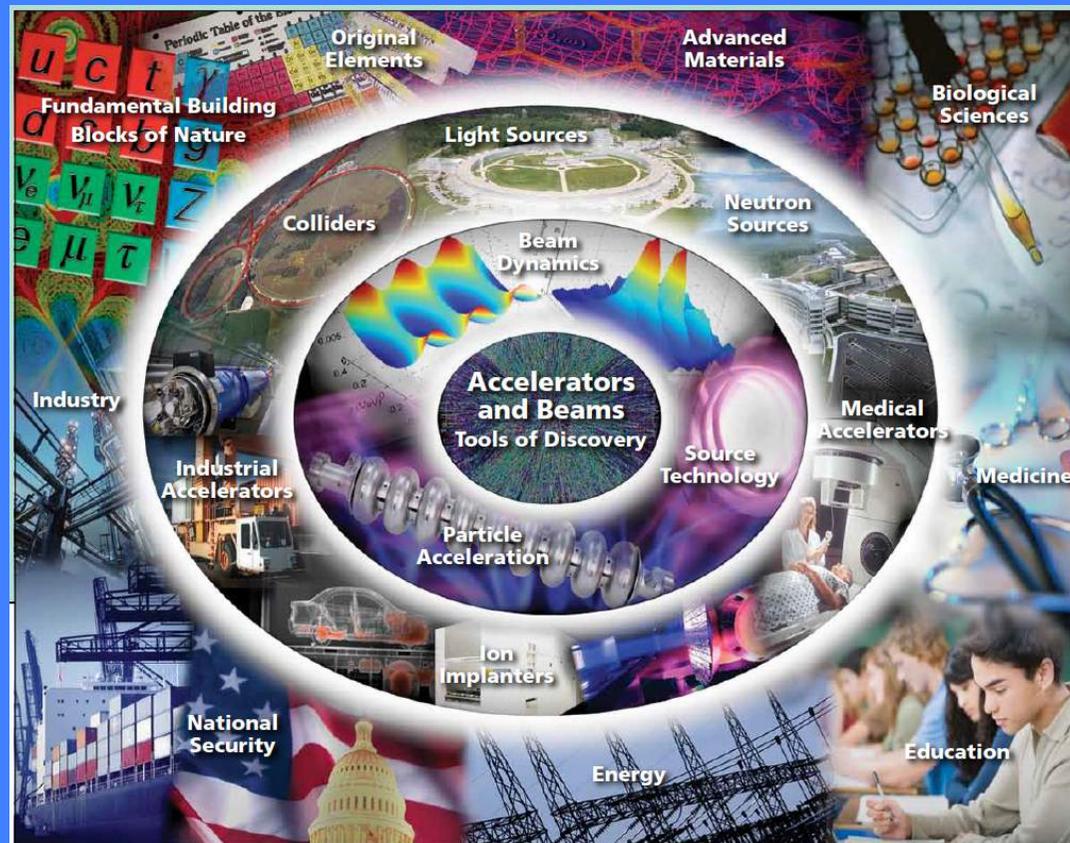


Conventional accelerators and their limits

Massimo.Ferrario@LNF.INFN.IT



The Chromatron

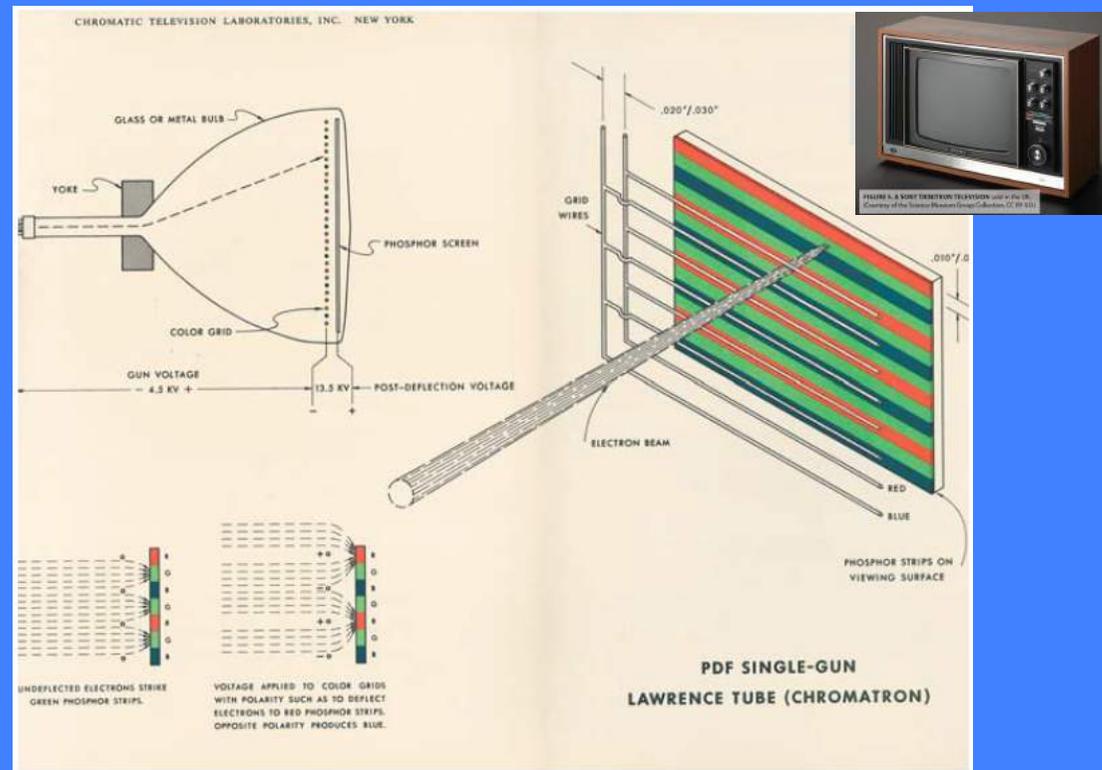
Ernest Lawrence's brilliant failure

Joshua Roebke

Citation: *Physics Today* 72, 3, 32 (2019); doi: 10.1063/PT.3.4162

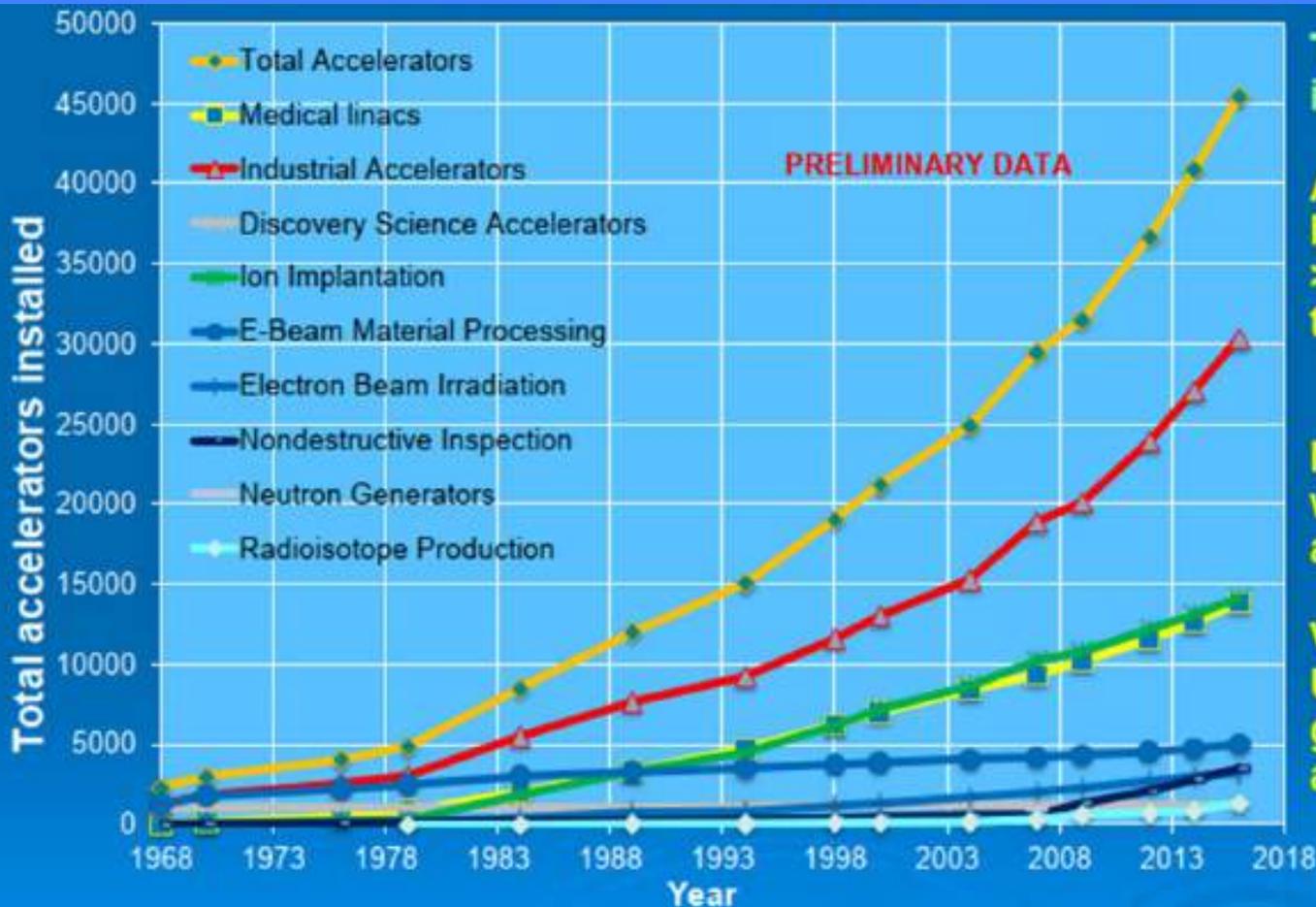
View online: <https://doi.org/10.1063/PT.3.4162>

The physics of beams applied equally well to the electrons that transmitted sitcoms and to those that revealed the existence of quarks. Physicists readily adapted their expertise to improving broadcasts and sets.



Ernest Lawrence founded a television “start-up” company (**Chromatic**) that employed dozens of physicists, including two future Nobel laureates—**Luis Alvarez** and **Edwin McMillan**.

Accelerators installed worldwide



Total sales of accelerators is ~US\$5B annually

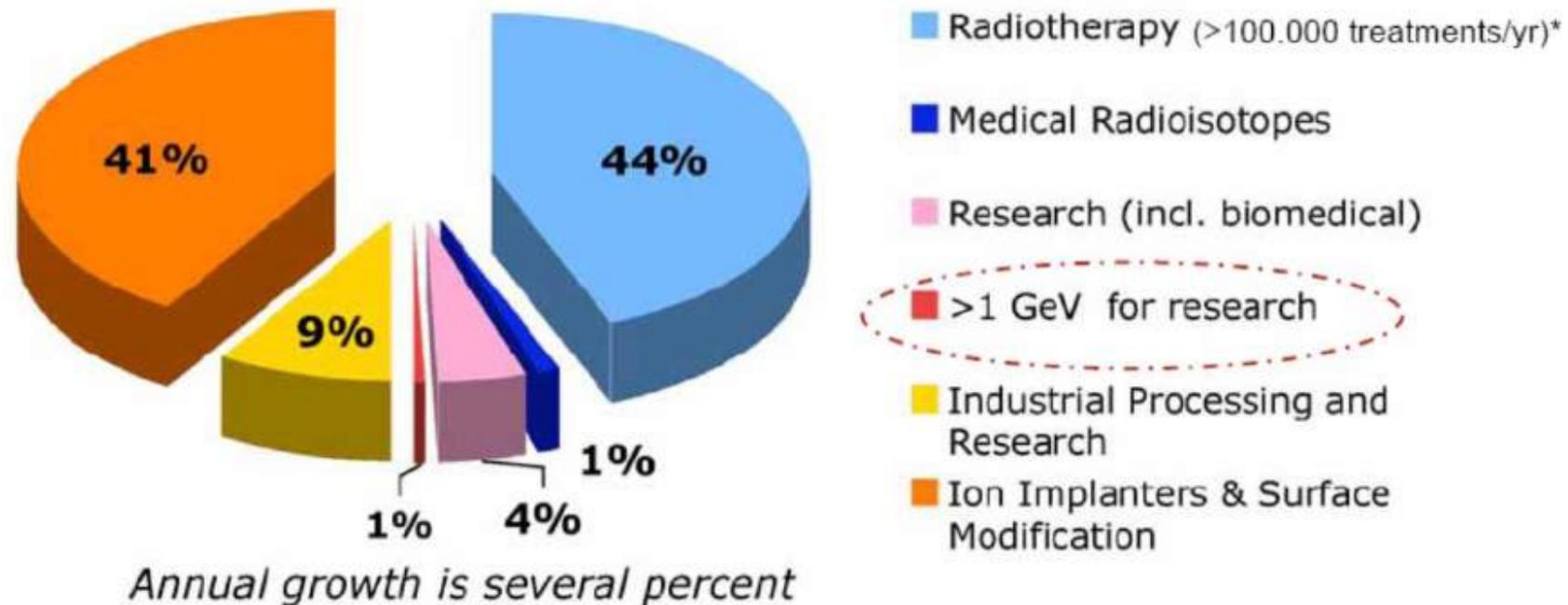
About 47,000 systems have been sold,
> 40,000 still in operation today

More than 100 vendors worldwide are in the accelerator business.

Vendors are primarily in US, Europe and Japan, but growing in China, Russia and India

-Accelerators for Americas Future Report, pp. 4, DoE, USA, 2011

Accelerators installed worldwide



-Accelerators for Americas Future
Report, pp. 4, DoE, USA, 2011

Some application

The Beam Business

The beam business: Accelerators in industry

Robert W. Hamm, and Marianne E. Hamm

Citation: Physics Today **64**, 6, 46 (2011); doi: 10.1063/1.3603918

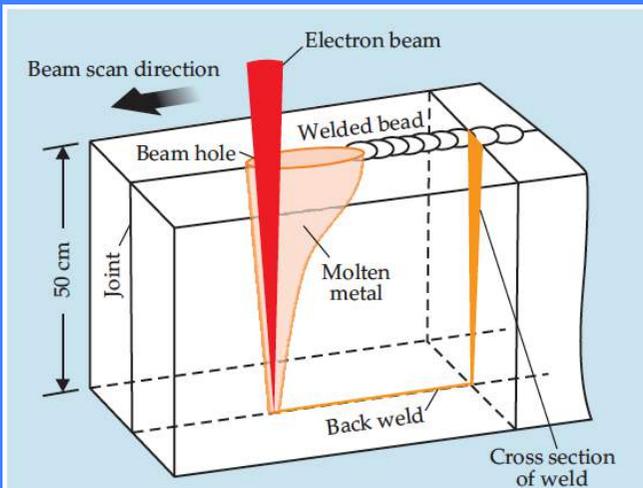
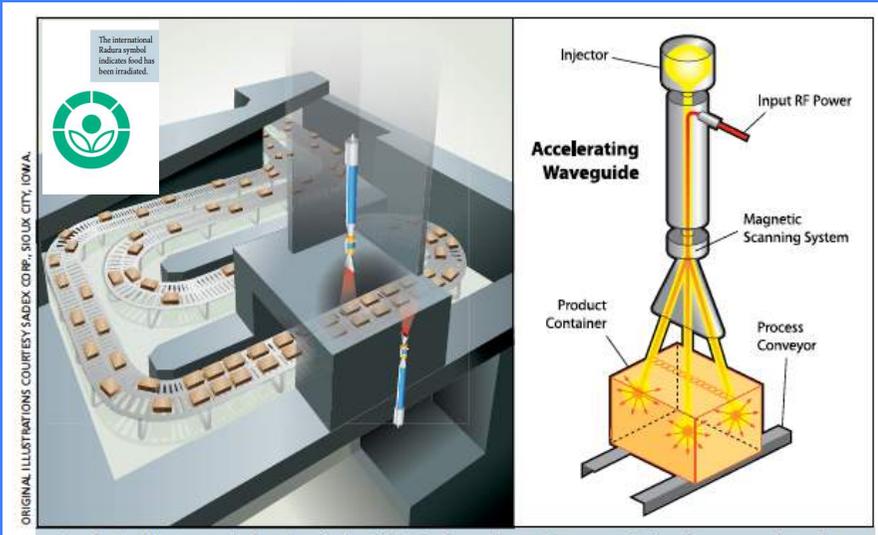
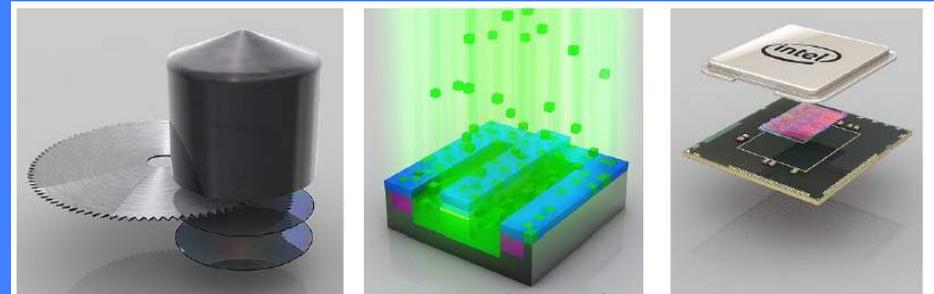


Figure 3. Deep, precision industrial welding of metals is done by high-energy-density electron beams. The beam is scanned along the joint to be welded. When it strikes the metal, the resultant heat instantly vaporizes the metal to form a channel as the beam penetrates into the work piece. Molten material flows around the hole and solidifies to form the weld. The method can produce welds with depth-to-width ratios up to 25:1. (Drawing courtesy of PTR-Precision Technologies, Enfield, CT.)



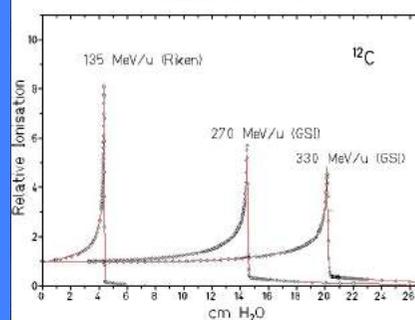
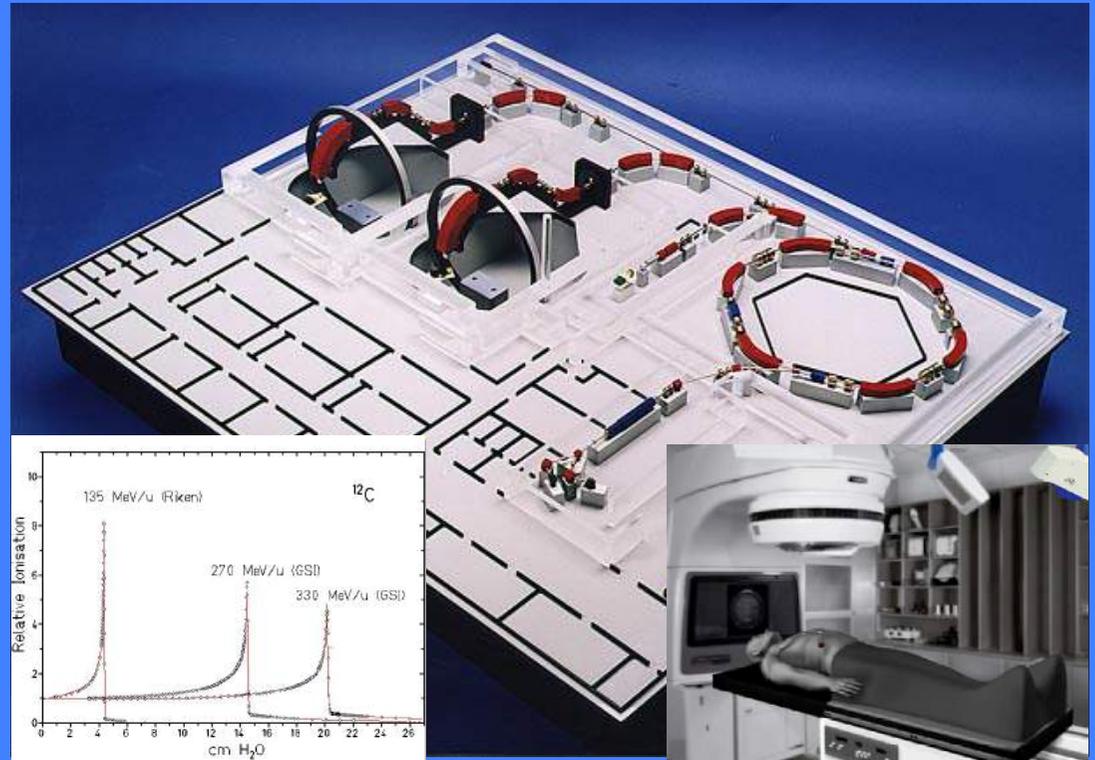
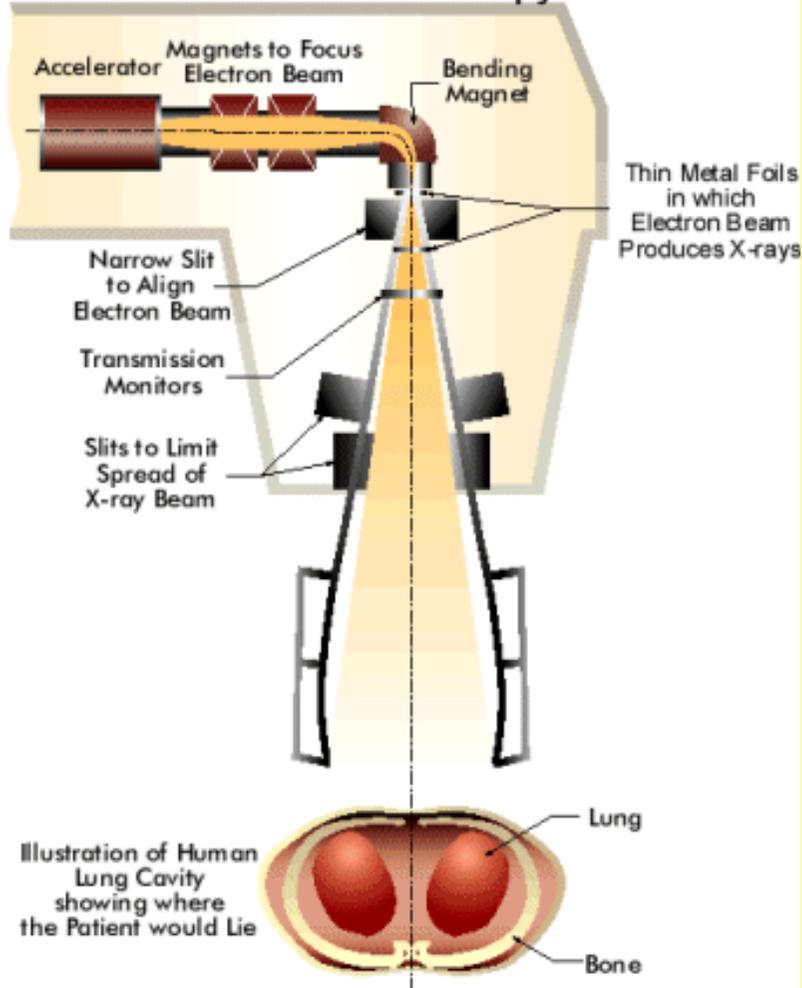
Images courtesy of Intel

Ion Implantation

Area	Application	Beam	Accelerator	Beam energy/MeV	Beam current/mA	Number
Industrial	Ion implantation	B, As, P	electrostatic	< 1	2	>11000
	Ion beam analysis	p, He	electrostatic	<5	10 ⁻⁴	300
	Material processing	e	electrostatic, linac, Rhodatron	≤10	150	7500
	Sterilisation	e	electrostatic, linac, Rhodatron	≤10	10	3000

Medical Applications

Schematic diagram of a typical medical accelerator used in cancer radiotherapy.



Area	Application	Beam	Accelerator	Beam energy/MeV	Beam current/mA	Number
Medical	Cancer therapy	e	linac	4-20	10^{-2}	>14000
		p	cyclotron, synchrotron	250	10^{-6}	60
		C	synchrotron	4800	10^{-7}	10
	Radioisotope production	p	cyclotron	8-100	1	1600

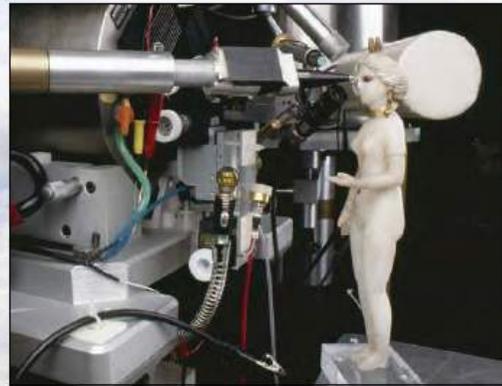
National Security



Cultural Heritage

Why is an accelerator under the Louvre museum?

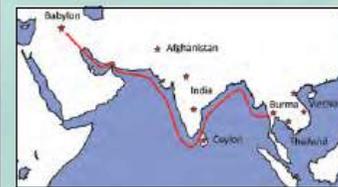
AGLAE, Accélérateur Grand Louvre d'Analyse Élémentaire in Paris, is the world's only accelerator facility fully dedicated to the study and investigation of works of art and archeological artifacts. It serves more than 1200 French museums. The 4-million-electron-volt proton beam delicately probes a large variety of materials: jewels, ceramics, glass, alloys, coins and statues, as well as paintings and drawings. These investigations provide information on the sources of the materials, the ancient formulas used to produce them, and the optimal ways to preserve these treasures.



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The Story of Ishtar

In 1863, while excavating a tomb from the ancient Parthian civilization in Mesopotamia (200 BC – 200 AD), an amateur archeologist who was the French consul in Baghdad discovered this 5-inch-tall alabaster figurine representing the goddess Ishtar. He donated it to the Louvre. Recently a Louvre curator asked the AGLAE team to analyze the figurine's red eyes and red navel. The inlays turned out to be exquisite rubies, a great mystery since rubies are only found in remote lands like India or Southeast Asia. Analysis of rubies with known provenance from Paris jewelers yielded trace-element fingerprints showing that Ishtar's rubies originated in Burma — testifying to an unreported trade network (see map), perhaps by ship, between Babylon and Southeast Asia.



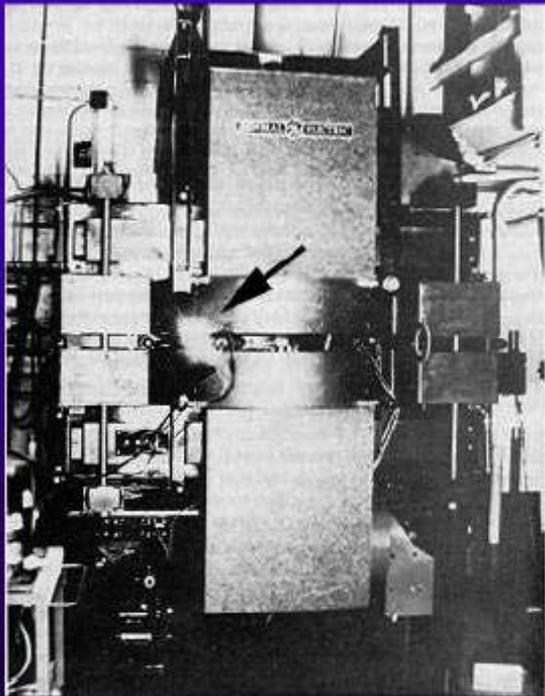
MAP BY T. CALIGARO.

PHOTO BY OLIVIA DIAZ.

