### Regulation: recalls and digital loops

12-18th May 2004 Power Converters for particle accelerators Warrington, UK





Basic recalls (continuous and discrete domains)

Digital control system: RST algorithm Example :LHC power converter regulation MIMO to SISO





#### **Continuous - time Models : Frequency Domain** H(s) : transfer function Linear system Note: **State Equation** Differential Eq. $u(t) = e^{j\omega t}$ $u(t) = e^{st}$ $y(t) = H(j\omega) \cdot e^{j\omega t}$ Transfer function System $y(t) = H(s) \cdot e^{st}$ **Observability**, *Controllability* 20.log(|H|) Gain X ωorf deg X $\omega$ or f **Root locus : poles and zeroes**

Nyquist, Nichols,...

**Phase** 

**Bode Diagram** 





#### **Continuous - time Models : Time responses**

Response of a dynamic system for a step input



 $t_R$ : **Rise Time** ; define as the time needed to attain 90% of the final value ; or as the time needed for the output to pass from 10 to 90% of the final value

**t**<sub>s</sub> : Settling Time ; define as the time needed for the output to reach and remain within a tolerance zone around the final value ( $\pm 10\%$ ,  $\pm 5\%$ ,  $\pm 1\%$ ,...)

**FV : Final Value** ; a fixed output value obtained for  $t \rightarrow \infty$ 

**M : Maximum Overshoot** ; expressed as a percentage of the final value

#### **Continuous - time Models : Frequency responses**



**f**<sub>B</sub> : **Bandwidth** ; the frequency from which the zero-frequency (steady state) gain G(0) is attenuated by more than 3 dB ;  $G(\omega_B) = G(0) - 3dB$  or  $G(\omega_B) = 0.707 \cdot G(0)$ **f**<sub>C</sub> : **Cut-off frequency** ; the frequency from which the attenuation is more than N dB ;  $G(\omega_C) = G(0) - NdB$ 

**Q**: Resonance factor ; the ratio between the gain corresponding to the maximum of the frequency response curve and the value G(0)

### **Reciprocity :** Time / Frequency



$$u(t) \implies u(t - \tau)$$
  
Reflects the fact that the input will act  
with a time delay of  $\tau$ 

Transfer function H(s)  $\Rightarrow$  H(s) e<sup>-s\tau</sup>

$$H_{delay}(j \omega) = e^{-j \omega \tau} = |1| e^{-j \omega \tau}$$

No change on the gain but a phase proportional to the frequency





Open loop : system H(s) ; system with controller F(s).H(s) Closed loop :  $H_{CL}(s) = F(s).H(s) / [1 + F(s).H(s) G(s)]$ 

Steady state error : F(s).H(s) must contains the internal model of the reference (the transfer function that generates Yref(t) from the Dirac impulse ; e.g. step = (1/s) \* Dirac ; ramp =  $(1/s^2)$  \* Dirac, sine wave =  $\omega_0/(p^2+\omega_0^2)$ \*Dirac ...)

### **Closed Loop : Perturbation rejection**



Perturbation-output sensitivity function :

 $S_{yp}(s) = Y(s) / P(s) = 1 / [1 + F(s).H(s).G(s)]$ 

#### **Perturbation rejection :**

 $S_{yp}(0) = 0$  to get a perfect rejection of the perturbation in steady state (controller must contain the classes of perturbation)

and  $|S_{yp}(\omega)| < G ; \square \omega$ [ Typical value :  $|S_{yp}(\omega)| < 2 (6dB) ; \forall \omega$ ] If the energy of the perturbation is concentrated in a given frequency band, the  $|S_{yp}(\omega)|$  should be limited in this band.





$$X(t) = e^{A(t-t_0)} \cdot X(t_0) + \int_{t_0}^{t} e^{A(t-\tau)} \cdot B \cdot U(\tau) d\tau$$
  
Free state response Forced state response

Stability of the system:

 $\lim e^{A(t-t_0)} . X(t_0) = 0$  $t \rightarrow \infty$ 

Sign of the real part of A eigenvalues must be negative. Eigenvalues determine the dynamics of the system

#### State feedback : change of system dynamics (controllable and observable modes)



The system is (completely) controllable at any time  $t_o$  if for any state  $X(t_o)$  in the state space  $\Sigma$ and any state X in the state space  $\Sigma$ , there exist a finite time  $t_1 > t_o$  and an input  $U[t_o,t_1]$  that will transfer the state  $X(t_o)$  to the state X at time  $t_1$ .



