

# Concept of Luminosity in particle colliders

(or: explaining the jargon...)

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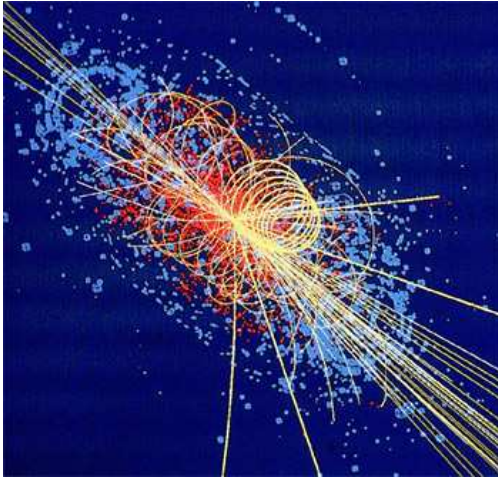
[http://cern.ch/Werner.Herr/CAS2010/lectures/Varna\\_luminosity.pdf](http://cern.ch/Werner.Herr/CAS2010/lectures/Varna_luminosity.pdf)

# Concept of Luminosity in particle colliders

(or: explaining the jargon<sup>\*)</sup>...)

<sup>\*)</sup> (beta<sup>\*</sup>, squeeze, inverse femtobarn, lumi scan, crossing angle, filling schemes, hour glass effect, crab crossing ...)

## Particle colliders ?



- Used in particle physics
- Look for rare interactions
- Want highest energies
- Many interactions (events)

■ Figures of merit for a collider:

- ➔ energy
- ➔ number of collisions



## Why colliding beams ?

■ Two beams:  $E_1, \vec{p}_1, E_2, \vec{p}_2, m_1 = m_2 = m$

■  $E_{cm} = \sqrt{(E_1 + E_2)^2 - (\vec{p}_1 + \vec{p}_2)^2}$

■ Collider versus fixed target:

Fixed target:  $\vec{p}_2 = 0 \rightarrow E_{cm} = \sqrt{2m^2 + 2E_1m}$

Collider:  $\vec{p}_1 = -\vec{p}_2 \rightarrow E_{cm} = E_1 + E_2$

■ LHC (pp): 14000 GeV versus  $\approx 115$  GeV

■ LEP ( $e^+e^-$ ): 210 GeV versus ?



# Collider performance issues

- Available energy
  - Number of interactions per second (useful collisions)
  - Total number of interactions
  - Secondary issues:
    - Time structure of interactions (how often and how many at the same time)
    - Space structure of interactions (size of interaction region)
    - Quality of interactions (background, dead time etc.)
-

# Luminosity:

We want:

- Proportionality factor between cross section  $\sigma_p$  and number of interactions per second  $\frac{dR}{dt}$

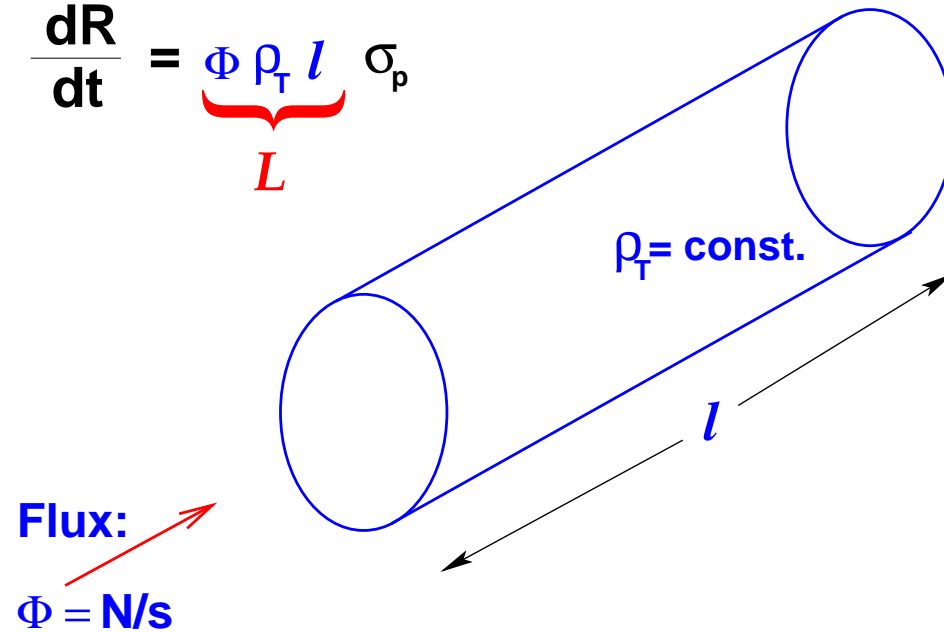
$$\frac{dR}{dt} = \mathcal{L} \times \sigma_p \quad (\rightarrow \text{units : cm}^{-2}\text{s}^{-1})$$

- Relativistic invariant
- Independent of the physical reaction
- Reliable procedures to **compute** and **measure**



# Fixed target luminosity

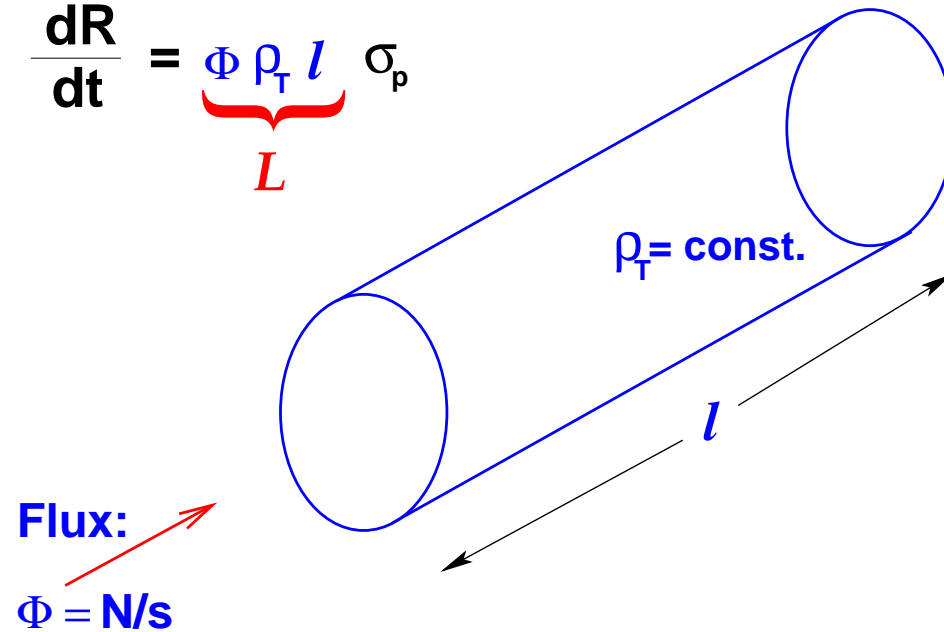
$$\frac{dR}{dt} = \underbrace{\Phi \rho_T l}_L \sigma_p$$



- Interaction rate from flux and target density and size

# Fixed target luminosity

$$\frac{dR}{dt} = \underbrace{\Phi \rho_T l}_L \sigma_p$$

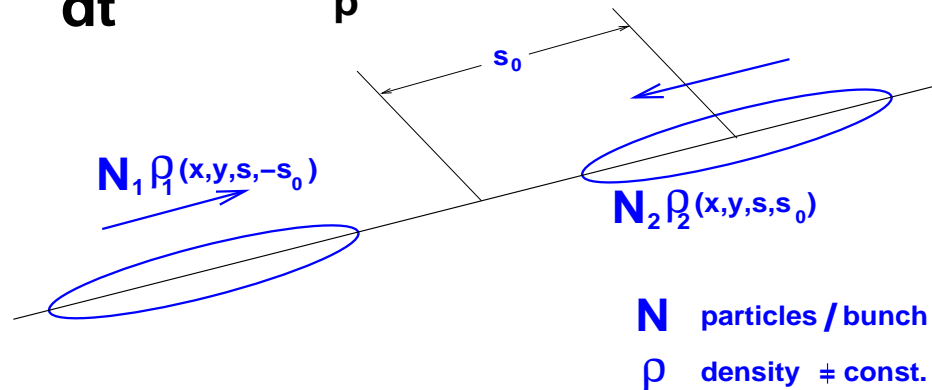


- In a collider: target is the other beam ... (and it is moving !)



# Collider luminosity (per bunch crossing)

$$\frac{dR}{dt} = L \sigma_p$$



$$\mathcal{L} \propto K N_1 N_2 \int \int \int \int_{-\infty}^{+\infty} \rho_1(x, y, s, -s_0) \rho_2(x, y, s, s_0) dx dy ds ds_0$$

$s_0$  is "time"-variable:  $s_0 = c \cdot t$

Kinematic factor:  $K = \sqrt{(\vec{v}_1 - \vec{v}_2)^2 - (\vec{v}_1 \times \vec{v}_2)^2 / c^2}$

## Collider luminosity (per beam)

▣ Assume uncorrelated densities in all planes

→ factorize:  $\rho(x, y, s, s_0) = \rho_x(x) \cdot \rho_y(y) \cdot \rho_s(s \pm s_0)$

▣ For head-on collisions ( $\vec{v}_1 = -\vec{v}_2$ ) we get:

$$\mathcal{L} = 2 \cdot N_1 N_2 \cdot f \cdot n_b \cdot \int \int \int \int_{-\infty}^{+\infty} dx dy ds ds_0 \\ \rho_{1x}(x) \rho_{1y}(y) \rho_{1s}(s - s_0) \cdot \rho_{2x}(x) \rho_{2y}(y) \rho_{2s}(s + s_0)$$

▣ In principle: should know all distributions

→ Mostly use Gaussian  $\rho$  for analytic calculation  
(in general: it is a good approximation)

## Gaussian distribution functions

$$\blacksquare \rho_z(u) = \frac{1}{\sigma_u \sqrt{2\pi}} \exp\left(-\frac{u^2}{2\sigma_u^2}\right) \quad u = x, y$$

$$\blacksquare \rho_s(s \pm s_0) = \frac{1}{\sigma_s \sqrt{2\pi}} \exp\left(-\frac{(s \pm s_0)^2}{2\sigma_s^2}\right)$$

**■ For non-Gaussian profiles not always possible to find analytic form, need a numerical integration**



# Luminosity for two beams (1 and 2)

▣ Simplest case : equal beams

→  $\sigma_{1x} = \sigma_{2x}, \quad \sigma_{1y} = \sigma_{2y}, \quad \sigma_{1s} = \sigma_{2s}$

