

PLASMA SOURCES I

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HELMHOLTZ
RESEARCH FOR GRAND CHALLENGES



Many thanks for support and material to...

Simon Hooker (Oxford University)

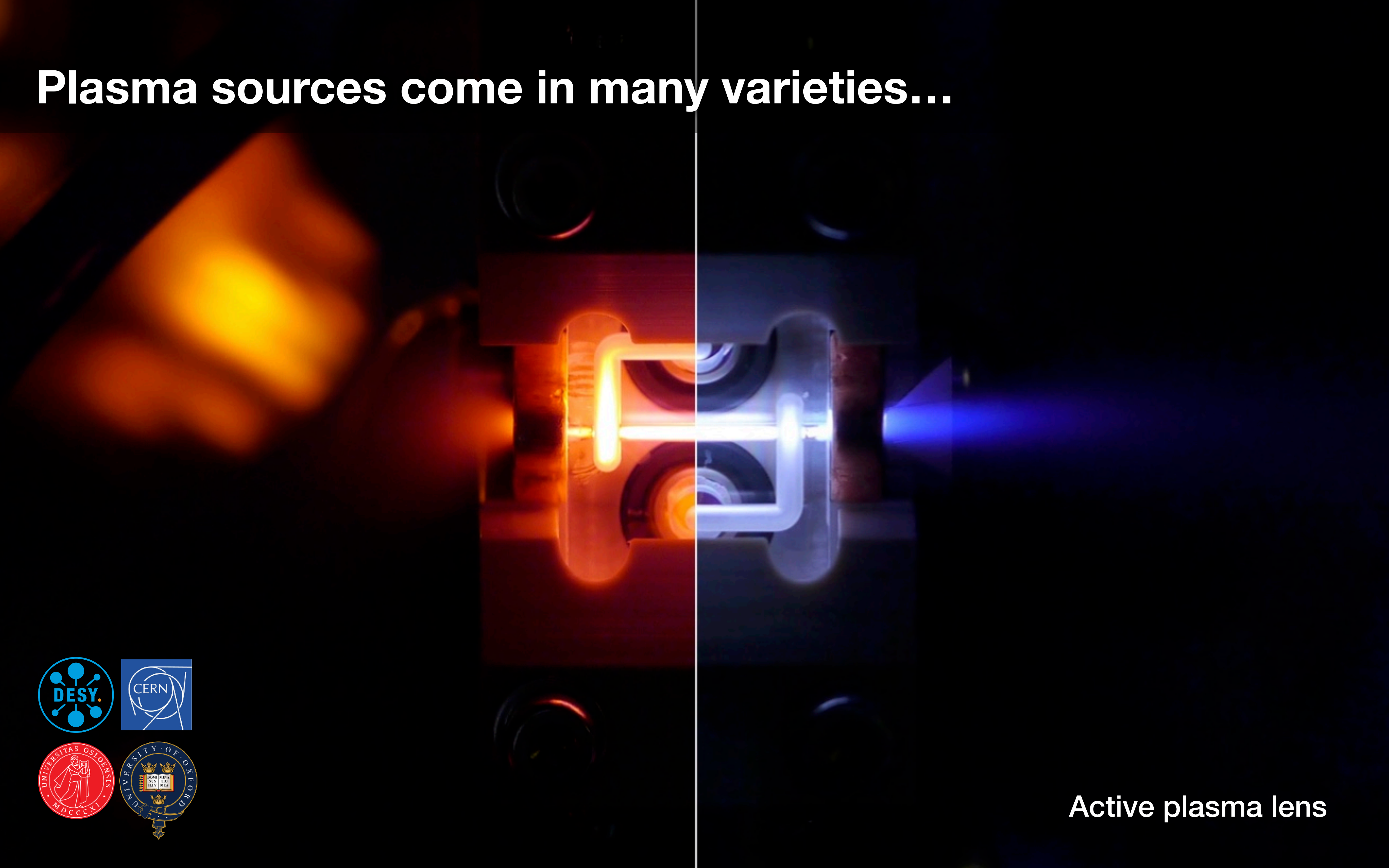
Nelson Lopes (IST Lisbon)

Timon Mehrling (LBNL)

Greg Boyle, Severin Diederichs,
Carl Lindstrøm, Kris Pöder (all DESY)

Disclaimer: presentation is only an
incomplete and subjective snapshot of the field!

Plasma sources come in many varieties...



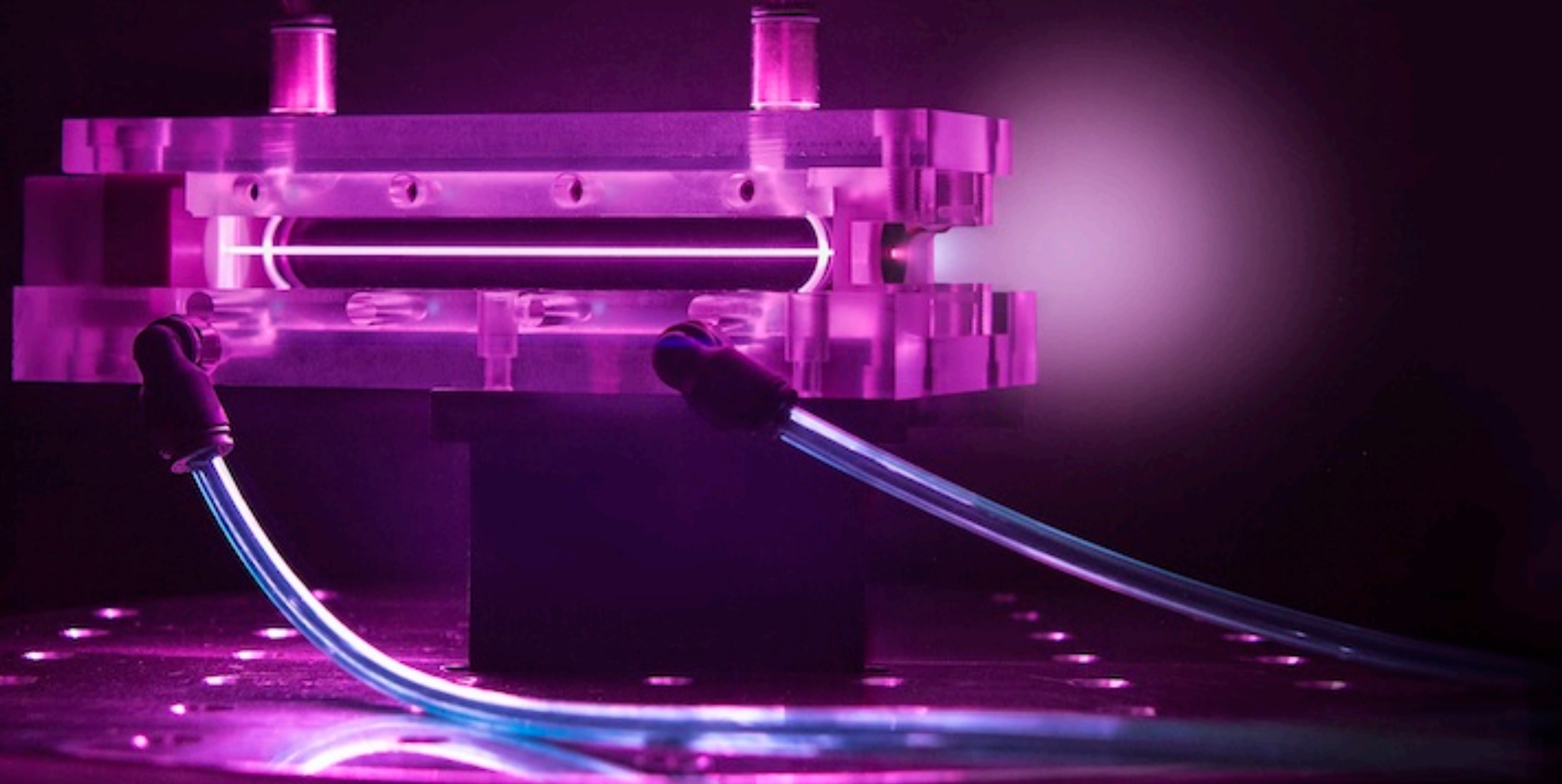
Active plasma lens

Plasma sources come in many varieties...



AWAKE alkali vapor oven

Plasma sources come in many varieties...



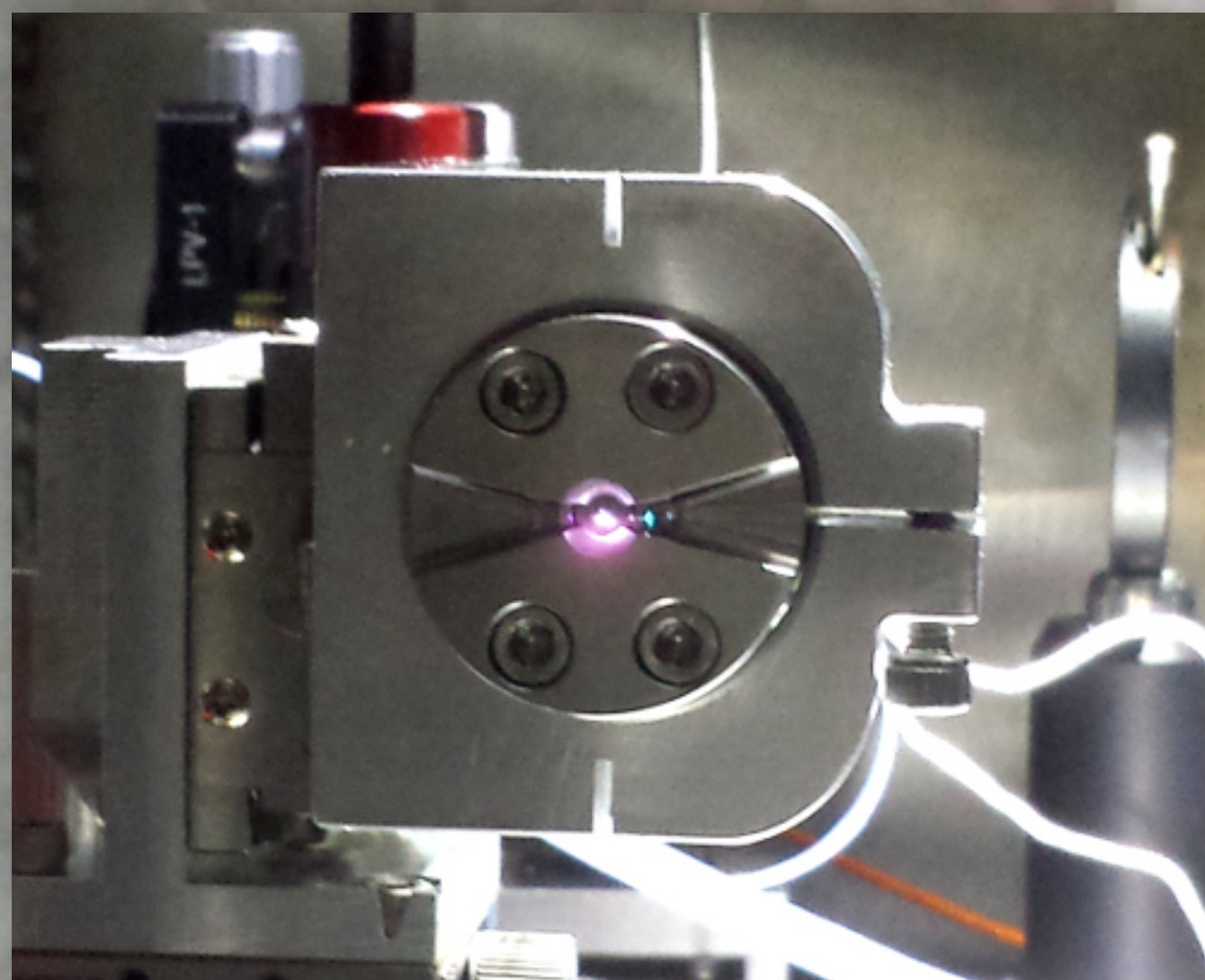
Capillary discharge waveguide

Plasma sources come in many varieties...



Multi-compartment plasma cell

Plasma sources come in many varieties...



Gas jet

Lecture Series on Plasma Sources and Diagnostics

> Plasma Sources I

- Thursday, March 14, 9:00 - 10:00
- **Conceptual aspects**

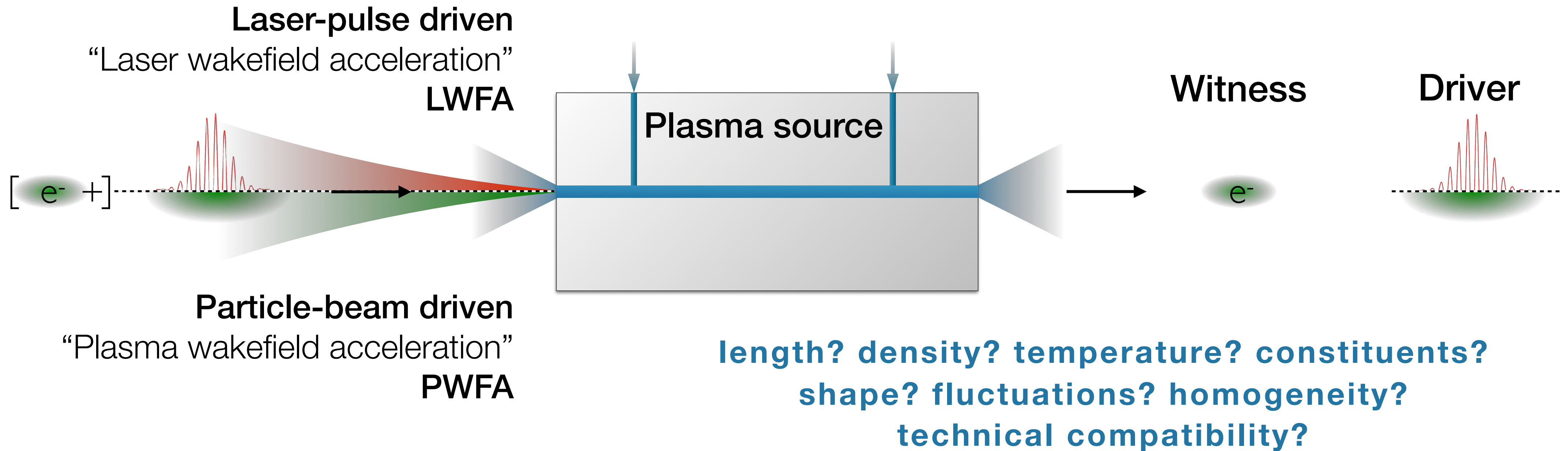
> Plasma Sources II

- Friday, March 15, 9:00 - 10:00
- **Technical aspects**

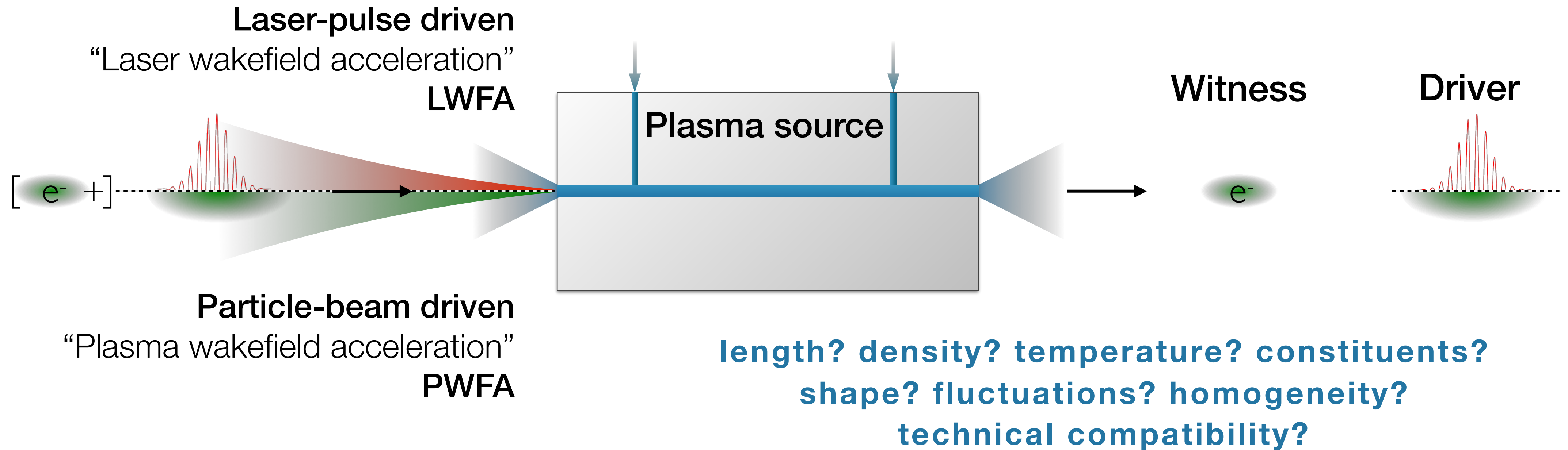
> Plasma Diagnostics

- Tuesday, March 19, 10:00 - 11:00
- **Diagnostics: how to measure plasmas**

Outline - Plasma Sources I - Concepts



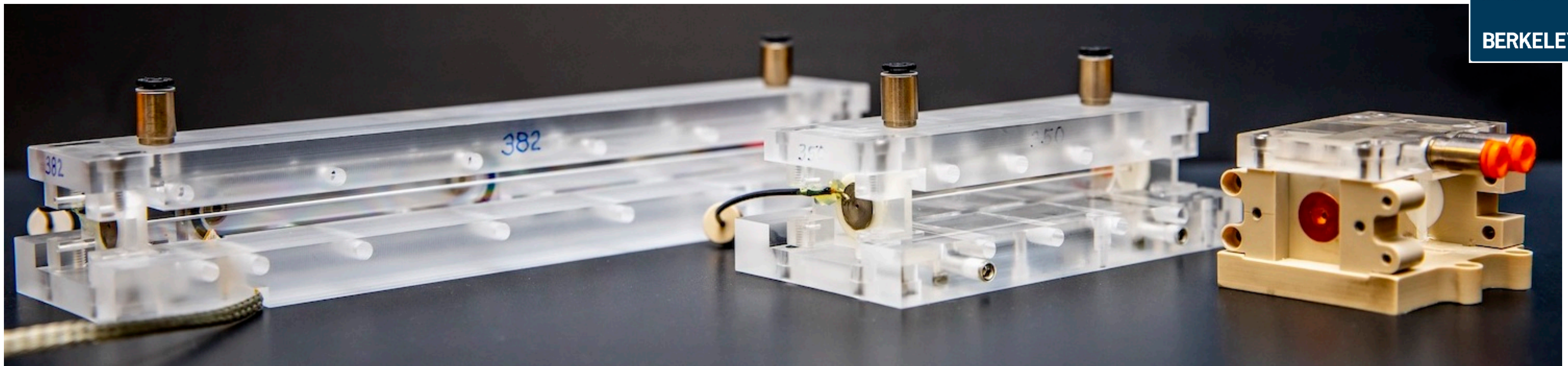
Outline - Plasma Sources I - Concepts



- > Design aspects for a plasma source
- > Plasma generation mechanisms
- > Tailoring plasma properties to control wakefield and plasma processes

Design aspects

- > Adjustable plasma density n_e
- > Tailored density profile $n_e(x,t)$
- > Defined (and adjustable) length L
- > Controlled species composition
- > Controlled plasma temperature profile $T_e(x,t)$
- > Spatial uniformity
- > Temporal stability
- > Durability (# of events)
- > Support traversing of driver and witness
- > Accessible to diagnostics
- > Materials and gas flow compatible with vacuum requirements
- > Cost

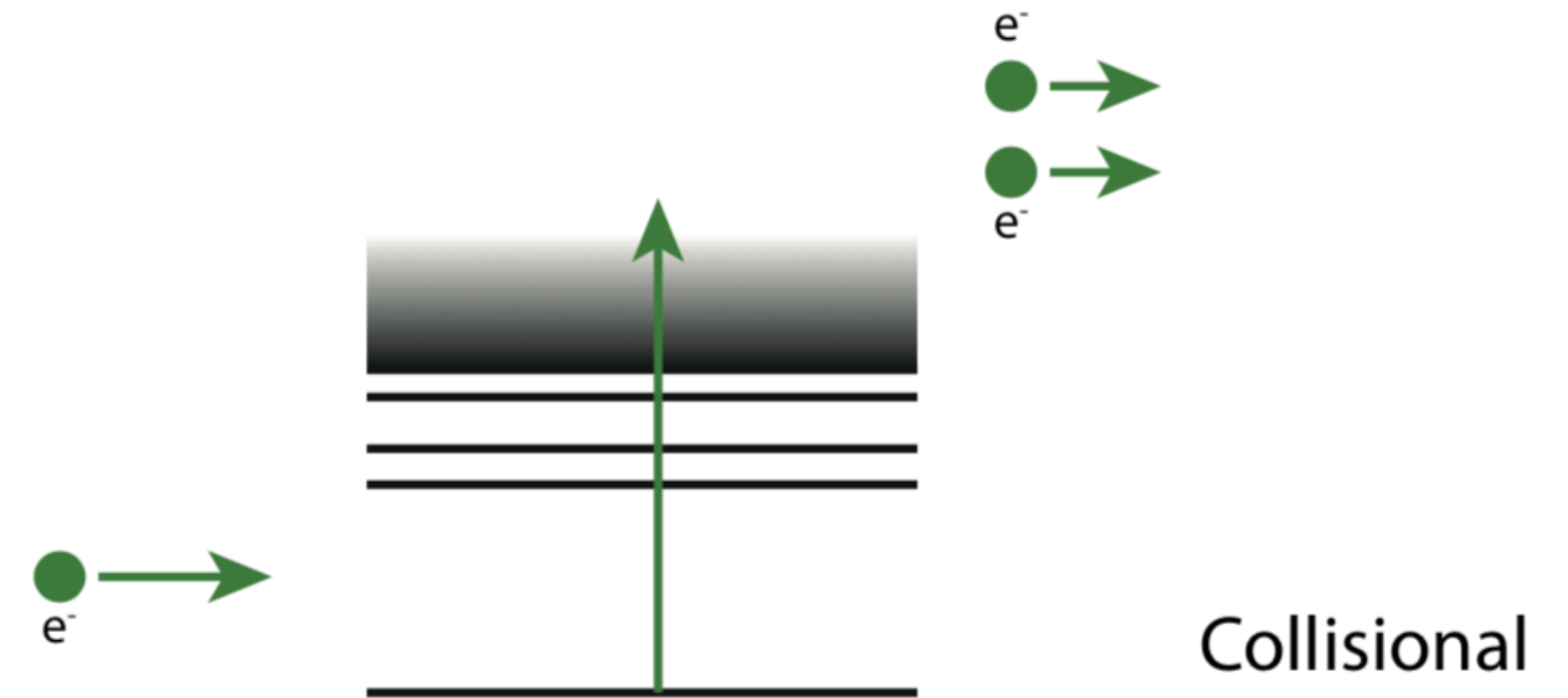


Plasma generation: ionization

How to create a plasma

Plasma can be formed by different mechanisms

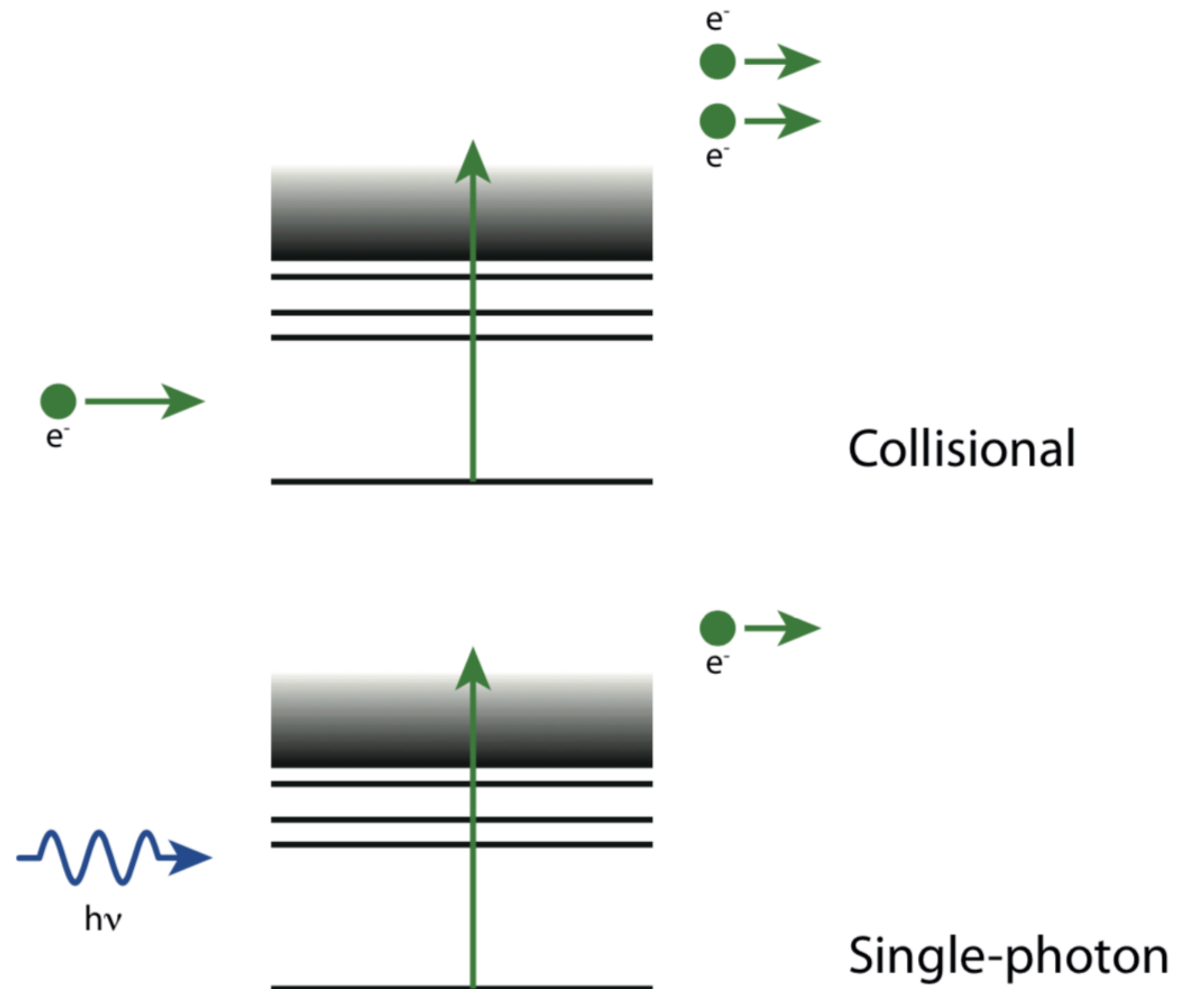
- > Collisional ionization
 - discharges, laser-heated electrons, particle beams



How to create a plasma

Plasma can be formed by different mechanisms

- > Collisional ionization
 - discharges, laser-heated electrons, particle beams
- > Single-photon ionization
 - high-energy photons: $h \nu > I_p$



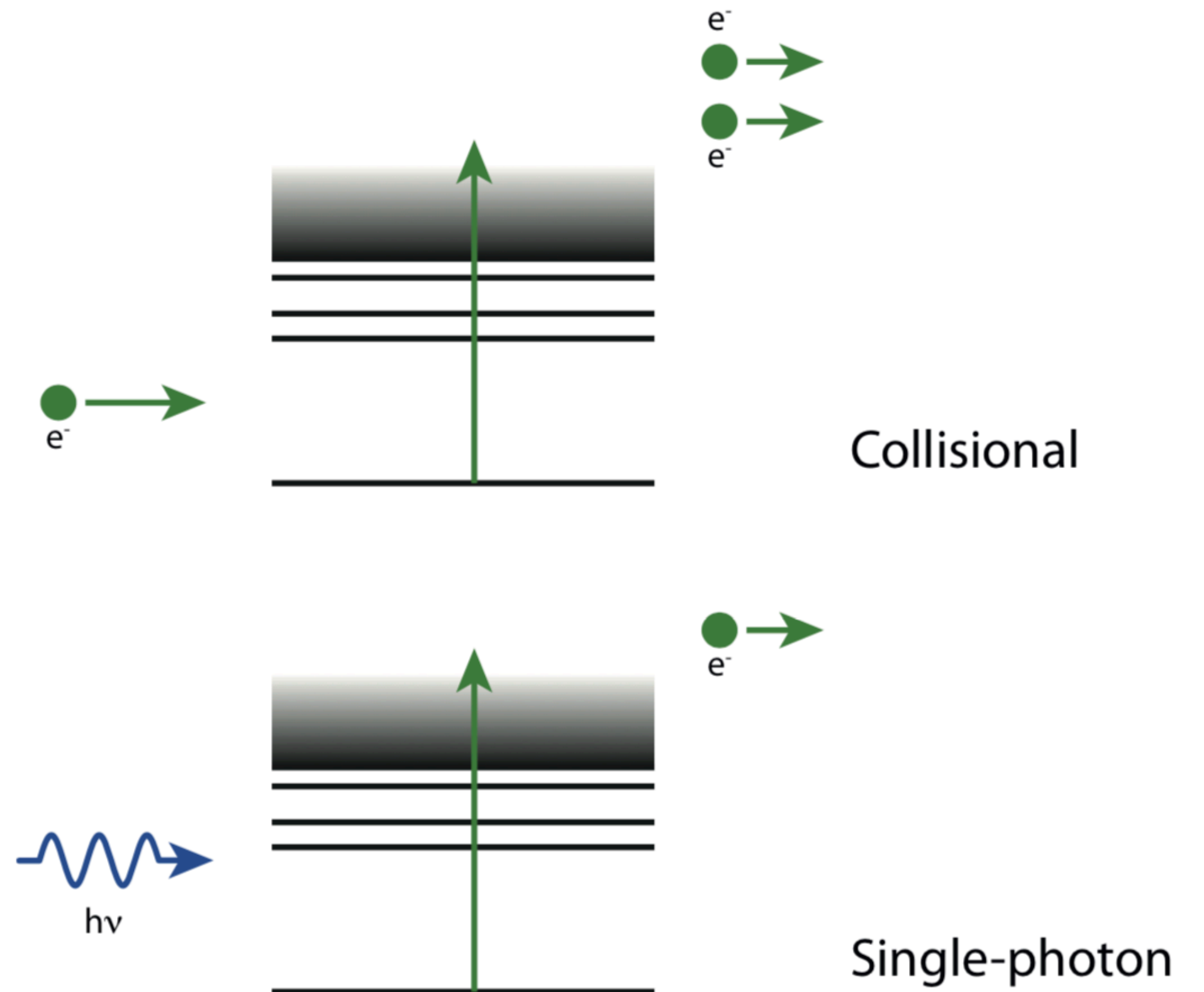
How to create a plasma

Plasma can be formed by different mechanisms

- > Collisional ionization
 - discharges, laser-heated electrons, particle beams
- > Single-photon ionization
 - high-energy photons: $h\nu > I_p$

Keldysh parameter:
$$\gamma_K = \sqrt{\frac{I_P}{2U_P}}$$

- > $\gamma_K > 1 \rightarrow$ Multi-photon ionization
- > $\gamma_K \ll 1 \rightarrow$ Field ionization (tunneling or barrier suppression)



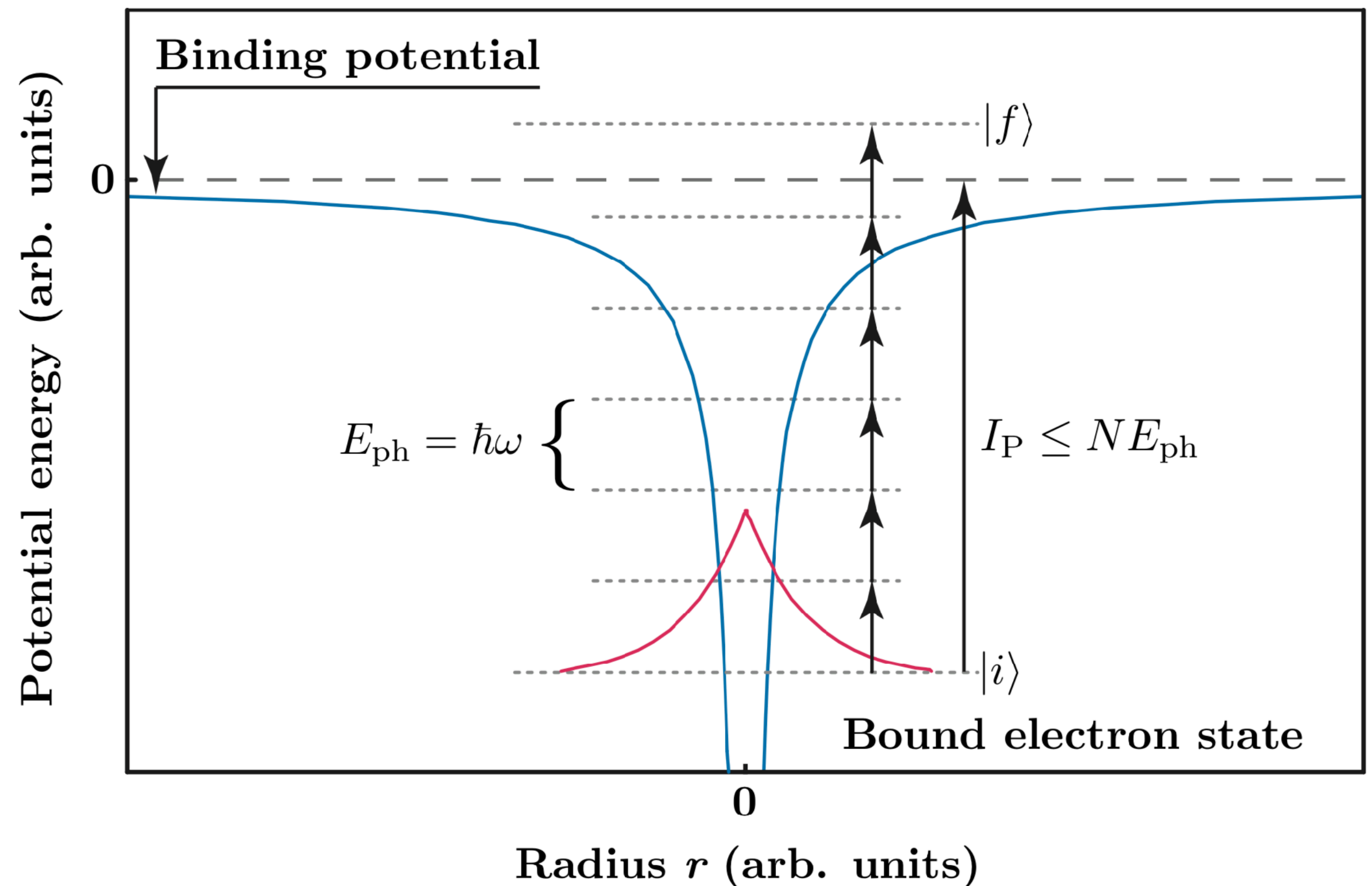
Multi-photon ionization (MPI)

