



Beam Dynamics with Space- Charge

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Lecture Plan (1)

- Review of particle equations of motion in 2D without space-charge
 - Courant-Snyder parameters, envelope equation
- Examples of space-charge
- Particle and envelope equations with linear space-charge
 - Space-charge (Laslett) Tune shift
 - Image effects
- Envelope oscillations, resonances



Lecture Plan (2)

- General particle equations under space-charge
- Non-linear beams
 - rms beam sizes, rms emittance
 - rms envelope equations
 - evolution of rms emittance
- Examples of 2D distributions
 - KV, waterbag, Gaussian
 - concept of stationary distributions
- Beam halo
 - causes, measurement (kurtosis)



Lecture Plan (3)

- Longitudinal space-charge
- Self-consistent distributions
 - Hofmann-Pederson model
- Microwave instability
- Acceleration cycle in a synchrotron
- Bunch compression
- Long and short bunches



Reading

- * E.J.N. Wilson: *Introduction to Accelerators*
- * S.Y. Lee: *Accelerator Physics*
- * M. Reiser: *Theory and Design of Charged Particle Beams*
- * D. Edwards & M. Syphers: *An Introduction to the Physics of High Energy Accelerators*
- * M. Conte & W. MacKay: *An Introduction to the Physics of Particle Accelerators*
- * R. Dilao & R. Alves-Pires: *Nonlinear Dynamics in Particle Accelerators*
- * R. Davidson & Hong Qin: *Physics of Intense Charged Particle Beams in High Energy Accelerators*



Notation and Basic Formulae

Velocity of light	$c = 2.99792458 \times 10^8 \text{ m/sec}$
Relative velocity	$\beta = \frac{\mathbf{v}}{c}, \quad \mathbf{v} = \beta c$
Relativistic gamma	$\gamma = \frac{1}{\sqrt{1 - \frac{\mathbf{v}^2}{c^2}}} = \frac{1}{\sqrt{1 - \beta^2}}$
Rest mass	m_0
Relativistic mass	$m = m_0 \gamma$
Momentum	$\mathbf{p} = m \mathbf{v} = m_0 \gamma \mathbf{v} = m_0 \gamma \beta c$
Energy	$\mathcal{E} = mc^2 = m_0 \gamma c^2$
Kinetic energy	$T = \mathcal{E} - m_0 c^2 = m_0 (\gamma - 1) c^2$

Note: $\frac{\mathcal{E}^2}{c^2} = \mathbf{p}^2 + m_0^2 c^2$
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Maxwell's Equations

E Electric field

B Magnetic flux density

ρ Charge density

j Current density

μ_0 Permeability of free space, $4\pi \times 10^{-7}$

ϵ_0 Permittivity of free space, 8.854×10^{-12}

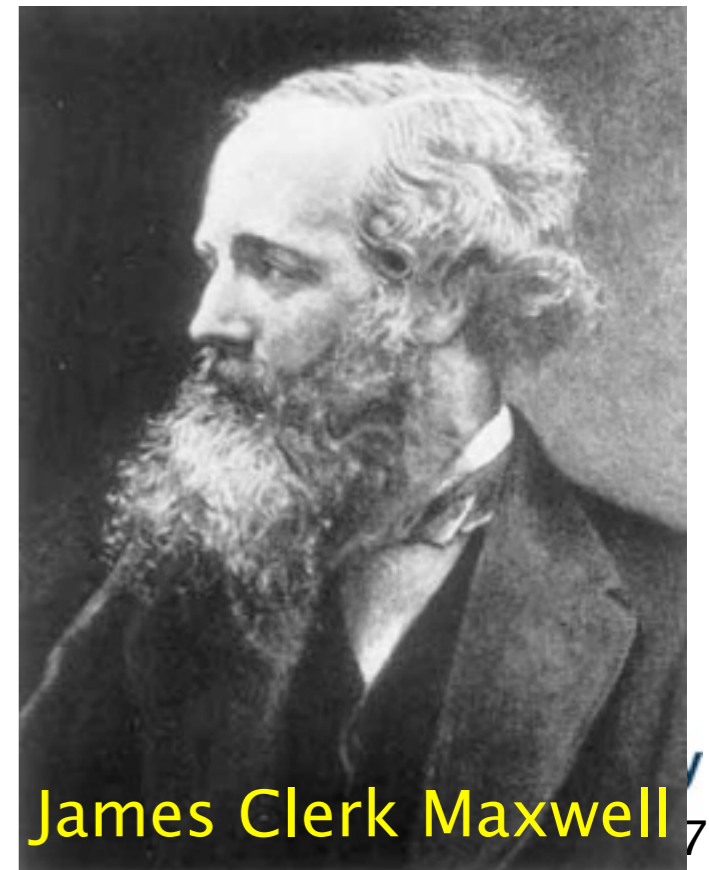
$$\epsilon_0 \mu_0 = \frac{1}{c^2}$$

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$$

$$\nabla \cdot \mathbf{B} = 0$$

$$\nabla \wedge \mathbf{E} = - \frac{\partial \mathbf{B}}{\partial t}$$

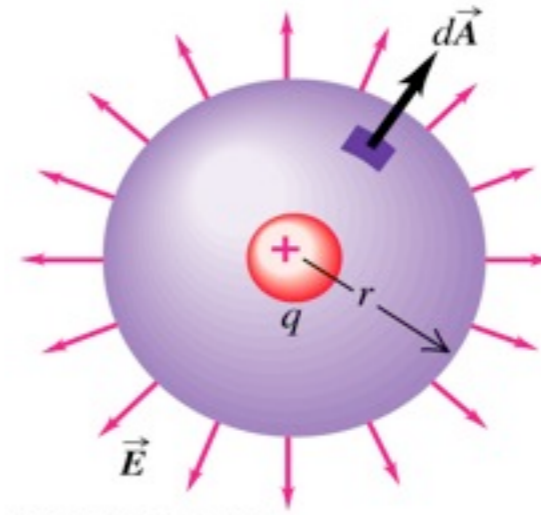
$$\nabla \wedge \mathbf{B} = \mu_0 \mathbf{j} + \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t}$$



James Clerk Maxwell

Gauss' Flux Theorem

$$\nabla \cdot \vec{E} = \frac{\rho}{\epsilon_0}$$



Equivalent to Gauss' Flux Theorem:

$$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$$

$$\Leftrightarrow \iiint_V \nabla \cdot \mathbf{E} \, dV = \iint_S \mathbf{E} \cdot d\mathbf{S} = \frac{1}{\epsilon_0} \iiint_V \rho \, dV = \frac{Q}{\epsilon_0}$$

The flux of electric field out of a closed region is proportional to the total electric charge Q enclosed within the surface.



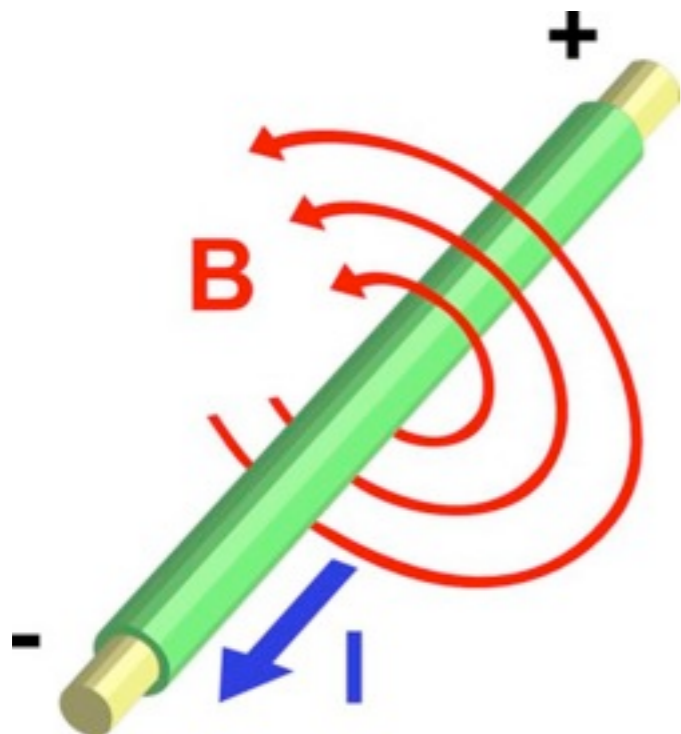
Ampère's Circuital Law

$$\nabla \wedge \mathbf{B} = \mu_0 \mathbf{j} + \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t}$$



$$\nabla \wedge \mathbf{B} = \mu_0 \mathbf{j}$$

$$\Leftrightarrow \iint_S \nabla \wedge \mathbf{B} \cdot d\mathbf{S} = \oint \mathbf{B} \cdot d\mathbf{l} = \mu_0 \iint_S \mathbf{j} \cdot d\mathbf{S} = \mu_0 I$$



For a straight line current:

$$B_\theta = \frac{\mu_0 I}{2\pi r}$$



Review of Simple Particle Dynamics

Equation of motion in electromagnetic fields:

$$\frac{d\mathbf{p}}{dt} = q(\mathbf{E} + \mathbf{v} \wedge \mathbf{B})$$

Lorentz
Force

produces acceleration

produces bending

Total energy $\mathcal{E} = mc^2$ and $\mathcal{E}^2 = \mathbf{p}^2 c^2 + m_0^2 c^4$

$$\implies \mathcal{E} \frac{d\mathcal{E}}{dt} = c^2 \mathbf{p} \cdot \frac{d\mathbf{p}}{dt} = qc^2 \mathbf{p} \cdot \mathbf{E}$$

$$\implies \frac{d\mathcal{E}}{dt} = q\mathbf{v} \cdot \mathbf{E}$$

Energy change only from
Electric fields



Motion in Constant Magnetic Field

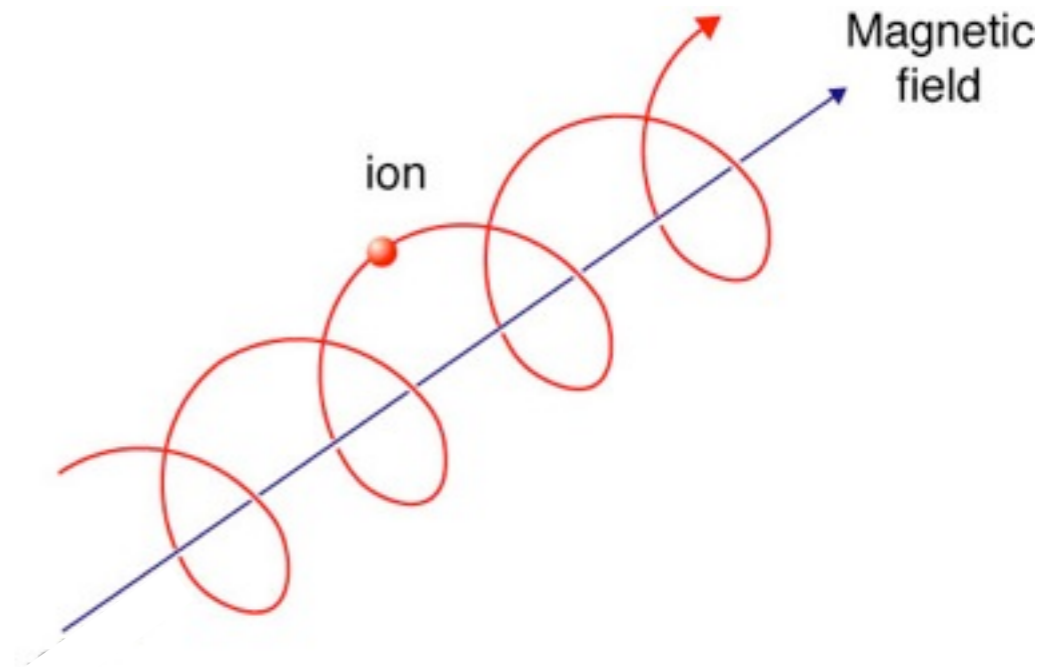
$$\frac{d}{dt}(m_0\gamma\mathbf{v}) = q\mathbf{v} \wedge \mathbf{B}$$

$$\Rightarrow \frac{d\mathbf{v}}{dt} = \frac{q}{m_0\gamma}\mathbf{v} \wedge \mathbf{B}$$

$$\Rightarrow \frac{v_{\perp}^2}{\rho} = \frac{q}{m_0\gamma}v_{\perp}B$$

$$\Rightarrow \text{circular motion with radius } \rho = \frac{m_0\gamma v_{\perp}}{qB}$$

$$\Rightarrow \text{at an angular frequency } \omega = \frac{v_{\perp}}{\rho} = \frac{qB}{m_0\gamma} = \frac{qB}{m}$$



