

# Vacuum II

G. Franchetti

CAS - Bilbao

# Index

Creating Vacuum (continuation)

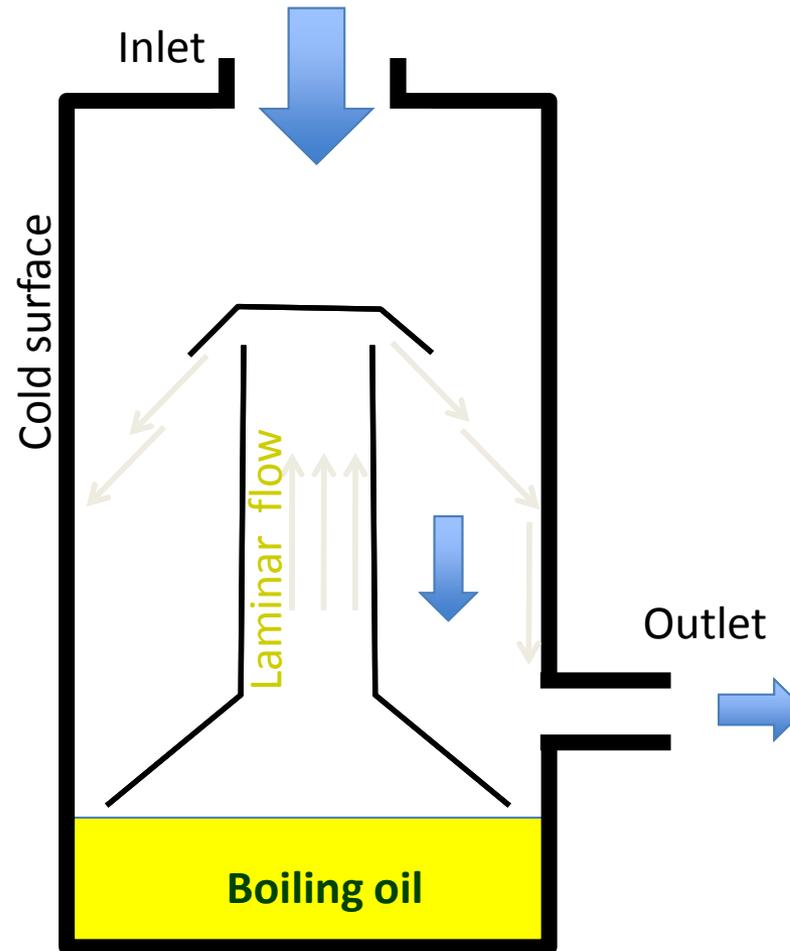
Measuring Vacuum

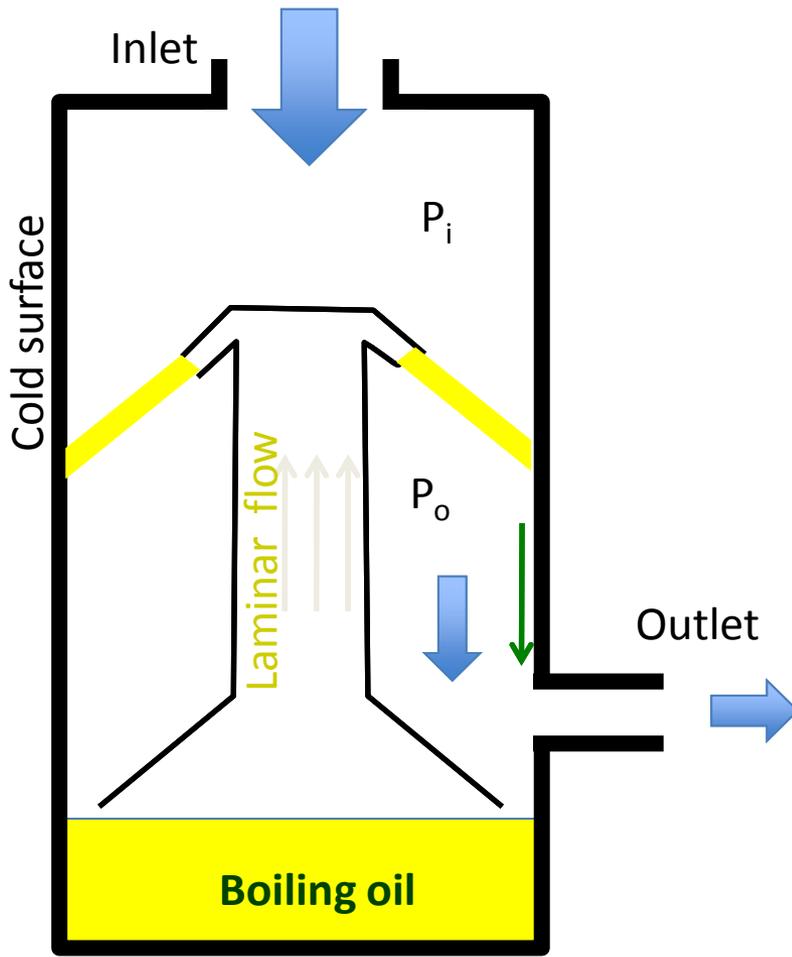
Partial Pressure Measurements

# Diffusion Ejector pump

Schematic of the pump

operating pressure:  
 $10^{-3} - 10^{-8}$  mbar

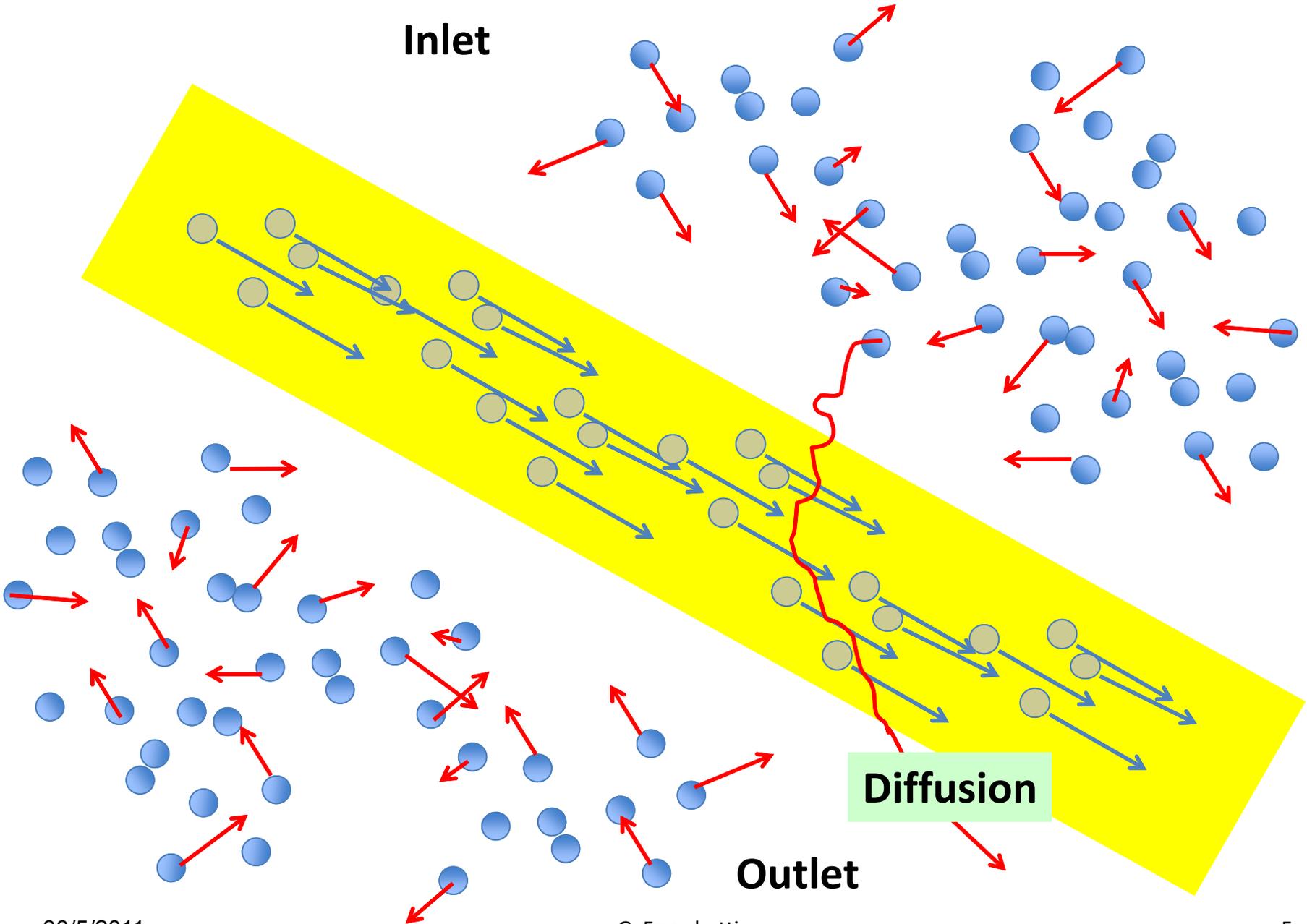




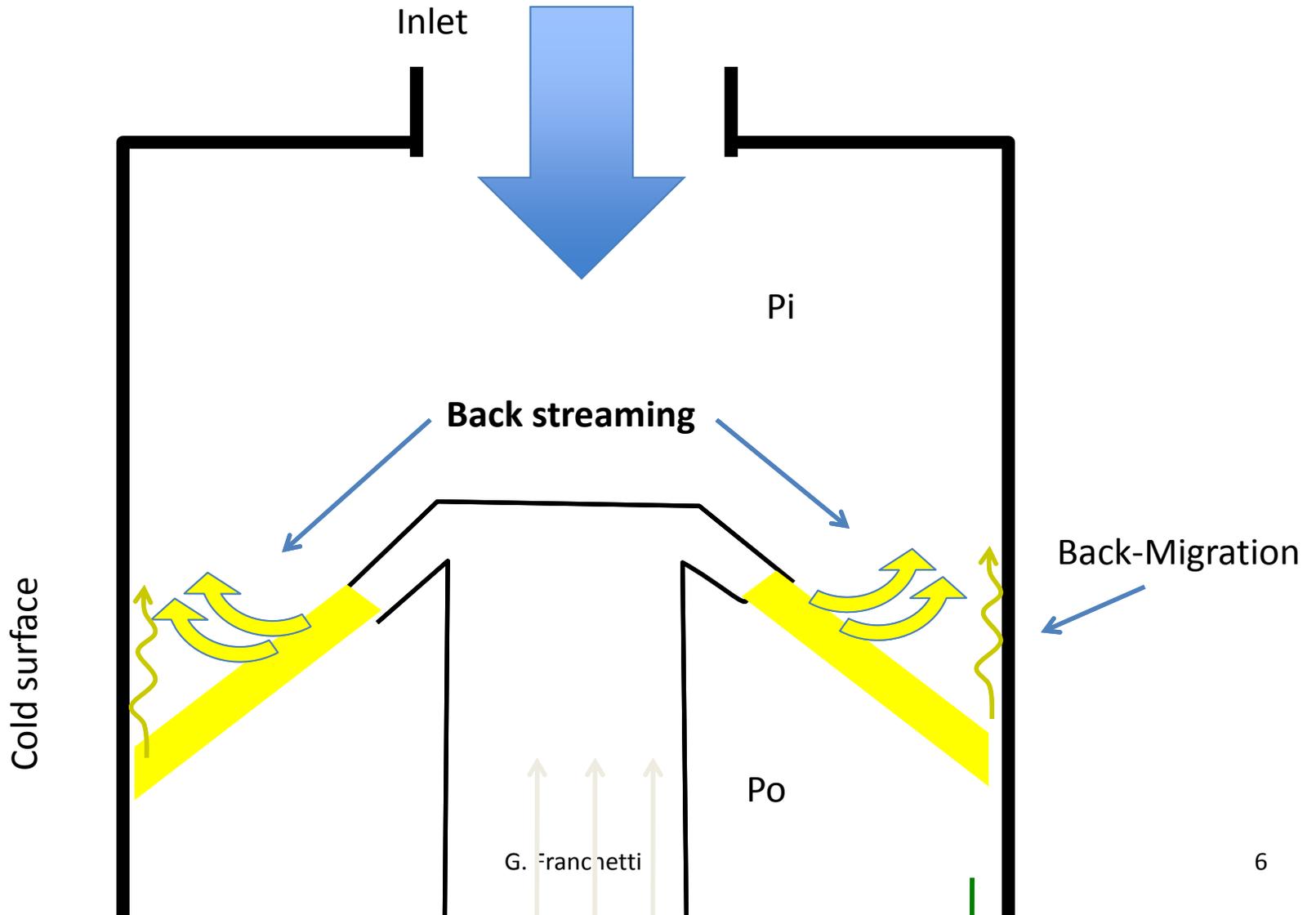
### Pump principle:

The vacuum gas diffuses into the jet and gets kicked by the oil molecules imprinting a downward momentum

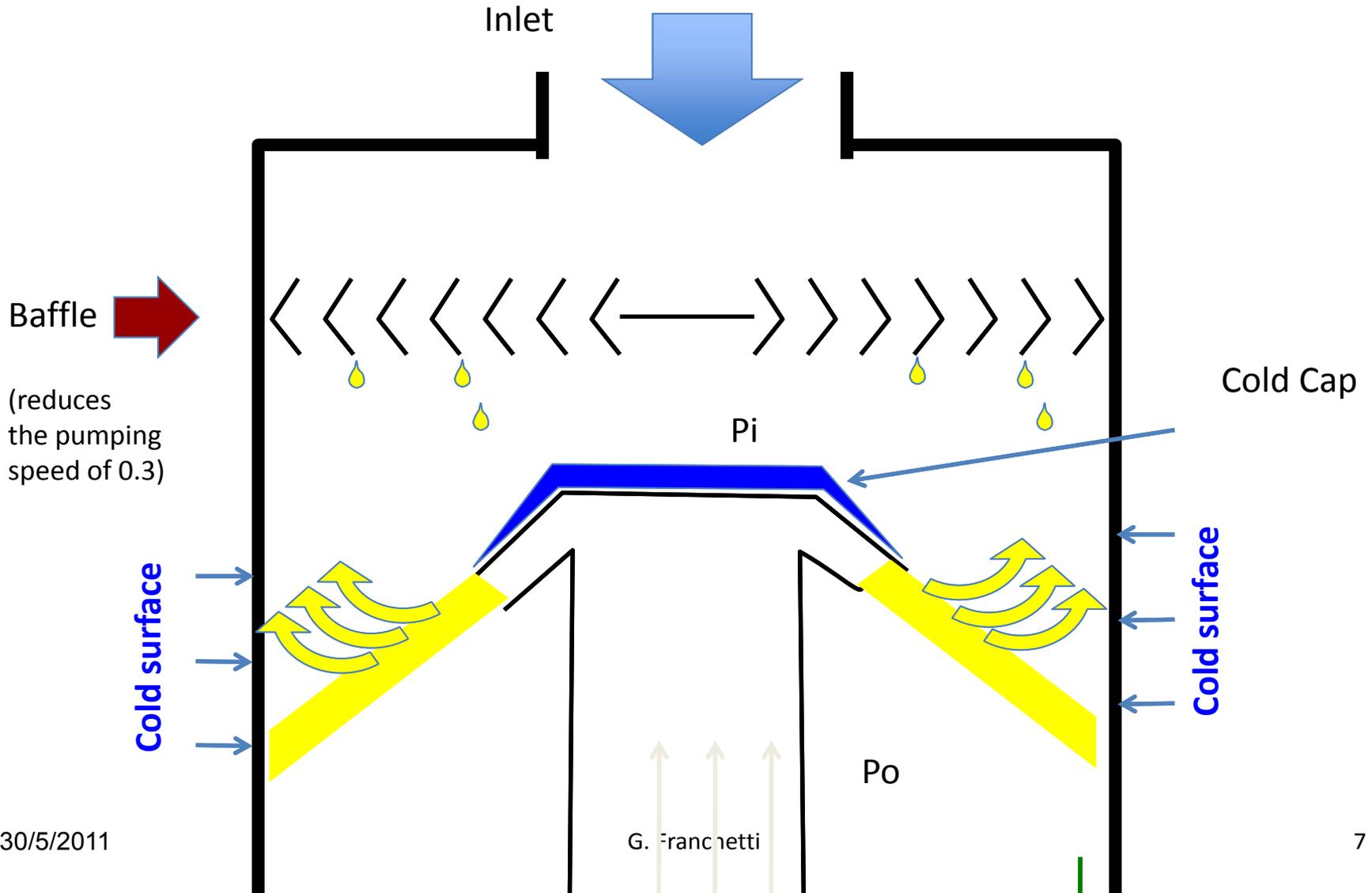
The oil jets produces a skirt which separate the inlet from the outlet

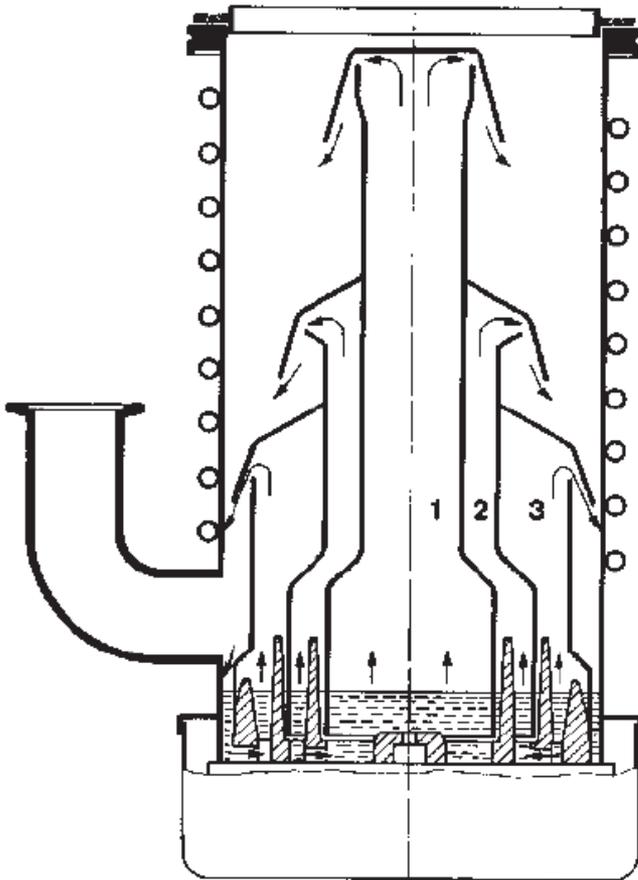


# Problems



# Cures





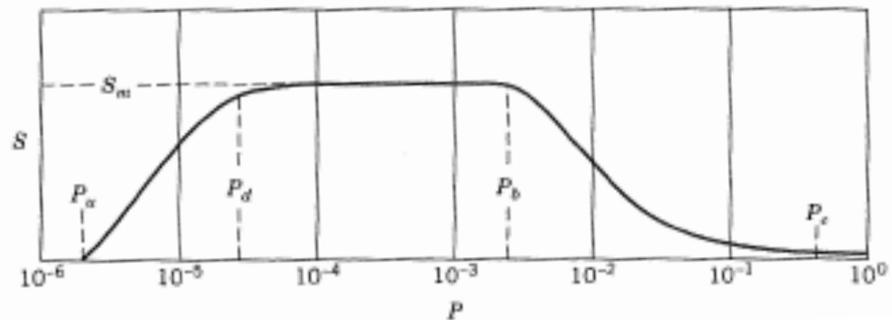
LEYBOLD (LEYBODIFF)



with  $p_u \sim 10^{-6}$  mbar

The pumping speed  $S_m$  is proportional to the area of the inlet port

100 mm diameter  $\rightarrow S_m = \sim 250$  l/s for  $N_2$



# Capture Vacuum Pumps

## Principle

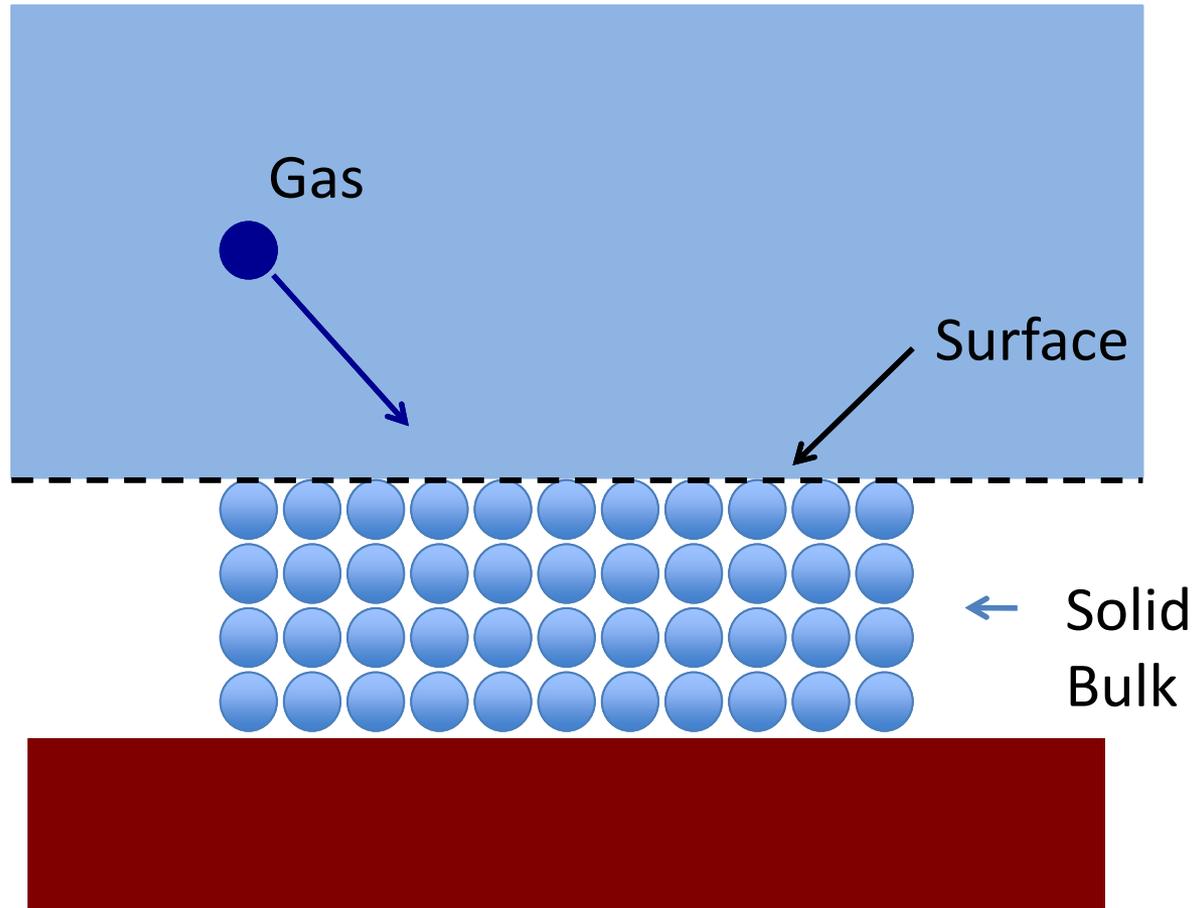
Capture vacuum pumps are based on the process of capture of vacuum molecules by surfaces