> MPI typically relevant only for moderate laser intensities (or in temporal and spatial wings)

- Example: $\gamma_K > 1$ for $I < 1.1 \times 10^{14} \text{ W cm}^{-2}$ and $\lambda = 800 \text{ nm}$

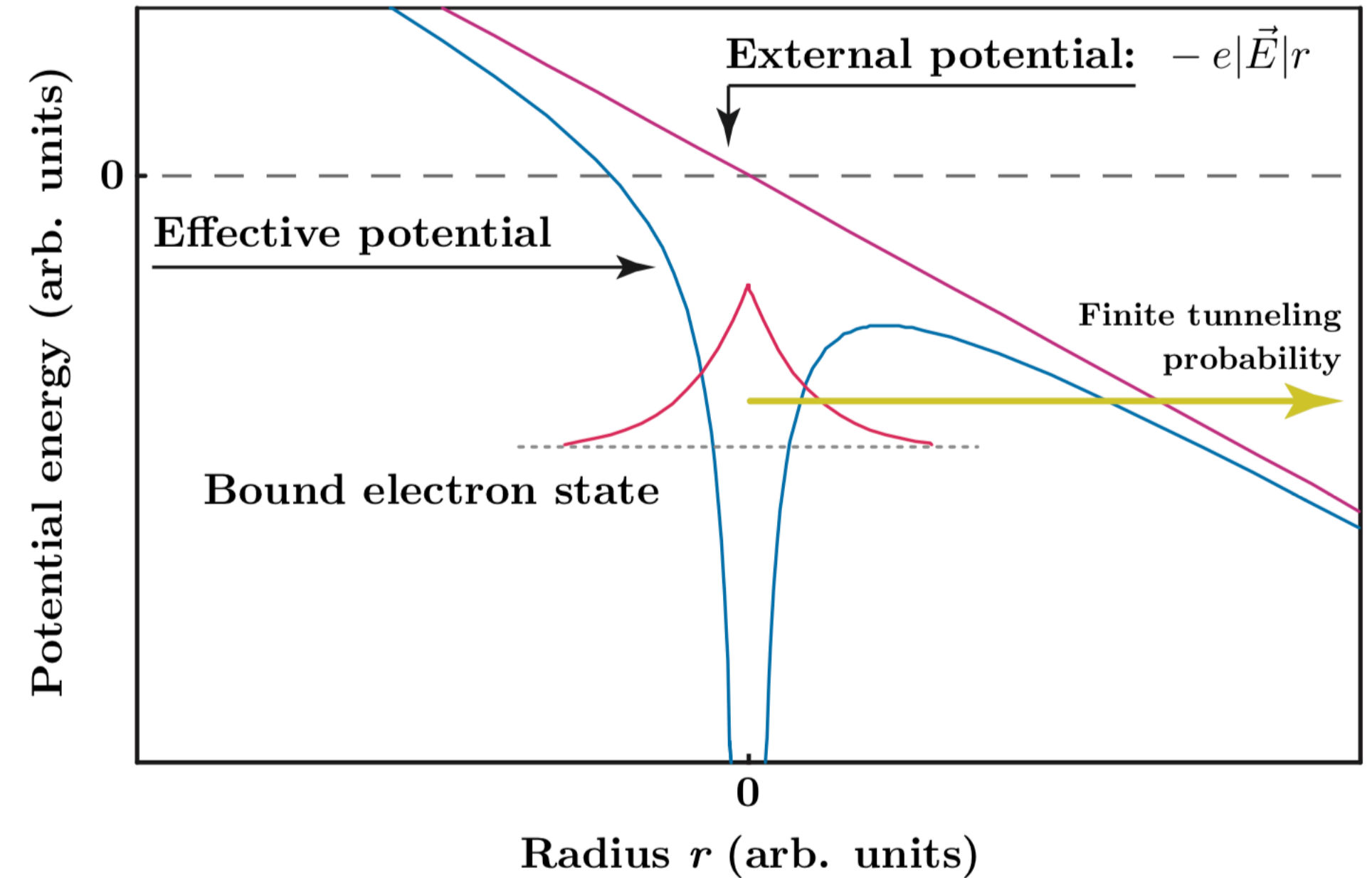
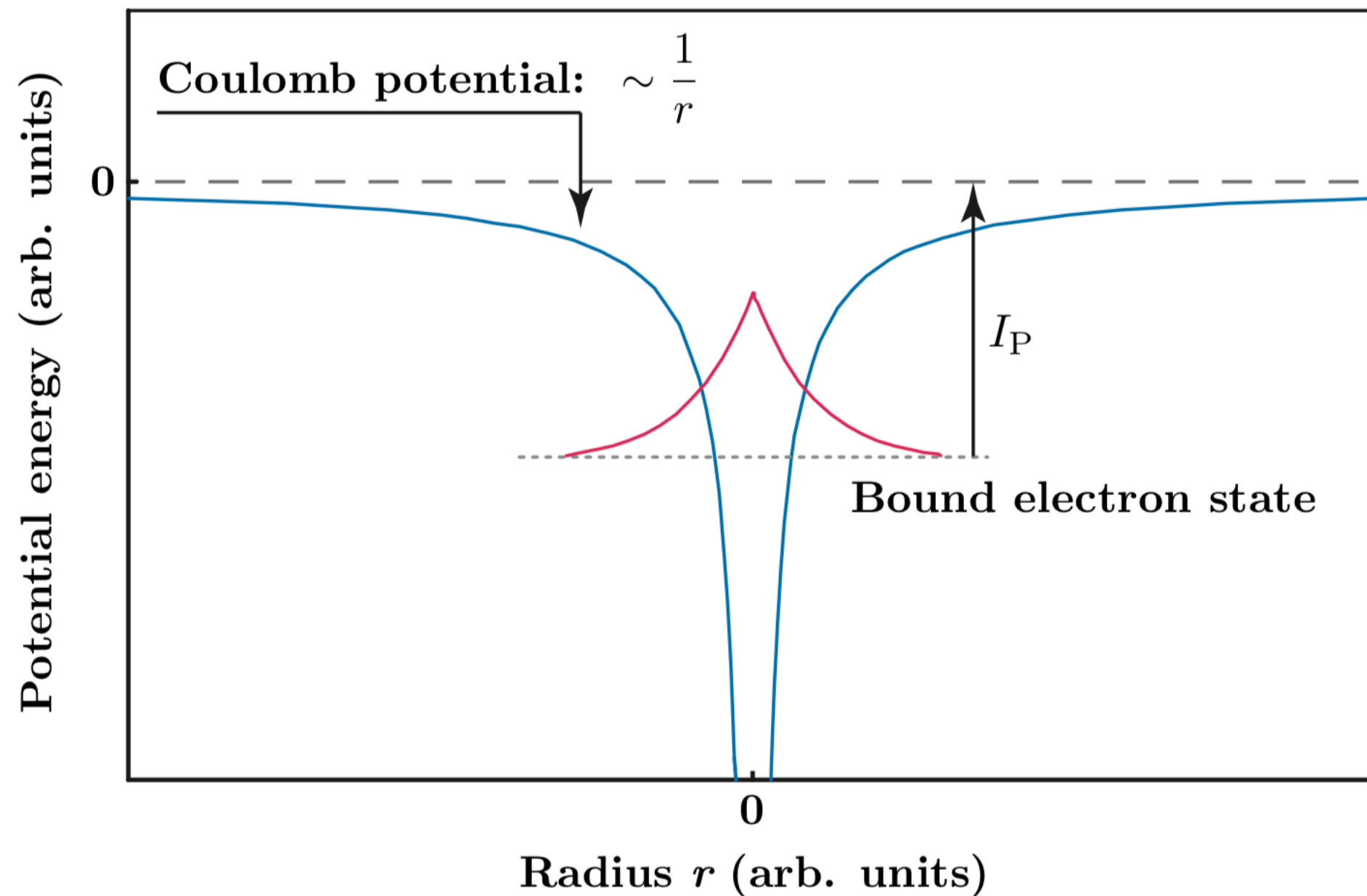
> Ionization rate $\Gamma_{i \rightarrow f} = \sigma_{i \rightarrow f}^{(N)} I^N$

> Cross-section from Fermi's Golden Rule



Tunneling ionization

M. V. Ammosov *et al.* *Sov. Phys. JETP* **64** 1191 (1986)
 P. B. Corkum *Phys. Rev. Lett.* **71** 1994 (1993)



- External E-field comparable to binding field
- External field distorts atomic potential
- Valence electron can tunnel through barrier
- Accurate solution can be found by solving the Schrödinger equation → complex
- Described by simplified models, popular: ADK (Ammosov, Delone, and Krainov)

➤ ADK ionization rate

$$W[\text{fs}^{-1}] = 1.52 \times \frac{4^{n^*}}{n^* \Gamma(2n^*)} \left(20.5 \frac{E_I}{E} \right) \exp \left(-6.83 \frac{E_I^{3/2}}{E} \right)$$

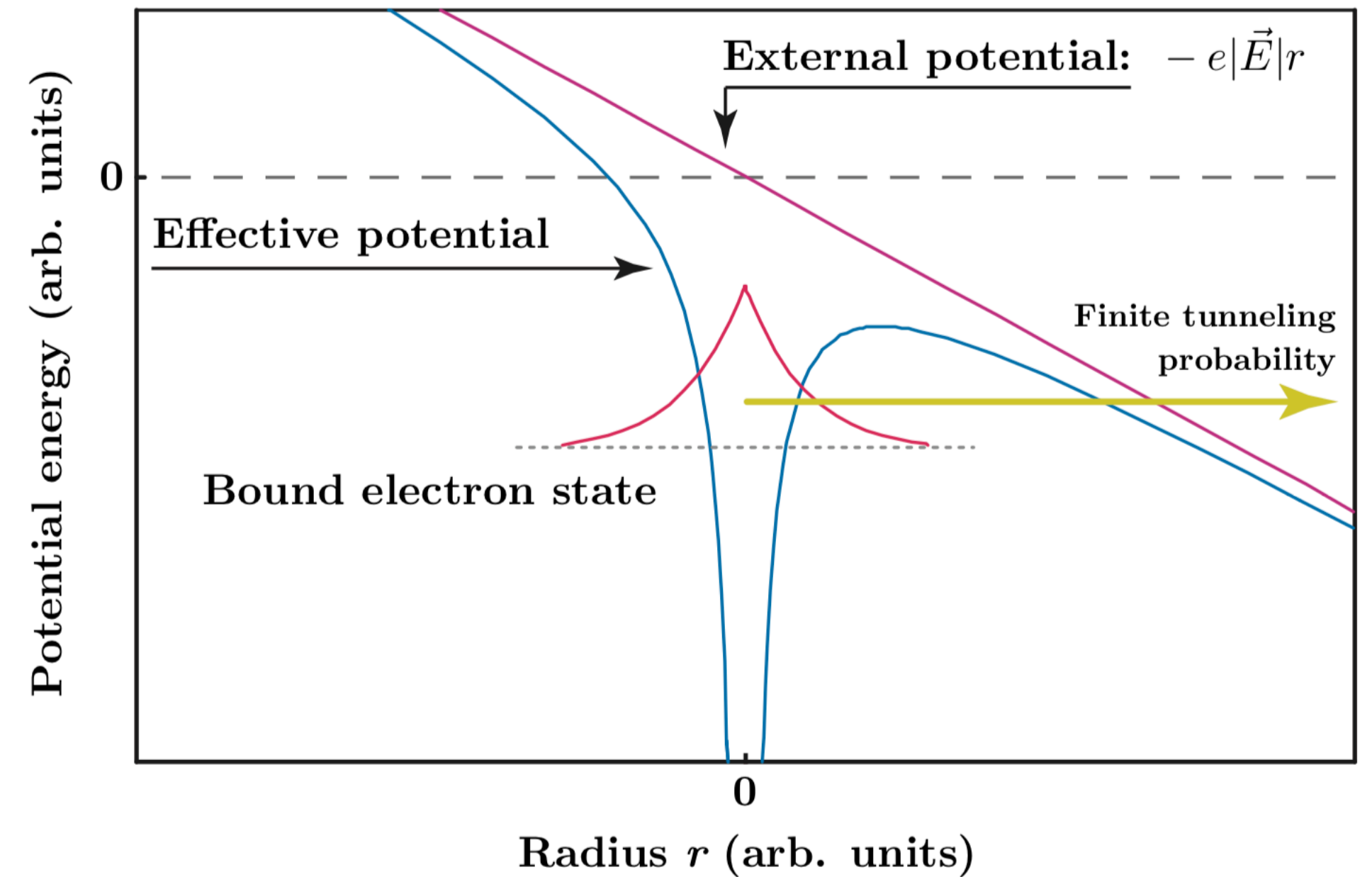
E_I = Ionization potential (eV)

E = Electric field (GV / m)

$n^* = 3.68Z/\sqrt{E_I}$ Effective quantum number

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> ADK ionization model only valid below

$$E_{crit} = \frac{1}{16Z} \left(\frac{U_{ion}}{U_H} \right)^2 E_\alpha$$

$$E_\alpha = m_e c \alpha^4 / r_e e \approx 5.14 \text{ GV/cm}$$

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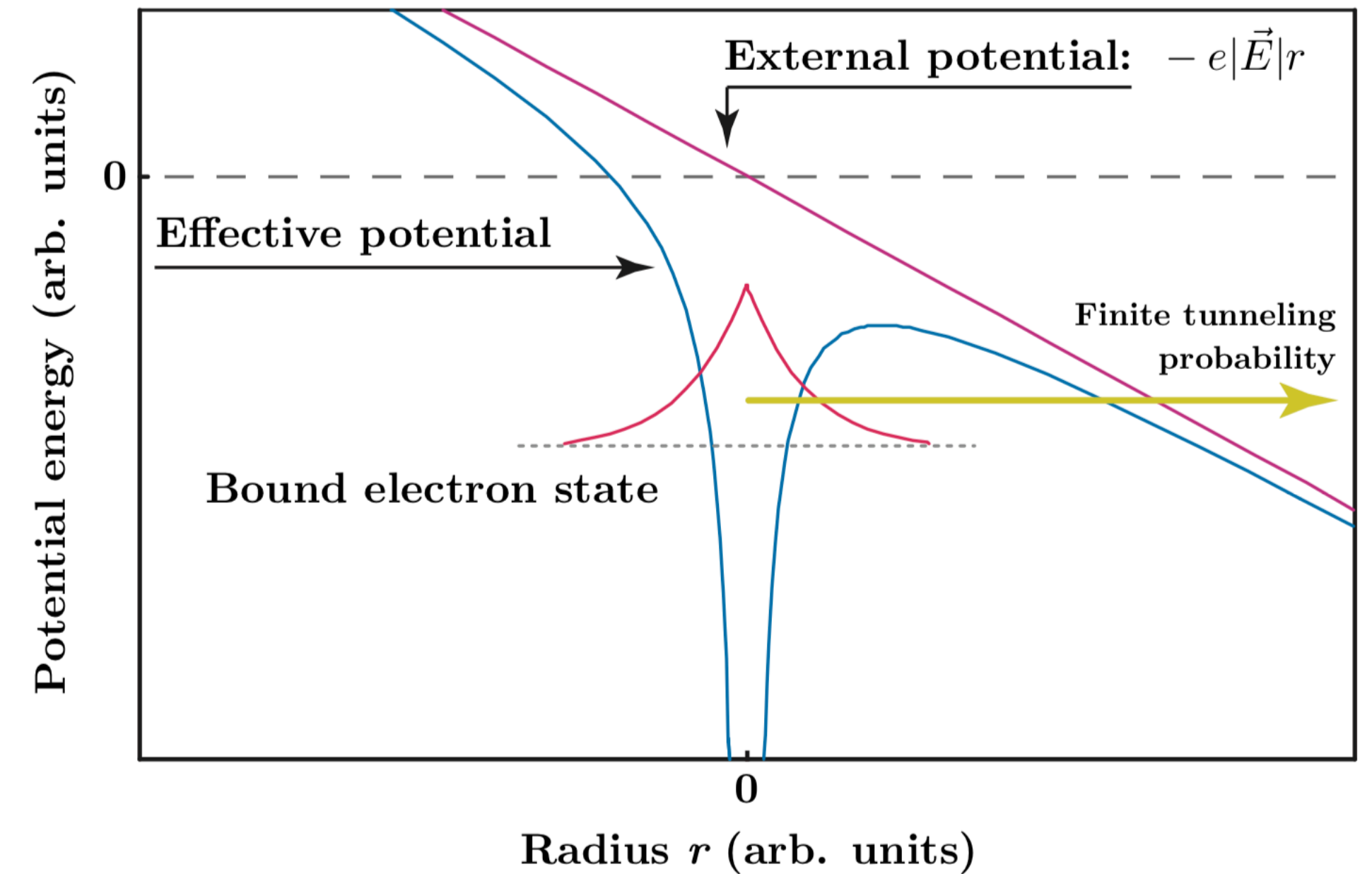
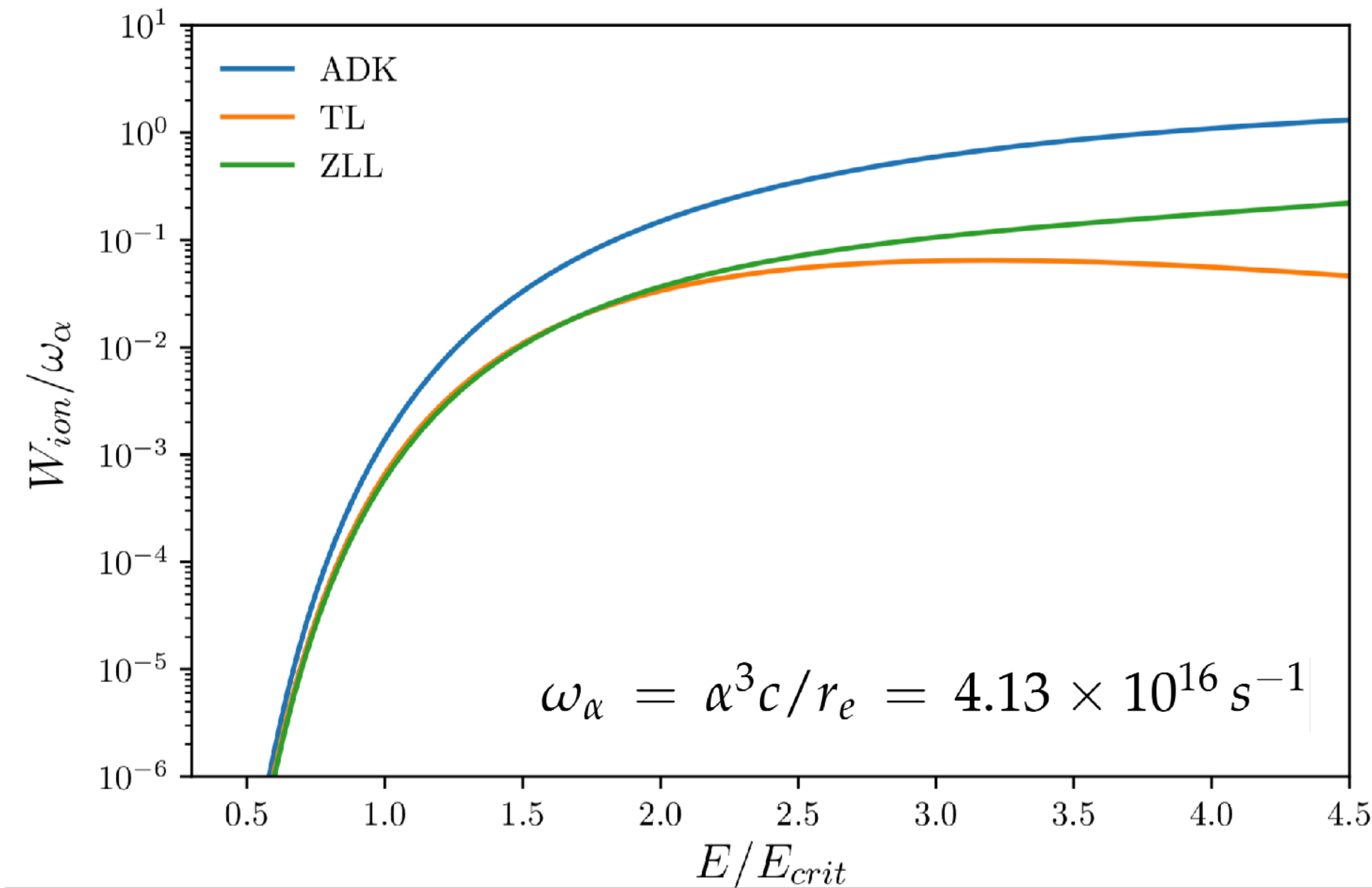
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> (Special case) model extensions exist. Care needed!

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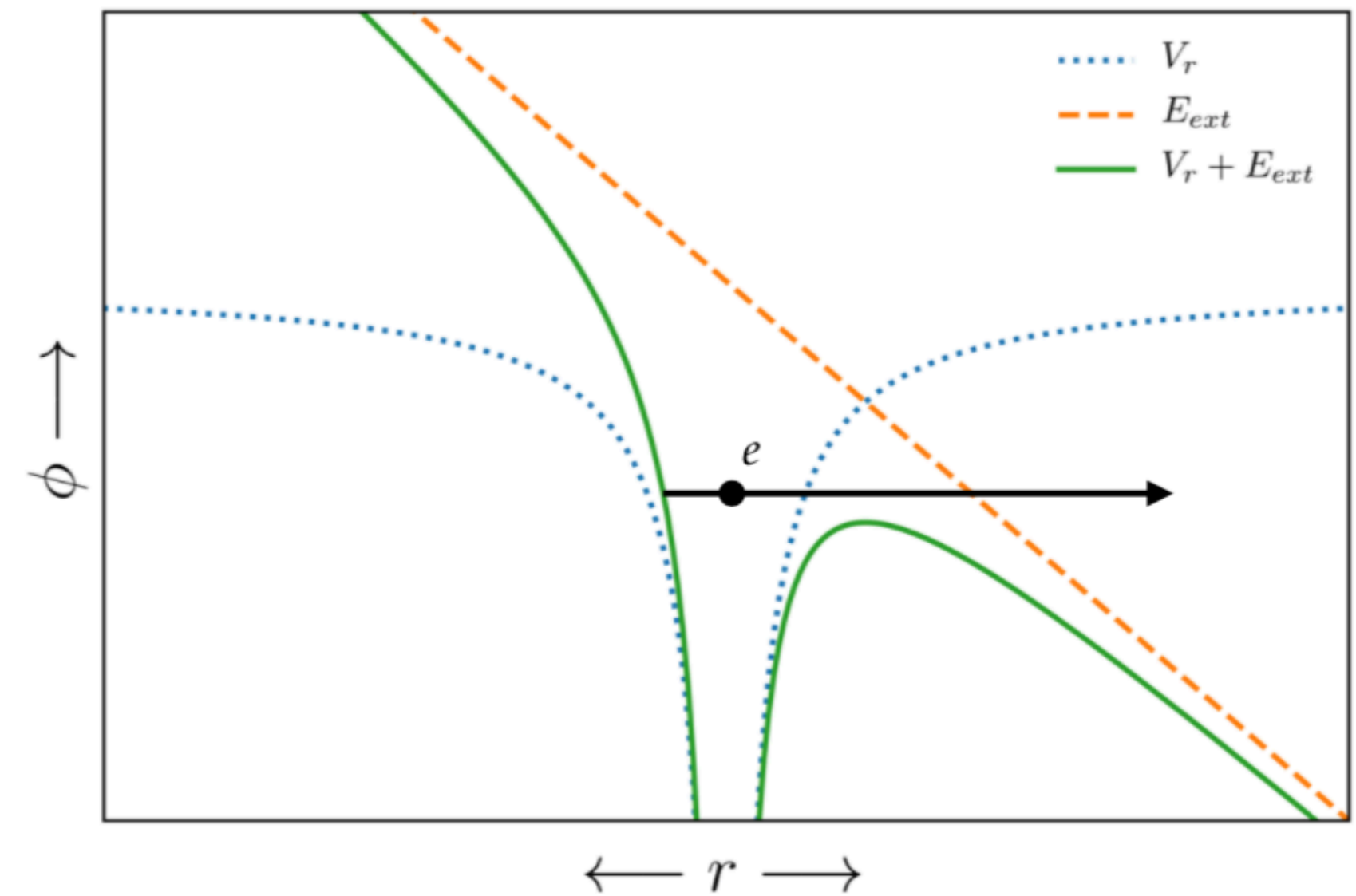
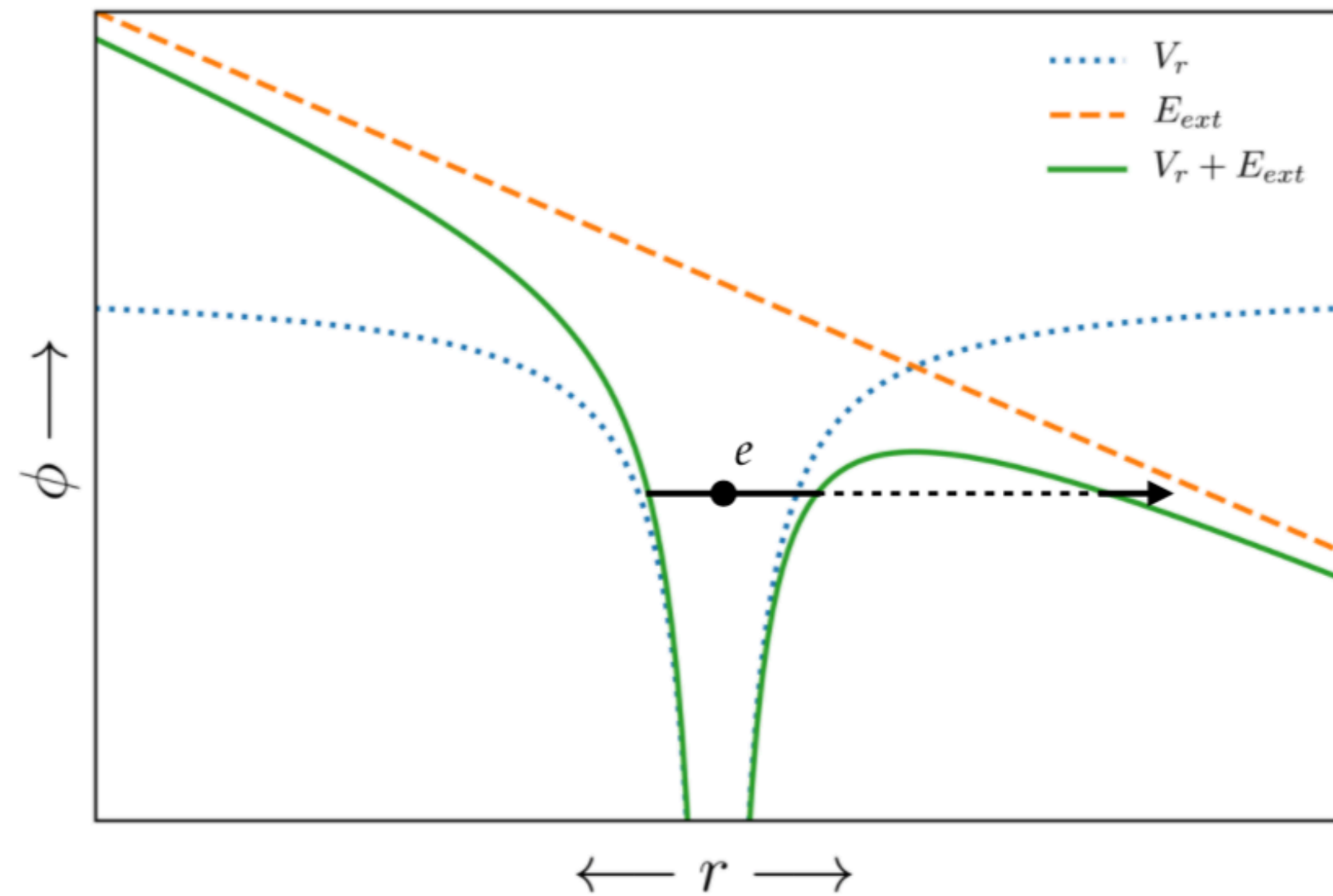
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Barrier suppression ionization



(a) Noble gas ions

(b) Miscellaneous ions

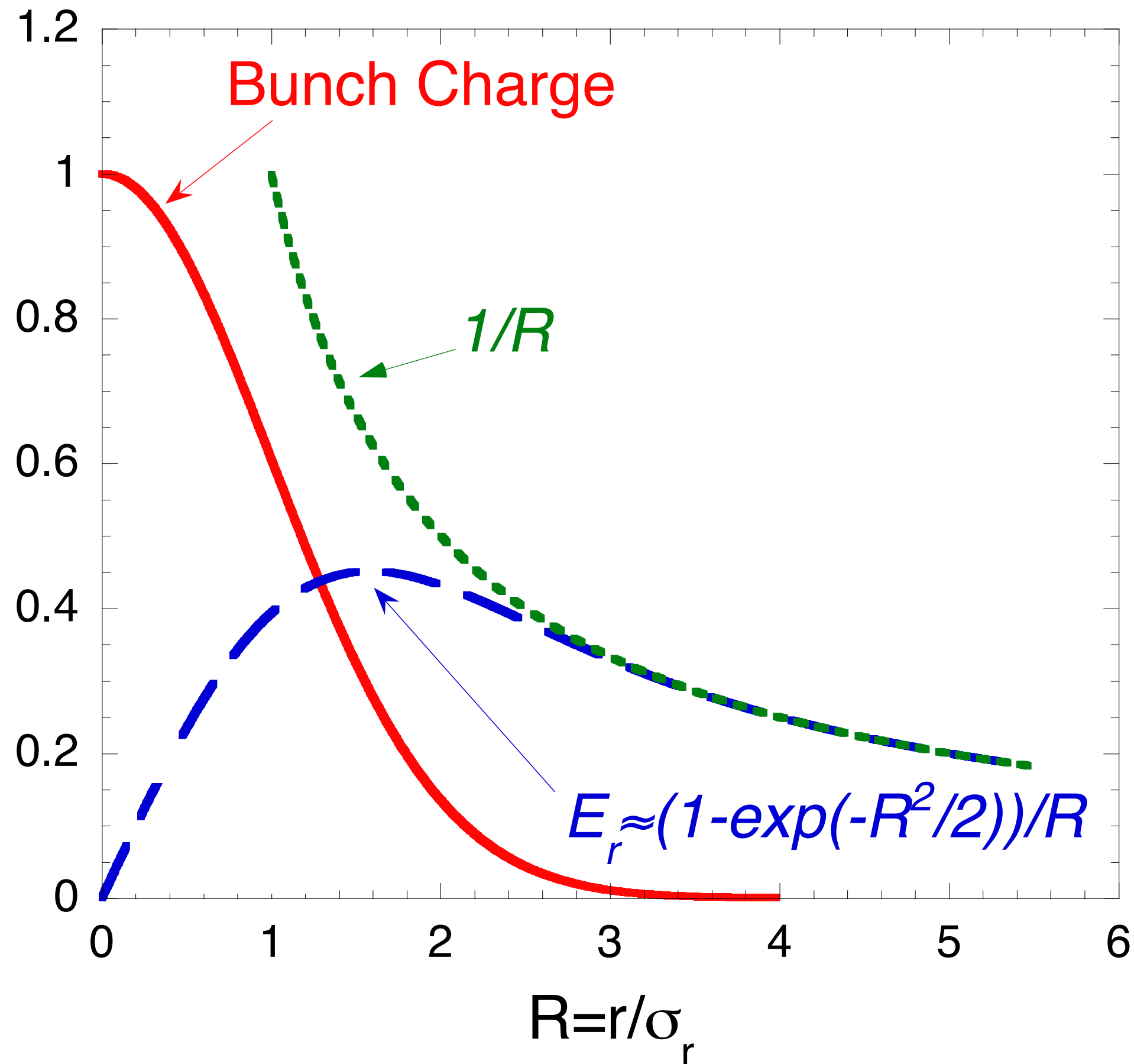
Ion	I_P (eV)	I_{BSI} (W cm^{-2})	Ion	I_P (eV)	I_{BSI} (W cm^{-2})
He ⁺	24.59	$1.4 \cdot 10^{15}$	H ⁺	13.61	$1.4 \cdot 10^{14}$
He ²⁺	54.42	$8.8 \cdot 10^{15}$	C ⁺	11.2	$6.4 \cdot 10^{13}$
Ne ⁺	21.6	$8.6 \cdot 10^{14}$	C ⁴⁺	64.5	$4.3 \cdot 10^{15}$
Ne ²⁺	40.96	$2.8 \cdot 10^{15}$	N ⁵⁺	97.9	$1.5 \cdot 10^{16}$
Ne ⁷⁺	207.3	$1.5 \cdot 10^{17}$	O ⁶⁺	138.1	$4.0 \cdot 10^{16}$
Ar ⁸⁺	143.5	$2.6 \cdot 10^{16}$			
Xe ⁺	12.13	$8.6 \cdot 10^{13}$			
Xe ⁸⁺	105.9	$7.8 \cdot 10^{15}$			