Constant magnetic field gives uniform spiral about B with constant energy.

$$B\rho = \frac{m_0\gamma v}{q} = \frac{p}{q}$$

Magnetic Rigidity



External Forces

- Maxwell's time-independent equations, no sources:

$$\nabla \wedge \vec{B} = 0 \quad \Longrightarrow \quad \exists \phi \text{ such that } \vec{B} = \nabla \phi \quad \text{Scalar magnetic potential}$$

$$\nabla \cdot \vec{B} = 0 \quad \Longrightarrow \quad \nabla^2 \phi = 0, \quad \text{Laplace's equation}$$

- Simplest solutions, with $z = x + iy$ ($i = \sqrt{-1}$) are

$$\phi = Kz^n, \quad K \text{ constant}$$

$$n = 1 \quad \phi \propto x + iy$$

dipole

$$n = 2 \quad \phi \propto (x^2 - y^2) + 2ixy$$

quadrupole

$$n = 3 \quad \phi \propto x(x^2 - 3y^2) + iy(3x^2 - y^2)$$

sextupole

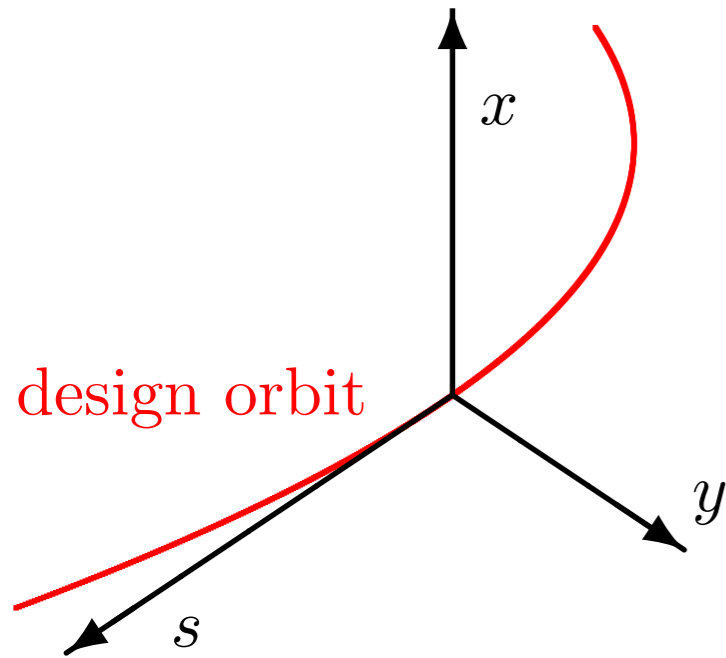
- Then

$$\vec{B} = nK(1, i, 0)z^{n-1} \quad (\text{real part understood})$$



Equations of Motion

No bends



$$m_0 \frac{d}{dt} (\gamma \vec{v}) = q \vec{v} \wedge \vec{B} = q \begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{s} \end{bmatrix} \wedge K n \begin{bmatrix} 1 \\ i \\ 0 \end{bmatrix} z^{n-1}$$

$$\implies m_0 \frac{d}{dt} \begin{bmatrix} \gamma \dot{x} \\ \gamma \dot{y} \\ \gamma \dot{s} \end{bmatrix} = K n q \begin{bmatrix} -i \dot{s} \\ \dot{s} \\ i \dot{x} - \dot{y} \end{bmatrix} z^{n-1}$$

Write $x' = \frac{\dot{x}}{\dot{s}}$, $y' = \frac{\dot{y}}{\dot{s}}$, and note in an accelerator $\dot{x}, \dot{y} \ll \dot{s}$

so that $\mathbf{v} = (x', y', 1)\dot{s}$, $x', y' \ll 1$ and $\frac{d}{dt} = \dot{s} \frac{d}{ds}$



From above, $\frac{d}{dt}(\gamma\dot{s}) \sim 0$

Paraxial Equations

$$\gamma = \left(1 - \frac{\dot{x}^2 + \dot{y}^2 + \dot{s}^2}{c^2}\right)^{-\frac{1}{2}} \approx (1 - \beta^2(x'^2 + y'^2 + 1))^{-\frac{1}{2}}$$

$$= (1 - \beta^2)^{-\frac{1}{2}} + \text{second order terms}$$

Ignoring second and higher velocity terms, equations of motion are

$$m_0\gamma\beta^2c^2 \begin{bmatrix} x'' \\ y'' \end{bmatrix} = Knq\beta c \begin{bmatrix} -i \\ 1 \end{bmatrix} z^{n-1}$$

$$n = 2 \text{ (} K \text{ imag)} \quad \text{quadrupole} \quad \begin{bmatrix} x'' + kx \\ y'' - ky \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$n = 2 \text{ (} K \text{ real)} \quad \text{skew quadrupole} \quad \begin{bmatrix} x'' + ky \\ y'' + kx \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$n = 3 \text{ (} K \text{ imag)} \quad \text{sextupole} \quad \begin{bmatrix} x'' + k(x^2 - y^2) \\ y'' - 2kxy \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$n = 3 \text{ (} K \text{ real)} \quad \text{skew sextupole} \quad \begin{bmatrix} x'' + 2kxy \\ y'' + k(x^2 - y^2) \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$k = q \times \frac{\text{Field strength}}{m_0\gamma\beta c}$$

$$= \text{Field strength}/B\rho$$

Equations of Motion in Dipoles

Need to take into account the change in direction of the axes
 $\implies \dot{s} \rightarrow \dot{s}(1 + \kappa x)$ where $\kappa = \frac{1}{\rho}$ is the curvature of the design orbit.

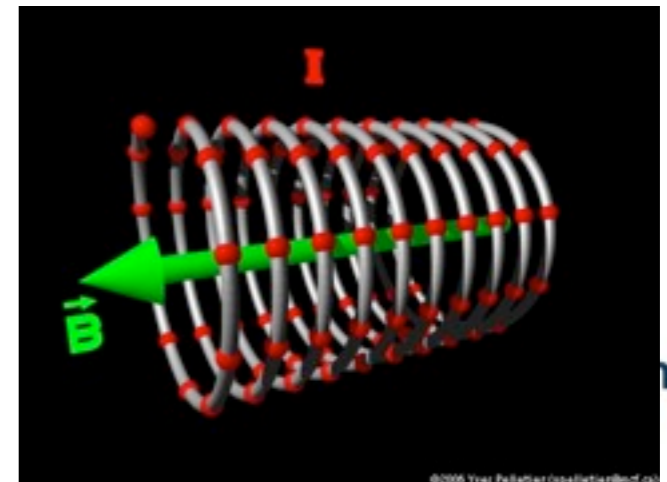
Equations become

$$\begin{bmatrix} x'' + \kappa^2 x \\ y'' \end{bmatrix} = \begin{bmatrix} x'' + \frac{1}{\rho^2} x \\ y'' \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

So dipole acts like a focusing quadrupole in the plane of the bend.

Also note solenoids:

$$\begin{bmatrix} x'' + 2ky' + k'y \\ y'' - 2kx' - k'x \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$



Mathieu-Hill Equations

Paraxial equation of motion in periodic systems:

$$\begin{aligned}x''(s) + k_x(s)x &= 0 \\y''(s) + k_y(s)y &= 0\end{aligned}$$

where s is distance along beam axis

$k_x(s)$, $k_y(s)$ periodic focusing functions, $k(s + L) = k(s)$

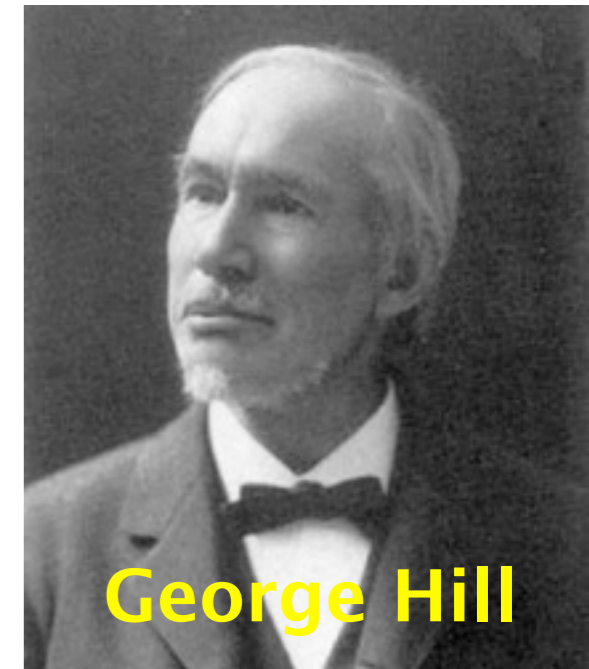
Floquet's Theorem confirms two independent solutions:

$$u = w(s)e^{i\psi(s)}, \quad v = w(s)e^{-i\psi(s)}$$

The Wronskian is $W(u, v) = uv' - vu' = -2iw^2\psi' = C$, a constant

$$\text{Choose } C = -2i \quad \implies \quad \frac{d\psi}{ds} = \psi' = \frac{1}{w^2}.$$

Then, substitute u or v into Mathieu-Hill equation:



$$u' = (w' + iw\psi')e^{i\psi} = (w' + i/w)e^{i\psi},$$

$$u'' = (w'' - iw'/w^2 + iw'\psi' - \psi'/w)e^{i\psi} = (w'' - 1/w^3)e^{i\psi}$$

$$u'' + ku = 0 \quad \Longrightarrow \quad w'' + kw - \frac{1}{w^3} = 0$$

Any solution of Matthieu-Hill is a linear combination of u, v ,

so set $x = A w(s) \cos(\psi(s) + \phi)$.

$$\frac{x}{w} = A \cos(\psi + \phi), \quad \frac{d}{ds} \left(\frac{x}{w} \right) = -\frac{A}{w^2} \sin(\psi + \phi)$$

$$\Longrightarrow \quad \frac{x^2}{w^2} + (wx' - w'x)^2 = A^2.$$

Or:

$$\hat{\gamma}x^2 + 2\hat{\alpha}xx' + \hat{\beta}x'^2 = A^2$$

where $\hat{\beta} = w^2, \quad \hat{\alpha} = -ww' = -\frac{1}{2}\hat{\beta}', \quad \hat{\gamma} = \frac{1}{w^2} + w'^2 = \frac{1 + \hat{\alpha}^2}{\hat{\beta}}$



Phase-Space Ellipse; Emittance

$$\hat{\gamma}(s)x^2 + 2\hat{\alpha}(s)xx' + \hat{\beta}(s)x'^2 = A^2$$

Area of ellipse is $\pi A^2(\hat{\beta}\hat{\gamma} - \hat{\alpha}^2) = \pi A^2$

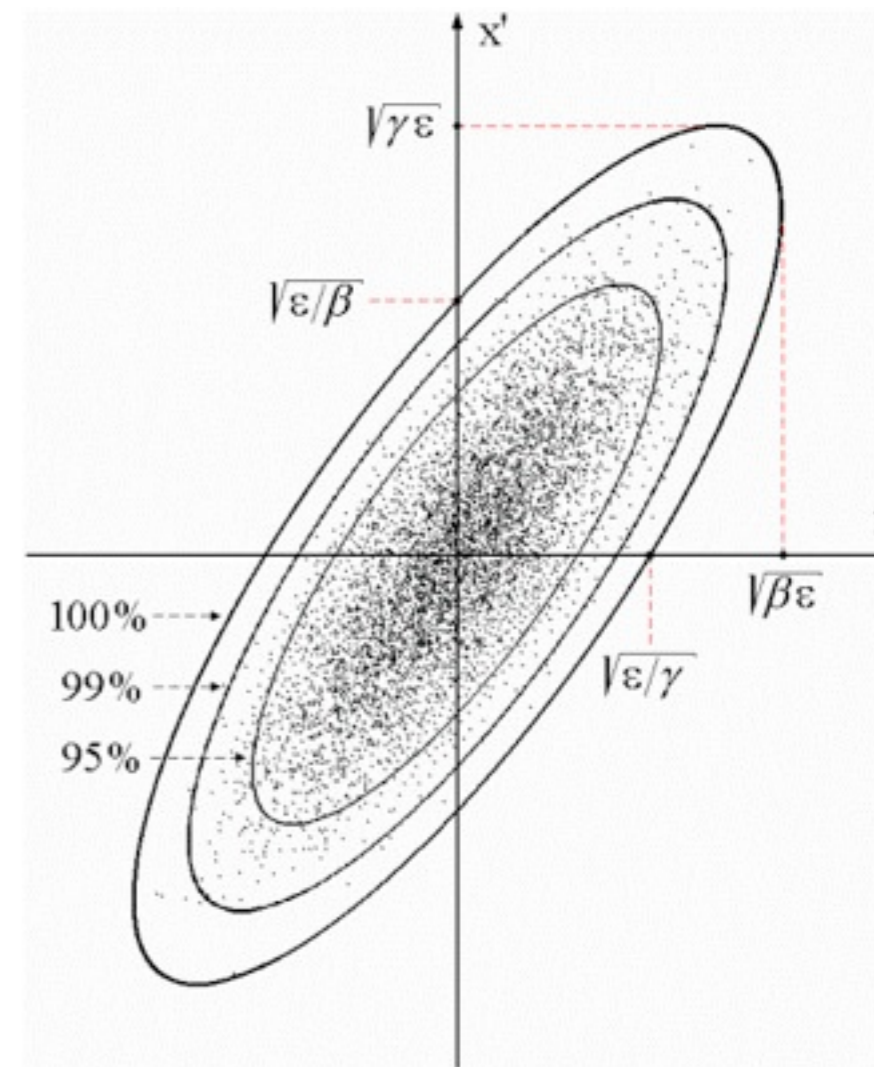
Area of largest ellipse for **all particles in beam** is denoted $\pi\epsilon$

