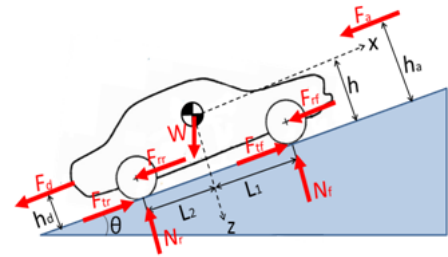


LONGITUDINAL beam DYNAMICS in circular accelerators



Frank Tecker
CERN, BE-OP



Introduction to Accelerator Physics
Vysoke-Tatry, Slovakia, 8-21/9/2019

Introductory CAS, Slovakia, September 2019

1

Scope and Summary of the 2 lectures:

The goal of an accelerator is to provide a **stable particle beam**.

The particles nevertheless perform **transverse betatron oscillations**.

We will see that they also perform (so-called **synchrotron**) **oscillations** in the **longitudinal** plane and in **energy**.

We will look at the stability of these oscillations, their dynamics and derive some basic equations.

- Introduction
- Circular accelerators: Cyclotron / Synchrotron
- Dispersion Effects in Synchrotron
- Stability and Longitudinal Phase Space Motion
- Hamiltonian
- Stationary Bucket
- Injection Matching

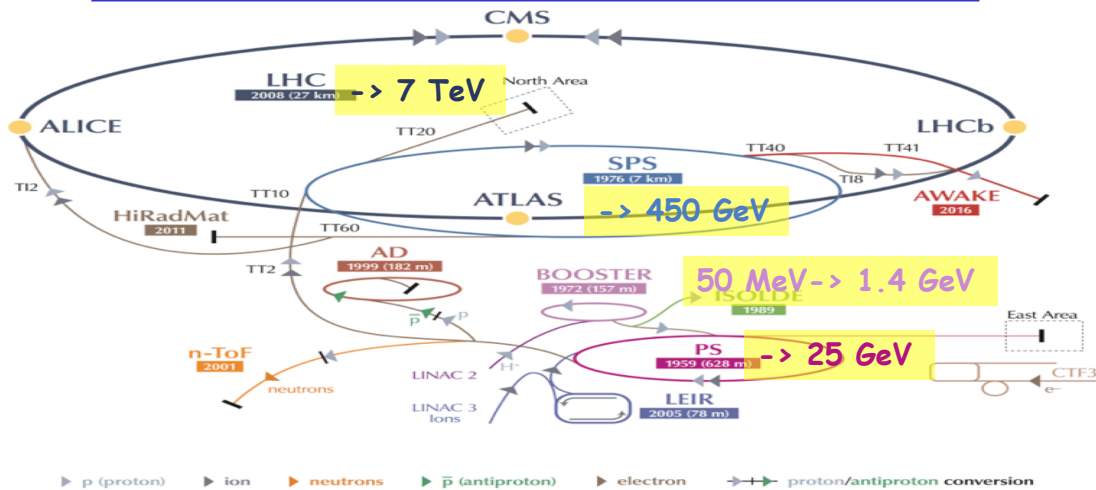
More related lectures:

- Linacs
- RF Systems
- Electron Beam Dynamics
- Non-Linear longitudinal Beam Dynamics
- Hands-on calculations - longitudinal in the second week !!!
- David Alesini
- Heiko Damerau
- Lenny Rivkin
- Heiko Damerau

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2

Motivation for circular accelerators



- Linear accelerators scale in size and cost(!) ~linearly with the energy.
- Circular accelerators can each turn reuse
 - the **accelerating system**
 - the vacuum chamber
 - the bending/focusing **magnets**
 - beam instrumentation, ...
- > **economic solution** to reach **higher** particle **energies**
- > **high energy accelerators** today are **synchrotrons**.

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3

Particle types and acceleration

The accelerating system will depend upon the **evolution** of the **particle velocity**:

- **electrons** reach a **constant velocity** (~speed of light) at relatively low energy
- **heavy particles** reach a constant velocity only at very high energy
 - > need different types of resonators, optimized for different velocities
 - > the **revolution frequency will vary**, so the **RF frequency** will be **changing**
 - > magnetic field needs to follow the momentum increase

Particle rest mass m_0 :

electron 0.511 MeV

proton 938 MeV

^{239}U ~220000 MeV

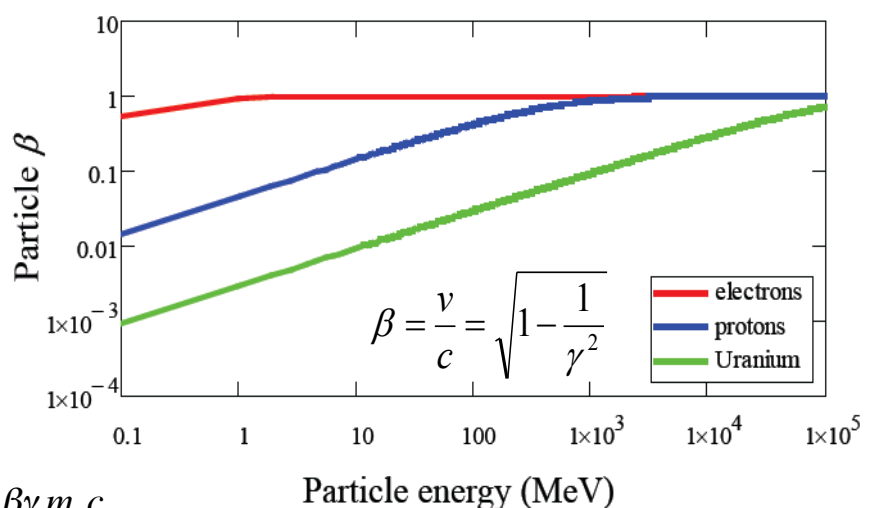
Total Energy: $E = \gamma m_0 c^2$

Relativistic
gamma factor:

$$\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - \beta^2}}$$

Momentum:

$$p = mv = \frac{E}{c^2} \beta c = \beta \frac{E}{c} = \beta \gamma m_0 c$$



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Revolution frequency variation

The **revolution and RF frequency** will be **changing** during acceleration
Much **more important for lower energies** (values are kinetic energy - protons).

PS Booster: 50 MeV ($\beta = 0.314$) \rightarrow 1.4 GeV ($\beta = 0.915$)
602 kHz \rightarrow 1746 kHz \Rightarrow **190% increase**

PS: 1.4 GeV ($\beta = 0.915$) \rightarrow 25.4 GeV ($\beta = 0.9994$)
437 KHz \rightarrow 477 KHz \Rightarrow **9% increase**

SPS: 25.4 GeV \rightarrow 450 GeV ($\beta = 0.999998$)
 \Rightarrow **0.06% increase**

LHC: 450 GeV \rightarrow 7 TeV ($\beta = 0.999999991$)
 \Rightarrow **$2 \cdot 10^{-6}$ increase**

RF system needs more flexibility in **lower energy** accelerators.

Question: What about **electrons** and **positrons**?

Acceleration + Energy Gain

May the force
be with you!



To accelerate, we need a **force in the direction of motion!**

**Newton-Lorentz Force
on a charged particle:**

$$\vec{F} = \frac{d\vec{p}}{dt} = e \left(\vec{E} + \vec{v} \times \vec{B} \right)$$

2nd term always perpendicular
to motion \Rightarrow **no acceleration**

Hence, it is necessary to have an **electric field E**
(preferably) **along the direction of the initial momentum (z)**,
which changes the momentum p of the particle.

$$\frac{dp}{dt} = eE_z$$

In relativistic dynamics, total **energy E** and **momentum p** are **linked by**

$$E^2 = E_0^2 + p^2 c^2 \quad \Rightarrow \quad dE = v dp \quad \left(2E dE = 2c^2 p dp \Leftrightarrow dE = c^2 mv / E dp = v dp \right)$$

The rate of **energy gain per unit length** of acceleration (along z) is then:

$$\frac{dE}{dz} = v \frac{dp}{dz} = \frac{dp}{dt} = eE_z$$

and the kinetic **energy gained** from the field along the z path is:

$$dW = dE = q E_z dz \quad \rightarrow \quad W = q \int E_z dz = qV \quad \begin{array}{l} - V \text{ is a potential} \\ - q \text{ the charge} \end{array}$$

Unit of Energy

Today's accelerators and future projects work/aim at the **TeV energy** range.

LHC: 7 TeV → 14 TeV

CLIC: 380 GeV → 3 TeV

HE-LHC/FCC: 33/100 TeV

In fact, this energy unit comes from acceleration:

1 eV (electron Volt) is the energy that 1 elementary charge e (like one electron or proton) gains when it is accelerated in a potential (voltage) difference of 1 Volt.

Basic Unit: eV (electron Volt)

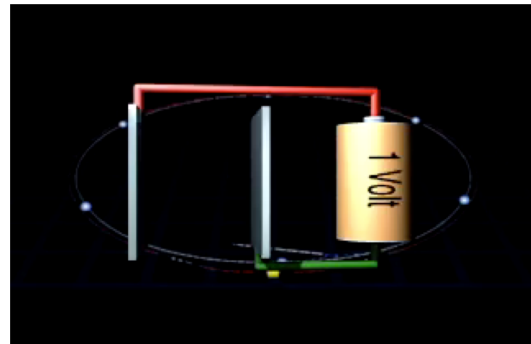
keV = 1000 eV = 10^3 eV

MeV = 10^6 eV

GeV = 10^9 eV

TeV = 10^{12} eV

LHC = ~450 Million km of batteries!!!
3x distance Earth-Sun



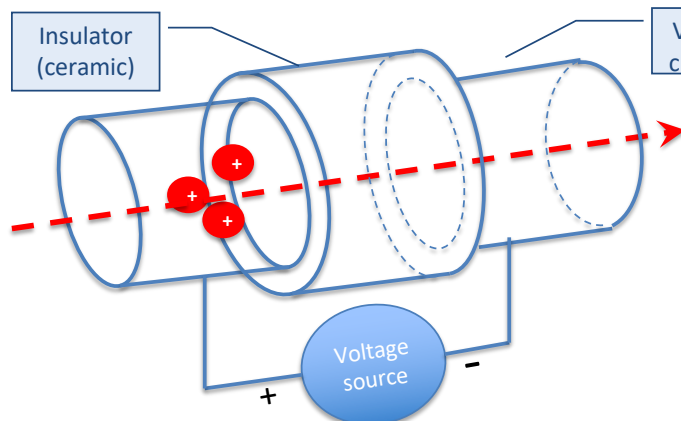
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7

Methods of Acceleration in circular accelerators

Electrostatic field limited by insulation, magnetic field doesn't accelerate at all.

Circular machine: DC acceleration impossible since $\oint \vec{E} \cdot d\vec{s} = 0$



First attracted
Acceleration
Then again attracted
Deceleration

➡ **no Acceleration**

The electric field is derived from a scalar potential ϕ and a vector potential A
The **time variation of the magnetic field H generates an electric field E**

The solution: \Rightarrow time varying electric fields

- Induction
- RF frequency fields

$$\oint \vec{E} \cdot d\vec{s} = - \iint \frac{\partial \vec{B}}{\partial t} \cdot d\vec{A}$$

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8

Acceleration by Induction: The Betatron

It is based on the principle of a **transformer**:

- **primary side**: large electromagnet - **secondary side**: electron beam.

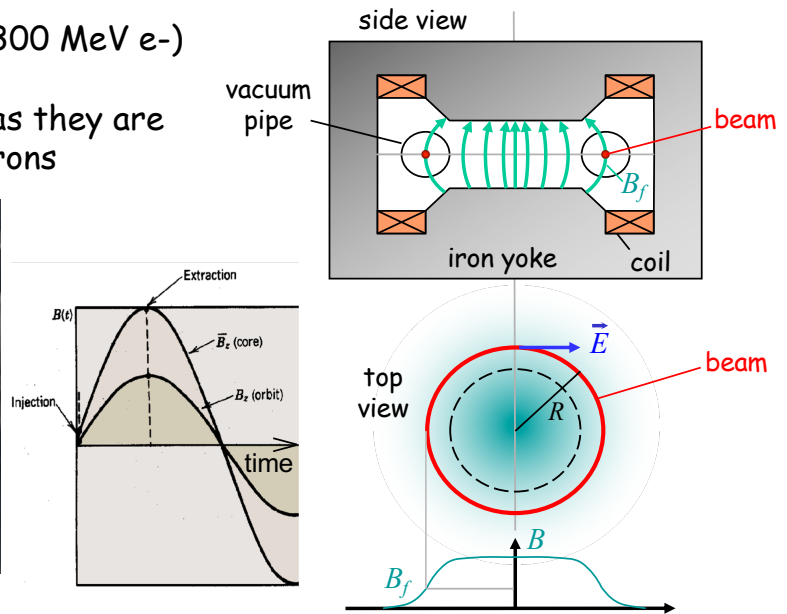
The ramping magnetic field is used to guide particles on a circular trajectory as well as for acceleration.

Limited by saturation in iron (~300 MeV e-)

Used in industry and medicine, as they are compact accelerators for electrons



Donald Kerst with the first betatron, invented at the University of Illinois in 1940



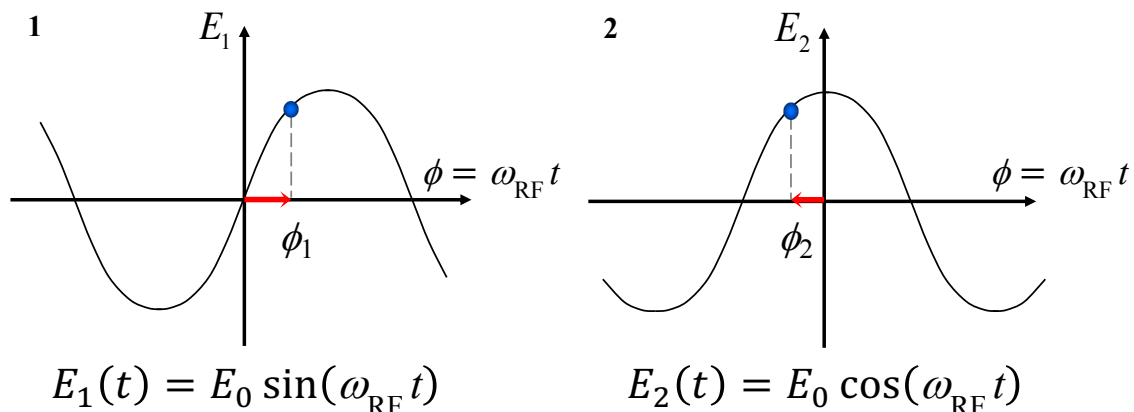
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9

Common Phase Conventions

1. For **circular accelerators**, the origin of time is taken at the **zero crossing** of the RF voltage with positive slope
2. For **linear accelerators**, the origin of time is taken at the positive **crest** of the RF voltage

Time $t = 0$ chosen such that:



3. I will stick to **convention 1** in the following to avoid confusion

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10

Circular accelerators

Cyclotron
Synchrotron

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11

Circular accelerators: Cyclotron

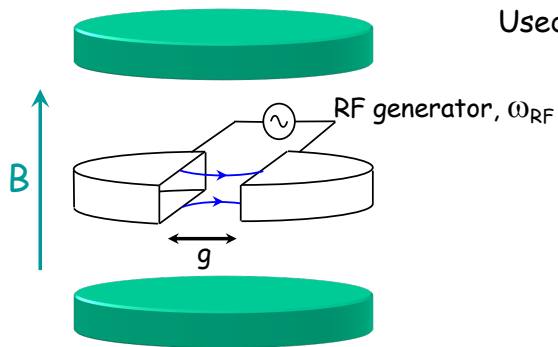


Courtesy: EdukiteLearning, <https://youtu.be/cNnNM2ZqIsc>

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12

Circular accelerators: Cyclotron



Used for protons, ions

$B = \text{constant}$

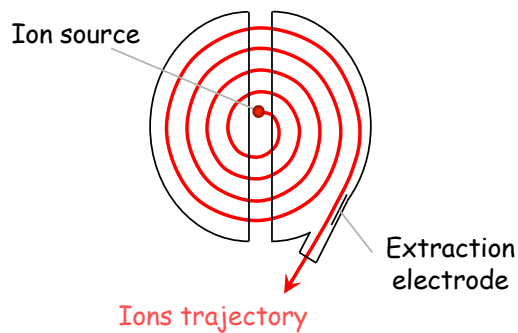
$\omega_{RF} = \text{constant}$

Synchronism condition



$$\omega_s = \omega_{RF}$$

$$2\pi \rho = v_s T_{RF}$$



Cyclotron frequency $\omega = \frac{q B}{m_0 \gamma}$

1. γ increases with the energy
 \Rightarrow no exact synchronism
2. if $v \ll c \Rightarrow \gamma \cong 1$

[Cyclotron Animation](#)

Animation: http://www.sciences.univ-nantes.fr/sites/genevieve_tulloue/Meca/Charges/cyclotron.html

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13

Circular accelerators: Cyclotron



Courtesy Berkeley Lab,
<https://www.youtube.com/watch?v=cutKuFxeXmQ>

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14

Cyclotron / Synchrocyclotron



TRIUMF 520 MeV cyclotron

Vancouver - Canada



CERN 600 MeV synchrocyclotron

Synchrocyclotron: Same as cyclotron, except a modulation of ω_{RF}

B = constant

$\gamma \omega_{RF}$ = constant

ω_{RF} decreases with time

More in
lectures by
Mike Seidel

The condition:

