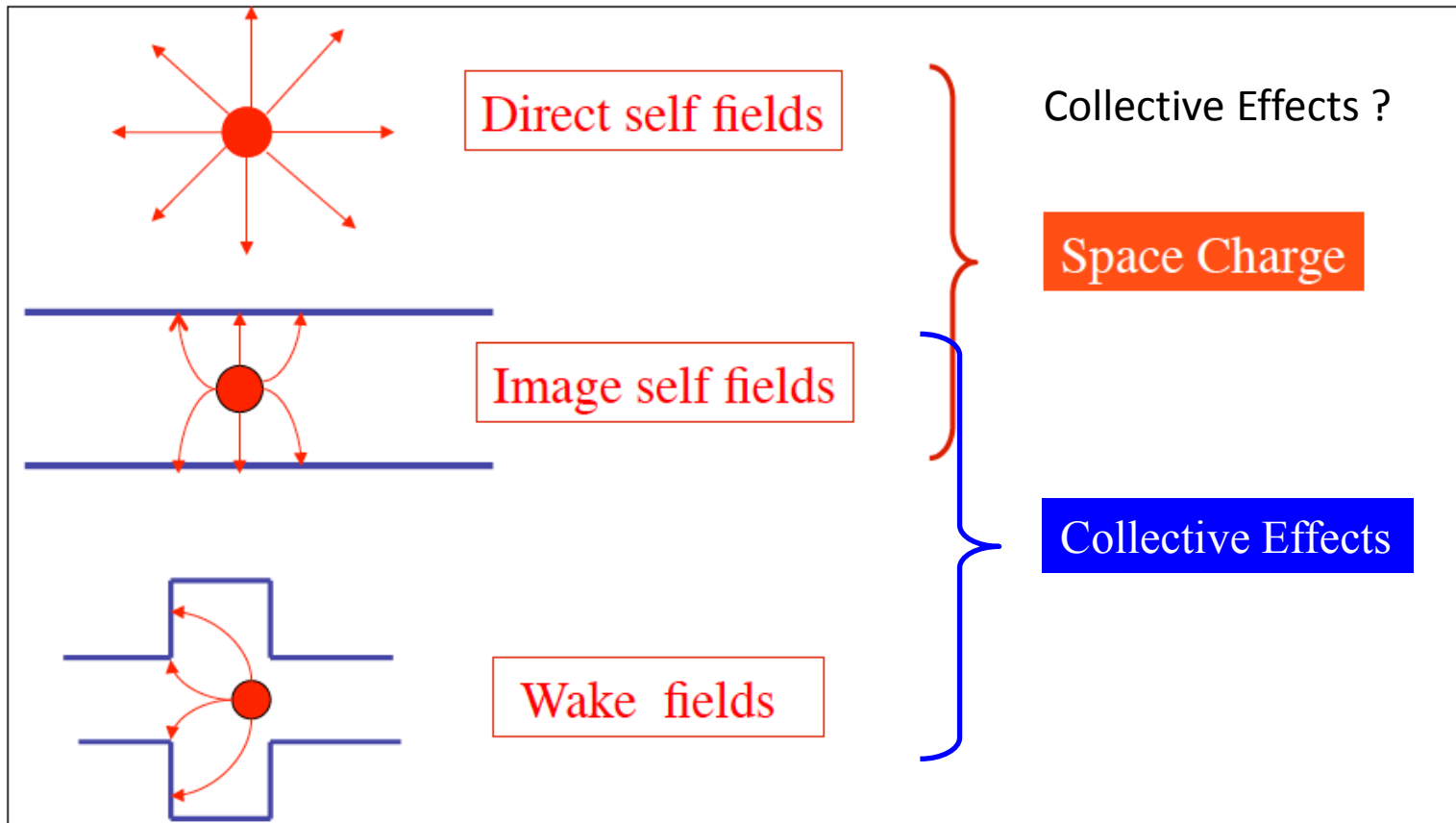


# Collective Effect II

Giuliano Franchetti, GSI  
CERN Accelerator – School  
Prague

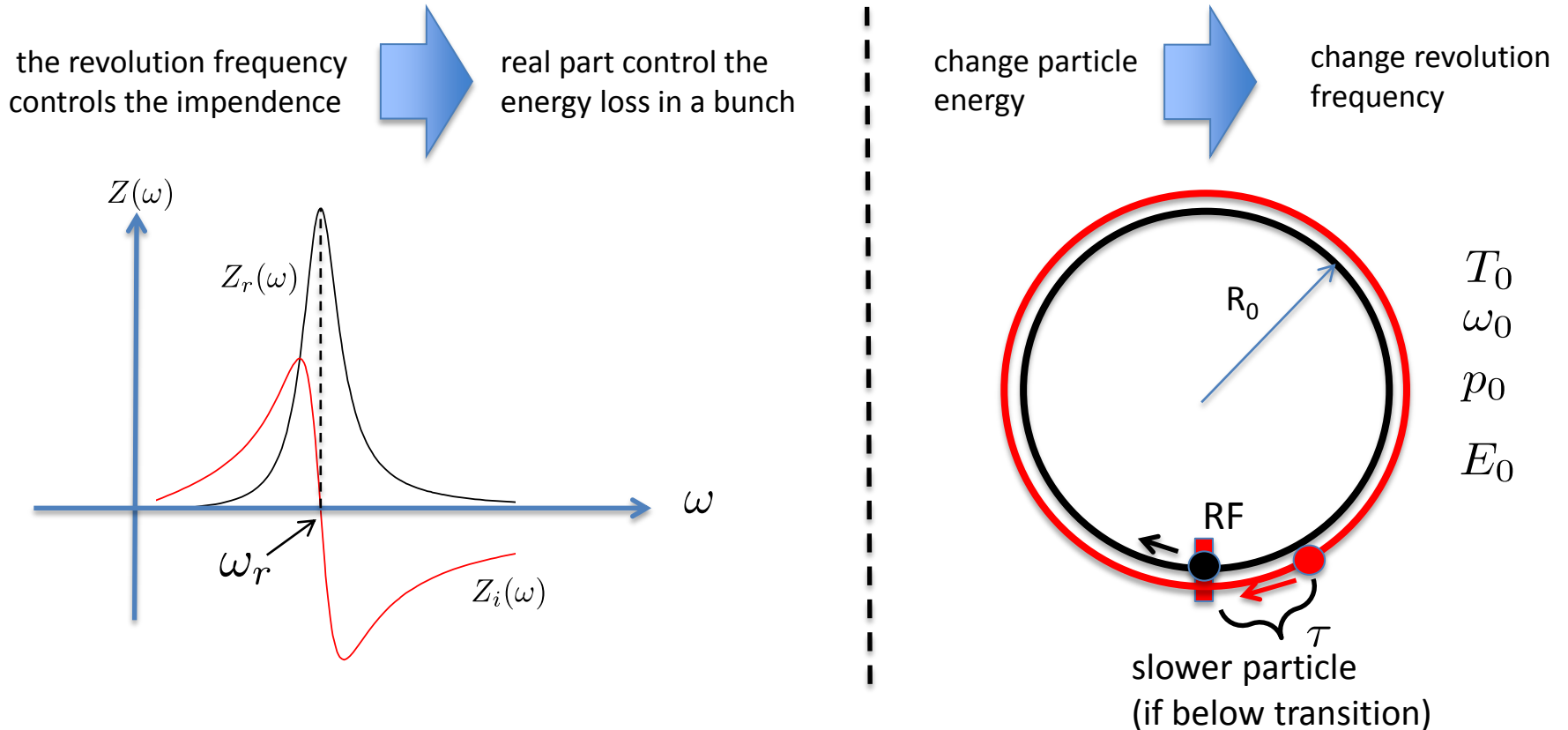
# Type of fields



# Robinson Instability

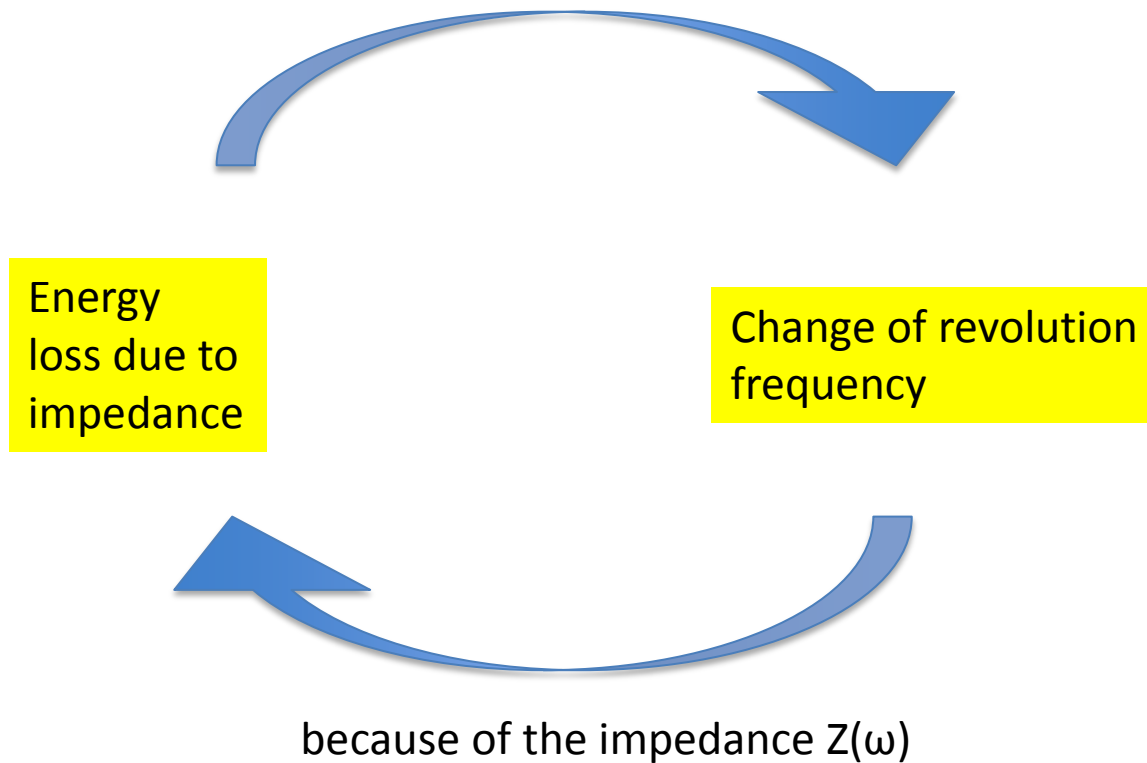
# Robinson Instability

It is an instability arising from the coupling of the impedance and longitudinal motion