$\hat{\gamma}x^2 + 2\hat{\alpha}xx' + \hat{\beta}x'^2 \leq \epsilon$ is beam ellipse in $x-x'$ phase space and ϵ is called the **beam emittance**

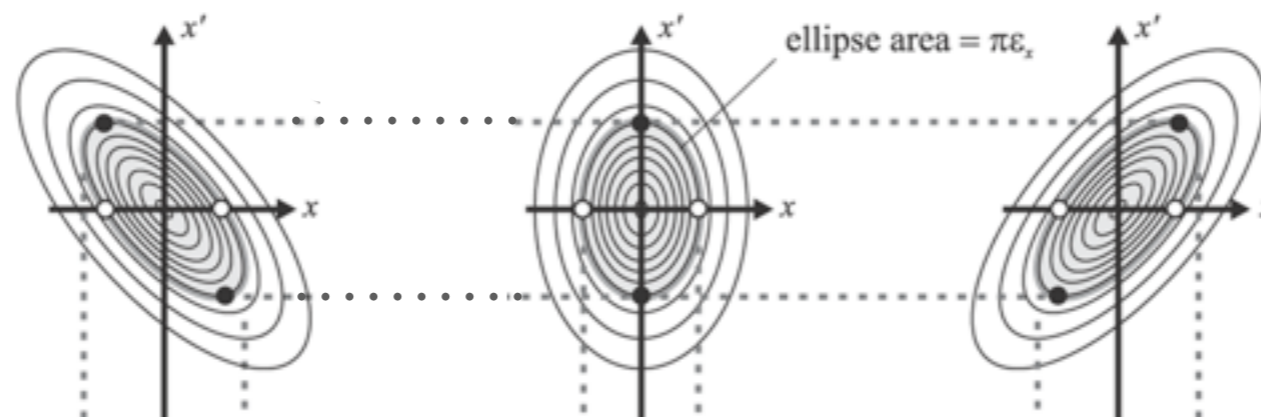
$\hat{\alpha}$, $\hat{\beta}$, $\hat{\gamma}$ are **Courant-Snyder parameters**

Beam size (half-width) is $a(s) = \sqrt{\epsilon\hat{\beta}(s)}$

Phase advance $\psi = \int \psi' ds = \int \frac{ds}{w^2} = \int \frac{ds}{\hat{\beta}}$



- ϵ is a constant of the motion, independent of s
- $\hat{\alpha}, \hat{\beta}, \hat{\gamma}$ determine the shape of the ellipse in $x-x'$ phase space
- For a ring $\hat{\alpha}, \hat{\beta}, \hat{\gamma}$ are periodic functions, so that the ellipse rotates and shears with position s , while its area $\pi\epsilon$ is conserved (no acceleration)



- Phase advance around a ring gives the **tune** (number of oscillations per revolution) $Q = \frac{1}{2\pi} \oint \frac{ds}{\hat{\beta}(s)}$
- Beam envelope given by maximum value of x :


$$a = A_{max}w(s) = \sqrt{\epsilon} w(s)$$

Equation for w then gives the *envelope equation*:

$$w'' + kw - \frac{1}{w^3} = 0 \quad \Longrightarrow \quad a'' + ka - \frac{\epsilon^2}{a^3} = 0$$

Space-Charge: simple idea

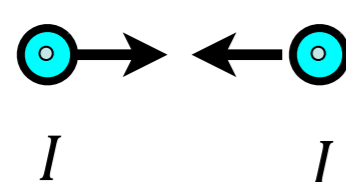
2D point charges q experience repulsive electrostatic force of magnitude


$$F_e = \frac{q^2}{2\pi\epsilon_0 r}$$

Coulomb repulsion

Particles moving with speed v equivalent to two current wires $I = qv$.

Magnetostatic force between two current wires is attractive of magnitude


$$F_m = \frac{\mu_0 I^2}{2\pi r} = \frac{\mu_0 q^2 v^2}{2\pi r} = \frac{v^2}{c^2} F_e$$

Magnetic attraction

Combined force is a repulsive self-field $\left(1 - \frac{v^2}{c^2}\right) F_e$.

For electrons travelling at or close to c , space-charge forces can be negligible.

For proton or ion machines, where $\frac{v}{c} = \beta \sim 0.5$, effects are important.

Note: other factors come in, e.g. intensity.

Relativistic Transformation of Fields

Consider a single particle, charge q , moving with velocity \mathbf{v} .

In rest-frame, electrostatic field \mathbf{E}_0 , and $\mathbf{B}_0 = 0$.

In lab-frame, transformation equations are

$$\begin{aligned}\mathbf{E}_\perp &= \gamma \left(\mathbf{E}_{0\perp} - \mathbf{v} \times \mathbf{B}_0 \right) & \mathbf{E}_\parallel &= \mathbf{E}_{0\parallel} \\ \mathbf{B}_\perp &= \gamma \left(\mathbf{B}_{0\perp} + \frac{1}{c^2} \mathbf{v} \times \mathbf{E}_0 \right) & \mathbf{B}_\parallel &= \mathbf{B}_{0\parallel}\end{aligned}$$

Transverse Lorentz force is

$$\begin{aligned}\mathbf{F}_\perp &= q \left(\mathbf{E}_\perp + \mathbf{v} \times \mathbf{B} \right) = \gamma q \left(\mathbf{E}_{0\perp} + \frac{1}{c^2} \mathbf{v} \times (\mathbf{v} \times \mathbf{E}_0) \right) \\ &= \gamma q \left(1 - \frac{\mathbf{v} \cdot \mathbf{v}}{c^2} \right) \mathbf{E}_{0\perp} \\ &= q \frac{1}{\gamma^2} \mathbf{E}_\perp\end{aligned}$$



Illustration of Space-Charge; Tune Shift

Consider a 2D axisymmetric beam with charge density $\rho(r) = qn(r)$.

Electric field is radial and inside the beam is given by Gauss' Flux Theorem.

{Flux of \mathbf{E} through circle of radius r } = $\frac{1}{\epsilon_0} \times$ {charge enclosed}.

$$\implies 2\pi r E_r(r) = \frac{1}{\epsilon_0} \int \rho dV = \frac{1}{\epsilon_0} \int_0^r 2\pi r \rho(r) dr$$

$$\implies E_r(r) = \frac{q}{\epsilon_0} \frac{1}{r} \int_0^r r n(r) dr$$

Magnetic field is angular, from Ampère's Law $\int \mathbf{B} \cdot d\mathbf{l} = \mu_0 \times$ {current flowing through loop}

$$\implies 2\pi r B_\theta = \mu_0 \int_0^r \beta c \rho(r) 2\pi r dr$$

$$\implies B_\theta = \frac{q\beta}{c\epsilon_0} \frac{1}{r} \int_0^r r n(r) dr$$



Space charge force on a particle is

$$F(r) = q \left(E_r - \beta c B_\theta \right) = \frac{q^2}{\epsilon_0} \frac{1}{r} (1 - \beta^2) \int_0^r r n(r) dr$$

Consider a *Gaussian distribution* $n(r) = A \exp \left(-\frac{r^2}{2\sigma^2} \right)$

where $A = \frac{N}{2\pi\sigma^2}$ and N is the number of particles per unit length.

Then
$$F(r) = \frac{Nq^2}{2\pi\epsilon_0\gamma^2} \frac{1}{r} \left(1 - \exp \left(-\frac{r^2}{2\sigma^2} \right) \right)$$

For betatron oscillations at angular frequency ω , equation of particle motion is

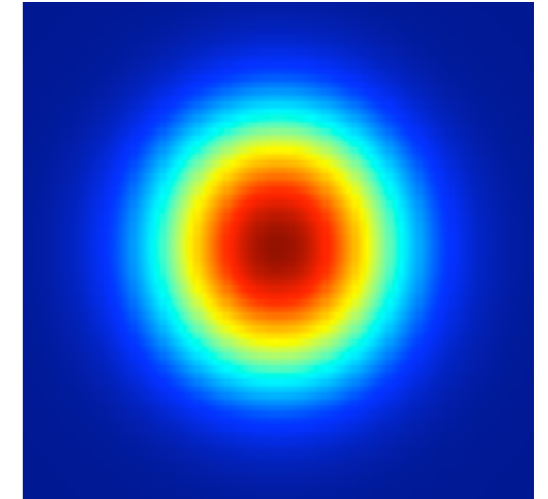
$$\frac{d^2r}{dt^2} + \omega^2 r = \frac{F(r)}{m_0\gamma} = \frac{Nq^2}{2\pi\epsilon_0 m_0 \gamma^3} \frac{1}{r} \left(1 - \exp \left(-\frac{r^2}{2\sigma^2} \right) \right)$$



Consider the Gaussian beam transported round a ring of mean radius R and let ϕ be the azimuthal angle round the ring.

Then $\beta c dt = R d\phi$ and the equation of motion becomes

$$\begin{aligned} \frac{d^2 r}{d\phi^2} + Q^2 r &= \frac{Nq^2 R^2}{2\pi\epsilon_0 m_0 \beta^2 \gamma^3 c^2} \frac{1}{r} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right) \\ &= \frac{2Nr_0 R^2}{\beta^2 \gamma^3} \frac{1}{r} \left(1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right), \end{aligned}$$



where $r_0 = \frac{q^2}{4\pi\epsilon_0 m_0 c^2}$ is the *classical radius* and Q is the *tune*.

$$\begin{aligned} \text{Now } \frac{1}{r} \left\{ 1 - \exp\left(-\frac{r^2}{2\sigma^2}\right) \right\} &= \frac{1}{r} \left\{ 1 - \left(1 - \frac{r^2}{2\sigma^2} + \dots \right) \right\} \\ &= \frac{r}{2\sigma^2} + \dots \end{aligned}$$



Equation of motion becomes: $\frac{d^2 r}{d\phi^2} + \left(Q^2 - \frac{N r_0 R^2}{\sigma^2 \beta^2 \gamma^3} \right) r = 0$

Equivalent to $Q \rightarrow Q + \Delta Q$, where

$$\begin{aligned} Q^2 - \frac{N r_0 R^2}{\sigma^2 \beta^2 \gamma^3} &= (Q + \Delta Q)^2 \\ &\approx Q^2 + 2Q\Delta Q \end{aligned}$$

**Space-charge
tune shift**

\Rightarrow

$$\Delta Q = -\frac{N r_0 R^2}{2Q \sigma^2 \beta^2 \gamma^3}$$

Most pronounced at low energies when $\beta^2 \gamma^3$ is small, particularly for bright, intense beams.