Area	Application	Beam	Accelerator	Beam energy/MeV	Beam current/mA	Number
Medical	Cancer therapy	e	linac	4-20	10^{-2}	>14000
		p	cyclotron, synchrotron	250	10^{-6}	60
		C	synchrotron	4800	10^{-7}	10
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Industrial	Ion implantation	B, As, P	electrostatic	< 1	2	>11000
	Ion beam analysis	p, He	electrostatic	<5	10^{-4}	300
	Material processing	e	electrostatic, linac, Rhodatron	≤ 10	150	7500
	Sterilisation	e	electrostatic, linac, Rhodatron	≤ 10	10	3000
Security	X-ray screening of cargo	e	linac	4-10	?	100?
	Hydrodynamic testing	e	linear induction	10-20	1000	5
Synchrotron light sources	Biology, medicine, materials science	e	synchrotron, linac	500-10000		70
Neutron scattering	Materials science	p	cyclotron, synchrotron, linac	600-1000	2	4
Energy - fusion	Neutral ion beam heating	d	electrostatic	1	50	10
	Heavy ion inertial fusion	Pb, Cs	Induction linac	8	1000	Under development
	Materials studies	d	linac	40	125	Under development
Energy - fission	Waste burner	p	linac	600-1000	10	Under development
	Thorium fuel amplifier	p	linac	600-1000	10	Under development
Energy - bio-fuel	Bio-fuel production	e	electrostatic	5	10	Under development
Environmental	Water treatment	e	electrostatic	5	10	5
	Flue gas treatment	e	electrostatic	0.7	50	Under development

Synchrotron Radiation

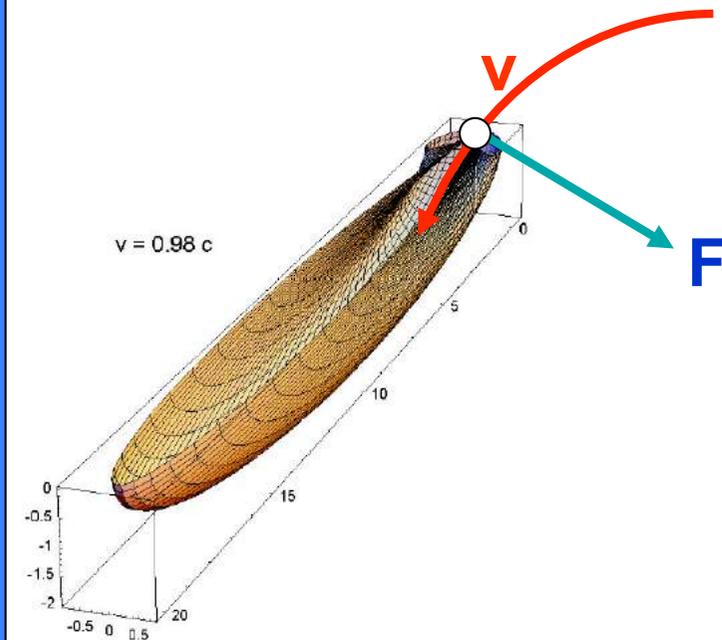
**GE Synchrotron
New York State**

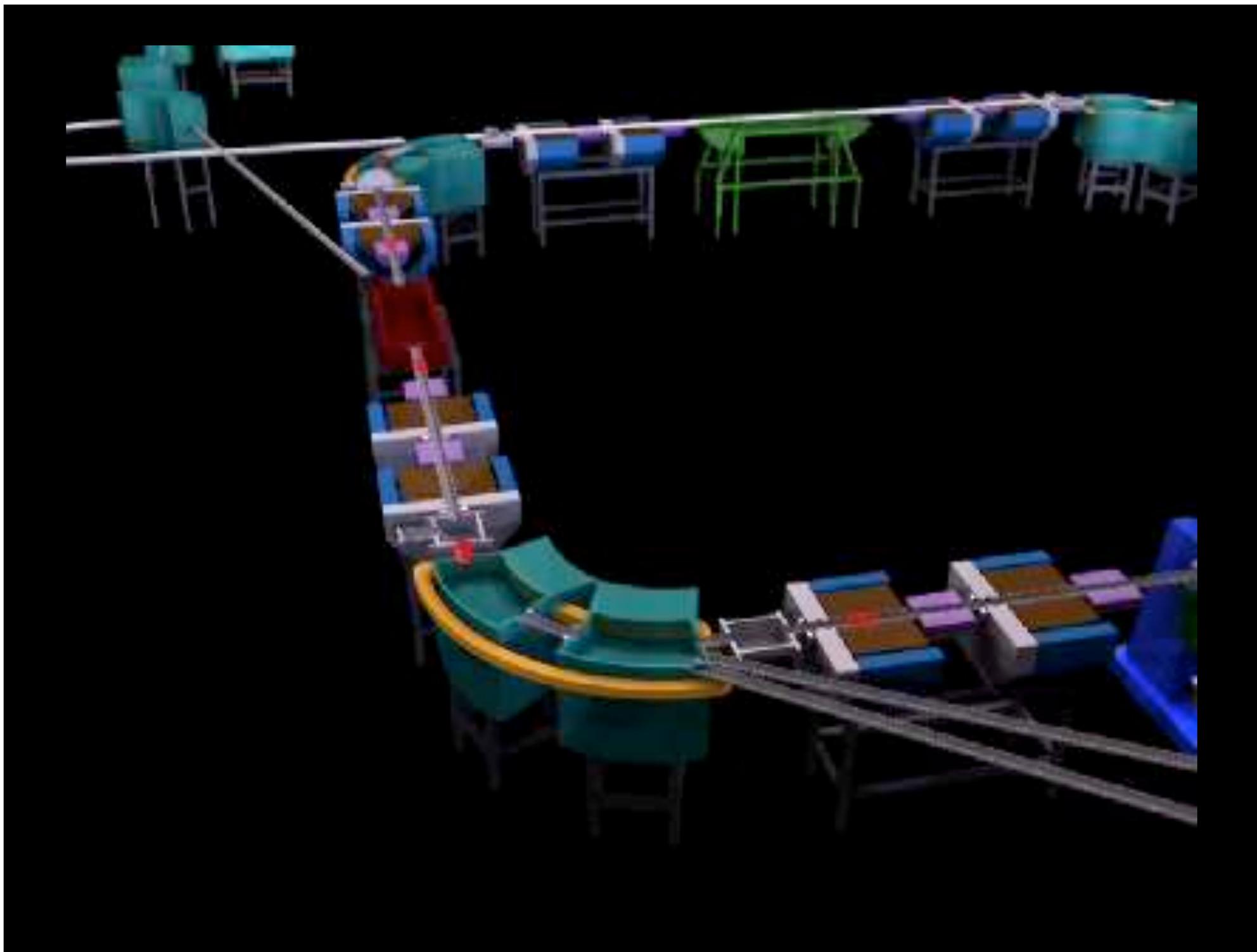


**First light observed
1947**

$$P_{\gamma} = \frac{cC_{\gamma}}{2\pi} \cdot \frac{E^4}{\rho^2}$$

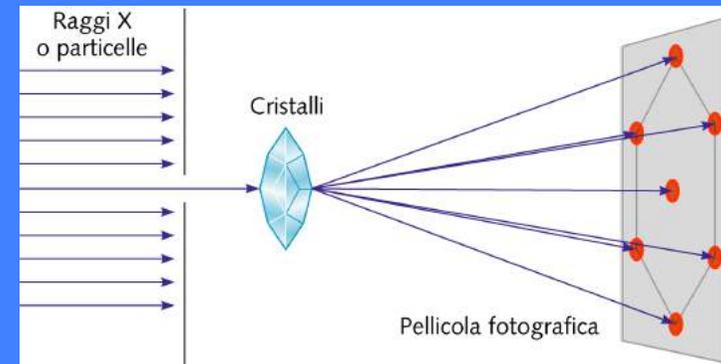
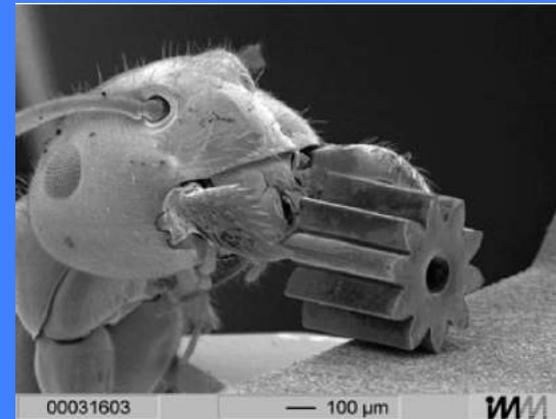
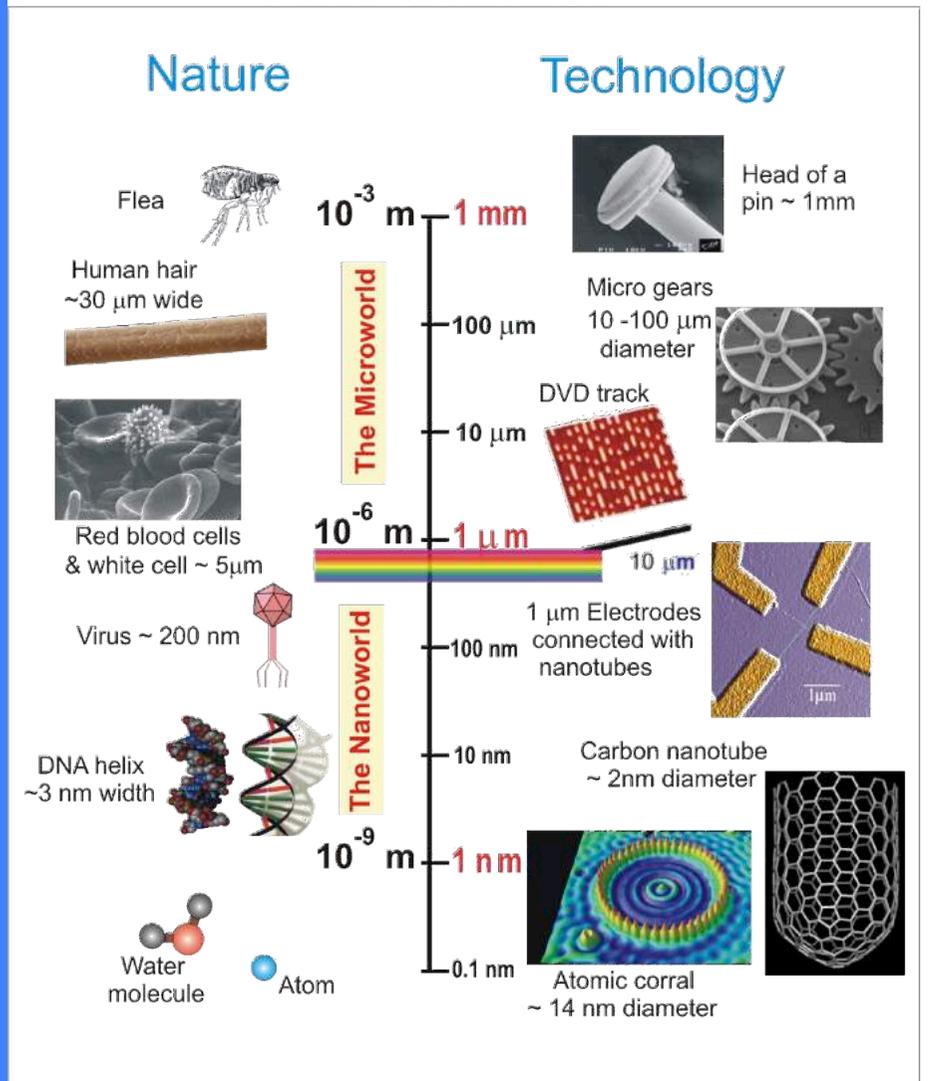
Laboratory frame



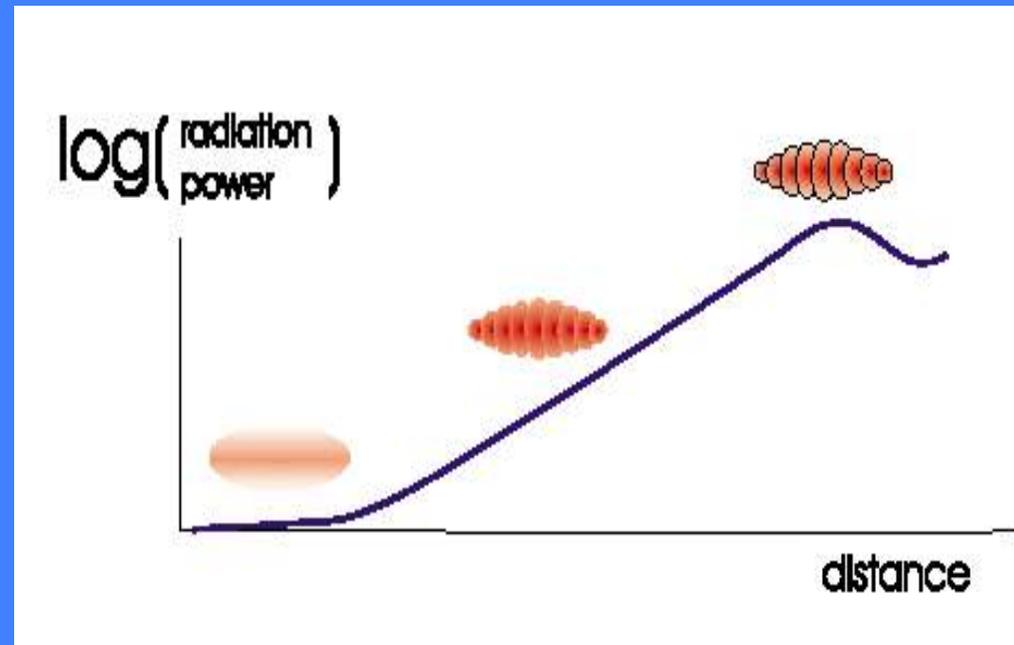


Synchrotron Radiation

Ultra-Small



A Free Electron Laser (FEL) is a device that converts a fraction of the electron kinetic energy into coherent radiation via a collective instability in a long undulator

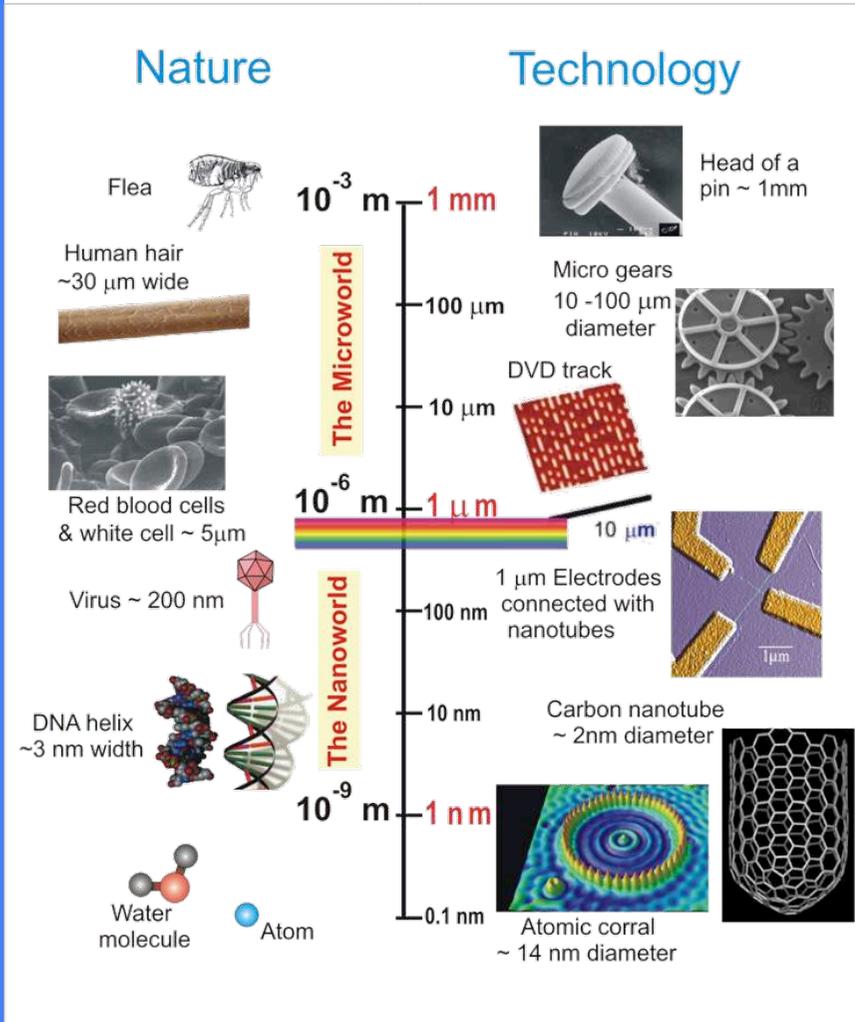


$$\lambda_{rad} \approx \frac{\lambda_u}{2\gamma^2} \left(1 + \frac{K^2}{2} + \gamma^2 \vartheta^2 \right)$$

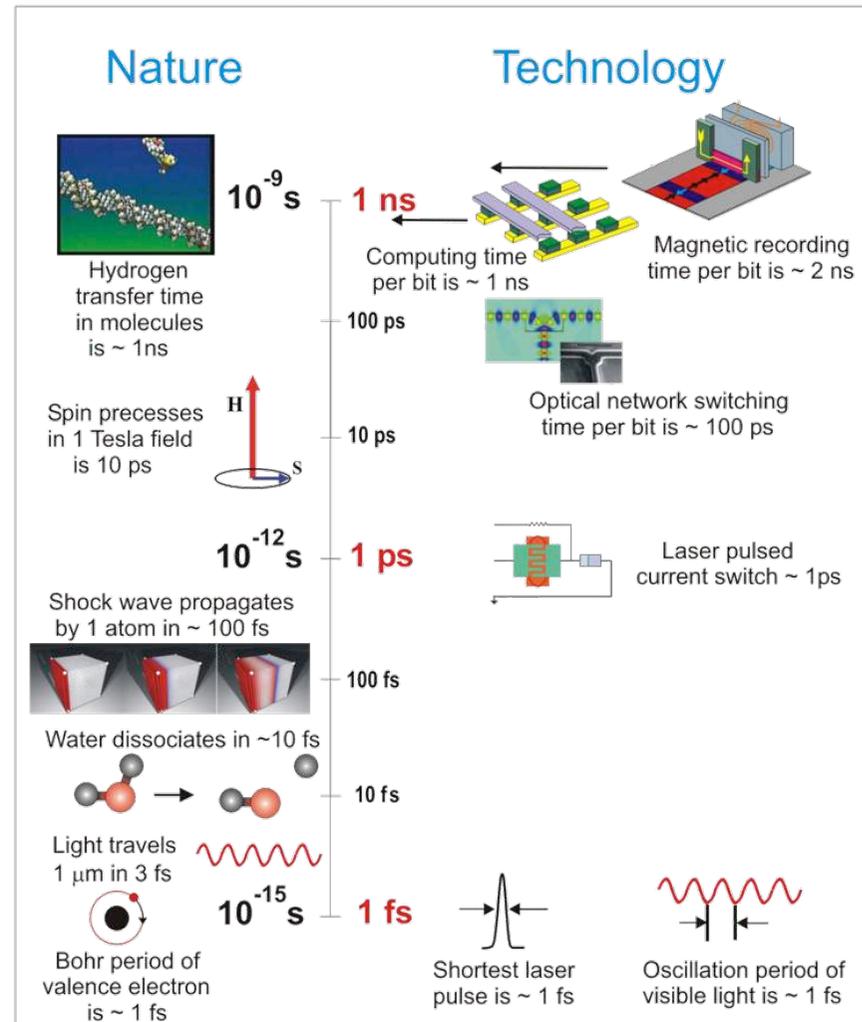
(Tunability - Harmonics)

Free Electron Lasers

Ultra-Small



Ultra-Fast



Ultra-precise microscopy

- ◆ Probing particles are required for studies of the elementary constituents
- ◆ The associated de Broglie wavelength λ of a probing particle defines the minimum object size that can be resolved.

$$\lambda = \frac{h}{p} = h \times \frac{c}{E} \quad \text{with} \begin{cases} h = 4 \times 10^{-15} \text{ eVs (Plank constant)} \\ p = \text{momentum, } E = \text{energy} \end{cases}$$

Resolving Smaller Objects Requires Higher Momentum Probe Particles

Example of probe wavelength

- electrons with $p = 1 \text{ keV}/c \Rightarrow \lambda = h/p = 4 \times 10^{-12} \text{ m}$
 - photons with $E = 1 \text{ keV} \Rightarrow \lambda = hc/E \sim 1.2 \times 10^{-9} \text{ m}$.
- electrons have ~ 300 times better resolution than photons (electron-microscopy !)

Typical microscopic sizes

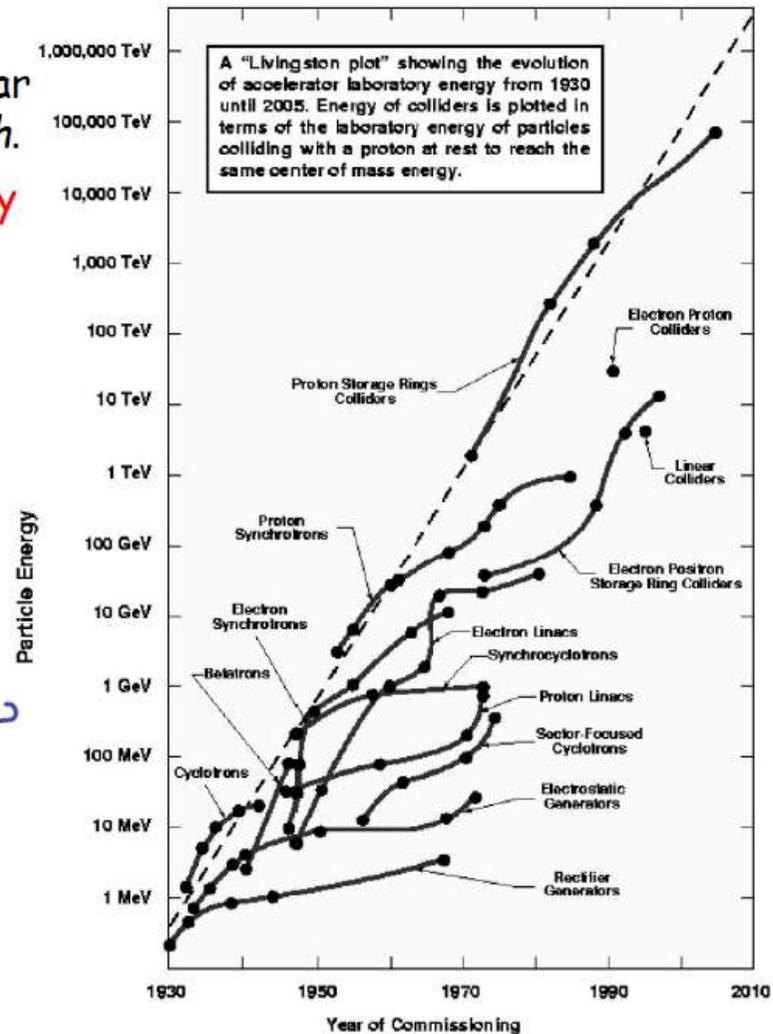
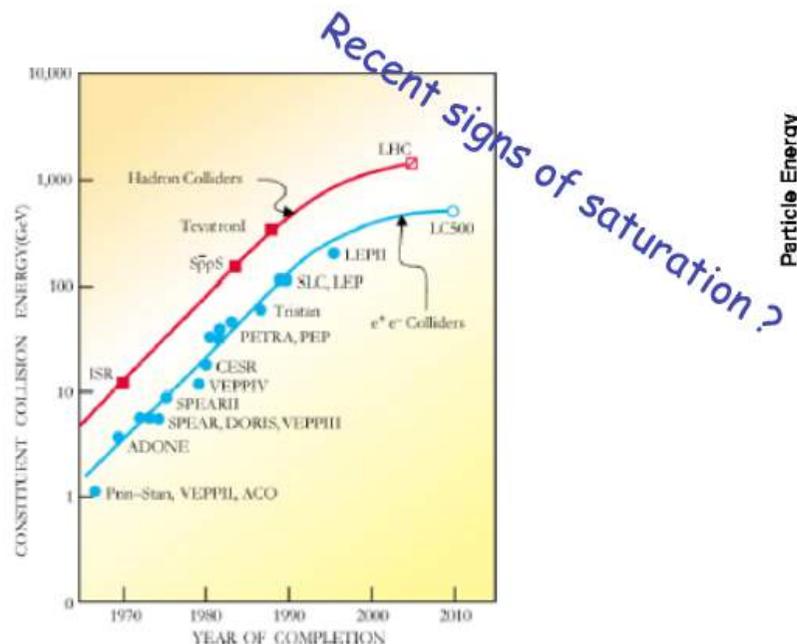
- Atom 10^{-10} m
- Nucleus 10^{-14} m
- Proton 10^{-15} m
- Quark 10^{-19} m

Accelerator limitations and steps forward

The Livingstone's diagram

In 1950 Livingstone plotted the *accelerator energy* expressed in a semi-logarithmic scale as a function of the year of construction observing a *linear growth*.

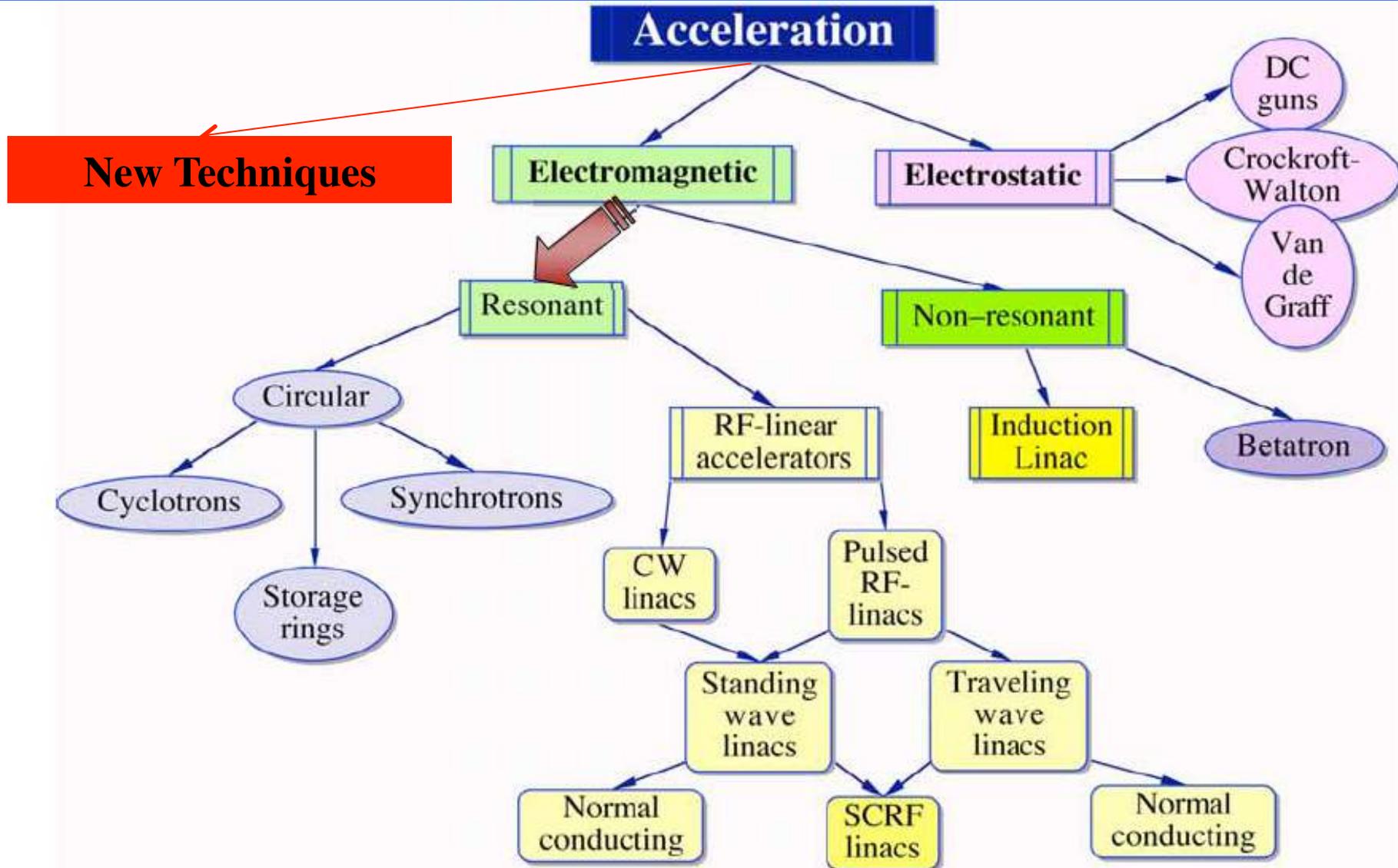
The energy increase by a factor 33 every decade, mostly due to discoveries and technological advances.



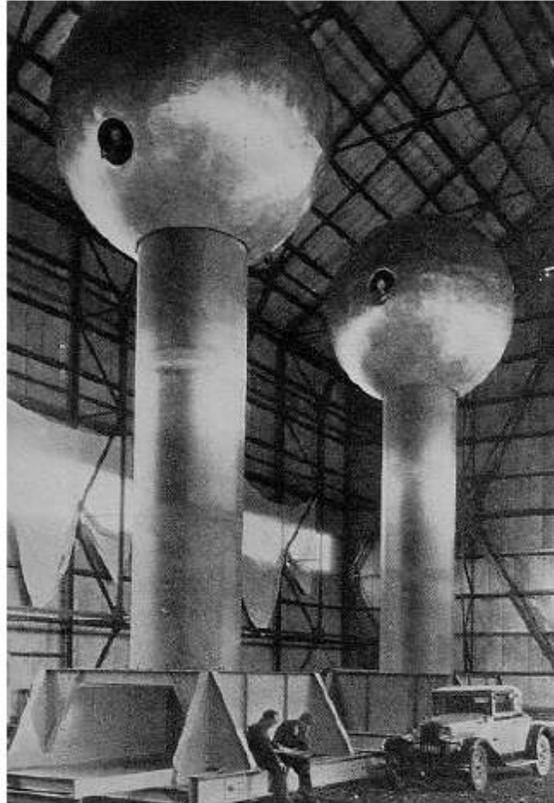
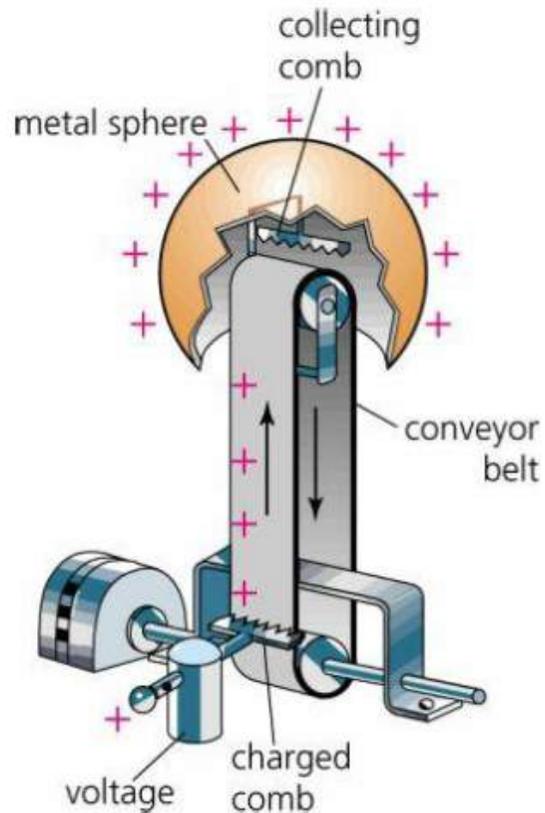
Historical Milestones

- ◆ 1900 to 1925 **radioactive source** experiments à la Rutherford -> request for higher energy beams;
- ◆ 1928 to 1932 **electrostatic acceleration** ->
 - Cockcroft & Walton * -> voltage multiplication using diodes and oscillating voltage (700 kV); * Nobel 1951
 - Van der Graaf -> voltage charging through mechanical belt (1.2 MV);
- ◆ 1928 **resonant acceleration** -> Ising establish the concept, Wideroe builds the first linac;
- ◆ 1929 **cyclotron** ->
 - small prototype by Livingstone (PhD thesis), large scale by Lawrence**;** Nobel 1939
- ◆ 1942 **magnetic induction** -> Kerst build the betatron;
- ◆ 1944 **synchrotron** -> MacMillan, Oliphant and Veksel invent the RF phase stability (longitudinal focusing);
- ◆ 1946 **proton linac** -> Alvarez build an RF structure with drift tubes (progressive wave in 2π mode);
- ◆ 1950 **strong focusing** -> Christofilos patent the alternate gradient concept (transverse strong focusing);
- ◆ 1951 **tandem** -> Alvarez upgrade the electrostatic acceleration concept and build a tandem;
- ◆ 1955 **AGS** -> Courant, Snider and Livingstone build the alternate gradient Cosmotron in Brookhaven;
- ◆ 1956 **collider** -> Kerst discuss the concept of colliding beams;
- ◆ 1961 **e^+e^- collider** -> Touschek invent the concept of particle-antiparticle collider;
- ◆ 1967 **electron cooling** -> Budker proposes the e-cooling to increase the proton beam density;
- ◆ 1968 **stochastic cooling** ->
 - Van der Meer*** proposes the stochastic cooling to compress the phase space;*** Nobel 1984
- ◆ 1970 **RFQ** -> Kapchinski & Telyakov build the radiofrequency quadrupole;
- ◆ 1980 to now **superconducting magnets** -> developed in various laboratories to increase the beam energy;
- ◆ 1980 to now **superconducting RF** -> developed in various lab to increase the RF gradient.

Accelerator Configurations



Electrostatic Accelerator: Van de Graaff

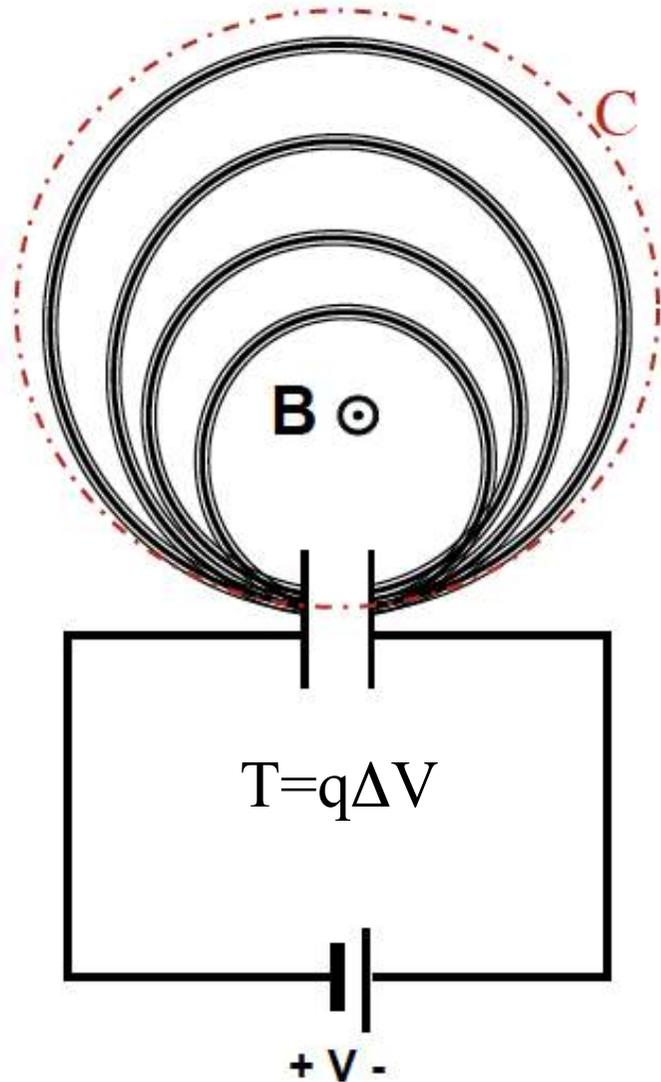


R.J. Van de Graaf

7 MV Van de Graaf at MIT
(1933)

- Electric charges are transported mechanically on an insulating belt
- Stable, continuous beams, practical limit 10 - 15 MV

Possible Higher energy DC accelerator?