→ but:  $\sigma_{1x} \neq \sigma_{1y}, \quad \sigma_{2x} \neq \sigma_{2y}$  is allowed

▣ Further: no dispersion at collision point



# Integration (head-on)

for beams of equal size:  $\sigma_1 = \sigma_2 \rightarrow \rho_1 \rho_2 = \rho^2$  :

$$\mathcal{L} = \frac{2 \cdot N_1 N_2 f n_b}{(\sqrt{2\pi})^6 \sigma_s^2 \sigma_x^2 \sigma_y^2} \int \int e^{-\frac{x^2}{\sigma_x^2}} e^{-\frac{y^2}{\sigma_y^2}} e^{-\frac{s^2}{\sigma_s^2}} e^{-\frac{s_0^2}{\sigma_s^2}} dx dy ds ds_0$$

integrating over  $s$  and  $s_0$ , using:

$$\int_{-\infty}^{+\infty} e^{-at^2} dt = \sqrt{\pi/a}$$

$$\mathcal{L} = \frac{2 \cdot N_1 N_2 f n_b}{8(\sqrt{\pi})^4 \sigma_x^2 \sigma_y^2} \int \int e^{-\frac{x^2}{\sigma_x^2}} e^{-\frac{y^2}{\sigma_y^2}} dx dy$$

finally after integration over  $x$  and  $y$ :  $\Rightarrow \mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi \sigma_x \sigma_y}$

# Luminosity for two (equal) beams (1 and 2)

▣ Simplest case :  $\sigma_{1x} = \sigma_{2x}, \sigma_{1y} = \sigma_{2y}, \sigma_{1s} = \sigma_{2s}$

or:  $\sigma_{1x} \neq \sigma_{2x} \neq \sigma_{1y} \neq \sigma_{2y}$ , but :  $\sigma_{1s} \approx \sigma_{2s}$

$$\Rightarrow \mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi \sigma_x \sigma_y} \left( \mathcal{L} = \frac{N_1 N_2 f n_b}{2\pi \sqrt{\sigma_{1x}^2 + \sigma_{2x}^2} \sqrt{\sigma_{1y}^2 + \sigma_{2y}^2}} \right)$$

▣ Here comes  $\beta^*$ :  $\sigma_{x,y} = \sqrt{\epsilon \cdot \beta_{x,y}^*}$

# Luminosity for two (equal) beams (1 and 2)

▣ Simplest case :  $\sigma_{1x} = \sigma_{2x}, \sigma_{1y} = \sigma_{2y}, \sigma_{1s} = \sigma_{2s}$

or:  $\sigma_{1x} \neq \sigma_{2x} \neq \sigma_{1y} \neq \sigma_{2y}$ , but :  $\sigma_{1s} \approx \sigma_{2s}$

$$\Rightarrow \mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi \sigma_x \sigma_y} \left( \mathcal{L} = \frac{N_1 N_2 f n_b}{2\pi \sqrt{\sigma_{1x}^2 + \sigma_{2x}^2} \sqrt{\sigma_{1y}^2 + \sigma_{2y}^2}} \right)$$

▣ Would you increase  $n_b$  or  $N_1, N_2$  ?

▣ Special case LHC:  $n_b \rightarrow n_{coll}$  (filling schemes)

## Examples

	Energy (GeV)	$\mathcal{L}_{max}$ $\text{cm}^{-2}\text{s}^{-1}$	rate $\text{s}^{-1}$	$\sigma_x/\sigma_y$ $\mu\text{m}/\mu\text{m}$	Particles per bunch
SPS ( $p\bar{p}$ )	315x315	$6 \cdot 10^{30}$	$4 \cdot 10^5$	60/30	$\approx 10 \cdot 10^{10}$
Tevatron ( $p\bar{p}$ )	1000x1000	$100 \cdot 10^{30}$	$7 \cdot 10^6$	30/30	$\approx 30/8 \cdot 10^{10}$
HERA ( $e^+p$ )	30x920	$40 \cdot 10^{30}$	40	250/50	$\approx 3/7 \cdot 10^{10}$
LHC (pp)	7000x7000	$10000 \cdot 10^{30}$	$10^9$	17/17	$\approx 11 \cdot 10^{10}$
LEP ( $e^+e^-$ )	105x105	$100 \cdot 10^{30}$	$\leq 1$	200/2	$\approx 50 \cdot 10^{10}$
PEP ( $e^+e^-$ )	9x3	$8000 \cdot 10^{30}$	NA	150/5	$\approx 2/6 \cdot 10^{10}$





## What else ?

▣ What about linear colliders ?

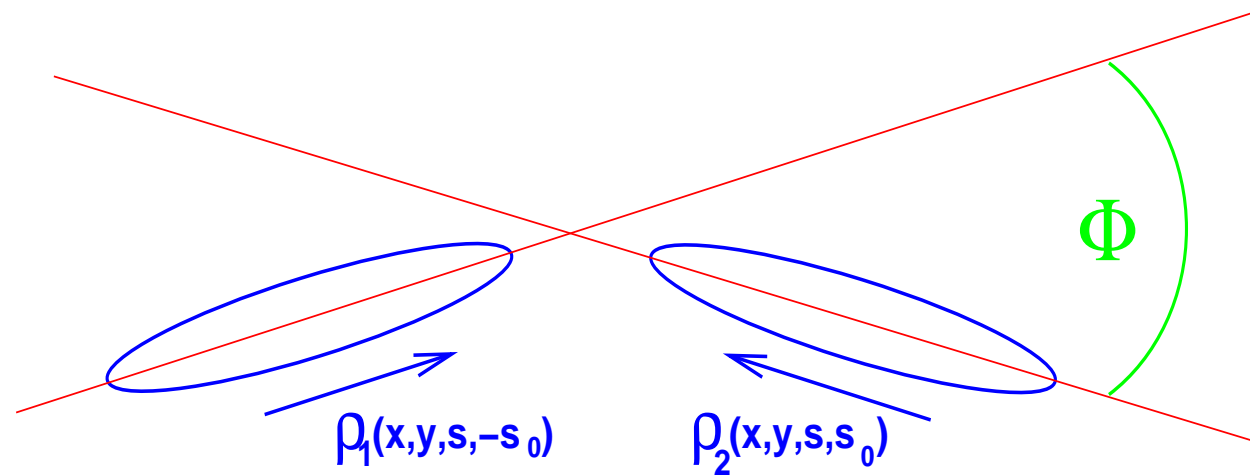
→ See later ...



# Complications

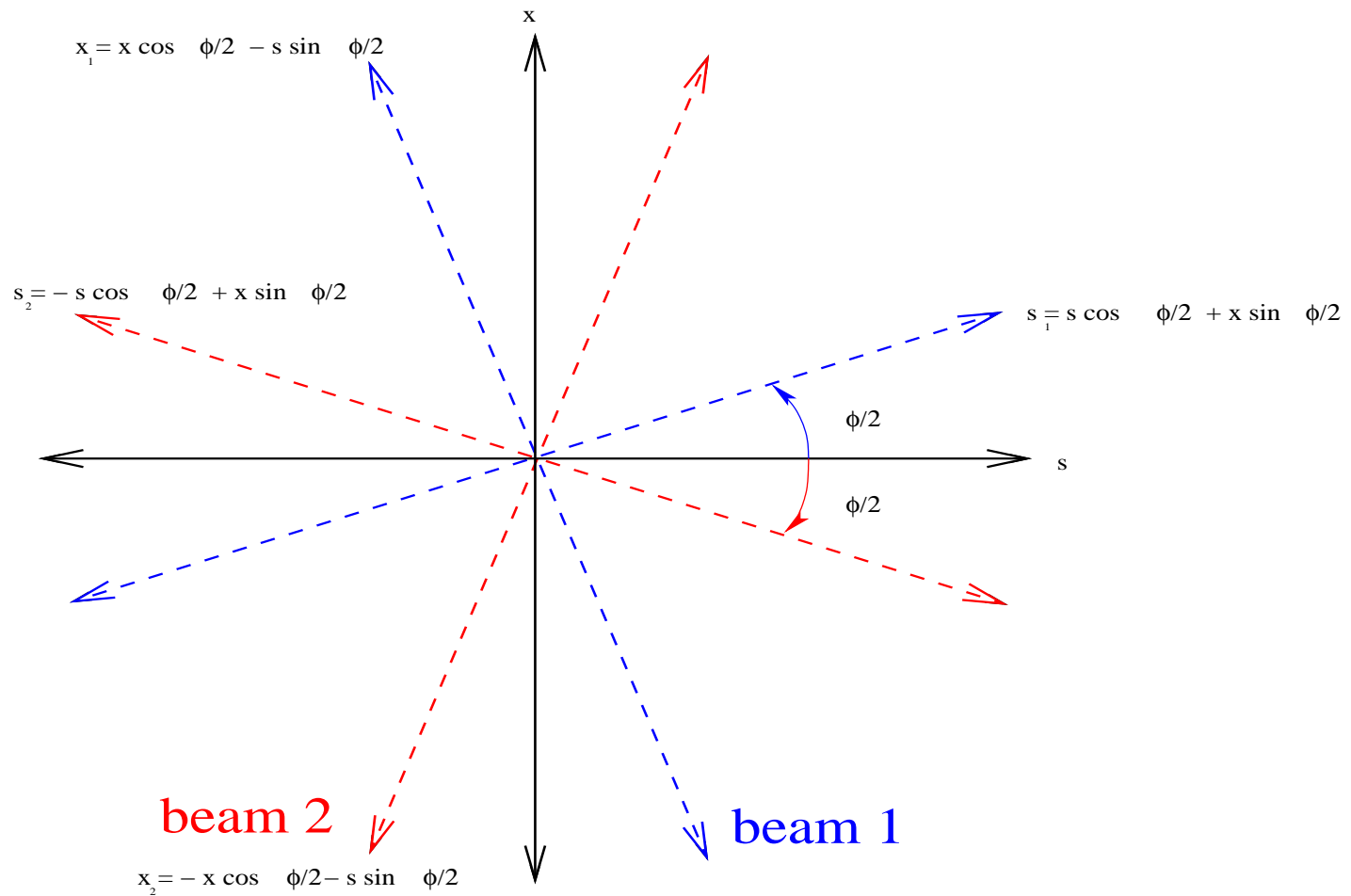
- Crossing angle
  - Hour glass effect
  - Collision offset (wanted or unwanted)
  - Non-Gaussian profiles
  - Dispersion at collision point
  - $\delta\beta^*/\delta s = \alpha^* \neq 0$
  - Strong coupling
  - etc.
-

