Injection and extraction

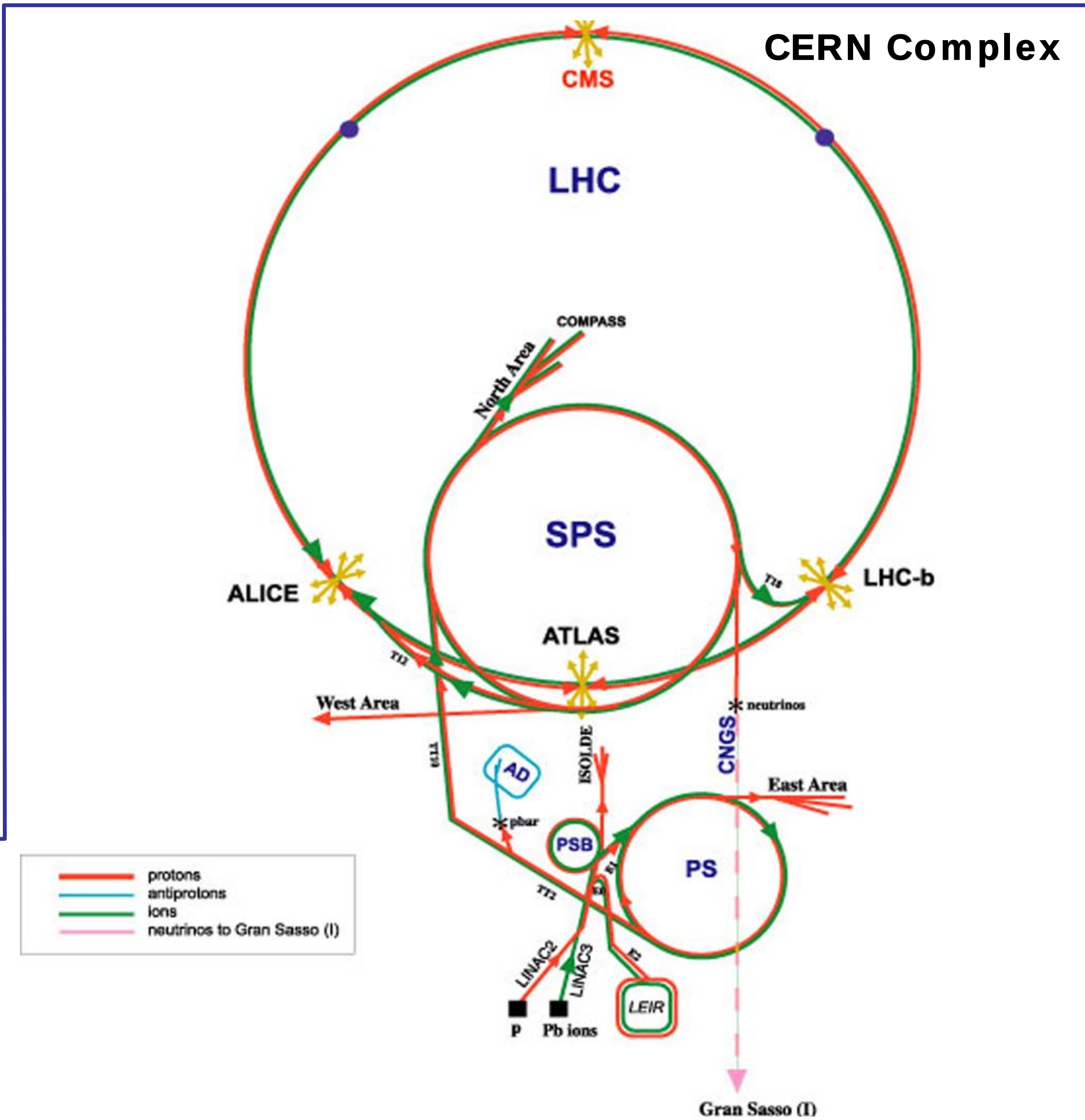
- Injection methods
  - Single-turn hadron injection
  - Injection errors, filamentation and blow-up
  - Multi-turn hadron injection
  - Charge-exchange H- injection
  - Lepton injection
- Extraction methods
  - Single-turn (fast) extraction
  - Non-resonant multi-turn extraction
  - Resonant multi-turn (slow) extraction

Brennan Goddard

CERN

Injection, extraction and transfer

- An accelerator has limited dynamic range.
- Chain of stages needed to reach high energy
- Periodic re-filling of storage rings, like LHC
- External experiments, like CNGS



Beam transfer (into, out of, and between machines) is necessary.

LHC:	Large Hadron Collider
SPS:	Super Proton Synchrotron
AD:	Antiproton Decelerator
ISOLDE:	Isotope Separator Online Device
PSB:	Proton Synchrotron Booster
PS:	Proton Synchrotron
LINAC:	LINear Accelerator
LEIR:	Low Energy Ring
CNGS:	CERN Neutrino to Gran Sasso

Introduction

- What do we mean by injection?
  - Inject a particle beam into a circular accelerator or accumulator ring, at the right time, while
    - minimizing the beam loss and
    - placing the newly injected particles onto the correct trajectory
    - with the correct phase-space parameters

- What do we mean by extraction?
  - Extract the particles at the appropriate time, while
    - minimizing beam loss and
    - placing the extracted particles onto the correct trajectory
    - with the correct phase-space parameters

- Both processes important for performance of accelerator complex

Special elements

Kicker magnet: pulsed dipole magnet with very fast rise time (100ns – few ms)

Septum magnet: pulsed or DC dipole magnet with thin (2-20mm) septum between zero field and high field region

Electrostatic septum: DC electrostatic device with very thin (~0.1mm) septum between zero field and high field region

See talk by M.Barnes for full details of these elements

Normalised phase space

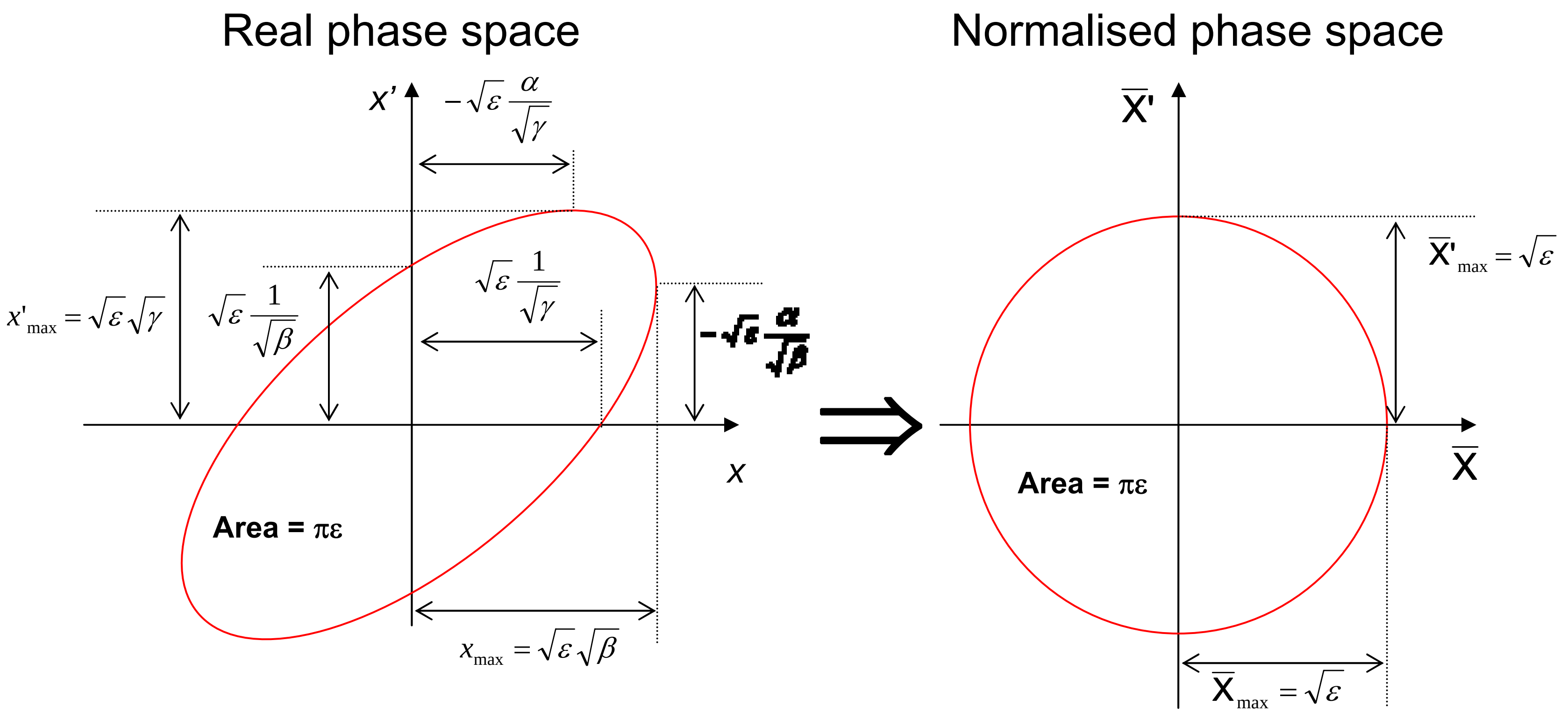
- Transform real transverse coordinates *x*, *x'* by

$$\begin{bmatrix} \overline{X} \\ \overline{X}' \end{bmatrix} = \mathbf{N} \cdot \begin{bmatrix} x \\ x' \end{bmatrix} = \sqrt{\frac{1}{\beta_S}} \cdot \begin{bmatrix} 1 & 0 \\ \alpha_S & \beta_S \end{bmatrix} \cdot \begin{bmatrix} x \\ x' \end{bmatrix}$$

$$\overline{X} = \sqrt{\frac{1}{\beta_S}} \cdot x$$

$$\overline{X}' = \sqrt{\frac{1}{\beta_S}} \cdot \alpha_S x + \sqrt{\beta_S}\, x'$$

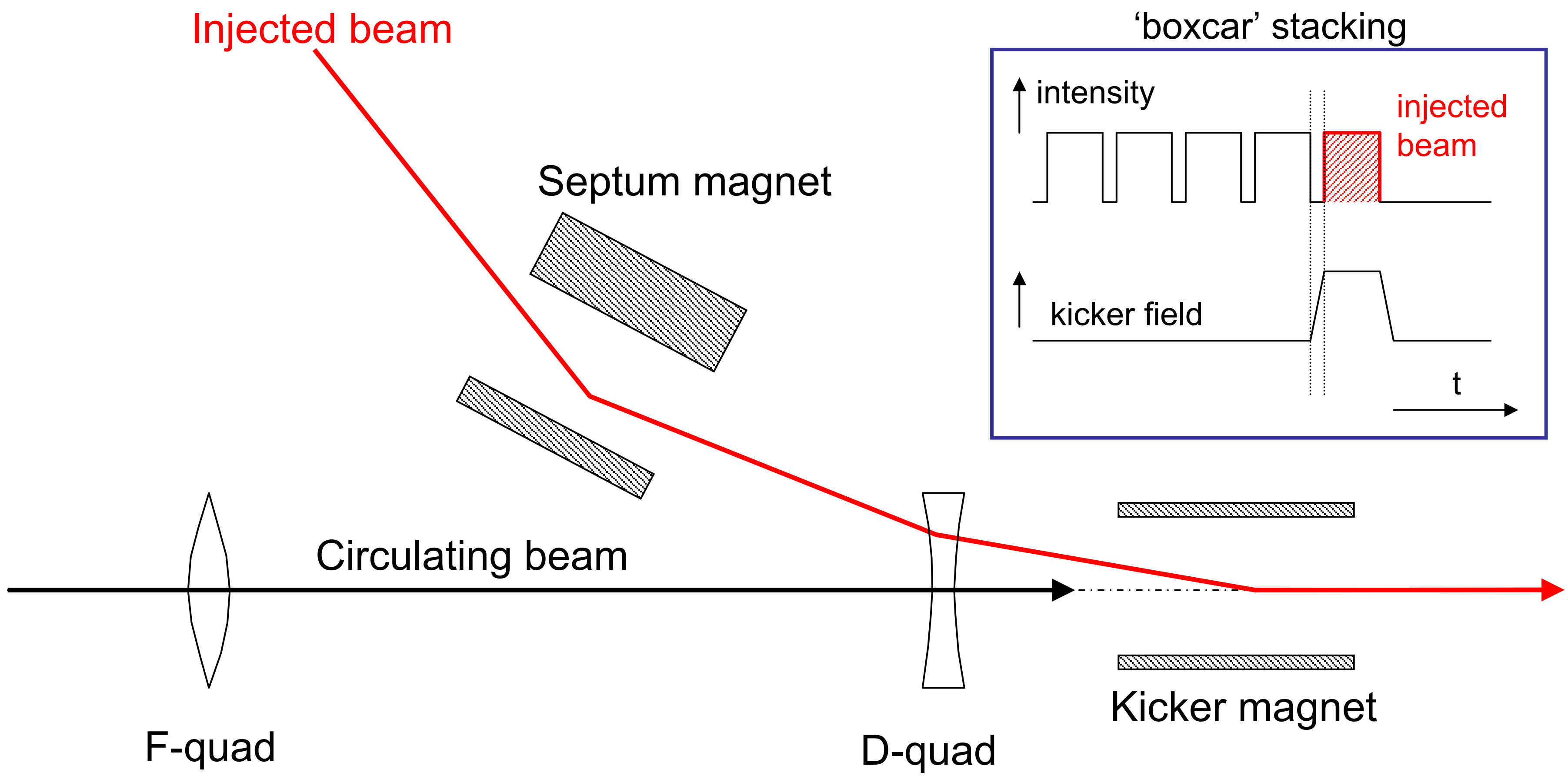
Normalised phase space



$$\varepsilon=\gamma\cdot x^2+2\alpha\cdot x\cdot x'+\beta\cdot x'^2$$

$$\varepsilon=\overline{X}^2+\overline{X}'^2$$

Single-turn injection – same plane

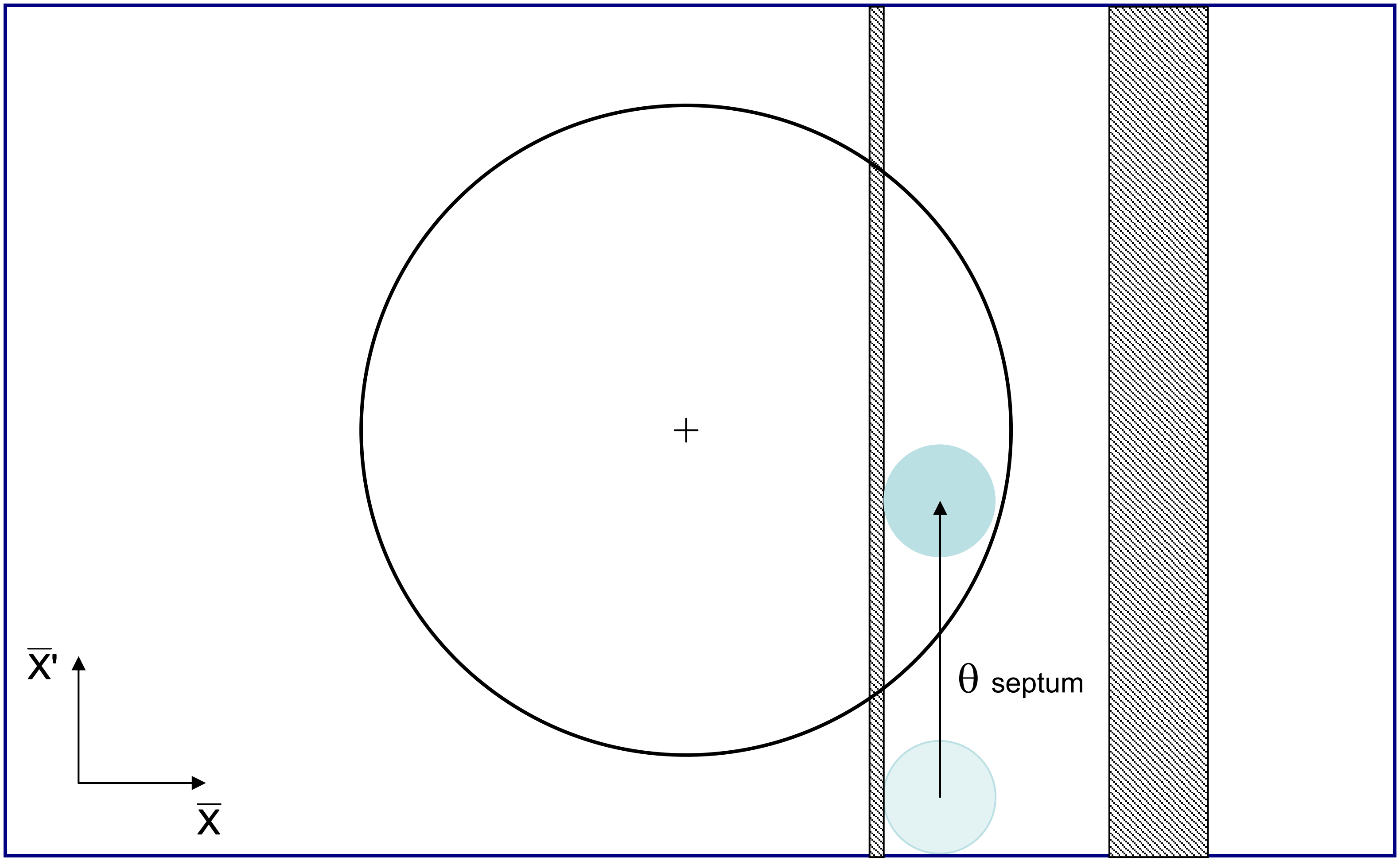


- **Septum** deflects the beam onto the closed orbit at the centre of the kicker
- **Kicker** compensates for the remaining angle
- Septum and kicker either side of **D quad** to minimise kicker strength