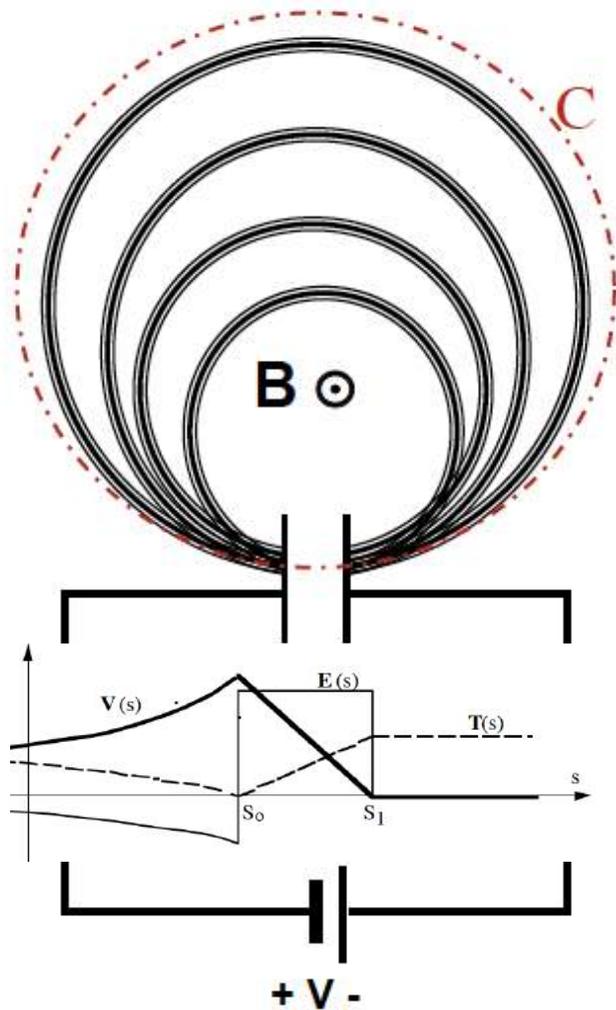


$$F_{\text{Lorentz}} = q v B = F_{\text{centripital}} = \frac{mv^2}{\rho}$$

$$\Rightarrow \rho = \frac{mv}{qB} = \frac{p}{qB}$$

$$\rho(\text{m}) = 3.34 \left(\frac{p}{1 \text{ GeV}/c} \right) \left(\frac{1}{q} \right) \left(\frac{1 \text{ T}}{B} \right)$$

Forbidden by Maxwell



$$\nabla \times \mathbf{E} = -\frac{d\mathbf{B}}{dt}$$

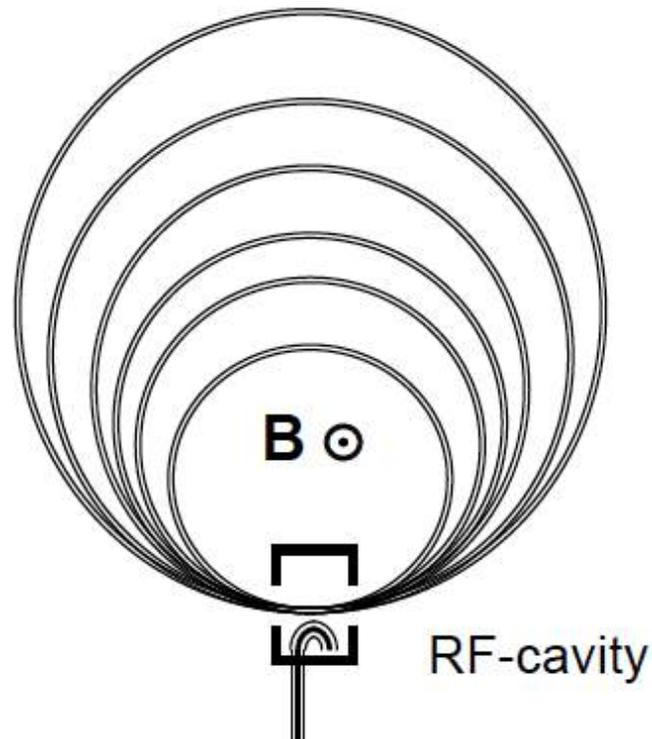
or in integral form

~~$$\oint_C \mathbf{E} \cdot d\mathbf{s} = -\frac{\partial}{\partial t} \int_S \mathbf{B} \cdot \mathbf{n} da$$~~

**\therefore There is no acceleration
without time-varying magnetic flux**

$$\Delta V_T = 0$$

B can vary in a RF cavity



$$E_z = E_0 J_0(k_r r) \cos \omega t$$
$$B_\theta = -\frac{E_0}{c} J_1(k_r r) \sin \omega t$$

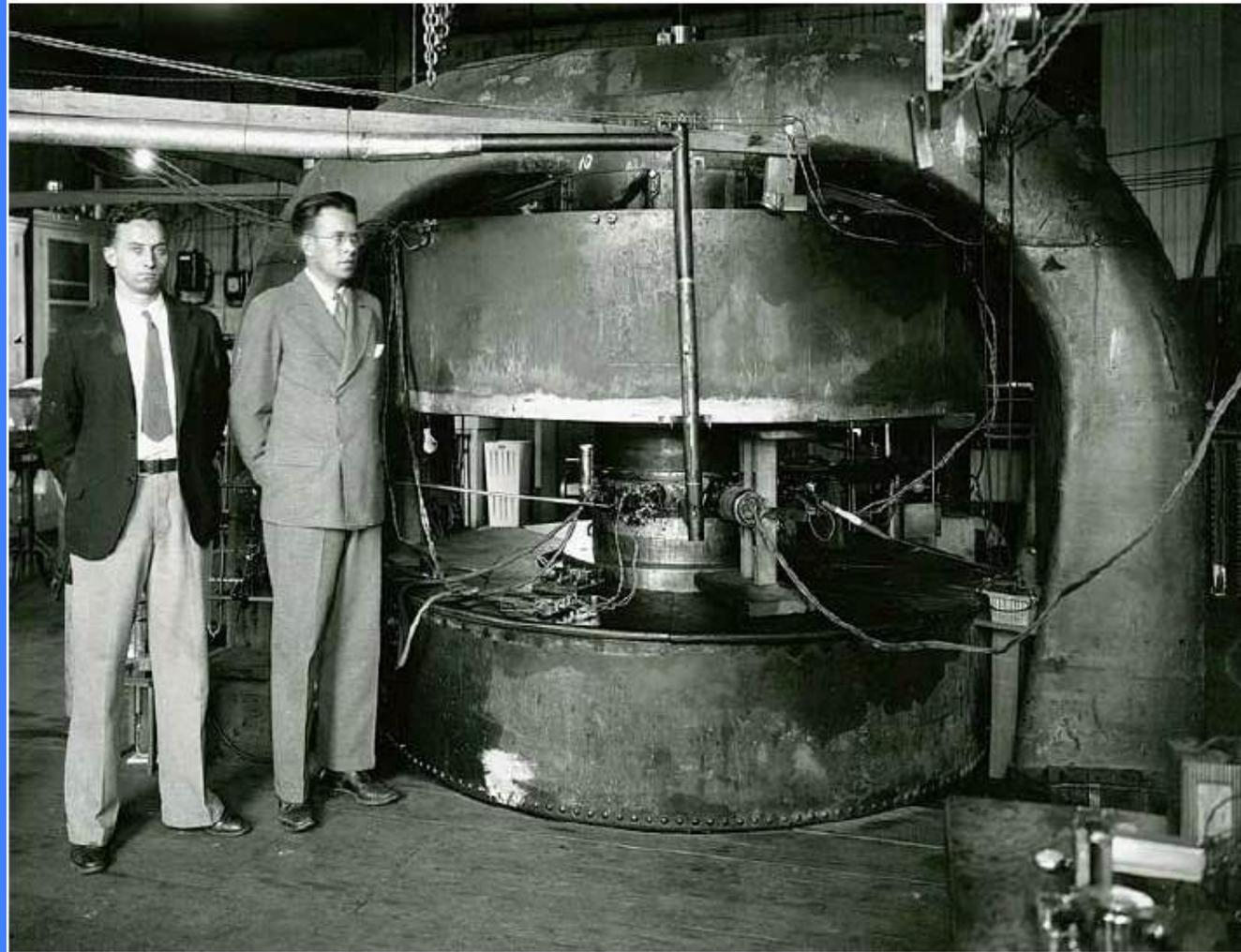
Note that inside the cavity
 $dB/dt \neq 0$

However,

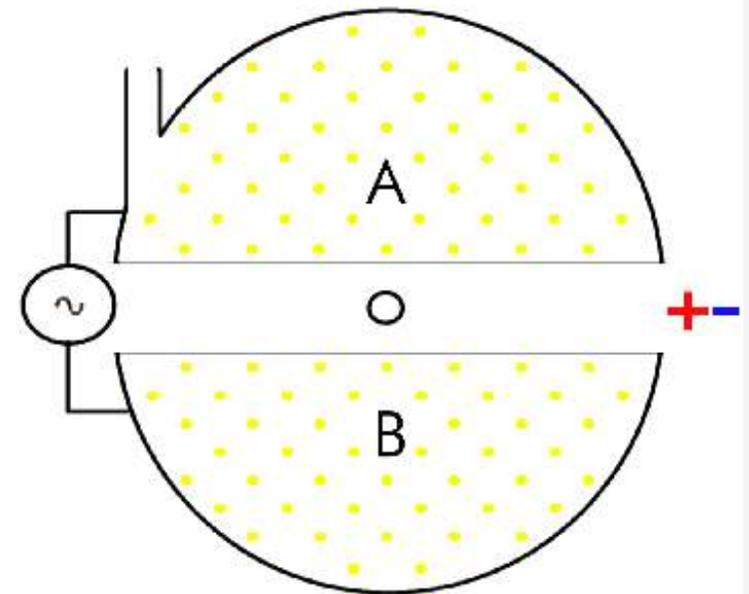
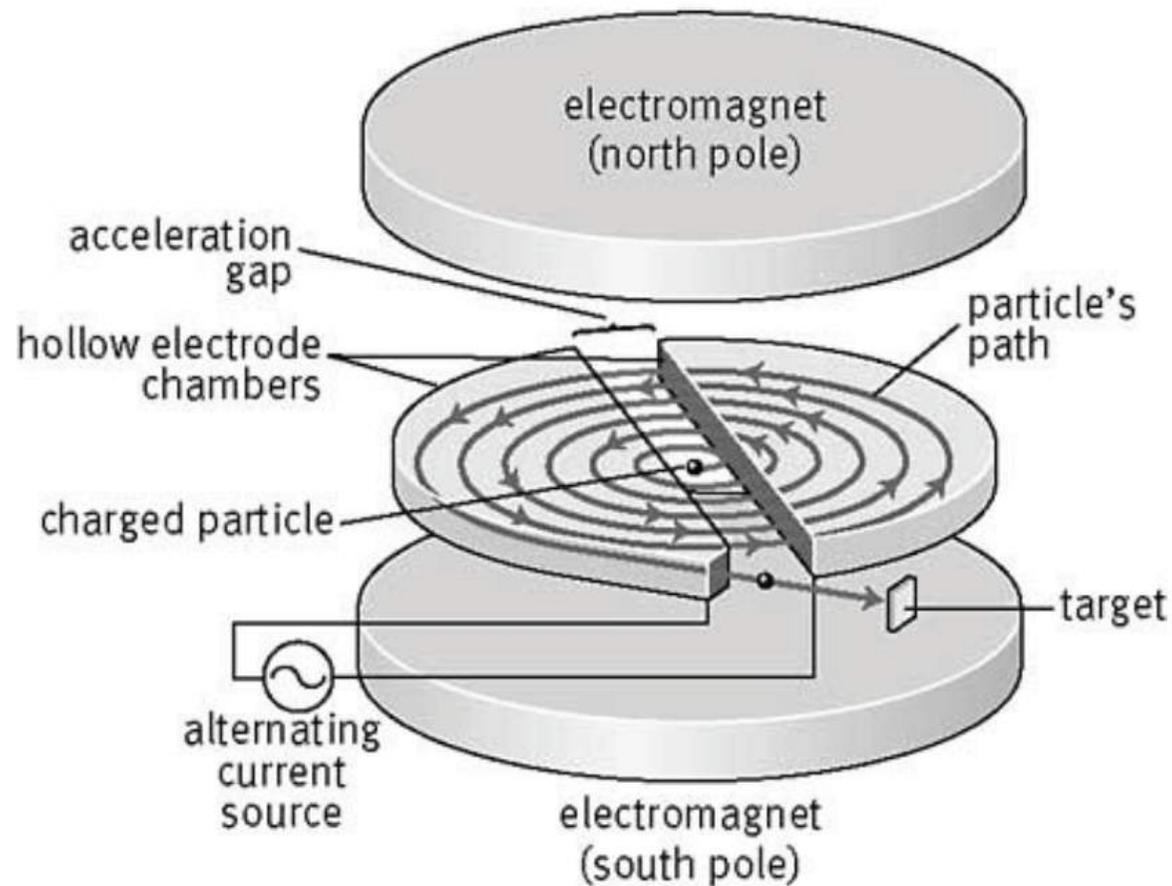
Synchronism condition:

$$\Delta\tau_{\text{rev}} = N/f_{\text{rf}}$$

The Lawrence Cyclotron



The Cyclotron concept



Cyclotron frequency

$$\dot{p}_x = m\dot{v}_x = ev_y B_z$$

$$\dot{p}_y = m\dot{v}_y = -ev_x B_z$$

$$v_x(t) = v_0 \cos(\omega_z t)$$

$$v_y(t) = v_0 \sin(\omega_z t)$$

$$\ddot{v}_x + \frac{e^2}{m^2} B_z^2 v_x = 0$$

$$\ddot{v}_y + \frac{e^2}{m^2} B_z^2 v_y = 0$$

$$\omega = \frac{e}{m} B_z$$

- ▶ Cyclotron frequency
- ▶ Not dependent on the particle velocity
- ▶ **Valid only for non relativistic case m constant**
- ▶ **=> m(E) increases**
- ▶ **=> Synchro-cyclotron -> reduce $\omega(E)$**
- ▶ **=> Iso-cyclotron -> increase B(E) to keep ω const**



Relativistic particles

- ▶ Cyclotrons increase the energy of the particles by the same amount of energy at each turn.
- ▶ At low energy, the particles cross the gap at fixed frequency.
- ▶ At higher energy when relativistic corrections start to matter, the frequency at which they cross the gaps starts to decrease (the particles travel at the same speed $\sim c$ but follow a longer path).
- ▶ This can be addressed by varying the drive frequency but not all particles in the cyclotron are nearly at the same energy.
- ▶ The “classic” cyclotron is limited to about 20 MeV mainly due to the relativistic mass increasing



250 MeV proton cyclotron (ACCEL/Varian)

Closed He system

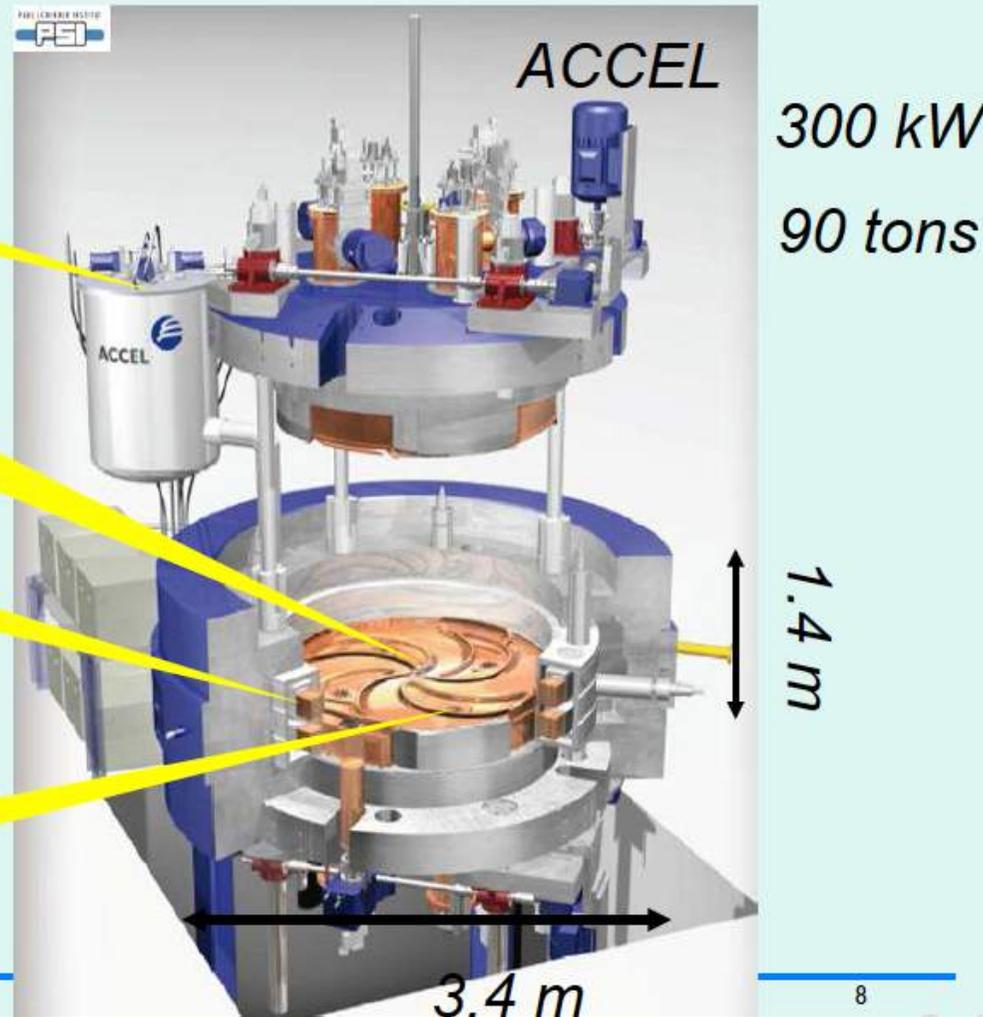
4 x 1.5 W @4K

Proton source

*superconducting coils =>
2.4 - 3.8 T*

4 RF-cavities:

72 MHz ~80 kV



The Synchrotron concept

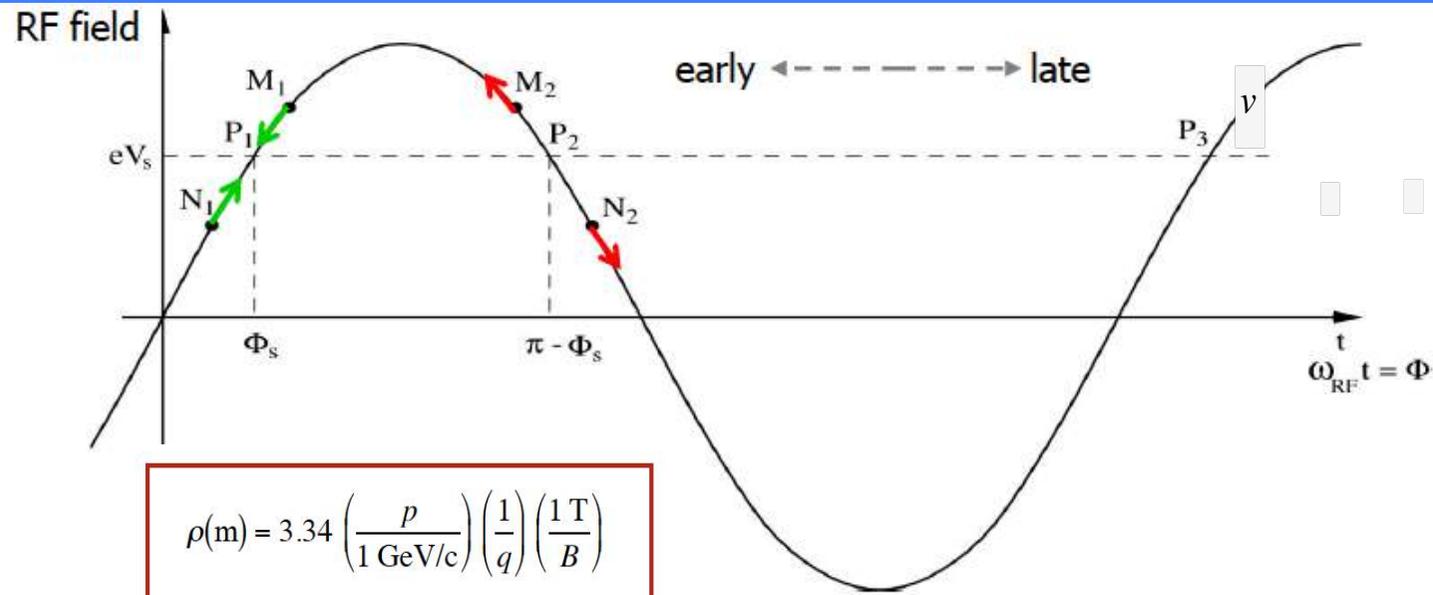
The main principle is to **keep separated** the bending and focusing devices (**magnets of various types**) from the ones that accelerates (**resonant cavities**).



There is main difference from cyclotrons: **the particles always ride on the same orbit**. Therefore:

- the cavities field must be synchronous with particle crossing and
- the bending magnet field must change in order to keep constant the radius of curvature.

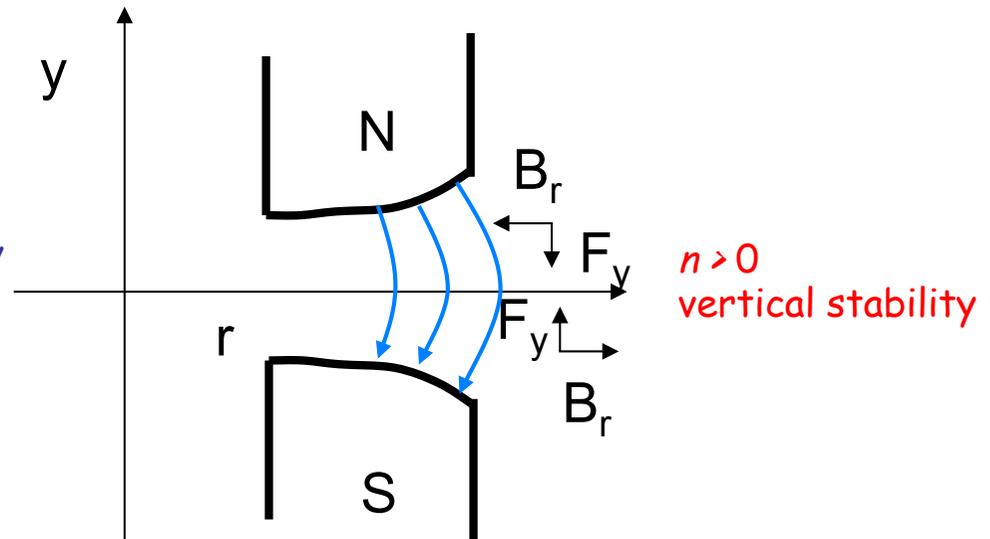
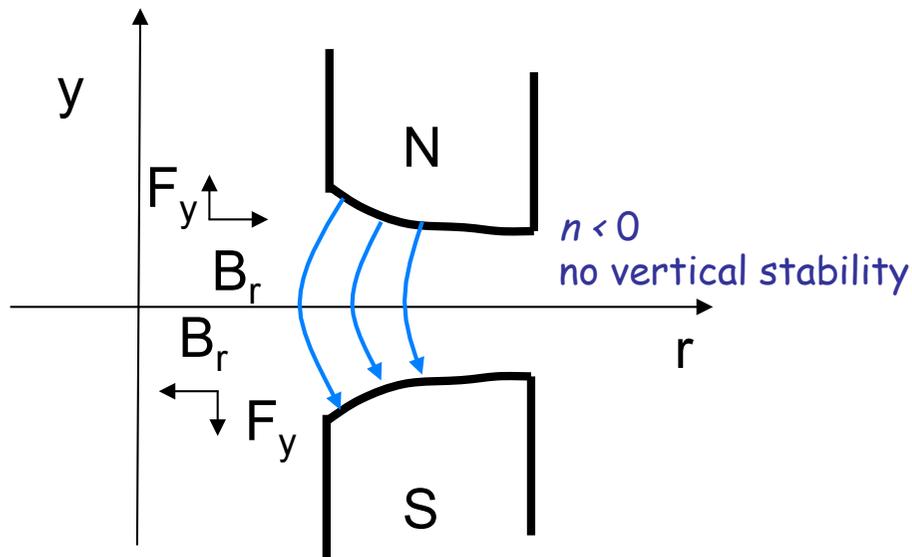
Phase stability and longitudinal focusing



- In a certain energy range, acceleration by RF field results in early arrival of particle at next turn: for stability, this particle should undergo less acceleration
- Operating point P2 is unstable
 - Late particle N2 sees lower acceleration and gets even later
 - Early particle M2 sees higher acceleration and gets even earlier
- Operating point P1 is stable

Weak focusing and transverse stability

- ♦ to get **vertical stability**, the bending field should decrease with ρ , as in cyclotrons,
- ♦ to get **horizontal stability** the the decrease of B with ρ should be moderate, so that, for $\rho > \rho_0$, the Lorenz force exceeds the centripetal force.



$$B_y = B_0 \left(\frac{\rho_0}{\rho} \right)^n \quad \text{guiding field}$$

$$n = - \frac{\rho}{B_y} \left(\frac{\delta B_y}{\delta \rho} \right)_{\rho=\rho_0} \quad \text{field index}$$

horizontal stability:

centripetal force \leq Lorenz force

$$\frac{mv^2}{\rho} = \frac{mv^2}{\rho_0 + x} \approx \frac{mv^2}{\rho_0} \left(1 - \frac{x}{\rho_0} \right) \leq evB_y = evB_0 \left(\frac{\rho_0}{\rho_0 + x} \right)^n \approx evB_0 \left(1 - n \frac{x}{\rho_0} \right)$$

weak focusing

horizontal stability $\Rightarrow n \leq 1$
vertical stability $\Rightarrow n > 0$

From Weak focusing To Strong Focusing

- ▶ The principle of weak focusing has one serious drawback: when the trajectory oscillation wavelength is larger than the circumference of the machine one gets large deviations from the orbit if the circumference is large.
- ▶ The magnet apertures must be very big.
- ▶ The apertures can be drastically reduced if one applies strong focusing (n much larger than 1).
- ▶ This is impossible in a machine which has a guide and focusing field independent of the azimuthal angle, since in that case the condition $0 < n < 1$ has to hold, as we have just shown.
- ▶ It is, however, possible if we split up the machine into a series of magnetic sectors in which in alternating order the magnetic field increases strongly with increasing radius ($n \ll 1$) or decreases strongly with increasing radius.



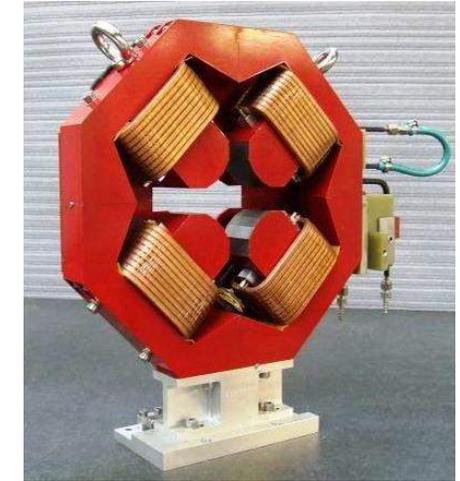
MAGNETIC QUADRUPOLE

Quadrupoles are used to **focus the beam in the transverse plane**. It is a **4 poles magnet**:

⇒ **B=0** in the center of the quadrupole

⇒ The **B intensity increases linearly** with the off-axis displacement.

⇒ If the quadrupole is **focusing in one plane** is **defocusing in the other plane**

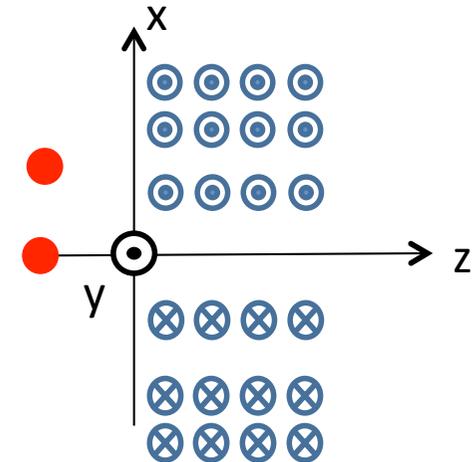
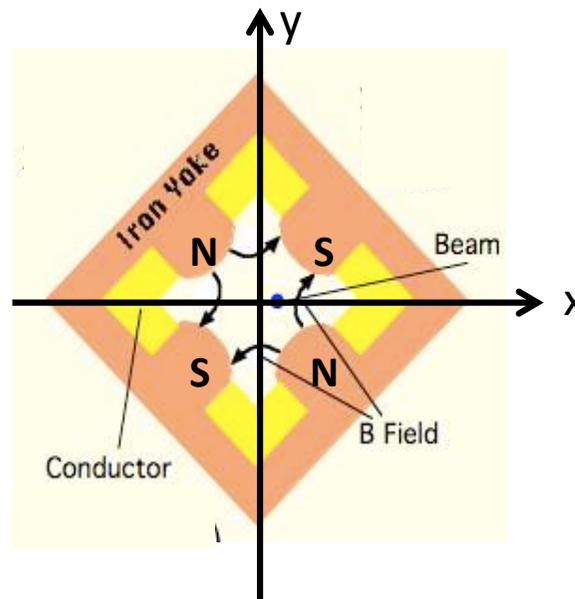
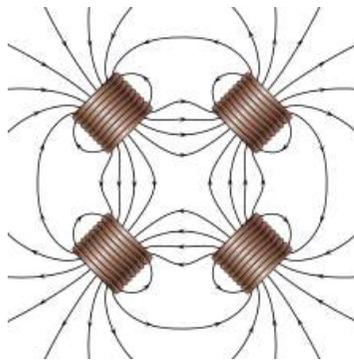
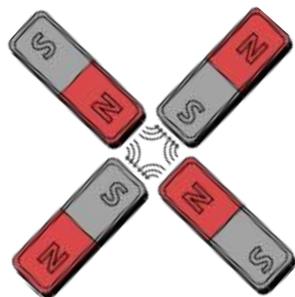


$$\begin{cases} B_x = G \cdot y \\ B_y = G \cdot x \end{cases} \Rightarrow \begin{cases} F_y = qvG \cdot y \\ F_x = -qvG \cdot x \end{cases}$$

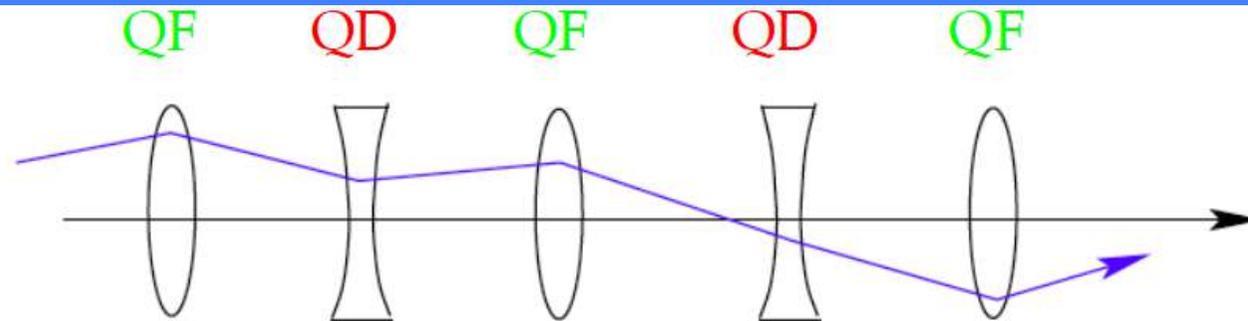
$$G = \text{quadrupole gradient} \left[\frac{T}{m} \right]$$

Electromagnetic quadrupoles $G < 50\text{-}100 \text{ T/m}$

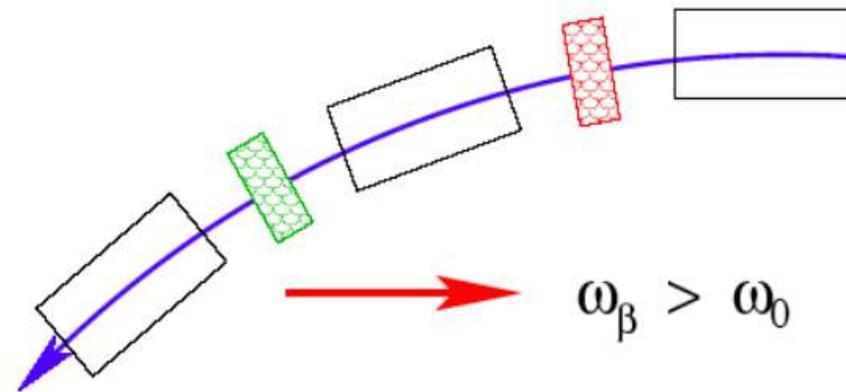
$$\frac{F_B}{F_E} = v \Rightarrow \begin{cases} F_B(1T) = F_E \left(300 \frac{MV}{m} \right) @ \beta = 1 \\ F_B(1T) = F_E \left(3 \frac{MV}{m} \right) @ \beta = 0.01 \end{cases}$$