## Collisions at crossing angle



- Needed to avoid unwanted collisions
  - For colliders with many bunches: LHC, CESR, KEKB
  - For colliders with coasting beams

# Collisions angle geometry (horizontal plane)



## Crossing angle

Assume crossing in **horizontal (x, s)-** plane.  
Transform to new coordinates:

$$\begin{cases} x_1 = x \cos \frac{\phi}{2} - s \sin \frac{\phi}{2}, & s_1 = s \cos \frac{\phi}{2} + x \sin \frac{\phi}{2}, \\ x_2 = x \cos \frac{\phi}{2} + s \sin \frac{\phi}{2}, & s_2 = s \cos \frac{\phi}{2} - x \sin \frac{\phi}{2} \end{cases}$$

$$\mathcal{L} = 2 \cos^2 \frac{\phi}{2} N_1 N_2 f n_b \int \int \int \int_{-\infty}^{+\infty} dx dy ds ds_0 \\ \rho_{1x}(x_1) \rho_{1y}(y_1) \rho_{1s}(s_1 - s_0) \rho_{2x}(x_2) \rho_{2y}(y_2) \rho_{2s}(s_2 + s_0)$$

# Integration (crossing angle)

use as before:

$$\int_{-\infty}^{+\infty} e^{-at^2} dt = \sqrt{\pi/a}$$

and:

$$\int_{-\infty}^{+\infty} e^{-(at^2+bt+c)} dt = \sqrt{\pi/a} \cdot e^{\frac{b^2-ac}{a}}$$

Further:

▣ Since  $\sigma_x$ ,  $x$  and  $\sin(\phi/2)$  are small:

➤ drop all terms  $\sigma^k_x \sin^l(\phi/2)$  or  $x^k \sin^l(\phi/2)$  for all:

$$k+l \geq 4$$

➤ approximate:  $\sin(\phi/2) \approx \tan(\phi/2) \approx \phi/2$

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## Crossing angle

■ Crossing Angle  $\Rightarrow$

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi \sigma_x \sigma_y} \cdot S$$

■  $S$  is the geometric factor

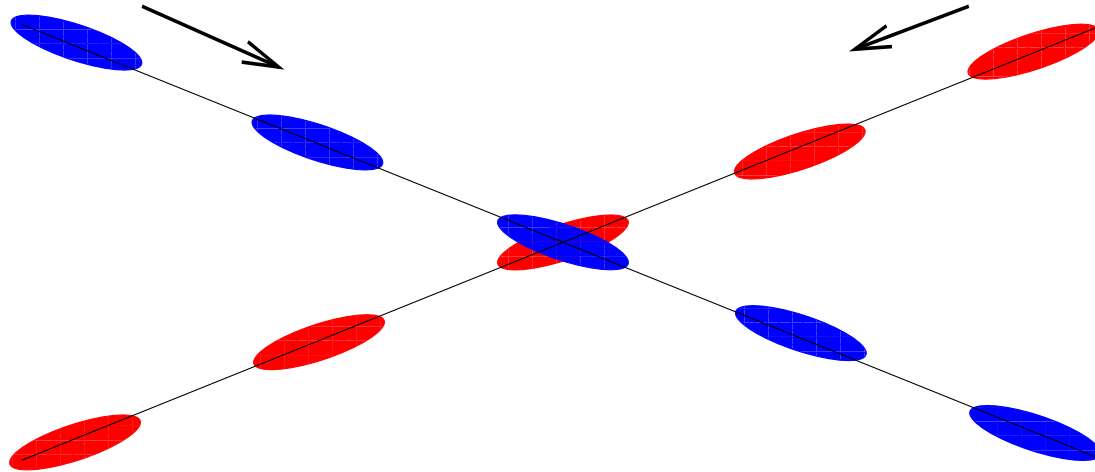
■ For small crossing angles and  $\sigma_s \gg \sigma_{x,y}$

$$\Rightarrow S = \frac{1}{\sqrt{1 + \left(\frac{\sigma_s}{\sigma_x} \tan \frac{\phi}{2}\right)^2}} \approx \frac{1}{\sqrt{1 + \left(\frac{\sigma_s}{\sigma_x} \frac{\phi}{2}\right)^2}}$$

Example LHC (at 7 TeV):

$$\Phi = 285 \mu\text{rad}, \sigma_x \approx 17 \mu\text{m}, \sigma_s = 7.5 \text{ cm}, S = 0.84$$

## Large crossing angle

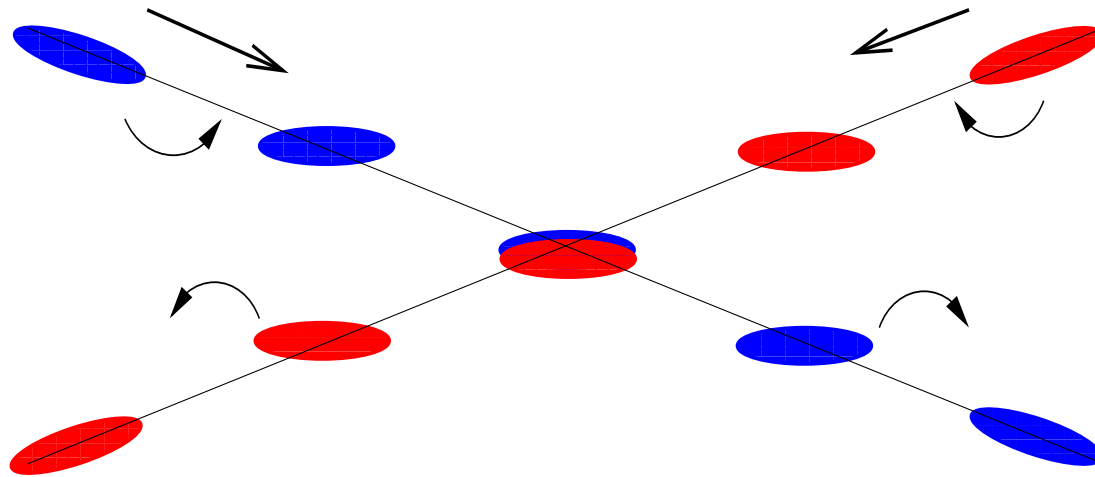


- Large crossing angle: large loss of luminosity
- "crab" crossing can recover geometric factor





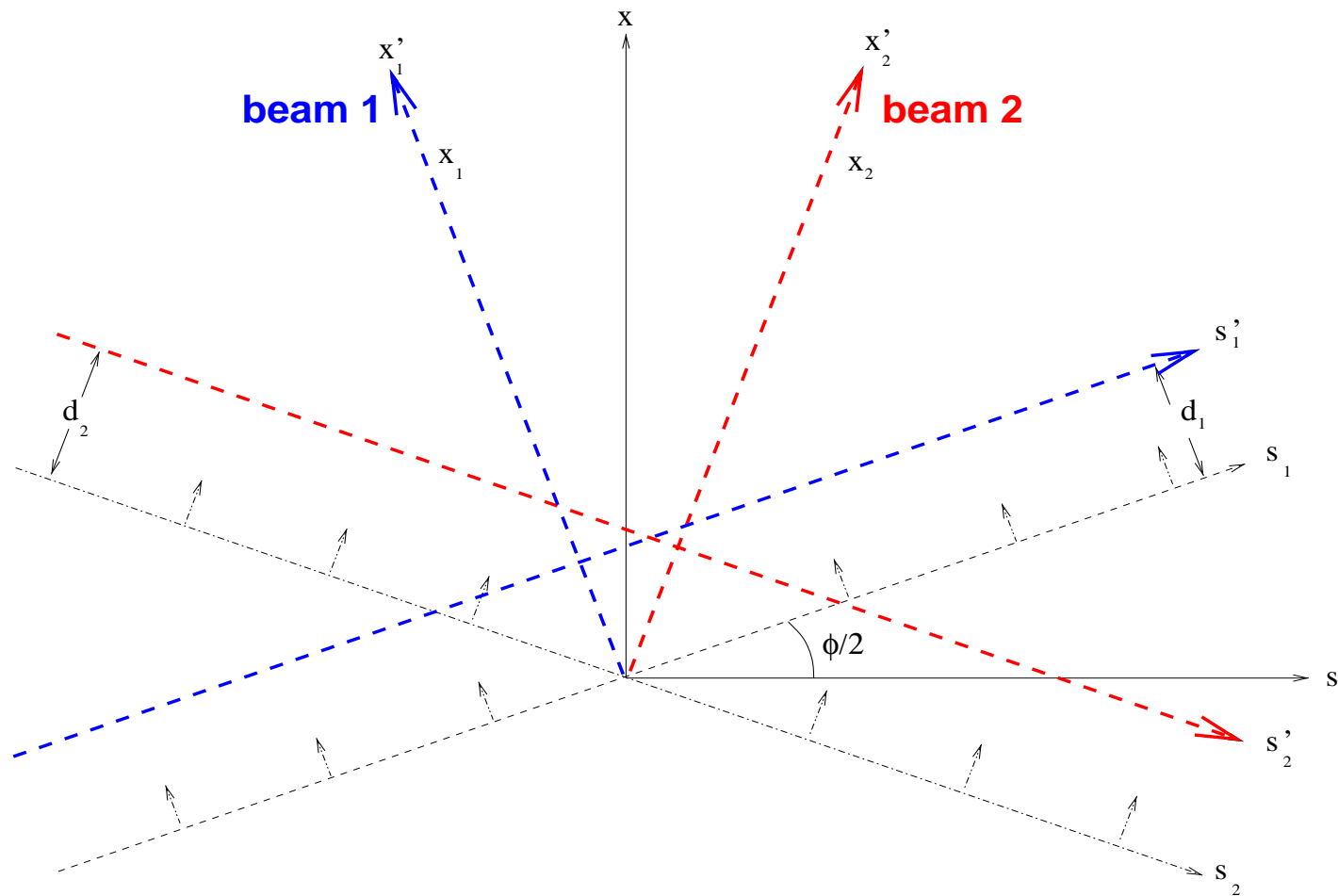
## ”crab” crossing scheme



- Done with transversely deflecting cavities (if you wondered what they can be used for)
- Feasibility needs to be demonstrated



# Offset and crossing angle



## Offset and crossing angle

■ Transformations with offsets in crossing plane:

$$\begin{cases} x_1 = d_1 + x \cos \frac{\phi}{2} - s \sin \frac{\phi}{2}, & s_1 = s \cos \frac{\phi}{2} + x \sin \frac{\phi}{2}, \\ x_2 = d_2 + x \cos \frac{\phi}{2} + s \sin \frac{\phi}{2}, & s_2 = s \cos \frac{\phi}{2} - x \sin \frac{\phi}{2} \end{cases}$$