Single-turn injection

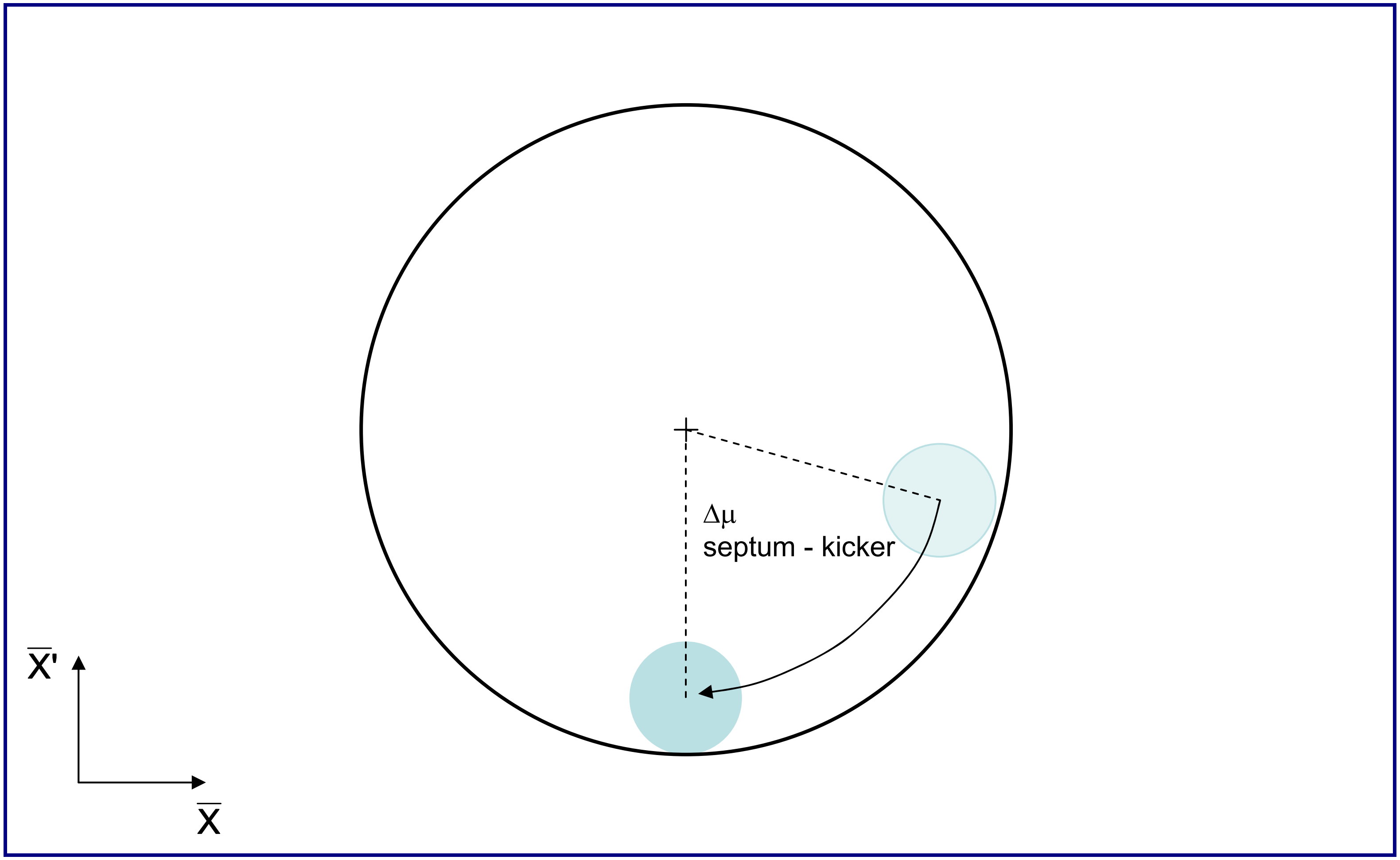
Normalised phase space

Large deflection by septum



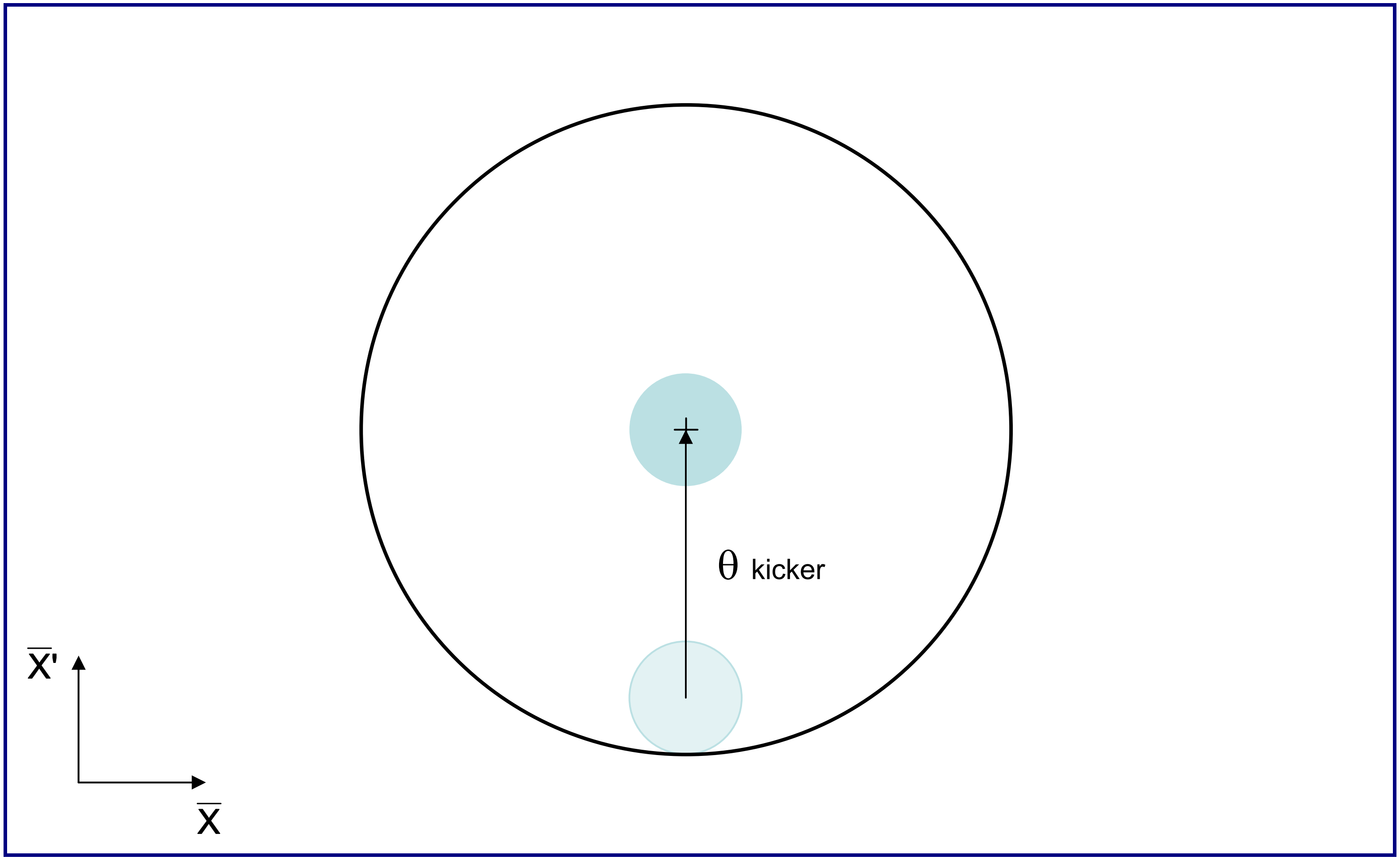
Single-turn injection

phase advance to kicker location

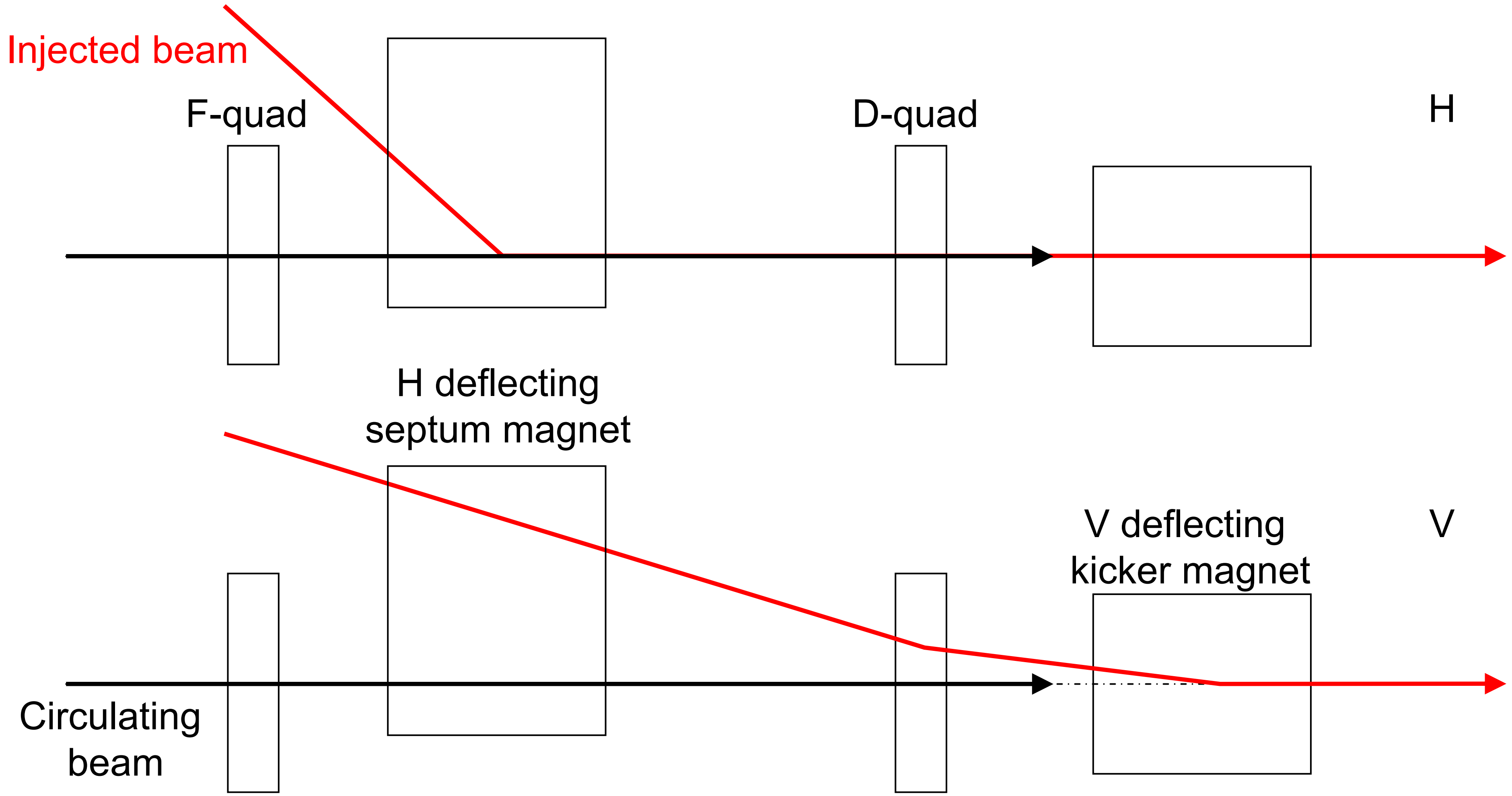


Single-turn injection

Kicker deflection places beam on central orbit



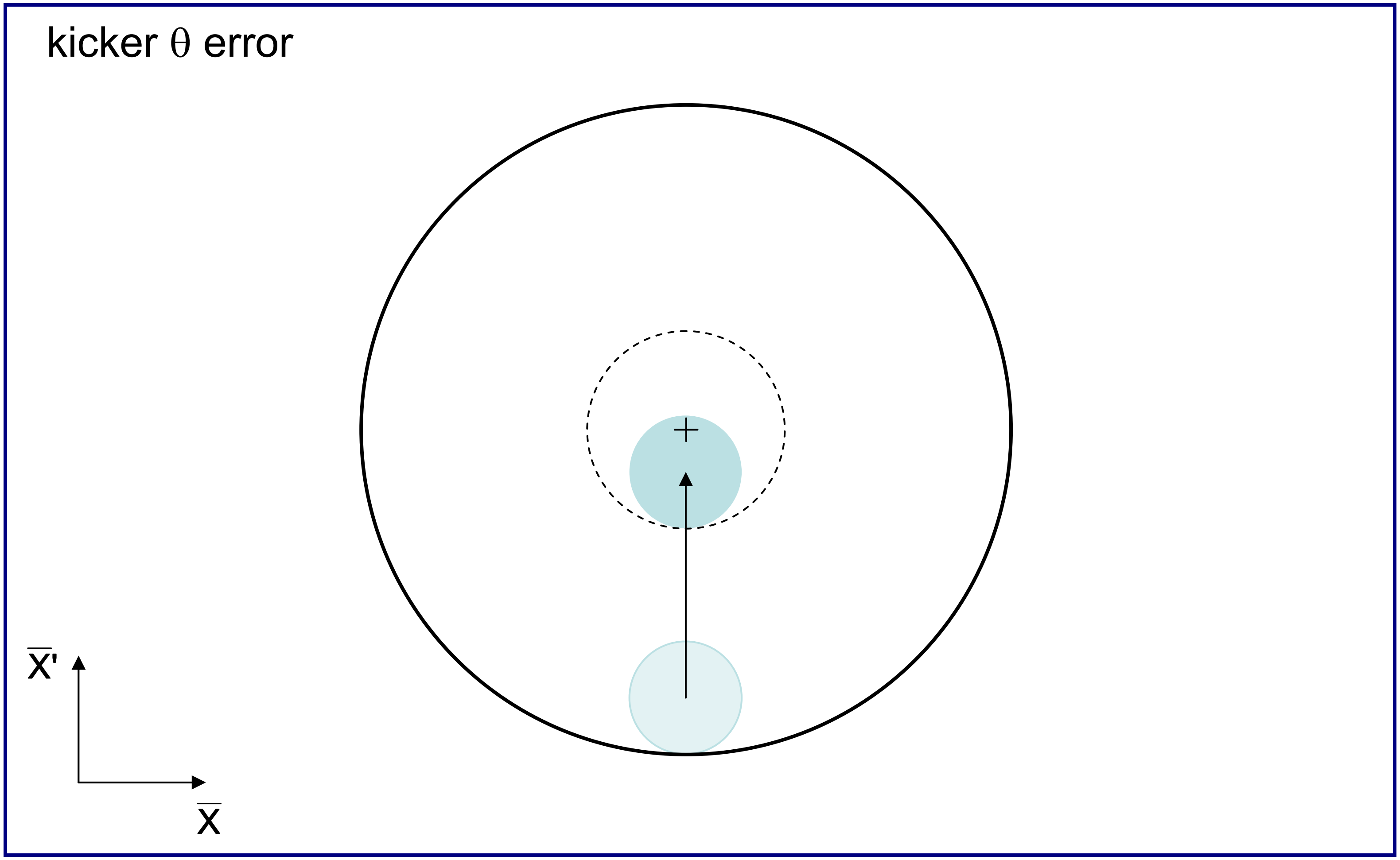
Single-turn injection – two plane



- Septum and kicker in different planes
- Allows use of iron septum – technically easier to build and more robust

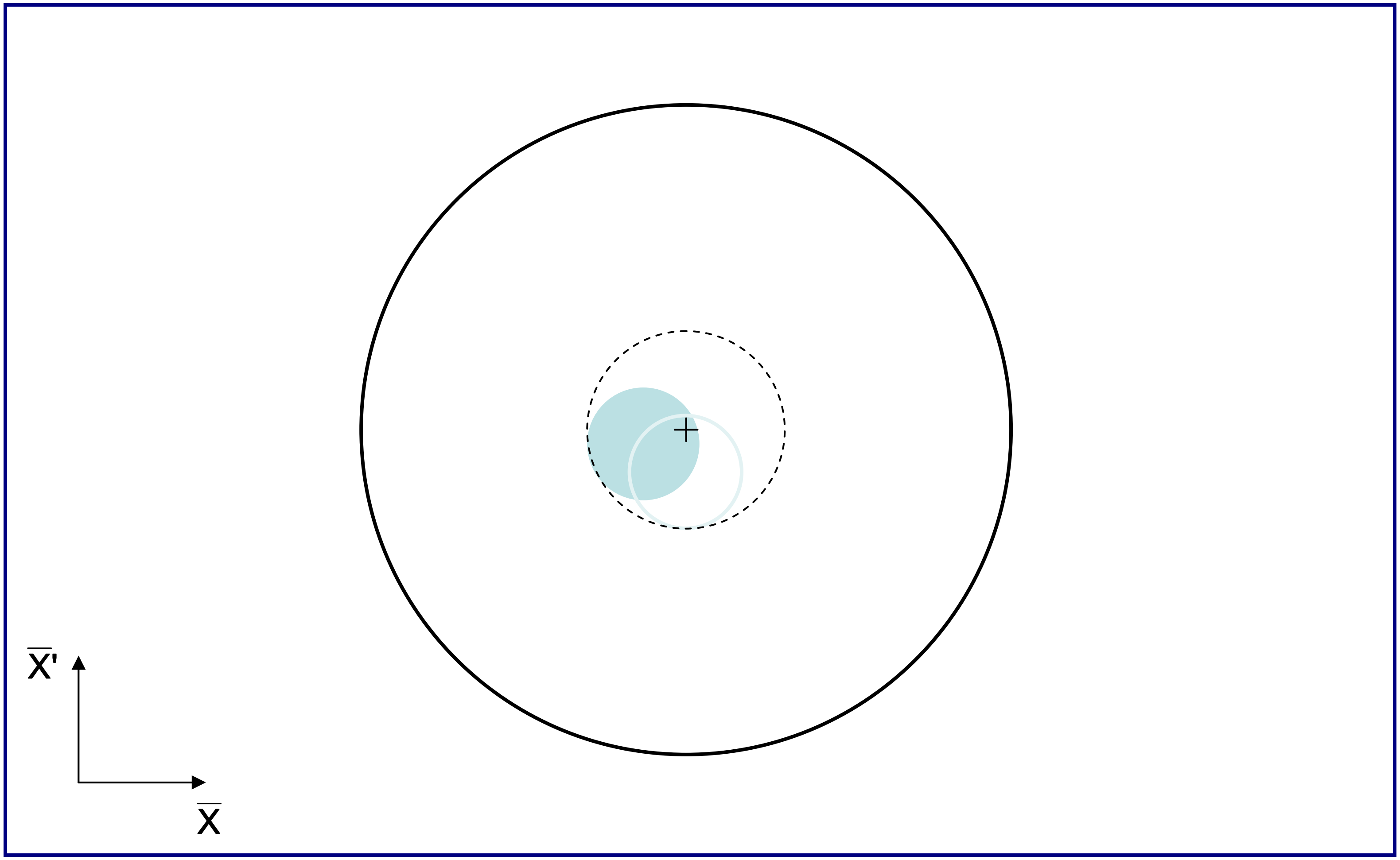
Injection oscillations

For imperfect injection the beam oscillates around the central orbit. 1



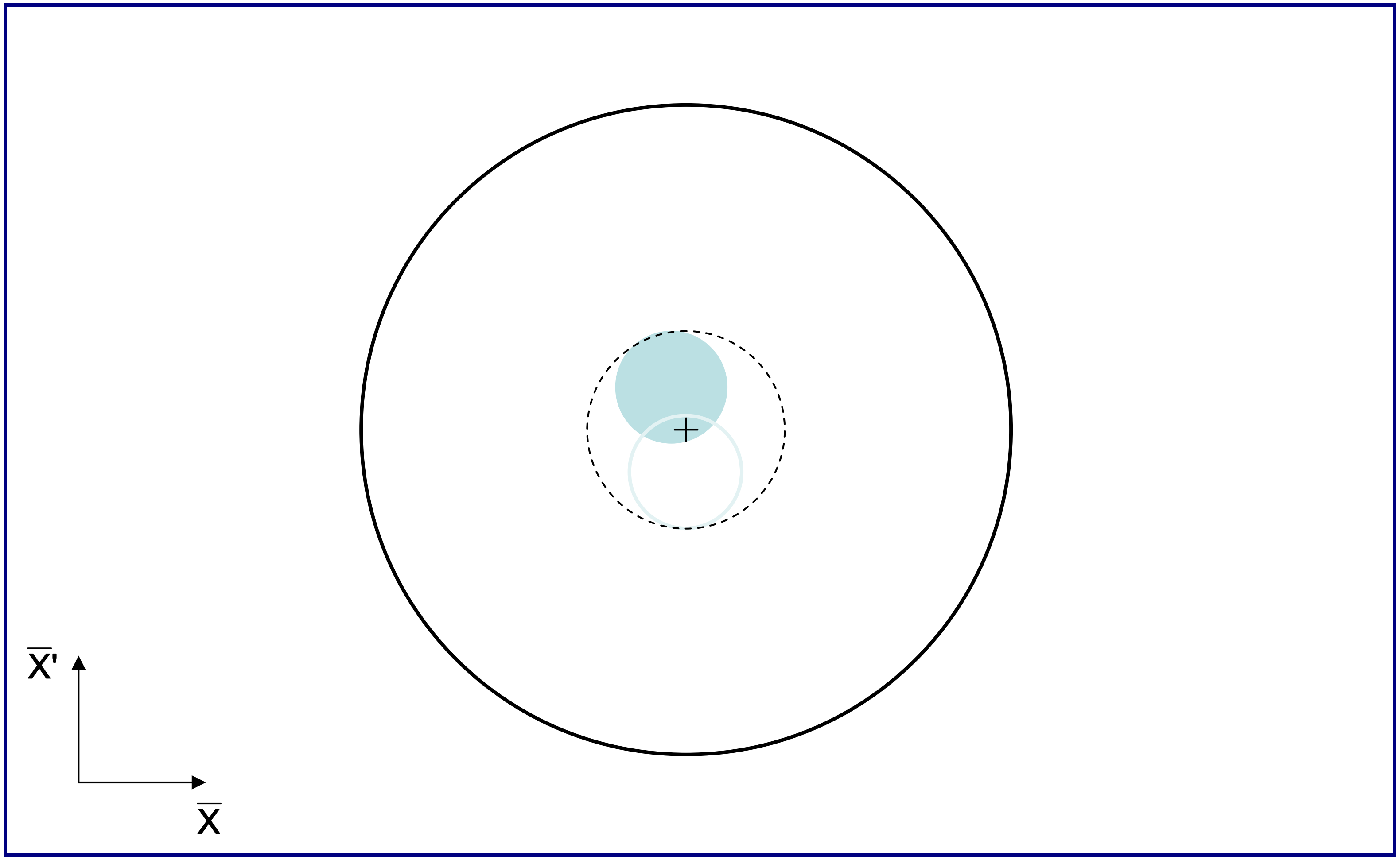
Injection oscillations

For imperfect injection the beam oscillates around the central orbit. 2



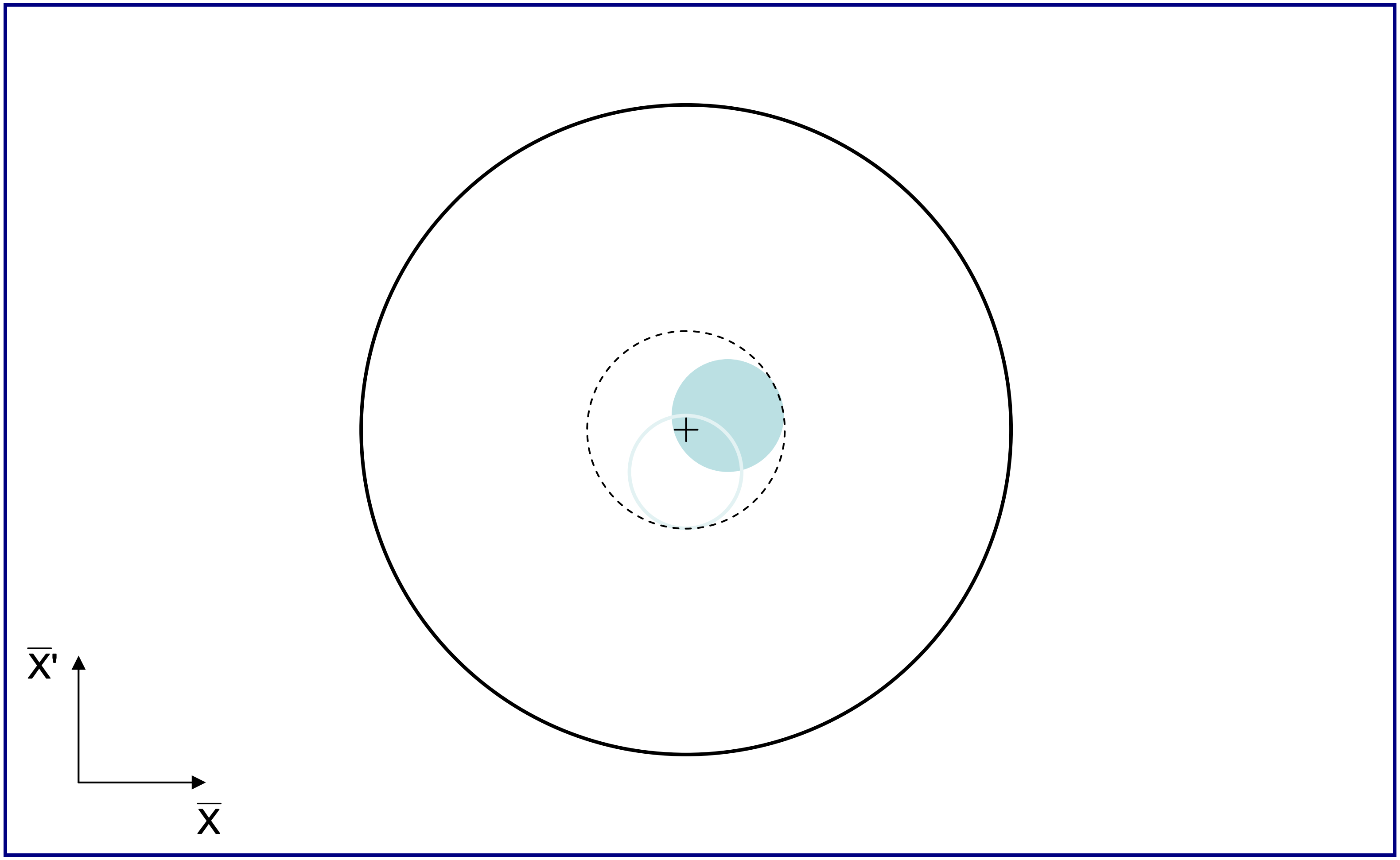
Injection oscillations

For imperfect injection the beam oscillates around the central orbit. 3



Injection oscillations

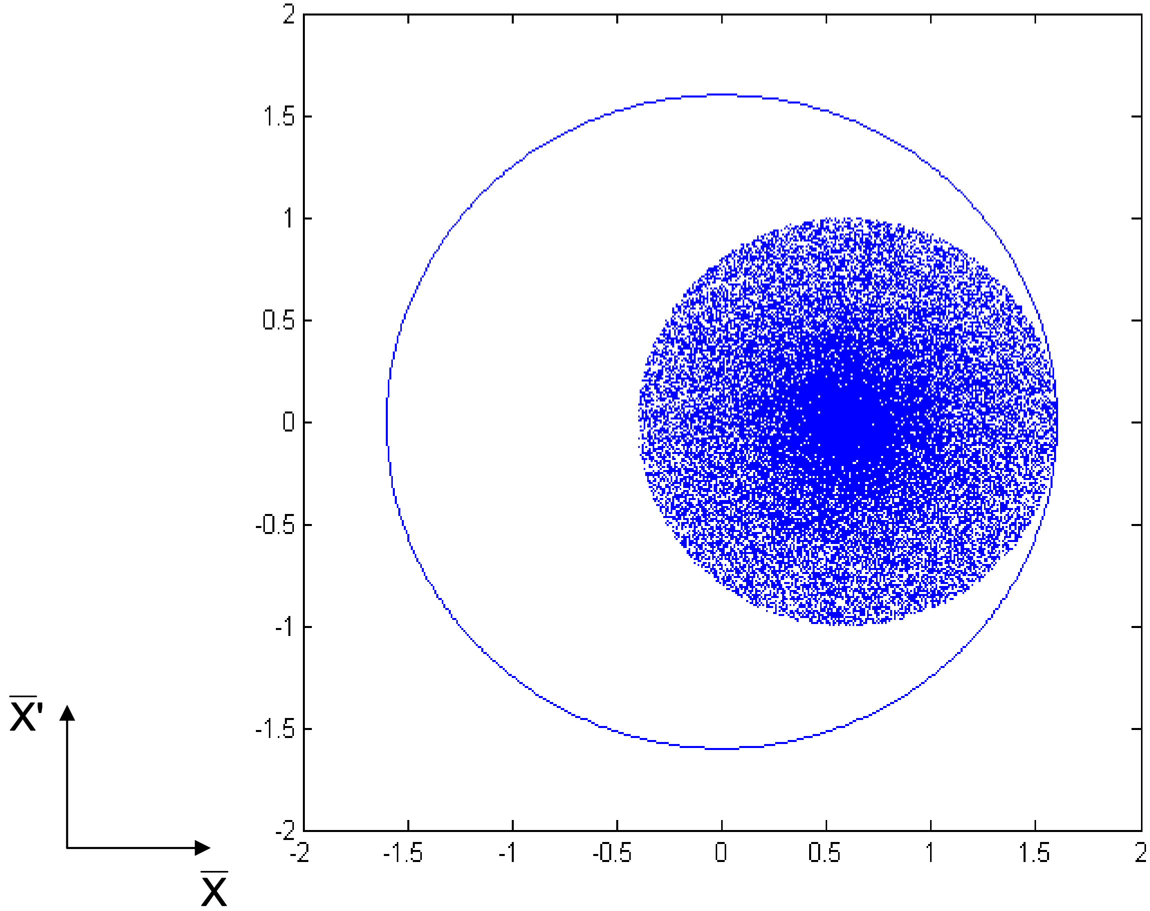
For imperfect injection the beam oscillates around the central orbit. 4