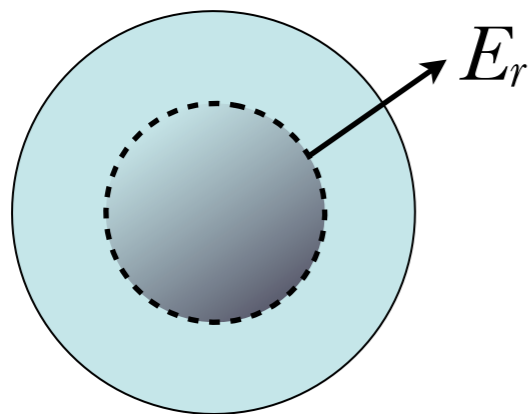


Equations of Motion with Space-Charge

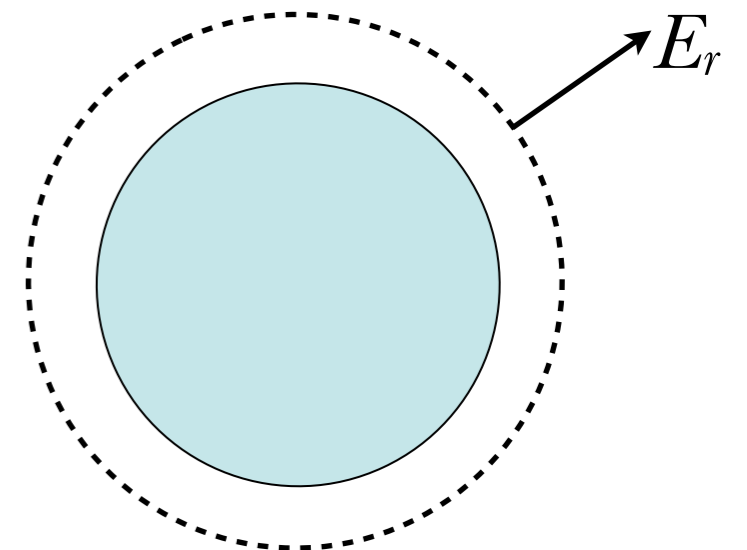
Consider a paraxial beam with uniform circular cross-section of radius a .

Gauss' Flux Theorem gives

$$2\pi r E_r = \frac{1}{\epsilon_0} \times \{\text{charge enclosed}\} = \begin{cases} \rho\pi r^2 / \epsilon_0 & r < a \\ \rho\pi a^2 / \epsilon_0 & r > a \end{cases}$$



$$E_r = \begin{cases} \frac{Nqr}{2\pi\epsilon_0 a^2} & r \leq a \\ \frac{Nq}{2\pi\epsilon_0 r} & r > a \end{cases}$$



Within the beam, space-charge forces are:

$$E_x = \frac{x}{r} E_r, \quad E_y = \frac{y}{r} E_r \quad \implies \quad \mathbf{E} = \frac{Nq}{2\pi\epsilon_0 a^2} (x, y).$$



Equations of Motion are:

For round beam,
need axisymmetric
focusing, $k_x=k_y=k$

$$x'' + k(s)x = \frac{q}{m_0\gamma^3\beta^2c^2}E_x$$

$$y'' + k(s)y = \frac{q}{m_0\gamma^3\beta^2c^2}E_y$$

or:

$$x'' + \left(k(s) - \frac{K}{a^2}\right)x = 0$$

$$y'' + \left(k(s) - \frac{K}{a^2}\right)y = 0$$

$$K = \frac{I}{I_0} \frac{2}{\beta^3\gamma^3}$$

perveance

I is the beam current $I = Nq\beta c$

I_0 is the *characteristic current* $I_0 = \frac{4\pi\epsilon_0 m_0 c^3}{q} \approx \frac{1}{30} \frac{m_0 c^2}{q}$

$$\approx \begin{cases} 17 \text{ kA} & \text{for electrons} \\ 31(A/Z) \text{ MA} & \text{for ions} \end{cases}$$

Corresponding envelope equation is:

$$a'' + ka - \frac{\epsilon^2}{a^3} - \frac{K}{a} = 0.$$



Incoherent Tune Shift

Assume an unbunched beam, uniform density, circular cross-section in a ring, mean radius R .

$$x'' + (k(s) + k_{sc}(s)) x = 0$$

$$\text{where } k_{sc} = -\frac{K}{a^2} = -\frac{I}{I_0} \frac{2}{\beta^3 \gamma^3} \frac{1}{a^2} = -\frac{2Ir_0}{q\beta^3 \gamma^3 c} \frac{1}{a^2}$$

$$\Delta Q_x = \frac{1}{4\pi} \oint K_x(s) \beta_x(s) ds = \frac{1}{4\pi} \oint k_{sc}(s) \beta_x(s) ds$$

$$\Delta Q_x = -\frac{1}{4\pi} \int_0^{2\pi R} \frac{2r_0 I}{q\beta^3 \gamma^3 c} \frac{\beta_x(s)}{a^2} ds = -\frac{r_0 R I}{q\beta^3 \gamma^3 c} \left\langle \frac{\beta_x(s)}{a^2(s)} \right\rangle = \frac{1}{\epsilon_x}$$

$$\Delta Q_{x,y} = -\frac{r_0 R I}{q\beta^3 \gamma^3 c \epsilon_{x,y}}, \quad \text{with } I = \frac{Nq\beta c}{2\pi R}$$

$$\Delta Q_{x,y} = -\frac{r_0 N}{2\pi \beta^2 \gamma^3 \epsilon_{x,y}}$$



$$\Delta Q_{x,y} = -\frac{r_0 N}{2\pi\beta^2\gamma^3 \epsilon_{x,y}}$$

- N = total number of particles in the ring
- $\epsilon_{x,y}$ = horizontal (vertical) 100% emittance
- Direct space charge effect
- Does not depend on machine size $2\pi R$
- Vanishes for $\gamma \gg 1$
- Important effect in low energy machines
- *Incoherent* tune shift
 - particle moves within the beam

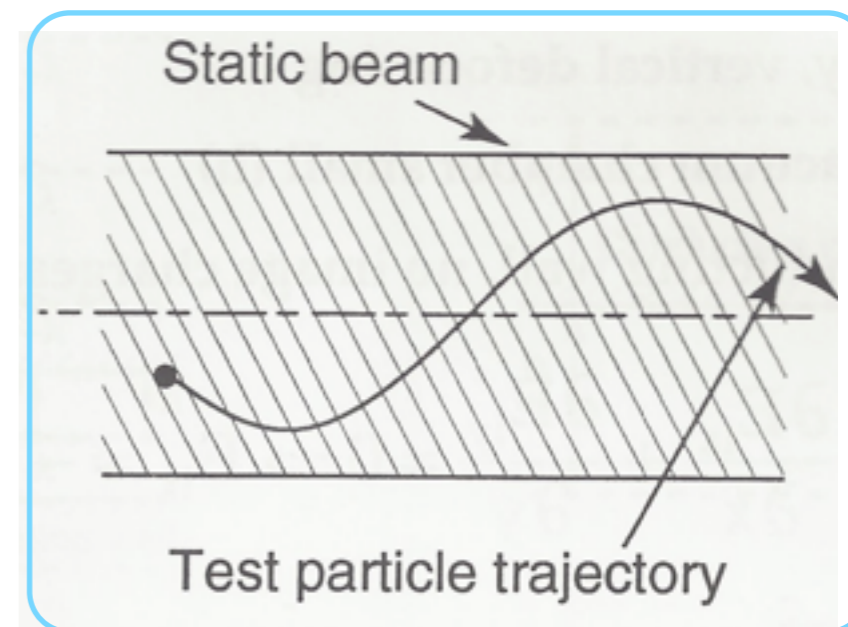
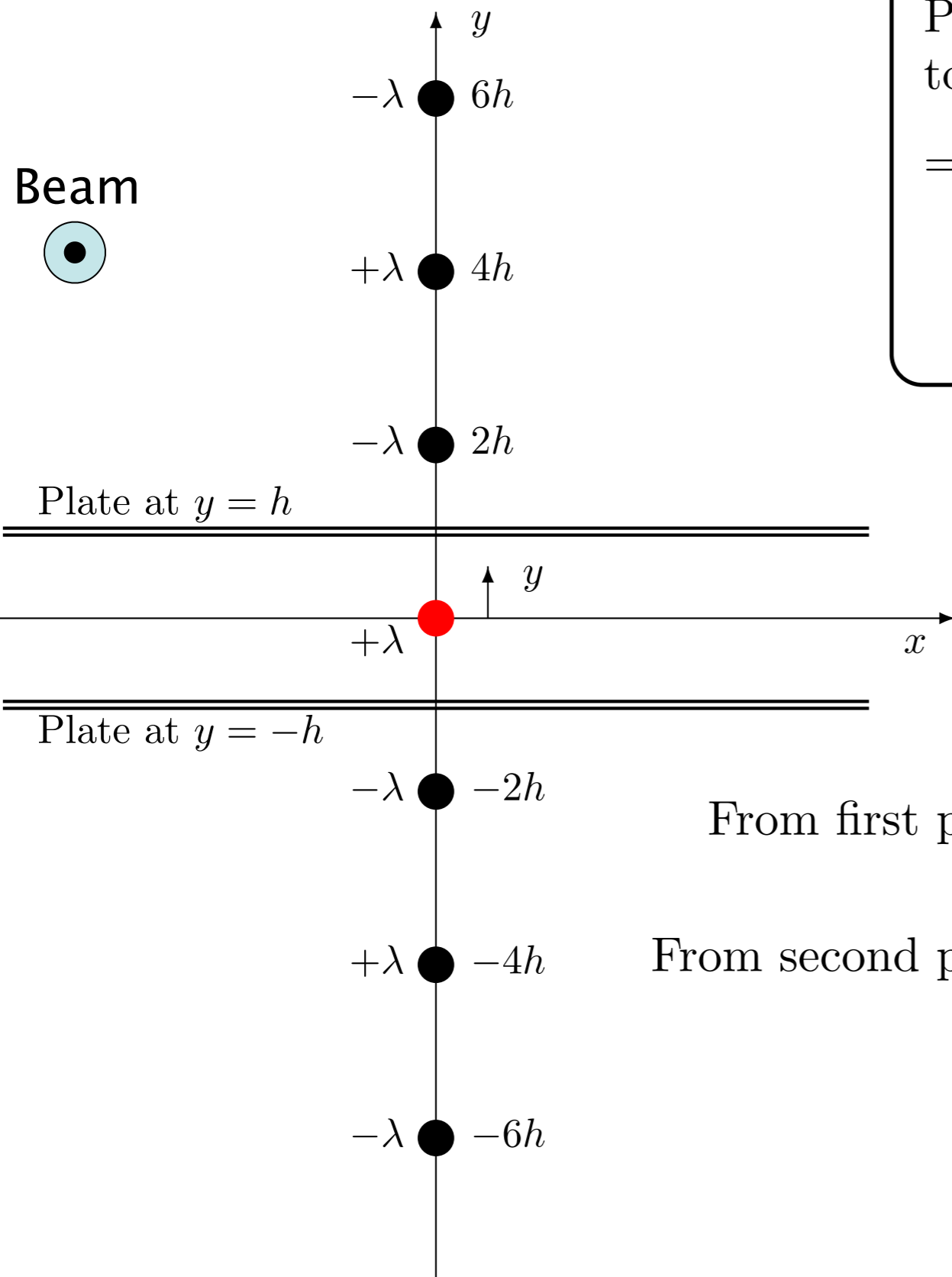


Image Effects - Parallel Conducting Plates



Perfectly conducting collector plates parallel to beam pipe

⇒ infinite system of images

$$+λ \quad \text{at} \quad ±4nh \quad n = 1, 2, \dots$$

$$-λ \quad \text{at} \quad ±2(2n - 1)h \quad n = 1, 2, \dots$$

Field created by line charge at distance d is

$$E_y = \frac{\lambda}{2\pi\epsilon_0} \frac{1}{d}$$

From first pair of images

$$E_{1y} = \frac{\lambda}{2\pi\epsilon_0} \left(\frac{1}{2h - y} - \frac{1}{2h + y} \right)$$

From second pair of images

$$E_{2y} = \frac{\lambda}{2\pi\epsilon_0} \left(\frac{1}{4h + y} - \frac{1}{4h - y} \right)$$



Images produce an extra electrostatic field given by

$$E_y^i = \frac{\lambda}{2\pi\epsilon_0} \sum_{n=1}^{\infty} \left[\frac{1}{2(2n-1)h-y} - \frac{1}{2(2n-1)h+y} \right] + \frac{\lambda}{2\pi\epsilon_0} \sum_{n=1}^{\infty} \left[\frac{1}{4nh+y} - \frac{1}{4nh-y} \right]$$

$$= \frac{\lambda}{2\pi\epsilon_0} \sum_{n=1}^{\infty} \left[\frac{2y}{4(2n-1)^2h^2 - y^2} - \frac{2y}{4(2n)^2h^2 - y^2} \right]$$