**Getter Pumps**  
(evaporable, non-evaporable)

**Sputter ion Pumps**

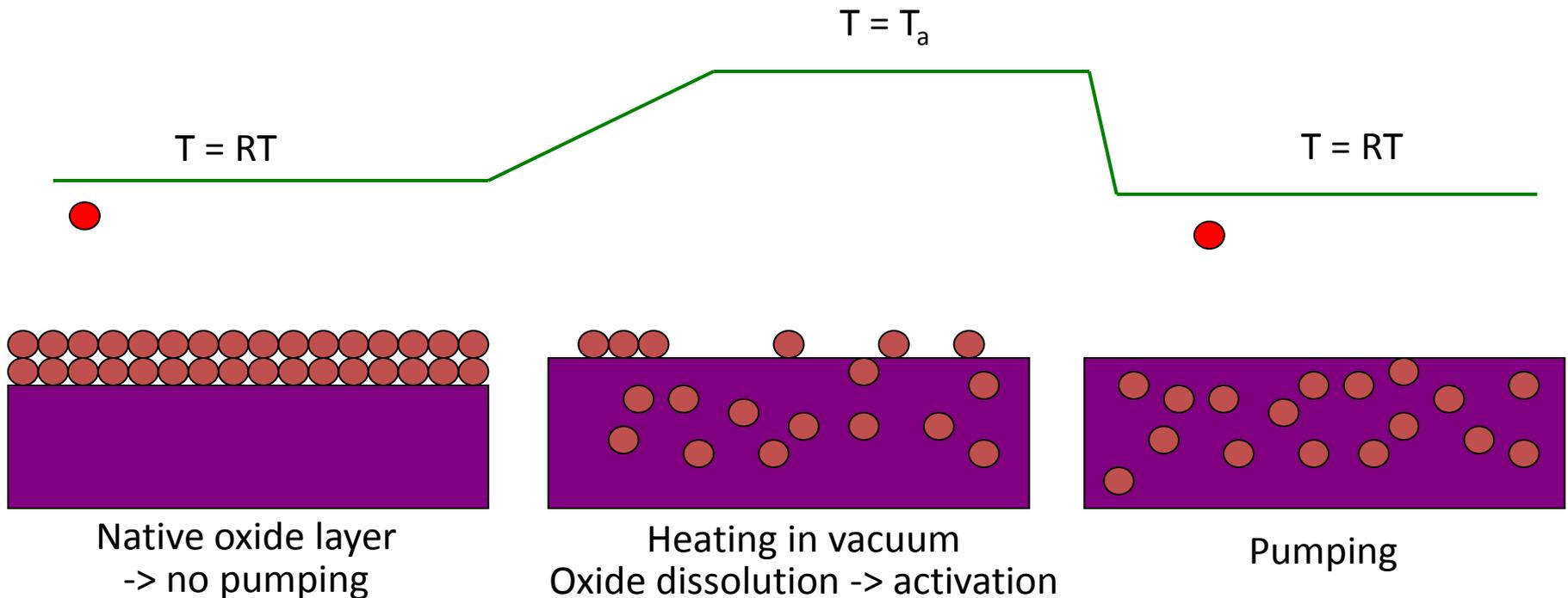
**Cryo Pumps**

# Getter Pumps



## Definition of NEG

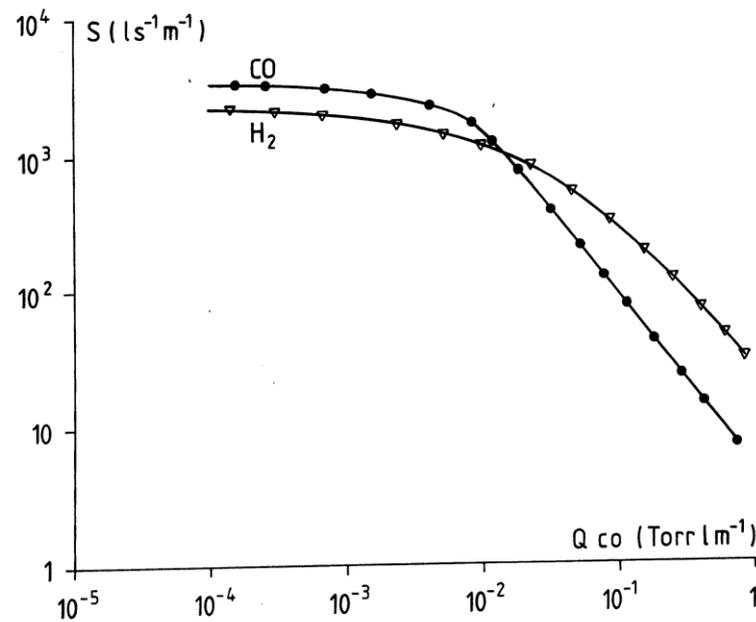
Getters are materials capable of chemically adsorbing gas molecules. To do so their surface must be clean. For Non-Evaporable Getters a clean surface is obtained by heating to a temperature high enough to dissolve the native oxide layer into the bulk.



P. Chiggiato

NEGs pump most of the gas except rare gases and methane at room temperature

# Sorption Speed and Sorption Capacity



C. Benvenuti, CAS 2007

# Choice of the coating technique for thin film: **sputtering**

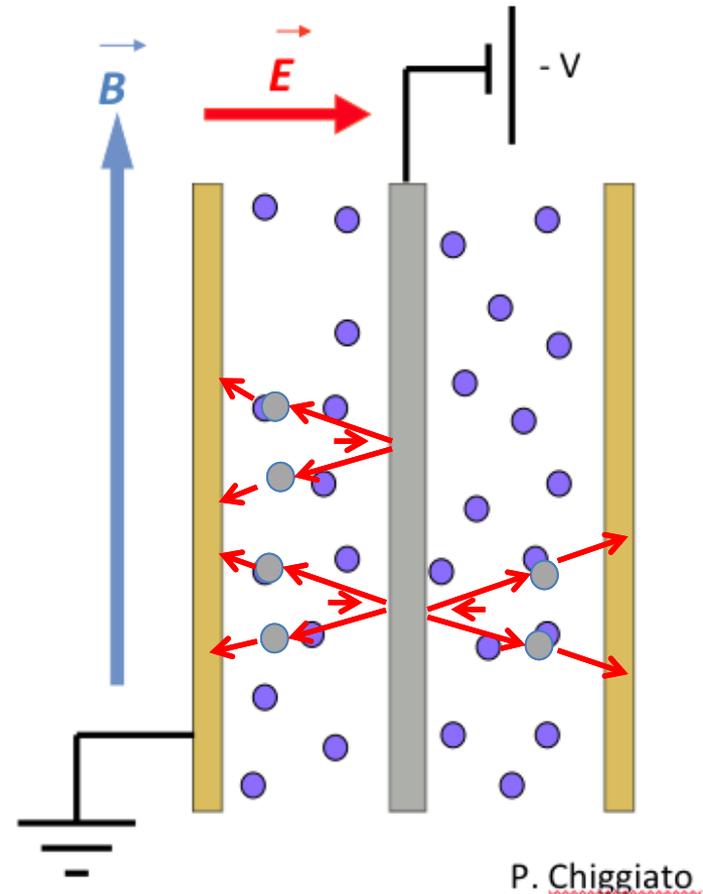
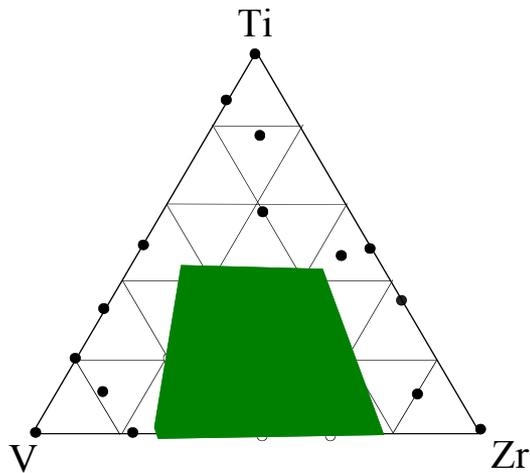
substrate to coat: **vacuum chamber**

target material: **NEG (cathode)**

driving force: **electrostatic**

energy carrier: **noble gas ions**

NEG composition



- The trend in vacuum technology consists in **moving the pump progressively closer to the vacuum chamber wall.**
- The ultimate step of this process consists of transforming **the vacuum chamber from a gas source into a pump.**
- One way to do this is by **“ex-situ” coating** the vacuum chamber with a NEG thin film that will be activated during the “in situ” bakeout of the vacuum system.

## Dipole Coating Facility

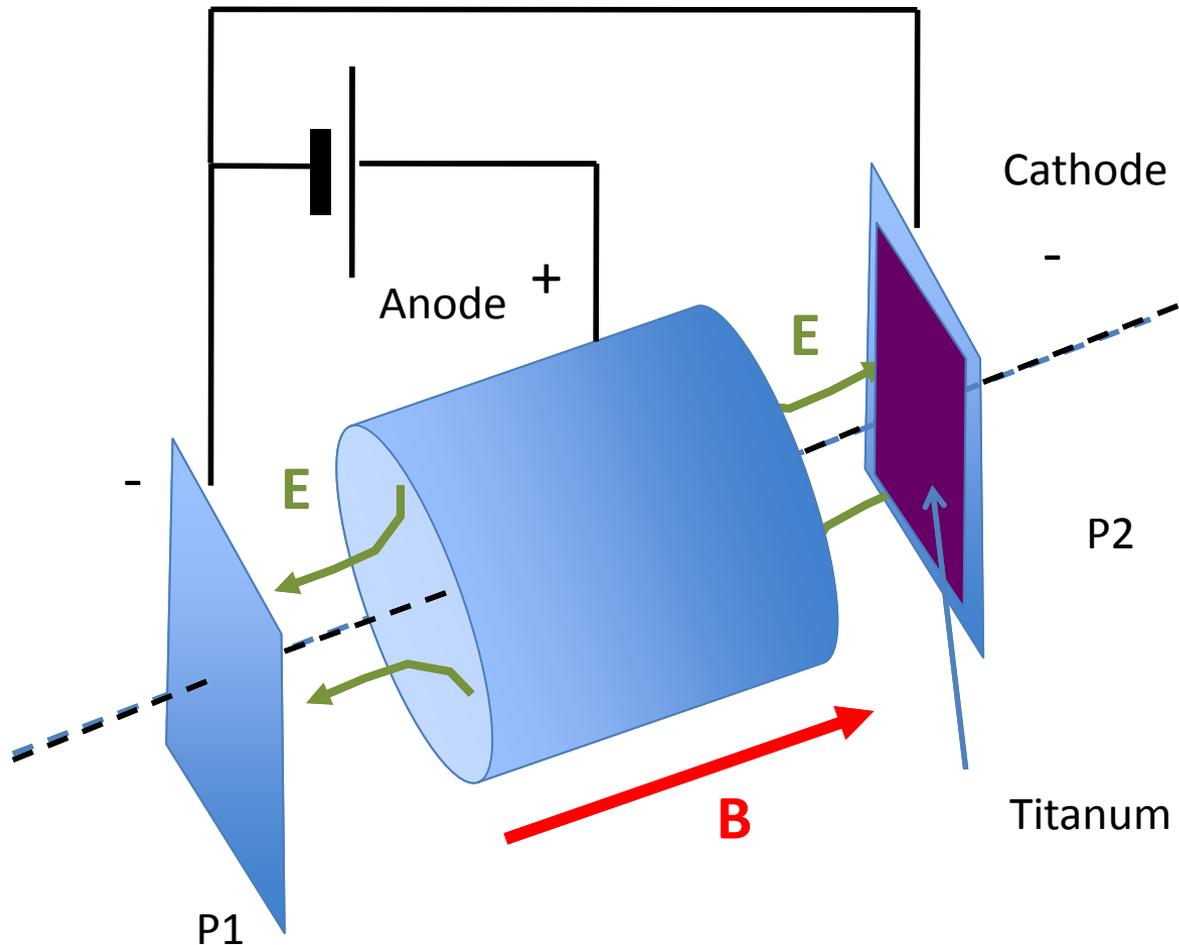


## Quadrupole Coating Facility

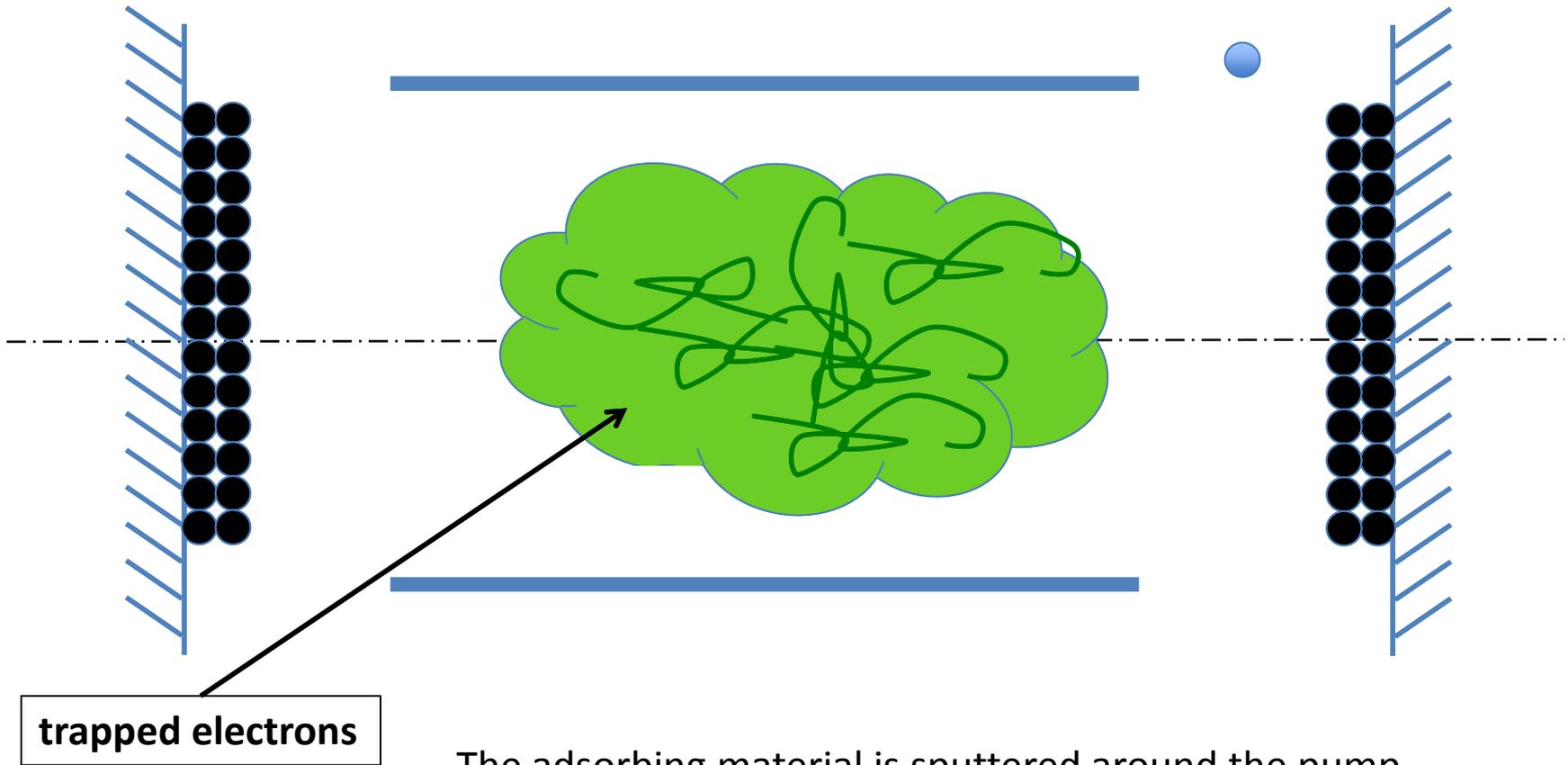


M.C. Bellachio (GSI)

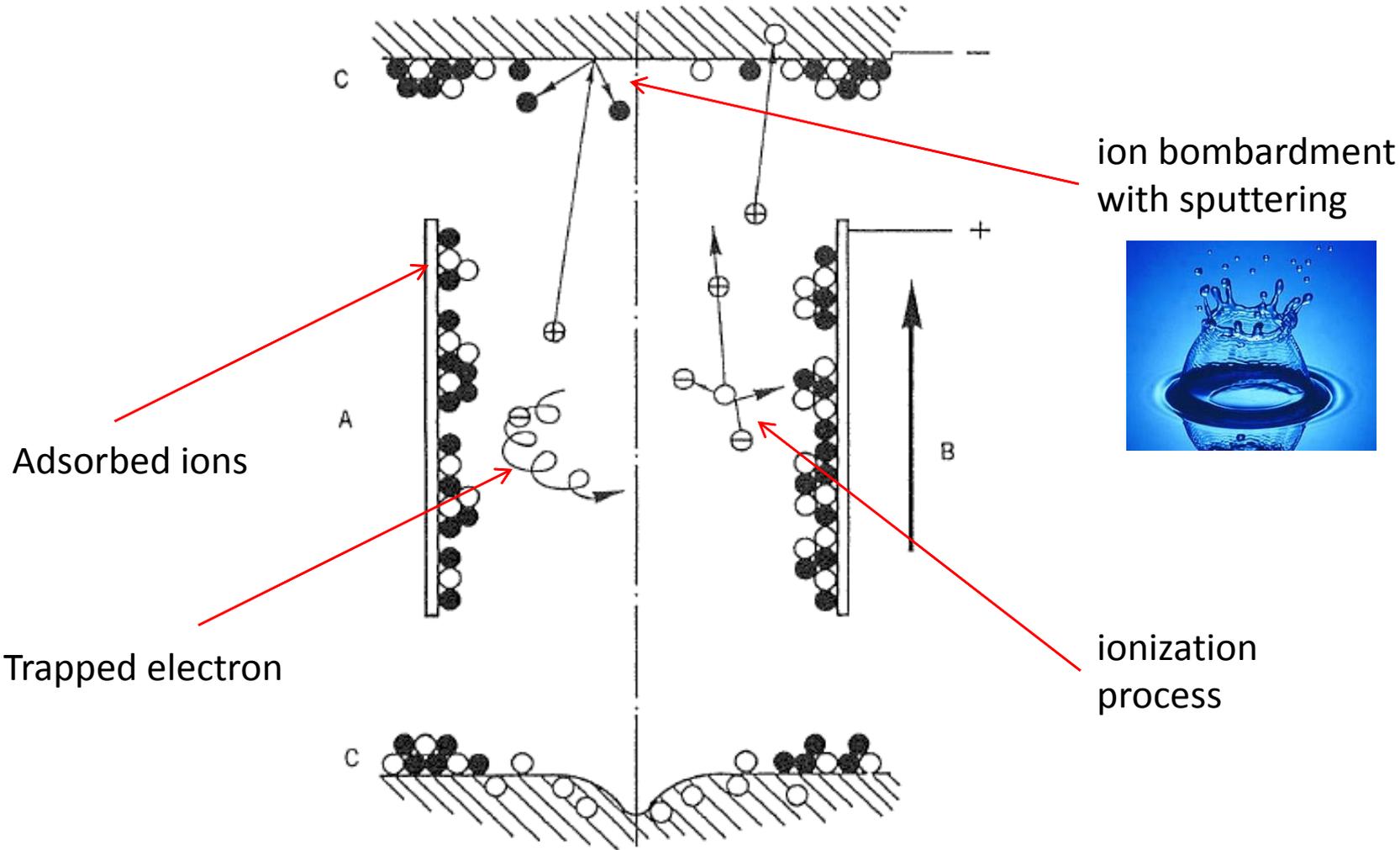
# Sputter ion pumps



# Sputtering process



# A glimpse to the complexity



Adsorbed ions

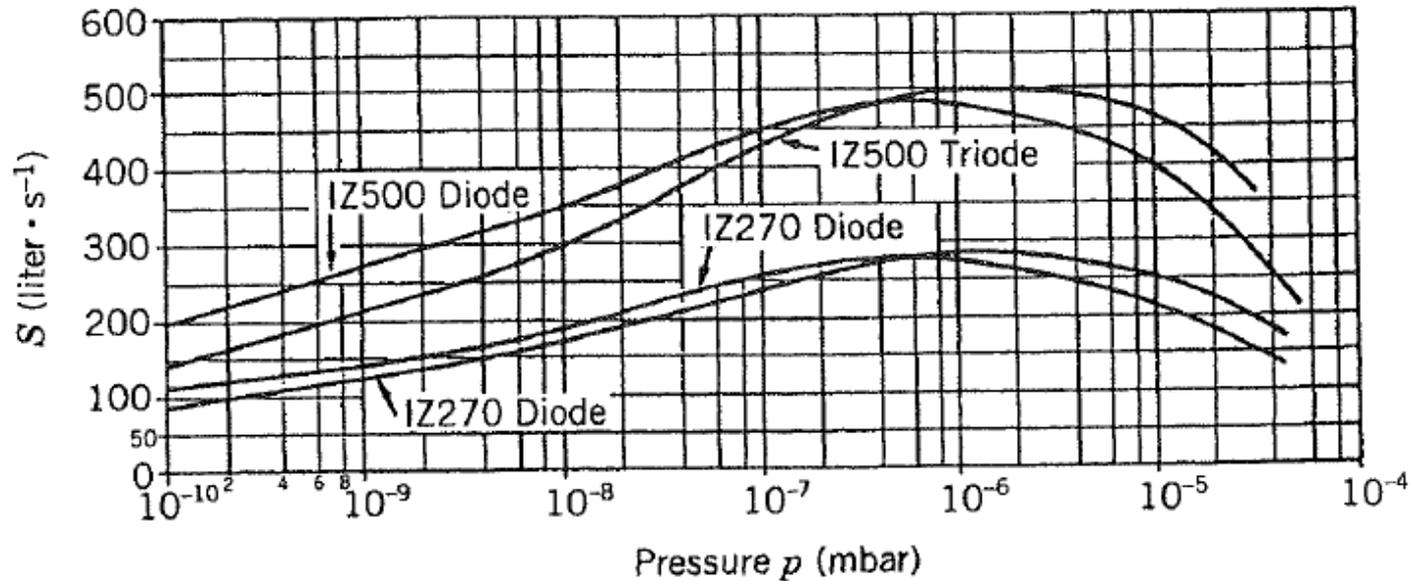
Trapped electron

ion bombardment with sputtering



ionization process

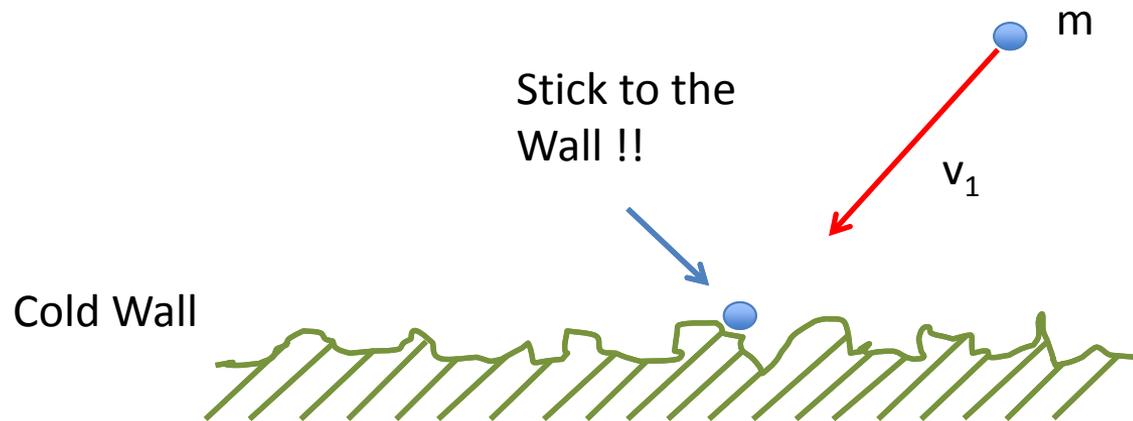
## Example of Pumping speed



**Fig. 5.67.** Volume throughput (VTP) of sputter ion pumps for nitrogen as a function of pressure. IZ270 is a pump with nominal VTP,  $S=270$  liter  $\cdot$  s $^{-1}$ , diode and triode; IZ500 is a pump with nominal VTP,  $S=500$  liter  $\cdot$  s $^{-1}$ , diode and triode.