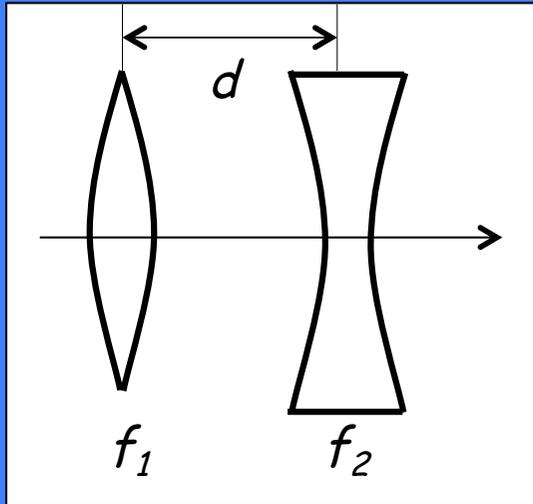
Alternate Gradient Focusing



*Idea: cut the arc sections in
focusing and defocusing elements*



Strong focusing



$$\frac{1}{f} = \frac{1}{f_1} + \frac{1}{f_2} - \frac{d}{f_1 f_2}$$



Horizontal and vertical focusing for a large range of f_1 , f_2 and d

- ♦ **separated functions:** the alternate gradient is made with quadrupoles of opposite focusing strength
- ♦ **combined functions:** the alternate gradient is made with dipoles with radial shape of opposite sign

normalized quadrupole gradient

$$K = \frac{eB'}{p} = \frac{B'}{B\rho} \Rightarrow K[m^{-2}] = 0.2998 \frac{B'[Tm^{-1}]}{p[GeV/c]}$$

quadrupole strength

$$\frac{1}{f} = KL$$

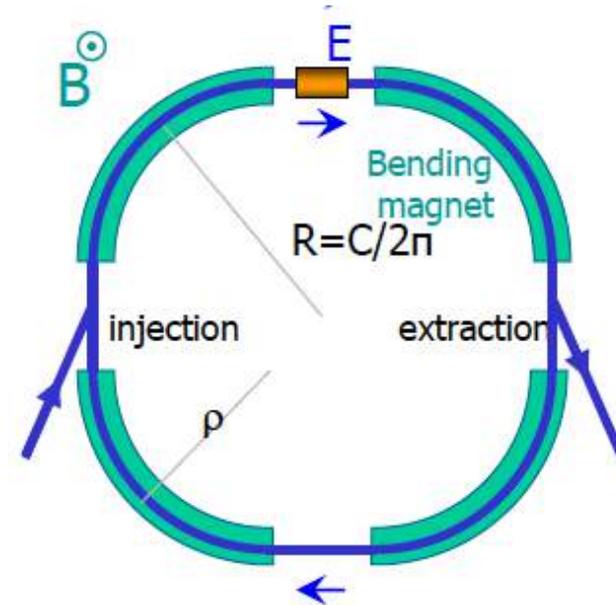
Examples

Ring	p [GeV/c]	B_0 [T]	ρ [m]	$B\rho$ [Tm]	$1/\rho^2$ [m ⁻²] weak focus	L_{quad} [m]	B' [T/m]	K [m ⁻²]
CERS	5.2	0.18	96.4	17.3	10^{-4}	0.5	5	0.298
Tevatron	1000	4.4	758	3335	$1.7 \cdot 10^{-6}$	1.7	76	0.0228



3 GeV Cosmotron at BNL

weak focussing, combined function magnets



28 GeV PS at CERN

strong focussing, combined function magnets



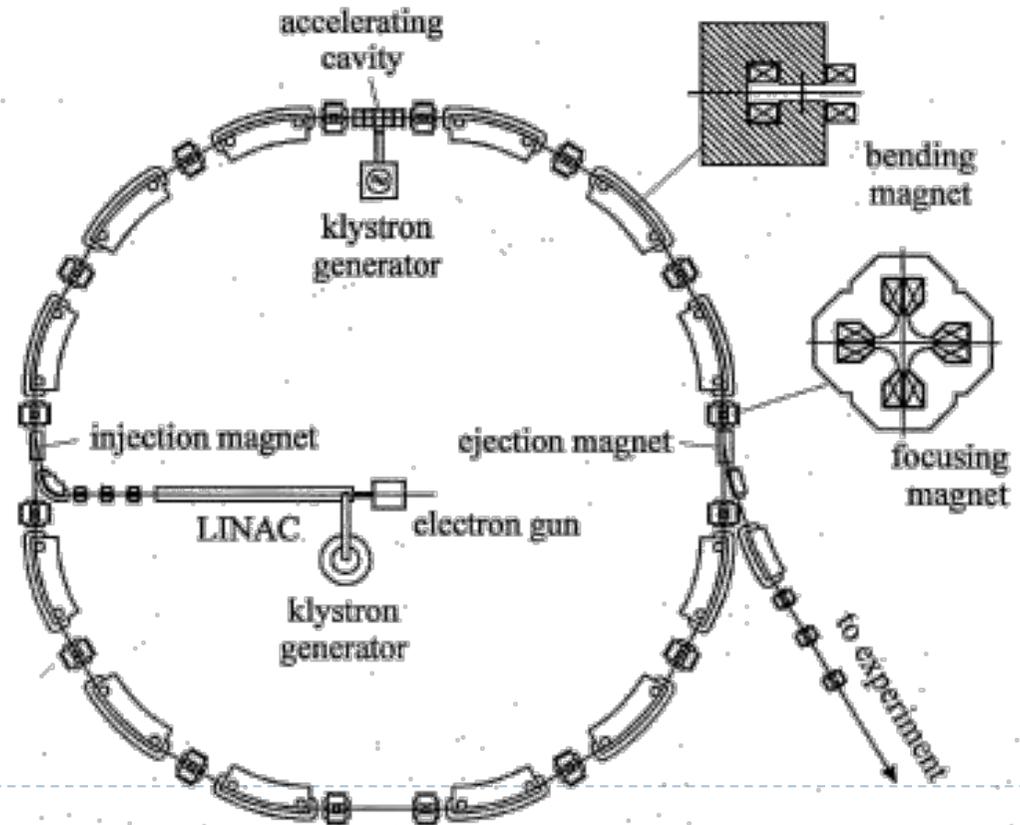
400 GeV SPS at CERN

strong focussing, separated function magnets

Synchrotron

- ▶ Increasing the energy, and so the radius, the size and the cost of the magnet are unaffordable
- ▶ **The solution is to keep the radius constant and to ramp B**
- ▶ The magnetic field is generated by **small** magnetic element around the ring and the central part is not needed anymore

$$\frac{1}{R} = \frac{eB}{p}$$



Maximum energy

$$\Delta E_{\text{beam}} = eU_{\text{max}} \sin \Psi_0 - \Delta E_{\text{loss}}.$$

$$\Delta E_{\text{loss}} = \frac{4}{3} \pi \alpha \hbar c \frac{\gamma^4}{\rho}$$

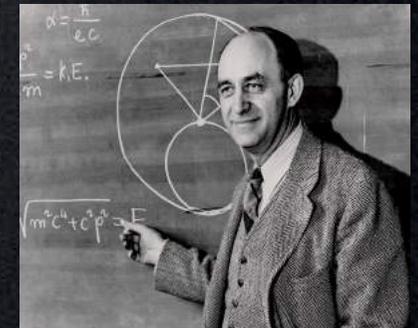
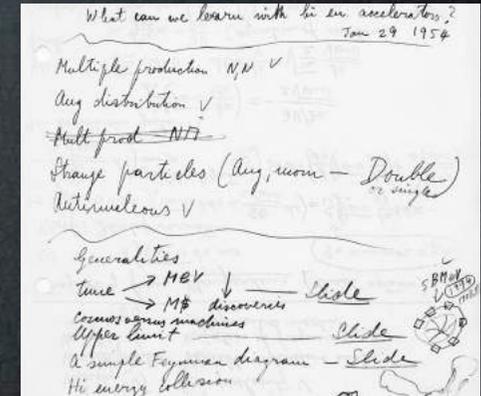
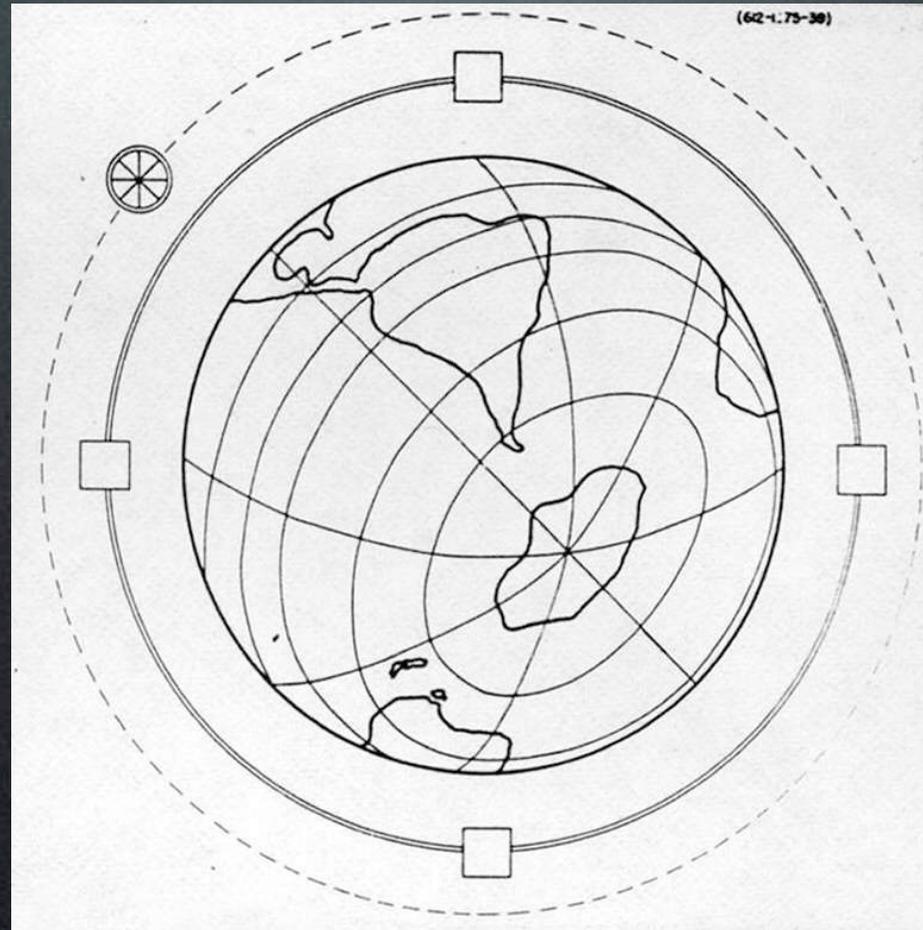
- ▶ The energy loss is very important mainly for electrons
- ▶ It is not possible to accelerate starting to E=0 MeV
- ▶ So a linac is needed in order to fill the ring
- ▶ For more stable system another rings is needed (booster)



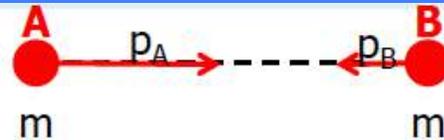
Fermi's Globatron: ~5000 TeV Proton beam

1954 the ultimate synchrotron

B_{\max} 2 Tesla
 ρ 8000 km
fixed target
3 TeV c.m.
170 GS
1994



From Fixed Target to Colliders



- Relativistic invariant

$$(\Sigma m)^2 c^4 = (\Sigma E)^2 - (\Sigma p)^2 c^2$$

- In the laboratory frame

$$4m^2 c^4 = (E_A + E_B)^2 - (\vec{p}_A + \vec{p}_B)^2 c^2$$

- Let E^* be the total energy available in the collision

- In the center-of-mass frame $\vec{p}^* = \vec{p}_A^* + \vec{p}_B^* \equiv 0$

$$4m^2 c^4 = E^{*2}$$

$$E^{*2} = (E_A + E_B)^2 - (\vec{p}_A + \vec{p}_B)^2 c^2$$

- Fixed-target

$$p_B = 0 ; E_B = mc^2$$

$$E^{*2} = E_A^2 - p_A^2 c^2 + m^2 c^4 + 2E_A mc^2$$

$$E^{*2} = 2m^2 c^4 + 2E_A mc^2 \approx 2E_A mc^2$$

$$E^* \approx \sqrt{2E_A mc^2}$$

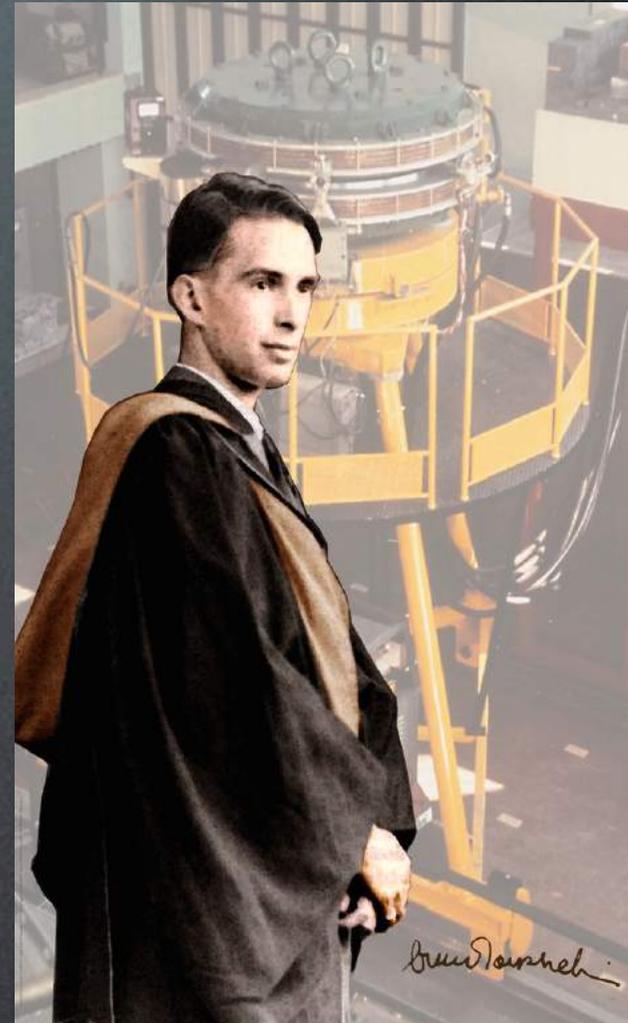
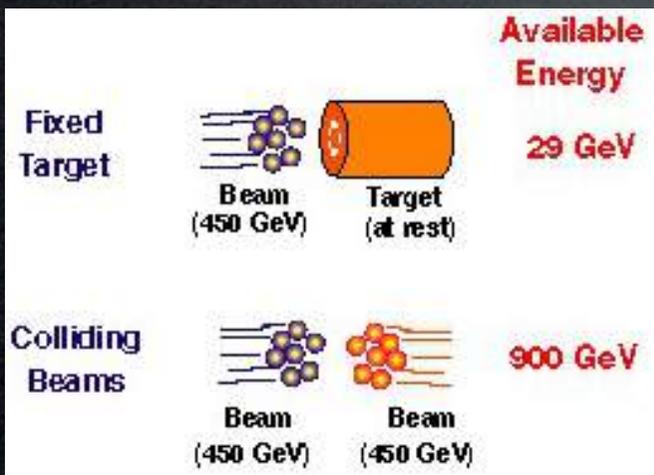
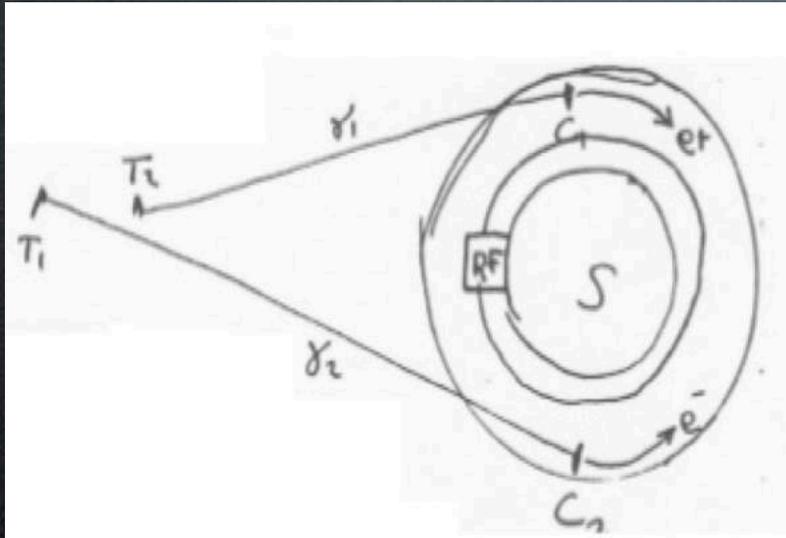
- Head-on collision

$$E^* = E_A + E_B$$

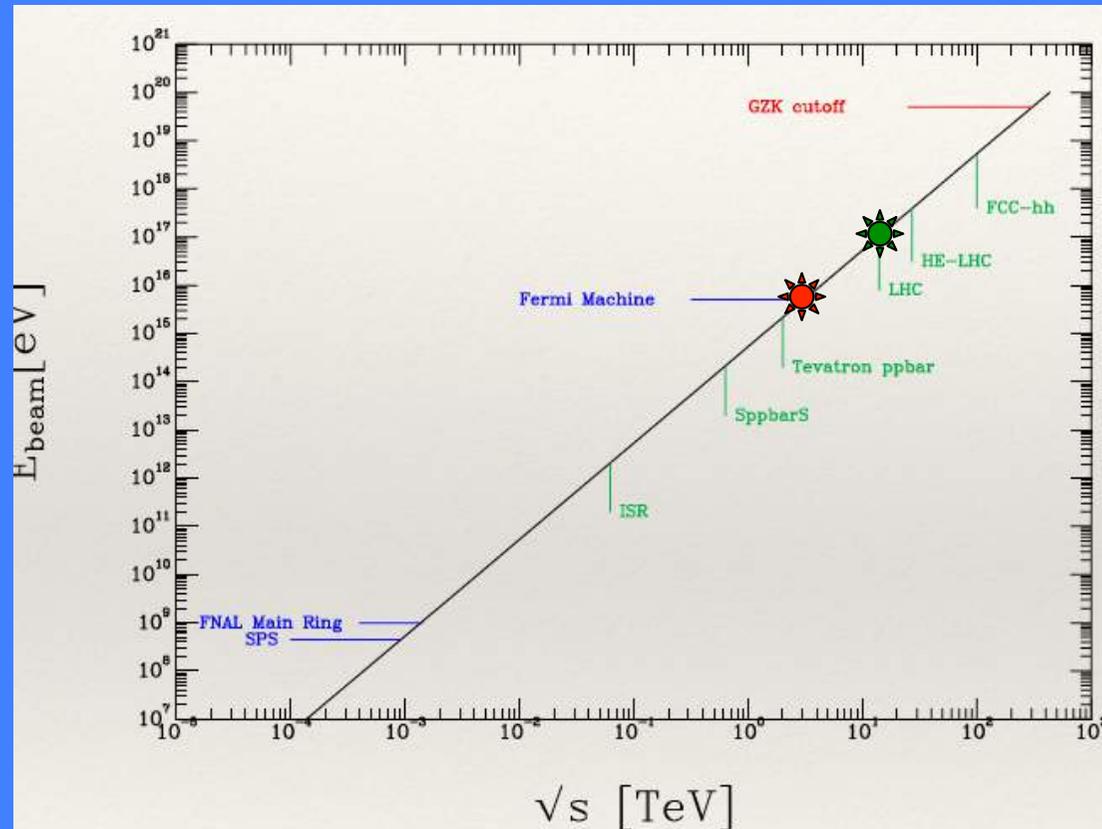
Touschek's Anello Di Accumulazione (ADA)

1943 Wideroe idea

1961 the first e^+e^- Collider ADA



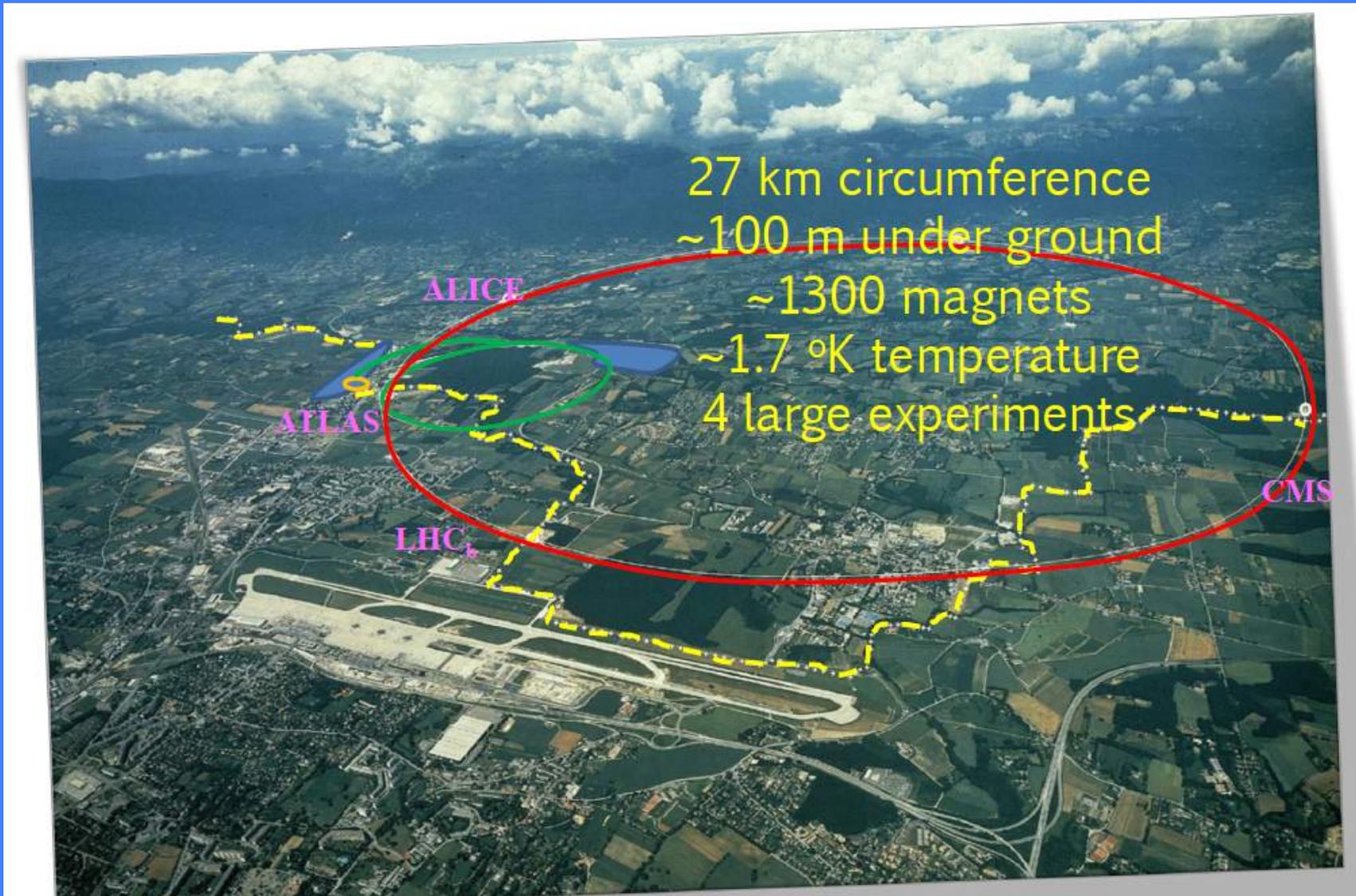
Fixed Target equivalent accelerator energy



(The Greisen–Zatsepin–Kuzmin limit (GZK limit) is a theoretical upper limit on the energy of cosmic ray protons travelling from other galaxies through the intergalactic medium to our galaxy. The limit is set by slowing-interactions of the protons with the microwave background radiation over long distances (~160 million light-years)).

Current and future colliders have c.o.m energies fixed above that of the Fermi Machine, thanks to the colliding beam technique and the development of superconducting magnets.

LHC few data



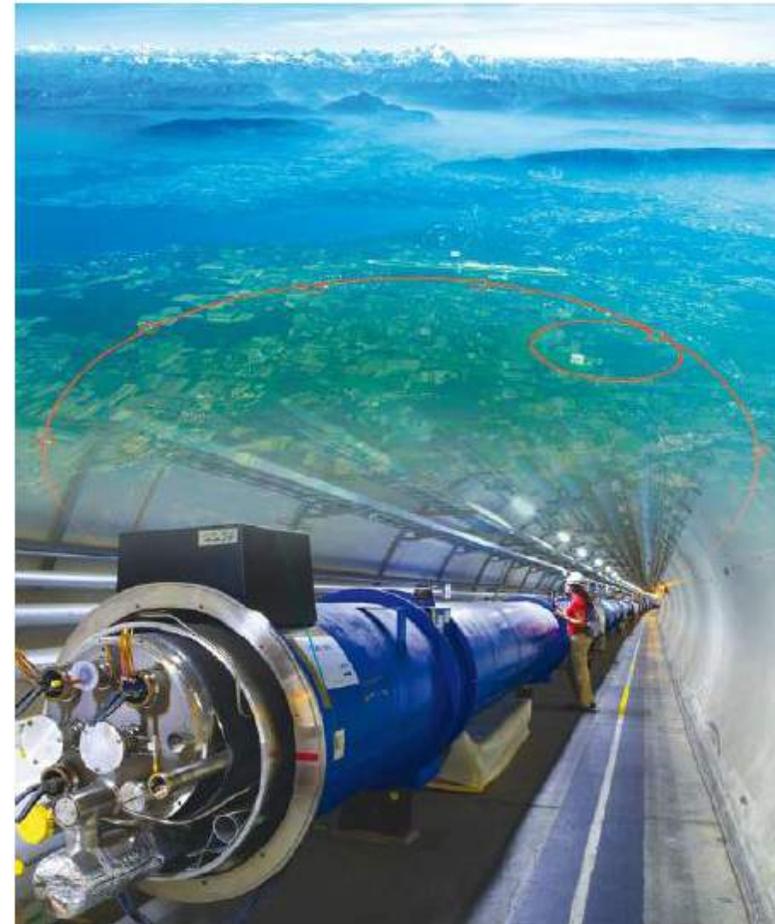
LHC (Large Hadron Collider) – a brief history

F. Bordry / CERN

14 TeV proton-proton accelerator-collider built in the LEP tunnel

Lead-Lead (Lead-proton) collisions

- 1983 : First studies for the LHC project
- 1988 : First magnet model (feasibility)
- 1994 : Approval of the LHC by the CERN Council
- 1996-1999 : Series production industrialisation
- 1998 : Declaration of Public Utility & Start of civil engineering
- 1998-2000 : Placement of the main production contracts
- 2004 : Start of the LHC installation
- 2005-2007 : Magnets Installation in the tunnel
- 2006-2008 : Hardware commissioning
- 2008-2009 : Beam commissioning and repair
- 2009-2035 : Physics exploitation

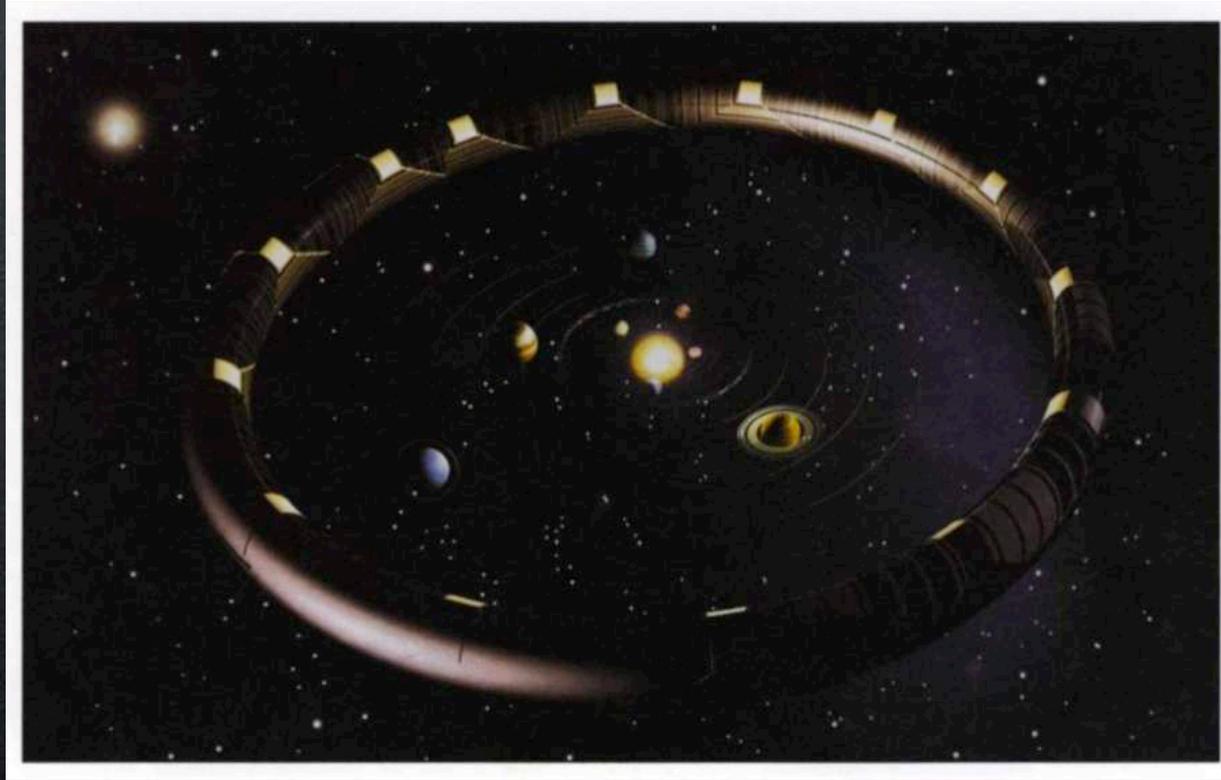


~ 30 years between the first studies and the start for the Physics

What next?

Hawking: the Solartron

Towards the Planck scale



Without further novel technology, we will eventually need an accelerator as large as Hawking expected.

“The Universe in a Nutshell”, by Stephen William Hawking, Bantam, 2001

“How to advance?”