#### **Closed Loop : Stability % Margins**



#### Modulus Margin : $\Delta M = |1 + H(j\omega)|_{min} = |S^{-1}{}_{yp}(j\omega)|_{min}$ Measure of perturbation rejection and robustness of non linearity and time variable parameters Typical : $\Delta M > 0.5$ (-6dB) [min: 0.4 (-8dB)]



Modulus margin:  $\Delta M > 0.5$  implies a gain margin  $\Delta G > 2$  and a phase margin  $\Delta \Phi > 30^{\circ}$ .

More generally, a good modulus margin guarantees good values for gain and phase margins. The converse is not true.

#### **Robustness of non linearity and time-variable parameters % Modulus Margin**



time variable characteristics if these characteristics are located inside the sector defined par a minimum linear gain  $1/(1+\Delta M)$  and a maximum linear gain  $1/(1-\Delta M)$ 



If the non-linear and/or time variable characteristics belongs to an angular sector [a,b] (b>a>0), the closedloop system is stable if the Nyquist plot leaves on the left (for  $\omega \nearrow$ ) the "critical" circle with center on the real axis and passing through the points (-1/b,0) and (-1/a,0)(without crossing the circle)

#### **Controller Design**

In order to design and tune a controller : 1) To specify the desired closed control loop performance Regulation and tracking : rise time and max overshoot or bandwidth and resonance

2) To choose a suitable controller design method

3) To know the dynamic model of the plant to be controlled => control model

#### Control model:

- Non parametric models : e.g. frequency response, step response,...
- Parametric models : e.g. state equation, differential equation, transfer function,

To get the model : -knowledge type model (based on the physic laws); Converters : State space average models; Equivalent average circuit models

- identification models (from experimental data)

#### **Choice of the desired performance**

The choice of desired performance in terms of the response time (bandwidth) is linked to the dynamics of the open-loop system and to the power availability of the actuator during the transient : acceleration of the natural response requires control peaks that are greater than the steady-state values

```
Umax/Ustatic \cong desired speed/natural speed
\cong desired bandwidth / natural bandwidth
\cong f<sup>CL</sup><sub>B</sub> / f<sup>OL</sup><sub>B</sub>
```

Or, the actuators will have to be chosen as a function of the desired performance and the open-loop response of the system

**Choice of the desired performance (cont'd)** 

### The robustness of the closed-loop system is linked to the ratio $f^{CL}_{B} / f^{OL}_{B}$ (sensitivity to the uncertainty or variation of the model parameters)

If the ratio is too high => bad robustness and need to have a good knowledge of the process model (high frequency identification) or use of adaptive control  $\begin{array}{l} \text{Magnet}: L=7 \text{ H}; R = 30 \text{ m}\Omega \ (60\text{m of } 35 \text{ mm}^2) \\ T = L/R = 300 \text{ s} \ => f^{OL}{}_B \cong 0.5 \text{ mHz} \\ U_{static} = R.I \ = 1.8V \\ \text{Large signal}: u_{max}/u_{static} \cong 4 => f^{CL}{}_B \cong 2 \text{ mHz} => t_R = 175 \text{ s} \\ (dI/dtmax \cong 1A/s) \\ \text{Small signal}: f^{CL}{}_B \cong 1 \text{ Hz} => u_{max}/u_{stat} \cong 1/0.5 \ 10^{-3} = 2000 \text{ !} \\ \text{Then } u_{static} = 6 / 2000 = 3\text{mV} => \Delta I = 3\text{mV}/30 \text{ m}\Omega = 0.1 \text{ A} = 0.15 \% \text{ Imax} \end{array}$ 

"The power converters involved in feedback of the local orbit may need to deal with correction rates between 10 and 500 Hz"; fs (rate ?) = 500 Hz =>  $f^{CL}_B \cong 50$ Hz ( $\Delta I = 1\%$ : Umax = 2400 V ?????...)  $(U_{max} = 8V => \Delta I = 30 \text{ ppm Imax})$ 

### **Analogue Control Systems**



Open loop : system H(s) ; system with controller F(s).H(s) Closed loop :  $H_{CL}(s) = F(s).H(s) / [1 + F(s).H(s) G(s)]$ 

#### **Digital control systems**



**ADC- Digital controller - DAC** should behave the same as an analogue controller (e.g. PID type), which implies **the use of a high sampling frequency** (the algorithm implemented is very simple)

Bad use of the potentialities of the digital controller

"It's not enough to put a tiger in your tank, you've got to add BRAIN"



#### The sampling frequency is chosen in accordance with the bandwidth desired for the closed-loop system

Intelligent use of the "computer" : high sampling period and then implementation of complex algorithms requiring greater computation time.