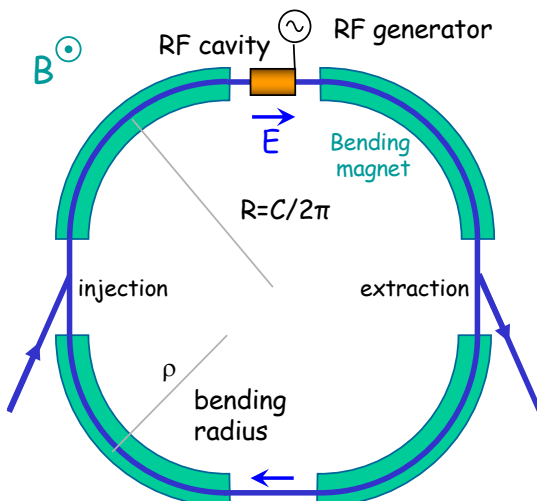
$$\omega_s(t) = \omega_{RF}(t) = \frac{q B}{m_0 \gamma(t)}$$

Allows to go beyond the non-relativistic energies

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15

Circular accelerators: The Synchrotron



Synchronism condition



1. Constant orbit during acceleration
2. To keep particles on the closed orbit, B should increase with time
3. ω and ω_{RF} increase with energy

RF frequency can be multiple of revolution frequency

$$\omega_{RF} = h \omega$$

$$T_s = h T_{RF}$$

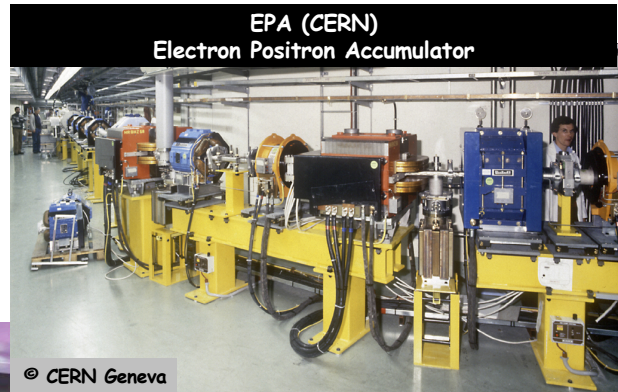
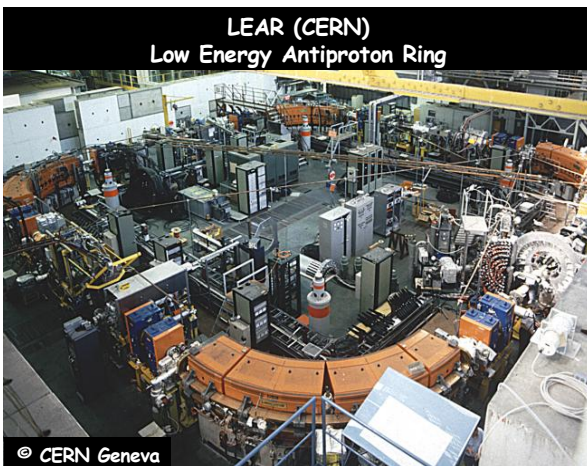
$$\frac{2\pi R}{v_s} = h T_{RF}$$

h integer,
harmonic number:
number of RF cycles
per revolution

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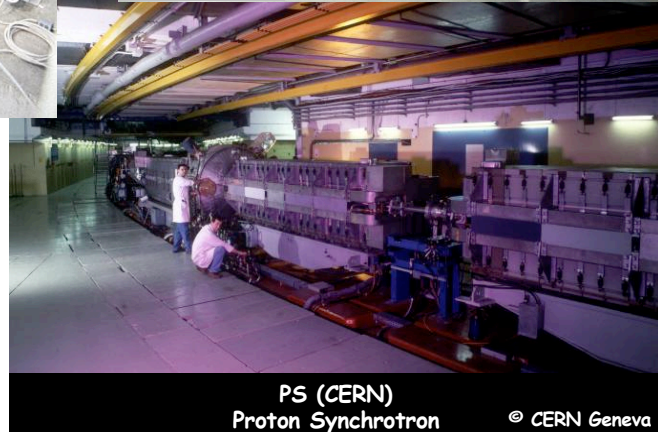
16

Circular accelerators: The Synchrotron



Examples of different
proton and electron
synchrotrons at CERN

+ LHC (of course!)

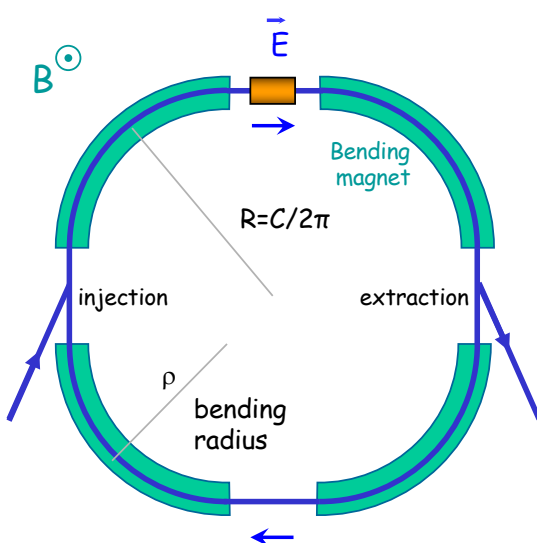


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17

The Synchrotron

The **synchrotron** is a synchronous accelerator since there is a **synchronous RF phase** for which the energy gain **fits** the **increase of the magnetic field** at each turn. That implies the following operating conditions:



$$e \hat{V} \sin \phi \longrightarrow \text{Energy gain per turn}$$

$$\phi = \phi_s = cte \longrightarrow \text{Synchronous particle}$$

$$\omega_{RF} = h\omega \longrightarrow \text{RF synchronism (h - harmonic number)}$$

$$\rho = cte \quad R = cte \longrightarrow \text{Constant orbit}$$

$$B\rho = P/e \Rightarrow B \longrightarrow \text{Variable magnetic field}$$

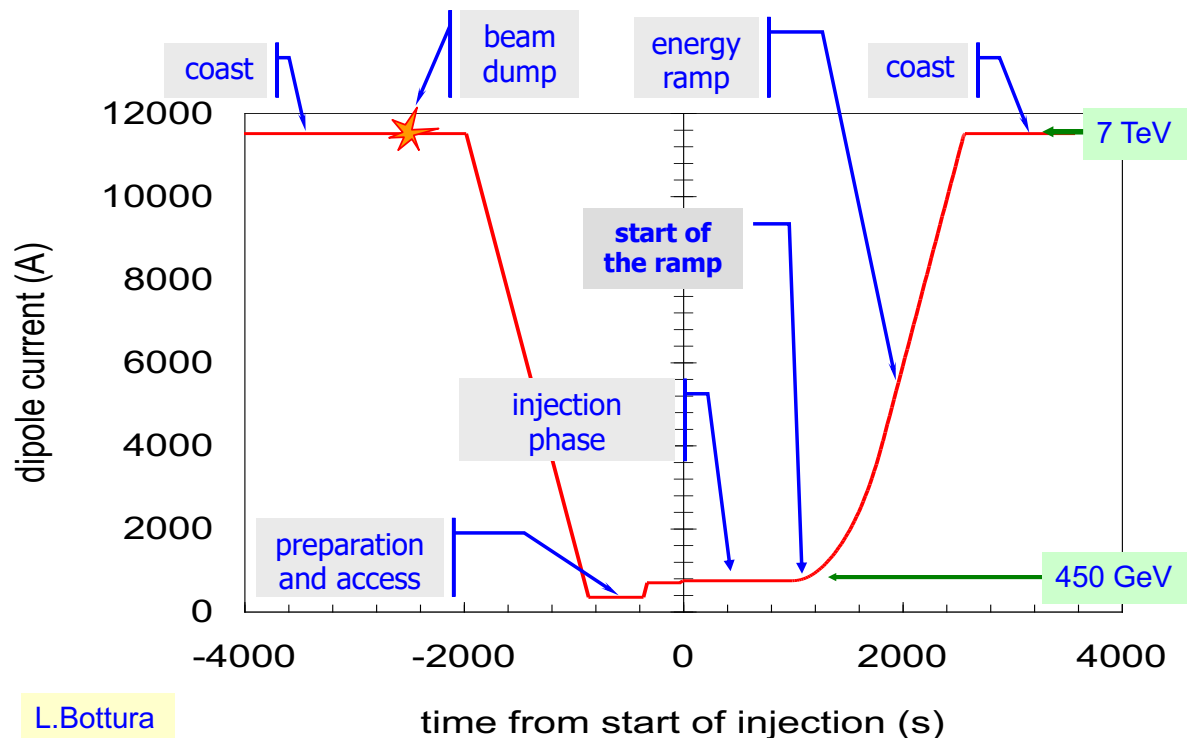
If $v \approx c$, ω hence ω_{RF} remain constant (ultra-relativistic e^-)

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18

The Synchrotron - LHC Operation Cycle

The magnetic **field** (dipole current) is **increased during** the **acceleration**.



L.Bottura

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19

The Synchrotron - Energy ramping

Energy ramping by increasing the B field (frequency has to follow v):

$$p = eB\rho \Rightarrow \frac{dp}{dt} = e\rho \dot{B} \Rightarrow (\Delta p)_{turn} = e\rho \dot{B} T_r = \frac{2\pi e\rho R \dot{B}}{v}$$

Since: $E^2 = E_0^2 + p^2 c^2 \Rightarrow \Delta E = v \Delta p$

$$(\Delta E)_{turn} = (\Delta W)_s = 2\pi e\rho R \dot{B} = e\hat{V} \sin\phi_s$$

Stable phase ϕ_s changes during energy ramping

$$\sin\phi_s = 2\pi\rho R \frac{\dot{B}}{\hat{V}_{RF}} \Rightarrow \phi_s = \arcsin\left(2\pi\rho R \frac{\dot{B}}{\hat{V}_{RF}}\right)$$

- The number of **stable synchronous particles** is equal to the **harmonic number h** . They are equally spaced along the circumference.
- Each synchronous particle satisfies the relation $p=eB\rho$. They have the nominal energy and follow the nominal trajectory.

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20

The Synchrotron - Frequency change

During the energy ramping, the RF frequency increases to follow the increase of the revolution frequency :

$$\omega = \frac{\omega_{RF}}{h} = \omega(B, R_s)$$

Hence:
$$\frac{f_{RF}(t)}{h} = \frac{v(t)}{2\pi R_s} = \frac{1}{2\pi} \frac{ec^2}{E_s(t)} \frac{\rho}{R_s} B(t) \quad \left(\text{using } p(t) = eB(t)\rho, \quad E = mc^2 \right)$$

Since $E^2 = (m_0c^2)^2 + p^2c^2$ the RF frequency must follow the variation of the B field with the law

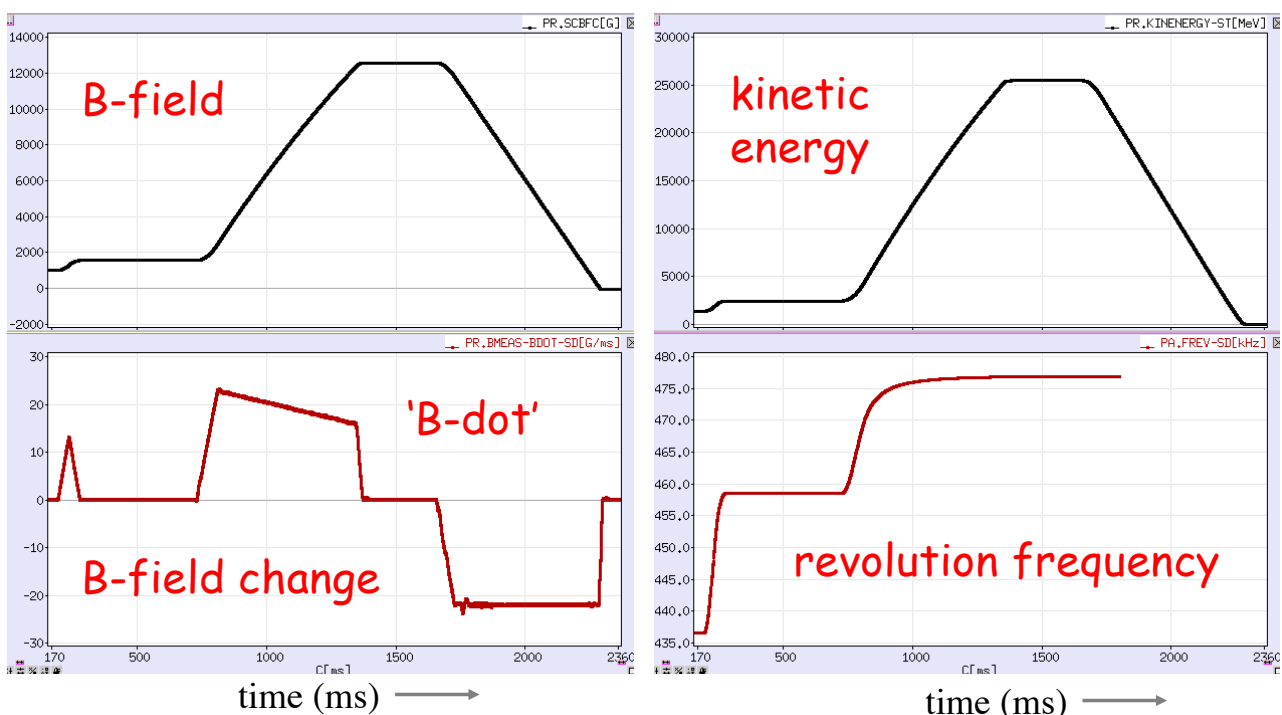
$$\frac{f_{RF}(t)}{h} = \frac{c}{2\pi R_s} \left\{ \frac{B(t)^2}{(m_0c^2 / e\rho)^2 + B(t)^2} \right\}^{1/2}$$

RF frequency program during acceleration determined by B-field

This asymptotically tends towards $f_r \rightarrow \frac{c}{2\pi R_s}$ when B becomes large compared to $m_0c^2 / (e\rho)$ which corresponds to $v \rightarrow c$

Example: PS - Field / Frequency change

During the energy ramping, the B-field and the revolution frequency increase

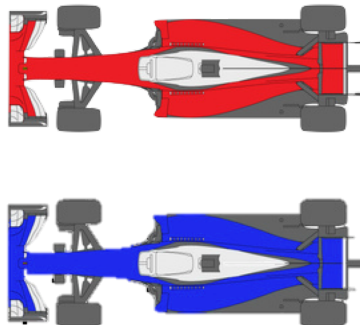


Overtaking in a Formula 1 Race



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23

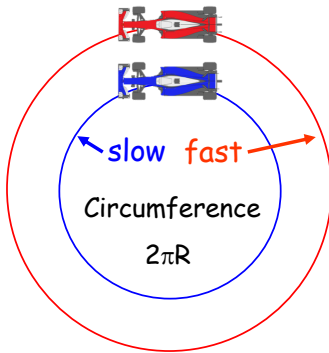


Overtaking in a Formula 1 Race

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24

Overtaking in a Formula 1 Race



v =speed of the car

R =track physical radius

T =revolution period

f_r =revolution frequency

A F1 car wants to overtake another car! It will have a

- a **different track length** due to a 'dispersion orbit'
- and a **different velocity**.

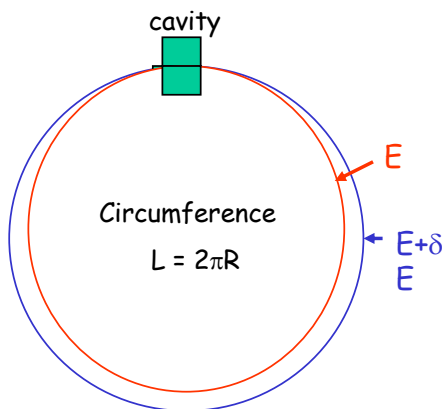
$$T = \frac{L}{v} = \frac{2\pi R}{v} \quad \text{and} \quad f_r = \frac{1}{T} = \frac{v}{2\pi R}$$

$$\Rightarrow \frac{\Delta f_r}{f_r} = \frac{\Delta v}{v} - \frac{\Delta R}{R}$$

The winner depends on the **relative change in speed** compared to the **relative change in track length**!

If the **relative change in speed** is **larger than** the **relative change in track length** \Rightarrow the **red** car will win!

Overtaking in a Synchrotron



p =particle momentum

R =synchrotron physical radius

f_r =revolution frequency

A particle slightly shifted in momentum will have a

- dispersion orbit and a **different orbit length**
- a **different velocity**.

As a result of both effects the revolution frequency changes with a "**slip factor η** ":

$$\eta = \frac{d f_r / f_r}{d p / p} \Rightarrow \eta = \frac{p}{f_r} \frac{d f_r}{d p}$$

Note: you also find η defined with a minus sign!

