



# Landau Damping

## part 1

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# Landau Damping

a basic mechanism of beam dynamics

- relevant for operation
- active field of development  
(many new papers, conferences)



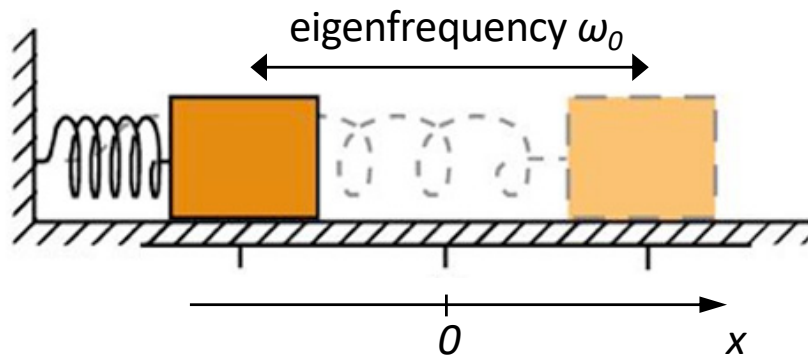
resonant  
transverse  
instability  
filamentation  
phase-mixing  
coasting  
wave  
oscillation  
impedance  
decoherence  
longitudinal  
plasma  
BTF  
dispersion-relation  
nonlinearity  
perturbation  
damping  
space-charge  
bunch  
tune-shift  
incoherent  
particles  
chromaticity  
coherent  
eigenmodes  
collisionless  
g-beam  
tune-spread  
pulse-response  
collective



# Landau Damping

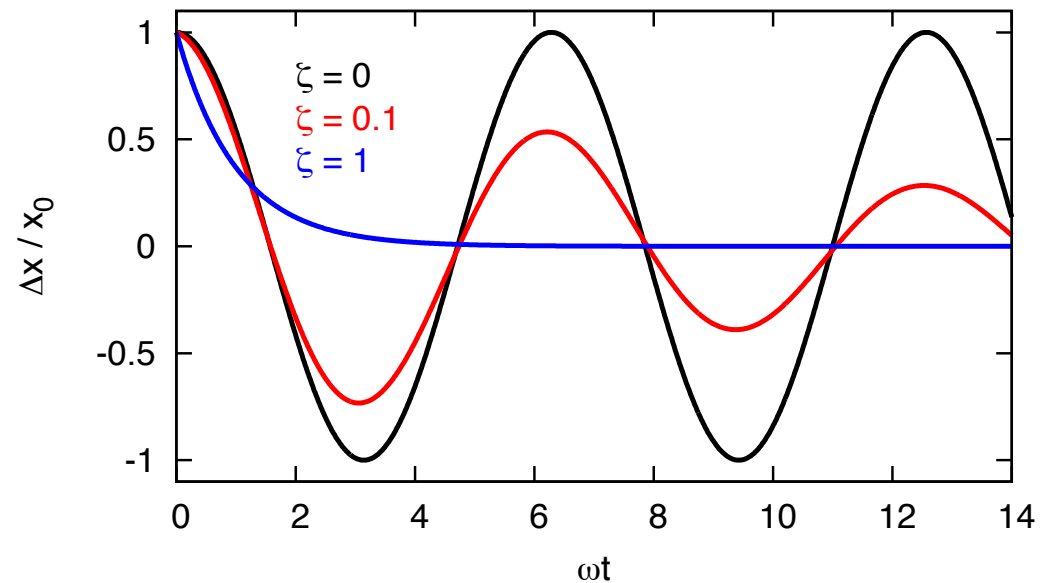
# Damping

## A Harmonic Oscillator



With a damping (friction):

$$x'' + 2\zeta\omega_0 x' + \omega_0^2 x = 0$$



no damping

damped

critically damped



# Landau Damping



Lev Landau (1908-1968)  
Institute for Physical Problems, Moscow

Nobel Prize Physics 1962  
“Theory of Superfluidity”

Discovery of Collisionless Damping:  
L. Landau, *On the vibrations of the  
electronic plasma*, Journal of Physics **10**,  
25-34 (1946)

Experimental confirmation:  
J. Malmberg, C. Wharton,  
Phys Rev Lett **13**, 184 (1964)

For our damping, “Landau”=“collisionless”=“frictionless”



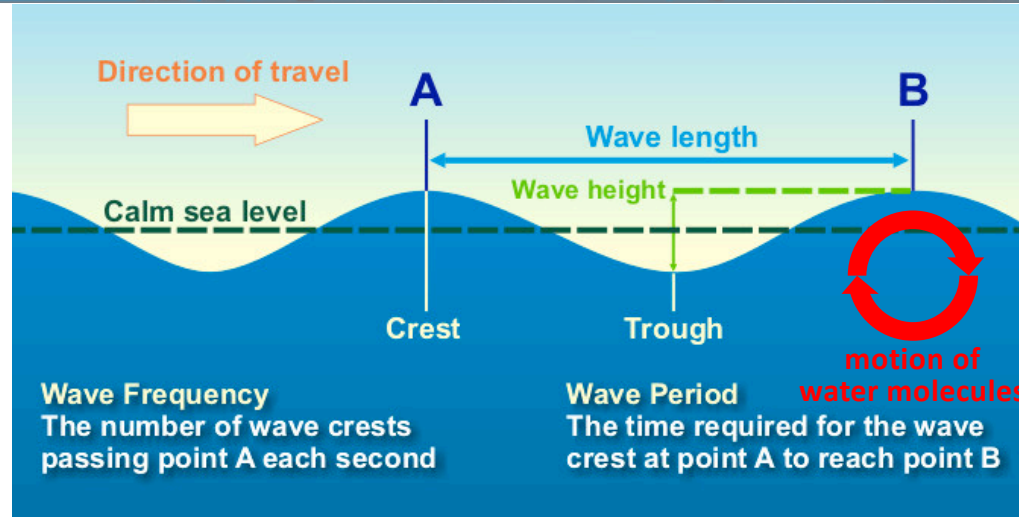
# What kind of oscillations?



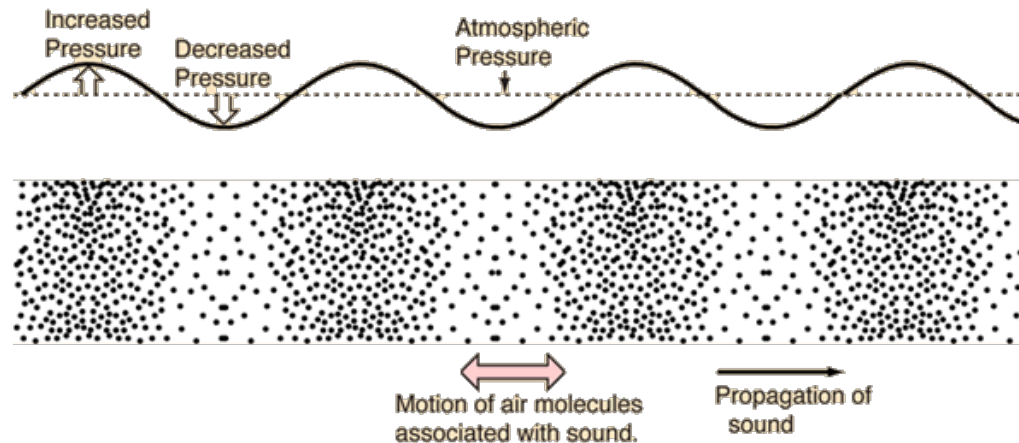


# Oscillations: Waves

Water wave



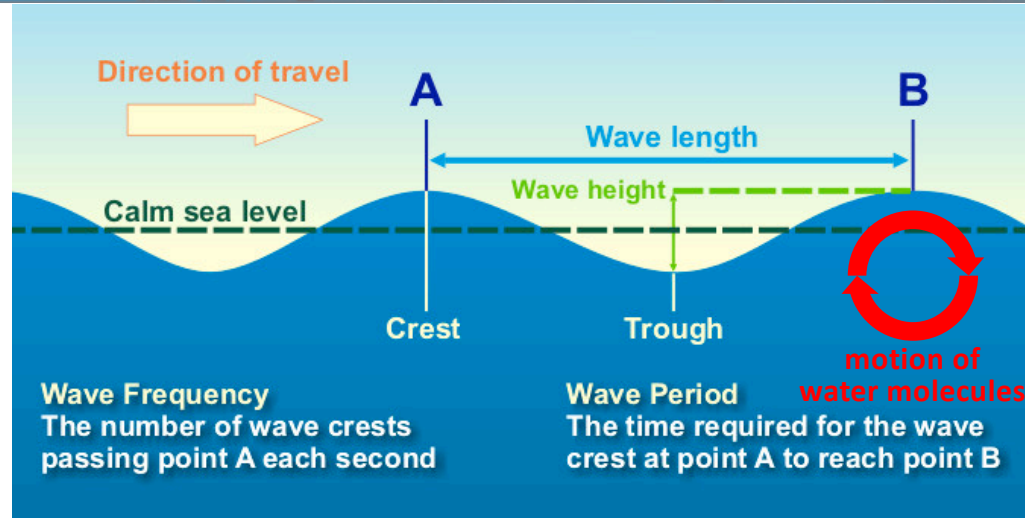
Sound wave



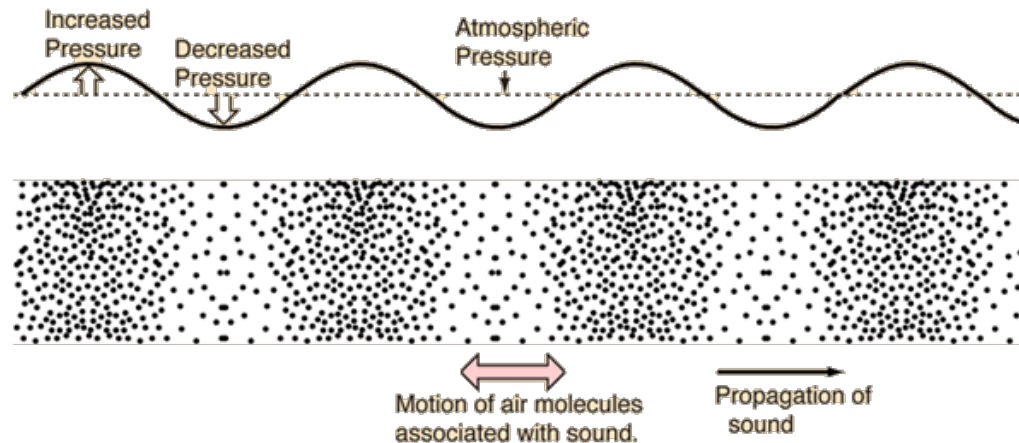
Traveling oscillation in a medium.  
Very different from the medium particle motion.

# Oscillations: Waves

Water wave



Sound wave



Landau damping:  
wave  $\leftrightarrow$  particles collisionless interaction.