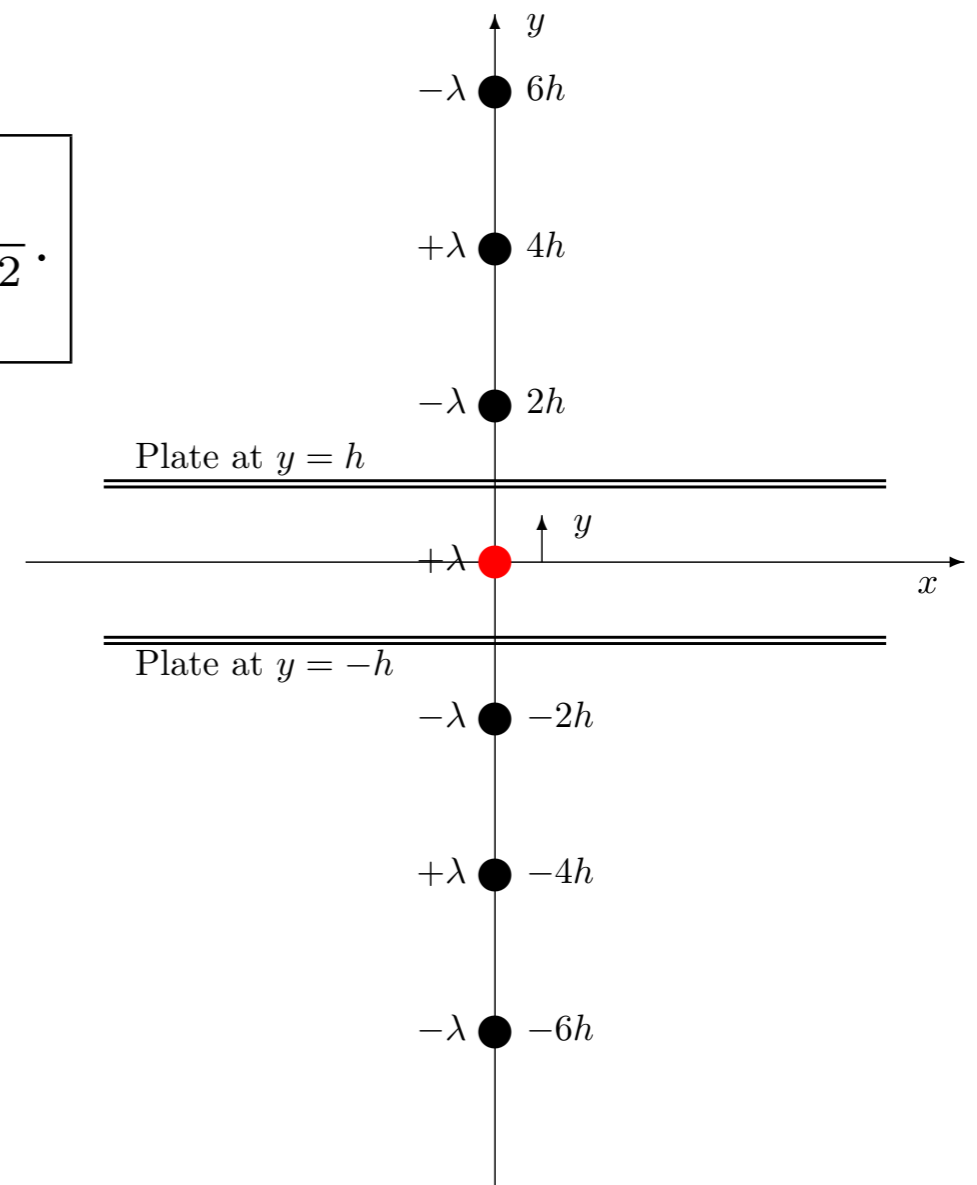
$$\Rightarrow E_y^i = \frac{\lambda y}{\pi\epsilon_0} \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{4n^2h^2 - y^2}$$

For small y ,

$$E_y^i \approx \frac{\lambda y}{4\pi\epsilon_0 h^2} \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n^2}$$

$$\sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n^2} = \frac{\pi^2}{12}$$

$$\Rightarrow E_y^i \approx \frac{\lambda\pi y}{48\epsilon_0 h^2}$$



- Vertical image field vanishes at $y = 0$
- Field linear in y , vertically defocusing
- Field large if vacuum chamber is small

$$E_y^i \approx \frac{\lambda\pi}{48\epsilon_0 h^2} y$$

There are no image charges between the conducting walls (i.e. in the vacuum chamber), so

$$\begin{aligned} \text{div } \mathbf{E}^i = 0 &\implies \frac{\partial E_x^i}{\partial x} + \frac{\partial E_y^i}{\partial y} = 0 \\ &\implies E_x^i = -\frac{\lambda\pi}{48\epsilon_0 h^2} x \end{aligned}$$

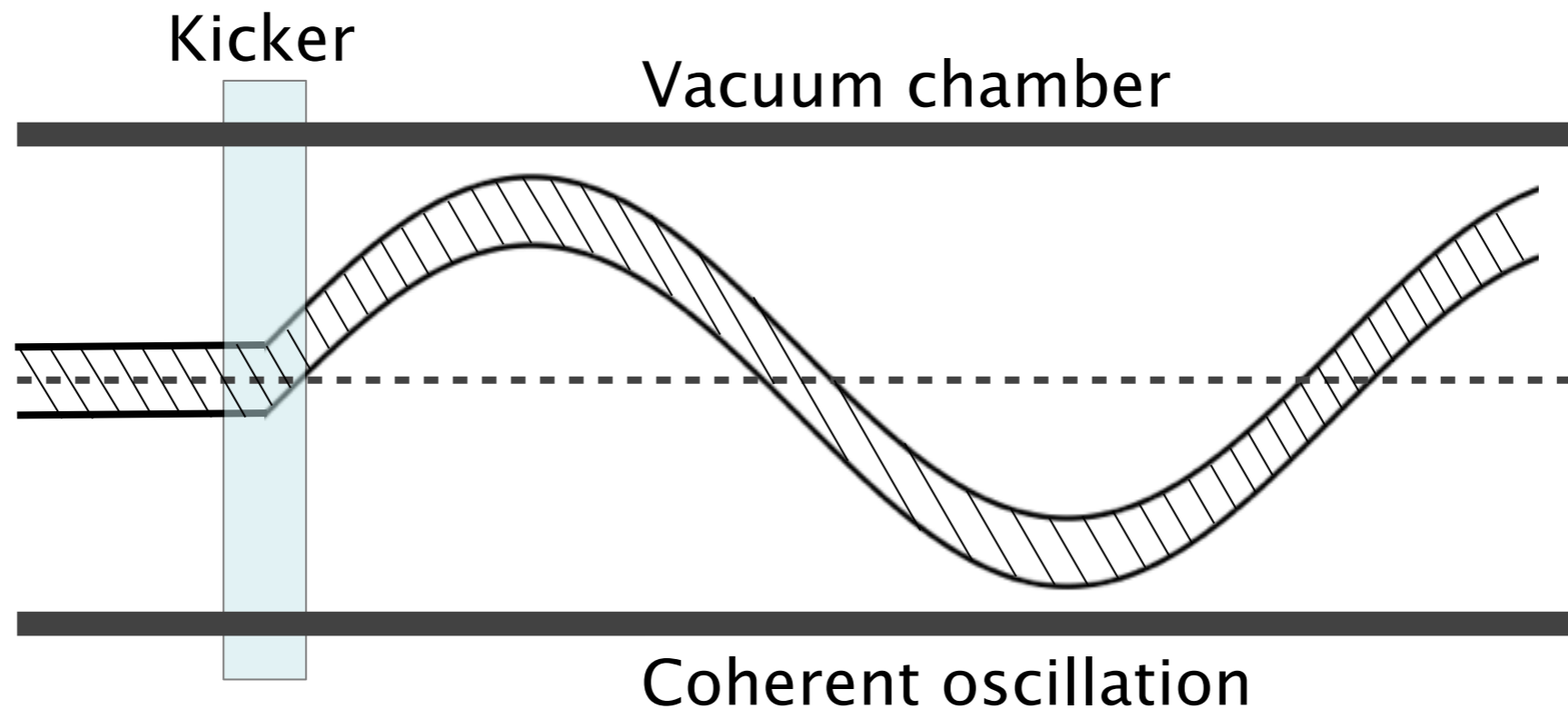
$$\implies \text{image forces } F_y^i = \frac{q\lambda}{\pi\epsilon_0 h^2} \frac{\pi^2}{48} y, \quad F_x^i = -\frac{q\lambda}{\pi\epsilon_0 h^2} \frac{\pi^2}{48} x$$

Incoherent tune shift for round beam between parallel conducting walls

$$\begin{aligned} \Delta Q_x &= -\frac{2r_0 I R \langle \beta_x \rangle}{qc\beta^3 \gamma} \left[\frac{1}{2\langle a^2 \rangle \gamma^2} - \frac{\pi^2}{48h^2} \right] \\ &\qquad\qquad\qquad \text{direct} \qquad \text{image} \\ \Delta Q_y &= -\frac{2r_0 I R \langle \beta_y \rangle}{qc\beta^3 \gamma} \left[\frac{1}{2\langle a^2 \rangle \gamma^2} + \frac{\pi^2}{48h^2} \right] \end{aligned}$$

- Image effects $\sim \frac{1}{\gamma}$
- (Electrical) image effects normally focusing in horizontal, defocusing in vertical planes.

Coherent Tune Shift

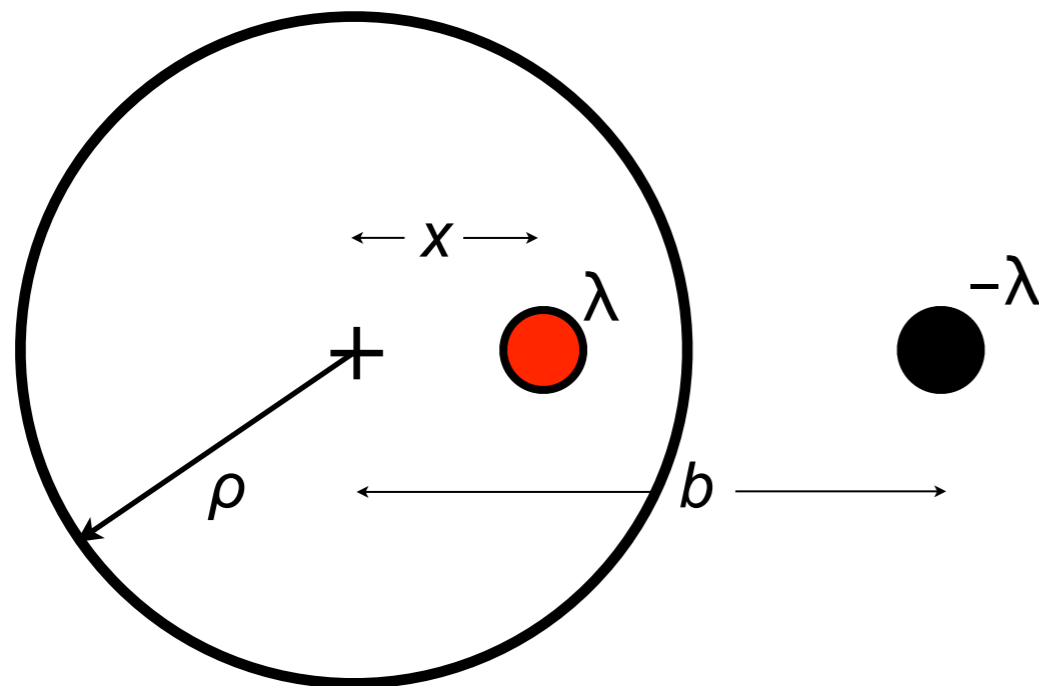


The centre of mass moves, performing betatron oscillations as a whole. The beam environment influences the coherent tune \Rightarrow coherent tune shift



Image Effects - Circular Pipe

x = mean position of beam
 beam radius $\ll \rho$



Single image line charge $-\lambda$ at inverse point b where

$$bx = \rho^2$$

Beam experiences a field

$$\begin{aligned} E_x^i &= \frac{\lambda}{2\pi\epsilon_0} \frac{1}{b-x} = \frac{\lambda}{2\pi\epsilon_0} \frac{1}{\rho^2/x - x} \\ &= \frac{\lambda}{2\pi\epsilon_0} \frac{x}{\rho^2 - x^2} \\ &\approx \frac{\lambda x}{2\pi\epsilon_0 \rho^2} \quad \text{for small } x \end{aligned}$$

$$\Delta Q_{x,y,\text{coh}} = -\frac{r_0 R \langle \beta_{x,y} \rangle I}{ec\beta^3 \gamma \rho^2} = -\frac{r_0 \langle \beta_{x,y} \rangle N}{2\pi\beta^2 \gamma \rho^2}$$