➤ At high fields the barrier is completely suppressed (Barrier Suppression Ionization)

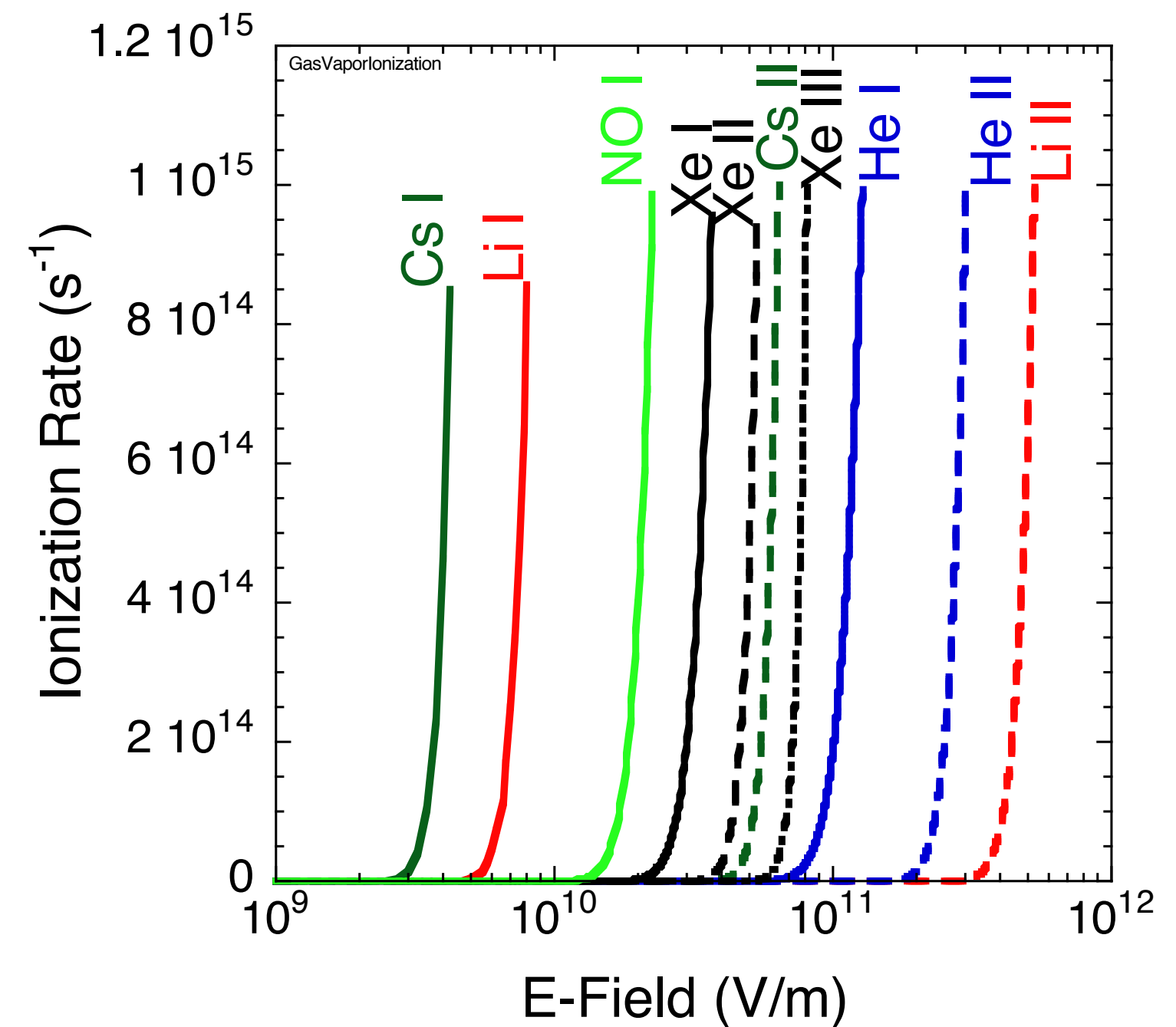
$$I_{BSI} \geq \frac{I_P^4 \pi^2 c \epsilon_0^3}{2Z^2 e^6} \gtrsim 4.00 \cdot 10^9 \frac{I_P^4}{Z^2} \text{ in } \text{W cm}^{-2} \text{ with } [I_P] = \text{eV}$$

Field ionization by particle beams

$$E_{r,\text{peak}}(r \approx 1.6\sigma_r)$$

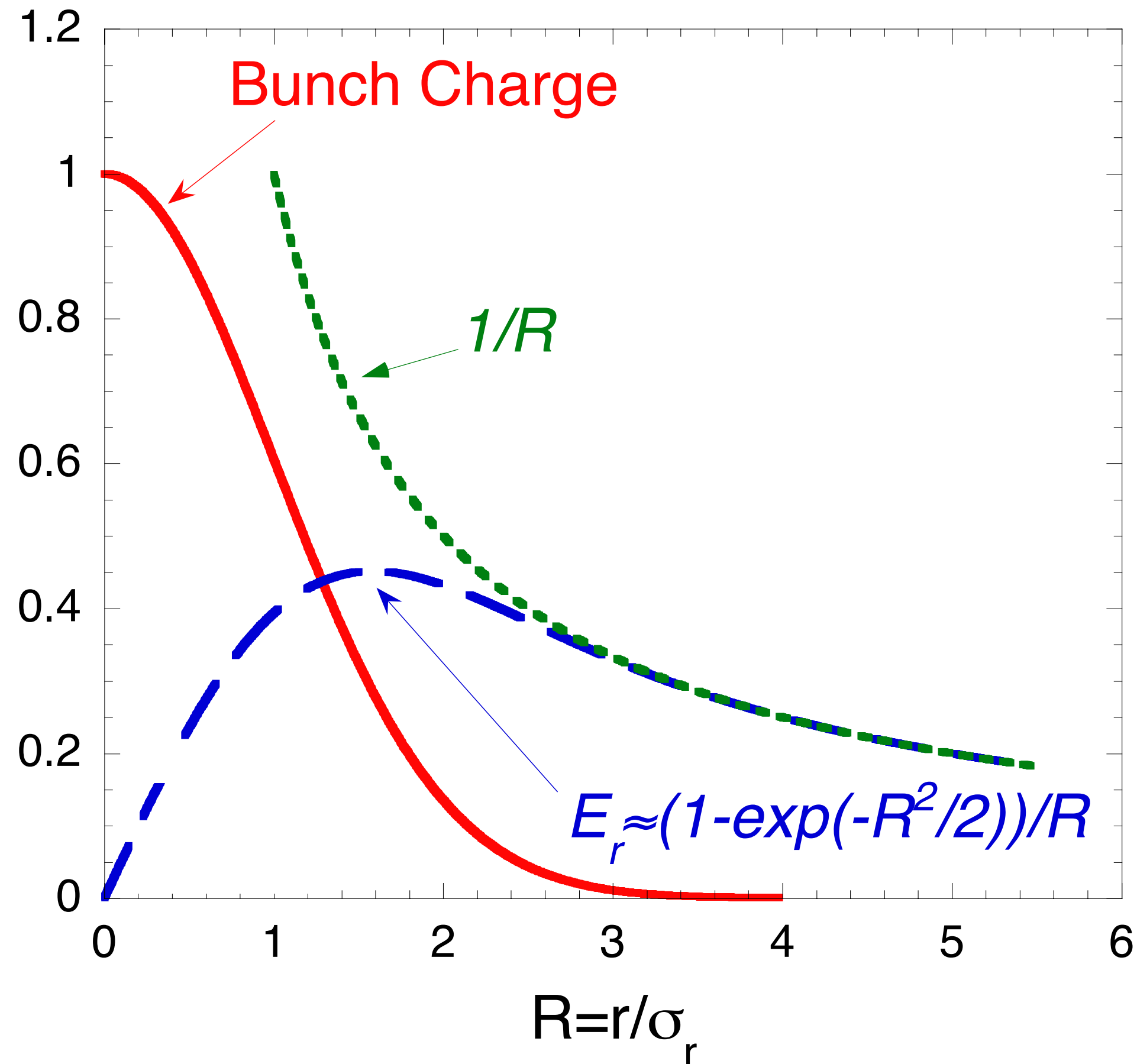


- > Coulomb fields of particle beams can trigger ionization
- > Field maximum is off-axis for symmetric beams

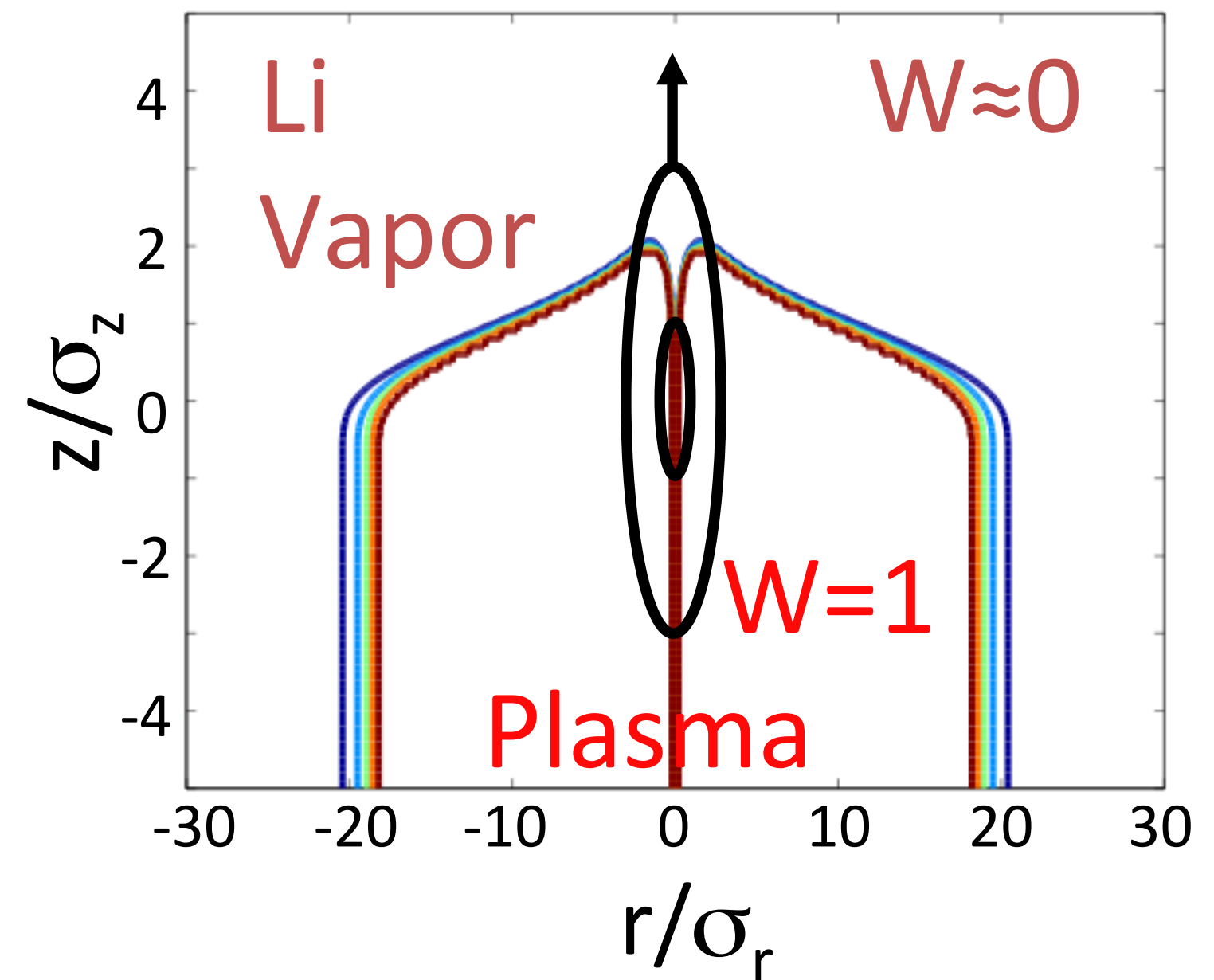


Field ionization by particle beams

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**Tailoring plasma properties
to control wakefield processes**

What do we want to control?

> Plasma density $n_e(x,t)$, $n_i(x,t)$

- injection (n_e density down-ramps)
- dephasing (n_e density up-ramps)
- laser guiding (transverse n_e profiles)
- emittance preservation in beam release (tailored plasma to vacuum transition)
- emittance preservation in beam capturing/matching (tailored vacuum to plasma transition)
- hosing seed mitigation (tailored vacuum to plasma transition)
- head-erosion mitigation (preionized beam-driven)
- decoupled acceleration and focussing fields (hollow-core channels)
- positron acceleration (hollow-core channels)
- chirp mitigation (alternating plasma densities)

> **Control:** set parameters with high precision

> **Stability:** small event fluctuations

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> Plasma temperature $T_e(x,t)$, $T_i(x,t)$

- usually of lesser concern: $T_{e/i} \approx I_p \ll U_p$
- important for non-wakefield applications, e.g. active plasma lenses

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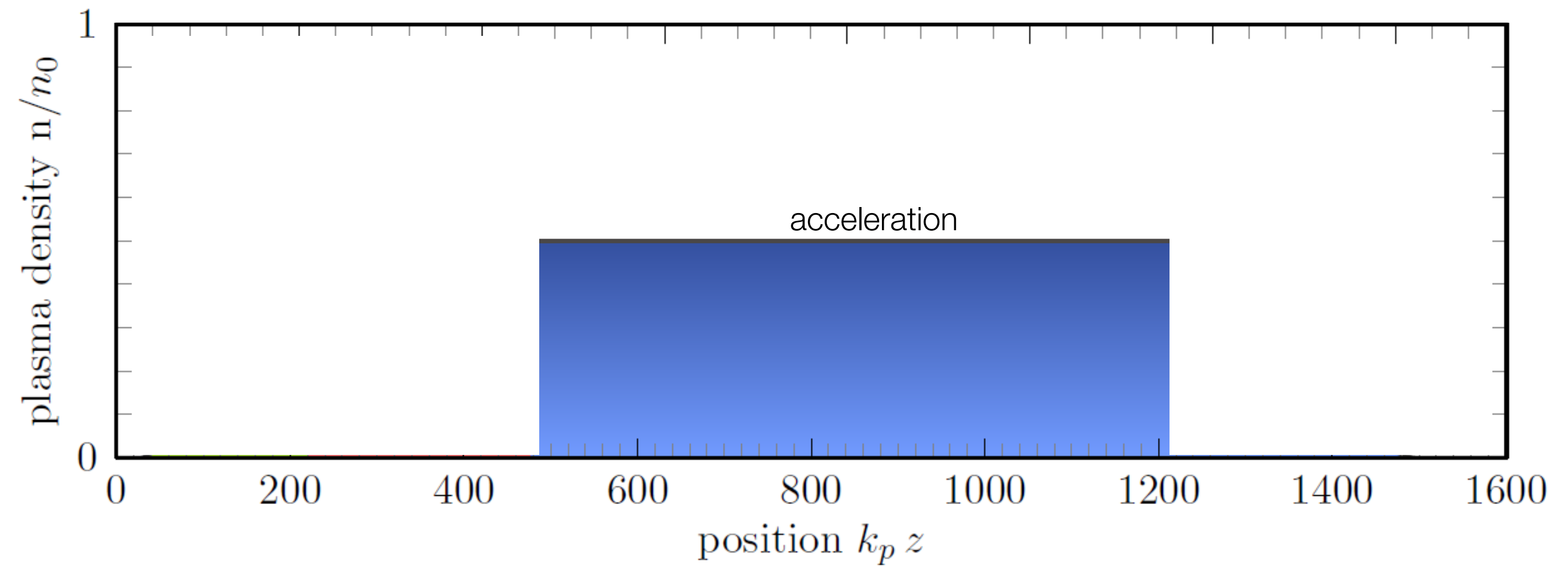
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- important for non-wakefield applications, e.g. active plasma lenses

> Plasma constituents (also from gas mixtures)

- ionized electronic levels → ionization injection, ionization defocussing
- ion mass → ion motion effects, thermal conductivity
- tracer atoms → diagnostics

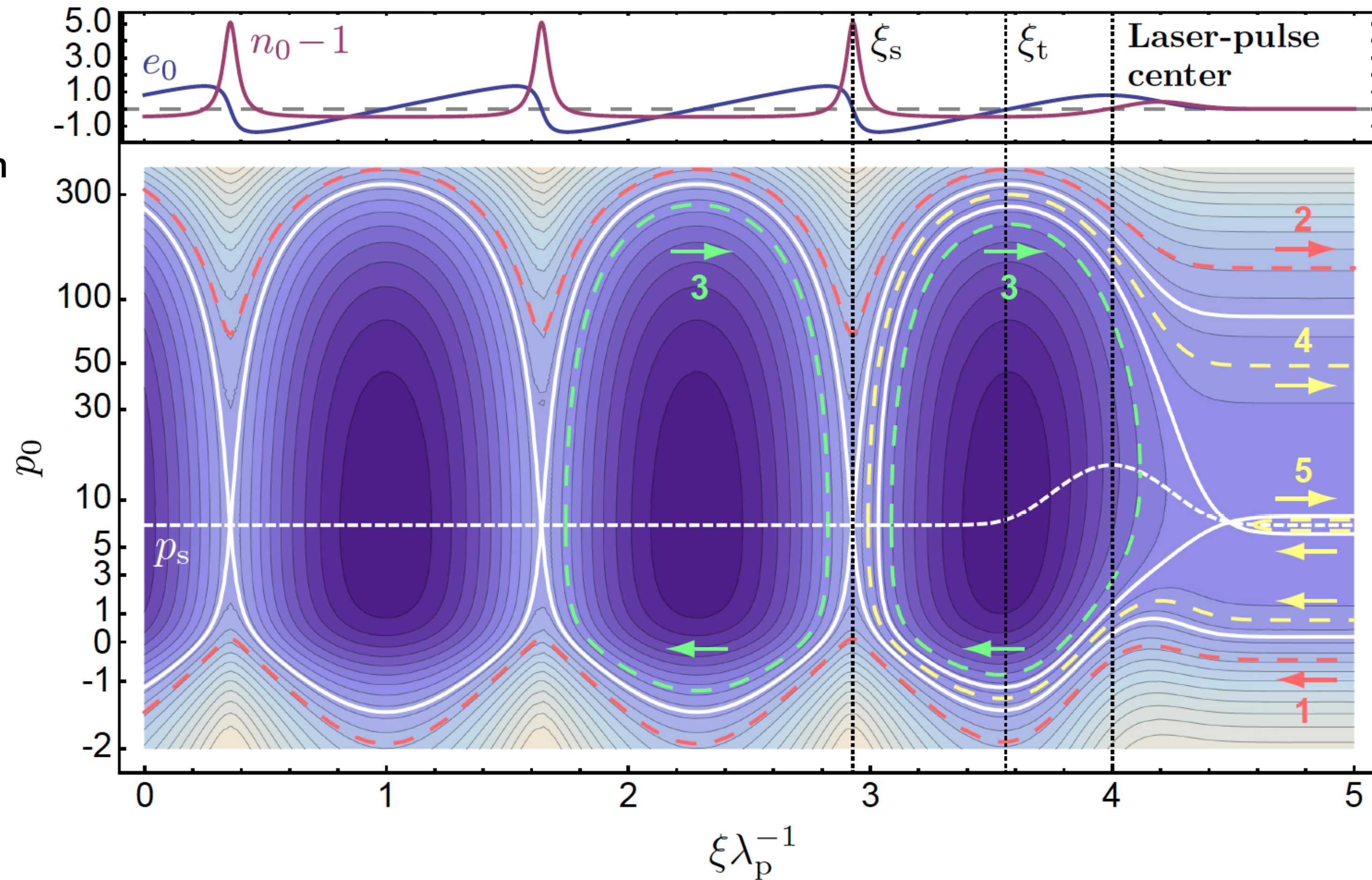
Plasma density control

- > Plasma density $n_e(x,t)$ governs acceleration process
- > Usually: flat acceleration section



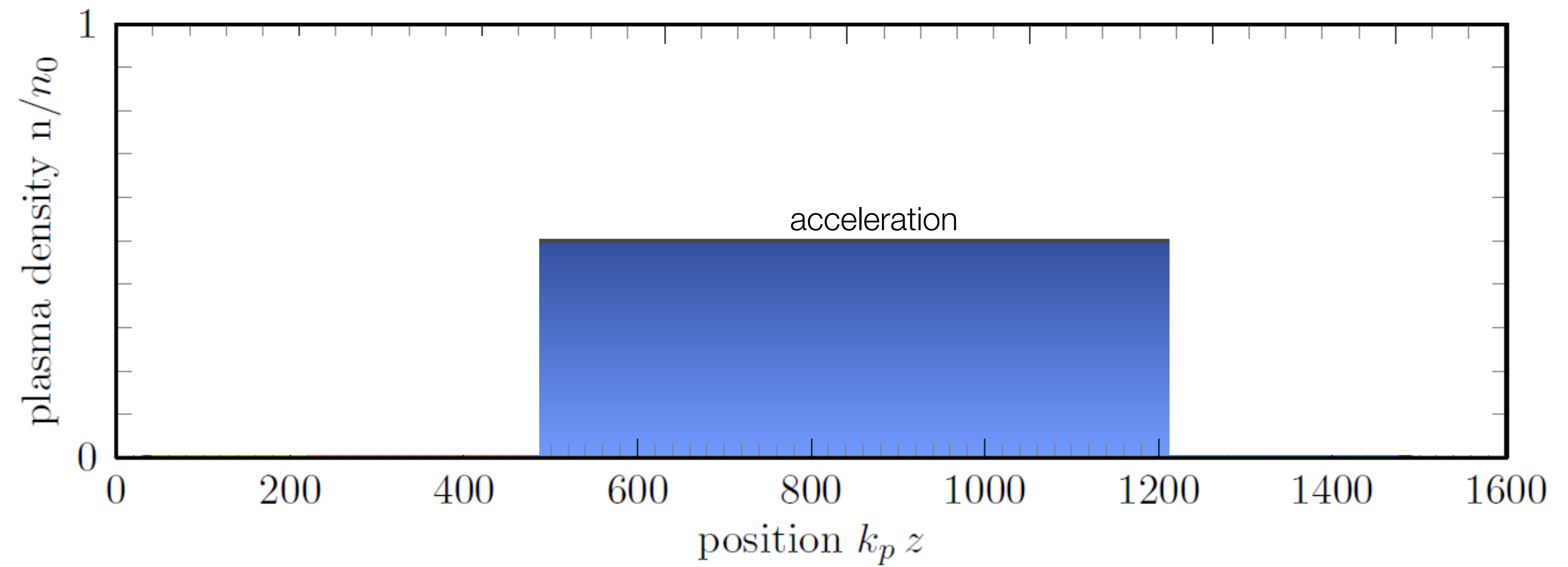
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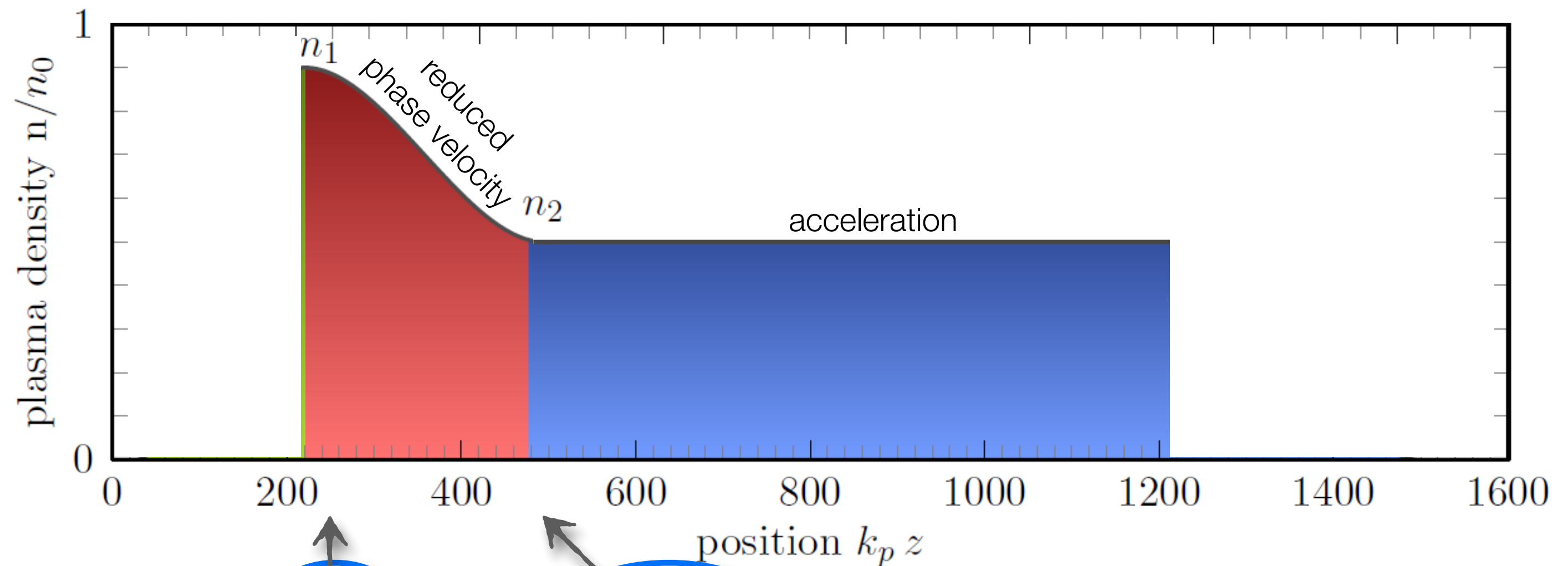
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Plasma density control - down-ramp injection

> Plasma density $n_e(x,t)$ governs acceleration process



> Phase velocity of plasma wake reduced on density down-slope

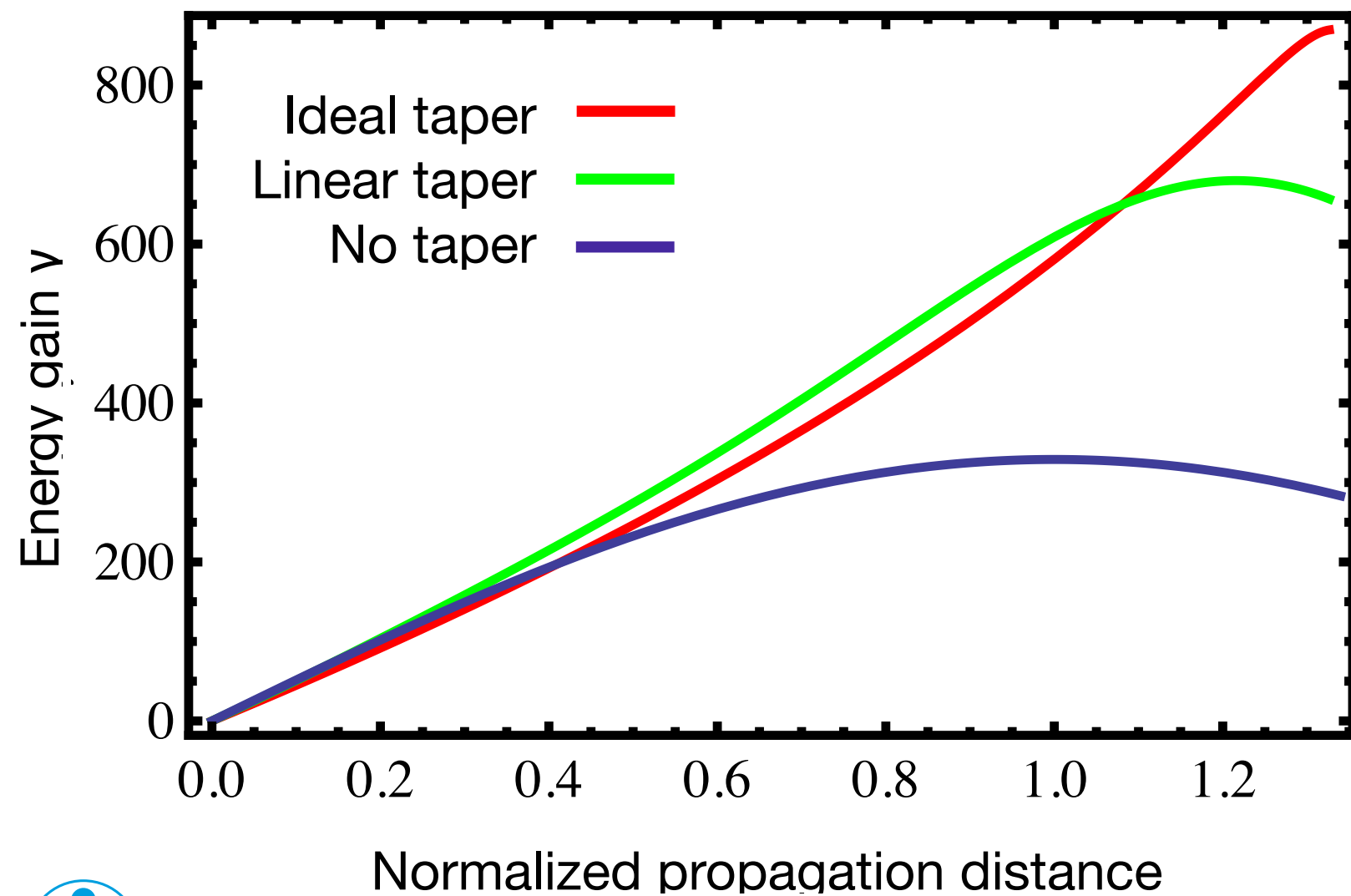
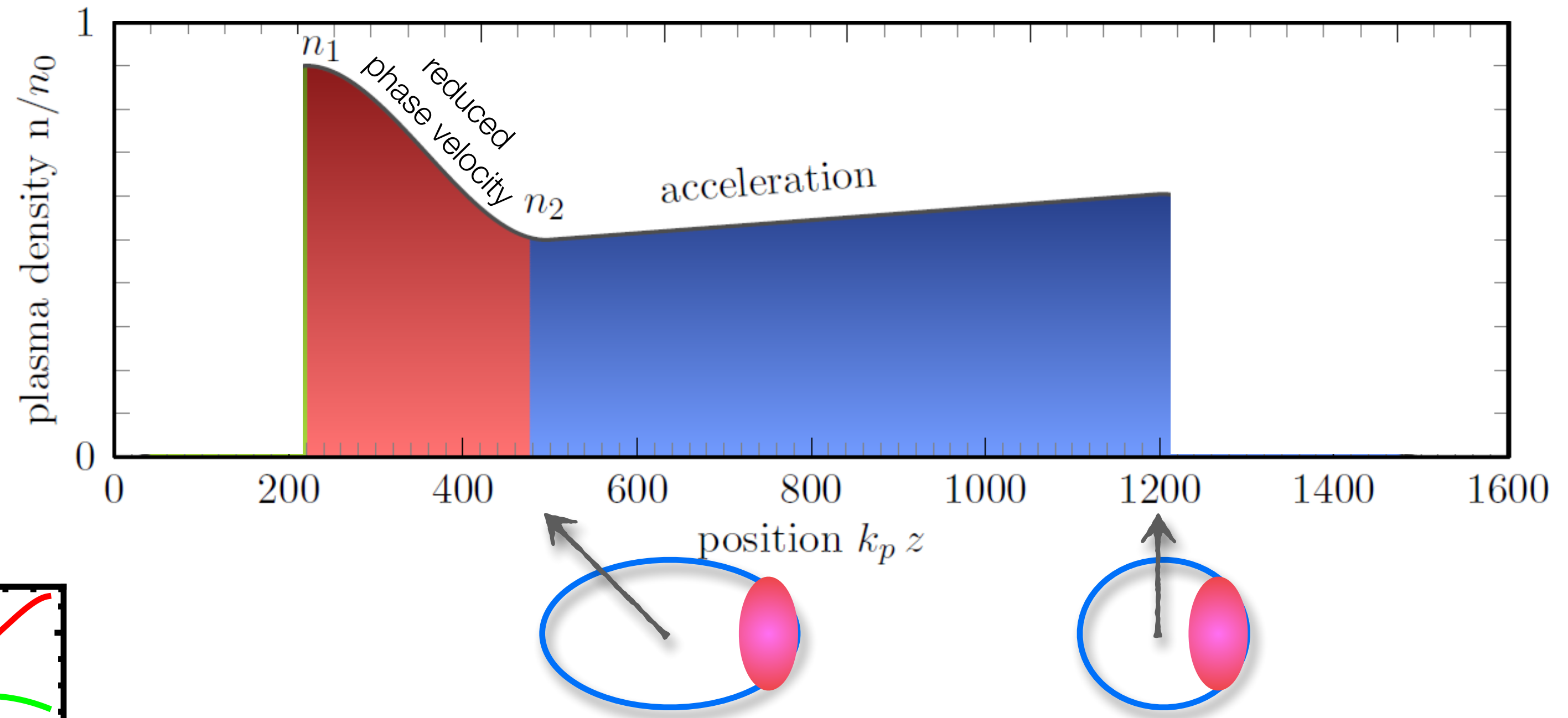
> Velocity of plasma electrons may exceed v_ϕ , leads to trapping

