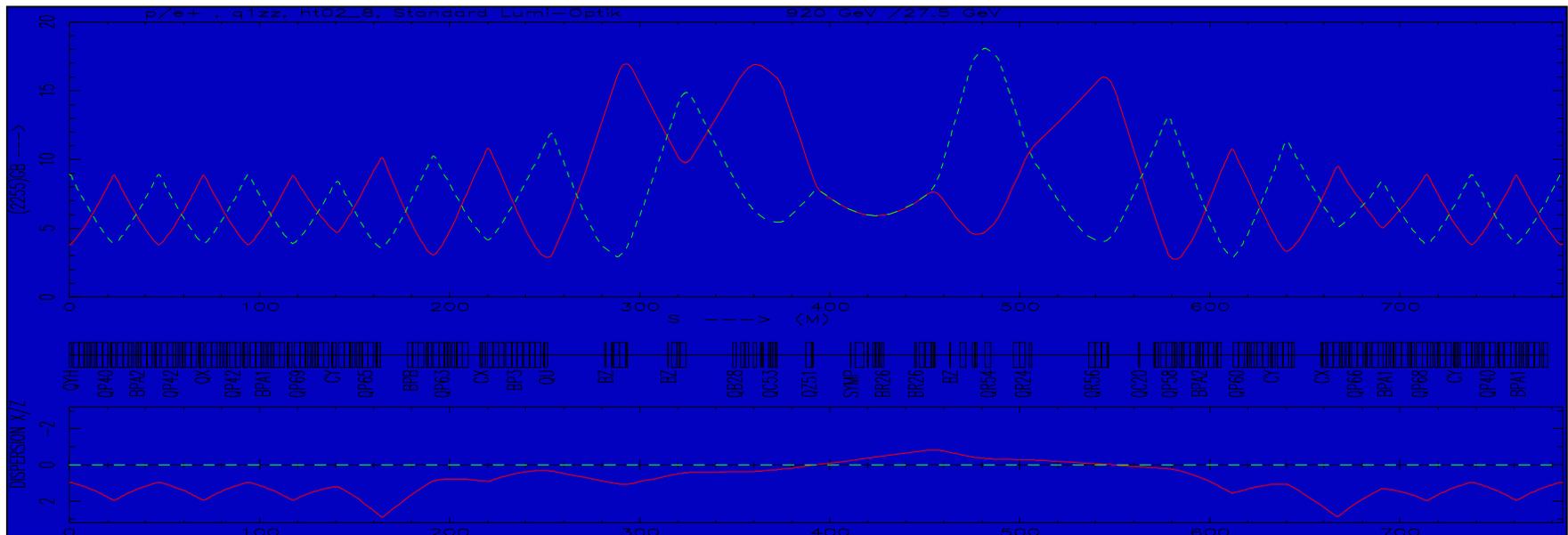


# Introduction to Transverse Beam Optics

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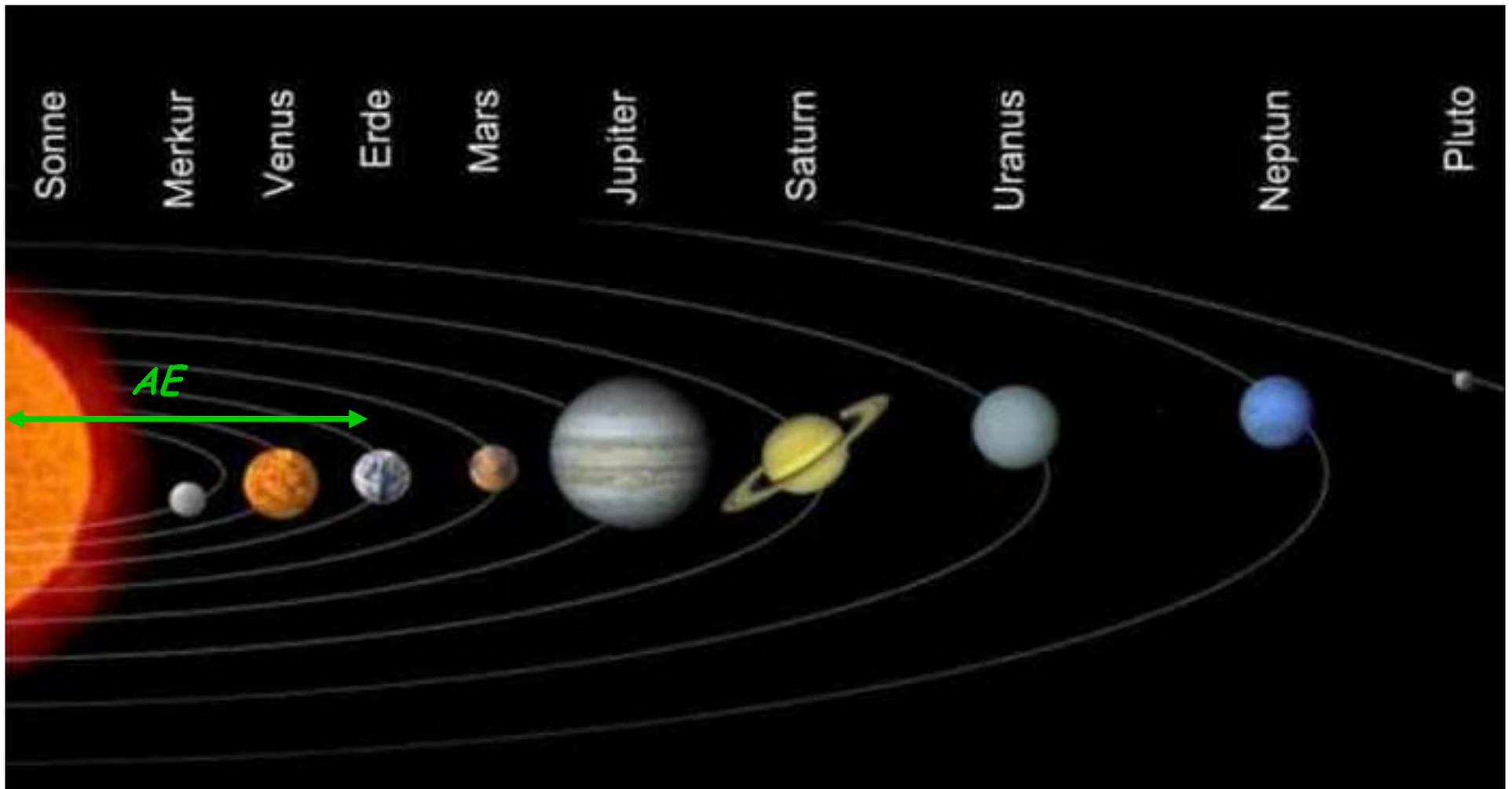
## Part I: Lattice Elements and Equation of Motion



Lattice and Beam Optics of a typical high energy storage ring

## *Largest storage ring: The Solar System*

*astronomical unit: average distance earth-sun*  
*1AE  $\approx 150 \cdot 10^6$  km*  
*Distance Pluto-Sun  $\approx 40$  AE*



## Luminosity Run of a typical storage ring:

*HERA storage ring: Protons accelerated and stored for 12 hours  
distance of particles travelling at about  $v \approx c$   
 $L = 10^{10}-10^{11}$  km  
... several times Sun-Pluto and back*



- guide the particles on a well defined orbit („design orbit“)
- focus the particles to keep each single particle trajectory within the vacuum chamber of the storage ring, i.e. close to the design orbit.