# Oscillations: Waves

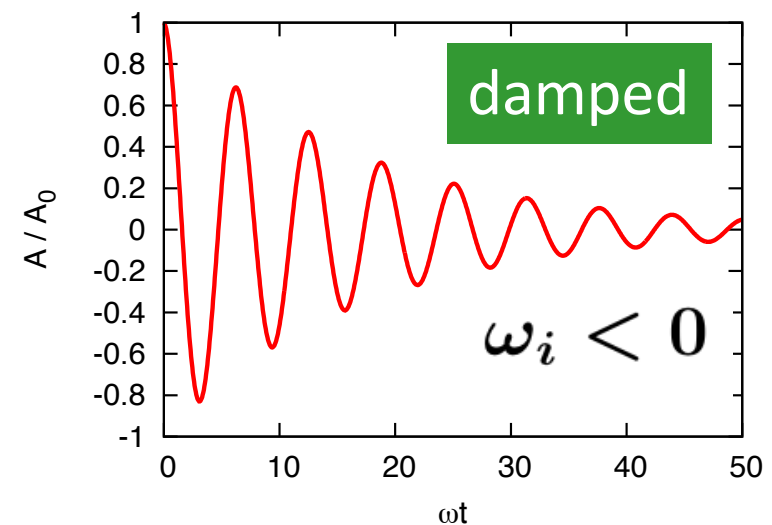
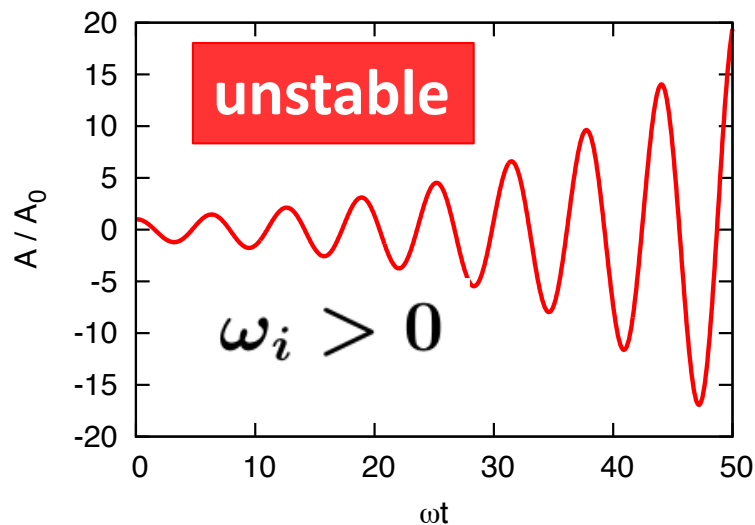
Waves can be  
unstable or damped

The wave frequency is complex:

$$\omega = \omega_r + i\omega_i$$

The wave physical parameter:

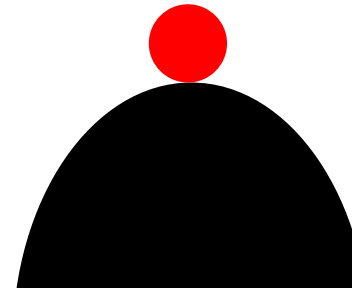
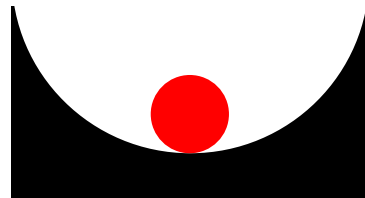
$$A(t) = A_0 \cos(\omega_r t) e^{\omega_i t}$$



# Stability: the basic idea

Stable System

Unstable System



Here is  
Landau  
Damping

small perturbation

small perturbation

Mechanism to suppress the  
infinitesimal perturbations  
(DAMPING)

Mechanism to reinforce the  
infinitesimal perturbations  
(INSTABILITY DRIVE)

perturbation decays

perturbation grows

$$\text{or } |\gamma_{\text{damping}}| > \gamma_{\text{drive}}$$

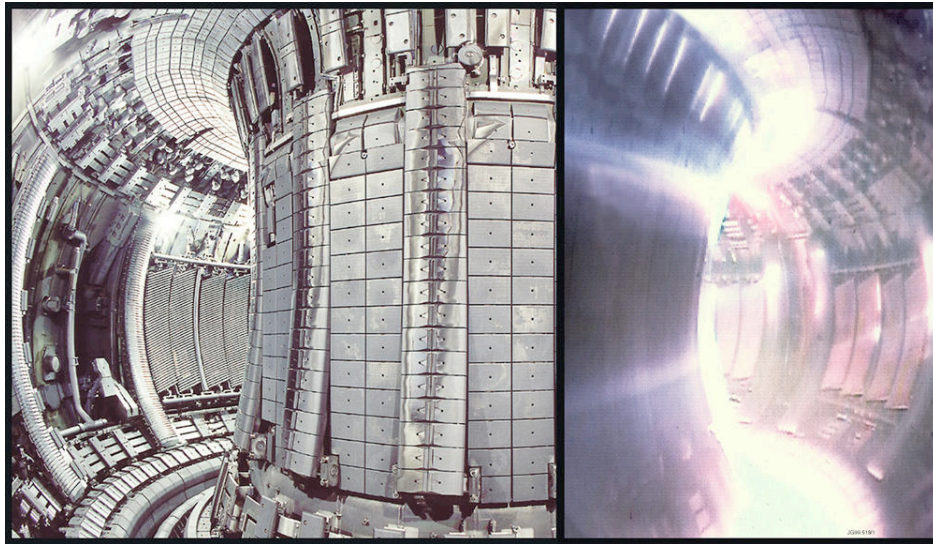
$$\text{or } \gamma_{\text{drive}} > |\gamma_{\text{damping}}|$$



# Landau damping in plasma

# Plasma

Plasma is a quasi-neutral gas of unbound ions and electrons.



Plasma in the JET tokamak

Waves in plasma: collective propagating oscillations of particles and E-M fields.

Electrons are much lighter: oscillations of the electron density

Some waves can be damped.

“Friction” in plasma is collisions.

# Plasma Wave

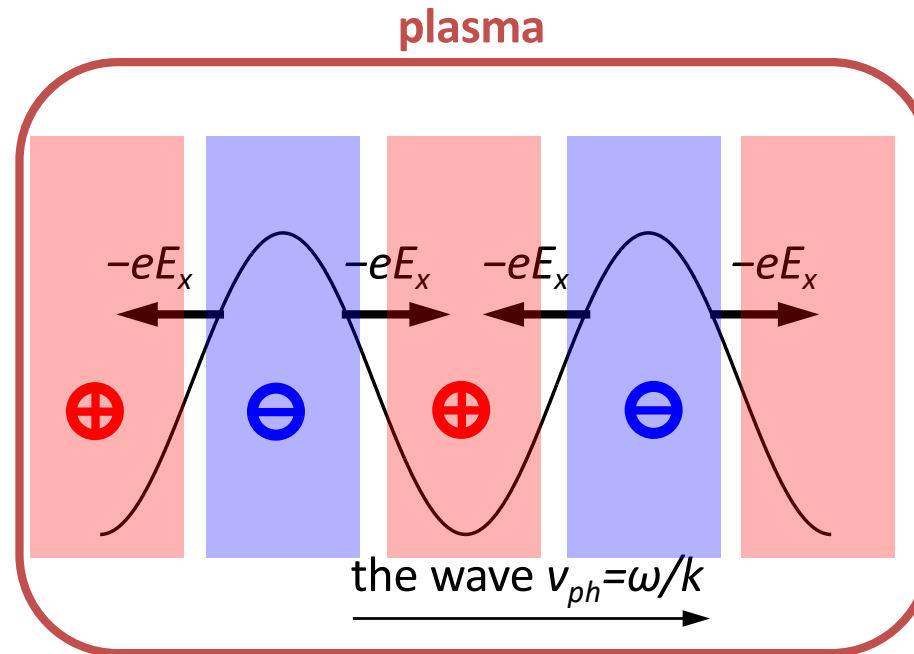
A basic plasma oscillation:  
Langmuir wave

Wave number  $k=2\pi/\lambda$

The phase velocity  
 $v_{ph} = \omega/k$

There are resonant particles  $v_x \approx v_{ph}$

The plasma frequency  
$$\omega_p^2 = \frac{n_e e^2}{m_e \epsilon_0}$$



The dispersion relation

$$\frac{\omega_p^2}{k^2} \int \frac{\partial \hat{f}_0 / \partial v_x}{v_x - \omega/k} dv_x = 1$$

has a singularity



# Landau Damping In Plasma

The wave frequency is complex

$$\omega = \omega_r + i\omega_i$$

The dispersion relation can be solved,  
the integral is calculated as PV + residue

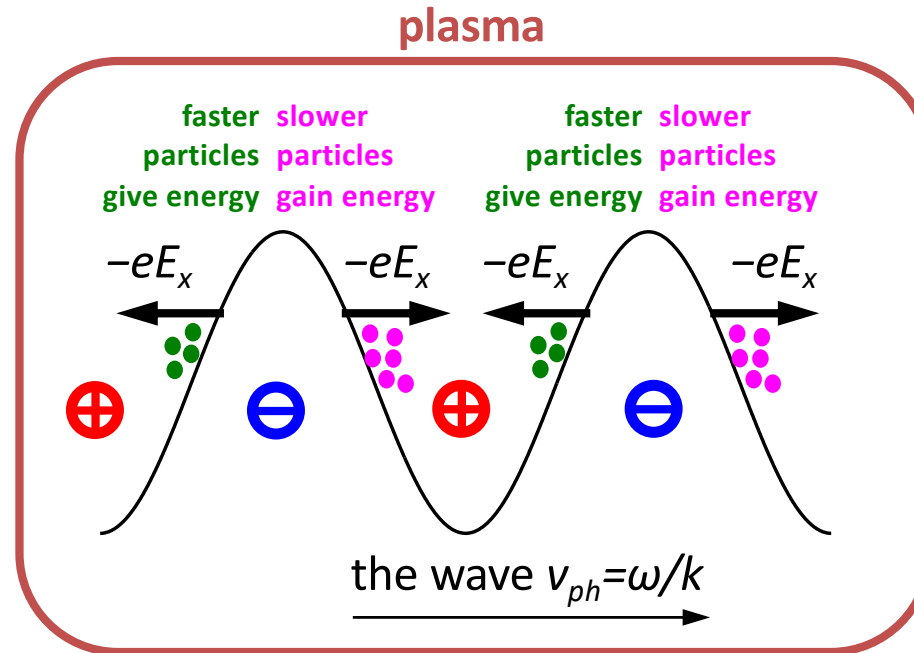
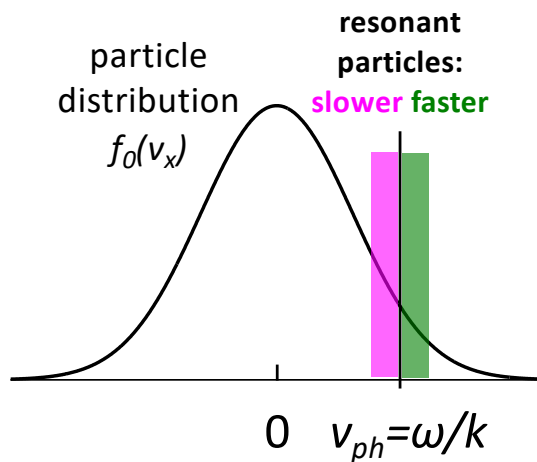
$$\frac{\omega_p^2}{k^2} \left[ \text{PV} \int \frac{\partial \hat{f}_0 / \partial v_x}{v_x - \omega/k} dv_x + i\pi \frac{\partial \hat{f}_0}{\partial v_x} \Big|_{v_x = \frac{\omega}{k}} \right] = 1$$

$$\omega_r^2 = \omega_p^2 + 3k^2 v_{th}^2$$

$$\omega_i = -\frac{\pi \omega_r \omega_p^2}{2 k^2} \frac{\partial \hat{f}_0}{\partial v_x} \Big|_{v_x = \frac{\omega}{k}}$$