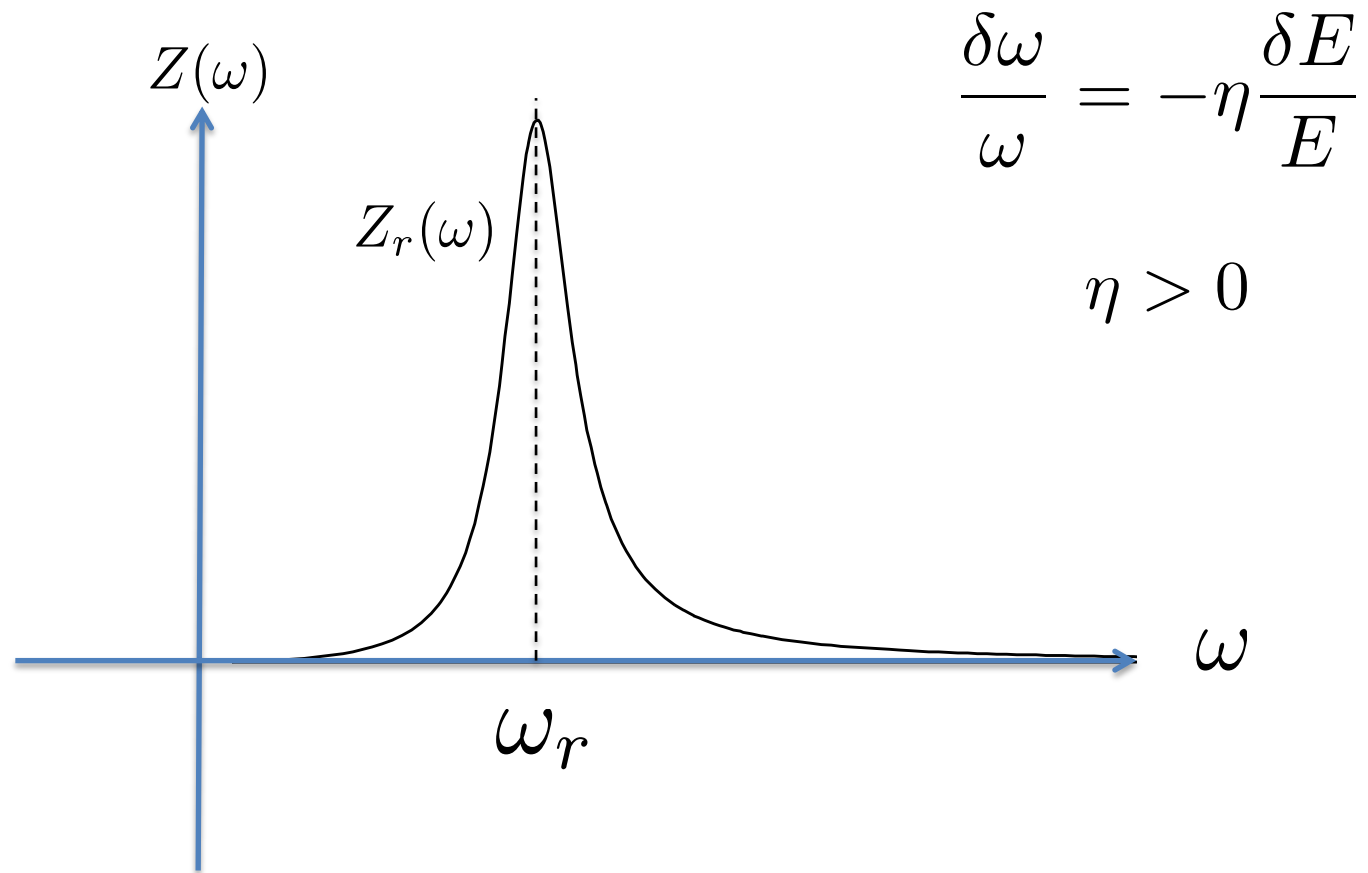


# The coupling of two effects

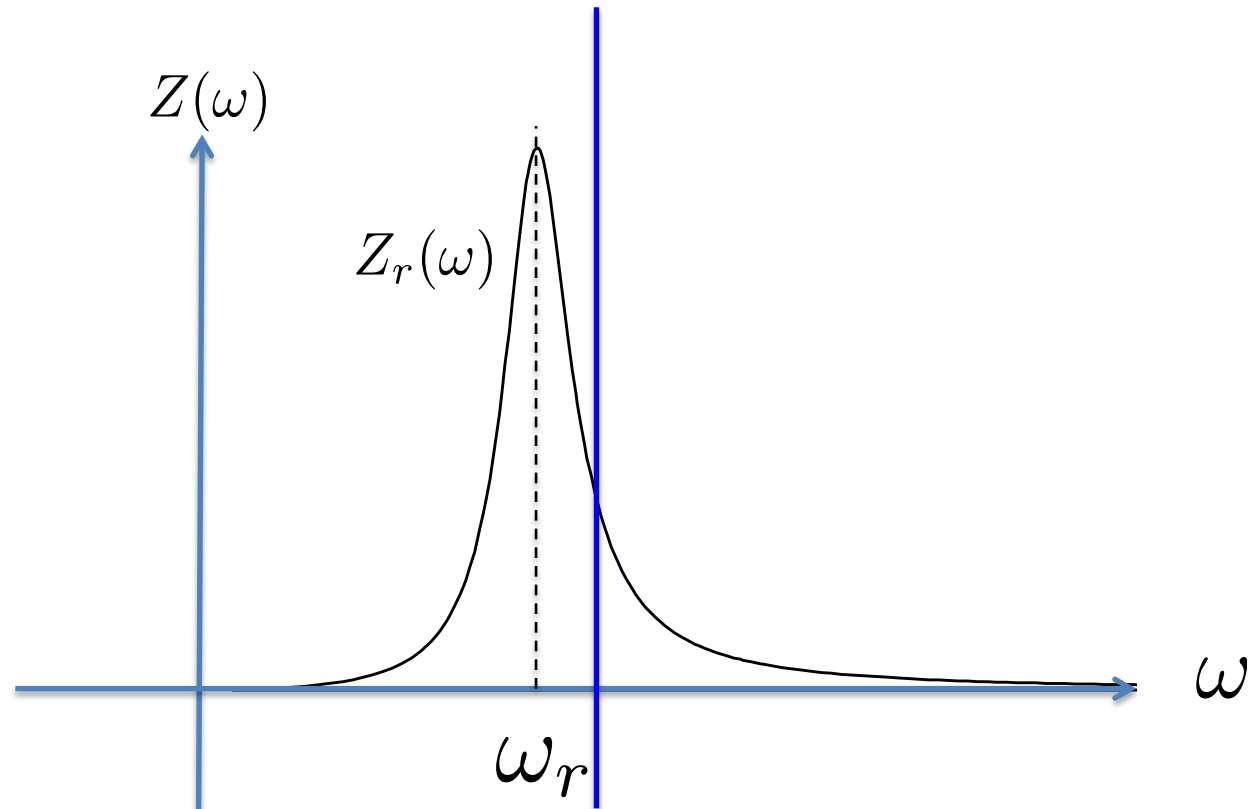
via the longitudinal dynamics



# Below transition

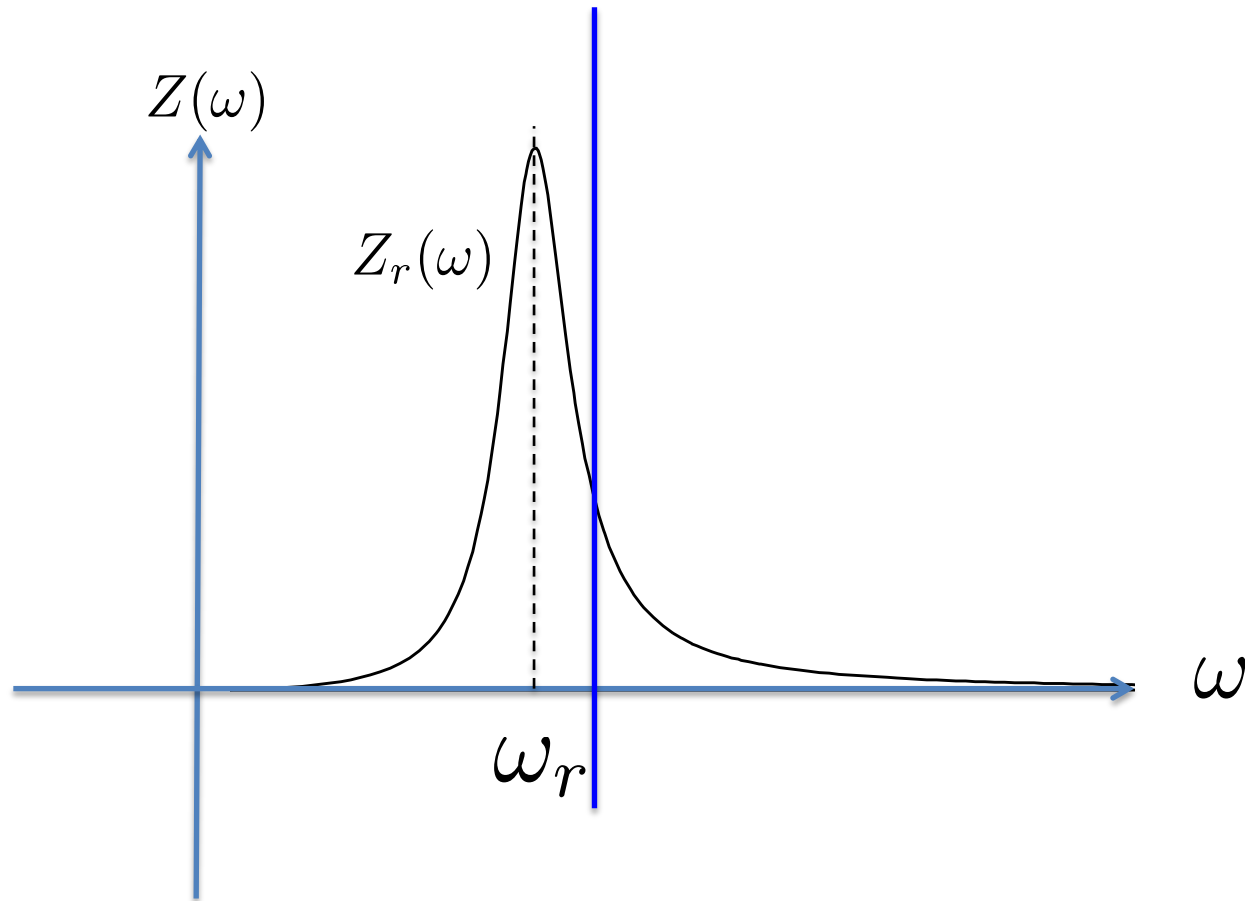


# Below transition



Energy lost in one turn  $W_b = \int_0^{T_0} I(t)V(t)dt$

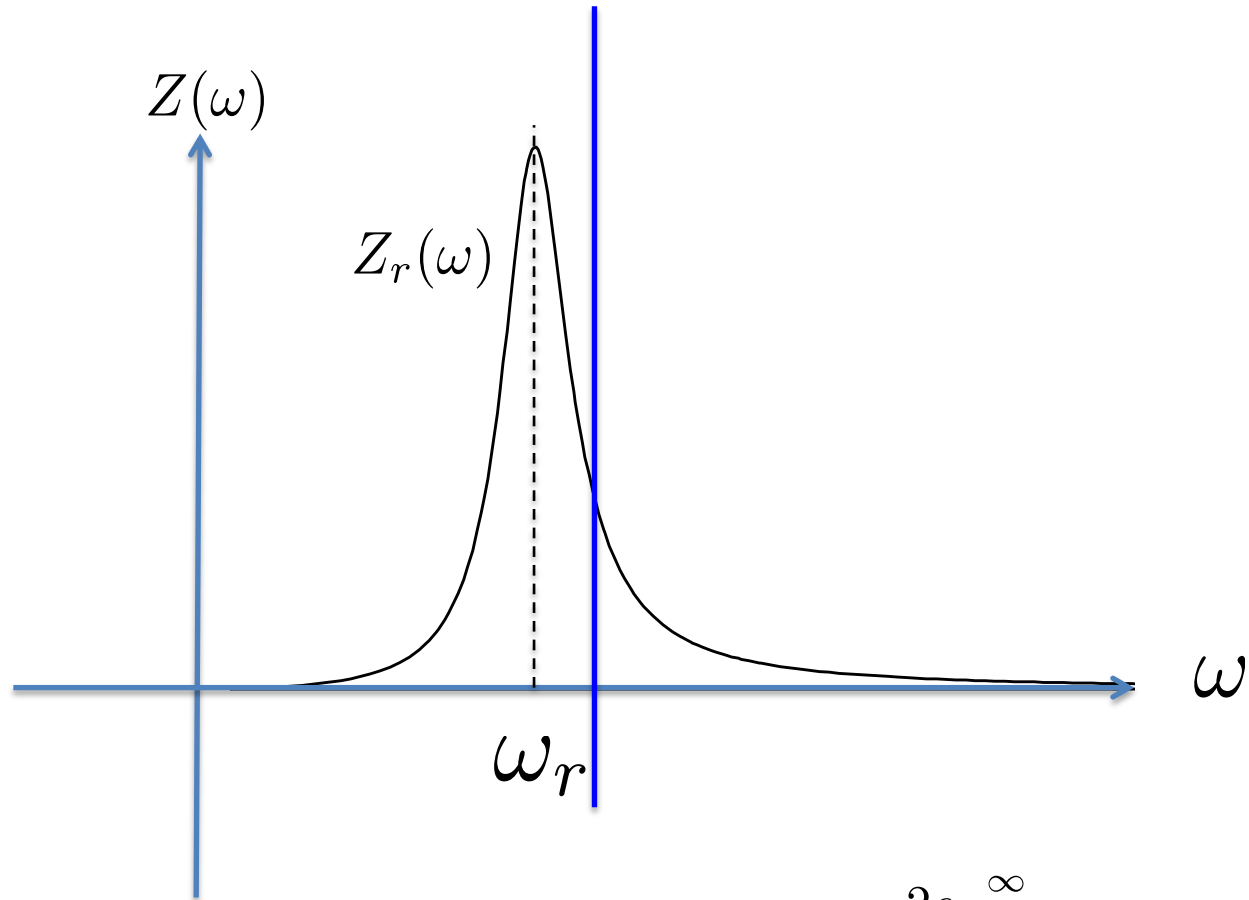
# Below transition



Where  $V(t)$  is given by the impedance  $Z_r(\omega)$

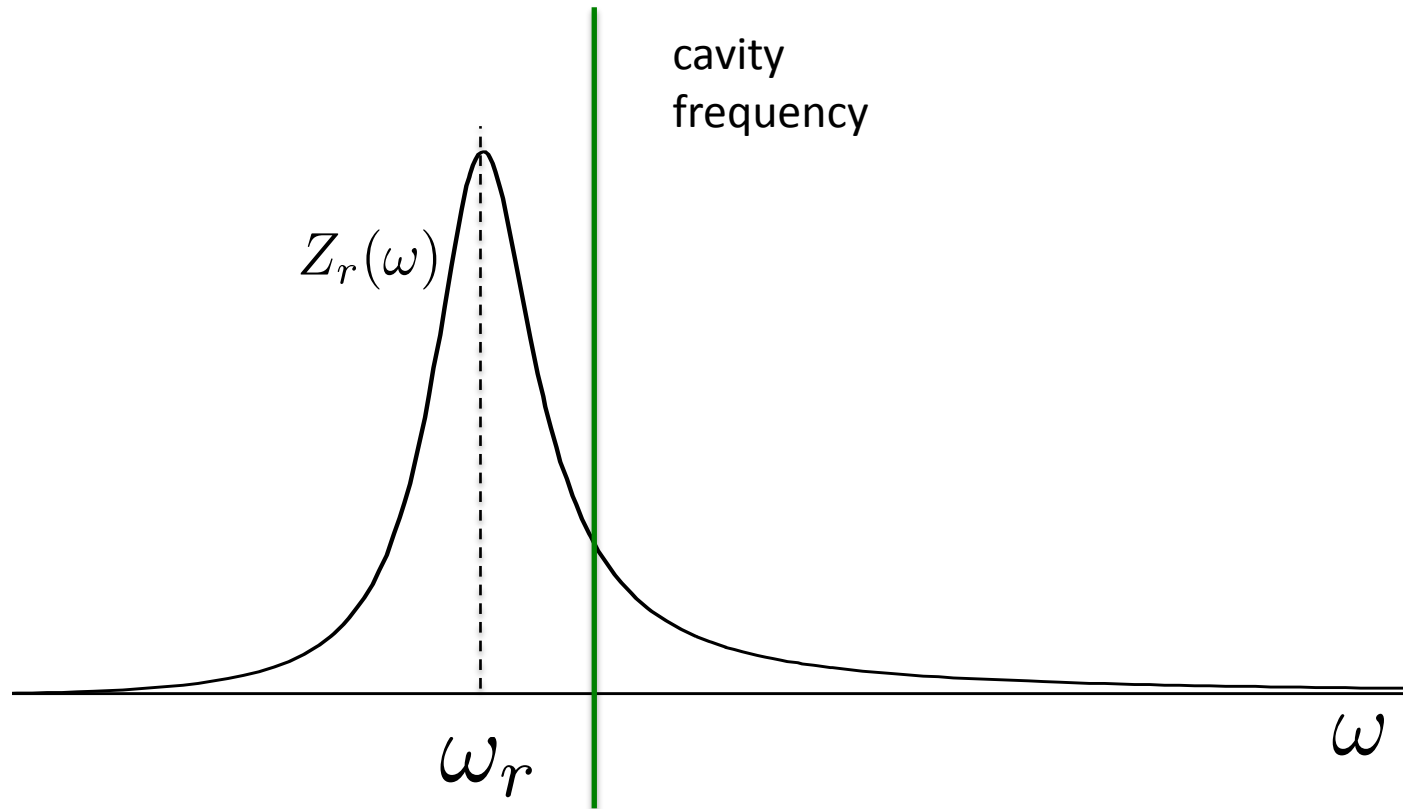


# Below transition



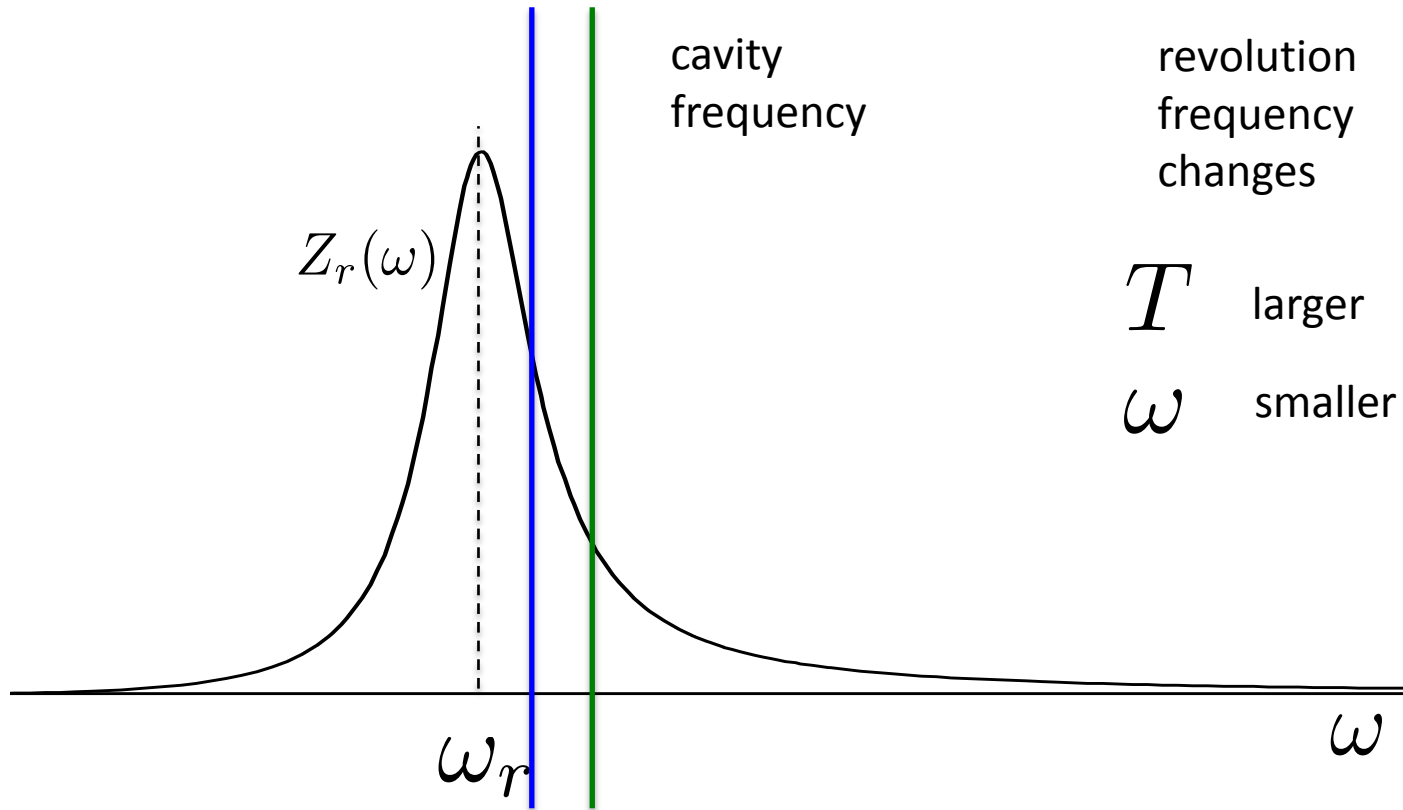
energy lost per particle for non oscillating bunch  $U = \frac{2e}{I_0} \sum_1^{\infty} I_p^2 Z_r(p\omega_0)$

# Below transition

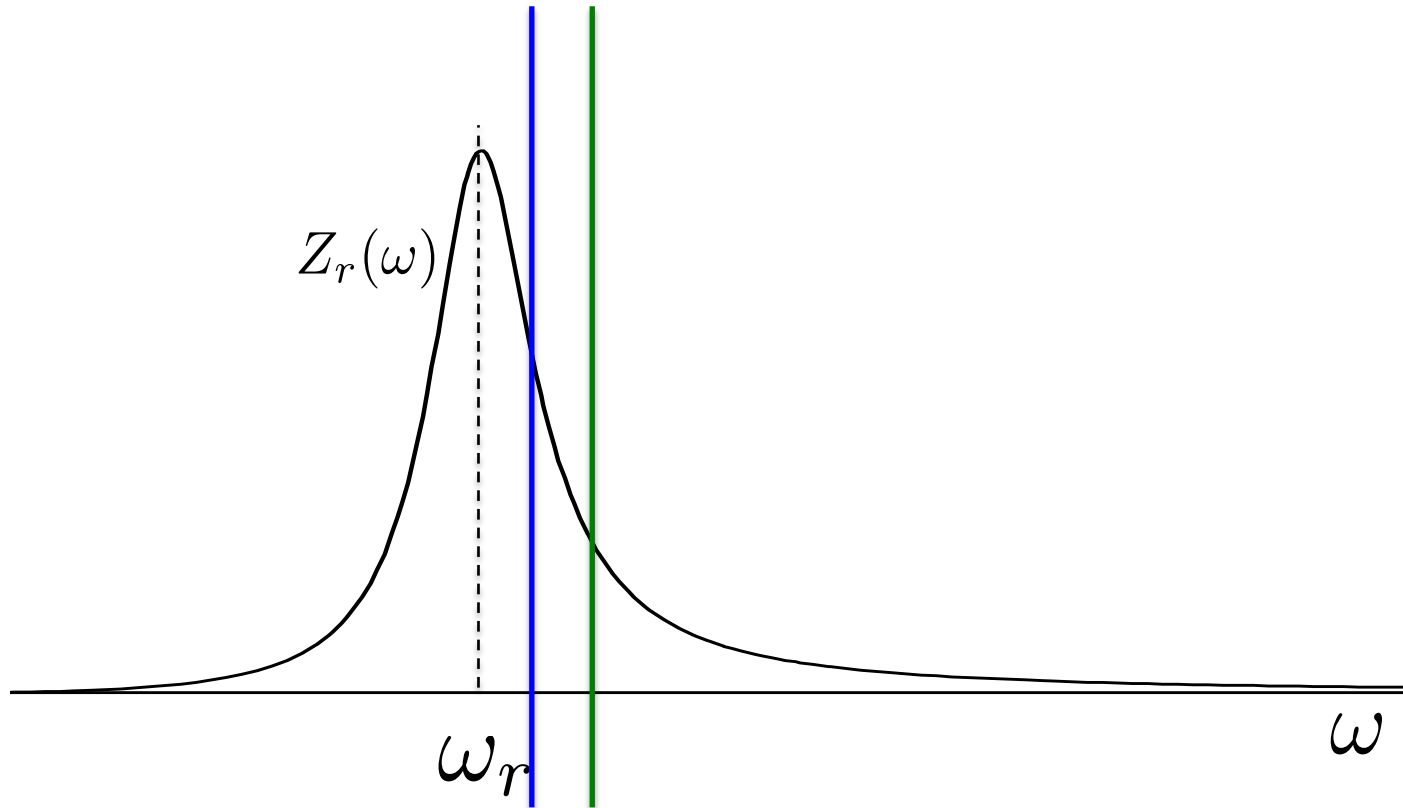


In one turn energy is lost but compensated by the RF

# Below transition

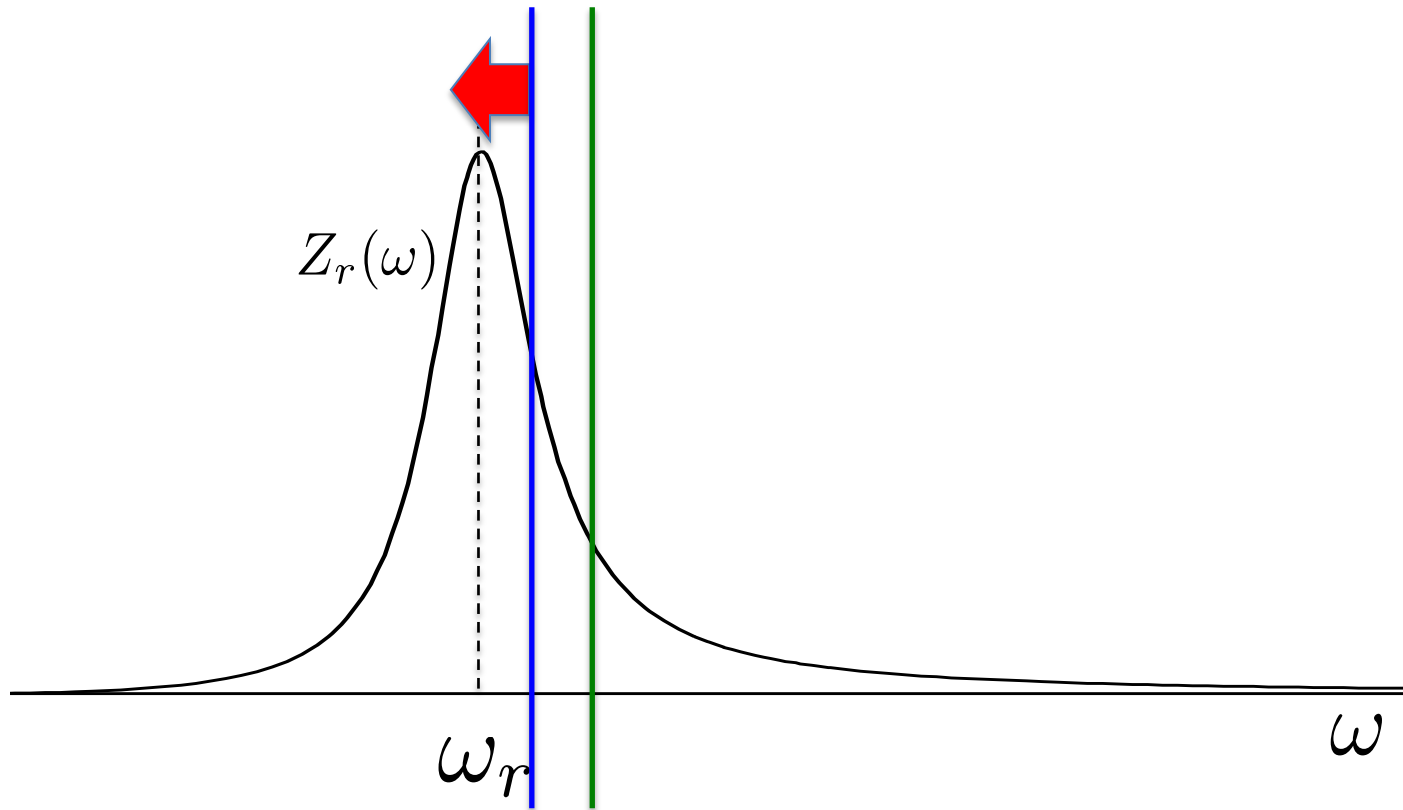


# Below transition

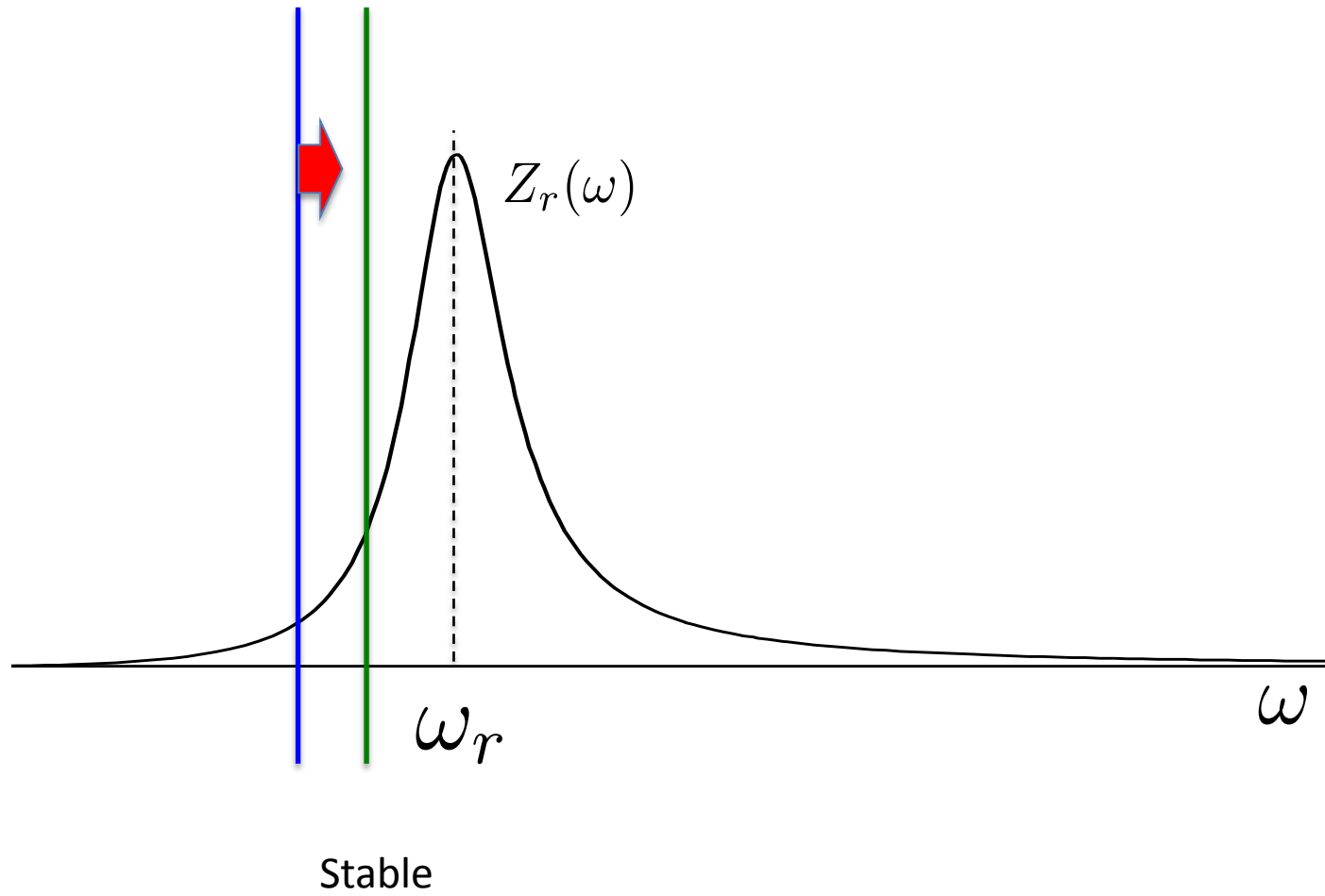


Energy lost  $\rightarrow$  increase  $\omega \rightarrow$  increase  $Z_r \rightarrow$  increase energy loss !!!