Hadron (p) circular collider

$$p = e \cdot R \cdot B_y$$

Increase bending field
SC bend magnet work (FCC-hh)

Increase radius = size (FCC-hh)

Lepton (e-,e+) circular collider

$$p \propto E_0 \cdot \sqrt[4]{\rho \cdot U_0}$$

Increase supplied RF voltage
(FCC-ee)

Increase mass of acc. particle (muon)

Increase radius = size (FCC-ee)

Lepton (e-,e+) linear collider

$$p = L \cdot G_{acc}$$

Increase accelerating gradient
(a) Pushing existing technology (ILC, CLIC)
(b) New regime of ultra-high gradients (plasma, dielectric accelerators)

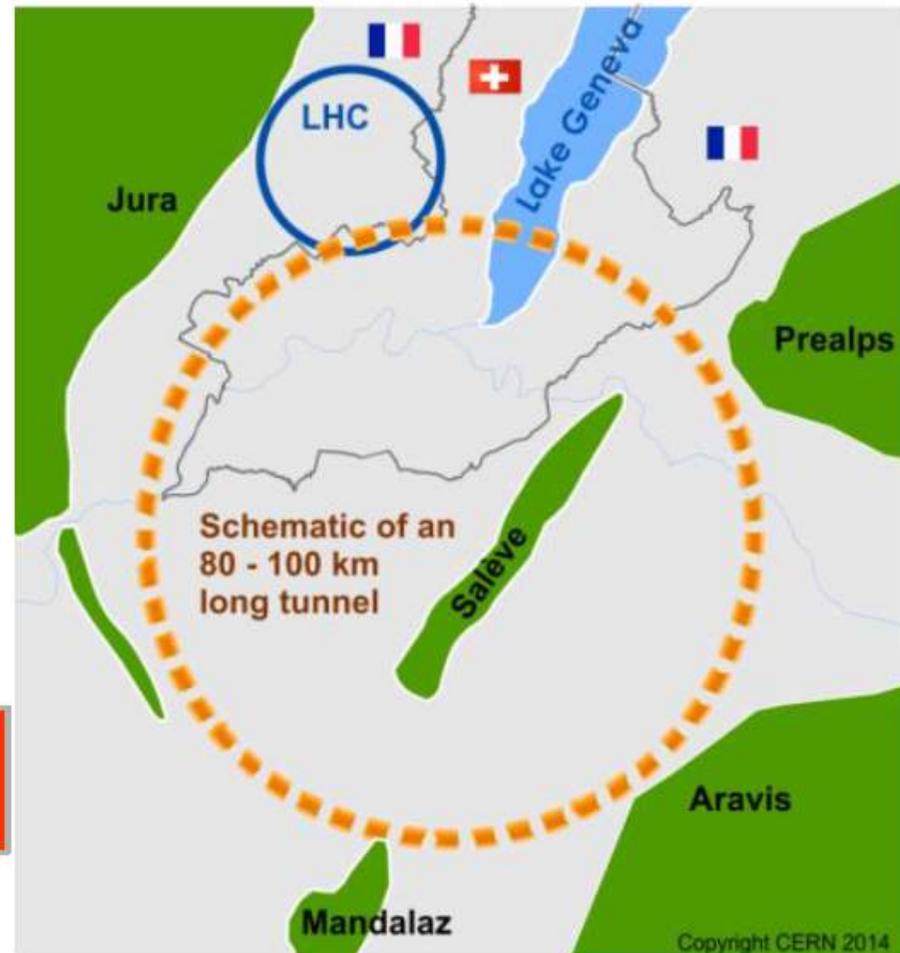
Increase length (ILC, CLIC)

Today FCC (Future Circular Collider) study

International collaboration to study:

- pp -collider (*FCC-hh*)
- e^+e^- collider (*FCC-ee*)
as potential intermediate step
- $p-e$ (*FCC-he*)
as an option

$\sim 16 \text{ T} \Rightarrow 100 \text{ TeV } pp \text{ in } 100 \text{ km}$
 $\sim 20 \text{ T} \Rightarrow 100 \text{ TeV } pp \text{ in } 80 \text{ km}$



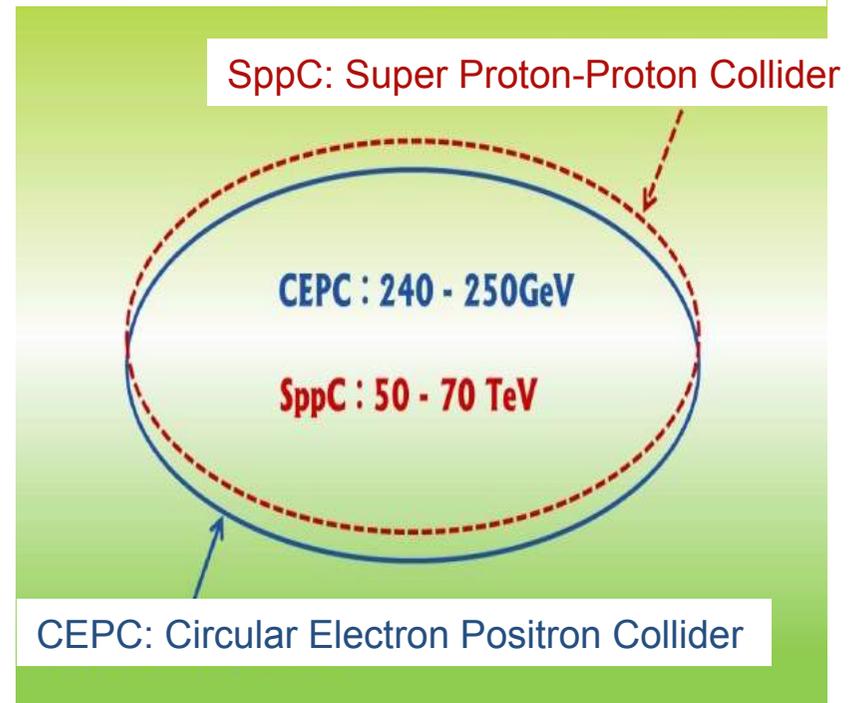
FCC: 80-100 km infrastructure in Geneva area

A Possible Middle Step for AAC towards Colliders

Plasma Based Injector for 100km CEPC

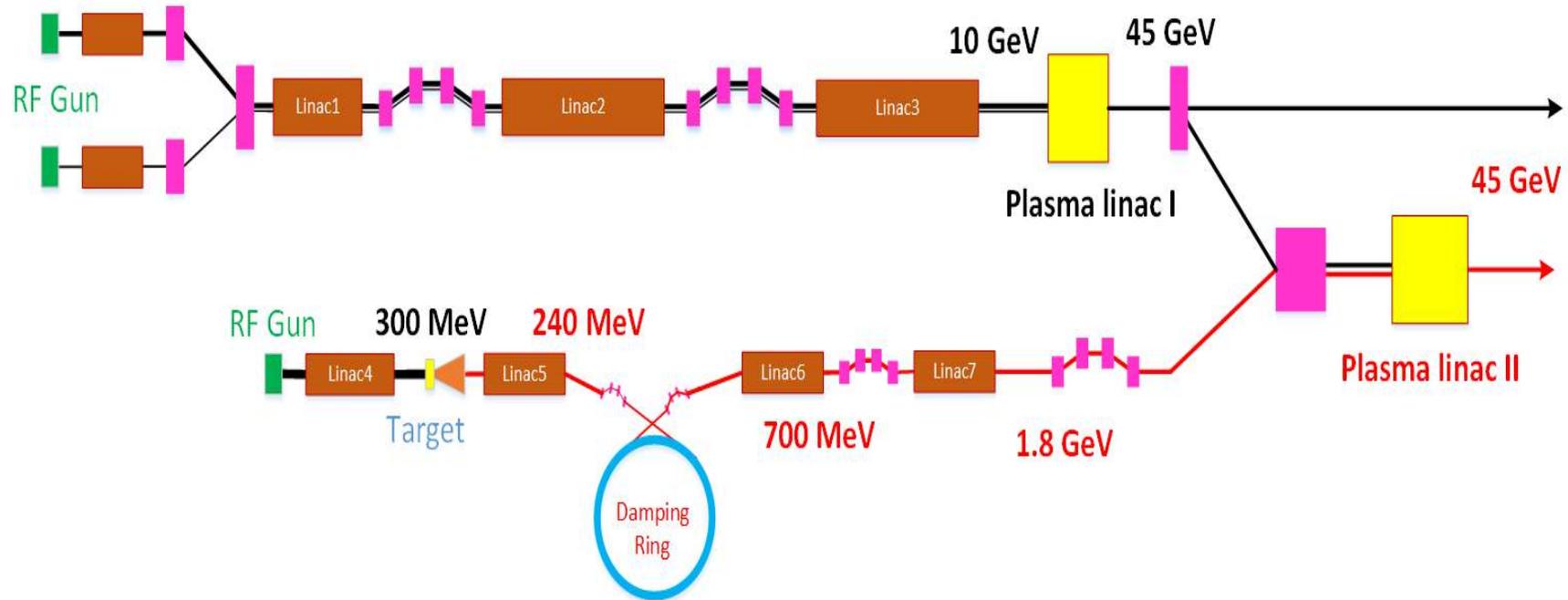
- CEPC (**Circular Electron Positron Collider**) is a major high energy physics plan under strong promotion in China to build a 100km circular machine for a Higgs Factory.
- A high energy injector (40GeV level) is needed to inject e⁺/e⁻ beams into the main ring.
- **Plasma based schemes (PWFA) may provide a novel and cost effective solution for this injector.**
- A joint research group of Tsinghua Univ. and IHEP has been formed since 2017 to study the feasibility of using plasma based acceleration as a novel solution for CEPC injector.

Circular Higgs Factory (Phase I)
+SppC (Phase II) at same tunnel





A preliminary design of CEPC plasma based high energy injector



- Driver/trailer beam generation through Photo-injector
- HTR PWFA with good stability (single stage $TR=3-4$, Cascaded stage $6-12$, high efficiency)
- Positron generation and acceleration in an electron beam driven PWFA using hollow plasma channel ($TR=1$)

“How to advance?”

Hadron (p) circular collider

$$p = e \cdot R \cdot B_y$$

Increase bending field
SC bend magnet work (FCC-hh)

Increase radius = size (FCC-hh)

Lepton (e-,e+) circular collider

$$p \propto E_0 \cdot \sqrt[4]{\rho \cdot U_0}$$

Increase supplied RF voltage
(FCC-ee)

Increase mass of acc. particle (muon)

Increase radius = size (FCC-ee)

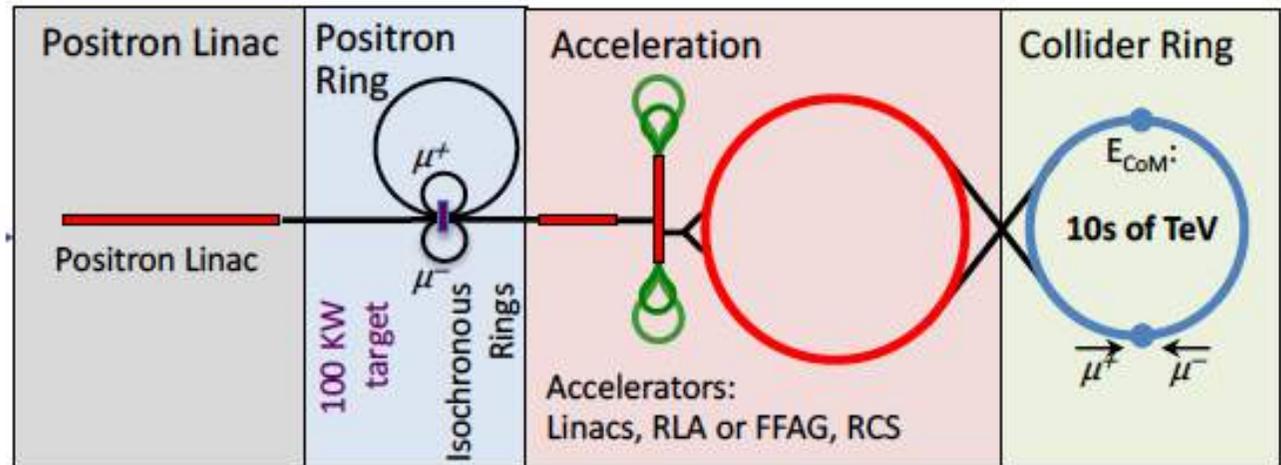
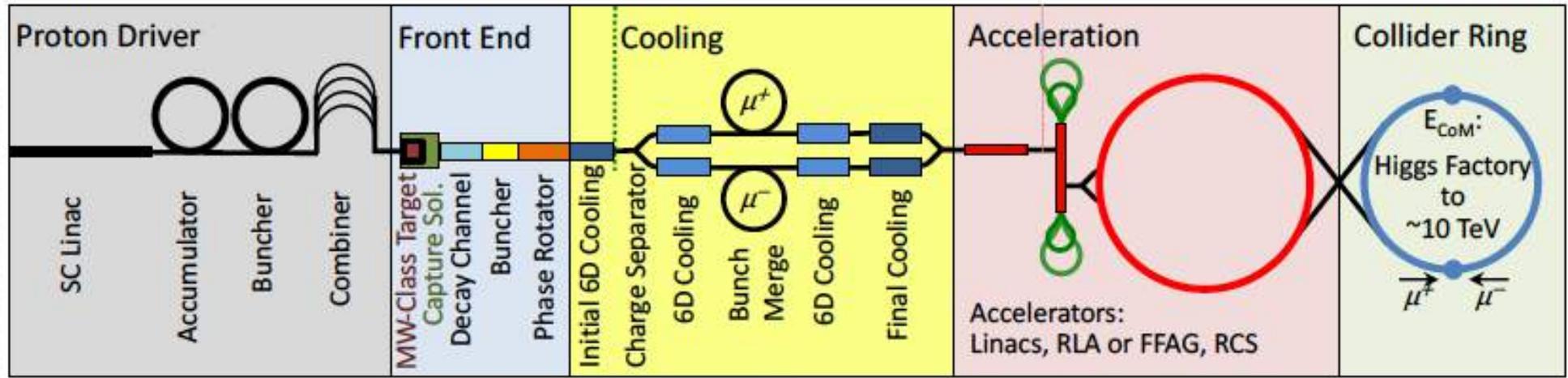
Lepton (e-,e+) linear collider

$$p = L \cdot G_{acc}$$

Increase accelerating gradient
(a) Pushing existing technology (ILC, CLIC)
(b) New regime of ultra-high gradients (plasma, dielectric accelerators)

Increase length (ILC, CLIC)

Muon Collider



“How to advance?”

Hadron (p) circular collider

$$p = e \cdot R \cdot B_y$$

Increase bending field
SC bend magnet work (FCC-hh)

Increase radius = size (FCC-hh)

Lepton (e-,e+) circular collider

$$p \propto E_0 \cdot \sqrt[4]{\rho \cdot U_0}$$

Increase supplied RF voltage
(FCC-ee)

Increase mass of acc. particle (muon)

Increase radius = size (FCC-ee)

Lepton (e-,e+) linear collider

$$p = L \cdot G_{acc}$$

Increase accelerating gradient
(a) Pushing existing technology (ILC, CLIC)
(b) New regime of ultra-high gradients (plasma, dielectric accelerators)

Increase length (ILC, CLIC)

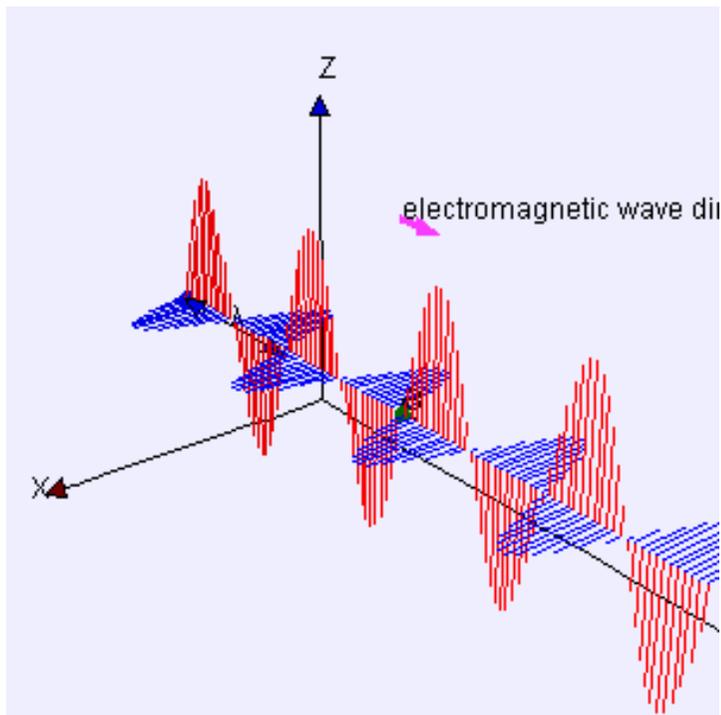
Lawson-Woodward Theorem

(J.D. Lawson, IEEE Trans. Nucl. Sci. NS-26, 4217, 1979)

The net energy gain of a relativistic electron interacting with an electromagnetic field **in vacuum** is zero.

The theorem assumes that

- (i) the laser field is in vacuum with no walls or boundaries present,
- (ii) the electron is highly relativistic ($v \approx c$) along the acceleration path,
- (iii) no static electric or magnetic fields are present,
- (iv) the region of interaction is infinite,



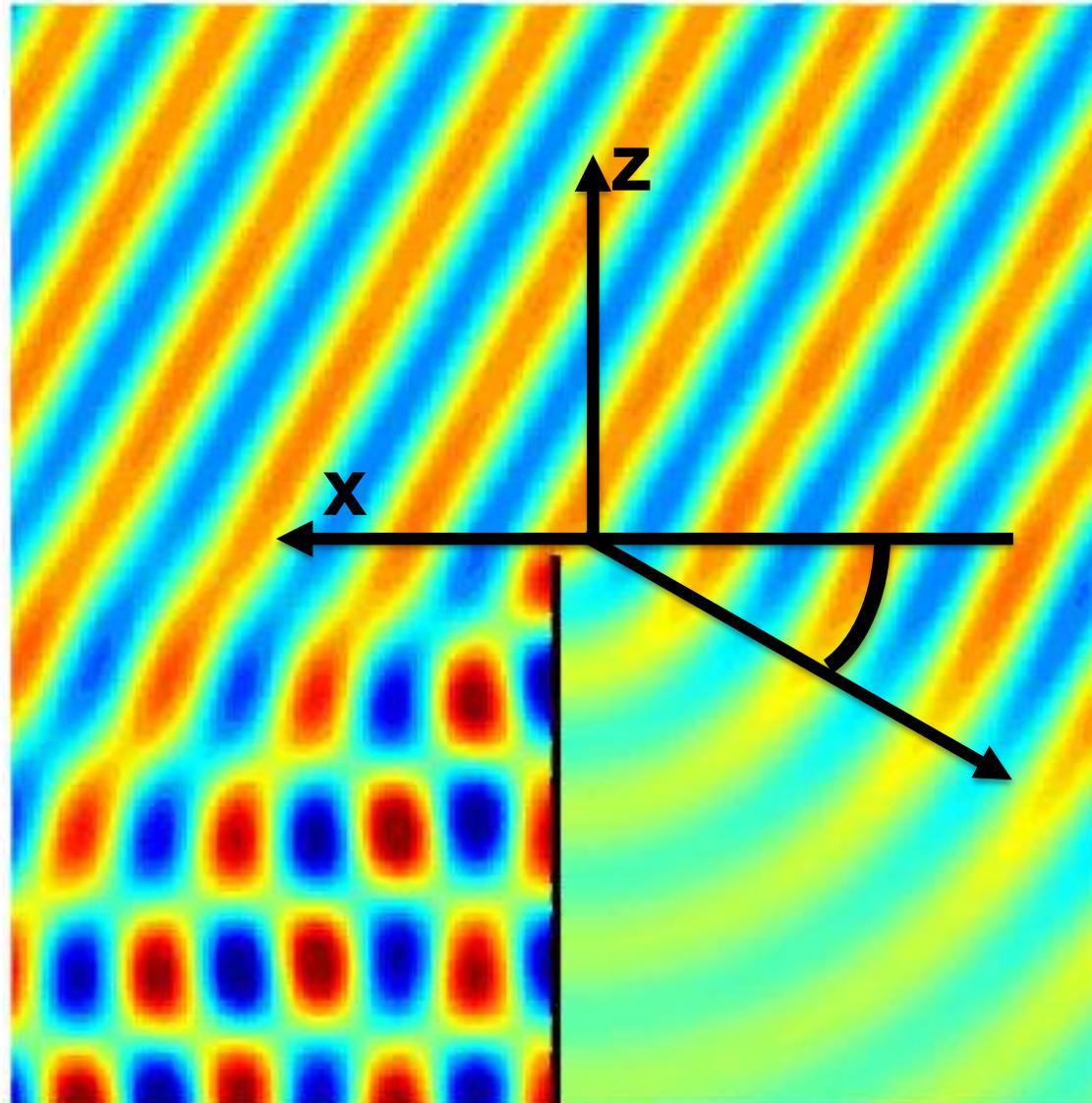
$$\Delta\mathcal{E} = e \int_{-\infty}^{\infty} \mathbf{v} \cdot \mathbf{E}(\mathbf{r}(t), t) dt, \quad \mathbf{r}(t) = \mathbf{r}_0 + \mathbf{v}t,$$

$$\mathbf{E}(\mathbf{r}, t) = \int d^3k \tilde{\mathbf{E}}(\mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{r} - i\omega t}, \quad \omega = ck.$$

$$\begin{aligned} \Delta\mathcal{E} &= e\mathbf{v} \cdot \int_{-\infty}^{\infty} dt \int d^3k \tilde{\mathbf{E}}(\mathbf{k}) e^{i\mathbf{k} \cdot (\mathbf{r}_0 + \mathbf{v}t) - i\omega t} \\ &= 2\pi e \int d^3k \mathbf{v} \cdot \tilde{\mathbf{E}}(\mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{r}_0} \delta(\omega - \mathbf{k} \cdot \mathbf{v}) \equiv 0 \end{aligned}$$

$$\omega - \mathbf{k} \cdot \mathbf{v} = ck(1 - \beta \cos \alpha) > 0, \Rightarrow \delta \equiv 0$$

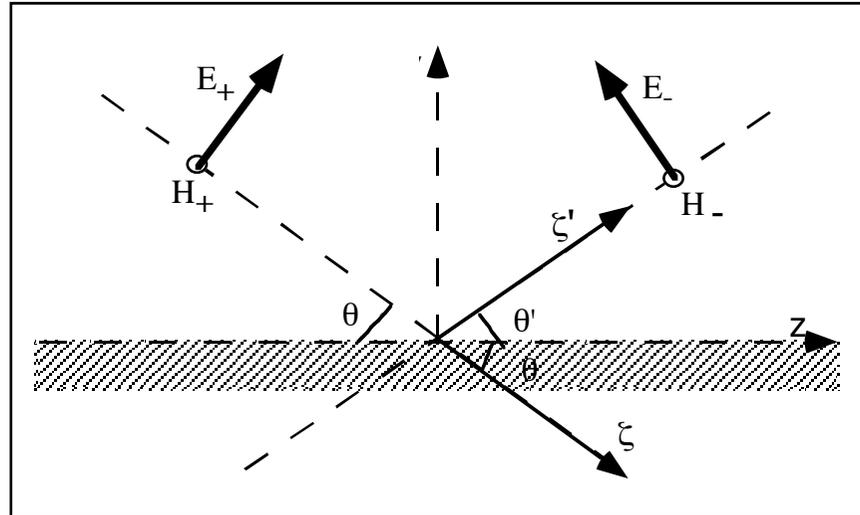
Reflection of plane waves



Reflection of plane waves

Plane wave **reflected by a perfectly conducting plane**

$$\sigma = \infty$$



In the plane xz the field is given by the superposition of the incident and reflected wave:

$$E(x, z, t) = E_+(x_o, z_o, t_o)e^{i\omega t - ik\xi} + E_-(x_o, z_o, t_o)e^{i\omega t - ik\xi'}$$

$$\xi = z \cos \theta - x \sin \theta$$

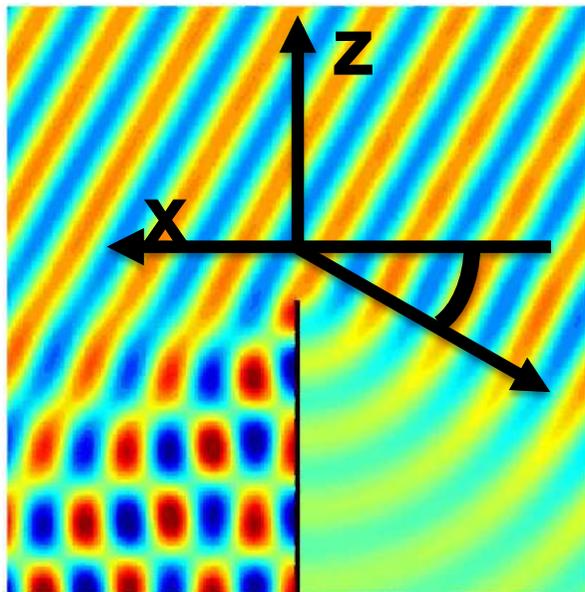
$$\xi' = z \cos \theta' + x \sin \theta'$$

And it has to fulfill the boundary conditions (**no tangential E-field**)

Reflection of plane waves (a first boundary value problem)

Taking into account the boundary conditions the longitudinal component of the field becomes:

$$E_z(x, z, t) = (E_+ \sin \theta) e^{i\omega t - ik(z \cos \theta - x \sin \theta)} - (E_+ \sin \theta) e^{i\omega t - ik(z \cos \theta + x \sin \theta)}$$
$$= 2iE_+ \sin \theta \sin(kx \sin \theta) e^{i\omega t - ikz \cos \theta}$$



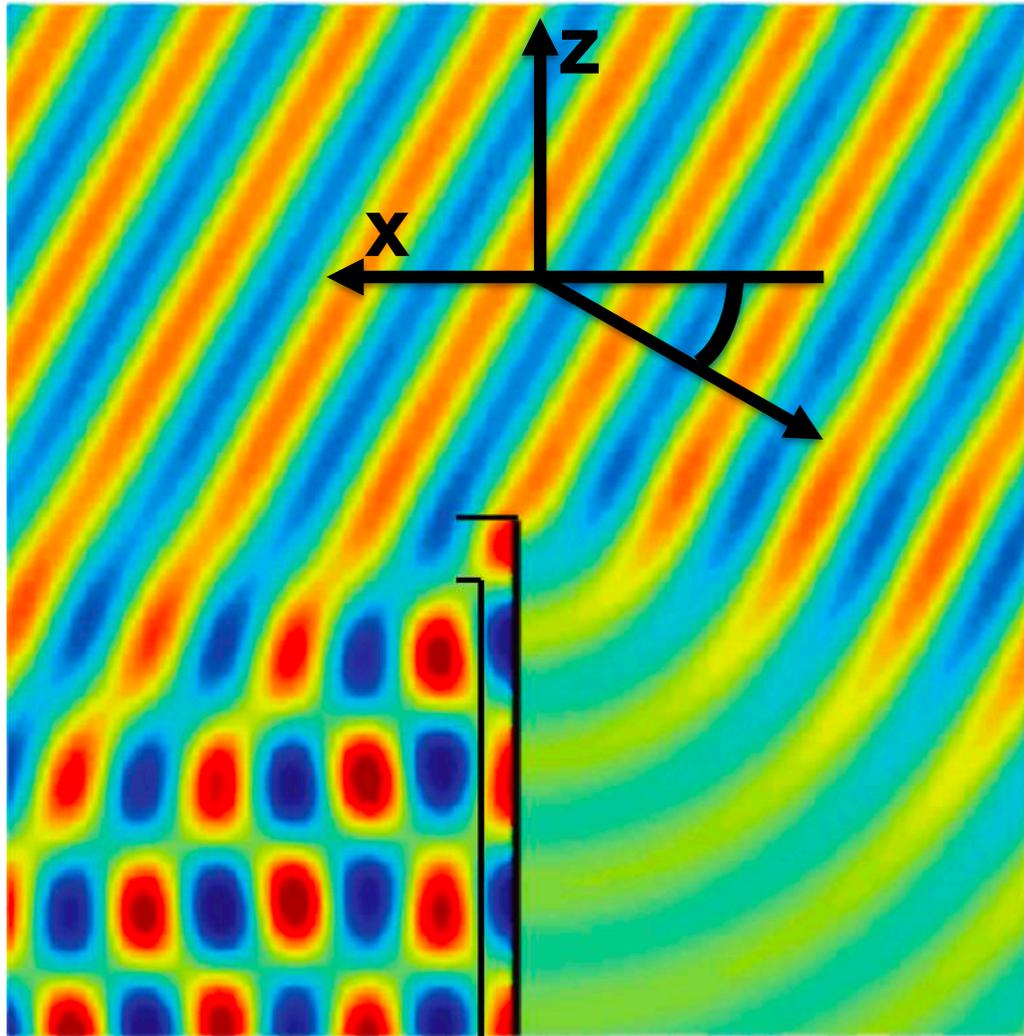
Standing Wave
pattern (along x)

Guided wave
pattern (along z)

The phase velocity is given by

$$v_{\phi z} = \frac{\omega}{k_z} = \frac{\omega}{k \cos \theta} = \frac{c}{\cos \theta} > c$$

From reflections to waveguides



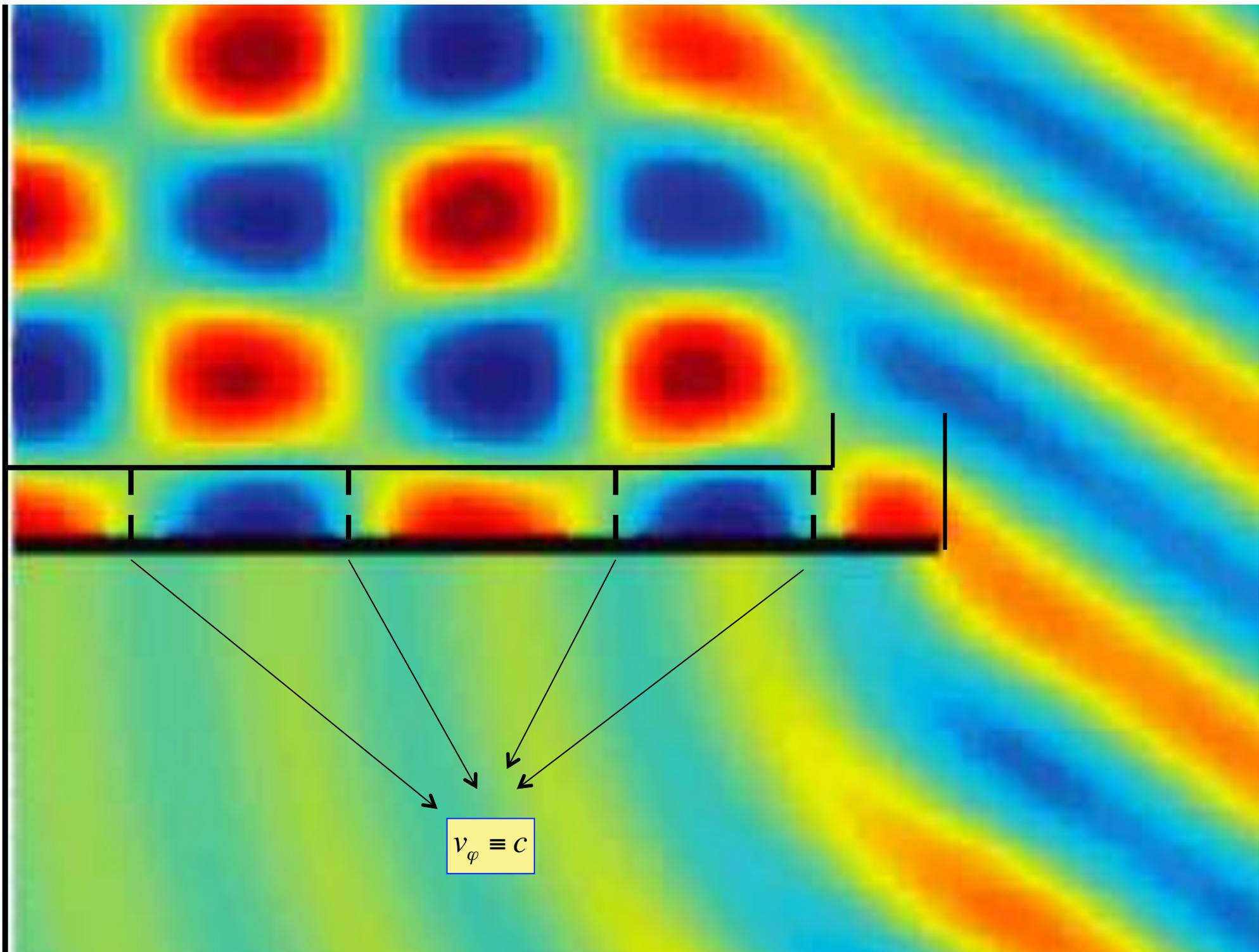
Put a metallic boundary **where the field is zero** at a given distance from the wall.

Between the two walls there must be an **integer number of half wavelengths** (at least one).

