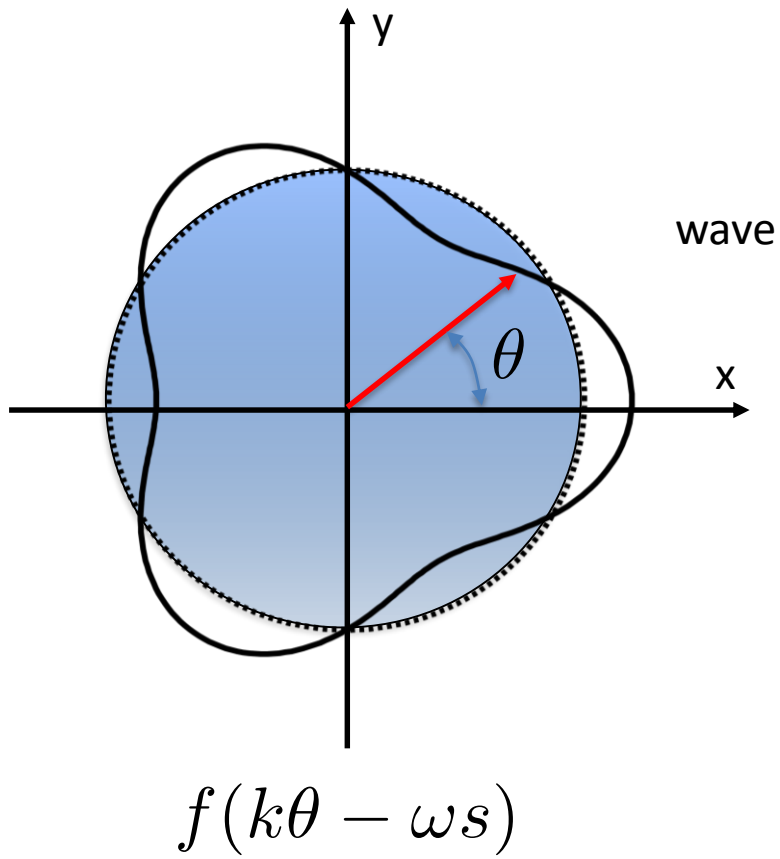


Coherent  
frequencies

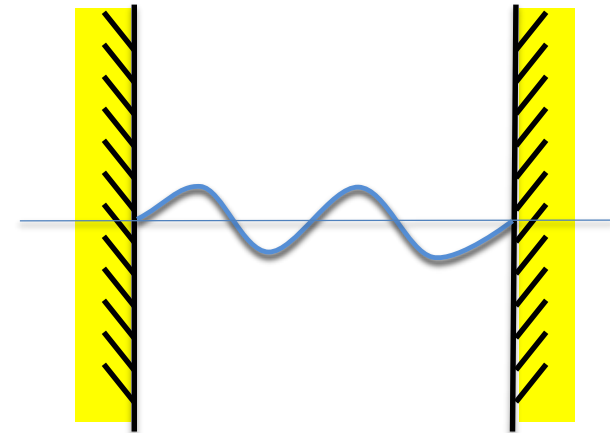
without  
space charge  
 $2 \times Q_{0x}$