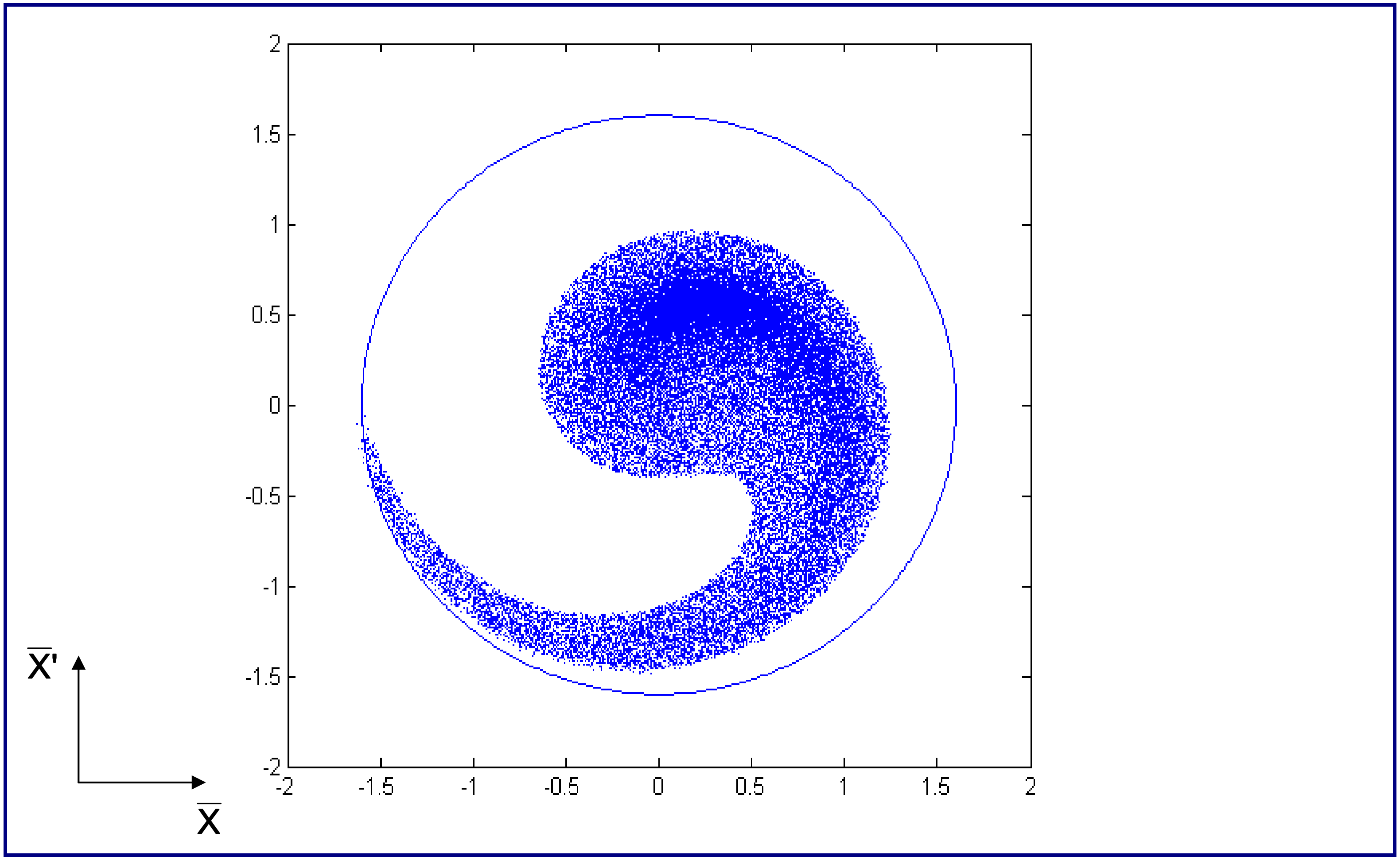
Filamentation

- Non-linear effects (e.g. magnetic field multipoles) present which introduce amplitude dependent effects into particle motion.
- Over many turns, a phase-space oscillation is transformed into an emittance increase.
- So any residual transverse oscillation will lead to an emittance blow-up through filamentation
  - "Transverse damper" systems used to damp injection oscillations - bunch position measured by a pick-up, which is linked to a kicker

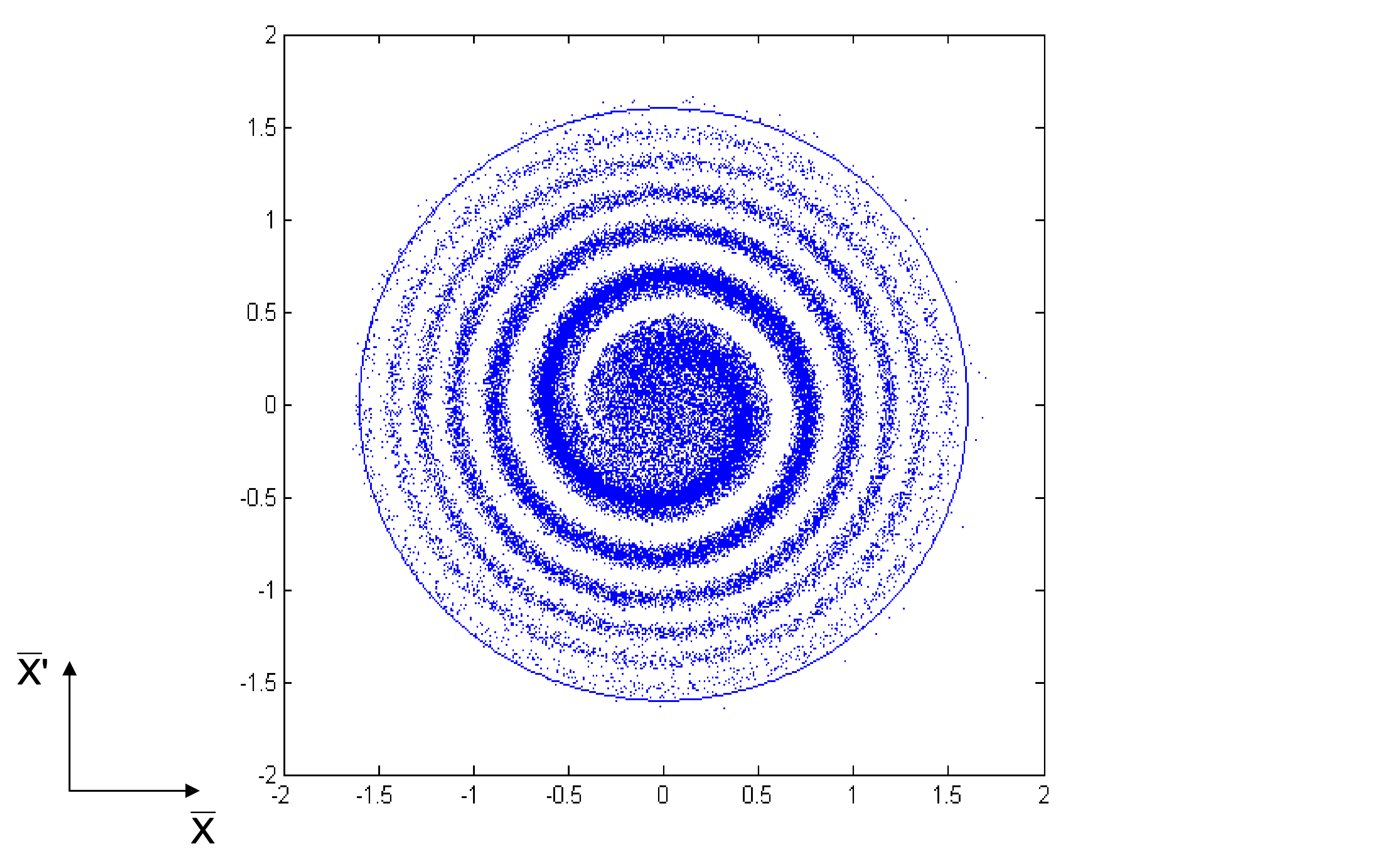
Filamentation



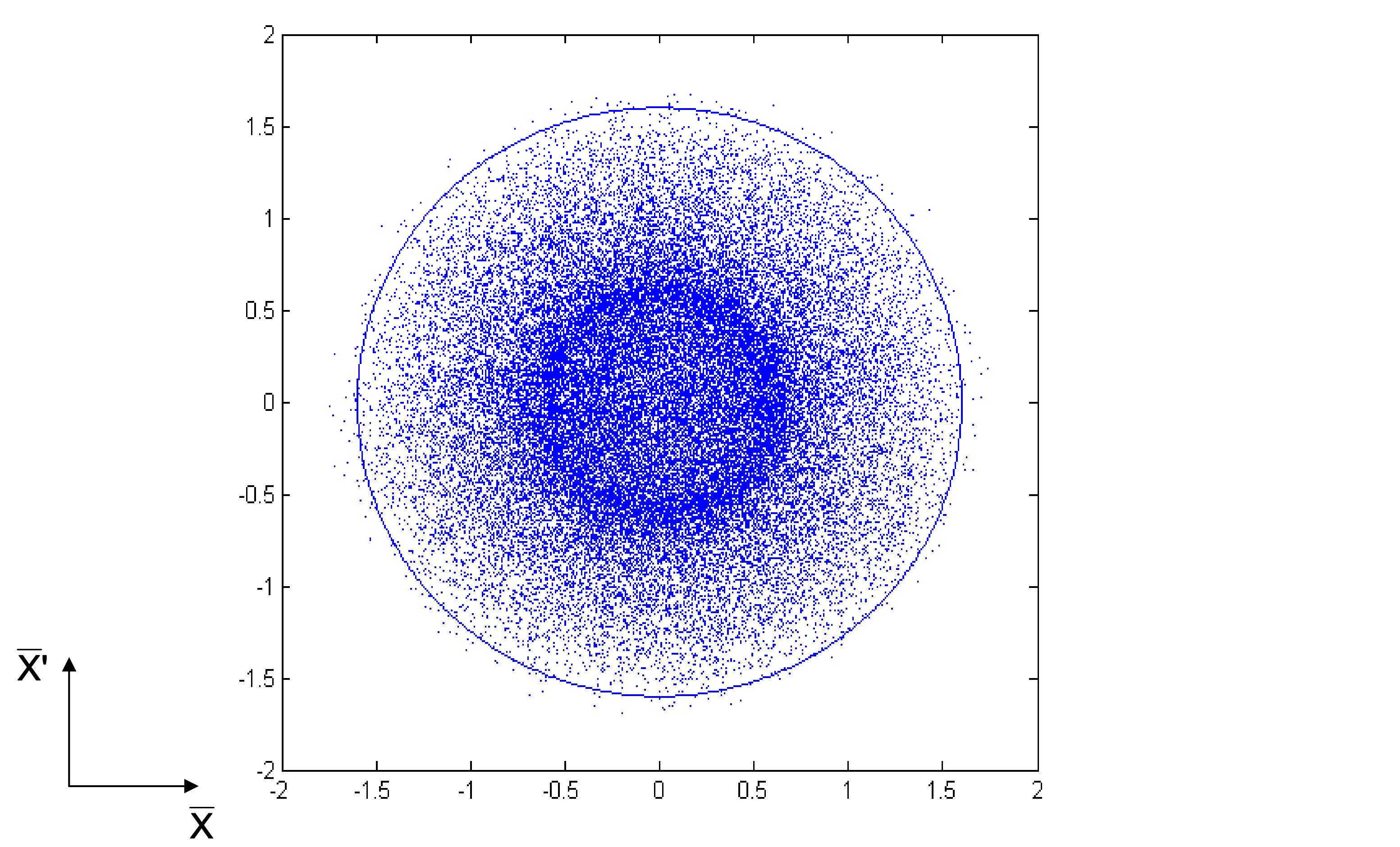
Filamentation



Filamentation



Filamentation



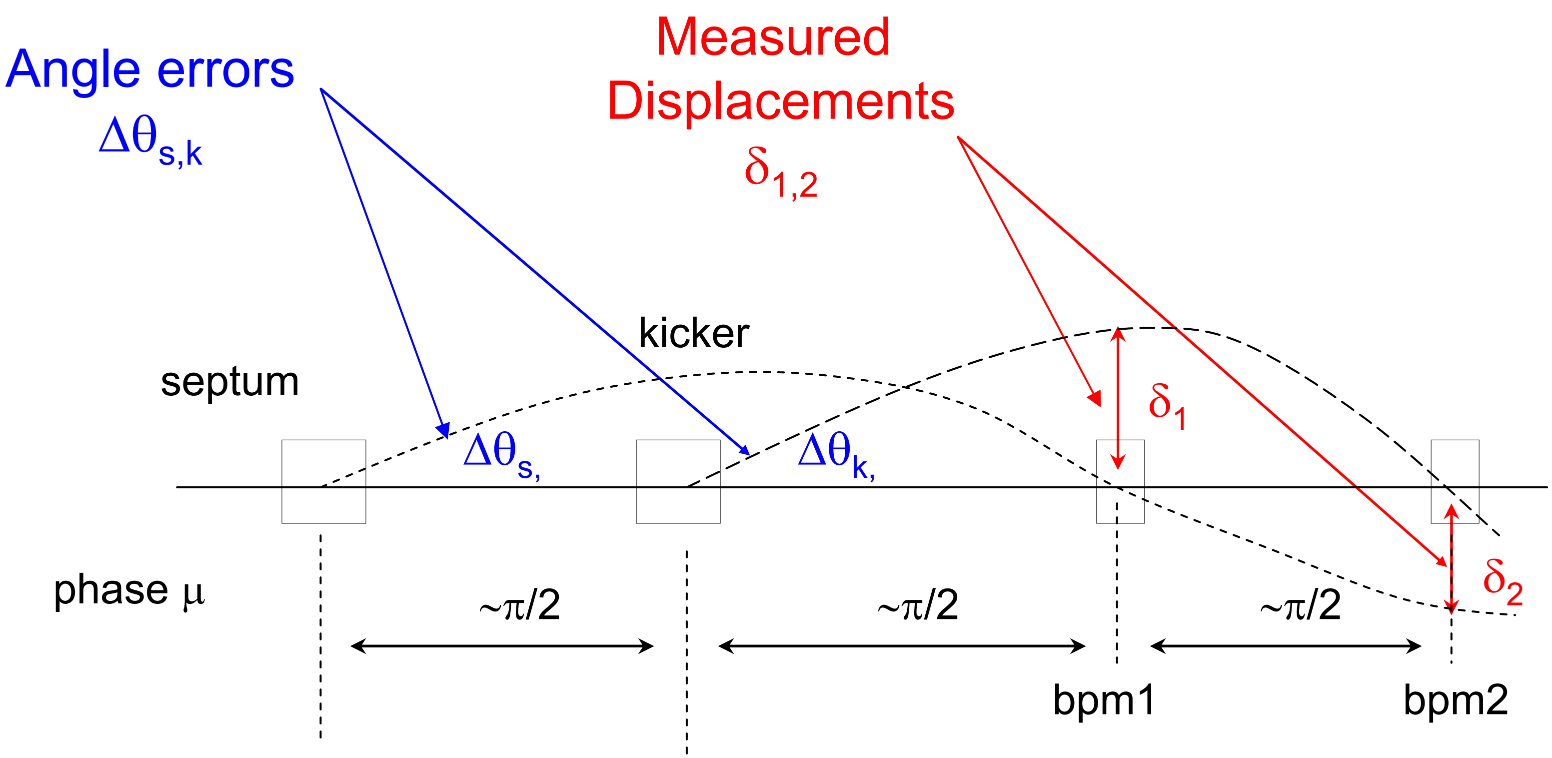
Steering (dipole) errors

- Static effects (e.g. from errors in alignment, field, calibration, …) are dealt with by trajectory correction (steering).
- But there are also dynamic effects, from:
  - Power supply ripples
  - Temperature variations
  - Non-trapezoidal kicker waveforms
- These dynamic effects produce a variable injection offset which can vary from batch to batch, or even within a batch.
- Can quantify the effect on the beam emittance ("blow-up") in terms of the injection offset $\Delta\mathbf{a}$ (in units of nominal beam sigma)

$$\varepsilon_{new} = \varepsilon_0\left(1 + \Delta\mathbf{a}^2 / 2\right)$$

- e.g. for $\Delta\mathbf{a} = 0.5\ \sigma$, we find $\varepsilon_{new} = 1.125\ \varepsilon_0$

Injection errors



$$\delta_1 = \Delta\theta_s \sqrt{(\beta_s\beta_1)} \sin(\mu_1 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k\beta_1)} \sin(\mu_1 - \mu_k)$$

$$\approx \Delta\theta_k \sqrt{(\beta_k\beta_1)}$$

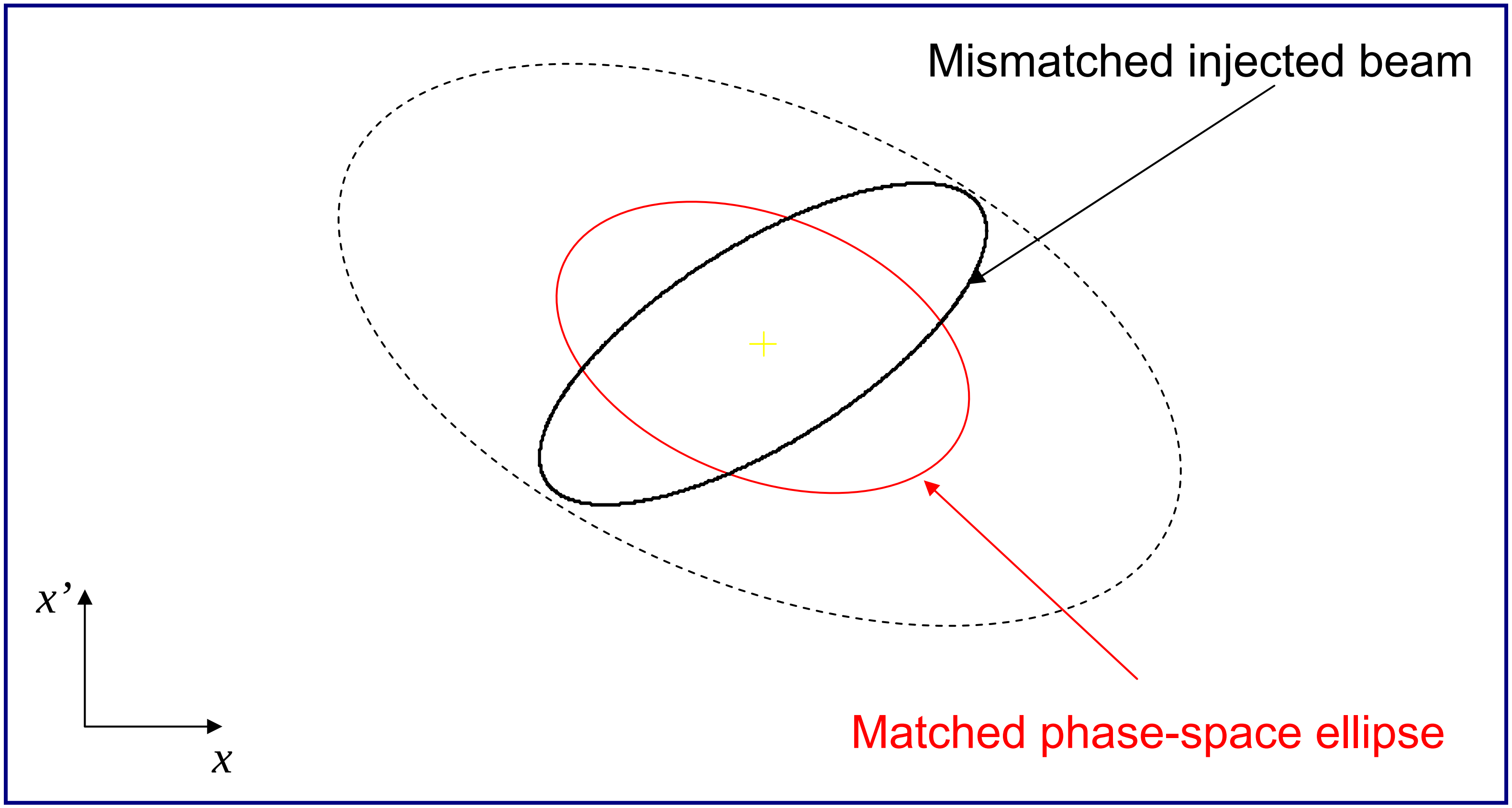
$$\delta_2 = \Delta\theta_s \sqrt{(\beta_s\beta_2)} \sin(\mu_2 - \mu_s) + \Delta\theta_k \sqrt{(\beta_k\beta_2)} \sin(\mu_2 - \mu_k)$$