# Transverse Beam Dynamics:

## 0.) Introduction and basic ideas

„ ... in the end and after all it should be a kind of circular machine“  
→ need transverse deflecting force

Lorentz force

$$\vec{F} = q * (\cancel{\vec{E}} + \vec{v} \times \vec{B})$$

typical velocity in high energy machines:  $v \approx c \approx 3 * 10^8 \text{ m/s}$

*old greek dictum of wisdom:*

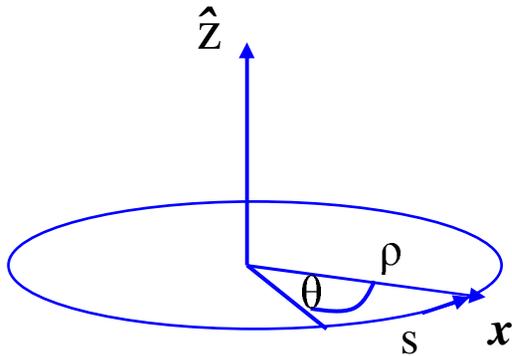
*if you are clever, you use magnetic fields in an accelerator where ever it is possible.*

But remember: magn. fields act allways perpendicular to the velocity of the particle

→ only bending forces, → no „beam acceleration“

## The ideal circular orbit

consider a magnetic field  $B$  is independent of the azimuthal angle  $\theta$



circular coordinate system

condition for circular orbit:

$$\text{Lorentz force} \quad F_L = e * v * B$$

$$\text{centrifugal force} \quad F_{Zentr} = \frac{\gamma m_0 v^2}{\rho}$$

ideal condition for circular movement:

$$\frac{\gamma m_0 v^2}{\rho} = e * v * B$$

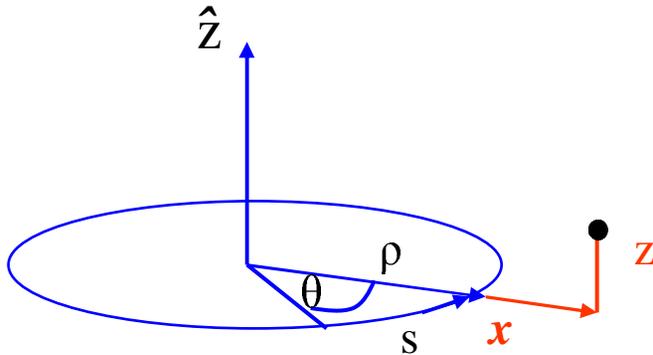
$$\frac{p}{e} = B * \rho$$

On a circular orbit, the momentum of the particle is related to the guide field  $B$  and the radius of curvature  $\rho$ .

# Focusing Forces:

## I.) the principle of weak focusing

still: consider a magnetic field  $B$  is independent of the azimuthal angle  $\theta$



circular coordinate system

stability of the particle movement: small deviations of particle from ideal orbit  
 $\leftrightarrow$  restoring forces

$$e v B_z(r) \left\{ \begin{array}{l} < \frac{\gamma m_0 v^2}{r} \text{ for } r < \rho \\ > \frac{\gamma m_0 v^2}{r} \text{ for } r < \rho \end{array} \right.$$

$$F_{rest} = \frac{m v^2}{r} - e v B$$

\* *introduce a gradient of the magnetic field*

$$\begin{aligned}
 evB_z &= ev \left( B_0 + \frac{\partial B_z}{\partial r} * x \right) = evB_0 \left( 1 + \frac{\partial B_z}{\partial r} \frac{x}{B_0} \right) \\
 &= ev B_0 \left\{ 1 - n * \frac{x}{\rho} \right\}
 \end{aligned}$$

*field gradient „n“, by definition:*

$$n = -\frac{\rho}{B_0} \frac{\partial B_z}{\partial r}$$

\* *develop for small x*

$$r = \rho + x = \rho \left( 1 + \frac{x}{\rho} \right)$$

$$\frac{mv^2}{r} \approx \frac{mv^2}{\rho} \left( 1 - \frac{x}{\rho} \right)$$

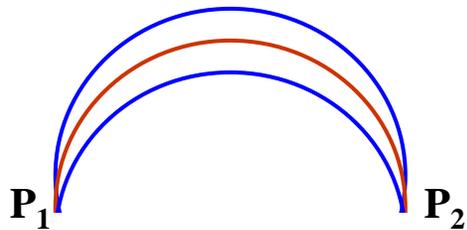
*→ restoring force:*

$$\begin{aligned}
 \mathbf{F}_{rest} &= \frac{mv^2}{\rho} \left( 1 - \frac{x}{\rho} \right) - evB \left( 1 - \frac{nx}{\rho} \right) \\
 &= \frac{p}{\rho} v \left( 1 - \frac{x}{\rho} \right) - evB \left( 1 - \frac{nx}{\rho} \right) \\
 &= -evB * \frac{x}{\rho} (1 - n)
 \end{aligned}$$

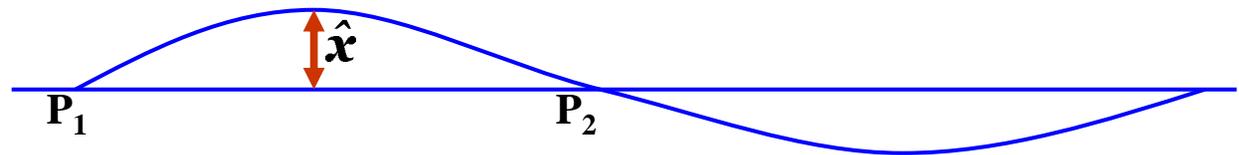
$$F_{rest} = -evB * \frac{x}{\rho} (1 - n)$$

condition for focusing in the horizontal plane:  
 $n < 1$

*Nota Bene: the condition does not exclude  $n = 0$ .  
 there is focusing even in a homogenous field.*



„Geometric focusing“ in a homogeneous field:  
 consider three particles, starting at the  
 same point with different angles



*Problem: amplitude of betatron oscillation in this case*

$$\hat{x} \approx \alpha * \rho$$

$\alpha \approx 1 \text{ mrad}$  for a particle beam

$\rho \approx \text{several } 100 \text{ m}$

$$\hat{x} \approx 1 \text{ m}$$

*weak focusing in the vertical plane:*

*restoring force in „z“*

$$F_z \propto -z$$

*we need a **horizontal** magnetic field component:*

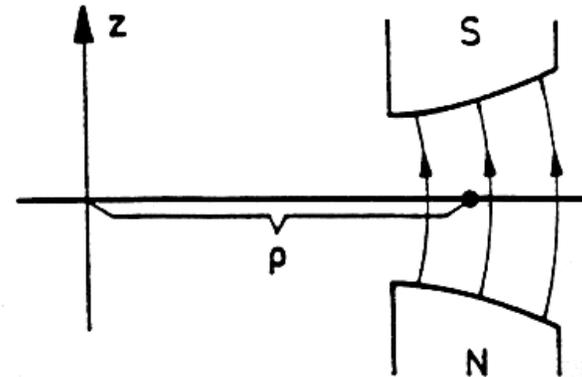
$$B_x = -\text{const} * z$$

*... or a negative horizontal field gradient*

$$\frac{\partial B_x}{\partial z} = -\text{const}$$

*Maxwells equation:*  $\vec{\nabla} \times \vec{B} = 0$

$$\frac{\partial B_x}{\partial z} = \frac{\partial B_z}{\partial x} = \frac{\partial B_z}{\partial r} < 0$$



*the **vertical** field component has to decrease with increasing radius*

*typical pole shape in a combined function ring*

$$\frac{\partial B_z}{\partial r} < 0 \Leftrightarrow n > 0$$

## *Comments on weak focusing machines:*

*\* magnetic field is independent of the azimuthal angle  $\theta$ ,  
focusing gradient is included in the dipole field*

*\* stability of the particle movement in both planes requires*

$$0 < n < 1$$

*\* equation of motion (see appendix):*

$$\ddot{\mathbf{x}} + \omega_0^2 (1 - n) \mathbf{x} = 0$$

$$\ddot{\mathbf{z}} + \omega_0^2 n \mathbf{z} = 0$$

*Problem: we get less than one  
transverse oscillation per turn  
→ large oscillation amplitudes*

*Separate the focusing gradients  
from the bending fields to obtain  
 $n \gg 1$*

*Example HERA:*

$$g = 98 \text{ T/m} \quad \text{at} \quad p = 920 \text{ GeV/c}$$

$$n \approx 12420$$

## II.) Accelerator Magnets

### Separate Function Machines:

Split the magnets and optimise them according to their job: bending, focusing etc

### Dipole Magnets:

homogeneous field created by two flat pole shoes

### calculation of the field:

3<sup>rd</sup> Maxwell equation for a static field:  $\vec{\nabla} \times \vec{H} = \vec{j}$