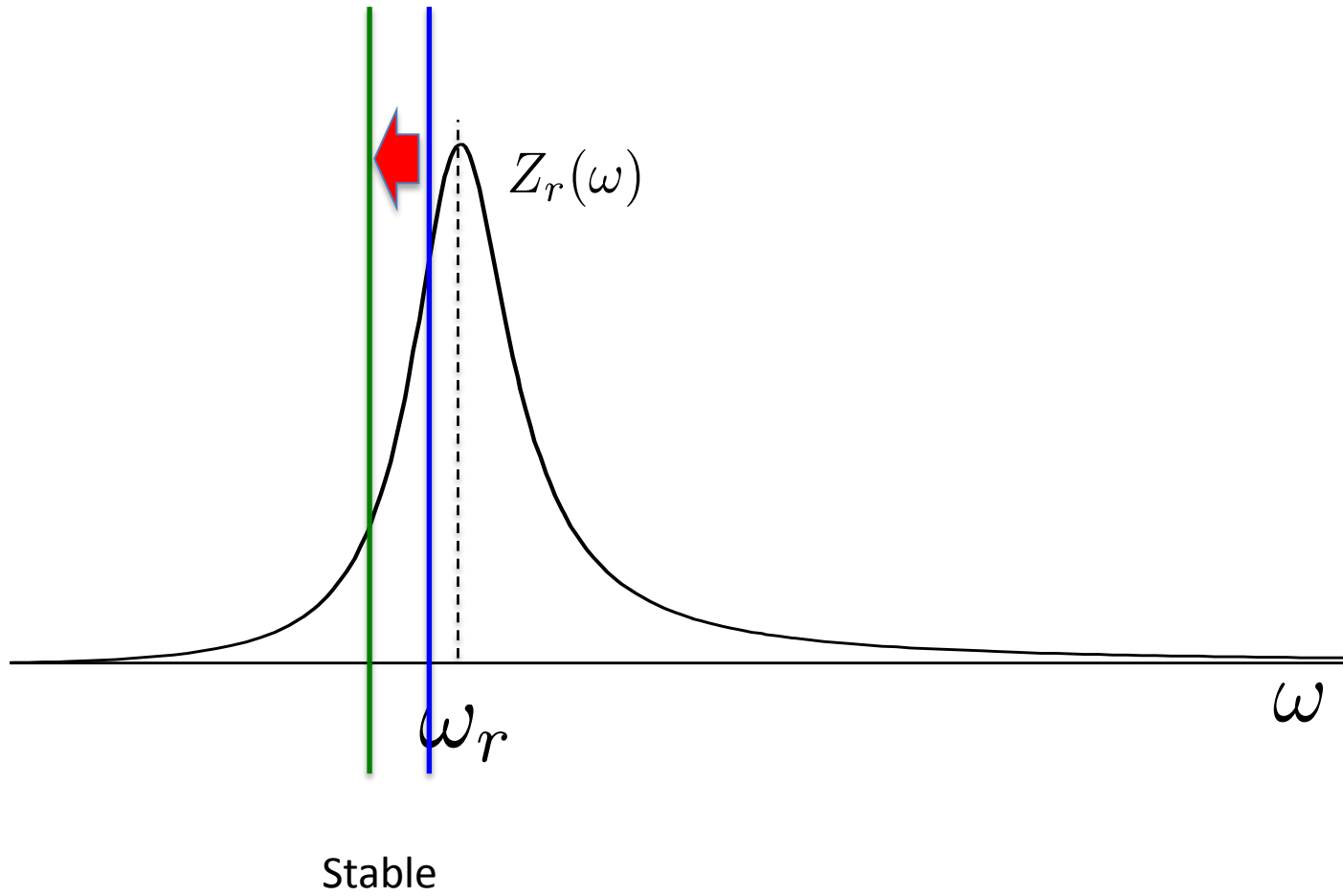
# Below transition



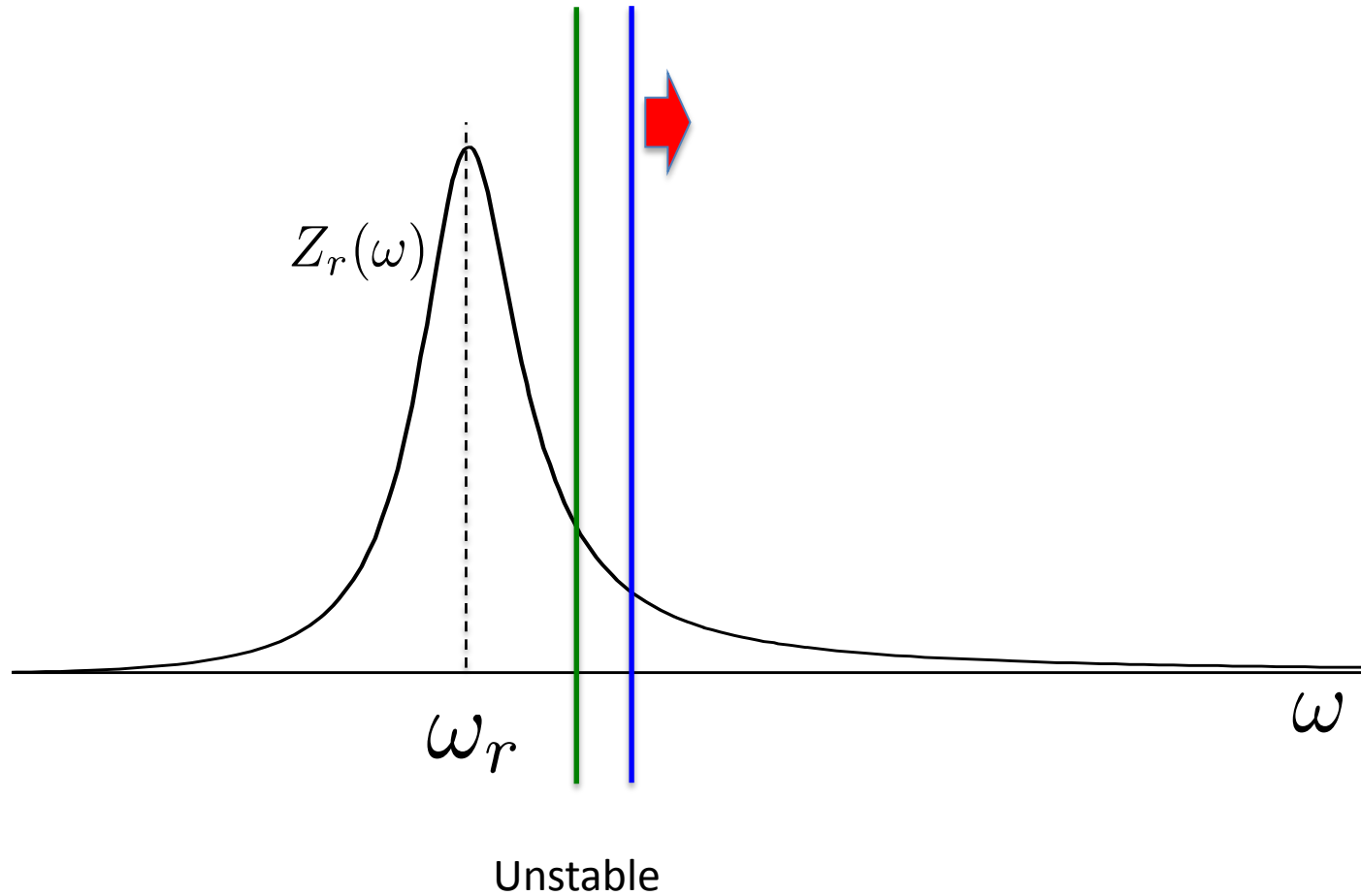
# Below transition



# Below transition

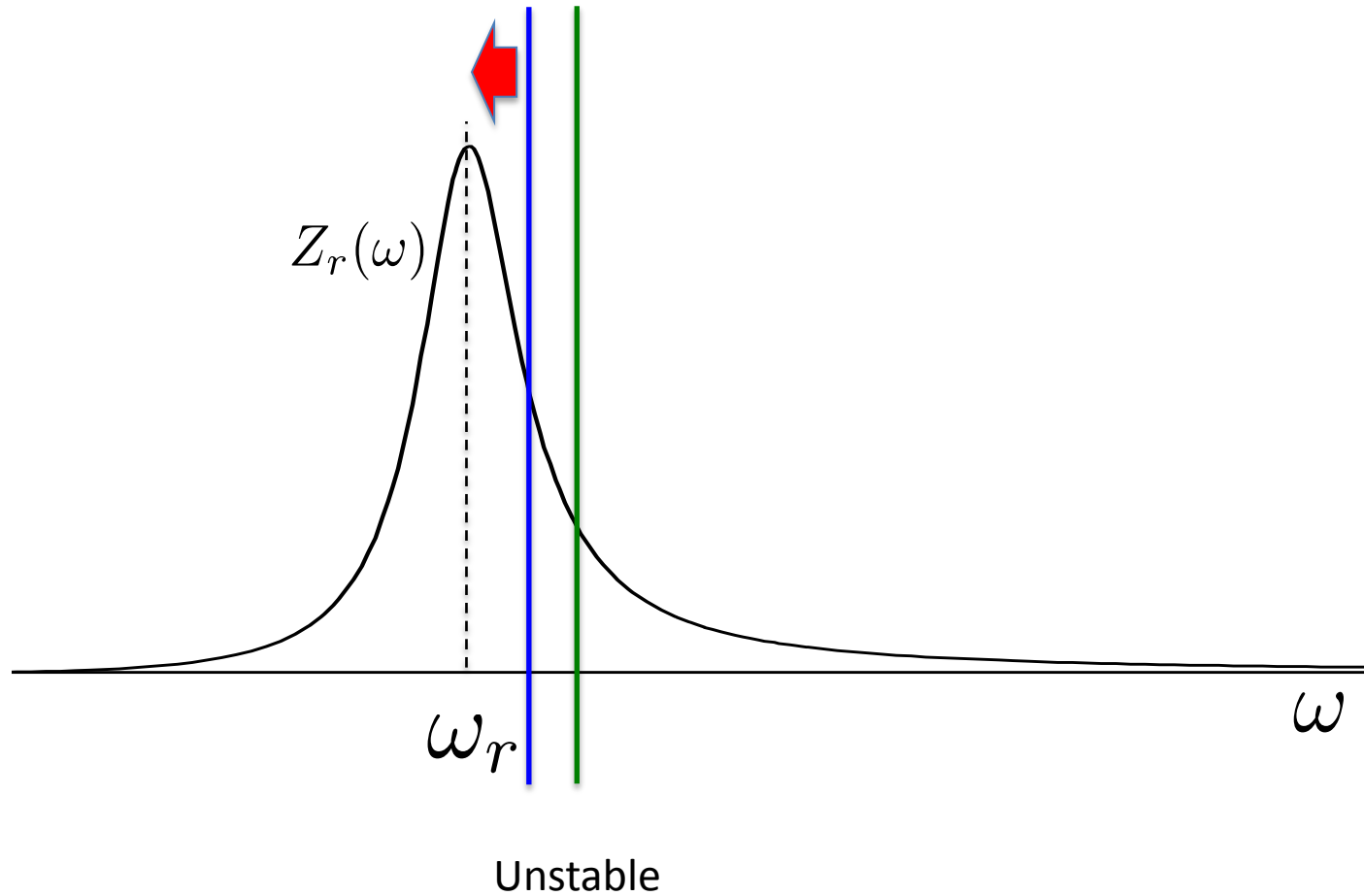


# Below transition



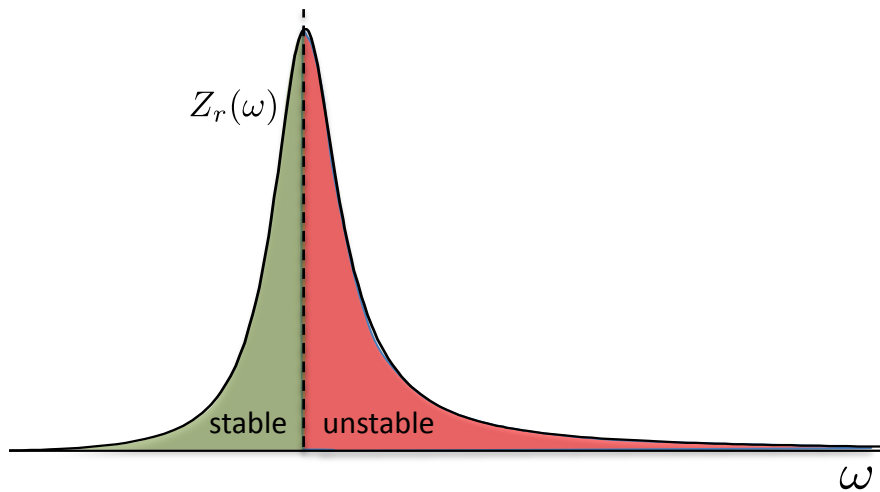


# Below transition

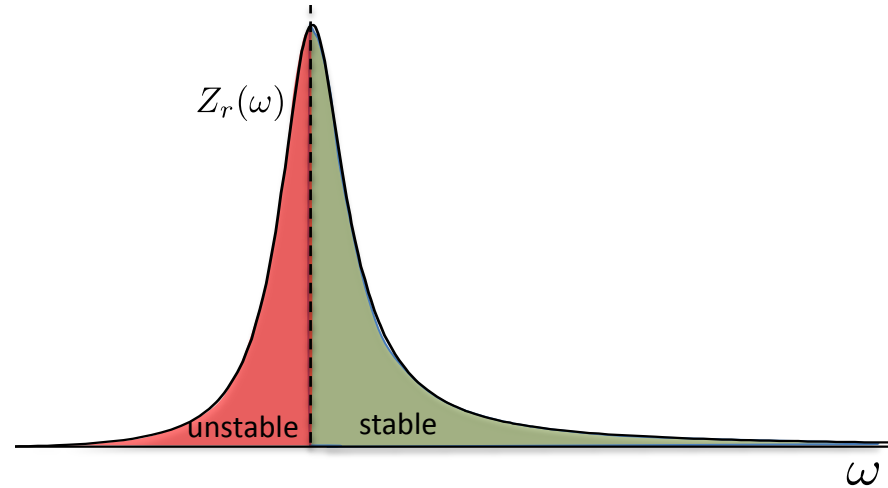


# Summary of the reasoning

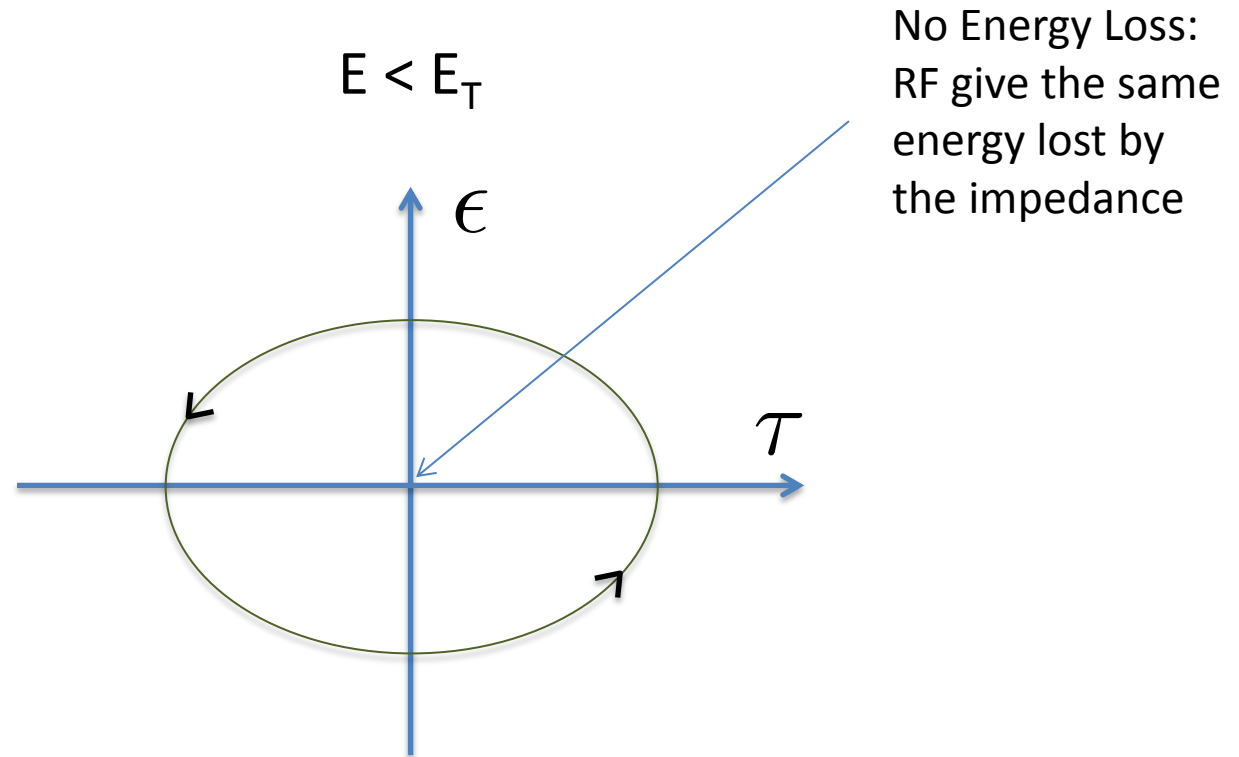
below transition

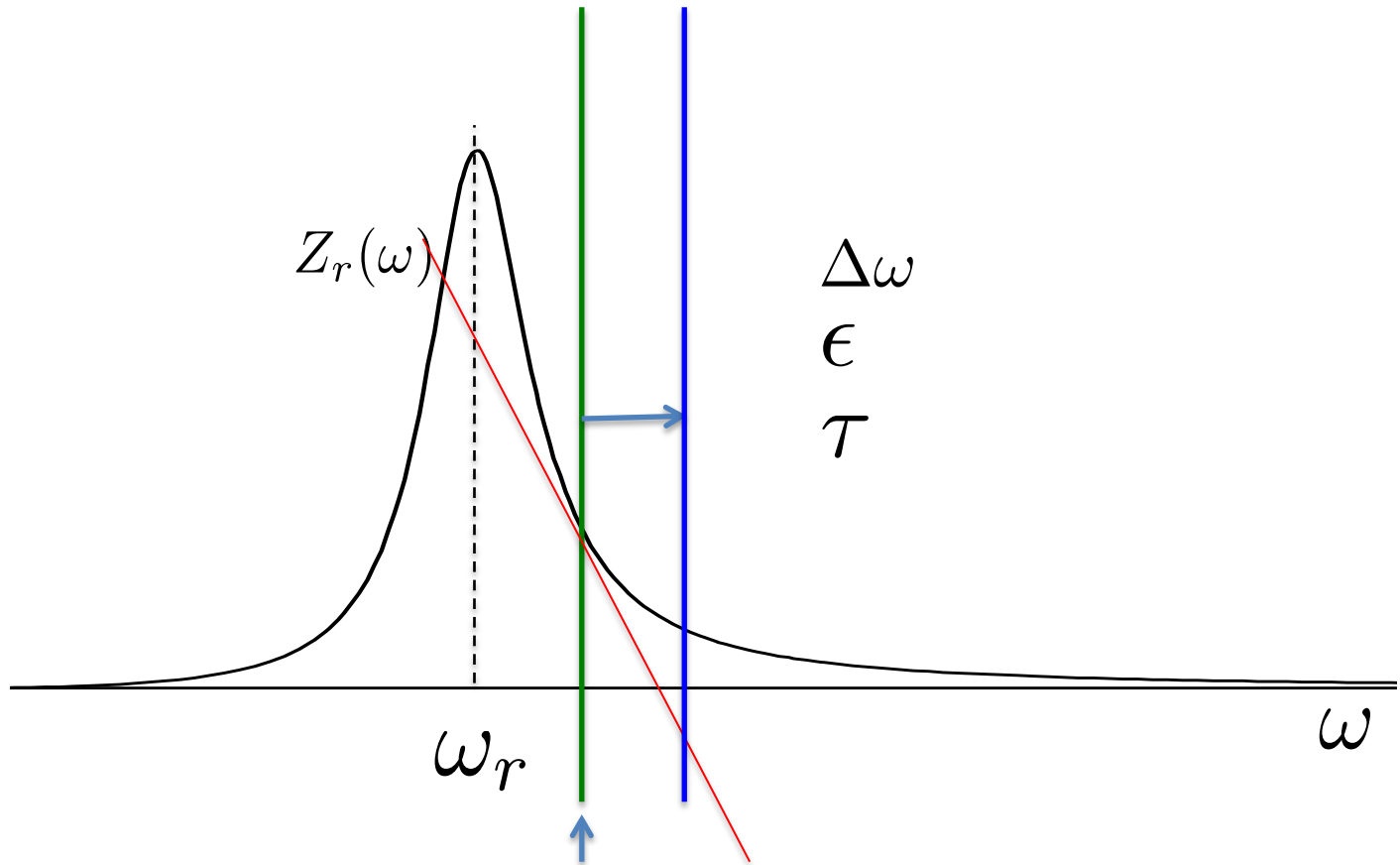


above transition



# More complicated



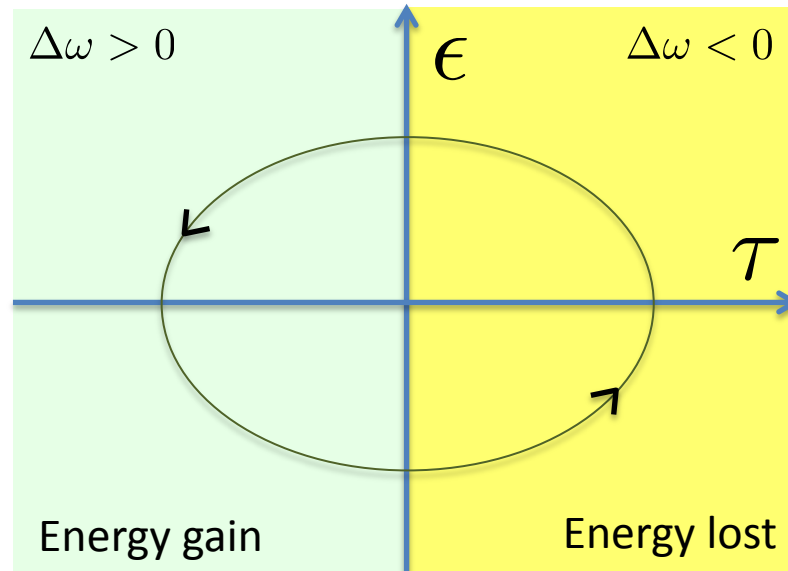


set the cavity frequency here

Remember that energy lost is  $V \cdot I$

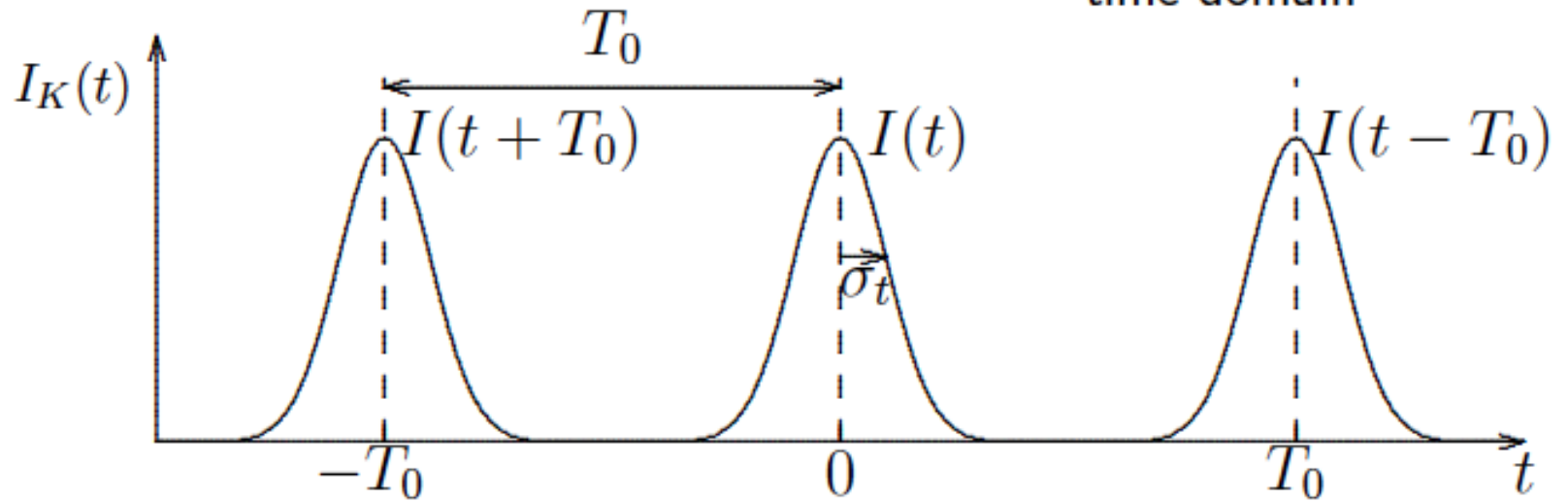
# Source of difficulty

$$E < E_T$$

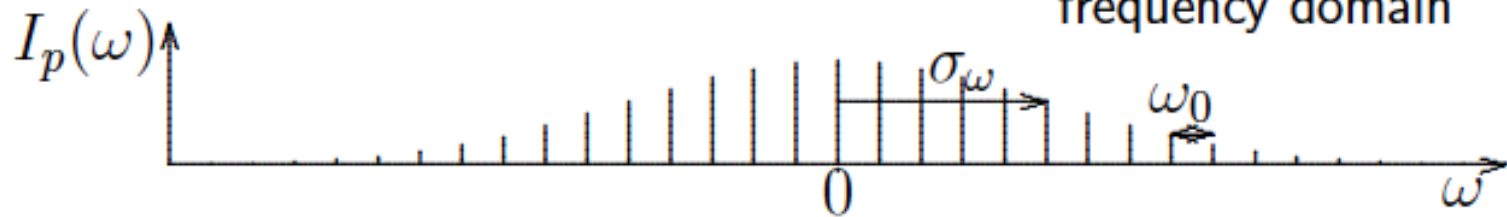


Impedance effect  $\rightarrow$

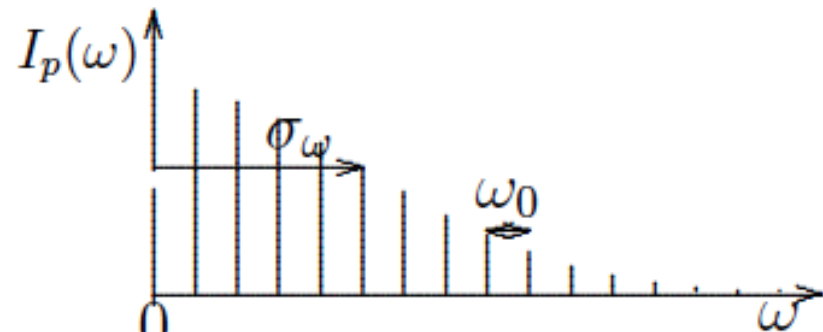
time domain



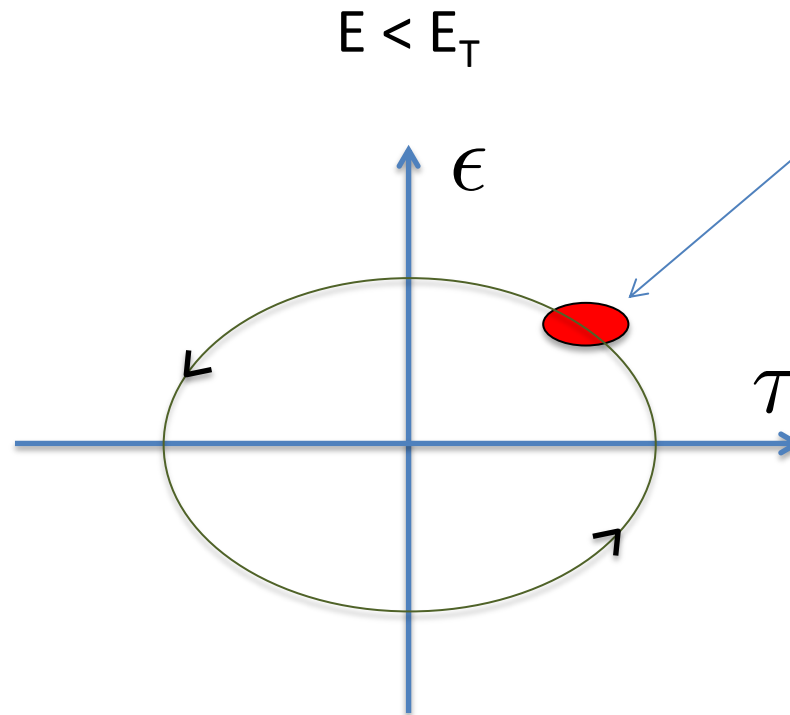
frequency domain



$$I_k(t) = \sum_{p=-\infty}^{\infty} I_p e^{ip\omega_0 t}$$



# Still we neglect something



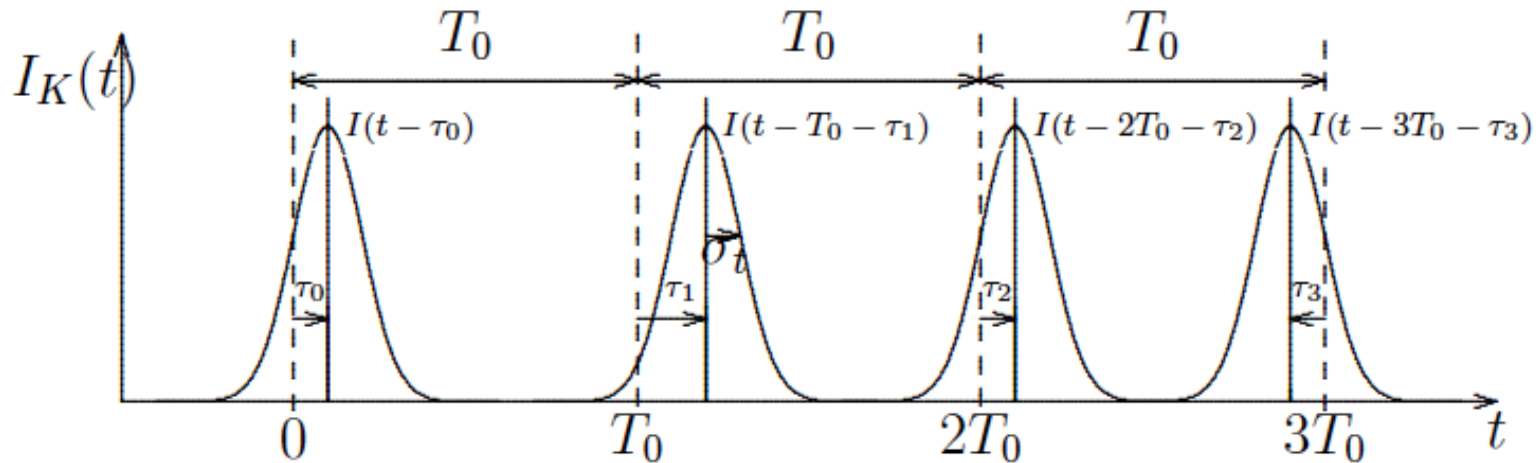
position of the bunch at  
turn "k"

$$\tau_k = \hat{\tau} \cos(2\pi Q_s k)$$

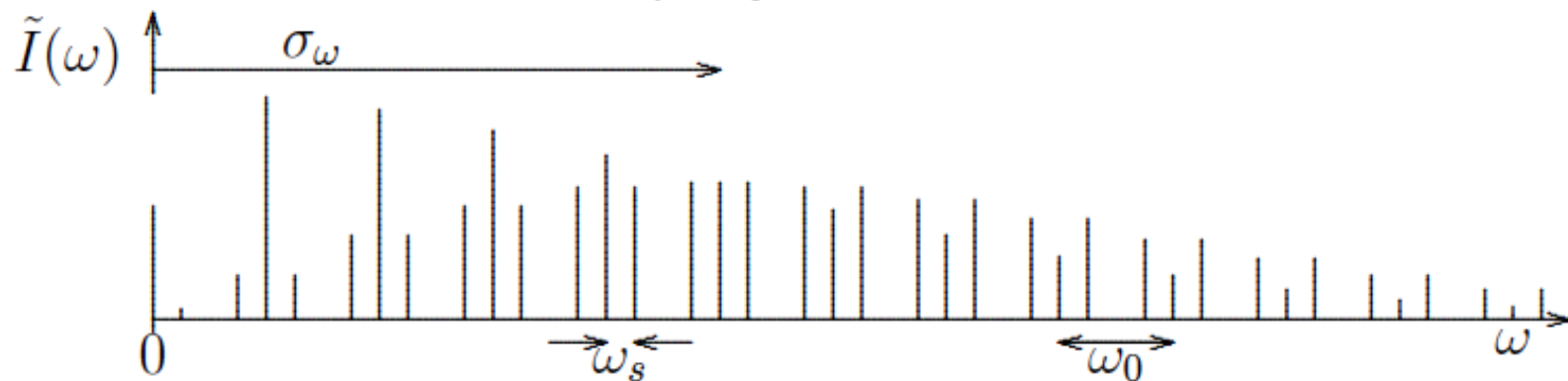
$Q_s$  is the synchrotron  
tune

$$\tau_k = \hat{\tau} \cos(\omega_s t)$$

time domain



frequency domain,  $\omega > 0$



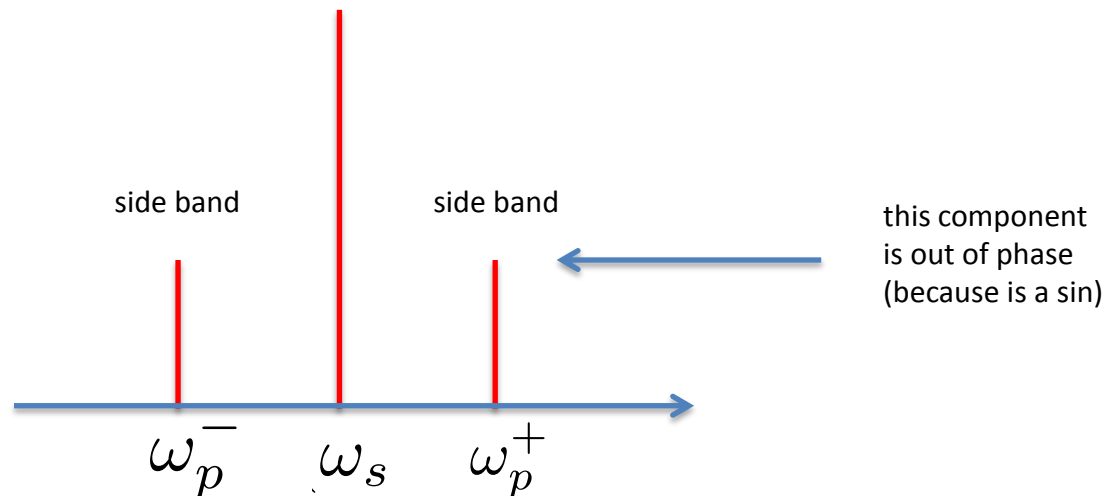
$$t \rightarrow t + \hat{\tau} \cos(\omega_s t) \quad \rightarrow \quad I_k(t) = \sum_{p=-\infty}^{\infty} I_p e^{ip\omega_0 [t + \hat{\tau} \cos(\omega_s t)]}$$



# Current

$$I_k(t) \simeq \sum_{\omega > 0} I_p \left[ \cos(p\omega_0 t) + \frac{p\omega_0\tau}{2} \underbrace{\sin((p + Q_s)\omega_0 t)}_{\omega_p^+} + \frac{p\omega_0\tau}{2} \underbrace{\sin((p - Q_s)\omega_0 t)}_{\omega_p^-} \right]$$

The bunch current can be described by 3 components with frequency very close



That means that the energy loss due to the impedance has to be computed on the 3 currents...