# Landau Damping In Plasma

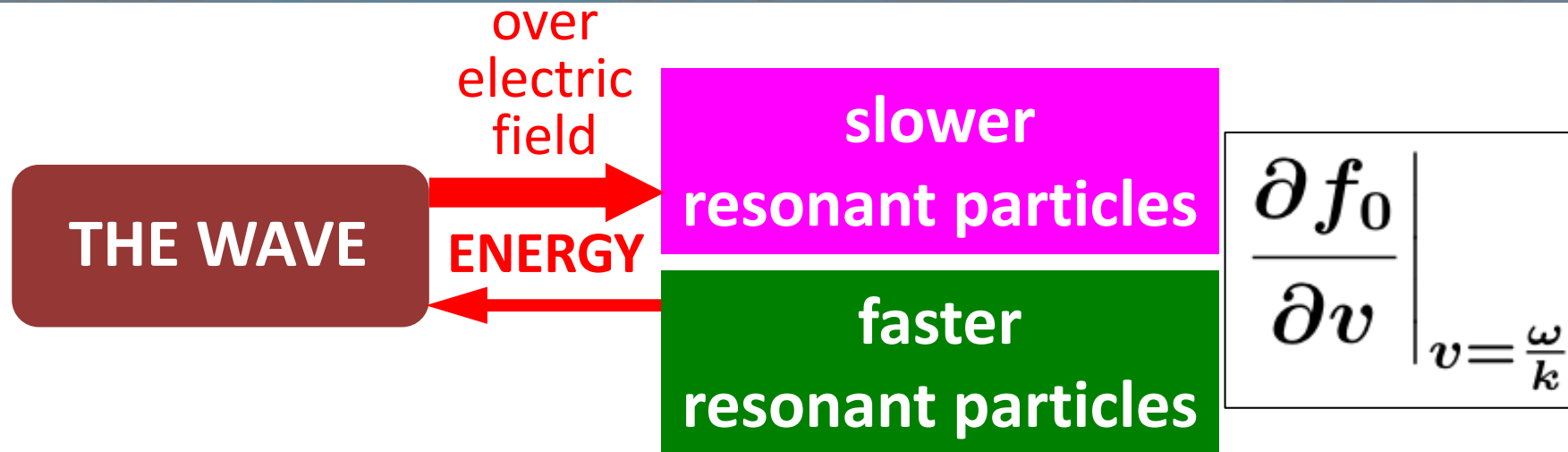
spread in particle velocity distribution



negative  $f_0(v_x)$  slope:  $N_{\text{gain}} > N_{\text{give}} \rightarrow$  the wave decays, **damping**

positive  $f_0(v_x)$  slope:  $N_{\text{gain}} < N_{\text{give}} \rightarrow$  the wave grows, **instability**

# Landau Damping In Plasma



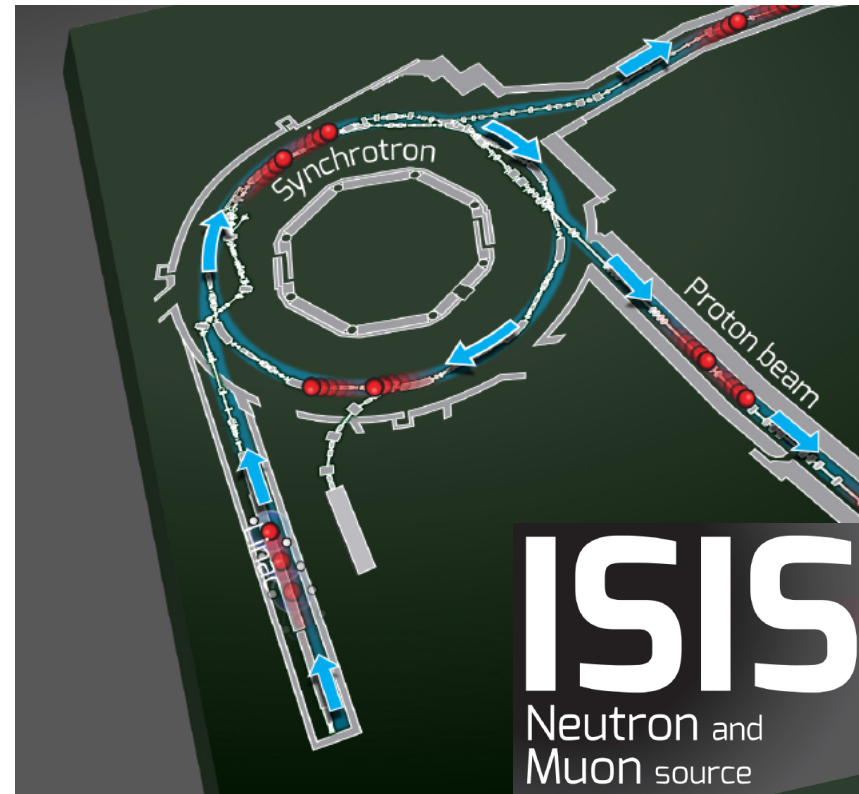
Main ingredients of Landau damping:

- wave–particle collisionless interaction. Here this is the electric field
- energy transfer: the wave  $\leftrightarrow$  the (few) resonant particles

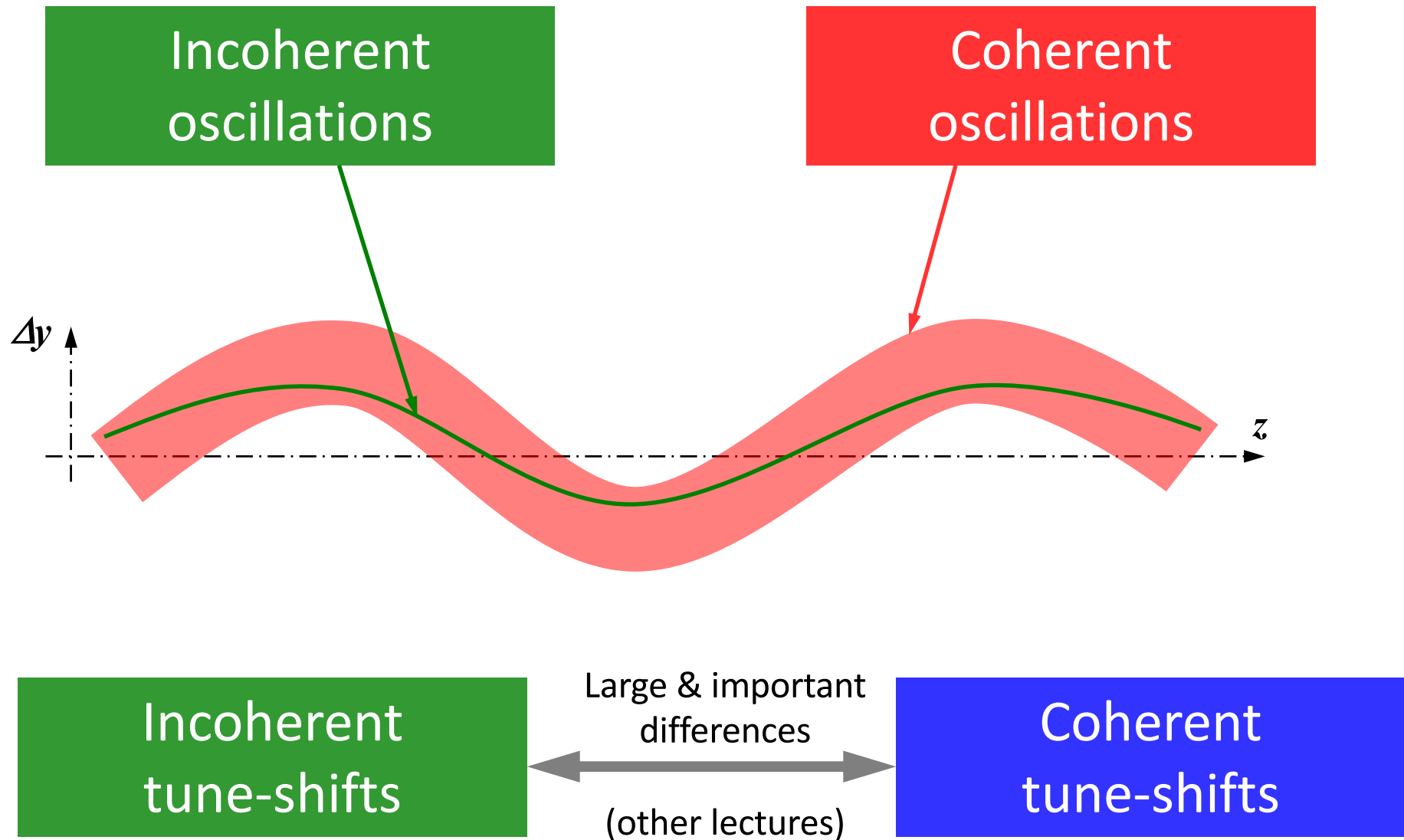
The result is the exponential decay of a small perturbation.

Landau damping is a fundamental mechanism in plasma physics.  
Extensively studied in experiment, simulations and theory.

# Waves in particle beams in accelerators?



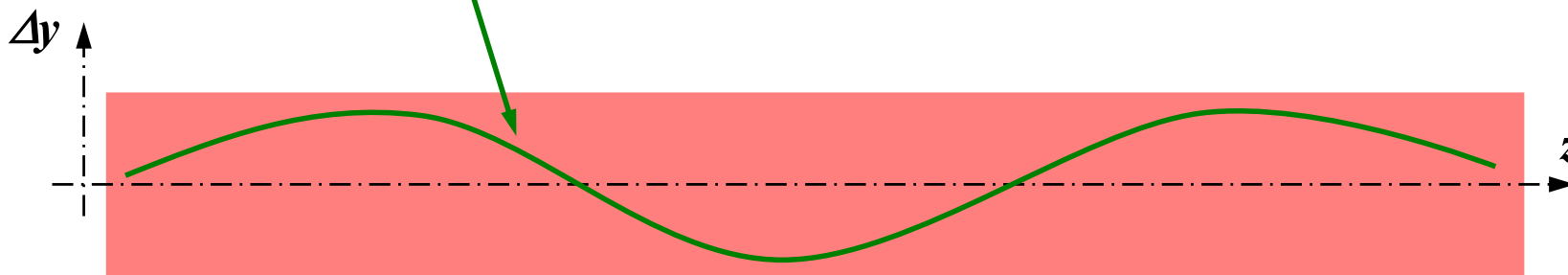
# Waves in Beams



# Waves in Beams

Incoherent oscillations

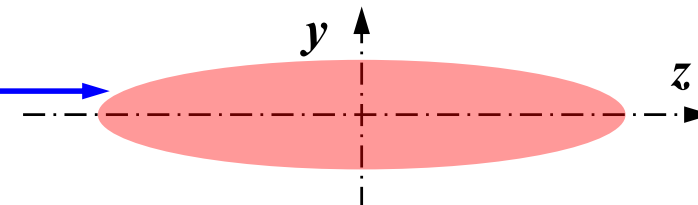
No coherent oscillations  
 $\langle y \rangle = 0$



coasting beam:  $L=C$ ,  $\lambda(z)=\text{const}$ ,  
no synchrotron motion,  $\delta p=\text{const}$

bunched beam:  $L_{\text{bunch}}$ ,  $\lambda(z)$  profile,  
synchrotron oscillations  $Q_s$  :  $\delta p-z$

Waves in bunches and  
in coasting beams



# Waves in Beams

## Transverse oscillations in a coasting beam

$$x(s, t) = x_0 e^{ins/R - i\Omega t}$$

$n$  is the mode index.