> Trapping possible in multiple buckets

$$\frac{v_\phi}{c} - 1 \approx -\frac{\xi}{2n_e} \frac{dn_e}{dz}$$

Plasma density control - phase locking

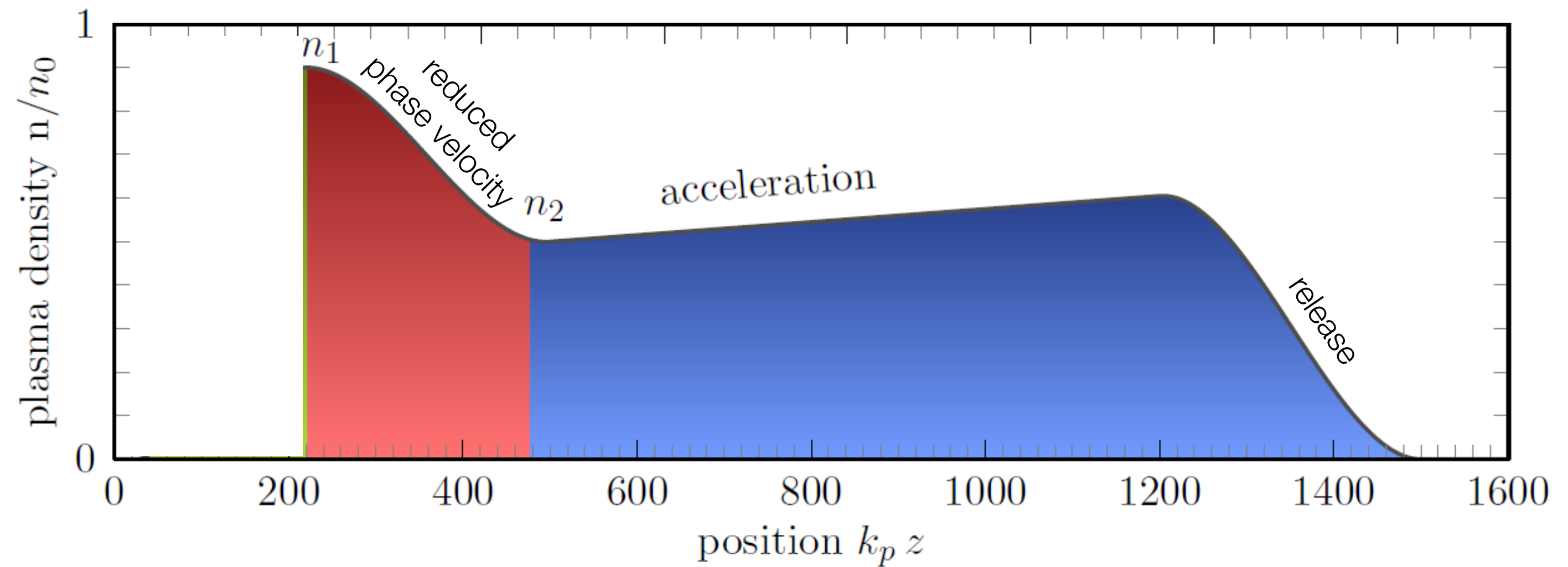
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- Phase velocity of plasma wake increased on density up-slope
- Plasma wave phase velocity v_ϕ may be locked to velocity of injected beam
- Electrons can be locked in acceleration phase

Plasma density control - beam release

- > Plasma density $n_e(x,t)$ governs acceleration process

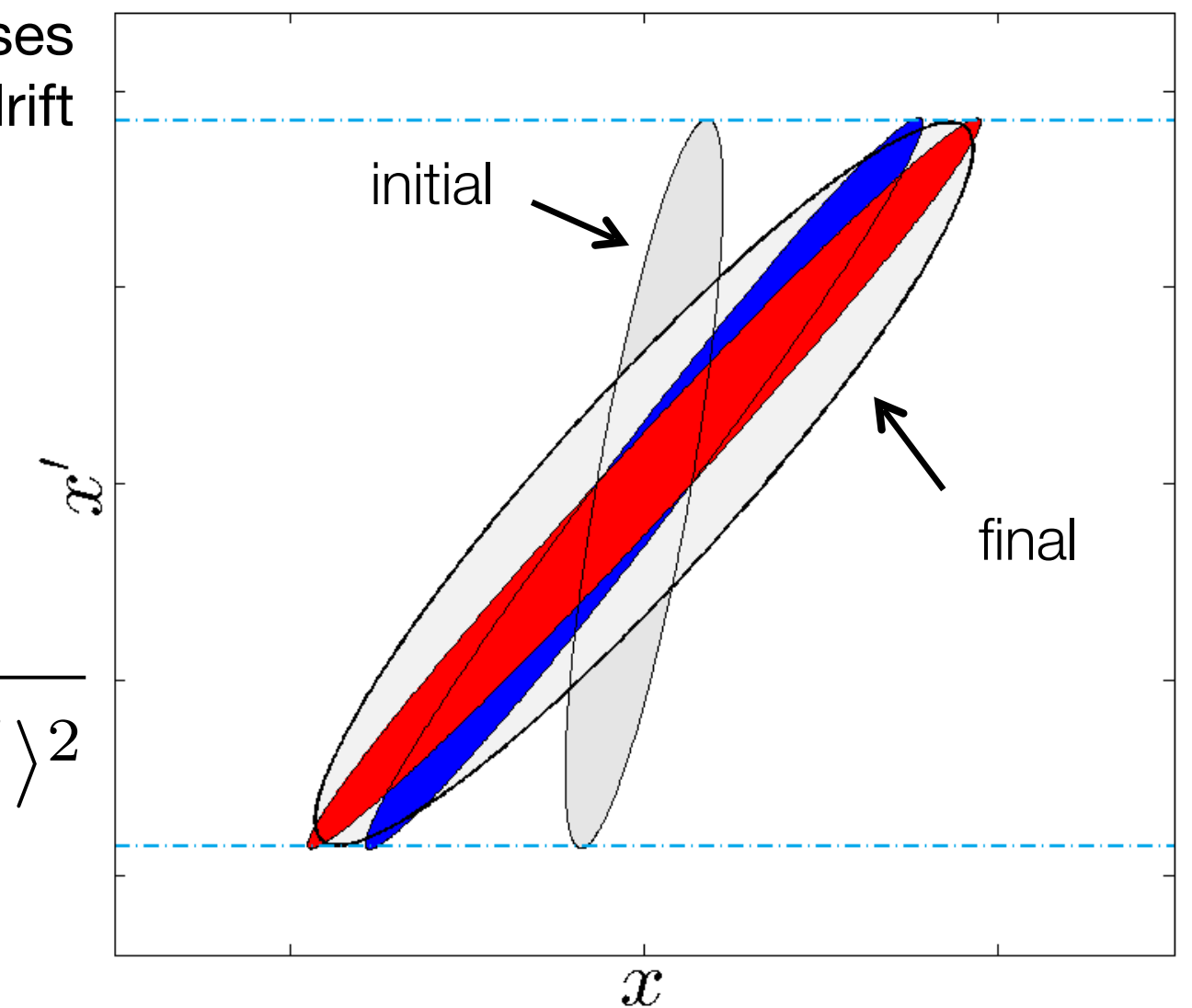


- > Beams at plasma exit
 - ~% level energy spread
 - \approx mm beta function, ~mrad divergence
- > Leads to transverse emittance growth in free drift

$$\epsilon_n^2 \cong \langle \gamma \rangle^2 \cdot (\sigma_E^2 \sigma_{x'}^4 s^2 + \epsilon^2)$$

→ K. Floettmann, Phys. Rev. STAB 6, 034202 (2003)

Phase space ellipses during drift

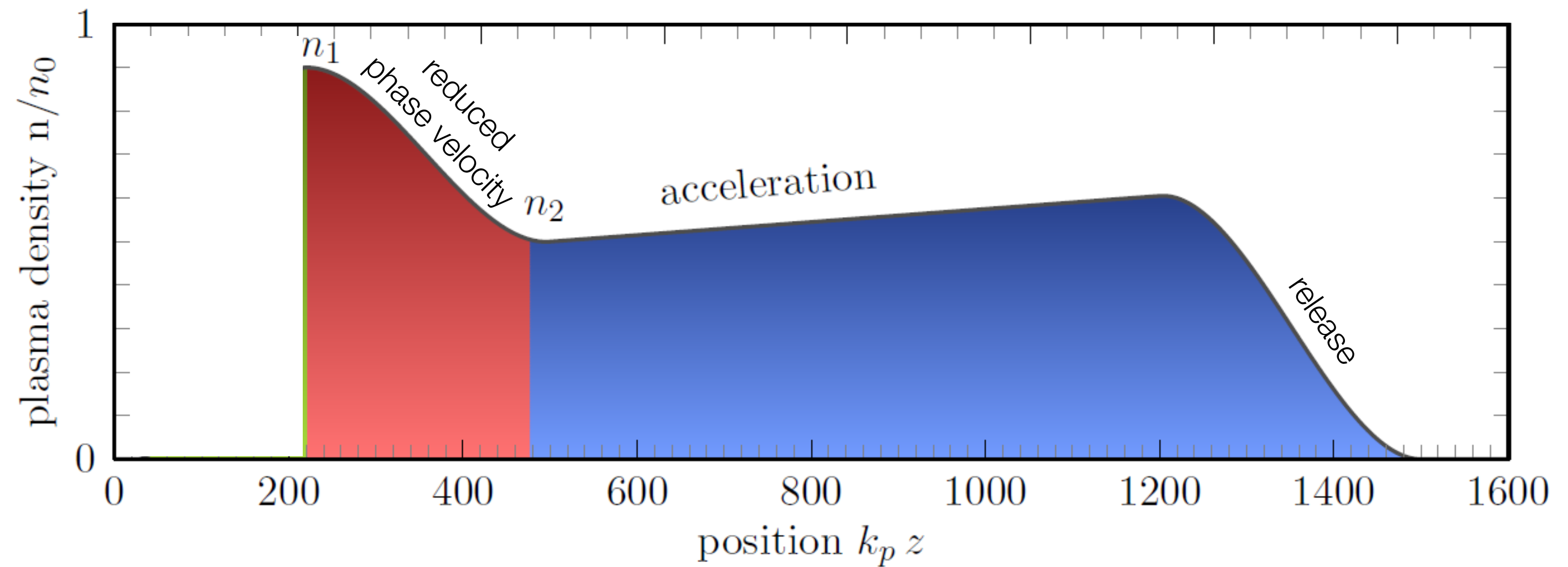


$$\epsilon = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle x x' \rangle^2}$$

with $x' = p_x / p_z$

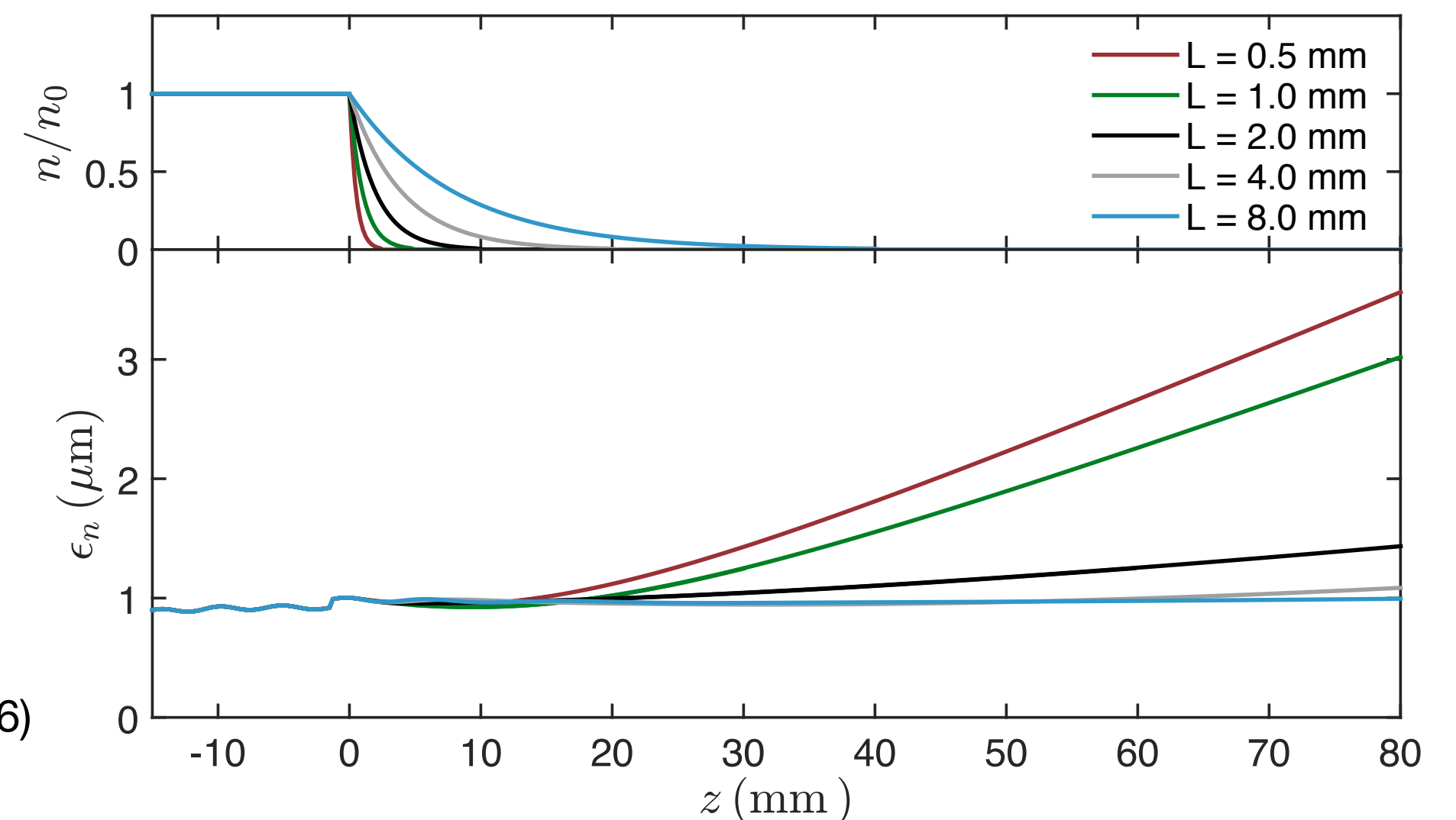
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- > Beams at plasma exit
 - $\sim\%$ level energy spread
 - \approx mm beta function, \sim mrad divergence
- > Leads to transverse emittance growth in free drift
- > Plasma-to-vacuum transition length $\gg \beta$ for adiabatic mitigation of emittance growth
- > Strong focussing elements for beam capturing required

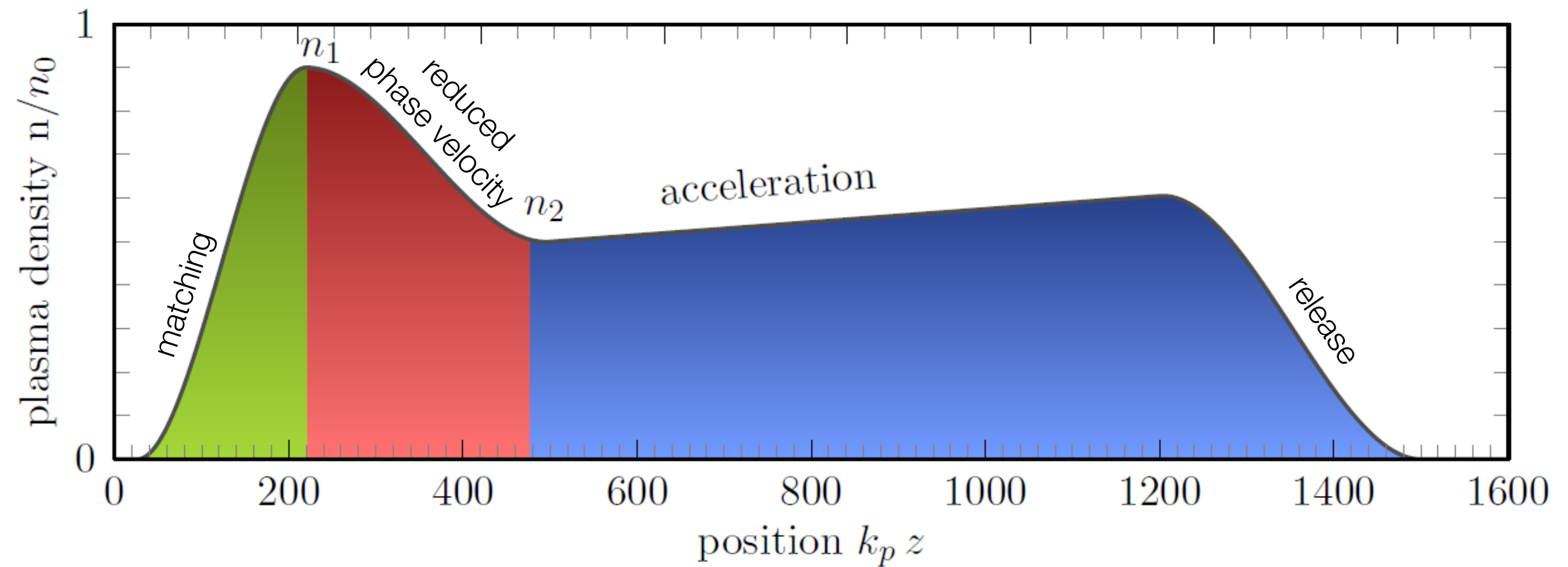
Emittance growth depends on transition length



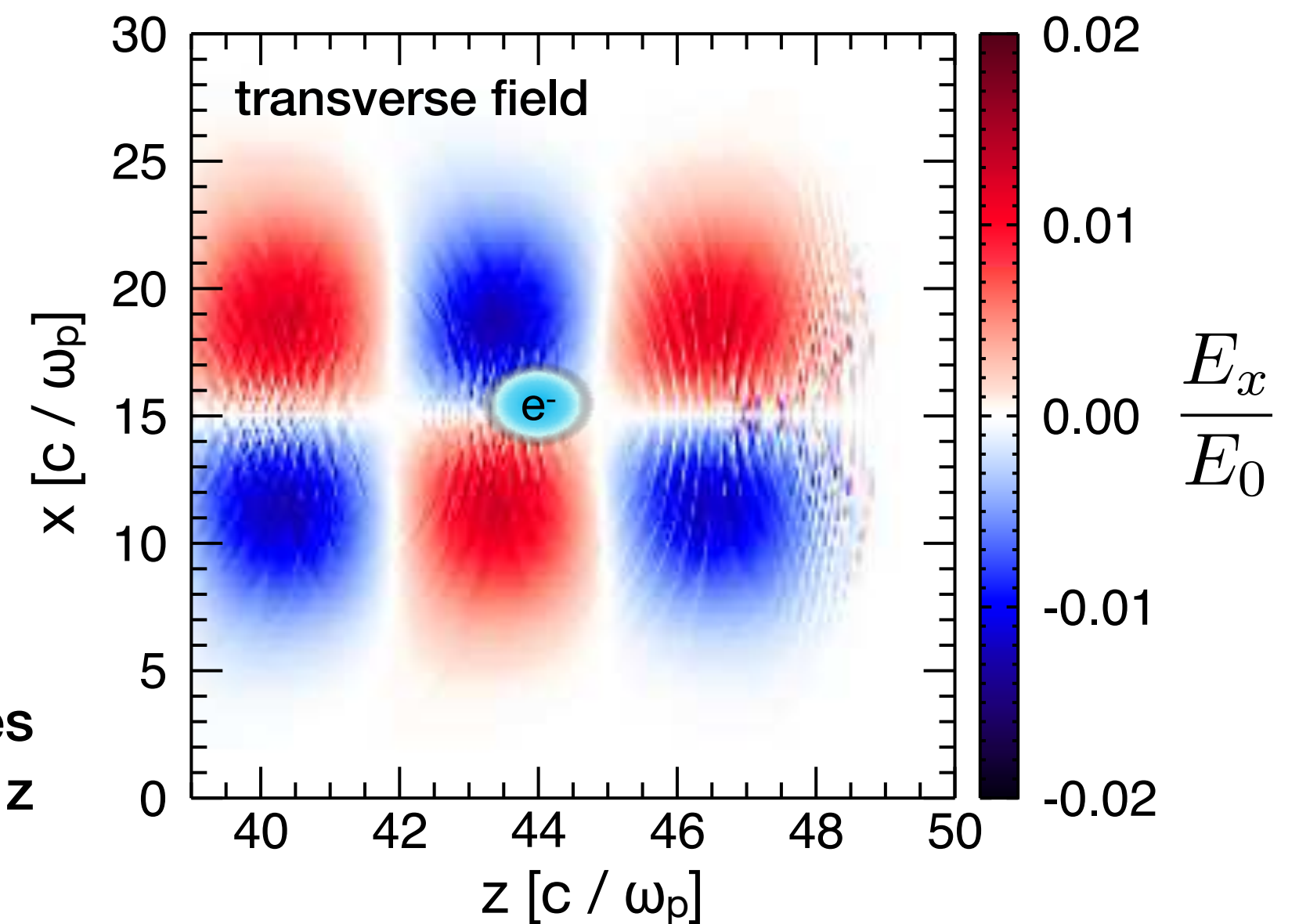
→ T. Mehrling *et al.*, NIM A **829**, 367 (2016)

Plasma density control - beam capturing/matching

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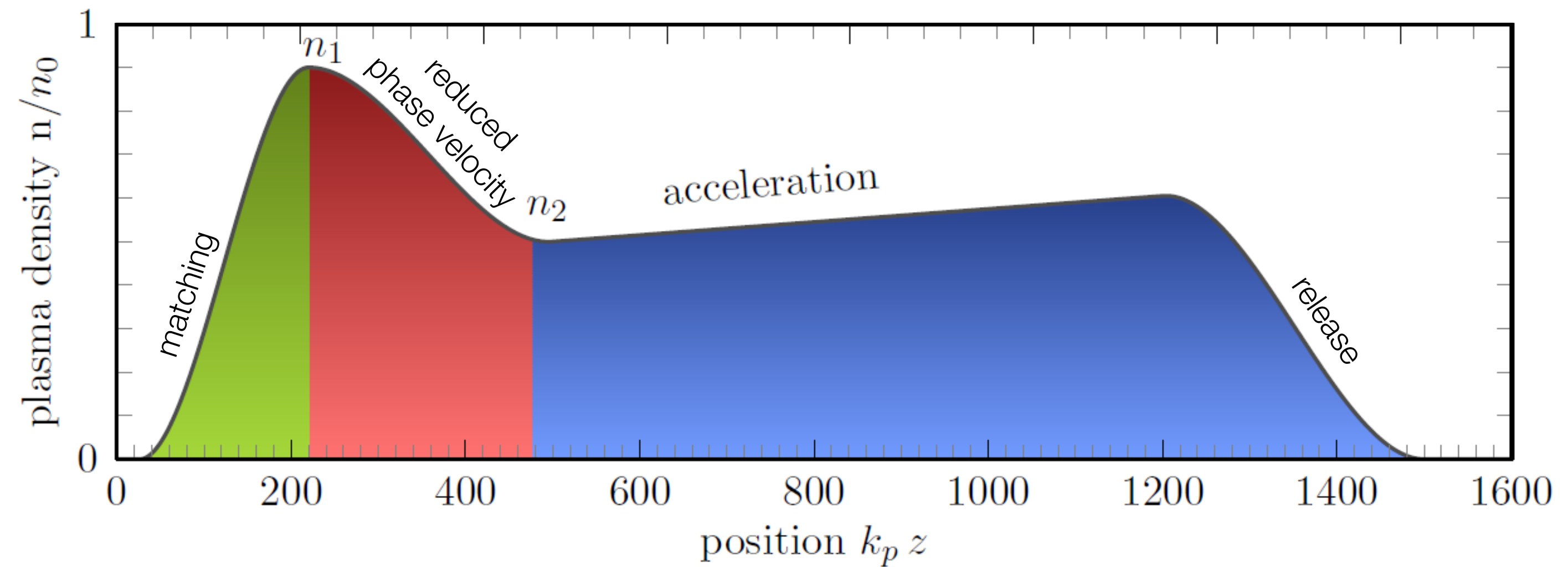
- > External beams need to be matched to wakefield to preserve normalized emittance



Beam slice energy & focusing forces may vary in plasmas in z

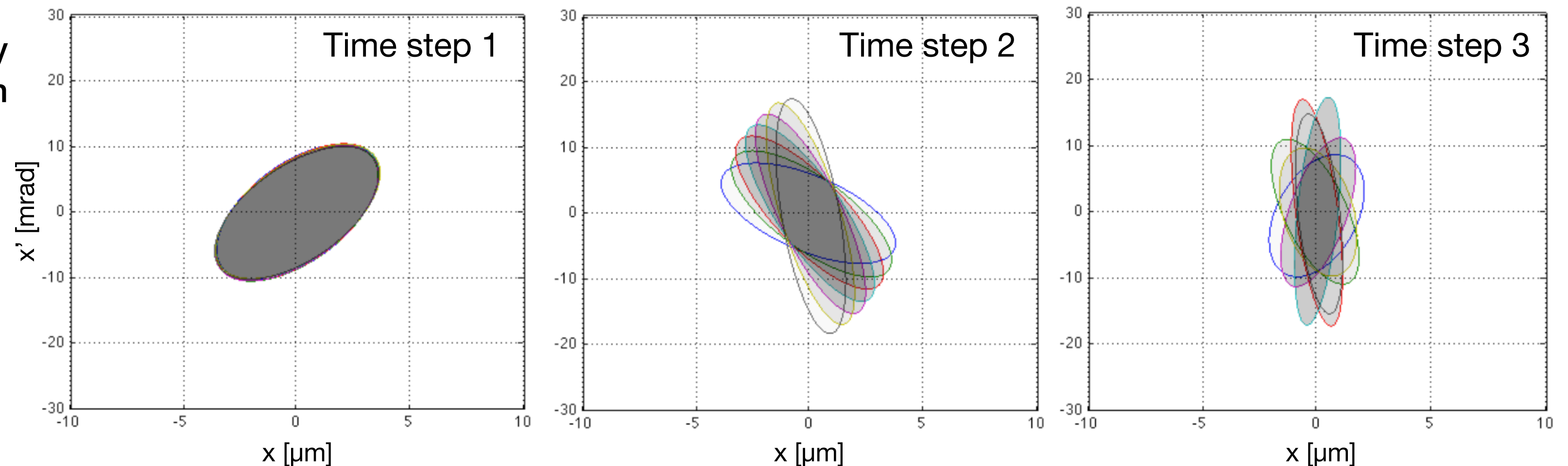
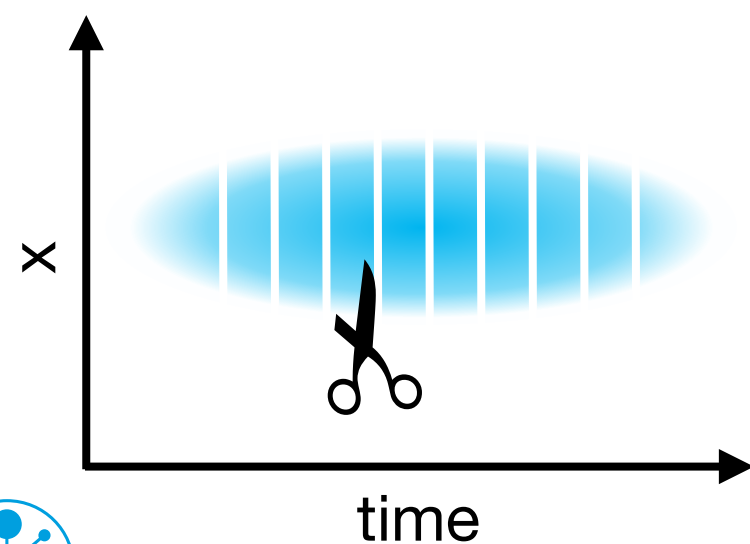
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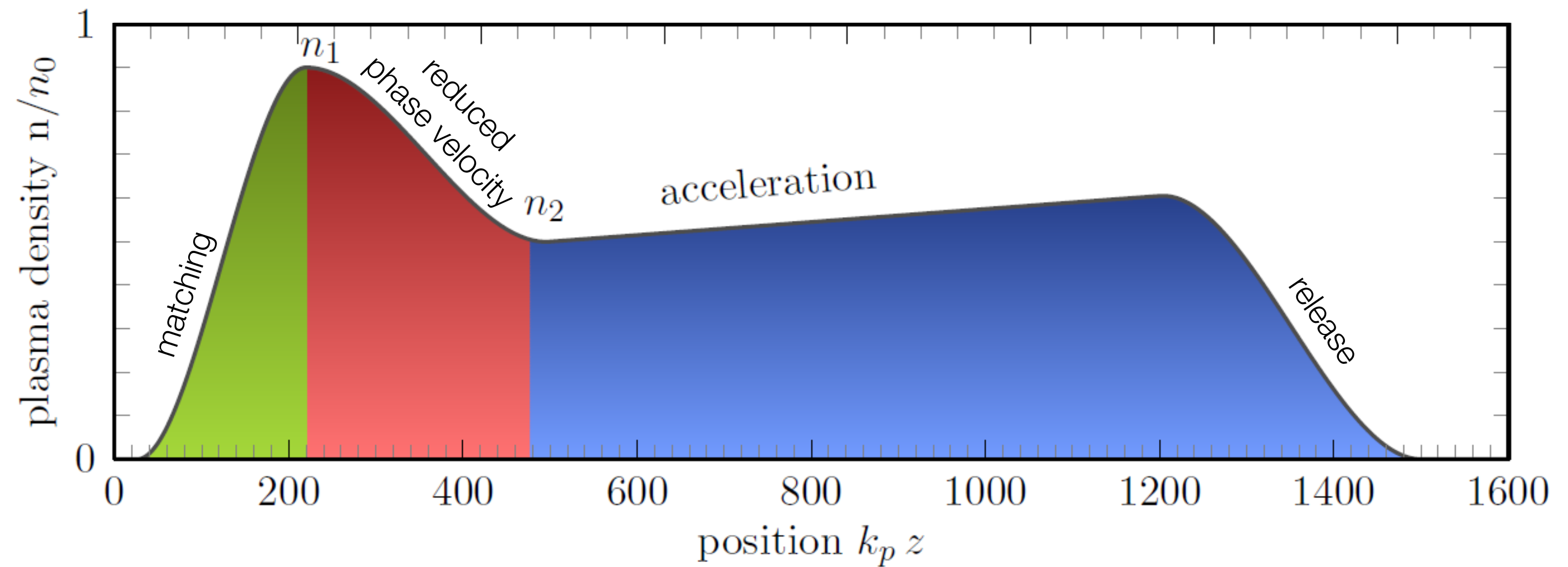
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Slice rotation speeds vary along electron bunch



Plasma density control - beam capturing/matching

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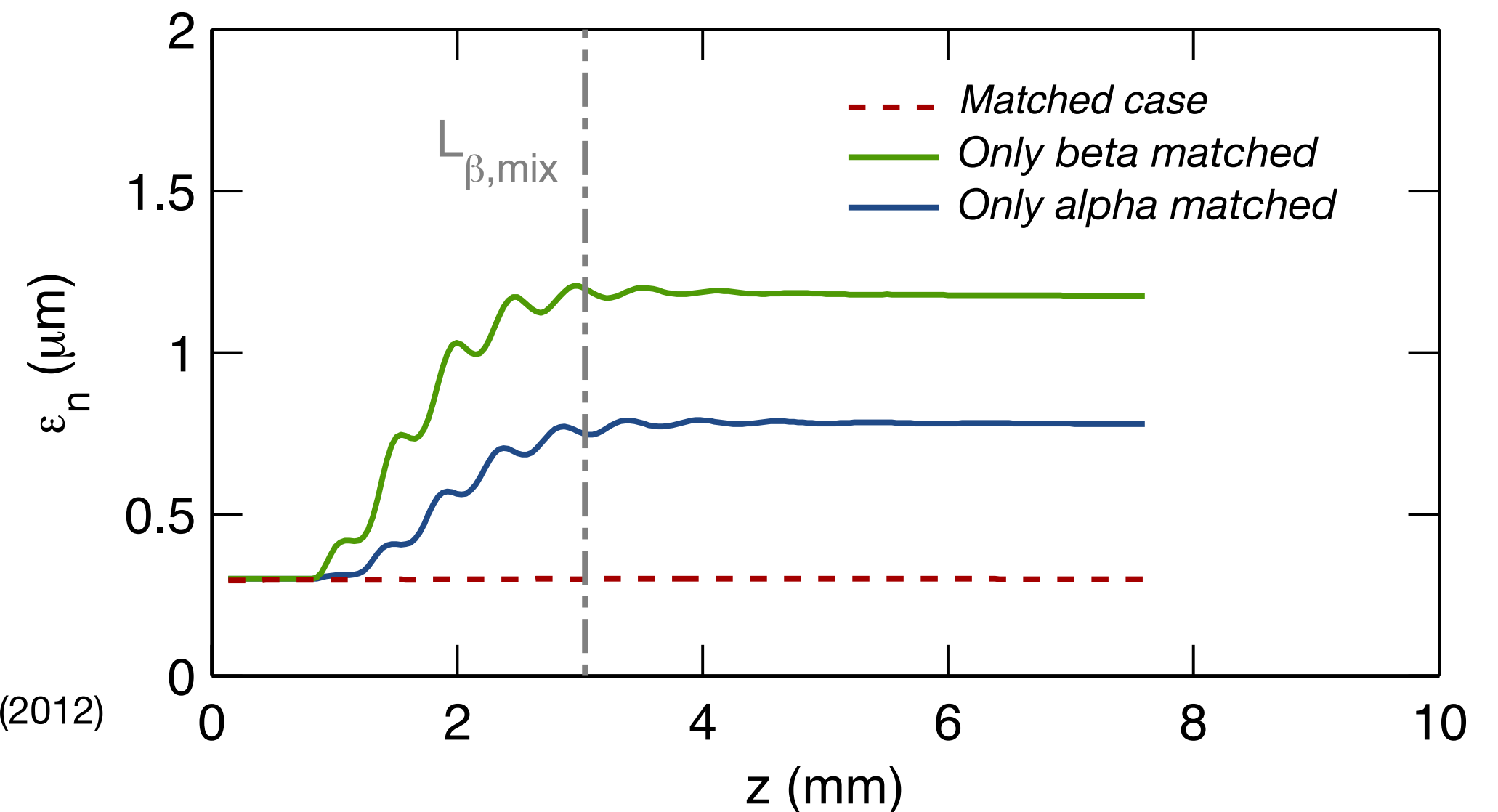