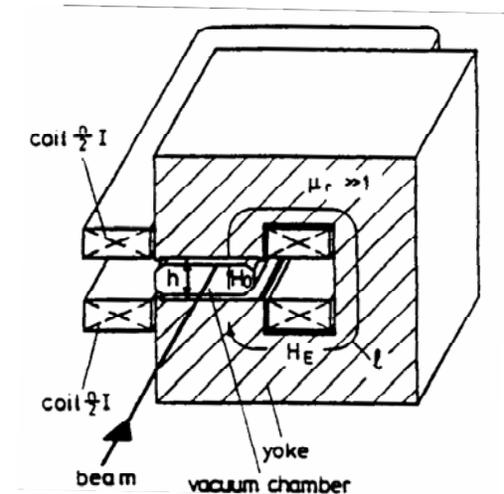
according to Stokes theorem:

$$\int_S (\vec{\nabla} \times \vec{H}) \cdot \vec{n} \, da = \oint \vec{H} \cdot d\vec{l} = \int_S \vec{j} \cdot \vec{n} \, da = N \cdot I$$

$$\oint \vec{H} \cdot d\vec{l} = H_0 * h + H_{Fe} * l_{Fe}$$

in matter we get with  $\mu_r \approx 1000$

$$\oint \vec{H} \cdot d\vec{l} = H_0 * h + \frac{H_0}{\mu_r} * l_{Fe} \approx H_0 * h$$



$N \cdot I =$  number of windings times current per winding

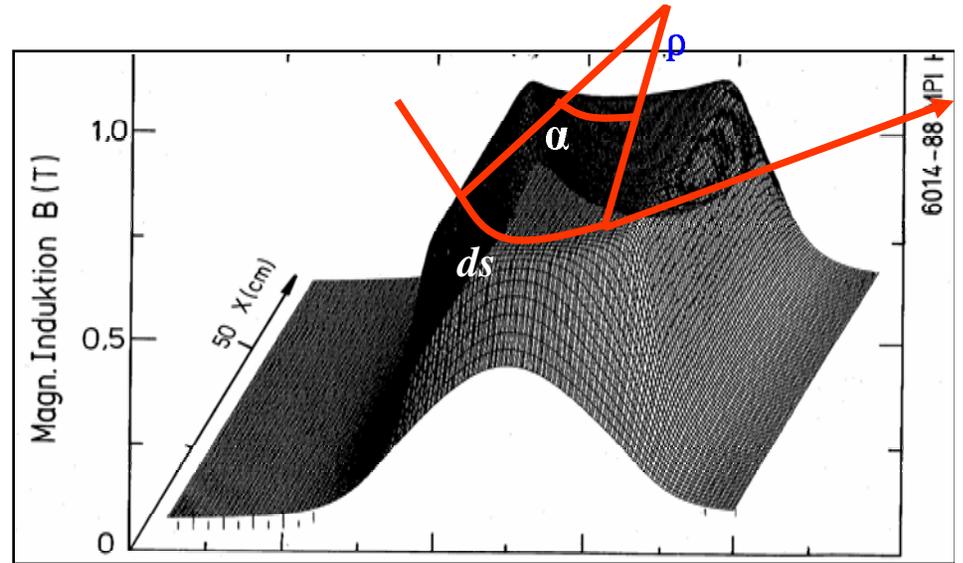
magnetic field of a dipole magnet:

$$B_0 = \frac{\mu_0 n I}{h}$$

radius of curvature

... remember  $p/e = B^* \rho$

$$\frac{1}{\rho} [m^{-1}] = \frac{e \cdot B_0}{p} = 0.2998 \frac{B_0 [T]}{p [GeV/c]}$$



field map of a storage ring dipole magnet

bending angle of a dipole magnet:

$$\alpha = \frac{ds}{\rho} \approx \frac{dl}{\rho}$$

for a circular machine require

$$\alpha = \frac{\int B dl}{B^* \rho} = 2\pi \rightarrow \int B dl = 2\pi * \frac{p}{q}$$

hard edge approximation:

define the effective length of a magnet by

$$B_0 \cdot l_{eff} := \int_{-\infty}^{+\infty} B dl$$

typically we get  $l_{eff} \approx l_{iron} + 1.3 * h$

## Example HERA:

920 GeV Proton storage ring:

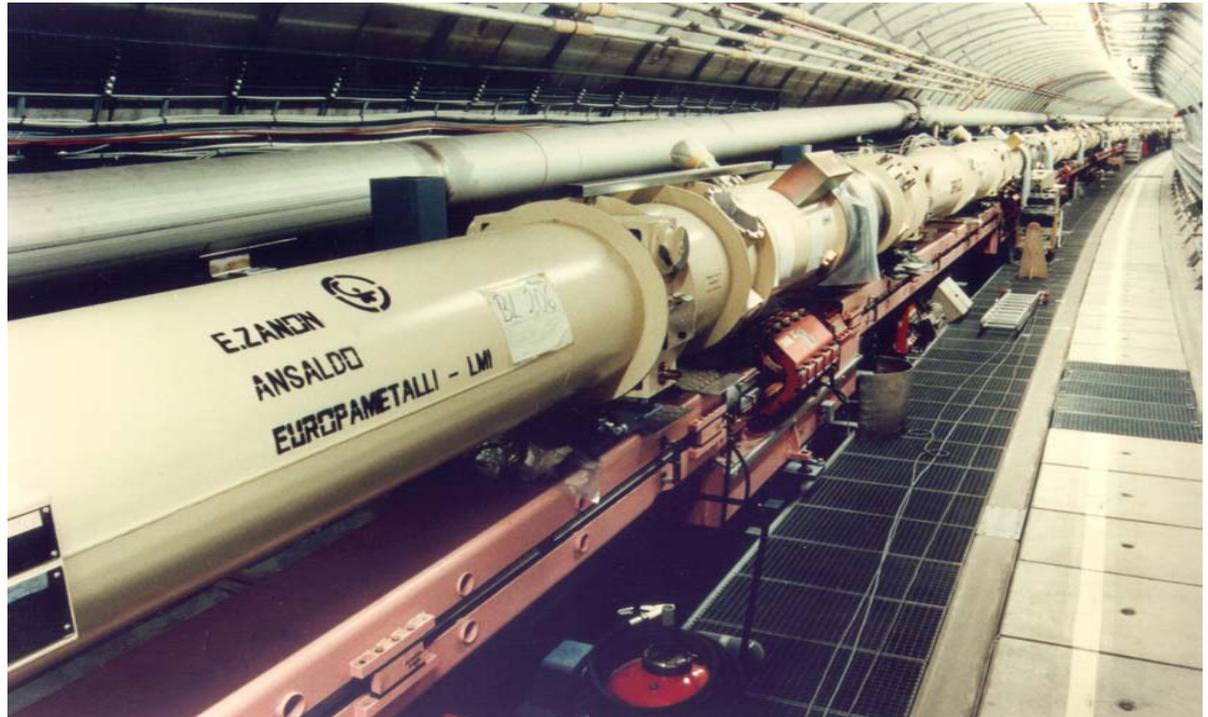
$$N = 416$$

$$l = 8.8\text{m},$$

$$q = +1 e$$

*Nota bene: for high energy particles we can set ...*

$$E \approx p \cdot c$$



$$\int Bdl \approx N * l * B = 2\pi \quad p / q$$

$$B \approx \frac{2\pi * 920 * 10^9 eV}{416 * 3 * 10^8 \frac{m}{s} * 8.8m * e} \approx \underline{\underline{5.15 \text{ Tesla}}}$$

## Quadrupole Magnets:

required: linear increasing magnetic field

$$B_z = -g \cdot x \quad B_x = -g \cdot z$$

at the location of the particle trajectory: no iron, no current

$$\vec{\nabla} \times \vec{B} = 0 \quad \rightarrow \quad \vec{B} = -\vec{\nabla} V$$

the magnetic field can be expressed as gradient of a scalar potential!