Wave length:  $C/n$

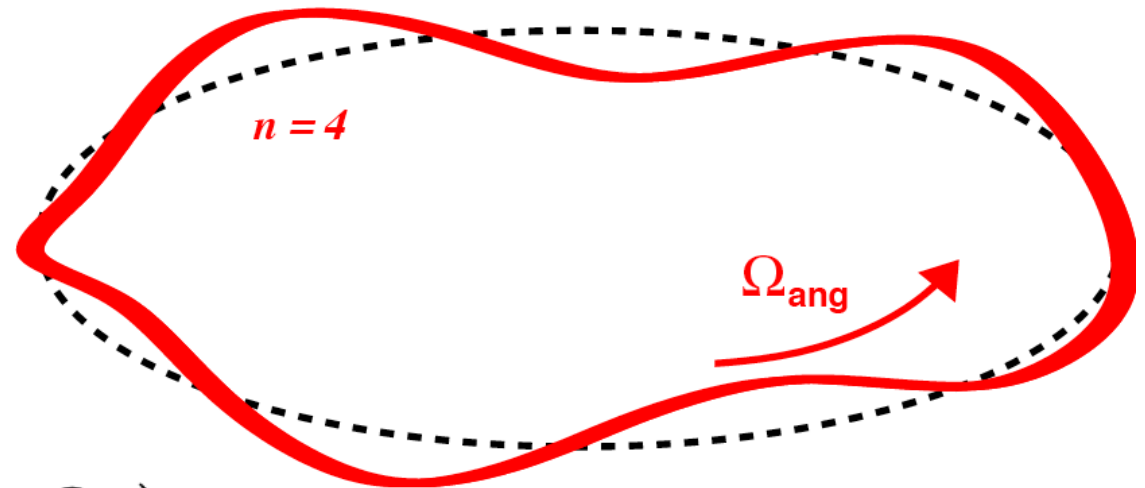
Frequencies:

$$\text{slow wave } \Omega_s = (n - Q_\beta)\omega_0$$

$$\text{fast wave } \Omega_f = (n + Q_\beta)\omega_0$$

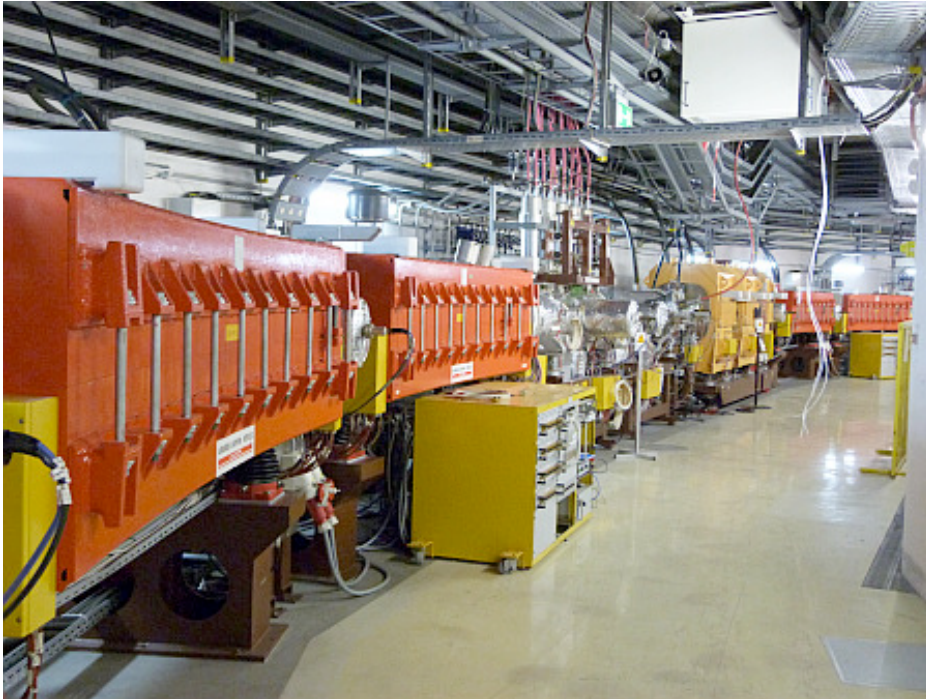
Angular rotation ( $\Omega_s$ ):

$$\Omega_{\text{ang}} = \left(1 - \frac{Q_\beta}{n}\right)\omega_0$$



# Waves in Coasting Beams

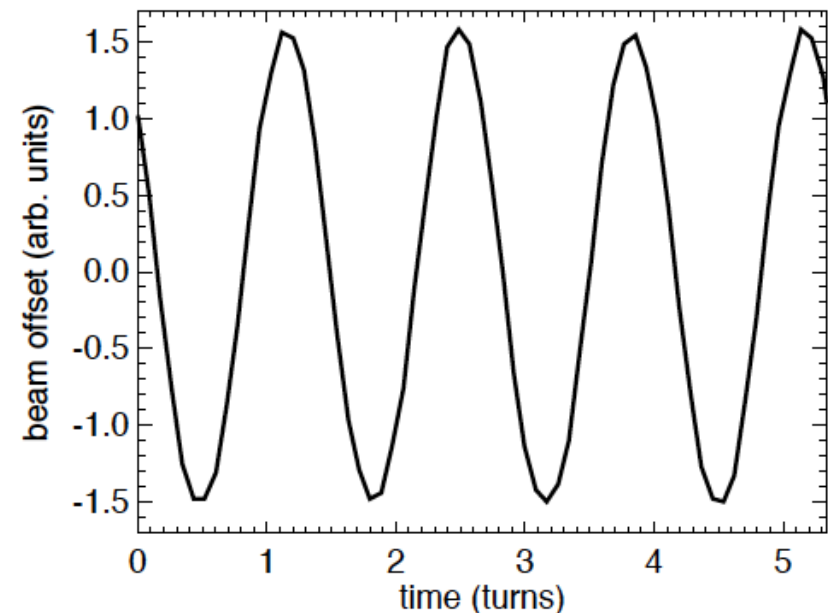
Experimental observations of the coasting-beam waves



SIS18 synchrotron at GSI Darmstadt

V. Kornilov, O. Boine-Frankenheim,  
GSI-Acc-Note-2009-008, GSI Darmstadt (2009)

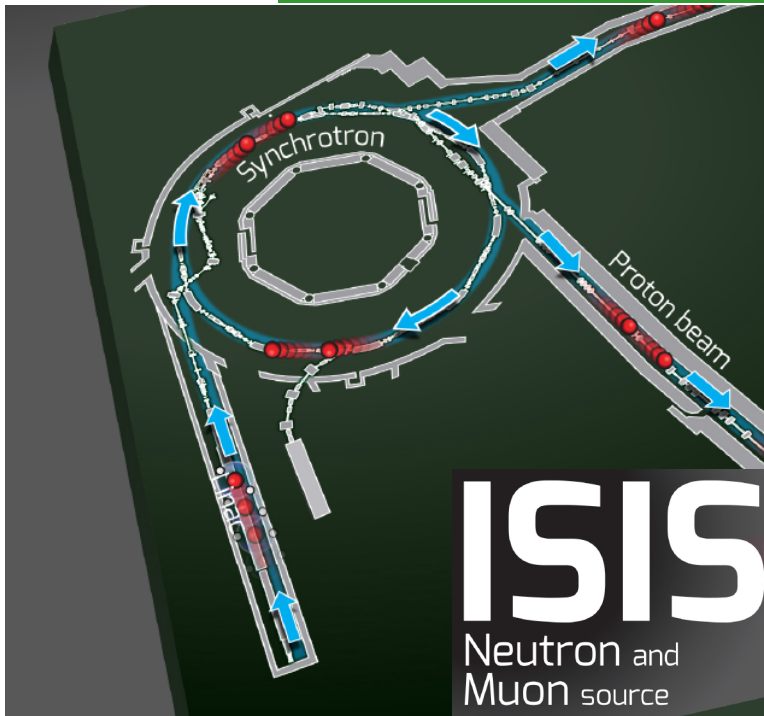
A coasting beam in SIS18.  
 $n=4$ , as expected for  $Q=3.25$ ,  
with correct  $\Omega_s$  and  $\Omega_{\text{ang}}$





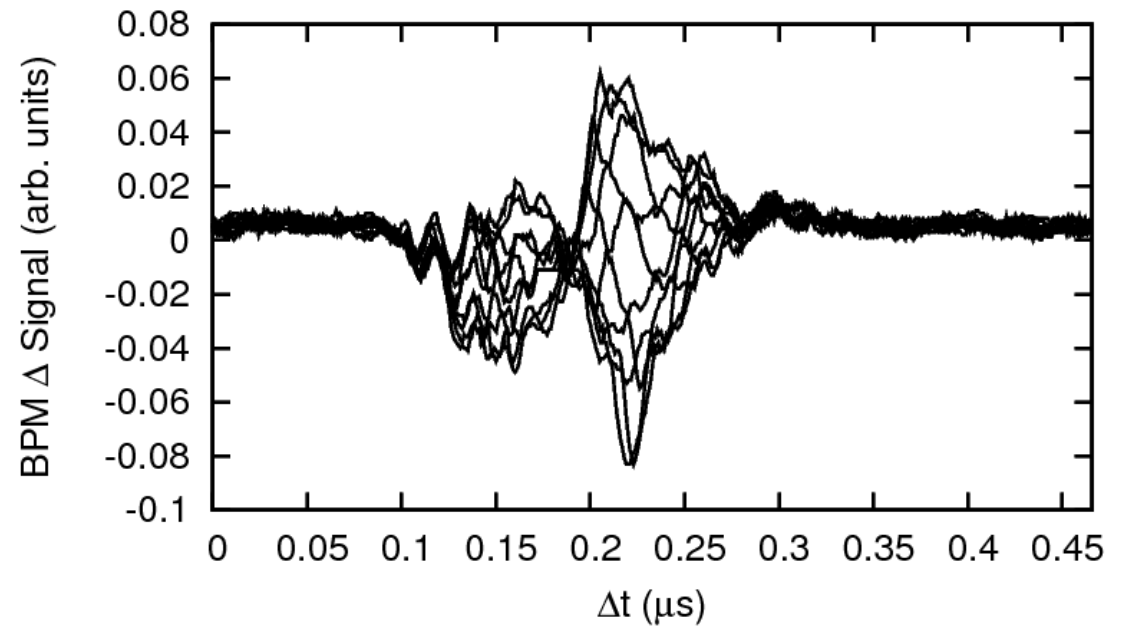
# Waves in Bunched Beams

## Experimental observations of the waves in bunches



ISIS synchrotron at RAL, UK

Unstable head-tail modes in ISIS. High-intensity beams, 2 bunches, head-tail mode  $k=1$ ,  $\tau=0.1$  ms.



V. Kornilov, et.al, HB2014 East Lansing, MI, USA, Nov 10-14, 2014

# Collective oscillations in beams

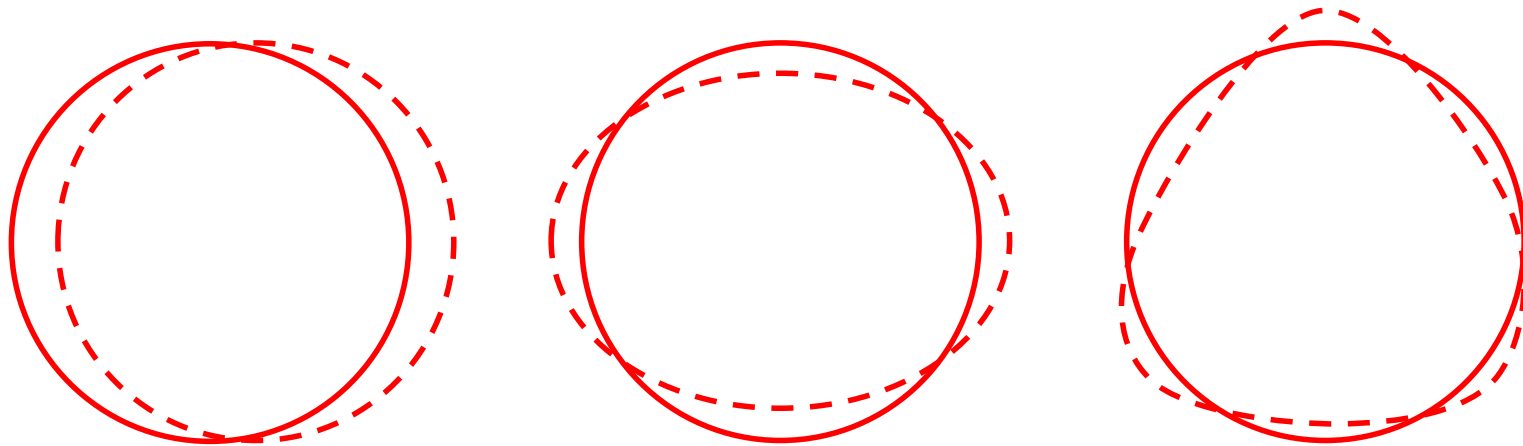
Different types of coherent oscillations

Transverse, Longitudinal

Dipolar ( $m=1$ )

Quadrupolar ( $m=2$ )

Sextupolar ( $m=3$ )



Here we consider mostly the dipole transverse oscillations.  
For the others: the physics and the formalism are similar.