The "**momentum compaction factor**" is defined as relative orbit length change with momentum:

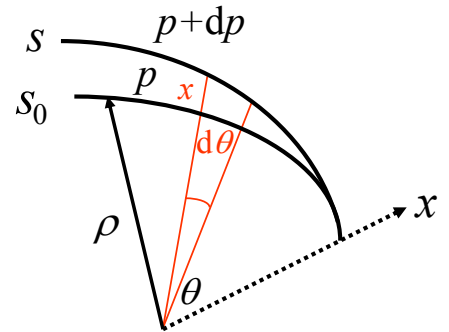
$$\alpha_c = \frac{dL/L}{dp/p} \quad \alpha_c = \frac{p}{L} \frac{dL}{dp}$$

Momentum Compaction Factor

$$\alpha_c = \frac{p}{L} \frac{dL}{dp}$$

$$ds_0 = \rho d\theta$$

$$ds = (\rho + x) d\theta$$



The elementary path difference

from the two orbits is:

definition of dispersion D_x

$$\frac{dl}{ds_0} = \frac{ds - ds_0}{ds_0} = \frac{x}{\rho} \stackrel{\text{definition of } D_x}{=} \frac{D_x}{\rho} \frac{dp}{p}$$

leading to the total change in the circumference:

$$dL = \int_C dl = \int_C \frac{x}{\rho} ds_0 = \int_C \frac{D_x}{\rho} \frac{dp}{p} ds_0$$

$$x = x_0 + D_x \frac{\Delta p}{p}$$

$$\alpha_c = \frac{1}{L} \int_C \frac{D_x(s)}{\rho(s)} ds_0$$

With $p=\infty$ in straight sections we get:

$$\alpha_c = \frac{\langle D_x \rangle_m}{R}$$

$\langle \rangle_m$ means that the average is considered over the bending magnet only

Property of the **transverse beam optics!**

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27

Dispersion Effects - Revolution Frequency

The **two effects** of the **orbit length** and the particle **velocity** change the revolution frequency as:

$$f_r = \frac{\beta c}{2\pi R} \quad \Rightarrow \quad \frac{df_r}{f_r} = \frac{d\beta}{\beta} - \frac{dR}{R} \stackrel{\text{definition of momentum compaction factor}}{=} \frac{d\beta}{\beta} - \alpha_c \frac{dp}{p}$$

definition of momentum compaction factor

$$\frac{df_r}{f_r} = \left(\frac{1}{\gamma^2} - \alpha_c \right) \frac{dp}{p}$$

$$p = mv = \beta \gamma \frac{E_0}{c} \quad \Rightarrow \quad \frac{dp}{p} = \frac{d\beta}{\beta} + \frac{d(1-\beta^2)^{-1/2}}{(1-\beta^2)^{-1/2}} = \underbrace{(1-\beta^2)^{-1}}_{\gamma^2} \frac{d\beta}{\beta}$$

Slip factor:

$$\eta = \frac{1}{\gamma^2} - \alpha_c$$

or

$$\eta = \frac{1}{\gamma^2} - \frac{1}{\gamma_t^2}$$

$$\text{with } \gamma_t = \frac{1}{\sqrt{\alpha_c}}$$

Note: you also find η defined with a minus sign!

At **transition energy**, $\eta = 0$, the velocity change and the path length change with momentum compensate each other. So the revolution frequency there is independent from the momentum deviation.

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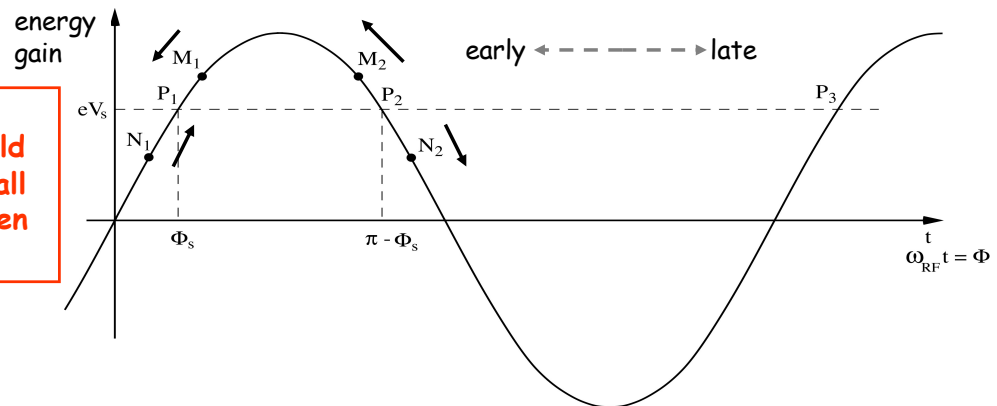
28

RECAP: Principle of Phase Stability (Linac)

Let's consider a succession of accelerating gaps, operating in the 2π mode, for which the synchronism condition is fulfilled for a phase Φ_s .

$eV_s = e\hat{V} \sin \Phi_s$ is the energy gain in one gap for the particle to reach the next gap with the same RF phase: P_1, P_2, \dots are fixed points.

For a 2π mode, the electric field is the same in all gaps at any given time.

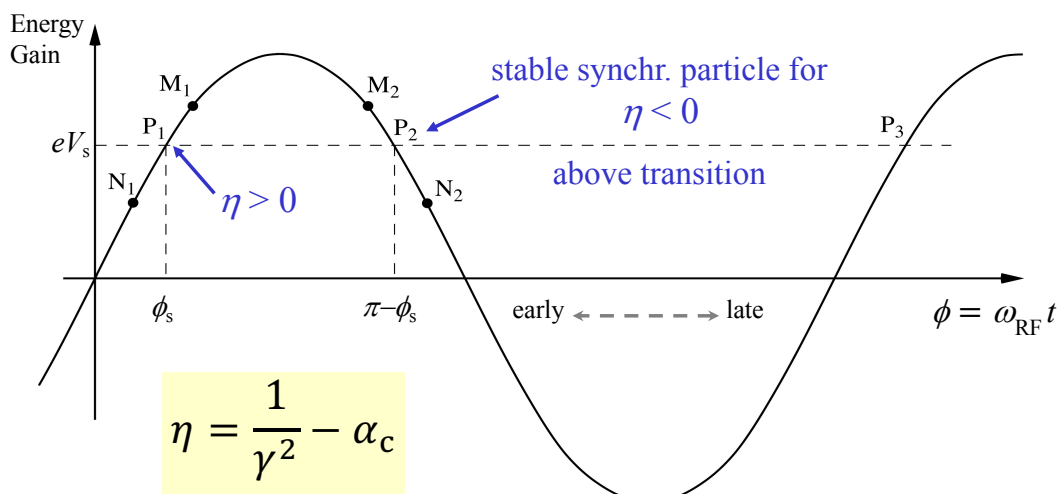


If an **energy increase** is transferred into a **velocity increase** \Rightarrow
 M_1 & N_1 will move towards P_1 \Rightarrow **stable**
 M_2 & N_2 will go away from P_2 \Rightarrow **unstable**
 (Highly relativistic particles have no significant velocity change)

Phase Stability in a Synchrotron

From the definition of η it is clear that an **increase in momentum** gives
 - **below transition** ($\eta > 0$) a **higher revolution frequency**
 (increase in velocity dominates) while

- **above transition** ($\eta < 0$) a **lower revolution frequency** ($v \approx c$ and longer path) where the momentum compaction (generally > 0) dominates.



$$\eta = \frac{1}{\gamma^2} - \alpha_c$$

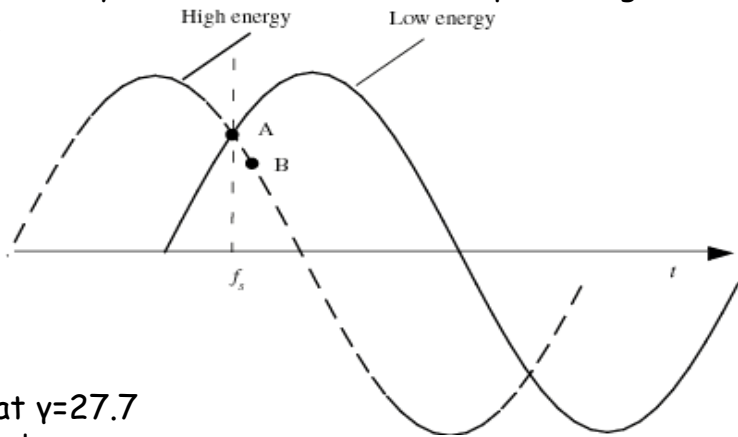
Crossing Transition

At **transition**, the velocity change and the path length change with momentum compensate each other. So the revolution frequency there is independent from the momentum deviation.

Crossing transition during acceleration makes the previous stable synchronous phase unstable. The RF system needs to make a rapid change of the RF phase, a '**phase jump**'.

$$\alpha_c \sim \frac{1}{Q_x^2}$$

$$\gamma_t = \frac{1}{\sqrt{\alpha_c}} \sim Q_x$$



In the PS: γ_t is at $\sim 6 \text{ GeV}$

In the SPS: $\gamma_t = 22.8$, injection at $\gamma = 27.7$

\Rightarrow no transition crossing!

In the LHC: γ_t is at $\sim 55 \text{ GeV}$, also far below injection energy

Transition crossing not needed in leptons machines, why?

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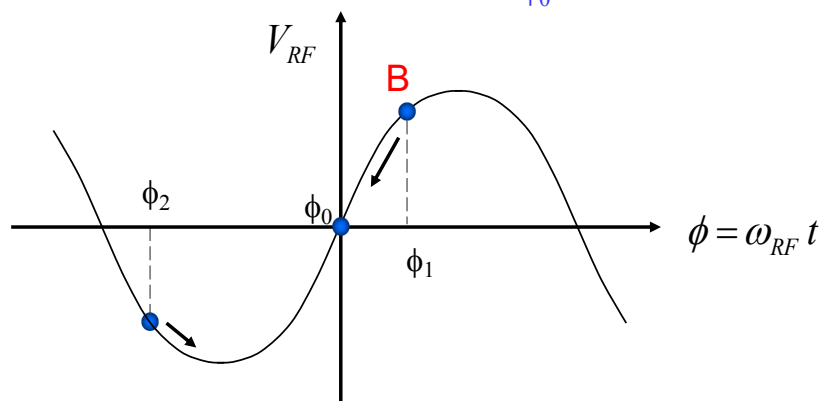
31

Dynamics: Synchrotron oscillations

Simple case (no accel.): $B = \text{const.}$, below transition $\gamma < \gamma_t$

The phase of the synchronous particle must therefore be $\phi_0 = 0$.

- Φ_1
- The particle **B** is accelerated
 - Below transition, an energy increase means an increase in revolution frequency
 - The particle arrives earlier - tends **toward** ϕ_0

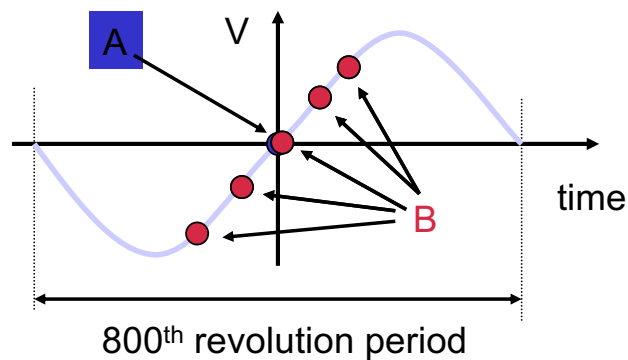


- ϕ_2
- The particle is decelerated
 - decrease in energy - decrease in revolution frequency
 - The particle arrives later - tends **toward** ϕ_0

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32

Synchrotron oscillations

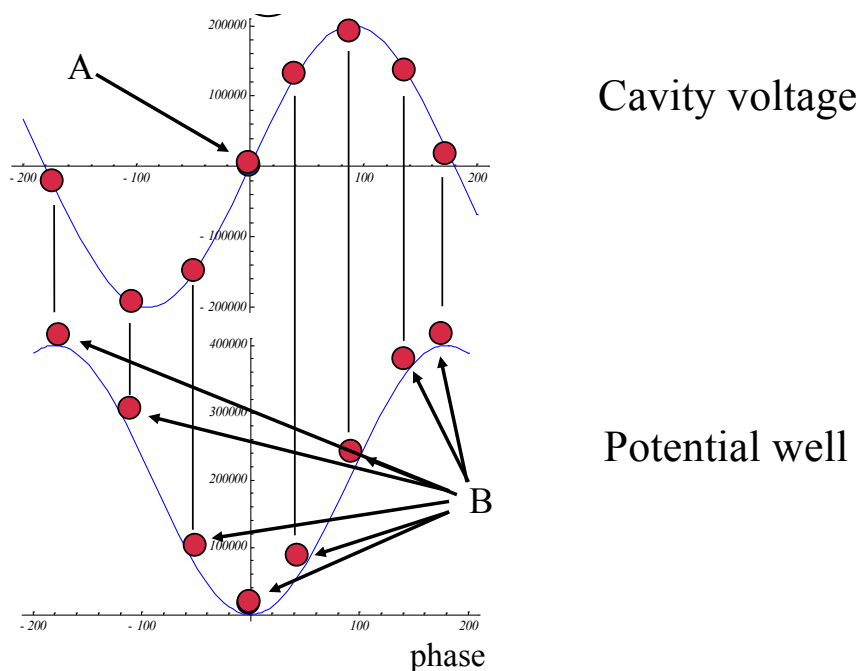


Particle **B** is performing **Synchrotron Oscillations** around synchronous particle **A**.

The amplitude depends on the initial phase and energy.

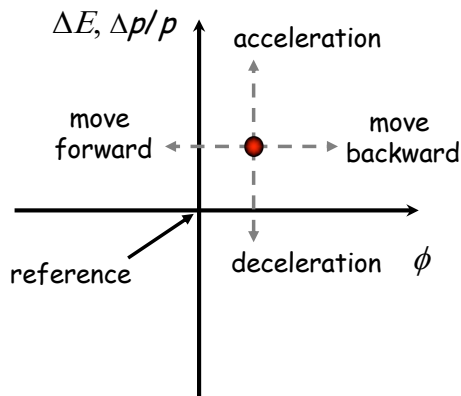
The **oscillation frequency** is much **slower than** in the **transverse** plane. It takes a large number of revolutions for one complete oscillation. Restoring electric force smaller than magnetic force.

The Potential Well

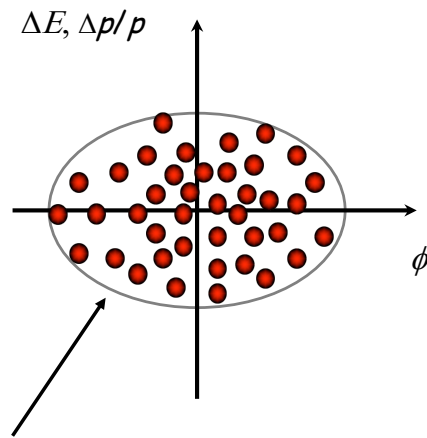


Longitudinal phase space

The **energy - phase oscillations** can be drawn in **phase space**:



The particle trajectory in the phase space ($\Delta p/p, \phi$) describes its longitudinal motion.



Emittance: phase space area including all the particles

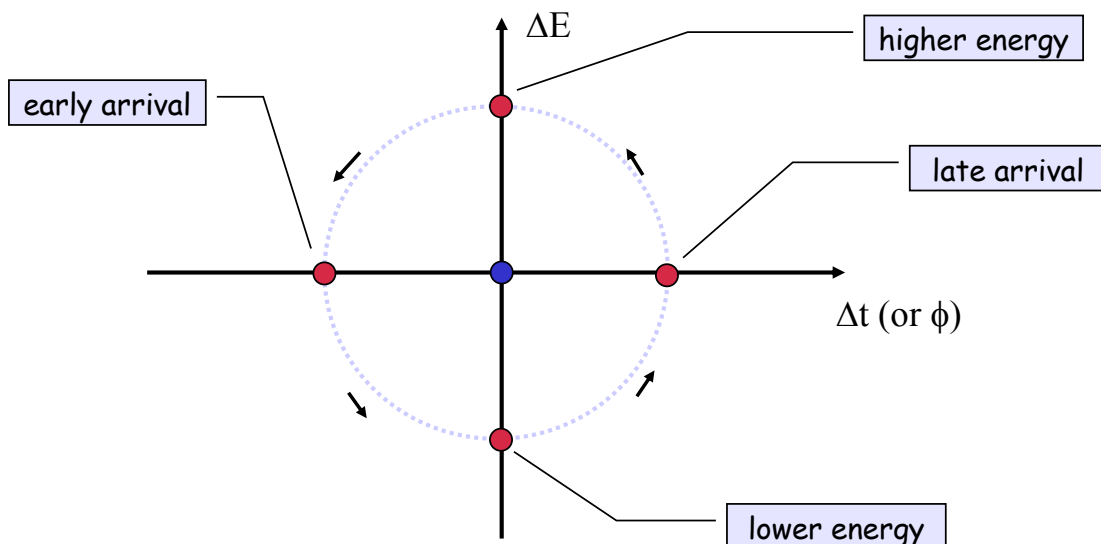
NB: if the emittance contour correspond to a possible orbit in phase space, its shape does not change with time (matched beam)