Not only a copy of analogue control : BRAINWARE

Discrete-time system models and	y(k) = f[y(k-i), u(k-j)]
digital control algorithms	$H(z^{-1}) = z^{-d} B(z^{-1})/A(z)$

A(z - 1)

$$\frac{dX}{dt}(t) = A.X(t) + B.U(t) \qquad \qquad U \qquad \qquad Y$$
$$Y(t) = C.X(t) + D.U(t) \qquad \qquad U \qquad \qquad X(t)$$

Ts : sampling period **I** 1\* X[(k+1)Ts] = F.X(kTs) + G.U(kTs)X(kTs) Y(kTs) = C.X(kTs) + D.U(kTs) $F = e^{A.Ts}$  $G = A^{-1} \cdot (e^{A \cdot Ts} - I) B$  $X\left[\left(k_{0}+n\right)Ts\right] = \underbrace{F^{n}.X\left(k_{0}Ts\right)}_{i=1} + \sum_{j=1}^{n} \underbrace{F^{n-j}G.U\left[\left(k_{0}+j-1\right)Ts\right]}_{j=1}$ Free state response Forced state response



Ts

#### **Stability of the system:**

 $\lim F^n X(k_0 T s) = 0$  $n \rightarrow \infty$ 

#### F eigenvalues modulus must be lower than 1

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**Y**\*

#### First order system

**Analogue world** 

$$\frac{dy}{dt}(t) = -\frac{1}{T} \cdot y(t) + \frac{G}{T} \cdot u(t)$$
$$H(s) = \frac{G}{1+sT}$$



$$y(kTs) = -a_1 \cdot y[(k-1)Ts] + b1 \cdot U[(k-1)Ts]$$

**Discrete world** 

$$H(z) = \frac{b_1}{z + a_1} = \frac{b_1 z^{-1}}{1 + a_1 z^{-1}}$$



Free state response

AB/PO

#### **Digital first order system**

#### **Choice of sampling frequency**



### If fs is fixed => limit for $f^{CL}_{B}$ (< fs /15)

### **R.S.T control : generic control** $yref(kTs) \xrightarrow{T} \xrightarrow{H(z^{-1})} \xrightarrow{H(z^{-1})} \xrightarrow{H(z^{-1})}$

Regulation

### RST :

Tracking

- minimum variance tracking and regulation
- tracking and regulation with weighed input
  - tracking and regulation with independent objectives,...

R

Plant

#### **R.S.T control : generic control**



Tracking and Regulation with independent objectives

This control strategy permits a R.S.T digital controller to be designed for both stable and unstable systems:

- without restriction on the degrees of the polynomials  $A(z^{\text{-}1})$  and  $B(z^{\text{-}1})$  of the plant transfer function
- without restriction on the sampled time delay of the system (d)

This strategy can only be applied to discrete-time models with stable zeroes (minimum phase systems):

- high sampling period => unstable zeroes
- fractional time delay > 0.5 x Ts



#### **Time delay : 1<sup>st</sup> order system example**

$$H(s) = G / (1 + T.s) = H(z^{-1}) = b_1 z^{-1} / (1 + a_1 z^{-1})$$
  
with  $a_1 = -e^{-Ts/T}$ ;  $b_1 = G.(1 - e^{-Ts/T})$ 

 $H(s) = G e^{-s\tau} / (1 + T.s) \qquad \tau : time delay$ 

$$\begin{split} & \text{Ts}: \text{sampling period} \\ & \tau = d. \ \text{Ts} + L \ ; \ O < L < \text{Ts} \ \text{fractional time delay} \\ & \text{H}(z^{-1}) \ = z^{-d} \left( b_1 \ z^{-1} + b_2 \ z^{-2} \ \right) / \left( \ 1 + a_1 \ z^{-1} \ \right) \\ & = z^{-d-1} \left( b_1 + b_2 \ z^{-1} \ \right) / \left( \ 1 + a_1 \ z^{-1} \ \right) \\ & \text{with } a_1 = -e^{-\text{Ts/T}} \ ; \ b_1 = G(1 - e^{(L-\text{Ts})/\text{T}}) \ ; \ b_2 = G \ e^{-\text{Ts/T}} \ (e^{L/\text{T}} - 1) \end{split}$$

fractional time delay => introduction of a zero in the discrete transfer function (if  $L = 0 \Rightarrow b2 = 0$ )

If L > 0.5:  $|b_2| > |b_1| =>$  unstable zeroes

No problem on the system stability but limitation on the possible method to compute the regulator



Based on  $f_{B}^{OL}$  and power of the actuator : choice of the closedloop performance  $[f_{B}^{CL}(t_{r}) \text{ and } Q(M)]$ Robustness  $\propto f_{B}^{CL} / f_{B}^{OL}$  (Internal saturation : controlability)

fs (sampling frequency) : choice based on the  $f^{CL}_{B}$ 

 $f_{S} = 1/T_{S} = (6 \text{ to } 25) * f_{B}^{CL}$ Discrete model H(z<sup>-1</sup>) at Ts System model ? f<sup>CL</sup><sub>B</sub>(t<sub>r</sub>), Q (M)? Ts ?