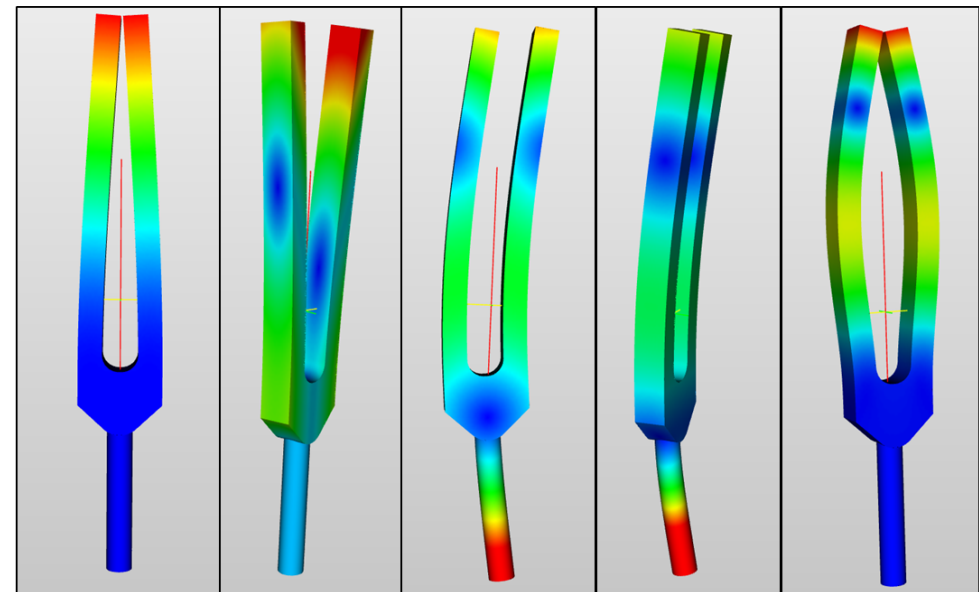
# Special waves: Eigenmodes

# Eigenmodes

Eigenmodes: intrinsic orthogonal oscillations of the dynamical system, with the fixed frequencies (eigenfrequencies)

$$A\vec{x} = \lambda\vec{x}$$

eigenvalue      eigenmode



We often talk about the shift:

$$\Delta\Omega = \Omega - \Omega_{\text{eigenfrequency}}$$

Eigenmodes of a tuning fork.  
Pure tone at eigenfrequencies.

# Eigenmodes

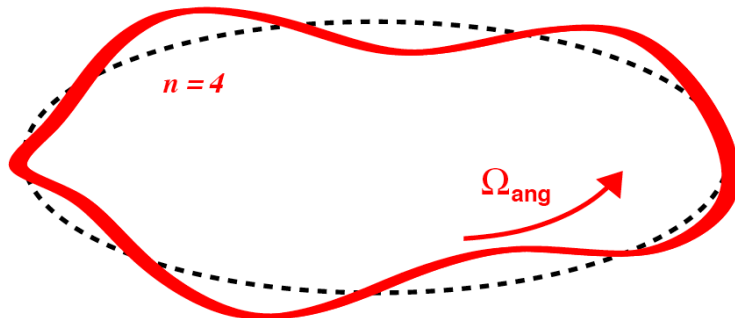
## Transverse eigenmodes in a coasting beam

Eigenmode:

$$x(s, t) = x_0 e^{ins/R - i\Omega t}$$

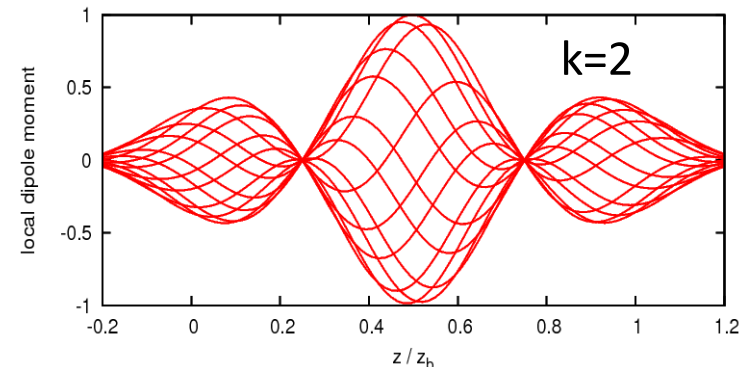
Eigenfrequency:

$$\Omega_s = (n - Q_\beta)\omega_0$$

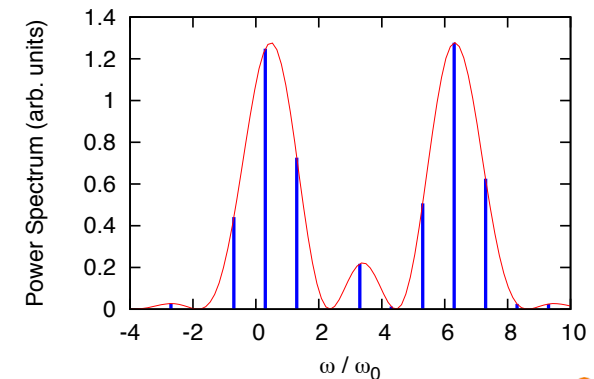


## Transverse eigenmodes in a bunched beam: Head-Tail Modes

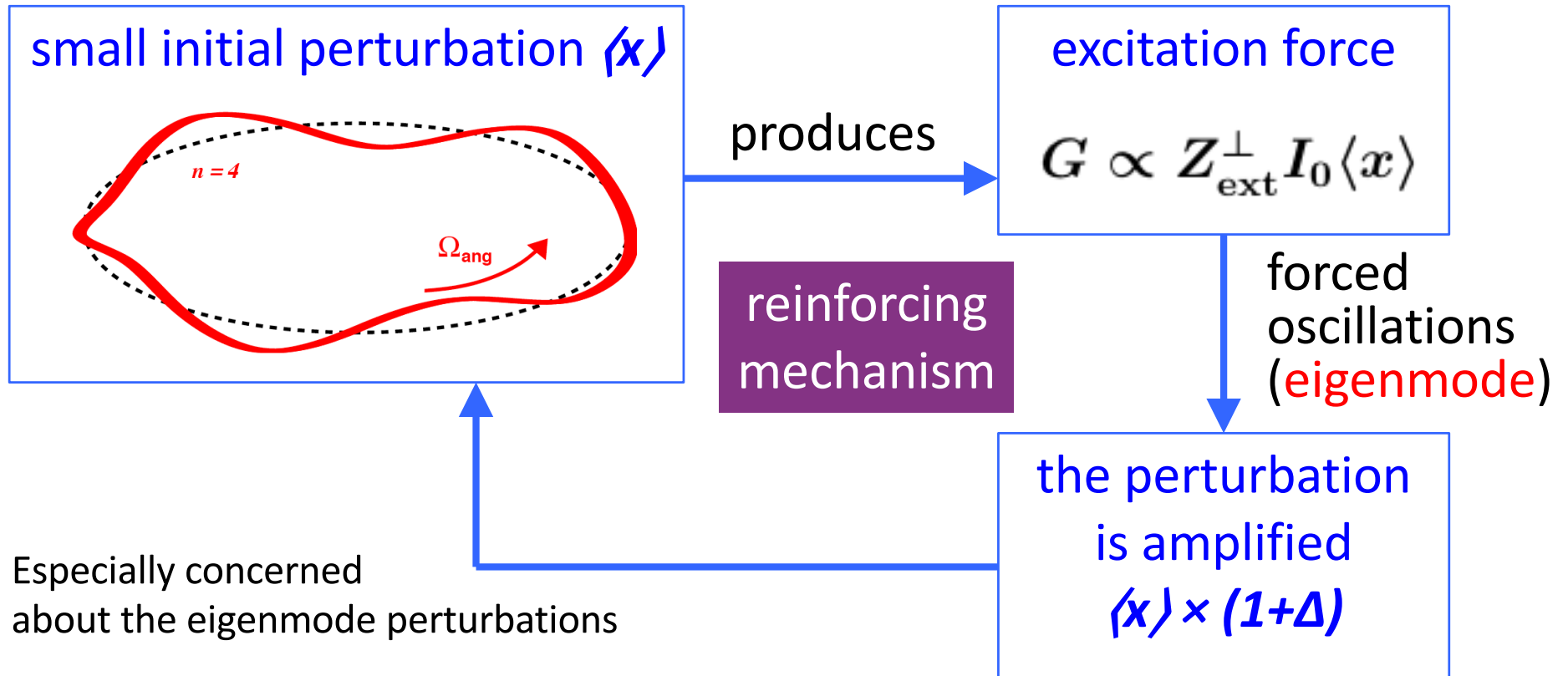
Eigenmode:



Eigenfrequencies:



# Unstable Oscillations



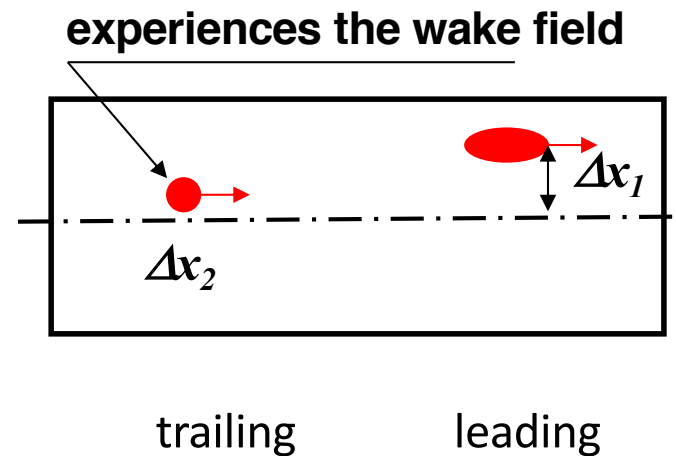
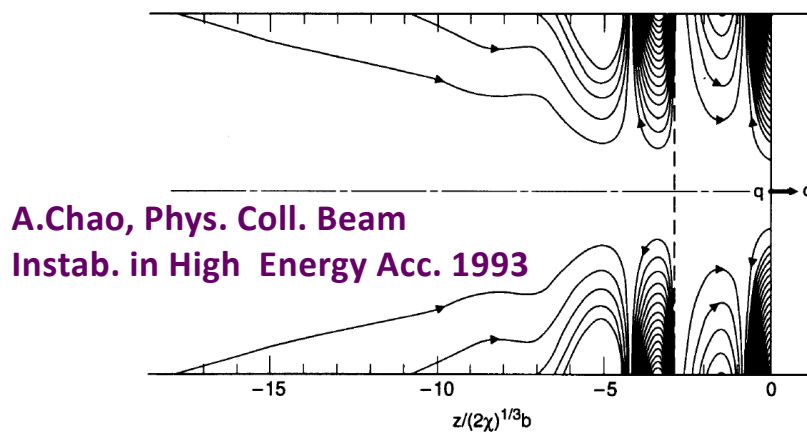
The result is  $\Delta Q_{\text{coh}}$  and the exponential growth: instability

$$\langle x \rangle(t) = x_0 e^{\text{Im}(\Omega)t}$$

# Wake Fields, Impedances

Dipolar wakes:  $F_{x2} \sim \Delta x_1$   
 (driving) the same for the whole trailing slice: coherent

Quadrupolar wakes:  $F_{x2} \sim \Delta x_2$   
 (detuning) different for individual particles: incoherent



Transverse collective instabilities: Dipolar Wakes  $W_1(z)$ , Impedances  $Z_1(\omega)$