Longitudinal Phase Space Motion

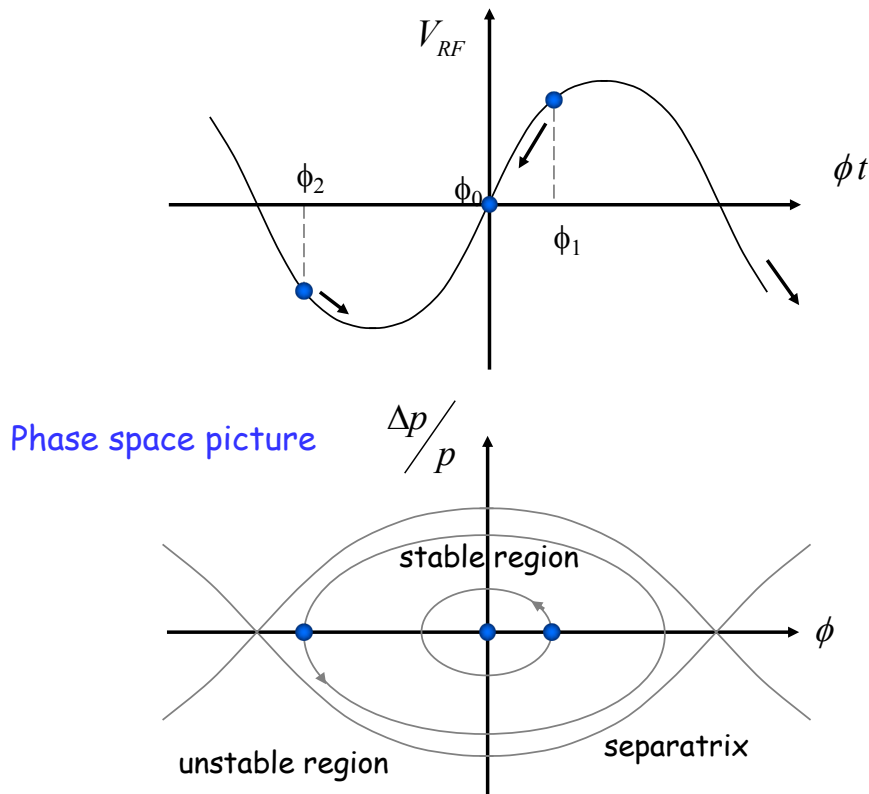
Particle **B** oscillates around particle **A**

This is a synchrotron oscillation

Plotting this motion in longitudinal phase space gives:



Synchrotron oscillations - No acceleration



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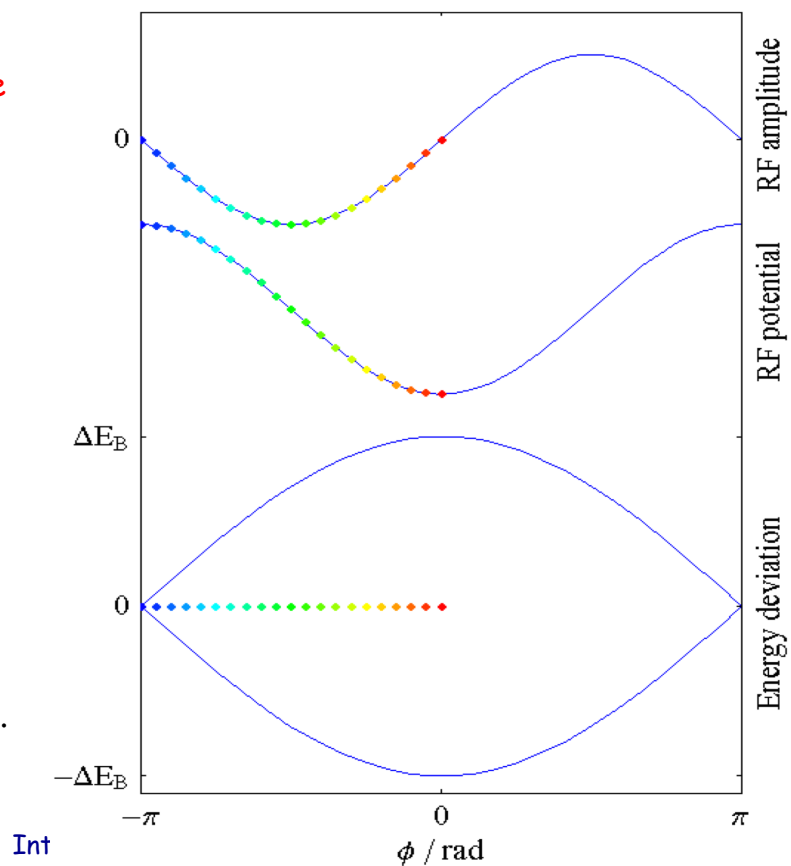
37

Synchrotron motion in phase space

The restoring **force** is **non-linear**.
 \Rightarrow speed of motion depends on position in phase-space

(here shown for a stationary bucket)

Remark:
 Synchrotron frequency **much smaller** than betatron frequency.

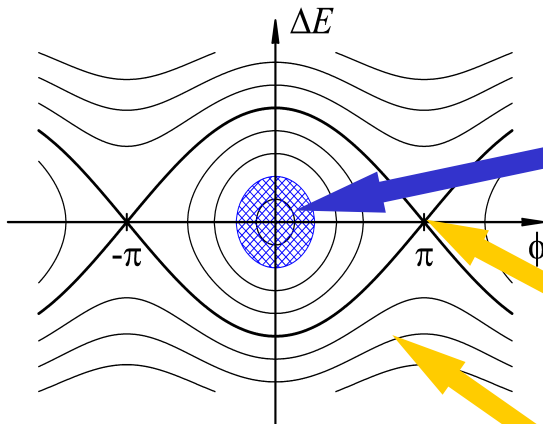


38

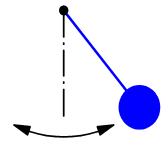
Synchrotron motion in phase space

ΔE - ϕ phase space of a **stationary bucket**
(when there is **no acceleration**)

Dynamics of a particle
Non-linear, conservative
oscillator \rightarrow e.g. pendulum



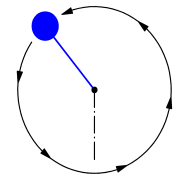
Particle **inside**
the **separatrix**:



Particle at the
unstable fix-point



Particle **outside**
the **separatrix**:



Bucket area: area enclosed
by the separatrix

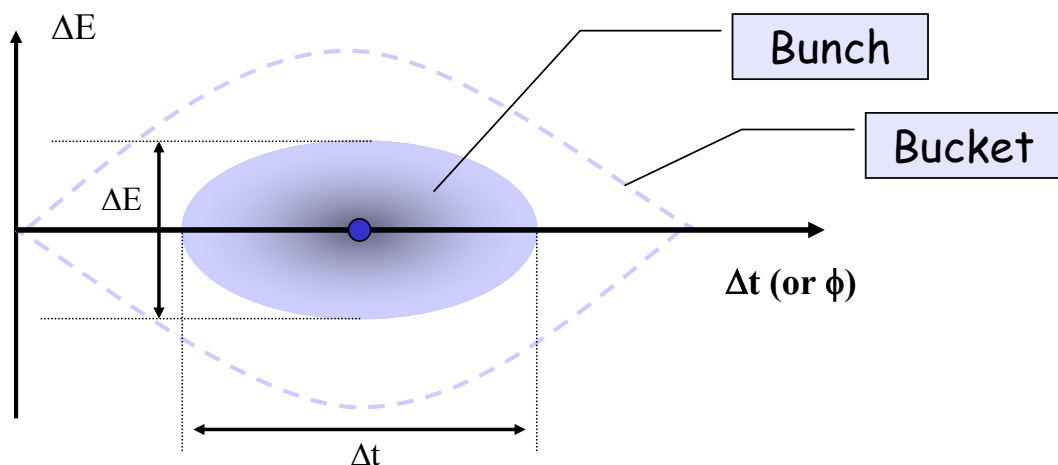
**The area covered by particles is
the longitudinal emittance**

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39

(Stationary) Bunch & Bucket

The **bunches** of the beam **fill** usually **a part of** the **bucket** area.



Bucket area = **longitudinal Acceptance** [eVs]

Bunch area = **longitudinal beam emittance (rms)** = $\pi \sigma_E \sigma_t$ [eVs]

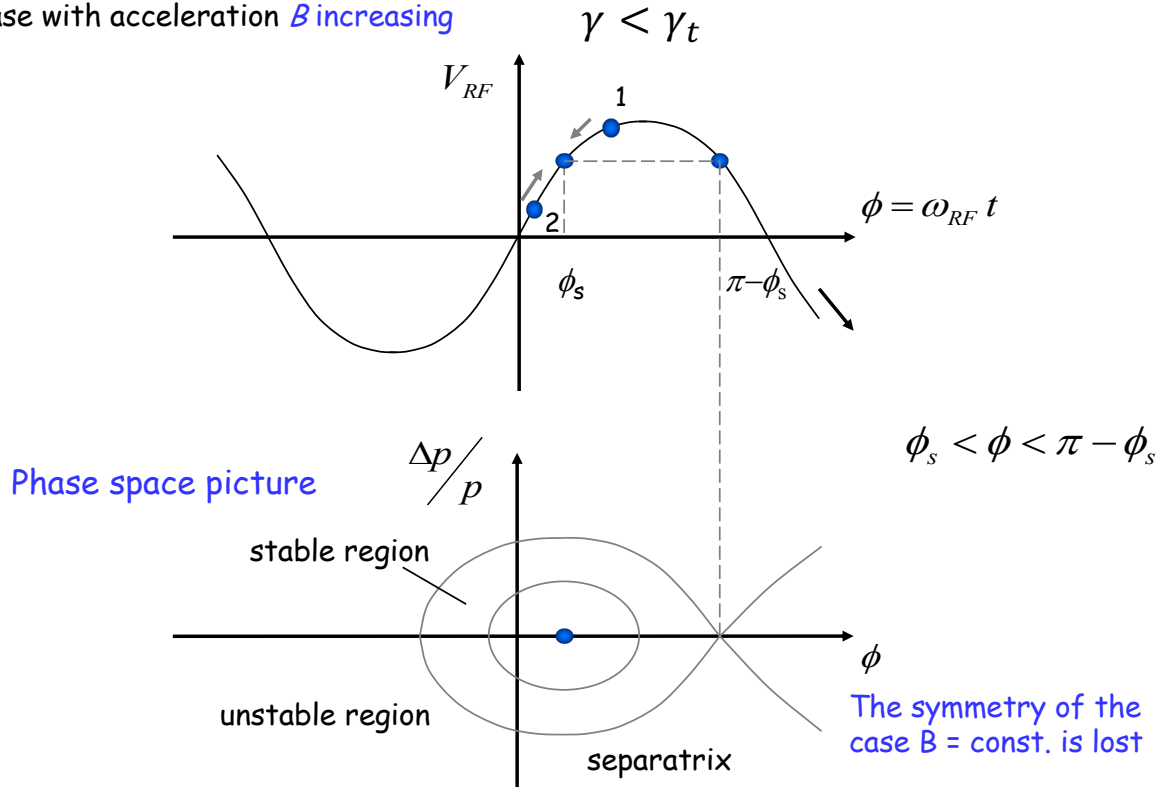
Attention: Different definitions are used!

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40

Synchrotron oscillations (with acceleration)

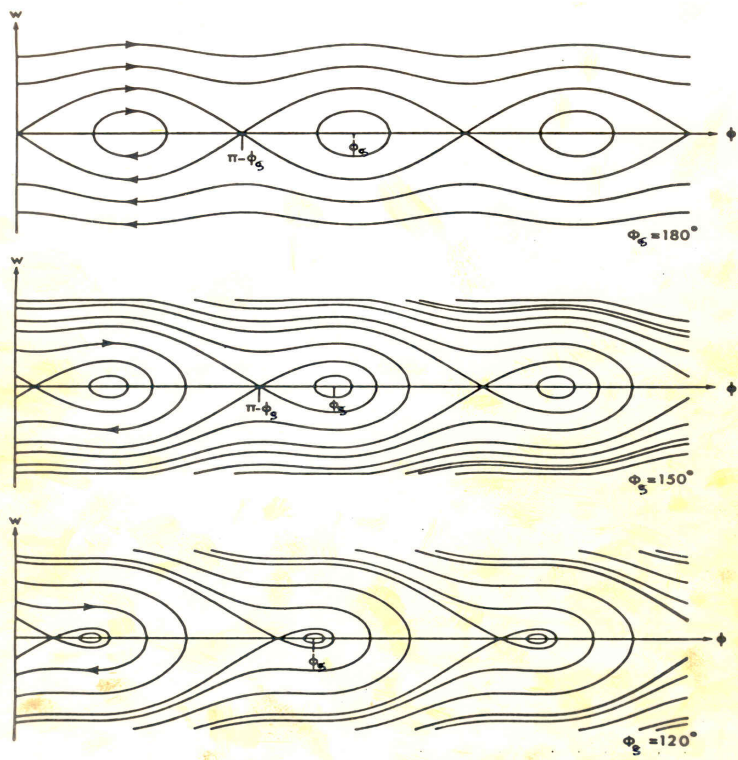
Case with acceleration B increasing



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41

RF Acceptance versus Synchronous Phase



The **areas of stable motion** (closed trajectories) are called "**BUCKET**". The number of circulating buckets is equal to " h ".

The phase extension of the **bucket is maximum** for $\phi_s = 180^\circ$ (or 0°) which means **no acceleration**.

During **acceleration**, the buckets get **smaller**, both in length and **energy acceptance**.

\Rightarrow **Injection** preferably **without acceleration**.

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42

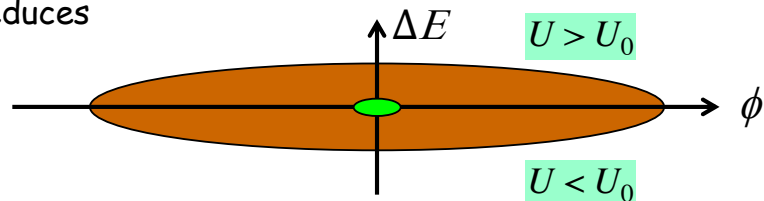
Longitudinal Motion with Synchrotron Radiation

Synchrotron radiation energy-loss energy dependant:

$$U_0 = \frac{4}{3} \pi \frac{r_{e,p}}{(m_0 c^2)^3} \frac{E^4}{\rho}$$

During one period of synchrotron oscillation:

- when the particle is in the upper half-plane, it loses more energy per turn, its energy gradually reduces



- when the particle is in the lower half-plane, it loses less energy per turn, but receives U_0 on the average, so its energy deviation gradually reduces

The phase space trajectory spirals towards the origin (limited by quantum excitations)

=> The **synchrotron motion** is **damped** toward an **equilibrium bunch length** and **energy spread**.

$$\sigma_\tau = \frac{\alpha}{\Omega_s} \left(\frac{\sigma_\epsilon}{E} \right)$$

More details in the lectures on *Electron Beam Dynamics*

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43

Longitudinal Dynamics in Synchrotrons

Now we will look more quantitatively at the "**synchrotron motion**".

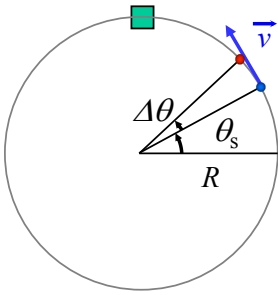
The RF acceleration process clearly emphasizes two coupled variables, the **energy** gained by the particle and the **RF phase** experienced by the same particle.

Since there is a **well defined synchronous particle** which has always the same **phase** ϕ_s , and the nominal **energy** E_s , it is sufficient to follow other particles with respect to that particle.

So let's introduce the following **reduced variables**:

revolution frequency :	$\Delta f_r = f_r - f_{rs}$
particle RF phase :	$\Delta \phi = \phi - \phi_s$
particle momentum :	$\Delta p = p - p_s$
particle energy :	$\Delta E = E - E_s$
azimuth orbital angle:	$\Delta \theta = \theta - \theta_s$

First Energy-Phase Equation



$$f_{RF} = h f_r \Rightarrow \Delta\phi = -h \Delta\theta \quad \text{with} \quad \theta = \int \omega \, dt$$

particle ahead arrives earlier
=> smaller RF phase

For a given particle with respect to the reference one:

$$\Delta\omega = \frac{d}{dt}(\Delta\theta) = -\frac{1}{h} \frac{d}{dt}(\Delta\phi) = -\frac{1}{h} \frac{d\phi}{dt}$$

Since:

$$\eta = \frac{p_s}{\omega_{rs}} \left(\frac{d\omega}{dp} \right)_s \quad \text{and}$$

$$E^2 = E_0^2 + p^2 c^2$$

$$\Delta E = v_s \Delta p = \omega_{rs} R_s \Delta p$$

one gets:

$$\frac{\Delta E}{\omega_{rs}} = -\frac{p_s R_s}{h \eta \omega_{rs}} \frac{d(\Delta\phi)}{dt} = -\frac{p_s R_s}{h \eta \omega_{rs}} \dot{\phi}$$

Second Energy-Phase Equation

The rate of energy gained by a particle is:

$$\frac{dE}{dt} = e \hat{V} \sin\phi \frac{\omega_r}{2\pi}$$

The rate of relative energy gain with respect to the reference particle is then:

$$2\pi \Delta \left(\frac{\dot{E}}{\omega_r} \right) = e \hat{V} (\sin\phi - \sin\phi_s)$$

Expanding the left-hand side to first order:

$$\Delta(\dot{E} T_r) \cong \dot{E} \Delta T_r + T_{rs} \Delta \dot{E} = \Delta E \dot{T}_r + T_{rs} \Delta \dot{E} = \frac{d}{dt} (T_{rs} \Delta E)$$

leads to the second energy-phase equation:

$$2\pi \frac{d}{dt} \left(\frac{\Delta E}{\omega_{rs}} \right) = e \hat{V} (\sin\phi - \sin\phi_s)$$

Equations of Longitudinal Motion

$$\frac{\Delta E}{\omega_{rs}} = -\frac{p_s R_s}{h \eta \omega_{rs}} \frac{d(\Delta \phi)}{dt} = -\frac{p_s R_s}{h \eta \omega_{rs}} \dot{\phi}$$

$$2\pi \frac{d}{dt} \left(\frac{\Delta E}{\omega_{rs}} \right) = e \hat{V} (\sin \phi - \sin \phi_s)$$

deriving and combining

$$\frac{d}{dt} \left[\frac{R_s p_s}{h \eta \omega_{rs}} \frac{d\phi}{dt} \right] + \frac{e \hat{V}}{2\pi} (\sin \phi - \sin \phi_s) = 0$$

This second order equation is non linear. Moreover the parameters within the bracket are in general slowly varying with time.