# Coherent Modes

Transverse beam oscillations



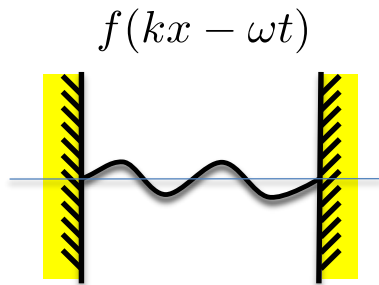
String between two walls



Any wave comes from a wave  
Equation  $\rightarrow f(kx - \omega t)$

# Coherent Modes: stability/instability

String between two walls



From wave equation

$$\frac{\partial^2}{\partial t^2} f = v^2 \nabla^2 f$$



Dispersion relation

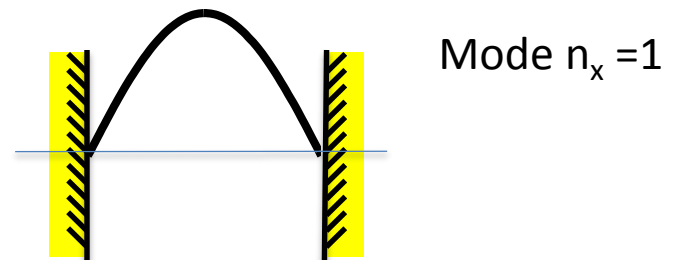
$$v^2(k_x^2 + k_y^2 + k_z^2) = \omega^2$$

Boundary condition  $\rightarrow$   
Only special values of  $k$  are allowed

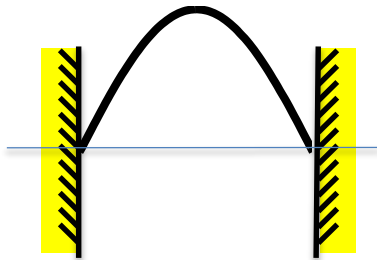


$$k_x L = n_x \pi$$

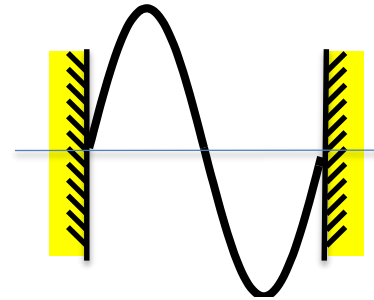
$$n_x = 0, 1, 2, 3, \dots$$



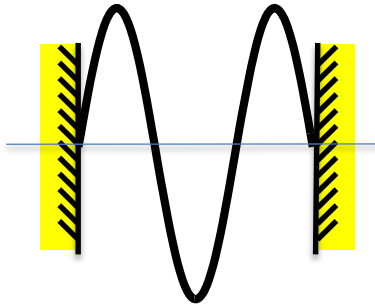
# Coherent Modes: stability/instability



Mode  $n_x = 1$



Mode  $n_x = 2$



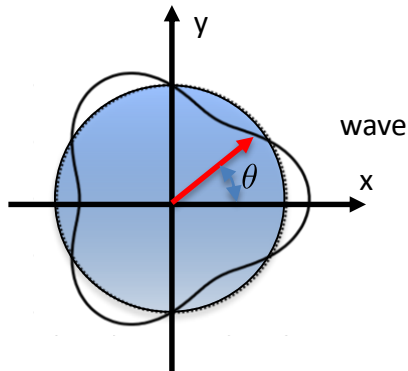
Mode  $n_x = 3$

Modes are always stable

For the EM waveguide there is a cut-off frequency as a result of the boundary condition in  $x, y$  (if the wave propagates along  $z$ ).

# Coherent Modes: stability/instability

Transverse beam oscillations



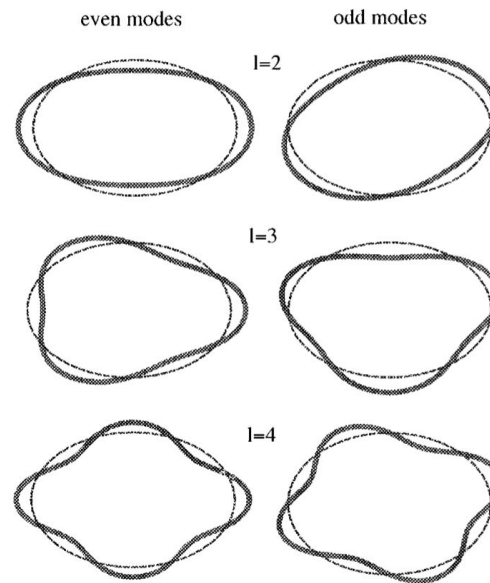
$$f(k\theta - \omega s)$$

Evolution of the “wave” is found from the **Vlasov** equation  
 → Dispersion relation