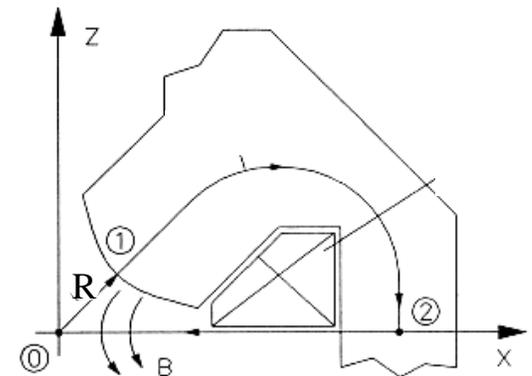
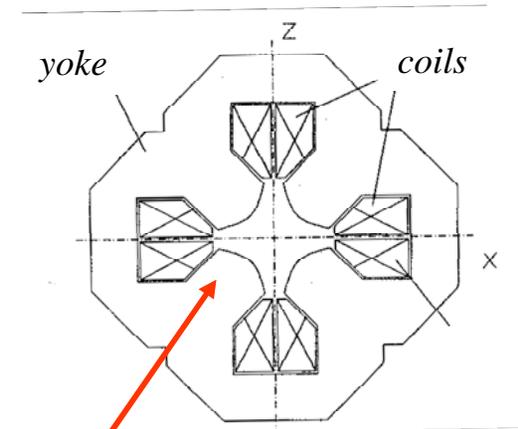
$$V(x, z) = g \cdot xz$$

equipotential lines (i.e. the surface of the iron contour) = hyperbolas

calculation of the field:

$$\oint \vec{H} \cdot d\vec{s} = nI$$

$$\oint \vec{H} \cdot d\vec{s} = \int_0^R H(r) dr + \int_1^2 \vec{H}_{Fe} \cdot d\vec{s} + \int_2^0 \vec{H} \cdot d\vec{s}$$



*calculation of the quadrupole field:*

$$\oint \vec{H} \cdot d\vec{s} = \int_0^R H(r) dr = \int_0^R \frac{B(r) dr}{\mu_0} = n * I$$

$$B(r) = -g * r, \quad r = \sqrt{x^2 + z^2}$$

*gradient of a  
quadrupole field:*

$$g = \frac{2\mu_0 n I}{R^2}$$

*remember:*

*normalised dipole strength:*

$$\frac{1}{\rho} = \frac{B_0}{p/e}$$



*normalised quadrupole strength:*

$$k = \frac{g}{p/e}$$

*focal length:*

$$f = \frac{1}{k * l}$$

*Example of a separated function  
machine: heavy ion storage ring TSR*

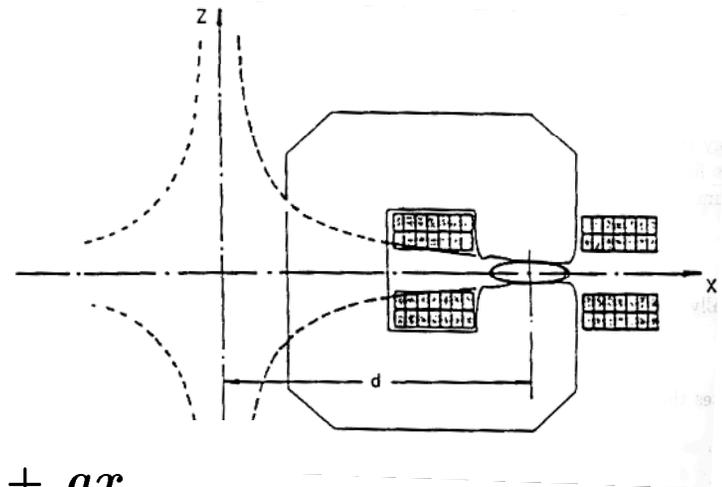
## „Synchrotron Magnet“:

*combines the homogeneous field of a dipole with a quadrupole gradient*

*potential:*  $V(x,z) = -B_0 z + g \cdot xz$

*advantage: lattice with high compactness*

*disadvantage: strong correlation of momentum (via dipole field) and beam optics.  
→ poor flexibility*



*field index:*  $B_z = \frac{\partial V}{\partial z} = -B_0 + gx$

$$n = \frac{\partial B_z}{\partial x} \cdot \frac{\rho}{B_0} = g \frac{\rho}{B_0} = k \rho^2$$

*Nota bene: Synchrotron magnet can be considered as a shifted quadrupole lens „off center quadrupole“.*

### III.) The equation of motion

*Pre-requisites: \* consider particles with ideal momentum or at least with only small momentum error*

*\* neglect terms of second order in  $x, z,$  and  $\Delta p/p \rightarrow$  linear approximation*

*\* independent variable "s",*

*write derivative with respect to s as ...'*

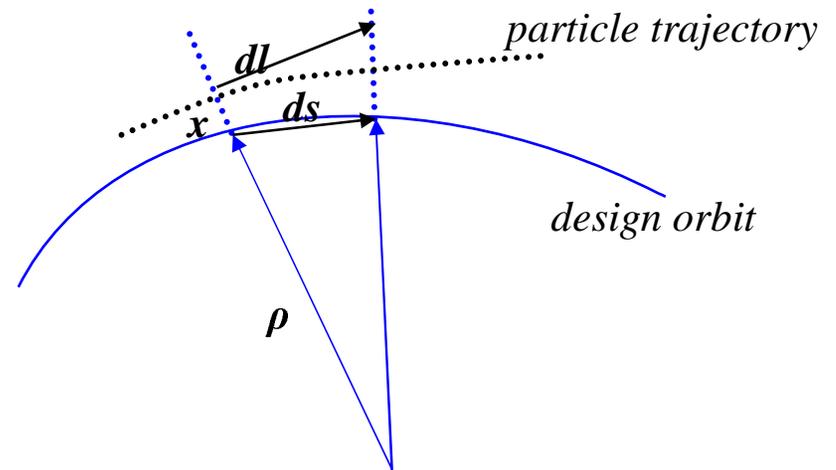
$\theta_0$  angle of ideal orbit

$\theta$  angle of particle trajectory

$x'$  derivative of particle amplitude with resp. to s

$$x' = \theta - \theta_0$$

$$x'' = \frac{d(\theta - \theta_0)}{ds}$$



*For any circular orbit path we get:*

$$d\theta_0 = -\frac{ds}{\rho} \quad \rightarrow \quad \frac{d\theta_0}{ds} = \frac{-1}{\rho}$$

*... quite clear, but what is  $d\theta/ds$  ?*

$$(a) \quad d\theta = -\frac{dl}{\rho} = -dl \frac{B e}{p}$$

as for any circular orbit we know:  $\frac{l}{\rho} = \frac{B}{p/e}$

as long as the angle  $x'$  is small  $dl$  is related to  $s$  by:

$$(b) \quad dl = \frac{\rho + x}{\rho} ds$$

$$dl = \left(1 + \frac{x}{\rho}\right) ds$$

Magnetic field: assume only dipole and quadrupole terms

$$B = B_0 + \frac{\partial B}{\partial x} x = B_0 - gx$$

remember:  
definition of  
field gradient

$$B_x = -gx$$

$$B_z = -gz$$

$$(c) \quad B = \frac{p_0}{e\rho} - kx \frac{p_0}{e} = \frac{p_0}{e} \left\{ \frac{1}{\rho} - kx \right\}$$

normalised strength  $k = \frac{g}{p_0/e}$

putting the term (b) and (c) into the expression for the angle  $d\theta$  ...

$$d\theta = -dl \frac{Be}{p} = -\left(1 + \frac{x}{\rho}\right)ds \cdot \frac{eB}{p_0 + \Delta p}$$

$$d\theta = -\left(1 + \frac{x}{\rho}\right)ds \frac{e \cdot \frac{p_0}{e} \left(\frac{1}{\rho} - kx\right)}{p_0 + \Delta p}$$

$$d\theta = -\frac{p_0}{p_0 + \Delta p} \left\{ \frac{1}{\rho} - kx + \frac{x}{\rho^2} - \frac{kx^2}{\rho} \right\} ds$$

*develop the momentum  
p for small Δp*

$$\frac{p_0}{p_0 + \Delta p} \approx 1 - \frac{\Delta p}{p_0}$$

$$d\theta = -ds \left\{ \frac{1}{\rho} - kx + \frac{x}{\rho^2} - \frac{kx^2}{\rho} - \frac{\Delta p}{p_0 \rho} + kx \frac{\Delta p}{p_0} - \frac{x}{\rho^2} \frac{\Delta p}{p_0} + kx^2 \frac{\Delta p}{\rho p_0} \right\}$$

*and keep only first order terms in x, z, Δp*



$$d\theta = -ds \left\{ \frac{1}{\rho} - kx + \frac{x}{\rho^2} - \frac{\Delta p}{p_0 \rho} \right\}$$

... do you still remember the beginning ?

we were looking for ...

$$x'' = \frac{d\theta}{ds} - \frac{d\theta_0}{ds} \qquad x'' = -\frac{1}{\rho} + kx - \frac{x}{\rho^2} + \frac{\Delta p}{p_0} \frac{1}{\rho} - \frac{d\theta_0}{ds}$$

$$x'' + \left( \frac{1}{\rho^2} - k \right) x = \frac{1}{\rho} \frac{\Delta p}{p}$$