- Only weak dependence on γ
- Coherent ΔQ never positive

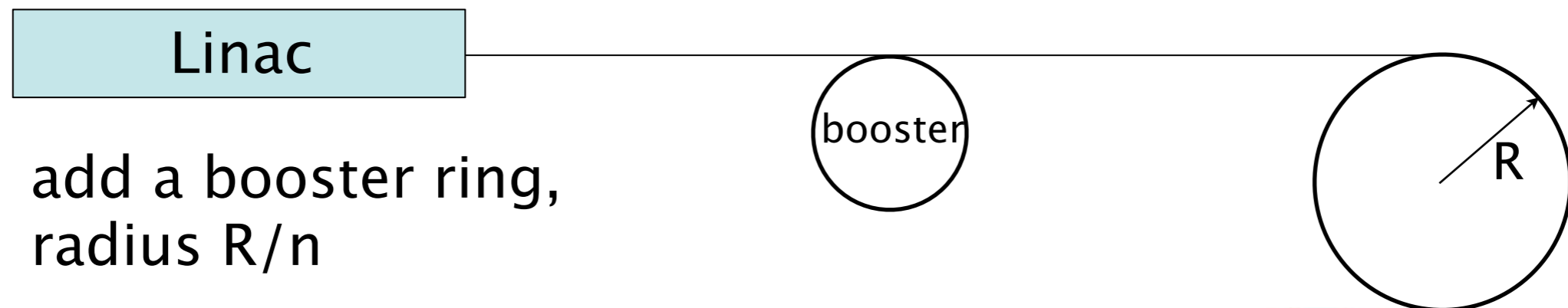
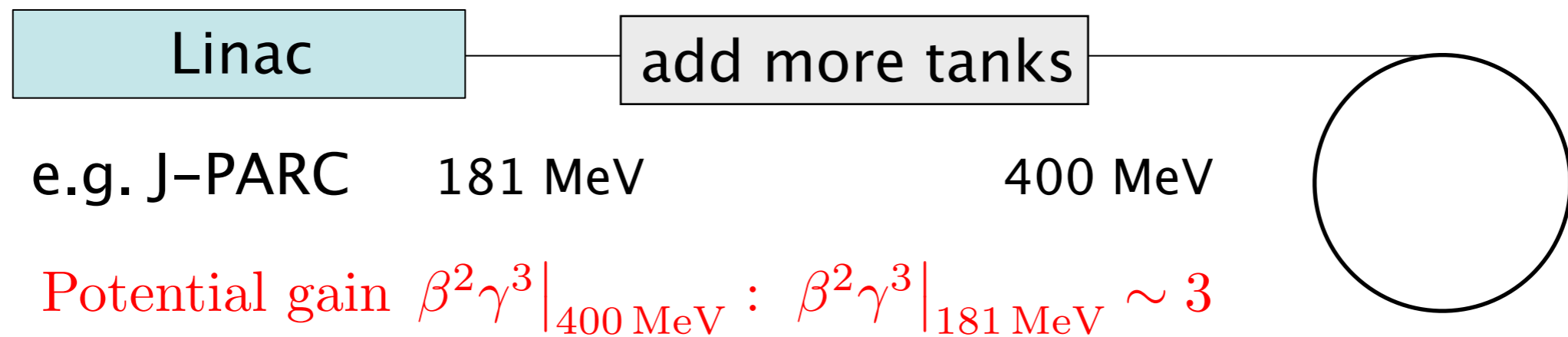


Space-Charge Limit

$$\Delta Q \propto \frac{N}{\epsilon \beta^2 \gamma^3}$$

Proton/ion machines will be limited in N because ΔQ will cross resonances when filling the acceptance.

N can be increased by increasing the injection energy and hence $\beta^2 \gamma^3$ without changing ΔQ .

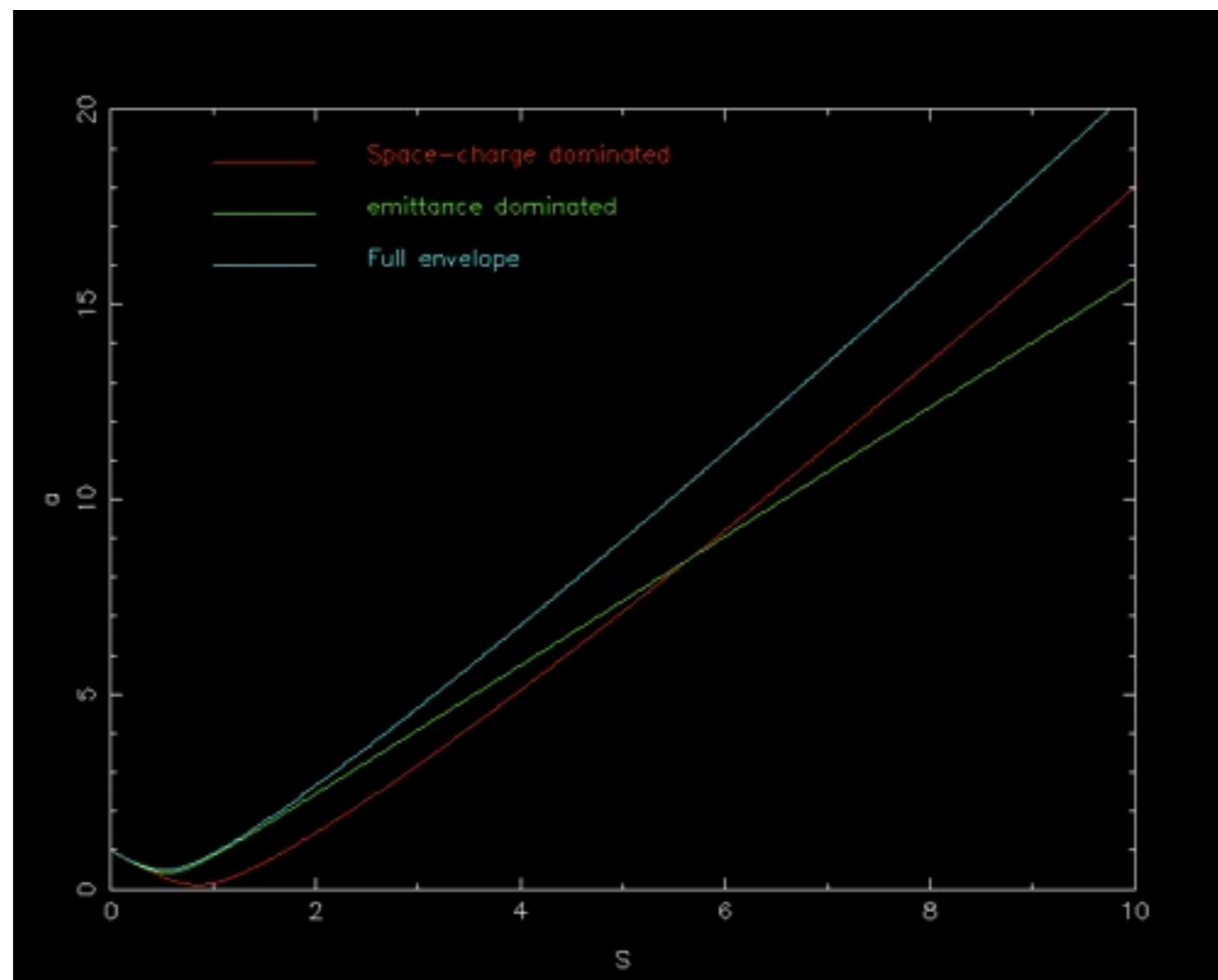


Envelope Equation

$$a'' + k(s)a - \frac{\epsilon^2}{a^3} - \frac{K}{a} = 0$$

$\epsilon^2 \gg Ka^2 \implies$ *Emittance dominated*

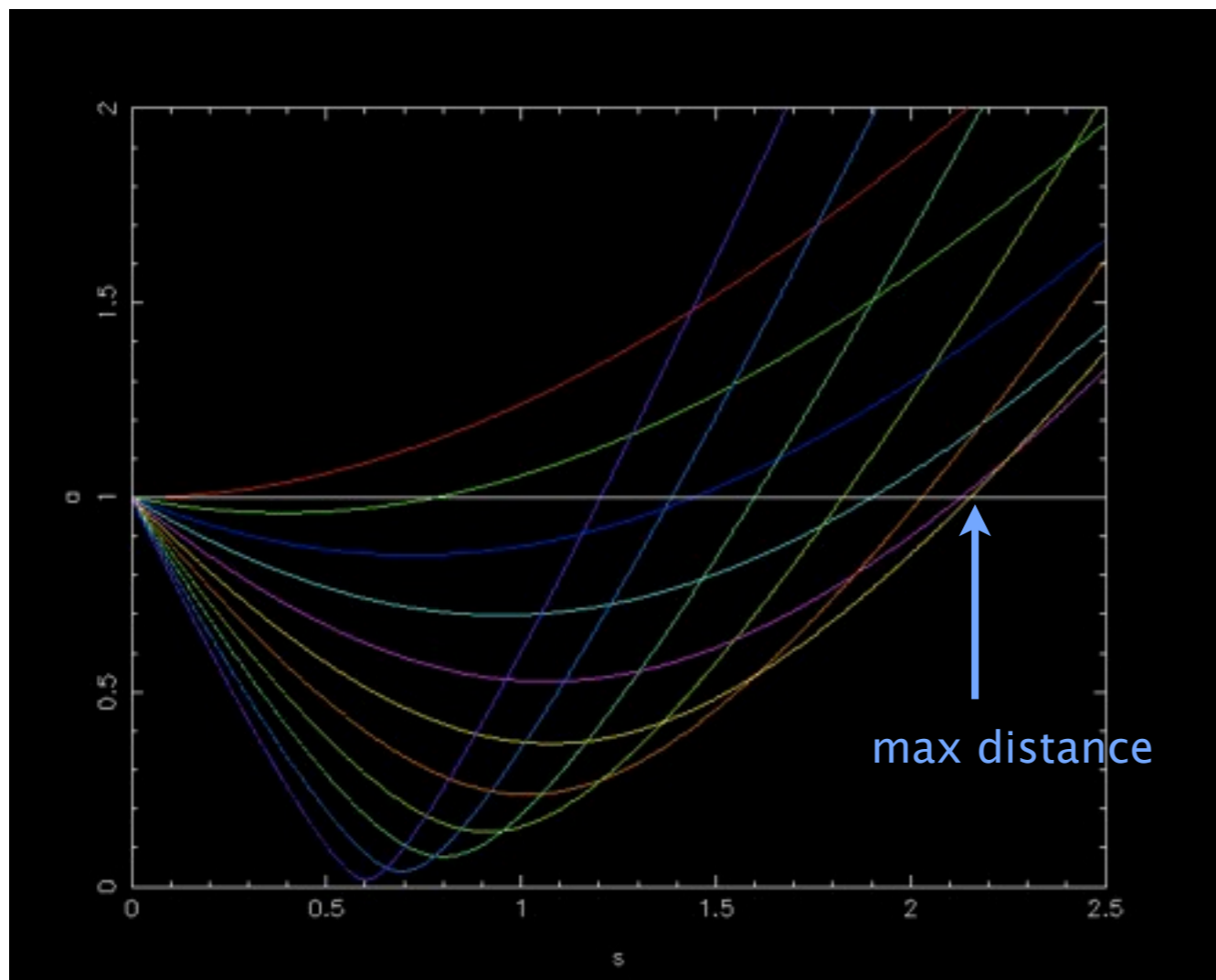
$\epsilon^2 \ll Ka^2 \implies$ *Space-charge dominated*



For space-charge dominated beams: $a'' = \frac{K}{a}$ with initial values a_0, a'_0

Use normalised coordinates $\mathcal{A} = \frac{a}{a_0}$, $\mathcal{S} = \sqrt{2K} \frac{s}{a_0}$

$$\Rightarrow \ddot{\mathcal{A}} \equiv \frac{d^2 \mathcal{A}}{d\mathcal{S}^2} = \frac{1}{2\mathcal{A}}, \text{ which integrates to } \dot{\mathcal{A}}^2 - \dot{\mathcal{A}}_0^2 = \ln \mathcal{A}$$



Beam size v. distance (normalised units)

In some high current applications, need to transport beam through tube of diameter D and length L with help of a focussing lens. Require waist at centre, equal diameters at ends.