- External beams need to be matched to wakefield to preserve normalized emittance

- Matching conditions

$$\alpha_{match} = 0 \quad \beta_{match} \approx \frac{c}{\omega_{\beta}}$$

- Matched β (\sim mm) can be challenging to achieve
- If matching technically difficult, adiabatic up-ramp may help



→ T. Mehrling *et al.*, Phys. Rev. STAB 15, 111303 (2012)

Plasma density control - hosing seed mitigation

Centroid equations

Beam centroid equation

$$\frac{\partial^2 X_b}{\partial t^2} + \omega_\beta^2 (X_b - X_c) = 0$$

Channel centroid equation assuming linear plasma sheath response*

$$\frac{\partial^2 X_c}{\partial \xi^2} + \frac{k_p^2}{2} (X_c - X_b) = 0$$

Channel centroid equation including relativistic sheath electrons and varying current and blowout radius along beam**

$$\frac{\partial^2 X_c}{\partial \xi^2} + \frac{k_p^2 c_\psi(\xi) c_r(\xi)}{2} (X_c - X_b) = 0$$

$$c_r(\xi) = 4I_b(\xi)/I_A(k_p R(\xi))^2$$

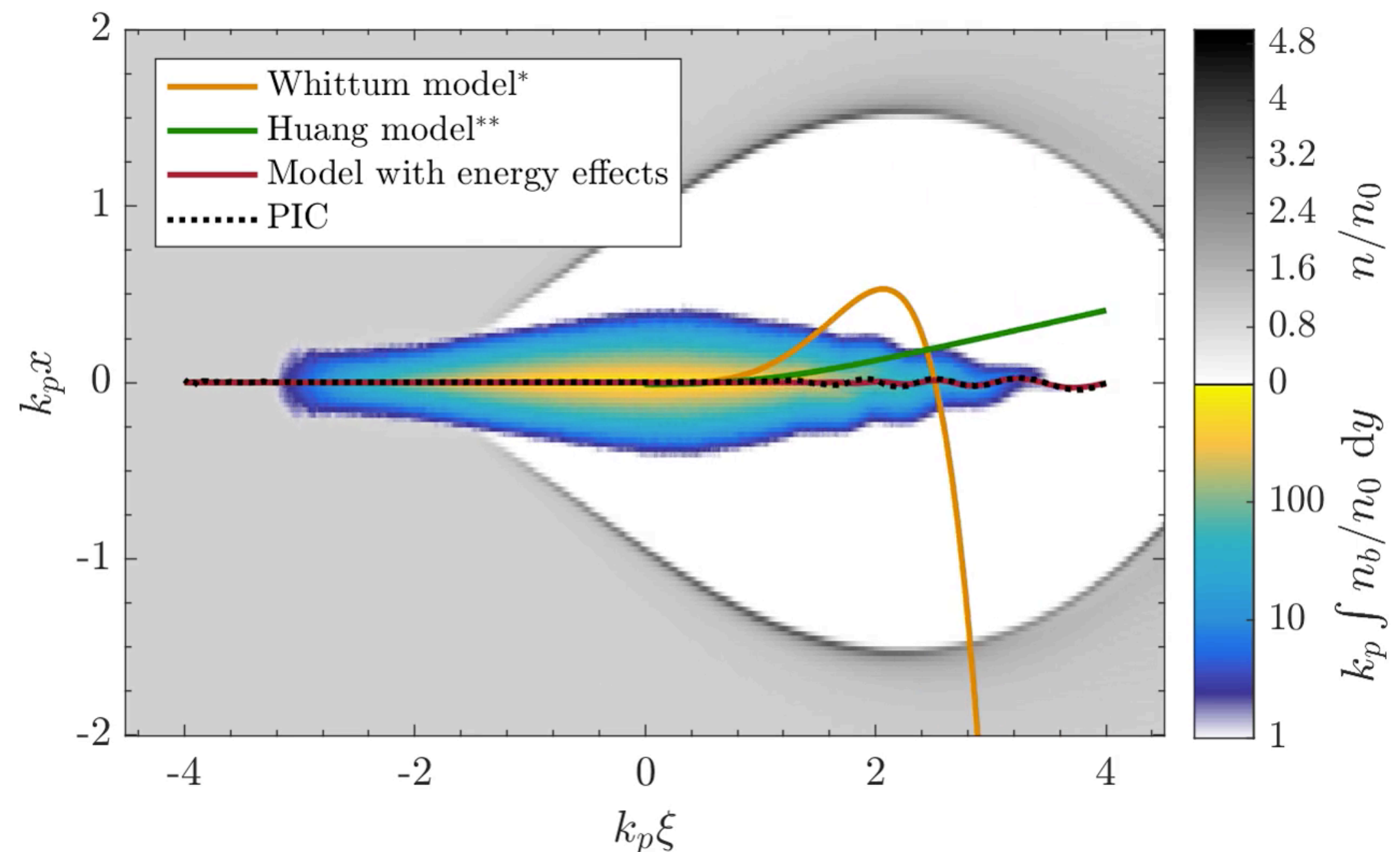
$$c_\psi(\xi) = 1/(1 + \psi(\xi))$$

Dramatic implications for PWFA

Beam centroid deviations are amplified exponentially in time and along the beam!

*D. H. Whittum, *et al.* Phys. Rev. Lett. **67**, 991 (1991).

C. Huang, *et al.* Phys. Rev. Lett. **99, 255001 (2007).



Plasma density control - hosing seed mitigation

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Beam centroid equation ***

$$\frac{\partial^2 X_b}{\partial t^2} + \frac{\overline{\omega}_\beta^2}{\omega_{\beta,0}} (\epsilon + \kappa_1 \Delta\gamma^2) \frac{\partial X_b}{\partial t} + \overline{\omega}_\beta^2 (1 + \kappa_2 \Delta\gamma^2) (X_b - X_c) = 0$$

Uncorr. energy spread: $\Delta\gamma = \sigma_\gamma/\overline{\gamma}_0$

Rel. acceleration rate: $\epsilon = -\sqrt{2/\overline{\gamma}_0} E_z/E_0$

Coefficients:

$$\kappa_1 = (\overline{\omega}_\beta/\overline{\omega}_{\beta,0} - (\overline{\omega}_\beta/\overline{\omega}_{\beta,0})^2)/\epsilon$$

$$\kappa_2 = (\overline{\omega}_\beta/\overline{\omega}_{\beta,0})^4/2 - (\overline{\omega}_\beta/\overline{\omega}_{\beta,0})^3/4$$

Includes the effects of the energy spread and evolution

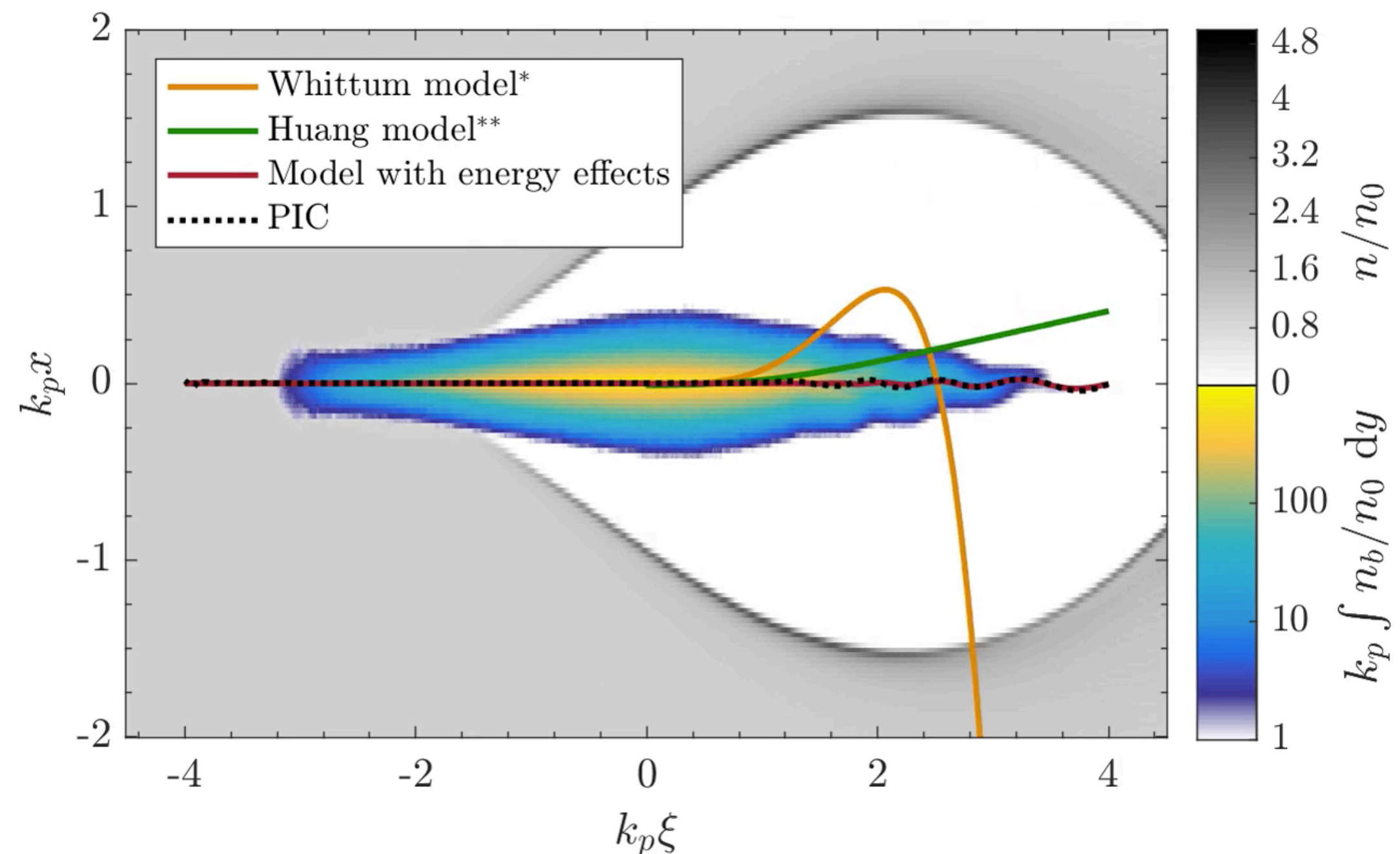
*** T.J. Mehrling et al., PRL 118, 174801 (2017)

Dramatic implications for PWFA

Beam centroid deviations are amplified exponentially in time and along the beam!

*D. H. Whittum, et al. Phys. Rev. Lett. **67**, 991 (1991).

C. Huang, et al. Phys. Rev. Lett. **99, 255001 (2007).



Plasma density control - hosing seed mitigation

Excellent agreement between analytical estimates, numerical solution and PIC*

Considered beam:

Init. centroid: $k_p X_{b,0}(\xi) = 0.01 \times \Theta(\xi)$

Peak current: $I_b = I_A/4$

Dimensions: $k_p \sigma_x = k_p \sigma_y = 0.1, k_p \sigma_z = 1.0$

Considered cases

C1: No energy change

C2: Energy change

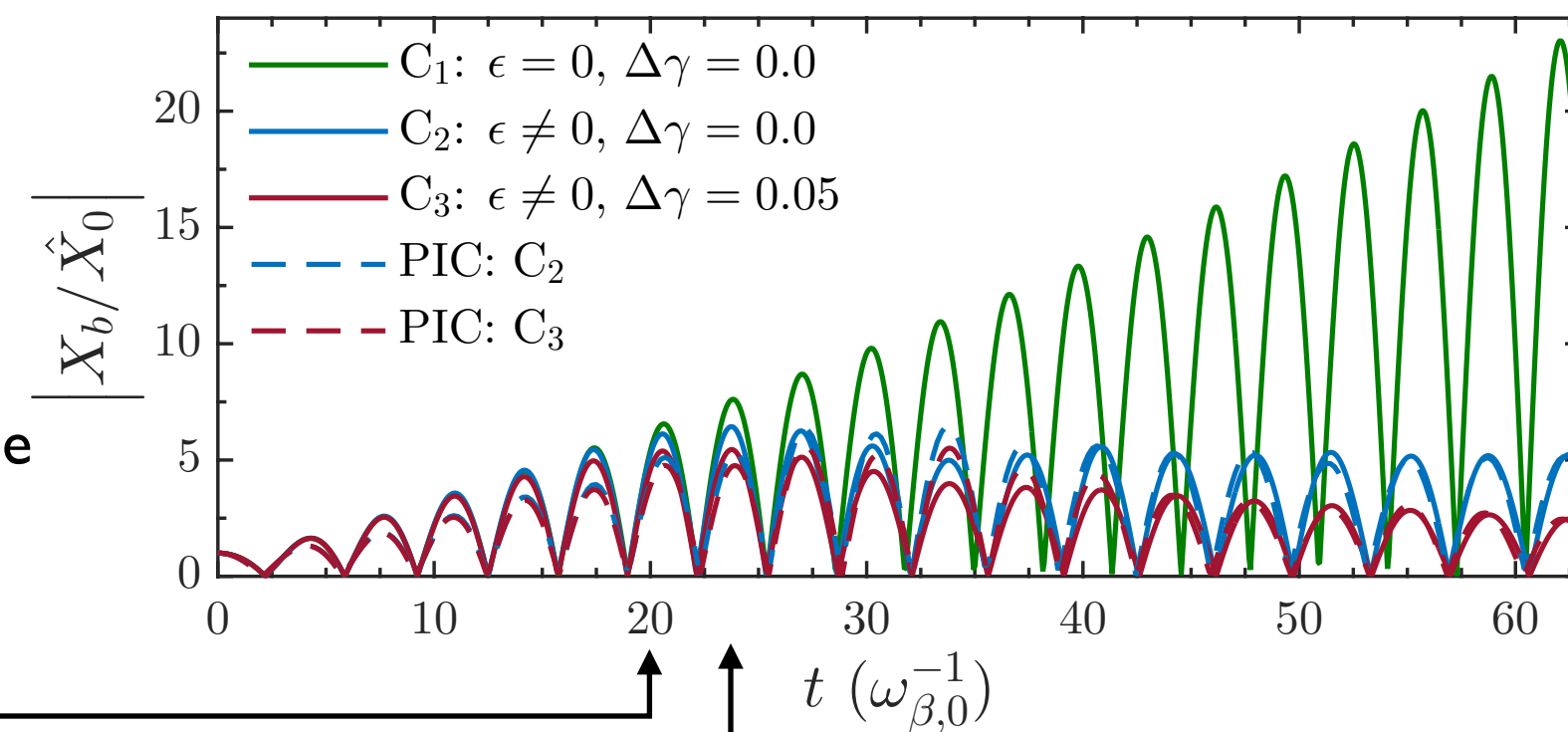
C3: Energy change & spread

decoupling time

$$\frac{1}{\omega_{\beta,0}} \sqrt{\frac{3\pi}{\Delta\epsilon}}$$

damping time

$$\frac{\bar{\gamma}_0}{\omega_{\beta,0} \sigma_\gamma}$$



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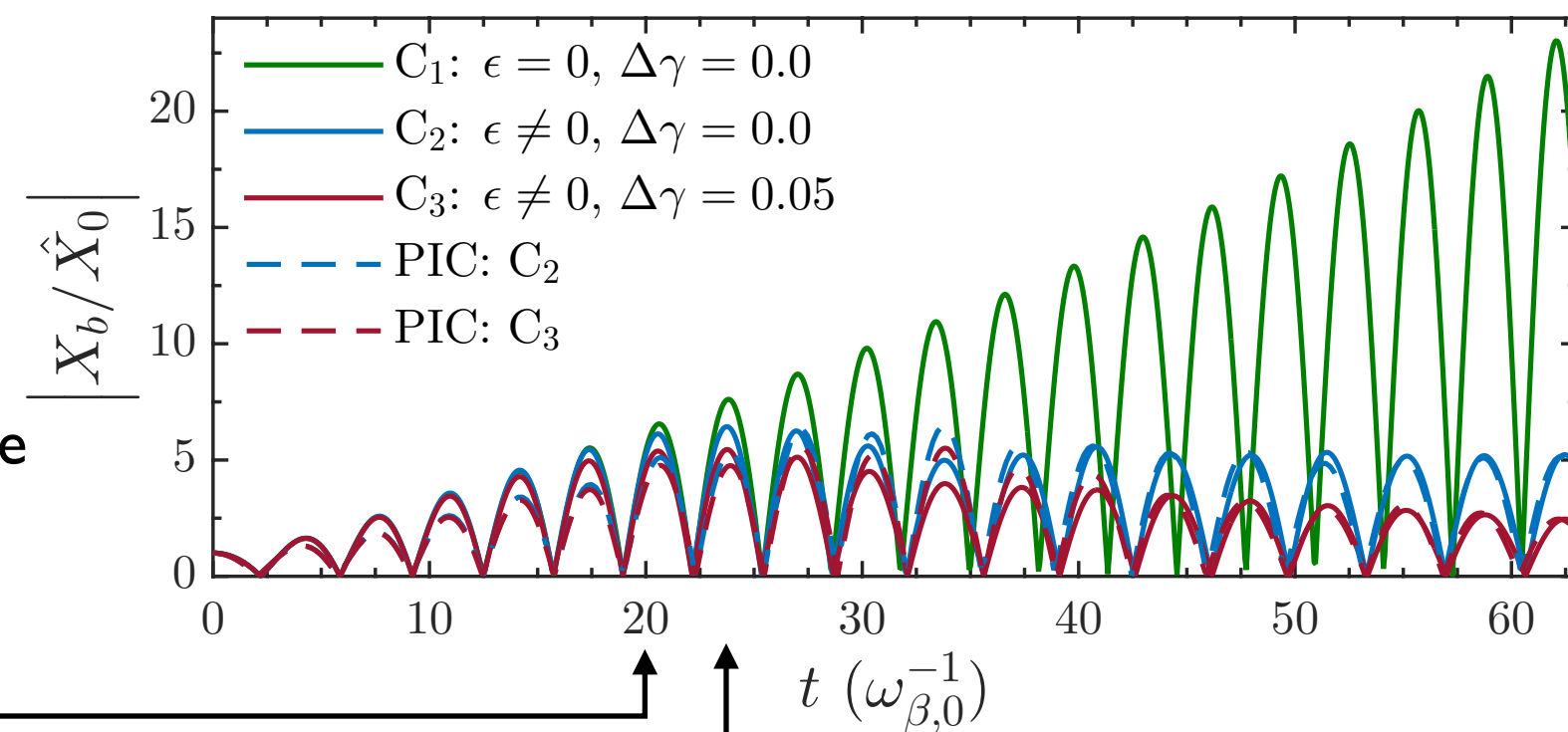
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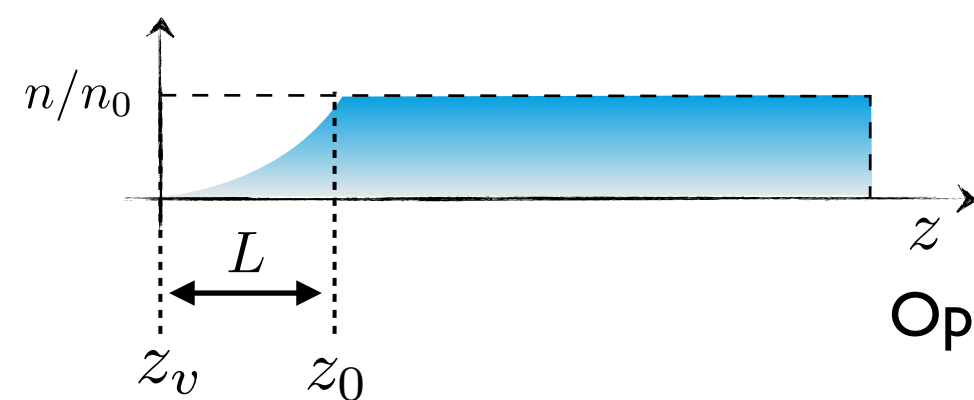
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* T. J. Mehrling et al., PRL 118, 174801 (2017)

Tailored density transition for hosing mitigation

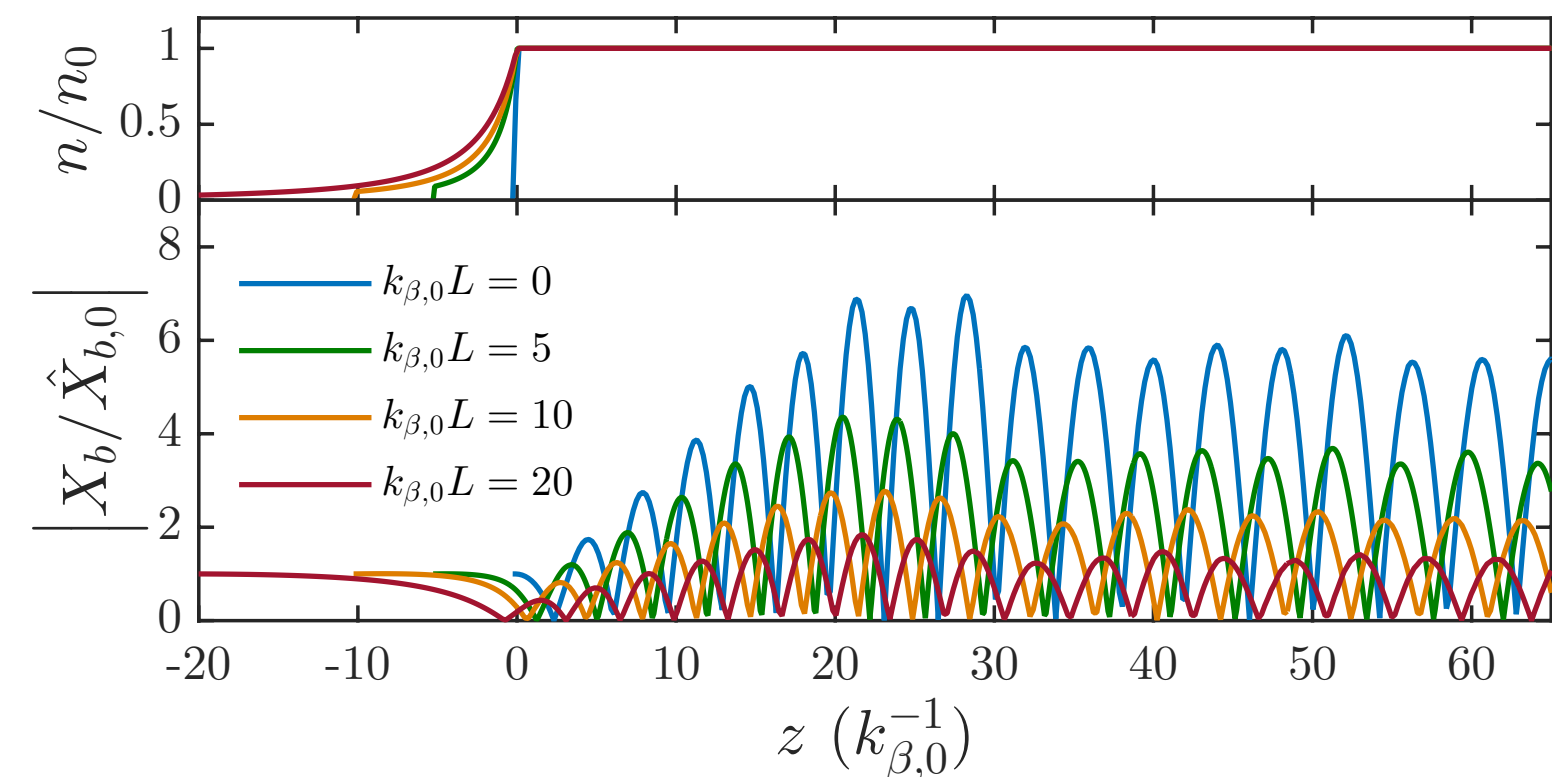


Optimum taper parameter

$$\lambda_{\text{opt}} \simeq L / \sqrt{k_{\beta,0} L}$$

$$n(z) = \begin{cases} 0 & \text{if } z \leq z_v, \\ n_0(1 - (z - z_0)/\lambda)^{-4} & \text{if } z_v < z \leq z_0, \\ n_0 & \text{if } z > z_0 \end{cases}$$

Betatron wavenumber: $k_\beta = k_{\beta,0} \sqrt{\frac{n}{n_0}}$



Significant mitigation of hose instability!*

Plasma density control - laser waveguide

> Linear scalings for LWFA

- Accelerating field $E_z \propto \omega_p \propto \sqrt{n_e}$
- Dephasing length $L_d \approx \frac{\lambda_p^3}{\lambda^2} \propto \frac{1}{n_e^{3/2}}$
- Energy gain $\Delta W = E_z L_d \propto \frac{1}{n_e}$

> Simple scaling (in linear regime) shows, factor 10 increase in energy requires:

- Factor 10 decrease in electron density:
 $10^{19} \text{ cm}^{-3} \rightarrow 10^{18} \text{ cm}^{-3} \rightarrow 10^{17} \text{ cm}^{-3}$
- Factor 30 increase in length:
 $1 - 2 \text{ mm} \rightarrow 30 - 60 \text{ mm} \rightarrow 900 - 1800 \text{ mm}$

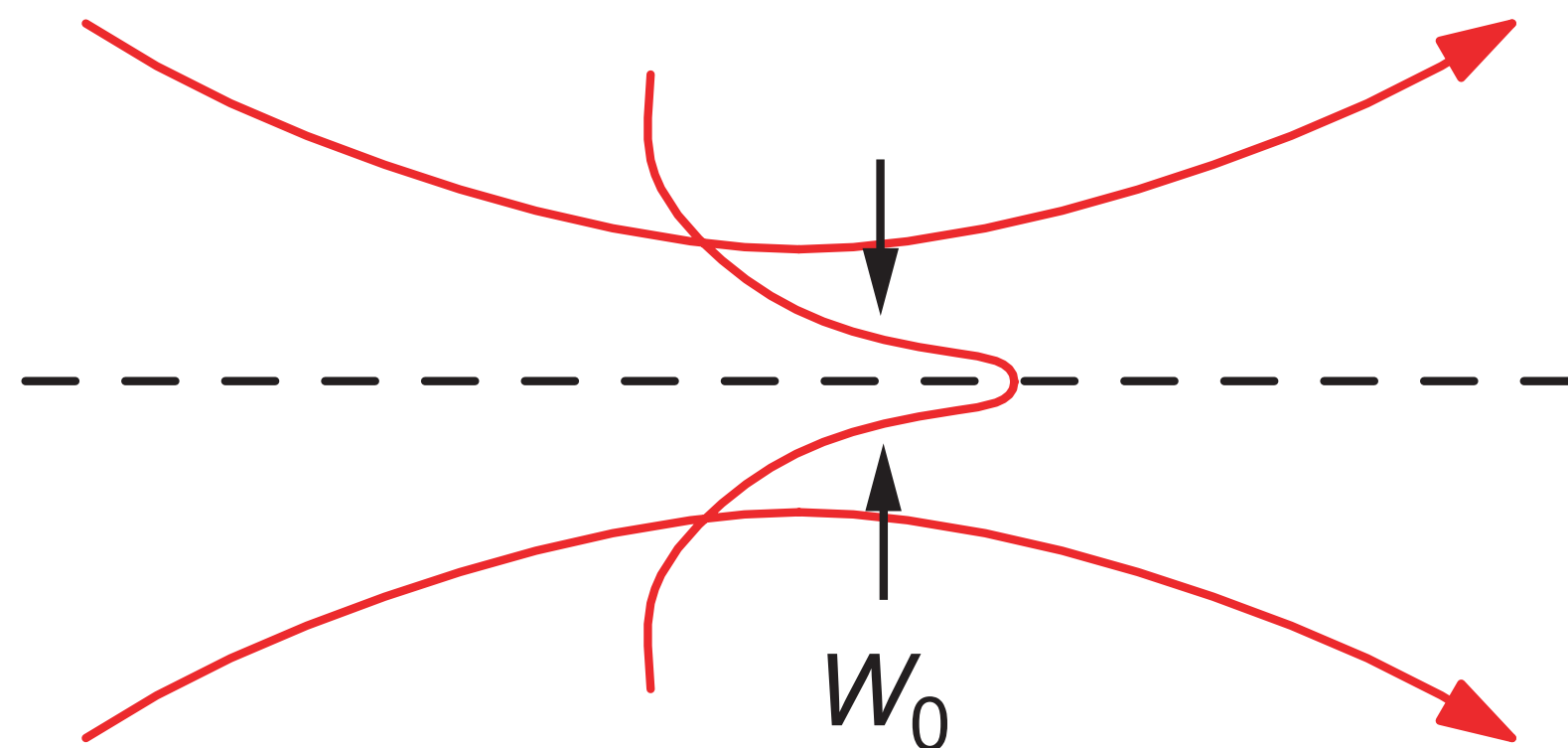
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$$Z_R = \frac{\pi w_0^2}{\lambda}$$

> The laser intensity must be maintained over the acceleration length

- limited by laser diffraction
- Rayleigh range typically only millimeters

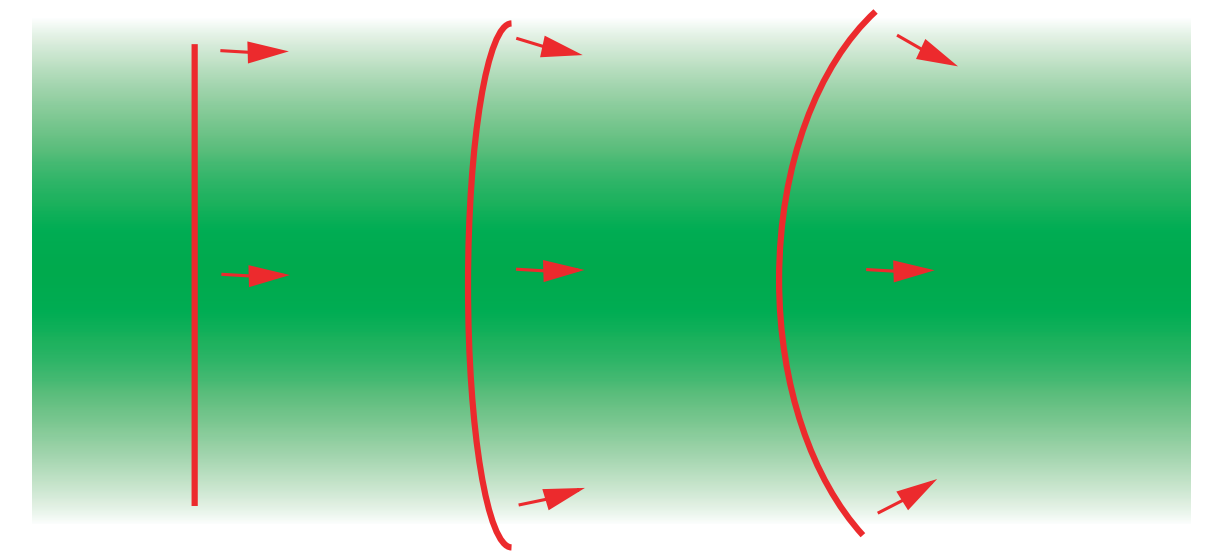
Example :

$$w_0 = 10 \mu\text{m}; \lambda = 1 \mu\text{m}$$

$$\Rightarrow Z_R = 0.3 \text{ mm}$$

Plasma density control - laser waveguide

- > Transverse index of refraction gradient may guide lasers



Plasma density control - laser waveguide

> Transverse index of refraction gradient may guide lasers

> **Plasma channel:** transverse variation of electron density gives correct refractive index profile