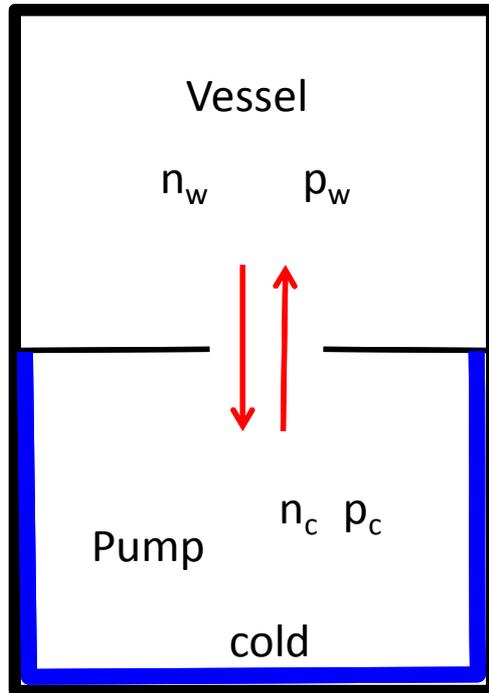
J.M. Lafferty, Vacuum Science, J. Wiley & Son, 1998

# Cryo Pumps



Dispersion forces between molecules and surface are stronger than forces between molecules

## Schematic of a cryo pump



$P_w$  = pressure warm  
 $P_c$  = pressure in the cold  
 $I_w$  = flux Vessel  $\rightarrow$  Pump  
 $I_c$  = flux Pump  $\rightarrow$  Vessel

molecules stick to the cold wall

Now  $I_w = \frac{1}{4} \tilde{n}_w v_w A$   $\longrightarrow$  By using the state equation  $\longrightarrow I_w = \frac{P_w A}{4k_B T_w} v_w$

In the same way  $\longrightarrow I_c = \frac{P_c A}{4k_B T_c} v_c$

If  $I_c = I_w$  no pumping although  $P_c \neq P_w$

Relation between pressures

Thermal Transpiration  $\frac{P_c}{\sqrt{T_c}} = \frac{P_w}{\sqrt{T_w}}$

When the two pressures breaks the thermal transpiration condition a particle flow starts

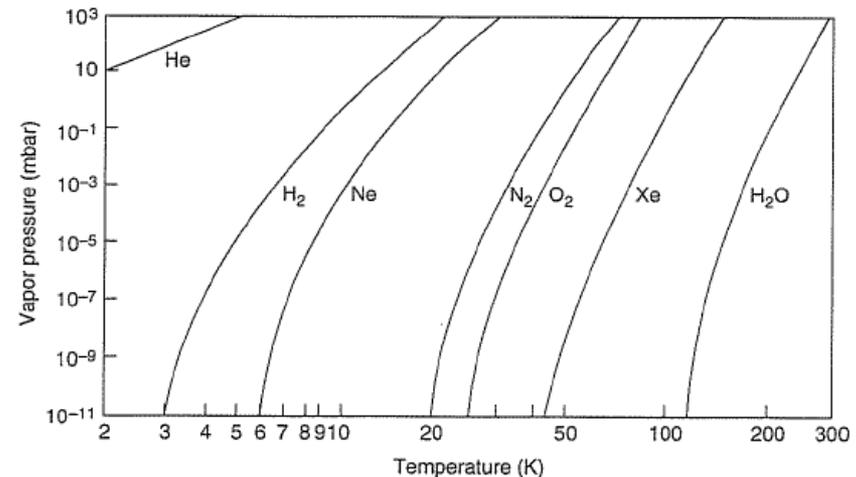
$$I_{net} = I_w - I_c = \frac{AP_w v_w}{4k_B T_w} \left[ 1 - \frac{P_c}{P_w} \frac{v_c T_w}{v_w T_c} \right]$$

We define  $I_{max} = \frac{AP_w v_w}{4k_B T_w}$  and  $P_w(ult) = P_c \sqrt{\frac{T_w}{T_c}}$

We find  $I_{net} = I_{max} \left[ 1 - \frac{P_w(ult)}{P_w} \right]$

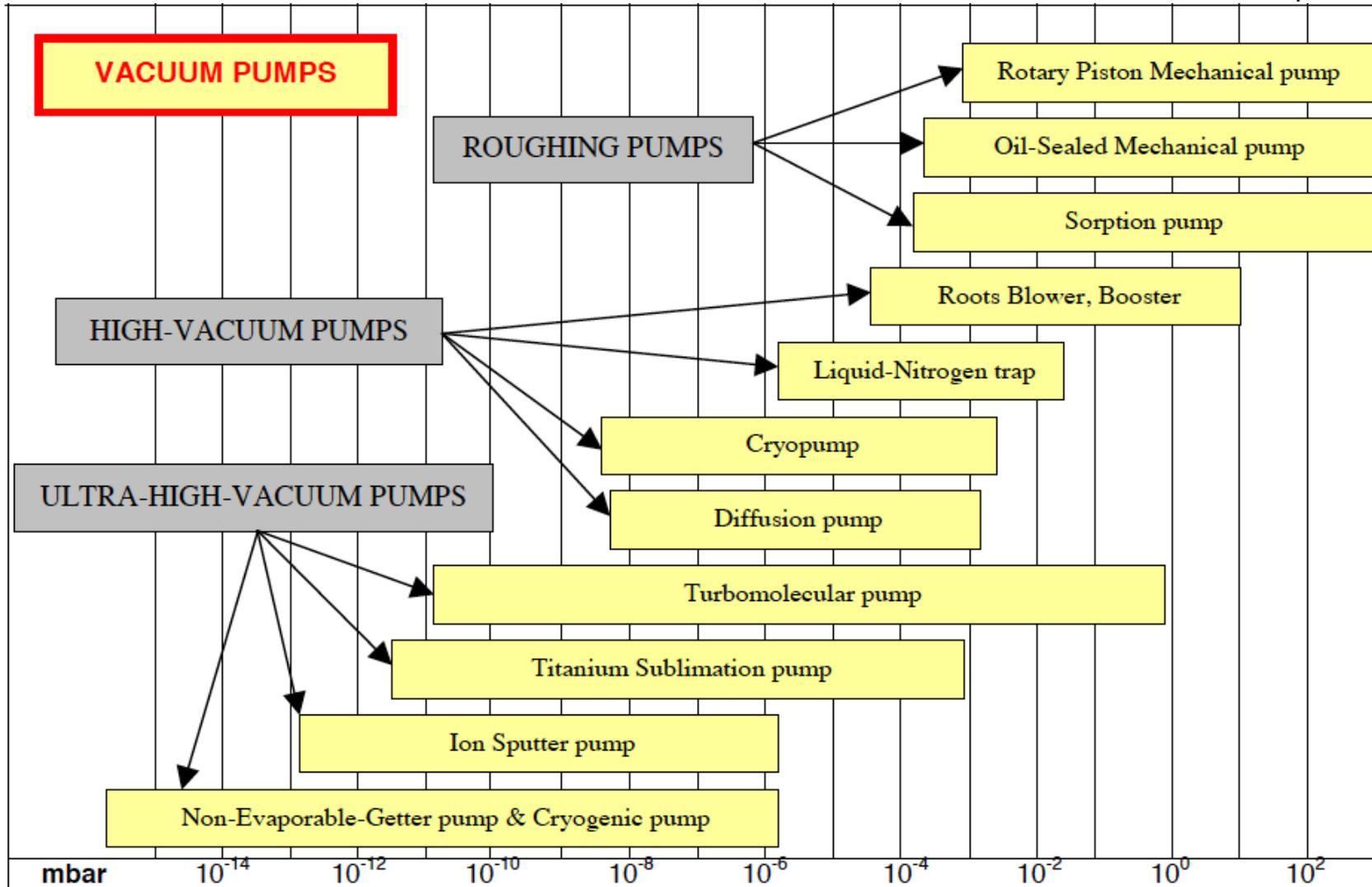
$P_c$  depends on the capture process

**Cryocondensation:**  $P_w$  is the vapor pressure of the gas at  $T_c$



# Summary on Pumps

N. Marquardt



# Gauges

**Liquid Manometers**

**MacLeod Gauges**

**Viscosity Gauges**

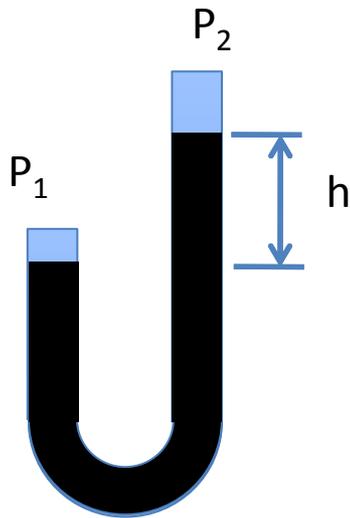
**Thermal conductivity Gauges**

**Hot Cathode Gauges**

**Alpert-Bayard Gauges**

**Penning Gauges**

# Liquid Manometers



Relation between the two pressure

$$P_1 - P_2 = h\rho g$$

issue: measure precisely “h” by eye +/- 0.1 mm

but with mercury surface tension depress liquid surface

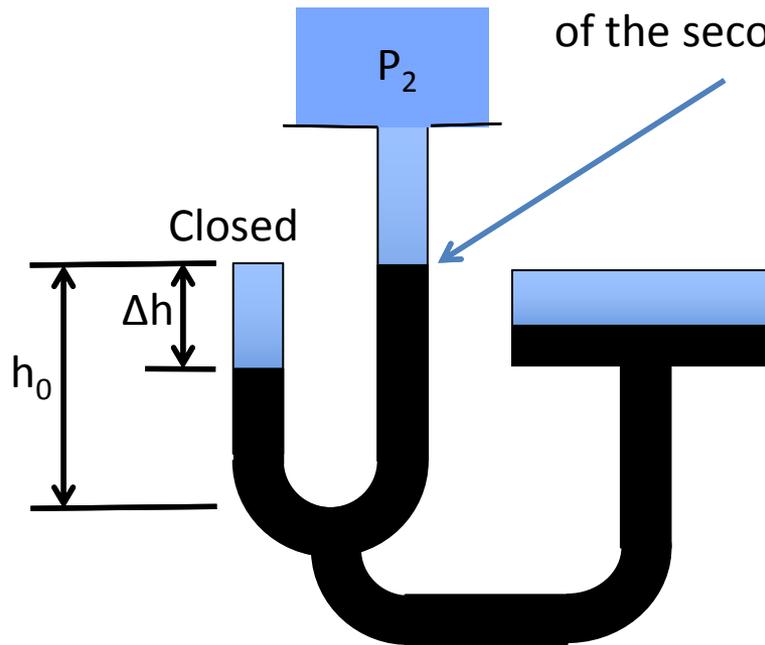
High accuracy is reached by knowing the liquid density

Mercury should be handled with care: **serious health hazard**

# McLeod Gauge

First mode of use:

The reservoir is raised till the mercury reaches the level of the second branch (which is closed)

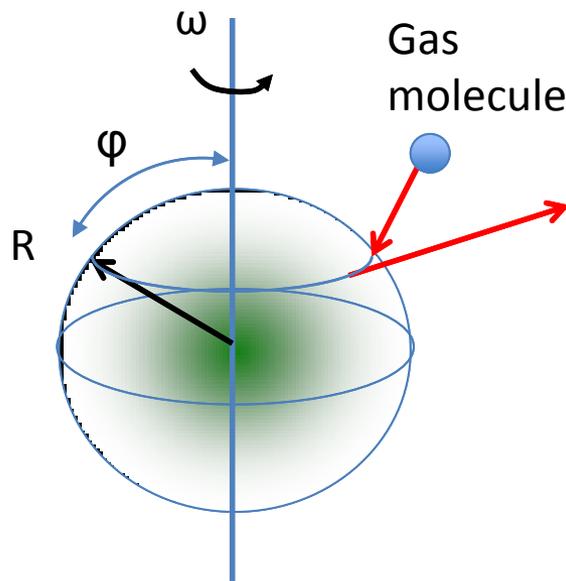


$$P_2 = \rho g \frac{\Delta h^2}{h_0 - \Delta h}$$

Quadratic response to  $P_2$

Second mode of use: keep the distance  $\Delta h$  constant and measure the distance of the two capillaries  $\rightarrow$  linear response in  $\Delta h$

# Viscosity Gauges



It is based on the principle that gas molecules hitting the sphere surface take away rotational momentum



The angular velocity of the sphere decreases

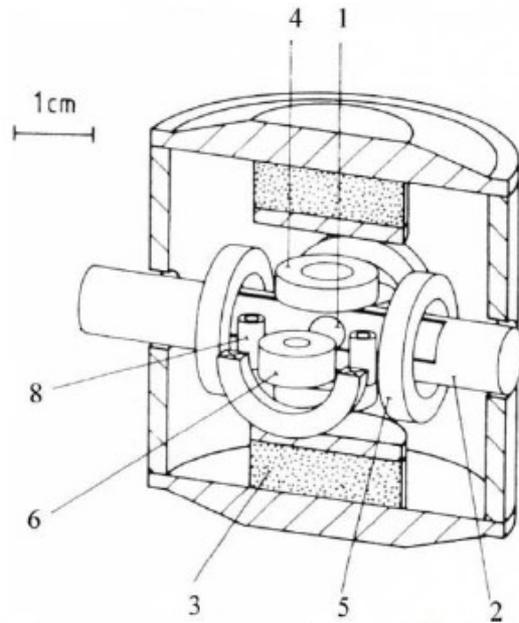
$$P = \frac{\pi}{10} \rho R v_a \left( \frac{1}{\omega} \frac{d\omega}{dt} + 2\alpha \frac{dT}{dt} \right)$$

$\rho$  = sphere density

$\alpha$  = coefficient of thermal dilatation

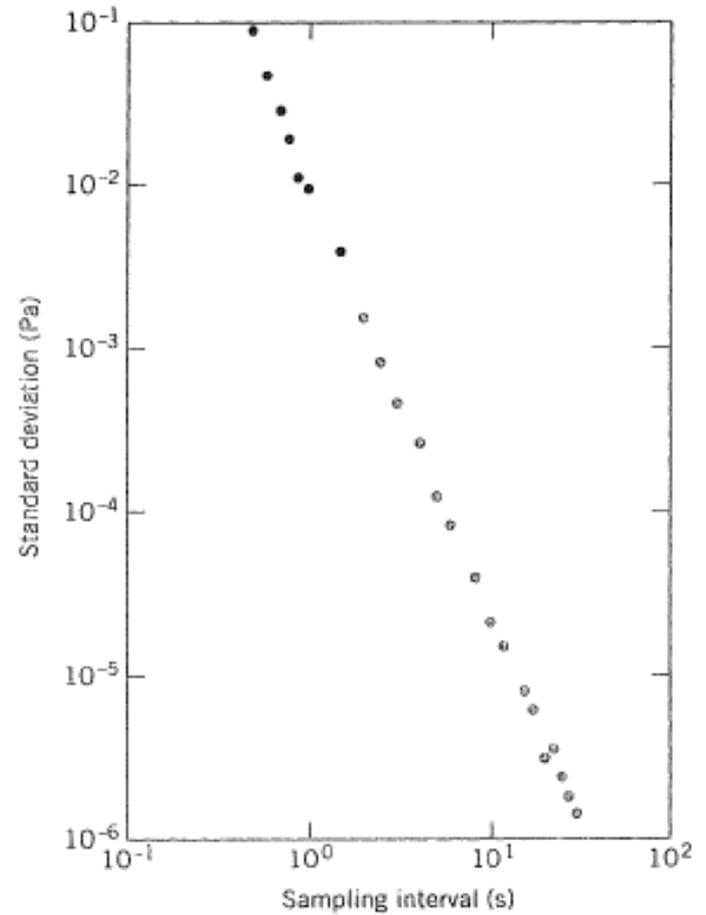
T = temperature

$v_a$  = thermal velocity



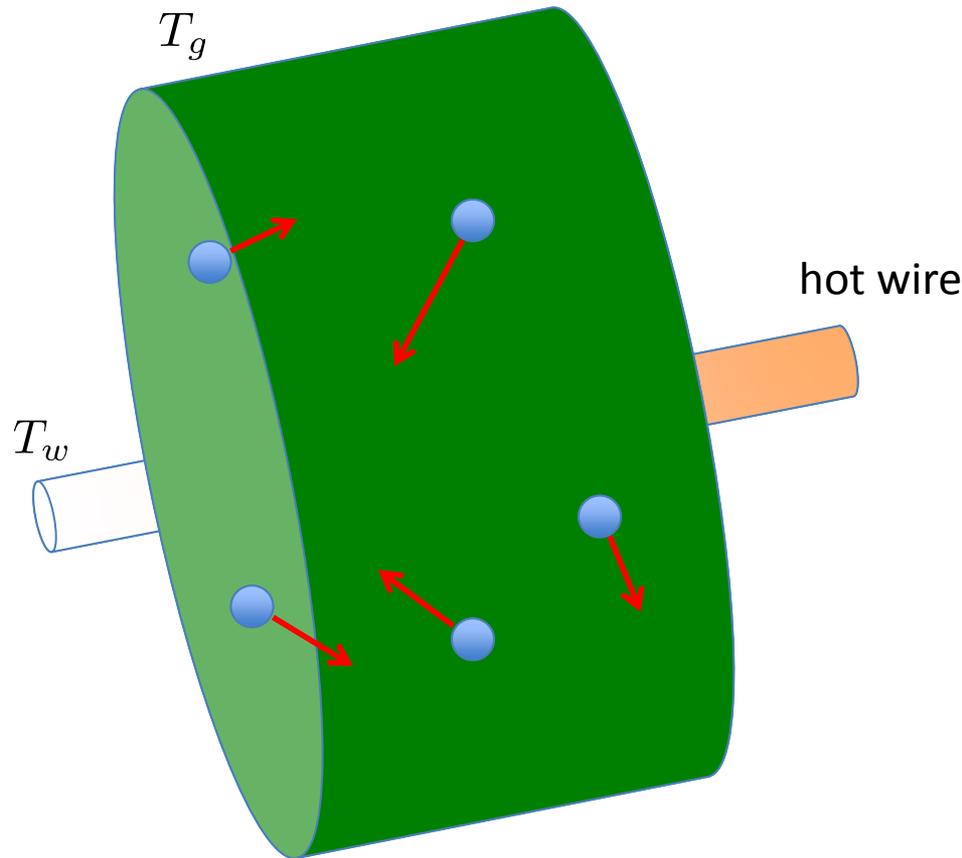
**Fig. 24:** Schematic of a spinning rotor gauge. 1 rotor; 2 vacuum tube; 3 permanent magnets; 4 two coils for vertical stabilization; 5 four drive coils; 6 two detection coils; 8 four coils for horizontal stabilization. From *Wutz Handbuch Vakuumtechnik* by K. Jousten (ed.), Vieweg Verlag.

(K. Jousten, CAS 2007)



F.J. Redgrave, S.P. Downes, "Some comments on the stability of Spinning Rotor Gauges", *Vacuum*, Vol. 38, 839-842

# Thermal conductivity Gauges



A hot wire is cooled by the energy transport operated by the vacuum gas

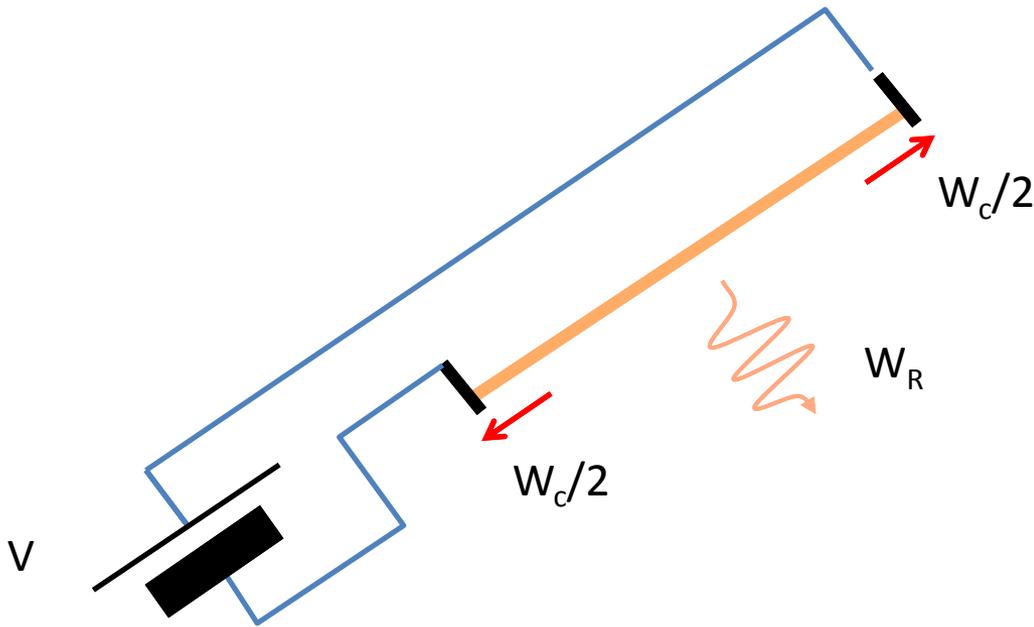
$$\dot{E} = \sqrt{\frac{2k}{\pi m T_g}} \alpha (T_w - T_g) P.$$

Accommodation factor

$$\alpha = \frac{T_d - T_g}{T_w - T_g}$$

By measuring  $dE/dt$  we measure P

# Energy Balance



- Energy loss by gas molecules

$$\dot{E} = \sqrt{\frac{2k}{\pi m T_g}} \alpha (T_w - T_g) P.$$

- Energy loss by Radiation

$$W_R = \epsilon \sigma (T_w^4 - T_g^4)$$

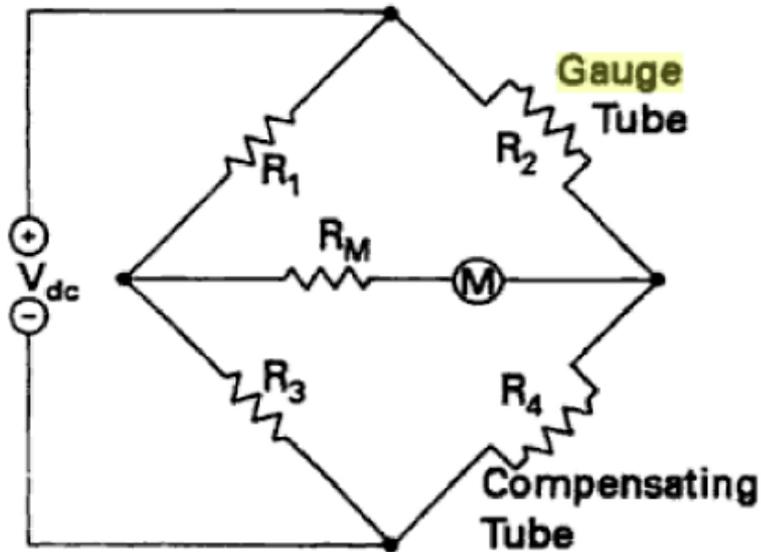
$$\sigma = 5.673 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$$