### Voltage created by the resistive impedance

Main component

$$V = 2 \sum_{\omega > 0}^{\infty} I_p Z_r(p\omega_0) \cos(p\omega_0 t)$$

1<sup>st</sup> sideband

$$V = \sum_{\omega > 0}^{\infty} I_p p\omega_0 \hat{\tau} Z_r(\omega_p^+) \sin(\omega_p^+ t)$$

2<sup>nd</sup> sideband

$$V = \sum_{\omega > 0}^{\infty} I_p p\omega_0 \hat{\tau} Z_r(\omega_p^-) \sin(\omega_p^- t)$$

## Prosthaphaeresis formulae

$$\sin(\omega_p^- t) = \sin(p\omega_0 t) \cos(\omega_s t) - \cos(p\omega_0 t) \sin(\omega_s t)$$

$$\sin(\omega_p^+ t) = \sin(p\omega_0 t) \cos(\omega_s t) + \cos(p\omega_0 t) \sin(\omega_s t)$$

But  $\tau = \hat{\tau} \cos(\omega_s t)$



$$\left\{ \begin{array}{l} \cos(\omega_s t) = \frac{\tau}{\hat{\tau}} \\ \sin(\omega_s t) = -\frac{\dot{\tau}}{\hat{\tau}\omega_s} \end{array} \right.$$

$$\sin(\omega_p^+ t) = \sin(p\omega_0 t) \frac{\tau}{\hat{\tau}} - \cos(p\omega_0 t) \frac{\dot{\tau}}{\hat{\tau}\omega_s}$$

$$\sin(\omega_p^- t) = \sin(p\omega_0 t) \frac{\tau}{\hat{\tau}} + \cos(p\omega_0 t) \frac{\dot{\tau}}{\hat{\tau}\omega_s}$$

## Voltage created by the resistive impedance

Main component

$$V = 2 \sum_{\omega > 0}^{\infty} I_p Z_r(p\omega_0) \cos(p\omega_0 t)$$

1<sup>st</sup> sideband

$$V = \sum_{\omega > 0}^{\infty} I_p p\omega_0 Z_r(\omega_p^+) [\sin(p\omega_0 t)\tau - \cos(p\omega_0 t)\frac{\dot{\tau}}{\omega_s}]$$

2<sup>nd</sup> sideband

$$V = \sum_{\omega > 0}^{\infty} I_p p\omega_0 Z_r(\omega_p^-) [\sin(p\omega_0 t)\tau + \cos(p\omega_0 t)\frac{\dot{\tau}}{\omega_s}]$$

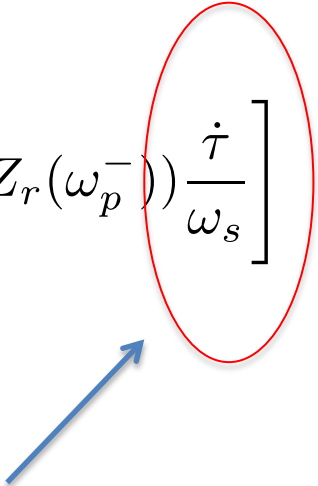
Therefore the induced Voltage depends on  $\tau, \dot{\tau}$

# Energy lost in one turn

$$E_l = \int_0^{T_0} V(t)I(t)dt$$

energy lost  
per particle  
per turn

$$U = \frac{2e}{I_0} \left[ I_p^2 Z_r(p\omega_0) - \frac{I_p^2 p \omega_0}{2} (Z_r(\omega_p^+) - Z_r(\omega_p^-)) \frac{\dot{\tau}}{\omega_s} \right]$$



this term can give rise to  
a constant loss, or a constant  
gain of energy

# In terms of the energy of a particle

$$U = \frac{2e}{I_0} \left[ I_p^2 Z_r(p\omega_0) - \frac{I_p^2 p\omega_0}{2} (Z_r(\omega_p^+) - Z_r(\omega_p^-)) \frac{\eta\epsilon}{\omega_s} \right]$$

$$\frac{\partial U}{\partial \epsilon} = -\frac{e}{I_0} \sum_{\omega > 0} \frac{I_p^2 p\omega_0}{2} (Z_r(\omega_p^+) - Z_r(\omega_p^-)) \frac{\eta}{\omega_s}$$

This is a slope in the energy, and the sign of the slope depends on

$$Z_r(\omega_p^+) - Z_r(\omega_p^-) \quad \text{and} \quad \eta$$

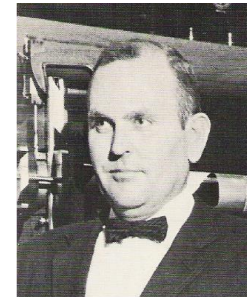
# The longitudinal motion now!

$$\ddot{\tau} + 2\alpha_s \dot{\tau} + \omega_{s0}^2 \tau = 0$$

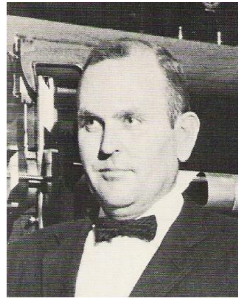
$$\alpha_S = \frac{1}{2} \frac{\omega_0}{2\pi} \frac{\partial U}{\partial E} = \frac{\omega_0}{4\pi E} \frac{\partial U}{\partial \epsilon} = \frac{\omega_s \sum p I_p^2 (Z_r(\omega_p^+) - Z_r(\omega_p^-))}{2I_0 h \hat{V} \cos \phi_s}$$

## Robinson Instability

- If  $\alpha_S > 0$  there is a damping
- If  $\alpha_S < 0$  there is an instability

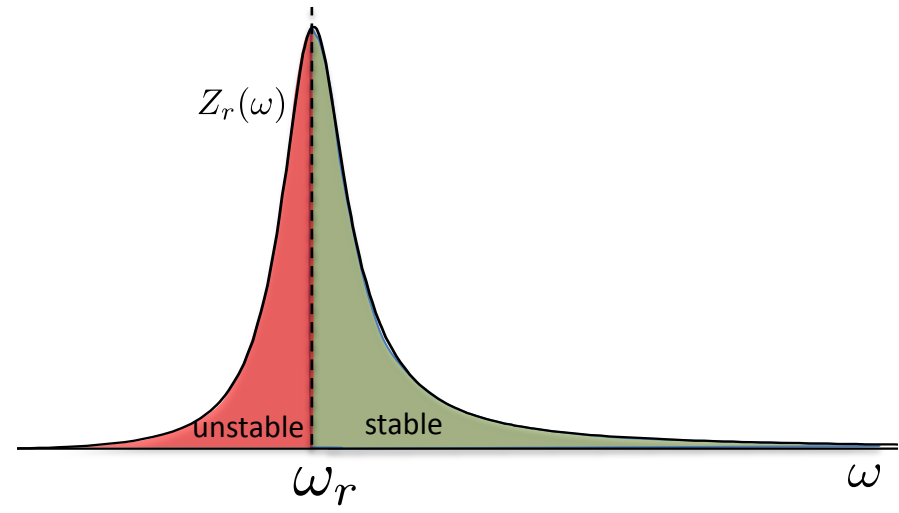
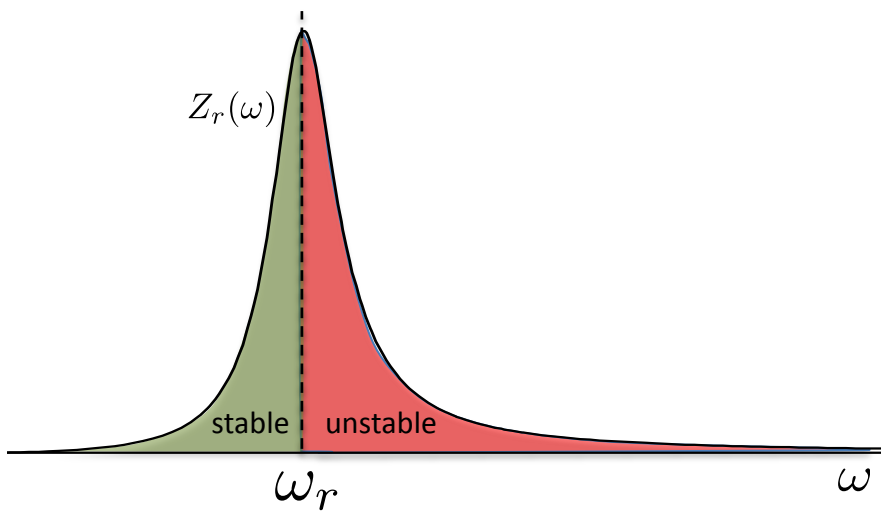


# Robinson Instability



below transition

above transition



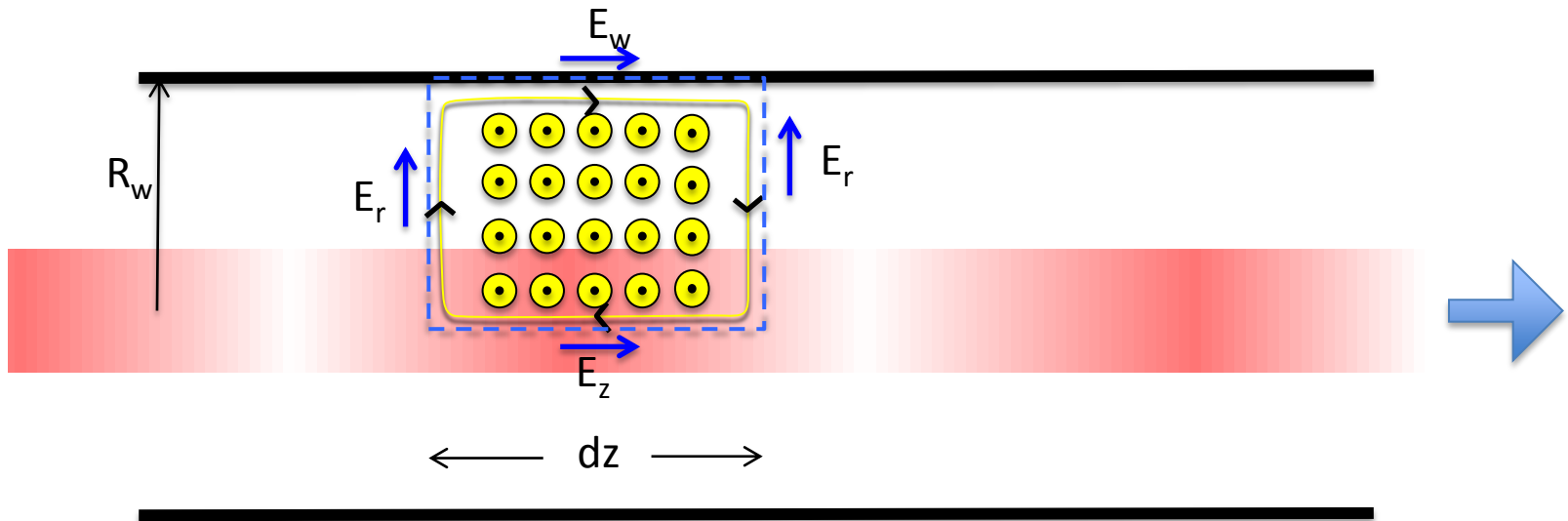


# Longitudinal space charge and resistive wall impedance

# Space charge longitudinal field

$$\nabla \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = \vec{0}$$

$$\oint \vec{E} \cdot d\vec{l} = -\frac{\partial}{\partial t} \int \vec{B} d\vec{a}$$



$$\oint \vec{E} \cdot d\vec{l} = \int E_r(z) dr + E_w \Delta z - \int E_r(z + \Delta z) dr - E_z \Delta z$$

For a KV beam

Electric Field

$$E_r = \begin{cases} \frac{\lambda(z)}{2\epsilon_0} r & \text{if } r < r_0 \\ \frac{\lambda(z)r_0^2}{2\epsilon_0} \frac{1}{r} & \text{if } r > r_0 \end{cases}$$

$$\int_0^{r_w} E_r(z) dr = \int_0^{r_0} \frac{\lambda(z)}{2\epsilon_0} r dr + \int_{r_0}^{r_w} \frac{\lambda(z)r_0^2}{2\epsilon_0} \frac{1}{r} dr$$



$$\int_0^{r_w} E_r(z) dr = \frac{\lambda(z)r_0^2}{4\epsilon_0} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right]$$

Therefore

$$\int E_r(z) dr - \int E_r(z + \Delta z) dr = -\frac{r_0^2}{4\epsilon_0} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right] \frac{\partial \lambda(z)}{\partial z} \Delta z$$



$$\oint \vec{E} \cdot d\vec{l} = (E_w - E_z) \Delta z - \frac{r_0^2}{4\epsilon_0} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right] \frac{\partial \lambda(z)}{\partial z} \Delta z$$

Magnetic Field

$$B_{\perp} = \begin{cases} \frac{\mu_0 v_z \lambda(z)}{2} r & \text{if } r < r_0 \\ \frac{\mu_0 v_z \lambda(z) r_0^2}{2} \frac{1}{r} & \text{if } r > r_0 \end{cases}$$

$$\int B_{\perp} da = \int_0^{r_0} dr \int_z^{z+\Delta z} \frac{\mu_0 v_z \lambda(z)}{2} r + \int_{r_0}^{r_w} dr \int_z^{z+\Delta z} \frac{\mu_0 v_z \lambda(z) r_0^2}{2} \frac{1}{r}$$

$$\int B_{\perp} da = \frac{\mu_0 v_z r_0^2 \lambda \Delta z}{4} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right]$$

Maxwell-Faraday  
Law

$$\oint \vec{E} \cdot d\vec{l} = -\frac{\partial}{\partial t} \int \vec{B} d\vec{a}$$



$$(E_w - E_z)\Delta z - \frac{r_0^2}{4\epsilon_0} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right] \frac{\partial \lambda(z)}{\partial z} \Delta z = + \frac{\mu_0 v_z r_0^2 \Delta z}{4} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right] \frac{\partial \lambda}{\partial t}$$

from the equation of continuity  $\frac{\partial \lambda}{\partial t} + v_z \frac{\partial \lambda}{\partial z} = 0$

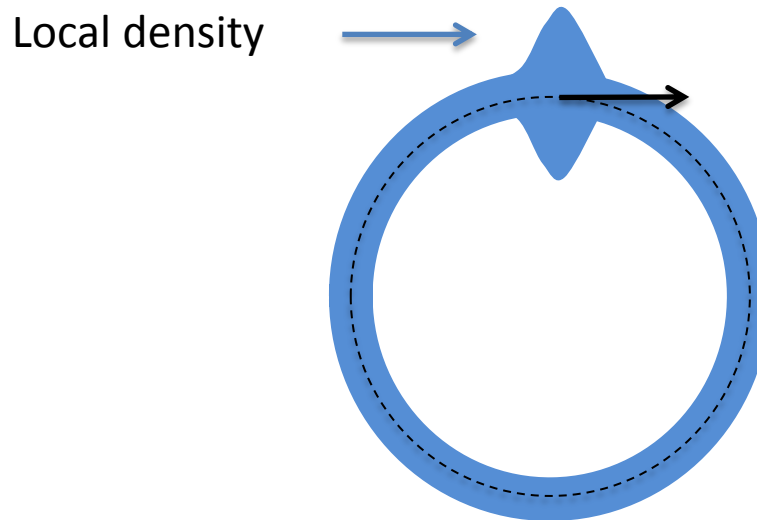
$$E_z = E_w - \frac{r_0^2}{4\epsilon_0} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right] \frac{1}{\gamma^2} \frac{\partial \lambda}{\partial z}$$



again we find the factor  $1/\gamma^2$  !

# Space charge impedance

$$\lambda(\theta, t) = \sum_n \lambda_n e^{i(n\theta - \omega_n t)} \quad \theta = 2\pi \frac{z}{L}$$
$$\omega_n = n\omega_0$$



$$V_{z0} = 2\pi R E_{zw} - i \sum_n \frac{I_n}{4\pi\epsilon_0} \frac{2\pi n}{\beta c \gamma^2} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right] e^{i(n\theta - \omega_n t)}$$

Perfect vacuum chamber  $E_{zw} = 0$

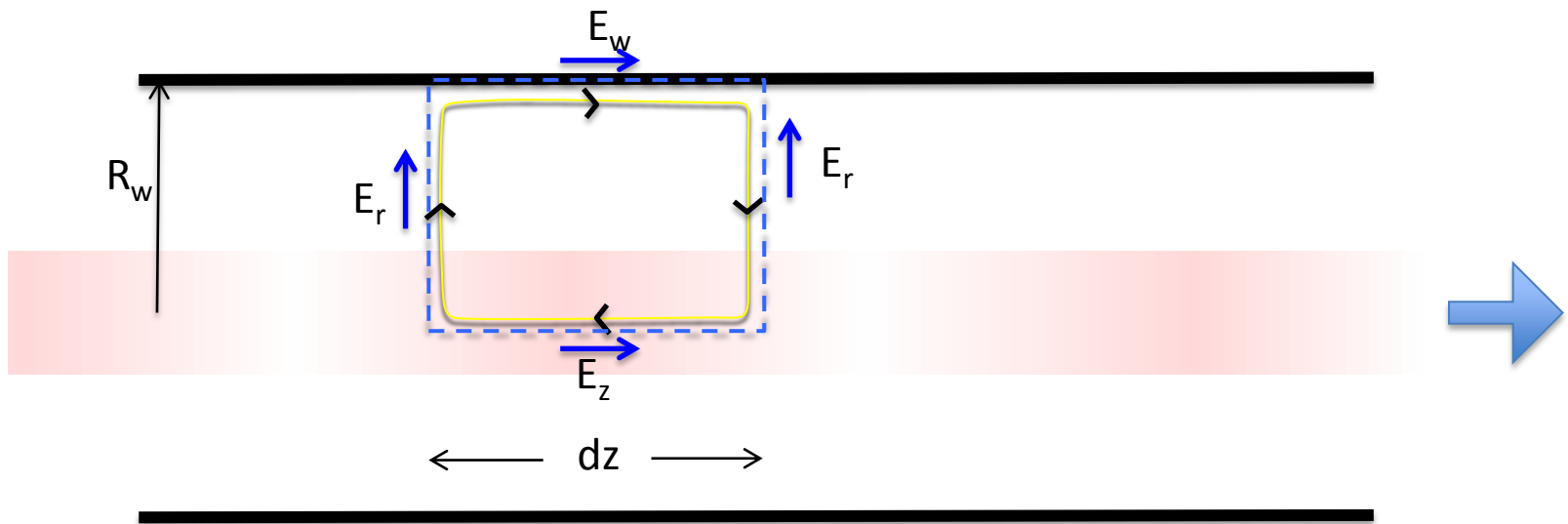
$$I = I_n e^{i(n\theta - \omega_n t)} \quad \Rightarrow \quad V = -i \frac{I_n}{4\pi\epsilon_0} \frac{2\pi n}{\beta c \gamma^2} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right] e^{i(n\theta - \omega_n t)}$$

$$Z_{||sc} = \frac{\hat{V}}{\hat{I}} \quad \Rightarrow \quad Z_{||sc} = -i \frac{1}{\epsilon_0 c} \frac{n}{2\beta \gamma^2} \left[ 1 + 2 \ln \left( \frac{r_w}{r_0} \right) \right]$$



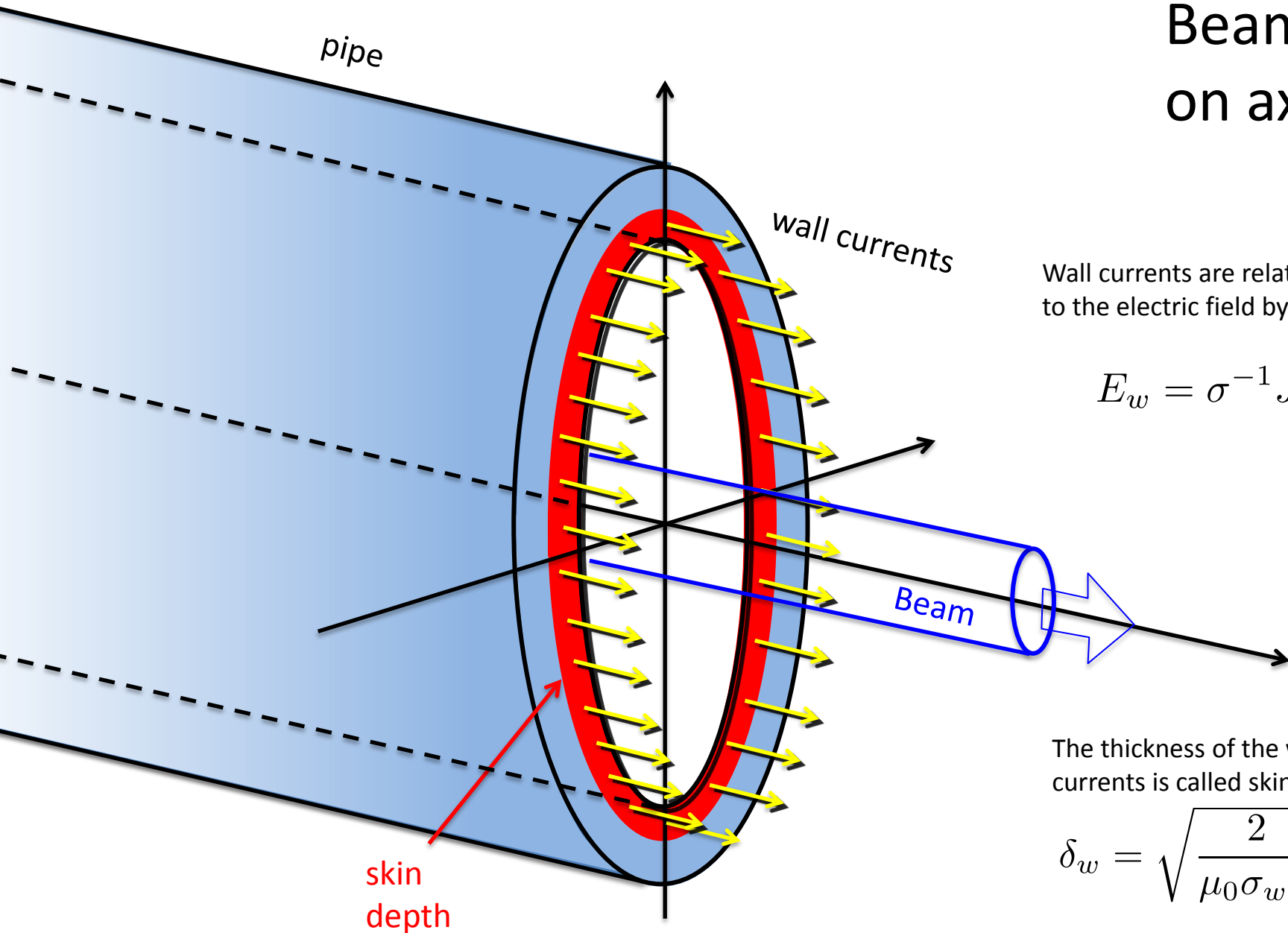
# Resistive Wall impedance

Do not take into account B



$$E_w = E_z$$

# Beam on axis



Wall currents are related to the electric field by Ohm' law

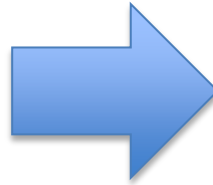
$$E_w = \sigma^{-1} J_w$$

The thickness of the wall currents is called skin depth

$$\delta_w = \sqrt{\frac{2}{\mu_0 \sigma_w \omega}}$$

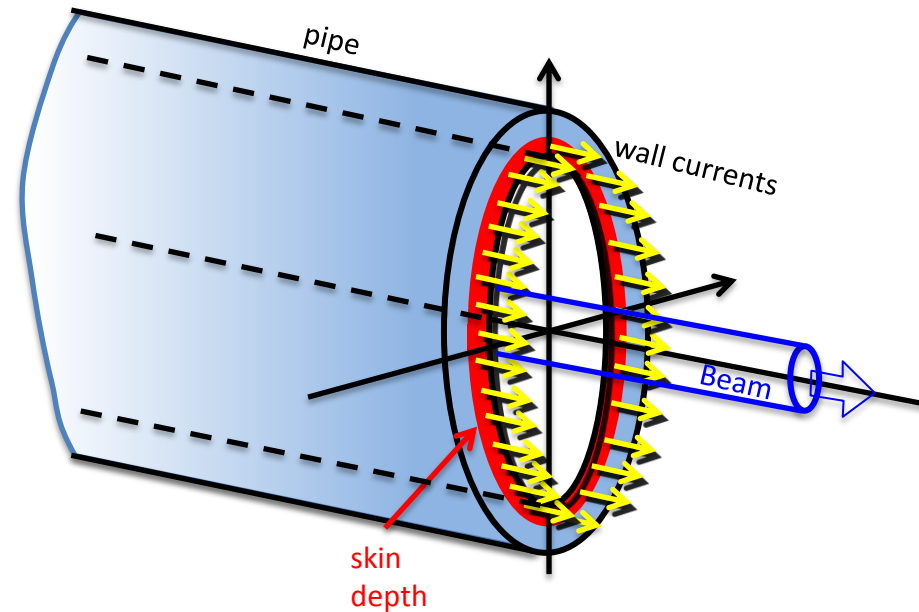
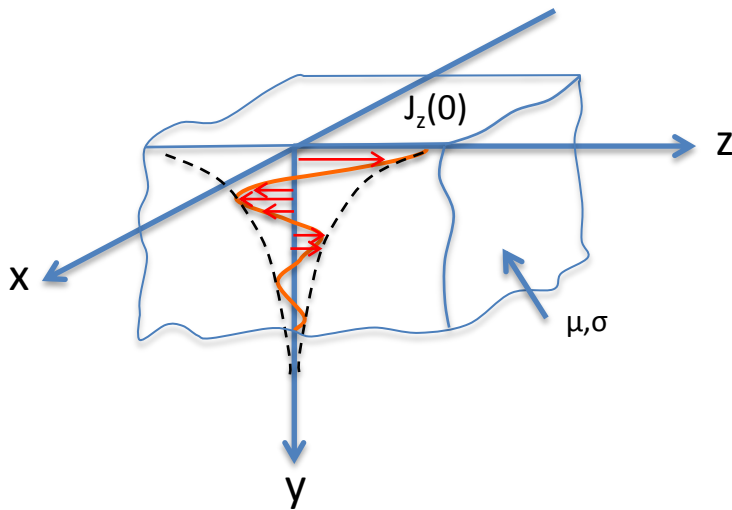
### Impedance of the surface (pipe)

$$Z_{surf} = \frac{1 + i}{\sigma \delta_w}$$



### Longitudinal impedance (beam)

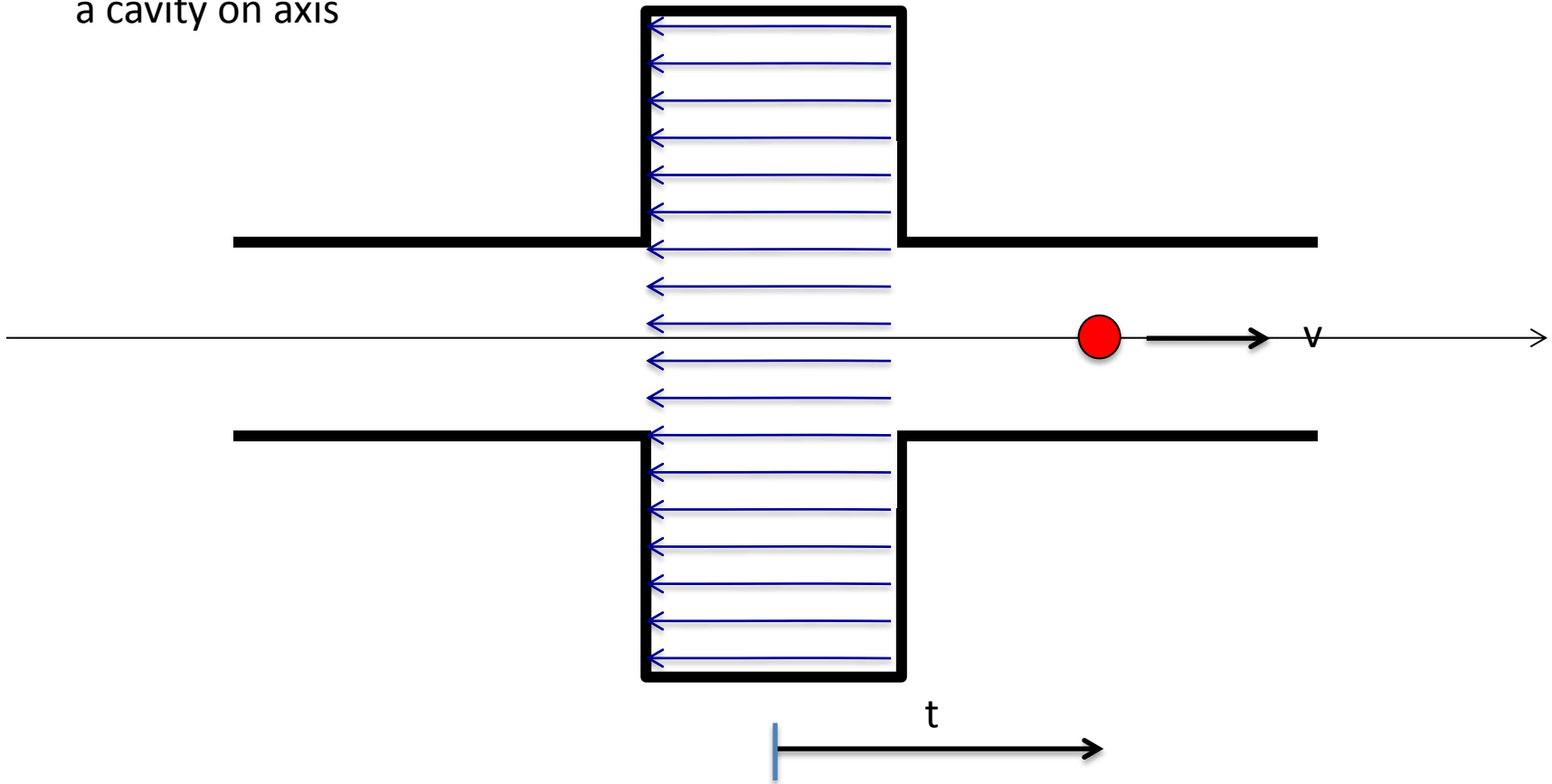
$$Z_{||} = \frac{2\pi R}{2\pi r_p} \frac{1 + i}{\sigma \delta_w}$$