- Transverse plasma density gradient gives transverse index of refraction gradient

$$\eta = \sqrt{1 - \left(\frac{\omega_p}{\omega}\right)^2}$$

$$\approx 1 - \frac{1}{2} \frac{n_e(r) e^2}{\gamma m_e \epsilon_0 \omega^2}$$

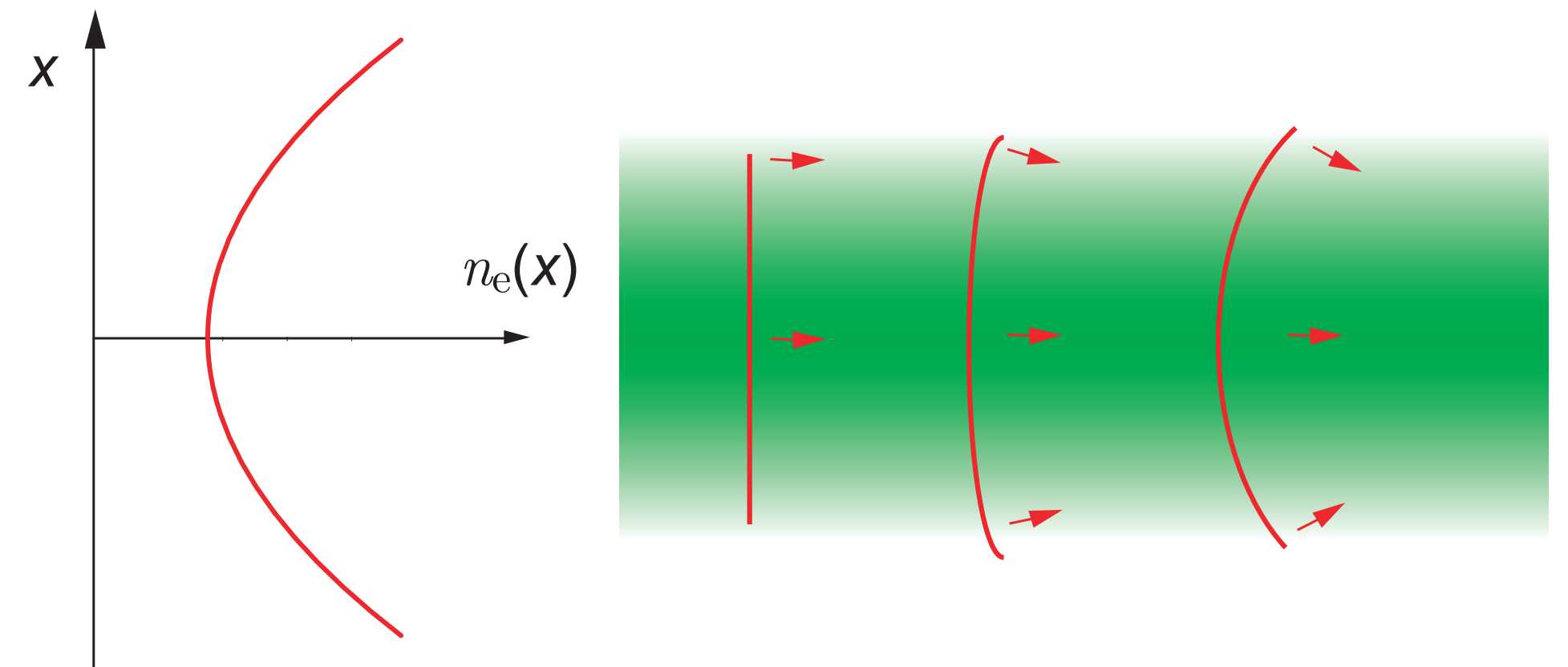
- Changes laser phase velocity $v_\phi = c/\eta$

> Parabolic channel will match Gaussian beam of spot size $W_M = \left(\frac{r_{ch}^2}{\pi r_e \Delta n_e}\right)^{1/4}$

$$n_e(r) = n_e(0) + \Delta n_e (r/r_{ch})^2$$

> Shape of channel is not very important: matched spot size mainly determined by channel depth

- cf. Durfee *et al.*, Opt. Lett. **19**, 1937 (1994)



Plasma density control - laser waveguide

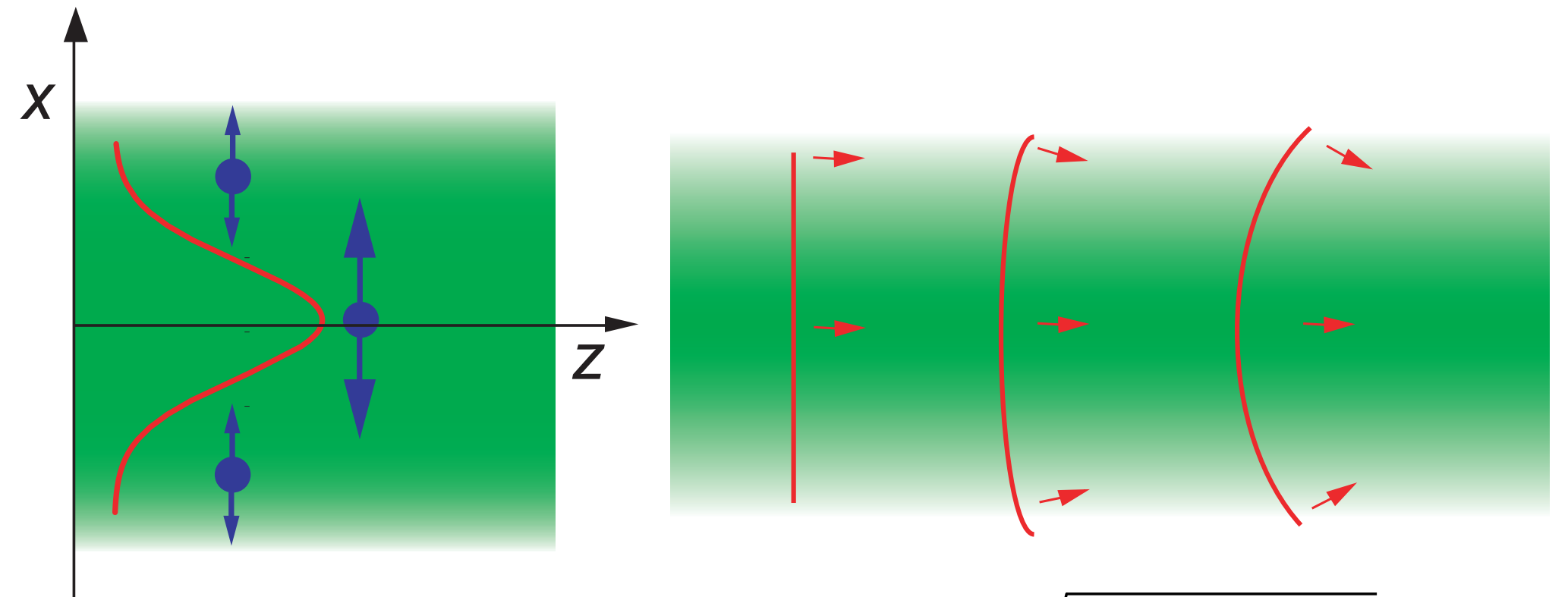
- > **Relativistic self-focusing:** transverse variation of intensity gives correct refractive index profile
 - Leads to self-focusing/guiding above a critical power

$$P_c = 17.4 \left(\frac{\omega}{\omega_p} \right)^2 \text{ GW}$$

Example

$$n_e = 10^{18} \text{ cm}^{-3}, \lambda = 800 \text{ nm}$$

$$P_c = 8 \text{ TW}$$



$$\eta = \sqrt{1 - \left(\frac{\omega_p}{\omega} \right)^2}$$

$$\approx 1 - \frac{1}{2} \frac{n_e e^2}{\gamma(r) m_e \epsilon_0 \omega^2}$$

Plasma density control - laser waveguide

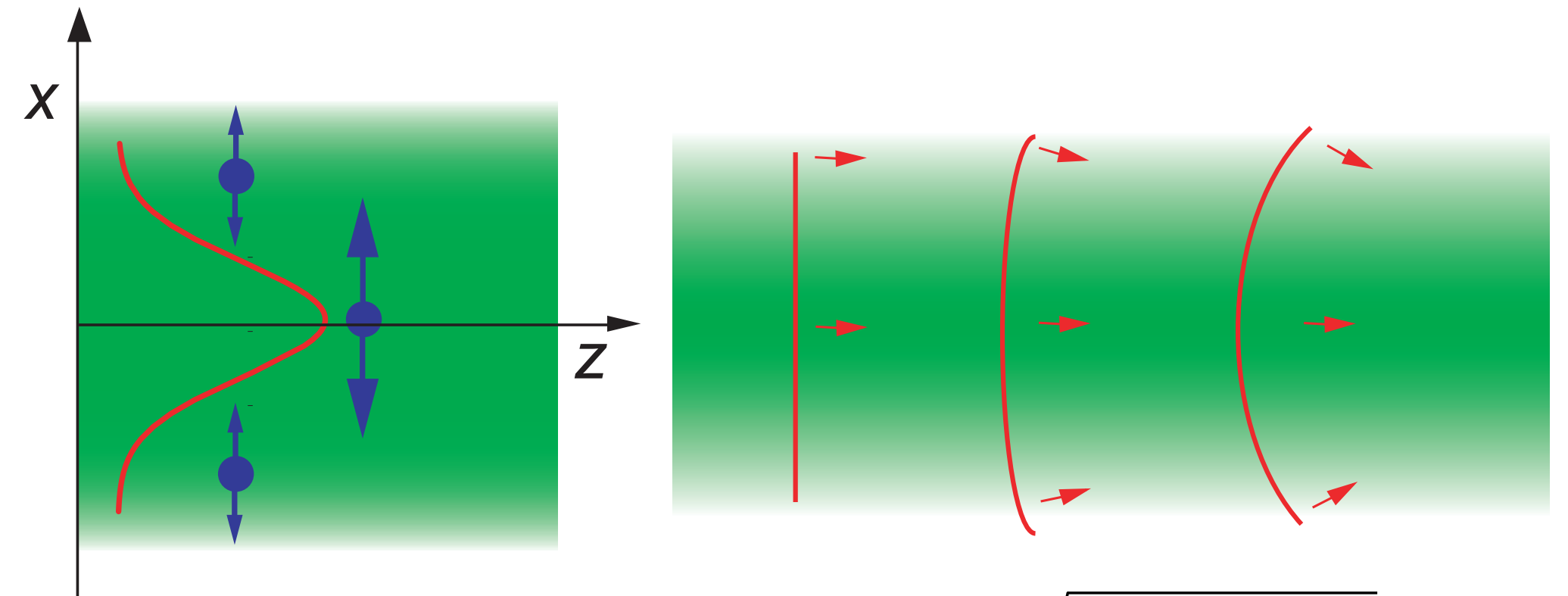
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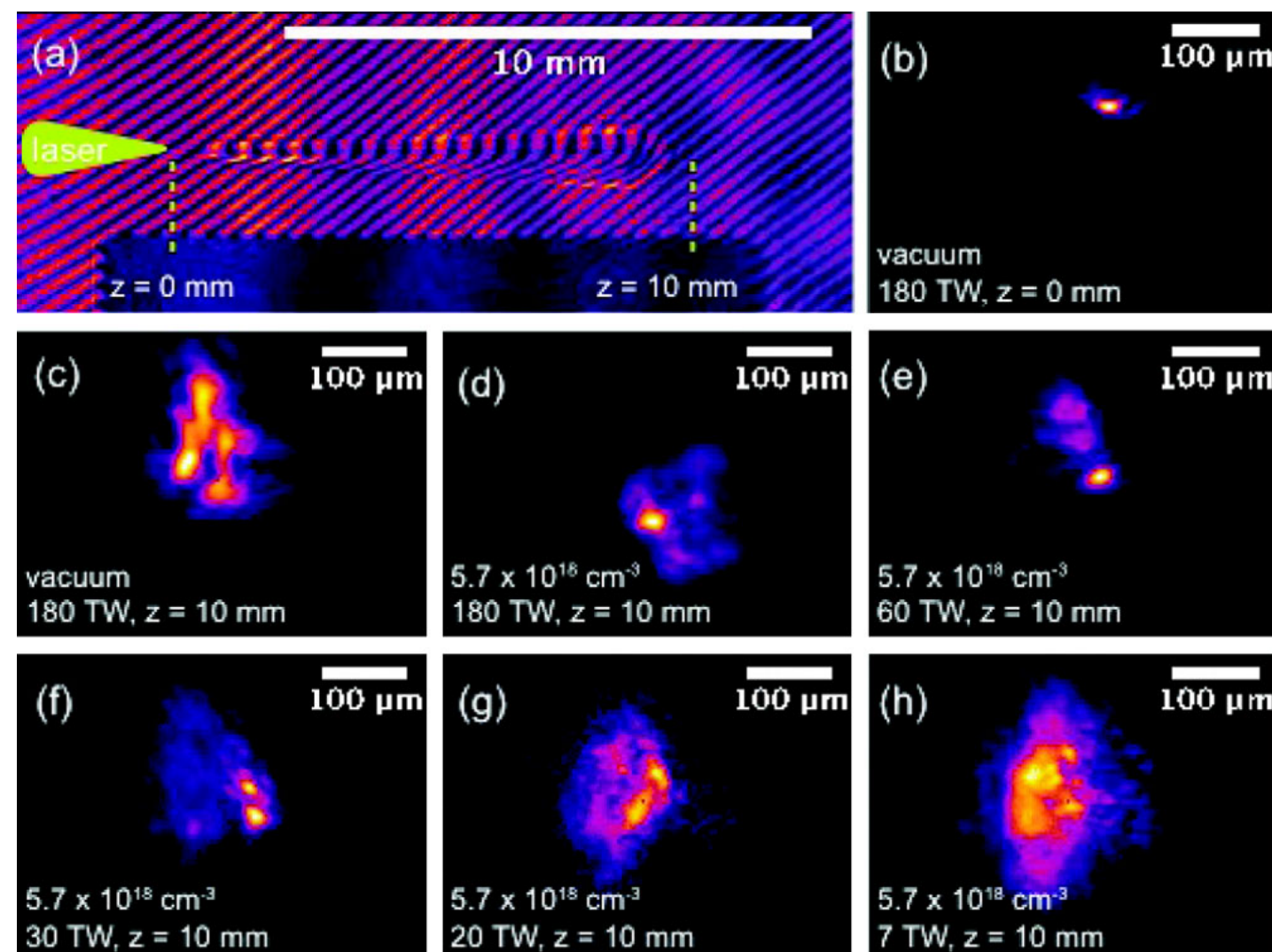
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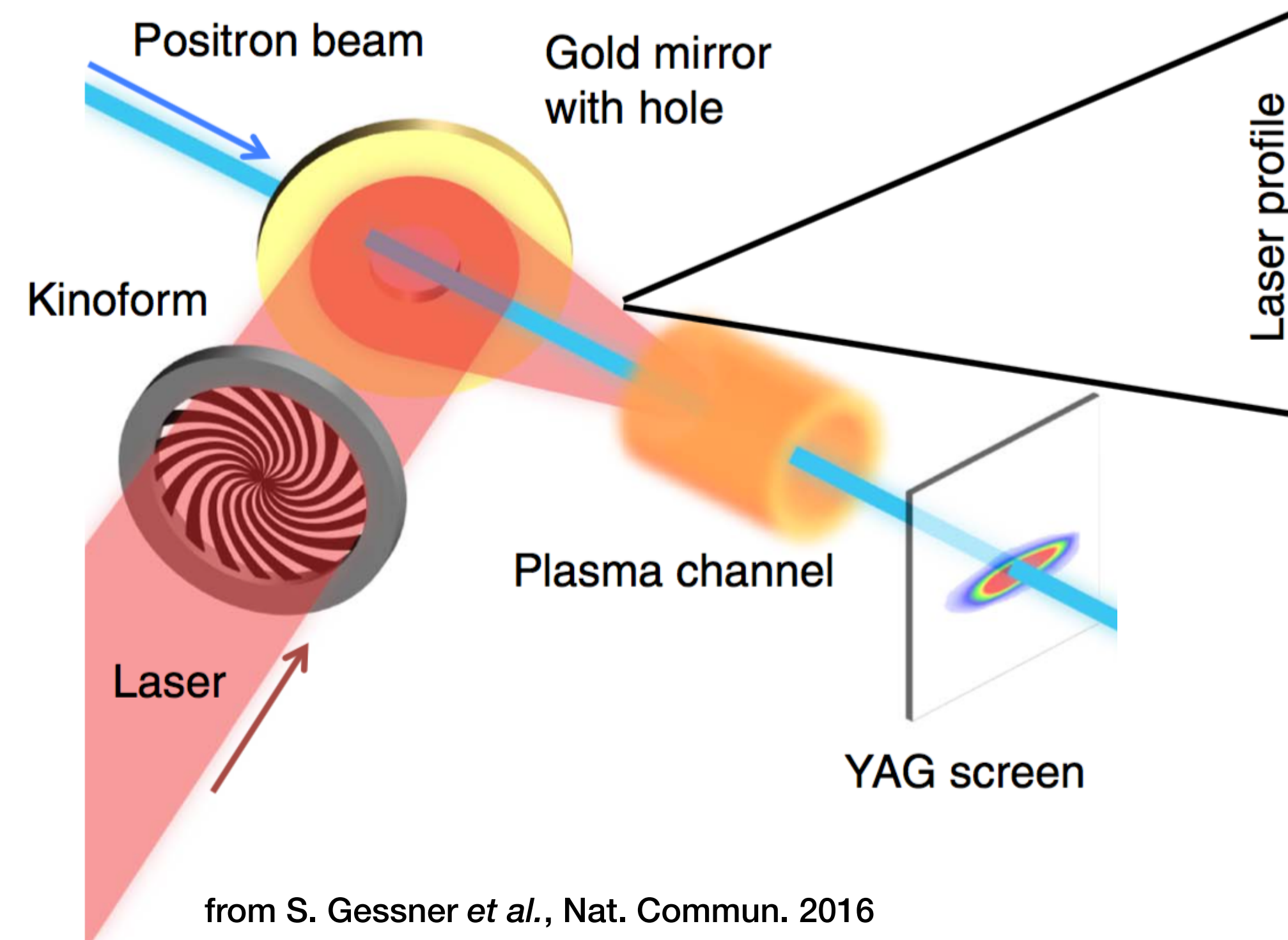
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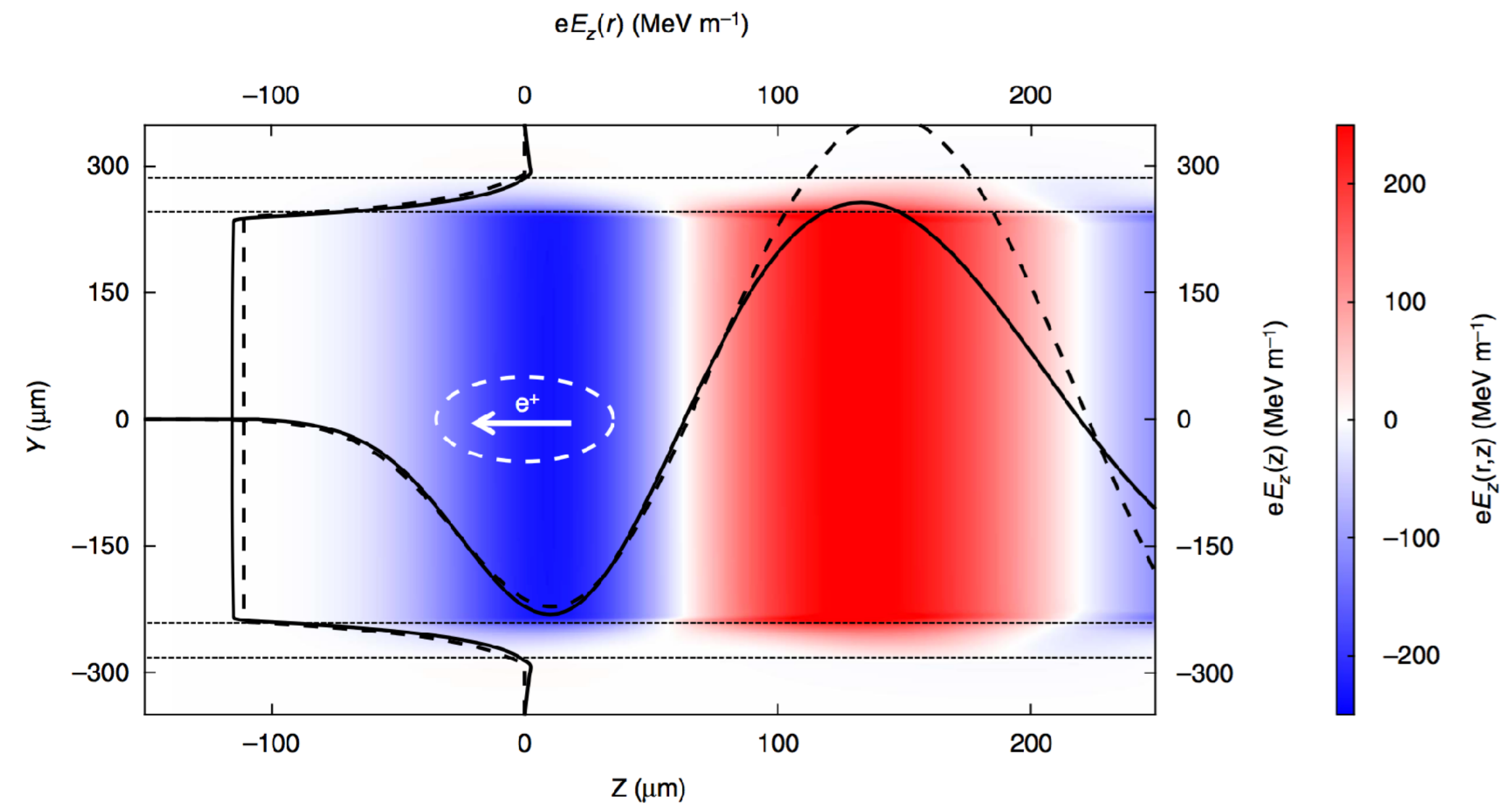
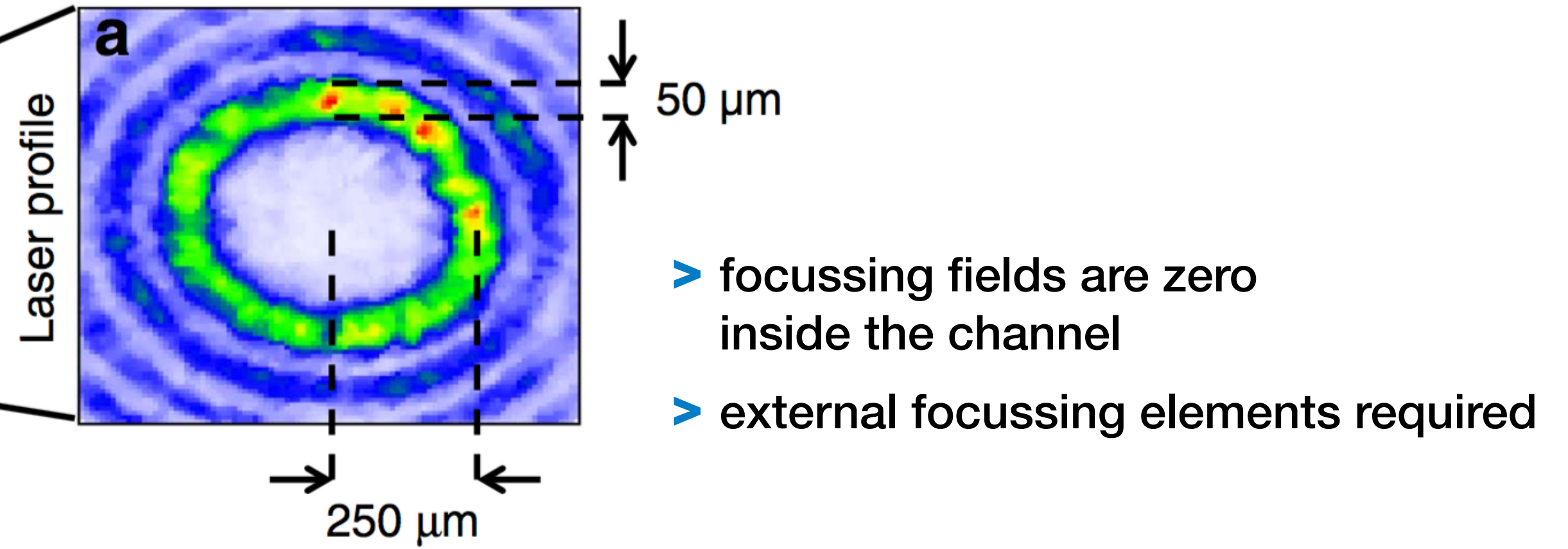
S. Kneip *et al.*,
Phys. Rev. Lett. **103** 035002 (2009)

Plasma density control - hollow core channels



from S. Gessner *et al.*, Nat. Commun. 2016

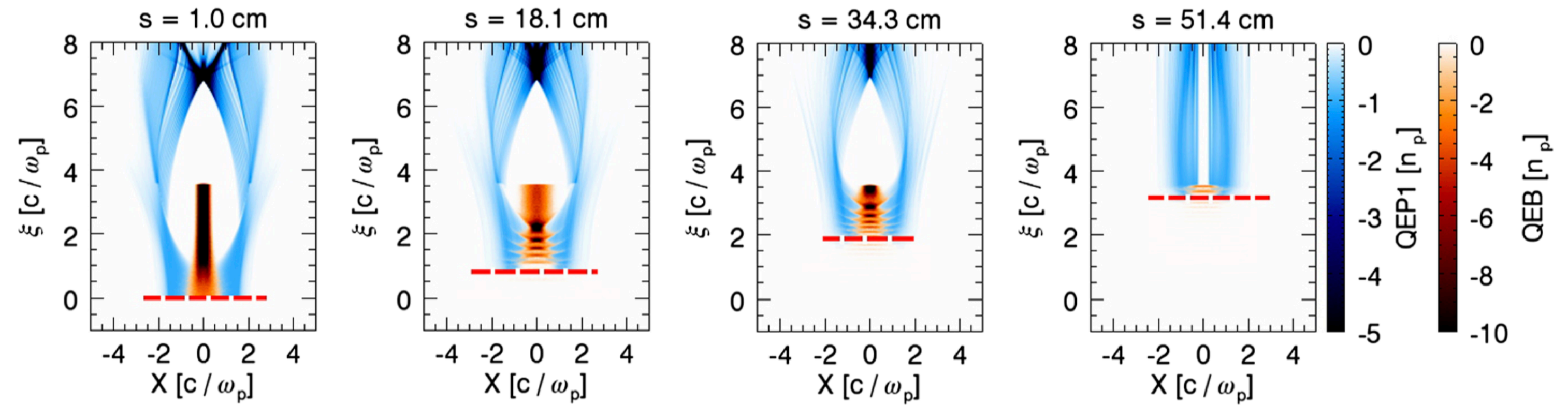
- > decoupling of longitudinal and transverse forces
- > interesting for positron acceleration



Plasma density control - head erosion mitigation

- Front of drive beam not in focussing channel → front is diverging
- Beam erodes from the front “head erosion”
- Etching speed scales with

$$\epsilon_N / \gamma N^{1.5}$$



from An *et al.*, Phys. Rev. STAB 16, 101301 (2013)