$\epsilon$  = emissivity

- Energy loss by heat conduction

When the energy loss by gas molecule is dominant  $P$  can be predicted with contained systematic error

# Pirani Gauge



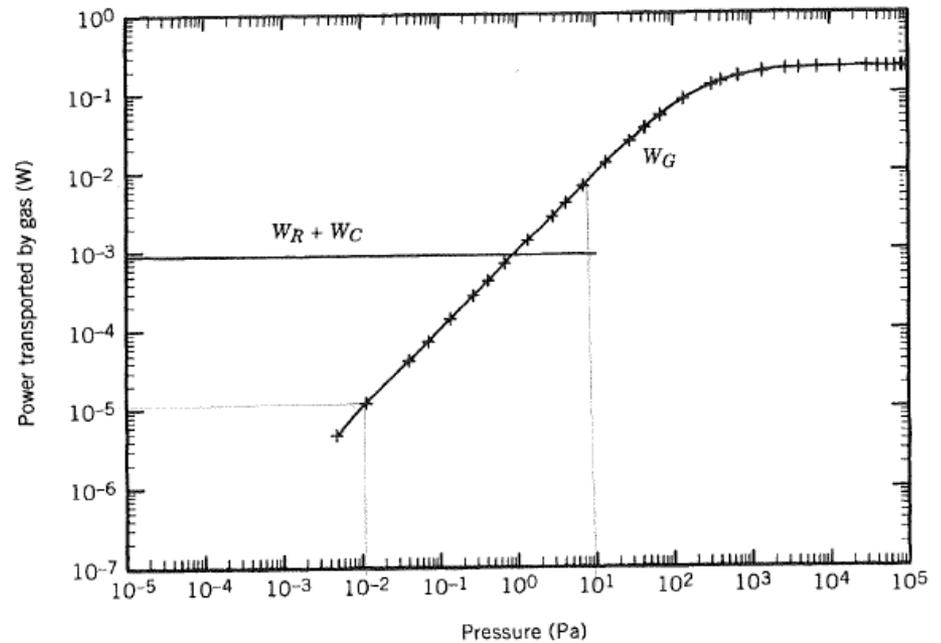
The Gauge tube is kept at constant temperature and the current is measured

So that

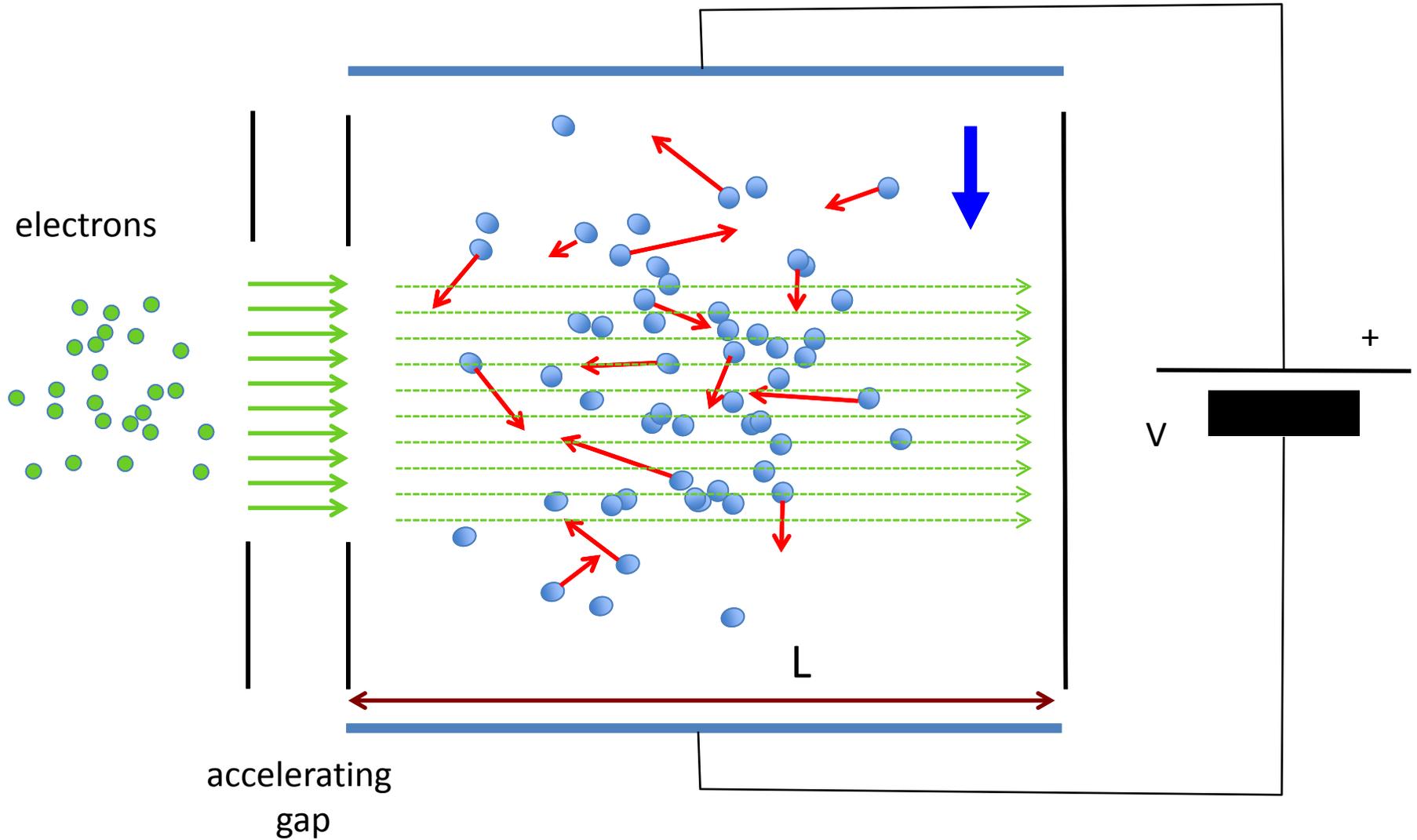
$$W = \frac{V^2}{4R}$$

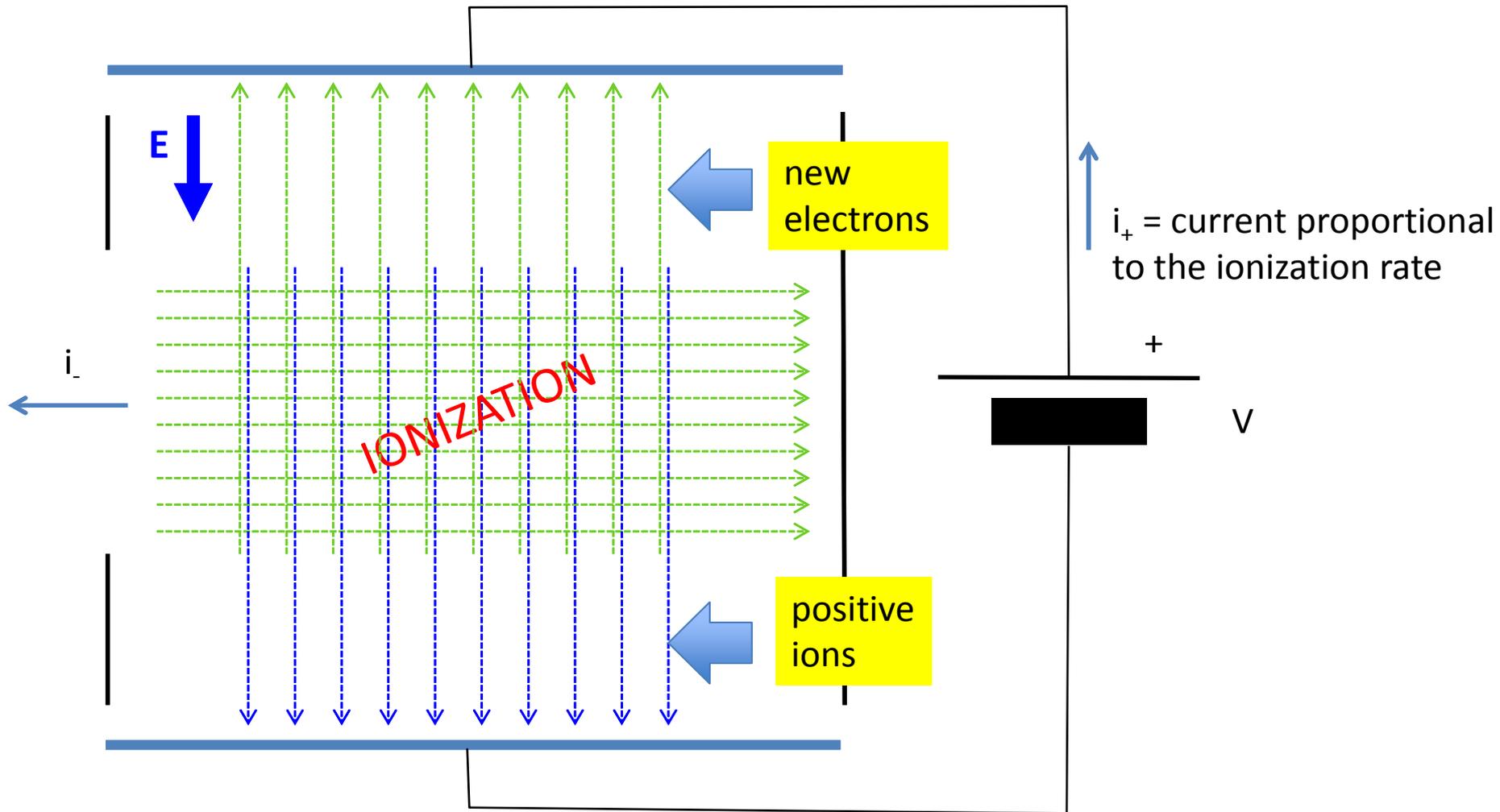
Through this value  $\rightarrow \dot{E}$

Example of power dissipated by a Pirani Gauge vs Vacuum pressure

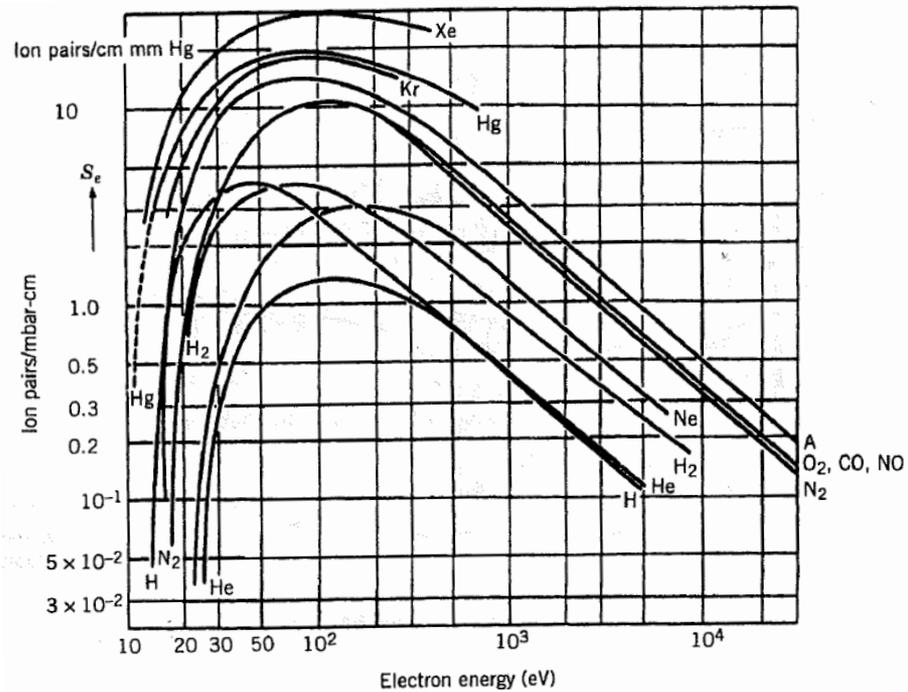


# Ionization Gauges Principle





# Electrons ionization rate

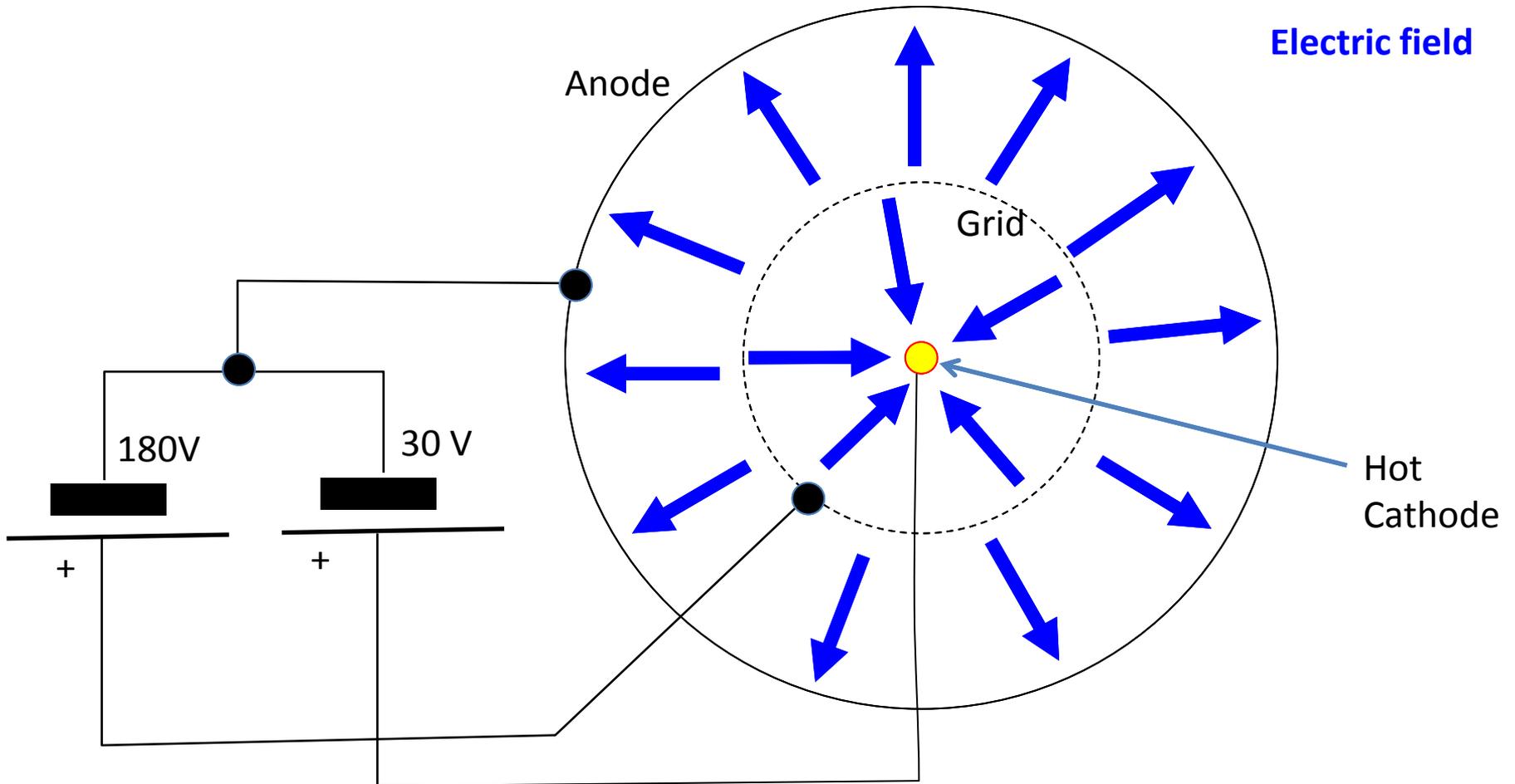


$$\frac{i_+}{i_-} = \frac{\sigma_i L}{k_B T} P$$

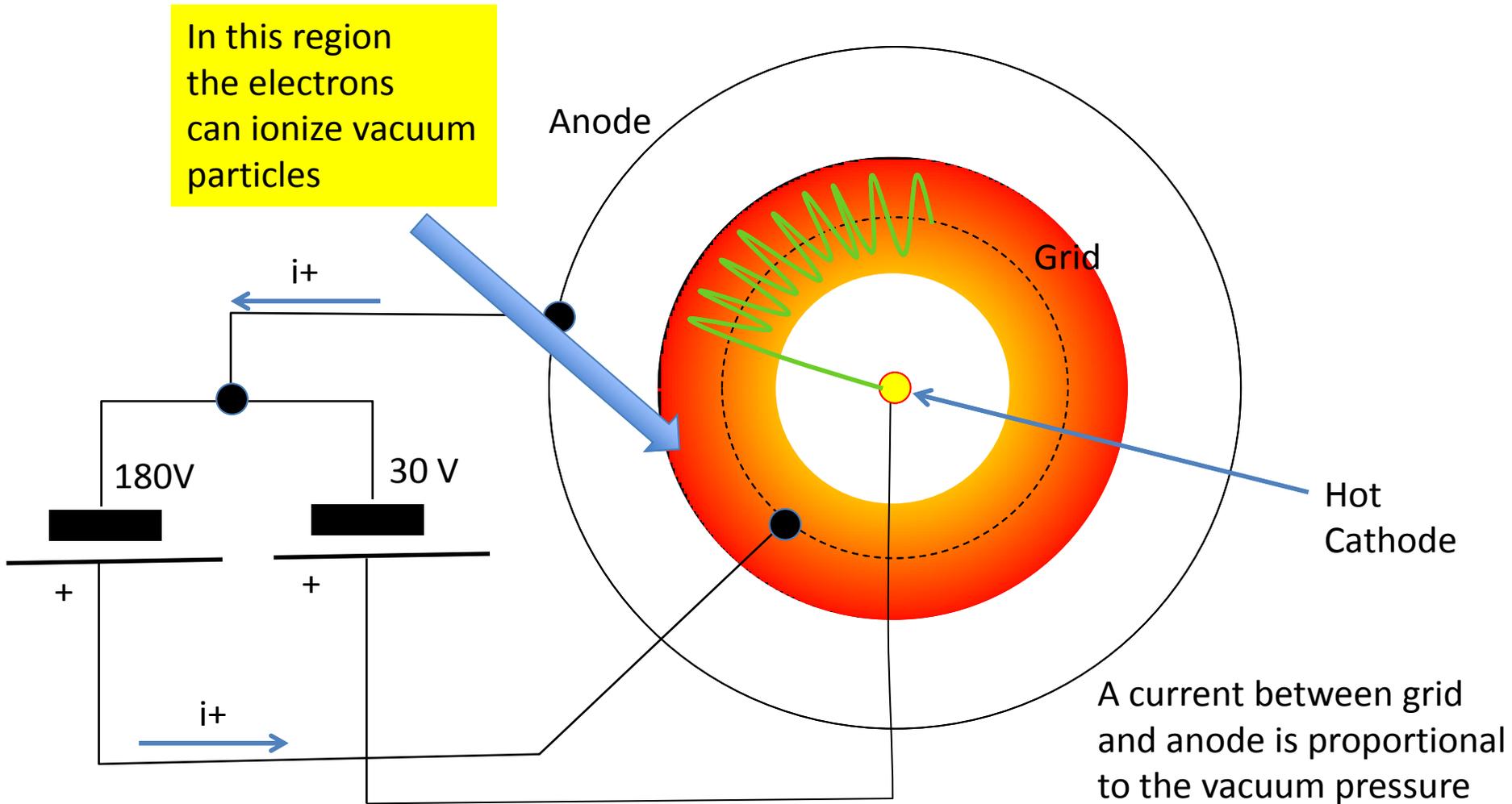


Sensitivity

# Hot Cathode Gauges



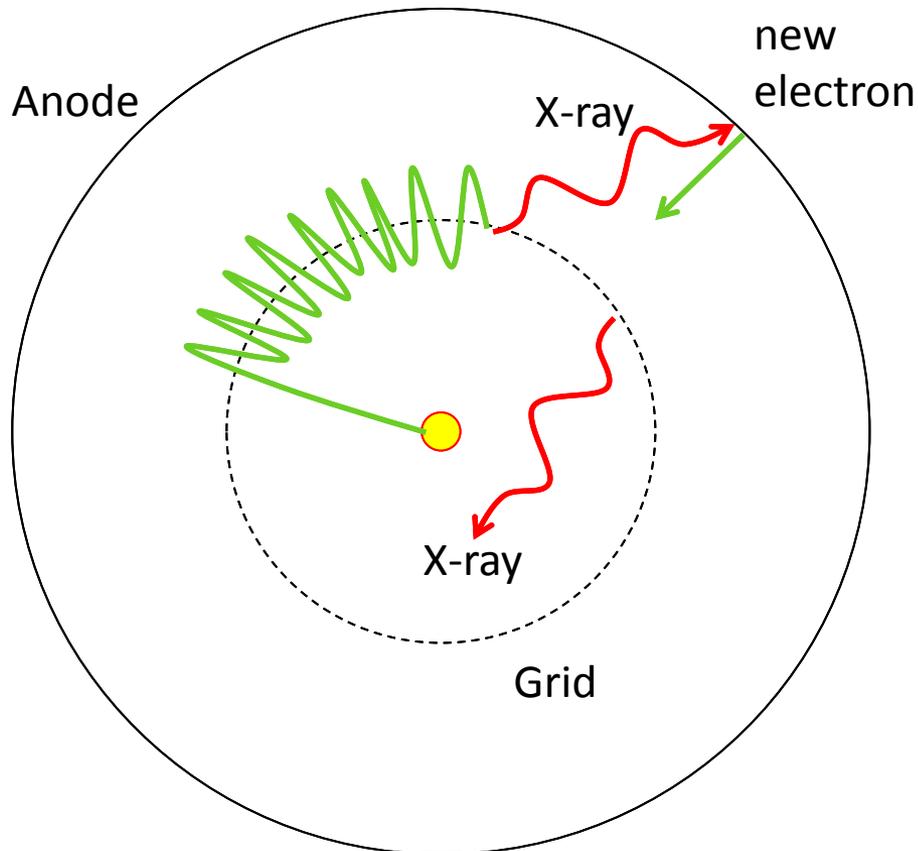
# Hot Cathode Gauges



# Limit of use

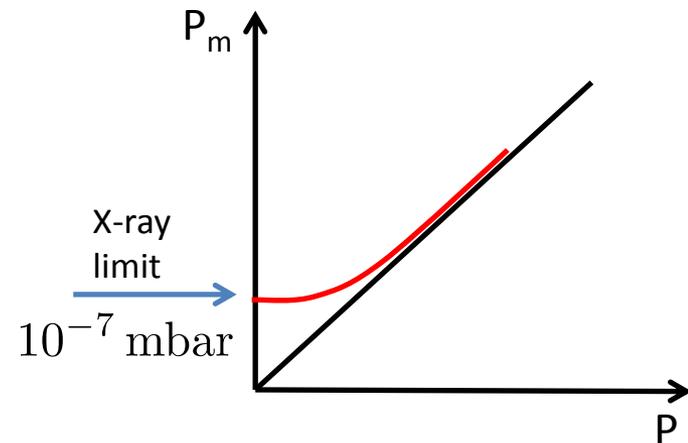
Upper limit: it is roughly the limit of the linear response