# Transverse impedance

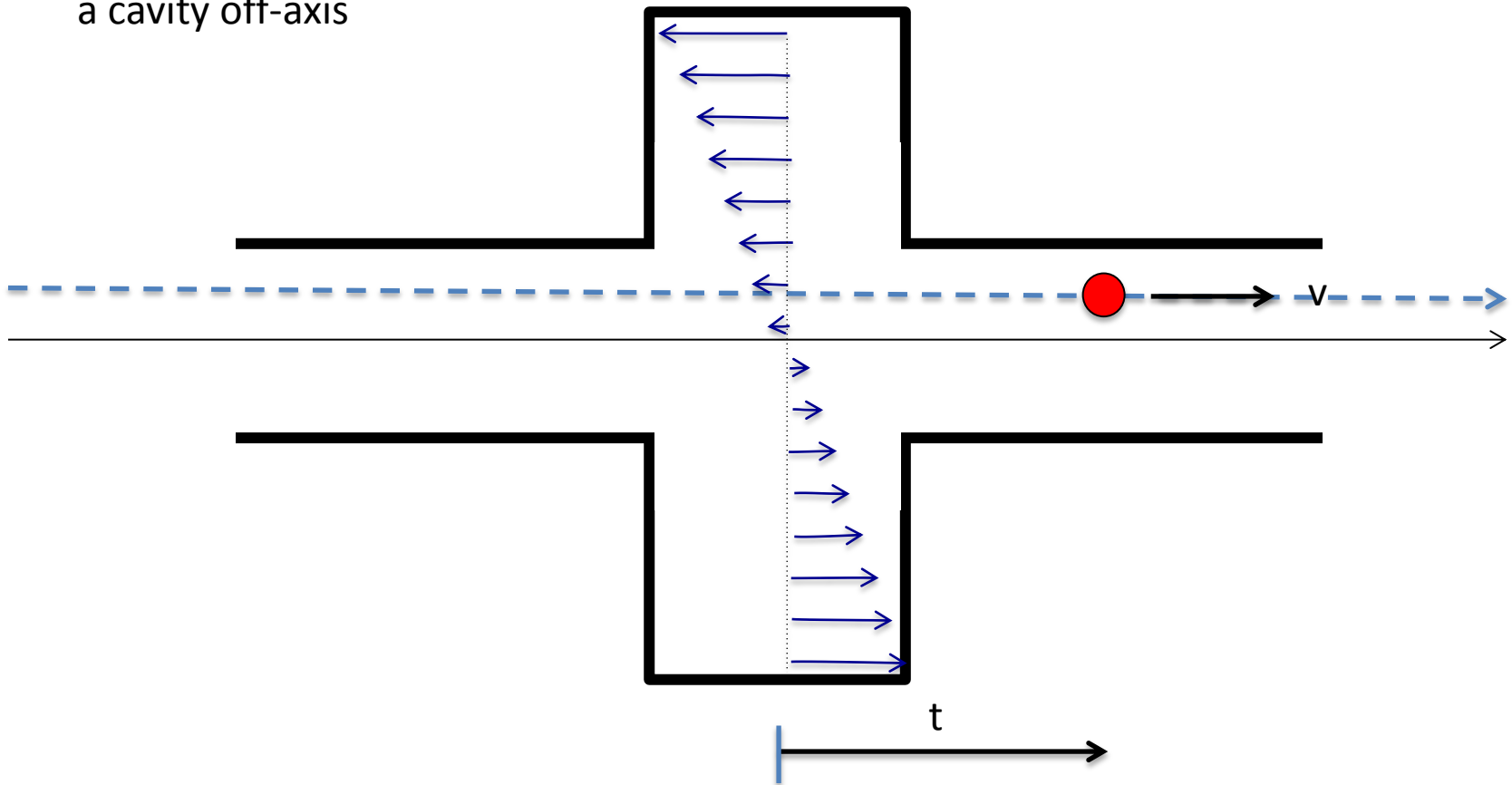
# Origin

Beam passing through  
a cavity on axis

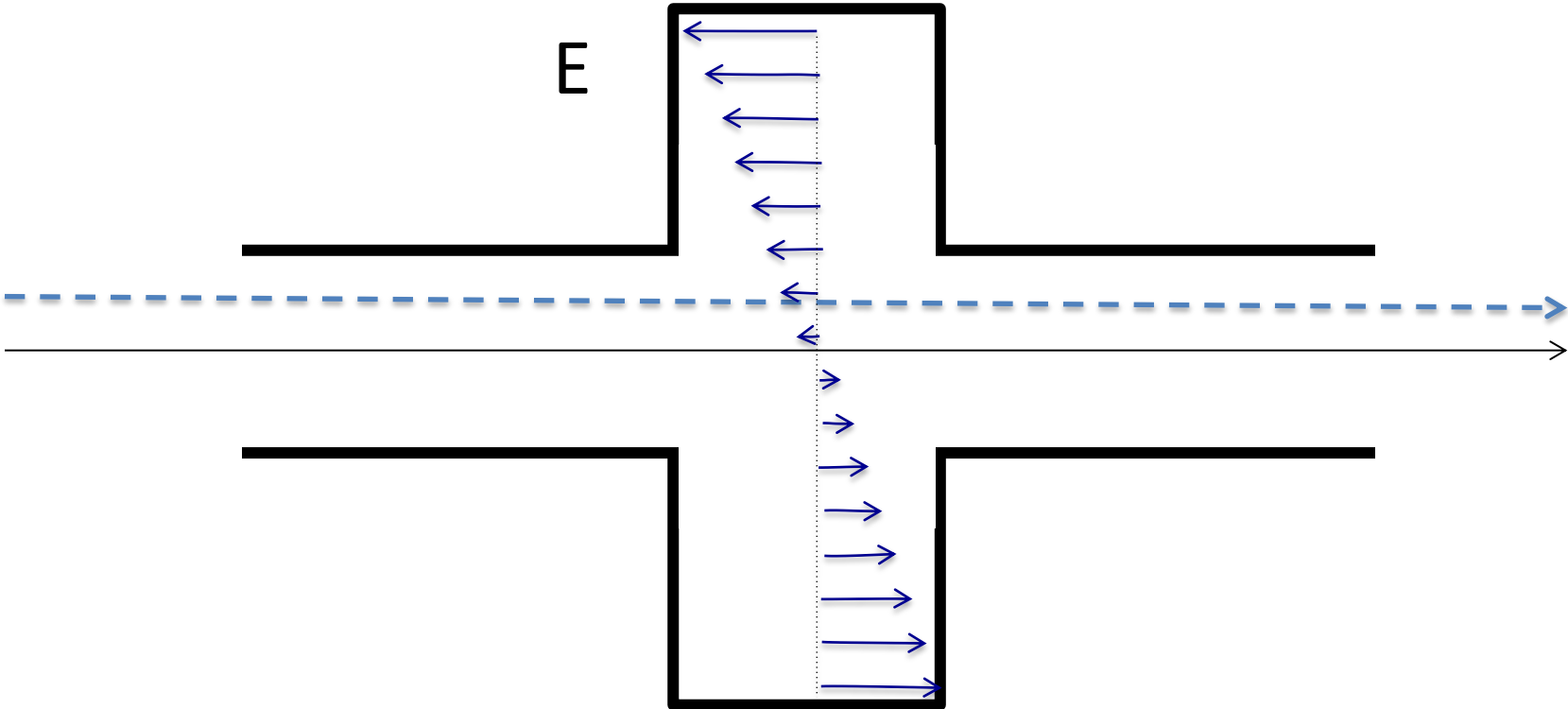


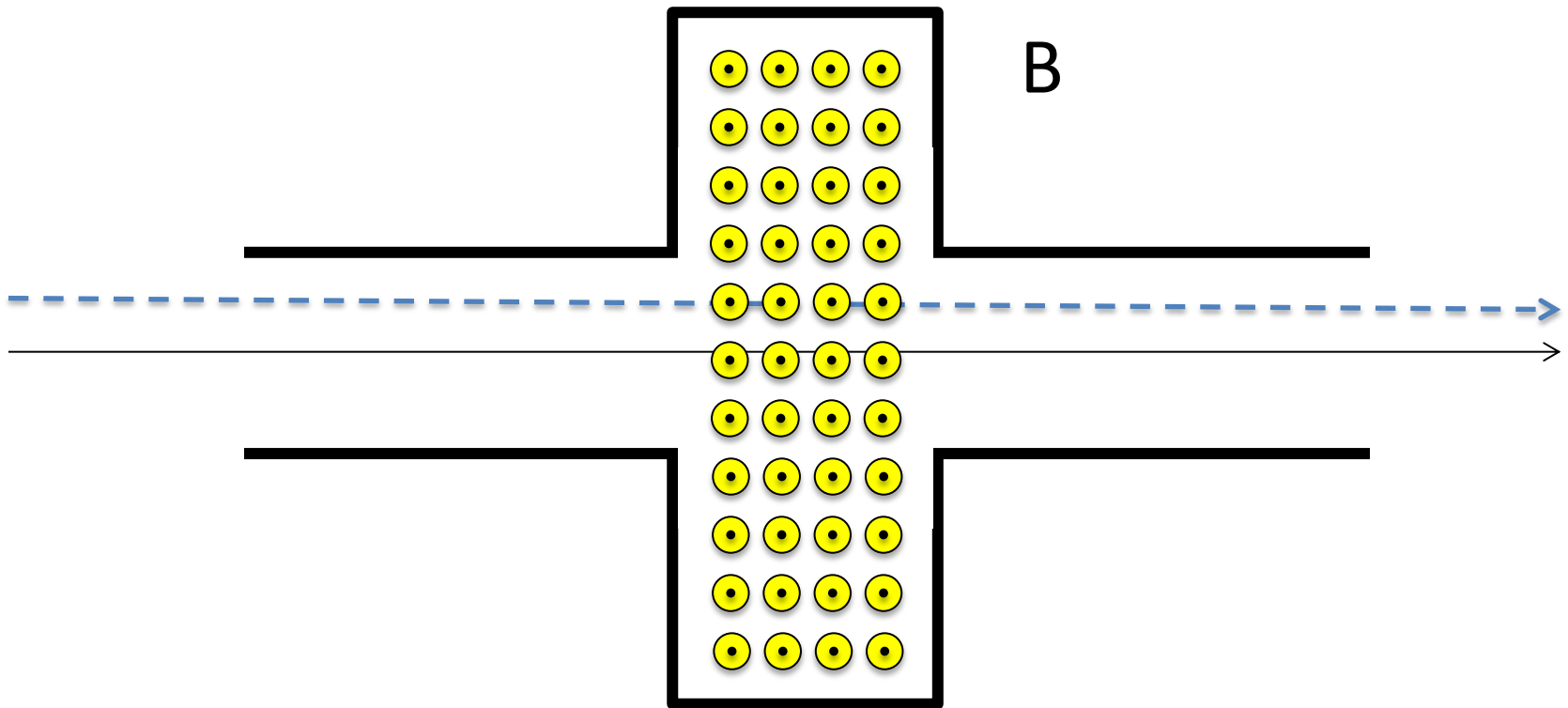
# Origin

Beam passing through  
a cavity off-axis

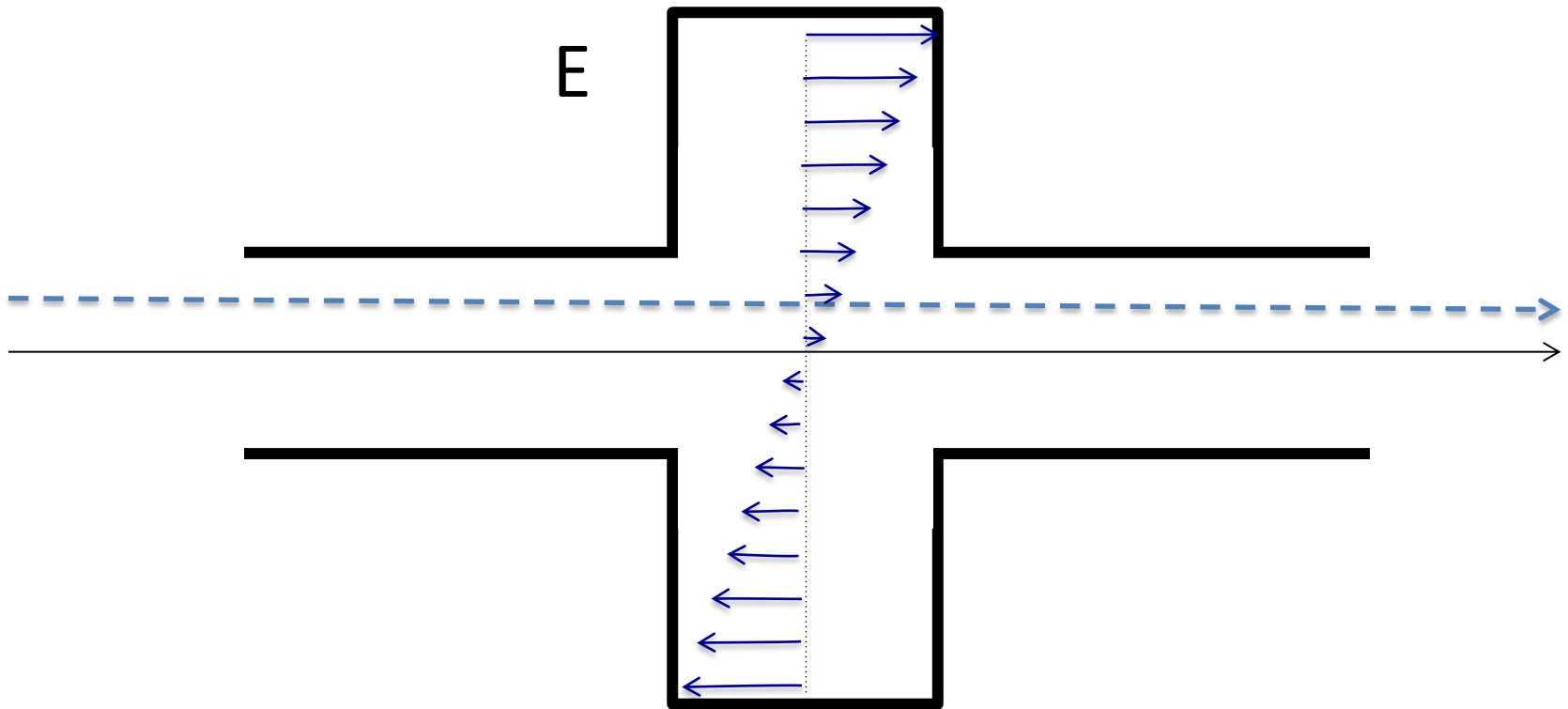


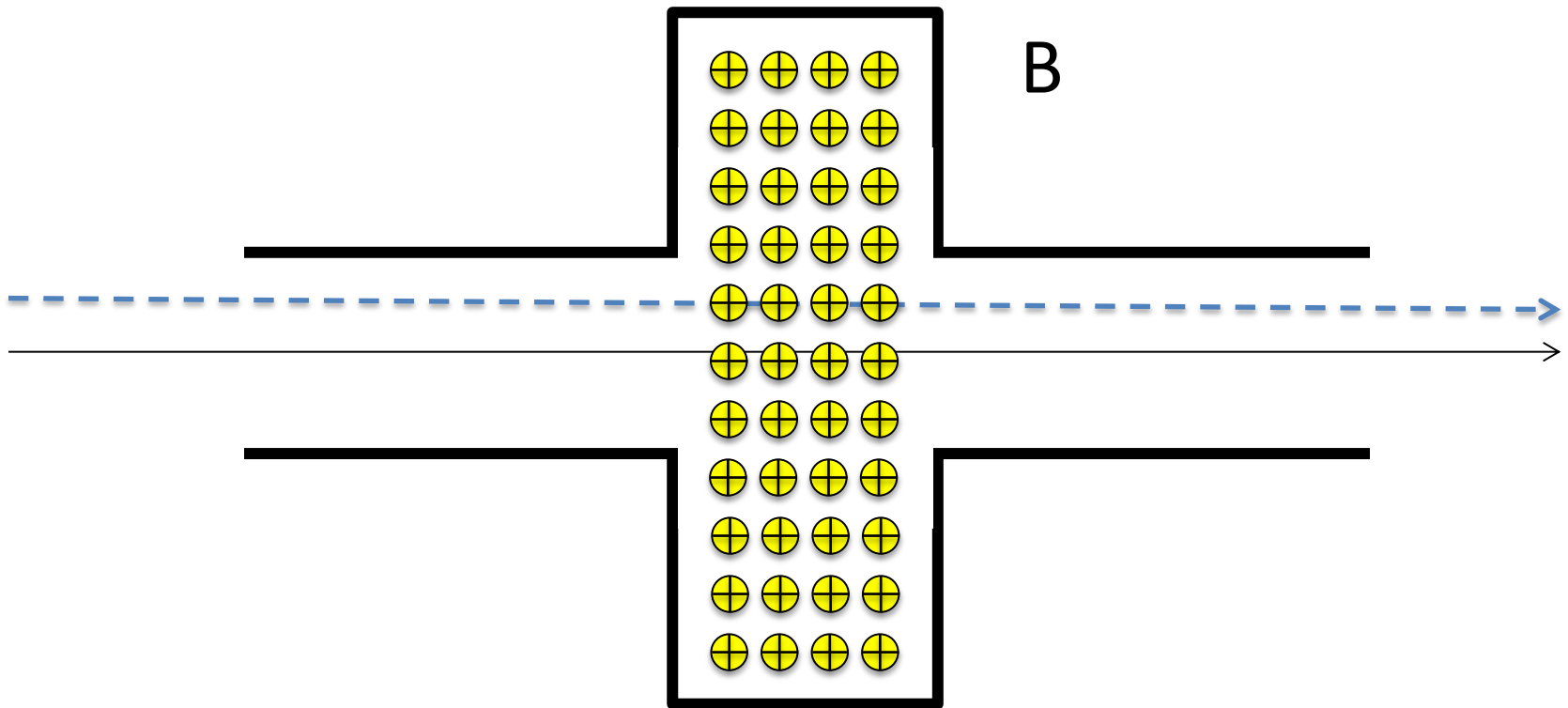
# But the field transform it-self !











# Effect on the dynamics

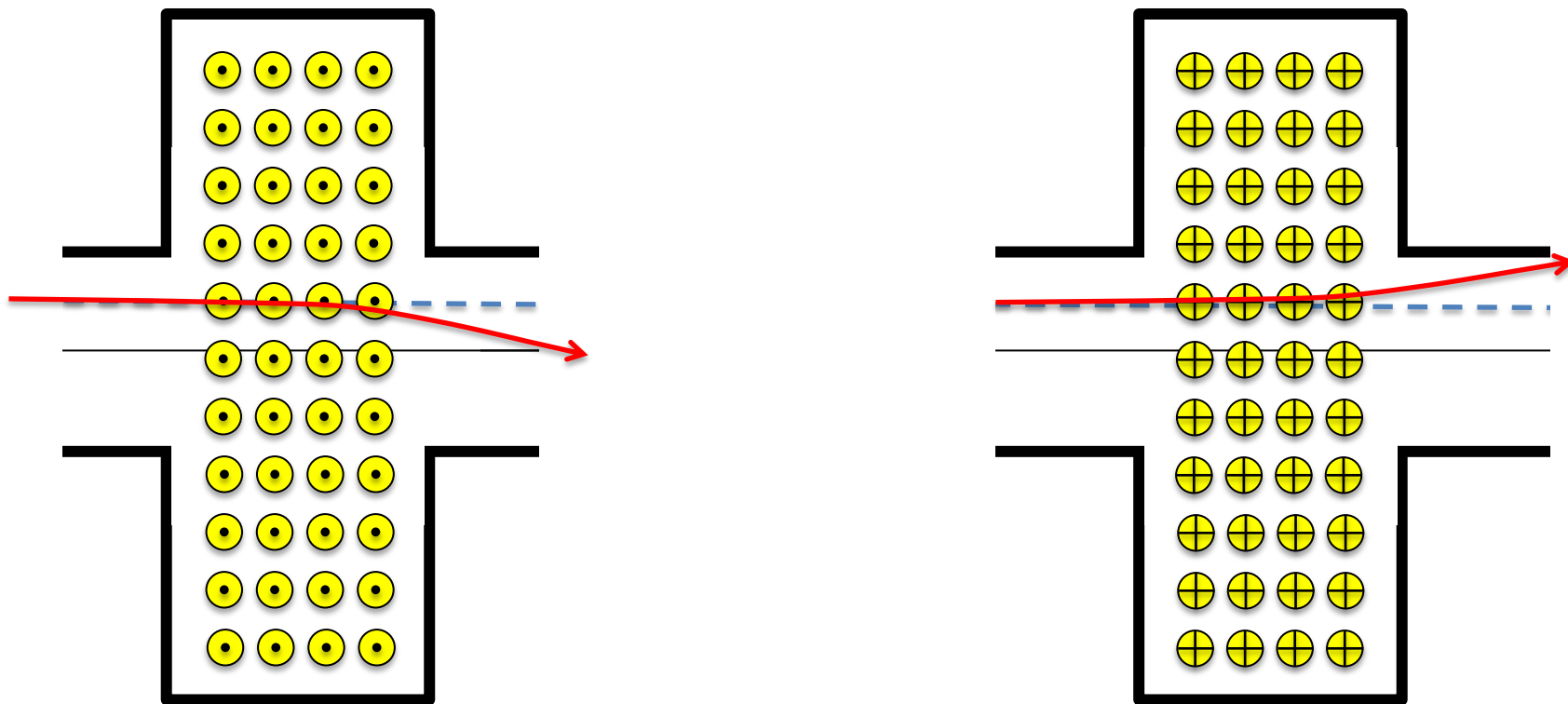
The dynamics is much more affected by B, than E because

$$\vec{F} = q\vec{E} + q\vec{v} \times \vec{B}$$



this speed is high

# The beam creates its own dipolar magnetic field !



(dipolar errors create integer resonances.... we expect the same...)