■ Gives after integration over  $y$  and  $s_0$ :

$$\mathcal{L} = \frac{\mathcal{L}_0}{2\pi\sigma_s\sigma_x} 2 \cos^2 \frac{\phi}{2} \int \int e^{-\frac{x^2 \cos^2(\phi/2) + s^2 \sin^2(\phi/2)}{\sigma_x^2}} e^{-\frac{x^2 \sin^2(\phi/2) + s^2 \cos^2(\phi/2)}{\sigma_s^2}} \times e^{-\frac{d_1^2 + d_2^2 + 2(d_1 + d_2)x \cos(\phi/2) - 2(d_2 - d_1)s \sin(\phi/2)}{2\sigma_x^2}} dx ds.$$

# Offset and crossing angle

After integration over  $x$ :

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{8\pi^{\frac{3}{2}} \sigma_s} \cdot 2 \cos \frac{\phi}{2} \int_{-\infty}^{+\infty} W \cdot \frac{e^{-(As^2+2Bs)}}{\sigma_x \sigma_y} ds$$

with:

$$A = \frac{\sin^2 \frac{\phi}{2}}{\sigma_x^2} + \frac{\cos^2 \frac{\phi}{2}}{\sigma_s^2} \quad B = \frac{(d_2 - d_1) \sin(\phi/2)}{2\sigma_x^2}$$


and  $W = e^{-\frac{1}{4\sigma_x^2}(d_2 - d_1)^2}$

$\implies$  After integration: Luminosity with correction factors

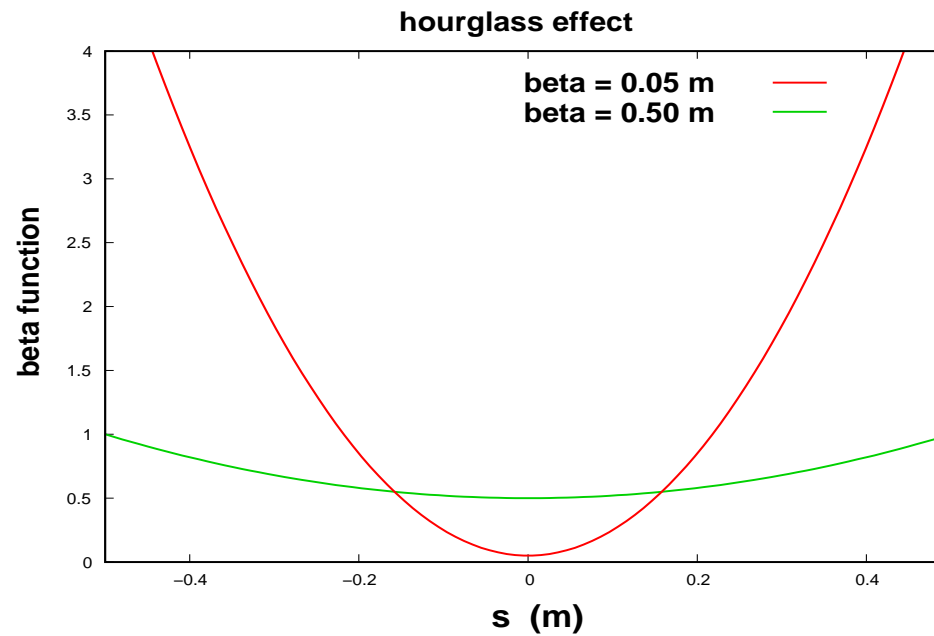


# Luminosity with correction factors

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi\sigma_x\sigma_y} \cdot W \cdot e^{\frac{B^2}{A}} \cdot S$$

- $W$ : correction for beam offset
  - $S$ : correction for crossing angle
  - $e^{\frac{B^2}{A}}$ : correction for crossing angle **and** offset
- 

# Hour glass effect



■  $\beta$ -functions depends on position  $s$

■ 
$$\beta(s) \approx \beta^* \left( 1 + \left( \frac{s}{\beta^*} \right)^2 \right)$$

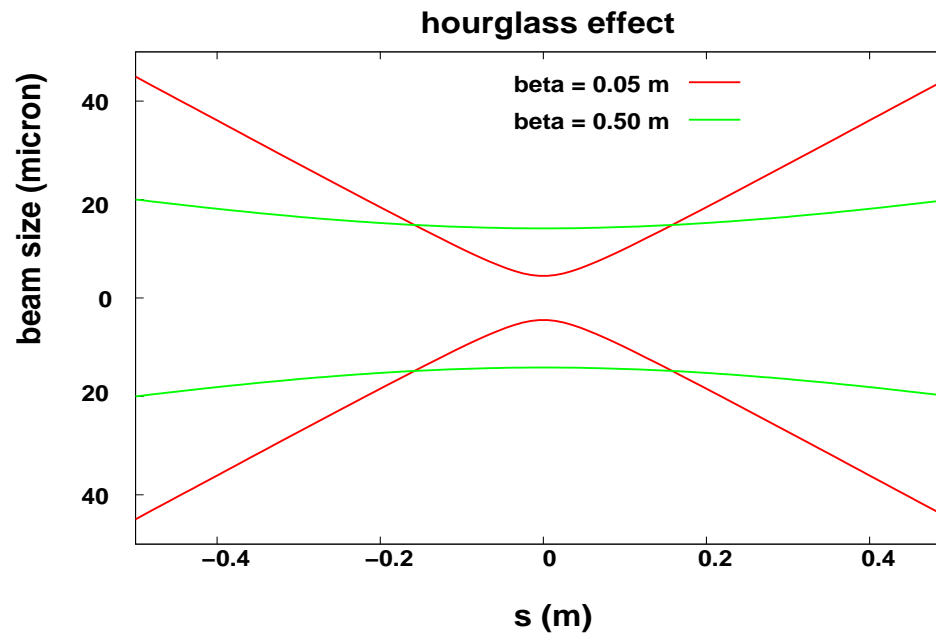
# Hour glass effect



■  $\beta$ -functions depends on position  $s$



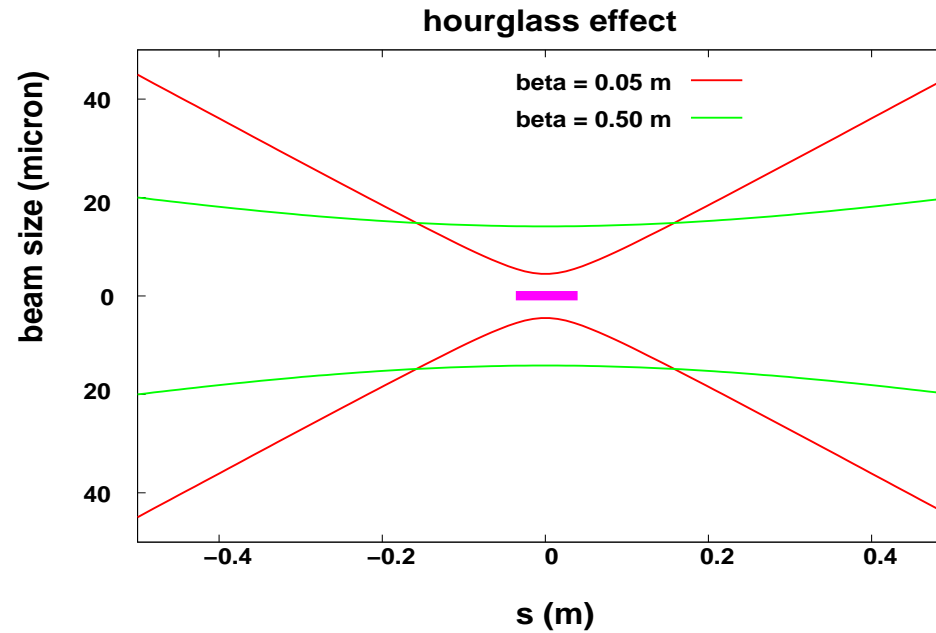
# Hour glass effect



■ Beam size  $\sigma$  ( $\propto \sqrt{\beta^*(s)}$ ) depends on position  $s$



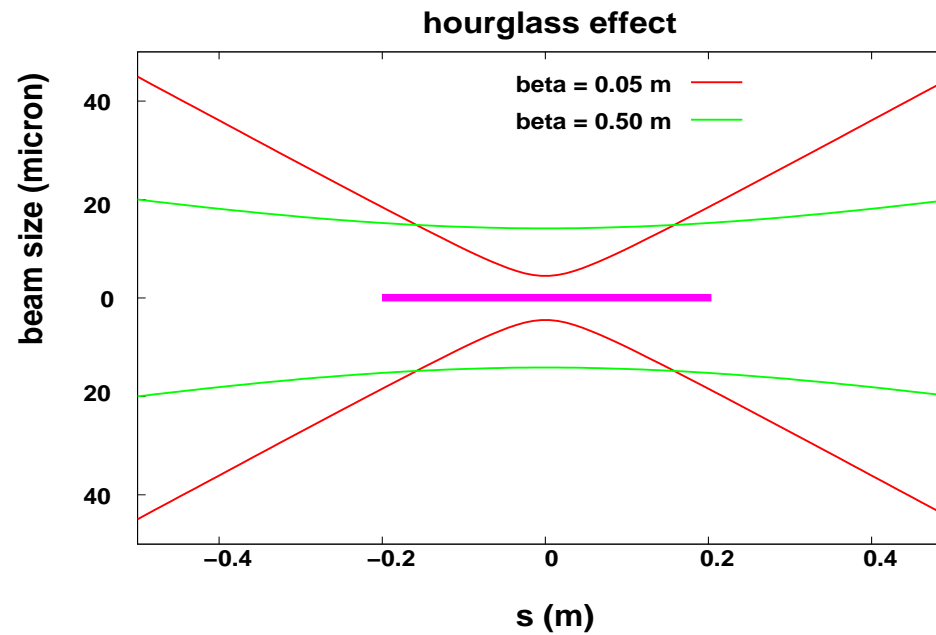
# Hour glass effect - short bunches



■ Small variation of beam size along bunch



# Hour glass effect - long bunches



■ Significant effect for long bunches and small  $\beta^*$

## Hour glass effect

▣  $\beta$ -functions depends on position  $s$

▣ Usually:  $\beta(s) = \beta^* \left(1 + \left(\frac{s}{\beta^*}\right)^2\right)$

→ i.e.  $\sigma \implies \sigma(s) \neq \text{const.}$

→  $\sigma(s) = \sigma^* \sqrt{\left(1 + \left(\frac{s}{\beta^*}\right)^2\right)}$

▣ Important when  $\beta^*$  comparable to the r.m.s. bunch length  $\sigma_s$  (or smaller !)