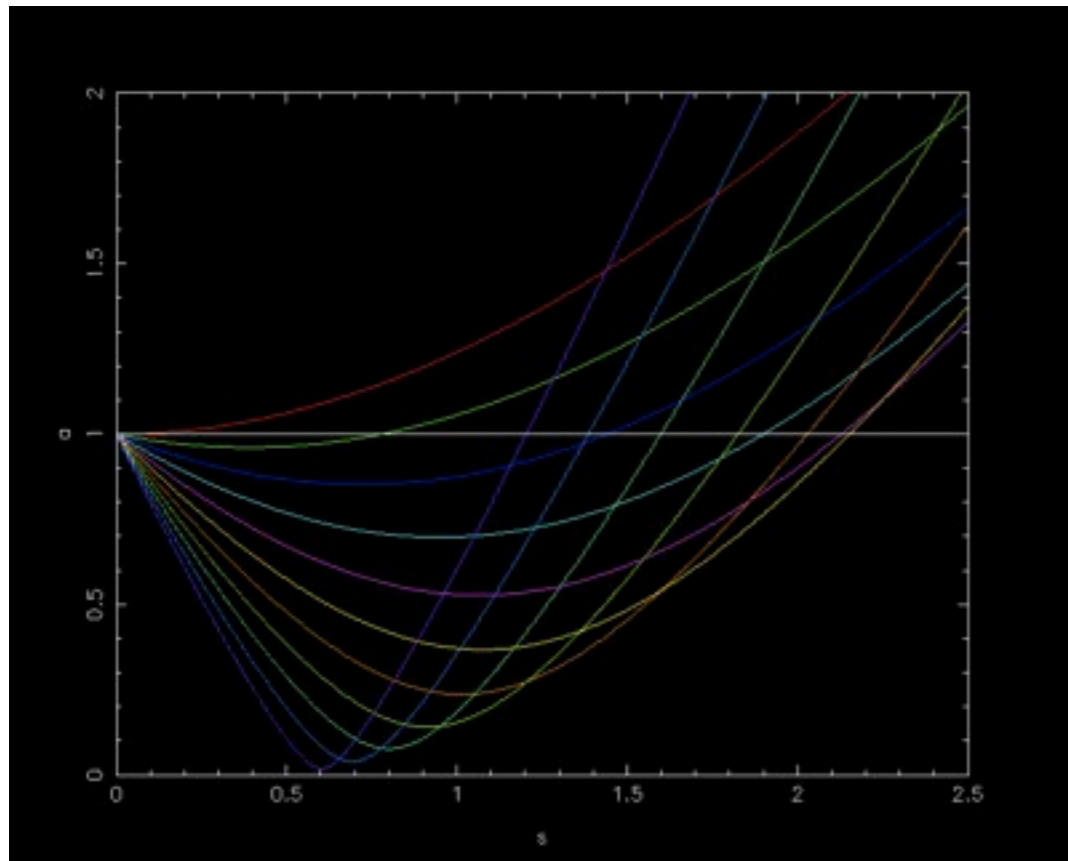
Graphs show a maximum value $S=2.16$, hence a maximum current that can be transported for a given tube diameter.

$$L = 2.16 \frac{a_0}{\sqrt{2K}} = 2.16 \frac{D/2}{\sqrt{2K}}$$

$$\Rightarrow I_{max} = \frac{1}{4} I_0 (\beta\gamma)^3 \left(\frac{1.08D}{L} \right)^2$$



Consider upper curve, which has $a'_0=0$.



Beam radius doubles in (normalised) distance
 $S \approx 2.12$

Then

$$\dot{\mathcal{A}}^2 = \ln \mathcal{A} \implies \frac{1}{\mathcal{A}} d\mathcal{A} = 2\dot{\mathcal{A}} d\dot{\mathcal{A}}$$

$$\implies d\mathcal{S} = \frac{d\mathcal{A}}{\dot{\mathcal{A}}} = 2\mathcal{A} d\dot{\mathcal{A}}$$

$$\implies \mathcal{S} = 2 \int_0^{[\ln \mathcal{A}]^{\frac{1}{2}}} e^{\dot{\mathcal{A}}^2} d\dot{\mathcal{A}}$$

For $1 \leq \mathcal{A} \leq 2$, this can be approximated to $\sim 3\%$ by $\mathcal{S} \approx 2(\mathcal{A} - 1)^{\frac{1}{2}}$.

Then beam radius is $\mathcal{A} = \frac{a}{a_0} = 1 + \frac{1}{4}\mathcal{S}^2 = 1 + \frac{1}{2}K \left(\frac{s}{a_0}\right)^2$

or $\frac{a}{a_0} = 1 + \frac{I}{I_0} \frac{1}{(\beta\gamma)^3} \left(\frac{s}{a_0}\right)^2$



For an electron beam $I_0 \sim 1.7 \times 10^4$

Beam doubles in size when $s = 1.31 \times 10^2 \times (\gamma^2 - 1)^{3/4} I^{-1/2} a_0$

If kinetic energy is equal to rest energy 511 keV, and current is 200 A, then

$$s = 21.0a_0, \quad \text{or} \quad 52.5 \text{ cm for a } 2.5 \text{ cm beam.}$$

For a proton beam, $I_0 \sim 3.13 \times 10^7$

so doubling occurs when $s = 5.59 \times 10^3 \times (\gamma^2 - 1)^{3/4} I^{-1/2} a_0$

For $T = T_{\text{rest}} = 938 \text{ MeV}$, $I \sim 45 \text{ A}$, $s \sim 200a_0$.



For the complete envelope equation (round beam, no focusing),

$$a'' = \frac{\epsilon^2}{a^3} + \frac{K}{a}$$

Multiply by $2a'$ and integrate:

$$a'^2 = -\frac{\epsilon^2}{a^2} + 2K \ln a + \text{constant}$$

$$\Rightarrow a' = \pm \left[a_0'^2 + \epsilon^2 \left(\frac{1}{a_0^2} - \frac{1}{a^2} \right) + 2K \ln \frac{a}{a_0} \right]^{\frac{1}{2}}$$

and

$$s = \int_{a_0}^a \left[a_0'^2 + \epsilon^2 \left(\frac{1}{a_0^2} - \frac{1}{a^2} \right) + 2K \ln \frac{a}{a_0} \right]^{-\frac{1}{2}} da$$

If $K \neq 0$ this has to be evaluated numerically.



Beam Transport in a Uniform Focusing Channel

Assume no applied accelerating force and canonical angular momentum $p_\theta = 0$

Paraxial ray equation for axisymmetric beam of radius a is

$$r'' + k_0^2 r - \frac{K}{a^2} r = 0$$

where k_0^2 represents linear external focusing force, K is the perveance.

Corresponding envelope equation is

$$a'' + k_0^2 a - \frac{K}{a} - \frac{\epsilon^2}{a^3} = 0$$

Best known example is the long solenoid channel, where

$$k_0 = \frac{\omega_L}{\beta c} = \frac{|qB|}{2m_0\gamma\beta c}, \quad \omega_L = \text{Larmor frequency}$$

For microwave sources, using time t as independent variable, ray equation is

$$\ddot{r} + \omega_0^2 r - \frac{1}{2}\omega_p^2 r = 0, \quad \text{where } \omega_p \text{ is the plasma frequency, } \omega_p^2 = \frac{2K\beta^2 c^2}{a^2}$$

Matched Beams

For a constant focusing channel, there is a special solution with $a = \text{constant}$.
Beam envelope is a straight line.

$$a'' = 0 \quad \Longrightarrow \quad k_0^2 a - \frac{K}{a} - \frac{\epsilon^2}{a^3} = 0$$

Introduce the *wave number* k defined by $k^2 = \left(\frac{2\pi}{\lambda}\right)^2 = k_0^2 - \frac{K}{a^2}$

Then $ka^2 = \epsilon$

In terms of frequencies, $\omega^2 = \omega_0^2 - \frac{1}{2}\omega_p^2$

k and ω define the wavelength $\lambda = 2\pi/k$ and oscillation frequency due to the action of both the applied force and the space-charge force.

Note $\omega < \omega_0$. ω/ω_0 is the *tune depression* due to space-charge.



Special Cases

1. Laminar flow, $\epsilon = 0$.

Beam radius is $a_B = \frac{K^{\frac{1}{2}}}{k_0}$ *Brillouin flow*

2. Negligible space-charge, $K \approx 0$.

Beam radius is $a_0 = \left(\frac{\epsilon}{k_0}\right)^{\frac{1}{2}}$ c.f. Twiss $\hat{\beta} = 1/k_0$, constant.

Introduce dimensionless parameter $u = \frac{K}{2k_0\epsilon}$ into $k_0^2 a - \frac{K}{a} - \frac{\epsilon^2}{a^3} = 0$.

Then $\left(\frac{a}{a_0}\right)^4 - 2u \left(\frac{a}{a_0}\right)^2 - 1 = 0 \implies a = a_0 \left[u + \sqrt{1 + u^2}\right]^{\frac{1}{2}}$

Equivalently $a = \frac{1}{2} a_B \left[1 + \sqrt{1 + u^{-2}}\right]^{\frac{1}{2}}$

Without space-charge, a beam of zero emittance has radius a_0 .

As current increases, beam radius expands and diameter of beam pipe needs to be large enough to accommodate.

Suppose maximum beam size is $a_0 = a_m$; then *acceptance* is $\alpha = k_0 a_m^2$.

Maximum beam current that can be transported follows from the maximum perveance:

$$K = k_0^2 a_m^2 - \frac{\epsilon^2}{a_m^2} = k_0 \alpha \left[1 - \left(\frac{\epsilon}{\alpha} \right)^2 \right]$$

$$\implies I = \frac{1}{2} I_0 \beta^3 \gamma^3 k_0 \alpha \left[1 - \left(\frac{\epsilon}{\alpha} \right)^2 \right]$$

Observe:

- transportable current increases rapidly with particle energy
- acceptance α must exceed the emittance ϵ of the beam.
- Maximum current when $\epsilon/\alpha \rightarrow 0$ (laminar beam limit).
In this case, $K = k_0^2 a^2$ or $\omega_0^2 = \frac{1}{2} \omega_p^2$ (well known result for nonrelativistic ideal Brillouin flow)

Tune Depression

Zero space-charge particle equation $r'' + k_0^2 r = 0$

Space-charge particle equation $r'' + k^2 r = 0$

Frequencies $\omega_0 = k_0 \beta c, \omega = k \beta c$

Recall $ka^2 = \epsilon, k_0 a^2 = \alpha, u = \frac{K}{2k_0 \epsilon}$ and $k_0^2 a - \frac{K}{a} - \frac{\epsilon^2}{a^3} = 0$

$$\implies 0 = k_0^2 - \frac{K}{a^2} - \left(\frac{\epsilon}{a^2}\right)^2 = k_0^2 - \frac{2k_0 \epsilon u}{a^2} - k^2 = k_0^2 - 2kk_0 u - k^2.$$

Therefore tune depression is $\frac{\omega}{\omega_0} = \frac{k}{k_0} = \frac{\epsilon}{\alpha} = \sqrt{1 + u^2} - u$

Limit between space-charge and emittance dominated beams is $Ka^2 = \epsilon^2$

$$\implies \frac{K}{k_0} = \frac{\epsilon^2}{\alpha} \implies u = \frac{K}{2k_0 \epsilon} = \frac{\epsilon}{2\alpha} = \frac{\omega}{2\omega_0}; \text{ then find } u = \frac{1}{2\sqrt{2}}$$

$$\implies \frac{\omega}{\omega_0} = \frac{1}{\sqrt{2}} = 0.71$$

For tune shifts below 0.71, beam is dominated by space-charge; above 0.71, emittance dominates

Mismatched Beams

Matched beam radius \bar{a} : $k_0^2 \bar{a} - \frac{K}{\bar{a}} - \frac{\epsilon^2}{\bar{a}^3} = k^2 \bar{a} - \frac{\epsilon^2}{\bar{a}^3} = 0$

Mismatched beam: put $a = \bar{a} + X$ in envelope equation, $|X| \ll \bar{a}$

Then $X'' + k_0^2(\bar{a} + X) - \frac{K}{\bar{a}} \left(1 + \frac{X}{\bar{a}}\right)^{-1} - \frac{\epsilon^2}{\bar{a}^3} \left(1 + \frac{X}{\bar{a}}\right)^{-3} = 0$

\implies (to first-order) $X'' + \left(k_0^2 + \frac{K}{\bar{a}^2} + 3\frac{\epsilon^2}{\bar{a}^4}\right) X = 0$

So envelope oscillations have the form $X'' + k_e^2 X = 0$

where $k_e^2 = k_0^2 + \frac{K}{\bar{a}^2} + 3\frac{\epsilon^2}{\bar{a}^4}$
 $= k_0^2 + (k_0^2 - k^2) + 3k^2 = 2k_0^2 + 2k^2$

Single particles oscillate with frequency ω while the envelope oscillates

with frequency $\omega_e = \left[2\omega_0^2 + 2\omega^2\right]^{\frac{1}{2}} = \sqrt{2}\omega_0 \left[1 + \left(\frac{\omega}{\omega_0}\right)^2\right]^{\frac{1}{2}}$

In terms of the plasma frequency $\omega_p^2 = \frac{2K}{\bar{a}^2} = 2(\omega_0^2 - \omega^2),$

$$\omega_e = [4\omega_0^2 - \omega_p^2] = 2\omega_0 \left[1 - \frac{1}{4} \left(\frac{\omega_p}{\omega_0} \right)^2 \right]$$

Known as *in-phase mode* for an axisymmetric beam. Solutions for the quadrupole (elliptical) case give the *out-of-phase mode*

$$\omega_e = \sqrt{2}\omega_0 \left[1 + \left(\frac{\omega}{\omega_0} \right)^2 \right]^{\frac{1}{2}} = \begin{cases} 2\omega_0 & K = 0 \\ \sqrt{2}\omega_0 & \epsilon = 0 \end{cases}$$

For a long solenoid channel and zero intensity ($K = 0$), particles oscillate at the Larmor frequency while the envelope of the mismatched beam oscillates at the cyclotron frequency.