# Transverse impedance

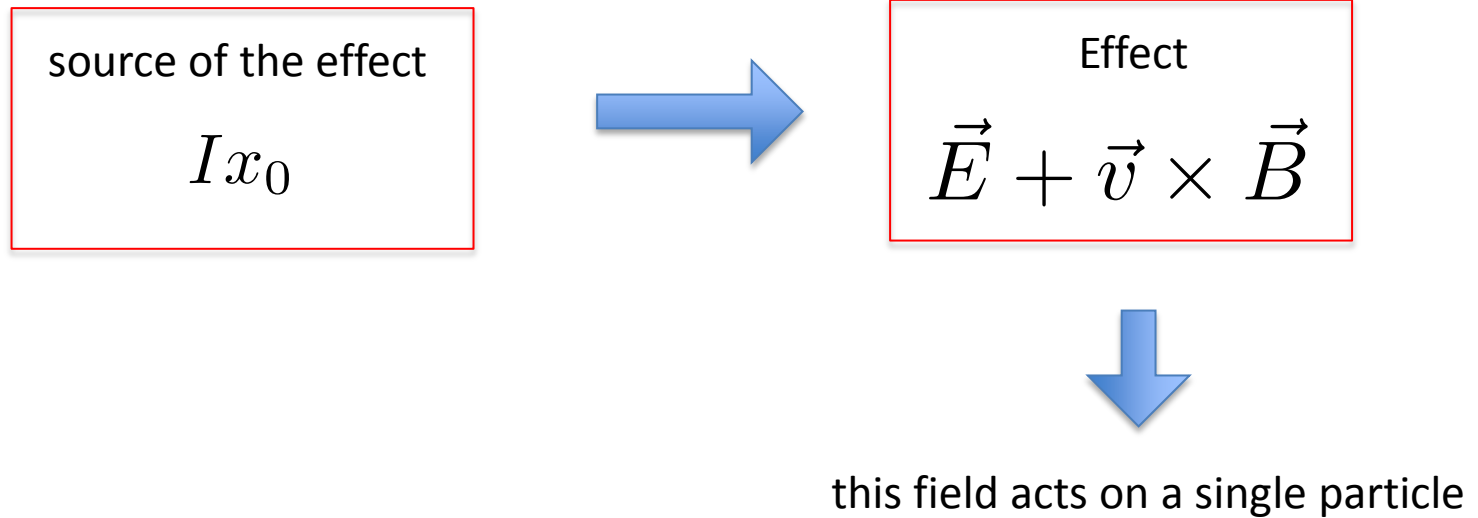
## Definition of longitudinal impedance (classical)



Impedance

$$Z(\omega) = \hat{V} / \hat{I}$$

## For a displaced beam



It means that in the equation of motion we have to add this effect

$$\frac{d^2x}{ds^2} + k_x x = \frac{q}{m\gamma v_0^2} [E_x + (\vec{v} \times \vec{E})_x]$$

therefore for a weak effect or distributed we find

$$\frac{d^2 x}{ds^2} + \left( \frac{Q_x}{R} \right)^2 x = \frac{q}{m\gamma v_0^2} \frac{1}{2\pi R} \int_0^{2\pi R} [E_x + (\vec{v} \times \vec{E})_x] ds$$

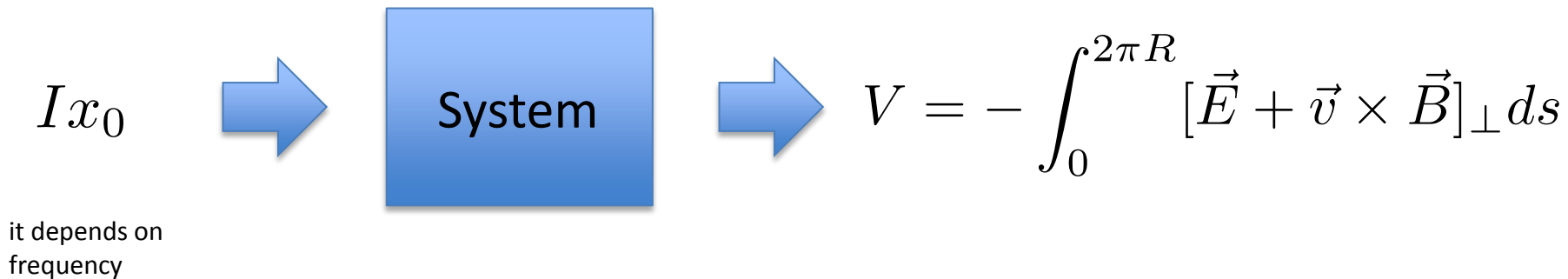
In the time domain

$$\frac{d^2 x}{dt^2} + (Q_x \omega_0)^2 x = \frac{q}{m\gamma} \frac{1}{2\pi R} \int_0^{2\pi R} [E_x + (\vec{v} \times \vec{E})_x] ds$$

But  $\int_0^{2\pi R} [E_x + (\vec{v} \times \vec{E})_x] ds$  is like a “strange” voltage

$$V = - \int_0^{2\pi R} [\vec{E} + \vec{v} \times \vec{B}]_{\perp} ds$$

Now the situation is the following:





# Transverse beam coupling impedance

$$Z_{\perp}(\omega) = i \frac{\int_0^{2\pi R} [\vec{E} + \vec{v} \times \vec{B}]_{\perp} ds}{\beta I x_0}$$

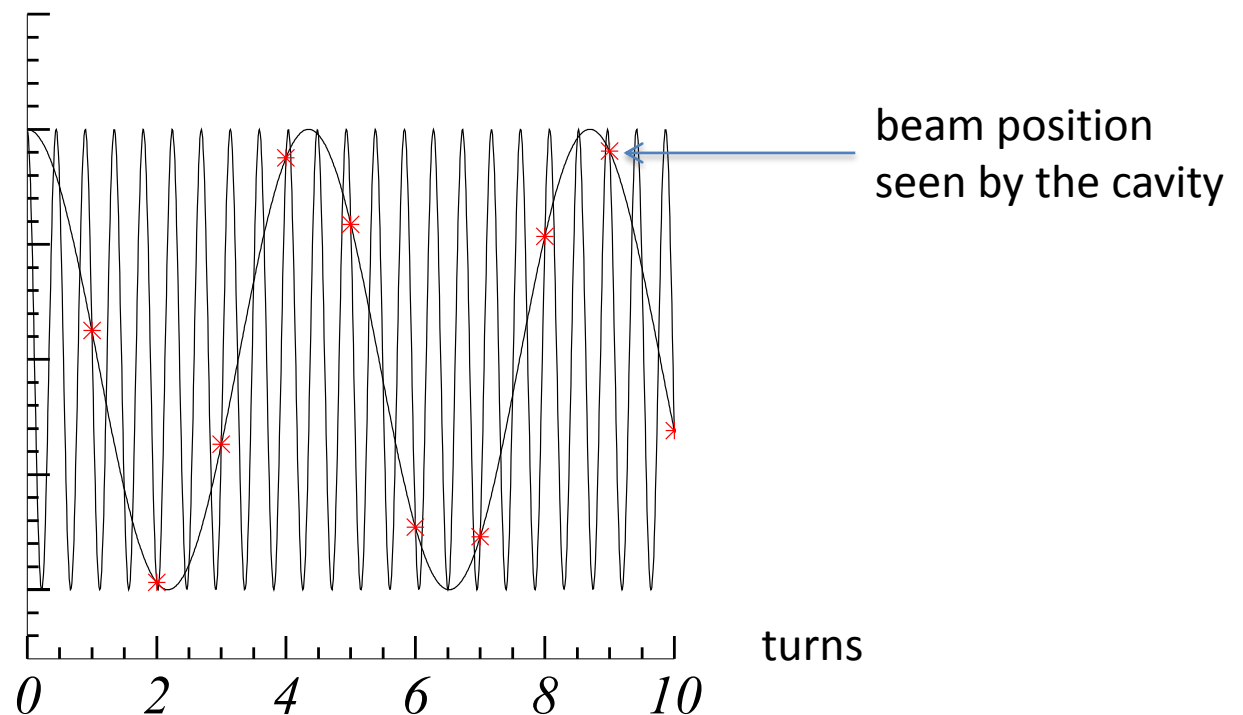


now the question is  
what is  $\omega$  ?

# What is it $\omega$ ?

It is given by the fractional tune, as this is the frequency seen in a cavity

Example:  $Q = 2.23$  fractional tune  $q = 0.23$



# B-field induced by beam displacement

From  $\frac{\partial E_z}{\partial x} = kIx_0$    $E_z = kIx_0x$

electric field at the position of beam  $x_0$  is

$$E_z(x_0) = kIx_0^2$$


Longitudinal impedance

$$Z_{||} = -\frac{E_z(x_0)l}{I} = -kx_0^2l$$

The magnetic field comes from Maxwell

$$\nabla \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = \vec{0}$$

$$\frac{\partial B_y}{\partial t} \Big|_{x_0} = k I x_0 \quad \text{taking} \quad I x_0 = I \hat{x} e^{i\omega t}$$

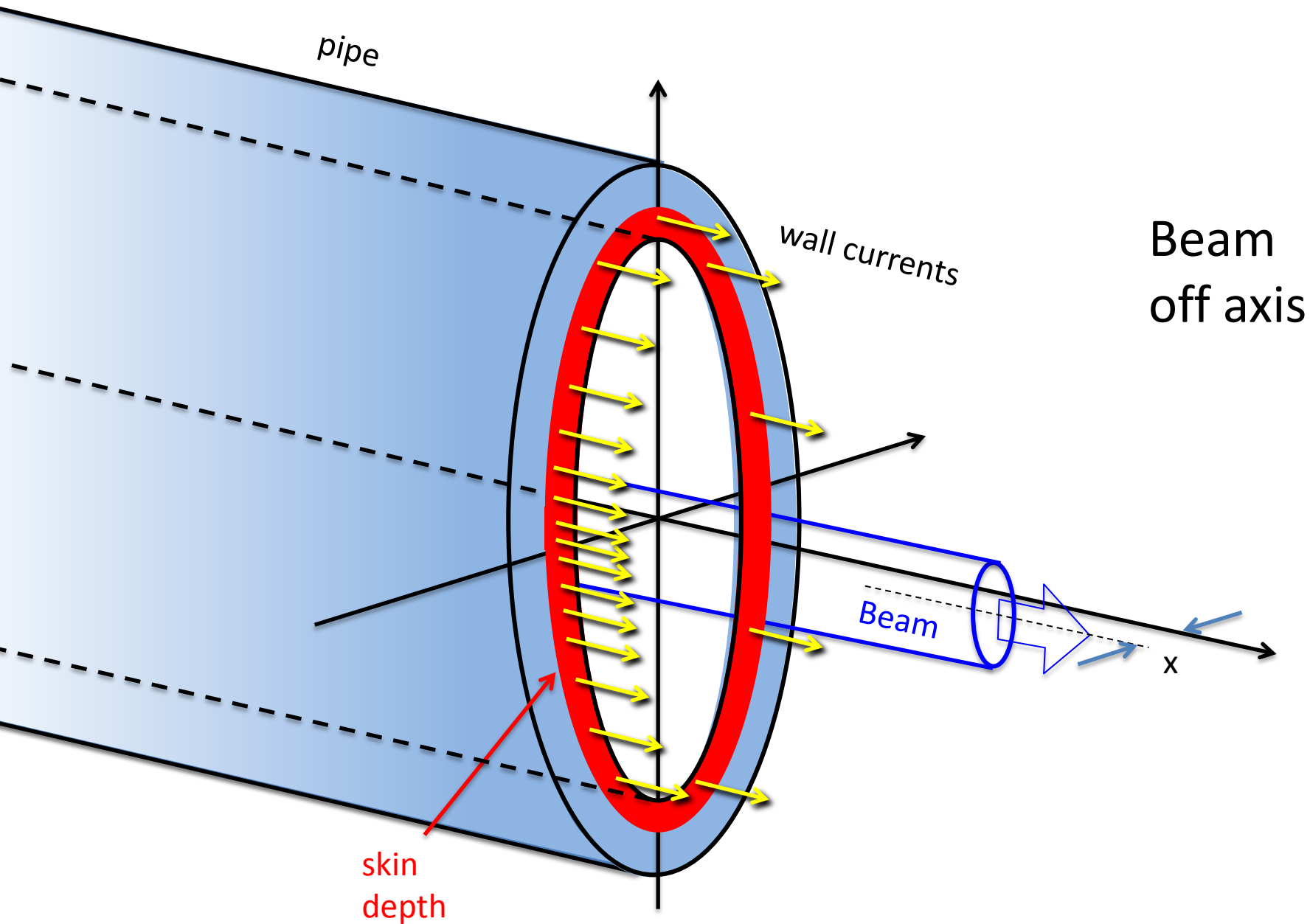


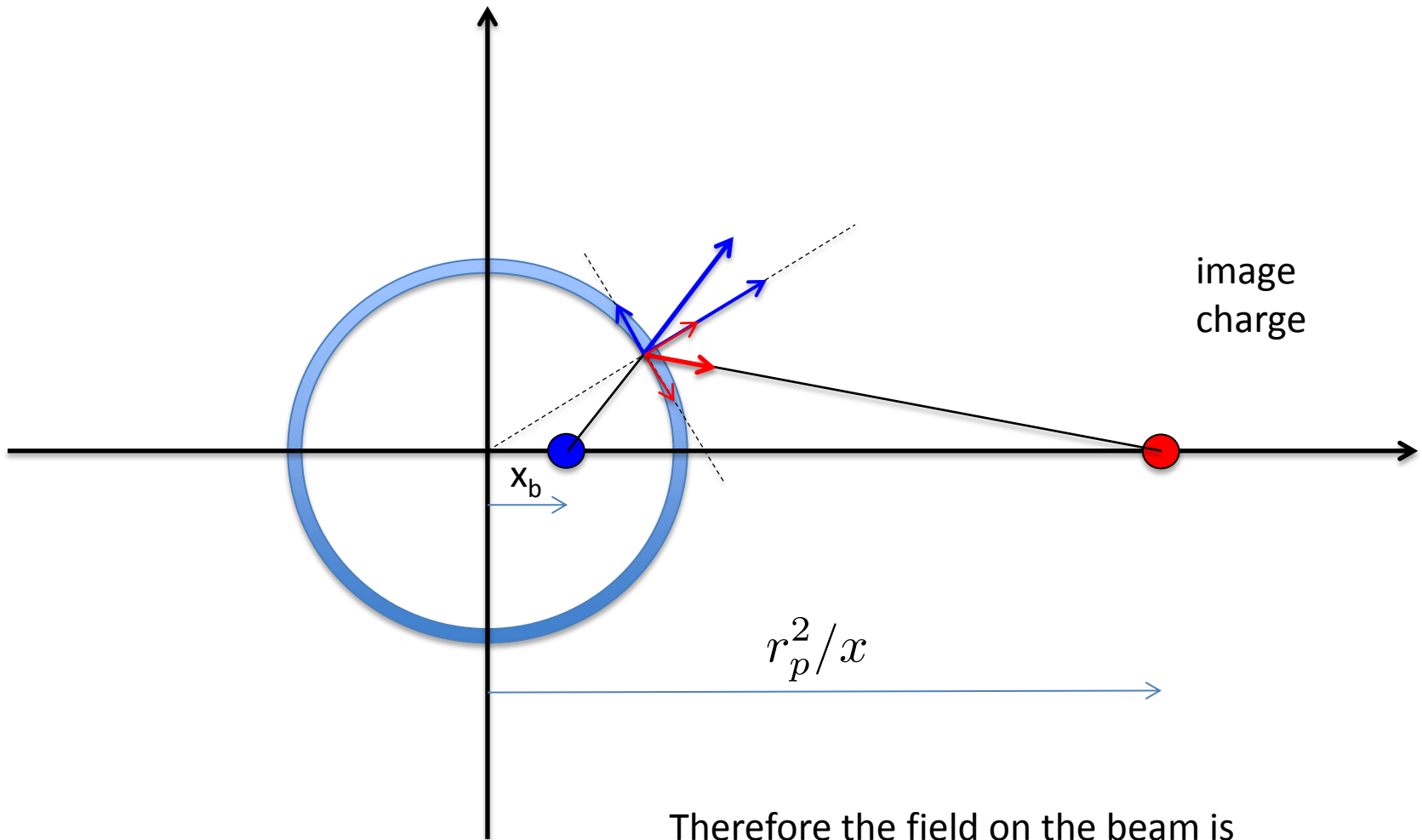
$$B_y = \frac{k I \hat{x}}{i\omega} e^{i\omega t} = \frac{k I x_0}{i\omega}$$

Transverse impedance

$$Z_{\perp} = i \frac{\int_0^l [\vec{v} \times \vec{B}]_{\perp} ds}{I x_0} \quad \img alt="A blue arrow pointing to the right." data-bbox="491 648 601 722"/> \quad Z_{\perp} = -\frac{v_z k l}{\omega}$$

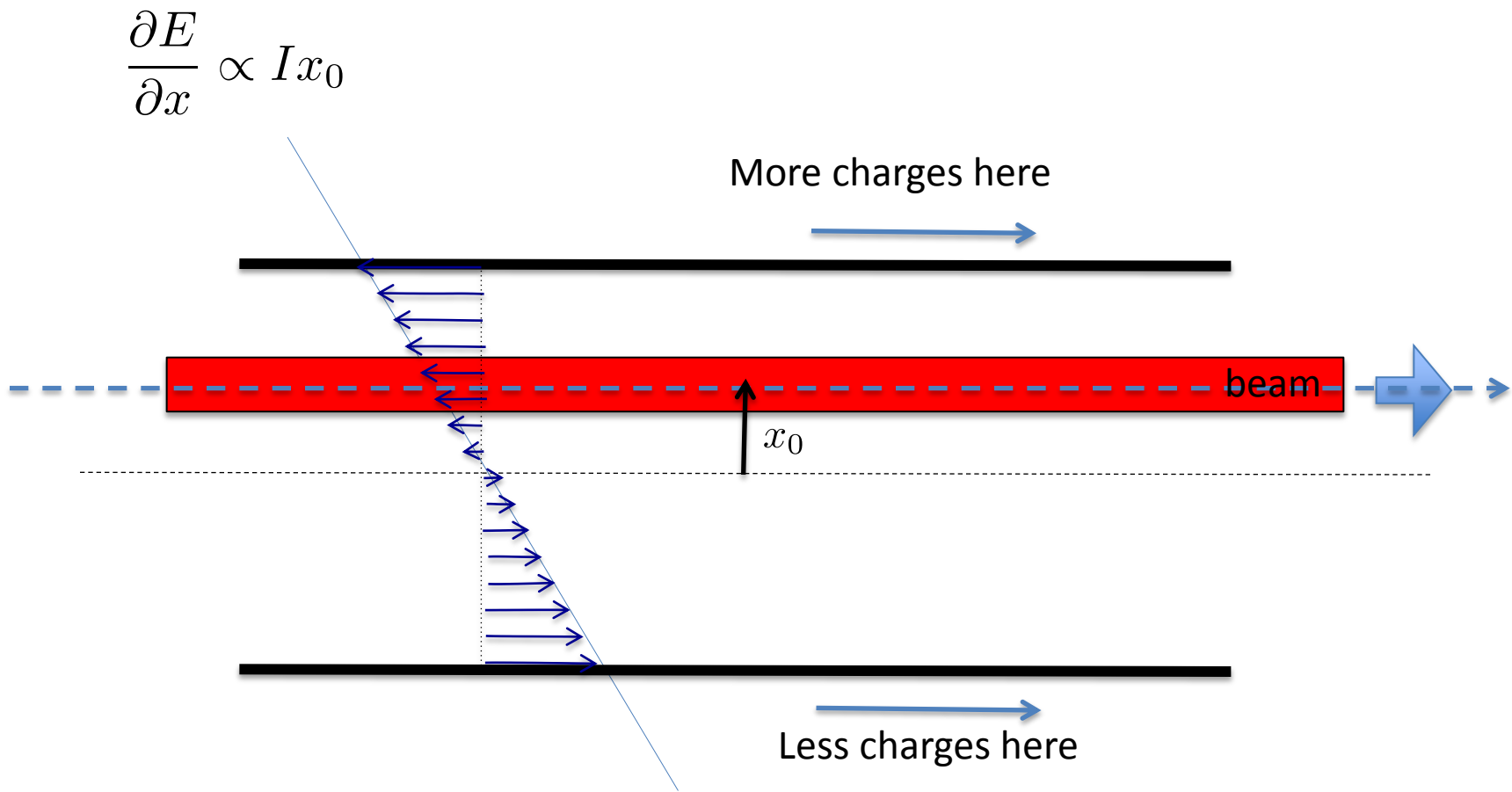
$$Z_{\perp} = \frac{v_z}{2\omega} \frac{d^2 Z_{\parallel}(\omega)}{dx}$$





$$E_x = \frac{1}{2\pi\epsilon_0} \frac{\lambda}{r_p^2} x_b$$

(for small  $x_b/r_p$ )



Transverse resistive Wall impedance

$$Z(\omega_n)_\perp = \frac{2R}{r_p^2} \frac{Z_{||}(\omega_n)}{n} \Big|_{res}$$



# Transverse instability

# Coasting beam instability

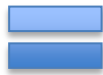
Force due to the impedance  
(in the complex notation)

$$F_{\perp} = i \frac{q Z_{\perp} I_0}{2\pi R} x_b$$



Equation of motion of one  
particle for a beam on axis

$$\ddot{x} + Q^2 \omega_0^2 x = 0$$



Equation of motion of a  
beam particle when the beam  
is off-axis

$$\ddot{x} + Q^2 \omega_0^2 x = -i \frac{q Z_{\perp} I_0}{2\pi R m \gamma} x_b$$

# Collective motion

On the other hand the beam center is  $x_b = \int x n(x, y, s) dx dy$

with  $\int \tilde{n} dV = 1$

therefore

$$\int \ddot{x} \tilde{n} dV + \int Q^2 \omega_0^2 x \tilde{n} dV = -i \frac{q Z_{\perp} I_0}{2\pi R m \gamma} x_b$$

**If all particles have the same frequency, i.e. each particle experience a force**

$$Q^2 \omega^2 x$$

then  $\ddot{x}_b + Q^2 \omega_0^2 x_b = -i \frac{q Z_{\perp} I_0}{2\pi R m \gamma} x_b$

$$\ddot{x}_b + Q^2 \omega_0^2 x_b = -i \frac{qZ_{\perp} I_0}{2\pi Rm\gamma} x_b$$

We can define a coherent “detuning” because this is a linear equation

$$Q^2 \omega_0^2 + i \frac{qZ_{\perp} I_0}{2\pi Rm\gamma} = (Q + \Delta Q^c)^2 \omega_0^2$$



$$\Delta Q^c = i \frac{1}{2Q\omega_0^2} \frac{qZ_{\perp} I_0}{2\pi Rm\gamma}$$

$$\ddot{x}_b + Q^2 \omega_0^2 x_b = -2Q\omega^2 \Delta Q^c x_b$$

that is

$$\ddot{x}_b + (Q^2 \omega_0^2 + 2Q\omega_0^2 \Delta Q^c) x_b = 0$$

But now  $\Delta Q^c$  is a complex number !!

Solution  $x_b = A \exp[-\omega_0 \text{Im}(\Delta Q^c) t + i\omega_0 [Q + \text{Re}(\Delta Q^c)] t]$

$$\tau_I^{-1} = \omega_0 \text{Im}(\Delta Q^c)$$

Is the growth rate of the transverse resistive wall instability

$$\frac{1}{\tau} = \frac{q \text{Re}\{Z_{\perp}\} I_0}{4\pi R m \gamma Q \omega_0}$$

This instability always take place

Instability suppression

→ Landau damping

# An important assumption

We assumed that all particles have the same frequency so that

$$\int Q^2 \omega_0^2 x \tilde{n} dV = Q^2 \omega_0^2 \int x \tilde{n} dV = Q^2 \omega_0^2 x_b$$

This assumption means that each particle of the beam respond in the same way to a change of particle amplitude

Coherent motion

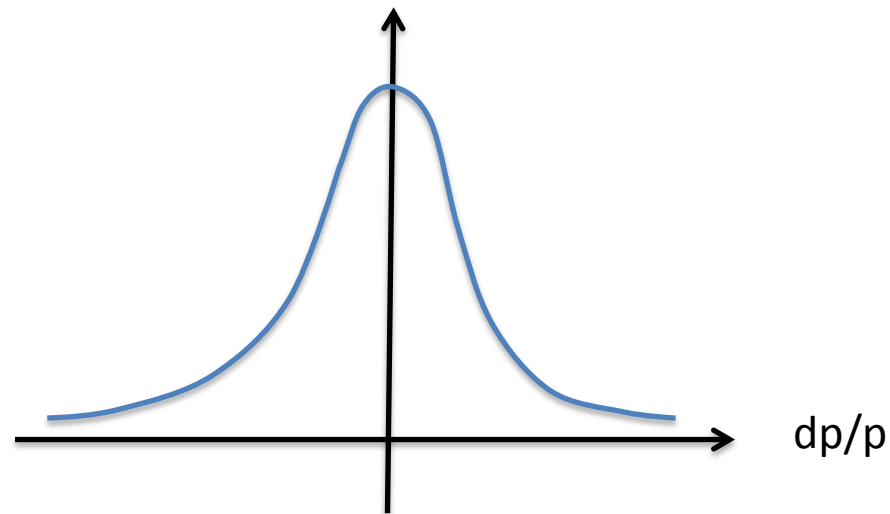


drive particle motion, which is again coherent

# Chromaticity ?

What happens if the incoherent force created by the accelerator do not allow a coherent build up

Momentum spread





$$\delta Q = \xi \frac{\delta p}{p}$$

chromaticity



one particle with off-momentum  $dp/p$   
has tune

$$Q = Q_0 + \delta Q = Q_0 + \xi \frac{\delta p}{p}$$

If each particle of the beam has different  $dp/p$  then the force that the lattice exert on a particle depends on the particle !