$$\approx -\Delta\theta_s \sqrt{(\beta_s\beta_2)}$$

Optical Mismatch at Injection

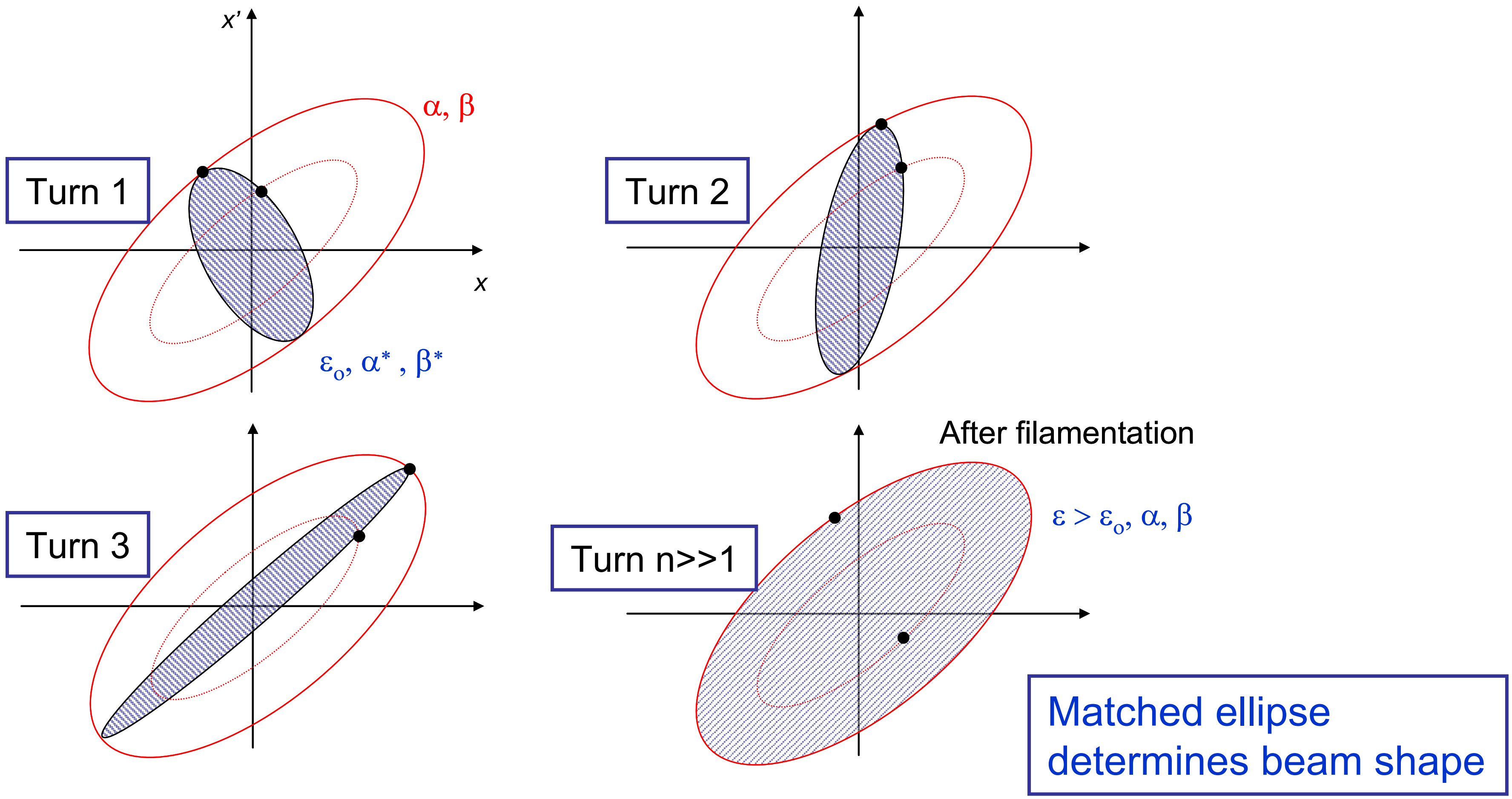
- Can also have an emittance blow-up through optical mismatch
- Individual particles oscillate with conserved CS invariant:

$$a_x = \gamma x2 + 2\alpha xx' + \beta x'2$$



Optical mismatch at injection

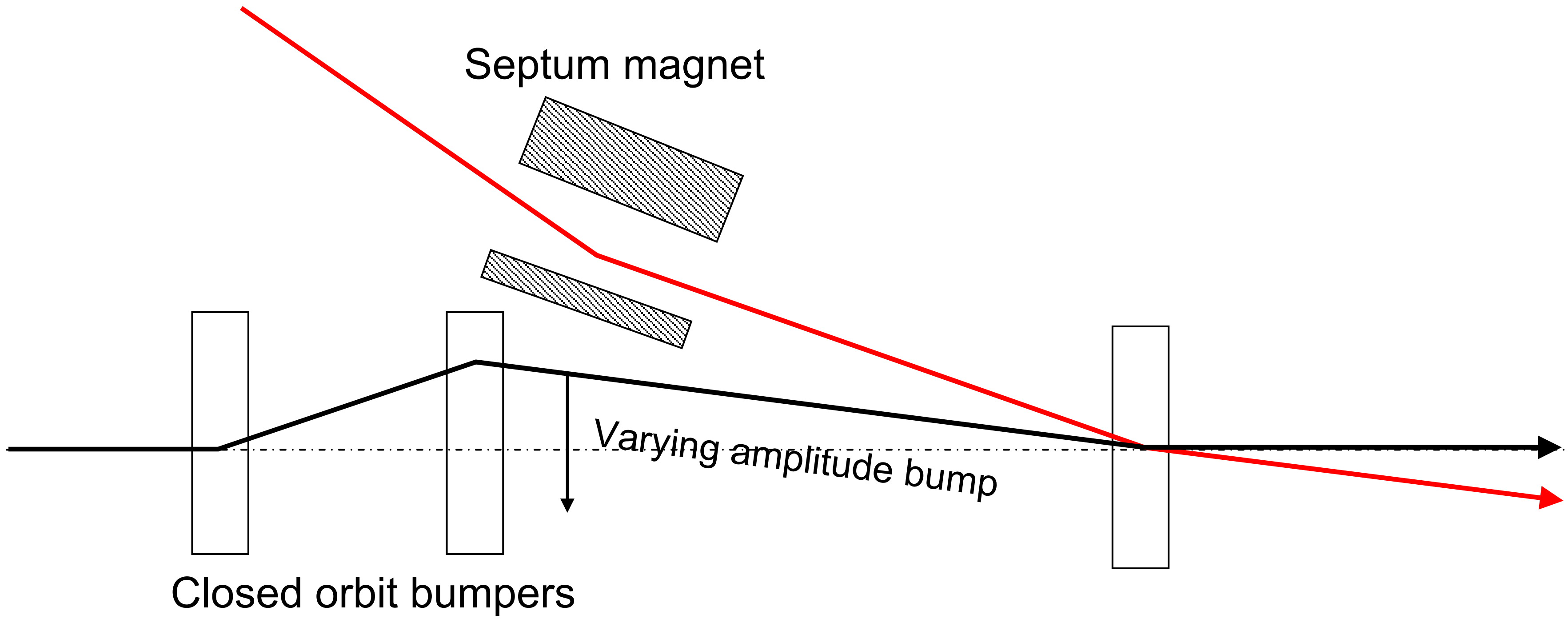
- Injected beam of emittance ε, characterised by a different ellipse (α^*, β^*) to matched ellipse (α, β), generates (via filamentation) a large ellipse with original shape (α, β), but larger ε



Multi-turn injection

- For hadrons the beam density at injection can be limited either by space charge effects or by the injector capacity
- If we cannot increase charge density, we can sometimes fill the horizontal phase space to increase overall injected intensity.
  - Condition that the acceptance of receiving machine is larger than the delivered beam emittance

Multi-turn injection for hadrons



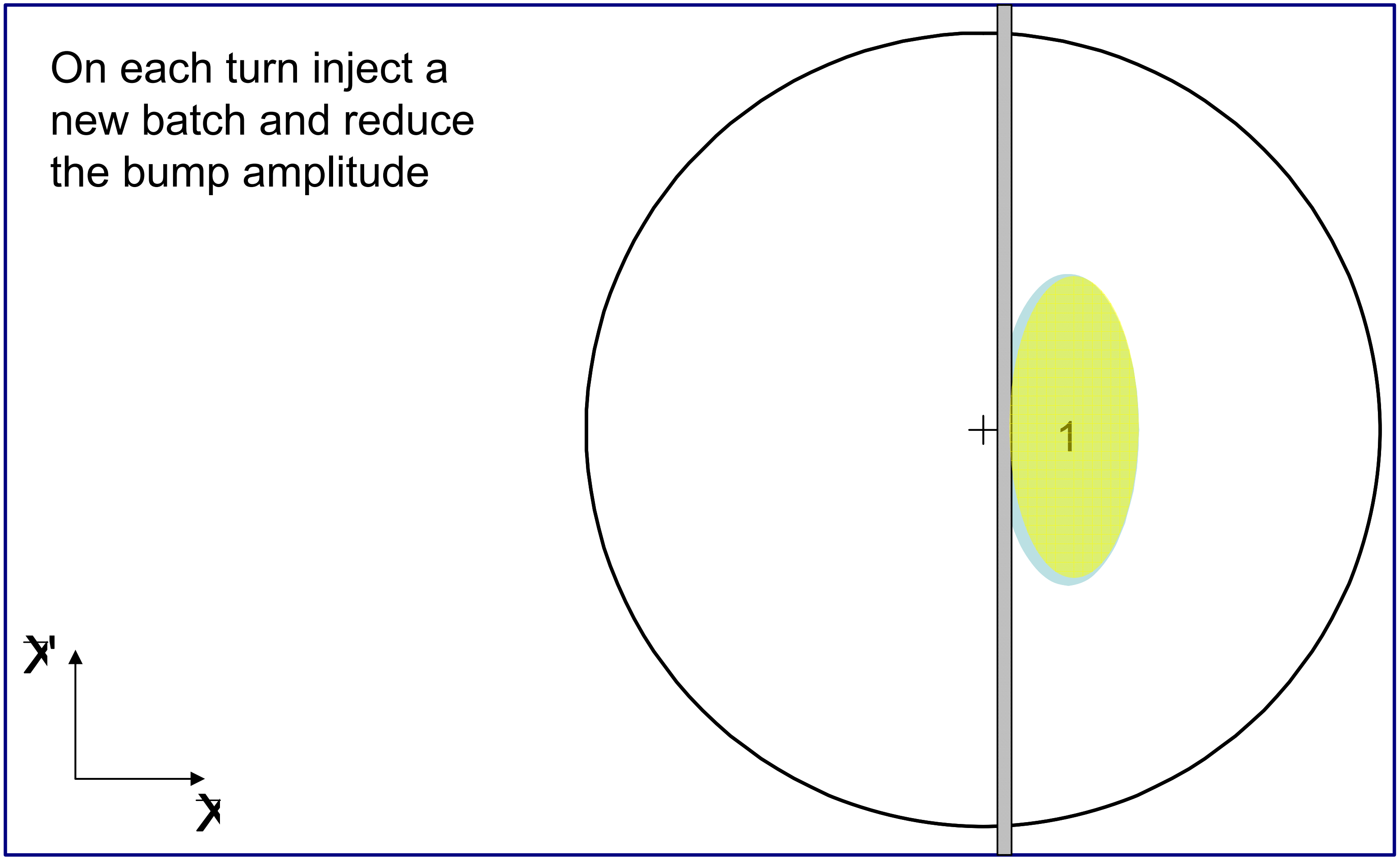
- No kicker
- Bump amplitude decreases and inject a new bunch at each turn
- Phase-space "painting"

Multi-turn injection for hadrons

Example: CERN PSB injection, fractional tune Qh = 0.25
Beam rotates $\pi/2$ per turn in phase space

Turn 1

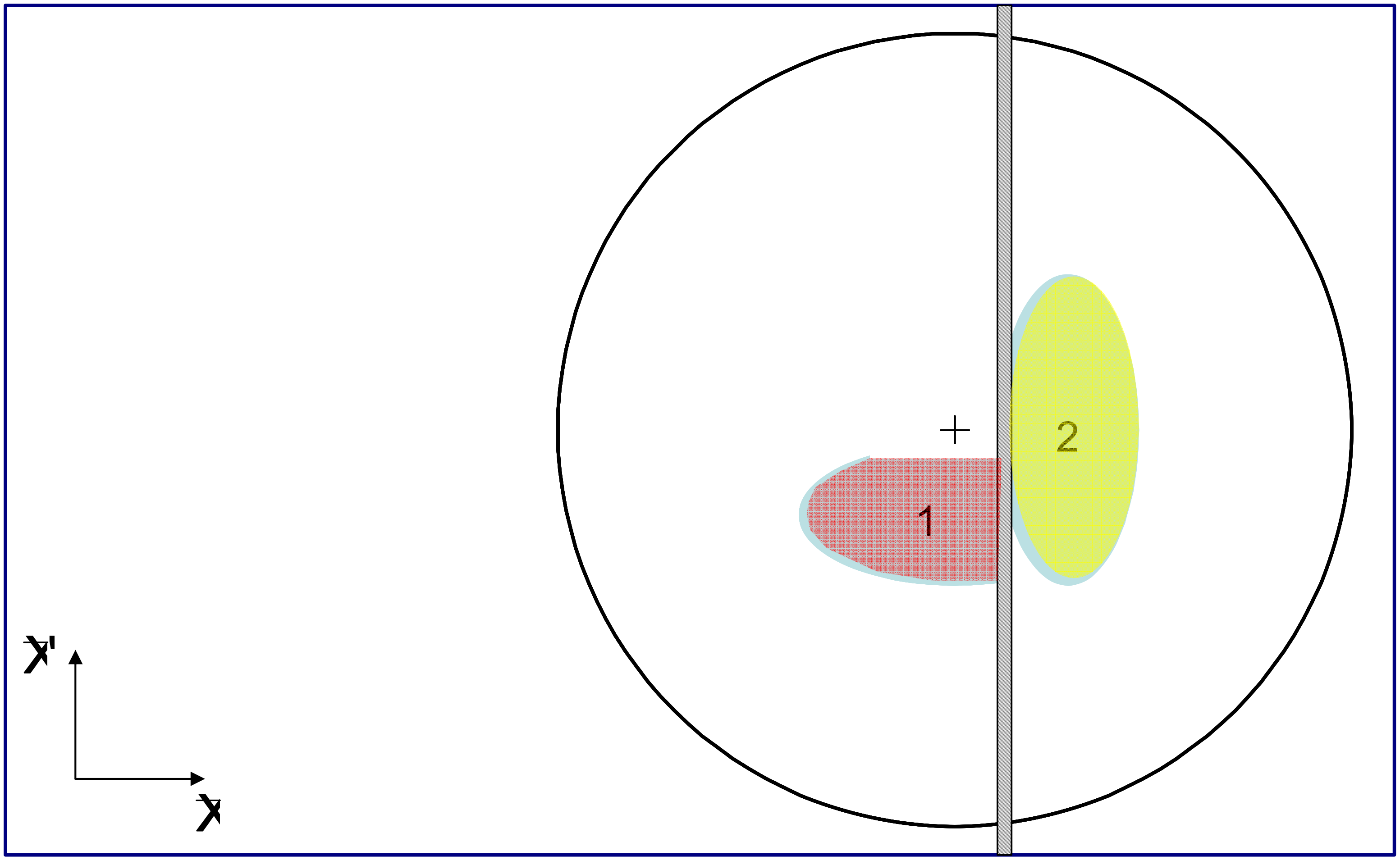
On each turn inject a new batch and reduce the bump amplitude