Very complex

Normal modes  $f = f(\theta)e^{-i\omega s}$

Frequency of the modes depends on the beam intensity (space charge tune-shift)



I.Hofmann, PRE.57, 4713

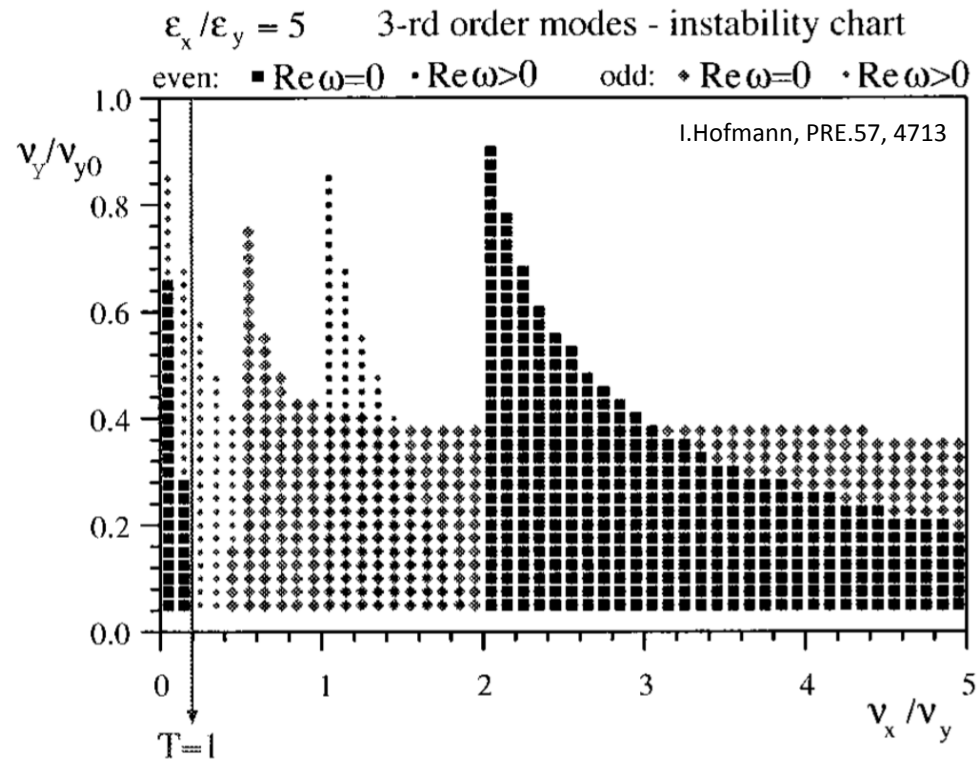
**Dispersion relation**

Modes can become unstable if  $\omega$  is imaginary

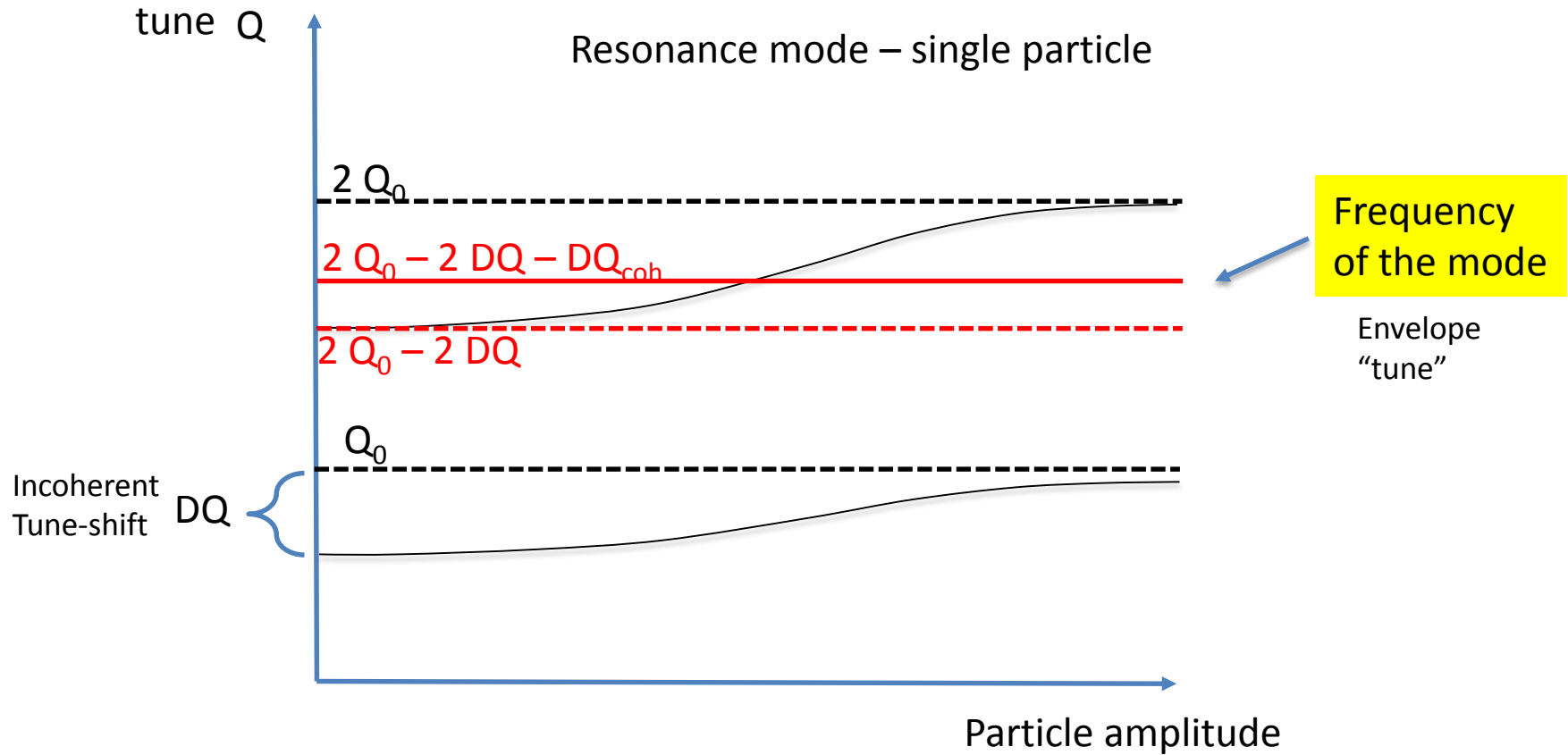
No damping, but Growth !