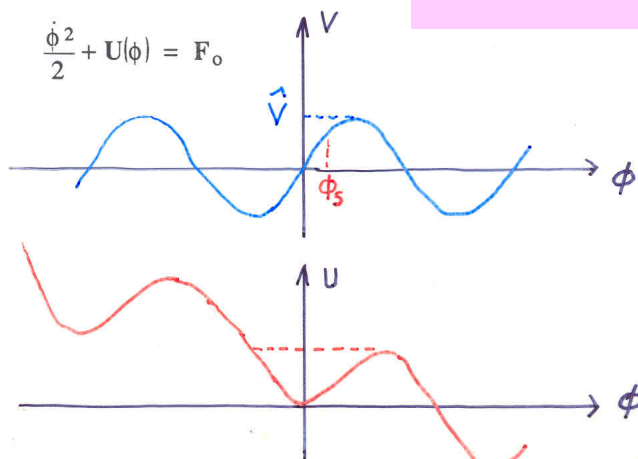
We will study some cases in the following...

Potential Energy Function

The longitudinal motion is produced by a force that can be derived from a scalar potential:

$$\frac{d^2 \phi}{dt^2} = F(\phi) \qquad F(\phi) = -\frac{\partial U}{\partial \phi}$$

$$U = -\int_0^\phi F(\phi) d\phi = -\frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) - F_0$$



The sum of the potential energy and kinetic energy is constant and by analogy represents the total energy of a non-dissipative system.

Hamiltonian of Longitudinal Motion

Introducing a new convenient variable, W , leads to the 1st order equations:

$$W = \frac{\Delta E}{\omega_{rs}} \quad \longrightarrow \quad \begin{aligned} \frac{d\phi}{dt} &= -\frac{h\eta\omega_{rs}}{pR} W \\ \frac{dW}{dt} &= \frac{e\hat{V}}{2\pi} (\sin \phi - \sin \phi_s) \end{aligned}$$

The two variables ϕ, W are canonical since these equations of motion can be derived from a Hamiltonian $H(\phi, W, t)$:

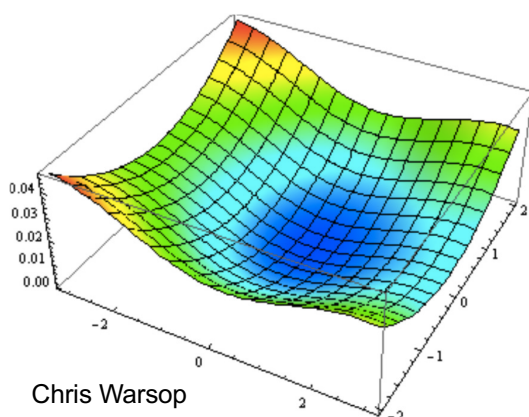
$$\frac{d\phi}{dt} = \frac{\partial H}{\partial W} \qquad \frac{dW}{dt} = -\frac{\partial H}{\partial \phi}$$

$$H(\phi, W) = -\frac{1}{2} \frac{h\eta\omega_{rs}}{pR} W^2 + \frac{e\hat{V}}{2\pi} [\cos \phi - \cos \phi_s + (\phi - \phi_s) \sin \phi_s]$$

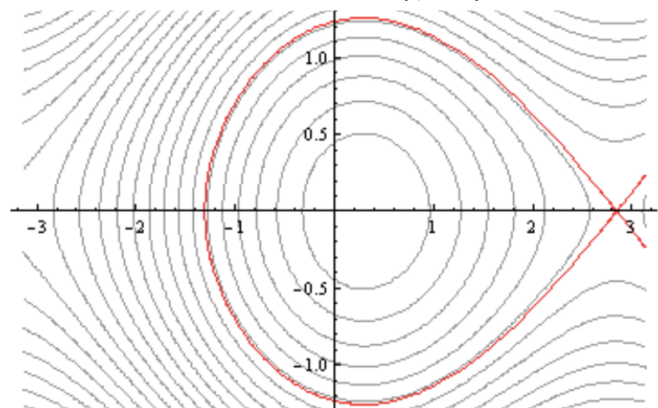
Hamiltonian of Longitudinal Motion

What does it represent? The total energy of the system!

Surface of $H(\phi, W)$



Contours of $H(\phi, W)$



Contours of constant H are particle trajectories in phase space! (H is conserved)

Hamiltonian Mechanics can help us understand some fairly complicated dynamics (multiple harmonics, bunch splitting, ...)

Small Amplitude Oscillations

Let's assume constant parameters R_s , p_s , ω_s and η :

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos \phi_s} (\sin \phi - \sin \phi_s) = 0 \quad \text{with} \quad \Omega_s^2 = \frac{h \eta \omega_{rs} e \hat{V} \cos \phi_s}{2 \pi R_s p_s}$$

Consider now **small** phase **deviations** from the reference particle:

$$\sin \phi - \sin \phi_s = \sin(\phi_s + \Delta \phi) - \sin \phi_s \cong \cos \phi_s \Delta \phi \quad (\text{for small } \Delta \phi)$$

and the corresponding linearized motion reduces to a **harmonic oscillation**:

$$\ddot{\phi} + \Omega_s^2 \Delta \phi = 0 \quad \text{where } \Omega_s \text{ is the } \textcolor{red}{\text{synchrotron angular frequency}}.$$

The **synchrotron tune** ν_s is the number of synchrotron oscillations per revolution:

$$\nu_s = \Omega_s / \omega_s$$

Typical values are $\ll 1$, as it takes several 10 - 1000 turns per oscillation.

- proton synchrotrons of the order 10^{-3}
- electron storage rings of the order 10^{-1}

Stability condition for ϕ_s

$$\Omega_s^2 = \frac{e \hat{V}_{RF} \eta h \omega_s}{2 \pi R_s p_s} \cos \phi_s \quad \Leftrightarrow \quad \Omega_s^2 = \omega_s^2 \frac{e \hat{V}_{RF} \eta h}{2 \pi \beta^2 E} \cos \phi_s \quad \text{with } R p = \frac{\beta^2 E}{\omega}$$

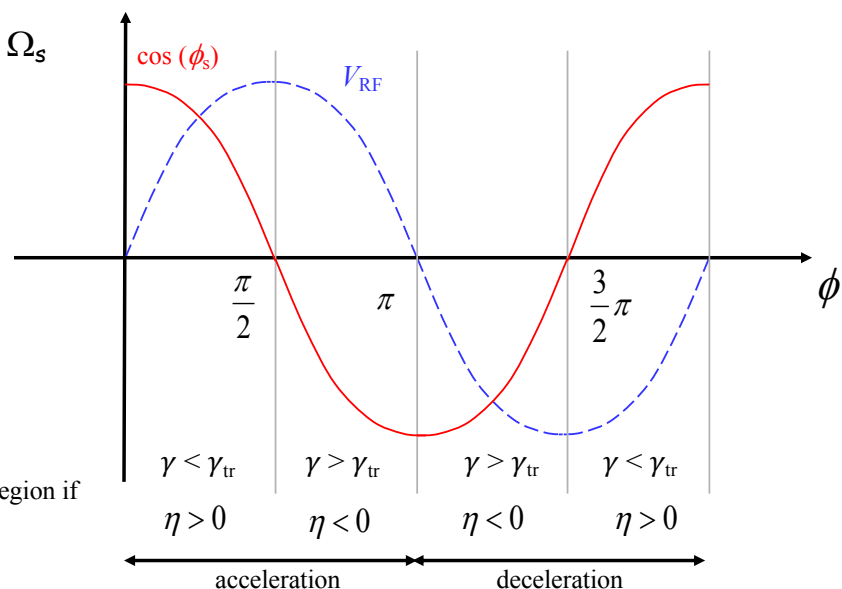
Stability is obtained when Ω_s is real and so Ω_s^2 positive:

$$\Omega_s^2 > 0$$

\Updownarrow

$\eta \cos \phi_s > 0$

Stable in the region if



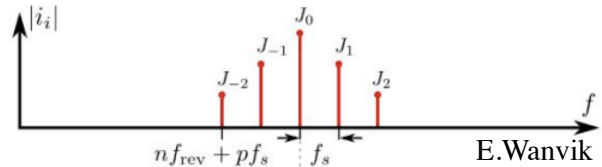
Synchrotron tune measurement

Reminder: Non-linear force \Rightarrow Synchrotron tune depends on amplitude

Principle A: The synchrotron oscillation modulates the arrival time of a bunch.

Use pick-up intensity signal and perform an FFT

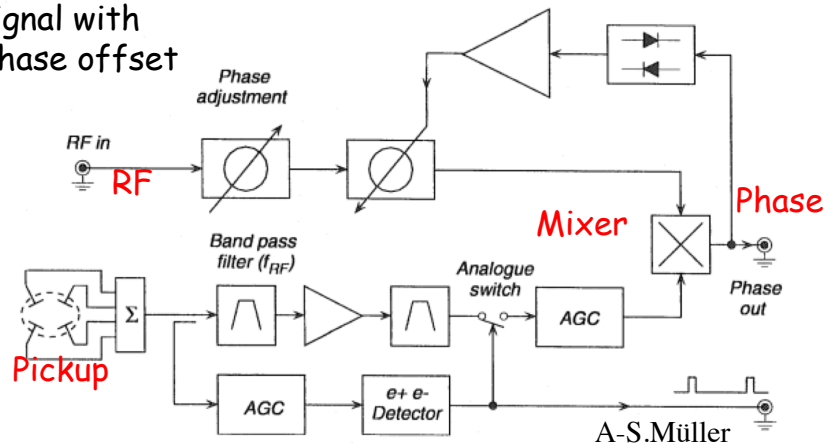
\Rightarrow The synchrotron tune will appear as sideband of revolution harmonics



Practical approach: Mix the signal with RF signal \Rightarrow proportional to phase offset

Problem for proton machines since the synchrotron tune is very small.

The revolution harmonic lines are huge compared to the synchrotron lines, so a very good and narrow bandwidth filter is needed to separate them



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53

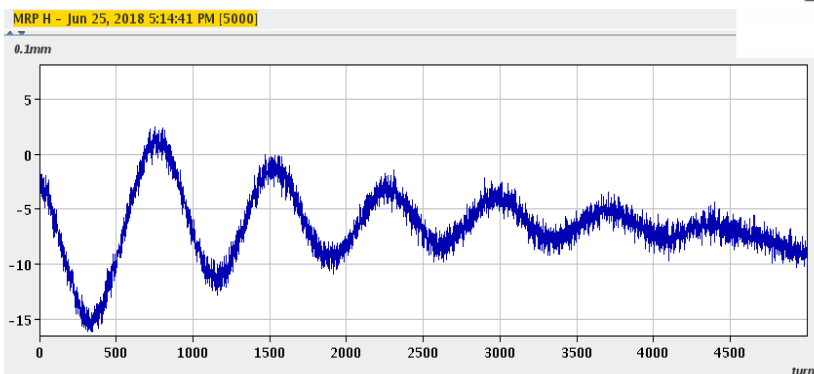
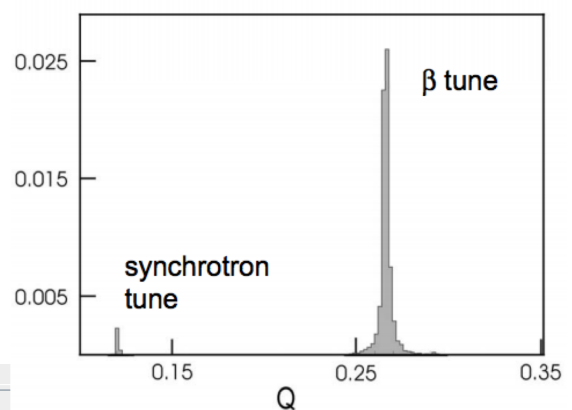
Synchrotron tune measurement - cont.

Principle B: The transverse beam position is modulated through dispersion:

$$x = x_0 + D \frac{\Delta p}{p}$$

Use horizontal position signal from a BPM in dispersive region + perform FFT

Radial beam position after injection with phase/energy offset (at the PS)



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54

Synchrotron tune measurement - cont.

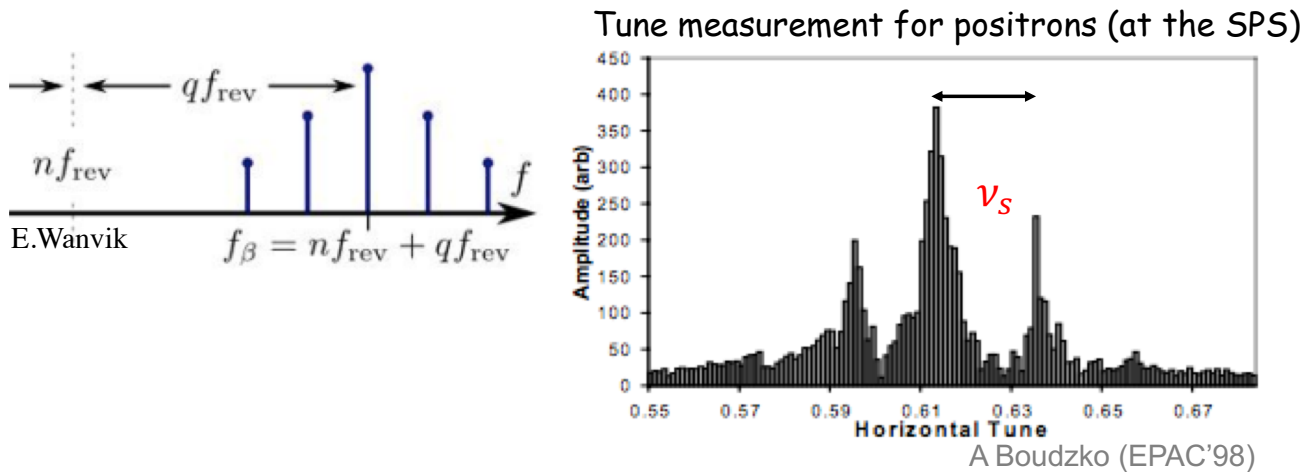
Principle C: The transverse tune is modulated through chromaticity:

$$Q = Q_0 + \xi \frac{\Delta p}{p}$$

Frequency modulation (FM) of the betatron tunes.

Use horizontal position signal from a BPM + perform FFT

The synchrotron tune will appear as sidebands of the betatron tune.



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55

Large Amplitude Oscillations

For larger phase (or energy) deviations from the reference the second order differential equation is non-linear:

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos \phi_s} (\sin \phi - \sin \phi_s) = 0 \quad (\Omega_s \text{ as previously defined})$$

Multiplying by $\dot{\phi}$ and integrating gives an invariant of the motion:

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) = I$$

which for small amplitudes reduces to:

$$\frac{\dot{\phi}^2}{2} + \Omega_s^2 \frac{(\Delta \phi)^2}{2} = I' \quad (\text{the variable is } \Delta \phi, \text{ and } \phi_s \text{ is constant})$$

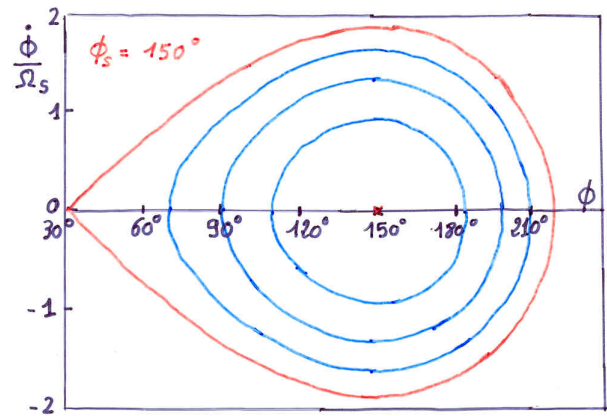
Similar equations exist for the second variable : $\Delta E \propto d\phi/dt$

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56

Large Amplitude Oscillations (2)

When ϕ reaches $\pi - \phi_s$ the force goes to zero and beyond it becomes non restoring.
Hence $\pi - \phi_s$ is an extreme amplitude for a stable motion which in the phase space($\frac{\dot{\phi}}{\Omega_s}, \Delta\phi$) is shown as closed trajectories.