Lower limit: X-ray limit

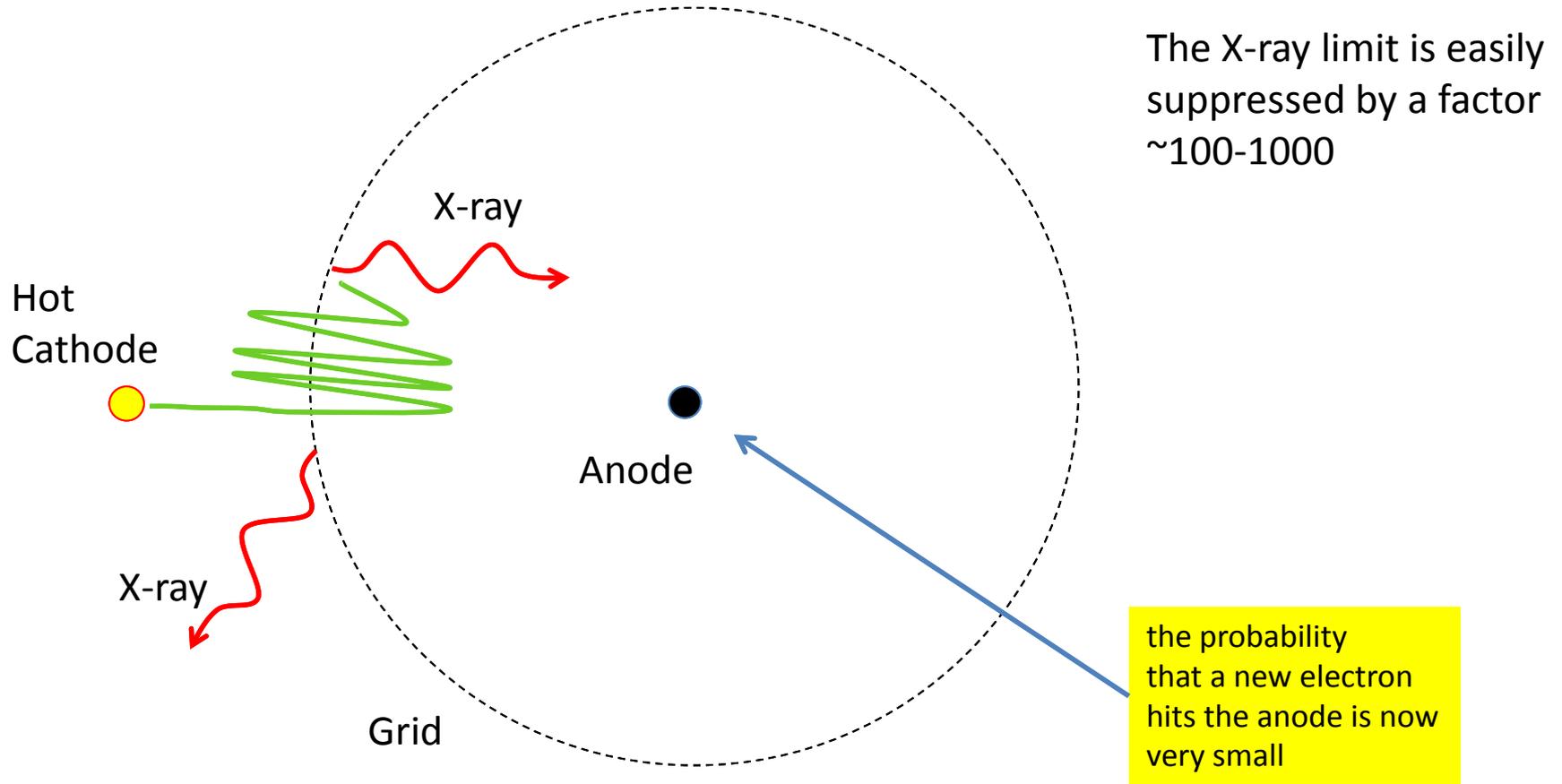


The new electron change the ionization current

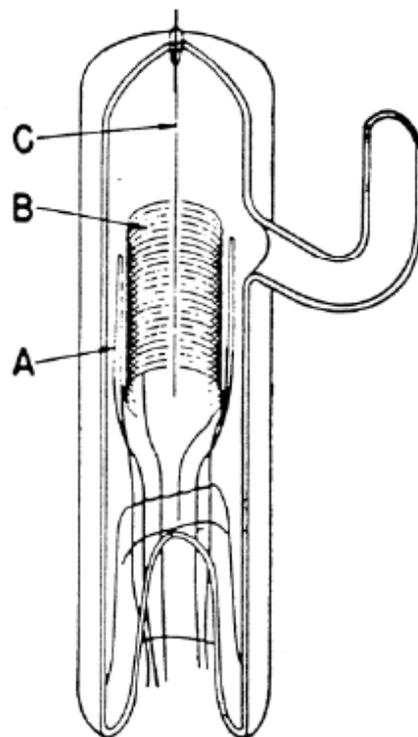
$$i_+ + i_r = KP i_- + i_r$$



# Alpert-Bayard Gauge

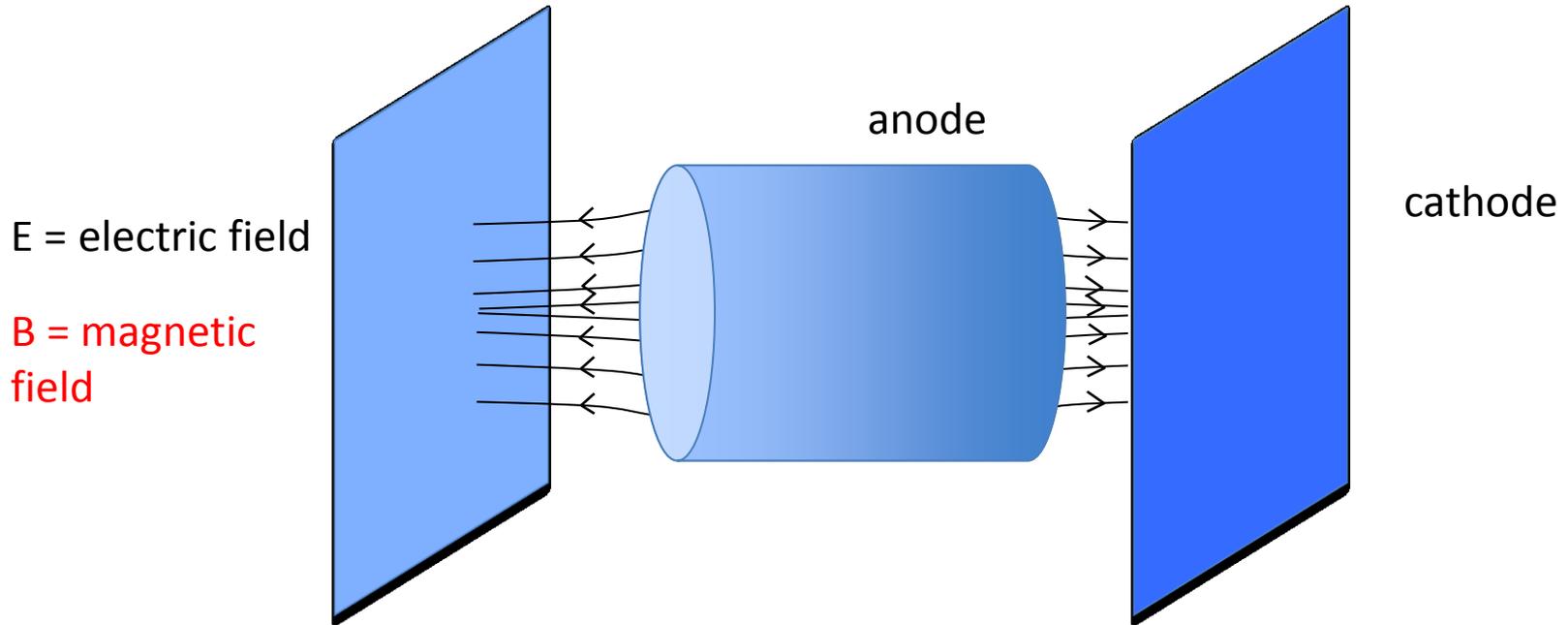


Schematic of the original design (K. Jousten, CAS 2007)



**Fig. 11:** The original design of the Bayard–Alpert gauge. From R.T. Bayard and D. Alpert, *Rev. Sci. Instrum.* **21** (1950) 571.

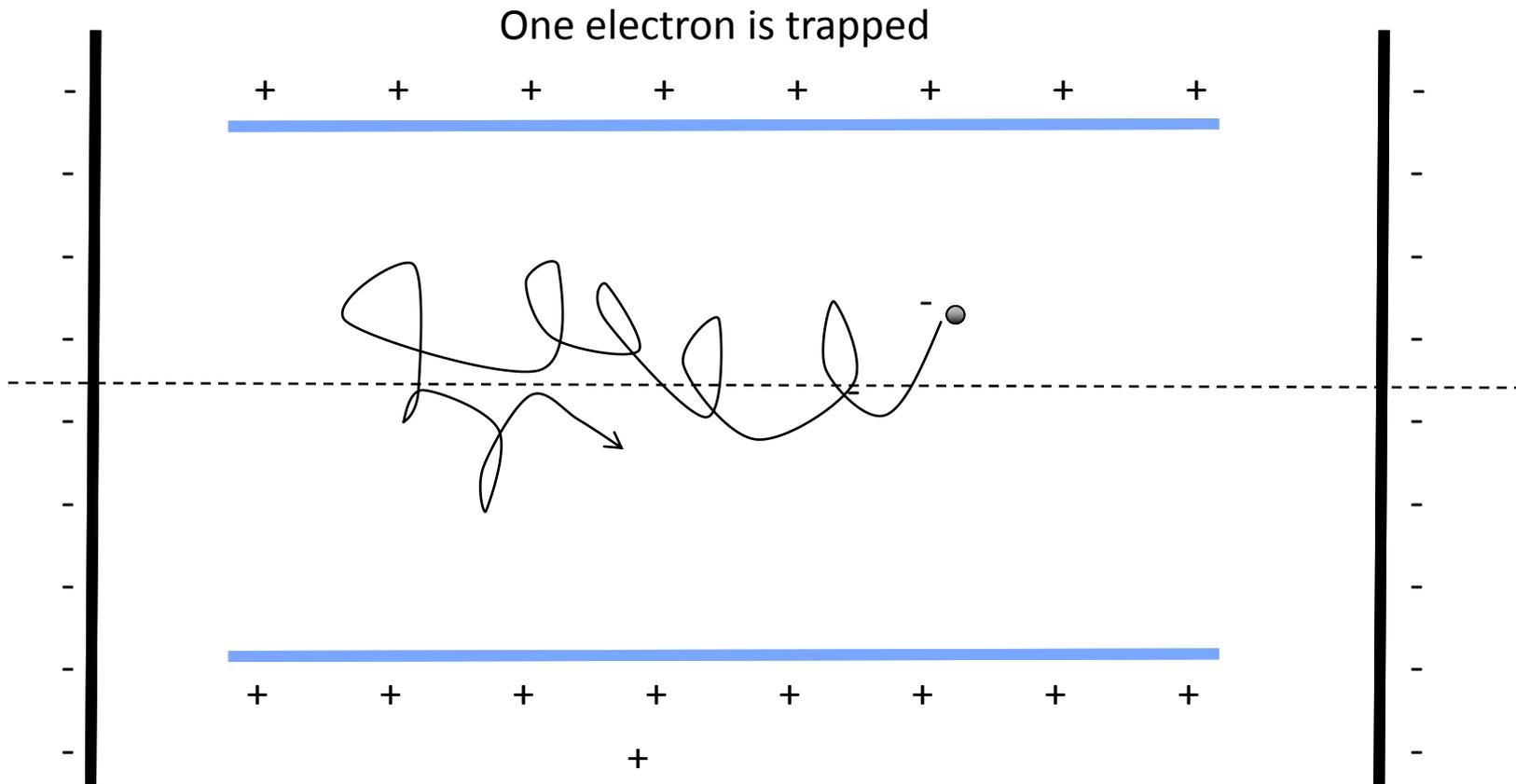
# Penning Gauge (cold cathode gauges)