# Beam Transfer Function (BTF)

K.Y.Ng, Physics of Intensity Dependent Beam Instabilities, 2006

A.Hofmann, Proc. CAS 2003, CERN-2006-002

A.Chao, Phys. Coll. Beam Instab. in High Energy Acc. 1993



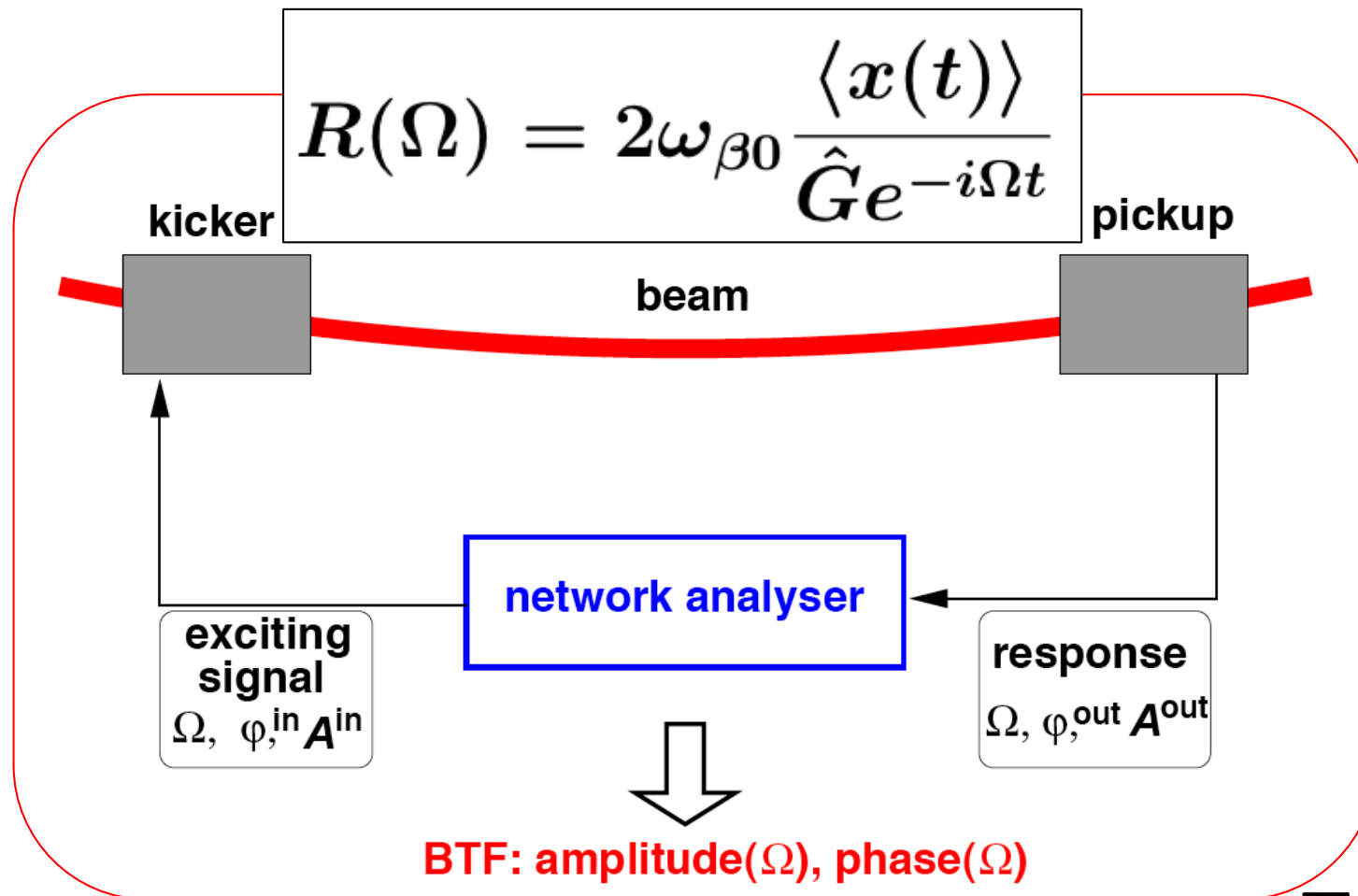
# Beam Transfer Function

an excitation:

$$x'' + \omega_{\beta i}^2 x = \hat{G} e^{-i\Omega t}$$

beam forced response:

$$\langle x \rangle = A e^{-i\Omega t + \Delta\phi}$$

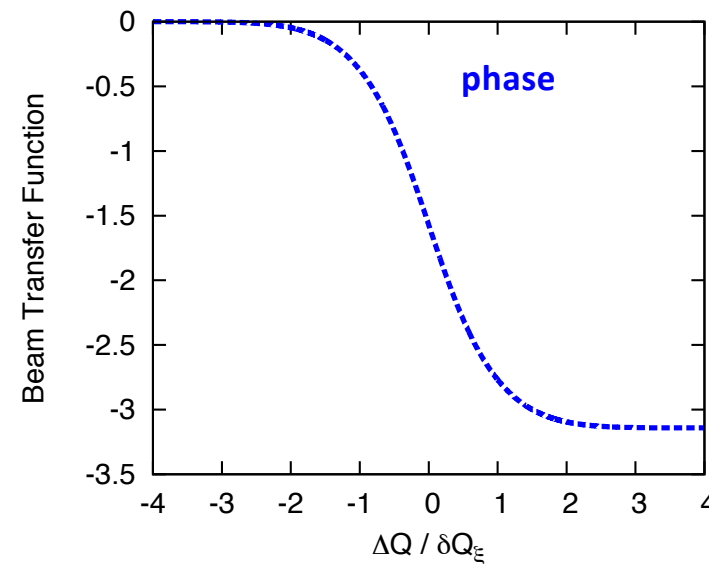
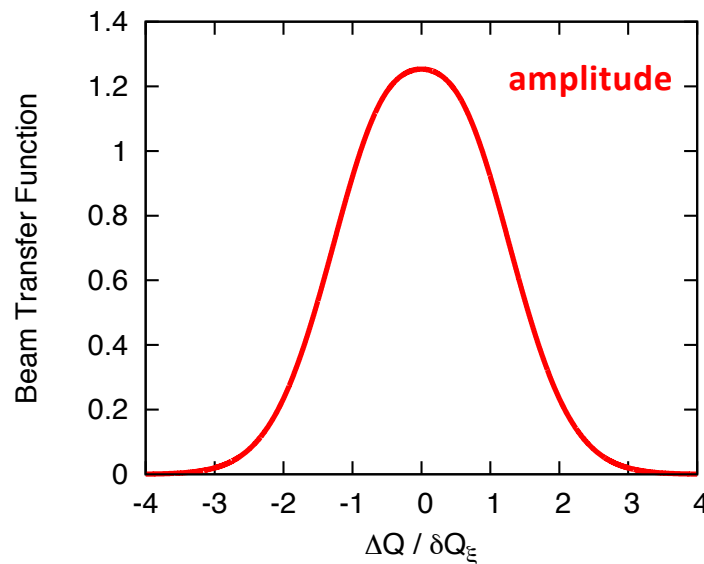


# Beam Transfer Function

BTF is:

- Useful diagnostics; gives the tune,  $\delta p$ , chromaticity, beam distribution
- A fundamental function in the beam dynamics
- Necessary to describe the beam signals and Landau damping

$$R(\Omega) = PV \int \frac{f(\omega)d\omega}{\omega - \Omega} + i\pi f(\Omega)$$



$$\Delta Q = (\Omega - (m \pm Q_f) f_0) / f_0$$

$$\delta Q_\xi = |m\eta \pm (Q_{f\eta} \eta - Q_0 \xi)| \delta p / p$$

J.Borer, et al, PAC1979

D.Boussard, CAS 1993, CERN 95-06, p.749

A.Chao, Phys. Coll. Beam Instab. in High Energy Acc. 1993

Handbook of Acc. Physics and Eng. 2013, 7.4.17

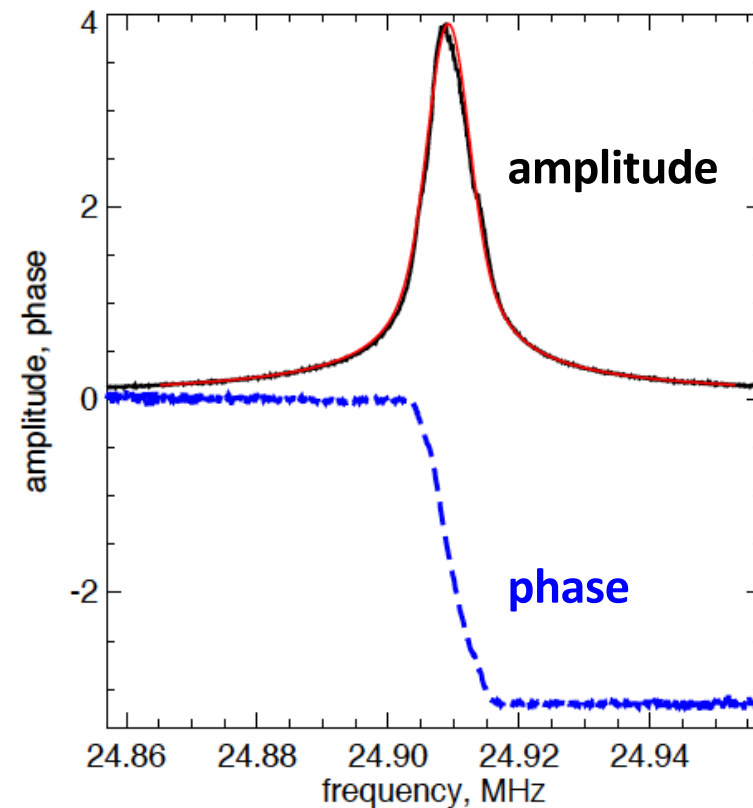


# Beam Transfer Function

BTF: a standard measurement with a network analyzer

- Collective response to the excitation
- Observe the incoherent spectrum
- Still, the beam is stable: Landau Damping!

A coasting beam  $U^{73+}$  in SIS18.  
Transverse signal.  
Lower side-band of  $m=24$



V.Kornilov, et al, GSI-Acc-Note-2006-12-001, GSI Darmstadt (2006)



# Landau Damping:

## Interaction

wave  $\leftrightarrow$  resonant particles

V.K. Neil and A.M. Sessler, Rev. Sci. Instrum. 6, 429 (1965)

L. J. Laslett, V.K. Neil, and A.M. Sessler, Rev. Sci. Instrum. 6, 46 (1965)

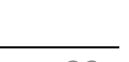
H.G. Hereward, CERN Report 65-20 (1965)

D. Möhl, H. Schönauer, Proc. IX Int. Conf. High Energy Acc., p. 380 (1974)

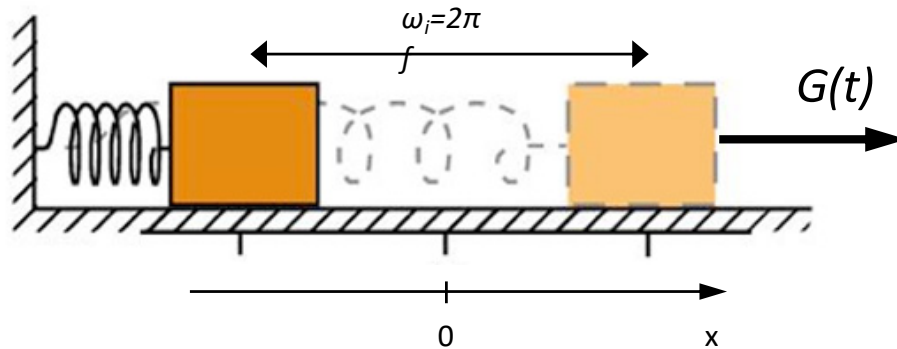
A.Hofmann, Proc. CAS 2003, CERN-2006-002