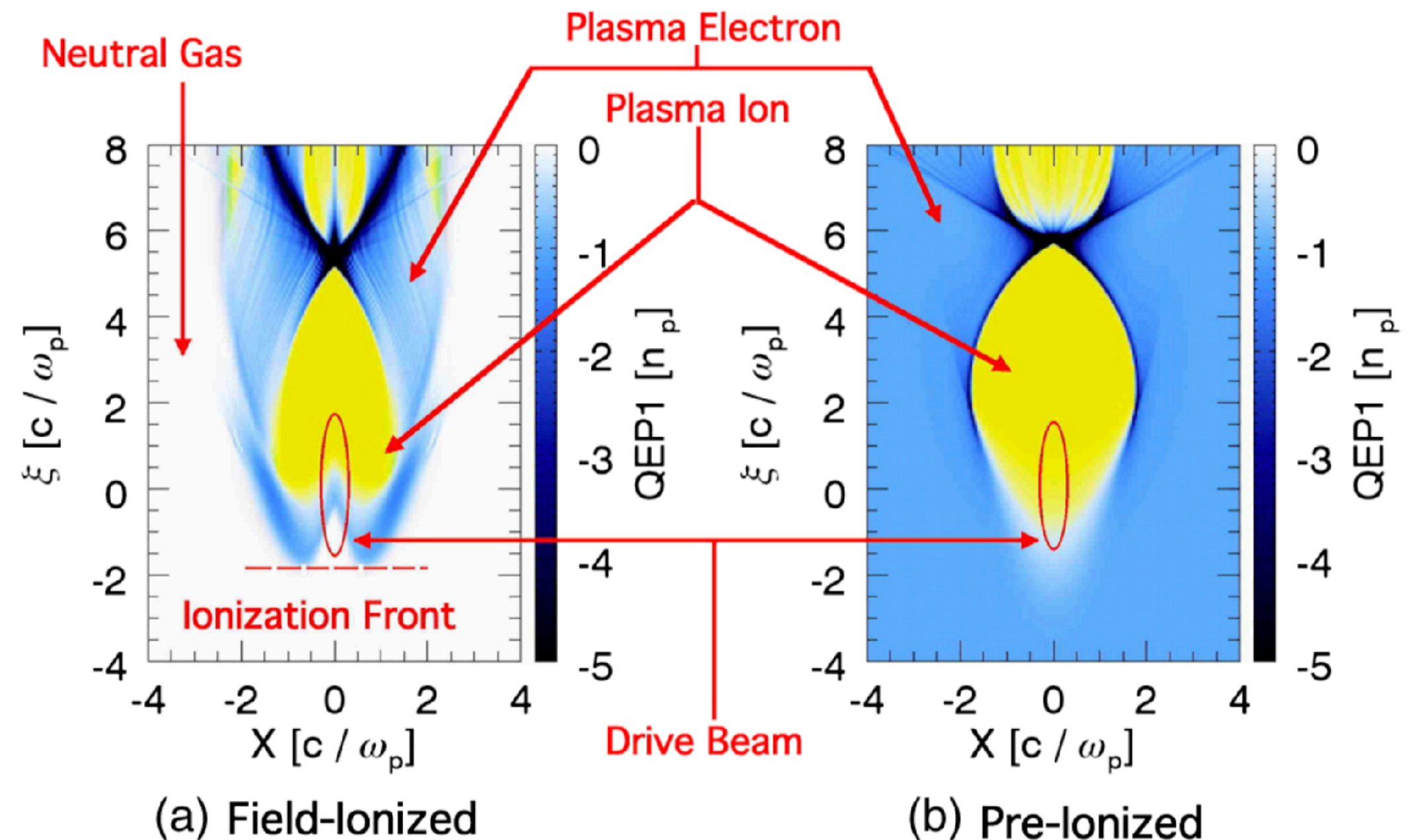
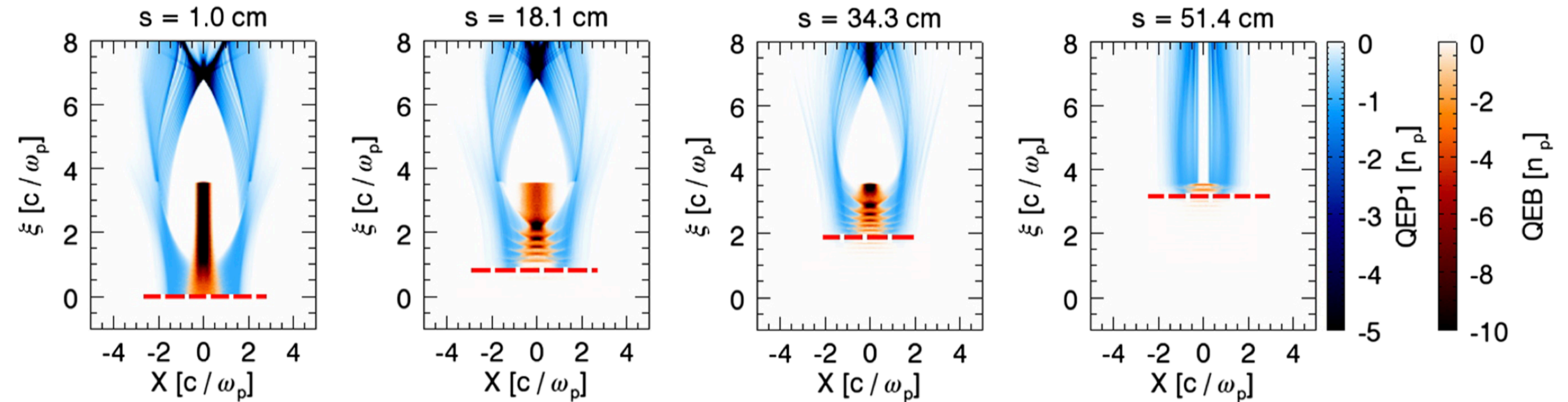
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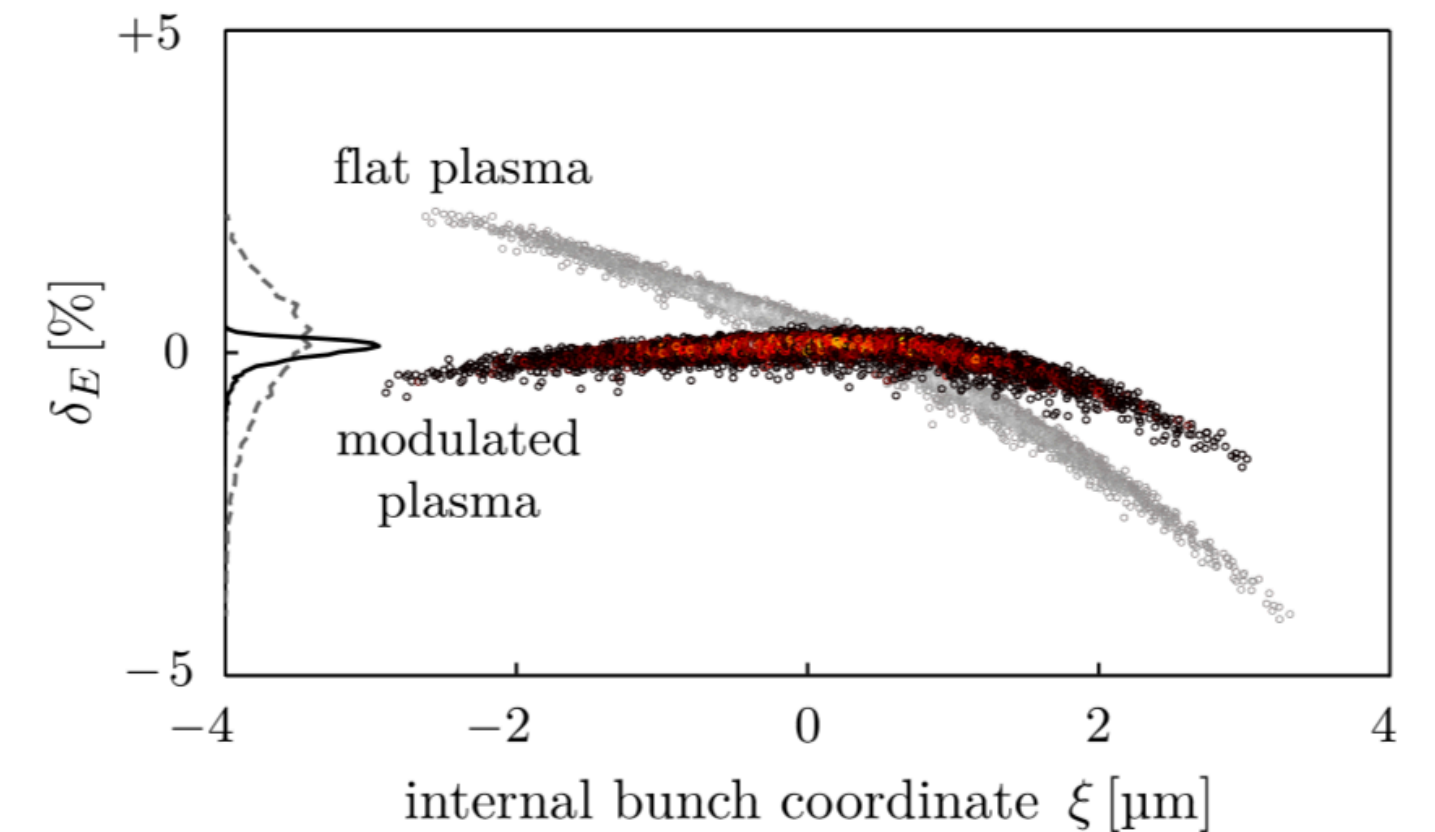
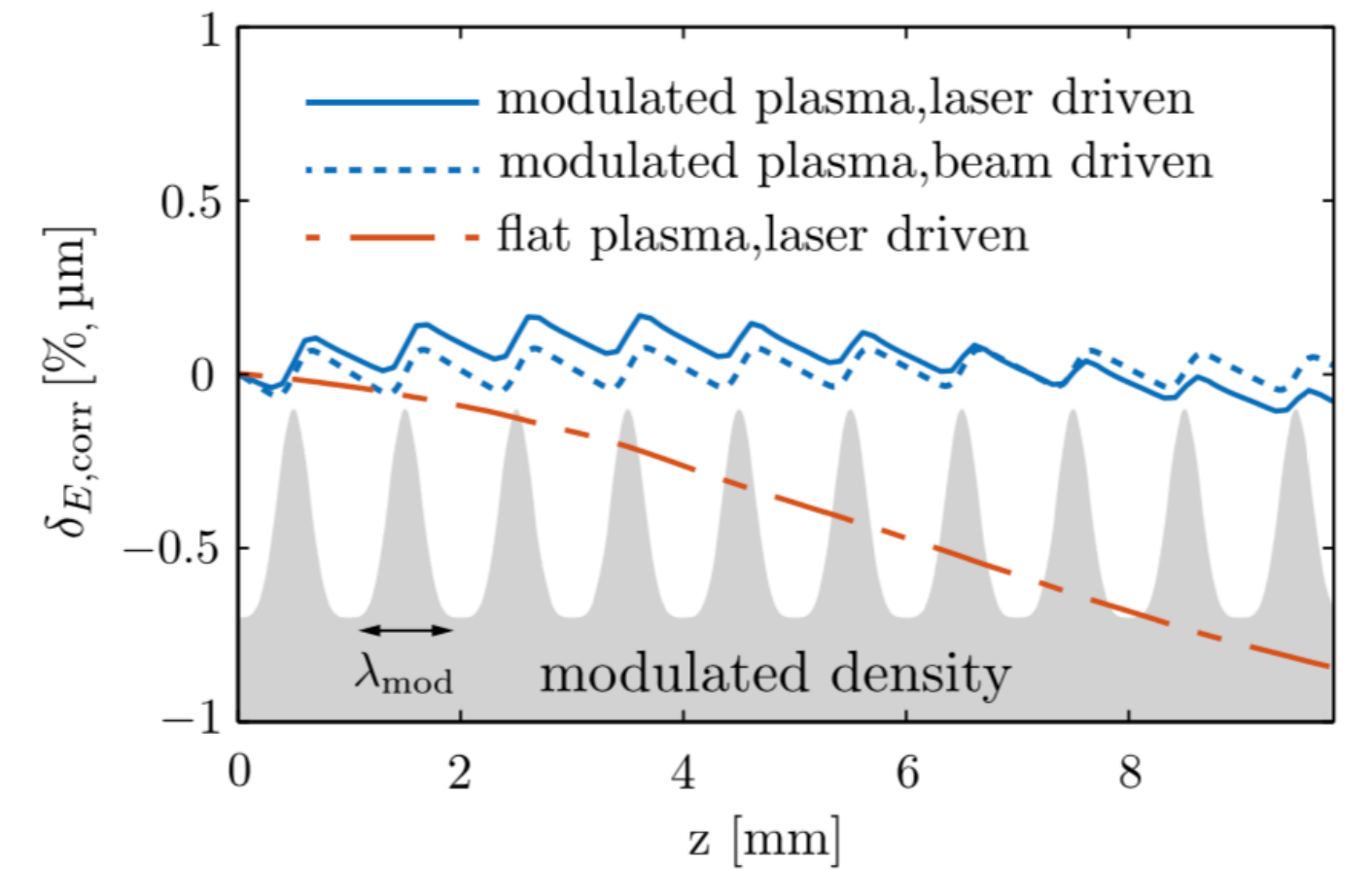
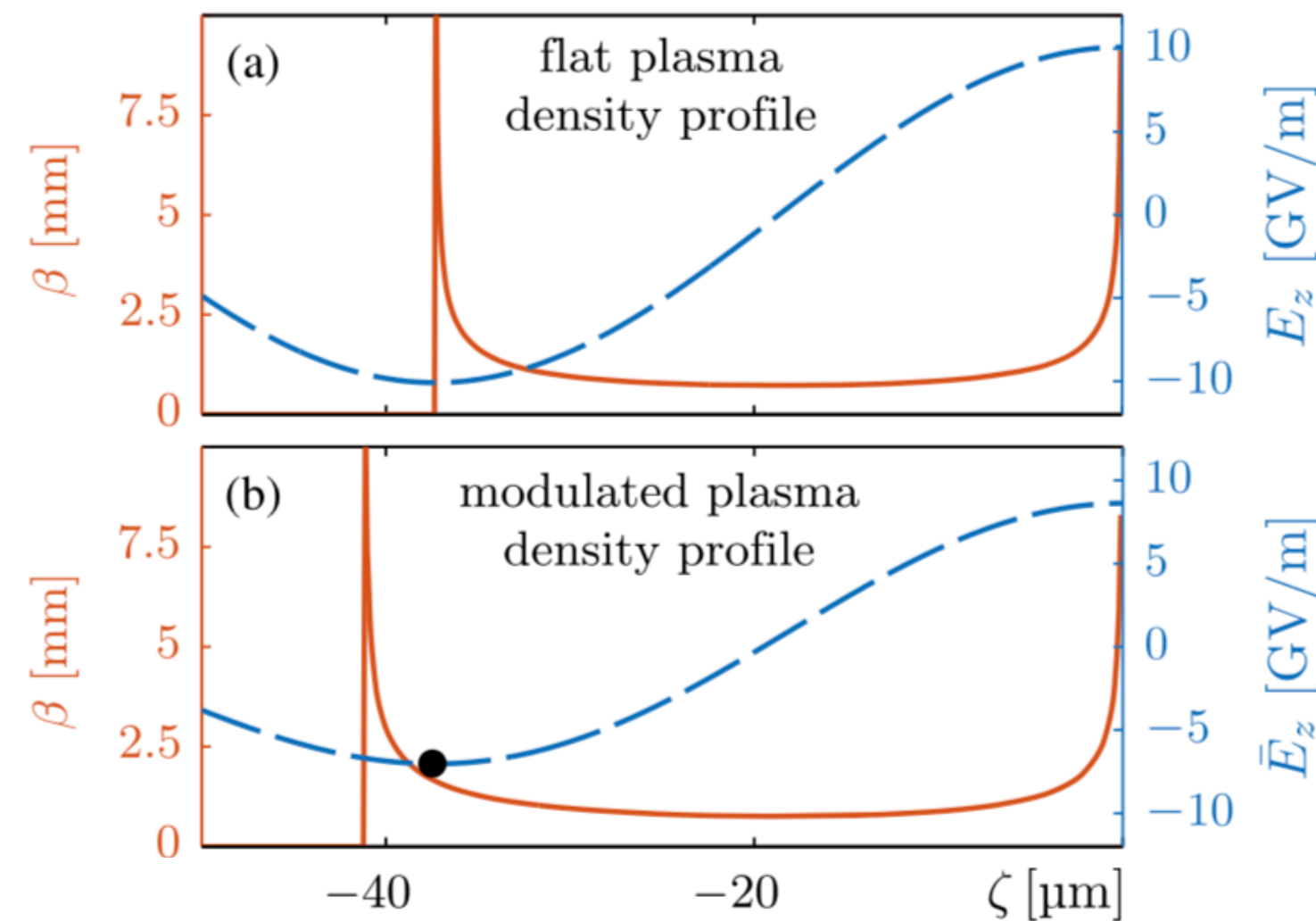
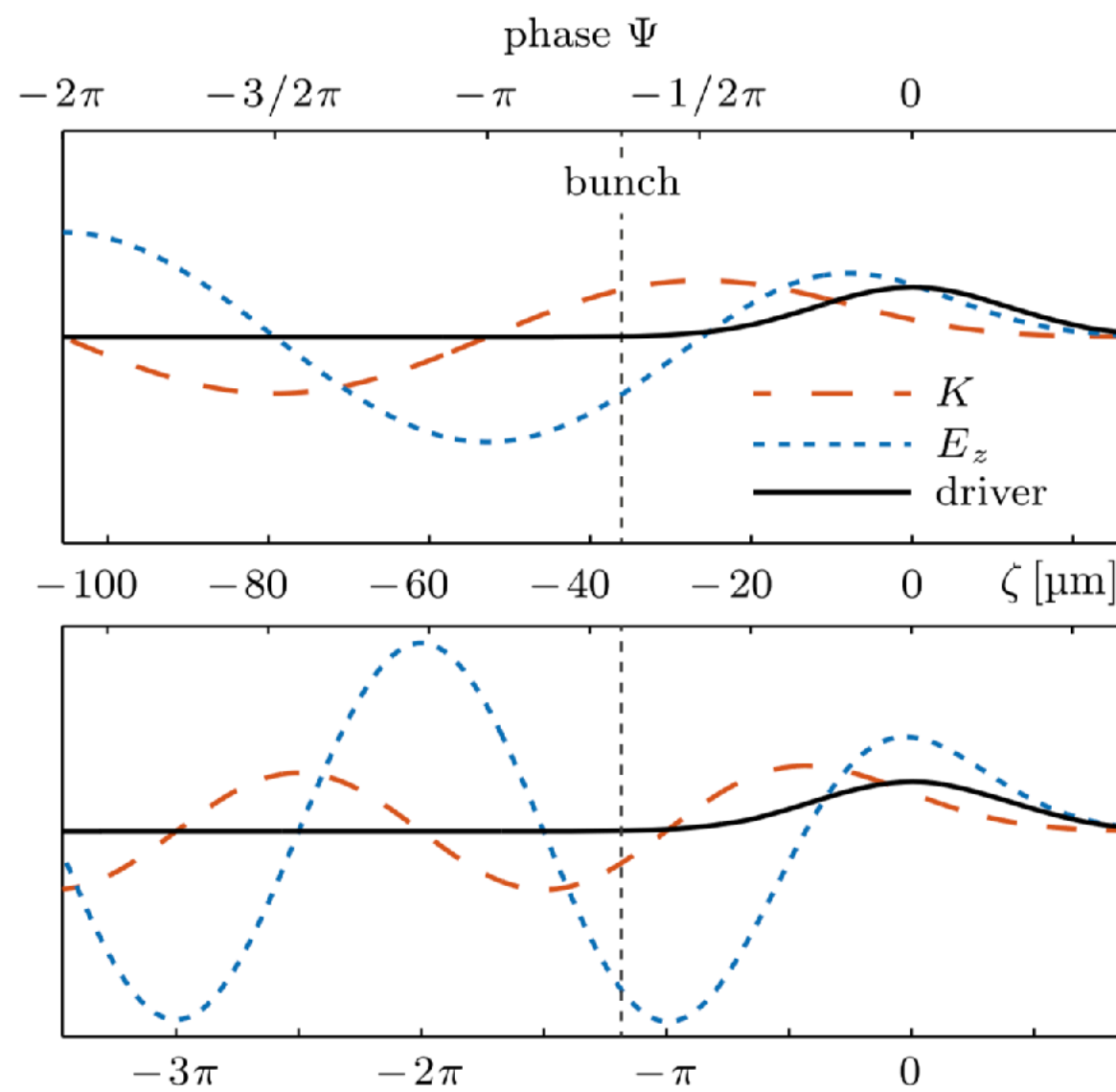
- Laser preionization provides focussing plasma for front of beam



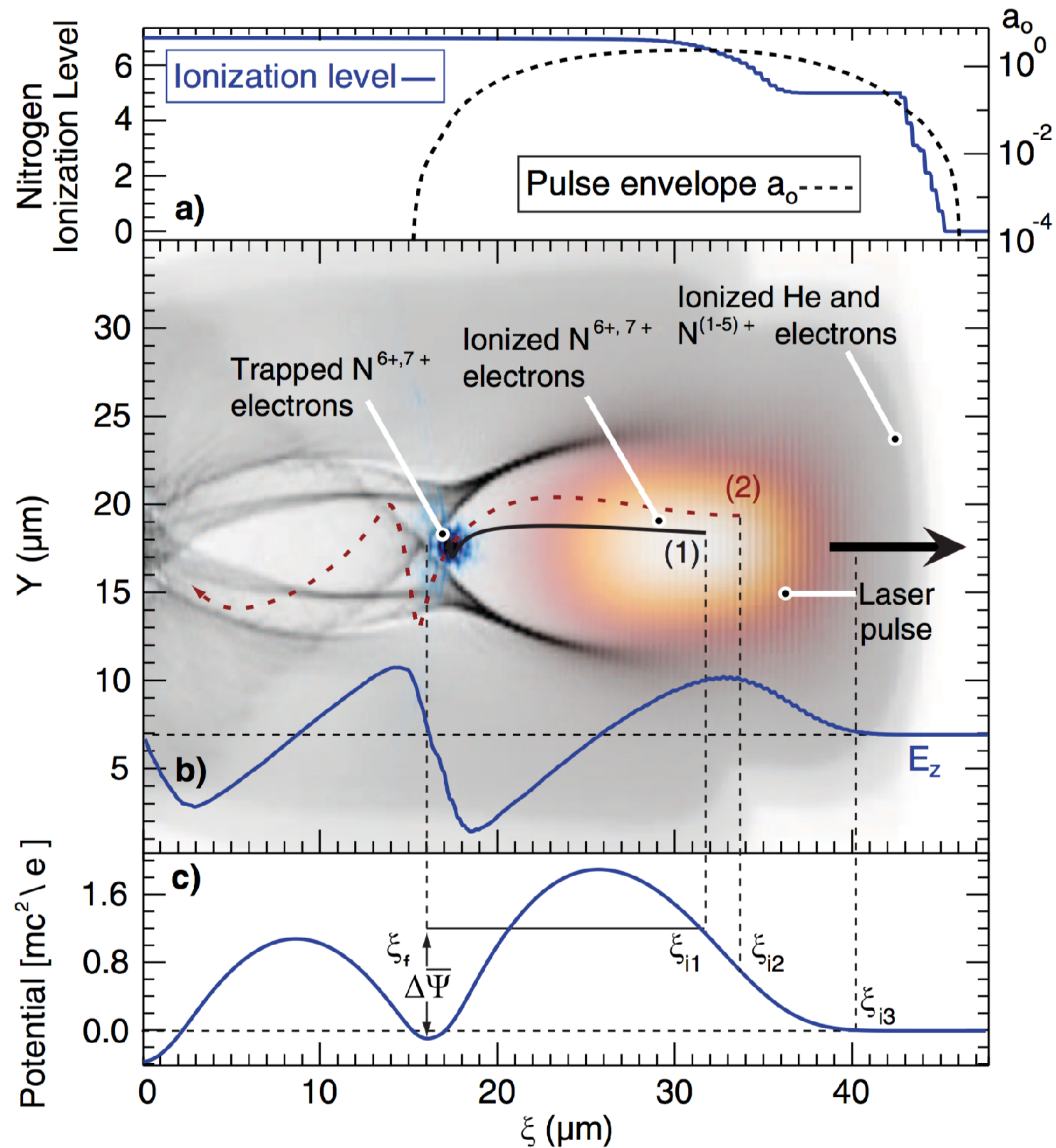
from An *et al.*, Phys. Rev. STAB 16, 101301 (2013)

Plasma density control - chirp mitigation

- Plasma accelerators are operated off-crest (defocussing fields)
- Results in chirped beams (without beamloading)
- *Idea*: alternate plasma densities and generate effective position for stable beam transport and on-crest fields



Plasma constituents control - ionization injection

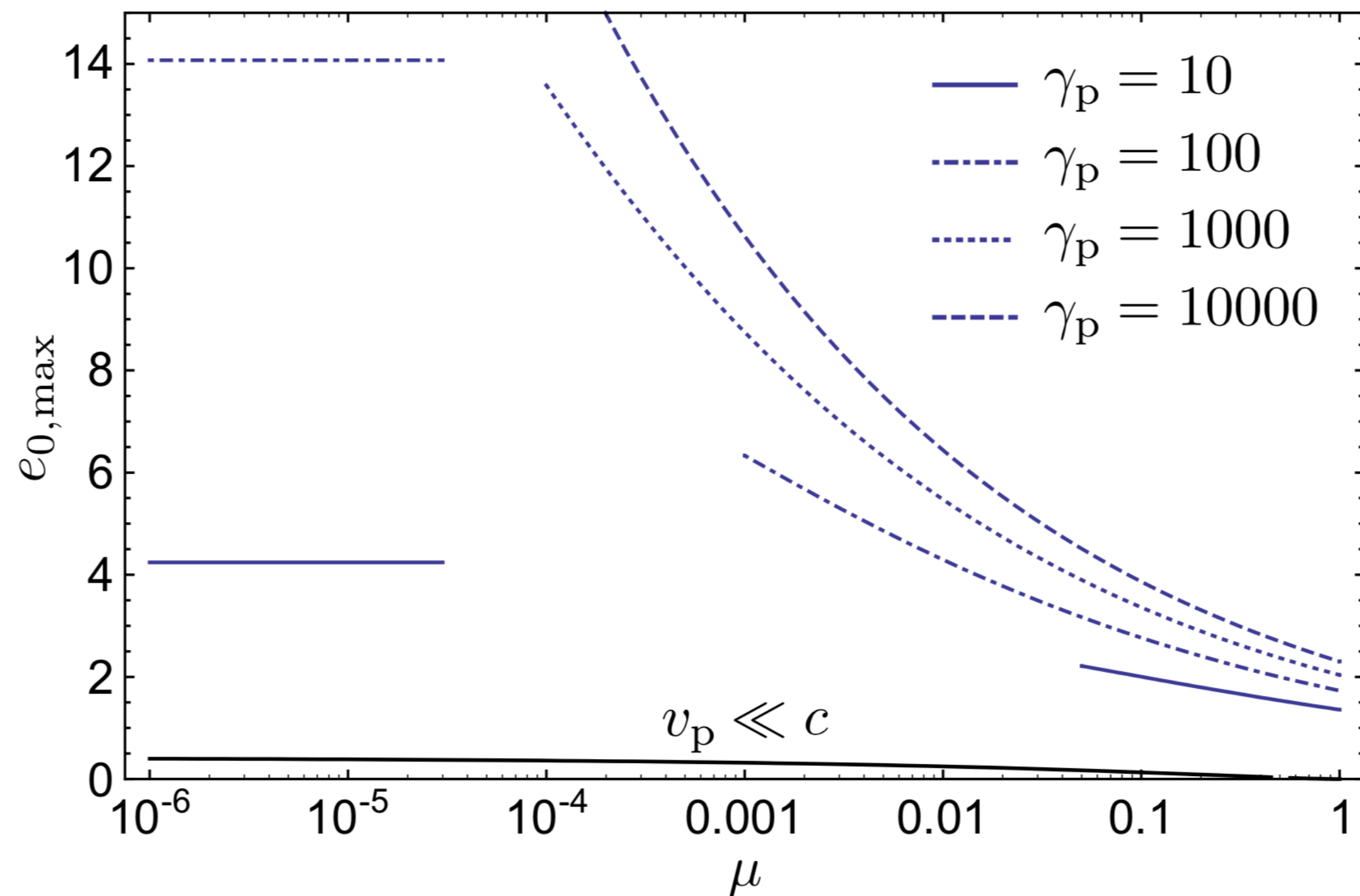


- > Ionization of dopant gas near laser-pulse peak intensity
- > Dopant concentration to tune injected charge and beam loading

idea: D.Umstadter *et al.*, Phys. Rev. Lett. **76**, 2073 (1996)
demonstration: A.Pak *et al.*, Phys. Rev. Lett. **104**, 025003 (2010)
C.McGuffey *et al.*, Phys. Rev. Lett. **104**, 025004 (2010)

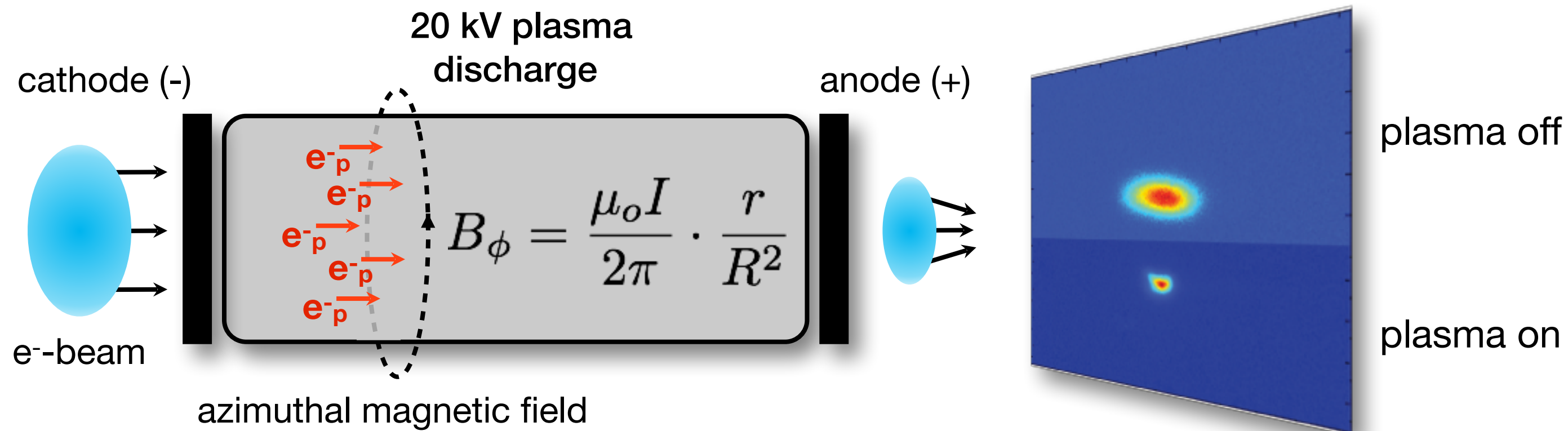
Plasma temperature control

- > Initial plasma temperature $T_e(x,t)$, $T_i(x,t)$ usually small compared to U_p → effects usually neglected
- > Influences wave-breaking threshold only at very high plasma temperatures $\mu = 3k_B T_e (m_e v_p^2)^{-1}$
- > γ_p is relativistic factor associated with phase velocity of wake



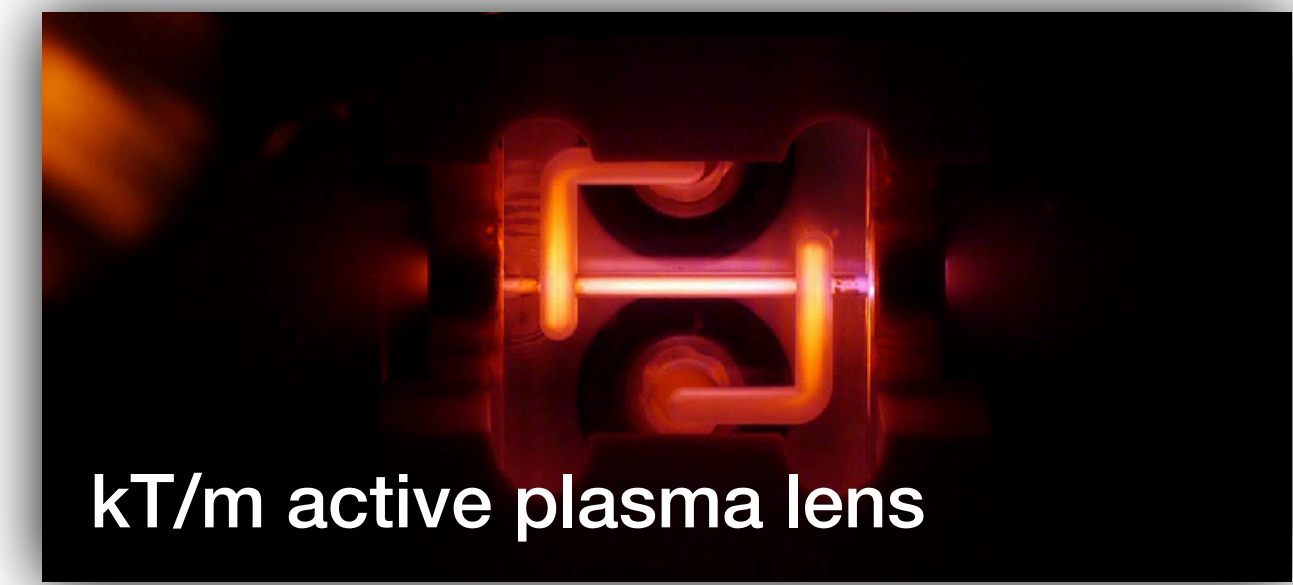
Plasma temperature control - APLs

> Temperature control of crucial importance for active plasma lenses



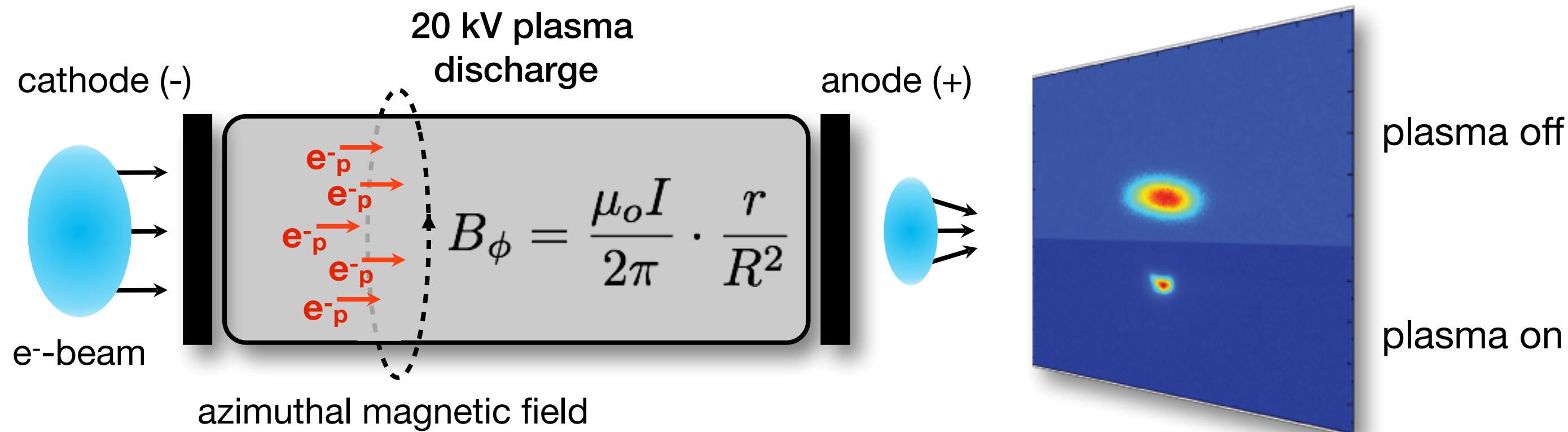
→ $F = I \times B$, tunable and symmetric focussing force for e-beam

*J. van Tilborg et al.,
Phys. Rev. Lett. 115, 184802 (2015)*



Plasma temperature control - APLs

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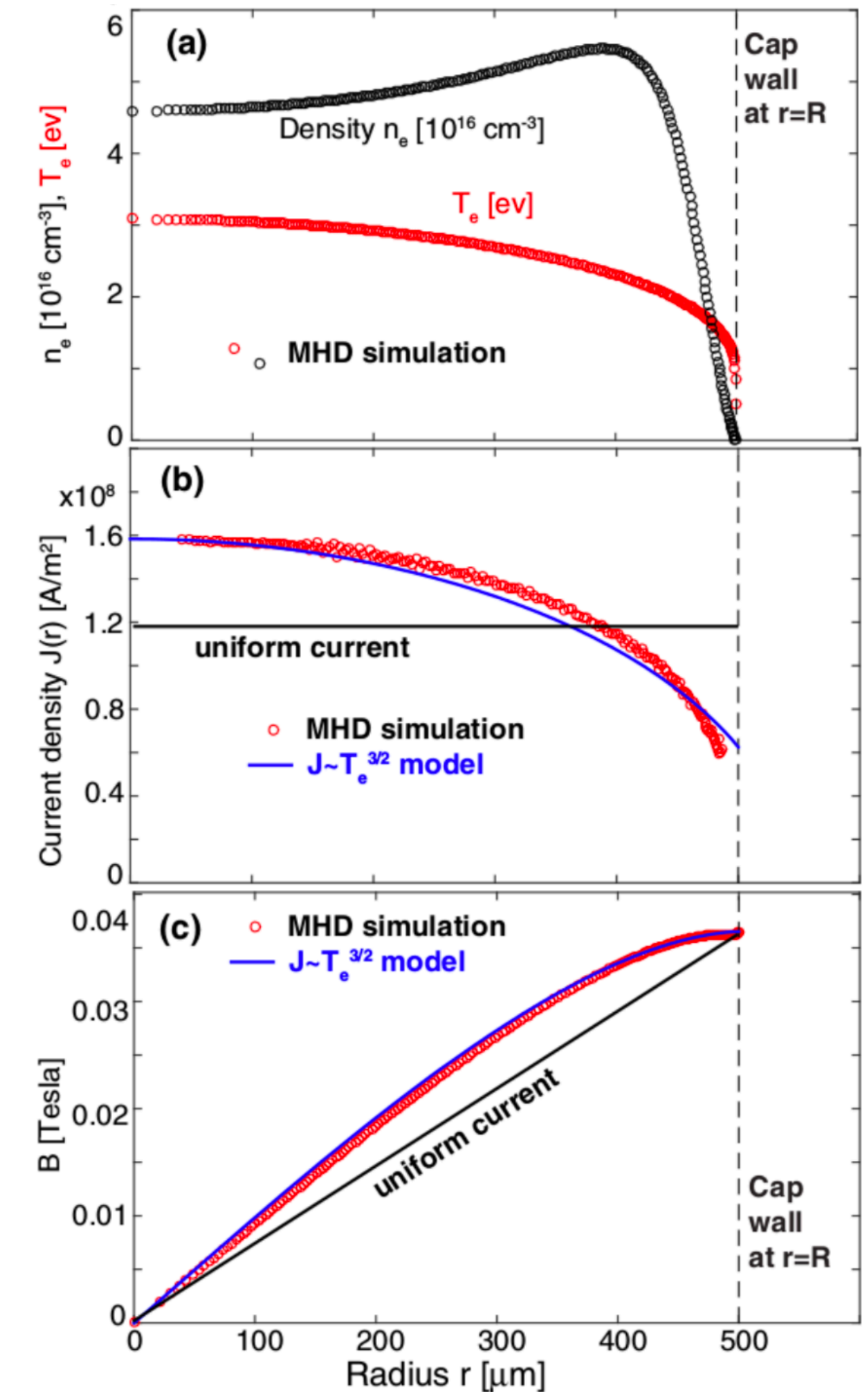
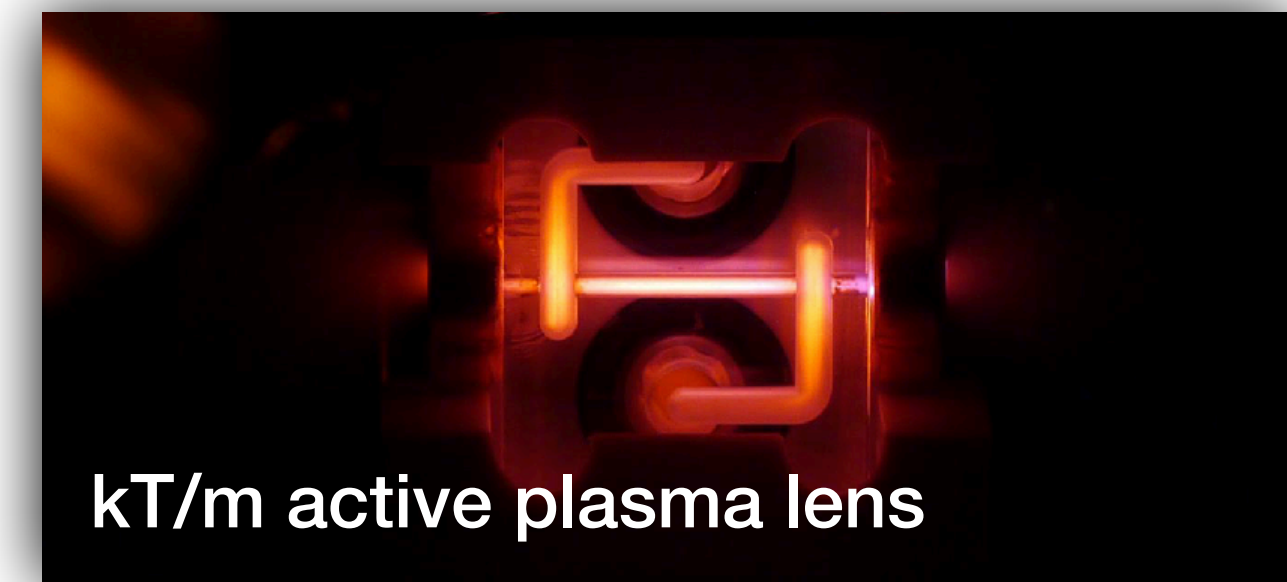