Septum

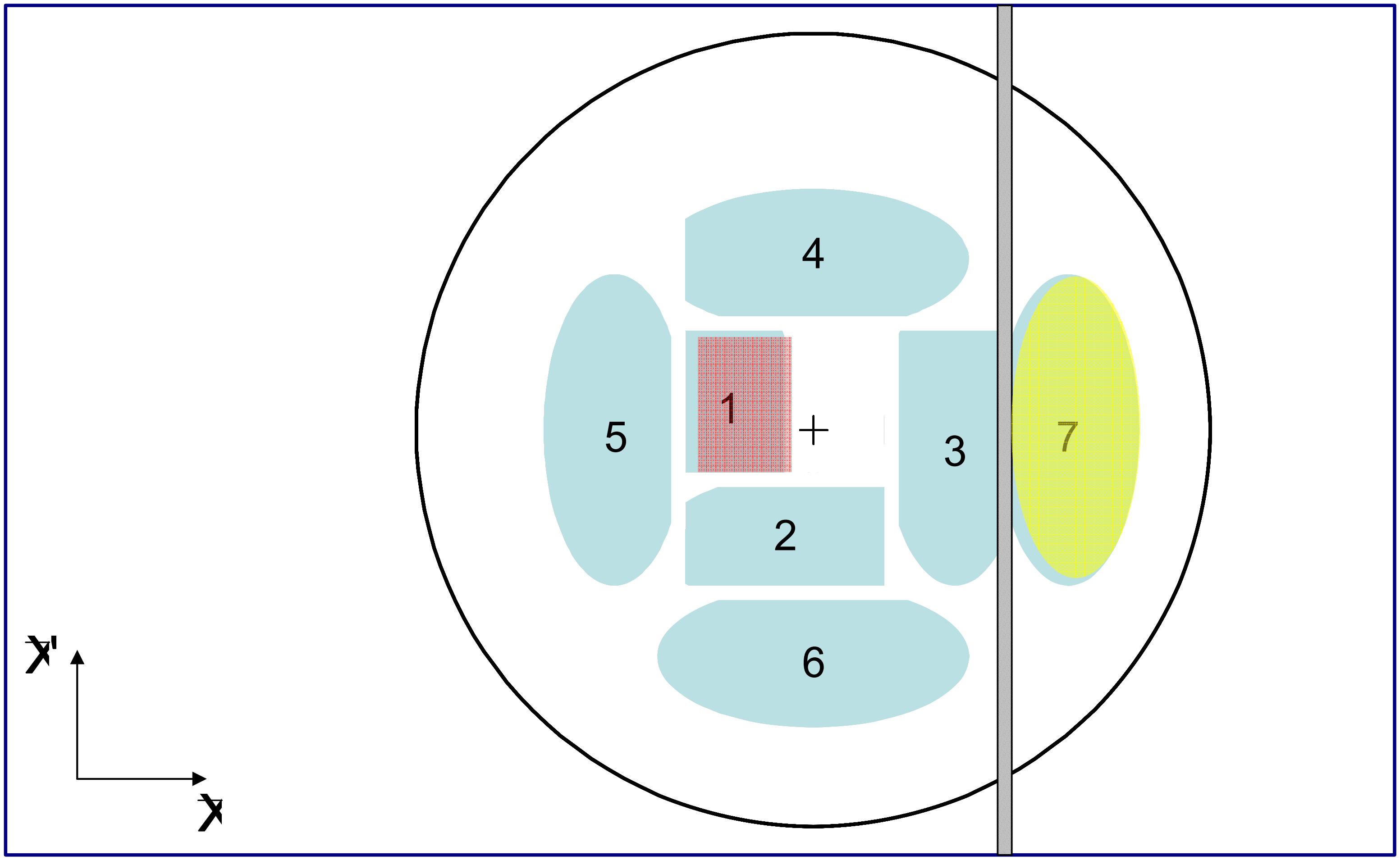
Multi-turn injection for hadrons

Turn 2



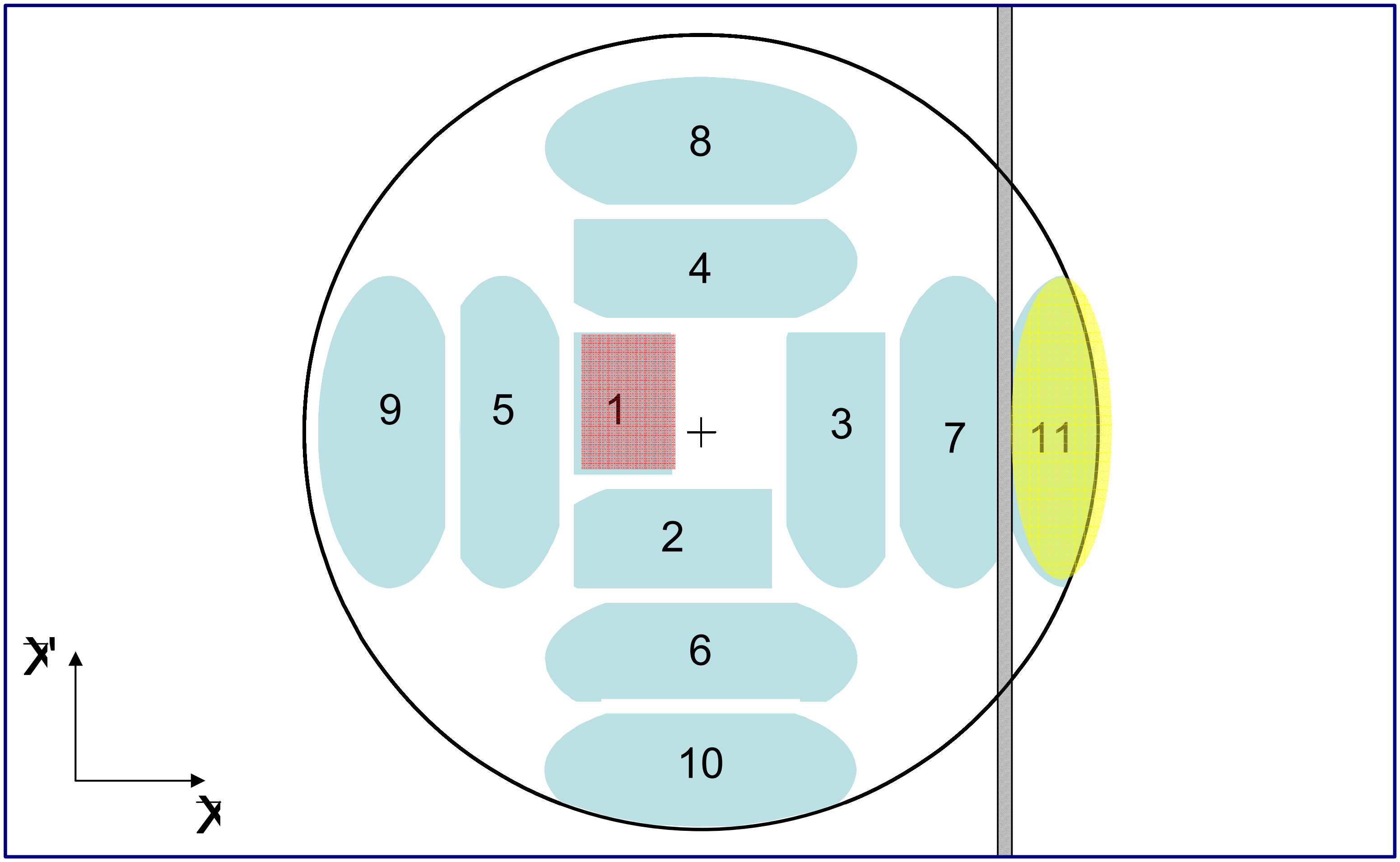
Multi-turn injection for hadrons

Turn 7



Multi-turn injection for hadrons

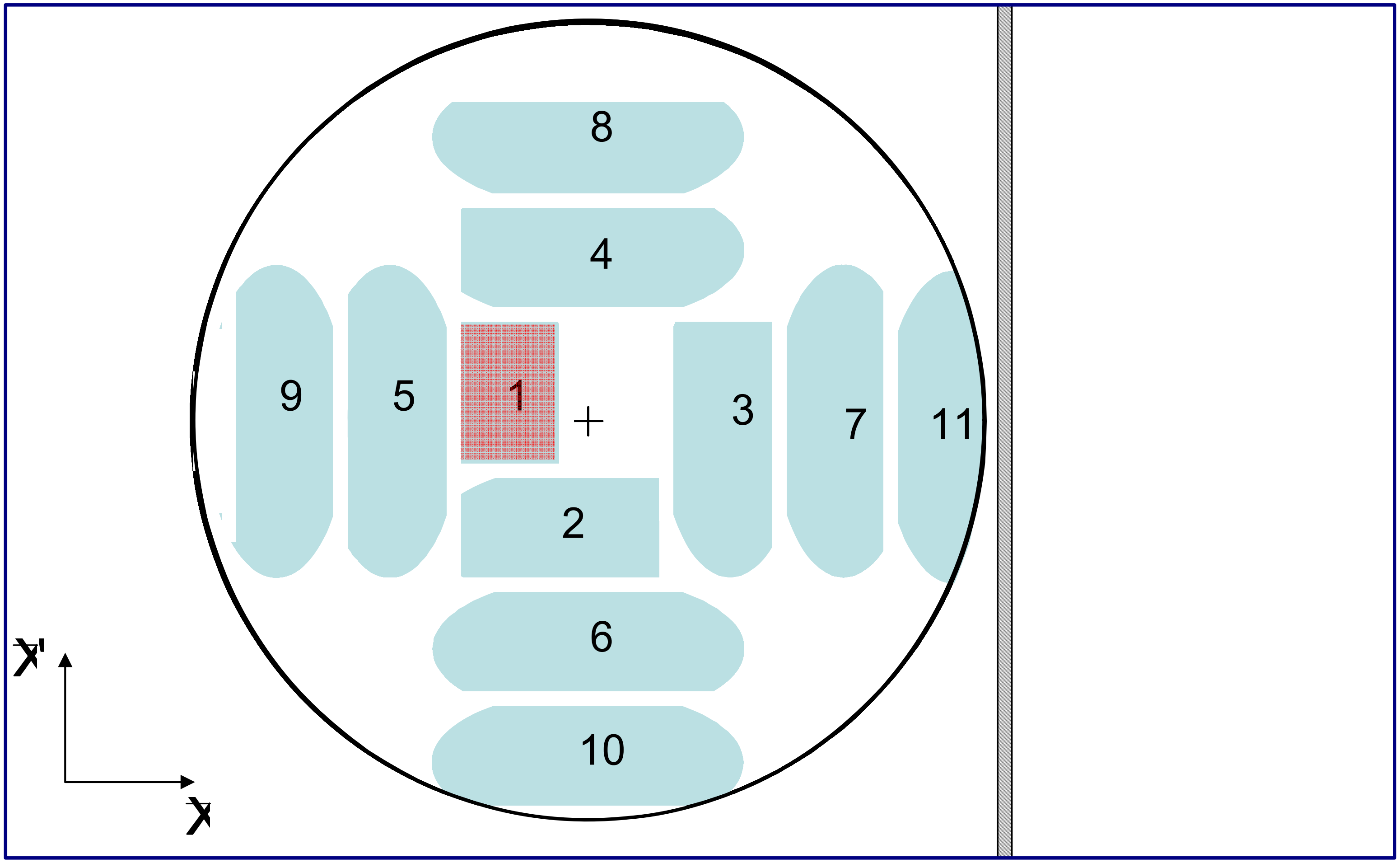
Turn 11



Multi-turn injection for hadrons

Phase space has been "painted"

Turn 15



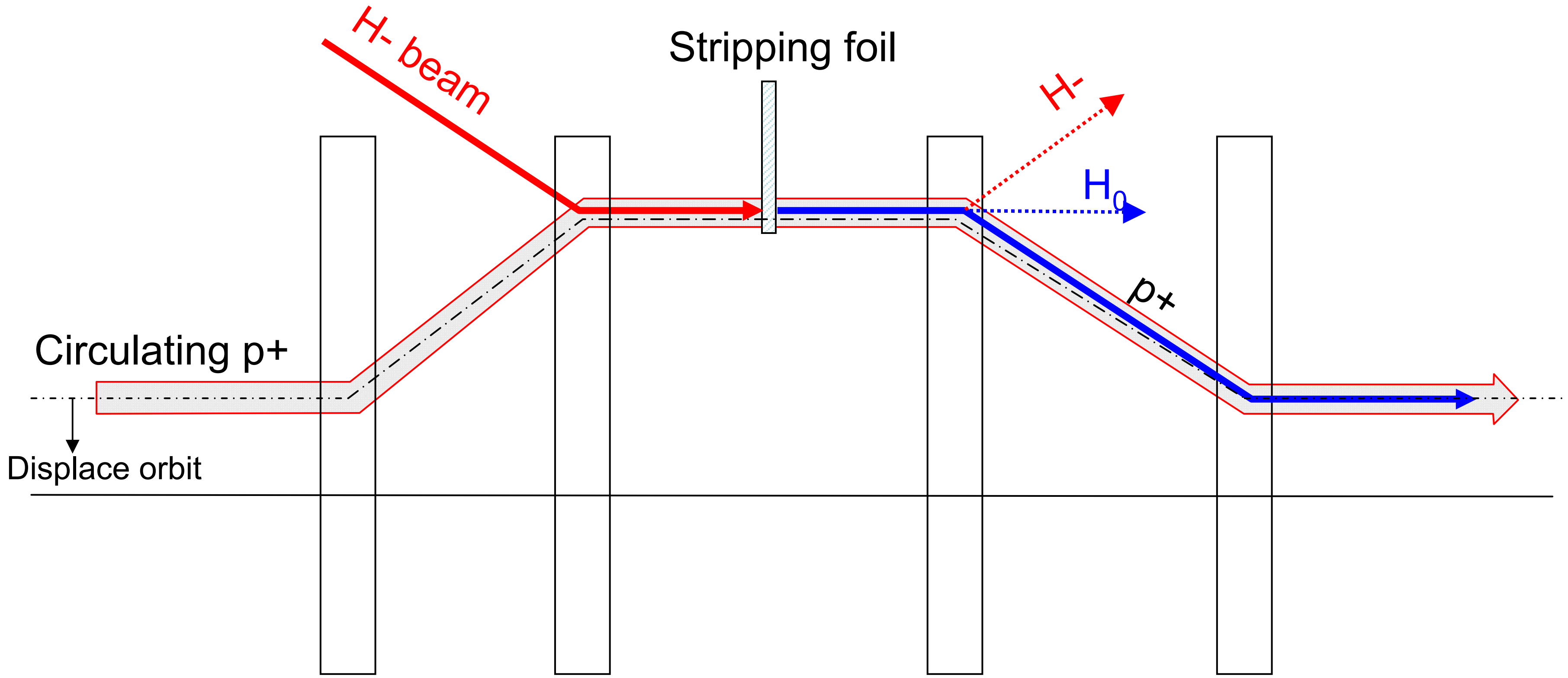
In reality filamentation occurs to produce a quasi-uniform beam

Charge exchange H- injection

- Multiturn injection is essential to accumulate high intensity
- Disadvantages inherent in using an injection septum
  - Width of several mm reduces aperture
  - Beam losses from circulating beam hitting septum
  - Limits number of injected turns to 10-20
- Charge-exchange injection provides elegant alternative
  - Possible to “beat” Liouville’s theorem, which says that emittance is conserved….
  - Convert H^- to p^+ using a thin stripping foil, allowing injection into the same phase space area

Charge exchange H- injection

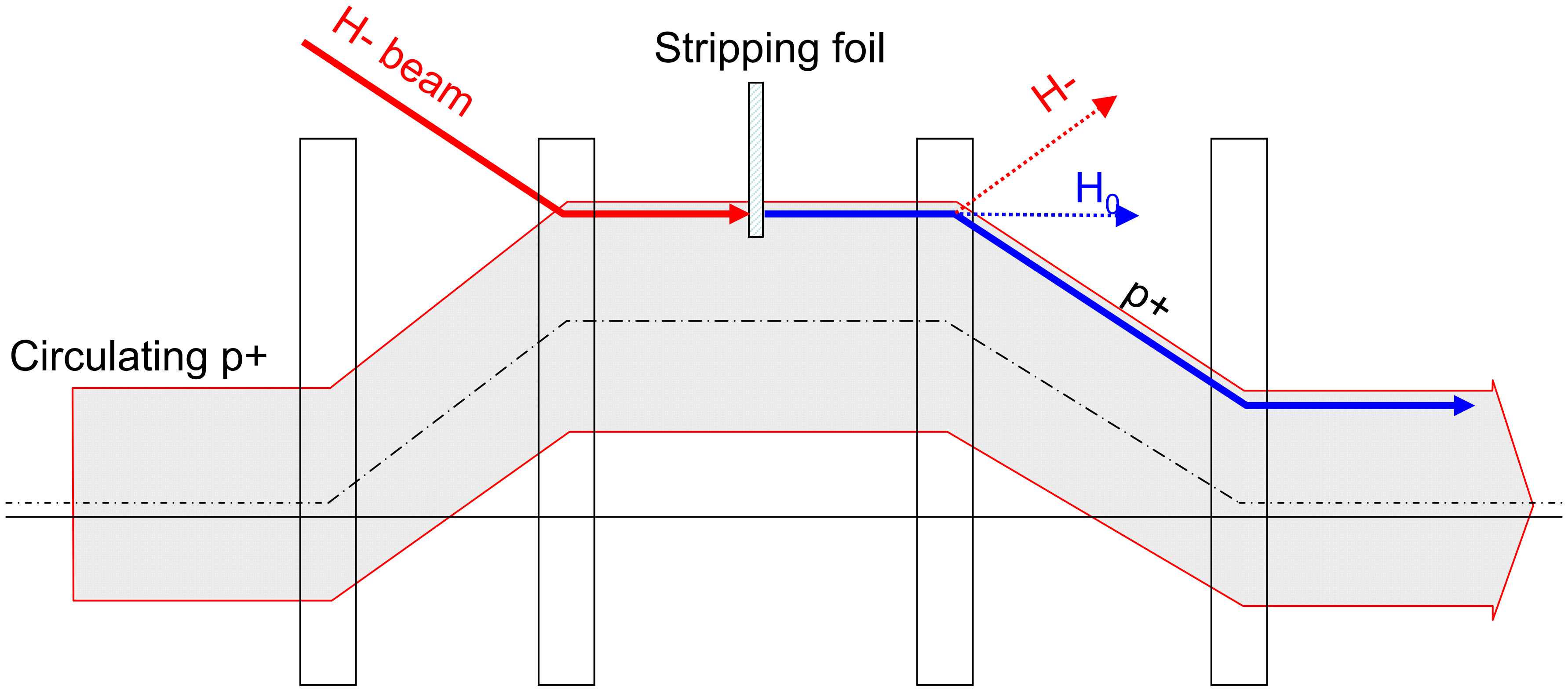
Start of injection process



Injection chicane dipoles

Charge exchange H- injection

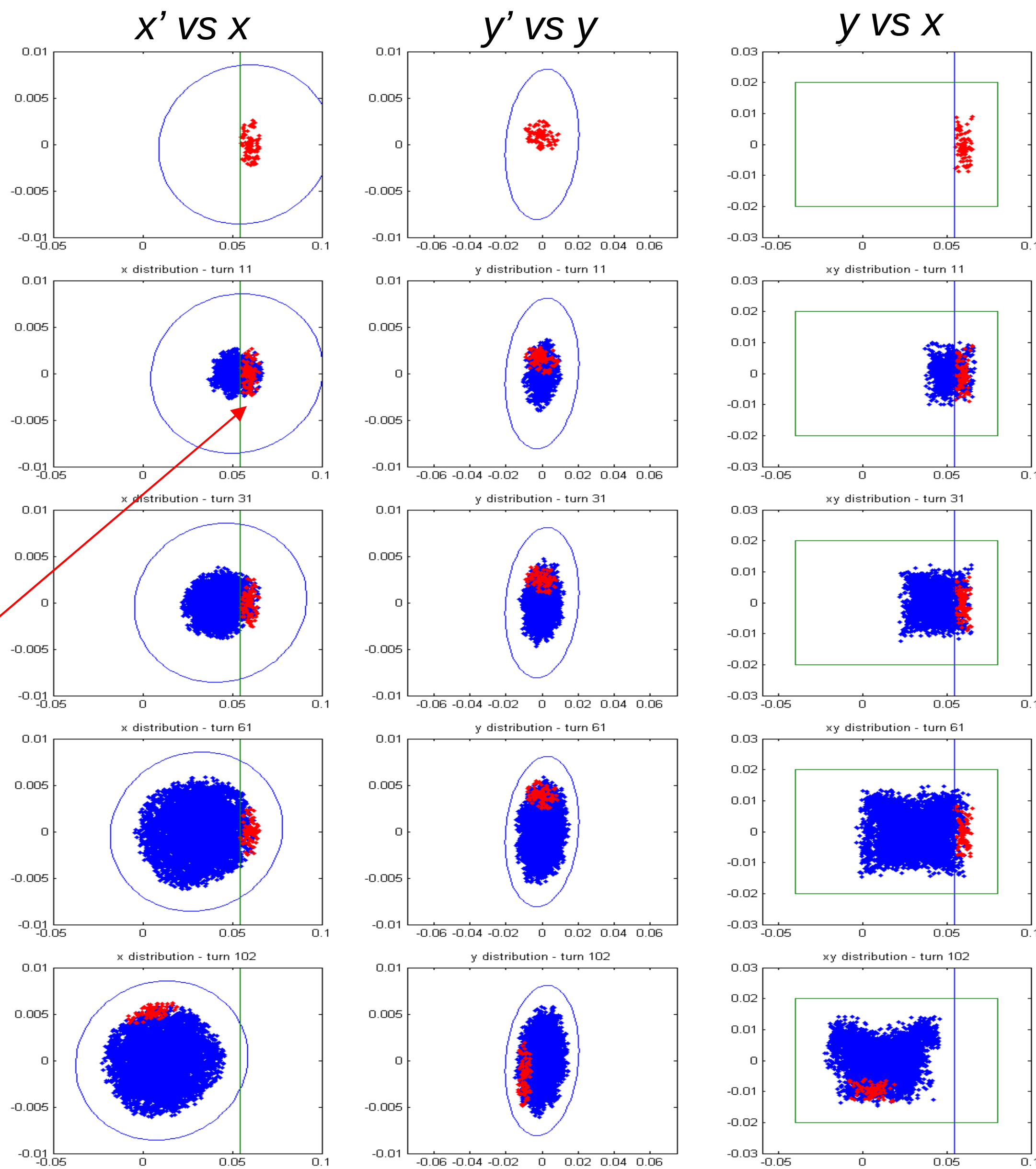
End of injection process



Charge exchange H- injection

- Paint uniform transverse phase space density by modifying closed orbit bump and steering injected beam
- Foil thickness calculated to double-strip most ions (>99%)
  - 50 MeV - 50 μg.cm-2
  - 800 MeV - 200 μg.cm-2 (~1μm of C!)
- Carbon foils generally used – very fragile
- Injection chicane reduced or switched off after injection, to avoid excessive foil heating and beam blow up

H- injection - painting



Note injection into same phase space area as circulating beam

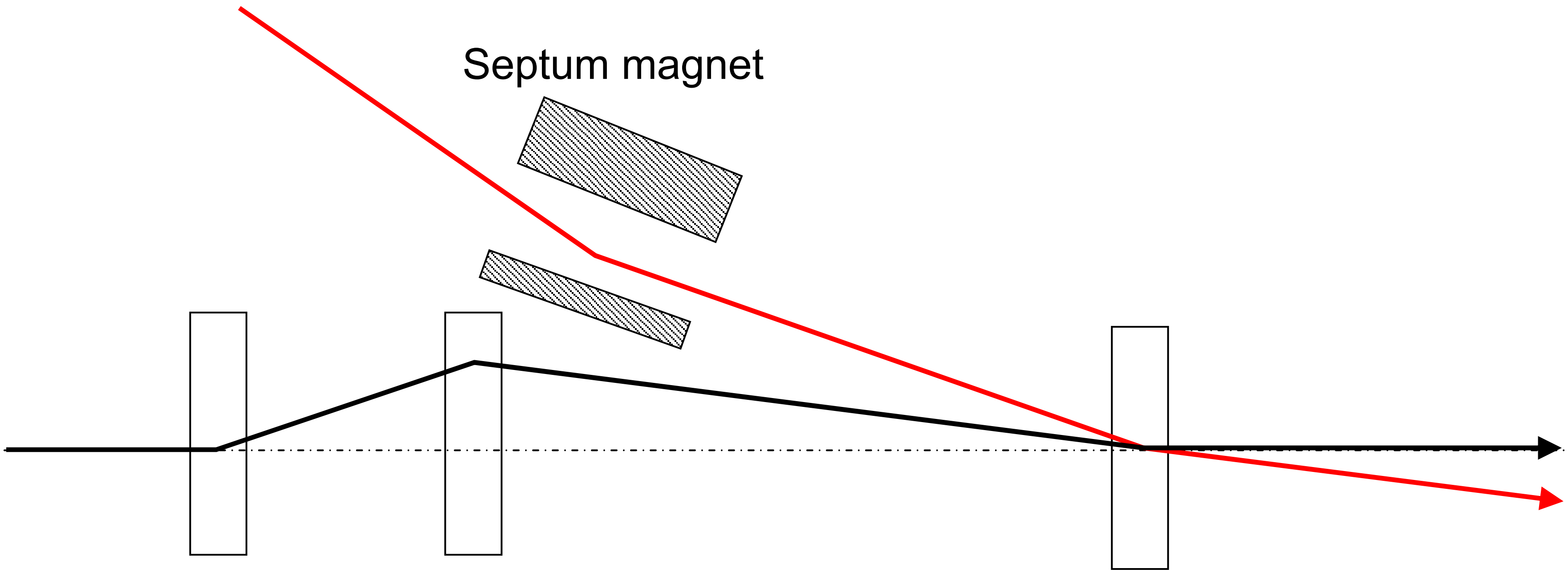
Time

~100 turns

Lepton injection

- Single-turn injection can be used as for hadrons; however, lepton motion is strongly damped (different with respect to proton or ion injection).
  - Synchrotron radiation
- Can use transverse or longitudinal damping:
  - Transverse - Betatron accumulation
  - Longitudinal - Synchrotron accumulation

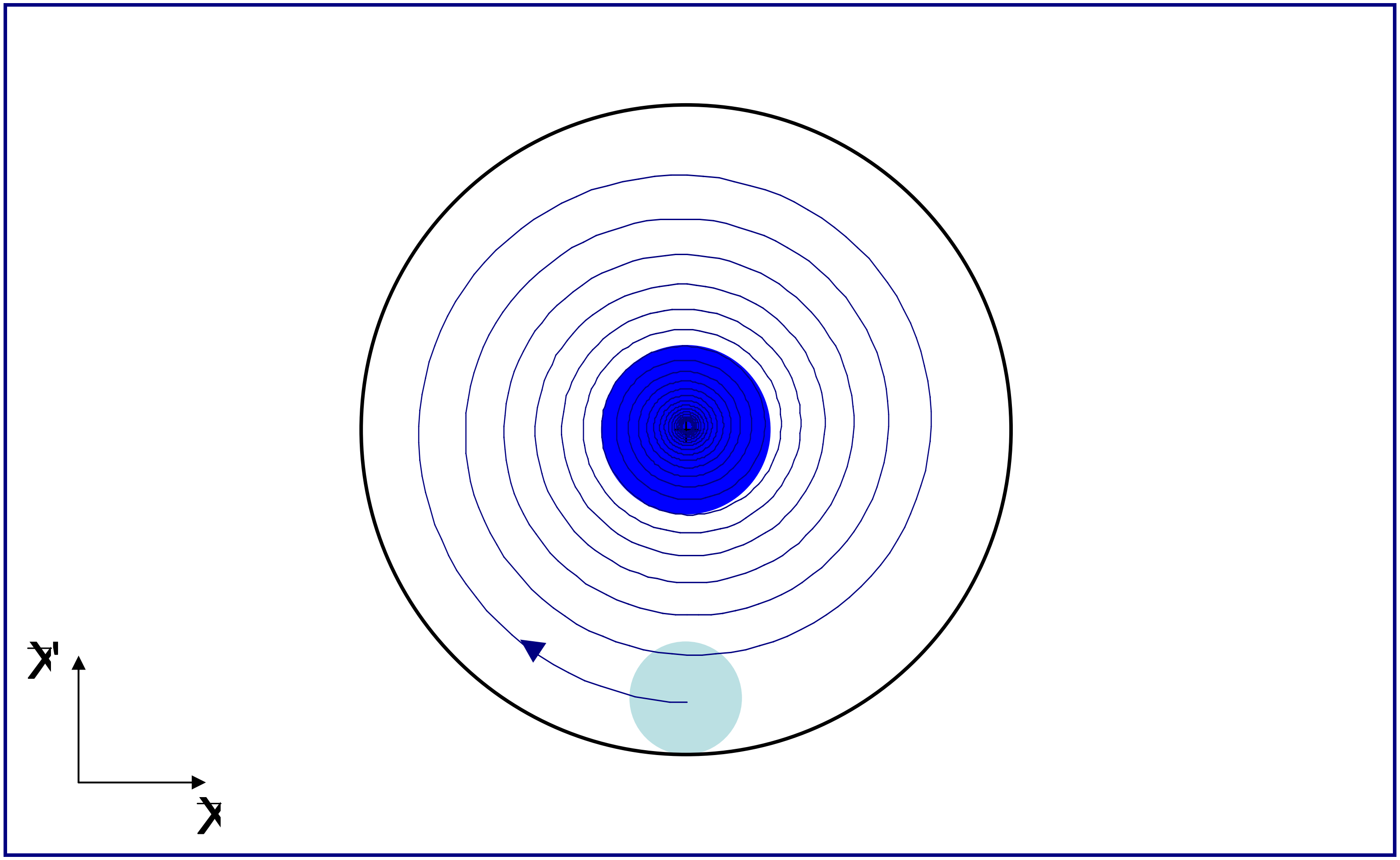
Betatron lepton injection



- Beam is injected with an angle with respect to the closed orbit
- Injected beam performs damped betatron oscillations about the closed orbit