## Hour glass effect

- Without crossing angle and for symmetric, round Gaussian beams we get the relative luminosity reduction as:

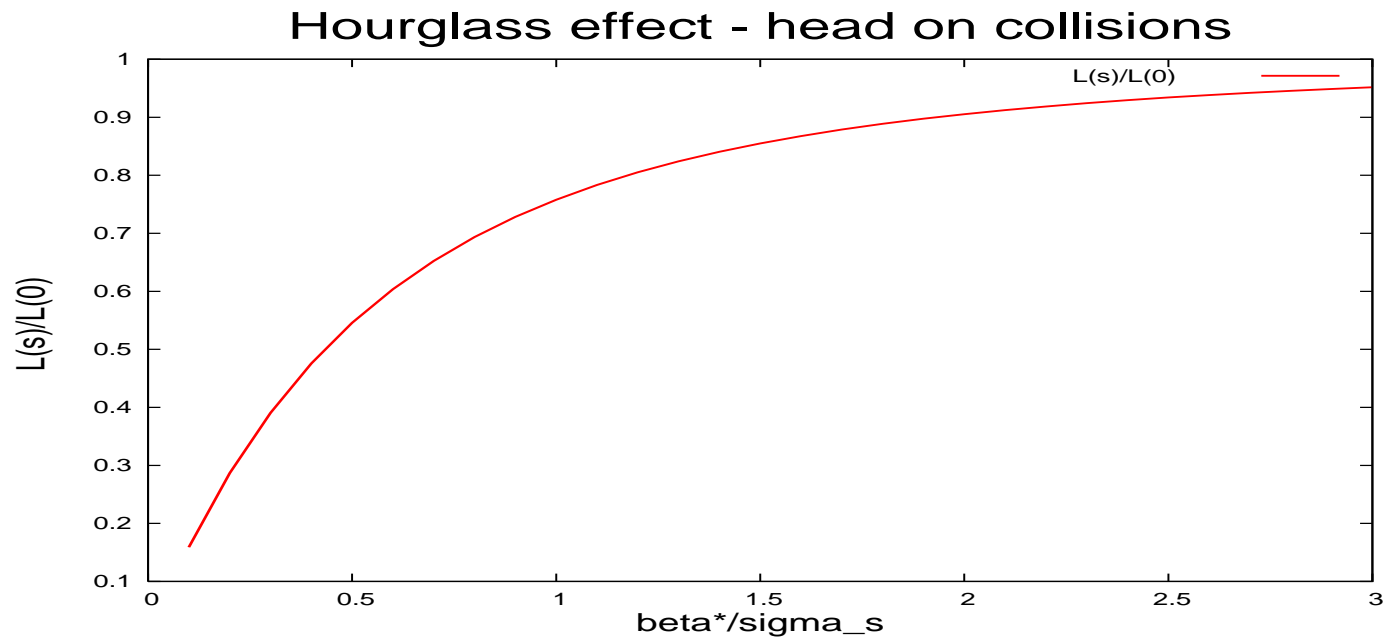
$$\frac{\mathcal{L}(\sigma_s)}{\mathcal{L}(0)} = \int_{-\infty}^{+\infty} \frac{1}{\sqrt{\pi}} \frac{e^{-u^2}}{\left[1 + \left(\frac{u}{u_x}\right)^2\right]} du = \sqrt{\pi} \cdot u_x \cdot e^{u_x^2} \cdot \operatorname{erfc}(u_x)$$

Using the expression:  $u_x = \beta^* / \sigma_s$

$$\mathcal{L}(\sigma_s) = \mathcal{L}(0) \cdot H \quad \text{with :} \quad H = \sqrt{\pi} \cdot u_x \cdot e^{u_x^2} \cdot \operatorname{erfc}(u_x)$$



# Hour glass effect



→ Hourglass reduction factor as function of ratio  $\beta^*/\sigma_s$ .



# Luminosity with (more) correction factors

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi\sigma_x\sigma_y} \cdot W \cdot e^{\frac{B^2}{A}} \cdot S \cdot H$$

- $W$ : correction for beam offset
  - $S$ : correction for crossing angle
  - $e^{\frac{B^2}{A}}$ : correction for crossing angle **and** offset
  - $H$ : correction for hour glass effect
-

## Calculations for the LHC

■  $N_1 = N_2 = 1.15 \times 10^{11}$  particles/bunch

■  $n_b = 2808$  bunches/beam

■  $f = 11.2455$  kHz,  $\phi = 285$   $\mu$ rad

■  $\beta_x^* = \beta_y^* = 0.55$  m

■  $\sigma_x^* = \sigma_y^* = 16.6$   $\mu$ m,  $\sigma_s = 7.7$  cm

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■ Simplest case (Head on collision):

$$\mathcal{L} = 1.200 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$

■ Effect of crossing angle:

$$\mathcal{L} = 0.973 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$

■ Effect of crossing angle & Hourglass:

$$\mathcal{L} = 0.969 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$$





## If the beams are not Gaussian ??

Exercise:

▣ Assume flat distributions (normalized to 1)

$$\rho_1 = \rho_2 = \frac{1}{2a}, \quad \text{for } [-a \leq z \leq a], \quad z = x, y$$

Calculate r.m.s. in  $x$  and  $y$ :

$$\langle (x, y)^2 \rangle = \int_{-\infty}^{+\infty} (x, y)^2 \cdot \rho(x, y) dx dy$$

and

$$\mathcal{L} = \int_{-\infty}^{+\infty} \rho_1(x, y) \rho_2(x, y) dx dy$$

▣ Compute:  $\mathcal{L} \cdot \sqrt{\langle x^2 \rangle \cdot \langle y^2 \rangle}$

▣ Repeat for various distributions and compare

## Integrated luminosity

■  $\mathcal{L}_{\text{int}} = \int_0^T \mathcal{L}(t) dt$

■ **The figure of merit:**

$$\mathcal{L}_{\text{int}} \cdot \sigma_p = \text{number of events}$$

■ Unit is:  $cm^{-2}$ , i.e. inverse cross-section

■ Often expressed in inverse *barn* ( $10^{-24} cm^2$ )

■ 1 fbarn<sup>-1</sup> is  $10^{39} cm^{-2}$

■ for 1 fbarn<sup>-1</sup>: requires  $10^7$ s at  $L = 10^{32} cm^{-2} s^{-1}$



## Integrated luminosity

- Experiments: continuous recording of  $\mathcal{L}$
- For studies: assume some life time behaviour.  
E.g.  $\mathcal{L}(t) \longrightarrow \mathcal{L}_0 \exp\left(-\frac{t}{\tau}\right)$
- Contributions to life time from: intensity decay, emittance growth etc.



# Integrated luminosity

- Knowledge of preparation time allows optimization of  $\mathcal{L}_{\text{int}}$



## Integrated luminosity

▣ Typical run times LEP:

$$t_r \approx 8 - 10 \text{ hours}$$

▣ For LHC long preparation time  $t_p$  expected

- Optimum combination of  $t_r$  and  $t_p$  gives maximum luminosity
- $t_r$  is usually a "free" parameter, i.e. can be chosen



## Maximising Integrated Luminosity

- Assume exponential decay of luminosity

$$\mathcal{L}(t) = \mathcal{L}_0 \cdot e^{t/\tau}$$

- Average (integrated) luminosity  $\langle \mathcal{L} \rangle$

$$\langle \mathcal{L} \rangle = \frac{\int_0^{t_r} dt \mathcal{L}(t)}{t_r + t_p} = \mathcal{L}_0 \cdot \tau \cdot \frac{1 - e^{-t_r/\tau}}{t_r + t_p}$$

- (Theoretical) maximum for:

$$t_r \approx \tau \cdot \ln\left(1 + \sqrt{2t_p/\tau} + t_p/\tau}\right)$$

- Example LHC:  $t_p \approx 10\text{h}$ ,  $\tau \approx 15\text{h}$ ,  $\Rightarrow t_r \approx 15\text{h}$

- Exercise: Would you improve  $\tau$  (long  $t_r$ ) or  $t_p$  ?



## Interactions per crossing

- Luminosity /  $f n_b \propto N_1 N_2$
- In LHC: crossing every 25 ns
- Per crossing approximately 20 interactions
- May be undesirable (pile up in detector)
- $\implies$  more bunches  $n_b$ , or smaller  $N$  ??

Beware: maximum (peak) luminosity  $\mathcal{L}_{max}$   
is not the whole story ... !

# Luminosity measurement

- ❑ One needs to get a signal proportional to interaction rate → **Beam diagnostics**
- ❑ Large dynamic range:  
 $10^{27} \text{ cm}^{-2}\text{s}^{-1}$  to  $10^{34} \text{ cm}^{-2}\text{s}^{-1}$
- ❑ Very fast, if possible for individual bunches
- ❑ Used for optimization
- ❑ For absolute luminosity need calibration






# Luminosity calibration


- Remember the basic definition:

$$\frac{dR}{dt} = \mathcal{L} \times \sigma_p$$

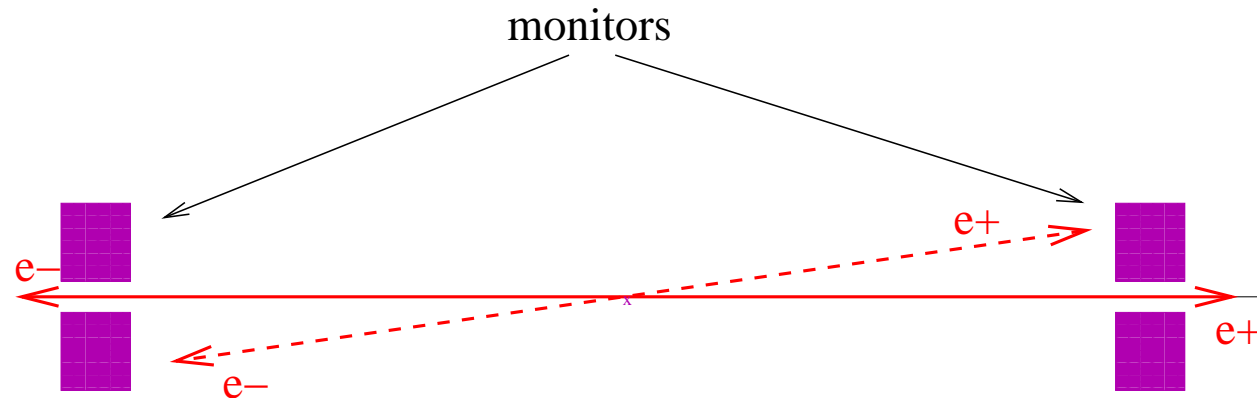
- For a well known and calculable process we know  $\sigma_p$
  - The experiments measure the counting rate  $\frac{dR}{dt}$  for **this** process
  - Get the absolute, calibrated luminosity
- 