Equation of the **separatrix**:

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) = -\frac{\Omega_s^2}{\cos \phi_s} (\cos(\pi - \phi_s) + (\pi - \phi_s) \sin \phi_s)$$

Second value ϕ_m where the separatrix crosses the horizontal axis:

$$\cos \phi_m + \phi_m \sin \phi_s = \cos(\pi - \phi_s) + (\pi - \phi_s) \sin \phi_s$$

Energy Acceptance

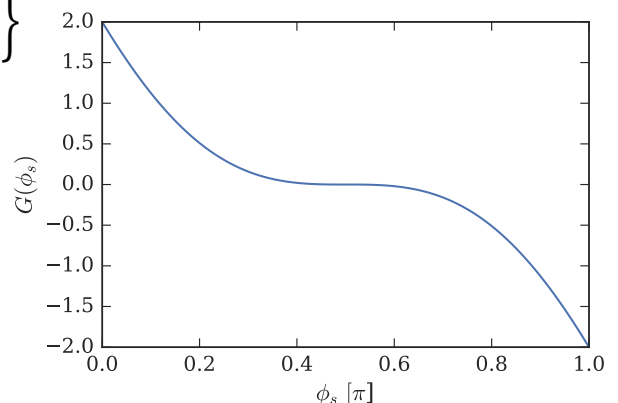
From the equation of motion it is seen that $\dot{\phi}$ reaches an extreme at $\phi = \phi_s$.
Introducing this value into the equation of the separatrix gives:

$$\dot{\phi}_{\max}^2 = 2\Omega_s^2 \left\{ 2 + (2\phi_s - \pi) \tan \phi_s \right\}$$

That translates into an **energy acceptance**:

$$\left(\frac{\Delta E}{E_s} \right)_{\max} = \pm \beta \sqrt{\frac{e\hat{V}}{\pi h \eta E_s} G(\phi_s)}$$

$$G(\phi_s) = [2\cos\phi_s + (2\phi_s - \pi)\sin\phi_s]$$



This "**RF acceptance**" depends strongly on ϕ_s and plays an important role for the capture at injection, and the stored beam lifetime.

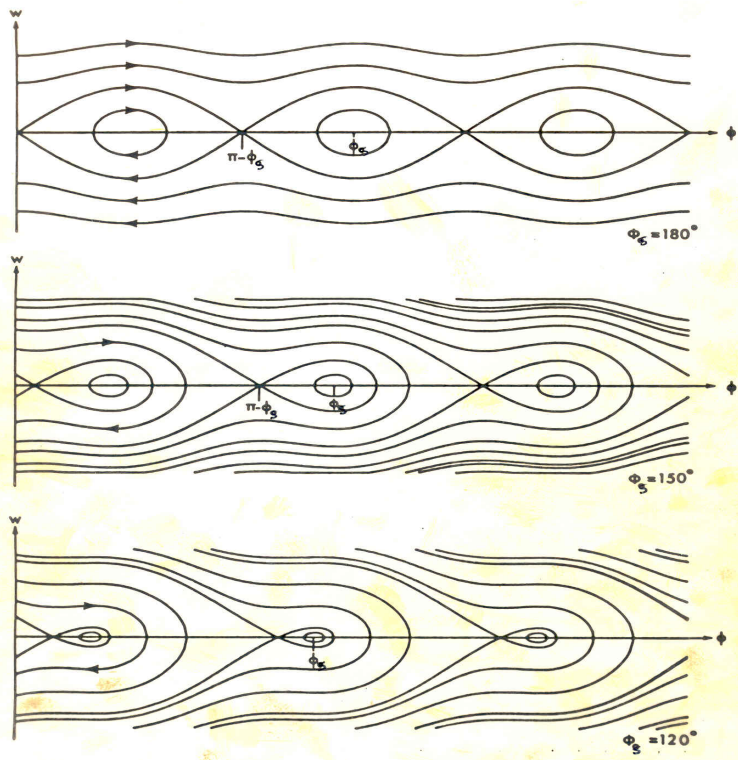
It's **largest** for $\phi_s=0$ and $\phi_s=\pi$ (**no acceleration**, depending on η).

It becomes smaller during acceleration, when ϕ_s is changing

Need a **higher RF voltage** for higher acceptance.

For the **same RF voltage** it is **smaller** for higher harmonics h .

RF Acceptance versus Synchronous Phase



The **areas of stable motion** (closed trajectories) are called "**BUCKET**". The number of circulating buckets is equal to "**h**".

The phase extension of the **bucket is maximum** for $\phi_s = 180^\circ$ (or 0°) which means **no acceleration**.

During **acceleration**, the buckets get **smaller**, both in length and **energy acceptance**.

=> **Injection** preferably **without acceleration**.

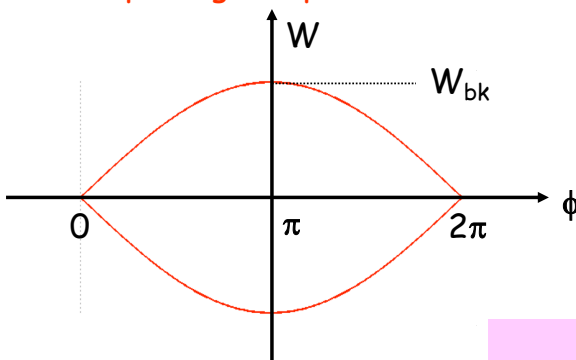
Stationnary Bucket - Separatrix

This is the case $\sin\phi_s=0$ (**no acceleration**) which means $\phi_s=0$ or π . The equation of the separatrix for $\phi_s=\pi$ (above transition) becomes:

$$\frac{\dot{\phi}^2}{2} + \Omega_s^2 \cos\phi = \Omega_s^2$$

$$\frac{\dot{\phi}^2}{2} = 2\Omega_s^2 \sin^2 \frac{\phi}{2}$$

Replacing the phase derivative by the (canonical) variable W :



with $C=2\pi R_s$

$$W = \frac{\Delta E}{\omega_{rs}} = -\frac{p_s R_s}{h\eta \omega_{rs}} \dot{\phi}$$

and introducing the expression for Ω_s leads to the following equation for the separatrix:

$$W = \pm \frac{C}{\pi h c} \sqrt{\frac{-e \hat{V} E_s}{2\pi h \eta}} \sin \frac{\phi}{2} = \pm W_{bk} \sin \frac{\phi}{2}$$

Bucket height - bucket area

Setting $\phi=\pi$ in the previous equation gives the **height** of the **stationary bucket**:

$$W_{bk} = \frac{C}{\pi h c} \sqrt{\frac{-e \hat{V} E_s}{2 \pi h \eta}}$$

The **bucket area** is: $A_{bk} = 2 \int_0^{2\pi} W d\phi$

Since: $\int_0^{2\pi} \sin \frac{\phi}{2} d\phi = 4$

one gets: $A_{bk} = 8W_{bk} = 8 \frac{C}{\pi h c} \sqrt{\frac{-e \hat{V} E_s}{2 \pi h \eta}} \longrightarrow W_{bk} = \frac{A_{bk}}{8}$

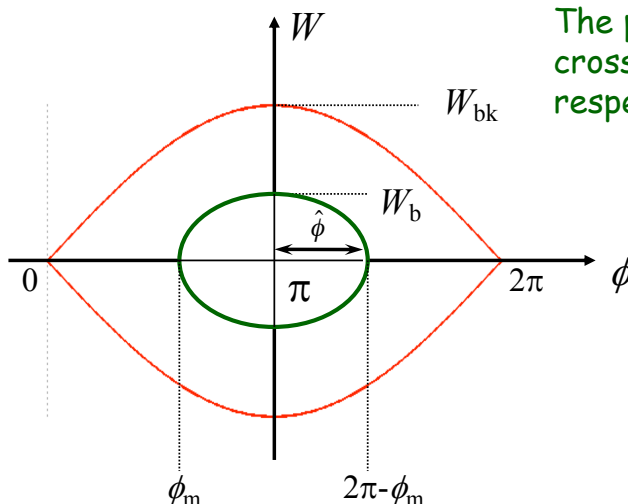
For an **accelerating bucket**, this **area** gets **reduced by** a factor depending on Φ_s :

$$\alpha(\phi_s) \approx \frac{1 - \sin \phi_s}{1 + \sin \phi_s}$$

Phase Space Trajectories inside Stationary Bucket

A particle trajectory inside the separatrix is described by the equation:

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos \phi_s} (\cos \phi + \phi \sin \phi_s) = I \xrightarrow{\phi_s = \pi} \frac{\dot{\phi}^2}{2} + \Omega_s^2 \cos \phi = I$$



The points where the trajectory crosses the axis are symmetric with respect to $\phi_s = \pi$

$$\frac{\dot{\phi}^2}{2} + \Omega_s^2 \cos \phi = \Omega_s^2 \cos \phi_m$$

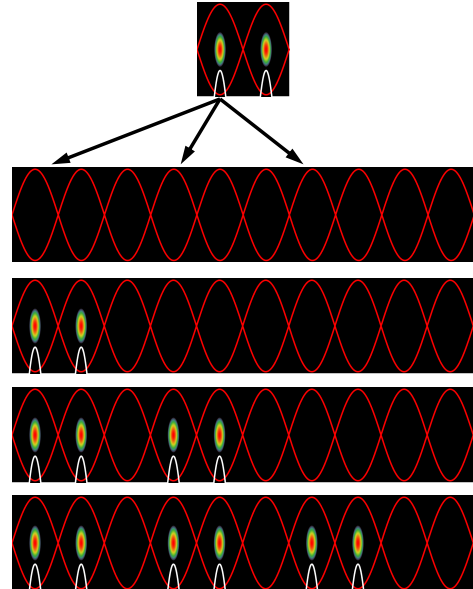
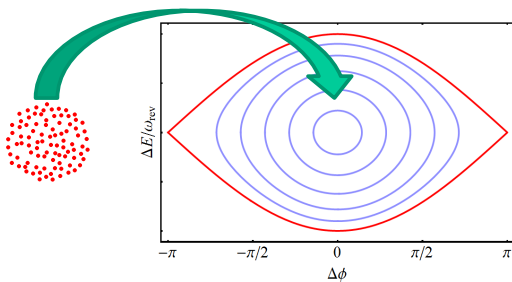
$$\dot{\phi} = \pm \Omega_s \sqrt{2(\cos \phi_m - \cos \phi)}$$

$$W = \pm W_{bk} \sqrt{\cos^2 \frac{\phi_m}{2} - \cos^2 \frac{\phi}{2}}$$

$$\cos(\phi) = 2 \cos^2 \frac{\phi}{2} - 1$$

Injection: Bunch-to-bucket transfer

- Bunch from sending accelerator into the bucket of receiving



Advantages:

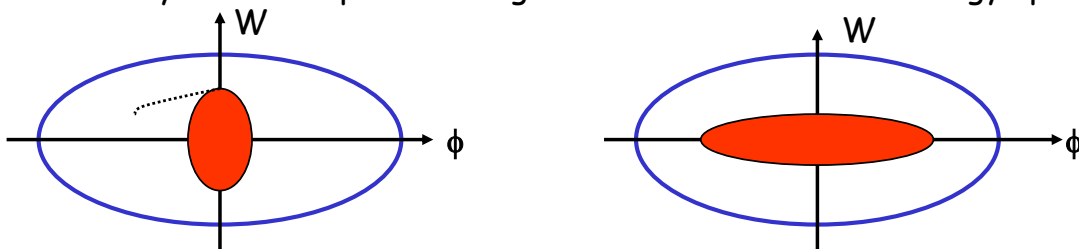
- Particles always subject to longitudinal focusing
- No need for RF capture of de-bunched beam in receiving accelerator
- No particles at unstable fixed point
- Time structure of beam preserved during transfer

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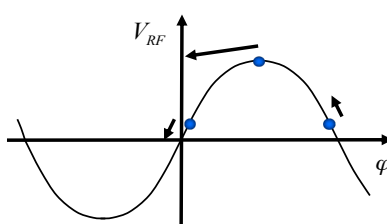
63

Effect of a Mismatch

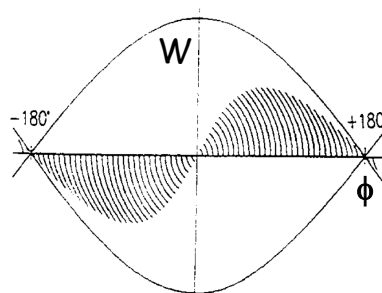
Injected bunch: short length and large energy spread
after 1/4 synchrotron period: longer bunch with a smaller energy spread.



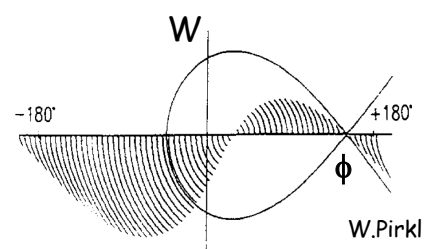
For **larger amplitudes**, the angular phase space motion is slower (1/8 period shown below) ⇒ can lead to **filamentation** and **emittance growth**



restoring force is non-linear



stationary bucket



accelerating bucket

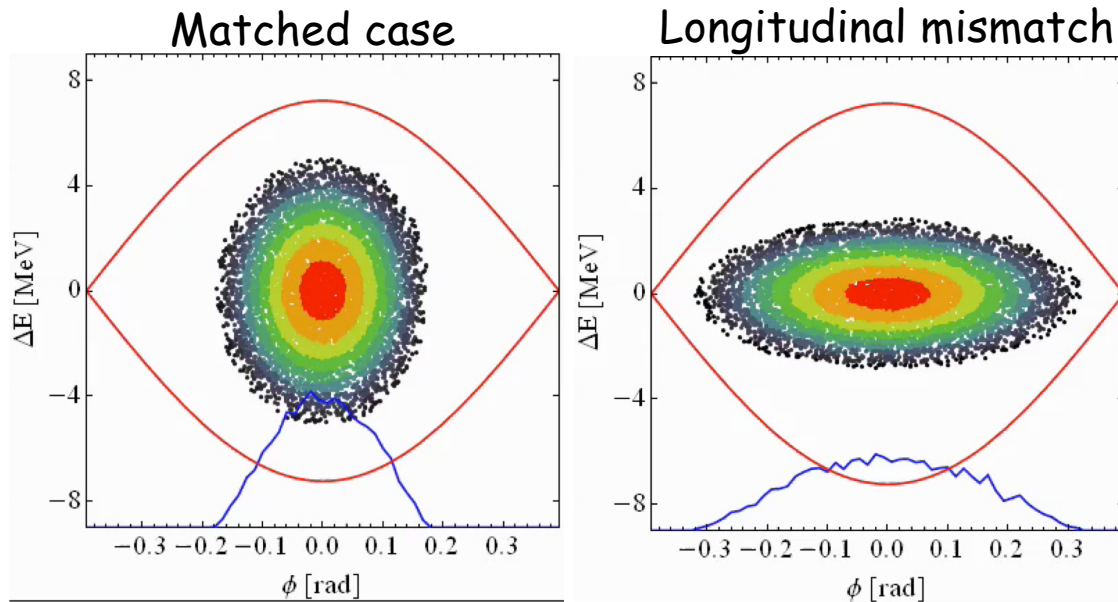
W.Pirkel

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64

Effect of a Mismatch (2)

- Long. emittance is only preserved for **correct RF voltage**



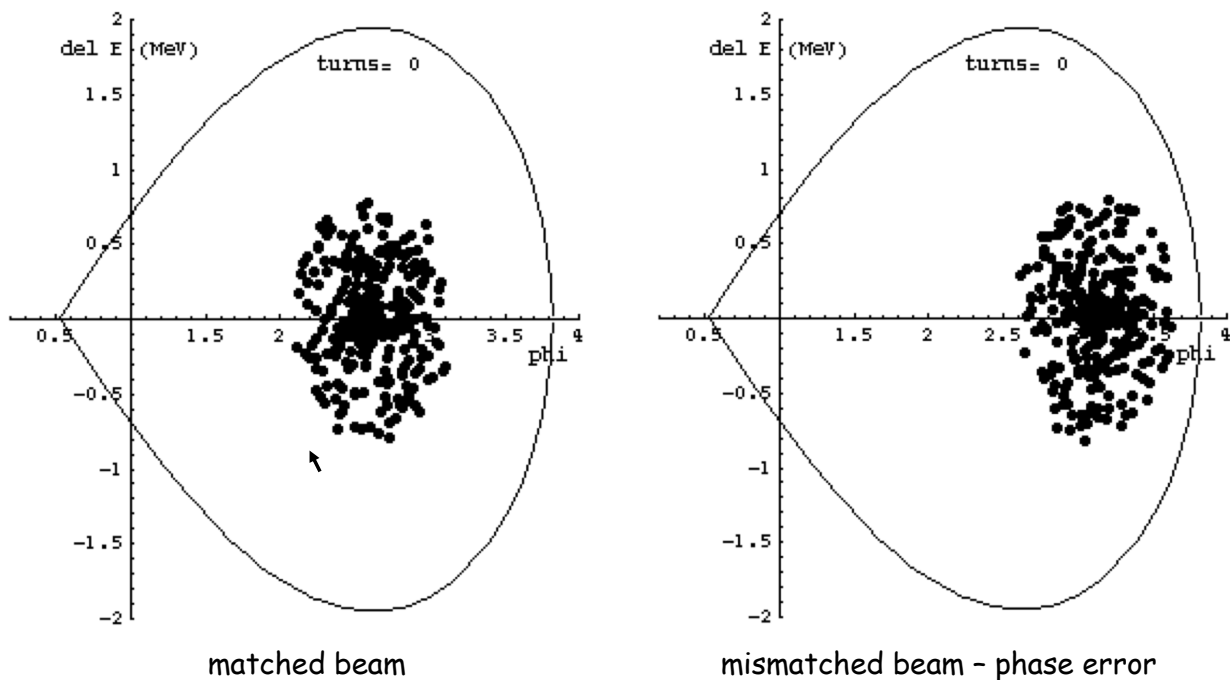
→ Bunch is fine, longitudinal emittance remains constant

→ Dilution of bunch results in increase of long. emittance

Effect of a Mismatch (3)

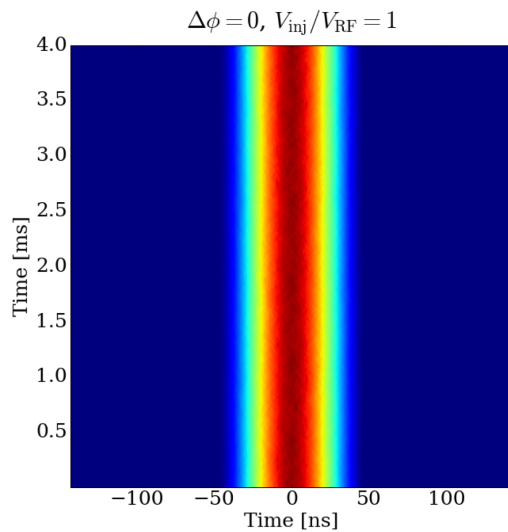
Evolution of an injected beam for the first 100 turns.