Betatron lepton injection

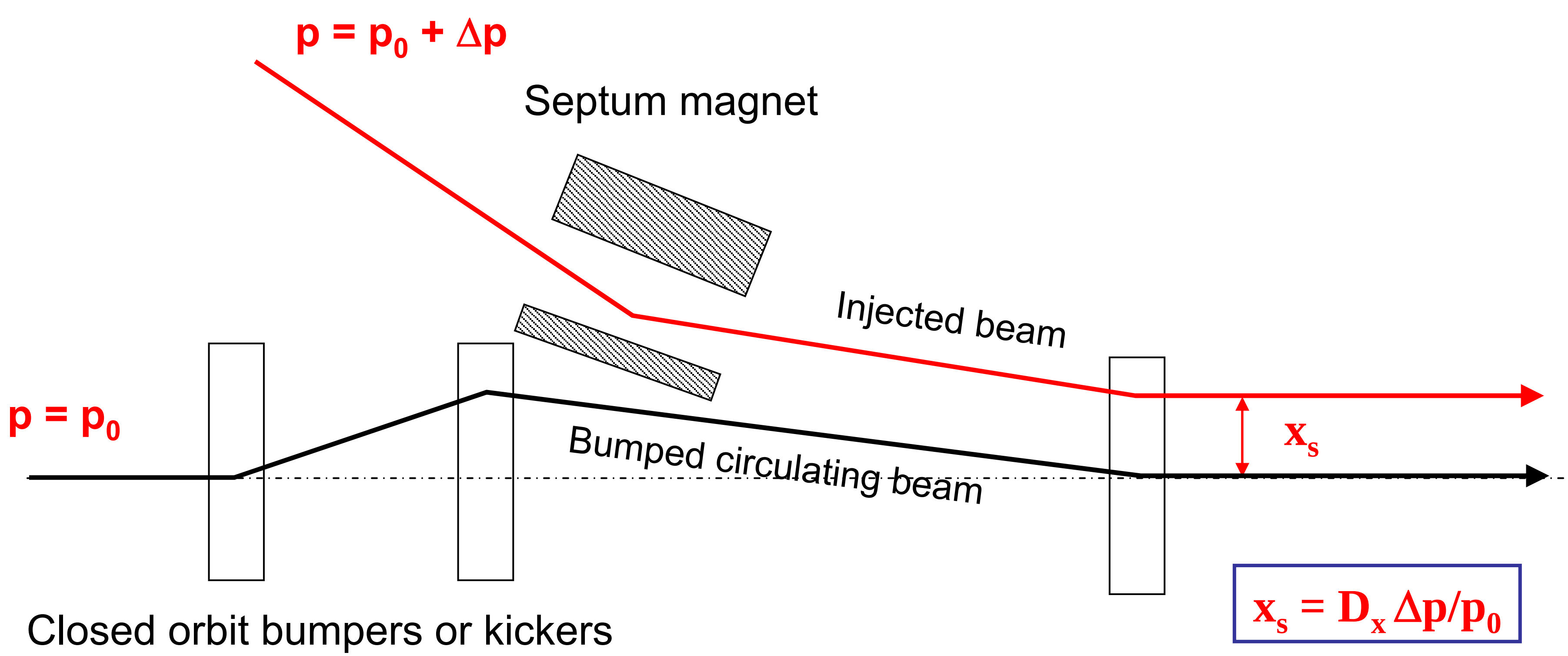
Injected bunch performs damped betatron oscillations



In LEP at 20 GeV, the damping time was about 6’000 turns (0.6 seconds)

Synchrotron lepton injection

Inject an off-momentum beam



$x_s = D_x \Delta p/p_0$

- Beam injected parallel to circulating beam, onto dispersion orbit of a particle having the same momentum offset $\Delta p/p$.
- Injected beam makes damped *synchrotron oscillations* at Q_s but does not perform betatron oscillations.

Injection - summary

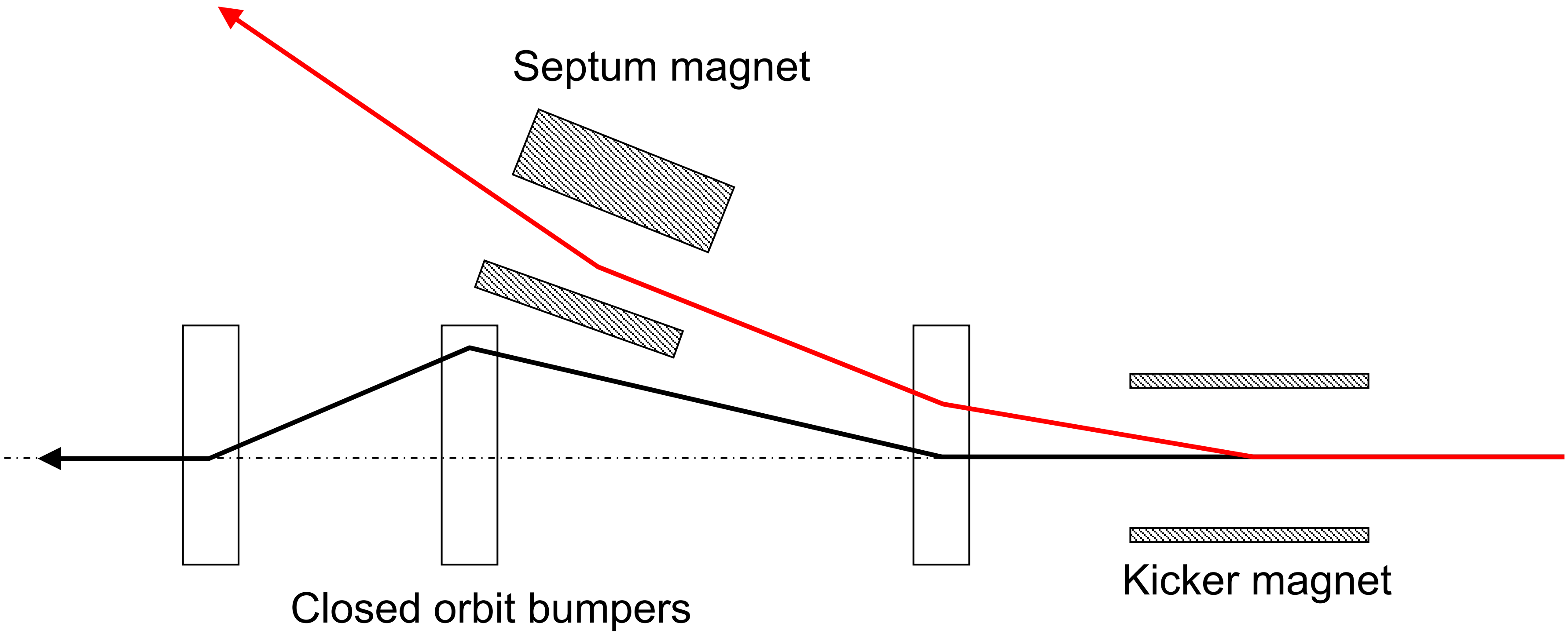
- Several different techniques
  - Single-turn injection for hadrons
    - Boxcar stacking: transfer between machines in accelerator chain
    - Angle / position errors $\Rightarrow$ injection oscillations
    - Optics errors $\Rightarrow$ betatron mismatch oscillations
    - Oscillations $\Rightarrow$ filamentation $\Rightarrow$ emittance increase
  - Multi-turn injection for hadrons
    - Phase space painting to increase intensity
    - H- injection allows injection into same phase space area
  - Lepton injection: take advantage of damping
    - Less concerned about injection precision and matching

Extraction

- Different extraction techniques exist, depending on requirements
  - Fast extraction: ≤1 turn
  - Non-resonant multi-turn extraction: few turns
  - Resonant multi-turn extraction: many thousands of turns
  - Resonant low-loss multi-turn extraction: few turns
- Usually higher energy than injection ⇒ stronger elements ($\int B.dl$)
  - At high energies many kicker and septum modules may be required
  - To reduce kicker and septum strength, beam can be moved near to septum by closed orbit bump

Fast single turn extraction

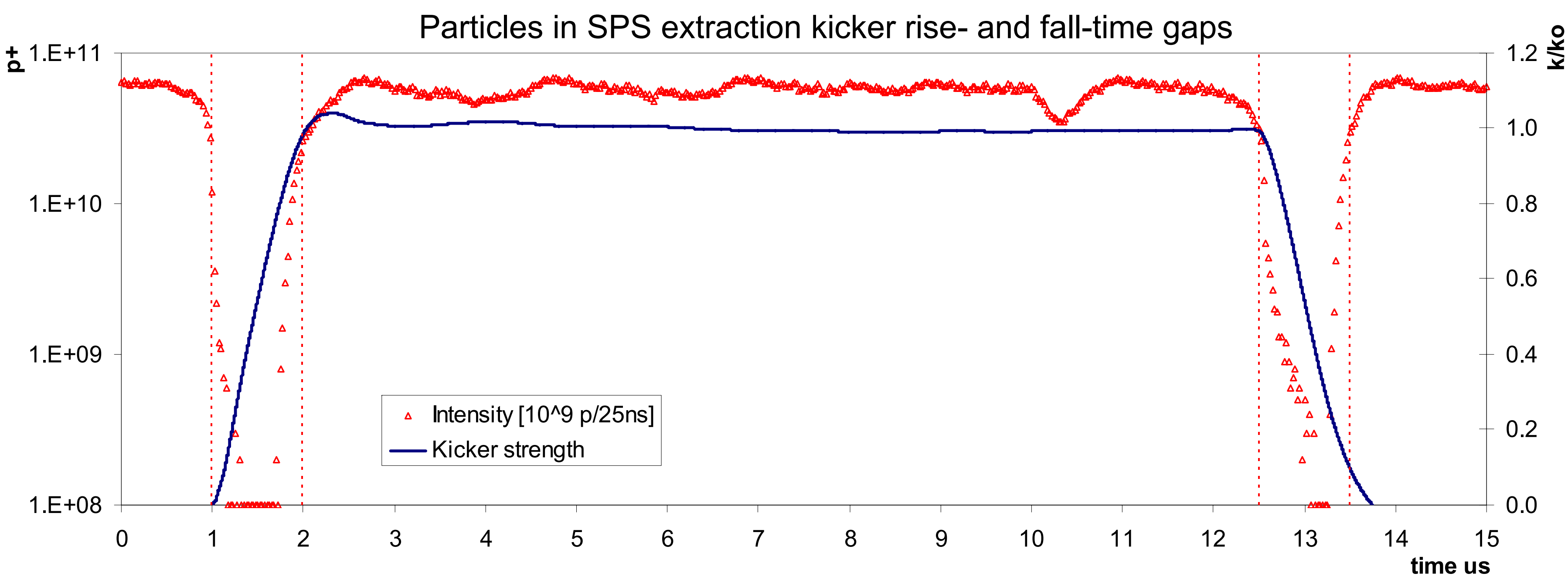
Whole beam kicked into septum gap and extracted.



- Kicker deflects the entire beam into the septum in a single turn
- Septum deflects the beam entire into the transfer line
- Most efficient (lowest deflection angles required) for $\pi/2$ phase advance between kicker and septum

Fast single turn extraction

- For transfer of beams between accelerators in an injector chain.
- For secondary particle production (e.g. neutrinos)
- Septum deflection may be in the other plane to the kicker deflection.
- Losses from transverse scraping or from particles in extraction gap



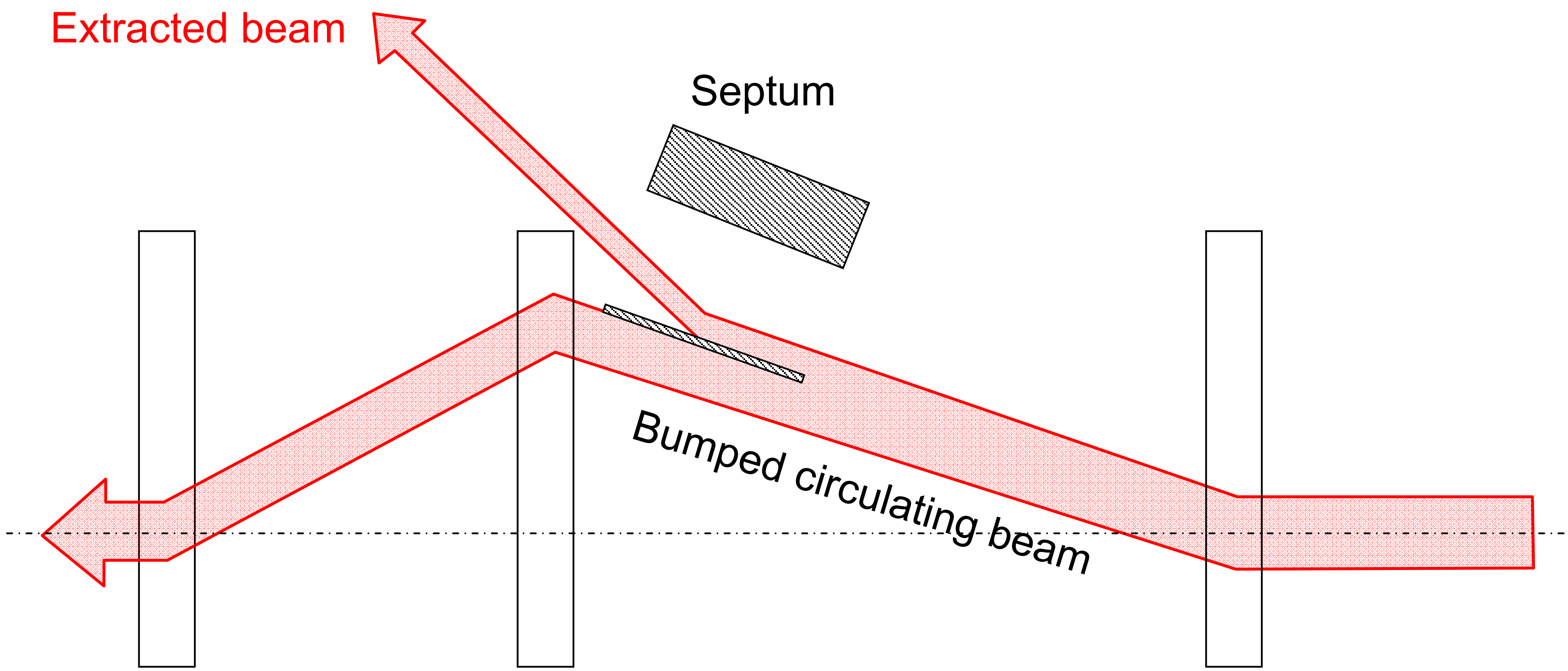
Multi-turn extraction

- Some filling schemes require a beam to be injected in several turns to a larger machine…
- And very commonly Fixed Target physics experiments and medical accelerators often need a quasi-continuous flux of particles…

- Multi-turn extraction…
  - Non-Resonant multi-turn ejection (few turns) for filling
    e.g. PS to SPS at CERN for high intensity proton beams (>2.5 10^{13} protons)
  - Resonant extraction (ms to hours) for experiments

Non-resonant multi-turn extraction

Beam bumped to septum; part of beam 'shaved' off each turn.



Fast closed orbit bumpers

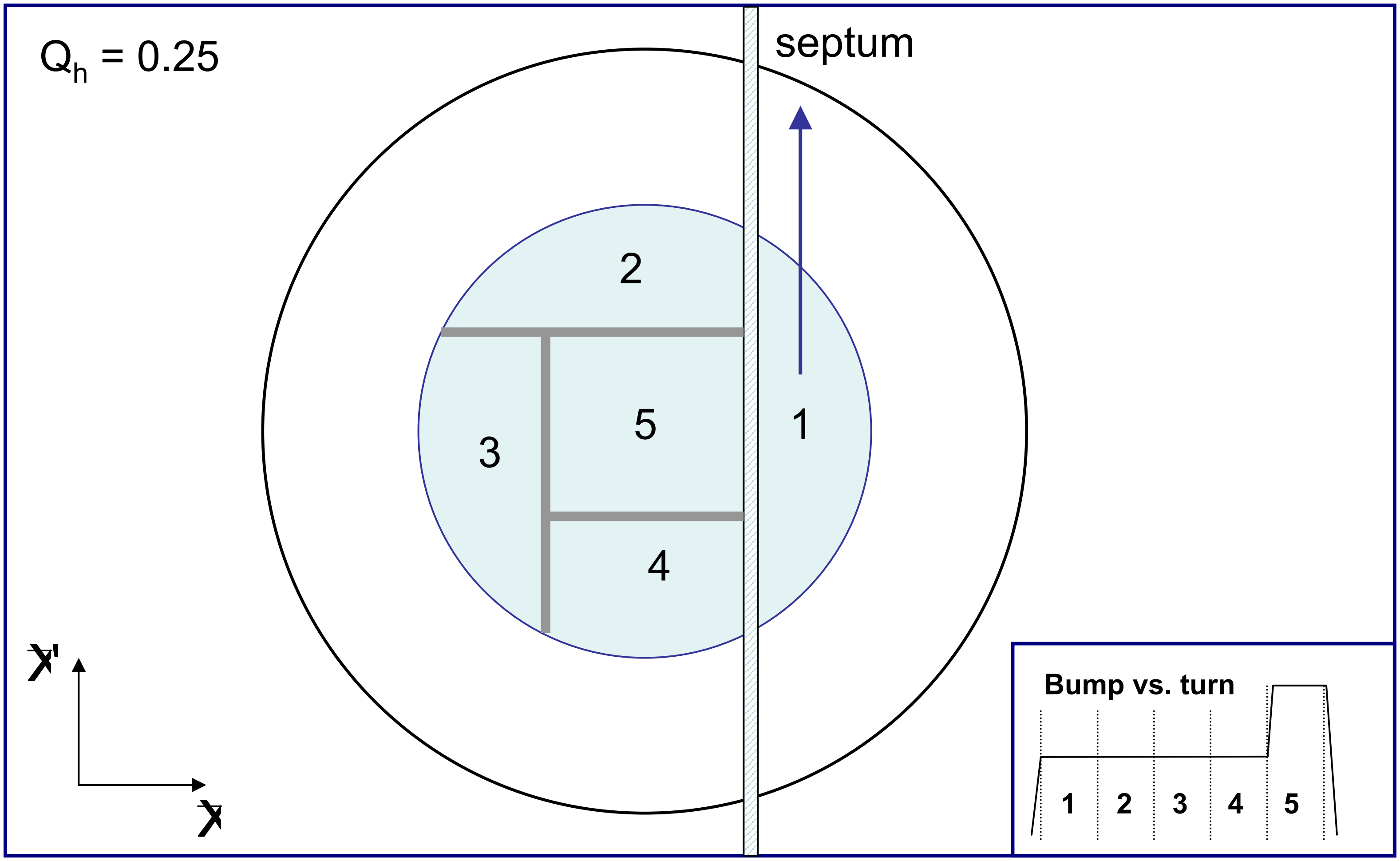
- Fast bumper deflects the whole beam onto the septum
- Beam extracted in a few turns, with the machine tune rotating the beam
- Intrinsically a high-loss process – thin septum essential

Non-resonant multi-turn extraction

- Example system: CERN PS to SPS Fixed-Target ‘continuous transfer’.
  - Accelerate beam in PS to 14 GeV/c
  - Empty PS machine (2.1 μs long) in 5 turns into SPS
  - Do it again
  - Fill SPS machine (23 μs long)
  - Quasi-continuous beam in SPS (2 x 1 μs gaps)
  - Total intensity per PS extraction ≈ 3×10^{13} p+
  - Total intensity in SPS ≈ 5×10^{13} p+