### **Dynamics :**

- Measurement and command must be synchronised : timing system
- Lagging error :
  - # Iref => I : regulation loop could be designed with no lagging error independent of the load time constant
  - # I => B : time constant (T : vacuum chamber, beam screen...)
    must be known : Measurement campaign in collaboration
    with magnet specialists

#### **Tracking between the 8 main dipole converters**



20 ppm Accuracy  $\Delta B/B_{nom} = \Delta I/I_{nom} = 20$ ppm  $\Delta B = 9 * 20 \ 10^{-6} = 1.8 \ 10^{-4} \ T$   $\Delta B/B_{o} = 3.3 \ 10^{-4}$ Orbit excursion :  $\delta X = D_{x} \cdot \Delta B/B_{o} = \sim 0.7$  mm

Could be corrected with a pilot run and new cycle => reproducibility 10 ppm reproducibility Orbit excursion :  $\delta X = D_x \cdot \Delta B/B_0 = \sim 0.35 \text{ mm} !!!$ 

"It would be better with 5 ppm"

### Example :LHC power converter control

#### **Global Voltage loop**

#### **Internal loops**





#### **Control loops Busbars** Sub. conv. 3 Superconducting Input Inverter Rectifier Sub. Module Module Modules conv. 2 I load Sub. conv. 1 DCCT DCCT heads heads Vout Sub. conv. 1 Electronics DCCT DCCT Electr. Electr. PI ΣI I Sub.1 Iref Vref RST PI load lref. <u>j</u>e **Converter Common Control Electronics** conv. 3 Sub. conv. 2 Sub. Iref **CERN Electronics**

- 1- Fast internal current source
- 2- Global voltage loop
- **3- High precision current loop (DCCT)**
- F<sup>CL</sup><sub>B</sub> ~ 8 kHz F<sup>CL</sup><sub>B</sub> ~ 700 Hz F<sup>CL</sup><sub>B</sub> ~ 0.1 - 1 Hz

#### **Digital controller**





#### **High precision 13kA DCCT**

#### **Connection rack**



# •Very high performance is needed for the 24 main circuits: 8 main dipole and 16 main quadrupole (F and D) circuits.

- ✓ Short term stability (30 mins) : ± 3 ppm
- Reproducibility (24 hours) : ± 5 ppm
- ✓ Accuracy (1 year) : ± 20 ppm

1 ppm = 1 part per million = 0.0001% For main circuits 1 ppm = 13 mA

✓ no overshoot

✓ no lagging error

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### Power Converter Digital Loop



#### SYSTEM MODEL

The power converter (PC) with the voltage loop can be modelled by a second order system with two complex poles and a natural frequency equal to  $\omega pc=6,28$ krad/s. The magnet model is a first order system with a high time-constant ( $\tau m=Lm/Rm=23$ ks for the main dipole circuits and  $\tau m=450$ s for the quadrupole circuits). If **the sampling period** of the digital loop is **high in comparison with the time-constant of the power converter** (Ts>10/ $\omega$ pc), the converter model can be reduced to a simple gain G<sub>PC</sub>. Then the discrete model of the system is:

$$\frac{im^{*}}{vref^{*}} = \frac{Gpc.1/rm.(1-e^{-Ts/\tau m})z^{-1}}{1-e^{-Ts/\tau m}.z^{-1}}$$
(1)

Furthermore, in the case of a high time-constant of the magnet in relation with the sampling period the equation (1) becomes:

$$\frac{im^*}{vref^*} \approx \frac{Gpc.Ts / Lm.z^{-1}}{1 - z^{-1}}$$
 (2)

With the preceding assumptions, the system (power converter and magnet) can be considered, by the digital control, as a pure integrator.

The sampling period (Ts) must be high in comparison with the converter time-constant: Ts >>  $\tau pc = 1/\omega pc$ .