$$F_x = \left( Q_0 + \xi \frac{\delta p}{p} \right)^2 \omega^2 x$$

# Incoherent motion damps $x_b$

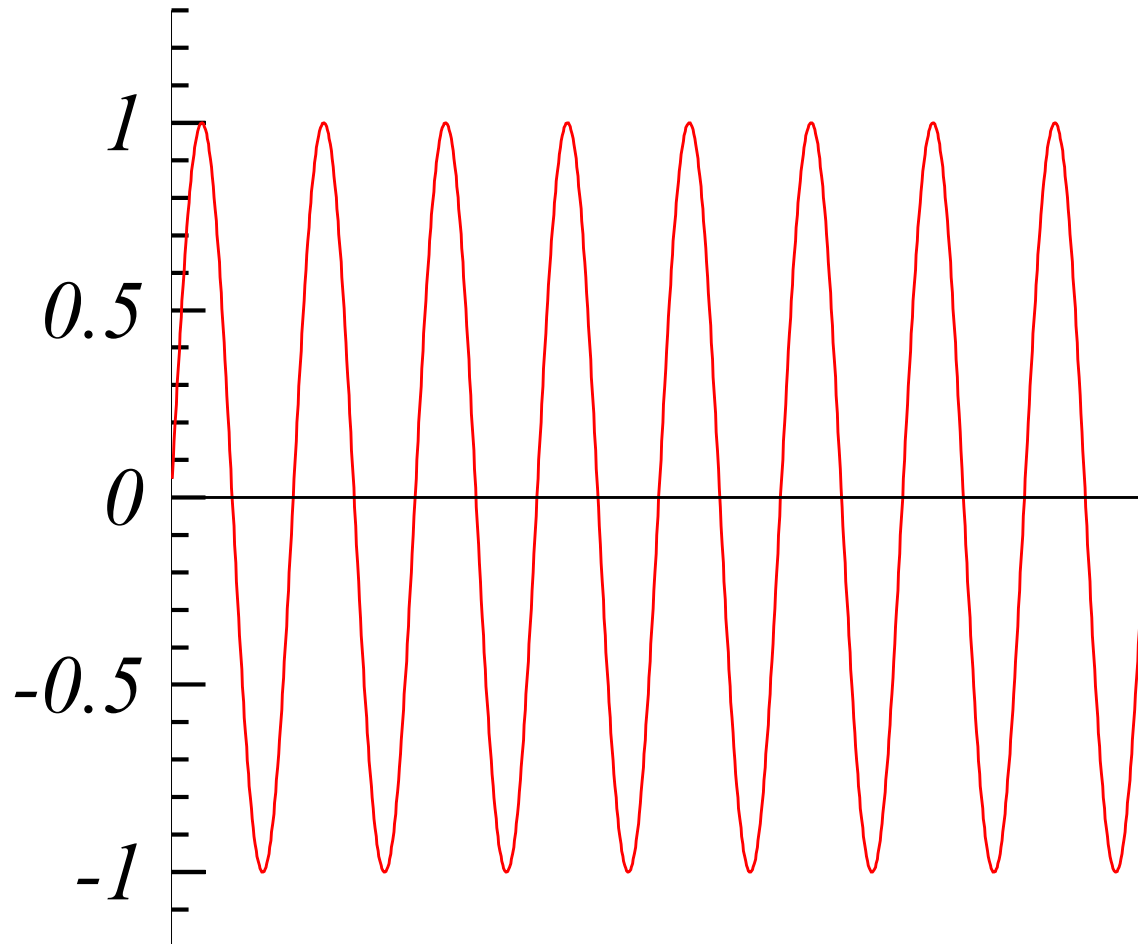
Equation of motion  
without impedances

$$\ddot{x} + \left( Q_0 + \xi \frac{\delta p}{p} \right)^2 \omega^2 x = 0$$

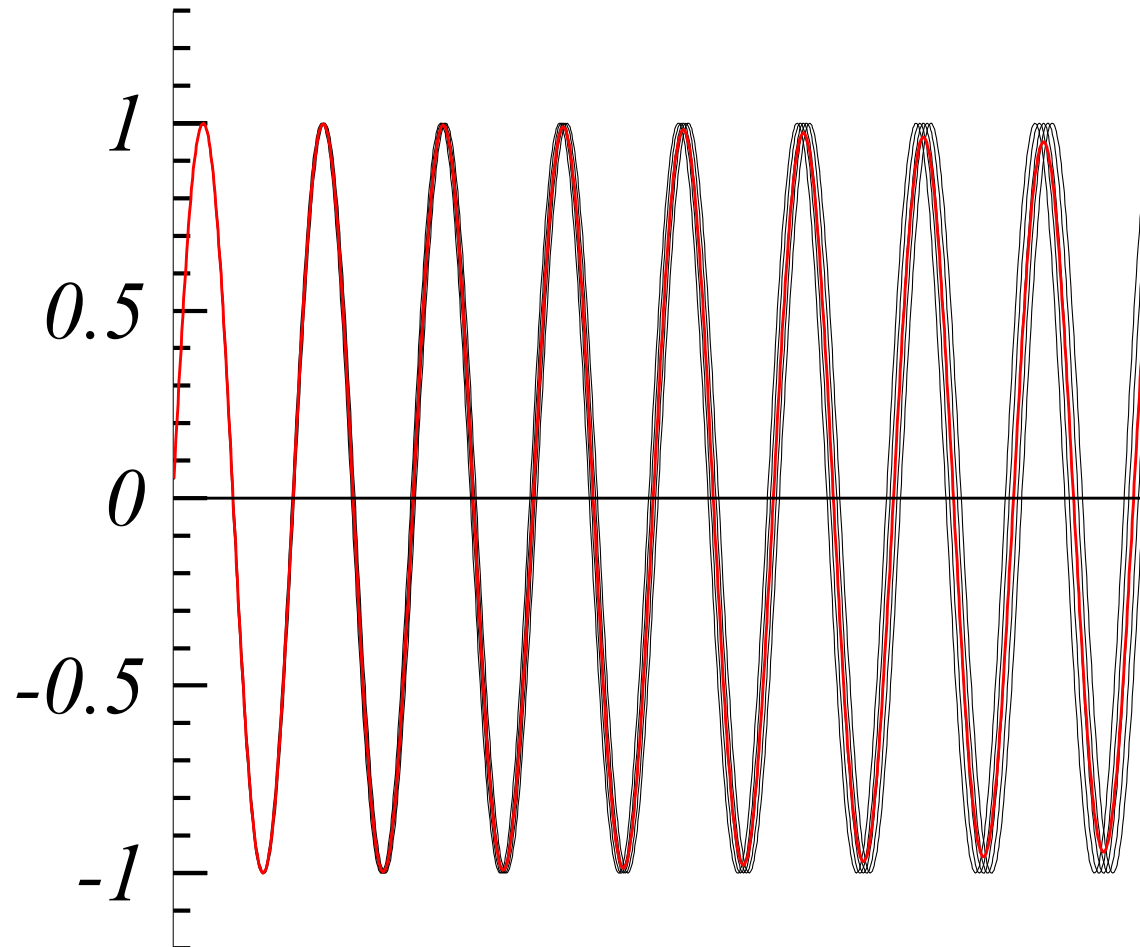
Motion of center of mass as an effect of the spread of the frequencies of oscillation

The momentum compaction also provides a spread of the betatron oscillations

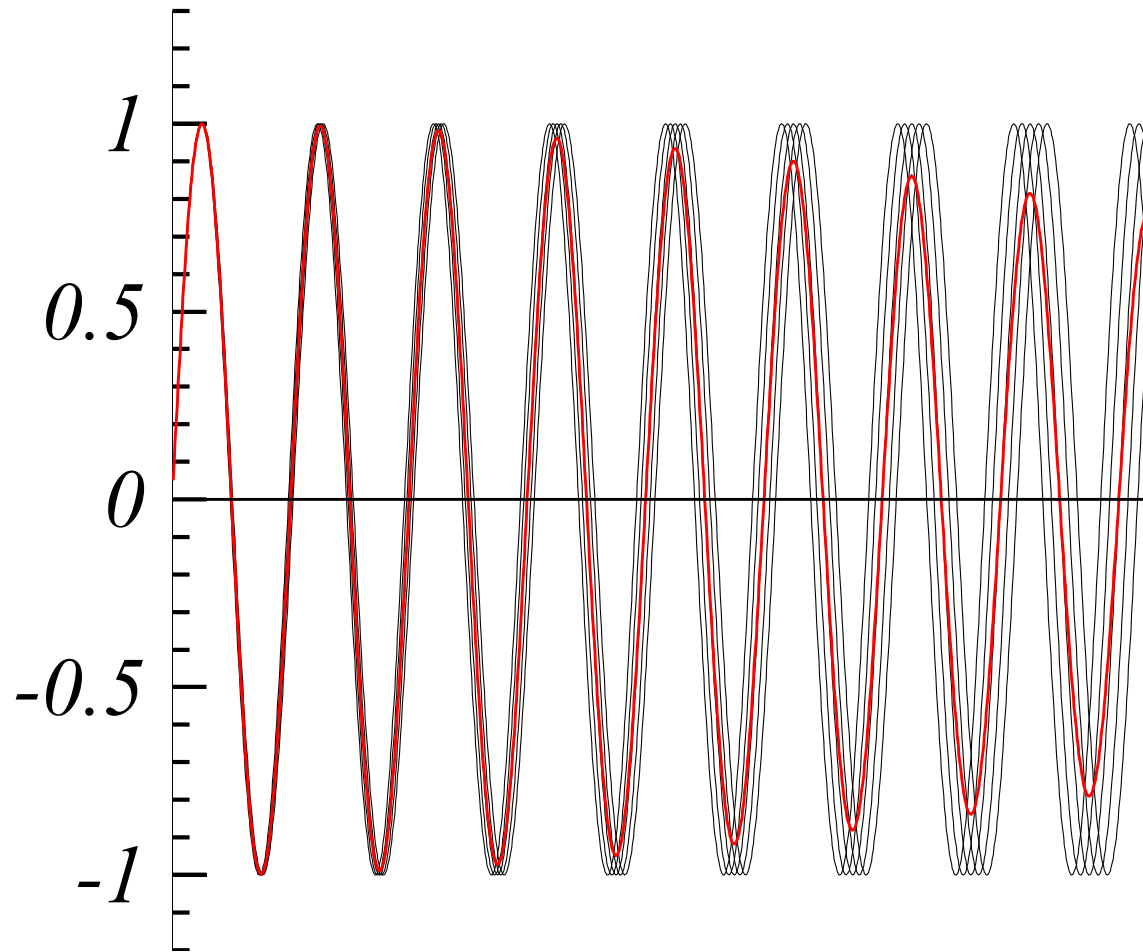
Example:  
N. particles = 5  
 $dq/q = 0$



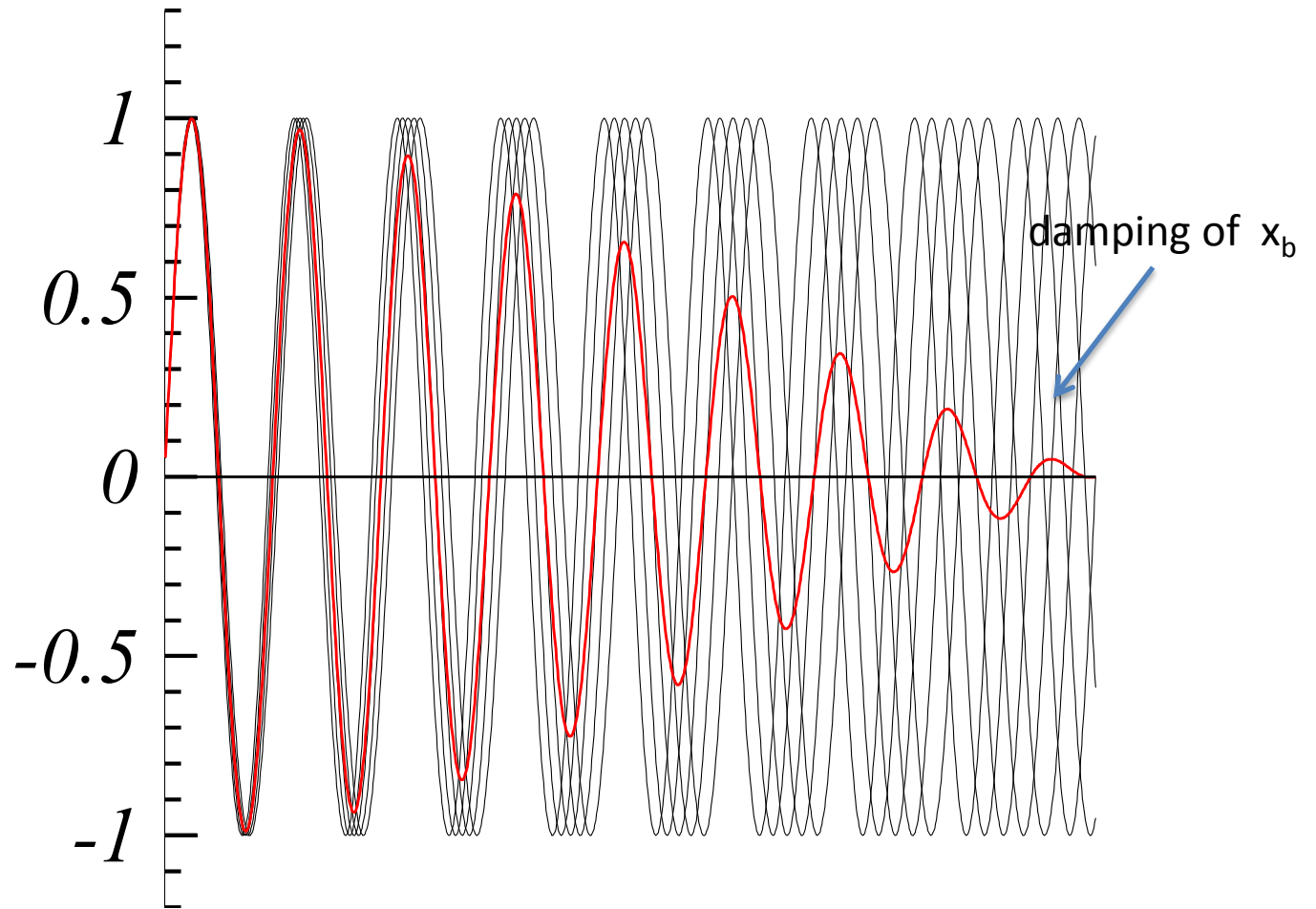
Example:  
N. particles = 5  
 $dq/q = 5E-3$



Example:  
N. particles = 5  
 $dq/q = 1E-2$

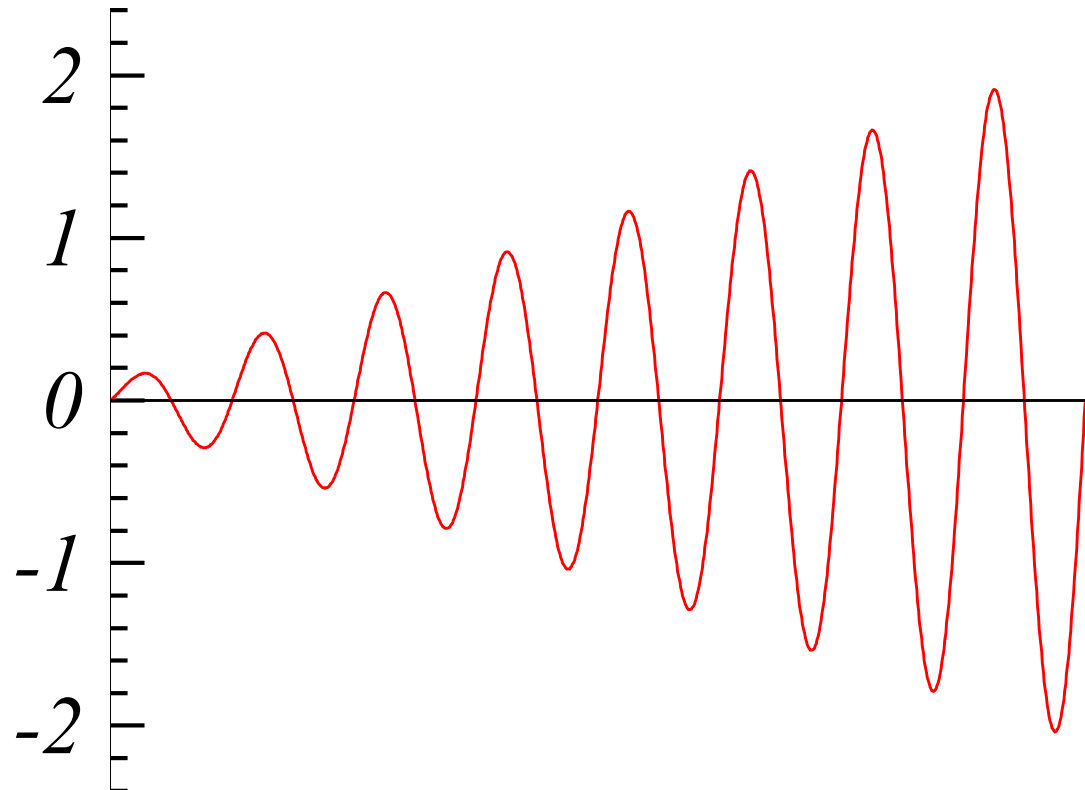


Example:  
N. particles = 5  
 $dq/q = 2.5E-2$



# But incoherent motion reduces $x_b$

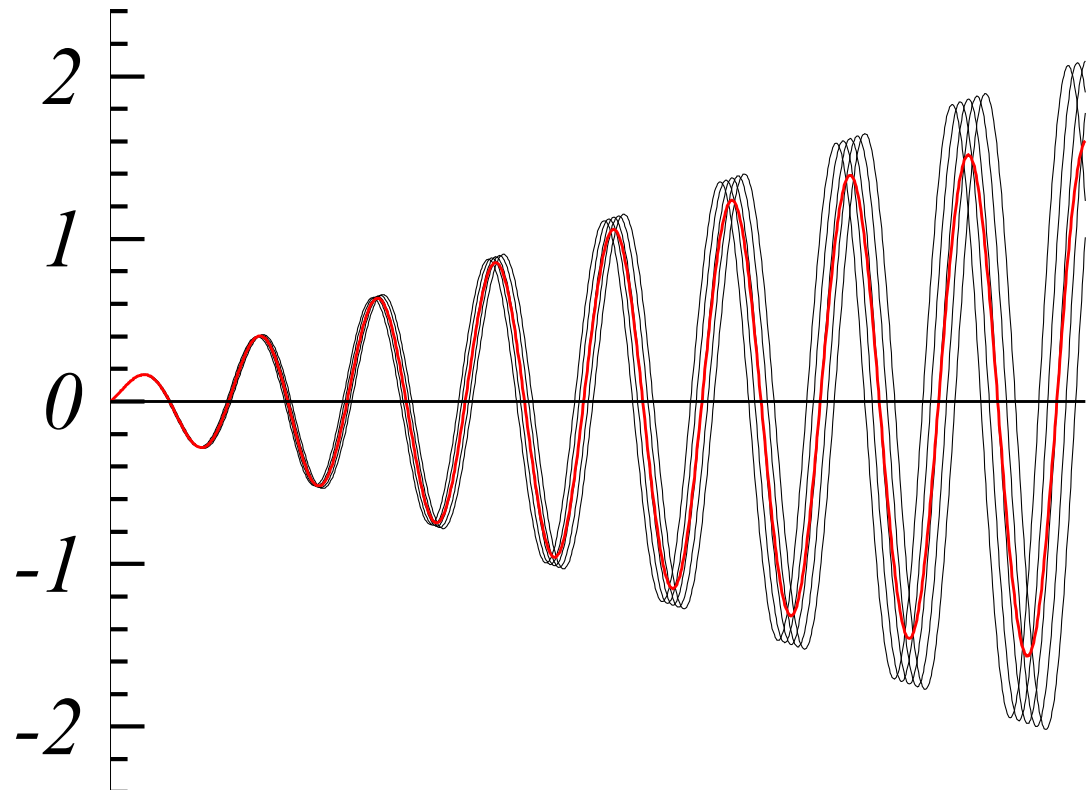
Example:  
these are 5 sinusoid  
with amplitude linearly  
growth



Example:  
now a spread  $dq/q$  of  
 $1E-2$  is added to the  
5 curves



The center of mass  
growth slower

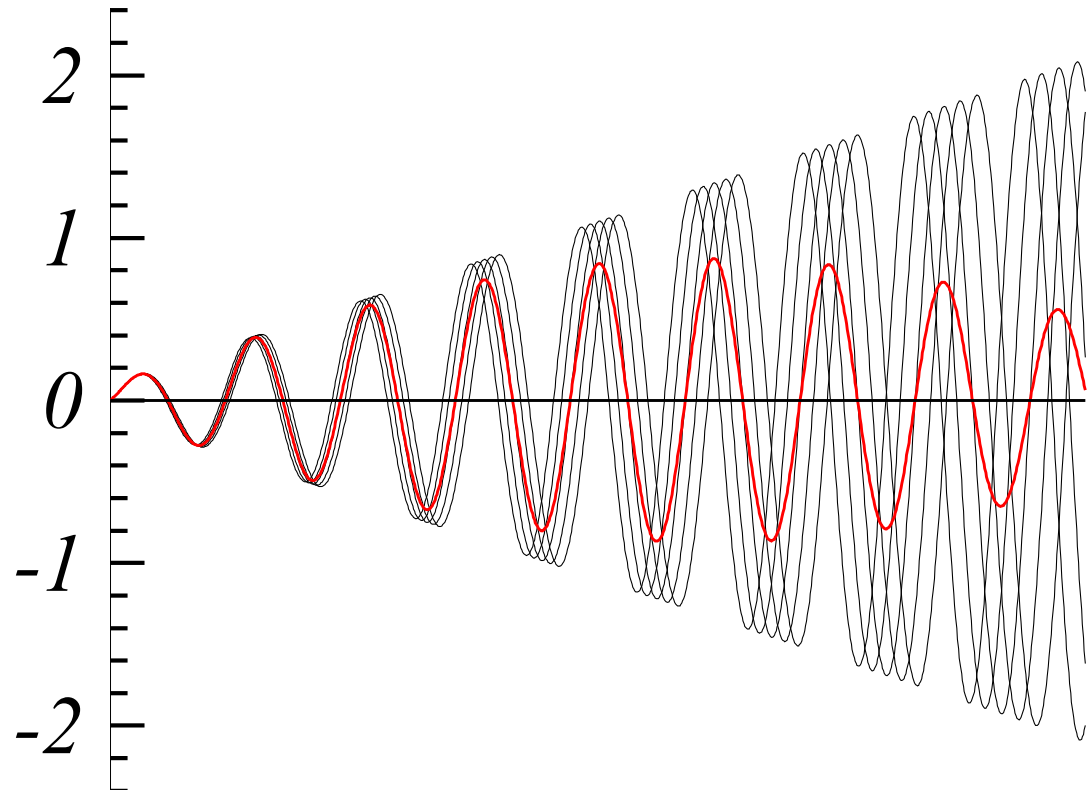




Example:  
now a spread  $dq/q$  of  
 $1E-2$  is added to the  
5 curves



the spread of the  
particles damps the  
oscillations of the center of  
mass  $\rightarrow$  the instability cannot develop



# Situation

Coherent  
effect

Growth rate

$\tau_I$



The faster wins

Incoherent  
effect

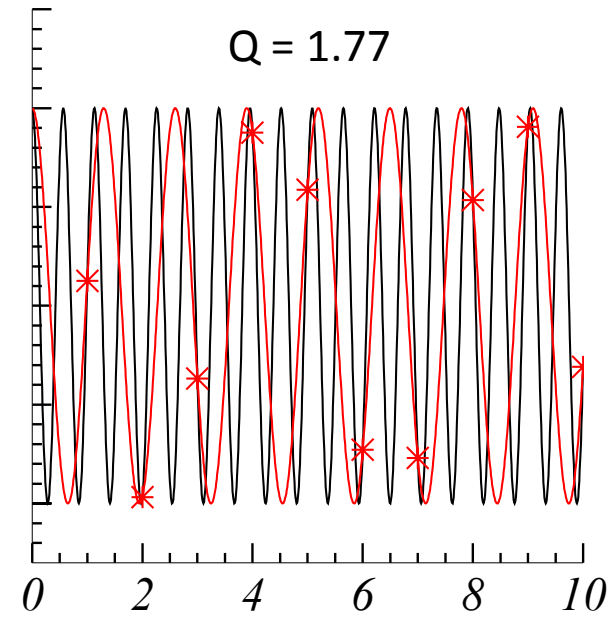
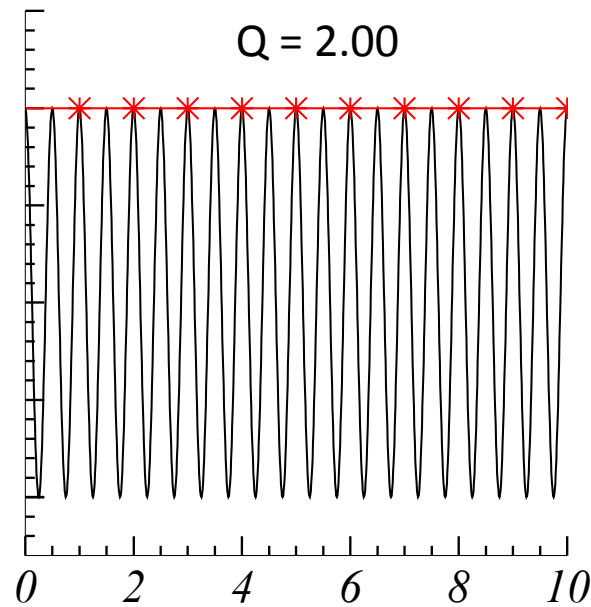
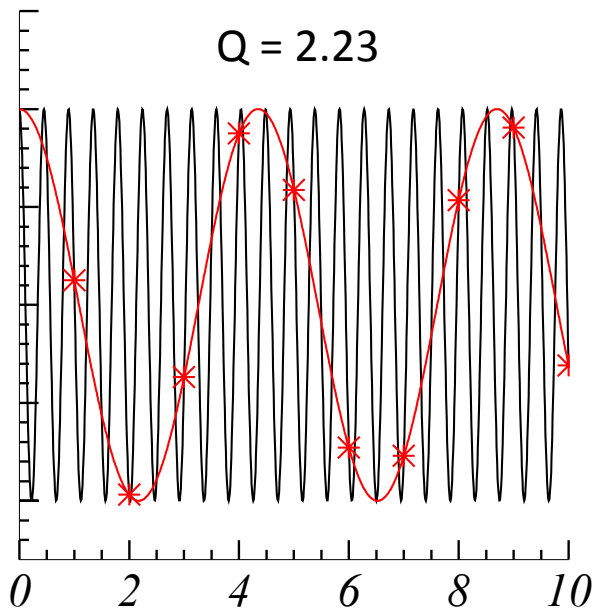
Damping rate