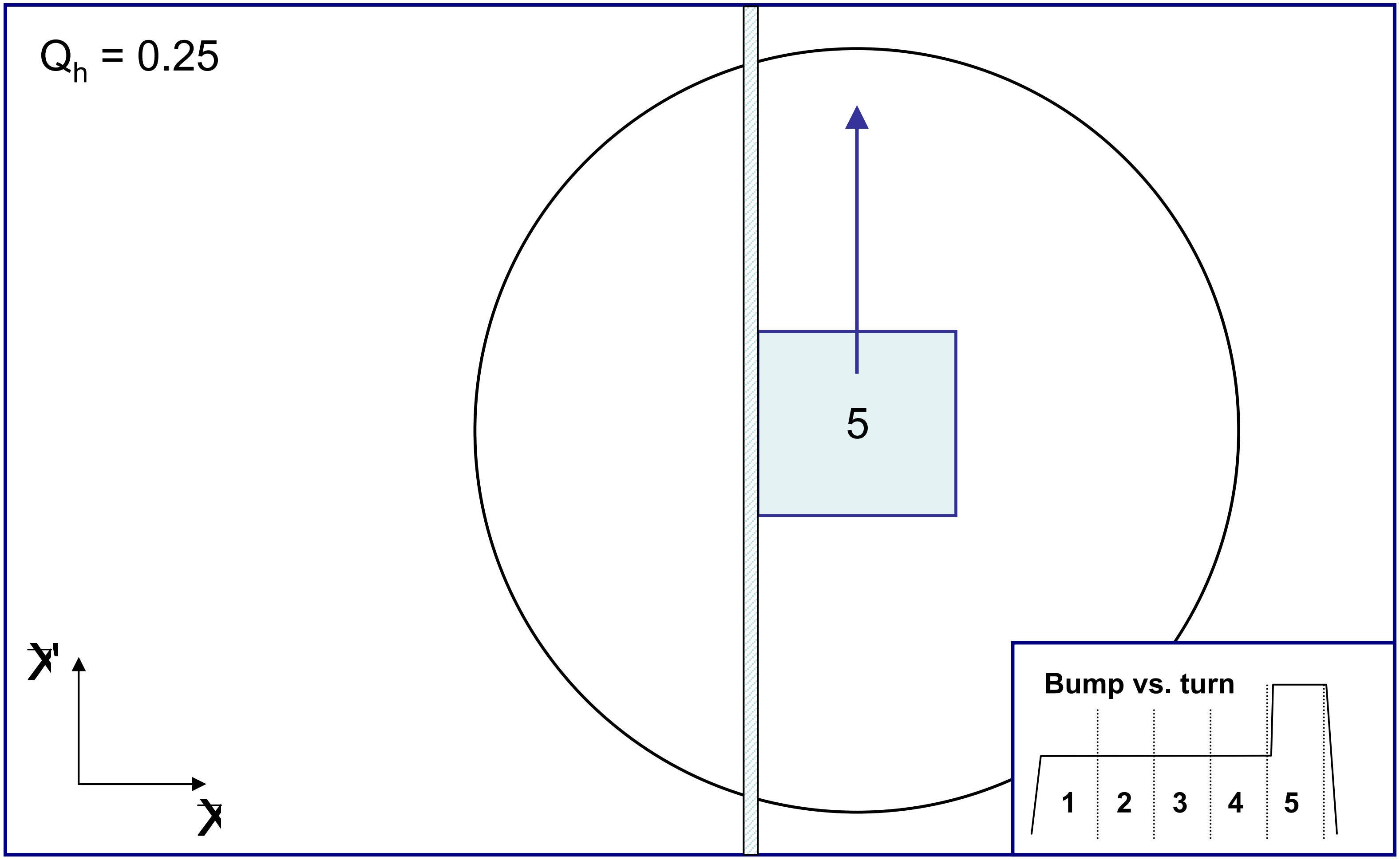
Non-resonant multi-turn extraction

CERN PS to SPS: 5-turn continuous transfer



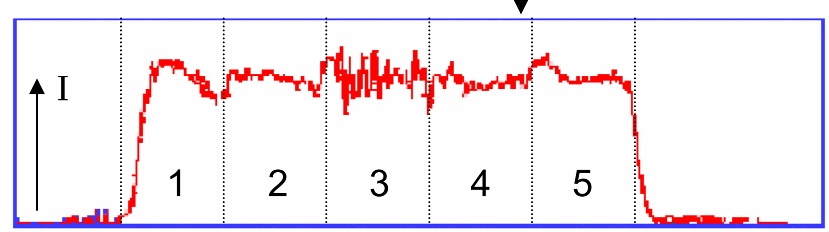
Non-resonant multi-turn extraction

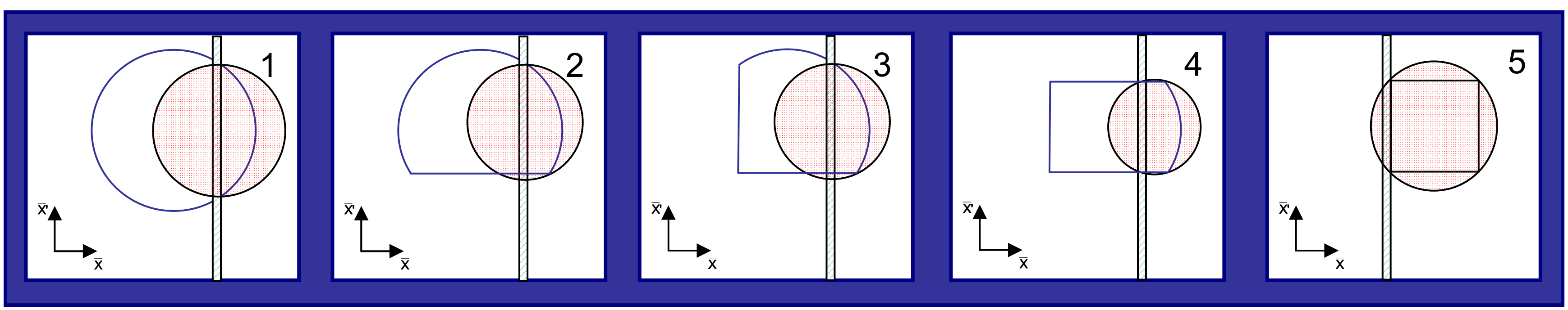
CERN PS to SPS: 5-turn continuous transfer – 5th turn



Non-resonant multi-turn extraction

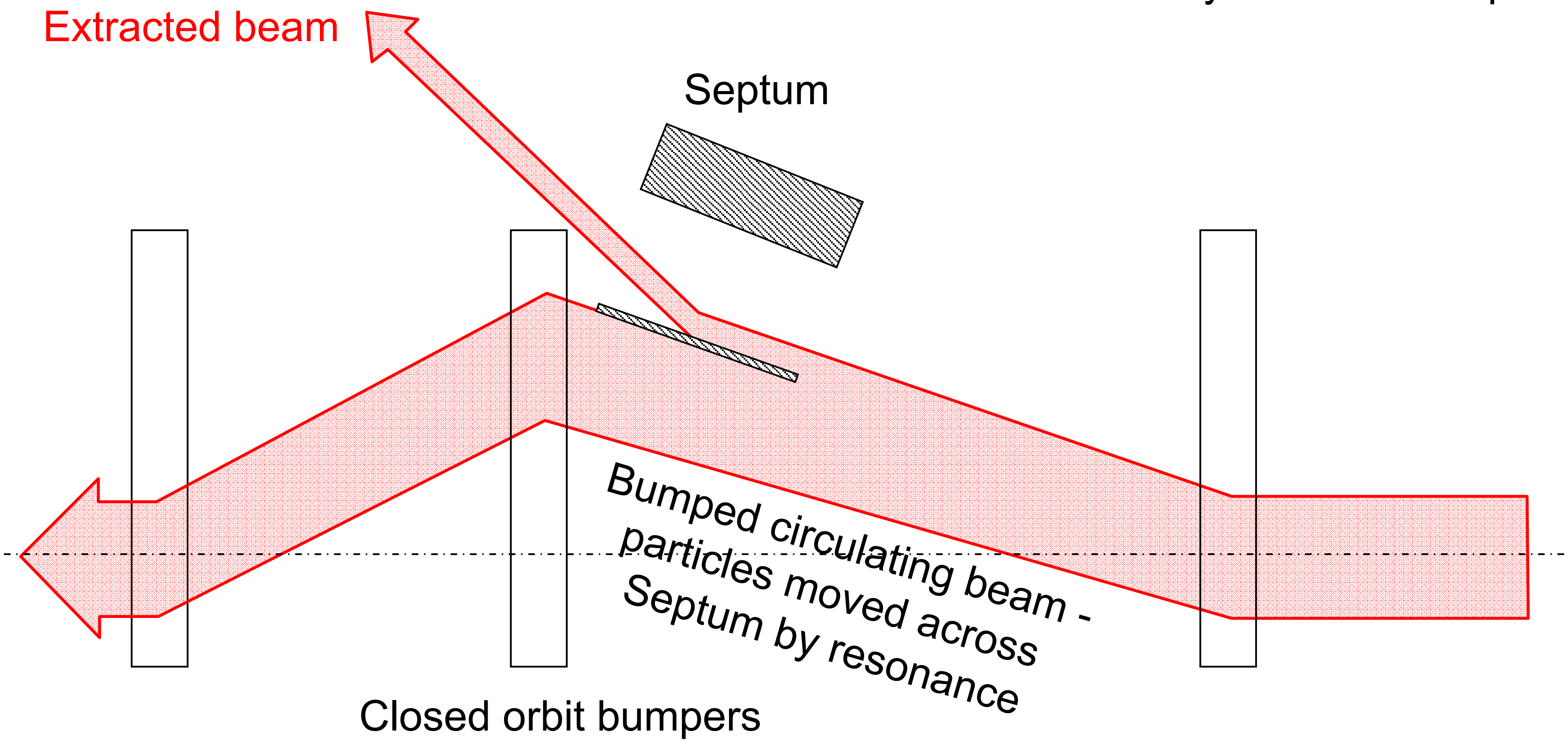
- CERN PS to SPS: 5-turn continuous transfer
  - Losses impose thin (ES) septum… second septum needed
  - Still about 15 % of beam lost in PS-SPS CT
  - Difficult to get equal intensities per turn
  - Different trajectories for each turn
  - Different emittances for each turn





Resonant multi-turn extraction

Non-linear fields excite resonances which drive the beam slowly across the septum.

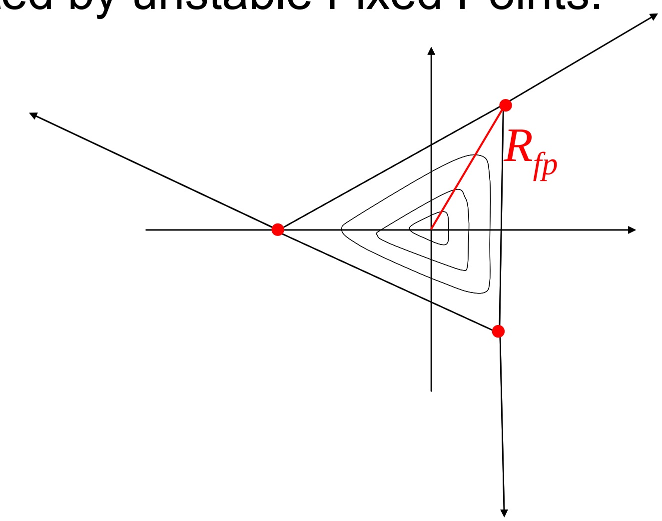


- Slow bumpers move the beam near the septum
- Tune adjusted close to n^{th} order betatron resonance
- Multipole magnets excited to define stable area in phase space, size depends on $\Delta Q = Q - Q_r$

Resonant multi-turn extraction

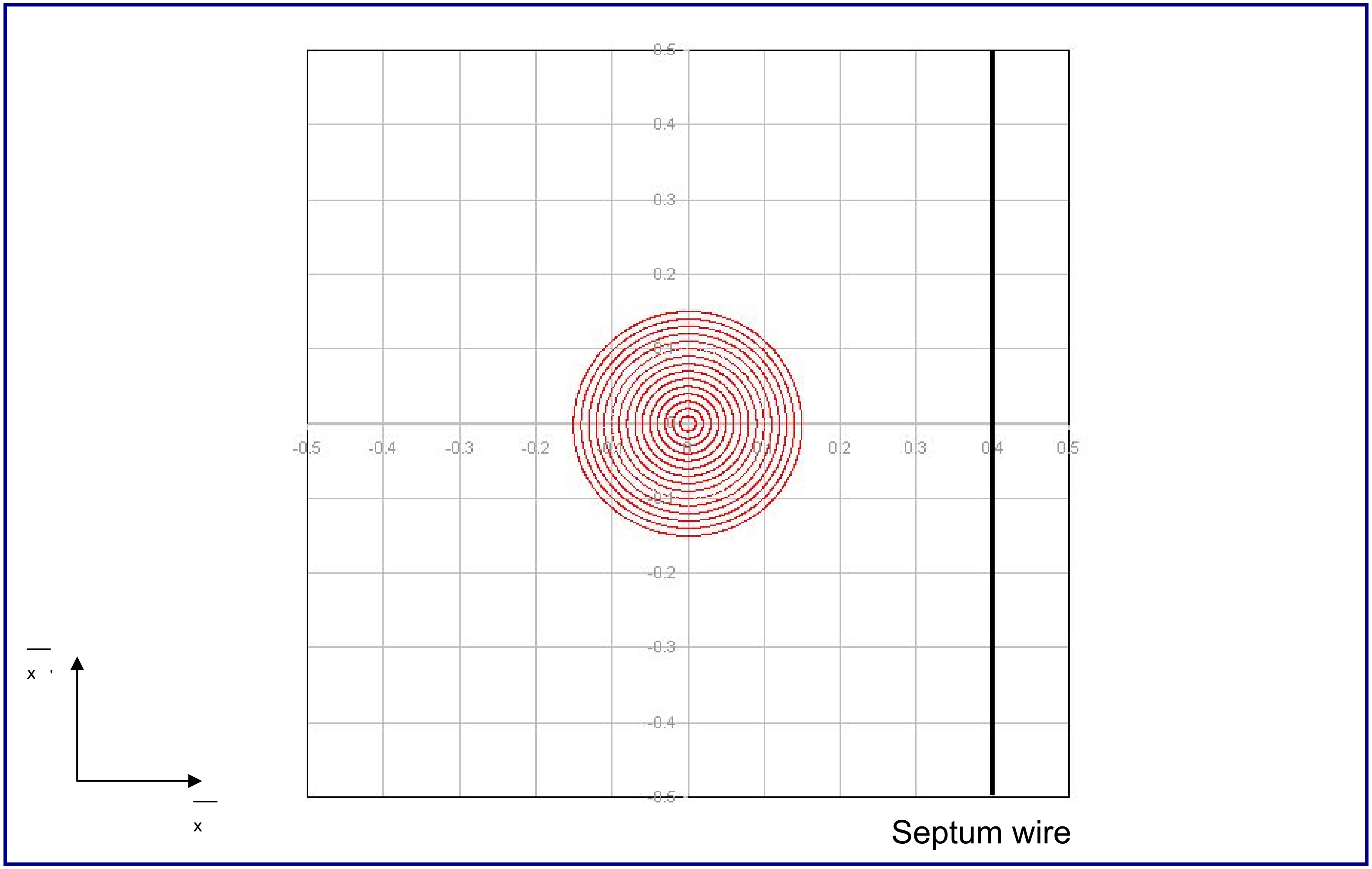
- 3rd order resonances.
  - Sextupole fields distort the circular normalised phase space particle trajectories.
  - Stable area defined, delimited by unstable Fixed Points.

$$R_{fp}^{1/2} \propto \Delta Q \cdot \frac{1}{k_2}$$



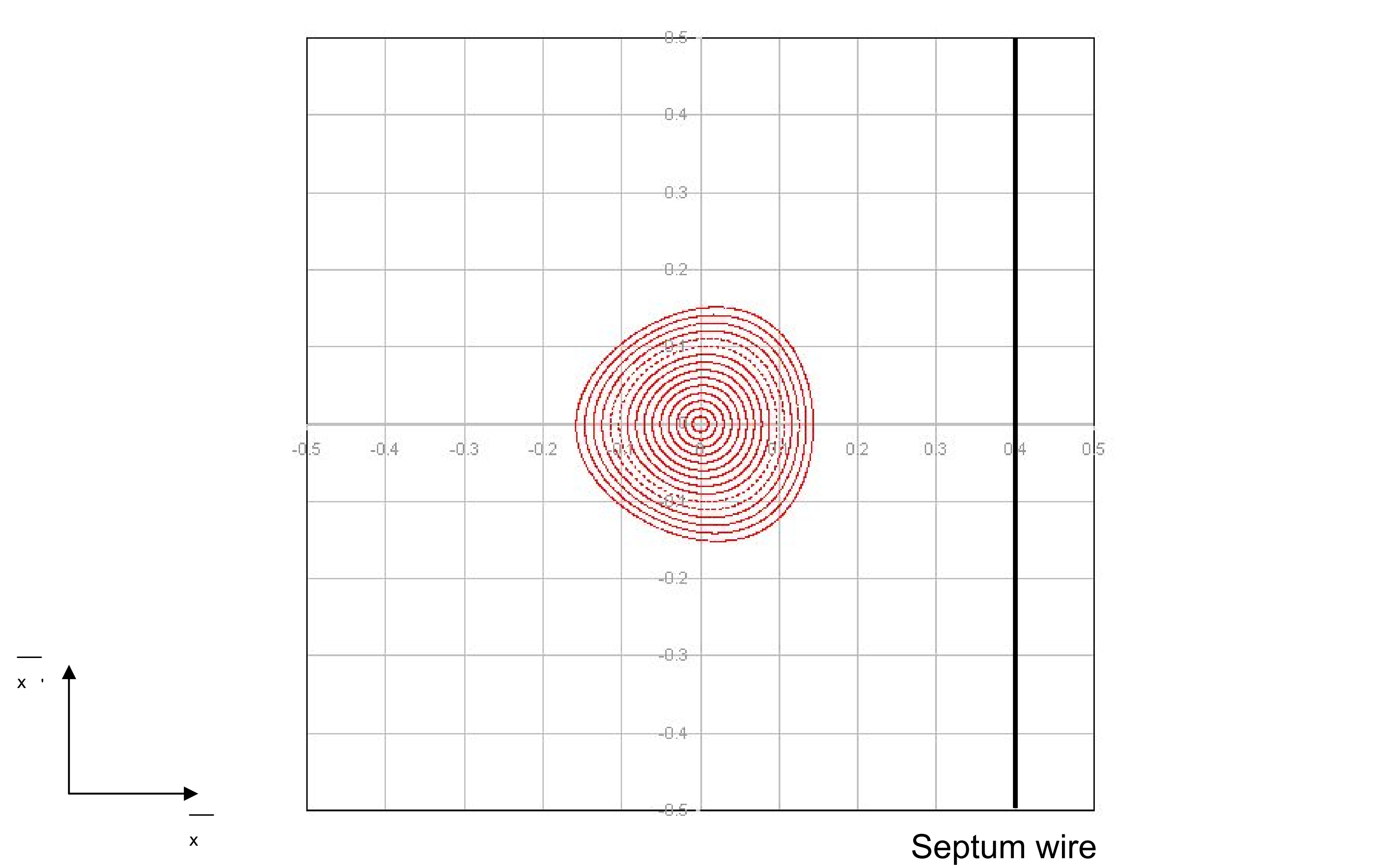
  - Sextupoles families arranged to produce suitable phase space orientation of the stable triangle at thin electrostatic septum
  - Stable area can be reduced by increasing the sextupole strength, or (easier) by approaching machine tune Q_h to resonant 1/3 integer tune
  - Reducing ΔQ with main machine quadrupoles can be augmented with a 'servo' quadrupole, which can modulate ΔQ in a servo loop, acting on a measurement of the spill intensity

Third-order resonant extraction



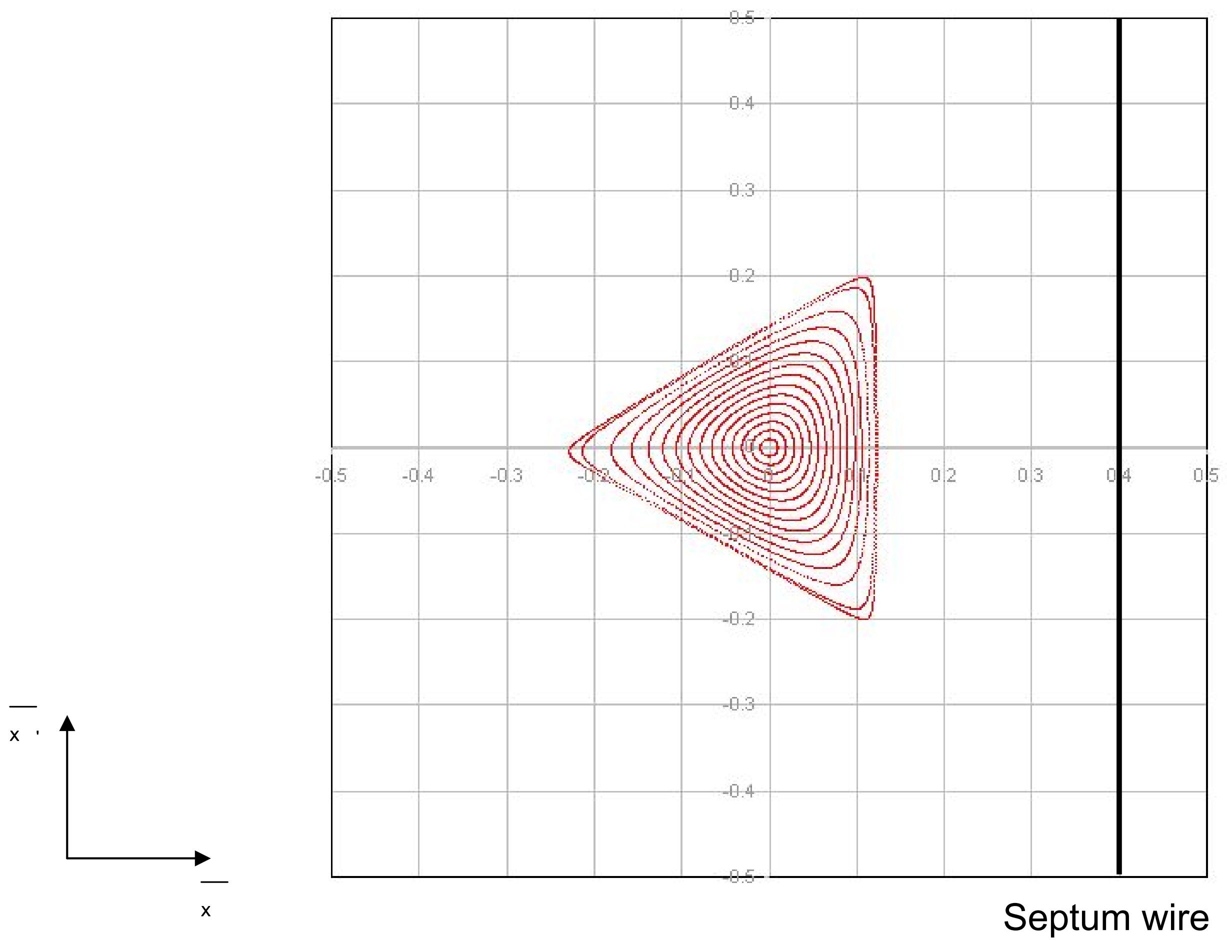
- Particles distributed on emittance contours
- ΔQ large – no phase space distortion