# Luminosity calibration

$(e^+e^-)$

- Use well known and calculable process
  - $e^+e^- \rightarrow e^+e^-$  elastic scattering (Bhabha scattering)
  - Have to go to small angles ( $\sigma_{el} \propto \Theta^{-3}$ )
  - Small rates at high energy ( $\sigma_{el} \propto \frac{1}{E^2}$ )
- 

# Luminosity calibration



- Measure coincidence at small angles
- Low counting rates, in particular for high energy !
- Background may be problematic

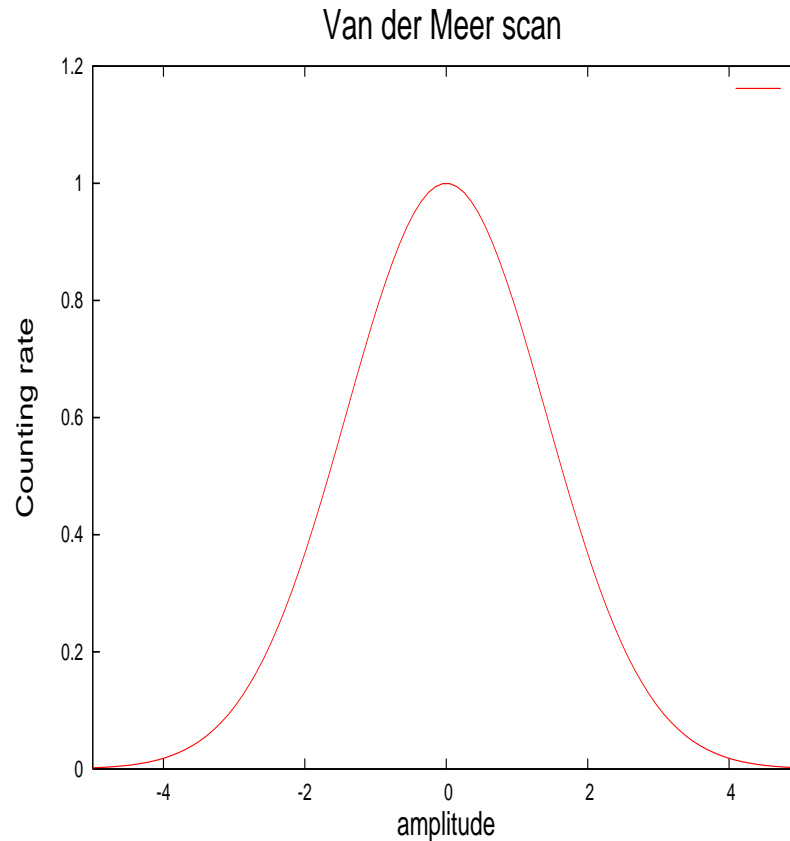


# Luminosity calibration

(hadrons, e.g.  $pp$  or  $p\bar{p}$ )

- Must measure beam current and beam sizes
  - Beam size measurement:
    - Wire scanner or synchrotron light monitors
    - Measurement with beam ... → remember luminosity with offset
    - Move the two beams against each other in transverse planes (van der Meer scan, ISR 1973 - LHC 2010)
-

# Luminosity optimization



Record counting rates  $R(d)$  as function of movement  $d$

Since  $R(d)$  is proportional to luminosity  $L(d)$

Get ratio of luminosity  $L(d)/L(0)$

# Luminosity optimization

- From ratio of luminosity  $\mathcal{L}(d)/\mathcal{L}_0$
  - Remember:  $W = e^{-\frac{1}{4\sigma^2}(d_2-d_1)^2}$
  - Determines  $\sigma$
  - ... and centres the beams !
  - Others:
    - Beam-beam deflection scans **LEP**
    - Beam-beam excitation
-

## Absolute value of $\mathcal{L}$ ( $pp$ or $p\bar{p}$ )

■ By Coulomb normalization:

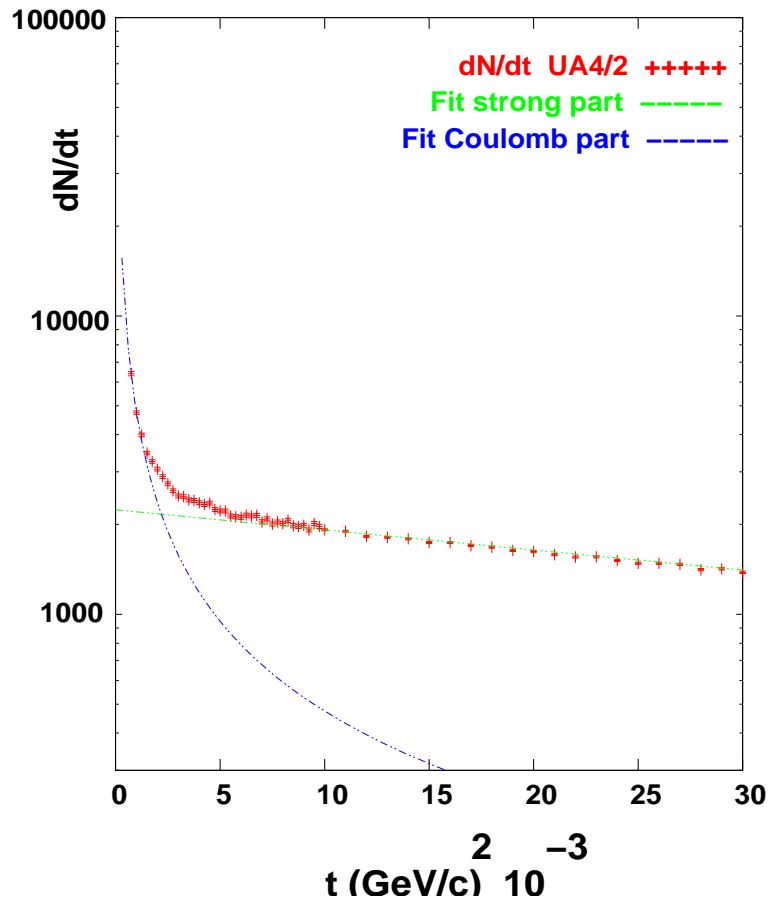
➤ Coulomb amplitude exactly calculable:

$$\begin{aligned} \lim_{t \rightarrow 0} \frac{d\sigma_{el}}{dt} &= \frac{1}{\mathcal{L}} \frac{dN_{el}}{dt} \Big|_{t=0} = \pi |f_C + f_N|^2 \\ &\simeq \pi \left| \frac{2\alpha_{em}}{-t} + \frac{\sigma_{tot}}{4\pi} (\rho + i) e^{b\frac{t}{2}} \right|^2 \simeq \frac{4\pi\alpha_{em}^2}{t^2} \Big|_{|t| \rightarrow 0} \end{aligned}$$

➤ Fit gives:  $\sigma_{tot}, \rho, b$  and  $\mathcal{L}$

■ Can be done measuring elastic scattering at small angles

## Differential elastic cross section



- Measure  $dN/dt$  at small  $t$  ( $0.01 < (\text{GeV}/c)^2$ ) and extrapolate to  $t = 0.0$
- Needs special optics to allow measurement at very small  $t$
- Measure total counting rate  $N_{el} + N_{inel}$   
Needs good detector coverage
- Often use slightly modified method, precision 1 – 2 %





# Luminosity in linear colliders

- Mainly (only)  $e + e^-$  colliders
  - Past collider: SLC (SLAC)
  - Under consideration: CLIC, ILC
  - Special issues:
    - Particles collide only once (dynamics) !
    - Particles collide only once (beam power) !
- Must be taken into account



# Luminosity in linear colliders

■ Basic formula:

$$\text{From : } \mathcal{L} = \frac{N^2 f n_b}{4\pi\sigma_x\sigma_y} \quad \text{to : } \mathcal{L} = \frac{N^2 f_{rep} n_b}{4\pi\sigma_x\sigma_y}$$

■ Replace frequency  $f$  by repetition rate  $f_{rep}$ .

■ And introduce effective beam sizes  $\overline{\sigma}_x, \overline{\sigma}_y$  :

$$\mathcal{L} = \frac{N^2 f_{rep} n_b}{4\pi\overline{\sigma}_x \overline{\sigma}_y}$$



# Luminosity in linear colliders

■ Using the enhancement factor  $H_D$ :

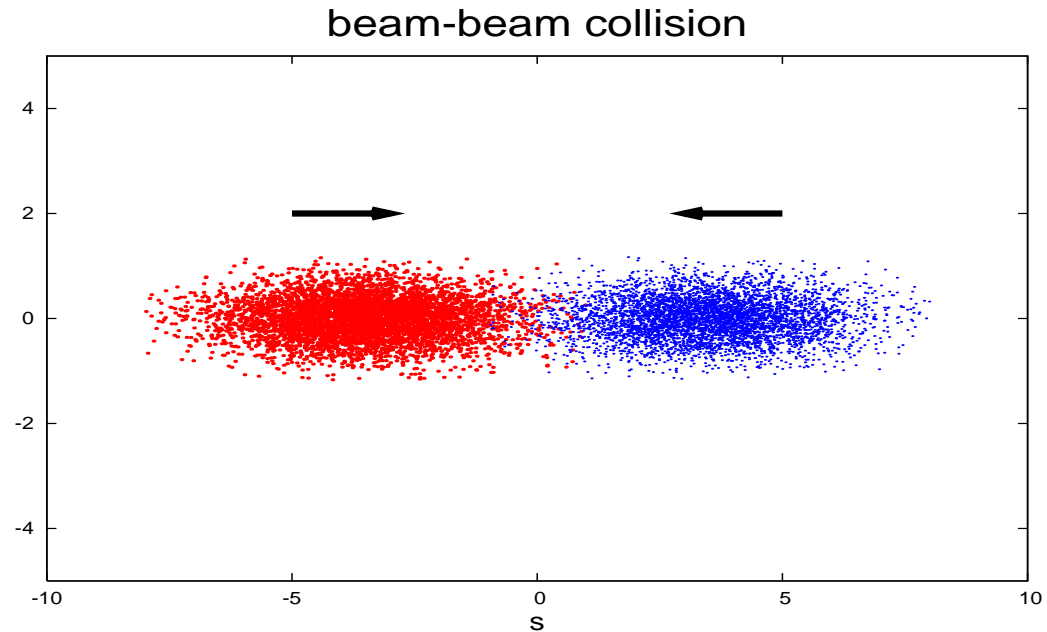
$$\mathcal{L} = \frac{N^2 f_{rep} n_b}{4\pi \overline{\sigma}_x \overline{\sigma}_y} \rightarrow \mathcal{L} = \frac{H_D \cdot N^2 f_{rep} n_b}{4\pi \sigma_x \sigma_y}$$