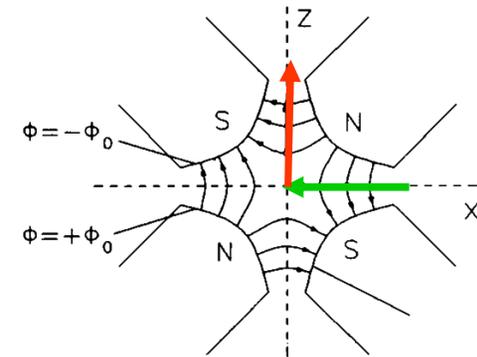
**vertical direction:**

\* no bending (... in general)  $\rightarrow$  no  $1/\rho^2$  term

\* vertical gradient:

$$\nabla \times B = 0 \quad \Leftrightarrow \quad \frac{\partial B_z}{\partial x} = \frac{\partial B_x}{\partial z}$$

\* Lorentz force gets a "-":  $F = q(v \times B)$



$$z'' + kz = 0$$

## IV.) Solution of trajectory equations

horizontal plane:

$$x'' + \left( \frac{1}{\rho^2(s)} - k(s) \right) x = \frac{1}{\rho(s)} \frac{\Delta p}{p}$$

define:

$$K(s) = -k(s) + 1/\rho^2(s)$$

$$x'' + K(s) * x = \frac{1}{\rho} \frac{\Delta p}{p}$$

- 2 Problems:
- \* *inhomogeneous equation* → set for the moment  $\Delta p/p=0$   
i.e. consider particles of *ideal momentum*
  - \*  $K(s)$  is not constant but *varies as a function of the azimuth*  
 $K(s)$  is a “time dependent” restoring force  
→ the differential equation can only be solved numerically

$K(s)$  is *prescribed by the storage ring design:*  
*given by the magnet parameters*

*remember: hard edge model:*

$K = \text{const}$  within a magnet

SPS Lattice



$$x'' + K * x = 0$$

*differential equation for the transverse oscillation of a particle in a magnetic element of the storage ring.  
(... harmonic oscillator)*

- \* second order → two independent solutions,*
- \* linear in x any linear combination of these „principal solutions“ will again be a solution.*

*we choose for  $K > 0$ :*

$$C(s) = \cos(\sqrt{K}s) , \quad S(s) = \frac{1}{\sqrt{K}} \sin(\sqrt{K}s)$$

*with the initial conditions:*

$$C(0) = 1 , \quad S(0) = 0$$
$$C'(0) = 0 , \quad S'(0) = 1$$

*for  $K < 0$ :*

$$C(s) = \cosh(\sqrt{K}s) , \quad S(s) = \frac{1}{\sqrt{K}} \sinh(\sqrt{K}s)$$

*Arbitrary solution of any particle:*

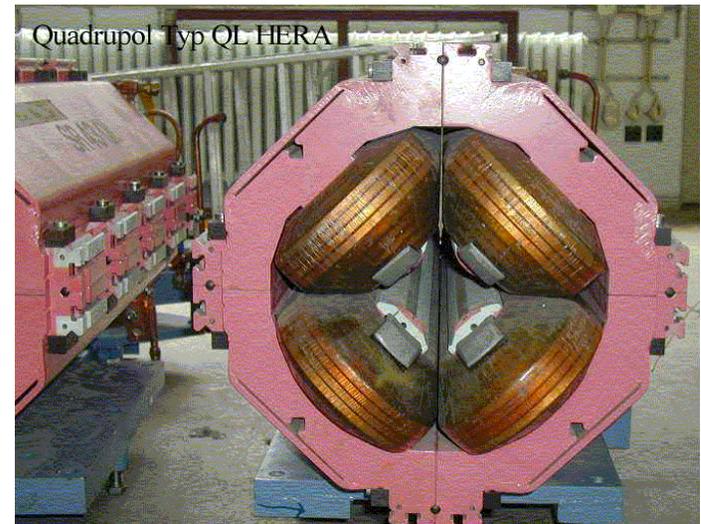
$$x(s) = x_0 \cdot C(s) + x'_0 \cdot S(s)$$

*Matrix formalism for beam transfer in a lattice:*

$$\left. \begin{aligned} x(s) &= x_0 \cdot C(s) + x'_0 \cdot S(s) \\ x'(s) &= x_0 \cdot C'(s) + x'_0 \cdot S'(s) \end{aligned} \right\} \Rightarrow \begin{matrix} \text{yellow box} \\ \begin{pmatrix} x(s) \\ x'(s) \end{pmatrix} = M * \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix} \end{matrix}$$

where  $M = \begin{pmatrix} C(s) & S(s) \\ C'(s) & S'(s) \end{pmatrix}$

*HERA standard type quadrupole lens*



*... depending on the value of K we can establish a transfer matrix for any (linear) lattice element in the ring.*

*horizontal focusing quadrupole:  $K > 0$*

$$M = \begin{pmatrix} \cos \sqrt{|K|} l & \frac{1}{\sqrt{|K|}} \sin \sqrt{|K|} l \\ -\sqrt{|K|} \sin \sqrt{|K|} l & \cos \sqrt{|K|} l \end{pmatrix}$$

*vertical focusing quadrupole:  $K < 0$*

$$M = \begin{pmatrix} \cosh \sqrt{|K|}l & \frac{1}{\sqrt{|K|}} \sinh \sqrt{|K|}l \\ \sqrt{|K|} \sinh \sqrt{|K|}l & \cosh \sqrt{|K|}l \end{pmatrix}$$

*drift space:  $K = 0$*

$$M = \begin{pmatrix} 1 & l \\ 0 & 1 \end{pmatrix}$$

*particle motion in the vertical plane:*

*in general storage rings are built in the horizontal plane.*

*no vertical bending dipoles  $\rightarrow \frac{1}{\rho} = 0$*

*define:  $K = k \rightarrow$  same matrices as in x-plane.*

**!** *with the assumptions made, the motion in the horizontal and vertical planes are independent ,, ... the particle motion in x & z is uncoupled“*

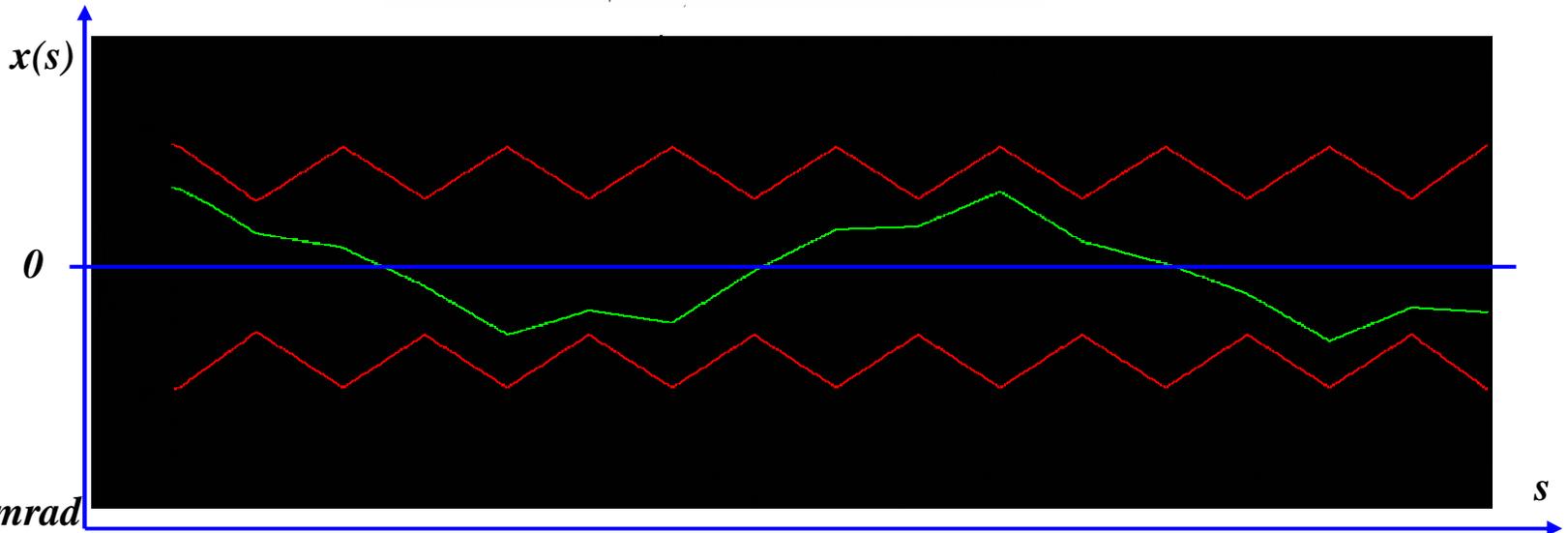
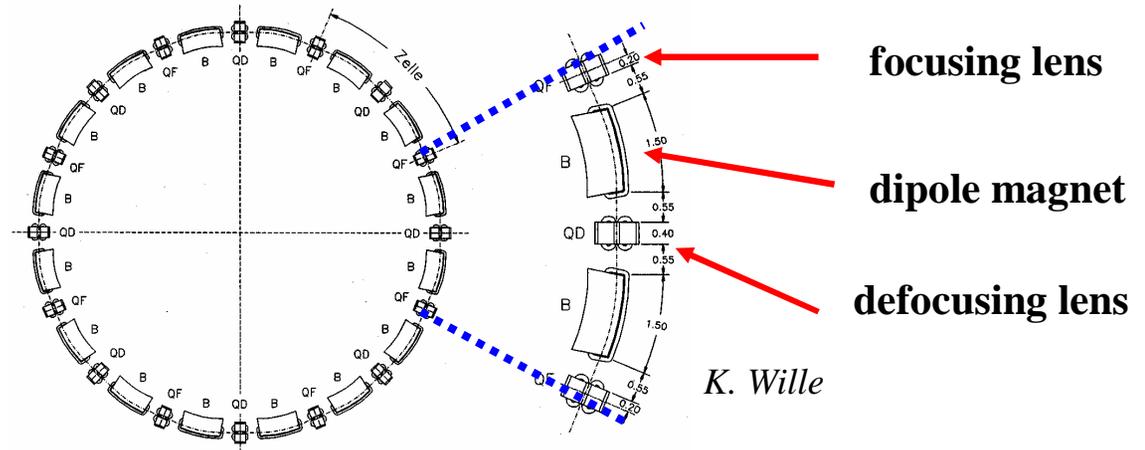
**!!** *don't forget the inhomogeneous equation*