For ideal Brillouin flow ($\epsilon = 0$), $\omega = \sqrt{2}\omega_0 = \omega_p$ and envelope oscillates with the plasma frequency.

Note: valid only for small mismatch $|X| \ll \bar{a}.$

Beams with Elliptical Cross-section

Space-charge potential for a uniform round beam is ($\mathbf{E} = -\nabla\phi$):

$$\phi(x, y, s) = -\frac{Nq}{4\pi\epsilon_0 a^2} (x^2 + y^2).$$

For a uniform *elliptical* beam with semi-axes a, b ,

$$\rho(x, y) = \begin{cases} \frac{Nq}{\pi ab}, & \frac{x^2}{a^2} + \frac{y^2}{b^2} \leq 1 \\ 0 & \text{otherwise} \end{cases}$$

The electric field within the beam, from Poisson's equation $\nabla^2\phi = -\rho/\epsilon_0$, is

$$\mathbf{E} = \frac{Nq}{\pi\epsilon_0(a+b)} \left(\frac{x}{a}, \frac{y}{b} \right).$$

corresponding to a potential

$$\phi(x, y, s) = -\frac{Nq}{2\pi\epsilon_0(a+b)} \left(\frac{x^2}{a} + \frac{y^2}{b} \right)$$



These give the coupled set of equations for beam particles and beam envelope:

$$x'' + k_x(s)x - \frac{2K}{a+b} \frac{x}{a} = 0$$

$$y'' + k_y(s)y - \frac{2K}{a+b} \frac{y}{b} = 0$$

$$a'' + k_x(s)a - \frac{\epsilon_x^2}{a^3} - \frac{2K}{a+b} = 0$$

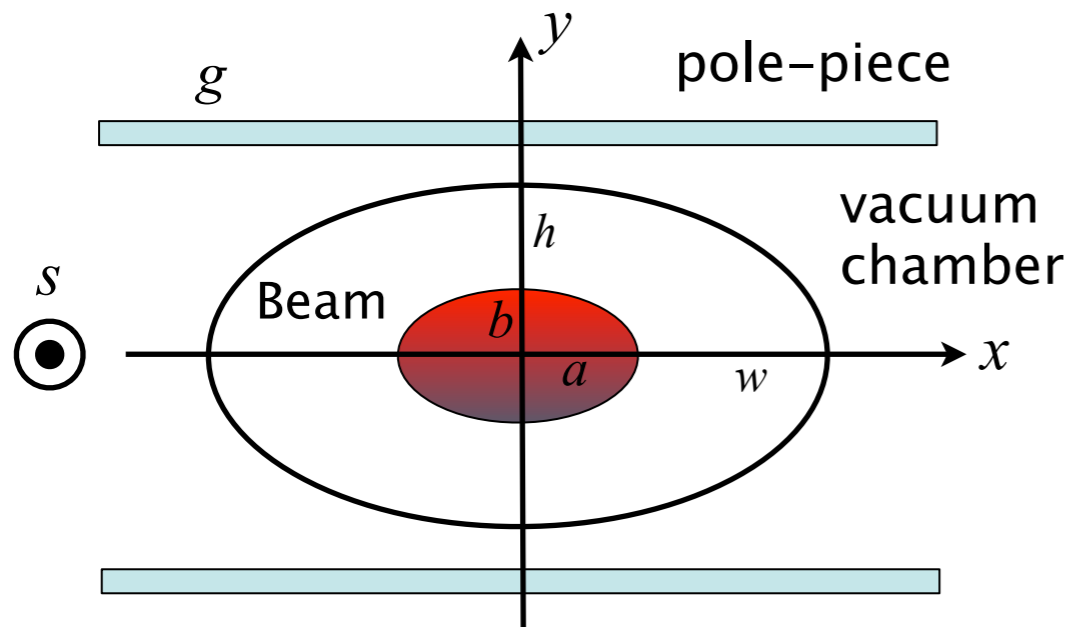
$$b'' + k_y(s)b - \frac{\epsilon_y^2}{b^3} - \frac{2K}{a+b} = 0$$

Numerical integration:

Codes (Agile, KVBL) can design and optimise a linear focusing channel or ring by varying field gradients etc, similar to MADX.

Note: phase advance (tune) is $\int \frac{1}{\hat{\beta}} ds = \int \frac{\epsilon_x}{a^2} ds \quad \implies \quad Q \approx \frac{R}{\langle \beta \rangle} = \frac{R\epsilon_x}{\langle a^2 \rangle}$

Laslett Coefficients



Uniform, elliptical beam in an elliptical vacuum chamber

$$\Delta Q_{y,\text{inc}} = -\frac{Nr_0\langle\beta_y\rangle}{\pi\beta^2\gamma} \left(\underbrace{\frac{\zeta_0^y}{b^2\gamma^2}}_{\text{Direct}} + \underbrace{\frac{\zeta_1^y}{h^2}}_{\text{electr. image}} + \underbrace{\beta^2\frac{\zeta_2^y}{g^2}}_{\text{magnetic image}} \right)$$

$$\Delta Q_{y,\text{coh}} = -\frac{Nr_0\langle\beta_y\rangle}{\pi\beta^2\gamma} \left(\frac{\xi_1^y}{h^2} + \beta^2\frac{\xi_2^y}{g^2} \right)$$

Similarly for ΔQ_x . In general $|\Delta Q_y| > |\Delta Q_x|$

$\xi_1, \xi_2, \zeta_0, \zeta_1, \zeta_2$ are the Laslett Coefficients
(L.J. Laslett 1963)



Laslett coefficients	Circular (a = b, w = h)	Elliptical (e.g. w = 2h)	Parallel plates (h/w = 0)
ζ_0^x	$\frac{1}{2}$	$\frac{b^2}{a(a+b)}$	
ζ_0^y	$\frac{1}{2}$	$\frac{b}{a+b}$	
ζ_1^x	0	-0.172	-0.206
ζ_1^y	0	0.172	0.206 (= $\pi^2/48$)
ξ_1^x	$\frac{1}{2}$	0.083	0
ξ_1^y	$\frac{1}{2}$	0.55	0.617 (= $\pi^2/16$)
ζ_2^x	-0.411 (= $-\pi^2/24$)	-0.411	-0.411
ζ_2^y	0.411	0.411	0.411
ζ_2^x	0	0	0
ζ_2^y	0.617 (= $\pi^2/16$)	0.617	0.617



Incoherent ΔQ : Practical Formulae

$$\Delta Q_y = -\frac{r_0}{\pi} \left(\frac{q^2}{A} \right) \frac{N}{\beta^2 \gamma^3} \frac{F_y G_y}{B_f} \left\langle \frac{\beta_y}{b(a+b)} \right\rangle$$

$$\left\langle \frac{\beta_y}{b(a+b)} \right\rangle = \left\langle \frac{\beta_y}{b^2 \left(1 + \frac{a}{b}\right)} \right\rangle \approx \frac{1}{\epsilon_y \left(1 + \sqrt{\frac{\epsilon_x Q_y}{\epsilon_y Q_x}}\right)}$$

$$\Delta Q_{x,y} = -\frac{r_0}{\pi} \left(\frac{q^2}{A} \right) \frac{N}{\beta^2 \gamma^3} \frac{F_{x,y} G_{x,y}}{B_f} \frac{1}{\epsilon_{x,y} \left(1 + \sqrt{\frac{\epsilon_{y,x} Q_{x,y}}{\epsilon_{x,y} Q_{y,x}}}\right)}$$

q/A = charge/mass ratio for ions, e.g. $\frac{q}{A} = \frac{6}{16}$ for ${}_{16}\text{O}^{6+}$

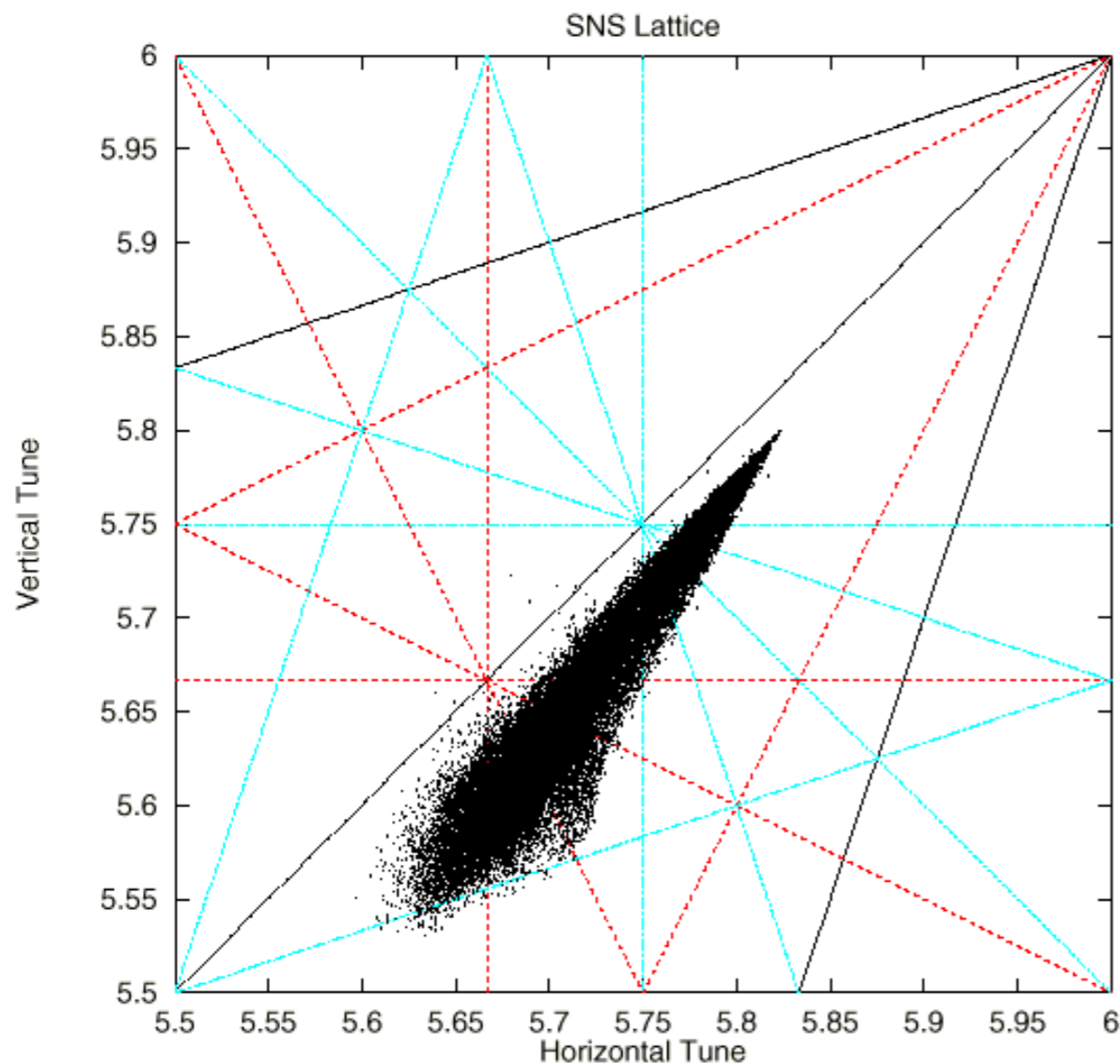
$F_{x,y}$ = "Form factor" depending on images etc

$G_{x,y}$ = "Form factor" depending on particle distribution ($G = 1$ for uniform)

$$B_f = \frac{\bar{\lambda}}{\hat{\lambda}} = \frac{\bar{I}}{\hat{I}}, \quad \text{Bunching factor}$$



Q-Spread during Accumulation



Tune-spread “necktie” diagram during beam injection/accumulation in the SNS. Modelled using the ORBIT code with non-linear space-charge.