# Instability charts

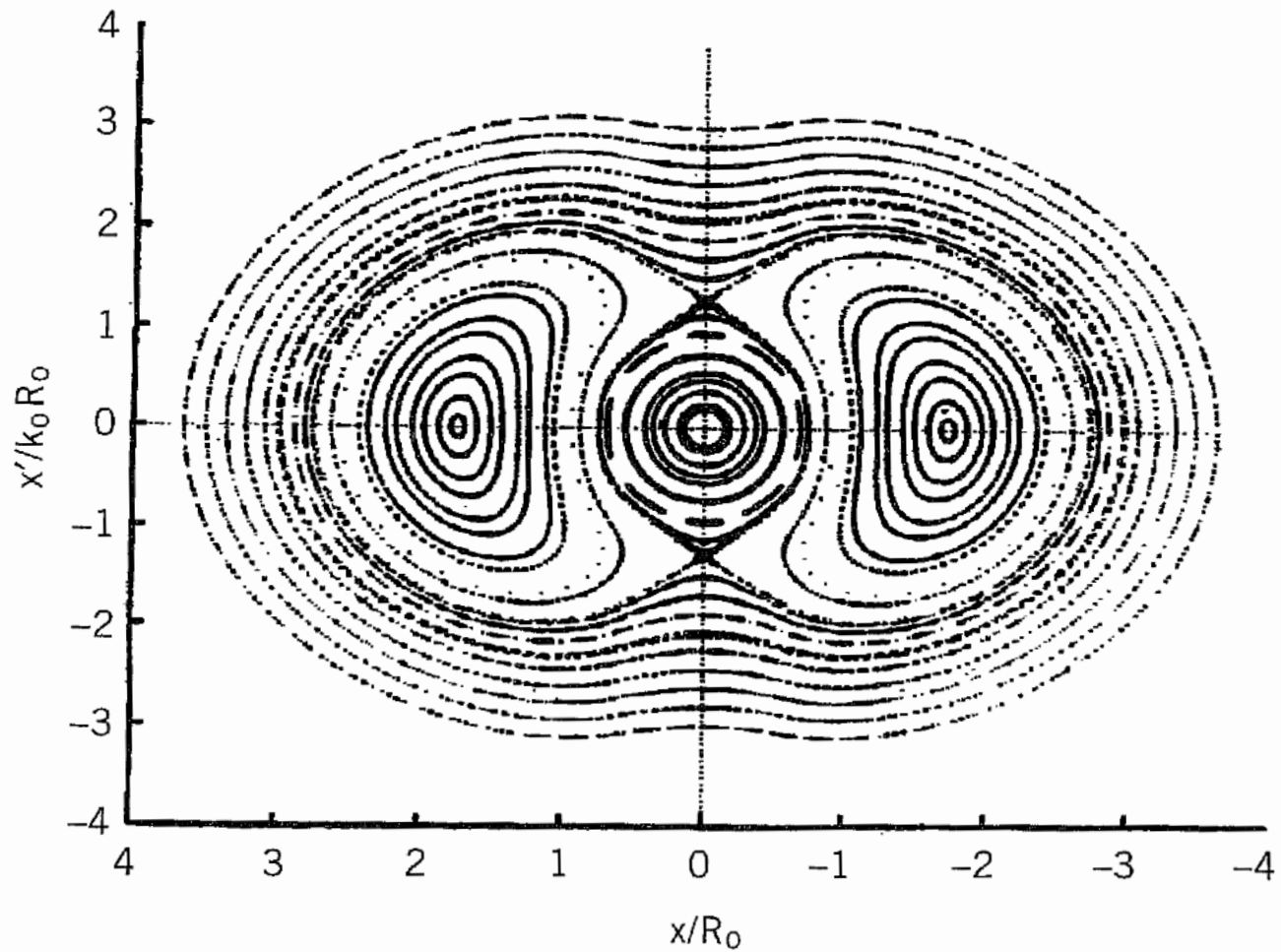
Example of instability charts



# The 2:1 resonance



# Halo formation



T.P. Wangler Principles of RF linear accelerators, Wiley 1998



# Summary

- 1) Space charge is important at low energy
- 2) Space charge affect the optics
- 3) It requires a matched beam
- 4) It creates a tune-spread
- 5) Beams rms-equivalent behave similarly (in rms sense)
- 6) Mismatched beams oscillates (mismatch)
- 7) Self-consistency is important and desired
- 8) Space charge tune spread creates severe problem in case of resonance overlapping
- 10) The higher the space charge tune-spread the more difficult is to control the beam
- 11) Space charge in LINACS is much stronger
- 12) Space charge creates Halo
- 13) Collective space charge resonances should be avoided!

Next lecture → Image charge → Collective effects

# Further readings

## **Theory and design of charged particle beams**

Martin Reiser, JOHN WILEY and Son, Inc., New York 1994

## **Principles of RF linear accelerators**

T.P. Wangler, JOHN WILEY and Son, Inc., New York 1998

All previous CAS