■ Enhancement factor  $H_D$  takes into account reduction of nominal beam size by the disruptive field (pinch effect)

■ Related to disruption parameter  $\mathcal{D}$ :

$$\mathcal{D}_{x,y} = \frac{2r_e N \sigma_z}{\gamma \sigma_{x,y} (\sigma_x + \sigma_y)}$$

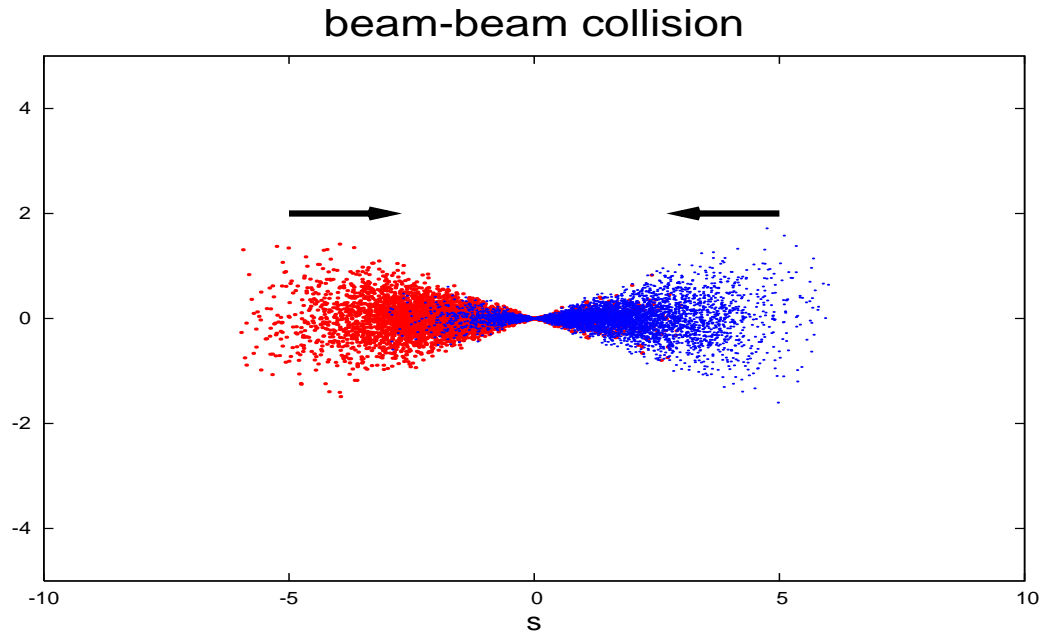
# Pinch effect - disruption



➤ Additional focusing by opposing beams



# Pinch effect - disruption



➤ Additional focusing by opposing beams



## Luminosity in linear colliders

■ For weak disruption  $\mathcal{D} \ll 1$  and round beams:

$$H_D = 1 + \frac{2}{3\sqrt{\pi}}\mathcal{D} + \mathcal{O}(\mathcal{D}^2)$$

■ For strong disruption and flat beams: computer simulation necessary, maybe can get some scaling



# Beamstrahlung

- Disruption at interaction point is basically a strong "bending"
- Results in strong synchrotron radiation: beamstrahlung
- This causes (unwanted):
  - Spread of centre-of-mass energy
  - Pair creation and detector background
- Again: luminosity is not the only important parameter



## Beamstrahlung Parameter $Y$

- Measure of the mean field strength in the rest frame normalized to critical field  $B_c$ :

$$Y = \frac{\langle E + B \rangle}{B_c} \approx \frac{5}{6} \frac{r_e^2 \gamma N}{\alpha \sigma_z (\sigma_x + \sigma_y)}$$

with:

$$B_c = \frac{m^2 c^3}{e \hbar} \approx 4.4 \times 10^{13} G$$





## Energy loss and power consumption

■ Average fractional energy loss  $\delta_E$ :

$$\delta_E = 1.24 \frac{\alpha \sigma_z m_e}{\lambda_C E} \frac{Y}{(1 + (1.5Y)^{2/3})^{1/2}}$$

where  $E$  is beam energy at interaction point and  $\lambda_C$  the Compton wavelength.

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## Luminosity in linear colliders

- Using the beam power  $P_b$  and beam energy  $E$  in the luminosity:

$$\mathcal{L} = \frac{H_D \cdot N^2 f_{rep} n_b}{4\pi\sigma_x \sigma_y} \rightarrow \mathcal{L} = \frac{H_D \cdot N \cdot P_b}{eE \cdot 4\pi\sigma_x \sigma_y}$$

- Beam power  $P_b$  related to AC power consumption  $P_{AC}$  via efficiency  $\eta_b^{AC}$

$$P_b = \eta_b^{AC} \cdot P_{AC}$$


## Figure of merit in linear colliders

- Luminosity at given energy normalized to power consumption and momentum spread due to beamstrahlung:

$$M = \frac{\mathcal{L}E}{\sqrt{\delta_b}P_{AC}}$$

- With previous definition (and reasonably small beamstrahlung) this becomes:

$$M = \frac{\mathcal{L}E}{\sqrt{\delta_b}P_{AC}} \propto \frac{\eta_b^{AC}}{\sqrt{\epsilon_y^*}}$$

- These are optimized in the linear collider design

## Not treated :

- Coasting beams (e.g. ISR)
- Asymmetric colliders (e.g. PEP, HERA, LHeC)



## How to cook high Luminosity ?

- Get high intensity
- Get small beam sizes (small  $\epsilon$  and  $\beta^*$ )
- Get many bunches
- Get small crossing angle (if any)
- Get exact head-on collisions
- Get short bunches



## Luminosity in a nutshell

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi\sigma_x\sigma_y} \cdot W \cdot e^{\frac{B^2}{A}} \cdot S \cdot H$$



## Luminosity in a nutshell

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi\sigma_x\sigma_y} \cdot W \cdot e^{\frac{B^2}{A}} \cdot S \cdot H$$

■ Are there limits to what we can do ?



## Luminosity in a nutshell

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi\sigma_x\sigma_y} \cdot W \cdot e^{\frac{B^2}{A}} \cdot S \cdot H$$

- Are there limits to what we can do ?
- Yes, there are **beam-beam effects**



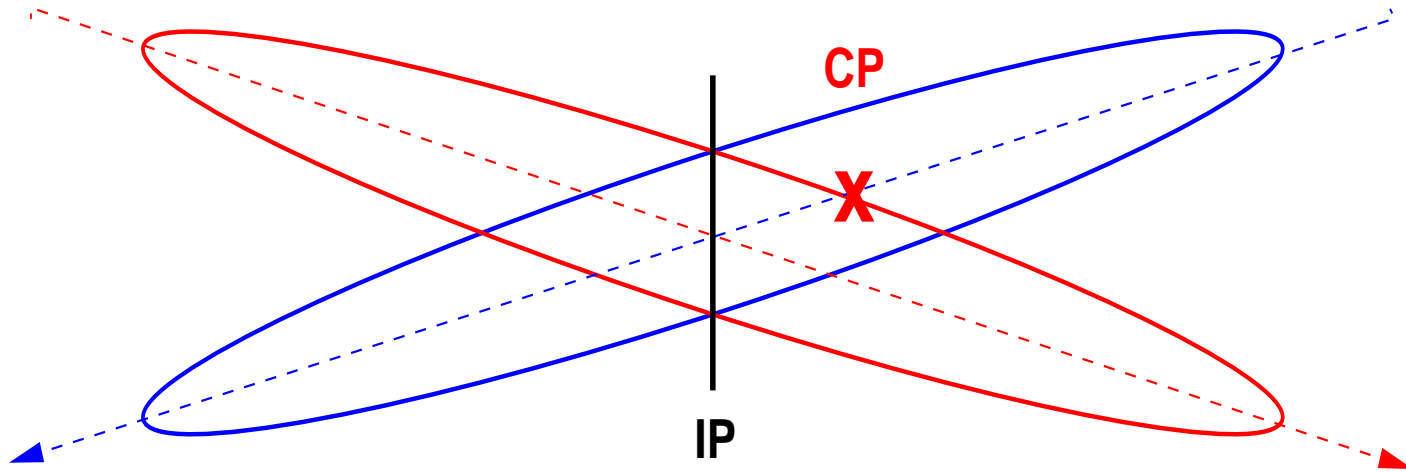


# Luminosity in a nutshell

$$\mathcal{L} = \frac{N_1 N_2 f n_b}{4\pi\sigma_x\sigma_y} \cdot W \cdot e^{\frac{B^2}{A}} \cdot S \cdot H$$