For a mismatched transfer, the emittance increases (right).



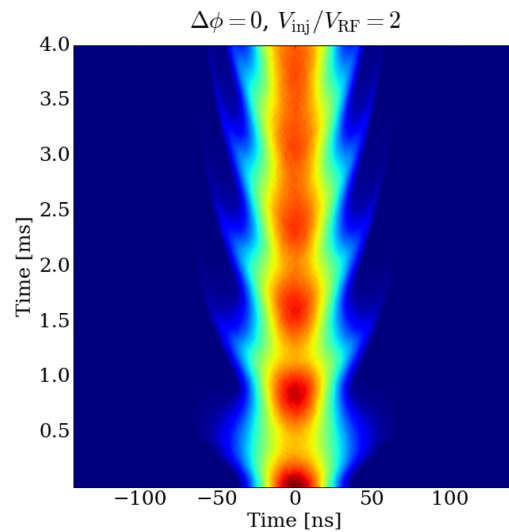
Longitudinal matching - Beam profile

Matched case



→ Bunch is fine, longitudinal emittance remains constant

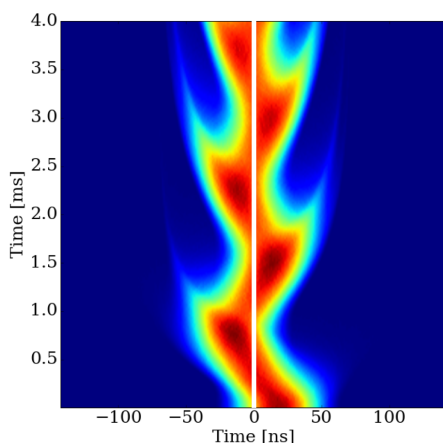
Longitudinal mismatch



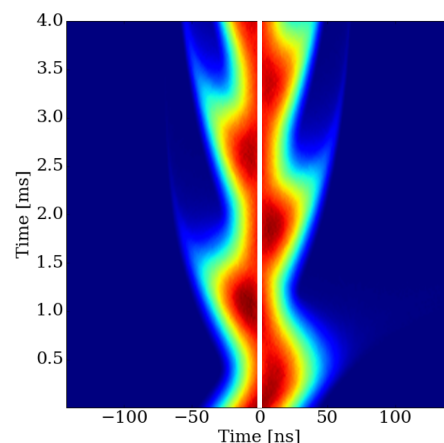
→ Dilution of bunch results in increase of long. emittance

Matching quiz!

- Find the difference!



→ -45° phase error at injection
 → Can be easily corrected by bucket phase

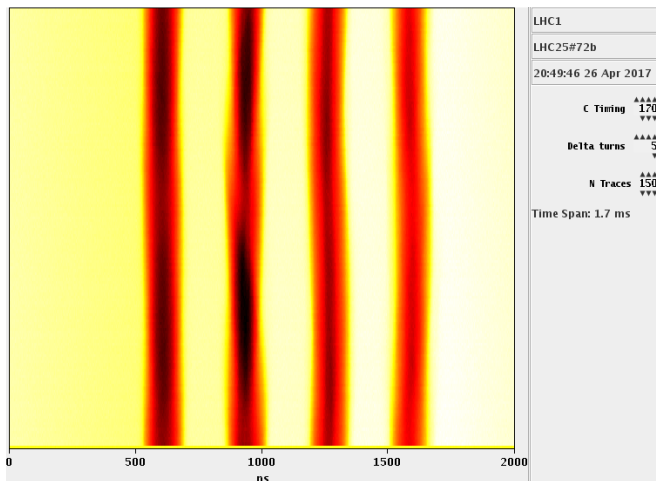


→ Equivalent energy error
 → Phase does not help: requires beam energy change

Phase Space Tomography

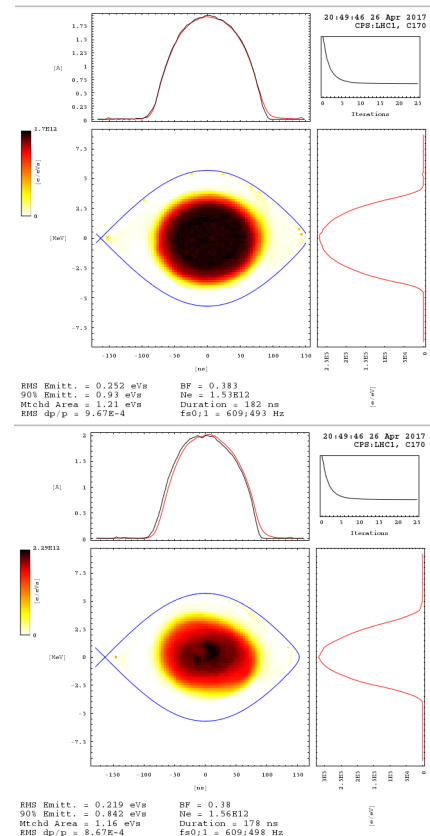
We can reconstruct the phase space distribution of the beam.

- Longitudinal bunch profiles over a number of turns
- Parameters determining Ω_s



1st
bunch

2nd
bunch



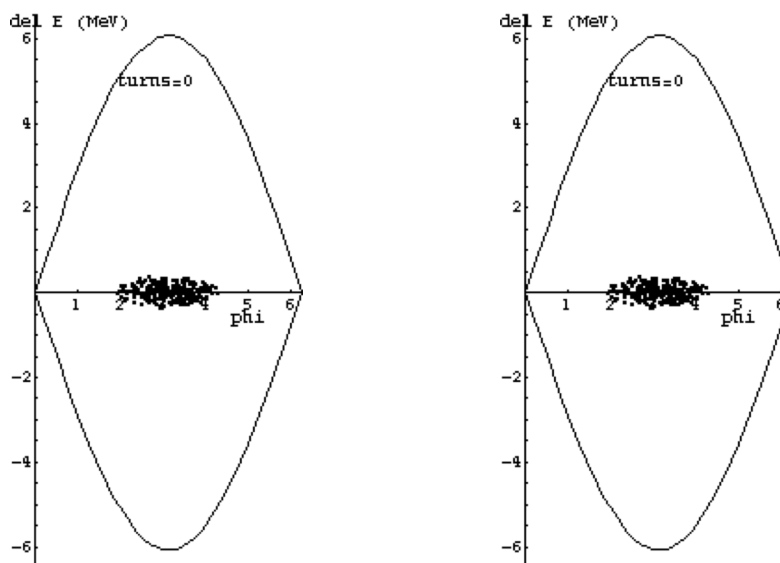
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69

Bunch Rotation

Phase space motion can be used to make short bunches.

Start with a long bunch and extract or recapture when it's short.

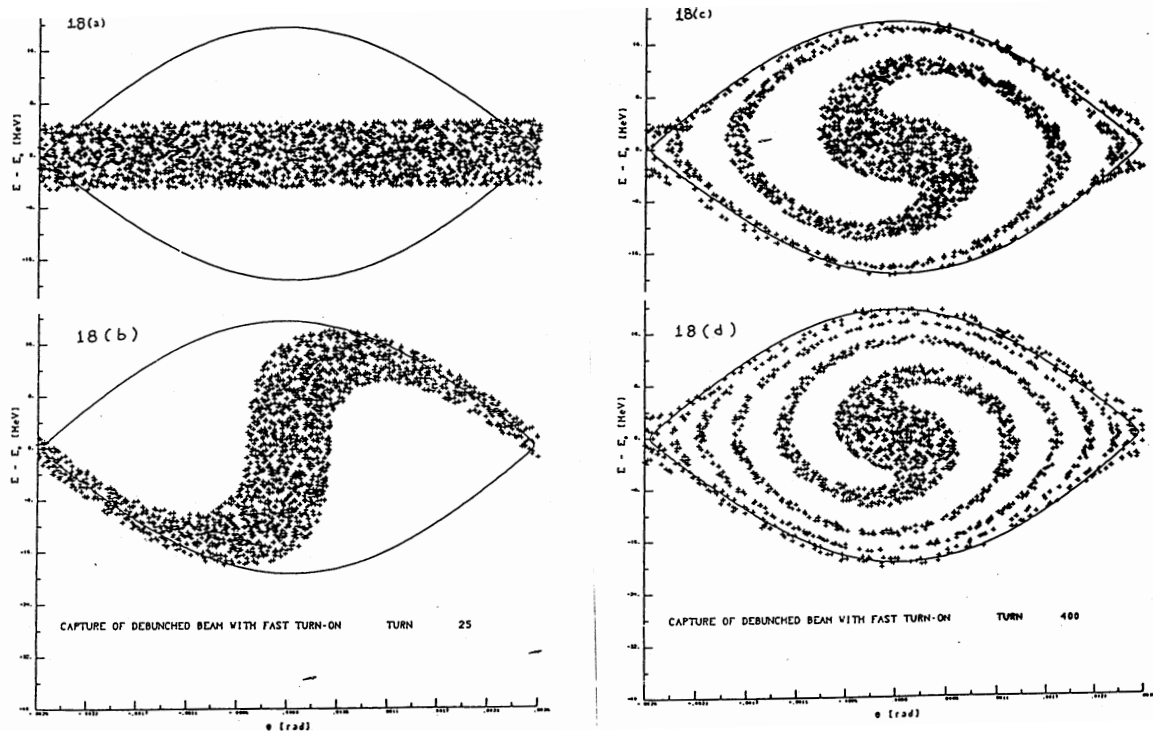


initial beam

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70

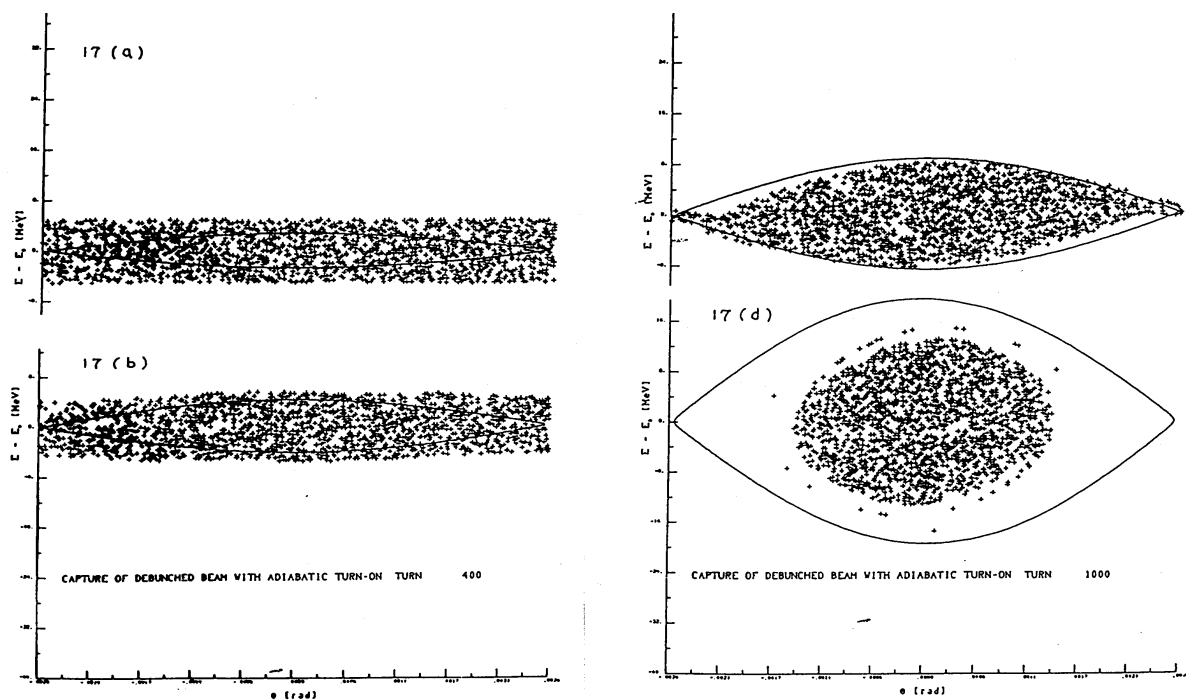
Capture of a Debunched Beam with Fast Turn-On



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71

Capture of a Debunched Beam with Adiabatic Turn-On

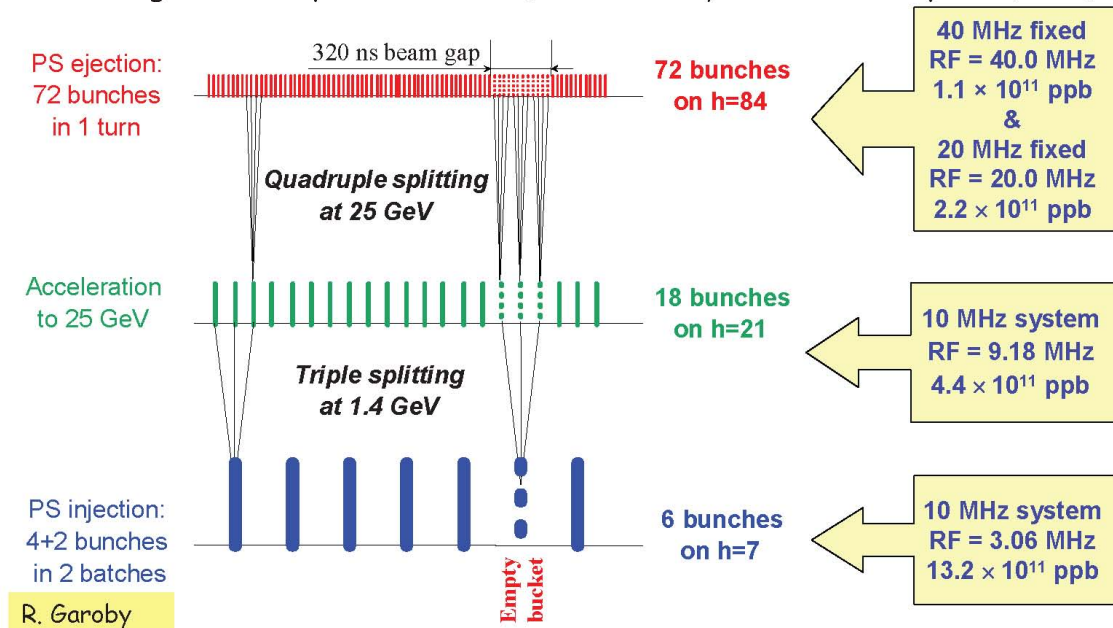


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72

Generating a 25ns LHC Bunch Train in the PS

- **Longitudinal bunch splitting (basic principle)**
 - Reduce voltage on principal RF harmonic and simultaneously rise voltage on multiple harmonics (adiabatically with correct phase, etc.)