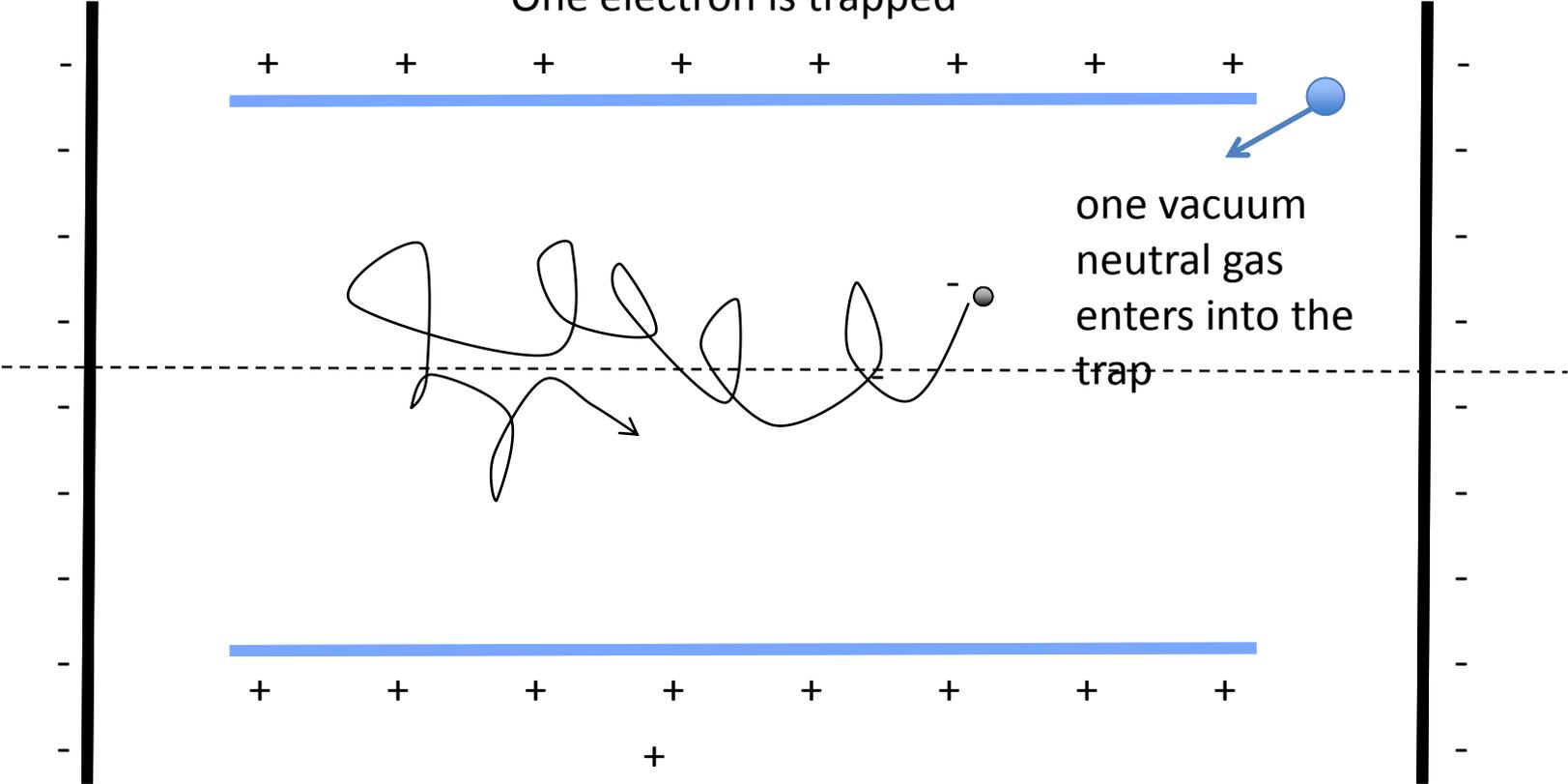
Electrons motion

$$\frac{dm\gamma\vec{v}}{dt} = e\vec{E} + e\vec{v} \times \vec{B}$$

Under proper condition of (E,B) electrons get trapped in the Penning Gauge

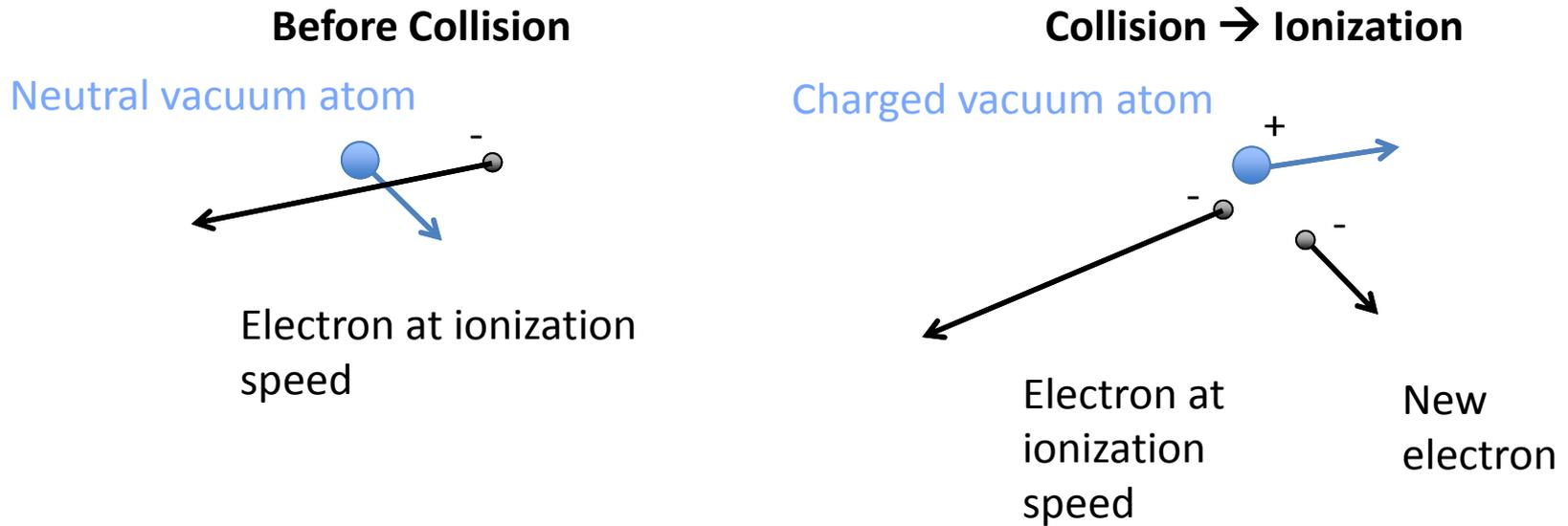


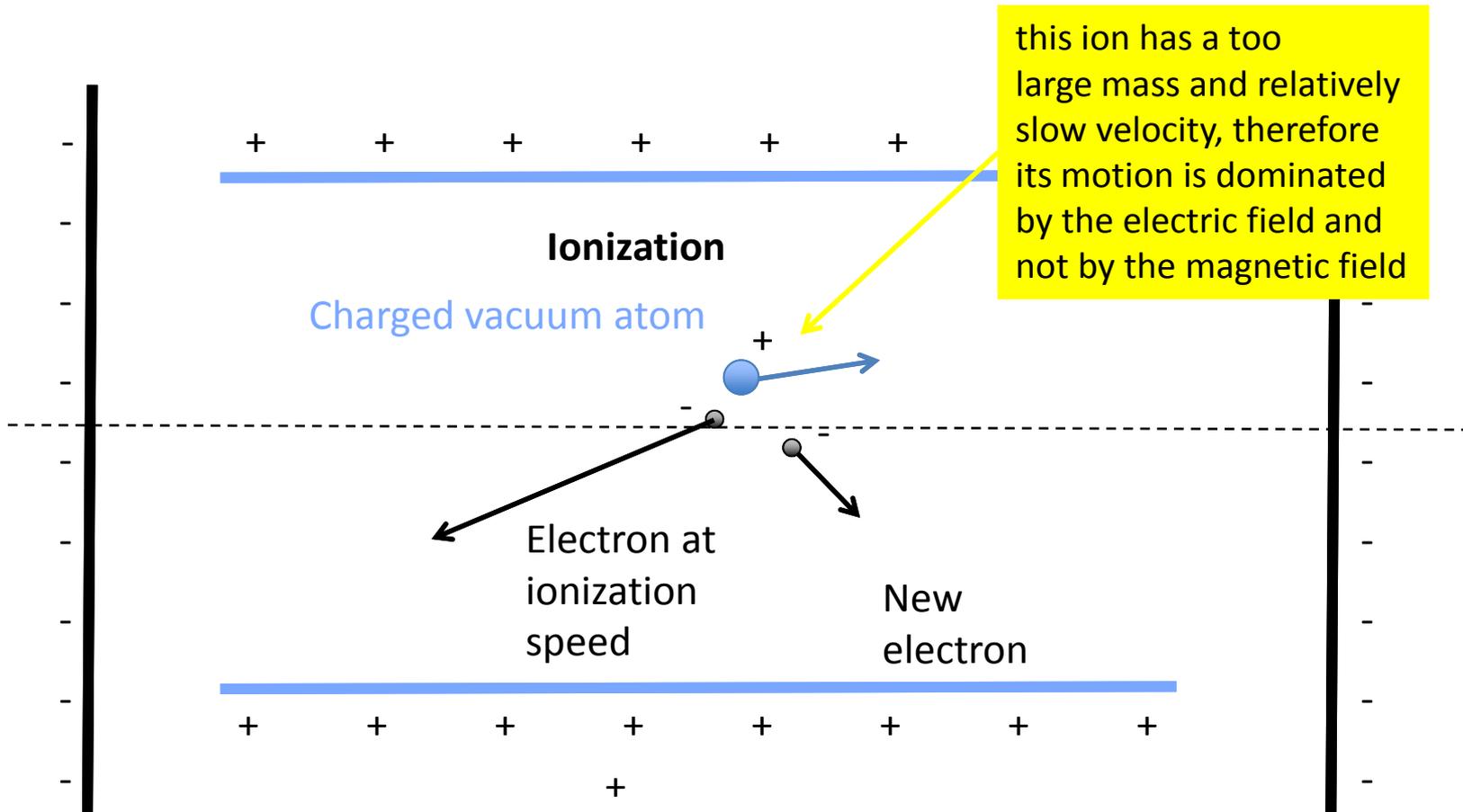
One electron is trapped

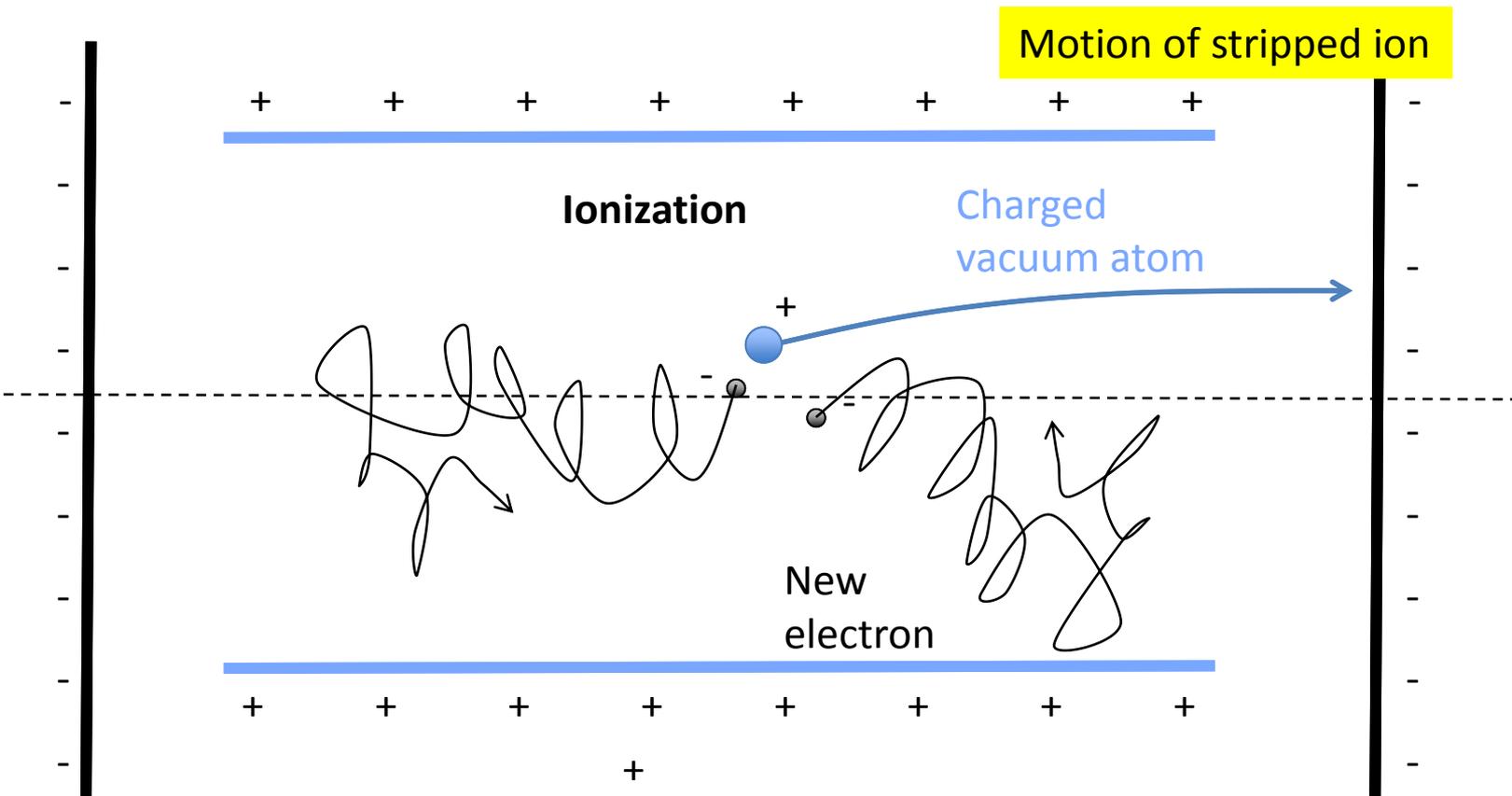


one vacuum neutral gas enters into the trap

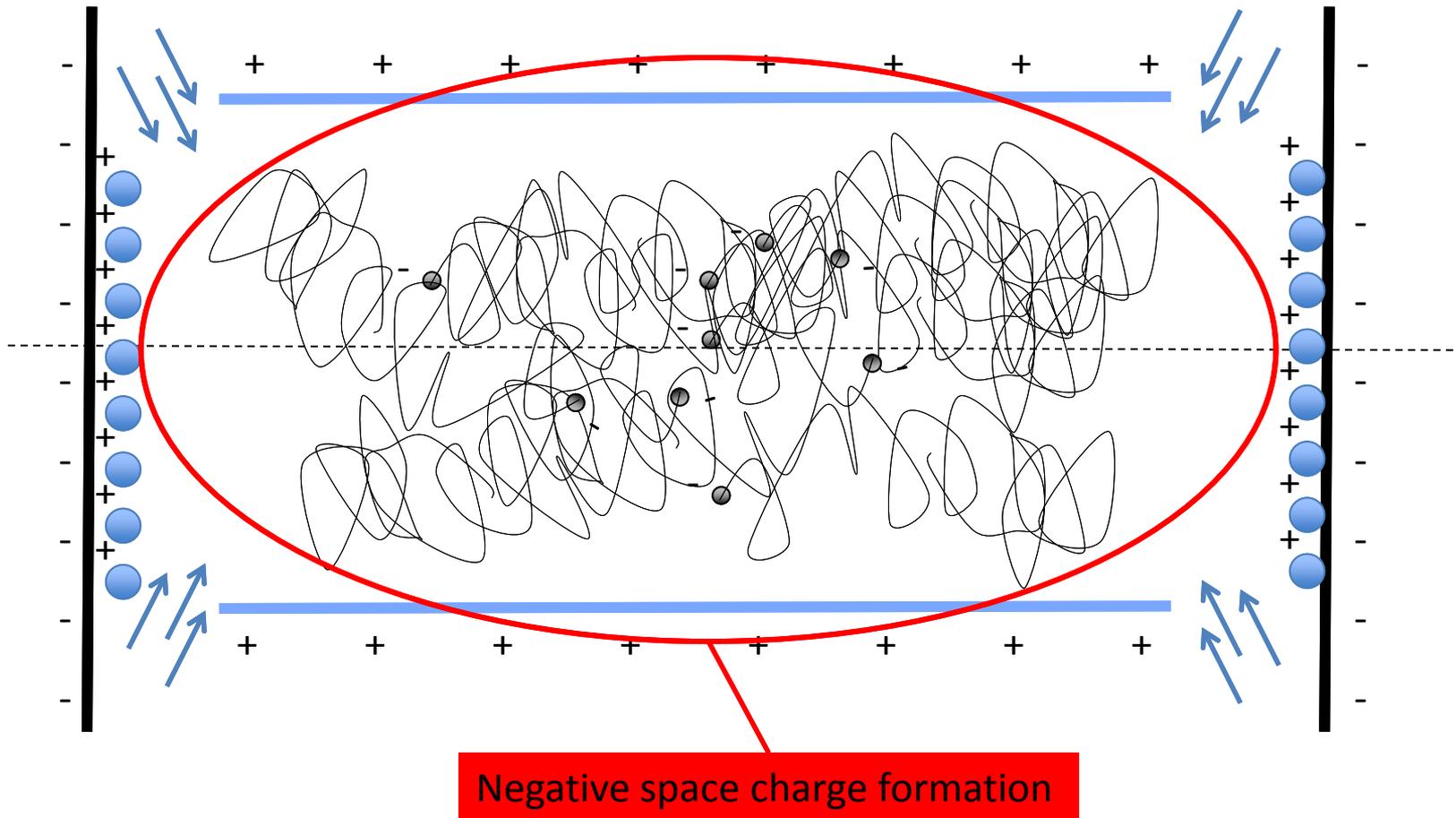
# Ionization process



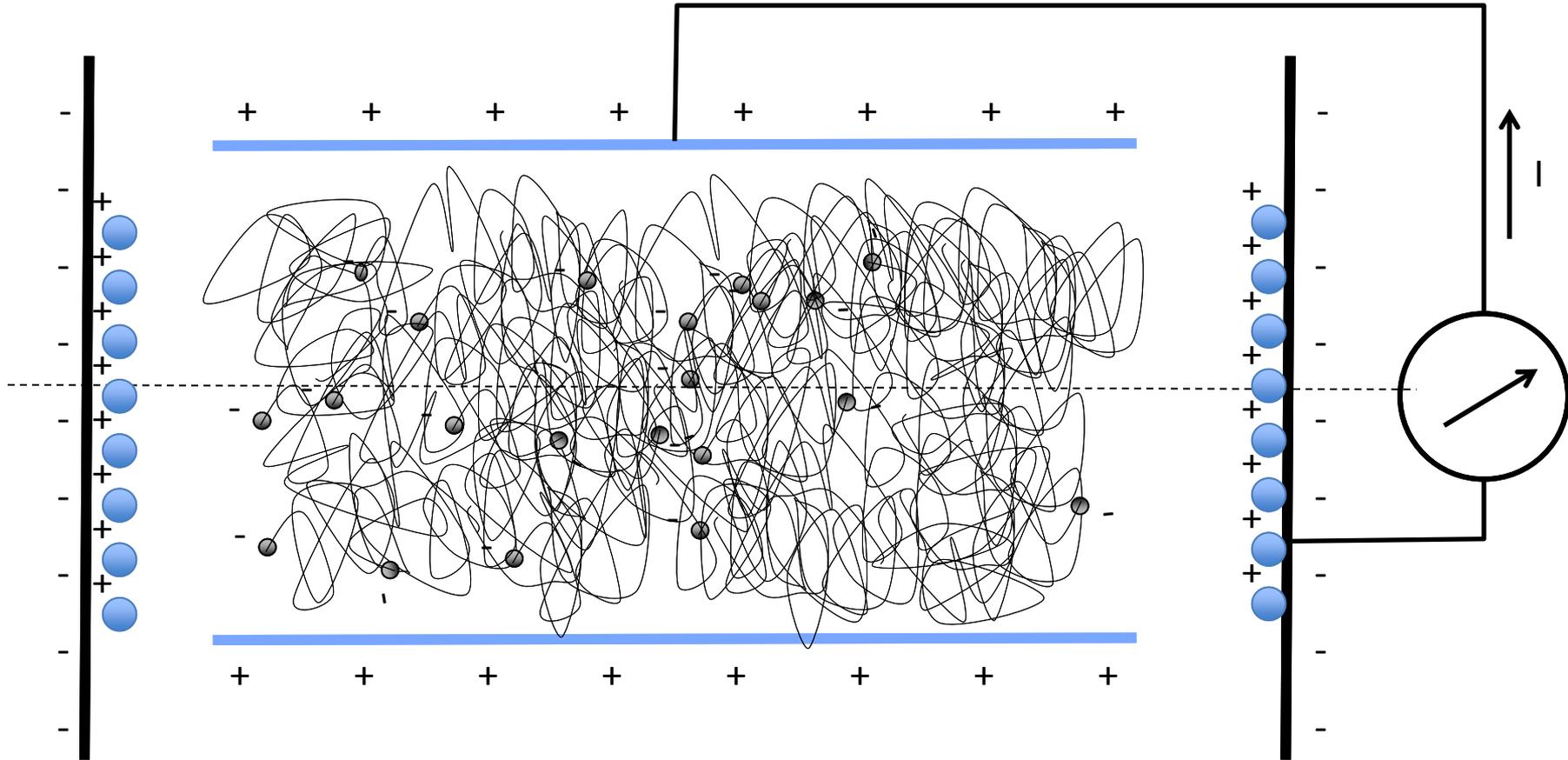




More electrons are formed through the ionization of the vacuum gas and remains inside the trap

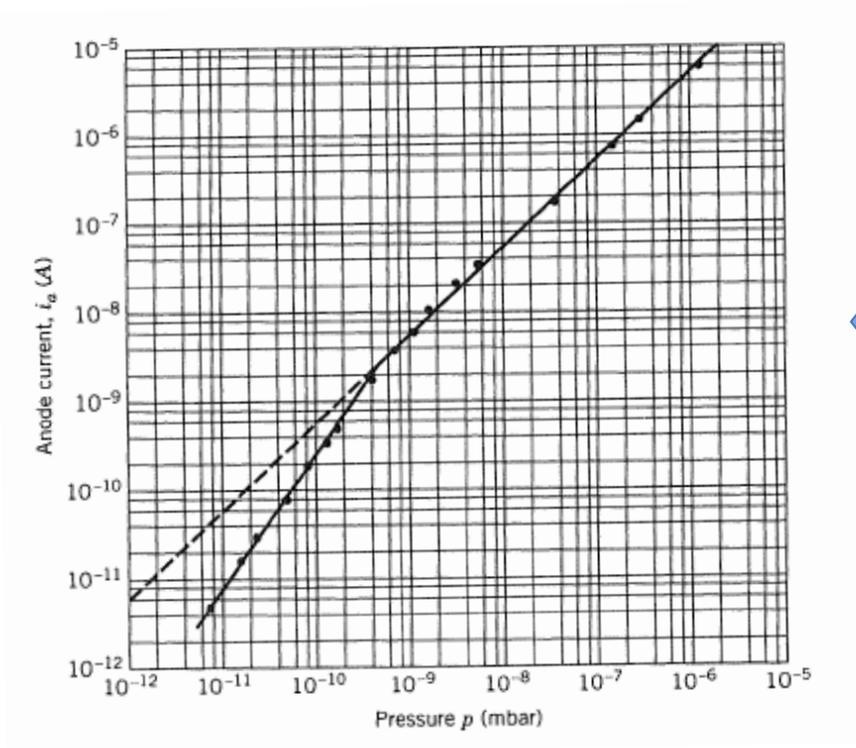


When the discharge gets saturated each new ionization produce a current



The time necessary for the discharge formation depends upon the level of the vacuum

lower pressure  $\rightarrow$  longer time of formation

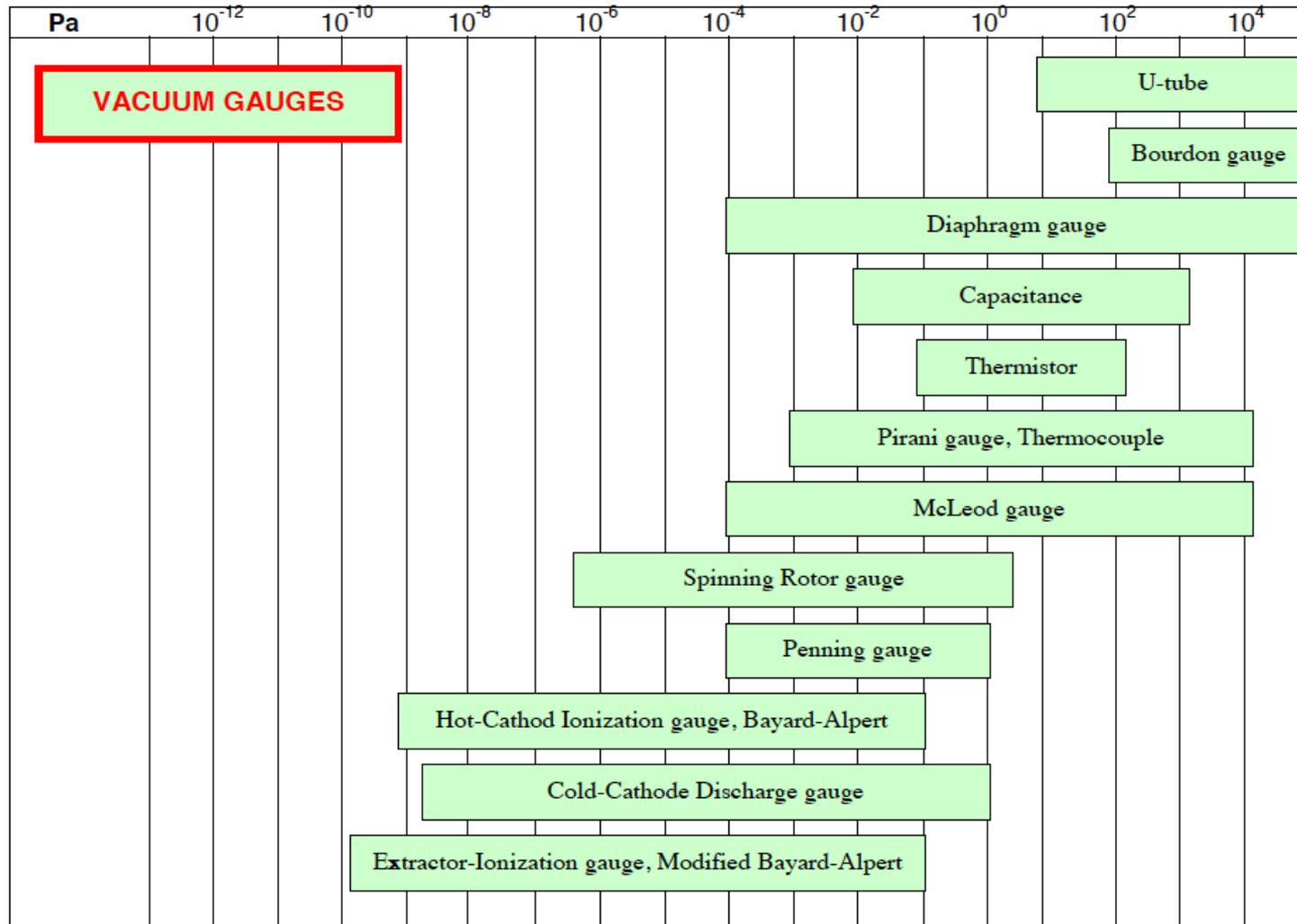


Sensitivity of a SIP (the same as for the Penning Gauge).

J.M. Lafferty, Vacuum Science, p. 322

# Summary on Gauges

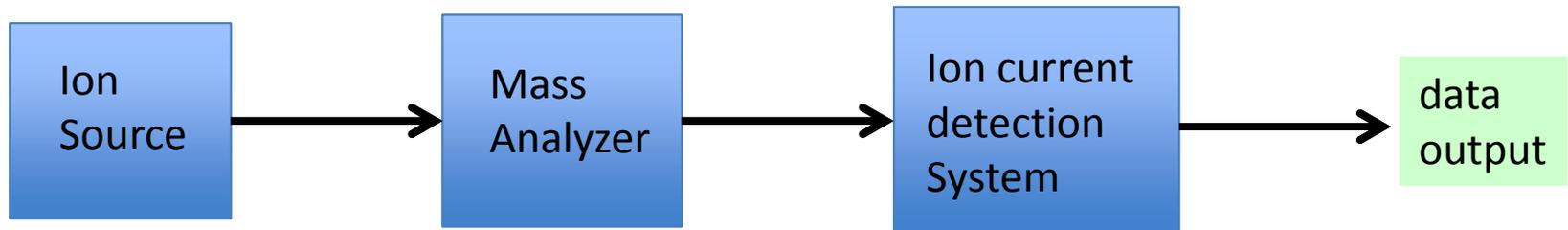
N. Marquardt



# Partial Pressure Measurements

These gauges allow the determination of the gas components

Partial pressure gauges are composed



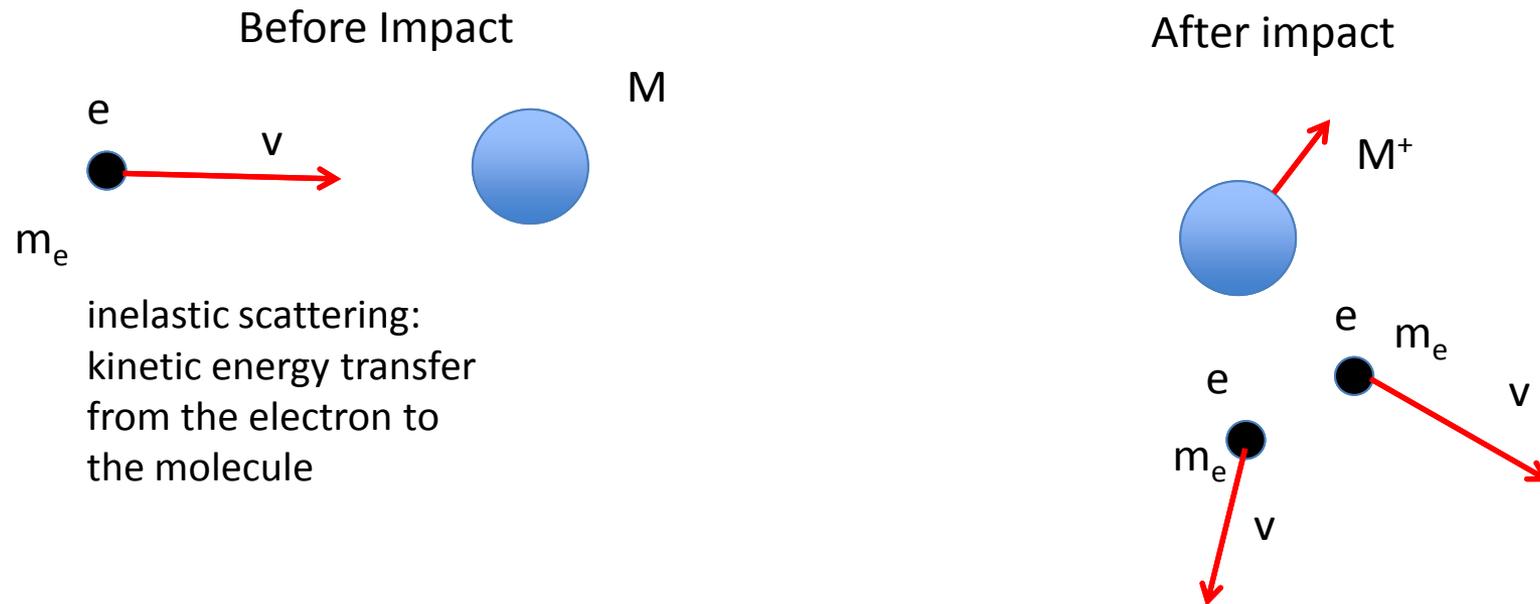
# Ion Sources

Vacuum gas is ionized via electron-impact

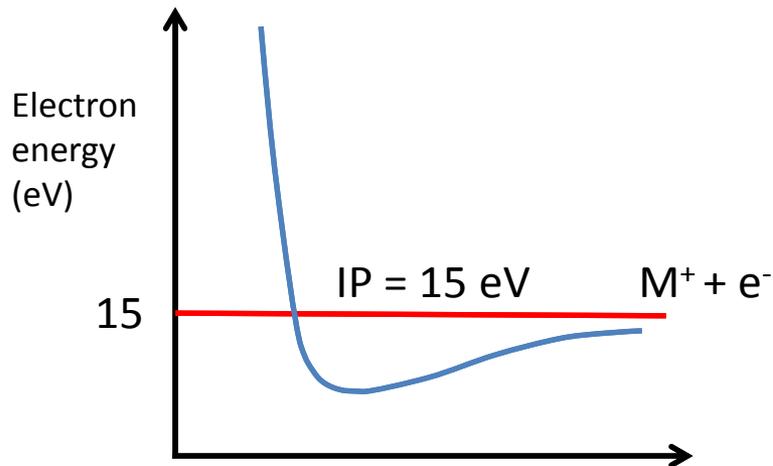


the rate of production of ions is proportional to each ion species

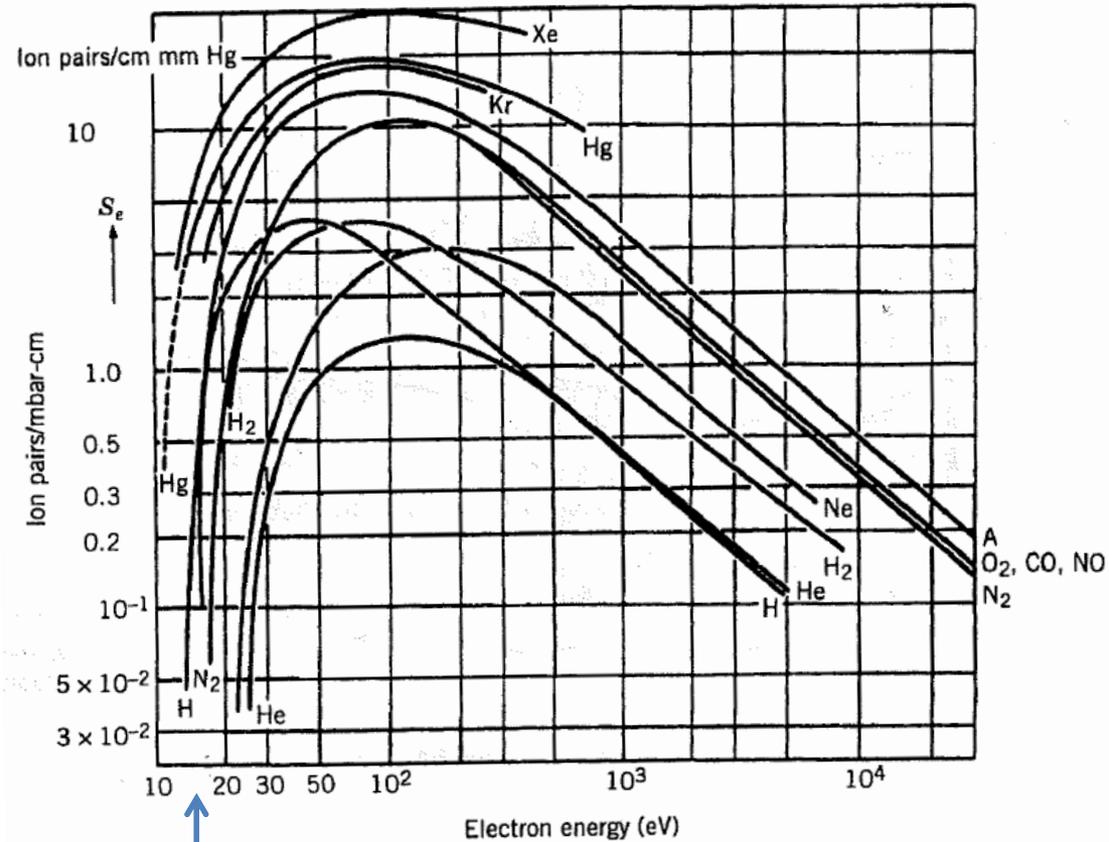
## Electron-impact ionization process



The minimum energy to ionize M is called "appearance potential"