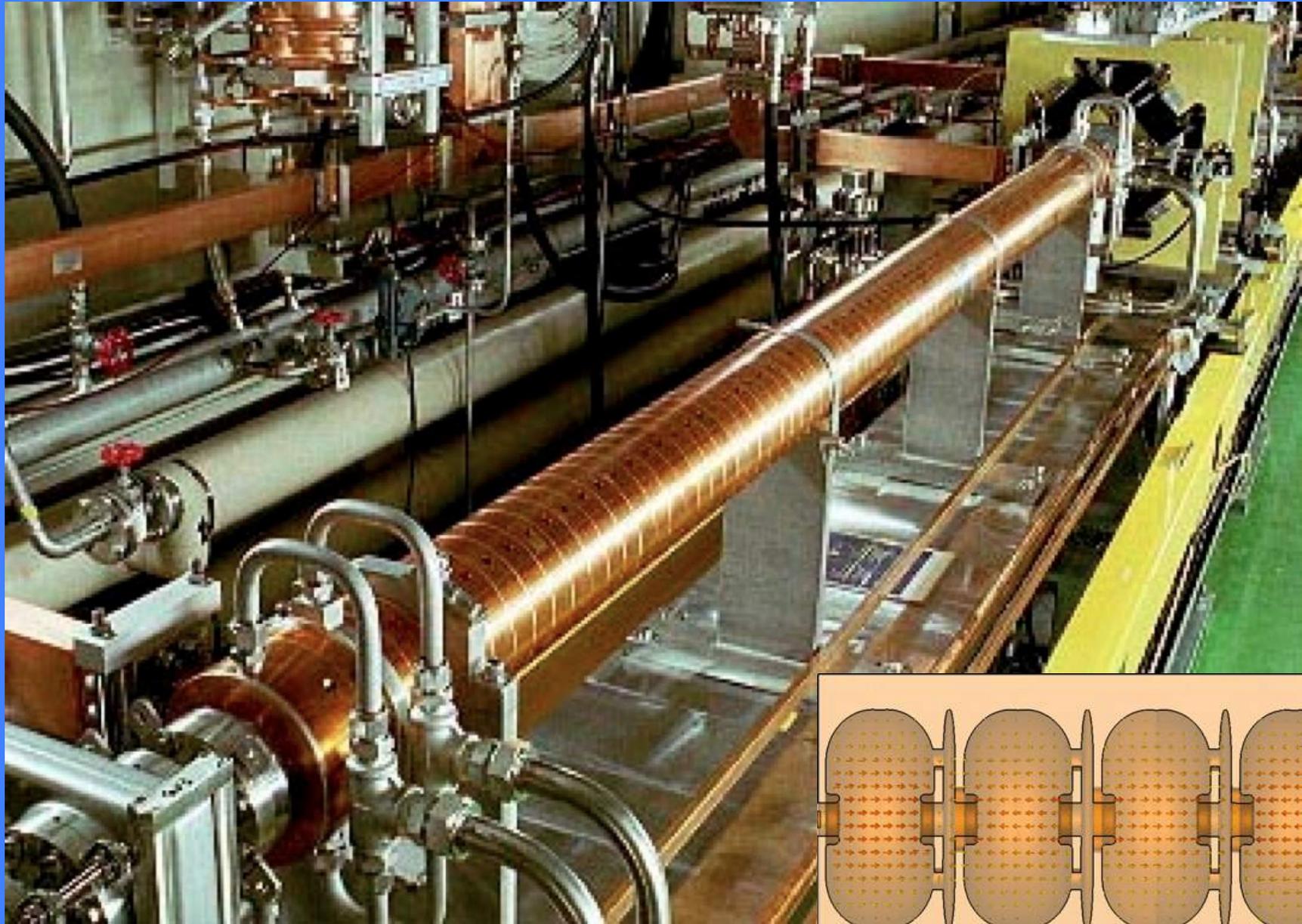
For a given distance, there is a maximum wavelength, i.e. there is **cut-off frequency**.

$$v_{\phi z} = \frac{\omega}{k_z} = \frac{\omega}{k \cos \theta} = \frac{c}{\cos \theta} > c \quad \longrightarrow$$

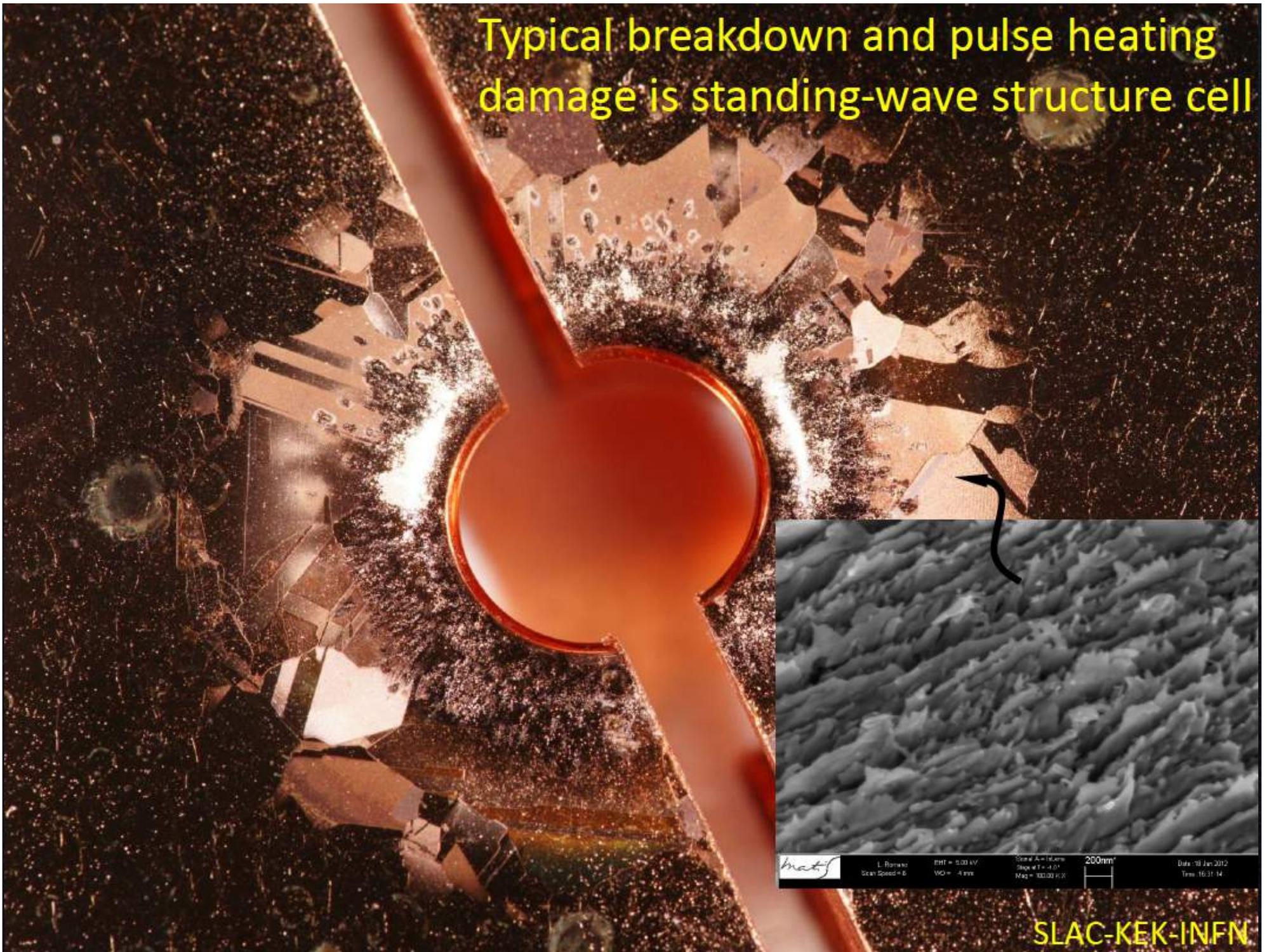
It can not be used as it is for particle acceleration



Conventional RF accelerating structures

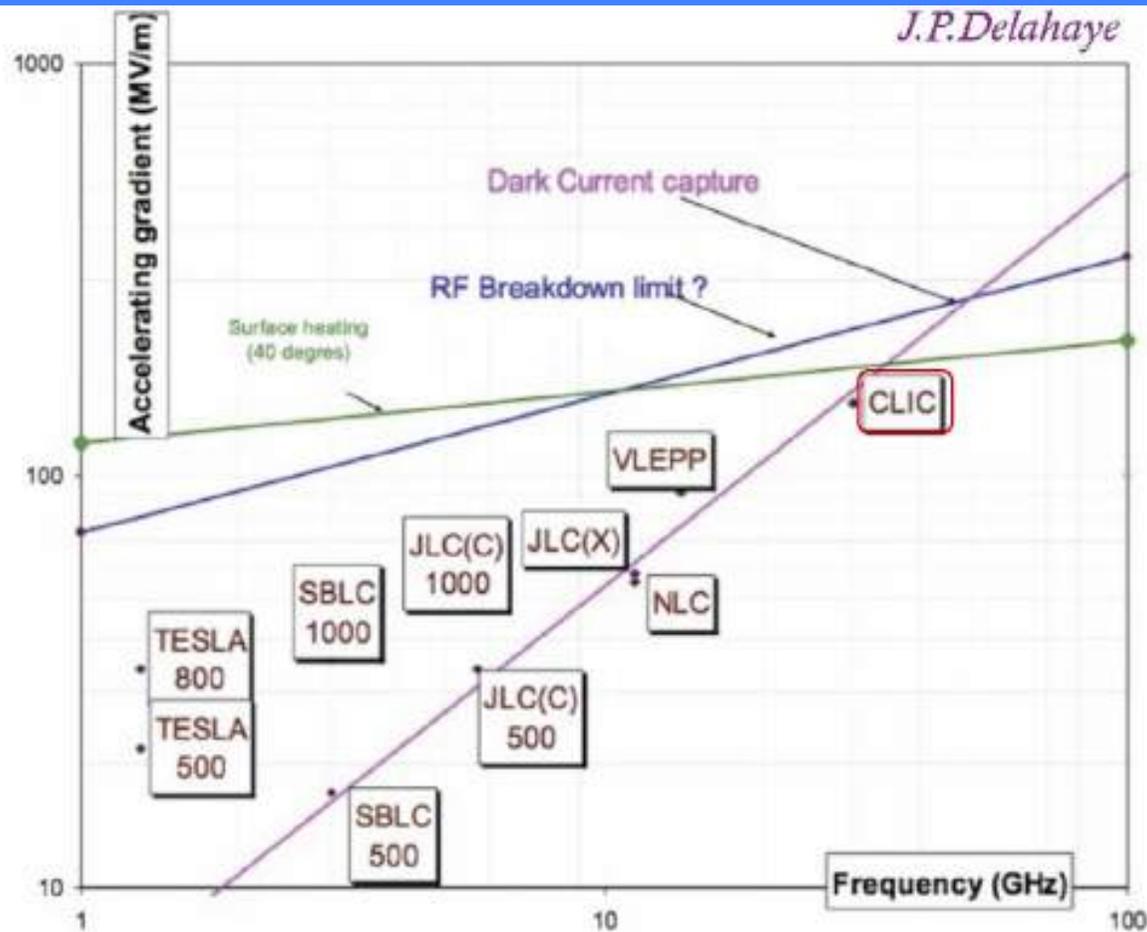


Typical breakdown and pulse heating damage is standing-wave structure cell



matec
L. Romagnolo Scan Speed = 6.00 kV WO = -4 mm Scale = A = 16.0um Date = 18 Jan 2012
Time = 16:31:14
Mag = 100.00 kX
200nm

SLAC-KEK-INFN

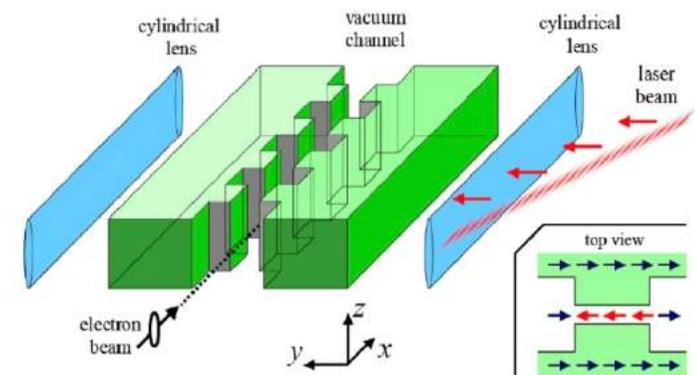
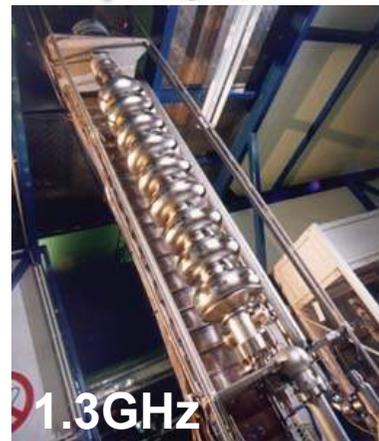
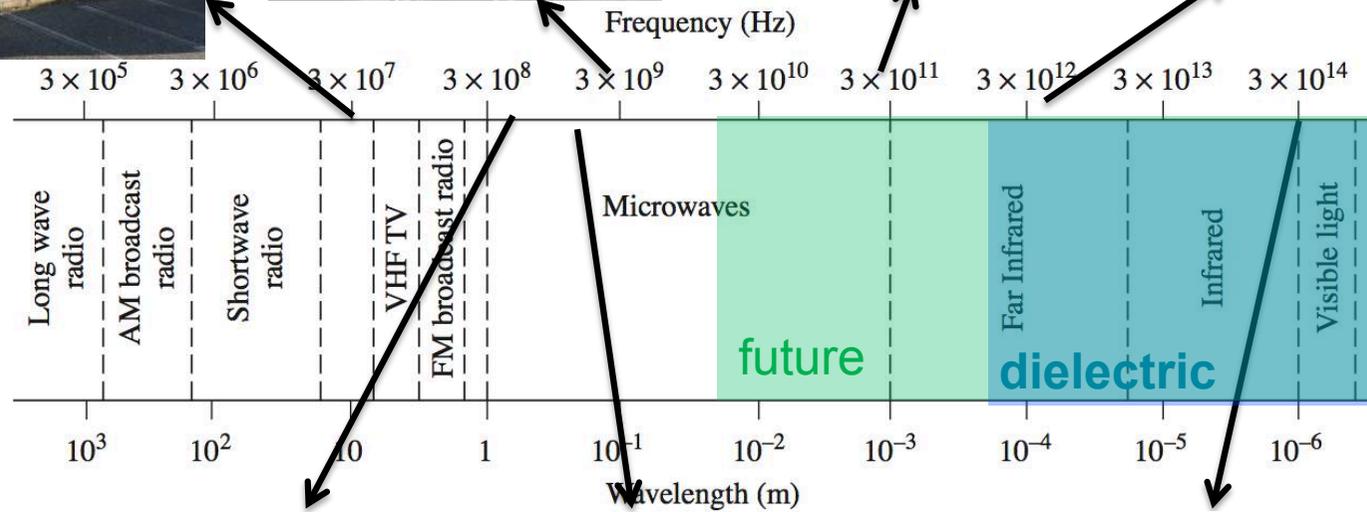
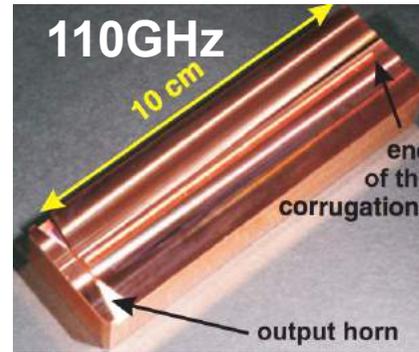
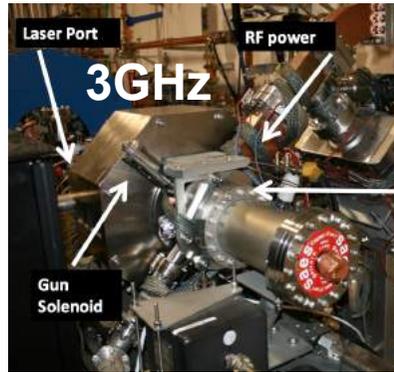


Breakdown limits metal:

$$E_s = 220(f[\text{GHz}])^{1/3} \text{ MV/m}$$

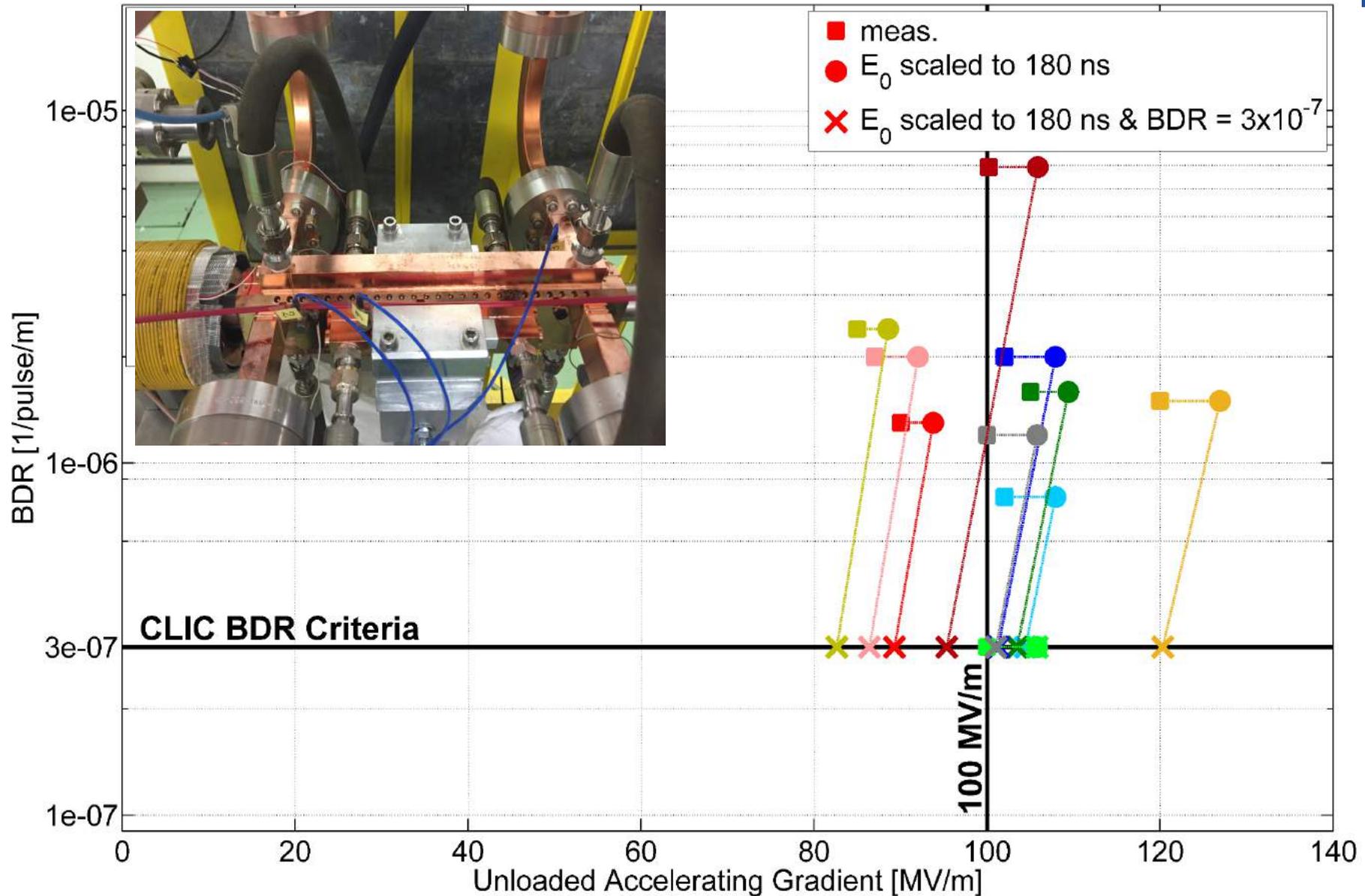
High field -> Short wavelength -> ultra-short bunches -> low charge

The RF spectrum and particle accelerator devices



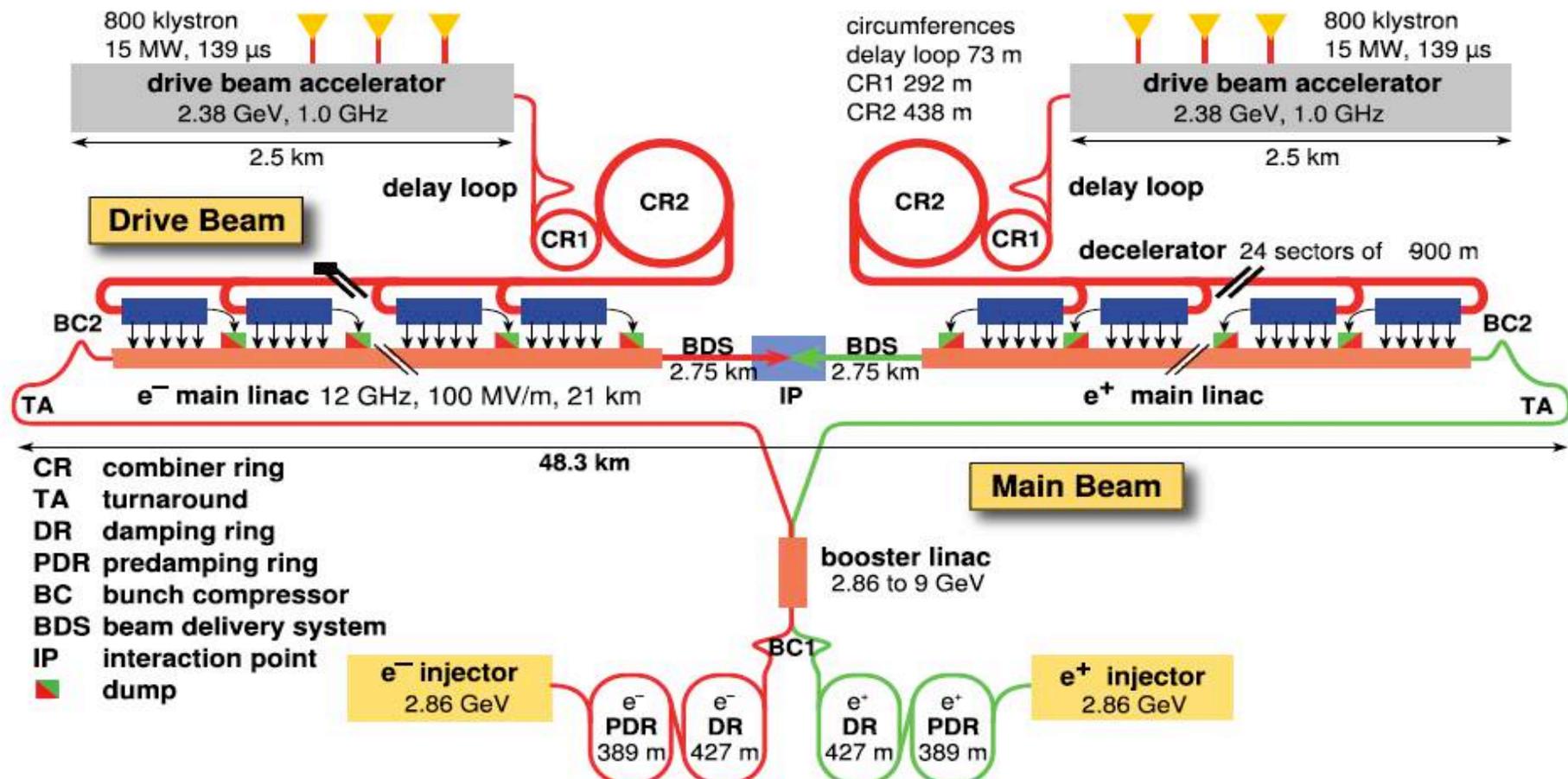


X-band RF structures best performances

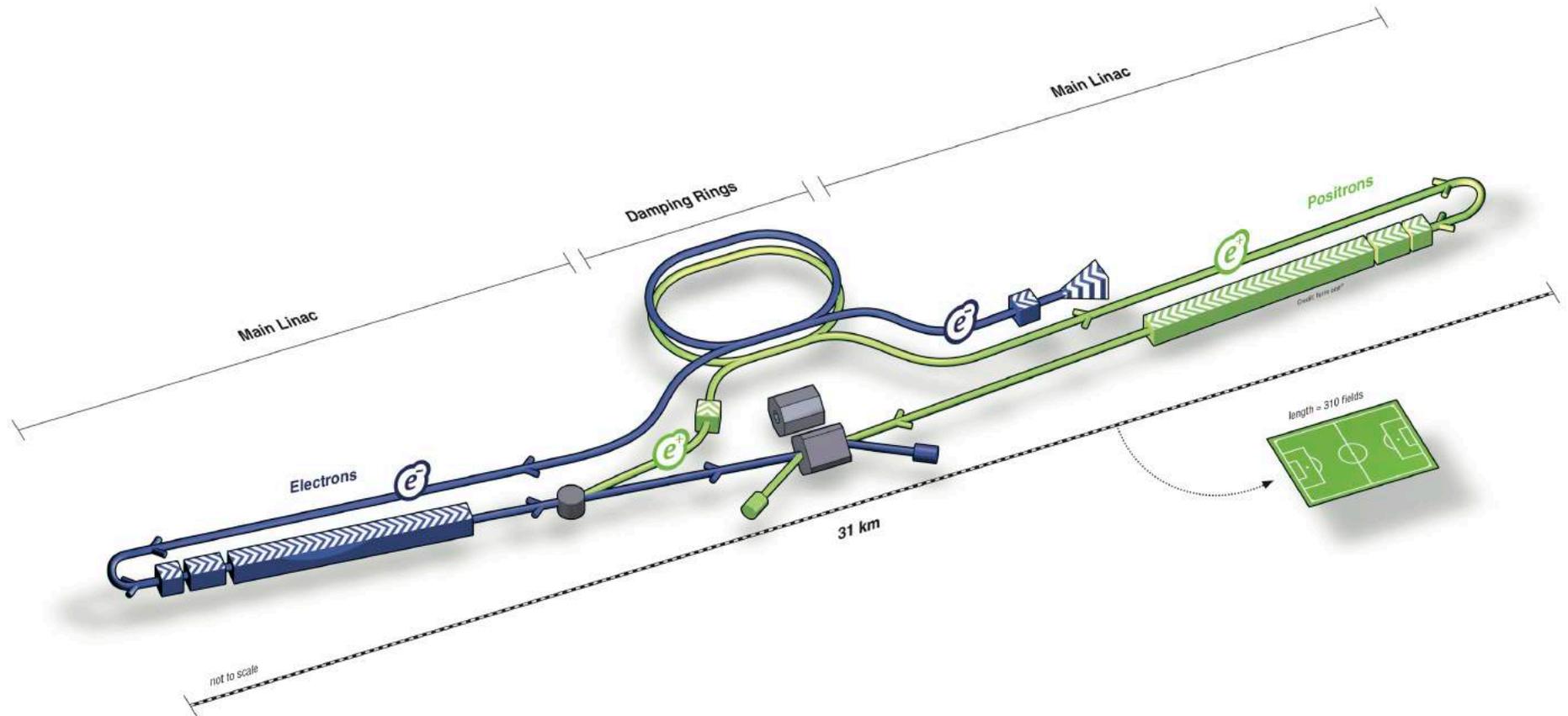


CLIC ... a future Linear e^+ / e^- Accelerator

Avoid bending magnets \Rightarrow no synchrotron radiation losses
 \Rightarrow energy gain has to be obtained **in ONE GO**

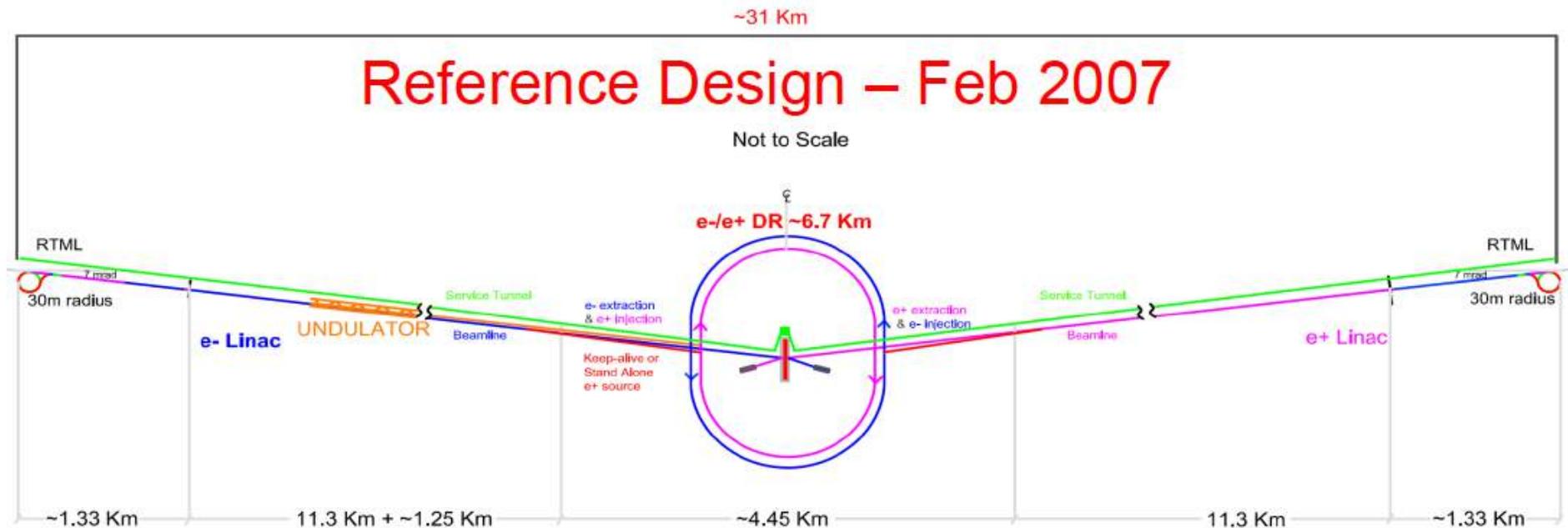


ILC – International Linear Collider



ILC (International Linear Collider) $e^+ e^-$

- 11km SC linacs operating at 31.5 MV/m for 500 GeV
- Centralized injector
- Single IR with 14 mrad crossing angle
- Dual tunnel configuration for safety and availability

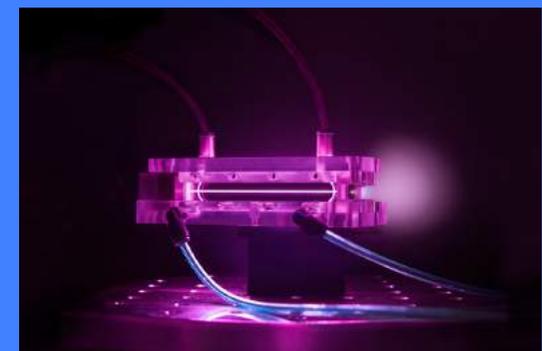
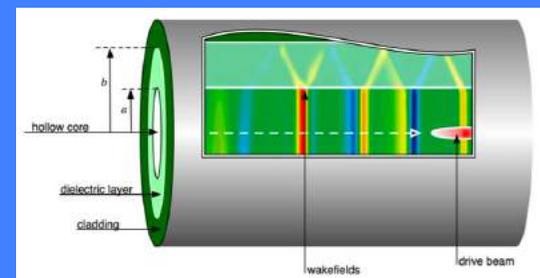
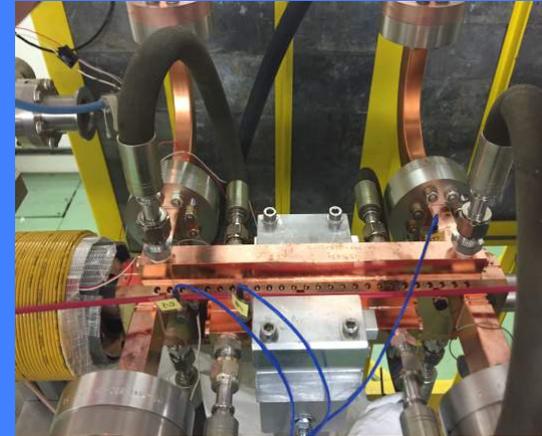