## transformation through a system of lattice elements

combine the single element solutions by multiplication of the matrices

$$M_{total} = M_{QF} * M_D * M_{QD} * M_{Bend} * M_D * \dots$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{s2} = M(s2,s1) * \begin{pmatrix} x \\ x' \end{pmatrix}_{s1}$$



typical values  
in a strong  
foc. machine:

$$x \approx mm, x' \leq mrad$$

## *Dispersion:*

*inhomogeneous equation*  $x'' + K(s) * x = \frac{1}{\rho} \frac{\Delta p}{p}$

*general solution = complete solution of the homogeneous equation  
+ particular solution of inhomogeneous equation*

$$x(s) = x_h(s) + x_i(s)$$

*with*  $x_h'' + K(s) * x_h = 0$   $x_i'' + K(s) * x_i = \frac{1}{\rho} \frac{\Delta p}{p}$

*normalise with respect to  $\Delta p/p$ :*  $D(s) = \frac{x_i(s)}{\Delta p / p}$

$$D''(s) + K(s) * D(s) = \frac{1}{\rho}$$

*initial conditions:*  $D_0 = D'_0 = 0$

$$x(s) = x_0 \cdot C(s) + x'_0 \cdot S(s) + D(s) \frac{\Delta p}{p}$$

## Dispersion:

for convenience:  
expand the matrix formalism:

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_{s_0} + \frac{\Delta p}{p} \begin{pmatrix} D \\ D' \end{pmatrix}$$

or even more convenient

$$\begin{pmatrix} x \\ x' \\ \frac{\Delta p}{p} \end{pmatrix}_s = \begin{pmatrix} C & S & D \\ C' & S' & D' \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x \\ x' \\ \frac{\Delta p}{p} \end{pmatrix}_{s_0}$$

## Determine the Dispersion from the lattice parameters:

remember:  $C$  and  $S$  are independent solutions  
of the equation of motion  $\rightarrow$  the Wronski determinant

$$W = \begin{vmatrix} C & S \\ C' & S' \end{vmatrix} \neq 0$$

even more, we get:

$$\begin{aligned} \frac{dW}{ds} &= \frac{d}{ds} (CS' - SC') = CS'' - SC'' \\ &= -K(CS - SC) = 0 \end{aligned}$$

## Dispersion:

→  $W = \text{const.}$

choose the position  $s = s_0$  where

$$C_0 = 1, \quad C'_0 = 0$$

$$S_0 = 0, \quad S'_0 = 1$$

$$W = 1$$

the dispersion trajectory can be calculated from the cosine and sinelike solutions:

$$D(s) = S(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} C(\tilde{s}) d\tilde{s} - C(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} S(\tilde{s}) d\tilde{s}$$

proof:  $D(s)$  has to fulfil the equation of motion

$$D'(s) = S'(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} C(\tilde{s}) d\tilde{s} - C'(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} S(\tilde{s}) d\tilde{s}$$

$$D''(s) = S''(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} C(\tilde{s}) d\tilde{s} - C''(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} S(\tilde{s}) d\tilde{s} + \frac{1}{\rho} \underbrace{(CS' - SC')}_{=1}$$

$$D'' = -K(s)D(s) + \frac{1}{\rho}$$

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**Example:**

$$D(s) = S(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} C(\tilde{s}) d\tilde{s} - C(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} S(\tilde{s}) d\tilde{s}$$

**drift:**

$$M_{Drift} = \begin{pmatrix} 1 & l & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$1/\rho = k = 0,$$

$$\rightarrow D(s) = 0, D'(s) = 0$$

**foc. Quadrupole:**

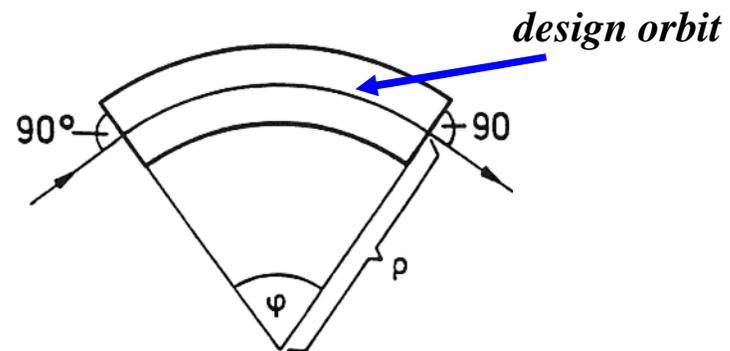
$$M_{Qfoc} = \begin{pmatrix} \cos \sqrt{|K|}l & \frac{1}{\sqrt{|K|}} \sin \sqrt{|K|}l & 0 \\ \sqrt{|K|} \sin \sqrt{|K|}l & \cos \sqrt{|K|}l & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$1/\rho = 0, K = -k$$

$$\rightarrow D(s) = 0, D'(s) = 0$$

**dipole sector magnet:**

*angle at entrance and exit: 90°*



*dipole sector magnet:*  $1/\rho = \text{const}, k = 0$

$$K = 1 / \rho^2$$

2x2 matrix

$$M = \begin{pmatrix} \cos \frac{l}{\rho} & \rho \cdot \sin \frac{l}{\rho} \\ -\frac{1}{\rho} \sin \frac{l}{\rho} & \cos \frac{l}{\rho} \end{pmatrix}$$

$$D(s) = S(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} C(\tilde{s}) d\tilde{s} - C(s) \int_{s_0}^s \frac{1}{\rho(\tilde{s})} S(\tilde{s}) d\tilde{s}$$

$$D(s) = \rho \sin \frac{l}{\rho} \cdot \int_0^l \frac{1}{\rho} \cdot \cos \frac{s}{\rho} ds - \cos \frac{l}{\rho} \cdot \int_0^l \frac{1}{\rho} \cdot \rho \sin \frac{s}{\rho} ds$$

$$D(s) = \rho \cdot \sin^2 \frac{l}{\rho} + \cos \frac{l}{\rho} \cdot \left[ \cos \frac{l}{\rho} - 1 \right] \cdot \rho$$