A.Chao, Phys. Coll. Beam Instab. in High Energy Acc. 1993

K.Y.Ng, Physics of Intensity Dependent Beam Instabilities, 2006



# Driven Harmonic Oscillator



$$x'' + \omega_i^2 x = \hat{G} e^{-i\Omega t}$$

The solution

=

homogeneous solution  
(pulse response)  
initial conditions

+

particular solution  
(forced oscillations)

Off-resonance ( $\Omega \neq \omega_i$ ) and  
at resonance ( $\Omega = \omega_i$ ),  
different particular  
solutions.  
Zero initial conditions.

$$x_G(t) = \frac{2\hat{G}}{\omega_i^2 - \Omega^2} \sin\left(\frac{\omega_i - \Omega}{2}t\right) \sin\left(\frac{\omega_i + \Omega}{2}t\right)$$

$$x_G(t) = \frac{\hat{G}}{2\Omega} t \sin(\Omega t)$$

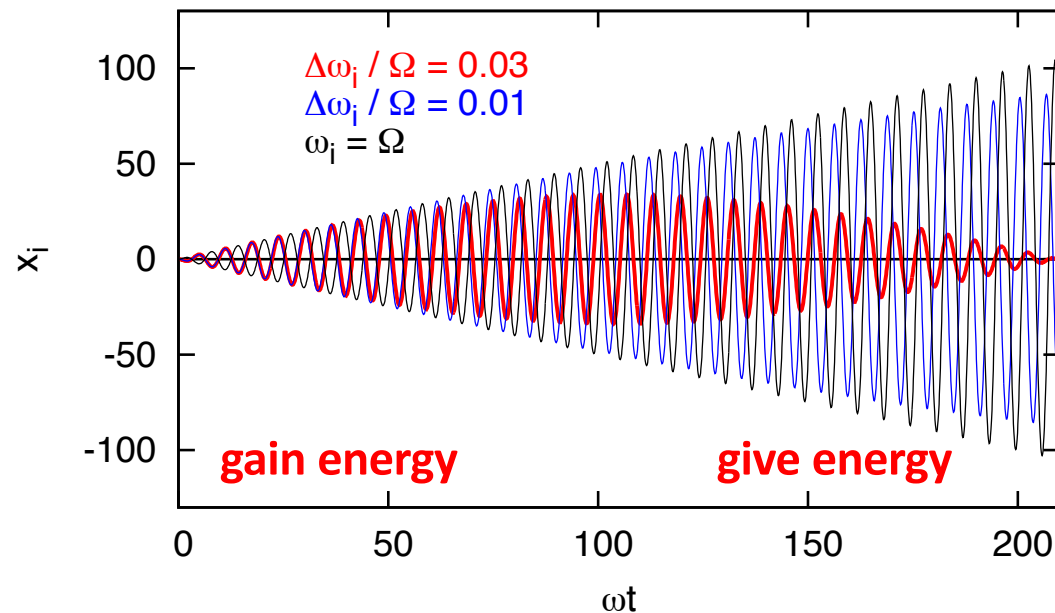
# Driven Harmonic Oscillator

off-resonant beating solution

$$x_G(t) = \frac{2\hat{G}}{\omega_i^2 - \Omega^2} \sin\left(\frac{\omega_i - \Omega}{2}t\right) \sin\left(\frac{\omega_i + \Omega}{2}t\right)$$

resonant solution

$$x_G(t) = \frac{\hat{G}}{2\Omega} t \sin(\Omega t)$$



wave ↔ particle energy transfer



# Landau Damping: Dispersion Relation

D. Möhl, H. Schönauer, Proc. IX Int. Conf. High Energy Acc., p. 380 (1974)

A.Hofmann, Proc. CAS 2003, CERN-2006-002

A.Chao, Phys. Coll. Beam Instab. in High Energy Acc. 1993

K.Y.Ng, Physics of Intensity Dependent Beam Instabilities, 2006

W.Herr, Introduction to Landau Damping, CAS2013, CERN-2014-009

# Coherent Oscillations

## An easy derivation of the dispersion relation

the external drive is INTENSITY  $\times$  IMPEDANCE  $\times$  PERTURBATION

$$G = \frac{\langle F_x \rangle}{m\gamma} = \frac{q\beta}{m\gamma C} i Z_{\text{ext}}^{\perp} I_0 \langle x \rangle$$

the no-damping complex coherent tune shift is  
INTENSITY  $\times$  IMPEDANCE

$$\Delta Q_{\text{coh}} = \frac{I_0 q_{\text{ion}}}{4\pi\gamma m c Q_0 \omega_0} i Z_{\text{ext}}^{\perp}$$

only the dipole  
impedance here,  
no incoherent effects

thus, the external drive is

$$G = 2\omega_{\beta 0} \omega_0 \Delta Q_{\text{coh}} \langle x \rangle$$



# Dispersion Relation

## An easy derivation of the dispersion relation

the external drive is IMPEDANCE TUNE SHIFT  $\times$  PERTURBATION

$$G = 2\omega_{\beta 0}\omega_0\Delta Q_{\text{coh}}\langle x \rangle$$

the beam response is the BTF

$$\langle x \rangle = \frac{G}{2\omega_{\beta 0}\sigma_{\omega}}R(u)$$

combined: the DISPERSION RELATION

$$\Delta Q_{\text{coh}}R(\Omega) = 1$$

provides the resulting  $\Omega$  for the given impedance and beam

# Stability Diagram

the resulting  $\Omega$  for the given impedance and beam

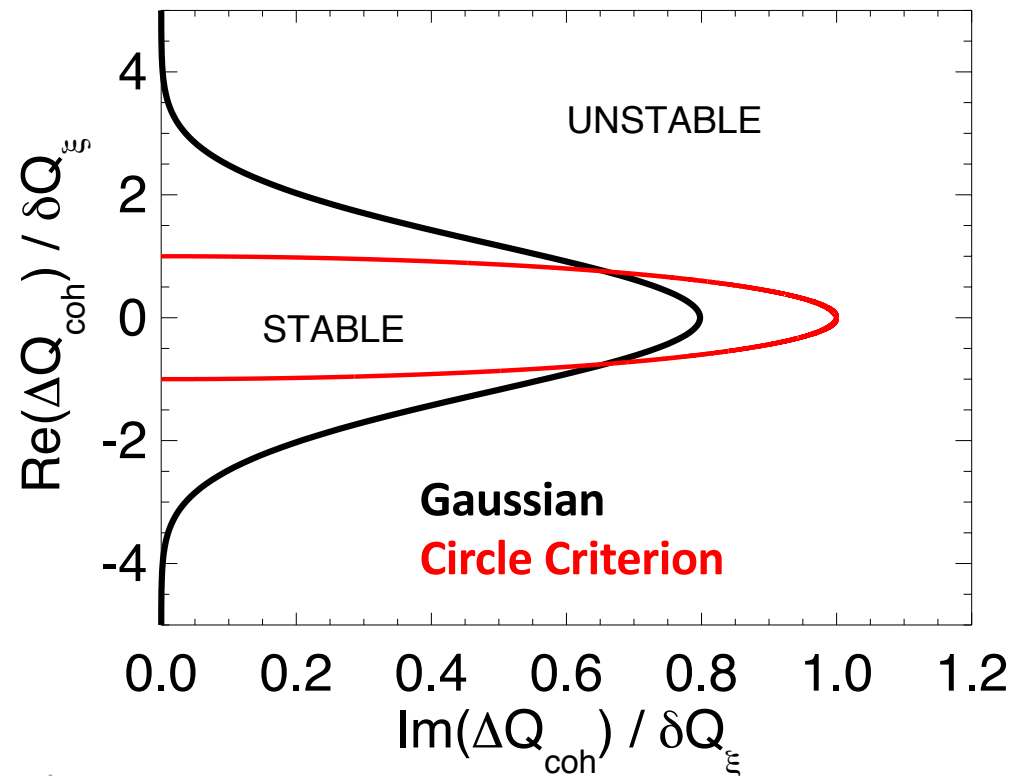
$$\Delta Q_{\text{coh}} R(\Omega) = 1$$

$$\Delta Q_{\text{coh}} \omega_0 \int \frac{f(\omega) d\omega}{\omega - \Omega} = 1$$

$\text{Re}(Z) > 0$ : the slow wave

$$\omega_s = (n - Q_0) \omega_0$$

$$\delta Q_\xi = \left| \eta(n - Q_0) + Q_0 \xi \right| \delta_p$$



Circle Criterion

$$\frac{|\Delta Q_{\text{coh}}|}{\delta Q_\xi} = 1$$

Circle Criterion: E.Keil, W.Schnell, CERN ISR-TH-RF/69-48 (1969)

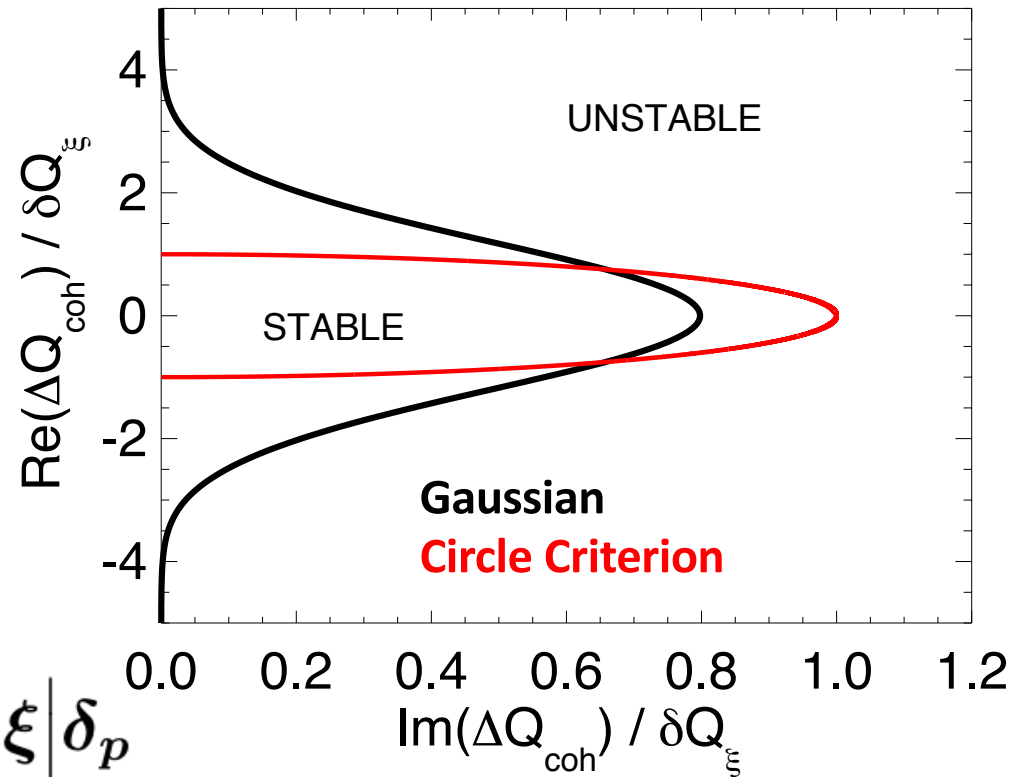
# Stability Diagram

the resulting  $\Omega$  for the given impedance and beam

$$\Delta Q_{\text{coh}} R(\Omega) = 1$$

$$\frac{|\Delta Q_{\text{coh}}|}{\delta Q_{\xi}} = 1$$

$$\delta Q_{\xi} = \left| \eta(n - Q_0) + Q_0 \xi \right| \delta_p$$



Strength of Landau Damping is proportional to the tune spread

Tune spread provides Landau Damping

# Stability Diagram

## Example for a tune spread

Resonances:  $kQ_x + mQ_y = n$

2<sup>nd</sup> order (quadrupole)