Third-order resonant extraction



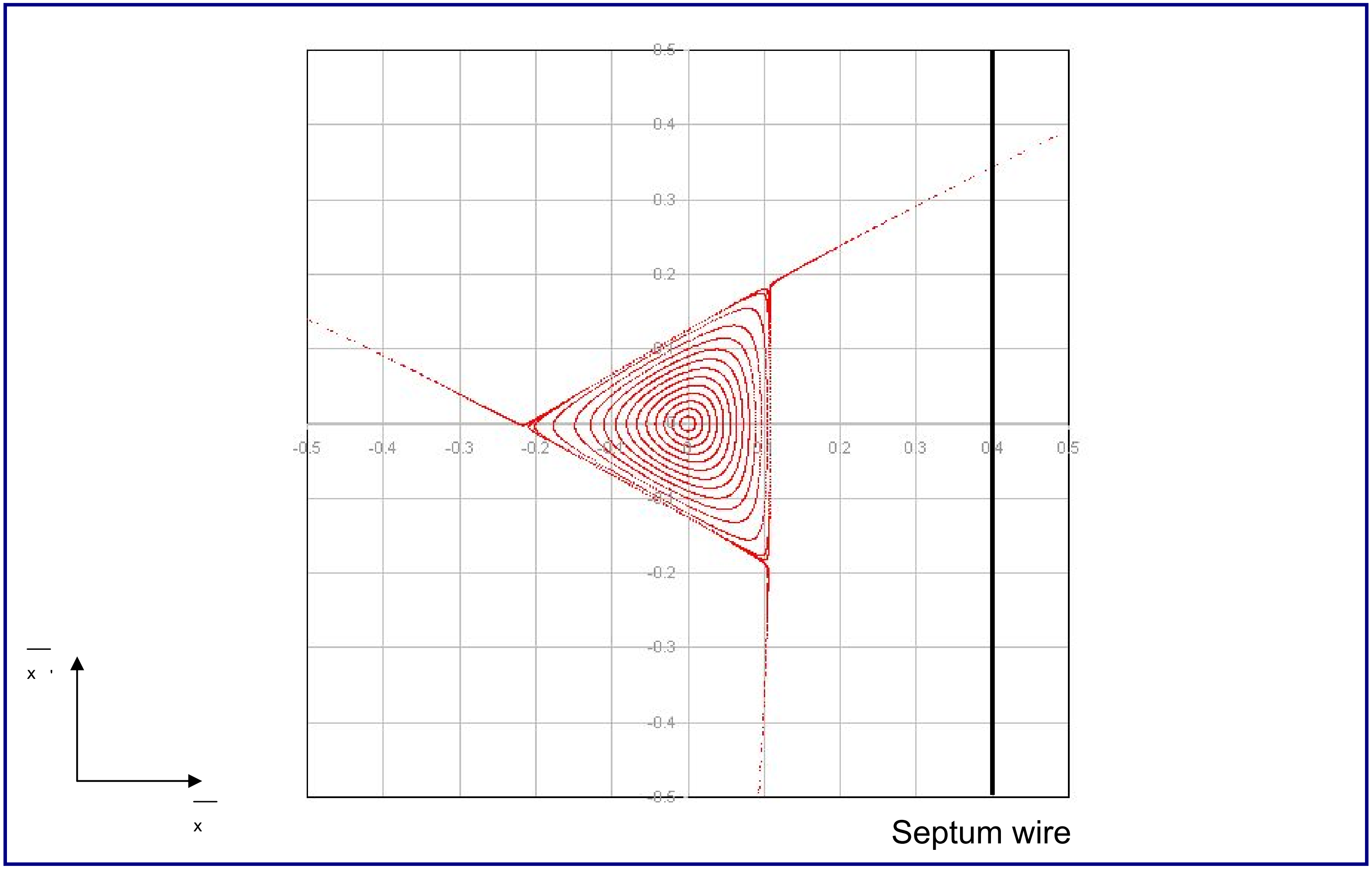
- Dedicated sextupole magnets produce a triangular stable area in phase space
- ΔQ decreasing – phase space distortion for largest amplitudes

Third-order resonant extraction



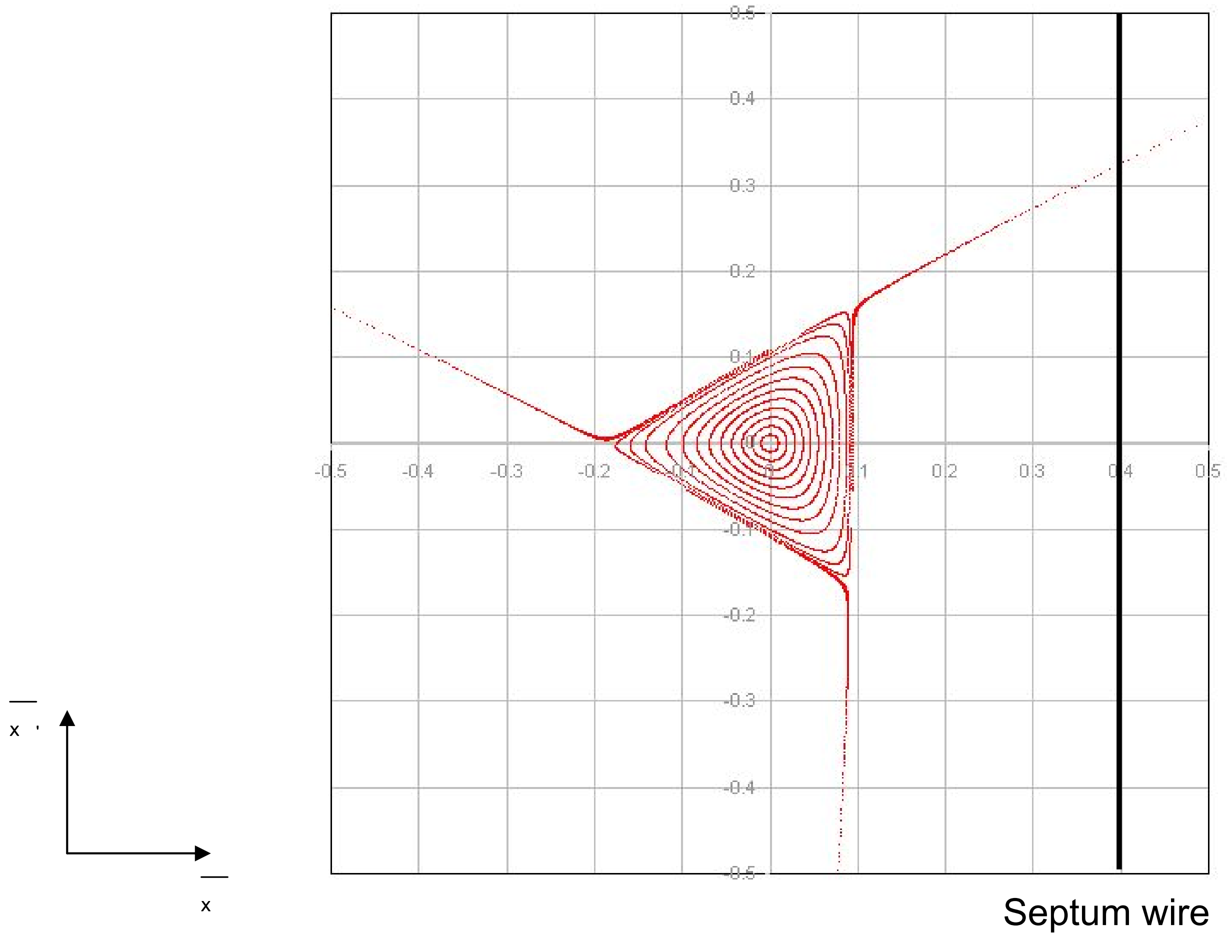
- ΔQ small enough that largest amplitude particles are close to the separatrices
- Fixed points locations discernable at extremities of phase space triangle

Third-order resonant extraction



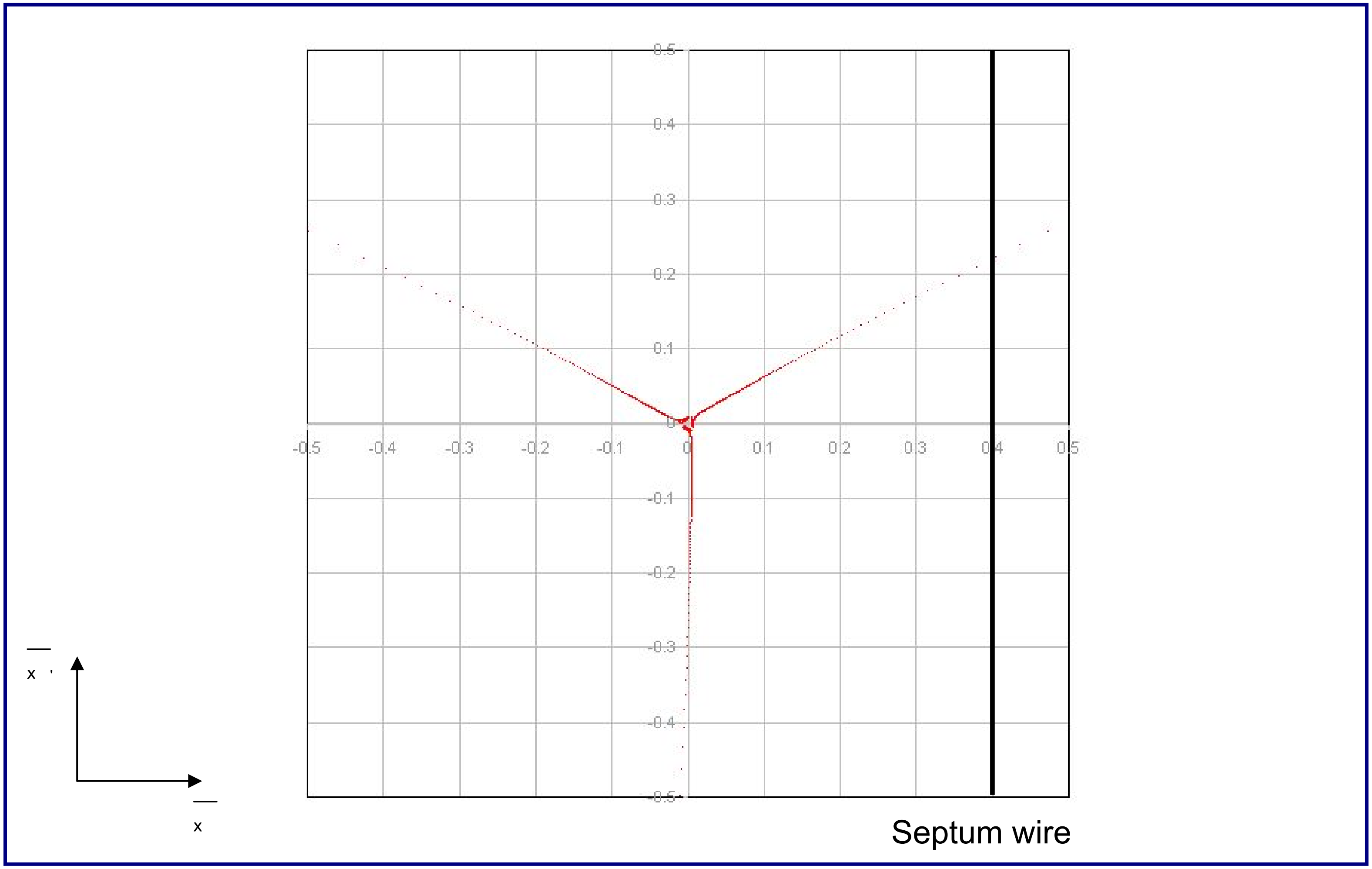
- ΔQ now small enough that largest amplitude particles are unstable
- Unstable particles follow separatrix branches as they increase in amplitude

Third-order resonant extraction



• Stable phase area shrinks as ΔQ gets smaller

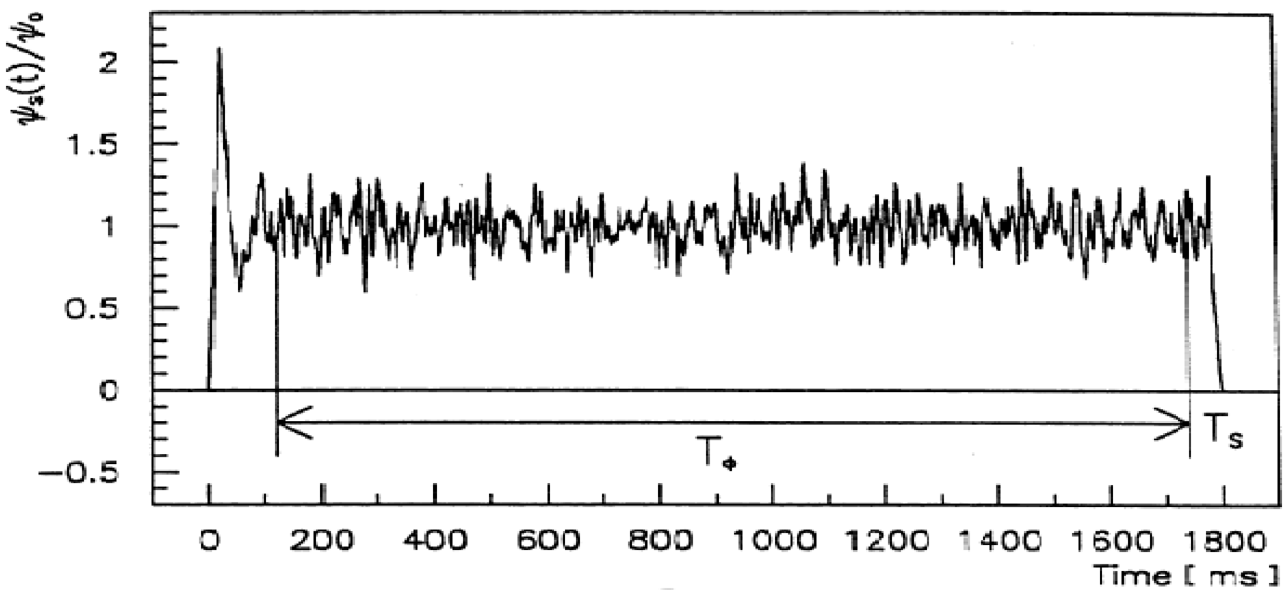
Third-order resonant extraction



• As ΔQ approaches zero, the particles with very small amplitude are extracted.

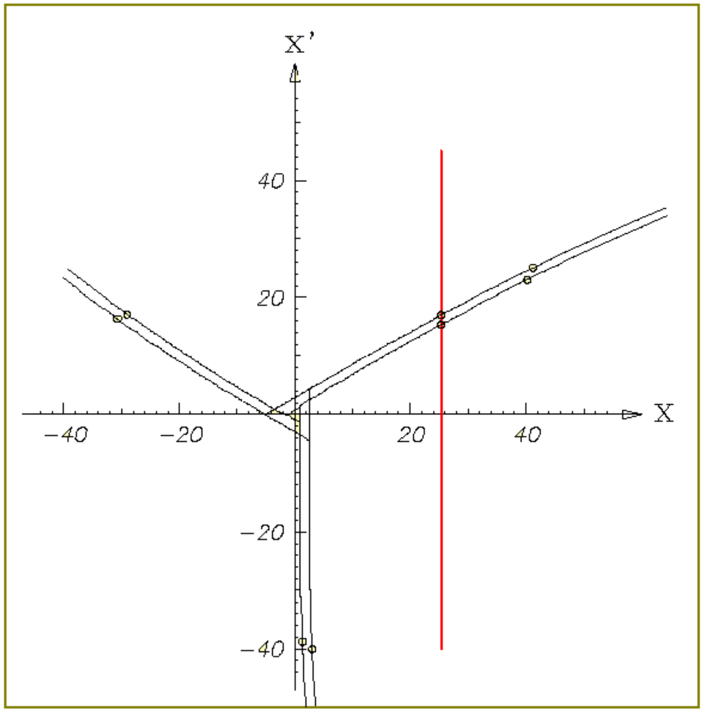
Third-order resonant extraction

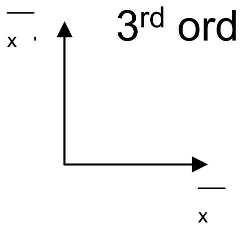
Example – SPS slow extraction at 450 GeV/c.
~3 x 10^{13} p+ extracted in a 2-4 second long spill (~200,000 turns)



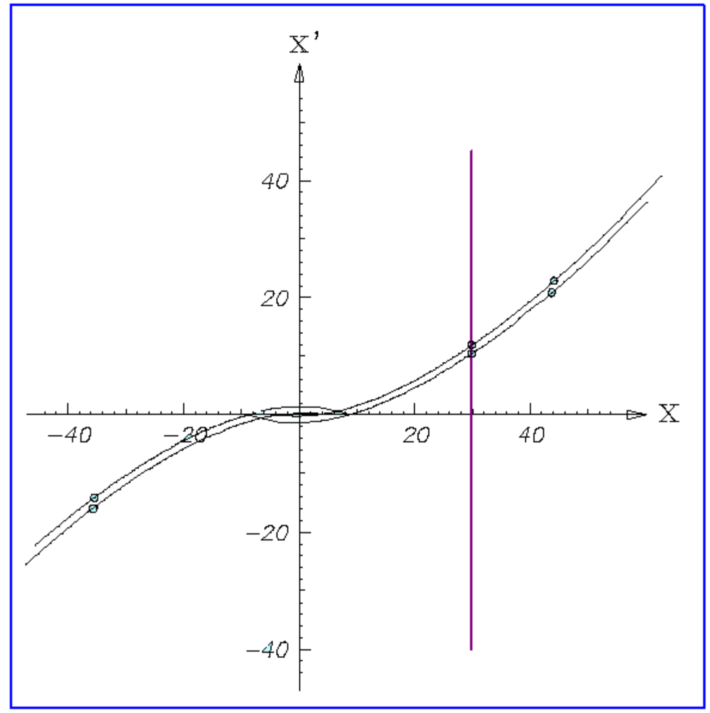
Intensity vs time:
~10^8 p+ extracted per turn

Resonant extraction separatrices





3^{rd} order resonant extraction



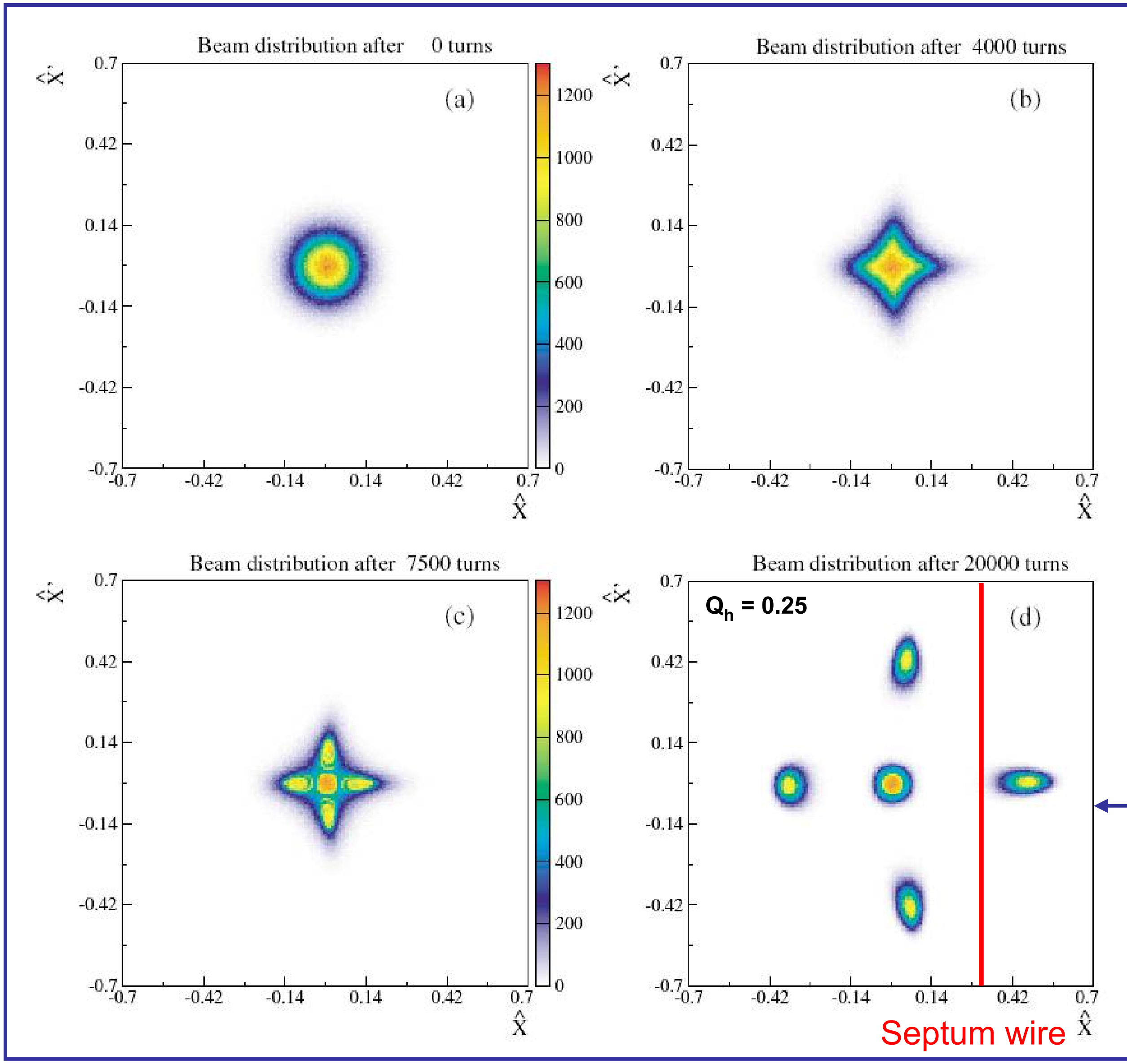
2^{nd} order resonant extraction

- Amplitude growth for 2^{nd} order resonance much faster than 3^{rd} – shorter spill
- Used where intense pulses are required on target – e.g. neutrino production

Resonant low-loss multi-turn extraction

- Adiabatic capture of beam in stable “islands”
  - Use non-linear fields (sextupoles and octupoles) to create islands of stability in phase space
  - A slow (adiabatic) tune variation to cross a resonance and to drive particles into the islands (capture)
  - Variation of field strengths to separate the islands in phase space
- Several big advantages
  - Losses reduced virtually to zero (no particles at the septum)
  - Phase space matching improved with respect to existing non-resonant multi-turn extraction - all ‘beamlets’ have same emittance and optical parameters

Resonant low-loss multi-turn extraction

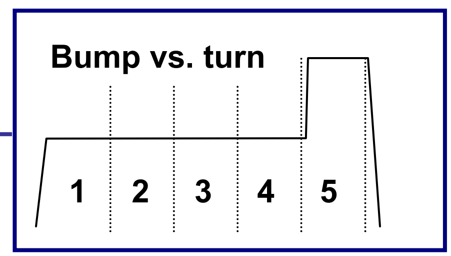


a. Unperturbed beam

b. Increasing non-linear fields

c. Beam captured in stable islands

d. Islands separated and beam bumped across septum – extracted in 5 turns



Courtesy M.Giavannozzi

Extraction - summary

- Several different techniques:
  - Single-turn fast extraction:
    - for Boxcar stacking (transfer between machines in accelerator chain), beam abort
  - Non-resonant multi-turn extraction
    - slice beam into equal parts for transfer between machine over a few turns.
  - Resonant multi-turn extraction
    - create stable area in phase space $\Rightarrow$ slowly drive particles into resonance $\Rightarrow$ long spill over many thousand turns.
  - Resonant low-loss multi-turn extraction
    - create stable islands in phase space: slice off over a few turns.

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