$$D(s) = \rho(1 - \cos \frac{l}{\rho})$$

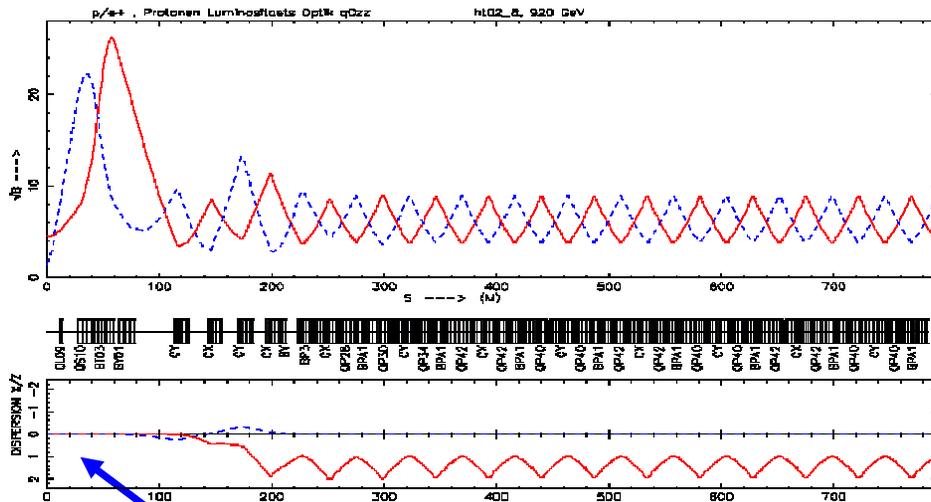
$$D'(s) = \sin \frac{l}{\rho}$$

---

*dipole sector magnet:*

$$M_{Qfoc} = \begin{pmatrix} \cos \frac{l}{\rho} & \rho \sin \frac{l}{\rho} & \rho(1 - \cos \frac{l}{\rho}) \\ -\frac{1}{\rho} \sin \frac{l}{\rho} & \cos \frac{l}{\rho} & \sin \frac{l}{\rho} \\ 0 & 0 & 1 \end{pmatrix}$$

*Example: HERA Interaction region*



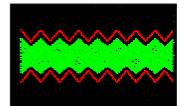
*typical values:  $\Delta p/p \approx 10^{-3}$*

*$D \approx 1 \dots 2m$*

*$x_i = D * \Delta p/p \approx 1-2 \text{ mm}$*

*start value:  $D_0 = D'_0 = 0$*

*dispersion is generated as soon as we enter the dipole magnets where  $1/\rho \neq 0$*



## Remarks on Magnet Matrices:

### 1.) thin lens approximation:

matrix of a quadrupole lens

$$M = \begin{pmatrix} \cos \sqrt{|k|}l & \frac{1}{\sqrt{|k|}} \sin \sqrt{|k|}l \\ -\sqrt{|k|} \sin \sqrt{|k|}l & \cos \sqrt{|k|}l \end{pmatrix}$$

in many practical cases we have the situation:

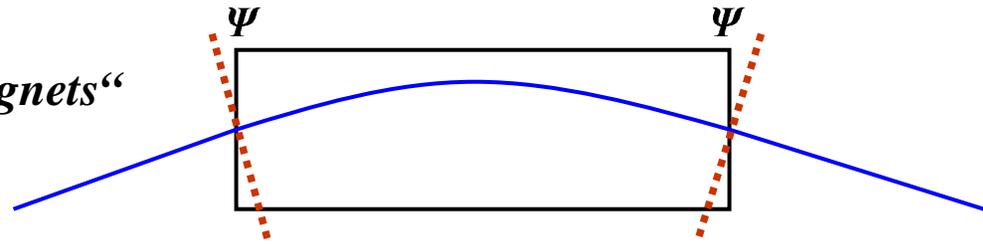
$$f = \frac{1}{kl_q} \gg l_q \quad \dots \text{focal length of the lens is much bigger than the length of the magnet}$$

limes:  $l \rightarrow 0$  while keeping:  $kl = \text{const}$

$$M_x = \begin{pmatrix} 1 & 0 \\ \frac{1}{f} & 1 \end{pmatrix} \quad M_z = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix}$$

... usefull for fast (and in large machines still quite accurate) „back on the envelope calculations“ ... and for the guided studies !

3.) edge focusing: ... dipole „box-magnets“



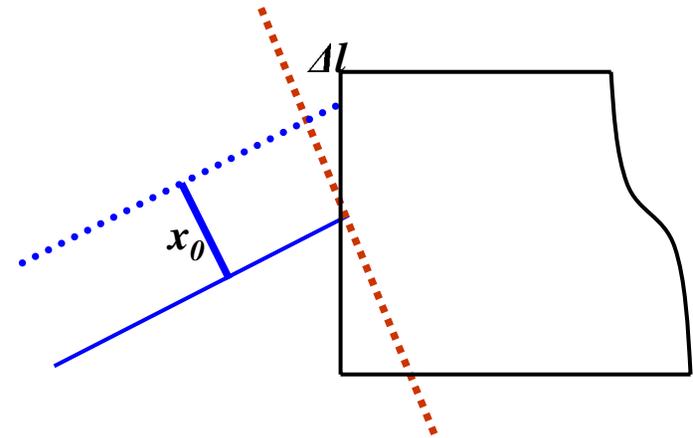
\* horizontal plane:

particle at distance  $x_0$  to the design orbit sees a „shorter magnetic field“

$$\Delta l = x_0 \cdot \tan \psi$$

error in the bending angle of the dipole

$$\Delta \alpha = \frac{\Delta l}{\rho} = x_0 \cdot \frac{\tan \psi}{\rho}$$



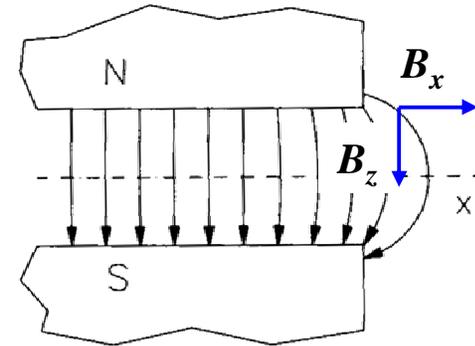
→ corresponds to a horizontal defocusing effect  
... in the approximation of  $\Delta l = \text{small}$

$$\left. \begin{array}{l} x = x_0 \\ x' = x'_0 + x_0 \frac{\tan \psi}{\rho} \end{array} \right\} \Rightarrow M = \begin{pmatrix} 1 & 0 \\ \frac{\tan \psi}{\rho} & 1 \end{pmatrix}$$

### 3.) edge focusing: vertical plane

particle trajectory crosses the field lines at the dipole edge.  
 → horizontal field component  
 vertical focusing effect

$$M_z \approx \begin{pmatrix} 1 & 0 \\ -\frac{\tan \psi}{\rho} & 1 \end{pmatrix}$$