At the appearance potential the production rate is low



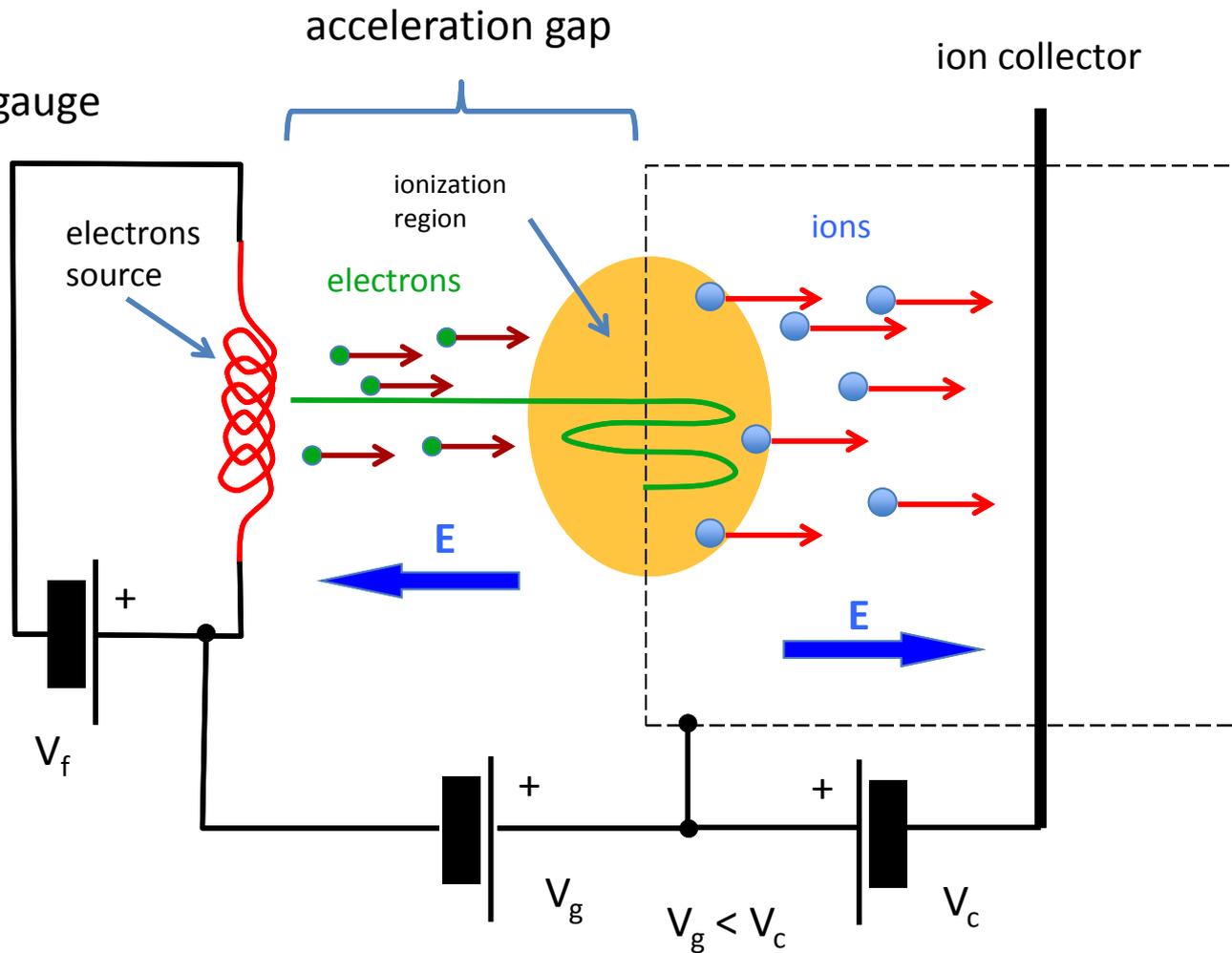
appearance potential

A. von Engel, Ionized Gases, AVS Classics Ser., p. 63. AIP Press, 1994

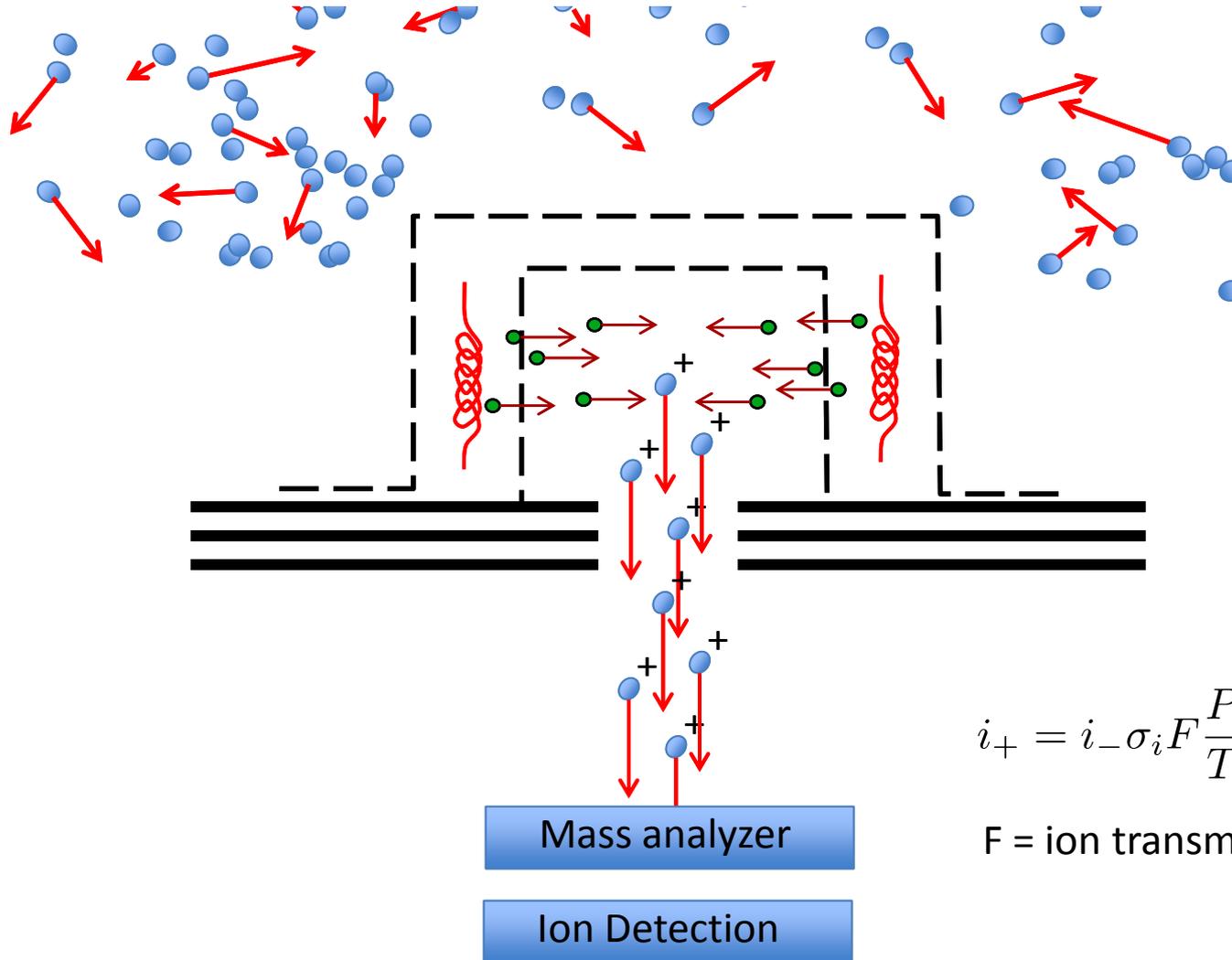
# Ion source

Open source

Bayard-Alpert gauge



# A schematic



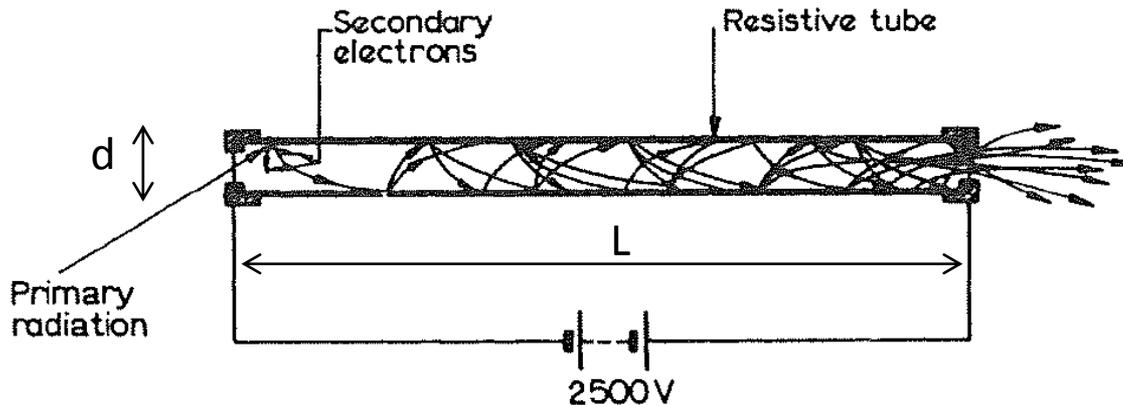
$$i_+ = i_- \sigma_i F \frac{P}{T}$$

F = ion transmission factor

# Ion Detection

- Faraday Cup
- Secondary electron Multiplier (SEM)

Idea: an ion enters into the tube, and due to the potential is accelerated to the walls. At each collision new electrons are produced in an avalanche process



Gain

$$G = \frac{\text{\# electrons output}}{\text{\# ion input}}$$

$$G = \left( \frac{KV_0^2}{4V\alpha^2} \right)^{\left( \frac{4V\alpha^2}{V_0} \right)}$$

$$\alpha = L/d$$

$V_0$  = applied voltage

$V$  = initial energy of the electron

$$K = \delta V_c$$

where

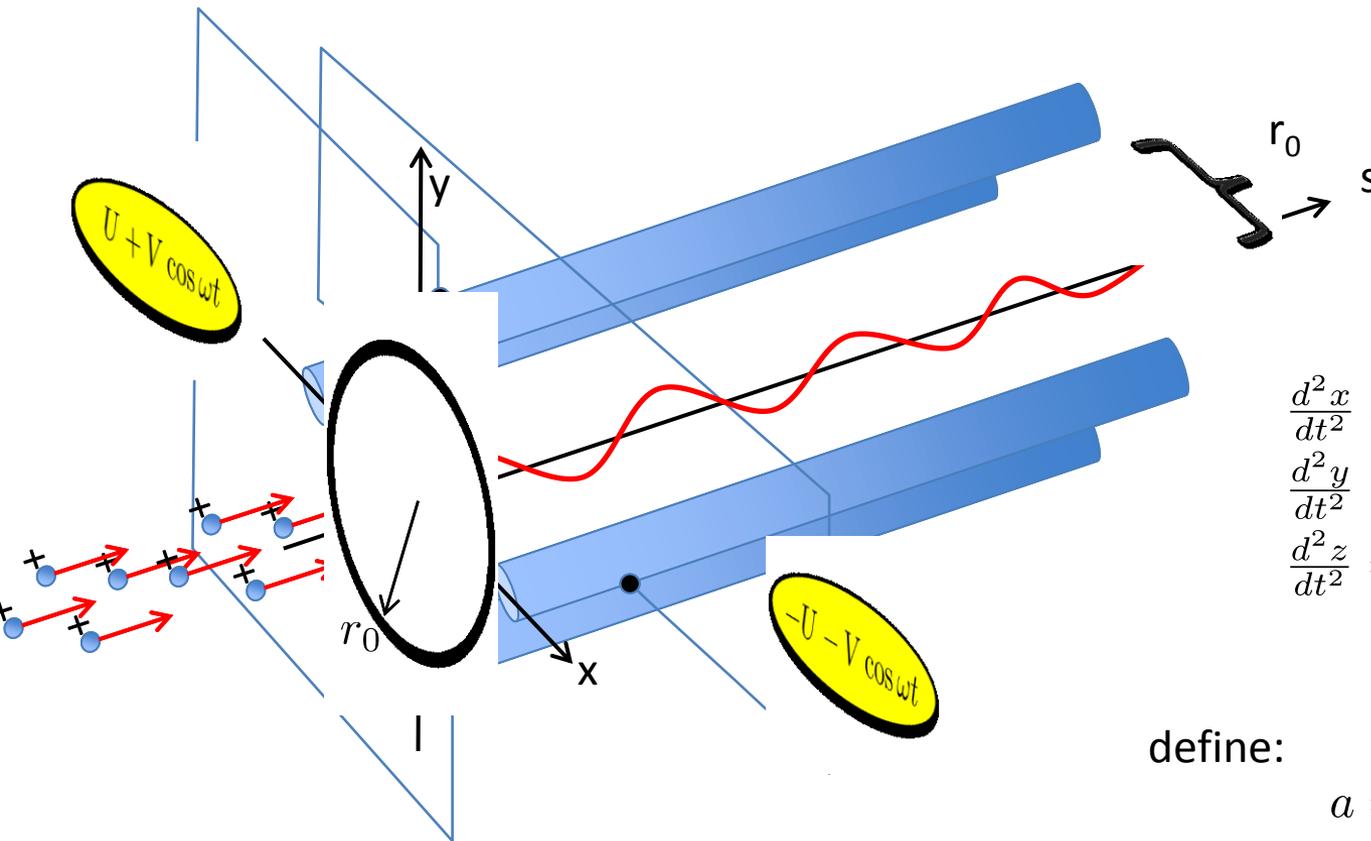
$\delta$  = secondary emission coefficient

$V_c$  = collision energy

J.Adams, B.W. Manley IEEE Transactions on Nuclear Science, vol. 13, issue 3, 1966. p. 88

# Mass Analyzers

## Quadrupolar mass spectrometer



Ion equation of motion

$$\frac{d^2 x}{dt^2} = +\frac{e}{m} \frac{1}{r_0^2} (U + V \cos \omega t) x$$

$$\frac{d^2 y}{dt^2} = -\frac{e}{m} \frac{1}{r_0^2} (U + V \cos \omega t) y$$

$$\frac{d^2 z}{dt^2} = 0$$

$U, V$  constant

define:

$$a = \frac{4eU}{Mr_0^2\omega^2} \quad q = \frac{2eV}{Mr_0^2\omega^2}$$

By rescaling of the coordinates the equation of motion becomes

$$\begin{aligned} \frac{d^2 x}{d\theta^2} &= (a + 2q \cos 2\theta)x \\ \frac{d^2 y}{d\theta^2} &= -(a + 2q \cos 2\theta)y \\ \frac{d^2 z}{d\theta^2} &= 0 \end{aligned} \quad \begin{array}{l} \leftarrow \\ \leftarrow \end{array} \quad \begin{array}{l} \text{Mathieu} \\ \text{Equation} \end{array}$$

The ion motion can be stable or unstable

Stability of motion

	<b>horizontal</b>	<b>vertical</b>
q=0, a>0	unstable	stable
q=0, a<0	stable	unstable

The presence of the term q, changes the stability condition

### Development of stable motion

Stable or unstable motion is referred to a channel which is infinitely long, but typically a length correspondent to 100 linear oscillation is considered enough

Example:

For  $N_2$  at  $E_k = 10$  eV  $\rightarrow v_s = 8301$  m/s

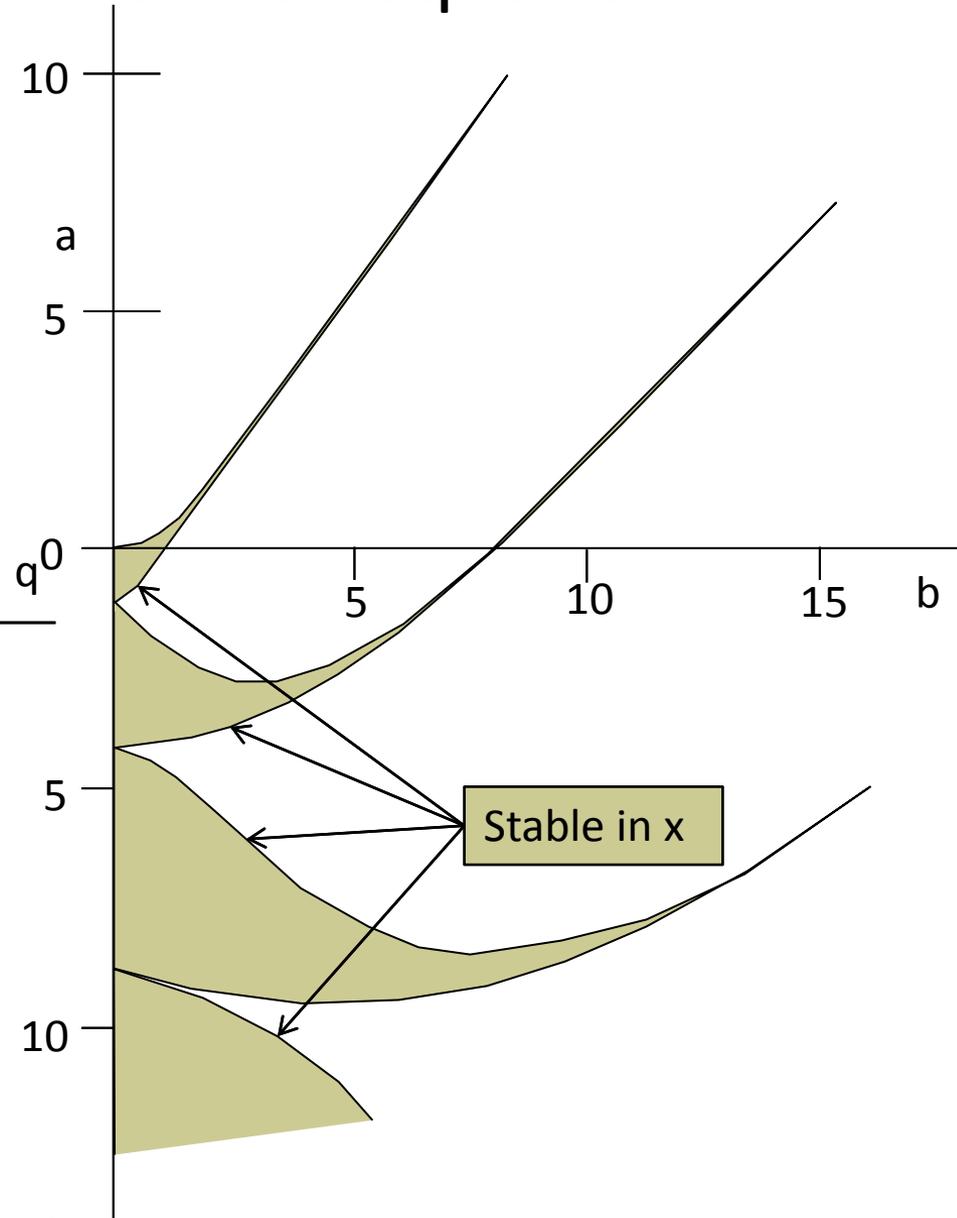
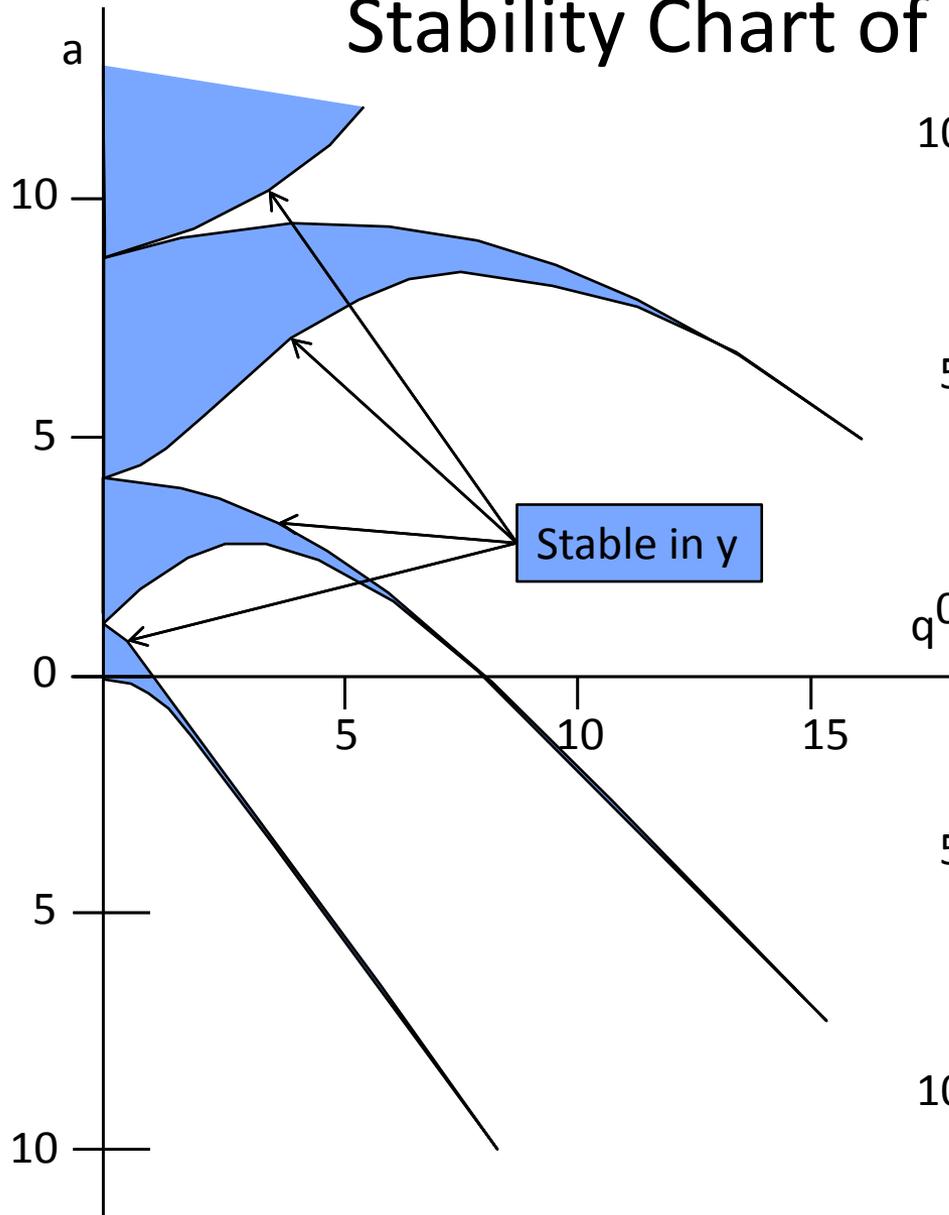
For a length of  $L = 100$  mm  
100 rf oscillation