$\tau_D$

# instability of a single bunch

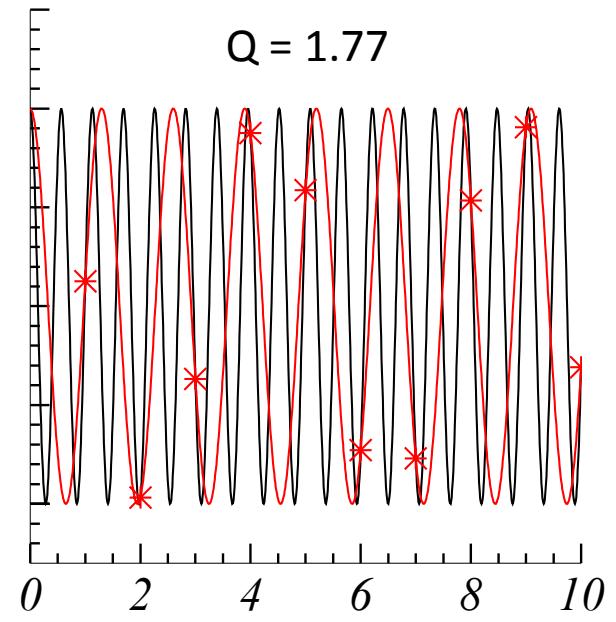
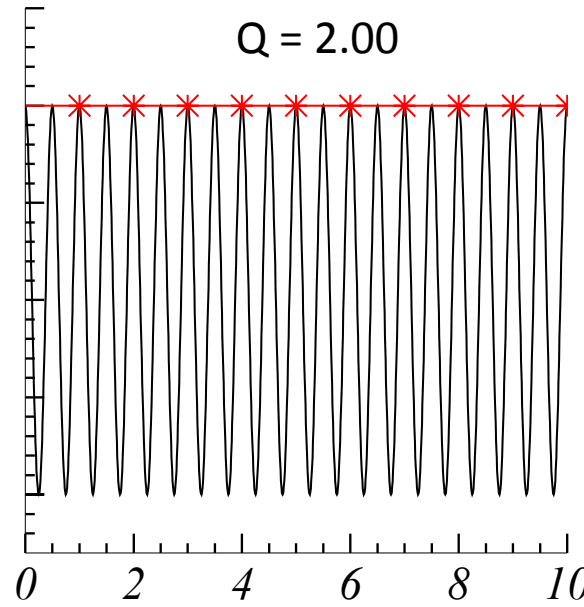
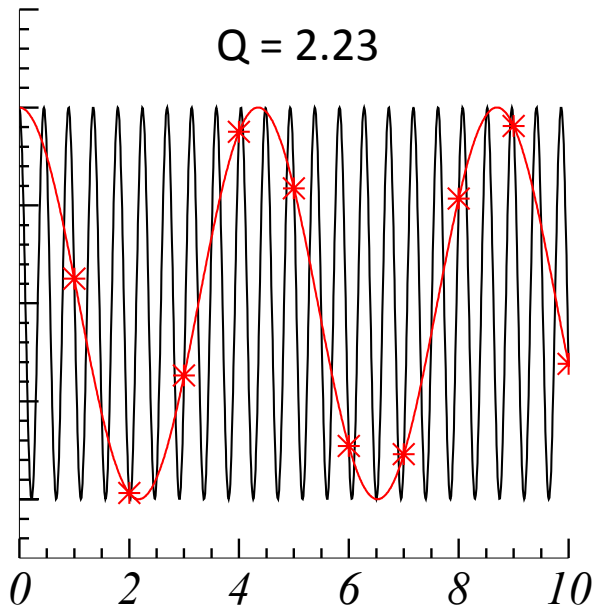
Example

beam position at the cavity

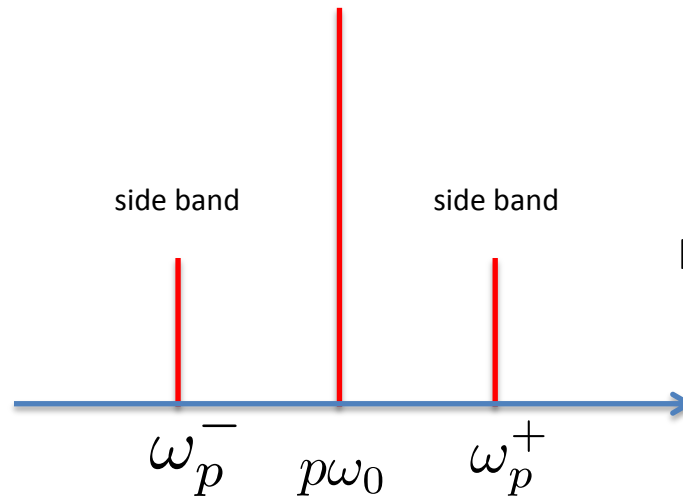


No oscillations →

$$\omega = 0$$



Slow

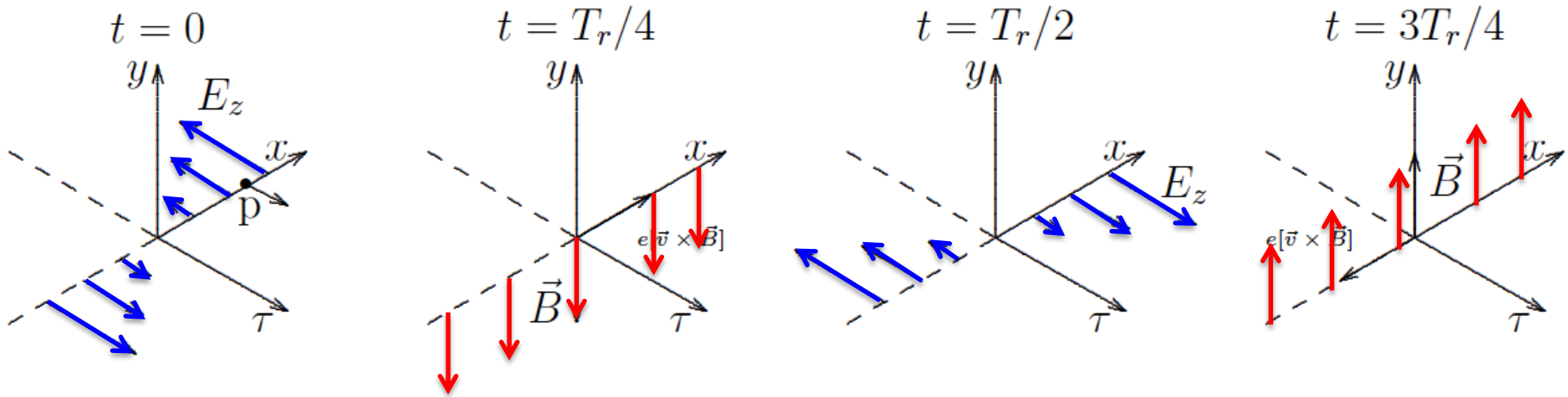


Fast

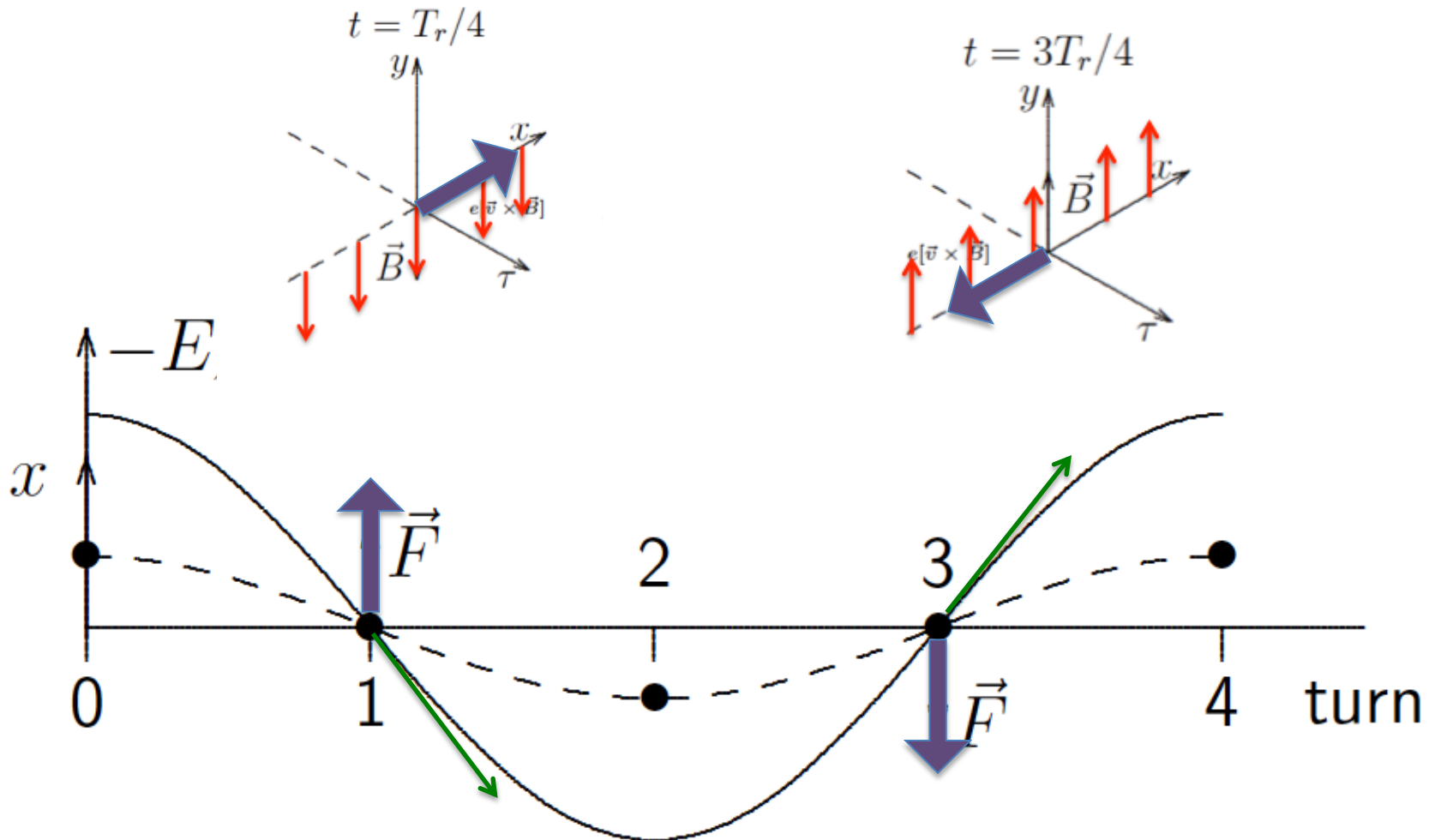
$$\omega_p^\pm = (p \pm q)\omega_0$$

# behavior of the field in the cavity

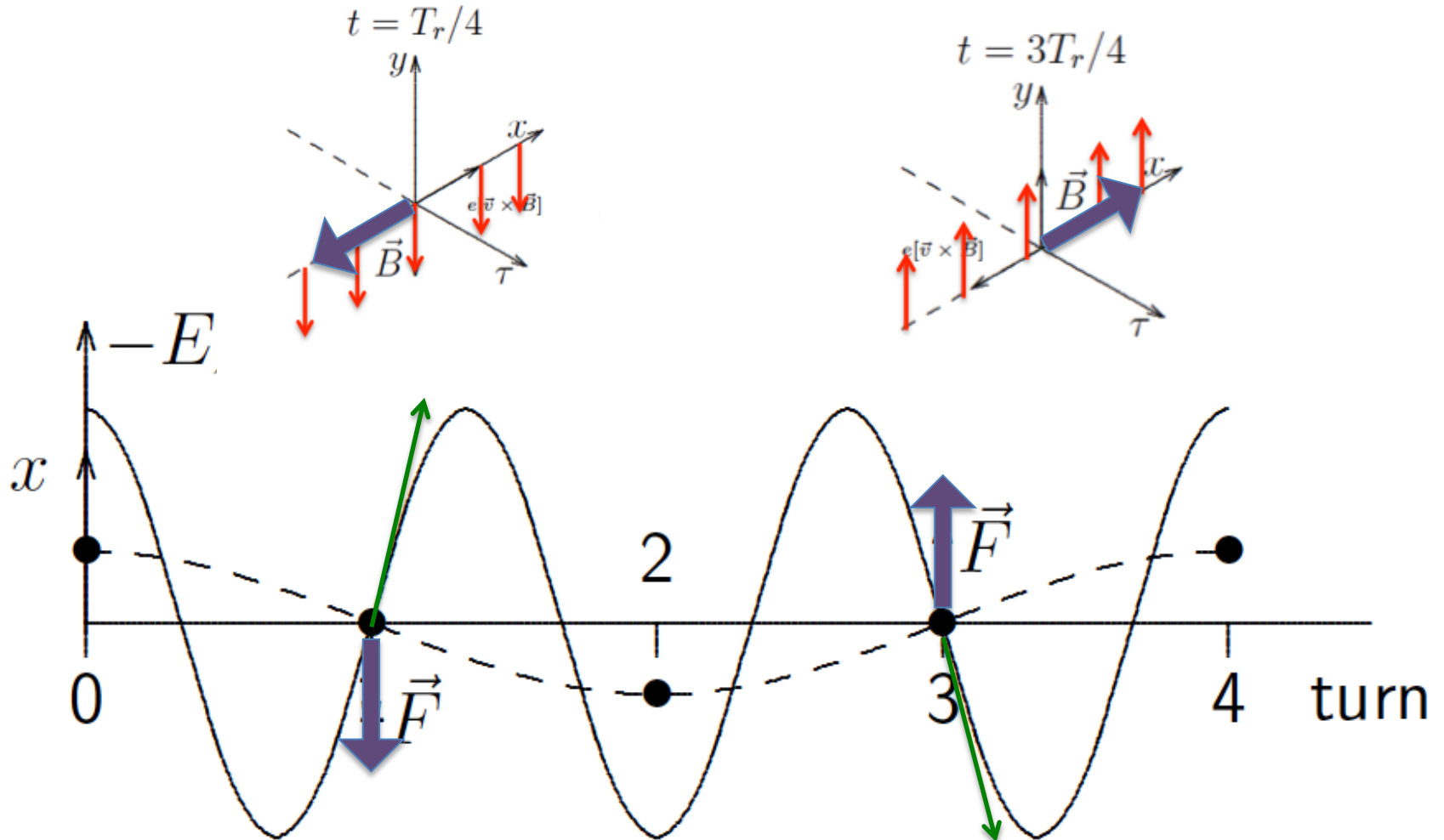
$T_r$  = time of oscillation of the field in the cavity



# Cavity tuned upper sideband



# Cavity tuned upper sideband



As for the Robinson Instability

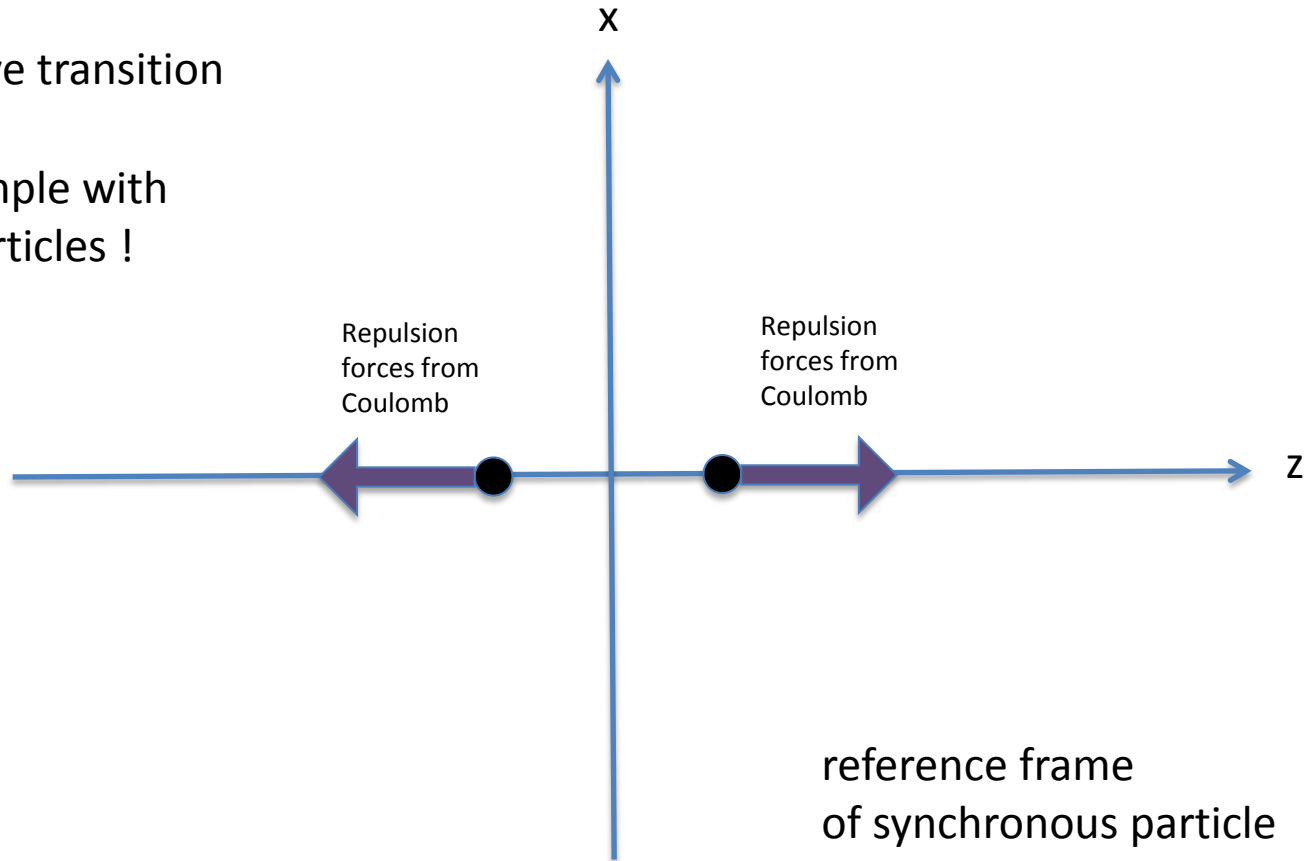
$$\alpha_s = \frac{1}{\tau} \propto \sum_p I_p^2 [Z_{\perp}(\omega_p^+) - Z_{\perp}(\omega_p^-)]$$



# Negative mass instability

Above transition

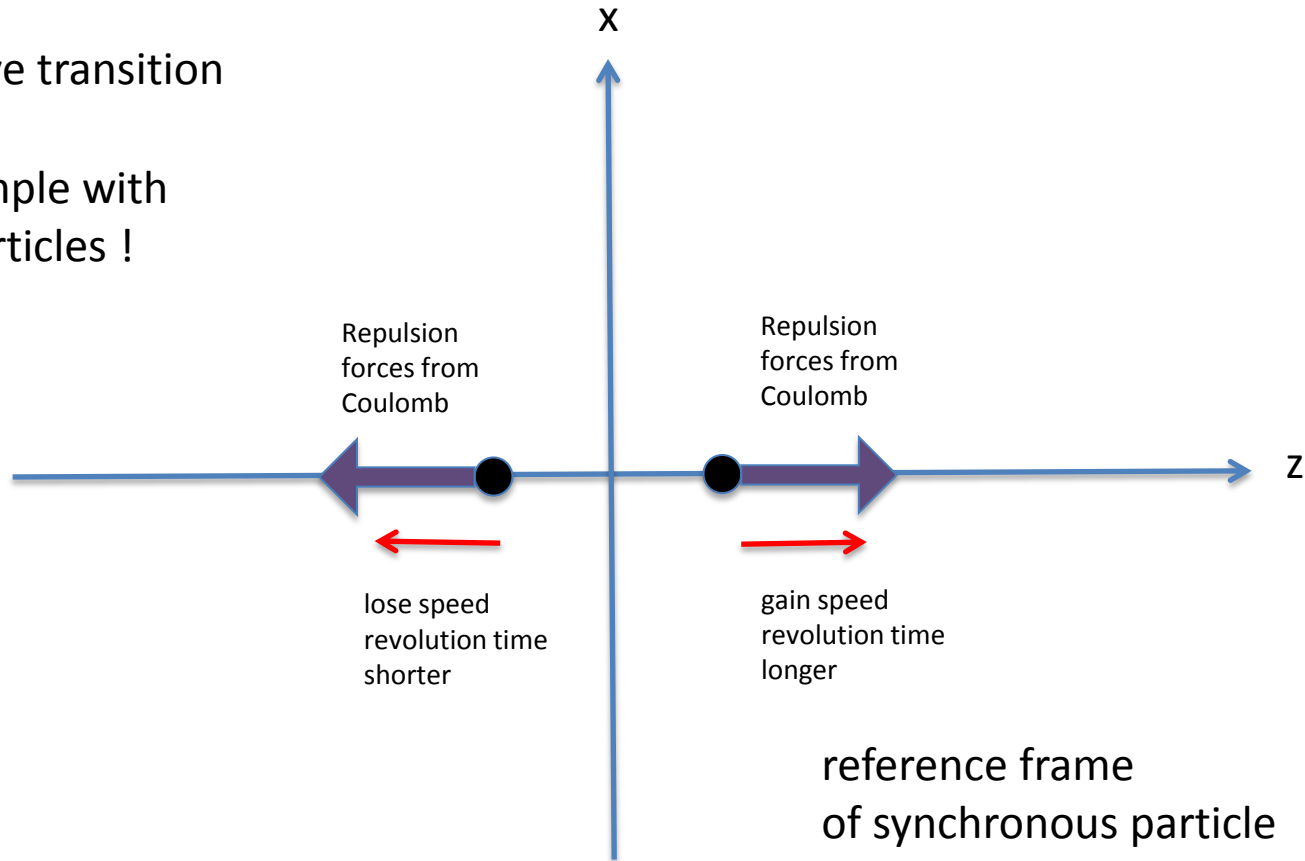
Example with  
2 particles !



# Negative mass instability

Above transition

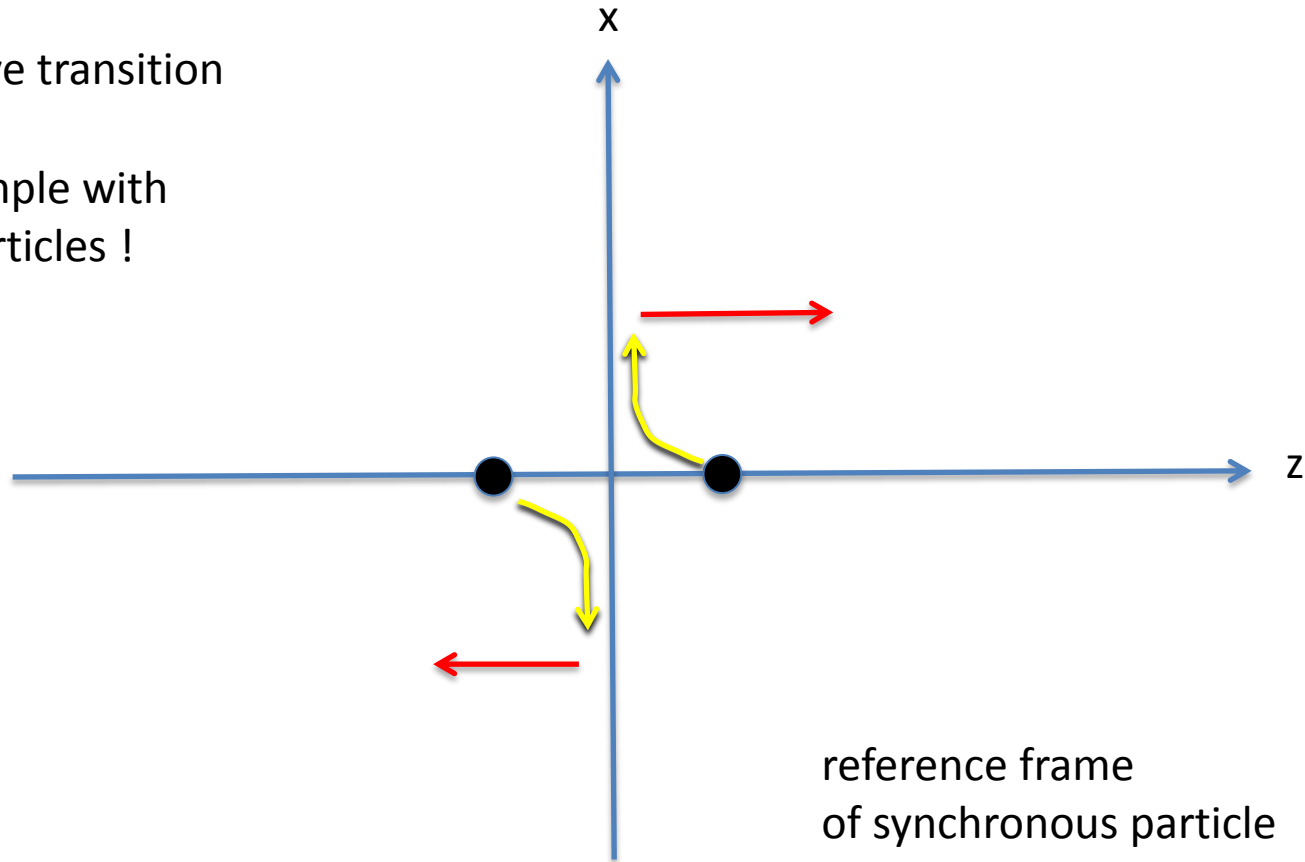
Example with  
2 particles !



# Negative mass instability

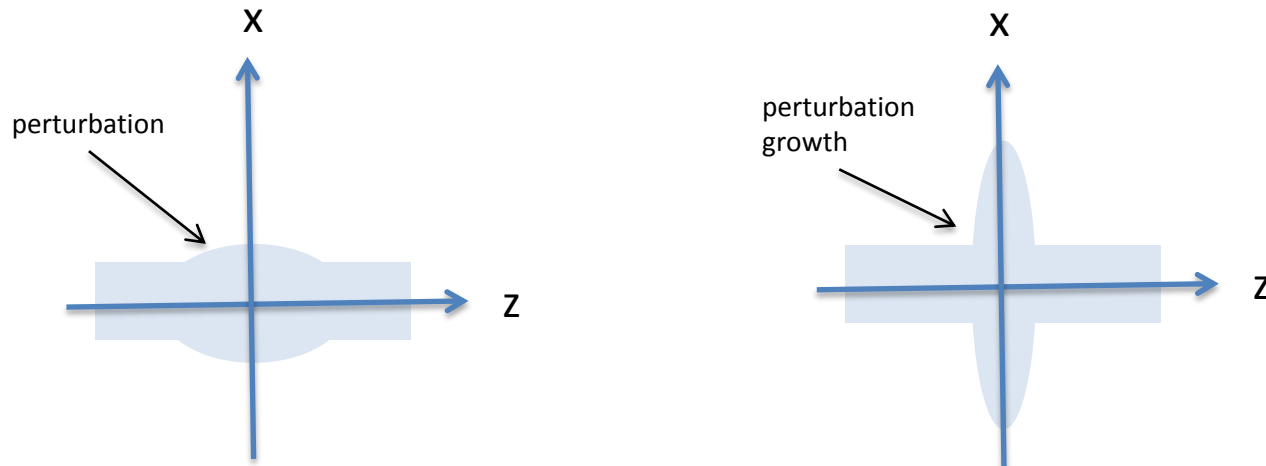
Above transition

Example with  
2 particles !



# Negative mass instability

Above transition



repulsive forces attract particles as if their mass were negative

# Summary

Robinson instability

Longitudinal space charge and resistive wall impedance

Transverse impedance

Transverse instability

Landau damping

Single bunch instability

Negative mass instability