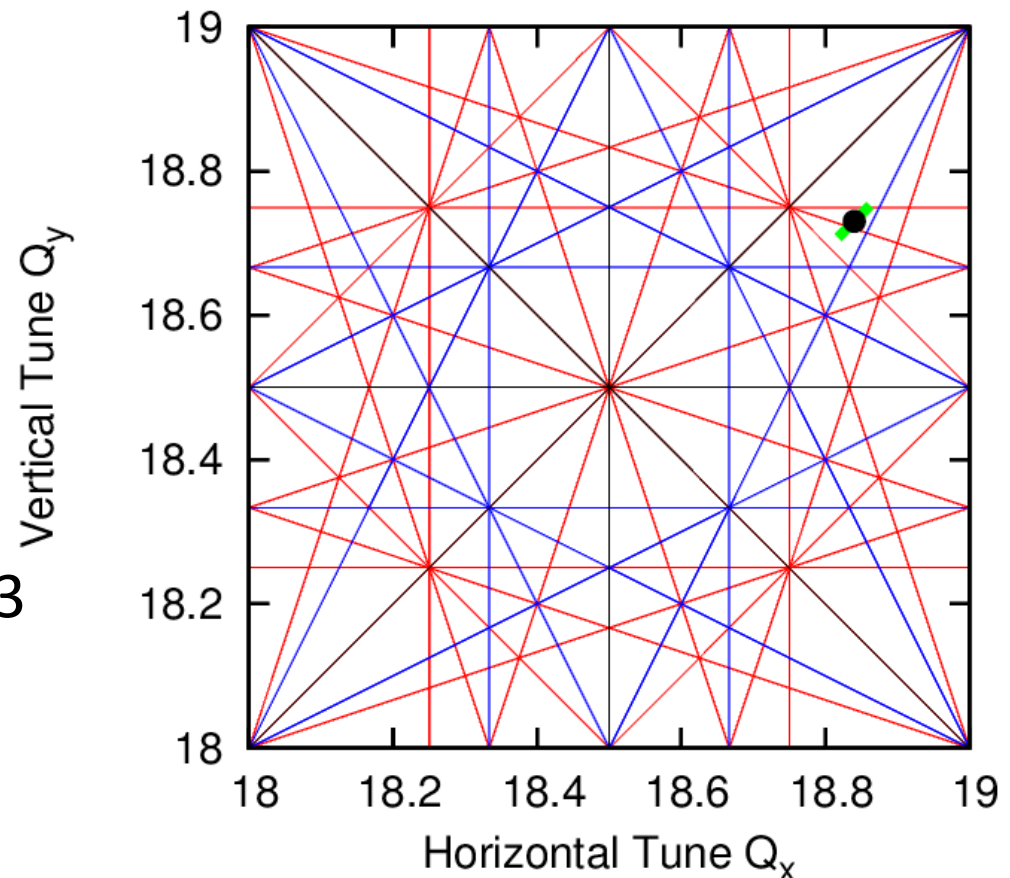
3<sup>rd</sup> order (sextupole)

4<sup>th</sup> order (octupole)

SIS100 (FAIR@GSI Darmstadt)

nominal tune:  $Q_x=18.84$ ,  $Q_y=18.73$

Green area: tune spread due to the chromaticity  $\xi$  (only!)



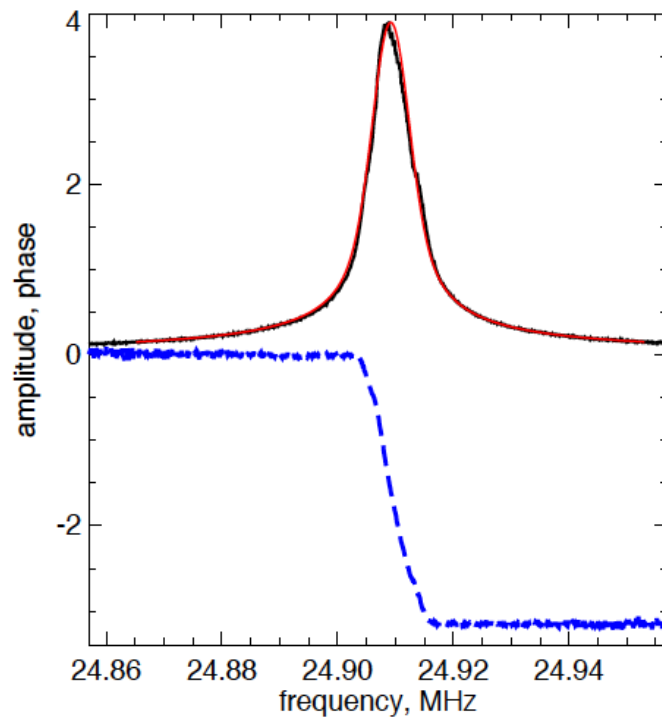
Tune spread provides Landau Damping

# Beam Transfer Function

BTF provides a direct measure of Landau Damping

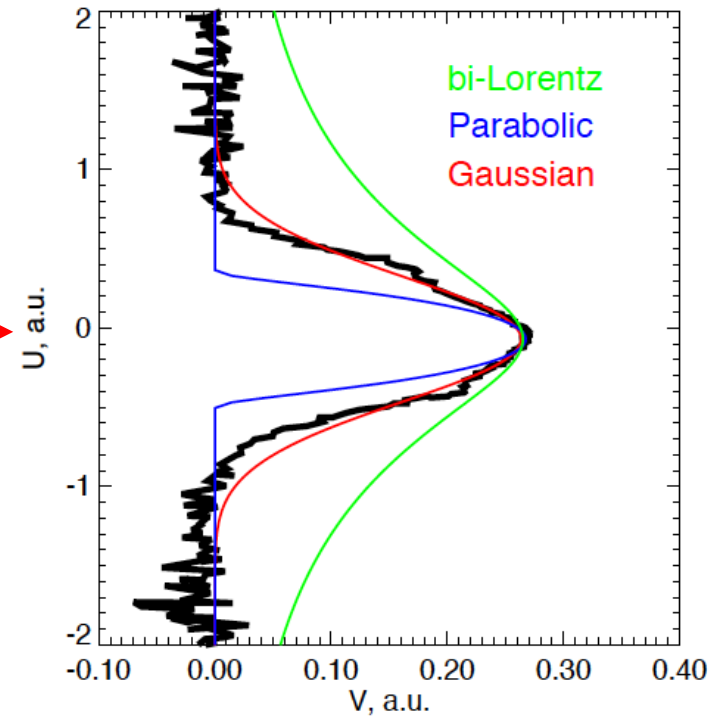
$$\Delta Q_{\text{coh}} R(\Omega) = 1$$

Measured BTF in SIS18



Resulting Stability Diagram

$$\frac{1}{R(\Omega)}$$



V.Kornilov, et al, GSI-Acc-Note-2006-12-001, GSI Darmstadt (2006)

# Longitudinal Stability

Coasting Beam:

Spread in the revolution frequency

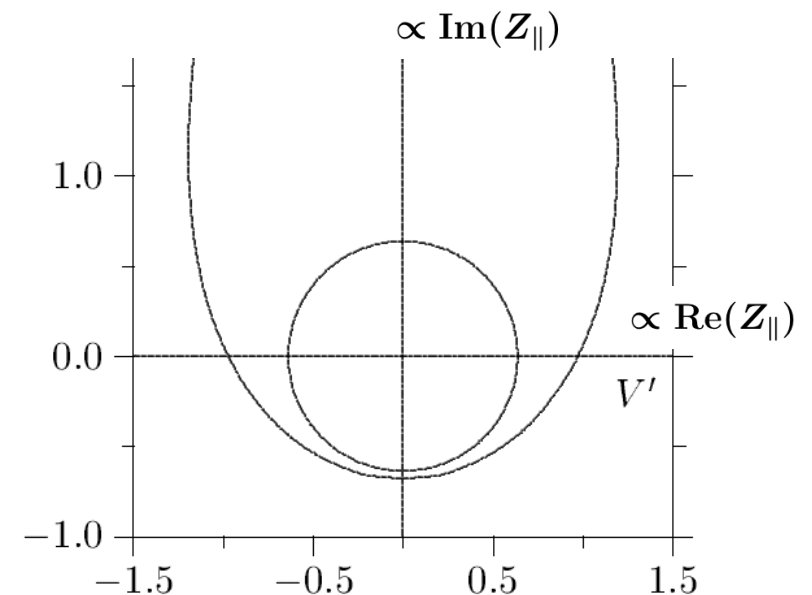
$$\mathcal{A} I_0 \frac{Z_{\parallel}(\Omega_{\parallel})}{n} \int \frac{\partial f(\omega_0)/\partial \omega_0}{\omega_0 - \Omega_{\parallel}/n} d\omega_0 = 1$$

$$\left| \frac{Z}{n} \right| \leq 0.6 \frac{2\pi\beta^2 E_0 \eta (\Delta p/p)^2}{eI_0}$$

Bunched beams:

$$\Delta\omega_s^{\text{coh}} \int \frac{f(\omega_s) d\omega_s}{\Omega_{\parallel} - \omega_s} = 1$$

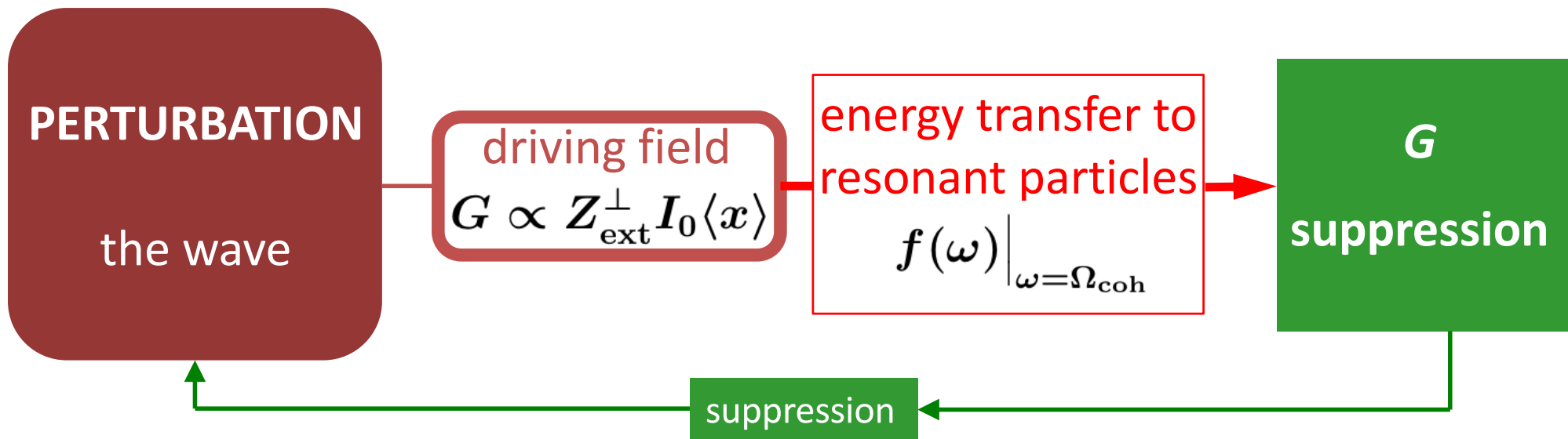
the physics and the formalism are similar



K.Y.Ng, Physics of Intensity Dependent Beam Instabilities, 2006  
A.Hofmann, Proc. CAS 2003, CERN-2006-002  
E.Keil, W.Schnell, CERN ISR-TH-RF/69-48 (1969)

# Landau Damping

Incomplete (!) mechanism of Landau Damping in beams  
for the end of the first part



Main ingredients of Landau damping:

- ✓ wave–particle collisionless interaction: Impedance driving field
- ✓ energy transfer: the wave  $\leftrightarrow$  the (few) resonant particles



# Landau Damping

End of part 1