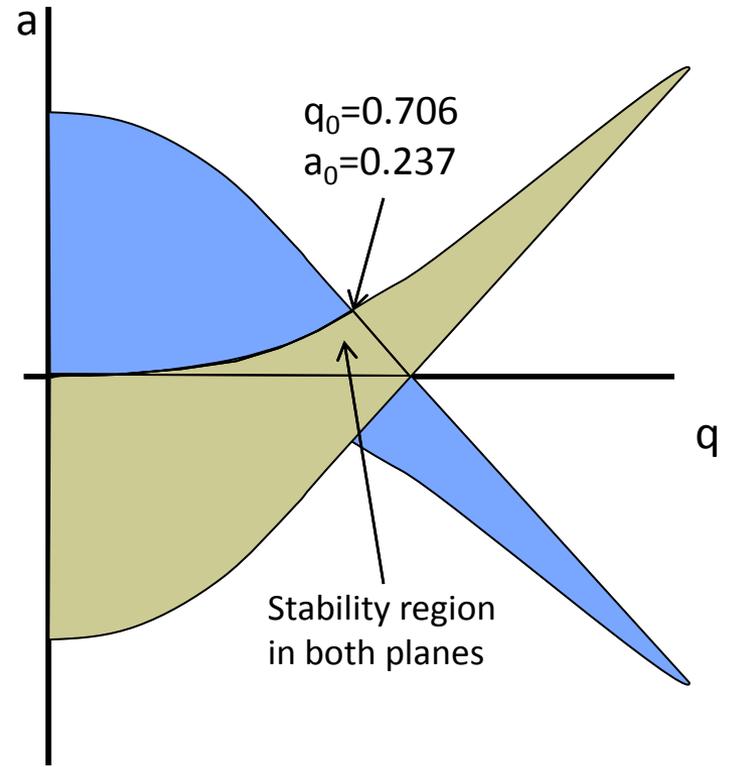
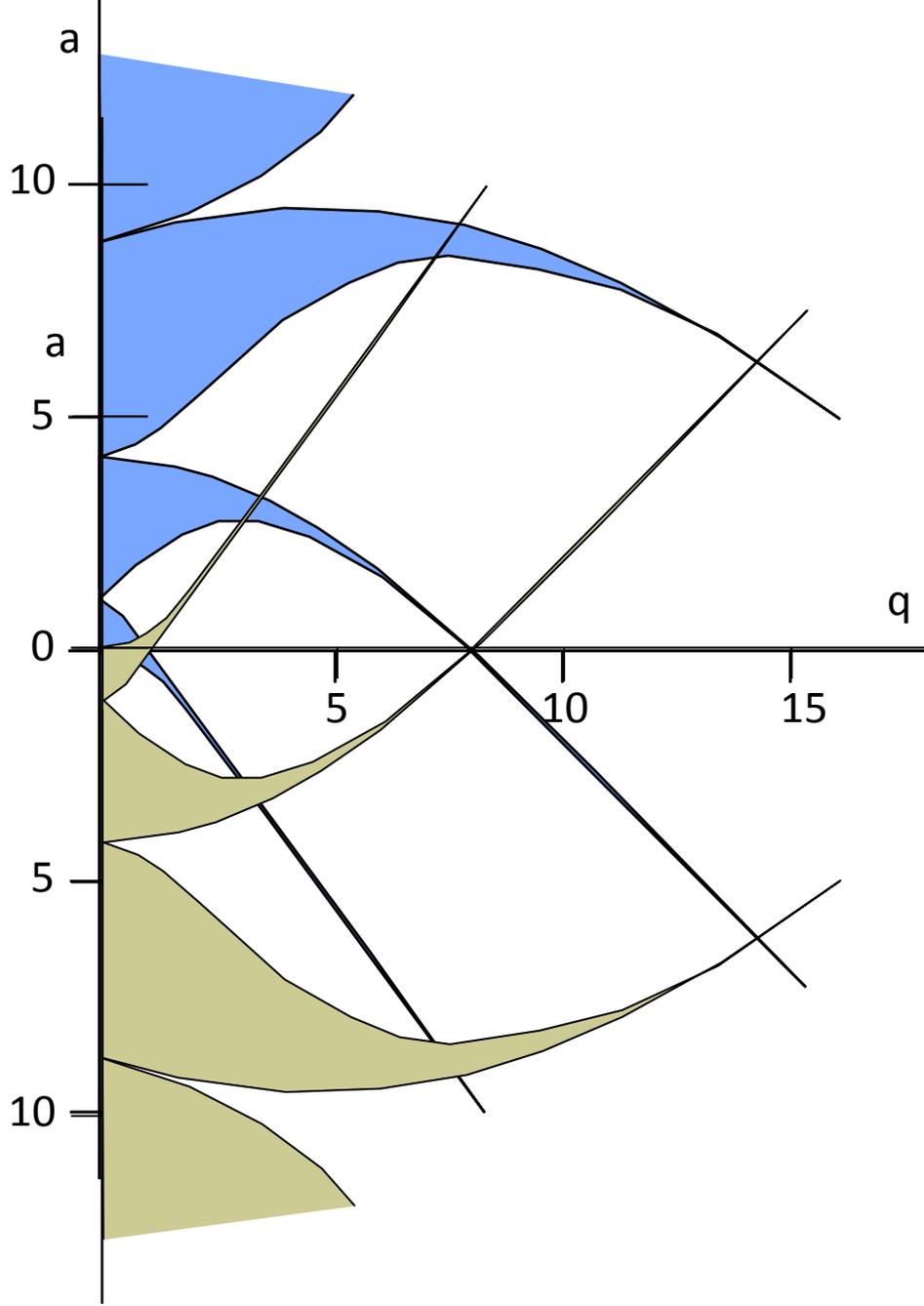


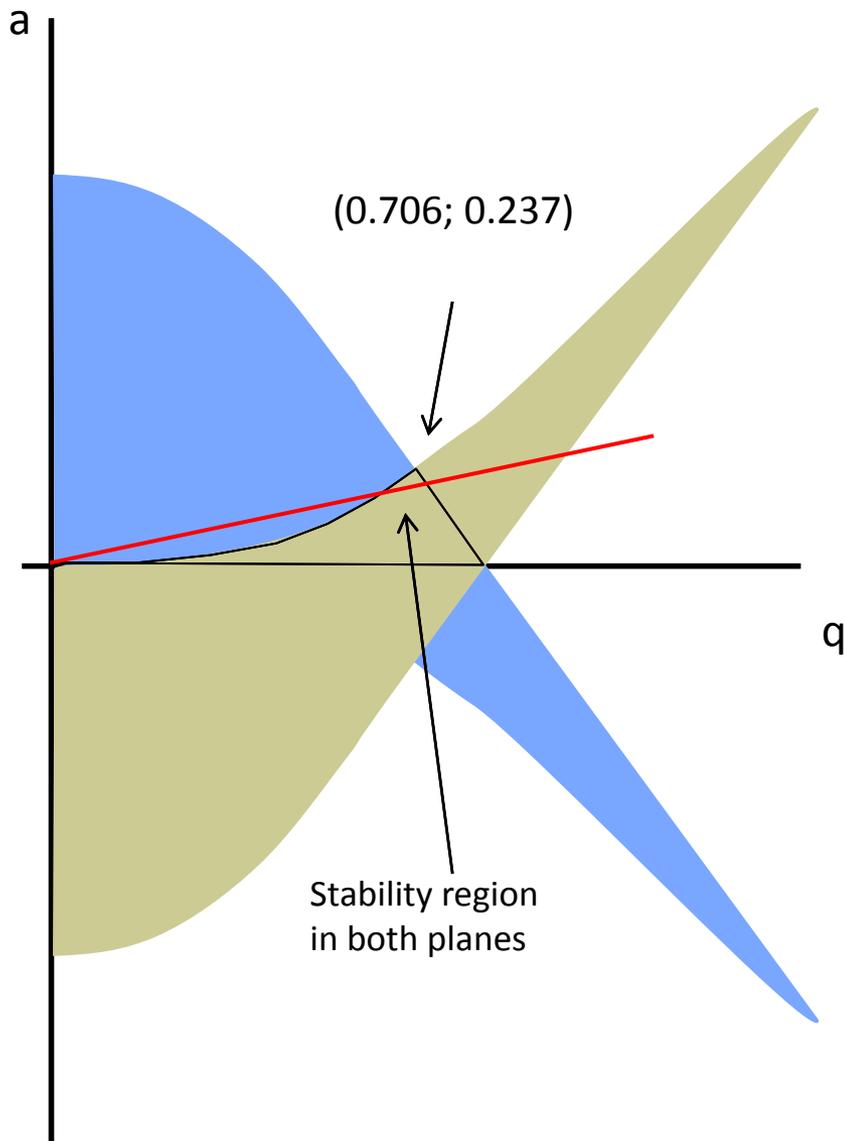
$f = 8.3$  MHz

typically  
 $f \sim 2$  MHz

# Stability Chart of Mathieu equation

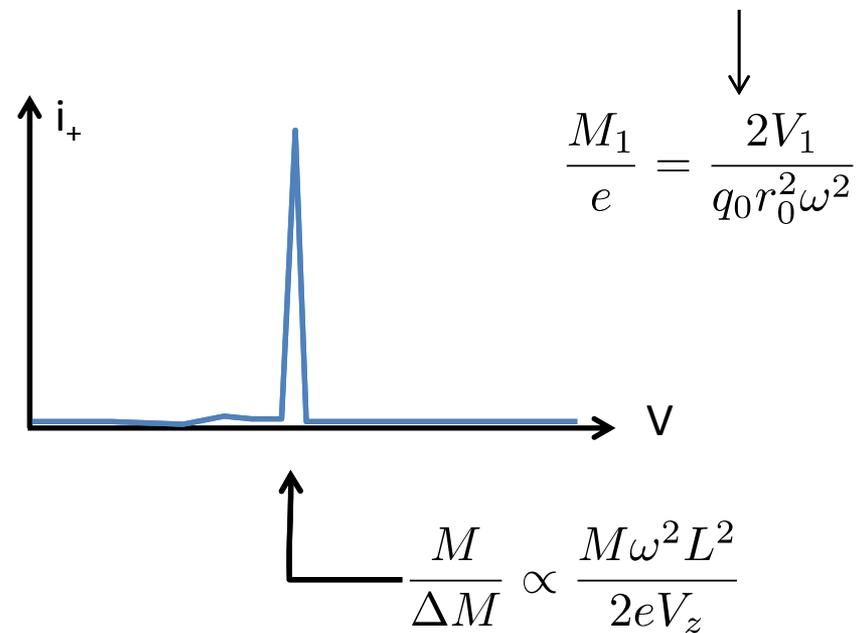






Given a certain species of mass  $M_1$  there are two values  $U_1, V_1$  so that  $q=q_0$  and  $a=a_0$

By varying  $V$  and keeping the ratio  $V/U$  constant, the tip of the stability is crossed and a current is measured at  $V=V_1$



## Magnetic Sector Analyzer

Example: A 90° magnetic sector mass spectrometer

B is varied and when a current is detected then

$$\frac{M}{q} = \frac{R^2 B^2}{2E_z/q}$$

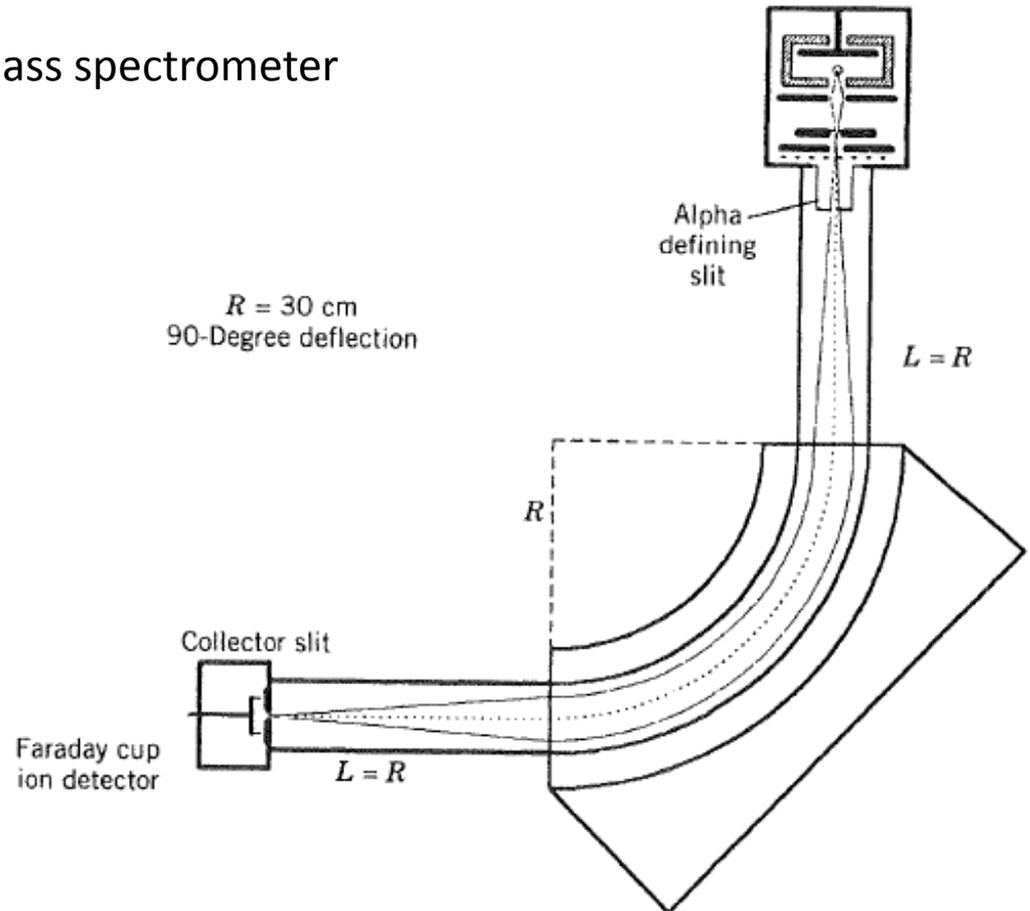
$E_z$  is the ions kinetic energy

Resolving power

$$RP \simeq \frac{R}{W_{source} + W_{collector}}$$

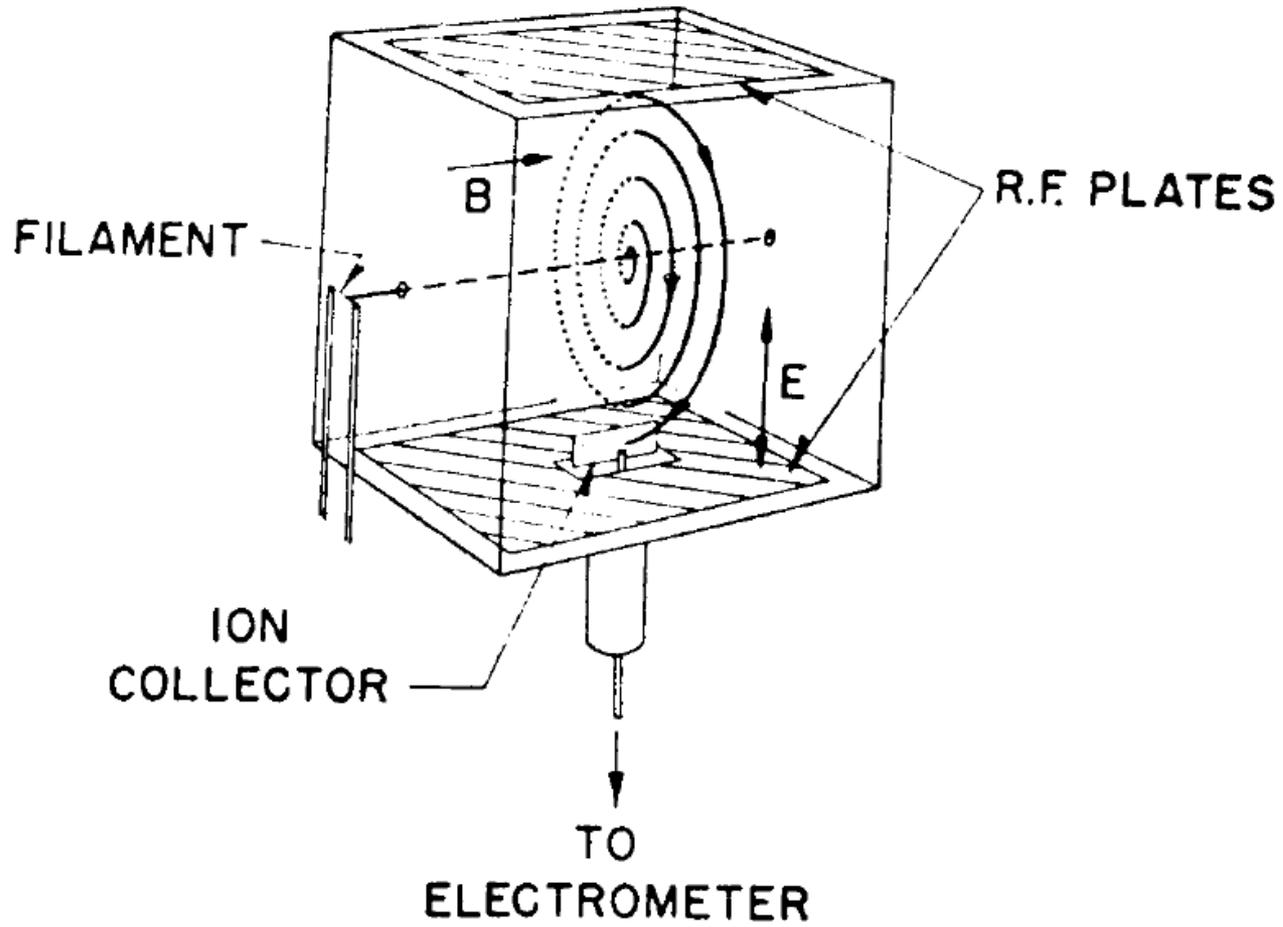
$W_{source}$  = source slit width

$W_{collector}$  = collector slit width



J.M. Lafferty, Vacuum Science, p. 462

# Omegatron



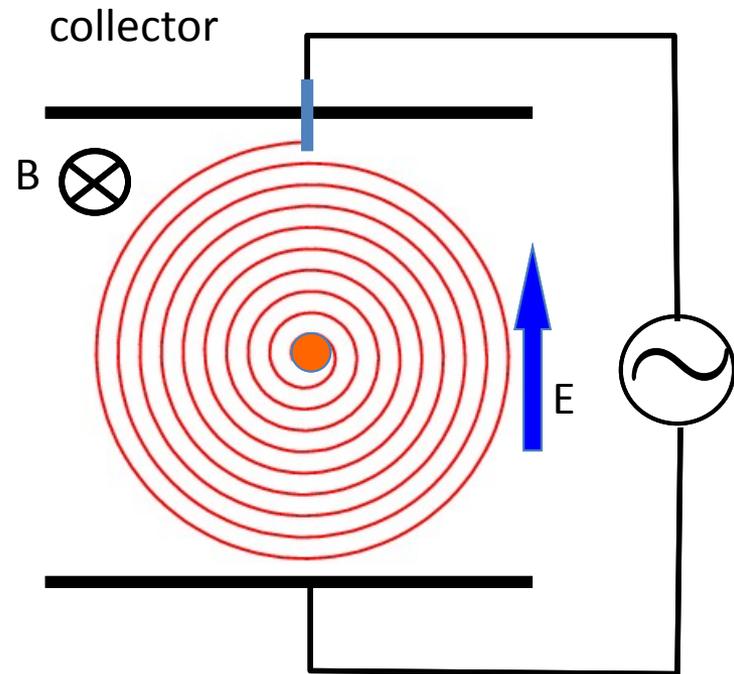
The revolution time is independent from ion energy

$$\tau = \frac{2\pi M}{B q}$$

If the frequency of the RF is  $1/\tau$  a resonant process takes place and particle spiral out

Resolving power

$$\frac{M}{\Delta M} = 4.8 \times 10^{-5} \frac{R_0 B^2}{E_0} \frac{e}{M}$$

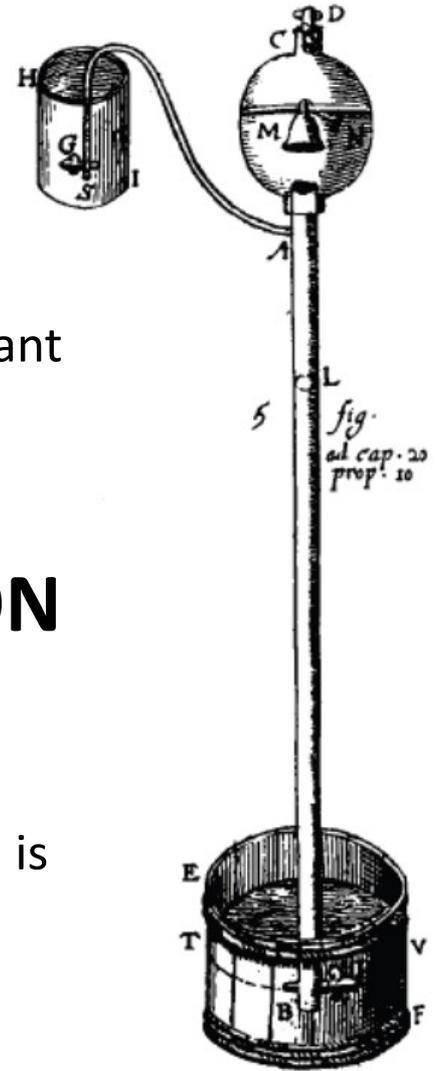


# Conclusion

Creating and controlling vacuum will always be a relevant part of any accelerator new development.

## THANK YOU FOR YOUR ATTENTION

These two lectures provides an introduction to the topic, which is very extensive: further reading material is reported in the following bibliography



**Kinetic theory and entropy** ,C.H. Collie, Longam Group, 1982

**Thermal Physics**, Charles Kittel, (John Wiley and Sons, 1969).

**Basic Vacuum Technology** (2<sup>nd</sup> Edn), A Chambers, R K Fitch, B S Halliday, IoP Publishing, 1998, ISBN 0-7503-0495-2

**Modern Vacuum Physics**, A Chambers, Chapman & Hall/CRC, 2004, ISBN 0-8493-2438-6

**The Physical Basis of Ultrahigh Vacuum**, P. A. Redhead, J. P. Hobson, E. V. Kornelsen, AIP, 1993, ISBN 1-56396-122-9

**Foundation of Vacuum Science**, J.M. Lafferty, Wiley & Sons, 1998

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