Schematic Layout of the 500 GeV Machine

Documented in Reference Design Report

Next High Gradient Options

- RF accelerating structures, from X-band to K-band => $100 \text{ MV/m} < E_{\text{acc}} < 1 \text{ GV/m}$
- Dielectric structures, laser or particle driven => $1 \text{ GV/m} < E_{\text{acc}} < 5 \text{ GV/m}$
- Plasma accelerator, laser or particle driven => $1 \text{ GV/m} < E_{\text{acc}} < 100 \text{ GV/m}$



Beam Quality

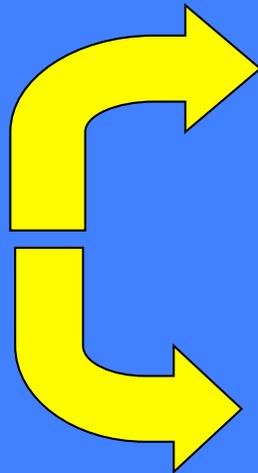
Future accelerators require high quality beams:

==> High Luminosity & High Brightness

==> High Energy & Low Energy Spread



$$L = \frac{N_{e+} N_{e-} f_r}{4\pi\sigma_x^* \sigma_y^*}$$

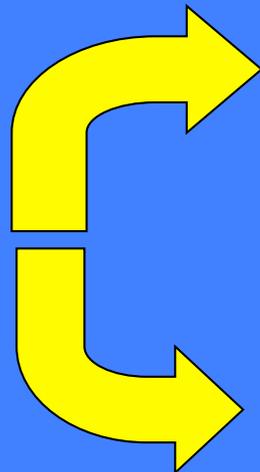


-N of particles per pulse => 10^9
-High rep. rate f_r => bunch trains

-Small spot size => low emittance



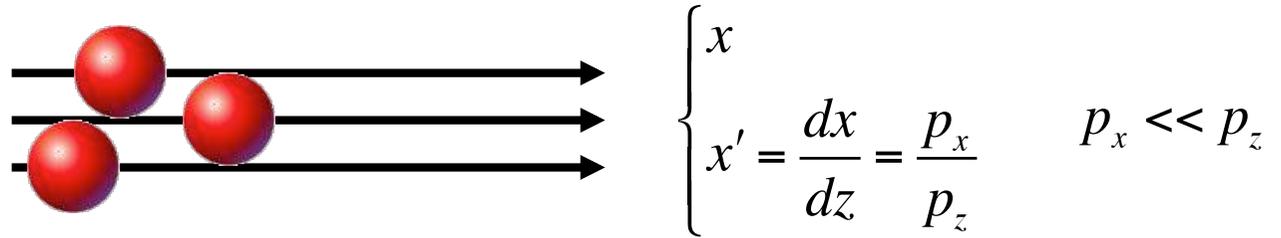
$$B_n \approx \frac{2I}{\varepsilon_n^2}$$



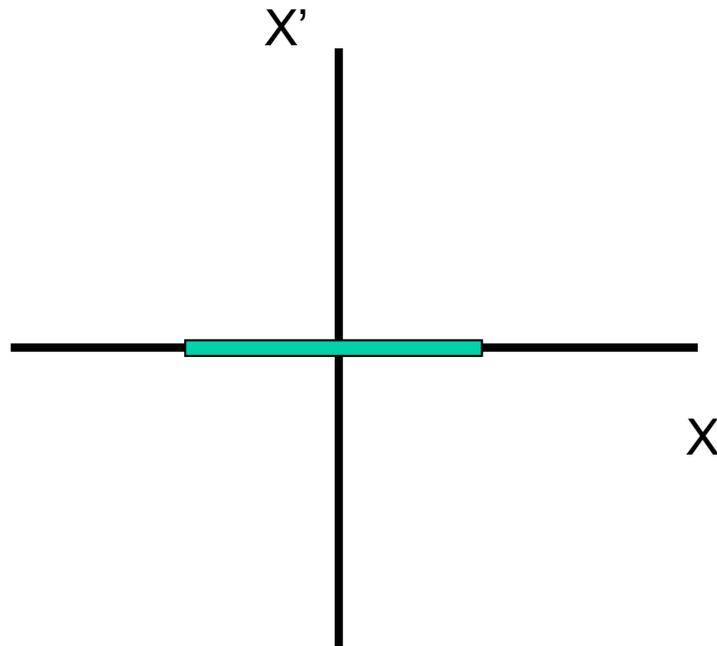
-Short pulse (ps to fs)

-Little spread in transverse momentum and angle => low emittance

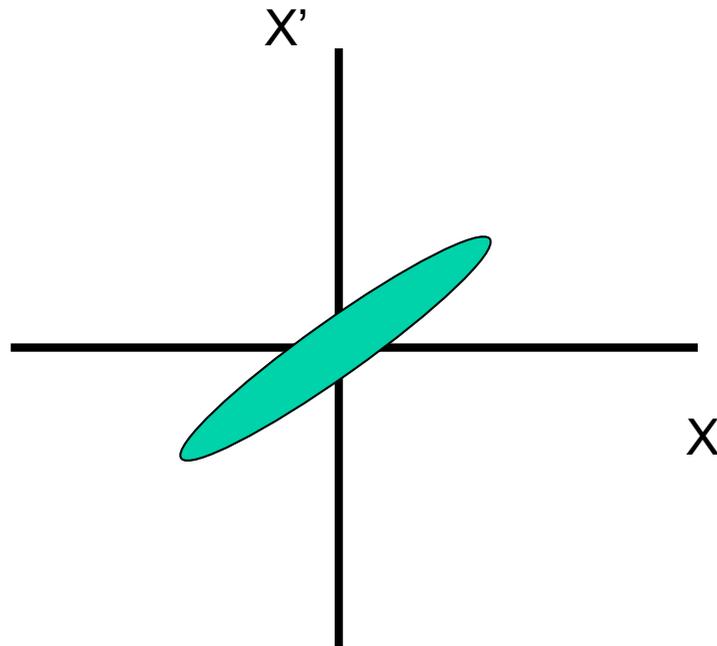
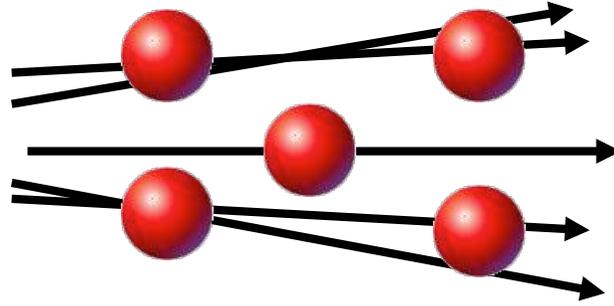
Trace space of an ideal beam



$$\begin{cases} x \\ x' = \frac{dx}{dz} = \frac{p_x}{p_z} \end{cases} \quad p_x \ll p_z$$

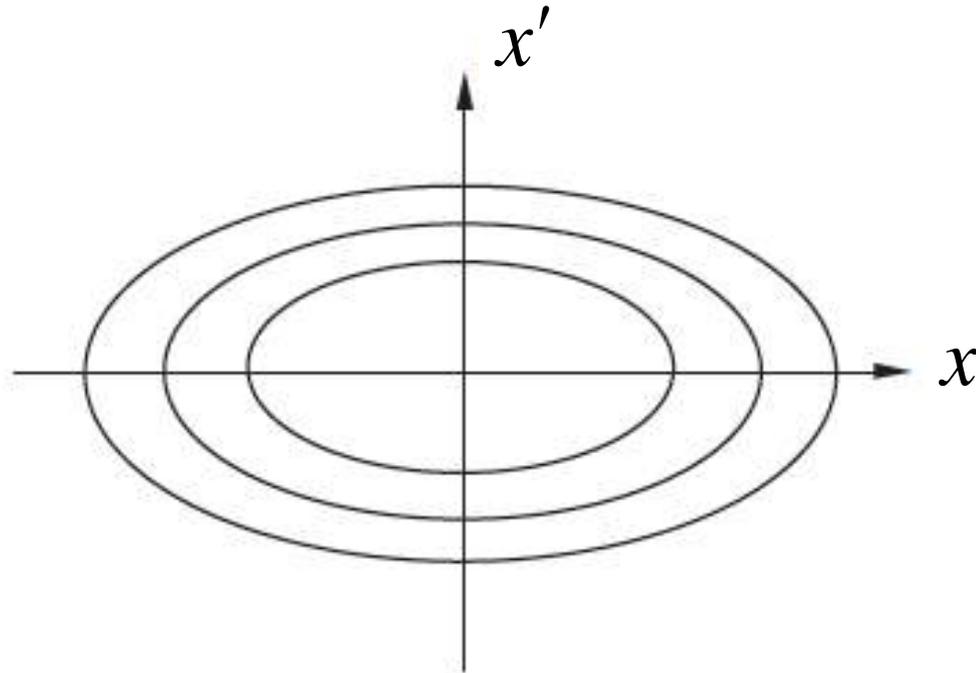


Trace space of non laminar beam



In a system where all the forces acting on the particles are linear (i.e., proportional to the particle's displacement x from the beam axis), it is useful to assume an elliptical shape for the area occupied by the beam in x - x' trace space.

$$\ddot{x} + k^2 x = 0$$



→ Emittance Concept

Geometric emittance:

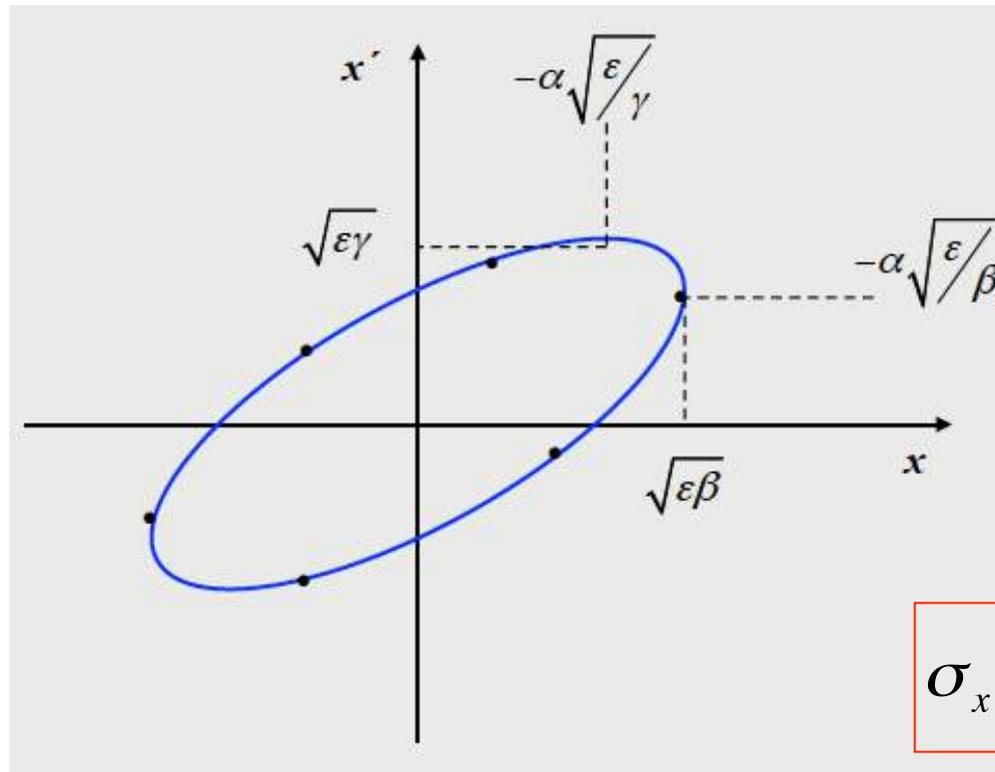
$$\boxed{\varepsilon_g}$$

Ellipse equation: $\gamma x^2 + 2\alpha x x' + \beta x'^2 = \varepsilon_g$

Twiss parameters: $\beta\gamma - \alpha^2 = 1$ $\beta' = -2\alpha$

Ellipse area:

$$A = \pi\varepsilon_g$$



$$\boxed{\sigma_x = \sqrt{\langle x^2 \rangle} = \sqrt{\beta\varepsilon_{rms}}}$$

Liouville theorem: the density of particles n , or the volume V occupied by a given number of particles in phase space (x, p_x, y, p_y, z, p_z) remains invariant under the effect of conservative forces.

$$\frac{dn}{dt} = 0$$

It hold also in the projected phase spaces $(x, p_x), (y, p_y), (z, p_z)$ provided that there are no couplings

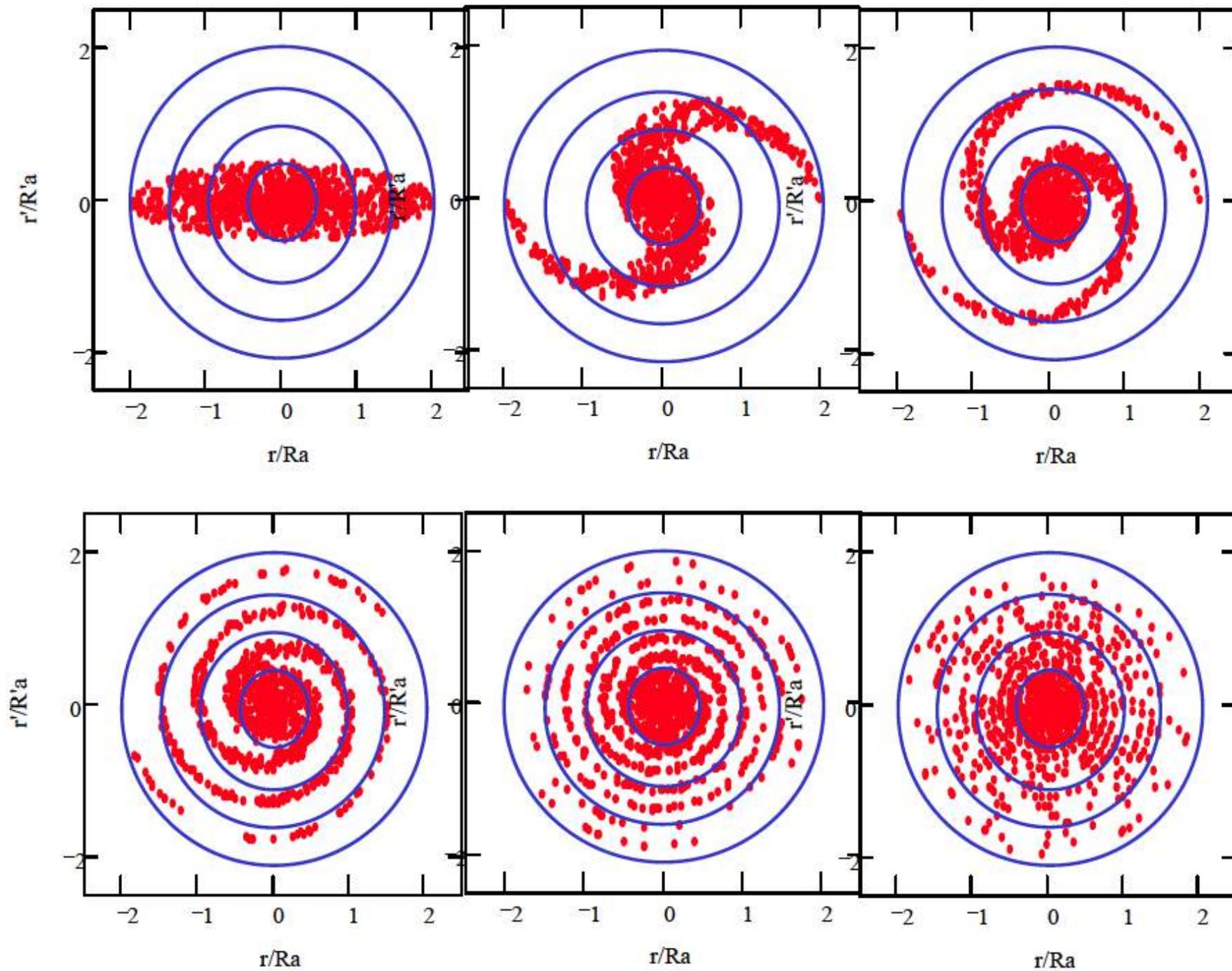
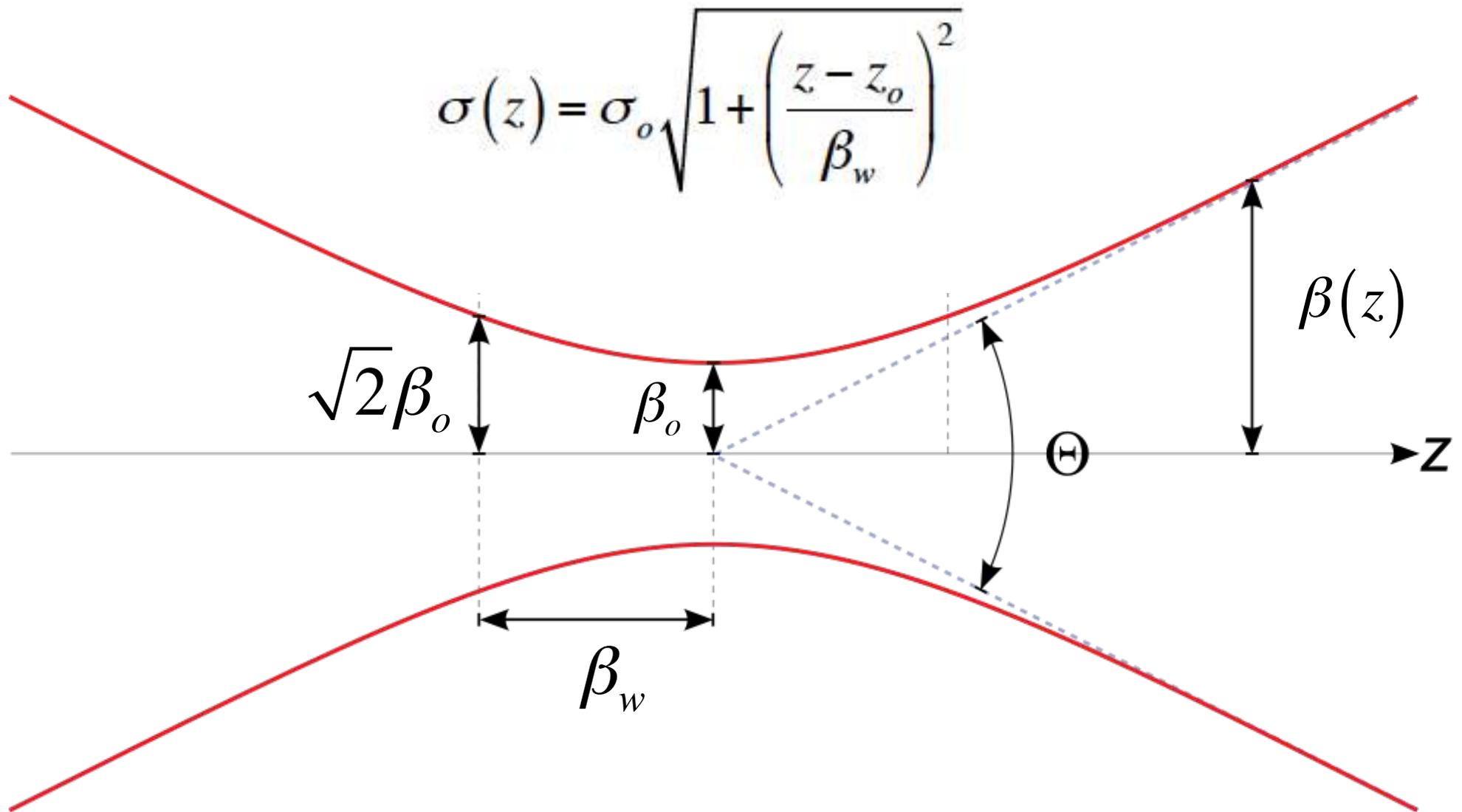
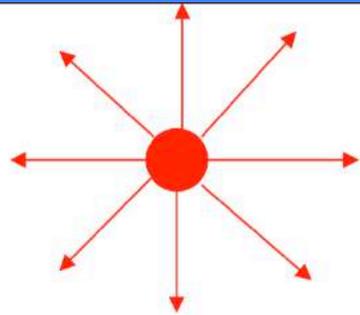


Fig. 17: Filamentation of mismatched beam in non-linear force



For an effective transport of a beam with finite emittance is mandatory to make use of some external force providing beam confinement in the transport or accelerating line.

Space Charge Induced Instabilities



Direct self fields

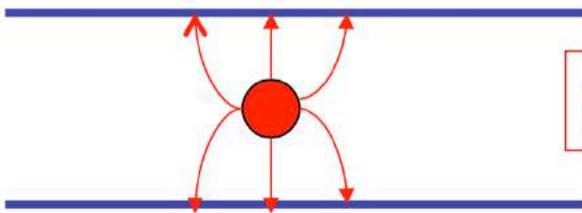
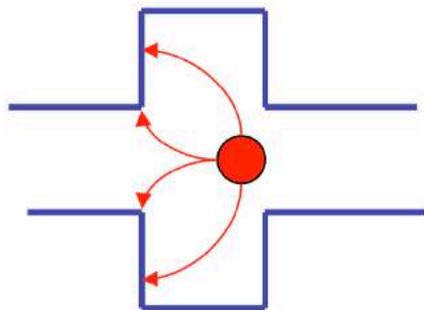


Image self fields



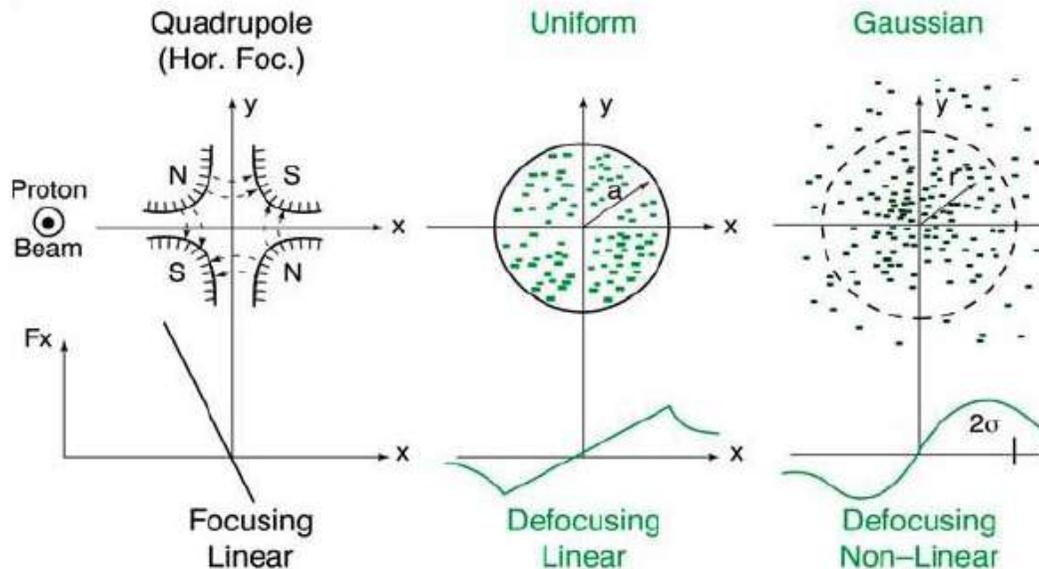
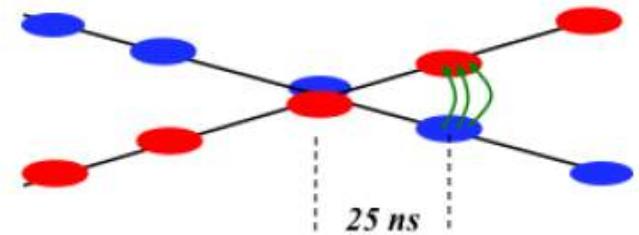
Wake fields

Space Charge

Beam-Beam effect

the colliding bunches influence each other

*=> change the focusing properties of the ring !!
for LHC a strong non-linear defoc. effect*



court. K. Schindl

most simple case:

linear beam beam tune shift

$$\Delta Q_x = \frac{\beta_x^* * r_p * N_p}{2\pi \gamma_p (\sigma_x + \sigma_y) * \sigma_x}$$

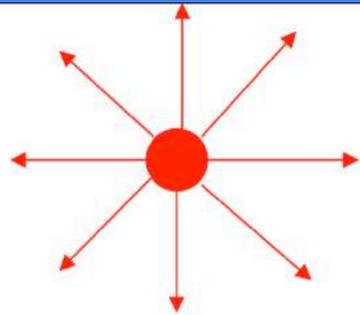
=> puts a limit to N_p

Eigenfrequency of the particles is changed due to the beam beam interaction

Particles are pushed onto resonances and are lost.

Courtesy B. Holzer

Wake Field Induced Instabilities



Direct self fields

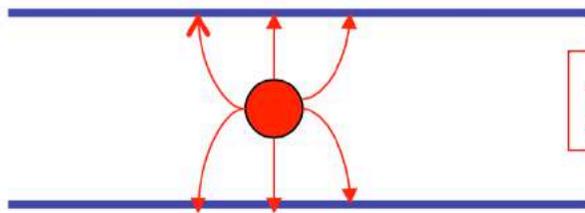
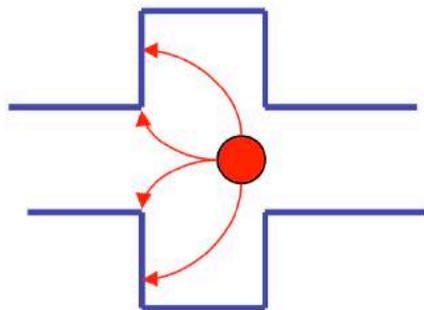
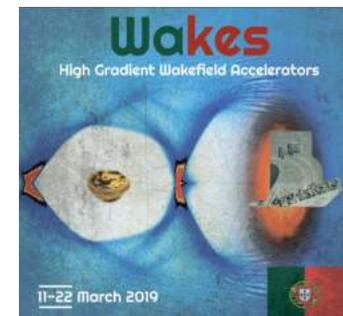


Image self fields



Wake fields

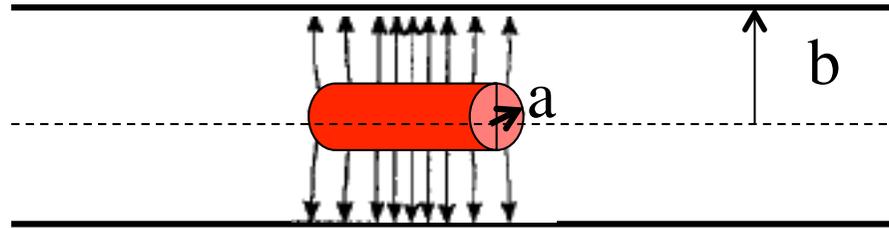
Space Charge



Bunched beam - Circular Perfectly Conducting Pipe

- Beam at Centre- Static Approximation $\gamma \rightarrow \infty$

$$\rho = \frac{I}{\pi a^2 v}$$



$$\varphi(b) = 0$$

$$E_r = \frac{I}{2\pi\epsilon_0 a^2 v} r \quad \text{for } r \leq a$$

$$E_r = \frac{I}{2\pi\epsilon_0 v} \frac{1}{r} \quad \text{for } r > a$$

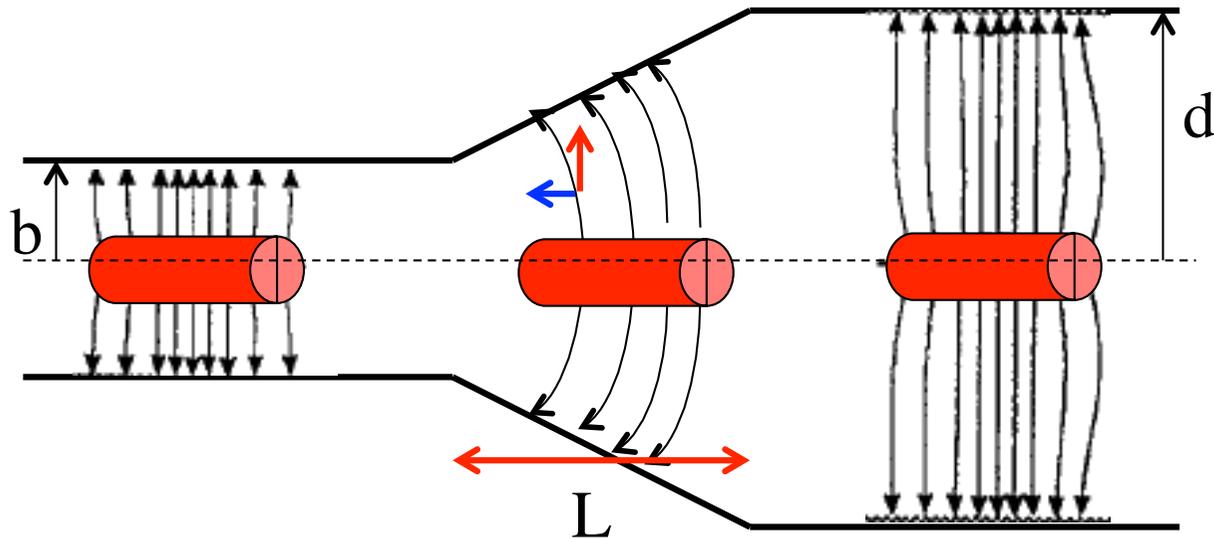
$$B_\vartheta = \frac{\beta}{c} E_r$$

$$\varphi(r) = \int_r^b E_r(r') dr'$$

$$= \frac{I}{4\pi\epsilon_0 v} \left(1 + 2 \ln \frac{b}{a} - \frac{r^2}{a^2} \right) \quad \text{for } r \leq a$$

$$= \frac{I}{2\pi\epsilon_0 v} \ln \frac{b}{r} \quad \text{for } a \leq r \leq b$$

Circular Perfectly Conducting Pipe with Transition



There is a longitudinal $E_z(r,z)$ field in the transition and a test particle experience a voltage given by:

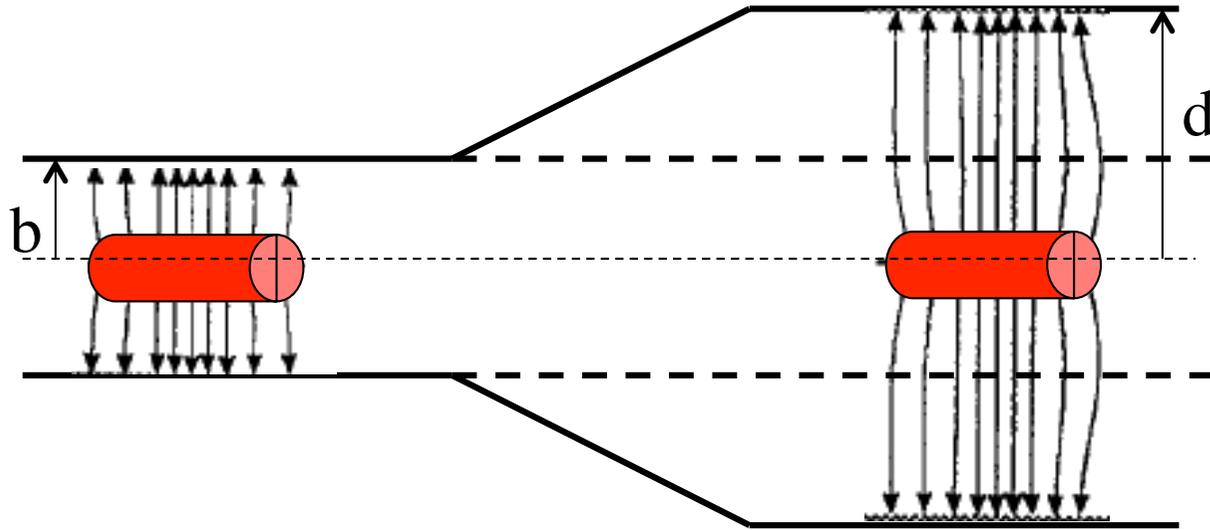
$$V = -\int_0^L E_z(r,z) dz = -(\varphi(r,L) - \varphi(r,0)) = -\frac{I}{2\pi\epsilon_0 v} \ln \frac{d}{b}$$

decelerating if $d > b$

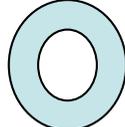
$$P_b = VI = \frac{I^2}{2\pi\epsilon_0 v} \ln \frac{d}{b}$$

Power lost by the beam

For $d > b$ the power is deposited to the energy of the fields: moving from left to right the beam induces the fields in the additional space available



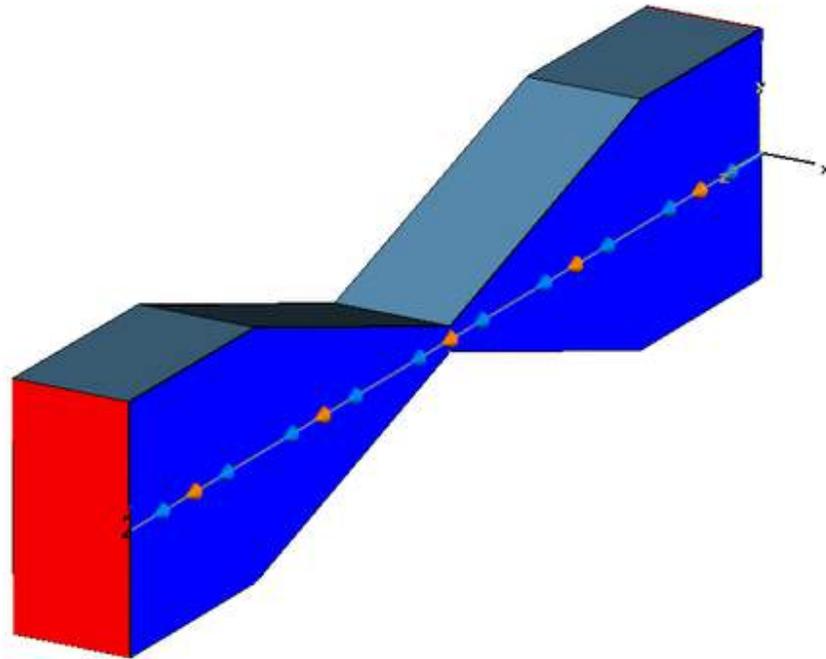
The additional **power passing through the right part of the beam pipe** is obtained by

integrating the Poynting vector through the surface $\Delta S = \pi(d^2 - b^2)$ 

$$P_{em} = \int_{\Delta S} \left(\frac{1}{\mu} \vec{E} \times \vec{B} \right) \cdot d\vec{S} = \int_b^d \frac{E_r B_\vartheta}{\mu} 2\pi r dr = \frac{I^2}{2\pi\epsilon_0 v} \ln \frac{d}{b}$$

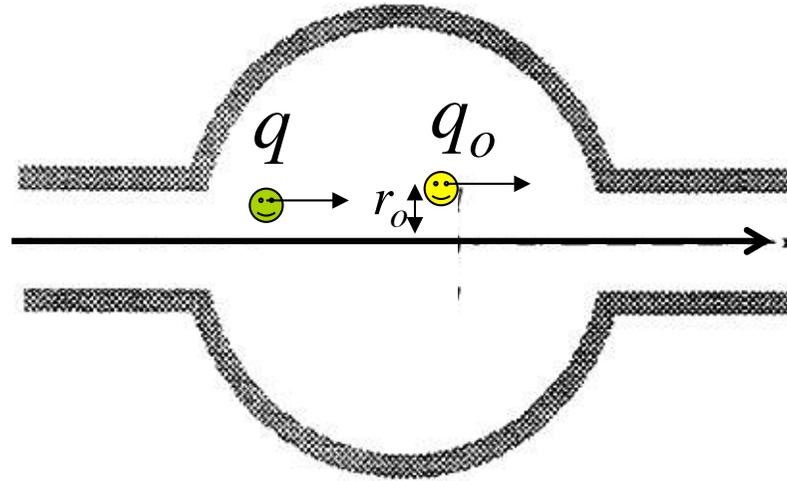
Notice that if **$d < b$ the beam gains energy**. If **$d \rightarrow \infty$ the power goes to infinity**, such an unphysical result is nevertheless consistent with the original assumption of an infinite energy beam ($\gamma \rightarrow \infty$).