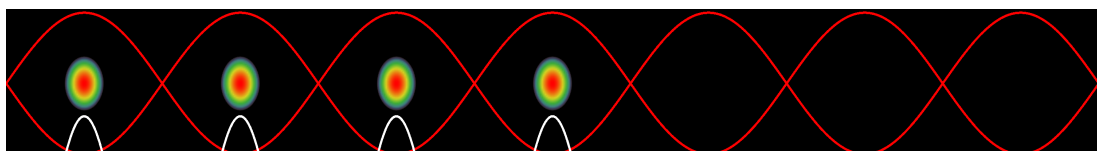
Use double splitting at 25 GeV to generate 50ns bunch trains instead

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73

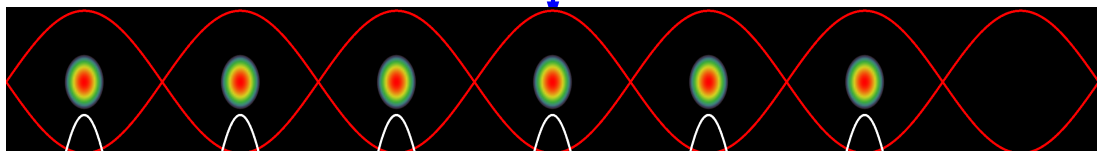
Production of the LHC 25 ns beam

1. Inject four bunches ~ 180 ns, 1.3 eVs

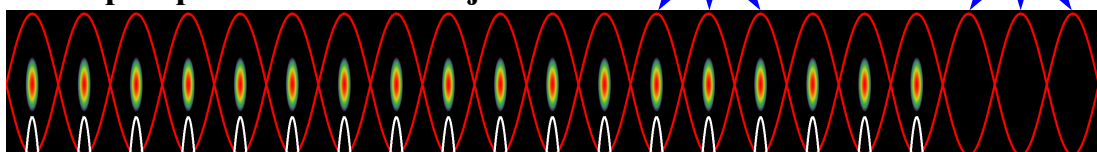


Wait 1.2 s for second injection

2. Inject two bunches



3. Triple split after second injection



~ 0.7 eVs

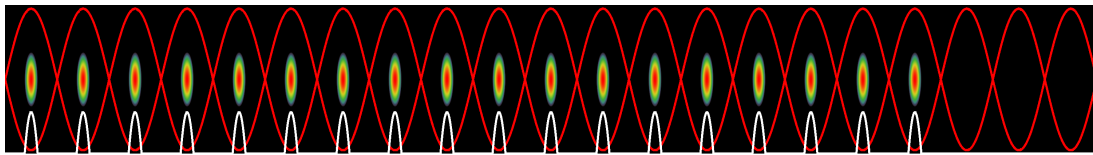
4. Accelerate from 1.4 GeV (E_{kin}) to 26 GeV

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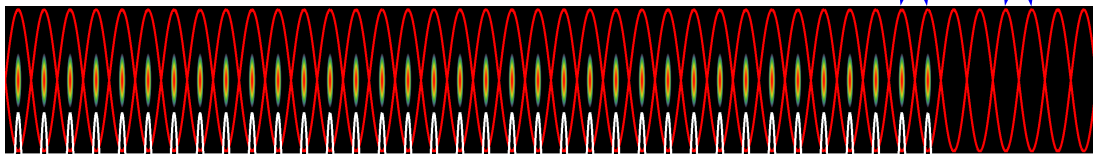
74

Production of the LHC 25 ns beam

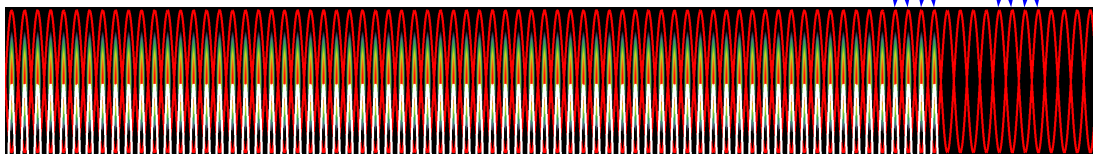
5. During acceleration: longitudinal emittance blow-up: **0.7 – 1.3 eVs**



6. Double split ($h_{21} \rightarrow h_{42}$)

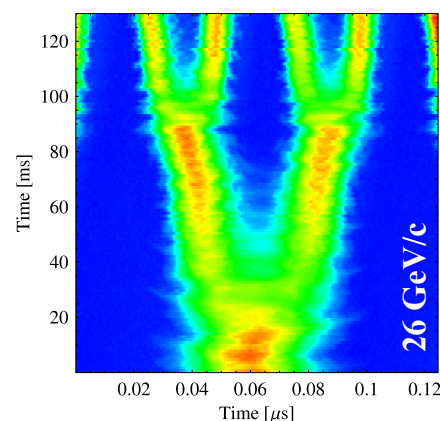
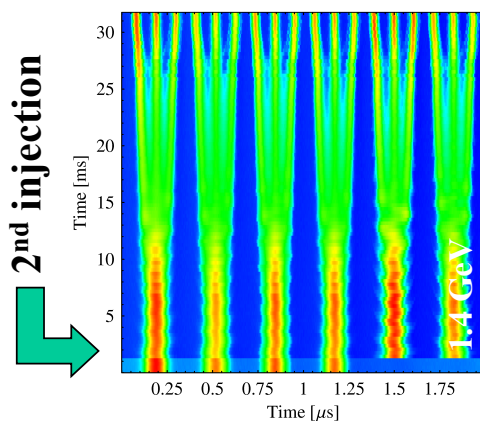
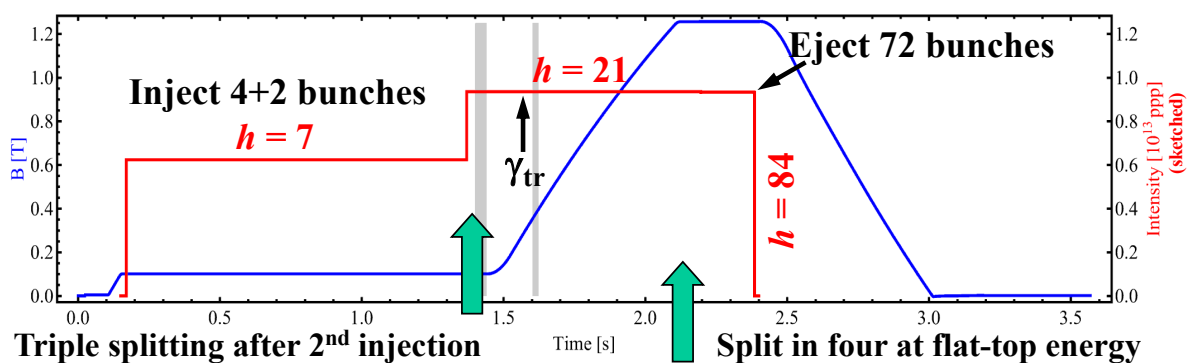


7. Double split ($h_{42} \rightarrow h_{84}$) ~ 0.35 eVs, 4 ns



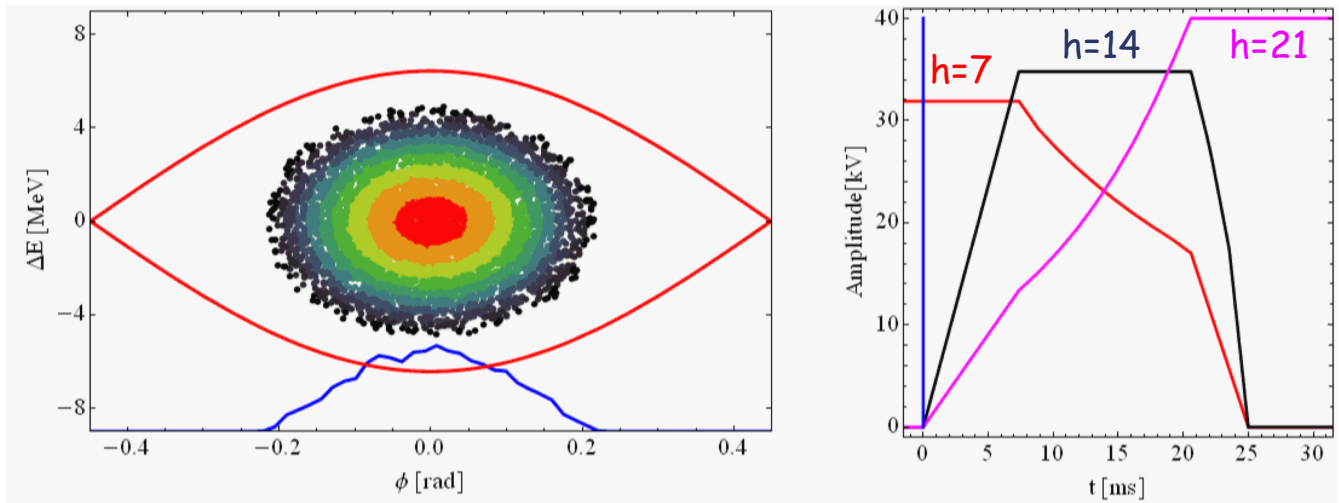
10. Fine synchronization, bunch rotation \rightarrow Extraction!

The LHC25 (ns) cycle in the PS



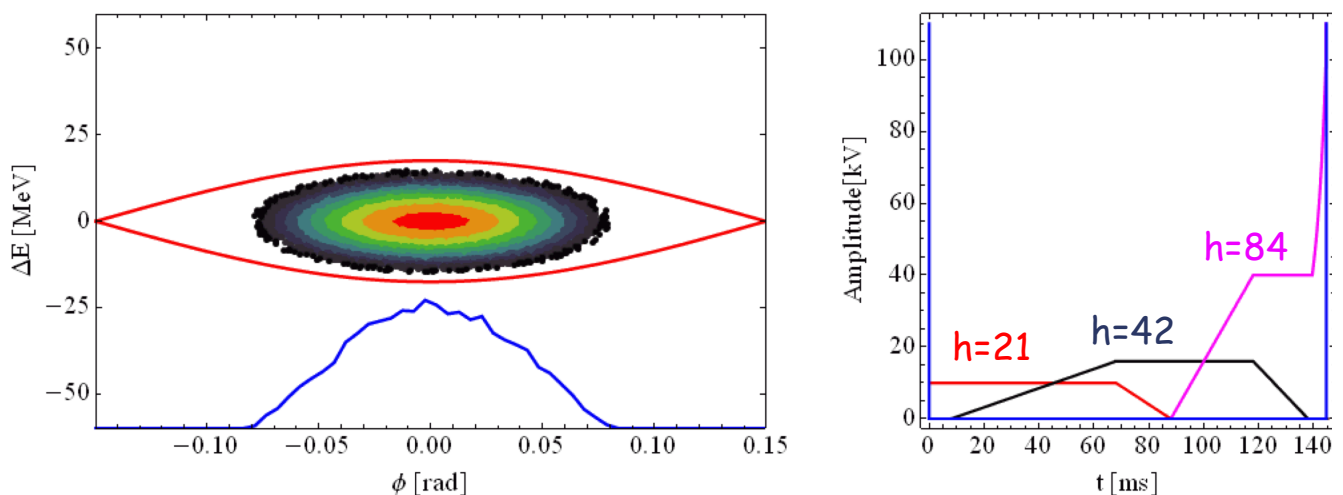
\rightarrow Each bunch from the Booster divided by **12** $\rightarrow 6 \times 3 \times 2 \times 2 = 72$

Triple splitting in the PS



Two times double splitting in the PS

Two times double splitting and bunch rotation:



- Bunch is divided twice using RF systems at $h = 21/42$ (10/20 MHz) and $h = 42/84$ (20/40 MHz)
- Bunch rotation: first part $h=84$ only + $h=168$ (80 MHz) for final part

Summary

- Cyclotrons/Synchrocyclotrons for low energy
- **Synchrotrons** for high energies, constant orbit, rising field and frequency
- Particles with higher energy have a longer orbit (normally) but a higher velocity
 - at low energies (below transition) velocity increase dominates
 - at high energies (above transition) velocity almost constant
- Particles perform **oscillations around synchronous phase**
 - synchronous phase depending on acceleration
 - below or above transition
- **Hamiltonian** approach can deal with fairly complicated dynamics
- **Bucket** is the stable region in phase space inside the **separatrix**
- **Matching** the shape of the bunch to the bucket is essential

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79

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E.J.N. Wilson	An introduction to Particle Accelerators (Oxford University Press, 2001)



And CERN Accelerator Schools (CAS) Proceedings
In particular: CERN-2014-009
Advanced Accelerator Physics - CAS

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80

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I would like to thank everyone for the material that I have used.

In particular (hope I don't forget anyone):

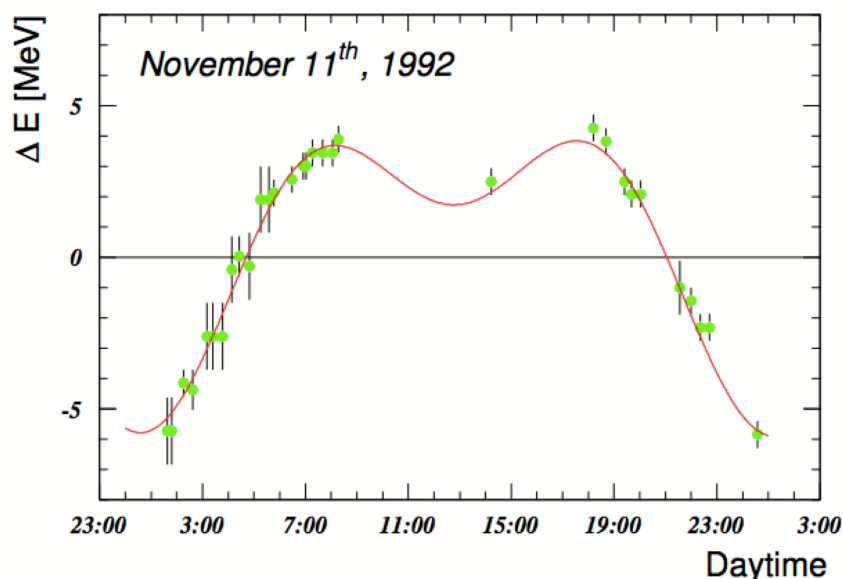
- Joël Le Duff (from whom I inherited the course)
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81

The moon changing LEP Energy

Beam energy measurement during full moon compared to a prediction by a geological model



(L. Arnaudon et al.: *Effects of Terrestrial Tides on the LEP Beam Energy*. NIM A357, pages 249–252, 1995.)

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82

Appendix: Relativity + Energy Gain

Newton-Lorentz Force $\vec{F} = \frac{d\vec{p}}{dt} = e \left(\vec{E} + \vec{v} \times \vec{B} \right)$

2nd term always perpendicular to motion \Rightarrow no acceleration

Relativistics Dynamics

$$\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}} \quad \gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - \beta^2}}$$

$$p = mv = \frac{E}{c^2} \beta c = \beta \frac{E}{c} = \beta \gamma m_0 c$$

$$E^2 = E_0^2 + p^2 c^2 \quad \longrightarrow \quad dE = v dp$$

$$\frac{dE}{dz} = v \frac{dp}{dz} = \frac{dp}{dt} = e E_z$$

$$dE = dW = e E_z dz \quad \rightarrow \quad W = e \int E_z dz$$

RF Acceleration

$$E_z = \hat{E}_z \sin \omega_{RF} t = \hat{E}_z \sin \phi(t)$$

$$\int \hat{E}_z dz = \hat{V}$$

$$W = e \hat{V} \sin \phi$$

(neglecting transit time factor)

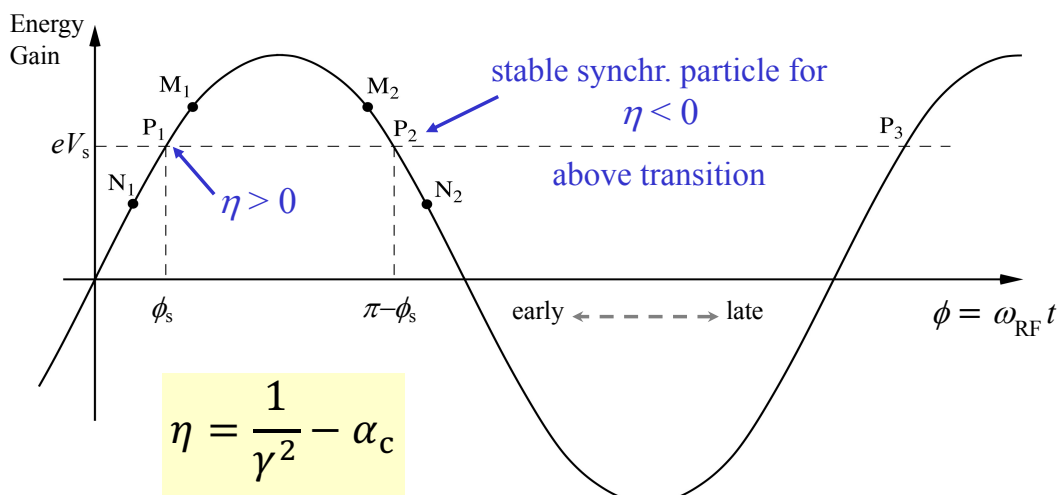
The field will change during the passage of the particle through the cavity
 \Rightarrow effective energy gain is lower

The Synchrotron - Energy ramping

Energy ramping by increasing the B field (frequency has to follow v).

Stable phase ϕ_s changes during energy ramping

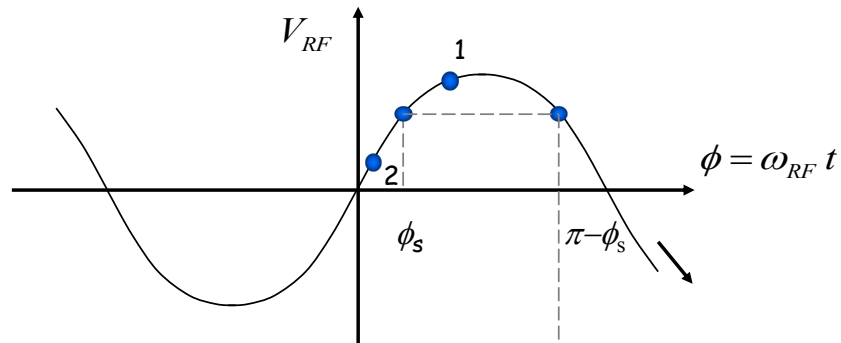
$$\sin \phi_s = 2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}} \quad \Rightarrow \quad \phi_s = \arcsin \left(2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}} \right)$$



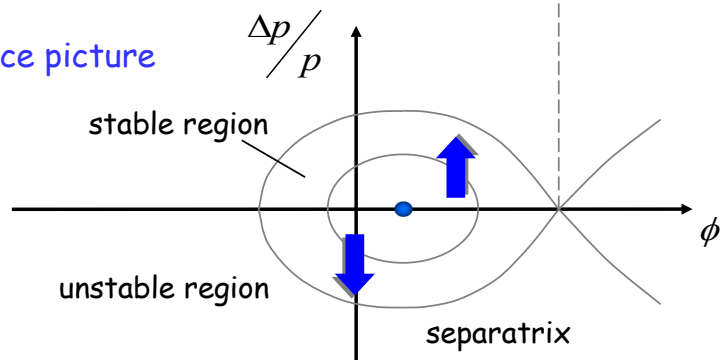
Transition

Case with acceleration B increasing

$$\gamma < \gamma_t$$



Phase space picture



$$\phi_s < \phi < \pi - \phi_s$$

Particles will accumulate energy difference each turn