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Phys. Rev. Lett. 115, 184802 (2015)*

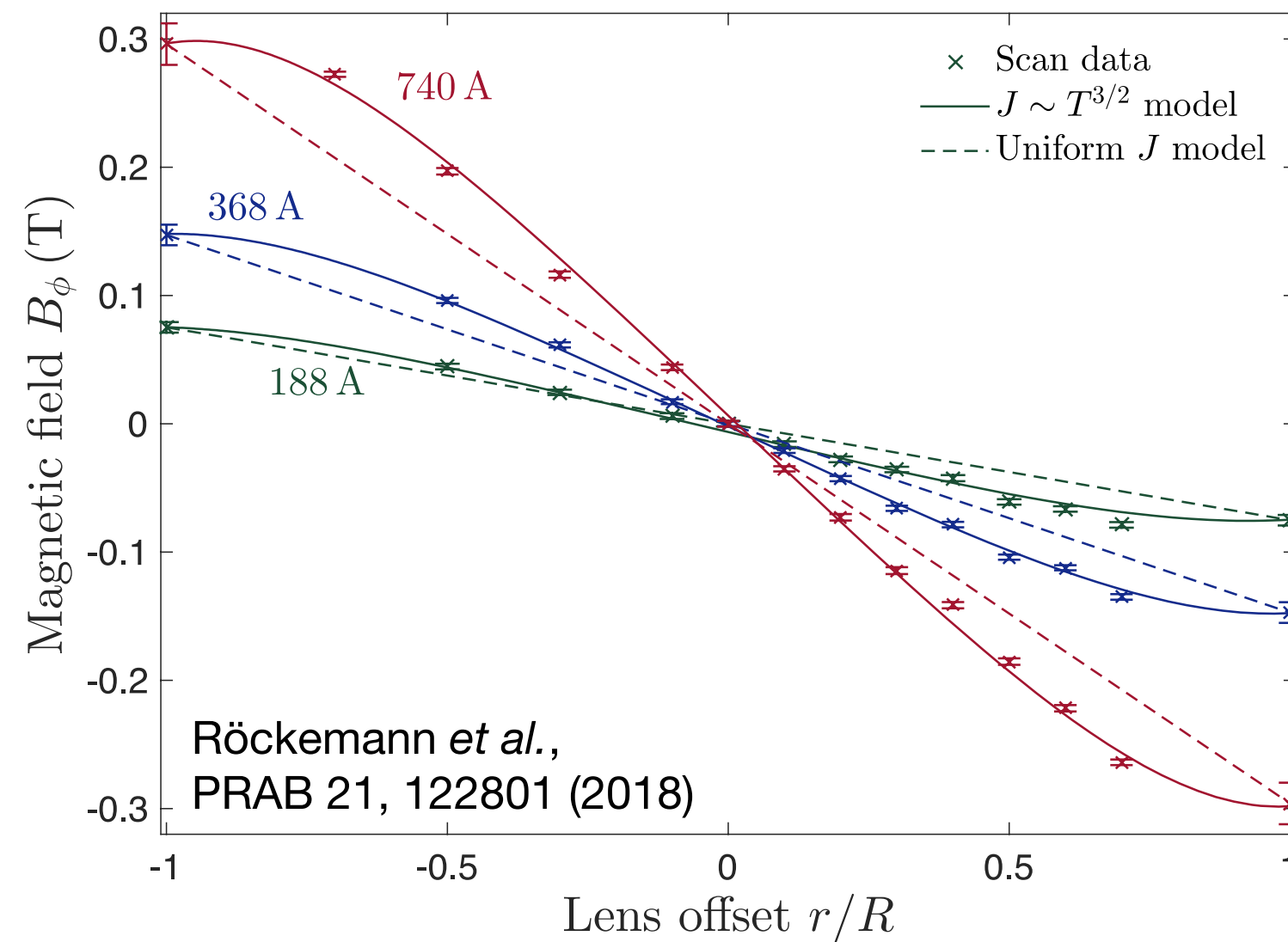
> Plasma heated by current, cooled on walls

- results in transverse density and temperature gradient
- ohmic resistance depends on temperature
- local current density depends on local temperature
- leads to B-field inhomogeneities
 - nonlinear focussing fields → emittance growth



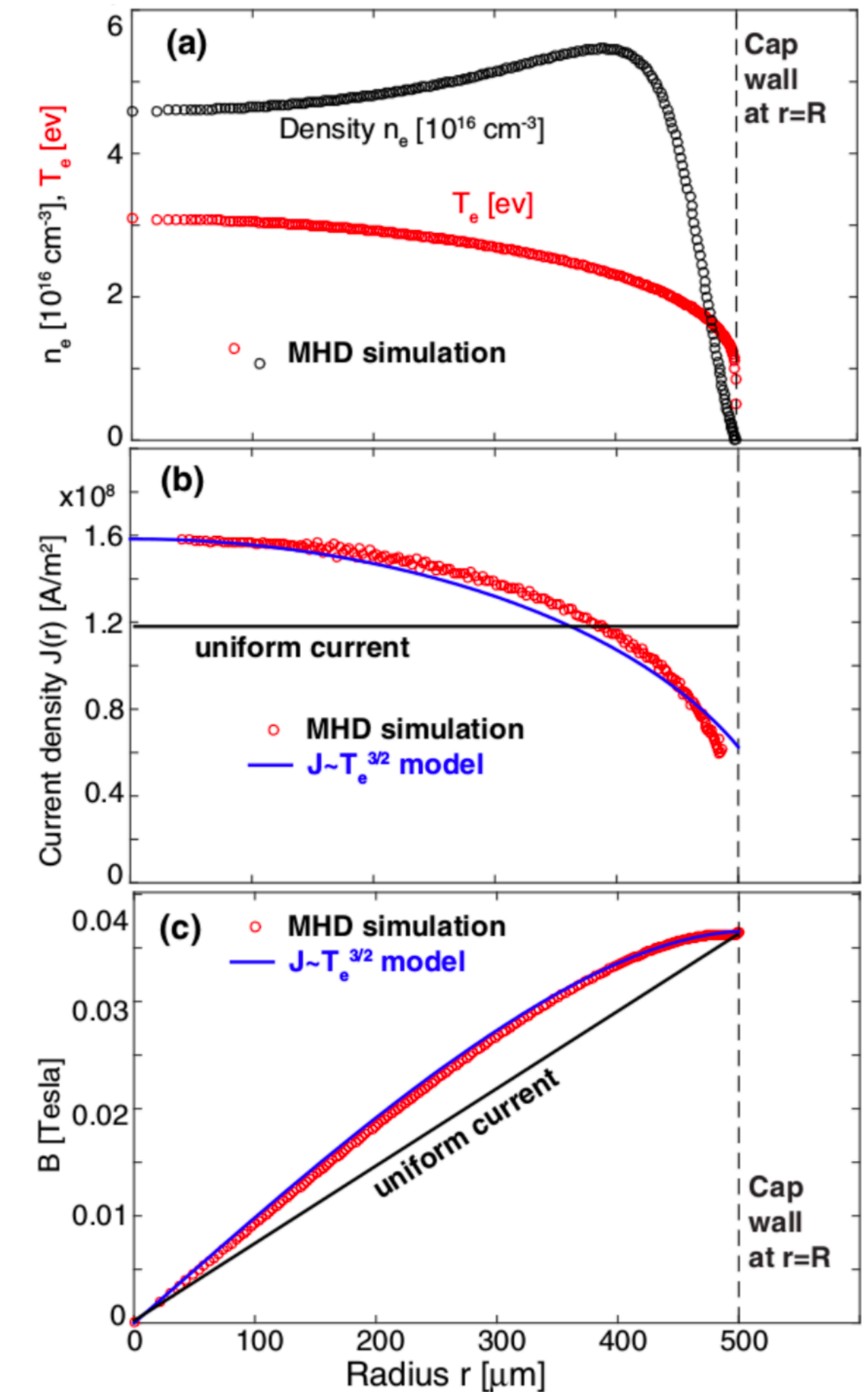
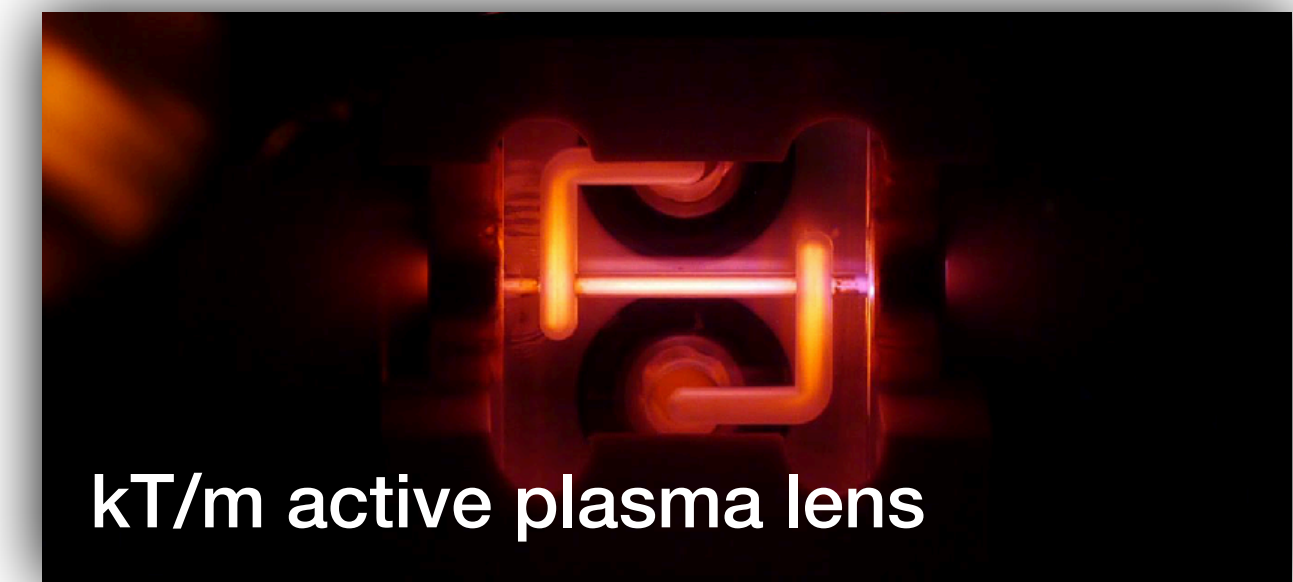
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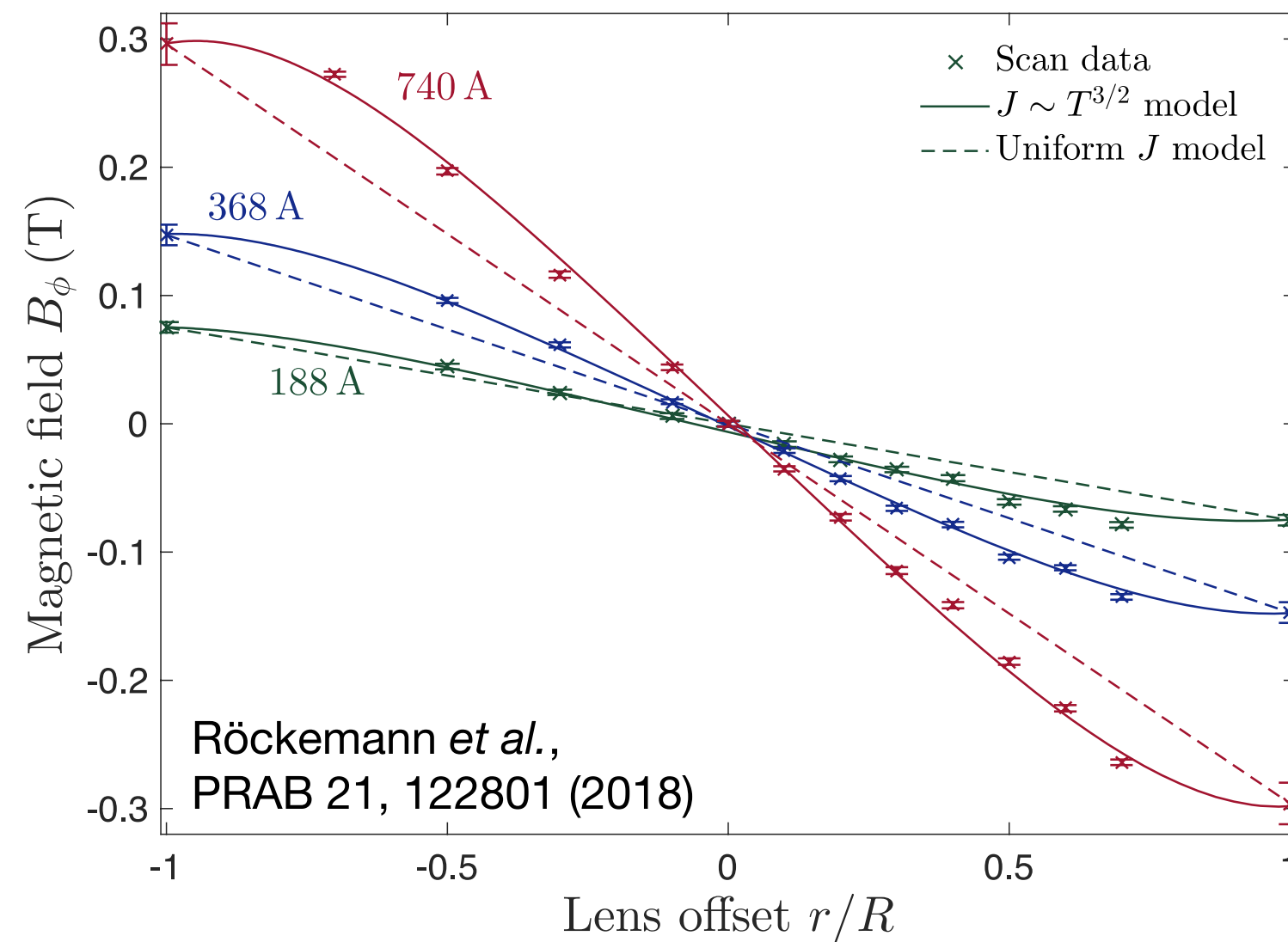
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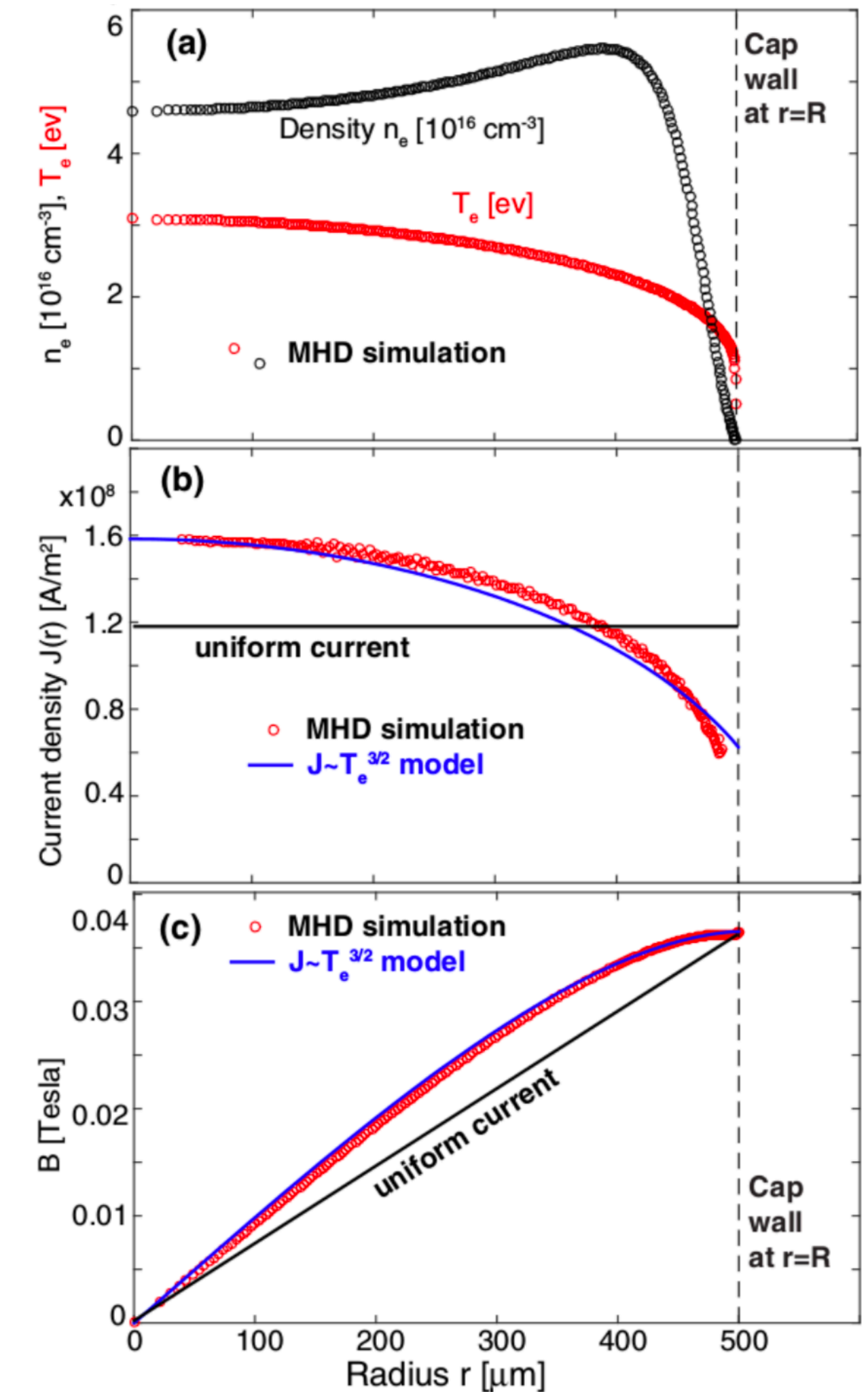
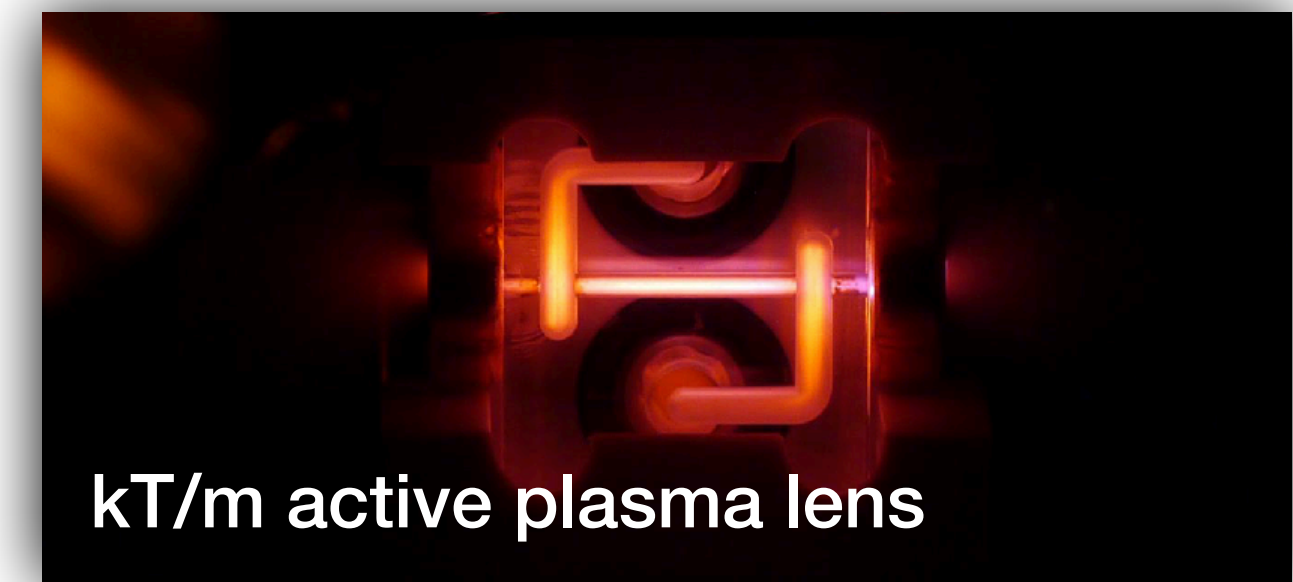
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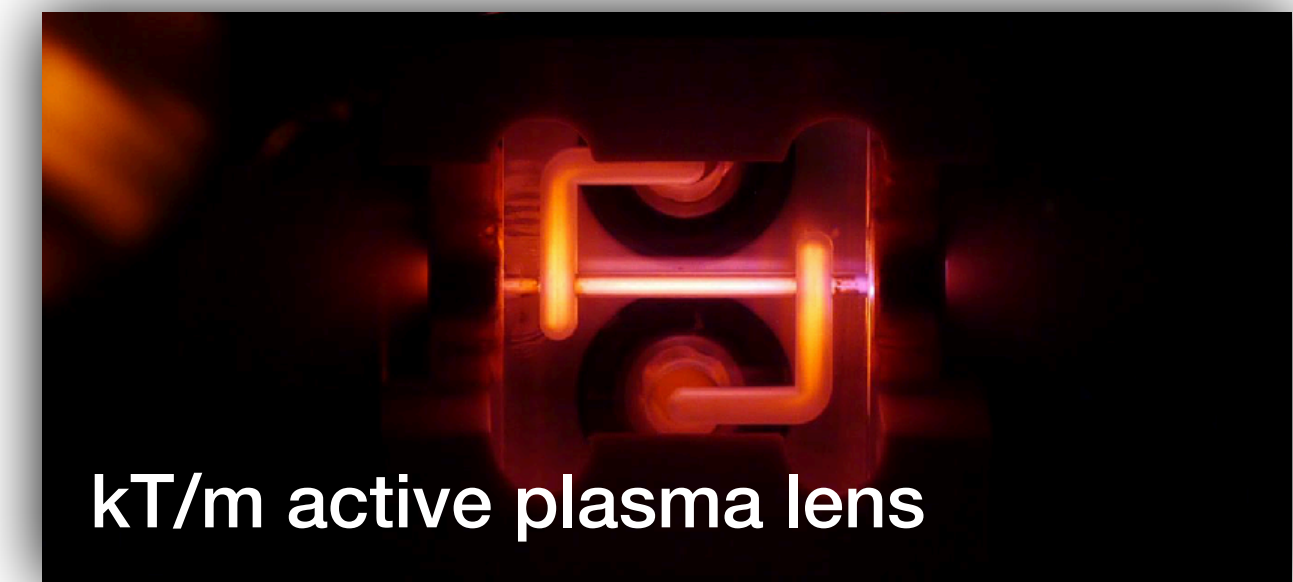
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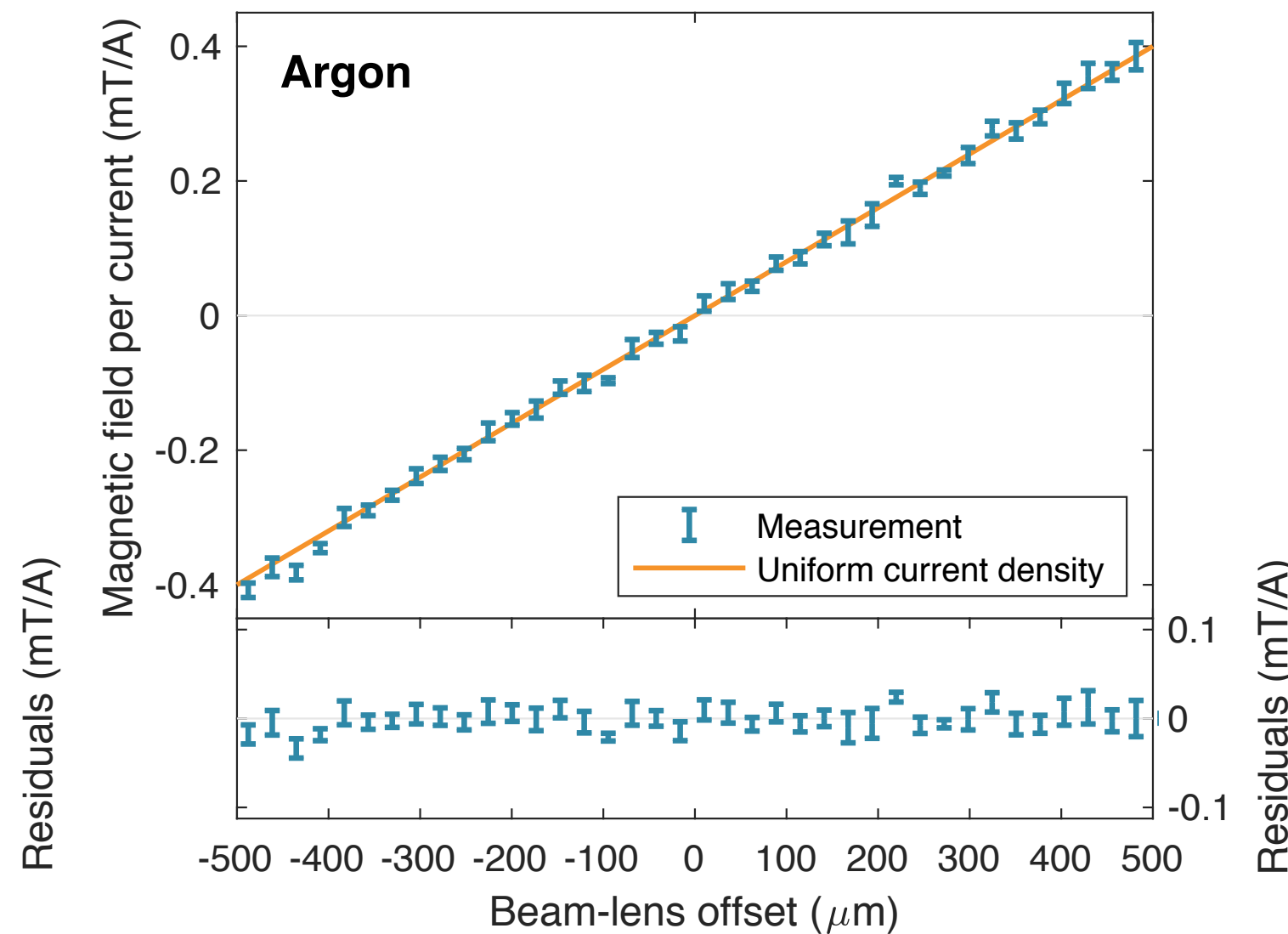
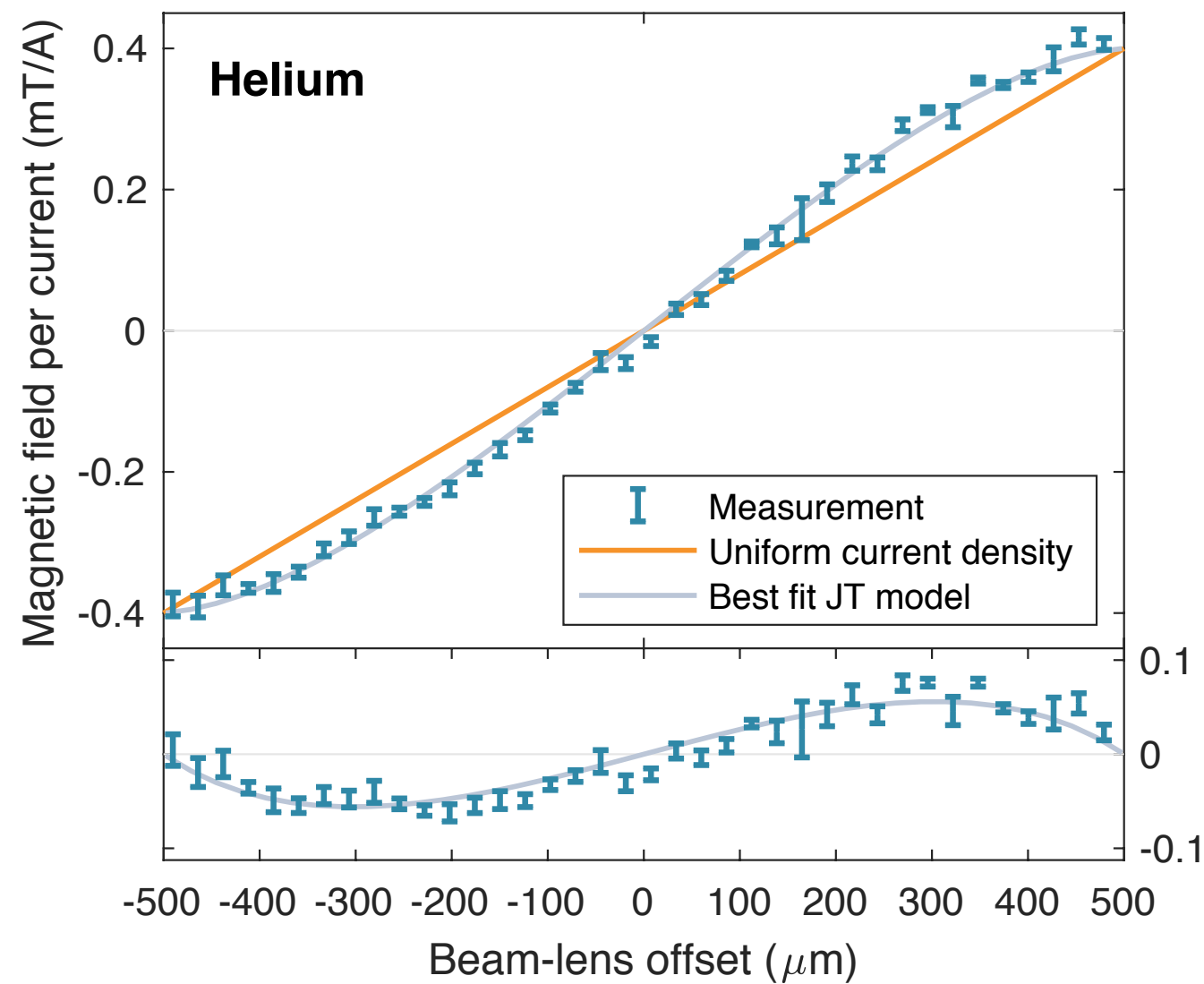
> APLs need to be used long before thermal equilibrium is reached, when current density is still uniform



Plasma temperature control - APLs



➤ Temperature control of crucial importance for active plasma lenses



C. A. Lindstrom *et al.*,
Phys. Rev. Lett. 121, 194801(2018)

- Substitute Hydrogen/Helium with Argon to extend timescale of temperature equilibration $\propto m_{\text{ion}}$
- Experiment at CLEAR, CERN: 216 MeV electrons, 50 μm rms size, 3 μm norm. emittance, 410 A current at 70 ns
- Argon: emittance conservation measured
Helium: emittance not conserved

Summary of Plasma Sources I

> *Today*

- Design aspects for a plasma source
- *Concepts:* plasma generation mechanisms
- *Concepts:* tailoring plasma properties to control wakefield processes

> *Tomorrow:* technical implementation and examples