fringe field effect at the edge of a dipole

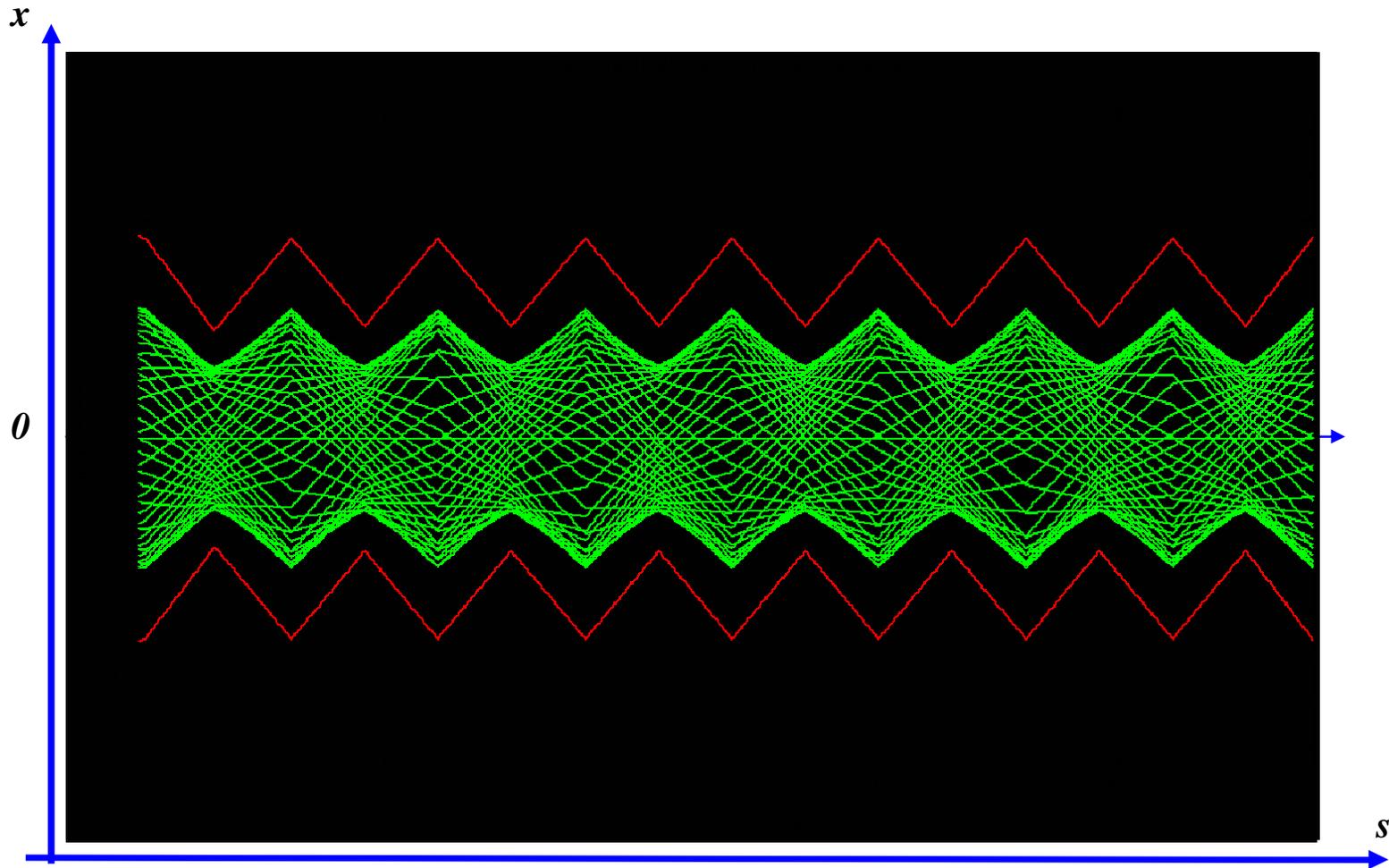
*for purists only:* vertical edge effect depends on the exact form of the dipole fringe field

$$M_z \approx \begin{pmatrix} 1 & 0 \\ \frac{1}{\rho^2} \frac{b}{6 \cos \psi} - \frac{\tan \psi}{\rho} & 1 \end{pmatrix}$$

where  $b$  = distance over which the fringe field drops to zero

*Question: what will happen, if the particle performs a second turn ?*

*... or a third one or ...  $10^{10}$  turns*



*Answer: ... will be discussed in the evening having a good glass of red wine  
... or tomorrow in the next lecture.*

## V.) *Résumé:*

*beam rigidity:*

$$B \cdot \rho = p/q$$

*bending strength of a dipole:*

$$\frac{1}{\rho} [m^{-1}] = \frac{0.2998 \cdot B_0(T)}{p(GeV / c)}$$

*focusing strength of a quadrupole:*

$$k [m^{-2}] = \frac{0.2998 \cdot g}{p(GeV / c)}$$

$$k [m^{-2}] = \frac{0.2998}{p(GeV / c)} \frac{2\mu_0 n I}{a_r^2}$$

*focal length of a quadrupole:*

$$f = \frac{1}{k \cdot l_q}$$

*equation of motion:*

$$x'' + Kx = \frac{1}{\rho} \frac{\Delta p}{p}$$

*matrix of a foc. quadrupole:*

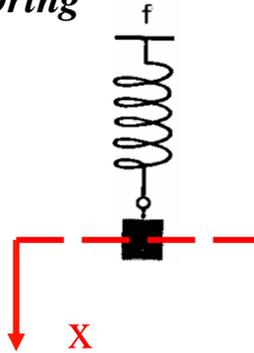
$$x_{s2} = M \cdot x_{s1}$$

$$M = \begin{pmatrix} \cos \sqrt{|K|}l & \frac{1}{\sqrt{|K|}} \sin \sqrt{|K|}l \\ -\sqrt{|K|} \sin \sqrt{|K|}l & \cos \sqrt{|K|}l \end{pmatrix}$$



## VI.) Appendix: Equation of motion in the case of weak focusing

restoring forces are linear in the deviations  $x, z$  from the ideal orbit. Example: harmonic oscillation of a spring



restoring force:  $F_r = -c * x$

equation of motion:  $\ddot{x} + c * x = 0 \rightarrow x(t) = x_0 * \cos \omega t$

$$\omega = \sqrt{c/m}$$

in our case:  $F_r = -\frac{Bev}{\rho} (1-n)x = -\frac{Be}{m} \frac{mv}{\rho} (1-n)x$

$\omega_0$ , the angular revolution- (or cyclotron-) frequency is obtained from:

$$\left. \begin{aligned} mv^2/\rho = evB &\rightarrow mv/\rho = eB \\ \omega_0 = v/\rho = eB/m \end{aligned} \right\} F_r = -\omega_0^2 (1-n) * x$$

$$\omega = \sqrt{c/m} = \omega_0 * \sqrt{1-n}$$

As  $0 < n < 1$  is required for stability the frequency of the transverse oscillations  $\omega$  is smaller than the revolution frequency  $\omega_0$ .

## Maxwell's equations

*in vacuum*  $\vec{\nabla} \cdot \vec{E} = \rho$

$$\vec{\nabla} \times \vec{B} = \vec{j} + \frac{\delta \vec{E}}{\delta t}$$

$$\vec{\nabla} \times \vec{E} = -\frac{\delta \vec{B}}{\delta t}$$

$$\vec{\nabla} \cdot \vec{B} = 0$$

*and in matter*  $\vec{\nabla} \cdot \vec{D} = \rho$

$$\vec{\nabla} \times \vec{H} = \vec{j} + \frac{\delta \vec{D}}{\delta t}$$

$$\vec{\nabla} \times \vec{E} = -\frac{\delta \vec{B}}{\delta t}$$

$$\vec{\nabla} \cdot \vec{B} = 0$$

*Stokes integral theorem:* 
$$\int_S (\vec{\nabla} \times \vec{A}) \vec{n} da = \oint_C \vec{A} d\vec{l}$$

*Gauß' integral theorem:* 
$$\int_V \vec{\nabla} \cdot \vec{A} dx^3 = \int_S \vec{A} \vec{n} da$$

*where*  $\vec{A}$  *Vectorfield,*

$V$  *Volume*

$S$  *Surface surrounding the Volume V*

$da$  *Surface Element of the Surface S*

$\vec{n}$  *Normvector on the Surface S*

## *Solution of the equation of motion:*

$$x'' + kx = 0$$

$k > 0 \rightarrow$  foc. quadrupole in the horizontal plane

*Ansatz:*

$$x(t) = a_1 \sin(\omega t) + a_2 \cos(\omega t)$$

with the derivatives:  $x'(t) = a_1 \omega \cos(\omega t) - a_2 \omega \sin(\omega t)$

$$\begin{aligned} x''(t) &= -a_1 \omega^2 \sin(\omega t) - a_2 \omega^2 \cos(\omega t) \\ &= -\omega^2 x(t) \end{aligned}$$

*and we get for the differential equation:*

$$x(t) = a_1 \cos(\sqrt{k}t) + a_2 \sin(\sqrt{k}t) \quad \text{with} \quad \omega = \sqrt{k}$$

*the constants  $a_1$  and  $a_2$  are determined by boundary (i.e. initial) conditions:*

at  $t = 0$  we require  $x(0) = x_0, \quad x'(0) = x'_0$

$$x(0) = a_1 \cos(0) + a_2 \sin(0) \quad \rightarrow \quad a_1 = x_0$$

$$x'(0) = -a_1 \sqrt{k} \sin(0) + a_2 \sqrt{k} \cos(0) \quad \rightarrow \quad a_2 = \frac{x'_0}{\sqrt{k}}$$

$$x(t) = x_0 \cos(\sqrt{k}t) + \frac{x'_0}{\sqrt{k}} \sin(\sqrt{k}t)$$

$$x'(t) = -x_0 \sqrt{k} \sin(\sqrt{k}t) + x'_0 \cos(\sqrt{k}t)$$

*or expressed for convenience in matrix form:*

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = M * \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix},$$

$$M = \begin{pmatrix} \cos \sqrt{k}t & \frac{1}{\sqrt{k}} \sin \sqrt{k}t \\ -\sqrt{k} \sin \sqrt{k}t & \cos \sqrt{k}t \end{pmatrix}$$