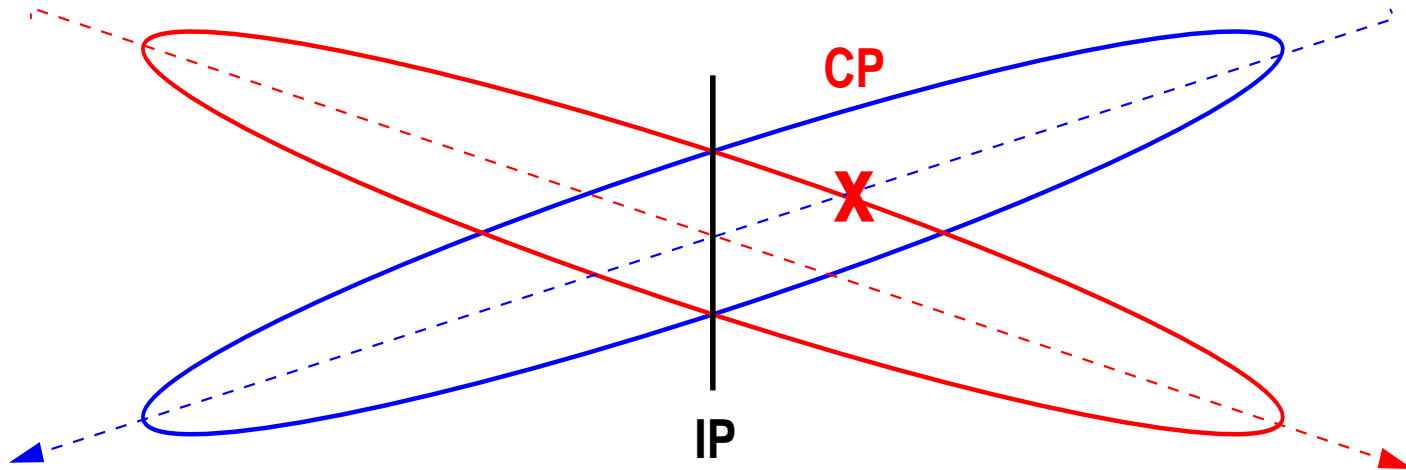
## Large crossing angle



- ➔ For large amplitude particles: collision point longitudinally displaced



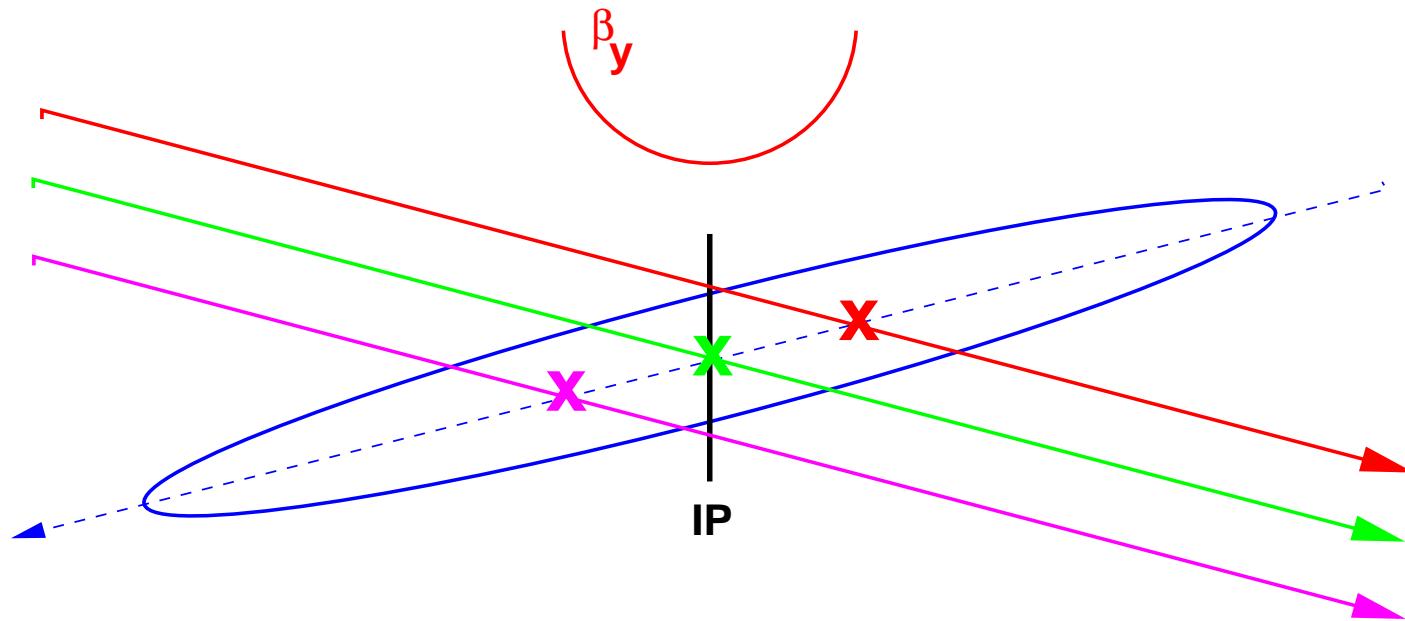
## Large crossing angle



- ➔ For large amplitude particles: collision point longitudinally displaced
- ➔ Can introduce coupling (transverse and synchro betatron, bad for flat beams)



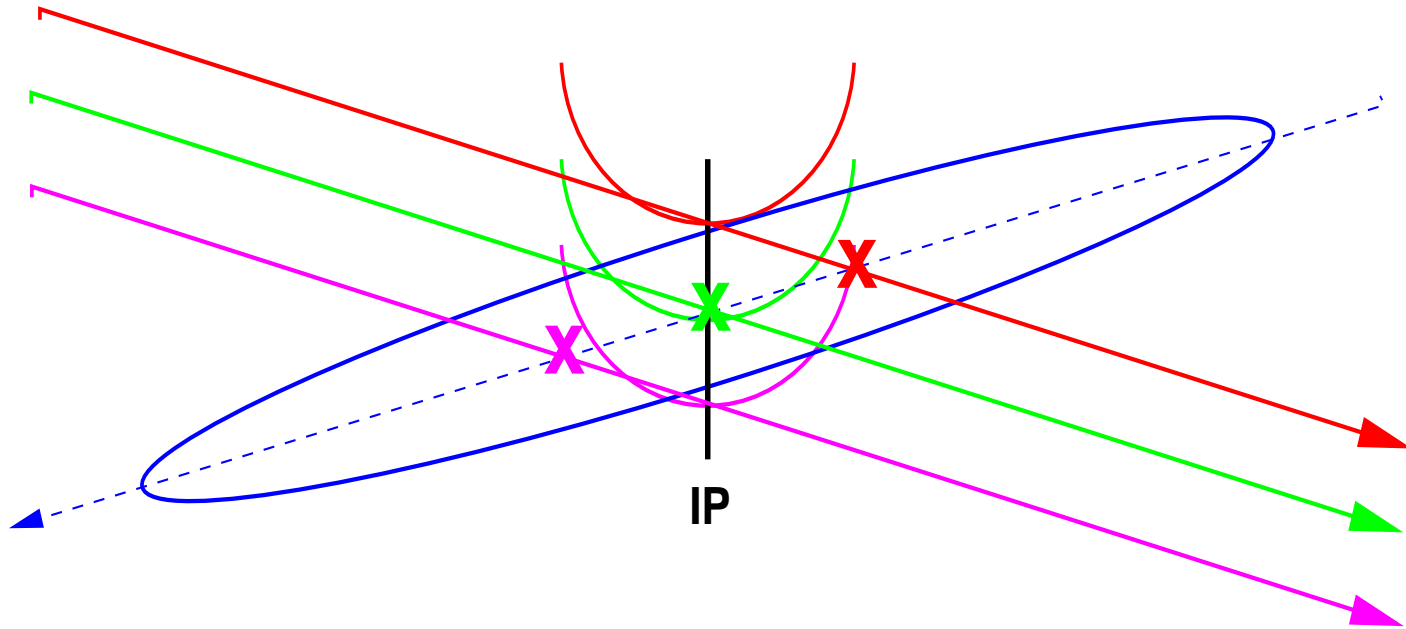
# Large crossing angle



- ➔ A particle's collision point amplitude dependent
- ➔ Different (vertical)  $\beta$  functions at collision points



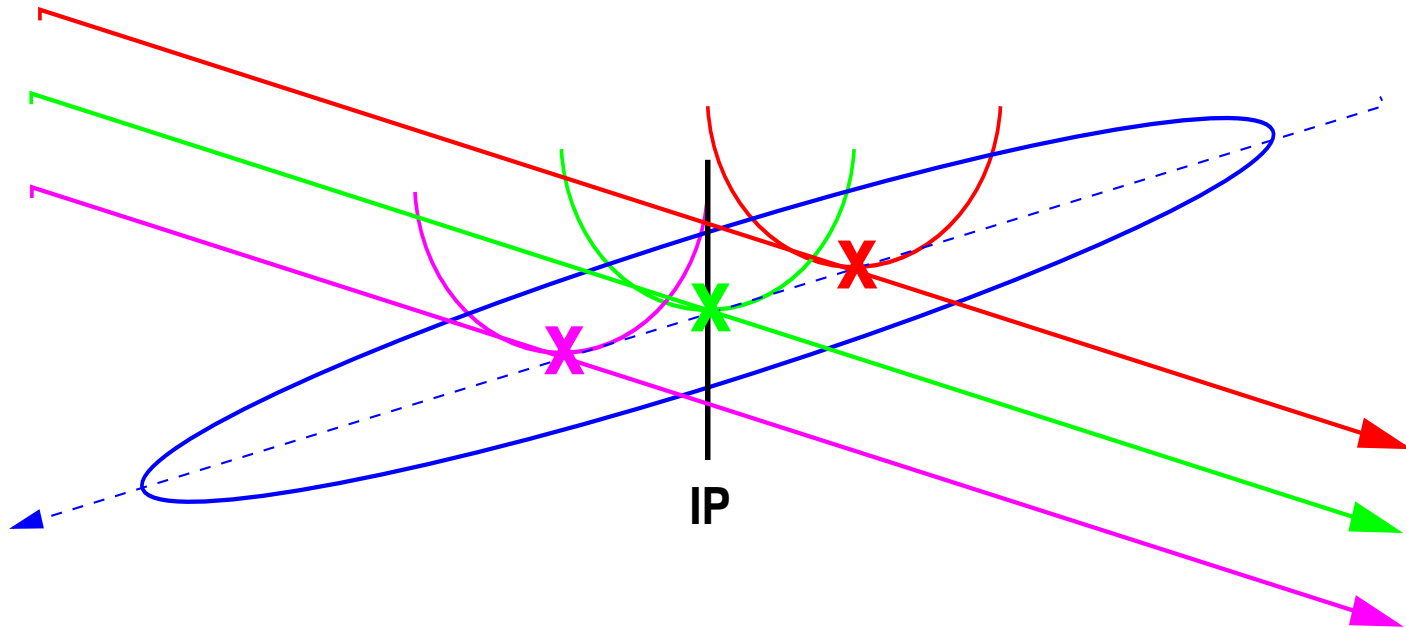
## Large crossing angle



- A particle's collision point amplitude dependent
- Different  $\beta$  functions at collision points (hour glass !)



## ”crab waist” scheme



- Make vertical waist ( $\beta_y^{min}$ ) amplitude (x) dependent
- All particles in both beams collide in minimum  $\beta_y$  region

## ”crab waist” scheme

- Make vertical waist (minimum of  $\beta$ ) amplitude (x) dependent
  - Without details: can be done with two sextupoles
  - First tried at DAPHNE (Frascati) in 2008
  - Geometrical gain small
  - Smaller vertical tune shift as function of horizontal coordinate
    - Less betatron and synchrotron coupling
    - Good remedy for flat (i.e. lepton) beams with large crossing angle
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