Reflected and Diffracted fields



CST MICROWAVE STUDIO®

Wake Potentials



$$\mathbf{F} = q \left[E_z \hat{z} + (E_x - vB_y) \hat{x} + (E_y + vB_x) \hat{y} \right] \equiv \mathbf{F}_{\parallel} + \mathbf{F}_{\perp}$$

there can be two effects on the **test charge** :

- 1) a **longitudinal force** which **changes its energy**,
- 2) a **transverse force** which **deflects its trajectory**.

If we consider a device of length L:

the Energy Gain is:

$$U = \int_0^L F_z ds$$

the Transverse Deflecting Kick is:

$$M = \int_0^L \mathbf{F}_\perp ds$$

These quantities, normalised to the charges, are called *wake-potentials* and are both function of the distance z.

Note that the integration is performed over a given path of the trajectory.

Longitudinal wake potential
[V/C]

$$w_{\parallel} = -\frac{U}{q_o q} = -\frac{V}{q_o}$$

Energy Loss

Transverse wake potential
[V/Cm]

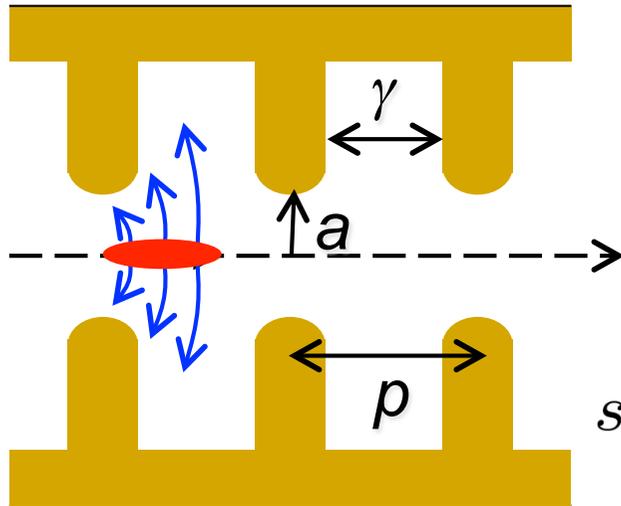
$$w_{\perp} = \frac{1}{r_o} \frac{M}{q_o q}$$

Transverse Kick

The sign minus in the longitudinal wake-potential means that the test charge loses energy when the wake is positive.

Positive transverse wake means that the transverse force is defocusing.

Longitudinal Wakefields of RF Structures



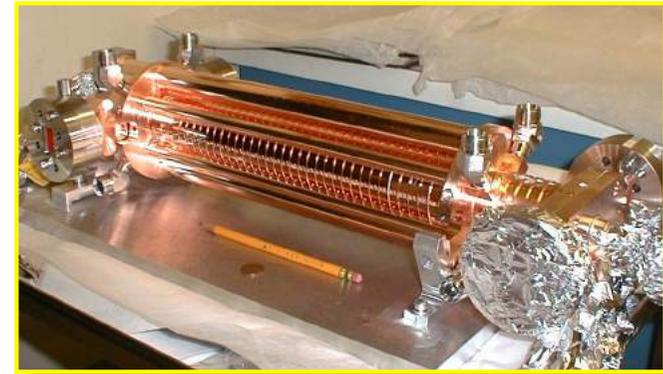
SLAC S-band:

$$a \approx 11.6 \text{ mm}$$

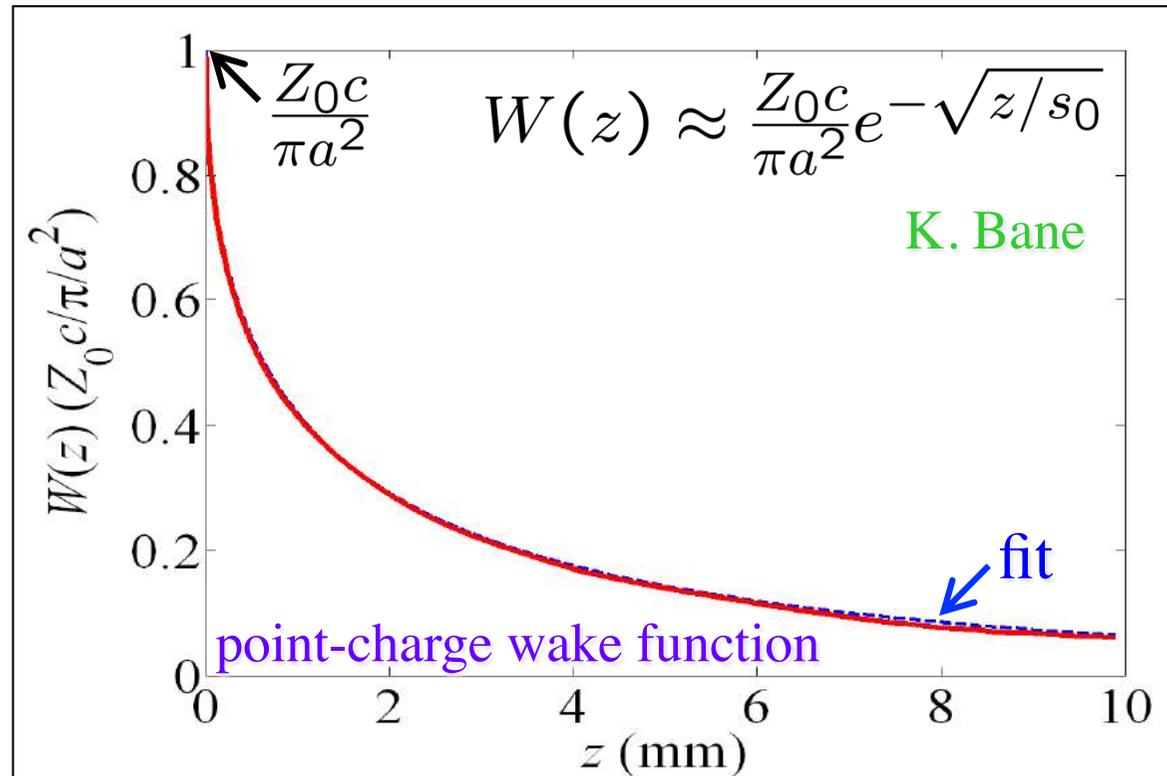
$$\gamma \approx 29.2 \text{ mm}$$

$$p \approx 35.0 \text{ mm}$$

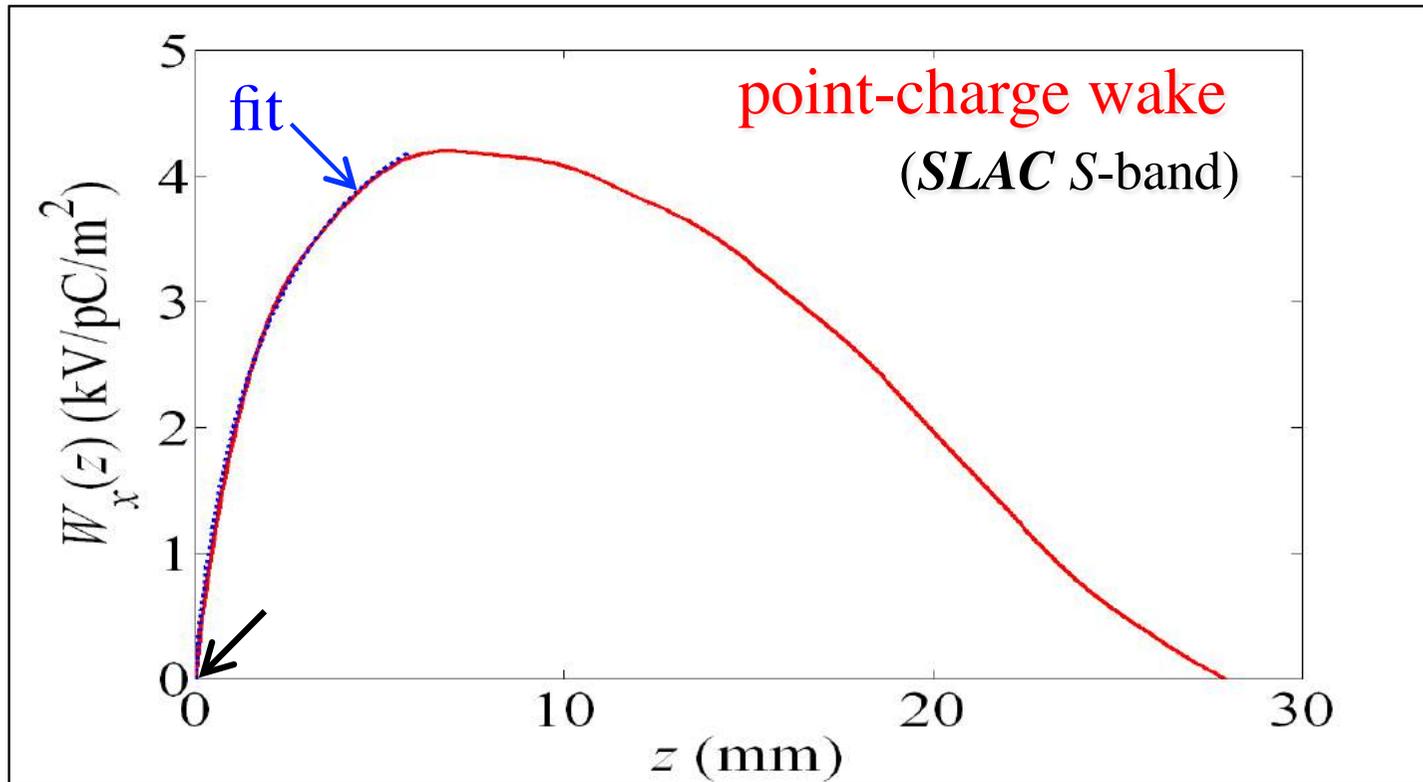
$$s_0 \approx 0.41 \frac{a^{1.8} g^{1.6}}{p^{2.4}}$$



$$W_{//} \propto \omega^2$$



Transverse Wakefields



SLAC S-band
 $s_1 \approx 0.56$ mm
 $a \approx 11.6$ mm
 $A \approx 1.13$
 $z < \sim 6$ mm

$$W_{\perp} \propto \omega^3$$

transverse point-charge wakefield function and short-range fit:

$$W_x(z) \approx A \frac{4Z_0cs_1}{\pi a^4} \left[1 - (1 + \sqrt{z/s_1})e^{-\sqrt{z/s_1}} \right], \quad z < 6 \text{ mm}$$

Beam Break Up

A beam injected off-center in a LINAC, because of the focusing quadrupoles, execute betatron oscillations. The displacement produces a transverse wake field in all the devices crossed during the flight, which deflects the trailing charges.

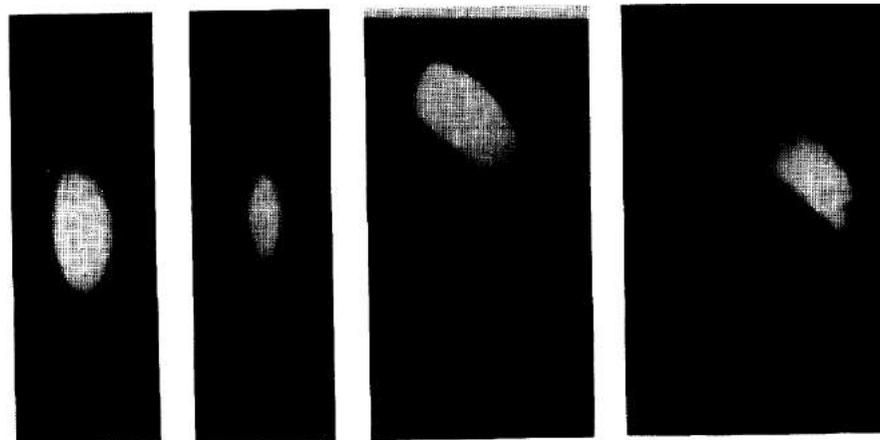
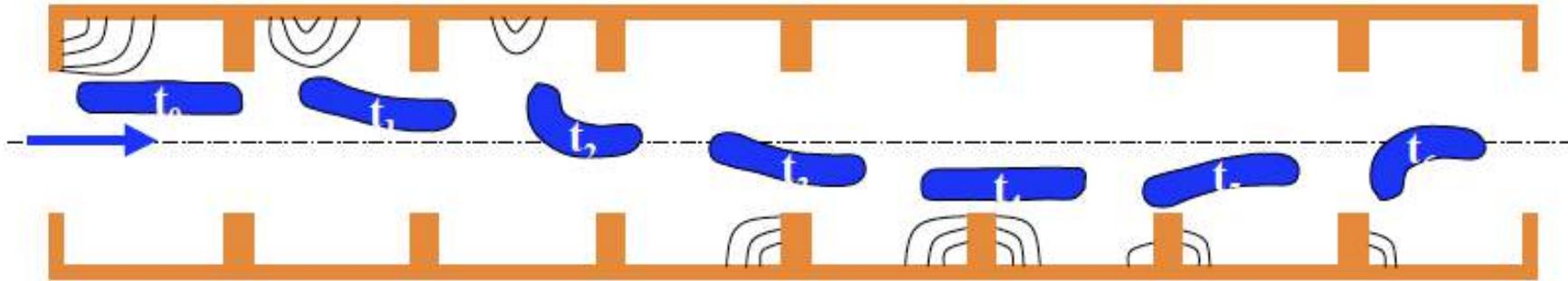


Figure 3.4. Four transverse beam profiles observed at the end of the SLAC linac are shown when the beam was carefully injected and injected with 0.2, 0.5, and 1 mm offsets. The beam sizes σ_x and σ_y are about $120 \mu\text{m}$. (Courtesy John Seeman, 1991.)

“How to advance?”

Hadron (p) circular collider

$$p = e \cdot R \cdot B_y$$

Increase bending field
SC bend magnet work (FCC-hh)

Increase radius = size (FCC-hh)

Lepton (e-,e+) circular collider

$$p \propto E_0 \cdot \sqrt[4]{\rho \cdot U_0}$$

Increase supplied RF voltage
(FCC-ee)

Increase mass of acc. particle (muon)

Increase radius = size (FCC-ee)

Lepton (e-,e+) linear collider

$$p = L \cdot G_{acc}$$

Increase accelerating gradient
(a) Pushing existing technology (ILC, CLIC)
(b) New regime of ultra-high gradients (plasma, dielectric accelerators)

Increase length (ILC, CLIC)

Phenomenological Model of Accelerator costs

- ❖ Total project cost [TPC] divided into three components

$$\text{TPC} = \alpha \left(\frac{L}{10[\text{km}]} \right)^{\frac{1}{2}} + \beta \left(\frac{E}{1[\text{TeV}]} \right)^{\frac{1}{2}} + \gamma \left(\frac{P}{100[\text{MW}]} \right)^{\frac{1}{2}}$$

- ❖ Civil Engineering and construction
- ❖ Accelerator components
- ❖ Facility Infrastructure
- ❖ Phenomenological formula parametrised in terms of tunnel length[L], centre-of-mass Energy[E] and total site AC power [P]
- ❖ Coefficient beta is technology dependent

$$\alpha = \$2B$$

$$\gamma = \$2B$$

$$\beta = \$1B \text{ (NCmagnets),}$$

$$\beta = \$2B \text{ (SCmagnets),}$$

$$\beta = \$8B \text{ (NCRF),}$$

$$\beta = \$10B \text{ (SCRF)}$$

Estimated costs of future facilities

- ❖ Costs are in American accounting, i.e. including all labour costs. In European accounting this would be a factor ~ 2 -2.5 smaller.

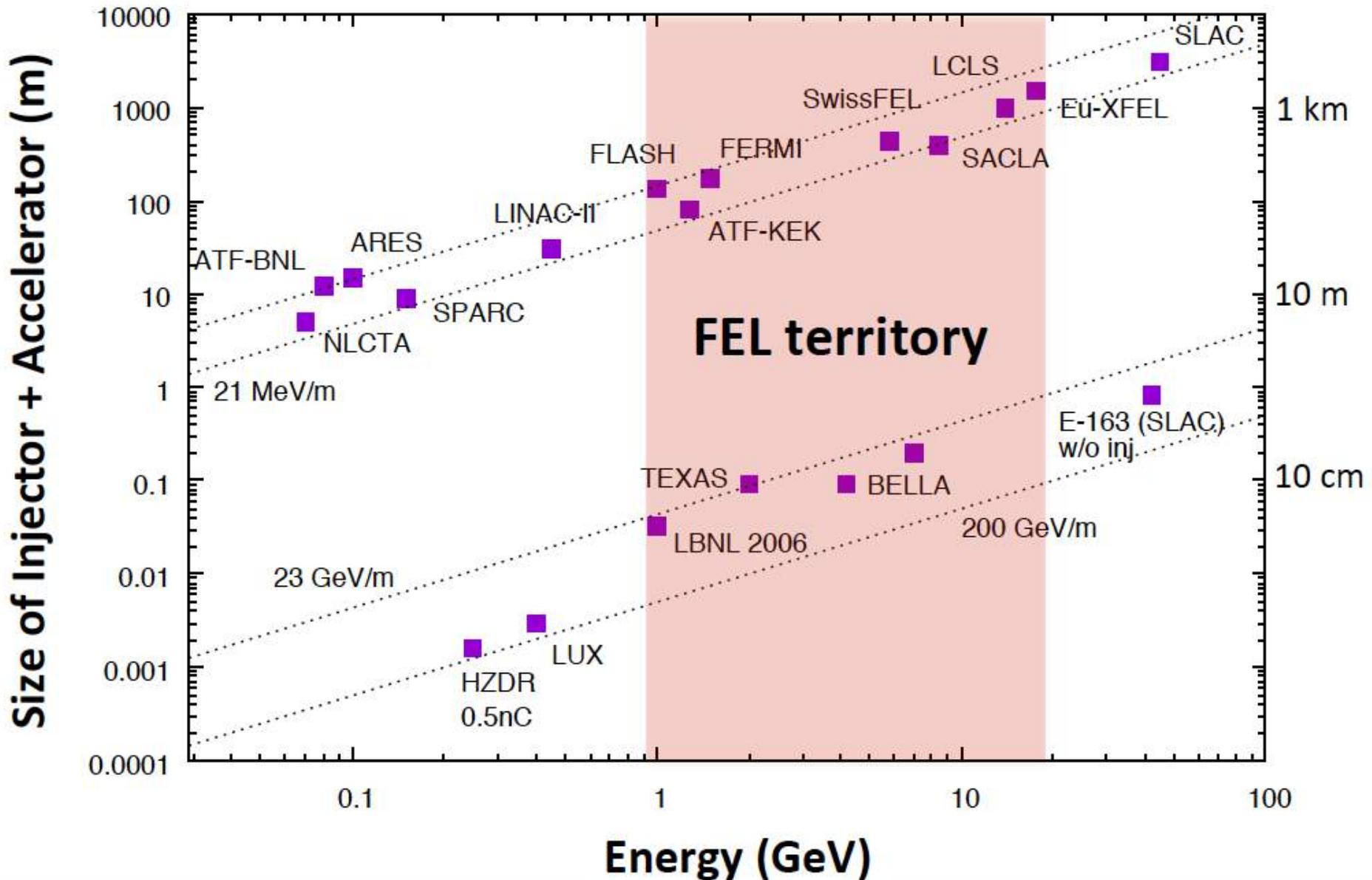
	E [TeV]	L [km]	P [MW]	$\alpha\beta\gamma$ TPC [\$B]	Civil construction cost [\$B]
Ce^+e^-C	0.25	54	~ 500	10.2	4.6
FCC-ee	0.25	100	~ 300	10.9	6.3
ILC	0.5	36	163	13.1	3.8
CLIC	3	60	~ 560	23.5	4.9
μ collider	6	20	~ 230	12.9	2.8
LHC-33	33	0	~ 100	4.8	0?
SppC(China)	50	54	~ 300	25.5	4.6
FCC-pp	100	100	~ 400	30.3	6.3

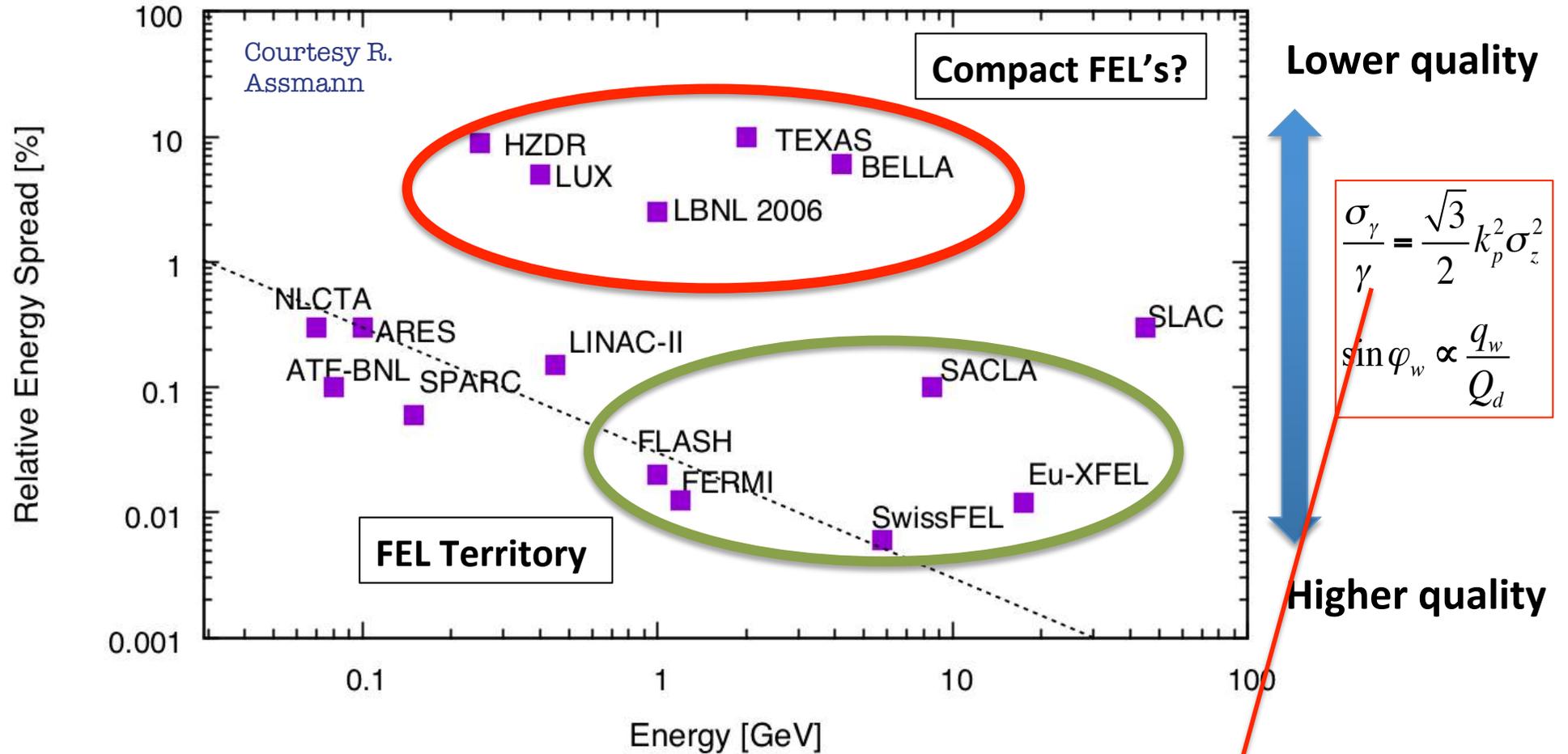
These are Shiltsev's numbers, in no way approved by any of the proponents of these machines.

- ❖ Power usage is substantial. Rate of energy usage, ~ 1 kW / person.
- ❖ A small nuclear power station gives 500MW of power.

Parameters for CepC, ILC, HE-LHC, SppC have now changed.

Next challenges for PWA





$$\frac{\sigma_\gamma}{\gamma} = \frac{\sqrt{3}}{2} k_p^2 \sigma_z^2$$

$$\sin \varphi_w \propto \frac{q_w}{Q_d}$$

$$\varepsilon_{n,rms} = \sqrt{\langle \gamma^2 \rangle (\sigma_\gamma^2 \sigma_x^2 \sigma_{x'}^2 + \varepsilon_{rms}^2)}$$

4th EAAC 15-22 September, 2019

Isola d'Elba

TALKS



MEALS

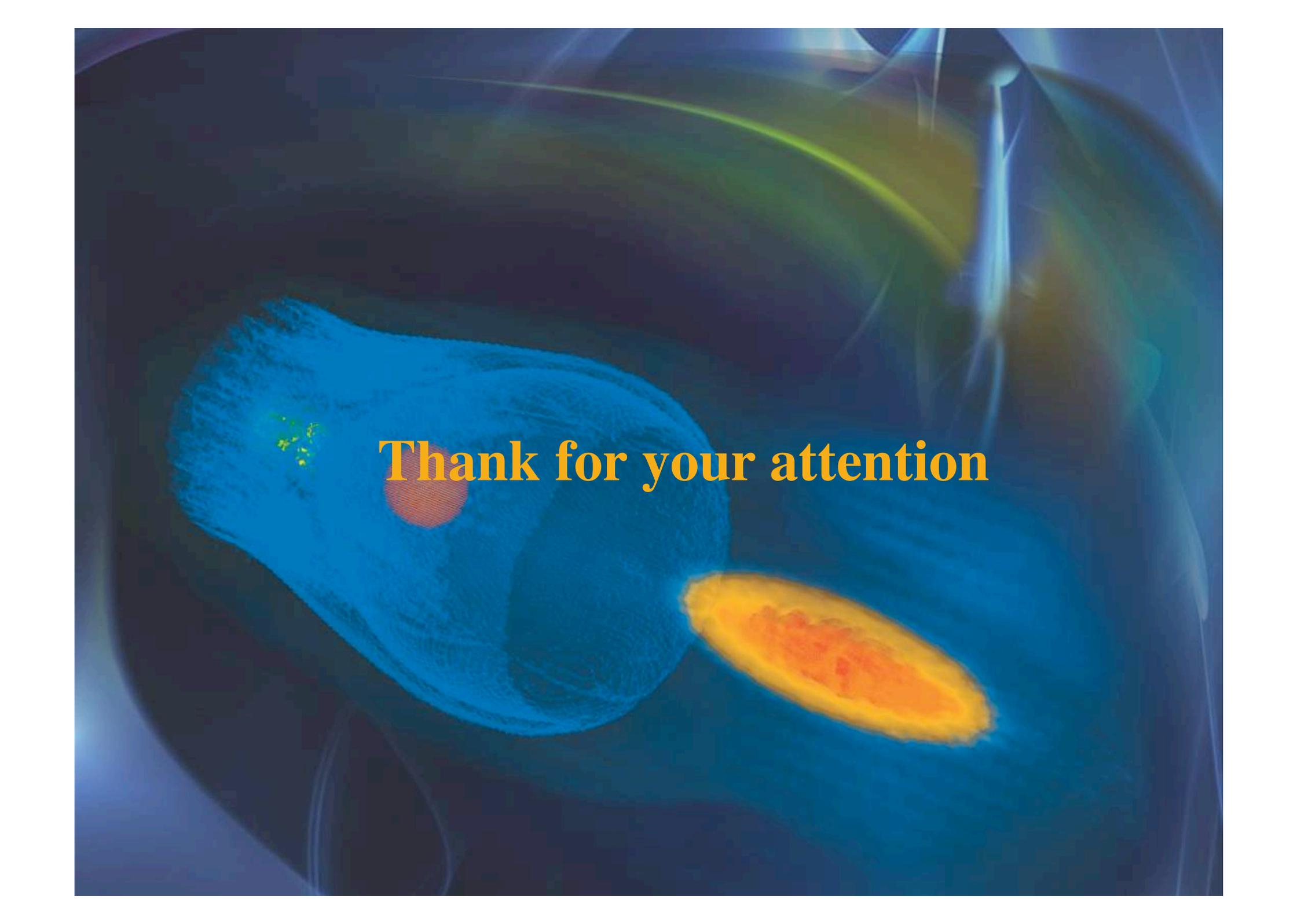
COFFEE

DISCUSSIONS

BREAKFAST

WAVE-BREAKING

<https://agenda.infn.it/event/17304/>



Thank for your attention