(if Fs  $\rightarrow$  1kHz need to include the digital model of the voltage source )

**Once again : not to chose Ts too small !!!!.... no copy of analogue world** 

### RST CONTROLLER DESIGN

### **Tracking:**

To get a good tracking of the reference (no lagging error, no overshoot), the transfer function that the controller must achieve between the reference iref\* and the output

im\* is:

 $\frac{im^*}{iref^*} = z^{-1}$ 

#### **Regulation:**

According to the LHC cycle, the bandwidth for the closed-loop system is chosen  $f_B^{CL} \in [0.1Hz, 1Hz]$ . The regulation is defined by the pole placement with a natural frequency wcl  $\in [0.628 \text{ rad/s}, 6.28 \text{ rad/s}]$  and with a damping factor greater than 1. To ensure a zero steady-state error when the reference is constant, the transfer function  $1/S(z^{-1})$  must contain two integrators .

$$(1-z^{-1})^2$$







#### **Start of the ramp (from 200 A to 225 A)**



### String 2 dipole circuit ramp (0-4s)



### String 2 dipole circuit ramp (200ms)



### String 2 dipole circuit ramp (last 1s)



### Multi Input and Multi Output Systems (MIMO)

### Example : LHC Inner triplet powering MIMO system => SISO system



But also SPS transfer line (bypass converters), ...







#### **Prototype low-**β **quadrupole**



MQXA (KEK) 205 T/m I = 6450 AIultimate = 7 kA L1=91 mH



#### Prototype MQXB being readied for cryostat insertion



MQXB (Fermilab) 205 T/m I = 11390 A (Iultimate = 12290A) L2= 18.5 mH











#### **Inner Triplet : nested power converters**





Current loops

#### Conclusion

In theory, it is possible to use 2 independent controllers without decoupling but...

- the precision decreases with the order of the system
- the current loops are less robust: to non linearities
  to parameter changes
- a ratio of at least 10 is needed between the current loop bandwidths and the frequency of the reference currents
- slow down the ramp rate

#### **Decoupling Principle :**

W

D





Ad and Bd : diagonal matrices

Decoupling matrices :  $K = B^{-1} . (Ad - A)$  $\mathbf{D} = \mathbf{B}^{-1} \cdot \mathbf{B}$ 

#### **Digital :** $X^{*}(k+1) = F^{*}.X^{*}(k) + H^{*}.U^{*}(k)$ with $F^* = e^{A_{.} ts}$ ; $H^* = A^{-1}$ . ( $e^{A_{.} ts} - I$ ).B ts : sampling period $X^{*}(k+1) = Fd^{*}.X^{*}(k) + Hd^{*}.W^{*}(k)$

$$\frac{dX}{dt} = A.X + B.U$$

$$K$$

$$K$$

$$Choice:$$

$$Ad = \begin{bmatrix} -\frac{r1}{L1 + L2} & 0\\ 0 & -\frac{r2}{L2} \end{bmatrix}$$

$$Bd = \begin{bmatrix} \frac{1}{L1 + L2} & 0\\ 0 & \frac{1}{L2} \end{bmatrix}$$

 $\mathbf{V}$ 











## Thank for your attention

#### **Anti-aliasing**

$$\longrightarrow \omega_o^2 / (\omega_o^2 + 2z\omega_o s + s^2) - \omega_o^2 / (\omega_o^2 + 2z\omega_o s + s^2) \rightarrow \omega_o^2 / (\omega_o^2 + z\omega_o^2 + z\omega_o$$

Since the sampling frequency is fixed, in order to avoid the folding (overlapping, aliasing,...) of the spectrum (=> distorsions), the analogue signals must be filtered prior to sampling to ensure that

 $f_{max} < 1/2 f_s$  (Nyquist or Shannon criteria)

In the case of low frequency sampling, a sampling at a higher frequency could be carried out (integer multiple of the desired sampling frequency), using an appropriate anti-aliasing filter. Then the sampled signal is passed through a digital anti-aliasing filter followed by a frequency divider => giving a sampled signal with the required frequency (fs)



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### Glossary

#### - Accuracy

Long term setting or measuring uncertainty taking into consideration the full range of permissible changes\* of operating and environmental conditions.

\* requires definition

#### - Reproducibility



One day may

Uncertainty in returning to a set of previous working values from<br/>cycle to cycle of the machine.Cycle 1Cycle 2Cycle 3

- Stability

Maximum deviation over a period with no changes in operating conditions.

half an hour

Accuracy, reproducibility and stability are defined for a given period

Precision is qualitative . Accuracy is quantitative.

Warrington 12-18 May 2004 C1S101 Power Converters for Particle Accele



1) Input/Output data acquisition under an experimental protocol

- 2) Choice of model structure (complexity : system order) Parametric or non-parametric, continuous-time or discrete time
- 3) Estimation of the model parameters
- 4) Validation of the identified model Structure and parameter values

